Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2021

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . ▶ [ ]

Part II Basic Plan Information—enter all requested information

1a Name of plan
DELL INC. 401(K) PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 06/01/1989

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
DELL INC.

C/O GLOBAL BENEFITS DIRECTOR

ONE DELL WAY, RR1-42
ROUND ROCK, TX 78682

2b Employer Identification Number (EIN) 74-2487834

2c Plan Sponsor's telephone number 512-338-4400

2d Business code (see instructions) 334110

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	08/18/2022	CHRISTOPHER A. GARCIA
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	08/18/2022	CHRISTOPHER A. GARCIA
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:
a Sponsor's name
c Plan Name

4b EIN

4d PN

5 Total number of participants at the beginning of the plan year	**5**	67222
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	46513
a(2) Total number of active participants at the end of the plan year	**6a(2)**	47445
b Retired or separated participants receiving benefits	**6b**	225
c Other retired or separated participants entitled to future benefits	**6c**	20874
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	68544
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	152
f Total. Add lines **6d** and **6e**	**6f**	68696
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	62801
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 2T 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	[X]	**R** (Retirement Plan Information)
(2)	[ ]	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	[ ]	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	[X]	**H** (Financial Information)
(2)	[ ]	**I** (Financial Information – Small Plan)
(3)	[ ] ___	**A** (Insurance Information)
(4)	[X]	**C** (Service Provider Information)
(5)	[X]	**D** (DFE/Participating Plan Information)
(6)	[ ]	**G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2021** **This Form is Open to Public Inspection.**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan	**B** Three-digit plan number (PN) ▶
DELL INC. 401(K) PLAN	001

C Plan sponsor's name as shown on line 2a of Form 5500	**D** Employer Identification Number (EIN)
DELL INC.	74-2487834

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INV. INST. OP. CO., INC.

04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INV. INTS. OP. CO., INC.

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 50 64 65	NONE	2692286	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

FINANCIAL ENGINES

77-0473565

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	2617978	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III	**Termination Information on Accountants and Enrolled Actuaries (see instructions)** (complete as many entries as needed)

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2021

This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan
DELL INC. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
DELL INC.

D Employer Identification Number (EIN)
74-2487834

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: CLARIVEST EMERGING MKT EQT COLLEC

b Name of sponsor of entity listed in (a): HAND BENEFITS & TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
74-2008758-109	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: MONDRIAN EMERGING MARKETS EQUITY FU

b Name of sponsor of entity listed in (a): MONDRIAN INVESTMENT GROUP US, INC.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
20-1565014-003	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: SHORT-TERM INVESTMENT FUND W

b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
16-1673805-001	C	620729108

a Name of MTIA, CCT, PSA, or 103-12 IE: GMO EMERGING MARKETS COLLECTIVE INV

b Name of sponsor of entity listed in (a): GLOBAL TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
86-6499913-001	C	116439663

a Name of MTIA, CCT, PSA, or 103-12 IE: AMERICAN CENTURY NON-U.S. GROWTH TR

b Name of sponsor of entity listed in (a): GLOBAL TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
30-6406015-002	C	226676389

a Name of MTIA, CCT, PSA, or 103-12 IE: ARROWSTREET GLOBAL EQUITY ACWI CIT

b Name of sponsor of entity listed in (a): GLOBAL TRUST COMPANY - ARROWSTREET

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
37-6607043-002	C	321036624

a Name of MTIA, CCT, PSA, or 103-12 IE: GW&K SMALL MID CAP CORE EQUITY CIF

b Name of sponsor of entity listed in (a): GLOBAL TRUST COMPANY - GW&K

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
37-6558781-001	C	254934273

a Name of MTIA, CCT, PSA, or 103-12 IE:	PRUDENTIAL CORE PLUS BOND FUND		
b Name of sponsor of entity listed in (a):	PRUDENTIAL TRUST COMPANY		
c EIN-PN 23-6994310-165	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	677990981
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET S&P 500(R) IND NL SE F		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 04-0025081-097	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	3583183184
a Name of MTIA, CCT, PSA, or 103-12 IE:	SSGA CAP EQ EX-U.S. IND NL SE FD CL		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-299	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	736715160
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET INTL IND NL SE FD CL A		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-157	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	33809291
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET REAL ASSET NL SE FD CL		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-374	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	70330090
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET RUSSEL SMALL/MID CAP		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 04-0025081-453	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	1094581988
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET U.S. BOND IND NL SE FD		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-177	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	458533373
a Name of MTIA, CCT, PSA, or 103-12 IE:	ALLSPRING EMERGING MARKETS EQ CIT M		
b Name of sponsor of entity listed in (a):	WILMINGTON TRUST		
c EIN-PN 83-6759450-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	117217610
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET EMG MKT CLASS A		
b Name of sponsor of entity listed in (a):	STATE STREET GLOBAL ADVISORS TRUST COMPANY		
c EIN-PN 04-0025081-496	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	19435884
a Name of MTIA, CCT, PSA, or 103-12 IE:	LSV INTERNATIONAL VALUE EQUITY TRUS		
b Name of sponsor of entity listed in (a):	LSV ASSET MANAGEMENT		
c EIN-PN 20-0726879-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	246530978

a Name of MTIA, CCT, PSA, or 103-12 IE:	WILLIAM BLAIR EM MKT GRTH COLL INVT		
b Name of sponsor of entity listed in (a):	GLOBAL TRUST COMPANY		
c EIN-PN 27-6331814-003	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	118734971
a Name of MTIA, CCT, PSA, or 103-12 IE:	ACADIAN INT'L EQUITY MANAGED VOL CI		
b Name of sponsor of entity listed in (a):	SEI TRUST COMPANY		
c EIN-PN 47-3984728-081	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	109846794
a Name of MTIA, CCT, PSA, or 103-12 IE:	JP MORGAN DIVERSIFIED COMMERCIAL PR		
b Name of sponsor of entity listed in (a):	JPMORGAN CHASE BANK, N.A.		
c EIN-PN 26-1397548-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	225718489
a Name of MTIA, CCT, PSA, or 103-12 IE:	WELLINGTON MGMT DURABLE ENTERPRISES		
b Name of sponsor of entity listed in (a):	WELLINGTON TRUST COMPANY, NA		
c EIN-PN 04-6913417-188	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	85524065
a Name of MTIA, CCT, PSA, or 103-12 IE:	WESTFIELD SMALL/MID CAP G		
b Name of sponsor of entity listed in (a):	COMERICA BANK & TRUST, NATIONAL ASSOCIATION		
c EIN-PN 82-6648070-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	161162415
a Name of MTIA, CCT, PSA, or 103-12 IE:	MFS INTERNATIONAL EQUITY FUND		
b Name of sponsor of entity listed in (a):	MFS HERITAGE TRUST COMPANY		
c EIN-PN 57-1187281-013	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	172286361
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET REIT INDEX A		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-352	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	32638359
a Name of MTIA, CCT, PSA, or 103-12 IE:	WT RBC EMERGING MARKETS EQ FOCUS CL		
b Name of sponsor of entity listed in (a):	WELLS FARGO BANK N.A.		
c EIN-PN 38-4126291-593	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	88238276
a Name of MTIA, CCT, PSA, or 103-12 IE:	JOHN HANCOCK TRUST COMPANY CORE		
b Name of sponsor of entity listed in (a):	JOHN HANCOCK TRUST COMPANY		
c EIN-PN 45-2395022-002	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	677990981
a Name of MTIA, CCT, PSA, or 103-12 IE:			
b Name of sponsor of entity listed in (a):			
c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	

Part II **Information on Participating Plans (to be completed by DFEs)**
(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2021

This Form is Open to Public Inspection

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan
DELL INC. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DELL INC.

D Employer Identification Number (EIN)
74-2487834

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	15000	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	8098000
(2) Participant contributions	1b(2)		
(3) Other	1b(3)	27851000	18716000
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	58965000	40835000
(2) U.S. Government securities	1c(2)	106019000	124981000
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	117258000	120443000
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	416000	0
(B) Common	1c(4)(B)	3293235000	3324661000
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	128297000	121832000
(9) Value of interest in common/collective trusts	1c(9)	8917697000	10392115000
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	95135000	24422000
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	122278000	125685000

			(a) Beginning of Year	**(b)** End of Year
1d	Employer-related investments:			
	(1) Employer securities	**1d(1)**		
	(2) Employer real property	**1d(2)**		
e	Buildings and other property used in plan operation	**1e**		
f	Total assets (add all amounts in lines 1a through 1e)	**1f**	12867166000	14301788000
	Liabilities			
g	Benefit claims payable	**1g**	0	
h	Operating payables	**1h**	10485000	6395000
i	Acquisition indebtedness	**1i**		
j	Other liabilities	**1j**	36342000	16113000
k	Total liabilities (add all amounts in lines 1g through1j)	**1k**	46827000	22508000
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	**1l**	12820339000	14279280000

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		**(a)** Amount	**(b)** Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	**2a(1)(A)**	253971000	
	(B) Participants	**2a(1)(B)**	580420000	
	(C) Others (including rollovers)	**2a(1)(C)**	66269000	
	(2) Noncash contributions	**2a(2)**		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	**2a(3)**		900660000
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	**2b(1)(A)**	110000	
	(B) U.S. Government securities	**2b(1)(B)**	2073000	
	(C) Corporate debt instruments	**2b(1)(C)**	3586000	
	(D) Loans (other than to participants)	**2b(1)(D)**		
	(E) Participant loans	**2b(1)(E)**	6215000	
	(F) Other	**2b(1)(F)**		
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	**2b(1)(G)**		11984000
	(2) Dividends: **(A)** Preferred stock	**2b(2)(A)**	0	
	(B) Common stock	**2b(2)(B)**	49372000	
	(C) Registered investment company shares (e.g. mutual funds)	**2b(2)(C)**	703000	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	**2b(2)(D)**		50075000
	(3) Rents	**2b(3)**		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	**2b(4)(A)**	2988568000	
	(B) Aggregate carrying amount (see instructions)	**2b(4)(B)**	2794580000	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	**2b(4)(C)**		193988000
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	**2b(5)(A)**		
	(B) Other	**2b(5)(B)**	487576000	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	**2b(5)(C)**		487576000

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		1228164000
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		10836000
c Other income	2c		
d Total income. Add all **income** amounts in column (b) and enter total	2d		2883283000
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	1181124000	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		1181124000
f Corrective distributions (see instructions)	2f		151000
g Certain deemed distributions of participant loans (see instructions)	2g		748000
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	5322000	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		5322000
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		1187345000
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		1695938000
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		236997000

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [X] Unmodified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) [ ] DOL Regulation 2520.103-8 **(2)** [ ] DOL Regulation 2520.103-12(d) **(3)** [X] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: PRICEWATERHOUSECOOPERS LLP **(2)** EIN: 13-4008324

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	

			Yes	No	Amount
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		25000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)
RSA SECURITY 401(K) PLAN	27-1496036	001

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2021

This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan
DELL INC. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DELL INC.

D Employer Identification Number (EIN)
74-2487834

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 13-4994650

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment)	**14a**	
b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14b**	
c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

20 **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

Dell Inc. 401(k) Plan

EIN 74-2487834

PLAN 001

Financial Statements and Supplemental Schedule
December 31, 2021 and 2020

DELL INC. 401(k) PLAN

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NOTE: Other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.



Report of Independent Auditors

To the Administrator of Dell Inc. 401(k) Plan

Opinion

We have audited the accompanying financial statements of Dell Inc. 401(k) Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of December 31, 2021 and 2020, and the related statement of changes in net assets available for benefits for the year ended December 31, 2021, including the related notes (collectively referred to as the "financial statements").

In our opinion, the accompanying financial statements present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2021 and 2020, and the changes in its net assets available for benefits for the year ended December 31, 2021, in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (US GAAS). Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with US GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with US GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Supplemental Schedule Required by ERISA

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. Schedule H, line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2021 ("supplemental schedule"), is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with US GAAS.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying supplemental schedule is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

PricewaterhouseCoopers LLP

Austin, TX August 3,

2022

DELL INC. 401(k) PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
AS OF DECEMBER 31, 2021 AND 2020
(In thousands)

	2021	2020
ASSETS:		
Cash	$ —	$ 15
Participant-directed investments, at fair value	14,153,142	12,711,003
Receivables:		
Notes receivable from participants	123,605	128,297
Employer contributions	8,098	—
Interest and dividend income	3,698	4,538
Due from broker and other	250,242	23,313
Total receivables	385,643	156,148
Total assets	14,538,785	12,867,166
LIABILITIES:		
Administrative and investment expenses payable	6,395	10,485
Due to broker and other	16,113	36,342
Total liabilities	22,508	46,827
NET ASSETS AVAILABLE FOR BENEFITS	$ 14,516,277	$ 12,820,339

The accompanying notes are an integral part of these financial statements.

DELL INC. 401(k) PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEAR ENDED DECEMBER 31, 2021
(In thousands)

ADDITIONS:		
Contributions:		
Employer	$	253,971
Employee		580,420
Employee rollovers		66,269
Total contributions		900,660
Investment income:		
Interest and dividends		55,844
Net appreciation in fair value of investments		1,920,564
Net investment income		1,976,408
Interest income on notes receivable from participants		6,215
Total additions		2,883,283
DEDUCTIONS:		
Benefits paid to participants		1,182,023
Administrative expenses		5,322
Total deductions		1,187,345
INCREASE IN NET ASSETS		1,695,938
NET ASSETS AVAILABLE FOR BENEFITS:		
Beginning of year		12,820,339
End of year	$	14,516,277

The accompanying notes are an integral part of these financial statements.

1. DESCRIPTION OF THE PLAN

The following brief description of the Dell Inc. 401(k) Plan (the "Plan") is provided for general information purposes only. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

General — Dell Inc., ("Dell", the "Company" or "Employer") originally adopted the Plan on June 1, 1989, and amended and restated the Plan and the associated Plan document effective January 1, 2021. The Benefits Administration Committee of the Company ("BAC") controls and manages the operation and administration of the Plan. The Plan is a defined contribution plan covering all U.S. resident employees of the Company and certain subsidiaries except those who are covered by a collective bargaining agreement, classified as a leased employee, a nonresident alien, or are covered under a separate plan. Participation in the Plan is at the election of the employee.

The Plan's record-keeper is Fidelity Investments and its trustee is Fidelity Management Trust Company (the "Plan Trustee"). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended ("ERISA").

Participant Contributions — Contributions are made to the Plan by the Company on behalf of each eligible participant based upon the participant's elected compensation deferral through payroll deductions. The deferrals are funded by the Company at the end of each payroll period. The amount eligible participants may elect to contribute to the Plan ranges from 0% to 50% of their eligible compensation, in whole percentages, up to the annual statutory limit of $19,500 in 2021 as permitted by the Internal Revenue Code of 1986, as amended ("IRC"). Highly compensated employees, as defined by the IRC, may be subject to more restrictive maximum annual contribution limits if the Plan fails to satisfy certain testing criteria set forth in the IRC. For the 2021 Plan year, the maximum catch-up contribution amount is $6,500. Participants who will be at least age 50 in a given calendar year, do not have to designate a separate catch-up contribution election, their contributions will automatically continue up to the higher Internal Revenue Service ("IRS") limit. Participants may also elect to make Roth and after-tax contributions to the Plan.

The Plan also permits employees to contribute balances from other qualified plans ("rollover contributions").

Employer Contributions — The Company matches participant pre-tax and Roth contributions 100% of the first 6% of eligible compensation, with an annual cap of $7,500. The Company's matching contributions are made at the end of each payroll period. The Company also makes a true-up matching contribution at the end of each Plan year, if applicable. Additional discretionary retirement savings contributions may be made upon the approval of the Company's Board of Directors. The Company made no such additional discretionary retirement savings contributions for the year ended December 31, 2021. All of the Company's contributions are invested in the Plan's investment options at the participant's discretion.

Participant Accounts — Individual accounts are maintained for each participant in the Plan. Each participant's account is credited with the participant's contributions, allocations of Company matching and discretionary contributions, and Plan earnings or losses offset by withdrawals and Plan

administrative expenses. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

The Plan also has a managed account program, where participants may elect additional services focused on investment, savings and retirement income. Fees for these services are paid out of the participant's account and are based on the participant's account balance.

Vesting — Participants are immediately vested in their contributions and earnings thereon. All participants are also immediately vested in all employer contributions and earnings thereon.

Forfeitures — Company contributions forfeited by participants may be used by the Company to satisfy Plan administrative expenses, reduce future Company contributions, or be allocated to participant accounts. Forfeitures are a result of various transactions that include excess match corrections, match adjustments, earnings removed as a result of adjustments and uncashed outstanding checks. There were approximately $58,000 and $2,028,300 in unallocated forfeited participant accounts as of December 31, 2021 and 2020, respectively. Forfeitures of approximately $2,123,000 were used during 2021 to offset Company contributions.

Benefit Payments — Participants are entitled to receive a distribution of the vested portion of their account upon reaching age 59½, termination of employment, disability, death, active duty or qualified reservist in-service withdrawals, or in the event of financial hardship. Participants may withdraw balances from their after-tax or rollover contributions at any time.

A participant who has separated from service may defer benefit payments until reaching age 70½, provided their vested account balance is greater than $5,000; otherwise, in the event of a distribution greater than $1,000 but less than $5,000, the participant may elect either a direct rollover to an individual retirement account ("IRA") or another qualified plan or a lump-sum amount equal to the value of the vested portion of their account upon termination of service. If an employee fails to make an election of one of these options within 90 days of the termination date, their vested account balance will automatically be directed to a rollover IRA. Similarly, participants with a vested account balance of less than $1,000 may elect either of the options noted above. If an election is not made within 90 days of the termination date, the balance will be distributed to the participant in a lump sum. Payment of benefits prior to termination of service may be made under certain circumstances as defined by the Plan.

Investments — All investments are participant directed. The Plan is invested in mutual funds, common collective trust funds, and separately managed funds. The Plan's separately managed funds, mutual funds, and common collective trusts represent the Plan's Core Funds. In addition to the Core Funds, participants may elect to contribute to investment options that include a mix of the Plan's separately managed funds, common collective trust funds, and mutual funds, based on target retirement dates. These investment options are called Pre-Mixed Portfolios. The Pre-Mixed Portfolios provide diversification through allocating investments into different funds, based on the participants' expected retirement dates. The Pre-Mixed Portfolios also adjust participants' asset allocations to align with the new investment options.

A participant's balance in the Emerging Markets Fund is limited to 20% of the participant's total account balance. New monies cannot be transferred or reallocated, or otherwise newly invested in the Emerging Markets Fund, if such event would cause the balance to exceed 20% of the participant's total account balance. However, it is permissible for the Emerging Markets Fund to exceed 20% due to growth in the value of that fund.

Notes Receivable from Participants — Participants may withdraw, as a loan, a maximum amount equal to the lesser of (i) $50,000 less the highest outstanding loan balance during the past 12 months or (ii) 50% of the available vested portion of their account balance less any current outstanding loan balance (minimum loan amount of $1,000). Participants may have no more than two loans outstanding at any one time. Each participant's loan is collateralized by the participant's vested account balance and is charged an interest rate as set by the Plan administrator and a one-time fee of $50.

The loan repayment period may not exceed four and a half years for general purpose loans. For loans utilized in the purchase of a participants primary residence, repayment may not exceed 15 years. As of December 31, 2021, loans within the Plan bore interest rates of 3.25% to 10.50% and are generally due at various dates through 2036, excluding loans acquired from participants previous plans.

Plan Termination — Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of any Plan termination, Plan assets will be distributed in accordance with the Plan document.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting — The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America ("GAAP").

Use of Estimates — The preparation of financial statements in conformity with GAAP requires the use of management estimates. These estimates are subjective in nature and involve assumptions that affect the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities, and the reported amounts of investment income and deductions during the Plan year.

Risks and Uncertainties — The Plan provides various investment options to its participants. Investment securities are exposed to various risks, such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, the overall volatility in the financial markets, or adverse global economic conditions, it is at least reasonably possible that changes will occur in the near term that could materially affect participants' account balances and the amounts reported in the financial statements.

Contributions — Participant and employer contributions are recorded in the period the employer makes the payroll deduction or upon approval by the Company for discretionary employer contributions, if any.

Investment Valuation and Income Recognition — The Plan's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

The Plan presents, in the statement of changes in net assets available for benefits, the net appreciation in the fair value of investments, which includes realized gains and losses and the unrealized appreciation on investments held.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on an accrual basis. Dividends, including distributions from underlying funds, are recorded on the ex-dividend date. Investment transactions that had been initiated but not settled as of December 31, 2021 and 2020, are reported as due to broker and other and due from broker and other on the statements of net assets available for benefits.

Notes Receivable from Participants — Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans that fail to satisfy the repayment terms of the Plan are recorded as distributions based on the terms of the Plan document.

Unit Values — Individual participant accounts are maintained for participant balances and activity on a unit value basis. Participants do not have beneficial ownership in specific underlying securities or other assets in the mutual funds, common collective trust funds, or the separately managed funds, but have an interest therein represented by units valued as of the last business day of the period. Dividends and interest are automatically reinvested in additional units. Generally, contributions to and withdrawal payments from the mutual funds, common collective trust funds, and the separately managed funds are converted to units by dividing the amounts of such transactions by the unit values as last determined, and the participants' accounts are charged or credited with the number of units properly attributable to each participant.

Payment of Benefits — Benefit payments to participants are recorded when paid. There were no participants, who elected to withdraw from the Plan, but had not yet been paid as of December 31, 2021 or 2020.

Administrative Expenses — Plan assets are held in trust by the Plan Trustee. Administrative expenses are paid by the Plan and participant accounts are allocated fees on a per capita basis, except for expenses related to distributions and other participant-initiated transactions, which are charged to the account of the participant. Investment management fees for investments are included as a reduction of investment return and not reflected separately in the statement of changes in net assets available for benefits. Administrative expenses and investment management fees that had been incurred but not paid as of December 31, 2021 and 2020, are reported as administrative and investment expenses payable in the statements of net assets available for benefits.

3. FAIR VALUE MEASUREMENTS

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value as follows: Level 1, which refers to securities valued using unadjusted quoted prices from active markets for identical assets; Level 2, which refers to securities not traded on an active market but for which observable market inputs are readily available; and Level 3, which refers to securities based on unobservable inputs that are significant to the fair value measurement.

The asset's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

There have been no changes in the valuation methodologies used as of December 31, 2021 and 2020.

The following tables set forth, by level within the fair value hierarchy, a summary of the Plan's investments measured at fair value on a recurring basis as of December 31, 2021 and 2020:

	December 31, 2021			
	Level 1	Level 2	Level 3	
	Quoted Prices in Active Markets for Identical Assets	Significant Other Observable Inputs	Significant Unobservable Inputs	Total
	(in thousands)			
Mutual fund	$ 52	$ —	$ —	$ 52
Separately managed funds:				
Asset backed securities	—	65,572	—	65,572
Cash equivalents	40,835	—	—	40,835
Common stock	3,324,661	—	—	3,324,661
Convertible securities	—	—	4	4
Corporate debt	—	120,443	—	120,443
Exchange-traded funds	2,257	—	—	2,257
Government obligations and other	287	124,694	—	124,981
Mortgage backed securities	—	57,852	—	57,852
Mutual fund	24,370	—	—	24,370
Total separately managed funds	3,392,410	368,561	4	3,760,975
	$ 3,392,462	$ 368,561	$ 4	3,761,027
Common collective trust and other funds (NAV) *				10,392,115
Total assets measured at fair value				$ 14,153,142

	December 31, 2020			
	Level 1	Level 2	Level 3	
	Quoted Prices in Active Markets for Identical Assets	Significant Other Observable Inputs	Significant Unobservable Inputs	Total
	(in thousands)			
Mutual fund	$ 2,024	$ —	$ —	$ 2,024
Separately managed funds:				
Asset backed securities	—	57,581	—	57,581
Cash equivalents	58,965	—	—	58,965
Common stock	3,293,235	—	—	3,293,235
Preferred stock	—	416	—	416
Corporate debt	—	117,258	—	117,258
Exchange-traded funds	4,428	—	—	4,428
Government obligations and other	176	106,019	—	106,195
Mortgage backed securities	—	60,093	—	60,093
Mutual fund	93,111	—	—	93,111
Total separately managed funds	3,449,915	341,367	—	3,791,282
	$ 3,451,939	$ 341,367	$ —	3,793,306
Common collective trust funds (NAV) *				8,917,697
Total assets measured at fair value				$ 12,711,003

* In accordance with ASC 820, Fair Value Measurement and Disclosures, certain investments that are measured at fair value using the net asset value ("NAV") per share (or its equivalent) practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the Statements of Net Assets Available for Benefits.

The valuation methods described below may produce a fair value calculation that may not be indicative of net realizable value or reflective of future values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date. As of December 31, 2021 and 2020, there were no transfers in and/or out of Level 3.

Below is a description of the valuation methodologies, redemption, and other restrictions for the Plan's investments as of December 31, 2021 and 2020:

Mutual funds — Investments in registered investment companies, also called mutual funds, are valued based on quoted prices in active markets for identical assets at their daily net asset value ("NAV") and can be market corroborated. The mutual funds held by the Plan are actively traded; however, participants do not directly own the shares of the funds, but own an interest in the funds. Therefore, participant transactions are on a unitized basis, as discussed above.

Separately managed funds — The separately managed funds include several portfolios of individual underlying securities that are managed on the participants' behalf. Unlike a mutual fund or exchange-traded fund, the Plan directly owns the securities instead of pooling its assets with other investors. The individual assets of a separately managed fund are held in the name of the Plan (the Plan owns the underlying securities) and are generally considered separately as individual investments for accounting, auditing, and financial statement reporting purposes. The values of the separately managed funds are valued based on underlying investments as described below:

Cash equivalents are held primarily in short-term money market commingled funds. Underlying investments in these funds are valued at amortized cost plus accrued interest.

Common and preferred stocks and exchange-traded funds are valued at the closing price reported on the active market on which the individual securities are traded. Corporate debt is valued using pricing models maximizing the use of observable inputs for similar securities. This includes basing value on yields currently available on comparable securities of issuers with similar credit ratings. When quoted prices are not available for identical or similar bonds, the bond is valued under a discounted cash flows approach that maximizes observable inputs, such as current yields of similar instruments, but includes adjustments for certain risks that may not be observable, such as credit and liquidity risks or a broker quote, if available.

Convertible securities are valued based primarily on the private placement cost until trading commences or until company specific or industry events or other market factors indicate a change should be made.

Government obligations and other, asset backed securities, and mortgage backed securities are valued using pricing models maximizing the use of observable inputs for similar securities.

Common collective trust and other funds — The value of common collective trust and other funds are allocated to participant accounts based on the unit value of the fund. The NAV, as provided by the underlying Fund's trustee, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund, plus other assets, less its liabilities. This practical expedient is not used when it is determined by the Plan Trustee to be probable that the fund will sell the investment for an amount different than the reported NAV.

Participant transactions (purchases and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust funds, the underlying Fund's trustee reserves the right to temporarily delay withdrawal from the trust in order to ensure that securities liquidations will be carried out in an orderly business manner.

The following tables set forth a summary of the Plan's investments whose values were estimated using a reported NAV as of December 31, 2021 and 2020:

	December 31, 2021				
Investment	Fair Value	Unfunded Commitment	Redemption Frequency	Other Redemption Restrictions	Redemption Notice Period
	(in thousands)				
Bond funds	$ 1,814,515	$ —	Daily	None	None
International and emerging funds**	1,646,569	—	Daily	None	None
Equity funds	5,896,091	—	Daily	None	None
Short term and other funds	1,034,940	—	Daily	None	None
	$ 10,392,115	$ —			

	December 31, 2020				
Investment	Fair Value	Unfunded Commitment	Redemption Frequency	Other Redemption Restrictions	Redemption Notice Period
	(in thousands)				
Bond funds	$ 1,772,985	$ —	Daily	None	None
International and emerging funds	1,337,145	—	Daily	None	None
Equity funds	4,514,222	—	Daily	None	None
Short term and other funds	1,293,345	—	Daily	None	None
	$ 8,917,697	$ —			

**Note the Ninety One Global Franchise Fund (the "Fund"), a limited liability company, included in the international and emerging funds as of December 31, 2021, investment strategy aims to provide its investors ("Members"), long-term capital growth primarily through investment in equities or equity-related securities that are tied economically to a number of countries throughout the world.

4. TAX STATUS

The Plan obtained its latest determination letter dated May 17, 2016, from the IRS informing the Company that the Plan and related trust are designed in compliance with Section 401(a) of the IRC. Although the Plan has been amended and restated since receiving the letter, the Plan administrator and the Plan's tax counsel believe the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC. The Company believes the Plan is qualified and that the related trust is exempt from federal income tax under Section 501(a) of the IRC. Therefore, the financial statements contain no provision for income taxes.

5. RELATED PARTY AND PARTY-IN-INTEREST TRANSACTIONS

Certain Plan investments are shares of Dell Technologies, Inc. Class C common stock. Dell Inc. is the Plan sponsor as defined by the Plan. Administrative expenses on the Statement of Changes in Net Assets Available for Benefits include amounts paid to the Plan Trustee as well as to other exempt parties-in-interest; therefore, these transactions qualify as exempt party-in-interest transactions. Loans to participants also qualify as party-in-interest transactions.

As of December 31, 2021 and 2020, the Plan held 36,410 shares of Dell Technologies Inc. Class C Common Stock valued at approximately $2,045,150 and $2,668,000, respectively. There were no purchases or sales of the Class C Common Stock during 2021. No dividends were paid on the Class C Common Stock during 2021.

6. RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits as of December 31, 2021, per the financial statements to the Form 5500:

		December 31, 2021 (in thousands)
Net assets available for benefits per the financial statements	$	14,516,277
Less: Notes receivable from participants related to RSA Security Transfer		(1,773)
Less: Due from broker and other related to RSA Security transfer		(235,224)
Net assets available for benefits per Form 5500	$	14,279,280

For the year ended December 31, 2021, the following is a reconciliation of plan transfers per the financial statements to the Form 5500:

		December 31, 2021 (in thousands)
Plan transfers per the financial statements	$	—
Add: Transfer out to RSA Security 401(k) Plan		(236,997)
Total plan transfers out per the Form 5500	$	(236,997)

7. SUBSEQUENT EVENTS

On September 1, 2020, Dell Technologies, Inc. completed the sale of RSA Security LLC ("RSA"), a wholly-owned subsidiary, to a consortium of investors led by Symphony Technology Group. RSA established a new 401(k) Plan effective January 1, 2022, and participant account balances and notes receivable from participants totaling approximately $237 million, were transferred into the new plan. As of January 1, 2022, those participants were no longer participants in the Plan.

On October 1, 2021, Dell Technologies, Inc. completed the sale of Boomi, Inc., a wholly owned subsidiary, to Francisco Partners and TPG Capital. Boomi Inc. established a new 401(k) plan effective April 6, 2022, and participant account balances totaling approximately $98 million transferred into the new plan on that date. As of April 6, 2022, those participants were no longer participants in the Plan.

Subsequent events were evaluated through August 3, 2022, the date the financial statements were available to be issued.

SUPPLEMENTAL SCHEDULE

DELL INC. 401(k) PLAN

EIN 74-2487834, PLAN 001

SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2021

(a)	(b) Identity of Issue	(c) Description	Number of shares/units	(d) Cost**	(e) Current Value
	Cash Equivalents:				
	BlackRock Liquidity Fund		192,922		$ 192,922
	BBH STIF		40,641,879		40,641,879
	Total cash equivalents				$ 40,834,801
	Asset Backed Securities:				
	1.63% 08/15/2025		550,000		$ 555,904
	AGL CLO 1 LTD.	3ML+116 10/20/2034 144A	800,000		799,527
	AGL CLO 5 LTD / AGL CLO 5 LLC	3ML+116 07/20/2034	500,000		499,721
	AIG CLO 2021-2 LTD	3ML+117 07/20/2034 14	750,000		748,737
	AIMCO CLO 11 LTD	3ML+113 10/17/2034 144A	1,500,000		1,496,486
	ALLY AUTO RECEIVABLES TR 2019-1	3.02% 04/15/2024	200,000		203,071
	ALLY AUTO RECEIVABLES TRUST 2018-3	3.12% 07/17/2023	329,445		329,797
	AMERICAN EXPRESS CR ACC MST TR 2017-7 A	2.350% 05/15/2025	950,000		963,635
	AMERICREDIT AUTOMOBILE RECEIVABLES TR	2.130% 07/18/2025	650,000		655,792
	AMERICREDIT AUTOMOBILE RECEIVABLES TR	1.170% 08/18/2027	450,000		446,876
	AMERICREDIT AUTOMOBILE RECEIVABLES TRUST	3.130% 02/18/2025	750,000		755,156
	AMERICREDIT AUTOMOBILE RECEIVABLES TRUST	1.110% 08/19/2024	621,284		622,715
	AMERICREDIT AUTOMOBILE RECEIVABLES TRUST	1.590% 10/20/2025	800,000		805,157
	APIDOS CLO XII/APIDO CLO LLC	3ML+108 04/15/2031	600,000		600,008
	ARBOR RLTY COML REAL ESTATE NTS 2021-FL3	8/15/2034	100,000		99,250
	ARES XLIV CLO LTD 17- 44A A2R	3ML+130 04/15/2034	1,550,000		1,550,597
	ATRIUM XIII	3ML+118 11/21/2030 144A	600,000		600,187
	BABSN 2017-1A A2	3ML+135 07/29 144A 07/18/2029	300,000		300,008
	BALLY 2021-17A A1B	3ML+140 10/20/2034 14	1,150,000		1,148,711
	BDS 2021-FL10 LTD / BDS 2021-FL10 LLC	1M 12/16/2036	750,000		748,306
	BDS 2021-FL9 LTD	1ML+190 11/16/2038 144A	300,000		298,806
	BENEFIT STR PARTNERS CLO VIII LTD / BENE	1/20/2031	700,000		697,392
	BENEFIT STREET PARTNERS CLO XX LTD	3ML+1 07/15/2034	350,000		349,999
	BLUEM 2021-31A	3ML+140 04/19/2034 144A	400,000		400,154
	BLUEMOUNTAIN CLO 2013-2A A2R	3ML+130 10/22/2030	600,000		599,528
	BMW VEH OWNER TR 2020-A	0.48% 10/25/2024	550,595		550,331
	BUCKHORN PARK CLO LTD 19-1A AR	3ML+112 07/18/2034	950,000		949,466
	CARBONE CLO LTD / CARBONE CLO LLC	3ML+1. 01/20/2031	500,000		500,002
	CARD2 2021-1A A	0.602% 04/15/2027 144A	1,100,000		1,087,318
	CARLYLE GLOBAL MARKET STRATEGIES CLO	5/15/2031	246,409		246,455
	CARLYLE GLOBAL MKT STRATEGIES CLO 2014-1	4/17/2031	250,000		250,063
	CARLYLE GLOBAL MKT STRATEGIES CLO 2016-1	4/20/2034	1,200,000		1,198,039

CARLYLE US CLO 2017-2 LTD	3ML+140 07/20/2031	650,000	650,005
CARLYLE US CLO 2020-2A A1R LTD	3ML+114 01/25/2035	500,000	499,111
CARLYLE US CLO LTD 2021-4A A2	3ML+140 04/20/2034	1,250,000	1,250,321
CARMAX AUTO OWNER TR	0.55% 06/15/2026	500,000	495,660
CARMAX AUTO OWNER TR 2019-3 A4	2.3% 04/15/2025	1,000,000	1,020,851
CARMAX AUTO OWNER TR 2019-4	2.13% 07/15/2025	850,000	866,537
CARMAX AUTO OWNER TR 2021-1 A3	0.34% 12/15/2025	700,000	693,841
CARMAX AUTO OWNER TRUST 2019-2	2.77% 12/16/2024	300,000	306,773
CEDAR FDG IV CLO LTD / CEDAR FDG IV CLO	7/23/2034	500,000	499,713
CEDAR FDG VII CLO LTD / CEDAR FDG VII CL	1/20/2031	500,000	497,532
CHASE AUTO CREDIT LINKED NOTES SERIES	0.760% 02/26/2029	557,368	553,885
CIFC 2017-5A A1	3ML+118 11/30 144A 11/16/2030	600,000	599,999
CIFC FDG 2020-I LTD / CIFC FDG 2020-I	LL 07/15/2036	950,000	950,144
CIFC FDG 2020-II LTD / CIFC FDG 2020-II	10/20/2034	800,000	800,435
CLEAR CREEK CLO LTD / CLEAR CREEK CLO LL	10/20/2030	400,000	400,059
CNH EQUIP TR 2021-C A3	0.81% 12/15/2026	400,000	397,313
CONTL AIR 12-1 ETC 4.15 4/24	4.150% 10/11/2025	22,684	23,516
DEER CREEK CLO LTD 2017-1	3ML+118 10/20/2030	500,000	500,099
DRB PRIME STUDENT LOAN TRUST 2017-A	2.850% 05/27/2042	20,730	20,908
DRIVE AUTO RECEIVABLES TR 2020-2	0.83% 05/15/2024	57,151	57,164
EATON VANCE CLO 2015-1A A2R	3ML+125 01/20/2030	325,000	325,001
ELEVATION CLO 14-2A A1R LTD	3ML+123 10/15/2029	600,000	599,803
ELMWOOD CLO IX LTD / ELMWOOD CLO IX LLC	7/20/2034	400,000	399,772
EXETER AUTOMOBILE RECEIVABLES TRUST 202	0.680% 07/15/2025	1,050,000	1,047,953
FORD CR AUTO OWNER TR 2018-A	3.16% 10/15/2023	236,666	237,363
FORD CR AUTO OWNER TR 2021-A A4	0.49% 09/15/2026	350,000	343,542
GM FINANCIAL AUTOMOBILE LEASING TRUST	0.800% 07/20/2023	350,000	350,530
GM FINL CONSUMER AUTOMOBILE RECEIVABLES	2.180% 04/16/2024	226,904	228,346
GM FINL CONSUMER AUTOMOBILE RECEIVABLES	1.760% 01/16/2025	450,000	455,272
HONDA AUTO RECEIVABLES 2021-1 A3 OWNER T	0.270% 04/21/2025	550,000	547,066
HYUNDAI AUTO RECEIVABLES TRUST 2021-C	1.490% 12/15/2027	650,000	644,362
LCM 26 LTD / LCM 26 LLC	3ML+1.25 01/20/2031	400,000	399,363
LCM XVIII LTD PARTNERSHIP / LCM XVIII LL	4/20/2031	500,000	496,336
LOANCORE 2019-CRE2	ISSUER LTD LOANCORE 05/15/2036	184,538	184,336
LOANCORE 2021-CRE5 ISSUER LTD	1ML+235 07/15/2036	4,000,000	3,989,426
MAGNETITE XXVI LTD / MAGNETITE XXVI LLC	7/25/2034	400,000	400,490
MARLETTE FUNDING TRUST 2021-1	1% 06/16/2031	1,200,000	1,195,344
NAVIENT PRIVATE ED REFI LN TR 2019-F	2.600% 08/15/2068	529,042	535,930
NAVIENT PRIVATE EDUCATION REFI LOAN TRUS	0.840% 05/15/2069	189,449	187,074
NAVIENT PRIVATE EDUCATION REFI LOAN TRUS	1.110% 02/18/2070	385,299	378,821
NISSAN AUTO LEASE TR 2020-A	1.88% 04/15/2025	450,000	453,047
OAKC 2017-14 A2	3ML+130 1/30 144A 01/21/2030	600,000	600,034
OAKTREE CLO LTD 21-1A A1	3ML+116 07/15/2034	600,000	599,659
OCP CLO 2021-22A A LTD	3ML+118 12/02/2034	250,000	250,050
OCT15 2013-1A A2R	3ML+135 07/19/2030	400,000	399,690
OCTAGON INVT PARTNERS 32 LTD / OCTAGON I	7/15/2029	900,000	900,003

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SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

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OCTAGON INVT PARTNERS 33 LTD / OCTAGON I	1/20/2031	600,000	599,999
OHA LOAN FUNDING 2015-1A AR3 LTD	3ML+115 01/19/2037	1,250,000	1,250,249
PALMER SQUARE CLO 2014-1	LTD / PALMER SQ 01/17/2031	200,000	200,056
PALMER SQUARE CLO 2015-2 LTD	3ML+110 07/20/2030	650,000	649,809
PFS FINANCING CORP	0.77% 08/15/2026 144A	850,000	836,681
READY CAP MTG FING 2020-FL4 LLC	1ML+215 02/25/2035	1,772,185	1,774,224
SANTANDER DRIVE AUTO RECEIVABLES TR 20	0.960% 11/15/2024	1,984,408	1,986,172
SANTANDER DRIVE AUTO RECEIVABLES TR 202	0.590% 09/15/2025	650,000	648,587
SANTANDER DRIVE AUTO RECEIVABLES TRUST	4.110% 12/15/2025	700,000	720,417
SANTANDER RETAIL AUTO LEASE TR 2019-B	2.300% 01/20/2023	135,001	135,232
SANTANDER RETAIL AUTO LEASE TR 2019-B	2.380% 08/21/2023	550,000	552,630
SANTANDER RETAIL AUTO LEASE TRUST 2019-C	1.930% 11/20/2023	1,000,000	1,007,060
SOFI CONSUMER LOAN PROGRAM 2020-1 TRUST	2.020% 01/25/2029	85,781	86,117
SOFI CONSUMER LOAN PROGRAM 2021-1 TRUST	0.490% 09/25/2030	587,983	585,647
SOFI PROFESSIONAL LOAN PROGRAM 2021-A TR	1.030% 08/17/2043	855,505	843,684
SOFI PROFESSIONAL LOAN PROGRAM 2021-B AF	1.140% 02/15/2047	474,067	465,835
SOFI PROFESSIONAL LOAN PROGRAM LLC 2017-	2.840% 01/25/2041	259,252	263,732
SOUND POINT CLO XXIII	3ML+117 07/15/2034	800,000	799,998
SYNCHRONY CR CARD MASTER NT TR 2017-2 A	2.620% 10/15/2025	550,000	559,194
THL CR WIND RIV 2019-2	CLO LTD / THL CR 01/15/2033	600,000	600,067
TOYOTA AUTO RECEIVABLES 2021-D A3 OWNER	0.710% 04/15/2026	800,000	795,083
TOYOTA AUTO RECEIVABLES OWNER TRUST	1.880% 11/15/2024	450,000	455,764
TRAFIGURA SECURITISATION FIN PLC	1.08% 01/15/2025	550,000	542,746
US AWYS 12-1 A	5.9% 04/01/26	211,794	221,947
VENTURE 34 CLO LTD / VENTURE 34 CLO LLC	10/15/2031	250,000	250,001
VERIZON OWNER TRUST 2018-A A1A	3.23% 04/20/2023	1,703	1,706
WELLMAN PARK CLO LTD 21-1A A	3ML+110 07/15/2034	450,000	449,248
Total Asset Backed Securities			$ 65,571,558
Cash Collateral -			
FUTURES CASH COLLATERAL		270,171	$ 270,171
Common Collective Trust and Other Funds:			
AMERICAN CENTURY INTL GROWTH CIT		11,995,046	$ 226,676,389
ARROWSTR INTL EQ EAFE CIT CL M		1,916,895	321,036,624
BLACKROCK SHORT TERM INV FUND W		620,729,108	620,729,108
COLLECTIVE LSV INTL FND TIER 7		20,857,105	246,530,978
GMO EMERGING MARKETS COLLECTIVE INVESTMENT CLASS M		11,956,141	116,439,663
GW&K SMALL/MID CAP CORE		10,638,845	254,934,273
ACADIAN INTL EQUITY MANAGED VOL CIT FD CL M		7,617,670	109,846,794
JPMORGAN DIVERSIFIED COMMERCIAL REAL ESTATE CIT		7,654,069	225,718,489
JOHN HANCOCK TRUST COMPANY CORE PLUS FIXED INCOME TRUST		53,808,808	677,990,981
MFS HERITAGE TR CO INTL EQ FUND CLASS 7		9,918,616	172,286,361
NINETY ONE GLOBAL FRANCHISE FUND		1,192,651	141,830,107
PRUDENTIAL CORE PLUS BOND CLASS 5		3,475,273	677,990,981

SSGA GLOBAL ALL CAP EQUITY EX US	38,915,808	736,715,160
SSGA RUSSELL SM/MD CAP (R) FD	11,825,393	1,094,581,988
SSGA S&P 500 NL SER A (CMM3)	35,825,025	3,583,183,184
SSGA US BOND INDX NL SF CLA FD	28,577,960	458,533,373
STATE STREET EMRG MRK IDX CL A	498,024	19,435,884
STATE STREET INTL INDX CLASS A	1,262,860	33,809,291
STATE STREET REAL ASSET CL A	4,950,034	70,330,090
STATE STREET REIT INDEX A	402,978	32,638,359
WELLING TRUST DUR ENTERP SER II	5,660,097	85,524,065
ALLSPRING EMERGING MARKETS EQUITY CIT MD	9,391,609	117,217,610
WESTFIELD SMALL/MID CAP GROWTH EQUITY CI	9,348,168	161,162,415
WILLIAM BLAIR EMERGING MARKETS LEADER FUND	6,459,904	118,734,971
WT RBC EMERGING MARKETS EQ FOCUS CL M	9,643,527	88,238,276
Total Common Collective Trust Funds		$ 10,392,115,414
Common Stocks:		
1 800 FLOWERS.COM INC CL A	48,471	$ 1,132,767
10X GENOMICS INC	18,434	2,745,929
3M CO	8,600	1,527,618
AARON'S CO INC/THE	34,300	845,495
ABBOTT LABORATORIES	272,008	38,282,406
ABBVIE INC	4,900	663,460
ABIOMED INC	13,184	4,735,297
ACADIA HEALTHCARE CO INC	107,486	6,524,400
ACCENTURE PLC CL A	78,884	32,701,362
ACI WORLDWIDE INC	64,909	2,252,342
ACUITY BRANDS INC	9,325	1,974,289
ADOBE INC	86,539	49,072,805
ADVANCE AUTO PARTS INC	5,058	1,213,313
ADVANCED ENERGY INDUSTRIES INC	28,179	2,565,980
ADYEN BV	4,017	10,571,309
AEGON NV (NY REGD) NEW YORK REGISTERED S	300,000	1,482,000
AERCAP HOLDINGS NV	43,355	2,836,284
AGCO CORP	12,400	1,438,648
AIR LEASE CORP CL A	17,800	787,294
AIRBNB INC CLASS A	188,528	31,388,027
AKAMAI TECHNOLOGIES INC	13,100	1,533,224
ALAMOS GOLD INC A	157,969	1,214,782
ALARM.COM HOLDINGS INC	41,546	3,523,516
ALASKA AIR GROUP INC	10,400	541,840
ALBANY INTERNATIONAL CORP CL A	26,873	2,376,917
ALBEMARLE CORP	4,843	1,132,148
ALBERTSONS COS INC	33,300	1,005,327
ALIGN TECHNOLOGY INC	24,577	16,151,513
ALLEGHANY CORP DEL	1,720	1,148,255
ALLETE INC	37,636	2,497,149
ALLISON TRANSMISSION HLDGS INC	48,700	1,770,245
ALLSTATE CORPORATION	70,736	8,322,090
ALLY FINANCIAL INC	76,910	3,661,685

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SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

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ALNYLAM PHARMACEUTICALS INC	9,000	1,526,220
ALPHABET INC CL A	14,201	41,140,865
ALPHABET INC CL C	28,008	81,043,669
ALTRA INDUSTRIAL MOTION CORP	39,932	2,059,293
ALTRIA GROUP INC	167,661	7,945,455
AMAZON.COM INC	28,282	94,301,804
AMC NETWORKS INC CL A	34,700	1,195,068
AMCOR PLC	671,516	8,064,907
AMDOCS LTD	37,500	2,806,500
AMEDISYS INC	13,483	2,182,628
AMERCO INC	530	384,902
AMEREN CORP	13,300	1,183,833
AMERICAN AXLE & MFG HLDGS INC	105,100	980,583
AMERICAN ELECTRIC POWER CO INC	2,400	213,528
AMERICAN EQY INVT LIFE HLD CO	27,300	1,062,516
AMERICAN EXPRESS CO	21,000	3,435,600
AMERICAN INTERNATIONAL GROUP	328,900	18,701,254
AMERICAN WATER WRKS COMPANY	8,800	1,661,968
AMERIPRISE FINANCIAL INC	49,246	14,855,548
AMERISOURCEBERGEN CORP	9,600	1,275,744
AMGEN INC	36,469	8,204,431
AMKOR TECHNOLOGY INC	60,300	1,494,837
AMPHASTAR PHARMACEUTICALS INC	2,800	65,212
ANHEUSER-BUSCH INBEV SPN ADR	48,050	2,909,428
ANNALY CAPITAL MGMT INC REIT	761,556	5,955,368
ANSYS INC	4,350	1,744,872
APOGEE ENTERPRISES INC	25,400	1,223,010
APPLE INC	171,323	30,421,825
APTARGROUP INC	16,179	1,981,604
ARBOR REALTY TRUST INC	82,100	1,504,072
ARCBEST CORP	10,800	1,294,380
ARCHER DANIELS MIDLAND CO	290,400	19,628,136
ARES CAPITAL CORP	49,100	1,040,429
ARES MANAGEMENT CORP CL A	48,042	3,904,373
ARROW ELECTRONICS INC	18,300	2,457,141
ARTHUR J GALLAGHAR AND CO	7,300	1,238,591
ASBURY AUTOMOTIVE GROUP INC	4,400	760,012
ASGN INC	30,241	3,731,739
ASPEN TECHNOLOGIES	13,746	2,092,141
ASSOCIATED BANC CORP	125,700	2,839,563
ASSURED GUARANTY LTD	15,080	757,016
AT&T INC	201,131	4,947,823
ATKORE INC	23,500	2,612,965
ATLAS AIR WORLD	18,700	1,760,044
ATLASSIAN CORP PLC CLS A	27,844	10,616,639
AUDACY INC CORP CL A	148,700	382,159
AUTODESK INC	76,570	21,530,718
AUTOMATIC DATA PROCESSING INC	9,800	2,416,484
AUTOZONE INC	2,384	4,997,794

AVALARA INC	26,456	3,415,734
AVALONBAY COMMUNITIES INC REIT	5,800	1,465,022
AVAYA HOLDINGS CORP	28,000	554,400
AVERY DENNISON CORP	2,900	628,053
AXON ENTERPRISE INC	29,318	4,602,926
AZENTA INC	53,324	5,498,238
AZZ INC	23,437	1,295,832
BAKER HUGHES CO	110,000	2,646,600
BANCO LATINOAMERICANO DE COMER(BLADEX)	15,400	255,640
BANK OF AMERICA CORPORATION	262,723	11,688,546
BANK OF NEW YORK MELLON CORP	151,750	8,813,640
BANKUNITED INC	67,988	2,876,572
BANNER CORP	11,882	720,881
BARNES GROUP INC	42,846	1,996,195
BAXTER INTL INC	214,500	18,412,680
BEAUTY HEALTH CO/THE	78,389	1,893,878
BECTON DICKINSON & CO	350	88,018
BELDEN INC	35,781	2,351,885
BERKSHIRE HATHAWAY INC CL B	11,000	3,289,000
BERKSHIRE HILLS BANCORP INC	46,599	1,324,810
BERRY GLOBAL GROUP INC	26,400	1,947,792
BIG LOTS INC	23,600	1,063,180
BIO TECHNE CORP	10,139	5,245,310
BIOGEN INC	12,226	2,933,262
BIOMARIN PHARMACEUTICAL INC	31,000	2,738,850
BIONTECH SE ADR	10,459	2,696,330
BLACKROCK INC	2,220	2,032,543
BLOCK INC CL A	46,118	7,448,518
BLUEPRINT MEDICINES CORP	27,123	2,905,145
BORGWARNER INC	28,800	1,298,016
BOSTON PROPERTIES INC	34,711	3,998,013
BOTTOMLINE TECHNOLOGIES INC	50,393	2,845,693
BOX INC CL A	59,493	1,558,122
BRILLIANCE CHINA AUTOMOTIVE	683,000	639,522
BRIGHT HORIZONS FAMILY SOLUTIONS INC	30,816	3,879,118
BRIGHTHOUSE FINANCIAL INC	25,000	1,295,000
BRINKS CO	69,023	4,525,838
BRISTOL-MYERS SQUIBB CO	139,102	8,673,010
BRIXMOR PPTY GROUP INC	76,000	1,931,160
BROADCOM INC	33,340	22,184,769
BRP GROUP INC	39,685	1,433,025
BRUNSWICK CORP	16,000	1,611,680
BUILDERS FIRSTSOURCE	104,472	8,954,295
BUNGE LIMITED	8,153	761,164
BURLINGTON STORES INC	15,913	4,638,799
BWX TECHNOLOGIES INC	98,680	4,724,798
C N A FINANCIAL CORP	33,100	1,459,048
CABLE ONE INC	2,344	4,133,527
CABOT CORP	20,800	1,168,960

CACI INTERNATIONAL INC	2,400	646,104
CADENCE DESIGN SYSTEMS INC	12,200	2,273,470
CALAMP CORP	114,540	808,652
CAL-MAINE FOODS INC	28,184	1,042,526
CAMDEN PROPERTY TRUST - REIT	8,000	1,429,440
CAMECO CORP	185,459	4,044,861
CAPITAL ONE FINANCIAL CORP	89,429	12,975,254
CAPRI HOLDINGS LTD	9,500	616,645
CARDINAL HEALTH INC	19,740	1,016,413
CARLISLE COS INC	9,031	2,240,772
CARRIER GLOBAL CORP	43,000	2,332,320
CARS.COM INC	47,900	770,711
CARTERS INC	25,623	2,593,560
CARVANA CO CL A	13,705	3,176,682
CASEY GENERAL STORES	5,500	1,085,425
CATALENT INC	26,434	3,384,345
CATALYST PHARMACEUTICALS INC	141,409	957,339
CATHAY GENERAL BANCORP	27,400	1,177,926
CBOE GLOBAL MARKETS INC	4,200	547,680
CDW CORPORATION	3,000	614,340
CEDAR REALTY TRUST INC	52,530	1,319,028
CELANESE CORP	99,219	16,674,745
CELSIUS HOLDINGS INC	26,888	2,005,038
CENTRAL VALLEY CMNTY BANCORP	13,200	274,164
CERNER CORP	18,400	1,708,808
CERTARA INC	70,003	1,989,485
CH ROBINSON WORLDWIDE INC	13,800	1,485,294
CHARLES RIVER LABS INTL INC	26,010	9,800,048
CHART INDUSTRIES INC	10,848	1,730,148
CHARTER COMMUNICATIONS INC A	22,507	14,673,889
CHEMED CORP	14,272	7,550,459
CHEMOURS CO/ THE	35,000	1,174,600
CHEVRON CORP	25,647	3,009,675
CHIMERA INVESTMENT CORP	68,100	1,026,948
CHIPOTLE MEXICAN GRILL INC	3,799	6,641,602
CIENA CORP	20,756	1,597,589
CIGNA CORP	129,091	29,643,166
CIRRUS LOGIC INC	15,900	1,463,118
CISCO SYSTEMS INC	427,200	27,071,664
CITIGROUP INC	112,351	6,784,877
CITY OFFICE REIT INC	94,700	1,867,484
CLEAN HARBORS INC	7,900	788,183
CMS ENERGY CORP	18,300	1,190,415
CNO FINANCIAL GROUP INC	108,500	2,586,640
CNX RESOURCES CORP	64,200	882,750
COCA COLA CO	33,400	1,977,614
COGNIZANT TECH SOLUTIONS CL A	282,763	25,086,733
COHEN & STEERS INC	27,836	2,575,108
COHERUS BIOSCIENCES INC	85,818	1,369,655

	COLGATE-PALMOLIVE CO	20,600	1,758,004
	COMCAST CORP CL A	547,532	27,557,286
	COMERICA INC	24,734	2,151,858
	CONAGRA BRANDS INC	598,067	20,423,988
	CONOCOPHILLIPS	372,169	26,863,158
	CONSENSUS CLOUD SOLUTION	912	52,777
	CONSOLIDATED EDISON INC	6,800	580,176
	COPART INC	10,900	1,652,658
	CORECIVIC INC	62,748	625,598
	COSTCO WHOLESALE CORP	19,299	10,956,042
	COUSINS PROPERTIES INC	22,500	906,300
	COVENANT LOGISTICS GROUP INC	47,100	1,244,853
	CROWDSTRIKE HOLDINGS INC	26,514	5,428,742
	CROWN HOLDINGS INC	54,637	6,043,945
	CUBESMART	19,800	1,126,818
	CUMMINS INC	8,487	1,851,354
	CUSTOMERS BANCORP INC	22,100	1,444,677
	CVS HEALTH CORP	210,100	21,673,916
	DANAHER CORP	18,371	6,044,243
	DAVITA INC	15,300	1,740,528
*	DELL TECHNOLOGIES INC CL C	36,410	2,045,150
	DELUXE CORP	22,700	728,897
	DENBURY INC	48,313	3,700,293
	DESIGNER BRANDS INC	67,758	962,841
	DEXCOM INC	6,417	3,445,608
	DIAMONDBACK ENERGY INC	14,090	1,519,607
	DICKS SPORTING GOODS INC	24,000	2,759,760
	DISCOVER FIN SVCS	158,100	18,270,036
	DISCOVERY INC A	43,380	1,021,165
	DISH NETWORK CORP A	85,000	2,757,400
	DISNEY (WALT) CO	121,138	18,763,065
	DOCUSIGN INC	68,071	10,367,894
	DOLBY LABORATORIES INC CL A	800	76,176
	DOLLAR GENERAL CORP	87,597	20,658,002
	DOLLAR TREE INC	132,900	18,675,108
	DOMINION ENERGY INC	6,000	471,360
	DOMINOS PIZZA INC	13,028	7,352,091
	DOVER CORP	106,168	19,280,109
	DROPBOX INC CL B	6,098	149,645
	DTE ENERGY CO	12,400	1,482,296
	DUKE ENERGY CORP NEW	9,400	986,060
	DUPONT DE NEMOURS INC	238,358	19,254,559
	DYCOM INDUSTRIES INC	35,863	3,362,515
	DYNATRACE INC	90,937	5,488,048
	EASTMAN CHEMICAL CO	9,000	1,088,190
	EATON CORP PLC	22,426	3,875,661
	ECOLAB INC	5,200	1,219,868
	EDGEWELL PERSONAL CARE CO	26,900	1,229,599
	EDISON INTL	268,600	18,331,950

EHEALTH INC	18,794	479,247
ELANCO ANIMAL HEALTH INC	56,000	1,589,280
ELECTRONIC ARTS INC	12,900	1,701,510
EMCOR GROUP INC	16,600	2,114,674
ENCOMPASS HEALTH CORP	22,873	1,492,692
ENERGIZER HLDGS INC	20,800	834,080
ENTEGRIS INC	25,725	3,564,971
EPAM SYSTEMS INC	3,240	2,165,778
EQUITABLE HOLDINGS INC	161,948	5,310,275
EQUITY RESIDENTIAL REIT	205,400	18,588,700
ESSENT GROUP LTD	15,500	705,715
ESSEX PROPERTY TRUST INC	16,812	5,921,691
ESTEE LAUDER COS INC CL A	29,796	11,030,479
EURONET WORLDWIDE INC	55,312	6,591,531
EVERCORE INC A	10,090	1,370,727
EVEREST REINSURANCE GROUP LTD	4,800	1,314,816
EVERGY INC	20,800	1,427,088
EXELON CORP	18,200	1,051,232
EXPEDITORS INTL OF WASH INC	13,400	1,799,486
EXTRA SPACE STORAGE INC	7,800	1,768,494
FACTSET RESEARCH SYSTEMS INC	1,030	500,590
FAIR ISAAC CORP	1,030	446,680
FARMERS NATL BANC CORP	53,700	996,135
FEDERAL AGRI MTG NON VTG CL C	6,050	749,777
FEDEX CORP	38,000	9,828,320
FIDELITY NATIONAL FINL INC	12,100	631,378
FIDELITY NATL INFORM SVCS INC	167,823	18,317,880
FIRST AMERICAN FINANCIAL CORP	20,200	1,580,246
FIRST BUSEY CORP	36,994	1,003,277
FIRST HORIZON CORP	114,300	1,866,519
FIRSTSERVICE CORP	15,154	2,977,306
FISERV INC	81,000	8,406,990
FLOWERS FOODS INC	31,300	859,811
FLOWSERVE CORP	28,691	877,945
FOOT LOCKER INC	36,600	1,596,858
FORTINET INC	6,381	2,293,331
FOX CORP CL A	138,400	5,106,960
FOX CORPORATION B	38,970	1,335,502
FOX FACTORY HOLDING CORP	25,919	4,408,822
FRANKLIN STREET PPTYS CORP	122,100	726,495
FS KKR CAP CORP	55,175	1,155,365
FTI CONSULTING INC	28,397	4,356,668
GAMING AND LEISURE PROPRTI INC	29,305	1,425,981
GAP INC	60,000	1,059,000
GARTNER INC	83,908	28,052,123
GENPACT LTD	29,200	1,549,936
GILEAD SCIENCES INC	118,102	8,575,386
GLAXOSMITHKLINE PLC SPONS ADR	240,000	10,584,000
GLOBANT SA	2,800	879,452

GOLDMAN SACHS GROUP INC	17,200	6,579,860
GOODYEAR TIRE & RUBBER CO	65,500	1,396,460
GRAY TELEVISION INC	48,600	979,776
GUIDEWIRE SOFTWARE INC	28,944	3,286,012
HAEMONETICS CORP MASS	12,966	687,717
HALLIBURTON CO	12,000	274,440
HALOZYME THERAPEUTICS INC	78,961	3,175,022
HANMI FINANCIAL CORPORATION	43,900	1,039,552
HANOVER INSURANCE GROUP INC	4,400	576,664
HARLEY-DAVIDSON INC	32,800	1,236,232
HARSCO CORP	134,986	2,255,616
HAWAIIAN ELECTRIC INDS INC	17,900	742,850
HAWAIIAN HLDGS INC	34,600	635,602
HCA HEALTHCARE INC	49	12,589
HEALTHEQUITY INC	80,528	3,562,559
HEICO CORP CL A	31,683	4,071,899
HELEN OF TROY LTD	8,231	2,012,233
HENRY (JACK) & ASSOCIATES INC	7,822	1,306,196
HENRY SCHEIN INC	8,700	674,511
HERBALIFE NUTRITION LTD	44,083	1,804,317
HERSHA HOSPITALITY TR REIT	77,400	709,758
HERSHEY CO (THE)	8,900	1,721,883
HESS CORP	27,100	2,006,213
HEWLETT PACKARD ENTERPRISE CO	295,000	4,652,150
HILLENBRAND INC	12,300	639,477
HOLLYFRONTIER CORP	58,350	1,912,713
HOME DEPOT INC	4,450	1,846,795
HONDA MOTOR LTD SPON ADR	105,000	2,987,250
HONEYWELL INTL INC	88,900	18,536,539
HOPE BANCORP INC	109,000	1,603,390
HORIZON THERAPEUTICS PLC	35,088	3,781,083
HP INC	404,971	15,255,258
HUBSPOT INC	4,217	2,779,636
HUMANA INC	10,051	4,662,257
HUNT J B TRANSPORT SERVICES IN	8,600	1,757,840
HUNTINGTON BANCSHARES INC	116,454	1,795,721
HUNTINGTON INGALLS INDUSTRIES INC	6,200	1,157,788
HUNTSMAN CORP	45,500	1,587,040
HURON CONSULTING GROUP INC	33,870	1,690,113
IDACORP INC	7,300	827,163
IDEX CORPORATION	9,031	2,134,206
IDEXX LABS INC	1,780	1,172,059
II-VI INC.	7,500	512,475
ILLUMINA INC	31,179	11,861,739
INCYTE CORP	33,000	2,422,200
INDUSTRIAL LOGISTICS PROPERTIES TR	39,300	984,465
INFINERA CORP	85,454	819,504
INGLES MARKETS INC-CL A	14,200	1,226,028
INGREDION INC	21,700	2,097,088

INNOVIVA INC	89,900	1,550,775
INSMED INC	72,839	1,984,134
INSPIRE MEDICAL SYSTEMS INC	13,483	3,101,899
INTEL CORP	118,579	6,106,819
INTERPUBLIC GROUP OF COS INC	28,400	1,063,580
INTUIT INC	4,840	3,113,185
INTUITIVE SURGICAL INC	52,616	18,904,929
IPG PHOTONICS CORP	8,921	1,535,661
IQVIA HOLDINGS INC	4,250	1,199,095
IRON MOUNTAIN INC	29,600	1,548,968
IRONWOOD PHARMA CL A (PEND)	147,300	1,717,518
ITRON INC	35,767	2,450,755
J&J SNACKS FOOD CORP	2,267	358,095
JABIL INC	41,300	2,905,455
JAZZ PHARMA PLC	15,500	1,974,700
JBG SMITH PROPERTIES	53,733	1,542,674
JEFFERIES FINANCIAL GROUP INC	35,800	1,389,040
JETBLUE AIRWAYS CORP	41,400	589,536
JM SMUCKER CO/THE	64,346	8,739,474
JOHNSON & JOHNSON	123,900	21,195,573
JOHNSON CONTROLS INTERNATIONAL PLC	186,973	15,202,775
JUNIPER NETWORKS INC	134,700	4,810,137
KB HOME	21,385	956,551
KBR INC	46,475	2,213,140
KELLOGG CO	14,059	905,681
KELLY SERVICES INC CL A	40,900	685,893
KERING	8,681	6,986,518
KEYCORP	223,352	5,166,132
KIMBERLY CLARK CORP	8,772	1,253,694
KINDER MORGAN INC	308,188	4,887,862
KLA CORP	400	172,044
KNIGHT-SWIFT TRANSPORTATION HOLDINGS INC	25,732	1,568,108
KNOWLES CORP	94,284	2,201,531
KOHLS CORP	19,100	943,349
KOPPERS HLDGS INC	32,250	1,009,425
KORNIT DIGITAL LTD	12,733	1,938,599
KRATON CORP	38,757	1,795,224
KROGER CO	249,688	11,300,879
L3HARRIS TECHNOLOGIES INC	47,196	10,064,075
LA Z BOY INC	31,200	1,132,872
LABORATORY CORP OF AMER HLDGS	4,750	1,492,498
LAM RESEARCH CORP	900	647,235
LANDSTAR SYSTEM INC	6,400	1,145,728
LAZARD LTD CL A	22,800	994,764
LEAR CORP NEW	6,300	1,152,585
LESLIE'S INC	182,051	4,307,327
LIBERTY GLOBAL PLC CL C	97,293	2,732,960
LIFE STORAGE INC	8,000	1,225,440
LIGAND PHARMACEUTICALS	18,983	2,932,114

LILLY (ELI) & CO	36,231	10,007,727
LINCOLN ELECTRIC HLDGS INC	15,762	2,198,326
LINCOLN NATIONAL CORP	29,300	2,000,018
LIVE NATION ENTERTAINMENT INC	23,486	2,811,039
LKQ CORP	25,614	1,537,608
LOEWS CORP	45,571	2,632,181
LOWES COS INC	13,431	3,471,645
LULULEMON ATHLETICA INC	17,759	6,951,761
LUMEN TECHNOLOGIES INC	679,080	8,522,454
LVMH MOET HENNESSY LOUIS VUITTON SE	11,781	9,751,010
LYONDELLBASELL INDS CLASS A	5,100	470,373
M D C HOLDINGS INC	19,600	1,094,268
MACOM TECHNOLOGY SOLUTIONS HOLDINGS INC	47,479	3,717,606
MACYS INC	44,200	1,157,156
MANHATTAN ASSOCIATES INC	2,100	326,529
MANPOWERGROUP INC	16,200	1,576,746
MARATHON OIL CORP	108,100	1,775,002
MARATHON PETROLEUM CORP	17,135	1,096,469
MARKEL CORP	1,130	1,394,420
MARRIOTT INTERNATIONAL INC A	21,086	3,484,251
MARTIN MARIETTA MATERIALS INC	11,288	4,972,590
MASTERCARD INC CL A	115,530	41,512,240
MATCH GROUP INC	89,504	11,836,904
MATTHEWS INTL CORP CL A	46,695	1,712,306
MCKESSON CORP	34,093	8,474,497
MDU RESOURCES GROUP INC	50,200	1,548,168
MEDICAL PPTY TR INC	50,900	1,202,767
MEDNAX INC	35,646	969,928
MEDTRONIC PLC	16,400	1,696,580
MERCADOLIBRE INC	4,563	6,152,749
MERCK & CO INC NEW	265,200	20,324,928
MERCURY GENERAL CORP	7,200	382,032
MERCURY SYSTEMS INC	66,365	3,654,057
MERIT MEDICAL SYSTEMS INC	91,950	5,728,485
META PLATFORMS INC CL A	176,605	59,401,092
METHODE ELECTRONICS INC	21,600	1,062,072
METLIFE INC	445,199	27,820,486
METTLER-TOLEDO INTL INC	970	1,646,294
MGIC INVESTMENT CORP	73,200	1,055,544
MICRO FOCUS INTERNATIONAL PLC SPND ADR	125,000	696,250
MICROCHIP TECHNOLOGY	66,500	5,789,490
MICROSOFT CORP	214,253	72,057,569
MID AMERICA APT CMNTY INC	7,900	1,812,576
MILLERKNOLL INC	14,700	576,093
MKS INSTRUMENTS INC	10,896	1,897,756
MOLINA HEALTHCARE INC	9,992	3,178,255
MOLSON COORS BEVERAGE CO B	117,800	5,460,030
MONOLITHIC POWER SYS INC	870	429,197
MONRO INC	34,970	2,037,702

MOSAIC CO NEW	49,700	1,952,713
MOTOROLA SOLUTIONS INC	69,600	18,910,320
MSA SAFETY INC	2,400	362,304
MSCI INC	17,072	10,459,844
NASDAQ INC	11,890	2,497,019
NATIONAL BK HLDGS CORP	58,984	2,591,757
NATIONAL FUEL GAS CO NJ	16,500	1,055,010
NATIONAL INSTRUMENT CORP	74,841	3,268,306
NATIONAL VISION HOLDINGS INC	67,224	3,226,080
NAVIENT CORP	117,900	2,501,838
NCR CORP	72,299	2,906,420
NETFLIX INC	56,347	33,945,687
NEW FORTRESS ENERGY INC	64,442	1,555,630
NEW MOUNTAIN FINANCE CORP	74,300	1,017,910
NEW RESIDENTIAL INVESTMENT CRP	60,700	650,097
NEWELL BRANDS INC	64,400	1,406,496
NEWMARKET CORP	1,250	428,400
NEWMONT CORP	26,600	1,649,732
NEWS CORP NEW CL A	28,000	624,680
NEXSTAR MEDIA GROUP INC A	7,600	1,147,448
NEXTERA ENERGY	20,400	1,904,544
NEXTIER OILFIELD SOLUTIONS INC	171,415	608,523
NICE LTD SPON ADR	17,337	5,263,513
NIKE INC CL B	146,385	24,397,988
NORDSTROM INC	10,900	246,558
NORTHERN TRUST CORP	9,900	1,184,139
NORTHROP GRUMMAN CORP	54,206	20,981,516
NORTHWESTERN CORP	15,979	913,360
NOVANTA INC	16,148	2,847,377
NOVARTIS AG SPON ADR	73,000	6,385,310
NRG ENERGY INC	51,400	2,214,312
NU SKIN ENTERPRISES INC CL A	30,530	1,549,398
NVIDIA CORP	97,493	28,673,666
OAKTREE SPECIALTY LENDING CORP	6,500	48,490
OCCIDENTAL PETROLEUM CORP	257,000	7,450,430
OCCIDENTAL PETROLEUM CORP WT 08/03/27	53,128	669,944
OCEANEERING INTERNATIONAL INC	58,255	658,864
ODP CORP/THE	21,700	852,376
OFFICE PROPERTIES INCOME TRUST	37,066	920,719
O-I GLASS INC	60,700	730,221
OKTA INC CL A	11,686	2,619,651
OLD DOMINION FREIGHT LINES INC	6,000	2,150,280
OMEGA HEALTHCARE INVESTORS INC	35,500	1,050,445
OMNICOM GROUP INC	23,055	1,689,240
ORACLE CORP	325,967	28,427,582
O'REILLY AUTOMOTIVE INC	7,357	5,195,734
OSHKOSH CORP	10,300	1,160,913
OTIS WORLDWIDE CORP	13,000	1,131,910
OVERSTOCK.COM INC DEL	40,135	2,368,366

OWENS CORNING INC	19,900	1,800,950
PACIFIC BIOSCIENES OF CALI INC	83,171	1,701,679
PACIFIC PREMIER BANCORP INC	41,128	1,646,354
PACWEST BANCORP	38,980	1,760,727
PAYPAL HLDGS INC	95,010	17,916,986
PEGASYSTEMS INC	19,754	2,208,892
PENSKE AUTOMOTIVE GROUP INC	13,700	1,468,914
PENUMBRA INC	18,248	5,243,015
PEPSICO INC	16,000	2,779,360
PERFORMANCE FOOD GROUP CO	79,016	3,626,044
PETIQ INC CL A	35,224	799,937
PFIZER INC	52,200	3,082,410
PHILIP MORRIS INTL INC	36,884	3,503,980
PIEDMONT OFFICE REALTY TRUST A	63,200	1,161,616
PILGRIM'S PRIDE CORP NEW	19,288	543,922
POPULAR INC	35,100	2,879,604
PREFERRED APT CMNTYS INC CL A	84,400	1,524,264
PREFERRED BANK LOS ANGELES	8,600	617,394
PRESTIGE CONSUMER HEALTHCARE INC	25,925	1,572,351
PRICE (T ROWE)GROUP INC	25,070	4,929,765
PRIMORIS SVCS CORP	21,300	510,774
PROCTER & GAMBLE CO	20,700	3,386,106
PROGRESSIVE CORP OHIO	51,642	5,301,051
PROLOGIS INC REIT	11,800	1,986,648
PRUDENTIAL FINANCIAL INC	26,242	2,840,434
PUBLIC STORAGE	5,800	2,172,448
PUBLIC SVC ENTERPRISE GRP INC	9,600	640,608
PULTEGROUP INC	98,648	5,638,720
PURE STORAGE INC CL A	203,546	6,625,422
QIAGEN NV	16,500	917,070
QUAD/GRAPHICS INC CL A	75,600	302,400
QUALCOMM INC	1,000	182,870
QUEST DIAGNOSTICS INC	40,260	6,965,383
QUIDEL CORP	5,068	684,129
QURATE RETAIL INC	188,300	1,431,080
RADIAN GROUP INC	44,600	942,398
RANGE RESOURCES CORP	82,448	1,470,048
RAYMOND JAMES FINANCIAL INC.	15,850	1,591,340
RAYTHEON TECHNOLOGIES CORP	310,413	26,714,143
REGENERON PHARMACEUTICALS INC	2,380	1,503,018
REGIONS FINANCIAL CORP	62,500	1,362,500
REINSURANCE GROUP OF AMERICA	7,600	832,124
RELIANCE STEEL & ALUMINUM CO	13,100	2,125,082
RENAISSANCERE HLDGS LTD	2,100	355,593
REPUBLIC SERVICES INC	14,000	1,952,300
RESMED INC	5,550	1,445,664
RETAIL VALUE INC	9,205	59,096
REV GROUP INC	55,034	778,731
REVOLVE GROUP INC	56,904	3,188,900

RITCHIE BROS AUCTIONEERS	49,542	3,032,466
ROBLOX CORP	38,601	3,982,079
ROCHE HOLDING LTD SPON ADR	86,000	4,445,340
ROGERS CORP	13,375	3,651,375
ROPER TECHNOLOGIES INC	3,200	1,573,952
ROYAL GOLD INC	8,500	894,285
S&P GLOBAL INC	6,593	3,111,434
SABRA HEALTHCARE REIT INC	74,300	1,006,022
SALESFORCE.COM INC	171,126	43,488,250
SALLY BEAUTY HLDGS INC	49,800	919,308
SANDY SPRING BANCORP INC	20,400	980,832
SANMINA CORP	27,800	1,152,588
SANOFI SPON ADR	240,000	12,024,000
SCHLUMBERGER LTD	110,000	3,294,500
SCHNEIDER NATIONAL INC CL B	14,700	395,577
SCHWAB CHARLES CORP	173,000	14,549,300
SCHWEITZER-MAUDUIT INTL INC	15,400	460,460
SCIENCE APPLICATIONS INTERNATIONAL CORP	3,400	284,206
SEA LTD ADR	14,650	3,277,352
SEABOARD CORP	56	220,361
SEAGATE TECHNOLOGY HOLDINGS PLC	37,185	4,201,161
SEAGEN INC	1,400	216,440
SELECT MEDICAL HLDGS CORP	38,100	1,120,140
SERVICE CORP INTERNATIONAL INC	17,700	1,256,523
SERVICE PPTYS TR	68,700	603,873
SERVICENOW INC	38,323	24,875,843
SHOE CARNIVAL	526	20,556
SHOPIFY INC CL A	15,490	21,335,771
SIGNATURE BANK	7,423	2,401,118
SILGAN HOLDINGS INC	42,444	1,818,301
SIMON PPTY GROUP INC - REIT	53,319	8,518,777
SIXTH STREET SPECIALTY LENDING INC	38,900	909,871
SKYWEST INC	13,200	518,760
SLR INVESTMENT CORP	83,383	1,536,749
SNAP INC - A	146,984	6,912,658
SNAP-ON INCORPORATED	7,900	1,701,502
SNOWFLAKE INC CL A	14,681	4,973,189
SOLAREDGE TECHNOLOGIES INC	14,424	4,046,942
SOUTHERN CO	16,800	1,152,144
SPARTANNASH CO	44,500	1,146,320
SPOTIFY TECHNOLOGY SA	19,793	4,632,156
SPROUTS FMRS MKT INC	35,900	1,065,512
SS&C TECHNOLOGIES HOLDINGS INC	2,800	229,544
STAG INDUSTRIAL INC	12,966	621,849
STARBUCKS CORP	142,916	16,716,885
STATE STREET CORP	56,000	5,208,000
STEM INC	72,505	1,375,420
SUN COMMUNITIES INC - REIT	7,100	1,490,787
SUPERNUS PHARMACEUTICALS INC	55,369	1,614,560

SYNCHRONY FINANCIAL	121,290	5,626,643
SYNOPSYS INC	6,250	2,303,125
TAKE-TWO INTERACTV SOFTWR INC	8,100	1,439,532
TANGER FACTORY OUTLET - REIT	45,000	867,600
TARGET CORP	10,500	2,430,120
TD SYNNEX CORP	7,944	908,476
TE CONNECTIVITY LTD	29,500	4,759,530
TEGNA INC	50,800	942,848
TELEDYNE TECHNOLOGIES INC	3,698	1,615,619
TENNECO INC	28,100	317,530
TESLA INC	36,938	39,035,340
TEXAS CAPITAL BANCSHARES INC	22,420	1,350,805
TEXAS INSTRUMENTS INC	14,500	2,732,815
TFS FINANCIAL CORP	8,300	148,321
THE BOOKING HOLDINGS INC	2,048	4,913,623
THERMO FISHER SCIENTIFIC INC	1,540	1,027,550
TJX COMPANIES INC NEW	329,826	25,040,390
T-MOBILE US INC	47,600	5,520,648
TOLL BROTHERS INC	30,400	2,200,656
TRADE DESK INC	47,713	4,372,419
TRAVELERS COMPANIES INC	5,500	860,365
TREEHOUSE FOODS INC	30,159	1,222,344
TREX CO INC	51,408	6,941,622
TRITON INTERNATIONAL LTD	46,700	2,812,741
TRONOX HOLDINGS PLC	137,514	3,304,461
TRUIST FINL CORP	356,600	20,878,930
TTM TECHNOLOGIES INC	56,800	846,320
TWILIO INC CLASS A	17,397	4,581,326
TWIST BIOSCIENCE CORP	25,099	1,942,412
TYLER TECHNOLOGIES INC	3,480	1,872,066
TYSON FOODS INC CL A	2,900	252,764
UBER TECHNOLOGIES INC	169,634	7,112,754
ULTRA CLEAN HOLDINGS INC	19,300	1,107,048
UMPQUA HOLDINGS CORP	77,900	1,498,796
UNION PACIFIC CORP	26,408	6,652,967
UNITED COMMUNITY BANKS GA	26,889	966,391
UNITED PARCEL SERVICE INC CL B	10,700	2,293,438
UNITED THERAPEUTICS CORP DEL	11,370	2,456,830
UNITEDHEALTH GROUP INC	59,880	30,068,143
UNITI GROUP INC	66,720	934,747
UNIVERSAL HEALTH SVCS INC CL B	14,400	1,867,104
UNIVERSAL INSURANCE HLDGS INC	51,700	878,900
UNUM GROUP	47,800	1,174,446
US BANCORP DEL	326,100	18,317,037
VALERO ENERGY CORP	8,434	633,478
VANDA PHARMACEUTICALS INC	49,300	773,517
VARONIS SYSTEMS INC	55,029	2,684,315
VECTRUS INC-WI	14,300	654,511
VEEVA SYS INC CL A	3,450	881,406

DELL INC. 401(k) PLAN

EIN 74-2487834, PLAN 001

SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2021

VENTAS INC REIT	59,166	3,024,566
VERACYTE INC	61,755	2,544,306
VERISIGN INC	6,900	1,751,358
VERIZON COMMUNICATIONS INC	385,600	20,035,776
VIATRIS INC	1,403,270	18,986,243
VIRTU FINANCIAL INC- CL A	154,204	4,445,701
VISA INC CL A	161,955	35,097,268
VISHAY INTERTECHNOLOGY INC	46,200	1,010,394
VISTRA CORP	84,000	1,912,680
VMWARE INC CL A	66,043	7,653,063
VOYA FINANCIAL INC	19,368	1,284,292
WALGREENS BOOTS ALLIANCE INC	43,218	2,254,251
WALMART INC	16,400	2,372,916
WASTE MANAGEMENT INC	12,700	2,119,630
WEC ENERGY GROUP INC	15,800	1,533,706
WEIS MARKETS INC	14,700	968,436
WELLS FARGO & CO	308,000	14,777,840
WEST PHARMACEUTICAL SVCS INC	3,650	1,711,887
WESTERN ALLIANCE BANCORP	36,294	3,907,049
WESTERN UNION CO	135,824	2,423,100
WESTINGHOUSE AIR BRAKE TECH CORP	5,969	549,805
WESTROCK CO	36,800	1,632,448
WEYERHAEUSER CO	58,169	2,395,399
WHIRLPOOL CORP	12,500	2,933,250
WHITE MOUNTAINS INS GROUP LTD	500	506,950
WILLIAMS COMPANIES INC	453,857	11,818,436
WILLIAMS-SONOMA INC	10,663	1,803,433
WILLSCOT MOBILE MINI HOLDINGS CORP	45,609	1,862,672
WINNEBAGO INDUSTRIES INC	11,500	861,580
WOLFSPEED INC	18,228	2,037,344
WOLVERINE WORLD WIDE INC	66,998	1,930,212
WORKIVA INC	23,282	3,038,068
WORLD FUEL SERVICES CORP	42,800	1,132,916
WORLD WRESTLING ENTMT CL A	24,482	1,207,942
WSFS FINANCIAL CORP	16,556	829,787
WYNDHAM HOTELS & RESORTS INC	64,514	5,783,680
XCEL ENERGY INC	10,500	710,850
XEROX HOLDINGS CORP	52,100	1,179,544
XPERI HOLDING CORP	86,662	1,638,778
ZIFF DAVIS INC	5,980	662,943
ZIONS BANCORP	35,400	2,235,864
ZOETIS INC CL A	126,840	30,952,766
ZYNGA INC	454,658	2,909,811
Total Common Stocks		$ 3,324,660,129
Convertible Security -		
MAGIC LEAP INC PC SER C PP	7,344	$ 4,442

DELL INC. 401(k) PLAN

EIN 74-2487834, PLAN 001

SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2021

Corporate Debt:				
3M CO	2% 02/14/2025	493,000	$	504,329
7 ELEVEN INC	0.95% 02/10/2026 144A	311,000		301,150
ABBVIE INC	2.3% 11/21/2022	360,000		365,027
ABBVIE INC	2.6% 11/21/2024	480,000		498,064
ABBVIE INC	2.9% 11/06/2022	130,000		132,395
ABBVIE INC	3.45% 03/15/2022	409,000		409,374
AEP TEXAS INC	3.85% 10/01/2025 144A	457,000		487,479
AERCAP IRELAND CAP LTD / AERCAP GLOBAL A	1.650% 10/29/2024	345,000		344,374
AFLAC INC	1.125% 03/15/2026	373,000		366,180
AIG GLOBAL FDG	0.9% 09/22/2025 144A	424,000		411,489
ALIBABA GROUP HOLDING LTD	2.8% 06/06/2023	350,000		357,588
AMERICAN ELECTRIC POWER INC	0.75% 11/01/2023	419,000		416,113
AMERICAN EXPRESS CO	2.5% 07/30/2024	309,000		319,246
AMERICAN HONDA FINANCE CORP	0.65% 09/08/2023	348,000		346,946
AMERICAN HONDA FINANCE CORP	1.3% 09/09/2026	124,000		122,477
AMERICAN HONDA FINANCE CORP	2.05% 01/10/2023	400,000		405,902
AMERICAN INTERNATIONAL GRP INC	3.75% 07/10/2025	220,000		235,404
AMERICAN TOWER CORP	3.5% 01/31/2023	266,000		273,145
AMERIPRISE FINL INC	3% 04/02/2025	206,000		215,043
AMERISOURCEBERGEN CORP	0.737% 03/15/2023	299,000		298,218
ANALOG DEVICES INC	2.95% 04/01/2025	226,000		237,325
ANTHEM INC	2.375% 01/15/2025	290,000		299,037
APPLE INC	0.7% 02/08/2026	153,000		149,875
APPLE INC	2.75% 01/13/2025	416,000		434,596
ARIZONA PUBLIC SERVICE CO	3.35% 06/15/2024	455,000		473,638
ASSURANT INC	4.2% 09/27/2023	450,000		472,577
AT&T INC	1.7% 03/25/2026	340,000		338,244
AT&T INC STEP	12/15/2023	375,000		396,761
ATHENE GLOBAL FUNDING	2.55% 06/29/2025 1	228,000		234,273
ATMOS ENERGY CORP	0.625% 03/09/2023	517,000		515,016
AUSTRALIA & NZ BKG GRP LTD NEW YORK BRH	2.050% 11/21/2022	375,000		380,343
AVANGRID INC	3.2% 04/15/2025	379,000		398,293
AVIATION CAPITAL GROUP LLC	5.5% 12/15/2024	646,000		706,781
AVOLON HOLDINGS FUNDING LTD	4.375% 05/01/2026	419,000		448,774
BAKER HUGHES A GE CO LLC / CO-OBLIGOR IN	2.061% 12/15/2026	293,000		295,629
BANCO SANTANDER SA	3.5% 04/11/2022	200,000		201,524
BANK NEW YORK MELLON CORP	1.6% 04/24/2025	250,000		252,296
BANK NEW YORK MELLON CORP	1.95% 08/23/2022	400,000		403,899
BANK NEW YORK MELLON CORP	2.95% 01/29/2023	286,000		292,311
BANK OF AMERICA CORP	0.976%/VAR 04/22/2025	900,000		892,785
BANK OF AMERICA CORPORATION	0.81%/VAR 10/24/2024	523,000		519,513
BANK OF AMERICA CORPORATION	2.015/VAR 02/13/2026	443,000		448,701
BANK OF AMERICA CORPORATION	3.004%/VAR 12/20/2023	1,598,000		1,631,030
BANK OF AMERICA CORPORATION	3.864%/VAR 07/23/2024	499,000		519,887
BANK OF AMERICA CORPORATION SOFR	0.981% 09/25/2025	345,000		340,811
BANK OF AMERICA CORPORATION SOFR	1.53%/V 12/06/2025	585,000		585,954

BANK OF NOVA SCOTIA	0.55% 09/15/2023	348,000	345,989
BANK OF NOVA SCOTIA	0.7% 04/15/2024	450,000	446,023
BANQUE FED CRED MUTUEL PARIS	2.125% 11/21/2022	375,000	380,202
BARCLAYS PLC (UNGTD)	1.007%/VAR 12/10/2024	236,000	234,278
BAT INTL FINANCE PLC	1.668% 03/25/2026	480,000	471,192
BAXTER INTERNATIONAL INC	1.322% 11/29/2024	362,000	361,493
BELL TELEPHONE CO OF CANADA OR BELL CANA	0.750% 03/17/2024	432,000	427,550
BLACK HILLS CORP	1.037% 08/23/2024	244,000	240,951
BMW US CAPITAL LLC	0.8% 04/01/2024 144A	219,000	217,539
BMW US CAPITAL LLC	3.9% 04/09/2025 144A	407,000	437,723
BOEING CO	4.875% 05/01/2025	345,000	377,454
BOSTON SCIENTIFIC CORP	1.9% 06/01/2025	344,000	347,870
BPCE SA	2.75% 01/11/2023 144A	700,000	714,509
BPCE SA	5.7% 10/22/2023 144A	417,000	448,253
BRISTOL-MYERS SQUIBB CO	0.75% 11/13/2025	422,000	410,977
BRITISH TELECOMMUNICATION PLC	4.5% 12/04/2023	396,000	418,895
BROOKFIELD FIN INC	4% 04/01/2024	310,000	326,745
BUNGE LTD FIN CORP	1.63% 08/17/2025	504,000	502,181
CANADIAN IMPERIAL BK OF COMM	0.5% 12/14/2023	416,000	410,865
CANADIAN IMPERIAL BK OF COMM	0.95% 06/23/2023	365,000	365,445
CANADIAN IMPERIAL BK OF COMM	2.606%/VAR 07/22/2023	303,000	306,127
CANADIAN NATL RESOURCES LTD	2.05% 07/15/2025	478,000	482,569
CAPITAL ONE FINANCIAL CORP	1.878%/VAR 11/02/2027	350,000	348,211
CARGILL INC	1.375% 07/23/2023 144A	195,000	196,527
CATERPILLAR FINL SVCS CORP	2.85% 05/17/2024	410,000	428,010
CATERPILLAR FINL SVCS CORP	3.65% 12/07/2023	320,000	336,840
CGI INC	1.45% 09/14/2026 144A	257,000	251,309
CHEVRON USA INC	0.426% 08/11/2023	323,000	321,534
CHUBB INA HOLDINGS INC	2.875% 11/03/2022	505,000	512,774
CIGNA CORP	1.25% 03/15/2026	260,000	256,246
CITIGROUP INC	0.981%/VAR 5/01/2025	452,000	448,436
CITIGROUP INC	1.678%/VAR 05/15/2024	345,000	348,826
CITIGROUP INC	3.106%/VAR 04/08/2026	95,000	99,591
COMCAST CORP NEW	3.7% 04/15/2024	335,000	355,909
CONAGRA BRANDS INC	0.5% 08/11/2023	633,000	627,550
CONNECTICUT LT & PWR CO	0.75% 12/01/2025	479,000	465,534
CONSUMERS ENERGY CO	3.375% 08/15/2023	330,000	341,066
CREDIT AGRICOLE SA LONDON BRH SOFR	6/16/2026	294,000	294,565
CREDIT SUISSE AG NY BRNCH	0.52% 08/09/2023	292,000	289,925
CREDIT SUISSE AG NY BRNCH	2.95% 04/09/2025	226,000	236,562
CREDIT SUISSE AG NY BRNCH	3.625% 09/09/2024	670,000	710,436
DAIMLER FIN NORTH AMER LLC	3.4% 02/22/2022	460,000	461,875
DANSKE BANK AS (UNGTD)	0.976%/VAR 09/10/2025	412,000	405,652
DANSKE BANK AS (UNGTD)	1.226% 06/22/2024	390,000	389,415

DEERE JOHN CAPITAL CORP	0.4% 10/10/2023	320,000	318,394
DEERE JOHN CAPITAL CORP	2.05% 01/09/2025	365,000	375,035
DEERE JOHN CAPITAL CORP	2.95% 04/01/2022	350,000	352,305
DELTA AIR LINES INC / SKYMILES IP LTD	4.500% 10/20/2025	207,000	217,559
DELTA AIR LINES PASS THRU TRS 2019-1A	3.404% 04/25/2024	250,000	254,114
DELTA AIRLINES INC	2.5% 12/10/2029	168,570	167,841
DEUTSCHE BANK AG NEW YORK BNCH	2.222%/VA 09/18/2024	439,000	444,647
DH EUROPE FINANCE II SARL	2.05% 11/15/2022	715,000	724,166
DISCOVER FINANCIAL SVS	3.95% 11/06/2024	432,000	459,446
DISNEY (WALT) CO	4% 10/01/2023	360,000	379,336
DNB BANK ASA	2.15% 12/02/2022 144A	375,000	380,500
DOMINION ENERGY INC	2.45% 01/15/2023 144	366,000	372,061
DUKE ENERGY CORP NEW	0.9% 09/15/2025	415,000	403,274
DUKE ENERGY OHIO INC	3.8% 09/01/2023	395,000	410,641
ECOLAB INC	1.65% 02/01/2027	420,000	421,903
ELEMENT FLEET MANAGEMENT CORP	3.85% 06/15/2025	555,000	589,863
ENBRIDGE INC	0.55% 10/04/2023	541,000	536,792
ENERGY TRANSFER LP	4.25% 03/15/2023	327,000	336,023
ENTERGY ARKANSAS LLC	3.05% 06/01/2023	700,000	717,199
ENTERGY LA LLC	0.95% 10/01/2024	495,000	489,551
ENTERGY LA LLC	3.3% 12/01/2022	240,000	243,836
ENTERGY LA LLC	4.05% 09/01/2023	250,000	259,990
EQUINIX INC	1.25% 07/15/2025	455,000	446,872
EQUINOR ASA	2.875% 04/06/2025	412,000	429,969
EVERSOURCE ENERGY	2.9% 10/01/2024	505,000	523,022
FEDERAL REALTY INVESTMENT TR	3.95% 01/15/2024	345,000	361,313
FEDERATION DES CAISE DESJARDIN	2.05% 02/10/2025	340,000	344,230
FIDELITY NATL INFORMN SVCS INC	0.6% 03/01/2024	434,000	427,228
FISERV INC	2.75% 07/01/2024	495,000	511,990
FOX CORP	4.03% 01/25/2024	210,000	221,779
GENERAL DYNAMICS CORP	3.25% 04/01/2025	196,000	207,168
GENERAL MTRS FINL CO INC	1.05% 03/08/2024	427,000	424,745
GENERAL MTRS FINL CO INC	1.7% 08/18/2023	374,000	377,555
GILEAD SCIENCES INC	0.75% 09/29/2023	250,000	248,947
GILEAD SCIENCES INC	1.95% 03/01/2022	485,000	485,562
GLAXOSMITHKLINE CAP PLC	0.534% 10/01/2023	466,000	464,183
GLAXOSMITHKLINE CAP PLC	2.875% 06/01/2022	228,000	229,874
GLOBAL PAYMENTS INC	1.2% 03/01/2026	434,000	421,267
GOLDMAN SACHS GROUP INC (THE)	0.673%/VAR 03/08/2024	444,000	441,994
GOLDMAN SACHS GROUP INC (THE)	0.925%/VAR 10/21/2024	208,000	207,105
GRAINGER (W.W.) INC	1.85% 02/15/2025	682,000	694,723
GRAPHIC PACKAGING INTL INC	0.821% 04/15/2024	372,000	366,087
HANOVER INS GROUP INC	4.5% 04/15/2026	228,000	249,930
HERSHEY CO	0.9% 06/01/2025	334,000	329,574
HONEYWELL INTL INC	0.483% 08/19/2022	90,000	90,001
HONEYWELL INTL INC	1.35% 06/01/2025	344,000	345,389
HP INC	2.2% 06/17/2025	320,000	326,612

HSBC HOLDINGS PLC	1.162%/VAR 11/22/2024	656,000	654,053
HSBC HOLDINGS PLC SOFR	0.732%/VAR 08/17/2024	418,000	414,298
HUMANA INC	0.65% 08/03/2023	255,000	253,676
HUNTINGTON INGALLS INDUSTRIES INC	0.67% 08/16/2023	447,000	443,067
ILLUMINA INC	0.55% 03/23/2023	407,000	404,914
INTEL CORP	3.4% 03/25/2025	85,000	90,351
INTEL CORP	3.7% 07/29/2025	126,000	135,959
INTERCONTINENTAL EXCHANGE INC	0.7% 06/15/2023	466,000	464,116
INTERCONTINENTAL EXCHANGE INC	3.45% 09/21/2023	575,000	597,801
INTERSTATE PWR & LT CO	3.25% 12/01/2024	468,000	491,287
IPALCO ENTERPRISES INC	3.7% 09/01/2024	64,000	66,944
JACKSON FINANCIAL INC	1.125% 11/22/2023	499,000	498,077
JOHNSON & JOHNSON	0.55% 09/01/2025	265,000	259,408
JPMORGAN CHASE & CO	0.653%/VAR 09/16/2024	624,000	621,069
JPMORGAN CHASE & CO	0.824/VAR 06/01/2025	818,000	808,449
JPMORGAN CHASE & CO	0.969%/VAR 06/23/2025	436,000	431,756
JPMORGAN CHASE & CO	1.514%/VAR 06/01/2024	347,000	349,634
JPMORGAN CHASE & CO	1.578%/VAR 04/22/2027	270,000	266,818
JPMORGAN CHASE & CO	2.083/VAR 04/22/2026	401,000	407,002
JPMORGAN CHASE & CO	2.301/VAR 10/15/2025	397,000	406,015
JPMORGAN CHASE & CO	2.776% 04/25/2023	515,000	518,289
JPMORGAN CHASE & CO	3.2% 01/25/2023	180,000	184,882
JPMORGAN CHASE & CO SOFR	1.47%/VAR 09/22/2027	450,000	441,102
KINDER MORGAN INC DEL	1.75% 11/15/2026	348,000	346,638
KYNDRYL HOLDINGS INC	2.05% 10/15/2026 14	405,000	394,340
LLOYDS BANKING GROUP PLC	3.87%/VAR 07/09/2025	154,000	162,682
LLOYDS BANKING GROUP PLC	3% 01/11/2022	338,000	338,178
LLOYDS TSB BANK T1Y	0.695%/VAR 05/11/2024	567,000	564,751
LSEGA FINANCING PLC	0.65% 04/06/2024 144	441,000	434,626
MARSH & MCLENNAN COS INC	4.05% 10/15/2023	510,000	533,845
MERCK & CO INC	1.7% 06/10/2027	428,000	430,562
METROPOLITAN LIFE GLBL FDG I	1.95% 01/13/2023	355,000	359,552
MITSUBISHI UFJ FIN GRP INC	0.848%/VAR 09/15/2024	345,000	343,292
MITSUBISHI UFJ FIN GRP INC	0.953%/VAR 07/19/2025	400,000	395,093
MITSUBISHI UFJ FIN GRP INC	0.962%/VAR 10/11/2025	327,000	322,451
MITSUBISHI UFJ FIN GRP INC	1.412% 07/17/2025	278,000	276,454
MITSUBISHI UFJ FIN GRP INC	2.623% 07/18/2022	395,000	399,497
MIZUHO FINL GROUP INC	0.849%/VAR 09/08/2024	315,000	313,363
MIZUHO FINL GROUP INC FRN	3ML+63 05/25/2024	735,000	738,212
MONDELEZ INTL HLDGS NETH B V	2.125% 09/19/2022	450,000	454,720
MORGAN STANLEY	0.79/VAR 05/30/2025	450,000	444,119

MORGAN STANLEY	0.791%/VAR 01/22/2025	868,000	859,206
MORGAN STANLEY	1.164/VAR 10/21/2025	670,000	664,708
MORGAN STANLEY	2.188%/VAR 04/28/2026	223,000	227,375
MORGAN STANLEY	3.75% 02/25/2023	770,000	796,401
MORGAN STANLEY	4% 07/23/2025	443,000	480,097
MORGAN STANLEY SOFR	0.731%/VAR 04/05/2024	630,000	628,046
MOTOROLA SOLUTIONS INC	4% 09/01/2024	320,000	340,807
MPLX LP	3.5% 12/01/2022	180,000	183,810
MUFG UNION BANK NA	2.1% 12/09/2022	300,000	303,831
MYLAN INC	3.125% 01/15/2023 144A	419,000	427,707
NATIONAL BANK OF CANADA	0.55/VAR 11/15/2024	666,000	658,244
NATIONAL BANK OF CANADA	0.75% 08/06/2024	341,000	336,361
NATIONAL RURAL UTL COOP FIN	1% 10/18/2024	376,000	372,750
NATIONAL RURAL UTL COOP FIN	2.3% 09/15/2022	1,030,000	1,041,051
NATIONAL SEC CLEARING CORP	1.2% 04/23/2023	312,000	314,124
NATIONAL SEC CLEARING CORP	1.5% 04/23/2025	250,000	251,500
NATIONWIDE BUILDING SOC(UNGTD)	1.5% 10/13/2026	372,000	365,016
NATIONWIDE BUILDING SOC(UNGTD)	2% 01/27/2023	350,000	354,507
NESTLE HOLDINGS INC	1.15% 01/14/2027 144	295,000	287,832
NEXTERA ENERGY CAP HLDGS INC	1.875% 01/15/2027	417,000	419,473
NORDEA BANK ABP	0.625% 05/24/2024 144A	379,000	374,359
NORDEA BANK ABP	0.75% 08/28/2025 144A	232,000	226,097
NORDEA BANK ABP	4.25% 09/21/2022 144A	691,000	708,079
NOVARTIS CAP CORP	2.4% 05/17/2022	650,000	653,819
NTT FINANCE CORP	0.583% 03/01/2024 144A	619,000	611,817
NUCOR CORP	2% 06/01/2025	224,000	228,396
NUTRIEN LTD	3.15% 10/01/2022	440,000	445,436
NXP B V/NXP FDG LLC/NXP USA INC	2.7% 05/01/2025	126,000	130,168
OGE ENERGY CORP	0.703% 05/26/2023	273,000	271,741
OLD REPUBLIC INTL CORP	4.875% 10/01/2024	556,000	605,498
ONCOR ELEC DELIVERY CO LLC	2.75% 06/01/2024	201,000	208,347
ONCOR ELEC DELIVERY CO LLC	4.1% 06/01/2022	230,000	231,354
ONE GAS INC	0.85% 03/11/2023	346,000	345,597
ORACLE CORP	1.65% 03/25/2026	435,000	431,637
ORACLE CORP	2.5% 04/01/2025	250,000	255,858
OVINTIV EXPLORATION INC	5.375% 01/01/2026	270,000	299,300
OVINTIV EXPLORATION INC	5.625% 07/01/2024	124,000	136,497
OWL ROCK TECHNOLOGY FINANCE CORP	6.75% 06/30/2025	209,000	233,432
PACCAR FINANCIAL CORP	0.35% 08/11/2023	465,000	461,245
PACIFIC LIFE GF II	1.375% 04/14/2026 144	445,000	442,691
PANASONIC CORP	2.536% 07/19/2022 144A	400,000	403,173
PAYPAL HLDGS INC	1.35% 06/01/2023	478,000	481,881
PAYPAL HLDGS INC	2.2% 09/26/2022	360,000	365,084
PERKINELMER INC	0.85% 09/15/2024	415,000	409,095

PHILLIPS 66	0.9% 02/15/2024	376,000	373,209
PHILLIPS 66	3.85% 04/09/2025	291,000	310,283
PIONEER NATURAL RESOURCES CO	0.55% 05/15/2023	182,000	181,305
PIONEER NATURAL RESOURCES CO	1.125% 01/15/2026	425,000	412,676
PNC FINANCIAL SERVICES GRP INC	3.5% 01/23/2024	400,000	418,834
PUBLIC SERVICE ELEC & GAS CO	3.75% 03/15/2024	535,000	559,340
RABOBANK NEDERLAND NY BRH	2.75% 01/10/2022	268,000	268,103
RAYTHEON TECHNOLOGIES CORP	3.2% 03/15/2024	275,000	286,192
RAYTHEON TECHNOLOGIES CORP	3.7% 12/15/2023	485,000	508,198
RELIANCE STD LIFE GLBL FDG II	2.15% 01/21/2023	545,000	552,365
RELX CAPITAL INC	3.5% 03/16/2023	116,000	119,406
REPUBLIC SERVICES INC	2.5% 08/15/2024	362,000	372,521
ROCKWELL AUTOMATION INC	0.35% 08/15/2023	298,000	296,032
ROPER TECHNOLOGIES INC	0.45% 08/15/2022	216,000	215,898
ROPER TECHNOLOGIES INC	3.125% 11/15/2022	445,000	451,573
ROSS STORES INC	4.6% 04/15/2025	550,000	602,549
ROYAL BANK OF CANADA	0.5% 10/26/2023	369,000	366,777
ROYAL BANK OF CANADA	1.15% 06/10/2025	361,000	357,880
ROYAL BANK OF CANADA	1.15% 07/14/2026	274,000	268,010
ROYAL BANK OF CANADA	1.6% 04/17/2023	250,000	252,805
ROYAL BANK OF CANADA	1.95% 01/17/2023	380,000	385,100
ROYAL BANK OF CANADA FRN	3ML+66 10/05/2023	185,000	186,396
ROYAL BK SCOTLND GRP PLC(UNGTD	3.498/VAR 05/15/2023	350,000	353,058
ROYAL BK SCOTLND GRP PLC(UNGTD)	2.359/VA 05/22/2024	394,000	400,290
ROYALTY PHARMA PLC	0.75% 09/02/2023	767,000	762,169
SALESFORCE COM INC	0.625% 07/15/2024	629,000	623,145
SALESFORCE COM INC	3.25% 04/11/2023	595,000	613,722
SCENTRE GROUP TR 1 / SCENTRE GROUP TR	3.625% 01/28/2026	211,000	225,234
SCHWAB CHARLES CORP	0.75% 03/18/2024	238,000	236,937
SCHWAB CHARLES CORP	2.65% 01/25/2023	415,000	422,672
SHELL INTL FIN B V	0.375% 09/15/2023	417,000	414,210
SIEMENS FINANCIERINGSMAATSCHAPPIJ NV	0.650% 03/11/2024	438,000	434,401
SIXTH STREET SPECIALTY LENDING INC	3.875% 11/01/2024	391,000	408,786
SKANDINAVISKA ENSKILDA BANKEN	0.55% 09/01/2023	769,000	764,171
SKANDINAVISKA ENSKILDA BANKEN	0.65% 09/09/2024	546,000	536,865
SKY PLC	3.125% 11/26/2022 144A	215,000	219,752
SOUTHERN CALIFORNIA EDISON CO	2.4% 02/01	311,000	311,461
SOUTHERN CO GAS CAP	2.45% 10/01/2023	500,000	510,401
SOUTHERN CO GAS CAP	3.25% 06/15/2026	343,000	361,926
SOUTHERN COMPANY	0.6% 02/26/2024	613,000	605,107
SOUTHERN NAT GAS CO LLC	0.625% 04/28/2023	359,000	356,872
STANDARD CHARTERED PLC T1Y	0.991%/VAR 01/12/2025	344,000	340,116
STATE STREET CORP	2.354%/VAR 11/01/2025	360,000	371,031
STRYKER CORP	0.6% 12/01/2023	586,000	582,813
SUMITOMO MITSUI FINL GRP INC	2.348% 01/15/2025	350,000	358,629
SUMITOMO MITSUI FINL GRP INC	2.696% 07/16/2024	316,000	326,325
SUMITOMO MITSUI TRUST BANK LTD	0.8% 09/16/2024	415,000	408,079

SVENSKA HANDELSBANKEN AB	0.625% 06/30/2023	517,000	515,502
SWEDBANK AB	0.6% 09/25/2023 144A	344,000	341,997
SWISS RE TREAS US CORP	2.875% 12/06/2022	430,000	436,637
TELEDYNE TECHNOLOGIES INC	0.95% 04/01/2024	664,000	657,272
T-MOBILE USA INC	3.5% 04/15/2025	384,000	406,838
TORONTO DOMINION BANK	0.7% 09/10/2024	412,000	406,641
TORONTO DOMINION BANK	1.15% 06/12/2025	659,000	653,455
TOYOTA INDS CORP	3.11% 03/12/2022 144A	330,000	331,009
TOYOTA MOTOR CORP	0.681% 03/25/2024	435,000	432,396
TOYOTA MOTOR CREDIT CORP	0.5% 08/14/2023	375,000	373,617
TRANSCANADA PIPELINES LTD	1% 10/12/2024	242,000	239,866
TRITON CONTAINER INTERNATIONAL LTD	0.8% 08/01/2023	118,000	116,894
TRITON CONTAINER INTERNATIONAL LTD	1.15% 06/07/2024	450,000	443,583
TRUIST BANK	1.25% 03/09/2023	725,000	729,372
TWDC ENTERPRISES 18 CORP	2.125% 09/13/2022	365,000	368,372
TWDC ENTERPRISES 18 CORP	2.45% 03/04/202	475,000	476,674
UBS AG LON BRANCH	0.7% 08/09/2024 144A	360,000	355,819
UBS AG LON BRANCH	1.75% 04/21/2022 144A	313,000	313,984
UBS GROUP AG	1.008%/VAR 07/30/2024 144A	342,000	340,908
UBS GROUP FDG SWITZ AG	2.859/VAR 08/15/2023	500,000	505,994
UNITEDHEALTH GROUP INC	2.375% 08/15/2024	185,000	191,546
UNITEDHEALTH GROUP INC	3.375% 04/15/2027	308,000	333,707
USAA CAPITAL CORP	1.5% 05/01/2023 144A	250,000	252,465
VALERO ENERGY CORP	2.85% 04/15/2025	187,000	193,707
VERIZON COMMUNICATIONS INC	0.85% 11/20/2025	393,000	383,411
VERIZON COMMUNICATIONS INC	3.5% 11/01/2024	431,000	458,608
VIATRIS INC	1.65% 06/22/2025	590,000	587,764
WEC ENERGY GROUP INC	0.55% 09/15/2023	209,000	207,333
WELLS FARGO & CO NEW	2.406%/VAR 10/30/2025	600,000	614,982
WESTROCK RKT LLC	4% 03/01/2023	421,000	432,267
WILLIAMS COS INC	4.55% 06/24/2024	402,000	430,181
XCEL ENERGY INC	0.5% 10/15/2023	533,000	529,407
XLIT LTD	4.45% 03/31/2025	406,000	439,765
Total Corporate Debt			$ 120,443,420
Exchange- Traded Funds:			
iShares Russell 2000 ETF		2,436	$ 541,888
iShares Trust: iShares Core S&P Small-Ca		8,827	1,010,780
iShares Trust: iShares Russell Mid-Cap E		8,489	704,672
Total Exchange-Traded Funds			$ 2,257,340
Futures:			
Variation Margin Long Futures		200,000	$ 20,862
Variation Margin Short Futures		100,000	(4,148)
Total Futures			$ 16,714
Government Obligations:			

DELL INC. 401(k) PLAN

EIN 74-2487834, PLAN 001

SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2021

UST NOTES	0.125% 11/30/2022	51,115,000	$ 50,999,193
UST NOTES	0.5% 11/30/2023	4,109,700	4,093,968
UST NOTES	0.75% 12/31/2023	36,708,100	36,715,269
UST NOTES	1.25% 11/30/2026	6,000	5,996
UST NOTES	1.25% 12/31/2026	59,100	59,035
UST NOTES	1.375% 12/31/2028	327,600	326,116
UST NOTES	1.5% 11/30/2028	94,300	94,668
UST NOTES	1% 12/15/2024	32,364,200	32,399,598
Total Government Obligations			$ 124,693,843
Mortgage Backed Securities:			
ARBOR RLTY COML REAL ESTATE NTS 2021-FL3	8/15/2034	250,000	$ 248,906
BX 2021-LBA	1ML+200 02/15/2028 144A 02/15/2036	866,000	859,216
BX 2021-LBA	1ML+200 02/15/2028 144A 02/15/2036	866,000	859,216
BXMT LTD 21-FL4 D	1ML+225 05/15/2038 144	2,000,000	1,986,249
COMM 2012-CR2 A3	2.841% 08/15/2045 144A	295,254	295,223
COMM 2012-CR3 AM	3.4165% 10/15/2045	3,100,000	3,099,101
COMM 2012-LC4 A4	3.288% 12/10/2044	8,419	8,414
COMM 2013-CCRE10	D MTG TR CSTR 08/10/2046	1,491,000	1,510,363
COMM 2013-LC6	D MTG TR CSTR 01/10/2046 1	100,000	99,158
CREDIT SUISSE COM MTG TR 2021 SOP2 D	1ML 06/15/2034	4,400,000	4,377,926
FED HOME LOAN MTG CORP - GOLD	1ML+61 09/15/2043	2,085,082	2,113,605
FEDERAL HOME LN MTG MLT CTF GT	1.36401% 12/25/2029	3,642,000	308,956
FEDERAL NAT MTG ASN GTD REM PA	1ML+ 07/25/2031	545,732	546,777
FEDERAL NAT MTG ASN GTD REM PA	1ML+65 06/25/2041	1,076,404	1,096,129
FNR 2008-24 PF	1ML+65 02/25/2038	472,331	475,077
FREMF 2012-K18	B CSTR 01/25/2045	930,000	929,592
FREMF 20K21	3.9386% 07/45 144A 07/25/2045	1,500,000	1,517,339
GNR 2015-H26 FA	1ML+52 10/20/2065	1,432,790	1,439,066
GOVERNMENT NAT MTG ASSN HECM	1ML+ 02/20/2062	1,010,908	1,023,249
GOVERNMENT NAT MTG ASSN HECM	1ML+ 04/20/2062	192,875	196,273
GOVERNMENT NAT MTG ASSN HECM	1ML+ 07/20/2062	571,001	575,586
GOVERNMENT NAT MTG ASSN HECM	1ML+ 09/20/2063	2,408,465	2,418,026
GOVERNMENT NAT MTG ASSN HECM	1ML+0.52 10/20/2062	1,061,499	1,064,094
GOVERNMENT NAT MTG ASSN HECM	1ML+65 05/20/2069	1,690,431	1,733,896
GOVERNMENT NAT MTG ASSN HECM	2.80713% 12/20/2062	2,211,004	2,208,607
GOVERNMENT NAT MTG ASSN HECM 15-H31	FT 11/20/2065	140,072	141,326
GOVERNMENT NAT MTG ASSN HECM 2016-H16	FE 06/20/2066	2,078,165	2,066,609
GOVERNMENT NATL MTG ASN MLFY 2017-100 AB	2.300% 04/16/2052	144,166	145,606
GOVERNMENT NATL MTG ASN MLFY R	2.3% 11/16/2051	401,489	405,544
GOVERNMENT NATL MTG ASN MLFY R	2.4% 09/16/2058	109,534	110,692
GOVERNMENT NATL MTG ASN MLFY R 2015-183	2.350% 07/16/2056	40,932	41,201
GREAT WOLF TR 2019-WOLF	1ML+ 12/15/2029 12/15/2036	400,000	397,487

DELL INC. 401(k) PLAN

EIN 74-2487834, PLAN 001

SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2021

	GS MORTGAGE SECURITIES CORP TRUST 2017-G	3.103% 05/10/2034	590,000	583,917
	GS MTG SECS CORP TR 2019-70P	1ML+150 10/15/2036	1,510,000	1,464,501
	GS MTG SECS TR 2019-GC42	CSTR 09/01/2052	8,860,511	461,037
	GS MTG SECS TR 2020-GC47	VAR 05/12/2053	1,656,598	135,487
	J P MORGAN CHASE COML MTG SECS TR 2014-C	3.538% 07/15/2047	799,835	813,479
	J P MORGAN CHASE COML MTG SECS TR 2018-A	4.579% 07/05/2031	1,160,000	1,198,754
	JPMBB 2014-C19	D VAR 04/15/2047 144A	800,000	802,087
	JPMBB COML MTG SECS TR 2014-C24	3.373% 11/15/2047	840,000	866,979
	JPMCC 2010-C2	C CSTR 11/15/2043	561,365	560,670
	JPMCC 2012-HSBC A 3.0934	3.093% 07/05/2032	632,094	636,273
	MORGAN STANLEY CAP I TR 11-C1	4.193% 09/15/2047	336,811	309,866
	MORGAN STANLEY CAPITAL I TRUST 2019-H6 3	3.228% 06/15/2052	700,000	717,270
	MSBAM 2013-C8 D	4.17262 12/15/2048	1,000,000	1,007,870
	READY CAPITAL MORTGAGE FINANCING 2021-FL	4/25/2038	750,000	746,953
	STWD TR 2021-LIH D	1ML+ 11/15/2036 144A	450,000	447,200
	UBS BARCLAYS COML MTG TR 2013-C6	VAR 04/10/2046	750,000	754,137
	UBS COML MTG TR 2018-C9	VAR 03/15/2051	11,698,507	539,650
	UBS COMMERCIAL MORTGAGE TRUST 2018-C8	3.713% 02/15/2051	280,000	285,893
	UBS-BARCLAYS COML MTG TR 12-C4 AS	3.316% 12/10/2045	1,680,000	1,703,063
	UBSCM 2012-C1	C CSTR 05/10/2045	300,000	301,474
	VMC FINANCE 2021-FL4 LLC	1ML+225 06/16/2036	4,000,000	3,993,815
	WELLS FARGO COML MTG TR 2016-C37	VAR 12/15/2049	200,000	185,037
	WELLS FARGO COML MTG TR 2017-RC1	3.118% 01/15/2060	68,720	68,690
	WFRBS 2013-C14	CCSTR 06/15/2046	4,000,000	3,974,450
	WFRBS COML MTG TR 2014-C21	4.234% 08/15/2047	1,000,000	994,525
	Total Mortgage Backed Securities			$ 57,851,586
	Mutual Funds:			
	HARTFORD SCHRODERS CHINA A F		1,471,645	$ 24,370,436
	DREY GVT CASH MGMT I		52,123	52,123
	Total Mutual Funds			$ 24,422,559
	Total Investments			$ 14,153,141,977
	Notes Receivable from Participants			
*	Various Participants	Loans bearing interest rates of 3.25% to 10.50% due at various dates through January 2036.		$ 121,832,155
				$ 14,274,974,132

* **Party-in-interest.**

** **Cost information is not required for participant-directed investments.**

Plan Name	**DELL INC. 401(K) PLAN**
Plan Sponsor EIN	**74-2487834**
ERISA Plan #	**001**
Plan Year Ending	**December 31, 2021**

The required attachment marked with an "X" in the Attachment column is included within the Accountant's Opinion attachment to Sch. H, Part III, Line 3, which consists of the entire audit report issued by the plan's Independent Qualified Public Accountant (IQPA).

Form/Schedule	Line #	Description	Attachment
5500 Sch. H	Line 3	Financial statements used in formulating the IQPA's opinion	X
5500 Sch. H	Line 4i	Schedule of Assets (Held at End of Year)	X
5500 Sch. H	Line 4i	Schedule of Assets (Acquired and Disposed of Within Year)	
5500 Sch. H	Line 4j	Schedule of Reportable Transactions	
5500 Sch. H	Line 4a	Schedule of Delinquent Participant Contributions	

Plan Name	**DELL INC. 401(K) PLAN**
Plan Sponsor EIN	**74-2487834**
ERISA Plan #	**001**
Plan Year Ending	**December 31, 2021**

The required attachment marked with an "X" in the Attachment column is included within the Accountant's Opinion attachment to Sch. H, Part III, Line 3, which consists of the entire audit report issued by the plan's Independent Qualified Public Accountant (IQPA).

Form/Schedule	Line #	Description	Attachment
5500 Sch. H	Line 3	Financial statements used in formulating the IQPA's opinion	X
5500 Sch. H	Line 4i	Schedule of Assets (Held at End of Year)	X
5500 Sch. H	Line 4i	Schedule of Assets (Acquired and Disposed of Within Year)	
5500 Sch. H	Line 4j	Schedule of Reportable Transactions	
5500 Sch. H	Line 4a	Schedule of Delinquent Participant Contributions	

Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2021

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A This return/report is for:
- ☐ a multiemployer plan
- ☒ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- ☐ a single-employer plan
- ☐ a DFE (specify) ___

B This return/report is:
- ☐ the first return/report
- ☐ the final return/report
- ☐ an amended return/report
- ☐ a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ ☐

D Check box if filing under:
- ☒ Form 5558
- ☐ automatic extension
- ☐ the DFVC program
- ☐ special extension (enter description)

E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . ▶ ☐

Part II Basic Plan Information—enter all requested information

1a Name of plan
DELL INC. 401(K) PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 06/01/1989

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
DELL INC.

C/O GLOBAL BENEFITS DIRECTOR
ONE DELL WAY, RR1-42

ROUND ROCK TX 78682

2b Employer Identification Number (EIN) 74-2487834

2c Plan Sponsor's telephone number (512)338-4400

2d Business code (see instructions) 334110

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	[signature]	8/19/22	CHRISTOPHER A. GARCIA
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	[signature]	8/19/22	CHRISTOPHER A. GARCIA
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:
a Sponsor's name
c Plan Name

4b EIN

4d PN

5	Total number of participants at the beginning of the plan year	**5**	67,222
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	46,513
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	47,445
b	Retired or separated participants receiving benefits	**6b**	225
c	Other retired or separated participants entitled to future benefits	**6c**	20,874
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	68,544
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	152
f	Total. Add lines **6d** and **6e**	**6f**	68,696
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	62,801
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 2T 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] ___ **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____