

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	☐

Part II	Basic Plan Information—enter all requested information										
1a Name of plan <u>THE CNA CORPORATION RETIREMENT PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1976</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>54-1558882</u></td> </tr> <tr> <td colspan="2">2c Plan Sponsor's telephone number <u>703-824-2000</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>813000</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>01/01/1976</u>		2b Employer Identification Number (EIN) <u>54-1558882</u>		2c Plan Sponsor's telephone number <u>703-824-2000</u>		2d Business code (see instructions) <u>813000</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>										
1c Effective date of plan <u>01/01/1976</u>											
2b Employer Identification Number (EIN) <u>54-1558882</u>											
2c Plan Sponsor's telephone number <u>703-824-2000</u>											
2d Business code (see instructions) <u>813000</u>											
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>THE CNA CORPORATION</u> <u>3003 WASHINGTON BOULEVARD</u> <u>ARLINGTON, VA 22201</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	09/01/2022	JONATHAN MARKUS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	09/03/2022	WANDA COOK
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 1649
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year..... a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 601 6a(2) 665 6b 0 6c 1062 6d 1727 6e 38 6f 1765 6g 1667 6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2A 2F 2G 2L 2M 2T b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021	
A Name of plan THE CNA CORPORATION RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 THE CNA CORPORATION	D Employer Identification Number (EIN) 54-1558882

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
TIAA-CREF

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
13-1624203	69345	103109	885	01/01/2021	12/31/2021

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	103756729
5	Current value of plan's interest under this contract in separate accounts at year end.....	5	8796218

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☒ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year	7b	105134960
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c	Additions: (1) Contributions deposited during the year	7c(1)	674778	
	(2) Dividends and credits.....	7c(2)	0	
	(3) Interest credited during the year.....	7c(3)	3658377	
	(4) Transferred from separate account.....	7c(4)	5848855	
	(5) Other (specify below)	7c(5)	142751	

▶ OTHER ADDITIONS

(6) Total additions	7c(6)	10324761
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d	Total of balance and additions (add lines 7b and 7c(6))	7d	115459721
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e Deductions:

	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	6119609	
	(2) Administration charge made by carrier.....	7e(2)		
	(3) Transferred to separate account.....	7e(3)	5509073	
	(4) Other (specify below)	7e(4)	74310	

▶ OTHER DEDUCTIONS

(5) Total deductions	7e(5)	11702992
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f	Balance at the end of the current year (subtract line 7e(5) from line 7d)	7f	103756729
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Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan THE CNA CORPORATION RETIREMENT PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 THE CNA CORPORATION	D Employer Identification Number (EIN) 54-1558882	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL
04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
TIAA-CREF
13-1624203

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SAGEVIEW ADVISORY GROUP

33-0818667

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	70000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 64 37 65 52 71	RECORDKEEPER	58815	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

PRICEWATERHOUSECOOPERS LLP

13-4008324

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	8032	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

TIAA

13-1624203

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 71 64 65	RECORDKEEPER	1945	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VARIOUS FUND COMPANIES 04-2647786	FIDELITY RECEIVES INDIRECT COMPENSATION FROM VARIOUS FUND COMPANIES. THE FORMULA VARIES WITH A RANGE OF 0.02% - 0.40% OF AVERAGE DAILY BALANCE OF ASSETS. ON AVERAGE, THE RATE EARNED IS 0.26%.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration		DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.		OMB No. 1210-0110 2021 This Form is Open to Public Inspection.	
For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021					
A Name of plan THE CNA CORPORATION RETIREMENT PLAN				B Three-digit plan number (PN) ▶ 001	
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 THE CNA CORPORATION				D Employer Identification Number (EIN) 54-1558882	
Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)					
a Name of MTIA, CCT, PSA, or 103-12 IE: TIAA REAL ESTATE ACCOUNT					
b Name of sponsor of entity listed in (a): TIAA CREF					
c EIN-PN 13-1624203-004		d Entity code P	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 8796218		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		
a Name of MTIA, CCT, PSA, or 103-12 IE:					
b Name of sponsor of entity listed in (a):					
c EIN-PN		d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)		

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan THE CNA CORPORATION RETIREMENT PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 THE CNA CORPORATION		
D Employer Identification Number (EIN) 54-1558882		

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	0	75000
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	10224279	13233230
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	396015	542558
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)	8072045	8796218
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	409805236	460569268
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	105134960	103756729
(15) Other.....	1c(15)		

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities.....	1d(1)		
(2) Employer real property.....	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	533632535	586973003

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness.....	1i		
j Other liabilities.....	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	533632535	586973003
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	6427784	
(B) Participants	2a(1)(B)	6467786	
(C) Others (including rollovers).....	2a(1)(C)	3690237	
(2) Noncash contributions.....	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		16585807
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	2307	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans.....	2b(1)(E)	19124	
(F) Other	2b(1)(F)	3658377	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		3679808
(2) Dividends: (A) Preferred stock.....	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	12876906	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		12876906
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		1391830
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		52644701
c Other income.....	2c		437812
d Total income. Add all income amounts in column (b) and enter total.....	2d		87616864
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	31044497	
(2) To insurance carriers for the provision of benefits.....	2e(2)	3088606	
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		34133103
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	8032	
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)	74501	
(4) Other.....	2i(4)	60760	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		143293
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		34276396
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		53340468
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: PRICEWATERHOUSECOOPERS LLP

(2) EIN: 13-4008324

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		7500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>THE CNA CORPORATION RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>THE CNA CORPORATION</u>	D Employer Identification Number (EIN) <u>54-1558882</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>04-2647786</u> <u>13-1624203</u> Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021
v. 201209

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

The CNA Corporation Retirement Plan

**Report and Financial Statements as of
December 31, 2021 and 2020 and for the
Year Ended December 31, 2021 and
Supplemental Schedule as of
December 31, 2021**

The CNA Corporation Retirement Plan

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Supplemental Schedule*:

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*All other schedules required by 29 CFR 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA have been omitted because they are not applicable.



Report of Independent Auditors

To the Administrator of The CNA Corporation Retirement Plan

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We were engaged to perform an audit of the 2021 financial statements of The CNA Corporate Retirement Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The 2021 financial statements comprise the statement of net assets available for benefits as of December 31, 2021 and 202, and the related statement of changes in net assets available for benefits for the year then ended, including the related notes (collectively referred to as the "2021 financial statements").

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from qualified institutions as of and for the year ended December 31, 2021 stating that the certified investment information, as described in Note 4 to the financial statements, is complete and accurate.

Disclaimer of Opinion

We do not express an opinion on the accompanying financial statements of the Plan. Because of the significance of the matters described in the Basis for Disclaimer of Opinion section of our report, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on these financial statements.

Basis for Disclaimer of Opinion

The Plan sponsor's accounting records for contracts and custodial accounts issued to current or former employees prior to January 1, 2009 do not provide sufficient evidence supporting investments, benefit payments, investment income, and net assets available for benefits to permit the application of adequate auditing procedures.

Other Matter- 2020 Financial Statements

We were engaged to audit the 2020 financial statements of the Plan, which comprise the statement of net assets available for benefits as of December 31, 2020, and the related statement of changes in net assets available for benefits for the year then ended (not presented herein, other than the statement of net assets available for benefits). The Plan sponsor's accounting records for contracts and custodial accounts issued to current or former employees prior to January 1, 2009 did not provide sufficient evidence supporting investments, benefit payments, investment income and net assets available for benefits to permit the application of adequate auditing procedures. Further, as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 4, which was certified by a qualified institution, except for comparing such information with the related information included in the 2020 financial statements.

In our report dated August 11, 2021, we indicated that because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 financial statements.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibility for the Audit of the Financial Statements

Our responsibility is to conduct an audit of the Plan's financial statements in accordance with auditing standards generally accepted in the United States of America and to issue an auditors' report. However, because of the matters described in the Basis for Disclaimer of Opinion section of our report, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on these financial statements. We are required to be independent of the Plan, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit.

Supplemental Schedule Required by ERISA

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) as of December 31, 2021 is presented for purposes of additional analysis and is not a required part of the financial statements, but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion section of our report, we do not express an opinion on the supplemental schedule.

PricewaterhouseCoopers LLP

Washington, D.C.
August 15, 2022

The CNA Corporation Retirement Plan

Statements of Net Assets Available for Benefits As of December 31, 2021 and 2020

Assets:	2021	2020
Investments, at fair value	\$ 569,092,329	\$ 515,515,859
Investments, at contract value	17,338,116	17,720,661
Notes receivable from participants	<u>542,558</u>	<u>396,015</u>
Net assets available for benefits	<u>\$ 586,973,003</u>	<u>\$ 533,632,535</u>

The accompanying notes are an integral part of these financial statements.

The CNA Corporation Retirement Plan

Statement of Changes in Net Assets Available for Benefits For the Year Ended December 31, 2021

Additions

Investment income (loss):

Interest and dividends	\$ 16,537,590
Net appreciation in fair value of investments	54,036,531
Net investment income	<u>70,574,121</u>

Contributions:

Employer contributions	6,427,784
Employee contributions	6,467,786
Total contributions	<u>12,895,570</u>

Interest on notes receivable	19,124
Other income	437,812
Rollovers	3,690,237
Other additions	<u>4,147,173</u>

Total Additions	<u>87,616,864</u>
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Deductions

Benefit payments	34,133,103
Administrative fees	143,293
Total deductions	<u>34,276,396</u>

Net increase	53,340,468
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Net assets available for benefits:

Beginning of year	<u>533,632,535</u>
End of year	<u>\$ 586,973,003</u>

The accompanying notes are an integral part of these financial statements.

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

1. Description of the Plan

The following description of The CNA Corporation Retirement Plan (the Plan) provides only general information. Participants should refer to the Plan Document for a more complete description of the Plan's provisions.

General

The Plan was established by the Center for Naval Analyses (now known as CNA, or the employer) on January 1, 1976; however, on January 1, 2009, January 1, 2012 and again on January 1, 2017, the Plan was amended and restated. CNA adopted the Fidelity Workplace Services LLC 403(b) Volume Submitter Plan document, which the IRS approved for 403(b) plans, effective January 1, 2020. The Plan is intended to enhance the retirement security of eligible Employees of the Employer. It also is intended to be a "tax sheltered annuity plan" and "custodial account plan" meeting the requirements of the applicable sections of the Employee Retirement Income Security Act of 1974 (ERISA), as amended, and the requirements of the applicable sections of the Internal Revenue Code of 1986, as amended (including section 403(b) of the Internal Revenue Code); the Plan is so construed and administered. The Plan is a 403(b) defined contribution plan that provides retirement benefits to eligible employees on a contributory basis. Teachers' Insurance Annuity Association of America and College Retirement Equities Fund (TIAA-CREF) are the insurance companies and Fidelity Management Trust Company (Fidelity) is the custodian. TIAA, FSB is the directed custodian for the TIAA-CREF Institutional Funds. Employee and Employer contributions are invested in annuities issued by the Teachers' Insurance Annuity Association (TIAA), the TIAA Real Estate account, the various variable annuities offered by the College Retirement Equities Fund (CREF), the TIAA-CREF Institutional Mutual Funds, and the mutual funds held by Fidelity, as well as offering mutual fund investments through the brokerage link available with Fidelity. The investments in the TIAA-CREF Institutional Mutual Funds and Fidelity mutual funds are reported as "Interest in Registered Investment Companies"; the TIAA Real Estate Account is reported as "Interest in Real Estate Pooled Separate Account", and the TIAA non fully-benefit responsive Traditional Annuities are reported as "Unallocated Insurance Contracts".

Participation and Vesting

All employees are eligible to participate in the Plan on their date of hire. Participants initiate their contribution election in Dayforce, the new HR/Payroll system, and enroll in the Plan with TIAA-CREF and/or Fidelity on the first payroll period thereafter. Each person who is eligible to make salary reduction contributions, and who is at least age 50 (or who will attain age 50 during the calendar year), shall be eligible to make catch-up contributions.

Each Full-time Employee or Part-time Employee who normally is scheduled to work forty (40) hours per pay period, shall be eligible to participate in the Employer Matching Contribution feature of the Plan. Each Part-Time Employee who completes 1,000 Hours of Service in any consecutive twelve-month period beginning on the Employee's date of hire shall be eligible to participate in the Employer Matching Contribution feature of the Plan. Each Participant shall immediately be 100% vested in his or her contributions and all employer contributions. All Plan contributions and benefits derived there from are immediately fully vested and non-forfeitable.

Contributions

A Participant's salary reduction contributions to the Plan during any calendar year may not exceed the dollar limit applicable to the Plan for that year under Code section 402(g) (\$19,500 for 2021). Participants may update their contribution election in Dayforce at any time. A Matching Contribution shall be made by the Employer to the Employer Matching Contribution Account of each Participant who makes a salary reduction contribution under the Plan and has satisfied the requirement of eligibility noted above. Effective January 1, 2020, the Employer Matching Contribution which shall be allocated on

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

behalf of each eligible Participant for a payroll period shall be made in accordance with the chart below:

Year(s) of Service	Salary Reduction Contribution (Percentage)	Employer Matching Contribution (Percentage)	Total of Employee and Employer Contributions (Percentage)
0 through 9	1	1.6	2.6
	2	3.2	5.2
	3	4.8	7.8
	4	6.4	10.4
	5*	8.0	13.0
10 through 19	1	2.3	3.3
	2	4.5	6.5
	3	6.8	9.8
	4*	9.0	13.0
20 or more	1	3.3	4.3
	2	6.7	8.7
	3*	10	13.0

**This number indicates the maximum salary reduction contribution percentage permitted under the Plan.*

Age 50 Catch-Up Contributions will be made to a tax-sheltered annuity contract purchased for the Participant or to a Code section 403(b) (7) custodial account maintained by TIAA-CREF and Fidelity for the Participant. Such Catch-Up Contributions shall not be taken into account for purposes of the provisions of the Plan implementing the required limitations of Code sections 402(g), 403(b) and 415. Each Participant shall be fully vested in his or her Catch-Up Contributions and in the tax-sheltered annuity contract(s) and/or custodial account interests purchased with such Catch-Up Contributions. Catch-Up Contributions are subject to the limitations of Code section 414(v) (\$6,500 for 2021).

Each participant's account is credited with the participant's contribution and allocation of (a) CNA's employer matching contribution and (b) Plan earnings (losses) and charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

The Plan allows both pre-tax and Roth rollovers into the Plan.

Retirement

Each Participant who is an Employee on his or her attainment of Normal Retirement Date (age 65) or early retirement date (which shall be the first day of the month following a Participant's attainment of age 55), shall be entitled to receive the full amount of his/her Plan Account. Employees are not required to retire at age 65; they may continue to work and participate in the Retirement Plan. Early retirement; however, requires termination from CNA in order to receive a distribution.

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

Benefits

On termination of service or attainment of age 59-1/2, participants may elect to receive benefit payments, including annuities, available through TIAA-CREF and/or Fidelity, or they may maintain their accounts with TIAA-CREF and/or Fidelity. Account balances are based upon the value of the contributions made and the investment results. The participant elects the type of distribution as noted below with annuities available from TIAA while all other forms of distribution are available from both TIAA-CREF and Fidelity. Annuities are used to purchase a guaranteed amount of future benefits. The annuities are based upon the value of the investment results and the mortality and expense associated with specific annuities available through TIAA, which are selected by the Participant.

The normal form of benefit under the Plan is a lump sum distribution. As a result, the Plan shall only permit distributions to be made in the form of a lump sum with respect to accounts or contracts invested with Fidelity. Plan participants will not be permitted to elect any other form of payment or receive any distribution in any other payment with respect to accounts or contracts invested with Fidelity. With respect to accounts or contracts invested with TIAA-CREF, the available forms of distribution are unchanged, except that the lump sum is the normal form of benefit (so long as a married participant's spouse provides the required consent). In lieu of receiving the normal form of benefit above, subject to any limitations set forth in the applicable annuity contract or custodial account, a Participant may elect to receive his or her TIAA-CREF Plan Account payable in accordance with one of the following actuarially equivalent options: lump sum; monthly, quarterly or annual installments; a joint and 50%, 75% or 100% survivor annuity; a life annuity; or a life annuity with a fixed period certain (as set forth in the applicable annuity contract or custodial account).

Upon retirement, Participants may elect to transfer the accumulations in their TIAA Real Estate and CREF variable annuity accounts to a TIAA Retirement Annuity. Additionally, upon retirement Participants may elect to transfer the accumulations in their Fidelity accounts to a TIAA Retirement Annuity.

Loans to Participants

Loans to Participants are available from the Plan from Fidelity and TIAA-CREF. Loans are temporary distributions from the participant's account and are repaid directly to the record-keeper for credit to the participant's account. One loan is permitted at a time and all loan requests must be approved by the Plan Sponsor. The minimum Plan loan amount is \$1,000 while the maximum is \$50,000 or 50% of account balance, whichever is less. Participants may take up to five years to repay the loan, or ten years if it is to purchase a primary residence, and there are no penalties for early repayment. Effective January 1, 2020, loans from TIAA will be handled as described in this section and classified as Notes Receivable from Participants on the Statements of Net Assets Available for Benefits. Plan Loans as described below will no longer be available. For TIAA participant loans, interest ranges from 4.25% to 5.75% based on the prime rate at the time of issuance and the oldest maturity date of loan is May 15, 2026. TIAA plan loans initiated prior to January 1, 2020 will be repaid subject to the terms and conditions at the time of the loan.

Notes Receivable from Participants

Loans from Fidelity are classified as Notes Receivable from Participants in the Statements of Net Assets Available for Benefits and require assets within the Participant's account to be sold and issued as a loan from the Participant account. Notes receivable from participants are valued at their unpaid principal balance plus any accrued but unpaid interest. The interest rate on the loan is the U.S. prime rate at the time of issuance and there is a \$35 non-refundable loan initiation fee in addition to a \$3.75 per quarter fee for loan record-keeping. The interest rates range from 3.25% to 9.25% on these loans.

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

Plan Loans

Loans initiated from TIAA before January 1, 2020 are Plan loans. Plan loans are borrowed directly from TIAA using a portion of the participant's plan account as security for the loan. To secure the loan, participants are required to pledge as collateral TIAA Traditional annuity value equal to 110% of the outstanding loan balance in the event of default. TIAA sets the interest rate quarterly but is generally close to prime rate. For all Plan loans, interest is paid directly to TIAA and the interest rates paid associated with Plan loans obtained from TIAA by participants range from 4.00% to 5.95%. The oldest maturity date of loan taken by a Plan participant is September 1, 2024. As of December 31, 2021 and 2020, participants had \$28,896 and \$37,237, respectively, of Plan loans with TIAA. Were the plan loan to go into default the collateral associated with the loan would not be distributed to TIAA unless the participant was otherwise eligible for a distribution.

Plan Expenses

Certain administrative expenses of the Plan are paid from the accounts of Plan participants for actions initiated by the participants, such as loan and origination fees. These administrative expenses are deducted directly from their account. Participants pay the investment costs of the mutual funds and annuities they use to invest their account balance, and for many of the Plan's designated investments, a portion of each mutual fund's and annuity's expense ratio is paid to Fidelity and/or TIAA-CREF for retirement plan and participant services and are included in the net appreciation in fair value of investments. Other administrative expenses, such as audit, tax and legal fees, are paid by the Employer or the Plan. If the Plan pays the expenses, it is generally limited to revenue sharing amounts received.

Other Income

The Plan has revenue sharing agreements with TIAA-CREF and Fidelity where the Plan receives an agreed amount from the service provider that can be used to pay for allowable Plan administration expenses. Any remaining revenue sharing balance, after expenses are paid, is allocated back to the participant accounts that generated the credit based on the respective account balances. The Plan received \$347,812 and \$90,000 from TIAA-CREF and Fidelity, respectively, for the year ended December 31, 2021.

Plan Termination

CNA intends to continue the Plan indefinitely but reserves the right to amend, modify, terminate, or discontinue further contributions of payments under the Plan at any time. In the event of a complete or partial termination of the Plan or discontinuance of contributions, all individual accounts will become non-forfeitable to the extent funded.

2. Significant Accounting Policies

Method of Accounting

The Plan's accounting records are maintained on an accrual basis of accounting in accordance with generally accepted accounting principles in the United States of America.

Benefit Payments

Benefit payments are recorded when paid.

Use of Estimates

The preparation of the financial statements requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein and disclosure of contingent assets and liabilities. Actual results may differ from management's estimates.

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

Investment Valuation and Income Recognition

Investments are reflected at fair value, except for fully benefit-responsive investment contracts, which are reflected at contract value. Contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. Plan management reviews and evaluates the values provided by TIAA-CREF and Fidelity and agrees with the valuation methods and assumptions used by the insurance companies and custodian. See Note 8, Fair Value Measurements, for a summary of the inputs used as of December 31, 2021 and 2020 in determining the fair value of the Plan's investments.

Purchases and sales of investment are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date.

The Plan presents in the statement of changes in net assets the net depreciation in fair value of its investments, which consists of realized gains (losses) and the unrealized appreciation (depreciation) on those investments.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Related fees are recorded as administrative expenses and are expensed when incurred. Delinquent participant loans are reclassified as distributions based upon the terms of the Plan document.

Risks and Uncertainties

The Plan invests in securities that are exposed to various risks such as interest rate, market, and credit risks. Market values of investments may decline for a number of reasons, including changes in prevailing market and interest rates, increases in defaults and credit rating downgrades or global events which could impact the value of securities, such as a pandemic or international conflict. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect Participants' account balances and the amounts reported in the statements of net assets available for benefits.

3. Investments

Traditional Annuities

The TIAA Traditional Annuities are offered through the Retirement Annuity (RA), Supplemental Retirement Annuity (SRA), Group Supplemental Retirement Annuity (GSRA) and Retirement Choice Plus (RCP) contracts. The TIAA Traditional Annuity holdings within the RA contracts have liquidity restrictions and distributions, withdrawals, and transfers out of these contracts can only be made in 10 annual installments through Transfer Payout Annuities (TPAs). They are therefore considered "non

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

benefit-responsive” as contemplated by FASB ASC 962-325-35-5. The TIAA Traditional Annuity holdings within SRA, GSRA and RCP contracts contain no liquidity restrictions and are considered “benefit responsive”.

The TIAA Traditional (RA) Annuities are a guaranteed (by TIAA’s claim paying ability) annuity which guarantees principal and pays a guaranteed minimum interest. The guaranteed minimum interest is 3%. Additional amounts above the guaranteed minimum interest rate may be declared at the discretion of the TIAA Board of Trustees on a year-by-year basis. When declared, the additional amounts remain in effect for the declaration year that begins each March 1 and are not guaranteed for future years. Together the guaranteed minimum and additional amounts make up the crediting rate in the accumulation phase. TIAA groups premium dollars received over defined periods into vintages for the purposes of determining the crediting rate for the applicable declaration year during the accumulation period. During 2021, the average crediting rate for regular annuities was 3.34% for RA and 3.02% for SRA/GSRA for annuities in the accumulation and payout phase, depending on the contract.

4. Information Certified by the Insurance Companies and Custodian

The insurance companies and custodian (TIAA-CREF and Fidelity, respectively) have certified that the amounts reflected for investment balances, notes receivable from participants, interest, dividends, and net appreciation in the fair value of investments and all other related investment information other than the leveling provided in Note 8 in the accompanying financial statements, notes to the financial statements, and supplemental schedule are complete and accurate.

The following information was certified complete and accurate by the insurance companies, TIAA-CREF as of December 31:

	<u>2021</u>	<u>2020</u>
CREF Variable Annuities	\$ 191,878,200	\$ 170,212,339
TIAA-CREF Institutional Mutual Funds	20,121,736	18,777,468
Real Estate Pooled Separate Account	8,796,218	8,072,044
TIAA Traditional Annuities: Non-Benefit Responsive	86,418,613	87,414,299
TIAA Traditional Annuities: Benefit Responsive	17,338,116	17,720,661
Notes Receivable from Participants	<u>159,860</u>	<u>111,775</u>
Net Assets Available for Benefits	<u>\$ 324,712,743</u>	<u>\$ 302,308,586</u>

The following information was certified complete and accurate by the insurance companies, TIAA-CREF for the year ended December 31, 2021:

	Interest and Dividends	Net Appreciation (Depreciation)	Net Investment Income (Loss)
Investment Income	<u>\$5,558,711</u>	<u>\$ 31,101,925</u>	<u>\$ 36,660,636</u>

Interest paid by participants on outstanding loans was \$8,340 for the year ended December 31, 2021.

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

The following information was certified complete and accurate by Fidelity, the custodian, for the year ended December 31:

	<u>2021</u>	<u>2020</u>
Registered Investment Companies	\$ 261,877,562	\$ 231,039,709
Notes Receivable from Participants	<u>382,698</u>	<u>284,240</u>
Net Assets Available for Benefits	<u>\$ 262,260,260</u>	<u>\$ 231,323,949</u>

The following information was certified complete and accurate by Fidelity, the custodian, for the year ended December 31, 2021:

	Interest and Dividends	Net Appreciation (Depreciation)	Net Investment Income (Loss)
Investment Income	<u>\$ 10,978,879</u>	<u>\$ 22,934,606</u>	<u>\$ 33,913,485</u>

Interest paid by participants on outstanding loans was \$10,784 for the year ended December 31, 2021.

5. Concentration of Credit Risk

Financial instruments that potentially subject the Plan to concentrations of credit risk consist primarily of investments. The Plan has offered Plan participants the ability to direct investments to various investment accounts. Certain funds invest in debt instruments. The issuer's abilities to meet these obligations may be affected by economic developments in their respective industries. The Plan has no formal policy regarding collateral to support the financial instruments subject to credit risk.

6. Tax Status

The Plan has been designed to qualify for tax-exempt status under Section 403(b) of the Internal Revenue Code (the "Code"). The terms of the Plan have been prepared to conform to the sample language provided by the Internal Revenue Service (IRS) in Revenue Procedure 2007-71. The Fidelity Workplace Services LLC Volume Submitter 403(b) Plan document was reviewed and approved by the IRS in their opinion letter dated August 7, 2017. The Plan is required to operate in conformity with the Code to maintain the tax-exempt status for plan participants under Section 403(b). The plan administrator believes that the Plan is currently designed and operating in accordance with the applicable requirements of Section 403(b) of the Code and, therefore, believes the Plan is qualified and the related custodial accounts and tax-deferred annuities are tax exempt. Therefore, no provision for income taxes has been included in the Plan's financial statements.

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has concluded that there are no tax positions of the Plan. Therefore, as of December 31, 2021 and 2020, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan Administrator believes the Plan is no longer subject to income tax examinations for years prior to December 31, 2018.

7. Related Party and Party-In-Interest Transactions

Certain Plan investments, including unallocated insured contracts and shares of registered investment companies and pooled separate accounts are managed by TIAA-CREF the Insurance Companies or Fidelity (as applicable), the custodian, as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. The transactions in the revenue sharing accounts managed by TIAA-CREF and Fidelity are also party interest transactions.

8. Fair Value Measurements

In accordance with *Fair Value Measurements*, fair value is defined as the price that the Plan participant(s) would receive upon selling an investment or pay to transfer a liability in an orderly transaction between market participants at the measurement date to an independent buyer in the principal or most advantageous market of the investment. Fair Value Measurements established a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurement). The three levels of the fair value hierarchy under Fair Value Measurements are described below:

Level 1 – Quoted prices in active markets for identical assets or liabilities.

Level 2 – Inputs other than Level 1 that are observable, either directly or indirectly, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the same term of the assets or liabilities.

Level 3 – Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value at December 31, 2021 and 2020:

Registered Investment Companies – Fidelity mutual funds and TIAA-CREF institutional mutual funds: Valued at the net asset value ("NAV") of shares held by the Plan. Because these funds are traded daily on an active exchange and are valued primarily on the basis of market quotations, official closing prices, or on the basis of information furnished by a pricing service, they are classified as Level 1.

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

Brokerage Accounts: Plan participants are only permitted to invest in Fidelity mutual funds through their Brokerage Link accounts. The valuation of the mutual funds are performed at the net asset value ('NAV') of shares held by the Plan. Because these funds are traded daily on an active exchange and are valued primarily on the basis of market quotations, official closing prices, or on the basis of information furnished by a pricing service, they are classified as Level 1.

Variable Annuities – CREF: Valued at NAV of accumulation units held by the Plan at year end. NAV, calculated daily, is applied to daily purchase and sale transactions in addition to being used to value ending market values. Accumulation units are valued using market quotations to value securities and other instruments. If these are not readily available, securities will be valued using fair value, as determined in accordance with procedures adopted by CREF. These assets are classified as Level 2 because though the funds have a quoted price, they are not publicly traded and have certain restrictions impacting participant's ability to transact. Participants will be locked out of variable annuities for 90 days of purchase, sale and repurchases within that variable annuity is made within a 60-day period.

Insurance company pooled separate accounts – Real Estate: Valued at NAV of accumulation units held by the Plan at year end. NAV, calculated daily, is applied to daily purchase and sale transactions in addition to being used to value ending market values. Transfers out of this account are limited to once per quarter. These assets are classified as Level 2 because though the funds have a quoted price, they are not publicly traded and have certain restrictions impacting participant's ability to transact.

Effective March 31, 2011, internal transfers into the TIAA Real Estate Account were prohibited if the transfer causes the value of the Participant's total accumulation in the account to exceed \$150,000. The purpose of this limitation is to ensure more predictable account inflows and outflows, which is expected to facilitate efficient portfolio management over the long term.

Unallocated Insurance Contracts – TIAA Traditional Annuities Non Fully-Benefit Responsive: Valued at the contract value which approximates fair value and is the amount that would be received at the reporting date if amounts were withdrawn or funds transferred within the Plan prior to their maturity. A participant can withdraw from a TIAA Traditional annuity according to the predetermined installment plan. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses which approximates fair value. These assets are classified as Level 3 because the valuation methodology is not observable.

The preceding methods described may produce a fair value that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date. There have been no changes in the methodologies used at December 31, 2021, or transfers between levels.

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

The following tables sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2021 and 2020:

Assets at Fair Value as of December 31, 2021

	Level 1	Level 2	Level 3	Total
Registered Investment Companies - Mutual Funds	\$ 261,833,363	\$ -	\$ -	\$ 261,833,363
Brokerage Link	20,165,935	-	-	20,165,935
Variable Annuities	-	191,878,200	-	191,878,200
Real Estate Pooled Separate Account	-	8,796,218	-	8,796,218
TIAA Traditional Annuity	-	-	86,418,613	86,418,613
	<u>\$ 281,999,298</u>	<u>\$ 200,674,418</u>	<u>\$ 86,418,613</u>	<u>\$ 569,092,329</u>

Assets at Fair Value as of December 31, 2020

	Level 1	Level 2	Level 3	Total
Registered Investment Companies - Mutual Funds	\$ 231,855,343	\$ -	\$ -	\$ 231,855,343
Brokerage Link	17,961,834	-	-	17,961,834
Variable Annuities	-	170,212,339	-	170,212,339
Real Estate Pooled Separate Account	-	8,072,044	-	8,072,044
TIAA Traditional Annuity	-	-	87,414,299	87,414,299
	<u>\$ 249,817,177</u>	<u>\$ 178,284,383</u>	<u>\$ 87,414,299</u>	<u>\$ 515,515,859</u>

The table below sets forth a summary of changes in the fair value of the Plan level 3 assets for the year ended December 31, 2021:

	<u>TIAA Traditional Annuity</u>
Beginning Balance	\$ 87,414,299
Interest Credited	3,125,434
Contributions/transfers in	6,491,736
Distributions/redemptions/transfers out	(10,612,856)
Ending Balance	<u>\$ 86,418,613</u>

The CNA Corporation Retirement Plan

Notes to the Financial Statements December 31, 2021 and 2020

TIAA non fully-benefit responsive amounts represent contracts issued by TIAA. The TIAA annuities are not available for sale or transfer in any securities exchange. Accordingly, transactions in similar investment instruments are not observable, and the investments are valued using unobservable inputs (level 3). While transactions involving the purchases/sales of individual TIAA annuity contracts are not observable in a public marketplace, contract value is an approximation of fair value.

The following table presents information about the valuation techniques used and approved by management to measure fair value, significant observable inputs and the range of values for those inputs related to the plans investments and assets categorized as Level 3 in the ASC 820 fair value hierarchy at December 31, 2021 and 2020:

Type	Fair Value	Range of Significant Input Value	Principal Valuation Technique	Significant Unobservable Inputs
TIAA Traditional Annuities:	<u>2021</u>			
Non fully-benefit responsive: RA	\$ 86,418,613	3.00% - 3.80%	Discounted cash flow	Risk-adjusted discount rate applied*
	<u>2020</u>		Theoretical transfer (exit value)	
	\$ 87,414,299	3.00% - 4.45%		

*Unobservable inputs include discount rate applied.

TIAA Traditional Annuities non fully-benefit responsive account balances are reported at contract value which approximates fair value. Contract value is the aggregation of contributions, plus interest, less withdrawals, if any. Crediting rates are a combination of a guaranteed rate and an annually established discretionary rate. Additionally, the discretionary rate applied to contributions received during a reporting period may vary from the discretionary rate applied to account balances at the end of the prior reporting period.

Contract value approximates a discounted cash flow value calculated using an appropriate risk-adjusted market discount rate which correlates closely with TIAA Traditional annuities historical crediting rates.

9. Subsequent Events

The Plan's management evaluated subsequent events through August 15, 2022, the date that the financial statements were available to be issued, noting no other matters requiring disclosure in the financial statements as of December 31, 2021.

The CNA Corporation
Retirement Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2021
EIN: 54-1558882

<u>Identity of Issue</u>	<u>Description</u>	<u>Number of Units</u>	<u>Cost*</u>	<u>Current Value</u>
Teachers' Insurance and Annuity Association of America**				
TIAA Traditional Annuity Non Benefit Responsive:				
Retirement Annuity (RA)	Unallocated Insurance Contracts	N/A	\$	86,418,613
TIAA Traditional Annuity Benefit Responsive				
Supplemental Retirement Annuity (SRA)	Unallocated Insurance Contracts	N/A		10,242,845
Group Supplemental Retirement Annuity (GSRA)	Unallocated Insurance Contracts	N/A		7,094,546
Retirement Choice Plus (RCP)	Unallocated Insurance Contracts	N/A		725
TIAA Real Estate	Pooled Separate Account	17,088		8,796,218
College Retirement Equities Fund**				
CREF Variable Annuities:				
CREF STOCK R2	Variable Annuities	131,903		103,511,565
CREF MONEY MARKET R2	Variable Annuities	113,402		3,017,137
CREF SOCIAL CHOICE R2	Variable Annuities	16,423		5,715,992
CREF BOND MARKET R2	Variable Annuities	49,313		6,996,563
CREF GLOBAL EQUITIES R2	Variable Annuities	82,435		23,767,157
CREF GROWTH R2	Variable Annuities	58,198		23,440,117
CREF EQUITY INDEX R2	Variable Annuities	51,838		21,278,341
CREF INFLATION-LINKED BOND R2	Variable Annuities	49,815		4,151,328
TIAA-CREF Institutional Mutual Funds**				
TIAA-CREF LIFECYCLE 2010-INST	Registered Investment Companies	112,164		1,266,333
TIAA-CREF LIFECYCLE 2015-INST	Registered Investment Companies	175,954		1,778,892
TIAA-CREF LIFECYCLE 2020-INST	Registered Investment Companies	322,833		3,389,749
TIAA-CREF LIFECYCLE 2025-INST	Registered Investment Companies	112,140		1,233,545

* Cost is omitted because it is not required for participant-directed accounts.

** Denotes a party-in-interest.

The CNA Corporation
Retirement Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2021
EIN: 54-1558882

<u>Identity of Issue</u>	<u>Description</u>	<u>Number of Units</u>	<u>Cost*</u>	<u>Current Value</u>
TIAA-CREF LIFECYCLE 2030-INST	Registered Investment Companies	118,367	\$	1,328,078
TIAA-CREF LIFECYCLE 2035-INST	Registered Investment Companies	160,956		1,850,996
TIAA-CREF LIFECYCLE 2040-INST	Registered Investment Companies	274,472		3,175,638
TIAA-CREF LIFECYCLE 2045-INST	Registered Investment Companies	176,762		2,559,517
TIAA-CREF LIFECYCLE 2050-INST	Registered Investment Companies	82,660		1,226,668
TIAA-CREF LIFECYCLE 2055-INST	Registered Investment Companies	36,732		642,081
TIAA-CREF LIFECYCLE 2060-INST	Registered Investment Companies	21,443		320,137
TIAA-CREF MONEY MARKET -INST	Registered Investment Companies	1,350,102		1,350,102
**PARTICIPANT LOANS	Maturing from Jan 2025 - May 2026 Interest rates of 4.25% to 5.75%			159,860
Fidelity Management Trust Company				
Mutual Funds:				
BROKERAGELINK **	Brokerage Account			20,165,935
DFA EMRG MKT CORE EQ	Registered Investment Companies	79,851		1,999,472
VANG INST TR 2065	Registered Investment Companies	4,326		136,802
HTFD WORLD BOND R6	Registered Investment Companies	165,411		1,832,183
LOOMIS SM CP GRTH N	Registered Investment Companies	33,303		1,512,390
MFS VALUE R4	Registered Investment Companies	56,601		3,075,699
MFS MID CAP Value R6	Registered Investment Companies	115,424		3,707,706
METWEST TOT RTN BD P	Registered Investment Companies	607,979		6,219,621
VICTORY S SM CO OP I	Registered Investment Companies	50,359		2,644,848

* Cost is omitted because it is not required for participant-directed accounts.

** Denotes a party-in-interest.

This schedule was prepared from data certified by TIAA and CREF, insurance companies, and Fidelity Management Trust Company, custodian, as complete and accurate.

The CNA Corporation
Retirement Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2021
EIN: 54-1558882

<u>Identity of Issue</u>	<u>Description</u>	<u>Number of Units</u>	<u>Cost*</u>	<u>Current Value</u>
EV INC FND BOSTON R6	Registered Investment Companies	738,428		4,135,199
VANG INST TR INCOME	Registered Investment Companies	223,271		5,519,261
VANG INST TR 2015	Registered Investment Companies	215,845		5,322,745
VANG INST TR 2020	Registered Investment Companies	408,935		11,168,016
VANG INST TR 2025	Registered Investment Companies	643,424		18,890,921
VANG INST TR 2030	Registered Investment Companies	545,374		16,721,179
VANG INST TR 2035	Registered Investment Companies	464,466		14,765,374
VANG INST TR 2040	Registered Investment Companies	526,567		17,371,452
VANG INST TR 2045	Registered Investment Companies	383,365		13,061,250
VANG INST TR 2050	Registered Investment Companies	249,222		8,538,341
VANG INST TR 2055	Registered Investment Companies	125,905		4,324,849
VANG INST TR 2060	Registered Investment Companies	54,474		1,878,797
JPM MID CAP GRTH R6	Registered Investment Companies	29,402		1,583,324
**FID GOVT MMKT	Registered Investment Companies	11,289,920		11,289,920
**FID CONTRAFUND K	Registered Investment Companies	1,228,216		23,115,019
**FID DIVERSIFD INTL K	Registered Investment Companies	100,148		4,847,165
**FID GROWTH CO K	Registered Investment Companies	751,711		27,512,618
**FID LOW PRICED STK K	Registered Investment Companies	115,626		6,211,404
**FID TOTAL MKT IDX	Registered Investment Companies	116,426		15,575,526
**FID INTL INDEX	Registered Investment Companies	106,097		5,229,509
**FID INFL PR BD IDX	Registered Investment Companies	315,505		3,521,037
**PARTICIPANT LOANS	Maturing from June 2021 - August 2030			382,698
	Interest rates of 3.25% to 9.25%			
			<u>\$</u>	<u>586,973,003</u>

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* Cost is omitted because it is not required for participant-directed accounts.

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The CNA Corporation
Retirement Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2021
EIN: 54-1558882

<u>Identity of Issue</u>	<u>Description</u>	<u>Number of Units</u>	<u>Cost*</u>	<u>Current Value</u>
Teachers' Insurance and Annuity Association of America**				
TIAA Traditional Annuity Non Benefit Responsive:				
Retirement Annuity (RA)	Unallocated Insurance Contracts	N/A	\$	86,418,613
TIAA Traditional Annuity Benefit Responsive				
Supplemental Retirement Annuity (SRA)	Unallocated Insurance Contracts	N/A		10,242,845
Group Supplemental Retirement Annuity (GSRA)	Unallocated Insurance Contracts	N/A		7,094,546
Retirement Choice Plus (RCP)	Unallocated Insurance Contracts	N/A		725
TIAA Real Estate	Pooled Separate Account	17,088		8,796,218
College Retirement Equities Fund**				
CREF Variable Annuities:				
CREF STOCK R2	Variable Annuities	131,903		103,511,565
CREF MONEY MARKET R2	Variable Annuities	113,402		3,017,137
CREF SOCIAL CHOICE R2	Variable Annuities	16,423		5,715,992
CREF BOND MARKET R2	Variable Annuities	49,313		6,996,563
CREF GLOBAL EQUITIES R2	Variable Annuities	82,435		23,767,157
CREF GROWTH R2	Variable Annuities	58,198		23,440,117
CREF EQUITY INDEX R2	Variable Annuities	51,838		21,278,341
CREF INFLATION-LINKED BOND R2	Variable Annuities	49,815		4,151,328
TIAA-CREF Institutional Mutual Funds**				
TIAA-CREF LIFECYCLE 2010-INST	Registered Investment Companies	112,164		1,266,333
TIAA-CREF LIFECYCLE 2015-INST	Registered Investment Companies	175,954		1,778,892
TIAA-CREF LIFECYCLE 2020-INST	Registered Investment Companies	322,833		3,389,749
TIAA-CREF LIFECYCLE 2025-INST	Registered Investment Companies	112,140		1,233,545

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** Denotes a party-in-interest.

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The CNA Corporation
Retirement Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2021
EIN: 54-1558882

<u>Identity of Issue</u>	<u>Description</u>	<u>Number of Units</u>	<u>Cost*</u>	<u>Current Value</u>
TIAA-CREF LIFECYCLE 2030-INST	Registered Investment Companies	118,367	\$	1,328,078
TIAA-CREF LIFECYCLE 2035-INST	Registered Investment Companies	160,956		1,850,996
TIAA-CREF LIFECYCLE 2040-INST	Registered Investment Companies	274,472		3,175,638
TIAA-CREF LIFECYCLE 2045-INST	Registered Investment Companies	176,762		2,559,517
TIAA-CREF LIFECYCLE 2050-INST	Registered Investment Companies	82,660		1,226,668
TIAA-CREF LIFECYCLE 2055-INST	Registered Investment Companies	36,732		642,081
TIAA-CREF LIFECYCLE 2060-INST	Registered Investment Companies	21,443		320,137
TIAA-CREF MONEY MARKET -INST	Registered Investment Companies	1,350,102		1,350,102
**PARTICIPANT LOANS	Maturing from Jan 2025 - May 2026 Interest rates of 4.25% to 5.75%			159,860
Fidelity Management Trust Company				
Mutual Funds:				
BROKERAGELINK **	Brokerage Account			20,165,935
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VANG INST TR 2065	Registered Investment Companies	4,326		136,802
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LOOMIS SM CP GRTH N	Registered Investment Companies	33,303		1,512,390
MFS VALUE R4	Registered Investment Companies	56,601		3,075,699
MFS MID CAP Value R6	Registered Investment Companies	115,424		3,707,706
METWEST TOT RTN BD P	Registered Investment Companies	607,979		6,219,621
VICTORY S SM CO OP I	Registered Investment Companies	50,359		2,644,848

* Cost is omitted because it is not required for participant-directed accounts.

** Denotes a party-in-interest.

This schedule was prepared from data certified by TIAA and CREF, insurance companies, and Fidelity Management Trust Company, custodian, as complete and accurate.

The CNA Corporation
Retirement Plan
Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2021
EIN: 54-1558882

<u>Identity of Issue</u>	<u>Description</u>	<u>Number of Units</u>	<u>Cost*</u>	<u>Current Value</u>
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VANG INST TR 2025	Registered Investment Companies	643,424		18,890,921
VANG INST TR 2030	Registered Investment Companies	545,374		16,721,179
VANG INST TR 2035	Registered Investment Companies	464,466		14,765,374
VANG INST TR 2040	Registered Investment Companies	526,567		17,371,452
VANG INST TR 2045	Registered Investment Companies	383,365		13,061,250
VANG INST TR 2050	Registered Investment Companies	249,222		8,538,341
VANG INST TR 2055	Registered Investment Companies	125,905		4,324,849
VANG INST TR 2060	Registered Investment Companies	54,474		1,878,797
JPM MID CAP GRTH R6	Registered Investment Companies	29,402		1,583,324
**FID GOVT MMKT	Registered Investment Companies	11,289,920		11,289,920
**FID CONTRAFUND K	Registered Investment Companies	1,228,216		23,115,019
**FID DIVERSIFD INTL K	Registered Investment Companies	100,148		4,847,165
**FID GROWTH CO K	Registered Investment Companies	751,711		27,512,618
**FID LOW PRICED STK K	Registered Investment Companies	115,626		6,211,404
**FID TOTAL MKT IDX	Registered Investment Companies	116,426		15,575,526
**FID INTL INDEX	Registered Investment Companies	106,097		5,229,509
**FID INFL PR BD IDX	Registered Investment Companies	315,505		3,521,037
**PARTICIPANT LOANS	Maturing from June 2021 - August 2030			382,698
	Interest rates of 3.25% to 9.25%			
			<u>\$</u>	<u>586,973,003</u>

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* Cost is omitted because it is not required for participant-directed accounts.

** Denotes a party-in-interest.

This schedule was prepared from data certified by TIAA and CREF, insurance companies, and Fidelity Management Trust Company, custodian, as complete and accurate.