

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☒ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	▶ ☒
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	▶ ☐

Part II	Basic Plan Information—enter all requested information										
1a Name of plan <u>SHEET METAL WORKERS' LOCAL UNION NO. 9 PENSION PLA</u> 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>TRUSTEES OF SHEET METAL WORKERS' LOCAL NO. 9 PENSION PLAN</u> <u>1515 W. 47TH AVE</u> <u>DENVER, CO 80211</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>07/19/1967</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>84-0783596</u></td> </tr> <tr> <td colspan="2">2c Plan Sponsor's telephone number <u>303-922-1213</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>238220</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>07/19/1967</u>		2b Employer Identification Number (EIN) <u>84-0783596</u>		2c Plan Sponsor's telephone number <u>303-922-1213</u>		2d Business code (see instructions) <u>238220</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>										
1c Effective date of plan <u>07/19/1967</u>											
2b Employer Identification Number (EIN) <u>84-0783596</u>											
2c Plan Sponsor's telephone number <u>303-922-1213</u>											
2d Business code (see instructions) <u>238220</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/10/2022	JON ALVINO
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/10/2022	JON ALVINO
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	2003
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	781
a(2) Total number of active participants at the end of the plan year		6a(2)	787
b Retired or separated participants receiving benefits.....		6b	647
c Other retired or separated participants entitled to future benefits		6c	400
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	1834
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	153
f Total. Add lines 6d and 6e		6f	1987
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	67
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☒ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE MB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan SHEET METAL WORKERS' LOCAL UNION NO. 9 PENSION PLA	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF TRUSTEES OF SHEET METAL WORKERS' LOCAL NO. 9 PENSION PLAN	D Employer Identification Number (EIN) 84-0783596

E Type of plan: (1) ☒ Multiemployer Defined Benefit (2) ☐ Money Purchase (see instructions)

1a Enter the valuation date: Month 01 Day 01 Year 2021

b Assets

(1) Current value of assets.....	1b(1)	181747071
(2) Actuarial value of assets for funding standard account	1b(2)	170841711
c (1) Accrued liability for plan using immediate gain methods	1c(1)	175873786
(2) Information for plans using spread gain methods:		
(a) Unfunded liability for methods with bases	1c(2)(a)	
(b) Accrued liability under entry age normal method	1c(2)(b)	
(c) Normal cost under entry age normal method	1c(2)(c)	
(3) Accrued liability under unit credit cost method	1c(3)	175873786
d Information on current liabilities of the plan:		
(1) Amount excluded from current liability attributable to pre-participation service (see instructions)	1d(1)	
(2) "RPA '94" information:		
(a) Current liability.....	1d(2)(a)	342464225
(b) Expected increase in current liability due to benefits accruing during the plan year.....	1d(2)(b)	14431868
(c) Expected release from "RPA '94" current liability for the plan year.....	1d(2)(c)	11217386
(3) Expected plan disbursements for the plan year.....	1d(3)	11760685

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	09/20/2022
Signature of actuary	Date
JONATHAN HASSEN	20-07913
Type or print name of actuary	Most recent enrollment number
RAEL & LETSON	650-341-3311
Firm name	Telephone number (including area code)
2929 CAMPUS DRIVE, SUITE 400, SAN MATEO, CA 94403	
Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule MB (Form 5500) 2021
v. 201209

2 Operational information as of beginning of this plan year:

a Current value of assets (see instructions)	2a	181747071
b "RPA '94" current liability/participant count breakdown:	(1) Number of participants	(2) Current liability
(1) For retired participants and beneficiaries receiving payment	825	136055412
(2) For terminated vested participants	397	50121696
(3) For active participants:		
(a) Non-vested benefits.....		16694969
(b) Vested benefits.....		139592148
(c) Total active	781	156287117
(4) Total	2003	342464225
c If the percentage resulting from dividing line 2a by line 2b(4), column (2), is less than 70%, enter such percentage	2c	53.07 %

3 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
07/01/2021	7592142				
Totals ▶			3(b)	7592142	3(c) 0
(d) Total withdrawal liability amounts included in line 3(b) total					3(d) 0

4 Information on plan status:

a Funded percentage for monitoring plan's status (line 1b(2) divided by line 1c(3))	4a	97.1 %
b Enter code to indicate plan's status (see instructions for attachment of supporting evidence of plan's status). If entered code is "N," go to line 5	4b	N
c Is the plan making the scheduled progress under any applicable funding improvement or rehabilitation plan?		☐ Yes ☐ No
d If the plan is in critical status or critical and declining status, were any benefits reduced (see instructions)?		☐ Yes ☐ No
e If line d is "Yes," enter the reduction in liability resulting from the reduction in benefits (see instructions), measured as of the valuation date	4e	
f If the rehabilitation plan projects emergence from critical status or critical and declining status, enter the plan year in which it is projected to emerge. If the rehabilitation plan is based on forestalling possible insolvency, enter the plan year in which insolvency is expected and check here	4f	

5 Actuarial cost method used as the basis for this plan year's funding standard account computations (check all that apply):

- a** ☐ Attained age normal
b ☐ Entry age normal
c ☒ Accrued benefit (unit credit)
d ☐ Aggregate
e ☐ Frozen initial liability
f ☐ Individual level premium
g ☐ Individual aggregate
h ☐ Shortfall
i ☐ Other (specify):

j If box h is checked, enter period of use of shortfall method	5j	
k Has a change been made in funding method for this plan year?.....		☒ Yes ☐ No
l If line k is "Yes," was the change made pursuant to Revenue Procedure 2000-40 or other automatic approval?		☒ Yes ☐ No
m If line k is "Yes," and line l is "No," enter the date (MM-DD-YYYY) of the ruling letter (individual or class) approving the change in funding method.....	5m	

6 Checklist of certain actuarial assumptions:

a Interest rate for "RPA '94" current liability.....			6a	2.43 %
b Rates specified in insurance or annuity contracts.....	Pre-retirement ☐ Yes ☐ No ☒ N/A		Post-retirement ☐ Yes ☐ No ☒ N/A	
c Mortality table code for valuation purposes:				
(1) Males	6c(1)	6-1	6-1	
(2) Females	6c(2)	6F-1	6F-1	
d Valuation liability interest rate	6d	7.00 %	7.00 %	
e Expense loading	6e	8.0 % ☐ N/A	% ☒ N/A	
f Salary scale	6f	% ☒ N/A		
g Estimated investment return on actuarial value of assets for year ending on the valuation date	6g	8.7 %		
h Estimated investment return on current value of assets for year ending on the valuation date	6h	13.5 %		

7 New amortization bases established in the current plan year:

(1) Type of base	(2) Initial balance	(3) Amortization Charge/Credit
1	-1507618	-154699
4	-1293710	-132750
5	-19826630	-2638193

8 Miscellaneous information:

a If a waiver of a funding deficiency has been approved for this plan year, enter the date (MM-DD-YYYY) of the ruling letter granting the approval.....	8a	
b(1) Is the plan required to provide a projection of expected benefit payments? (See the instructions.) If "Yes," attach a schedule.....	☒ Yes ☐ No	
b(2) Is the plan required to provide a Schedule of Active Participant Data? (See the instructions.) If "Yes," attach a schedule.....	☒ Yes ☐ No	
c Are any of the plan's amortization bases operating under an extension of time under section 412(e) (as in effect prior to 2008) or section 431(d) of the Code?	☐ Yes ☒ No	
d If line c is "Yes," provide the following additional information:		
(1) Was an extension granted automatic approval under section 431(d)(1) of the Code?	☐ Yes ☐ No	
(2) If line 8d(1) is "Yes," enter the number of years by which the amortization period was extended	8d(2)	
(3) Was an extension approved by the Internal Revenue Service under section 412(e) (as in effect prior to 2008) or 431(d)(2) of the Code?	☐ Yes ☐ No	
(4) If line 8d(3) is "Yes," enter number of years by which the amortization period was extended (not including the number of years in line (2)).....	8d(4)	
(5) If line 8d(3) is "Yes," enter the date of the ruling letter approving the extension	8d(5)	
(6) If line 8d(3) is "Yes," is the amortization base eligible for amortization using interest rates applicable under section 6621(b) of the Code for years beginning after 2007?	☐ Yes ☐ No	
e If box 5h is checked or line 8c is "Yes," enter the difference between the minimum required contribution for the year and the minimum that would have been required without using the shortfall method or extending the amortization base(s)	8e	

9 Funding standard account statement for this plan year:**Charges to funding standard account:**

a Prior year funding deficiency, if any	9a	0
b Employer's normal cost for plan year as of valuation date.....	9b	5359528
c Amortization charges as of valuation date:	Outstanding balance	
(1) All bases except funding waivers and certain bases for which the amortization period has been extended	9c(1)	99803399
(2) Funding waivers	9c(2)	0
(3) Certain bases for which the amortization period has been extended	9c(3)	0
d Interest as applicable on lines 9a, 9b, and 9c.....	9d	1243583
e Total charges. Add lines 9a through 9d.....	9e	19009056

Credits to funding standard account:

f Prior year credit balance, if any.....	9f	28505990
g Employer contributions. Total from column (b) of line 3.....	9g	7592142
	Outstanding balance	
h Amortization credits as of valuation date.....	9h	66265334
i Interest as applicable to end of plan year on lines 9f, 9g, and 9h	9i	3032998
j Full funding limitation (FFL) and credits:		
(1) ERISA FFL (accrued liability FFL)	9j(1)	41620424
(2) "RPA '94" override (90% current liability FFL)	9j(2)	148046105
(3) FFL credit	9j(3)	0
k (1) Waived funding deficiency	9k(1)	0
(2) Other credits	9k(2)	0
l Total credits. Add lines 9f through 9i, 9j(3), 9k(1), and 9k(2)	9l	50157613
m Credit balance: If line 9l is greater than line 9e, enter the difference	9m	31148557
n Funding deficiency: If line 9e is greater than line 9l, enter the difference	9n	
9o Current year's accumulated reconciliation account:		
(1) Due to waived funding deficiency accumulated prior to the 2021 plan year	9o(1)	0
(2) Due to amortization bases extended and amortized using the interest rate under section 6621(b) of the Code:		
(a) Reconciliation outstanding balance as of valuation date	9o(2)(a)	0
(b) Reconciliation amount (line 9c(3) balance minus line 9o(2)(a))	9o(2)(b)	0
(3) Total as of valuation date	9o(3)	0
10 Contribution necessary to avoid an accumulated funding deficiency. (See instructions.)	10	0
11 Has a change been made in the actuarial assumptions for the current plan year? If "Yes," see instructions.....	☒ Yes ☐ No	

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan SHEET METAL WORKERS' LOCAL UNION NO. 9 PENSION PLA	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 TRUSTEES OF SHEET METAL WORKERS' LOCAL NO. 9 PENSION PLAN	D Employer Identification Number (EIN) 84-0783596	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
THE VANGUARD GROUP	
23-1945930	

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
GQG PARTNERS	450 EAST LAS OLAS BLVD., STE 750 FORT LAUDERDALE, FL 33301

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
FIRST EAGLE INVESTMENT MANAGEMENT	
57-1156902	

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
BEACH POINT CAPITAL MANAGEMENT	
80-0242162	

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

LAZARD ASSET MANAGEMENT

30-0761181

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

EATON VANCE TRUST COMPANY

32-0225130

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AMERICAN CENTURY INVESTMENT MGMT

PO BOX 419200
KANSAS CITY, MO 64141

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PNC BANK, NATIONAL ASSOCIATION

22-1146430

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MEKETA INVESTMENT GROUP

04-2659023

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	135000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ASB CAPITAL MANAGEMENT

80-0618452

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	133693	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

RAEL & LETSON

94-1701048

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50	NONE	99235	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

REHN & ASSOCIATES

91-1009626

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13 15 36 38 50	NONE	91948	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SHEET METAL WORKERS LOCAL NO. 9

84-0318035

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13 15 36 38 50	RELATED UNION	85092	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SLEVIN & HART PC

52-1708613

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	66550	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SEGALL BRYANT & HAMILL, LLC

35-2679129

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68	NONE	55524	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

US BANK NATIONAL ASSOCIATION

31-0841368

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 50 62 68	NONE	44597	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

LEGACY PROFESSIONALS LLP

32-0043599

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	27491	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FRONTIER CAPITAL MANAGEMENT

04-3492668

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68	NONE	25323	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS TRUST

81-4017137

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
18 19 50 51 56	NONE	20136	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>SHEET METAL WORKERS' LOCAL UNION NO. 9 PENSION PLA</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>TRUSTEES OF SHEET METAL WORKERS' LOCAL NO. 9 PENSION PLAN</u>	D Employer Identification Number (EIN) <u>84-0783596</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
---------------	--

a Name of MTIA, CCT, PSA, or 103-12 IE: <u>RUSSELL 1000 INDEX NL FUND</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GLOBAL ADVISORS TRUST COMPANY</u>		
c EIN-PN <u>90-0337987-160</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>20834166</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>RUSSELL 2000 INDEX NL FUND</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GLOBAL ADVISORS TRUST COMPANY</u>		
c EIN-PN <u>04-0025081-013</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>5236481</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>US AGGREGATE BOND INDEX NL FUND</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GLOBAL ADVISORS TRUST COMPANY</u>		
c EIN-PN <u>04-0025081-070</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>20938468</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>US TIPS INDEX NL FUND</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GLOBAL ADVISORS TRUST COMPANY</u>		
c EIN-PN <u>04-0025081-152</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>7211918</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>GQG PARTNERS EMERGING MARKETS EQ CI</u>		
b Name of sponsor of entity listed in (a): <u>RELIANCE TRUST COMPANY</u>		
c EIN-PN <u>82-6258259-012</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>12139024</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>ASB ALLEGIANCE REAL ESTATE FUND</u>		
b Name of sponsor of entity listed in (a): <u>CHEVY CHASE TRUST COMPANY</u>		
c EIN-PN <u>52-6257033-006</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>9836203</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EVTC/PARAMETRIC EMERGING MARKET EQ</u>		
b Name of sponsor of entity listed in (a): <u>EATON VANCE TRUST COMPANY</u>		
c EIN-PN <u>32-0225130-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>13461571</u>

a Name of MTIA, CCT, PSA, or 103-12 IE: **AFL-CIO BUILDING INVESTMENT TRUST**

b Name of sponsor of entity listed in (a): **PNC BANK, NATIONAL ASSOCIATION AS TRUSTEE**

c EIN-PN 52-6328901-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 8781441
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a Name of MTIA, CCT, PSA, or 103-12 IE: **LAZARD EMERGING MARKETS DEBT BLEND**

b Name of sponsor of entity listed in (a): **LAZARD EMERGING MARKETS**

c EIN-PN 30-0761181-001	d Entity code E	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1990250
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan SHEET METAL WORKERS' LOCAL UNION NO. 9 PENSION PLA	B Three-digit plan number (PN) ► 001	
C Plan sponsor's name as shown on line 2a of Form 5500 TRUSTEES OF SHEET METAL WORKERS' LOCAL NO. 9 PENSION PLAN	D Employer Identification Number (EIN) 84-0783596	

Part I	Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.				
Assets		(a) Beginning of Year	(b) End of Year	
a Total noninterest-bearing cash.....		1a	1292631	1363837
b Receivables (less allowance for doubtful accounts):				
(1) Employer contributions		1b(1)	826721	669765
(2) Participant contributions.....		1b(2)		
(3) Other		1b(3)	45843	42646
c General investments:				
(1) Interest-bearing cash (include money market accounts & certificates of deposit)		1c(1)	628440	3068379
(2) U.S. Government securities		1c(2)		
(3) Corporate debt instruments (other than employer securities):				
(A) Preferred		1c(3)(A)		
(B) All other.....		1c(3)(B)		
(4) Corporate stocks (other than employer securities):				
(A) Preferred		1c(4)(A)		
(B) Common		1c(4)(B)	19120864	5990643
(5) Partnership/joint venture interests		1c(5)	16828089	18728947
(6) Real estate (other than employer real property)		1c(6)		
(7) Loans (other than to participants).....		1c(7)		
(8) Participant loans		1c(8)		
(9) Value of interest in common/collective trusts		1c(9)	80594972	98439272
(10) Value of interest in pooled separate accounts		1c(10)		
(11) Value of interest in master trust investment accounts.....		1c(11)		
(12) Value of interest in 103-12 investment entities		1c(12)	7331855	1990250
(13) Value of interest in registered investment companies (e.g., mutual funds)		1c(13)	55213779	66315944
(14) Value of funds held in insurance company general account (unallocated contracts).....		1c(14)		
(15) Other.....		1c(15)		

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	15080 13150
f	Total assets (add all amounts in lines 1a through 1e)	1f	181898274 196622833
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	151203 94021
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	151203 94021
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	181747071 196528812

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	7592142
	(B) Participants	2a(1)(B)	
	(C) Others (including rollovers).....	2a(1)(C)	
(2)	Noncash contributions.....	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	7592142
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	187
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	187
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	81343
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	1740780
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	1822123
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	18995417
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	18674564
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	320853
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	507256
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	507256

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		8000114
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		-341605
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		8224423
c Other income.....	2c		5262
d Total income. Add all income amounts in column (b) and enter total.....	2d		26130755
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	10442458	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		10442458
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	196876	
(2) Contract administrator fees.....	2i(2)	91948	
(3) Investment advisory and management fees.....	2i(3)	407503	
(4) Other.....	2i(4)	210229	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		906556
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		11349014
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		14781741
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☐ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☒ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: LEGACY PROFESSIONALS LLP

(2) EIN: 32-0043599

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		1000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g	X		119158469
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)			
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			
5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.			
5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)			
5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)	
5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☒ Yes ☐ No ☐ Not determined If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 444825 _____.			

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan SHEET METAL WORKERS' LOCAL UNION NO. 9 PENSION PLA	B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 TRUSTEES OF SHEET METAL WORKERS' LOCAL NO. 9 PENSION PLAN	D Employer Identification Number (EIN) 84-0783596

Part I	Distributions
--------	---------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 91-1008686	
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.	
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3 0

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
---------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes ☒ No ☐ N/A
If the plan is a defined benefit plan, go to line 8.	
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.	
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a
b Enter the amount contributed by the employer to the plan for this plan year	6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c
If you completed line 6c, skip lines 8 and 9.	
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes ☐ No ☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☒ Yes ☐ No ☐ N/A

Part III	Amendments
----------	------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase ☐ Decrease ☐ Both ☒ No
--	---

Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
---------	--

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes ☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes ☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes ☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes ☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021
v. 201209

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer **U.S. ENGINEERING**

b EIN **44-0470840**

c Dollar amount contributed by employer **1400049**

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month **06** Day **30** Year **2025**

e Contribution rate information (If more than one rate applies, check this box ☒ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer **MURPHY COMPANY**

b EIN **43-0705361**

c Dollar amount contributed by employer **741974**

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month **06** Day **30** Year **2025**

e Contribution rate information (If more than one rate applies, check this box ☒ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer **APOLLO SHEET METAL, INC.**

b EIN **91-1178943**

c Dollar amount contributed by employer **608333**

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month **06** Day **30** Year **2025**

e Contribution rate information (If more than one rate applies, check this box ☒ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer **TRAUTMAN & SHREVE, INC.**

b EIN **13-3630476**

c Dollar amount contributed by employer **403666**

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month **06** Day **30** Year **2025**

e Contribution rate information (If more than one rate applies, check this box ☒ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☒ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

0

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

0

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

0

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: 63.1 % Investment-Grade Debt: 19.3 % High-Yield Debt: 7.9 % Real Estate: 9.7 % Other: 0.0 %

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☒ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☒ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

SHEET METAL WORKERS' LOCAL NO. 9 PENSION TRUST

FINANCIAL STATEMENTS

DECEMBER 31, 2021

SHEET METAL WORKERS' LOCAL NO. 9 PENSION TRUST

FINANCIAL STATEMENTS WITH SUPPLEMENTARY INFORMATION

DECEMBER 31, 2021 AND 2020

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REPORT OF INDEPENDENT AUDITORS

To the Participants and Trustees of
Sheet Metal Workers' Local
No. 9 Pension Trust

Opinion

We have audited the financial statements of Sheet Metal Workers' Local No. 9 Pension Trust (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of December 31, 2021 and 2020, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

In our opinion, the accompanying financial statements present fairly, in all material respects, the net assets available for benefits of Sheet Metal Workers' Local No. 9 Pension Trust as of December 31, 2021 and 2020, and the changes in its net assets available for benefits for the years then ended, and the accumulated plan benefits as of December 31, 2020, and the changes in its accumulated plan benefits for the year then ended, in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the *Auditors' Responsibilities for the Audit of the Financial Statements* section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the financial statements are available to be issued.

Responsibilities of Management for the Financial Statements (continued)

Management is also responsible for maintaining a current Plan instrument, including all Plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if, there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit;
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements;
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed;
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements; and
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Legacy Professionals LLP

Westchester, Illinois

September 29, 2022

SHEET METAL WORKERS' LOCAL NO. 9 PENSION TRUST

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

DECEMBER 31, 2021 AND 2020

	<u>2021</u>	<u>2020</u>
ASSETS		
INVESTMENTS - at fair value		
Corporate stocks	\$ 5,990,643	\$ 19,120,864
Mutual funds	66,315,944	55,213,779
Pooled investment funds	100,429,522	87,926,827
Limited partnerships	18,728,947	16,828,089
Invested cash	<u>3,068,379</u>	<u>628,440</u>
Total investments	<u>194,533,435</u>	<u>179,717,999</u>
RECEIVABLES		
Employer contributions	669,765	826,721
Accrued interest and dividends	<u>4,285</u>	<u>6,507</u>
Total receivables	<u>674,050</u>	<u>833,228</u>
PROPERTY AND EQUIPMENT - net	<u>13,150</u>	<u>15,080</u>
PREPAID EXPENSES	<u>38,361</u>	<u>39,336</u>
CASH	<u>1,363,837</u>	<u>1,292,631</u>
Total assets	<u>196,622,833</u>	<u>181,898,274</u>
LIABILITIES AND NET ASSETS		
LIABILITIES		
Accounts payable and accrued expenses	83,398	141,117
Due to related organization	<u>10,623</u>	<u>10,086</u>
Total liabilities	<u>94,021</u>	<u>151,203</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 196,528,812</u>	<u>\$ 181,747,071</u>

See accompanying notes to financial statements.

SHEET METAL WORKERS' LOCAL NO. 9 PENSION TRUST
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
YEARS ENDED DECEMBER 31, 2021 AND 2020

	<u>2021</u>	<u>2020</u>
ADDITIONS		
Investment income		
Net appreciation in fair value of investments	\$ 16,711,041	\$ 19,774,485
Interest, dividends and other investment income	<u>1,822,310</u>	<u>2,516,348</u>
	18,533,351	22,290,833
Less investment fees and expenses	<u>(407,503)</u>	<u>(524,692)</u>
Investment income - net	18,125,848	21,766,141
Employer contributions	7,592,142	7,061,783
Miscellaneous income	<u>5,262</u>	<u>5,678</u>
Total additions	<u>25,723,252</u>	<u>28,833,602</u>
DEDUCTIONS		
Pension benefits	10,442,458	10,104,627
Administrative expenses	<u>499,053</u>	<u>494,197</u>
Total deductions	<u>10,941,511</u>	<u>10,598,824</u>
NET INCREASE	14,781,741	18,234,778
NET ASSETS AVAILABLE FOR BENEFITS		
Beginning of year	<u>181,747,071</u>	<u>163,512,293</u>
End of year	<u>\$ 196,528,812</u>	<u>\$ 181,747,071</u>

See accompanying notes to financial statements.

SHEET METAL WORKERS' LOCAL NO. 9 PENSION TRUST

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2021 AND 2020

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Method of Accounting - The accompanying financial statements of Sheet Metal Workers' Local No. 9 Pension Trust (the Plan) have been prepared using the accrual basis of accounting.

Investments - The investments of the Plan are reported at fair value. The fair value of a financial instrument is the amount that would be received to sell that asset (or paid to transfer a liability) in an orderly transaction between market participants at the measurement date (the exit price). Net appreciation or depreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Purchases and sales of the investments are reflected on a trade-date basis.

Capital gain distributions on mutual funds are recorded as dividends. Dividend income is recorded on the ex dividend date. Interest income is recorded on the accrual basis.

Contributions Receivable - Employer contributions due and not paid prior to year end are recorded as contributions receivable. Employer contributions due as determined by payroll compliance audits are recorded as income when determined to be collectible. An allowance for uncollectible accounts is considered unnecessary and is not provided.

Property and Equipment - Property and equipment consist of furniture and equipment and are carried at cost. Major additions are capitalized while replacements, maintenance and repairs which do not improve or extend the lives of the respective assets are expensed currently. Depreciation is computed using the straight-line method over estimated useful lives of five to ten years.

Depreciation expense was \$1,930 and \$1,205 for the years ended December 31, 2021 and 2020, respectively.

Revenue Recognition - Revenue derived from employer contributions is recognized in the period in which covered work is performed, based on the number of hours worked and contribution rates set forth in the applicable collective bargaining agreement. Employers are required to remit contributions monthly. The Plan carries out its purpose described in Note 2 within a jurisdiction primarily located in Colorado.

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Reciprocal Contributions

The Plan is signatory to various reciprocity agreements with various other multiemployer pension plans outside its territorial jurisdiction that are affiliated with the Sheet Metal Workers' International Association. Employees who are normally employed within the territory of one local union (home local) may be temporarily employed within the territory of another local union (reciprocating local). When an employee of the home local works in the territory of a reciprocating local, the latter is to make contributions to the former's fringe benefit funds on the employee's behalf. Monies received by the Plan on behalf of persons from outside participating local unions are forwarded to their home local fringe benefit trust funds. The Plan uses the same recognition and measurement criteria for contributions received on behalf of its participants under the terms of reciprocity agreements, as for all other employer contribution revenue. Amounts paid to other plans under the terms of reciprocity agreements are not reflected in the statements of changes in net assets available for benefits, as the amounts received are not revenue earned by the Plan, and the corresponding payments are not an expense of the Plan. The Plan recognizes a liability upon receiving reciprocal contributions on behalf of non-participants working within the jurisdiction of the local union, and recognizes a decrease in that liability upon remitting those contributions to the appropriate plan. Employer contributions included reciprocal contributions of \$24,339 and \$96,436 for the years ended December 31, 2021 and 2020, respectively, from various other pension plans under the terms of reciprocity agreements. The Plan remitted a total of \$24,156 and \$34,762 in reciprocal contributions to various other pension plans under the terms of reciprocity agreements for the years ended December 31, 2021 and 2020, respectively.

Actuarial Present Value of Accumulated Plan Benefits - Accumulated plan benefits are those future periodic payments, including lump-sum distributions, that are attributable under the Plan's provisions to the service which employees have rendered. Accumulated plan benefits include benefits expected to be paid to (a) retired or terminated employees or their beneficiaries, (b) beneficiaries of employees who have died, and (c) present employees or their beneficiaries.

Payment of Benefits - Benefit payments to participants are recorded upon distribution.

Expenses - Certain investment related expenses are included in net appreciation in fair value of investments.

Reclassifications - Certain reclassifications have been made to the prior year amounts to conform to the presentation for the current year.

Estimates - The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures in the financial statements. Actual results could differ from those estimates.

Subsequent Events - Subsequent events have been evaluated through September 29, 2022, which is the date the financial statements were available to be issued.

NOTE 2. DESCRIPTION OF THE PLAN

The Plan was established during 1967 as a result of collective bargaining agreements between Sheet Metal Workers' Local No. 9 Union (the Local) and the employers of Sheet Metal and Air Conditioning Contractors National Association (SMACNA) Colorado Chapter to provide retirement and pre-retirement death and disability benefits for eligible participants. The Plan is primarily funded by employer contributions as specified in the collective bargaining agreements. Participant contributions are not permitted under the Plan. The Plan is a multiemployer defined benefit pension plan and is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

Under current provisions of the Plan, participants are eligible for a regular pension if they have accumulated five years of eligible participation in the Plan and have reached normal retirement age, which is age 65. The Plan provides early retirement and service pensions to eligible participants based upon age, pension credits, not working in prohibited employment, and vesting service.

Vesting of benefits is attained for participants who have five years of vesting service.

Pre-retirement death benefits are provided for surviving spouses of deceased participants who had attained vesting.

Participants should refer to the summary plan description for more complete information.

NOTE 3. PRIORITIES UPON TERMINATION

It is the intent of the Trustees to continue the Plan in full force and effect; however, the right to discontinue the Plan is reserved to the Trustees. Termination shall not permit any part of the Plan assets to be used for or diverted to purposes other than the exclusive benefit of the pensioners, beneficiaries and participants and to make payments of reasonable administrative expenses. In the event of termination, the net assets of the Plan will be allocated to pay benefits in priorities as prescribed by ERISA and its related regulations. Whether or not a particular participant will receive full benefits should the Plan terminate at some future time will depend on the sufficiency of the Plan's net assets at that time and the priority of those benefits.

In addition, certain benefits under the Plan are insured by the Pension Benefit Guaranty Corporation (PBGC) if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivors' pensions. The PBGC does not guarantee all types of benefits and the amount of any individual participant's benefit protection is subject to certain limitations, particularly with respect to benefit increases as a result of plan amendments in effect for less than five years. Some benefits may be fully or partially provided for while other benefits may not be provided at all.

NOTE 4. TAX STATUS

The Plan's latest determination letter is dated June 23, 2015, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements under Section 401(a) of the Internal Revenue Code, and was, therefore, exempt from federal income taxes under the provisions of Section 501(a). The Plan has been amended since receiving the determination letter. The Plan's administrator and the Plan's legal counsel believe that the Plan is currently designed and being operated in accordance with the applicable requirements of the Internal Revenue Code, and therefore believe that the Plan was qualified and the related trust was tax-exempt as of the financial statement date.

Accounting principles generally accepted in the United States of America require the Plan to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by tax authorities. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 5. FUNDING POLICY

The participating employers contribute such amounts as are specified in their collective bargaining agreements. Hourly employer contribution rates for the years ended December 31, 2021 and 2020 ranged from \$1.07 to \$5.51. Effective July 1, 2017, the participating employers began to make non-accruing contributions that ranged from \$.05 to \$.30 per hour. A total of \$341,629 and \$311,565 of such contributions was received during the years ended December 31, 2021 and 2020, respectively.

The Plan's actuary has advised that the minimum funding requirements of ERISA are being met as of January 1, 2021.

NOTE 6. ACTUARIAL INFORMATION

An actuarial valuation of the Plan was made by Rael & Letson as of December 31, 2020. Information shown in the report included the following:

Actuarial present value of accumulated plan benefits

Vested benefits

Participants currently receiving payments	\$ 94,621,284
---	---------------

Other participants	<u>80,374,094</u>
--------------------	-------------------

Total vested benefits	174,995,378
-----------------------	-------------

Nonvested benefits	<u>8,816,115</u>
--------------------	------------------

Total actuarial present value of accumulated plan benefits	<u><u>\$ 183,811,493</u></u>
---	------------------------------

As reported by the actuary, the changes in the present value of accumulated plan benefits during the year ended December 31, 2020 were as follows:

Actuarial present value of accumulated plan benefits

at beginning of year	\$ 177,623,984
----------------------	----------------

Increase (decrease) during the year attributable to:

Benefits accumulated	4,723,613
----------------------	-----------

Interest	12,062,720
----------	------------

Benefits paid	<u>(10,598,824)</u>
---------------	---------------------

Actuarial present value of accumulated plan benefits

at end of year	<u><u>\$ 183,811,493</u></u>
----------------	------------------------------

The actuarial valuation was made using the unit credit cost method.

NOTE 6. ACTUARIAL INFORMATION (CONTINUED)

Some of the more significant actuarial assumptions used in the valuation were as follows:

- Mortality:
 - Healthy participants - RP-2014 Blue Collar Mortality Table set back one year
 - Disabled participants - RP-2014 Disabled Retiree Mortality Table set forward one year
- Retirement:

<u>Age</u>	<u>Percentage</u>
Under 55	0.00%
55-59	10.00%
60-61	15.00%
62	37.50%
63 and over	100.00%
- Investment return:
 - 7% compounded annually, net of investment expenses
- Operating expenses:
 - \$412,000 annually
- Annual hours:
 - 1,525

The actuarial assumptions are based on the presumption that the Plan will continue. If the Plan was to terminate, different actuarial assumptions and other factors might be applicable in determining actuarial results. Pension benefits in excess of the present assets of the Plan are dependent upon contributions received under collective bargaining agreements with employers and income from investments.

Since information on the accumulated plan benefits at December 31, 2021, and the changes therein for the year then ended are not included above, these financial statements do not purport to present a complete presentation of the financial status of the Plan as of December 31, 2021, and the changes in its financial status for the year then ended, but a presentation of the net assets available for benefits and the changes therein as of and for the year ended December 31, 2021. The complete financial status is presented as of December 31, 2020.

As of January 1, 2022, the Plan was certified by its actuary to be in neither critical nor endangered status ("green zone"), within the meaning of the Pension Protection Act of 2006 (PPA), as amended.

NOTE 7. FAIR VALUE MEASUREMENTS

The *Fair Value Measurements and Disclosures* Topic of the FASB Accounting Standards Codification established a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described below:

Basis of Fair Value Measurement

Level 1	Unadjusted quoted prices in active markets that are accessible at the measurement date for identical, unrestricted assets or liabilities
Level 2	Quoted prices in markets that are not considered to be active or financial instruments for which all significant inputs are observable, either directly or indirectly
Level 3	Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable

The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth, by level within the fair value hierarchy, the Plan's investment assets at fair value as of December 31, 2021 and 2020. As required, assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair value measurement. In accordance with generally accepted accounting principles, certain investments that are measured at fair value using the net asset value per share (or its equivalent) practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in the following tables are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the statements of net assets available for benefits.

NOTE 7. FAIR VALUE MEASUREMENTS (CONTINUED)

		Fair Value Measurements at 12/31/21 Using		
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
	Total			
Corporate stocks	\$ 5,990,643	\$ 5,990,643	\$ -	\$ -
Mutual funds	66,315,944	66,315,944	-	-
Invested cash	3,068,379	-	3,068,379	-
	<u>75,374,966</u>	<u>\$ 72,306,587</u>	<u>\$ 3,068,379</u>	<u>\$ -</u>
Investments measured at net asset value:				
Pooled investment funds	100,429,522			
Limited partnerships	18,728,947			
Total	<u>\$ 194,533,435</u>			

		Fair Value Measurements at 12/31/20 Using		
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
	Total			
Corporate stocks	\$ 19,120,864	\$ 19,120,864	\$ -	\$ -
Mutual funds	55,213,779	55,213,779	-	-
Invested cash	628,440	-	628,440	-
	<u>74,963,083</u>	<u>\$ 74,334,643</u>	<u>\$ 628,440</u>	<u>\$ -</u>
Investments measured at net asset value:				
Pooled investment funds	87,926,827			
Limited partnerships	16,828,089			
Total	<u>\$ 179,717,999</u>			

Level 1 Measurements

Corporate stocks are traded in active markets on national and international securities exchanges and are valued at closing prices on the last business day of each period presented.

The fair values of the mutual funds are determined by reference to the funds' underlying assets, which are principally marketable equity and fixed income securities. Shares held in mutual funds are traded on national securities exchanges and are valued at the net asset value as of the last business day of each period presented.

Level 2 Measurements

Invested cash is carried at cost, which approximates fair value.

NOTE 7. FAIR VALUE MEASUREMENTS (CONTINUED)**Measurements Using Net Asset Value as a Practical Expedient**

The Plan's investments in pooled investment funds and limited partnerships are valued by the respective investment managers, without further adjustment, using the net asset value per share as a practical expedient to estimate fair value. The net asset values are based on the fair values of the underlying investments held by the funds less their liabilities. The practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported net asset value.

The pooled investment funds are direct filing entities (DFEs) that file a Form 5500 annual report with the U.S. Department of Labor. The Plan is not required to disclose the investment strategies of DFE investments. Redemptions are generally available daily with no notice period. However, three of the pooled investment funds have the following redemption restrictions:

Fair Value at		Redemption	
December 31, 2021	December 31, 2020	Frequency	Notice Period
\$ 9,836,203	\$ 13,510,832	Quarterly	60 days
\$ 1,990,250	\$ 7,331,855	Daily	15 days
\$ 8,781,441	\$ 3,215,141	Quarterly	30 days

The following tables summarize information regarding the limited partnerships whose fair values are based on net asset value per share as of December 31, 2021 and 2020:

December 31, 2021				
Fair Value	Underlying Assets		Redemption	
	Type	Concentration	Frequency	Notice Period
\$ 11,402,138	Equity securities	67%	Monthly	10 days
	Commodities	10%		
	Short-term investments	10%		
	Other	7%		
	American depositary receipts	6%		
\$ 7,326,809	Master-feeder structure:		Monthly	90 days
	Bank debt	88%		
	Cash equivalents	7%		
	Other	5%		

December 31, 2020				
Fair Value	Underlying Assets		Redemption	
	Type	Concentration	Frequency	Notice Period
\$ 9,813,270	Equity securities	67%	Monthly	10 days
	Short-term investments	14%		
	Commodities	8%		
	Other	7%		
	American depositary receipts	4%		
\$ 7,014,819	Master-feeder structure:		Monthly	90 days
	Bank debt	88%		
	Cash equivalents	6%		
	Corporate bonds	6%		

NOTE 8. RELATED ORGANIZATIONS

General

The Plan shares certain common governance with a number of related organizations, including the Local, a welfare trust and an apprenticeship fund, all of which are tax-exempt.

Common Administrative Expenses

The Plan shares staff with the Local. Salaries and related expenses are initially paid by the Local and allocated to the Plan. Clerical and management fee reimbursements allocated to the Plan for the years ended December 31, 2021 and 2020 totaled \$86,213 and \$83,814 respectively.

At December 31, 2021 and 2020, the Plan owed the Local a total of \$10,623 and \$10,086 respectively, for clerical and management fees incurred prior to year end and paid the following month.

Rent

The Plan shares office space and pays rent on a month-to-month basis at \$201 per month to the Local. In July 2020, the Plan finalized a sublease arrangement with the Local. Under this arrangement, the Plan will pay the Local rent in the amount 4.6% of the rent paid by the Local to the related apprenticeship fund, pursuant to a master lease agreement. This sublease arrangement, as well as the master lease agreement extend through August 31, 2028. Initial payments required under the master lease are \$4,375 per month, after the first five years, rental payments are adjusted for cost of living increases. The following is a summary by year of future minimum lease payments as of December 31, 2021:

Year ending December 31	
2022	\$ 2,415
2023	2,415
2024	2,415
2025	2,415
2026	2,415
Therafter	4,025
Total	<u>\$ 16,100</u>

Rent expense for both years ended December 31, 2021 and 2020 was \$2,415.

NOTE 9. MAJOR EMPLOYERS

Contributions from two employers accounted for approximately 28% and 26% of employer contributions for the years ended December 31, 2021 and 2020, respectively. In the event these employers suspend contributions, the Plan would retain the risk of meeting current fixed administrative expenses until the appropriate adjustments were made.

NOTE 10. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Due to inherent uncertainties involved in valuations of investments that are not publicly traded, estimated fair values may differ materially from the values that would have been used had a ready market for the underlying securities existed.

The Plan holds several alternative investments in which the general partner or investment manager is generally required to value the Plan's underlying investments at estimated fair values using various subjective techniques. In addition, the Plan has ownership interest in real estate investment funds. The estimated fair values of these funds, as determined by the investment manager, may vary significantly from the prices at which the real estate investments within the fund would sell, and the amounts could be material.

The actuarial present value of accumulated plan benefits is reported based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

NOTE 11. IMPACT OF COVID-19 AND NEWLY ENACTED LEGISLATION

As a result of the spread of the COVID-19 coronavirus, economic uncertainties have arisen which are likely to negatively impact the Plan, though the potential impact and duration is unknown as of the date the financial statements were available to be issued.

Several major legislative relief packages were enacted in response to the coronavirus outbreak, containing numerous tax, emergency funding and other regulatory provisions affecting retirement plans. The Plan continues to evaluate the impact of newly enacted legislation on its provisions, operations and cash flows.

LEGACY
PROFESSIONALS LLP
CERTIFIED PUBLIC ACCOUNTANTS

REPORT OF INDEPENDENT AUDITORS ON SUPPLEMENTAL SCHEDULES

To the Participants and Trustees of
Sheet Metal Workers' Local
No. 9 Pension Trust

We have audited the financial statements of Sheet Metal Workers' Local No. 9 Pension Trust (the Plan) as of and for the years ended December 31, 2021 and 2020, and our report thereon dated September 29, 2022, which expressed an unmodified opinion on those financial statements, appears on pages 1 and 2. Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. Supplemental Schedules 1 and 2 are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedules is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Legacy Professionals LLP

Westchester, Illinois

September 29, 2022

SCHEDULE C	OTHER SERVICE PROVIDER SERVICE CODES	STATEMENT	1
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NAME	SERVICE CODES
SHEET METAL WORKERS LOCAL NO. 9	13
SHEET METAL WORKERS LOCAL NO. 9	15
SHEET METAL WORKERS LOCAL NO. 9	36
SHEET METAL WORKERS LOCAL NO. 9	38
SHEET METAL WORKERS LOCAL NO. 9	50
US BANK NATIONAL ASSOCIATION	19
US BANK NATIONAL ASSOCIATION	50
US BANK NATIONAL ASSOCIATION	62
US BANK NATIONAL ASSOCIATION	68
STATE STREET GLOBAL ADVISORS TRUST	18
STATE STREET GLOBAL ADVISORS TRUST	19
STATE STREET GLOBAL ADVISORS TRUST	50
STATE STREET GLOBAL ADVISORS TRUST	51
STATE STREET GLOBAL ADVISORS TRUST	56
FRONTIER CAPITAL MANAGEMENT	28
FRONTIER CAPITAL MANAGEMENT	50
FRONTIER CAPITAL MANAGEMENT	51
FRONTIER CAPITAL MANAGEMENT	68
SEGALL BRYANT & HAMILL, LLC	28
SEGALL BRYANT & HAMILL, LLC	50
SEGALL BRYANT & HAMILL, LLC	51
SEGALL BRYANT & HAMILL, LLC	68
REHN & ASSOCIATES	13
REHN & ASSOCIATES	15
REHN & ASSOCIATES	36
REHN & ASSOCIATES	38
REHN & ASSOCIATES	50

CODES TO SCHEDULE C, LINE 2(B)

SCHEDULE H	OTHER RECEIVABLES	STATEMENT	2
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DESCRIPTION	BEGINNING	ENDING
ACCRUED INTEREST AND DIVIDENDS	6,507.	4,285.
PREPAID EXPENSES	39,336.	38,361.
TOTAL TO SCHEDULE H, LINE 1B(3)	45,843.	42,646.

SCHEDULE H	OTHER INCOME	STATEMENT	3
DESCRIPTION		AMOUNT	
MISCELLANEOUS INCOME		5,262.	
TOTAL TO SCHEDULE H, LINE 2C		5,262.	

SCHEDULE H	OTHER ADMINISTRATIVE EXPENSES	STATEMENT	4
DESCRIPTION		AMOUNT	
CLERICAL FEE REIMBURSEMENTS		86,213.	
DEPRECIATION		1,930.	
INSURANCE		31,293.	
MISCELLANEOUS EXPENSE		1,304.	
PLAN TERMINATION INSURANCE (PBGC)		62,093.	
PRINTING AND OFFICE SUPPLIES		14,597.	
RENT		2,415.	
TRUSTEE MEETING AND OTHER TRAVEL		10,384.	
TOTAL TO SCHEDULE H, LINE 2I(4)		210,229.	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE					179,486,640.42		
COMPARATIVE VALUE (5%)					8,974,332.02		
CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE							
Issue: 31846V542 - First Am Treas Ob Fd CI Z							
03/30/2021	B	10,000,000.000	1.0000		- 10,000,000 *	10,000,000	
03/30/2021	S	- 10,100,000.000	1.0000		10,100,000 *	10,100,000	
Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd							
03/30/2021	B	166,328.465	60.1220		- 10,000,000 *	10,000,000	
GRAND TOTAL				0	30,100,000	30,100,000	0

CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE

Broker: Direct From Issuer

Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd							
01/28/2021	S	- 3,083.537	56.7530		175,000	38,497	136,503
Issue: 9SPMTGP28 - Ssga US Aggregate Bond Indx NI Fd							
01/28/2021	S	- 4,278.001	35.0631		150,000	110,538	39,462
Issue: 9SPMTJ904 - Asb-Blackrock Liquidity Fund							
01/31/2021	B	1.070	1.0000		- 1	1	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/31/2021	Issue: 9SPMTH700 - Asb Allegiance Real Estate Fund S	- 131.717	1,637.2190		215,649	186,075	29,574
02/27/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 3,439.793	58.1430		200,000	42,945	157,055
02/27/2021	Issue: 9SPMTGP28 - Ssga US Aggregate Bond Indx NI Fd S	- 5,106.827	34.2679		175,000	131,954	43,046
03/26/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 14.154	59.8608		847	187	660
03/26/2021	Issue: 9SPMTGNZ7 - Ssga Russell 2000 (R) Indx NI Fd S	- 3.005	170.5025		512	156	357
03/26/2021	Issue: 9SPMTGP28 - Ssga US Aggregate Bond Indx NI Fd S	- 67.756	34.0700		2,308	1,792	517
03/26/2021	Issue: 9SPMTGP10 - Ssga US Tips Indx NI Fd S	- 24.161	29.6561		717	508	209
03/30/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd B	166,328.465	60.1220		- 10,000,000 *	10,000,000	
03/31/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 1,667.618	59.9658		100,000	20,820	79,180
03/31/2021	Issue: 9SPMTGP28 - Ssga US Aggregate Bond Indx NI Fd S	- 2,203.484	34.0370		75,000	56,935	18,065
04/15/2021	Issue: 9SPMTH700 - Asb Allegiance Real Estate Fund S	- 307.958	1,695.6435		522,187	435,050	87,137

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/30/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 2,741.615	63.8310		175,000	34,228	140,772
04/30/2021	Issue: 9SPMTGP28 - Ssga US Aggregate Bond Indx NI Fd S	- 4,374.034	34.2933		150,000	113,019	36,981
05/03/2021	Issue: 9SPMTJ904 - Asb-Blackrock Liquidity Fund B	.500	1.0000		- 1	1	
05/24/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 3,151.542	63.4610		200,000	39,346	160,654
05/24/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 18.907	63.4596		1,200	236	964
05/24/2021	Issue: 9SPMTGP28 - Ssga US Aggregate Bond Indx NI Fd S	- 64.633	34.3710		2,222	1,670	551
05/24/2021	Issue: 9SPMTGP28 - Ssga US Aggregate Bond Indx NI Fd S	- 5,091.502	34.3710		175,000	131,557	43,443
05/24/2021	Issue: 9SPMTGP10 - Ssga US Tips Indx NI Fd S	- 22.167	30.4732		676	466	209
05/31/2021	Issue: 9SPMTJ904 - Asb-Blackrock Liquidity Fund B	.410	1.0000				
05/31/2021	Issue: 9SPMTH700 - Asb Allegiance Real Estate Fund S	- 107.484	1,655.7642		177,968	151,842	26,126
06/21/2021	Issue: 9SPMTGNZ7 - Ssga Russell 2000 (R) Indx NI Fd S	- 3.865	161.7956		625	200	425

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/28/2021	Issue: 9SPMTGTP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 1,917.589	65.1860		125,000	23,940	101,060
06/28/2021	Issue: 9SPMTGTP28 - Ssga US Aggregate Bond Indx NI Fd S	- 2,887.420	34.6330		100,000	74,607	25,393
06/30/2021	Issue: 9SPMTJX80 - Afl-Cio Building Investment Trust B	410.750	7,827.4969		- 3,215,140	3,215,140	
06/30/2021	Issue: 9SPMTJX80 - Afl-Cio Building Investment Trust B	22.736	7,827.4974		- 177,968	177,968	
06/30/2021	Issue: 9SPMTJX80 - Afl-Cio Building Investment Trust B	66.712	7,827.4886		- 522,187	522,187	
07/29/2021	Issue: 9SPMTGTP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 2,986.189	66.9750		200,000	37,281	162,719
07/29/2021	Issue: 9SPMTGTP28 - Ssga US Aggregate Bond Indx NI Fd S	- 4,997.041	35.0207		175,000	129,117	45,883
08/30/2021	Issue: 9SPMTGTP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 2,913.513	68.6457		200,000	36,374	163,626
08/30/2021	Issue: 9SPMTGTP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 28.724	68.6496		1,972	359	1,613
08/30/2021	Issue: 9SPMTGNZ7 - Ssga Russell 2000 (R) Indx NI Fd S	- 4.005	165.0312		661	208	453
08/30/2021	Issue: 9SPMTGTP28 - Ssga US Aggregate Bond Indx NI Fd S	- 4,280.309	35.0442		150,000	110,597	39,403

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/30/2021	Issue: 9SPMTGTP28 - Ssga US Aggregate Bond Indx NI Fd S	- 62.140	35.0509		2,178	1,606	572
08/30/2021	Issue: 9SPMTGTP10 - Ssga US Tips Indx NI Fd S	- 21.748	31.5923		687	457	230
09/29/2021	Issue: 9SPMTHYZ3 - Eaton Vance Trust Co Collective B	166,297.118	13.5300		- 2,250,000	2,250,000	
09/29/2021	Issue: 96MSCB1F2 - Ggg Partners Emerging Mkts Eq Fd B	87,412.587	14.3000		- 1,250,000	1,250,000	
09/30/2021	Issue: 9SPMTJ904 - Asb-Blackrock Liquidity Fund B	6.930	1.0000		- 7	7	
09/30/2021	Issue: 9SPMTH700 - Asb Allegiance Real Estate Fund S	- 1,448.086	1,739.0237		2,518,256	1,407,769	1,110,487
10/26/2021	Issue: 98MSCSR06 - First Eagle Int'L. Value Fd, LP B	408.351	2,448.8736		- 1,000,000	1,000,000	
10/29/2021	Issue: 9SPMTGTP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 2,507.675	69.7858		175,000	31,307	143,693
10/29/2021	Issue: 9SPMTGTP28 - Ssga US Aggregate Bond Indx NI Fd S	- 4,325.509	34.6780		150,000	111,765	38,235
11/23/2021	Issue: 9SPMTGNZ7 - Ssga Russell 2000 (R) Indx NI Fd S	- 3.817	169.9712		649	198	451
11/23/2021	Issue: 9SPMTGTP28 - Ssga US Aggregate Bond Indx NI Fd S	- 62.974	34.3940		2,166	1,627	539

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
11/23/2021	Issue: 9SPMTGP10 - Ssga US Tips Indx NI Fd S	- 22.368	31.6747		709	470	238
11/29/2021	Issue: 9SPMTGP28 - Ssga US Aggregate Bond Indx NI Fd S	- 5,043.990	34.6948		175,000	130,330	44,670
11/30/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 3,197.332	70.3712		225,000	39,917	185,083
11/30/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 28.320	70.9054		2,008	354	1,654
12/27/2021	Issue: 9SPMTJX80 - Afl-Cio Building Investment Trust B	308.274	8,168.9029		- 2,518,256	2,518,256	
12/29/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd S	- 3,465.795	72.1335		250,000	43,269	206,731
12/29/2021	Issue: 9SPMTGP28 - Ssga US Aggregate Bond Indx NI Fd S	- 5,782.639	34.5863		200,000	149,415	50,585
12/29/2021	Issue: 9SPMTJX80 - Afl-Cio Building Investment Trust B	252.902	8,168.9350		- 2,065,940	2,065,940	
12/30/2021	Issue: 9SPMTHYZ3 - Eaton Vance Trust Co Collective B	93,843.844	13.3200		- 1,250,000	1,250,000	
12/31/2021	Issue: 9SPMTJ904 - Asb-Blackrock Liquidity Fund B	5.680	1.0000		- 6	6	
12/31/2021	Issue: 9SPMTH700 - Asb Allegiance Real Estate Fund S	- 1,129.124	1,829.6847		2,065,940	1,595,105	470,835

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total For Direct From Issuer				0	33,469,643	29,673,599	3,796,043
Broker: Liquidnet Inc							
01/04/2021	Issue: G47567105 - lhs Markit Ltd Shs S	- 16.000	88.0844		1,409	1,187	222
01/04/2021	Issue: 67020Y100 - Nuance Communications Inc B	26.000	44.1053	1	- 1,148	1,148	
01/07/2021	Issue: 577081102 - Mattel Inc B	360.000	17.6789	11	- 6,375	6,375	
01/08/2021	Issue: 577081102 - Mattel Inc B	883.000	18.0357	26	- 15,952	15,952	
01/08/2021	Issue: 929160109 - Vulcan Matls Co Com B	124.000	159.6615	4	- 19,802	19,802	
01/08/2021	Issue: 45337C102 - Incyte Corp B	316.000	84.5678	9	- 26,733	26,733	
01/08/2021	Issue: 30063P105 - Exact Sciences Corp S	- 14.000	125.0050		1,750	708	1,042
01/08/2021	Issue: 502431109 - L3Harris Technologies Inc S	- 122.000	182.3102	4	22,238	24,074	- 1,836
01/08/2021	Issue: 252131107 - Dexcom Inc S	- 55.000	380.9294	2	20,949	10,824	10,125

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/08/2021	Issue: 004225108 - Acadia Pharmaceuticals Inc B	194.000	51.8971	6	- 10,074	10,074	
01/11/2021	Issue: 761152107 - Resmed Inc S	- 42.000	211.4529	1	8,880	5,737	3,143
01/11/2021	Issue: 268150109 - Dynatrace Inc S	- 627.000	40.9667	19	25,667	25,388	279
01/11/2021	Issue: 30063P105 - Exact Sciences Corp S	- 60.000	126.3120	2	7,577	3,818	3,759
01/11/2021	Issue: 016255101 - Align Technology Inc B	88.000	552.2312	3	- 48,599	48,599	
01/11/2021	Issue: 632307104 - Natera Inc B	83.000	108.1771	2	- 8,981	8,981	
01/11/2021	Issue: 60855R100 - Molina Healthcare Inc B	43.000	240.8677	1	- 10,359	10,359	
01/12/2021	Issue: 577081102 - Mattel Inc B	366.000	18.2050	11	- 6,674	6,674	
01/12/2021	Issue: 268150109 - Dynatrace Inc S	- 947.000	42.1543	29	39,891	39,466	425
01/12/2021	Issue: 50212V100 - Lpl Financial Holdings Inc B	80.000	113.2420	2	- 9,062	9,062	
01/12/2021	Issue: 016255101 - Align Technology Inc B	2.000	571.5063		- 1,143	1,143	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/12/2021	Issue: 60855R100 - Molina Healthcare Inc B	7.000	242.8000		- 1,700	1,700	
01/13/2021	Issue: 50212V100 - Lpl Financial Holdings Inc B	134.000	116.4671	4	- 15,611	15,611	
01/13/2021	Issue: 60855R100 - Molina Healthcare Inc B	14.000	241.1470		- 3,376	3,376	
01/13/2021	Issue: 90184L102 - Twitter Inc S	- 785.000	46.3813	24	36,385	33,080	3,305
01/14/2021	Issue: 848637104 - Splunk Inc S	- 230.000	163.8030	3	37,672	26,961	10,711
01/14/2021	Issue: 77543R102 - Roku Inc B	57.000	411.3631	2	- 23,449	23,449	
01/14/2021	Issue: 60855R100 - Molina Healthcare Inc B	46.000	240.1860	1	- 11,050	11,050	
01/15/2021	Issue: 444859102 - Humana Inc S	- 2.000	415.2915		831	740	90
01/15/2021	Issue: 058498106 - Ball Corp S	- 32.000	91.1754	1	2,917	1,365	1,552
01/15/2021	Issue: 824348106 - Sherwin Williams Co S	- 2.000	728.1722		1,456	812	644
01/15/2021	Issue: 577081102 - Mattel Inc B	74.000	19.1875	2	- 1,422	1,422	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/15/2021	Issue: 007903107 - Advanced Micro Devices Inc S	- 34.000	91.3934	1	3,107	746	2,361
01/15/2021	Issue: 929160109 - Vulcan Matls Co Com S	- 10.000	161.4775		1,615	1,153	461
01/15/2021	Issue: 172908105 - Cintas Corp S	- 10.000	334.9871		3,350	854	2,495
01/15/2021	Issue: 302491303 - F M C Corporation S	- 19.000	121.1748		2,302	1,335	967
01/15/2021	Issue: 052769106 - Autodesk Inc S	- 4.000	316.8064		1,267	712	555
01/15/2021	Issue: 879369106 - Teleflex Inc S	- 4.000	397.4445		1,590	978	612
01/15/2021	Issue: 285512109 - Electronic Arts Inc S	- 9.000	137.2773		1,235	899	336
01/15/2021	Issue: 778296103 - Ross Stores Inc S	- 21.000	120.2487		2,525	2,189	336
01/15/2021	Issue: 482480100 - Kla Corporation S	- 5.000	290.2644		1,451	740	712
01/15/2021	Issue: 45168D104 - Idexx Labs Inc S	- 5.000	497.2491		2,486	1,056	1,430
01/15/2021	Issue: 512807108 - Lam Research Corp S	- 6.000	525.1491		3,151	1,120	2,031

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01/15/2021	Issue: 45337C102 - Incyte Corp S	- 17.000	92.9605		1,580	1,425	155
01/15/2021	Issue: 225447101 - Cree Research Inc S	- 16.000	120.7825		1,932	812	1,120
01/15/2021	Issue: 436440101 - Hologic Inc S	- 13.000	78.3783		1,019	691	328
01/15/2021	Issue: 60937P106 - MongoDB Inc S	- 6.000	376.0506		2,256	762	1,494
01/15/2021	Issue: 773903109 - Rockwell Automation Inc S	- 6.000	263.5096		1,581	1,128	453
01/15/2021	Issue: 81181C104 - Seagen Inc S	- 8.000	178.3712		1,427	579	847
01/15/2021	Issue: 032095101 - Amphenol Corp Cl A S	- 13.000	134.4883		1,748	1,147	601
01/15/2021	Issue: 216648402 - Cooper Cos Inc S	- 2.000	377.5016		755	454	301
01/15/2021	Issue: 858912108 - Stericycle Inc S	- 14.000	69.4523		972	802	170
01/15/2021	Issue: 74762E102 - Quanta Svcs Inc S	- 30.000	74.5731	1	2,237	1,289	948
01/15/2021	Issue: H01301128 - Alcon Inc S	- 15.000	69.6400		1,044	916	129

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01/15/2021	Issue: 12769G100 - Caesars Entertainment Inc S	- 86.000	77.1889	2	6,636	3,179	3,458
01/15/2021	Issue: 761152107 - Resmed Inc S	- 4.000	215.6343		862	547	316
01/15/2021	Issue: 30063P105 - Exact Sciences Corp S	- 19.000	145.5899		2,766	1,412	1,353
01/15/2021	Issue: 848637104 - Splunk Inc S	- 26.000	171.1708	1	4,450	3,181	1,269
01/15/2021	Issue: 09061G101 - Biomarin Pharmaceutical Inc S	- 11.000	81.4155		895	1,125	- 230
01/15/2021	Issue: 679295105 - Okta Inc S	- 10.000	259.1442		2,591	2,093	498
01/15/2021	Issue: 00846U101 - Agilent Technologies Inc S	- 9.000	127.2993		1,145	721	424
01/15/2021	Issue: 339750101 - Floor Decor Holdings Inc A S	- 22.000	104.8173	1	2,305	733	1,572
01/15/2021	Issue: 146869102 - Carvana Co S	- 5.000	290.5156		1,452	574	879
01/15/2021	Issue: 28176E108 - Edwards Lifesciences Corp S	- 8.000	89.0487		712	278	434
01/15/2021	Issue: G46188101 - Horizon Therapeutics Plc S	- 13.000	77.5257		1,008	622	385

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01/15/2021	Issue: G5876H105 - Marvell Technology Group Ltd S	- 34.000	50.0887	1	1,702	702	1,001
01/15/2021	Issue: 452327109 - Illumina Inc S	- 2.000	360.0325		720	359	361
01/15/2021	Issue: 50212V100 - Lpl Financial Holdings Inc S	- 16.000	115.5952		1,849	1,404	445
01/15/2021	Issue: 256677105 - Dollar General Corp S	- 6.000	218.4844		1,311	661	650
01/15/2021	Issue: 697435105 - Palo Alto Networks Inc S	- 7.000	363.3408		2,543	2,475	68
01/15/2021	Issue: 615369105 - Moodys Corp S	- 4.000	272.7337		1,091	855	236
01/15/2021	Issue: 499049104 - Knight Swift Transportation S	- 38.000	42.8450	1	1,627	1,244	383
01/15/2021	Issue: 37940X102 - Global Payments Inc S	- 14.000	201.4206		2,820	544	2,275
01/15/2021	Issue: 016255101 - Align Technology Inc S	- 5.000	544.6133		2,723	2,461	262
01/15/2021	Issue: 77543R102 - Roku Inc S	- 8.000	411.5328		3,292	2,618	674
01/15/2021	Issue: 60770K107 - Moderna Inc S	- 9.000	123.5302		1,112	560	552

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01/15/2021	Issue: 00751Y106 - Advance Auto Parts Inc S	- 9.000	172.6421		1,554	1,348	206
01/15/2021	Issue: 98138H101 - Workday Inc S	- 5.000	230.1820		1,151	959	192
01/15/2021	Issue: 45784P101 - Insulet Corp S	- 9.000	271.1163		2,440	789	1,651
01/15/2021	Issue: 44891N109 - Iac Interactivecorp New S	- 17.000	198.4654		3,373	2,145	1,229
01/15/2021	Issue: 48251W104 - Kkr Co Inc A S	- 62.000	40.9773	1	2,539	1,639	900
01/15/2021	Issue: 550021109 - Lululemon Athletica Inc S	- 8.000	352.2767		2,818	1,548	1,270
01/15/2021	Issue: G3922B107 - Genpact Limited S	- 21.000	41.3050		867	879	- 12
01/15/2021	Issue: 632307104 - Natera Inc S	- 8.000	113.9760		912	866	46
01/15/2021	Issue: 109194100 - Bright Horizons Family Solut S	- 11.000	157.3119		1,730	906	824
01/15/2021	Issue: G8473T100 - Steris Plc Shs USD S	- 7.000	194.2990		1,360	736	624
01/15/2021	Issue: 631103108 - Nasdaq Inc S	- 5.000	142.3501		712	288	423

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01/15/2021	Issue: 72703H101 - Planet Fitness Inc Cl A S	- 22.000	83.2900		1,832	1,268	564
01/15/2021	Issue: 55354G100 - Msci Inc S	- 6.000	438.1674		2,629	1,902	727
01/15/2021	Issue: G0403H108 - Aon Plc Shs Cl A S	- 11.000	206.7297		2,274	1,963	311
01/15/2021	Issue: 099502106 - Booz Allen Hamilton Holding S	- 10.000	92.0635		920	785	135
01/15/2021	Issue: 60855R100 - Molina Healthcare Inc S	- 4.000	230.6190		922	919	3
01/15/2021	Issue: 852234103 - Block Inc S	- 34.000	231.3989	1	7,867	2,231	5,636
01/15/2021	Issue: 67103H107 - O Reilly Automotive Inc S	- 3.000	486.5455		1,460	706	754
01/15/2021	Issue: G06242104 - Atlassian Corp Plc Class A S	- 4.000	230.0140		920	597	323
01/15/2021	Issue: 76680R206 - Ringcentral Inc Class A S	- 6.000	386.0323		2,316	1,241	1,075
01/15/2021	Issue: 525327102 - Leidos Holdings Inc S	- 15.000	105.7949		1,587	1,150	436
01/15/2021	Issue: 122017106 - Burlington Stores Inc S	- 7.000	258.4246		1,809	1,114	695

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01/15/2021	Issue: 922475108 - Veeva Systems Inc Class A S	- 5.000	281.0638		1,405	1,114	291
01/15/2021	Issue: 90184L102 - Twitter Inc S	- 37.000	48.0185	1	1,776	1,557	219
01/15/2021	Issue: 94106B101 - Waste Connections Inc S	- 11.000	103.0045		1,133	481	651
01/15/2021	Issue: 252131107 - Dexcom Inc S	- 4.000	360.9981		1,444	785	659
01/15/2021	Issue: 004225108 - Acadia Pharmaceuticals Inc S	- 12.000	53.6026		643	513	130
01/15/2021	Issue: 78486Q101 - Svb Finl Group S	- 10.000	439.2938		4,393	2,227	2,165
01/15/2021	Issue: 12008R107 - Builders Firstsource Inc S	- 29.000	38.7478	1	1,123	927	196
01/15/2021	Issue: 67020Y100 - Nuance Communications Inc S	- 62.000	48.5956	1	3,012	2,145	867
01/15/2021	Issue: 169656105 - Chipotle Mexican Grill Inc S	- 1.000	1,397.0488		1,397	1,309	88
01/15/2021	Issue: 338307101 - Five9 Inc S	- 12.000	175.5350		2,106	863	1,243
01/15/2021	Issue: 70432V102 - Paycom Software Inc S	- 11.000	418.9008		4,608	2,923	1,685

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/15/2021	Issue: 22266L106 - Coupa Software Inc S	- 9.000	356.5229		3,208	968	2,240
01/19/2021	Issue: 55955D100 - Magnite Inc Com B	134.000	31.1131	4	- 4,173	4,173	
01/20/2021	Issue: 55955D100 - Magnite Inc Com B	122.000	29.9874	4	- 3,662	3,662	
01/21/2021	Issue: 023436108 - Amedisys Inc B	222.000	299.7722	7	- 66,556	66,556	
01/21/2021	Issue: 023436108 - Amedisys Inc B	96.000	297.8233	3	- 28,594	28,594	
01/21/2021	Issue: 099502106 - Booz Allen Hamilton Holding B	58.000	93.8271	2	- 5,444	5,444	
01/22/2021	Issue: 099502106 - Booz Allen Hamilton Holding B	256.000	94.9660	8	- 24,319	24,319	
01/22/2021	Issue: 525327102 - Leidos Holdings Inc B	65.000	109.5846	2	- 7,125	7,125	
01/25/2021	Issue: 444859102 - Humana Inc S	- 3.000	415.6183		1,247	1,110	137
01/25/2021	Issue: 058498106 - Ball Corp S	- 41.000	91.2078	1	3,739	1,749	1,990
01/25/2021	Issue: 824348106 - Sherwin Williams Co S	- 3.000	742.9621		2,229	1,317	912

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/25/2021	Issue: 577081102 - Mattel Inc S	- 227.000	18.2878	5	4,147	2,620	1,527
01/25/2021	Issue: 007903107 - Advanced Micro Devices Inc S	- 44.000	89.9073	1	3,955	965	2,990
01/25/2021	Issue: 929160109 - Vulcan Matls Co Com S	- 12.000	161.5593		1,938	1,384	554
01/25/2021	Issue: 172908105 - Cintas Corp S	- 13.000	333.1315		4,330	1,111	3,220
01/25/2021	Issue: 302491303 - F M C Corporation S	- 24.000	116.8808	1	2,805	1,686	1,118
01/25/2021	Issue: 052769106 - Autodesk Inc S	- 6.000	306.9920		1,842	1,068	774
01/25/2021	Issue: 879369106 - Teleflex Inc S	- 5.000	390.6826		1,953	1,231	722
01/25/2021	Issue: 285512109 - Electronic Arts Inc S	- 11.000	146.0758		1,607	1,099	507
01/25/2021	Issue: 778296103 - Ross Stores Inc S	- 27.000	114.6954	1	3,096	2,814	282
01/25/2021	Issue: 595017104 - Microchip Technology Inc S	- 34.000	151.3048	1	5,144	3,059	2,084
01/25/2021	Issue: 482480100 - Kla Corporation S	- 7.000	304.3385		2,130	1,035	1,095

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01/25/2021	Issue: 45168D104 - Idexx Labs Inc S	- 6.000	492.9716		2,958	1,267	1,690
01/25/2021	Issue: 512807108 - Lam Research Corp S	- 8.000	561.4294		4,491	1,494	2,998
01/25/2021	Issue: 45337C102 - Incyte Corp S	- 22.000	94.2200		2,072	1,844	229
01/25/2021	Issue: 576323109 - Mastec Inc S	- 26.000	82.2943	1	2,139	1,744	395
01/25/2021	Issue: 436440101 - Hologic Inc S	- 17.000	75.4279		1,282	904	378
01/25/2021	Issue: 60937P106 - MongoDB Inc S	- 8.000	365.2499		2,922	1,016	1,906
01/25/2021	Issue: 773903109 - Rockwell Automation Inc S	- 7.000	265.2024		1,856	1,315	541
01/25/2021	Issue: 81181C104 - Seagen Inc S	- 10.000	186.1449		1,861	724	1,137
01/25/2021	Issue: 032095101 - Amphenol Corp Cl A S	- 16.000	134.4243		2,150	1,426	725
01/25/2021	Issue: 216648402 - Cooper Cos Inc S	- 3.000	374.8121		1,124	681	444
01/25/2021	Issue: 858912108 - Stericycle Inc S	- 18.000	68.4049		1,231	1,031	200

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/25/2021	Issue: 74762E102 - Quanta Svcs Inc S	- 38.000	78.5321	1	2,983	1,632	1,351
01/25/2021	Issue: H01301128 - Alcon Inc S	- 20.000	72.5535		1,451	1,221	230
01/25/2021	Issue: 12769G100 - Caesars Entertainment Inc S	- 110.000	78.7446	2	8,660	4,328	4,332
01/25/2021	Issue: 761152107 - Resmed Inc S	- 5.000	216.6588		1,083	684	400
01/25/2021	Issue: 30063P105 - Exact Sciences Corp S	- 24.000	147.9263	1	3,550	1,784	1,766
01/25/2021	Issue: 848637104 - Splunk Inc S	- 33.000	173.8148	1	5,735	4,038	1,697
01/25/2021	Issue: 09061G101 - Biomarin Pharmaceutical Inc S	- 14.000	87.7794		1,229	1,432	- 204
01/25/2021	Issue: 679295105 - Okta Inc S	- 13.000	263.2728		3,422	2,721	701
01/25/2021	Issue: 00846U101 - Agilent Technologies Inc S	- 11.000	127.2377		1,399	882	518
01/25/2021	Issue: 339750101 - Floor Decor Holdings Inc A S	- 28.000	103.7741	1	2,905	933	1,972
01/25/2021	Issue: 146869102 - Carvana Co S	- 6.000	273.5126		1,641	689	952

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/25/2021	Issue: 28176E108 - Edwards Lifesciences Corp S	- 10.000	87.4206		874	347	527
01/25/2021	Issue: G46188101 - Horizon Therapeutics Plc S	- 17.000	75.9184		1,290	814	476
01/25/2021	Issue: G5876H105 - Marvell Technology Group Ltd S	- 44.000	53.0699	1	2,334	718	1,617
01/25/2021	Issue: 452327109 - Illumina Inc S	- 2.000	405.7775		812	359	453
01/25/2021	Issue: 50212V100 - Lpl Financial Holdings Inc S	- 20.000	118.7841		2,375	1,755	620
01/25/2021	Issue: 256677105 - Dollar General Corp S	- 7.000	207.9587		1,456	771	685
01/25/2021	Issue: 697435105 - Palo Alto Networks Inc S	- 9.000	365.2344		3,287	3,182	105
01/25/2021	Issue: 615369105 - Moodys Corp S	- 5.000	275.0236		1,375	1,069	306
01/25/2021	Issue: 499049104 - Knight Swift Transportation S	- 49.000	43.2122	1	2,116	1,604	512
01/25/2021	Issue: 37940X102 - Global Payments Inc S	- 18.000	190.3220		3,425	700	2,726
01/25/2021	Issue: 016255101 - Align Technology Inc S	- 6.000	554.0476		3,324	2,953	371

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01/25/2021	Issue: 00751Y106 - Advance Auto Parts Inc S	- 11.000	166.1349		1,827	1,758	69
01/25/2021	Issue: 98138H101 - Workday Inc S	- 7.000	228.6987		1,601	1,339	261
01/25/2021	Issue: 45784P101 - Insulet Corp S	- 11.000	290.4771		3,195	967	2,228
01/25/2021	Issue: 44891N109 - Iac Interactivecorp New S	- 21.000	205.8799	1	4,323	2,650	1,673
01/25/2021	Issue: 48251W104 - Kkr Co Inc A S	- 79.000	41.2163	2	3,254	2,081	1,173
01/25/2021	Issue: 550021109 - Lululemon Athletica Inc S	- 10.000	351.7696		3,517	1,904	1,613
01/25/2021	Issue: G3922B107 - Genpact Limited S	- 27.000	41.8064	1	1,128	1,130	- 1
01/25/2021	Issue: 632307104 - Natera Inc S	- 10.000	121.5057		1,215	1,082	133
01/25/2021	Issue: 109194100 - Bright Horizons Family Solut S	- 14.000	159.6478		2,235	1,146	1,088
01/25/2021	Issue: G8473T100 - Steris Plc Shs USD S	- 10.000	188.4357		1,884	1,054	830
01/25/2021	Issue: 631103108 - Nasdaq Inc S	- 7.000	141.2485		989	404	585

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01/25/2021	Issue: 72703H101 - Planet Fitness Inc Cl A S	- 28.000	78.9781	1	2,211	1,614	597
01/25/2021	Issue: 55354G100 - Msci Inc S	- 7.000	417.8423		2,925	2,219	706
01/25/2021	Issue: G0403H108 - Aon Plc Shs Cl A S	- 15.000	212.6763		3,190	2,676	513
01/25/2021	Issue: 099502106 - Booz Allen Hamilton Holding S	- 18.000	94.3487		1,698	1,413	285
01/25/2021	Issue: 60855R100 - Molina Healthcare Inc S	- 5.000	221.6944		1,108	1,149	- 41
01/25/2021	Issue: 852234103 - Block Inc S	- 43.000	220.3947	1	9,476	2,823	6,653
01/25/2021	Issue: 67103H107 - O Reilly Automotive Inc S	- 4.000	471.0189		1,884	941	943
01/25/2021	Issue: G06242104 - Atlassian Corp Plc Class A S	- 5.000	235.1312		1,176	746	429
01/25/2021	Issue: 525327102 - Leidos Holdings Inc B	62.000	109.3586	2	- 6,782	6,782	
01/25/2021	Issue: 122017106 - Burlington Stores Inc S	- 9.000	254.7658		2,293	1,504	789
01/25/2021	Issue: 922475108 - Veeva Systems Inc Class A S	- 6.000	287.6038		1,725	1,339	387

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/25/2021	Issue: 90184L102 - Twitter Inc S	- 47.000	47.0485	1	2,210	1,978	232
01/25/2021	Issue: 94106B101 - Waste Connections Inc S	- 14.000	103.0536		1,442	613	830
01/25/2021	Issue: 252131107 - Dexcom Inc S	- 5.000	363.8892		1,819	981	839
01/25/2021	Issue: 004225108 - Acadia Pharmaceuticals Inc S	- 15.000	52.1614		782	639	143
01/25/2021	Issue: 78486Q101 - Svb Finl Group S	- 13.000	456.5306		5,935	2,999	2,935
01/25/2021	Issue: 12008R107 - Builders Firstsource Inc S	- 38.000	42.9930	1	1,633	1,214	419
01/25/2021	Issue: 67020Y100 - Nuance Communications Inc S	- 79.000	50.5600	2	3,993	2,733	1,259
01/25/2021	Issue: 538034109 - Live Nation Entertainment Inc S	- 35.000	73.2296	1	2,562	2,542	20
01/25/2021	Issue: 338307101 - Five9 Inc S	- 15.000	180.1317		2,702	1,084	1,618
01/25/2021	Issue: 70432V102 - Paycom Software Inc S	- 14.000	405.9486		5,683	3,768	1,915
01/25/2021	Issue: 22266L106 - Coupa Software Inc S	- 11.000	342.2948		3,765	1,165	2,600

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01/26/2021	Issue: 55955D100 - Magnite Inc Com B	10.000	37.8450		- 379	379	
01/26/2021	Issue: 55955D100 - Magnite Inc Com B	260.000	39.1960	8	- 10,199	10,199	
01/26/2021	Issue: 76680R206 - Ringcentral Inc Class A S	- 127.000	378.0118	5	48,003	35,288	12,714
01/26/2021	Issue: 90138F102 - Twilio Inc A B	1.000	389.5500		- 390	390	
01/27/2021	Issue: 90138F102 - Twilio Inc A B	26.000	380.5767	1	- 9,896	9,896	
01/28/2021	Issue: 40131M109 - Guardant Health Inc B	357.000	151.0487	11	- 53,935	53,935	
01/29/2021	Issue: 099724106 - Borg Warner Inc B	420.000	44.9700	13	- 18,900	18,900	
01/29/2021	Issue: 40131M109 - Guardant Health Inc B	249.000	148.3211	7	- 36,939	36,939	
02/01/2021	Issue: 00751Y106 - Advance Auto Parts Inc B	112.000	152.6446	3	- 17,100	17,100	
02/01/2021	Issue: 40131M109 - Guardant Health Inc B	143.000	155.5136	4	- 22,243	22,243	
02/01/2021	Issue: 632307104 - Natera Inc B	110.000	107.8838	3	- 11,871	11,871	

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02/04/2021	Issue: 55955D100 - Magnite Inc Com B	528.000	40.4161	16	- 21,356	21,356	
02/08/2021	Issue: 172908105 - Cintas Corp B	16.000	337.7809		- 5,405	5,405	
02/08/2021	Issue: 285512109 - Electronic Arts Inc S	- 451.000	139.1583	10	62,750	44,133	18,617
02/08/2021	Issue: 237194105 - Darden Restaurants Inc B	271.000	128.7895	8	- 34,910	34,910	
02/08/2021	Issue: 436440101 - Hologic Inc B	102.000	83.2939	2	- 8,498	8,498	
02/08/2021	Issue: 773903109 - Rockwell Automation Inc S	- 133.000	240.8925	3	32,035	25,280	6,755
02/08/2021	Issue: 858912108 - Stericycle Inc B	188.000	66.9981	6	- 12,601	12,601	
02/08/2021	Issue: 256677105 - Dollar General Corp S	- 422.000	195.2842	10	82,400	52,405	29,995
02/08/2021	Issue: 023436108 - Amedisys Inc B	5.000	296.8050		- 1,484	1,484	
02/08/2021	Issue: 44891N109 - Iac Interactivecorp New B	126.000	237.6090	3	- 29,941	29,941	
02/08/2021	Issue: 55955D100 - Magnite Inc Com B	34.000	43.3150	1	- 1,474	1,474	

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02/08/2021	Issue: G3922B107 - Genpact Limited B	49.000	40.5396	1	- 1,987	1,987	
02/08/2021	Issue: 109194100 - Bright Horizons Family Solut B	57.000	166.2521	2	- 9,478	9,478	
02/08/2021	Issue: 631103108 - Nasdaq Inc S	- 97.000	144.0190	2	13,968	5,591	8,376
02/08/2021	Issue: 538034109 - Live Nation Entertainment Inc B	271.000	74.0626	5	- 20,076	20,076	
02/08/2021	Issue: 70432V102 - Paycom Software Inc B	11.000	427.6135		- 4,704	4,704	
02/09/2021	Issue: 172908105 - Cintas Corp B	76.000	344.0201	2	- 26,147	26,147	
02/09/2021	Issue: 237194105 - Darden Restaurants Inc B	370.000	132.7193	7	- 49,114	49,114	
02/09/2021	Issue: 858912108 - Stericycle Inc B	47.000	68.9544	1	- 3,242	3,242	
02/09/2021	Issue: 50212V100 - Lpl Financial Holdings Inc B	261.000	124.6284	5	- 32,533	32,533	
02/09/2021	Issue: 023436108 - Amedisys Inc B	20.000	294.9500		- 5,899	5,899	
02/09/2021	Issue: G3922B107 - Genpact Limited B	61.000	40.7887	1	- 2,489	2,489	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/09/2021	Issue: G3922B107 - Genpact Limited B	34.000	40.9410		- 1,392	1,392	
02/09/2021	Issue: 109194100 - Bright Horizons Family Solut B	70.000	170.4490	1	- 11,933	11,933	
02/09/2021	Issue: 631103108 - Nasdaq Inc S	- 160.000	142.7896	4	22,843	12,537	10,305
02/09/2021	Issue: 72703H101 - Planet Fitness Inc Cl A B	152.000	76.8839	3	- 11,689	11,689	
02/09/2021	Issue: 60855R100 - Molina Healthcare Inc B	124.000	205.2058	2	- 25,448	25,448	
02/09/2021	Issue: 67020Y100 - Nuance Communications Inc B	48.000	49.5137	1	- 2,378	2,378	
02/09/2021	Issue: 169656105 - Chipotle Mexican Grill Inc B	18.000	1,495.4902		- 26,919	26,919	
02/09/2021	Issue: 70432V102 - Paycom Software Inc B	39.000	434.7999	1	- 16,958	16,958	
02/10/2021	Issue: 172908105 - Cintas Corp B	28.000	347.7113	1	- 9,737	9,737	
02/10/2021	Issue: 023436108 - Amedisys Inc B	8.000	303.0883		- 2,425	2,425	
02/10/2021	Issue: G3922B107 - Genpact Limited B	8.000	41.6750		- 334	334	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/10/2021	Issue: G0403H108 - Aon Plc Shs Cl A B	102.000	223.5452	3	- 22,805	22,805	
02/11/2021	Issue: 848637104 - Splunk Inc S	- 11.000	170.2800		1,873	1,936	- 64
02/11/2021	Issue: 023436108 - Amedisys Inc B	96.000	308.6392	3	- 29,632	29,632	
02/11/2021	Issue: G3922B107 - Genpact Limited B	55.000	42.6056	2	- 2,345	2,345	
02/11/2021	Issue: 169656105 - Chipotle Mexican Grill Inc B	10.000	1,556.3974		- 15,564	15,564	
02/16/2021	Issue: G3922B107 - Genpact Limited B	96.000	42.2360	3	- 4,058	4,058	
02/22/2021	Issue: 773903109 - Rockwell Automation Inc S	- 25.000	243.2400	1	6,080	4,751	1,329
02/22/2021	Issue: 94106B101 - Waste Connections Inc B	75.000	99.5749	2	- 7,470	7,470	
02/24/2021	Issue: 577081102 - Mattel Inc B	870.000	19.4347	26	- 16,934	16,934	
02/24/2021	Issue: 773903109 - Rockwell Automation Inc S	- 1.000	243.8588		244	206	38
02/24/2021	Issue: 72703H101 - Planet Fitness Inc Cl A B	518.000	81.5597	16	- 42,263	42,263	

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02/24/2021	Issue: 525327102 - Leidos Holdings Inc S	- 409.000	103.5213	13	42,327	32,939	9,388
02/24/2021	Issue: 538034109 - Live Nation Entertainment Inc B	344.000	90.0907	10	- 31,002	31,002	
02/25/2021	Issue: 577081102 - Mattel Inc B	371.000	18.9454	11	- 7,040	7,040	
02/25/2021	Issue: 72703H101 - Planet Fitness Inc Cl A B	165.000	82.6316	5	- 13,639	13,639	
02/25/2021	Issue: 525327102 - Leidos Holdings Inc S	- 65.000	95.8813	2	6,230	5,300	931
03/02/2021	Issue: 444859102 - Humana Inc S	- 2.000	382.3969		765	740	25
03/02/2021	Issue: 058498106 - Ball Corp S	- 32.000	86.4583		2,766	1,365	1,401
03/02/2021	Issue: 824348106 - Sherwin Williams Co S	- 2.000	688.1799		1,376	911	465
03/02/2021	Issue: 007903107 - Advanced Micro Devices Inc S	- 24.000	84.8508		2,036	814	1,222
03/02/2021	Issue: 929160109 - Vulcan Matls Co Com S	- 10.000	166.5131		1,665	1,148	517
03/02/2021	Issue: 172908105 - Cintas Corp S	- 12.000	326.0887		3,913	1,025	2,888

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03/02/2021	Issue: 302491303 - F M C Corporation S	- 19.000	101.8635		1,935	1,339	596
03/02/2021	Issue: 052769106 - Autodesk Inc S	- 5.000	281.1410		1,406	890	516
03/02/2021	Issue: 778296103 - Ross Stores Inc S	- 21.000	116.9450		2,456	1,703	753
03/02/2021	Issue: 595017104 - Microchip Technology Inc S	- 27.000	153.3317		4,140	2,419	1,720
03/02/2021	Issue: 482480100 - Kla Corporation S	- 5.000	314.1903		1,571	740	831
03/02/2021	Issue: 45168D104 - Idexx Labs Inc S	- 5.000	521.3088		2,606	1,400	1,206
03/02/2021	Issue: 512807108 - Lam Research Corp S	- 6.000	573.8911		3,443	1,120	2,323
03/02/2021	Issue: 45337C102 - Incyte Corp S	- 17.000	78.4988		1,334	1,425	- 90
03/02/2021	Issue: 225447101 - Cree Research Inc S	- 16.000	112.4233		1,799	812	986
03/02/2021	Issue: 099724106 - Borg Warner Inc S	- 27.000	45.0807		1,217	1,239	- 22
03/02/2021	Issue: 576323109 - Mastec Inc S	- 21.000	88.3798		1,856	1,410	446

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03/02/2021	Issue: 436440101 - Hologic Inc S	- 18.000	72.0956		1,298	957	341
03/02/2021	Issue: 60937P106 - MongoDB Inc S	- 7.000	386.3379		2,704	1,536	1,168
03/02/2021	Issue: 81181C104 - Seagen Inc S	- 8.000	151.1026		1,209	579	629
03/02/2021	Issue: 032095101 - Amphenol Corp Cl A S	- 13.000	126.3480		1,642	1,164	479
03/02/2021	Issue: 216648402 - Cooper Cos Inc S	- 2.000	389.1123		778	454	325
03/02/2021	Issue: 858912108 - Stericycle Inc S	- 21.000	64.5569		1,355	1,203	152
03/02/2021	Issue: 74762E102 - Quanta Svcs Inc S	- 30.000	84.0065		2,520	1,275	1,244
03/02/2021	Issue: H01301128 - Alcon Inc S	- 20.000	68.8639		1,377	1,221	156
03/02/2021	Issue: 761152107 - Resmed Inc S	- 4.000	192.5730		770	546	224
03/02/2021	Issue: 30063P105 - Exact Sciences Corp S	- 19.000	132.6180		2,520	1,412	1,107
03/02/2021	Issue: 09061G101 - Biomarin Pharmaceutical Inc S	- 11.000	77.0061		847	1,126	- 279

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/02/2021	Issue: 679295105 - Okta Inc S	- 11.000	261.7633		2,879	2,302	577
03/02/2021	Issue: 00846U101 - Agilent Technologies Inc S	- 9.000	122.5226		1,103	721	381
03/02/2021	Issue: 339750101 - Floor Decor Holdings Inc A S	- 22.000	95.8652		2,109	837	1,272
03/02/2021	Issue: 146869102 - Carvana Co S	- 5.000	292.0194		1,460	652	808
03/02/2021	Issue: 28176E108 - Edwards Lifesciences Corp S	- 8.000	83.8785		671	278	393
03/02/2021	Issue: G46188101 - Horizon Therapeutics Plc S	- 13.000	91.7721		1,193	622	571
03/02/2021	Issue: G5876H105 - Marvell Technology Group Ltd S	- 35.000	48.1809		1,686	571	1,115
03/02/2021	Issue: 452327109 - Illumina Inc S	- 2.000	441.7211		883	618	266
03/02/2021	Issue: 50212V100 - Lpl Financial Holdings Inc S	- 20.000	132.2500		2,645	1,755	890
03/02/2021	Issue: 697435105 - Palo Alto Networks Inc S	- 7.000	358.0206		2,506	2,466	40
03/02/2021	Issue: 615369105 - Moodys Corp S	- 4.000	275.7615		1,103	855	248

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/02/2021	Issue: 499049104 - Knight Swift Transportation S	- 39.000	43.3282		1,689	1,424	265
03/02/2021	Issue: 016255101 - Align Technology Inc S	- 5.000	569.5336		2,848	2,461	386
03/02/2021	Issue: 77543R102 - Roku Inc S	- 9.000	394.0136		3,546	2,945	601
03/02/2021	Issue: 60770K107 - Moderna Inc S	- 17.000	155.0103		2,635	1,057	1,578
03/02/2021	Issue: 023436108 - Amedisys Inc S	- 6.000	257.4738		1,545	1,799	- 254
03/02/2021	Issue: 00751Y106 - Advance Auto Parts Inc S	- 11.000	161.4194		1,775	1,758	18
03/02/2021	Issue: 98138H101 - Workday Inc S	- 5.000	247.1447		1,236	955	281
03/02/2021	Issue: 45784P101 - Insulet Corp S	- 9.000	260.0633		2,340	796	1,544
03/02/2021	Issue: 48251W104 - Kkr Co Inc A S	- 63.000	45.7848	1	2,884	1,664	1,220
03/02/2021	Issue: 550021109 - Lululemon Athletica Inc S	- 8.000	313.6763		2,509	1,517	993
03/02/2021	Issue: G3922B107 - Genpact Limited S	- 38.000	40.8241		1,551	1,590	- 39

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/02/2021	Issue: 40131M109 - Guardant Health Inc S	- 11.000	147.0632		1,618	1,651	- 33
03/02/2021	Issue: 632307104 - Natera Inc S	- 10.000	116.0111		1,160	1,082	78
03/02/2021	Issue: 109194100 - Bright Horizons Family Solut S	- 13.000	157.8280		2,052	1,050	1,002
03/02/2021	Issue: G8473T100 - Steris Plc Shs USD S	- 8.000	175.6430		1,405	843	562
03/02/2021	Issue: 55354G100 - Msci Inc S	- 6.000	416.0965		2,497	1,932	565
03/02/2021	Issue: G0403H108 - Aon Plc Shs Cl A S	- 14.000	228.4902		3,199	2,498	701
03/02/2021	Issue: 099502106 - Booz Allen Hamilton Holding S	- 14.000	79.2396		1,109	1,099	10
03/02/2021	Issue: 60855R100 - Molina Healthcare Inc S	- 6.000	217.0018		1,302	1,379	- 77
03/02/2021	Issue: 67103H107 - O Reilly Automotive Inc S	- 3.000	447.7145		1,343	706	637
03/02/2021	Issue: G06242104 - Atlassian Corp Plc Class A S	- 4.000	238.2143		953	603	350
03/02/2021	Issue: 525327102 - Leidos Holdings Inc S	- 13.000	90.2362		1,173	1,060	113

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/02/2021	Issue: 122017106 - Burlington Stores Inc S	- 7.000	258.7829		1,811	1,170	642
03/02/2021	Issue: 922475108 - Veeva Systems Inc Class A S	- 5.000	283.3395		1,417	1,116	301
03/02/2021	Issue: 94106B101 - Waste Connections Inc S	- 14.000	98.3295		1,376	613	764
03/02/2021	Issue: 252131107 - Dexcom Inc S	- 4.000	400.5151		1,602	875	727
03/02/2021	Issue: 004225108 - Acadia Pharmaceuticals Inc S	- 12.000	48.9113		587	509	77
03/02/2021	Issue: 90138F102 - Twilio Inc A S	- 9.000	393.4285		3,541	3,506	35
03/02/2021	Issue: 12008R107 - Builders Firstsource Inc S	- 30.000	44.0046		1,320	960	360
03/02/2021	Issue: 338307101 - Five9 Inc S	- 12.000	185.5772		2,227	873	1,354
03/02/2021	Issue: 70432V102 - Paycom Software Inc S	- 12.000	374.4922		4,494	3,230	1,264
03/02/2021	Issue: 22266L106 - Coupa Software Inc S	- 9.000	344.9084		3,104	953	2,151
03/10/2021	Issue: 444859102 - Humana Inc S	- 1.000	404.1833		404	337	67

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/10/2021	Issue: 058498106 - Ball Corp S	- 8.000	83.4174		667	341	326
03/10/2021	Issue: 824348106 - Sherwin Williams Co S	- 1.000	699.7118		700	456	244
03/10/2021	Issue: 577081102 - Mattel Inc S	- 78.000	21.0181	2	1,638	903	735
03/10/2021	Issue: 007903107 - Advanced Micro Devices Inc S	- 6.000	75.9158		455	203	252
03/10/2021	Issue: 929160109 - Vulcan Matls Co Com S	- 2.000	171.7172		343	228	116
03/10/2021	Issue: 172908105 - Cintas Corp S	- 3.000	341.4953		1,024	256	768
03/10/2021	Issue: 302491303 - F M C Corporation S	- 4.000	104.8139		419	289	130
03/10/2021	Issue: 052769106 - Autodesk Inc S	- 1.000	262.0986		262	178	84
03/10/2021	Issue: 879369106 - Teleflex Inc S	- 1.000	402.4672		402	254	148
03/10/2021	Issue: 778296103 - Ross Stores Inc S	- 5.000	118.7757		594	463	131
03/10/2021	Issue: 595017104 - Microchip Technology Inc S	- 6.000	141.8038		851	520	331

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/10/2021	Issue: 482480100 - Kla Corporation S	- 1.000	282.9639		283	148	135
03/10/2021	Issue: 45168D104 - Idexx Labs Inc S	- 1.000	497.7169		498	280	218
03/10/2021	Issue: 512807108 - Lam Research Corp S	- 2.000	525.7025		1,051	373	678
03/10/2021	Issue: 45337C102 - Incyte Corp S	- 4.000	79.6781		319	335	- 17
03/10/2021	Issue: 225447101 - Cree Research Inc S	- 4.000	99.8464		399	203	196
03/10/2021	Issue: 099724106 - Borg Warner Inc S	- 7.000	49.8801		349	321	28
03/10/2021	Issue: 576323109 - Mastec Inc S	- 5.000	94.9314		475	336	139
03/10/2021	Issue: 436440101 - Hologic Inc S	- 4.000	72.0325		288	213	75
03/10/2021	Issue: 60937P106 - MongoDB Inc S	- 2.000	298.4993		597	439	158
03/10/2021	Issue: 81181C104 - Seagen Inc S	- 2.000	149.2589		298	145	154
03/10/2021	Issue: 032095101 - Amphenol Corp Cl A S	- 6.000	61.7858		371	269	102

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/10/2021	Issue: 216648402 - Cooper Cos Inc S	- 1.000	388.0455		388	227	161
03/10/2021	Issue: 858912108 - Stericycle Inc S	- 5.000	69.5193		347	289	59
03/10/2021	Issue: 74762E102 - Quanta Svcs Inc S	- 7.000	84.3081		590	298	292
03/10/2021	Issue: H01301128 - Alcon Inc S	- 5.000	67.3408		337	305	31
03/10/2021	Issue: 761152107 - Resmed Inc S	- 1.000	182.2922		182	136	46
03/10/2021	Issue: 30063P105 - Exact Sciences Corp S	- 5.000	119.3908		597	372	225
03/10/2021	Issue: 09061G101 - Biomarin Pharmaceutical Inc S	- 3.000	78.1503		234	307	- 73
03/10/2021	Issue: 679295105 - Okta Inc S	- 3.000	210.5146		631	628	4
03/10/2021	Issue: 00846U101 - Agilent Technologies Inc S	- 2.000	117.7291		235	160	75
03/10/2021	Issue: 339750101 - Floor Decor Holdings Inc A B	311.000	90.9943	9	- 28,309	28,309	
03/10/2021	Issue: 146869102 - Carvana Co S	- 1.000	250.6853		251	130	120

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/10/2021	Issue: 28176E108 - Edwards Lifesciences Corp S	- 2.000	81.6211		163	69	94
03/10/2021	Issue: G46188101 - Horizon Therapeutics Plc S	- 3.000	86.2901		259	144	115
03/10/2021	Issue: G5876H105 - Marvell Technology Group Ltd S	- 8.000	40.6578		325	130	195
03/10/2021	Issue: 50212V100 - Lpl Financial Holdings Inc S	- 5.000	136.5398		683	439	244
03/10/2021	Issue: 697435105 - Palo Alto Networks Inc S	- 2.000	334.1346		668	705	- 36
03/10/2021	Issue: 615369105 - Moodys Corp S	- 1.000	291.6536		292	214	78
03/10/2021	Issue: 499049104 - Knight Swift Transportation S	- 9.000	45.5480		410	329	81
03/10/2021	Issue: 37940X102 - Global Payments Inc S	- 4.000	205.5342		822	156	667
03/10/2021	Issue: 016255101 - Align Technology Inc S	- 1.000	516.7584		517	492	24
03/10/2021	Issue: 77543R102 - Roku Inc S	- 2.000	339.8799		680	655	25
03/10/2021	Issue: 023436108 - Amedisys Inc S	- 2.000	246.5488		493	600	- 107

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/10/2021	Issue: 00751Y106 - Advance Auto Parts Inc S	- 3.000	176.1414		528	479	49
03/10/2021	Issue: 98138H101 - Workday Inc S	- 1.000	235.4079		235	191	44
03/10/2021	Issue: 45784P101 - Insulet Corp S	- 2.000	237.7334		475	177	298
03/10/2021	Issue: 44891N109 - Iac Interactivecorp New S	- 5.000	228.9095		1,144	631	514
03/10/2021	Issue: 55955D100 - Magnite Inc Com S	- 15.000	39.2812		589	417	172
03/10/2021	Issue: 48251W104 - Kkr Co Inc A S	- 15.000	47.0251		705	396	309
03/10/2021	Issue: 550021109 - Lululemon Athletica Inc S	- 2.000	292.8550		586	379	206
03/10/2021	Issue: G3922B107 - Genpact Limited S	- 9.000	41.8649		377	361	15
03/10/2021	Issue: 40131M109 - Guardant Health Inc S	- 3.000	131.2128		394	450	- 57
03/10/2021	Issue: 632307104 - Natera Inc S	- 2.000	97.8155		196	216	- 21
03/10/2021	Issue: 109194100 - Bright Horizons Family Solut S	- 3.000	162.1462		486	242	244

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/10/2021	Issue: G8473T100 - Steris Plc Shs USD S	- 2.000	174.6522		349	211	138
03/10/2021	Issue: 72703H101 - Planet Fitness Inc Cl A B	69.000	87.1784	2	- 6,017	6,017	
03/10/2021	Issue: 55354G100 - Msci Inc S	- 1.000	409.1224		409	322	87
03/10/2021	Issue: G0403H108 - Aon Plc Shs Cl A S	- 3.000	232.6388		698	535	163
03/10/2021	Issue: 099502106 - Booz Allen Hamilton Holding S	- 3.000	78.0221		234	235	- 1
03/10/2021	Issue: 60855R100 - Molina Healthcare Inc S	- 1.000	217.8372		218	230	- 12
03/10/2021	Issue: 67103H107 - O Reilly Automotive Inc S	- 1.000	475.3503		475	235	240
03/10/2021	Issue: G06242104 - Atlassian Corp Plc Class A S	- 1.000	227.6013		228	151	77
03/10/2021	Issue: 525327102 - Leidos Holdings Inc S	- 3.000	92.6234		278	245	33
03/10/2021	Issue: 122017106 - Burlington Stores Inc S	- 2.000	294.7339		589	334	255
03/10/2021	Issue: 922475108 - Veeva Systems Inc Class A S	- 1.000	246.3817		246	223	23

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/10/2021	Issue: 90184L102 - Twitter Inc S	- 6.000	66.3130		398	215	183
03/10/2021	Issue: 94106B101 - Waste Connections Inc S	- 3.000	102.1000		306	131	175
03/10/2021	Issue: 252131107 - Dexcom Inc S	- 1.000	360.9425		361	286	75
03/10/2021	Issue: 004225108 - Acadia Pharmaceuticals Inc S	- 3.000	48.0102		144	127	17
03/10/2021	Issue: 90138F102 - Twilio Inc A S	- 2.000	332.5846		665	779	- 114
03/10/2021	Issue: 12008R107 - Builders Firstsource Inc S	- 7.000	44.5663		312	224	88
03/10/2021	Issue: 67020Y100 - Nuance Communications Inc S	- 17.000	41.4928		705	588	117
03/10/2021	Issue: 338307101 - Five9 Inc S	- 3.000	158.2226		475	218	256
03/10/2021	Issue: 22266L106 - Coupa Software Inc S	- 2.000	287.2416		574	212	363
03/11/2021	Issue: 444859102 - Humana Inc S	- 1.000	403.6027		404	337	67
03/11/2021	Issue: 058498106 - Ball Corp S	- 18.000	86.2586		1,552	768	784

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/11/2021	Issue: 824348106 - Sherwin Williams Co S	- 1.000	711.8478		712	456	256
03/11/2021	Issue: 577081102 - Mattel Inc S	- 183.000	19.6243	4	3,588	2,122	1,466
03/11/2021	Issue: 007903107 - Advanced Micro Devices Inc S	- 14.000	78.7298		1,102	475	627
03/11/2021	Issue: 929160109 - Vulcan Matls Co Com S	- 5.000	168.8891		844	570	275
03/11/2021	Issue: 172908105 - Cintas Corp S	- 7.000	348.1579		2,437	598	1,839
03/11/2021	Issue: 302491303 - F M C Corporation S	- 11.000	105.2133		1,157	795	362
03/11/2021	Issue: 052769106 - Autodesk Inc S	- 3.000	264.6565		794	534	260
03/11/2021	Issue: 879369106 - Teleflex Inc S	- 2.000	408.2287		816	509	308
03/11/2021	Issue: 778296103 - Ross Stores Inc S	- 12.000	125.0780		1,501	1,111	390
03/11/2021	Issue: 595017104 - Microchip Technology Inc S	- 15.000	146.2568		2,194	1,300	894
03/11/2021	Issue: 482480100 - Kla Corporation S	- 3.000	294.3340		883	444	439

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/11/2021	Issue: 45168D104 - Idexx Labs Inc S	- 3.000	497.6753		1,493	840	653
03/11/2021	Issue: 512807108 - Lam Research Corp S	- 4.000	543.3412		2,173	747	1,426
03/11/2021	Issue: 45337C102 - Incyte Corp S	- 10.000	79.6405		796	838	- 42
03/11/2021	Issue: 225447101 - Cree Research Inc S	- 10.000	102.7860		1,028	508	520
03/11/2021	Issue: 099724106 - Borg Warner Inc S	- 15.000	49.4498		741	688	53
03/11/2021	Issue: 576323109 - Mastec Inc S	- 12.000	91.9839		1,104	806	298
03/11/2021	Issue: 436440101 - Hologic Inc S	- 10.000	72.3531		723	532	192
03/11/2021	Issue: 60937P106 - MongoDB Inc S	- 4.000	309.0280		1,236	878	358
03/11/2021	Issue: 81181C104 - Seagen Inc S	- 4.000	151.0829		604	290	315
03/11/2021	Issue: 032095101 - Amphenol Corp Cl A S	- 15.000	62.5100		937	671	266
03/11/2021	Issue: 216648402 - Cooper Cos Inc S	- 1.000	385.0139		385	227	158

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/11/2021	Issue: 858912108 - Stericycle Inc S	- 12.000	68.0548		816	693	124
03/11/2021	Issue: 74762E102 - Quanta Svcs Inc S	- 17.000	83.3018		1,416	723	693
03/11/2021	Issue: H01301128 - Alcon Inc S	- 11.000	68.7590		756	671	85
03/11/2021	Issue: 761152107 - Resmed Inc S	- 2.000	183.2714		366	273	94
03/11/2021	Issue: 30063P105 - Exact Sciences Corp S	- 11.000	124.3899		1,368	818	550
03/11/2021	Issue: 09061G101 - Biomarin Pharmaceutical Inc S	- 6.000	79.0162		474	614	- 140
03/11/2021	Issue: 679295105 - Okta Inc S	- 6.000	219.9977		1,320	1,256	64
03/11/2021	Issue: 00846U101 - Agilent Technologies Inc S	- 5.000	120.3041		601	401	201
03/11/2021	Issue: 146869102 - Carvana Co S	- 3.000	259.3588		778	391	387
03/11/2021	Issue: 28176E108 - Edwards Lifesciences Corp S	- 4.000	82.6715		331	139	192
03/11/2021	Issue: G46188101 - Horizon Therapeutics Plc S	- 8.000	88.3654		707	383	324

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/11/2021	Issue: G5876H105 - Marvell Technology Group Ltd S	- 20.000	44.1926		883	326	557
03/11/2021	Issue: 452327109 - Illumina Inc S	- 1.000	403.2500		403	309	94
03/11/2021	Issue: 50212V100 - Lpl Financial Holdings Inc S	- 11.000	137.7543		1,515	965	550
03/11/2021	Issue: 697435105 - Palo Alto Networks Inc S	- 4.000	342.7203		1,371	1,409	- 39
03/11/2021	Issue: 615369105 - Moodys Corp S	- 2.000	290.9636		582	428	154
03/11/2021	Issue: 499049104 - Knight Swift Transportation S	- 22.000	44.5100		979	803	175
03/11/2021	Issue: 37940X102 - Global Payments Inc S	- 10.000	207.0816		2,071	389	1,682
03/11/2021	Issue: 016255101 - Align Technology Inc S	- 3.000	516.8089		1,550	1,477	74
03/11/2021	Issue: 77543R102 - Roku Inc S	- 5.000	357.6773		1,788	1,636	152
03/11/2021	Issue: 023436108 - Amedisys Inc S	- 4.000	256.1415		1,024	1,199	- 175
03/11/2021	Issue: 00751Y106 - Advance Auto Parts Inc S	- 6.000	173.3936		1,040	959	81

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/11/2021	Issue: 98138H101 - Workday Inc S	- 3.000	245.8596		738	573	165
03/11/2021	Issue: 45784P101 - Insulet Corp S	- 5.000	249.9757		1,250	442	807
03/11/2021	Issue: 44891N109 - Iac Interactivecorp New S	- 12.000	237.7422		2,853	1,514	1,339
03/11/2021	Issue: 55955D100 - Magnite Inc Com S	- 36.000	43.6752	1	1,572	1,000	572
03/11/2021	Issue: 48251W104 - Kkr Co Inc A S	- 35.000	47.3792	1	1,658	925	733
03/11/2021	Issue: 550021109 - Lululemon Athletica Inc S	- 4.000	295.0949		1,180	758	422
03/11/2021	Issue: G3922B107 - Genpact Limited S	- 21.000	42.0381		882	845	37
03/11/2021	Issue: 40131M109 - Guardant Health Inc S	- 6.000	136.5265		819	909	- 89
03/11/2021	Issue: 632307104 - Natera Inc S	- 6.000	99.4926		597	649	- 52
03/11/2021	Issue: 109194100 - Bright Horizons Family Solut S	- 7.000	163.5753		1,145	569	576
03/11/2021	Issue: G8473T100 - Steris Plc Shs USD S	- 5.000	179.0114		895	527	368

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/11/2021	Issue: 55354G100 - Msci Inc S	- 3.000	416.0055		1,248	966	282
03/11/2021	Issue: G0403H108 - Aon Plc Shs Cl A S	- 8.000	232.5757		1,860	1,427	433
03/11/2021	Issue: 099502106 - Booz Allen Hamilton Holding S	- 8.000	78.8823		631	628	3
03/11/2021	Issue: 60855R100 - Molina Healthcare Inc S	- 3.000	218.0223		654	689	- 35
03/11/2021	Issue: 67103H107 - O Reilly Automotive Inc S	- 2.000	465.3449		931	471	460
03/11/2021	Issue: G06242104 - Atlassian Corp Plc Class A S	- 2.000	234.3094		469	302	167
03/11/2021	Issue: 525327102 - Leidos Holdings Inc S	- 7.000	94.2689		660	571	89
03/11/2021	Issue: 122017106 - Burlington Stores Inc S	- 4.000	305.7810		1,223	668	555
03/11/2021	Issue: 922475108 - Veeva Systems Inc Class A S	- 3.000	250.8933		753	669	83
03/11/2021	Issue: 90184L102 - Twitter Inc S	- 14.000	67.8313		949	501	449
03/11/2021	Issue: 94106B101 - Waste Connections Inc S	- 8.000	103.1471		825	350	475

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/11/2021	Issue: 252131107 - Dexcom Inc S	- 2.000	363.1110		726	573	154
03/11/2021	Issue: 004225108 - Acadia Pharmaceuticals Inc S	- 7.000	23.9287		167	297	- 130
03/11/2021	Issue: 90138F102 - Twilio Inc A S	- 5.000	362.7881		1,814	1,948	- 134
03/11/2021	Issue: 12008R107 - Builders Firstsource Inc S	- 17.000	44.8141		761	544	218
03/11/2021	Issue: 67020Y100 - Nuance Communications Inc S	- 39.000	42.6308	1	1,662	1,363	299
03/11/2021	Issue: 169656105 - Chipotle Mexican Grill Inc S	- 1.000	1,419.1421		1,419	1,309	111
03/11/2021	Issue: 338307101 - Five9 Inc S	- 7.000	160.4366		1,123	509	614
03/11/2021	Issue: 22266L106 - Coupa Software Inc S	- 5.000	288.1030		1,440	529	911
03/15/2021	Issue: 444859102 - Humana Inc S	- 2.000	402.6269		805	674	132
03/15/2021	Issue: 058498106 - Ball Corp S	- 31.000	84.0023		2,604	1,322	1,281
03/15/2021	Issue: 824348106 - Sherwin Williams Co S	- 2.000	704.9517		1,410	911	499

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/15/2021	Issue: 007903107 - Advanced Micro Devices Inc S	- 24.000	80.9072		1,942	814	1,128
03/15/2021	Issue: 929160109 - Vulcan Matls Co Com S	- 9.000	173.1736		1,558	1,025	533
03/15/2021	Issue: 172908105 - Cintas Corp S	- 11.000	362.1398		3,983	961	3,022
03/15/2021	Issue: 302491303 - F M C Corporation S	- 18.000	108.6347		1,955	1,301	654
03/15/2021	Issue: 052769106 - Autodesk Inc S	- 4.000	274.8709		1,099	712	387
03/15/2021	Issue: 778296103 - Ross Stores Inc S	- 20.000	122.8847		2,457	1,852	606
03/15/2021	Issue: 595017104 - Microchip Technology Inc S	- 26.000	147.9551		3,847	2,253	1,594
03/15/2021	Issue: 482480100 - Kla Corporation S	- 5.000	296.9630		1,485	740	745
03/15/2021	Issue: 45168D104 - Idexx Labs Inc S	- 5.000	498.7503		2,494	1,400	1,093
03/15/2021	Issue: 512807108 - Lam Research Corp S	- 6.000	538.5229		3,231	1,171	2,060
03/15/2021	Issue: 45337C102 - Incyte Corp S	- 17.000	79.9911		1,360	1,425	- 65

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03/15/2021	Issue: 237194105 - Darden Restaurants Inc S	- 15.000	142.3343		2,135	1,932	203
03/15/2021	Issue: 099724106 - Borg Warner Inc S	- 26.000	48.8645		1,270	1,193	77
03/15/2021	Issue: 576323109 - Mastec Inc S	- 20.000	95.6517		1,913	1,343	570
03/15/2021	Issue: 436440101 - Hologic Inc S	- 18.000	69.7519		1,255	957	299
03/15/2021	Issue: 60937P106 - MongoDB Inc S	- 6.000	322.6356		1,936	1,317	619
03/15/2021	Issue: 81181C104 - Seagen Inc S	- 7.000	152.9760		1,071	507	564
03/15/2021	Issue: 032095101 - Amphenol Corp Cl A S	- 25.000	63.8848		1,597	1,119	478
03/15/2021	Issue: 216648402 - Cooper Cos Inc S	- 2.000	382.8306		766	454	312
03/15/2021	Issue: 858912108 - Stericycle Inc S	- 21.000	68.3969		1,436	1,212	224
03/15/2021	Issue: 74762E102 - Quanta Svcs Inc S	- 29.000	87.4948		2,537	1,233	1,304
03/15/2021	Issue: H01301128 - Alcon Inc S	- 19.000	69.0003		1,311	1,160	151

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03/15/2021	Issue: 761152107 - Resmed Inc S	- 3.000	186.9583		561	409	152
03/15/2021	Issue: 30063P105 - Exact Sciences Corp S	- 19.000	130.0576		2,471	1,458	1,013
03/15/2021	Issue: 09061G101 - Biomarin Pharmaceutical Inc S	- 11.000	77.7719		855	1,123	- 268
03/15/2021	Issue: 679295105 - Okta Inc S	- 10.000	240.1798		2,402	2,093	309
03/15/2021	Issue: 00846U101 - Agilent Technologies Inc S	- 8.000	121.8739		975	641	334
03/15/2021	Issue: 146869102 - Carvana Co S	- 5.000	274.6552		1,373	652	722
03/15/2021	Issue: 28176E108 - Edwards Lifesciences Corp S	- 8.000	84.1319		673	278	395
03/15/2021	Issue: G46188101 - Horizon Therapeutics Plc S	- 13.000	90.6388		1,178	622	556
03/15/2021	Issue: G5876H105 - Marvell Technology Group Ltd S	- 34.000	45.6988		1,553	554	999
03/15/2021	Issue: 452327109 - Illumina Inc S	- 2.000	413.4909		827	618	209
03/15/2021	Issue: 50212V100 - Lpl Financial Holdings Inc S	- 19.000	141.6167		2,691	1,658	1,033

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/15/2021	Issue: 615369105 - Moodys Corp S	- 4.000	291.8057		1,167	854	313
03/15/2021	Issue: 499049104 - Knight Swift Transportation S	- 38.000	45.0686		1,712	1,388	324
03/15/2021	Issue: 016255101 - Align Technology Inc S	- 4.000	535.4388		2,142	1,969	173
03/15/2021	Issue: 77543R102 - Roku Inc S	- 8.000	366.9522		2,936	2,618	317
03/15/2021	Issue: 60770K107 - Moderna Inc S	- 17.000	138.2555		2,350	1,057	1,293
03/15/2021	Issue: 023436108 - Amedisys Inc S	- 6.000	258.7092		1,552	1,799	- 247
03/15/2021	Issue: 00751Y106 - Advance Auto Parts Inc S	- 10.000	174.4128		1,744	1,598	146
03/15/2021	Issue: 98138H101 - Workday Inc S	- 5.000	257.9876		1,290	955	335
03/15/2021	Issue: 45784P101 - Insulet Corp S	- 9.000	256.1608		2,305	792	1,514
03/15/2021	Issue: 44891N109 - Iac Interactivecorp New S	- 21.000	245.9977		5,166	2,650	2,516
03/15/2021	Issue: 55955D100 - Magnite Inc Com S	- 62.000	48.5449	1	3,009	1,722	1,287

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/15/2021	Issue: 48251W104 - Kkr Co Inc A S	- 61.000	48.1099	1	2,934	1,611	1,323
03/15/2021	Issue: 550021109 - Lululemon Athletica Inc S	- 8.000	310.6776		2,485	1,517	969
03/15/2021	Issue: G3922B107 - Genpact Limited S	- 37.000	42.6881		1,579	1,494	85
03/15/2021	Issue: 40131M109 - Guardant Health Inc S	- 10.000	143.2108		1,432	1,514	- 82
03/15/2021	Issue: 632307104 - Natera Inc S	- 10.000	103.6757		1,037	1,082	- 45
03/15/2021	Issue: 109194100 - Bright Horizons Family Solut S	- 12.000	170.0363		2,040	965	1,075
03/15/2021	Issue: 55354G100 - Msci Inc S	- 6.000	409.7210		2,458	1,932	526
03/15/2021	Issue: G0403H108 - Aon Plc Shs Cl A S	- 13.000	228.5947		2,972	2,320	652
03/15/2021	Issue: 099502106 - Booz Allen Hamilton Holding S	- 14.000	78.5300		1,099	1,099	
03/15/2021	Issue: 60855R100 - Molina Healthcare Inc S	- 5.000	228.3672		1,142	1,149	- 7
03/15/2021	Issue: 67103H107 - O Reilly Automotive Inc S	- 3.000	474.7565		1,424	706	718

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/15/2021	Issue: G06242104 - Atlassian Corp Plc Class A S	- 4.000	234.6033		938	603	335
03/15/2021	Issue: 525327102 - Leidos Holdings Inc S	- 13.000	95.2971		1,239	1,060	179
03/15/2021	Issue: 122017106 - Burlington Stores Inc S	- 7.000	301.5205		2,111	1,170	941
03/15/2021	Issue: 922475108 - Veeva Systems Inc Class A S	- 5.000	259.1262		1,296	1,116	180
03/15/2021	Issue: 94106B101 - Waste Connections Inc S	- 14.000	102.7327		1,438	666	772
03/15/2021	Issue: 252131107 - Dexcom Inc S	- 4.000	364.8933		1,460	1,145	314
03/15/2021	Issue: 004225108 - Acadia Pharmaceuticals Inc S	- 11.000	26.9272		296	467	- 171
03/15/2021	Issue: 78486Q101 - Svb Finl Group S	- 11.000	554.3939		6,098	2,582	3,516
03/15/2021	Issue: 12008R107 - Builders Firstsource Inc S	- 29.000	46.3470		1,344	928	416
03/15/2021	Issue: 538034109 - Live Nation Entertainment Inc S	- 36.000	88.9096		3,200	2,615	585
03/15/2021	Issue: 169656105 - Chipotle Mexican Grill Inc S	- 2.000	1,452.2990		2,905	2,585	320

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/15/2021	Issue: 338307101 - Five9 Inc S	- 12.000	172.7030		2,072	873	1,200
03/15/2021	Issue: 22266L106 - Coupa Software Inc S	- 8.000	287.3489		2,299	847	1,452
03/17/2021	Issue: 697435105 - Palo Alto Networks Inc B	40.000	340.2301	1	- 13,610	13,610	
03/17/2021	Issue: 67020Y100 - Nuance Communications Inc B	61.000	44.8639	2	- 2,739	2,739	
03/18/2021	Issue: 577081102 - Mattel Inc B	54.000	21.7248	2	- 1,175	1,175	
03/30/2021	Issue: 444859102 - Humana Inc S	- 160.000	420.2853	4	67,242	54,241	13,002
03/30/2021	Issue: 058498106 - Ball Corp S	- 2,405.000	83.5776	49	200,955	163,738	37,217
03/30/2021	Issue: 824348106 - Sherwin Williams Co S	- 161.000	748.9004	4	120,569	76,220	44,349
03/30/2021	Issue: 577081102 - Mattel Inc S	- 25,928.000	20.0431	521	519,156	375,205	143,951
03/30/2021	Issue: 007903107 - Advanced Micro Devices Inc S	- 1,825.000	76.6030	37	139,763	114,864	24,899
03/30/2021	Issue: 929160109 - Vulcan Matls Co Com S	- 730.000	168.9385	15	123,310	96,104	27,206

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/30/2021	Issue: 172908105 - Cintas Corp S	- 879.000	340.9474	19	299,674	220,263	79,411
03/30/2021	Issue: 302491303 - F M C Corporation S	- 1,400.000	112.2234	29	157,084	114,341	42,743
03/30/2021	Issue: 052769106 - Autodesk Inc S	- 340.000	265.1196	7	90,133	55,887	34,246
03/30/2021	Issue: 879369106 - Teleflex Inc S	- 334.000	406.9802	7	135,924	96,653	39,271
03/30/2021	Issue: 778296103 - Ross Stores Inc S	- 1,576.000	120.8599	33	190,443	156,235	34,208
03/30/2021	Issue: 595017104 - Microchip Technology Inc S	- 1,994.000	150.7597	41	300,573	214,243	86,330
03/30/2021	Issue: 482480100 - Kla Corporation S	- 390.000	312.3092	8	121,792	68,822	52,970
03/30/2021	Issue: 45168D104 - Idexx Labs Inc S	- 365.000	472.5974	8	172,490	128,239	44,250
03/30/2021	Issue: 512807108 - Lam Research Corp S	- 480.000	571.3831	11	274,253	98,078	176,175
03/30/2021	Issue: 45337C102 - Incyte Corp S	- 1,304.000	80.7837	27	105,315	115,568	- 10,253
03/30/2021	Issue: 237194105 - Darden Restaurants Inc S	- 1,156.000	147.5646	24	170,561	152,910	17,650

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03/30/2021	Issue: 225447101 - Cree Research Inc S	- 1,321.000	105.2031	27	138,946	91,723	47,224
03/30/2021	Issue: 099724106 - Borg Warner Inc S	- 2,023.000	45.2113	41	91,422	92,384	- 962
03/30/2021	Issue: 576323109 - Mastec Inc S	- 1,531.000	88.4856	31	135,440	108,281	27,159
03/30/2021	Issue: 436440101 - Hologic Inc S	- 1,376.000	71.8156	28	98,790	92,103	6,687
03/30/2021	Issue: 60937P106 - MongoDB Inc S	- 491.000	269.3712	11	132,251	111,146	21,105
03/30/2021	Issue: 81181C104 - Seagen Inc S	- 569.000	138.4399	12	78,761	41,960	36,801
03/30/2021	Issue: 032095101 - Amphenol Corp Cl A S	- 1,945.000	65.2254	40	126,824	97,043	29,780
03/30/2021	Issue: 216648402 - Cooper Cos Inc S	- 157.000	381.6472	3	59,915	42,206	17,709
03/30/2021	Issue: 858912108 - Stericycle Inc S	- 1,602.000	66.9984	33	107,299	96,758	10,541
03/30/2021	Issue: 74762E102 - Quanta Svcs Inc S	- 2,243.000	86.0279	46	192,915	111,429	81,486
03/30/2021	Issue: H01301128 - Alcon Inc S	- 1,487.000	69.2348	30	102,922	98,318	4,604

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/30/2021	Issue: 12769G100 - Caesars Entertainment Inc S	- 6,881.000	86.5037	141	595,091	306,958	288,134
03/30/2021	Issue: 761152107 - Resmed Inc S	- 269.000	188.1046	6	50,595	38,259	12,336
03/30/2021	Issue: 30063P105 - Exact Sciences Corp S	- 1,448.000	126.2708	30	182,810	121,011	61,799
03/30/2021	Issue: 09061G101 - Biomarin Pharmaceutical Inc S	- 848.000	74.7051	17	63,333	70,332	- 6,999
03/30/2021	Issue: 679295105 - Okta Inc S	- 789.000	212.1686	17	167,384	174,458	- 7,074
03/30/2021	Issue: 00846U101 - Agilent Technologies Inc S	- 648.000	123.7138	13	80,153	62,792	17,361
03/30/2021	Issue: 339750101 - Floor Decor Holdings Inc A S	- 2,004.000	96.1154	41	192,574	133,415	59,159
03/30/2021	Issue: 146869102 - Carvana Co S	- 369.000	255.4496	8	94,253	60,103	34,150
03/30/2021	Issue: 28176E108 - Edwards Lifesciences Corp S	- 596.000	81.1104	12	48,330	20,933	27,396
03/30/2021	Issue: G46188101 - Horizon Therapeutics Plc S	- 1,004.000	82.5566	21	82,866	59,743	23,124
03/30/2021	Issue: G5876H105 - Marvell Technology Group Ltd S	- 2,604.000	45.8105	53	119,238	60,109	59,129

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03/30/2021	Issue: 452327109 - Illumina Inc S	- 120.000	398.1091	3	47,770	41,053	6,718
03/30/2021	Issue: 50212V100 - Lpl Financial Holdings Inc S	- 1,468.000	140.4262	30	206,115	151,525	54,590
03/30/2021	Issue: 697435105 - Palo Alto Networks Inc S	- 628.000	317.8106	14	199,571	223,454	- 23,882
03/30/2021	Issue: 615369105 - Moodys Corp S	- 320.000	299.2603	7	95,756	70,962	24,794
03/30/2021	Issue: 499049104 - Knight Swift Transportation S	- 2,902.000	47.3875	59	137,460	107,882	29,578
03/30/2021	Issue: 37940X102 - Global Payments Inc S	- 1,360.000	206.3148	29	280,559	168,095	112,465
03/30/2021	Issue: 016255101 - Align Technology Inc S	- 345.000	517.0379	8	178,370	179,374	- 1,004
03/30/2021	Issue: 77543R102 - Roku Inc S	- 638.000	303.3057	14	193,495	221,722	- 28,227
03/30/2021	Issue: 60770K107 - Moderna Inc S	- 1,309.000	132.0443	27	172,819	166,889	5,930
03/30/2021	Issue: 023436108 - Amedisys Inc S	- 475.000	268.7768	10	127,659	143,197	- 15,538
03/30/2021	Issue: 00751Y106 - Advance Auto Parts Inc S	- 799.000	186.2438	17	148,792	124,776	24,016

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03/30/2021	Issue: 98138H101 - Workday Inc S	- 409.000	247.7703	9	101,329	78,885	22,445
03/30/2021	Issue: 45784P101 - Insulet Corp S	- 666.000	261.8181	14	174,357	113,377	60,980
03/30/2021	Issue: 44891N109 - Iac Interactivecorp New S	- 1,603.000	211.6053	34	339,170	239,849	99,321
03/30/2021	Issue: 55955D100 - Magnite Inc Com S	- 4,796.000	40.0367	97	191,919	162,482	29,437
03/30/2021	Issue: 48251W104 - Kkr Co Inc A S	- 4,687.000	48.3988	95	226,750	126,244	100,506
03/30/2021	Issue: 550021109 - Lululemon Athletica Inc S	- 581.000	309.3429	13	179,716	154,536	25,179
03/30/2021	Issue: G3922B107 - Genpact Limited S	- 2,826.000	44.0190	57	124,341	116,132	8,209
03/30/2021	Issue: 40131M109 - Guardant Health Inc S	- 799.000	134.0052	17	107,054	120,729	- 13,675
03/30/2021	Issue: 632307104 - Natera Inc S	- 768.000	92.4755	16	71,005	83,471	- 12,466
03/30/2021	Issue: 109194100 - Bright Horizons Family Solut S	- 935.000	165.7377	20	154,945	115,699	39,247
03/30/2021	Issue: G8473T100 - Steris Plc Shs USD S	- 670.000	192.1042	14	128,696	101,863	26,833

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03/30/2021	Issue: 72703H101 - Planet Fitness Inc Cl A S	- 2,959.000	76.6327	60	226,696	210,845	15,851
03/30/2021	Issue: 55354G100 - Msci Inc S	- 438.000	419.5319	10	183,745	153,842	29,904
03/30/2021	Issue: G0403H108 - Aon Plc Shs Cl A S	- 1,012.000	225.3950	21	228,078	200,403	27,675
03/30/2021	Issue: 099502106 - Booz Allen Hamilton Holding S	- 1,077.000	78.8556	22	84,906	90,749	- 5,844
03/30/2021	Issue: 60855R100 - Molina Healthcare Inc S	- 419.000	233.1212	9	97,669	96,032	1,637
03/30/2021	Issue: 852234103 - Block Inc S	- 2,612.000	210.3933	55	549,492	204,545	344,947
03/30/2021	Issue: 67103H107 - O Reilly Automotive Inc S	- 249.000	501.9112	6	124,970	80,694	44,277
03/30/2021	Issue: G06242104 - Atlassian Corp Plc Class A S	- 326.000	207.7196	7	67,710	49,400	18,309
03/30/2021	Issue: 25754A201 - Dominos Pizza Inc S	- 212.000	367.4766	5	77,900	74,965	2,935
03/30/2021	Issue: 525327102 - Leidos Holdings Inc S	- 971.000	94.7546	20	91,987	89,752	2,235
03/30/2021	Issue: 122017106 - Burlington Stores Inc S	- 557.000	291.9820	12	162,622	105,434	57,188

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03/30/2021	Issue: 922475108 - Veeva Systems Inc Class A S	- 374.000	251.3749	8	94,006	90,293	3,713
03/30/2021	Issue: 90184L102 - Twitter Inc S	- 1,819.000	60.4660	37	109,951	58,239	51,712
03/30/2021	Issue: 94106B101 - Waste Connections Inc S	- 1,075.000	106.3762	22	114,332	94,723	19,610
03/30/2021	Issue: 252131107 - Dexcom Inc S	- 270.000	344.4385	6	92,993	81,761	11,231
03/30/2021	Issue: 78486Q101 - Svb Finl Group S	- 834.000	490.5524	19	409,102	253,287	155,814
03/30/2021	Issue: 90138F102 - Twilio Inc A S	- 731.000	321.8842	16	235,282	280,103	- 44,822
03/30/2021	Issue: 12008R107 - Builders Firstsource Inc S	- 2,219.000	45.3889	45	100,673	72,843	27,830
03/30/2021	Issue: 67020Y100 - Nuance Communications Inc S	- 5,995.000	42.4405	121	254,310	246,871	7,439
03/30/2021	Issue: 538034109 - Live Nation Entertainment Inc S	- 2,753.000	83.6928	56	230,350	207,435	22,915
03/30/2021	Issue: 169656105 - Chipotle Mexican Grill Inc S	- 138.000	1,427.0493	4	196,929	188,646	8,283
03/30/2021	Issue: 338307101 - Five9 Inc S	- 906.000	157.3256	19	142,518	80,224	62,294

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03/30/2021	Issue: 70432V102 - Paycom Software Inc S	- 952.000	364.1310	21	346,632	317,964	28,668
03/30/2021	Issue: 22266L106 - Coupa Software Inc S	- 652.000	244.1675	14	159,183	109,160	50,023
Total For Liquidnet Inc				3,247	16,555,908	12,884,980	3,670,936
GRAND TOTAL				3,247	50,025,551	42,558,579	7,466,979

CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE

Issue: 31846V542 - First Am Treas Ob Fd Cl Z							
01/04/2021	B	1,305.470	1.0000		- 1,305	1,305	
01/04/2021	B	53,426.700	1.0000		- 53,427	53,427	
01/05/2021	B	.150	1.0000				
01/05/2021	B	.140	1.0000				
01/05/2021	B	.460	1.0000				
01/05/2021	B	545.440	1.0000		- 545	545	
01/05/2021	B	.540	1.0000		- 1	1	
01/05/2021	B	3.520	1.0000		- 4	4	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/05/2021	B	4.610	1.0000		- 5	5	
01/05/2021	B	2.140	1.0000		- 2	2	
01/06/2021	B	1,308.770	1.0000		- 1,309	1,309	
01/07/2021	B	16,467.620	1.0000		- 16,468	16,468	
01/07/2021	B	38,962.010	1.0000		- 38,962	38,962	
01/08/2021	B	4,012.940	1.0000		- 4,013	4,013	
01/08/2021	B	27,698.580	1.0000		- 27,699	27,699	
01/11/2021	B	336.000	1.0000		- 336	336	
01/13/2021	B	17,255.150	1.0000		- 17,255	17,255	
01/13/2021	B	109,245.490	1.0000		- 109,245	109,245	
01/14/2021	B	2,988.710	1.0000		- 2,989	2,989	
01/14/2021	B	222.840	1.0000		- 223	223	
01/15/2021	B	17,006.530	1.0000		- 17,007	17,007	
01/15/2021	B	94,088.570	1.0000		- 94,089	94,089	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/19/2021	B	9,299.600	1.0000		- 9,300	9,300	
01/20/2021	B	572.930	1.0000		- 573	573	
01/21/2021	B	21,855.400	1.0000		- 21,855	21,855	
01/22/2021	B	10,980.330	1.0000		- 10,980	10,980	
01/25/2021	B	146,077.760	1.0000		- 146,078	146,078	
01/26/2021	B	2,827.080	1.0000		- 2,827	2,827	
01/26/2021	B	5,437.290	1.0000		- 5,437	5,437	
01/27/2021	B	175,000.000	1.0000		- 175,000	175,000	
01/28/2021	B	150,000.000	1.0000		- 150,000	150,000	
01/28/2021	B	2,599.770	1.0000		- 2,600	2,600	
01/28/2021	B	54,860.620	1.0000		- 54,861	54,861	
01/29/2021	B	397.900	1.0000		- 398	398	
01/29/2021	B	11,924.280	1.0000		- 11,924	11,924	
02/01/2021	B	205,257.510	1.0000		- 205,258	205,258	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/02/2021	B	.250	1.0000				
02/02/2021	B	.120	1.0000				
02/02/2021	B	.010	1.0000				
02/03/2021	B	9,273.520	1.0000		- 9,274	9,274	
02/05/2021	B	427.040	1.0000		- 427	427	
02/08/2021	B	69,897.950	1.0000		- 69,898	69,898	
02/09/2021	B	2,243.160	1.0000		- 2,243	2,243	
02/10/2021	B	41,436.150	1.0000		- 41,436	41,436	
02/11/2021	B	17,893.480	1.0000		- 17,893	17,893	
02/16/2021	B	4,952.730	1.0000		- 4,953	4,953	
02/22/2021	B	11,255.510	1.0000		- 11,256	11,256	
02/23/2021	B	1,042.100	1.0000		- 1,042	1,042	
02/23/2021	B	16,548.230	1.0000		- 16,548	16,548	
02/25/2021	B	200,000.000	1.0000		- 200,000	200,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/25/2021	B	596.260	1.0000		- 596	596	
02/26/2021	B	14,163.290	1.0000		- 14,163	14,163	
02/26/2021	B	13,128.820	1.0000		- 13,129	13,129	
03/01/2021	B	906.790	1.0000		- 907	907	
03/02/2021	B	.170	1.0000				
03/02/2021	B	.020	1.0000				
03/02/2021	B	136,172.970	1.0000		- 136,173	136,173	
03/02/2021	B	.010	1.0000				
03/05/2021	B	19,187.010	1.0000		- 19,187	19,187	
03/08/2021	B	810.030	1.0000		- 810	810	
03/09/2021	B	42,857.600	1.0000		- 42,858	42,858	
03/09/2021	B	659.070	1.0000		- 659	659	
03/10/2021	B	15,462.600	1.0000		- 15,463	15,463	
03/11/2021	B	6,822.010	1.0000		- 6,822	6,822	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/11/2021	B	47,017.930	1.0000		- 47,018	47,018	
03/12/2021	B	43,008.370	1.0000		- 43,008	43,008	
03/12/2021	B	177,968.330	1.0000		- 177,968	177,968	
03/15/2021	B	1,305.260	1.0000		- 1,305	1,305	
03/15/2021	B	25,384.970	1.0000		- 25,385	25,385	
03/15/2021	B	135,046.720	1.0000		- 135,047	135,047	
03/16/2021	B	35,350.250	1.0000		- 35,350	35,350	
03/17/2021	B	16,734.970	1.0000		- 16,735	16,735	
03/19/2021	B	1,378.050	1.0000		- 1,378	1,378	
03/19/2021	B	4,324.080	1.0000		- 4,324	4,324	
03/19/2021	B	311.210	1.0000		- 311	311	
03/22/2021	B	12,783.840	1.0000		- 12,784	12,784	
03/23/2021	B	2,150.500	1.0000		- 2,151	2,151	
03/24/2021	B	60,756.030	1.0000		- 60,756	60,756	

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03/24/2021	B	2,040.720	1.0000		- 2,041	2,041	
03/25/2021	B	8,259.330	1.0000		- 8,259	8,259	
03/25/2021	B	234.000	1.0000		- 234	234	
03/26/2021	B	9,327.740	1.0000		- 9,328	9,328	
03/29/2021	B	100,000.000	1.0000		- 100,000	100,000	
03/30/2021	B	10,000,000.000	1.0000		- 10,000,000 *	10,000,000	
03/30/2021	B	4,400,716.650	1.0000		- 4,400,717	4,400,717	
03/30/2021	B	4,101,602.500	1.0000		- 4,101,603	4,101,603	
03/30/2021	B	177,968.330	1.0000		- 177,968	177,968	
03/31/2021	B	894.570	1.0000		- 895	895	
03/31/2021	B	782.370	1.0000		- 782	782	
04/01/2021	B	2,564.130	1.0000		- 2,564	2,564	
04/05/2021	B	.080	1.0000				
04/05/2021	B	.020	1.0000				

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04/05/2021	B	3.130	1.0000		- 3	3	
04/05/2021	B	206.040	1.0000		- 206	206	
04/05/2021	B	2.530	1.0000		- 3	3	
04/07/2021	B	626.600	1.0000		- 627	627	
04/08/2021	B	694.300	1.0000		- 694	694	
04/14/2021	B	322.610	1.0000		- 323	323	
04/14/2021	B	283.040	1.0000		- 283	283	
04/15/2021	B	12,137.860	1.0000		- 12,138	12,138	
04/16/2021	B	9,274.180	1.0000		- 9,274	9,274	
04/21/2021	B	10,443.790	1.0000		- 10,444	10,444	
04/22/2021	B	522,186.640	1.0000		- 522,187	522,187	
04/23/2021	B	6,102.600	1.0000		- 6,103	6,103	
04/27/2021	B	18,154.590	1.0000		- 18,155	18,155	
04/29/2021	B	175,000.000	1.0000		- 175,000	175,000	

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04/29/2021	B	2,217.550	1.0000		- 2,218	2,218	
04/30/2021	B	13,376.880	1.0000		- 13,377	13,377	
05/03/2021	B	695.480	1.0000		- 695	695	
05/04/2021	B	.080	1.0000				
05/04/2021	B	.010	1.0000				
05/04/2021	B	4.830	1.0000		- 5	5	
05/04/2021	B	.020	1.0000				
05/04/2021	B	2.070	1.0000		- 2	2	
05/05/2021	B	6,276.740	1.0000		- 6,277	6,277	
05/14/2021	B	31,528.020	1.0000		- 31,528	31,528	
05/17/2021	B	1,885.750	1.0000		- 1,886	1,886	
05/19/2021	B	25,755.230	1.0000		- 25,755	25,755	
05/24/2021	B	11,113.450	1.0000		- 11,113	11,113	
05/25/2021	B	200,000.000	1.0000		- 200,000	200,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/25/2021	B	175,000.000	1.0000		- 175,000	175,000	
05/25/2021	B	4,291.860	1.0000		- 4,292	4,292	
05/27/2021	B	104.830	1.0000		- 105	105	
05/28/2021	B	125.570	1.0000		- 126	126	
06/01/2021	B	7,886.230	1.0000		- 7,886	7,886	
06/02/2021	B	.170	1.0000				
06/02/2021	B	.150	1.0000				
06/02/2021	B	.020	1.0000				
06/02/2021	B	4.700	1.0000		- 5	5	
06/03/2021	B	14,924.350	1.0000		- 14,924	14,924	
06/07/2021	B	6,444.920	1.0000		- 6,445	6,445	
06/16/2021	B	2,076.540	1.0000		- 2,077	2,077	
06/18/2021	B	24,909.870	1.0000		- 24,910	24,910	
06/21/2021	B	235.310	1.0000		- 235	235	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/24/2021	B	7,089.290	1.0000		- 7,089	7,089	
06/25/2021	B	34,061.810	1.0000		- 34,062	34,062	
06/29/2021	B	21,733.240	1.0000		- 21,733	21,733	
07/02/2021	B	.010	1.0000				
07/02/2021	B	12,709.760	1.0000		- 12,710	12,710	
07/02/2021	B	.020	1.0000				
07/02/2021	B	4.010	1.0000		- 4	4	
07/06/2021	B	2,416.580	1.0000		- 2,417	2,417	
07/15/2021	B	12,850.580	1.0000		- 12,851	12,851	
07/16/2021	B	2,482.230	1.0000		- 2,482	2,482	
07/19/2021	B	1,625.330	1.0000		- 1,625	1,625	
07/21/2021	B	2,518,256.280	1.0000		- 2,518,256	2,518,256	
07/22/2021	B	5,659.840	1.0000		- 5,660	5,660	
07/23/2021	B	16,448.560	1.0000		- 16,449	16,449	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
07/26/2021	B	5,718.660	1.0000		- 5,719	5,719	
07/27/2021	B	3,598.840	1.0000		- 3,599	3,599	
07/28/2021	B	1,687.990	1.0000		- 1,688	1,688	
07/29/2021	B	6,367.510	1.0000		- 6,368	6,368	
07/30/2021	B	16,208.740	1.0000		- 16,209	16,209	
08/02/2021	B	3,415.960	1.0000		- 3,416	3,416	
08/03/2021	B	.010	1.0000				
08/03/2021	B	.020	1.0000				
08/03/2021	B	7.590	1.0000		- 8	8	
08/05/2021	B	8,698.750	1.0000		- 8,699	8,699	
08/09/2021	B	5,421.730	1.0000		- 5,422	5,422	
08/10/2021	B	25,650.090	1.0000		- 25,650	25,650	
08/12/2021	B	3,610.830	1.0000		- 3,611	3,611	
08/13/2021	B	8,257.960	1.0000		- 8,258	8,258	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/16/2021	B	1,163.340	1.0000		- 1,163	1,163	
08/23/2021	B	6,001.370	1.0000		- 6,001	6,001	
08/25/2021	B	1,757.530	1.0000		- 1,758	1,758	
08/26/2021	B	4,217.840	1.0000		- 4,218	4,218	
08/27/2021	B	8,707.740	1.0000		- 8,708	8,708	
08/31/2021	B	1,209.470	1.0000		- 1,209	1,209	
09/01/2021	B	158.960	1.0000		- 159	159	
09/01/2021	B	803.380	1.0000		- 803	803	
09/02/2021	B	.010	1.0000				
09/02/2021	B	3,668.320	1.0000		- 3,668	3,668	
09/02/2021	B	.020	1.0000				
09/02/2021	B	21.390	1.0000		- 21	21	
09/07/2021	B	4,688.520	1.0000		- 4,689	4,689	
09/15/2021	B	9,207.280	1.0000		- 9,207	9,207	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/15/2021	B	1,262.770	1.0000		- 1,263	1,263	
09/16/2021	B	2,398.080	1.0000		- 2,398	2,398	
09/20/2021	B	5,557.730	1.0000		- 5,558	5,558	
09/20/2021	B	235.310	1.0000		- 235	235	
09/27/2021	B	675.280	1.0000		- 675	675	
09/28/2021	B	5,765.200	1.0000		- 5,765	5,765	
09/29/2021	B	1,500,000.000	1.0000		- 1,500,000	1,500,000	
09/29/2021	B	4,450.290	1.0000		- 4,450	4,450	
09/29/2021	B	2,518,256.280	1.0000		- 2,518,256	2,518,256	
09/30/2021	B	665.350	1.0000		- 665	665	
09/30/2021	B	1,000,000.000	1.0000		- 1,000,000	1,000,000	
10/01/2021	B	23,492.070	1.0000		- 23,492	23,492	
10/04/2021	B	.010	1.0000				
10/04/2021	B	.270	1.0000				

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
10/04/2021	B	2.500	1.0000		- 3	3	
10/04/2021	B	.020	1.0000				
10/04/2021	B	19.320	1.0000		- 19	19	
10/05/2021	B	17,464.340	1.0000		- 17,464	17,464	
10/06/2021	B	1,606.470	1.0000		- 1,606	1,606	
10/07/2021	B	154.250	1.0000		- 154	154	
10/12/2021	B	1,727.930	1.0000		- 1,728	1,728	
10/14/2021	B	7,964.990	1.0000		- 7,965	7,965	
10/15/2021	B	9,561.280	1.0000		- 9,561	9,561	
10/18/2021	B	10,728.260	1.0000		- 10,728	10,728	
10/19/2021	B	18,900.700	1.0000		- 18,901	18,901	
10/19/2021	B	2,065,940.000	1.0000		- 2,065,940	2,065,940	
10/21/2021	B	2,066.350	1.0000		- 2,066	2,066	
10/22/2021	B	485.740	1.0000		- 486	486	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
10/25/2021	B	9,642.070	1.0000		- 9,642	9,642	
10/26/2021	B	504.510	1.0000		- 505	505	
10/28/2021	B	4,290.840	1.0000		- 4,291	4,291	
11/01/2021	B	707.470	1.0000		- 707	707	
11/02/2021	B	.010	1.0000				
11/02/2021	B	1,292.710	1.0000		- 1,293	1,293	
11/02/2021	B	.020	1.0000				
11/02/2021	B	7.360	1.0000		- 7	7	
11/03/2021	B	638.880	1.0000		- 639	639	
11/04/2021	B	3,994.950	1.0000		- 3,995	3,995	
11/05/2021	B	110.860	1.0000		- 111	111	
11/08/2021	B	10,727.320	1.0000		- 10,727	10,727	
11/09/2021	B	4,266.760	1.0000		- 4,267	4,267	
11/10/2021	B	4,509.620	1.0000		- 4,510	4,510	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
11/22/2021	B	73.500	1.0000		- 74	74	
11/22/2021	B	2,112.460	1.0000		- 2,112	2,112	
11/26/2021	B	1,769.890	1.0000		- 1,770	1,770	
11/30/2021	B	1,054.620	1.0000		- 1,055	1,055	
12/02/2021	B	.010	1.0000				
12/02/2021	B	.020	1.0000				
12/02/2021	B	16.980	1.0000		- 17	17	
12/08/2021	B	3,473.780	1.0000		- 3,474	3,474	
12/08/2021	B	1,798.410	1.0000		- 1,798	1,798	
12/09/2021	B	27,489.880	1.0000		- 27,490	27,490	
12/10/2021	B	21,499.520	1.0000		- 21,500	21,500	
12/10/2021	B	1,621.480	1.0000		- 1,621	1,621	
12/13/2021	B	1,610.530	1.0000		- 1,611	1,611	
12/15/2021	B	2,726.720	1.0000		- 2,727	2,727	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/20/2021	B	235.310	1.0000		- 235	235	
12/22/2021	B	4,839.610	1.0000		- 4,840	4,840	
12/23/2021	B	6,882.820	1.0000		- 6,883	6,883	
12/27/2021	B	9,778.980	1.0000		- 9,779	9,779	
12/27/2021	B	6,654.980	1.0000		- 6,655	6,655	
12/29/2021	B	3,218.000	1.0000		- 3,218	3,218	
12/29/2021	B	620.030	1.0000		- 620	620	
12/29/2021	B	.210	1.0000				
12/29/2021	B	2,000,000.000	1.0000		- 2,000,000	2,000,000	
12/29/2021	B	2,065,940.000	1.0000		- 2,065,940	2,065,940	
12/30/2021	B	1,942.920	1.0000		- 1,943	1,943	
12/30/2021	B	1,400,000.000	1.0000		- 1,400,000	1,400,000	
12/30/2021	B	1,250,000.000	1.0000		- 1,250,000	1,250,000	
12/30/2021	B	1,250,000.000	1.0000		- 1,250,000	1,250,000	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/30/2021	B	1,500,000.000	1.0000		- 1,500,000	1,500,000	
12/31/2021	B	523.330	1.0000		- 523	523	
Total For Buys				0	42,031,470	42,031,470	0
01/04/2021	S	- 1,130.520	1.0000		1,131	1,131	
01/05/2021	S	- 306.730	1.0000		307	307	
01/05/2021	S	- 23,239.860	1.0000		23,240	23,240	
01/06/2021	S	- 17,619.340	1.0000		17,619	17,619	
01/11/2021	S	- 11,490.920	1.0000		11,491	11,491	
01/11/2021	S	- 125,996.130	1.0000		125,996	125,996	
01/12/2021	S	- 1,224.240	1.0000		1,224	1,224	
01/12/2021	S	- 3,604.480	1.0000		3,604	3,604	
01/12/2021	S	- 11,015.900	1.0000		11,016	11,016	
01/14/2021	S	- 123,505.770	1.0000		123,506	123,506	
01/19/2021	S	- 38,719.060	1.0000		38,719	38,719	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
01/20/2021	S	- 1,661.670	1.0000		1,662	1,662	
01/20/2021	S	- 19,521.710	1.0000		19,522	19,522	
01/21/2021	S	- 126,148.540	1.0000		126,149	126,149	
01/22/2021	S	- 48,770.630	1.0000		48,771	48,771	
01/25/2021	S	- 8,104.100	1.0000		8,104	8,104	
01/27/2021	S	- 15,268.310	1.0000		15,268	15,268	
01/27/2021	S	- 14,585.940	1.0000		14,586	14,586	
01/29/2021	S	- 175,000.000	1.0000		175,000	175,000	
01/29/2021	S	- 150,000.000	1.0000		150,000	150,000	
01/29/2021	S	- 140,974.900	1.0000		140,975	140,975	
02/01/2021	S	- 2,398.040	1.0000		2,398	2,398	
02/02/2021	S	- 25,794.010	1.0000		25,794	25,794	
02/02/2021	S	- 132,567.480	1.0000		132,567	132,567	
02/04/2021	S	- 32,062.240	1.0000		32,062	32,062	

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02/04/2021	S	- 21,355.540	1.0000		21,356	21,356	
02/05/2021	S	- 7,329.950	1.0000		7,330	7,330	
02/08/2021	S	- 7,174.990	1.0000		7,175	7,175	
02/09/2021	S	- 37,099.090	1.0000		37,099	37,099	
02/11/2021	S	- 4,470.300	1.0000		4,470	4,470	
02/12/2021	S	- 46,315.690	1.0000		46,316	46,316	
02/16/2021	S	- 7,131.180	1.0000		7,131	7,131	
02/17/2021	S	- 8,517.160	1.0000		8,517	8,517	
02/18/2021	S	- 8,021.260	1.0000		8,021	8,021	
02/19/2021	S	- 1,188.550	1.0000		1,189	1,189	
02/22/2021	S	- 7,069.570	1.0000		7,070	7,070	
02/24/2021	S	- 536.970	1.0000		537	537	
02/24/2021	S	- 58,800.130	1.0000		58,800	58,800	
02/25/2021	S	- 51,638.460	1.0000		51,638	51,638	

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02/25/2021	S	- 8,692.630	1.0000		8,693	8,693	
02/26/2021	S	- 200,000.000	1.0000		200,000	200,000	
03/01/2021	S	- 40,628.760	1.0000		40,629	40,629	
03/01/2021	S	- 38,557.610	1.0000		38,558	38,558	
03/02/2021	S	- 24,350.950	1.0000		24,351	24,351	
03/02/2021	S	- 2,777.760	1.0000		2,778	2,778	
03/03/2021	S	- 6,474.100	1.0000		6,474	6,474	
03/04/2021	S	- 1,037.390	1.0000		1,037	1,037	
03/04/2021	S	- 32,919.210	1.0000		32,919	32,919	
03/08/2021	S	- 447.990	1.0000		448	448	
03/09/2021	S	- 9,462.190	1.0000		9,462	9,462	
03/10/2021	S	- 7,925.630	1.0000		7,926	7,926	
03/12/2021	S	- 105,971.490	1.0000		105,971	105,971	
03/17/2021	S	- 70,721.720	1.0000		70,722	70,722	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
03/18/2021	S	- 14,726.300	1.0000		14,726	14,726	
03/18/2021	S	- 13,305.380	1.0000		13,305	13,305	
03/24/2021	S	- 12,953.770	1.0000		12,954	12,954	
03/25/2021	S	- 51,336.670	1.0000		51,337	51,337	
03/26/2021	S	- 25,786.800	1.0000		25,787	25,787	
03/29/2021	S	- 11,985.390	1.0000		11,985	11,985	
03/30/2021	S	- 10,100,000.000	1.0000		10,100,000 *	10,100,000	
03/30/2021	S	- 1,468.350	1.0000		1,468	1,468	
03/30/2021	S	- 4,400,716.650	1.0000		4,400,717	4,400,717	
03/30/2021	S	- 177,968.330	1.0000		177,968	177,968	
03/30/2021	S	- 177,968.330	1.0000		177,968	177,968	
03/31/2021	S	- 16,966.080	1.0000		16,966	16,966	
03/31/2021	S	- 4,400,719.090	1.0000		4,400,719	4,400,719	
04/05/2021	S	- 5,624.530	1.0000		5,625	5,625	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
04/06/2021	S	- 5,496.340	1.0000		5,496	5,496	
04/07/2021	S	- 4,516.360	1.0000		4,516	4,516	
04/09/2021	S	- 11,970.810	1.0000		11,971	11,971	
04/12/2021	S	- 7,308.770	1.0000		7,309	7,309	
04/13/2021	S	- 5,444.080	1.0000		5,444	5,444	
04/19/2021	S	- 13,136.760	1.0000		13,137	13,137	
04/20/2021	S	- 4,124.430	1.0000		4,124	4,124	
04/22/2021	S	- 11,107.320	1.0000		11,107	11,107	
04/26/2021	S	- 4,130.900	1.0000		4,131	4,131	
04/28/2021	S	- 117.610	1.0000		118	118	
04/28/2021	S	- 197.840	1.0000		198	198	
04/30/2021	S	- 175,000.000	1.0000		175,000	175,000	
05/04/2021	S	- 24,089.550	1.0000		24,090	24,090	
05/06/2021	S	- 10,848.470	1.0000		10,848	10,848	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
05/07/2021	S	- 14,792.480	1.0000		14,792	14,792	
05/10/2021	S	- 3,010.440	1.0000		3,010	3,010	
05/11/2021	S	- 10,313.460	1.0000		10,313	10,313	
05/12/2021	S	- 12,656.280	1.0000		12,656	12,656	
05/13/2021	S	- 8,047.580	1.0000		8,048	8,048	
05/14/2021	S	- 8,350.990	1.0000		8,351	8,351	
05/17/2021	S	- 8,952.490	1.0000		8,952	8,952	
05/18/2021	S	- 9,351.570	1.0000		9,352	9,352	
05/20/2021	S	- 1,282.850	1.0000		1,283	1,283	
05/21/2021	S	- 11,314.140	1.0000		11,314	11,314	
05/26/2021	S	- 146.150	1.0000		146	146	
05/27/2021	S	- 10,486.360	1.0000		10,486	10,486	
05/28/2021	S	- 200,000.000	1.0000		200,000	200,000	
05/28/2021	S	- 175,000.000	1.0000		175,000	175,000	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/02/2021	S	- 19,529.830	1.0000		19,530	19,530	
06/04/2021	S	- 2,381.650	1.0000		2,382	2,382	
06/08/2021	S	- 1,998.800	1.0000		1,999	1,999	
06/09/2021	S	- 4,338.460	1.0000		4,338	4,338	
06/10/2021	S	- 3,459.560	1.0000		3,460	3,460	
06/11/2021	S	- 11,437.180	1.0000		11,437	11,437	
06/14/2021	S	- 13,099.290	1.0000		13,099	13,099	
06/15/2021	S	- 30,770.500	1.0000		30,771	30,771	
06/17/2021	S	- 166.000	1.0000		166	166	
06/21/2021	S	- 8,745.100	1.0000		8,745	8,745	
06/22/2021	S	- 15,902.900	1.0000		15,903	15,903	
06/23/2021	S	- 4,326.670	1.0000		4,327	4,327	
06/28/2021	S	- 2,599.480	1.0000		2,599	2,599	
06/29/2021	S	- 522,186.640	1.0000		522,187	522,187	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
06/30/2021	S	- 3,484.040	1.0000		3,484	3,484	
07/01/2021	S	- 11,070.140	1.0000		11,070	11,070	
07/07/2021	S	- 314.940	1.0000		315	315	
07/08/2021	S	- 1,092.080	1.0000		1,092	1,092	
07/09/2021	S	- 2,555.110	1.0000		2,555	2,555	
07/12/2021	S	- 2,811.710	1.0000		2,812	2,812	
07/14/2021	S	- 6,578.180	1.0000		6,578	6,578	
07/20/2021	S	- 521.560	1.0000		522	522	
07/21/2021	S	- 10,218.230	1.0000		10,218	10,218	
08/03/2021	S	- 10,368.580	1.0000		10,369	10,369	
08/04/2021	S	- 6,411.400	1.0000		6,411	6,411	
08/06/2021	S	- 14,178.800	1.0000		14,179	14,179	
08/11/2021	S	- 4,510.640	1.0000		4,511	4,511	
08/17/2021	S	- 155.680	1.0000		156	156	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
08/18/2021	S	- 16,301.960	1.0000		16,302	16,302	
08/19/2021	S	- 4,777.400	1.0000		4,777	4,777	
08/20/2021	S	- 11,991.310	1.0000		11,991	11,991	
08/24/2021	S	- 2,113.470	1.0000		2,113	2,113	
08/30/2021	S	- 2,212.760	1.0000		2,213	2,213	
08/31/2021	S	- 158.960	1.0000		159	159	
08/31/2021	S	- 803.380	1.0000		803	803	
09/01/2021	S	- 4,729.570	1.0000		4,730	4,730	
09/03/2021	S	- 9,532.730	1.0000		9,533	9,533	
09/07/2021	S	- 3,058.010	1.0000		3,058	3,058	
09/08/2021	S	- 356.570	1.0000		357	357	
09/09/2021	S	- 4,898.570	1.0000		4,899	4,899	
09/10/2021	S	- 20,693.620	1.0000		20,694	20,694	
09/13/2021	S	- 3,417.780	1.0000		3,418	3,418	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
09/14/2021	S	- 2,151.450	1.0000		2,151	2,151	
09/17/2021	S	- 1,779.070	1.0000		1,779	1,779	
09/21/2021	S	- 6,691.530	1.0000		6,692	6,692	
09/22/2021	S	- 1,627.660	1.0000		1,628	1,628	
09/23/2021	S	- 6,778.480	1.0000		6,778	6,778	
09/24/2021	S	- 2,687.130	1.0000		2,687	2,687	
09/29/2021	S	- 500,000.000	1.0000		500,000	500,000	
09/29/2021	S	- 2,518,256.280	1.0000		2,518,256	2,518,256	
09/29/2021	S	- 2,518,256.280	1.0000		2,518,256	2,518,256	
09/30/2021	S	- 1,000,000.000	1.0000		1,000,000	1,000,000	
09/30/2021	S	- 1,000,000.000	1.0000		1,000,000	1,000,000	
10/04/2021	S	- 15,498.950	1.0000		15,499	15,499	
10/08/2021	S	- 12,693.480	1.0000		12,693	12,693	
10/08/2021	S	- 3,691.670	1.0000		3,692	3,692	

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DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
10/13/2021	S	- 9,950.060	1.0000		9,950	9,950	
10/20/2021	S	- 108.730	1.0000		109	109	
10/29/2021	S	- 1,853.520	1.0000		1,854	1,854	
11/08/2021	S	- 255.660	1.0000		256	256	
11/10/2021	S	- 1,006.570	1.0000		1,007	1,007	
11/12/2021	S	- 171.920	1.0000		172	172	
11/15/2021	S	- 1,982.650	1.0000		1,983	1,983	
11/15/2021	S	- 3,388.650	1.0000		3,389	3,389	
11/16/2021	S	- 7,969.470	1.0000		7,969	7,969	
11/17/2021	S	- 1,024.680	1.0000		1,025	1,025	
11/17/2021	S	- 3,276.180	1.0000		3,276	3,276	
11/18/2021	S	- 51,702.230	1.0000		51,702	51,702	
11/19/2021	S	- 15,927.530	1.0000		15,928	15,928	
11/23/2021	S	- 3,537.110	1.0000		3,537	3,537	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
11/24/2021	S	- 11,622.600	1.0000		11,623	11,623	
11/26/2021	S	- 2,188.300	1.0000		2,188	2,188	
11/29/2021	S	- 4,853.230	1.0000		4,853	4,853	
11/30/2021	S	- 701.550	1.0000		702	702	
12/01/2021	S	- 6,221.070	1.0000		6,221	6,221	
12/02/2021	S	- 2,846.030	1.0000		2,846	2,846	
12/03/2021	S	- 2,126.250	1.0000		2,126	2,126	
12/06/2021	S	- 822.480	1.0000		822	822	
12/07/2021	S	- 1,019.440	1.0000		1,019	1,019	
12/14/2021	S	- 12,657.360	1.0000		12,657	12,657	
12/16/2021	S	- 16,576.530	1.0000		16,577	16,577	
12/17/2021	S	- 14,119.180	1.0000		14,119	14,119	
12/20/2021	S	- 199.620	1.0000		200	200	
12/21/2021	S	- 2,905.660	1.0000		2,906	2,906	

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
12/28/2021	S	- 8,212.850	1.0000		8,213	8,213	
12/29/2021	S	- 2,065,940.000	1.0000		2,065,940	2,065,940	
12/29/2021	S	- 2,065,940.000	1.0000		2,065,940	2,065,940	
12/30/2021	S	- 1,250,000.000	1.0000		1,250,000	1,250,000	
12/30/2021	S	- 1,250,000.000	1.0000		1,250,000	1,250,000	
12/30/2021	S	- 2,000,000.000	1.0000		2,000,000	2,000,000	
12/30/2021	S	- 1,500,000.000	1.0000		1,500,000	1,500,000	
12/31/2021	S	- 1,400,000.000	1.0000		1,400,000	1,400,000	
Total For Sells				0	42,341,527	42,341,527	0
Total First Am Treas Ob Fd Cl Z				0	84,372,997	84,372,997	0
Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd							
03/30/2021	B	166,328.465	60.1220		- 10,000,000 *	10,000,000	
Total For Buys				0	10,000,000	10,000,000	0
01/28/2021	S	- 3,083.537	56.7530		175,000	38,497	136,503

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
02/27/2021	S	- 3,439.793	58.1430		200,000	42,945	157,055
03/26/2021	S	- 14.154	59.8608		847	187	660
03/31/2021	S	- 1,667.618	59.9658		100,000	20,820	79,180
04/30/2021	S	- 2,741.615	63.8310		175,000	34,228	140,772
05/24/2021	S	- 3,151.542	63.4610		200,000	39,346	160,654
05/24/2021	S	- 18.907	63.4596		1,200	236	964
06/28/2021	S	- 1,917.589	65.1860		125,000	23,940	101,060
07/29/2021	S	- 2,986.189	66.9750		200,000	37,281	162,719
08/30/2021	S	- 2,913.513	68.6457		200,000	36,374	163,626
08/30/2021	S	- 28.724	68.6496		1,972	359	1,613
10/29/2021	S	- 2,507.675	69.7858		175,000	31,307	143,693
11/30/2021	S	- 3,197.332	70.3712		225,000	39,917	185,083
11/30/2021	S	- 28.320	70.9054		2,008	354	1,654
12/29/2021	S	- 3,465.795	72.1335		250,000	43,269	206,731

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FORM 5500 - REPORTABLE TRANSACTION SCHEDULE (continued)

DATE	BOUGHT/ SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
Total For Sells				0	2,031,027	389,060	1,641,967
Total Ssga Russell 1000 (R) Indx NI Fd				0	12,031,027	10,389,060	1,641,967
GRAND TOTAL				0	96,404,024	94,762,057	1,641,967

CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE

Broker: Direct From Issuer

03/30/2021	Issue: 9SPMTGP02 - Ssga Russell 1000 (R) Indx NI Fd B	166,328.465	60.1220		- 10,000,000 *	10,000,000	
Total For Direct From Issuer				0	33,469,643	29,673,599	3,796,043

Sheet Metal Workers' Local No. 9 Pension Plan

EIN: 84-0783596; Plan number 001

Schedule R, Line 13e - Information on Contribution Rates and Base Units

	<u>Appendix A</u>
Journeyman	5.81
Foreman	5.81
Gen Foreman	5.81
Apprentices Indentured Before 6/30/2019	
1st Yr. Apprentice	2.91
2nd Yr. Apprentice	3.49
3rd Yr. Apprentice	4.07
4th Yr. Apprentice	4.65
Apprentices Indentured After 6/30/2019	
1st Yr. Apprentice	3.20
2nd Yr. Apprentice	3.78
Classified Workers	
50% Classified Worker	2.91
55% Classified Worker	3.20
65% Classsified Worker	3.78
70% Classified Worker	4.07
80% Classsified Worker	4.65
90% Classified Worker	5.23

SCHEDULE MB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan SHEET METAL WORKERS' LOCAL NO. 9 PENSION TRUST	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF TRUSTEES OF THE SHEET METAL WORKERS LOCAL NO. 9 PENSION TRUST	D Employer Identification Number (EIN) 84-0783596

E Type of plan: (1) ☒ Multiemployer Defined Benefit (2) ☐ Money Purchase (see instructions)

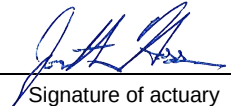
1a Enter the valuation date: Month 1 Day 1 Year 2021

b Assets

(1) Current value of assets.....	1b(1)	181,747,071
(2) Actuarial value of assets for funding standard account	1b(2)	170,841,711
c (1) Accrued liability for plan using immediate gain methods	1c(1)	175,873,786
(2) Information for plans using spread gain methods:		
(a) Unfunded liability for methods with bases	1c(2)(a)	
(b) Accrued liability under entry age normal method	1c(2)(b)	
(c) Normal cost under entry age normal method	1c(2)(c)	
(3) Accrued liability under unit credit cost method	1c(3)	175,873,786
d Information on current liabilities of the plan:		
(1) Amount excluded from current liability attributable to pre-participation service (see instructions)	1d(1)	
(2) "RPA '94" information:		
(a) Current liability.....	1d(2)(a)	342,464,225
(b) Expected increase in current liability due to benefits accruing during the plan year.....	1d(2)(b)	14,431,868
(c) Expected release from "RPA '94" current liability for the plan year.....	1d(2)(c)	11,217,386
(3) Expected plan disbursements for the plan year.....	1d(3)	11,760,685

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	 Signature of actuary	09/20/2022 Date
Jonathan Hassen Type or print name of actuary		20-07913 Most recent enrollment number
Rael & Letson Firm name		(650) 341-3311 Telephone number (including area code)
2929 Campus Drive, Suite 400 San Mateo CA 94403 Address of the firm		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule MB (Form 5500) 2021
v. 200204

2 Operational information as of beginning of this plan year:

a Current value of assets (see instructions)	2a	181,747,071
b "RPA '94" current liability/participant count breakdown:	(1) Number of participants	(2) Current liability
(1) For retired participants and beneficiaries receiving payment	825	136,055,412
(2) For terminated vested participants	397	50,121,696
(3) For active participants:		
(a) Non-vested benefits		16,694,969
(b) Vested benefits		139,592,148
(c) Total active	781	156,287,117
(4) Total	2,003	342,464,225
c If the percentage resulting from dividing line 2a by line 2b(4), column (2), is less than 70%, enter such percentage	2c	53.07 %

3 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
07/01/2021	7,592,142				
			Totals ▶	3(b)	7,592,142
					3(c)
					0
(d) Total withdrawal liability amounts included in line 3(b) total					3(d)
					0

4 Information on plan status:

a Funded percentage for monitoring plan's status (line 1b(2) divided by line 1c(3))	4a	97.1 %
b Enter code to indicate plan's status (see instructions for attachment of supporting evidence of plan's status). If entered code is "N," go to line 5	4b	N
c Is the plan making the scheduled progress under any applicable funding improvement or rehabilitation plan? ☐ Yes ☐ No		
d If the plan is in critical status or critical and declining status, were any benefits reduced (see instructions)? ☐ Yes ☐ No		
e If line d is "Yes," enter the reduction in liability resulting from the reduction in benefits (see instructions), measured as of the valuation date	4e	
f If the rehabilitation plan projects emergence from critical status or critical and declining status, enter the plan year in which it is projected to emerge. If the rehabilitation plan is based on forestalling possible insolvency, enter the plan year in which insolvency is expected and check here ☐	4f	

5 Actuarial cost method used as the basis for this plan year's funding standard account computations (check all that apply):

- a** ☐ Attained age normal
b ☐ Entry age normal
c ☒ Accrued benefit (unit credit)
d ☐ Aggregate
e ☐ Frozen initial liability
f ☐ Individual level premium
g ☐ Individual aggregate
h ☐ Shortfall
i ☐ Other (specify):

j If box h is checked, enter period of use of shortfall method	5j	
k Has a change been made in funding method for this plan year?		☒ Yes ☐ No
l If line k is "Yes," was the change made pursuant to Revenue Procedure 2000-40 or other automatic approval?		☒ Yes ☐ No
m If line k is "Yes," and line l is "No," enter the date (MM-DD-YYYY) of the ruling letter (individual or class) approving the change in funding method	5m	

6 Checklist of certain actuarial assumptions:

a Interest rate for "RPA '94" current liability.....	6a	2.43 %
	Pre-retirement	Post-retirement
b Rates specified in insurance or annuity contracts.....	☐ Yes ☐ No ☒ N/A	☐ Yes ☐ No ☒ N/A
c Mortality table code for valuation purposes:		
(1) Males	6c(1)	6 - 1
(2) Females	6c(2)	6F - 1
d Valuation liability interest rate	6d	7.00 %
e Expense loading	6e	8.0 % ☐ N/A ☒ N/A
f Salary scale	6f	% ☒ N/A
g Estimated investment return on actuarial value of assets for year ending on the valuation date	6g	8.7 %
h Estimated investment return on current value of assets for year ending on the valuation date	6h	13.5 %

7 New amortization bases established in the current plan year:

(1) Type of base	(2) Initial balance	(3) Amortization Charge/Credit
1	-1,507,618	-154,699
4	-1,293,710	-132,750
5	-19,826,630	-2,638,193

8 Miscellaneous information:

a If a waiver of a funding deficiency has been approved for this plan year, enter the date (MM-DD-YYYY) of the ruling letter granting the approval.....	8a	
b(1) Is the plan required to provide a projection of expected benefit payments? (See the instructions.) If "Yes," attach a schedule.....		☒ Yes ☐ No
b(2) Is the plan required to provide a Schedule of Active Participant Data? (See the instructions.) If "Yes," attach a schedule.....		☒ Yes ☐ No
c Are any of the plan's amortization bases operating under an extension of time under section 412(e) (as in effect prior to 2008) or section 431(d) of the Code?.....		☐ Yes ☒ No
d If line c is "Yes," provide the following additional information:		
(1) Was an extension granted automatic approval under section 431(d)(1) of the Code?		☐ Yes ☐ No
(2) If line 8d(1) is "Yes," enter the number of years by which the amortization period was extended	8d(2)	
(3) Was an extension approved by the Internal Revenue Service under section 412(e) (as in effect prior to 2008) or 431(d)(2) of the Code?		☐ Yes ☐ No
(4) If line 8d(3) is "Yes," enter number of years by which the amortization period was extended (not including the number of years in line (2)).....	8d(4)	
(5) If line 8d(3) is "Yes," enter the date of the ruling letter approving the extension	8d(5)	
(6) If line 8d(3) is "Yes," is the amortization base eligible for amortization using interest rates applicable under section 6621(b) of the Code for years beginning after 2007?		☐ Yes ☐ No
e If box 5h is checked or line 8c is "Yes," enter the difference between the minimum required contribution for the year and the minimum that would have been required without using the shortfall method or extending the amortization base(s)	8e	

9 Funding standard account statement for this plan year:**Charges to funding standard account:**

a Prior year funding deficiency, if any	9a	0
b Employer's normal cost for plan year as of valuation date.....	9b	5,359,528
c Amortization charges as of valuation date:		
(1) All bases except funding waivers and certain bases for which the amortization period has been extended	9c(1)	12,405,945
(2) Funding waivers	9c(2)	0
(3) Certain bases for which the amortization period has been extended	9c(3)	0
d Interest as applicable on lines 9a, 9b, and 9c.....	9d	1,243,583
e Total charges. Add lines 9a through 9d.....	9e	19,009,056

Credits to funding standard account:

f Prior year credit balance, if any.....	9f	28,505,990
g Employer contributions. Total from column (b) of line 3.....	9g	7,592,142
	Outstanding balance	
h Amortization credits as of valuation date.....	9h	66,265,334
i Interest as applicable to end of plan year on lines 9f, 9g, and 9h.....	9i	3,032,998

j Full funding limitation (FFL) and credits:

(1) ERISA FFL (accrued liability FFL).....	9j(1)	41,620,424
(2) "RPA '94" override (90% current liability FFL)	9j(2)	148,046,105
(3) FFL credit	9j(3)	0
k (1) Waived funding deficiency	9k(1)	0
(2) Other credits.....	9k(2)	0
l Total credits. Add lines 9f through 9i, 9j(3), 9k(1), and 9k(2)	9l	50,157,613
m Credit balance: If line 9l is greater than line 9e, enter the difference	9m	31,148,557
n Funding deficiency: If line 9e is greater than line 9l, enter the difference.....	9n	

9o Current year's accumulated reconciliation account:

(1) Due to waived funding deficiency accumulated prior to the 2020 plan year	9o(1)	0
(2) Due to amortization bases extended and amortized using the interest rate under section 6621(b) of the Code:		
(a) Reconciliation outstanding balance as of valuation date	9o(2)(a)	0
(b) Reconciliation amount (line 9c(3) balance minus line 9o(2)(a))	9o(2)(b)	0
(3) Total as of valuation date	9o(3)	0
10 Contribution necessary to avoid an accumulated funding deficiency. (See instructions.)	10	0

11 Has a change been made in the actuarial assumptions for the current plan year? If "Yes," see instructions..... ☒ Yes ☐ No

Attachment to:	2021 Schedule MB (Form 5500), Lines 3 and 9g
Plan Name:	Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number:	84-0783596
Plan Number:	001

EMPLOYER CONTRIBUTIONS

Employer contributions were made periodically throughout the Plan Year pursuant to the terms of the collective bargaining agreements. Contributions have been assumed to occur mid-year.

Attachment to:	2021 Schedule MB (Form 5500), Line 5l
Plan Name:	Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number:	84-0783596
Plan Number:	001

CHANGE IN ACTUARIAL COST METHOD

Effective January 1, 2021, the Plan's actuarial cost method was changed from Entry Age Normal to Accrued Benefit (Unit Credit). The change in funding method was automatically approved under Revenue Procedure 2000-40.

Attachment to:	2021 Schedule MB (Form 5500), Line 6
Plan Name:	Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number:	84-0783596
Plan Number:	001

STATEMENT OF ACTUARIAL ASSUMPTIONS/METHODS

METHODOLOGY:	
Actuarial Value of Assets:	Assets are valued according to a method which recognizes 20% of each year's excess (or deficiency) of actual investment return on the Market Value of Assets over the expected return on the Actuarial Value of Assets in the year the excess (or deficiency) occurs. An additional 20% of the excess (or deficiency) is recognized in each of the succeeding four years until it is totally recognized. In no event will the Actuarial Value of Assets be less than 80% or more than 120% of the Market Value of Assets.
Actuarial Cost Method:	<u>Unit Credit Cost Method</u> Under this method, we determine the present value of all benefits earned through the valuation date. An individual's normal cost is the present value of the benefit expected to be earned in the valuation year. The total accrued liability is the sum of the individual present values for all participants. The Unfunded Accrued Liability is the difference between the accrued liability and the assets of the Trust. If the assets exceed the accrued liability, the Plan is in a surplus position. This method requires that each year's contributions be applied first to the normal cost, and the balance of the contributions applied to amortize the Unfunded Accrued Liability. The normal cost is adjusted at the close of the plan year to reflect the actual level of contributions received during that plan year. (Prior to January 1, 2021: Entry Age Normal with Replacement)

Attachment to: 2021 Schedule MB (Form 5500), Line 6
Plan Name: Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number: 84-0783596
Plan Number: 001

STATEMENT OF ACTUARIAL ASSUMPTIONS/METHODS

(CONTINUED)

ASSUMPTIONS:	
Interest Discount Rate:	7.00% for funding and FASB ASC 960 and 2.43% for current liability.
Assumed Rate of Return on Investments:	7.00% compounded annually, net of investment expenses.
Derivation of Net Investment Return and Discount Rate for FASB ASC 960 Accounting:	The expected return assumptions are established based on a long-term outlook and are based on past experience, future expectations and professional judgment. We have modeled the assumptions based on average long-term future expected returns and their respective capital market assumptions as provided by several investment professionals. Based on the inputs of the Plan's specific target asset allocation, we have established the reasonability of the Plan's assumption.
Operating Expenses:	A total annual amount of \$412,000 paid in monthly installments (\$398,639 at beginning of year). An annual increase of 1% is assumed in subsequent years to incorporate projected increases in PBGC premiums.
Justification for Demographic Assumptions:	The mortality, termination, retirement and disability assumptions are reviewed with each valuation to ensure they are reasonable and represent the actuary's best estimate of the long-term expectations for the Plan. Past experience and anticipated future experience based on industry-specific knowledge and professional judgment are used to verify the reasonability of each of these assumptions.
Mortality:	Healthy Lives: RP-2014 Blue Collar Mortality Tables for employees and healthy annuitants with ages set back one year. Disabled Lives: RP-2014 Disabled Retiree Mortality Table with ages set forward one year. Current Liability: 2019 static mortality tables provided in IRC Regulations Section 1.431(c)(6)-1, as prescribed by IRS Notice 2018-02.
Mortality Improvement:	The current mortality assumption, with no additional mortality improvement, is assumed to be reasonable at this time.

Attachment to: 2021 Schedule MB (Form 5500), Line 6
Plan Name: Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number: 84-0783596
Plan Number: 001

STATEMENT OF ACTUARIAL ASSUMPTIONS/METHODS

(CONTINUED)

ASSUMPTIONS:

Termination Rates: Termination of employees from participation in the Plan is discounted in advance in accordance with a scale based on age. The following is a sample of the termination rates.

Age	Turnover Rates	
	Less than 5 Pension Credits	5 or more Pension Credits
20	17.99%	8.75%
25	21.74%	8.75%
30	18.61%	4.84%
35	16.78%	5.02%
40	15.91%	4.15%
45	15.48%	3.73%
50	15.60%	3.49%
55	13.52%	0.88%
60	13.63%	0.20%

Termination rates stop when a participant is first eligible to retire.

Retirement Rates: Active participants are assumed to retire based on the following rate table:

Age	Retirement Rates
Under 55	0.00%
55-59	10.00%
60-61	15.00%
62	37.50%
63 and over	100.00%

(Prior to January 1, 2021: Active participants were assumed to retire at a rate of 20.00% for ages 60 through 61 and at a rate of 50.00% at age 62.)

Inactive vested participants are assumed to retire at age 64.

Attachment to: 2021 Schedule MB (Form 5500), Line 6
Plan Name: Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number: 84-0783596
Plan Number: 001

STATEMENT OF ACTUARIAL ASSUMPTIONS/METHODS

(CONTINUED)

ASSUMPTIONS:

Disability Rates:	Employees are assumed to become disabled in accordance with a scale based on age. The following is a sample of the disability rates: <table><tr><th>Age</th><th>Disability Rates</th><th>Age</th><th>Disability Rates</th></tr><tr><td>20</td><td>0.03%</td><td>45</td><td>0.17%</td></tr><tr><td>25</td><td>0.04%</td><td>50</td><td>0.29%</td></tr><tr><td>30</td><td>0.06%</td><td>55</td><td>0.50%</td></tr><tr><td>35</td><td>0.07%</td><td>60</td><td>.081%</td></tr><tr><td>40</td><td>0.11%</td><td></td><td></td></tr></table> (Prior to January 1, 2021: Employees were assumed to become disabled at rates double the current rates.)	Age	Disability Rates	Age	Disability Rates	20	0.03%	45	0.17%	25	0.04%	50	0.29%	30	0.06%	55	0.50%	35	0.07%	60	.081%	40	0.11%		
Age	Disability Rates	Age	Disability Rates																						
20	0.03%	45	0.17%																						
25	0.04%	50	0.29%																						
30	0.06%	55	0.50%																						
35	0.07%	60	.081%																						
40	0.11%																								
Form of Benefit:	<u>Benefits accrued prior to January 1, 2017:</u> 50% of participants are assumed to elect a Single Life Annuity with a Five-Year Guarantee and 50% of participants are assumed to elect a Joint & Survivor payment form at retirement. <u>Benefits accrued on and after January 1, 2017:</u> 100% of participants are assumed to elect a Single Life Annuity with a Five-Year Guarantee. All other forms of payment are actuarially equivalent.																								
Late Retirement Behavior:	Actuarial increases are applied to the benefits of vested inactives who are currently past Normal Retirement Age and have not yet applied for benefits.																								
Marital Status:	85% of non-retired participants are assumed to be married. Females are assumed to be four years younger than their spouses.																								
Future Benefit Accruals:	Future contributions are assumed to be made based on 1.225 million total hours worked each Plan Year.																								
Active Participant:	Those with at least 500 hours in the most recent Plan Year and a hire date on or before January 1, 2020.																								
Inactive Vested Participants Over Age 70:	Liabilities for vested inactives over age 70 without service reported in the last three years are excluded from the valuation. Counts are included for PBGC premium purposes.																								
Missing Data:	If not specified, participants are assumed to be male and the same age as the average of participants with the same status code.																								

CHANGES SINCE PRIOR VALUATION

The assumed rates of retirement for active participants were reduced by 25% for ages 60 through 62.

The assumed rates of disability were reduced to half of the previous rates.

The current liability interest rate was changed from 2.95% to 2.43% due to a change in the allowable interest rate range, and the current liability mortality table and disability table were updated as required.

Attachment to:	2021 Schedule MB (Form 5500), Line 6
Plan Name:	Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number:	84-0783596
Plan Number:	001

SUMMARY OF PLAN PROVISIONS

The Sheet Metal Workers' Local No. 9 Pension Trust became effective July 1, 1967 as a result of collective bargaining between the contributing Employers and the Union. The Plan was last restated as of January 1, 2014, last amended effective April 2020, and received a favorable determination letter in 2015. The principal provisions of the Plan as of January 1, 2021 are summarized below.

NORMAL RETIREMENT	
Eligibility	Later of age 65 and 5 years of plan participation.
Monthly Benefit	(a) \$25.80 for each year of Pension Credit earned prior to January 1, 1982; plus (b) 2.0% of contributions made on or after January 1, 1982 and before January 1, 2009; plus (c) 1.8% of contributions made on or after January 1, 2009. (d) Additionally, benefits accrued prior to January 1, 1993 are increased by 3% for Participants who have not incurred a Separation from Covered Employment as of January 1, 1993. The maximum benefit is determined based on the fifty consecutive plan years that would lead to the highest pension calculation.
EARLY RETIREMENT	
Eligibility	Age 55 and 5 years of Vesting Service.
Monthly Benefit	Regular pension amount, reduced by: (a) 0.25% for each month of age less than age 62 to age 60; and (b) 0.50% for each month of age less than age 60.
SERVICE RETIREMENT	
Eligibility	Age 55 and 30 Pension Credits for new participants on or after January 1, 2005. Otherwise, 25 Pension Credits.
Monthly Benefit	Regular Pension amount.
DISABILITY RETIREMENT	
Eligibility	Totally disabled and entitled to a Social Security disability benefit. Also, must have 5 years of Vesting Service and 500 hours of service in each of the two consecutive Plan Years preceding the Plan Year in which the disability began.
Monthly Benefit	Regular Pension amount.

Attachment to:	2021 Schedule MB (Form 5500), Line 6
Plan Name:	Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number:	84-0783596
Plan Number:	001

SUMMARY OF PLAN PROVISIONS

(CONTINUED)

TERMINATION	
Eligibility	Vested at Normal Retirement Age (later of age 65 and fifth anniversary of plan participation) or upon completion of 5 years of Vesting Service.
Monthly Benefit	Regular Pension payable upon attainment of Normal Retirement Age or; Early Pension payable upon attainment of Early Retirement Age.
PRE-RETIREMENT DEATH BENEFIT	
Eligibility	(a) At least 2.5 years of Vesting Service after January 1, 1976 but less than 5 years of Vesting Service or; (b) At least 5 years of Vesting Service Death benefit determined based on eligibility condition satisfied above upon death.
Monthly Benefit	(a) For a Participant with at least 2.5 years of Vesting Service after January 1, 1976, but less than 5 years of Vesting Service—a lump sum payment equivalent to 50% of the contributions made on his or her behalf, up to a maximum of \$10,000, will be paid to his or her beneficiary upon his or her death. (b) For a Participant with at least 5 years of Vesting Service - If married, the surviving spouse is entitled to a Pre-retirement Surviving Spouse Pension. This pension is equal to the amount that would have been payable to the spouse had the Participant retired with a 50% Joint & Survivor Pension at the later of (1) the day before the Participant's death, and (2) the earliest possible date in which the Participant would have been eligible to retire, and died the next day. If the Participant is single, or if the surviving spouse elects to waive the Pre-retirement Surviving Spouse Pension, the beneficiary will receive 60 guaranteed monthly payments of the Participant's Regular Pension amount or the lump sum death benefit in (a).
FORMS OF ANNUITY PAYMENTS	
Normal Form	50% Joint and Survivor Annuity with Pop-Up if married. Single Life Annuity with Five-Year Guarantee otherwise.
Optional Forms	75% Joint and Survivor Pension with Pop-Up.

Attachment to: 2021 Schedule MB (Form 5500), Line 6
Plan Name: Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number: 84-0783596
Plan Number: 001

SUMMARY OF PLAN PROVISIONS

(CONTINUED)

OTHER																																	
Participation	January 1 or July 1 following 500 hours of Service in Covered Employment in the twelve (12) month period from date of hire. If 500 Hours of Service in the first 12 months is not satisfied, the eligibility computation period shall be the Plan Year thereafter.																																
Vesting Service and Pension Credits		<table><tr><th>Hours</th><th>Vesting Service</th><th>Pension Credits</th></tr><tr><td>Less than 500</td><td>0</td><td>0</td></tr><tr><td>500-599</td><td>5/10</td><td>5/12</td></tr><tr><td>600-699</td><td>6/10</td><td>6/12</td></tr><tr><td>700-799</td><td>7/10</td><td>7/12</td></tr><tr><td>800-899</td><td>8/10</td><td>8/12</td></tr><tr><td>900-999</td><td>9/10</td><td>9/12</td></tr><tr><td>1,000-1,099</td><td>1</td><td>10/12</td></tr><tr><td>1,100-1,199</td><td>1</td><td>11/12</td></tr><tr><td>1,200 or more</td><td>1</td><td>1</td></tr></table>	Hours	Vesting Service	Pension Credits	Less than 500	0	0	500-599	5/10	5/12	600-699	6/10	6/12	700-799	7/10	7/12	800-899	8/10	8/12	900-999	9/10	9/12	1,000-1,099	1	10/12	1,100-1,199	1	11/12	1,200 or more	1	1	
Hours	Vesting Service	Pension Credits																															
Less than 500	0	0																															
500-599	5/10	5/12																															
600-699	6/10	6/12																															
700-799	7/10	7/12																															
800-899	8/10	8/12																															
900-999	9/10	9/12																															
1,000-1,099	1	10/12																															
1,100-1,199	1	11/12																															
1,200 or more	1	1																															
Break in Service Rules	A One-Year Break-In-Service is incurred if the participant works less than 500 hours in a plan year. A non-vested Participant incurs a Permanent Break-in-Service when his consecutive One-Year Breaks-in-Service equal the greater of: (a) five years; or (b) the Participant's total Years of Vesting Service.																																
CHANGES SINCE PRIOR VALUATION	None.																																

Attachment to:	2021 Schedule MB (Form 5500), Line 6e
Plan Name:	Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number:	84-0783596
Plan Number:	001

EXPENSE LOADING

The Plan's annual administrative expense assumption is a single expense load of \$412,000 payable monthly (equivalent to \$398,639 at the beginning of the year). The expense load factor is calculated as a percentage of the Plan's beginning-of-year gross normal cost excluding the administrative expense assumption.

Attachment to: 2021 Schedule MB (Form 5500), Line 8b(1)
Plan Name: Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number: 84-0783596
Plan Number: 001

SCHEDULE OF PROJECTION OF EXPECTED BENEFIT PAYMENTS

Plan Year	Expected Annual Benefit Payments
2021	\$ 11,348,565
2022	11,788,212
2023	12,172,972
2024	12,412,080
2025	12,592,225
2026	12,828,902
2027	13,043,603
2028	13,207,422
2029	13,265,413
2030	13,399,747

Attachment to: 2021 Schedule MB (Form 5500), Line 8b(2)
Plan Name: Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number: 84-0783596
Plan Number: 001

SCHEDULE OF ACTIVE PARTICIPANT DATA

DISTRIBUTION OF ACTIVE PARTICIPANTS BY AGE AND CREDITED SERVICE (FOR 2021 SCHEDULE MB)											
Age Group	Years Of Credited Service										Total
	< 1	1 - 4	5 - 9	10 - 14	15 - 19	20 - 24	25 - 29	30 - 34	35 - 39	40 +	
Under 25	3	30	0	0	0	0	0	0	0	0	33
25 - 29	1	44	17	0	0	0	0	0	0	0	62
30 - 34	3	46	31	19	2	0	0	0	0	0	101
35 - 39	2	47	33	30	24	1	0	0	0	0	137
40 - 44	2	37	26	26	18	21	0	0	0	0	130
45 - 49	3	27	12	23	23	20	9	0	0	0	117
50 - 54	0	6	12	5	10	13	18	3	1	0	68
55 - 59	1	12	8	10	9	10	8	5	8	0	71
60 - 64	0	5	6	4	9	9	1	4	9	6	53
65 - 69	0	1	1	0	3	0	0	0	0	2	7
70 and Over	0	0	0	1	0	0	0	1	0	0	2
Total	15	255	146	118	98	74	36	13	18	8	781

Attachment to: 2021 Schedule MB (Form 5500), Lines 9c and 9h
Plan Name: Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number: 84-0783596
Plan Number: 001

SCHEDULE OF FUNDING STANDARD ACCOUNT BASES

	Type of Base	Description	Date Established	Beginning Of Year		
				Balance	Remaining Period	Payment
Charges	3	Plan Amendment	1/1/1993	\$ 313,316	2.00	\$ 161,960
	4	Change in Assumptions	1/1/1993	487,071	2.00	251,768
	3	Plan Amendment	7/1/1994	71,584	3.50	22,207
	3	Plan Amendment	1/1/1995	31,377	4.00	8,655
	3	Plan Amendment	7/1/1995	65,161	4.50	16,243
	3	Plan Amendment	1/1/1996	710,401	5.00	161,924
	4	Change in Assumptions	1/1/1997	99,261	6.00	19,464
	3	Plan Amendment	1/1/1997	1,184,028	6.00	232,155
	3	Plan Amendment	1/1/1998	234,971	7.00	40,746
	3	Plan Amendment	1/1/1999	1,394,634	8.00	218,278
	3	Plan Amendment	7/1/1999	1,378,997	8.00	215,831
	4	Change in Assumptions	1/1/2000	1,599,359	9.00	229,419
	3	Plan Amendment	1/1/2000	1,978,429	9.00	283,795
	3	Plan Amendment	1/1/2001	135,594	10.00	18,044
	3	Plan Amendment	1/1/2002	2,997,563	11.00	373,595
	3	Plan Amendment	1/1/2003	648,849	12.00	76,346
	3	Plan Amendment	1/1/2004	455,439	13.00	50,930
	3	Plan Amendment	1/1/2005	1,143,738	14.00	122,224
	3	Plan Amendment	1/1/2006	371,613	15.00	38,131
	3	Plan Amendment	1/1/2007	1,061,855	16.00	105,053
	3	Plan Amendment	1/1/2008	908,917	2.00	469,825
	3	Plan Amendment	1/1/2009	212,295	3.00	75,606
	8	Pension Relief (2008 Investment Loss)	1/1/2009	19,956,392	17.00	1,910,314
	3	Plan Amendment	1/1/2010	267,216	4.00	73,727
	3	Plan Amendment	1/1/2011	169,154	5.00	38,556
	8	Pension Relief (2008 Investment Loss)	1/1/2011	6,030,907	17.00	577,306
	4	Change in Assumptions	1/1/2011	2,552,998	5.00	581,917
	8	Pension Relief (2008 Investment Loss)	1/1/2012	11,133,043	17.00	1,065,705
	8	Pension Relief (2008 Investment Loss)	1/1/2013	5,305,192	17.00	507,836
	3	Plan Amendment	1/1/2014	909,586	8.00	142,360
	8	Pension Relief (2008 Investment Loss)	1/1/2014	4,511,645	17.00	431,874
	1	Experience Loss	1/1/2015	3,608,636	9.00	517,642
	3	Plan Amendment	1/1/2015	657,279	9.00	94,284
	1	Experience Loss	1/1/2016	5,937,229	10.00	790,026
	3	Plan Amendment	1/1/2016	1,116,488	10.00	148,564
	1	Experience Loss	1/1/2017	5,842,623	11.00	728,181
	1	Experience Loss	1/1/2018	4,086,744	12.00	480,869
	1	Experience Loss	1/1/2019	6,242,183	13.00	698,021
	1	Experience Loss	1/1/2020	3,991,632	14.00	426,564
				<u>\$ 99,803,399</u>		<u>\$ 12,405,945</u>

Attachment to: 2021 Schedule MB (Form 5500), Lines 9c and 9h
Plan Name: Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number: 84-0783596
Plan Number: 001

SCHEDULE OF FUNDING STANDARD ACCOUNT BASES

	Type of Base	Description	Date Established	Beginning Of Year		
				Balance	Remaining Period	Payment
Credits	4	Change in Assumptions	1/1/1995	\$ (175,114)	4.00	\$ (48,314)
	4	Change in Assumptions	1/1/2002	(1,051,164)	11.00	(131,010)
	4	Change in Assumptions	1/1/2006	(4,599,359)	15.00	(471,950)
	1	Experience Gain	1/1/2007	(539,016)	1.00	(539,016)
	1	Experience Gain	1/1/2008	(1,312,434)	2.00	(678,405)
	1	Experience Gain (Balancing Base)	1/1/2009	(1,408,307)	3.00	(501,529)
	8	Pension Relief (2008 Investment Loss) ¹	1/1/2010	(8,579,285)	17.00	(821,248)
	1	Experience Gain (Balancing Base)	1/1/2010	(2,742,163)	4.00	(756,603)
	4	Change in Assumptions	1/1/2010	(1,122,779)	4.00	(309,791)
	1	Experience Gain (Balancing Base)	1/1/2011	(5,226,422)	5.00	(1,191,284)
	1	Experience Gain (Balancing Base)	1/1/2012	(3,367,373)	6.00	(660,245)
	1	Experience Gain (Balancing Base)	1/1/2013	(4,386,987)	7.00	(760,766)
	1	Experience Gain (Balancing Base)	1/1/2014	(2,711,400)	8.00	(424,366)
	4	Assumption Change	1/1/2015	(1,804,387)	9.00	(258,830)
	4	Assumption Change	1/1/2016	(1,129,886)	10.00	(150,346)
	4	Assumption Change	1/1/2017	(97,314)	11.00	(12,128)
	4	Assumption Change	1/1/2018	(1,129,850)	12.00	(132,945)
	4	Assumption Change	1/1/2019	(2,254,136)	13.00	(252,065)
	1	Experience Gain	1/1/2021	(1,507,618)	15.00	(154,699)
	4	Assumption Change	1/1/2021	(1,293,710)	15.00	(132,750)
	5	Method Change	1/1/2021	(19,826,630)	10.00	(2,638,193)
				\$ (66,265,334)		\$ (11,026,483)

¹ Reflects amortization relief on the 2008 Plan Year eligible net investment loss based on the Preservation of Access to Care for Medicare Beneficiaries and Pension Relief Act of 2010.

Attachment to: 2021 Schedule MB (Form 5500), Line 11
Plan Name: Sheet Metal Workers' Local No. 9 Pension Trust
Employer ID Number: 84-0783596
Plan Number: 001

JUSTIFICATION FOR CHANGE IN ACTUARIAL ASSUMPTIONS

The assumed disability rates were decreased by 50% based on recent historical and future anticipated experience.

The assumed retirement age for active participants ages 60 to 62 was decreased by 25% based on recent historical and future anticipated experience.

The current liability interest rate was changed from 2.95% to 2.43% recognizing that the rate must be within the permissible corridor under IRC Section 431(c)(6)(E). The current liability mortality table was also changed in accordance with IRS Regulations 1.431(c)(6)-1 and 1.430(h)(3)-1.

Schedule H, Line 4i
Schedule of Assets (Held at End of Year)

SUPPLEMENTAL SCHEDULE 1

Colorado Sheet Metal Workers' Local 9 Pension Trust
EIN # 84-0783596
Year Ended December 31, 2021

		Fair Value @ 12/31/21	Cost Value @ 12/31/21
Interest Bearing Cash - see Statement of Interest Bearing Cash			
Total Interest Bearing Cash	Pg. 2	<u>3,068,379</u>	<u>3,068,379</u>
Corporate stocks (other than employer securities) - Common:			
Domestic Common Stocks	Pg. 20	5,809,718	4,594,501
Foreign Stocks	Pg. 22	180,925	121,878
Total Corporate Stocks		<u>5,990,643</u>	<u>4,716,379</u>
Partnership/joint venture interests:			
First Eagle International Value Fund		11,402,138	9,109,804
Beach Point Loan Fund		7,326,809	5,669,016
Total Partnership/joint venture		<u>18,728,947</u>	<u>14,778,820</u>
Value of interest in common/collective trusts:			
State Street Global Russell 2000		5,236,481	1,651,926
State Street Global Russell 1000		20,834,166	11,669,910
State Street Global TIPS Index		7,211,918	4,736,540
State Street U.S. Aggregate Bond Index NL Fund		20,938,468	15,994,304
EVTC/Parametric Emerging Mkts Equity Fund		13,461,571	11,929,082
ASB Allegiance Real Estate Fund		9,836,203	4,189,011
GQG Partners		12,139,024	10,375,000
AFL-CIO Building Investment Trust		8,781,441	8,499,492
Total Common Collective Trusts		<u>98,439,272</u>	<u>69,045,265</u>
Value of interest in 103-12 investment entities:			
Lazard Emerging Markets Debt Blend Fund		<u>1,990,250</u>	<u>250,000</u>
		<u>1,990,250</u>	<u>250,000</u>
Value of interest in registered investment companies:			
Mutual funds- Equity	Pg. 24	50,853,025	29,871,809
Mutual Funds - Fixed Income	Pg. 24	15,462,919	15,207,657.00
		<u>66,315,944</u>	<u>45,079,466</u>

Colorado Sheet Metal Workers' Local 9 Pension Trust
Schedule of Interest Bearing Cash
EIN # 84-0783596
Year Ended December 31, 2021

	Fair Value	Cost Value
Interest Bearing Cash	<u>@ 12/31/21</u>	<u>@ 12/31/21</u>
US Bank		
First American Treasury Obligation Fund	3,068,379	3,068,379
Total Interest Bearing Cash	<u>3,068,379</u>	<u>3,068,379</u>

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SHEET METAL WORKERS PEN-CONSOLIDATED
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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Domestic Common Stocks						
A A R Corp 000361105 Asset Minor Code 42 ACCOUNT	1,595.000	62,252.85 39.0300	31,978.67	30,274.18 1,933.29	57,770.90	.00
Azz Inc 002474104 Asset Minor Code 42 ACCOUNT	1,802.000	99,632.58 55.2900	82,012.24	17,620.34 13,425.52	86,204.72	.00
Acadia Pharmaceuticals Inc 004225108 Asset Minor Code 42 ACCOUNT	.000	.00 23.3400	.00	.00 - 6,503.76	.00 .00	.00 0.00
Advance Auto Parts Inc 00751Y106 Asset Minor Code 42 ACCOUNT	.000	.00 239.8800	.00	.00 - 587.14	.00 .00	.00 0.00
Advanced Micro Devices Inc 007903107 Asset Minor Code 42 ACCOUNT	.000	.00 143.9000	.00	.00 - 120,175.77	.00 .00	.00 0.00
Agilent Technologies Inc 00846U101 Asset Minor Code 42 ACCOUNT	.000	.00 159.6500	.00	.00 - 16,939.40	.00 .00	.00 0.00
Align Technology Inc 016255101 Asset Minor Code 42 ACCOUNT	.000	.00 657.1800	.00	.00 - 9,801.49	.00 .00	.00 0.00
Allete Inc 018522300 Asset Minor Code 42 ACCOUNT	1,037.000	68,804.95 66.3500	69,083.09	- 278.14 4,195.49	64,609.46	.00

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SHEET METAL WORKERS PEN-CONSOLIDATED
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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Amphenol Corp CI A 032095101 Asset Minor Code 42 ACCOUNT	.000	.00 87.4600	.00	.00 - 34,890.52	.00 .00	.00 0.00
Angiodynamics Inc 03475V101 Asset Minor Code 42 ACCOUNT	1,163.000	32,075.54 27.5800	22,528.72	9,546.82 14,246.75	17,828.79 14,246.75	.00 0.00
Apogee Enterprises Inc 037598109 Asset Minor Code 42 ACCOUNT	1,971.000	94,903.65 48.1500	72,620.99	22,282.66 22,282.66	72,620.99 22,282.66	.00 1.83
Arena Pharmaceuticals Inc 040047607 Asset Minor Code 42 ACCOUNT	1,030.000	95,728.20 92.9400	63,870.79	31,857.41 31,857.41	63,870.79 31,857.41	.00 0.00
Astec Industries Inc 046224101 Asset Minor Code 42 ACCOUNT	986.000	68,300.22 69.2700	34,508.29	33,791.93 10,206.98	58,093.24 10,206.98	.00 0.69
Autodesk Inc 052769106 Asset Minor Code 42 ACCOUNT	.000	.00 281.1900	.00	.00 - 53,091.75	.00	.00
Ball Corp 058498106 Asset Minor Code 42 ACCOUNT	.000	.00 96.2700	.00	.00 - 74,758.08	.00	.00
Beacon Roofing Supply Inc 073685109 Asset Minor Code 42 ACCOUNT	939.000	53,851.65 57.3500	38,923.53	14,928.12 11,832.72	41,150.53 12,701.12	.00 0.00
Belden Inc 077454106 Asset Minor Code 42 ACCOUNT	1,757.000	115,487.61 65.7300	90,897.66	24,589.95 24,589.95	90,897.66	87.85

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Biomarin Pharmaceutical Inc 09061G101 Asset Minor Code 42 ACCOUNT	.000	.00 88.3500	.00	.00 - 2,587.26	.00 .00	.00 0.00
Booz Allen Hamilton Holding 099502106 Asset Minor Code 42 ACCOUNT	.000	.00 84.7900	.00	.00 - 6,417.58	.00 .00	.00 0.00
Bright Horizons Family Solut 109194100 Asset Minor Code 42 ACCOUNT	.000	.00 125.8800	.00	.00 - 54,940.08	.00 .00	.00 0.00
Builders Firstsource Inc 12008R107 Asset Minor Code 42 ACCOUNT	.000	.00 85.7100	.00	.00 - 20,046.27	.00 .00	.00 0.00
Burlington Stores Inc 122017106 Asset Minor Code 42 ACCOUNT	.000	.00 291.5100	.00	.00 - 46,399.44	.00 .00	.00 0.00
Csg Sys Intl Inc 126349109 Asset Minor Code 42 ACCOUNT	1,185.000	68,279.70 57.6200	51,558.23	16,721.47 14,779.36	53,500.34 14,779.36	.00 1.84
Caesars Entertainment Inc 12769G100 Asset Minor Code 42 ACCOUNT	.000	.00 93.5300	.00	.00 - 215,184.74	.00 .00	.00 0.00
California Wtr Svc Group 130788102 Asset Minor Code 42 ACCOUNT	.000	.00 71.8600	.00	.00 - 6,665.61	.00 .00	.00 0.00

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Carvana Co 146869102 Asset Minor Code 42 ACCOUNT	.000	.00 231.7900	.00	.00 - 33,357.52	.00	.00
Chembio Diagnostics Inc 163572209 Asset Minor Code 42 ACCOUNT	.000	.00 1.1400	.00	.00 3,909.26	.00 .00	.00 0.00
Chipotle Mexican Grill Inc 169656105 Asset Minor Code 42 ACCOUNT	.000	.00 1,748.2500	.00	.00 - 7,314.87	.00 .00	.00 0.00
Circor International Inc 17273K109 Asset Minor Code 42 ACCOUNT	2,740.000	74,473.20 27.1800	63,038.83	11,434.37 - 24,218.65	96,612.51 - 22,139.31	.00 0.00
Cintas Corp 172908105 Asset Minor Code 42 ACCOUNT	.000	.00 443.1700	.00	.00 - 115,004.70	.00	.00
Coeur Mining Inc 192108504 Asset Minor Code 42 ACCOUNT	1,267.000	6,385.68 5.0400	4,358.23	2,027.45 - 6,727.77	13,113.45 - 6,727.77	.00 0.00
Columbus McKinnon Corporation 199333105 Asset Minor Code 42 ACCOUNT	958.000	44,317.08 46.2600	31,065.03	13,252.05 7,168.45	36,825.52 7,491.56	.00 0.61
Community Bk Sys Inc 203607106 Asset Minor Code 42 ACCOUNT	800.000	59,584.00 74.4800	47,581.06	12,002.94 9,736.00	49,848.00 9,736.00	344.00 2.31

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SHEET METAL WORKERS PEN-CONSOLIDATED
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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Commvault Systems Inc 204166102 Asset Minor Code 42 ACCOUNT	.000	.00 68.9200	.00	.00 - 6,158.90	.00 .00	.00 0.00
Compass Minerals International 20451N101 Asset Minor Code 42 ACCOUNT	3,762.000	192,162.96 51.0800	222,167.41	- 30,004.45 - 40,430.76	232,593.72 - 40,430.76	.00 1.17
Conduent Inc 206787103 Asset Minor Code 42 ACCOUNT	8,432.000	45,026.88 5.3400	41,321.81	3,705.07 2,166.24	42,860.64	.00
Cooper Cos Inc 216648402 Asset Minor Code 42 ACCOUNT	.000	.00 418.9400	.00	.00 - 17,428.25	.00	.00
Core Mark Hldg Co Inc 218681104 Asset Minor Code 42 ACCOUNT	.000	.00 45.6500	.00	.00 - 3,658.16	.00 .00	.00 0.00
Coty Inc Com CI A 222070203 Asset Minor Code 42 ACCOUNT	34,440.000	361,620.00 10.5000	284,646.57	76,973.43 76,973.43	281,933.71 79,686.29	.00 0.00
Coupa Software Inc 22266L106 Asset Minor Code 42 ACCOUNT	.000	.00 158.0500	.00	.00 - 129,863.73	.00 .00	.00 0.00
Cousins Properties Inc 222795502 Asset Minor Code 42 ACCOUNT	835.000	33,633.80 40.2800	25,734.58	7,899.22 4,341.72	29,292.08 4,341.72	.00 3.18

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Cree Research Inc 225447101 Asset Minor Code 42 ACCOUNT	.000	.00 86.0000	.00	.00 - 55,557.08	.00 .00	.00 0.00
Devon Energy Corp 25179M103 Asset Minor Code 42 ACCOUNT	.000	.00 44.0500	.00	.00 3,692.89	.00 .00	.00 0.00
Dexcom Inc 252131107 Asset Minor Code 42 ACCOUNT	.000	.00 536.9500	.00	.00 - 32,660.23	.00	.00
Dollar General Corp 256677105 Asset Minor Code 42 ACCOUNT	.000	.00 235.8300	.00	.00 - 42,653.44	.00 .00	.00 0.00
Dril Quip Inc 262037104 Asset Minor Code 42 ACCOUNT	2,134.000	41,997.12 19.6800	64,437.86	- 22,440.74 - 20,985.52	62,982.64	.00
Dynatrace Inc 268150109 Asset Minor Code 42 ACCOUNT	.000	.00 60.3500	.00	.00 - 3,979.59	.00	.00
Edwards Lifesciences Corp 28176E108 Asset Minor Code 42 ACCOUNT	.000	.00 129.5500	.00	.00 - 37,339.14	.00 .00	.00 0.00
Ehealth Inc 28238P109 Asset Minor Code 42 ACCOUNT	2,208.000	56,304.00 25.5000	105,307.01	- 49,003.01 - 49,003.01	105,307.01	.00
Electronic Arts Inc 285512109 Asset Minor Code 42 ACCOUNT	.000	.00 131.9000	.00	.00 - 32,415.04	.00 .00	.00 0.00

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Element Solutions Inc 28618M106 Asset Minor Code 42 ACCOUNT	3,230.000	78,424.40 24.2800	53,453.81	24,970.59 15,518.55	62,905.85 15,518.55	.00 1.32
Empire State Realty Trust In 292104106 Asset Minor Code 42 ACCOUNT	3,098.000	27,572.20 8.9000	42,905.30	- 15,333.10 - 1,301.16	28,873.36 - 1,301.16	.00 1.57
Energys 29275Y102 Asset Minor Code 42 ACCOUNT	1,028.000	81,273.68 79.0600	69,926.99	11,346.69 - 12,781.91	84,980.23	.00
Enterprise Finl Svcs 293712105 Asset Minor Code 42 ACCOUNT	1,033.000	48,643.97 47.0900	43,249.22	5,394.75 13,997.00	36,103.35 12,540.62	.00 1.78
Equity Commonwealth 294628102 Asset Minor Code 42 ACCOUNT	9,014.000	233,462.60 25.9000	274,187.23	- 40,724.63 - 11,985.80	245,448.40 - 11,985.80	.00 0.00
Evolent Health Inc A 30050B101 Asset Minor Code 42 ACCOUNT	805.000	22,274.35 27.6700	13,113.98	9,160.37 9,180.05	13,010.91 9,263.44	.00 0.00
Exact Sciences Corp 30063P105 Asset Minor Code 42 ACCOUNT	.000	.00 77.8300	.00	.00 - 94,617.64	.00 .00	.00 0.00
F M C Corporation 302491303 Asset Minor Code 42 ACCOUNT	.000	.00 109.8900	.00	.00 - 53,898.87	.00 .00	.00 0.00

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ACCOUNT

Period from January 1, 2021 to December 31, 2021

ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Faro Technologies Inc 311642102 Asset Minor Code 42 ACCOUNT	1,940.000	135,838.80 70.0200	91,142.28	44,696.52 - 6,762.83	137,022.20 - 1,183.40	.00 0.00
Ferro Corp 315405100 Asset Minor Code 42 ACCOUNT	.000	.00 21.8300	.00	.00 - 2,532.49	.00	.00
First Busey Corp 319383204 Asset Minor Code 42 ACCOUNT	1,515.000	41,086.80 27.1200	30,713.97	10,372.83 7,822.55	32,648.25 8,438.55	.00 3.39
Five9 Inc 338307101 Asset Minor Code 42 ACCOUNT	.000	.00 137.3200	.00	.00 - 88,794.19	.00	.00
Floor Decor Holdings Inc A 339750101 Asset Minor Code 42 ACCOUNT	.000	.00 130.0100	.00	.00 - 61,232.90	.00 .00	.00 0.00
Glacier Bancorp Inc New 37637Q105 Asset Minor Code 42 ACCOUNT	1,156.000	65,545.20 56.7000	40,298.05	25,247.15 11,463.15	53,172.00 12,373.20	.00 0.00
Glatfelter Corporation 377320106 Asset Minor Code 42 ACCOUNT	6,851.000	117,837.20 17.2000	94,960.55	22,876.65 8,284.76	109,793.71 8,043.49	959.14 3.26
Global Payments Inc 37940X102 Asset Minor Code 42 ACCOUNT	.000	.00 135.1800	.00	.00 - 139,839.49	.00 .00	.00 0.00

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ACCOUNT

Period from January 1, 2021 to December 31, 2021

ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Haemonetics Corp 405024100 Asset Minor Code 42 ACCOUNT	.000	.00 53.0400	.00	.00 - 8,125.60	.00 .00	.00 0.00
Hain Celestial Group Inc 405217100 Asset Minor Code 42 ACCOUNT	5,900.000	251,399.00 42.6100	139,585.01	111,813.99 940.98	237,606.06 13,792.94	.00 0.00
Harley Davidson Inc 412822108 Asset Minor Code 42 ACCOUNT	2,046.000	77,113.74 37.6900	70,203.28	6,910.46 6,910.46	69,453.75 7,659.99	.00 1.67
Helmerich Payne Inc 423452101 Asset Minor Code 42 ACCOUNT	1,402.000	33,227.40 23.7000	53,423.12	- 20,195.72 757.08	32,470.32 757.08	.00 4.22
Hologic Inc 436440101 Asset Minor Code 42 ACCOUNT	.000	.00 76.5600	.00	.00 - 15,211.81	.00	.00
Humana Inc 444859102 Asset Minor Code 42 ACCOUNT	.000	.00 463.8600	.00	.00 - 12,333.40	.00	.00
Huron Consulting Group Inc 447462102 Asset Minor Code 42 ACCOUNT	595.000	29,690.50 49.9000	25,096.16	4,594.34 - 5,384.75	35,075.25 - 5,384.75	.00 0.00
Iac Interactivecorp New 44891N109 Asset Minor Code 42 ACCOUNT	.000	.00 158.7400	.00	.00 - 79,573.76	.00 .00	.00 0.00

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ACCOUNT

Period from January 1, 2021 to December 31, 2021

ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Icu Medical Inc 44930G107 Asset Minor Code 42 ACCOUNT	424.000	100,632.16 237.3400	77,185.10	23,447.06 10,887.86	89,744.30 10,887.86	.00 0.00
Idexx Labs Inc 45168D104 Asset Minor Code 42 ACCOUNT	.000	.00 658.4600	.00	.00 - 65,466.74	.00	.00
Illumina Inc 452327109 Asset Minor Code 42 ACCOUNT	.000	.00 380.4400	.00	.00 - 5,429.88	.00	.00
Incyte Corp 45337C102 Asset Minor Code 42 ACCOUNT	.000	.00 73.4000	.00	.00 2,607.83	.00	.00
Insulet Corp 45784P101 Asset Minor Code 42 ACCOUNT	.000	.00 266.0700	.00	.00 - 70,103.08	.00	.00
Inter Parfums Inc 458334109 Asset Minor Code 42 ACCOUNT	153.000	16,355.70 106.9000	9,054.78	7,300.92 710.13	9,316.64 7,039.06	.00 1.87
Investors Bancorp Inc 46146L101 Asset Minor Code 42 ACCOUNT	.000	.00 15.1500	.00	.00 5,133.70	.00 .00	.00 0.00
Kbr Inc 48242W106 Asset Minor Code 42 ACCOUNT	1,802.000	85,811.24 47.6200	64,884.23	20,927.01 20,927.01	64,884.23	198.22
Kla Corporation 482480100 Asset Minor Code 42 ACCOUNT	.000	.00 430.1100	.00	.00 - 37,258.54	.00 .00	.00 0.00

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Period from January 1, 2021 to December 31, 2021

ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Kkr Co Inc A 48251W104 Asset Minor Code 42 ACCOUNT	.000	.00 74.5000	.00	.00 - 71,480.16	.00	.00
Knight Swift Transportation 499049104 Asset Minor Code 42 ACCOUNT	.000	.00 60.9400	.00	.00 - 16,054.58	.00 .00	.00 0.00
Lpl Financial Holdings Inc 50212V100 Asset Minor Code 42 ACCOUNT	.000	.00 160.0900	.00	.00 - 13,189.20	.00 .00	.00 0.00
L3Harris Technologies Inc 502431109 Asset Minor Code 42 ACCOUNT	.000	.00 213.2400	.00	.00 10,763.10	.00 .00	.00 0.00
Lakeland Financial Corp 511656100 Asset Minor Code 42 ACCOUNT	708.000	56,739.12 80.1400	21,057.06	35,682.06 4,280.66	37,934.64 18,804.48	.00 2.00
Lam Research Corp 512807108 Asset Minor Code 42 ACCOUNT	.000	.00 719.1500	.00	.00 - 144,535.81	.00 .00	.00 0.00
Leidos Holdings Inc 525327102 Asset Minor Code 42 ACCOUNT	.000	.00 88.9000	.00	.00 - 28,391.64	.00 .00	.00 0.00
Live Nation Entertainment Inc 538034109 Asset Minor Code 42 ACCOUNT	.000	.00 119.6900	.00	.00 - 1,032.84	.00 .00	.00 0.00

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Lululemon Athletica Inc 550021109 Asset Minor Code 42 ACCOUNT	.000	.00 391.4500	.00	.00 - 58,515.22	.00 .00	.00 0.00
Msci Inc 55354G100 Asset Minor Code 42 ACCOUNT	.000	.00 612.6900	.00	.00 - 48,314.79	.00	.00
Magellan Health, Inc 559079207 Asset Minor Code 42 ACCOUNT	.000	.00 94.9900	.00	.00 - 35,481.82	.00 .00	.00 0.00
Mastec Inc 576323109 Asset Minor Code 42 ACCOUNT	.000	.00 92.2800	.00	.00 - 195.00	.00	.00
Mattel Inc 577081102 Asset Minor Code 42 ACCOUNT	.000	.00 21.5600	.00	.00 - 95,579.19	.00	.00
Mednax Inc 58502B106 Asset Minor Code 42 ACCOUNT	1,819.000	49,494.99 27.2100	30,884.34	18,610.65 - 20,363.75	44,638.26	.00
Microchip Technology Inc 595017104 Asset Minor Code 42 ACCOUNT	.000	.00 87.0600	.00	.00 - 75,011.28	.00 .00	.00 0.00
Moderna Inc 60770K107 Asset Minor Code 42 ACCOUNT	.000	.00 253.9800	.00	.00 - 31,643.16	.00	.00
Modine Manufacturing Co 607828100 Asset Minor Code 42 ACCOUNT	3,484.000	35,153.56 10.0900	39,011.10	- 3,857.54 - 3,857.54	39,011.10 - 3,857.54	.00 0.00

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Mongodb Inc 60937P106 Asset Minor Code 42 ACCOUNT	.000	.00 529.3500	.00	.00 - 76,198.17	.00	.00
Moodys Corp 615369105 Asset Minor Code 42 ACCOUNT	.000	.00 390.5800	.00	.00 - 24,668.25	.00	.00
NCR Corporation 62886E108 Asset Minor Code 42 ACCOUNT	5,730.000	230,346.00 40.2000	116,508.31	113,837.69 - 49,950.55	217,407.91 12,938.09	.00 0.00
Nasdaq Inc 631103108 Asset Minor Code 42 ACCOUNT	.000	.00 210.0100	.00	.00 - 23,931.26	.00	.00
National Bank Hold CI A 633707104 Asset Minor Code 42 ACCOUNT	2,260.000	99,304.40 43.9400	54,477.28	44,827.12 23,819.85	74,037.60 25,266.80	.00 2.09
New Jersey Resources Corp 646025106 Asset Minor Code 42 ACCOUNT	1,629.000	66,886.74 41.0600	58,034.18	8,852.56 8,305.29	58,581.45 8,305.29	531.06 3.53
Nextier Oilfield Solutions I 65290C105 Asset Minor Code 42 ACCOUNT	.000	.00 3.5500	.00	.00 11,007.57	.00 .00	.00 0.00
Nuance Communications Inc 67020Y100 Asset Minor Code 42 ACCOUNT	.000	.00 55.3200	.00	.00 - 23,456.91	.00 .00	.00 0.00
O G E Energy Corp 670837103 Asset Minor Code 42 ACCOUNT	1,182.000	45,365.16 38.3800	43,682.75	1,682.41 1,682.41	43,682.75 1,682.41	.00 4.27

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
O Reilly Automotive Inc 67103H107 Asset Minor Code 42 ACCOUNT	.000	.00 706.2300	.00	.00 - 38,296.11	.00 .00	.00 0.00
Okta Inc 679295105 Asset Minor Code 42 ACCOUNT	.000	.00 224.1700	.00	.00 - 30,334.92	.00	.00
Orthofix Medical Inc 68752M108 Asset Minor Code 42 ACCOUNT	5,568.000	173,109.12 31.0900	236,535.59	- 63,426.47 - 65,396.56	238,505.68 - 65,396.56	.00 0.00
Pdc Energy Inc 69327R101 Asset Minor Code 42 ACCOUNT	1,098.000	53,560.44 48.7800	26,229.68	27,330.76 50,667.63	22,541.94	.00
Pnm Resources Inc 69349H107 Asset Minor Code 42 ACCOUNT	1,585.000	72,291.85 45.6100	68,559.31	3,732.54 - 4,575.71	76,867.56 - 4,575.71	.00 3.05
Pacific Premier Bancorp Inc 69478X105 Asset Minor Code 42 ACCOUNT	1,472.000	58,924.16 40.0300	58,437.82	486.34 486.34	58,437.82 486.34	.00 3.30
Palo Alto Networks Inc 697435105 Asset Minor Code 42 ACCOUNT	.000	.00 556.7600	.00	.00 1,240.32	.00 .00	.00 0.00
Papa Johns Intl Inc 698813102 Asset Minor Code 42 ACCOUNT	173.000	23,090.31 133.4700	7,814.23	15,276.08 6,566.25	14,679.05 8,411.26	.00 1.05

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Paycom Software Inc 70432V102 Asset Minor Code 42 ACCOUNT	.000	.00 415.1900	.00	.00 - 119,675.38	.00 .00	.00 0.00
Physicians Realty Trust 71943U104 Asset Minor Code 42 ACCOUNT	2,491.000	46,905.53 18.8300	43,209.03	3,696.50 2,565.73	44,339.80 2,565.73	.00 4.89
Pilgrims Pride Corp 72147K108 Asset Minor Code 42 ACCOUNT	.000	.00 28.2000	.00	.00 - 792.24	.00 .00	.00 0.00
Planet Fitness Inc CI A 72703H101 Asset Minor Code 42 ACCOUNT	.000	.00 90.5800	.00	.00 - 26,686.31	.00 .00	.00 0.00
Progress Software Corp 743312100 Asset Minor Code 42 ACCOUNT	3,963.000	191,294.01 48.2700	137,053.46	54,240.55 9,659.06	178,381.21 12,912.80	.00 1.45
Quanex Bldg Prods Corp 747619104 Asset Minor Code 42 ACCOUNT	2,572.000	63,734.16 24.7800	27,048.46	36,685.70 3,805.00	57,021.24 6,712.92	.00 1.29
Quanta Svcs Inc 74762E102 Asset Minor Code 42 ACCOUNT	.000	.00 114.6600	.00	.00 - 57,928.65	.00 .00	.00 0.00
Quotient Technology Inc 749119103 Asset Minor Code 42 ACCOUNT	7,391.000	54,841.22 7.4200	65,649.26	- 10,808.04 - 16,232.02	71,073.24 - 16,232.02	.00 0.00

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Rev Group Inc 749527107 Asset Minor Code 42 ACCOUNT	6,940.000	98,201.00 14.1500	75,414.05	22,786.95 19,394.52	77,393.28	347.00
Range Resources Corp 75281A109 Asset Minor Code 42 ACCOUNT	1,612.000	28,741.96 17.8300	21,586.67	7,155.29 7,155.29	21,586.67 7,155.29	.00 0.00
Regal Beloit Corp 758750103 Asset Minor Code 42 ACCOUNT	1,594.000	271,266.92 170.1800	127,453.86	143,813.06 53,610.01	195,759.14 75,507.78	526.02 0.78
Renasant Corp 75970E107 Asset Minor Code 42 ACCOUNT	1,035.000	39,278.25 37.9500	31,645.38	7,632.87 4,417.69	34,858.80	227.70
Resmed Inc 761152107 Asset Minor Code 42 ACCOUNT	.000	.00 260.4800	.00	.00 - 32,256.19	.00	.00
Ringcentral Inc Class A 76680R206 Asset Minor Code 42 ACCOUNT	.000	.00 187.3500	.00	.00 - 102,524.20	.00 .00	.00 0.00
Rockwell Automation Inc 773903109 Asset Minor Code 42 ACCOUNT	.000	.00 348.8500	.00	.00 - 32,164.40	.00 .00	.00 0.00
Roku Inc 77543R102 Asset Minor Code 42 ACCOUNT	.000	.00 228.2000	.00	.00 4,859.19	.00	.00
Ross Stores Inc 778296103 Asset Minor Code 42 ACCOUNT	.000	.00 114.2800	.00	.00 - 42,653.83	.00 .00	.00 0.00

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
SBA Communications Corp 78410G104 Asset Minor Code 42 ACCOUNT	.000	.00 389.0200	.00	.00 - 30,779.83	.00 .00	.00 0.00
S P X Corp 784635104 Asset Minor Code 42 ACCOUNT	2,287.000	136,488.16 59.6800	76,449.94	60,038.22 2,250.15	124,574.00	.00
Sp Plus Corp 78469C103 Asset Minor Code 42 ACCOUNT	3,021.000	85,252.62 28.2200	86,249.33	- 996.71 - 8,342.22	93,594.84	.00
Svb Finl Group 78486Q101 Asset Minor Code 42 ACCOUNT	.000	.00 678.2400	.00	.00 - 92,429.35	.00	.00
Schnitzer Steel Inds Inc A 806882106 Asset Minor Code 42 ACCOUNT	392.000	20,352.64 51.9200	6,573.47	13,779.17 - 5,166.73	12,508.72 7,843.92	.00 1.44
Seacoast Banking Corp FI 811707801 Asset Minor Code 42 ACCOUNT	2,419.000	85,608.41 35.3900	42,751.82	42,856.59 9,805.32	71,239.55 14,368.86	.00 1.47
Seagen Inc 81181C104 Asset Minor Code 42 ACCOUNT	.000	.00 154.6000	.00	.00 - 64,679.51	.00	.00
Sherwin Williams Co 824348106 Asset Minor Code 42 ACCOUNT	.000	.00 352.1600	.00	.00 - 47,656.04	.00 .00	.00 0.00
Silgan Hldgs Inc 827048109 Asset Minor Code 42 ACCOUNT	1,682.000	72,056.88 42.8400	41,189.60	30,867.28 9,688.32	62,368.56 9,688.32	.00 1.49

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Six Flags Entertainment 83001A102 Asset Minor Code 42 ACCOUNT	1,699.000	72,343.42 42.5800	57,313.03	15,030.39 5,394.63	57,834.11 14,509.31	.00 0.00
Splunk Inc 848637104 Asset Minor Code 42 ACCOUNT	.000	.00 115.7200	.00	.00 - 32,812.65	.00	.00
Block Inc 852234103 Asset Minor Code 42 ACCOUNT	.000	.00 161.5100	.00	.00 - 400,635.82	.00	.00
Stericycle Inc 858912108 Asset Minor Code 42 ACCOUNT	.000	.00 59.6400	.00	.00 - 15,358.78	.00	.00
Sterling Construction Co 859241101 Asset Minor Code 42 ACCOUNT	2,536.000	66,696.80 26.3000	30,473.60	36,223.20 16,280.81	48,564.73 18,132.07	.00 0.00
Summit Materials Inc Cl A 86614U100 Asset Minor Code 42 ACCOUNT	1,483.000	59,527.62 40.1400	42,249.10	17,278.52 17,278.52	42,249.10 17,278.52	.00 0.00
Surmodics Inc 868873100 Asset Minor Code 42 ACCOUNT	411.000	19,789.65 48.1500	19,585.81	203.84 203.84	19,585.81	.00
Tapestry Inc 876030107 Asset Minor Code 42 ACCOUNT	.000	.00 40.6000	.00	.00 - 28,006.47	.00	.00
Teleflex Inc 879369106 Asset Minor Code 42 ACCOUNT	.000	.00 328.4800	.00	.00 - 45,078.85	.00	.00

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Treehouse Foods Inc 89469A104 Asset Minor Code 42 ACCOUNT	740.000	29,992.20 40.5300	33,508.52	- 3,516.32 10,536.98	31,442.60 - 1,450.40	.00 0.00
Twitter Inc 90184L102 Asset Minor Code 42 ACCOUNT	.000	.00 43.2200	.00	.00 - 71,559.40	.00	.00
Umpqua Holdings Corp 904214103 Asset Minor Code 42 ACCOUNT	5,022.000	96,623.28 19.2400	85,964.81	10,658.47 23,432.07	76,370.60 20,252.68	.00 4.37
Under Armour Inc Cl A 904311107 Asset Minor Code 42 ACCOUNT	1,618.000	34,285.42 21.1900	16,953.86	17,331.56 - 16,366.65	27,781.06 6,504.36	.00 0.00
United Community Banks Inc 90984P303 Asset Minor Code 42 ACCOUNT	2,767.000	99,445.98 35.9400	67,539.72	31,906.26 20,641.07	78,693.48 20,752.50	553.40 2.34
Veeva Systems Inc Class A 922475108 Asset Minor Code 42 ACCOUNT	.000	.00 255.4800	.00	.00 - 13,694.00	.00 .00	.00 0.00
Vulcan Matls Co Com 929160109 Asset Minor Code 42 ACCOUNT	.000	.00 207.5800	.00	.00 - 17,240.88	.00 .00	.00 0.00
Wesbanco Inc 950810101 Asset Minor Code 42 ACCOUNT	1,478.000	51,715.22 34.9900	56,383.22	- 4,668.00 7,434.34	44,280.88	487.74
Workday Inc 98138H101 Asset Minor Code 42 ACCOUNT	.000	.00 273.1800	.00	.00 - 21,342.76	.00	.00

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Wpx Energy Inc 98212B103 Asset Minor Code 42 ACCOUNT	.000	.00 9.4300	.00	.00 5,434.88	.00	.00
Total Domestic Common	194,894.000	5,809,717.81	4,594,501.31	1,215,216.50	5,298,252.69	4,262.13
Stocks				- 3,697,751.60	511,465.12	1.02
Foreign Stocks						
Alkermes Plc G01767105 Asset Minor Code 53 ACCOUNT	1,073.000	24,957.98 23.2600	19,531.05	5,426.93 3,551.63	21,406.35	.00
Aon Plc Shs CI A G0403H108 Asset Minor Code 53 ACCOUNT	.000	.00 300.5600	.00	.00 - 19,134.04	.00 .00	.00 0.00
Atlassian Corp Plc Class A G06242104 Asset Minor Code 53 ACCOUNT	.000	.00 381.2900	.00	.00 - 29,851.84	.00 .00	.00 0.00
Genpact Limited G3922B107 Asset Minor Code 53 ACCOUNT	.000	.00 53.0800	.00	.00 - 1,448.33	.00 .00	.00 0.00
Horizon Therapeutics Plc G46188101 Asset Minor Code 53 ACCOUNT	.000	.00 107.7600	.00	.00 - 16,708.04	.00 .00	.00 0.00
Ihs Markit Ltd Shs G47567105 Asset Minor Code 53 ACCOUNT	.000	.00 132.9200	.00	.00 - 22,018.89	.00 .00	.00 0.00

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ASSET DETAIL (continued)

DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Marvell Technology Group Ltd G5876H105 Asset Minor Code 53 ACCOUNT	.000	.00 45.1100	.00	.00 - 73,024.22	.00 .00	.00 0.00
Steris Plc Shs USD G8473T100 Asset Minor Code 53 ACCOUNT	.000	.00 243.4100	.00	.00 - 29,118.67	.00 .00	.00 0.00
Alcon Inc H01301128 Asset Minor Code 53 ACCOUNT	.000	.00 87.1200	.00	.00 - 3,579.24	.00	.00
Alamos Gold Inc New Class A 011532108 Asset Minor Code 53 ACCOUNT	3,056.000	23,500.64 7.6900	21,826.25	1,674.39 1,674.39	21,826.25 1,674.39	.00 1.30
Gildan Activewear Inc 375916103 Asset Minor Code 53 ACCOUNT	1,528.000	64,771.92 42.3900	33,305.75	31,466.17 19,685.07	45,086.85 19,685.07	.00 1.59
Pretium Res Inc 74139C102 Asset Minor Code 53 ACCOUNT	2,087.000	29,405.83 14.0900	22,052.43	7,353.40 7,353.40	22,052.43 7,353.40	.00 0.00
Sierra Wireless Inc 826516106 Asset Minor Code 53 ACCOUNT	2,173.000	38,288.26 17.6200	25,162.33	13,125.93 5,592.28	31,747.53 6,540.73	.00 0.00
Waste Connections Inc 94106B101 Asset Minor Code 53 ACCOUNT	.000	.00 136.2700	.00	.00 - 20,753.58	.00 .00	.00 0.00

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DESCRIPTION	SHARES/ FACE AMOUNT	MARKET PRICE/UNIT	FEDERAL TAX COST	UNREALIZED GAIN (LOSS) SINCE INCEPTION/ CURRENT PERIOD	ADJ PRIOR MARKET / ADJ PRIOR MARKET UNREALIZED GAIN/LOSS	ENDING ACCRUAL YIELD ON MARKET
Total Foreign Stocks	9,917.000	180,924.63	121,877.81	59,046.82 - 177,780.08	142,119.41 38,805.22	.00 0.73
Mutual Funds						
Mutual Funds-Equity						
Vanguard Developed Markets Idx 921943809 Asset Minor Code 98 ACCOUNT	712,228.485	11,709,036.29 16.4400	9,224,245.54	2,484,790.75 841,363.12	10,867,673.17 841,363.12	.00 2.85
Vanguard Instl Index Instl#94 922040100 Asset Minor Code 98 ACCOUNT	96,463.661	39,143,989.00 405.7900	20,647,563.28	18,496,425.72 5,963,504.14	32,407,427.00 6,736,562.00	.00 1.29
Total Mutual Funds-Equity	808,692.146	50,853,025.29	29,871,808.82	20,981,216.47 6,804,867.26	43,275,100.17 7,577,925.12	.00 1.64
Mutual Funds-Fixed Income						
American Century High Income Fund R6 024932121 Asset Minor Code 99 ACCOUNT	632,341.476	6,108,418.66 9.6600	5,869,286.21	239,132.45 - 118,255.39	6,099,027.46 9,391.20	.00 5.23
Vanguard Long Term Treasury Fund 922031786 Asset Minor Code 99 ACCOUNT	731,963.992	9,354,499.82 12.7800	9,338,370.89	16,128.93 - 732,365.71	10,086,865.53 - 732,365.71	3.54 1.97
Total Mutual Funds-Fixed Income	1,364,305.468	15,462,918.48	15,207,657.10	255,261.38 - 850,621.10	16,185,892.99 - 722,974.51	3.54 3.25
Total Mutual Funds	2,172,997.614	66,315,943.77	45,079,465.92	21,236,477.85 5,954,246.16	59,460,993.16 6,854,950.61	3.54 2.02

ACTIVE PARTICIPANT DATA

**THE REQUIRED ATTACHMENT IS
INCLUDED IN THE SIGNED SCHEDULE MB**

JUSTIFICATION FOR CHANGE IN ACTUARIAL ASSUMPTION

**THE REQUIRED ATTACHMENT IS
INCLUDED IN THE SIGNED SCHEDULE MB**

SUMMARY OF PLAN PROVISIONS

**THE REQUIRED ATTACHMENT IS
INCLUDED IN THE SIGNED SCHEDULE MB**

ACTUARIAL ASSUMPTION METHODS

**THE REQUIRED ATTACHMENT IS
INCLUDED IN THE SIGNED SCHEDULE MB**