

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A	This return/report is for: ☐ a multiemployer plan ☒ a single-employer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a DFE (specify) _____
B	This return/report is: ☐ the first return/report ☐ an amended return/report ☐ the final return/report ☐ a short plan year return/report (less than 12 months)
C	If the plan is a collectively-bargained plan, check here. ▶ ☐
D	Check box if filing under: ☒ Form 5558 ☐ special extension (enter description) ☐ automatic extension ☐ the DFVC program
E	If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ▶ ☐

Part II	Basic Plan Information—enter all requested information
1a	Name of plan <u>NXP 401(K) RETIREMENT PLAN</u>
1b	Three-digit plan number (PN) ▶ <u>001</u>
1c	Effective date of plan <u>12/02/2004</u>
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>NXP USA, INC.</u> <u>6501 WILLIAM CANNON DRIVE WEST</u> <u>AUSTIN, TX 78735</u>
2b	Employer Identification Number (EIN) <u>20-0443182</u>
2c	Plan Sponsor's telephone number <u>800-521-6274</u>
2d	Business code (see instructions) <u>334410</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2022	MARY MCCLURE
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2022	CHRISTY BASU
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	8302
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	4940
a(2) Total number of active participants at the end of the plan year		6a(2)	5093
b Retired or separated participants receiving benefits.....		6b	126
c Other retired or separated participants entitled to future benefits		6c	3307
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	8526
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	11
f Total. Add lines 6d and 6e		6f	8537
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	8386
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2F 2G 2J 2R 2S 2T			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan NXP 401(K) RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 NXP USA, INC.	D Employer Identification Number (EIN) 20-0443182

Part I

Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
FIDELITY INVST INSTL OPS
04-2647786
(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
NORTHERN TRUST COMPANY
35-1651860
(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

JANUS CAPTL MANAGEMENT LLC

75-3019302

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68 71	NONE	1844932	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

EAGLE CAPITAL MANAGEMENT

22-3361201

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68	NONE	1318557	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

DRIEHAUS CAPITAL MANAGEMENT LLC

20-3634295

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68	NONE	1171839	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ARTISAN PARTNERS LTD PARTNERSHIP

30-0551775

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68	NONE	1083468	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

BOSTON PARTNERS

98-0202744

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68 71	NONE	700849	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS

04-2654524

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	639082	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

NORTHERN TRUST COMPANY

36-1561860

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 50 55	NONE	540654	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

WESTERN ASSET MANAGEMENT COMPANY

95-2705767

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	475417	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

EARNEST PARTNERS, LLC

58-2386669

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 68	NONE	415427	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

METROPOLITAN WEST ASSET MANAGEMENT

95-3703295

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	395201	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

GOLDMAN SACHS ASSET MANAGEMENT

100 BANK ST
8TH FL
BURLINGTON, VT 05401

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51 52	NONE	292803	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

JH ELLWOOD & ASSOC

36-3121777

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	142500	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FID INV INSTL OPS

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 37 64 65 71	RECORDKEEPER	126709	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

CAPFINANCIAL PARTNERS, LLC

26-0058143

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	47500	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

GRANT THORNTON

36-6055558

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	33000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO GOLD & SPEC MINERALS A 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO DEVELOPING MARKETS Y 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMG MANAGERS CENTER SQUARE REAL EST 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMG YACKTMAN FOCUSED N 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMG YACKTMAN FUND I 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMG BEUTEL GOODMAN CORE PLUS BOND I 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.07%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ABERDEEN GLOBAL ABS RETURN STRGYS C 1735 MARKET STREET 32ND FL PHILADELPHIA, PA 19103	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ABERDEEN INTL SMALL CAP FUND CL A 1735 MARKET STREET 32ND FL PHILADELPHIA, PA 19103	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EDGEWOOD GROWTH FUND RETAIL CLASS 1 FREEDOM VALLEY DRIVE OAKS, PA 19456	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
KOPERNIK GLOBAL ALL CAP FUND CL A ONE FREEDOM VALLEY DRIVE OAKS, PA 19456	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GQG PARTNERS EMERG MARKETS EQ INSTL 1 FREEDOM VALLEY DRIVE OAKS, PA 19456	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALGER SPECTRA FUND CLASS CL A 600 PLAZA ONE 6TH FL JERSEY CITY, NJ 07311	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALGER FOCUS EQUITY CL A 600 PLAZA ONE 6TH FL JERSEY CITY, NJ 07311	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB LARGE CAP GROWTH CLASS A 8000 IH 10 WEST SUITE 1400 14TH FL SAN ANTONIO, TX 78230	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB SMALL CAP GROWTH PORT CLASS A 8000 IH 10 WEST SUITE 1400 14TH FL SAN ANTONIO, TX 78230	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BALANCED CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY EQUITY INCOME INV 4400 MAIN ST - 1ST FL KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CEN FOCUSED DYNAMIC GROWTH 4400 MAIN ST - 1ST FL KANSAS CITY, MO 64111	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY CHGAL GOLD INV CL 4400 MAIN ST - 1ST FL KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY UTILITIES INVESTOR 4400 MAIN ST - 1ST FL KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY SELECT INVESTOR CL 4400 MAIN ST - 1ST FL KANSAS CITY, MO 64111	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY ULTRA INVESTOR CLA 4400 MAIN ST - 1ST FL KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY EMERGING MARKETS I 4400 MAIN ST - 1ST FL KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FD 2060 TRGDATE RETIRE CL 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	0.37%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN 2055 TARGETDATE RETIREMNT 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	0.37%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN 2030 TARGETDATE RETIREMNT 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	0.37%	

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN 2025 TARGETDATE RETIREMNT 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	0.37%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARBITRAGE FUND CLASS I 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARIEL FUND 811 E WISCONSIN AVENUE MK-WI-J8NF MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARROW MANAGED FUTURES STRATEGY A 6100 CHEVY CHASE DR STE 100 LAUREL, MD 20707	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN SMALL CAP FUND INVESTOR CL 875 EAST WISCONSIN AVENUE STE 800 MILWAUKEE, WI 53202	0.39%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN DEVELOPING WORLD FD INVESTO 875 EAST WISCONSIN AVENUE STE 800 MILWAUKEE, WI 53202	0.39%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN HIGH INCOME FUND INVESTOR 875 EAST WISCONSIN AVENUE STE 800 MILWAUKEE, WI 53202	0.39%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN INTL SMALL-MID CAP INV 875 EAST WISCONSIN AVENUE STE 800 MILWAUKEE, WI 53202	0.39%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BBH LIMITED DURATION FUND CLASS I 140 BROADWAY NEW YORK, NY 10005	0.05%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON SMALL MIDCAP GROWTH A 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BAIRD AGGREGATE BONDFUND INVESTOR C 777 E WISCONSIN AVE 18TH FL MILWAUKEE, WI 53202	0.28%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON SMALL CAP FD 767 5TH AVENUE 49TH FL NEW YORK, NY 10153	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON OPPORTUNITY FUND 767 5TH AVENUE 49TH FL NEW YORK, NY 10153	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON FIFTH AVENUE GROWTH FUND 767 5TH AVENUE 49TH FL NEW YORK, NY 10153	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON GLOBAL ADVANTAGE FD RETAIL 767 5TH AVENUE 49TH FL NEW YORK, NY 10153	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BERKSHIRE FOCUS FUND 475 MILAN DRIVE SUITE 103 SAN JOSE, CA 95134	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK MID CAP GROWTH EQUITY CL 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK TECHNOLOGY OPPORTUNITIES C 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK HEALTH SCIENCES OPP PRT I 40 EAST 52ND STREET NEW YORK, NY 10022	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK FOCUS GROWTH FD INC A 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK CAPITAL APPRECIATION INVT 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK HIGH YELDBOND INSTITUTIO 40 EAST 52ND STREET NEW YORK, NY 10022	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK INCOME FUND INVESTOR A 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WILLIAM BLAIR LARGE CAP GROWTH FD N 222 WEST ADAMS STREET 12TH FL CHICAGO, IL 60606	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BROWN ADVISORY SUSTAIN GROWTH ADV 777 E WISCONSIN AVE 4TH FL MILWAUKEE, WI 53202	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BUFFALO DISCOVERY FUND 615 E MICHIGAN STREET MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALAMOS MARKET NEUTRAL INCOME CL I 2020 CALAMOS COURT NAPERVILLE, IL 60563	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT U.S. LRG CAPCORE RESPNSBL I 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT EQUITY FUND CLASS A 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT INTRNTL RESPBL INDEX A 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CARILLON SCOUT INTERNATIONAL CL I P O BOX 33022 ST PETERSBURG, FL 33733	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLIPPER 2949 EAST ELVIRA ROAD SUITE 101 TUCSON, AZ 85706	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REALTY SHARES 280 PARK AVENUE 10TH FL NEW YORK, NY 10017	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA THERMOSTAT CL A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA MORTGAGE OPPORTUNITIES CL 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA GLOBAL TECHGROWTH CL A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SMALL CAP GROWTH FD CL A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CS FLOATING RATE HIGH INC INSTL SHS ONE MADISON AVENUE 10TH FL NEW YORK, NY 10010	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CREDIT SUISSE COMMD RTRN STRTGY INS ONE MADISON AVENUE 10TH FL NEW YORK, NY 10010	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INTERNATIONAL CORE EQUITY 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US CORE EQUITY I 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA EMERGING MRKTS CORE EQU PORTF 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DIMENSIONAL EMERGING MKTS VAL PRTEF 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US LARGE CAP VALUE PRTF INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DELAWARE HEALTHCARE FUND INSTL 2005 MARKET STREET PHILADELPHIA, PA 19103	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DWS GLOBAL HIGH INCOME FUND-A 280 PARK AVE FINANCE NEW YORK, NY 10026	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DWS SCIENCE AND TECHNOLOGY FUND-A 280 PARK AVE FINANCE NEW YORK, NY 10026	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE TOTAL RETURN BOND FD CL 777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.06%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE CORE FIXED INCOME CL I 777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.06%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE LOW DURTN EMER MKTS FIX 777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.06%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE LOW DURATION CL N 777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DREYFUS TREASURY SEC CASH MNGMT WEA 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.09%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EATON VANCE ATLANTA CAP FOCUSED GRW TWO INTERNATIONAL PLACE BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EATON VANCE SHORT DURATION GOV INC TWO INTERNATIONAL PLACE BOSTON, MA 02110	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FPA CRESCENT FUND 11601 WILSHIRE BLVD SUITE 1200 LOS ANGELES, CA 90025	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FMI LARGE CAP FUND 777 EAST WISCONSIN AVE MK-WI-T4MF MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FAIRHOLME FUND 4400 BISCAYNE BOULEVARD MIAMI, FL 33137	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES KAUFMANN SM CAP A 4000 ERICSSON DRIVE WARRENDALE, PA 15086	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES MID CAP INDEX CL S 4000 ERICSSON DRIVE WARRENDALE, PA 15086	0.05%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FAM DIVIDEND FOCUS FUND 384 NORTH GRAND STREET COBLESKILL, NY 12043	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALPS CORECOMM MNGMNT COMPT COMM SM 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALPS CORECOMM MGMT COMP COMM STRT I 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RONDURE NEW WORLD FUND INVESTORS 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRST EAGLE GLOBAL CLASS I 1345 AVENUE OF AMERICAS 48TH FL NEW YORK, NY 10105	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MERK HARD CURRENCY FUND INVESTOR CL THREE CANAL PLAZA SUITE 600 PORTLAND, ME 04100	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN DYNATECH FUND A 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN GOLD AND PRECIOUS METALS C 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
POLEN GROWTH FUND RETAIL SHARES 1825 NW CORPORATE BLVD SUITE 300 BOCA RATON, FL 33431	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GABELLI ASSET FUND AAA 401 THEODORE FREMD AVE RYE, NY 10580	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GAMCO GROWTH FUND 401 THEODORE FREMD AVE RYE, NY 10580	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUGGENHEIM MACRO OPPORTUNITIES INS 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUGGENHEIM TOTAL RETURN BOND CL P 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JOHN HANCOCK US GLBLLEADERS GROWTH 601 CONGRESS ST 9TH FL BOSTON, MA 02210	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARBOR CAP APP INST 111 S WACKER DR 34TH FL CHICAGO, IL 60606	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARBOR DISRUPTIVE INNOVATION FD INV 111 S WACKER DR 34TH FL CHICAGO, IL 60606	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARBOR CAP APP INV 111 S WACKER DR 34TH FL CHICAGO, IL 60606	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARDING LOEVNER INTL EQUITY PORT IN PO BOX 4766 CHICAGO, IL 60680	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK FUND INVESTOR CLASS 111 SOUTH WACKER DR CHICAGO, IL 60606	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK INTL INVESTOR CL 111 SOUTH WACKER DR CHICAGO, IL 60606	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK EQUITY & INCOME INVESTOR CL 111 SOUTH WACKER DR CHICAGO, IL 60606	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK INTERNATL SMALL CAP INVESTO 111 SOUTH WACKER DR CHICAGO, IL 60606	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK SELECT INVESTOR CL 111 SOUTH WACKER DR CHICAGO, IL 60606	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK INTL ADVISOR FUND 111 SOUTH WACKER DR CHICAGO, IL 60606	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THE HARTFORD BALANCED INCOME CL I 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EP EMERGING MARKETS SMALL COMPANIES LOTS 81-82 STREET C SUITE 204 DORADO, PR 00646	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EUROPACIFIC INTERNATIONAL BOND LOTS 81-82 STREET C SUITE 204 DORADO, PR 00646	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EUROPAC INTL VALUE FUND CLASS A LOTS 81-82 STREET C SUITE 204 DORADO, PR 00646	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EUROPAC INTERNATNL DIVIDEND INC CL LOTS 81-82 STREET C SUITE 204 DORADO, PR 00646	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EUROPAC GOLD FUND CLASS A LOTS 81-82 STREET C SUITE 204 DORADO, PR 00646	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DELAWARE IVY MID CAPGROWTH CL A 6300 LAMAR AVE SMITHFIELD, RI 02917	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN INCOME FUNDCLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN EQUITY PREMIUM INCOME CL A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON TRITON T 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON MID CAP VALUE T 151 DETROIT ST DENVER, CO 80206	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON BALANCED T 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON BALANCED I 151 DETROIT ST DENVER, CO 80206	\$14.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON MULTISECTOR INCOME 151 DETROIT ST DENVER, CO 80206	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON DEVELOPED WORLD BD 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JENSEN QUALITY GROWTH FD CL J 811 E WISCONSIN AVE 8TH FL MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN EQUITY INCOME CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN LARGE CAP GROWTH CLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN LARGE CAP GROWTH CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN SMALL CAP GROWTH CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLEARBRIDGE SELECT FUND CL A 620 8TH AVENUE 49TH FL NEW YORK, NY 10018	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BRANDYWINEGLOBAL HIGH YIELD I 620 8TH AVENUE 49TH FL NEW YORK, NY 10018	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LOOMIS SAYLES GLOBAL ALLOCATION CLA 399 BOYLSTON STREET 8TH FL BOSTON, MA 02116	0.05%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LOOMIS SAYLES BOND RETAIL SHARES 399 BOYLSTON STREET 8TH FL BOSTON, MA 02116	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LORD ABBETT GROWTH LEADERS FUND CL 90 HUDSON STREET 10TH FL JERSEY CITY, NJ 07302	0.45%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS MID CAP VALUE FUND CL I 111 HUNTINGTON AVE BOSTON, MA 02199	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS GROWTH FUND CLASS A 111 HUNTINGTON AVE BOSTON, MA 02199	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS MID CAP GROWTH CLASS A 111 HUNTINGTON AVE BOSTON, MA 02199	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MUHLINKAMP FUND 5000 STONEWOOD DR WEXFORD, PA 15090	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MANNING & NAPIER OVERSEAS SERIES CL 290 WOODCLIFF DR FAIRPORT, NY 14450	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MANNING & NAPIER EQUITY SERIES CL S 290 WOODCLIFF DR FAIRPORT, NY 14450	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MANNING & NAPIER PROBLEND EXTD CL S 290 WOODCLIFF DR FAIRPORT, NY 14450	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS PACIFIC TIGER FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS ASIAN GROWTH & INCOME FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS CHINA FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS INDIA FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS ASIAN INNOVATORS FD INVST 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MERGER FUND CLASS A 100 SUMMIT LAKE DRIVE #201 VALHALLA, NY 10595	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MERIDIAN CONTRARIAN FUND LEGACY 100 FILLMORE ST DENVER, CO 80206	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STA INST INC.INCEPTION PORT 522 FIFTH AVENUE 4TH FL NEW YORK, NY 10036	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY GROWTH PORTFOLIO A 522 FIFTH AVENUE 4TH FL NEW YORK, NY 10036	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STA INST INC.INCEPTION PORT 522 FIFTH AVENUE 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY INSIGHT A 522 FIFTH AVENUE 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY INTL ADVANTAGE CL I 522 FIFTH AVENUE 4TH FL NEW YORK, NY 10036	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY INSTLADVANTAGE CL A 522 FIFTH AVENUE 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN GROWTH ADVANTAGE FUND CL A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EVENTIDE GILEAD FUND CLASS N 80 ARKAY SUITE 110 HAUPPAUGE, NY 11788	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALPHASIMPLEX MANAGED FUTURE STRAT C 399 BOYLSTON STREET 8TH FL BOSTON, MA 02116	0.05%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NB INTERNATIONAL EQUITY FD INVESTOR 1290 AVENUE OF AMERICAS 22ND FL NEW YORK, NY 10104	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NEUBERGER BERMAN GENESIS FUND INST 1290 AVENUE OF AMERICAS 22ND FL NEW YORK, NY 10104	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW PERSPECTIVE CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW WORLD FUND CL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AL FRANK FUND 30 ENTERPRISE SUITE 210 ALISO VIEJO, CA 92656	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG MFS AGGRESSIVE GROWTH STRATEGY 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG JP MORGAN TACTICAGGRESSIVE STRA 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG BNY MELLON DIVERSIFIER STRAT R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG MEEDER TACTICAL STRATEGY FUND R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG JP MORGAN TACTICMODERATE STRATE 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG INVESCO THEMATIC ESG STRATEGY C 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG JANUS HENDERSON BALANCED STRATE 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG TACTICAL INCOME STRATEGY CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG EQUITY INDEX FOCUSED STRATEGY R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG SECTOR EQUITY BUS CYCLE STRAT R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG BR EQUITY ESG STRATEGY CL R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG AMERICAN FUNDS GROWTH STRAT CL 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO TOTAL RETURN CLASS A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO SHORT TERM CLASS A 1633 BROADWAY NEW YORK, NY 10019	0.38%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS MID CAP ONE MARKET STREET SAN FRANCISCO, CA 94105	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS CORE EQUITY INVESTOR ONE MARKET STREET SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PERMANENT PORTFOLIO 600 MONTGOMERY ST SUITE 4100 SAN FRANCISCO, CA 94111	0.38%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PERMANENT VERSATILE BOND PORTFOLIO 600 MONTGOMERY ST SUITE 4100 SAN FRANCISCO, CA 94111	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO INCOME FUND CL A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE COMM & TECHNOLOGY INVE 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GROWTH STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE HEALTH SCIENCES 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE HIGH YIELD BOND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GLOBAL TECHNOLOGY 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GLBL MULTI SECTOR BOND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE RET 2020 FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE RET 2030 FD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE RETIREMENT 2025 FD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE RETIREMENT 2015 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRIMECAP ODYSSEY AGGRESSIVE GRWTH F 2020 E FINANCIAL WAY SUITE 100 GLEN DORA, CA 91741	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRIMECAP ODYSSEY STO CK FUND 2020 E FINANCIAL WAY SUITE 100 GLENDORA, CA 91741	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL GLBL REAL ESTATE SECURITI 620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL BLUE CHIP FUND CL A 620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL LARGE CAP GROWTH I CL A 620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HODGES FUND 2905 MAPLE AVENUE DALLAS, TX 75201	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AKRE FOCUS FUND RETAIL 777 E WISCONSIN AVE 4TH FL MILWAUKEE, WI 53202	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TECHNOLOGY ULTRA SECTOR PRO FD INVS 7501 WISCONSIN AVE BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEMICONDUCTOR ULTRA SECTOR PRO FD I 7501 WISCONSIN AVE BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ULTRA BULL PRO FUND INVESTORS SHARE 7501 WISCONSIN AVE BETHESDA, MD 20814	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ULTRA NASDAQ-100 PRO FUND INVESTOR 750 WISCONSIN AVE BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM JENN GLOBAL OPPORTUNITIES CL Z 655 BROAD STREET NEWARK, NJ 07102	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM GLOBAL TOTAL RETURN CL A 655 BROAD STREET NEWARK, NJ 07102	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM HIGH YIELD CL A 655 BROAD STREET NEWARK, NJ 07102	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PUTNAM GROWTH OPPORTUNITIES CL A ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE CAP APPRECIATION 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE BLUE CHIP GROWTH INC 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE EQUITY INDEX 500 FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE MID CAP GROWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE ALL CAPOPPORTUNITIES I 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GLOBAL HIGH INCOME BON 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GLOBAL STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE EMERGING MKTS STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE EMERG MARKETS BOND FUN 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE US TREASURY MONEY FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE MID CAP VALUE 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE INSTL FLOATING RATE CL 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE TAX EFFICIENT EQUITY F 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RYDEX MONTHLY REBAL NASDAQ 100 2X S 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.07%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEGALL BRYANT HAMILL SML CAP GROWTH 370 17TH STREET SUITE 5000 DENVER, CO 80202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEGALL BRYANT HAMILL PLUS BOND FD R 370 17TH STREET SUITE 5000 DENVER, CO 80202	0.30%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SELECTED AMERICAN SHARES CL D 2949 E ELVIRA RD TUCSON, AZ 85706	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEQUOIA FD INC 9 WEST 57TH STREET- SUITE 5000 NEW YORK, NY 10019	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SPROTT GOLD EQUITY FUND INVESTOR 200 BAY STREET SUITE 2600 TORONTO, TORONTO M5J 2J1 CA	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TCW EMERGING MARKETS INCOME CL N 865 SOUTH FIGUERA STREET 22ND FL LOS ANGELES, CA 90017	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TCW EMERGING MARKETSLocal CURRENCY 865 SOUTH FIGUERA STREET 22ND FL LOS ANGELES, CA 90017	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE CREDIT OPPORTUNITIES I 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE LARGE CAP GROWTH INVES 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THIRD AVENUE REAL ESTATE VALUE INST 622 THIRD AVENUE 32 FL NEW YORK, NY 10017	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THIRD AVENUE REAL ESTATE VALUE INVS 622 THIRD AVENUE 32 FL NEW YORK, NY 10017	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PERFORMANCE TRUST STRATEGIC BOND FD 500 WEST MADISON SUITE 470 CHICAGO, IL 60661	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
USAA GROWTH & TAX STRATEGY RETAIL 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WORLD PRECIOUS MINERALS FUND 3 CANAL PLAZA SUITE 600 PORTLAND, ME 04101	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VALUE LINE MID CAP FOCUSED INVESTOR 7 TIMES SQUARE SUITE 1606 NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY SYCAMORE ESTABLISHED VALUE 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY GLOBAL ENERGY TRANSITION A 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY RS SCIENCE TECHNOLOGY FD CL 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS KAR SMALL MID CAP CORE CL A 101 MUNSON ST STE 3 GREENFIELD, MA 01301	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS KAR MID CAP GROWTH FUND CL A 101 MUNSON ST STE 3 GREENFIELD, MA 01301	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS ZEVENBERGEN INNOVATIVE GR ST 101 MUNSON ST STE 3 GREENFIELD, MA 01301	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS CEREDEx MID CAP VALUE EQUITY 101 MUNSON ST STE 3 GREENFIELD, MA 01301	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS NFJ MID CAP VALUE A 101 MUNSON ST STE 3 GREENFIELD, MA 01301	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH SMALL CAP GROWTH 505 WAKARA WAY SUITE 300 SALT LAKE CITY, UT 84108	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH CORE GROWTH 505 WAKARA WAY SUITE 300 SALT LAKE CITY, UT 84108	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH ULTRA GROWTH 505 WAKARA WAY SUITE 300 SALT LAKE CITY, UT 84108	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH MICROCAP 505 WAKARA WAY SUITE 300 SALT LAKE CITY, UT 84108	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH CORE GROWTH INSTITUTIONAL 505 WAKARA WAY SUITE 300 SALT LAKE CITY, UT 84108	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH GLOBAL VALUE FUND 505 WAKARA WAY SUITE 300 SALT LAKE CITY, UT 84108	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLSPRING OMEGA GROWTH FUND A 525 MARKET ST MAC #A0103-122 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLSPRING ENDEAVOR SELECT FD A 525 MARKET ST MAC #A0103-122 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLSPRING GROWTH FUND A 525 MARKET ST MAC #A0103-122 SAN FRANCISCO, CA 94105	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS WESTCHESTER EVENT DRIVEN A 100 SUMMIT LAKE DRIVE #201 VALHALLA, NY 10595	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WESTERN ASSET CORE BOND FUND CL I 620 8TH AVENUE 49TH FL NEW YORK, NY 10018	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOW JONES WILSHIRE 5000 INDEX PORT 225 PICTORIA DRIVE SUITE 450 CINCINNATI, OH 45246	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INSTL OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INTERNATIONAL GROWTH UMB FUND SERVI 39-1657495	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	RONNY ARMSTRONG	b EIN:	74-2920819
c Position:	PARTNER - ATCHELY & ASSO		
d Address:	1005 LA POSADA DR AUSTIN, TX 78752	e Telephone:	512-346-2086

Explanation: NXP MADE THE DECISION TO HAVE THE SAME FIRM PREPARE THE FINANCIAL STATEMENTS AND THE AUDIT PLAN

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>NXP 401(K) RETIREMENT PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>NXP USA, INC.</u>	D Employer Identification Number (EIN) <u>20-0443182</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>COLLECTIVE S/T INVESTMENT FUND</u>		
b Name of sponsor of entity listed in (a): <u>NORTHERN TRUST</u>		
c EIN-PN <u>36-6036794-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>19423728</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>ARROWSTREET GLOBAL EQUITY ACWI CI</u>		
b Name of sponsor of entity listed in (a): <u>GLOBAL TRUST CO ARROWSTREET</u>		
c EIN-PN <u>37-6607043-002</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>117852100</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>STATE STREET S&P 500 INDEX</u>		
b Name of sponsor of entity listed in (a): <u>STATE STREET GLOBAL ADVISORS TRUST COMPANY</u>		
c EIN-PN <u>04-0025081-075</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>643076167</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>WESTERN ASSET OPPORTUNISTIC STRUCT</u>		
b Name of sponsor of entity listed in (a): <u>WESTERN ASSET MANAGEMETN CO</u>		
c EIN-PN <u>26-0567920-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>9206616</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>MFO VANGUARD INST 500 INDEX TRUST</u>		
b Name of sponsor of entity listed in (a): <u>VANGUARD FIDUCIARY TRUST</u>		
c EIN-PN <u>81-6327546-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>T ROWE PRICE RETIREMENT 2015 TRUST</u>		
b Name of sponsor of entity listed in (a): <u>T ROWE PRICE TRUST COMPANY</u>		
c EIN-PN <u>35-6941654-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>12011230</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>T ROWE PRICE RETIREMENT 2020 TRUST</u>		
b Name of sponsor of entity listed in (a): <u>T ROWE PRICE TRUST COMPANY</u>		
c EIN-PN <u>36-7594871-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>26988819</u>

a Name of MTIA, CCT, PSA, or 103-12 IE: T ROWE PRICE RETIREMENT TRUST 2025

b Name of sponsor of entity listed in (a): T ROWE PRICE TRUST COMPANY

c EIN-PN 37-6495447-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 59167359
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a Name of MTIA, CCT, PSA, or 103-12 IE: T ROWE PRICE RETIREMENT TRUST 2030

b Name of sponsor of entity listed in (a): T ROWE PRICE TRUST COMPANY

c EIN-PN 38-7010946-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 51781658
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a Name of MTIA, CCT, PSA, or 103-12 IE: T ROWE PRICE RETIREMENT TRUST 2035

b Name of sponsor of entity listed in (a): T ROWE PRICE TRUST COMPANY

c EIN-PN 36-7595013-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 38454083
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a Name of MTIA, CCT, PSA, or 103-12 IE: T ROWE PRICE RETIREMENT TRUST 2040

b Name of sponsor of entity listed in (a): T ROWE PRICE TRUST COMPANY

c EIN-PN 35-6941729-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 26507981
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a Name of MTIA, CCT, PSA, or 103-12 IE: T ROWE PRICE RETIREMENT TRUST 2045

b Name of sponsor of entity listed in (a): T ROWE PRICE TRUST COMPANY

c EIN-PN 32-6199848-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 8771707
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a Name of MTIA, CCT, PSA, or 103-12 IE: T ROWE PRICE RETIREMENT TRUST 2050

b Name of sponsor of entity listed in (a): T ROWE PRICE TRUST COMPANY

c EIN-PN 30-6303214-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5671336
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a Name of MTIA, CCT, PSA, or 103-12 IE: T ROWE PRICE RETIREMENT TRUST 2055

b Name of sponsor of entity listed in (a): T ROWE PRICE TRUST COMPANY

c EIN-PN 35-6941728-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2226696
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a Name of MTIA, CCT, PSA, or 103-12 IE: T ROWE PRICE RETIREMENT TRUST 2060

b Name of sponsor of entity listed in (a): T ROWE PRICE TRUST COMPANY

c EIN-PN 47-1088316-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3101802
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a Name of MTIA, CCT, PSA, or 103-12 IE: T ROWE PRICE RETIREMENT TRUST 2065

b Name of sponsor of entity listed in (a): T ROWE PRICE TRUST COMPANY

c EIN-PN 85-1763138-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 459748
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a Name of MTIA, CCT, PSA, or 103-12 IE: NT COLL RUSSELL 2000 VALUE INDEX

b Name of sponsor of entity listed in (a): NORTHERN TRUST ASSET MANAGEMEN

c EIN-PN 45-6138589-011	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 64180134
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a Name of MTIA, CCT, PSA, or 103-12 IE: ST STREET GLOBAL ALL CAP EQTY US

b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS TRUST COMPANY

c EIN-PN 90-0337987-376	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 42632518
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a Name of MTIA, CCT, PSA, or 103-12 IE: MFO ST STREET RUSSELL SMALL MID

b Name of sponsor of entity listed in (a): STATE STREET BANK & TRUST

c EIN-PN 04-0025081-091	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 99939042
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan NXP 401(K) RETIREMENT PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 NXP USA, INC.	D Employer Identification Number (EIN) 20-0443182	

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	1511719	3251116
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)	31287343	19441577
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	14253152	9658675
(2) U.S. Government securities	1c(2)	97945273	73365142
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	5798657	3147412
(B) All other	1c(3)(B)	151757704	143800451
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	953630066	1193086219
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	13959224	11138319
(9) Value of interest in common/collective trusts	1c(9)	864310701	1231452726
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	405826722	240588250
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	789510574	791015671

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities.....	1d(1)		
(2) Employer real property.....	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	3329791135	3719945558

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	1792010	2024391
i Acquisition indebtedness.....	1i		
j Other liabilities.....	1j	167227307	161284874
k Total liabilities (add all amounts in lines 1g through 1j)	1k	169019317	163309265

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	3160771818	3556636293
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	33714442	
(B) Participants	2a(1)(B)	67580894	
(C) Others (including rollovers).....	2a(1)(C)	9563133	
(2) Noncash contributions.....	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B), (C), and line 2a(2)	2a(3)		110858469
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	3971	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans.....	2b(1)(E)	608031	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F).....	2b(1)(G)		612002
(2) Dividends: (A) Preferred stock.....	2b(2)(A)	16067	
(B) Common stock	2b(2)(B)	998854	
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	3400077	
(D) Total dividends. Add lines 2b(2)(A) , (B), and (C)	2b(2)(D)		4414998
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	250662607	
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	241973143	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		8689464
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	-1563640	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		-1563640

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		35925876
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		202169202
c Other income.....	2c		250476104
d Total income. Add all income amounts in column (b) and enter total.....	2d		611582475
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	208790336	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		208790336
f Corrective distributions (see instructions).....	2f		5817
g Certain deemed distributions of participant loans (see instructions).....	2g		-7153
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)	6023158	
(4) Other.....	2i(4)	905842	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		6929000
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		215718000
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		395864475
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: GRANT THORNTON, LLP

(2) EIN: 36-6055558

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			
5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.			
5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)			
5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)	
5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.			

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan NXP 401(K) RETIREMENT PLAN	B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 NXP USA, INC.	D Employer Identification Number (EIN) 20-0443182

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 36-3046063		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021
v. 201209

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

Financial Statements and Report of
Independent Certified Public
Accountants

NXP 401(k) Retirement Plan

December 31, 2021 and 2020

Contents

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All other supplemental schedules required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 are omitted as they are not applicable or not required.

GRANT THORNTON LLP

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REPORT OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS

To the Retirement Plan Committee
NXP 401(k) Retirement Plan

Scope and nature of the ERISA Section 103(a)(3)(C) audit for the 2021 financial statement

We have performed an audit of the financial statements of NXP 401(k) Retirement Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements ("2021 financial statements").

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's 2021 financial statement performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 8 to the financial statements, is complete and accurate.

Opinion on the 2021 financial statement

In our opinion, based on our audit and on the procedures performed as described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section

- The amounts and disclosures in the accompanying 2021 financial statement, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- The information in the accompanying 2021 financial statement related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for opinion on the 2021 financial statement

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (US GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of management for the 2021 financial statement

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements were available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's responsibilities for the audit of the 2021 financial statement

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with US GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with US GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statement, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other matters

2021 supplemental schedule required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2021 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such supplementary information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the 2021 supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to the auditing procedures applied in the audit of the 2021 financial statements and certain additional procedures. These additional procedures included comparing and reconciling such information directly to the underlying accounting and other records used to prepare the 2021 financial statement or to the 2021 financial statement themselves, and other additional procedures in accordance with US GAAS. For information included in the 2021 supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the 2021 supplemental schedule, we evaluated whether the 2021 supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion

- The form and content of the 2021 supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- The information in the 2021 supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Auditor's report on the 2020 financial statements

We were engaged to audit the 2020 financial statements of the Plan. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the plan administrator instructed us not to perform and we did not perform any auditing procedures with respect to the information certified by a qualified institution. In our report dated October 7, 2021, we indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 financial statements, and (b) the form and content of the information included in the 2020 financial statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Grant Thornton LLP

Chicago, Illinois
October 10, 2022

NXP 401(k) Retirement Plan

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

December 31,
(Dollars in thousands)

	2021	2020
ASSETS		
Investments at fair value	\$ 3,214,396	\$ 2,775,357
Investment at contract value	323,001	360,619
Collateral received for securities loaned	141,493	130,666
Total investments	3,678,890	3,266,642
Receivables		
Notes receivable from participants	12,228	13,959
Company contributions	3,251	1,512
Receivable for securities sold	19,381	30,704
Interest and dividend income	60	584
Total receivables	34,920	46,759
Total assets	3,713,810	3,313,401
LIABILITIES		
Payable for securities purchased	19,792	36,561
Administrative expense payable	2,024	1,780
Obligation for collateral received for securities loaned	141,493	130,666
Total liabilities	163,309	169,007
NET ASSETS AVAILABLE FOR BENEFITS	<u><u>\$ 3,550,501</u></u>	<u><u>\$ 3,144,394</u></u>

The accompanying notes are an integral part of these financial statements.

NXP 401(k) Retirement Plan

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

Year ended December 31, 2021

(Dollars in thousands)

Investment income	
Net appreciation in fair value of investments	\$ 505,940
Interest and dividends	4,529
Income on collateralized securities	<u>498</u>
Total investment income	<u>510,967</u>
Contributions	
Participants	67,581
Employer	33,714
Rollover	<u>9,563</u>
Total contributions	<u>110,858</u>
Deductions	
Benefits paid to participants	208,789
Administrative and other expenses	<u>6,929</u>
Total deductions	<u>215,718</u>
NET INCREASE	406,107
Net assets available for benefits at beginning of year	<u>3,144,394</u>
Net assets available for benefits at end of year	<u><u>\$ 3,550,501</u></u>

The accompanying notes are an integral part of this financial statement.

NXP 401(k) Retirement Plan
NOTES TO FINANCIAL STATEMENTS
December 31, 2021 and 2020

NOTE 1 - DESCRIPTION OF PLAN

General

The NXP 401(k) Retirement Plan (the Plan) is a defined contribution plan covering substantially all domestic employees of NXP USA, Inc., a wholly owned indirect subsidiary of NXP Semiconductors N.V. (the Company or Plan Sponsor). Subject to certain limitations, as described below, employees become eligible to contribute to the Plan immediately upon employment. The Plan is designed to qualify as a plan under section 401(a) of the Internal Revenue Code of 1986, as amended (the Code), and to meet the requirements set forth in section 401(k) of the Code. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA), and is intended to qualify as an individual account plan which permits each participant to exercise control over certain assets of the Plan pursuant to Section 404(c) of ERISA. The following brief description of the Plan is provided only for general information. Participants should refer to the plan document for a more complete description of the Plan's provisions.

On March 27, 2020, the Coronavirus Aid, Relief, and Economic Security (CARES) Act was signed into law. Under provisions of the CARES Act, temporary waivers of the Minimum Required Distribution requirements were granted. Also, certain elective CARES Act provisions which temporarily allowed participants to withdraw up to \$100,000 from their vested account without penalty, and temporarily allowed deferral of loan payments for qualified individuals, were adopted.

Administration of the Plan

The Company's Retirement Plan Committee (the Committee) is responsible for the general operation and administration of the Plan. The Company is the plan sponsor, as defined by ERISA. On January 2, 2020, the recordkeeping services with respect to the Plan have been outsourced to Fidelity (the Recordkeeper). Prior to January 2, 2020, the recordkeeping services were with Alight Financial Solutions. The Northern Trust Company and Fidelity Management Trust Company (the Trustee or Custodian) are the Plan's trustee or custodian. GSAM Stable Value, LLC is one of two Investment Managers of the Plan's Stable Value Fund. State Street Bank and Trust is the custodian for the underlying assets in the GSAM Term Funds.

Eligibility

Full-time employees are eligible to participate on their first day of work. Individuals who provide service to the Company under an independent contractor, consultant or employee leasing agreement, or who are classified as a leased employee or contract laborer are not eligible to participate in the Plan. Employees with no U.S. source income are excluded from participation and any employee whose employment is governed by a collective bargaining agreement is excluded from participation, unless the agreement specifies that the employee is eligible for the Plan. Part-time employees are eligible to participate the first day of the month coincident with or immediately following the date on which the employee becomes a full-time employee or completes one year of service. If eligible participants do not enroll or elect not to enroll within 35 days of becoming eligible for the Plan, they are automatically enrolled into the Plan with a five percent (5%) before-tax contribution election deferral.

Contributions

A participant may elect to contribute on a before-tax basis, and/or after-tax basis (Roth) up to seventy-five percent (75%) of the participant's compensation (as defined by the Plan), up to the annual deferral limit set by the Code. The annual deferral limit as set by the Code was \$19,500 in 2021 and \$19,500 in 2020. An employee who is eligible to become a participant in the Plan, but who fails to make a deferral election, was or will be deemed to have elected to make elective deferrals equal to five percent (5%) of compensation and increase 1% annually until the contribution reaches 15%, or the participant elects to change their contribution.

NXP 401(k) Retirement Plan

NOTES TO FINANCIAL STATEMENTS - CONTINUED

December 31, 2021 and 2020

In addition, all eligible employees who are or have attained age 50 before the close of a plan year are eligible to make catch-up contributions for such plan year in accordance with, and subject to, the limitations as set by the Code. The catch-up contribution limit as set by the Code was \$6,500 in 2021 and 2020.

Participants may rollover balances from other companies' qualified plans, conduit individual retirement accounts, Section 403(b) annuities and Section 457 governmental plans.

The Company makes matching contributions to participants equal to one dollar for each dollar of participant deferrals up to five percent (5%) of the participant's eligible compensation for the period. If during the plan year the total matching contribution made on behalf of a participant does not equal the maximum amount of matching contribution required, the Company will within 30 days after the plan year-end make a lump sum contribution to the participant's account equal to the difference between the required matching contribution and the amount actually made. The true up matching contribution was \$3.3 million and \$1.5 million for the years ended December 31, 2021 and 2020, respectively, and is included in the Company contributions receivable amount shown on the statements of net assets available for benefits.

Benefits

Participants are eligible for a distribution of plan benefits upon termination of service, whether voluntary or involuntary or due to disability, retirement, or to appropriate beneficiary upon participant's death. Upon termination of service, a participant or applicable beneficiary may elect to have benefits paid in a single lump-sum distribution, installment payments or may request that the Company make a direct transfer to another eligible retirement plan, traditional or Roth IRA, Section 403(b) annuity or Section 457(b) governmental plan.

In-service withdrawals of employee contributions are extended to participants who have attained age 59½. Participants who have attained age 70½ may also make in-service withdrawals of employer contributions. A participant who made a rollover contribution to the Plan may withdraw all or any portion of his/her rollover contribution amounts at any time subject to Internal Revenue Service minimum distribution requirements. A participant may not take more than four in-service withdrawals in any Plan year, and the minimum withdrawal is \$500.

Distributions are also permitted for reasons of proven financial hardship as outlined in the summary plan description. Participants who terminate employment with the Company for any reason, and have a vested account balance of \$1,000 or less, will have their account balance distributed in the form of a lump-sum distribution.

Investment Options

The Plan is intended to satisfy the requirements under Section 404(c) of ERISA and, therefore, provides that participants may choose to direct their contributions, Company contributions and/or all or part of their account balances among any of the Plan's investment alternatives, including mutual funds, collective investment trusts, index funds, and separately managed accounts, including a stable value fund that primarily invests in book value investment contracts, fixed income securities and units of fixed income commingled funds. In 2005, the Plan also made available to plan participants a self-directed brokerage account, in which participants may invest in a broad array of stocks and mutual funds. The Plan also utilizes a short-term investment fund to facilitate the Plan's transactions.

Participant Accounts

Each participant's account is credited with the participant's elective deferrals, Company matching, and allocation of investment income or loss, net of administrative expenses. Allocations of investment income or loss are based on account balances as defined in the plan document. The benefit to which a participant

NXP 401(k) Retirement Plan

NOTES TO FINANCIAL STATEMENTS - CONTINUED

December 31, 2021 and 2020

is entitled is the benefit that can be provided from the participant's vested account. A participant's interests in their elective deferrals, Company matching and supplemental contributions and related earnings are fully vested and non-forfeitable at all times.

Administrative and Other Expenses

The expenses necessary to administer the Plan are paid out of plan assets or by the Company. Administrative costs paid for by the Company are not included in the accompanying financial statements.

Participant Loans

Participants may borrow from their accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their vested account balance. Effective January 1, 2016, Participants may only have one loan outstanding at a time. Loan transactions are treated as a transfer to/from the investment fund to the Participant Loan Fund. Loan terms may range from one to five years or up to 15 years for the purchase of a primary residence. The loans are secured by the balance in the participant's account and bear interest at a reasonable interest rate commensurate with local prevailing rates. Interest rates are based on the prime rate (as published in the Wall Street Journal) plus 0.5%, unless the Committee determines the interest rate is not reasonable. Principal and interest are paid ratably through payroll deductions. A \$50 processing fee is charged for loans.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The accompanying financial statements have been prepared on the accrual basis of accounting. Certain prior year amounts have been reclassified for consistency with current year presentation.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks including, but not limited to, interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

NXP 401(k) Retirement Plan

NOTES TO FINANCIAL STATEMENTS - CONTINUED

December 31, 2021 and 2020

Investment Valuation and Income Recognition

Securities Lending

The Plan allows separately managed accounts to participate in the Trustee's securities lending program. Under the program, securities held by these separately managed accounts are loaned by the Trustee to certain approved brokers. The brokers generally provide cash as collateral against the loans in amounts ranging from approximately 102% to 105%. The collateral is invested in short-term investment funds managed by the Trustee.

The Trustee enters into securities lending transactions with approved bank and broker/dealers as an investment management tool that utilizes the existing securities of the Plan to earn additional income. In return for the loaned securities, the Trustee receives collateral in the form of cash, U.S. Government securities, or irrevocable letters of credit as a safeguard against possible default of any borrower on the return of the loan. The securities are required to be collateralized at no less than 102% of the market value of the borrowed securities, or not less than 105%, if the borrowed securities and the collateral are denominated in foreign currencies. Fees earned on securities lending activity are included in interest income.

The value of loaned securities amounted to \$138.2 million and \$127.9 million at December 31, 2021 and 2020, respectively. Securities on loan and collateral held under this program at December 31, 2021 and 2020, are reported on the accompanying statements of net assets available for benefits.

Book Value Investment Contracts

The Plan invests in fully benefit-responsive book value investment contracts. The statements of net assets available for benefits reports the investments at contract value. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events include the following: (i) the Plan's failure to qualify under section 401(a) of the Code; (ii) full or partial termination of the Plan; (iii) involuntary termination of employment as a result of a corporate merger, divestiture, spin-off, or other significant business restructuring, which may include early retirement incentive programs or bankruptcy; (iv) changes to the administration of the Plan which decreases employee or employer contributions, the establishment of a competing Plan by the Plan Sponsor, the introduction of a competing investment option or other plan amendment that has not been approved by the contract issuers; (v) dissemination of a participant communication that is designed to induce participants to transfer assets from the Stable Value fund; and, (vi) events resulting in a material and adverse financial impact on the contract issuer, including changes in the tax code, laws or regulations.

Derivatives

The Plan may invest in various financial instruments, particularly forward foreign currency contracts, options, swaps and futures, commonly referred to as derivatives, to manage their risk. No investments are engaged in market-making or other speculative activities. Established practices require that derivative financial instruments relate to specific asset, liability or equity transactions or to currency exposures.

NXP 401(k) Retirement Plan

NOTES TO FINANCIAL STATEMENTS - CONTINUED

December 31, 2021 and 2020

Open contracts held by the Plan as of December 31, 2021 and 2020 were as follows:

Type of Contract	2021			2020		
	Number of Contracts	Expiration	Notional Value*	Number of Contracts	Expiration	Notional Value*
	Buy (Sell)			Buy (Sell)		
Exchange cleared swaps	24	Various	\$ 163,974	14	Various	\$ 142,222
Exchange cleared swaps	2	Various	(30,901)	3	Various	(37,453)
Interest rate contracts	9	Various	328,405	5	Various	133,330
Interest rate contracts	7	Various	(95,019)	9	Various	(206,945)
Swaps	2	Various	6,040	4	Various	12,121
Swaps	6	Various	13,349	-	-	-

**Dollars in thousands*

Financial Futures

The Plan invests in financial futures contracts solely for the purpose of hedging its existing portfolio securities, or securities that the Plan intends to purchase, against fluctuations in fair value caused by changes in prevailing market interest rates. Upon entering into a financial futures contract, the Plan is required to pledge to the broker an amount of cash, U.S. government securities, or other assets, equal to a certain percentage of the contract amount (initial margin deposit). Subsequent payments, known as "variation margin", are made or received by the Plan each day, depending on the daily fluctuations in the fair value of the underlying security. The Plan recognizes a gain or loss equal to the daily variation margin. Should market conditions move unexpectedly, the Plan may not achieve the anticipated benefits of the financial futures contracts and may realize a loss. The use of futures transactions involves the risk of imperfect correlation in movements in the price of futures contracts, interest rates, and the underlying hedged assets.

Futures and Forward Contracts

The Plan enters into equity futures contracts and forward foreign currency contracts for trading purposes. Equity futures contracts are used as a means to replicate the performance of the broad stock market and to reduce transaction costs associated with rebalancing of an indexed portfolio when there are cash inflows or outflows. Forward foreign currency contracts are used to manage the risk of foreign currency fluctuations and to ensure that adequate funds, denominated in the local currency, are available to settle purchases of foreign securities. Forward contracts to purchase government agency obligations are used to take advantage of market yield premiums available for delayed settlement contracts. Equity futures contracts, forward foreign currency contracts, and forward contracts to purchase government agency obligations are stated at market value. Equity futures contracts are marked to market as determined by prices quoted on national security exchanges, and fluctuations in the value are recognized as realized gains or losses settled daily with cash through a margin account. Forward foreign currency contracts are valued at fair value, as determined by the Trust's investment managers (or independent third parties on behalf of the investment managers), using quoted forward foreign currency exchange rates. At the end of each period presented, open contracts are valued at the current forward foreign currency exchange rates and the change in market value is recorded as an unrealized gain or loss. When the contract is closed or delivery taken, the Trust records a realized gain or loss equal to the difference between the value of the contract at the time it was opened and the value at the time it was closed.

The market risk associated with these instruments is the possibility of equity prices or foreign exchange rates changing in an unanticipated manner, resulting in a loss in the value of the investment. These risks are minimized by partially offsetting positions in the underlying securities. The credit risk associated with these instruments is related to the failure of the counterparty to pay based on the contractual terms of the agreement. The Trust anticipates counterparties will be able to fully satisfy their obligations under the contracts given their high credit ratings. Each equity futures contract requires that the Trust place on deposit

NXP 401(k) Retirement Plan

NOTES TO FINANCIAL STATEMENTS - CONTINUED

December 31, 2021 and 2020

with the executing counterparty an amount equal to the initial margin requirement for the contract. The maintenance margin requirement is recalculated daily based on the change in market value. The Trust transfers funds to or receives credit from the maintenance margin on deposit on a daily basis based on the recalculated maintenance margin. The Trust experienced no credit-related losses on these arrangements in 2021 or 2020.

Investment Income

Net appreciation or depreciation in fair value of investments includes gains and losses on investments sold during the year, as well as appreciation and depreciation of investments held at the end of the year. Reported net realized foreign exchange gains or losses arise from sales of foreign currencies, currency gains or losses realized between the trade and settlement dates on securities transactions, and the difference between the amounts of dividends and interest and the U.S. dollar equivalent of the amounts actually received or paid. Net unrealized foreign exchange gains and losses arise from changes in the fair values of assets and liabilities, other than investments in securities at December 31, 2021 and 2020, resulting from changes in exchange rates. The purchase and sale of securities is recorded on a trade-date basis. Dividends are recorded when received. Interest is recorded when earned.

Fair Value Measurements

The Plan's investments are reported at fair value. Financial Accounting Standards Board Accounting Standard Codification (ASC) Topic 820, *Fair Value Measurements and Disclosures* (ASC Topic 820), defines fair value as the price that would be received by the Plan for an asset or paid by the Plan to transfer a liability (an exit price) in an orderly transaction between market participants on the measurement date in the Plan's principal or most advantageous market for the asset or liability. ASC Topic 820 provides a fair value hierarchy which requires the Plan to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The hierarchy places the highest priority on unadjusted quoted market prices in active markets for identical assets or liabilities (Level 1 measurements) and gives the lowest priority to unobservable inputs (Level 3 measurements). The three levels of inputs within the fair value hierarchy are defined as follows:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access as of the measurement date.

Level 2 - Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability; or
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 - Inputs to the valuation methodology include significant unobservable inputs that reflect the Plan's own assumptions about the assumptions that market participants would use in pricing an asset or liability.

NXP 401(k) Retirement Plan

NOTES TO FINANCIAL STATEMENTS - CONTINUED

December 31, 2021 and 2020

In many cases, a valuation technique used to measure fair value includes inputs from multiple levels of the fair value hierarchy. The lowest level of significant input determines the placement of the entire fair value measurement in the hierarchy.

The following is a description of the valuation methodologies and assumptions used for investments of the Plan, including securities loaned and collateral for securities loaned.

- Interest bearing cash is valued at amortized cost which approximates fair value (Level 1 inputs).
- Fair values of U.S. Treasury and agency securities are determined based on evaluated prices using observable, market-based inputs such as data from Interactive Data or Standard & Poor's (Level 2 inputs).
- Corporate bonds are valued based on yields currently available on comparable securities of issuers with similar credit ratings (level 2 inputs). When quoted prices are not available for identical or similar bonds, the bond is valued using matrix pricing, a mathematical technique widely used in the industry to value debt securities without relying exclusively on quoted prices for the specific securities but rather by relying on the securities' relationship to other benchmark quoted securities (Level 2 inputs).
- The fair values of publicly traded common stocks are determined by obtaining quoted prices on nationally recognized securities exchanges (Level 1 inputs), when available.
- The fair values of investments in most common or collective trusts are valued as determined by the fund administrator for the common or collective trust based on their net asset values and supported by the value of the underlying securities and by the unit prices of actual purchase and sale transactions occurring as of or close to the financial statement date (Level 2 inputs). Short-term investments consist of a common or collective trust with principal preservation as its primary objective. These investments are valued using Level 2 inputs because their net asset values are calculated on a scheduled basis, not daily.
- Fair values of mutual funds (registered investment companies) are valued at the net asset value (NAV) of shares held by the plan at year end (Level 1 inputs for public funds and Level 2 inputs for private funds).
- Investments held in the self-directed brokerage account constitute a broad array of stocks and mutual funds. Fair values of all stocks and mutual funds are determined using the methods prescribed above. Money market funds are valued as cash which approximates fair value (Level 1 inputs).
- Collateral for securities loaned represents cash (Level 1 inputs).

The Other category contains certain equities and U.S. Treasury securities. Fair values of these investments are determined using the methods aforementioned.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

NXP 401(k) Retirement Plan

NOTES TO FINANCIAL STATEMENTS - CONTINUED

December 31, 2021 and 2020

Contributions

Participant contributions are accrued when the participants' salary deferrals are made. Employer contributions are accrued for the year in which compensation and salary deferrals are earned and made.

Benefit Payments

Benefits are recorded when paid.

Reclassifications

Certain prior year amounts have been reclassified for consistency with current year presentation.

Subsequent Events

Plan management evaluated the Plan's December 31, 2021, financial statements for subsequent events through October 10, 2022, the date the financial statements were available to be issued.

Effective July 1, 2022, The Retirement Plan Committee approved to add after-tax contributions along with in-plan Roth conversions to the Plan.

Effective January 1, 2022, The Retirement Plan Committee approved an amendment to change how fees are paid. Participants will pay the annual recordkeeping fee. Fund specific fees will be charged to the fund that incurred the fee. General trustee fees are allocated to all funds pro rata. Revenue sharing as well as securities lending will be credited back to the fund that earned it. The Plan Sponsor is paying other Fidelity fees, investment consulting fees and audit fees.

NOTE 3 - FAIR VALUE MEASUREMENTS

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2021 (dollars in thousands):

	Fair Value Measurements			
	Level 1	Level 2	Level 3	Total
Interest bearing cash	\$ 9,659	\$ -	\$ -	\$ 9,659
U.S. government securities	-	73,365	-	73,365
Corporate debt instruments - preferred	-	3,147	-	3,147
Corporate debt instruments - other	-	143,800	-	143,800
Corporate stock - common	1,193,086	-	-	1,193,086
Self-directed brokerage window	257,031	-	-	257,031
Registered investment companies	240,588	-	-	240,588
Other	17,539	44,728	-	62,267
Collateral for securities loaned	141,493	-	-	141,493
Total investments measured, at fair value	<u>\$ 1,859,396</u>	<u>\$ 265,040</u>	<u>\$ -</u>	<u>\$ 2,124,436</u>
Investments measured at NAV				<u>1,231,453</u>
Total investments, at fair value				<u>\$ 3,355,889</u>

NXP 401(k) Retirement Plan

NOTES TO FINANCIAL STATEMENTS - CONTINUED

December 31, 2021 and 2020

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2020 (dollars in thousands):

	Fair Value Measurements			
	Level 1	Level 2	Level 3	Total
Interest bearing cash	\$ 14,253	\$ -	\$ -	\$ 14,253
U.S. government securities	-	97,945	-	97,945
Corporate debt instruments – preferred	-	5,799	-	5,799
Corporate debt instruments – other	-	151,758	-	151,758
Corporate stock - common	953,630	-	-	953,630
Self-directed brokerage window	226,478	-	-	226,478
Registered investment companies	405,827	-	-	405,827
Other	41,463	13,894	-	55,357
Collateral for securities loaned	130,666	-	-	130,666
Total investments measured, at fair value	<u>\$ 1,772,317</u>	<u>\$ 269,396</u>	<u>\$ -</u>	<u>\$ 2,041,713</u>
Investments measured at NAV				<u>864,311</u>
Total investments, at fair value				<u>\$ 2,906,024</u>

NOTE 4 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of the Code and ERISA.

NOTE 5 - INCOME TAX STATUS

The Plan adopted a prototype plan which has obtained a determination letter from the IRS dated April 10, 2014, that the Plan and related trust are designed in accordance with applicable sections of the Code. Although the Plan has been amended since receiving the determination letter, the Plan Administrator believes that the Plan is designed, and is currently being operated, in compliance with the applicable requirements of the Code and, therefore, believe that the Plan is qualified, and the related trust is tax-exempt.

Accounting principles generally accepted in the United States require plan management to evaluate uncertain tax positions taken by the Plan. The financial statement effects of a tax position are recognized when the position is more likely than not, based on the technical merits, to be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan and has concluded that as of December 31, 2021 and 2020, there are no uncertain positions taken or expected to be taken. The Plan has recognized no interest or penalties related to uncertain tax positions. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 6 - RELATED-PARTY TRANSACTIONS

Certain Plan investments are managed by the Trustee and the Recordkeeper, both of which are parties-in-interest with respect to the Plan. These transactions are covered by an exemption from the "prohibited transaction" provisions of ERISA and the Code.

NXP 401(k) Retirement Plan

NOTES TO FINANCIAL STATEMENTS - CONTINUED

December 31, 2021 and 2020

NOTE 7 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500 (dollars in thousands):

	2021	2020
Net assets available for benefits per the financial statements	\$ 3,550,501	\$ 3,144,394
Amounts allocated to deemed distributions	(1,090)	(1,056)
Adjustment to fair value for fully benefit-responsive investment contracts	7,225	17,434
Net assets available for benefits, Form 5500	<u>\$ 3,556,636</u>	<u>\$ 3,160,772</u>

The following is a reconciliation of changes in net assets available for benefits per the financial statements to the Form 5500 for the year ended December 31, 2021 (dollars in thousands):

	2021
Changes in net assets available for benefits per the financial statements	\$ 406,107
Amounts allocated to deemed distributions	(34)
Adjustment to fair value for fully benefit-responsive investment contracts	(10,209)
Net increase per the Form 5500	<u>\$ 395,864</u>

NOTE 8 - INFORMATION CERTIFIED BY THE TRUSTEE OR CUSTODIAN

The Plan administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103 8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the Trustee or Custodian have certified that the following data included in the accompanying financial statements and supplemental schedule is complete and accurate:

- Investments at fair value, investments at contract value, collateral received for securities loaned, notes receivable from participants, due from broker for securities sold, due from broker-brokerage window adjustments, interest and dividend income receivable, due to broker - brokerage window adjustments, due to broker for securities purchased and obligation for collateral received for securities loaned, as shown in the statements of net assets available for benefits as of December 31, 2021 and 2020.
- Investment income, as shown in the statement of changes in net assets available for benefits for the year ended December 31, 2021.
- Schedule H, line 4i - schedule of assets (held at end of year) as of December 31, 2021.

The Plan's independent accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedule.

SUPPLEMENTAL SCHEDULE

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2021

(a)	(b) Identity of Issuer	(c) Current Value
Interest Bearing Cash		
	Argentine peso	\$ 1,365
	Australian dollar	260,494
	Brazilian real	164,983
	British pound sterling	203,877
	Canadian dollar	16,382
	Euro	427,436
	Indonesian rupiah	167,126
	Japanese yen	92,252
	Mexican peso	208,451
	Russian ruble	155,702
	United States dollar	<u>7,960,607</u>
	Total Interest Bearing Cash - USD	<u>9,658,675</u>
Self-Directed Brokerage Window		
	SELF-DIRECTED BROKERAGE WINDOW VARIOUS HOLDINGS	<u>257,030,923</u>
Value of Funds Held in Insurance Company General Accounts		
***	GIC TRANSAMERICA PREMIER CONTRACT # MDA01247TR RATE 01.9700% MAT EVERGREE**INC	111,254,703
***	GIC PRUDENTIAL CONTRACT # GA-63216 RATE 02.1400% MAT 00/00/000 (SYNTHETIC)	109,787,928
***	GIC VOYA RETIREMENT INSURANCE AND ANNUITY CO CONTRACT # MCA-60440 RATE 01.9**INC	<u>109,183,037</u>
	Total Value of Funds Held in Insurance Company General Accounts	<u>330,225,668</u>
U.S. Government Securities		
	FANNIE MAE - CAS SER 2018-C01 CL 1M-2 FRN07-25-2030	527,045
	FANNIE MAE CON AVE SECS SER 2017-C05 CL 1B1 FLTG RT 01-25-2030	1,157,094
	FANNIE MAE SER 15-C04 CL 1M2 FRN BDS 04-25-2028 REG	502,227
	FEDERAL HOME LN MTG CORP POOL #ZS8673 3%10-01-2032 BEO	31,546
	FNMA CONN AVE SECS 2013-C01 CL M-2 10-25-2023	180,863
	FNMA POOL #890827 3.5% 12-01-2037 BEO	52,882
	FNMA POOL #BM1948 6% 07-01-2041 BEO	91,602
	FNMA POOL #CB0470 2.5% 05-01-2041	97,544
	FNMA POOL #FM3123 2.5% 03-01-2038 BEO	46,705
	FNMA POOL #FM6134 3% 03-01-2040 BEO	68,822
	FNMA POOL #MA2110 3.5% 12-01-2034 BEO	20,198
	FNMA POOL #MA2138 3.5% 01-01-2035 BEO	20,726
	FNMA POOL #MA2773 3% 10-01-2036 BEO	62,169
	FNMA POOL #MA2832 3% 12-01-2036 BEO	129,519
	FNMA POOL AM8674 2.81% 04-01-2025	31,307
	FNMA SER 2019-M4 CL A2 3.61% 02-25-2031 REG	22,622
	GNMA POOL #BM7534 3.5% 02-20-2050 BEO	45,214
	GNMA POOL #BS1742 4% 02-20-2050 BEO	61,517
	GNMA POOL #BS8439 3.5% 05-15-2050 BEO	71,538
	GNMA POOL #BS8546 2.5% 12-20-2050 BEO	92,371
	GNMA POOL #BT1888 2.5% 12-20-2050 BEO	92,071
	GNMA POOL #MA6477 4.5% 02-20-2050 BEO	58,009
	GNMA SER 19-28 CLS AB VAR 06-16-2060	30,679
	GNMAII POOL #784905 SER 2050 3.0% DUE 01-20-2050 REG	75,429
	GNMAII POOL #BS8420 SER 2050 4% DUE 04-20-2050 BEO	120,715
	GNMAII POOL #MA4511 SER 2047 4% DUE 06-20-2047 REG	11,873
	GNMAII POOL #MA6544 4.5% 03-20-2050	31,042
	GNMAII POOL MA5711 SER 2049 4.5% DUE 01-20-2049 REG	55,851
	I/O GNMA VAR RT 1.10042% DUE 06-16-2054	2,524
	I/O FEDERAL HOME LN MTG CORP SER 4066 CL PI DUE 09-15-2031	32,866
	I/O GNMA VAR RT .8789% DUE 11-16-2054	40,334
	I/O GNMA VAR RT 1.01201999187% DUE 09-16-2057	29,465
	PVTPL CMO CONNECTICUT AVE SECS TR 2019-R07 NT CL 1M-2 VAR RT 10-25-2039	67,883
	PVTPL FNMA NT CL 1M-2 12-25-2041	570,713
	PVTPL FREDDIE MAC STACR REMIC TR 2020-DNA4 MULTICLASS CL M2 FLTG RT 08-25-2050	84,065
	PVTPL FREDDIE MAC STACR REMIC TR SER 21-DNA2 CL M2 FLTG RT 08-25-2033	152,741
	PVTPL FREDDIE MAC-STACR 2021-DNA5 M2FLTG 01-25-2034 USD	250,781

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	SLM STUDENT LN TR SLM STUDENT LOAN 03-15-2033 REG	\$ 145,415
	UNITED STATES OF AMER TREAS BONDS 2.0% 08-15-2051	1,341,522
	UNITED STATES OF AMER TREAS NOTES DTD 01/31/2021 .375% 01-31-2026	125,826
	UNITED STATES OF AMER TREAS NOTES DTD 05/31/2021 .75% 05-31-2026	9,800
	UNITED STATES OF AMER TREAS NOTES DTD 08/15/2020 .625% 08-15-2030	3,295,586
	UNITED STATES OF AMER TREAS NOTES DTD 09/30/2020 .25% 09-30-2025	9,688
	UNITED STATES OF AMER TREAS NOTES DTD 10/31/2020 .25% 10-31-2025	96,691
	UNITED STATES TREAS BD STRIPPED PRIN PMT 0% DUE 05-15-2049	834,097
	UNITED STATES TREAS BDS 1.375% 11-15-2040	466,271
	UNITED STATES TREAS BDS 00245 2.875% DUE 08-15-2045 REG	1,909,069
	UNITED STATES TREAS BDS 1.25% DUE 05-15-2050	5,776,714
	UNITED STATES TREAS BDS 1.875% DUE 02-15-2051 REG	3,796,722
	UNITED STATES TREAS BDS 1.875% 11-15-2051	1,206,030
	UNITED STATES TREAS BDS 1.875% DUE 02-15-2041 REG	883,707
	UNITED STATES TREAS BDS 2% 11-15-2041	2,506,278
	UNITED STATES TREAS BDS 2.25% 05-15-2041 REG	31,608
	UNITED STATES TREAS BDS 2.25% 08-15-2049	7,749,905
	UNITED STATES TREAS BDS 2.25% DUE 05-15-2051 REG	2,584,844
	UNITED STATES TREAS BDS 2.875% 05-15-2049 REG	290,297
	UNITED STATES TREAS BDS 3.0% DUE 02-15-2048	1,543,943
	UNITED STATES TREAS BDS 3.75 DUE 11-15-2043 REG	1,631,960
	UNITED STATES TREAS BDS DTD 00271 1.125%DUE 08-15-2040	1,725,597
	UNITED STATES TREAS BDS DTD 08/15/2020 1.375% 08-15-2050	6,565,966
	UNITED STATES TREAS BDS DTD 11/15/2020 1.625% 11-15-2050	2,639,674
	UNITED STATES TREAS BDS DTD 2.75% 08-15-2047	794,431
	UNITED STATES TREAS BDS TBOND FIXED 3% 02-15-2049	1,950,374
	UNITED STATES TREAS BDS WIT 1 7/8 08/15/41 1.75% DUE 08-15-2041 REG	525,234
	UNITED STATES TREAS NTS .625% DUE 05-15-2030 REG	131,037
	UNITED STATES TREAS NTS DTD 04/30/2021 1.25% 04-30-2028	39,669
	UNITED STATES TREAS NTS DTD 05/15/2021 1.625% 05-15-2031	1,540,900
	UNITED STATES TREAS NTS DTD 06/30/2018 2.75% DUE 06-30-2025 REG	63,445
	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG	41,680
	UNITED STATES TREAS NTS T-NOTE 2.25% 03-31-2026	365,148
	UNITED STS TREAS 0.625% 12-31-2027	2,183,367
	UNITED STS TREAS 1.125% 02-29-2028	9,863
	UNITED STS TREAS 1.25% 05-31-2028	426,103
	UNITED STS TREAS NTS .5% 10-31-2027	2,247,716
	UNITED STS TREAS NTS 0.5% 08-31-2027	2,263,165
	UNITED STS TREAS NTS .375% DUE 09-30-2027 REG	2,908,105
	UNITED STS TREAS NTS .875% 06-30-2026	9,847
	UNITED STS TREAS NTS 0.25% 11-15-2023	19,838
	US TREASURY N/B 2% DUE 02-15-2050 REG	1,336,558
	UTD STATES TREAS 1.75% DUE 12-31-2024	2,046
	UTD STATES TREAS FIXED .5% DUE 06-30-2027	4,318,854
	Total U.S. Government Securities	73,365,142
	Corporate Debt Instruments - Preferred	
	3M CO 2.375% DUE 08-26-2029	71,873
	ABBOTT LABS 3.4% DUE 11-30-2023	328,765
	ABBOTT LABS 3.75% DUE 11-30-2026	11,057
	ANTHEM INC 3.65% 12-01-2027	65,868
	BARCLAYS BK PLC FIXED 1.7% DUE 05-12-2022	200,679
	BHP BILLITON FIN 2.875% DUE 02-24-2022	15,043
	HOME DEPOT INC 3.9% DUE 12-06-2028 REG	11,270
	LILLY ELI & CO 2.35% DUE 05-15-2022	282,134
	MEDTRONIC INC 3.5% DUE 03-15-2025 REG	41,539
	MIDAMERICAN ENERGY 3.65% DUE 04-15-2029	253,667
	SALESFORCE COM INC 3.25% BNDS 04-11-2023	134,100
	SALESFORCE COM INC 3.7% DUE 04-11-2028	266,511
	SCHWAB CHARLES 3.85% DUE 05-21-2025	215,589
	SHELL INTERNATIONAL FIN 3.25% 05-11-2025	649,464
	UNITEDHEALTH GROUP 2.375% 10-15-2022	20,284

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	UNITEDHEALTH GROUP 3.75% DUE 07-15-2025	\$ 97,627
	UNITEDHEALTH GROUP FIXED 3.875% DUE 12-15-2028	44,867
	UNITEDHEALTH GROUP FIXED 4.25% DUE 06-15-2048	24,860
	VISA INC 3.15% DUE 12-14-2025	149,284
	VISA INC 4.3% DUE 12-14-2045	252,489
	WALMART INC 2.375% DUE 09-24-2029	10,442
	Total Corporate Debt Instruments - Preferred	3,147,412
	Corporate Debt Instruments - Other	
	\$ GEN CORP NEW 3.25% DUE 04-15-2023	10,242
	1011778 B.C UNLTD LIAB CO TERM LOAN B4 DUE 11-19-2026	311,583
	3M CO 3.7% DUE 04-15-2050/03-27-2020 REG	94,118
	3M CO FIXED 3.05% DUE 04-15-2030	21,466
	522 FDG CLO 2020-6 LTD / 522 FDG 1.40886% 10-23-2034	410,000
	ABBVIE INC 2.3% DUE 11-21-2022 REG	223,090
	ABBVIE INC 2.6% DUE 11-21-2024 REG	249,092
	ABBVIE INC 3.2% 11-21-2029	181,933
	ABBVIE INC 3.45% DUE 03-15-2022 REG	320,293
	ABBVIE INC 3.6% 05-14-2025	170,194
	ABBVIE INC 3.75% DUE 11-14-2023	31,412
	ABBVIE INC 3.85% DUE 03-15-2025 REG	42,595
	ABBVIE INC 4.25% DUE 11-21-2049 REG	23,977
	ABBVIE INC FIXED 2.95% DUE 11-21-2026	52,717
	ACE INA HLDGS INC 3.35% DUE 05-03-2026	32,180
	ADOBE INC 2.3% DUE 02-01-2030	378,542
	AERCAP IRELAND CAP / GLOBA 3.0% DUE 10-29-2028	243,601
	AERCAP IRELAND CAP/GLOBA 2.45% DUE 10-29-2026 BEO	252,215
	AETNA INC NEW 2.8% DUE 06-15-2023	40,988
	ALPHABET INC 1.1% DUE 08-15-2030	66,260
	ALPHABET INC 2.05% 08-15-2050	98,592
	ALPHABET INC FIXED .45% DUE 08-15-2025	19,548
	ALPHABET INC FIXED .8% DUE 08-15-2027	48,450
	ALTRIA GROUP INC 2.45% DUE 02-04-2032	598,860
	ALTRIA GROUP INC 4.8% DUE 02-14-2029	2,258
	ALTRIA GROUP INC 6.2% DUE 02-14-2059	104,638
	ALTRIA GROUP INC FIXED 2.35% DUE 05-06-2025	30,717
	AMAZON COM INC .4% DUE 06-03-2023 REG	279,007
	AMAZON COM INC .8% DUE 06-03-2025	69,226
	AMAZON COM INC 1.2% DUE 06-03-2027	98,668
	AMAZON COM INC 1.5% DUE 06-03-2030	38,805
	AMAZON COM INC 2.1% DUE 05-12-2031 BEO	50,721
	AMAZON COM INC 2.5% DUE 06-03-2050	66,924
	AMAZON COM INC 3.15% DUE 08-22-2027 BEO	1,448,176
	AMAZON COM INC FIXED 4.25% DUE 08-22-2057	25,714
	AMERN EXPRESS CO 2.5% DUE 07-30-2024	196,333
	AMERN EXPRESS CO 2.65 DUE 12-02-2022	295,518
	AMERN INTL GROUP 2.5% DUE 06-30-2025	72,208
	ANHEUSER-BUSCH 3.5% DUE 06-01-2030	241,369
	APACHE CORP 3.25 DUE 04-15-2022 REG	212,734
	APACHE CORP 4.25% DUE 01-15-2030	86,816
	APACHE CORP 4.375% 10-15-2028	98,048
	APACHE CORP 5.25% DUE 02-01-2042	11,500
	APACHE CORP 5.35% DUE 07-01-2049	57,125
	APPLE INC 1.125% DUE 05-11-2025	229,377
	APPLE INC 2.45% DUE 08-04-2026	261,216
	APPLE INC 2.9% DUE 09-12-2027	309,306
	APPLE INC 3.35% DUE 02-09-2027	662,427
	ASPLUNDH TREE EXPERT LLC FLTG RT TBL 09-07-2027	424,555
	ASURION LLC TERM LOAN B8 DUE 12-23-2026 BEO	216,019
	ASURION, LLC FLTG RT TBL 07-29-2027	98,804
	AT&T INC 1.65% DUE 02-01-2028	146,944
	AT&T INC 2.25% DUE 02-01-2032	135,452

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	AT&T INC 2.3% DUE 06-01-2027	\$ 203,603
	AT&T INC 4.25% DUE 03-01-2027	33,297
	ATHENAHEALTH, INC FLTG RT TBL 02-11-2026	208,211
	AVIATION CAP GROUP 4.125% DUE 08-01-2025	263,698
	AVIS BUDGET RENT CAR FDG AESOP LLC 1.38%08-20-2027	176,796
	AVIS BUDGET RENT CAR FDG AESOP LLC 2.13%08-20-2027	560,168
	AVOLON TLB BORRORWER 1 (US) LLC TLB LIEN1 12-01-2027 USD	79,450
	B A T CAP CORP FIXED 3.557% DUE 08-15-2027	598,201
	BALBOA BAY LN FDG 2021-2 LTD / SR SECD NT CL A-1 FLTG RATE 1.38171% 01-20-2035	170,078
	BANCO SANTANDER S FIXED 2.746% DUE 05-28-2025	413,613
	BANCO SANTANDER SA 3.125% 02-23-2023	409,439
	BANCO SANTANDER SA FRNS 04-12-2023	202,024
	BANK AMER CORP 1.319% DUE 06-19-2026	19,796
	BANK AMER CORP 2.572% DUE 10-20-2032 BEO	281,679
	BANK AMER CORP 2.592% DUE 04-29-2031	151,682
	BANK AMER CORP 3.419% 12-20-2028	1,189,157
	BANK AMER CORP 3.5% DUE 04-19-2026	237,106
	BANK AMER CORP 3.593% DUE 07-21-2028	53,850
	BANK AMER CORP 4% DUE 01-22-2025	106,832
	BANK AMER CORP 4.083% DUE 03-20-2051	84,396
	BANK AMER CORP 4.25% DUE 10-22-2026	463,703
	BANK AMER CORP 5% DUE 01-21-2044	158,034
	BANK AMER CORP BDS 4 04-01-2024	584,779
	BANK AMER CORP FIXED 3.97% 03-05-2029	558,814
	BANK AMER CORP MTN 3.458% 03-15-2025	94,139
	BANK MONTREAL MEDIUM TERM SR NTS 1.85% 05-01-2025	243,647
	BANK NOVA SCOTIA B C 1.3% DUE 06-11-2025BEO	129,303
	BANK OF AMERICA CORP BNDS 4.2 DUE 08-26-2024	1,179,328
	BECTON DICKINSON & 3.363% DUE 06-06-2024	157,070
	BECTON DICKINSON & 3.734% DUE 12-15-2024	12,758
	BECTON DICKINSON & 4.685% DUE 12-15-2044	138,444
	BENCHMARK 2021-B29 MTG TR COML MTG PASSTHRU CTF CL 1.15731% 09-15-2054	135,906
	BERRY GLOBAL, INC FLTG RT TBL 07-01-2026	26,465
	BK NEW YORK MELLON 1.6% DUE 04-24-2025	70,672
	BOEING CO 1.433% 02-04-2024	609,169
	BOEING CO 2.8% DUE 03-01-2027	20,418
	BOEING CO 3.1% DUE 05-01-2026	31,273
	BOEING CO 3.2% DUE 03-01-2029	82,405
	BOEING CO 4.875% DUE 05-01-2025	612,923
	BOEING CO FIXED 2.196% DUE 02-04-2026	140,005
	BOEING CO FIXED 5.15% DUE 05-01-2030	489,796
	BOEING CO FIXED 5.705% DUE 05-01-2040	218,870
	BOEING CO FIXED 5.805% DUE 05-01-2050	135,850
	BP CAP MKTS AMER 3.633% DUE 04-06-2030	88,432
	BP CAP MKTS AMER FIXED 3.588% DUE 04-14-2027	626,755
	BP CAP MKTS AMER INC 3.119% 05-04-2026	179,822
	BP CAP MKTS P L C 3.506% DUE 03-17-2025	10,647
	BP CAP MKTS P L C 3.535% DUE 11-04-2024	53,230
	BPCE SR NON PFD 3% DUE 05-22-2022	1,009,484
	BRAVO RESIDENTIAL FDG TR 2021-NQM3 MTG BACKED NT CL A-3 144A 1.956% 04-25-2060	489,827
	BRISTOL MYERS FIXED 2.6% DUE 05-16-2022	131,078
	BRISTOL MYERS FIXED 2.9% DUE 07-26-2024	254,332
	BRISTOL MYERS FIXED 3.4% DUE 07-26-2029	142,484
	BRISTOL MYERS FIXED 3.875% DUE 08-15-2025	41,135
	BRISTOL MYERS SQUIBB CO 3.55% DUE 08-15-2022 REG	101,897
	BRISTOL MYERS SQUIBB CO CORP 3.2% 06-15-2026	215,168
	BROOKFIELD WEC HOLDINGS INC INITIAL TERMLOAN SENIOR SECURED DUE 08-01-2025 BEO	59,047
	BROWN GROUP HOLDINGS, LLC INITIAL TERM LOAN DUE 06-07-2028	248,708
	CAESARS RESORT COLLECTION, LLC TERM LOANSENIOR SECURED DUE 10-02-2024 BEO	373,742
	CARRIER GLOBAL CORP 2.7% 02-15-2031	10,168
	CARRIER GLOBAL CORP 2.722% 02-15-2030	81,779
	CHARTER 4.908% DUE 07-23-2025	1,309,602
	CHARTER COMMUNICATIONS OPER LLC/CHARTE 4.8% DUE 03-01-2050	56,165

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	CHARTER COMMUNICATIONS OPERATING LLC (AKA CCO SAFARI LLC) TERM LOAN 04-30-2025	\$ 216,911
	CHARTER COMMUNICATIONS OPERATING LLC AKA CCO ERM LOAN B2 DUE 02-01-27	166,573
	CHENIERE ENERGY FIXED 4% DUE 03-01-2031	21,000
	CHEVRON CORP NEW 1.554% DUE 05-11-2025	131,157
	CHEVRON CORP NEW 1.995% DUE 05-11-2027	71,094
	CHEVRON CORP NEW 2.954% DUE 05-16-2026	95,332
	CHEVRON U S A INC 3.25% 10-15-2029	140,591
	CHEVRON U S A INC 3.85% 01-15-2028	55,394
	CIGNA CORP NEW 3.5% 06-15-2024	262,336
	CIGNA CORP NEW CORP 3.75% 07-15-2023	39,520
	CIGNA CORP NEW CORP 4.125% 11-15-2025	87,529
	CIGNA CORP NEW CORP 4.375% 10-15-2028	216,106
	CINTAS CORP NO 2 2.9% DUE 04-01-2022	180,723
	CINTAS CORP NO 2 3.7% DUE 04-01-2027	207,985
	CITADEL SECURITIES LP TERM LOAN B SENIORSECURED TERM LOAN 02-29-2028	148,204
	CITIGROUP INC 2.572% DUE 06-03-2031	60,582
	CITIGROUP INC 3.106% DUE 04-08-2026	104,876
	CITIGROUP INC 3.5% DUE 05-15-2023	909,779
	CITIGROUP INC 4.075% DUE 04-23-2029	320,057
	CITIGROUP INC 4.125% DUE 07-25-2028	164,729
	CITIGROUP INC 4.3% DUE 11-20-2026	66,421
	CITIGROUP INC 4.4% DUE 06-10-2025	228,773
	CITIGROUP INC 4.412% DUE 03-31-2031	194,338
	CITIGROUP INC 4.45% DUE 09-29-2027	1,472,709
	CITIGROUP INC 4.65% DUE 07-30-2045	44,272
	CITIGROUP INC 5.5% DUE 09-13-2025	780,619
	CITIGROUP INC FLTGT RT 1.678% DUE 05-15-2024	141,564
	CLARIOS GLOBAL LP FLTGT RT TBL 04-30-2026	259,068
	CMO BK AMER FDG CORP 2005-D MTG PASSTHRUTF CL A-1 VAR RATE 05-25-2035 REG	2,567
	CMO CSMC 2018-J1 TR CL A-2 FLTGE 02-01-2048	262,981
	CMO IMPAC CMB TR SER 2004-10 CL 3-A-1 DUE 03-25-2035 BEO	49,743
	CMO MERRILL LYNCH MTG INVS INC 2003-A CL 2A-2 DUE 01-15-2028 BEO	28,066
	CMO STRUCTURED ASSET SECS CORP 2004-2 CL4-A1 DUE 03-25-2034 REG	17,777
	CMO STRUCTURED AST MTG INVTs II INC DUE 07-19-2035 REG	5,031
	CMO WAMU MTG PASS-THRU CTFS SER 2005-AR13 CL A-1A1 FLT 10-25-45	206,736
	CMO WELLS FARGO COMLT MTG TR 2014-LC18 CLA5 3.405% DUE 12-15-2047 REG	259,758
	CMO WFRBS COMLT MTG TR 2013-C14 MTG PASS THRU CTF CL B DUE 06-15-2046 REG	305,177
	CMO WFRBS COMLT MTG TR 2014-C21 COMLT MTG PASSTHRU CTF CL B DUE 08-15-2047 REG	307,927
	CMOPVTPL NEW RESIDENTIAL MTG LN 2017-3A MTG BACKED NT CL A-1 VAR RT 04-25-2057	306,381
	COCA COLA CO 1.45% DUE 06-01-2027	129,327
	COCA COLA CO 2.6% DUE 06-01-2050	49,019
	COMCAST CORP NEW 2.8% DUE 01-15-2051/05-28-2020 REG	19,348
	COMCAST CORP NEW 3.15% DUE 03-01-2026	74,668
	COMCAST CORP NEW 3.25% 11-01-2039	52,891
	COMCAST CORP NEW 3.375 DUE 02-15-2025	244,128
	COMCAST CORP NEW 3.45% DUE 02-01-2050	21,436
	COMCAST CORP NEW 3.75% DUE 04-01-2040	22,449
	COMCAST CORP NEW 3.95% 10-15-2025	218,444
	COMCAST CORP NEW 4.15% DUE 10-15-2028	249,950
	COMCAST CORP NEW FIXED 3.4% DUE 04-01-2030	76,472
	COMM 2013-CCRE12 MTG TR MTG PASS THRU CTF CL C VAR RATE DUE 10-10-2046	168,238
	CONOCOPHILLIPS 3.75% DUE 10-01-2027	197,438
	CONTINENTAL AIRLS PASS THRU TR 2007-1A 5.983% DUE 04-19-2023	26,586
	CONTL RES INC 4.5 DUE 04-15-2023	20,609
	CONTL RES INC 4.9% DUE 06-01-2044	22,165
	CONTL RES INC FIXED 3.8% DUE 06-01-2024	313,040
	COOPERATIVE 4.375% DUE 08-04-2025	270,942
	COSTCO WHOLESALE CORP NEW 1.6% DUE 04-20-2030 REG	126,073
	COSTCO WHSL CORP FIXED 1.375% DUE 06-20-2027	208,524
	CR AGRICOLE S A 1.907% DUE 06-16-2026	250,590
	CR SUISSE AG NEW YORK BRH MEDIUM TRANCHE# SB 00771 2.95% 04-09-2025	261,791
	CR SUISSE GROUP AG FLTGT RT 2.193% DUE 06-05-2026	352,108
	CSMC 2020-RPL5 TR FLTGT RT 3.023% DUE 08-25-2060	246,610

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	CVS HEALTH CORP 3.625% DUE 04-01-2027 REG	\$ 217,153
	CVS HEALTH CORP 3.875% DUE 07-20-2025	61,282
	CVS HEALTH CORP 4.3% DUE 03-25-2028	245,939
	CVS HEALTH CORP 5.05% DUE 03-25-2048	91,819
	CVS HEALTH CORPORATION 2.125% DUE 09-15-2031 BEO	88,240
	DAIWA SECS GROUP 3.129% DUE 04-19-2022	90,672
	DBAUSCH HEALTH COMPANIES INC. (FKA VALEANT PHARMA INTL, INC.) 11-14-2025	225,023
	DEERE & CO FIXED 3.1% DUE 04-15-2030	10,788
	DEERE & CO FIXED 3.75% DUE 04-15-2050	71,131
	DELTA 2 (LUX) SARL DUE 02-01-2024 BEO	9,983
	DEVON ENERGY CORP 5.85% DUE 12-15-2025	411,800
	DEVON ENERGY CORP NEW 4.5% 1-15-2030 BEO	55,859
	DEVON ENERGY CORP NEW 5.25% 10-15-2027 BEO	103,385
	DISNEY WALT CO 3% DUE 09-15-2022	61,047
	DUKE ENERGY CORP 2.4% DUE 08-15-2022	242,323
	EATON CORP OHIO 2.75% DUE 11-02-2022	631,570
	ECOPETROL S A 5.375% DUE 06-26-2026	94,838
	ECOPETROL S A 5.875% DUE 05-28-2045	19,125
	ENERGY TRANSFER 2.9% DUE 05-15-2025	113,547
	ENERGY TRANSFER 3.75% DUE 05-15-2030	360,631
	ENERGY TRANSFER 4.95% DUE 06-15-2028	22,515
	ENTERPRISE PRODS 2.8% DUE 01-31-2030	250,476
	ENTERPRISE PRODS 3.95% DUE 02-15-2027	305,418
	ENTERPRISE PRODS 4.15% DUE 10-16-2028	157,293
	ENTERPRISE PRODUCTS OPER L P 3.125% DUE 07-31-2029	457,030
	EOG RES INC 4.15% DUE 01-15-2026	338,788
	EOG RES INC 4.375% DUE 04-15-2030	69,400
	EQT CORP 3.9% DUE 10-01-2027	161,064
	EQT CORP FIXED 3% DUE 10-01-2022	154,530
	EQT CORPORATION 5.0% DUE 01-15-2029 BEO	44,300
	EQUATE 4.25% DUE 11-03-2026	217,278
	EXXON MOBIL CORP 2.992% DUE 03-19-2025	525,667
	EXXON MOBIL CORP 3.043% DUE 03-01-2026	264,605
	EXXON MOBIL CORP FIXED 1.571% DUE 04-15-2023	10,114
	EXXON MOBIL CORP FIXED 4.327% DUE 03-19-2050	12,322
	FINCO I LLC (AKA FORTRESS INVESTMENT GROUP) TERM LOAN B DUE 06-27-2025 BEO	17,933
	FIRSTENERGY CORP 1.6% DUE 01-15-2026/06-08-2020 REG	48,375
	FIRSTENERGY CORP 2.85% 07-15-2022	491,519
	FIRSTENERGY CORP 4.25 DUE 03-15-2023	780,000
	FORD CR FLOORPLAN MASTER OWNER TR SER 18-4 CL A 4.06% 11-15-2030 REG	526,459
	FORD MTR CO DEL 3.25% 02-12-2032	40,960
	FORD MTR CO DEL NT 4.75 01-15-2043	44,150
	FORD MTR CR CO LLC 2.9% DUE 02-16-2028 BEO	200,500
	FORD MTR CR CO LLC 4.0% DUE 11-13-2030 BEO	430,320
	FOUR SEASONS HOLDINGS INC TERM LOAN DUE 11-30-2023 BEO	49,106
	FOX CORP 4.709% 01-25-2029	79,963
	FREEPORT-MCMORAN 3.875% DUE 03-15-2023	51,750
	FREMF 2015-K43 MTG FLTG RT 3.73214006424% DUE 02-25-2048	300,748
	GEN DYNAMICS CORP 3.75% DUE 05-15-2028	386,937
	GEN DYNAMICS CORP FIXED 4.25% DUE 04-01-2040	12,242
	GEN MTRS FINL CO 3.7% DUE 05-09-2023	339,863
	GEN MTRS FINL CO 4.25 DUE 05-15-2023	270,601
	GENERAL MOTORS FINANCIAL 3.45% DUE 04-10-2022 BEO	401,222
	GENERAL MTRS CO 5.4% DUE 10-02-2023	74,933
	GENERAL MTRS CO 6.125% DUE 10-01-2025	80,446
	GENESEE & WYOMING INC TERM LOAN 11-06-2026	285,125
	GILEAD SCIENCES 3.7% DUE 04-01-2024	378,485
	GLP CAP L P / GLP 5.375% DUE 04-15-2026	11,136
	GO DADDY OPERATING COMPANY LLC TLB 02-15-2024 BEO	19,520
	GOLDENTREE LN MGMT US CLO 10 LTD / 2.16271% 07-20-2034	678,321
	GOLDMAN SACHS 3.2% DUE 02-23-2023	123,077
	GOLDMAN SACHS 3.5% DUE 11-16-2026	170,593

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	GOLDMAN SACHS 3.85% DUE 07-08-2024	\$ 1,057,100
	GOLDMAN SACHS 4.25% DUE 10-21-2025	578,231
	GOLDMAN SACHS 5.75% DUE 01-24-2022	561,684
	GOLDMAN SACHS FIXED 3.5% DUE 04-01-2025	158,665
	GOLDMAN SACHS GROUP INC 4.223% 05-01-2029	755,945
	GRIFOLS WORLDWIDE OPERATIONS LIMITED DOLLAR TRANCHE B TERM LOAN 11-15-2027	391,375
	HALLIBURTON CO 3.8% DUE 11-15-2025	11,831
	HARBOR FREIGHT TOOLS USA INC TERM LOAN B 10-19-2027	157,928
	HCA INC 3.5% DUE 09-01-2030	73,981
	HCA INC 5.375% DUE 09-01-2026	179,800
	HCA INC 5.625% DUE 09-01-2028	175,274
	HERSHEY CO FIXED .9% DUE 06-01-2025	39,487
	HERTZ VEH FING III LLC 2021-2 RENT CAR AST BKD NT 1.68% 12-27-2027	453,224
	HIGHBRIDGE LOAN MANAGEMENT LTD 23/01/2035 0% 01-23-2035	250,000
	HILDENE CMNTY FDG CDO LTD / HILDENE SR SECD NT CL A-RR 144A 3C7 2.6% 11-01-2035	489,837
	HOME DEPOT INC 2.5% DUE 04-15-2027	834,811
	HOME DEPOT INC 3.3% DUE 04-15-2040 REG	76,097
	HOME DEPOT INC 3.35% DUE 04-15-2050 REG	98,524
	HOME DEPOT INC 3.9% DUE 06-15-2047	11,723
	HONEYWELL INTL INC 1.35% DUE 06-01-2025 REG	80,354
	HORIZON THERAPEUTICS USA, INC. FLTG RT TBL 03-15-2028	445,829
	HSBC HLDGS PLC FLTG RT 2.848% DUE 06-04-2031	445,539
	HSBC HOLDINGS PLC 4.25 NTS 03-14-2024 USD1000	570,294
	HUMANA INC 3.15 DUE 12-01-2022	396,451
	HUMANA INC 4.5% DUE 04-01-2025	21,804
	HUMANA INC BNDS 2.9% 12-15-2022	489,459
	I/O CITIGROUP COML MTG TRUST SER 2015-GC27 CL X-A FLTG RT 02-10-2048	94,037
	I/O CMO COMM 2012-CCRE3 MTG TR COMM 2012-CCRE3 MTG TR 2.382 11-15-2045	9,423
	I/O CMO NATIXIS COML MTG SECS SER 2019-FAME CL XA VAR 144A 08-01-2024	132,261
	I/O GNMA 2012-055 REMIC PASSTHRU CTF CL IO FLTG RT 04-16-2052	157
	I/O GNMA FLTG RT 2011-127 CL IO 03-16-2047	5,056
	I/O GS MTG SECS SER 2015-GC32 CL XA FLTG RT 7-10-2048	60,678
	I/O GS MTG SECS TRUST SER 2015-GC28 CL X-A FLTG RT 02/10/48	49,240
	I/O NATIXIS COML MTG SECS TR SR 2019-FAME CL XB FLTG 144A 08-15-2024	141,925
	I/O WELLS FARGO COML FLTG RT 1.214977% DUE 05-15-2048	86,274
	INEOS QUATTRO HOLDINGS LTD USD INEOS QUATTRO US TERM LOAN 01-21-2026	457,226
	INFOBLOX INC TERM LOAN DUE 12-01-2027 BEO	248,840
	INTEL CORP 1.6% DUE 08-12-2028 BEO	108,763
	INTEL CORP 3.7% DUE 07-29-2025	64,769
	INTEL CORP 4.75% DUE 03-25-2050	26,727
	INTERNATIONAL BUSINESS MACHS CORP 3.0% DUE 05-15-2024 REG	344,783
	INTESA SANPAOLO S 3.375% DUE 01-12-2023	204,361
	INTL LEASE FIN 5.875% DUE 08-15-2022	1,051,474
	J P MORGAN MTG FLTG RT .77657% DUE 07-25-2036	1,455,677
	JOHNSON & JOHNSON .55% DUE 09-01-2025 REG	78,206
	JOHNSON & JOHNSON .95% DUE 09-01-2027	156,594
	JPMBB COML MTG SECS TR 2015-C31 FLTG RT CL B 08-15-2048	95,971
	JPMORGAN CHASE 3.9% 07-15-2025	433,004
	JPMORGAN CHASE & 4.005% DUE 04-23-2029	55,230
	JPMORGAN CHASE & 4.023% DUE 12-05-2024	390,161
	JPMORGAN CHASE & 4.203% DUE 07-23-2029	179,124
	JPMORGAN CHASE & CO 3.875 09-10-2024	795,715
	JPMORGAN CHASE & CO 2.083% DUE 04-22-2026/10-22-2020 REG	223,387
	JPMORGAN CHASE & CO 2.522% DUE 04-22-2031	182,119
	JPMORGAN CHASE & CO 2.545% DUE 11-08-2032	201,624
	JPMORGAN CHASE & CO 3.109% DUE 04-22-2051	62,231
	JPMORGAN CHASE & CO 4.452% 12-05-2029	227,167
	JPMORGAN CHASE & FLTG RT 1.514% DUE 06-01-2024	362,764
	KEYCORP STUDENT LN TR 2006-A CL II-B FLTRT 12-27-2041 BEO	163,660
	KIMBERLY CLARK CORP 3.1% DUE 03-26-2030 REG	32,356
	KINDER MORGAN INC 4.3% DUE 06-01-2025	108,106
	LAS VEGAS SANDS 2.9% DUE 06-25-2025	49,884
	LAS VEGAS SANDS 3.2% DUE 08-08-2024	132,378

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	LENNAR CORP FIXED 4.75% DUE 11-29-2027	\$ 33,972
	LENNAR CORP FIXED 5% DUE 06-15-2027	22,617
	LLOYDS BKG GROUP 1.326% DUE 06-15-2023	641,093
	LLOYDS BKG GROUP 4.65% DUE 03-24-2026	440,567
	LLOYDS BKG GROUP PLC 3.9% 03-12-2024	306,382
	LOCKHEED MARTIN 3.55% DUE 01-15-2026	97,470
	LOWES COS INC FIXED 4.5% DUE 04-15-2030	58,095
	MASTERCARD INC 3.85% DUE 03-26-2050 REG	12,063
	MCDONALDS CORP 1.45% DUE 09-01-2025	20,187
	MCDONALDS CORP 3.7% DUE 01-30-2026	10,764
	MCDONALDS CORP 4.2% 04-01-2050	182,197
	MCDONALDS CORP MED TERM 3.5% 07-01-2027	10,852
	MCDONALDS CORP MEDIUM TERM NTS 3.35% 04-01-2023	391,211
	MCDONALDS CORP MEDIUM TERM NTS BOOK 3.625% DUE 09-01-2049	22,152
	MCDONALDS CORP MEDIUM TERM NTS BOOK ENTRTRANCHE # TR 00122 3.5% DUE 03-01-2027	32,422
	MCDONALD'S CORPORATION 3.3% 07-01-2025	95,447
	MCDONALD'S CORPORATION 3.6% DUE 07-01-2030	66,369
	MERCK & CO INC NEW .75% DUE 02-24-2026	137,296
	MICROSOFT CORP 2.4% DUE 02-06-2022	260,032
	MICROSOFT CORP 2.4% DUE 08-08-2026	774,813
	MICROSOFT CORP 2.921% 03-17-2052 USD	5,330
	MICROSOFT CORP 3.3% DUE 02-06-2027	250,794
	MICROSOFT CORP 3.45% DUE 08-08-2036	5,767
	MITSUBISHI UFJ FINL GROUP INC CORP BOND 3.407% 03-07-2024	931,839
	MONDELEZ INTL INC 1.5% DUE 05-04-2025	330,443
	MORGAN STANLEY 2.188% DUE 04-28-2026	367,217
	MORGAN STANLEY 2.699% DUE 01-22-2031	133,133
	MORGAN STANLEY 3.772% DUE 01-24-2029	424,706
	MORGAN STANLEY ABS CAP I INC 2005-NC2 MTG PASSTHRU CTF CL M-4 03-25-2035 REG	304,982
	MORGAN STANLEY ABS CAP I INC SER 04-NC7 CL M1 STEP UP 07-25-2034	1,563,339
	MPLX LP 4% 03-15-2028	151,828
	MPLX LP 4.8% 02-15-2029	137,139
	MPLX LP FIXED 4.125% DUE 03-01-2027	295,575
	NATIONWIDE BLDG VAR RT 4.363% DUE 08-01-2024	314,199
	NATWEST GROUP PLC FXD/FLTG SRNT 4.269% 03-22-2025	211,860
	NATWEST GROUP PLC SR GLBL COCO 4.519% 06-25-2024	209,261
	NEW RESDNTL MTG LN 3.5% DUE 09-25-2059	882,567
	NEW YORK LIFE GLOBAL FUNDING TRANCHE # TR 06/24/2020 .95% 06-24-2025	88,720
	NEWELL BRANDS INC 4.1% DUE 04-01-2023	88,580
	NEXSTAR BROADCASTING GROUP, INC. TERM LODUE 09-18-2026 BEO	348,996
	NIKE INC 2.4% DUE 03-27-2025	72,498
	NIKE INC 2.75% DUE 03-27-2027	116,607
	NIKE INC 2.85% DUE 03-27-2030	31,922
	NIKE INC 3.375% DUE 03-27-2050	158,859
	NIKE INC FIXED 3.25% DUE 03-27-2040	21,816
	NISSAN MTR LTD 4.345% DUE 09-17-2027	410,495
	NORTHROP GRUMMAN 2.93% DUE 01-15-2025	510,615
	NORTHROP GRUMMAN FIXED 5.25% DUE 05-01-2050	70,272
	NVIDIA CORP 3.5% DUE 04-01-2040	202,826
	NVIDIA CORP 3.5% DUE 04-01-2050	480,599
	NVIDIA CORP FIXED 2.85% DUE 04-01-2030	63,791
	NVIDIA CORP FIXED 3.7% DUE 04-01-2060	132,048
	OBX 2021-NQM4 TR 1.957% DUE 10-25-2061	531,393
	OCCIDENTAL PETE 3% DUE 02-15-2027	274,050
	OCCIDENTAL PETE CORP 3.2% 08-15-2026	144,272
	OCCIDENTAL PETE CORP SR NT 5.55% 03-15-2026	144,729
	OCCIDENTAL PETE CORP SR NT 6.95% 07-01-2024	499,032
	OCCIDENTAL PETE FIXED 7.875% DUE 09-15-2031	13,350
	OCP S A 4.5% DUE 10-22-2025	284,812
	ORACLE CORP 1.65% 03-25-2026	138,974
	ORACLE CORP FIXED 2.875% DUE 03-25-2031	90,673
	ORBITA ADVANCE CORP S A B DE C V SR NT 144A 1.875% 05-11-2026	197,078
	OTIS WORLDWIDE CORP 2.056% DUE 04-05-2025 BEO	71,192

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	PAC GAS & ELEC CO FIXED 1.75% DUE 06-16-2022	\$ 180,001
	PAC GAS & ELEC CO FIXED 2.1% DUE 08-01-2027	183,560
	PAYPAL HLDGS INC 1.35% DUE 06-01-2023	100,821
	PAYPAL HLDGS INC FIXED 1.65% DUE 06-01-2025	111,392
	PEPSICO INC .75% DUE 05-01-2023	140,190
	PEPSICO INC 1.625% DUE 05-01-2030	116,495
	PEPSICO INC 2.25% DUE 03-19-2025	20,674
	PEPSICO INC 2.625% DUE 03-19-2027	10,477
	PERATON CORP. TERM B LOAN (FIRST LIEN) DUE 02-01-2028 BEO	348,997
	PETROBRAS GLOBAL 5.75% DUE 02-01-2029	204,488
	PETROBRAS GLOBAL 6.25% DUE 03-17-2024	788,400
	PETROBRAS GLOBAL 7.375% DUE 01-17-2027	500,520
	PETROBRAS GLOBAL FINANCE 5.299% 01-27-2025	10,750
	PETROBRAS GLOBAL FINANCE BNDS 6.85% DUE 06-05-2115 REG	188,575
	PFIZER INC .8% DUE 05-28-2025	177,840
	PFIZER INC 1.7% DUE 05-28-2030	117,687
	PFIZER INC 2.625% DUE 04-01-2030	116,021
	PHILIP MORRIS INTL 1.125% DUE 05-01-2023	80,352
	PHILIP MORRIS INTL 2.1% DUE 05-01-2030	78,364
	PIONEER NAT RES CO .75% DUE 01-15-2024 REG	197,320
	PIONEER NAT RES CO 1.125% DUE 01-15-2026 REG	87,452
	PNC FINL SVCS 3.5% DUE 01-23-2024	816,853
	PROCTER & GAMBLE 2.8% DUE 03-25-2027	21,163
	PROCTER & GAMBLE CO 3.0% DUE 03-25-2030 REG	65,279
	PROSUS NV 3.061% 07-13-2031	712,390
	PVPTL PETROLEOS DEL PERU S A 5.625% DUE 06-19-2047	336,648
	PVPTL PETROLEOS DEL PERU SA 4.75% DUE 06-19-2032	250,426
	PVT PL BNP PARIBAS SR NON PFD 4.705% 01-10-2025	457,854
	PVT PLBNP PARIBAS SR NON PFD 5.198% 01-10-2030	232,878
	PVTP LKAZMUNAIGAZ FIN SUB BV GTD GLOBAL MEDIUM CORP 5.375% 04-24-2030	233,100
	PVTPL ANGLO AMERN CAP PLC GTD NT 3.625% 09-11-2024	388,467
	PVTPL AREIT 2021-CRE5 LTD/AREIT AREIT SER 21-CRE5 CL B FLTGT RT 07-17-2026	429,266
	PVTPL AVIS BUDGET RENT CAR FDG SER 19-2A CL A 3.35% 09-22-2025	303,735
	PVTPL BDS 2021-FL10 LTD/BDS 2021-FL10 LLC 5TH PRY SEC D CL D FLTGT 12-18-2036	569,465
	PVTPL BENEFIT STR PARTNERS CLO IV LTD/BENEFI VAR RT DUE 01-20-2032 BEO	250,009
	PVTPL BLACKROCK ELBERT CLO V LTD SER 5A CL A1 FLTGT RT 144A 12-25-2031	340,007
	PVTPL BNP PARIBAS 2.219% DUE 06-09-2026/06-09-2025 REG	201,948
	PVTPL BNP PARIBAS SR NON PFD MED 4.4% 08-14-2028	269,189
	PVTPL BPCE MEDIUM TERM SUB NTS BOOK ENTRY 144A 4.625 DUE 07-11-2024 BEO	534,525
	PVTPL BROADCOM INC 3.137% DUE 11-15-2035 BEO	513,818
	PVTPL CAMERON LNG LLC 2.902% 07-15-2031	93,807
	PVTPL CARGILL INC NT 1.375% DUE 07-23-2023 BEO	120,951
	PVTPL CAYUGA PK CLO LTD SER 20-1A CL AR FLTGT 07-17-2034	329,817
	PVTPL CERBERUS SER 20-1A CL A FLTGT RT RT 10-15-2031	125,099
	PVTPL CHENIERE ENERGY PARTNERS L P 3.25%DUE 01-31-2032 BEO	30,300
	PVTPL CIFC FUNDING LTD SER 21-4A CL A FLTGT 07-15-2033	448,266
	PVTPL CMO BX TR 2019-IMC COML MTG PASSTHRU CTF CL A 144A 04-15-2034	638,795
	PVTPL CMO CSMC SER 2021-2R NT CL 1-A-1 144A VAR RT DUE 07-25-2047 BEO	118,944
	PVTPL CMO CSMC TR 2014-USA COML MTG PASS THRU CTF CL B 144A 4.1846 9-15-37 BEO	745,381
	PVTPL CMO GS MTG SECS CORP TR 2018-SRP5 COML MTG PASSTHRU CTF CL A 08-25-2023	967,518
	PVTPL CMO GS MTG SECS CORP TR 2018-SRP5 COML MTG PASSTHRU CTF CL B 08-25-2023	919,308
	PVTPL CMO LEGACY MTG ASSET TR SER-19-GS7 CL A1 VAR 06-25-2059 BEO	609,824
	PVTPL CMO MORGAN STANLEY CAP I TR SER 2017-ASHF CL A FRN 11-15-2034	84,229
	PVTPL CMO NEW RESIDENTIAL MTG 19-6A MTG BACKED NT CL B1 VAR RT DUE 09-25-2059	288,233
	PVTPL CMO OPG TR 2021-PORT SR 21-PORT CLA FLTGT RT 10-15-2036	5,151,608
	PVTPL COMCAST CORP NEW NT 2.937% 11-01-2056	25,831
	PVTPL CONOCOPHILLIPS 4.3% DUE 08-15-2028 BEO	134,872
	PVTPL CONTINENTAL RES INC 5.75% DUE 01-15-2031/11-25-2020 BEO	94,210
	PVTPL CONTINENTAL RESOURCES 2.268% 11-15-2026	49,625
	PVTPL COTERRA ENERGY INC 4.375% DUE 03-15-2029	33,549
	PVTPL COTERRA ENERGY INC COTERRA ENERGY INC 4.375% DUE 06-01-2024	232,694
	PVTPL COTERRA ENERGY INC SR NT 3.9% 05-15-2027	387,102
	PVTPL CREDIT SUISSE GROUP AG 3.091% 05-14-2032	254,672

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	PVTPL CREDIT SUISSE GROUP AG SR CALL NT 4.194% DUE 04-01-2031 BEO	\$ 275,976
	PVTPL DANSKE BK A/S MEDIUM TERM NTS BOOKENTRY 1.226% DUE 06-22-2024/06-22-2023	199,739
	PVTPL DANSKE BK A/S MEDIUM TERM NTS BOOKENTRY TRANCHE 5.0% 01-12-2022 REG	400,354
	PVTPL DISH DBS CORP SR SECD NT 5.25% 12-01-2026	40,616
	PVTPL DIVIDEND SOLAR LNS SER 18-2 CL B 4.25% 12-20-2038 BEO	842,612
	PVTPL DRYDEN 75 CLO LTD/DRYDEN 75 CLO LLC SR SECD NT CL A-R2 FLTG 04-15-2034	250,097
	PVTPL EQT CORP SR NT 3.625% 05-15-2031	83,000
	PVTPL GOLUB CAP PARTNERS CLO 57 M SR 21-57A CL A1 VAR RT DUE 10-25-2034 BEO	459,998
	PVTPL GREENWOOD PK CLO LTD SER 18-1A CL A2 FLTG 04-15-2031	249,861
	PVTPL GREYWOLF CLO V LTD VAR RT SR 15-1A CL A-1-R 01-25-2031	250,058
	PVTPL GRIPPEN PARK CLO LTD SER 17-1A CL A FLTG RATE DUE 01-20-2030	500,000
	PVTPL GUARDIAN LIFE GLOBAL FDG SR SECD MEDIUM 1.1% DUE 06-23-2025 BEO	49,544
	PVTPL HALCYON LN ADVISORS FDG 2015-2 LTD/HAL SR 15-2A CL A-R FLTG 07-25-2027	8,335
	PVTPL INTESA SANPAOLO S P A 144A BD 5.017% DUE 06-26-2024 REG	707,342
	PVTPL INTESA SANPAOLO S P A RCPTS CL X 144A 3.125% DUE 07-14-2022 BEO	202,277
	PVTPL KKR CLO 32 LTD/KKR CLO 32 LLC 0.0%15/01/2032 VAR RT DUE 01-15-2032 BEO	150,037
	PVTPL LCM LTD PARTNERSHIP SER 19A CL AR FLTG RT 07-15-2027	116,167
	PVTPL MARS INC DEL 3.2% 04-01-2030	53,896
	PVTPL MARS INC DEL NT 2.7% 04-01-2025	93,625
	PVTPL MIDOCEAN CR CLO SER 17-7A CL BR FLTG 07-15-2029	249,659
	PVTPL NATIXIS COML MTG SR 2019-FAME CL A 3.0469% 08-15-2024	693,243
	PVTPL NAVIENT STUDENT LOAN TRUST SER 21-A CL B 2.24% DUE 05-15-2069	227,971
	PVTPL NISSAN MTR LTD 3.522% DUE 09-17-2025 BEO	346,054
*	PVTPL NXP B V/NXP FDG LLC/NXP USA INC 2.7% DUE 05-01-2025/05-01-2020 BEO	82,670
	PVTPL OAK HILL CREDIT PARTNERS SR 20-7A CL A FLTG 10-19-2032	150,032
	PVTPL OCTAGON INVESTMENT PARTNERS 45 LTD SER 19-1A CLS A FLTG 10-15-2032	250,034
	PVTPL ORBIA ADVANCE CORP SAB DE CV 2.875% DUE 05-11-2031 BEO	195,500
	PVTPL OWL ROCK CLO I LTD SER 20-3A CLS A1L FLTG RT 04-20-2032	280,620
	PVTPL PALMER SQUARE CLO 2019-1 LTD CL A-1-R FLTG 11-14-2034	320,000
	PVTPL PARK AEROSPACE HLDGS 5.25% 08-15-2022	5,110
	PVTPL PARSLEY ENERGY LLC/PARSLEY FIN CORP 4.125% DUE 02-15-2028 BO	20,125
	PVTPL POINT AU ROCHE PARK CLO, LTD SER 21-1A A FLTG 07-20-2034	438,773
	PVTPL RECETTE CLO LTD/RECETTE CLO LLC SRSECD NT CL A-RR FLTG 144A 01-20-2033	339,064
	PVTPL SANDS CHINA LTD 2.85% 03-08-2029	188,208
	PVTPL SILVER ROCK CLO I LTD/SILVER ROCK CLO FLTG SR 20-1A CL A 10-20-2031	460,713
	PVTPL SMB PRIVATE ED LN TR 2020-PT-A 1.6% DUE 09-15-2054 BEO	407,058
	PVTPL SYMPHONY CLO XIX LTD/SYMPHONY CLO XIX SR SECD NT CL A FLTG 04-16-2031	499,725
	PVTPL TCI-FLATIRON CLO 2016-1 LTD/TCI-FLATIR SR 16-1A CL AR2 VAR RT 01-17-2032	540,139
	PVTPL TENCENT HLDGS LTD MEDIUM TERM NTS 3.68% DUE 04-22-2041/04-22-2021 REG	457,505
	PVTPL TENNESSEE GAS PIPELINE CO SR NT 144A 2.9% DUE 03-01-2030	284,685
	PVTPL UBS AG LONDON BRH NT 144A 1.75% DUE 04-21-2022 BEO	230,722
	PVTPL UBS GROUP FUNDING (SWITZERLAND) 3.491% 05-23-2023	606,086
	PVTPL UBS GROUP FUNDING 4.125% 09-24-2025 BEO	270,036
	PVTPL UNICREDIT SPA 6.572% DUE 01-14-2022 BEO	851,233
	PVTPL USAA CAP CORP 2.125% DUE 05-01-2030 REG	150,126
	PVTPL USQ RAIL I LLC/USQ CDA RAIL ULC 21-1A CL A2.25% DUE 02-28-2051 BEO	296,784
	PVTPL VERIZON COMMUNICATIONS INC 2.355% DUE 03-15-2032 BEO	663,942
	PVTPL VOYA CLO LTD SER 18-4A CL A1AR FLTG 01-15-2032	478,279
	PVTPL WHITEBOX CLO II LTD/WHITEBOX CLO II LL SR 20-2A CL A1R VAR RT 10-24-2034	320,000
	PVTPL ZAIS CLO 13 LTD FLTG RT SER 19-13A CL A1A 07-15-2032 BEO	250,248
	PVTPL1 GLENCORE FDG LLC GTD 4.125% DUE 05-30-2023 BEO	821,537
	RAYTHEON 2.25% DUE 07-01-2030	119,786
	RAYTHEON TECHNOLOGIES CORP 3.15% 12-15-2024	62,991
	REESE PK CLO LTD / REESE PK CLO LLC 2.17429% 10-15-2034	499,589
	REGENCY ENERGY 4.5 DUE 11-01-2023	146,667
	REPUBLIC SVCS INC 3.2% DUE 03-15-2025	346,263
	REYNOLDS CONSUMER PRODUCTS, INC. TERM LOAN 01-29-2027	564,381
	ROYAL BK CDA GLOBAL MEDIUM TERM SR BK NT1.15% DUE 06-10-2025	119,015
	ROYAL BK OF CANADA 1.6% 04-17-2023	212,373
	SANDS CHINA LTD 3.8% SNR NTS 01-08-2026 USD	201,028
	SANDS CHINA LTD FIXED 5.125% DUE 08-08-2025	230,793

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	SANDS CHINA LTD SR NT 144A 2.3% 03-08-2027	\$ 216,499
	SANTANDER UK GROUP 3.571% DUE 01-10-2023	260,110
	SHELL INTL FIN B V 2.75% DUE 04-06-2030	62,732
	SHELL INTL FIN B V 2.875% DUE 05-10-2026	106,128
	SHELL INTL FIN B V 3.25% DUE 04-06-2050	213,598
	SINOPEC GROUP 4.375% DUE 04-10-2024	950,084
	SKYMILES IP LTD DELTA AIR LINES INC DUE 10-20-2027 BEO-20-2027 BEO	42,250
	SLM PRIVATE CR STUDENT LN TR 2007-A STUDLN BACKED NT A-4 FLTG 12-16-2041BEO	534,761
	SMB PRIVATE ED LN TR 2021-A PVT ED LN BKD NT CL A-2B 1.59% 01-15-2053	604,120
	SMBC AVIATION CAP 4.125% DUE 07-15-2023	239,637
	SOUTHN COPPER CORP 5.25% DUE 11-08-2042	679,725
	SOUTHWESTERN ENERGY CO 4.75% 02-01-2032	42,109
	SOUTHWESTN ENERGY 5.375% DUE 03-15-2030	21,430
	STERIGENICS-NORDION HOLDINGS, LLC FLTG RT TBL 12-13-2026	308,546
	SUZANO AUSTRIA GMBH 3.125% 01-15-2032	116,101
	SUZANO AUSTRIA GMBH 3.75% DUE 01-15-2031BEO	315,041
	SWEDBANK AB MEDIUM 1.3% DUE 06-02-2023	201,185
	TARGA RES PARTNERS FIXED 5% DUE 01-15-2028	21,074
	TARGET CORP 2.25% DUE 04-15-2025	123,715
	TEACHERS INS & 6.85% DUE 12-16-2039	14,954
	TECK RESOURCES LTD 6% DUE 08-15-2040	12,821
	TELEFONICA EMISIONES S A U 4.895% 03-06-2048	289,609
	TEVA 2.8% DUE 07-21-2023	170,589
	TEVA 2.95% DUE 12-18-2022	40,045
	TEVA 3.15% DUE 10-01-2026	329,000
	TEVA 5.125% DUE 05-09-2029	362,715
	TEVA PHARMACEUTICAL FIN NETH III B CORP 7.125% 01-31-2025	214,000
	TEXAS INSTRS INC 1.75% DUE 05-04-2030	68,717
	T-MOBILE USA INC 2.05% DUE 02-15-2028	29,803
	T-MOBILE USA INC 2.55% 02-15-2031	69,726
	T-MOBILE USA INC 3.0% DUE 02-15-2041 BEO	9,791
	T-MOBILE USA INC 3.5% 04-15-2025	551,147
	T-MOBILE USA INC 3.875% 04-15-2030	328,538
	T-MOBILE USA INC FIXED 3.75% DUE 04-15-2027	32,507
	TORONTO DOMINION BK SR MEDIUM TERM BK NT .75% DUE 06-12-2023	250,033
	TORONTO DOMINION BK SR MEDIUM TERM BK NT 1.15% DUE 06-12-2025	109,123
	TOYOTA MTR CORP 1.339% DUE 03-25-2026 BEO	308,745
	TRCNTNTL GAS PIPE 7.85% DUE 02-01-2026	451,836
	U S BANCORP MEDIUM FIXED 1.45% 05-12-2025	281,271
	U S BANCORP MEDIUM FIXED 3.375% DUE 02-05-2024	1,268,594
	UBS GROUP FDG SWITZ AG 2.859% 144A 08-15-2023	202,405
	UNION PAC CORP 2.891% DUE 04-06-2036	157,442
	UNION PAC CORP 3.75% 07-15-2025	32,420
	UNITED RENTALS NORTH AMER INC 4.875% DUE01-15-2028	105,088
	UNITED TECHNOLOGIES CORP 3.95% 08-16-2025	108,465
	UNITED TECHNOLOGIES CORP 4.125% 11-16-2028	33,583
	UNITEDHEALTH GROUP 1.25% DUE 01-15-2026	39,868
	UNITEDHEALTH GROUP INC 2.0% 05-15-2030	39,753
	UNITEDHEALTH GROUP INC 4.45% DUE 12-15-2048 REG	12,806
	UTD RENTALS N AMER 3.875% DUE 11-15-2027	20,724
	VALE OVERSEAS LTD 6.875% DUE 11-21-2036	26,575
	VERIZON 3.85% DUE 11-01-2042	11,209
	VERIZON 4% DUE 03-22-2050	58,104
	VERIZON 4.125% DUE 08-15-2046	34,907
	VERIZON 4.862% DUE 08-21-2046	64,558
	VERIZON COMMUNICATIONS INC 1.68% 10-30-2030 USD	215,921
	VERIZON COMMUNICATIONS INC 4.329% 09-21-2028	301,273
	VERIZON FIXED 2.1% DUE 03-22-2028	140,380
	VERIZON FIXED 2.55% DUE 03-21-2031	232,305
	VERSCEND HLDG CORP TERM B-1 LOAN DUE 08-27-2025 BEO	99,117
	VFH PARENT LLC TERM LOAN 01-03-2026	129,585
	VIRGIN MEDIA BRISTOL LLC TERM LOAN 01-03-2028	376,280
	VIRGIN MEDIA BRISTOL LLC TERM LOAN Q DUE01-31-2029 BEO	39,956

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	VISA INC 1.9% DUE 04-15-2027 REG	\$ 101,384
	VOC ESCROW LTD 5% DUE 02-15-2028	29,700
	WALMART INC 1.5% DUE 09-22-2028 BEO	39,788
	WALMART INC 1.8% DUE 09-22-2031 BEO	29,735
	WELLS FARGO & CO 3% DUE 10-23-2026	346,900
	WELLS FARGO & CO 3.75% DUE 01-24-2024	1,555,206
	WELLS FARGO & CO 4.478% 04-04-2031	104,656
	WELLS FARGO & CO 4.9% DUE 11-17-2045	50,456
	WELLS FARGO & CO MEDIUM TERM 5.013% 04-04-2051	301,469
	WELLS FARGO & CO MEDIUM TERM SR NTS BOOK2.188% DUE 04-30-2026	244,342
	WELLS FARGO & CO NEW MEDIUM TERM SR NTS TRANCHE # TR 00155 4.3% DUE 07-22-2027	412,477
	WELLS FARGO & CO TRANCHE # SR 00129 3.45DUE 02-13-2023	668,807
	WESTERN MIDSTREAM OPER LP 3.1% DUE 02-01-2025	62,701
	WESTERN MIDSTREAM OPER LP 4.05% DUE 02-01-2030/01-13-2020 REG	143,133
	WESTERN MIDSTREAM OPER LP FRN 01-13-2023REG	39,941
	WESTN GAS PARTNERS 5.3% 03-01-2048	12,050
	WESTN GAS PARTNERS 5.5% DUE 08-15-2048	71,700
	WFRBS COML MTG TR 3.324% DUE 11-15-2047	419,414
	WHATABRANDS LLC SR SEC TL 07-21-2028 DUE08-03-2028 BEO	9,955
	WHITEBOX CLO III LTD / WHITEBOX CLO SERIES 21-3A CLASS A115 FLTG 10-15-2034	280,130
	WILLIAMS PARTNERS 3.75% DUE 06-15-2027	388,891
	Total Corporate Debt Instruments - Other	143,800,451
	Value of Corporate Stock - Common	
	#REORG/HOLLYFRONTIER MANDATORY EXCHANGE HF SINCLAIR 0002117AY3 03-14-2022	791,965
	#REORG/STERLING BANCORP DEL STOCK MERGERWEBSTER FINL CORP 2911671 02-01-2022	748,400
	5TH 3RD BANCORP COM	1,411,238
	ABBVIE INC COM USD0.01	2,215,956
	ACTIVISION BLIZZARD INC COM STK	1,211,179
	ACUITY BRANDS INC COM	1,344,422
	ADOBE SYS INC COM	15,422,898
	ADR ARGENX SE SPONSORED ADS	3,477,037
	ADR ASCENDIS PHARMA A/S SPONSORED ADR	4,244,960
	ADR ASML HLDG NV NY REG 2012 (POST REV SPLIT)	14,153,777
	ADR BIONTECH SE ADS	1,000,264
	ADR CENTESSA PHARMACEUTICALS PLC SPONSORED ADS	411,384
	ADR CRH PLC ADR	1,246,608
	ADR ENDAVA PLC ADS	3,268,395
	ADR EVOLUTION AB ADR UNSP ADR EACH REPR 1 ORD	981,134
	ADR EVOTEC SE SPONSORED ADS	1,337,173
	ADR NOVARTIS AG	1,647,060
	ADR PROSUS N.V. ADR NASPERS NEWCO-ADR	1,535,810
	ADR SAFRAN ADR	2,336,892
	ADR SILICON MOTION TECHNOLOGY CORP SPONSORED ADR REPSTG SHS	2,014,636
	ADR SONY GROUP CORPORATION SPON ADS EACH REPR 1 ORD SHS	2,307,053
	ADR TAIWAN SEMICONDUCTOR MANUFACTURING SPON ADS EACH REP 5 ORD TWD10	6,763,106
	ADVANCED DRAIN SYS INC DEL COM	1,556,102
	ADVANCED ENERGY INDS INC COM	977,529
	AERCAP HOLDINGS N.V. EUR0.01	1,984,385
	AGILENT TECHNOLOGIES INC COM	2,277,248
	ALBANY INTL CORP NEW CL A	1,016,291
	ALIGN TECHNOLOGY INC COM	15,014,591
	ALLEGION PLC COMMON STOCK	559,559
	ALLISON TRANSMISSION HOLDING	34,496
	ALPHABET INC CAP STK USD0.001 CL C	35,024,013
	ALPHABET INC CAPITAL STOCK USD0.001 CL A	4,180,429
	AMAZON COM INC COM	47,644,384
	AMBARELLA INC SHS	5,118,712
	AMERESCO INC	1,313,953
	AMERICAN INTERNATIONAL GROUP INC COM	957,750
	AMERISOURCEBERGEN CORP COM	1,559,065
	AMN HEALTHCARE SVCS INC COM	3,145,838

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	ANTHEM INC COM	\$ 5,472,553
	AON PLC	6,603,003
	APPLE INC COM STK	28,884,069
	APPLIED MATERIALS INC COM	2,383,847
	APTIV PLC COM USD	3,245,226
	ARISTA NETWORKS INC COM	3,193,981
	ARMSTRONG WORLD INDS INC NEW COM STK	1,158,645
	ASPEN AEROGELS INC COM	1,490,663
	ATKORE INC	1,970,509
	ATLISSIAN CORPORATION PLC COM USD0.1 CL A	5,442,152
	ATMOS ENERGY CORP COM	798,871
	ATRICURE INC COM STK	923,567
	AUTOZONE INC COM	4,538,684
	AVANTOR INC COM	2,301,729
	AVNET INC COM	1,027,328
	AXALTA COATING SYSTEMS LTD COM USD1.00	1,707,270
	AXCELIS TECHNOLOGIES INC COM NEW COM NEW	3,219,426
	AXON ENTERPRISE INC COM	1,125,533
	AZENTA INC COM USD0.01	1,595,112
	BALL CORP COM	2,017,338
	BANCORP INC DEL COM STK	787,369
	BANK OF AMERICA CORP	3,084,314
	BEAM THERAPEUTICS INC COM	639,831
	BERKSHIRE HATHAWAY INC-CL B	5,201,404
	BIGCOMMERCE HLDGS INC COM SER 1 COM SER 1	1,064,955
	BILL COM HLDGS INC COM	1,641,400
	BIOHAVEN PHARMACEUTICAL HLDG CO LTD COM NPV	966,875
	BLACKSTONE INC COM USD0.00001	17,387,299
	BLUEPRINT MEDICINES CORP COM	1,537,671
	BOOKING HLDGS INC COM	8,027,824
	BOOT BARN HLDGS INC COM	3,637,358
	BORG WARNER INC COM	561,212
	BOSTON SCIENTIFIC CORP COM	8,432,917
	BRISTOL MYERS SQUIBB CO COM	1,930,044
	BRP GROUP INC CL A CL A	1,040,149
	BURLINGTON STORES INC COM	3,308,639
	CABOT CORP COM	612,074
	CAESARS ENTMT INC NEW COM	4,526,758
	CALIX NETWORKS INC COMMON STOCK	4,047,122
	CALLAWAY GOLF CO COM	704,577
	CAMECO CORP COM	1,323,998
	CANADIAN NAT RES LTD COM CANADIAN NATURAL RESOURCE COM STK	1,782,697
	CANADIAN NATL RY CO COM	1,581,945
	CAPITAL ONE FINL CORP COM	4,912,892
	CARGURUS INC CL A CL A	1,205,557
	CATALENT INC COM	4,089,022
	CATERPILLAR INC COM	1,410,794
	CBRE GROUP INC CL A CL A	1,674,526
	CELSIUS HLDGS INC COM NEW COM NEW	3,317,768
	CENOVUS ENERGY INC COM	813,354
	CENTENE CORP DEL COM	2,755,044
	CENTERPOINT ENERGY INC COM	1,638,317
	CENTURY CMNTYS INC COM	2,172,833
	CERIDIAN HCM HLDG INC COM	3,041,562
	CHANNELADVISOR CORP COM	1,293,726
	CHARLES RIV LABORATORIES INTL INC COM	1,319,107
	CHART INDS INC COM PAR \$0.01 COM PAR \$0.01	851,517
	CHARTER COMMUNICATIONS INC NEW CL A CL A	1,035,328
	CHEGG INC COM	459,426
	CHIPOTLE MEXICAN GRILL INC COM STK	3,202,794
	CHUBB LTD ORD CHF24.15	1,966,543
	CI FINL CORP COM	1,414,888
	CIGNA CORP NEW COM	3,580,391

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	CISCO SYSTEMS INC	\$ 4,532,856
	CITIGROUP INC COM NEW COM NEW	8,248,127
	CMC MATLS INC COM	803,181
	COCA-COLA EUROPACIFIC PARTNERS	1,711,178
	CODEXIS INC COM	712,737
	COGNEX CORP COM	1,255,280
	COGNIZANT TECH SOLUTIONS CORP CL A	1,519,774
	COMCAST CORP NEW-CL A	6,810,857
	CONMED CORP COM	1,224,806
	CONOCOPHILLIPS COM	4,423,046
	CORE & MAIN INC COM CL A COM CL A	1,894,672
	COSTAR GROUP INC COM	7,573,129
	CRACKER BARREL OLD COUNTRY STORE COM	649,503
	CRINETICS PHARMACEUTICALS INC COM	1,663,406
	CROCS INC COM	2,360,274
	CUSTOMERS BANCORP INC COM	1,512,139
	CVS HEALTH CORP COM	3,300,192
	CYBER-ARK SOFTWARE LTD COM ILS0.01	936,752
	CYTOKINETICS INC COMMON STOCK	3,113,980
	D R HORTON INC COM	908,811
	DANAHER CORP COM	18,031,064
	DARDEN RESTAURANTS INC COM	703,790
	DARLING INGREDIENTS INC COMSTK	1,386,631
	DATADOG INC COM USD0.00001 CL A	3,791,606
	DEERE & CO COM	12,968,100
	DEXCOM INC COM	12,284,879
	DIAMONDBACK ENERGY INC COM	1,289,670
	DICE THERAPEUTICS INC COM	997,644
	DIGI INTL INC COM	707,174
	DIGITALOCEAN HLDGS INC COM	800,729
	DISH NETWORK CORP CL A COM STK	2,793,149
	DOCEBO INC	2,009,618
	DOMINION ENERGY INC COM STK NPV	1,824,713
	DOVER CORP COM USD1.00	1,067,082
	DRAFTKINGS INC CL A COM USD0.0001 CL A	394,469
	DUCKHORN PORTFOLIO INC COM	1,868,740
	DUPONT DE NEMOURS INC COMMON STOCK	3,016,568
	DUTCH BROS INC CL A CL A	922,642
	EASTMAN CHEM CO COM	888,930
	EATON CORP PLC COM USD0.50	2,793,117
	EDWARDS LIFESCIENCES CORP COM	5,510,021
	EMERGENT BIOSOLUTIONS INC COM	401,619
	ENTEGRIS INC COM	3,499,422
	EOG RESOURCES INC COM	1,679,331
	EVEREST RE GROUP COM	1,292,902
	EVERI HLDGS INC COM	735,764
	EVOQUA WATER TECHNOLOGIES CORP COM	2,026,098
	EXACT SCIENCES CORP COM	778,533
	EXPONENT INC COM STK	1,809,782
	FARFETCH LTD COM USD0.04 CLASS A	3,128,145
	FIDELITY NATL INFORMATION SVCS INC COM STK	2,716,198
	FIRST REP BK SAN FRANCISCO CALIF NEW COM	2,500,217
	FIRSTCASH HLDGS INC COM	859,567
	FLEETCOR TECHNOLOGIES INC COM	1,336,772
	FLEX LTD COM USD0.01	1,178,436
	FLUENCE ENERGY INC CL A CL A	1,092,723
	FORTIVE CORP COM MON STOCK	2,911,532
	FORWARD AIR CORP COM	712,978
	FOX FACTORY HLDG CORP COM	1,079,455
	FREEPORT-MCMORAN INC	3,908,682
	GATX CORP COM	944,899
	GEN MTRS CO COM	3,138,640
	GENERAC HLDGS INC COM STK	3,608,588

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	GENERAL DYNAMICS CORP COM	\$ 2,683,426
	GENERAL ELECTRIC CO COM USD0.01(POST REV SPLIT)	6,366,900
	GENMAB A/S SPONSORED ADR	2,600,516
	GENTHERM INC COM NPV	1,582,970
	GLOBAL E ONLINE LTD COM NPV	1,340,572
	GLOBAL PMTS INC COM	5,646,063
	GOLDMAN SACHS GROUP INC COM	9,343,401
	GRAB HLDGS LTD	1,079,161
	GRAB HLDGS LTD 12-01-2026	42,717
	GREEN PLAINS INC COM STK	789,817
	GRID DYNAMICS HOLDINGS INC COM USD0.0001CL A	2,537,193
	HALOZYME THERAPEUTICS INC COM	1,012,850
	HARLEY DAVIDSON COM USD0.01	538,251
	HAYWARD HLDGS INC COM	1,941,571
	HEARTLAND FINL USA INC COM STK	1,057,597
	HERC HLDGS INC COM	1,275,569
	HEXCEL CORP NEW COM	698,937
	HILTON WORLDWIDE HLDGS INC COM NEW COM NEW	5,471,037
	HOSTESS BRANDS INC CL A CL A	904,157
	HOWMET AEROSPACE INC COM USD1.00 WI	1,792,984
	HUBSPOT INC COM	6,965,897
	HUNTINGTON INGALLS INDS INC COM	736,316
	IAC/INTERACTIVECORP NEW COM NEW COM NEW	881,247
	ICON PLC COM	1,529,608
	IMPINJ INC COM	1,672,882
	INGERSOLL RAND INC COM	4,219,781
	INMODE LTD COM ILS0.01	869,969
	INSPIRE MED SYS INC COM	1,996,921
	INSTALLED BLDG PRODS INC COM	1,989,753
	INTELLIA THERAPEUTICS INC COM	854,993
	INVITAE CORP COM	500,993
	JOHNSON & JOHNSON COM USD1	6,139,873
	JPMORGAN CHASE & CO COM	5,280,973
	KBR INC COM	1,904,752
	KEURIG DR PEPPER INC COM	2,415,399
	KEYCORP NEW COM	788,502
	KINSALE CAP GROUP INC COM	1,700,676
	KLA CORPORATION COM USD0.001	1,214,631
	KOHL'S CORP COM	785,894
	KORN FERRY COM	1,336,407
	KORNIT DIGITAL LTD COMMON STOCK	2,822,715
	KRISPY KREME INC COM	1,039,503
	KYMERA THERAPEUTICS INC COM	954,953
	LAM RESH CORP COM	1,142,729
	LATHAM GROUP INC COM	1,239,010
	LATTICE SEMICONDUCTOR CORP COM	6,766,176
	LENNAR CORP CL A CL A	905,932
	LIBERTY BROADBAND CORP COM SER C COM SERC	8,278,285
	LIBERTY MEDIA CORPORATION COM USD0.01 SER C FORMULA	4,971,739
	LIVE OAK BANCSHARES INC COM	2,352,378
	LIVENT CORP COM	1,957,421
	LKQ CORP COM LKQ CORP	1,660,910
	LPL FINL HLDGS INC COM	1,861,366
	LULULEMON ATHLETICA INC COM	1,532,135
	LUMENTUM HLDGS INC COM	232,800
	LVMH MOET HENNESSY LOUIS VUITTON SE EUR0.30	8,207,919
	LYFT INC CL A CL A	1,698,945
	LYONDELLBASELL IND N V COM USD0.01 CL 'A'	1,343,791
	MAGNOLIA OIL & GAS CORP CL A CL A	1,729,549
	MARATHON PETE CORP COM	2,730,709
	MARQETA INC COM USD0.001 A	255,885
	MARRIOTT INTL INC NEW COM STK CL A	7,353,180
	MASCO CORP COM	1,198,726

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	MASTERCARD INC CL A	\$ 19,349,023
	MATADOR RES CO COM	1,270,676
	MATCH GROUP INC NEW COM	9,896,532
	MAXLINEAR INC COMMON STOCK	3,279,390
	MCKESSON CORP	1,767,581
	MEDPACE HLDGS INC COM	3,245,012
	MERITAGE HOMES CORP COM	789,362
	MERUS B V MERUS N V	733,658
	META PLATFORMS INC	28,978,571
	MICRON TECH INC COM	3,267,702
	MICROSOFT CORP COM	60,368,095
	MIDDLEBY CORP COM	775,431
	MIRATI THERAPEUTICS INC COM	1,133,620
	MOHAWK INDS INC COM	1,598,083
	MONDAY COM LTD COM NPV	1,470,742
	MONOLITHIC PWR SYS INC COM	997,513
	MONTROSE ENVIRONMENTAL GROUP INC COM	1,194,862
	MOOG INC CL A	694,318
	MORGAN STANLEY COM STK USD0.01	6,738,978
	MORPHIC HLDG INC COM	780,206
	MP MATERIALS CORP COM USD0.0001 CL A	2,649,122
	MSCI INC COMMON	3,610,582
	MURPHY OIL CORP COM	1,071,163
	NASDAQ INC	3,176,191
	NASPERS SPON ADR EACH REP 0.2 ORD SHS (P/S)CL N	642,196
	NATERA INC COM	937,542
	NATIONAL VISION HLDGS INC COM	825,764
	NEOGENOMICS INC COM NEW COM NEW	1,028,752
	NETAPP INC COM STK	774,924
	NETFLIX INC COM STK	18,683,472
	NEW YORK TIMES CO CL A ISIN #US6501111073	2,058,739
	NEWMONT CORPORATION	960,256
	NIKE INC CL B	6,594,799
	NORTONLIFE LOCK INC	1,148,576
	NOV INC COM	725,670
	NUVALENT INC CL A CL A	677,653
	NVIDIA CORP COM	11,982,924
*	NXP SEMICONDUCTORS N V COM STK	1,259,168
	ON SEMICONDUCTOR CORP COM	1,718,919
	OPTIMIZERX CORP COM NEW COM NEW	722,091
	OTIS WORLDWIDE CORP COM USD0.01 WI	1,665,301
	OWENS CORNING NEW COM STK	933,146
	PAPA JOHNS INTL INC COM	1,478,447
	PINNACLE FINL PARTNERS INC COM	781,668
	PIONEER NAT RES CO COM	2,308,785
	POLARIS INC	595,053
	PROCTER & GAMBLE COM NPV	10,074,238
	QORVO INC COM	1,811,309
	QUALCOMM INC COM	3,566,148
	RAYMOND JAMES FNCL INC COM STK	969,362
	REINSURANCE GROUP AMER INC COM NEW STK	866,175
	RELAY THERAPEUTICS INC COM	2,677,451
	RENAISSANCE RE HLDGS LTD COM	738,109
	REPLIGEN CORP COM STK USD0.01	1,234,154
	RESTAURANT BRANDS INTL INC COM NPV	515,416
	REVOLVE GROUP INC CL A CL A	1,108,695
	RIVIAN AUTOMOTIVE INC	5,536,839
	ROKU INC COM CL A COM CL A	1,982,602
	RYDER SYS INC COM	1,484,399
	SABRE CORP COM	744,598
	SAIA INC COM STK	2,630,856
	SANMINA CORP COM	740,683
	SANOFI SPONSORED ADR	2,247,636

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	SCHLUMBERGER LTD COM COM	\$ 1,608,345
	SCHWAB CHARLES CORP COM NEW	2,691,368
	SCOTTS MIRACLE-GRO CLASS'A'COM NPV	965,839
	SEALED AIR CORP NEW COM STK	1,101,785
	SEAWORLD ENTMT INC COM	1,937,822
	SHERWIN-WILLIAMS CO COM	12,163,606
	SHOCKWAVE MED INC COM	1,501,895
	SHOPIFY INC CL A SHOPIFY INC	4,060,546
	SHYFT GROUP INC COM	1,848,025
	SILVERGATE CAP CORP CL A CL A	1,626,347
	SITIME CORP COM	3,320,329
	SKYLINE CHAMPION CORPORATION COM USD0.0277	3,433,024
	SNAP INC SNAP INC	12,043,207
	SNAP-ON INC COM	779,245
	SOFI TECHNOLOGIES INC COM	897,281
	SONOCO PROD CO COM	670,424
	SPOTIFY TECHNOLOGY S A COM EUR0.025	784,235
	SPRINGWORKS THERAPEUTICS INC COM	2,492,898
	SS&C TECHNOLOGIES HLDGS INC COM	1,610,743
	STEPSTONE GROUP INC CL A CL A	1,112,081
	STIFEL FINL CORP COM	959,332
	SUMMIT MATLS INC CL A CL A	1,739,266
	SVB FINANCIAL GROUP COMMON STOCK	3,935,148
	SYNAPTICS INC COM	1,874,867
	SYNOPSIS INC COM	4,155,206
	TAPESTRY INC COM USD0.01	941,798
	TELEDYNE TECHNOLOGIES INC COM	2,501,195
	TETRA TECH INC NEW COM	1,525,993
	TEXAS INSTRUMENTS INC COM	8,018,645
	THE BEAUTY HEALTH CO CL A	2,074,426
	TIMKEN CO COM	630,193
	TJX COS INC COM NEW	9,068,416
	T-MOBILE US INC COM	1,345,832
	TOAST INC COM USD0.000001 CLASS A	631,410
	TRADEWEB MKTS INC CL A CL A	3,338,668
	TRANSUNION COM	2,834,418
	TREX CO INC COM	1,293,992
	TRIMBLE INC COM TRIMBLE INC	2,383,600
	TRIUMPH BANCORP INC COM	3,030,943
	TRUIST FINL CORP COM	2,332,339
	TWILIO INC CL A CL A	9,754,377
	TYLER TECHNOLOGIES INC COM STK	1,870,990
	ULTRA CLEAN HLDGS INC COM	2,321,244
	UNION PAC CORP COM	1,623,185
	UNITED BANKSHARES INC W VA COM	778,895
	UNITED RENTALS INC COM	1,914,323
	UNITEDHEALTH GROUP INC COM	18,903,562
	UNITY SOFTWARE INC COM	3,730,037
	US FOODS HLDG CORP COM	1,218,144
	VEEVA SYS INC CL A COM CL A COM	4,695,211
	VERTIV HOLDINGS LLC COM USD0.0001	964,167
	VICOR CORP COM	3,195,198
	VISA INC COM CL A STK	1,855,688
	WABTEC CORP COM	1,309,988
	WALT DISNEY CO	13,027,333
	WAYFAIR INC CL A CL A	756,461
	WELLS FARGO & CO NEW COM STK	8,200,646
	WESBANCO INC COM	818,136
	WEST PHARMACEUTICAL SVCS INC COM	3,491,779
	WILLIS TOWERS WATSON PLC COM USD0.000115	2,323,602
	WILLSCOT MOBILE MINI HLDGS CORP COM USD0.01	2,228,394
	WOLVERINE WORLD WIDE INC COM	601,294
	WOODWARD INC COM	850,833

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	WORKDAY INC CL A COM USD0.001	\$ 8,960,577
	XENON PHARMACEUTICALS INC COMMON STOCK	1,340,352
	YETI HLDGS INC COM	1,573,107
	ZIPRECRUITER INC CL A CL A	1,719,189
	ZOOMINFO TECHNOLOGIES INC COM USD0.01 CLASS A	2,306,192
	ZSCALER INC COM	5,730,599
	ZYNGA INC	2,896,806
	Total Value of Corporate Stock - Common	1,193,086,219
	Value of Interest in Common/Collective Trusts	
	CF WESTERN ASST OPPORTUNISTIC STRUCTURED SECURITIES PORT LLC FD	9,206,616
	MFB NT COLLECTIVE RUSSELL 2000 VALUE INDEX FUND LENDING	64,180,134
	MFO STATE STREET GLOBAL ALL CAP EQUITY EX US INDEX SECURITIES LENDING CL II	42,632,518
	MFO STATE STREET RUSSELL SMALL MID CAP INDEX SECURITIES LENDING CL II	99,939,042
	MFO STATE STREET S&P 500 INDEX SECURITIES LENDING SERIES FUND CL II	643,076,167
	MFO T ROWE PRICE RETIREMENT 2015 TRUST F	12,011,230
	MFO T ROWE PRICE RETIREMENT 2020 TRUST F	26,988,819
	MFO T ROWE PRICE RETIREMENT 2025 TRUST F	59,167,359
	MFO T ROWE PRICE RETIREMENT 2030 TRUST F	51,781,658
	MFO T ROWE PRICE RETIREMENT 2035 TRUST F	38,454,083
	MFO T ROWE PRICE RETIREMENT 2040 TRUST F	26,507,981
	MFO T ROWE PRICE RETIREMENT 2045 TRUST F	8,771,707
	MFO T ROWE PRICE RETIREMENT 2050 TRUST F	5,671,336
	MFO T ROWE PRICE RETIREMENT 2055 TRUST F	2,226,698
	MFO T ROWE PRICE RETIREMENT 2060 TRUST F	3,101,802
	MFO T ROWE PRICE RETIREMENT 2065 TRUST F	459,749
	MFO ARROWSTREET COLLECTIVE INVT TR INTL EQUITY ACWI EX US CIT CL B	117,852,100
	NTGI COLTV GOVT STIF REGI STERED	19,423,728
	Total Value of Interest in Common/Collective Trusts	1,231,452,727
	Value of Interest in Registered Investment Companies	
	MFO PIMCO FDS PAC INVT MGMT SER FOR FUTURE I INC FD INSTL CL PDIIX	34,912,219
	MFO INVESTMENT MANAGERS SER TR WCM FOCUSED INTL GROWTH FD INSTL CL	152,919,634
	MFO DFA EMERGING MARKETS VALUE	27,030,724
	MFO ADVISORS INNER CIRCLE FD III GQG PARTNERS EMERGING MKTS	25,725,672
	Total Value of Interest in Registered Investment Companies	240,588,249
	Other	
	ALEXANDRIA REAL ESTATE EQUITIES INC COM	1,035,872
	AMERICAN TOWER CORP	12,775,023
	ARGENTINA REP .6% DUE 07-09-2041 REG	246,750
	ARGENTINA REP AMORTIZING STEP UP 0.125% 07-09-2030	296,380
	ARGENTINA REP BD 1.0% 07-09-2029	17,543
	ARGENTINA REP STEP UP DUE 07-09-2035	30,121
	BRAZIL FEDERATIVE REP BD 4.625% DUE 01-13-2028	354,878
	BRAZIL FEDERATIVE REP GLOBAL BD 5 T BOND 01-27-2045	187,400
	BRAZIL FEDERATIVE REP GLOBAL NT 5.625% DUE 01-07-2041 REG	550,687
	BRAZIL(FED REP OF) 10% GTD SNR 01/01/2023 BRL	619,579
	BUENOS AIRES(PROV) 3.9%-VAR SNR 01/09/37USD1	110,165
	CCP RECEIVABLE FROM BANK OF AMERICA	2,016,000
	CF WA OPPORTUNISTIC US \$ HIGH YIELD SEC PORT LLC	5,130,621
	CF WESTN AST FLOATING RATE HI INC FD LLC	10,544,631
	CHILE(REPUBLIC OF) 3.125% DUE 03-27-2025	209,500
	CME_IRS BOFAGB2U 02/15/2028 USD P 1.35% / R 3MLIBOR 0WS051383-0WZ05138	49,113
	CME_IRS BOFAGB2U 02/15/2047 USD P 1% / R 3MLIBOR 0WS036103-0WZ03610	24,979
	CME_IRS BOFAGB2U 02/15/2047 USD P 1.2% / R 3MLIBOR 0WS040048-0WZ04004	84,357
	CME_IRS BOFAGB2U 02/15/2047 USD P 1.225% / R 3MLIBOR 0WS040063-0WZ04006	40,432
	CME_IRS BOFAGB2U 02/15/2047 USD P 1.6% / R 3MLIBOR 0WS044560-0WZ04456	25,056
	CME_IRS BOFAGB2U 02/15/2047 USD P 1.63% / R 3MLIBOR 0WS053322-0WZ05332	44,966
	CME_IRS BOFAGB2U 06/03/2051 USD P 2% / R 3MLIBOR 0WS049502-0WZ04950	(8,421)

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	CME_IRS BOFAGB2U 06/04/2024 USD P 3MLIBOR / R 0.82% 0WS052688-0WZ05268	\$ (180,373)
	CME_IRS BOFAGB2U 06/07/2051 USD P 2.05% / R 3MLIBOR 0WS049569-0WZ04956	(30,223)
	CME_IRS BOFAGB2U 06/15/2022 USD P 3MLIBOR / R 0.19% 0WS036020-0WZ03602	(4,825)
	CME_IRS BOFAGB2U 07/09/2051 USD P 1.671% / R 3MLIBOR 0WS053264-0WZ05326	17,225
	CME_IRS BOFAGB2U 10/07/2050 USD P 1.2% / R 3MLIBOR 0WS039545-0WZ03954	61,898
	CME_IRS BOFAGB2U 18/07/2029 MXN P 28DTIIE / R 7.45% 0WS031724-0WZ031	(1,899)
	CME_IRS BOFAGB2U 20/07/2029 MXN P 28DTIIE / R 7.44% 0WS031849-0WZ031	(3,229)
	CME_OIS BOFAGB2U 02/15/2047 USD P 1.52% / R 1OSOFR 0WS053223-0WZ05322	(10,068)
	CME_OIS BOFAGB2U 02/15/2047 USD P 1.729% / R 1OSOFR 0WS053009-0WZ05300	(26,091)
	CME_OIS BOFAGB2U 05/15/2027 USD P 0.71% / R 1OSOFR 0WS058263-0WZ05826	77,944
	CME_OIS BOFAGB2U 07/20/2045 USD P 0.56% / R 1DSOFR 0WS036004-0WZ03600	607,149
	CME_OIS BOFAGB2U 08/15/2028 USD P 1.13% / R 1OSOFR 0WS060863-0WZ06086	15,992
	CME_OIS BOFAGB2U 08/15/2028 USD P 1.22% / R 1OSOFR 0WS063123-0WZ06312	(4,879)
	CME_OIS BOFAGB2U 08/19/2045 USD P 0.74% / R 1DSOFR 0WS036087-0WZ03608	189,204
	CME_OIS BOFAGB2U 10/20/2031 USD P 1.733% / R 1OSOFR 0WS060988-0WZ06098	(24,919)
	CME_OIS BOFAGB2U 11/01/2028 USD P 1.2% / R 1OSOFR 0WS063107-0WZ06310	(2,983)
	CME_OIS BOFAGB2U 11/20/2026 USD P 1.52% / R 1OSOFR 0WS063222-0WZ06322	(17,104)
	COLOMBIA REP COLOM 4.125% DUE 02-22-2042 REG	167,000
	EASTGROUP PPTYS INC REIT	1,120,794
	EMIRATE ABU DHABI GLOBAL MEDIUM TERM NTS #TR 00004 2.125% 144A	1,187,515
	FUT CALL FEB 22 CBT 5Y T-NOTE 1212	(11,953)
	FUT CALL FEB 22 CBT 5Y T-NOTE 1215	(5,938)
	FUT CALL FEB 22 CBT 5Y T-NOTE 1220	(844)
	FUT CALL FEB 22 T-NOTE OPTION 1305	6,938
	FUT CALL FEB 22 T-NOTE OPTION 1310	4,125
	FUT CALL FEB 22 T-NOTE OPTION 1315	(4,313)
	FUT CALL FEB 22 T-NOTE OPTION 1320	(5,250)
	FUT CALL FEB 22 U.S. T-BONDS 1595	11,531
	FUT CALL FEB 22 U.S. T-BONDS 1615	10,688
	FUT CALL FEB 22 U.S. T-BONDS 1620	3,594
	FUT CALL FEB 22 U.S. T-BONDS 1645	(2,813)
	FUT CALL JAN 22 CBT 10Y NT W1 1305	4,672
	FUT CALL MAR 22 T-NOTE OPTION 1320	(3,750)
	FUT PUT FEB 22 CBT 5Y T-NOTE 1205	(3,953)
	FUT PUT FEB 22 U.S. T-BONDS 1570	(4,813)
	FUT PUT FEB 22 U.S. T-BONDS 1600	8,313
	FUT PUT FEB 22 U.S. T-BONDS 1620	11,406
	FUT PUT MAR 22 T-NOTE OPTION 1275	(3,125)
	FUT PUT MAR 22 T-NOTE OPTION 1295	5,469
	ICE_CD_X BOFAGB2U 12/20/2026 SELL CDX.NA.HY.37 0WS058909-0WZ05890	87,367
	ICE_CD_X BOFAGB2U 12/20/2026 SELL CDX.NA.IG.37 0WS058420-0WZ05842	731,811
	INDONESIA(REP OF) 6.375% BDS 15/04/2032 IDR	178,314
	INDONESIA(REP OF) 6.5% BDS 15/02/2031 IDR	2,063,886
	INDONESIA(REP OF) 7% SNR 15/05/27 IDR1000000	608,097
	INDONESIA(REP OF) 7.5% SNR 15/06/2035 IDR	100,931
	INDONESIA(REPUBLIC OF) T BOND 3.5% 01-11-2028	625,599
	KENYA (REPUBLIC OF) 6.875% 24/06/2024	211,797
	KUWAIT ST NT 144A 3.5% DUE 03-20-2027 BEO	359,340
	LCH_RPI BOFAGB2U 10/20/2026 USD P 2.95% / R CPI 0WS061002-0WZ06100	27,277
	LCH_RPI BOFAGB2U 10/20/2031 USD P CPI / R 2.77% 0WS061069-0WZ06106	(24,054)
	LCH_RPI BOFAGB2U 11/18/2023 USD P 3.97% / R CPI 0WS063248-0WZ06324	(17,195)
	LCH_RPI BOFAGB2U 11/18/2026 USD P CPI / R 3.37% 0WS063289-0WZ06328	42,452
	MEDICAL PPTYS TR INC COM REIT	510,999
	MEXICO(UNITED MEXICAN STATES) 7.75% NTS 13/11/42	1,078,934
	MEXICO(UTD MEX ST) 8% GTD SNR 07/11/47 MXN100	2,909,672
	MEXICO(UTD MEX ST) 8.5% GTD SNR 31/05/2029 MXN	1,519,298
	NIGERIA FED REP TRANCHE # TR 4 02/23/2018 7.143% DUE 02-23-2030 REG	196,260
	OCP S A OCPMR 3 3/4 06/23/31 3.75% DUE 06-23-2031/03-23-2031 BEO	194,080
	OIS CHASUS33 04/01/2027 BRL P 1DBZDIO / R 7.044% 0WP296593-0WR29659	(22,022)
	OIS SBNYUS33 04/01/2027 BRL P 1DBZDIO / R 7.024% 0WP296510-0WR29651	(27,285)
	OIS SBNYUS33 04/01/2027 BRL P 1DBZDIO / R 7.024% 0WP296635-0WR29663	(33,132)
	OIS SBNYUS33 04/01/2027 BRL P 1DBZDIO / R 7.024% 0WP296759-0WR29675	(35,285)
	OTC DERIVATIVE CASH COLL RECEIVABLE FROMCITIBANK	100,000

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	PANAMA REP GLOBAL BD 4.3% DUE 04-29-2053REG SINKING FUND 04-29-2051	\$ 1,030,570
	PEBBLEBROOK HOTEL TR COM STK	1,073,156
	PERU REP BD 6.55 DUE 03-14-2037 BEO	54,500
	PERU REP US\$ GLOBAL BD 5.625% DUE 11-18-2050 REG	238,852
	PETROLEOS 4.25% DUE 01-15-2025	572,757
	PUT SWO USD CITIUS33 P 1.3% / R 3MLIBOR 9WP032205 04/29/2022	(11,056)
	PVPTL ABU DHABI GOVT INT'L 2.5% 10-11-2022	324,704
	PVTPL ARAB REP EGYPT GLOBAL 5.577% 02-21-2023	206,976
	PVTPL KENYA REP 6.3% DUE 01-23-2034 REG	195,988
	PVTPL QATAR PETE 3.125% DUE 07-12-2041/07-12-2021 BEO	202,123
	QATAR PETE BD 144A 2.25% 07-12-2031	247,642
	QATAR PETE BD 144A 3.3% 07-12-2051	205,874
	QATAR(STATE OF) 3.875% SNR 23/04/2023 USD	582,624
	REP OF INDONESIA 3.75 DUE 04-25-2022 REG	564,967
	REPUBLIC OF COLOMBIA 5.625 02-26-2044 REG	426,840
	REPUBLIC OF INDONESIA 4.35% 01-11-2048	366,024
	REPUBLIC OF PERU 2.783% DUE 01-23-2031 REG	119,401
	RUSSIA GOVT BD - OFZ 7.05% 19/01/2028	863,884
	RUSSIAN FEDERATION 6.9% SNR 23/05/2029 RUB1000	1,370,828
	RUSSIAN FEDERATION 7% SNR 16/08/2023 RUB1000	274,143
	RUSSIAN FEDERATION 7.25% SNR 10/05/34 RUB1000	37,407
	RUSSIAN FEDERATION 7.65% SNR 10/04/30 RUB1000	657,712
	RUSSIAN FEDERATION 7.7% SNR 16/03/2039 RUB1000	792,173
	RUSSIAN FEDERATION 7.75% SNR 16/09/26 RUB1000	82,816
	SECRETARIA TESOURO 10% GTD 01/01/31 BRL1000F'	774,975
	SECRETARIA TESOURO 10% GTD SNR 01/01/2025 BRL	850,076
	SECRETARIA TESOURO 10% GTD SNR 01/01/2027 BRL	226,490
	STAG INDL INC COM	1,002,844
	Total Other	62,266,175
*	Participant Loans: maturity dates through October 28, 2033; 3.75% to 10.30%	12,228,500
	Fair Value of Investments	3,556,850,141
*	Core USA Collateral Pool	141,492,905
	Total Investments at Fair Value	\$ 3,698,343,046

See accompanying Independent Auditors' Report

* Indicates party-in-interest to the Plan

** Indicates all or a portion of the investment is a security on loan

*** Assets valued at fair value for DOL requirements, these assets are valued at contract value on the statements of net assets available for benefits.

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2021

(a)	(b) Identity of Issuer	(c) Current Value
Interest Bearing Cash		
	Argentine peso	\$ 1,365
	Australian dollar	260,494
	Brazilian real	164,983
	British pound sterling	203,877
	Canadian dollar	16,382
	Euro	427,436
	Indonesian rupiah	167,126
	Japanese yen	92,252
	Mexican peso	208,451
	Russian ruble	155,702
	United States dollar	<u>7,960,607</u>
	Total Interest Bearing Cash - USD	<u>9,658,675</u>
Self-Directed Brokerage Window		
	SELF-DIRECTED BROKERAGE WINDOW VARIOUS HOLDINGS	<u>257,030,923</u>
Value of Funds Held in Insurance Company General Accounts		
***	GIC TRANSAMERICA PREMIER CONTRACT # MDA01247TR RATE 01.9700% MAT EVERGREE**INC	111,254,703
***	GIC PRUDENTIAL CONTRACT # GA-63216 RATE 02.1400% MAT 00/00/000 (SYNTHETIC)	109,787,928
***	GIC VOYA RETIREMENT INSURANCE AND ANNUITY CO CONTRACT # MCA-60440 RATE 01.9**INC	<u>109,183,037</u>
	Total Value of Funds Held in Insurance Company General Accounts	<u>330,225,668</u>
U.S. Government Securities		
	FANNIE MAE - CAS SER 2018-C01 CL 1M-2 FRN07-25-2030	527,045
	FANNIE MAE CON AVE SECS SER 2017-C05 CL 1B1 FLTG RT 01-25-2030	1,157,094
	FANNIE MAE SER 15-C04 CL 1M2 FRN BDS 04-25-2028 REG	502,227
	FEDERAL HOME LN MTG CORP POOL #ZS8673 3%10-01-2032 BEO	31,546
	FNMA CONN AVE SECS 2013-C01 CL M-2 10-25-2023	180,863
	FNMA POOL #890827 3.5% 12-01-2037 BEO	52,882
	FNMA POOL #BM1948 6% 07-01-2041 BEO	91,602
	FNMA POOL #CB0470 2.5% 05-01-2041	97,544
	FNMA POOL #FM3123 2.5% 03-01-2038 BEO	46,705
	FNMA POOL #FM6134 3% 03-01-2040 BEO	68,822
	FNMA POOL #MA2110 3.5% 12-01-2034 BEO	20,198
	FNMA POOL #MA2138 3.5% 01-01-2035 BEO	20,726
	FNMA POOL #MA2773 3% 10-01-2036 BEO	62,169
	FNMA POOL #MA2832 3% 12-01-2036 BEO	129,519
	FNMA POOL AM8674 2.81% 04-01-2025	31,307
	FNMA SER 2019-M4 CL A2 3.61% 02-25-2031 REG	22,622
	GNMA POOL #BM7534 3.5% 02-20-2050 BEO	45,214
	GNMA POOL #BS1742 4% 02-20-2050 BEO	61,517
	GNMA POOL #BS8439 3.5% 05-15-2050 BEO	71,538
	GNMA POOL #BS8546 2.5% 12-20-2050 BEO	92,371
	GNMA POOL #BT1888 2.5% 12-20-2050 BEO	92,071
	GNMA POOL #MA6477 4.5% 02-20-2050 BEO	58,009
	GNMA SER 19-28 CLS AB VAR 06-16-2060	30,679
	GNMAII POOL #784905 SER 2050 3.0% DUE 01-20-2050 REG	75,429
	GNMAII POOL #BS8420 SER 2050 4% DUE 04-20-2050 BEO	120,715
	GNMAII POOL #MA4511 SER 2047 4% DUE 06-20-2047 REG	11,873
	GNMAII POOL #MA6544 4.5% 03-20-2050	31,042
	GNMAII POOL MA5711 SER 2049 4.5% DUE 01-20-2049 REG	55,851
	I/O GNMA VAR RT 1.10042% DUE 06-16-2054	2,524
	I/O FEDERAL HOME LN MTG CORP SER 4066 CL PI DUE 09-15-2031	32,866
	I/O GNMA VAR RT .8789% DUE 11-16-2054	40,334
	I/O GNMA VAR RT 1.01201999187% DUE 09-16-2057	29,465
	PVTPL CMO CONNECTICUT AVE SECS TR 2019-R07 NT CL 1M-2 VAR RT 10-25-2039	67,883
	PVTPL FNMA NT CL 1M-2 12-25-2041	570,713
	PVTPL FREDDIE MAC STACR REMIC TR 2020-DNA4 MULTICLASS CL M2 FLTG RT 08-25-2050	84,065
	PVTPL FREDDIE MAC STACR REMIC TR SER 21-DNA2 CL M2 FLTG RT 08-25-2033	152,741
	PVTPL FREDDIE MAC-STACR 2021-DNA5 M2FLTG 01-25-2034 USD	250,781

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	SLM STUDENT LN TR SLM STUDENT LOAN 03-15-2033 REG	\$ 145,415
	UNITED STATES OF AMER TREAS BONDS 2.0% 08-15-2051	1,341,522
	UNITED STATES OF AMER TREAS NOTES DTD 01/31/2021 .375% 01-31-2026	125,826
	UNITED STATES OF AMER TREAS NOTES DTD 05/31/2021 .75% 05-31-2026	9,800
	UNITED STATES OF AMER TREAS NOTES DTD 08/15/2020 .625% 08-15-2030	3,295,586
	UNITED STATES OF AMER TREAS NOTES DTD 09/30/2020 .25% 09-30-2025	9,688
	UNITED STATES OF AMER TREAS NOTES DTD 10/31/2020 .25% 10-31-2025	96,691
	UNITED STATES TREAS BD STRIPPED PRIN PMT 0% DUE 05-15-2049	834,097
	UNITED STATES TREAS BDS 1.375% 11-15-2040	466,271
	UNITED STATES TREAS BDS 00245 2.875% DUE 08-15-2045 REG	1,909,069
	UNITED STATES TREAS BDS 1.25% DUE 05-15-2050	5,776,714
	UNITED STATES TREAS BDS 1.875% DUE 02-15-2051 REG	3,796,722
	UNITED STATES TREAS BDS 1.875% 11-15-2051	1,206,030
	UNITED STATES TREAS BDS 1.875% DUE 02-15-2041 REG	883,707
	UNITED STATES TREAS BDS 2% 11-15-2041	2,506,278
	UNITED STATES TREAS BDS 2.25% 05-15-2041 REG	31,608
	UNITED STATES TREAS BDS 2.25% 08-15-2049	7,749,905
	UNITED STATES TREAS BDS 2.25% DUE 05-15-2051 REG	2,584,844
	UNITED STATES TREAS BDS 2.875% 05-15-2049 REG	290,297
	UNITED STATES TREAS BDS 3.0% DUE 02-15-2048	1,543,943
	UNITED STATES TREAS BDS 3.75 DUE 11-15-2043 REG	1,631,960
	UNITED STATES TREAS BDS DTD 00271 1.125%DUE 08-15-2040	1,725,597
	UNITED STATES TREAS BDS DTD 08/15/2020 1.375% 08-15-2050	6,565,966
	UNITED STATES TREAS BDS DTD 11/15/2020 1.625% 11-15-2050	2,639,674
	UNITED STATES TREAS BDS DTD 2.75% 08-15-2047	794,431
	UNITED STATES TREAS BDS TBOND FIXED 3% 02-15-2049	1,950,374
	UNITED STATES TREAS BDS WIT 1 7/8 08/15/41 1.75% DUE 08-15-2041 REG	525,234
	UNITED STATES TREAS NTS .625% DUE 05-15-2030 REG	131,037
	UNITED STATES TREAS NTS DTD 04/30/2021 1.25% 04-30-2028	39,669
	UNITED STATES TREAS NTS DTD 05/15/2021 1.625% 05-15-2031	1,540,900
	UNITED STATES TREAS NTS DTD 06/30/2018 2.75% DUE 06-30-2025 REG	63,445
	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG	41,680
	UNITED STATES TREAS NTS T-NOTE 2.25% 03-31-2026	365,148
	UNITED STS TREAS 0.625% 12-31-2027	2,183,367
	UNITED STS TREAS 1.125% 02-29-2028	9,863
	UNITED STS TREAS 1.25% 05-31-2028	426,103
	UNITED STS TREAS NTS .5% 10-31-2027	2,247,716
	UNITED STS TREAS NTS 0.5% 08-31-2027	2,263,165
	UNITED STS TREAS NTS .375% DUE 09-30-2027 REG	2,908,105
	UNITED STS TREAS NTS .875% 06-30-2026	9,847
	UNITED STS TREAS NTS 0.25% 11-15-2023	19,838
	US TREASURY N/B 2% DUE 02-15-2050 REG	1,336,558
	UTD STATES TREAS 1.75% DUE 12-31-2024	2,046
	UTD STATES TREAS FIXED .5% DUE 06-30-2027	4,318,854
	Total U.S. Government Securities	73,365,142
	Corporate Debt Instruments - Preferred	
	3M CO 2.375% DUE 08-26-2029	71,873
	ABBOTT LABS 3.4% DUE 11-30-2023	328,765
	ABBOTT LABS 3.75% DUE 11-30-2026	11,057
	ANTHEM INC 3.65% 12-01-2027	65,868
	BARCLAYS BK PLC FIXED 1.7% DUE 05-12-2022	200,679
	BHP BILLITON FIN 2.875% DUE 02-24-2022	15,043
	HOME DEPOT INC 3.9% DUE 12-06-2028 REG	11,270
	LILLY ELI & CO 2.35% DUE 05-15-2022	282,134
	MEDTRONIC INC 3.5% DUE 03-15-2025 REG	41,539
	MIDAMERICAN ENERGY 3.65% DUE 04-15-2029	253,667
	SALESFORCE COM INC 3.25% BNDS 04-11-2023	134,100
	SALESFORCE COM INC 3.7% DUE 04-11-2028	266,511
	SCHWAB CHARLES 3.85% DUE 05-21-2025	215,589
	SHELL INTERNATIONAL FIN 3.25% 05-11-2025	649,464
	UNITEDHEALTH GROUP 2.375% 10-15-2022	20,284

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	UNITEDHEALTH GROUP 3.75% DUE 07-15-2025	\$ 97,627
	UNITEDHEALTH GROUP FIXED 3.875% DUE 12-15-2028	44,867
	UNITEDHEALTH GROUP FIXED 4.25% DUE 06-15-2048	24,860
	VISA INC 3.15% DUE 12-14-2025	149,284
	VISA INC 4.3% DUE 12-14-2045	252,489
	WALMART INC 2.375% DUE 09-24-2029	10,442
	Total Corporate Debt Instruments - Preferred	3,147,412
	Corporate Debt Instruments - Other	
	\$ GEN CORP NEW 3.25% DUE 04-15-2023	10,242
	1011778 B.C UNLTD LIAB CO TERM LOAN B4 DUE 11-19-2026	311,583
	3M CO 3.7% DUE 04-15-2050/03-27-2020 REG	94,118
	3M CO FIXED 3.05% DUE 04-15-2030	21,466
	522 FDG CLO 2020-6 LTD / 522 FDG 1.40886% 10-23-2034	410,000
	ABBVIE INC 2.3% DUE 11-21-2022 REG	223,090
	ABBVIE INC 2.6% DUE 11-21-2024 REG	249,092
	ABBVIE INC 3.2% 11-21-2029	181,933
	ABBVIE INC 3.45% DUE 03-15-2022 REG	320,293
	ABBVIE INC 3.6% 05-14-2025	170,194
	ABBVIE INC 3.75% DUE 11-14-2023	31,412
	ABBVIE INC 3.85% DUE 03-15-2025 REG	42,595
	ABBVIE INC 4.25% DUE 11-21-2049 REG	23,977
	ABBVIE INC FIXED 2.95% DUE 11-21-2026	52,717
	ACE INA HLDGS INC 3.35% DUE 05-03-2026	32,180
	ADOBE INC 2.3% DUE 02-01-2030	378,542
	AERCAP IRELAND CAP / GLOBA 3.0% DUE 10-29-2028	243,601
	AERCAP IRELAND CAP/GLOBA 2.45% DUE 10-29-2026 BEO	252,215
	AETNA INC NEW 2.8% DUE 06-15-2023	40,988
	ALPHABET INC 1.1% DUE 08-15-2030	66,260
	ALPHABET INC 2.05% 08-15-2050	98,592
	ALPHABET INC FIXED .45% DUE 08-15-2025	19,548
	ALPHABET INC FIXED .8% DUE 08-15-2027	48,450
	ALTRIA GROUP INC 2.45% DUE 02-04-2032	598,860
	ALTRIA GROUP INC 4.8% DUE 02-14-2029	2,258
	ALTRIA GROUP INC 6.2% DUE 02-14-2059	104,638
	ALTRIA GROUP INC FIXED 2.35% DUE 05-06-2025	30,717
	AMAZON COM INC .4% DUE 06-03-2023 REG	279,007
	AMAZON COM INC .8% DUE 06-03-2025	69,226
	AMAZON COM INC 1.2% DUE 06-03-2027	98,668
	AMAZON COM INC 1.5% DUE 06-03-2030	38,805
	AMAZON COM INC 2.1% DUE 05-12-2031 BEO	50,721
	AMAZON COM INC 2.5% DUE 06-03-2050	66,924
	AMAZON COM INC 3.15% DUE 08-22-2027 BEO	1,448,176
	AMAZON COM INC FIXED 4.25% DUE 08-22-2057	25,714
	AMERN EXPRESS CO 2.5% DUE 07-30-2024	196,333
	AMERN EXPRESS CO 2.65 DUE 12-02-2022	295,518
	AMERN INTL GROUP 2.5% DUE 06-30-2025	72,208
	ANHEUSER-BUSCH 3.5% DUE 06-01-2030	241,369
	APACHE CORP 3.25 DUE 04-15-2022 REG	212,734
	APACHE CORP 4.25% DUE 01-15-2030	86,816
	APACHE CORP 4.375% 10-15-2028	98,048
	APACHE CORP 5.25% DUE 02-01-2042	11,500
	APACHE CORP 5.35% DUE 07-01-2049	57,125
	APPLE INC 1.125% DUE 05-11-2025	229,377
	APPLE INC 2.45% DUE 08-04-2026	261,216
	APPLE INC 2.9% DUE 09-12-2027	309,306
	APPLE INC 3.35% DUE 02-09-2027	662,427
	ASPLUNDH TREE EXPERT LLC FLTG RT TBL 09-07-2027	424,555
	ASURION LLC TERM LOAN B8 DUE 12-23-2026 BEO	216,019
	ASURION, LLC FLTG RT TBL 07-29-2027	98,804
	AT&T INC 1.65% DUE 02-01-2028	146,944
	AT&T INC 2.25% DUE 02-01-2032	135,452

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	AT&T INC 2.3% DUE 06-01-2027	\$ 203,603
	AT&T INC 4.25% DUE 03-01-2027	33,297
	ATHENAHEALTH, INC FLTG RT TBL 02-11-2026	208,211
	AVIATION CAP GROUP 4.125% DUE 08-01-2025	263,698
	AVIS BUDGET RENT CAR FDG AESOP LLC 1.38%08-20-2027	176,796
	AVIS BUDGET RENT CAR FDG AESOP LLC 2.13%08-20-2027	560,168
	AVOLON TLB BORROWER 1 (US) LLC TLB LIEN1 12-01-2027 USD	79,450
	B A T CAP CORP FIXED 3.557% DUE 08-15-2027	598,201
	BALBOA BAY LN FDG 2021-2 LTD / SR SECD NT CL A-1 FLTG RATE 1.38171% 01-20-2035	170,078
	BANCO SANTANDER S FIXED 2.746% DUE 05-28-2025	413,613
	BANCO SANTANDER SA 3.125% 02-23-2023	409,439
	BANCO SANTANDER SA FRNS 04-12-2023	202,024
	BANK AMER CORP 1.319% DUE 06-19-2026	19,796
	BANK AMER CORP 2.572% DUE 10-20-2032 BEO	281,679
	BANK AMER CORP 2.592% DUE 04-29-2031	151,682
	BANK AMER CORP 3.419% 12-20-2028	1,189,157
	BANK AMER CORP 3.5% DUE 04-19-2026	237,106
	BANK AMER CORP 3.593% DUE 07-21-2028	53,850
	BANK AMER CORP 4% DUE 01-22-2025	106,832
	BANK AMER CORP 4.083% DUE 03-20-2051	84,396
	BANK AMER CORP 4.25% DUE 10-22-2026	463,703
	BANK AMER CORP 5% DUE 01-21-2044	158,034
	BANK AMER CORP BDS 4 04-01-2024	584,779
	BANK AMER CORP FIXED 3.97% 03-05-2029	558,814
	BANK AMER CORP MTN 3.458% 03-15-2025	94,139
	BANK MONTREAL MEDIUM TERM SR NTS 1.85% 05-01-2025	243,647
	BANK NOVA SCOTIA B C 1.3% DUE 06-11-2025BEO	129,303
	BANK OF AMERICA CORP BNDS 4.2 DUE 08-26-2024	1,179,328
	BECTON DICKINSON & 3.363% DUE 06-06-2024	157,070
	BECTON DICKINSON & 3.734% DUE 12-15-2024	12,758
	BECTON DICKINSON & 4.685% DUE 12-15-2044	138,444
	BENCHMARK 2021-B29 MTG TR COML MTG PASSTHRU CTF CL 1.15731% 09-15-2054	135,906
	BERRY GLOBAL, INC FLTG RT TBL 07-01-2026	26,465
	BK NEW YORK MELLON 1.6% DUE 04-24-2025	70,672
	BOEING CO 1.433% 02-04-2024	609,169
	BOEING CO 2.8% DUE 03-01-2027	20,418
	BOEING CO 3.1% DUE 05-01-2026	31,273
	BOEING CO 3.2% DUE 03-01-2029	82,405
	BOEING CO 4.875% DUE 05-01-2025	612,923
	BOEING CO FIXED 2.196% DUE 02-04-2026	140,005
	BOEING CO FIXED 5.15% DUE 05-01-2030	489,796
	BOEING CO FIXED 5.705% DUE 05-01-2040	218,870
	BOEING CO FIXED 5.805% DUE 05-01-2050	135,850
	BP CAP MKTS AMER 3.633% DUE 04-06-2030	88,432
	BP CAP MKTS AMER FIXED 3.588% DUE 04-14-2027	626,755
	BP CAP MKTS AMER INC 3.119% 05-04-2026	179,822
	BP CAP MKTS P L C 3.506% DUE 03-17-2025	10,647
	BP CAP MKTS P L C 3.535% DUE 11-04-2024	53,230
	BPCE SR NON PFD 3% DUE 05-22-2022	1,009,484
	BRAVO RESIDENTIAL FDG TR 2021-NQM3 MTG BACKED NT CL A-3 144A 1.956% 04-25-2060	489,827
	BRISTOL MYERS FIXED 2.6% DUE 05-16-2022	131,078
	BRISTOL MYERS FIXED 2.9% DUE 07-26-2024	254,332
	BRISTOL MYERS FIXED 3.4% DUE 07-26-2029	142,484
	BRISTOL MYERS FIXED 3.875% DUE 08-15-2025	41,135
	BRISTOL MYERS SQUIBB CO 3.55% DUE 08-15-2022 REG	101,897
	BRISTOL MYERS SQUIBB CO CORP 3.2% 06-15-2026	215,168
	BROOKFIELD WEC HOLDINGS INC INITIAL TERMLOAN SENIOR SECURED DUE 08-01-2025 BEO	59,047
	BROWN GROUP HOLDINGS, LLC INITIAL TERM LOAN DUE 06-07-2028	248,708
	CAESARS RESORT COLLECTION, LLC TERM LOANSENIOR SECURED DUE 10-02-2024 BEO	373,742
	CARRIER GLOBAL CORP 2.7% 02-15-2031	10,168
	CARRIER GLOBAL CORP 2.722% 02-15-2030	81,779
	CHARTER 4.908% DUE 07-23-2025	1,309,602
	CHARTER COMMUNICATIONS OPER LLC/CHARTE 4.8% DUE 03-01-2050	56,165

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	CHARTER COMMUNICATIONS OPERATING LLC (AKA CCO SAFARI LLC) TERM LOAN 04-30-2025	\$ 216,911
	CHARTER COMMUNICATIONS OPERATING LLC AKA CCO ERM LOAN B2 DUE 02-01-27	166,573
	CHENIERE ENERGY FIXED 4% DUE 03-01-2031	21,000
	CHEVRON CORP NEW 1.554% DUE 05-11-2025	131,157
	CHEVRON CORP NEW 1.995% DUE 05-11-2027	71,094
	CHEVRON CORP NEW 2.954% DUE 05-16-2026	95,332
	CHEVRON U S A INC 3.25% 10-15-2029	140,591
	CHEVRON U S A INC 3.85% 01-15-2028	55,394
	CIGNA CORP NEW 3.5% 06-15-2024	262,336
	CIGNA CORP NEW CORP 3.75% 07-15-2023	39,520
	CIGNA CORP NEW CORP 4.125% 11-15-2025	87,529
	CIGNA CORP NEW CORP 4.375% 10-15-2028	216,106
	CINTAS CORP NO 2 2.9% DUE 04-01-2022	180,723
	CINTAS CORP NO 2 3.7% DUE 04-01-2027	207,985
	CITADEL SECURITIES LP TERM LOAN B SENIORSECURED TERM LOAN 02-29-2028	148,204
	CITIGROUP INC 2.572% DUE 06-03-2031	60,582
	CITIGROUP INC 3.106% DUE 04-08-2026	104,876
	CITIGROUP INC 3.5% DUE 05-15-2023	909,779
	CITIGROUP INC 4.075% DUE 04-23-2029	320,057
	CITIGROUP INC 4.125% DUE 07-25-2028	164,729
	CITIGROUP INC 4.3% DUE 11-20-2026	66,421
	CITIGROUP INC 4.4% DUE 06-10-2025	228,773
	CITIGROUP INC 4.412% DUE 03-31-2031	194,338
	CITIGROUP INC 4.45% DUE 09-29-2027	1,472,709
	CITIGROUP INC 4.65% DUE 07-30-2045	44,272
	CITIGROUP INC 5.5% DUE 09-13-2025	780,619
	CITIGROUP INC FLTGT RT 1.678% DUE 05-15-2024	141,564
	CLARIOS GLOBAL LP FLTGT RT TBL 04-30-2026	259,068
	CMO BK AMER FDG CORP 2005-D MTG PASSTHRUTF CL A-1 VAR RATE 05-25-2035 REG	2,567
	CMO CSMC 2018-J1 TR CL A-2 FLTGE 02-01-2048	262,981
	CMO IMPAC CMB TR SER 2004-10 CL 3-A-1 DUE 03-25-2035 BEO	49,743
	CMO MERRILL LYNCH MTG INVS INC 2003-A CL 2A-2 DUE 01-15-2028 BEO	28,066
	CMO STRUCTURED ASSET SECS CORP 2004-2 CL4-A1 DUE 03-25-2034 REG	17,777
	CMO STRUCTURED AST MTG INVTs II INC DUE 07-19-2035 REG	5,031
	CMO WAMU MTG PASS-THRU CTFS SER 2005-AR13 CL A-1A1 FLT 10-25-45	206,736
	CMO WELLS FARGO COML MTG TR 2014-LC18 CLA5 3.405% DUE 12-15-2047 REG	259,758
	CMO WFRBS COML MTG TR 2013-C14 MTG PASS THRU CTF CL B DUE 06-15-2046 REG	305,177
	CMO WFRBS COML MTG TR 2014-C21 COML MTG PASSTHRU CTF CL B DUE 08-15-2047 REG	307,927
	CMOPVTPL NEW RESIDENTIAL MTG LN 2017-3A MTG BACKED NT CL A-1 VAR RT 04-25-2057	306,381
	COCA COLA CO 1.45% DUE 06-01-2027	129,327
	COCA COLA CO 2.6% DUE 06-01-2050	49,019
	COMCAST CORP NEW 2.8% DUE 01-15-2051/05-28-2020 REG	19,348
	COMCAST CORP NEW 3.15% DUE 03-01-2026	74,668
	COMCAST CORP NEW 3.25% 11-01-2039	52,891
	COMCAST CORP NEW 3.375 DUE 02-15-2025	244,128
	COMCAST CORP NEW 3.45% DUE 02-01-2050	21,436
	COMCAST CORP NEW 3.75% DUE 04-01-2040	22,449
	COMCAST CORP NEW 3.95% 10-15-2025	218,444
	COMCAST CORP NEW 4.15% DUE 10-15-2028	249,950
	COMCAST CORP NEW FIXED 3.4% DUE 04-01-2030	76,472
	COMM 2013-CCRE12 MTG TR MTG PASS THRU CTF CL C VAR RATE DUE 10-10-2046	168,238
	CONOCOPHILLIPS 3.75% DUE 10-01-2027	197,438
	CONTINENTAL AIRLS PASS THRU TR 2007-1A 5.983% DUE 04-19-2023	26,586
	CONTL RES INC 4.5 DUE 04-15-2023	20,609
	CONTL RES INC 4.9% DUE 06-01-2044	22,165
	CONTL RES INC FIXED 3.8% DUE 06-01-2024	313,040
	COOPERATIEVE 4.375% DUE 08-04-2025	270,942
	COSTCO WHOLESALE CORP NEW 1.6% DUE 04-20-2030 REG	126,073
	COSTCO WHSL CORP FIXED 1.375% DUE 06-20-2027	208,524
	CR AGRICOLE S A 1.907% DUE 06-16-2026	250,590
	CR SUISSE AG NEW YORK BRH MEDIUM TRANCHE# SB 00771 2.95% 04-09-2025	261,791
	CR SUISSE GROUP AG FLTGT RT 2.193% DUE 06-05-2026	352,108
	CSMC 2020-RPL5 TR FLTGT RT 3.023% DUE 08-25-2060	246,610

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	CVS HEALTH CORP 3.625% DUE 04-01-2027 REG	\$ 217,153
	CVS HEALTH CORP 3.875% DUE 07-20-2025	61,282
	CVS HEALTH CORP 4.3% DUE 03-25-2028	245,939
	CVS HEALTH CORP 5.05% DUE 03-25-2048	91,819
	CVS HEALTH CORPORATION 2.125% DUE 09-15-2031 BEO	88,240
	DAIWA SECS GROUP 3.129% DUE 04-19-2022	90,672
	DBAUSCH HEALTH COMPANIES INC. (FKA VALEANT PHARMA INTL, INC.) 11-14-2025	225,023
	DEERE & CO FIXED 3.1% DUE 04-15-2030	10,788
	DEERE & CO FIXED 3.75% DUE 04-15-2050	71,131
	DELTA 2 (LUX) SARL DUE 02-01-2024 BEO	9,983
	DEVON ENERGY CORP 5.85% DUE 12-15-2025	411,800
	DEVON ENERGY CORP NEW 4.5% 1-15-2030 BEO	55,859
	DEVON ENERGY CORP NEW 5.25% 10-15-2027 BEO	103,385
	DISNEY WALT CO 3% DUE 09-15-2022	61,047
	DUKE ENERGY CORP 2.4% DUE 08-15-2022	242,323
	EATON CORP OHIO 2.75% DUE 11-02-2022	631,570
	ECOPETROL S A 5.375% DUE 06-26-2026	94,838
	ECOPETROL S A 5.875% DUE 05-28-2045	19,125
	ENERGY TRANSFER 2.9% DUE 05-15-2025	113,547
	ENERGY TRANSFER 3.75% DUE 05-15-2030	360,631
	ENERGY TRANSFER 4.95% DUE 06-15-2028	22,515
	ENTERPRISE PRODS 2.8% DUE 01-31-2030	250,476
	ENTERPRISE PRODS 3.95% DUE 02-15-2027	305,418
	ENTERPRISE PRODS 4.15% DUE 10-16-2028	157,293
	ENTERPRISE PRODUCTS OPER L P 3.125% DUE 07-31-2029	457,030
	EOG RES INC 4.15% DUE 01-15-2026	338,788
	EOG RES INC 4.375% DUE 04-15-2030	69,400
	EQT CORP 3.9% DUE 10-01-2027	161,064
	EQT CORP FIXED 3% DUE 10-01-2022	154,530
	EQT CORPORATION 5.0% DUE 01-15-2029 BEO	44,300
	EQUATE 4.25% DUE 11-03-2026	217,278
	EXXON MOBIL CORP 2.992% DUE 03-19-2025	525,667
	EXXON MOBIL CORP 3.043% DUE 03-01-2026	264,605
	EXXON MOBIL CORP FIXED 1.571% DUE 04-15-2023	10,114
	EXXON MOBIL CORP FIXED 4.327% DUE 03-19-2050	12,322
	FINCO I LLC (AKA FORTRESS INVESTMENT GROUP) TERM LOAN B DUE 06-27-2025 BEO	17,933
	FIRSTENERGY CORP 1.6% DUE 01-15-2026/06-08-2020 REG	48,375
	FIRSTENERGY CORP 2.85% 07-15-2022	491,519
	FIRSTENERGY CORP 4.25 DUE 03-15-2023	780,000
	FORD CR FLOORPLAN MASTER OWNER TR SER 18-4 CL A 4.06% 11-15-2030 REG	526,459
	FORD MTR CO DEL 3.25% 02-12-2032	40,960
	FORD MTR CO DEL NT 4.75 01-15-2043	44,150
	FORD MTR CR CO LLC 2.9% DUE 02-16-2028 BEO	200,500
	FORD MTR CR CO LLC 4.0% DUE 11-13-2030 BEO	430,320
	FOUR SEASONS HOLDINGS INC TERM LOAN DUE 11-30-2023 BEO	49,106
	FOX CORP 4.709% 01-25-2029	79,963
	FREEPORT-MCMORAN 3.875% DUE 03-15-2023	51,750
	FREMF 2015-K43 MTG FLTG RT 3.73214006424% DUE 02-25-2048	300,748
	GEN DYNAMICS CORP 3.75% DUE 05-15-2028	386,937
	GEN DYNAMICS CORP FIXED 4.25% DUE 04-01-2040	12,242
	GEN MTRS FINL CO 3.7% DUE 05-09-2023	339,863
	GEN MTRS FINL CO 4.25 DUE 05-15-2023	270,601
	GENERAL MOTORS FINANCIAL 3.45% DUE 04-10-2022 BEO	401,222
	GENERAL MTRS CO 5.4% DUE 10-02-2023	74,933
	GENERAL MTRS CO 6.125% DUE 10-01-2025	80,446
	GENESEE & WYOMING INC TERM LOAN 11-06-2026	285,125
	GILEAD SCIENCES 3.7% DUE 04-01-2024	378,485
	GLP CAP L P / GLP 5.375% DUE 04-15-2026	11,136
	GO DADDY OPERATING COMPANY LLC TLB 02-15-2024 BEO	19,520
	GOLDENTREE LN MGMT US CLO 10 LTD / 2.16271% 07-20-2034	678,321
	GOLDMAN SACHS 3.2% DUE 02-23-2023	123,077
	GOLDMAN SACHS 3.5% DUE 11-16-2026	170,593

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	GOLDMAN SACHS 3.85% DUE 07-08-2024	\$ 1,057,100
	GOLDMAN SACHS 4.25% DUE 10-21-2025	578,231
	GOLDMAN SACHS 5.75% DUE 01-24-2022	561,684
	GOLDMAN SACHS FIXED 3.5% DUE 04-01-2025	158,665
	GOLDMAN SACHS GROUP INC 4.223% 05-01-2029	755,945
	GRIFOLS WORLDWIDE OPERATIONS LIMITED DOLLAR TRANCHE B TERM LOAN 11-15-2027	391,375
	HALLIBURTON CO 3.8% DUE 11-15-2025	11,831
	HARBOR FREIGHT TOOLS USA INC TERM LOAN B 10-19-2027	157,928
	HCA INC 3.5% DUE 09-01-2030	73,981
	HCA INC 5.375% DUE 09-01-2026	179,800
	HCA INC 5.625% DUE 09-01-2028	175,274
	HERSHEY CO FIXED .9% DUE 06-01-2025	39,487
	HERTZ VEH FING III LLC 2021-2 RENT CAR AST BKD NT 1.68% 12-27-2027	453,224
	HIGHBRIDGE LOAN MANAGEMENT LTD 23/01/2035 0% 01-23-2035	250,000
	HILDENE CMNTY FDG CDO LTD / HILDENE SR SECD NT CL A-RR 144A 3C7 2.6% 11-01-2035	489,837
	HOME DEPOT INC 2.5% DUE 04-15-2027	834,811
	HOME DEPOT INC 3.3% DUE 04-15-2040 REG	76,097
	HOME DEPOT INC 3.35% DUE 04-15-2050 REG	98,524
	HOME DEPOT INC 3.9% DUE 06-15-2047	11,723
	HONEYWELL INTL INC 1.35% DUE 06-01-2025 REG	80,354
	HORIZON THERAPEUTICS USA, INC. FLTGT RT TBL 03-15-2028	445,829
	HSBC HLDGS PLC FLTGT RT 2.848% DUE 06-04-2031	445,539
	HSBC HOLDINGS PLC 4.25 NTS 03-14-2024 USD1000	570,294
	HUMANA INC 3.15 DUE 12-01-2022	396,451
	HUMANA INC 4.5% DUE 04-01-2025	21,804
	HUMANA INC BNDS 2.9% 12-15-2022	489,459
	I/O CITIGROUP COMML MTG TRUST SER 2015-GC27 CL X-A FLTGT RT 02-10-2048	94,037
	I/O CMO COMM 2012-CCRE3 MTG TR COMM 2012-CCRE3 MTG TR 2.382 11-15-2045	9,423
	I/O CMO NATIXIS COMML MTG SECS SER 2019-FAME CL XA VAR 144A 08-01-2024	132,261
	I/O GNMA 2012-055 REMIC PASSTHRU CTF CL IO FLTGT RT 04-16-2052	157
	I/O GNMA FLTGT RT 2011-127 CL IO 03-16-2047	5,056
	I/O GS MTG SECS SER 2015-GC32 CL XA FLTGT RT 7-10-2048	60,678
	I/O GS MTG SECS TRUST SER 2015-GC28 CL X-A FLTGT RT 02/10/48	49,240
	I/O NATIXIS COMML MTG SECS TR SR 2019-FAME CL XB FLTGT 144A 08-15-2024	141,925
	I/O WELLS FARGO COMML FLTGT RT 1.214977% DUE 05-15-2048	86,274
	INEOS QUATTRO HOLDINGS LTD USD INEOS QUATTRO US TERM LOAN 01-21-2026	457,226
	INFOBLOX INC TERM LOAN DUE 12-01-2027 BEO	248,840
	INTEL CORP 1.6% DUE 08-12-2028 BEO	108,763
	INTEL CORP 3.7% DUE 07-29-2025	64,769
	INTEL CORP 4.75% DUE 03-25-2050	26,727
	INTERNATIONAL BUSINESS MACHS CORP 3.0% DUE 05-15-2024 REG	344,783
	INTESA SANPAOLO S 3.375% DUE 01-12-2023	204,361
	INTL LEASE FIN 5.875% DUE 08-15-2022	1,051,474
	J P MORGAN MTG FLTGT RT .77657% DUE 07-25-2036	1,455,677
	JOHNSON & JOHNSON .55% DUE 09-01-2025 REG	78,206
	JOHNSON & JOHNSON .95% DUE 09-01-2027	156,594
	JPMBB COMML MTG SECS TR 2015-C31 FLTGT RT CL B 08-15-2048	95,971
	JPMORGAN CHASE 3.9% 07-15-2025	433,004
	JPMORGAN CHASE & 4.005% DUE 04-23-2029	55,230
	JPMORGAN CHASE & 4.023% DUE 12-05-2024	390,161
	JPMORGAN CHASE & 4.203% DUE 07-23-2029	179,124
	JPMORGAN CHASE & CO 3.875 09-10-2024	795,715
	JPMORGAN CHASE & CO 2.083% DUE 04-22-2026/10-22-2020 REG	223,387
	JPMORGAN CHASE & CO 2.522% DUE 04-22-2031	182,119
	JPMORGAN CHASE & CO 2.545% DUE 11-08-2032	201,624
	JPMORGAN CHASE & CO 3.109% DUE 04-22-2051	62,231
	JPMORGAN CHASE & CO 4.452% 12-05-2029	227,167
	JPMORGAN CHASE & FLTGT RT 1.514% DUE 06-01-2024	362,764
	KEYCORP STUDENT LN TR 2006-A CL II-B FLTRT 12-27-2041 BEO	163,660
	KIMBERLY CLARK CORP 3.1% DUE 03-26-2030 REG	32,356
	KINDER MORGAN INC 4.3% DUE 06-01-2025	108,106
	LAS VEGAS SANDS 2.9% DUE 06-25-2025	49,884
	LAS VEGAS SANDS 3.2% DUE 08-08-2024	132,378

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	LENNAR CORP FIXED 4.75% DUE 11-29-2027	\$ 33,972
	LENNAR CORP FIXED 5% DUE 06-15-2027	22,617
	LLOYDS BKG GROUP 1.326% DUE 06-15-2023	641,093
	LLOYDS BKG GROUP 4.65% DUE 03-24-2026	440,567
	LLOYDS BKG GROUP PLC 3.9% 03-12-2024	306,382
	LOCKHEED MARTIN 3.55% DUE 01-15-2026	97,470
	LOWES COS INC FIXED 4.5% DUE 04-15-2030	58,095
	MASTERCARD INC 3.85% DUE 03-26-2050 REG	12,063
	MCDONALDS CORP 1.45% DUE 09-01-2025	20,187
	MCDONALDS CORP 3.7% DUE 01-30-2026	10,764
	MCDONALDS CORP 4.2% 04-01-2050	182,197
	MCDONALDS CORP MED TERM 3.5% 07-01-2027	10,852
	MCDONALDS CORP MEDIUM TERM NTS 3.35% 04-01-2023	391,211
	MCDONALDS CORP MEDIUM TERM NTS BOOK 3.625% DUE 09-01-2049	22,152
	MCDONALDS CORP MEDIUM TERM NTS BOOK ENTRTRANCHE # TR 00122 3.5% DUE 03-01-2027	32,422
	MCDONALD'S CORPORATION 3.3% 07-01-2025	95,447
	MCDONALD'S CORPORATION 3.6% DUE 07-01-2030	66,369
	MERCK & CO INC NEW .75% DUE 02-24-2026	137,296
	MICROSOFT CORP 2.4% DUE 02-06-2022	260,032
	MICROSOFT CORP 2.4% DUE 08-08-2026	774,813
	MICROSOFT CORP 2.921% 03-17-2052 USD	5,330
	MICROSOFT CORP 3.3% DUE 02-06-2027	250,794
	MICROSOFT CORP 3.45% DUE 08-08-2036	5,767
	MITSUBISHI UFJ FINL GROUP INC CORP BOND 3.407% 03-07-2024	931,839
	MONDELEZ INTL INC 1.5% DUE 05-04-2025	330,443
	MORGAN STANLEY 2.188% DUE 04-28-2026	367,217
	MORGAN STANLEY 2.699% DUE 01-22-2031	133,133
	MORGAN STANLEY 3.772% DUE 01-24-2029	424,706
	MORGAN STANLEY ABS CAP I INC 2005-NC2 MTG PASSTHRU CTF CL M-4 03-25-2035 REG	304,982
	MORGAN STANLEY ABS CAP I INC SER 04-NC7 CL M1 STEP UP 07-25-2034	1,563,339
	MPLX LP 4% 03-15-2028	151,828
	MPLX LP 4.8% 02-15-2029	137,139
	MPLX LP FIXED 4.125% DUE 03-01-2027	295,575
	NATIONWIDE BLDG VAR RT 4.363% DUE 08-01-2024	314,199
	NATWEST GROUP PLC FXD/FLTG SRNT 4.269% 03-22-2025	211,860
	NATWEST GROUP PLC SR GLBL COCO 4.519% 06-25-2024	209,261
	NEW RESDNTL MTG LN 3.5% DUE 09-25-2059	882,567
	NEW YORK LIFE GLOBAL FUNDING TRANCHE # TR 06/24/2020 .95% 06-24-2025	88,720
	NEWELL BRANDS INC 4.1% DUE 04-01-2023	88,580
	NEXSTAR BROADCASTING GROUP, INC. TERM LODUE 09-18-2026 BEO	348,996
	NIKE INC 2.4% DUE 03-27-2025	72,498
	NIKE INC 2.75% DUE 03-27-2027	116,607
	NIKE INC 2.85% DUE 03-27-2030	31,922
	NIKE INC 3.375% DUE 03-27-2050	158,859
	NIKE INC FIXED 3.25% DUE 03-27-2040	21,816
	NISSAN MTR LTD 4.345% DUE 09-17-2027	410,495
	NORTHROP GRUMMAN 2.93% DUE 01-15-2025	510,615
	NORTHROP GRUMMAN FIXED 5.25% DUE 05-01-2050	70,272
	NVIDIA CORP 3.5% DUE 04-01-2040	202,826
	NVIDIA CORP 3.5% DUE 04-01-2050	480,599
	NVIDIA CORP FIXED 2.85% DUE 04-01-2030	63,791
	NVIDIA CORP FIXED 3.7% DUE 04-01-2060	132,048
	OBX 2021-NQM4 TR 1.957% DUE 10-25-2061	531,393
	OCCIDENTAL PETE 3% DUE 02-15-2027	274,050
	OCCIDENTAL PETE CORP 3.2% 08-15-2026	144,272
	OCCIDENTAL PETE CORP SR NT 5.55% 03-15-2026	144,729
	OCCIDENTAL PETE CORP SR NT 6.95% 07-01-2024	499,032
	OCCIDENTAL PETE FIXED 7.875% DUE 09-15-2031	13,350
	OCP S A 4.5% DUE 10-22-2025	284,812
	ORACLE CORP 1.65% 03-25-2026	138,974
	ORACLE CORP FIXED 2.875% DUE 03-25-2031	90,673
	ORBITA ADVANCE CORP S A B DE C V SR NT 144A 1.875% 05-11-2026	197,078
	OTIS WORLDWIDE CORP 2.056% DUE 04-05-2025 BEO	71,192

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	PAC GAS & ELEC CO FIXED 1.75% DUE 06-16-2022	\$ 180,001
	PAC GAS & ELEC CO FIXED 2.1% DUE 08-01-2027	183,560
	PAYPAL HLDGS INC 1.35% DUE 06-01-2023	100,821
	PAYPAL HLDGS INC FIXED 1.65% DUE 06-01-2025	111,392
	PEPSICO INC .75% DUE 05-01-2023	140,190
	PEPSICO INC 1.625% DUE 05-01-2030	116,495
	PEPSICO INC 2.25% DUE 03-19-2025	20,674
	PEPSICO INC 2.625% DUE 03-19-2027	10,477
	PERATON CORP. TERM B LOAN (FIRST LIEN) DUE 02-01-2028 BEO	348,997
	PETROBRAS GLOBAL 5.75% DUE 02-01-2029	204,488
	PETROBRAS GLOBAL 6.25% DUE 03-17-2024	788,400
	PETROBRAS GLOBAL 7.375% DUE 01-17-2027	500,520
	PETROBRAS GLOBAL FINANCE 5.299% 01-27-2025	10,750
	PETROBRAS GLOBAL FINANCE BNDS 6.85% DUE 06-05-2115 REG	188,575
	PFIZER INC .8% DUE 05-28-2025	177,840
	PFIZER INC 1.7% DUE 05-28-2030	117,687
	PFIZER INC 2.625% DUE 04-01-2030	116,021
	PHILIP MORRIS INTL 1.125% DUE 05-01-2023	80,352
	PHILIP MORRIS INTL 2.1% DUE 05-01-2030	78,364
	PIONEER NAT RES CO .75% DUE 01-15-2024 REG	197,320
	PIONEER NAT RES CO 1.125% DUE 01-15-2026 REG	87,452
	PNC FINL SVCS 3.5% DUE 01-23-2024	816,853
	PROCTER & GAMBLE 2.8% DUE 03-25-2027	21,163
	PROCTER & GAMBLE CO 3.0% DUE 03-25-2030 REG	65,279
	PROSUS NV 3.061% 07-13-2031	712,390
	PVPTL PETROLEOS DEL PERU S A 5.625% DUE 06-19-2047	336,648
	PVPTL PETROLEOS DEL PERU SA 4.75% DUE 06-19-2032	250,426
	PVT PL BNP PARIBAS SR NON PFD 4.705% 01-10-2025	457,854
	PVT PLBNP PARIBAS SR NON PFD 5.198% 01-10-2030	232,878
	PVTP LKAZMUNAIGAZ FIN SUB BV GTD GLOBAL MEDIUM CORP 5.375% 04-24-2030	233,100
	PVTPL ANGLO AMERN CAP PLC GTD NT 3.625% 09-11-2024	388,467
	PVTPL AREIT 2021-CRE5 LTD/AREIT AREIT SER 21-CRE5 CL B FLTGT RT 07-17-2026	429,266
	PVTPL AVIS BUDGET RENT CAR FDG SER 19-2A CL A 3.35% 09-22-2025	303,735
	PVTPL BDS 2021-FL10 LTD/BDS 2021-FL10 LLC 5TH PRY SEC D CL D FLTGT 12-18-2036	569,465
	PVTPL BENEFIT STR PARTNERS CLO IV LTD/BENEFI VAR RT DUE 01-20-2032 BEO	250,009
	PVTPL BLACKROCK ELBERT CLO V LTD SER 5A CL A1 FLTGT RT 144A 12-25-2031	340,007
	PVTPL BNP PARIBAS 2.219% DUE 06-09-2026/06-09-2025 REG	201,948
	PVTPL BNP PARIBAS SR NON PFD MED 4.4% 08-14-2028	269,189
	PVTPL BPCE MEDIUM TERM SUB NTS BOOK ENTRY 144A 4.625 DUE 07-11-2024 BEO	534,525
	PVTPL BROADCOM INC 3.137% DUE 11-15-2035 BEO	513,818
	PVTPL CAMERON LNG LLC 2.902% 07-15-2031	93,807
	PVTPL CARGILL INC NT 1.375% DUE 07-23-2023 BEO	120,951
	PVTPL CAYUGA PK CLO LTD SER 20-1A CL AR FLTGT 07-17-2034	329,817
	PVTPL CERBERUS SER 20-1A CL A FLTGT RT RT 10-15-2031	125,099
	PVTPL CHENIERE ENERGY PARTNERS L P 3.25%DUE 01-31-2032 BEO	30,300
	PVTPL CIFC FUNDING LTD SER 21-4A CL A FLTGT 07-15-2033	448,266
	PVTPL CMO BX TR 2019-IMC COML MTG PASSTHRU CTF CL A 144A 04-15-2034	638,795
	PVTPL CMO CSMC SER 2021-2R NT CL 1-A-1 144A VAR RT DUE 07-25-2047 BEO	118,944
	PVTPL CMO CSMC TR 2014-USA COML MTG PASS THRU CTF CL B 144A 4.1846 9-15-37 BEO	745,381
	PVTPL CMO GS MTG SECS CORP TR 2018-SRP5 COML MTG PASSTHRU CTF CL A 08-25-2023	967,518
	PVTPL CMO GS MTG SECS CORP TR 2018-SRP5 COML MTG PASSTHRU CTF CL B 08-25-2023	919,308
	PVTPL CMO LEGACY MTG ASSET TR SER-19-GS7 CL A1 VAR 06-25-2059 BEO	609,824
	PVTPL CMO MORGAN STANLEY CAP I TR SER 2017-ASHF CL A FRN 11-15-2034	84,229
	PVTPL CMO NEW RESIDENTIAL MTG 19-6A MTG BACKED NT CL B1 VAR RT DUE 09-25-2059	288,233
	PVTPL CMO OPG TR 2021-PORT SR 21-PORT CLA FLTGT RT 10-15-2036	5,151,608
	PVTPL COMCAST CORP NEW NT 2.937% 11-01-2056	25,831
	PVTPL CONOCOPHILLIPS 4.3% DUE 08-15-2028 BEO	134,872
	PVTPL CONTINENTAL RES INC 5.75% DUE 01-15-2031/11-25-2020 BEO	94,210
	PVTPL CONTINENTAL RESOURCES 2.268% 11-15-2026	49,625
	PVTPL COTERRA ENERGY INC 4.375% DUE 03-15-2029	33,549
	PVTPL COTERRA ENERGY INC COTERRA ENERGY INC 4.375% DUE 06-01-2024	232,694
	PVTPL COTERRA ENERGY INC SR NT 3.9% 05-15-2027	387,102
	PVTPL CREDIT SUISSE GROUP AG 3.091% 05-14-2032	254,672

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	PVTPL CREDIT SUISSE GROUP AG SR CALL NT 4.194% DUE 04-01-2031 BEO	\$ 275,976
	PVTPL DANSKE BK A/S MEDIUM TERM NTS BOOKENTRY 1.226% DUE 06-22-2024/06-22-2023	199,739
	PVTPL DANSKE BK A/S MEDIUM TERM NTS BOOKENTRY TRANCHE 5.0% 01-12-2022 REG	400,354
	PVTPL DISH DBS CORP SR SECD NT 5.25% 12-01-2026	40,616
	PVTPL DIVIDEND SOLAR LNS SER 18-2 CL B 4.25% 12-20-2038 BEO	842,612
	PVTPL DRYDEN 75 CLO LTD/DRYDEN 75 CLO LLC SR SECD NT CL A-R2 FLTG 04-15-2034	250,097
	PVTPL EQT CORP SR NT 3.625% 05-15-2031	83,000
	PVTPL GOLUB CAP PARTNERS CLO 57 M SR 21-57A CL A1 VAR RT DUE 10-25-2034 BEO	459,998
	PVTPL GREENWOOD PK CLO LTD SER 18-1A CL A2 FLTG 04-15-2031	249,861
	PVTPL GREYWOLF CLO V LTD VAR RT SR 15-1A CL A-1-R 01-25-2031	250,058
	PVTPL GRIPPEN PARK CLO LTD SER 17-1A CL A FLTG RATE DUE 01-20-2030	500,000
	PVTPL GUARDIAN LIFE GLOBAL FDG SR SECD MEDIUM 1.1% DUE 06-23-2025 BEO	49,544
	PVTPL HALCYON LN ADVISORS FDG 2015-2 LTD/HAL SR 15-2A CL A-R FLTG 07-25-2027	8,335
	PVTPL INTESA SANPAOLO S P A 144A BD 5.017% DUE 06-26-2024 REG	707,342
	PVTPL INTESA SANPAOLO S P A RCPTS CL X 144A 3.125% DUE 07-14-2022 BEO	202,277
	PVTPL KKR CLO 32 LTD/KKR CLO 32 LLC 0.0%15/01/2032 VAR RT DUE 01-15-2032 BEO	150,037
	PVTPL LCM LTD PARTNERSHIP SER 19A CL AR FLTG RT 07-15-2027	116,167
	PVTPL MARS INC DEL 3.2% 04-01-2030	53,896
	PVTPL MARS INC DEL NT 2.7% 04-01-2025	93,625
	PVTPL MIDOCEAN CR CLO SER 17-7A CL BR FLTG 07-15-2029	249,659
	PVTPL NATIXIS COML MTG SR 2019-FAME CL A 3.0469% 08-15-2024	693,243
	PVTPL NAVIENT STUDENT LOAN TRUST SER 21-A CL B 2.24% DUE 05-15-2069	227,971
	PVTPL NISSAN MTR LTD 3.522% DUE 09-17-2025 BEO	346,054
*	PVTPL NXP B V/NXP FDG LLC/NXP USA INC 2.7% DUE 05-01-2025/05-01-2020 BEO	82,670
	PVTPL OAK HILL CREDIT PARTNERS SR 20-7A CL A FLTG 10-19-2032	150,032
	PVTPL OCTAGON INVESTMENT PARTNERS 45 LTD SER 19-1A CLS A FLTG 10-15-2032	250,034
	PVTPL ORBIA ADVANCE CORP SAB DE CV 2.875% DUE 05-11-2031 BEO	195,500
	PVTPL OWL ROCK CLO I LTD SER 20-3A CLS A1L FLTG RT 04-20-2032	280,620
	PVTPL PALMER SQUARE CLO 2019-1 LTD CL A-1-R FLTG 11-14-2034	320,000
	PVTPL PARK AEROSPACE HLDGS 5.25% 08-15-2022	5,110
	PVTPL PARSLEY ENERGY LLC/PARSLEY FIN CORP 4.125% DUE 02-15-2028 BO	20,125
	PVTPL POINT AU ROCHE PARK CLO, LTD SER 21-1A A FLTG 07-20-2034	438,773
	PVTPL RECETTE CLO LTD/RECETTE CLO LLC SRSECD NT CL A-RR FLTG 144A 01-20-2033	339,064
	PVTPL SANDS CHINA LTD 2.85% 03-08-2029	188,208
	PVTPL SILVER ROCK CLO I LTD/SILVER ROCK CLO FLTG SR 20-1A CL A 10-20-2031	460,713
	PVTPL SMB PRIVATE ED LN TR 2020-PT-A 1.6% DUE 09-15-2054 BEO	407,058
	PVTPL SYMPHONY CLO XIX LTD/SYMPHONY CLO XIX SR SECD NT CL A FLTG 04-16-2031	499,725
	PVTPL TCI-FLATIRON CLO 2016-1 LTD/TCI-FLATIR SR 16-1A CL AR2 VAR RT 01-17-2032	540,139
	PVTPL TENCENT HLDGS LTD MEDIUM TERM NTS 3.68% DUE 04-22-2041/04-22-2021 REG	457,505
	PVTPL TENNESSEE GAS PIPELINE CO SR NT 144A 2.9% DUE 03-01-2030	284,685
	PVTPL UBS AG LONDON BRH NT 144A 1.75% DUE 04-21-2022 BEO	230,722
	PVTPL UBS GROUP FUNDING (SWITZERLAND) 3.491% 05-23-2023	606,086
	PVTPL UBS GROUP FUNDING 4.125% 09-24-2025 BEO	270,036
	PVTPL UNICREDIT SPA 6.572% DUE 01-14-2022 BEO	851,233
	PVTPL USAA CAP CORP 2.125% DUE 05-01-2030 REG	150,126
	PVTPL USQ RAIL I LLC/USQ CDA RAIL ULC 21-1A CL A2.25% DUE 02-28-2051 BEO	296,784
	PVTPL VERIZON COMMUNICATIONS INC 2.355% DUE 03-15-2032 BEO	663,942
	PVTPL VOYA CLO LTD SER 18-4A CL A1AR FLTG 01-15-2032	478,279
	PVTPL WHITEBOX CLO II LTD/WHITEBOX CLO II LL SR 20-2A CL A1R VAR RT 10-24-2034	320,000
	PVTPL ZAIS CLO 13 LTD FLTG RT SER 19-13A CL A1A 07-15-2032 BEO	250,248
	PVTPL1 GLENCORE FDG LLC GTD 4.125% DUE 05-30-2023 BEO	821,537
	RAYTHEON 2.25% DUE 07-01-2030	119,786
	RAYTHEON TECHNOLOGIES CORP 3.15% 12-15-2024	62,991
	REESE PK CLO LTD / REESE PK CLO LLC 2.17429% 10-15-2034	499,589
	REGENCY ENERGY 4.5 DUE 11-01-2023	146,667
	REPUBLIC SVCS INC 3.2% DUE 03-15-2025	346,263
	REYNOLDS CONSUMER PRODUCTS, INC. TERM LOAN 01-29-2027	564,381
	ROYAL BK CDA GLOBAL MEDIUM TERM SR BK NT1.15% DUE 06-10-2025	119,015
	ROYAL BK OF CANADA 1.6% 04-17-2023	212,373
	SANDS CHINA LTD 3.8% SNR NTS 01-08-2026 USD	201,028
	SANDS CHINA LTD FIXED 5.125% DUE 08-08-2025	230,793

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	SANDS CHINA LTD SR NT 144A 2.3% 03-08-2027	\$ 216,499
	SANTANDER UK GROUP 3.571% DUE 01-10-2023	260,110
	SHELL INTL FIN B V 2.75% DUE 04-06-2030	62,732
	SHELL INTL FIN B V 2.875% DUE 05-10-2026	106,128
	SHELL INTL FIN B V 3.25% DUE 04-06-2050	213,598
	SINOPEC GROUP 4.375% DUE 04-10-2024	950,084
	SKYMILES IP LTD DELTA AIR LINES INC DUE 10-20-2027 BEO-20-2027 BEO	42,250
	SLM PRIVATE CR STUDENT LN TR 2007-A STUDLN BACKED NT A-4 FLTG 12-16-2041BEO	534,761
	SMB PRIVATE ED LN TR 2021-A PVT ED LN BKD NT CL A-2B 1.59% 01-15-2053	604,120
	SMBC AVIATION CAP 4.125% DUE 07-15-2023	239,637
	SOUTHN COPPER CORP 5.25% DUE 11-08-2042	679,725
	SOUTHWESTERN ENERGY CO 4.75% 02-01-2032	42,109
	SOUTHWESTN ENERGY 5.375% DUE 03-15-2030	21,430
	STERIGENICS-NORDION HOLDINGS, LLC FLTG RT TBL 12-13-2026	308,546
	SUZANO AUSTRIA GMBH 3.125% 01-15-2032	116,101
	SUZANO AUSTRIA GMBH 3.75% DUE 01-15-2031BEO	315,041
	SWEDBANK AB MEDIUM 1.3% DUE 06-02-2023	201,185
	TARGA RES PARTNERS FIXED 5% DUE 01-15-2028	21,074
	TARGET CORP 2.25% DUE 04-15-2025	123,715
	TEACHERS INS & 6.85% DUE 12-16-2039	14,954
	TECK RESOURCES LTD 6% DUE 08-15-2040	12,821
	TELEFONICA EMISIONES S A U 4.895% 03-06-2048	289,609
	TEVA 2.8% DUE 07-21-2023	170,589
	TEVA 2.95% DUE 12-18-2022	40,045
	TEVA 3.15% DUE 10-01-2026	329,000
	TEVA 5.125% DUE 05-09-2029	362,715
	TEVA PHARMACEUTICAL FIN NETH III B CORP 7.125% 01-31-2025	214,000
	TEXAS INSTRS INC 1.75% DUE 05-04-2030	68,717
	T-MOBILE USA INC 2.05% DUE 02-15-2028	29,803
	T-MOBILE USA INC 2.55% 02-15-2031	69,726
	T-MOBILE USA INC 3.0% DUE 02-15-2041 BEO	9,791
	T-MOBILE USA INC 3.5% 04-15-2025	551,147
	T-MOBILE USA INC 3.875% 04-15-2030	328,538
	T-MOBILE USA INC FIXED 3.75% DUE 04-15-2027	32,507
	TORONTO DOMINION BK SR MEDIUM TERM BK NT .75% DUE 06-12-2023	250,033
	TORONTO DOMINION BK SR MEDIUM TERM BK NT 1.15% DUE 06-12-2025	109,123
	TOYOTA MTR CORP 1.339% DUE 03-25-2026 BEO	308,745
	TRCNTNTL GAS PIPE 7.85% DUE 02-01-2026	451,836
	U S BANCORP MEDIUM FIXED 1.45% 05-12-2025	281,271
	U S BANCORP MEDIUM FIXED 3.375% DUE 02-05-2024	1,268,594
	UBS GROUP FDG SWITZ AG 2.859% 144A 08-15-2023	202,405
	UNION PAC CORP 2.891% DUE 04-06-2036	157,442
	UNION PAC CORP 3.75% 07-15-2025	32,420
	UNITED RENTALS NORTH AMER INC 4.875% DUE01-15-2028	105,088
	UNITED TECHNOLOGIES CORP 3.95% 08-16-2025	108,465
	UNITED TECHNOLOGIES CORP 4.125% 11-16-2028	33,583
	UNITEDHEALTH GROUP 1.25% DUE 01-15-2026	39,868
	UNITEDHEALTH GROUP INC 2.0% 05-15-2030	39,753
	UNITEDHEALTH GROUP INC 4.45% DUE 12-15-2048 REG	12,806
	UTD RENTALS N AMER 3.875% DUE 11-15-2027	20,724
	VALE OVERSEAS LTD 6.875% DUE 11-21-2036	26,575
	VERIZON 3.85% DUE 11-01-2042	11,209
	VERIZON 4% DUE 03-22-2050	58,104
	VERIZON 4.125% DUE 08-15-2046	34,907
	VERIZON 4.862% DUE 08-21-2046	64,558
	VERIZON COMMUNICATIONS INC 1.68% 10-30-2030 USD	215,921
	VERIZON COMMUNICATIONS INC 4.329% 09-21-2028	301,273
	VERIZON FIXED 2.1% DUE 03-22-2028	140,380
	VERIZON FIXED 2.55% DUE 03-21-2031	232,305
	VERSCEND HLDG CORP TERM B-1 LOAN DUE 08-27-2025 BEO	99,117
	VFH PARENT LLC TERM LOAN 01-03-2026	129,585
	VIRGIN MEDIA BRISTOL LLC TERM LOAN 01-03-2028	376,280
	VIRGIN MEDIA BRISTOL LLC TERM LOAN Q DUE01-31-2029 BEO	39,956

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	VISA INC 1.9% DUE 04-15-2027 REG	\$ 101,384
	VOC ESCROW LTD 5% DUE 02-15-2028	29,700
	WALMART INC 1.5% DUE 09-22-2028 BEO	39,788
	WALMART INC 1.8% DUE 09-22-2031 BEO	29,735
	WELLS FARGO & CO 3% DUE 10-23-2026	346,900
	WELLS FARGO & CO 3.75% DUE 01-24-2024	1,555,206
	WELLS FARGO & CO 4.478% 04-04-2031	104,656
	WELLS FARGO & CO 4.9% DUE 11-17-2045	50,456
	WELLS FARGO & CO MEDIUM TERM 5.013% 04-04-2051	301,469
	WELLS FARGO & CO MEDIUM TERM SR NTS BOOK2.188% DUE 04-30-2026	244,342
	WELLS FARGO & CO NEW MEDIUM TERM SR NTS TRANCHE # TR 00155 4.3% DUE 07-22-2027	412,477
	WELLS FARGO & CO TRANCHE # SR 00129 3.45DUE 02-13-2023	668,807
	WESTERN MIDSTREAM OPER LP 3.1% DUE 02-01-2025	62,701
	WESTERN MIDSTREAM OPER LP 4.05% DUE 02-01-2030/01-13-2020 REG	143,133
	WESTERN MIDSTREAM OPER LP FRN 01-13-2023REG	39,941
	WESTN GAS PARTNERS 5.3% 03-01-2048	12,050
	WESTN GAS PARTNERS 5.5% DUE 08-15-2048	71,700
	WFRBS COML MTG TR 3.324% DUE 11-15-2047	419,414
	WHATABRANDS LLC SR SEC TL 07-21-2028 DUE08-03-2028 BEO	9,955
	WHITEBOX CLO III LTD / WHITEBOX CLO SERIES 21-3A CLASS A115 FLTG 10-15-2034	280,130
	WILLIAMS PARTNERS 3.75% DUE 06-15-2027	388,891
	Total Corporate Debt Instruments - Other	143,800,451
	Value of Corporate Stock - Common	
	#REORG/HOLLYFRONTIER MANDATORY EXCHANGE HF SINCLAIR 0002117AY3 03-14-2022	791,965
	#REORG/STERLING BANCORP DEL STOCK MERGERWEBSTER FINL CORP 2911671 02-01-2022	748,400
	5TH 3RD BANCORP COM	1,411,238
	ABBVIE INC COM USD0.01	2,215,956
	ACTIVISION BLIZZARD INC COM STK	1,211,179
	ACUITY BRANDS INC COM	1,344,422
	ADOBE SYS INC COM	15,422,898
	ADR ARGENX SE SPONSORED ADS	3,477,037
	ADR ASCENDIS PHARMA A/S SPONSORED ADR	4,244,960
	ADR ASML HLDG NV NY REG 2012 (POST REV SPLIT)	14,153,777
	ADR BIONTECH SE ADS	1,000,264
	ADR CENTESSA PHARMACEUTICALS PLC SPONSORED ADS	411,384
	ADR CRH PLC ADR	1,246,608
	ADR ENDAVA PLC ADS	3,268,395
	ADR EVOLUTION AB ADR UNSP ADR EACH REPR 1 ORD	981,134
	ADR EVOTEC SE SPONSORED ADS	1,337,173
	ADR NOVARTIS AG	1,647,060
	ADR PROSUS N.V. ADR NASPERS NEWCO-ADR	1,535,810
	ADR SAFRAN ADR	2,336,892
	ADR SILICON MOTION TECHNOLOGY CORP SPONSORED ADR REPSTG SHS	2,014,636
	ADR SONY GROUP CORPORATION SPON ADS EACH REPR 1 ORD SHS	2,307,053
	ADR TAIWAN SEMICONDUCTOR MANUFACTURING SPON ADS EACH REP 5 ORD TWD10	6,763,106
	ADVANCED DRAIN SYS INC DEL COM	1,556,102
	ADVANCED ENERGY INDS INC COM	977,529
	AERCAP HOLDINGS N.V. EUR0.01	1,984,385
	AGILENT TECHNOLOGIES INC COM	2,277,248
	ALBANY INTL CORP NEW CL A	1,016,291
	ALIGN TECHNOLOGY INC COM	15,014,591
	ALLEGION PLC COMMON STOCK	559,559
	ALLISON TRANSMISSION HOLDING	34,496
	ALPHABET INC CAP STK USD0.001 CL C	35,024,013
	ALPHABET INC CAPITAL STOCK USD0.001 CL A	4,180,429
	AMAZON COM INC COM	47,644,384
	AMBARELLA INC SHS	5,118,712
	AMERESCO INC	1,313,953
	AMERICAN INTERNATIONAL GROUP INC COM	957,750
	AMERISOURCEBERGEN CORP COM	1,559,065
	AMN HEALTHCARE SVCS INC COM	3,145,838

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	ANTHEM INC COM	\$ 5,472,553
	AON PLC	6,603,003
	APPLE INC COM STK	28,884,069
	APPLIED MATERIALS INC COM	2,383,847
	APTIV PLC COM USD	3,245,226
	ARISTA NETWORKS INC COM	3,193,981
	ARMSTRONG WORLD INDS INC NEW COM STK	1,158,645
	ASPEN AEROGELS INC COM	1,490,663
	ATKORE INC	1,970,509
	ATLISSIAN CORPORATION PLC COM USD0.1 CL A	5,442,152
	ATMOS ENERGY CORP COM	798,871
	ATRICURE INC COM STK	923,567
	AUTOZONE INC COM	4,538,684
	AVANTOR INC COM	2,301,729
	AVNET INC COM	1,027,328
	AXALTA COATING SYSTEMS LTD COM USD1.00	1,707,270
	AXCELIS TECHNOLOGIES INC COM NEW COM NEW	3,219,426
	AXON ENTERPRISE INC COM	1,125,533
	AZENTA INC COM USD0.01	1,595,112
	BALL CORP COM	2,017,338
	BANCORP INC DEL COM STK	787,369
	BANK OF AMERICA CORP	3,084,314
	BEAM THERAPEUTICS INC COM	639,831
	BERKSHIRE HATHAWAY INC-CL B	5,201,404
	BIGCOMMERCE HLDGS INC COM SER 1 COM SER 1	1,064,955
	BILL COM HLDGS INC COM	1,641,400
	BIOHAVEN PHARMACEUTICAL HLDG CO LTD COM NPV	966,875
	BLACKSTONE INC COM USD0.00001	17,387,299
	BLUEPRINT MEDICINES CORP COM	1,537,671
	BOOKING HLDGS INC COM	8,027,824
	BOOT BARN HLDGS INC COM	3,637,358
	BORG WARNER INC COM	561,212
	BOSTON SCIENTIFIC CORP COM	8,432,917
	BRISTOL MYERS SQUIBB CO COM	1,930,044
	BRP GROUP INC CL A CL A	1,040,149
	BURLINGTON STORES INC COM	3,308,639
	CABOT CORP COM	612,074
	CAESARS ENTMT INC NEW COM	4,526,758
	CALIX NETWORKS INC COMMON STOCK	4,047,122
	CALLAWAY GOLF CO COM	704,577
	CAMECO CORP COM	1,323,998
	CANADIAN NAT RES LTD COM CANADIAN NATURAL RESOURCE COM STK	1,782,697
	CANADIAN NATL RY CO COM	1,581,945
	CAPITAL ONE FINL CORP COM	4,912,892
	CARGURUS INC CL A CL A	1,205,557
	CATALENT INC COM	4,089,022
	CATERPILLAR INC COM	1,410,794
	CBRE GROUP INC CL A CL A	1,674,526
	CELSIUS HLDGS INC COM NEW COM NEW	3,317,768
	CENOVUS ENERGY INC COM	813,354
	CENTENE CORP DEL COM	2,755,044
	CENTERPOINT ENERGY INC COM	1,638,317
	CENTURY CMNTYS INC COM	2,172,833
	CERIDIAN HCM HLDG INC COM	3,041,562
	CHANNELADVISOR CORP COM	1,293,726
	CHARLES RIV LABORATORIES INTL INC COM	1,319,107
	CHART INDS INC COM PAR \$0.01 COM PAR \$0.01	851,517
	CHARTER COMMUNICATIONS INC NEW CL A CL A	1,035,328
	CHEGG INC COM	459,426
	CHIPOTLE MEXICAN GRILL INC COM STK	3,202,794
	CHUBB LTD ORD CHF24.15	1,966,543
	CI FINL CORP COM	1,414,888
	CIGNA CORP NEW COM	3,580,391

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	CISCO SYSTEMS INC	\$ 4,532,856
	CITIGROUP INC COM NEW COM NEW	8,248,127
	CMC MATLS INC COM	803,181
	COCA-COLA EUROPACIFIC PARTNERS	1,711,178
	CODEXIS INC COM	712,737
	COGNEX CORP COM	1,255,280
	COGNIZANT TECH SOLUTIONS CORP CL A	1,519,774
	COMCAST CORP NEW-CL A	6,810,857
	CONMED CORP COM	1,224,806
	CONOCOPHILLIPS COM	4,423,046
	CORE & MAIN INC COM CL A COM CL A	1,894,672
	COSTAR GROUP INC COM	7,573,129
	CRACKER BARREL OLD COUNTRY STORE COM	649,503
	CRINETICS PHARMACEUTICALS INC COM	1,663,406
	CROCS INC COM	2,360,274
	CUSTOMERS BANCORP INC COM	1,512,139
	CVS HEALTH CORP COM	3,300,192
	CYBER-ARK SOFTWARE LTD COM ILS0.01	936,752
	CYTOKINETICS INC COMMON STOCK	3,113,980
	D R HORTON INC COM	908,811
	DANAHER CORP COM	18,031,064
	DARDEN RESTAURANTS INC COM	703,790
	DARLING INGREDIENTS INC COMSTK	1,386,631
	DATADOG INC COM USD0.00001 CL A	3,791,606
	DEERE & CO COM	12,968,100
	DEXCOM INC COM	12,284,879
	DIAMONDBACK ENERGY INC COM	1,289,670
	DICE THERAPEUTICS INC COM	997,644
	DIGI INTL INC COM	707,174
	DIGITALOCEAN HLDGS INC COM	800,729
	DISH NETWORK CORP CL A COM STK	2,793,149
	DOCEBO INC	2,009,618
	DOMINION ENERGY INC COM STK NPV	1,824,713
	DOVER CORP COM USD1.00	1,067,082
	DRAFTKINGS INC CL A COM USD0.0001 CL A	394,469
	DUCKHORN PORTFOLIO INC COM	1,868,740
	DUPONT DE NEMOURS INC COMMON STOCK	3,016,568
	DUTCH BROS INC CL A CL A	922,642
	EASTMAN CHEM CO COM	888,930
	EATON CORP PLC COM USD0.50	2,793,117
	EDWARDS LIFESCIENCES CORP COM	5,510,021
	EMERGENT BIOSOLUTIONS INC COM	401,619
	ENTEGRIS INC COM	3,499,422
	EOG RESOURCES INC COM	1,679,331
	EVEREST RE GROUP COM	1,292,902
	EVERI HLDGS INC COM	735,764
	EVOQUA WATER TECHNOLOGIES CORP COM	2,026,098
	EXACT SCIENCES CORP COM	778,533
	EXPONENT INC COM STK	1,809,782
	FARFETCH LTD COM USD0.04 CLASS A	3,128,145
	FIDELITY NATL INFORMATION SVCS INC COM STK	2,716,198
	FIRST REP BK SAN FRANCISCO CALIF NEW COM	2,500,217
	FIRSTCASH HLDGS INC COM	859,567
	FLEETCOR TECHNOLOGIES INC COM	1,336,772
	FLEX LTD COM USD0.01	1,178,436
	FLUENCE ENERGY INC CL A CL A	1,092,723
	FORTIVE CORP COM MON STOCK	2,911,532
	FORWARD AIR CORP COM	712,978
	FOX FACTORY HLDG CORP COM	1,079,455
	FREEPORT-MCMORAN INC	3,908,682
	GATX CORP COM	944,899
	GEN MTRS CO COM	3,138,640
	GENERAC HLDGS INC COM STK	3,608,588

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	GENERAL DYNAMICS CORP COM	\$ 2,683,426
	GENERAL ELECTRIC CO COM USD0.01(POST REV SPLIT)	6,366,900
	GENMAB A/S SPONSORED ADR	2,600,516
	GENTHERM INC COM NPV	1,582,970
	GLOBAL E ONLINE LTD COM NPV	1,340,572
	GLOBAL PMTS INC COM	5,646,063
	GOLDMAN SACHS GROUP INC COM	9,343,401
	GRAB HLDGS LTD	1,079,161
	GRAB HLDGS LTD 12-01-2026	42,717
	GREEN PLAINS INC COM STK	789,817
	GRID DYNAMICS HOLDINGS INC COM USD0.0001CL A	2,537,193
	HALOZYME THERAPEUTICS INC COM	1,012,850
	HARLEY DAVIDSON COM USD0.01	538,251
	HAYWARD HLDGS INC COM	1,941,571
	HEARTLAND FINL USA INC COM STK	1,057,597
	HERC HLDGS INC COM	1,275,569
	HEXCEL CORP NEW COM	698,937
	HILTON WORLDWIDE HLDGS INC COM NEW COM NEW	5,471,037
	HOSTESS BRANDS INC CL A CL A	904,157
	HOWMET AEROSPACE INC COM USD1.00 WI	1,792,984
	HUBSPOT INC COM	6,965,897
	HUNTINGTON INGALLS INDS INC COM	736,316
	IAC/INTERACTIVECORP NEW COM NEW COM NEW	881,247
	ICON PLC COM	1,529,608
	IMPINJ INC COM	1,672,882
	INGERSOLL RAND INC COM	4,219,781
	INMODE LTD COM ILS0.01	869,969
	INSPIRE MED SYS INC COM	1,996,921
	INSTALLED BLDG PRODS INC COM	1,989,753
	INTELLIA THERAPEUTICS INC COM	854,993
	INVITAE CORP COM	500,993
	JOHNSON & JOHNSON COM USD1	6,139,873
	JPMORGAN CHASE & CO COM	5,280,973
	KBR INC COM	1,904,752
	KEURIG DR PEPPER INC COM	2,415,399
	KEYCORP NEW COM	788,502
	KINSALE CAP GROUP INC COM	1,700,676
	KLA CORPORATION COM USD0.001	1,214,631
	KOHL'S CORP COM	785,894
	KORN FERRY COM	1,336,407
	KORNIT DIGITAL LTD COMMON STOCK	2,822,715
	KRISPY KREME INC COM	1,039,503
	KYMERA THERAPEUTICS INC COM	954,953
	LAM RESH CORP COM	1,142,729
	LATHAM GROUP INC COM	1,239,010
	LATTICE SEMICONDUCTOR CORP COM	6,766,176
	LENNAR CORP CL A CL A	905,932
	LIBERTY BROADBAND CORP COM SER C COM SERC	8,278,285
	LIBERTY MEDIA CORPORATION COM USD0.01 SER C FORMULA	4,971,739
	LIVE OAK BANCSHARES INC COM	2,352,378
	LIVENT CORP COM	1,957,421
	LKQ CORP COM LKQ CORP	1,660,910
	LPL FINL HLDGS INC COM	1,861,366
	LULULEMON ATHLETICA INC COM	1,532,135
	LUMENTUM HLDGS INC COM	232,800
	LVMH MOET HENNESSY LOUIS VUITTON SE EUR0.30	8,207,919
	LYFT INC CL A CL A	1,698,945
	LYONDELLBASELL IND N V COM USD0.01 CL 'A'	1,343,791
	MAGNOLIA OIL & GAS CORP CL A CL A	1,729,549
	MARATHON PETE CORP COM	2,730,709
	MARQETA INC COM USD0.001 A	255,885
	MARRIOTT INTL INC NEW COM STK CL A	7,353,180
	MASCO CORP COM	1,198,726

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	MASTERCARD INC CL A	\$ 19,349,023
	MATADOR RES CO COM	1,270,676
	MATCH GROUP INC NEW COM	9,896,532
	MAXLINEAR INC COMMON STOCK	3,279,390
	MCKESSON CORP	1,767,581
	MEDPACE HLDGS INC COM	3,245,012
	MERITAGE HOMES CORP COM	789,362
	MERUS B V MERUS N V	733,658
	META PLATFORMS INC	28,978,571
	MICRON TECH INC COM	3,267,702
	MICROSOFT CORP COM	60,368,095
	MIDDLEBY CORP COM	775,431
	MIRATI THERAPEUTICS INC COM	1,133,620
	MOHAWK INDS INC COM	1,598,083
	MONDAY COM LTD COM NPV	1,470,742
	MONOLITHIC PWR SYS INC COM	997,513
	MONTROSE ENVIRONMENTAL GROUP INC COM	1,194,862
	MOOG INC CL A	694,318
	MORGAN STANLEY COM STK USD0.01	6,738,978
	MORPHIC HLDG INC COM	780,206
	MP MATERIALS CORP COM USD0.0001 CL A	2,649,122
	MSCI INC COMMON	3,610,582
	MURPHY OIL CORP COM	1,071,163
	NASDAQ INC	3,176,191
	NASPERS SPON ADR EACH REP 0.2 ORD SHS (P/S)CL N	642,196
	NATERA INC COM	937,542
	NATIONAL VISION HLDGS INC COM	825,764
	NEOGENOMICS INC COM NEW COM NEW	1,028,752
	NETAPP INC COM STK	774,924
	NETFLIX INC COM STK	18,683,472
	NEW YORK TIMES CO CL A ISIN #US6501111073	2,058,739
	NEWMONT CORPORATION	960,256
	NIKE INC CL B	6,594,799
	NORTONLIFE LOCK INC	1,148,576
	NOV INC COM	725,670
	NUVALENT INC CL A CL A	677,653
	NVIDIA CORP COM	11,982,924
*	NXP SEMICONDUCTORS N V COM STK	1,259,168
	ON SEMICONDUCTOR CORP COM	1,718,919
	OPTIMIZERX CORP COM NEW COM NEW	722,091
	OTIS WORLDWIDE CORP COM USD0.01 WI	1,665,301
	OWENS CORNING NEW COM STK	933,146
	PAPA JOHNS INTL INC COM	1,478,447
	PINNACLE FINL PARTNERS INC COM	781,668
	PIONEER NAT RES CO COM	2,308,785
	POLARIS INC	595,053
	PROCTER & GAMBLE COM NPV	10,074,238
	QORVO INC COM	1,811,309
	QUALCOMM INC COM	3,566,148
	RAYMOND JAMES FNCL INC COM STK	969,362
	REINSURANCE GROUP AMER INC COM NEW STK	866,175
	RELAY THERAPEUTICS INC COM	2,677,451
	RENAISSANCE RE HLDGS LTD COM	738,109
	REPLIGEN CORP COM STK USD0.01	1,234,154
	RESTAURANT BRANDS INTL INC COM NPV	515,416
	REVOLVE GROUP INC CL A CL A	1,108,695
	RIVIAN AUTOMOTIVE INC	5,536,839
	ROKU INC COM CL A COM CL A	1,982,602
	RYDER SYS INC COM	1,484,399
	SABRE CORP COM	744,598
	SAIA INC COM STK	2,630,856
	SANMINA CORP COM	740,683
	SANOFI SPONSORED ADR	2,247,636

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	SCHLUMBERGER LTD COM COM	\$ 1,608,345
	SCHWAB CHARLES CORP COM NEW	2,691,368
	SCOTTS MIRACLE-GRO CLASS'A'COM NPV	965,839
	SEALED AIR CORP NEW COM STK	1,101,785
	SEAWORLD ENTMT INC COM	1,937,822
	SHERWIN-WILLIAMS CO COM	12,163,606
	SHOCKWAVE MED INC COM	1,501,895
	SHOPIFY INC CL A SHOPIFY INC	4,060,546
	SHYFT GROUP INC COM	1,848,025
	SILVERGATE CAP CORP CL A CL A	1,626,347
	SITIME CORP COM	3,320,329
	SKYLINE CHAMPION CORPORATION COM USD0.0277	3,433,024
	SNAP INC SNAP INC	12,043,207
	SNAP-ON INC COM	779,245
	SOFI TECHNOLOGIES INC COM	897,281
	SONOCO PROD CO COM	670,424
	SPOTIFY TECHNOLOGY S A COM EUR0.025	784,235
	SPRINGWORKS THERAPEUTICS INC COM	2,492,898
	SS&C TECHNOLOGIES HLDGS INC COM	1,610,743
	STEPSTONE GROUP INC CL A CL A	1,112,081
	STIFEL FINL CORP COM	959,332
	SUMMIT MATLS INC CL A CL A	1,739,266
	SVB FINANCIAL GROUP COMMON STOCK	3,935,148
	SYNAPTICS INC COM	1,874,867
	SYNOPSIS INC COM	4,155,206
	TAPESTRY INC COM USD0.01	941,798
	TELEDYNE TECHNOLOGIES INC COM	2,501,195
	TETRA TECH INC NEW COM	1,525,993
	TEXAS INSTRUMENTS INC COM	8,018,645
	THE BEAUTY HEALTH CO CL A	2,074,426
	TIMKEN CO COM	630,193
	TJX COS INC COM NEW	9,068,416
	T-MOBILE US INC COM	1,345,832
	TOAST INC COM USD0.000001 CLASS A	631,410
	TRADEWEB MKTS INC CL A CL A	3,338,668
	TRANSUNION COM	2,834,418
	TREX CO INC COM	1,293,992
	TRIMBLE INC COM TRIMBLE INC	2,383,600
	TRIUMPH BANCORP INC COM	3,030,943
	TRUIST FINL CORP COM	2,332,339
	TWILIO INC CL A CL A	9,754,377
	TYLER TECHNOLOGIES INC COM STK	1,870,990
	ULTRA CLEAN HLDGS INC COM	2,321,244
	UNION PAC CORP COM	1,623,185
	UNITED BANKSHARES INC W VA COM	778,895
	UNITED RENTALS INC COM	1,914,323
	UNITEDHEALTH GROUP INC COM	18,903,562
	UNITY SOFTWARE INC COM	3,730,037
	US FOODS HLDG CORP COM	1,218,144
	VEEVA SYS INC CL A COM CL A COM	4,695,211
	VERTIV HOLDINGS LLC COM USD0.0001	964,167
	VICOR CORP COM	3,195,198
	VISA INC COM CL A STK	1,855,688
	WABTEC CORP COM	1,309,988
	WALT DISNEY CO	13,027,333
	WAYFAIR INC CL A CL A	756,461
	WELLS FARGO & CO NEW COM STK	8,200,646
	WESBANCO INC COM	818,136
	WEST PHARMACEUTICAL SVCS INC COM	3,491,779
	WILLIS TOWERS WATSON PLC COM USD0.000115	2,323,602
	WILLSCOT MOBILE MINI HLDGS CORP COM USD0.01	2,228,394
	WOLVERINE WORLD WIDE INC COM	601,294
	WOODWARD INC COM	850,833

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	WORKDAY INC CL A COM USD0.001	\$ 8,960,577
	XENON PHARMACEUTICALS INC COMMON STOCK	1,340,352
	YETI HLDGS INC COM	1,573,107
	ZIPRECRUITER INC CL A CL A	1,719,189
	ZOOMINFO TECHNOLOGIES INC COM USD0.01 CLASS A	2,306,192
	ZSCALER INC COM	5,730,599
	ZYNGA INC	2,896,806
	Total Value of Corporate Stock - Common	1,193,086,219
	Value of Interest in Common/Collective Trusts	
	CF WESTERN ASST OPPORTUNISTIC STRUCTURED SECURITIES PORT LLC FD	9,206,616
	MFB NT COLLECTIVE RUSSELL 2000 VALUE INDEX FUND LENDING	64,180,134
	MFO STATE STREET GLOBAL ALL CAP EQUITY EX US INDEX SECURITIES LENDING CL II	42,632,518
	MFO STATE STREET RUSSELL SMALL MID CAP INDEX SECURITIES LENDING CL II	99,939,042
	MFO STATE STREET S&P 500 INDEX SECURITIES LENDING SERIES FUND CL II	643,076,167
	MFO T ROWE PRICE RETIREMENT 2015 TRUST F	12,011,230
	MFO T ROWE PRICE RETIREMENT 2020 TRUST F	26,988,819
	MFO T ROWE PRICE RETIREMENT 2025 TRUST F	59,167,359
	MFO T ROWE PRICE RETIREMENT 2030 TRUST F	51,781,658
	MFO T ROWE PRICE RETIREMENT 2035 TRUST F	38,454,083
	MFO T ROWE PRICE RETIREMENT 2040 TRUST F	26,507,981
	MFO T ROWE PRICE RETIREMENT 2045 TRUST F	8,771,707
	MFO T ROWE PRICE RETIREMENT 2050 TRUST F	5,671,336
	MFO T ROWE PRICE RETIREMENT 2055 TRUST F	2,226,698
	MFO T ROWE PRICE RETIREMENT 2060 TRUST F	3,101,802
	MFO T ROWE PRICE RETIREMENT 2065 TRUST F	459,749
	MFO ARROWSTREET COLLECTIVE INVT TR INTL EQUITY ACWI EX US CIT CL B	117,852,100
	NTGI COLTV GOVT STIF REGI STERED	19,423,728
	Total Value of Interest in Common/Collective Trusts	1,231,452,727
	Value of Interest in Registered Investment Companies	
	MFO PIMCO FDS PAC INVT MGMT SER FOR FUTURE I INC FD INSTL CL PDIIX	34,912,219
	MFO INVESTMENT MANAGERS SER TR WCM FOCUSED INTL GROWTH FD INSTL CL	152,919,634
	MFO DFA EMERGING MARKETS VALUE	27,030,724
	MFO ADVISORS INNER CIRCLE FD III GQG PARTNERS EMERGING MKTS	25,725,672
	Total Value of Interest in Registered Investment Companies	240,588,249
	Other	
	ALEXANDRIA REAL ESTATE EQUITIES INC COM	1,035,872
	AMERICAN TOWER CORP	12,775,023
	ARGENTINA REP .6% DUE 07-09-2041 REG	246,750
	ARGENTINA REP AMORTIZING STEP UP 0.125% 07-09-2030	296,380
	ARGENTINA REP BD 1.0% 07-09-2029	17,543
	ARGENTINA REP STEP UP DUE 07-09-2035	30,121
	BRAZIL FEDERATIVE REP BD 4.625% DUE 01-13-2028	354,878
	BRAZIL FEDERATIVE REP GLOBAL BD 5 T BOND 01-27-2045	187,400
	BRAZIL FEDERATIVE REP GLOBAL NT 5.625% DUE 01-07-2041 REG	550,687
	BRAZIL(FED REP OF) 10% GTD SNR 01/01/2023 BRL	619,579
	BUENOS AIRES(PROV) 3.9%-VAR SNR 01/09/37USD1	110,165
	CCP RECEIVABLE FROM BANK OF AMERICA	2,016,000
	CF WA OPPORTUNISTIC US \$ HIGH YIELD SEC PORT LLC	5,130,621
	CF WESTN AST FLOATING RATE HI INC FD LLC	10,544,631
	CHILE(REPUBLIC OF) 3.125% DUE 03-27-2025	209,500
	CME_IRS BOFAGB2U 02/15/2028 USD P 1.35% / R 3MLIBOR 0WS051383-0WZ05138	49,113
	CME_IRS BOFAGB2U 02/15/2047 USD P 1% / R 3MLIBOR 0WS036103-0WZ03610	24,979
	CME_IRS BOFAGB2U 02/15/2047 USD P 1.2% / R 3MLIBOR 0WS040048-0WZ04004	84,357
	CME_IRS BOFAGB2U 02/15/2047 USD P 1.225% / R 3MLIBOR 0WS040063-0WZ04006	40,432
	CME_IRS BOFAGB2U 02/15/2047 USD P 1.6% / R 3MLIBOR 0WS044560-0WZ04456	25,056
	CME_IRS BOFAGB2U 02/15/2047 USD P 1.63% / R 3MLIBOR 0WS053322-0WZ05332	44,966
	CME_IRS BOFAGB2U 06/03/2051 USD P 2% / R 3MLIBOR 0WS049502-0WZ04950	(8,421)

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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	CME_IRS BOFAGB2U 06/04/2024 USD P 3MLIBOR / R 0.82% 0WS052688-0WZ05268	\$ (180,373)
	CME_IRS BOFAGB2U 06/07/2051 USD P 2.05% / R 3MLIBOR 0WS049569-0WZ04956	(30,223)
	CME_IRS BOFAGB2U 06/15/2022 USD P 3MLIBOR / R 0.19% 0WS036020-0WZ03602	(4,825)
	CME_IRS BOFAGB2U 07/09/2051 USD P 1.671% / R 3MLIBOR 0WS053264-0WZ05326	17,225
	CME_IRS BOFAGB2U 10/07/2050 USD P 1.2% / R 3MLIBOR 0WS039545-0WZ03954	61,898
	CME_IRS BOFAGB2U 18/07/2029 MXN P 28DTIIE / R 7.45% 0WS031724-0WZ031	(1,899)
	CME_IRS BOFAGB2U 20/07/2029 MXN P 28DTIIE / R 7.44% 0WS031849-0WZ031	(3,229)
	CME_OIS BOFAGB2U 02/15/2047 USD P 1.52% / R 1OSOFR 0WS053223-0WZ05322	(10,068)
	CME_OIS BOFAGB2U 02/15/2047 USD P 1.729% / R 1OSOFR 0WS053009-0WZ05300	(26,091)
	CME_OIS BOFAGB2U 05/15/2027 USD P 0.71% / R 1OSOFR 0WS058263-0WZ05826	77,944
	CME_OIS BOFAGB2U 07/20/2045 USD P 0.56% / R 1DSOFR 0WS036004-0WZ03600	607,149
	CME_OIS BOFAGB2U 08/15/2028 USD P 1.13% / R 1OSOFR 0WS060863-0WZ06086	15,992
	CME_OIS BOFAGB2U 08/15/2028 USD P 1.22% / R 1OSOFR 0WS063123-0WZ06312	(4,879)
	CME_OIS BOFAGB2U 08/19/2045 USD P 0.74% / R 1DSOFR 0WS036087-0WZ03608	189,204
	CME_OIS BOFAGB2U 10/20/2031 USD P 1.733% / R 1OSOFR 0WS060988-0WZ06098	(24,919)
	CME_OIS BOFAGB2U 11/01/2028 USD P 1.2% / R 1OSOFR 0WS063107-0WZ06310	(2,983)
	CME_OIS BOFAGB2U 11/20/2026 USD P 1.52% / R 1OSOFR 0WS063222-0WZ06322	(17,104)
	COLOMBIA REP COLOM 4.125% DUE 02-22-2042 REG	167,000
	EASTGROUP PPTYS INC REIT	1,120,794
	EMIRATE ABU DHABI GLOBAL MEDIUM TERM NTS #TR 00004 2.125% 144A	1,187,515
	FUT CALL FEB 22 CBT 5Y T-NOTE 1212	(11,953)
	FUT CALL FEB 22 CBT 5Y T-NOTE 1215	(5,938)
	FUT CALL FEB 22 CBT 5Y T-NOTE 1220	(844)
	FUT CALL FEB 22 T-NOTE OPTION 1305	6,938
	FUT CALL FEB 22 T-NOTE OPTION 1310	4,125
	FUT CALL FEB 22 T-NOTE OPTION 1315	(4,313)
	FUT CALL FEB 22 T-NOTE OPTION 1320	(5,250)
	FUT CALL FEB 22 U.S. T-BONDS 1595	11,531
	FUT CALL FEB 22 U.S. T-BONDS 1615	10,688
	FUT CALL FEB 22 U.S. T-BONDS 1620	3,594
	FUT CALL FEB 22 U.S. T-BONDS 1645	(2,813)
	FUT CALL JAN 22 CBT 10Y NT W1 1305	4,672
	FUT CALL MAR 22 T-NOTE OPTION 1320	(3,750)
	FUT PUT FEB 22 CBT 5Y T-NOTE 1205	(3,953)
	FUT PUT FEB 22 U.S. T-BONDS 1570	(4,813)
	FUT PUT FEB 22 U.S. T-BONDS 1600	8,313
	FUT PUT FEB 22 U.S. T-BONDS 1620	11,406
	FUT PUT MAR 22 T-NOTE OPTION 1275	(3,125)
	FUT PUT MAR 22 T-NOTE OPTION 1295	5,469
	ICE_CD_X BOFAGB2U 12/20/2026 SELL CDX.NA.HY.37 0WS058909-0WZ05890	87,367
	ICE_CD_X BOFAGB2U 12/20/2026 SELL CDX.NA.IG.37 0WS058420-0WZ05842	731,811
	INDONESIA(REP OF) 6.375% BDS 15/04/2032 IDR	178,314
	INDONESIA(REP OF) 6.5% BDS 15/02/2031 IDR	2,063,886
	INDONESIA(REP OF) 7% SNR 15/05/27 IDR1000000	608,097
	INDONESIA(REP OF) 7.5% SNR 15/06/2035 IDR	100,931
	INDONESIA(REPUBLIC OF) T BOND 3.5% 01-11-2028	625,599
	KENYA (REPUBLIC OF) 6.875% 24/06/2024	211,797
	KUWAIT ST NT 144A 3.5% DUE 03-20-2027 BEO	359,340
	LCH_RPI BOFAGB2U 10/20/2026 USD P 2.95% / R CPI 0WS061002-0WZ06100	27,277
	LCH_RPI BOFAGB2U 10/20/2031 USD P CPI / R 2.77% 0WS061069-0WZ06106	(24,054)
	LCH_RPI BOFAGB2U 11/18/2023 USD P 3.97% / R CPI 0WS063248-0WZ06324	(17,195)
	LCH_RPI BOFAGB2U 11/18/2026 USD P CPI / R 3.37% 0WS063289-0WZ06328	42,452
	MEDICAL PPTYS TR INC COM REIT	510,999
	MEXICO(UNITED MEXICAN STATES) 7.75% NTS 13/11/42	1,078,934
	MEXICO(UTD MEX ST) 8% GTD SNR 07/11/47 MXN100	2,909,672
	MEXICO(UTD MEX ST) 8.5% GTD SNR 31/05/2029 MXN	1,519,298
	NIGERIA FED REP TRANCHE # TR 4 02/23/2018 7.143% DUE 02-23-2030 REG	196,260
	OCP S A OCPMR 3 3/4 06/23/31 3.75% DUE 06-23-2031/03-23-2031 BEO	194,080
	OIS CHASUS33 04/01/2027 BRL P 1DBZDIO / R 7.044% 0WP296593-0WR29659	(22,022)
	OIS SBNYUS33 04/01/2027 BRL P 1DBZDIO / R 7.024% 0WP296510-0WR29651	(27,285)
	OIS SBNYUS33 04/01/2027 BRL P 1DBZDIO / R 7.024% 0WP296635-0WR29663	(33,132)
	OIS SBNYUS33 04/01/2027 BRL P 1DBZDIO / R 7.024% 0WP296759-0WR29675	(35,285)
	OTC DERIVATIVE CASH COLL RECEIVABLE FROMCITIBANK	100,000

NXP 401(k) Retirement Plan

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) - CONTINUED

December 31, 2020

(a)	(b) Identity of Issuer	(c) Current Value
	PANAMA REP GLOBAL BD 4.3% DUE 04-29-2053REG SINKING FUND 04-29-2051	\$ 1,030,570
	PEBBLEBROOK HOTEL TR COM STK	1,073,156
	PERU REP BD 6.55 DUE 03-14-2037 BEO	54,500
	PERU REP US\$ GLOBAL BD 5.625% DUE 11-18-2050 REG	238,852
	PETROLEOS 4.25% DUE 01-15-2025	572,757
	PUT SWO USD CITIUS33 P 1.3% / R 3MLIBOR 9WP032205 04/29/2022	(11,056)
	PVPTL ABU DHABI GOVT INT'L 2.5% 10-11-2022	324,704
	PVTPL ARAB REP EGYPT GLOBAL 5.577% 02-21-2023	206,976
	PVTPL KENYA REP 6.3% DUE 01-23-2034 REG	195,988
	PVTPL QATAR PETE 3.125% DUE 07-12-2041/07-12-2021 BEO	202,123
	QATAR PETE BD 144A 2.25% 07-12-2031	247,642
	QATAR PETE BD 144A 3.3% 07-12-2051	205,874
	QATAR(STATE OF) 3.875% SNR 23/04/2023 USD	582,624
	REP OF INDONESIA 3.75 DUE 04-25-2022 REG	564,967
	REPUBLIC OF COLOMBIA 5.625 02-26-2044 REG	426,840
	REPUBLIC OF INDONESIA 4.35% 01-11-2048	366,024
	REPUBLIC OF PERU 2.783% DUE 01-23-2031 REG	119,401
	RUSSIA GOVT BD - OFZ 7.05% 19/01/2028	863,884
	RUSSIAN FEDERATION 6.9% SNR 23/05/2029 RUB1000	1,370,828
	RUSSIAN FEDERATION 7% SNR 16/08/2023 RUB1000	274,143
	RUSSIAN FEDERATION 7.25% SNR 10/05/34 RUB1000	37,407
	RUSSIAN FEDERATION 7.65% SNR 10/04/30 RUB1000	657,712
	RUSSIAN FEDERATION 7.7% SNR 16/03/2039 RUB1000	792,173
	RUSSIAN FEDERATION 7.75% SNR 16/09/26 RUB1000	82,816
	SECRETARIA TESOURO 10% GTD 01/01/31 BRL1000F'	774,975
	SECRETARIA TESOURO 10% GTD SNR 01/01/2025 BRL	850,076
	SECRETARIA TESOURO 10% GTD SNR 01/01/2027 BRL	226,490
	STAG INDL INC COM	1,002,844
	Total Other	62,266,175
*	Participant Loans: maturity dates through October 28, 2033; 3.75% to 10.30%	12,228,500
	Fair Value of Investments	3,556,850,141
*	Core USA Collateral Pool	141,492,905
	Total Investments at Fair Value	\$ 3,698,343,046

See accompanying Independent Auditors' Report

* Indicates party-in-interest to the Plan

** Indicates all or a portion of the investment is a security on loan

*** Assets valued at fair value for DOL requirements, these assets are valued at contract value on the statements of net assets available for benefits.