

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I Annual Report Identification Information	
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	▶ ☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	▶ ☐

Part II Basic Plan Information—enter all requested information											
1a Name of plan <u>BATON ROUGE ORTHOPAEDIC CLINIC, LLC PROFIT SHARING PLAN AND TRUST</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; padding: 2px; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">1c Effective date of plan <u>08/01/1971</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">2b Employer Identification Number (EIN) <u>72-1460193</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">2c Plan Sponsor's telephone number <u>225-769-6200</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">2d Business code (see instructions) <u>621111</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>08/01/1971</u>		2b Employer Identification Number (EIN) <u>72-1460193</u>		2c Plan Sponsor's telephone number <u>225-769-6200</u>		2d Business code (see instructions) <u>621111</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>										
1c Effective date of plan <u>08/01/1971</u>											
2b Employer Identification Number (EIN) <u>72-1460193</u>											
2c Plan Sponsor's telephone number <u>225-769-6200</u>											
2d Business code (see instructions) <u>621111</u>											
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>BATON ROUGE ORTHOPAEDIC CLINIC, LLC</u> <u>8080 BLUEBONNET BLVD.</u> <u>BATON ROUGE, LA 70810</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2022	TREY WILLIAMSON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	384
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	320
a(2) Total number of active participants at the end of the plan year		6a(2)	266
b Retired or separated participants receiving benefits.....		6b	0
c Other retired or separated participants entitled to future benefits		6c	71
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	337
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	0
f Total. Add lines 6d and 6e		6f	337
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	310
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	24
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2R 3B 2A 2K 2T			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021	
A Name of plan BATON ROUGE ORTHOPAEDIC CLINIC, LLC PROFIT SHARING PLAN AND TRUST	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 BATON ROUGE ORTHOPAEDIC CLINIC, LLC	D Employer Identification Number (EIN) 72-1460193

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
AMERICAN UNITED LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
35-0145825	60895	R00156	9	02/01/2021	12/31/2021

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
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	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 82418**5** Current value of plan's interest under this contract in separate accounts at year end..... **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☐ guaranteed investment (4) ☒ other ▶ GROUP ANNUITY**b** Balance at the end of the previous year **7b** 130335**c** Additions: (1) Contributions deposited during the year **7c(1)** 19869
(2) Dividends and credits..... **7c(2)**
(3) Interest credited during the year..... **7c(3)** 873
(4) Transferred from separate account..... **7c(4)**
(5) Other (specify below) **7c(5)**(6) Total additions **7c(6)** 20742**d** Total of balance and additions (add lines **7b** and **7c(6)**) **7d** 151077**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 12810(2) Administration charge made by carrier..... **7e(2)** 1776(3) Transferred to separate account..... **7e(3)** 54073(4) Other (specify below) **7e(4)**(5) Total deductions **7e(5)** 68659**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**) **7f** 82418

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan BATON ROUGE ORTHOPAEDIC CLINIC, LLC PROFIT SHARING PLAN AND TRUST	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 BATON ROUGE ORTHOPAEDIC CLINIC, LLC	D Employer Identification Number (EIN) 72-1460193	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CHARLES SCHWAB

94-1737782

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28	NONE	93653	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ONEAMERICA RETIREMENT SERVICES LLC

46-5378846

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 36 37 38 50 64	NONE	75237	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

RAYMOND JAMES

59-1237041

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28	NONE	54305	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PERSHING

95-3845382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28	NONE	51004	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

TD AMERITRADE

47-0533629

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28	NONE	42372	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MORGAN STANLEY

26-4310844

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28	NONE	34262	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

REGIONS BANK

1900 5TH AVENUE N
BIRMINGHAM, AL 35203

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 26 28	NONE	24482	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FIDELITY

04-2882358

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28	NONE	23088	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28	NONE	20806	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MATRIX TRUST COMPANY

75-3182674

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 21 25 62	NONE	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MATRIX TRUST COMPANY	19 21 25 62	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ONEAMERICA RETIREMENT SERVICES LLC 46-5378846	SEE ATTACHED	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan BATON ROUGE ORTHOPAEDIC CLINIC, LLC PROFIT SHARING PLAN AND TRUST	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 BATON ROUGE ORTHOPAEDIC CLINIC, LLC	D Employer Identification Number (EIN) 72-1460193	

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	5897	1487
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	2184534	2499775
(2) Participant contributions.....	1b(2)	14980	0
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	17033314	20451170
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	130335	82418
(15) Other.....	1c(15)	34542985	41986782

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	53912045 65021632
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	0 0
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	53912045 65021632

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	2499775
	(B) Participants	2a(1)(B)	1285366
	(C) Others (including rollovers).....	2a(1)(C)	5980
(2)	Noncash contributions.....	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	3791121
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	
	(F) Other	2b(1)(F)	873
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	873
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	1070416
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	1070416
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	0
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		19511
c Other income.....	2c		7443797
d Total income. Add all income amounts in column (b) and enter total.....	2d		12325718
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	796168	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		796168
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	75237	
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)	344726	
(4) Other.....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		419963
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		1216131
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		11109587
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: POSTLETHWAITE AND NETTERVILLE

(2) EIN: 72-1202445

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan BATON ROUGE ORTHOPAEDIC CLINIC, LLC PROFIT SHARING PLAN AND TRUST	B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 BATON ROUGE ORTHOPAEDIC CLINIC, LLC	D Employer Identification Number (EIN) 72-1460193

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 75-3182674		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021
v. 201209

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST

FINANCIAL STATEMENTS

DECEMBER 31, 2021

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST

FINANCIAL STATEMENTS

DECEMBER 31, 2021

C O N T E N T S

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INDEPENDENT AUDITORS' REPORT

To the Plan Administrator
of The Baton Rouge Orthopaedic Clinic, L.L.C. Profit Sharing Plan & Trust

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements

We have performed an audit of the financial statements of The Baton Rouge Orthopaedic Clinic, L.L.C. Profit Sharing Plan & Trust (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 9 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the 2021 Financial Statements section—

- the amounts and disclosures in the financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments; administering the Plan; and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.

- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matter— 2021 Supplemental Schedule(s) Required by ERISA

The supplemental schedules of assets (held at end of year) is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion—

- the form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.



- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Auditors' Report on the 2020 Financial Statements

We were engaged to audit the 2020 financial statements of the Plan. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rule and Regulations for Reporting and Disclosures under ERISA, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information certified by a qualified institution. In our report dated October 8, 2021, we indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 financial statements; and (b) the form and the content of the information included in the 2020 financial statements, other than that derived from the certified information, were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Postlethwaite & Netterville

Baton Rouge, Louisiana
September 19, 2022

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST
BATON ROUGE, LOUISIANA

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2021 AND 2020

	<u>2021</u>	<u>2020</u>
<u>INVESTMENTS</u> - at fair value		
Cash and cash equivalents	\$ 797,965	\$ 2,181,699
Money market funds	285,601	590,506
Common and preferred stocks and exchange traded funds	30,086,065	22,374,604
Unit trusts	117,131	-
Mutual funds	29,633,020	24,938,733
Stable value fund	82,418	130,335
Mortgage-backed securities, government securities and corporate bonds	1,342,953	1,342,941
	<u>62,345,153</u>	<u>51,558,818</u>
<u>INVESTMENTS</u> - at contract value		
Annuity	<u>175,217</u>	<u>147,816</u>
Total Investments	<u>62,520,370</u>	<u>51,706,634</u>
Non-interest bearing cash	1,487	5,897
<u>RECEIVABLES</u>		
Employer contribution	2,499,775	2,184,534
Participants contribution	-	14,980
	<u>2,499,775</u>	<u>2,199,514</u>
<u>NET ASSETS AVAILABLE FOR BENEFITS</u>	<u>\$ 65,021,632</u>	<u>\$ 53,912,045</u>

The accompanying notes are an integral part of these financial statements.

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST
BATON ROUGE, LOUISIANA

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEAR ENDED DECEMBER 31, 2021

Additions to net assets attributed to:

Investment income:

Interest and dividend income	\$ 2,146,945
Net appreciation in fair value of investments	6,387,652
	<u>8,534,597</u>

Contributions:

Employer	2,499,775
Participants	1,285,366
Rollovers	5,980
	<u>3,791,121</u>

Total additions	<u>12,325,718</u>
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Deductions from net assets attributed to:

Benefits paid to participants	796,168
Administrative fees	419,963
Total deductions	<u>1,216,131</u>

Net change	11,109,587
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Net assets available for benefits:

Beginning of year	<u>53,912,045</u>
End of year	<u>\$ 65,021,632</u>

The accompanying notes are an integral part of this financial statement.

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST

NOTES TO FINANCIAL STATEMENTS

1. Description of Plan

The following description of the Baton Rouge Orthopaedic Clinic, L.L.C. Profit Sharing Plan & Trust (the Plan) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

- A. General: The Plan is a defined contribution plan covering all employees of Baton Rouge Orthopaedic Clinic, L.L.C. (the Company or Employer) who are over the age of 21 and have one year with 1,000 hours of service. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).
- B. Contributions: Participants may elect to defer a percentage of their compensation (designated as salary reduction contributions) up to the maximum amount allowed by IRS limitations, which is contributed to the Plan on the participants' behalf. Participants may also make after-tax Roth contributions. Participants who have attained age 50 before the end of the Plan year are eligible to make catch up contributions. Participants may also contribute amounts representing distributions from other qualified plans. The Company shall make a 3% qualified non-elective contribution of the pre-tax annual compensation up to the maximum amount allowed by IRS limitations if the eligible employee was employed on the last day of the Plan year and completed at least 1,000 hours of service during the Plan year.

In addition, the Company may make a discretionary profit sharing contribution. This contribution is allocated as determined by the class of the eligible employee as defined in the Plan agreement. Each class is allocated on a base contribution rate for the period in which the employee was eligible for the Plan. For the year ended December 31, 2021, the base contribution rate was a maximum of 10% of compensation up to the maximum amount allowed by IRS limitations for certain classes of profit sharing contributions. Contributions are subject to certain limitations.

- C. Participant Accounts: Each participant's account is credited with the participant's contribution, an allocation of the Company's contributions and the Plan earnings. Participants are charged with an allocation of administrative expenses that are charged to the Plan. Allocations are based on participant earnings, account balances, or specific participant transactions as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.
- D. Vesting: Participants are immediately vested in their voluntary contributions plus actual earnings thereon. Vesting in the Employer contributions is based on years of continuous service. A participant is 100% vested after a five year graded vesting schedule as further described in the plan document.
- E. Notes Receivable from Participants: Participant loans are not permitted.
- F. Payment of Benefits: On termination of service due to death, disability, or retirement, a participant may elect to receive an amount equal to the participant's vested interest in his or her account in the form of life annuity or joint and survivor annuity, a lump-sum amount, substantially equal installments payable annually, semi-annually, quarterly, or payments may be made in any combination of the above, or irregular amounts, as elected by the participant. For termination of service for other reasons, a participant may receive the value of the vested interest in his or her account as a lump-sum distribution. Normal retirement age is 59 and a half, and at that time the participant is 100% vested in their account.

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST

NOTES TO FINANCIAL STATEMENTS

1. Description of Plan (continued)

- G. Forfeitures: Any forfeitures occurring during the Plan year may reduce the Employer contribution for the following year. At December 31, 2021 and 2020, the balance of the forfeited nonvested accounts amounted to \$37,278 and \$136,926, respectively. The year-end balance was fully utilized to reduce the Employer contribution receivable as of December 31, 2021.
- H. Investment Options: Upon enrollment in the Plan a participant may direct contributions in any of the investment options offered by the Plan. The Plan currently offers various mutual funds and a stable value fund as investment options. In addition, the Plan allows for self-directed accounts for all participants as defined in the Plan document and upon the Plan administrator's approval.

2. Summary of Accounting Policies

Basis of Accounting: The financial statements of the Plan are prepared under the accrual method of accounting.

Use of Estimates: The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America (GAAP) requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from these estimates.

Investment Valuation and Income Recognition: Investments are reported at fair value, except for fully benefit-responsive investment contracts, which are reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for further discussion on fair value measurements. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of Benefits: Benefits are recorded when paid.

Expenses: Certain expenses of maintaining the Plan are paid directly by the Company and are excluded from these financial statements. Fees related to the administration of distributions from participants are charged directly to the participant's account and are included in administrative expenses while expenses for items such as record keeping are allocated to all participants. Investment related expenses are included in net appreciation of fair value of investments.

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST

NOTES TO FINANCIAL STATEMENTS

2. Summary of Accounting Policies (continued)

Stable Value Fund: The Plan holds an investment in a stable value fund. The fund has certain limitations as follows:

- Participant withdrawals made in order to accommodate distributions to a participant or transfers to a non-competing investment may be made on any business day. Transferred amounts must be held in a non-competing investment option for 90 days before subsequent transfers to a competing fund can occur.
- Withdrawals directed by a plan sponsor must be preceded by a twelve-month written notice to the trustee. The trustee, however, may, in its discretion, complete any such plan-level withdrawal before the expiration of such twelve-month period. No such notice has been given to the trustee.

3. Fair Value Measurements

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under GAAP are described as follows:

- Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.
- Level 2 – Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.
- Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement determined using model-based techniques that include option pricing models, discounted cash flow models, and similar techniques.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST

NOTES TO FINANCIAL STATEMENTS

3. Fair Value Measurements (continued)

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 or 2020.

Cash and cash equivalents: The carrying amount approximates fair value.

Common stocks/ Preferred Stocks/ Exchange traded funds (ETF): Valued at the closing price reported on the active market on which the individual securities are traded.

Government Securities / Corporate Bond / Mortgage-backed securities: Valued at prices which are derived from a model which uses actively quoted rates, prepayment models, and other underlying credit and collateral data.

Mutual funds/money market funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the SEC. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Stable value fund: A stable value fund that is composed primarily of fully benefit-responsive investment contracts that are valued at the net asset value of units of the collective trust. The net asset value is used as a practical expedient to estimate fair value. This practical expedient would not be used if it is determined to be probable that the fund will sell the investment for an amount different from the reported net asset value. Participant transactions (purchases and sales) may occur daily. As of December 31, 2021 and 2020, there are no unfunded commitments. If the Plan initiates a full redemption of the collective trust, the issuer reserves the right to require 12 months' notification in order to ensure that securities liquidations will be carried out in an orderly business manner.

Unit trusts: Unit trusts are portfolios of preselected domestic and/or international stocks or funds that are chosen based upon their potential to provide total return. Unit Trusts are valued based upon the current market value of the underlying securities.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan administrator believes the valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST

NOTES TO FINANCIAL STATEMENTS

3. Fair Value Measurements (continued)

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2021 and 2020:

<i>Assets at Fair Value as of December 31, 2021</i>				
	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Cash and cash equivalents	\$ 797,965	\$ -	\$ -	\$ 797,965
Money market funds	285,601	-	-	285,601
Mutual funds	29,633,020	-	-	29,633,020
Unit Trusts	-	117,131	-	117,131
Mortgage-backed securities				
Government securities/ corporate bonds	-	1,342,953	-	1,342,953
Common stocks, Preferred stocks and ETFs	<u>30,086,065</u>	<u>-</u>	<u>-</u>	<u>30,086,065</u>
	<u>\$ 60,802,651</u>	<u>\$ 1,460,084</u>	<u>\$ -</u>	<u>\$ 62,262,735</u>
Stable value fund (a)				<u>82,418</u>
Total assets at fair value				<u>\$ 62,345,153</u>

<i>Assets at Fair Value as of December 31, 2020</i>				
	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Cash and cash equivalents	\$ 2,181,699	\$ -	\$ -	\$ 2,181,699
Money market funds	590,506	-	-	590,506
Mutual funds	24,938,733	-	-	24,938,733
Mortgage-backed securities				
Government securities/ corporate bonds	-	1,342,941	-	1,342,941
Common stocks, Preferred stocks and ETFs	<u>22,374,604</u>	<u>-</u>	<u>-</u>	<u>22,374,604</u>
	<u>\$ 50,085,542</u>	<u>\$ 1,342,941</u>	<u>\$ -</u>	<u>\$ 51,428,483</u>
Stable value fund (a)				<u>130,335</u>
Total assets at fair value				<u>\$ 51,558,818</u>

(a) The stable value fund is measured using NAV as a practical expedient. This investment has not been classified in the fair value hierarchy table. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statement of net assets available for benefits.

4. Annuity Contract with Insurance Company

The Plan entered into a fully benefit-responsive investment annuity contract. This contract is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The investment annuity contract's issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan.

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST

NOTES TO FINANCIAL STATEMENTS

4. Annuity Contract with Insurance Company (continued)

Because the investment annuity contract is fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the investment annuity contracts. The investment annuity contract is presented on the face of the statements of net assets available for benefits at contract value. Contract value, as reported to the Plan by the issuer, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

The Plan's ability to receive amounts due is dependent on the issuer's ability to meet its financial obligations. The issuer's ability to meet its contractual obligations may be affected by future economic and regulatory developments.

Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events included the following: (1) amendments to the Plan documents (including complete or partial plan termination or merger with another plan), (2) changes to the Plan's prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the Plan sponsor or other Plan sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan, (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA, or (5) premature termination of the contract. The Plan administrator does not believe that any events which would limit the Plan's ability to transact at contract value with participants are probable of occurring.

In addition, certain events allow the issuer to terminate the contract with the Plan and settle at an amount different from contract value. Such events include (1) an uncured violation of the Plan's investment guidelines, (2) a breach of material obligation under the contract, (3) a material misrepresentation, (4) a material amendment to the agreement without consent of the issuer.

5. Income Tax Status

The Plan is a qualified cash or deferred arrangement established under Section 401(k) of the Internal Revenue Code and is exempt from income taxes under Section 401(a) of the Internal Revenue Code (IRC). The Plan has executed an adoption agreement using One America Financial Partners, Inc. Volume Submitter 401(k) Profit Sharing Plan and relies on the determination letter dated September 24, 2015, issued for the standard plan. The Plan administrator believes the Plan is designed and currently being operated in compliance with the applicable requirements of the Internal Revenue Code.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination. The Plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2021, there are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST

NOTES TO FINANCIAL STATEMENTS

6. Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants are 100% vested in all accounts.

7. Risks and Uncertainties

The Plan provides for various investment securities. Investment securities, in general, are exposed to various risks, such as interest rate, market, and credit risks. Market risks include global events which could impact the value of investments, such as a pandemic or international conflict. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

8. Related Party Transactions and Party in Interest Transactions

The Plan is managed by Matrix Trust Company, which is a qualified institution as defined by ERISA for the Plan. Transactions in such investments qualify as party-in-interest transactions which are exempt from the prohibited transaction rules. Fees paid by the Plan for administrative services to various providers amounted to \$419,963 for the year ended December 31, 2021. Fees paid by the Plan for the investment management services are included as a reduction of the return earned on each fund.

9. Information Prepared and Certified by the Qualified Institution

Certain information related to investments disclosed in the accompanying financial statements and ERISA-required supplemental schedule, including investments held at December 31, 2021 and 2020, and net appreciation in fair value of investments and interest and dividends, for the year ended December 31, 2021, was obtained by management and agreed to or derived from information certified as complete and accurate by Matrix Trust Company, a qualified institution as defined by ERISA.

10. Subsequent Events

Management has evaluated subsequent events through the date that the financial statements were available to be issued, September 19, 2022, and determined that the no matters required additional disclosure in the financial statements. No other subsequent events occurring after this date have been evaluated for inclusion in these financial statements.

BATON ROUGE ORTHOPAEDIC CLINIC, L.L.C.
PROFIT SHARING PLAN & TRUST
BATON ROUGE, LOUISIANA

EIN# 72-1460193
Plan #001

ITEM 4(i) - SCHEDULE OF ASSETS HELD FOR
INVESTMENT PURPOSES
DECEMBER 31, 2021

(a)	(b) Identity of issuer borrower, lessor	(c) Description of investment including maturity date, rate of interest, collateral par or maturity value	(d) Cost	(e) Current Value
	<u>Mutual Funds and Stable Value Fund - Non Self-Directed</u>			
	American Beacon Small Cap Value Instl	771	**	\$ 22,115
	American Funds 2020 Target Date Retire R6	85,903	**	1,230,137
	American Funds 2025 Target Date Retire R6	70,485	**	1,139,736
	American Funds 2030 Target Date Retire R6	153,149	**	2,736,777
	American Funds 2035 Target Date Retire R6	213,523	**	4,189,326
	American Funds 2040 Target Date Retire R6	54,549	**	1,130,265
	American Funds 2045 Target Date Retire R6	190,840	**	4,072,523
	American Funds 2050 Target Date Retire R6	80,159	**	1,690,557
	American Funds 2055 Target Date Retire R6	59,711	**	1,587,706
	American Funds 2060 Target Date Retire R6	12,255	**	219,125
	American Funds Europacific Growth R6	3,026	**	195,863
	Baird MidCap Inst	4,786	**	141,196
	Baron Emerging Markets Institutional	2,997	**	52,682
	Fidelity 500 Index Institutional Prem	3,843	**	635,303
	Fidelity International Index InstlPm	438	**	21,604
	Fidelity Mid Cap Index Instl Prem	5,217	**	166,892
	Fidelity Small Cap Index Instl Prem	5,477	**	150,935
	Fidelity US Bond Index Instl Prem	2,911	**	34,876
	Metropolitan West Total Return BD I	9,477	**	103,390
	MFS Mid Cap Value R6	4,840	**	156,968
	MFS Value Fund R6	1,129	**	61,358
	T. Rowe Price Blue Chip Growth	3,527	**	628,578
	Virtus KAR Small-Cap Growth I	1,706	**	83,257
	AUL Stable Value Account Series A	68,878	**	82,418
	Total Mutual Funds and Stable Value Fund			<u>20,533,587</u>
	Total Participant Directed Investments			20,533,587
	<u>Self-Directed</u>			
	Self-directed investments		***	41,986,783
	Total			<u>\$ 62,520,370</u>

* Represents party-in-interest
** Cost not required for participant-directed investments.
*** Cost omitted for self-directed investments



Matrix
TRUST COMPANY

SCHEDULE C, Part I, 3(e) - Formula Description
December 31, 2021

SPONSOR: Baton Rouge Orthopaedic Clinic, LLC

EIN: 72-1460193

PLAN NUMBER: 001

NAME: Baton Rouge Orthopaedic Clinic, LLC
Profit Sharing Plan and Trust

Fee Schedule and Disclosures OneAmerica

Baton Rouge Orthopaedic Clinic, LLC 401(k) Profit Sharing Plan and Trust (the "Plan")

This fee schedule pertains to the services ("Services") covered in the Client Agreement with Matrix Trust Company ("Matrix Trust"), and is intended as the service provider disclosure statement to fiduciaries that have the authority to enter into, extend, or renew Matrix Trust's provision of services to the Plan. If you are in receipt of this schedule and are not the responsible Plan fiduciary under 29 C.F.R. Sec. 2550.408b-2 ("408(b)(2)"), please forward this disclosure to that fiduciary's attention.

If Matrix Trust's appointment is as a directed (non-discretionary) trustee, with regard to providing services to the Plan, Matrix Trust is serving in a limited fiduciary capacity under ERISA. If Matrix Trust's appointment is not as trustee, Matrix Trust is not serving in a fiduciary capacity under ERISA except to the extent that Matrix Trust explicitly agrees to and acknowledges its fiduciary capacity.

TRUST/CUSTODY SERVICES

Annual Market Value Fees:

Plan With Total Assets of Less Than \$100MM	. 2 basis points
Plan With Total Assets Over \$100MM	. 1 basis point
Conditionally Accepted Assets ¹	.. 15 basis points

Annual Trustee Fees: (includes custodial services)

Plans with mutual funds <u>only</u>	 \$750 per plan
Plans with mutual funds <u>and</u> IDAs	. \$1,500 per plan
Plans with mutual funds, IDAs and Conditionally Accepted Assets	. \$2,500 per plan

Annual Custodian Fee	.. \$500 per plan
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DISBURSEMENT-RELATED SERVICES

One-time Check or Wire	. \$5 each (includes Forms 1099R, 1099M, W-2)
One-time ACH Transfers	. \$3 each (includes Forms 1099R, 1099M, W-2)
Recurring Check or ACH Transfer	.. \$3 each (includes Forms 1099R, 1099M, W-2)
Stop Payment	. \$20 each
Form 1099 Replacement	. \$0 each

ADDITIONAL SERVICES

Unitization Annual Fee ²	. \$2,750 per NAV
1041 Informational Tax Letter	. \$500 per letter
990T Preparation and Filing	.. \$1,000 per filing
ASC 820 Report	. Included
Extraordinary Services	. \$100 per hour
Termination Fee	.. \$500 per plan
Fund Service Fee Collection Services	. Included - 100% pass through
Individually-Directed Accounts:	
Initial Account Set-Up Fee	.. \$75 each new IDA
Waived for TDA accounts and existing IDAs for implementation process	
Annual Account Fee @ connected broker	. \$100 each
Waived for TD Ameritrade accounts	
Annual Account Fee @ non-connected broker	. \$400 each

Annual Market Value Fees for Assets custodied at WTNA	1 basis point
Domestic Purchases and Sales, DTC, Fed, Mutual Funds and Other	
Storage Locations	\$15 each (\$12 for Affirming Money Manager)
Free Deliveries/Free Receipts, Call and Put Options, Maturities, Purchases through dividend reinvestment	
Principal Payment for Securities such as GNMA, FNMA, FHLMC, CMO and Corporate Bonds held at all storage locations:	
Federal Reserve (FED), Bankers Trust Company (BTC), Depository Trust Company (DTC), Wilmington Trust (WTC) and others	\$10 each
Stock Commission Fee (netted from each trade)	\$0.0325/share

TERMS, CONDITIONS AND REQUIRED DISCLOSURES

The Plan's third-party administrator may impose administration fees, which are in addition to the afore-mentioned fees. The afore-mentioned fees do not include investment manager or consultant fees. The afore-mentioned fees do not include any brokerage or other sub-custodian commissions, unless otherwise noted. The afore-mentioned fees also do not include mutual fund sales charges/loads or brokerage commissions imposed by broker-dealers or mutual fund families. An applicable mutual fund prospectus or statement of additional information may provide for the waiver of plan level mutual fund sales charges/loads. In order for the fees to be included, the Plan Sponsor or the Responsible Plan Fiduciary or a person identified by the Plan Sponsor or the Responsible Plan Fiduciary to act as the Authorized Person (the "**Authorized Person**") may be required to complete additional documentation. The afore-mentioned fees also do not include real estate appraisal fees, annual inspection costs, insurance premiums or other like costs associated with any real property that may be held in the account.

The fees listed in the Trust/Custody Services section are charged on a per plan basis and include:

- Electronically transmitted transactions
- Asset transfer and set-up in one account per plan
- Quarterly and annual statement (maximum of two duplicate sets)
- Proxy delivery to the Responsible Plan Fiduciary or other designated party
- Wire receipts for employer and employee contributions and master note loan repayments (if applicable)
- Interest, dividend, and capital gains posting; processing of capital changes (splits, reorganizations, etc.)

Additional fees may be charged for:

- Extraordinary services
- Checks received with insufficient funds
- Overnight mailing (\$25 per mailing)

Fees are billed quarterly in arrears directly to OneAmerica. Asset based fees will be calculated utilizing a daily average balance for the invoice period. To the extent Matrix Trust fees are paid by the account, the fees represent direct compensation paid to Matrix Trust. To the extent that Matrix Trust fees are paid by OneAmerica or the Plan Sponsor and not reimbursed by plan assets, the fees do not represent direct or indirect compensation paid to Matrix Trust for purposes of 408(b)(2). Matrix Trust fees outstanding for more than 90 days from the billing date may be deducted directly from the account.

A. **INDIRECT COMPENSATION**

Matrix Trust may receive indirect compensation in connection with Services:

- in the form of "float" income, and
- through its affiliate's Mutual Fund Fee collection process as described below with respect to Fund Service Fee Services.

Float Income

1. Additional Compensation Attributable to Float

Matrix Trust may derive compensation from the use of cash held on behalf of client plans pending investment, distribution or other processing. This compensation is referred to as "float". The disclosures contained in the following paragraphs have been prepared in accordance with U.S. Department of Labor guidance contained in Field Assistance Bulletin 2002-3 concerning service provider float disclosure obligations to employee benefit plan customers.

In connection with Matrix Trust's provision of services to plan customers, Matrix Trust maintains various banking arrangements to facilitate movements of cash as necessary to process plan customer transactions, including arrangements with one or more banks. Under these arrangements, cash may be held in general or "omnibus" bank accounts established by or at the direction of Matrix Trust, pending investment, trade settlement, or the presentment of distribution checks for payment. These accounts generate float earnings for Matrix Trust. The proportionate share of those earnings attributable to the funds of a particular plan constitutes compensation that is paid by the plan and retained by Matrix Trust in connection with Matrix Trust's services and is in addition to any other fees or compensation payable under the service arrangement.

As of the date of this disclosure, JPMorgan Chase, N.A., provides all of the banking arrangements described above with the exception of certain banking arrangements provided by TD Bank, N.A. JPMorgan Chase Bank, N.A. and TD Bank, N.A. are unaffiliated institutions to Matrix Trust.

The paragraphs below describe the specific circumstances under which float will be earned and retained, the time frames applicable to float earnings periods, and a general description of the rate of float earnings.

2. Circumstances and Time Frames During Which Float May Be Earned

a) Contributions

Plans direct cash contributions to Matrix Trust through a demand deposit account Matrix Trust maintains for that purpose. Matrix Trust credits the amount of a plan's cash contribution to the plan's Matrix Trust account ("**Client Account**") on the business day it is received. If the Client Account uses a cash sweep, the cash contribution is swept from the demand deposit account and invested on the plan's behalf on the next business day. Matrix Trust earns float on the cash contribution between the business day of deposit and the next business day. A "business day" is a day on which the New York Stock Exchange is open for business.

If a Client Account does not use a cash sweep, Matrix Trust earns float on the cash contribution from the business day of deposit until Matrix Trust receives investment instructions from the plan and the investment transaction settles. Upon Matrix Trust's receipt of investment instructions in good order, settlement of mutual fund trades generally occurs within one business day and settlement of individual securities trades (*i.e.*, stocks and bonds) generally occurs within three business days.

b) Purchases of Securities for the Client Account

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to purchase a security for a Client Account, Matrix Trust places the purchase trade order that same business day if the instructions are received prior to Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the purchase trade order is placed on the next business day.

When Matrix Trust settles a purchase trade order for a Client Account, Client Account assets required to pay for the purchase are transferred to a demand deposit account maintained by Matrix Trust on the trade settlement date. When a purchase trade order is cancelled or rejected, the funds previously set aside to pay for the purchase are re-credited to the Client Account and either invested through the cash sweep, if applicable, or retained in the deposit account pending other investment instructions, as described above.

c) Sales of Securities for the Client Account

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to sell a security for a Client Account, Matrix Trust places the sale trade order that same business day if the instructions are received prior to Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the sale trade order will be placed on the next business day.

When Matrix Trust places or settles a sale trade for a Client Account, the Client Account receives the sales proceeds on the trade settlement date. If the Client Account does not use a sweep, Matrix Trust will earn float from the date Matrix Trust receives the sale settlement proceeds until Matrix Trust receives instructions to reinvest the sale proceeds as described above.

d) Payments from Client Accounts

Matrix Trust may earn float when it issues checks on behalf of plans including checks for (i) distributions to participants and/or beneficiaries, (ii) participant loan distributions, or (iii) fees paid to plan service providers. Matrix Trust does not earn float when payments or distributions are made by direct deposit (ACH) or by a federal funds wire transfer.

Matrix Trust will mail a distribution check to a plan participant or beneficiary on the payable date (the date printed on the check). On the same day, Matrix Trust debits the Client Account in the amount of the check. Matrix Trust will earn float on the amount of the check from the date the check is issued until the date the check is presented and paid.

Where Matrix Trust has been directed to establish periodic or recurring distribution checks, such payments are typically mailed to plan participants and beneficiaries prior to the payable date (for example, periodic payments are mailed six business days prior to the payable date). Matrix Trust debits the Client Account in the amount of the checks on the payable date. Matrix Trust is able to mail the checks before assets are withdrawn from the Client Account and sold because periodic distributions are of a predictable amount (generally a set dollar amount each payment cycle). Matrix Trust will only earn float on the amount of the check from the date the check is payable until the date check is presented and paid.

On a periodic basis, Matrix Trust will notify clients of outstanding periodic and lump sum distribution checks that Matrix Trust has issued. If an originally-issued check is reported lost or missing, Matrix Trust will re-issue the check upon receipt of direction from the client and/or other authorized party to instruct on the account. If the participant or beneficiary does not negotiate the check within a reasonable time, Matrix Trust reserves the right to re-credit (redeposit) the payment to the Client Account and to invest these funds at the direction of a Client Account fiduciary, or to disburse the funds as directed or otherwise in accordance with applicable law.

3. Rate On Float Earnings

The rate at which Matrix Trust earns float over the time periods described above is generally comparable to the effective Federal Funds Rate as reported in the Wall Street Journal over the applicable time frame.

Fund Service Fee Collection Services (if elected and to the extent applicable)

Where Matrix Trust has been so authorized, Matrix Trust through its affiliate and registered broker dealer, MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC ("**MSCS Financial**"), may receive fees from certain investment companies, mutual funds, stable value funds, guaranteed investment contracts, guaranteed annuity contracts and similar investment vehicles (the "**Fund(s)**") in the form of 12b-1 fees or firm concessions (*i.e.*, finders fees), or in the form of shareholder servicing, sub-transfer agent and sub-accounting fees ("**Mutual Fund Fees**").

Matrix Trust will pass through 100% of Fund Service Fees to OneAmerica. The amount of such Fund Service Fees as paid by the Fund(s) is subject to change at any time.

If the Plan is trading on Matrix Trust's trading platform and Mutual Fund Fees are available for the plan investment options selected for the Plan, available Mutual Fund Fees are identified on the Plan fund list in the Sub-TA and 12b-1 columns for each investment option listed and is paid by the investment provider offering the fund.

B. ADDITIONAL POTENTIAL INDIRECT COMPENSATION RELATED TO CERTAIN BROKERAGE ACCOUNTS



Where the named Fiduciary of the Plan and/or its authorized representative(s) has directed Matrix Trust to establish a TD Ameritrade Brokerage Account, Matrix Trust will receive indirect compensation paid by TD Ameritrade in consideration of certain services rendered by Matrix Trust, including account reconciliation services, account set-up and maintenance, related money movement of cash between the Plan core accounts and brokerage firm for contribution and disbursement activity and the performance of related administrative tasks. For these services, Matrix Trust will receive quarterly, in arrears, an amount equal to 1.5 basis points of the value of Plan assets in TD Ameritrade brokerage account(s) during such quarter, based on the average of the Plan assets held in the brokerage account(s) on the last business day of each month in the applicable quarter. These payments will be made in arrears.

C. ADDITIONAL POTENTIAL INDIRECT COMPENSATION RELATED TO MODELTOOL (K)IT™ SERVICES

Where the named Fiduciary of the Plan and/or its authorized representative(s) has entered into an agreement to subscribe to ModelTool(k)it™ ("MTK"), a total fee of .875 basis points charged quarterly in arrears shall apply to the market value of assets covered under the MTK agreement. Of that total fee, Envestnet Retirement Services ("ERS") receives at least .6875 basis points as its compensation for providing services detailed in the MTK agreement. ERS is a subcontractor retained by Matrix Settlement & Clearance Services, LLC ("MSCS"). MSCS, an affiliate of Matrix Trust, shall receive no more than .1875 basis points of the total MTK fee as its compensation for making MTK available as a service on its trading platform. Additionally, in the event any of the investments covered by the MTK agreement generate Mutual Fund Fees, Matrix Trust's affiliate MSCS Financial will collect such Mutual Fund Fees and, in accordance with the MTK agreement, Matrix Trust will credit Fund Service Fees to the MTK portfolio in an amount equal to one hundred percent (100%) of the Mutual Fund Fees collected by MSCS Financial. MSCS Financial will retain an amount equal to zero percent of the mutual fund fees collected.

D. ADDITIONAL POTENTIAL INDIRECT COMPENSATION RELATED TO LEVEL COMPENSATION SERVICES

Where the named Fiduciary of the Plan has engaged an Investment Advisor whose firm subscribes to Matrix Trust Level Compensation Services, MSCS Financial, an affiliate of Matrix Trust Company, shall serve as Broker of Record. MSCS Financial will retain up to 2 basis points of any 12b-1 fees or firm concessions (i.e., finders fees) and shareholder servicing ("Level Compensation Fees") it collects from the Funds on behalf of the Investment Advisor for its administrative services in collecting and distribution Level Compensation Fees to Investment Advisor. MSCS Financial compensation will be deducted from Level Compensation Fees as received by the Funds.

E. ETF TRADING SERVICES

Where the named Fiduciary of the Plan and/or its authorized representative(s) have directed Matrix Trust to establish an Exchange Traded Fund ("ETF") on the MSCS trading platform as an investment option within the Plan, a subcontractor to Matrix Trust and MSCS Financial, Virtu Americas LLC, receives \$0.01 per share per trade as compensation for its trading services in providing ETF trades priced as of market close. For ETF trades processed as market orders (with pricing changing throughout the trading day), Virtu Americas LLC receives \$0.005 per share as compensation for its trading services.

F. TREASURY MANAGEMENT SERVICES

Where the named Fiduciary of the Plan and/or its authorized representative(s) has elected to utilize certain services to assist in the resolution of participant related stale dated checks, a subcontractor to Matrix Trust and MSCS, Risk Compliance Performance Solutions, LLC ("RCPS"), receives \$40 per check as direct compensation which is deducted directly from the participant related stale dated check. The compensation to RCPS is for its services which include conducting a search, corresponding to solicit resolution and distributing funds to the participants of the stale dated checks. Please note that in the event RCPS services are elected, any float earnings to Matrix Trust related to the period when a distribution check is requested and the distribution check is presented for payment shall cease.

G. NON-MONETARY COMPENSATION

Matrix Trust and MSCS Financial maintain policies that place limits on the circumstances under which gifts, travel and entertainment may be accepted by employees. **Other than for modest gifts given or received in the normal course of business, employees are not permitted to receive gifts from clients and vendors.** Under the 408(b)(2) regulation, a service provider's acceptance of these non-monetary items may involve the receipt of indirect compensation from a plan where the value attributable to the plan, on a pro rata basis, exceeds \$250 over the term of the plan's contract with the service provider. In light of the policies, Matrix Trust does not anticipate that the value of any such non-monetary items will approach the \$250 threshold with respect to the plan.

H. Matrix Trust reserves the right to decline acceptance of any Plan assets not discussed during the original account acceptance process. Matrix Trust reserves the right to amend this fee schedule at any time with a 30 day advance written notice to the Plan Sponsor, or such other time period as specified within the Client Agreement covering the Services.

APPENDIX I

FUND SERVICE FEE COLLECTION - ADDENDUM TO CLIENT AGREEMENT

THIS ADDENDUM (the "**Addendum**") is incorporated into and made a part of the Client Agreement entered into by and between **Matrix Trust Company** ("**Matrix Trust**") and the Employer named on the signature page hereof (the "**Employer**") as plan sponsor for the **Baton Rouge Orthopaedic Clinic, LLC 401(k) Profit Sharing Plan and Trust** (the "**Plan**") and is entered into as of this 30 day of **October, 2019**.

PRELIMINARY STATEMENTS

WHEREAS, the Employer and Matrix Trust have entered into a Client Agreement pursuant to which Matrix Trust will provide trust services for the Plan;

NOW, THEREFORE, the parties hereto, intending to be legally bound, hereby agree as follows:

1. This Addendum supplements and amends the Client Agreement between the Employer and Matrix Trust with respect to the Plan and sets forth the mutual understanding of the parties with respect to the negotiation, collection and/or distribution (the "**Collection Services**") of administrative, shareholder servicing, sub-transfer agent and/or similar service fees payable directly or indirectly by the investment companies or mutual or collective or other funds (together, the "**Funds**") in which the Plan invests from time to time and for which the TPA/recordkeeper may not already be collecting, and 12b-1 fees in the nature of distribution or finder's fees with respect to specific Funds in which the Plan invests from time to time, and for which a registered broker dealer has not been designated (collectively, the "**Fund Service Fees**"). This Addendum shall only be in effect to the extent that the Plan is trading on Matrix Trust's trading platform, Fund Service Fees are available for the plan investment options selected for Plan, and Matrix Trust has appropriate agreements in place with the Funds to collect applicable Fund Service Fees. Capitalized terms used herein and not otherwise defined shall have the meanings assigned in the Account Application and Agreement or Client Agreement. In the event of any inconsistency with the Account Application and Agreement or the Client Agreement, the provisions of this Addendum shall control.
2. The Employer, as Plan Sponsor, has requested and authorized Matrix Trust to perform the Collection Services for the Funds established for the Plan, which are incorporated herein by reference, and Matrix Trust has agreed to provide the Collection Services subject to the terms of this Addendum.
3. The Employer hereby acknowledges and agrees that:
 - (a) As compensation for the Collection Services of administrative, shareholder servicing, sub-transfer agent and/or similar service fee portion of the Fund Services Fees, Matrix Trust shall be entitled to a fee of **0%** (unless a different amount is agreed to in writing by the Employer and Matrix Trust).
 - (b) As compensation for the Collection Services of 12b-1 fees in the nature of distribution or finder's fees portion of the Fund Services Fees, Matrix Trust shall be entitled to a fee of **0%** (unless a different amount is agreed to in writing by the Employer and Matrix Trust) and if this service is selected on the Account Application and Agreement, or otherwise in writing.
 - (c) In no event shall Matrix Trust have any obligation to take any action to enforce collection in the event a Fund fails to remit Fund Service Fees.
 - (d) The Employer, as Plan Sponsor, acknowledges and agrees that Matrix Trust's affiliate, MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC (member FINRA and an SEC registered broker-dealer) ("**MSCSFS**") may receive the Fund Service Fees per the Employer's instructions.
 - (e) Matrix Trust (in its corporate capacity) either directly or through its affiliates, (collectively, "**Matrix Trust**") shall proceed diligently to enter into arrangements and agreements with the Funds to collect the available Fund Service Fees, provided such arrangements and agreements are satisfactory to Matrix

Trust, but Matrix Trust does not represent or guarantee that arrangements and agreements can or will be made with respect to all Funds held in the Plan.

- (f) To the extent the arrangements and agreements with the Funds require that Matrix Trust rely on information or services provided by the Employer, the Administrator and/or the Recordkeeper or other Employer-appointed service provider to or on behalf of the Plan and/or the Plan participants, Matrix Trust shall be fully protected in relying on the accuracy and completeness of such information and the performance of such services in a manner entitling Matrix Trust to collect the available Fund Service Fees on behalf of the Plan.
4. Unless otherwise provided for herein or unless the Employer and Matrix Trust mutually agree in writing to other arrangements, the Fund Service Fees collected by Matrix Trust (net of amounts, if any, due to Matrix Trust as described above) shall be held uninvested in the Fund Service Fee Account and remitted from time to time:
- (a) to the Plan recordkeeper (the "**Recordkeeper**"), as instructed by a person authorized pursuant to the Client Agreement to instruct Matrix Trust with respect to making payments (an "**Authorized Person**"), to be applied against recordkeeping and other Plan expenses, provided that Matrix Trust shall not be responsible for the application of such funds by the Recordkeeper;
 - (b) to Matrix Trust to be applied against fees and expenses due and payable under the Client Agreement; and
 - (c) to the investment adviser or broker dealer for the Plan as instructed by an Authorized Person, to be applied against the investment adviser's or broker's fees and expenses for services to the Plan, provided that Matrix Trust shall not be responsible for the application of such funds by the investment adviser or broker.
5. The Employer hereby represents and warrants that (i) if the Plan is subject to the Employee Retirement Income Security Act of 1974, as amended ("**ERISA**"), it has reviewed with its legal counsel familiar with ERISA matters the collection of the Fund Service Fees paid by the Funds in which the Plan is invested; and (ii) it has determined that it is permissible under the Plan and applicable law, including without limitation the prohibited transaction provisions of ERISA and, as applicable, the Internal Revenue Code, to collect the Fund Service Fees and apply them to reduce certain expenses of the Plan as set forth herein.
6. The Employer agrees to notify or cause the Recordkeeper to notify Matrix Trust of any changes to the Fund investment options for the plan so that Matrix Trust may undertake to negotiate and/or collect the Fund Service Fees associated with the new Fund investment options or to take appropriate steps with regard to Fund investment options in which the Plan no longer invests and with regard to other changes in the fee collection and remittance process. Deletions from or additions to the list of Funds shall not require an amendment to this Addendum, and Matrix Trust shall continue to provide the services hereunder with respect to the updated list of Funds provided to it.
7. The Employer further agrees that, to the extent disclosure regarding the collection and application of Fund Service Fees is required by applicable law or regulation, or otherwise, to be provided to Plan participants, it shall not be the responsibility of Matrix Trust to provide such disclosure (except to the extent otherwise required by applicable law or regulation).
8. The Employer hereby confirms that Matrix Trust shall be indemnified by the Employer as provided in the Client Agreement between the Employer and Matrix Trust in connection with providing the Collection Services.
9. Except as supplemented and amended hereby, the Client Agreement is hereby ratified, approved and confirmed.

[Remainder of page intentionally left blank]



IN WITNESS WHEREOF, each of the parties hereto has caused its duly authorized representatives to execute this Addendum as of the date first written above.

MATRIX TRUST COMPANY: .

SCHEDULE H, LINE 4i-SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2021

SPONSOR: Baton Rouge Orthopaedic Clinic, LLC
EIN: 72-1460193
PLAN NUMBER: 001
NAME: Baton Rouge Orthopaedic Clinic, LLC
Profit Sharing Plan and Trust

(a)	(b)	(c)	(d)	(e)
IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	DESCRIPTION OF INVESTMENT including maturity date, rate of interest, collateral, par or maturity value	COST	CURRENT VALUE
Insurance Company General Account				
*				
AUL Stable Value Account Series A	68,878.307	AUL Stable Value Account Series A	81,861.45	82,418.06
US Treasury Note/Bond	165,000.000	US Treasury Note/Bond	168,566.50	166,720.95
US Treasury Note/Bond	73,000.000	US Treasury Note/Bond	72,897.80	72,478.05
US Treasury Note/Bond	54,000.000	US Treasury Note/Bond	55,962.36	55,721.25
US Treasury Note/Bond	50,000.000	US Treasury Note/Bond	49,427.42	48,603.50
United States Treas Notes	65,000.000	United States Treas Notes	68,770.99	65,771.88
US Treasury Note/Bond	113,000.000	US Treasury Note/Bond	124,399.63	118,473.44
US Treasury Note/Bond	25,000.000	US Treasury Note/Bond	26,662.12	26,269.53
US Treasury Note/Bond	36,000.000	US Treasury Note/Bond	41,697.00	39,346.88
US Treasury Note/Bond	32,000.000	US Treasury Note/Bond	39,543.10	36,550.00
Corporate Debt Instruments (Other Than Employer Securities) – Preferred				
Oracle Corp	34,000.000	Oracle Corp	41,701.34	36,415.36
Wells Fargo & Company	37,000.000	Wells Fargo & Company	46,115.94	43,850.55
Intel Corp	22,000.000	Intel Corp	24,375.64	23,306.58
Unitedhealth Group Inc	21,000.000	Unitedhealth Group Inc	23,250.15	21,475.65
Corporate Debt Instruments (Other Than Employer Securities) – All Other				
Bank Of America Corp	35,000.000	Bank Of America Corp	39,455.15	37,424.80
Alliance Res Op/Finance	100,000.000	Alliance Res Op/Finance	85,000.00	101,500.00
Phh Mortgage Corp	100,000.000	Phh Mortgage Corp	102,219.60	103,391.00
Verizon Communications	17,000.000	Verizon Communications	18,234.16	18,005.55
Activision Blizzard	29,000.000	Activision Blizzard	26,884.16	26,778.89
Union Pacific Corp	25,000.000	Union Pacific Corp	26,371.50	26,896.25
Markel Corp	50,000.000	Markel Corp	55,687.50	54,812.50
Corporate Stocks (Other Than Employer Securities) – Preferred				
Atlantic Union Bankshare	8.000	Atlantic Union Bankshare	221.60	224.00
First Horizon Bank 3.75	100.000	First Horizon Bank 3.75	80,500.00	87,420.80
Granite Point Mtge Trst	4,000.000	Granite Point Mtge Trst	100,005.95	102,200.00
Qurate Retail inc 8% Pfd	2.000	Qurate Retail inc 8% Pfd	207.77	206.44
Wintrust Financial Corp	8.000	Wintrust Financial Corp	227.92	218.48

* A party-in-interest as defined by ERISA

(a)	(b)	(c)	(d)	(e)
IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	DESCRIPTION OF INVESTMENT including maturity date, rate of interest, collateral, par or maturity value	COST	CURRENT VALUE
Corporate Stocks (Other Than Employer Securities) – Common				
10X Genomics Inc-Class A	22.000	10X Genomics Inc-Class A	3,397.63	3,277.12
2U Inc	368.000	2U Inc	14,396.21	7,385.76
3m Co.	87.000	3m Co.	15,267.33	15,453.81
Abb Ltd Spons ADR	237.000	Abb Ltd Spons ADR	6,982.78	9,046.29
Abbott Laboratories	1,062.713	Abbott Laboratories	116,588.85	149,566.23
Abbvie Inc	992.536	Abbvie Inc	106,513.34	134,389.37
Accenture PLC	56.000	Accenture PLC	14,627.76	23,214.80
Adecoagro S A	2,000.000	Adecoagro S A	13,600.00	15,360.00
Adidas Ag-Spon ADR	14.000	Adidas Ag-Spon ADR	2,403.52	2,016.00
Adobe Inc	25.000	Adobe Inc	12,525.88	14,176.50
Advance Auto Parts Inc	4.000	Advance Auto Parts Inc	759.48	959.52
Advanced Micro Devices	194.000	Advanced Micro Devices	14,806.10	27,916.60
Aia Group Ltd Spon ADR	228.000	Aia Group Ltd Spon ADR	11,413.18	9,193.99
Air Liquide Adr France Adr	117.000	Air Liquide Adr France Adr	3,787.40	4,079.79
Air Products & Chemicals Inc	105.887	Air Products & Chemicals Inc	28,952.36	32,217.18
Airbus Group NV	219.000	Airbus Group NV	6,542.30	6,988.29
Akamai Technologies	9.000	Akamai Technologies	964.85	1,053.36
Albemarle Corp	8.000	Albemarle Corp	1,180.16	1,870.16
Alcon Inc	84.000	Alcon Inc	5,605.20	7,318.08
Alexandria Real Estate	7.000	Alexandria Real Estate	1,259.95	1,560.72
Alibaba Group Holding-Sp ADR	49.000	Alibaba Group Holding-Sp ADR	10,662.59	5,820.71
Alphabet Inc CL A	5.000	Alphabet Inc CL A	8,763.20	14,485.20
Alphabet Inc Cl C	135.000	Alphabet Inc Cl C	236,503.80	390,634.65
Altria Group Inc	1,025.913	Altria Group Inc	42,342.61	48,618.02
Amazon Com Inc	17.000	Amazon Com Inc	55,675.84	56,683.78
Amedisys Inc	130.000	Amedisys Inc	32,759.64	21,044.40
America Movil Sa De Cv	54.000	America Movil Sa De Cv	968.03	1,139.94
American Electric Power Co	238.000	American Electric Power Co	19,842.85	21,174.86
American Express Co.	1,076.000	American Express Co.	132,647.42	176,033.60
American International Group	2,600.000	American International Group	98,436.00	147,836.00
American Tower REIT Inc	137.000	American Tower REIT Inc	29,026.11	40,072.50
Ameriprise Financial Inc	94.000	Ameriprise Financial Inc	18,552.63	28,356.04
Amgen Inc	188.000	Amgen Inc	43,730.28	42,294.36
Amn Healthcare Services	27.000	Amn Healthcare Services	2,220.24	3,302.91
Amphenol Cl A	164.000	Amphenol Cl A	10,752.89	14,343.44
Analog Devices Inc	128.000	Analog Devices Inc	18,909.44	22,498.56
Anheuser Busch Inbev	91.000	Anheuser Busch Inbev	6,496.18	5,510.05
Ansys Inc.	94.000	Ansys Inc.	34,169.30	37,705.28
Aon PLC	11.000	Aon PLC	2,407.61	3,306.16
Apple Inc	3,587.843	Apple Inc	476,193.60	637,093.28
Applied Material	9.000	Applied Material	776.70	1,416.24
Aptiv PLC	72.000	Aptiv PLC	9,665.43	11,876.40
Arcaea Energy Inc	18,200.000	Arcaea Energy Inc	332,514.00	332,696.00
Ares Capital Corp	25.000	Ares Capital Corp	505.19	529.75
Ares Management Corp	7.000	Ares Management Corp	381.80	568.89
Arthur J Gallagher	94.000	Arthur J Gallagher	11,628.74	15,948.98
Asahi Kasei Corp-Unspon ADR	186.000	Asahi Kasei Corp-Unspon ADR	3,846.78	3,494.94
Ase Technology Hldg Co Ltd ADR	1,647.000	Ase Technology Hldg Co Ltd ADR	11,033.38	12,863.07
Asml Holding Nv	124.575	Asml Holding Nv	61,303.68	99,179.14
Assa Abloy Ab - Unsp ADR	134.000	Assa Abloy Ab - Unsp ADR	1,749.50	2,043.93
Assoc British Foods Unspon ADR	91.000	Assoc British Foods Unspon ADR	3,070.61	2,498.86
Astrazeneca Plc Sponsored Adr	191.000	Astrazeneca Plc Sponsored Adr	9,527.78	11,125.75
AT&T Inc	462.000	AT&T Inc	13,287.12	11,365.20
Athene Holding Ltd Class A (apo 01/03/22)	7,500.000	Athene Holding Ltd Class A (apo 01/03)	323,550.00	624,975.00
Atlantica Sustainable Infrastructure PLC	668.000	Atlantica Sustainable Infrastructure	23,580.34	23,887.68

(a)	(b)	(c)	(d)	(e)
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Atlassian Corp Plc Cl A	9.000	Atlassian Corp Plc Cl A	2,166.17	3,431.61
Atmos Energy Corp	8.000	Atmos Energy Corp	763.44	838.16
Atp Oil & Gas Corp. (Delisted 12/4/2014)	200.000	Atp Oil & Gas Corp. (Delisted 12/4/20	0.00	0.00
Automatic Data Processing	368.310	Automatic Data Processing	65,276.28	90,817.88
Autozone Inc.	155.000	Autozone Inc.	183,743.20	324,940.45
Avalara Inc	223.000	Avalara Inc	29,775.65	28,791.53
Avalonbay Communities Inc.	4.000	Avalonbay Communities Inc.	876.80	1,010.36
Avnet Inc	19.000	Avnet Inc	729.52	783.37
Azenta Inc	14.000	Azenta Inc	1,583.40	1,443.54
B&M European Value-Unsp ADR	123.000	B&M European Value-Unsp ADR	3,848.68	4,249.65
Baidu Inc	94.000	Baidu Inc	15,945.80	13,986.26
Banco Bilbao Vizcaya ADR	673.000	Banco Bilbao Vizcaya ADR	3,494.52	3,950.51
Banco Bradesco- Sponsored ADR	866.000	Banco Bradesco- Sponsored ADR	3,761.34	2,961.72
Bancolombia S.A. - Spons ADR	178.000	Bancolombia S.A. - Spons ADR	6,447.67	5,623.02
Bank Central Asia Unspn ADR	370.000	Bank Central Asia Unspn ADR	4,462.35	4,926.55
Bank Rakyat Indonesia-Un ADR	390.000	Bank Rakyat Indonesia-Un ADR	5,945.77	5,596.50
Bce Inc Com	526.000	Bce Inc Com	22,903.10	27,373.04
BDO Unibank Inc-Spon ADR	182.000	BDO Unibank Inc-Spon ADR	4,029.81	4,540.45
Beigene Ltd-ADR	15.000	Beigene Ltd-ADR	4,208.36	4,063.95
Berkshire Hathaway Inc Cl B	13.000	Berkshire Hathaway Inc Cl B	3,014.31	3,887.00
Bio-Techne Corp	7.000	Bio-Techne Corp	2,362.20	3,621.38
Black Knight Inc	37.000	Black Knight Inc	3,170.44	3,066.93
Blackline Inc	182.000	Blackline Inc	24,275.16	18,844.28
BlackRock 2001 Term Tr Inc	91.459	BlackRock 2001 Term Tr Inc	66,527.83	83,736.20
Blackstone Inc	4.000	Blackstone Inc	285.02	517.56
Block Inc A	41.000	Block Inc A	8,723.61	6,621.91
Bnp Paribas ADR	96.000	Bnp Paribas ADR	3,101.77	3,339.84
Booz Allen Hamilton Holding	8.000	Booz Allen Hamilton Holding	697.44	678.32
Borg Warner Automotive Inc	17.000	Borg Warner Automotive Inc	700.50	766.19
Boston Properties Inc.	4.000	Boston Properties Inc.	378.12	460.72
Brigham Minerals Inc-Cl A	10,000.000	Brigham Minerals Inc-Cl A	139,729.65	210,900.00
Bright Horizons Family Solut	7.000	Bright Horizons Family Solut	1,109.13	881.16
Bristol-Myers Squibb Co	201.000	Bristol-Myers Squibb Co	12,281.08	12,532.35
British American Tobacco	1,101.000	British American Tobacco	41,307.74	41,188.41
Broadridge Financial Solutions	4.000	Broadridge Financial Solutions	708.35	731.28
Bruker Corp	27.000	Bruker Corp	2,451.35	2,265.57
Cable One Inc	1.000	Cable One Inc	2,227.72	1,763.45
Canadian Imperial Bank Of Commerce	122.000	Canadian Imperial Bank Of Commerce	10,427.34	14,220.32
Canadian Pacific Railway	514.144	Canadian Pacific Railway	35,664.91	36,987.52
Capital One Financial Corp Com	84.000	Capital One Financial Corp Com	9,541.28	12,187.56
Carlsberg As-B-Spon ADR	244.000	Carlsberg As-B-Spon ADR	7,890.74	8,471.68
Carmax Inc	9.000	Carmax Inc	850.14	1,172.07
Carnival Corp.	460.000	Carnival Corp.	11,811.28	9,255.20
Carrier Global Corp	207.072	Carrier Global Corp	7,810.76	11,231.59
Caterpillar Inc	161.104	Caterpillar Inc	29,417.71	33,306.64
CBRE Group Inc	24.000	CBRE Group Inc	1,505.28	2,604.24
CDK Global Inc	104.289	CDK Global Inc	5,397.59	4,353.02
CDW Corp/DE	63.000	CDW Corp/DE	8,478.92	12,901.14
Certara Inc	410.000	Certara Inc	15,995.53	11,652.20
CF Industries Holdings Inc	18.000	CF Industries Holdings Inc	713.85	1,274.04
Charles Riv Labs Intl	6.000	Charles Riv Labs Intl	1,499.16	2,260.68
Charles Schwab Corporation	194.000	Charles Schwab Corporation	10,629.36	16,315.40
Charter Communications New Cl A	48.000	Charter Communications New Cl A	31,754.40	31,294.56
Cheniere Energy Inc	166.000	Cheniere Energy Inc	9,964.98	16,835.72
Chevron Corp	938.598	Chevron Corp	83,823.78	110,144.48
Chewy Inc - Class A	4.000	Chewy Inc - Class A	245.85	235.88
Chipotle Mexican Grill - Cl A	23.000	Chipotle Mexican Grill - Cl A	31,900.65	40,209.75
Chubb Ltd	140.556	Chubb Ltd	21,680.23	27,170.88

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CIE Financiere Rich-Unsp ADR	376.000	CIE Financiere Rich-Unsp ADR	3,505.54	5,621.20
Cincinnati Financial Corp	73.000	Cincinnati Financial Corp	6,814.31	8,316.89
Cinemark Holdings Inc	921.000	Cinemark Holdings Inc	17,052.63	14,846.52
Cisco Systems Inc	537.000	Cisco Systems Inc	24,536.40	34,029.69
Coca-Cola Company	1,536.778	Coca-Cola Company	84,219.25	90,992.63
Coinbase Global Inc -Class A	40.000	Coinbase Global Inc -Class A	10,698.68	10,094.80
Comcast Corp Cl A	4,658.000	Comcast Corp Cl A	244,108.09	234,437.14
Commerce Bancshares	77.000	Commerce Bancshares	4,980.71	5,292.98
Conagra Brands Inc	246.000	Conagra Brands Inc	8,520.48	8,400.90
Constellation Brands Cl. A	46.000	Constellation Brands Cl. A	10,457.45	11,544.62
Continental Resources Inc	14.000	Continental Resources Inc	228.20	626.64
Corteva Inc	1,080.000	Corteva Inc	42,165.83	51,062.40
Costar Group Inc	322.000	Costar Group Inc	29,749.15	25,447.66
Coterra EnerCoterra Energy Inc	302.000	Coterra EnerCoterra Energy Inc	6,719.50	5,738.00
Coupa Software Inc	2.000	Coupa Software Inc	588.96	316.10
Credicorp Ltd	20.000	Credicorp Ltd	3,170.30	2,441.40
Crispr Therapeutics AG	68.000	Crispr Therapeutics AG	9,711.76	5,153.04
Crowdstrike Holdings Inc - A	3.000	Crowdstrike Holdings Inc - A	635.46	614.25
Crown Castle Intl Corp	270.000	Crown Castle Intl Corp	44,408.10	56,359.80
CSX Corp	38.000	CSX Corp	1,149.50	1,428.80
Cullen Frost Bankers	58.000	Cullen Frost Bankers	5,580.35	7,312.06
Cummins Inc	6.000	Cummins Inc	1,362.60	1,308.84
CVS Health Corp	100.000	CVS Health Corp	6,933.75	10,316.00
D R Horton Inc.	19.000	D R Horton Inc.	1,355.56	2,060.55
Daiichi Sankyo Co - Spon ADR	84.000	Daiichi Sankyo Co - Spon ADR	2,734.21	2,142.84
Daikin Industries-Unspon ADR	95.000	Daikin Industries-Unspon ADR	2,106.66	2,152.70
Danaher Corp Del	122.000	Danaher Corp Del	27,178.45	40,139.22
Danimer Scientific Inc	1,750.000	Danimer Scientific Inc	41,142.50	14,910.00
Darden Restaurants Inc	11.000	Darden Restaurants Inc	1,310.32	1,657.04
Darling Ingredients Inc	9,500.000	Darling Ingredients Inc	547,960.00	658,255.00
Dbx Group Hldgs Ltd. Spon Adr	55.000	Dbx Group Hldgs Ltd. Spon Adr	4,451.27	5,354.80
Deutsche Boerse Ag Unspn ADR	110.000	Deutsche Boerse Ag Unspn ADR	1,843.09	1,827.10
Diageo Plc Sposored Adr New	18.000	Diageo Plc Sposored Adr New	2,916.09	3,962.52
Digital Realty Trust Inc	56.000	Digital Realty Trust Inc	7,538.12	9,904.72
Discover Financial	158.000	Discover Financial	14,944.98	18,258.48
Disney Walt Co	476.758	Disney Walt Co	86,378.63	73,845.05
DNB Bank Asa ADR	201.000	DNB Bank Asa ADR	4,204.61	4,612.95
Docusign Inc	76.000	Docusign Inc	16,940.79	11,575.56
Dollar General Corp	2.000	Dollar General Corp	420.60	471.66
Dominion Energy Inc	221.000	Dominion Energy Inc	16,697.80	17,361.76
Dow Inc	85.000	Dow Inc	4,755.65	4,821.20
Draftkings Inc - Cl A	118.000	Draftkings Inc - Cl A	5,404.99	3,241.46
DSV A/S-Unsponsored ADR	33.000	DSV A/S-Unsponsored ADR	2,904.15	3,873.54
Duke Energy Corp	257.000	Duke Energy Corp	23,530.92	26,959.30
DuPont de Nemours Inc	395.000	DuPont de Nemours Inc	26,410.91	31,908.10
Earthstone Energy Inc A	1,829.000	Earthstone Energy Inc A	10,808.19	20,009.26
East West Bancorp Inc	52.000	East West Bancorp Inc	2,786.13	4,091.36
Eastman Chemical Co.	7.000	Eastman Chemical Co.	701.96	846.37
Ecolab Inc	103.000	Ecolab Inc	22,573.07	24,162.77
Editas Medicine Inc	33.000	Editas Medicine Inc	2,313.63	876.15
Elanco Animal Health Inc	214.000	Elanco Animal Health Inc	6,591.28	6,073.32
Enbridge Inc	664.000	Enbridge Inc	21,712.47	25,949.12
Enel Spa ADR	901.000	Enel Spa ADR	9,025.27	7,171.96
Engie ADR	405.000	Engie ADR	5,650.65	5,985.90
Ensign Group Inc	103.000	Ensign Group Inc	9,391.04	8,647.88
Enstar Group Ltd	1,100.000	Enstar Group Ltd	232,258.76	272,349.00
Entegris Inc	21.000	Entegris Inc	2,365.51	2,910.18
Eog Resources Inc	889.000	Eog Resources Inc	55,509.59	78,969.87

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Epam Systems Inc	4.000	Epam Systems Inc	1,433.40	2,673.80
Epiroc Ab-Unsp ADR	167.000	Epiroc Ab-Unsp ADR	3,751.08	4,213.41
Equity Lifestyle Properties REIT	51.000	Equity Lifestyle Properties REIT	3,290.49	4,470.66
Essex Property Trust Inc	3.000	Essex Property Trust Inc	712.26	1,056.69
Essilor Intl - ADR	83.000	Essilor Intl - ADR	6,620.37	8,873.53
Estee Lauder Cos CI A	335.031	Estee Lauder Cos CI A	89,303.56	124,028.48
Exact Sciences Corp	95.000	Exact Sciences Corp	11,103.39	7,393.85
Exelon Corp	265.000	Exelon Corp	11,744.14	15,306.40
Exxon-Mobil Corporation	1,254.000	Exxon-Mobil Corporation	53,017.40	76,732.26
Factset Resh System Inc.	4.000	Factset Resh System Inc.	1,330.00	1,944.04
Fastenal Co	403.000	Fastenal Co	19,678.49	25,816.18
First Majestic Silver Corp	1,000.000	First Majestic Silver Corp	13,440.00	11,110.00
Five Below	113.000	Five Below	19,772.74	23,378.57
Fiverr International Ltd	71.000	Fiverr International Ltd	8,718.14	8,072.70
Flextronics Ltd	61.000	Flextronics Ltd	1,097.69	1,118.13
Flutter Entertainment PLC	17.000	Flutter Entertainment PLC	1,581.58	1,354.22
Foschini Group - Unsp ADR	426.000	Foschini Group - Unsp ADR	4,437.75	3,364.34
Four Corners Property Trust	17.000	Four Corners Property Trust	489.24	499.97
FS KKR Capital Corp	21.000	FS KKR Capital Corp	465.69	439.74
Gaming and Leisure Properties Inc	659.000	Gaming and Leisure Properties Inc	30,739.44	32,066.94
Gatx Corp	9.000	Gatx Corp	754.35	937.71
General Dynamics Corp	33.000	General Dynamics Corp	5,088.17	6,879.51
General Mills	200.000	General Mills	11,760.00	13,476.00
General Motors Co	500.000	General Motors Co	20,820.00	29,315.00
Genmab A/S -Sp ADR	169.000	Genmab A/S -Sp ADR	6,326.79	6,685.64
Gilead Sciences Inc	398.000	Gilead Sciences Inc	23,187.48	28,898.78
Givaudan Unsp ADR	71.000	Givaudan Unsp ADR	5,812.35	7,448.61
Global Industrial Co	356.000	Global Industrial Co	12,516.96	14,560.40
Global Pmts Inc	8.000	Global Pmts Inc	1,723.36	1,081.44
Globant SA	113.000	Globant SA	24,589.93	35,492.17
Grand Canyon Education Inc	226.000	Grand Canyon Education Inc	22,243.25	19,370.46
Grupo Financiero Banorte SPONS ADR	235.000	Grupo Financiero Banorte SPONS ADR	6,615.90	7,630.45
Guidewire Software Inc	94.000	Guidewire Software Inc	12,078.01	10,671.82
Haemonetics Corp	196.000	Haemonetics Corp	11,805.20	10,395.84
HCI Group Inc	2.000	HCI Group Inc	115.65	167.08
HDFC Bank Ltd Adr	120.000	HDFC Bank Ltd Adr	9,062.55	7,808.40
Heico Corp New	146.000	Heico Corp New	19,330.40	21,056.12
Heico Corp New CI A	11.000	Heico Corp New CI A	1,300.31	1,413.72
Henry Jack & Assoc Inc	81.000	Henry Jack & Assoc Inc	13,121.19	13,526.19
Hermes Intl-Unsponsored ADR	36.000	Hermes Intl-Unsponsored ADR	3,956.64	6,319.08
Hess Corp	8.000	Hess Corp	422.32	592.24
Home Depot Incorporated	149.012	Home Depot Incorporated	40,044.25	61,841.47
Honeywell International	88.000	Honeywell International	19,420.36	18,348.88
Hong Kon Exchanges & Clearing ADR	72.000	Hong Kon Exchanges & Clearing ADR	4,065.34	4,266.00
Hoya Corp-Sponsored ADR	31.000	Hoya Corp-Sponsored ADR	4,004.79	4,636.67
HP Inc	363.000	HP Inc	12,065.72	13,674.21
Humana Inc.	3.000	Humana Inc.	1,230.81	1,391.58
Huntington Bancshares	1,169.000	Huntington Bancshares	14,764.47	18,025.98
Huntington Ingalls Industries	4.000	Huntington Ingalls Industries	681.92	746.96
Hutchison China Meditech-ADR	65.000	Hutchison China Meditech-ADR	1,952.07	2,280.20
IAC/InteractiveCorp	98.000	IAC/InteractiveCorp	22,399.54	12,809.58
Iberdrola SA Sponsored ADR	106.000	Iberdrola SA Sponsored ADR	5,518.44	5,004.26
Icici Bank Ltd - Spon Adr	463.000	Icici Bank Ltd - Spon Adr	7,424.98	9,162.77
Idexx Laboratories Inc	35.000	Idexx Laboratories Inc	17,495.45	23,046.10
IHS Markit LTD (spgi 02/28/22)	189.000	IHS Markit LTD (spgi 02/28/22)	16,977.87	25,121.88
II VI Inc	184.000	II VI Inc	13,695.12	12,572.72
Illinois Tool Works Inc.	33.000	Illinois Tool Works Inc.	7,135.92	8,144.40
Illumina Inc	38.000	Illumina Inc	14,994.51	14,456.72

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Inditex-Unspon ADR	344.000	Inditex-Unspon ADR	5,786.03	5,569.02
ING Groep N V	143.000	ING Groep N V	1,718.95	1,990.56
Inpost SA - Unsp ADR	735.000	Inpost SA - Unsp ADR	6,821.78	4,299.75
Int'l Flavors & Fragrances	353.000	Int'l Flavors & Fragrances	37,225.39	53,179.45
Intel Corporation	906.693	Intel Corporation	47,242.35	46,694.69
Intellia Therapeutics Inc	99.000	Intellia Therapeutics Inc	5,979.04	11,705.76
Intercontinental Exchange Inc	15.000	Intercontinental Exchange Inc	1,729.35	2,051.55
Intl Business Machines Inc	159.947	Intl Business Machines Inc	19,117.11	21,378.52
Intuit	4.000	Intuit	2,141.18	2,572.88
Intuitive Surgical	3.000	Intuitive Surgical	818.10	1,077.90
Invitae Corp	282.000	Invitae Corp	10,953.87	4,306.14
IQVIA Holdings Inc	108.000	IQVIA Holdings Inc	22,223.20	30,471.12
Iridium Communications Inc	57.000	Iridium Communications Inc	2,232.05	2,353.53
Jardine Matheson Hd-Unspons Adr	37.000	Jardine Matheson Hd-Unspons Adr	2,212.83	2,045.73
JD.com Inc-ADR	32.000	JD.com Inc-ADR	2,812.48	2,242.24
Jet Blue Awys Corp	914.000	Jet Blue Awys Corp	15,885.52	13,015.36
Johnson & Johnson	606.819	Johnson & Johnson	97,171.67	103,808.53
Johnson Controls International PLC	173.000	Johnson Controls International PLC	9,061.29	14,066.63
JPMorgan Chase & Co	1,060.095	JPMorgan Chase & Co	136,005.23	167,866.04
Kering SA Unsponsored ADR	112.000	Kering SA Unsponsored ADR	8,008.90	9,017.12
Keycorp	35.000	Keycorp	594.52	809.55
Keyence Corp	22.000	Keyence Corp	11,896.34	13,965.60
Kimberly Clark	98.000	Kimberly Clark	13,292.91	14,006.16
Knight-Swift Transportation Holdings Inc	484.000	Knight-Swift Transportation Holdings	21,718.10	29,494.96
Koninklijke Philips El	61.000	Koninklijke Philips El	3,371.23	2,247.85
Kyndryl Holdings Inc	31.000	Kyndryl Holdings Inc	1,020.71	561.10
L Oreal Co Adr	97.000	L Oreal Co Adr	8,187.15	9,255.74
Laboratory Corp Of America Holdings	6.000	Laboratory Corp Of America Holdings	1,221.30	1,885.26
Lennar Corp	114.000	Lennar Corp	9,340.87	13,242.24
Lennar Corp - B	2,000.000	Lennar Corp - B	159,144.42	191,240.00
Liberty Broadband - C	1,964.000	Liberty Broadband - C	311,038.68	316,400.40
Littelfuse Inc.	8.000	Littelfuse Inc.	2,224.79	2,517.44
Live Nation Entertainment Inc	144.000	Live Nation Entertainment Inc	11,799.22	17,235.36
Lockheed Martin Corp	91.000	Lockheed Martin Corp	32,859.48	32,342.31
London Stock Exchg-Unsp ADR	347.000	London Stock Exchg-Unsp ADR	10,258.57	8,185.73
Lowes Co Inc	38.000	Lowes Co Inc	6,143.13	9,822.24
Lvmh Moet Hennessy Adr	28.000	Lvmh Moet Hennessy Adr	3,598.96	4,634.00
M&T Bank Corp	190.000	M&T Bank Corp	24,513.92	29,180.20
Maravai Lifesciences Hldgs-A	331.000	Maravai Lifesciences Hldgs-A	11,740.57	13,868.90
Markel Corp.	2.000	Markel Corp.	2,066.60	2,468.00
Martin Marietta Materials	28.000	Martin Marietta Materials	8,319.62	12,334.56
Masco Corp	13.000	Masco Corp	720.93	912.86
Masimo Corporation	3.000	Masimo Corporation	705.26	878.34
Mastercard Inc A	57.000	Mastercard Inc A	20,197.70	20,481.24
Mcdonalds Corp	265.080	Mcdonalds Corp	57,062.42	71,060.00
Medtronic PLC	309.000	Medtronic PLC	37,046.20	31,966.05
Mercadolibre Inc	6.000	Mercadolibre Inc	9,315.69	8,090.40
Merck & Company Inc	710.000	Merck & Company Inc	54,866.58	54,414.40
Meta Platforms Inc	137.000	Meta Platforms Inc	37,514.39	46,079.95
Mgic Invst. Corp	27,000.000	Mgic Invst. Corp	338,850.00	389,340.00
Michelin (Cgde) Unspon Adr	288.000	Michelin (Cgde) Unspon Adr	7,750.17	9,443.52
Microchip Technology	126.000	Microchip Technology	8,793.46	10,969.56
Micron Tech. Inc.	148.000	Micron Tech. Inc.	11,219.10	13,786.20
Microsoft Corporation	778.991	Microsoft Corporation	174,829.17	261,990.25
MillerKnoll Inc	621.000	MillerKnoll Inc	24,169.32	24,336.99
Minth Group Ltd - Unspon ADR	42.000	Minth Group Ltd - Unspon ADR	4,020.28	3,748.40
Mitsubishi UFJ Financial ADR	726.000	Mitsubishi UFJ Financial ADR	3,374.21	3,963.96
Mondelez International Inc	319.825	Mondelez International Inc	18,708.91	21,207.60

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IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	DESCRIPTION OF INVESTMENT including maturity date, rate of interest, collateral, par or maturity value	COST	CURRENT VALUE
Moody's Corp	3.000	Moody's Corp	870.72	1,171.74
Murata Manufactur Unspn ADR	122.000	Murata Manufactur Unspn ADR	2,680.70	2,433.90
Murphy Oil Corp	14.000	Murphy Oil Corp	169.40	365.54
National Grid Transco Plc	320.000	National Grid Transco Plc	18,889.60	23,142.40
National Retail Properties Inc	512.000	National Retail Properties Inc	23,583.90	24,611.84
Neogen Corp	166.000	Neogen Corp	6,581.90	7,538.06
Nestle SA Sponsored ADR Registered (NSRGY)	461.281	Nestle SA Sponsored ADR Registered (N	54,143.08	64,750.01
NetEase Inc	19.000	NetEase Inc	2,017.22	1,933.82
Netflix Inc	23.000	Netflix Inc	12,436.79	13,856.12
Nextera Energy Inc	323.000	Nextera Energy Inc	24,904.22	30,155.28
NICE Systems Ltd-Spons ADR	7.000	NICE Systems Ltd-Spons ADR	1,986.02	2,125.20
Nidec Corp Sponsored ADR	129.000	Nidec Corp Sponsored ADR	3,976.17	3,806.79
Nike Inc.	99.000	Nike Inc.	14,009.95	16,500.33
Nitori Hldgs Co Ltd-Unsp ADR	213.000	Nitori Hldgs Co Ltd-Unsp ADR	4,103.88	3,165.18
NMI Holdings Inc-Class A	2,007.000	NMI Holdings Inc-Class A	45,897.53	43,852.95
Nokia Corp ADR	394.000	Nokia Corp ADR	2,230.59	2,450.68
Northern Trust Corp	7.000	Northern Trust Corp	651.98	837.27
NOV Inc	52.000	NOV Inc	695.17	704.60
Novo-Nordisk As	1,189.120	Novo-Nordisk As	83,298.99	133,181.44
Nvidia Corp	89.000	Nvidia Corp	11,618.95	26,175.79
Oak Street Health Inc	275.000	Oak Street Health Inc	16,157.94	9,113.50
Occidental Petroleum Corp	1,830.000	Occidental Petroleum Corp	31,677.30	53,051.70
Okta Inc	3.000	Okta Inc	721.06	672.51
Old Dominion Freight Line	3.000	Old Dominion Freight Line	585.54	1,075.14
Old Republic International Corp.	5,000.000	Old Republic International Corp.	98,550.00	122,900.00
Omniceil Inc	15.000	Omniceil Inc	2,367.27	2,706.60
Oneok Inc	11.000	Oneok Inc	422.18	646.36
Oracle Corp	315.104	Oracle Corp	20,454.38	27,480.22
Orsted A/S-Unsp ADR	51.000	Orsted A/S-Unsp ADR	2,598.99	2,179.23
Otis Worldwide Corp	103.000	Otis Worldwide Corp	6,957.65	8,968.21
Pacific Biosciences of Calif	105.000	Pacific Biosciences of Calif	2,543.57	2,148.30
Pagerduty Inc	84.000	Pagerduty Inc	3,539.11	2,919.00
Pagseguro Digital Ltd-CI A	127.000	Pagseguro Digital Ltd-CI A	6,877.14	3,329.94
Palantir Technologies Inc A	196.000	Palantir Technologies Inc A	4,096.13	3,569.16
Parker Hannifin Corp	34.000	Parker Hannifin Corp	9,449.52	10,816.08
Paychex Inc	246.000	Paychex Inc	23,808.78	33,579.00
Paycom Software Inc	57.000	Paycom Software Inc	25,778.25	23,665.83
Paypal Holdings Inc	188.000	Paypal Holdings Inc	41,935.16	35,453.04
Pepsico Inc	289.645	Pepsico Inc	42,935.07	50,314.23
Pernod Ricard Sa - Spon ADR	79.000	Pernod Ricard Sa - Spon ADR	3,722.48	3,829.92
Petroleo Brasileiro Sa Petrobras Non Vtg S	1,300.000	Petroleo Brasileiro Sa Petrobras Non	14,378.00	13,143.00
Pfizer Incorporated	277.000	Pfizer Incorporated	10,355.47	16,356.85
Philip Morris International	1,201.818	Philip Morris International	100,480.84	114,172.71
Phillips 66	712.000	Phillips 66	50,379.31	51,591.52
Ping An Insurance ADR	261.000	Ping An Insurance ADR	6,468.14	3,742.74
Pinnacle Financial Partners	9.000	Pinnacle Financial Partners	863.18	859.50
Pnc Financial Svc Group	48.000	Pnc Financial Svc Group	7,501.66	9,624.96
Polaris Infrastructure Inc	20.000	Polaris Infrastructure Inc	278.02	266.95
Ppl Corp	426.000	Ppl Corp	12,013.20	12,805.56
Pretium Resources Inc	1,056.000	Pretium Resources Inc	12,119.02	14,879.04
Procter & Gamble Co	528.808	Procter & Gamble Co	73,534.04	86,502.41
Progressive Corp	1,164.000	Progressive Corp	115,096.32	119,484.60
Prologis Inc	174.000	Prologis Inc	17,369.88	29,294.64
Pros Holdings Inc	11.000	Pros Holdings Inc	554.20	379.39
Public Service Enterprise Group Inc.	129.000	Public Service Enterprise Group Inc.	7,520.70	8,608.17
Public Storage	3.000	Public Storage	904.68	1,123.68
Qualcomm	167.000	Qualcomm	25,141.57	30,539.29
Raymond James Finl Inc	12.000	Raymond James Finl Inc	765.36	1,204.80

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Raytheon Technologies Corp	276.327	Raytheon Technologies Corp	19,801.37	23,780.70
Realty Income Corp	164.000	Realty Income Corp	11,011.02	11,740.76
Reckitt Benckiser-Spon ADR	328.000	Reckitt Benckiser-Spon ADR	5,733.94	5,740.00
Recruit Holdings Co Ltd-ADR	368.000	Recruit Holdings Co Ltd-ADR	3,637.42	4,482.24
Regions Finl Corp Com	364.000	Regions Finl Corp Com	6,293.53	7,935.20
Reinsurance Group of America A	7.000	Reinsurance Group of America A	826.84	766.43
Relx PLC - Spon ADR	219.000	Relx PLC - Spon ADR	5,778.72	7,141.59
Renaissance Re Holdings	4.000	Renaissance Re Holdings	638.01	677.32
Rent A Center Inc	3,500.000	Rent A Center Inc	164,953.27	168,140.00
Republic Svcs Inc	8.000	Republic Svcs Inc	770.40	1,115.60
Rio Tinto PLC ADR	22.000	Rio Tinto PLC ADR	1,692.13	1,472.68
Ritchie Bros Auctioneers	396.000	Ritchie Bros Auctioneers	27,541.80	24,239.16
Robinhood Markets Inc - A	157.000	Robinhood Markets Inc - A	5,178.03	2,788.32
Roche Hldg Ltd -Spons ADR	846.433	Roche Hldg Ltd -Spons ADR	37,050.55	43,752.12
Rockwell International	46.000	Rockwell International	12,331.28	16,047.10
Roku Inc	48.000	Roku Inc	14,861.14	10,953.60
Rollins Inc	593.000	Rollins Inc	23,168.51	20,286.53
Royal Caribbean Cruises Ltd Is	10.000	Royal Caribbean Cruises Ltd Is	776.51	769.00
Royal Ptt Nederland ADR	693.000	Royal Ptt Nederland ADR	2,253.84	2,120.58
Rpm International Inc	197.000	Rpm International Inc	17,758.15	19,897.00
Sabre Corp	77.000	Sabre Corp	958.87	661.43
Safran Sa-Unspon ADR	240.000	Safran Sa-Unspon ADR	8,599.00	7,341.60
Salesforce.Com Inc	190.000	Salesforce.Com Inc	42,280.70	48,284.70
Sap Aktiengesellschaft ADR	51.000	Sap Aktiengesellschaft ADR	6,899.78	7,145.61
SBA Communications Corp	5.000	SBA Communications Corp	1,410.65	1,945.10
Sberbank-Sponsored ADR	270.000	Sberbank-Sponsored ADR	4,085.50	4,252.50
Scotts Miracle-Gro Co Cl A	7.000	Scotts Miracle-Gro Co Cl A	1,393.98	1,127.00
Sealed Air Corp	18.000	Sealed Air Corp	828.03	1,214.46
Semiconductor Corporation	32.000	Semiconductor Corporation	1,054.59	2,173.44
Servicenow Inc	34.000	Servicenow Inc	18,714.62	22,069.74
Shin Etsu Chemical Unsponsored ADR	160.000	Shin Etsu Chemical Unsponsored ADR	6,840.53	6,947.20
Shionogi & Co Ltd-Unspon ADR	305.000	Shionogi & Co Ltd-Unspon ADR	4,179.49	5,387.83
Shopify Inc - A	4.000	Shopify Inc - A	4,501.06	5,509.56
Silicon Motion Technology - ADR	138.000	Silicon Motion Technology - ADR	8,120.11	13,114.14
Siteone Landscape Supply Inc	2.000	Siteone Landscape Supply Inc	367.86	484.56
SMC Corp	11.000	SMC Corp	6,389.08	7,466.25
Snap On Inc	73.000	Snap On Inc	12,694.98	15,722.74
Sonoco Products Co	12.000	Sonoco Products Co	722.64	694.68
Sony Corp ADR New	97.000	Sony Corp ADR New	10,269.95	12,260.80
Southern Company	397.000	Southern Company	24,415.07	27,226.26
Southwest Airlines Company	273.000	Southwest Airlines Company	15,324.29	11,695.32
Splunk Inc	98.000	Splunk Inc	11,617.90	11,340.56
Spotify Technology Sa	29.000	Spotify Technology Sa	8,765.76	6,786.87
Sprout Social Inc Class A	3.000	Sprout Social Inc Class A	280.81	272.07
Standard Bank Group Spon ADR	660.000	Standard Bank Group Spon ADR	5,928.14	5,794.80
Starbucks Corp.	396.000	Starbucks Corp.	42,381.32	46,320.12
Starwood Property Trust Inc	7,021.000	Starwood Property Trust Inc	135,505.30	170,610.30
State Street Corp	218.121	State Street Corp	15,935.74	20,285.25
Stericycle Inc	135.000	Stericycle Inc	9,443.66	8,051.40
Sterling Bancorp (wbs 02/01/22)	39.000	Sterling Bancorp (wbs 02/01/22)	728.54	1,005.81
Stifel Financial Corp	18.000	Stifel Financial Corp	908.28	1,267.56
Stmicroelectronics Nv	130.000	Stmicroelectronics Nv	5,084.36	6,354.40
Stratasys LTD	73.000	Stratasys LTD	1,512.56	1,787.77
Straumann Holding Ag-Uns ADR	56.000	Straumann Holding Ag-Uns ADR	3,398.69	6,048.00
Sun Community Inc.	21.000	Sun Community Inc.	3,190.95	4,409.37
Switch Inc - A	59.000	Switch Inc - A	1,177.05	1,689.76
Synchrony Financial	261.000	Synchrony Financial	11,767.32	12,107.79
Synopsys Inc	5.000	Synopsys Inc	1,296.20	1,842.50

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Sysco Corp	13.000	Sysco Corp	965.38	1,021.15
Taiwan Semiconductor-Sp ADR	299.000	Taiwan Semiconductor-Sp ADR	33,603.59	35,972.69
TC Energy Corp	273.000	TC Energy Corp	11,116.56	12,705.42
Techtronic Industries Sp Adr (ttndy)	45.000	Techtronic Industries Sp Adr (ttndy)	3,439.75	4,473.00
Teladoc Health Inc	176.000	Teladoc Health Inc	30,907.96	16,160.32
Teleflex Inc.	4.000	Teleflex Inc.	1,646.28	1,313.92
Tencent Holdings Ltd ADR	245.000	Tencent Holdings Ltd ADR	19,024.53	14,283.50
Terumo Corp ADR	55.000	Terumo Corp ADR	2,258.65	2,375.44
Tesla Inc	15.000	Tesla Inc	10,593.01	15,851.70
Texas Instruments Inc	431.770	Texas Instruments Inc	71,070.79	81,375.69
TFI International Inc	48.000	TFI International Inc	3,098.94	5,381.28
Thomson Reuters Corp	5.000	Thomson Reuters Corp	409.45	598.10
Tivity Health Inc	495.000	Tivity Health Inc	11,810.20	13,087.80
Tjx Companies	167.000	Tjx Companies	11,383.07	12,678.64
Tokyo Electron LTD Unsp ADR	22.000	Tokyo Electron LTD Unsp ADR	2,059.59	3,165.80
TotalEnergies SE	237.000	TotalEnergies SE	11,651.81	11,722.02
Trane Technologies PLC	12.000	Trane Technologies PLC	2,168.73	2,424.36
Transdigm Group Inc	2.000	Transdigm Group Inc	1,237.70	1,272.56
Trip.Com Group Ltd	159.000	Trip.Com Group Ltd	5,780.28	3,914.58
Triumph Bancorp Inc	3,000.000	Triumph Bancorp Inc	145,650.00	357,240.00
Truist Financial Corp	238.000	Truist Financial Corp	13,256.46	13,934.90
Tusimple Holdings Inc - A	85.000	Tusimple Holdings Inc - A	3,112.59	3,047.25
Twilio Inc - A	61.000	Twilio Inc - A	18,607.44	16,063.74
Twitter Inc	272.000	Twitter Inc	16,247.06	11,755.84
Tyler Technologies Inc	55.000	Tyler Technologies Inc	24,022.52	29,587.25
Tyson Foods Inc Cl A	80.000	Tyson Foods Inc Cl A	5,329.28	6,972.80
Uipath Inc - Class A	110.000	Uipath Inc - Class A	5,802.56	4,744.30
Unicharm Corp-Spn ADR	296.000	Unicharm Corp-Spn ADR	2,718.01	2,591.48
Unilever Plc Spon Adr New	501.000	Unilever Plc Spon Adr New	29,623.47	26,948.79
Union Pacific Corp.	382.496	Union Pacific Corp.	79,844.64	96,362.22
United Parcel Service	33.000	United Parcel Service	5,602.57	7,073.22
Unitedhealth Group Inc	105.000	Unitedhealth Group Inc	37,583.32	52,724.70
Unity Software Inc	56.000	Unity Software Inc	5,199.38	8,007.44
Urban Outfitters Inc	323.000	Urban Outfitters Inc	11,802.42	9,483.28
US Bancorp - Del	546.000	US Bancorp - Del	28,520.68	30,668.82
V.F. Corp.	68.000	V.F. Corp.	5,807.58	4,978.96
Veeva Systems Inc-Class A	81.000	Veeva Systems Inc-Class A	22,052.25	20,693.88
Veracyte Inc	49.000	Veracyte Inc	2,329.20	2,018.80
Verisign Inc.	400.000	Verisign Inc.	86,560.00	101,528.00
Verisk Analytics Inc CL A	134.000	Verisk Analytics Inc CL A	27,803.73	30,649.82
Verizon Communications	691.000	Verizon Communications	40,596.25	35,904.36
Vimeo Inc	158.000	Vimeo Inc	4,896.00	2,837.68
Visa Inc Class A Shares	94.000	Visa Inc Class A Shares	20,444.00	20,370.74
Vodafone Group PLC ADR	1,346.000	Vodafone Group PLC ADR	22,182.08	20,095.78
Volkswagen A G-Unspon ADR	800.000	Volkswagen A G-Unspon ADR	16,680.00	23,360.00
Vulcan Matls Co	8.000	Vulcan Matls Co	1,186.48	1,660.64
Walgreens Boots Alliance Inc	483.521	Walgreens Boots Alliance Inc	21,052.66	25,220.46
Walmart Inc	361.297	Walmart Inc	52,073.45	52,276.06
Waste Connections Inc	12.000	Waste Connections Inc	1,230.84	1,635.24
Waste Management Inc	131.589	Waste Management Inc	15,573.74	21,962.20
Wayfair Inc- Class A	3.000	Wayfair Inc- Class A	765.94	569.91
WEC Energy Group Inc	11.000	WEC Energy Group Inc	1,012.33	1,067.77
Weibo Corp-Spon ADR	59.000	Weibo Corp-Spon ADR	2,751.60	1,827.82
Wells Fargo & Co New	221.133	Wells Fargo & Co New	6,673.79	10,609.96
West Pharmaceutical Services	75.000	West Pharmaceutical Services	21,953.28	35,175.75
Wheaton Precious Metals Corp	700.000	Wheaton Precious Metals Corp	29,218.00	30,051.00
Williams Co.	627.000	Williams Co.	16,891.92	16,327.08
WillScot Mobile Mini Holdings	22.000	WillScot Mobile Mini Holdings	634.56	898.48

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WNS Holdings Ltd ADR	3.000	WNS Holdings Ltd ADR	266.20	264.66
Workiva Inc	3.000	Workiva Inc	352.91	391.47
Xilinx Inc (amd 02/15/22)	314.497	Xilinx Inc (amd 02/15/22)	44,625.65	66,682.80
Xylem	92.000	Xylem	9,950.82	11,032.64
Yamana Gold Inc	3,333.000	Yamana Gold Inc	19,031.43	14,065.26
Yandex NV A	75.000	Yandex NV A	5,075.29	4,537.50
Zhongsheng Group-Unsp ADR	54.000	Zhongsheng Group-Unsp ADR	4,714.00	4,199.04
Zoetis Inc	66.000	Zoetis Inc	10,968.66	16,105.98
Zoom Video Communications-A	42.000	Zoom Video Communications-A	11,065.41	7,724.22
ZTO Express Cayman Inc-ADR	112.000	ZTO Express Cayman Inc-ADR	3,628.42	3,160.64
Denbury-Cw23	2.000	Denbury-Cw23	6.00	83.29
Occidental Petroleum Corp	228.000	Occidental Petroleum Corp	1,552.68	2,875.08
Value of Interest in Pooled Separate Accounts				
Fortress Transportation & Infrest	416.000	Fortress Transportation & Infrest	11,731.20	12,030.72
Rice Acquisition Corp II	10,000.000	Rice Acquisition Corp II	101,729.15	105,100.00
Value of Interest in Registered Investment Companies				
abrdn Bloomberg All Commodity Strategy K-1 Free ETF	1,292.000	abrdn Bloomberg All Commodity Strateg	30,374.17	29,703.08
Af Cap Wlrd Gr & Inc-F3	1,377.251	Af Cap Wlrd Gr & Inc-F3	82,006.45	87,634.48
Allspring Discovery Fund Institutional	2,068.341	Allspring Discovery Fund Institutiona	102,139.64	78,741.74
Am Cap Fd Inc CI R-3	1,911.471	Am Cap Fd Inc CI R-3	72,977.77	83,110.76
Amer Fnd Multi-Sec Inc-F3	4,731.977	Amer Fnd Multi-Sec Inc-F3	50,907.84	50,300.92
Amer Fnd Smallcap Wrld-F3	712.185	Amer Fnd Smallcap Wrld-F3	57,395.52	58,192.64
Amer Fnds New Economy-F3	869.903	Amer Fnds New Economy-F3	51,886.19	54,029.68
Amer Funds Amer Bal R3	2,068.337	Amer Funds Amer Bal R3	62,548.18	68,813.57
Amer Funds Grth Fund R3	760.394	Amer Funds Grth Fund R3	50,669.68	54,984.09
Amer Funds Inc Fund R3	1,882.583	Amer Funds Inc Fund R3	44,653.57	48,457.69
Amer Funds New Econ R3	876.083	Amer Funds New Econ R3	50,924.91	52,302.16
Amer Funds Sm World R3	903.040	Amer Funds Sm World R3	68,168.87	67,691.88
Amer Funds Washington R3	1,197.534	Amer Funds Washington R3	60,754.00	71,684.39
American Beacon Small Cap Value Instl	771.104	American Beacon Small Cap Value Instl	19,979.61	22,115.26
American Fundmntl Investors R3	876.355	American Fundmntl Investors R3	61,254.28	66,366.36
American Funds 2020 Trgt Date Retire R6	85,903.423	American Funds 2020 Trgt Date Retire	1,190,761.15	1,230,137.02
American Funds 2025 Trgt Date Retire R6	70,484.597	American Funds 2025 Trgt Date Retire	1,094,579.73	1,139,735.93
American Funds 2030 Trgt Date Retire R6	153,149.268	American Funds 2030 Trgt Date Retire	2,598,898.71	2,736,777.42
American Funds 2035 Trgt Date Retire R6	213,523.223	American Funds 2035 Trgt Date Retire	3,859,854.92	4,189,325.64
American Funds 2040 Trgt Date Retire R6	54,549.451	American Funds 2040 Trgt Date Retire	1,028,440.11	1,130,264.62
American Funds 2045 Trgt Date Retire R6	190,839.891	American Funds 2045 Trgt Date Retire	3,693,204.63	4,072,523.27
American Funds 2050 Trgt Date Retire R6	80,159.194	American Funds 2050 Trgt Date Retire	1,541,493.29	1,690,557.40
American Funds 2055 Trgt Date Retire R6	59,710.626	American Funds 2055 Trgt Date Retire	1,443,216.31	1,587,705.55
American Funds 2060 Trgt Date Retire R6	12,255.335	American Funds 2060 Trgt Date Retire	202,577.87	219,125.39
American Funds Capital World Bond F3	1,413.984	American Funds Capital World Bond F3	30,393.85	28,138.28
American Funds Europacific Growth F2	224.082	American Funds Europacific Growth F2	15,444.37	14,471.22
American Funds Europacific Growth F3	691.450	American Funds Europacific Growth F3	47,800.51	44,805.96
American Funds Europacific Growth R6	3,025.848	American Funds Europacific Growth R6	214,507.73	195,863.14
American Funds Growth Fund of Amer F2	396.125	American Funds Growth Fund of Amer F2	26,805.66	29,337.02
American Funds New Perspective R3	1,077.901	American Funds New Perspective R3	64,131.01	69,524.61
American Funds New World F2	840.186	American Funds New World F2	73,657.20	72,037.55
American Funds SMALLCAP World F2	672.498	American Funds SMALLCAP World F2	56,548.70	55,333.14
American Funds The Growth Fund of America CI F-3	992.772	American Funds The Growth Fund of Ame	67,384.47	73,762.96
American Funds Washington Mutual F2	445.335	American Funds Washington Mutual F2	22,497.14	26,902.69
American Mutual Fund R3	1,471.419	American Mutual Fund R3	66,087.11	77,499.64
Ark Innovation ETF	285.000	Ark Innovation ETF	35,525.13	26,958.15
Baird MidCap Inst	4,786.305	Baird MidCap Inst	138,751.36	141,196.00
Baron Discovery Institutional	672.430	Baron Discovery Institutional	24,450.59	23,682.98

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Baron Emerging Markets Institutional	2,996.698	Baron Emerging Markets Institutional	58,922.84	52,681.95
BlackRock Global Dividend Instl	13,188.265	BlackRock Global Dividend Instl	168,899.14	167,754.73
BlackRock Health Sciences Opps Instl	77.345	BlackRock Health Sciences Opps Instl	6,032.58	6,182.19
BlackRock High Yield Bond Instl	1,863.847	BlackRock High Yield Bond Instl	14,551.12	14,612.56
Brown Capital Mgmt Small Co Instl	11.044	Brown Capital Mgmt Small Co Instl	1,448.58	1,325.83
Calvert Small Cap Fund-I	1,716.438	Calvert Small Cap Fund-I	56,018.91	62,100.73
Carillon Reams Core Bond Fund Class I	7,077.479	Carillon Reams Core Bond Fund Class I	90,517.50	89,600.88
Cohen & Steers Low Duration Pref & Inc I	965.437	Cohen & Steers Low Duration Pref & In	9,786.64	9,596.44
Cohen & Steers Real Estate Securities I	3,986.496	Cohen & Steers Real Estate Securities	69,512.89	87,981.97
Columbia Flexible Capital Income Fund Instl	6,933.992	Columbia Flexible Capital Income Fund	109,423.62	106,991.50
Delaware Global Equity A	7,332.546	Delaware Global Equity A	49,975.03	47,514.90
Delaware Growth and Income A	4,657.318	Delaware Growth and Income A	61,476.14	65,761.33
Delaware Opportunity A	667.829	Delaware Opportunity A	19,639.09	23,581.04
Delaware Small Cap Value A	739.182	Delaware Small Cap Value A	54,444.36	56,525.25
Delaware Total Return A	1,174.096	Delaware Total Return A	18,774.40	18,738.57
DFA Emerging Markets Core Equity I	3,983.277	DFA Emerging Markets Core Equity I	96,783.27	99,741.26
DFA International Core Equity I	22,139.296	DFA International Core Equity I	326,268.23	356,442.67
DFA International Small Cap Value I	5,218.381	DFA International Small Cap Value I	101,567.50	111,621.17
DFA US Core Equity 2 I	25,132.701	DFA US Core Equity 2 I	683,756.88	830,133.11
DFA US Large Cap Value I	4,033.247	DFA US Large Cap Value I	153,540.04	185,771.36
DFA US Targeted Value I	3,301.864	DFA US Targeted Value I	79,374.86	99,716.29
Direxion Nasdaq-100 Eq Weigh	571.977	Direxion Nasdaq-100 Eq Weigh	45,655.68	48,795.36
Dodge & Cox Income	1,362.070	Dodge & Cox Income	19,879.52	19,150.70
Dodge & Cox International Stock	103.808	Dodge & Cox International Stock	5,119.09	4,909.08
DoubleLine Low Duration Bond I	19,225.627	DoubleLine Low Duration Bond I	191,703.29	189,949.19
Doubleline Sh Enh Cape-I	9,137.146	Doubleline Sh Enh Cape-I	175,000.38	162,641.20
Eaton Vance Floating Rate I	11,732.092	Eaton Vance Floating Rate I	102,486.30	103,242.41
F&T Bhvrl Sm Cap Grw-Inst	316.831	F&T Bhvrl Sm Cap Grw-Inst	12,458.24	12,175.82
Federated Hermes MDT Small Cap Core IS	1,021.477	Federated Hermes MDT Small Cap Core I	27,192.69	26,854.63
Fidelity Adv Real Est Inc Z	6,201.465	Fidelity Adv Real Est Inc Z	73,383.34	85,208.13
Fidelity Advisor New Insights Fund Z	4,474.843	Fidelity Advisor New Insights Fund Z	170,884.97	187,451.17
Fidelity Advisor® Total Bond I	4,993.564	Fidelity Advisor® Total Bond I	56,023.49	55,128.95
Fidelity Con Staples ETF	783.000	Fidelity Con Staples ETF	32,144.01	36,542.61
Fidelity MSCI Industrials ETF	531.000	Fidelity MSCI Industrials ETF	29,103.97	29,842.20
Fidelity Treasury Money Market Fund Capital Reserves Class	0.020	Fidelity Treasury Money Market Fund C	0.02	0.02
Fidelity® 500 Index Institutional Prem	3,995.901	Fidelity® 500 Index Institutional Pre	536,103.41	660,602.35
Fidelity® Balanced	4,033.318	Fidelity® Balanced	120,069.93	122,491.87
Fidelity® Government Cash Reserves	54,489.730	Fidelity® Government Cash Reserves	54,489.73	54,489.73
Fidelity® International Index InstlPrm	671.122	Fidelity® International Index InstlPr	31,440.59	33,079.60
Fidelity® Low-Priced Stock	96.200	Fidelity® Low-Priced Stock	5,570.88	5,174.60
Fidelity® Mid Cap Index Instl Prem	5,387.075	Fidelity® Mid Cap Index Instl Prem	153,390.28	172,332.53
Fidelity® Small Cap Index Instl Prem	5,476.602	Fidelity® Small Cap Index Instl Prem	149,958.35	150,935.15
Fidelity® US Bond Index Instl Prem	2,911.166	Fidelity® US Bond Index Instl Prem	36,119.24	34,875.77
First Trust Long/Short Eqty	30.000	First Trust Long/Short Eqty	1,308.00	1,565.10
First Trust MLP And Energy I	4,208.000	First Trust MLP And Energy I	32,840.49	30,508.00
First Trust NASDAQ Cybersecurity ETF	274.000	First Trust NASDAQ Cybersecurity ETF	11,740.88	14,464.46
First Trust TCW Opp Fixed In	442.000	First Trust TCW Opp Fixed In	23,540.88	23,523.24
Flexshares Iboxx 5-Year Targ	39.000	Flexshares Iboxx 5-Year Targ	1,104.48	1,093.56
FMI International Institutional	110.024	FMI International Institutional	3,952.88	3,922.36
Franklin Rising Dividends-AD	160.259	Franklin Rising Dividends-AD	12,917.32	15,519.48
Fundamental Investors CL F 3	1,541.097	Fundamental Investors CL F 3	107,343.13	116,984.67
Goldman Activebeta US LC ETF	1,029.000	Goldman Activebeta US LC ETF	80,855.69	97,899.06
Goldman Sachs FS Treasury Intms Instl	624.020	Goldman Sachs FS Treasury Intms Instl	624.02	624.02
Goldman Sachs Intl Sm Cp Insghts Instl	274.719	Goldman Sachs Intl Sm Cp Insghts Inst	3,915.17	3,791.12
Goldman Sachs S/M Cap Gth-Is	941.245	Goldman Sachs S/M Cap Gth-Is	26,460.62	25,244.19
Grayscale Bitcoin Trust BTC	480.000	Grayscale Bitcoin Trust BTC	15,149.98	16,440.00
Grayscale Ethereum Trust	481.000	Grayscale Ethereum Trust	6,915.54	15,565.16
Guggenheim Total Return Bond Instl	2,927.987	Guggenheim Total Return Bond Instl	86,224.02	83,623.31

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Harbor Capital Appreciation Instl	300.621	Harbor Capital Appreciation Instl		30,920.98	30,293.58
Hartford Core Equity Fund-I	3,712.121	Hartford Core Equity Fund-I		153,605.63	184,084.08
Hartford Schroders Intl Multi-Cp Val I	3,839.407	Hartford Schroders Intl Multi-Cp Val		40,026.24	39,469.10
Innovator U.S. Equity Ultra	10,661.000	Innovator U.S. Equity Ultra		311,834.25	317,644.50
Innovator U.S. Equity Ultra August	5,015.000	Innovator U.S. Equity Ultra August		139,932.54	146,843.21
Innovator U.S. Equity Ultra December	2,771.000	Innovator U.S. Equity Ultra December		78,850.47	83,929.16
Innovator U.S. Equity Ultra January	13,624.000	Innovator U.S. Equity Ultra January		406,812.64	430,368.54
Innovator U.S. Equity Ultra July	1,136.000	Innovator U.S. Equity Ultra July		30,058.56	31,444.48
Innovator U.S. Equity Ultra October	20,493.000	Innovator U.S. Equity Ultra October		552,183.89	584,357.90
Invesco Developing Markets Fund Y	1,630.937	Invesco Developing Markets Fund Y		86,850.26	76,686.66
Invesco Discovery Mid Cap Growth Fund Y	3,722.266	Invesco Discovery Mid Cap Growth Fund Y		129,949.79	133,033.79
Invesco Ftse Rafi Us 1000 Et	1,081.857	Invesco Ftse Rafi Us 1000 Et		156,204.28	185,754.85
Invesco Global Opportunities Fund Y	98.959	Invesco Global Opportunities Fund Y		7,881.26	7,540.68
Invesco Nasdaq 100 Etf	157.392	Invesco Nasdaq 100 Etf		22,837.45	25,741.46
Invesco Preferred Etf	65.000	Invesco Preferred Etf		991.90	975.00
Invesco Qqq Trust Series 1	715.735	Invesco Qqq Trust Series 1		224,982.87	284,755.17
Invesco S&P 500 Downside Hed	77.000	Invesco S&P 500 Downside Hed		2,800.11	2,931.39
Invesco S&P 500 Equal Weight	6,708.050	Invesco S&P 500 Equal Weight		872,920.61	1,091,735.14
Invesco Senior Loan Etf	138.000	Invesco Senior Loan Etf		3,082.24	3,049.80
iShares 1-3 Year Treasury Bond	7,759.000	iShares 1-3 Year Treasury Bond		670,077.61	663,704.86
iShares 7-10 Year Treasury Bon	71.000	iShares 7-10 Year Treasury Bon		8,213.14	8,165.00
iShares Bloomberg Roll Select ETF	455.000	iShares Bloomberg Roll Select ETF		27,491.10	22,513.40
iShares Core Dividend Growth	868.000	iShares Core Dividend Growth		39,674.93	48,243.44
iShares Core MSCI EAFE ETF	583.000	iShares Core MSCI EAFE ETF		43,148.06	43,515.12
iShares Core Msci Emerging	353.000	iShares Core Msci Emerging		22,066.16	21,130.58
iShares Core S&P 500 ETF	72.650	iShares Core S&P 500 ETF		30,764.69	34,653.32
iShares Core S&P Mid-Cap ETF	320.709	iShares Core S&P Mid-Cap ETF		74,528.68	90,786.42
iShares Core S&P Small-Cap ETF	603.568	iShares Core S&P Small-Cap ETF		72,159.79	69,114.51
iShares Floating Rate Bond ETF	604.000	iShares Floating Rate Bond ETF		30,640.49	30,640.92
iShares Global Energy ETF	1,400.000	iShares Global Energy ETF		30,933.00	38,514.00
iShares Global Tech ETF	291.932	iShares Global Tech ETF		14,613.40	18,797.50
iShares Gold Trust	93.000	iShares Gold Trust		3,333.12	3,237.33
iShares iBoxx Investment Grade	7.000	iShares iBoxx Investment Grade		949.57	927.64
iShares MBS ETF	31.000	iShares MBS ETF		3,412.39	3,330.33
iShares MSCI Canada ETF	105.000	iShares MSCI Canada ETF		3,798.19	4,035.15
iShares MSCI EAFE Small-Cap ETF	201.000	iShares MSCI EAFE Small-Cap ETF		15,229.65	14,691.09
iShares MSCI Eurozone ETF	90.981	iShares MSCI Eurozone ETF		4,671.34	4,458.99
Ishares MSCI USA Quality Fac	41.000	Ishares MSCI USA Quality Fac		5,037.35	5,967.96
Ishares MSCI USA Value Facto	27.511	Ishares MSCI USA Value Facto		2,886.78	3,011.60
iShares Preferred & Income Securities ETF	745.000	iShares Preferred & Income Securities		28,545.90	29,375.35
iShares Russell 2000 ETF	9.000	iShares Russell 2000 ETF		1,764.54	2,002.05
iShares Russell Mid-Cap Growth	314.000	iShares Russell Mid-Cap Growth		32,590.22	36,179.08
iShares S&P 500 Growth ETF	1,167.049	iShares S&P 500 Growth ETF		74,837.20	97,646.99
iShares SILVER TRUST iShares	11,621.000	iShares SILVER TRUST iShares		282,767.97	249,967.71
iShares TIPS Bond ETF	219.000	iShares TIPS Bond ETF		27,994.59	28,294.80
iShares Tr Cybersecurity	58.294	iShares Tr Cybersecurity		2,510.90	2,600.50
iShares Trust iShares 1-5 year Investment Grade Corp Bond ETF	40.000	iShares Trust iShares 1-5 year Invest		2,206.80	2,155.20
iShares U.S. Medical Devices E	887.000	iShares U.S. Medical Devices E		49,416.96	58,408.95
Janus Henderson Global Equity Income I	11,321.982	Janus Henderson Global Equity Income		75,073.08	78,800.99
Janus Henderson Small Cap Value I	542.296	Janus Henderson Small Cap Value I		11,561.53	13,882.78
John Hancock Disciplined Value Mid Cap I	4,447.633	John Hancock Disciplined Value Mid Ca		113,676.62	123,110.48
JPMorgan Equity Income Fund I	7,745.321	JPMorgan Equity Income Fund I		154,002.85	184,725.91
JPMorgan Mid Cap Value L	260.509	JPMorgan Mid Cap Value L		9,750.24	10,839.78
Lazard International Strategic Eq Instl	6,980.511	Lazard International Strategic Eq Ins		117,312.80	114,270.97
Lord Abbett High Yield I	11,046.138	Lord Abbett High Yield I		82,508.66	83,177.42
Lord Abbett Short Duration Income A	15,571.629	Lord Abbett Short Duration Income A		65,551.15	64,777.98
Metropolitan West Total Return Bd I	9,476.645	Metropolitan West Total Return Bd I		103,914.75	103,390.20
MFS® Intl Diversification I	2,623.793	MFS® Intl Diversification I		66,726.61	65,726.01

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MFS® Mid Cap Value R6	4,840.207	MFS® Mid Cap Value R6	137,896.20	156,967.91
MFS® Value Fund R6	1,129.365	MFS® Value Fund R6	51,338.33	61,358.40
Morgan Stanley Bank Deposit Program	219,482.220	Morgan Stanley Bank Deposit Program	219,482.22	219,482.22
Morgan Stanley Inst Global Opp I	2,756.591	Morgan Stanley Inst Global Opp I	122,937.10	117,678.87
Morgan Stanley Inst Growth I	2,970.967	Morgan Stanley Inst Growth I	263,037.63	220,713.14
NASDAQ Clean Edge Green Energy Index	410.000	NASDAQ Clean Edge Green Energy Index	23,513.46	27,867.70
Neuberger Berman Intr Val-Is	1,822.604	Neuberger Berman Intr Val-Is	41,832.28	42,156.83
Nuveen Real Estate Securities I	225.111	Nuveen Real Estate Securities I	4,318.84	5,139.28
O'Shares Global Internet Gia	591.000	O'Shares Global Internet Gia	32,163.14	29,094.93
Oakmark Institutional	207.884	Oakmark Institutional	23,256.81	24,763.14
Oakmark Select Fund-Adv	110.180	Oakmark Select Fund-Adv	6,394.97	7,064.74
OShares US Small-Cap Quality Dividend ETF	462.000	OShares US Small-Cap Quality Dividend	14,988.87	17,177.16
Osterweis Strategic Income	8,213.377	Osterweis Strategic Income	93,625.51	94,453.84
Parnassus Mid Cap Fund-Ins	104.193	Parnassus Mid Cap Fund-Ins	4,662.11	4,729.32
PGIM High Yield Cl Z	21,563.273	PGIM High Yield Cl Z	119,070.30	118,598.00
Pimco Active Bond ETF	62.000	Pimco Active Bond ETF	7,001.05	6,783.42
PIMCO CommoditiesPLUS® Strategy I2	481.765	PIMCO CommoditiesPLUS® Strategy I2	4,666.11	3,743.31
PIMCO Commodity Real Ret Strat Instl	7,203.737	PIMCO Commodity Real Ret Strat Instl	43,184.35	45,743.73
PIMCO Enhanced Short Maturity Active ETF	1,581.000	PIMCO Enhanced Short Maturity Active	160,951.49	160,566.36
PIMCO Income A	559.270	PIMCO Income A	6,772.76	6,677.68
PIMCO Income I2	541.218	PIMCO Income I2	6,525.09	6,462.14
PIMCO Income Instl	12,363.363	PIMCO Income Instl	149,685.28	147,618.55
PIMCO Short-Term Instl	30,649.442	PIMCO Short-Term Instl	301,278.57	299,445.05
PIMCO Total Return Instl	741.525	PIMCO Total Return Instl	7,853.99	7,615.46
Pioneer Fund- Class Y	3,757.264	Pioneer Fund- Class Y	137,176.88	144,880.10
Principal Global Real Estate Sec Instl	602.864	Principal Global Real Estate Sec Inst	5,965.60	7,156.00
Principal MidCap Institutional	259.450	Principal MidCap Institutional	9,462.74	10,857.98
Principal Spectrum Preferred and Capital Securities I	7,696.990	Principal Spectrum Preferred and Capi	80,556.32	79,509.91
Proshares Online Retail ETF	442.000	Proshares Online Retail ETF	32,996.75	25,070.24
ProShares UltraShort FTSE/China 50	62.000	ProShares UltraShort FTSE/China 50	2,023.68	2,500.46
Rydex Monthly Rebalance Nasdaq 100 2x Strategy H	287.780	Rydex Monthly Rebalance Nasdaq 100 2x	140,923.82	155,726.39
Schwab 1000 Index ETF	18,792.000	Schwab 1000 Index ETF	702,632.88	875,331.36
Schwab Emerging Markets Equi	8,041.000	Schwab Emerging Markets Equi	248,040.89	238,174.42
Schwab Fundamental Broad Mkt	4,537.000	Schwab Fundamental Broad Mkt	204,931.78	263,318.41
Schwab Fundamental Em L/C	7,898.000	Schwab Fundamental Em L/C	228,121.41	244,759.02
Schwab Fundamental Intl L/C	15,060.000	Schwab Fundamental Intl L/C	448,307.40	489,600.60
Schwab Intl Equity ETF	12,610.718	Schwab Intl Equity ETF	461,672.13	490,178.62
Schwab Short-Term US Treas	12,223.000	Schwab Short-Term US Treas	628,000.24	621,539.55
Schwab US Broad Market	14,790.000	Schwab US Broad Market	1,345,742.10	1,671,417.90
Schwab US Small Cap	3,477.000	Schwab US Small Cap	309,453.00	356,044.80
Select Sector Spdr Utilities	1,202.866	Select Sector Spdr Utilities	75,261.70	86,101.15
SPDR Blackstone Senior Loan ETF	11.000	SPDR Blackstone Senior Loan ETF	503.86	501.93
Spdr Bloomberg 1-3 Month T-B	832.000	Spdr Bloomberg 1-3 Month T-B	76,086.39	76,069.76
SPDR GOLD TRUST GOLD SHS	259.000	SPDR GOLD TRUST GOLD SHS	45,081.64	44,278.64
SPDR Port Lng Trm Corp Bnd	95.218	SPDR Port Lng Trm Corp Bnd	3,017.92	2,997.46
SPDR Portfolio Emerging Markets ETF	1,133.000	SPDR Portfolio Emerging Markets ETF	49,295.04	47,008.17
SPDR Portfolio Intermediate Term Treasury ETF	3,313.000	SPDR Portfolio Intermediate Term Trea	107,995.49	106,181.65
SPDR Portfolio Long Term Treasury ETF	1,448.000	SPDR Portfolio Long Term Treasury ETF	62,880.92	61,033.20
SPDR Portfolio S&P 1500 Composite Stock Market ETF	1,756.469	SPDR Portfolio S&P 1500 Composite Sto	85,033.71	102,665.61
SPDR Portfolio S&P 400 Mid Cap ETF	1,592.679	SPDR Portfolio S&P 400 Mid Cap ETF	65,967.92	79,219.85
SPDR Portfolio S&P 500 ETF	1,554.998	SPDR Portfolio S&P 500 ETF	68,744.52	86,815.54
SPDR Portfolio S&P 500 Growth ETF	828.000	SPDR Portfolio S&P 500 Growth ETF	46,076.01	60,005.16
SPDR Portfolio S&P 500 High Dividend ETF	887.000	SPDR Portfolio S&P 500 High Dividend	35,179.61	37,298.35
SPDR Portfolio S&P 600 Small Cap ETF	1,426.043	SPDR Portfolio S&P 600 Small Cap ETF	53,247.14	63,729.86
SPDR Portfolio Short Term Treasury ETF	546.000	SPDR Portfolio Short Term Treasury ET	16,765.28	16,614.78
SPDR S&P 500 ETF Trust	1,010.368	SPDR S&P 500 ETF Trust	405,334.52	479,884.39
SPDR S&P 600 S/C Value Fund	2,348.000	SPDR S&P 600 S/C Value Fund	155,414.12	198,922.56
SPDR S&P Global Natural Resources ETF	674.414	SPDR S&P Global Natural Resources ETF	30,404.67	36,391.38

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Sprott Physical Gold and Silver Trust	10,000.000	Sprott Physical Gold and Silver Trust	193,500.00	177,400.00
Sprott Physical Silver Trust	20,000.000	Sprott Physical Silver Trust	186,800.00	160,400.00
T Rowe Price Capital Appreciation - AD	21.523	T Rowe Price Capital Appreciation - AD	800.00	785.37
T. Rowe Price Blue Chip Growth I	4,277.299	T. Rowe Price Blue Chip Growth I	722,982.06	762,343.00
T. Rowe Price Capital Appreciation I	4,261.588	T. Rowe Price Capital Appreciation I	149,346.69	157,636.14
T. Rowe Price Mid-Cap Value	795.594	T. Rowe Price Mid-Cap Value	26,000.00	26,755.83
T. Rowe Price Small-Cap Value	716.527	T. Rowe Price Small-Cap Value	44,379.34	44,274.20
Touchstone Dividend Equity Class Y	1,369.950	Touchstone Dividend Equity Class Y	23,552.87	24,755.00
Vaneck Gold Miners Etf	7,656.000	Vaneck Gold Miners Etf	273,537.30	245,221.68
Vanguard Balanced Index Adm	2,798.402	Vanguard Balanced Index Adm	124,384.28	137,177.67
Vanguard Dividend Apprec ETF	1,182.000	Vanguard Dividend Apprec ETF	176,319.51	203,008.50
Vanguard Emerging Mkts Stock Idx Adm	1,597.084	Vanguard Emerging Mkts Stock Idx Adm	66,577.70	65,480.44
Vanguard Equity-Income Adm	611.214	Vanguard Equity-Income Adm	48,947.31	56,366.16
Vanguard ESG US Stock ETF	45.000	Vanguard ESG US Stock ETF	3,868.37	3,955.05
Vanguard FTSE All WO X US SC	26.000	Vanguard FTSE All WO X US SC	3,406.97	3,482.96
Vanguard FTSE Developed Markets ETF	1,085.000	Vanguard FTSE Developed Markets ETF	52,916.99	55,400.10
Vanguard FTSE Emerging Market	5,066.188	Vanguard FTSE Emerging Market	259,032.69	250,573.65
Vanguard Growth ETF	355.513	Vanguard Growth ETF	90,134.49	114,084.12
Vanguard Index Tr Ext Market ETF	108.000	Vanguard Index Tr Ext Market ETF	18,517.64	19,748.88
Vanguard Intermediate Term C	1,091.000	Vanguard Intermediate Term C	104,953.76	101,201.16
Vanguard International Bond	623.000	Vanguard International Bond	36,233.15	34,364.68
Vanguard Mega Cap Growth ETF	516.600	Vanguard Mega Cap Growth ETF	106,925.85	134,708.62
Vanguard Mid-Cap ETF	577.032	Vanguard Mid-Cap ETF	120,299.92	147,010.44
Vanguard Mid - Cap Growth Index	142.000	Vanguard Mid - Cap Growth Index	30,275.76	36,156.04
Vanguard Mid Cap Index Admiral	306.279	Vanguard Mid Cap Index Admiral	78,667.85	96,618.77
Vanguard Real Estate ETF	342.000	Vanguard Real Estate ETF	31,466.94	39,675.42
Vanguard Russell 2000	1,709.000	Vanguard Russell 2000	151,197.17	153,485.29
Vanguard S&P 500 ETF	170.000	Vanguard S&P 500 ETF	63,850.84	74,216.90
Vanguard S/T Corp Bond Etf	96.000	Vanguard S/T Corp Bond Etf	7,969.75	7,800.96
Vanguard Short-Term Investment-Grade Adm	23,994.174	Vanguard Short-Term Investment-Grade	263,198.51	258,417.25
Vanguard Short-Term Tips	2,525.600	Vanguard Short-Term Tips	131,985.88	129,815.82
Vanguard Small- Cap ETF	1,197.317	Vanguard Small- Cap ETF	234,464.51	270,605.62
Vanguard Small -Cap Gr ETF	106.000	Vanguard Small -Cap Gr ETF	28,675.15	29,869.74
Vanguard Small Cap Index Adm	128.606	Vanguard Small Cap Index Adm	12,008.75	13,937.03
Vanguard Total Bond Market	10,231.144	Vanguard Total Bond Market	889,282.36	867,089.44
Vanguard Total Stock Market ETF	1,703.994	Vanguard Total Stock Market ETF	332,826.36	411,412.31
Vanguard Trustees Equity Commodity Stra	1,780.467	Vanguard Trustees Equity Commodity St	56,344.28	48,856.01
Vanguard Value ETF	1,717.332	Vanguard Value ETF	206,406.84	252,636.71
Vanguard Wellesley® Income Admiral™	1,364.492	Vanguard Wellesley® Income Admiral™	93,715.87	95,691.82
Virtus KAR Small-Cap Growth I	1,706.434	Virtus KAR Small-Cap Growth I	93,122.20	83,256.91
Virtus Vontobel Emerging Markets Opps I	5,128.818	Virtus Vontobel Emerging Markets Opps	64,330.69	51,595.91
Washington Mutual Invs Fund CL F3	2,319.345	Washington Mutual Invs Fund CL F3	117,250.17	140,204.41
Western Asset Core Bond I	244.742	Western Asset Core Bond I	3,218.86	3,203.67
WisdomTree Global Ex-U.S. Quality Dividend Growth Fund	1,206.000	WisdomTree Global Ex-U.S. Quality Div	47,829.64	52,545.42
WisdomTree Mgd Futures Strat Fund	50.000	WisdomTree Mgd Futures Strat Fund	1,913.89	1,772.00
Xtrackers Harvest CSI 300 China A-Shares ETF	5,300.000	Xtrackers Harvest CSI 300 China A-Sha	212,318.00	207,177.00
Xtrackers Usd High Yield Cor	331.000	Xtrackers Usd High Yield Cor	13,249.79	13,187.04
Value of Funds Held in Insurance Co. General Account (Unallocated Contracts)				
Pacific Life Insurance Company Annuity Contract VR09028437	1.000	Pacific Life Insurance Company Annuit	147,816.14	175,217.35
Value of Funds Held in Other General Investments				
Countrywide Alternative Loan T 2005-6Cb 1A4	9,027.408	Countrywide Alternative Loan T 2005-6	8,881.07	8,778.61
Bear Stearns Alt-A Trust 2005-4 21A1	28,463.522	Bear Stearns Alt-A Trust 2005-4 21A1	28,806.64	29,138.96
California Stwd Cmnty Dev Aut Txbl-Silverlake Equestrian & S	75,000.000	California Stwd Cmnty Dev Aut Txbl-S	68,220.00	65,175.75
Arizona St Indl Dev Auth Edu R Txbl-Basis Schs Projs-Ser A	100,000.000	Arizona St Indl Dev Auth Edu R Txbl-B	96,582.00	101,041.00

(a)	(b)	(c)	(d)	(e)
IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	DESCRIPTION OF INVESTMENT including maturity date, rate of interest, collateral, par or maturity value	COST	CURRENT VALUE
Dsla Mortgage Loan Trust 2004-Ar4 2A1A	13,875.403	Dsla Mortgage Loan Trust 2004-Ar4 2A1	12,520.90	12,065.77