

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	☐

Part II	Basic Plan Information—enter all requested information				
1a Name of plan <u>BOUND TO STAY BOUND BOOKS, INC. 401K PROFIT SHARING PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>003</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1986</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>003</u>	1c Effective date of plan <u>01/01/1986</u>	
1b Three-digit plan number (PN) ▶	<u>003</u>				
1c Effective date of plan <u>01/01/1986</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>BOUND TO STAY BOUND BOOKS, INC.</u> <u>1880 W MORTON AVE</u> <u>JACKSONVILLE, IL 62650-2619</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>37-0439010</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>217-245-5191</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>323100</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>37-0439010</u>	2c Plan Sponsor's telephone number <u>217-245-5191</u>	2d Business code (see instructions) <u>323100</u>	
2b Employer Identification Number (EIN) <u>37-0439010</u>					
2c Plan Sponsor's telephone number <u>217-245-5191</u>					
2d Business code (see instructions) <u>323100</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2022	LORI SMITH
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	133
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	97
a(2) Total number of active participants at the end of the plan year		6a(2)	82
b Retired or separated participants receiving benefits.....		6b	12
c Other retired or separated participants entitled to future benefits		6c	29
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	123
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	1
f Total. Add lines 6d and 6e		6f	124
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	123
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	5
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2J 3D			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan BOUND TO STAY BOUND BOOKS, INC. 401K PROFIT SHARING PLAN	B Three-digit plan number (PN) ▶	003
C Plan sponsor's name as shown on line 2a of Form 5500 BOUND TO STAY BOUND BOOKS, INC.	D Employer Identification Number (EIN) 37-0439010	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MORGAN STANLEY SMITH BARNEY LLC

227 WEST MONROE STREET STE 3400
CHICAGO, IL 60606

20-8764829

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 50 99 33 71 49 72	RECORDKEEPER , INV ADVISO	2049	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>		
A Name of plan <u>BOUND TO STAY BOUND BOOKS, INC. 401K PROFIT SHARING PLAN</u>	B Three-digit plan number (PN) ►	<u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>BOUND TO STAY BOUND BOOKS, INC.</u>	D Employer Identification Number (EIN) <u>37-0439010</u>	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	200	107
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	76000	77000
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)	95222	86989
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	571539	1124050
(2) U.S. Government securities	1c(2)	293211	222417
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	8814002	8138007
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	31933	21546
(B) Common	1c(4)(B)	6600816	6579532
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	15345	2575
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	9189786	9065212
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	2150400	2332800
(2)	Employer real property.....		
e	Buildings and other property used in plan operation		
f	Total assets (add all amounts in lines 1a through 1e)	27838454	27650235
Liabilities			
g	Benefit claims payable		
h	Operating payables		
i	Acquisition indebtedness.....		
j	Other liabilities.....		
k	Total liabilities (add all amounts in lines 1g through 1j)	0	0
Net Assets			
l	Net assets (subtract line 1k from line 1f).....	27838454	27650235

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	77000	
	(B) Participants	285610	
	(C) Others (including rollovers).....		
(2)	Noncash contributions.....		
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)		362610
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	12	
	(B) U.S. Government securities	12347	
	(C) Corporate debt instruments	296917	
	(D) Loans (other than to participants)		
	(E) Participant loans.....	479	
	(F) Other		
	(G) Total interest. Add lines 2b(1)(A) through (F)		309755
(2)	Dividends: (A) Preferred stock.....		
	(B) Common stock	171135	
	(C) Registered investment company shares (e.g. mutual funds).....	220315	
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)		391450
(3)	Rents		
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	8844768	
	(B) Aggregate carrying amount (see instructions).....	8924585	
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result		-79817
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate		
	(B) Other	2681199	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)		2681199

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		3665197
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	3850982	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		3850982
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)	420	
(3) Investment advisory and management fees.....	2i(3)	2014	
(4) Other.....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		2434
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		3853416
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		-188219
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☐ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☒ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: KERBER, ECK & BRAECKEL LLP

(2) EIN: 43-0352985

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)		X	
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan BOUND TO STAY BOUND BOOKS, INC. 401K PROFIT SHARING PLAN		B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 BOUND TO STAY BOUND BOOKS, INC.		D Employer Identification Number (EIN) 37-0439010
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 37-1219156		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year		3
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2021 v. 201209		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

Financial Statements
and
Independent Auditors' Report



Bound To Stay Bound Books, Inc.
Retirement Trust 401(k) Profit Sharing Plan

December 31, 2021 and 2020

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Independent Auditors' Report

The Trustees and Administrative Committee
Bound to Stay Bound Books, Inc.
Retirement Trust 401(k) Profit Sharing Plan

Opinion

We have audited the financial statements of Bound to Stay Bound, Inc. Retirement Trust 401(k) Profit Sharing Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of December 31, 2021 and 2020, and the related statement of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

In our opinion, the accompanying financial statements present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2021 and 2020, and the changes in net assets available for benefits for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matter — Supplemental Schedule Required by ERISA

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of assets (held at end of year) is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedule is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Keiser, Eck + Braedel LLP

Springfield, Illinois
October 10, 2022

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
December 31

	<u>2021</u>	<u>2020</u>
Investments, at fair value		
Marketable securities	\$ 25,150,764	\$ 25,502,730
Bound to Stay Bound Books, Inc. common stock	<u>2,332,800</u>	<u>2,150,400</u>
Total investments	27,483,564	27,653,130
Receivables		
Notes receivable from participants	2,575	15,345
Employer contributions	77,000	76,000
Accrued interest and dividend income	<u>86,989</u>	<u>93,779</u>
Total receivables	166,564	185,124
Non-interest bearing cash	<u>107</u>	<u>200</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u><u>\$ 27,650,235</u></u>	<u><u>\$ 27,838,454</u></u>

The accompanying notes are an integral part of these statements.

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
Years ended December 31

	<u>2021</u>	<u>2020</u>
ADDITIONS		
Investment income		
Interest and dividends	\$ 700,726	\$ 638,404
Net appreciation in the fair value of investments	<u>2,601,382</u>	<u>3,315,896</u>
Net investment income	3,302,108	3,954,300
Less investment expenses	<u>2,014</u>	<u>1,772</u>
	3,300,094	3,952,528
Interest on notes receivable from participants	479	1,004
Contributions		
Participants	285,610	322,331
Employer	<u>77,000</u>	<u>76,000</u>
Total additions	3,663,183	4,351,863
DEDUCTIONS		
Benefits paid to participants	3,850,982	3,390,682
Fees	<u>420</u>	<u>660</u>
Total deductions	<u>3,851,402</u>	<u>3,391,342</u>
Increase (decrease) in net assets available for benefits	(188,219)	960,521
Net assets available for benefits at beginning of year	<u>27,838,454</u>	<u>26,877,933</u>
Net assets available for benefits at end of year	<u><u>\$ 27,650,235</u></u>	<u><u>\$ 27,838,454</u></u>

The accompanying notes are an integral part of these statements.

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
NOTES TO FINANCIAL STATEMENTS
December 31, 2021 and 2020

NOTE A | DESCRIPTION OF PLAN

The following description of the Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan (the Plan) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

1. General

The Plan is a defined contribution 401(k) profit sharing plan covering all full-time employees of Bound to Stay Bound Books, Inc. (the Company) who have six months of service, are of age 20 ½ years and performed 1,000 hours of service annually. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). Morgan Stanley accumulates and invests contributions as directed by the Administrative Committee.

2. Contributions

The Company is not required to make annual contributions to the Plan. Amounts contributed are at the discretion of the Board of Directors of the Company. Participants may make voluntary employee salary reduction contributions subject to certain IRS limitations.

3. Participant Accounts

Each participant's account is credited with the participant's salary reduction contribution, the employer's contribution, an allocation of the Plan earnings, and forfeitures of terminated participants' non-vested accounts. Allocations of the Plan earnings are based on pro rata participant account balances, as defined by the Plan document. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

4. Vesting

Participants' vesting is based on continuous service. Employees' salary reduction contributions and earnings thereon are 100% vested. Vesting of the other account elements is based on the vesting schedule as follows:

<u>Years of Service</u>	<u>Percent Vested</u>
Less than 1	0 %
1 but less than 2	0
2 but less than 3	20
3 but less than 4	40
4 but less than 5	60
5 but less than 6	80
6 or more	100

Participants will also become 100% vested at normal retirement, if they become disabled or upon death.

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
NOTES TO FINANCIAL STATEMENTS
December 31, 2021 and 2020

NOTE A | DESCRIPTION OF PLAN

5. Benefits

On termination of service due to death, disability or retirement, a participant may elect to receive a lump-sum amount equal to the value of the participant's account, a qualified joint and survivor annuity if the participant has been married for at least one year at the retirement date, or various annuity and installment payment options if the participant has not been married for at least one year at the retirement date. For termination of service due to other reasons, a participant may receive the value of the vested interest in his/her account as a lump-sum distribution.

6. Notes Receivable from Participants

Participants may borrow from their accounts a minimum of \$ 1,000 up to a maximum equal to the lesser of \$ 50,000 or 50% of their vested interest in the Plan. Loans are to be for acquiring a principal residence, college tuition and expenses, or medical expenses not covered by insurance. Loans are to be paid within five years unless the purpose of the loan is to acquire a primary residence. The loans must be adequately secured and bear interest at a rate commensurate with local prevailing rates as determined by the Plan administrator.

7. Forfeited Accounts

These accounts can be used to supplement employer contributions and are allocated to participant accounts at the end of the Plan year. Account balances forfeited during the years ended December 31, 2021 and 2020, were \$ 929 and \$ 274, respectively. There were no unallocated forfeitures at December 31, 2021 and 2020.

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
NOTES TO FINANCIAL STATEMENTS
December 31, 2021 and 2020

NOTE B | SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A summary of the significant accounting policies consistently applied in the preparation of the accompanying financial statements follows:

1. Basis of Accounting

The financial statements of the Plan are prepared using the accrual method of accounting.

2. Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

3. Investment Valuation and Income Recognition

The Plan's investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See note C for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

4. Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based upon the terms of the Plan document.

5. Benefits

Benefits are recorded when paid.

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
NOTES TO FINANCIAL STATEMENTS
December 31, 2021 and 2020

NOTE B | SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

6. Plan Expenses

Bound to Stay Bound Books, Inc., the Plan's sponsor, pays all costs of administering the Plan. Expenses that are paid by the Company are excluded from these financial statements. Fees related to participant distributions are paid from the withdrawing participant's funds and are included on the statement of changes in net assets available for benefits. Certain investment related expenses are included in net appreciation of fair value of investments.

7. Subsequent Events

Management has evaluated subsequent events for recognition and disclosure in the financial statements through October 10, 2022, which is the date the financial statements were available to be issued. Through October 10, 2022, no subsequent events required recognition or disclosure in the financial statements.

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
NOTES TO FINANCIAL STATEMENTS
December 31, 2021 and 2020

NOTE C | FAIR VALUE MEASUREMENTS

The Plan follows the fair value measurement guidance for financial assets and financial liabilities. The guidance defines fair value, establishes a framework for measuring fair value and expands disclosure about fair value measurements.

Fair value is defined to be the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. A three-level hierarchy has been established for fair value measurements based upon the inputs to the valuation of an asset or liability as follows:

Level 1 - Valuation is based on quoted prices for identical assets and liabilities in active markets.

Level 2 - Valuation is derived from inputs, other than quoted prices included in Level 1, which are observable for the asset or liability either directly or indirectly. Investments classified as Level 2 are generally valued by benchmarking model-derived prices to quoted market prices and trade data for identical or comparable securities. The significant inputs used in this approach include interest rates, prepayment timing, yield spreads, maturities, credit losses and credit ratings of the securities.

Level 3 - Valuation is derived from unobservable inputs that are not corroborated by market data.

Fair values of publicly traded common stocks, preferred stocks and exchange-traded funds have been determined from observable market quotations. The mutual funds are an actively traded open-ended mutual funds registered with the SEC and are valued at the daily closing prices as reported by the funds. These funds are required to publish their daily net asset value (NAV) and to transact at that price. U.S. Government agency securities and corporate debt securities have been valued using market quotations of similar financial instruments.

The estimated fair value of Bound to Stay Bound Books, Inc. common stock was determined by an independent valuation and approved by management of the company. The valuation was based upon an adjusted net tangible valuation technique consistent with prior years. The appraiser took into account historical and projected cash flow and net income, return on assets, return on equity, market comparables and estimated fair value of company assets and liabilities. Unobservable inputs used by the appraiser included EBITA, net income, weighted average cost of capital, cash flow, discount rate and discounts for lack of marketability and minority interests. There were no changes to these techniques in 2021 or 2020.

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
NOTES TO FINANCIAL STATEMENTS
December 31, 2021 and 2020

NOTE C | FAIR VALUE MEASUREMENTS

The following table presents the Plan's fair value hierarchy for those assets measured at fair value on a recurring basis as of December 31:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
<u>2021</u>				
Investments				
Common stocks	\$ 6,579,532	\$ -	\$ -	\$ 6,579,532
Preferred stock	21,546	-	-	21,546
Exchange-traded funds	7,058,839	-	-	7,058,839
Mutual funds	2,006,373	-	-	2,006,373
U.S. Government agency securities	-	222,417	-	222,417
Corporate debt securities	-	8,138,007	-	8,138,007
Interest bearing cash	1,124,050	-	-	1,124,050
Bound to Stay Bound Books, Inc. common stock	-	-	2,332,800	2,332,800
Total	<u>\$ 16,790,340</u>	<u>\$ 8,360,424</u>	<u>\$ 2,332,800</u>	<u>\$ 27,483,564</u>
<u>2020</u>				
Investments				
Common stocks	\$ 6,602,259	\$ -	\$ -	\$ 6,602,259
Preferred stock	31,933	-	-	31,933
Exchange-traded funds	7,058,908	-	-	7,058,908
Mutual fund	2,130,878	-	-	2,130,878
U.S. Government agency securities	-	293,211	-	293,211
Corporate debt securities	-	8,814,002	-	8,814,002
Interest bearing cash	571,539	-	-	571,539
Bound to Stay Bound Books, Inc. common stock	-	-	2,150,400	2,150,400
Total	<u>\$ 16,395,517</u>	<u>\$ 9,107,213</u>	<u>\$ 2,150,400</u>	<u>\$ 27,653,130</u>

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
NOTES TO FINANCIAL STATEMENTS
December 31, 2021 and 2020

NOTE C | FAIR VALUE MEASUREMENTS

The following table presents a reconciliation of all Level 3 assets measured at fair value on a recurring basis for the year January 1 to December 31:

	<u>2021</u>	<u>2020</u>
Beginning balance	\$ 2,150,400	\$ 1,632,000
Unrealized gain	<u>182,400</u>	<u>518,400</u>
Ending balance	<u>\$ 2,332,800</u>	<u>\$ 2,150,400</u>
The amount of total gains for the period attributable to the change in unrealized gains or losses relating to assets still held at the reporting date	<u>\$ 182,400</u>	<u>\$ 518,400</u>

NOTE D | PLAN TERMINATION

Although the Company has not expressed any intent to do so, the Company has the right under the Plan to terminate the Plan subject to the provisions of ERISA. In the event of partial or total Plan termination, participants will be entitled to the full amount in their accounts as of the date of termination, regardless of the percent vested at the time of termination.

NOTE E | TAX STATUS

The Plan is qualified under Section 401(a) and the trust established under the Plan is exempt under Section 501(a) of the Internal Revenue Code. The Plan is a volume submitter plan and obtained its last opinion letter dated June 30, 2020, in which the Internal Revenue Service stated that the form of the Plan was in compliance with the applicable requirements of the Internal Revenue Code. Although the Plan has been restated and amended since this determination, the Plan administrator believes the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

Accounting principles generally accepted in the United States of America require the Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
NOTES TO FINANCIAL STATEMENTS
December 31, 2021 and 2020

NOTE F | RELATED-PARTY AND PARTY-IN-INTEREST TRANSACTIONS

The Plan purchased 96 shares of Bound to Stay Bound Books, Inc. common stock during 2000 for \$ 1,179,760. As Bound to Stay Bound Books, Inc. is the Plan sponsor, transactions involving Bound to Stay Bound Books, Inc. common stock qualify as party-in-interest transactions. The estimated fair market value of this stock at December 31, 2021 and 2020, was \$ 2,332,800 and \$ 2,150,400, respectively.

Certain plan investments are managed by Morgan Stanley. Morgan Stanley is the custodian for the Plan and therefore, these transactions qualify as party-in-interest transactions.

Williams Benefit Consulting is the record keeper of the Plan; therefore, any transactions with them qualify as party-in-interest transactions.

NOTE G | RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and such changes could materially affect the amounts reported in the statements of net assets available for benefits. As of the date of this report, the current economic environment has increased the degree of uncertainty.

SUPPLEMENTARY INFORMATION

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
EIN 37-1219156
Plan #003
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
Common stock				
*	Bound to Stay Bound Books, Inc. common stock	96 shares	1,179,760	\$ 2,332,800
	3M Company	72 shares	11,950	12,789
	A O Smith Corp	184 shares	9,607	15,796
	Abb Ltd	807 shares	15,820	30,803
	Acadia Healthcare Company Inc	199 shares	8,016	12,079
	Accenture Plc Ireland Cl A	96 shares	14,929	39,797
	Aci Worldwide Inc	198 shares	3,886	6,871
	Activision Blizzard Inc	480 shares	31,859	31,934
	Adidas Ag	143 shares	24,909	20,592
	Adobe Inc	55 shares	3,957	31,188
	Adyen N V Unspnsred Adr	696 shares	6,339	18,319
	Aercap Holdings N. V.	657 shares	16,900	42,981
	Aes Corp	1,306 shares	15,013	31,736
	AIA Group Ltd Spon Adr	524 shares	13,166	21,130
	Air Liquide Adr	788 shares	24,251	27,478
	Airbnb Inc Cl A	73 shares	10,316	12,154
	Akzo Nobel Nv Adr	719 shares	27,629	26,416
	Alleghany Cp Delaware	34 shares	16,766	22,698
	Allstate Corp	144 shares	14,368	16,942
	Alphabet Inc CL C	26 shares	16,757	75,233
	Amazon Com Inc	26 shares	13,161	86,693
	Amdocs Limited Ord	264 shares	15,657	19,758
	Amer Intl Gp Inc New	773 shares	39,492	43,953
	American Campus Cmmtys Inc	120 shares	4,683	6,875
	Ametek Inc New	121 shares	5,599	17,792
	Amicus Therapeutics Inc	829 shares	10,485	9,575
	Anglo Amern Plc Sponsored Adr	908 shares	18,312	18,687
	Aon Plc Shs Cl-A	119 shares	16,756	35,767
	Apple Inc	332 shares	8,968	58,953
	Applied Materials Inc	281 shares	4,395	44,218
	Aptargroup Inc	51 shares	3,203	6,246
	Arch Capital Group Ltd	920 shares	26,595	40,894
	Ares Management Corp Cl A	130 shares	9,669	10,565
	Argenx Se Adr	22 shares	3,427	7,704
	Arista Networks Inc	192 shares	11,267	27,600
	Arrow Electronics	381 shares	28,393	51,157
	Arrowhead Pharmaceuticals Inc	230 shares	3,120	15,249
	Artisan Partners Asset Mgmt	64 shares	2,242	3,049
	Atlantic UN Bankshares Corp	203 shares	7,859	7,570
	Atlassian Corp Plc Cl A	48 shares	9,726	18,302
	Atmos Energy Cp	281 shares	25,596	29,440
	Atcure Inc Com	145 shares	6,450	10,082
Common stock balance carried forward			1,741,253	3,399,865

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
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Plan #003
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
	Common stock balance brought forward		\$ 1,741,253	\$ 3,399,865
	Avient Corporation	133 shares	6,282	7,441
	Azenta Inc	56 shares	4,948	5,774
	Banco Santander S.A.	5,095 shares	11,989	16,763
	Bandai Namco Hldgs Inc Adr	415 shares	16,860	16,144
	Bank of America Corp	1,252 shares	16,742	55,701
	Barclays Plc Adr	2,452 shares	25,673	25,378
	Barrick Gold Corp	2,320 shares	33,709	44,080
	Bausch Health Cos Inc	614 shares	12,766	16,953
	Baxter Intl Inc	502 shares	27,940	43,092
	Becton Dickinson & Co	122 shares	24,886	30,681
	Beigene Ltd	31 shares	5,084	8,399
	Berkshire Hathaway CL-B New	101 shares	15,046	30,199
	Berry Global Group Inc	236 shares	10,249	17,412
	Bio Rad Lab A	18 shares	5,359	13,600
	Biohaven Pharma Hldg Co Ltd	40 shares	4,846	5,512
	Biontech Se Adr	27 shares	6,611	6,961
	BJS Whsl Club Hldgs Inc	254 shares	6,759	17,010
	Blackline Inc	79 shares	6,402	8,180
	Block Inc Cl A	76 shares	13,443	12,275
	Booz Allen Hamilton Hldg Cl-A	76 shares	2,342	6,444
	BP Plc Ads	1,057 shares	27,081	28,148
	Bright Horizons Family Solut	50 shares	5,888	6,294
	Bristol Myers Squibb Co	650 shares	32,720	40,528
	Brookfield Asset Mgmt Cl A Ltd	610 shares	14,070	36,832
	Bureau Veritas SA Adr	263 shares	16,942	17,576
	Burlington Stores Inc	26 shares	1,326	7,579
	Carlsberg As	779 shares	15,993	27,047
	Carmax Inc	121 shares	11,433	15,758
	Carter's	48 shares	4,656	4,859
	Catalent Inc	108 shares	2,469	13,827
	Caterpillar Inc	117 shares	22,536	24,189
	Cavco Industries Inc	37 shares	3,389	11,753
	Centene Corporation	653 shares	37,026	53,807
	Cerence Inc	104 shares	4,212	7,971
	Championx Corporation	547 shares	6,329	11,055
	Charles Schwab New	351 shares	9,286	29,558
	Chevron Corp	184 shares	19,361	21,592
	Chipotle Mexican Grill Inc Com	26 shares	21,376	45,455
	Chubb Ltd	130 shares	14,161	25,130
	Cigna Corp	192 shares	31,122	44,089
	Cisco Sys Inc	1,012 shares	40,888	64,130
	Common stock balance carried forward		2,341,453	4,325,041

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
EIN 37-1219156
Plan #003
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
	Common stock balance brought forward		\$ 2,341,453	\$ 4,325,041
	Citigroup Inc	600 shares	30,962	36,234
	Citizens Financial Group Inc	393 shares	9,221	18,569
	Clarivate Plc	722 shares	18,225	16,981
	Cognex Corp	255 shares	7,274	19,829
	Colfax Corp	513 shares	8,904	23,583
	Columbus McKinnon Corp	104 shares	5,204	4,811
	Comfort Systems USA Inc	88 shares	7,221	8,707
	Compagnie Fin richemontag Adr	1,051 shares	13,040	15,712
	Compass Group Plc Spd Adr	1,049 shares	21,820	24,200
	Contl Ag Spons Adr	1,913 shares	21,105	20,163
	Corning Inc	1,342 shares	36,492	49,963
	Costco Wholesale Corp New	28 shares	4,370	15,896
	Crowdstrike Hldgs Inc Cl A	66 shares	4,369	13,514
	Csx Corp	805 shares	9,890	30,268
	Daikin Inds Ltd Unspn Adr	809 shares	16,894	18,332
	Daiwa House Ind Ltd Adr	558 shares	17,157	16,015
	Danaher Corporation	35 shares	8,541	11,515
	DBS Group Holdings Ltd Sp	196 shares	8,911	19,083
	Decker Outdoor Corporation	50 shares	16,655	18,316
	Dentsply Sirona Inc	521 shares	32,227	29,067
	Devon Energy Corp New	706 shares	16,626	31,099
	Dexcom Inc	27 shares	4,362	14,498
	Diamondback Energy Inc	118 shares	6,906	12,726
	Dicks Sporting Goods Inc	92 shares	2,733	10,579
	Dolby Cla A Com	133 shares	5,248	12,664
	Dorman Products, Inc	68 shares	3,211	7,685
	Eagle Matls Inc	60 shares	4,897	9,988
	Easterly Govt Pptys Inc Com	301 shares	5,647	6,899
	Element Solutions Inc	516 shares	8,603	12,528
	Eli Lilly & Co	68 shares	14,019	18,783
	Emcor Group Inc	100 shares	5,848	12,739
	Enel Societa Per Azioni Adr	3,070 shares	25,910	24,437
	Engie Spons Adr	2,316 shares	30,639	34,230
	Entegris Inc	242 shares	2,102	33,536
	Equinor Asa	503 shares	9,719	13,244
	Estee Lauder Co Inc Cl A	54 shares	5,801	19,991
	Etsy Inc Com	100 shares	4,405	21,894
	Exelixis Inc	617 shares	13,019	11,279
	Exponent Inc	72 shares	1,688	8,405
	Federal Signal Corp	255 shares	7,838	11,052
	Ferguson Plc	157 shares	11,854	27,868
	Common stock balance carried forward		2,831,010	5,091,923

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
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Plan #003
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
	Common stock balance brought forward		\$ 2,831,010	\$ 5,091,923
	Fidelity National Financial Inc	725 shares	23,657	37,831
	Firstenergy Cory	1,529 shares	46,104	63,591
	Five Below	53 shares	3,110	10,965
	FMC Corp New	500 shares	26,326	54,945
	Fortinet Inc	82 shares	2,908	29,471
	Freeport-Mcmoran Cl-B	1,087 shares	13,695	45,361
	Fujitsu Ltd Adr New	572 shares	15,592	19,745
	Gartner Inc	35 shares	3,425	11,701
	Gates Industrial Corp Plc	698 shares	10,476	11,105
	General Mills Inc	386 shares	22,406	26,009
	Gentherm Inc Com	74 shares	5,115	6,431
	Gibraltar Industries Inc	143 shares	6,135	9,535
	Gildan Activewear Inc	747 shares	12,794	31,665
	Glacier Bancorp Inc New	111 shares	1,717	6,294
	Globant S. A	34 shares	9,497	10,679
	Globe Life Inc	205 shares	19,145	19,213
	Globus Medical Inc A	91 shares	4,305	6,570
	Godaddy Inc	169 shares	12,317	14,341
	Goldman Sachs Grp Inc	27 shares	8,913	10,329
	Graco Inc	114 shares	3,087	9,191
	Grand Canyon Ed Inc Com	51 shares	5,978	4,371
	Guardant Health Inc	70 shares	4,392	7,001
	Hanesbrands Inc	1,345 shares	21,437	22,488
	HCA Healthcare Inc	98 shares	10,654	25,178
	Hexcel Corp New	103 shares	5,665	5,335
	Hitachi 10 Com New Adr	174 shares	13,562	18,856
	Home Depot Inc	35 shares	11,693	14,525
	Horizon Therapeutics Pub Ltd	119 shares	9,145	12,823
	Hubspot, Inc	11 shares	1,663	7,251
	Hudson Pacific Properties	274 shares	7,390	6,771
	Humana Inc	82 shares	17,296	38,037
	Hyatt Hotels Corp Com Cl A	224 shares	13,873	21,482
	IAA Inc	574 shares	33,513	29,056
	Icon Plc	33 shares	6,978	10,220
	Idacorp Inc	82 shares	7,074	9,291
	Inari Med Inc	73 shares	4,969	6,663
	Industria De Diseno Textil Ind	1,239 shares	20,279	20,058
	Infineon Technologies Ag	231 shares	10,173	10,626
	Ingersoll Rand Inc	211 shares	4,703	13,055
	Ingredion Inc Com	133 shares	12,699	12,853
	Insperty Inc Com	98 shares	9,200	11,575
	Common stock balance carried forward		3,314,070	5,834,410

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
EIN 37-1219156
Plan #003
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
	Common stock balance brought forward		\$ 3,314,070	\$ 5,834,410
	Integer Holdings Corp	55 shares	3,960	4,707
	Intuitive Surgical Inc	25 shares	8,163	8,983
	Invesco Ltd	599 shares	14,296	13,789
	Irhythm Technologies Inc	151 shares	10,834	17,771
	Jack Henry & Assoc Inc	55 shares	7,359	9,184
	Jacobs Engr Group Inc	77 shares	10,352	10,721
	Jazz Pharmaceuticals Plc	59 shares	8,570	7,517
	Johnson & Johnson	199 shares	21,619	34,043
	JPMorgan Chase & Co	375 shares	23,485	59,381
	Kemper Corp Del Com	89 shares	6,757	5,232
	Kering S A Adr New	157 shares	7,823	12,640
	Kinsale Cap Group Inc	40 shares	5,132	9,516
	KKR & Co Inc. Cl A	80 shares	5,970	5,960
	Kraft Heinz Co Com	292 shares	9,748	10,483
	Laboratory Cp Amer Hldgs New	45 shares	5,470	14,139
	Lancaster Colony Crp	47 shares	7,597	7,783
	Legrand SA	1,053 shares	22,773	24,598
	Leidos Hldgs Inc	382 shares	31,808	33,960
	Lithia Motors Inc A	64 shares	8,663	19,005
	London Stk Exchange Group Adr	1,314 shares	33,235	30,997
	Lowes Companies Inc	275 shares	19,968	71,082
	Lululemon Athletica Inc	28 shares	3,367	10,961
	Lvmh Moet Hennessy Louis Vuitt	125 shares	14,698	20,688
	Makita Corporation Ltd Adr New	657 shares	28,552	28,028
	Manhattan Assoc Inc	81 shares	11,717	12,595
	Marathon Petroleum Corp	475 shares	22,262	30,395
	Marriott Intl Inc New Cl A	41 shares	6,113	6,775
	Mastercard Inc Cl A	46 shares	2,139	16,529
	Match Gorup Inc	78 shares	7,372	10,316
	Medtronic Plc Shs	478 shares	43,642	49,449
	Merck Kgaa Spd Adr	536 shares	15,840	27,926
	Meta Platforms Inc Cl A (FB)	67 shares	4,339	22,535
	Metlife Incorporated	601 shares	25,876	37,556
	Microsoft Corp	167 shares	13,662	56,165
	Mohawk Industries Inc	73 shares	6,172	13,299
	Molina Healthcare Inc	84 shares	11,413	26,719
	Mongodb Inc Cl A	20 shares	7,450	10,587
	Morgan Stanley	501 shares	12,351	49,178
	Netflix Inc	49 shares	4,778	29,520
	Neurocrine Biosciences Inc	164 shares	15,787	13,968
	Nike Inc B	110 shares	8,866	18,334
	Common stock balance carried forward		3,854,048	6,737,424

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
EIN 37-1219156
Plan #003
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
	Common stock balance brought forward		\$ 3,854,048	\$ 6,737,424
	Nordson Cp	89 shares	6,702	22,719
	Nordstrom Inc	137 shares	5,145	3,099
	Novartis Ag Adr	234 shares	16,806	20,468
	Novocure Ltd	83 shares	5,992	6,232
	Nvidia Corporation	191 shares	4,482	56,175
	NY Times Cl A Common	272 shares	9,143	13,138
	Okta, Inc Cl A	28 shares	6,275	6,277
	Omnicom Group	346 shares	23,406	25,351
	Outset Med Inc Com	132 shares	6,280	6,084
	Paccar Inc	204 shares	16,758	18,005
	Paylocity Holding Corporation	46 shares	9,194	10,863
	Paypal Hldgs Inc. Com	59 shares	3,605	11,126
	Pearson Plc Sp Adr	1,333 shares	11,395	11,197
	Performance Food Group Co	130 shares	2,886	5,966
	Pernod Richard Sa Unspons Adr	439 shares	15,158	21,283
	PG&E Corporation	2,346 shares	24,189	28,480
	Philip Morris Intl Inc.	775 shares	64,766	73,625
	Physicians Realty Trust	366 shares	7,055	6,892
	Pinnacle Final Partinners Inc	125 shares	7,049	11,938
	Piper Sandler Companies	51 shares	3,922	9,104
	PNC Finl Svcs Gp	64 shares	5,494	12,833
	Polaris Industries Inc	86 shares	7,966	9,452
	Portland General Elec Co	156 shares	5,816	8,256
	Power Integrations Inc	190 shares	8,205	17,649
	Progressive Corp Ohio	252 shares	12,419	25,868
	Prudential Plc Adr	361 shares	13,482	12,429
	PS Business Parks Inc Calif	25 shares	4,510	4,604
	Pubmatic Inc Com Cl A	338 shares	12,443	11,509
	Quaker Chemical Corporation	35 shares	2,603	8,077
	Qualcomm Inc.	295 shares	23,591	53,947
	Qurate Retail Inc Qvc Gr Ser A	2,854 shares	25,018	21,690
	R P M Inc	84 shares	4,068	8,484
	Rapid7 Inc	116 shares	2,557	13,652
	Raytheon Technologies Corp	496 shares	31,299	42,686
	Rbc Bearings Inc	52 shares	3,176	10,502
	Regal Rexnord Corporation	68 shares	10,203	11,572
	Reliance Steel & Alum Co	80 shares	6,072	12,978
	Relx Plc Sponsored Adr	1,509 shares	32,827	49,208
	RH	18 shares	9,252	9,647
	Ritchie Brothers Auctioneers	186 shares	3,952	11,385
	Roblox Corp Cl A	80 shares	6,498	8,253
	Common stock balance carried forward		4,335,707	7,470,127

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
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SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
	Common stock balance brought forward		\$ 4,335,707	\$ 7,470,127
	Royal Dsm Nv Sponsored Adr	552 shares	15,192	31,249
	Ryanair Hldgs Plc Adr	169 shares	15,115	17,294
	Ryohin Keikaku Co Ltd Adr	849 shares	21,705	12,964
	S & P Global Inc Com	26 shares	9,997	12,270
	Safran Sa	1,037 shares	31,519	31,722
	Salesforce.com, Inc.	121 shares	14,292	30,750
	Sampol Oyj Unspn Adr	899 shares	21,486	22,547
	Sandvik Ab Sprons Adr	954 shares	20,122	26,664
	Sanofi Adr	798 shares	35,349	39,980
	Schlumberger Ltd	404 shares	5,901	12,100
	Schneider National Inc Cl B	132 shares	2,942	3,552
	Sea Limited Adr	32 shares	10,867	7,159
	Semtech Corp	89 shares	4,606	7,915
	Shimano Inc Adr	1,097 shares	20,900	29,334
	Shockwave Med Inc	69 shares	6,732	12,305
	Shopify Inc	32 shares	13,040	44,076
	Signature Bank	58 shares	7,499	18,761
	Silicon Lab Inc	62 shares	4,740	12,798
	Smith & Nephew Plc Adr	328 shares	11,717	11,355
	Snap Inc. Cl A	289 shares	16,020	13,592
	Snowflake Inc Cl A	46 shares	16,543	15,583
	Springworks Therapeutics Inc	136 shares	10,613	8,429
	Stanley Black & Decker Inc	122 shares	23,367	23,012
	State Street Corp	308 shares	17,575	28,644
	Steris PLC	42 shares	3,173	10,223
	Sumitomo Mitsui Finl Group Inc	2,843 shares	21,842	19,304
	Summit Hotel Properties Inc	535 shares	7,326	5,222
	Sun Communities Inc	57 shares	3,677	11,968
	Suncor Energy Inc	814 shares	25,325	20,374
	Suzuki Mtr Corp Adr	99 shares	19,743	15,256
	Synchrony Financial	398 shares	6,921	18,463
	Syneos Health Inc Cl A	127 shares	7,226	13,040
	Taiwan Smcndctr Mfg Co Ltd Adr	92 shares	8,330	11,069
	Target Corporation	57 shares	10,376	13,192
	TE Connectivity Ltd New	134 shares	8,345	21,620
	Technipfmc Ltd	2,911 shares	21,724	17,233
	Telenor Asa Ads	994 shares	18,608	15,586
	Teradata Corp	785 shares	21,021	33,339
	Tesco Plc Adr	2,360 shares	26,653	28,249
	Tesla Inc	70 shares	2,812	73,975
	Texas Roadhouse Inc Cl A	89 shares	5,669	7,946
	Common stock balance carried forward		4,912,317	8,280,241

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
EIN 37-1219156
Plan #003
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
	Common stock balance brought forward		\$ 4,912,317	\$ 8,280,241
	The Mosaic Co	391 shares	7,037	15,362
	TJX Cos Inc New	145 shares	9,490	11,008
	Topbuild Corp Com	35 shares	5,444	9,657
	Toro Co	91 shares	2,000	9,092
	Trade Desk Inc Class A	113 shares	3,161	10,355
	TTEC Holdings Inc	100 shares	8,282	9,055
	Twilio Inc Cl A	29 shares	3,487	7,637
	U S Bancorp Com New	437 shares	16,315	24,546
	Uber Technologies Inc	445 shares	14,964	18,659
	Unilever Plc (New) Ads	959 shares	52,672	51,585
	Union Pacific Corp	101 shares	10,896	25,445
	Unitedhealth GP Inc	78 shares	16,882	39,167
	Universal Display Corp	31 shares	4,378	5,116
	Universal Music Group Nv Adr	520 shares	7,993	7,228
	Vail Resorts	15 shares	3,025	4,919
	Veracyte Inc Com	167 shares	4,020	6,880
	Verizon Communications	1,679 shares	83,706	87,241
	Vestas Wind Systems Ads	2,035 shares	13,508	20,696
	Visa Inc Cl A	72 shares	3,597	15,603
	Voya Final Inc	173 shares	10,006	11,472
	Wells Fargo & Co New	1,381 shares	43,145	66,260
	Western Alliance Bancorp	148 shares	7,078	15,932
	Whirlpool Corp	97 shares	15,221	22,762
	Williams Co Inc	2,300 shares	52,049	59,892
	Wolters Kluwer NV Spon Adr	199 shares	12,221	23,510
	Woodward Inc Com	125 shares	12,623	13,683
	Workday Inc Cl A	30 shares	2,002	8,195
	Zebra Tech Cl-A	19 shares	1,274	11,309
	Zendesk Inc	82 shares	7,539	8,552
	Zentaris Pharmaceuticals Inc	78 shares	5,912	6,512
	Zoominfo Technologies Inc Cl A	74 shares	4,747	4,761
	Total common stock		5,356,991	8,912,332

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
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December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
Preferred stock				
	Volkswagen Ag Rp Prf Adr	1,073 shares	\$ 18,559	\$ 21,546
Exchange-traded funds				
	Vanguard Ftse Developed Mkts E	25,104 shares	899,853	1,281,810
	Vanguard Growth Etf	5,792 shares	641,044	1,858,653
	Vanguard Mid-Cap Etf Index	2,773 shares	383,453	706,477
	Vanguard Small Cap Etf	3,394 shares	453,372	767,078
	Vanguard Value Etf Index	16,619 shares	1,496,961	2,444,821
	Total exchange-traded funds		3,874,683	7,058,839
Mutual funds				
	Harding Loevner Intl Eqty Inst	42,457 shares	894,566	1,276,677
	Putnam Growth Oportunities Y	11,722 shares	678,940	729,696
	Total mutual funds		1,573,506	2,006,373

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
EIN 37-1219156
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December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
U.S. government agency securities				
	GNMA 36202B7A7 PL#001789M	75,000 par	\$ 14	\$ 14
	GNMA 36202CBJ1 PL#001841M	50,000 par	48	51
	GNMA 36212UKQ3 PL#544003X	500,442 par	972	978
	GNMA 36213LLA6 PL#557521X	260,409 par	735	805
	GNMA 36213UKZ2 PL#564712X	400,000 par	666	679
	GNMA 36212M6W4 PL#538285X	300,000 par	808	902
	GNMA 36202DT68 PL#003273M	300,000 par	2,208	2,513
	GNMA 36202DUJ8 PL#003285M	505,615 par	4,358	4,896
	GNMA 36200H6U3 PL#602183X	250,000 par	2,884	3,207
	GNMA 36202DWU1 PL#003359M	250,000 par	4,398	4,915
	GNMA 36202DWV9 PL#003360M	250,000 par	3,550	3,986
	GNMA 36200MHR7 PL#604240X	250,000 par	780	842
	GNMA 36202DXS5 PL#003389M	250,000 par	4,976	5,437
	GNMA 36202DX71 PL#003402M	250,000 par	5,235	5,690
	GNMA 36202DX89 PL#003403M	254,010 par	4,344	4,979
	GNMA 36202DYK1 PL#003414M	250,025 par	6,326	6,923
	GNMA 36202DYZ8 PL#003428M	250,025 par	6,652	7,459
	GNMA 36202DZH7 PL#003444M	250,000 par	4,919	5,514
	GNMA 36202D2V2 PL#003488M	250,000 par	6,085	6,811
	GNMA 36202D3H2 PL#003500M	250,000 par	6,018	6,760
	GNMA 36202D5S6 PL#003557M	250,000 par	6,743	7,491
	GNMA 36202D6F3 PL#003570M	500,592 par	12,204	13,836
	GNMA 36202EAK5 PL#003610M	300,000 par	6,312	6,903
	GNMA 36202EC49 PL#003691M	300,000 par	9,104	10,374
	GNMA 36202EC56 PL#003692M	404,385 par	12,043	13,692
	GNMA 36202ED55 PL#003724M	200,000 par	5,719	6,499
	GNMA 36202EEH8 PL#003736M	200,000 par	5,840	6,482
	GNMA 36202EGE3 PL#003797M	250,000 par	7,482	8,473
	GNMA 36202EH51 PL#003852M	150,000 par	3,169	3,651
	GNMA 36202EKL2 PL#003899M	400,000 par	6,015	6,856
	GNMA 36202EKX6 PL#003910M	150,000 par	2,390	2,735
	GNMA 36205AV35 PL#385034X	253,298 par	2,047	2,240
	GNMA 36202EMZ9 PL#003976M	287,135 par	4,944	5,675
	GNMA 36202EQL6 PL#004059M	300,000 par	4,980	5,720
	GNMA 36202ERC5 PL#004083M	350,000 par	7,268	8,198
	GNMA 36202ET33 PL#004170M	250,000 par	5,056	5,750
	GNMA 36296GKY5 PL#690487X	250,000 par	4,298	4,688
	GNMA 36296XSG0 PL#704419X	215,862 par	9,080	9,933
	GNMA 36202E7H6 PL#004496M	200,366 par	10,183	11,326
	GNMA 3620A4TV4 PL#718864X	250,000 par	7,821	8,534
Total U.S. government agency securities			198,674	222,417

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
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December 31, 2021

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Corporate debt securities				
	Bank of New York Mellon Corp FXD	125,000 par	\$ 138,927	\$ 133,344
	Charles Schwab Corp 7.00 Fixed	100,000 par	112,933	100,250
	Charles schwab Corp/The Fxd T003	125,000 par	128,912	124,913
	Jpmorgan Chase & Co 6.75% Fxd	94,000 par	101,397	101,885
	Metlife Inc Fxd To 092025 Var Thrafter 3.85%	125,000 par	132,030	127,500
	PNC Financial Services 6.75% Fixed	100,000 par	106,506	99,510
	Truist Financial Coop Fxd To 12 4.95%	125,000 par	140,506	134,111
	Toyota Motor credit Corp	200,000 par	200,020	200,084
	HSBC Holdings Plc	100,000 par	10,098	100,124
	Marriott International, Inc.	150,000 par	148,500	150,084
	Apple Inc	150,000 par	150,055	150,048
	Sysco Corporation	100,000 par	100,228	100,920
	American Honda Finance Corp	150,000 par	150,213	151,311
	Biogen Inc.	150,000 par	150,967	153,212
	Air Lease Corp	150,000 par	150,806	152,295
	Lockheed Martin Corp	150,000 par	150,430	152,747
	Williams Cos Inc/The	175,000 par	179,959	178,868
	Conagra Brands Inc	78,000 par	78,284	79,484
	Whirlpool Corp	150,000 par	152,785	154,685
	Allergan Inc	150,000 par	149,390	151,614
	Burlington Northern Santa Fe LLC	175,000 par	179,940	178,504
	Abbvie Inc	150,000 par	150,115	153,338
	General Motors Finl Co	150,000 par	150,327	156,116
	Loews Corp	150,000 par	151,508	153,101
	Ryder System Inc	150,000 par	152,468	155,571
	Beam Suntory Inc	150,000 par	150,560	153,927
	American Express CO	175,000 par	175,399	182,480
	Reinsurance Grp of Amer	150,000 par	154,821	158,814
	Hewlett Packard Enterprise Co	200,000 par	211,232	211,062
	Thomson Reuters Corp	125,000 par	127,968	131,361
	Goldman Sachs Group Inc	100,000 par	101,174	102,021
	Abbott Laboratories	150,000 par	153,700	157,053
	Fifth Third Bancorp	175,000 par	186,234	185,078
	Fox Corp	200,000 par	212,861	211,250
	Centerpoint Energy Inc	87,000 par	92,475	91,165
	Simon Prodperty Group Lp	150,000 par	153,351	157,068
	AT & T Inc.	175,000 par	178,725	183,850
	Synchrony Financial 4.375%	75,000 par	78,094	79,141
	FS KKR Capital Corp	200,000 par	211,090	210,542
	Synchrony Financial	75,000 par	77,285	79,449
	Tyson Food Inc	150,000 par	153,982	159,482
Corporate debt securities balance carried forward			5,836,255	5,947,362

Bound to Stay Bound Books, Inc. Retirement Trust 401(k) Profit Sharing Plan
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December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
	Corporate debt securities balance brought forward		\$ 5,836,255	\$ 5,947,362
	Bank of America Corp	75,000 par	78,231	80,409
	Constellation Brands Inc	150,000 par	157,730	163,661
	CVS Health Corp	150,000 par	153,730	164,042
	Fluor Corp	150,000 par	146,555	157,077
	Broadcom Corp/Broadcom Cayman Finance Ltd	175,000 par	184,296	182,866
	CitiGroup Inc	145,000 par	148,561	154,795
	Ally financial Inc	190,000 par	190,854	185,706
	Block Financial LLC	150,000 par	159,588	166,265
	Capital One Financial Corp	150,000 par	159,382	163,158
	Prospect Capital Corp	200,000 par	202,679	203,504
	American International Group Inc	175,000 par	180,424	189,760
	Chevron Corp	175,000 par	190,184	185,369
	Caterpillar Financial Services Corp	200,000 par	197,840	194,033
	Total corporate debt securities		7,986,309	8,138,007
	Cash (interest bearing)		1,124,050	1,124,050
*	Notes receivable from participants	5.25%	N/A	2,575
			\$ 20,132,772	\$ 27,486,139
*	Party-in-interest			

Form 5500Department of the Treasury
Internal Revenue ServiceDepartment of Labor
Employee Benefits Security
Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**OMB Nos. 1210-0110
1210-0089**2021****This Form is Open to Public Inspection****Part I Annual Report Identification Information**For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

- A** This return/report is for: ☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
☒ a single-employer plan ☐ a DFE (specify) _____
- B** This return/report is: ☐ the first return/report ☐ the final return/report
☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
- C** If the plan is a collectively-bargained plan, check here. ☐
- D** Check box if filing under: ☒ Form 5558 ☐ automatic extension ☐ the DFVC program
☐ special extension (enter description) _____
- E** If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ☐

Part II Basic Plan Information—enter all requested information

1a Name of plan BOUND TO STAY BOUND BOOKS, INC. 401K PROFIT SHARING PLAN	1b Three-digit plan number (PN) ▶ <u>003</u>
	1c Effective date of plan <u>01/01/1986</u>
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) Bound To Stay Bound Books, Inc.	2b Employer Identification Number (EIN) <u>37-0439010</u>
1880 W Morton Ave	2c Plan Sponsor's telephone number <u>217-245-5191</u>
Jacksonville IL 62650-2619	2d Business code (see instructions) <u>323100</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	<i>Lori Smith</i> Signature of plan administrator	10/11/2022 Date	Lori Smith Enter name of individual signing as plan administrator
SIGN HERE	<i>Lori Smith</i> Signature of employer/plan sponsor	10/11/2022 Date	Lori Smith Enter name of individual signing as employer or plan sponsor
SIGN HERE	_____ Signature of DFE	_____ Date	_____ Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 201209

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 133
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year..... a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 97 6a(2) 82 6b 12 6c 29 6d 123 6e 1 6f 124 6g 123 6h 5
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2J 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

Name of Plan:		
▶ Bound to Stay Bound Books, Inc. 401(k) Profit Sharing Plan		
Employer Identification Number:	▶ 37-0439010	
For plan year (beginning/ending):	▶ 01/01/2021 through 12/31/2021	Plan number: ▶ 003

[illegible]