

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
---	---	---

Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☒ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	☐

Part II	Basic Plan Information—enter all requested information										
1a Name of plan <u>GE RETIREMENT SAVINGS PLAN</u> 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>GENERAL ELECTRIC COMPANY</u> <u>C/O MANAGER, U.S. DEFINED CONTRIBUTION PROGRAMS</u> <u>901 MAIN AVENUE</u> <u>THE TOWERS AT MERRITT RIVER</u> <u>NORWALK, CT 06851</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 50%; text-align: center;"><u>334</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>11/28/1958</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>14-0689340</u></td> </tr> <tr> <td colspan="2">2c Plan Sponsor's telephone number <u>800-432-3450</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>335900</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>334</u>	1c Effective date of plan <u>11/28/1958</u>		2b Employer Identification Number (EIN) <u>14-0689340</u>		2c Plan Sponsor's telephone number <u>800-432-3450</u>		2d Business code (see instructions) <u>335900</u>	
1b Three-digit plan number (PN) ▶	<u>334</u>										
1c Effective date of plan <u>11/28/1958</u>											
2b Employer Identification Number (EIN) <u>14-0689340</u>											
2c Plan Sponsor's telephone number <u>800-432-3450</u>											
2d Business code (see instructions) <u>335900</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/13/2022	SHANNON MALONEY
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☐ Same as Plan Sponsor GENERAL ELECTRIC COMPANY PEOPLE OPERATIONS PO BOX 5000 SCHENECTADY, NY 12301-5000	3b Administrator's EIN 14-0689340 3c Administrator's telephone number 800-432-3450
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 176298
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year..... a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 61011 6a(2) 57522 6b 8 6c 109502 6d 167032 6e 792 6f 167824 6g 165643 6h
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2O 2S 2T 3F 3H b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ <u>0</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan GE RETIREMENT SAVINGS PLAN	B Three-digit plan number (PN) ▶	334
C Plan sponsor's name as shown on line 2a of Form 5500 GENERAL ELECTRIC COMPANY	D Employer Identification Number (EIN) 14-0689340	

Part I	Service Provider Information (see instructions)
---------------	--

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
BLACKROCK INSTL TRUST COMPANY, N.A.
94-3112180

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
MERCER TRUST COMPANY
30-0282430

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
SSGA FUNDS MANAGEMENT, INC.
04-3555193

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
STATE STREET GLOBAL ADVISORS TR CO.
81-4017137

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY WORKPLACE SERVICES, LLC

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64 65	RECORDKEEPER	2339490	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	KPMG LLP	b EIN:	13-5565207
c Position:	ACCOUNTING FIRM		
d Address:	515 BROADWAY ALBANY, NC 12207-2974	e Telephone:	518-427-4600

Explanation: FOLLOWING A THOROUGH AND COMPETITIVE REVIEW PROCESS, GE'S AUDIT COMMITTEE SELECTED DELOITTE AS THE COMPANY'S INDEPENDENT AUDITOR.

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
---	--	--

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>GE RETIREMENT SAVINGS PLAN</u>	B Three-digit plan number (PN) <u>334</u>	
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>GENERAL ELECTRIC COMPANY</u>	D Employer Identification Number (EIN) <u>14-0689340</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
---------------	--

a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EQUITY INDEX NL FUND F</u>				
b Name of sponsor of entity listed in (a): <u>BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.</u>				
c EIN-PN <u>26-4273359-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>4263692438</u>		
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>MID CAP EQUITY NL FUND F</u>				
b Name of sponsor of entity listed in (a): <u>BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.</u>				
c EIN-PN <u>27-0739802-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>1338710979</u>		
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>MSCI ACWI EX-US INDEX NL FUND F</u>				
b Name of sponsor of entity listed in (a): <u>BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.</u>				
c EIN-PN <u>26-4299445-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>3051630208</u>		
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>RUSSELL 2000 INDEX NL FUND F</u>				
b Name of sponsor of entity listed in (a): <u>BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.</u>				
c EIN-PN <u>26-4299570-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>1073669706</u>		
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>US DEBT INDEX NL FUND F</u>				
b Name of sponsor of entity listed in (a): <u>BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.</u>				
c EIN-PN <u>26-4086131-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>2352766137</u>		
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>US TIPS NL FUND F</u>				
b Name of sponsor of entity listed in (a): <u>BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.</u>				
c EIN-PN <u>27-0535692-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>620183733</u>		
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>COMMODITY INDEX DAILY FUND F</u>				
b Name of sponsor of entity listed in (a): <u>BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.</u>				
c EIN-PN <u>27-4616854-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>74075759</u>		

a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK DEV RE INDEX NL FUND F

b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.

c EIN-PN 82-4102422-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 326116289
--------------------------------	------------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE: RUSSELL 1000 INDEX NL FUND F

b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY, N.A.

c EIN-PN 27-0535593-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3694783244
--------------------------------	------------------------	--

a Name of MTIA, CCT, PSA, or 103-12 IE: MERCER GE INTERNATIONAL EQUITY FUND

b Name of sponsor of entity listed in (a): MERCER TRUST COMPANY

c EIN-PN 83-1876913-045	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 868318087
--------------------------------	------------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
-----------------	----------------------	---

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
--	--	--

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan GE RETIREMENT SAVINGS PLAN	B Three-digit plan number (PN) ►	334
C Plan sponsor's name as shown on line 2a of Form 5500 GENERAL ELECTRIC COMPANY	D Employer Identification Number (EIN) 14-0689340	

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	76965106	182547532
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)	20682513	13452210
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	294961160	444543855
(2) U.S. Government securities	1c(2)	1877076057	1464271161
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	143927716	79774423
(B) All other	1c(3)(B)	203908429	150920070
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)	10136355	9383953
(8) Participant loans	1c(8)	235943170	208364799
(9) Value of interest in common/collective trusts	1c(9)	15510634594	17663946580
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	6965623486	7541366816
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1) 2610726341	2588029551
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f 27950584927	30346600950
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j 111048832	62727995
k	Total liabilities (add all amounts in lines 1g through 1j)	1k 111048832	62727995
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l 27839536095	30283872955

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A) 387519664	
	(B) Participants	2a(1)(B) 582998509	
	(C) Others (including rollovers).....	2a(1)(C) 76946090	
(2)	Noncash contributions.....	2a(2) 95507227	
(3)	Total contributions. Add lines 2a(1)(A), (B), (C), and line 2a(2)	2a(3)	1142971490
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A) 181249	
	(B) U.S. Government securities	2b(1)(B) 1867823	
	(C) Corporate debt instruments	2b(1)(C) 4680933	
	(D) Loans (other than to participants)	2b(1)(D) 255607	
	(E) Participant loans.....	2b(1)(E) 8913310	
	(F) Other	2b(1)(F) 777288	
	(G) Total interest. Add lines 2b(1)(A) through (F).....	2b(1)(G)	16676210
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B) 9159877	
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C) 822229416	
	(D) Total dividends. Add lines 2b(2)(A), (B), and (C)	2b(2)(D)	831389293
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A) 3358817184	
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B) 3249003760	
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	109813424
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B) 150819318	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	150819318

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		2521936430
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		536643206
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		5310249371
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	2866231931	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		2866231931
f Corrective distributions (see instructions).....	2f		99500
g Certain deemed distributions of participant loans (see instructions).....	2g		-2086670
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)		
(4) Other.....	2i(4)	2339490	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		2339490
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		2866584251
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		2443665120
l Transfers of assets:			
(1) To this plan.....	2l(1)		671740
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☐ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☒ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: DELOITTE & TOUCHE LLP

(2) EIN: 13-3891517

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		50000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m	X		
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n	X		
5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.			
5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)			
5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)	
5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.			

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan GE RETIREMENT SAVINGS PLAN		B Three-digit plan number (PN) ► 334
C Plan sponsor's name as shown on line 2a of Form 5500 GENERAL ELECTRIC COMPANY		D Employer Identification Number (EIN) 14-0689340
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 14-6015768		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year		3
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?		☐ Yes ☒ No
11 a Does the ESOP hold any preferred stock?		☐ Yes ☒ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)		☐ Yes ☒ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?		☐ Yes ☒ No
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2021 v. 201209		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

2021 5500, Schedule H, Line 3a

Plan Name: GE RETIREMENT SAVINGS PLAN

Plan Year Beginning: 01/01/2021

Plan Year Ending: 12/31/2021

Identification Number: 14-689340

Three-Digit Plan Number: 334

AUDITORS' REPORT

EIN: 14-0689340
Plan #: 334

GE RETIREMENT SAVINGS PLAN

Financial Statements and Supplemental Schedule

December 31, 2021 and 2020

(With Independent Auditors' Report Thereon)

GE RETIREMENT SAVINGS PLAN

December 31, 2021 and 2020

Table of Contents

	<u>Page Number(s)</u>
Independent Auditors' Report	3 - 4
Financial Statements:	
Statements of Net Assets Available for Plan Benefits as of December 31, 2021 and 2020	5
Statement of Changes in Net Assets Available for Plan Benefits for the Year Ended December 31, 2021	6
Notes to Financial Statements	7 - 18
Supplemental Schedule: ⁽ⁱ⁾	
Schedule H, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2021	19 - 24

INDEPENDENT AUDITOR'S REPORT

The Plan Administrator of GE Retirement Savings Plan

Opinion

We have audited the financial statements of the GE Retirement Savings Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

In our opinion, the accompanying financial statements present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2021, and the changes in its net assets available for benefits for the year then ended, in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Predecessor Auditor's Opinion on the 2020 Financial Statements

The financial statements of the Plan as of December 31, 2020, were audited by other auditors whose report, dated June 24, 2021, expressed an unmodified opinion on those statements.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will

always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Supplemental Schedule Required by ERISA

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of assets (held at end of year) as of December 31, 2021, is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedule is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Deloitte & Touche LLP

June 17, 2022

GE RETIREMENT SAVINGS PLAN
Statements of Net Assets Available for Plan Benefits
December 31, 2021 and 2020
(in thousands)

	<u>2021</u>	<u>2020</u>
Assets:		
Investments at fair value (notes 4 and 5)	\$ 29,942,236	\$ 27,616,994
Notes receivable from participants	216,181	245,846
Employer contribution receivable (note 1)	182,548	76,965
Accrued dividends and interest	4,079	5,251
Other assets	9,373	15,432
Total assets	<u>30,354,417</u>	<u>27,960,488</u>
Liabilities:		
Other liabilities	<u>62,728</u>	<u>111,049</u>
Total liabilities	<u>62,728</u>	<u>111,049</u>
Net assets available for plan benefits	<u><u>\$ 30,291,689</u></u>	<u><u>\$ 27,849,439</u></u>

See accompanying notes to financial statements.

GE RETIREMENT SAVINGS PLAN
Statement of Changes in Net Assets Available for Plan Benefits
Year Ended December 31, 2021
(in thousands)

Additions to net assets attributed to:

Investment income:

Net appreciation in fair value of investments	\$ 3,319,212
Interest and dividend income	839,153
	<u>4,158,365</u>

Interest on notes receivable from participants	<u>8,913</u>
--	--------------

Contributions:

Employee cash contributions	582,999
Employee non-cash contributions	62,183
Employee rollovers from other qualified plans	76,946
Employer cash contributions	387,520
Employer non-cash contributions	33,324
	<u>1,142,972</u>

Total additions	<u>5,310,250</u>
-----------------	------------------

Deductions from net assets attributed to:

Participant withdrawals	2,866,333
Administrative expenses	2,339
Total deductions	<u>2,868,672</u>

Net increase before asset transfers	2,441,578
-------------------------------------	-----------

Transfers from other qualified plans (note 3)	<u>672</u>
---	------------

Net increase after asset transfers	2,442,250
------------------------------------	-----------

Net assets available for plan benefits at:

Beginning of year	27,849,439
End of year	<u><u>\$ 30,291,689</u></u>

See accompanying notes to financial statements.

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

(1) Description of the Plan

The GE Retirement Savings Plan (the "Plan") is a defined contribution plan sponsored by General Electric Company (the "Company").

The Plan is subject to applicable provisions of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"). The assets of the Plan are held in and invested through the GE Retirement Savings Trust (the "Trust").

Fidelity Workplace Services, LLC is the Plan's recordkeeper. The Plan Trustees have appointed Fidelity Management Trust Company ("FMTC") as the directed Trustee of the Trust.

SSGA Funds Management, Inc. ("SSGA FM"), an affiliate of State Street Corporation ("SSC") is the investment advisor to three of the Plan's investment options. State Street Global Advisors Trust Company ("SSGA TC", and together with SSGA FM, "SSGA"), also affiliated with SSC, is the investment advisor to two of the Plan's investment options.

Mercer Trust Company LLC and its affiliate, Mercer Investments LLC (formerly, Mercer Investment Management, Inc.) are the manager and investment advisor, respectively, for the Mercer GE International Equity Fund.

BlackRock Institutional Trust Company, N.A. ("BlackRock") is the investment advisor to six of the Plan's investment options, which include passively managed funds in equity and fixed income classes (collectively referred to herein as the "Index Funds"). BlackRock is the manager to the Plan's suite of ten Target Retirement Date Funds.

In June 2021, the Company's Board of Directors approved proceeding with a reverse stock split at a ratio of 1:8 which reduced the number of outstanding shares of GE Common Stock held by the Plan.

In September, 2019, the Department of Labor notified the Plan administrator that it had scheduled the Plan for investigation. The data request generally covered the years ended December 31, 2016, 2017, and 2018. As of June 17, 2022, the date the financial statements were issued, the examination is ongoing.

The description of the Plan is provided for general information purposes only. The complete terms of the Plan are provided in the GE Retirement Savings Plan document (the "Plan Document"). Plan information including benefits, investment options, vesting provisions and effects of plan termination is also included in Plan handbooks and other material distributed to participants.

Employee Contributions and Investment Options

Eligible employees of the Company and participating affiliates may participate in the Plan by investing up to 30% of their eligible earnings in one or more of the following investment options:

- (a) General Electric Common Stock Fund (the "GE Stock Fund") – The GE Stock Fund invests at least 98% of its assets in GE common stock, with the remainder held in cash or cash equivalents to provide for the GE Stock Fund's estimated liquidity needs.
- (b) SSGA Income Fund (the "Income Fund") – The Income Fund managed by SSGA FM seeks a high interest rate of return over a long-term period consistent with the preservation of capital by investing at least 80% of its net assets in debt securities. Prior to reorganization in May 2021, this fund was known as the GE RSP Income Fund.

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

- (c) SSGA US Core Equity Fund (the “U.S. Equity Fund”) – The U.S. Equity Fund managed by SSGA FM seeks long-term growth of capital and income by primarily investing in equity securities of U.S. companies, such as common and preferred stocks. Prior to reorganization in May 2021, this fund was known as the GE RSP US Equity Fund.
- (d) SSGA Small-Cap Equity Fund (the “Small-Cap Fund”) – The Small-Cap Fund managed by SSGA FM seeks long-term growth of capital by investing at least 80% of its net assets in equity securities of smaller companies, such as domestic and international common and preferred stocks.
- (e) Non-U.S. Equity Index Fund – A collective investment trust maintained and managed by BlackRock that seeks investment results that correspond generally to the investment performance of the MSCI ACWI ex-U.S. IMI Net Dividend Return Index by investing in a portfolio of large-capitalization, mid-capitalization and small-capitalization companies located in international developed and emerging markets.
- (f) U.S. Aggregate Bond Index Fund – A collective investment trust maintained and managed by BlackRock that seeks investment results that correspond generally to the investment performance of the Bloomberg Barclays U.S. Aggregate Bond Index by investing in a representative sample of securities that collectively has an investment profile similar to the index.
- (g) U.S. Large-Cap Equity Index Fund – A collective investment trust maintained and managed by BlackRock that seeks investment results that correspond generally to the investment performance of the S&P 500 Index by investing in large companies within the United States.
- (h) U.S. Mid-Cap Equity Index Fund – A collective investment trust maintained and managed by BlackRock that seeks investment results that correspond generally to the investment performance of the S&P Mid-Cap 400 Index by investing in medium-sized companies within the United States.
- (i) U.S. Small-Cap Equity Index Fund – A collective investment trust maintained and managed by BlackRock that seeks investment results that correspond generally to the investment performance of the Russell 2000 Index by investing in smaller companies within the United States.
- (j) U.S. Treasury Inflation-Protected Securities Index Fund (the “U.S. TIPS Index Fund”) – A collective investment trust maintained and managed by BlackRock that seeks investment results that correspond generally to the investment performance of the Bloomberg Barclays U.S. Treasury Inflation-Protected Securities Index by investing in substantially all of the securities that make up the index.
- (k) GE RSP Short-Term Interest Fund (the “ST Interest Fund”) – The ST Interest Fund managed by SSGA TC seeks to preserve principal and achieve a market-related interest rate of return by investing primarily in a variety of investment-grade debt securities, such as U.S. government securities, asset-backed securities, corporate bonds and money market instruments.
- (l) GE RSP Government Money Market Fund (the “Money Market Fund”) – The Money Market Fund managed by SSGA TC seeks a high level of current income consistent with the preservation of capital and maintenance of liquidity by investing at least 99.5% of its net assets in short-term U.S. government securities, cash and/or repurchase agreements that are collateralized by cash or U.S. government securities.
- (m) Target Retirement Date Funds (the “TRD Funds”) – The TRD Funds are daily valued separate accounts managed by BlackRock. The investment objective of each TRD Fund is to seek the highest total return (total return includes capital appreciation and income) over time consistent with an appropriate degree of risk, and a specified allocation among various types of assets. To achieve its investment objective, each TRD Fund invests in a combination of underlying investment funds representing a variety of asset classes (the “Underlying Funds”). A TRD Fund’s asset allocation changes over time and is expected to gradually shift

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

from a combination of Underlying Funds that emphasizes investment in stocks to a combination of Underlying Funds and invests in bonds, stocks, and short-term investments. Effective May 1, 2020, the 2020 Target Retirement Date Fund was consolidated into the Target Retirement Income Fund. This change was made since the 2020 Target Retirement Date Fund had reached its final and most conservative asset allocation - its "landing point," which is identical to the asset allocation of the Target Retirement Income Fund. Additionally, the 2065 Target Retirement Date Fund was added to the series of Target Retirement Date Fund options.

The suite of Target Retirement Date Funds includes the following:

Target Retirement Income Fund	2045 Target Retirement Date Fund
2025 Target Retirement Date Fund	2050 Target Retirement Date Fund
2030 Target Retirement Date Fund	2055 Target Retirement Date Fund
2035 Target Retirement Date Fund	2060 Target Retirement Date Fund
2040 Target Retirement Date Fund	2065 Target Retirement Date Fund

- (n) Mercer GE International Equity Fund – A collective investment trust managed by Mercer Trust Company LLC that seeks long-term growth of capital by investing at least 85% of its net assets in equity securities, such as common and preferred stocks. The Mercer GE International Equity Fund invests primarily in companies in both developed and emerging market countries outside of the United States.

The GE Stock Fund, Income Fund, U.S. Equity Fund, Small-Cap Fund, Index Funds, ST Interest Fund, Money Market Fund, TRD Funds and Mercer GE International Equity Fund are collectively referred to herein as the "Funds".

The Income Fund, U.S. Equity Fund and Small-Cap Fund are registered investment companies subject to specific disclosure and other requirements. The following Plan information is available to participants and eligible employees upon request or may be obtained online at the Plan's website: audited financial statements and prospectuses or other disclosure documents of the registered investment companies; fund profiles for the GE Stock Fund, ST Interest Fund, Money Market Fund, Index Funds, Mercer GE International Equity Fund and TRD Funds; and the GE Retirement Savings Plan Supplemental Information document containing certain information regarding all Funds. Certain of the above documents comprising this Plan information are also affirmatively provided to participants and eligible employees in compliance with the requirements of the Department of Labor.

The Plan permits participants to invest compensation on which income taxes have and have not been paid ("after-tax" and "pre-tax", respectively). The United States Internal Revenue Code ("IRC") limits the amount of pre-tax contributions that can be made each year. The limit for participants under age 50 was generally \$19,500 in 2021 and 2020. For participants who were at least age 50 during the year, the limit was generally \$26,000 in 2021 and 2020. The Plan also permits participants to make Roth contributions, which are combined with pre-tax contributions for purposes of these limits.

Participants may switch their investment balances (including rebalancing) up to 12 times each quarter. Restrictions on such switches include certain restrictions on a participant's ability to engage in frequent trading in response to Securities and Exchange Commission requirements governing mutual funds.

Employer Contributions

The Plan generally provides for employer matching contributions of 50% of employees' contributions of up to 8% of their earnings, that is, a 4% maximum matching contribution.

Certain eligible employees on salaried benefits (whose first day of work is on or after January 1, 2011) and certain eligible employees on production benefits (whose first day of work is on or after January 1, 2012) also receive a Company Retirement Contribution generally equal to 3% of their earnings, irrespective of any employee

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

contributions. In addition, effective January 1, 2021, participants whose benefit under the GE Pension Plan is frozen also receive the Company Retirement Contribution. The Company Retirement Contribution is credited annually (generally in the following January) for employees on salaried benefits, and each pay period for employees on production benefits. Those employees on production benefits may also be eligible for an Additional Company Retirement Contribution ("ACRC") per year credited in the following January. For the 2021 plan year, participants' accounts were credited in January 2022 with Company Retirement Contributions of \$140.2 million and ACRCs of \$3.9 million. Hereinafter, the Company Retirement Contribution and the ACRC shall be referred to collectively as "Company Retirement Contributions" ("CRCs"). The CRCs are in addition to the employer matching contribution. A participant who does not have a regular investment election on file will be electing to invest the CRCs in the TRD Fund consistent with the participant's age.

In addition, certain employees whose benefit under the GE Pension Plan is frozen are eligible to receive an additional 2% Transition Credit for the 2021 and 2022 plan years. For the 2021 plan year, eligible participants' accounts were credited in January 2022 with Transition Credits of \$38.4 million

Newly hired non-union employees who are eligible for CRCs and who have not made an affirmative election regarding the amount (if any) of their own savings are automatically enrolled as electing to contribute 8% of eligible pay as pre-tax contributions. This election entitles these employees to the maximum 4% matching contribution. A participant who does not have a regular investment election on file will be electing to invest these contributions in the TRD Fund consistent with the participant's age. These elections can be changed at any time before or after the employee is automatically enrolled.

Newly hired union employees who are eligible for CRCs and who have not made an affirmative election regarding the amount (if any) of their own savings are automatically enrolled as electing to contribute 2% of eligible pay as pre-tax contributions. This election entitles these employees to a 1% matching contribution. A participant who does not have a regular investment election on file will be electing to invest these contributions in the TRD Fund consistent with the participant's age. These elections can be changed at any time before or after the employee is automatically enrolled.

Rollovers from Other Qualifying Plans

Subject to Company approval, participants may elect to rollover amounts from other qualifying plans or arrangements in accordance with the IRC.

Withdrawals

Subject to certain limitations prescribed by the Plan and the IRC, terminated participants may elect retirement or other termination withdrawals in either lump sum or partial payments. Employed participants may make regular withdrawals and certain hardship withdrawals from their participant accounts (except with respect to amounts attributable to any CRCs). There are no restrictions on the number and dollar amount of partial termination withdrawals and regular withdrawals, and the Plan allows for age 59 ½ and disability withdrawal options.

Consistent with the Coronavirus Aid, Relief, and Economic Security Act, eligible participants have taken limited-time, in-service withdrawals during the 2020 plan year.

Notes Receivable from Participants

The Plan permits participants, under certain circumstances, to borrow a minimum of \$500 from their participant accounts (except with respect to amounts attributable to any CRCs or any non-vested matching contributions, which are not available for loans). Subject to certain IRC and Plan limits, a participant may not borrow more than the lesser of 50% of that participant's available account value, as defined in the Plan Document, or \$50,000, adjusted for prior loans. The term of any loan is up to 4.5 years unless the loan is used to acquire a principal residence for which a term of up to 15 years may be permissible. The interest rate applicable to participant loans is based on the monthly

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

average of the composite yield on corporate bonds, published by Moody's Investors Service. The interest rates for new loans are fixed for the term of the loan.

Loans are repaid with interest in equal payments over the term of the loan by payroll deductions, personal check, or other such methods as may be required. Participants may repay the entire principal amount with written notice and without penalty. Partial prepayments in amounts not less than the regular repayment amount are permissible without penalty and without re-amortization of the remaining principal amount. A participant may have no more than two outstanding loans from the Plan at any time (subject to limited exceptions resulting from a plan merger).

In the event of a loan default, the amount of the outstanding balance will be reported to the Internal Revenue Service in the year of the default as ordinary income.

Participant Accounts

Each participant's account is credited with the participant's contributions and CRCs (as applicable) and allocation of (a) employer matching contributions and (b) investment results. The benefit to which a participant is entitled is the value of the participant's vested account.

Effective January 1, 2020, the costs of overnight delivery requests are charged to participants. Also, effective July 1, 2020, former employees are charged quarterly account recordkeeping fees.

Vesting

Participants are fully vested in their employee contributions, employer matching contributions and related investment results. Participants receiving CRCs and related earnings generally become vested in those amounts once the participant completes three years of service. This same three year vesting requirement applies to employer matching contributions for employees on salaried benefits whose first day of work is on or after January 1, 2018.

Forfeitures

During 2021, forfeitures of approximately \$20.6 million were used to reduce employer contributions in accordance with the terms of the Plan.

Plan Termination and Amendment

Although the Company has not expressed any intent to do so, it has the right under the Plan, to the extent permitted by law, to discontinue its contributions and to terminate the Plan in accordance with the provisions of ERISA. If the Plan is terminated, each participant's interest will be payable in full according to the Plan provisions. The Company also has the right under the Plan, to the extent permitted by law, to amend or replace the Plan for any reason.

Administrative and Investment Advisory Costs

Administrative costs of the Plan and investment advisory costs for the GE Stock Fund, ST Interest Fund, and the Money Market Fund are generally borne by the Company. For the registered investment companies, the Index Funds, Mercer GE International Equity Fund, and TRD Funds, investment advisors receive a management fee for providing investment advisory services. These management fees are reflected in interest and dividend income for the registered investment companies and in net appreciation (depreciation) in fair value of investments for the Index Funds, Mercer GE International Equity Fund and TRD Funds on the statement of change in net assets available for plan benefits.

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

(2) Summary of Significant Accounting Policies

(a) Basis of Accounting

The accompanying financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("US GAAP").

(b) Investments

Plan investments are reported at fair value. See notes 4 and 5 for additional information.

Investment transactions are recorded on a trade date basis. Dividends are recorded on the ex-dividend date. Interest income is earned from settlement date and recognized on the accrual basis. The net appreciation (depreciation) in the fair value of investments consists of the realized gains or losses on the sales of investments and the net unrealized appreciation (depreciation) of investments.

All portfolio securities of the Money Market Fund and any short-term money market instruments held by the ST Interest Fund with remaining maturities of sixty days or less at the time of purchase are valued on the basis of amortized cost, which approximates fair value.

More detailed information regarding these financial instruments, as well as the strategies and policies for their use, is contained in the documents described above under "Employee Contributions and Investment Options" in note 1.

(c) Fair Value Measurements

For financial assets and liabilities, fair value is the price the Plan would receive to sell an asset or pay to transfer a liability in an orderly transaction with a market participant at the measurement date. In the absence of active markets for the identical assets and liabilities, such measurements involve developing assumptions based on market observable data and, in the absence of such data, internal information that is consistent with what market participants would use in a hypothetical transaction that occurs at the measurement date.

Observable inputs reflect market data obtained from independent sources, while unobservable inputs reflect our market assumptions. Preference is given to observable inputs. These two types of inputs create the following fair value hierarchy:

Level 1 - Quoted prices for identical investments in active markets.

Level 2 - Quoted prices for similar investments in active markets; quoted prices for identical or similar investments in markets that are not active; and model-derived valuations whose inputs are observable or whose significant value drivers are observable.

Level 3 - Significant inputs to the valuation model are unobservable.

The Company maintains policies and procedures to value investments using the best and most relevant data available. In addition, the Company retains independent pricing vendors to assist in valuing certain investments.

The following section describes the valuation methodologies used to measure investments at fair value.

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

When available, quoted market prices are used to determine the fair value of investment securities, and they are included in Level 1. Level 1 securities include common stock, registered investment companies and certain short-term money market instruments.

When quoted market prices are unobservable, pricing information is obtained from an independent pricing vendor. The pricing vendor uses various pricing models for each asset class that are consistent with what other market participants would use. The inputs and assumptions to the model of the pricing vendor are derived from market observable sources including: benchmark yields, reported trades, broker/dealer quotes, issuer spreads, benchmark securities, bids, offers, and other market-related data. Since many fixed income securities do not trade on a daily basis, the methodology of the pricing vendor uses available information as applicable such as benchmark curves, benchmarking of like securities, sector groupings, and matrix pricing. The pricing vendor considers available market observable inputs in determining the evaluation for a security. Thus, certain securities may not be priced using quoted prices, but rather determined from market observable information. These investments are included in Level 2 and primarily comprise securities in the ST Interest Fund.

The Money Market Fund securities are typically valued on the basis of amortized cost which approximates fair value and these are included in Level 2.

Plan securities that are valued using techniques other than market quotations, particularly securities that are "fair valued," are subject to valuation risk. The inputs or methodology used for valuing securities are not an indication of the risk associated with investing in those securities.

Investments in collective funds held by the Plan, are generally valued using the net asset value ("NAV") per share as a practical expedient for fair value provided certain criteria are met. The NAVs are determined based on the fair values of the underlying investments of the funds. Investments that are measured at fair value using the NAV as a practical expedient are not classified in the fair value hierarchy.

(d) Notes Receivable from Participants

Loans to participants are recorded at the outstanding principal balance plus accrued interest.

(e) Participant Withdrawals

Participant withdrawals are recorded when paid. Included in participant withdrawals are GE common stock cash dividends paid to participants of approximately \$0.8 million during 2021.

(f) Management Estimates and Assumptions

The preparation of financial statements in conformity with US GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

(3) Asset Transfers

During the year ended December 31, 2021, the assets of Zionexa U.S. Corporation 401(k) Plan were transferred into the Plan. The Plan was amended to reflect the merger and to preserve certain provisions with respect to the account balances transferred to the Plan.

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

(4) Investments

A summary of the fair value of the Plan's investments at December 31, 2021 and 2020 follows.

	2021	2020
	(in thousands)	
Common Stock:		
GE Common Stock	\$ 2,588,030	\$ 2,610,726
Registered Investment Companies:		
SSGA Income Fund	1,385,142	1,590,652
SSGA US Core Equity Fund	4,958,566	4,307,062
SSGA Small-Cap Equity Fund	1,197,659	1,067,909
Total Registered Investment Companies	7,541,367	6,965,623
Collective Funds: ^(a)		
Non-U.S. Equity Index Fund	3,051,630	2,881,407
U.S. Aggregate Bond Index Fund	2,352,766	2,275,991
U.S. Large-Cap Equity Index Fund	4,263,692	3,493,971
U.S. Mid-Cap Equity Index Fund	1,338,711	1,092,884
U.S. Small-Cap Equity Index Fund	1,073,670	962,093
U.S. Treasury Inflation-Protected Securities Index Fund	620,184	517,046
Commodity Index Daily Fund	74,076	68,366
BlackRock Developed Real Estate Index Non-Lendable Fund	326,116	280,908
Russell 1000 Index Non-Lendable Fund	3,694,783	3,088,481
Mercer GE International Equity Fund	868,318	849,488
Total Collective Funds	17,663,946	15,510,635
Other Investments:		
Interest Bearing Cash	26,886	98,593
Short-Term Money Market Instruments	417,658	196,368
U.S. Treasury and U.S. Government Agency Debt Obligations	1,464,271	1,877,076
Commercial Mortgage-Backed, Corporate Notes and Asset-Backed Securities	240,078	357,973
Total Other Investments	2,148,893	2,530,010
Total investments at fair value	\$ 29,942,236	\$ 27,616,994

^(a) The Target Retirement Date Funds are separate accounts that invest in a combination of the Index Funds as well as the Commodity Index Daily Fund, BlackRock Developed Real Estate Index Non-Lendable Fund and the Russell 1000 Index Non-Lendable Fund (which are not otherwise offered as direct investment options in the Plan), representing a variety of asset classes. See note 1(m).

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

(5) Fair Value Measurements

The Plan's investments measured at fair value on a recurring basis at December 31, 2021 follow.

	Level 1	Level 2 (in thousands)	Total
<u>Investments</u>			
Common Stock	\$ 2,588,030	\$ —	\$ 2,588,030
Registered Investment Companies	7,541,367	—	7,541,367
Other Investments:			
Interest Bearing Cash	26,886	—	26,886
Short-Term Money Market Instruments	—	417,658	417,658
U.S. Treasury and U.S. Government Agency Debt Obligations	—	1,464,271	1,464,271
Commercial Mortgage-Backed, Corporate Notes and Asset-Backed Securities	—	240,078	240,078
Total Other Investments	26,886	2,122,007	2,148,893
	<u>\$ 10,156,283</u>	<u>\$ 2,122,007</u>	<u>12,278,290</u>
<u>Investments measured at net asset value (a)</u>			
Collective Funds			17,663,946
Total investments at fair value			<u>\$ 29,942,236</u>

The Plan's investments measured at fair value on a recurring basis at December 31, 2020 follow.

	Level 1	Level 2 (in thousands)	Total
<u>Investments</u>			
Common Stock	\$ 2,610,726	\$ —	\$ 2,610,726
Registered Investment Companies	6,965,623	—	6,965,623
Other Investments:			
Interest Bearing Cash	98,593	—	98,593
Short-Term Money Market Instruments	—	196,368	196,368
U.S. Treasury and U.S. Government Agency Debt Obligations	—	1,877,076	1,877,076
Commercial Mortgage-Backed, Corporate Notes and Asset-Backed Securities	—	357,973	357,973
Total Other Investments	98,593	2,431,417	2,530,010
	<u>\$ 9,674,942</u>	<u>\$ 2,431,417</u>	<u>12,106,359</u>
<u>Investments measured at net asset value (a)</u>			
Collective Funds			15,510,635
Total investments at fair value			<u>\$ 27,616,994</u>

^(a) The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the statements of net assets available for plan benefits. Investments in collective funds are valued based on the year-end unit net asset value ("NAV"). The NAV is used as a practical expedient to estimate fair value. These investments are priced daily and there are no unfunded commitments or redemption restrictions associated with the funds.

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

(6) Risk and Uncertainties

The Plan offers a number of investment options including the GE Common Stock Fund and a variety of investment funds, consisting of registered investment companies, collective funds, separate accounts and a money market fund. The registered investment companies, collective funds, separate accounts and money market fund invest in U.S. equities, international equities and fixed income securities. Investment securities in general, are exposed to various risks, such as interest rate, credit and overall market volatility risk. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur (including in the near term) and that such changes could materially affect participant account balances and amounts reported in the statements of net assets available for plan benefits.

The Plan's exposure to a concentration of credit risk is limited by the opportunity to diversify investments across multiple participant-directed fund elections including active and passively managed funds covering multiple asset classes. Additionally, the investments within each participant-directed fund election are further diversified into varied financial instruments, with the exception of the GE Common Stock Fund which primarily invests in a single security.

As of December 31, 2021 and 2020, the following investments represents more than 10% of the fair value of the Plan's total investments.

	2021	2020
	(in thousands)	
SSGA US Core Equity Fund	\$ 4,958,566	4,307,062
Non-US Equity Index Fund	3,051,630	2,881,407
U.S. Large-Cap Equity Index Fund	4,263,692	3,493,971
Russell 1000 Index Non-Lendable Fund	3,694,783	3,088,481

(7) Related Party Transactions (Parties-in-Interest)

The Plan's recordkeeper, trustee, investment advisors and custodians described in note 1, as well as the Company and Plan participants, are each a "party in interest" to the Plan as defined by ERISA. Parties in interest to the Plan are noted in the Schedule H, Line 4i - Schedule of Assets. Any fees paid by the Plan with respect to those or other transactions are described in the GE Retirement Savings Plan Supplemental Information document. The auditor and former auditor of the Plan's financial statements are also parties-in-interest.

During the year ended, December 31, 2021, the Plan purchased \$148.9 million and sold \$438.1 million of the GE Stock Fund. The Plan recorded dividend income for GE Common Stock of \$9.1 million, for the year ended December 31, 2021.

(8) Tax Status

In December 2016, the Internal Revenue Service ("IRS") began publishing a Required Amendments List for individually designed plans which specifies changes in qualification requirements. The list is published annually and requires plans to be amended for each item on the list, as applicable, to retain its tax-exempt status.

The IRS has notified the Company by a letter dated April 24, 2014, that the Plan is qualified under the appropriate sections of the IRC and that the related trust is tax-exempt. Although the Plan has been amended and restated since receiving the determination letter, the Plan administrator and the Plan's counsel believe that the Plan's current design and operations comply in all material respects with the applicable requirements of the IRC, and that the letter remains valid.

The portion of a participant's compensation contributed to the Plan as a pre-tax contribution, the Company's matching contribution and CRCs are not subject to Federal income tax when such contributions are credited to participant

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

accounts, subject to certain limitations. These amounts and any investment results may be included in the participant's gross taxable income for the year in which such amounts are withdrawn from the Plan.

(9) Subsequent Events

Subsequent events after the statement of net assets available for plan benefits date through June 17, 2022, the date that the financial statements were issued, have been evaluated in the preparation of these financial statements.

The Company intends to form three companies focused on aviation, healthcare, and energy. The Company currently anticipates that the Plan's assets are likely to be allocated among plans maintained by the three companies.

In June 2022, the assets of GE Incentive Savings Plan for Union Employees of Aviation Systems and Unison Engine Components merged into the Plan.

(10) Reconciliation of Financial Statements to Form 5500

Notes receivable from participants are classified as investments per Form 5500 instructions. In addition, any deemed distributions are not considered to be plan assets per Form 5500 and are excluded from notes receivable from participants. However, these distributions remain a plan asset for purposes of these financial statements until a distributable event occurs and they are offset against plan assets.

A reconciliation of investments per the financial statements to the annual report filed on Form 5500, Schedule H as required by the Department of Labor follows.

	2021	2020
	(in thousands)	
Total investments per financial statements	\$ 29,942,236	\$ 27,616,994
Total notes receivable per financial statements	216,181	245,846
Deemed distributions	(7,816)	(9,903)
Total notes receivable per Form 5500	208,365	235,943
Total investments per Form 5500	<u>\$ 30,150,601</u>	<u>\$ 27,852,937</u>

A reconciliation of total deductions from net assets per the financial statements to the annual report filed on Form 5500, Schedule H as required by the Department of Labor follows.

	2021
	(in thousands)
Total deductions from net assets per financial statements	\$ 2,868,672
Deemed distributions offset against plan assets	(3,816)
New deemed distributions	1,729
Total expenses per Form 5500	<u>\$ 2,866,585</u>

GE RETIREMENT SAVINGS PLAN

Notes to Financial Statements

December 31, 2021 and 2020

A reconciliation of amounts per the financial statements to the annual report filed on Form 5500, Schedule H as required by the Department of Labor follows.

	<u>2021</u>	<u>2020</u>
	(in thousands)	
Net assets available for plan benefits per the financial statements	\$ 30,291,689	\$ 27,849,439
Deemed distributions	<u>(7,816)</u>	<u>(9,903)</u>
Net assets available for plan benefits per the Form 5500	<u><u>\$ 30,283,873</u></u>	<u><u>\$ 27,839,536</u></u>
		<u>2021</u>
		(in thousands)
Total net increase after asset transfers per the financial statements		\$ 2,442,250
Changes in deemed distributions		<u>2,087</u>
Total net gain per the Form 5500		<u><u>\$ 2,444,337</u></u>

2021 5500, Form 5500, Part I

Plan Name: GE RETIREMENT SAVINGS PLAN

Plan Year Beginning: 01/01/2021

Plan Year Ending: 12/31/2021

Employer Identification Number: 14-0689340

Three-Digit Plan Number: 334

MULTIPLE EMPLOYER PLAN PARTICIPATING EMPLOYER INFORMATION

*Name of participating employers are members of GE's controlled group but are listed separately. RSP contribution percentages include employee contributions and employer contributions. Items shown as 0.00% represent contributions that round to less than 1/100th of a percent of total contributions.

<u>Name of Participating Employer*</u>	<u>EIN</u>	<u>Percent of Total Contributions</u>	<u>Aggregate Account Balances</u> <u>at End of Year</u>
80% or More Owned by General Electric Company			
General Electric Company	14-0689340	39.18%	\$ 12,105,796,336
GE Precision Healthcare LLC	83-0849145	16.04%	2,324,891,726
General Electric International, Inc.	13-1962940	7.08%	1,580,789,689
GE Aviation Systems LLC	38-2733944	3.69%	554,194,774
GE Medical Systems Information Technologies, Inc.	39-1046671	3.47%	476,749,016
GE Gas Turbines (Greenville) L.L.C.	58-2459509	2.65%	496,570,070
GE Medical Systems, LLC	41-2091618	2.59%	446,021,122
GE Healthcare IITS USA Corp.	03-0363612	2.33%	708,111,176
GE Digital Holdings LLC	47-3902376	2.29%	282,530,645
GE Steam Power, Inc.	06-1553604	1.93%	534,252,691
GE Renewables Grid LLC	34-1258868	1.48%	276,558,536
GE Engine Services, LLC	31-1466360	1.45%	217,984,613
Unison Industries, LLC	59-3530410	1.35%	223,593,255
GE Capital US Holdings, Inc.	47-4432326	1.34%	1,082,590,440
Datex-Ohmeda, Inc.	22-3029570	1.05%	176,490,367
GE Grid Solutions, LLC	47-3071926	0.99%	218,920,495
OEC Medical Systems, Inc.	94-2538512	0.89%	148,206,475
GE Healthcare Inc.	13-3786405	0.68%	111,238,447
Unison Engine Components Inc	51-0260176	0.65%	73,048,059
GE Energy Management Services, LLC	35-1886526	0.58%	109,273,257
Electric Insurance Company	04-2422119	0.49%	107,254,173
Johnson Technology, Inc.	52-1834578	0.48%	62,535,353
GE Medical Systems, Ultrasound & Primary Care Diagnostics LLC	92-0192942	0.47%	96,785,327
GE Energy Power Conversion USA Inc.	03-0570767	0.38%	89,403,208
Employers Reassurance Corporation	48-1024691	0.28%	59,624,646
GE Flight Efficiency Services, Incorporated	74-2504046	0.26%	18,634,597
Medi-Physics, Inc.	94-1718319	0.24%	115,943,233
DNH Medical Management, Inc.	95-3263067	0.24%	26,536,107
USA Instruments, Inc	34-1736270	0.21%	29,235,136
GE Engine Services - McAllen, LP	65-0666009	0.19%	23,710,208
GE Renewables US LLC	46-3735218	0.18%	24,631,419
GE MDS, LLC	20-8016239	0.18%	34,554,861
GE Engine Services Distribution, L.L.C.	31-1466361	0.15%	19,988,564
GE On Wing Support, Inc.	31-1464210	0.14%	25,933,452
Viceroy, Inc.	76-0313592	0.13%	18,597,529
GE Drives & Controls, Inc.	32-0016298	0.12%	47,035,120
GE Parallel Design Inc.	86-0675710	0.12%	19,184,430
Blade Dynamics Limited Liability Limited Partnership	42-1773160	0.11%	11,191,303
GE Packaged Power, LLC	76-0556188	0.10%	54,700,592
GE Energy Power Conversion Naval Systems Inc.	20-5743579	0.08%	15,367,841
GE Energy Parts, Inc.	58-2384612	0.07%	19,818,297
GECAS Asset Management Services, Inc.	62-0854210	0.07%	12,651,894
GE Infrastructure Technology International LLC	64-0951924	0.07%	12,151,855
GE Aviation Materials, Inc.	31-1709193	0.06%	8,566,157
AIRXOS, Inc.	81-5448185	0.04%	6,023,806
U-Systems, Inc.	77-0494766	0.03%	7,908,732
Critical Technologies, Inc.	73-1584657	0.02%	1,096,229
Avio Inc.	36-3691585	0.02%	2,805,135
Naverus, Inc.	47-0912896	0.01%	1,818,161
The Milestone Aviation Group LLC	27-1763035	0.01%	1,247,862
GE Switzerland Management LLC	36-4822117	0.01%	824,354
Power Holding LLC	51-0393885	0.01%	39,696,910
GE Engine Services - Dallas, LP	65-0666006	0.00%	5,703,634
GE Medical Systems, Inc.	39-1939239	0.00%	17,334,662
GE Packaged Power, L.P.	74-2859766	0.00%	19,892,567

2021 5500, Form 5500, Part I

Plan Name: GE RETIREMENT SAVINGS PLAN

Plan Year Beginning: 01/01/2021

Employer Identification Number: 14-0689340

Plan Year Ending: 12/31/2021

Three-Digit Plan Number: 334

MULTIPLE EMPLOYER PLAN PARTICIPATING EMPLOYER INFORMATION

*Name of participating employers are members of GE's controlled group but are listed separately. RSP contribution percentages include employee contributions and employer contributions. Items shown as 0.00% represent contributions that round to less than 1/100th of a percent of total contributions.

<u>Name of Participating Employer*</u>	<u>EIN</u>	<u>Percent of Total Contributions</u>	<u>Aggregate Account Balances at End of Year</u>
50-80% Owned by General Electric Company			
GE-Hitachi Nuclear Energy Americas LLC	33-1153677	1.80%	378,419,851
Global Nuclear Fuel Holding Co., LLC	52-2205424	0.81%	139,777,783
Aero Products and Services JV, LLC	83-3429691	0.43%	40,828,174
Prolec GE USA LLC	84-4477757	0.23%	12,779,909
Avionica, LLC	65-0305463	0.02%	2,664,988
GE-Hitachi Global Laser Enrichment LLC	01-0893995	0.00%	3,671,405
Total		100.00%	\$ 23,784,340,653

Reconciliation to Total Net Assets on Form 5500, Sch H

Aggregate Account Balances Above	23,784,340,653
Aggregate Account Balances*	6,157,895,756
Subtotal Investments @ Fair Value	\$ 29,942,236,409
Participant Notes Receivable	208,364,799
Employer Contributions Receivable	182,547,532
Accrued Dividends & Interest	4,078,889
Other Assets	9,373,321
Other Liabilities	(62,727,995)
Total Net Assets on Form 5500, Sch H	\$ 30,283,872,955

*The difference between the aggregate account balances included on this schedule and the investments at fair value shown on Schedule H is due to the fact that we are not reporting account balances of former employees who worked for businesses that we sold prior to January 1, 2021.

2021 5500, Schedule H, Line 4i

Plan Name: GE RETIREMENT SAVINGS PLAN

Plan Year Beginning: 01/01/2021 Employer

Plan Year Ending: 12/31/2021

Identification Number: 14-689340

Three-Digit Plan Number: 334

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

GE RETIREMENT SAVINGS PLAN
Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
As of December 31, 2021

<u>Description</u>	<u>Shares</u>	<u>Fair Value*</u>	
<u>Corporate Stocks - Common</u>			
GE Common Stock	27,395,253	\$ 2,588,029,551	(g)
<u>Registered Investment Companies</u>			
SSGA Income Fund	118,692,502	1,385,141,498	(b),(g)
SSGA US Core Equity Fund	66,863,079	4,958,565,945	(b),(g)
SSGA Small-Cap Equity Fund	59,733,635	1,197,659,373	(b),(g)
Total Registered Investment Companies		7,541,366,816	
<u>Collective Funds</u>			
Non-U.S. Equity Index Fund		3,051,630,208	
U.S. Aggregate Bond Index Fund		2,352,766,137	
U.S. Large-Cap Equity Index Fund		4,263,692,438	
U.S. Mid-Cap Equity Index Fund		1,338,710,979	
U.S. Small-Cap Equity Index Fund		1,073,669,706	
U.S. Treasury Inflation-Protected Securities Index Fund		620,183,733	
Commodity Index Daily Fund		74,075,759	
BlackRock Developed Real Estate Index Non-Lendable Fund		326,116,289	
Russell 1000 Index Non-Lendable Fund		3,694,783,244	
Mercer GE International Equity Fund		868,318,087	
Total Collective Funds		17,663,946,580	
<u>Other Investments</u>			
<u>Short-Term Money Market Instruments</u>			
BNP Paribas S.A.	0.050 %	1/3/2022	\$ 120,000,000
Citigroup Global Markets, Inc.	0.050	1/3/2022	120,000,000
TD Securities USA LLC	0.050	1/3/2022	50,000,000
Merrill Lynch, Pierce, Fenner & Smith, Inc.	0.050	1/3/2022	127,658,000
Total Short-Term Money Market Instruments			417,658,000
<u>Interest Bearing Cash</u>			
State Street Bank	0.010	—	26,885,855 (g)
<u>U.S. Treasury and U.S. Government Agency Debt Obligations</u>			
Federal Home Loan Banks	0.170	2/28/2022	37,506,603 (d)
Federal Home Loan Banks	0.060	3/28/2022	9,000,000 (d)
Federal Home Loan Banks	0.060	4/14/2022	7,750,000 (d)
Federal Home Loan Banks	0.060	4/22/2022	6,200,000 (d)
Federal Home Loan Banks	0.060	6/1/2022	3,000,000 (d)
Federal Home Loan Banks	0.055	3/14/2022	2,200,000 (d)
Federal Home Loan Banks	0.055	4/13/2022	15,000,000 (d)
Federal Home Loan Banks	0.055	3/11/2022	2,900,000 (d)
Federal Home Loan Banks	0.055	5/27/2022	29,000,000 (d)
Federal Home Loan Banks	0.050	1/24/2022	27,499,948
Federal Home Loan Banks	0.050	1/28/2022	9,499,957
Federal Home Loan Mortgage Corp	2.375	1/13/2022	8,057,074
Federal Home Loan Mortgage Corp	7.000	11/1/2031	10,074
Federal Home Loan Mortgage Corp	7.000	4/1/2032	81,974
Federal Home Loan Mortgage Corp	7.000	6/1/2032	24,070
Federal Home Loan Mortgage Corp	7.500	1/1/2027	41,452
Federal Farm Credit Discount Notes	0.010	1/13/2022	6,399,979 (c)
Federal Farm Credit Discount Notes	0.010	6/16/2022	799,705 (c)
Federal Farm Credit Banks Funding Corp.	0.080	10/4/2022	2,515,000 (d)
Federal Farm Credit Banks Funding Corp.	0.055	5/26/2022	4,799,967 (d)
Federal Farm Credit Banks Funding Corp.	0.055	6/21/2022	3,949,953 (d)
Federal Farm Credit Banks Funding Corp.	0.055	7/1/2022	16,681,916 (d)
Federal Farm Credit Banks Funding Corp.	0.055	7/12/2022	17,499,626 (d)
U.S. Treasury Notes	0.125	5/31/2022	3,100,603 (c)
U.S. Treasury Notes	1.875	8/31/2022	25,298,014 (c)
U.S. Treasury Notes	1.875	3/31/2022	7,533,261 (c)
U.S. Treasury Notes	1.750	3/31/2022	7,530,969 (c)
U.S. Treasury Notes	1.750	4/30/2022	7,239,211 (c)
U.S. Treasury Notes	1.750	6/30/2022	2,289,848 (c)
U.S. Treasury Notes	1.750	7/15/2022	6,860,175 (c)
U.S. Treasury Notes 3 month TMM Yield + 0.11%	0.199	4/30/2022	27,698,014 (d)

GE RETIREMENT SAVINGS PLAN
Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
As of December 31, 2021

Other Investments, continued

<u>Description</u>	<u>Rate of Interest</u>	<u>Maturity</u>	<u>Fair Value*</u>
<u>U.S. Treasury and U.S. Government Agency Debt Obligations</u>			
U.S. Treasury Bills	0.010 %	2/24/2022	\$ 18,755,593 (c)
U.S. Treasury Bills	0.010	4/21/2022	39,792,805 (c)
U.S. Treasury Bills	0.010	1/6/2022	24,999,826 (c)
U.S. Treasury Bills	0.010	1/13/2022	94,008,856 (c)
U.S. Treasury Bills	0.010	1/20/2022	32,999,021 (c)
U.S. Treasury Bills	0.010	2/10/2022	20,688,842 (c)
U.S. Treasury Bills	0.010	3/3/2022	29,997,204 (c)
U.S. Treasury Bills	0.010	3/10/2022	44,894,445 (c)
U.S. Treasury Bills	0.010	3/17/2022	74,991,406 (c)
U.S. Treasury Bills	0.010	4/14/2022	16,997,211 (c)
U.S. Treasury Bills	0.010	4/28/2022	19,996,149 (c)
U.S. Treasury Bills	0.010	5/5/2022	19,995,694 (c)
U.S. Treasury Bills	0.010	5/12/2022	30,043,276 (c)
U.S. Treasury Bills	0.010	5/26/2022	24,992,951 (c)
U.S. Treasury Bills	0.010	6/2/2022	19,992,400 (c)
U.S. Treasury Bills	0.010	1/4/2022	24,999,898 (c)
U.S. Treasury Bills	0.010	1/11/2022	19,999,674 (c)
U.S. Treasury Bills	0.010	1/18/2022	80,058,804 (c)
U.S. Treasury Bills	0.010	2/1/2022	32,999,203 (c)
U.S. Treasury Bills	0.010	2/8/2022	19,998,944 (c)
U.S. Treasury Bills	0.010	2/15/2022	29,998,031 (c)
U.S. Treasury Bills	0.010	2/22/2022	39,996,930 (c)
U.S. Treasury Bills	0.010	3/1/2022	44,996,040 (c)
U.S. Cash Management Bills	0.010	3/8/2022	24,997,479 (c)
U.S. Cash Management Bills	0.010	3/29/2022	19,996,617 (c)
U.S. Cash Management Bills	0.010	4/5/2022	20,056,149 (c)
U.S. Treasury Notes	0.239	1/31/2022	35,104,504 (d)
Federal Home Loan Mortgage Corp.	7.500	1/1/2025	10,016
Federal Home Loan Mortgage Corp.	7.000	3/1/2029	30,051
Federal Home Loan Mortgage Corp.	7.500	2/1/2031	15,301
Federal Home Loan Mortgage Corp.	7.000	10/1/2031	199,279
Federal National Mortgage Assoc.	8.000	5/1/2032	21,863
Federal National Mortgage Assoc.	7.500	6/1/2032	33,732
Federal National Mortgage Assoc.	8.000	3/1/2032	61,267
Federal National Mortgage Assoc.	7.500	10/1/2030	18,697
Federal National Mortgage Assoc.	2.310	12/1/2032	136,955 (d)
Federal National Mortgage Assoc.	1.788	5/1/2033	235,972 (d)
Federal National Mortgage Assoc.	1.790	6/1/2033	315,571 (d)
Federal National Mortgage Assoc.	1.915	6/1/2033	233,007 (d)
Federal National Mortgage Assoc.	1.751	7/1/2033	151,260 (d)
Federal National Mortgage Assoc.	7.000	11/1/2033	113,554
Federal National Mortgage Assoc.	7.500	3/1/2034	112,229
Federal National Mortgage Assoc.	7.000	3/1/2034	9,865
Federal National Mortgage Assoc.	7.000	1/1/2036	61,865
Federal National Mortgage Assoc.	7.000	5/1/2035	71,118
Federal National Mortgage Assoc.	1.848	6/1/2044	6,061,603 (d)
Federal National Mortgage Assoc.	4.000	1/19/2037	17,902,020
Government National Mortgage Assoc.	7.000	5/15/2032	74,601
Government National Mortgage Assoc.	7.500	5/15/2031	29,880
Government National Mortgage Assoc.	7.500	3/15/2031	1,840
Government National Mortgage Assoc.	7.500	1/15/2031	52,151
Government National Mortgage Assoc.	7.500	9/15/2031	67,553
Government National Mortgage Assoc.	7.500	7/15/2031	32,473
Government National Mortgage Assoc.	7.000	11/15/2023	6,748
U.S. Treasury Notes	0.125	9/15/2023	12,701,826
U.S. Treasury Notes	0.125	12/15/2023	46,445,828
U.S. Treasury Notes	0.125	2/15/2024	22,457,726
U.S. Treasury Notes	0.750	4/30/2026	9,616,775
U.S. Treasury Notes	0.250	5/15/2024	28,926,013
U.S. Treasury Notes	0.375	8/15/2024	39,780,133
U.S. Treasury Notes	0.625	10/15/2024	61,569,090
U.S. Treasury Notes	2.625	2/15/2029	11,560,916 (f)

GE RETIREMENT SAVINGS PLAN
Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
As of December 31, 2021

Other Investments, continued

<u>Description</u>	<u>Rate of Interest</u>	<u>Maturity</u>	<u>Fair Value*</u>	
<u>U.S. Treasury and U.S. Government Agency Debt Obligations</u>				
Federal Home Loan Mortgage Corp.	1.059 %	12/15/2031	\$ 144,516	(d)
Federal National Mortgage Assoc.	4.500	2/25/2040	691,209	
Vendee Mortgage Trust	0.019	10/15/2026	1,313	(d), (e)
Total U.S. Treasury and U.S. Government Agency Debt Obligations			<u>1,464,271,161</u>	

Commercial Mortgage-Backed, Corporate Notes and Asset-Backed Securities

AbbVie Inc.	3.600	5/14/2025	1,062,730	
AbbVie Inc.	3.450	3/15/2022	1,401,596	
AbbVie Inc.	3.250	10/1/2022	2,025,380	
American Electric Power Company Inc.	0.750	11/1/2023	1,098,934	
AmerisourceBerganCorp	0.737	3/15/2023	803,012	
Amgen Inc.	3.625	5/22/2024	1,053,900	
AT&T Inc.	3.400	5/15/2025	1,587,165	
AT&T Inc.	0.689	3/25/2024	1,500,375	(d)
AT&T Inc.	0.900	3/25/2024	1,494,030	
AT&T Inc.	1.700	3/25/2026	995,880	
Athene Global Funding	2.800	5/26/2023	3,076,110	(a)
Atmos Energy Corp	0.625	3/9/2023	1,660,638	
Bank of America Corp.	4.000	1/22/2025	1,924,866	
Bank of America Corp. (1.49% fixed rate until 05/19/23; 1.46% + SOFR thereafter)	1.486	5/19/2024	1,007,330	(d)
Barclays Bank PLC	1.700	5/12/2022	737,352	
Barclays PLC (4.61% fixed rate until 02/15/22; 1.40% + 3 month USD LIBOR thereafter)	4.610	2/15/2023	1,506,360	(d)
Berry Global Inc	0.950	2/15/2024	2,375,616	
Blackstone Private Credit	2.350	11/22/2024	1,500,240	(a)
Boeing Co.	2.700	5/1/2022	5,739,273	
Boeing Co.	1.950	2/1/2024	1,013,270	
Boeing Co.	1.167	02/04/2023	665,113	
Boeing Co.	1.433	02/04/2024	998,930	
Bristol-Myers Squibb Co.	0.537	11/13/2023	1,631,652	
Brunswick Corp	0.850	8/18/2024	1,972,300	
Canadian Pacific Railway	1.350	12/2/2024	1,897,255	
Capital One Financial Corp.	2.600	5/11/2023	1,021,140	
Carlisle Cos Inc	0.550	9/1/2023	382,036	
Centene Corp.	4.250	12/15/2027	2,092,180	
Centerpoint Energy Inc	0.699	5/13/2024	1,499,955	(d)
Centerpoint Energy Resources Corp	0.700	3/2/2023	1,871,709	
Charter Communications Operating LLC / Charter Communications Operating Capital	4.464	7/23/2022	3,046,020	
Charter Communications Operating LLC/Charter Communications Operating Capital	4.500	2/1/2024	1,594,590	
Chevron Corp.	1.141	5/11/2023	432,774	
CIT Group Inc.	5.000	8/15/2022	2,560,725	
Citigroup Inc.	3.875	10/25/2023	1,159,367	
Citigroup Inc.	4.400	6/10/2025	1,634,490	
Citigroup Inc.	0.981	5/1/2025	446,769	(d)
Consolidated Edison Inc.	0.650	12/1/2023	1,389,374	
Continental Resources Inc.	2.268	11/15/2026	1,241,300	(a)
Credit Suisse Group AG (3.00% fixed rate until 12/14/22; 1.20% + 3 month USD LIBOR thereafter)	2.997	12/14/2023	1,524,465	(a), (d)
Cummins Inc.	0.750	9/1/2025	490,955	
Daimler Trucks Finance North America LLC	0.649	12/14/2023	1,501,605	(a), (d)
Daimler Trucks Finance North America LLC	0.799	12/13/2024	1,501,395	(a), (d)
Duke Energy Corp.	0.299	6/10/2023	2,496,600	(d)
Edison International	2.400	9/15/2022	2,017,100	
Emera US Finance LP	0.833	6/15/2024	2,451,675	
Enbridge Inc.	0.550	10/4/2023	993,480	
Exxon Mobil Corp.	1.571	4/15/2023	2,022,900	
Fidelity National Information Services Inc.	1.150	3/1/2026	975,690	
GA Global Funding Trust	1.625	1/15/2026	1,240,288	(a)
General Motors Co.	5.400	10/2/2023	289,162	
General Motors Financial Co. Inc.	3.550	7/8/2022	2,536,450	
Gilead Sciences Inc.	0.750	9/29/2023	1,041,220	
GlaxoSmithKline Capital PLC	2.875	6/1/2022	4,031,440	
Goldman Sachs Group Inc.	3.500	4/1/2025	1,058,400	
Goldman Sachs Group Inc. (.54% fixed rate until 10/21/23; 0.49% + SOFR thereafter)	0.538	10/21/2024	1,793,844	(d)

GE RETIREMENT SAVINGS PLAN
Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
As of December 31, 2021

Other Investments, continued

<u>Description</u>	<u>Rate of Interest</u>	<u>Maturity</u>	<u>Fair Value*</u>
<u>Commercial Mortgage-Backed, Corporate Notes and Asset-Backed Securities</u>			
Goldman Sachs Group Inc. (.75% + 3 month USD LIBOR)	0.914 %	2/23/2023	\$ 2,208,514 (d)
Graphic Packaging	0.821	4/15/2024	2,460,925 (a)
Gray Oak Pipeline LLC	2.000	9/15/2023	2,527,900 (a)
Gray Oak Pipeline LLC	2.600	10/15/2025	1,218,372 (a)
HCA Inc.	5.000	3/15/2024	2,151,600
Hill-Rom Holdings Inc.	4.375	9/15/2027	1,120,076 (a)
Home Depot Inc.	3.250	3/1/2022	84,398
HSBC Holdings PLC	4.250	3/14/2024	1,928,003
HSBC Holdings PLC (.63% fixed rate until 11/22/23; 0.58% + SOFR thereafter)	0.629	11/22/2024	749,978 (d)
Huntington Ingalls Industries	0.670	8/16/2023	753,510 (a)
Hyatt Hotels Corp.	1.300	10/1/2023	1,030,505
Hyundai Capital America	0.800	1/8/2024	1,481,265 (a)
Hyundai Capital America	1.000	9/17/2024	2,460,475 (a)
Imperial Brands Finance PLC	3.500	2/11/2023	3,569,160 (a)
Imperial Brands Finance PLC	3.750	7/21/2022	1,515,780 (a)
ING Groep N.V.	1.726	4/1/2027	451,965 (d)
ING Groep N.V.	1.059	4/1/2027	1,514,745 (d)
Intercontinental Exchange Inc.	0.700	6/15/2023	1,323,874
International Flavors & Fragrances Inc.	0.697	9/15/2022	999,620 (a)
JP Morgan Chase Co.	1.045	11/19/2026	1,168,956 (d)
KLA Corp.	4.650	11/1/2024	1,626,390
Leidos Inc.	2.950	5/15/2023	3,225,506
Lloyds Banking Group PLC (3.87% fixed rate until 07/09/22; 3.50% + 1 year CMT Rate thereafter)	3.870	7/9/2025	523,255 (d)
Lloyds Banking Group PLC (1.33% fixed rate until 07/09/24; 1.10% + 1 year CMT Rate thereafter)	1.326	6/15/2023	951,691 (d)
Microchip Technology Inc.	2.670	9/1/2023	2,044,060
Mitsubishi UFJ Financial Group Inc.	2.623	7/18/2022	2,224,860
Mondelez International Inc.	1.500	05/04/2025	1,002,020
Morgan Stanley	2.750	05/19/2022	1,787,292
Morgan Stanley (0.75% fixed rate until 01/20/22; 0.70% + SOFR thereafter)	0.749	1/20/2023	1,500,210 (d)
Morgan Stanley (0.79% fixed rate until 01/22/24; 0.51% + SOFR thereafter)	0.791	1/22/2025	2,476,125 (d)
National Rural Utilities Co Op	0.379	10/18/2024	2,493,475 (d)
National Securities Clearing Corp.	1.200	4/23/2023	1,258,050 (a)
Natwest Group PLC	3.875	9/12/2023	2,085,460
NatWest Markets PLC	0.800	8/12/2024	737,955 (a)
NRG Energy Inc.	2.000	12/2/2025	1,510,320 (a)
One Gas Inc	1.100	3/11/2024	3,968,160
Otis Worldwide Corp.(0.59% fixed rate until 01/11/22; 0.45% + 3 month USD LIBOR thereafter)	0.583	4/5/2023	1,250,012 (d)
Ovintiv Exploration Inc.	5.625	7/1/2024	2,203,760
Pacific Gas & Electric Co.	1.750	6/16/2022	769,469
Pacific Gas & Electric Co. (1.60% fixed rate until 06/16/22; 1.48% + 3 month USD LIBOR thereafter)	1.690	6/16/2022	1,500,375 (d)
Pacific Gas & Electric Co. 1.15% + SOFR	1.199	11/14/2022	1,868,241 (d)
PayPal Holdings Inc.	1.350	6/1/2023	932,770
PerkinElmer Inc.	0.850	9/15/2024	985,940
Pinnacle West Capital Corp.	1.300	6/15/2025	3,444,980
Pioneer Natural Resources	0.750	1/15/2024	2,649,448
Plains All American Pipeline LP/PAA Finance Corp.	3.850	10/15/2023	2,076,700
PPG Industries Inc.	1.200	3/15/2026	979,150
Ralph Lauren Corp.	1.700	6/15/2022	241,356
Reynolds American Inc.	4.450	6/12/2025	1,079,820
Rockwell Automation Inc.	0.350	8/15/2023	994,190
Royalty Pharma PLC	0.750	9/2/2023	799,824
Royalty Pharma PLC	1.200	9/2/2025	1,050,997
Siemens Financieringsmaatschappij N.V.	0.650	3/11/2024	2,478,025 (a)
Southern Natural Gas Companies LLC	0.625	4/28/2023	2,843,808 (a)
Stryker Corp.	0.600	12/1/2023	1,242,287
Sumitomo Mitsui Financial Group Inc.	4.436	4/2/2024	3,040,223 (a)
Sumitomo Mitsui Trust Bank Ltd.	0.800	9/16/2024	1,797,789 (a)
Sumitomo Mitsui Trust Bank Ltd.	0.489	9/16/2024	1,823,285 (a), (d)
Suncor Energy Inc.	2.800	5/15/2023	665,295
Teledyne Technologies	0.950	4/1/2024	2,970,330
Thermo Fisher Scientific Inc.	0.399	4/18/2023	4,993,350 (d)
Thermo Fisher Scientific Inc.	0.579	10/18/2024	1,135,897 (d)
Thermo Fisher Scientific Inc.	0.439	10/18/2023	2,503,375 (d)

GE RETIREMENT SAVINGS PLAN
Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
As of December 31, 2021

Other Investments, continued

<u>Description</u>	<u>Rate of Interest</u>	<u>Maturity</u>	<u>Fair Value*</u>
<u>Commercial Mortgage-Backed, Corporate Notes and Asset-Backed Securities</u>			
T-Mobile USA Inc.	3.500 %	4/15/2025	\$ 1,589,595
Truist Bank	0.249	1/17/2024	3,639,415 (d)
UBS AG	1.750	4/21/2022	1,102,706 (a)
Verizon Communications Inc.	0.750	3/22/2024	1,814,267
ViacomCBS Inc.	4.750	5/15/2025	1,097,730
Viatis Inc.	1.125	6/22/2022	2,004,060
Volkswagen Group of America Finance LLC	0.750	11/23/2022	2,999,640 (a)
Walgreens Boots Alliance Inc.	0.950	11/17/2023	2,497,875
Walt Disney Co.	4.000	10/1/2023	1,053,430
Western Midstream Operating LP 1.85% + 3 month USD LIBOR	1.971	1/13/2023	3,494,190 (d)
Williams Cos. Inc.	4.300	3/4/2024	1,057,310
Xcel Energy Inc.	0.500	10/15/2023	1,986,340
7-Eleven Inc.	0.625	2/10/2023	2,987,790 (a)
7-Eleven Inc.	0.800	2/10/2024	1,482,780 (a)
BX Commercial Mortgage Trust 2019-XL 0.92% + 1 month USD LIBOR	1.030	10/15/2036	4,170,139 (a),(d)
Citigroup Commercial Mortgage Trust 2013-GC15	0.824	9/10/2046	215,334 (d),(e)
Credit Suisse Mortgage Capital Certificates 0.98% + 1 month USD LIBOR	1.090	5/15/2036	4,998,480 (a),(d)
Carmax Auto Owner Trust 2019-4	2.130	7/15/2025	957,593
Santander Retail Auto Lease Trust 2019-C	1.930	11/20/2023	2,686,424 (a)
Securitized Term Auto Receivables Trust 2018-2	3.544	6/26/2023	719,797 (a)
Total Commercial Mortgage-Backed, Corporate Notes and Asset-Backed Securities			<u>240,078,446</u>
Total Other Investments			<u>2,148,893,462</u>
Total Investments			<u>29,942,236,409</u>
<u>Notes Receivable from Participants</u>			
	<u>Rate of Interest</u>	<u>Maturity**</u>	
Total Notes Receivable from Participants	2.70 - 9.78%	1 month - 30 yrs.	<u>208,364,799 (g)</u>
Total Assets (Held at End of Year)			<u>\$ 30,150,601,208</u>

GE RETIREMENT SAVINGS PLAN
Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
As of December 31, 2021

Notes to Schedule of Assets:

- (a) Pursuant to Rule 144A of the Securities Act of 1933, as amended, these securities may be resold in transactions exempt from registration, normally to qualified institutional buyers. At December 31, 2021, these securities amounted to \$66,329,695 or 13.09% of the net assets of the GE RSP Short-Term Interest Fund. These securities have been determined to be liquid using procedures established by the Fund's Board of Trustees.
- (b) Funds managed and administered by SSGA FM, an affiliate of SSC.
- (c) Coupon amount represents effective yield.
- (d) Variable or floating rate of security. The stated rate represents the rate at December 31, 2021.
- (e) Interest only security. These securities represent the right to receive the monthly interest payments on an underlying pool of mortgages. Payments of principal on the pool reduce the value of the "interest only" holding.
- (f) At December 31, 2021, a portion of this security was pledged to cover collateral requirements for futures.
- (g) Represents a party-in-interest to the Plan.

* Cost omitted for participant directed investments

** Includes grandfathered loans from plan mergers.

See accompanying Independent Auditors' Report.