

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I Annual Report Identification Information	
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	☐

Part II Basic Plan Information—enter all requested information											
1a Name of plan <u>UNITY INTERNATIONAL GROUP 401(K) PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; padding: 2px; text-align: center;"><u>002</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">1c Effective date of plan <u>10/01/1994</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">2b Employer Identification Number (EIN) <u>13-2932294</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">2c Plan Sponsor's telephone number <u>718-539-4300</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">2d Business code (see instructions) <u>238210</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>002</u>	1c Effective date of plan <u>10/01/1994</u>		2b Employer Identification Number (EIN) <u>13-2932294</u>		2c Plan Sponsor's telephone number <u>718-539-4300</u>		2d Business code (see instructions) <u>238210</u>	
1b Three-digit plan number (PN) ▶	<u>002</u>										
1c Effective date of plan <u>10/01/1994</u>											
2b Employer Identification Number (EIN) <u>13-2932294</u>											
2c Plan Sponsor's telephone number <u>718-539-4300</u>											
2d Business code (see instructions) <u>238210</u>											
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>UNITY ELECTRIC COMPANY, INC.</u> <u>65-21 FRESH MEADOW LANE</u> <u>FLUSHING, NY 11365</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/13/2022	PETER ANHOLZER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	280
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	144
a(2) Total number of active participants at the end of the plan year		6a(2)	135
b Retired or separated participants receiving benefits.....		6b	0
c Other retired or separated participants entitled to future benefits		6c	137
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	272
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	2
f Total. Add lines 6d and 6e		6f	274
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	204
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2S 2T 3D 3H			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan UNITY INTERNATIONAL GROUP 401(K) PLAN	B Three-digit plan number (PN) ▶	002
C Plan sponsor's name as shown on line 2a of Form 5500 UNITY ELECTRIC COMPANY, INC.	D Employer Identification Number (EIN) 13-2932294	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
MATRIX TRUST COMPANY
75-3182674

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
MSCS FINANCIAL SERVICES
33-1151291

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MILLIMAN

91-0675641

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 37 50 64	NONE	23750	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MILLIMAN ADVISORS LLC

83-2422656

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	8250	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MATRIX TRUST COMPANY

75-3182674

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 25 50	NONE	6689	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan UNITY INTERNATIONAL GROUP 401(K) PLAN	B Three-digit plan number (PN) ►	002
C Plan sponsor's name as shown on line 2a of Form 5500 UNITY ELECTRIC COMPANY, INC.	D Employer Identification Number (EIN) 13-2932294	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	699	144
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions.....	1b(2)	5800	3521
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	95459	89441
(9) Value of interest in common/collective trusts.....	1c(9)	1454661	1472060
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts.....	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	8845732	9492905
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	10402351 11058071
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	0 0
Net Assets			
l	Net assets (subtract line 1k from line 1f).....	1l	10402351 11058071

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	
	(B) Participants	2a(1)(B)	311277
	(C) Others (including rollovers).....	2a(1)(C)	7823
(2)	Noncash contributions.....	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	319100
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	4924
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	4924
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	497357
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	497357
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	0
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		19953
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		960066
c Other income.....	2c		14012
d Total income. Add all income amounts in column (b) and enter total.....	2d		1815412
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	1121003	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		1121003
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	38689	
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)		
(4) Other.....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		38689
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		1159692
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		655720
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: DAVIS, WARD & HOCHMAN LLP

(2) EIN: 20-0494412

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a	X		287509

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		5000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)		X	
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
---	---	--

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>UNITY INTERNATIONAL GROUP 401(K) PLAN</u>	B Three-digit plan number (PN) ▶ <u>002</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>UNITY ELECTRIC COMPANY, INC.</u>	D Employer Identification Number (EIN) <u>13-2932294</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>75-3182674</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021
v. 201209

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

Unity International Group 401(k) Plan
Financial Statements and Supplemental Schedules
Years Ended December 31, 2021 and 2020

Unity International Group 401(k) Plan

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Davis, Ward & Hochman, LLP
Certified Public Accountants and Consultants

150 East 58th Street, 20th Floor
New York, NY 10155
Tel: (212) 230-2600
www.dwhllp.com

Independent Auditors' Report

To the Trustees of the
Unity International Group 401(k) Plan
Flushing, New York

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements

We have performed an audit of the financial statements of Unity International Group 401(k) Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021 and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements (2021 Financial Statements).

Management, having determined it is permissible in the circumstances, has elected to have the audit of 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 4 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and on the procedures performed as described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section

- the amounts and disclosures in the accompanying 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the accompanying 2021 financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of Unity International Group 401(k) Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all Plan amendments, administering the plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matters

2021 Supplemental Schedules Required by ERISA

The supplemental schedule of assets (held at end of year) and schedule of delinquent participant contributions as of and for the year-ended December 31, 2021 are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion

- the form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Auditor's Report on the 2020 Financial Statements

We were engaged to audit the 2020 financial statements of Unity International Group 401(k) Plan (2020 Financial Statements). As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the plan administrator instructed us not to perform and we did not perform any auditing procedures with respect to the information certified by a qualified institution. In our report dated October 13, 2021, we indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 Financial Statements, and (b) the form and content of the information included in the 2020 Financial Statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Davis, Ward & Hochman, LLP

Davis, Ward & Hochman, LLP

New York, New York
October 12, 2022

Unity International Group 401(k) Plan
Statements of Net Assets Available for Plan Benefits

	December 31,	
	2021	2020
ASSETS		
Investments, at fair value (Notes 4 and 5):		
Mutual Funds	\$ 9,492,905	\$ 8,845,732
Stable value fund	1,472,060	1,454,661
Cash	144	699
Total investments	10,965,109	10,301,092
Participants' contributions receivable	3,521	5,800
Notes receivable from participants	89,441	95,459
Net assets available for benefits	<u>\$ 11,058,071</u>	<u>\$ 10,402,351</u>

Unity International Group 401(k) Plan
Statement of Changes in Net Assets Available for Plan Benefits
Year Ended December 31, 2021

Additions:

Investment income:

Interest and dividends	\$ 497,357
Net appreciation in fair value of investments (Notes 3 and 4)	980,019
	<u>1,477,376</u>

Other income	14,012
Interest on notes receivable from participants	4,924

Contributions:

Participants	311,277
Rollovers	7,823
Total contributions	<u>319,100</u>

Total additions	<u>1,815,412</u>
-----------------	------------------

Deductions:

Benefits paid to participants	1,121,003
-------------------------------	-----------

Administrative expenses	<u>38,689</u>
-------------------------	---------------

Total deductions	<u>1,159,692</u>
------------------	------------------

Increase in net assets available for plan benefits	655,720
---	---------

Net assets available for plan benefits, beginning of year	<u>10,402,351</u>
--	-------------------

Net assets available for plan benefits, end of year	<u><u>\$ 11,058,071</u></u>
--	-----------------------------

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 1 - Description of Plan

The following description of the Unity International Group 401(k) Plan (the “Plan”) provides only general information. Participants should refer to the Plan agreement or Summary Plan Description (“SPD”) for a more complete description of the plan’s provisions. Unity International Group is comprised of Unity Electric Co., Inc., Unity Electric, LLC, Uni-Data and Communications, Inc. and Unity Data & Electrical Services, LLC, collectively (the “Company”).

General

The Plan is a contributory, defined contribution 401(k) plan available to eligible employees of the Company. Eligible employees are those who have attained age 21 and have completed two months of service. Employees whose employment is governed by the terms of a collective bargaining agreement (union), non-resident aliens and part-time and seasonal employees are not eligible to participate in the Plan. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”).

The Company serves as the Plan’s sponsor and administrator.

Matrix Trust Company (“Matrix”) is the trustee and custodian and Milliman, Inc. is the Plan’s recordkeeper.

Contributions

All participants in the Plan may make tax deferred cash contributions of 1-25% of eligible compensation up to the maximum allowed by Section 402(g)(1) of the Internal Revenue Code. Effective January 1, 2013, the Plan implemented an automatic deferral amount of 2% from a participant’s eligible compensation, unless the participant selects a different election percentage. The Company may make a discretionary matching and profit-sharing contribution to a participant’s account. For the year ended December 31, 2021, the Company did not make any discretionary matching or profit-sharing contributions. Roth contributions are also permitted.

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 1 - Description of Plan (continued)

Vesting

Participants are immediately vested in their voluntary contributions and any income or loss thereon. The Plan provides for the Company's matching contribution to become 100% vested upon the completion of 6 years of continuous service. Each participant's nonforfeitable percentage is determined under the following table.

Completed years of service	Nonforfeitable percentage vested
2	20%
3	40%
4	60%
5	80%
6 or more	100%

Participant Accounts

Each participant's account is credited with the participant's contributions, the Company's matching contribution and an allocation of plan earnings. Allocations are based on the account balances, as defined. The benefit which a participant is entitled to is the benefit that can be provided from the participant's vested account. Participants may direct the investment of their account balances into various investment options offered by the Plan. Participants have the option to transfer part or all of their fund balances among various funds within the Plan.

Payment of Benefits

Upon termination of service due to death, disability or retirement, a participant is entitled to receive 100% of the participant's account balance as soon as practicable after the date of retirement, death or termination of employment. For termination of service due to other reasons, as defined, a participant is entitled to 100% of the participant's contributions, and the vested portion of their account attributable to the Company matching contributions. Benefits are recorded when paid.

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 1 - Description of Plan (continued)

Notes Receivable from Participants

Notes receivable from participants represent participant loans that are recorded at their unpaid principal balance plus any accrued but unpaid interest. Interest income on notes receivable from participants is recorded when it is earned on the accrual basis. Participants may borrow up to a maximum equal to the lesser of \$50,000 or 50% of their vested account balance. The minimum loan amount is \$1,000. A participant's loan is secured by the balance in the participant's account and bears interest at prime rate plus 1% determined when the participant applies for the loan. The loans are repaid ratably through payroll deductions over a period of five years or less.

No allowance for credit losses has been recorded at December 31, 2021 or 2020. If a participant ceases to make note repayments and the plan administrator deems the participant note to be a distribution, the participant note balance is reduced and a deemed distribution is recorded.

Administrative Expenses

Certain expenses of maintaining the Plan are paid directly by the Company and are excluded from these financial statements. Fees related to the administration of notes receivable from participants are charged directly to the participant's account. Investment related expenses are included in net appreciation of fair value of investments.

Forfeitures

Forfeiture allocations are retained in the Plan and first used to pay administrative expenses. Any remaining forfeitures will be used to reduce the Company's matching contributions, if applicable. Plan assets include \$0 in forfeited balances at December 31, 2021 and 2020. No amounts were forfeited during 2021 and 2020.

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 1 - Description of Plan (continued)

Coronavirus Aid, Relief, and Economic Security Act

The Coronavirus Aid, Relief, and Economic Security Act ("CARES Act"), signed into law March 27, 2020, provided temporary relief to participants in retirement plans. To be eligible for CARES Act relief, the participant must have satisfied one of the following requirements:

- The participant has been diagnosed with COVID-19 or the virus SARS-CoV-2 by a CDC-approved test;
- The participant's spouse or other tax dependent (as defined by IRS Code Section 152) has been diagnosed with COVID-19 or the virus SARS-CoV-2 by a CDC-approved test; or
- The participant is experiencing adverse financial consequences related to COVID-19.

As a result of the CARES Act, the participant loan limit was temporarily increased to the lesser of \$100,000 or 100% of the vested account balance. This increase was permitted for loans granted from March 27, 2020 through September 23, 2020. In addition, repayments of loans due between March 27, 2020 and December 31, 2020 were allowed to be deferred until December 31, 2020 upon participant request. In addition, if eligible, a participant, regardless of age and appointment status, was able to withdraw up to \$100,000, or his/her total vested account balance, if less through December 30, 2020. Any such distribution was not subject to the 10% early withdrawal federal penalty that generally applies to distributions taken before age 59½. The distribution is included in the participant's taxable gross income ratably over a three-year tax period beginning with the 2020 tax year.

Note 2 - Summary of Significant Accounting Policies

Basis of Accounting

The accompanying financial statements have been prepared under the accrual method of accounting.

Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 5 for discussion of fair value measurements.

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 2 - Summary of Significant Accounting Policies (continued)

Purchases and Sales of Investments are Recorded on a Trade Date Basis.

Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Revenue Sharing

The Plan receives revenue sharing fees from the mutual funds that can be used to pay for administrative expenses of the Plan. During 2021, the Plan received revenue sharing fees of \$14,012 and these fees were recorded as Other Income in the Statement of Changes in Net Assets Available for Benefits. This income was used to pay Plan expenses. At December 31, 2021, there were no amounts owed to the Plan from the mutual funds for revenue sharing.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Note 3 – Investments

During 2021, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value and earned interest and dividends as follows:

Year ended December 31, 2021	
Net appreciation in fair value of mutual funds	\$ 960,066
Net appreciation in fair value of stable value fund	19,953
Interest and dividends:	
Interest on notes receivable from participants	4,924
Interest and dividends on mutual funds	497,357
	<u>\$ 1,482,300</u>

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 4 - Information Certified by the Plan's Custodian

The plan administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the custodian has certified that the following data included in the accompanying financial statements and supplemental schedule of assets (held at end of year) is complete and accurate.

	December 31,	
	2021	2020
Investments:		
Mutual funds	\$ 9,492,905	\$ 8,845,732
Stable value fund	1,472,060	1,454,661
Cash	144	699
Notes receivable from participants	89,441	95,459
Total	\$ 11,054,550	\$ 10,396,551

	Year ended December 31, 2021
Interest on notes receivable from participants	\$ 4,924
Interest and dividends on mutual funds	497,357
Net appreciation in fair value of stable value fund	19,953
Net appreciation in fair value of mutual funds	960,066
Other income	14,012

The Plan's independent accountants did not perform auditing procedures with respect to this information, except for comparing such information with the related information included in the financial statements and supplemental schedule of assets (held at end of year).

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 5 - Fair Value Measurements

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 - Inputs to the valuation methodology include

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 - Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 5 - Fair Value Measurements (continued)

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value ("NAV") and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Stable value fund: The fair value of participation units held in the Reliance Trust Stable Value Fund (MetLife Series 25053), a common/collective trust ("CCT") is based on net asset value, as reported by the manager of the collective trust fund, Reliance Trust Company, and as supported by the unit prices of actual purchase and sale transactions occurring as of or close to the financial statement date. The CCT invests in the MetLife Group Annuity Contract 25053 Class 60, which consists of separately managed investment portfolios in fixed income securities, and also enters into wrapper contracts, which are issued by third-parties and are designed to allow the Fund to maintain a constant net asset value. The CCT provides for daily redemptions by the Plan at reported net asset value, with no advance notice requirements.

The following sets forth by level, within the fair value hierarchy, the Plan's investments at fair value as of:

December 31, 2021	Level 1	Level 2	Level 3	Total
Mutual Funds	\$ 9,492,905	\$ -	\$ -	\$ 9,492,905
Cash	144	-	-	144
	<u>\$ 9,493,049</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 9,493,049</u>
Stable Value Fund, at net asset value ^a				<u>1,472,060</u>
Total				<u>\$10,965,109</u>

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 5 - Fair Value Measurements (continued)

December 31, 2020	Level 1	Level 2	Level 3	Total
Mutual Funds	\$ 8,845,732	\$ -	\$ -	\$ 8,845,732
Cash	699	-	-	699
	<u>\$ 8,846,431</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 8,846,431</u>
Stable Value Fund, at net asset value ^a				1,454,661
Total				<u>\$10,301,092</u>

^a In accordance with Subtopic 820-10, certain investments that were measured at net asset value per share (or its equivalent) or at contract value have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of net assets available for benefits.

Note 6 - Plan Termination

Although it has not expressed any intent to do so, the Company has the right to terminate the Plan, subject to the provisions of ERISA. In the event of Plan termination, the Company is required to direct the timely distribution of the participant's account balances. Each participant will receive either the entire amount finally credited to the participant's account, or such amount as may be held by the Custodian until the participant's normal retirement date.

Note 7 - Income Tax Status

The Internal Revenue Service has determined and informed Milliman, Inc., the Plan's recordkeeper, via a favorable opinion letter dated March 31, 2014 that the Milliman, Inc. Prototype Non-standardized Profit Sharing Plan with CODA (the prototype plan upon which the Plan is based) is qualified under Section 401(a) of the Internal Revenue Code ("IRC") and that the Trust is exempt from Federal income taxes under Section 501(a), which provides that income is taxable only upon distribution thereof. The Plan has been amended since receiving the opinion letter; however, the Plan administrator believes that the Plan is currently designed, and being operated, in compliance with the applicable requirements of the IRC. Therefore, they believe that the Plan was qualified, and the related trust was tax-exempt as of the financial statement date.

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 7 - Income Tax Status (continued)

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the plan and recognize a tax liability if the organization has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2018.

Note 8 - Party-In-Interest Transactions

A party-in-interest is defined under Department of Labor and ERISA regulations as any fiduciary of the Plan, any party rendering service to the Plan, the employer, and certain others. The following qualify as a party-in-interest or party-in-interest transactions:

- Matrix Trust Company ("Matrix") is the trustee and custodian and Milliman, Inc. is the Plan's recordkeeper.
- Participant loans granted in accordance with the Plan are secured by the balances in the participants' accounts.
- Administrative fees incurred by the Plan and paid to Milliman, Inc., recordkeeper, amounted to \$38,689 for the year ended December 31, 2021.

Note 9 - Risks and Uncertainties

The Plan invests in various mutual fund investment securities and a CCT. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investments, it is at least reasonably possible that changes in values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

On March 11, 2020, the World Health Organization declared the novel strain of coronavirus (COVID-19) a global pandemic and recommended containment and mitigation measures worldwide. We cannot reasonably estimate the length or severity of this pandemic. The impact on our 2022 financial statements is uncertain at this time.

Unity International Group 401(k) Plan

Notes to Financial Statements

Note 10 – Delinquent Participant Contributions

During 2021, the Company failed to remit certain participant contributions totaling \$287,509 to the Plan within the period prescribed by ERISA. Late transmissions of participant contributions constitute a prohibited transaction under ERISA section 406, regardless of materiality. The Company transmitted delinquent participant contributions of \$287,509 to the Plan in 2021. The total of lost earnings in the amount of \$2,787 was paid to the Plan on August 24, 2022. Related excise taxes of \$420 were paid by the Company.

Note 11 – Subsequent Events

The Company has evaluated subsequent events from January 1, 2022 through October 12, 2022, the date the financial statements were available to be issued, and has determined that there are no events to disclose.

Unity International Group 401(k) Plan

Schedule H, line 4i— Schedule of Assets (Held at End of Year) EIN: 13-2932294 Plan No. 002

December 31, 2021

(a)	(b) Identity of issuer, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity	(d) Cost	(e) Current value
	American 2015 Target Retirement R5	4,086 shares—a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	\$ 53,784
	American 2025 Target Retirement R5	17,886 shares—a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	290,114
	American 2035 Target Retirement R5	5,595 shares—a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	110,231
	American 2045 Target Retirement R5	3,259 shares— a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	69,850
	American Funds New World Fund	475 shares—a mutual fund that seeks long-term capital appreciation. The fund invests primarily in common stocks of companies with significant exposure to countries with developing economies and/or markets. The fund invests at least 35% of its assets in equity and debt securities of issuers primarily based in qualified countries.	a	40,849
	American Funds 2055 R5 2582	4,855 shares— a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	128,938

Unity International Group 401(k) Plan

Schedule H, line 4i— Schedule of Assets (Held at End of Year) EIN: 13-2932294 Plan No. 002

December 31, 2021

(a)	(b)	(c)	(d)	(e)
	Identity of issuer, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity	Cost	Current value
	IVY International Core E I	28,131 shares— a mutual fund that seeks capital growth and appreciation. At least 80% of net assets invested in equity securities of companies located in, or principally traded largely in, developed European and Asian/Pacific Basin markets. The fund may also investment in issuers located or doing business in emerging market countries. Up to 100% of total assets may be invested in foreign securities.	a	587,945
	MassMutual Select Mid Cap Growth Equity II Fund Z	18,141 shares—a mutual fund that seeks long-term growth of capital. The fund invests in equity securities of mid-capitalization companies. At least 80% of net assets is invested in a broadly diversified portfolio of common stocks of mid-cap companies whose earnings are expected to grow rapidly.	a	477,660
	MSIF Global Opportunity - A	9,767 shares—a mutual fund that seeks long-term growth. The fund primarily invests in undervalued, established, and emerging companies located throughout the world, with capitalizations within the range of companies included in the MSCI All World Index. The fund may invest in equity securities, privately placed securities, and restricted securities.	a	399,081
	Prudential QMA Mid-Cap Growth Value Fund Class Q	25,788 shares—a mutual fund that seeks growth of capital. The fund invests at least 80% of investable assets in common stocks of mid-cap companies, which are considered companies with market capitalizations within the market cap range of companies included in the Russell Midcap index. The fund may also invest up to 25% of total assets in real estate investment trusts.	a	593,647
	Prudential Total Return Bond Q	58,922 shares—a mutual fund that seeks total return. The fund invests at least 80% of investable assets in bonds. Bonds include all fixed-income securities, other than preferred stock, with a maturity date at date of issue of greater than one year. The fund may also invest up to 30% of investable assets in foreign debt securities or high risk, below investment-grade securities having a rating no lower than CCC.	a	853,783

Unity International Group 401(k) Plan

Schedule H, line 4i— Schedule of Assets (Held at End of Year) EIN: 13-2932294 Plan No. 002

December 31, 2021

(a)	(b)	(c)	(d)	(e)
	Identity of issuer, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity	Cost	Current value
	T. Rowe Price Blue Chip	11,438 shares—a mutual fund that seeks long-term capital growth. At least 80% of its net assets are invested in common stocks of large and medium sized blue chip companies.	a	2,033,974
	Vanguard 500 Index Admiral	3,258 shares—a mutual fund that tracks the performance of the Standard & Poor's 500 index. All fund assets are invested in the stocks that make up the index.	a	1,433,058
	Vanguard Developed Markets Index - Admiral	3,334 shares—a mutual fund that tracks the performance of the FTSE Developed All Cap Index, a market-capitalization-weighted index made up of approximately 3,700 common stocks. All, or most of, fund assets are invested in stocks that make up the index.	a	54,817
	Vanguard GNMA Admiral	13,554 shares—a mutual fund that invests at least 80% of its net assets in Governmental National Mortgage Association pass-through certificates. It may invest in other types of securities such as Treasury or other U.S. government agency securities.	a	142,854
	Vanguard Inflation-Protected Securities Investment	5,754 shares—a mutual fund that invests at least 80% of fund assets in inflation-indexed bonds issued by the US government, its agencies and instrumentalities, and corporations. It may invest in bonds purchased by the fund will be rated investment-grade or, if unrated, will be considered by the advisor to be investment-grade.	a	83,373
	Vanguard LifeStrategy Cons Growth Investment	1,556 shares—a mutual fund that invests in other Vanguard mutual funds according to a fixed formula that reflects an allocation of approximately 60% of the fund's assets to bonds and 40% to common stocks.	a	35,891
	Vanguard LifeStrategy Growth Investment	10 shares—a mutual fund that invests in other Vanguard mutual funds according to a fixed formula that reflects and allocation of approximately 80% of the fund's assets to common stocks and 20% to bonds.	a	425
	Vanguard LifeStrategy Moderate Growth Investment	3,682 shares—a mutual fund that invests in other Vanguard mutual funds according to a fixed formula that reflects an allocation of approximately 60% of the funds assets to common stocks and 40% to bonds.	a	123,488

Unity International Group 401(k) Plan

Schedule H, line 4i— Schedule of Assets (Held at End of Year) EIN: 13-2932294 Plan No. 002

December 31, 2021

(a)	(b)	(c)	(d)	(e)
	Identity of issuer, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity	Cost	Current value
	Vanguard Mid Cap Index Admiral	271 shares—a mutual fund that invests all, or most, of the fund assets in the stocks that make up the target index that measures the investment return of mid-capitalization stocks. Each stock is held in approximately the same proportion as its weighing in the index.	a	85,379
	Vanguard Small Cap Index Admiral	7,253 shares—a mutual fund that invests all, or most, of its assets in the stocks that make up the target index that measures the return the investment return of small-capitalization stocks. Each stock is held in approximately the same proportion as its weighing in the index.	a	785,973
	Wells Fargo Disciplined U.S. Core Fund R6	46,644 shares—a mutual fund that invests at least 80% of its net assets in equity securities of large-capitalization companies with market capitalizations within the range of the S&P 500 Index at the time of purchase.	a	1,107,791
	Reliance Trust Stable Value Fund – Metlife GAC Series 25053 Class 60	12,297 shares—a common/collective trust that seeks to simulate the performance of guaranteed investment contracts through ownership in Metlife Group Annuity Contracts.	a	1,472,060
	Cash	Cash	a	144
		Sub-total		10,965,109
*	Notes Receivable from Participants	Loans to participants with maturities through March 2026, interest rates ranging from 4.25% to 6.50%, collateralized by participants' vested account balances.	-	89,441
		Total		\$11,054,550

*Represents a party in interest as defined by ERISA.

a – The cost of participant-direct investments is not required to be disclosed.

Unity International Group 401(k) Plan

Schedule H, line 4a— Schedule Of Delinquent Participant Contributions

EIN: 13-2932294

Plan No. 002

For the year-ended December 31, 2021

Participant Contributions Transferred Late to the Plan	Total that Constitute Non-exempt Prohibited Transactions			Total Fully Corrected Under VFCP and PTE 2002-51
Check here if Late Participant Loan Repayments are included:	Contributions Not Corrected	Contributions Corrected Outside VFCP (Voluntary Fiduciary Correction Program)	Contributions Pending Correction or in VFCP	
\$ 287,509 (1)	N/A	\$ 287,509	N/A	N/A

(1) During 2021, the Company failed to remit certain employee contributions to the Plan within the period prescribed by ERISA, although the Company subsequently remitted these contributions to the Plan. These amounts totaled \$287,509. The Company computed the lost earnings to the participants and the Company subsequently remitted these lost earnings to the Plan and filed Form 5330, *Return of Excise Taxes Related to Employee Benefit Plans*, during 2022.

Name of Filer:Unity Electric Company, Inc.

Filer's identifying number:13-2932294

Schedule C- Tax on Prohibited Transactions (Section 4975) (see instructions) Reported by the last day of the 7th month after the end of the tax year of the employer (or other person who must file the return)

1 Is the excise tax a result of a prohibited transaction that was (box "a" or box "b" must be checked):
a ☒ discrete b other than discrete (a lease or a loan)

2 Complete the table below to disclose the prohibited transactions and figure the initial tax (see instructions)

(a) Transaction number	(b) Date of Transaction	(c) Description of Prohibited Transaction	(d) Amount Involved in prohibited transaction (see instructions)	(e) Initial Tax on Prohibited Transaction (multiply each transaction in column (d) by the appropriate rate)
I	1/7/2021	Late Deposit of employee contributions + loan payments	34	5
II	1/14/2021	Late Deposit of employee contributions + loan payments	23	3
III	1/21/2021	Late Deposit of employee contributions + loan payments	77	12
IV	2/4/2021	Late Deposit of employee contributions + loan payments	29	4
V	2/11/2021	Late Deposit of employee contributions + loan payments	36	5
VI	2/17/2021	Late Deposit of employee contributions + loan payments	169	25
VII	2/25/2021	Late Deposit of employee contributions + loan payments	30	5
VIII	3/4/2021	Late Deposit of employee contributions + loan payments	18	3
IX	3/11/2021	Late Deposit of employee contributions + loan payments	7	1
X	3/18/2021	Late Deposit of employee contributions + loan payments	73	11
XI	4/1/2021	Late Deposit of employee contributions + loan payments	9	1
XII	4/8/2021	Late Deposit of employee contributions + loan payments	25	4
XIII	4/15/2021	Late Deposit of employee contributions + loan payments	84	13
XIV	4/22/2021	Late Deposit of employee contributions + loan payments	13	2
XV	4/29/2021	Late Deposit of employee contributions + loan payments	0	0
XVI	5/6/2021	Late Deposit of employee contributions + loan payments	13	2
XVII	5/13/2021	Late Deposit of employee contributions + loan payments	2	0
XVIII	5/20/2021	Late Deposit of employee contributions + loan payments	39	6
XIX	5/27/2021	Late Deposit of employee contributions + loan payments	64	10
XX	6/3/2021	Late Deposit of employee contributions + loan payments	19	3
XXI	6/10/2021	Late Deposit of employee contributions + loan payments	26	4
XXII	6/17/2021	Late Deposit of employee contributions + loan payments	85	13
XXIII	6/24/2021	Late Deposit of employee contributions + loan payments	0	0
XXIV	7/1/2021	Late Deposit of employee contributions + loan payments	17	3
XXV	7/8/2021	Late Deposit of employee contributions + loan payments	46	7
XXVI	7/15/2021	Late Deposit of employee contributions + loan payments	249	37
XXVII	7/22/2021	Late Deposit of employee contributions + loan payments	29	4
XXVIII	7/29/2021	Late Deposit of employee contributions + loan payments	15	2
XXIX	8/5/2021	Late Deposit of employee contributions + loan payments	13	2
XXX	9/9/2021	Late Deposit of employee contributions + loan payments	29	4
XXXI	9/16/2021	Late Deposit of employee contributions + loan payments	235	35
XXXII	9/23/2021	Late Deposit of employee contributions + loan payments	36	5
XXXIII	9/30/2021	Late Deposit of employee contributions + loan payments	114	17
XXXIV	10/7/2021	Late Deposit of employee contributions + loan payments	123	18
XXXV	10/14/2021	Late Deposit of employee contributions + loan payments	114	17
XXXVI	10/21/2021	Late Deposit of employee contributions + loan payments	451	68
XXXVII	10/28/2021	Late Deposit of employee contributions + loan payments	82	12
XXXVIII	11/4/2021	Late Deposit of employee contributions + loan payments	68	10
XXXIX	11/10/2021	Late Deposit of employee contributions + loan payments	58	9
XL	11/18/2021	Late Deposit of employee contributions + loan payments	192	29
XLI	11/24/2021	Late Deposit of employee contributions + loan payments	30	5
XLII	12/2/2021	Late Deposit of employee contributions + loan payments	12	2

3 Add amounts in column (e); enter here and on Part I, line 3a **418**

4 Have you corrected all of the prohibited transactions that you are reporting on this return? If "Yes,"
Complete Schedule C, line 5, on the next page. If "No," attach statement (see instructions) Yes ☒ No ☐

Unity International Group 401(k) Plan

Schedule H, line 4i— Schedule of Assets (Held at End of Year) EIN: 13-2932294 Plan No. 002

December 31, 2021

(a)	(b) Identity of issuer, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity	(d) Cost	(e) Current value
	American 2015 Target Retirement R5	4,086 shares—a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	\$ 53,784
	American 2025 Target Retirement R5	17,886 shares—a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	290,114
	American 2035 Target Retirement R5	5,595 shares—a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	110,231
	American 2045 Target Retirement R5	3,259 shares— a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	69,850
	American Funds New World Fund	475 shares—a mutual fund that seeks long-term capital appreciation. The fund invests primarily in common stocks of companies with significant exposure to countries with developing economies and/or markets. The fund invests at least 35% of its assets in equity and debt securities of issuers primarily based in qualified countries.	a	40,849
	American Funds 2055 R5 2582	4,855 shares— a mutual fund that seeks growth, income and conservation capital by investing a greater portion of assets in bond, equity income, and balanced funds as it approaches and passes its target date. The fund invests in a mix of American Funds including growth funds, growth-and-income funds, equity income funds and a balanced fund and bond funds.	a	128,938

Unity International Group 401(k) Plan

Schedule H, line 4i— Schedule of Assets (Held at End of Year) EIN: 13-2932294 Plan No. 002

December 31, 2021

(a)	(b)	(c)	(d)	(e)
	Identity of issuer, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity	Cost	Current value
	IVY International Core E I	28,131 shares— a mutual fund that seeks capital growth and appreciation. At least 80% of net assets invested in equity securities of companies located in, or principally traded largely in, developed European and Asian/Pacific Basin markets. The fund may also investment in issuers located or doing business in emerging market countries. Up to 100% of total assets may be invested in foreign securities.	a	587,945
	MassMutual Select Mid Cap Growth Equity II Fund Z	18,141 shares—a mutual fund that seeks long-term growth of capital. The fund invests in equity securities of mid-capitalization companies. At least 80% of net assets is invested in a broadly diversified portfolio of common stocks of mid-cap companies whose earnings are expected to grow rapidly.	a	477,660
	MSIF Global Opportunity - A	9,767 shares—a mutual fund that seeks long-term growth. The fund primarily invests in undervalued, established, and emerging companies located throughout the world, with capitalizations within the range of companies included in the MSCI All World Index. The fund may invest in equity securities, privately placed securities, and restricted securities.	a	399,081
	Prudential QMA Mid-Cap Growth Value Fund Class Q	25,788 shares—a mutual fund that seeks growth of capital. The fund invests at least 80% of investable assets in common stocks of mid-cap companies, which are considered companies with market capitalizations within the market cap range of companies included in the Russell Midcap index. The fund may also invest up to 25% of total assets in real estate investment trusts.	a	593,647
	Prudential Total Return Bond Q	58,922 shares—a mutual fund that seeks total return. The fund invests at least 80% of investable assets in bonds. Bonds include all fixed-income securities, other than preferred stock, with a maturity date at date of issue of greater than one year. The fund may also invest up to 30% of investable assets in foreign debt securities or high risk, below investment-grade securities having a rating no lower than CCC.	a	853,783

Unity International Group 401(k) Plan

Schedule H, line 4i— Schedule of Assets (Held at End of Year) EIN: 13-2932294 Plan No. 002

December 31, 2021

(a)	(b)	(c)	(d)	(e)
	Identity of issuer, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity	Cost	Current value
	T. Rowe Price Blue Chip	11,438 shares—a mutual fund that seeks long-term capital growth. At least 80% of its net assets are invested in common stocks of large and medium sized blue chip companies.	a	2,033,974
	Vanguard 500 Index Admiral	3,258 shares—a mutual fund that tracks the performance of the Standard & Poor's 500 index. All fund assets are invested in the stocks that make up the index.	a	1,433,058
	Vanguard Developed Markets Index - Admiral	3,334 shares—a mutual fund that tracks the performance of the FTSE Developed All Cap Index, a market-capitalization-weighted index made up of approximately 3,700 common stocks. All, or most of, fund assets are invested in stocks that make up the index.	a	54,817
	Vanguard GNMA Admiral	13,554 shares—a mutual fund that invests at least 80% of its net assets in Governmental National Mortgage Association pass-through certificates. It may invest in other types of securities such as Treasury or other U.S. government agency securities.	a	142,854
	Vanguard Inflation-Protected Securities Investment	5,754 shares—a mutual fund that invests at least 80% of fund assets in inflation-indexed bonds issued by the US government, its agencies and instrumentalities, and corporations. It may invest in bonds purchased by the fund will be rated investment-grade or, if unrated, will be considered by the advisor to be investment-grade.	a	83,373
	Vanguard LifeStrategy Cons Growth Investment	1,556 shares—a mutual fund that invests in other Vanguard mutual funds according to a fixed formula that reflects an allocation of approximately 60% of the fund's assets to bonds and 40% to common stocks.	a	35,891
	Vanguard LifeStrategy Growth Investment	10 shares—a mutual fund that invests in other Vanguard mutual funds according to a fixed formula that reflects and allocation of approximately 80% of the fund's assets to common stocks and 20% to bonds.	a	425
	Vanguard LifeStrategy Moderate Growth Investment	3,682 shares—a mutual fund that invests in other Vanguard mutual funds according to a fixed formula that reflects an allocation of approximately 60% of the funds assets to common stocks and 40% to bonds.	a	123,488

Unity International Group 401(k) Plan

Schedule H, line 4i— Schedule of Assets (Held at End of Year) EIN: 13-2932294 Plan No. 002

December 31, 2021

(a)	(b)	(c)	(d)	(e)
	Identity of issuer, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity	Cost	Current value
	Vanguard Mid Cap Index Admiral	271 shares—a mutual fund that invests all, or most, of the fund assets in the stocks that make up the target index that measures the investment return of mid-capitalization stocks. Each stock is held in approximately the same proportion as its weighing in the index.	a	85,379
	Vanguard Small Cap Index Admiral	7,253 shares—a mutual fund that invests all, or most, of its assets in the stocks that make up the target index that measures the return the investment return of small-capitalization stocks. Each stock is held in approximately the same proportion as its weighing in the index.	a	785,973
	Wells Fargo Disciplined U.S. Core Fund R6	46,644 shares—a mutual fund that invests at least 80% of its net assets in equity securities of large-capitalization companies with market capitalizations within the range of the S&P 500 Index at the time of purchase.	a	1,107,791
	Reliance Trust Stable Value Fund – Metlife GAC Series 25053 Class 60	12,297 shares—a common/collective trust that seeks to simulate the performance of guaranteed investment contracts through ownership in Metlife Group Annuity Contracts.	a	1,472,060
	Cash	Cash	a	144
		Sub-total		10,965,109
*	Notes Receivable from Participants	Loans to participants with maturities through March 2026, interest rates ranging from 4.25% to 6.50%, collateralized by participants' vested account balances.	-	89,441
		Total		\$11,054,550

*Represents a party in interest as defined by ERISA.

a – The cost of participant-direct investments is not required to be disclosed.

Unity International Group 401(k) Plan

Schedule H, line 4a— Schedule Of Delinquent Participant Contributions

EIN: 13-2932294

Plan No. 002

For the year-ended December 31, 2021

Participant Contributions Transferred Late to the Plan	Total that Constitute Non-exempt Prohibited Transactions			Total Fully Corrected Under VFCP and PTE 2002-51
Check here if Late Participant Loan Repayments are included:	Contributions Not Corrected	Contributions Corrected Outside VFCP (Voluntary Fiduciary Correction Program)	Contributions Pending Correction or in VFCP	
\$ 287,509 (1)	N/A	\$ 287,509	N/A	N/A

(1) During 2021, the Company failed to remit certain employee contributions to the Plan within the period prescribed by ERISA, although the Company subsequently remitted these contributions to the Plan. These amounts totaled \$287,509. The Company computed the lost earnings to the participants and the Company subsequently remitted these lost earnings to the Plan and filed Form 5330, *Return of Excise Taxes Related to Employee Benefit Plans*, during 2022.