# Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

**2021**

**This Form is Open to Public Inspection**

## Part I Annual Report Identification Information

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** This return/report is for:
- [X] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [ ] a single-employer plan
- [ ] a DFE (specify) ____

**B** This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

**C** If the plan is a collectively-bargained plan, check here. . . . . ▶ [X]

**D** Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

**E** If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . ▶ [ ]

## Part II Basic Plan Information—enter all requested information

**1a** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**1b** Three-digit plan number (PN) ▶ 001

**1c** Effective date of plan 02/06/1962

**2a** Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

350 FORDHAM ROAD
WILMINGTON, MA 01887

**2b** Employer Identification Number (EIN) 51-6040899

**2c** Plan Sponsor's telephone number 978-694-1000

**2d** Business code (see instructions) 236200

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|---|---|---|---|
| **SIGN HERE** | Filed with authorized/valid electronic signature. | 10/06/2022 | MICHAEL C. SANCHEZ |
| | **Signature of plan administrator** | Date | Enter name of individual signing as plan administrator |
| **SIGN HERE** | Filed with authorized/valid electronic signature. | 10/04/2022 | THOMAS J. FLYNN |
| | **Signature of employer/plan sponsor** | Date | Enter name of individual signing as employer or plan sponsor |
| **SIGN HERE** | | | |
| | **Signature of DFE** | Date | Enter name of individual signing as DFE |

| | | |
|---|---|---|
| **3a** Plan administrator's name and address [X] Same as Plan Sponsor | **3b** Administrator's EIN | |
| | **3c** Administrator's telephone number | |

| | | |
|---|---|---|
| **4** If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:<br>**a** Sponsor's name<br>**c** Plan Name | **4b** EIN<br>**4d** PN | |

| | | |
|---|---|---|
| **5** Total number of participants at the beginning of the plan year | **5** | 46135 |
| **6** Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**). | | |
| **a(1)** Total number of active participants at the beginning of the plan year | **6a(1)** | 17276 |
| **a(2)** Total number of active participants at the end of the plan year | **6a(2)** | 17323 |
| **b** Retired or separated participants receiving benefits | **6b** | 16444 |
| **c** Other retired or separated participants entitled to future benefits | **6c** | 8153 |
| **d** Subtotal. Add lines **6a(2)**, **6b**, and **6c** | **6d** | 41920 |
| **e** Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. | **6e** | 4324 |
| **f** Total. Add lines **6d** and **6e** | **6f** | 46244 |
| **g** Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | **6g** | |
| **h** Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | **6h** | |
| **7** Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | **7** | 1139 |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

1B

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

| **9a** Plan funding arrangement (check all that apply) | | **9b** Plan benefit arrangement (check all that apply) | |
|---|---|---|---|
| **(1)** | [X] Insurance | **(1)** | [X] Insurance |
| **(2)** | [ ] Code section 412(e)(3) insurance contracts | **(2)** | [ ] Code section 412(e)(3) insurance contracts |
| **(3)** | [X] Trust | **(3)** | [X] Trust |
| **(4)** | [ ] General assets of the sponsor | **(4)** | [ ] General assets of the sponsor |

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [X] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

**b General Schedules**

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 5 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

## Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

# SCHEDULE A
## (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

**Part I** **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
AETNA LIFE INSURANCE COMPANY

| **(b)** EIN | **(c)** NAIC code | **(d)** Contract or identification number | **(e)** Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | **(f)** From | **(g)** To |
| 06-6033492 | 60054 | 000917 | 71 | 01/01/2021 | 12/31/2021 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| **(a)** Total amount of commissions paid | **(b)** Total amount of fees paid |
|---|---|
| | |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| 4 | Current value of plan's interest under this contract in the general account at year end | 4 | 4738713 |
| 5 | Current value of plan's interest under this contract in separate accounts at year end | 5 | |

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| b | Premiums paid to carrier | 6b | |
| c | Premiums due but unpaid at the end of the year | 6c | |
| d | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | 6d | |

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☒ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

| | | | | |
|---|---|---|---|---|
| b | Balance at the end of the previous year | | 7b | 4687638 |
| c | Additions: (1) Contributions deposited during the year | 7c(1) | | |
| | (2) Dividends and credits | 7c(2) | | |
| | (3) Interest credited during the year | 7c(3) | 219644 | |
| | (4) Transferred from separate account | 7c(4) | | |
| | (5) Other (specify below) ▶ | 7c(5) | | |
| | (6) Total additions | | 7c(6) | 219644 |
| d | Total of balance and additions (add lines **7b** and **7c(6)**) | | 7d | 4907282 |
| e | Deductions: | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | 7e(1) | 132531 | |
| | (2) Administration charge made by carrier | 7e(2) | 36038 | |
| | (3) Transferred to separate account | 7e(3) | | |
| | (4) Other (specify below) ▶ | 7e(4) | | |
| | (5) Total deductions | | 7e(5) | 168569 |
| f | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | 7f | 4738713 |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

**a** ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
**e** ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
**i** ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
**m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. | | | **10b** | |

Specify nature of costs.

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

**Part I** **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
AETNA LIFE INSURANCE COMPANY

| **(b)** EIN | **(c)** NAIC code | **(d)** Contract or identification number | **(e)** Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | **(f)** From | **(g)** To |
| 06-6033492 | 60054 | 002047 | 0 | 01/01/2021 | 12/31/2021 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| **(a)** Total amount of commissions paid | **(b)** Total amount of fees paid |
|---|---|
| | |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| **4** | Current value of plan's interest under this contract in the general account at year end | **4** | |
| **5** | Current value of plan's interest under this contract in separate accounts at year end | **5** | 0 |

**6** Contracts With Allocated Funds:

**a** State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| **b** | Premiums paid to carrier | **6b** | |
| **c** | Premiums due but unpaid at the end of the year | **6c** | |
| **d** | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | **6d** | |

Specify nature of costs ▶

**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

| | | | | | |
|---|---|---|---|---|---|
| **b** | Balance at the end of the previous year | | | **7b** | |
| **c** | Additions: (1) Contributions deposited during the year | **7c(1)** | | | |
| | (2) Dividends and credits | **7c(2)** | | | |
| | (3) Interest credited during the year | **7c(3)** | | | |
| | (4) Transferred from separate account | **7c(4)** | | | |
| | (5) Other (specify below) | **7c(5)** | | | |
| | ▶ | | | | |
| | (6) Total additions | | | **7c(6)** | |
| **d** | Total of balance and additions (add lines **7b** and **7c(6)**) | | | **7d** | |
| **e** | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | **7e(1)** | | | |
| | (2) Administration charge made by carrier | **7e(2)** | | | |
| | (3) Transferred to separate account | **7e(3)** | | | |
| | (4) Other (specify below) | **7e(4)** | | | |
| | ▶ | | | | |
| | (5) Total deductions | | | **7e(5)** | |
| **f** | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | | **7f** | |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

**a** ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
**e** ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
**i** ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
**m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. | | | **10b** | |

Specify nature of costs.

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

**Part I** **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
AXA EQUITABLE LIFE INSURANCE COMPANY

| (b) EIN | (c) NAIC code | (d) Contract or identification number | (e) Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | (f) From | (g) To |
| 13-5570651 | 62944 | 1840 | 14 | 01/01/2021 | 12/31/2021 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| (a) Total amount of commissions paid | (b) Total amount of fees paid |
|---|---|
| | |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | (e) Organization code |
|---|---|---|---|
| | (c) Amount | (d) Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | (e) Organization code |
|---|---|---|---|
| | (c) Amount | (d) Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| 4 | Current value of plan's interest under this contract in the general account at year end | 4 | 424116 |
| 5 | Current value of plan's interest under this contract in separate accounts at year end | 5 | |

**6** Contracts With Allocated Funds:

**a** State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| **b** | Premiums paid to carrier | 6b | |
| **c** | Premiums due but unpaid at the end of the year | 6c | |
| **d** | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | 6d | |

Specify nature of costs ▶

**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

**a** Type of contract: (1) ☐ deposit administration (2) ☒ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

| | | | | | |
|---|---|---|---|---|---|
| **b** | Balance at the end of the previous year | | | 7b | 442994 |
| **c** | Additions: (1) Contributions deposited during the year | 7c(1) | | | |
| | (2) Dividends and credits | 7c(2) | | | |
| | (3) Interest credited during the year | 7c(3) | 9101 | | |
| | (4) Transferred from separate account | 7c(4) | | | |
| | (5) Other (specify below) | 7c(5) | | | |
| | ▶ | | | | |
| | (6) Total additions | | | 7c(6) | 9101 |
| **d** | Total of balance and additions (add lines **7b** and **7c(6)**) | | | 7d | 452095 |
| **e** | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | 7e(1) | 29015 | | |
| | (2) Administration charge made by carrier | 7e(2) | 3794 | | |
| | (3) Transferred to separate account | 7e(3) | | | |
| | (4) Other (specify below) | 7e(4) | -4830 | | |
| | ▶ MARKET VALUE ADJUSTMENT | | | | |
| | (5) Total deductions | | | 7e(5) | 27979 |
| **f** | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | | 7f | 424116 |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

**a** ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
**e** ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
**i** ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
**m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. | | | **10b** | |

Specify nature of costs.

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

**Part I** **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
UNION LABOR LIFE INSURANCE COMPANY

| (b) EIN | (c) NAIC code | (d) Contract or identification number | (e) Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | (f) From | (g) To |
| 13-1423090 | 69744 | GA01299 | | 01/01/2021 | 12/31/2021 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| (a) Total amount of commissions paid | (b) Total amount of fees paid |
|---|---|
| 0 | 35260 |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid
THE UNION LABOR LIFE INSURANCE CO

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | |
|---|---|---|---|
| | (c) Amount | (d) Purpose | (e) Organization code |
| | 35260 | ASSET MANAGEMENT FEES | 7 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | |
|---|---|---|---|
| | (c) Amount | (d) Purpose | (e) Organization code |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| **4** | Current value of plan's interest under this contract in the general account at year end | **4** | |
| **5** | Current value of plan's interest under this contract in separate accounts at year end | **5** | 5303147 |

**6** Contracts With Allocated Funds:

**a** State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| **b** | Premiums paid to carrier | **6b** | |
| **c** | Premiums due but unpaid at the end of the year | **6c** | |
| **d** | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶ | **6d** | |

**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity (3) ☐ other (specify) ▶

**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee (3) ☐ guaranteed investment (4) ☐ other ▶

| | | | | | |
|---|---|---|---|---|---|
| **b** | Balance at the end of the previous year | | | **7b** | |
| **c** | Additions: (1) Contributions deposited during the year | **7c(1)** | | | |
| | (2) Dividends and credits | **7c(2)** | | | |
| | (3) Interest credited during the year | **7c(3)** | | | |
| | (4) Transferred from separate account | **7c(4)** | | | |
| | (5) Other (specify below) ▶ | **7c(5)** | | | |
| | (6) Total additions | | | **7c(6)** | |
| **d** | Total of balance and additions (add lines **7b** and **7c(6)**) | | | **7d** | |
| **e** | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | **7e(1)** | | | |
| | (2) Administration charge made by carrier | **7e(2)** | | | |
| | (3) Transferred to separate account | **7e(3)** | | | |
| | (4) Other (specify below) ▶ | **7e(4)** | | | |
| | (5) Total deductions | | | **7e(5)** | |
| **f** | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | | **7f** | |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

**a** ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
**e** ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
**i** ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
**m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. | | | **10b** | |

Specify nature of costs.

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

**Part I** **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier
UNION LABOR LIFE INSURANCE COMPANY

| (b) EIN | (c) NAIC code | (d) Contract or identification number | (e) Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | (f) From | (g) To |
| 13-1423090 | 69744 | GA02210 | | 01/01/2021 | 12/31/2021 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| (a) Total amount of commissions paid | (b) Total amount of fees paid |
|---|---|
| 0 | 185401 |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid
THE UNION LABOR LIFE INSURANCE CO

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | |
|---|---|---|---|
| | (c) Amount | (d) Purpose | (e) Organization code |
| | 185401 | ASSET MANAGEMENT FEES | 7 |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | |
|---|---|---|---|
| | (c) Amount | (d) Purpose | (e) Organization code |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| **4** | Current value of plan's interest under this contract in the general account at year end | **4** | |
| **5** | Current value of plan's interest under this contract in separate accounts at year end | **5** | 30385970 |

**6** Contracts With Allocated Funds:

**a** State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| **b** | Premiums paid to carrier | **6b** | |
| **c** | Premiums due but unpaid at the end of the year | **6c** | |
| **d** | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | **6d** | |

Specify nature of costs ▶

**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

| | | | | | |
|---|---|---|---|---|---|
| **b** | Balance at the end of the previous year | | | **7b** | |
| **c** | Additions: (1) Contributions deposited during the year | **7c(1)** | | | |
| | (2) Dividends and credits | **7c(2)** | | | |
| | (3) Interest credited during the year | **7c(3)** | | | |
| | (4) Transferred from separate account | **7c(4)** | | | |
| | (5) Other (specify below) | **7c(5)** | | | |
| | ▶ | | | | |
| | (6) Total additions | | | **7c(6)** | |
| **d** | Total of balance and additions (add lines **7b** and **7c(6)**) | | | **7d** | |
| **e** | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | **7e(1)** | | | |
| | (2) Administration charge made by carrier | **7e(2)** | | | |
| | (3) Transferred to separate account | **7e(3)** | | | |
| | (4) Other (specify below) | **7e(4)** | | | |
| | ▶ | | | | |
| | (5) Total deductions | | | **7e(5)** | |
| **f** | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | | **7f** | |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

**a** ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
**e** ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
**i** ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
**m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. | | | **10b** | |

Specify nature of costs.

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

# SCHEDULE MB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

**E** Type of plan: **(1)** [X] Multiemployer Defined Benefit **(2)** [ ] Money Purchase (see instructions)

| | | | |
|---|---|---|---|
| **1a** | Enter the valuation date: Month 01 Day 01 Year 2021 | | |
| **b** | Assets | | |
| **(1)** | Current value of assets | **1b(1)** | 4461805014 |
| **(2)** | Actuarial value of assets for funding standard account | **1b(2)** | 4195779502 |
| **c (1)** | Accrued liability for plan using immediate gain methods | **1c(1)** | 5608759768 |
| **(2)** | Information for plans using spread gain methods: | | |
| **(a)** | Unfunded liability for methods with bases | **1c(2)(a)** | |
| **(b)** | Accrued liability under entry age normal method | **1c(2)(b)** | |
| **(c)** | Normal cost under entry age normal method | **1c(2)(c)** | |
| **(3)** | Accrued liability under unit credit cost method | **1c(3)** | 5317806367 |
| **d** | Information on current liabilities of the plan: | | |
| **(1)** | Amount excluded from current liability attributable to pre-participation service (see instructions) | **1d(1)** | |
| **(2)** | "RPA '94" information: | | |
| **(a)** | Current liability | **1d(2)(a)** | 10137375989 |
| **(b)** | Expected increase in current liability due to benefits accruing during the plan year | **1d(2)(b)** | 221198787 |
| **(c)** | Expected release from "RPA '94" current liability for the plan year | **1d(2)(c)** | 359248851 |
| **(3)** | Expected plan disbursements for the plan year | **1d(3)** | 369248851 |

**Statement by Enrolled Actuary**

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

**SIGN HERE**

| | |
|---|---|
| | 10/11/2022 |
| Signature of actuary | Date |
| KATHLEEN A. RILEY, FSA, MAAA, EA | 20-04134 |
| Type or print name of actuary | Most recent enrollment number |
| SEGAL | 617-424-7300 |
| Firm name | Telephone number (including area code) |
| 116 HUNTINGTON AVENUE SUITE 901, BOSTON, MA 02116-5744 | |
| Address of the firm | |

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

**2** Operational information as of beginning of this plan year:

| | | | |
|---|---|---|---|
| **a** Current value of assets (see instructions) | **2a** | | 4466618207 |
| **b** "RPA '94" current liability/participant count breakdown: | | **(1)** Number of participants | **(2)** Current liability |
| **(1)** For retired participants and beneficiaries receiving payment | | 21525 | 4759610328 |
| **(2)** For terminated vested participants | | 8928 | 1505896835 |
| **(3)** For active participants: | | | |
| **(a)** Non-vested benefits | | | 268857423 |
| **(b)** Vested benefits | | | 3603011403 |
| **(c)** Total active | | 14998 | 3871868826 |
| **(4)** Total | | 45451 | 10137375989 |
| **c** If the percentage resulting from dividing line 2a by line 2b(4), column (2), is less than 70%, enter such percentage | **2c** | | 44.06 % |

**3** Contributions made to the plan for the plan year by employer(s) and employees:

| **(a)** Date (MM-DD-YYYY) | **(b)** Amount paid by employer(s) | **(c)** Amount paid by employees | **(a)** Date (MM-DD-YYYY) | **(b)** Amount paid by employer(s) | **c)** Amount paid by employees |
|---|---|---|---|---|---|
| 07/15/2021 | 255984665 | 0 | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | **Totals ►** **3(b)** | 255984665 | **3(c)** 0 |

| | | |
|---|---|---|
| **(d)** Total withdrawal liability amounts included in line 3(b) total | **3(d)** | 0 |

**4** Information on plan status:

| | | |
|---|---|---|
| **a** Funded percentage for monitoring plan's status (line 1b(2) divided by line 1c(3)) | **4a** | 78.9 % |
| **b** Enter code to indicate plan's status (see instructions for attachment of supporting evidence of plan's status). If entered code is "N," go to line 5 | **4b** | N |

**c** Is the plan making the scheduled progress under any applicable funding improvement or rehabilitation plan? ☐ Yes ☐ No

**d** If the plan is in critical status or critical and declining status, were any benefits reduced (see instructions)? ☐ Yes ☐ No

| | | |
|---|---|---|
| **e** If line d is "Yes," enter the reduction in liability resulting from the reduction in benefits (see instructions), measured as of the valuation date | **4e** | |
| **f** If the rehabilitation plan projects emergence from critical status or critical and declining status, enter the plan year in which it is projected to emerge. If the rehabilitation plan is based on forestalling possible insolvency, enter the plan year in which insolvency is expected and check here ☐ | **4f** | |

**5** Actuarial cost method used as the basis for this plan year's funding standard account computations (check all that apply):

**a** ☐ Attained age normal **b** ☒ Entry age normal **c** ☐ Accrued benefit (unit credit) **d** ☐ Aggregate

**e** ☐ Frozen initial liability **f** ☐ Individual level premium **g** ☐ Individual aggregate **h** ☐ Shortfall

**i** ☐ Other (specify):

| | | |
|---|---|---|
| **j** If box h is checked, enter period of use of shortfall method | **5j** | |

**k** Has a change been made in funding method for this plan year? ☒ Yes ☐ No

**l** If line k is "Yes," was the change made pursuant to Revenue Procedure 2000-40 or other automatic approval? ☒ Yes ☐ No

| | | |
|---|---|---|
| **m** If line k is "Yes," and line l is "No," enter the date (MM-DD-YYYY) of the ruling letter (individual or class) approving the change in funding method | **5m** | |

**6** Checklist of certain actuarial assumptions:

| | | | | | |
|---|---|---|---|---|---|
| **a** Interest rate for "RPA '94" current liability | | | | **6a** | 2.43 % |
| | | Pre-retirement | | Post-retirement | |
| **b** Rates specified in insurance or annuity contracts | | ☐ Yes ☐ No ☒ N/A | | ☐ Yes ☐ No ☒ N/A | |
| **c** Mortality table code for valuation purposes: | | | | | |
| **(1)** Males | **6c(1)** | 5MP+1 | | 5MP+1 | |
| **(2)** Females | **6c(2)** | 5FP+1 | | 5FP+1 | |
| **d** Valuation liability interest rate | **6d** | 7.25 % | | 7.25 % | |
| **e** Expense loading | **6e** | 22.9 % | ☐ N/A | % | ☒ N/A |
| **f** Salary scale | **6f** | % | ☒ N/A | | |
| **g** Estimated investment return on actuarial value of assets for year ending on the valuation date | | | | **6g** | 9.8 % |
| **h** Estimated investment return on current value of assets for year ending on the valuation date | | | | **6h** | 13.2 % |

**7** New amortization bases established in the current plan year:

| **(1)** Type of base | **(2)** Initial balance | **(3)** Amortization Charge/Credit |
|---|---|---|
| 1 | -78451158 | -8158529 |
| 3 | -23841867 | -2479435 |
| 5 | -2137593 | -287060 |

**8** Miscellaneous information:

| | | |
|---|---|---|
| **a** If a waiver of a funding deficiency has been approved for this plan year, enter the date (MM-DD-YYYY) of the ruling letter granting the approval | **8a** | |
| **b(1)** Is the plan required to provide a projection of expected benefit payments? (See the instructions.) If "Yes," attach a schedule | | ☒ Yes ☐ No |
| **b(2)** Is the plan required to provide a Schedule of Active Participant Data? (See the instructions.) If "Yes," attach a schedule | | ☒ Yes ☐ No |
| **c** Are any of the plan's amortization bases operating under an extension of time under section 412(e) (as in effect prior to 2008) or section 431(d) of the Code? | | ☐ Yes ☒ No |
| **d** If line c is "Yes," provide the following additional information: | | |
| **(1)** Was an extension granted automatic approval under section 431(d)(1) of the Code? | | ☐ Yes ☐ No |
| **(2)** If line 8d(1) is "Yes," enter the number of years by which the amortization period was extended | **8d(2)** | |
| **(3)** Was an extension approved by the Internal Revenue Service under section 412(e) (as in effect prior to 2008) or 431(d)(2) of the Code? | | ☐ Yes ☐ No |
| **(4)** If line 8d(3) is "Yes," enter number of years by which the amortization period was extended (not including the number of years in line (2)) | **8d(4)** | |
| **(5)** If line 8d(3) is "Yes," enter the date of the ruling letter approving the extension | **8d(5)** | |
| **(6)** If line 8d(3) is "Yes," is the amortization base eligible for amortization using interest rates applicable under section 6621(b) of the Code for years beginning after 2007? | | ☐ Yes ☐ No |
| **e** If box 5h is checked or line 8c is "Yes," enter the difference between the minimum required contribution for the year and the minimum that would have been required without using the shortfall method or extending the amortization base(s) | **8e** | |

**9** Funding standard account statement for this plan year:

**Charges to funding standard account**:

| | | | |
|---|---|---|---|
| **a** Prior year funding deficiency, if any | | **9a** | 0 |
| **b** Employer's normal cost for plan year as of valuation date | | **9b** | 53707348 |
| **c** Amortization charges as of valuation date: | | Outstanding balance | |
| **(1)** All bases except funding waivers and certain bases for which the amortization period has been extended | **9c(1)** | 2174996164 | 307518814 |
| **(2)** Funding waivers | **9c(2)** | | |
| **(3)** Certain bases for which the amortization period has been extended | **9c(3)** | | |
| **d** Interest as applicable on lines 9a, 9b, and 9c | | **9d** | 26188897 |
| **e** Total charges. Add lines 9a through 9d | | **9e** | 387415059 |

**6** Checklist of certain actuarial assumptions:

| | | | | | | |
|---|---|---|---|---|---|---|
| **a** Interest rate for "RPA '94" current liability | | **6a** | % | | | |
| | | Pre-retirement | | Post-retirement | | |
| **b** Rates specified in insurance or annuity contracts | | ☐ Yes ☐ No ☐ N/A | | ☐ Yes ☐ No ☐ N/A | | |
| **c** Mortality table code for valuation purposes: | | | | | | |
| **(1)** Males | **6c(1)** | | | | | |
| **(2)** Females | **6c(2)** | | | | | |
| **d** Valuation liability interest rate | **6d** | % | | % | | |
| **e** Expense loading | **6e** | % | ☐ N/A | % | ☐ N/A | |
| **f** Salary scale | **6f** | % | ☐ N/A | | | |
| **g** Estimated investment return on actuarial value of assets for year ending on the valuation date | **6g** | % | | | | |
| **h** Estimated investment return on current value of assets for year ending on the valuation date | **6h** | % | | | | |

**7** New amortization bases established in the current plan year:

| **(1)** Type of base | **(2)** Initial balance | **(3)** Amortization Charge/Credit |
|---|---|---|
| 4 | 323578130 | 33650512 |
| | | |
| | | |

**8** Miscellaneous information:

| | | |
|---|---|---|
| **a** If a waiver of a funding deficiency has been approved for this plan year, enter the date (MM-DD-YYYY) of the ruling letter granting the approval | **8a** | |
| **b(1)** Is the plan required to provide a projection of expected benefit payments? (See the instructions.) If "Yes," attach a schedule | | ☐ Yes ☐ No |
| **b(2)** Is the plan required to provide a Schedule of Active Participant Data? (See the instructions.) If "Yes," attach a schedule | | ☐ Yes ☐ No |
| **c** Are any of the plan's amortization bases operating under an extension of time under section 412(e) (as in effect prior to 2008) or section 431(d) of the Code? | | ☐ Yes ☐ No |
| **d** If line c is "Yes," provide the following additional information: | | |
| **(1)** Was an extension granted automatic approval under section 431(d)(1) of the Code? | | ☐ Yes ☐ No |
| **(2)** If line 8d(1) is "Yes," enter the number of years by which the amortization period was extended | **8d(2)** | |
| **(3)** Was an extension approved by the Internal Revenue Service under section 412(e) (as in effect prior to 2008) or 431(d)(2) of the Code? | | ☐ Yes ☐ No |
| **(4)** If line 8d(3) is "Yes," enter number of years by which the amortization period was extended (not including the number of years in line (2)) | **8d(4)** | |
| **(5)** If line 8d(3) is "Yes," enter the date of the ruling letter approving the extension | **8d(5)** | |
| **(6)** If line 8d(3) is "Yes," is the amortization base eligible for amortization using interest rates applicable under section 6621(b) of the Code for years beginning after 2007? | | ☐ Yes ☐ No |
| **e** If box 5h is checked or line 8c is "Yes," enter the difference between the minimum required contribution for the year and the minimum that would have been required without using the shortfall method or extending the amortization base(s) | **8e** | |

**9** Funding standard account statement for this plan year:

**Charges to funding standard account**:

| | | | |
|---|---|---|---|
| **a** Prior year funding deficiency, if any | | **9a** | |
| **b** Employer's normal cost for plan year as of valuation date | | **9b** | |
| **c** Amortization charges as of valuation date: | | Outstanding balance | |
| **(1)** All bases except funding waivers and certain bases for which the amortization period has been extended | **9c(1)** | | |
| **(2)** Funding waivers | **9c(2)** | | |
| **(3)** Certain bases for which the amortization period has been extended | **9c(3)** | | |
| **d** Interest as applicable on lines 9a, 9b, and 9c | | **9d** | |
| **e** Total charges. Add lines 9a through 9d | | **9e** | |

**Credits to funding standard account**:

| | | | | |
|---|---|---|---|---|
| **f** | Prior year credit balance, if any | | **9f** | 290066947 |
| **g** | Employer contributions. Total from column (b) of line 3 | | **9g** | 255984665 |
| | | Outstanding balance | | |
| **h** | Amortization credits as of valuation date | **9h** 471948951 | | 83026202 |
| **i** | Interest as applicable to end of plan year on lines 9f, 9g, and 9h | | **9i** | 35555410 |
| **j** | Full funding limitation (FFL) and credits: | | | |
| | **(1)** ERISA FFL (accrued liability FFL) | **9j(1)** 1884119271 | | |
| | **(2)** "RPA '94" override (90% current liability FFL) | **9j(2)** 5105030083 | | |
| | **(3)** FFL credit | | **9j(3)** | 0 |
| **k** | **(1)** Waived funding deficiency | | **9k(1)** | 0 |
| | **(2)** Other credits | | **9k(2)** | 0 |
| **l** | Total credits. Add lines 9f through 9i, 9j(3), 9k(1), and 9k(2) | | **9l** | 664633224 |
| **m** | Credit balance: If line 9l is greater than line 9e, enter the difference | | **9m** | 277218165 |
| **n** | Funding deficiency: If line 9e is greater than line 9l, enter the difference | | **9n** | |

| | | | |
|---|---|---|---|
| **9o** | Current year's accumulated reconciliation account: | | |
| | **(1)** Due to waived funding deficiency accumulated prior to the 2021 plan year | **9o(1)** | 0 |
| | **(2)** Due to amortization bases extended and amortized using the interest rate under section 6621(b) of the Code: | | |
| | **(a)** Reconciliation outstanding balance as of valuation date | **9o(2)(a)** | 0 |
| | **(b)** Reconciliation amount (line 9c(3) balance minus line 9o(2)(a)) | **9o(2)(b)** | 0 |
| | **(3)** Total as of valuation date | **9o(3)** | 0 |
| **10** | Contribution necessary to avoid an accumulated funding deficiency. (See instructions.) | **10** | |
| **11** | Has a change been made in the actuarial assumptions for the current plan year? If "Yes," see instructions | | [X] Yes [ ] No |

| SCHEDULE C (Form 5500) | Service Provider Information | OMB No. 1210-0110 |
|---|---|---|
| Department of the Treasury<br>Internal Revenue Service<br>Department of Labor<br>Employee Benefits Security Administration<br>Pension Benefit Guaranty Corporation | This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br>▶ **File as an attachment to Form 5500.** | **2021**<br>**This Form is Open to Public Inspection.** |

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

## Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

### 1 Information on Persons Receiving Only Eligible Indirect Compensation

**a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

**b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

36 SOUTH CAPITAL ADVISORS LLC
BERKLEY SQUARE HOUSE 3RD FLOOR
LONDON, UNITED KINGDOM W1J6BU GB

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ABS INVESTMENT MANAGEMENT LLC

13-4205457

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ACTIS GP LLP
2 MORE LONDON RIVERSIDE
LONDON, UNITED KINGDOM SE12JT GB

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ACTIS LLP
2 MORE LONDON RIVERSIDE
LONDON, UNITED KINGDOM SE12JT GB

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AFL-CIO HOUSING INVESTMENT TRUST

52-6220193

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ALTARIS CAPITAL PARTNERS LLC

20-5988933

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AMERICAN REALTY ADVISORS

33-0123114

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AMERICAN SECURITIES LLC

27-1486827

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ARES ENERGY INVESTORS FUND V LP

35-2527651

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ASB CAPITAL MANAGEMENT LLC

80-0618452

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ATLAS VENTURE FUND X LP

47-2435989

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ATLAS VENTURE FUND XI LP

35-2596733

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AUDAX MANAGEMENT COMPANY (NY) LLC

04-3525044

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AUGUST EQUITY PARTNERS V A LP

98-1500773

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AZTEC FINANCIAL SERVICES (GUERNSEY)

PO BOX 656 EAST WING TRAFALGAR COURT
LES BANQUES, ST PETER PORT GY13PP GG

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BASALT INFRASTRUCTURE PARTNERS II A

98-1338721

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BASALT INFRASTRUCTURE PARTNERS III

98-1522136

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BLACKROCK FINANCIAL MANAGEMENT INC

13-3806691

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BLACKSTONE INFRASTRUCTURE ADVISORS

345 PARK AVENUE
NEW YORK, NY 10154

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CHARLESBANK CAPITAL PARTNERS LLC

04-3419769

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CHEQUERS PARTENAIRES

5 RUE FRANCOIS 1ER
PARIS, FRANCE 75008 FR

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CCP III ADVISORY LTD.

28/F CITIC TOWER 1 TIM MEI AVENUE
CENTRAL, HONG KONG SAR HK

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CONSTITUTION CAPITAL EQUITY PARTNER

74-3246212

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CRESCENT CAPITAL GROUP LP

12 GILL STREET
SUITE 2600
WOBURN, MA 01801

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CZECH ASSET MANAGEMENT LP

45-3236373

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DBAG FUND VI (GUERNSEY) LP

SECOND FLOOR LA PLAIDERIE CHAMBERS
LA PLAIDERIE, ST PETER PORT GY11WG GG

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DBAG FUND VII GP S.A.R.L

8 RUE LOU HEMMER SENNINGERBERG
GRAND-DUCHE DE LUXEMBO, LUXEMBOURG 1748 LU

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ATLAS VENTURE FUND XII LP

85-1040033

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DIMENSIONAL FUND ADVISORS LP

30-0447847

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DOVER IX ASSOCIATES LP

98-1251694

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DOVER VIII ASSOCIATES LP

30-0692313

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

EIF UNITED STATES POWER FUND IV LP

27-2858420

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ENERGY VENTURES IV LP

98-0701954

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ENTRUST GLOBAL PARTNERS OFFSHORE LP

90-0644478

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ESP 2006 GENERAL PARTNER LP

1 GEORGE STREET
EDINBURGH, UNITED KINGDOM EH22LL GB

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIRST EAGLE INVESTMENT MANAGEMENT L

57-1156902

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FOUNDATION CAPITAL LEADERSHIP FUND

87-1099683

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FOUNDATION CAPITAL X LP

87-1064035

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GAMUT CAPITAL MANAGEMENT LP

47-4084591

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GEM REALTY MANAGERS V LP

80-0881501

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GILDE BUY-OUT FUND IV

98-0623994

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GLOBAL INFRASTRUCTURE MGMT LLC

1345 AVENUE OF THE AMERICAS
30TH FLOOR
NEW YORK, NY 10105

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GRAIN MANAGEMENT LLC

27-2066237

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GROSVENOR CAPITAL MANAGEMENT LP

36-3795985

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GTIS PARTNERS LP

787 7TH AVENUE
50TH FLOOR
NEW YORK, NY 10019

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HAMILTON LANE ADVISORS LLC

23-2962336

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HAMILTON LANE GP VI LLC

03-0600956

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HARBOURVEST 2013 DIRECT ASSOC LP

45-4069261

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HARBOURVEST IX - VENTURE ASSOC LP

32-0292082

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HARBOURVEST PARTNERS LP

74-3130888

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HARBOURVEST VIII BUYOUT ASSOC LP

51-0578242

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HARBOURVEST VIII VENTURE ASSOC LP

34-2063513

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HIG MIDDLE MARKET LBO FUND II LP

46-4144600

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HIPEP V PARTNERSHIP ASSOCIATES LP

55-0897492

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HONY CAPITAL FUND V GP LP

98-1049863

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

IRIDIAN ASSET MANAGEMENT LLC

06-1439577

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

JMI MANAGEMENT INC

20-2772092

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

K1 INVESTMENT MANAGEMENT LLC

27-4741827

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

KEROGEN GENERAL PARTNER II LTD

1 NEXUS WAY
CAMANA BAY, GRAND CAYMAN KY19005 KY

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

KPS MANAGEMENT V LLC

ONE VANDERBILT AVENUE
52ND FLOOR
NEW YORK, NY 10017

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MBK MANAGEMENT INC

PO BOX 309GT UGLAND HOUSE SOUTH CHURCH STREET
GEORGE TOWN, GRAND CAYMAN KY11104 KY

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MCMORGAN & COMPANY LLC

52-2334338

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

NEUBERGER BERMAN

98-1444689

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

NEWTOWER TRUST COMPANY

30-0872552

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

OAKTREE CAPITAL MANAGEMENT LP

26-0189082

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ONCAP MANAGEMENT PARTNERS LP

161 BAY STREET SUITE 4900
TORONTO, ONTARIO M5J2S1 CA

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ORION CAPITAL MANAGERS LLP

63 BROOK STREET
LONDON, UNITED KINGDOM W1K4HS GB

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PACIFIC INVESTMENT MGMT CO LLC

650 NEWPORT CENTER DRIVE
NEWPORT BEACH, CA 92660

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PAG ASIA CAPITAL GP I LTD

103 SOUTH CHURCH STREET 2ND FLOOR
HARBOUR PLACE, GRAND CAYMAN KY11106 KY

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PAG ASIA CAPITAL GP II LTD

98-1273928

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PAG ASIA CAPITAL GP III LTD

98-1446205

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PAINE SCHWARTZ PTNRS FUND V MGMT LL

475 5TH AVENUE
17TH FLOOR
NEW YORK, NY 10017

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PANTHEON VENTURES (US) LP

600 MONTGOMERY STREET
23RD FLOOR
SAN FRANCISCO, CA 94111

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PANTHEON VENTURES INC

600 MONTGOMERY STREET
23RD FLOOR
SAN FRANCISCO, CA 94111

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PATRIA-PRIVATE EQUITY GP VI LTD

98-1411655

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PBPE GP IV LTD

98-1008704

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PBPE GP V LTD

98-1179780

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PNC BANK NATIONAL ASSOCIATION

22-1146430

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PROLOAN BOND FUND LLC

26-3436991

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

REF IV ASSOCIATES LP

630 5TH AVENUE
SUITE 400
NEW YORK, NY 10111

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

RELATED FUND MANAGEMENT LLC

26-4728181

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

RELIANCE TRUST COMPANY

58-1428634

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

RIDGEWOOD ENERGY CORPORATION

76-0036505

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

RIVERSIDE CAPITAL APPRECIATION FUND

26-2101418

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

RMCF III ASSOCIATES LLC

630 5TH AVENUE
SUITE 400
NEW YORK, NY 10111

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SEI TRUST COMPANY

06-1271230

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SHENKMAN CAPITAL MANAGEMENT INC

461 5TH AVENUE
NEW YORK, NY 10017

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SIGULER GUFF ADVISERS LLC

13-3855629

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SILVER LAKE TECHNOLOGY ASSOC III LP

20-5884966

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SOLAMERE CAPITAL AF III LP

82-1686590

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SOLAMERE CAPITAL AF III-A LP

83-2557195

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SOLAMERE CAPITAL AF IV-A LP

86-1271961

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SOUTHERN CROSS GROUP MANAGEMENT

25-0633807

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SOUTHERN CROSS MANAGEMENT

98-0518602

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

STELLEX CAPITAL PARTNERS II LP

85-0672937

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TA ASSOCIATES CAYMAN XII GP LP

98-1295832

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TA ASSOCIATES XII GP LP

61-1758607

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TA ASSOCIATES XIII GP LP

98-1477515

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TA ASSOCIATES XIV GP LP

98-1593568

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

THIRD ROCK VENTURES III LP

38-3898567

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

THIRD ROCK VENTURES IV LP

81-4370882

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

THIRD ROCK VENTURES V LP

84-1868726

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TOWERBROOK INVESTORS IV (ONSHORE) L

98-1075105

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TRILANTIC CAPITAL MANAGEMENT LP

26-4600829

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TRILANTIC CAPITAL PARTNERS ASSOC V

90-0769497

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

VISTA EQUITY PRTNERS FUND IV GP LLC

4 EMBARCADERO CENTER
20TH FLOOR
SAN FRANCISCO, CA 94111

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

VITRUVIAN PARTNERS LLP

105 WIGMORE STREET
LONDON, UNITED KINGDOM W1U1QY GB

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

WESTPORT CAPITAL PARTNERS LLC

20-3834766

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

WHITE DEER MANAGEMENT LLC

598 MADISON AVENUE
3RD FLOOR
NEW YORK, NY 10022

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

WOF II GP LP

26-0734562

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

POINT VENTURES MGMT LP

470 ATLANTIC AVENUE
12 FLOOR
BOSTON, MA 02210

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SYMPHONY TECHNOLOGY GROUP

1300 EL CAMINO REAL
SUITE 300
MENLO PARK, CA 94025

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ULLICO INVESTMENT ADVISORS INC

52-6435649

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

ARTISAN PARTNERS LMTD PARTNERSHIP

30-0551775

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 52 68 | NONE | 1111662 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**(a)** Enter name and EIN or address (see instructions)

MEKETA INVESTMENT GROUP INC

04-2659023

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 27 50 | NONE | 951627 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

VITECH SYSTEMS GROUP INC

13-3785492

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 16 50 | NONE | 911247 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

BNY MELLON INV MGMT CAYMAN LTD

PO BOX 309 UGLAND HOUSE
GEORGE TOWN, GRAND CAYMAN KY11104 KY

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 52 68 | NONE | 737132 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**(a)** Enter name and EIN or address (see instructions)

SCHULTHEIS & PANETTIERI LLP

13-1577780

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 10 50 | NONE | 703319 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

LOOMIS SAYLES & COMPANY

04-3200030

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 52 68 | NONE | 685447 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

ABRDN INC

51-0368279

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 52 | NONE | 653386 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**(a)** Enter name and EIN or address (see instructions)

WASHINGTON CAPITAL MANAGEMENT INC

91-1042342

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 641855 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

THE SEGAL COMPANY (EASTERN STATES)

13-1835864

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 11 16 50 | NONE | 614431 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

PRISA III

751 BROAD STREET
NEWARK, NJ 07102

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 533439 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

MIDIOR CONSULTING

04-3340176

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 16 50 | NONE | 439720 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

HARDMAN JOHNSTON GLOBAL ADVISORS LL

13-3257590

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 388523 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

LSV ASSET MANAGEMENT

23-2772200

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 381835 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

RICE HALL JAMES & ASSOC LLC

43-1992528

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 52 | NONE | 342296 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**(a)** Enter name and EIN or address (see instructions)

ACADIAN ASSET MANAGEMENT LLC

04-2929221

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 324830 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

COVE STREET CAPITAL

27-5376591

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 308998 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

BLACKROCK INSTL TRUST COMPANY NA

94-3112180

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 21 24 28 50 51 52 68 | NONE | 266109 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE A

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 265550 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

WELLINGTON TRUST COMPANY NA

04-2755549

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 254641 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

STATE STREET BANK & TRUST CO

04-1867445

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 19 28 51 52 62 99 | NONE | 209362 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE B

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 203839 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

KRAKOW SOURIS & LANDRY LLC

04-3363718

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 29 50 | NONE | 190316 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

JP MORGAN CHASE BANK NATL ASSOC

13-4994650

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 189768 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

DODGE & COX INVESTMENT MANAGERS

94-1441976

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 52 68 | NONE | 180988 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CS

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 172700 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

O'REILLY GROSSO GROSS & JONES PC

04-3318596

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 29 50 | NONE | 164163 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CT

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 149640 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

VIRGINIA & AMBINDER LLP

13-4166736

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 29 50 | NONE | 142834 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE C

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 142246 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

ARCARA LENDA EUSANIO & STACEY CPAS

47-1793720

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 29 50 | NONE | 136550 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE D

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 130722 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE E

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 127066 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE F

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 122257 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE G

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 120623 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

PALO INFORMATICS LLC

46-2647728

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 16 50 | NONE | 120509 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

NOVAK FRANCELLA LLC

61-1436956

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 10 50 | NONE | 120091 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE H

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 119391 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE I

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 117917 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE J

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 114002 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

FIRST ACTUARIAL CONSULTING INC

26-3842522

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 11 16 50 | NONE | 108975 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE K

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 107953 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE L

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 106862 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE M

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 105729 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE N

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 97550 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE O

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 96909 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE P

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 95576 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE Q

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 94870 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE R

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 94610 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE S

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 93677 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE T

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 90005 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS TRUST

81-4017137

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 18 19 51 52 | NONE | 89280 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE U

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 85227 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE V

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 84164 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE W

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 83093 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE X

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 81331 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

INNOVATIVE SOFTWARE SOLUTIONS INC

23-2182079

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 79746 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE Y

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 78566 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

WITHUM SMITH & BROWN PC

22-2027092

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 10 50 | NONE | 78563 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE Z

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 78175 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AA

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 78088 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AB

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 77543 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AC

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 76168 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

BENESYS INC

38-2383171

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 13 50 | NONE | 73624 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AD

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 73164 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AE

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 70046 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AF

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 69967 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AG

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 69276 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AH

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 68795 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AI

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 68179 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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EMPLOYEE AJ

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 67193 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AK

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 67191 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AL

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 66503 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AM

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 66440 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AN

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 65737 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AO

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 65433 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AP

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 64649 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AQ

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 64618 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AR

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 63676 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AS

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 63168 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AT

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 61873 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

CHEVY CHASE TRUST COMPANY

52-2037618

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 61191 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AU

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 60918 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AV

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 60540 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AW

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 60102 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AX

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 59917 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AY

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 59853 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE AZ

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 59594 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

HYGRADE BUSINESS GROUP

22-2392031

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 59386 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BA

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 59340 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BB

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 57979 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BC

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 57841 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BD

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 57792 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BE

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 57205 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BF

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 56226 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BG

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 55834 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BH

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 55817 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BI

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 53276 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BJ

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 52768 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BK

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 52698 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BL

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 52678 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BM

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 52260 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BN

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 51698 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BO

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 51165 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BP

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 50917 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BQ

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 50882 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

RHUMBLINE ADVISERS LMTD PARTNERSHIP

04-3118582

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 50620 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BR

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 50429 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BS

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 49054 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BT

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 47756 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

NEW ENGLAND SYSTEMS INC

06-1131719

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 16 50 | NONE | 46022 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BU

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 45362 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BV

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 44573 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

PERFECT PRINTING

41-4140223

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 44332 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BW

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 44209 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BX

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 44111 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BY

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 43687 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE BZ

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 42549 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CA

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 41335 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CB

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 41063 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CC

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 39273 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CD

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 39025 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CE

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 38990 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CF

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 38956 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CG

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 38898 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CH

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 38898 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

BASYS INC

52-1796473

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 38019 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

THE JOURNEYMAN PRESS

46-5227450

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 37782 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CI

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 37017 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CJ

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 36640 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

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**(a)** Enter name and EIN or address (see instructions)

STONE HARBOR INVESTMENT PARTNERS LP

20-3888589

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 36375 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

JJ PIERSON

37-1801501

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 29 50 | NONE | 36000 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CK

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 35759 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CL

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 35567 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

NATIONAL SOFTWARE INC

46-0638016

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 34115 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CM

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 32973 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CN

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 32345 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

IRON MOUNTAIN

23-2588479

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 15 50 | NONE | 32134 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

AMERICAN CLEANING COMPANY

04-1026920

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 31481 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

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**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CO

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 31337 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CP

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 29433 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CQ

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 29406 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

EMPLOYEE CR

04-2305512

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 30 50 | NONE | 28923 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

COMMONWEALTH CASH MANAGEMENT CORP

20-5649212

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 26278 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

OPUS INVESTMENT ADVISORS LLC

41-2063321

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 24500 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

BANK OF AMERICA NA

94-1687665

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 22296 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

PERFORMANCE ENVIRONMENTAL SERVICES

27-0492390

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 17926 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

ACARA SOLUTIONS INC

16-0852507

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 17287 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

STRATUS BUILDING SOLUTIONS

27-0507134

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 16554 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

JACKRABBIT DESIGN

76-0791669

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 16 50 | NONE | 15750 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

LENDINGCLUB BANK

85-4191886

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 15 50 64 | NONE | 15324 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

THE REVOLT GROUP LLC

45-3524964

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 16 50 | NONE | 14247 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

PROXYVOTE PLUS HOLDINGS INC

20-0509976

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 27 50 | NONE | 13500 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

FRONTLINE COURIER SERVICE INC

04-3213826

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 11231 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

STROW & SONS CONTRACTING

20-1104234

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 10345 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

KEATING PROPERTY SERVICES

46-5167231

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 8906 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

MARSHALL & MOSS PAYROLL COMPLIANCE

04-3652965

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 10 50 | NONE | 8790 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

POTOMAC INVESTMENT SERVICES

52-1912767

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 7500 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

MILLER LANDSCAPING INC

04-2759853

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 49 50 | NONE | 6735 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

SEGAL SELECT INSURANCE SERVICES INC

46-0619194

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 22 53 | NONE | 0 | Yes [X] No [ ] | Yes [ ] No [X] | 59777 | Yes [ ] No [X] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

UNION LABOR LIFE INSURANCE COMPANY

13-1423090

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 52 72 | NONE | 0 | Yes [X] No [ ] | Yes [X] No [ ] | 0 | Yes [ ] No [X] |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes [ ] No [ ] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes [ ] No [ ] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

## Part I Service Provider Information (continued)

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| SEGAL SELECT INSURANCE SERVICES INC | 53 | 28630 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| CHUBB<br><br>13-1963496 | INSURANCE BROKERAGE COMMISSIONS AND FEES |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| SEGAL SELECT INSURANCE SERVICES INC | 53 | 17189 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| EUCLID SPECIALTY<br><br>45-3957469 | INSURANCE BROKERAGE COMMISSIONS AND FEES |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| SEGAL SELECT INSURANCE SERVICES INC | 53 | 6944 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| HARTFORD FIRE INSURANCE COMPANY<br><br>06-0383750 | INSURANCE BROKERAGE COMMISSIONS AND FEES |

## Part I Service Provider Information (continued)

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| SEGAL SELECT INSURANCE SERVICES INC | 53 | 4656 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| RLI INSURANCE<br><br>37-0915434 | INSURANCE BROKERAGE COMMISSIONS AND FEES |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| SEGAL SELECT INSURANCE SERVICES INC | 53 | 2358 |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| TRAVELERS INSURANCE<br><br>06-0566090 | INSURANCE BROKERAGE COMMISSIONS AND FEES |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |

| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---|---|
| | |

## Part II Service Providers Who Fail or Refuse to Provide Information

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **(a)** Enter name and EIN or address of service provider (see instructions) | **(b)** Nature of Service Code(s) | **(c)** Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| **Part III** | **Termination Information on Accountants and Enrolled Actuaries (see instructions)**<br>(complete as many entries as needed) |
|---|---|

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

**a** Name:

**b** EIN:

**c** Position:

**d** Address:

**e** Telephone:

Explanation:

# SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

## DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection.**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan or DFE sponsor's name as shown on line 2a of Form 5500
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

**Part I** **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

**a** Name of MTIA, CCT, PSA, or 103-12 IE: AFL-CIO BUILDING INVESTMENT TRUST

**b** Name of sponsor of entity listed in (a): PNC BANK NATIONAL ASSOCIATION AS TRUSTEE

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 52-6328901-001 | C | 13723572 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: ASB ALLEGIANCE REAL ESTATE FUND

**b** Name of sponsor of entity listed in (a): CHEVY CHASE TRUST COMPANY

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 52-6257033-006 | C | 5683081 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: JPM EMBI GLBL DIV IDX FD C

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY NA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 83-2484172-001 | C | 58981409 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: RUSSELL 1000 GROWTH FUND

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY NA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 94-3302953-001 | C | 57382285 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: COMMINGLED PENSION TRUST FUND

**b** Name of sponsor of entity listed in (a): JPMORGAN CHASE BANK NA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 13-6038770-001 | C | 18100870 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: CRESCENT CAPITAL TRUST II (LEVERED)

**b** Name of sponsor of entity listed in (a): GLOBAL TRUST COMPANY

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 32-6471303-005 | C | 15757383 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: EAFE EQUITY INDEX FUND

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY NA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 94-6596958-001 | C | 104799985 |

| | | | |
|---|---|---|---|
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | EMERGING MARKETS EQUITY FUND | | |
| **b** Name of sponsor of entity listed in (a): | ABERDEEN ASSET MANAGEMENT | | |
| **c** EIN-PN 36-7180580-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 88083502 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | EQUITY INDEX FUND | | |
| **b** Name of sponsor of entity listed in (a): | BLACKROCK INSTITUTIONAL TRUST COMPANY NA | | |
| **c** EIN-PN 94-6052285-001 | **d** Entity code C | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 224227263 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | GQG PTNRS EMERGING MKTS EQUITY CIT | | |
| **b** Name of sponsor of entity listed in (a): | RELIANCE TRUST COMPANY | | |
| **c** EIN-PN 82-6258259-012 | **d** Entity code C | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 118975471 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | HARBOURVEST PTNRS IX LP | | |
| **b** Name of sponsor of entity listed in (a): | HARBOURVEST PARTNERS LLC | | |
| **c** EIN-PN 80-0653172-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 11670251 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | HARBOURVEST PTNRS VIII-BUYOUT FD LP | | |
| **b** Name of sponsor of entity listed in (a): | HARBOURVEST PARTNERS LLC | | |
| **c** EIN-PN 34-2063501-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 1422115 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | HRBRVST VIII-CAYMAN MEZ & DIST DEBT | | |
| **b** Name of sponsor of entity listed in (a): | HARBOURVEST PARTNERS LLC | | |
| **c** EIN-PN 98-0494212-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 326834 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | HRBRVST VIII-MEZZ & DISTRESSED DEBT | | |
| **b** Name of sponsor of entity listed in (a): | HARBOURVEST PARTNERS LLC | | |
| **c** EIN-PN 34-2063515-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 255519 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | HARBOURVEST PTNRS VIII-VENTURE FUND | | |
| **b** Name of sponsor of entity listed in (a): | HARBOURVEST PARTNERS LLC | | |
| **c** EIN-PN 34-2063502-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 3119841 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | HARDMAN JOHNSTON INTL EQ GRP TRUST | | |
| **b** Name of sponsor of entity listed in (a): | HARDMAN JOHNSTON GLOBAL ADVISORS LLC | | |
| **c** EIN-PN 26-6493485-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 93143336 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | HIPEP V-CAYMAN US DOLLAR PTNRSHP FD | | |
| **b** Name of sponsor of entity listed in (a): | HARBOURVEST PARTNERS LLC | | |
| **c** EIN-PN 98-0468020-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 537515 |

| | | | |
|---|---|---|---|
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | IRIDIAN COLLECTIVE INVESTMENT TRUST | | |
| **b** Name of sponsor of entity listed in (a): | SEI TRUST COMPANY | | |
| **c** EIN-PN 46-3975331-067 | **d** Entity code C | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 91654228 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | HIGH YIELD CONSERVATIVE TRUST | | |
| **b** Name of sponsor of entity listed in (a): | LOOMIS SAYLES TRUST COMPANY LLC | | |
| **c** EIN-PN 84-6391546-006 | **d** Entity code C | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 30070593 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | MASTER INCOME PLUS GROUP TRUST | | |
| **b** Name of sponsor of entity listed in (a): | MASTER INC PLUS GRP TRUST JP JEANNERET ASSOC INC | | |
| **c** EIN-PN 22-6591835-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 45901 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | MCMORGAN INFRASTRUCTURE FUND I LP | | |
| **b** Name of sponsor of entity listed in (a): | MCMORGAN INFRASTRUCTURE GP LLC | | |
| **c** EIN-PN 30-0808269-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 11080464 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | MSCI EMERGING MARKETS FREE FUND | | |
| **b** Name of sponsor of entity listed in (a): | BLACKROCK INSTITUTIONAL TRUST COMPANY NA | | |
| **c** EIN-PN 33-1030441-001 | **d** Entity code C | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 109675284 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | NCS GROUP TRUST - GLOBAL FUND | | |
| **b** Name of sponsor of entity listed in (a): | BNY MELLON INVESTMENT MANAGEMENT CAYMAN LTD | | |
| **c** EIN-PN 76-6192146-003 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 141180928 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | NEWTOWER TRUST COMPANY MEPT | | |
| **b** Name of sponsor of entity listed in (a): | NEWTOWER TRUST COMPANY | | |
| **c** EIN-PN 52-6218800-001 | **d** Entity code C | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 13392699 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | PROLOAN BOND FUND LLC | | |
| **b** Name of sponsor of entity listed in (a): | PROLOAN BOND FUND LLC | | |
| **c** EIN-PN 26-3436991-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 9317355 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | RHUMBLINE QSI INDEX LLC | | |
| **b** Name of sponsor of entity listed in (a): | RHUMBLINE ADVISERS CORP | | |
| **c** EIN-PN 26-0137355-001 | **d** Entity code E | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 160224958 |
| **a** Name of MTIA, CCT, PSA, or 103-12 IE: | RUSSELL 2000 INDEX FUND | | |
| **b** Name of sponsor of entity listed in (a): | BLACKROCK INSTITUTIONAL TRUST COMPANY NA | | |
| **c** EIN-PN 94-3273839-001 | **d** Entity code C | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) | 41870162 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: SENIOR FLOATING RATE FUND LLC

**b** Name of sponsor of entity listed in (a): LOOMIS SAYLES AND COMPANY LP

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 82-0542784-001 | E | 96102814 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: SHORT-TERM INVESTMENT FUND

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY NA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 94-6450621-001 | C | 4565 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: S&P GLOB LGMIDCAP NAT RES IDX NL FD

**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS TRUST COMPANY

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 90-0337987-287 | C | 3734788 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: STOCK PERFORMANCE INDEX FUTURES FD

**b** Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 04-0025081-007 | C | 5952189 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: ULLICO INFRASTR TAXABLE FUND LP

**b** Name of sponsor of entity listed in (a): ULLICO INVESTMENT ADVISORS INC

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 45-5209095-001 | E | 37696347 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: ULLICO SEPARATE ACCOUNT J

**b** Name of sponsor of entity listed in (a): THE UNION LABOR LIFE INSURANCE COMPANY

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 13-1423090-203 | P | 35689117 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: US DEBT INDEX FUND

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY NA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 94-6589507-001 | C | 221916433 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: US TIPS FUND

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST COMPANY NA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 94-3410125-001 | C | 278852748 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: WTC-CTF EMERGING LOCAL DEBT

**b** Name of sponsor of entity listed in (a): WELLINGTON TRUST COMPANY NA

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| 27-2594633-001 | C | 56858562 |

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

| **c** EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|---|---|---|
| | | |

**Part II** **Information on Participating Plans (to be completed by DFEs)**
(Complete as many entries as needed to report all participating plans)

| | |
|---|---|
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |
| **a** Plan name | |
| **b** Name of plan sponsor | **c** EIN-PN |

# SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

## Part I Asset and Liability Statement

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets | | (a) Beginning of Year | (b) End of Year |
|---|---|---|---|
| **a** Total noninterest-bearing cash | 1a | 2683130 | 1113042 |
| **b** Receivables (less allowance for doubtful accounts): | | | |
| **(1)** Employer contributions | 1b(1) | 3207185 | 10924611 |
| **(2)** Participant contributions | 1b(2) | | |
| **(3)** Other | 1b(3) | 1241604802 | 18511898 |
| **c** General investments: | | | |
| **(1)** Interest-bearing cash (include money market accounts & certificates of deposit) | 1c(1) | 222413979 | 188241838 |
| **(2)** U.S. Government securities | 1c(2) | 61553467 | 126181914 |
| **(3)** Corporate debt instruments (other than employer securities): | | | |
| **(A)** Preferred | 1c(3)(A) | 6708044 | 7914501 |
| **(B)** All other | 1c(3)(B) | 118050297 | 90706598 |
| **(4)** Corporate stocks (other than employer securities): | | | |
| **(A)** Preferred | 1c(4)(A) | | |
| **(B)** Common | 1c(4)(B) | 358801372 | 517505335 |
| **(5)** Partnership/joint venture interests | 1c(5) | 1063803220 | 1595040600 |
| **(6)** Real estate (other than employer real property) | 1c(6) | 262493815 | 290472599 |
| **(7)** Loans (other than to participants) | 1c(7) | 13516527 | 12493106 |
| **(8)** Participant loans | 1c(8) | | |
| **(9)** Value of interest in common/collective trusts | 1c(9) | 1115705467 | 1471613570 |
| **(10)** Value of interest in pooled separate accounts | 1c(10) | 5143305 | 35689117 |
| **(11)** Value of interest in master trust investment accounts | 1c(11) | | |
| **(12)** Value of interest in 103-12 investment entities | 1c(12) | 395990450 | 654207680 |
| **(13)** Value of interest in registered investment companies (e.g., mutual funds) | 1c(13) | 155640486 | 183075107 |
| **(14)** Value of funds held in insurance company general account (unallocated contracts) | 1c(14) | 6041271 | 5869746 |
| **(15)** Other | 1c(15) | 22461962 | 31452060 |

| | | | **(a)** Beginning of Year | **(b)** End of Year |
|---|---|---|---|---|
| **1d** | Employer-related investments: | | | |
| | **(1)** Employer securities | 1d(1) | | |
| | **(2)** Employer real property | 1d(2) | | |
| **e** | Buildings and other property used in plan operation | 1e | 29079272 | 27626202 |
| **f** | Total assets (add all amounts in lines 1a through 1e) | 1f | 5084898051 | 5268639524 |
| | **Liabilities** | | | |
| **g** | Benefit claims payable | 1g | | |
| **h** | Operating payables | 1h | 39599902 | 57109496 |
| **i** | Acquisition indebtedness | 1i | | |
| **j** | Other liabilities | 1j | 578679942 | 162519603 |
| **k** | Total liabilities (add all amounts in lines 1g through1j) | 1k | 618279844 | 219629099 |
| | **Net Assets** | | | |
| **l** | Net assets (subtract line 1k from line 1f) | 1l | 4466618207 | 5049010425 |

## Part II Income and Expense Statement

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

| | **Income** | | **(a)** Amount | **(b)** Total |
|---|---|---|---|---|
| **a** | **Contributions:** | | | |
| | **(1)** Received or receivable in cash from: **(A)** Employers | 2a(1)(A) | 255984665 | |
| | **(B)** Participants | 2a(1)(B) | | |
| | **(C)** Others (including rollovers) | 2a(1)(C) | | |
| | **(2)** Noncash contributions | 2a(2) | | |
| | **(3)** Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)** | 2a(3) | | 255984665 |
| **b** | **Earnings on investments:** | | | |
| | **(1)** Interest: | | | |
| | **(A)** Interest-bearing cash (including money market accounts and certificates of deposit) | 2b(1)(A) | 1773042 | |
| | **(B)** U.S. Government securities | 2b(1)(B) | 888474 | |
| | **(C)** Corporate debt instruments | 2b(1)(C) | 1967577 | |
| | **(D)** Loans (other than to participants) | 2b(1)(D) | | |
| | **(E)** Participant loans | 2b(1)(E) | | |
| | **(F)** Other | 2b(1)(F) | 61766477 | |
| | **(G)** Total interest. Add lines **2b(1)(A)** through **(F)** | 2b(1)(G) | | 66395570 |
| | **(2)** Dividends: **(A)** Preferred stock | 2b(2)(A) | | |
| | **(B)** Common stock | 2b(2)(B) | 10295480 | |
| | **(C)** Registered investment company shares (e.g. mutual funds) | 2b(2)(C) | 4841538 | |
| | **(D)** Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)** | 2b(2)(D) | | 15137018 |
| | **(3)** Rents | 2b(3) | | 440382 |
| | **(4)** Net gain (loss) on sale of assets: **(A)** Aggregate proceeds | 2b(4)(A) | 1002465640 | |
| | **(B)** Aggregate carrying amount (see instructions) | 2b(4)(B) | 1128501958 | |
| | **(C)** Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result | 2b(4)(C) | | -126036318 |
| | **(5)** Unrealized appreciation (depreciation) of assets: **(A)** Real estate | 2b(5)(A) | 11058910 | |
| | **(B)** Other | 2b(5)(B) | 530138398 | |
| | **(C)** Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)** | 2b(5)(C) | | 541197308 |

| | | (a) Amount | (b) Total |
|---|---|---|---|
| (6) Net investment gain (loss) from common/collective trusts | 2b(6) | | 121668776 |
| (7) Net investment gain (loss) from pooled separate accounts | 2b(7) | | 1196389 |
| (8) Net investment gain (loss) from master trust investment accounts | 2b(8) | | |
| (9) Net investment gain (loss) from 103-12 investment entities | 2b(9) | | 63527747 |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) | 2b(10) | | |
| c Other income | 2c | | 3159801 |
| d Total income. Add all **income** amounts in column (b) and enter total | 2d | | 942671338 |
| **Expenses** | | | |
| e Benefit payment and payments to provide benefits: | | | |
| (1) Directly to participants or beneficiaries, including direct rollovers | 2e(1) | 338244245 | |
| (2) To insurance carriers for the provision of benefits | 2e(2) | | |
| (3) Other | 2e(3) | | |
| (4) Total benefit payments. Add lines **2e(1)** through **(3)** | 2e(4) | | 338244245 |
| f Corrective distributions (see instructions) | 2f | | |
| g Certain deemed distributions of participant loans (see instructions) | 2g | | |
| h Interest expense | 2h | | |
| i Administrative expenses: **(1)** Professional fees | 2i(1) | 2393458 | |
| (2) Contract administrator fees | 2i(2) | 757734 | |
| (3) Investment advisory and management fees | 2i(3) | 8849351 | |
| (4) Other | 2i(4) | 10034332 | |
| (5) Total administrative expenses. Add lines **2i(1)** through **(4)** | 2i(5) | | 22034875 |
| j Total expenses. Add all **expense** amounts in column (b) and enter total | 2j | | 360279120 |
| **Net Income and Reconciliation** | | | |
| k Net income (loss). Subtract line **2j** from line **2d** | 2k | | 582392218 |
| l Transfers of assets: | | | |
| (1) To this plan | 2l(1) | | |
| (2) From this plan | 2l(2) | | |

## Part III Accountant's Opinion

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

**(1)** [X] Unmodified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

**b** Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

**(1)** [ ] DOL Regulation 2520.103-8 **(2)** [ ] DOL Regulation 2520.103-12(d) **(3)** [X] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

**c** Enter the name and EIN of the accountant (or accounting firm) below:

**(1)** Name: SCHULTHEIS & PANETTIERI LLP **(2)** EIN: 13-1577780

**d** The opinion of an independent qualified public accountant is **not attached** because:

**(1)** [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

## Part IV Compliance Questions

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

| | | Yes | No | Amount |
|---|---|---|---|---|
| **a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) | 4a | | X | |

| | | | Yes | No | Amount |
|---|---|---|---|---|---|
| **b** | Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) | **4b** | | X | |
| **c** | Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) | **4c** | | X | |
| **d** | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) | **4d** | | X | |
| **e** | Was this plan covered by a fidelity bond? | **4e** | X | | 10000000 |
| **f** | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | **4f** | | X | |
| **g** | Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? | **4g** | X | | 1977260440 |
| **h** | Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? | **4h** | | X | |
| **i** | Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) | **4i** | X | | |
| **j** | Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.) | **4j** | X | | |
| **k** | Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | **4k** | | X | |
| **l** | Has the plan failed to provide any benefit when due under the plan? | **4l** | | X | |
| **m** | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | **4m** | | X | |
| **n** | If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | **4n** | | | |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| **5b(1)** Name of plan(s) | **5b(2)** EIN(s) | **5b(3)** PN(s) |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

**5c** Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☒ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 436148.

# SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection.**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

## Part I Distributions

**All references to distributions relate only to payments of benefits during the plan year.**

**1** Total value of distributions paid in property other than in cash or the forms of property specified in the instructions ..... | **1** |

**2** Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-2305512 94-1687665

**Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.**

**3** Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year ..... | **3** | 142

## Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

**4** Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☒ **N/A**

**If the plan is a defined benefit plan, go to line 8.**

**5** If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

**If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.**

**6** **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) ..... | **6a** |

**b** Enter the amount contributed by the employer to the plan for this plan year ..... | **6b** |

**c** Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) ..... | **6c** |

**If you completed line 6c, skip lines 8 and 9.**

**7** Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**8** If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☒ **Yes** ☐ **No** ☐ **N/A**

## Part III Amendments

**9** If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☒ **Decrease** ☐ **Both** ☐ **No**

## Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

**10** Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

**11** **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

**b** If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

**12** Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

## Part V Additional Information for Multiemployer Defined Benefit Pension Plans

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

**14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

| | | |
|---|---|---|
| **a** The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: [X] last contributing employer [ ] alternative [ ] reasonable approximation (see instructions for required attachment) | **14a** | 0 |
| **b** The plan year immediately preceding the current plan year. [ ] Check the box if the number reported is a change from what was previously reported (see instructions for required attachment) | **14b** | 0 |
| **c** The second preceding plan year. [ ] Check the box if the number reported is a change from what was previously reported (see instructions for required attachment) | **14c** | 0 |

**15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

| | | |
|---|---|---|
| **a** The corresponding number for the plan year immediately preceding the current plan year | **15a** | |
| **b** The corresponding number for the second preceding plan year | **15b** | |

**16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

| | | |
|---|---|---|
| **a** Enter the number of employers who withdrew during the preceding plan year | **16a** | |
| **b** If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers | **16b** | |

**17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment [ ]

## Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

**18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment [ ]

**19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

**a** Enter the percentage of plan assets held as:
Stock: 42 % Investment-Grade Debt: 6 % High-Yield Debt: 4 % Real Estate: 12 % Other: 36 %

**b** Provide the average duration of the combined investment-grade and high-yield debt:
[ ] 0-3 years [X] 3-6 years [ ] 6-9 years [ ] 9-12 years [ ] 12-15 years [ ] 15-18 years [ ] 18-21 years [ ] 21 years or more

**c** What duration measure was used to calculate line 19(b)?
[X] Effective duration [ ] Macaulay duration [ ] Modified duration [ ] Other (specify):

**20** **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

**a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? [ ] Yes [ ] No

**b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

[ ] Yes.

[ ] No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

[ ] No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

[ ] No. Other. Provide explanation _____

Schultheis & Panettieri LLP
Accountants and Consultants

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# Independent Auditor's Report

Board of Trustees
North Atlantic States Carpenters Pension Fund

## Opinion

We have audited the accompanying financial statements of the North Atlantic States Carpenters Pension Fund (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of December 31, 2021 and 2020, and the related statements of changes in net assets available for benefits for the years ended December 31, 2021 and 2020, and the related notes to the financial statements.

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2021, and the changes therein for the year ended December 31, 2021 and its financial status as of December 31, 2020, and its changes therein for the year ended December 31, 2020 in accordance with accounting principles generally accepted in the United States of America.

## Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

## Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America; and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

**Auditor's Responsibilities for the Audit of the Financial Statements**

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

**Supplemental Schedules Required by ERISA**

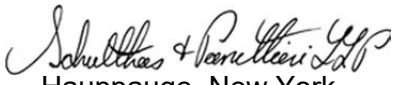
Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental information on pages 22 through 74 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedules is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

**Supplemental Information**

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental information on page 75 is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.



Hauppauge, New York
October 12, 2022

# SCHEDULE MB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

**2021**

**This Form is Open to Public Inspection**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

**A** Name of plan
NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF
BOARD OF TRUSTEES, NORTH ATLANTIC STATES CARPENTERS PENSION FUND

**D** Employer Identification Number (EIN)
51-6040899

**E** Type of plan: **(1)** [X] Multiemployer Defined Benefit **(2)** [ ] Money Purchase (see instructions)

| | | | |
|---|---|---|---|
| **1a** | Enter the valuation date: Month 01 Day 01 Year 2021 | | |
| **b** | Assets | | |
| **(1)** | Current value of assets | **1b(1)** | 4,461,805,014 |
| **(2)** | Actuarial value of assets for funding standard account | **1b(2)** | 4,195,779,502 |
| **c (1)** | Accrued liability for plan using immediate gain methods | **1c(1)** | 5,608,759,768 |
| **(2)** | Information for plans using spread gain methods: | | |
| **(a)** | Unfunded liability for methods with bases | **1c(2)(a)** | |
| **(b)** | Accrued liability under entry age normal method | **1c(2)(b)** | |
| **(c)** | Normal cost under entry age normal method | **1c(2)(c)** | |
| **(3)** | Accrued liability under unit credit cost method | **1c(3)** | 5,317,806,367 |
| **d** | Information on current liabilities of the plan: | | |
| **(1)** | Amount excluded from current liability attributable to pre-participation service (see instructions) | **1d(1)** | |
| **(2)** | "RPA '94" information: | | |
| **(a)** | Current liability | **1d(2)(a)** | 10,137,375,989 |
| **(b)** | Expected increase in current liability due to benefits accruing during the plan year | **1d(2)(b)** | 221,198,787 |
| **(c)** | Expected release from "RPA '94" current liability for the plan year | **1d(2)(c)** | 359,248,851 |
| **(3)** | Expected plan disbursements for the plan year | **1d(3)** | 369,248,851 |

**Statement by Enrolled Actuary**

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

**SIGN HERE**

Kathleen A. Riley, FSA, MAAA, EA KCR — Signature of actuary

10/11/2022 — Date

Kathleen A. Riley, FSA, MAAA, EA — Type or print name of actuary

2004134 — Most recent enrollment number

Segal — Firm name

617-424-7300 — Telephone number (including area code)

116 Huntington Avenue Suite 901
Boston MA 02116-5744
Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

**2** Operational information as of beginning of this plan year:

| | | | |
|---|---|---|---|
| **a** Current value of assets (see instructions) | | **2a** | 4,466,618,207 |
| **b** "RPA '94" current liability/participant count breakdown: | | **(1)** Number of participants | **(2)** Current liability |
| **(1)** For retired participants and beneficiaries receiving payment | | 21,525 | 4,759,610,328 |
| **(2)** For terminated vested participants | | 8,928 | 1,505,896,835 |
| **(3)** For active participants: | | | |
| **(a)** Non-vested benefits | | | 268,857,423 |
| **(b)** Vested benefits | | | 3,603,011,403 |
| **(c)** Total active | | 14,998 | 3,871,868,826 |
| **(4)** Total | | 45,451 | 10,137,375,989 |
| **c** If the percentage resulting from dividing line 2a by line 2b(4), column (2), is less than 70%, enter such percentage | **2c** | | 44.06% |

**3** Contributions made to the plan for the plan year by employer(s) and employees:

| **(a)** Date (MM-DD-YYYY) | **(b)** Amount paid by employer(s) | **(c)** Amount paid by employees | **(a)** Date (MM-DD-YYYY) | **(b)** Amount paid by employer(s) | **c)** Amount paid by employees |
|---|---|---|---|---|---|
| 07/15/2021 | 255,984,665 | 0 | | | |
| | | | | | |
| | | | | | |
| | |

**j** If box h is checked, enter period of use of shortfall method ..... **5j**

**k** Has a change been made in funding method for this plan year? ..... [X] Yes [ ] No

**l** If line k is "Yes," was the change made pursuant to Revenue Procedure 2000-40 or other automatic approval? ..... [X] Yes [ ] No

**m** If line k is "Yes," and line l is "No," enter the date (MM-DD-YYYY) of the ruling letter (individual or class) approving the change in funding method ..... **5m**

**6** Checklist of certain actuarial assumptions:

**a** Interest rate for "RPA '94" current liability ..... **6a** 2.43 %

| | | Pre-retirement | | Post-retirement | |
|---|---|---|---|---|---|
| **b** Rates specified in insurance or annuity contracts ..... | | [ ] Yes [ ] No [X] N/A | | [ ] Yes [ ] No [X] N/A | |
| **c** Mortality table code for valuation purposes: | | | | | |
| **(1)** Males ..... | **6c(1)** | 5MP+1 | | 5MP+1 | |
| **(2)** Females ..... | **6c(2)** | 5FP+1 | | 5FP+1 | |
| **d** Valuation liability interest rate ..... | **6d** | 7.25 % | | 7.25 % | |
| **e** Expense loading ..... | **6e** | 22.9 % | [ ] N/A | % | [X] N/A |
| **f** Salary scale ..... | **6f** | % | [X] N/A | | |

**g** Estimated investment return on actuarial value of assets for year ending on the valuation date ..... **6g** 9.8 %

**h** Estimated investment return on current value of assets for year ending on the valuation date ..... **6h** 13.2 %

**7** New amortization bases established in the current plan year:

| **(1)** Type of base | **(2)** Initial balance | **(3)** Amortization Charge/Credit |
|---|---|---|
| 1 | -78,451,158 | -8,158,529 |
| 3 | -23,841,867 | -2,479,435 |
| 5 | -2,137,593 | -287,060 |
| 4 | 323,578,130 | 33,650,512 |
| | | |
| | | |

**8** Miscellaneous information:

**a** If a waiver of a funding deficiency has been approved for this plan year, enter the date (MM-DD-YYYY) of the ruling letter granting the approval ..... **8a**

**b(1)** Is the plan required to provide a projection of expected benefit payments? (See the instructions.) If "Yes," attach a schedule. ..... [X] Yes [ ] No

**b(2)** Is the plan required to provide a Schedule of Active Participant Data? (See the instructions.) If "Yes," attach a schedule. ..... [X] Yes [ ] No

**c** Are any of the plan's amortization bases operating under an extension of time under section 412(e) (as in effect prior to 2008) or section 431(d) of the Code? ..... [ ] Yes [X] No

**d** If line c is "Yes," provide the following additional information:

**(1)** Was an extension granted automatic approval under section 431(d)(1) of the Code? ..... [ ] Yes [ ] No

**(2)** If line 8d(1) is "Yes," enter the number of years by which the amortization period was extended ..... **8d(2)**

**(3)** Was an extension approved by the Internal Revenue Service under section 412(e) (as in effect prior to 2008) or 431(d)(2) of the Code? ..... [ ] Yes [ ] No

**(4)** If line 8d(3) is "Yes," enter number of years by which the amortization period was extended (not including the number of years in line (2)) ..... **8d(4)**

**(5)** If line 8d(3) is "Yes," enter the date of the ruling letter approving the extension ..... **8d(5)**

**(6)** If line 8d(3) is "Yes," is the amortization base eligible for amortization using interest rates applicable under section 6621(b) of the Code for years beginning after 2007? ..... [ ] Yes [ ] No

**e** If box 5h is checked or line 8c is "Yes," enter the difference between the minimum required contribution for the year and the minimum that would have been required without using the shortfall method or extending the amortization base(s) ..... **8e**

| | | | | |
|---|---|---|---|---|
| **9** Funding standard account statement for this plan year: | | | | |
| **Charges to funding standard account**: | | | | |
| **a** Prior year funding deficiency, if any | | | 9a | 0 |
| **b** Employer's normal cost for plan year as of valuation date | | | 9b | 53,707,348 |
| **c** Amortization charges as of valuation date: | | Outstanding balance | | |
| **(1)** All bases except funding waivers and certain bases for which the amortization period has been extended | 9c(1) | 2,174,996,164 | | 307,518,814 |
| **(2)** Funding waivers | 9c(2) | | | |
| **(3)** Certain bases for which the amortization period has been extended | 9c(3) | | | |
| **d** Interest as applicable on lines 9a, 9b, and 9c | | | 9d | 26,188,897 |
| **e** Total charges. Add lines 9a through 9d | | | 9e | 387,415,059 |
| **Credits to funding standard account**: | | | | |
| **f** Prior year credit balance, if any | | | 9f | 290,066,947 |
| **g** Employer contributions. Total from column (b) of line 3 | | | 9g | 255,984,665 |
| | | Outstanding balance | | |
| **h** Amortization credits as of valuation date | 9h | 471,948,951 | | 83,026,202 |
| **i** Interest as applicable to end of plan year on lines 9f, 9g, and 9h | | | 9i | 35,555,410 |
| **j** Full funding limitation (FFL) and credits: | | | | |
| **(1)** ERISA FFL (accrued liability FFL) | 9j(1) | 1,884,119,271 | | |
| **(2)** "RPA '94" override (90% current liability FFL) | 9j(2) | 5,105,030,083 | | |
| **(3)** FFL credit | | | 9j(3) | 0 |
| **k (1)** Waived funding deficiency | | | 9k(1) | |
| **(2)** Other credits | | | 9k(2) | |
| **l** Total credits. Add lines 9f through 9i, 9j(3), 9k(1), and 9k(2) | | | 9l | 664,633,224 |
| **m** Credit balance: If line 9l is greater than line 9e, enter the difference | | | 9m | 277,218,165 |
| **n** Funding deficiency: If line 9e is greater than line 9l, enter the difference | | | 9n | |

| | | | |
|---|---|---|---|
| **9o** Current year's accumulated reconciliation account: | | | |
| **(1)** Due to waived funding deficiency accumulated prior to the 2021 plan year | 9o(1) | | |
| **(2)** Due to amortization bases extended and amortized using the interest rate under section 6621(b) of the Code: | | | |
| **(a)** Reconciliation outstanding balance as of valuation date | 9o(2)(a) | | |
| **(b)** Reconciliation amount (line 9c(3) balance minus line 9o(2)(a)) | 9o(2)(b) | | 0 |
| **(3)** Total as of valuation date | 9o(3) | | 0 |
| **10** Contribution necessary to avoid an accumulated funding deficiency. (See instructions.) | 10 | | |
| **11** Has a change been made in the actuarial assumptions for the current plan year? If "Yes," see instructions | | | ☒ Yes ☐ No |

# Exhibit F: Schedule of Active Participant Data

(Schedule MB, Line 8b(2))

The participant data is for the year ended December 31, 2020.

| | | Pension Credits | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| **Age** | **Total** | **Under 1** | **1 - 4** | **5 - 9** | **10 - 14** | **15 - 19** | **20 - 24** | **25 - 29** | **30 - 34** | **35 - 39** | **40 & over** |
| Under 25 | 419 | 5 | 378 | 36 | – | – | – | – | – | – | – |
| 25 - 29 | 1,170 | 4 | 774 | 379 | 13 | – | – | – | – | – | – |
| 30 - 34 | 1,725 | 4 | 852 | 601 | 253 | 15 | – | – | – | – | – |
| 35 - 39 | 1,879 | 5 | 643 | 538 | 425 | 251 | 17 | – | – | – | – |
| 40 - 44 | 2,017 | 2 | 547 | 447 | 401 | 403 | 198 | 19 | – | – | – |
| 45 - 49 | 1,903 | 4 | 327 | 325 | 307 | 409 | 389 | 119 | 22 | 1 | – |
| 50 - 54 | 2,400 | – | 255 | 280 | 305 | 506 | 530 | 264 | 218 | 40 | 2 |
| 55 - 59 | 2,175 | 1 | 169 | 173 | 244 | 398 | 469 | 316 | 246 | 120 | 39 |
| 60 - 64 | 1,109 | – | 62 | 92 | 118 | 200 | 210 | 146 | 143 | 83 | 55 |
| 65 - 69 | 181 | – | 13 | 31 | 21 | 32 | 29 | 15 | 16 | 7 | 17 |
| 70 & over | 18 | – | 2 | 2 | – | 3 | 2 | 1 | – | – | 8 |
| Unknown | 2 | – | 2 | – | – | – | – | – | – | – | – |
| **Total** | **14,998** | **25** | **4,024** | **2,904** | **2,087** | **2,217** | **1,844** | **880** | **645** | **251** | **121** |

# Exhibit J: Statement of Actuarial Assumptions, Methods and Models

(Schedule MB, Line 6)

**Mortality Rates**

*Healthy*: RP-2014 Employee and Healthy Annuitant Mortality Tables set forward one year and projected generationally with Scale MP-2018 (previously, for Empire and Albany/Adirondack participants, RP-2000 Combined Healthy Mortality Table set forward three years and projected generationally with Scale AA).

*Disabled:* RP-2014 Healthy Annuitant Mortality Table set forward six years and projected generationally with Scale MP-2018 (previously, for Empire and Albany/Adirondack participants, RP-2000 Disabled Retiree Mortality Table projected generationally with Scale AA).

The underlying tables with the generational projection to the ages of participants as of the measurement date reasonably reflect the mortality experience of the Plan as of the measurement date. As part of the analysis, a comparison was made between the actual number of deaths and the projected number when available based on the prior years' assumption over the most recent five years. These mortality tables were then adjusted to future years using the generational projection to reflect future mortality improvement between the measurement date and those years.

**Annuitant Mortality Rates[1]**

| | Rate (%) | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | Current | | | | Prior Empire and Albany/Adirondack | | | |
| | Healthy | | Disabled | | Healthy | | Disabled | |
| Age | Male | Female | Male | Female | Male | Female | Male | Female |
| 55 | 0.61 | 0.39 | 0.83 | 0.56 | 0.53 | 0.39 | 3.54 | 1.65 |
| 60 | 0.83 | 0.56 | 1.19 | 0.88 | 1.00 | 0.76 | 4.20 | 2.18 |
| 65 | 1.19 | 0.88 | 1.84 | 1.42 | 1.79 | 1.34 | 5.02 | 2.80 |
| 70 | 1.84 | 1.42 | 2.96 | 2.31 | 3.04 | 2.30 | 6.26 | 3.76 |
| 75 | 2.96 | 2.31 | 4.98 | 3.88 | 5.21 | 3.76 | 8.21 | 5.22 |
| 80 | 4.98 | 3.88 | 8.67 | 6.78 | 8.97 | 6.25 | 10.94 | 7.23 |
| 85 | 8.67 | 6.78 | 15.13 | 11.97 | 15.06 | 10.73 | 14.16 | 10.02 |
| 90 | 15.13 | 11.97 | 23.65 | 19.59 | 23.37 | 17.04 | 18.34 | 14.00 |

[1] Mortality rates shown are for base table, after reflecting the set forward.

**Termination Rates before Retirement**

| | Rate (%) | | | |
|---|---|---|---|---|
| | Mortality[1] | | | |
| | Current | | Prior Empire and Albany/Adirondack | |
| Age | Male | Female | Male | Female |
| 20 | 0.04 | 0.02 | 0.04 | 0.02 |
| 25 | 0.05 | 0.02 | 0.04 | 0.02 |
| 30 | 0.05 | 0.02 | 0.06 | 0.04 |
| 35 | 0.05 | 0.03 | 0.10 | 0.06 |
| 40 | 0.07 | 0.04 | 0.13 | 0.09 |
| 45 | 0.11 | 0.07 | 0.19 | 0.14 |
| 50 | 0.19 | 0.12 | 0.29 | 0.22 |
| 55 | 0.31 | 0.18 | 0.53 | 0.39 |
| 60 | 0.52 | 0.26 | 1.00 | 0.76 |

| | Rate (%) | | | |
|---|---|---|---|---|
| | New England, Connecticut, and Rhode Island | | Empire and Albany/Adirondack | |
| Age | Disability | Withdrawal | Disability | Withdrawal |
| 20 | 0.08 | 9.94 | 0.06 | 17.94 |
| 25 | 0.11 | 9.67 | 0.09 | 17.22 |
| 30 | 0.14 | 9.30 | 0.11 | 16.21 |
| 35 | 0.18 | 8.71 | 0.15 | 14.86 |
| 40 | 0.28 | 7.75 | 0.22 | 13.10 |
| 45 | 0.45 | 6.35 | 0.36 | 10.84 |
| 50 | 0.76 | 4.22 | 0.61 | 7.92 |
| 55 | 1.26 | 0.00 | 1.01 | 4.40 |
| 60 | 2.04 | 0.00 | 1.63 | 1.20 |

[1] Mortality rates shown are for base table.

The termination rates and disability rates for New England, Connecticut, and Rhode Island participants were based on historical and current demographic data, adjusted to reflect estimated future experience and professional judgment. As part of the analysis, a comparison was made between the actual number of terminations and disability retirements and the projected number based on the prior years' assumption over the most recent five years.

The termination rates and disability rates for Empire and Albany/Adirondack participants are the same as in the prior valuation completed by First Actuarial Consulting, Inc. and appear reasonable based on available data, estimated future experience and professional judgement.

**Retirement Rates New England:**

| Age | Rate Per Year |
|---|---|
| 55 | 9% |
| 56 – 59 | 9 |
| 60 | 18 |
| 61 | 18 |
| 62 – 64 | 45 |
| 65 | 100 |

For the years in which an employee completes 32, 33 and 34 years of service, the retirement rate is increased by an additional 10%.

**Connecticut:**

| Age | Rate Per Year |
|---|---|
| 55 – 60 | 2% |
| 61 | 2 |
| 62 | 40 |
| 63 | 25 |
| 64 | 25 |
| 65 | 50 |
| 66 | 50 |
| 67 | 100 |

An additional 5% are assumed to retire at ages 40 through 61 if eligibility for the Rule of 92 or the 30 year service pension are met.

**Rhode Island:**

| Age | Rate Per Year |
|---|---|
| 50 – 54 | 1% |
| 55 – 59 | 3 |
| 60 | 5 |
| 61 | 5 |
| 62 | 40 |
| 63 – 64 | 20 |
| 65 | 100 |

For participants under age 62, retirement rates are increased by 30% if the participant has 31 or more years of service. Rates are not adjusted for those over age 62.

**Empire and Albany/Adirondack:**

| Age | Rate Per Year |
|---|---|
| 55 | 20% |
| 56 – 61 | 10 |
| 62 | 30 |
| 63 – 64 | 10 |
| 65 | 100 |

For the year in which the eligibility for Rule of 85 is met, the retirement rate is 20%.

The retirement rates for New England, Connecticut, and Rhode Island participants were based on historical and current demographic data, adjusted to reflect estimated future experience and professional judgment. As part of the analysis, a comparison was made between the actual number of retirements and the projected number based on the prior years' assumption over the most recent five years.

The retirement rates for Empire and Albany/Adirondack participants are the same as in the prior valuation completed by First Actuarial Consulting, Inc. and appear reasonable based on available data, estimated future experience and professional judgement.

**Description of Weighted Average Retirement Age**

Age 61, determined as follows: The weighted average retirement age for each participant is calculated as the sum of the product of each potential current or future retirement age times the probability of surviving from current age to that age and then retiring at that age, assuming no other decrements. The overall weighted retirement age is the average of the individual retirement ages based on all the active participants included in the January 1, 2021 actuarial valuation.

| | |
|---|---|
| **Retirement Age for Inactive Vested Participants** | *New England:* 60. Inactive vested participants who were formerly in the Northern New England Carpenters Pension Fund are assumed to retire at age 62. Other inactive vested participants with a Normal Retirement Age of 65 are assumed to retire at age 65.<br>*Connecticut:* Vested terminated participants are assumed to retire at age 65, or age 62 if eligible for a Normal Retirement Age of 62 or unreduced benefits at age 62, or immediately if eligible for a 30 year service pension.<br>*Rhode Island:* 62<br>*Empire and Albany/Adirondack:* Earliest unreduced retirement age.<br>The retirement age for inactive vested New England, Connecticut, and Rhode Island participants was based on historical and current data, adjusted to reflect estimated future experience and professional judgment. As part of the analysis, a comparison was made between the actual number of retirements by age and the projected number based on the prior years' assumption over the most recent five years.<br>The retirement age for inactive vested Empire and Albany/Adirondack participants are the same as in the prior valuation completed by First Actuarial Consulting, Inc. and appear reasonable based on available data, estimated future experience and professional judgement. |
| **Future Benefit Accruals** | *New England, Connecticut and Rhode Island:* One pension credit per year.<br>*Empire and Albany/Adirondack:* 1,600 hours per year (previously, 1,400). Contributions assumed to be made at a rate equal to each participant's contribution rate at the beginning of the year, adjusted for future contribution rate increases known as of the valuation date. Where missing, contribution rate is assumed to be the average rate worked in the most recent year. (Previously, an average rate of $6.90 assumed for all participants).<br>The future benefit accruals were based on historical and current data, adjusted to reflect estimated future experience and professional judgment. |
| **Unknown Data for Participants** | Same as those exhibited by participants with similar known characteristics. If not specified, participants are assumed to be male.<br>Empire and Albany/Adirondack retirees collecting pop-up benefit forms are assumed to have had reductions for benefit form based on the current definition of actuarial equivalence. |
| **Incomplete data** | Hours data for Connecticut participants includes only 11 months of data and was prorated to 12 months to determine bonus accrual rates. |
| **Definition of Active Participants** | *New England, Connecticut, and Rhode Island:* Employees who worked at least 420 hours during the prior year and had accrued at least one pension credit. Previously:<br>• *Connecticut:* Active participants, including retirees who returned to work, are those with at least 360 hours during the prior year and who have accumulated at least one vesting credit, excluding those who have retired as of the valuation date.<br>• *Rhode Island:* Active participants are defined as those with at least 160 hours during the prior year and who have accumulated at least one pension credit, excluding those who have retired as of the valuation date.<br>*Empire and Albany/Adirondack:* Participants who worked at least 500 hours during the prior calendar year. |

| | |
|---|---|
| **Reciprocal Agreement** | Present and future employees age 65 and older are assumed to retire immediately. |
| **Employment** | Bonus accrual rates are based on hours worked in the year prior to the valuation date. |
| **Percent Married** | *New England:* Social security awards during 1972.<br>*Connecticut:* 100%<br>*Rhode Island:* 75%<br>*Empire and Albany/Adirondack:* 75% |
| **Age of Spouse** | Females are three years younger than their male spouses. |
| **Benefit Election** | *New England, Rhode Island and New York:* All participants are assumed to elect automatic form based on the applicable marriage assumption.<br>*Connecticut:* 66 ⅔% of participants are assumed to elect the automatic form for married participants and 33 ⅓% of participants are assumed to elect the automatic form for single participants.<br>The benefit elections are based on historical and current demographic data, adjusted to reflect the plan design, estimated future experience and professional judgment. |
| **Delayed Retirement Factors** | Active participants are assumed to work enough hours each month to not qualify for delayed retirement adjustment. Inactive vested participants who are assumed to commence receipt of benefits after attaining normal retirement age qualify for delayed retirement increases. (Previously, inactive vested Empire and Albany/Adirondack participants not assumed to quality for delayed retirement increases.) |
| **Exclusion of Inactive Vested Participants** | Inactive participants in the Empire and Albany/Adirondack plans over age 80 are excluded from the valuation. |
| **Net Investment Return** | 7.25% (previously, 7.50%)<br>The net investment return assumption is a long-term estimate derived from historical data, current and recent market expectations, and professional judgment. As part of the analysis, a building block approach was used that reflects inflation expectations and anticipated risk premiums for each of the portfolio's asset classes as provided by Segal Marco Advisors, as well as the Plan's target asset allocation. |
| **Post-retirement Health Benefits** | Liabilities funded by 401(h) account are excluded. |
| **Administrative Expenses** | $10,000,000 for calendar year 2021, increasing by 3% thereafter (previously, $7,400,000 for the year beginning January 1, 2020 payable at the beginning of the year, $7,750,000 for the year beginning January 1, 2021, and increasing 3.0% per year thereafter).<br>The annual administrative expenses were based on budgeted and estimated expenses provided by the North Atlantic States Carpenters Fund Office. |

# Section 3: Certificate of Actuarial Valuation

| | |
|---|---|
| **Actuarial Value of Assets** | The market value of assets less unrecognized returns in each of the last five years. Unrecognized return is equal to the difference between the actual market return and the projected return on the market value, and is recognized over a five-year period. The actuarial value is further adjusted, if necessary, to be within 15% of the market value. |
| **Actuarial Cost Method** | Entry Age Normal Actuarial Cost Method. Entry Age is defined as attained age less accumulated service for New England and Rhode Island participants and age at date of hire for Connecticut and Empire and Albany/Adirondack partcipants. Normal Cost and Actuarial Accrued Liability are calculated on an individual basis and are allocated by service with Normal Cost determined as if the current benefit accrual rate had always been in effect (previously, for Empire and Albany/Adirondack, Normal Cost determined using the plan of benefits applicable to each participants). |
| **Benefits Valued** | Unless otherwise indicated, includes all benefits summarized in *Exhibit K*. |
| **Current Liability Assumptions** | *Interest:* 2.43% (previously, 2.95%), within the permissible range prescribed under IRC Section 431(c)(6)(E)<br>*Mortality:* Mortality prescribed under IRS Regulations 1.431(c)(6)-1 and 1.430(h)(3)-1(a)(2): RP-2014 employee and annuitant mortality tables, adjusted backward to the base year (2006) using scale MP-2014, projected forward generationally using scale MP-2019 (previously, the MP-2018 scale was used). |
| **Estimated Rate of Investment Return** | *On actuarial value of assets (Schedule MB, line 6g):* 9.82%, for the Plan Year ending December 31, 2020<br>*On current (market) value of assets (Schedule MB, line 6h):* 13.17%, for the Plan Year ending December 31, 2020 |
| **FSA Contribution Timing (Schedule MB, line 3a)** | Unless otherwise noted, contributions are paid periodically throughout the year pursuant to collective bargaining agreements. The interest credited in the FSA is therefore assumed to be equivalent to a July 15 contribution date. |
| **Actuarial Models** | Segal valuation results are based on proprietary actuarial modeling software. The actuarial valuation models generate a comprehensive set of liability and cost calculations that are prepared to meet regulatory, legislative and client requirements. Deterministic cost projections are based on a proprietary forecasting model. Our Actuarial Technology and Systems unit, comprised of both actuaries and programmers, is responsible for the initial development and maintenance of these models. The models have a modular structure that allows for a high degree of accuracy, flexibility and user control. The client team programs the assumptions and the plan provisions, validates the models, and reviews test lives and results, under the supervision of the responsible Enrolled Actuary. |

# Section 3: Certificate of Actuarial Valuation

| | |
|---|---|
| **Justification for Change in Actuarial Assumptions and Cost Methods**<br>**(Schedule MB, line 11)** | For purposes of determining current liability, the current liability interest rate was changed from 2.95% to 2.43% due to a change in the permissible range and recognizing that any rate within the permissible range satisfies the requirements of IRC Section 431(c)(6)(E) and the mortality tables and mortality improvement scales were changed in accordance with IRS Regulations 1.431(c)(6)-1 and 1.430(h)(3)-1.<br>Based on past experience and future expectations, the following actuarial assumptions were changed as of January 1, 2021:<br>• The investment return assumption was lowered from 7.50% to 7.25%.<br>• The mortality assumption for healthy Empire and Albany/Adirondack participants was changed from the RP-2000 Combined Healthy Mortality Table set forward three years and projected generationally with Scale AA to the RP-2014 Employee and Healthy Annuitant Mortality Tables set forward one year and projected generationally with Scale MP-2018.<br>• The mortality assumption for disabled Empire and Albany/Adirondack participants was changed from the RP-2000 Disabled Retiree Mortality Table set forward three years and projected generationally with Scale AA to the RP-2014 Healthy Annuitant Mortality Table set forward six years and projected generationally with Scale MP-2018.<br>• The administrative expense assumption was changed from $7,400,000 for the year beginning January 1, 2020 payable at the beginning of the year, $7,750,000 for the year beginning January 1, 2021, and increasing 3.0% per year thereafter to $10,000,000 for calendar year 2021, increasing by 3% per year thereafter.<br>• Late retirement increases were added for inactive vested Empire and Albany/Adirondack participants who retire after Normal Retirement Age.<br>• Inactive vested Empire and Albany/Adirondack participants over age 80 were excluded from the valuation.<br>• The assumption for future benefit accruals for Empire and Albany/Adirondack participants was changed from 1,400 hours at a $6.90 contribution rate to 1,600 hours at a rate equal to each participant's contribution rate at the beginning of the year as reported in the valuation data or estimated based on 2020 hours and contributions, adjusted for future contribution rate increases.<br>• To reflect the uniform benefit structure for future accruals among New England, Connecticut, and Rhode Island participants, the definition of an active participant for Connecticut and Rhode Island participants was changed from participants who work at least 360 hours in the most recent plan year (160 hours for Rhode Island) and who have accumulated at least one vesting credit (one pension credit for Rhode Island) to participants who worked at least 420 hours during the prior year and had accrued at least one pension credit.<br>As a result of the transfer to the North Atlantic States Carpenters Pension Fund of the portion of the Northeast Carpenters Pension Fund assets and liabilities associated with the former Empire and Albany/Adirondack Carpenters Pension Funds, the actuarial cost method for Empire and Albany/Adirondack liabilities was changed to the Entry Age Normal Cost method used by the North Atlantic States Carpenters Pension Fund in accordance with Revenue Procedure 2000-40. |

# Exhibit E: Schedule of Projection of Expected Benefit Payments

(Schedule MB, Line 8b(1))

| Plan Year | Expected Annual Benefit Payments |
|---|---|
| 2021 | $359,103,737 |
| 2022 | 373,032,539 |
| 2023 | 385,766,062 |
| 2024 | 396,836,220 |
| 2025 | 406,716,288 |
| 2026 | 414,856,715 |
| 2027 | 421,727,154 |
| 2028 | 427,238,261 |
| 2029 | 430,586,104 |
| 2030 | 432,835,458 |

This assumes the following:

- No additional benefits will be accrued.
- Experience is in line with valuation assumptions.
- No new entrants are covered by the Plan.

# Exhibit K: Summary of Plan Provisions

(Schedule MB, Line 6)

This exhibit summarizes the major provisions of the Plan included in the valuation. It is not intended to be, nor should it be interpreted as, a complete statement of all plan provisions.

The Rhode Island Carpenters Pension Plan and the Connecticut Carpenters Pension Plan merged into the New England Carpenters Pension Plan (now the North Atlantic States Carpenters Pension Plan) on January 1, 2018. Some plan provisions were changed for benefits earned on or after January 1, 2019 and further changes were made for benefits earned on or after January 1, 2021, including the actuarial equivalence basis for the reductions for standard and optional forms of payment.

The assets and liabilities of the Empire and Albany/Adirondack participants in the Northeast Carpenters Pension Plan were transferred to the North Atlantic States Carpenters Pension Plan on December 31, 2020. The plan provisions in effect on December 31, 2020 are unchanged.

The plan provisions in this exhibit are shown separately for the participants in the former New England, Rhode Island and Connecticut Plans and for the Empire and Albany/Adirondack participants.

| | |
|---|---|
| **Plan Year** | January 1 through December 31 |
| **Pension Credit Year** | January 1 through December 31 |
| **Plan Status** | Ongoing plan |

## New England Carpenters Pension Fund

| | |
|---|---|
| **Regular Pension** | • *Age Requirement:* 62 (65 for those hired on or after January 1, 2021)<br>• *Service Requirement:* 10 pension credits<br>• *Amount:* For retirements after January 1, 2008, $11.70 for each pension credit earned prior to June 1, 1962 plus $108 per year of pension credit after June 1, 1962 and prior to December 31, 2005, and $127 thereafter. No maximum on years of service. Service in excess of 30 years must be future service. Effective January 1, 2019, employees who earn 1,850 hours or more in a year will receive a bonus accrual of $5.<br>For Local 723 participants, the accrual rate is $64. Effective January 1, 2019, employees who earn 1,850 hours or more in a year will receive a bonus accrual of $3.<br>For former participants of the Northern New England Carpenter Pension Fund retiring after January 1, 2008, the accrual rate is $20 for years of credited service prior to May 1, 1987 and $70 for years of credited service after May 1, 1987. There is no bonus accrual rate.<br>For Millwrights working in Covered Employment in Northern New England, retiring after January 1, 2015, the accrual rate is $20 for years of credited service prior to May 1, 1987, $80 for years of credited service after May 1, 1987 and prior to December 31, 2019, $90 for years of credited service after December 31, 2019 and prior to December 31, 2020, and $100 for years of credited service after December 31, 2020 (previously, $90 for years of credited service after December 31, 2020). There is no bonus accrual rate. |
| **Service Pension** | • *Age Requirement:* None<br>• *Service Requirement:* 30 pension credits<br>• *Amount:* Regular pension accrued |
| **Early Retirement** | • *Age Requirement:* 55<br>• *Service Requirement:* 10 pension credits<br>• *Amount:* Regular pension accrued reduced by 1% for each year of age less than 62 for benefits earned before January 1, 2019 and by 6% for each year of age less than 62 for benefits earned on or after January 1, 2019. |
| **Disability** | • *Age Requirement:* None<br>• *Service Requirement:* 15 pension credits, or 10 pension credits of which at least 9 were by actual work in covered employment during the contribution period or 10 years of vesting service.<br>• *Amount:* Regular pension accrued. Participant must be eligible for Social Security Disability Benefits for benefits earned after December 31, 2020. |
| **Partial Pension** | • *Requirement:* Combined pension credit from this and related plans makes the participant eligible for a pension from all such related plans.<br>• *Amount:* Pursuant to Section 8.03 of the Pension Plan Rules and Regulations. |

| | |
|---|---|
| **Vesting** | • *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* Regular pension accrued<br>• *Normal Retirement Age:* 62 or, if later, the fifth anniversary of participation. |
| **Spouse's Pre-Retirement Death Benefit** | For benefits accrued through December 31, 2020:<br>• *Requirement:* a) 3 pension credits earned during the contribution period and earned at least one-tenth pension credit in 36-month period immediately preceding his death, or b) Age 55 or eligible for a Service Pension and dies prior to retirement when he is eligible by virtue of his age and service for a pension.<br>• *Amount:* 75% of the benefit employee would have received had he retired the day before he died and qualified for the Participant-Spouse benefit. The maximum early retirement reduction is 7%.<br>or<br>• *Requirement:* 5 years of vesting service and not eligible for the above spouse's benefit.<br>• *Amount:* 50% of the benefit the employee would have received had he retired the day before he died and elected the 50% joint-and-survivor annuity. The maximum early retirement reduction is 7%. If the employee has less than 10 years of vesting service, the benefit is payable when the employee would have been age 62.<br>For benefits accrued after December 31, 2020:<br>• *Requirement:* 5 years of vesting service<br>• *Amount:* 75% Participant-Spouse benefit if one-tenth pension credit is worked in either of two Plan Years preceding death. If the work test is not met, 50% Participant-Spouse benefit. The maximum early retirement reduction is 42%. There is no early retirement reduction for participants with at least 15 pension credits if the work test is met. |
| **Pre-Retirement Death Benefit (if not eligible for or elects out of spouse's benefit)** | For benefits accrued through December 31, 2020:<br>• *Age Requirement:* None<br>• *Service Requirement:* 3 pension credits earned during the contribution period and earned at least one-tenth pension credit in 36-month period immediately preceding his death.<br>• *Amount:* Monthly benefit to which employee would have been entitled had he retired the day before he died and elected a 120-month certain guaranteed benefit. Not reduced for early payment.<br>For benefits accrued after December 31, 2020:<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* 10-year certain pension if one-tenth pension credit is worked in either of two Plan Years preceding death. If the work test is not met, 5-year certain pension. The maximum early retirement reduction is 42%. There is no early retirement reduction for participants with at least 15 pension credits if the work test is met. |

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| **Automatic Benefit Forms** | • *Not Married:* The accrued pension is paid as a 5-Year Certain and Life Benefit.<br>• *Married:* Lifetime monthly annuity to the retiree with 75% Participant-Spouse Pension continuing to the retiree's spouse following the retiree's death for benefits accrued through December 31, 2020 and with 50% Participant-Spouse Pension continuing to the spouse for benefits accrued after December 31, 2020. The accrued benefit is adjusted to provide for this benefit. There is also a subsidized pop-up for the 24 months following retirement. |
| **Optional Forms of Benefits** | • *Certain and Life:* Nonmarried retirees may elect the Ten-Year Certain and Life Benefit and married retirees may elect the Ten- or Five-Year Certain and Life Benefit. The accrued benefit is adjusted (upward or downward) to provide for this benefit.<br>• *Joint and Survivor Forms:* For benefits through December 31, 2020, retirees may elect a joint and survivor annuity with either a 50%, 75%, or 100% joint and survivor benefit continued to a named beneficiary (who need not be the spouse of the retiree). The benefit under any such election will be adjusted to provide for this benefit.<br>• *Participant-Spouse:* Retirees may elect a Participant-Spouse annuity with either a 50% or 100% benefit, with or without pop-up, based on simplified factors for benefits accrued through December 31, 2020 and a 75% or 100% benefit without pop-up based on actuarial equivalence for benefits accrued after December 31, 2020. There is also a subsidized pop-up for the 24 months following retirement for the options that do not include a full pop-up. |
| **Participation** | The earliest July 1 or January 1 following completion of a 12-month period during which at least 140 hours were worked. |
| **Pension Credit** | One-tenth of a pension credit is granted for each 140 hours worked with a maximum of one full year of credit for 1,400 hours or more worked during a plan year. |
| **Vesting Service** | One-tenth of a year of service is granted for each 140 hours worked with a maximum of one full year of service for 840 hours or more worked in a Plan year. Vesting service is credited for future service only. |

# Section 3: Certificate of Actuarial Valuation

**Contribution Rate**

This valuation reflects contribution rates of:

| | 2020 | March 1, 2021 |
|---|---|---|
| New England Regional Council of Carpenters – Boston & Eastern | $10.45 | $11.32 |
| Western MA Area Carpenters Local Union #336 | 10.37 | 11.10 |
| Western MA Zone 3 Floor Coverers | 10.37 | 11.10 |
| Wood Frame Construction Local Union #723 | 3.80 | 4.47 |
| Floorcoverers Local Union #2168 | 10.45 | 11.32 |
| Millwrights Local Union #1121 | 10.45 | 11.32 |
| Northern New England Millwrights Local Union #1121 | 8.75 | 9.88[1] |
| Carpenters Local Union #349 and #352 | 8.00 | 8.73 |
| Piledrivers Local Union #56 | 10.45 | 11.32 |
| Local 723 Northern NE/EDA | 3.80 | 4.47 |

[1] $9.12 from January 4, 2021 through February 28, 2021.

## Connecticut Carpenters Pension Fund

**Regular Pension**

- *Age Requirement:* 62 (65 for those hired after April 1, 2007)
- *Service Requirement:* 5th anniversary of plan participation
- *Amount:* $8.00 times service prior to April 1, 1958, plus $11.00 times past service between April 1, 1958 and March 31, 1981, plus $20.00 times future service or special future service granted between April 1, 1958 and March 31, 1973, plus $50.00 times pension credit earned between April 1, 1973 and March 31, 1987, plus the multi-rate benefit for pension credit earned after March 31, 1987, which is computed as follows:

**Multi-Rate Benefit**

| Period | Multiplier | % of Contributions | Cap[1] | $10 Additional Accrual |
|---|---|---|---|---|
| 4/1/1987 – 3/31/1995 | 50 | 100.0% | N/A | No |
| 4/1/1995 – 6/30/1999 | 50 | 100.0% | N/A | Yes |
| 7/1/1999 – 3/31/2000 | 41 | 100.0% | $90 | Yes |
| 4/1/2000 – 3/31/2001 | 35 | 100.0% | $90 | Yes |
| 4/1/2001 – 8/31/2002 | 30 | 100.0% | $90 | Yes |
| 9/1/2002 – 4/30/2003 | 30 | 86.0% | $90 | Yes |
| 5/1/2003 – 6/30/2004 | 30 | 80.0% | $90 | Yes |
| 7/1/2004 – 6/30/2005 | 30 | 65.0% | $90 | Yes |
| 7/1/2005 – 6/30/2007 | 30 | 58.0% | $90 | Yes |
| 7/1/2007 – 3/31/2008 | 30 | 56.1% | $90 | Yes |
| 4/1/2008 – 6/30/2008 | 30 | 56.1% | $100 | N/A |
| 7/1/2008 – 6/30/2009 | 30 | 53.8% | $100 | N/A |
| 7/1/2009 – 6/30/2010 | 30 | 48.0% | $100 | N/A |
| 7/1/2010 – 3/31/2011 | 30 | 43.3% | $100 | N/A |
| 4/1/2011 – 6/30/2013 | 30 | 42.0% | $100 | N/A |
| 7/1/2013 – 6/30/2016 | 30 | 41.2% | $100 | N/A |
| 7/1/2016 – 6/30/2017 | 30 | 39.8% | $100 | N/A |
| 7/1/2017-12/31/2019 | 30 | 37.9% | $100 | N/A |
| 1/1/2020 and after | 30 | 36.1% | $100 | N/A |

[1] The Fund Office cap increased from $50 to $60 after April 1, 2008. The $10 additional accrual applies to members who retire after April 1, 2008 and meet certain work tests.

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| | For benefits earned on or after January 1, 2019, employees who earn 1,850 or more hours in a year will receive a bonus accrual of $20.<br>The benefit earned on and after January 1, 2021 for all employees excluding Shop employees is $100 per pension credit or $60 per pension credit for Connecticut Fund Office employees. |
| **Early Retirement** | • *Age Requirement:* 55<br>• *Service Requirement:* 10 pension credits for benefits accrued on or after January 1, 2019 and 15 pension credits for benefits accrued before January 1, 2019<br>• *Amount:* Regular pension accrued reduced by 6% for each year of age less than 62. Unreduced if 30 pension credits. For benefits accrued through December 31, 2020, unreduced if age plus service totals at least 92 or 30 years of vesting service. |
| **Disability** | • *Age Requirement:* None<br>• *Service Requirement:* 10 pension credits for benefits earned through December 31, 2020. For benefits accrued after December 31, 2020, 10 pension credits or 10 vesting credits.<br>• *Amount:* Regular pension accrued. Participant must be eligible for Social Security Disability Benefits. |
| **Vesting** | • *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* Regular or early pension accrued. |
| **Partial Pension** | • *Requirement:* Combined service with this and related plans qualifies participant for a pension.<br>• *Amount:* Regular or early pension accrued based on service in this plan. |
| **Spouse's Pre-Retirement Death Benefit** | For benefits accrued through December 31, 2020:<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* 100% of the benefit employee would have received had he or she retired the day before he or she died and elected the 100% Alternative Husband-and-Wife Pension. In the case of a participant with 15 pension credits who earned at least 240 hours in either of the two Plan Years preceding the date of death, there is no reduction for early commencement of benefits. The benefit is not reduced for commencement before age 55.<br>For benefits accrued after December 31, 2020:<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* 75% Participant-Spouse benefit if one-tenth pension credit is worked in either of two Plan Years preceding death. If the work test is not met, 50% Participant-Spouse benefit. The maximum early retirement |

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| | reduction is 42%. There is no early retirement reduction for participants with at least 15 pension credits if the work test is met. |
| **Pre-Retirement Death Benefit (if not eligible for, or elects out of, the Spouse's Benefit)** | For benefits accrued through December 31, 2020:<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* 100% of the benefit the employee would have received had he or she retired the date before he or she died and elected the Ten Year Certain Pension. The benefit is reduced for commencement prior to age 55. In the case of a participant with 15 pension credits who worked at least 240 hours in either of the two Plan Years preceding the date of death, there is no reduction for early commencement of benefits.<br>For benefits accrued after December 31, 2020:<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* 10-year certain pension if one-tenth pension credit is worked in either of two Plan Years preceding death. If the work test is not met, 5-year certain pension. The maximum early retirement reduction is 42%. There is no early retirement reduction for participants with at least 15 pension credits if the work test is met. |
| **Automatic Benefit Forms** | • *Not Married:* Accrued pension paid as a Ten-Year Certain and Life Pension for benefits accrued through December 31, 2020, and as a Five-Year Certain and Life Pension for benefits accrued after December 31, 2020.<br>• *Married:* Lifetime monthly annuity to the retiree with 50% of such annuity continuing to the retiree's spouse following the retiree's death. The accrued benefit is adjusted such that the value is the actuarial equivalent of the Ten-Year Certain and Life Pension for benefits accrued through December 31, 2020 and the actuarial equivalent of a Five-Year Certain and Life Pension for benefits accrued after December 31, 2020. There is also a subsidized pop-up for the 24 months following retirement for benefits accrued after December 31, 2020. |
| **Optional Forms of Benefits** | • *Married:* For benefits earned through December 31, 2020, married retirees may elect a husband and wife annuity with either 75% or 100% continued to the spouse of the retiree, or a Ten-Year Certain and Life Pension. The benefit under any such election is adjusted such that the value is the actuarial equivalent of the Ten-Year Certain and Life Pension. For benefits earned after December 31, 2020, married retirees may elect a husband and wife annuity with either 75% or 100% continued to the spouse of the retiree, or a Ten-Year or Five-Year Certain and Life Pension. The benefit under any such election is adjusted such that the value is the actuarial equivalent of the Five-Year Certain and Life Pension. There is also a subsidized pop-up for the 24 months following retirement for benefits accrued after December 31, 2020.<br>• *Not Married:* For benefits earned after December 31, 2020, single retirees may elect a Ten-Year Certain and Life Pension. |
| **Participation** | The earliest July 1 or January 1 following completion of a 12-month period during which at least 140 hours were worked. |

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| **Pension Credit** | One-tenth of a pension credit is granted for each 140 hours worked with a maximum of one full year of credit for 1,400 hours or more worked during a plan year. |
| **Vesting Service** | One-tenth of a year of service is granted for each 140 hours worked with a maximum of one full year of service for 840 hours or more worked in a Plan year. |
| **Contribution Rate** | The Building, Heavy and Highway and Residential contribution rate increased from $9.24 to $10.11 effective March 1, 2021. The Fund Office rate and shop rates are lower. |

## Rhode Island Carpenters Pension Fund

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| **Regular Pension** | Construction Employees<br>• *Age Requirement:* 62 (65 for those who hired on or after January 1, 2021)<br>• *Service Requirement:* Five years of credited service<br>• *Amount:* Years of past service (maximum 30 years) times $2, plus service prior to January 1, 1973 times $70, plus future service after January 1, 1973 times $100. Providence Housing Authority employees accrue 71% of the benefit rate of Construction Employees.<br>For benefits earned on or after January 1, 2019, employees who earn 1,850 or more hours in a year will receive a bonus accrual of $20.<br>Shop Employees<br>• *Age Requirement:* 62<br>• *Service Requirement:* Five years of credited service<br>• *Amount:* Years of past service (maximum 30 years) times $2 plus future service prior to January 1, 1993 times $43, plus $21.50 times years of future service after January 1, 1993. Effective May 1, 2000, shop employees are no longer accruing benefits from this Plan. |
| **Service Pension** | • *Service Requirement:* 30 years of vesting credit for benefits accrued through December 31, 2020 and 30 pension credits for benefits accrued after December 31, 2020.<br>• *Amount:* Normal pension accrued |
| **Early Retirement** | • *Age Requirement:* 55<br>• *Service Requirement:* 10 years of credited service for benefits accrued on or after January 1, 2019 and 5 pension credits for benefits accrued before January 1, 2019.<br>• *Amount:* Normal pension accrued, reduced by 6% for each year of age less than 62. |
| **Disability** | • *Age Requirement:* None<br>• *Service Requirement:* 10 years of credited service for benefits earned through December 31, 2020. For benefits accrued after December 31, 2020, 10 years of credited service or 10 vesting credits.<br>• *Amount:* Normal pension accrued. Participant must be eligible for Social Security Disability Benefits. |
| **Vesting** | • *Age Requirement:* None<br>• *Service Requirement:* Five years of vesting service.<br>• *Amount:* Normal pension accrued, payable at age 62 |
| **Partial Pension** | • *Requirement:* Combined service is at least 5 years including two for which contributions have been made. |

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| **Spouse's Pre-Retirement Death Benefit** | For benefits accrued through December 31, 2020:<br>• *Age Requirement:* None<br>• *Service Requirement:* Five years of vesting service<br>• *Amount:* 50% of the benefit the employee would have received had he or she retired the day before he or she died and elected the 50% joint and survivor option. For active participants with 10 years of vesting credit, the amount is 75% of the benefit the employee would have received had he or she retired the day before he or she died and elected the 75% joint and survivor option.<br>Calculated as if the employee were at least age 62 (actual age if greater) and the spouse were the age attained when the employee would have been age 62.<br>For benefits accrued after December 31, 2020:<br>• *Age Requirement:* None<br>• *Requirement:* 5 years of vesting service<br>• *Amount:* 75% Participant-Spouse benefit if one-tenth pension credit is worked in either of two Plan Years preceding death. If the work test is not met, 50% Participant-Spouse benefit. The maximum early retirement reduction is 42%. There is no early retirement reduction for participants with at least 15 pension credits if the work test is met. |
| **Pre-Retirement Lump-sum Death Benefit (applicable only if the employee is not eligible for a different death benefit)** | • *Age Requirement:* None<br>• *Service Requirement:* 1 year of future service, and at least one-tenth of a pension credit in the 24 months preceding death<br>• *Amount:* $300 per year of future service to a maximum of $6,000 based on service through December 31, 2020. |
| **Pre-Retirement Death Benefit (applicable if the employee is not eligible for or elects out of the spouse's pension)** | For benefits accrued through December 31, 2020:<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* Normal pension accrued, reduced as 10-year certain option<br>For benefits accrued after December 31, 2020:<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* 10-year certain pension if one-tenth pension credit is worked in either of two Plan Years preceding death. If the work test is not met, 5-year certain pension. The maximum early retirement reduction is 42%. There is no early retirement reduction for participants with at least 15 pension credits if the work test is met. |
| **Post-Retirement Death Benefit** | • *Lump – sum Benefit:* $300 per year of future service to a maximum of $6,000 less benefits paid. Minimum benefit payable is $3,000. There is no lump-sum payable for retirements on or after January 1, 2021. |

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| **Post-Retirement Health Benefits** | Retired shop employees between the ages of 62 and 65 who had not incurred a one-year break in service are eligible for retiree health benefits. No liability is included in this valuation for post-retirement health benefits and the assets for these benefits are excluded from the market value of assets and the actuarial value of assets. |
| **Automatic Benefit Forms** | • *Not Married:* The accrued pension is paid as a lifetime monthly annuity for benefits accrued through December 31, 2020 and as a Five-Year Certain and Life Pension for benefits accrued after December 31, 2020.<br>• *Married:* Lifetime monthly annuity to the retiree with 50% of such annuity continuing to the retiree's spouse following the retiree's death. The accrued benefit is adjusted to provide for this benefit. There is also a subsidized pop-up for the 24 months following retirement for benefits accrued after December 31, 2020. |
| **Optional Forms of Benefits** | For benefits accrued through December 31, 2020:<br>• *Ten-Year Certain and Life:* The retiree may elect the Ten-Year Certain and Life Benefit. The accrued pension is adjusted such that the value is the actuarial equivalent of the life annuity.<br>• *Joint and Survivor Forms:* Retirees may elect a joint and survivor annuity with either a 50%, 66 2/3%, 75%, or 100% survivor benefit continued to a named beneficiary (who need not be the spouse of the retiree). The benefit under any such election will be adjusted so that it will be actuarially equivalent to the single life annuity.<br>For benefits accrued after December 31, 2020:<br>• Married retirees may elect a joint and survivor annuity with either 75% or 100% continued to the spouse of the retiree, or a Ten-Year or Five-Year Certain and Life Pension. The benefit under any such election is adjusted such that the value is the actuarial equivalent of the Five-Year Certain and Life Pension. There is also a subsidized pop-up for the 24 months following retirement.<br>• Single retirees may elect a Ten-Year Certain and Life Pension. The benefit is adjusted such that the value is the actuarial equivalent of the Five-Year Certain and Life Pension. |
| **Participation** | The earliest July 1 or January 1 following completion of a 12-month period during which at least 140 hours were worked. |
| **Credited Service** | One-tenth of a pension credit is granted for each 140 hours worked with a maximum of one full year of credit for 1,400 hours or more worked during a plan year. |
| **Vesting Credit** | One-tenth of a year of service is granted for each 140 hours worked with a maximum of one full year of service for 840 hours or more worked in a Plan year. |
| **Banking of Hours** | Construction employees accumulate hours in excess of the highest minimum number of hours required for the highest benefit level in any such year. Effective January 1, 2019, the hour bank is frozen. |
| **Contribution Rate** | The AGC and CIRI/Heavy and Highway contribution rate increased from $12.20 to $13.07 on March 1, 2021. |

## Northeast Carpenters Pension Plan (Empire and Albany/Adirondack Participants)

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| **Accrued Benefits** | Except where otherwise noted, separate rules apply to benefits accrued prior to January 1, 2016 under the following plans for participants who began participation under the Northeast Carpenters Pension Plan due to the merger of such plans into the Northeast Carpenters Pension Plan:<br>• Empire (Empire State Carpenters Pension Plan), where separate rules also apply to benefits accrued prior to January 1, 2012[1], as detailed below<br>• Albany/Adirondack (United Brotherhood of Carpenters and Joiners of America Albany/Adirondack Pension Fund), where separate rules also apply to those who were Adirondack Participants as of January 1, 2012<br>Separate rules also apply to benefits accrued prior to January 1, 2012[1] under the following plans for carpenters who began participation under the Empire plan due to the merger of such plans into the Suffolk County plan to form the Empire plan:<br>• Long Island (The Suffolk County Carpenters Pension Plan)<br>• Westchester (The Westchester County New York Carpenters Pension Fund)<br>• Hudson Valley (The Hudson Valley District Council of Carpenters Pension Plan)<br>• Rockland (The Carpenters Local 964 Pension Fund)<br>• Rochester (Rochester Carpenters' Pension Fund)<br>• Niagara (The Niagara-Genesee & Vicinity Carpenters Local 280 Pension Fund)<br>• Upstate (Upstate New York Carpenters Pension Fund)<br>• South Central (South Central New York District Council of Carpenters Pension Fund)<br>• Jamestown (Carpenters Local #66 of Olean and Vicinity Pension Plan) |
| **Regular Pension** | **For benefits accrued in the Empire plan on or after January 1, 2012 or in the Northeast plan on or after January 1, 2016, and for benefits accrued in the Albany/Adirondack plan:**<br>• *Age Requirement:* 65<br>• *Service Requirement:* 5 years of participation credit<br>• *Amount:*<br>– 0.85% (previously, 1.00%) of the contributions required to be made to the Plan on the participant's behalf for accruals on or after December 1, 2020, and<br>– 1.00% of the contributions required to be made to the Plan for accruals earned between January 1, 2012 and before November 30, 2020.<br>– For Albany and Adirondack participants, benefits are based on hourly contributions in excess of the first $3.60 per hour through the end of 2023.<br>– Different formulas apply to Albany and Adirondack participants for accruals earned prior to December 31, 2018. |

[1] Prior to January 1, 2013 for Jamestown Participants

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| | **For benefits accrued in the Empire plan prior to January 1, 2012:**<br>• *Age Requirement:*<br>– For Long Island, Westchester, Hudson Valley, and Rockland participants: 62<br>– For Rochester participants: 60<br>– For Niagara participants: 65<br>– For Upstate participants: 62<br>– For South Central participants: 65 (60 with 10 years of pension credit)<br>– For Jamestown participants: 65<br>• *Service Requirement:*<br>– For Long Island, Westchester, Hudson Valley, and Rockland participants: 10 pension credits<br>– For Rochester participants: 5 years of participation credit<br>– For Niagara participants: 5 years of participation credit<br>– For Upstate participants: 10 eligibility credits<br>– For South Central participants: 5 years of participation credit or 5 years of vesting service<br>– For Jamestown participants: 5 years of credited service<br>• Amount: Formulas vary by legacy plan. |
| **Service Pension or Unreduced Early Pension** | **For benefits accrued in the Empire plan on or after January 1, 2012 or in the Northeast plan on or after January 1, 2016:**<br>• *Age and Service Requirement:* Active and sum of attained age and years of vesting service is at least 85.<br>• *Age Requirement:* 55 for accruals earned on or after January 1, 2016.<br>• *Amount:* Regular pension accrued<br>**For benefits accrued in the Albany/Adirondack plan prior to January 1, 2016:**<br>• *Age and Service Requirement:*<br>– For Albany participants: 45 years of pension service at any age; or 35 years of pension service at age 55<br>– For Adirondack participants: Not eligible.<br>• *Amount:*<br>– For Albany participants: Regular pension accrued<br>– For Adirondack participants: N/A<br>**For benefits accrued in the Empire plan prior to January 1, 2012:**<br>• *Age Requirement:*<br>– For Long Island, Westchester, Hudson Valley, and Rockland participants: None<br>– For Rochester participants: 55 |

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| | – For Niagara participants: sum of age and pension service equals 102<br>– For Upstate participants: 60<br>– For South Central participants: 58<br>– For Jamestown participants: sum of age and years of continuous service equals 85<br>• *Service Requirement:*<br>– For Long Island, Westchester, Hudson Valley, and Rockland participants: 40 pension credits and 40,000 Hours of Service<br>– For Rochester participants: 5 Service Years<br>– For Niagara participants: sum of age and pension service equals 102<br>– For Upstate participants: 10 eligibility credits<br>– For South Central participants: 20 years of pension credit<br>– For Jamestown participants: sum of age and years of continuous service equals 85<br>• *Amount:* Regular pension accrued |
| **Early Retirement** | **For benefits accrued in the Empire plan on or after January 1, 2012 or in the Northeast plan on or after January 1, 2016:**<br>• *Age Requirement:* 55<br>• *Service Requirement:* 10 years of vesting service<br>• *Amount:* Regular pension accrued, reduced by 4.0% per year for each year of age less than age 62 for benefits accrued through December 1, 2020, and 6.0% per year (previously, 4.0%) for each year of age less than 62 for benefits accrued thereafter.<br>**For benefits accrued in the Albany/Adirondack plan prior to January 1, 2016:**<br>• *Age Requirement:* 55<br>• *Service Requirement:*<br>– For Albany participants: 10 years of pension service<br>– For Adirondack participants: 10 years of pension service or 10 years of vesting service<br>• *Amount:* Regular pension accrued, reduced by the following amounts:<br>– For Albany participants: 1.5% per year for each year of age less than age 65 (less than age 62 with at least 25 years of pension service or vesting service)<br>– For Adirondack participants: 1.5% per year for each year of age less than age 62<br>**For benefits accrued in the Empire plan prior to January 1, 2012:**<br>• *Age Requirement:*<br>– For Long Island, Westchester, Hudson Valley, and Rockland participants: 55<br>– For Rochester participants: 55 |

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| | – For Niagara participants: 57<br>– For Upstate participants: 55<br>– For South Central participants: 55<br>– For Jamestown participants: 55<br>• *Service Requirement:*<br>– For Long Island, Westchester, Hudson Valley, and Rockland participants: 10 pension credits<br>– For Rochester participants: 5 service years<br>– For Niagara participants: 15 years pension service<br>– For Upstate participants: 10 eligibility credits<br>– For South Central participants: 10 years of future credited service<br>– For Jamestown participants: 5 years of credited service<br>• *Amount:* Regular pension accrued, reduced by the following amounts:<br>– For Long Island, Westchester, Hudson Valley, and Rockland participants: 3.0% per year for each year of age less than age 62<br>– For Rochester participants: 2.4% per year for each year of age less than age 60<br>– For Niagara participants: 6.0% per year for each year of age less than age 65<br>– For Upstate participants: 3.0% per year for each year of age less than age 60<br>– For South Central participants: 3.0% per year for each year of age less than age 60<br>– For Jamestown participants: 3.0% per year for each year of age less than age 65 |
| **Disability** | **Eligibility for all benefits accrued:**<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 year of vesting service if work test is met<br>• *Work test:* At least 2,000 hours of service over the five consecutive calendar years ending with the one during with Social Security deems the participant to have become disabled.<br>• If work test is not met, eligibility is based on the rules in effect on December 31, 2015<br>**Amount of benefit varies by legacy Fund:**<br>• *For benefits accrued in the Empire plan on or after January 1, 2012 or in the Northeast plan on or after January 1, 2016):* For participants with 10 years of vesting service, regular pension accrued. For participants with fewer than 10 years of vesting service, regular pension accrued, reduced 4.0% per year for each year of age less than age 60 for benefits accrued between January 1, 2012 and December 31, 2015, 4.0% per year for each year of age less than age 62 for benefit accrued between January 1, 2016 and November 30, 2020, and 6.0% per year for each year of age less than age 62 for benefits accrued on or after December 1, 2020. The maximum reduction is 28.0%.<br>• *For benefits accrued in the Albany/Adirondack plan prior to January 1, 2016:* Regular pension accrued |

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| | • *For benefits accrued in the Empire plan before January 1, 2012:*<br>– For Long Island, Westchester, Hudson Valley, and Rockland participants: For participants with 10 pension credits, regular pension accrued. For participants with fewer than 10 years of pension credits, regular pension accrued, reduced 3.0% per year for each year of age less than age 62. The maximum reduction is 21.0%.<br>– For Rochester participants: For participants with 10 service years, regular pension accrued. For participants with fewer than 10 years of service years, regular pension accrued, reduced 3.0% per year for each year of age less than age 60. The maximum reduction is 15.0%.<br>– For Niagara participants: For participants with 10 years of vesting service, regular pension accrued. For participants with fewer than 10 years of vesting service, regular pension accrued, reduced 6.0% per years of each year of age less than age 65. The maximum reduction is 60.0%.<br>– For Upstate participants: Regular pension accrued, reduced 3.0% per year for each year of age less than age 60 and greater than 55, reduced by an additional 1.0%.<br>– For South Central participants: Regular pension accrued, reduced 3.0% per year for each year of age less than age 60. The maximum reduction is 15.0%.<br>– For Jamestown participants: Regular pension accrued. |
| **Vesting** | • *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service for accruals earned in the Empire plan on or after January 1, 2012 and for accruals earned in the Albany/Adirondack plan. For accruals earned in the Empire plan before January 1, 2012:<br>– For Rochester participants, 5 service years<br>– For Upstate participants, 5 years of eligibility service<br>– For Jamestown participants, 5 years of credited service and 5,000 hours of service<br>– For all other participants: 5 years of vesting service<br>• *Amount:* Regular or early pension accrued based on plan in effect when last active. |
| **Pro Rata Pension** | • *Age Requirement:* Same as for Regular or Early Retirement Pension.<br>• Service Requirement: None<br>• *Amount:* Calculated in the same manner as Regular, Early, Disability or Vested Pension. |
| **Spouse's Pre-Retirement Death Benefit** | **For benefits accrued on or after January 1, 2016:**<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* 50% of the benefit the employee would have received had he retired the day before he died and elected the 50% Joint and Survivor annuity. The maximum reduction is 28%.<br>**For benefits accrued in the Albany/Adirondack plan prior to January 1, 2016:**<br>• *Age Requirement:* None |

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| | • *Service Requirement:* 5 years of vesting service<br>• *Amount:* 100% of the benefit the employee would have received had he retired on the later of his earliest retirement date the day before he died and elected the 100% Joint and Survivor annuity. The benefit commences on the date that the employee would have attained his earliest retirement age.<br>**For benefits accrued in the Empire plan on or after January 1, 2012 and prior to January 1, 2016:**<br>• *Age Requirement:* None<br>• *Service Requirement:* 5 years of vesting service<br>• *Amount:* 100% of the benefit the employee would have received had he retired on the later of his earliest retirement date the day before he died and elected the 100% Joint and Survivor annuity. The benefit commences on the date that the employee would have attained his earliest retirement age.<br>**For benefits accrued in the Empire plan prior to January 1, 2012:**<br>• *Age Requirement:* Varies by legacy plan.<br>• *Service Requirement:* Varies by legacy plan.<br>• *Amount:* Varies by legacy plan. |
| **Pre-Retirement 60-Month Lump Sum Death Benefit (if not eligible for or elects out of spouse's benefit)** | • *Age Requirement:* None<br>• *Service Requirement:* Active and 5 years of vesting service<br>• *Amount:* Regular pension accrued, reduced 4.0% per year for each year of age less than age 62. The maximum reduction is 28%.<br>• The Pre-Retirement 60-Month Death Benefit supersedes and is in lieu of any other pre-retirement death benefits under the Plan or any Merged Plan for a Participant who does not have a spouse on his date of death and dies on or after January 1, 2016. |
| **Post-Retirement Lump Sum Death Benefit** | $2,500. |
| **Automatic Benefit Forms** | **For benefits accrued on or after January 1, 2016:**<br>• *Not Married:* The accrued pension is paid as a Life Annuity with 60 Month Guarantee Benefit.<br>• *Married:* Lifetime monthly annuity to the retiree with 50% Joint and Survivor Pension continuing to the spouse following retiree's death, payable with a pop-up. The accrued benefit is adjusted to provide for this benefit.<br>• *Married, if active and retire after age 65:* Lifetime monthly annuity to the retiree with 100% Joint and Survivor Pension continuing to the spouse following retiree's death. The accrued benefit is not reduced.<br>**For benefits accrued in the Albany/Adirondack plan prior to January 1, 2016:**<br>• *Not Married:* The accrued pension is paid as a Life Annuity.<br>• *Married:* Lifetime monthly annuity to the retiree with 100% Joint and Survivor Pension continuing to the spouse following retiree's death. The accrued benefit is adjusted to provide for this benefit. |

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| | **For benefits accrued in the Empire plan on or after January 1, 2012 and prior to January 1, 2016:**<br>• *Not Married:* The accrued pension is paid as a Single Life Annuity.<br>• *Married:* Lifetime monthly annuity to the retiree with 100% Joint and Survivor Pension continuing to the spouse following retiree's death, payable with a pop-up. The accrued benefit is adjusted to provide for this benefit.<br>**For benefits accrued in the Empire plan prior to January 1, 2012:**<br>• *Not Married:* The accrued pension is paid:<br>– For Long Island, Westchester, Hudson Valley, Rockland, South Central, and Jamestown participants, as a Life Annuity with 60 Month Guarantee Benefit.<br>– For Rochester and Upstate participants, as a Single Life Annuity.<br>– For Niagara participants, as a Life Annuity with 36 Month Guarantee Benefit.<br>• *Married:* The accrued pension is paid:<br>– For Jamestown participants, as a 50% Joint and Survivor Pension with 60 Month Guarantee Benefit.<br>– For all other participants, as a 100% Joint and Survivor Pension. |
| **Optional Forms of Benefits** | **For benefits accrued on or after January 1, 2016:**<br>• *Not Married:* The retiree may elect the Life Annuity with 120 Month Guarantee Benefit. The accrued benefit is adjusted to be the actuarial equivalent of the Automatic Benefit Form.<br>• *Married:* Retirees may elect a Joint and Survivor annuity with either a 75% or 100% benefit, with pop-up, or a Life Annuity with 60 or 120 Month Guarantee Benefit. The accrued benefit is adjusted to provide for this benefit.<br>**For benefits accrued in the Albany/Adirondack plan prior to January 1, 2016:**<br>• *Joint and Survivor Forms:* Married retirees may elect a 100% Joint and Survivor annuity with pop-up, or a 50% Joint and Survivor annuity.<br>**For benefits accrued in the Empire plan on or after January 1, 2012 and prior to January 1, 2016:**<br>• *Life Annuity with 120 Month Guarantee:* The retiree may elect the Life Annuity with 120 Month Guarantee Benefit. The accrued benefit is adjusted to be the actuarial equivalent of the Automatic Benefit Form<br>• *Life Annuity with 60 Month Guarantee:* The retiree may elect the Life Annuity with 60 Month Guarantee Benefit. The accrued benefit is adjusted to be the actuarial equivalent of the Automatic Benefit Form<br>• *Joint and Survivor Forms:* Retirees may elect a Joint and Survivor annuity with either a 50% or 75% benefit, with pop-up. The accrued benefit is adjusted to provide for this benefit.<br>**For benefits accrued in the Empire plan prior to January 1, 2012:**<br>In addition to the Automatic Benefit Forms:<br>• *Life Annuity with 120 Month Guarantee:* The retiree may elect the Life Annuity with 120 Month Guarantee Benefit. The accrued benefit is adjusted to be the actuarial equivalent of the Automatic Benefit Form<br>• *Life Annuity with 60 Month Guarantee:* The retiree may elect the Life Annuity with 60 Month Guarantee Benefit. The accrued benefit is adjusted to be the actuarial equivalent of the Automatic Benefit Form |

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| | • *Joint and Survivor Forms:* Retirees may elect a Joint and Survivor annuity with either a 50%, 75%, or 100% benefit, with pop-up. The accrued benefit is adjusted to provide for this benefit. |
| **Participation** | The first of the month following completion of a 12-month period during which at least 1,000 hours were worked. |
| **Vesting Service** | For calendar years beginning after December 31, 2015, one-tenth of a year of service is granted for each 100 hours worked with a maximum of one full year of credit for 1,000 or more worked during a calendar year.<br>For calendar years beginning before January 1, 2016, service is granted on the same basis by which service was credited under the rules in effect on December 31, 2015. |
| **Pension Credits, Pension Service, Service Years, and Eligibility Service** | Granted on the same basis by which service was credited under the rules in effect on December 31, 2015:<br>• For Albany and Adirondack participants: pension service determined by dividing pension hours by 1,200, with no limit to the pension service credited per year.<br>• For Long Island, Westchester, Hudson Valley, and Rockland participants: 0.025 pension credits are granted for each 25 hours worked in a calendar year, limited to 2 pension credits per year.<br>• For Rochester participants: One service year is granted for each plan year in which 1,000 hours are worked.<br>• For Niagara participants: 0.001 of pension service is granted for each hour worked, with no limit to the Pension Service credited per year.<br>• For Upstate participants: Eligibility credits determined by dividing total hours worked by 1,000 and rounding to the nearest 1/10$^{th}$, limited to one eligibility credit per year.<br>• For South Central participants: Future credited service is granted in accordance with the schedule in effect on December 31, 2015 with fewer than 1,000 hours worked, and granted at a rate of 0.001 times hours worked if at least 1,000 hours were worked.<br>• For Jamestown participants: One year of credited service for years in which one hour is worked. For purposes of eligibility for an Unreduced Pension, 500 hours must be worked for a year of credited service to be recognized. |

# Section 3: Certificate of Actuarial Valuation

**Contribution Rate**

This valuation reflects contribution rates of:

| | January 1, 2019 | July 1, 2020 | July 1, 2021 |
|---|---|---|---|
| Long Island and Westchester[1] | $9.65 | $9.80 | $9.90 |
| Hudson Valley[2] | 7.28 | 7.50 | 7.60 |
| Albany/Adirondack[3] | 9.40 | 9.40 | 9.50 |
| Niagara[4] | 7.15 | 7.15 | 7.25 |
| All Other | 6.75 | 6.90 | 7.00 |

Shop rates are lower.

The average contribution rate, based on the valuation data, is $7.99 for 2021 and $8.04 for 2022 and later years.

**Changes in Plan Provisions**

For legacy North Atlantic States participants, for accruals earned after December 31, 2020:

- The standard and optional forms of payment and the associated actuarial equivalence basis for their reductions have changed.
- The pre-retirement death benefits have changed.
- The types of service used to determine eligibility for Disability and Service Pensions have changed.
- The actuarial adjustment for delayed retirement is 1% per month for each month between Normal Retirement Age and Pension Starting Date.
- The accrual rate for the Northern New England Millwrights increased by $10 to $100.

For legacy New York participants, for accruals earned after November 30, 2020:

- The accrual rate for hours worked on or after December 1, 2020 was decreased from 1% of contributions to 0.85% of contributions.
- The Early Retirement reduction for benefits earned on or after December 1, 2020 increased from 4% for each year younger than age 62 to 6%.

[1] Nassau, Suffolk, Putnam, Rockland, and Westchester Counties.
[2] Columbia, Duchess, Orange, Sullivan, and Ulster Counties.
[3] Albany, Fulton, Greene, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Clinton, Essex, Franklin, Hamilton, Washington, and Warren Counties.
[4] Genesee, Niagara, Orleans, and Wyoming (Townships of Castile, Gainesville, Genesee Falls, Perry, Pike and Warsaw - Local 85/276) Counties.

## Schedule of FSA Bases (Charges) (Schedule MB, Line 9c)

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| **North Atlantic States Carpenters (including legacy New England) Bases** | | | | |
| Plan amendment | 06/01/1981 | $136,158 | 0.42 | $136,158 |
| Plan amendment | 05/01/1991 | 26,505 | 0.33 | 26,505 |
| Plan amendment | 01/01/1992 | 116,950 | 1.00 | 116,950 |
| Plan amendment | 01/01/1993 | 3,196,986 | 2.00 | 1,654,411 |
| Plan amendment | 01/01/1995 | 10,046,003 | 4.00 | 2,780,997 |
| Change in assumptions | 01/01/1996 | 3,177,231 | 5.00 | 727,358 |
| Plan amendment | 05/01/1996 | 166,524 | 5.33 | 36,152 |
| Plan amendment | 05/01/1997 | 230,099 | 6.33 | 43,457 |
| Plan amendment / change in assumptions | 01/01/1998 | 10,770,327 | 7.00 | 1,879,647 |
| Plan amendment / change in assumptions | 01/01/1999 | 34,468,900 | 8.00 | 5,434,478 |
| Plan amendment / change in assumptions | 05/01/1999 | 3,070,767 | 8.33 | 469,854 |
| Change in assumptions | 01/01/2000 | 2,969,414 | 9.00 | 429,486 |
| Plan amendment | 01/01/2000 | 23,706,577 | 9.00 | 3,428,840 |
| Change in assumptions | 05/01/2000 | 522,840 | 9.33 | 73,704 |
| Change in assumptions | 01/01/2001 | 4,576,650 | 10.00 | 614,604 |
| Plan amendment | 01/01/2002 | 12,129,770 | 11.00 | 1,527,077 |
| Plan amendment | 01/01/2003 | 98,725 | 12.00 | 11,744 |
| Plan amendment | 01/01/2005 | 2,861,193 | 14.00 | 309,636 |
| Plan amendment | 05/01/2005 | 11,378 | 14.33 | 1,215 |
| Plan amendment | 01/01/2006 | 3,123,677 | 15.00 | 324,847 |
| Plan amendment/Change in assumptions | 01/01/2007 | 11,470,927 | 16.00 | 1,151,026 |
| Plan amendment | 01/01/2008 | 13,245,066 | 2.00 | 6,854,202 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| Base due to Dec. 31, 2008 investment loss | 01/01/2009 | 266,507,083 | 17.00 | 25,894,226 |
| Change in assumptions | 01/01/2010 | 2,293,381 | 4.00 | 634,868 |
| Base due to Dec. 31, 2008 investment loss | 01/01/2010 | 38,224,865 | 17.00 | 3,713,985 |
| Change in assumptions | 01/01/2011 | 11,191,045 | 5.00 | 2,561,946 |
| Base due to Dec. 31, 2008 investment loss | 01/01/2011 | 38,859,857 | 17.00 | 3,775,682 |
| Change in assumptions | 01/01/2012 | 26,709,409 | 6.00 | 5,265,120 |
| Experience loss | 01/01/2012 | 33,683,275 | 6.00 | 6,639,850 |
| Base due to Dec. 31, 2008 investment loss | 01/01/2012 | 60,657,356 | 17.00 | 5,893,559 |
| Experience loss | 01/01/2013 | 52,761,248 | 7.00 | 9,207,939 |
| Change in assumptions | 01/01/2014 | 17,522,150 | 8.00 | 2,762,599 |
| Experience loss | 01/01/2016 | 29,676,918 | 10.00 | 3,985,348 |
| Experience loss | 01/01/2017 | 29,191,960 | 11.00 | 3,675,121 |
| Change in assumptions | 01/01/2017 | 51,884,812 | 11.00 | 6,532,037 |
| Experience loss | 01/01/2019 | 62,518,689 | 13.00 | 7,073,906 |
| Experience loss | 01/01/2020 | 16,427,516 | 14.00 | 1,777,775 |
| Plan amendment | 01/01/2020 | 97,604 | 14.00 | 10,563 |
| Change in assumptions | 01/01/2021 | 323,578,130 | 15.00 | 33,650,512 |
| **Connecticut Carpenters Bases** | | | | |
| Plan amendment | 04/01/1992 | 4,203 | 1.25 | 3,392 |
| Plan amendment | 04/01/1993 | 20,557 | 2.25 | 9,537 |
| Change in assumptions | 04/01/1993 | 190,363 | 2.25 | 88,316 |
| Plan amendment | 04/01/1997 | 258,211 | 6.25 | 49,263 |
| Plan amendment | 04/01/1999 | 261,466 | 8.25 | 40,292 |
| Change in assumptions | 04/01/1999 | 1,589,938 | 8.25 | 245,012 |
| Change in assumptions | 04/01/2000 | 2,020,344 | 9.25 | 286,551 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| Change in assumptions | 04/01/2001 | 5,191,596 | 10.25 | 685,455 |
| Plan amendment | 12/01/2001 | 648,801 | 10.92 | 82,078 |
| Plan amendment | 01/01/2002 | 7,661,679 | 11.00 | 964,567 |
| Plan amendment | 04/01/2003 | 10,232,684 | 12.25 | 1,201,447 |
| Plan amendment | 04/01/2005 | 5,531,552 | 14.25 | 592,446 |
| Change in assumptions | 04/01/2005 | 9,841,306 | 14.25 | 1,054,033 |
| Experience loss | 04/01/2007 | 252,547 | 1.25 | 203,790 |
| Change in assumptions | 04/01/2008 | 819,375 | 2.25 | 380,136 |
| Plan amendment | 04/01/2008 | 3,027,074 | 2.25 | 1,404,361 |
| Experience loss | 04/01/2009 | 22,018,306 | 3.25 | 7,315,604 |
| Change in assumptions | 04/01/2011 | 2,015,217 | 5.25 | 443,001 |
| Plan amendment | 04/01/2012 | 1,161,931 | 6.25 | 221,679 |
| Experience loss | 04/01/2014 | 1,282,701 | 8.25 | 197,667 |
| Experience loss | 04/01/2015 | 6,016,951 | 9.25 | 853,402 |
| Change in assumptions | 04/01/2015 | 17,542,842 | 9.25 | 2,488,153 |
| Change in assumptions | 04/01/2016 | 1,676,397 | 10.25 | 221,338 |
| Experience loss | 04/01/2016 | 17,245,797 | 10.25 | 2,276,992 |
| Experience loss | 04/01/2017 | 9,990,687 | 11.25 | 1,239,240 |
| Change in funding method | 01/01/2018 | 32,946,783 | 7.00 | 5,749,901 |
| Experience loss | 01/01/2018 | 10,774,942 | 12.00 | 1,281,788 |
| Change in assumptions | 01/01/2018 | 1,709,737 | 12.00 | 203,390 |
| **Rhode Island Carpenters Bases** | | | | |
| Change in assumption | 01/01/1992 | 120,673 | 1.00 | 120,673 |
| Change in assumption | 01/01/1997 | 664,818 | 6.00 | 131,053 |
| Plan amendment | 01/01/1998 | 223,526 | 7.00 | 39,010 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| Change in assumptions | 01/01/1999 | 1,354,716 | 8.00 | 213,589 |
| Plan amendment | 01/01/1999 | 3,543,114 | 8.00 | 558,619 |
| Change in assumption | 01/01/2001 | 359,614 | 10.00 | 48,293 |
| Plan amendment | 01/01/2001 | 1,222,951 | 10.00 | 164,232 |
| Plan amendment | 01/01/2002 | 3,675,014 | 11.00 | 462,666 |
| Plan amendment | 01/01/2004 | 1,013,794 | 13.00 | 114,709 |
| Plan amendment | 01/01/2006 | 1,880,176 | 15.00 | 195,529 |
| Change in assumptions | 01/01/2007 | 870,108 | 16.00 | 87,309 |
| Plan amendment | 01/01/2008 | 1,382,038 | 2.00 | 715,192 |
| Experience loss | 01/01/2009 | 220,522 | 3.00 | 78,708 |
| Change in assumptions | 01/01/2009 | 1,054,545 | 3.00 | 376,385 |
| Base due to Dec. 31, 2008 investment loss | 01/01/2009 | 25,707,287 | 17.00 | 2,497,758 |
| Change in Assumptions | 01/01/2010 | 53,819 | 4.00 | 14,899 |
| Base due to Dec. 31, 2008 investment loss | 01/01/2011 | 12,255,647 | 17.00 | 1,190,777 |
| Experience loss | 01/01/2012 | 9,644,873 | 6.00 | 1,901,255 |
| Experience loss | 01/01/2014 | 3,085,273 | 8.00 | 486,434 |
| Change in assumptions | 01/01/2014 | 3,496,278 | 8.00 | 551,234 |
| Change in assumptions | 01/01/2015 | 1,587,312 | 9.00 | 229,583 |
| Experience loss | 01/01/2015 | 5,143,763 | 9.00 | 743,977 |
| Change in assumptions | 01/01/2016 | 619,816 | 10.00 | 83,236 |
| Experience loss | 01/01/2016 | 7,800,712 | 10.00 | 1,047,567 |
| Experience loss | 01/01/2017 | 4,509,905 | 11.00 | 567,774 |
| Change in assumptions | 01/01/2018 | 595,310 | 12.00 | 70,818 |
| Experience loss | 01/01/2018 | 5,377,165 | 12.00 | 639,668 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| **New York Carpenters Bases** | | | | |
| Albany initial unfunded | 01/01/1977 | 362,557 | 1.00 | 362,557 |
| Albany plan amendment | 01/01/1980 | 227,017 | 4.00 | 62,844 |
| Albany plan amendment | 01/01/1987 | 185,691 | 1.00 | 185,691 |
| Albany plan amendment | 01/01/1988 | 570,784 | 2.00 | 295,376 |
| Albany change in assumptions | 01/01/1990 | 473,911 | 4.00 | 131,191 |
| Albany plan amendment | 01/01/1990 | 399,044 | 4.00 | 110,466 |
| Albany plan amendment | 01/01/1991 | 1,158,421 | 5.00 | 265,195 |
| South Central plan amendment | 05/01/1991 | 4,397 | 0.33 | 4,397 |
| Albany method change | 01/01/1992 | 345,161 | 1.00 | 345,161 |
| Albany plan amendment | 01/01/1992 | 629,007 | 6.00 | 123,994 |
| Plan amendment | 01/01/1992 | 52,273 | 1.00 | 52,273 |
| Upstate plan amendment | 01/01/1992 | 131,010 | 1.00 | 131,010 |
| South Central plan amendment | 05/01/1992 | 24,480 | 0.33 | 24,480 |
| Plan amendment | 07/01/1992 | 187,592 | 1.50 | 127,236 |
| Albany plan amendment | 01/01/1993 | 3,378,624 | 7.00 | 589,640 |
| Niagara plan amendment | 01/01/1993 | 925,454 | 2.00 | 478,914 |
| Upstate plan amendment | 01/01/1993 | 98,981 | 2.00 | 51,222 |
| South Central change in assumptions | 05/01/1993 | 36,255 | 2.33 | 16,287 |
| South Central plan amendment | 05/01/1993 | 71,738 | 2.33 | 32,227 |
| South Central plan amendment | 05/01/1993 | 56,523 | 2.33 | 25,392 |
| Albany plan amendment | 01/01/1994 | 1,202,539 | 8.00 | 189,596 |
| Plan amendment | 07/01/1994 | 762,694 | 3.50 | 237,291 |
| Albany change in assumptions | 01/01/1995 | 190,996 | 9.00 | 27,625 |
| Niagara plan amendment | 01/01/1995 | 1,045,838 | 4.00 | 289,515 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| Plan amendment | 01/01/1995 | 1,962,962 | 4.00 | 543,399 |
| Upstate plan amendment | 01/01/1995 | 74,626 | 4.00 | 20,658 |
| Plan amendment | 01/01/1996 | 424,554 | 5.00 | 97,192 |
| Upstate change in assumptions | 01/01/1996 | 311,485 | 5.00 | 71,308 |
| Change in assumptions | 07/01/1996 | 1,875,605 | 5.50 | 396,810 |
| Plan amendment | 07/01/1996 | 959,303 | 5.50 | 202,954 |
| Albany plan amendment | 01/01/1997 | 2,488,386 | 11.00 | 313,275 |
| Niagara plan amendment | 01/01/1997 | 421,701 | 6.00 | 83,128 |
| Plan amendment | 07/01/1997 | 3,572,799 | 6.50 | 660,750 |
| Albany plan amendment | 01/01/1998 | 4,121,641 | 12.00 | 490,311 |
| Niagara change in assumptions | 01/01/1998 | 730,802 | 7.00 | 127,540 |
| Niagara plan change | 01/01/1998 | 924,630 | 7.00 | 161,367 |
| South Central plan amendment | 01/01/1998 | 481,681 | 7.00 | 84,063 |
| South Central plan amendment | 01/01/1998 | 65,350 | 7.00 | 11,405 |
| Upstate plan amendment | 01/01/1998 | 506,254 | 7.00 | 88,352 |
| South Central plan amendment | 05/01/1998 | 388,594 | 7.33 | 65,454 |
| Change in assumptions | 07/01/1998 | 1,395,287 | 7.50 | 230,944 |
| Plan amendment | 07/01/1998 | 3,479,284 | 7.50 | 575,882 |
| Albany change in assumptions | 01/01/1999 | 2,616,569 | 13.00 | 296,061 |
| Albany plan amendment | 01/01/1999 | 2,434,974 | 13.00 | 275,514 |
| Niagara plan amendment | 01/01/1999 | 1,100,592 | 8.00 | 173,523 |
| South Central change in assumptions | 01/01/1999 | 820,795 | 8.00 | 129,409 |
| South Central plan amendment | 01/01/1999 | 1,028,872 | 8.00 | 162,215 |
| Upstate plan amendment | 01/01/1999 | 545,801 | 8.00 | 86,053 |
| South Central plan amendment | 05/01/1999 | 1,876,536 | 8.33 | 287,126 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| Plan amendment | 07/01/1999 | 11,532,825 | 8.50 | 1,738,638 |
| Albany plan amendment | 01/01/2000 | 1,237,012 | 14.00 | 133,869 |
| Niagara plan amendment | 01/01/2000 | 162,050 | 9.00 | 23,438 |
| Plan amendment | 01/01/2000 | 20,044,386 | 9.00 | 2,899,153 |
| South Central plan amendment | 01/01/2000 | 2,219,431 | 9.00 | 321,011 |
| South Central plan amendment | 01/01/2000 | 797,848 | 9.00 | 115,398 |
| Upstate plan amendment | 01/01/2000 | 295,059 | 9.00 | 42,676 |
| South Central change in assumptions | 05/01/2000 | 741,702 | 9.33 | 104,557 |
| Change in assumptions | 07/01/2000 | 4,683,404 | 9.50 | 651,844 |
| Plan amendment | 07/01/2000 | 1,623,659 | 9.50 | 225,984 |
| Albany plan change | 01/01/2001 | 1,051,202 | 15.00 | 109,320 |
| South Central plan change | 01/01/2001 | 1,176,132 | 10.00 | 157,944 |
| South Central plan change | 01/01/2001 | 47,962 | 10.00 | 6,441 |
| Upstate change in assumptions | 01/01/2001 | 42,337 | 10.00 | 5,685 |
| Upstate plan amendment | 07/01/2001 | 2,837,639 | 10.50 | 368,565 |
| Albany actuarial loss | 01/01/2002 | 805,162 | 1.00 | 805,162 |
| Albany change in assumptions | 01/01/2002 | 989,616 | 16.00 | 99,301 |
| South Central change in assumptions | 01/01/2002 | 321,727 | 11.00 | 40,504 |
| South Central plan amendment | 01/01/2002 | 657,642 | 11.00 | 82,794 |
| Upstate plan amendment | 01/01/2002 | 1,319,315 | 11.00 | 166,095 |
| Plan amendment | 07/01/2002 | 8,475,054 | 11.50 | 1,036,234 |
| Albany actuarial loss | 01/01/2003 | 3,253,029 | 2.00 | 1,683,413 |
| Plan amendment | 07/01/2003 | 3,389,898 | 12.50 | 392,994 |
| South Central change in assumptions | 01/01/2004 | 748,389 | 13.00 | 84,679 |
| South Central change in assumptions | 05/01/2004 | 631,180 | 13.33 | 70,335 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| South Central plan amendment | 01/01/2005 | 235,610 | 14.00 | 25,498 |
| Albany actuarial loss | 01/01/2006 | 1,553,071 | 5.00 | 355,542 |
| Albany change in assumptions | 01/01/2006 | 11,679,973 | 20.00 | 1,048,038 |
| Niagara plan amendment | 01/01/2006 | 4,502,578 | 15.00 | 468,246 |
| South Central plan amendment | 01/01/2006 | 1,049,035 | 15.00 | 109,094 |
| Upstate actuarial loss | 07/01/2006 | 15,180 | 0.50 | 15,180 |
| Rochester combined base | 01/01/2007 | 6,966,377 | 1.30 | 5,414,546 |
| Albany Adirondack merger base | 05/01/2007 | 10,741,282 | 2.34 | 4,806,288 |
| Actuarial loss | 07/01/2007 | 31,521 | 1.50 | 21,379 |
| Upstate actuarial loss | 07/01/2007 | 94,869 | 1.50 | 64,346 |
| Upstate change in assumptions | 07/01/2007 | 3,114,097 | 16.50 | 307,358 |
| Albany actuarial loss | 01/01/2008 | 2,032,958 | 7.00 | 354,794 |
| Niagara actuarial loss | 01/01/2008 | 134,330 | 2.00 | 69,515 |
| Niagara assumption change | 01/01/2008 | 51,993 | 2.00 | 26,906 |
| South Central actuarial loss | 01/01/2008 | 51,953 | 2.00 | 26,885 |
| South Central plan amendment | 01/01/2008 | 104,878 | 2.00 | 54,274 |
| Actuarial loss | 07/01/2008 | 4,860,739 | 2.50 | 2,046,892 |
| Albany actuarial loss | 01/01/2009 | 870,050 | 8.00 | 137,175 |
| Albany change in assumptions | 01/01/2009 | 227,155 | 8.00 | 35,814 |
| Albany ENIL | 01/01/2009 | 20,979,152 | 17.00 | 2,038,366 |
| South Central actuarial loss | 01/01/2009 | 10,051,980 | 3.00 | 3,587,722 |
| Actuarial loss | 07/01/2009 | 18,018,672 | 3.50 | 5,606,019 |
| ENIL | 07/01/2009 | 88,466,128 | 17.50 | 8,468,142 |
| Actuarial loss | 07/01/2010 | 13,240,641 | 4.50 | 3,312,736 |
| Albany ENIL | 01/01/2011 | 10,075,255 | 17.00 | 978,927 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| Actuarial loss | 07/01/2011 | 28,489,187 | 5.50 | 6,027,283 |
| Albany actuarial loss | 01/01/2012 | 3,553,502 | 6.00 | 700,488 |
| Albany change in assumptions | 01/01/2012 | 154,292 | 6.00 | 30,415 |
| Albany ENIL | 01/01/2012 | 2,570,768 | 17.00 | 249,780 |
| Albany plan amendment | 01/01/2012 | 1,571,030 | 6.00 | 309,691 |
| Actuarial loss | 07/01/2012 | 27,641,455 | 6.50 | 5,111,987 |
| Change in assumptions | 07/01/2012 | 10,703,958 | 6.50 | 1,979,581 |
| Albany ENIL | 01/01/2013 | 2,806,471 | 17.00 | 272,681 |
| Jamestown merger base | 01/01/2013 | 7,668,205 | 12.00 | 911,726 |
| Albany ENIL | 01/01/2014 | 5,192,784 | 17.00 | 504,539 |
| Actuarial loss | 07/01/2014 | 22,004,175 | 8.50 | 3,317,253 |
| Albany actuarial loss | 01/01/2015 | 4,862,668 | 9.00 | 703,320 |
| Actuarial loss | 07/01/2015 | 26,175,787 | 9.50 | 3,643,192 |
| Albany actuarial loss | 01/01/2016 | 6,876,611 | 10.00 | 923,468 |
| Albany funding method change | 01/01/2016 | 6,817,123 | 5.00 | 1,560,632 |
| Empire actuarial loss | 01/01/2016 | 13,462,608 | 10.00 | 1,807,910 |
| Empire plan amendment | 01/01/2016 | 9,048,659 | 10.00 | 1,215,155 |
| Albany plan amendment | 01/01/2016 | 865,916 | 10.00 | 116,285 |
| Northeast actuarial loss | 01/01/2017 | 65,605,016 | 11.00 | 8,259,342 |
| Northeast actuarial loss | 01/01/2018 | 28,928,635 | 12.00 | 3,441,353 |
| Northeast actuarial loss | 01/01/2019 | 103,329,386 | 13.00 | 11,691,582 |
| **Total** | | **$2,174,996,164** | | **$307,518,814** |

## Schedule of FSA Bases (Credits) (Schedule MB, Line 9h)

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| **North Atlantic States Carpenters (including legacy New England) Bases** | | | | |
| Plan amendment | 05/01/1992 | $126,723 | 1.33 | $96,372 |
| Change in assumptions | 01/01/1993 | 1,281,487 | 2.00 | 663,158 |
| Merger with Northern New England Carpenters Pension Fund | 01/01/2006 | 726,060 | 15.00 | 75,507 |
| Experience gain | 01/01/2007 | 1,327,949 | 1.00 | 1,327,949 |
| Experience gain | 01/01/2008 | 1,712,612 | 2.00 | 886,261 |
| Experience gain | 01/01/2009 | 25,018,569 | 3.00 | 8,929,551 |
| Experience gain | 01/01/2010 | 27,962,571 | 4.00 | 7,740,771 |
| Experience gain | 01/01/2011 | 16,158,775 | 5.00 | 3,699,199 |
| Change in assumptions | 01/01/2013 | 11,416,797 | 7.00 | 1,992,469 |
| Experience gain | 01/01/2014 | 15,192,653 | 8.00 | 2,395,323 |
| Change in assumptions | 01/01/2015 | 198,900 | 9.00 | 28,768 |
| Experience gain | 01/01/2015 | 8,513,245 | 9.00 | 1,231,327 |
| Experience gain | 01/01/2018 | 9,318,900 | 12.00 | 1,108,577 |
| Change in assumptions | 01/01/2018 | 6,482,642 | 12.00 | 771,176 |
| Plan amendment | 01/01/2019 | 10,925,213 | 13.00 | 1,236,173 |
| Experience gain | 01/01/2021 | 78,451,158 | 15.00 | 8,158,529 |
| Change in funding method | 01/01/2021 | 2,137,593 | 10.00 | 287,060 |
| Plan amendments | 01/01/2021 | 23,841,867 | 15.00 | 2,479,435 |
| **Connecticut Carpenters Bases** | | | | |
| Change in assumptions | 04/01/1998 | $2,572 | 7.25 | $437 |
| Experience gain | 04/01/2006 | 3,034 | 0.25 | 3,034 |
| Experience gain | 04/01/2008 | 1,162,837 | 2.25 | 539,479 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| Experience gain | 04/01/2010 | 3,934,425 | 4.25 | 1,033,657 |
| Experience gain | 04/01/2011 | 2,711,484 | 5.25 | 596,060 |
| Experience gain | 04/01/2012 | 746,194 | 6.25 | 142,363 |
| Experience gain | 04/01/2013 | 2,184,625 | 7.25 | 371,082 |
| Change in assumptions | 04/01/2013 | 3,097,298 | 7.25 | 526,109 |
| Change in assumptions | 04/01/2014 | 5,410,161 | 8.25 | 833,716 |
| Change in asset method | 01/01/2018 | 6,754,135 | 7.00 | 1,178,737 |
| **Rhode Island Carpenters Bases** | | | | |
| Plan amendment | 01/01/1993 | $34,954 | 2.00 | $18,088 |
| Experience gain | 01/01/2007 | 99,177 | 1.00 | 99,177 |
| Experience gain | 01/01/2008 | 253,939 | 2.00 | 131,411 |
| Change in asset method | 01/01/2009 | 8,238,430 | 18.00 | 777,474 |
| Experience gain | 01/01/2010 | 1,454,327 | 4.00 | 402,596 |
| Base due to Dec. 31, 2008 investment loss | 01/01/2010 | 5,499,145 | 17.00 | 534,305 |
| Change in assumptions | 01/01/2011 | 949,065 | 5.00 | 217,268 |
| Experience gain | 01/01/2011 | 5,617,018 | 5.00 | 1,285,894 |
| Experience gain | 01/01/2013 | 999,825 | 7.00 | 174,490 |
| Change in assumptions | 01/01/2017 | 798,905 | 11.00 | 100,578 |
| **New York Carpenters Bases** | | | | |
| Change in assumptions | 07/01/1983 | 179,296 | 2.50 | 75,503 |
| South Central change in assumptions | 05/01/1991 | 1,343 | 0.33 | 1,343 |
| Niagara change in assumptions | 01/01/1992 | 122,763 | 1.00 | 122,763 |
| Niagara change in assumptions | 01/01/1994 | 52,014 | 3.00 | 18,565 |
| Upstate change in assumptions | 01/01/1994 | 18,402 | 3.00 | 6,568 |
| Change in assumptions | 01/01/1995 | 2,301,110 | 4.00 | 637,007 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| Albany change in assumptions | 01/01/1996 | 761,420 | 5.00 | 174,311 |
| Upstate plan amendment | 01/01/1996 | 134,793 | 5.00 | 30,858 |
| Change in assumptions | 07/01/1997 | 2,062,535 | 6.50 | 381,443 |
| South Central change in assumptions | 05/01/1998 | 90,848 | 7.33 | 15,302 |
| Change in assumptions | 07/01/1999 | 3,807,778 | 8.50 | 574,044 |
| South Central change in assumptions | 01/01/2001 | 697,856 | 10.00 | 93,716 |
| South Central change in assumptions | 05/01/2001 | 708,276 | 10.33 | 93,020 |
| Niagara change in assumptions | 01/01/2002 | 1,207,219 | 11.00 | 151,983 |
| Change in assumptions | 07/01/2002 | 1,729,912 | 11.50 | 211,514 |
| Upstate change in assumptions | 07/01/2002 | 1,592,965 | 11.50 | 194,770 |
| Niagara change in assumptions | 01/01/2003 | 28,257 | 12.00 | 3,361 |
| South Central change in assumptions | 01/01/2003 | 907,425 | 12.00 | 107,947 |
| Albany change in assumptions | 01/01/2004 | 2,448,338 | 13.00 | 277,026 |
| South Central plan amendment | 01/01/2004 | 922,901 | 13.00 | 104,425 |
| Upstate change in assumptions | 01/01/2004 | 580,632 | 13.00 | 65,698 |
| Upstate plan amendment | 07/01/2004 | 948,691 | 13.50 | 104,912 |
| Niagara plan amendment | 01/01/2005 | 294,386 | 14.00 | 31,858 |
| Actuarial gain | 07/01/2006 | 882,865 | 0.50 | 882,865 |
| Albany actuarial gain | 01/01/2007 | 809,684 | 1.00 | 809,684 |
| Niagara actuarial gain | 01/01/2007 | 322,988 | 1.00 | 322,988 |
| Niagara plan amendment | 01/01/2007 | 2,583,364 | 16.00 | 259,222 |
| South Central actuarial gain | 01/01/2007 | 223,661 | 1.00 | 223,661 |
| South Central change in assumptions | 01/01/2008 | 140,061 | 2.00 | 72,480 |
| Change in assumptions | 07/01/2008 | 1,077,351 | 2.50 | 453,680 |
| Plan amendment | 07/01/2008 | 549,064 | 2.50 | 231,215 |

# Section 3: Certificate of Actuarial Valuation

| Type of Base | Date Established | Outstanding Balance | Years Remaining | Amortization Amount |
|---|---|---|---|---|
| Albany funding relief | 01/01/2009 | 7,388,706 | 18.00 | 697,284 |
| South Central change in assumptions | 01/01/2009 | 2,709,518 | 3.00 | 967,073 |
| Plan amendment | 07/01/2009 | 8,344,950 | 3.50 | 2,596,304 |
| Relief method change | 07/01/2009 | 55,769,878 | 18.50 | 5,192,372 |
| Albany actuarial gain | 01/01/2010 | 675,913 | 4.00 | 187,110 |
| Albany ENIL | 01/01/2010 | 5,469,464 | 17.00 | 531,421 |
| Albany actuarial gain | 01/01/2011 | 3,763,177 | 5.00 | 861,497 |
| Albany change in assumptions | 01/01/2011 | 1,196,616 | 5.00 | 273,939 |
| Change in assumptions | 07/01/2011 | 21,451,844 | 5.50 | 4,538,436 |
| Plan amendment | 07/01/2011 | 3,040,959 | 5.50 | 643,357 |
| Albany change in funding method | 01/01/2012 | 1,877,164 | 1.00 | 1,877,164 |
| Albany actuarial gain | 01/01/2013 | 2,757,129 | 7.00 | 481,177 |
| Actuarial gain | 07/01/2013 | 5,525,120 | 7.50 | 914,503 |
| Albany actuarial gain | 01/01/2014 | 1,604,046 | 8.00 | 252,899 |
| Change in assumptions | 07/01/2015 | 17,247,990 | 9.50 | 2,400,605 |
| Albany change in assumptions | 01/01/2016 | 3,914,837 | 10.00 | 525,728 |
| Empire change in assumptions | 01/01/2016 | 6,357,096 | 10.00 | 853,704 |
| Northeast actuarial gain | 01/01/2020 | 3,921,082 | 14.00 | 424,337 |
| **Total** | | **$471,948,951** | | **$83,026,202** |

# Section 3: Certificate of Actuarial Valuation

| | |
|---|---|
| **Justification for Change in Actuarial Assumptions and Cost Methods**<br>**(Schedule MB, line 11)** | For purposes of determining current liability, the current liability interest rate was changed from 2.95% to 2.43% due to a change in the permissible range and recognizing that any rate within the permissible range satisfies the requirements of IRC Section 431(c)(6)(E) and the mortality tables and mortality improvement scales were changed in accordance with IRS Regulations 1.431(c)(6)-1 and 1.430(h)(3)-1.<br>Based on past experience and future expectations, the following actuarial assumptions were changed as of January 1, 2021:<br>• The investment return assumption was lowered from 7.50% to 7.25%.<br>• The mortality assumption for healthy Empire and Albany/Adirondack participants was changed from the RP-2000 Combined Healthy Mortality Table set forward three years and projected generationally with Scale AA to the RP-2014 Employee and Healthy Annuitant Mortality Tables set forward one year and projected generationally with Scale MP-2018.<br>• The mortality assumption for disabled Empire and Albany/Adirondack participants was changed from the RP-2000 Disabled Retiree Mortality Table set forward three years and projected generationally with Scale AA to the RP-2014 Healthy Annuitant Mortality Table set forward six years and projected generationally with Scale MP-2018.<br>• The administrative expense assumption was changed from $7,400,000 for the year beginning January 1, 2020 payable at the beginning of the year, $7,750,000 for the year beginning January 1, 2021, and increasing 3.0% per year thereafter to $10,000,000 for calendar year 2021, increasing by 3% per year thereafter.<br>• Late retirement increases were added for inactive vested Empire and Albany/Adirondack participants who retire after Normal Retirement Age.<br>• Inactive vested Empire and Albany/Adirondack participants over age 80 were excluded from the valuation.<br>• The assumption for future benefit accruals for Empire and Albany/Adirondack participants was changed from 1,400 hours at a $6.90 contribution rate to 1,600 hours at a rate equal to each participant's contribution rate at the beginning of the year as reported in the valuation data or estimated based on 2020 hours and contributions, adjusted for future contribution rate increases.<br>• To reflect the uniform benefit structure for future accruals among New England, Connecticut, and Rhode Island participants, the definition of an active participant for Connecticut and Rhode Island participants was changed from participants who work at least 360 hours in the most recent plan year (160 hours for Rhode Island) and who have accumulated at least one vesting credit (one pension credit for Rhode Island) to participants who worked at least 420 hours during the prior year and had accrued at least one pension credit.<br>As a result of the transfer to the North Atlantic States Carpenters Pension Fund of the portion of the Northeast Carpenters Pension Fund assets and liabilities associated with the former Empire and Albany/Adirondack Carpenters Pension Funds, the actuarial cost method for Empire and Albany/Adirondack liabilities was changed to the Entry Age Normal Cost method used by the North Atlantic States Carpenters Pension Fund in accordance with Revenue Procedure 2000-40. |

# Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

**2021**

**This Form is Open to Public Inspection**

## Part I Annual Report Identification Information

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

**A** This return/report is for:
- [X] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [ ] a single-employer plan
- [ ] a DFE (specify) ____

**B** This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

**C** If the plan is a collectively-bargained plan, check here. . . . . ▶ [X]

**D** Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

**E** If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . ▶ [ ]

## Part II Basic Plan Information—enter all requested information

**1a** Name of plan
N. ATLANTIC STATES CARP. PENSION FUND

**1b** Three-digit plan number (PN) ▶ 001

**1c** Effective date of plan 02/06/1962

**2a** Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)

BOT OF THE NORTH ATLANTIC STATES
CARPENTERS PENSION FUND

350 FORDHAM ROAD

WILMINGTON MA 01887

**2b** Employer Identification Number (EIN) 51-6040899

**2c** Plan Sponsor's telephone number (978) 694-1000

**2d** Business code (see instructions) 236200

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|---|---|---|---|
| SIGN HERE | Signature of plan administrator | Date 10/6/2022 | Enter name of individual signing as plan administrator MICHAEL C. SANCHEZ |
| SIGN HERE | Signature of employer/plan sponsor | Date 10/4/2022 | Enter name of individual signing as employer or plan sponsor THOMAS J. FLYNN |
| SIGN HERE | Signature of DFE | Date | Enter name of individual signing as DFE |

**3a** Plan administrator's name and address [X] Same as Plan Sponsor

**3b** Administrator's EIN

**3c** Administrator's telephone number

**4** If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:
**a** Sponsor's name
**c** Plan Name

**4b** EIN

**4d** PN

| | | | |
|---|---|---|---|
| **5** | Total number of participants at the beginning of the plan year | **5** | 46,135 |
| **6** | Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**). | | |
| **a(1)** | Total number of active participants at the beginning of the plan year | **6a(1)** | 17,276 |
| **a(2)** | Total number of active participants at the end of the plan year | **6a(2)** | 17,323 |
| **b** | Retired or separated participants receiving benefits | **6b** | 16,444 |
| **c** | Other retired or separated participants entitled to future benefits | **6c** | 8,153 |
| **d** | Subtotal. Add lines **6a(2)**, **6b**, and **6c** | **6d** | 41,920 |
| **e** | Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. | **6e** | 4,324 |
| **f** | Total. Add lines **6d** and **6e** | **6f** | 46,244 |
| **g** | Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | **6g** | |
| **h** | Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | **6h** | |
| **7** | Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | **7** | 1,139 |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

1B

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

**9a** Plan funding arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

**9b** Plan benefit arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [X] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

**b General Schedules**

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 5 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

## Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

Form **5558**
(Rev. September 2018)

Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File Certain Employee Plan Returns

▶ **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**
▶ **Go to *www.irs.gov/Form5558* for the latest information.**

OMB No. 1545-0212

**File With IRS Only**

## Part I Identification

**A** Name of filer, plan administrator, or plan sponsor (see instructions)
BOT OF THE NORTH ATLANTIC STATES CARPENTERS PENSION FUND

Number, street, and room or suite no. (If a P.O. box, see instructions)
350 FORDHAM ROAD

City or town, state, and ZIP code
WILMINGTON MA 01887

**B** Filer's identifying number (see instructions)
Employer identification number (EIN) (9 digits XX-XXXXXXX)
51-6040899

Social security number (SSN) (9 digits XXX-XX-XXXX)

**C** Plan name
N. ATLANTIC STATES CARP. PENSION FUND

| Plan number | Plan year ending — MM | DD | YYYY |
|---|---|---|---|
| 0 0 1 | 12 | 31 | 2021 |

## Part II Extension of Time To File Form 5500 Series, and/or Form 8955-SSA

1 ☐ Check this box if you are requesting an extension of time on line 2 to file the first Form 5500 series return/report for the plan listed in Part I, C above.

2 I request an extension of time until 10 / 17 / 2022 to file Form 5500 series. See instructions.
**Note:** A signature IS NOT required if you are requesting an extension to file Form 5500 series.

3 I request an extension of time until / / to file Form 8955-SSA. See instructions.
**Note:** A signature IS NOT required if you are requesting an extension to file Form 8955-SSA.

The application **is automatically approved** to the date shown on line 2 and/or line 3 (above) if **(a)** the Form 5558 is filed on or before the normal due date of Form 5500 series, and/or Form 8955-SSA for which this extension is requested; and **(b)** the date on line 2 and/or line 3 (above) is not later than the 15th day of the 3rd month after the normal due date.

## Part III Extension of Time To File Form 5330 *(see instructions)*

4 I request an extension of time until / / to file Form 5330.
You may be approved for up to a 6-month extension to file Form 5330, after the normal due date of Form 5330.

**a** Enter the Code section(s) imposing the tax . . . . . ▶ **a**

**b** Enter the payment amount attached . . . . . ▶ **b**

**c** For excise taxes under section 4980 or 4980F of the Code, enter the reversion/amendment date . . . ▶ **c**

**5 State in detail why you need the extension:**

Under penalties of perjury, I declare that to the best of my knowledge and belief, the statements made on this form are true, correct, and complete, and that I am authorized to prepare this application.

**Signature ▶** **Date ▶**

MGA

Certified Article Number
9414 7266 9904 2182 6271 55
SENDER'S RECORD

# NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## FINANCIAL STATEMENTS

## YEARS ENDED DECEMBER 31, 2021 AND 2020

**NORTH ATLANTIC STATES CARPENTERS PENSION FUND**

**YEARS ENDED DECEMBER 31, 2021 AND 2020**

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# Independent Auditor's Report

Board of Trustees
North Atlantic States Carpenters Pension Fund

## Opinion

We have audited the accompanying financial statements of the North Atlantic States Carpenters Pension Fund (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of December 31, 2021 and 2020, and the related statements of changes in net assets available for benefits for the years ended December 31, 2021 and 2020, and the related notes to the financial statements.

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2021, and the changes therein for the year ended December 31, 2021 and its financial status as of December 31, 2020, and its changes therein for the year ended December 31, 2020 in accordance with accounting principles generally accepted in the United States of America.

## Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

## Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America; and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

**Auditor's Responsibilities for the Audit of the Financial Statements**

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

**Supplemental Schedules Required by ERISA**

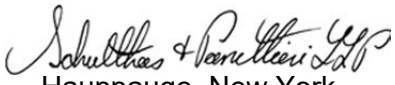
Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental information on pages 22 through 74 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedules is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

**Supplemental Information**

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental information on page 75 is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.



Hauppauge, New York
October 12, 2022

**NORTH ATLANTIC STATES CARPENTERS PENSION FUND**

**STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS**

**DECEMBER 31, 2021 AND 2020**

| | 2021 | 2020 |
|---|---|---|
| ***Assets*** | | |
| **Investments at fair value** | | |
| Interest bearing cash | $ 167,545,198 | $ 207,950,392 |
| U.S. government securities | 61,590,653 | 30,281,569 |
| Corporate debt instruments | 44,730,434 | 32,163,974 |
| Corporate stock | 555,562,412 | 399,251,105 |
| Partnership/joint venture interests | 1,810,347,021 | 1,269,091,492 |
| Real estate | 95,916,457 | 86,355,734 |
| Loans (other than participant loans) | 12,493,106 | 13,516,527 |
| Common/collective trust funds | 1,471,613,570 | 1,115,705,467 |
| Pooled separate accounts | 35,689,117 | 5,143,305 |
| 103-12 investment entities | 654,207,680 | 395,990,450 |
| Registered investment companies | 183,075,107 | 155,640,486 |
| Unallocated contracts | 5,869,746 | 6,041,271 |
| **Total investments** | 5,098,640,501 | 3,717,131,772 |
| **Receivables** | | |
| Employers' contributions | 10,924,611 | 3,207,185 |
| Accrued interest/dividends | 903,191 | 631,721 |
| Net trades pending settlement | 13,200,446 | 24,282,792 |
| Other receivables | 1,386,703 | 1,378,142 |
| Due from Northeast Carpenters Pension Fund | - | 1,212,223,766 |
| **Cash** | 1,113,042 | 2,683,130 |
| **Collateral held under securities lending agreement** | 13,039,007 | 14,091,113 |
| **Property and equipment - net** | 6,854,489 | 7,393,204 |
| **Other assets** | 810,665 | 2,204,541 |
| **Total assets** | 5,146,872,655 | 4,985,227,366 |
| ***Liabilities*** | | |
| **Accounts payable** | 3,897,740 | 2,154,794 |
| **Related organizations** | 51,191,847 | 34,280,839 |
| **Net trades pending settlement** | 33,627,187 | 471,711,478 |
| **Payable under securities lending agreement** | 13,039,457 | 14,094,919 |
| **Other liabilities** | 32,233 | 407,456 |
| **Deferred compensation payable** | 743,744 | 636,534 |
| **Total liabilities** | 102,532,208 | 523,286,020 |
| **Net assets available for benefits** | $ 5,044,340,447 | $ 4,461,941,346 |

See Notes to Financial Statements

**NORTH ATLANTIC STATES CARPENTERS PENSION FUND**

**STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS**

**YEARS ENDED DECEMBER 31, 2021 AND 2020**

| | 2021 | 2020 |
|---|---|---|
| ***Additions to net assets attributed to:*** | | |
| **Investment income** | | |
| Net appreciation in fair value of investments | $ 601,560,785 | $ 326,913,203 |
| Interest/dividends | 81,532,588 | 32,670,901 |
| Rent - net of related expenses | 440,382 | 452,202 |
| **Total investment income** | 683,533,755 | 360,036,306 |
| Less investment expenses | (8,849,351) | (5,964,689) |
| **Net investment income** | 674,684,404 | 354,071,617 |
| **Contributions** | | |
| Employers' | 255,984,665 | 157,911,396 |
| **Other income** | 3,159,801 | 2,038,801 |
| **Total additions** | 933,828,870 | 514,021,814 |
| ***Deductions from net assets attributed to:*** | | |
| **Benefits paid directly to participants or beneficiaries** | 338,244,245 | 195,219,620 |
| **Administrative expenses** | 13,185,524 | 9,346,597 |
| **Total deductions** | 351,429,769 | 204,566,217 |
| **Net increase** | 582,399,101 | 309,455,597 |
| **Net assets available for benefits** | | |
| Beginning of year | 4,461,941,346 | 2,737,405,913 |
| Transfer in (Note 2) | - | 1,415,079,836 |
| End of year | $ 5,044,340,447 | $ 4,461,941,346 |

See Notes to Financial Statements

## Note 1 - Description of Plan and Significant Accounting Policies

The following description of the North Atlantic States Carpenters Pension Fund (the "Plan") provides only general information. Participants should refer to the plan document for a more complete description of the Plan's provisions.

### *General*

The Plan first became effective February 6, 1962 and is a defined benefit pension plan established under an Agreement and Declaration of Trust pursuant to collective bargaining agreements between the North Atlantic States Regional Council of Carpenters (the “Council”) and various employers and employer associations in the construction industry the states of Massachusetts, Connecticut, Maine, Vermont, New Hampshire, Rhode Island and New York. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Effective November 1, 2020, the New England Carpenters Pension Fund's name changed to the North Atlantic States Carpenters Pension Fund. The name change was made to align with the Council and accurately reflect the participants served in the Council's seven states jurisdiction.

Management has evaluated subsequent events through the date of the auditor's report, the date the financial statements were available to be issued.

### *Purpose*

The purpose of the Plan is to provide retirement and death benefits to eligible participants.

### *Participation*

A participant is a pensioner, beneficiary or individual on whose behalf contributions are currently required to be made to the Plan by an employer subject to a collective bargaining agreement.

Connecticut, Massachusetts, Maine, Vermont, New Hampshire and Rhode Island participants are eligible to participate in the Plan beginning either January 1 or July 1 following completion of at least 140 hours of service in covered employment within 12 consecutive months.

New York participants are eligible to participate in the Plan following the completion of 1,000 hours worked in covered employment within a 12 consecutive month period, known as the Eligibility Computation Period. Participation in the Plan will begin on the first day of the month that follows the end of the Eligibility Computation Period.

**Note 1 - Description of Plan and Significant Accounting Policies (cont'd)**

***Benefits***

Participants with five or more years vesting service are entitled to monthly pension benefits.

Massachusetts, Maine, Vermont and New Hampshire ("NE Legacy"), Connecticut and Rhode Island participants are eligible for regular pension at normal retirement age of 62 or 65, depending on the date the participant first joined the the Plan along with other factors as stipulated in the Plan documents. For NE Legacy and Rhode Island participants eligible prior to January 1, 2021, normal retirement age was 62. The Plan permits early retirement and other forms of retirement based on age and years of credited service (pension credits). Pension credits are based on hours worked in covered employment. A participant may accumulate fractional credits beginning with 1/10 increments to a maximum of 1 credit per year.

New York participants who have earned five or more years vesting service are eligible for regular pension at age 65, for benefits accrued after January 1, 2016. For benefits accrued prior to January 1, 2016, normal retirement age and the age at which other forms of retirement are permitted vary according to the respective plan documents. The Plan permits early retirement and other forms of retirement based on age and years of credited service (pension credits). Pension credits are based on hours worked in covered employment and vary based on the location of the participant.

Monthly pension benefits are based on the number of pension credits earned and the rate that was in effect when the credits were earned or on a percentage of employer contributions required to be made on behalf of the participant.

Pre-retirement and post-retirement death benefits are also available.

***Investment valuation and income recognition***

The Plan's investments are stated at fair value. See "Fair value measurements" footnote for additional information.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation/(depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

***Basis of accounting***

The financial statements are presented on the accrual basis of accounting.

**Note 1 - Description of Plan and Significant Accounting Policies (cont'd)**

***Use of estimates***

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from these estimates.

***Administrative expense allocation***

The administrative office is occupied by the Plan, the North Atlantic States Carpenters Vacation Fund, the North Atlantic States Carpenters Guaranteed Annuity Fund, and the North Atlantic States Carpenters Health Benefits Fund. Certain expenses not specifically applicable to a particular entity are allocated based on the estimated benefit received by each entity. Amounts reported as receivable from related organizations or payable to related organizations generally include balances for shared expenses.

Reimbursements received from related organizations for the years ended December 31, 2021 and 2020 were $6,676,638 and $5,653,827, respectively.

The Plan, through the North Atlantic States Carpenters Central Collection Agency (the "CCA"), a subsidiary of the Plan, collects employer contributions on behalf of the Plan. The costs of operating the CCA are allocated pro-rata to all of the Funds and affiliated organizations utilizing its services based on the contributions collected. For the years ended December 31, 2021 and 2020, the costs allocated to the Plan for this service were $684,111 and $540,100, respectively.

***Plan termination***

The Trustees expect and intend to continue the Plan indefinitely, but reserve the right to amend or terminate it as provided for by the applicable Trust Agreement and Plan provisions, in accordance with applicable law. The Plan is insured by the Pension Benefit Guaranty Corporation (“PBGC”); however, the PBGC does not guarantee the payment of all benefits provided under the Plan. In addition, the PBGC guarantees apply only when the Plan becomes insolvent; that is, when available resources are insufficient to pay benefits under the Plan.

***Reciprocal agreements***

The Plan is a party to reciprocal agreements with other pension funds of the United Brotherhood of Carpenters and Joiners of America.

## Note 2 - Transfer agreement

The Trustees approved an asset/liability transfer effective December 31, 2020, whereby assets and related liabilities of the New York segment of the Northeast Carpenters Pension Fund were transferred into the Plan. The value of Net Assets Available for Benefits and related obligations that were transferred in connection with this agreement was 46% of the Northeast Carpenters Pension Fund's Net Assets Available for Benefits.

Amounts reported as due from Northeast Carpenters Pension Fund in the Statement of Net Assets Available for Benefits relate to pending transfers at December 31, 2020.

A summary of net assets transferred is as follows:

| | 2020 |
|---|---|
| Investments at fair value | $ 1,415,052,763 |
| Other assets | 27,073 |
| Total | $ 1,415,079,836 |

In addition, the portion of the Northeast Carpenters Pension Fund's accumulated plan benefit obligations attributable to the New York participants was also transferred at December 31, 2020. This transfer is reflected in the actuarial present value of accumulated plan benefits as of January 1, 2021 (See Note 18).

## Note 3 - Fair value measurements

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy are described as follows:

Level 1 inputs to the valuation methodology are unadjusted quoted prices, in active markets, for identical assets that the Plan has the ability to access.

Level 2 inputs to the valuation methodology include: quoted prices for similar assets in active markets, quoted prices for identical or similar assets in inactive markets, inputs other than quoted prices that are observable for the asset, and inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset.

Level 3 inputs to the valuation methodology are unobservable and significant to the fair value measurement. Level 3 inputs are generally based on the best information available, which may include the reporting entity's own assumptions and data.

**Note 3 - Fair value measurements (cont'd)**

The asset's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Interest bearing cash: Valued at cost.

U.S. government securities: U.S. Treasury notes are valued at the closing price reported on the active market on which the individual securities are traded. Other U.S. government and agency obligations are valued using pricing models maximizing the use of observable inputs for similar securities.

Corporate debt instruments: Valued using pricing models maximizing the use of observable inputs for similar securities. This includes basing value on yields currently available on comparable securities of issuers with similar credit ratings. When quoted prices are not available for identical or similar bonds, the bond is valued under a discounted cash flows approach that maximizes observable inputs, such as current yields of similar instruments, but includes adjustments for certain risks that may not be observable, such as credit and liquidity risks or a broker quote if available.

Corporate stock and registered investment companies: Valued at the closing price reported in the active market in which the securities are traded.

Loans (other than participant loans): Value based on the valuation methods of the underlying securities described above.

Unallocated contracts: Valued and measured at fair value based on market rates and the insurance company's allocation of expenses.

Corporate stock (non-publicly traded): Valued by an independent appraiser.

Investments measured at net asset value: Corporate stock (non-publicly traded), partnerships/joint venture interests, real estate, common/collective trust funds, pooled separate accounts, registered investment companies (non-publicly traded) and 103-12 investment entity values are estimated by the management of the investment entities.

The preceding methods may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

**Note 3 - Fair value measurements (cont'd)**

Certain investments that are measured at fair value using the net asset value per share (or its equivalent) practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in the tables below are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the statements of net assets available for benefits.

The following table sets forth, by level within the fair value hierarchy, the Plan's investments, as of December 31, 2021, with fair value measurements on a recurring basis:

| | 2021 | Level 1 | Level 2 | Level 3 |
|---|---|---|---|---|
| **Investments at fair value** | | | | |
| Interest bearing cash | $ 167,545,198 | $ 167,545,198 | $ - | $ - |
| U.S. government securities | 61,590,653 | 21,790,278 | 39,800,375 | - |
| Corporate debt instruments | 44,730,434 | - | 44,730,434 | - |
| Corporate stock | 428,719,946 | 425,937,595 | - | 2,782,351 |
| Loans (other than participant loans) | 12,493,106 | - | 12,493,106 | - |
| Registered investment companies | 122,367,122 | 122,367,122 | - | - |
| Unallocated contracts | 5,869,746 | - | - | 5,869,746 |
| Total assets in the fair value hierarchy | 843,316,205 | $ 737,640,193 | $ 97,023,915 | $ 8,652,097 |
| Investments measured at net asset value | 4,255,324,296 | | | |
| Investments at fair value | $ 5,098,640,501 | | | |

**Note 3 - Fair value measurements (cont'd)**

The following table sets forth, by level within the fair value hierarchy, the Plan's investments, as of December 31, 2020, with fair value measurements on a recurring basis:

| | 2020 | Level 1 | Level 2 | Level 3 |
|---|---|---|---|---|
| **Investments at fair value** | | | | |
| Interest bearing cash | $ 207,950,392 | $ 207,950,392 | $ - | $ - |
| U.S. government securities | 30,281,569 | 8,592,805 | 21,688,764 | - |
| Corporate debt instruments | 32,163,974 | - | 32,163,974 | - |
| Corporate stock | 325,242,176 | 322,659,973 | - | 2,582,203 |
| Loans (other than participant loans) | 13,516,527 | - | 13,516,527 | - |
| Registered investment companies | 94,293,212 | 94,293,212 | - | - |
| Unallocated contracts | 6,041,271 | - | - | 6,041,271 |
| Total assets in the fair value hierarchy | 709,489,121 | $ 633,496,382 | $ 67,369,265 | $ 8,623,474 |
| Investments measured at net asset value | 3,007,642,651 | | | |
| Investments at fair value | $ 3,717,131,772 | | | |

**Note 4 - Cash**

At times throughout the year the Plan may have, on deposit in banks, amounts in excess of FDIC insurance limits. The Plan has not experienced any losses in such accounts and the Trustees believe it is not exposed to any significant credit risks.

**Note 5 - Risks and uncertainties**

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the financial statements.

**Note 5 - Risks and uncertainties (cont'd)**

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the financial statements.

**Note 6 - Non-publicly traded corporate stock**

The Plan has several non-publicly traded corporate stock investments. The fair value of the investments are determined by independent appraisal and by management of each investment and are generally based on the estimated fair value of the underlying assets of each investment. The Plan records the investments at estimated fair value based on its capital shares, as reported on the annual audited financial statements. The investments generally require the Plan to enter into agreements to contribute a minimum amount of capital. There were no individually significant investments in non-publicly traded corporate stock held by the Plan as of December 31, 2021 and 2020.

**Note 7 - Partnerships/joint venture interests**

The Plan is a limited partner or investor in several private placement investment partnerships and investment companies. The fair value of the investments were determined by the management of the investment entity and are generally based on the estimated fair value of the underlying assets of the investment entity. The Plan records the investments at estimated fair value based on its capital shares, as reported on the annual K-1 Forms or available audited financial statements. The entities generally require the Plan to enter into agreements to contribute a minimum amount of capital. In addition, partnership investments may be subject to withdrawal restrictions. There were no individually significant investments in partnerships held by the Plan as of December 31, 2021 and 2020.

**Note 8 - Real estate**

The Plan is invested in the North Atlantic States Carpenters Pension and Guaranteed Annuity Fund Real Estate Investment Program (the "Program"). The Program was established for the purpose of investing in income-producing properties in the United States by the North Atlantic States Carpenters Guaranteed Annuity Fund and the Plan. Washington Capital Management, Inc. serves as the investment advisor (the "Advisor"), and has full discretion over investment acquisition and disposition decisions, as well as operating and financing matters. The net asset value of the Program is based on estimates of fair value made by the Advisor as determined by independent appraisals. The estimated fair value of the Plan's investment as of December 31, 2021 and 2020, was $95,916,457 and $86,355,734, respectively.

**Note 9 - Common/collective trust funds**

The Plan is invested in several common/collective trust funds. The fair value of the investments are determined by the management of each investment and are generally based on the estimated fair value of the underlying assets of each investment. The investments generally require the Plan to enter into agreements to contribute a minimum amount of capital. In addition, common/collective trust fund investments may be subject to withdrawal restrictions. Individually significant investments in common/collective trust funds held by the Plan are as follows:

The Plan invests in collective investment funds ("CCTs") maintained by BlackRock Institutional Trust Company, N.A. ("BTC"), which serves as trustee for the following significant CCTs: U.S. Treasury Inflation Protected Securities Fund, U.S. Debt Index Fund, Equity Index Fund A, EAFE Equity Index Fund, MSCI Emerging Markets Free Fund, and JPM EMBI Global Diversified Index Fund C. The value of units upon contribution to or redemption from each CCT is based on the CCT's unit value determined on that day. Units can be redeemed on any day that BTC is open for business.

The estimated fair value of the Plan's investment in the U.S. Treasury Inflation Protected Securities Fund as of December 31, 2021 and 2020 was $278,852,748 and $245,253,962, respectively.

The estimated fair value of the Plan's investment in the U.S. Debt Index Fund as of December 31, 2021 and 2020 was $221,916,433 and $58,175,958, respectively.

The estimated fair value of the Plan's investment in the Equity Index Fund A as of December 31, 2021 and 2020 was $224,227,263 and $93,658,145, respectively.

The estimated fair value of the Plan's investment in the EAFE Equity Index Fund as of December 31, 2021 and 2020 was $104,799,985 and $105,082,437, respectively.

The estimated fair value of the Plan's investment in the MSCI Emerging Markets Free Fund as of December 31, 2021 and 2020 was $109,675,284 and $120,012,072, respectively.

The estimated fair value of the Plan's investment in the JPM EMBI Global Diversified Index Fund C as of December 31, 2021 and 2020 was $58,981,409 and $60,296,573, respectively.

**Note 10 - 103-12 Investment entities**

The Plan is invested in several 103-12 investment entities. The fair value of the investments are determined by the management of each investment and are generally based on the estimated fair value of the underlying assets of each investment. The investments generally require the Plan to enter into agreements to contribute a minimum amount of capital. In addition, 103-12 investment entity investments may be subject to withdrawal restrictions. There were no individually significant investments in 103-12 investment entities held by the Plan as of December 31, 2021 and 2020.

**Note 11 - Securities lending**

The Plan has an agreement with its custodial bank authorizing the bank to lend securities held in the Plan's account to third parties. The bank must obtain collateral from the borrower in the form of cash, letters of credit issued by an entity other than the borrower, or acceptable securities. Both the collateral and the securities loaned are marked-to-market on a daily basis so that all loaned securities are fully collateralized at all times. The collateral held is reported as both an asset and a liability in the financial statements.

Although the Plan's securities lending activities are collateralized as described above, they involve both market and credit risk. In this context, market risk refers to the possibility that the borrowers of securities will be unable to collateralize the loan upon a sudden material change in the fair value of the loaned securities or the collateral. Credit risk refers to the possibility that counterparties involved in the securities lending program may fail to perform in accordance with the terms of their contracts.

Income earned for the years ended December 31, 2021 and 2020 was $89,589 and $121,945, respectively.

The fair value of securities loaned by the Plan was $12,493,106 and $13,516,527 at December 31, 2021 and 2020, respectively. The fair value of the collateral held by the Plan was $13,039,007 and $14,091,113 at December 31, 2021 and 2020, respectively. Securities loaned are presented as loans (other than participant loans).

**Note 12 - Investment commitments**

As of December 31, 2021 the Plan has several commitments outstanding with various investment managers that total $805,745,000.

**Note 13 - Property and equipment**

| | 2021 | 2020 |
|---|---|---|
| Computer equipment | $ 14,123,199 | $ 13,755,791 |
| Building improvements | 4,697,743 | 4,570,043 |
| Building | 4,229,039 | 4,229,039 |
| Office furniture and equipment | 683,105 | 704,577 |
| Land | 484,002 | 484,002 |
| Automobile | 19,081 | 45,200 |
| | 24,236,169 | 23,788,652 |
| Less accumulated depreciation | 17,381,680 | 16,395,448 |
| Property and equipment - net | $ 6,854,489 | $ 7,393,204 |

**Note 13 - Property and equipment (cont'd)**

Property and equipment is stated at cost. Depreciation expense for the years ended December 31, 2021 and 2020 was $1,333,462 and $1,120,530, respectively, computed using the straight-line method over the estimated useful lives of the respective assets.

Expenditures for maintenance, repairs and minor renewals are charged to expenses as incurred; major renewals and betterments are capitalized.

**Note 14 - Party-in-interest transactions**

Certain Plan investments are held by the manager of the investment; therefore, transactions relating to those investments qualify as exempt party-in-interest transactions and are identified as such on the supplemental schedules of investments.

**Note 15 - Employers' contributions**

In accordance with collective bargaining agreements and participation agreements, employers are required to make contributions to the Plan on behalf of employees performing covered work.

During 2020, the COVID-19 outbreak in the United States caused business disruption through government mandated closings in the carpentry industry. While the disruption appears to have been temporary, there is uncertainty around additional closings, therefore the financial impact and duration of additional closings cannot be reasonably estimated at this time.

**Note 16 - Rental income**

The Plan owns and operates a property located in Wilmington, MA. The Plan leases office and warehouse space to the North Atlantic States Carpenters Guaranteed Annuity Fund (the "Guaranteed Annuity Fund") and the North Atlantic States Carpenters Health Benefits Fund (the "Health Fund"), related organizations. The terms of the leases are January 1, 2021 through December 31, 2023 at an annual rate of $143,757 for the Guaranteed Annuity Fund and $157,518 for the Health Fund. Prior to January 1, 2021, the annual rate was $128,928 for the Guaranteed Annuity Fund and $140,898 for the Health Fund. The Plan also leases office and warehouse space to the North Atlantic States Regional Council of Carpenters Local 339, a related organization, with a term of July 1, 2018 through December 31, 2023 at an annual rate of $36,860. Escalation costs may be incurred for any increase in real estate taxes or operating costs beyond the base years, as stipulated in the lease.

**Note 16 - Rental income (cont'd)**

The Plan owns and operates a property located in Hamden, CT. The Plan leases office and parking spaces to the Health Fund and the North Atlantic States Carpenters Annuity Fund, related organizations, and Kiddie Kabz, an unrelated third party. The terms of the leases are January 1, 2021 through December 31, 2023 at an annual rate of $44,783 for the Health Fund and $32,802 for the North Atlantic States Carpenters Annuity Fund. Prior to January 1, 2021, the annual rate was $48,455 for the Health Fund and $28,569 for the North Atlantic States Carpenters Annuity Fund. The term of the lease for Kiddie Kabz is April 1, 2021 through March 31, 2024 at an initial rental rate of $23,724, subject to annual increases. Under the terms of the prior lease that expired March 31, 2021, the annual rent for Kiddie Kabz was increased from $23,028 to $23,724 effective April 1, 2020.

Net rental income for the years ended December 31, 2021 and 2020 was $440,382 and $452,202, respectively.

**Note 17 - Other commitments**

Effective April 24, 2000, the Connecticut Carpenters Pension Fund established a deferred compensation plan for the plan's Fund Director for the purpose of providing compensation benefits to such employees. This commitment was transferred to the Plan as part of the merger on January 1, 2018. The deferred compensation plan is an unfunded arrangement held in an investment account. As of December 31, 2021 and 2020, the estimated fair value of the deferred compensation plan's assets totaled $743,744 and $636,534, respectively.

**Note 18 - Accumulated plan benefits**

The latest available calculations of the actuarial present value of accumulated plan benefits were made by consulting actuaries as of January 1, 2021 and 2020. Details of accumulated plan benefit information as of such dates are as follows:

| | January 1, 2021 | January 1, 2020 |
|---|---|---|
| **Actuarial present value of accumulated plan benefits:** | | |
| Vested benefits: | | |
| Participants currently receiving benefit payments | $ 3,042,965,095 | $ 1,740,474,762 |
| Other vested benefits | 2,161,463,019 | 1,356,363,549 |
| Total vested benefits | 5,204,428,114 | 3,096,838,311 |
| Nonvested benefits | 113,378,253 | 93,652,684 |
| **Total actuarial present value of accumulated plan benefits** | $ 5,317,806,367 | $ 3,190,490,995 |

**Note 18 - Accumulated plan benefits (cont'd)**

The changes in the actuarial present value of accumulated plan benefits from the previous benefit information date were as follows:

| | January 1, 2021 | January 1, 2020 |
|---|---|---|
| **Actuarial present value of accumulated plan benefits - Beginning of year** | $ 3,190,490,995 | $ 3,095,112,203 |
| Increase (decrease) during the year attributable to: | | |
| Benefits accumulated | 45,481,959 | 58,846,221 |
| Interest due to the decrease in the discount period | 231,966,089 | 225,063,505 |
| Benefits paid | (195,219,620) | (188,530,934) |
| Plan amendments | (684,821) | - |
| Change of assumptions | 87,832,829 | - |
| Transfer of Empire State and Albany/Adirondack assets and liabilities | 1,957,938,936 | - |
| Net increase (decrease) in actuarial present value of accumulated plan benefits | 2,127,315,372 | 95,378,792 |
| **Actuarial present value of accumulated plan benefits - End of year** | $ 5,317,806,367 | $ 3,190,490,995 |

Through January 1, 2021, the Plan met minimum funding standard requirements under ERISA.

**Note 18 - Accumulated plan benefits (cont'd)**

The significant methods and assumptions underlying the actuarial computations are as follows:

| | |
|---|---|
| Actuarial Cost Method | Entry Age Normal |
| Net Investment Return | 2021 - 7.25%<br>2020 - 7.50% |
| Mortality Rates | Healthy:<br>2021: RP-2014 Employee and Healthy Annuitant Mortality Tables set forward one year and projected generationally with Scale MP-2018 (previously, for Empire and Albany/Adirondack participants, RP-2000 Combined Healthy Mortality Table set forward three years and projected generationally with Scale AA)<br>2020: RP-2014 Employee and Healthy Annuitant Mortality Tables set forward one year and projected generationally with Scale MP-2018<br><br>Disabled:<br>2021: RP-2014 Healthy Annuitant Mortality Table set forward six years and projected generationally with Scale MP-2018 (previously, for Empire and Albany/Adirondack participants, RP-2000 Disabled Retiree Mortality Table projected generationally with Scale AA)<br>2020: RP-2014 Healthy Annuitant Mortality Table set forward six years and projected generationally with Scale MP-2018 |
| Actuarial Value of Assets | The market value of assets less unrecognized returns in each of the last five years. Unrecognized return is equal to the difference between the actual market return and the projected return on the market value, and is recognized over a five-year period. The actuarial value is further adjusted, if necessary, to be within 15% of the market value. |
| Weighted average retirement age | 61 |
| Annual administrative expenses | 2021 - $10,000,000<br>2020 - $7,400,000 |

**Note 18 - Accumulated plan benefits (cont'd)**

As of January 1, 2021 the actuary has certified that the Plan is not in the endangered or critical status as identified under the Pension Protection Act of 2006.

**Note 19 - Reconciliation of financial statements to Form 5500**

For financial statement purposes, the Plan's net assets available for benefits reflects land, building and related improvements at book value. This differs from the reporting requirements of the Department of Labor which requires that the Plan's net assets available for benefits be shown at fair value.

The following is a reconciliation of the net assets available for benefits reported on the financial statements to the net assets available for benefits reported on Form 5500:

| | 2021 | 2020 |
|---|---|---|
| Net assets available for benefits per the financial statements | $ 5,044,340,447 | $ 4,461,941,346 |
| Adjustment from book to fair value for property used for operational purposes | 4,669,978 | 4,676,861 |
| Net assets available for benefits as reported on Form 5500 | $ 5,049,010,425 | $ 4,466,618,207 |

For financial statement purposes, investment expenses are reported as a reduction of investment income. The reporting requirements of the Department of Labor require these fees be shown as administrative expenses.

The net increase (decrease) in net assets available for benefits is also affected by the difference in reporting requirements related to property used for operational purposes.

**Note 19 - Reconciliation of financial statements to Form 5500 (cont'd)**

The following is a reconciliation of the reclassifications:

| | Per Financial Statements | Reclassification | Per Form 5500 |
|---|---|---|---|
| Investment income | $ 674,684,404 | $ 8,849,351 | $ 683,533,755 |
| Contributions | 255,984,665 | - | 255,984,665 |
| Unrealized depreciation - Real estate | - | (6,883) | (6,883) |
| Other income | 3,159,801 | - | 3,159,801 |
| Total additions | 933,828,870 | 8,842,468 | 942,671,338 |
| Benefits paid directly to participants or beneficiaries | 338,244,245 | - | 338,244,245 |
| Administrative expenses | 13,185,524 | 8,849,351 | 22,034,875 |
| Total deductions | 351,429,769 | 8,849,351 | 360,279,120 |
| Net increase | $ 582,399,101 | $ (6,883) | $ 582,392,218 |

In addition to the above reclassifications, the Plan's investments have been reclassified for Form 5500 purposes in accordance with the Department of Labor's plan asset regulations. See the Schedule Reconciling the Statement of Net Assets Available for Benefits to Form 5500 on page 75.

**Note 20 - Tax status**

The Plan has received a determination letter from the IRS dated December 16, 2015, stating that the Plan is qualified under Section 401(a) and is exempt from federal income taxes under Section 501(a) of the Internal Revenue Code. The Trustees believe that the Plan, including amendments subsequent to the IRS determination, is currently designed and operated in compliance with the requirements of the Internal Revenue Code. Therefore, they believe that the Plan was qualified and the related trust was tax exempt as of the financial statement date.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF INTEREST BEARING CASH

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

| (a) | (b) | (c) - DESCRIPTION INTEREST BEARING CASH | | (d) | (e) |
|---|---|---|---|---|---|
| | ISSUER | INTEREST RATE | MATURITY DATE | COST | CURRENT VALUE |
| | LENDINGCLUB | VARIABLE | ON DEMAND | $ 156,994,139 | $ 156,994,139 |
| * | STATE STREET CASH | VARIABLE | ON DEMAND | 10,551,059 | 10,551,059 |
| | ABS EMERGING MARKETS STRATEGIC PORTFOLIO LP - INTEREST BEARING CASH | | | 249,959 | 249,959 |
| | AMERICAN CORE REALTY FUND - INTEREST BEARING CASH | | | 3,591,216 | 3,591,216 |
| | ENTRUST CAPITAL DIVERSIFIED FUND LTD CLASS X SERIES 09/30/2017 - INTEREST BEARING CASH | | | 28,752 | 28,752 |
| | ENTRUST CAPITAL DIVERSIFIED FUND LTD CLASS X SERIES 12/31/2017 - INTEREST BEARING CASH | | | 28,825 | 28,825 |
| | ENTRUST SPECIAL OPPORTUNITIES FUND III LTD CLASS A - INTEREST BEARING CASH | | | 11,752 | 11,752 |
| | EUROPEAN STRATEGIC PARTNERS 2006 B LP - INTEREST BEARING CASH | | | 160,878 | 160,878 |
| | FIRST EAGLE GLOBAL VALUE FUND LP - INTEREST BEARING CASH | | | 17,950 | 17,950 |
| | GROSVENOR OPPORTUNISTIC CREDIT FUND III LTD - INTEREST BEARING CASH | | | 574 | 574 |
| | HAMILTON LANE PE OFF FUND VIII HAMILTON LANE PE OFFSHORE FUND - INTEREST BEARING CASH | | | 2,937 | 2,937 |
| | HAMILTON LANE STRATEGIC OPPORTUNITIES FUND IV LP - INTEREST BEARING CASH | | | 2,982 | 2,982 |
| | IRONSIDES DIRECT INVESTMENT FUND IV LP - INTEREST BEARING CASH | | | 2,031 | 2,031 |
| | IRONSIDES PARTNERSHIP FUND IV LP - INTEREST BEARING CASH | | | 810,527 | 810,527 |
| | IRONSIDES PARTNERSHIP FUND V LP - INTEREST BEARING CASH | | | 27,096 | 27,096 |
| | KOHINOOR SERIES (CAYMAN) FUND - INTEREST BEARING CASH | | | 1,450,127 | 1,450,127 |
| | NORTH ATLANTIC STATES CARPENTERS PENSION FUND REAL ESTATE INVESTMENT PROGRAM - INTEREST BEARING CASH | | | 3,554,392 | 3,554,392 |
| | ORION EUROPEAN REAL ESTATE FUND III CV - INTEREST BEARING CASH | | | 336,700 | 336,700 |
| | PANTHEON EUROPE FUND V B LP - INTEREST BEARING CASH | | | 78,245 | 78,245 |
| | PANTHEON GLOBAL SECONDARY FUND III B LP - INTEREST BEARING CASH | | | 61,349 | 61,349 |
| | SHENKMAN PRIMUS HIGH YIELD BOND FUND - INTEREST BEARING CASH | | | 7,584,229 | 7,584,229 |
| | SIGULER GUFF DISTRESSED REAL ESTATE OPPORTUNITIES FUND II LP - INTEREST BEARING CASH | | | 336,072 | 336,072 |
| | SOLAMERE CAPITAL AF III A LP - INTEREST BEARING CASH | | | 172,773 | 172,773 |
| | SOLAMERE CAPITAL AF IV A LP - INTEREST BEARING CASH | | | 401,092 | 401,092 |
| | WCP SPECIAL CORE PLUS FUND II LP - INTEREST BEARING CASH | | | 1,439,084 | 1,439,084 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF INTEREST BEARING CASH

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001
**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

| (a) | (b) | (c) - DESCRIPTION INTEREST BEARING CASH | | (d) | (e) |
|---|---|---|---|---|---|
| | ISSUER | INTEREST RATE | MATURITY DATE | COST | CURRENT VALUE |
| | WCP SPECIAL CORE PLUS FUND LP - INTEREST BEARING CASH | | | 347,098 | 347,098 |
| | | | | $ 188,241,838 | $ 188,241,838 |

* PARTY-IN-INTEREST

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF U.S. GOVERNMENT SECURITIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION U.S. GOVERNMENT SECURITIES | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| FNMA POOL 995513 | 6.00% | 12/01/2022 | $ 429 | $ 448 | $ 431 |
| US TREASURY N/B | 0.13% | 09/15/2023 | 1,820,000 | 1,818,223 | 1,803,365 |
| FNMA POOL 995460 | 5.50% | 12/01/2023 | 8,620 | 8,899 | 8,798 |
| US TREASURY N/B | 0.13% | 12/15/2023 | 3,325,000 | 3,315,923 | 3,286,829 |
| US TREASURY N/B | 0.13% | 01/15/2024 | 138,000 | 137,698 | 136,258 |
| FNMA POOL AE0467 | 5.50% | 03/01/2024 | 5,343 | 5,759 | 5,447 |
| US TREASURY N/B | 0.25% | 08/31/2025 | 375,000 | 374,663 | 363,266 |
| SMALL BUSINESS ADMINISTRATION | 5.09% | 10/01/2025 | 22,417 | 22,417 | 23,315 |
| FED HM LN PC POOL C90979 | 6.50% | 06/01/2026 | 39,140 | 40,113 | 43,194 |
| US TREASURY N/B | 0.88% | 06/30/2026 | 4,950,000 | 4,975,221 | 4,871,493 |
| SMALL BUSINESS ADMINISTRATION | 5.70% | 08/01/2026 | 26,984 | 26,984 | 28,895 |
| US TREASURY N/B | 0.75% | 08/31/2026 | 330,000 | 329,227 | 322,615 |
| SMALL BUSINESS ADMINISTRATION | 5.71% | 06/01/2027 | 35,747 | 35,747 | 38,462 |
| US TREASURY N/B | 0.63% | 11/30/2027 | 6,175,000 | 6,110,119 | 5,914,230 |
| US TREASURY N/B | 1.00% | 07/31/2028 | 2,120,000 | 2,108,820 | 2,063,947 |
| FNMA POOL AL7205 | 3.50% | 12/01/2029 | 44,282 | 46,663 | 46,566 |
| FED HM LN PC POOL G18555 | 3.50% | 05/01/2030 | 69,837 | 73,110 | 73,972 |
| FNMA POOL AB1763 | 4.00% | 11/01/2030 | 48,706 | 51,872 | 52,152 |
| FNMA POOL AL5861 | 4.50% | 01/01/2031 | 40,069 | 43,637 | 43,251 |
| US TREASURY N/B | 1.63% | 05/15/2031 | 810,000 | 843,318 | 820,255 |
| US TREASURY N/B | 1.25% | 08/15/2031 | 1,555,000 | 1,513,270 | 1,520,261 |
| FNMA POOL AL4549 | 4.50% | 01/01/2032 | 39,682 | 42,689 | 42,463 |
| FREDDIE MAC | 6.50% | 06/15/2032 | 48,574 | 49,370 | 55,854 |
| FED HM LN PC POOL G30689 | 4.50% | 07/01/2032 | 27,960 | 30,529 | 30,217 |
| FHLMC STRUCTURED PASS THROUGH | 4.94% | 07/25/2032 | 36,024 | 37,420 | 38,418 |
| FANNIE MAE | 5.50% | 10/25/2032 | 58,203 | 63,886 | 64,574 |
| FNMA POOL 555424 | 5.50% | 05/01/2033 | 70,701 | 75,462 | 78,957 |
| FHLMC STRUCTURED PASS THROUGH | 4.56% | 07/25/2033 | 41,957 | 44,609 | 45,182 |
| FED HM LN PC POOL G30670 | 4.50% | 12/01/2033 | 79,197 | 86,783 | 84,812 |
| FNMA POOL 735765 | 2.31% | 08/01/2034 | 12,747 | 12,337 | 12,885 |
| FNMA POOL MA1983 | 4.00% | 08/01/2034 | 93,236 | 100,302 | 101,130 |
| FNMA POOL MA2079 | 4.00% | 11/01/2034 | 87,768 | 94,282 | 95,464 |
| FED HM LN PC POOL G01749 | 5.50% | 01/01/2035 | 29,207 | 31,745 | 32,761 |
| FNMA POOL 821925 | 1.89% | 06/01/2035 | 9,531 | 9,299 | 9,751 |
| FNMA POOL MA2366 | 4.00% | 08/01/2035 | 75,178 | 81,004 | 80,851 |
| FED HM LN PC POOL C91853 | 3.50% | 10/01/2035 | 78,129 | 81,327 | 83,312 |
| FNMA POOL MA2455 | 4.00% | 11/01/2035 | 85,224 | 91,589 | 91,447 |
| FED HM LN PC POOL 1Q0069 | 1.91% | 05/01/2036 | 5,182 | 5,113 | 5,378 |
| FNMA POOL 745600 | 2.02% | 06/01/2036 | 5,441 | 5,359 | 5,611 |
| FNMA POOL 888367 | 7.00% | 03/01/2037 | 60,882 | 68,987 | 74,184 |
| FNMA POOL MA2923 | 3.50% | 03/01/2037 | 89,956 | 92,275 | 95,090 |
| FNMA POOL MA2962 | 3.50% | 04/01/2037 | 99,105 | 102,759 | 104,275 |
| FNMA POOL 888702 | 6.50% | 08/01/2037 | 8,470 | 9,077 | 10,052 |
| FED HM LN PC POOL G03600 | 7.00% | 11/01/2037 | 21,614 | 23,482 | 26,039 |
| FNMA POOL 257135 | 5.50% | 03/01/2038 | 2,449 | 2,585 | 2,725 |
| FED HM LN PC POOL 1Q1400 | 2.19% | 07/01/2038 | 35,020 | 38,183 | 37,037 |
| FNMA POOL AD0198 | 5.50% | 09/01/2038 | 39,323 | 43,311 | 44,450 |
| FED HM LN PC POOL G07338 | 6.00% | 10/01/2038 | 23,394 | 25,631 | 26,424 |
| FED HM LN PC POOL H09197 | 6.50% | 10/01/2038 | 9,758 | 10,359 | 11,402 |
| FED HM LN PC POOL 1Q1145 | 2.20% | 05/01/2039 | 28,087 | 29,944 | 29,149 |
| FNMA POOL AD0130 | 6.50% | 08/01/2039 | 3,174 | 3,458 | 3,693 |
| FED HM LN PC POOL A94663 | 4.50% | 10/01/2040 | 70,106 | 74,893 | 76,666 |
| FED HM LN PC POOL G06447 | 4.50% | 05/01/2041 | 64,115 | 68,983 | 70,586 |
| FANNIEMAE GRANTOR TRUST | 7.50% | 07/25/2041 | 63,246 | 65,243 | 74,019 |
| FED HM LN PC POOL Q03086 | 4.50% | 09/01/2041 | 30,834 | 32,698 | 33,576 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF U.S. GOVERNMENT SECURITIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION U.S. GOVERNMENT SECURITIES | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| FNMA POOL AL5441 | 4.50% | 09/01/2041 | 49,421 | 53,753 | 54,137 |
| FANNIE MAE | 7.00% | 10/25/2041 | 19,470 | 20,172 | 21,854 |
| FNMA POOL AJ4102 | 2.07% | 11/01/2041 | 32,353 | 33,642 | 33,931 |
| US TREASURY N/B | 2.00% | 11/15/2041 | 680,000 | 698,567 | 687,759 |
| FNMA POOL AO8469 | 2.01% | 05/01/2042 | 14,027 | 14,461 | 14,314 |
| FED HM LN PC POOL G07843 | 4.50% | 06/01/2042 | 57,477 | 62,282 | 62,715 |
| FNMA POOL AO4105 | 1.94% | 06/01/2042 | 28,516 | 29,500 | 29,806 |
| FANNIE MAE | 6.50% | 06/25/2042 | 63,714 | 66,451 | 73,039 |
| FED HM LN PC POOL G60764 | 4.50% | 10/01/2042 | 50,414 | 55,390 | 55,741 |
| FHLMC STRUCTURED PASS THROUGH | 6.50% | 03/25/2043 | 125,280 | 128,299 | 144,574 |
| FED HM LN PC POOL 849748 | 1.94% | 10/01/2043 | 32,782 | 33,985 | 34,217 |
| FED HM LN PC POOL G07596 | 4.50% | 11/01/2043 | 76,558 | 81,929 | 83,936 |
| FNMA POOL AL5697 | 1.96% | 12/01/2043 | 22,305 | 23,063 | 23,171 |
| FREDDIE MAC | 4.50% | 12/15/2043 | 259,793 | 287,478 | 279,003 |
| FED HM LN PC POOL G08568 | 4.50% | 01/01/2044 | 36,603 | 39,594 | 40,100 |
| FED HM LN PC POOL G07686 | 4.50% | 03/01/2044 | 41,629 | 44,881 | 45,896 |
| FNMA POOL BM1135 | 4.50% | 07/01/2044 | 102,282 | 110,529 | 111,984 |
| FNMA POOL AT7040 | 1.83% | 09/01/2044 | 3,807 | 3,912 | 3,944 |
| FED HM LN PC POOL 849625 | 1.98% | 01/01/2045 | 34,978 | 36,339 | 36,284 |
| FED HM LN PC POOL 2B3805 | 3.18% | 02/01/2045 | 26,019 | 27,072 | 27,006 |
| FED HM LN PC POOL G60034 | 4.50% | 02/01/2045 | 26,413 | 28,666 | 28,958 |
| FED HM LN PC POOL 849713 | 2.68% | 05/01/2045 | 23,662 | 24,020 | 24,530 |
| FNMA POOL AL8815 | 2.20% | 05/01/2045 | 65,158 | 67,790 | 67,546 |
| FNMA POOL AX5655 | 2.85% | 01/01/2046 | 46,719 | 48,301 | 48,645 |
| FED HM LN PC POOL 840286 | 2.55% | 05/01/2046 | 53,198 | 55,152 | 55,231 |
| FNMA POOL AL9198 | 2.65% | 07/01/2046 | 64,014 | 64,914 | 66,073 |
| FNMA POOL AL8919 | 2.51% | 07/01/2046 | 21,198 | 21,753 | 21,835 |
| FED HM LN PC POOL 840380 | 2.12% | 08/01/2046 | 56,997 | 59,079 | 59,038 |
| FNMA POOL AL9011 | 2.78% | 09/01/2046 | 22,903 | 23,512 | 23,641 |
| FNMA POOL AS8835 | 4.50% | 02/01/2047 | 179,177 | 192,671 | 194,282 |
| FED HM LN PC POOL G61080 | 4.50% | 03/01/2047 | 114,192 | 122,721 | 124,470 |
| FED HM LN PC POOL Q48869 | 4.50% | 06/01/2047 | 124,132 | 133,238 | 134,206 |
| FNMA POOL BH5350 | 3.11% | 07/01/2047 | 56,446 | 57,921 | 58,791 |
| FED HM LN PC POOL G08786 | 4.50% | 10/01/2047 | 80,054 | 85,470 | 86,483 |
| FNMA POOL BJ9262 | 4.50% | 06/01/2048 | 83,595 | 87,066 | 89,950 |
| FNMA POOL BM4005 | 3.38% | 06/01/2048 | 71,343 | 71,831 | 74,432 |
| FNMA POOL BM4873 | 3.10% | 10/01/2048 | 218,429 | 223,131 | 227,263 |
| FNMA POOL BM5704 | 5.00% | 12/01/2048 | 50,271 | 52,937 | 55,050 |
| FNMA POOL AL0778 | 6.50% | 01/01/2049 | 10,140 | 11,243 | 11,900 |
| FANNIE MAE | 7.00% | 03/25/2049 | 24,420 | 25,641 | 28,880 |
| FED HM LN PC POOL RA2958 | 2.00% | 07/01/2050 | 827,448 | 849,686 | 826,604 |
| FNMA POOL CA6271 | 2.50% | 07/01/2050 | 521,045 | 543,597 | 534,639 |
| FNMA POOL CA6329 | 2.50% | 07/01/2050 | 794,357 | 836,744 | 816,560 |
| FNMA POOL CA6401 | 2.50% | 07/01/2050 | 443,453 | 464,119 | 455,023 |
| FED HM LN PC POOL RA3454 | 2.00% | 09/01/2050 | 199,110 | 207,580 | 199,243 |
| FNMA POOL CA6902 | 2.00% | 09/01/2050 | 1,244,342 | 1,291,394 | 1,243,185 |
| FED HM LN PC POOL RA3690 | 2.00% | 10/01/2050 | 2,379,194 | 2,475,663 | 2,383,001 |
| FNMA POOL CA7241 | 2.50% | 10/01/2050 | 4,616,666 | 4,892,945 | 4,745,933 |
| FNMA POOL FM4714 | 2.50% | 10/01/2050 | 1,477,705 | 1,564,982 | 1,518,992 |
| FED HM LN PC POOL SD7530 | 2.50% | 11/01/2050 | 552,962 | 587,241 | 567,012 |
| FNMA POOL CA8099 | 2.00% | 12/01/2050 | 749,029 | 781,184 | 750,227 |
| FED HM LN PC POOL SD7536 | 2.50% | 02/01/2051 | 2,057,204 | 2,138,849 | 2,118,488 |
| FNMA POOL CA8847 | 2.00% | 02/01/2051 | 5,104,797 | 5,320,156 | 5,112,965 |
| FNMA TBA 30 YR 2.5 | 2.50% | 02/11/2051 | 11,552,000 | 11,754,480 | 11,756,470 |
| FNMA POOL BR7227 | 2.00% | 04/01/2051 | 514,991 | 519,014 | 514,015 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF U.S. GOVERNMENT SECURITIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001
## FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION U.S. GOVERNMENT SECURITIES | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| GOVERNMENT NATIONAL MORTGAGE A | 0.44% | 02/20/2068 | 366,838 | 366,567 | 360,849 |
| GOVERNMENT NATIONAL MORTGAGE A | 0.78% | 10/20/2069 | 656,571 | 658,310 | 668,221 |
| GOVERNMENT NATIONAL MORTGAGE A | 0.87% | 12/20/2071 | 517,000 | 527,178 | 529,175 |
| FIRST EAGLE GLOBAL VALUE FUND LP - GOV'T | | | - | 1,101,105 | 1,048,738 |
| KOHINOOR SERIES (CAYMAN) FUND - GOV'T | | | - | 63,161,793 | 63,542,523 |
| | | | $ 61,197,649 | $ 126,868,047 | $ 126,181,914 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE DEBT INSTRUMENTS - PREFERRED

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION CORPORATE DEBT INSTRUMENTS - PREFERRED | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| CSX TRANSPORTATION INC | 6.25% | 01/15/2023 | $ 107,923 | $ 107,923 | $ 113,349 |
| BNSF RAILWAY CO 2015 1 P | 3.44% | 06/16/2028 | 75,551 | 73,934 | 82,192 |
| UNP RR CO 2005 PASS TRST | 5.08% | 01/02/2029 | 190,735 | 210,762 | 207,238 |
| COCA COLA CO/THE | 3.45% | 03/25/2030 | 100,000 | 99,665 | 110,709 |
| COCA COLA CO/THE | 1.65% | 06/01/2030 | 175,000 | 174,643 | 168,872 |
| EXXON MOBIL CORPORATION | 2.61% | 10/15/2030 | 175,000 | 181,740 | 181,370 |
| CALIFORNIA ST | 7.50% | 04/01/2034 | 200,000 | 199,974 | 306,062 |
| SMB PRIVATE EDUCATION LOAN TRU | 2.88% | 09/15/2034 | 64,022 | 63,827 | 64,991 |
| CALIFORNIA ST | 7.30% | 10/01/2039 | 150,000 | 249,620 | 235,323 |
| NEW JERSEY ST TURNPIKE AUTH | 7.41% | 01/01/2040 | 50,000 | 75,015 | 80,487 |
| EXXON MOBIL CORPORATION | 4.23% | 03/19/2040 | 115,000 | 118,725 | 135,759 |
| SLM STUDENT LOAN TRUST | 0.29% | 07/25/2040 | 996,000 | 961,763 | 967,178 |
| CALIFORNIA ST | 7.60% | 11/01/2040 | 120,000 | 154,339 | 206,857 |
| NEW JERSEY ST TURNPIKE AUTH | 7.10% | 01/01/2041 | 150,000 | 173,634 | 235,338 |
| SLM STUDENT LOAN TRUST | 0.85% | 01/25/2045 | 315,471 | 317,837 | 315,114 |
| EXXON MOBIL CORPORATION | 4.33% | 03/19/2050 | 200,000 | 213,906 | 245,570 |
| SMB PRIVATE EDUCATION LOAN TRU | 1.07% | 01/15/2053 | 392,135 | 392,109 | 381,672 |
| NAVIENT STUDENT LOAN TRUST | 1.15% | 12/27/2066 | 116,422 | 117,050 | 117,330 |
| NAVIENT STUDENT LOAN TRUST | 0.85% | 03/25/2067 | 977,000 | 977,000 | 968,804 |
| NAVIENT STUDENT LOAN TRUST | 1.00% | 08/26/2069 | 1,019,283 | 1,019,283 | 1,024,574 |
| NAVIENT STUDENT LOAN TRUST | 0.70% | 12/26/2069 | 1,764,706 | 1,764,703 | 1,765,712 |
| | | | $ 7,454,248 | $ 7,647,452 | $ 7,914,501 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE DEBT INSTRUMENTS - OTHER

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) | (c) - DESCRIPTION CORPORATE DEBT INSTRUMENTS - OTHER | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| NATWEST GROUP PLC | 6.13% | 12/15/2022 | $ 225,000 | $ 225,215 | $ 235,328 |
| CAPITAL ONE FINANCIAL CO | 3.50% | 06/15/2023 | 140,000 | 137,509 | 145,072 |
| CIGNA CORP | 3.75% | 07/15/2023 | 72,000 | 71,869 | 74,874 |
| FORD MOTOR CREDIT CO LLC | 4.38% | 08/06/2023 | 1,000,000 | 1,025,437 | 1,040,420 |
| VMWARE INC | 0.60% | 08/15/2023 | 125,000 | 124,869 | 124,124 |
| NATWEST GROUP PLC | 6.00% | 12/19/2023 | 125,000 | 127,338 | 135,830 |
| BANK OF AMERICA CORP | 3.00% | 12/20/2023 | 494,000 | 495,227 | 504,211 |
| TELECOM ITALIA SPA | 5.30% | 05/30/2024 | 625,000 | 679,156 | 657,788 |
| RIO OIL FINANCE TRUST | 9.25% | 07/06/2024 | 479,049 | 509,932 | 513,780 |
| JPMORGAN CHASE + CO | 3.80% | 07/23/2024 | 250,000 | 250,654 | 260,545 |
| OCCIDENTAL PETROLEUM COR | 2.90% | 08/15/2024 | 150,000 | 135,840 | 153,225 |
| BANK OF AMERICA CORP | 4.20% | 08/26/2024 | 100,000 | 99,927 | 107,186 |
| MICROCHIP TECHNOLOGY INC | 0.98% | 09/01/2024 | 275,000 | 275,000 | 269,948 |
| BARCLAYS PLC | 4.38% | 09/11/2024 | 300,000 | 298,022 | 320,574 |
| BNP PARIBAS | 4.25% | 10/15/2024 | 350,000 | 348,952 | 377,461 |
| LLOYDS BANKING GROUP PLC | 4.50% | 11/04/2024 | 350,000 | 350,039 | 377,066 |
| COX COMMUNICATIONS INC | 3.85% | 02/01/2025 | 175,000 | 172,837 | 186,141 |
| DELL INT LLC / EMC CORP | 5.85% | 07/15/2025 | 25,000 | 24,966 | 28,336 |
| IMPERIAL BRANDS FIN PLC | 4.25% | 07/21/2025 | 350,000 | 361,760 | 375,778 |
| FORD MOTOR CREDIT CO LLC | 4.13% | 08/04/2025 | 575,000 | 612,969 | 610,219 |
| CAPITAL ONE FINANCIAL CO | 4.20% | 10/29/2025 | 175,000 | 186,867 | 190,621 |
| ZOETIS INC | 4.50% | 11/13/2025 | 125,000 | 124,711 | 137,605 |
| CIGNA CORP | 4.13% | 11/15/2025 | 50,000 | 49,959 | 54,681 |
| BANK OF AMERICA CORP | 4.45% | 03/03/2026 | 50,000 | 49,701 | 55,048 |
| DOMINION ENERGY INC | 1.45% | 04/15/2026 | 275,000 | 274,879 | 271,816 |
| WELLS FARGO + COMPANY | 4.10% | 06/03/2026 | 75,000 | 75,284 | 81,746 |
| DILLARDS INC | 7.75% | 07/15/2026 | 200,000 | 197,000 | 238,478 |
| OCCIDENTAL PETROLEUM COR | 3.20% | 08/15/2026 | 325,000 | 312,591 | 334,919 |
| VMWARE INC | 1.40% | 08/15/2026 | 150,000 | 149,745 | 147,417 |
| BNP PARIBAS | 4.63% | 03/13/2027 | 950,000 | 1,078,641 | 1,052,999 |
| CIGNA CORP | 7.88% | 05/15/2027 | 89,000 | 116,055 | 115,046 |
| CEMEX SAB DE CV | 7.38% | 06/05/2027 | 425,000 | 469,476 | 467,929 |
| MCDONALD S CORP | 3.50% | 07/01/2027 | 25,000 | 24,879 | 27,111 |
| DELL INT LLC / EMC CORP | 6.10% | 07/15/2027 | 100,000 | 104,125 | 119,282 |
| WELLS FARGO + COMPANY | 4.30% | 07/22/2027 | 175,000 | 174,993 | 194,973 |
| COX COMMUNICATIONS INC | 3.50% | 08/15/2027 | 325,000 | 340,101 | 349,112 |
| JPMORGAN CHASE + CO | 4.25% | 10/01/2027 | 50,000 | 52,841 | 56,088 |
| BANK OF AMERICA CORP | 4.18% | 11/25/2027 | 325,000 | 356,926 | 355,596 |
| JPMORGAN CHASE + CO | 3.63% | 12/01/2027 | 300,000 | 335,958 | 323,256 |
| NORDSTROM INC | 6.95% | 03/15/2028 | 225,000 | 259,223 | 253,406 |
| BAT CAPITAL CORP | 2.26% | 03/25/2028 | 50,000 | 50,000 | 48,722 |
| CVS HEALTH CORP | 4.30% | 03/25/2028 | 29,000 | 28,965 | 32,544 |
| BARCLAYS PLC | 4.84% | 05/09/2028 | 200,000 | 231,476 | 220,316 |
| ELANCO ANIMAL HEALTH INC | 5.90% | 08/28/2028 | 250,000 | 281,782 | 290,000 |
| BAYER US FINANCE II LLC | 4.38% | 12/15/2028 | 475,000 | 554,639 | 530,547 |
| ANHEUSER BUSCH INBEV WOR | 4.75% | 01/23/2029 | 115,000 | 140,018 | 133,853 |
| HCA INC | 4.13% | 06/15/2029 | 75,000 | 74,623 | 82,529 |
| IMPERIAL BRANDS FIN PLC | 3.88% | 07/26/2029 | 950,000 | 1,030,024 | 1,006,164 |
| OCCIDENTAL PETROLEUM COR | 3.50% | 08/15/2029 | 125,000 | 125,426 | 128,413 |
| DOW CHEMICAL CO/THE | 7.38% | 11/01/2029 | 103,000 | 111,863 | 138,584 |
| ABBVIE INC | 3.20% | 11/21/2029 | 140,000 | 141,930 | 149,687 |
| PETROBRAS GLOBAL FINANCE | 5.09% | 01/15/2030 | 249,000 | 259,127 | 258,977 |
| PROSUS NV | 3.68% | 01/21/2030 | 525,000 | 545,248 | 540,309 |
| BOSTON PROPERTIES LP | 2.90% | 03/15/2030 | 450,000 | 462,389 | 458,244 |
| HSBC HOLDINGS PLC | 4.95% | 03/31/2030 | 250,000 | 249,688 | 293,350 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE DEBT INSTRUMENTS - OTHER

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION CORPORATE DEBT INSTRUMENTS - OTHER | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| ORACLE CORP | 2.95% | 04/01/2030 | 125,000 | 124,871 | 126,421 |
| T MOBILE USA INC | 3.88% | 04/15/2030 | 460,000 | 499,462 | 503,125 |
| TRANSCANADA PIPELINES | 4.10% | 04/15/2030 | 675,000 | 704,108 | 752,949 |
| JPMORGAN CHASE + CO | 8.75% | 09/01/2030 | 225,000 | 230,221 | 339,575 |
| JPMORGAN CHASE + CO | 2.74% | 10/15/2030 | 155,000 | 166,115 | 159,259 |
| WELLS FARGO + COMPANY | 2.88% | 10/30/2030 | 80,000 | 85,878 | 83,167 |
| WILLIAMS COMPANIES INC | 3.50% | 11/15/2030 | 275,000 | 285,343 | 292,300 |
| PETROBRAS GLOBAL FINANCE | 5.60% | 01/03/2031 | 250,000 | 281,875 | 264,750 |
| BOSTON PROPERTIES LP | 3.25% | 01/30/2031 | 100,000 | 103,723 | 104,770 |
| WELLS FARGO + COMPANY | 2.57% | 02/11/2031 | 475,000 | 496,674 | 484,909 |
| BANK OF AMERICA CORP | 2.50% | 02/13/2031 | 425,000 | 443,713 | 426,084 |
| BAT CAPITAL CORP | 2.73% | 03/25/2031 | 125,000 | 124,471 | 121,303 |
| CITIGROUP INC | 4.41% | 03/31/2031 | 375,000 | 406,492 | 428,273 |
| T MOBILE USA INC | 3.50% | 04/15/2031 | 275,000 | 281,531 | 286,105 |
| JPMORGAN CHASE + CO | 2.52% | 04/22/2031 | 505,000 | 519,970 | 510,419 |
| JPMORGAN CHASE + CO | 2.96% | 05/13/2031 | 250,000 | 263,057 | 258,843 |
| AT+T INC | 2.75% | 06/01/2031 | 150,000 | 149,759 | 153,038 |
| CEMEX SAB DE CV | 3.88% | 07/11/2031 | 350,000 | 351,313 | 348,716 |
| HSBC HOLDINGS PLC | 2.36% | 08/18/2031 | 200,000 | 198,458 | 195,398 |
| AT+T INC | 8.75% | 11/15/2031 | 120,000 | 166,563 | 175,868 |
| PETROLEOS MEXICANOS | 6.70% | 02/16/2032 | 600,000 | 603,141 | 606,000 |
| CITIGROUP INC | 6.63% | 06/15/2032 | 85,000 | 115,760 | 112,761 |
| CCO HLDGS LLC/CAP CORP | 4.50% | 06/01/2033 | 200,000 | 198,500 | 204,046 |
| ILLINOIS ST | 5.10% | 06/01/2033 | 525,000 | 526,061 | 606,837 |
| UNICREDIT SPA | 7.30% | 04/02/2034 | 250,000 | 250,000 | 299,508 |
| PETROLEOS MEXICANOS | 6.63% | 06/15/2035 | 100,000 | 64,250 | 96,080 |
| UNICREDIT SPA | 5.46% | 06/30/2035 | 500,000 | 532,612 | 544,765 |
| VERIZON COMMUNICATIONS | 4.27% | 01/15/2036 | 150,000 | 146,028 | 175,949 |
| HSBC HOLDINGS PLC | 6.50% | 05/02/2036 | 675,000 | 869,743 | 917,075 |
| ENEL FINANCE INTL NV | 6.80% | 09/15/2037 | 175,000 | 186,195 | 249,060 |
| HSBC HOLDINGS PLC | 6.50% | 09/15/2037 | 150,000 | 182,234 | 207,533 |
| WALT DISNEY COMPANY/THE | 6.65% | 11/15/2037 | 100,000 | 139,368 | 148,225 |
| CVS HEALTH CORP | 4.78% | 03/25/2038 | 125,000 | 132,562 | 152,156 |
| TELECOM ITALIA CAPITAL | 7.72% | 06/04/2038 | 255,000 | 264,763 | 299,696 |
| DOW CHEMICAL CO/THE | 9.40% | 05/15/2039 | 44,000 | 78,437 | 78,831 |
| TIME WARNER CABLE LLC | 6.75% | 06/15/2039 | 125,000 | 130,556 | 170,305 |
| OCCIDENTAL PETROLEUM COR | 4.30% | 08/15/2039 | 125,000 | 67,344 | 124,659 |
| ENEL FINANCE INTL NV | 6.00% | 10/07/2039 | 150,000 | 156,154 | 199,262 |
| ABBVIE INC | 4.05% | 11/21/2039 | 175,000 | 177,956 | 200,783 |
| CVS HEALTH CORP | 4.13% | 04/01/2040 | 75,000 | 73,736 | 86,107 |
| UNITED PARCEL SERVICE | 5.20% | 04/01/2040 | 100,000 | 111,579 | 134,730 |
| T MOBILE USA INC | 4.38% | 04/15/2040 | 50,000 | 49,013 | 57,160 |
| BAT CAPITAL CORP | 3.73% | 09/25/2040 | 25,000 | 25,000 | 24,014 |
| PETROBRAS GLOBAL FINANCE | 7.25% | 03/17/2044 | 50,000 | 49,098 | 55,375 |
| PETROLEOS MEXICANOS | 6.38% | 01/23/2045 | 125,000 | 125,794 | 108,563 |
| KINDER MORGAN INC | 5.55% | 06/01/2045 | 475,000 | 472,035 | 600,552 |
| CHARTER COMM OPT LLC/CAP | 6.48% | 10/23/2045 | 800,000 | 896,147 | 1,092,472 |
| CHARTER COMM OPT LLC/CAP | 5.38% | 05/01/2047 | 50,000 | 49,984 | 59,681 |
| HCA INC | 5.50% | 06/15/2047 | 75,000 | 72,820 | 98,141 |
| PETROLEOS MEXICANOS | 6.75% | 09/21/2047 | 125,000 | 108,188 | 110,938 |
| PETROLEOS MEXICANOS | 6.35% | 02/12/2048 | 54,000 | 57,798 | 46,224 |
| FEDEX CORP | 4.05% | 02/15/2048 | 100,000 | 94,755 | 112,266 |
| KINDER MORGAN INC | 5.20% | 03/01/2048 | 175,000 | 195,666 | 216,151 |
| AT+T INC | 4.50% | 03/09/2048 | 43,000 | 48,903 | 50,132 |
| CVS HEALTH CORP | 5.05% | 03/25/2048 | 190,000 | 249,445 | 248,414 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE DEBT INSTRUMENTS - OTHER

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION CORPORATE DEBT INSTRUMENTS - OTHER | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| CHARTER COMM OPT LLC/CAP | 5.75% | 04/01/2048 | 700,000 | 896,056 | 872,942 |
| ANHEUSER BUSCH INBEV WOR | 5.55% | 01/23/2049 | 250,000 | 330,363 | 345,865 |
| PETROBRAS GLOBAL FINANCE | 6.90% | 03/19/2049 | 375,000 | 389,325 | 399,375 |
| HCA INC | 5.25% | 06/15/2049 | 100,000 | 106,985 | 128,432 |
| BAT CAPITAL CORP | 4.76% | 09/06/2049 | 125,000 | 133,850 | 134,219 |
| ABBVIE INC | 4.25% | 11/21/2049 | 175,000 | 166,512 | 210,380 |
| PETROLEOS MEXICANOS | 7.69% | 01/23/2050 | 1,150,000 | 1,015,285 | 1,109,750 |
| ORACLE CORP | 3.60% | 04/01/2050 | 100,000 | 113,926 | 97,914 |
| T MOBILE USA INC | 4.50% | 04/15/2050 | 25,000 | 24,894 | 29,260 |
| FEDEX CORP | 5.25% | 05/15/2050 | 75,000 | 74,288 | 100,335 |
| PETROBRAS GLOBAL FINANCE | 6.75% | 06/03/2050 | 250,000 | 301,720 | 261,563 |
| BAT CAPITAL CORP | 3.98% | 09/25/2050 | 125,000 | 124,911 | 120,155 |
| DOW CHEMICAL CO/THE | 3.60% | 11/15/2050 | 75,000 | 77,915 | 81,216 |
| SOUTHERN CO | 4.00% | 01/15/2051 | 325,000 | 325,438 | 332,313 |
| WELLS FARGO + COMPANY | 5.01% | 04/04/2051 | 200,000 | 200,000 | 273,106 |
| SOUTHERN CO | 3.75% | 09/15/2051 | 400,000 | 398,906 | 400,000 |
| AT+T INC | 3.50% | 09/15/2053 | 273,000 | 272,520 | 275,430 |
| DOMINION ENERGY INC | 5.75% | 10/01/2054 | 250,000 | 251,938 | 266,740 |
| AT+T INC | 3.55% | 09/15/2055 | 254,000 | 245,548 | 254,922 |
| AT+T INC | 3.65% | 09/15/2059 | 504,000 | 492,303 | 508,969 |
| PETROLEOS MEXICANOS | 6.95% | 01/28/2060 | 14,000 | 16,055 | 12,495 |
| VODAFONE GROUP PLC | 7.00% | 04/04/2079 | 375,000 | 408,500 | 453,554 |
| SHENKMAN PRIMUS HIGH YIELD BOND FUND - CORPORATE DEBT INSTRUMENTS | | | - | 53,388,167 | 53,890,666 |
| | | | $ 33,321,049 | $ 88,489,298 | $ 90,706,598 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| 2020 BULKERS LTD | 1,415 | $ 21,841 | $ 17,972 |
| 77 BANK LTD/THE | 3,000 | 45,045 | 34,779 |
| AARON S CO INC/THE | 900 | 17,862 | 22,185 |
| ABBVIE INC | 6,700 | 710,005 | 907,180 |
| ABOU KIR FERTIL + CHEMICALS | 46,904 | 64,903 | 64,191 |
| ABSA BANK LTD PREFERENCE | 510 | 32,463 | 25,963 |
| ABSOLUTE SOFTWARE CORPORATIO | 1,200 | 16,300 | 11,267 |
| ABU DHABI ISLAMIC BANK/EGYPT | 24,925 | 23,482 | 25,020 |
| ACADIA PHARMACEUTICALS INC | 8,242 | 217,853 | 192,368 |
| ACCESSO TECHNOLOGY GROUP PLC | 61 | 688 | 676 |
| ACER INC | 77,000 | 65,971 | 84,745 |
| ACI WORLDWIDE INC | 41,591 | 1,390,961 | 1,443,208 |
| ACUITY BRANDS INC | 2,100 | 246,950 | 444,612 |
| AD PEPPER MEDIA INTL NV | 4,816 | 33,535 | 32,532 |
| ADARO ENERGY TBK PT | 116,800 | 14,379 | 18,439 |
| ADBRI LTD | 30,475 | 77,844 | 62,482 |
| ADVA OPTICAL NETWORKING SE | 1,636 | 9,517 | 26,195 |
| ADVANCE AUTO PARTS INC | 3,231 | 343,074 | 775,052 |
| ADVANCED DRAINAGE SYSTEMS IN | 7,929 | 875,344 | 1,079,375 |
| ADVANCED INFORMATION TE NVDR | 43,000 | 30,124 | 51,167 |
| ADVANCED INTERNATIONAL MULTI | 78,000 | 182,540 | 211,443 |
| ADVANCED PROCESS SYSTEMS COR | 1,964 | 52,280 | 41,634 |
| ADVANTAGE ENERGY LTD | 41,300 | 151,940 | 242,278 |
| AECOM | 13,401 | 543,124 | 1,036,567 |
| AEON FINANCIAL SERVICE CO LT | 900 | 13,598 | 9,707 |
| AERIE PHARMACEUTICALS INC | 600 | 14,597 | 4,212 |
| AFLAC INC | 10,700 | 450,263 | 624,773 |
| AFRICAN RAINBOW MINERALS LTD | 649 | 12,851 | 9,402 |
| AGCO CORP | 4,600 | 417,951 | 533,692 |
| AGF MANAGEMENT LTD CLASS B | 18,779 | 101,813 | 124,138 |
| AGFA GEVAERT NV | 2,689 | 13,161 | 11,590 |
| AGILE GROUP HOLDINGS LTD | 200 | 284 | 109 |
| AGILENT TECHNOLOGIES INC | 9,878 | 798,329 | 1,577,023 |
| AGREE REALTY CORP | 6,594 | 445,642 | 470,548 |
| AIMIA INC | 2,205 | 6,658 | 8,641 |
| AKWEL | 382 | 6,981 | 9,448 |
| AL BARAKA BANK EGYPT E.S.C | 28,676 | 14,311 | 25,737 |
| ALANTRA PARTNERS SA | 894 | 15,235 | 15,555 |
| ALBAAD MASSUOT YITZHAK LTD | 1 | 8 | 5 |
| ALBIREO PHARMA INC | 6,891 | 258,124 | 160,491 |
| ALGOMA CENTRAL CORP | 2,500 | 28,034 | 33,706 |
| ALIBABA GROUP HOLDING LTD | 56,612 | 1,456,875 | 863,380 |
| ALIBABA GROUP HOLDING SP ADR | 4,592 | 714,662 | 545,484 |
| ALIGHT INC CLASS A | 58,517 | 604,824 | 632,569 |
| ALIOR BANK SA | 7,219 | 65,742 | 97,975 |
| ALKEMY SPA | 1,231 | 22,897 | 31,778 |
| ALLEGION PLC | 2,585 | 205,150 | 342,357 |
| ALLERGY THERAPEUTICS PLC | 42,468 | 10,732 | 18,550 |
| ALLIANZ MALAYSIA BHD | 5,900 | 13,960 | 18,213 |
| ALLISON TRANSMISSION HOLDING | 5,500 | 224,479 | 199,925 |
| ALLSCRIPTS HEALTHCARE SOLUTI | 20,858 | 328,415 | 384,830 |
| ALLSTATE CORP | 5,600 | 566,466 | 658,840 |
| ALLY FINANCIAL INC | 6,800 | 210,090 | 323,748 |
| ALMA MEDIA CORP | 2,001 | 14,492 | 24,621 |
| ALMIRALL SA | 11,942 | 221,822 | 153,459 |
| ALPHA CORP | 1,400 | 14,624 | 14,164 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ALPHABET INC CL A | 773 | 600,382 | 2,239,412 |
| ALS LTD | 4,877 | 46,014 | 46,379 |
| ALTRA INDUSTRIAL MOTION CORP | 11,260 | 632,457 | 580,678 |
| ALTRIA GROUP INC | 7,100 | 308,524 | 336,469 |
| ALUMETAL SA | 828 | 12,434 | 11,628 |
| ALUMINA LTD | 87,575 | 145,972 | 118,747 |
| ALVIVA HOLDINGS LTD | 31,628 | 38,497 | 32,896 |
| AMDOCS LTD | 5,194 | 333,046 | 388,719 |
| AMERICAN AIRLINES GROUP INC | 3,100 | 48,887 | 55,676 |
| AMERICAN ASSETS TRUST INC | 5,600 | 146,636 | 210,168 |
| AMERICAN AXLE + MFG HOLDINGS | 6,500 | 54,210 | 60,645 |
| AMERICAN EXPRESS CO | 9,467 | 731,963 | 1,548,801 |
| AMERICAN FINANCIAL GROUP INC | 5,000 | 396,905 | 686,600 |
| AMERICAN INTERNATIONAL GROUP | 5,700 | 306,012 | 324,102 |
| AMERICAN SHIPPING COMPANY AS | 1,031 | 3,769 | 3,765 |
| AMERICAN WATER WORKS CO INC | 2,546 | 75,396 | 480,838 |
| AMERIPRISE FINANCIAL INC | 3,500 | 617,736 | 1,055,810 |
| AMGEN INC | 2,600 | 605,266 | 584,922 |
| AMICA SA | 269 | 10,196 | 7,542 |
| AMICUS THERAPEUTICS INC | 18,202 | 319,330 | 210,233 |
| AMIR MARKETING AND INVEST | 1,069 | 14,221 | 13,996 |
| AMKOR TECHNOLOGY INC | 12,500 | 158,812 | 309,875 |
| AMN HEALTHCARE SERVICES INC | 2,670 | 147,179 | 326,621 |
| ANALOGUE HOLDINGS LTD | 68,000 | 13,072 | 15,525 |
| ANGANG STEEL CO LTD H | 398,000 | 175,910 | 177,143 |
| ANNALY CAPITAL MANAGEMENT IN | 26,000 | 201,504 | 203,320 |
| ANTHEM INC | 6,608 | 1,912,721 | 3,063,072 |
| AP THAILAND PCL NVDR | 1,460,100 | 392,425 | 417,421 |
| APAC RESOURCES LTD | 180,000 | 30,246 | 26,320 |
| API GROUP CORP | 21,853 | 469,677 | 563,152 |
| APONTIS PHARMA AG | 520 | 11,733 | 11,117 |
| APPLIED MATERIALS INC | 5,900 | 420,737 | 928,424 |
| APTIV PLC | 13,657 | 1,233,632 | 2,252,722 |
| ARATA CORP | 2,200 | 70,841 | 84,156 |
| ARCBEST CORP | 3,100 | 108,546 | 371,535 |
| ARCOSA INC | 9,003 | 252,689 | 474,458 |
| ARCTIC PAPER SA | 7,476 | 13,772 | 15,396 |
| ARDENT LEISURE GROUP LTD | 137 | 150 | 134 |
| ARENA PHARMACEUTICALS INC | 2,453 | 172,809 | 227,982 |
| ARES CAPITAL CORP | 8,300 | 119,312 | 175,877 |
| ARGENX SE ADR | 6,881 | 1,133,467 | 2,409,657 |
| ARGO GRAPHICS INC | 7,600 | 109,691 | 212,844 |
| ARISTA NETWORKS INC | 15,446 | 1,038,839 | 2,220,362 |
| ARJO AB B SHARES | 2,043 | 7,048 | 25,002 |
| ARKEMA | 94 | 12,245 | 13,239 |
| ARMSTRONG WORLD INDUSTRIES | 7,708 | 665,091 | 895,053 |
| ARROW ELECTRONICS INC | 4,800 | 430,174 | 644,496 |
| ASAHI NET INC | 3,400 | 15,576 | 17,892 |
| ASCENDIS PHARMA A/S ADR | 21,883 | 2,909,070 | 2,943,920 |
| ASCENDIS PHARMA A/S ADR | 1,893 | 238,137 | 254,665 |
| ASCOM HOLDING AG REG | 1,448 | 18,585 | 18,689 |
| ASHLAND GLOBAL HOLDINGS INC | 3,905 | 299,516 | 420,412 |
| ASIA CEMENT CHINA HOLDINGS | 136,225 | 150,404 | 87,016 |
| ASIA HOLDINGS CO LTD | 795 | 64,571 | 85,603 |
| ASIA PLUS GROUP HDS PCL NVDR | 231,200 | 27,229 | 23,947 |
| ASKA PHARMACEUTICAL HOLDINGS | 1,100 | 18,615 | 9,619 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ASM INTERNATIONAL NV | 2,377 | 500,247 | 1,050,704 |
| ASM PACIFIC TECHNOLOGY | 63,900 | 803,315 | 690,529 |
| ASPO OYJ | 1,666 | 12,278 | 21,522 |
| ASSECO SOUTH EASTERN EUROPE | 1,440 | 17,291 | 18,436 |
| ASSYSTEM | 620 | 25,701 | 26,440 |
| ASTRA OTOPARTS TBK PT | 263,800 | 18,720 | 21,378 |
| ASTRO MALAYSIA HOLDINGS BHD | 73,300 | 17,829 | 16,715 |
| ASTRONICS CORP | 40,700 | 664,014 | 488,400 |
| AT+T INC | 49,000 | 1,363,116 | 1,205,400 |
| ATKORE INC | 5,300 | 130,035 | 589,307 |
| ATLAS AIR WORLDWIDE HOLDINGS | 2,000 | 135,490 | 188,240 |
| ATLASSIAN CORP PLC CLASS A | 9,912 | 579,617 | 3,779,346 |
| ATRIA OYJ | 2,089 | 22,942 | 27,367 |
| AURELIA METALS LTD | 175,446 | 88,130 | 52,299 |
| AURELIUS EQUITY OPPORTUNITIE | 615 | 18,224 | 18,911 |
| AUSTRALIAN VINTAGE LTD | 482 | 267 | 287 |
| AUTOMOTIVE PROPERTIES REAL E | 7,300 | 74,179 | 86,399 |
| AUTONATION INC | 2,800 | 169,610 | 327,180 |
| AUTOSTRADE MERIDIONALI SPA | 438 | 14,301 | 13,897 |
| AVANTOR INC | 18,495 | 322,403 | 779,379 |
| AVARGA LTD | 27,000 | 4,846 | 5,508 |
| AXIS CAPITAL HOLDINGS LTD | 2,400 | 120,936 | 130,728 |
| AXOS FINANCIAL INC | 18,538 | 637,160 | 1,036,459 |
| AZENTA INC | 10,740 | 1,234,493 | 1,107,401 |
| AZIMUT HOLDING SPA | 15,956 | 242,957 | 447,823 |
| AZZ INC | 25,154 | 978,334 | 1,390,765 |
| BACHEM HOLDING AG REG B | 474 | 367,236 | 372,479 |
| BAE SYSTEMS PLC | 168,311 | 1,148,133 | 1,253,373 |
| BALL CORP | 14,521 | 1,189,548 | 1,397,937 |
| BANCA GENERALI SPA | 1,135 | 45,884 | 50,015 |
| BANGCHAK CORP PCL NVDR | 151,800 | 130,143 | 114,742 |
| BANK MILLENNIUM SA | 35,757 | 45,194 | 72,705 |
| BANK OCBC NISP TBK PT | 351,700 | 16,836 | 16,533 |
| BANK OF AMERICA CORP | 20,700 | 567,192 | 920,943 |
| BANK OF NEW YORK MELLON CORP | 36,963 | 1,198,631 | 2,146,811 |
| BANK OF NEW YORK MELLON CORP | 13,000 | 477,100 | 755,040 |
| BANK OZK | 10,876 | 465,155 | 506,060 |
| BARLOWORLD LTD | 2,441 | 9,420 | 22,998 |
| BASE RESOURCES LTD | 160,053 | 34,686 | 38,983 |
| BASILEA PHARMACEUTICA REG | 8 | 402 | 359 |
| BASLER AG | 100 | 12,955 | 18,059 |
| BATH + BODY WORKS INC | 7,665 | 466,061 | 534,940 |
| BATU KAWAN BHD | 2,800 | 13,115 | 15,257 |
| BE SEMICONDUCTOR INDUSTRIES | 226 | 17,229 | 19,281 |
| BELIMO HOLDING AG REG | 97 | 59,181 | 61,746 |
| BELLEVUE GROUP AG | 306 | 12,677 | 13,837 |
| BELVOIR GROUP PLC | 6,846 | 27,040 | 24,294 |
| BERGMAN + BEVING AKTIEBOLAG | 967 | 15,774 | 16,106 |
| BERKSHIRE HATHAWAY INC CL B | 5,972 | 1,136,050 | 1,785,628 |
| BERRY GLOBAL GROUP INC | 7,760 | 400,074 | 572,533 |
| BEST BUY CO INC | 2,900 | 276,268 | 294,640 |
| BEST WORLD INTERNATIONAL LTD | 11 | 8 | - |
| BETSSON AB B | 1,448 | 12,815 | 8,740 |
| BIGCOMMERCE HOLDINGS SER 1 | 20,737 | 1,144,969 | 733,468 |
| BILL.COM HOLDINGS INC | 4,569 | 709,219 | 1,138,366 |
| BIOGAIA AB B SHS | 1,821 | 92,782 | 104,185 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| BIOGEN INC | 610 | 165,065 | 146,351 |
| BIOHAVEN PHARMACEUTICAL HOLD | 5,736 | 457,496 | 790,478 |
| BIOMERIEUX | 1,819 | 235,246 | 258,364 |
| BIONTECH SE ADR | 2,679 | 173,608 | 690,646 |
| BIOSYENT INC | 1,000 | 7,760 | 6,488 |
| BIOTAGE AB | 1,359 | 32,736 | 39,327 |
| BIRD CONSTRUCTION INC | 833 | 6,588 | 6,476 |
| BJORN BORG AB | 3,718 | 17,253 | 20,656 |
| BLACK DIAMOND GROUP LTD | 9,100 | 29,331 | 31,915 |
| BLOOMSBURY PUBLISHING PLC | 3,190 | 6,853 | 15,555 |
| BLUE LABEL TELECOMS LTD | 84,381 | 16,446 | 26,911 |
| BML INC | 900 | 27,927 | 27,941 |
| BMTC GROUP INC | 78 | 886 | 974 |
| BNK FINANCIAL GROUP INC | 13,230 | 72,806 | 93,486 |
| BOARDWALK REAL ESTATE INVEST | 1,200 | 36,272 | 52,089 |
| BOBST GROUP SA REG | 598 | 46,758 | 54,408 |
| BONAVA AB B SHARES | 408 | 3,589 | 3,526 |
| BONTERRA ENERGY CORP | 14,800 | 67,837 | 66,317 |
| BOOKING HOLDINGS INC | 219 | 379,660 | 525,431 |
| BORGWARNER INC | 4,400 | 174,394 | 198,308 |
| BOSSARD HOLDING AG REG A | 99 | 25,212 | 35,693 |
| BOUSTEAD PLANTATIONS BHD | 226,500 | 35,560 | 35,340 |
| BOUSTEAD SINGAPORE LTD | 20,100 | 12,706 | 14,835 |
| BP PLASTICS HOLDING BHD | 10,700 | - | 1,156 |
| BP PLASTICS HOLDING BHD | 80,250 | 33,242 | 29,665 |
| BPOST SA | 13,772 | 134,155 | 120,124 |
| BRADESPAR SA PREF | 2,033 | 25,732 | 9,121 |
| BRC ASIA LTD | 26,200 | 29,795 | 29,928 |
| BRDR A + O JOHANSEN AS PFD | 250 | 23,961 | 51,986 |
| BRIDGEMARQ REAL ESTATE SERVI | 4,530 | 60,621 | 58,492 |
| BRISTOL MYERS SQUIBB CO | 11,900 | 732,888 | 741,965 |
| BRP INC/CA SUB VOTING | 7,300 | 433,171 | 640,336 |
| BTS GROUP AB B SHARES | 502 | 22,771 | 22,345 |
| BUDIMEX | 143 | 12,013 | 8,054 |
| BUFAB AB | 2,239 | 35,915 | 110,542 |
| BUKIT ASAM TBK PT | 1,858,900 | 357,771 | 353,455 |
| BURELLE SA | 40 | 23,522 | 29,567 |
| BURKHALTER HOLDING AG | 123 | 8,519 | 8,505 |
| BURLINGTON STORES INC | 7,871 | 1,740,215 | 2,294,475 |
| BUSINESS ENGINEERING CORP | 600 | 11,173 | 20,112 |
| BYC CO LTD | 45 | 15,541 | 17,054 |
| C RAD AB B SHS | 2,919 | 21,252 | 17,958 |
| C.I. HOLDINGS BERHAD | 13,900 | 13,257 | 9,509 |
| CABBEEN FASHION LTD | 147,811 | 51,426 | 53,275 |
| CABOT CORP | 1,600 | 71,808 | 89,920 |
| CACI INTERNATIONAL INC CL A | 800 | 170,764 | 215,368 |
| CALIDA HOLDING REG | 429 | 12,731 | 22,883 |
| CALIX LTD | 27 | 35 | 131 |
| CAMBIUM NETWORKS CORP | 6,800 | 198,940 | 174,284 |
| CAMTEK LTD | 1,763 | 30,867 | 81,169 |
| CANACCORD GENUITY GROUP INC | 3,495 | 16,158 | 41,725 |
| CANFOR CORP | 25,800 | 524,477 | 654,830 |
| CANFOR PULP PRODUCTS INC | 7,827 | 36,829 | 41,454 |
| CAP SA | 780 | 10,413 | 7,599 |
| CAPCOM CO LTD | 24,000 | 319,898 | 564,387 |
| CAPITAL ONE FINANCIAL CORP | 2,100 | 207,585 | 304,689 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| CAPMAN OYJ B SHS | 4,690 | 15,134 | 16,187 |
| CAPSTONE MINING CORP | 2,900 | 11,773 | 12,811 |
| CARASSO MOTORS LTD | 7,555 | 43,925 | 49,592 |
| CARDINAL ENERGY LTD | 58,100 | 172,384 | 196,404 |
| CARDINAL HEALTH INC | 1,600 | 85,696 | 82,384 |
| CAREIUM AB | 44 | - | 147 |
| CARINDALE PROPERTY TRUST | 3,707 | 12,902 | 12,802 |
| CARL ZEISS MEDITEC AG BR | 1,367 | 250,465 | 287,359 |
| CARNIVAL CORP | 2,200 | 47,652 | 44,264 |
| CARS.COM INC | 4,900 | 55,370 | 78,841 |
| CASTRO MODEL LTD | 807 | 27,508 | 31,114 |
| CATALENT INC | 22,093 | 1,261,424 | 2,828,567 |
| CATALENT INC | 6,047 | 167,486 | 774,197 |
| CATELLA AB | 7,614 | 16,586 | 39,610 |
| CAYMAN ENGLEY INDUSTRIAL CO | 5,000 | 17,551 | 15,108 |
| CB INDUSTRIAL PRODUCT HLDG | 43,600 | 12,301 | 12,245 |
| CBO TERRITORIA | 2,839 | 12,188 | 11,816 |
| CCC SA | 825 | 24,307 | 21,595 |
| CDK GLOBAL INC | 7,692 | 390,201 | 321,064 |
| CECEP COSTIN NEW MATERIALS | 150,000 | 22,108 | 11,544 |
| CEGEDIM SA | 611 | 20,933 | 16,676 |
| CELANESE CORP | 3,700 | 488,042 | 621,822 |
| CELESTICA INC | 1,900 | 16,625 | 21,209 |
| CEMBRE SPA | 307 | 9,457 | 11,940 |
| CEMENTOS ARGOS SA | 58,133 | 89,954 | 87,271 |
| CENTOGENE NV | 1,123 | 11,853 | 5,761 |
| CENTURY CASINOS INC | 29,726 | 241,485 | 362,063 |
| CERIDIAN HCM HOLDING INC | 20,222 | 1,539,048 | 2,112,390 |
| CES ENERGY SOLUTIONS CORP | 5,781 | 4,237 | 9,291 |
| CESKA ZBROJOVKA GROUP SE | 1,609 | 31,529 | 37,405 |
| CEVA INC | 12,768 | 578,053 | 552,088 |
| CEWE STIFTUNG + CO KGAA | 612 | 39,045 | 89,362 |
| CHAMPION IRON LTD | 3,201 | 14,473 | 12,660 |
| CHAMPIONX CORP | 22,298 | 482,321 | 450,643 |
| CHANGAN MINSHENG APLL LOGI H | 32,000 | 21,735 | 7,758 |
| CHANNELADVISOR CORP | 11,535 | 255,566 | 284,684 |
| CHAOWEI POWER HOLDINGS LTD | 172,000 | 63,063 | 45,006 |
| CHARACTER GROUP PLC | 7,129 | 50,717 | 60,349 |
| CHART INDUSTRIES INC | 3,584 | 224,866 | 571,612 |
| CHASE CORP | 4,400 | 444,444 | 438,064 |
| CHECKIT PLC | 30,293 | 20,658 | 20,925 |
| CHEGG INC | 10,010 | 753,013 | 307,307 |
| CHEMOMETEC A/S | 2,403 | 283,913 | 306,058 |
| CHEMOURS CO/THE | 2,500 | 61,975 | 83,900 |
| CHEN HSONG HOLDINGS | 56,000 | 19,683 | 17,239 |
| CHESNARA PLC | 1,192 | 4,662 | 4,601 |
| CHEVALIER INTERNATIONAL HOLD | 6,000 | 9,663 | 7,196 |
| CHIA CHANG CO LTD | 20,000 | 20,568 | 36,361 |
| CHICONY ELECTRONICS CO LTD | 40,000 | 119,498 | 118,987 |
| CHICONY POWER TECHNOLOGY CO | 17,000 | 33,679 | 47,989 |
| CHIEN KUO CONSTRUCTION CO | 26,000 | 11,154 | 13,250 |
| CHINA BLUECHEMICAL LTD H | 376,000 | 80,104 | 104,655 |
| CHINA DONGXIANG GROUP CO | 1,224,000 | 135,154 | 106,758 |
| CHINA GENERAL PLASTICS | 95,600 | 147,884 | 119,902 |
| CHINA HANKING HOLDINGS LTD | 107,000 | 25,800 | 18,391 |
| CHINA INDEX HDS ADR | 8,136 | 18,695 | 7,892 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| CHINA MERCHANTS CHINA DIRECT | 40,000 | 62,583 | 48,023 |
| CHINA OIL AND GAS GROUP LTD | 340,000 | 18,531 | 20,497 |
| CHINA ORIENTAL GROUP CO LTD | 162,000 | 58,153 | 48,831 |
| CHINA RENEWABLE ENERGY INVES | 179,138 | 8,073 | 7,697 |
| CHINA SOUTH CITY HOLDINGS | 20,100 | 2,265 | 1,882 |
| CHINA STARCH HOLDINGS LTD | 520,000 | 11,282 | 14,140 |
| CHINA SUNSHINE PAPER HLDGS | 364,000 | 90,777 | 108,318 |
| CHINA SUNSINE CHEMICAL HLDGS | 42,400 | 7,532 | 15,254 |
| CHINO CORP | 1,000 | 13,331 | 14,537 |
| CHIPMOS TECHNOLOGIES INC | 24,000 | 36,916 | 42,159 |
| CHIPOTLE MEXICAN GRILL INC | 1,275 | 957,222 | 2,229,019 |
| CHOSUN REFRACTORIES | 180 | 13,892 | 12,265 |
| CHOW SANG SANG HLDG | 9,000 | 14,510 | 12,583 |
| CHUBU NIPPON BROADCASTING CO | 1,000 | 5,840 | 4,689 |
| CHULARAT HOSPITAL PCL NVDR | 2,076,100 | 237,001 | 227,467 |
| CHURCHILL CHINA PLC | 1,654 | 24,420 | 39,485 |
| CHURCHILL DOWNS INC | 3,451 | 352,967 | 831,346 |
| CIA DE DISTRIBUCION INTEGRAL | 434 | 11,118 | 8,652 |
| CIA ENERGETICA MINAS GER PRF | 20,600 | 48,637 | 48,486 |
| CIA FERRO LIGAS DA BAHIA FE | 15,000 | 95,139 | 131,472 |
| CIA PARANAENSE DE ENERGI PFB | 30,500 | 35,959 | 35,264 |
| CIA SANEAMENTO MINAS GERAIS | 3,800 | 13,017 | 8,671 |
| CIE FINANCIERE RICHEMO A REG | 12,260 | 819,951 | 1,842,734 |
| CIE FINANCIERE RICHEMO A REG | 72,830 | - | 79,932 |
| CIECH SA | 2,231 | 27,141 | 23,249 |
| CIGNA CORP | 3,000 | 616,899 | 688,890 |
| CIMPRESS PLC | 2,859 | 379,310 | 204,733 |
| CIPHER PHARMACEUTICALS INC | 4,035 | 31,766 | 4,504 |
| CISCO SYSTEMS INC | 16,200 | 668,772 | 1,026,594 |
| CITIGROUP CAPITAL XIII | 15,044 | 404,731 | 419,728 |
| CITIGROUP INC | 27,984 | 1,509,902 | 1,689,954 |
| CITIGROUP INC | 19,600 | 1,174,650 | 1,183,644 |
| CITIZENS FINANCIAL GROUP | 12,600 | 384,620 | 595,350 |
| CJ CORP | 136 | 13,274 | 9,541 |
| CLARKSON PLC | 525 | 26,345 | 27,590 |
| CLASQUIN | 224 | 17,828 | 19,360 |
| CLEARVISE AG | 5,327 | 12,785 | 12,843 |
| CLINUVEL PHARMACEUTICALS LTD | 8,056 | 165,076 | 159,372 |
| CLIQ DIGITAL AG | 611 | 23,331 | 17,162 |
| CMIC HOLDINGS CO LTD | 1,000 | 13,929 | 13,295 |
| CML MICROSYSTEMS PLC | 639 | 6,149 | 3,505 |
| CNO FINANCIAL GROUP INC | 7,600 | 138,282 | 181,184 |
| CNX RESOURCES CORP | 82,700 | 1,114,003 | 1,137,125 |
| COASTAL CONTRACTS BHD | 42,800 | 9,448 | 14,075 |
| COCA COLA CONSOLIDATED INC | 997 | 297,435 | 617,332 |
| CODAN LTD | 24,168 | 34,072 | 163,941 |
| COGECO INC | 1,066 | 40,793 | 68,552 |
| COGNEX CORP | 11,254 | 602,429 | 875,111 |
| COGNOR SA | 19,393 | 13,041 | 18,525 |
| COGNYTE SOFTWARE LTD | 5,990 | 164,148 | 93,863 |
| COGSTATE LTD | 23,632 | 41,279 | 41,580 |
| COLFAX CORP | 43,585 | 1,494,813 | 2,003,602 |
| COLFAX CORP | 7,641 | 213,933 | 351,257 |
| COLLEGIUM PHARMACEUTICAL INC | 13,119 | 252,992 | 245,063 |
| COLUMBUS A/S | 8,506 | 9,300 | 12,407 |
| COMARCH SA | 102 | 4,867 | 4,555 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| COMBINED MOTOR HOLDINGS LTD | 3,046 | 6,382 | 4,752 |
| COMCAST CORP CLASS A | 14,600 | 689,190 | 734,818 |
| COMET HOLDING AG REG | 55 | 18,106 | 20,312 |
| COMMVAULT SYSTEMS INC | 13,268 | 659,653 | 914,431 |
| COMPAGNIE FINANCIERE TRAD BR | 193 | 14,023 | 22,135 |
| COMPAL ELECTRONICS | 266,000 | 215,305 | 232,667 |
| COMPASS GROUP PLC | 91,989 | 1,275,547 | 2,057,055 |
| COMPUTACENTER PLC | 450 | 5,062 | 17,737 |
| COMPUTER ENGINEER + CONSULT | 400 | 8,775 | 3,772 |
| COMPUTIME GROUP LTD | 32,000 | 5,682 | 3,817 |
| CONAGRA BRANDS INC | 13,500 | 482,143 | 461,025 |
| CONCENTRADORA FIBRA DANHOS S | 7,852 | 9,084 | 8,863 |
| CONCENTRIX CORP | 6,740 | 465,207 | 1,203,899 |
| CONSENSUS CLOUD SOLUTION | 4,209 | 126,229 | 243,575 |
| CONSENSUS CLOUD SOLUTION | 533 | 19,342 | 30,845 |
| CONSORCIO ARA S.A.B. SER | 144,093 | 17,257 | 30,206 |
| COPRO HOLDINGS CO LTD | 1,800 | 19,668 | 20,961 |
| CORE CORP | 900 | 12,975 | 12,950 |
| COSTAMARE INC | 2,385 | 32,504 | 30,170 |
| COUSINS PROPERTIES INC | 5,200 | 152,282 | 209,456 |
| CRANE CO | 5,530 | 336,382 | 562,567 |
| CRCC HIGH TECH EQUIPMENT C H | 146,000 | 32,506 | 17,416 |
| CREDIT AGRICOLE EGYPT | 61,805 | 28,146 | 33,125 |
| CREO CO LTD | 1,000 | 12,577 | 9,639 |
| CROWN HOLDINGS INC | 3,100 | 326,513 | 342,922 |
| CSG SYSTEMS INTL INC | 4,000 | 166,620 | 230,480 |
| CTI ENGINEERING CO LTD | 800 | 7,994 | 17,354 |
| CUCKOO HOLDINGS CO LTD | 570 | 9,491 | 9,182 |
| CUMMINS INC | 2,480 | 519,173 | 540,987 |
| CURTISS WRIGHT CORP | 1,800 | 176,121 | 249,606 |
| CVS GROUP PLC | 511 | 17,134 | 15,504 |
| CVS HEALTH CORP | 10,954 | 685,963 | 1,130,015 |
| CYBERPOWER SYSTEMS INC | 5,000 | 14,655 | 12,524 |
| D/S NORDEN | 2,725 | 40,009 | 69,331 |
| DA CIN CONSTRUCTION CO LTD | 3,768 | 3,331 | 4,460 |
| DAE HYUN CO LTD | 13,692 | 32,951 | 26,376 |
| DAESANG CORPORATION | 408 | 8,781 | 7,997 |
| DAESANG HOLDINGS CO LTD | 5,462 | 40,618 | 44,569 |
| DAEWON SAN UP CO LTD | 14,174 | 89,799 | 82,153 |
| DAIHATSU DIESEL MFG CO LTD | 1,700 | 7,556 | 7,736 |
| DAIHEN CORP | 1,600 | 53,760 | 66,345 |
| DAIICHI JITSUGYO CO LTD | 500 | 15,716 | 21,493 |
| DAIKOKU DENKI CO LTD | 1,100 | 10,160 | 11,558 |
| DAISHIN SECURITIES CO LTD | 597 | 8,782 | 9,366 |
| DAISUE CONSTRUCTION CO LTD | 1,000 | 9,858 | 12,131 |
| DAITRON CO LTD | 1,700 | 24,344 | 31,681 |
| DANA INC | 9,000 | 135,855 | 205,380 |
| DANIELI + CO RSP | 738 | 13,622 | 14,435 |
| DANONE | 38,126 | 2,557,040 | 2,366,852 |
| DAOU DATA CORP | 248 | 706 | 3,150 |
| DATA 3 LTD | 7,318 | 15,108 | 31,285 |
| DATADOG INC CLASS A | 14,782 | 951,929 | 2,632,822 |
| DATAGROUP SE | 198 | 18,074 | 21,841 |
| DAVITA INC | 4,000 | 379,632 | 455,040 |
| DAXIN MATERIALS CORP | 20,000 | 81,900 | 111,324 |
| DB FINANCIAL INVESTMENT CO L | 4,986 | 15,350 | 27,599 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| DECEUNINCK NV | 11,402 | 40,277 | 43,567 |
| DELEUM BERHAD | 31,700 | 8,507 | 3,805 |
| DELL TECHNOLOGIES C | 8,631 | 415,614 | 484,803 |
| DELTA AIR LINES INC | 2,300 | 92,483 | 89,884 |
| DENSAN SYSTEM HOLDINGS CO LT | 800 | 20,005 | 18,980 |
| DENTSPLY SIRONA INC | 18,615 | 764,390 | 1,038,531 |
| DEUTSCHE ROHSTOFF AG | 978 | 19,887 | 22,577 |
| DEVON ENERGY CORP | 12,400 | 125,054 | 546,220 |
| DEXCO SA | 4,070 | 15,910 | 10,931 |
| DEXCOM INC | 3,384 | 572,005 | 1,817,039 |
| DEXTERRA GROUP INC | 5,155 | 32,531 | 35,056 |
| DGB FINANCIAL GROUP INC | 37,492 | 237,627 | 295,836 |
| DGL GROUP LTD/AU | 14,440 | 28,796 | 32,861 |
| DIAMOND BUILDING PDTS NVDR | 108,152 | 24,100 | 27,034 |
| DIGITAL BROS SPA | 1,484 | 21,978 | 50,493 |
| DIGITAL VALUE SPA | 433 | 48,691 | 56,134 |
| DIMERCO DATA SYSTEM CORP | 11,000 | 26,813 | 27,911 |
| DIMERCO EXPRESS CORP | 7,560 | 5,579 | 28,828 |
| DING SHENG XIN FINANCE CO LT | 8,269 | 4,418 | - |
| DIODES INC | 4,200 | 354,189 | 461,202 |
| DISCOVER FINANCIAL SERVICES | 3,200 | 289,696 | 369,792 |
| DLH HOLDINGS CORP | 56,700 | 529,538 | 1,174,824 |
| DOHWA ENGINEERING CO LTD | 2,856 | 19,187 | 18,379 |
| DOM DEVELOPMENT SA | 602 | 15,563 | 17,924 |
| DONG AH TIRE + RUBBER CO LTD | 1,275 | 10,426 | 13,192 |
| DONGIL INDUSTRIES CO LTD | 338 | 19,514 | 42,366 |
| DONGKUK STEEL MILL CO LTD | 34,147 | 236,194 | 456,730 |
| DONGSUNG CHEMICAL CO LTD | 7,848 | 33,680 | 31,656 |
| DONGYUE GROUP | 67,000 | 50,866 | 104,501 |
| DONNELLEY FINANCIAL SOLUTION | 27,564 | 787,304 | 1,299,367 |
| DOOSAN CO LTD | 593 | 18,977 | 58,864 |
| DOREL INDUSTRIES CL B | 8,300 | 88,737 | 134,637 |
| DORMAKABA HOLDING AG | 105 | 51,708 | 69,547 |
| DORO AB | 2,175 | 9,551 | 7,423 |
| DOSHISHA CO LTD | 3,000 | 46,912 | 40,797 |
| DRAEGERWERK AG | 269 | 19,333 | 16,550 |
| DRAFTKINGS INC CL A | 5,395 | 341,477 | 148,201 |
| DREAM UNLIMITED CL A SUB VOT | 2,700 | 55,569 | 83,000 |
| DRECOM CO LTD | 2,300 | 17,842 | 9,128 |
| DTR AUTOMOTIVE CORP | 467 | 22,909 | 28,207 |
| DUCOMMUN INC | 12,600 | 634,548 | 589,302 |
| DUSKIN CO LTD | 1,500 | 35,057 | 36,590 |
| DVX INC | 800 | 10,359 | 7,774 |
| DXC TECHNOLOGY CO | 519 | 13,364 | 16,707 |
| DY CORP | 10,662 | 56,429 | 58,658 |
| DYNAM JAPAN HOLDINGS CO LTD | 15,800 | 25,368 | 13,984 |
| EAGLE EYE SOLUTIONS GROUP PL | 2,953 | 19,240 | 26,398 |
| EAGLE MATERIALS INC | 4,699 | 460,392 | 782,196 |
| EASTERN CO SAE | 120,855 | 84,353 | 82,468 |
| EASTMAN CHEMICAL CO | 4,770 | 390,950 | 576,741 |
| EASTNINE AB | 1,084 | 17,693 | 18,965 |
| EBAY INC | 10,700 | 455,029 | 711,550 |
| ECLIPX GROUP LTD | 22,287 | 36,448 | 35,810 |
| ECOVYST INC | 264,940 | 3,200,143 | 2,712,986 |
| EFECTE OYJ | 833 | 14,816 | 12,409 |
| EINHELL GERMANY AG VORZUG | 427 | 20,936 | 107,314 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| EIZO CORP | 400 | 15,837 | 14,016 |
| EL.EN. SPA | 31,484 | 132,367 | 557,820 |
| ELANDERS AB B SHS | 1,064 | 9,271 | 20,448 |
| ELEMATEC CORP | 2,200 | 22,324 | 20,633 |
| ELICA SPA | 4,307 | 10,366 | 17,853 |
| ELSWEDY ELECTRIC CO | 34,009 | 20,396 | 21,280 |
| EMAAR MISR FOR DEVELOPMENT | 130,090 | 21,815 | 24,677 |
| EMAK SPA | 26,576 | 39,145 | 63,920 |
| EMEMORY TECHNOLOGY INC | 1,000 | 50,998 | 79,156 |
| EMERGENT BIOSOLUTIONS INC | 7,282 | 497,353 | 316,548 |
| EMPEROR WATCH + JEWELLERY | 910,000 | 25,510 | 23,928 |
| ENCOMPASS HEALTH CORP | 5,139 | 329,016 | 335,371 |
| ENDAVA PLC SPON ADR | 2,186 | 293,897 | 367,073 |
| ENEA SA | 22,434 | 47,483 | 47,368 |
| ENERGIZER HOLDINGS INC | 5,300 | 212,954 | 212,530 |
| ENSIGN ENERGY SERVICES INC | 34,338 | 48,087 | 45,670 |
| ENTEGRIS INC | 11,739 | 1,363,638 | 1,626,791 |
| ENTRUSTPERMAL SOE FUND LTD | 20,376 | 22,411,797 | 28,280,672 |
| EPWIN GROUP PLC | 11,852 | 18,048 | 16,856 |
| EQ OYJ | 1,393 | 14,994 | 40,791 |
| ERAJAYA SWASEMBADA TBK PT | 2,016,330 | 47,278 | 84,883 |
| ERGOMED PLC | 1,061 | 17,598 | 21,556 |
| ERO COPPER CORP | 4,100 | 81,683 | 62,645 |
| EROAD LTD | 772 | 2,624 | 2,733 |
| ETERNIT SA | 31,100 | 161,556 | 117,030 |
| EUROBIO SCIENTIFIC | 495 | 9,902 | 15,154 |
| EURONET WORLDWIDE INC | 10,154 | 1,212,888 | 1,210,052 |
| EURONET WORLDWIDE INC | 4,664 | 410,276 | 555,809 |
| EUROPEAN RELIANCE GEN INSURA | 3,494 | 19,779 | 19,946 |
| EVEREST RE GROUP LTD | 1,000 | 234,090 | 273,920 |
| EVERLIGHT ELECTRONICS CO LTD | 19,000 | 30,699 | 36,191 |
| EVLI BANK PLC | 1,579 | 27,197 | 47,046 |
| EVOTEC SE SPON ADR | 39,448 | 857,994 | 936,890 |
| EW SCRIPPS CO/THE A | 80,869 | 1,167,490 | 1,564,815 |
| EXACT SCIENCES CORP | 6,887 | 501,996 | 536,015 |
| EXCELPOINT TECHNOLOGY LTD | 14,200 | 12,927 | 13,798 |
| EXCO TECHNOLOGIES LTD | 5,700 | 31,190 | 46,524 |
| EXEDY CORP | 900 | 13,663 | 13,013 |
| EXEL COMPOSITES OYJ | 472 | 5,695 | 4,348 |
| EXILLON ENERGY PLC | 2,097 | 3,273 | 1,165 |
| EXPEDIA GROUP INC | 8,895 | 991,951 | 1,607,504 |
| EXPEDIA GROUP INC | 3,802 | 556,036 | 687,097 |
| F SECURE OYJ | 4,561 | 16,222 | 25,752 |
| FAISAL ISLAMIC BANK OF EGYPT | 18,412 | 12,533 | 17,228 |
| FAITH INC | 7,800 | 86,482 | 46,331 |
| FALCO HOLDINGS CO LTD | 2,100 | 25,709 | 37,549 |
| FAN COMMUNICATIONS INC | 6,200 | 25,975 | 20,890 |
| FAR EAST CONSORTIUM INTERNAT | 38,000 | 15,020 | 13,842 |
| FATE THERAPEUTICS INC | 4,881 | 348,494 | 285,587 |
| FAVELLE FAVCO BHD | 224 | 154 | 130 |
| FDM GROUP HOLDINGS PLC | 847 | 7,292 | 14,593 |
| FEDERAL AGRIC MTG CORP CL C | 2,757 | 224,097 | 341,675 |
| FEDEX CORP | 1,700 | 444,497 | 439,688 |
| FERGUSON PLC | 2,601 | 324,619 | 466,593 |
| FERREXPO PLC | 43,087 | 75,665 | 174,727 |
| FIBI HOLDINGS | 1,812 | 41,410 | 82,787 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| FIBRA SHOP PORTAFOLIOS INMOB | 13,803 | 7,360 | 4,404 |
| FIELDS CORP | 10,200 | 38,387 | 45,262 |
| FIFTH THIRD BANCORP | 6,800 | 187,476 | 296,140 |
| FINNING INTERNATIONAL INC | 5,400 | 137,340 | 136,288 |
| FIREFINCH LTD | 50,563 | 22,123 | 31,799 |
| FIRM CAPITAL PROPERTY TRUST | 4,508 | 27,581 | 28,337 |
| FIRST AMERICAN FINANCIAL | 11,712 | 650,390 | 916,230 |
| FIRST BUSEY CORP | 9,700 | 168,343 | 263,064 |
| FIRST HORIZON CORP | 23,100 | 408,993 | 377,223 |
| FIRST INSURANCE CO LTD | 28,000 | 13,153 | 14,826 |
| FIRST REPUBLIC BANK/CA | 8,388 | 1,311,975 | 1,732,206 |
| FISCHER (GEORG) REG | 185 | 270,770 | 281,211 |
| FLETCHER BUILDING LTD | 44,427 | 206,532 | 222,956 |
| FLETCHER BUILDING LTD | 11,309 | 55,438 | 55,747 |
| FLEX LNG LTD | 1,554 | 29,117 | 34,716 |
| FM MATTSSON MORA GROUP AB | 828 | 16,892 | 22,314 |
| FOOT LOCKER INC | 5,900 | 230,693 | 257,417 |
| FORD MOTOR CO | 12,700 | 111,633 | 263,779 |
| FORTIVE CORP | 26,256 | 1,688,414 | 2,003,070 |
| FOUNDER HOLDINGS LTD | 110,000 | 13,259 | 12,134 |
| FOUNTAIN SET HLDGS | 178,000 | 23,960 | 31,051 |
| FOX CORP CLASS A | 14,400 | 484,837 | 531,360 |
| FRANKLIN COVEY CO | 4,672 | 110,594 | 216,594 |
| FRASERS GROUP PLC | 2,848 | 18,319 | 29,741 |
| FRONTIER COMMUNICATIONS PARE | 20,317 | 605,496 | 599,148 |
| FUFENG GROUP LTD | 84,000 | 32,061 | 28,983 |
| FUJI FURUKAWA ENGINEERING + | 1,200 | 19,717 | 29,230 |
| FUJII SANGYO CORP | 1,500 | 18,703 | 19,239 |
| FUJIKURA KASEI CO LTD | 3,300 | 15,247 | 14,329 |
| FUKUDA DENSHI CO LTD | 100 | 5,784 | 7,460 |
| FUKUI COMPUTER HOLDINGS INC | 2,300 | 48,820 | 68,907 |
| FUKUVI CHEMICAL INDUSTRY CO | 1,000 | 5,225 | 5,870 |
| FULLCAST HOLDINGS CO LTD | 1,600 | 30,196 | 34,208 |
| FURUNO ELECTRIC CO LTD | 3,200 | 29,948 | 33,652 |
| FURYU CORP | 1,900 | 21,537 | 22,604 |
| FUSO PHARMACEUTICAL INDUST | 1,200 | 28,983 | 27,094 |
| GAJAH TUNGGAL TBK PT | 670,500 | 32,567 | 31,285 |
| GALLIFORD TRY HOLDINGS PLC | 31,862 | 78,342 | 77,594 |
| GAMECARD JOYCO HOLDINGS INC | 1,400 | 15,839 | 12,376 |
| GARDA PROPERTY GROUP | 11,381 | 12,544 | 13,984 |
| GATELEY HOLDINGS PLC | 6,232 | 13,056 | 19,541 |
| GATTACA PLC | 11,783 | 42,423 | 21,865 |
| GAV YAM LANDS CORP LTD | 3,931 | 43,044 | 48,500 |
| GAVAZZI CARLO HOLDING AG BR | 126 | 30,438 | 38,029 |
| GB AUTO | 222,870 | 58,103 | 72,351 |
| GDH GUANGNAN HOLDINGS LTD | 61,171 | 8,397 | 5,257 |
| GEAR4MUSIC HOLDINGS PLC | 948 | 12,288 | 9,245 |
| GEM DIAMONDS LTD | 12,725 | 19,632 | 8,170 |
| GEMDALE PROPERTIES AND INVES | 1,040,000 | 120,776 | 110,719 |
| GENERAC HOLDINGS INC | 5,623 | 1,241,929 | 1,978,846 |
| GENERAL DYNAMICS CORP | 2,400 | 344,820 | 500,328 |
| GENERAL INTERFACE SOLUTION | 14,000 | 62,344 | 51,614 |
| GENERAL MILLS INC | 6,400 | 371,154 | 431,232 |
| GENERAL MOTORS CO | 13,700 | 500,427 | 803,231 |
| GENERALPLUS TECHNOLOGY INC | 37,000 | 63,033 | 90,537 |
| GENMAB A/S SP ADR | 45,637 | 948,987 | 1,805,400 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| GENPACT LTD | 11,245 | 440,619 | 596,885 |
| GENTERA SAB DE CV | 54,043 | 16,124 | 34,805 |
| GEOVISION INC | 12,000 | 15,051 | 13,749 |
| GESCO AG | 359 | 8,602 | 10,410 |
| GETINGE AB B SHS | 1,247 | 14,194 | 54,418 |
| GEUMHWA PSC CO LTD | 809 | 23,237 | 21,675 |
| GHP SPECIALITY CARE AB | 99 | 185 | 282 |
| GIGABYTE TECHNOLOGY CO LTD | 6,000 | 16,919 | 33,722 |
| GIIR INC | 2,735 | 23,387 | 16,220 |
| GILEAD SCIENCES INC | 9,800 | 644,423 | 711,578 |
| GINEBRA SAN MIGUEL INC | 15,740 | 19,696 | 35,127 |
| GIORDANO INTERNATIONAL LTD | 150,000 | 22,861 | 28,667 |
| GK SOFTWARE SE | 271 | 48,990 | 47,768 |
| GLAXOSMITHKLINE PLC | 24,530 | 508,530 | 533,788 |
| GLOBAL BLOOD THERAPEUTICS IN | 6,569 | 349,230 | 192,275 |
| GLOBAL E ONLINE LTD | 14,683 | 970,145 | 930,755 |
| GLOBAL FERRONICKEL HOLDINGS | 838,000 | 36,414 | 35,333 |
| GLOBAL MIXED MODE TECHNOLOGY | 7,000 | 16,863 | 67,174 |
| GLOBAL PAYMENTS INC | 19,905 | 1,792,388 | 2,690,758 |
| GOLDMAN SACHS GROUP INC | 2,000 | 461,361 | 765,100 |
| GOLDPAC GROUP LTD | 127,000 | 33,975 | 27,204 |
| GOLDTEK TECHNOLOGY CO LTD | 9,933 | 55,685 | 30,373 |
| GOODBABY INTERNATIONAL HOLDI | 534,000 | 89,254 | 68,494 |
| GOODYEAR TIRE + RUBBER CO | 7,455 | 113,391 | 158,940 |
| GRAINCORP LTD A | 29,046 | 146,775 | 174,434 |
| GRAND PACIFIC PETROCHEMICAL | 54,000 | 34,661 | 56,016 |
| GRANDY HOUSE CORP | 14,100 | 56,295 | 60,120 |
| GRANGE RESOURCES LTD | 577,213 | 123,053 | 316,845 |
| GREAT ELM GROUP INC | 148,000 | 314,328 | 309,320 |
| GREATEK ELECTRONICS INC | 7,000 | 16,489 | 19,760 |
| GREGGS PLC | 4,599 | 191,667 | 207,866 |
| GRONLANDSBANKEN | 66 | 7,198 | 6,035 |
| GROUPE BRUXELLES LAMBERT SA | 8,582 | 672,693 | 957,987 |
| GROUPE GUILLIN | 482 | 10,967 | 14,854 |
| GROUPE LDLC | 205 | 15,339 | 13,265 |
| GROUPE SFPI | 7,375 | 21,205 | 29,522 |
| GRUPA AZOTY SA | 12,115 | 88,596 | 101,600 |
| GRUPA KETY SA | 197 | 27,144 | 29,816 |
| GRUPA LOTOS SA | 1,136 | 16,171 | 17,193 |
| GRUPO GICSA SA DE CV | 175 | 86 | 22 |
| GRUPPO MUTUIONLINE SPA | 239 | 13,088 | 12,040 |
| GS HOLDINGS | 304 | 13,127 | 9,999 |
| GUARDIAN CAP GRP LTD CL A | 1,000 | 20,566 | 27,629 |
| GULF KEYSTONE PETROLEUM LTD | 22,362 | 52,668 | 54,337 |
| GXO LOGISTICS INC | 3,510 | 118,680 | 318,813 |
| H.U. GROUP HOLDINGS INC | 500 | 12,736 | 12,679 |
| H+R REAL ESTATE INV REIT UTS | 15,031 | 203,269 | 193,369 |
| HALDEX AB | 44 | 325 | 258 |
| HAMBURGER HAFEN UND LOGISTIK | 970 | 24,306 | 22,679 |
| HAMILTON THORNE LTD | 8,400 | 13,095 | 13,699 |
| HANCOCK TIMBERLAND VIII INC | 8,877,550 | 8,877,550 | 10,119,485 |
| HANDSOME CO LTD | 329 | 11,302 | 9,853 |
| HANESBRANDS INC | 9,500 | 162,179 | 158,840 |
| HANIL HOLDINGS CO LTD | 1,389 | 15,377 | 15,774 |
| HANOVER INSURANCE GROUP INC/ | 1,500 | 143,933 | 196,590 |
| HANSAE CO LTD | 4,657 | 70,079 | 85,990 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| HANSAE YES24 HOLDINGS CO LTD | 5,133 | 18,270 | 32,039 |
| HANWHA AEROSPACE CO LTD | 634 | 12,299 | 25,600 |
| HANWHA CORPORATION | 5,532 | 162,873 | 146,124 |
| HARDWOODS DISTRIBUTION INC | 3,400 | 110,047 | 120,587 |
| HARGREAVES SERVICES PLC | 4,362 | 26,646 | 24,135 |
| HARLEY DAVIDSON INC | 7,300 | 222,852 | 275,137 |
| HARTFORD FINANCIAL SVCS GRP | 7,800 | 445,282 | 538,512 |
| HCA HEALTHCARE INC | 2,900 | 421,628 | 745,068 |
| HDCLABS CO LTD | 1,047 | 14,078 | 10,745 |
| HEADLAM GROUP PLC | 3,438 | 22,814 | 19,930 |
| HEALIUS LTD | 7,777 | 26,715 | 29,855 |
| HEIDELBERGCEMENT AG | 25,562 | 1,804,797 | 1,730,193 |
| HENAN JINMA ENERGY CO LTD H | 24,000 | 12,474 | 12,898 |
| HERC HOLDINGS INC | 6,585 | 535,526 | 1,030,882 |
| HERIGE | 152 | 8,482 | 7,865 |
| HERITAGE CRYSTAL CLEAN INC | 44,595 | 903,397 | 1,427,932 |
| HEWLETT PACKARD ENTERPRISE | 8,000 | 94,800 | 126,160 |
| HEXINDO ADIPERKASA TBK PT | 144,800 | 40,181 | 46,734 |
| HIGH CO | 1,051 | 6,516 | 5,976 |
| HIKMA PHARMACEUTICALS PLC | 17,178 | 492,687 | 516,289 |
| HILLTOP HOLDINGS INC | 5,000 | 137,550 | 175,700 |
| HIMACS LTD | 1,080 | 7,441 | 10,945 |
| HISAMITSU PHARMACEUTICAL CO | 400 | 16,199 | 13,807 |
| HITO COMMUNICATIONS HOLDINGS | 1,200 | 18,861 | 24,635 |
| HOKURIKU GAS CO LTD | 500 | 12,749 | 13,851 |
| HOLOGIC INC | 7,371 | 298,500 | 564,324 |
| HOLTEK SEMICONDUCTOR INC | 7,000 | 17,347 | 28,464 |
| HOME BANCSHARES INC | 30,852 | 650,797 | 751,246 |
| HOMESTREET INC | 5,700 | 169,661 | 296,400 |
| HONG LEONG ASIA LTD | 14,800 | 8,253 | 9,276 |
| HONG LEONG FINANCE LTD | 10,658 | 21,243 | 18,895 |
| HONG LEONG FINANCIAL GROUP | 14,900 | 62,673 | 62,018 |
| HONG LEONG INDUSTRIES BHD | 13,400 | 18,962 | 29,528 |
| HORIBA LTD | 200 | 14,267 | 11,741 |
| HOTUNG INVESTMENT HOLDINGS | 14,266 | 15,428 | 22,751 |
| HP INC | 19,100 | 416,555 | 719,497 |
| HSING TA CEMENT CO LTD | 93,100 | 49,527 | 69,992 |
| HUBSPOT INC | 7,330 | 1,291,162 | 4,831,570 |
| HUDACO INDUSTRIES LTD | 4,103 | 30,192 | 33,896 |
| HUMANA AB | 11 | 67 | 88 |
| HUNG HING PRINT GROUP LTD | 12,000 | 3,120 | 1,970 |
| HUNT (JB) TRANSPRT SVCS INC | 2,519 | 131,383 | 514,884 |
| HUNTING PLC | 5,940 | 11,160 | 13,613 |
| HUNTINGTON INGALLS INDUSTRIE | 1,000 | 170,480 | 186,740 |
| HUNTSMAN CORP | 3,800 | 95,532 | 132,544 |
| HURON CONSULTING GROUP INC | 115 | - | 5,739 |
| HURXLEY CORP | 16,200 | 85,046 | 62,040 |
| HUSTEEL CO LTD | 1,084 | 14,552 | 13,268 |
| HWASUNG INDUSTRIAL CO LTD | 4,223 | 49,520 | 44,761 |
| HYUNDAI CONSTRUCTION EQUIPME | 509 | 27,116 | 17,363 |
| HYUNDAI CORP | 830 | 15,492 | 11,730 |
| HYUNDAI ENGINEERING + CONST | 511 | 15,366 | 19,107 |
| IAA INC | 12,504 | 638,855 | 632,952 |
| IAA INC | 6,895 | 222,372 | 349,025 |
| IBI GROUP INC | 1,000 | 6,103 | 10,719 |
| ICON PLC | 1,049 | 53,698 | 324,875 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| ICON PLC | 2,853 | 217,894 | 883,574 |
| ID HOLDINGS CORP | 2,900 | 21,978 | 22,464 |
| IEI INTEGRATION CORP | 22,000 | 33,617 | 37,214 |
| IGG INC | 458,000 | 539,809 | 407,109 |
| ILKKA YHTYMA OYJ II | 2,550 | 15,685 | 13,803 |
| IMAGE RESOURCES NL | 72,745 | 10,308 | 10,842 |
| IMARKETKOREA INC | 1,601 | 12,387 | 14,411 |
| IMCD NV | 56 | 12,438 | 12,399 |
| IMDEX LTD | 12,672 | 25,484 | 27,179 |
| IMI PLC | 1,437 | 33,602 | 33,789 |
| IMPERIAL OIL LTD | 22,761 | 804,341 | 822,038 |
| INCHCAPE PLC | 8,735 | 111,327 | 107,604 |
| INDIVIOR PLC | 49,439 | 149,252 | 172,094 |
| INDO TAMBANGRAYA MEGAH TBK P | 2,215 | 2,252 | 3,170 |
| INDUSTRIAL LOGISTICS PROPERT | 6,100 | 135,207 | 152,805 |
| INDUSTRIAS BACHOCO SER B | 2,959 | 9,647 | 10,510 |
| INFORMATION SERVICES CORP | 3,696 | 58,128 | 76,808 |
| INFOTEL | 499 | 9,773 | 31,891 |
| ING GROEP NV | 59,807 | 614,274 | 832,609 |
| INGERSOLL RAND INC | 47,324 | 1,778,515 | 2,927,936 |
| INGERSOLL RAND INC | 16,113 | 499,138 | 996,911 |
| INGEVITY CORP | 10,418 | 834,717 | 746,971 |
| INGREDION INC | 700 | 55,069 | 67,648 |
| INMODE LTD | 12,072 | 412,963 | 852,042 |
| INNOLUX CORP | 812,946 | 236,499 | 575,911 |
| INNOTEK LTD | 97,400 | 64,357 | 55,630 |
| INSMED INC | 5,655 | 161,328 | 154,042 |
| INSPIRATION HEALTHCARE GROUP | 7,430 | 11,683 | 12,529 |
| INSTALCO AB | 30 | 230 | 1,439 |
| INTAGE HOLDINGS INC | 100 | 1,077 | 1,527 |
| INTEL CORP | 35,900 | 1,947,112 | 1,848,850 |
| INTERFOR CORP | 500 | 5,936 | 16,035 |
| INTERNATIONAL HOUSEWARES RET | 157,000 | 44,453 | 56,587 |
| INTERNATIONAL PAPER CO | 7,500 | 350,479 | 352,350 |
| INTERNATIONAL PERSONAL FINAN | 2,985 | 4,073 | 5,216 |
| INTERNATIONAL SEAWAYS INC | 18,995 | 314,464 | 278,846 |
| INTERNET INITIATIVE JAPAN | 7,000 | 87,898 | 288,741 |
| INTERPUBLIC GROUP OF COS INC | 7,800 | 143,325 | 292,110 |
| INTERTAPE POLYMER GROUP INC | 2,400 | 29,142 | 50,008 |
| INTL BUSINESS MACHINES CORP | 2,900 | 352,619 | 387,614 |
| INTOPS CO LTD | 690 | 7,284 | 18,719 |
| INTRED SPA | 2,486 | 52,928 | 53,432 |
| INVENTEC CORP | 284,000 | 274,735 | 256,110 |
| INVESTEC LTD | 11,638 | 44,387 | 63,841 |
| INVESTEC PLC | 14,220 | 63,311 | 77,239 |
| INVESTORS BANCORP INC | 25,800 | 200,595 | 390,870 |
| ION BEAM APPLICATIONS | 3,459 | 43,409 | 59,948 |
| IOVANCE BIOTHERAPEUTICS INC | 13,358 | 440,905 | 255,004 |
| IPH LTD | 3,312 | 21,019 | 21,142 |
| IPSEN | 2,087 | 210,596 | 191,054 |
| IRCE SPA | 4,869 | 18,258 | 17,276 |
| IREN SPA | 4,191 | 12,526 | 12,649 |
| IRON MOUNTAIN INC | 1,603 | 45,309 | 83,885 |
| IRPC PCL NVDR | 933,200 | 120,563 | 107,274 |
| ISRAEL DISCOUNT BANK A | 5,194 | 18,243 | 34,945 |
| ITALIAN THAI DEVELOP NVDR | 1,616,300 | 92,764 | 110,318 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| ITALIAN WINE BRANDS SPA | 667 | 15,082 | 31,478 |
| ITURAN LOCATION AND CONTROL | 3,069 | 87,463 | 81,850 |
| IVU TRAFFIC TECHNOLOGIES AG | 2,167 | 49,648 | 51,997 |
| JAC JAPAN CO LTD | 1,700 | 26,369 | 30,765 |
| JACQUET METALS SA | 661 | 11,850 | 15,785 |
| JAFCO GROUP CO LTD | 200 | 12,286 | 11,498 |
| JAPAN LIFELINE CO LTD | 1,400 | 13,346 | 13,325 |
| JAPAN PETROLEUM EXPLORATION | 1,000 | 18,282 | 21,762 |
| JASTRZEBSKA SPOLKA WEGLOWA S | 13,334 | 200,738 | 115,362 |
| JAZZ PHARMACEUTICALS PLC | 5,367 | 722,997 | 683,756 |
| JAZZ PHARMACEUTICALS PLC | 2,590 | 411,117 | 329,966 |
| JBCC HOLDINGS INC | 3,000 | 40,108 | 46,476 |
| JEFFERIES FINANCIAL GROUP IN | 6,900 | 136,862 | 267,720 |
| JENSEN GROUP NV | 374 | 13,483 | 11,441 |
| JFE SYSTEMS INC | 1,600 | 13,993 | 31,860 |
| JIANGNAN GROUP LTD | 836,000 | 38,826 | 31,097 |
| JINHUI SHIPPING + TRANSPORTA | 24,521 | 37,692 | 30,727 |
| JK HOLDINGS CO LTD | 11,100 | 73,876 | 106,995 |
| JM SMUCKER CO/THE | 5,000 | 580,504 | 679,100 |
| JOHNSON ELECTRIC HOLDINGS | 40,000 | 90,024 | 84,758 |
| JOHNSON OUTDOORS INC A | 1,700 | 154,386 | 159,273 |
| JQW PLC | 7,181 | 3,938 | - |
| JUNIPER NETWORKS INC | 10,100 | 231,063 | 360,671 |
| JUTAL OFFSHORE OIL SERVICES | 330,000 | 39,868 | 41,481 |
| JUTEC HOLDINGS CORP | 300 | 2,974 | 2,881 |
| K LASER TECHNOLOGY INC | 16,000 | 13,509 | 14,689 |
| K WAH INTL HOLDINGS | 62,369 | 38,532 | 24,079 |
| K1 VENTURES LTD | 37,400 | 25,891 | - |
| KA SHUI INTL HLDG LTD | 116,000 | 17,560 | 11,754 |
| KADANT INC | 4,201 | 166,370 | 968,246 |
| KAMADA LTD | 2,752 | 17,018 | 18,170 |
| KAMEI CORP | 6,000 | 43,682 | 54,344 |
| KANEFUSA CORP | 1,300 | 12,889 | 7,586 |
| KANGNAM JEVISCO CO LTD | 1,032 | 20,615 | 21,660 |
| KARDAN REAL ESTATE ESTATE | 8,527 | 11,536 | 16,438 |
| KAWADA TECHNOLOGIES INC | 800 | 27,000 | 29,734 |
| KAWAI MUSICAL INSTRUMENTS MF | 1,100 | 48,423 | 32,669 |
| KBR INC | 30,600 | 870,374 | 1,457,172 |
| KECK SENG INVESTMENTS | 8,000 | 6,037 | 2,945 |
| KEDING ENTERPRISES CO LTD | 6,000 | 19,285 | 17,371 |
| KELT EXPLORATION LTD | 4,600 | 16,285 | 17,553 |
| KENDRION NV | 1,949 | 39,060 | 46,655 |
| KERRY PROPERTIES LTD | 4,000 | 13,500 | 10,415 |
| KERUR HOLDINGS LTD | 1,148 | 22,541 | 36,811 |
| KEYCORP | 26,200 | 371,320 | 606,006 |
| KEYSTONE LAW GROUP PLC | 1,607 | 8,282 | 18,066 |
| KIATNAKIN PHATRA BAN FOREIGN | 1,700 | 1,065 | 3,041 |
| KIATNAKIN PHATRA BANK P NVDR | 193,400 | 345,282 | 345,926 |
| KIKUSUI ELECTRONICS CORP | 1,200 | 12,431 | 16,423 |
| KIMLY LTD | 58,900 | 15,560 | 18,131 |
| KIMURA UNITY CO LTD | 2,600 | 26,967 | 29,352 |
| KINDER MORGAN INC | 14,200 | 182,257 | 225,212 |
| KINDRED GROUP PLC | 20,027 | 123,271 | 238,231 |
| KINETIC DEVELOPMENT GROUP LT | 186,000 | 17,168 | 12,406 |
| KING CO LTD | 2,000 | 8,391 | 9,431 |
| KING S TOWN BANK | 27,000 | 40,842 | 39,572 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| KINGBOARD HOLDINGS LTD | 117,000 | 381,806 | 569,520 |
| KINGBOARD LAMINATES HLDG LTD | 6,000 | 7,036 | 10,205 |
| KINGDOM HOLDINGS LTD | 12,000 | 2,557 | 1,785 |
| KINGSMEN CREATIVES LTD | 18,000 | 10,430 | 3,405 |
| KINGWORLD MEDICINES GROUP | 36,000 | 6,099 | 3,509 |
| KINIKSA PHARMACEUTICALS A | 13,593 | 245,458 | 159,990 |
| KISCO CORP | 1,270 | 11,173 | 8,269 |
| KISHIN CORP | 3,995 | 14,263 | 14,249 |
| KIWI PROPERTY GROUP LTD | 37,532 | 29,334 | 30,707 |
| KLINGELNBERG AG | 1,015 | 22,694 | 20,553 |
| KLOECKNER + CO SE | 7,242 | 29,348 | 88,286 |
| KOHLS CORP | 3,100 | 126,139 | 153,109 |
| KOKUYO CO LTD | 1,100 | 16,594 | 16,373 |
| KOLON INDUSTRIES INC | 3,709 | 262,007 | 221,214 |
| KONINKLIJKE PHILIPS NV | 40,904 | 1,792,336 | 1,524,097 |
| KOOTH PLC | 8,541 | 44,258 | 40,027 |
| KOREA ASSET IN TRUST CO LTD | 22,531 | 69,097 | 77,425 |
| KOREA GAS CORPORATION | 9,308 | 361,091 | 306,156 |
| KOREA INVESTMENT HOLDINGS CO | 189 | 9,697 | 12,831 |
| KOREA REAL ESTATE INVEST | 6,309 | 16,109 | 13,825 |
| KORN FERRY | 9,209 | 408,560 | 697,398 |
| KPX CHEMICAL CO LTD | 206 | 8,813 | 9,288 |
| KRAFT HEINZ CO/THE | 15,700 | 508,519 | 563,630 |
| KROGER CO | 24,600 | 785,357 | 1,113,396 |
| KRUNG THAI BANK NVDR | 311,100 | 105,892 | 122,931 |
| KSB SE + CO KGAA VORZUG | 33 | 14,138 | 13,810 |
| KSL HOLDINGS BHD | 39,100 | 14,497 | 6,194 |
| KT SKYLIFE CO LTD | 7,261 | 57,487 | 55,828 |
| KTCS CORP | 4,798 | 11,423 | 10,434 |
| KUDELSKI SA BR | 60 | 261 | 244 |
| KUKDO CHEMICAL CO LTD | 2,635 | 153,289 | 125,239 |
| KUMPULAN FIMA BHD | 74,650 | 33,865 | 36,734 |
| KUNG SING ENGINEERING CORP | 23,100 | 9,371 | 7,139 |
| KURIMOTO LTD | 1,300 | 20,362 | 17,769 |
| KURIYAMA HOLDINGS CORP | 4,900 | 30,955 | 50,466 |
| KYERYONG CONST INDUST CO LTD | 410 | 8,848 | 11,244 |
| KYNDRYL HOLDINGS INC | 580 | 16,283 | 10,498 |
| KYOBO SECURITIES CO LTD | 3,612 | 27,469 | 25,432 |
| KYOWA LEATHER CLOTH CO LTD | 1,800 | 12,468 | 10,145 |
| L3HARRIS TECHNOLOGIES INC | 1,800 | 315,837 | 383,832 |
| LA Z BOY INC | 4,600 | 157,389 | 167,026 |
| LABORATORIOS FARMACEUTICOS R | 638 | 44,013 | 53,544 |
| LABRADOR IRON ORE ROYALTY CO | 21,100 | 625,970 | 626,911 |
| LADPRAO GENERAL HOSPTAL NVDR | 71,500 | 12,294 | 12,628 |
| LAM RESEARCH CORP | 400 | 188,908 | 287,660 |
| LANDEC CORP | 142,014 | 1,427,358 | 1,576,355 |
| LASSONDE INDS INC CLASS A | 300 | 41,871 | 37,368 |
| LATTICE SEMICONDUCTOR CORP | 31,979 | 774,835 | 2,464,302 |
| LAURENT PERRIER | 115 | 13,338 | 13,536 |
| LCI INDUSTRIES | 7,740 | 1,029,381 | 1,206,434 |
| LCI INDUSTRIES | 4,758 | 537,466 | 741,629 |
| LE SAUNDA HOLDINGS LTD | 52,000 | 9,192 | 4,135 |
| LEAR CORP | 1,200 | 190,836 | 219,540 |
| LECTRA | 762 | 10,590 | 36,395 |
| LEE S PHARMACEUTICAL HLDGS | 46,000 | 18,966 | 19,648 |
| LEFT FIELD PRINTING GROUP LT | 1,500 | 117 | 133 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| LEIFHEIT AG | 860 | 40,125 | 35,990 |
| LEJU HOLDINGS LTD ADR | 20,814 | 37,754 | 18,828 |
| LENNAR CORP B SHS | 26 | 1,591 | 2,486 |
| LENNAR CORP A | 4,027 | 327,303 | 467,776 |
| LENOVO GROUP LTD | 190,000 | 122,088 | 218,360 |
| LEON S FURNITURE LTD | 4,500 | 61,106 | 88,529 |
| LG INNOTEK CO LTD | 2,417 | 289,090 | 740,095 |
| LH FINANCIAL GROUP PCL NVDR | 1,668,600 | 73,680 | 67,433 |
| LIBERTY BROADBAND C | 3,799 | 241,131 | 612,019 |
| LIBERTY MEDIA ACQUISITION A | 96,500 | 986,560 | 992,020 |
| LIBERTY MEDIA COR SIRIUSXM C | 19,655 | 668,047 | 999,457 |
| LIBERTY TRIPADVISOR HDG A | 276,529 | 1,112,717 | 600,068 |
| LIGAND PHARMACEUTICALS | 6,209 | 699,974 | 959,042 |
| LINAMAR CORP | 200 | 7,230 | 11,864 |
| LINCOLN NATIONAL CORP | 2,100 | 105,651 | 143,346 |
| LION ROCK GROUP LTD | 24,000 | 3,969 | 2,586 |
| LIONS GATE ENTERTAINMENT B | 160,000 | 1,805,456 | 2,462,400 |
| LIPPO LTD | 4,056 | 2,275 | 1,269 |
| LITTELFUSE INC | 1,733 | 334,931 | 545,340 |
| LIU CHONG HING INVESTMENT | 18,000 | 28,652 | 17,893 |
| LKQ CORP | 4,700 | 143,515 | 282,141 |
| LLOYDS BANKING GROUP PLC | 1,443,227 | 1,047,354 | 934,384 |
| LOCKHEED MARTIN CORP | 1,200 | 406,794 | 426,492 |
| LOGO YAZILIM SANAYI VE TICAR | 4,232 | 14,901 | 13,263 |
| LONKING HOLDINGS LTD | 203,000 | 58,911 | 57,023 |
| LONSEAL CORP | 500 | 12,046 | 6,470 |
| LOOK HOLDINGS INC | 2,100 | 33,871 | 22,230 |
| LOPEZ HOLDINGS CORP | 360,900 | 53,320 | 20,596 |
| LOUISIANA PACIFIC CORP | 4,800 | 152,184 | 376,080 |
| LPL FINANCIAL HOLDINGS INC | 8,073 | 1,176,322 | 1,292,407 |
| LUBELSKI WEGIEL BOGDANKA SA | 2,113 | 12,788 | 16,777 |
| LULULEMON ATHLETICA INC | 2,721 | 948,409 | 1,065,135 |
| LUMAX INTERNATIONAL CORP LTD | 9,000 | 19,119 | 24,527 |
| LUMEN TECHNOLOGIES INC | 15,800 | 159,975 | 198,290 |
| LUMENTUM HOLDINGS INC | 5,816 | 482,611 | 615,158 |
| LUNG KEE BERMUDA HLDG LTD | 84,000 | 27,044 | 40,296 |
| LUXFER HOLDINGS PLC | 1,119 | 14,968 | 21,608 |
| LUZHENG FUTURES CO LTD H | 270,000 | 45,987 | 21,818 |
| LX INTERNATIONAL CORP | 6,405 | 167,077 | 142,513 |
| LX SEMICON CO LTD | 203 | 12,268 | 28,569 |
| LYFT INC A | 27,613 | 1,432,997 | 1,179,903 |
| LYONDELLBASELL INDU CL A | 4,300 | 334,916 | 396,589 |
| MACA LTD | 55,188 | 34,270 | 32,902 |
| MACAUTO INDUSTRIAL CO LTD | 60,000 | 192,348 | 171,106 |
| MACFARLANE GROUP PLC | 7,492 | 14,882 | 13,192 |
| MACMAHON HOLDINGS LTD | 57,746 | 8,824 | 7,767 |
| MACY S INC | 1,000 | 11,250 | 26,180 |
| MAEIL HOLDINGS CO LTD | 4,440 | 47,819 | 34,175 |
| MAEZAWA KASEI INDUSTRIES CO | 2,400 | 26,820 | 26,823 |
| MAEZAWA KYUSO INDUSTRIES CO | 2,200 | 15,606 | 20,385 |
| MAGIC SOFTWARE ENTERPRISES | 713 | 12,179 | 14,923 |
| MAGNI TECH INDUSTRIES BHD | 25,066 | 10,230 | 11,793 |
| MAGYAR TELEKOM TELECOMMUNICA | 1,064 | 2,815 | 1,351 |
| MAHLE METAL LEVE SA | 17,800 | 57,124 | 98,427 |
| MAINSTREET EQUITY CORP | 246 | 11,498 | 23,407 |
| MAKIYA CO LTD | 800 | 9,763 | 4,905 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| MALAYSIAN PACIFIC INDUSTRIES | 21,447 | 36,742 | 254,110 |
| MALINDO FEEDMILL TBK PT | 5,800 | 385 | 273 |
| MANDALA MULTIFINANCE TBK PT | 127,000 | 5,046 | 9,713 |
| MANPOWERGROUP INC | 1,000 | 90,180 | 97,330 |
| MARATHON PETROLEUM CORP | 2,300 | 95,128 | 147,177 |
| MARFRIG GLOBAL FOODS SA | 70,100 | 182,983 | 277,757 |
| MARIMEKKO OYJ | 515 | 19,186 | 49,605 |
| MARQETA INC A | 10,334 | 272,714 | 177,435 |
| MARSH + MCLENNAN COS | 7,862 | 345,254 | 1,366,573 |
| MARUBUN CORP | 300 | 1,967 | 2,201 |
| MARUKA FURUSATO CORP | 1,000 | 11,885 | 20,703 |
| MARUZEN CO LTD | 1,957 | 15,830 | 36,113 |
| MASONITE INTERNATIONAL CORP | 13,184 | 1,130,720 | 1,555,053 |
| MASTEC INC | (140) | (7,683) | (12,919) |
| MATAS A/S | 643 | 7,047 | 12,240 |
| MATCH GROUP INC | 16,189 | 1,602,511 | 2,140,995 |
| MATCHING SERVICE JAPAN CO LT | 1,400 | 12,206 | 11,246 |
| MATSUI CONSTRUCTION CO LTD | 8,491 | 53,990 | 56,997 |
| MATTHEWS INTL CORP CLASS A | 5,500 | 161,700 | 201,685 |
| MAXI CASH FINANCIAL SERVICES | 148,000 | 18,899 | 18,882 |
| MAXIMUS INC | 7,337 | 540,979 | 584,539 |
| MCKESSON CORP | 1,400 | 190,606 | 347,998 |
| MCMILLAN SHAKESPEARE LTD | 5,346 | 49,747 | 46,953 |
| MDC HOLDINGS INC | 3,672 | 172,261 | 205,008 |
| MDU RESOURCES GROUP INC | 9,200 | 214,222 | 283,728 |
| MEDICOVER AB B SHARE | 1,469 | 41,540 | 60,033 |
| MEDIFAST INC | 6,638 | 1,022,616 | 1,390,196 |
| MEDISTIM ASA | 1,791 | 81,035 | 74,639 |
| MEDPACE HOLDINGS INC | 4,129 | 561,258 | 898,636 |
| MEG ENERGY CORP | 21,100 | 133,017 | 195,440 |
| MEIRAGTX HOLDINGS PLC | 8,289 | 83,802 | 196,781 |
| MEITEC CORP | 3,100 | 152,200 | 182,250 |
| MEKONOMEN AB | 6,648 | 105,079 | 115,354 |
| MELCOR DEVELOPMENTS LTD | 4,400 | 43,612 | 49,603 |
| MELEXIS NV | 230 | 18,139 | 27,411 |
| MENSCH UND MASCHINE SOFTWARE | 2,067 | 46,102 | 156,314 |
| MERAFE RESOURCES LTD | 219,759 | 10,694 | 16,523 |
| MERCIA ASSET MANAGEMENT PLC | 23,513 | 13,418 | 12,022 |
| MERCK + CO. INC. | 26,000 | 1,958,435 | 1,992,640 |
| MERUS NV | 653 | 13,883 | 20,765 |
| META PLATFORMS INC CLASS A | 6,778 | 1,223,948 | 2,279,780 |
| METAIR INVESTMENTS LTD | 3,620 | 6,307 | 6,237 |
| METALL ZUG AG SHS B REG | 15 | 26,949 | 33,913 |
| METALURGICA GERDAU SA PREF | 280,000 | 614,573 | 572,065 |
| METAROCK GROUP LTD | 14,550 | 14,743 | 8,886 |
| METLIFE INC | 8,400 | 419,714 | 524,916 |
| METRODATA ELECTRONIC PT | 673,600 | 40,689 | 180,540 |
| MFE MEDIAFOREUROPE NV CL A | 103 | 156 | 105 |
| MFE MEDIAFOREUROPE NV CL B | 103 | 217 | 146 |
| MI CHANG OIL INDUSTRIAL CO | 449 | 34,611 | 29,839 |
| MICHELMERSH BRICK HOLDINGS P | 7,580 | 14,444 | 13,141 |
| MICRO SYSTEMATION AB B | 1,991 | 13,244 | 8,444 |
| MICRONICS JAPAN CO LTD | 900 | 6,949 | 14,490 |
| MIDWAY LTD | 7,333 | 15,093 | 6,398 |
| MIKRON HOLDING AG REG NEW | 2,239 | 20,684 | 18,921 |
| MILLERKNOLL INC | 5,920 | 191,756 | 232,005 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| MILLICOM INTL CELLULAR S.A. | 3,084 | 132,372 | 87,740 |
| MIMECAST LTD | 7,653 | 388,714 | 608,949 |
| MINERAL RESOURCES LTD | 75 | 1,521 | 3,054 |
| MINERVA SA | 129,800 | 265,241 | 247,016 |
| MINMETALS LAND LTD | 226,000 | 38,300 | 24,060 |
| MIROKU JYOHO SERVICE CO LTD | 2,000 | 30,010 | 23,985 |
| MITO SECURITIES CO LTD | 1,100 | 4,667 | 2,608 |
| MITRABARA ADIPERDANA TBK PT | 49,881 | 12,158 | 12,599 |
| MITSUBISHI RESEARCH INSTITUT | 1,500 | 60,305 | 52,625 |
| MIXI INC | 800 | 29,908 | 13,999 |
| MIZUNO CORP | 1,800 | 60,929 | 35,076 |
| MKH BHD | 47,556 | 16,683 | 14,041 |
| MO BRUK J MOKRZYCKI LTD | 246 | 20,456 | 23,499 |
| MOCHIDA PHARMACEUTICAL CO | 400 | 17,709 | 12,088 |
| MOLSON COORS BEVERAGE CO B | 14,100 | 603,604 | 653,535 |
| MONOLITHIC POWER SYSTEMS INC | 1,404 | 678,629 | 692,635 |
| MORESCO CORP | 1,200 | 12,757 | 12,098 |
| MORGAN ADVANCED MATERIALS PL | 4,988 | 27,953 | 24,220 |
| MORGAN SINDALL GROUP PLC | 614 | 6,289 | 20,957 |
| MORGAN STANLEY | 14,200 | 831,431 | 1,393,872 |
| MORGUARD CORP | 700 | 85,479 | 75,622 |
| MORGUARD NORTH AMERICAN RESI | 6,260 | 69,688 | 87,768 |
| MORISHITA JINTAN CO LTD | 400 | 10,595 | 6,586 |
| MOSAIC CO/THE | 10,100 | 383,547 | 396,829 |
| MOULINVEST SA | 358 | 12,750 | 16,407 |
| MSC INDUSTRIAL DIRECT CO A | 1,866 | 167,210 | 156,856 |
| MSCI INC | 4,081 | 1,253,653 | 2,500,388 |
| MUDA HOLDINGS BERHAD | 41,300 | 27,618 | 27,362 |
| MUGEN ESTATE CO LTD | 4,900 | 21,785 | 20,467 |
| MULPHA INTERNATIONAL BHD | 44,000 | 25,369 | 25,771 |
| N ABLE INC | 55,190 | 635,828 | 612,609 |
| NACHI FUJIKOSHI CORP | 900 | 36,818 | 32,200 |
| NAFCO CO LTD | 150 | 2,548 | 2,282 |
| NAGANO BANK LTD/THE | 1,700 | 30,350 | 18,512 |
| NAGARRO SE | 63 | 12,847 | 14,472 |
| NAKANO CORP | 7,600 | 33,546 | 22,571 |
| NAKAYAMAFUKU CO LTD | 2,300 | 11,424 | 6,951 |
| NAPHTHA ISRAEL PETROLEUM CRP | 6,685 | 35,786 | 48,069 |
| NAPIER PORT HOLDINGS LTD | 10,806 | 22,340 | 22,787 |
| NARUMIYA INTERNATIONAL CO LT | 1,500 | 15,644 | 12,570 |
| NASDAQ INC | 10,487 | 1,498,196 | 2,202,375 |
| NATIONAL BANK OF GREECE | 96,635 | 143,973 | 322,207 |
| NATIONAL FUEL GAS CO | 5,900 | 245,992 | 377,246 |
| NATIONAL INSTRUMENTS CORP | 7,630 | 325,266 | 333,202 |
| NATURHOUSE HEALTH SAU | 7,763 | 17,821 | 16,994 |
| NAVIENT CORP | 11,800 | 112,159 | 250,396 |
| NAVIGATOR GLOBAL INVESTMENTS | 3,957 | 15,313 | 5,337 |
| NCR CORPORATION | 2,400 | 90,168 | 96,480 |
| NEDAP N.V. | 819 | 35,934 | 58,304 |
| NEO PERFORMANCE MATERIALS IN | 700 | 11,569 | 11,239 |
| NEOGENOMICS INC | 20,739 | 941,479 | 707,615 |
| NETAPP INC | 3,400 | 156,009 | 312,766 |
| NETDRAGON WEBSOFT HOLDINGS L | 75,500 | 195,065 | 177,412 |
| NETO ME HOLDINGS LTD | 1,149 | 55,994 | 66,746 |
| NEUCA SA | 258 | 40,116 | 53,771 |
| NEURONES | 1,349 | 47,452 | 58,295 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| NEW RESIDENTIAL INVESTMENT | 13,300 | 110,457 | 142,443 |
| NEW WORK SE | 245 | 60,092 | 60,599 |
| NEW YORK TIMES CO A | 29,521 | 916,818 | 1,425,864 |
| NEWMARKET CORP | 4,951 | 1,544,245 | 1,696,807 |
| NEXEN CORP | 4,315 | 18,337 | 16,552 |
| NEXEN TIRE CORP | 2,255 | 17,844 | 12,937 |
| NEXITY | 464 | 24,222 | 21,813 |
| NEXSTAR MEDIA GROUP INC CL A | 2,658 | 288,001 | 401,305 |
| NEXT FIFTEEN COMMUNICATIONS | 554 | 2,161 | 10,055 |
| NICE INFORMATION + TELECOM | 3,480 | 72,764 | 86,360 |
| NICE INFORMATION SERVICE CO | 3,450 | 50,870 | 52,240 |
| NICHIREKI CO LTD | 16,145 | 118,948 | 199,368 |
| NICKEL ASIA CORP | 352,600 | 37,827 | 36,994 |
| NIHON FALCOM CORP | 800 | 11,499 | 9,573 |
| NIHON KOHDEN CORP | 500 | 14,128 | 13,699 |
| NIHON UNISYS LTD | 8,500 | 269,948 | 238,418 |
| NIKON CORP | 1,500 | 15,641 | 16,152 |
| NILFISK HOLDING A/S | 1,121 | 18,277 | 36,851 |
| NIMBUS GROUP AB | 2,605 | 19,868 | 21,579 |
| NINETY ONE LTD | 5,482 | 14,898 | 19,410 |
| NINETY ONE PLC | 795 | 1,992 | 2,863 |
| NINTENDO CO LTD | 3,000 | 1,717,860 | 1,397,681 |
| NIPPON CHEMIPHAR CO LTD | 1,000 | 43,034 | 17,463 |
| NIRAKU GC HOLDINGS INC | 55,813 | 7,349 | 2,040 |
| NISHI NIPPON FINANCIAL HOLDI | 2,400 | 17,374 | 15,506 |
| NISSHA CO LTD | 1,600 | 22,406 | 23,204 |
| NISSHIN GROUP HOLDINGS CO LT | 17,600 | 70,036 | 77,489 |
| NISSUI PHARMACEUTICAL CO LTD | 2,700 | 24,205 | 24,220 |
| NITTO KOGYO CORP | 500 | 9,987 | 6,904 |
| NITTO KOHKI CO LTD | 1,000 | 16,529 | 15,900 |
| NJS CO LTD | 2,600 | 39,431 | 43,666 |
| NOMAD FOODS LTD | 19,517 | 382,763 | 495,537 |
| NORCROS PLC | 7,370 | 16,636 | 31,245 |
| NOROO HOLDINGS CO LTD | 6,128 | 78,084 | 65,726 |
| NOROO PAINT + COATINGS CO LT | 4,754 | 41,641 | 59,587 |
| NORTH MEDIA AS | 1,613 | 26,828 | 26,636 |
| NORTH PACIFIC BANK LTD | 4,300 | 12,919 | 9,335 |
| NOVARTIS AG REG | 29,406 | 2,511,360 | 2,590,917 |
| NP3 FASTIGHETER AB PREF | 9,877 | 37,941 | 37,037 |
| NPC | 6,407 | 17,575 | 56,592 |
| NRG ENERGY INC | 4,500 | 168,975 | 193,860 |
| NTT DATA INTRAMART CORP | 600 | 13,145 | 12,119 |
| NU SKIN ENTERPRISES INC A | 1,800 | 99,261 | 91,350 |
| NUFARM LTD | 25,811 | 88,879 | 91,015 |
| NUMIS CORPORATION PLC | 2,617 | 14,783 | 12,123 |
| NUVISTA ENERGY LTD | 54,600 | 102,312 | 300,848 |
| NWF GROUP PLC | 5,080 | 12,524 | 14,587 |
| NZME LTD | 46,360 | 31,698 | 45,166 |
| NZX LTD | 1,805 | 1,901 | 2,237 |
| O TA PRECISION INDUSTRY CO | 3,000 | 17,043 | 15,940 |
| OBSIDIAN ENERGY LTD | 17,900 | 45,590 | 73,831 |
| OCEAN WILSONS HOLDINGS LTD | 875 | 13,195 | 11,052 |
| OCHI HOLDINGS CO LTD | 1,300 | 16,658 | 14,755 |
| ODP CORP/THE | 130 | 3,809 | 5,106 |
| OESTERREICHISCHE POST AG | 12 | 506 | 516 |
| OFFICE PROPERTIES INCOME TRU | 2,900 | 65,888 | 72,036 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| OKABE CO LTD | 2,500 | 14,673 | 15,740 |
| OLD REPUBLIC INTL CORP | 10,300 | 161,041 | 253,174 |
| OLVI OYJ A SHARES | 257 | 13,208 | 14,964 |
| OM HOLDINGS LTD | 29,031 | 20,121 | 18,996 |
| OMEGA HEALTHCARE INVESTORS | 9,800 | 329,136 | 289,982 |
| OMNIA HOLDINGS LTD | 39,550 | 126,877 | 158,621 |
| ON SEMICONDUCTOR | 17,590 | 1,105,009 | 1,194,713 |
| ON SEMICONDUCTOR | 16,358 | 491,558 | 1,111,035 |
| OPENJOBMETIS SPA AGENZIA PER | 1,535 | 20,048 | 22,431 |
| OPSENS INC | 1,900 | 2,419 | 4,663 |
| OPTEX GROUP CO LTD | 700 | 12,327 | 9,987 |
| OPTINOSE INC | 15,486 | 175,031 | 25,087 |
| ORACLE CORP | 8,790 | 525,499 | 766,576 |
| ORANGE POLSKA SA | 67,635 | 128,478 | 141,801 |
| ORASCOM CONSTRUCTION PLC | 3,464 | 21,395 | 16,733 |
| ORGANIC TEA COSMETICS HOLDIN | 10,355 | 25,359 | 5,131 |
| ORGANON + CO | 1,360 | 47,061 | 41,412 |
| ORICA LTD | 2,556 | 26,001 | 25,441 |
| ORIENTAL WATCH HOLDINGS | 46,000 | 8,617 | 28,793 |
| ORIENTAL WEAVERS | 146,038 | 80,827 | 80,781 |
| ORIGIN CO LTD | 900 | 18,459 | 9,918 |
| ORIOLA CORP B | 6,613 | 17,609 | 15,078 |
| ORORA LTD | 9,189 | 24,617 | 23,383 |
| ORSERO SPA | 1,897 | 23,674 | 25,564 |
| OSHKOSH CORP | 4,400 | 363,158 | 495,924 |
| OSI SYSTEMS INC | 295 | 27,500 | 27,494 |
| OVERSTOCK.COM INC | 10,376 | 764,958 | 612,288 |
| OWENS CORNING | 4,600 | 336,949 | 416,300 |
| OXFORD BIOMEDICA PLC | 630 | 12,935 | 10,496 |
| OYO CORP | 1,514 | 15,654 | 28,267 |
| PACIFIC ANDES RESOURCES DEVE | 12,250 | 1,777 | - |
| PACIFIC CURRENT GROUP LTD | 2,969 | 15,488 | 15,779 |
| PACIFIC ONLINE | 52,000 | 11,410 | 9,138 |
| PACIRA BIOSCIENCES INC | 6,863 | 374,619 | 412,947 |
| PACKAGING CORP OF AMERICA | 2,735 | 322,932 | 372,370 |
| PALFINGER AG | 953 | 29,637 | 37,281 |
| PAN HONG PROPERTY GROUP LTD | 30,500 | 2,155 | 3,733 |
| PANDORA A/S | 2,402 | 240,641 | 299,467 |
| PARETO BANK ASA | 4,158 | 19,441 | 28,055 |
| PARIS MIKI HOLDINGS INC | 3,900 | 11,357 | 7,519 |
| PARKER CORP | 5,000 | 26,340 | 23,143 |
| PASCO CORP | 600 | 8,017 | 7,060 |
| PASONA GROUP INC | 2,200 | 44,165 | 63,045 |
| PAX GLOBAL TECHNOLOGY LTD | 421,000 | 197,926 | 298,080 |
| PC PARTNER GROUP LTD | 72,000 | 79,611 | 126,337 |
| PCC ROKITA SA | 772 | 16,101 | 17,545 |
| PEC LTD | 65,300 | 31,712 | 26,640 |
| PEGASUS SEWING MACHINE MFG | 4,500 | 22,184 | 20,828 |
| PENDRAGON PLC | 538 | 362 | 169 |
| PERION NETWORK LTD | 6,026 | 113,665 | 144,925 |
| PEYTO EXPLORATION + DEV CORP | 22,600 | 133,455 | 169,077 |
| PFEIFFER VACUUM TECHNOLOGY | 250 | 61,691 | 61,409 |
| PFIZER INC | 43,600 | 1,611,244 | 2,574,580 |
| PGE SA | 11,512 | 29,538 | 23,027 |
| PGG WRIGHTSON LTD | 2,749 | 9,349 | 9,599 |
| PGT INNOVATIONS INC | 19,268 | 340,200 | 433,337 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| PHAROS ENERGY PLC | 101,293 | 37,907 | 35,671 |
| PHILCOMSAT HOLDINGS CORP | 1,172 | - | 960 |
| PHILIP MORRIS INTERNATIONAL | 4,300 | 368,397 | 408,500 |
| PHILIPPINE NATIONAL BANK | 7,471 | 6,679 | 2,945 |
| PHILLIPS 66 | 2,900 | 202,826 | 210,134 |
| PHISON ELECTRONICS CORP | 28,000 | 468,799 | 518,162 |
| PHX ENERGY SERVICES CORP | 9,200 | 20,199 | 32,484 |
| PICANOL | 489 | 37,444 | 35,256 |
| PICO FAR EAST HOLDINGS LTD | 178,000 | 22,639 | 28,996 |
| PILGRIM S PRIDE CORP | 1,803 | 35,357 | 50,845 |
| PILIPINAS SHELL PETROLEUM | 47,800 | 17,747 | 18,635 |
| PINE CLIFF ENERGY LTD | 47,500 | 30,631 | 25,571 |
| PISCINES DESJOYAUX SA | 415 | 13,669 | 13,969 |
| PLAYMATES HOLDINGS LTD | 354,000 | 40,904 | 34,963 |
| POH HUAT RESOURCES HLDGS BHD | 30,100 | 12,457 | 9,754 |
| POINTS.COM INC | 7,890 | 96,385 | 122,137 |
| POLAR CAPITAL HOLDINGS PLC | 698 | 8,129 | 7,497 |
| POLARIS INC | 780 | 77,918 | 85,730 |
| POLYPLEX PCL NVDR | 105,300 | 95,461 | 75,023 |
| PONSSE OYJ | 497 | 16,969 | 23,851 |
| POOL CORP | 1,248 | 302,520 | 706,368 |
| POONGSAN CORP | 12,903 | 372,754 | 338,110 |
| POONGSAN HOLDINGS CORP | 3,986 | 90,110 | 94,893 |
| POPULAR INC | 9,650 | 398,077 | 791,686 |
| PORTOBELLO SA | 64,200 | 72,234 | 111,111 |
| POST HOLDINGS INC | 2,182 | 153,961 | 245,977 |
| POU CHEN | 336,000 | 376,571 | 402,588 |
| POWERTECH TECHNOLOGY INC | 131,000 | 525,500 | 462,598 |
| PRECISION DRILLING CORP | 1,618 | 23,460 | 57,245 |
| PRECISION TSUGAMI CHINA CORP | 8,000 | 11,294 | 10,005 |
| PREMIER INC CLASS A | 24,971 | 842,465 | 1,028,056 |
| PREMIER INVESTMENTS LIMITED | 589 | 12,900 | 12,984 |
| PRESTIGE CONSUMER HEALTHCARE | 19,497 | 717,697 | 1,182,493 |
| PRIMA ANDALAN MANDIRI TBK PT | 53,272 | 12,613 | 13,830 |
| PRIMA INDUSTRIE SPA | 587 | 13,598 | 12,296 |
| PRIMAX ELECTRONICS LTD | 22,000 | 32,056 | 45,086 |
| PRIMORIS SERVICES CORP | 9,500 | 188,243 | 227,810 |
| PROACT IT GROUP AB | 219 | 1,141 | 2,104 |
| PROBI AB | 109 | 5,146 | 4,786 |
| PROGRESSIVE CORP | 11,750 | 441,246 | 1,206,138 |
| PROPNEX LTD | 21,600 | 30,143 | 27,077 |
| PROSPERITY BANCSHARES INC | 6,144 | 357,664 | 444,211 |
| PROTO CORP | 3,600 | 25,994 | 42,923 |
| PRUDENTIAL FINANCIAL INC | 1,800 | 140,526 | 194,832 |
| PSG KONSULT LTD | 2,386 | 1,255 | 2,009 |
| PULTEGROUP INC | 11,226 | 501,198 | 641,678 |
| PULTEGROUP INC | 5,200 | 224,224 | 297,232 |
| PUMA BIOTECHNOLOGY INC | 6,358 | 265,130 | 19,328 |
| PUREUN MUTUAL SAVINGS BANK | 3,272 | 22,553 | 33,443 |
| QILU EXPRESSWAY CO LTD | 44,000 | 13,144 | 18,060 |
| QINETIQ GROUP PLC | 11,738 | 34,346 | 42,290 |
| QT GROUP OYJ | 116 | 22,116 | 17,624 |
| QUALYS INC | 3,096 | 330,048 | 424,833 |
| QUEST DIAGNOSTICS INC | 2,000 | 238,340 | 346,020 |
| RACKSPACE TECHNOLOGY INC | 49,459 | 926,532 | 666,213 |
| RADIAN GROUP INC | 12,600 | 230,834 | 266,238 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| RADWARE LTD | 3,263 | 118,603 | 135,871 |
| RADWARE LTD | 21,661 | 557,497 | 901,964 |
| RAKON LTD | 24,987 | 19,533 | 35,583 |
| RANDON PARTICIPACOES SA PREF | 97,500 | 253,102 | 190,274 |
| RANDSTAD NV | 5,463 | 347,151 | 373,000 |
| RAPALA VMC OYJ | 615 | 2,347 | 6,099 |
| RAVEN PROPERTY GROUP LTD | 11,476 | 4,664 | 5,285 |
| RAYDIUM SEMICONDUCTOR CORP | 28,505 | 208,460 | 590,355 |
| RAYMOND JAMES FINANCIAL INC | 7,783 | 109,959 | 781,413 |
| RAYSEARCH LABORATORIES AB | 4,493 | 42,484 | 28,038 |
| RCE CAPITAL BHD | 19,000 | 12,321 | 17,194 |
| RCS MEDIAGROUP SPA | 15,578 | 14,072 | 15,678 |
| READYTECH HOLDINGS LTD | 15,572 | 43,156 | 44,154 |
| REGENERON PHARMACEUTICALS | 500 | 265,350 | 315,760 |
| REGIONS FINANCIAL CORP | 22,700 | 404,171 | 494,860 |
| REGIS HEALTHCARE LTD | 9,898 | 15,671 | 13,457 |
| REINSURANCE GROUP OF AMERICA | 3,442 | 296,247 | 376,865 |
| REINSURANCE GROUP OF AMERICA | 1,700 | 179,682 | 186,133 |
| RELIANCE STEEL + ALUMINUM | 3,045 | 200,358 | 493,960 |
| RELIANCE STEEL + ALUMINUM | 1,500 | 167,648 | 243,330 |
| RENT A CENTER INC | 10,991 | 595,135 | 528,008 |
| RENT A CENTER INC | 2,160 | 82,706 | 103,766 |
| RENTA 4 BANCO SA | 2,136 | 19,265 | 24,533 |
| RESEARCH SOLUTIONS INC | 248,900 | 630,655 | 612,294 |
| RFM CORPORATION | 5,000 | 469 | 452 |
| RHYTHM CO LTD | 900 | 16,710 | 10,090 |
| RICHELIEU HARDWARE LTD | 1,687 | 59,677 | 58,270 |
| RIDLEY CORP LTD | 35,278 | 35,303 | 38,345 |
| RIETER HOLDING AG REG | 188 | 42,497 | 36,521 |
| RIKEN CORP | 600 | 13,923 | 13,411 |
| RIKEN TECHNOS CORP | 5,700 | 23,341 | 25,739 |
| RION CO LTD | 1,100 | 17,639 | 22,505 |
| RM PLC | 3,839 | 8,729 | 10,334 |
| RMB HOLDINGS LTD | 190,089 | 18,864 | 17,866 |
| ROKU INC | 6,035 | 1,248,643 | 1,377,187 |
| ROLAND DG CORP | 1,800 | 37,846 | 58,460 |
| ROOTS CORP | 3,561 | 10,261 | 8,993 |
| ROSENBAUER INTERNATIONAL AG | 34 | 1,898 | 1,794 |
| ROTHSCHILD + CO | 410 | 12,439 | 18,813 |
| ROYAL CARIBBEAN CRUISES LTD | 1,600 | 119,504 | 123,040 |
| RUSSEL METALS INC | 4,200 | 65,549 | 111,820 |
| RYDER SYSTEM INC | 3,800 | 310,814 | 313,234 |
| S + U PLC | 349 | 13,742 | 12,763 |
| S POOL INC | 2,000 | 21,288 | 21,137 |
| S+C ENGINE GROUP LTD | 10,085 | 13,398 | 178 |
| SABAF SPA | 926 | 18,892 | 25,273 |
| SABINA PCL NVDR | 22,400 | 14,182 | 13,277 |
| SABRA HEALTH CARE REIT INC | 9,100 | 132,451 | 123,214 |
| SAERON AUTOMOTIVE CORP | 9,808 | 57,191 | 45,214 |
| SAF HOLLAND SE | 1,133 | 14,891 | 15,848 |
| SAFE BULKERS INC | 8,761 | 30,133 | 33,029 |
| SAINT CARE HOLDING CORP | 300 | 1,660 | 2,050 |
| SALZGITTER AG | 967 | 27,377 | 34,552 |
| SAM JUNG PULP CO LTD | 220 | 8,956 | 5,552 |
| SAM YOUNG ELECTRONICS CO LTD | 2,981 | 33,683 | 28,588 |
| SAMCHULLY CO LTD | 142 | 12,936 | 10,846 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| SAMSUNG ELECTRONICS CO LTD | 43,011 | 1,466,099 | 2,833,027 |
| SAMSUNG ENGINEERING CO LTD | 790 | 13,997 | 15,219 |
| SAMSUNG SECURITIES CO LTD | 571 | 22,515 | 21,567 |
| SAMYANG CORP | 613 | 22,181 | 32,332 |
| SANDFIRE RESOURCES LTD | 78,648 | 327,608 | 376,251 |
| SANDNES SPAREBANK | 2,222 | 16,598 | 24,895 |
| SANKO METAL INDUSTRIAL CO | 300 | 10,454 | 6,331 |
| SANLORENZO SPA/AMEGLIA | 1,323 | 41,931 | 57,021 |
| SANSIRI PCL NVDR | 1,769,700 | 64,150 | 65,162 |
| SANY HEAVY EQUIPMENT INTL | 1,000 | 477 | 967 |
| SAO MARTINHO SA | 1,800 | 13,825 | 11,130 |
| SARINE TECHNOLOGIES LTD | 17,400 | 9,123 | 8,002 |
| SASOL LTD | 2,120 | 13,096 | 34,404 |
| SATO HOLDINGS CORP | 1,200 | 28,739 | 22,936 |
| SATO SHOJI CORP | 6,200 | 44,414 | 63,263 |
| SAXA HOLDINGS INC | 1,400 | 29,988 | 16,218 |
| SCANFIL OYJ | 2,512 | 15,931 | 21,311 |
| SCHOELLER BLECKMANN OILFIELD | 1,186 | 51,272 | 41,743 |
| SCIENCE APPLICATIONS INTE | 3,723 | 339,536 | 311,206 |
| SCOTTS MIRACLE GRO CO | 2,994 | 450,744 | 482,034 |
| SCREEN HOLDINGS CO LTD | 100 | 9,837 | 10,742 |
| SCS GROUP PLC | 4,380 | 13,378 | 13,526 |
| SEAGATE TECHNOLOGY HOLDINGS | 7,200 | 402,851 | 813,456 |
| SEAH BESTEEL CORP | 2,717 | 45,141 | 45,483 |
| SEAH HOLDINGS CORP | 154 | 13,964 | 13,538 |
| SEAH STEEL CORP/NEW | 136 | 11,293 | 10,937 |
| SEAH STEEL HOLDINGS CORP | 376 | 16,252 | 32,579 |
| SECHE ENVIRONNEMENT | 8 | 556 | 653 |
| SECTRA AB B SHS | 7,160 | 34,081 | 158,323 |
| SECUREWORKS CORP A | 43,414 | 616,298 | 693,322 |
| SEED CO LTD/TOKYO | 1,900 | 12,461 | 9,553 |
| SEIKAGAKU CORP | 16,700 | 176,978 | 136,466 |
| SELECT MEDICAL HOLDINGS CORP | 12,600 | 311,112 | 370,440 |
| SELVAAG BOLIG ASA | 5,529 | 25,927 | 31,977 |
| SEMCON AB | 1,648 | 10,596 | 23,080 |
| SEMPERIT AG HOLDING | 2,227 | 45,011 | 74,204 |
| SEMTECH CORP | 16,275 | 858,174 | 1,447,336 |
| SENAO NETWORKS INC | 8,000 | 25,418 | 29,638 |
| SENSHU ELECTRIC CO LTD | 400 | 7,748 | 20,668 |
| SEOHEE CONSTRUCTION CO LTD | 78,858 | 84,011 | 110,119 |
| SERGE FERRARI GROUP | 1,467 | 19,775 | 20,820 |
| SERVICE PROPERTIES TRUST | 6,000 | 68,940 | 52,740 |
| SERVIZI ITALIA SPA | 815 | 4,815 | 1,923 |
| SEVERFIELD PLC | 23,029 | 25,625 | 21,210 |
| SG FLEET GROUP LTD | 16,228 | 25,377 | 29,496 |
| SGL CARBON SE | 1,213 | 12,598 | 10,608 |
| SHANGHAI FUDAN ZHANGJIANG H | 50 | 45 | 25 |
| SHAVER SHOP GROUP LTD | 58,010 | 50,102 | 50,401 |
| SHAWCOR LTD | 1,575 | 8,382 | 6,122 |
| SHELL PLC | 35,346 | 711,592 | 776,715 |
| SHIBAURA MECHATRONICS CORP | 500 | 12,356 | 41,900 |
| SHIN KONG FINANCIAL HOLDING | 47,000 | 16,148 | 18,771 |
| SHIN YOUNG WACOAL INC | 58 | 7,303 | 5,782 |
| SHINDENGEN ELECTRIC MFG | 200 | 8,323 | 6,443 |
| SHINE JUSTICE LTD | 11,463 | 8,663 | 11,918 |
| SHINMAYWA INDUSTRIES LTD | 3,700 | 28,023 | 28,114 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| SHINYOUNG SECURITIES CO LTD | 285 | 14,324 | 14,385 |
| SHL JAPAN LTD | 500 | 9,170 | 11,046 |
| SHOFU INC | 1,100 | 13,177 | 19,535 |
| SHOUGANG FUSHAN RESOURCES GR | 686,000 | 166,851 | 232,295 |
| SHUTTERSTOCK INC | 7,603 | 419,343 | 843,021 |
| SIEGFRIED HOLDING AG REG | 57 | 19,714 | 55,646 |
| SIGNATURE BANK | 2,648 | 262,576 | 856,549 |
| SILGAN HOLDINGS INC | 3,200 | 118,656 | 137,088 |
| SILICON MOTION TECHNOL ADR | 6,496 | 423,670 | 617,315 |
| SILICON MOTION TECHNOL ADR | 14,108 | 632,479 | 1,340,683 |
| SIME DARBY BERHAD | 46,100 | 25,301 | 25,673 |
| SIMMTECH CO LTD | 383 | 12,607 | 14,708 |
| SIMS LTD | 38 | 415 | 444 |
| SINFONIA TECHNOLOGY CO LTD | 1,600 | 18,543 | 18,229 |
| SING INVESTMENTS + FINANCE | 6,200 | 6,243 | 6,783 |
| SING TAO NEWS CORP LTD | 64,000 | 9,011 | 4,187 |
| SINKO INDUSTRIES LTD | 1,400 | 27,107 | 24,449 |
| SINO OCEAN GROUP HOLDING LTD | 84,000 | 17,328 | 19,609 |
| SINOPEC ENGINEERING GROUP H | 67,000 | 38,529 | 33,086 |
| SINOSTAR PEC HOLDINGS LTD | 41,700 | 13,296 | 9,125 |
| SINOTRUK HONG KONG LTD | 84,721 | 50,470 | 130,402 |
| SINTOKOGIO LTD | 2,200 | 13,194 | 13,564 |
| SIT SPA | 804 | 8,125 | 9,829 |
| SITRONIX TECHNOLOGY CORP | 2,000 | 10,396 | 23,132 |
| SIX FLAGS ENTERTAINMENT CORP | 25,699 | 850,425 | 1,094,263 |
| SIXTH STREET SPECIALTY LENDI | 13,762 | 319,335 | 321,893 |
| SKECHERS USA INC CL A | 23,508 | 757,741 | 1,020,247 |
| SKECHERS USA INC CL A | 12,612 | 431,668 | 547,361 |
| SKELLERUP HOLDINGS LTD | 31,518 | 51,652 | 136,810 |
| SKJERN BANK | 1,322 | 19,422 | 20,921 |
| SKY NETWORK TELEVISION LTD | 78,479 | 84,742 | 145,073 |
| SKYLINE CHAMPION CORP | 8,949 | 279,566 | 706,792 |
| SKYWORTH GROUP LTD | 39,200 | 11,367 | 27,453 |
| SLC AGRICOLA SA | 1,300 | 12,848 | 10,510 |
| SLEEP NUMBER CORP | 4,842 | 451,807 | 370,897 |
| SLEEP NUMBER CORP | 2,000 | 163,720 | 153,200 |
| SMARTGROUP CORP LTD | 2,869 | 15,528 | 16,166 |
| SNAP ON INC | 660 | 112,952 | 142,151 |
| SNT DYNAMICS CO LTD | 4,712 | 19,799 | 35,516 |
| SNU PRECISION CO LTD | 4,220 | 12,491 | 12,265 |
| SODA NIKKA CO LTD | 8,800 | 38,489 | 62,893 |
| SODEXO SA | 4,728 | 464,394 | 414,327 |
| SOFI TECHNOLOGIES INC | 38,965 | 651,484 | 616,037 |
| SOFT WORLD INTL CORP | 1,000 | 4,124 | 3,560 |
| SOKEN CHEMICAL + ENGINEERING | 1,894 | 27,543 | 27,665 |
| SOLARWINDS CORP | 4,103 | 74,425 | 58,222 |
| SOMBOON ADV TECH NVDR | 134,800 | 92,888 | 87,970 |
| SONGWON INDUSTRIAL CO LTD | 1,311 | 22,115 | 23,656 |
| SONIX TECHNOLOGY CO LTD | 3,000 | 11,944 | 9,976 |
| SOUTHERN STEEL BERHAD | 46,900 | 9,297 | 9,231 |
| SOUTHWEST AIRLINES CO | 23,305 | 1,108,429 | 998,386 |
| SPACE CO LTD | 3,000 | 26,121 | 23,525 |
| SPAREBANK 1 NORD NORGE | 686 | 3,193 | 8,759 |
| SPAREBANKEN MORE CAP CERT | 1,279 | 45,090 | 64,397 |
| SPAREBANKEN OST | 218 | 1,497 | 1,414 |
| SPK CORP | 1,200 | 16,051 | 14,495 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| SPOTIFY TECHNOLOGY SA | 2,309 | 552,968 | 540,375 |
| SPROUTS FARMERS MARKET INC | 11,000 | 292,672 | 326,480 |
| SRI TRANG AGRO INDS PCL NVDR | 18,900 | 23,395 | 17,539 |
| STALEXPORT AUTOSTRADY SA | 10,446 | 12,956 | 9,045 |
| STANDEX INTERNATIONAL CORP | 9,989 | 705,977 | 1,105,383 |
| STAR MICRONICS CO LTD | 1,900 | 26,949 | 25,558 |
| STARRAG GROUP HOLDING AG | 178 | 12,589 | 9,104 |
| STARTS CORP INC | 600 | 15,727 | 13,083 |
| STATE STREET CORP | 6,000 | 376,902 | 558,000 |
| STEEL DYNAMICS INC | 3,500 | 129,045 | 217,245 |
| STELCO HOLDINGS INC | 17,066 | 235,169 | 556,910 |
| STHREE PLC | 572 | 2,385 | 3,595 |
| STO SE + CO. KGAA PREF SHRS | 126 | 16,721 | 31,666 |
| STONEX GROUP INC | 22,393 | 1,125,538 | 1,371,571 |
| STRABAG SE BR | 1,779 | 62,484 | 74,146 |
| STRATEC SE | 86 | 13,389 | 13,477 |
| STRATEGIC EDUCATION INC | 5,629 | 529,728 | 325,581 |
| STRIDE INC | 30,629 | 729,574 | 1,020,865 |
| STUDIO ALICE CO LTD | 77 | 1,775 | 1,433 |
| SUGIMOTO + CO LTD | 2,600 | 33,184 | 54,865 |
| SUMITOMO SEIKA CHEMICALS CO | 700 | 19,956 | 19,057 |
| SUMMIT REAL ESTATE HOLDING | 841 | 10,015 | 17,704 |
| SUN COMMUNITIES INC | 3,940 | 366,395 | 827,282 |
| SUN FRONTIER FUDOUSAN CO LTD | 4,100 | 41,202 | 35,711 |
| SUN HING VISION GROUP HLDGS | 14,000 | 5,545 | 2,137 |
| SUNLAND GROUP LTD | 17,009 | 32,449 | 34,008 |
| SUNNEXTA GROUP INC | 4,200 | 38,413 | 39,099 |
| SUNPLUS TECHNOLOGY CO LTD | 34,000 | 47,735 | 47,743 |
| SUPALAI PCL NVDR | 50,400 | 31,580 | 34,249 |
| SUPERNUS PHARMACEUTICALS INC | 12,905 | 436,677 | 376,310 |
| SUPREMEX INC | 3,976 | 14,844 | 8,656 |
| SURIA CAPITAL HOLDINGS BHD | 97,900 | 30,093 | 27,965 |
| SVB FINANCIAL GROUP | 4,031 | 1,795,201 | 2,733,985 |
| SVEDBERGS I DALSTORP AB B SH | 4,003 | 28,773 | 38,023 |
| SVOLDER AB B | 1,081 | 34,745 | 43,819 |
| SWEDENCARE AB | 5,930 | 9,536 | 100,800 |
| SYLVAMO CORP | 363 | 10,156 | 10,124 |
| SYLVANIA PLATINUM LTD | 13,072 | 8,244 | 15,669 |
| SYNERGIE SA | 1,197 | 44,538 | 49,549 |
| SYNOPSYS INC | 5,649 | 1,207,288 | 2,081,657 |
| SYNOVUS FINANCIAL CORP | 8,500 | 206,933 | 406,895 |
| SYSTEMAIR AB | 60 | 203 | 675 |
| TA ANN HOLDINGS BERHAD | 28,400 | 18,623 | 23,928 |
| TACHIKAWA CORP | 900 | 6,418 | 8,878 |
| TADIRAN GROUP LTD | 234 | 9,702 | 32,705 |
| TAEKWANG INDUSTRIAL CO LTD | 23 | 13,397 | 20,180 |
| TAI HING GROUP HOLDINGS LTD | 98,000 | 23,805 | 17,095 |
| TAIWAN HON CHUAN ENTERPRISE | 26,000 | 67,020 | 67,286 |
| TAKANO CO LTD | 2,700 | 19,110 | 16,835 |
| TAKEUCHI MFG CO LTD | 500 | 12,480 | 11,849 |
| TAMEDIA AG REG | 114 | 12,086 | 19,568 |
| TAMRON CO LTD | 4,200 | 79,777 | 104,129 |
| TANG PALACE CHINA HOLDINGS | 115,000 | 15,873 | 10,325 |
| TANSEISHA CO LTD | 2,700 | 32,187 | 18,406 |
| TARGET CORP | 3,900 | 670,540 | 902,616 |
| TARO PHARMACEUTICAL INDUS | 3,633 | 386,724 | 182,050 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| TASEKO MINES LTD | 111,888 | 206,586 | 229,370 |
| TAURON POLSKA ENERGIA SA | 52,236 | 47,220 | 34,397 |
| TAURUS ARMAS | 84,800 | 123,782 | 375,434 |
| TD SYNNEX CORP | 6,577 | 449,322 | 752,146 |
| TD SYNNEX CORP | 1,000 | 76,070 | 114,360 |
| TE CHANG CONSTRUCTION CO LTD | 13,000 | 13,173 | 13,955 |
| TECAN GROUP AG REG | 52 | 25,020 | 31,703 |
| TECHNO MEDICA CO LTD | 2,400 | 41,377 | 34,138 |
| TEGNA INC | 58,200 | 1,101,383 | 1,080,192 |
| TEGNA INC | 2,800 | 39,060 | 51,968 |
| TEIKOKU ELECTRIC MFG CO LTD | 2,000 | 23,577 | 25,687 |
| TEKFEN HOLDING AS | 8,574 | 11,971 | 13,068 |
| TELCOWARE CO LTD | 1,835 | 23,098 | 16,903 |
| TELEDYNE TECHNOLOGIES INC | 3,972 | 1,300,158 | 1,735,327 |
| TELEDYNE TECHNOLOGIES INC | 1,554 | 203,212 | 678,927 |
| TELEFONICA BRASIL ADR | 84,209 | 1,039,282 | 728,408 |
| TELEPHONE AND DATA SYSTEMS | 25,523 | 532,470 | 514,288 |
| TELESTE OYJ | 2,368 | 18,167 | 14,111 |
| TELEVISION FRANCAISE (T.F.1) | 2,395 | 17,691 | 23,763 |
| TELKOM SA SOC LTD | 15,798 | 42,164 | 53,531 |
| TEMPUR SEALY INTERNATIONAL I | 8,691 | 335,721 | 408,738 |
| TERASAKI ELECTRIC CO LTD | 483 | 3,817 | 4,941 |
| TEXHONG TEXTILE GROUP LTD | 13,500 | 9,238 | 17,835 |
| TEXTRON INC | 3,100 | 149,823 | 239,320 |
| TEXWINCA HOLDINGS LTD | 260,000 | 53,182 | 51,691 |
| THAI OIL PCL NVDR | 39,400 | 67,119 | 58,383 |
| THAI RAYON PCL NVDR | 4,991 | 6,635 | 7,993 |
| THAI STANLEY ELECTRIC PUB CO | 4,200 | 21,459 | 22,003 |
| THERMADOR GROUPE | 176 | 18,475 | 20,335 |
| THRACE PLASTICS HOLDING AND | 3,138 | 10,342 | 24,587 |
| THUNGELA RESOURCES LTD | 12 | 60 | 62 |
| THYE MING INDUSTRIAL CO LTD | 59,200 | 93,529 | 81,738 |
| TIDEWATER MIDSTREAM AND INFR | 25,100 | 28,478 | 25,832 |
| TIGERS POLYMER CORP | 2,100 | 14,083 | 8,352 |
| TIM SA | 2,068 | 10,131 | 19,216 |
| TIME WATCH INVESTMENTS LTD | 90,000 | 12,074 | 8,081 |
| TIMKEN CO | 3,500 | 227,093 | 242,515 |
| TIONG WOON CORP HLDG LTD | 12,000 | 12,279 | 4,184 |
| TIPTREE INC | 30,345 | 306,782 | 419,671 |
| TISCO FINANCIAL GROUP NVDR | 7,500 | 20,526 | 21,554 |
| TK GROUP HOLDINGS LTD | 22,000 | 17,460 | 7,055 |
| TKH GROUP NV DUTCH CERT | 434 | 23,198 | 27,392 |
| TOAST INC CLASS A | 12,613 | 518,360 | 437,797 |
| TOBA INC | 700 | 15,900 | 16,218 |
| TOCHIGI BANK LTD/THE | 5,900 | 9,062 | 11,835 |
| TOHO HOLDINGS CO LTD | 600 | 15,325 | 9,144 |
| TOHOKUSHINSHA FILM CORP | 2,600 | 15,510 | 14,563 |
| TOKAI RIKA CO LTD | 1,000 | 16,330 | 13,443 |
| TOKUYAMA CORP | 1,100 | 17,915 | 17,462 |
| TOLI CORP | 26,500 | 62,179 | 49,017 |
| TOMATO BANK LTD | 1,100 | 10,406 | 10,135 |
| TOMSON GROUP LTD | 50,000 | 13,201 | 13,404 |
| TONGDA GROUP HOLDINGS LTD | 1,790,000 | 102,368 | 57,399 |
| TOP ENGINEERING CO LTD | 1,886 | 15,260 | 13,073 |
| TOPCO SCIENTIFIC CO LTD | 46,000 | 160,776 | 262,696 |
| TOPPS TILES PLC | 15,620 | 15,988 | 13,752 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| TORII PHARMACEUTICAL CO LTD | 300 | 8,242 | 7,498 |
| TOTAL ENERGY SERVICES INC | 13,308 | 39,617 | 63,529 |
| TOURISM HOLDINGS LTD | 11,202 | 19,038 | 22,625 |
| TOURMALINE OIL CORP | 27,400 | 532,446 | 885,893 |
| TOWA BANK LTD/THE | 1,300 | 9,177 | 6,119 |
| TOWA PHARMACEUTICAL CO LTD | 600 | 11,429 | 14,923 |
| TOYO MACHINERY + METAL CO | 1,300 | 7,065 | 6,107 |
| TRACTOR SUPPLY COMPANY | 1,694 | 112,156 | 404,188 |
| TRADEWEB MARKETS INC CLASS A | 23,088 | 1,210,640 | 2,312,032 |
| TRANSGLOBE ENERGY CORP | 8,704 | 26,433 | 26,199 |
| TRANSTEMA GROUP AB | 4,220 | 11,921 | 22,140 |
| TRANSUNION | 16,594 | 1,575,166 | 1,967,717 |
| TRANSUNION | 5,624 | 363,269 | 666,894 |
| TRAVEL LEISURE CO | 11,196 | 364,438 | 618,803 |
| TREX COMPANY INC | 6,638 | 644,975 | 896,329 |
| TRIGANO SA | 66 | 13,056 | 12,834 |
| TRIMBLE INC | 18,919 | 816,231 | 1,649,548 |
| TRINITY BIOTECH PLC SPON ADR | 8,655 | 49,553 | 12,377 |
| TSAKOS ENERGY NAVIGATION LTD | 1,527 | 12,646 | 11,055 |
| TSANN KUEN ENTERPRISE CO LTD | 65,000 | 63,138 | 90,568 |
| TSRC CORP | 14,000 | 9,708 | 20,443 |
| TST GROUP HOLDING LTD | 4,000 | 20,282 | 19,662 |
| TUPRAS TURKIYE PETROL RAFINE | 3,542 | 56,254 | 41,182 |
| TURK TELEKOMUNIKASYON AS | 27,385 | 20,410 | 19,859 |
| TURNERS AUTOMOTIVE GROUP LTD | 5,345 | 16,157 | 16,358 |
| TV TOKYO HOLDINGS CORP | 500 | 14,231 | 8,866 |
| TYLER TECHNOLOGIES INC | 2,394 | 841,518 | 1,287,852 |
| TYSON FOODS INC CL A | 6,300 | 398,659 | 549,108 |
| UBS GROUP AG REG | 113,087 | 1,704,630 | 2,037,961 |
| UCHI TECHNOLOGIES BHD | 53,500 | 40,460 | 40,324 |
| UFP INDUSTRIES INC | 7,038 | 387,518 | 647,566 |
| UFP TECHNOLOGIES INC | 13,427 | 503,679 | 943,381 |
| UGI CORP | 6,400 | 223,072 | 293,824 |
| ULLICO INC | 98,595 | 2,464,875 | 2,782,351 |
| UMANIS REG | 1,392 | 21,922 | 23,903 |
| UMS HOLDINGS LTD | 84,100 | 66,806 | 94,820 |
| UNI SELECT INC | 2,600 | 37,414 | 52,982 |
| UNID CO LTD | 702 | 41,563 | 59,349 |
| UNION SEMICONDUCTOR EQUIPMEN | 13,408 | 70,021 | 164,674 |
| UNION TOOL CO | 400 | 14,016 | 13,825 |
| UNIPAR CARBOCLORO SA PREF B | 800 | 11,879 | 14,828 |
| UNIPAR CARBOCLORO SA ORD | 4,500 | 87,376 | 83,375 |
| UNIRITA INC | 1,100 | 17,671 | 17,576 |
| UNITECH COMPUTER CO LTD | 10,668 | 7,239 | 14,363 |
| UNITED AIRLINES HOLDINGS INC | 2,700 | 116,775 | 118,206 |
| UNITED RENTALS INC | 600 | 109,451 | 199,374 |
| UNITED THERAPEUTICS CORP | 1,400 | 145,635 | 302,512 |
| UNITI GROUP INC | 15,519 | 193,050 | 217,421 |
| UNIVERSAL HEALTH SERVICES B | 1,800 | 201,141 | 233,388 |
| UOB KAY HIAN HOLDINGS LTD | 21,400 | 25,856 | 25,239 |
| UP GLOBAL SOURCING HOLDINGS | 532 | 1,499 | 1,470 |
| USERJOY TECHNOLOGY CO LTD | 25,387 | 81,689 | 85,978 |
| USU SOFTWARE AG | 441 | 14,724 | 12,136 |
| UTAH MEDICAL PRODUCTS INC | 2,749 | 247,401 | 274,900 |
| V ZUG HOLDING AG | 150 | 12,935 | 20,249 |
| VAIL RESORTS INC | 2,180 | 528,781 | 714,822 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| VAISALA OYJ A SHS | 635 | 29,918 | 38,489 |
| VALERO ENERGY CORP | 6,800 | 331,753 | 510,748 |
| VALMET OYJ | 395 | 6,944 | 16,944 |
| VALVOLINE INC | 16,461 | 350,040 | 613,831 |
| VALVOLINE INC | 12,100 | 258,997 | 451,209 |
| VAN LANSCHOT KEMPEN NV | 389 | 10,575 | 9,732 |
| VBG GROUP AB B SHS | 1,063 | 20,902 | 22,073 |
| VEDAN INTERNATIONAL HOLDINGS | 332,000 | 42,117 | 30,235 |
| VEEVA SYSTEMS INC CLASS A | 12,725 | 1,269,929 | 3,250,983 |
| VERIZON COMMUNICATIONS INC | 28,500 | 1,678,485 | 1,480,860 |
| VERKKOKAUPPA.COM OYJ | 2,719 | 24,040 | 22,046 |
| VERTIV HOLDINGS CO | 29,914 | 477,935 | 746,953 |
| VETOQUINOL SA | 67 | 2,613 | 10,057 |
| VIACOMCBS INC CLASS B | 9,200 | 302,938 | 277,656 |
| VIASAT INC | 56,528 | 2,374,892 | 2,517,757 |
| VIATRIS INC | 11,165 | 179,219 | 151,062 |
| VIEMED HEALTHCARE INC | 11,493 | 92,062 | 60,051 |
| VIEMED HEALTHCARE INC | 182,902 | 1,405,610 | 954,749 |
| VIFOR PHARMA AG | 8,057 | 1,042,238 | 1,434,723 |
| VILLEROY + BOCH AG PFD | 2,058 | 36,480 | 53,828 |
| VINCENT MEDICAL HOLDINGS LTD | 67,113 | 19,577 | 8,608 |
| VIRBAC SA | 62 | 9,567 | 29,930 |
| VISANG EDUCATION INC | 1,680 | 14,033 | 11,023 |
| VISHAY INTERTECHNOLOGY INC | 6,300 | 130,473 | 137,781 |
| VISTRA CORP | 12,653 | 222,308 | 288,109 |
| VISTRA CORP | 30,600 | 624,100 | 696,762 |
| VITROLIFE AB | 8,286 | 31,225 | 512,507 |
| VMWARE INC CLASS A | 2,070 | 188,555 | 239,872 |
| VONTIER CORP | 27,199 | 840,195 | 835,825 |
| VOXEL SA | 1,378 | 17,134 | 15,557 |
| VOYA FINANCIAL INC | 2,200 | 129,382 | 145,882 |
| VRG SA | 19,005 | 11,885 | 17,777 |
| WACKER CHEMIE AG | 72 | 12,339 | 10,775 |
| WAGNERS HOLDING CO LTD | 17,218 | 26,686 | 20,436 |
| WAJAX CORP | 5,200 | 79,382 | 99,912 |
| WALGREENS BOOTS ALLIANCE INC | 5,700 | 227,316 | 297,312 |
| WALLENIUS WILHELMSEN ASA | 5,719 | 19,970 | 32,816 |
| WARSAW STOCK EXCHANGE | 3,560 | 35,185 | 36,586 |
| WASHTEC AG | 589 | 36,323 | 36,840 |
| WAVESTONE | 391 | 18,651 | 24,189 |
| WAYSIDE TECHNOLOGY GROUP INC | 35,087 | 943,581 | 1,230,150 |
| WEIS MARKETS INC | 2,407 | 122,312 | 158,573 |
| WELLCALL HOLDINGS BHD | 131,300 | 32,786 | 40,657 |
| WELLS FARGO + CO | 2,500 | 75,450 | 119,950 |
| WERNER ENTERPRISES INC | 5,820 | 268,477 | 277,381 |
| WEST AFRICAN RESOURCES LTD | 12,822 | 12,774 | 12,305 |
| WEST CHINA CEMENT LTD | 455,892 | 81,482 | 81,281 |
| WEST PHARMACEUTICAL SERVICES | 5,156 | 641,405 | 2,418,216 |
| WESTERN AREAS LTD | 29,044 | 66,027 | 72,429 |
| WESTERN FOREST PRODUCTS INC | 66,600 | 94,954 | 111,250 |
| WESTROCK CO | 3,200 | 139,296 | 141,952 |
| WEX INC | 3,904 | 239,040 | 548,083 |
| WHIRLPOOL CORP | 2,400 | 456,194 | 563,184 |
| WHITE MOUNTAINS INSURANCE GP | 1,733 | 1,576,775 | 1,757,089 |
| WHITEHAVEN COAL LTD | 56,135 | 92,846 | 106,522 |
| WIELTON SA | 5,986 | 15,505 | 13,367 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| WIJAYA KARYA BANGUNAN GEDUNG | 61,517 | 1,007 | 820 |
| WILH WILHELMSEN HOLDING A | 620 | 12,993 | 14,554 |
| WILL GROUP INC | 4,600 | 52,569 | 57,363 |
| WILLDAN GROUP INC | 9,848 | 402,150 | 346,650 |
| WINBOND ELECTRONICS CORP | 55,000 | 67,923 | 67,590 |
| WINPAK LTD | 1,100 | 30,235 | 32,369 |
| WIRTUALNA POLSKA HOLDING SA | 573 | 20,870 | 20,046 |
| WIX.COM LTD | 2,523 | 391,774 | 398,104 |
| WNS HOLDINGS LTD ADR | 19,390 | 1,124,655 | 1,710,586 |
| WONIK MATERIALS CO LTD | 1,296 | 35,593 | 38,594 |
| WORLD FUEL SERVICES CORP | 8,500 | 225,360 | 224,995 |
| WORLD HOLDINGS CO LTD | 3,800 | 67,669 | 85,533 |
| WORLD WRESTLING ENTERTAIN A | 15,893 | 866,389 | 784,161 |
| X LEGEND ENTERTAINMENT CO LT | 7,000 | 15,625 | 16,446 |
| XEROX HOLDINGS CORP | 9,400 | 199,864 | 212,816 |
| XINGFA ALUMINIUM HOLDINGS | 3,000 | 1,025 | 2,828 |
| XINYUAN REAL ESTATE CO L ADR | 24,610 | 104,987 | 15,504 |
| XIWANG SPECIAL STEEL CO LTD | 589,000 | 127,704 | 27,575 |
| XPEDIATOR PLC | 41,626 | 35,645 | 25,935 |
| XPO LOGISTICS INC | 4,757 | 232,235 | 368,335 |
| XPS PENSIONS GROUP PLC | 6,876 | 13,001 | 13,038 |
| YACHIYO INDUSTRY CO LTD | 2,600 | 21,726 | 15,421 |
| YAMADA CONSULTING GROUP CO L | 1,200 | 12,764 | 11,473 |
| YAPI VE KREDI BANKASI | 15,477 | 5,413 | 3,939 |
| YELLOW PAGES LTD/CANADA | 2,200 | 9,439 | 23,791 |
| YETI HOLDINGS INC | 13,205 | 1,049,421 | 1,093,770 |
| YOOSUNG ENTERPRISE CO LTD | 3,078 | 12,355 | 8,273 |
| YOUGOV PLC | 5,325 | 31,213 | 115,399 |
| YOUNG POONG CORPORATION | 181 | 101,181 | 99,731 |
| YOUNGONE CORP | 7,080 | 221,568 | 259,972 |
| YOUNGONE HOLDINGS CO LTD | 1,116 | 47,912 | 43,889 |
| YUEN JEN ENTERPRISE CO LTD | 17,000 | 9,645 | 21,076 |
| YUEXIU PROPERTY CO LTD | 9,600 | 9,240 | 8,459 |
| YUSHIN PRECISION EQUIPMENT | 4,800 | 38,405 | 31,346 |
| YUSHIRO CHEMICAL INDUSTRY CO | 2,800 | 27,599 | 27,281 |
| ZENGAME TECHNOLOGY HOLDING | 118,000 | 12,178 | 18,162 |
| ZIFF DAVIS INC | 13,662 | 972,872 | 1,514,569 |
| ZIFF DAVIS INC | 1,600 | 136,962 | 177,376 |
| ZIM INTEGRATED SHIPPING SERV | 7,747 | 345,668 | 455,988 |
| ZIMPLATS HOLDINGS LTD | 706 | 7,543 | 11,996 |
| ZIONS BANCORP NA | 7,000 | 221,130 | 442,120 |
| ZOGENIX INC | 11,725 | 258,827 | 190,531 |
| ZOOMINFO TECHNOLOGIES INC | 24,994 | 1,340,681 | 1,604,615 |
| ZSCALER INC | 7,493 | 655,241 | 2,407,726 |
| ZUMIEZ INC | 3,600 | 112,698 | 172,764 |
| ZUR SHAMIR HOLDINGS LTD | 4,567 | 17,079 | 18,723 |
| ZYNGA INC CL A | 312,877 | 1,893,867 | 2,002,412 |
| FIRST EAGLE GLOBAL VALUE FUND LP - COMMON STOCK | - | 35,877,696 | 50,385,234 |
| | | $ 385,648,780 | $ 517,505,335 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ACCOMPLICE FORTUITY POOL LP | | $ 3,300,000 | $ 7,653,756 |
| ACCOMPLICE FUND I | | 3,000,000 | 13,565,570 |
| ACCOMPLICE FUND II (PE) | | 3,600,000 | 51,049,880 |
| ACCOMPLICE FUND III LP | | 3,648,489 | 5,124,792 |
| ACTIS EMERGING MARKETS 3 | | 152,482 | 32,676 |
| ACTIS ENERGY 4 LP | | 5,142,583 | 8,003,000 |
| ACTIS ENERGY 5 | | 641,594 | 502,499 |
| AEW PARTNERS VI | | - | 201,599 |
| ALINDA INFRASTRUCTURE FD II LP | | 400,130 | 1,240,990 |
| ALTARIS CONSTELL PRTNS IV LP | | 320,496 | 1,252,614 |
| ALTARIS HEALTH PARTNERS IV | | 347,501 | 4,130,089 |
| AMERICAN SECURITIES PTRS V | | - | 1,828 |
| ARA FUND II LP | | 6,033,758 | 6,222,130 |
| ARES ENERGY INVESTORS FD V LP | | 2,969,139 | 7,638,923 |
| ATLAS VENTURE FUND IX | | - | 13,290,413 |
| ATLAS VENTURE FUND VIII | | - | 5,399,809 |
| ATLAS VENTURE FUND X LP | | - | 6,749,119 |
| ATLAS VENTURE FUND XI LP | | 2,857,420 | 8,931,219 |
| ATLAS VENTURE FUND XII | | 4,407,999 | 5,147,150 |
| ATLAS VENTURE OPPORTUNITY FUND | | 4,646,348 | 5,881,096 |
| AUDAX MEZZANINE PART III LP | | - | 124,516 |
| AUDAX PRIVATE EQ FUND IV | | - | 3,677,464 |
| AUGUST EQUITY PARTNERS V A | | 2,715,475 | 2,410,292 |
| BASALT INFRASTRUCTURE FUND III | | 14,285,925 | 14,169,087 |
| BASALT INFRASTRUCTURE II | | 12,417,076 | 17,166,699 |
| BCP INFRASTRUCTURE FUND LP | | 3,116,911 | 1,544,102 |
| BLACKROCK GL REN POW INFRAIII | | 6,727,593 | 6,325,620 |
| BLACKSTONE INFRASTRUCTURE PARTNERS LP | | 34,097,237 | 30,609,872 |
| BLACKSTONE INFRASTRUCTURE PARTNERS - V FEEDER LP | | 25,924,495 | 37,449,863 |
| CAPITAL INTERNATIONAL PE FD V | | 101,317 | 1,827 |
| CB OP AGGREGATOR I LP | | 1,537,502 | 1,594,570 |
| CHARLESBANK EQUITY FUND IX | | 2,063,436 | 4,295,624 |
| CHARLESBANK EQUITY FUND VII LP | | - | 403,760 |
| CHARLESBANK EQUITY FUND VIII | | 7,793 | 1,882,308 |
| CHARLESBANK EQUITY FUND X LP | | 1,831,690 | 1,876,259 |
| CHEQUERS CAPITAL XVI | | - | 701,249 |
| CHEQUERS CAPITAL XVII FCPR | | 2,524,366 | 2,866,137 |
| CITIC CAP CHINA PARTNER 3 (PE) | | 1,430,397 | 2,273,356 |
| CLEARWATER CAP PARTNERS FD III | | 111,739 | 287,758 |
| DBAG FUND VI (GUERNSEY) | | 261,149 | 1,300,512 |
| DBAG FUND VII | | 4,140,281 | 4,461,037 |
| EIF US POWER FUND IV LP | | 4,757,996 | 5,845,690 |
| ENCAP ENERGY CAPITAL FD VIII | | 2,245,201 | 1,908,389 |
| ENCAP ENERGY CAPITAL FUND IX | | 910,185 | 2,904,995 |
| ENCAP ENERGY CAPITAL FUND X | | 5,135,060 | 9,931,924 |
| ENCAP ENERGY CAPITAL FUND XI | | 8,764,685 | 9,836,877 |
| ENERGY VENTURES IV | | 907,581 | 262,604 |
| FIRST RESERVE FUND XI LP | | 718,401 | 2,129 |
| FOUNDATION CAP LEADERSHIP III | | 1,767,854 | 1,767,517 |
| FOUNDATION CAPITAL X LP | | 1,329,813 | 1,241,999 |
| FS EQUITY FUND VIII | | 5,580,651 | 7,684,339 |
| GAMUT INVESTMENT FUND I | | 3,347,437 | 5,011,664 |
| GEM REALTY FUND IV LP | | - | 54,214 |
| GEM REALTY FUND V LP | | 3,033,235 | 6,399,822 |
| GERDING EDLEN GREEN CITIES II | | - | 4,894,481 |
| GERDING EDLEN GREEN CITIES III | | 7,671,297 | 9,502,154 |
| GERDING EDLEN GREEN CITIES IV | | 9,181,848 | 9,333,276 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| GILDE BUY OUT FUND IV LP | | - | 54,126 |
| GLOBAL INFRASTRUCTURE PARTNERS IV-A/B LP | | 6,842,419 | 6,096,302 |
| GLOBAL INFRASTRUCTURE PARTNERS II-B FEEDER FUND LP | | - | 6,316,640 |
| GLOBAL INFRASTRUCTURE PARTNERS III-A/B LP | | 9,514,863 | 14,561,245 |
| GLOBAL INFRASTRUCTURE PARTNERS - B LP | | - | 145,453 |
| GOLDEN TREE INSITE REAL ESTATE | | 2,255,261 | 2,458,485 |
| GRAIN COMMUNICATIONS OPP II | | 5,619,042 | 7,950,402 |
| GRAIN COMMUNICATIONS OPP III | | 14,431,066 | 12,611,636 |
| GTIS BRAZIL REAL ESTATE FD II | | 9,124,374 | 3,264,880 |
| HARBOURVEST DOVER ST IX CAYMAN | | 1,558,597 | 8,156,162 |
| HARBOURVEST DOVER ST VIII CYMN | | - | 1,401,423 |
| HARBOURVEST PARTNERS 2013 CAYMAN DIRECT FUND | | - | 3,375,546 |
| HARBOURVEST PARTNERS VII 2005 CAYMAN BUYOUT FUND | | - | 87,295 |
| HARBOURVEST PARTNERS VIII CAYMAN BUYOUT FUND | | - | 1,088,849 |
| HARBOURVEST PARTNERS VIII CAYMAN VENTURE FUND | | - | 5,210,328 |
| HIG MIDDLE MARKET LBO FD II | | - | 3,168,475 |
| HITEC VISION VI | | 1,776,071 | 3,234,121 |
| HITECVISION VII LP | | 229,162 | 6,069,956 |
| HONY CAPITAL FUND V LP | | 762,827 | 547,843 |
| IFM GLOBAL INFRASTRUCTURE (US) | | 33,727,169 | 46,042,788 |
| INSIGHT VENTURE PARTNERS IX LP | | 377,671 | 19,749,850 |
| INSTITUTIONAL VENTURE XIII | | - | 1,012,557 |
| INTERCONTINENTAL BUILD R E | | 27,912,224 | 31,034,029 |
| IRONSIDES CO INVT FUND VI LP | | 50,664,295 | 56,351,215 |
| IRONSIDES DIRECT INVT FUND V | | 28,152,626 | 54,415,905 |
| JLL PARTNERS VI LP | | - | 35,978 |
| JMI EQUITY FND VII LP | | - | 130,739 |
| JMI EQUITY FUND XLP | | 4,004,400 | 4,705,869 |
| K4 PRIVATE INVESTORS | | 4,240,479 | 7,573,081 |
| K5 PRIVATE INVESTORS | | 1,982,003 | 2,020,771 |
| KEROGEN ENERGY FUND II LP | | 5,863,410 | 8,732,038 |
| KEROGEN PANDION CO FUND NR | | 4,782,806 | 7,986,600 |
| KHOSLA VENTURES III | | - | 528,223 |
| KHOSLA VENTURES IV | | - | 4,289,757 |
| KHOSLA VENTURES SEED B LP | | 187,827 | 2,807,732 |
| KHOSLA VENTURES SEED C LP | | 2,575,177 | 12,885,342 |
| KHOSLA VENTURES SEED LP | | 237,630 | 497,903 |
| KHOSLA VENTURES V LP | | 4,724,982 | 15,327,519 |
| KHOSLA VENTURES VI (PE) | | 2,502,300 | 3,922,936 |
| KPS SPECIAL SITUATIONS FND III LP | | - | 5,412 |
| KPS SPECIAL SITUATIONS FND III SUPPLEMENTAL FEEDER LP | | - | 6,826 |
| KPS SPECIAL SITUATIONS FUND IV | | - | 1,889,827 |
| KPS SPECIAL SITUATIONS FUND V | | 3,875,309 | 5,729,639 |
| LINDEN CAPITAL PARTNERS III LP | | - | 8,617,994 |
| LINDEN CAPITAL PARTNERS IV LP | | 3,638,863 | 4,983,945 |
| LINDEN CAPITAL PARTNERS V LP | | - | (145,482) |
| MACQUARIE CROP PARTNERS FEEDER | | 9,012,718 | 9,788,294 |
| MBK PARTNERS FUND III | | 744,470 | 3,997,602 |
| MBK PARTNERS FUND IV | | 1,686,877 | 3,658,573 |
| MESA WEST RE INCOME FUND IV | | 2,714,868 | 4,651,467 |
| MSOUTH EQUITY PARTNERS II LP | | - | 494,048 |
| MSOUTH EQUITY PARTNERS III LP | | - | 866,854 |
| NB STRATEGIC CO INVESTMENT CAYMAN PARTNERS IV | | 4,548,591 | 6,457,323 |
| NORMANDY RE FUND IV | | 12,058,430 | 10,478,369 |
| NORMANDY REAL ESTATE FUND III | | 1,805,039 | 2,301,624 |
| NORTHSTAR MEZZANINE PARTNERS V | | - | 207,799 |
| OAKTREE OPP FUND VIII B LP | | - | 372,478 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| OAKTREE OPPORTUNITIES FD XB | | 3,240,000 | 4,634,383 |
| OAKTREE REAL ESTATE DEBT FD II | | 9,783,542 | 6,120,515 |
| OCM OPPORTUNITIES FUND VII B | | - | 1,736 |
| OCM OPPORTUNITIES FUND VIII | | - | 11,648 |
| ONCAP II | | - | 652,514 |
| ONCAP IV | | 1,177,329 | 1,583,821 |
| ONCAP US III | | - | 281,968 |
| ONEX PARTNERS II LP | | 6,291 | 12,764 |
| OVP VENTURE PARTNERS VII LP | | 135,479 | 3,139,143 |
| PACIFIC ROAD RESOURCES FUND II | | 4,125,526 | 2,635,913 |
| PAG ASIA I FEEDER FUND LP | | - | 322,184 |
| PAG ASIA II LP | | 2,585,516 | 7,104,720 |
| PAG ASIA III LP | | 4,529,088 | 5,015,469 |
| PAINE SCHWARTZ FOOD CHAIN V | | 14,502,143 | 19,679,249 |
| PATRIA PRIV EQ FUND VI LP | | 3,618,793 | 4,447,753 |
| PATRIA BRAZILIAN PE FUND V | | 4,764,523 | 9,375,915 |
| PATRIA BRAZILIAN PRI EQ FD IV | | 972,488 | 1,265,526 |
| PIMCO DISCO III | | 4,212,619 | 6,077,503 |
| PIONEER SQUARE VENTURES II | | 1,068,750 | 989,361 |
| POINT 406 VENTURES IV LP | | 4,577,100 | 5,988,637 |
| PRISA III | | 33,949,600 | 43,808,529 |
| QUINTANA ENERGY PARTNERS II | | 774,000 | 126,044 |
| RELATED REAL ESTATE FUND III | | 6,120,202 | 7,467,155 |
| RIDGEMONT EQ PRTNRS III LP | | 3,769,714 | 8,408,430 |
| RIDGEMONT EQUITY PARTNERS I LP | | - | 1,823,403 |
| RIDGEMONT EQUITY PARTNRS II LP | | 31,233 | 3,892,673 |
| RIDGEWOOD ENERGY OIL GAS II | | 5,960,969 | 5,581,233 |
| RIDGEWOOD ENERGY OIL + GAS | | 7,749,340 | 9,615,098 |
| RIDGEWOOD ENERGY OIL GAS IV | | 6,603,040 | 9,292,868 |
| RIVER VI LP | | 1,497 | 334,415 |
| RIVERSIDE CAPITAL APPREC FD V | | - | 183,195 |
| RIVERSIDE EUROPE FUND IV | | - | 370,934 |
| RIVERSIDE FUND V | | 572,539 | 9,089 |
| RIVERSIDE FUND V SQ A B LP | | 63,967 | 3,989,844 |
| RIVERSIDE MICRO CAP FD III | | - | 4,036,404 |
| RIVERSIDE MICRO CAP FUND I LP | | - | 642,948 |
| RMF NEC MA LLC | | 1,455,854 | 4,672,630 |
| RUBENSTEIN PROPERTIES | | 2,500,000 | 2,101,494 |
| SCALE VENTURE PARTNERS VI | | 2,682,554 | 7,935,173 |
| SCALE VENTURE PARTNERS VII | | 1,534,489 | 1,673,314 |
| SENTINEL CAPITAL PARTNERS IV | | - | 45,413 |
| SENTINEL CAPITAL PARTNERS V | | - | 3,552,875 |
| SENTINEL CAPITAL PARTNERS VI | | 1,615,942 | 3,466,752 |
| SILVER LAKE PARTNERS III | | 226 | 128,614 |
| SJC ONSHORE DIRECT LENDING III | | 15,894,040 | 22,290,289 |
| SK CAPITAL PARTNERS V LP | | 6,834,162 | 8,760,949 |
| SOLAMERE CAPITAL AF III LP | | 9,319,090 | 18,575,585 |
| SOUTHERN CROSS LATIN AM | | 3,926,509 | 2,050,405 |
| SOUTHERN CROSS LATIN AM FUND V | | 3,650,056 | 1,986,195 |
| STAR AMERICA INFRASTRUCTURE LP | | 7,347,400 | 13,158,113 |
| STELLEX CAPITAL PARTNERS II | | 1,131,082 | 1,034,202 |
| STERLING GROUP PARTNERS IV LP | | 1,333,834 | 7,201,021 |
| STERLING GROUP PARTNERS V | | 750,122 | 1,302,586 |
| STG VI LP | | 1,717,248 | 1,617,276 |
| TA REALTY CORE PROPERTY FUND | | 25,000,000 | 39,927,414 |
| TA REALTY FUND XI | | 3,090,976 | 10,405,905 |
| TA XII B | | - | 4,578,319 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| TA XIII | | 4,325,250 | 8,243,969 |
| TA XIV LP | | 3,348,000 | 3,264,519 |
| THE FOURTH CINVEN FUND | | - | 1,409 |
| THIRD ROCK VENTURES II LP | | - | 57,928 |
| THIRD ROCK VENTURES III | | - | 2,551,714 |
| THIRD ROCK VENTURES IV LP | | 1,223,232 | 4,309,613 |
| THIRD ROCK VENTURES V | | 2,477,484 | 3,477,582 |
| TISHMAN SPEYER REAL ESTATE | | - | 140,814 |
| TOWERBROOK INVESTORS III LP | | - | 8,487 |
| TOWERBROOK INVESTORS IV | | 1,545,301 | 6,130,041 |
| TRILANTIC CAPITAL PARTNERS V | | - | 2,388,093 |
| TRILANTIC CAPITAL PARTNERS VI | | 4,029,530 | 5,254,061 |
| UBS TRUMBULL PROPERTY FUND LP | | 33,676,332 | 37,420,587 |
| US REAL ESTATE INVESTMENT FD | | 31,580,170 | 39,737,070 |
| VALHALLA PARTNERS II | | 54,780 | 113,095 |
| VECTOR CAPITAL V LP | | 2,641,038 | 5,515,206 |
| VERITAS CAPITAL FD VI LP | | 2,182,802 | 16,994,270 |
| VERITAS CAPITAL FUND V LP | | - | 13,313,910 |
| VERITAS CAPITAL PARTNERS IV | | - | 33,730 |
| VISTA EQUITY PARTNERS IV | | 26,710 | 1,267,001 |
| VISTA EQUITY PARTNERS V | | - | 7,314,678 |
| VISTA FOUNDATION FUND III | | 156,421 | 4,319,549 |
| VITRUVIAN INV PARTNERSHIP II | | - | 7,061,615 |
| VITRUVIAN INVESTMENT PTNRS III | | 4,420,202 | 11,562,745 |
| VITRUVIAN INVT PARTNERSHIP IV | | 2,125,027 | 2,282,732 |
| VITRUVIAN PARTNERS | | - | 1,205,851 |
| WAUD CAPITAL PARTNERS III LP | | 19,312 | 1,429,789 |
| WAUD CAPITAL PARTNERS IV LP | | 3,603,655 | 8,178,299 |
| WAUD CAPITAL PARTNERS V | | 8,638,277 | 10,633,283 |
| WAYZATA OPPORTUNITIES FUND II | | - | 13,246 |
| WHITE DEER ENERGY | | - | 164,126 |
| WHITE DEER ENERGY III | | 10,509,875 | 12,065,901 |
| WHITE DEER ENERGY LP II | | 6,550,588 | 5,848,735 |
| YUCAIPA AMERICAN ALLIANCE | | 559,485 | - |
| YUCAIPA AMERICAN ALLIANCE II | | 9,372,299 | 9,823,961 |
| YUCAIPA CORP INITIATIVE FD II | | 291,134 | 192,824 |
| ABS EMERGING MARKETS STRATEGIC PORTFOLIO LP - PARTNERSHIP | | 42,423,682 | 54,979,829 |
| ENTRUST CAPITAL DIVERSIFIED FUND LTD CLASS X SERIES 09/30/2017 - PARTNERSHIP | | 776,953 | 800,435 |
| ENTRUST CAPITAL DIVERSIFIED FUND LTD CLASS X SERIES 12/31/2017 - PARTNERSHIP | | 778,915 | 802,455 |
| ENTRUST SPECIAL OPPORTUNITIES FUND III LTD CLASS A - PARTNERSHIP | | 7,500,284 | 6,535,888 |
| EUROPEAN STRATEGIC PARTNERS 2006 B - PARTNERSHIP | | - | 1,599,064 |
| GROSVENOR OPPORTUNISTIC CREDIT FUND III LTD - PARTNERSHIP | | 773,942 | 790,368 |
| GROSVENOR OPPORTUNISTIC CREDIT FUND IV LTD - PARTNERSHIP | | 1,729,271 | 1,868,919 |
| HAMILTON LANE PE OFF FUND VIII - PARTNERSHIP | | 5,450,069 | 7,540,651 |
| HAMILTON LANE PRIVATE EQUITY OFFSHORE FUND VI - PARTNERSHIP | | 860,852 | 1,089,552 |
| HAMILTON LANE STRATEGIC OPPORTUNITIES OFFSHORE FUND IV - PARTNERSHIP | | 6,536,744 | 6,519,906 |
| IRONSIDES PARTNERSHIP FUND IV - PARTNERSHIP | | 27,973,552 | 45,760,237 |
| IRONSIDES PARTNERSHIP FUND V - PARTNERSHIP | | 17,313,277 | 23,699,048 |
| PANTHEON EUROPE FUND V B LP - PARTNERHSIP | | 1,284,419 | 917,226 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| PANTHEON GLOBAL SECONDARY FUND III B - PARNTERSHIP | | 1,137,627 | 113,731 |
| SIGULER GUFF DISTRESSED RE OPPS FUND II - PARTNERSHIP | | 3,340,021 | 3,792,800 |
| SOLAMERE CAPIAL AF IV A - PARTNERSHIP | | 14,916,118 | 18,237,187 |
| SOLAMERE CAPITAL AF III A - PARTNERSHIP | | 26,986,373 | 44,994,003 |
| IRONSIDES DIRECT INVESTMENT FUND IV LP - OTHER INVESTMENTS | | 7,994,946 | 13,055,631 |
| | | $ 990,060,232 | $ 1,595,040,600 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF REAL ESTATE

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION REAL ESTATE NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| AMERICAN CORE REALTY FUND - REAL ESTATE | - | $ 80,211,935 | $ 101,621,201 |
| NORTH ATLANTIC STATES CARPENTERS PENSION FUND REAL ESTATE INVESTMENT PROGRAM - REAL ESTATE | - | 161,586,749 | 151,849,745 |
| ORION EUROPEAN REAL ESTATE FUND III - REAL ESTATE | - | 2,922,160 | 2,922,160 |
| WCP SPECIAL CORE PLUS FUND LP - REAL ESTATE | - | 6,665,626 | 8,458,710 |
| WCP SPECIAL CORE PLUS FUND II LP - REAL ESTATE | - | 19,280,133 | 25,620,783 |
| | | $ 270,666,603 | $ 290,472,599 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF NON-PARTICIPANT LOANS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) | (c) - DESCRIPTION NON-PARTICIPANT LOANS | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY / NO. OF SHARES | COST | CURRENT VALUE |
| US TREASURY | 0.50% | 03/15/2023 | 150,000 | $ 151,125 | $ 150,059 |
| US TREASURY | 2.75% | 11/15/2023 | 530,000 | 568,340 | 550,018 |
| CITIGROUP INC | 4.00% | 08/05/2024 | 150,000 | 150,671 | 159,806 |
| US TREASURY | 2.38% | 08/15/2024 | 325,000 | 349,209 | 337,454 |
| US TREASURY | 1.75% | 12/31/2024 | 1,186,000 | 1,251,550 | 1,213,053 |
| US TREASURY | 2.00% | 02/15/2025 | 1,000,000 | 1,066,560 | 1,030,000 |
| ACCESSO TECHNOLOGY GROUP PLC | | | 2,962 | 33,394 | 32,817 |
| AERIE PHARMACEUTICALS INC | | | 18,025 | 438,531 | 126,536 |
| AFREN PLC | | | 3,424 | 7,334 | - |
| AGILE GROUP HOLDINGS LTD | | | 3,800 | 5,393 | 2,062 |
| AMERICAN SHIPPING COMPANY | | | 11,580 | 42,332 | 42,284 |
| ARDENT LEISURE GROUP LTD | | | 6,687 | 7,324 | 6,563 |
| AURELIA METALS LTD | | | 3,569 | 1,793 | 1,064 |
| AUSTRALIAN VINTAGE LTD | | | 23,611 | 13,101 | 14,076 |
| BASILEA PHARMACEUTICA REG | | | 390 | 19,609 | 17,515 |
| BEST WORLD INTERNATIONAL LTD | | | 136,089 | 96,289 | 1,010 |
| BICYCLE THERAPEUTICS PLC ADR ADR | | | 658 | 37,341 | 40,052 |
| CALIX LTD | | | 1,274 | 1,651 | 6,160 |
| CANFOR PULP PRODUCTS INC | | | 5,373 | 25,282 | 28,457 |
| CAREIUM AB | | | 2,131 | - | 7,096 |
| CATELLA AB | | | 22,258 | 48,486 | 115,791 |
| CES ENERGY SOLUTIONS CORP | | | 45,719 | 33,507 | 73,475 |
| CHINA INDEX HDS ADR ADR | | | 843 | 1,937 | 818 |
| CHINA SOUTH CITY HOLDINGS | | | 381,900 | 43,030 | 35,759 |
| COMPASS MINERALS INTERNATION | | | 47,954 | 2,877,360 | 2,449,490 |
| DICK S SPORTING GOODS INC | | | 4,700 | 273,774 | 540,453 |
| DRAFTKINGS INC CL A | | | 4,574 | 289,512 | 125,648 |
| EROAD LTD | | | 10,000 | 33,990 | 35,396 |
| EXEL COMPOSITES OYJ | | | 1,696 | 20,464 | 15,622 |
| FATE THERAPEUTICS INC | | | 209 | 14,922 | 12,229 |
| FERGUSON PLC | | | 720 | 89,860 | 129,161 |
| GHP SPECIALITY CARE AB | | | 4,831 | 9,033 | 13,766 |
| HALDEX AB | | | 2,132 | 15,739 | 12,480 |
| HIMAX TECHNOLOGIES INC ADR ADR | | | 2,348 | 15,829 | 37,545 |
| HUMANA AB | | | 526 | 3,198 | 4,224 |
| INSTALCO AB | | | 1,443 | 11,086 | 69,235 |
| INTAGE HOLDINGS INC | | | 2,500 | 26,924 | 38,166 |
| IRON MOUNTAIN INC REIT | | | 2,897 | 81,884 | 151,600 |
| KARYOPHARM THERAPEUTICS INC | | | 22,167 | 387,109 | 142,534 |
| KUDELSKI SA BR | | | 2,911 | 12,665 | 11,821 |
| MASTEC INC | | | 780 | 42,804 | 71,978 |
| MFE MEDIAFOREUROPE NV CL A | | | 5,018 | 7,621 | 5,110 |
| MFE MEDIAFOREUROPE NV CL B | | | 5,018 | 10,583 | 7,105 |
| MILLICOM INTL CELLULAR S.A. | | | 46,471 | 1,994,641 | 1,322,100 |
| MINERAL RESOURCES LTD | | | 3,640 | 73,808 | 148,202 |
| NAFCO CO LTD | | | 4,750 | 80,698 | 72,268 |
| NEXA RESOURCES SA | | | 1,850 | 20,390 | 14,541 |
| NOVA LTD | | | 2,007 | 188,121 | 294,026 |
| OESTERREICHISCHE POST | | | 267 | 11,260 | 11,477 |
| OVER.COM INC | | | 389 | 28,679 | 22,955 |
| PACIFIC ANDES RESOURCES DEVE | | | 36,750 | 5,332 | - |
| POLARIS INC | | | 820 | 81,914 | 90,126 |
| PRECISION DRILLING CORP | | | 982 | 14,239 | 34,743 |
| PROACT IT GROUP AB | | | 10,716 | 55,842 | 102,972 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF NON-PARTICIPANT LOANS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION NON-PARTICIPANT LOANS | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY / NO. OF SHARES | COST | CURRENT VALUE |
| RACKSPACE TECHNOLOGY INC | | | 2,421 | 45,353 | 32,611 |
| REVANCE THERAPEUTICS INC | | | 17,833 | 319,208 | 291,035 |
| ROOTS CORP | | | 4,639 | 13,367 | 11,715 |
| ROSENBAUER INTERNATIONAL | | | 301 | 16,805 | 15,883 |
| SALLY BEAUTY HOLDINGS INC | | | 11,300 | 107,746 | 208,598 |
| SANDSTORM GOLD LTD | | | 85,700 | 583,324 | 531,340 |
| SECHE ENVIRONNEMENT | | | 383 | 26,618 | 31,272 |
| SHANGHAI FUDAN ZHANGJIANG | | | 950 | 862 | 469 |
| SIMS LTD | | | 1,851 | 20,211 | 21,613 |
| SIXTH STREET SPECIALTY LENDI | | | 1,038 | 24,086 | 24,279 |
| SKY NETWORK TELEVISION LTD | | | 87 | 94 | 161 |
| SKYWORTH GROUP LTD | | | 744,800 | 215,969 | 521,607 |
| SOFTCREATE HOLDINGS CORP | | | 1,400 | 25,344 | 52,885 |
| SUPREMEX INC | | | 1,910 | 7,131 | 4,158 |
| SYSTEMAIR AB | | | 2,916 | 9,865 | 32,819 |
| THUNGELA RESOURCES LTD | | | 2,896 | 14,389 | 14,988 |
| TRANSGLOBE ENERGY CORP | | | 4,564 | 13,860 | 13,738 |
| UNITI GROUP INC REIT | | | 3,741 | 46,536 | 52,411 |
| VIASAT INC | | | 2,208 | 92,764 | 98,344 |
| WAGNERS HOLDING CO LTD | | | 2,540 | 3,937 | 2,548 |
| WAYFAIR INC CLASS A | | | 2,741 | 694,089 | 520,708 |
| WEIS MARKETS INC | | | 293 | 14,889 | 19,303 |
| XINYUAN REAL ESTATE CO | | | 18,610 | 79,391 | 11,723 |
| | | | | $ 13,548,908 | $ 12,493,106 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF COMMON/COLLECTIVE TRUST FUNDS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

| (a) | (b) | (c) - DESCRIPTION COMMON/ COLLECTIVE TRUST FUNDS | (d) | (e) |
|---|---|---|---|---|
| | ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| | AFL-CIO BUILDING INVESTMENT TRUST | 1,605 | $ 11,341,470 | $ 13,723,572 |
| | ASB ALLEGIANCE REAL ESTATE FUND | 4,000,000 | 4,000,000 | 5,683,081 |
| | BLACKROCK EAFE EQUITY INDEX FUND | 305,371 | 89,669,887 | 104,799,985 |
| | BLACKROCK EQUITY INDEX FUND A | 122,101 | 193,924,649 | 224,227,263 |
| | BLACKROCK MSCI EMERGING MARKETS FREE FUND | 1,745,176 | 102,959,199 | 109,675,284 |
| | BLACKROCK RUSSELL 1000 GROWTH FUND | 785,479 | 45,000,000 | 57,382,285 |
| | BLACKROCK RUSSELL 2000 INDEX FUND A | 523,577 | 26,716,788 | 41,870,162 |
| | BLACKROCK SHORT TERM INVESTMENT FUND | 4,565 | 4,565 | 4,565 |
| | BLACKROCK U.S. DEBT INDEX FUND | 2,728,278 | 220,472,060 | 221,916,433 |
| | BLACKROCK U.S. TREASURY INFLATION PROTECTED SECURITIES FUND B | 10,004,039 | 245,019,205 | 278,852,748 |
| | BLK JPM EMBI GLBL DIV IDX FD C | 5,804,387 | 59,000,000 | 58,981,409 |
| | CRESCENT CAPITAL TRUST II LEVERED | 14,175,107 | 14,175,107 | 15,757,383 |
| | GQG PARTNERS EMERGING MARKETS EQUITY CIT | 8,308,343 | 96,000,000 | 118,975,471 |
| | IRIDIAN VALUE OPPORTUNITY CIT | 5,114,633 | 61,340,536 | 91,654,228 |
| | JP MORGAN CHASE BANK STRATEGIC PROPERTY FUND | 1,442,220 | 9,769,505 | 18,100,870 |
| | LOOMIS HIGH YIELD CONSERVATIVE TRUST | 1,047,026 | 18,308,396 | 30,070,593 |
| | MULTI EMPLOYER PROPERTY TRUST | 934 | 5,379,306 | 13,392,699 |
| * | STATE ST S&P GLOBAL NATURAL RESOURCES INDEX NL FUND | 246,944 | 2,688,300 | 3,734,788 |
| * | STATE ST STK PERFORMANCE INDEX FUTURES FUND | 7,424 | 5,645,359 | 5,952,189 |
| | WELLINGTON EMERGING MARKET DEBT | 10,081,305 | 89,744,925 | 56,858,562 |
| | | | $ 1,301,159,257 | $ 1,471,613,570 |

* PARTY-IN-INTEREST

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF POOLED SEPARATE ACCOUNTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION POOLED SEPARATE ACCOUNTS UNITS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ULLICO SEPARATE ACCOUNT J | 314,654 | $ 2,671,286 | $ 5,303,147 |
| ULLICO SEPARATE ACCOUNT J | 1,802,903 | 29,387,088 | 30,385,970 |
| | | $ 32,058,374 | $ 35,689,117 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF 103-12 INVESTMENT ENTITIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) | (c) - DESCRIPTION 103-12 INVESTMENT ENTITIES | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| ABERDEEN EMERGING MARKETS EQUITY FUND | 975,347 | $ 55,258,110 | $ 88,083,502 |
| HARBOURVEST PARTNERS IX LP | - | - | 11,670,251 |
| HARBOURVEST PARTNERS VIII BUYOUT FUND LP | - | - | 1,422,115 |
| HARBOURVEST PARTNERS VIII MEZZANINE & DISTRESSED DEBT FUND | - | - | 255,519 |
| HARBOURVEST PARTNERS VIII VENTUR FUND LP | - | - | 3,119,841 |
| HARBOURVEST VIII CAYMAN MEZZANINE & DISTRESSED DEBT FUND LP | - | - | 326,834 |
| HARDMAN JOHNSTON INTERNATIONAL EQUITY GROUP TRUST | 2,045,655 | 91,245,725 | 93,143,336 |
| HIPEP V CAYMAN US DOLLAR PARTNERSHIP FUND LP | - | - | 537,515 |
| INCOME PLUS INVESTMENT FUND | 23,341 | 63,526 | 45,901 |
| MCMORGAN INFRASTRUCTURE FUND I LP | 9,915,896 | 9,915,896 | 11,080,464 |
| PROLOAN BOND FUND LLC | 4,952 | 9,084,103 | 9,317,355 |
| RHUMBLINE QSI INDEX LLC | 3,948,380 | 40,323,436 | 160,224,958 |
| SENIOR FLOATING RATE FUND LLC | 77,718,754 | 77,718,754 | 96,102,814 |
| ULLICO INFRASTRUCTURE FUND LP | 35,000,000 | 35,000,000 | 37,696,347 |
| WALTER SCOTT GLOBAL EQUITY FUND | 2,203,881 | 57,583,473 | 141,180,928 |
| | | $ 376,193,023 | $ 654,207,680 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF REGISTERED INVESTMENT COMPANIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

| (a) | (b) | (c) - DESCRIPTION REGISTERED INVESTMENT COMPANIES | (d) | (e) |
|---|---|---|---|---|
| | ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| | AFL CIO HSG INVT TR | 53,390 | $ 61,192,656 | $ 60,707,985 |
| | COLUMBIA LARGE CAP INDEX FUND-INSTITUTIONAL CLASS | 305 | 6,633 | 18,832 |
| | COLUMBIA MID CAP INDEX FUND-INSTITUTIONAL CLASS | 1,105 | 13,521 | 18,890 |
| | COLUMBIA SMALL CAP INDEX FUND-INSTITUTIONAL CLASS | 759 | 12,128 | 22,431 |
| | DFA EMERGING MARKETS VALUE POR | 2,951,229 | 92,304,162 | 91,517,597 |
| | FIM GOVERNMENT PORTFOLIO-INSTITUTIONAL CLASS | 17,432 | 17,432 | 17,432 |
| | GLOBAL X FUNDS & AFTIFICIAL INTELLIGENCY ETF | 400 | 13,954 | 14,376 |
| | ISHARES CORE US AGGREGATE BD ETF | 400 | 42,522 | 45,632 |
| | ISHARES MSCI EAFE ETF | 90 | 4,468 | 7,081 |
| | ISHARES MSCI EMERGING MARKETS ETF | 130 | 4,169 | 6,351 |
| | ISHARES RUSSELL 2000 ETF | 140 | 20,241 | 31,143 |
| | ISHARES RUSSELL MID CAP ETF | 600 | 30,089 | 49,806 |
| | ISHARES S&P 100 ETF | 445 | 56,167 | 97,513 |
| | ISHARES US HIGH YIELD | 1,050 | 43,066 | 43,250 |
| | LORD ABBETT SHORT DURATION | 38,076 | 160,000 | 158,396 |
| | SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF | 145 | 15,354 | 15,743 |
| * | SSC GOVERNMENT MM | 30,106,213 | 30,106,213 | 30,106,213 |
| | VANGUARD FTSE DEVELOPED MARKETS ETF | 415 | 18,142 | 21,190 |
| | VANGUARD FTSE EMERGING MARKETS ETF | 375 | 18,030 | 18,548 |
| | VANGUARD REAL ESTATE ETF | 191 | 13,819 | 22,158 |
| | VANGUARD S&P 500 ETF | 245 | 63,393 | 106,960 |
| | VANGUARD TOTAL INTL BOND INDEX | 500 | 29,140 | 27,580 |
| | | | $ 184,185,299 | $ 183,075,107 |

* PARTY-IN-INTEREST

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF UNALLOCATED CONTRACTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001
## FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION UNALLOCATED CONTRACTS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| AXA EQUITABLE LIFE INSURANCE COMPANY | | $ 424,116 | $ 424,116 |
| AETNA LIFE INSURANCE COMPANY | | 4,829,979 | 5,445,630 |
| | | $ 5,254,095 | $ 5,869,746 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF OTHER INVESTMENTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001
## FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION OTHER INVESTMENTS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ABS EMERGING MARKETS STRATEGIC PORTFOLIO LP - OTHER INVESTMENTS | | $ 4,371,454 | $ 5,678,285 |
| FIRST EAGLE GLOBAL VALUE FUND LP - OTHER INVESTMENTS | | 6,006,426 | 6,006,426 |
| KOHINOOR SERIES (CAYMAN) FUND - OTHER INVESTMENTS | | 12,607,297 | 12,681,586 |
| SHENKMAN PRIMUS HIGH YIELD BOND FUND - OTHER INVESTMENTS | | 3,371,495 | 3,404,643 |
| SIGULER GUFF DISTRESSED REAL ESTATE OPPORTUNITIES FUND II LP - OTHER INVESTMENTS | | 2,181,496 | 3,681,120 |
| | | $ 28,538,168 | $ 31,452,060 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF REPORTABLE TRANSACTIONS

## YEAR ENDED DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, PAGE 4, PART IV, ITEM 4J - SCHEDULE OF REPORTABLE TRANSACTIONS DURING THE YEAR**

| (a) IDENTITY OF PARTY INVOLVED | (b) DESCRIPTION OF ASSET | (c) PURCHASE PRICE | (d) SELLING PRICE | (e) LEASE RENTAL | (f) EXPENSE INCURRED WITH TRANSACTION | (g) COST OF ASSET | (h) CURRENT VALUE OF ASSET ON TRANSACTION DATE | (i) NET GAIN OR (LOSS) |
|---|---|---|---|---|---|---|---|---|
| | | | | | | | | |
| * | SSC GOVERNMENT MM | $ 578,976,457 | $ - | $ - | $ - | $ - | $ 578,976,457 | $ - |
| * | SSC GOVERNMENT MM | - | 550,013,925 | - | - | 550,013,925 | 550,013,925 | - |
| N/A | BLACKROCK EQUITY INDEX FUND A | 471,381,167 | - | - | - | - | 471,381,167 | - |
| N/A | BLACKROCK EQUITY INDEX FUND A | - | 408,879,440 | - | - | 369,699,376 | 408,879,440 | 39,180,064 |

**NORTH ATLANTIC STATES CARPENTERS PENSION FUND**

**SCHEDULE RECONCILING THE STATEMENT OF NET ASSETS AVAILABLE FOR BENEFITS TO FORM 5500**

**DECEMBER 31, 2021**

| | Per Financial Statements | Reclassification | Per Form 5500 |
|---|---|---|---|
| ***Assets*** | | | |
| **Investments** | | | |
| Interest bearing cash | $ 167,545,198 | $ 20,696,640 | $ 188,241,838 |
| U.S. government securities | 61,590,653 | 64,591,261 | 126,181,914 |
| Corporate debt instruments | 44,730,434 | 53,890,665 | 98,621,099 |
| Corporate stock | 555,562,412 | (38,057,077) | 517,505,335 |
| Partnership/joint venture interests | 1,810,347,021 | (215,306,421) | 1,595,040,600 |
| Real estate | 95,916,457 | 194,556,142 | 290,472,599 |
| Loans (other than participant loans) | 12,493,106 | - | 12,493,106 |
| Common/collective trust funds | 1,471,613,570 | - | 1,471,613,570 |
| Pooled separate accounts | 35,689,117 | - | 35,689,117 |
| 103-12 investment entities | 654,207,680 | - | 654,207,680 |
| Registered investment companies | 183,075,107 | - | 183,075,107 |
| Unallocated contracts | 5,869,746 | - | 5,869,746 |
| Other investments | - | 31,452,060 | 31,452,060 |
| **Receivables** | 26,414,951 | 3,021,558 | 29,436,509 |
| **Cash** | 1,113,042 | - | 1,113,042 |
| **Collateral held under securities lending agreement** | 13,039,007 | - | 13,039,007 |
| **Property and equipment - net** | 6,854,489 | 4,669,978 | 11,524,467 |
| **Other assets** | 810,665 | 2,252,063 | 3,062,728 |
| **Total assets** | 5,146,872,655 | 121,766,869 | 5,268,639,524 |
| ***Liabilities*** | | | |
| **Operating payables** | 55,089,587 | 2,019,909 | 57,109,496 |
| **Other liabilities** | 47,442,621 | 115,076,982 | 162,519,603 |
| **Total liabilities** | 102,532,208 | 117,096,891 | 219,629,099 |
| **Net assets available for benefits** | $ 5,044,340,447 | $ 4,669,978 | $ 5,049,010,425 |

The Plan's holdings in various investments were determined to be plan assets for Form 5500 purposes. This schedule reconciles audited financial statement amounts, plus the Plan's share of amounts provided by the investment managers to the Form 5500 Schedule H amounts. Also included above is the adjustment to net assets to present the property used in operations at fair value.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF INTEREST BEARING CASH

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

| (a) | (b) | (c) - DESCRIPTION INTEREST BEARING CASH | | (d) | (e) |
|---|---|---|---|---|---|
| | ISSUER | INTEREST RATE | MATURITY DATE | COST | CURRENT VALUE |
| | LENDINGCLUB | VARIABLE | ON DEMAND | $ 156,994,139 | $ 156,994,139 |
| * | STATE STREET CASH | VARIABLE | ON DEMAND | 10,551,059 | 10,551,059 |
| | ABS EMERGING MARKETS STRATEGIC PORTFOLIO LP - INTEREST BEARING CASH | | | 249,959 | 249,959 |
| | AMERICAN CORE REALTY FUND - INTEREST BEARING CASH | | | 3,591,216 | 3,591,216 |
| | ENTRUST CAPITAL DIVERSIFIED FUND LTD CLASS X SERIES 09/30/2017 - INTEREST BEARING CASH | | | 28,752 | 28,752 |
| | ENTRUST CAPITAL DIVERSIFIED FUND LTD CLASS X SERIES 12/31/2017 - INTEREST BEARING CASH | | | 28,825 | 28,825 |
| | ENTRUST SPECIAL OPPORTUNITIES FUND III LTD CLASS A - INTEREST BEARING CASH | | | 11,752 | 11,752 |
| | EUROPEAN STRATEGIC PARTNERS 2006 B LP - INTEREST BEARING CASH | | | 160,878 | 160,878 |
| | FIRST EAGLE GLOBAL VALUE FUND LP - INTEREST BEARING CASH | | | 17,950 | 17,950 |
| | GROSVENOR OPPORTUNISTIC CREDIT FUND III LTD - INTEREST BEARING CASH | | | 574 | 574 |
| | HAMILTON LANE PE OFF FUND VIII HAMILTON LANE PE OFFSHORE FUND - INTEREST BEARING CASH | | | 2,937 | 2,937 |
| | HAMILTON LANE STRATEGIC OPPORTUNITIES FUND IV LP - INTEREST BEARING CASH | | | 2,982 | 2,982 |
| | IRONSIDES DIRECT INVESTMENT FUND IV LP - INTEREST BEARING CASH | | | 2,031 | 2,031 |
| | IRONSIDES PARTNERSHIP FUND IV LP - INTEREST BEARING CASH | | | 810,527 | 810,527 |
| | IRONSIDES PARTNERSHIP FUND V LP - INTEREST BEARING CASH | | | 27,096 | 27,096 |
| | KOHINOOR SERIES (CAYMAN) FUND - INTEREST BEARING CASH | | | 1,450,127 | 1,450,127 |
| | NORTH ATLANTIC STATES CARPENTERS PENSION FUND REAL ESTATE INVESTMENT PROGRAM - INTEREST BEARING CASH | | | 3,554,392 | 3,554,392 |
| | ORION EUROPEAN REAL ESTATE FUND III CV - INTEREST BEARING CASH | | | 336,700 | 336,700 |
| | PANTHEON EUROPE FUND V B LP - INTEREST BEARING CASH | | | 78,245 | 78,245 |
| | PANTHEON GLOBAL SECONDARY FUND III B LP - INTEREST BEARING CASH | | | 61,349 | 61,349 |
| | SHENKMAN PRIMUS HIGH YIELD BOND FUND - INTEREST BEARING CASH | | | 7,584,229 | 7,584,229 |
| | SIGULER GUFF DISTRESSED REAL ESTATE OPPORTUNITIES FUND II LP - INTEREST BEARING CASH | | | 336,072 | 336,072 |
| | SOLAMERE CAPITAL AF III A LP - INTEREST BEARING CASH | | | 172,773 | 172,773 |
| | SOLAMERE CAPITAL AF IV A LP - INTEREST BEARING CASH | | | 401,092 | 401,092 |
| | WCP SPECIAL CORE PLUS FUND II LP - INTEREST BEARING CASH | | | 1,439,084 | 1,439,084 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF INTEREST BEARING CASH

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001
**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

| (a) | (b) | (c) - DESCRIPTION INTEREST BEARING CASH | | (d) | (e) |
|---|---|---|---|---|---|
| | ISSUER | INTEREST RATE | MATURITY DATE | COST | CURRENT VALUE |
| | WCP SPECIAL CORE PLUS FUND LP - INTEREST BEARING CASH | | | 347,098 | 347,098 |
| | | | | $ 188,241,838 | $ 188,241,838 |

* PARTY-IN-INTEREST

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF U.S. GOVERNMENT SECURITIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION U.S. GOVERNMENT SECURITIES | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| FNMA POOL 995513 | 6.00% | 12/01/2022 | $ 429 | $ 448 | $ 431 |
| US TREASURY N/B | 0.13% | 09/15/2023 | 1,820,000 | 1,818,223 | 1,803,365 |
| FNMA POOL 995460 | 5.50% | 12/01/2023 | 8,620 | 8,899 | 8,798 |
| US TREASURY N/B | 0.13% | 12/15/2023 | 3,325,000 | 3,315,923 | 3,286,829 |
| US TREASURY N/B | 0.13% | 01/15/2024 | 138,000 | 137,698 | 136,258 |
| FNMA POOL AE0467 | 5.50% | 03/01/2024 | 5,343 | 5,759 | 5,447 |
| US TREASURY N/B | 0.25% | 08/31/2025 | 375,000 | 374,663 | 363,266 |
| SMALL BUSINESS ADMINISTRATION | 5.09% | 10/01/2025 | 22,417 | 22,417 | 23,315 |
| FED HM LN PC POOL C90979 | 6.50% | 06/01/2026 | 39,140 | 40,113 | 43,194 |
| US TREASURY N/B | 0.88% | 06/30/2026 | 4,950,000 | 4,975,221 | 4,871,493 |
| SMALL BUSINESS ADMINISTRATION | 5.70% | 08/01/2026 | 26,984 | 26,984 | 28,895 |
| US TREASURY N/B | 0.75% | 08/31/2026 | 330,000 | 329,227 | 322,615 |
| SMALL BUSINESS ADMINISTRATION | 5.71% | 06/01/2027 | 35,747 | 35,747 | 38,462 |
| US TREASURY N/B | 0.63% | 11/30/2027 | 6,175,000 | 6,110,119 | 5,914,230 |
| US TREASURY N/B | 1.00% | 07/31/2028 | 2,120,000 | 2,108,820 | 2,063,947 |
| FNMA POOL AL7205 | 3.50% | 12/01/2029 | 44,282 | 46,663 | 46,566 |
| FED HM LN PC POOL G18555 | 3.50% | 05/01/2030 | 69,837 | 73,110 | 73,972 |
| FNMA POOL AB1763 | 4.00% | 11/01/2030 | 48,706 | 51,872 | 52,152 |
| FNMA POOL AL5861 | 4.50% | 01/01/2031 | 40,069 | 43,637 | 43,251 |
| US TREASURY N/B | 1.63% | 05/15/2031 | 810,000 | 843,318 | 820,255 |
| US TREASURY N/B | 1.25% | 08/15/2031 | 1,555,000 | 1,513,270 | 1,520,261 |
| FNMA POOL AL4549 | 4.50% | 01/01/2032 | 39,682 | 42,689 | 42,463 |
| FREDDIE MAC | 6.50% | 06/15/2032 | 48,574 | 49,370 | 55,854 |
| FED HM LN PC POOL G30689 | 4.50% | 07/01/2032 | 27,960 | 30,529 | 30,217 |
| FHLMC STRUCTURED PASS THROUGH | 4.94% | 07/25/2032 | 36,024 | 37,420 | 38,418 |
| FANNIE MAE | 5.50% | 10/25/2032 | 58,203 | 63,886 | 64,574 |
| FNMA POOL 555424 | 5.50% | 05/01/2033 | 70,701 | 75,462 | 78,957 |
| FHLMC STRUCTURED PASS THROUGH | 4.56% | 07/25/2033 | 41,957 | 44,609 | 45,182 |
| FED HM LN PC POOL G30670 | 4.50% | 12/01/2033 | 79,197 | 86,783 | 84,812 |
| FNMA POOL 735765 | 2.31% | 08/01/2034 | 12,747 | 12,337 | 12,885 |
| FNMA POOL MA1983 | 4.00% | 08/01/2034 | 93,236 | 100,302 | 101,130 |
| FNMA POOL MA2079 | 4.00% | 11/01/2034 | 87,768 | 94,282 | 95,464 |
| FED HM LN PC POOL G01749 | 5.50% | 01/01/2035 | 29,207 | 31,745 | 32,761 |
| FNMA POOL 821925 | 1.89% | 06/01/2035 | 9,531 | 9,299 | 9,751 |
| FNMA POOL MA2366 | 4.00% | 08/01/2035 | 75,178 | 81,004 | 80,851 |
| FED HM LN PC POOL C91853 | 3.50% | 10/01/2035 | 78,129 | 81,327 | 83,312 |
| FNMA POOL MA2455 | 4.00% | 11/01/2035 | 85,224 | 91,589 | 91,447 |
| FED HM LN PC POOL 1Q0069 | 1.91% | 05/01/2036 | 5,182 | 5,113 | 5,378 |
| FNMA POOL 745600 | 2.02% | 06/01/2036 | 5,441 | 5,359 | 5,611 |
| FNMA POOL 888367 | 7.00% | 03/01/2037 | 60,882 | 68,987 | 74,184 |
| FNMA POOL MA2923 | 3.50% | 03/01/2037 | 89,956 | 92,275 | 95,090 |
| FNMA POOL MA2962 | 3.50% | 04/01/2037 | 99,105 | 102,759 | 104,275 |
| FNMA POOL 888702 | 6.50% | 08/01/2037 | 8,470 | 9,077 | 10,052 |
| FED HM LN PC POOL G03600 | 7.00% | 11/01/2037 | 21,614 | 23,482 | 26,039 |
| FNMA POOL 257135 | 5.50% | 03/01/2038 | 2,449 | 2,585 | 2,725 |
| FED HM LN PC POOL 1Q1400 | 2.19% | 07/01/2038 | 35,020 | 38,183 | 37,037 |
| FNMA POOL AD0198 | 5.50% | 09/01/2038 | 39,323 | 43,311 | 44,450 |
| FED HM LN PC POOL G07338 | 6.00% | 10/01/2038 | 23,394 | 25,631 | 26,424 |
| FED HM LN PC POOL H09197 | 6.50% | 10/01/2038 | 9,758 | 10,359 | 11,402 |
| FED HM LN PC POOL 1Q1145 | 2.20% | 05/01/2039 | 28,087 | 29,944 | 29,149 |
| FNMA POOL AD0130 | 6.50% | 08/01/2039 | 3,174 | 3,458 | 3,693 |
| FED HM LN PC POOL A94663 | 4.50% | 10/01/2040 | 70,106 | 74,893 | 76,666 |
| FED HM LN PC POOL G06447 | 4.50% | 05/01/2041 | 64,115 | 68,983 | 70,586 |
| FANNIEMAE GRANTOR TRUST | 7.50% | 07/25/2041 | 63,246 | 65,243 | 74,019 |
| FED HM LN PC POOL Q03086 | 4.50% | 09/01/2041 | 30,834 | 32,698 | 33,576 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF U.S. GOVERNMENT SECURITIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION U.S. GOVERNMENT SECURITIES | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| FNMA POOL AL5441 | 4.50% | 09/01/2041 | 49,421 | 53,753 | 54,137 |
| FANNIE MAE | 7.00% | 10/25/2041 | 19,470 | 20,172 | 21,854 |
| FNMA POOL AJ4102 | 2.07% | 11/01/2041 | 32,353 | 33,642 | 33,931 |
| US TREASURY N/B | 2.00% | 11/15/2041 | 680,000 | 698,567 | 687,759 |
| FNMA POOL AO8469 | 2.01% | 05/01/2042 | 14,027 | 14,461 | 14,314 |
| FED HM LN PC POOL G07843 | 4.50% | 06/01/2042 | 57,477 | 62,282 | 62,715 |
| FNMA POOL AO4105 | 1.94% | 06/01/2042 | 28,516 | 29,500 | 29,806 |
| FANNIE MAE | 6.50% | 06/25/2042 | 63,714 | 66,451 | 73,039 |
| FED HM LN PC POOL G60764 | 4.50% | 10/01/2042 | 50,414 | 55,390 | 55,741 |
| FHLMC STRUCTURED PASS THROUGH | 6.50% | 03/25/2043 | 125,280 | 128,299 | 144,574 |
| FED HM LN PC POOL 849748 | 1.94% | 10/01/2043 | 32,782 | 33,985 | 34,217 |
| FED HM LN PC POOL G07596 | 4.50% | 11/01/2043 | 76,558 | 81,929 | 83,936 |
| FNMA POOL AL5697 | 1.96% | 12/01/2043 | 22,305 | 23,063 | 23,171 |
| FREDDIE MAC | 4.50% | 12/15/2043 | 259,793 | 287,478 | 279,003 |
| FED HM LN PC POOL G08568 | 4.50% | 01/01/2044 | 36,603 | 39,594 | 40,100 |
| FED HM LN PC POOL G07686 | 4.50% | 03/01/2044 | 41,629 | 44,881 | 45,896 |
| FNMA POOL BM1135 | 4.50% | 07/01/2044 | 102,282 | 110,529 | 111,984 |
| FNMA POOL AT7040 | 1.83% | 09/01/2044 | 3,807 | 3,912 | 3,944 |
| FED HM LN PC POOL 849625 | 1.98% | 01/01/2045 | 34,978 | 36,339 | 36,284 |
| FED HM LN PC POOL 2B3805 | 3.18% | 02/01/2045 | 26,019 | 27,072 | 27,006 |
| FED HM LN PC POOL G60034 | 4.50% | 02/01/2045 | 26,413 | 28,666 | 28,958 |
| FED HM LN PC POOL 849713 | 2.68% | 05/01/2045 | 23,662 | 24,020 | 24,530 |
| FNMA POOL AL8815 | 2.20% | 05/01/2045 | 65,158 | 67,790 | 67,546 |
| FNMA POOL AX5655 | 2.85% | 01/01/2046 | 46,719 | 48,301 | 48,645 |
| FED HM LN PC POOL 840286 | 2.55% | 05/01/2046 | 53,198 | 55,152 | 55,231 |
| FNMA POOL AL9198 | 2.65% | 07/01/2046 | 64,014 | 64,914 | 66,073 |
| FNMA POOL AL8919 | 2.51% | 07/01/2046 | 21,198 | 21,753 | 21,835 |
| FED HM LN PC POOL 840380 | 2.12% | 08/01/2046 | 56,997 | 59,079 | 59,038 |
| FNMA POOL AL9011 | 2.78% | 09/01/2046 | 22,903 | 23,512 | 23,641 |
| FNMA POOL AS8835 | 4.50% | 02/01/2047 | 179,177 | 192,671 | 194,282 |
| FED HM LN PC POOL G61080 | 4.50% | 03/01/2047 | 114,192 | 122,721 | 124,470 |
| FED HM LN PC POOL Q48869 | 4.50% | 06/01/2047 | 124,132 | 133,238 | 134,206 |
| FNMA POOL BH5350 | 3.11% | 07/01/2047 | 56,446 | 57,921 | 58,791 |
| FED HM LN PC POOL G08786 | 4.50% | 10/01/2047 | 80,054 | 85,470 | 86,483 |
| FNMA POOL BJ9262 | 4.50% | 06/01/2048 | 83,595 | 87,066 | 89,950 |
| FNMA POOL BM4005 | 3.38% | 06/01/2048 | 71,343 | 71,831 | 74,432 |
| FNMA POOL BM4873 | 3.10% | 10/01/2048 | 218,429 | 223,131 | 227,263 |
| FNMA POOL BM5704 | 5.00% | 12/01/2048 | 50,271 | 52,937 | 55,050 |
| FNMA POOL AL0778 | 6.50% | 01/01/2049 | 10,140 | 11,243 | 11,900 |
| FANNIE MAE | 7.00% | 03/25/2049 | 24,420 | 25,641 | 28,880 |
| FED HM LN PC POOL RA2958 | 2.00% | 07/01/2050 | 827,448 | 849,686 | 826,604 |
| FNMA POOL CA6271 | 2.50% | 07/01/2050 | 521,045 | 543,597 | 534,639 |
| FNMA POOL CA6329 | 2.50% | 07/01/2050 | 794,357 | 836,744 | 816,560 |
| FNMA POOL CA6401 | 2.50% | 07/01/2050 | 443,453 | 464,119 | 455,023 |
| FED HM LN PC POOL RA3454 | 2.00% | 09/01/2050 | 199,110 | 207,580 | 199,243 |
| FNMA POOL CA6902 | 2.00% | 09/01/2050 | 1,244,342 | 1,291,394 | 1,243,185 |
| FED HM LN PC POOL RA3690 | 2.00% | 10/01/2050 | 2,379,194 | 2,475,663 | 2,383,001 |
| FNMA POOL CA7241 | 2.50% | 10/01/2050 | 4,616,666 | 4,892,945 | 4,745,933 |
| FNMA POOL FM4714 | 2.50% | 10/01/2050 | 1,477,705 | 1,564,982 | 1,518,992 |
| FED HM LN PC POOL SD7530 | 2.50% | 11/01/2050 | 552,962 | 587,241 | 567,012 |
| FNMA POOL CA8099 | 2.00% | 12/01/2050 | 749,029 | 781,184 | 750,227 |
| FED HM LN PC POOL SD7536 | 2.50% | 02/01/2051 | 2,057,204 | 2,138,849 | 2,118,488 |
| FNMA POOL CA8847 | 2.00% | 02/01/2051 | 5,104,797 | 5,320,156 | 5,112,965 |
| FNMA TBA 30 YR 2.5 | 2.50% | 02/11/2051 | 11,552,000 | 11,754,480 | 11,756,470 |
| FNMA POOL BR7227 | 2.00% | 04/01/2051 | 514,991 | 519,014 | 514,015 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF U.S. GOVERNMENT SECURITIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001
## FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION U.S. GOVERNMENT SECURITIES | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| GOVERNMENT NATIONAL MORTGAGE A | 0.44% | 02/20/2068 | 366,838 | 366,567 | 360,849 |
| GOVERNMENT NATIONAL MORTGAGE A | 0.78% | 10/20/2069 | 656,571 | 658,310 | 668,221 |
| GOVERNMENT NATIONAL MORTGAGE A | 0.87% | 12/20/2071 | 517,000 | 527,178 | 529,175 |
| FIRST EAGLE GLOBAL VALUE FUND LP - GOV'T | | | - | 1,101,105 | 1,048,738 |
| KOHINOOR SERIES (CAYMAN) FUND - GOV'T | | | - | 63,161,793 | 63,542,523 |
| | | | $ 61,197,649 | $ 126,868,047 | $ 126,181,914 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE DEBT INSTRUMENTS - PREFERRED

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION CORPORATE DEBT INSTRUMENTS - PREFERRED | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| CSX TRANSPORTATION INC | 6.25% | 01/15/2023 | $ 107,923 | $ 107,923 | $ 113,349 |
| BNSF RAILWAY CO 2015 1 P | 3.44% | 06/16/2028 | 75,551 | 73,934 | 82,192 |
| UNP RR CO 2005 PASS TRST | 5.08% | 01/02/2029 | 190,735 | 210,762 | 207,238 |
| COCA COLA CO/THE | 3.45% | 03/25/2030 | 100,000 | 99,665 | 110,709 |
| COCA COLA CO/THE | 1.65% | 06/01/2030 | 175,000 | 174,643 | 168,872 |
| EXXON MOBIL CORPORATION | 2.61% | 10/15/2030 | 175,000 | 181,740 | 181,370 |
| CALIFORNIA ST | 7.50% | 04/01/2034 | 200,000 | 199,974 | 306,062 |
| SMB PRIVATE EDUCATION LOAN TRU | 2.88% | 09/15/2034 | 64,022 | 63,827 | 64,991 |
| CALIFORNIA ST | 7.30% | 10/01/2039 | 150,000 | 249,620 | 235,323 |
| NEW JERSEY ST TURNPIKE AUTH | 7.41% | 01/01/2040 | 50,000 | 75,015 | 80,487 |
| EXXON MOBIL CORPORATION | 4.23% | 03/19/2040 | 115,000 | 118,725 | 135,759 |
| SLM STUDENT LOAN TRUST | 0.29% | 07/25/2040 | 996,000 | 961,763 | 967,178 |
| CALIFORNIA ST | 7.60% | 11/01/2040 | 120,000 | 154,339 | 206,857 |
| NEW JERSEY ST TURNPIKE AUTH | 7.10% | 01/01/2041 | 150,000 | 173,634 | 235,338 |
| SLM STUDENT LOAN TRUST | 0.85% | 01/25/2045 | 315,471 | 317,837 | 315,114 |
| EXXON MOBIL CORPORATION | 4.33% | 03/19/2050 | 200,000 | 213,906 | 245,570 |
| SMB PRIVATE EDUCATION LOAN TRU | 1.07% | 01/15/2053 | 392,135 | 392,109 | 381,672 |
| NAVIENT STUDENT LOAN TRUST | 1.15% | 12/27/2066 | 116,422 | 117,050 | 117,330 |
| NAVIENT STUDENT LOAN TRUST | 0.85% | 03/25/2067 | 977,000 | 977,000 | 968,804 |
| NAVIENT STUDENT LOAN TRUST | 1.00% | 08/26/2069 | 1,019,283 | 1,019,283 | 1,024,574 |
| NAVIENT STUDENT LOAN TRUST | 0.70% | 12/26/2069 | 1,764,706 | 1,764,703 | 1,765,712 |
| | | | $ 7,454,248 | $ 7,647,452 | $ 7,914,501 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE DEBT INSTRUMENTS - OTHER

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) | (c) - DESCRIPTION CORPORATE DEBT INSTRUMENTS - OTHER | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| NATWEST GROUP PLC | 6.13% | 12/15/2022 | $ 225,000 | $ 225,215 | $ 235,328 |
| CAPITAL ONE FINANCIAL CO | 3.50% | 06/15/2023 | 140,000 | 137,509 | 145,072 |
| CIGNA CORP | 3.75% | 07/15/2023 | 72,000 | 71,869 | 74,874 |
| FORD MOTOR CREDIT CO LLC | 4.38% | 08/06/2023 | 1,000,000 | 1,025,437 | 1,040,420 |
| VMWARE INC | 0.60% | 08/15/2023 | 125,000 | 124,869 | 124,124 |
| NATWEST GROUP PLC | 6.00% | 12/19/2023 | 125,000 | 127,338 | 135,830 |
| BANK OF AMERICA CORP | 3.00% | 12/20/2023 | 494,000 | 495,227 | 504,211 |
| TELECOM ITALIA SPA | 5.30% | 05/30/2024 | 625,000 | 679,156 | 657,788 |
| RIO OIL FINANCE TRUST | 9.25% | 07/06/2024 | 479,049 | 509,932 | 513,780 |
| JPMORGAN CHASE + CO | 3.80% | 07/23/2024 | 250,000 | 250,654 | 260,545 |
| OCCIDENTAL PETROLEUM COR | 2.90% | 08/15/2024 | 150,000 | 135,840 | 153,225 |
| BANK OF AMERICA CORP | 4.20% | 08/26/2024 | 100,000 | 99,927 | 107,186 |
| MICROCHIP TECHNOLOGY INC | 0.98% | 09/01/2024 | 275,000 | 275,000 | 269,948 |
| BARCLAYS PLC | 4.38% | 09/11/2024 | 300,000 | 298,022 | 320,574 |
| BNP PARIBAS | 4.25% | 10/15/2024 | 350,000 | 348,952 | 377,461 |
| LLOYDS BANKING GROUP PLC | 4.50% | 11/04/2024 | 350,000 | 350,039 | 377,066 |
| COX COMMUNICATIONS INC | 3.85% | 02/01/2025 | 175,000 | 172,837 | 186,141 |
| DELL INT LLC / EMC CORP | 5.85% | 07/15/2025 | 25,000 | 24,966 | 28,336 |
| IMPERIAL BRANDS FIN PLC | 4.25% | 07/21/2025 | 350,000 | 361,760 | 375,778 |
| FORD MOTOR CREDIT CO LLC | 4.13% | 08/04/2025 | 575,000 | 612,969 | 610,219 |
| CAPITAL ONE FINANCIAL CO | 4.20% | 10/29/2025 | 175,000 | 186,867 | 190,621 |
| ZOETIS INC | 4.50% | 11/13/2025 | 125,000 | 124,711 | 137,605 |
| CIGNA CORP | 4.13% | 11/15/2025 | 50,000 | 49,959 | 54,681 |
| BANK OF AMERICA CORP | 4.45% | 03/03/2026 | 50,000 | 49,701 | 55,048 |
| DOMINION ENERGY INC | 1.45% | 04/15/2026 | 275,000 | 274,879 | 271,816 |
| WELLS FARGO + COMPANY | 4.10% | 06/03/2026 | 75,000 | 75,284 | 81,746 |
| DILLARDS INC | 7.75% | 07/15/2026 | 200,000 | 197,000 | 238,478 |
| OCCIDENTAL PETROLEUM COR | 3.20% | 08/15/2026 | 325,000 | 312,591 | 334,919 |
| VMWARE INC | 1.40% | 08/15/2026 | 150,000 | 149,745 | 147,417 |
| BNP PARIBAS | 4.63% | 03/13/2027 | 950,000 | 1,078,641 | 1,052,999 |
| CIGNA CORP | 7.88% | 05/15/2027 | 89,000 | 116,055 | 115,046 |
| CEMEX SAB DE CV | 7.38% | 06/05/2027 | 425,000 | 469,476 | 467,929 |
| MCDONALD S CORP | 3.50% | 07/01/2027 | 25,000 | 24,879 | 27,111 |
| DELL INT LLC / EMC CORP | 6.10% | 07/15/2027 | 100,000 | 104,125 | 119,282 |
| WELLS FARGO + COMPANY | 4.30% | 07/22/2027 | 175,000 | 174,993 | 194,973 |
| COX COMMUNICATIONS INC | 3.50% | 08/15/2027 | 325,000 | 340,101 | 349,112 |
| JPMORGAN CHASE + CO | 4.25% | 10/01/2027 | 50,000 | 52,841 | 56,088 |
| BANK OF AMERICA CORP | 4.18% | 11/25/2027 | 325,000 | 356,926 | 355,596 |
| JPMORGAN CHASE + CO | 3.63% | 12/01/2027 | 300,000 | 335,958 | 323,256 |
| NORDSTROM INC | 6.95% | 03/15/2028 | 225,000 | 259,223 | 253,406 |
| BAT CAPITAL CORP | 2.26% | 03/25/2028 | 50,000 | 50,000 | 48,722 |
| CVS HEALTH CORP | 4.30% | 03/25/2028 | 29,000 | 28,965 | 32,544 |
| BARCLAYS PLC | 4.84% | 05/09/2028 | 200,000 | 231,476 | 220,316 |
| ELANCO ANIMAL HEALTH INC | 5.90% | 08/28/2028 | 250,000 | 281,782 | 290,000 |
| BAYER US FINANCE II LLC | 4.38% | 12/15/2028 | 475,000 | 554,639 | 530,547 |
| ANHEUSER BUSCH INBEV WOR | 4.75% | 01/23/2029 | 115,000 | 140,018 | 133,853 |
| HCA INC | 4.13% | 06/15/2029 | 75,000 | 74,623 | 82,529 |
| IMPERIAL BRANDS FIN PLC | 3.88% | 07/26/2029 | 950,000 | 1,030,024 | 1,006,164 |
| OCCIDENTAL PETROLEUM COR | 3.50% | 08/15/2029 | 125,000 | 125,426 | 128,413 |
| DOW CHEMICAL CO/THE | 7.38% | 11/01/2029 | 103,000 | 111,863 | 138,584 |
| ABBVIE INC | 3.20% | 11/21/2029 | 140,000 | 141,930 | 149,687 |
| PETROBRAS GLOBAL FINANCE | 5.09% | 01/15/2030 | 249,000 | 259,127 | 258,977 |
| PROSUS NV | 3.68% | 01/21/2030 | 525,000 | 545,248 | 540,309 |
| BOSTON PROPERTIES LP | 2.90% | 03/15/2030 | 450,000 | 462,389 | 458,244 |
| HSBC HOLDINGS PLC | 4.95% | 03/31/2030 | 250,000 | 249,688 | 293,350 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE DEBT INSTRUMENTS - OTHER

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION CORPORATE DEBT INSTRUMENTS - OTHER | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| ORACLE CORP | 2.95% | 04/01/2030 | 125,000 | 124,871 | 126,421 |
| T MOBILE USA INC | 3.88% | 04/15/2030 | 460,000 | 499,462 | 503,125 |
| TRANSCANADA PIPELINES | 4.10% | 04/15/2030 | 675,000 | 704,108 | 752,949 |
| JPMORGAN CHASE + CO | 8.75% | 09/01/2030 | 225,000 | 230,221 | 339,575 |
| JPMORGAN CHASE + CO | 2.74% | 10/15/2030 | 155,000 | 166,115 | 159,259 |
| WELLS FARGO + COMPANY | 2.88% | 10/30/2030 | 80,000 | 85,878 | 83,167 |
| WILLIAMS COMPANIES INC | 3.50% | 11/15/2030 | 275,000 | 285,343 | 292,300 |
| PETROBRAS GLOBAL FINANCE | 5.60% | 01/03/2031 | 250,000 | 281,875 | 264,750 |
| BOSTON PROPERTIES LP | 3.25% | 01/30/2031 | 100,000 | 103,723 | 104,770 |
| WELLS FARGO + COMPANY | 2.57% | 02/11/2031 | 475,000 | 496,674 | 484,909 |
| BANK OF AMERICA CORP | 2.50% | 02/13/2031 | 425,000 | 443,713 | 426,084 |
| BAT CAPITAL CORP | 2.73% | 03/25/2031 | 125,000 | 124,471 | 121,303 |
| CITIGROUP INC | 4.41% | 03/31/2031 | 375,000 | 406,492 | 428,273 |
| T MOBILE USA INC | 3.50% | 04/15/2031 | 275,000 | 281,531 | 286,105 |
| JPMORGAN CHASE + CO | 2.52% | 04/22/2031 | 505,000 | 519,970 | 510,419 |
| JPMORGAN CHASE + CO | 2.96% | 05/13/2031 | 250,000 | 263,057 | 258,843 |
| AT+T INC | 2.75% | 06/01/2031 | 150,000 | 149,759 | 153,038 |
| CEMEX SAB DE CV | 3.88% | 07/11/2031 | 350,000 | 351,313 | 348,716 |
| HSBC HOLDINGS PLC | 2.36% | 08/18/2031 | 200,000 | 198,458 | 195,398 |
| AT+T INC | 8.75% | 11/15/2031 | 120,000 | 166,563 | 175,868 |
| PETROLEOS MEXICANOS | 6.70% | 02/16/2032 | 600,000 | 603,141 | 606,000 |
| CITIGROUP INC | 6.63% | 06/15/2032 | 85,000 | 115,760 | 112,761 |
| CCO HLDGS LLC/CAP CORP | 4.50% | 06/01/2033 | 200,000 | 198,500 | 204,046 |
| ILLINOIS ST | 5.10% | 06/01/2033 | 525,000 | 526,061 | 606,837 |
| UNICREDIT SPA | 7.30% | 04/02/2034 | 250,000 | 250,000 | 299,508 |
| PETROLEOS MEXICANOS | 6.63% | 06/15/2035 | 100,000 | 64,250 | 96,080 |
| UNICREDIT SPA | 5.46% | 06/30/2035 | 500,000 | 532,612 | 544,765 |
| VERIZON COMMUNICATIONS | 4.27% | 01/15/2036 | 150,000 | 146,028 | 175,949 |
| HSBC HOLDINGS PLC | 6.50% | 05/02/2036 | 675,000 | 869,743 | 917,075 |
| ENEL FINANCE INTL NV | 6.80% | 09/15/2037 | 175,000 | 186,195 | 249,060 |
| HSBC HOLDINGS PLC | 6.50% | 09/15/2037 | 150,000 | 182,234 | 207,533 |
| WALT DISNEY COMPANY/THE | 6.65% | 11/15/2037 | 100,000 | 139,368 | 148,225 |
| CVS HEALTH CORP | 4.78% | 03/25/2038 | 125,000 | 132,562 | 152,156 |
| TELECOM ITALIA CAPITAL | 7.72% | 06/04/2038 | 255,000 | 264,763 | 299,696 |
| DOW CHEMICAL CO/THE | 9.40% | 05/15/2039 | 44,000 | 78,437 | 78,831 |
| TIME WARNER CABLE LLC | 6.75% | 06/15/2039 | 125,000 | 130,556 | 170,305 |
| OCCIDENTAL PETROLEUM COR | 4.30% | 08/15/2039 | 125,000 | 67,344 | 124,659 |
| ENEL FINANCE INTL NV | 6.00% | 10/07/2039 | 150,000 | 156,154 | 199,262 |
| ABBVIE INC | 4.05% | 11/21/2039 | 175,000 | 177,956 | 200,783 |
| CVS HEALTH CORP | 4.13% | 04/01/2040 | 75,000 | 73,736 | 86,107 |
| UNITED PARCEL SERVICE | 5.20% | 04/01/2040 | 100,000 | 111,579 | 134,730 |
| T MOBILE USA INC | 4.38% | 04/15/2040 | 50,000 | 49,013 | 57,160 |
| BAT CAPITAL CORP | 3.73% | 09/25/2040 | 25,000 | 25,000 | 24,014 |
| PETROBRAS GLOBAL FINANCE | 7.25% | 03/17/2044 | 50,000 | 49,098 | 55,375 |
| PETROLEOS MEXICANOS | 6.38% | 01/23/2045 | 125,000 | 125,794 | 108,563 |
| KINDER MORGAN INC | 5.55% | 06/01/2045 | 475,000 | 472,035 | 600,552 |
| CHARTER COMM OPT LLC/CAP | 6.48% | 10/23/2045 | 800,000 | 896,147 | 1,092,472 |
| CHARTER COMM OPT LLC/CAP | 5.38% | 05/01/2047 | 50,000 | 49,984 | 59,681 |
| HCA INC | 5.50% | 06/15/2047 | 75,000 | 72,820 | 98,141 |
| PETROLEOS MEXICANOS | 6.75% | 09/21/2047 | 125,000 | 108,188 | 110,938 |
| PETROLEOS MEXICANOS | 6.35% | 02/12/2048 | 54,000 | 57,798 | 46,224 |
| FEDEX CORP | 4.05% | 02/15/2048 | 100,000 | 94,755 | 112,266 |
| KINDER MORGAN INC | 5.20% | 03/01/2048 | 175,000 | 195,666 | 216,151 |
| AT+T INC | 4.50% | 03/09/2048 | 43,000 | 48,903 | 50,132 |
| CVS HEALTH CORP | 5.05% | 03/25/2048 | 190,000 | 249,445 | 248,414 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE DEBT INSTRUMENTS - OTHER

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION CORPORATE DEBT INSTRUMENTS - OTHER | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY VALUE | COST | CURRENT VALUE |
| CHARTER COMM OPT LLC/CAP | 5.75% | 04/01/2048 | 700,000 | 896,056 | 872,942 |
| ANHEUSER BUSCH INBEV WOR | 5.55% | 01/23/2049 | 250,000 | 330,363 | 345,865 |
| PETROBRAS GLOBAL FINANCE | 6.90% | 03/19/2049 | 375,000 | 389,325 | 399,375 |
| HCA INC | 5.25% | 06/15/2049 | 100,000 | 106,985 | 128,432 |
| BAT CAPITAL CORP | 4.76% | 09/06/2049 | 125,000 | 133,850 | 134,219 |
| ABBVIE INC | 4.25% | 11/21/2049 | 175,000 | 166,512 | 210,380 |
| PETROLEOS MEXICANOS | 7.69% | 01/23/2050 | 1,150,000 | 1,015,285 | 1,109,750 |
| ORACLE CORP | 3.60% | 04/01/2050 | 100,000 | 113,926 | 97,914 |
| T MOBILE USA INC | 4.50% | 04/15/2050 | 25,000 | 24,894 | 29,260 |
| FEDEX CORP | 5.25% | 05/15/2050 | 75,000 | 74,288 | 100,335 |
| PETROBRAS GLOBAL FINANCE | 6.75% | 06/03/2050 | 250,000 | 301,720 | 261,563 |
| BAT CAPITAL CORP | 3.98% | 09/25/2050 | 125,000 | 124,911 | 120,155 |
| DOW CHEMICAL CO/THE | 3.60% | 11/15/2050 | 75,000 | 77,915 | 81,216 |
| SOUTHERN CO | 4.00% | 01/15/2051 | 325,000 | 325,438 | 332,313 |
| WELLS FARGO + COMPANY | 5.01% | 04/04/2051 | 200,000 | 200,000 | 273,106 |
| SOUTHERN CO | 3.75% | 09/15/2051 | 400,000 | 398,906 | 400,000 |
| AT+T INC | 3.50% | 09/15/2053 | 273,000 | 272,520 | 275,430 |
| DOMINION ENERGY INC | 5.75% | 10/01/2054 | 250,000 | 251,938 | 266,740 |
| AT+T INC | 3.55% | 09/15/2055 | 254,000 | 245,548 | 254,922 |
| AT+T INC | 3.65% | 09/15/2059 | 504,000 | 492,303 | 508,969 |
| PETROLEOS MEXICANOS | 6.95% | 01/28/2060 | 14,000 | 16,055 | 12,495 |
| VODAFONE GROUP PLC | 7.00% | 04/04/2079 | 375,000 | 408,500 | 453,554 |
| SHENKMAN PRIMUS HIGH YIELD BOND FUND - CORPORATE DEBT INSTRUMENTS | | | - | 53,388,167 | 53,890,666 |
| | | | $ 33,321,049 | $ 88,489,298 | $ 90,706,598 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| 2020 BULKERS LTD | 1,415 | $ 21,841 | $ 17,972 |
| 77 BANK LTD/THE | 3,000 | 45,045 | 34,779 |
| AARON S CO INC/THE | 900 | 17,862 | 22,185 |
| ABBVIE INC | 6,700 | 710,005 | 907,180 |
| ABOU KIR FERTIL + CHEMICALS | 46,904 | 64,903 | 64,191 |
| ABSA BANK LTD PREFERENCE | 510 | 32,463 | 25,963 |
| ABSOLUTE SOFTWARE CORPORATIO | 1,200 | 16,300 | 11,267 |
| ABU DHABI ISLAMIC BANK/EGYPT | 24,925 | 23,482 | 25,020 |
| ACADIA PHARMACEUTICALS INC | 8,242 | 217,853 | 192,368 |
| ACCESSO TECHNOLOGY GROUP PLC | 61 | 688 | 676 |
| ACER INC | 77,000 | 65,971 | 84,745 |
| ACI WORLDWIDE INC | 41,591 | 1,390,961 | 1,443,208 |
| ACUITY BRANDS INC | 2,100 | 246,950 | 444,612 |
| AD PEPPER MEDIA INTL NV | 4,816 | 33,535 | 32,532 |
| ADARO ENERGY TBK PT | 116,800 | 14,379 | 18,439 |
| ADBRI LTD | 30,475 | 77,844 | 62,482 |
| ADVA OPTICAL NETWORKING SE | 1,636 | 9,517 | 26,195 |
| ADVANCE AUTO PARTS INC | 3,231 | 343,074 | 775,052 |
| ADVANCED DRAINAGE SYSTEMS IN | 7,929 | 875,344 | 1,079,375 |
| ADVANCED INFORMATION TE NVDR | 43,000 | 30,124 | 51,167 |
| ADVANCED INTERNATIONAL MULTI | 78,000 | 182,540 | 211,443 |
| ADVANCED PROCESS SYSTEMS COR | 1,964 | 52,280 | 41,634 |
| ADVANTAGE ENERGY LTD | 41,300 | 151,940 | 242,278 |
| AECOM | 13,401 | 543,124 | 1,036,567 |
| AEON FINANCIAL SERVICE CO LT | 900 | 13,598 | 9,707 |
| AERIE PHARMACEUTICALS INC | 600 | 14,597 | 4,212 |
| AFLAC INC | 10,700 | 450,263 | 624,773 |
| AFRICAN RAINBOW MINERALS LTD | 649 | 12,851 | 9,402 |
| AGCO CORP | 4,600 | 417,951 | 533,692 |
| AGF MANAGEMENT LTD CLASS B | 18,779 | 101,813 | 124,138 |
| AGFA GEVAERT NV | 2,689 | 13,161 | 11,590 |
| AGILE GROUP HOLDINGS LTD | 200 | 284 | 109 |
| AGILENT TECHNOLOGIES INC | 9,878 | 798,329 | 1,577,023 |
| AGREE REALTY CORP | 6,594 | 445,642 | 470,548 |
| AIMIA INC | 2,205 | 6,658 | 8,641 |
| AKWEL | 382 | 6,981 | 9,448 |
| AL BARAKA BANK EGYPT E.S.C | 28,676 | 14,311 | 25,737 |
| ALANTRA PARTNERS SA | 894 | 15,235 | 15,555 |
| ALBAAD MASSUOT YITZHAK LTD | 1 | 8 | 5 |
| ALBIREO PHARMA INC | 6,891 | 258,124 | 160,491 |
| ALGOMA CENTRAL CORP | 2,500 | 28,034 | 33,706 |
| ALIBABA GROUP HOLDING LTD | 56,612 | 1,456,875 | 863,380 |
| ALIBABA GROUP HOLDING SP ADR | 4,592 | 714,662 | 545,484 |
| ALIGHT INC CLASS A | 58,517 | 604,824 | 632,569 |
| ALIOR BANK SA | 7,219 | 65,742 | 97,975 |
| ALKEMY SPA | 1,231 | 22,897 | 31,778 |
| ALLEGION PLC | 2,585 | 205,150 | 342,357 |
| ALLERGY THERAPEUTICS PLC | 42,468 | 10,732 | 18,550 |
| ALLIANZ MALAYSIA BHD | 5,900 | 13,960 | 18,213 |
| ALLISON TRANSMISSION HOLDING | 5,500 | 224,479 | 199,925 |
| ALLSCRIPTS HEALTHCARE SOLUTI | 20,858 | 328,415 | 384,830 |
| ALLSTATE CORP | 5,600 | 566,466 | 658,840 |
| ALLY FINANCIAL INC | 6,800 | 210,090 | 323,748 |
| ALMA MEDIA CORP | 2,001 | 14,492 | 24,621 |
| ALMIRALL SA | 11,942 | 221,822 | 153,459 |
| ALPHA CORP | 1,400 | 14,624 | 14,164 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ALPHABET INC CL A | 773 | 600,382 | 2,239,412 |
| ALS LTD | 4,877 | 46,014 | 46,379 |
| ALTRA INDUSTRIAL MOTION CORP | 11,260 | 632,457 | 580,678 |
| ALTRIA GROUP INC | 7,100 | 308,524 | 336,469 |
| ALUMETAL SA | 828 | 12,434 | 11,628 |
| ALUMINA LTD | 87,575 | 145,972 | 118,747 |
| ALVIVA HOLDINGS LTD | 31,628 | 38,497 | 32,896 |
| AMDOCS LTD | 5,194 | 333,046 | 388,719 |
| AMERICAN AIRLINES GROUP INC | 3,100 | 48,887 | 55,676 |
| AMERICAN ASSETS TRUST INC | 5,600 | 146,636 | 210,168 |
| AMERICAN AXLE + MFG HOLDINGS | 6,500 | 54,210 | 60,645 |
| AMERICAN EXPRESS CO | 9,467 | 731,963 | 1,548,801 |
| AMERICAN FINANCIAL GROUP INC | 5,000 | 396,905 | 686,600 |
| AMERICAN INTERNATIONAL GROUP | 5,700 | 306,012 | 324,102 |
| AMERICAN SHIPPING COMPANY AS | 1,031 | 3,769 | 3,765 |
| AMERICAN WATER WORKS CO INC | 2,546 | 75,396 | 480,838 |
| AMERIPRISE FINANCIAL INC | 3,500 | 617,736 | 1,055,810 |
| AMGEN INC | 2,600 | 605,266 | 584,922 |
| AMICA SA | 269 | 10,196 | 7,542 |
| AMICUS THERAPEUTICS INC | 18,202 | 319,330 | 210,233 |
| AMIR MARKETING AND INVEST | 1,069 | 14,221 | 13,996 |
| AMKOR TECHNOLOGY INC | 12,500 | 158,812 | 309,875 |
| AMN HEALTHCARE SERVICES INC | 2,670 | 147,179 | 326,621 |
| ANALOGUE HOLDINGS LTD | 68,000 | 13,072 | 15,525 |
| ANGANG STEEL CO LTD H | 398,000 | 175,910 | 177,143 |
| ANNALY CAPITAL MANAGEMENT IN | 26,000 | 201,504 | 203,320 |
| ANTHEM INC | 6,608 | 1,912,721 | 3,063,072 |
| AP THAILAND PCL NVDR | 1,460,100 | 392,425 | 417,421 |
| APAC RESOURCES LTD | 180,000 | 30,246 | 26,320 |
| API GROUP CORP | 21,853 | 469,677 | 563,152 |
| APONTIS PHARMA AG | 520 | 11,733 | 11,117 |
| APPLIED MATERIALS INC | 5,900 | 420,737 | 928,424 |
| APTIV PLC | 13,657 | 1,233,632 | 2,252,722 |
| ARATA CORP | 2,200 | 70,841 | 84,156 |
| ARCBEST CORP | 3,100 | 108,546 | 371,535 |
| ARCOSA INC | 9,003 | 252,689 | 474,458 |
| ARCTIC PAPER SA | 7,476 | 13,772 | 15,396 |
| ARDENT LEISURE GROUP LTD | 137 | 150 | 134 |
| ARENA PHARMACEUTICALS INC | 2,453 | 172,809 | 227,982 |
| ARES CAPITAL CORP | 8,300 | 119,312 | 175,877 |
| ARGENX SE ADR | 6,881 | 1,133,467 | 2,409,657 |
| ARGO GRAPHICS INC | 7,600 | 109,691 | 212,844 |
| ARISTA NETWORKS INC | 15,446 | 1,038,839 | 2,220,362 |
| ARJO AB B SHARES | 2,043 | 7,048 | 25,002 |
| ARKEMA | 94 | 12,245 | 13,239 |
| ARMSTRONG WORLD INDUSTRIES | 7,708 | 665,091 | 895,053 |
| ARROW ELECTRONICS INC | 4,800 | 430,174 | 644,496 |
| ASAHI NET INC | 3,400 | 15,576 | 17,892 |
| ASCENDIS PHARMA A/S ADR | 21,883 | 2,909,070 | 2,943,920 |
| ASCENDIS PHARMA A/S ADR | 1,893 | 238,137 | 254,665 |
| ASCOM HOLDING AG REG | 1,448 | 18,585 | 18,689 |
| ASHLAND GLOBAL HOLDINGS INC | 3,905 | 299,516 | 420,412 |
| ASIA CEMENT CHINA HOLDINGS | 136,225 | 150,404 | 87,016 |
| ASIA HOLDINGS CO LTD | 795 | 64,571 | 85,603 |
| ASIA PLUS GROUP HDS PCL NVDR | 231,200 | 27,229 | 23,947 |
| ASKA PHARMACEUTICAL HOLDINGS | 1,100 | 18,615 | 9,619 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ASM INTERNATIONAL NV | 2,377 | 500,247 | 1,050,704 |
| ASM PACIFIC TECHNOLOGY | 63,900 | 803,315 | 690,529 |
| ASPO OYJ | 1,666 | 12,278 | 21,522 |
| ASSECO SOUTH EASTERN EUROPE | 1,440 | 17,291 | 18,436 |
| ASSYSTEM | 620 | 25,701 | 26,440 |
| ASTRA OTOPARTS TBK PT | 263,800 | 18,720 | 21,378 |
| ASTRO MALAYSIA HOLDINGS BHD | 73,300 | 17,829 | 16,715 |
| ASTRONICS CORP | 40,700 | 664,014 | 488,400 |
| AT+T INC | 49,000 | 1,363,116 | 1,205,400 |
| ATKORE INC | 5,300 | 130,035 | 589,307 |
| ATLAS AIR WORLDWIDE HOLDINGS | 2,000 | 135,490 | 188,240 |
| ATLASSIAN CORP PLC CLASS A | 9,912 | 579,617 | 3,779,346 |
| ATRIA OYJ | 2,089 | 22,942 | 27,367 |
| AURELIA METALS LTD | 175,446 | 88,130 | 52,299 |
| AURELIUS EQUITY OPPORTUNITIE | 615 | 18,224 | 18,911 |
| AUSTRALIAN VINTAGE LTD | 482 | 267 | 287 |
| AUTOMOTIVE PROPERTIES REAL E | 7,300 | 74,179 | 86,399 |
| AUTONATION INC | 2,800 | 169,610 | 327,180 |
| AUTOSTRADE MERIDIONALI SPA | 438 | 14,301 | 13,897 |
| AVANTOR INC | 18,495 | 322,403 | 779,379 |
| AVARGA LTD | 27,000 | 4,846 | 5,508 |
| AXIS CAPITAL HOLDINGS LTD | 2,400 | 120,936 | 130,728 |
| AXOS FINANCIAL INC | 18,538 | 637,160 | 1,036,459 |
| AZENTA INC | 10,740 | 1,234,493 | 1,107,401 |
| AZIMUT HOLDING SPA | 15,956 | 242,957 | 447,823 |
| AZZ INC | 25,154 | 978,334 | 1,390,765 |
| BACHEM HOLDING AG REG B | 474 | 367,236 | 372,479 |
| BAE SYSTEMS PLC | 168,311 | 1,148,133 | 1,253,373 |
| BALL CORP | 14,521 | 1,189,548 | 1,397,937 |
| BANCA GENERALI SPA | 1,135 | 45,884 | 50,015 |
| BANGCHAK CORP PCL NVDR | 151,800 | 130,143 | 114,742 |
| BANK MILLENNIUM SA | 35,757 | 45,194 | 72,705 |
| BANK OCBC NISP TBK PT | 351,700 | 16,836 | 16,533 |
| BANK OF AMERICA CORP | 20,700 | 567,192 | 920,943 |
| BANK OF NEW YORK MELLON CORP | 36,963 | 1,198,631 | 2,146,811 |
| BANK OF NEW YORK MELLON CORP | 13,000 | 477,100 | 755,040 |
| BANK OZK | 10,876 | 465,155 | 506,060 |
| BARLOWORLD LTD | 2,441 | 9,420 | 22,998 |
| BASE RESOURCES LTD | 160,053 | 34,686 | 38,983 |
| BASILEA PHARMACEUTICA REG | 8 | 402 | 359 |
| BASLER AG | 100 | 12,955 | 18,059 |
| BATH + BODY WORKS INC | 7,665 | 466,061 | 534,940 |
| BATU KAWAN BHD | 2,800 | 13,115 | 15,257 |
| BE SEMICONDUCTOR INDUSTRIES | 226 | 17,229 | 19,281 |
| BELIMO HOLDING AG REG | 97 | 59,181 | 61,746 |
| BELLEVUE GROUP AG | 306 | 12,677 | 13,837 |
| BELVOIR GROUP PLC | 6,846 | 27,040 | 24,294 |
| BERGMAN + BEVING AKTIEBOLAG | 967 | 15,774 | 16,106 |
| BERKSHIRE HATHAWAY INC CL B | 5,972 | 1,136,050 | 1,785,628 |
| BERRY GLOBAL GROUP INC | 7,760 | 400,074 | 572,533 |
| BEST BUY CO INC | 2,900 | 276,268 | 294,640 |
| BEST WORLD INTERNATIONAL LTD | 11 | 8 | - |
| BETSSON AB B | 1,448 | 12,815 | 8,740 |
| BIGCOMMERCE HOLDINGS SER 1 | 20,737 | 1,144,969 | 733,468 |
| BILL.COM HOLDINGS INC | 4,569 | 709,219 | 1,138,366 |
| BIOGAIA AB B SHS | 1,821 | 92,782 | 104,185 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| BIOGEN INC | 610 | 165,065 | 146,351 |
| BIOHAVEN PHARMACEUTICAL HOLD | 5,736 | 457,496 | 790,478 |
| BIOMERIEUX | 1,819 | 235,246 | 258,364 |
| BIONTECH SE ADR | 2,679 | 173,608 | 690,646 |
| BIOSYENT INC | 1,000 | 7,760 | 6,488 |
| BIOTAGE AB | 1,359 | 32,736 | 39,327 |
| BIRD CONSTRUCTION INC | 833 | 6,588 | 6,476 |
| BJORN BORG AB | 3,718 | 17,253 | 20,656 |
| BLACK DIAMOND GROUP LTD | 9,100 | 29,331 | 31,915 |
| BLOOMSBURY PUBLISHING PLC | 3,190 | 6,853 | 15,555 |
| BLUE LABEL TELECOMS LTD | 84,381 | 16,446 | 26,911 |
| BML INC | 900 | 27,927 | 27,941 |
| BMTC GROUP INC | 78 | 886 | 974 |
| BNK FINANCIAL GROUP INC | 13,230 | 72,806 | 93,486 |
| BOARDWALK REAL ESTATE INVEST | 1,200 | 36,272 | 52,089 |
| BOBST GROUP SA REG | 598 | 46,758 | 54,408 |
| BONAVA AB B SHARES | 408 | 3,589 | 3,526 |
| BONTERRA ENERGY CORP | 14,800 | 67,837 | 66,317 |
| BOOKING HOLDINGS INC | 219 | 379,660 | 525,431 |
| BORGWARNER INC | 4,400 | 174,394 | 198,308 |
| BOSSARD HOLDING AG REG A | 99 | 25,212 | 35,693 |
| BOUSTEAD PLANTATIONS BHD | 226,500 | 35,560 | 35,340 |
| BOUSTEAD SINGAPORE LTD | 20,100 | 12,706 | 14,835 |
| BP PLASTICS HOLDING BHD | 10,700 | - | 1,156 |
| BP PLASTICS HOLDING BHD | 80,250 | 33,242 | 29,665 |
| BPOST SA | 13,772 | 134,155 | 120,124 |
| BRADESPAR SA PREF | 2,033 | 25,732 | 9,121 |
| BRC ASIA LTD | 26,200 | 29,795 | 29,928 |
| BRDR A + O JOHANSEN AS PFD | 250 | 23,961 | 51,986 |
| BRIDGEMARQ REAL ESTATE SERVI | 4,530 | 60,621 | 58,492 |
| BRISTOL MYERS SQUIBB CO | 11,900 | 732,888 | 741,965 |
| BRP INC/CA SUB VOTING | 7,300 | 433,171 | 640,336 |
| BTS GROUP AB B SHARES | 502 | 22,771 | 22,345 |
| BUDIMEX | 143 | 12,013 | 8,054 |
| BUFAB AB | 2,239 | 35,915 | 110,542 |
| BUKIT ASAM TBK PT | 1,858,900 | 357,771 | 353,455 |
| BURELLE SA | 40 | 23,522 | 29,567 |
| BURKHALTER HOLDING AG | 123 | 8,519 | 8,505 |
| BURLINGTON STORES INC | 7,871 | 1,740,215 | 2,294,475 |
| BUSINESS ENGINEERING CORP | 600 | 11,173 | 20,112 |
| BYC CO LTD | 45 | 15,541 | 17,054 |
| C RAD AB B SHS | 2,919 | 21,252 | 17,958 |
| C.I. HOLDINGS BERHAD | 13,900 | 13,257 | 9,509 |
| CABBEEN FASHION LTD | 147,811 | 51,426 | 53,275 |
| CABOT CORP | 1,600 | 71,808 | 89,920 |
| CACI INTERNATIONAL INC CL A | 800 | 170,764 | 215,368 |
| CALIDA HOLDING REG | 429 | 12,731 | 22,883 |
| CALIX LTD | 27 | 35 | 131 |
| CAMBIUM NETWORKS CORP | 6,800 | 198,940 | 174,284 |
| CAMTEK LTD | 1,763 | 30,867 | 81,169 |
| CANACCORD GENUITY GROUP INC | 3,495 | 16,158 | 41,725 |
| CANFOR CORP | 25,800 | 524,477 | 654,830 |
| CANFOR PULP PRODUCTS INC | 7,827 | 36,829 | 41,454 |
| CAP SA | 780 | 10,413 | 7,599 |
| CAPCOM CO LTD | 24,000 | 319,898 | 564,387 |
| CAPITAL ONE FINANCIAL CORP | 2,100 | 207,585 | 304,689 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| CAPMAN OYJ B SHS | 4,690 | 15,134 | 16,187 |
| CAPSTONE MINING CORP | 2,900 | 11,773 | 12,811 |
| CARASSO MOTORS LTD | 7,555 | 43,925 | 49,592 |
| CARDINAL ENERGY LTD | 58,100 | 172,384 | 196,404 |
| CARDINAL HEALTH INC | 1,600 | 85,696 | 82,384 |
| CAREIUM AB | 44 | - | 147 |
| CARINDALE PROPERTY TRUST | 3,707 | 12,902 | 12,802 |
| CARL ZEISS MEDITEC AG BR | 1,367 | 250,465 | 287,359 |
| CARNIVAL CORP | 2,200 | 47,652 | 44,264 |
| CARS.COM INC | 4,900 | 55,370 | 78,841 |
| CASTRO MODEL LTD | 807 | 27,508 | 31,114 |
| CATALENT INC | 22,093 | 1,261,424 | 2,828,567 |
| CATALENT INC | 6,047 | 167,486 | 774,197 |
| CATELLA AB | 7,614 | 16,586 | 39,610 |
| CAYMAN ENGLEY INDUSTRIAL CO | 5,000 | 17,551 | 15,108 |
| CB INDUSTRIAL PRODUCT HLDG | 43,600 | 12,301 | 12,245 |
| CBO TERRITORIA | 2,839 | 12,188 | 11,816 |
| CCC SA | 825 | 24,307 | 21,595 |
| CDK GLOBAL INC | 7,692 | 390,201 | 321,064 |
| CECEP COSTIN NEW MATERIALS | 150,000 | 22,108 | 11,544 |
| CEGEDIM SA | 611 | 20,933 | 16,676 |
| CELANESE CORP | 3,700 | 488,042 | 621,822 |
| CELESTICA INC | 1,900 | 16,625 | 21,209 |
| CEMBRE SPA | 307 | 9,457 | 11,940 |
| CEMENTOS ARGOS SA | 58,133 | 89,954 | 87,271 |
| CENTOGENE NV | 1,123 | 11,853 | 5,761 |
| CENTURY CASINOS INC | 29,726 | 241,485 | 362,063 |
| CERIDIAN HCM HOLDING INC | 20,222 | 1,539,048 | 2,112,390 |
| CES ENERGY SOLUTIONS CORP | 5,781 | 4,237 | 9,291 |
| CESKA ZBROJOVKA GROUP SE | 1,609 | 31,529 | 37,405 |
| CEVA INC | 12,768 | 578,053 | 552,088 |
| CEWE STIFTUNG + CO KGAA | 612 | 39,045 | 89,362 |
| CHAMPION IRON LTD | 3,201 | 14,473 | 12,660 |
| CHAMPIONX CORP | 22,298 | 482,321 | 450,643 |
| CHANGAN MINSHENG APLL LOGI H | 32,000 | 21,735 | 7,758 |
| CHANNELADVISOR CORP | 11,535 | 255,566 | 284,684 |
| CHAOWEI POWER HOLDINGS LTD | 172,000 | 63,063 | 45,006 |
| CHARACTER GROUP PLC | 7,129 | 50,717 | 60,349 |
| CHART INDUSTRIES INC | 3,584 | 224,866 | 571,612 |
| CHASE CORP | 4,400 | 444,444 | 438,064 |
| CHECKIT PLC | 30,293 | 20,658 | 20,925 |
| CHEGG INC | 10,010 | 753,013 | 307,307 |
| CHEMOMETEC A/S | 2,403 | 283,913 | 306,058 |
| CHEMOURS CO/THE | 2,500 | 61,975 | 83,900 |
| CHEN HSONG HOLDINGS | 56,000 | 19,683 | 17,239 |
| CHESNARA PLC | 1,192 | 4,662 | 4,601 |
| CHEVALIER INTERNATIONAL HOLD | 6,000 | 9,663 | 7,196 |
| CHIA CHANG CO LTD | 20,000 | 20,568 | 36,361 |
| CHICONY ELECTRONICS CO LTD | 40,000 | 119,498 | 118,987 |
| CHICONY POWER TECHNOLOGY CO | 17,000 | 33,679 | 47,989 |
| CHIEN KUO CONSTRUCTION CO | 26,000 | 11,154 | 13,250 |
| CHINA BLUECHEMICAL LTD H | 376,000 | 80,104 | 104,655 |
| CHINA DONGXIANG GROUP CO | 1,224,000 | 135,154 | 106,758 |
| CHINA GENERAL PLASTICS | 95,600 | 147,884 | 119,902 |
| CHINA HANKING HOLDINGS LTD | 107,000 | 25,800 | 18,391 |
| CHINA INDEX HDS ADR | 8,136 | 18,695 | 7,892 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| CHINA MERCHANTS CHINA DIRECT | 40,000 | 62,583 | 48,023 |
| CHINA OIL AND GAS GROUP LTD | 340,000 | 18,531 | 20,497 |
| CHINA ORIENTAL GROUP CO LTD | 162,000 | 58,153 | 48,831 |
| CHINA RENEWABLE ENERGY INVES | 179,138 | 8,073 | 7,697 |
| CHINA SOUTH CITY HOLDINGS | 20,100 | 2,265 | 1,882 |
| CHINA STARCH HOLDINGS LTD | 520,000 | 11,282 | 14,140 |
| CHINA SUNSHINE PAPER HLDGS | 364,000 | 90,777 | 108,318 |
| CHINA SUNSINE CHEMICAL HLDGS | 42,400 | 7,532 | 15,254 |
| CHINO CORP | 1,000 | 13,331 | 14,537 |
| CHIPMOS TECHNOLOGIES INC | 24,000 | 36,916 | 42,159 |
| CHIPOTLE MEXICAN GRILL INC | 1,275 | 957,222 | 2,229,019 |
| CHOSUN REFRACTORIES | 180 | 13,892 | 12,265 |
| CHOW SANG SANG HLDG | 9,000 | 14,510 | 12,583 |
| CHUBU NIPPON BROADCASTING CO | 1,000 | 5,840 | 4,689 |
| CHULARAT HOSPITAL PCL NVDR | 2,076,100 | 237,001 | 227,467 |
| CHURCHILL CHINA PLC | 1,654 | 24,420 | 39,485 |
| CHURCHILL DOWNS INC | 3,451 | 352,967 | 831,346 |
| CIA DE DISTRIBUCION INTEGRAL | 434 | 11,118 | 8,652 |
| CIA ENERGETICA MINAS GER PRF | 20,600 | 48,637 | 48,486 |
| CIA FERRO LIGAS DA BAHIA FE | 15,000 | 95,139 | 131,472 |
| CIA PARANAENSE DE ENERGI PFB | 30,500 | 35,959 | 35,264 |
| CIA SANEAMENTO MINAS GERAIS | 3,800 | 13,017 | 8,671 |
| CIE FINANCIERE RICHEMO A REG | 12,260 | 819,951 | 1,842,734 |
| CIE FINANCIERE RICHEMO A REG | 72,830 | - | 79,932 |
| CIECH SA | 2,231 | 27,141 | 23,249 |
| CIGNA CORP | 3,000 | 616,899 | 688,890 |
| CIMPRESS PLC | 2,859 | 379,310 | 204,733 |
| CIPHER PHARMACEUTICALS INC | 4,035 | 31,766 | 4,504 |
| CISCO SYSTEMS INC | 16,200 | 668,772 | 1,026,594 |
| CITIGROUP CAPITAL XIII | 15,044 | 404,731 | 419,728 |
| CITIGROUP INC | 27,984 | 1,509,902 | 1,689,954 |
| CITIGROUP INC | 19,600 | 1,174,650 | 1,183,644 |
| CITIZENS FINANCIAL GROUP | 12,600 | 384,620 | 595,350 |
| CJ CORP | 136 | 13,274 | 9,541 |
| CLARKSON PLC | 525 | 26,345 | 27,590 |
| CLASQUIN | 224 | 17,828 | 19,360 |
| CLEARVISE AG | 5,327 | 12,785 | 12,843 |
| CLINUVEL PHARMACEUTICALS LTD | 8,056 | 165,076 | 159,372 |
| CLIQ DIGITAL AG | 611 | 23,331 | 17,162 |
| CMIC HOLDINGS CO LTD | 1,000 | 13,929 | 13,295 |
| CML MICROSYSTEMS PLC | 639 | 6,149 | 3,505 |
| CNO FINANCIAL GROUP INC | 7,600 | 138,282 | 181,184 |
| CNX RESOURCES CORP | 82,700 | 1,114,003 | 1,137,125 |
| COASTAL CONTRACTS BHD | 42,800 | 9,448 | 14,075 |
| COCA COLA CONSOLIDATED INC | 997 | 297,435 | 617,332 |
| CODAN LTD | 24,168 | 34,072 | 163,941 |
| COGECO INC | 1,066 | 40,793 | 68,552 |
| COGNEX CORP | 11,254 | 602,429 | 875,111 |
| COGNOR SA | 19,393 | 13,041 | 18,525 |
| COGNYTE SOFTWARE LTD | 5,990 | 164,148 | 93,863 |
| COGSTATE LTD | 23,632 | 41,279 | 41,580 |
| COLFAX CORP | 43,585 | 1,494,813 | 2,003,602 |
| COLFAX CORP | 7,641 | 213,933 | 351,257 |
| COLLEGIUM PHARMACEUTICAL INC | 13,119 | 252,992 | 245,063 |
| COLUMBUS A/S | 8,506 | 9,300 | 12,407 |
| COMARCH SA | 102 | 4,867 | 4,555 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| COMBINED MOTOR HOLDINGS LTD | 3,046 | 6,382 | 4,752 |
| COMCAST CORP CLASS A | 14,600 | 689,190 | 734,818 |
| COMET HOLDING AG REG | 55 | 18,106 | 20,312 |
| COMMVAULT SYSTEMS INC | 13,268 | 659,653 | 914,431 |
| COMPAGNIE FINANCIERE TRAD BR | 193 | 14,023 | 22,135 |
| COMPAL ELECTRONICS | 266,000 | 215,305 | 232,667 |
| COMPASS GROUP PLC | 91,989 | 1,275,547 | 2,057,055 |
| COMPUTACENTER PLC | 450 | 5,062 | 17,737 |
| COMPUTER ENGINEER + CONSULT | 400 | 8,775 | 3,772 |
| COMPUTIME GROUP LTD | 32,000 | 5,682 | 3,817 |
| CONAGRA BRANDS INC | 13,500 | 482,143 | 461,025 |
| CONCENTRADORA FIBRA DANHOS S | 7,852 | 9,084 | 8,863 |
| CONCENTRIX CORP | 6,740 | 465,207 | 1,203,899 |
| CONSENSUS CLOUD SOLUTION | 4,209 | 126,229 | 243,575 |
| CONSENSUS CLOUD SOLUTION | 533 | 19,342 | 30,845 |
| CONSORCIO ARA S.A.B. SER | 144,093 | 17,257 | 30,206 |
| COPRO HOLDINGS CO LTD | 1,800 | 19,668 | 20,961 |
| CORE CORP | 900 | 12,975 | 12,950 |
| COSTAMARE INC | 2,385 | 32,504 | 30,170 |
| COUSINS PROPERTIES INC | 5,200 | 152,282 | 209,456 |
| CRANE CO | 5,530 | 336,382 | 562,567 |
| CRCC HIGH TECH EQUIPMENT C H | 146,000 | 32,506 | 17,416 |
| CREDIT AGRICOLE EGYPT | 61,805 | 28,146 | 33,125 |
| CREO CO LTD | 1,000 | 12,577 | 9,639 |
| CROWN HOLDINGS INC | 3,100 | 326,513 | 342,922 |
| CSG SYSTEMS INTL INC | 4,000 | 166,620 | 230,480 |
| CTI ENGINEERING CO LTD | 800 | 7,994 | 17,354 |
| CUCKOO HOLDINGS CO LTD | 570 | 9,491 | 9,182 |
| CUMMINS INC | 2,480 | 519,173 | 540,987 |
| CURTISS WRIGHT CORP | 1,800 | 176,121 | 249,606 |
| CVS GROUP PLC | 511 | 17,134 | 15,504 |
| CVS HEALTH CORP | 10,954 | 685,963 | 1,130,015 |
| CYBERPOWER SYSTEMS INC | 5,000 | 14,655 | 12,524 |
| D/S NORDEN | 2,725 | 40,009 | 69,331 |
| DA CIN CONSTRUCTION CO LTD | 3,768 | 3,331 | 4,460 |
| DAE HYUN CO LTD | 13,692 | 32,951 | 26,376 |
| DAESANG CORPORATION | 408 | 8,781 | 7,997 |
| DAESANG HOLDINGS CO LTD | 5,462 | 40,618 | 44,569 |
| DAEWON SAN UP CO LTD | 14,174 | 89,799 | 82,153 |
| DAIHATSU DIESEL MFG CO LTD | 1,700 | 7,556 | 7,736 |
| DAIHEN CORP | 1,600 | 53,760 | 66,345 |
| DAIICHI JITSUGYO CO LTD | 500 | 15,716 | 21,493 |
| DAIKOKU DENKI CO LTD | 1,100 | 10,160 | 11,558 |
| DAISHIN SECURITIES CO LTD | 597 | 8,782 | 9,366 |
| DAISUE CONSTRUCTION CO LTD | 1,000 | 9,858 | 12,131 |
| DAITRON CO LTD | 1,700 | 24,344 | 31,681 |
| DANA INC | 9,000 | 135,855 | 205,380 |
| DANIELI + CO RSP | 738 | 13,622 | 14,435 |
| DANONE | 38,126 | 2,557,040 | 2,366,852 |
| DAOU DATA CORP | 248 | 706 | 3,150 |
| DATA 3 LTD | 7,318 | 15,108 | 31,285 |
| DATADOG INC CLASS A | 14,782 | 951,929 | 2,632,822 |
| DATAGROUP SE | 198 | 18,074 | 21,841 |
| DAVITA INC | 4,000 | 379,632 | 455,040 |
| DAXIN MATERIALS CORP | 20,000 | 81,900 | 111,324 |
| DB FINANCIAL INVESTMENT CO L | 4,986 | 15,350 | 27,599 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| DECEUNINCK NV | 11,402 | 40,277 | 43,567 |
| DELEUM BERHAD | 31,700 | 8,507 | 3,805 |
| DELL TECHNOLOGIES C | 8,631 | 415,614 | 484,803 |
| DELTA AIR LINES INC | 2,300 | 92,483 | 89,884 |
| DENSAN SYSTEM HOLDINGS CO LT | 800 | 20,005 | 18,980 |
| DENTSPLY SIRONA INC | 18,615 | 764,390 | 1,038,531 |
| DEUTSCHE ROHSTOFF AG | 978 | 19,887 | 22,577 |
| DEVON ENERGY CORP | 12,400 | 125,054 | 546,220 |
| DEXCO SA | 4,070 | 15,910 | 10,931 |
| DEXCOM INC | 3,384 | 572,005 | 1,817,039 |
| DEXTERRA GROUP INC | 5,155 | 32,531 | 35,056 |
| DGB FINANCIAL GROUP INC | 37,492 | 237,627 | 295,836 |
| DGL GROUP LTD/AU | 14,440 | 28,796 | 32,861 |
| DIAMOND BUILDING PDTS NVDR | 108,152 | 24,100 | 27,034 |
| DIGITAL BROS SPA | 1,484 | 21,978 | 50,493 |
| DIGITAL VALUE SPA | 433 | 48,691 | 56,134 |
| DIMERCO DATA SYSTEM CORP | 11,000 | 26,813 | 27,911 |
| DIMERCO EXPRESS CORP | 7,560 | 5,579 | 28,828 |
| DING SHENG XIN FINANCE CO LT | 8,269 | 4,418 | - |
| DIODES INC | 4,200 | 354,189 | 461,202 |
| DISCOVER FINANCIAL SERVICES | 3,200 | 289,696 | 369,792 |
| DLH HOLDINGS CORP | 56,700 | 529,538 | 1,174,824 |
| DOHWA ENGINEERING CO LTD | 2,856 | 19,187 | 18,379 |
| DOM DEVELOPMENT SA | 602 | 15,563 | 17,924 |
| DONG AH TIRE + RUBBER CO LTD | 1,275 | 10,426 | 13,192 |
| DONGIL INDUSTRIES CO LTD | 338 | 19,514 | 42,366 |
| DONGKUK STEEL MILL CO LTD | 34,147 | 236,194 | 456,730 |
| DONGSUNG CHEMICAL CO LTD | 7,848 | 33,680 | 31,656 |
| DONGYUE GROUP | 67,000 | 50,866 | 104,501 |
| DONNELLEY FINANCIAL SOLUTION | 27,564 | 787,304 | 1,299,367 |
| DOOSAN CO LTD | 593 | 18,977 | 58,864 |
| DOREL INDUSTRIES CL B | 8,300 | 88,737 | 134,637 |
| DORMAKABA HOLDING AG | 105 | 51,708 | 69,547 |
| DORO AB | 2,175 | 9,551 | 7,423 |
| DOSHISHA CO LTD | 3,000 | 46,912 | 40,797 |
| DRAEGERWERK AG | 269 | 19,333 | 16,550 |
| DRAFTKINGS INC CL A | 5,395 | 341,477 | 148,201 |
| DREAM UNLIMITED CL A SUB VOT | 2,700 | 55,569 | 83,000 |
| DRECOM CO LTD | 2,300 | 17,842 | 9,128 |
| DTR AUTOMOTIVE CORP | 467 | 22,909 | 28,207 |
| DUCOMMUN INC | 12,600 | 634,548 | 589,302 |
| DUSKIN CO LTD | 1,500 | 35,057 | 36,590 |
| DVX INC | 800 | 10,359 | 7,774 |
| DXC TECHNOLOGY CO | 519 | 13,364 | 16,707 |
| DY CORP | 10,662 | 56,429 | 58,658 |
| DYNAM JAPAN HOLDINGS CO LTD | 15,800 | 25,368 | 13,984 |
| EAGLE EYE SOLUTIONS GROUP PL | 2,953 | 19,240 | 26,398 |
| EAGLE MATERIALS INC | 4,699 | 460,392 | 782,196 |
| EASTERN CO SAE | 120,855 | 84,353 | 82,468 |
| EASTMAN CHEMICAL CO | 4,770 | 390,950 | 576,741 |
| EASTNINE AB | 1,084 | 17,693 | 18,965 |
| EBAY INC | 10,700 | 455,029 | 711,550 |
| ECLIPX GROUP LTD | 22,287 | 36,448 | 35,810 |
| ECOVYST INC | 264,940 | 3,200,143 | 2,712,986 |
| EFECTE OYJ | 833 | 14,816 | 12,409 |
| EINHELL GERMANY AG VORZUG | 427 | 20,936 | 107,314 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| EIZO CORP | 400 | 15,837 | 14,016 |
| EL.EN. SPA | 31,484 | 132,367 | 557,820 |
| ELANDERS AB B SHS | 1,064 | 9,271 | 20,448 |
| ELEMATEC CORP | 2,200 | 22,324 | 20,633 |
| ELICA SPA | 4,307 | 10,366 | 17,853 |
| ELSWEDY ELECTRIC CO | 34,009 | 20,396 | 21,280 |
| EMAAR MISR FOR DEVELOPMENT | 130,090 | 21,815 | 24,677 |
| EMAK SPA | 26,576 | 39,145 | 63,920 |
| EMEMORY TECHNOLOGY INC | 1,000 | 50,998 | 79,156 |
| EMERGENT BIOSOLUTIONS INC | 7,282 | 497,353 | 316,548 |
| EMPEROR WATCH + JEWELLERY | 910,000 | 25,510 | 23,928 |
| ENCOMPASS HEALTH CORP | 5,139 | 329,016 | 335,371 |
| ENDAVA PLC SPON ADR | 2,186 | 293,897 | 367,073 |
| ENEA SA | 22,434 | 47,483 | 47,368 |
| ENERGIZER HOLDINGS INC | 5,300 | 212,954 | 212,530 |
| ENSIGN ENERGY SERVICES INC | 34,338 | 48,087 | 45,670 |
| ENTEGRIS INC | 11,739 | 1,363,638 | 1,626,791 |
| ENTRUSTPERMAL SOE FUND LTD | 20,376 | 22,411,797 | 28,280,672 |
| EPWIN GROUP PLC | 11,852 | 18,048 | 16,856 |
| EQ OYJ | 1,393 | 14,994 | 40,791 |
| ERAJAYA SWASEMBADA TBK PT | 2,016,330 | 47,278 | 84,883 |
| ERGOMED PLC | 1,061 | 17,598 | 21,556 |
| ERO COPPER CORP | 4,100 | 81,683 | 62,645 |
| EROAD LTD | 772 | 2,624 | 2,733 |
| ETERNIT SA | 31,100 | 161,556 | 117,030 |
| EUROBIO SCIENTIFIC | 495 | 9,902 | 15,154 |
| EURONET WORLDWIDE INC | 10,154 | 1,212,888 | 1,210,052 |
| EURONET WORLDWIDE INC | 4,664 | 410,276 | 555,809 |
| EUROPEAN RELIANCE GEN INSURA | 3,494 | 19,779 | 19,946 |
| EVEREST RE GROUP LTD | 1,000 | 234,090 | 273,920 |
| EVERLIGHT ELECTRONICS CO LTD | 19,000 | 30,699 | 36,191 |
| EVLI BANK PLC | 1,579 | 27,197 | 47,046 |
| EVOTEC SE SPON ADR | 39,448 | 857,994 | 936,890 |
| EW SCRIPPS CO/THE A | 80,869 | 1,167,490 | 1,564,815 |
| EXACT SCIENCES CORP | 6,887 | 501,996 | 536,015 |
| EXCELPOINT TECHNOLOGY LTD | 14,200 | 12,927 | 13,798 |
| EXCO TECHNOLOGIES LTD | 5,700 | 31,190 | 46,524 |
| EXEDY CORP | 900 | 13,663 | 13,013 |
| EXEL COMPOSITES OYJ | 472 | 5,695 | 4,348 |
| EXILLON ENERGY PLC | 2,097 | 3,273 | 1,165 |
| EXPEDIA GROUP INC | 8,895 | 991,951 | 1,607,504 |
| EXPEDIA GROUP INC | 3,802 | 556,036 | 687,097 |
| F SECURE OYJ | 4,561 | 16,222 | 25,752 |
| FAISAL ISLAMIC BANK OF EGYPT | 18,412 | 12,533 | 17,228 |
| FAITH INC | 7,800 | 86,482 | 46,331 |
| FALCO HOLDINGS CO LTD | 2,100 | 25,709 | 37,549 |
| FAN COMMUNICATIONS INC | 6,200 | 25,975 | 20,890 |
| FAR EAST CONSORTIUM INTERNAT | 38,000 | 15,020 | 13,842 |
| FATE THERAPEUTICS INC | 4,881 | 348,494 | 285,587 |
| FAVELLE FAVCO BHD | 224 | 154 | 130 |
| FDM GROUP HOLDINGS PLC | 847 | 7,292 | 14,593 |
| FEDERAL AGRIC MTG CORP CL C | 2,757 | 224,097 | 341,675 |
| FEDEX CORP | 1,700 | 444,497 | 439,688 |
| FERGUSON PLC | 2,601 | 324,619 | 466,593 |
| FERREXPO PLC | 43,087 | 75,665 | 174,727 |
| FIBI HOLDINGS | 1,812 | 41,410 | 82,787 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| FIBRA SHOP PORTAFOLIOS INMOB | 13,803 | 7,360 | 4,404 |
| FIELDS CORP | 10,200 | 38,387 | 45,262 |
| FIFTH THIRD BANCORP | 6,800 | 187,476 | 296,140 |
| FINNING INTERNATIONAL INC | 5,400 | 137,340 | 136,288 |
| FIREFINCH LTD | 50,563 | 22,123 | 31,799 |
| FIRM CAPITAL PROPERTY TRUST | 4,508 | 27,581 | 28,337 |
| FIRST AMERICAN FINANCIAL | 11,712 | 650,390 | 916,230 |
| FIRST BUSEY CORP | 9,700 | 168,343 | 263,064 |
| FIRST HORIZON CORP | 23,100 | 408,993 | 377,223 |
| FIRST INSURANCE CO LTD | 28,000 | 13,153 | 14,826 |
| FIRST REPUBLIC BANK/CA | 8,388 | 1,311,975 | 1,732,206 |
| FISCHER (GEORG) REG | 185 | 270,770 | 281,211 |
| FLETCHER BUILDING LTD | 44,427 | 206,532 | 222,956 |
| FLETCHER BUILDING LTD | 11,309 | 55,438 | 55,747 |
| FLEX LNG LTD | 1,554 | 29,117 | 34,716 |
| FM MATTSSON MORA GROUP AB | 828 | 16,892 | 22,314 |
| FOOT LOCKER INC | 5,900 | 230,693 | 257,417 |
| FORD MOTOR CO | 12,700 | 111,633 | 263,779 |
| FORTIVE CORP | 26,256 | 1,688,414 | 2,003,070 |
| FOUNDER HOLDINGS LTD | 110,000 | 13,259 | 12,134 |
| FOUNTAIN SET HLDGS | 178,000 | 23,960 | 31,051 |
| FOX CORP CLASS A | 14,400 | 484,837 | 531,360 |
| FRANKLIN COVEY CO | 4,672 | 110,594 | 216,594 |
| FRASERS GROUP PLC | 2,848 | 18,319 | 29,741 |
| FRONTIER COMMUNICATIONS PARE | 20,317 | 605,496 | 599,148 |
| FUFENG GROUP LTD | 84,000 | 32,061 | 28,983 |
| FUJI FURUKAWA ENGINEERING + | 1,200 | 19,717 | 29,230 |
| FUJII SANGYO CORP | 1,500 | 18,703 | 19,239 |
| FUJIKURA KASEI CO LTD | 3,300 | 15,247 | 14,329 |
| FUKUDA DENSHI CO LTD | 100 | 5,784 | 7,460 |
| FUKUI COMPUTER HOLDINGS INC | 2,300 | 48,820 | 68,907 |
| FUKUVI CHEMICAL INDUSTRY CO | 1,000 | 5,225 | 5,870 |
| FULLCAST HOLDINGS CO LTD | 1,600 | 30,196 | 34,208 |
| FURUNO ELECTRIC CO LTD | 3,200 | 29,948 | 33,652 |
| FURYU CORP | 1,900 | 21,537 | 22,604 |
| FUSO PHARMACEUTICAL INDUST | 1,200 | 28,983 | 27,094 |
| GAJAH TUNGGAL TBK PT | 670,500 | 32,567 | 31,285 |
| GALLIFORD TRY HOLDINGS PLC | 31,862 | 78,342 | 77,594 |
| GAMECARD JOYCO HOLDINGS INC | 1,400 | 15,839 | 12,376 |
| GARDA PROPERTY GROUP | 11,381 | 12,544 | 13,984 |
| GATELEY HOLDINGS PLC | 6,232 | 13,056 | 19,541 |
| GATTACA PLC | 11,783 | 42,423 | 21,865 |
| GAV YAM LANDS CORP LTD | 3,931 | 43,044 | 48,500 |
| GAVAZZI CARLO HOLDING AG BR | 126 | 30,438 | 38,029 |
| GB AUTO | 222,870 | 58,103 | 72,351 |
| GDH GUANGNAN HOLDINGS LTD | 61,171 | 8,397 | 5,257 |
| GEAR4MUSIC HOLDINGS PLC | 948 | 12,288 | 9,245 |
| GEM DIAMONDS LTD | 12,725 | 19,632 | 8,170 |
| GEMDALE PROPERTIES AND INVES | 1,040,000 | 120,776 | 110,719 |
| GENERAC HOLDINGS INC | 5,623 | 1,241,929 | 1,978,846 |
| GENERAL DYNAMICS CORP | 2,400 | 344,820 | 500,328 |
| GENERAL INTERFACE SOLUTION | 14,000 | 62,344 | 51,614 |
| GENERAL MILLS INC | 6,400 | 371,154 | 431,232 |
| GENERAL MOTORS CO | 13,700 | 500,427 | 803,231 |
| GENERALPLUS TECHNOLOGY INC | 37,000 | 63,033 | 90,537 |
| GENMAB A/S SP ADR | 45,637 | 948,987 | 1,805,400 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| GENPACT LTD | 11,245 | 440,619 | 596,885 |
| GENTERA SAB DE CV | 54,043 | 16,124 | 34,805 |
| GEOVISION INC | 12,000 | 15,051 | 13,749 |
| GESCO AG | 359 | 8,602 | 10,410 |
| GETINGE AB B SHS | 1,247 | 14,194 | 54,418 |
| GEUMHWA PSC CO LTD | 809 | 23,237 | 21,675 |
| GHP SPECIALITY CARE AB | 99 | 185 | 282 |
| GIGABYTE TECHNOLOGY CO LTD | 6,000 | 16,919 | 33,722 |
| GIIR INC | 2,735 | 23,387 | 16,220 |
| GILEAD SCIENCES INC | 9,800 | 644,423 | 711,578 |
| GINEBRA SAN MIGUEL INC | 15,740 | 19,696 | 35,127 |
| GIORDANO INTERNATIONAL LTD | 150,000 | 22,861 | 28,667 |
| GK SOFTWARE SE | 271 | 48,990 | 47,768 |
| GLAXOSMITHKLINE PLC | 24,530 | 508,530 | 533,788 |
| GLOBAL BLOOD THERAPEUTICS IN | 6,569 | 349,230 | 192,275 |
| GLOBAL E ONLINE LTD | 14,683 | 970,145 | 930,755 |
| GLOBAL FERRONICKEL HOLDINGS | 838,000 | 36,414 | 35,333 |
| GLOBAL MIXED MODE TECHNOLOGY | 7,000 | 16,863 | 67,174 |
| GLOBAL PAYMENTS INC | 19,905 | 1,792,388 | 2,690,758 |
| GOLDMAN SACHS GROUP INC | 2,000 | 461,361 | 765,100 |
| GOLDPAC GROUP LTD | 127,000 | 33,975 | 27,204 |
| GOLDTEK TECHNOLOGY CO LTD | 9,933 | 55,685 | 30,373 |
| GOODBABY INTERNATIONAL HOLDI | 534,000 | 89,254 | 68,494 |
| GOODYEAR TIRE + RUBBER CO | 7,455 | 113,391 | 158,940 |
| GRAINCORP LTD A | 29,046 | 146,775 | 174,434 |
| GRAND PACIFIC PETROCHEMICAL | 54,000 | 34,661 | 56,016 |
| GRANDY HOUSE CORP | 14,100 | 56,295 | 60,120 |
| GRANGE RESOURCES LTD | 577,213 | 123,053 | 316,845 |
| GREAT ELM GROUP INC | 148,000 | 314,328 | 309,320 |
| GREATEK ELECTRONICS INC | 7,000 | 16,489 | 19,760 |
| GREGGS PLC | 4,599 | 191,667 | 207,866 |
| GRONLANDSBANKEN | 66 | 7,198 | 6,035 |
| GROUPE BRUXELLES LAMBERT SA | 8,582 | 672,693 | 957,987 |
| GROUPE GUILLIN | 482 | 10,967 | 14,854 |
| GROUPE LDLC | 205 | 15,339 | 13,265 |
| GROUPE SFPI | 7,375 | 21,205 | 29,522 |
| GRUPA AZOTY SA | 12,115 | 88,596 | 101,600 |
| GRUPA KETY SA | 197 | 27,144 | 29,816 |
| GRUPA LOTOS SA | 1,136 | 16,171 | 17,193 |
| GRUPO GICSA SA DE CV | 175 | 86 | 22 |
| GRUPPO MUTUIONLINE SPA | 239 | 13,088 | 12,040 |
| GS HOLDINGS | 304 | 13,127 | 9,999 |
| GUARDIAN CAP GRP LTD CL A | 1,000 | 20,566 | 27,629 |
| GULF KEYSTONE PETROLEUM LTD | 22,362 | 52,668 | 54,337 |
| GXO LOGISTICS INC | 3,510 | 118,680 | 318,813 |
| H.U. GROUP HOLDINGS INC | 500 | 12,736 | 12,679 |
| H+R REAL ESTATE INV REIT UTS | 15,031 | 203,269 | 193,369 |
| HALDEX AB | 44 | 325 | 258 |
| HAMBURGER HAFEN UND LOGISTIK | 970 | 24,306 | 22,679 |
| HAMILTON THORNE LTD | 8,400 | 13,095 | 13,699 |
| HANCOCK TIMBERLAND VIII INC | 8,877,550 | 8,877,550 | 10,119,485 |
| HANDSOME CO LTD | 329 | 11,302 | 9,853 |
| HANESBRANDS INC | 9,500 | 162,179 | 158,840 |
| HANIL HOLDINGS CO LTD | 1,389 | 15,377 | 15,774 |
| HANOVER INSURANCE GROUP INC/ | 1,500 | 143,933 | 196,590 |
| HANSAE CO LTD | 4,657 | 70,079 | 85,990 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| HANSAE YES24 HOLDINGS CO LTD | 5,133 | 18,270 | 32,039 |
| HANWHA AEROSPACE CO LTD | 634 | 12,299 | 25,600 |
| HANWHA CORPORATION | 5,532 | 162,873 | 146,124 |
| HARDWOODS DISTRIBUTION INC | 3,400 | 110,047 | 120,587 |
| HARGREAVES SERVICES PLC | 4,362 | 26,646 | 24,135 |
| HARLEY DAVIDSON INC | 7,300 | 222,852 | 275,137 |
| HARTFORD FINANCIAL SVCS GRP | 7,800 | 445,282 | 538,512 |
| HCA HEALTHCARE INC | 2,900 | 421,628 | 745,068 |
| HDCLABS CO LTD | 1,047 | 14,078 | 10,745 |
| HEADLAM GROUP PLC | 3,438 | 22,814 | 19,930 |
| HEALIUS LTD | 7,777 | 26,715 | 29,855 |
| HEIDELBERGCEMENT AG | 25,562 | 1,804,797 | 1,730,193 |
| HENAN JINMA ENERGY CO LTD H | 24,000 | 12,474 | 12,898 |
| HERC HOLDINGS INC | 6,585 | 535,526 | 1,030,882 |
| HERIGE | 152 | 8,482 | 7,865 |
| HERITAGE CRYSTAL CLEAN INC | 44,595 | 903,397 | 1,427,932 |
| HEWLETT PACKARD ENTERPRISE | 8,000 | 94,800 | 126,160 |
| HEXINDO ADIPERKASA TBK PT | 144,800 | 40,181 | 46,734 |
| HIGH CO | 1,051 | 6,516 | 5,976 |
| HIKMA PHARMACEUTICALS PLC | 17,178 | 492,687 | 516,289 |
| HILLTOP HOLDINGS INC | 5,000 | 137,550 | 175,700 |
| HIMACS LTD | 1,080 | 7,441 | 10,945 |
| HISAMITSU PHARMACEUTICAL CO | 400 | 16,199 | 13,807 |
| HITO COMMUNICATIONS HOLDINGS | 1,200 | 18,861 | 24,635 |
| HOKURIKU GAS CO LTD | 500 | 12,749 | 13,851 |
| HOLOGIC INC | 7,371 | 298,500 | 564,324 |
| HOLTEK SEMICONDUCTOR INC | 7,000 | 17,347 | 28,464 |
| HOME BANCSHARES INC | 30,852 | 650,797 | 751,246 |
| HOMESTREET INC | 5,700 | 169,661 | 296,400 |
| HONG LEONG ASIA LTD | 14,800 | 8,253 | 9,276 |
| HONG LEONG FINANCE LTD | 10,658 | 21,243 | 18,895 |
| HONG LEONG FINANCIAL GROUP | 14,900 | 62,673 | 62,018 |
| HONG LEONG INDUSTRIES BHD | 13,400 | 18,962 | 29,528 |
| HORIBA LTD | 200 | 14,267 | 11,741 |
| HOTUNG INVESTMENT HOLDINGS | 14,266 | 15,428 | 22,751 |
| HP INC | 19,100 | 416,555 | 719,497 |
| HSING TA CEMENT CO LTD | 93,100 | 49,527 | 69,992 |
| HUBSPOT INC | 7,330 | 1,291,162 | 4,831,570 |
| HUDACO INDUSTRIES LTD | 4,103 | 30,192 | 33,896 |
| HUMANA AB | 11 | 67 | 88 |
| HUNG HING PRINT GROUP LTD | 12,000 | 3,120 | 1,970 |
| HUNT (JB) TRANSPRT SVCS INC | 2,519 | 131,383 | 514,884 |
| HUNTING PLC | 5,940 | 11,160 | 13,613 |
| HUNTINGTON INGALLS INDUSTRIE | 1,000 | 170,480 | 186,740 |
| HUNTSMAN CORP | 3,800 | 95,532 | 132,544 |
| HURON CONSULTING GROUP INC | 115 | - | 5,739 |
| HURXLEY CORP | 16,200 | 85,046 | 62,040 |
| HUSTEEL CO LTD | 1,084 | 14,552 | 13,268 |
| HWASUNG INDUSTRIAL CO LTD | 4,223 | 49,520 | 44,761 |
| HYUNDAI CONSTRUCTION EQUIPME | 509 | 27,116 | 17,363 |
| HYUNDAI CORP | 830 | 15,492 | 11,730 |
| HYUNDAI ENGINEERING + CONST | 511 | 15,366 | 19,107 |
| IAA INC | 12,504 | 638,855 | 632,952 |
| IAA INC | 6,895 | 222,372 | 349,025 |
| IBI GROUP INC | 1,000 | 6,103 | 10,719 |
| ICON PLC | 1,049 | 53,698 | 324,875 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| ICON PLC | 2,853 | 217,894 | 883,574 |
| ID HOLDINGS CORP | 2,900 | 21,978 | 22,464 |
| IEI INTEGRATION CORP | 22,000 | 33,617 | 37,214 |
| IGG INC | 458,000 | 539,809 | 407,109 |
| ILKKA YHTYMA OYJ II | 2,550 | 15,685 | 13,803 |
| IMAGE RESOURCES NL | 72,745 | 10,308 | 10,842 |
| IMARKETKOREA INC | 1,601 | 12,387 | 14,411 |
| IMCD NV | 56 | 12,438 | 12,399 |
| IMDEX LTD | 12,672 | 25,484 | 27,179 |
| IMI PLC | 1,437 | 33,602 | 33,789 |
| IMPERIAL OIL LTD | 22,761 | 804,341 | 822,038 |
| INCHCAPE PLC | 8,735 | 111,327 | 107,604 |
| INDIVIOR PLC | 49,439 | 149,252 | 172,094 |
| INDO TAMBANGRAYA MEGAH TBK P | 2,215 | 2,252 | 3,170 |
| INDUSTRIAL LOGISTICS PROPERT | 6,100 | 135,207 | 152,805 |
| INDUSTRIAS BACHOCO SER B | 2,959 | 9,647 | 10,510 |
| INFORMATION SERVICES CORP | 3,696 | 58,128 | 76,808 |
| INFOTEL | 499 | 9,773 | 31,891 |
| ING GROEP NV | 59,807 | 614,274 | 832,609 |
| INGERSOLL RAND INC | 47,324 | 1,778,515 | 2,927,936 |
| INGERSOLL RAND INC | 16,113 | 499,138 | 996,911 |
| INGEVITY CORP | 10,418 | 834,717 | 746,971 |
| INGREDION INC | 700 | 55,069 | 67,648 |
| INMODE LTD | 12,072 | 412,963 | 852,042 |
| INNOLUX CORP | 812,946 | 236,499 | 575,911 |
| INNOTEK LTD | 97,400 | 64,357 | 55,630 |
| INSMED INC | 5,655 | 161,328 | 154,042 |
| INSPIRATION HEALTHCARE GROUP | 7,430 | 11,683 | 12,529 |
| INSTALCO AB | 30 | 230 | 1,439 |
| INTAGE HOLDINGS INC | 100 | 1,077 | 1,527 |
| INTEL CORP | 35,900 | 1,947,112 | 1,848,850 |
| INTERFOR CORP | 500 | 5,936 | 16,035 |
| INTERNATIONAL HOUSEWARES RET | 157,000 | 44,453 | 56,587 |
| INTERNATIONAL PAPER CO | 7,500 | 350,479 | 352,350 |
| INTERNATIONAL PERSONAL FINAN | 2,985 | 4,073 | 5,216 |
| INTERNATIONAL SEAWAYS INC | 18,995 | 314,464 | 278,846 |
| INTERNET INITIATIVE JAPAN | 7,000 | 87,898 | 288,741 |
| INTERPUBLIC GROUP OF COS INC | 7,800 | 143,325 | 292,110 |
| INTERTAPE POLYMER GROUP INC | 2,400 | 29,142 | 50,008 |
| INTL BUSINESS MACHINES CORP | 2,900 | 352,619 | 387,614 |
| INTOPS CO LTD | 690 | 7,284 | 18,719 |
| INTRED SPA | 2,486 | 52,928 | 53,432 |
| INVENTEC CORP | 284,000 | 274,735 | 256,110 |
| INVESTEC LTD | 11,638 | 44,387 | 63,841 |
| INVESTEC PLC | 14,220 | 63,311 | 77,239 |
| INVESTORS BANCORP INC | 25,800 | 200,595 | 390,870 |
| ION BEAM APPLICATIONS | 3,459 | 43,409 | 59,948 |
| IOVANCE BIOTHERAPEUTICS INC | 13,358 | 440,905 | 255,004 |
| IPH LTD | 3,312 | 21,019 | 21,142 |
| IPSEN | 2,087 | 210,596 | 191,054 |
| IRCE SPA | 4,869 | 18,258 | 17,276 |
| IREN SPA | 4,191 | 12,526 | 12,649 |
| IRON MOUNTAIN INC | 1,603 | 45,309 | 83,885 |
| IRPC PCL NVDR | 933,200 | 120,563 | 107,274 |
| ISRAEL DISCOUNT BANK A | 5,194 | 18,243 | 34,945 |
| ITALIAN THAI DEVELOP NVDR | 1,616,300 | 92,764 | 110,318 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| ITALIAN WINE BRANDS SPA | 667 | 15,082 | 31,478 |
| ITURAN LOCATION AND CONTROL | 3,069 | 87,463 | 81,850 |
| IVU TRAFFIC TECHNOLOGIES AG | 2,167 | 49,648 | 51,997 |
| JAC JAPAN CO LTD | 1,700 | 26,369 | 30,765 |
| JACQUET METALS SA | 661 | 11,850 | 15,785 |
| JAFCO GROUP CO LTD | 200 | 12,286 | 11,498 |
| JAPAN LIFELINE CO LTD | 1,400 | 13,346 | 13,325 |
| JAPAN PETROLEUM EXPLORATION | 1,000 | 18,282 | 21,762 |
| JASTRZEBSKA SPOLKA WEGLOWA S | 13,334 | 200,738 | 115,362 |
| JAZZ PHARMACEUTICALS PLC | 5,367 | 722,997 | 683,756 |
| JAZZ PHARMACEUTICALS PLC | 2,590 | 411,117 | 329,966 |
| JBCC HOLDINGS INC | 3,000 | 40,108 | 46,476 |
| JEFFERIES FINANCIAL GROUP IN | 6,900 | 136,862 | 267,720 |
| JENSEN GROUP NV | 374 | 13,483 | 11,441 |
| JFE SYSTEMS INC | 1,600 | 13,993 | 31,860 |
| JIANGNAN GROUP LTD | 836,000 | 38,826 | 31,097 |
| JINHUI SHIPPING + TRANSPORTA | 24,521 | 37,692 | 30,727 |
| JK HOLDINGS CO LTD | 11,100 | 73,876 | 106,995 |
| JM SMUCKER CO/THE | 5,000 | 580,504 | 679,100 |
| JOHNSON ELECTRIC HOLDINGS | 40,000 | 90,024 | 84,758 |
| JOHNSON OUTDOORS INC A | 1,700 | 154,386 | 159,273 |
| JQW PLC | 7,181 | 3,938 | - |
| JUNIPER NETWORKS INC | 10,100 | 231,063 | 360,671 |
| JUTAL OFFSHORE OIL SERVICES | 330,000 | 39,868 | 41,481 |
| JUTEC HOLDINGS CORP | 300 | 2,974 | 2,881 |
| K LASER TECHNOLOGY INC | 16,000 | 13,509 | 14,689 |
| K WAH INTL HOLDINGS | 62,369 | 38,532 | 24,079 |
| K1 VENTURES LTD | 37,400 | 25,891 | - |
| KA SHUI INTL HLDG LTD | 116,000 | 17,560 | 11,754 |
| KADANT INC | 4,201 | 166,370 | 968,246 |
| KAMADA LTD | 2,752 | 17,018 | 18,170 |
| KAMEI CORP | 6,000 | 43,682 | 54,344 |
| KANEFUSA CORP | 1,300 | 12,889 | 7,586 |
| KANGNAM JEVISCO CO LTD | 1,032 | 20,615 | 21,660 |
| KARDAN REAL ESTATE ESTATE | 8,527 | 11,536 | 16,438 |
| KAWADA TECHNOLOGIES INC | 800 | 27,000 | 29,734 |
| KAWAI MUSICAL INSTRUMENTS MF | 1,100 | 48,423 | 32,669 |
| KBR INC | 30,600 | 870,374 | 1,457,172 |
| KECK SENG INVESTMENTS | 8,000 | 6,037 | 2,945 |
| KEDING ENTERPRISES CO LTD | 6,000 | 19,285 | 17,371 |
| KELT EXPLORATION LTD | 4,600 | 16,285 | 17,553 |
| KENDRION NV | 1,949 | 39,060 | 46,655 |
| KERRY PROPERTIES LTD | 4,000 | 13,500 | 10,415 |
| KERUR HOLDINGS LTD | 1,148 | 22,541 | 36,811 |
| KEYCORP | 26,200 | 371,320 | 606,006 |
| KEYSTONE LAW GROUP PLC | 1,607 | 8,282 | 18,066 |
| KIATNAKIN PHATRA BAN FOREIGN | 1,700 | 1,065 | 3,041 |
| KIATNAKIN PHATRA BANK P NVDR | 193,400 | 345,282 | 345,926 |
| KIKUSUI ELECTRONICS CORP | 1,200 | 12,431 | 16,423 |
| KIMLY LTD | 58,900 | 15,560 | 18,131 |
| KIMURA UNITY CO LTD | 2,600 | 26,967 | 29,352 |
| KINDER MORGAN INC | 14,200 | 182,257 | 225,212 |
| KINDRED GROUP PLC | 20,027 | 123,271 | 238,231 |
| KINETIC DEVELOPMENT GROUP LT | 186,000 | 17,168 | 12,406 |
| KING CO LTD | 2,000 | 8,391 | 9,431 |
| KING S TOWN BANK | 27,000 | 40,842 | 39,572 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| KINGBOARD HOLDINGS LTD | 117,000 | 381,806 | 569,520 |
| KINGBOARD LAMINATES HLDG LTD | 6,000 | 7,036 | 10,205 |
| KINGDOM HOLDINGS LTD | 12,000 | 2,557 | 1,785 |
| KINGSMEN CREATIVES LTD | 18,000 | 10,430 | 3,405 |
| KINGWORLD MEDICINES GROUP | 36,000 | 6,099 | 3,509 |
| KINIKSA PHARMACEUTICALS A | 13,593 | 245,458 | 159,990 |
| KISCO CORP | 1,270 | 11,173 | 8,269 |
| KISHIN CORP | 3,995 | 14,263 | 14,249 |
| KIWI PROPERTY GROUP LTD | 37,532 | 29,334 | 30,707 |
| KLINGELNBERG AG | 1,015 | 22,694 | 20,553 |
| KLOECKNER + CO SE | 7,242 | 29,348 | 88,286 |
| KOHLS CORP | 3,100 | 126,139 | 153,109 |
| KOKUYO CO LTD | 1,100 | 16,594 | 16,373 |
| KOLON INDUSTRIES INC | 3,709 | 262,007 | 221,214 |
| KONINKLIJKE PHILIPS NV | 40,904 | 1,792,336 | 1,524,097 |
| KOOTH PLC | 8,541 | 44,258 | 40,027 |
| KOREA ASSET IN TRUST CO LTD | 22,531 | 69,097 | 77,425 |
| KOREA GAS CORPORATION | 9,308 | 361,091 | 306,156 |
| KOREA INVESTMENT HOLDINGS CO | 189 | 9,697 | 12,831 |
| KOREA REAL ESTATE INVEST | 6,309 | 16,109 | 13,825 |
| KORN FERRY | 9,209 | 408,560 | 697,398 |
| KPX CHEMICAL CO LTD | 206 | 8,813 | 9,288 |
| KRAFT HEINZ CO/THE | 15,700 | 508,519 | 563,630 |
| KROGER CO | 24,600 | 785,357 | 1,113,396 |
| KRUNG THAI BANK NVDR | 311,100 | 105,892 | 122,931 |
| KSB SE + CO KGAA VORZUG | 33 | 14,138 | 13,810 |
| KSL HOLDINGS BHD | 39,100 | 14,497 | 6,194 |
| KT SKYLIFE CO LTD | 7,261 | 57,487 | 55,828 |
| KTCS CORP | 4,798 | 11,423 | 10,434 |
| KUDELSKI SA BR | 60 | 261 | 244 |
| KUKDO CHEMICAL CO LTD | 2,635 | 153,289 | 125,239 |
| KUMPULAN FIMA BHD | 74,650 | 33,865 | 36,734 |
| KUNG SING ENGINEERING CORP | 23,100 | 9,371 | 7,139 |
| KURIMOTO LTD | 1,300 | 20,362 | 17,769 |
| KURIYAMA HOLDINGS CORP | 4,900 | 30,955 | 50,466 |
| KYERYONG CONST INDUST CO LTD | 410 | 8,848 | 11,244 |
| KYNDRYL HOLDINGS INC | 580 | 16,283 | 10,498 |
| KYOBO SECURITIES CO LTD | 3,612 | 27,469 | 25,432 |
| KYOWA LEATHER CLOTH CO LTD | 1,800 | 12,468 | 10,145 |
| L3HARRIS TECHNOLOGIES INC | 1,800 | 315,837 | 383,832 |
| LA Z BOY INC | 4,600 | 157,389 | 167,026 |
| LABORATORIOS FARMACEUTICOS R | 638 | 44,013 | 53,544 |
| LABRADOR IRON ORE ROYALTY CO | 21,100 | 625,970 | 626,911 |
| LADPRAO GENERAL HOSPTAL NVDR | 71,500 | 12,294 | 12,628 |
| LAM RESEARCH CORP | 400 | 188,908 | 287,660 |
| LANDEC CORP | 142,014 | 1,427,358 | 1,576,355 |
| LASSONDE INDS INC CLASS A | 300 | 41,871 | 37,368 |
| LATTICE SEMICONDUCTOR CORP | 31,979 | 774,835 | 2,464,302 |
| LAURENT PERRIER | 115 | 13,338 | 13,536 |
| LCI INDUSTRIES | 7,740 | 1,029,381 | 1,206,434 |
| LCI INDUSTRIES | 4,758 | 537,466 | 741,629 |
| LE SAUNDA HOLDINGS LTD | 52,000 | 9,192 | 4,135 |
| LEAR CORP | 1,200 | 190,836 | 219,540 |
| LECTRA | 762 | 10,590 | 36,395 |
| LEE S PHARMACEUTICAL HLDGS | 46,000 | 18,966 | 19,648 |
| LEFT FIELD PRINTING GROUP LT | 1,500 | 117 | 133 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| LEIFHEIT AG | 860 | 40,125 | 35,990 |
| LEJU HOLDINGS LTD ADR | 20,814 | 37,754 | 18,828 |
| LENNAR CORP B SHS | 26 | 1,591 | 2,486 |
| LENNAR CORP A | 4,027 | 327,303 | 467,776 |
| LENOVO GROUP LTD | 190,000 | 122,088 | 218,360 |
| LEON S FURNITURE LTD | 4,500 | 61,106 | 88,529 |
| LG INNOTEK CO LTD | 2,417 | 289,090 | 740,095 |
| LH FINANCIAL GROUP PCL NVDR | 1,668,600 | 73,680 | 67,433 |
| LIBERTY BROADBAND C | 3,799 | 241,131 | 612,019 |
| LIBERTY MEDIA ACQUISITION A | 96,500 | 986,560 | 992,020 |
| LIBERTY MEDIA COR SIRIUSXM C | 19,655 | 668,047 | 999,457 |
| LIBERTY TRIPADVISOR HDG A | 276,529 | 1,112,717 | 600,068 |
| LIGAND PHARMACEUTICALS | 6,209 | 699,974 | 959,042 |
| LINAMAR CORP | 200 | 7,230 | 11,864 |
| LINCOLN NATIONAL CORP | 2,100 | 105,651 | 143,346 |
| LION ROCK GROUP LTD | 24,000 | 3,969 | 2,586 |
| LIONS GATE ENTERTAINMENT B | 160,000 | 1,805,456 | 2,462,400 |
| LIPPO LTD | 4,056 | 2,275 | 1,269 |
| LITTELFUSE INC | 1,733 | 334,931 | 545,340 |
| LIU CHONG HING INVESTMENT | 18,000 | 28,652 | 17,893 |
| LKQ CORP | 4,700 | 143,515 | 282,141 |
| LLOYDS BANKING GROUP PLC | 1,443,227 | 1,047,354 | 934,384 |
| LOCKHEED MARTIN CORP | 1,200 | 406,794 | 426,492 |
| LOGO YAZILIM SANAYI VE TICAR | 4,232 | 14,901 | 13,263 |
| LONKING HOLDINGS LTD | 203,000 | 58,911 | 57,023 |
| LONSEAL CORP | 500 | 12,046 | 6,470 |
| LOOK HOLDINGS INC | 2,100 | 33,871 | 22,230 |
| LOPEZ HOLDINGS CORP | 360,900 | 53,320 | 20,596 |
| LOUISIANA PACIFIC CORP | 4,800 | 152,184 | 376,080 |
| LPL FINANCIAL HOLDINGS INC | 8,073 | 1,176,322 | 1,292,407 |
| LUBELSKI WEGIEL BOGDANKA SA | 2,113 | 12,788 | 16,777 |
| LULULEMON ATHLETICA INC | 2,721 | 948,409 | 1,065,135 |
| LUMAX INTERNATIONAL CORP LTD | 9,000 | 19,119 | 24,527 |
| LUMEN TECHNOLOGIES INC | 15,800 | 159,975 | 198,290 |
| LUMENTUM HOLDINGS INC | 5,816 | 482,611 | 615,158 |
| LUNG KEE BERMUDA HLDG LTD | 84,000 | 27,044 | 40,296 |
| LUXFER HOLDINGS PLC | 1,119 | 14,968 | 21,608 |
| LUZHENG FUTURES CO LTD H | 270,000 | 45,987 | 21,818 |
| LX INTERNATIONAL CORP | 6,405 | 167,077 | 142,513 |
| LX SEMICON CO LTD | 203 | 12,268 | 28,569 |
| LYFT INC A | 27,613 | 1,432,997 | 1,179,903 |
| LYONDELLBASELL INDU CL A | 4,300 | 334,916 | 396,589 |
| MACA LTD | 55,188 | 34,270 | 32,902 |
| MACAUTO INDUSTRIAL CO LTD | 60,000 | 192,348 | 171,106 |
| MACFARLANE GROUP PLC | 7,492 | 14,882 | 13,192 |
| MACMAHON HOLDINGS LTD | 57,746 | 8,824 | 7,767 |
| MACY S INC | 1,000 | 11,250 | 26,180 |
| MAEIL HOLDINGS CO LTD | 4,440 | 47,819 | 34,175 |
| MAEZAWA KASEI INDUSTRIES CO | 2,400 | 26,820 | 26,823 |
| MAEZAWA KYUSO INDUSTRIES CO | 2,200 | 15,606 | 20,385 |
| MAGIC SOFTWARE ENTERPRISES | 713 | 12,179 | 14,923 |
| MAGNI TECH INDUSTRIES BHD | 25,066 | 10,230 | 11,793 |
| MAGYAR TELEKOM TELECOMMUNICA | 1,064 | 2,815 | 1,351 |
| MAHLE METAL LEVE SA | 17,800 | 57,124 | 98,427 |
| MAINSTREET EQUITY CORP | 246 | 11,498 | 23,407 |
| MAKIYA CO LTD | 800 | 9,763 | 4,905 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| MALAYSIAN PACIFIC INDUSTRIES | 21,447 | 36,742 | 254,110 |
| MALINDO FEEDMILL TBK PT | 5,800 | 385 | 273 |
| MANDALA MULTIFINANCE TBK PT | 127,000 | 5,046 | 9,713 |
| MANPOWERGROUP INC | 1,000 | 90,180 | 97,330 |
| MARATHON PETROLEUM CORP | 2,300 | 95,128 | 147,177 |
| MARFRIG GLOBAL FOODS SA | 70,100 | 182,983 | 277,757 |
| MARIMEKKO OYJ | 515 | 19,186 | 49,605 |
| MARQETA INC A | 10,334 | 272,714 | 177,435 |
| MARSH + MCLENNAN COS | 7,862 | 345,254 | 1,366,573 |
| MARUBUN CORP | 300 | 1,967 | 2,201 |
| MARUKA FURUSATO CORP | 1,000 | 11,885 | 20,703 |
| MARUZEN CO LTD | 1,957 | 15,830 | 36,113 |
| MASONITE INTERNATIONAL CORP | 13,184 | 1,130,720 | 1,555,053 |
| MASTEC INC | (140) | (7,683) | (12,919) |
| MATAS A/S | 643 | 7,047 | 12,240 |
| MATCH GROUP INC | 16,189 | 1,602,511 | 2,140,995 |
| MATCHING SERVICE JAPAN CO LT | 1,400 | 12,206 | 11,246 |
| MATSUI CONSTRUCTION CO LTD | 8,491 | 53,990 | 56,997 |
| MATTHEWS INTL CORP CLASS A | 5,500 | 161,700 | 201,685 |
| MAXI CASH FINANCIAL SERVICES | 148,000 | 18,899 | 18,882 |
| MAXIMUS INC | 7,337 | 540,979 | 584,539 |
| MCKESSON CORP | 1,400 | 190,606 | 347,998 |
| MCMILLAN SHAKESPEARE LTD | 5,346 | 49,747 | 46,953 |
| MDC HOLDINGS INC | 3,672 | 172,261 | 205,008 |
| MDU RESOURCES GROUP INC | 9,200 | 214,222 | 283,728 |
| MEDICOVER AB B SHARE | 1,469 | 41,540 | 60,033 |
| MEDIFAST INC | 6,638 | 1,022,616 | 1,390,196 |
| MEDISTIM ASA | 1,791 | 81,035 | 74,639 |
| MEDPACE HOLDINGS INC | 4,129 | 561,258 | 898,636 |
| MEG ENERGY CORP | 21,100 | 133,017 | 195,440 |
| MEIRAGTX HOLDINGS PLC | 8,289 | 83,802 | 196,781 |
| MEITEC CORP | 3,100 | 152,200 | 182,250 |
| MEKONOMEN AB | 6,648 | 105,079 | 115,354 |
| MELCOR DEVELOPMENTS LTD | 4,400 | 43,612 | 49,603 |
| MELEXIS NV | 230 | 18,139 | 27,411 |
| MENSCH UND MASCHINE SOFTWARE | 2,067 | 46,102 | 156,314 |
| MERAFE RESOURCES LTD | 219,759 | 10,694 | 16,523 |
| MERCIA ASSET MANAGEMENT PLC | 23,513 | 13,418 | 12,022 |
| MERCK + CO. INC. | 26,000 | 1,958,435 | 1,992,640 |
| MERUS NV | 653 | 13,883 | 20,765 |
| META PLATFORMS INC CLASS A | 6,778 | 1,223,948 | 2,279,780 |
| METAIR INVESTMENTS LTD | 3,620 | 6,307 | 6,237 |
| METALL ZUG AG SHS B REG | 15 | 26,949 | 33,913 |
| METALURGICA GERDAU SA PREF | 280,000 | 614,573 | 572,065 |
| METAROCK GROUP LTD | 14,550 | 14,743 | 8,886 |
| METLIFE INC | 8,400 | 419,714 | 524,916 |
| METRODATA ELECTRONIC PT | 673,600 | 40,689 | 180,540 |
| MFE MEDIAFOREUROPE NV CL A | 103 | 156 | 105 |
| MFE MEDIAFOREUROPE NV CL B | 103 | 217 | 146 |
| MI CHANG OIL INDUSTRIAL CO | 449 | 34,611 | 29,839 |
| MICHELMERSH BRICK HOLDINGS P | 7,580 | 14,444 | 13,141 |
| MICRO SYSTEMATION AB B | 1,991 | 13,244 | 8,444 |
| MICRONICS JAPAN CO LTD | 900 | 6,949 | 14,490 |
| MIDWAY LTD | 7,333 | 15,093 | 6,398 |
| MIKRON HOLDING AG REG NEW | 2,239 | 20,684 | 18,921 |
| MILLERKNOLL INC | 5,920 | 191,756 | 232,005 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| MILLICOM INTL CELLULAR S.A. | 3,084 | 132,372 | 87,740 |
| MIMECAST LTD | 7,653 | 388,714 | 608,949 |
| MINERAL RESOURCES LTD | 75 | 1,521 | 3,054 |
| MINERVA SA | 129,800 | 265,241 | 247,016 |
| MINMETALS LAND LTD | 226,000 | 38,300 | 24,060 |
| MIROKU JYOHO SERVICE CO LTD | 2,000 | 30,010 | 23,985 |
| MITO SECURITIES CO LTD | 1,100 | 4,667 | 2,608 |
| MITRABARA ADIPERDANA TBK PT | 49,881 | 12,158 | 12,599 |
| MITSUBISHI RESEARCH INSTITUT | 1,500 | 60,305 | 52,625 |
| MIXI INC | 800 | 29,908 | 13,999 |
| MIZUNO CORP | 1,800 | 60,929 | 35,076 |
| MKH BHD | 47,556 | 16,683 | 14,041 |
| MO BRUK J MOKRZYCKI LTD | 246 | 20,456 | 23,499 |
| MOCHIDA PHARMACEUTICAL CO | 400 | 17,709 | 12,088 |
| MOLSON COORS BEVERAGE CO B | 14,100 | 603,604 | 653,535 |
| MONOLITHIC POWER SYSTEMS INC | 1,404 | 678,629 | 692,635 |
| MORESCO CORP | 1,200 | 12,757 | 12,098 |
| MORGAN ADVANCED MATERIALS PL | 4,988 | 27,953 | 24,220 |
| MORGAN SINDALL GROUP PLC | 614 | 6,289 | 20,957 |
| MORGAN STANLEY | 14,200 | 831,431 | 1,393,872 |
| MORGUARD CORP | 700 | 85,479 | 75,622 |
| MORGUARD NORTH AMERICAN RESI | 6,260 | 69,688 | 87,768 |
| MORISHITA JINTAN CO LTD | 400 | 10,595 | 6,586 |
| MOSAIC CO/THE | 10,100 | 383,547 | 396,829 |
| MOULINVEST SA | 358 | 12,750 | 16,407 |
| MSC INDUSTRIAL DIRECT CO A | 1,866 | 167,210 | 156,856 |
| MSCI INC | 4,081 | 1,253,653 | 2,500,388 |
| MUDA HOLDINGS BERHAD | 41,300 | 27,618 | 27,362 |
| MUGEN ESTATE CO LTD | 4,900 | 21,785 | 20,467 |
| MULPHA INTERNATIONAL BHD | 44,000 | 25,369 | 25,771 |
| N ABLE INC | 55,190 | 635,828 | 612,609 |
| NACHI FUJIKOSHI CORP | 900 | 36,818 | 32,200 |
| NAFCO CO LTD | 150 | 2,548 | 2,282 |
| NAGANO BANK LTD/THE | 1,700 | 30,350 | 18,512 |
| NAGARRO SE | 63 | 12,847 | 14,472 |
| NAKANO CORP | 7,600 | 33,546 | 22,571 |
| NAKAYAMAFUKU CO LTD | 2,300 | 11,424 | 6,951 |
| NAPHTHA ISRAEL PETROLEUM CRP | 6,685 | 35,786 | 48,069 |
| NAPIER PORT HOLDINGS LTD | 10,806 | 22,340 | 22,787 |
| NARUMIYA INTERNATIONAL CO LT | 1,500 | 15,644 | 12,570 |
| NASDAQ INC | 10,487 | 1,498,196 | 2,202,375 |
| NATIONAL BANK OF GREECE | 96,635 | 143,973 | 322,207 |
| NATIONAL FUEL GAS CO | 5,900 | 245,992 | 377,246 |
| NATIONAL INSTRUMENTS CORP | 7,630 | 325,266 | 333,202 |
| NATURHOUSE HEALTH SAU | 7,763 | 17,821 | 16,994 |
| NAVIENT CORP | 11,800 | 112,159 | 250,396 |
| NAVIGATOR GLOBAL INVESTMENTS | 3,957 | 15,313 | 5,337 |
| NCR CORPORATION | 2,400 | 90,168 | 96,480 |
| NEDAP N.V. | 819 | 35,934 | 58,304 |
| NEO PERFORMANCE MATERIALS IN | 700 | 11,569 | 11,239 |
| NEOGENOMICS INC | 20,739 | 941,479 | 707,615 |
| NETAPP INC | 3,400 | 156,009 | 312,766 |
| NETDRAGON WEBSOFT HOLDINGS L | 75,500 | 195,065 | 177,412 |
| NETO ME HOLDINGS LTD | 1,149 | 55,994 | 66,746 |
| NEUCA SA | 258 | 40,116 | 53,771 |
| NEURONES | 1,349 | 47,452 | 58,295 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| NEW RESIDENTIAL INVESTMENT | 13,300 | 110,457 | 142,443 |
| NEW WORK SE | 245 | 60,092 | 60,599 |
| NEW YORK TIMES CO A | 29,521 | 916,818 | 1,425,864 |
| NEWMARKET CORP | 4,951 | 1,544,245 | 1,696,807 |
| NEXEN CORP | 4,315 | 18,337 | 16,552 |
| NEXEN TIRE CORP | 2,255 | 17,844 | 12,937 |
| NEXITY | 464 | 24,222 | 21,813 |
| NEXSTAR MEDIA GROUP INC CL A | 2,658 | 288,001 | 401,305 |
| NEXT FIFTEEN COMMUNICATIONS | 554 | 2,161 | 10,055 |
| NICE INFORMATION + TELECOM | 3,480 | 72,764 | 86,360 |
| NICE INFORMATION SERVICE CO | 3,450 | 50,870 | 52,240 |
| NICHIREKI CO LTD | 16,145 | 118,948 | 199,368 |
| NICKEL ASIA CORP | 352,600 | 37,827 | 36,994 |
| NIHON FALCOM CORP | 800 | 11,499 | 9,573 |
| NIHON KOHDEN CORP | 500 | 14,128 | 13,699 |
| NIHON UNISYS LTD | 8,500 | 269,948 | 238,418 |
| NIKON CORP | 1,500 | 15,641 | 16,152 |
| NILFISK HOLDING A/S | 1,121 | 18,277 | 36,851 |
| NIMBUS GROUP AB | 2,605 | 19,868 | 21,579 |
| NINETY ONE LTD | 5,482 | 14,898 | 19,410 |
| NINETY ONE PLC | 795 | 1,992 | 2,863 |
| NINTENDO CO LTD | 3,000 | 1,717,860 | 1,397,681 |
| NIPPON CHEMIPHAR CO LTD | 1,000 | 43,034 | 17,463 |
| NIRAKU GC HOLDINGS INC | 55,813 | 7,349 | 2,040 |
| NISHI NIPPON FINANCIAL HOLDI | 2,400 | 17,374 | 15,506 |
| NISSHA CO LTD | 1,600 | 22,406 | 23,204 |
| NISSHIN GROUP HOLDINGS CO LT | 17,600 | 70,036 | 77,489 |
| NISSUI PHARMACEUTICAL CO LTD | 2,700 | 24,205 | 24,220 |
| NITTO KOGYO CORP | 500 | 9,987 | 6,904 |
| NITTO KOHKI CO LTD | 1,000 | 16,529 | 15,900 |
| NJS CO LTD | 2,600 | 39,431 | 43,666 |
| NOMAD FOODS LTD | 19,517 | 382,763 | 495,537 |
| NORCROS PLC | 7,370 | 16,636 | 31,245 |
| NOROO HOLDINGS CO LTD | 6,128 | 78,084 | 65,726 |
| NOROO PAINT + COATINGS CO LT | 4,754 | 41,641 | 59,587 |
| NORTH MEDIA AS | 1,613 | 26,828 | 26,636 |
| NORTH PACIFIC BANK LTD | 4,300 | 12,919 | 9,335 |
| NOVARTIS AG REG | 29,406 | 2,511,360 | 2,590,917 |
| NP3 FASTIGHETER AB PREF | 9,877 | 37,941 | 37,037 |
| NPC | 6,407 | 17,575 | 56,592 |
| NRG ENERGY INC | 4,500 | 168,975 | 193,860 |
| NTT DATA INTRAMART CORP | 600 | 13,145 | 12,119 |
| NU SKIN ENTERPRISES INC A | 1,800 | 99,261 | 91,350 |
| NUFARM LTD | 25,811 | 88,879 | 91,015 |
| NUMIS CORPORATION PLC | 2,617 | 14,783 | 12,123 |
| NUVISTA ENERGY LTD | 54,600 | 102,312 | 300,848 |
| NWF GROUP PLC | 5,080 | 12,524 | 14,587 |
| NZME LTD | 46,360 | 31,698 | 45,166 |
| NZX LTD | 1,805 | 1,901 | 2,237 |
| O TA PRECISION INDUSTRY CO | 3,000 | 17,043 | 15,940 |
| OBSIDIAN ENERGY LTD | 17,900 | 45,590 | 73,831 |
| OCEAN WILSONS HOLDINGS LTD | 875 | 13,195 | 11,052 |
| OCHI HOLDINGS CO LTD | 1,300 | 16,658 | 14,755 |
| ODP CORP/THE | 130 | 3,809 | 5,106 |
| OESTERREICHISCHE POST AG | 12 | 506 | 516 |
| OFFICE PROPERTIES INCOME TRU | 2,900 | 65,888 | 72,036 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| OKABE CO LTD | 2,500 | 14,673 | 15,740 |
| OLD REPUBLIC INTL CORP | 10,300 | 161,041 | 253,174 |
| OLVI OYJ A SHARES | 257 | 13,208 | 14,964 |
| OM HOLDINGS LTD | 29,031 | 20,121 | 18,996 |
| OMEGA HEALTHCARE INVESTORS | 9,800 | 329,136 | 289,982 |
| OMNIA HOLDINGS LTD | 39,550 | 126,877 | 158,621 |
| ON SEMICONDUCTOR | 17,590 | 1,105,009 | 1,194,713 |
| ON SEMICONDUCTOR | 16,358 | 491,558 | 1,111,035 |
| OPENJOBMETIS SPA AGENZIA PER | 1,535 | 20,048 | 22,431 |
| OPSENS INC | 1,900 | 2,419 | 4,663 |
| OPTEX GROUP CO LTD | 700 | 12,327 | 9,987 |
| OPTINOSE INC | 15,486 | 175,031 | 25,087 |
| ORACLE CORP | 8,790 | 525,499 | 766,576 |
| ORANGE POLSKA SA | 67,635 | 128,478 | 141,801 |
| ORASCOM CONSTRUCTION PLC | 3,464 | 21,395 | 16,733 |
| ORGANIC TEA COSMETICS HOLDIN | 10,355 | 25,359 | 5,131 |
| ORGANON + CO | 1,360 | 47,061 | 41,412 |
| ORICA LTD | 2,556 | 26,001 | 25,441 |
| ORIENTAL WATCH HOLDINGS | 46,000 | 8,617 | 28,793 |
| ORIENTAL WEAVERS | 146,038 | 80,827 | 80,781 |
| ORIGIN CO LTD | 900 | 18,459 | 9,918 |
| ORIOLA CORP B | 6,613 | 17,609 | 15,078 |
| ORORA LTD | 9,189 | 24,617 | 23,383 |
| ORSERO SPA | 1,897 | 23,674 | 25,564 |
| OSHKOSH CORP | 4,400 | 363,158 | 495,924 |
| OSI SYSTEMS INC | 295 | 27,500 | 27,494 |
| OVERSTOCK.COM INC | 10,376 | 764,958 | 612,288 |
| OWENS CORNING | 4,600 | 336,949 | 416,300 |
| OXFORD BIOMEDICA PLC | 630 | 12,935 | 10,496 |
| OYO CORP | 1,514 | 15,654 | 28,267 |
| PACIFIC ANDES RESOURCES DEVE | 12,250 | 1,777 | - |
| PACIFIC CURRENT GROUP LTD | 2,969 | 15,488 | 15,779 |
| PACIFIC ONLINE | 52,000 | 11,410 | 9,138 |
| PACIRA BIOSCIENCES INC | 6,863 | 374,619 | 412,947 |
| PACKAGING CORP OF AMERICA | 2,735 | 322,932 | 372,370 |
| PALFINGER AG | 953 | 29,637 | 37,281 |
| PAN HONG PROPERTY GROUP LTD | 30,500 | 2,155 | 3,733 |
| PANDORA A/S | 2,402 | 240,641 | 299,467 |
| PARETO BANK ASA | 4,158 | 19,441 | 28,055 |
| PARIS MIKI HOLDINGS INC | 3,900 | 11,357 | 7,519 |
| PARKER CORP | 5,000 | 26,340 | 23,143 |
| PASCO CORP | 600 | 8,017 | 7,060 |
| PASONA GROUP INC | 2,200 | 44,165 | 63,045 |
| PAX GLOBAL TECHNOLOGY LTD | 421,000 | 197,926 | 298,080 |
| PC PARTNER GROUP LTD | 72,000 | 79,611 | 126,337 |
| PCC ROKITA SA | 772 | 16,101 | 17,545 |
| PEC LTD | 65,300 | 31,712 | 26,640 |
| PEGASUS SEWING MACHINE MFG | 4,500 | 22,184 | 20,828 |
| PENDRAGON PLC | 538 | 362 | 169 |
| PERION NETWORK LTD | 6,026 | 113,665 | 144,925 |
| PEYTO EXPLORATION + DEV CORP | 22,600 | 133,455 | 169,077 |
| PFEIFFER VACUUM TECHNOLOGY | 250 | 61,691 | 61,409 |
| PFIZER INC | 43,600 | 1,611,244 | 2,574,580 |
| PGE SA | 11,512 | 29,538 | 23,027 |
| PGG WRIGHTSON LTD | 2,749 | 9,349 | 9,599 |
| PGT INNOVATIONS INC | 19,268 | 340,200 | 433,337 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| PHAROS ENERGY PLC | 101,293 | 37,907 | 35,671 |
| PHILCOMSAT HOLDINGS CORP | 1,172 | - | 960 |
| PHILIP MORRIS INTERNATIONAL | 4,300 | 368,397 | 408,500 |
| PHILIPPINE NATIONAL BANK | 7,471 | 6,679 | 2,945 |
| PHILLIPS 66 | 2,900 | 202,826 | 210,134 |
| PHISON ELECTRONICS CORP | 28,000 | 468,799 | 518,162 |
| PHX ENERGY SERVICES CORP | 9,200 | 20,199 | 32,484 |
| PICANOL | 489 | 37,444 | 35,256 |
| PICO FAR EAST HOLDINGS LTD | 178,000 | 22,639 | 28,996 |
| PILGRIM S PRIDE CORP | 1,803 | 35,357 | 50,845 |
| PILIPINAS SHELL PETROLEUM | 47,800 | 17,747 | 18,635 |
| PINE CLIFF ENERGY LTD | 47,500 | 30,631 | 25,571 |
| PISCINES DESJOYAUX SA | 415 | 13,669 | 13,969 |
| PLAYMATES HOLDINGS LTD | 354,000 | 40,904 | 34,963 |
| POH HUAT RESOURCES HLDGS BHD | 30,100 | 12,457 | 9,754 |
| POINTS.COM INC | 7,890 | 96,385 | 122,137 |
| POLAR CAPITAL HOLDINGS PLC | 698 | 8,129 | 7,497 |
| POLARIS INC | 780 | 77,918 | 85,730 |
| POLYPLEX PCL NVDR | 105,300 | 95,461 | 75,023 |
| PONSSE OYJ | 497 | 16,969 | 23,851 |
| POOL CORP | 1,248 | 302,520 | 706,368 |
| POONGSAN CORP | 12,903 | 372,754 | 338,110 |
| POONGSAN HOLDINGS CORP | 3,986 | 90,110 | 94,893 |
| POPULAR INC | 9,650 | 398,077 | 791,686 |
| PORTOBELLO SA | 64,200 | 72,234 | 111,111 |
| POST HOLDINGS INC | 2,182 | 153,961 | 245,977 |
| POU CHEN | 336,000 | 376,571 | 402,588 |
| POWERTECH TECHNOLOGY INC | 131,000 | 525,500 | 462,598 |
| PRECISION DRILLING CORP | 1,618 | 23,460 | 57,245 |
| PRECISION TSUGAMI CHINA CORP | 8,000 | 11,294 | 10,005 |
| PREMIER INC CLASS A | 24,971 | 842,465 | 1,028,056 |
| PREMIER INVESTMENTS LIMITED | 589 | 12,900 | 12,984 |
| PRESTIGE CONSUMER HEALTHCARE | 19,497 | 717,697 | 1,182,493 |
| PRIMA ANDALAN MANDIRI TBK PT | 53,272 | 12,613 | 13,830 |
| PRIMA INDUSTRIE SPA | 587 | 13,598 | 12,296 |
| PRIMAX ELECTRONICS LTD | 22,000 | 32,056 | 45,086 |
| PRIMORIS SERVICES CORP | 9,500 | 188,243 | 227,810 |
| PROACT IT GROUP AB | 219 | 1,141 | 2,104 |
| PROBI AB | 109 | 5,146 | 4,786 |
| PROGRESSIVE CORP | 11,750 | 441,246 | 1,206,138 |
| PROPNEX LTD | 21,600 | 30,143 | 27,077 |
| PROSPERITY BANCSHARES INC | 6,144 | 357,664 | 444,211 |
| PROTO CORP | 3,600 | 25,994 | 42,923 |
| PRUDENTIAL FINANCIAL INC | 1,800 | 140,526 | 194,832 |
| PSG KONSULT LTD | 2,386 | 1,255 | 2,009 |
| PULTEGROUP INC | 11,226 | 501,198 | 641,678 |
| PULTEGROUP INC | 5,200 | 224,224 | 297,232 |
| PUMA BIOTECHNOLOGY INC | 6,358 | 265,130 | 19,328 |
| PUREUN MUTUAL SAVINGS BANK | 3,272 | 22,553 | 33,443 |
| QILU EXPRESSWAY CO LTD | 44,000 | 13,144 | 18,060 |
| QINETIQ GROUP PLC | 11,738 | 34,346 | 42,290 |
| QT GROUP OYJ | 116 | 22,116 | 17,624 |
| QUALYS INC | 3,096 | 330,048 | 424,833 |
| QUEST DIAGNOSTICS INC | 2,000 | 238,340 | 346,020 |
| RACKSPACE TECHNOLOGY INC | 49,459 | 926,532 | 666,213 |
| RADIAN GROUP INC | 12,600 | 230,834 | 266,238 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| RADWARE LTD | 3,263 | 118,603 | 135,871 |
| RADWARE LTD | 21,661 | 557,497 | 901,964 |
| RAKON LTD | 24,987 | 19,533 | 35,583 |
| RANDON PARTICIPACOES SA PREF | 97,500 | 253,102 | 190,274 |
| RANDSTAD NV | 5,463 | 347,151 | 373,000 |
| RAPALA VMC OYJ | 615 | 2,347 | 6,099 |
| RAVEN PROPERTY GROUP LTD | 11,476 | 4,664 | 5,285 |
| RAYDIUM SEMICONDUCTOR CORP | 28,505 | 208,460 | 590,355 |
| RAYMOND JAMES FINANCIAL INC | 7,783 | 109,959 | 781,413 |
| RAYSEARCH LABORATORIES AB | 4,493 | 42,484 | 28,038 |
| RCE CAPITAL BHD | 19,000 | 12,321 | 17,194 |
| RCS MEDIAGROUP SPA | 15,578 | 14,072 | 15,678 |
| READYTECH HOLDINGS LTD | 15,572 | 43,156 | 44,154 |
| REGENERON PHARMACEUTICALS | 500 | 265,350 | 315,760 |
| REGIONS FINANCIAL CORP | 22,700 | 404,171 | 494,860 |
| REGIS HEALTHCARE LTD | 9,898 | 15,671 | 13,457 |
| REINSURANCE GROUP OF AMERICA | 3,442 | 296,247 | 376,865 |
| REINSURANCE GROUP OF AMERICA | 1,700 | 179,682 | 186,133 |
| RELIANCE STEEL + ALUMINUM | 3,045 | 200,358 | 493,960 |
| RELIANCE STEEL + ALUMINUM | 1,500 | 167,648 | 243,330 |
| RENT A CENTER INC | 10,991 | 595,135 | 528,008 |
| RENT A CENTER INC | 2,160 | 82,706 | 103,766 |
| RENTA 4 BANCO SA | 2,136 | 19,265 | 24,533 |
| RESEARCH SOLUTIONS INC | 248,900 | 630,655 | 612,294 |
| RFM CORPORATION | 5,000 | 469 | 452 |
| RHYTHM CO LTD | 900 | 16,710 | 10,090 |
| RICHELIEU HARDWARE LTD | 1,687 | 59,677 | 58,270 |
| RIDLEY CORP LTD | 35,278 | 35,303 | 38,345 |
| RIETER HOLDING AG REG | 188 | 42,497 | 36,521 |
| RIKEN CORP | 600 | 13,923 | 13,411 |
| RIKEN TECHNOS CORP | 5,700 | 23,341 | 25,739 |
| RION CO LTD | 1,100 | 17,639 | 22,505 |
| RM PLC | 3,839 | 8,729 | 10,334 |
| RMB HOLDINGS LTD | 190,089 | 18,864 | 17,866 |
| ROKU INC | 6,035 | 1,248,643 | 1,377,187 |
| ROLAND DG CORP | 1,800 | 37,846 | 58,460 |
| ROOTS CORP | 3,561 | 10,261 | 8,993 |
| ROSENBAUER INTERNATIONAL AG | 34 | 1,898 | 1,794 |
| ROTHSCHILD + CO | 410 | 12,439 | 18,813 |
| ROYAL CARIBBEAN CRUISES LTD | 1,600 | 119,504 | 123,040 |
| RUSSEL METALS INC | 4,200 | 65,549 | 111,820 |
| RYDER SYSTEM INC | 3,800 | 310,814 | 313,234 |
| S + U PLC | 349 | 13,742 | 12,763 |
| S POOL INC | 2,000 | 21,288 | 21,137 |
| S+C ENGINE GROUP LTD | 10,085 | 13,398 | 178 |
| SABAF SPA | 926 | 18,892 | 25,273 |
| SABINA PCL NVDR | 22,400 | 14,182 | 13,277 |
| SABRA HEALTH CARE REIT INC | 9,100 | 132,451 | 123,214 |
| SAERON AUTOMOTIVE CORP | 9,808 | 57,191 | 45,214 |
| SAF HOLLAND SE | 1,133 | 14,891 | 15,848 |
| SAFE BULKERS INC | 8,761 | 30,133 | 33,029 |
| SAINT CARE HOLDING CORP | 300 | 1,660 | 2,050 |
| SALZGITTER AG | 967 | 27,377 | 34,552 |
| SAM JUNG PULP CO LTD | 220 | 8,956 | 5,552 |
| SAM YOUNG ELECTRONICS CO LTD | 2,981 | 33,683 | 28,588 |
| SAMCHULLY CO LTD | 142 | 12,936 | 10,846 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| SAMSUNG ELECTRONICS CO LTD | 43,011 | 1,466,099 | 2,833,027 |
| SAMSUNG ENGINEERING CO LTD | 790 | 13,997 | 15,219 |
| SAMSUNG SECURITIES CO LTD | 571 | 22,515 | 21,567 |
| SAMYANG CORP | 613 | 22,181 | 32,332 |
| SANDFIRE RESOURCES LTD | 78,648 | 327,608 | 376,251 |
| SANDNES SPAREBANK | 2,222 | 16,598 | 24,895 |
| SANKO METAL INDUSTRIAL CO | 300 | 10,454 | 6,331 |
| SANLORENZO SPA/AMEGLIA | 1,323 | 41,931 | 57,021 |
| SANSIRI PCL NVDR | 1,769,700 | 64,150 | 65,162 |
| SANY HEAVY EQUIPMENT INTL | 1,000 | 477 | 967 |
| SAO MARTINHO SA | 1,800 | 13,825 | 11,130 |
| SARINE TECHNOLOGIES LTD | 17,400 | 9,123 | 8,002 |
| SASOL LTD | 2,120 | 13,096 | 34,404 |
| SATO HOLDINGS CORP | 1,200 | 28,739 | 22,936 |
| SATO SHOJI CORP | 6,200 | 44,414 | 63,263 |
| SAXA HOLDINGS INC | 1,400 | 29,988 | 16,218 |
| SCANFIL OYJ | 2,512 | 15,931 | 21,311 |
| SCHOELLER BLECKMANN OILFIELD | 1,186 | 51,272 | 41,743 |
| SCIENCE APPLICATIONS INTE | 3,723 | 339,536 | 311,206 |
| SCOTTS MIRACLE GRO CO | 2,994 | 450,744 | 482,034 |
| SCREEN HOLDINGS CO LTD | 100 | 9,837 | 10,742 |
| SCS GROUP PLC | 4,380 | 13,378 | 13,526 |
| SEAGATE TECHNOLOGY HOLDINGS | 7,200 | 402,851 | 813,456 |
| SEAH BESTEEL CORP | 2,717 | 45,141 | 45,483 |
| SEAH HOLDINGS CORP | 154 | 13,964 | 13,538 |
| SEAH STEEL CORP/NEW | 136 | 11,293 | 10,937 |
| SEAH STEEL HOLDINGS CORP | 376 | 16,252 | 32,579 |
| SECHE ENVIRONNEMENT | 8 | 556 | 653 |
| SECTRA AB B SHS | 7,160 | 34,081 | 158,323 |
| SECUREWORKS CORP A | 43,414 | 616,298 | 693,322 |
| SEED CO LTD/TOKYO | 1,900 | 12,461 | 9,553 |
| SEIKAGAKU CORP | 16,700 | 176,978 | 136,466 |
| SELECT MEDICAL HOLDINGS CORP | 12,600 | 311,112 | 370,440 |
| SELVAAG BOLIG ASA | 5,529 | 25,927 | 31,977 |
| SEMCON AB | 1,648 | 10,596 | 23,080 |
| SEMPERIT AG HOLDING | 2,227 | 45,011 | 74,204 |
| SEMTECH CORP | 16,275 | 858,174 | 1,447,336 |
| SENAO NETWORKS INC | 8,000 | 25,418 | 29,638 |
| SENSHU ELECTRIC CO LTD | 400 | 7,748 | 20,668 |
| SEOHEE CONSTRUCTION CO LTD | 78,858 | 84,011 | 110,119 |
| SERGE FERRARI GROUP | 1,467 | 19,775 | 20,820 |
| SERVICE PROPERTIES TRUST | 6,000 | 68,940 | 52,740 |
| SERVIZI ITALIA SPA | 815 | 4,815 | 1,923 |
| SEVERFIELD PLC | 23,029 | 25,625 | 21,210 |
| SG FLEET GROUP LTD | 16,228 | 25,377 | 29,496 |
| SGL CARBON SE | 1,213 | 12,598 | 10,608 |
| SHANGHAI FUDAN ZHANGJIANG H | 50 | 45 | 25 |
| SHAVER SHOP GROUP LTD | 58,010 | 50,102 | 50,401 |
| SHAWCOR LTD | 1,575 | 8,382 | 6,122 |
| SHELL PLC | 35,346 | 711,592 | 776,715 |
| SHIBAURA MECHATRONICS CORP | 500 | 12,356 | 41,900 |
| SHIN KONG FINANCIAL HOLDING | 47,000 | 16,148 | 18,771 |
| SHIN YOUNG WACOAL INC | 58 | 7,303 | 5,782 |
| SHINDENGEN ELECTRIC MFG | 200 | 8,323 | 6,443 |
| SHINE JUSTICE LTD | 11,463 | 8,663 | 11,918 |
| SHINMAYWA INDUSTRIES LTD | 3,700 | 28,023 | 28,114 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| SHINYOUNG SECURITIES CO LTD | 285 | 14,324 | 14,385 |
| SHL JAPAN LTD | 500 | 9,170 | 11,046 |
| SHOFU INC | 1,100 | 13,177 | 19,535 |
| SHOUGANG FUSHAN RESOURCES GR | 686,000 | 166,851 | 232,295 |
| SHUTTERSTOCK INC | 7,603 | 419,343 | 843,021 |
| SIEGFRIED HOLDING AG REG | 57 | 19,714 | 55,646 |
| SIGNATURE BANK | 2,648 | 262,576 | 856,549 |
| SILGAN HOLDINGS INC | 3,200 | 118,656 | 137,088 |
| SILICON MOTION TECHNOL ADR | 6,496 | 423,670 | 617,315 |
| SILICON MOTION TECHNOL ADR | 14,108 | 632,479 | 1,340,683 |
| SIME DARBY BERHAD | 46,100 | 25,301 | 25,673 |
| SIMMTECH CO LTD | 383 | 12,607 | 14,708 |
| SIMS LTD | 38 | 415 | 444 |
| SINFONIA TECHNOLOGY CO LTD | 1,600 | 18,543 | 18,229 |
| SING INVESTMENTS + FINANCE | 6,200 | 6,243 | 6,783 |
| SING TAO NEWS CORP LTD | 64,000 | 9,011 | 4,187 |
| SINKO INDUSTRIES LTD | 1,400 | 27,107 | 24,449 |
| SINO OCEAN GROUP HOLDING LTD | 84,000 | 17,328 | 19,609 |
| SINOPEC ENGINEERING GROUP H | 67,000 | 38,529 | 33,086 |
| SINOSTAR PEC HOLDINGS LTD | 41,700 | 13,296 | 9,125 |
| SINOTRUK HONG KONG LTD | 84,721 | 50,470 | 130,402 |
| SINTOKOGIO LTD | 2,200 | 13,194 | 13,564 |
| SIT SPA | 804 | 8,125 | 9,829 |
| SITRONIX TECHNOLOGY CORP | 2,000 | 10,396 | 23,132 |
| SIX FLAGS ENTERTAINMENT CORP | 25,699 | 850,425 | 1,094,263 |
| SIXTH STREET SPECIALTY LENDI | 13,762 | 319,335 | 321,893 |
| SKECHERS USA INC CL A | 23,508 | 757,741 | 1,020,247 |
| SKECHERS USA INC CL A | 12,612 | 431,668 | 547,361 |
| SKELLERUP HOLDINGS LTD | 31,518 | 51,652 | 136,810 |
| SKJERN BANK | 1,322 | 19,422 | 20,921 |
| SKY NETWORK TELEVISION LTD | 78,479 | 84,742 | 145,073 |
| SKYLINE CHAMPION CORP | 8,949 | 279,566 | 706,792 |
| SKYWORTH GROUP LTD | 39,200 | 11,367 | 27,453 |
| SLC AGRICOLA SA | 1,300 | 12,848 | 10,510 |
| SLEEP NUMBER CORP | 4,842 | 451,807 | 370,897 |
| SLEEP NUMBER CORP | 2,000 | 163,720 | 153,200 |
| SMARTGROUP CORP LTD | 2,869 | 15,528 | 16,166 |
| SNAP ON INC | 660 | 112,952 | 142,151 |
| SNT DYNAMICS CO LTD | 4,712 | 19,799 | 35,516 |
| SNU PRECISION CO LTD | 4,220 | 12,491 | 12,265 |
| SODA NIKKA CO LTD | 8,800 | 38,489 | 62,893 |
| SODEXO SA | 4,728 | 464,394 | 414,327 |
| SOFI TECHNOLOGIES INC | 38,965 | 651,484 | 616,037 |
| SOFT WORLD INTL CORP | 1,000 | 4,124 | 3,560 |
| SOKEN CHEMICAL + ENGINEERING | 1,894 | 27,543 | 27,665 |
| SOLARWINDS CORP | 4,103 | 74,425 | 58,222 |
| SOMBOON ADV TECH NVDR | 134,800 | 92,888 | 87,970 |
| SONGWON INDUSTRIAL CO LTD | 1,311 | 22,115 | 23,656 |
| SONIX TECHNOLOGY CO LTD | 3,000 | 11,944 | 9,976 |
| SOUTHERN STEEL BERHAD | 46,900 | 9,297 | 9,231 |
| SOUTHWEST AIRLINES CO | 23,305 | 1,108,429 | 998,386 |
| SPACE CO LTD | 3,000 | 26,121 | 23,525 |
| SPAREBANK 1 NORD NORGE | 686 | 3,193 | 8,759 |
| SPAREBANKEN MORE CAP CERT | 1,279 | 45,090 | 64,397 |
| SPAREBANKEN OST | 218 | 1,497 | 1,414 |
| SPK CORP | 1,200 | 16,051 | 14,495 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| SPOTIFY TECHNOLOGY SA | 2,309 | 552,968 | 540,375 |
| SPROUTS FARMERS MARKET INC | 11,000 | 292,672 | 326,480 |
| SRI TRANG AGRO INDS PCL NVDR | 18,900 | 23,395 | 17,539 |
| STALEXPORT AUTOSTRADY SA | 10,446 | 12,956 | 9,045 |
| STANDEX INTERNATIONAL CORP | 9,989 | 705,977 | 1,105,383 |
| STAR MICRONICS CO LTD | 1,900 | 26,949 | 25,558 |
| STARRAG GROUP HOLDING AG | 178 | 12,589 | 9,104 |
| STARTS CORP INC | 600 | 15,727 | 13,083 |
| STATE STREET CORP | 6,000 | 376,902 | 558,000 |
| STEEL DYNAMICS INC | 3,500 | 129,045 | 217,245 |
| STELCO HOLDINGS INC | 17,066 | 235,169 | 556,910 |
| STHREE PLC | 572 | 2,385 | 3,595 |
| STO SE + CO. KGAA PREF SHRS | 126 | 16,721 | 31,666 |
| STONEX GROUP INC | 22,393 | 1,125,538 | 1,371,571 |
| STRABAG SE BR | 1,779 | 62,484 | 74,146 |
| STRATEC SE | 86 | 13,389 | 13,477 |
| STRATEGIC EDUCATION INC | 5,629 | 529,728 | 325,581 |
| STRIDE INC | 30,629 | 729,574 | 1,020,865 |
| STUDIO ALICE CO LTD | 77 | 1,775 | 1,433 |
| SUGIMOTO + CO LTD | 2,600 | 33,184 | 54,865 |
| SUMITOMO SEIKA CHEMICALS CO | 700 | 19,956 | 19,057 |
| SUMMIT REAL ESTATE HOLDING | 841 | 10,015 | 17,704 |
| SUN COMMUNITIES INC | 3,940 | 366,395 | 827,282 |
| SUN FRONTIER FUDOUSAN CO LTD | 4,100 | 41,202 | 35,711 |
| SUN HING VISION GROUP HLDGS | 14,000 | 5,545 | 2,137 |
| SUNLAND GROUP LTD | 17,009 | 32,449 | 34,008 |
| SUNNEXTA GROUP INC | 4,200 | 38,413 | 39,099 |
| SUNPLUS TECHNOLOGY CO LTD | 34,000 | 47,735 | 47,743 |
| SUPALAI PCL NVDR | 50,400 | 31,580 | 34,249 |
| SUPERNUS PHARMACEUTICALS INC | 12,905 | 436,677 | 376,310 |
| SUPREMEX INC | 3,976 | 14,844 | 8,656 |
| SURIA CAPITAL HOLDINGS BHD | 97,900 | 30,093 | 27,965 |
| SVB FINANCIAL GROUP | 4,031 | 1,795,201 | 2,733,985 |
| SVEDBERGS I DALSTORP AB B SH | 4,003 | 28,773 | 38,023 |
| SVOLDER AB B | 1,081 | 34,745 | 43,819 |
| SWEDENCARE AB | 5,930 | 9,536 | 100,800 |
| SYLVAMO CORP | 363 | 10,156 | 10,124 |
| SYLVANIA PLATINUM LTD | 13,072 | 8,244 | 15,669 |
| SYNERGIE SA | 1,197 | 44,538 | 49,549 |
| SYNOPSYS INC | 5,649 | 1,207,288 | 2,081,657 |
| SYNOVUS FINANCIAL CORP | 8,500 | 206,933 | 406,895 |
| SYSTEMAIR AB | 60 | 203 | 675 |
| TA ANN HOLDINGS BERHAD | 28,400 | 18,623 | 23,928 |
| TACHIKAWA CORP | 900 | 6,418 | 8,878 |
| TADIRAN GROUP LTD | 234 | 9,702 | 32,705 |
| TAEKWANG INDUSTRIAL CO LTD | 23 | 13,397 | 20,180 |
| TAI HING GROUP HOLDINGS LTD | 98,000 | 23,805 | 17,095 |
| TAIWAN HON CHUAN ENTERPRISE | 26,000 | 67,020 | 67,286 |
| TAKANO CO LTD | 2,700 | 19,110 | 16,835 |
| TAKEUCHI MFG CO LTD | 500 | 12,480 | 11,849 |
| TAMEDIA AG REG | 114 | 12,086 | 19,568 |
| TAMRON CO LTD | 4,200 | 79,777 | 104,129 |
| TANG PALACE CHINA HOLDINGS | 115,000 | 15,873 | 10,325 |
| TANSEISHA CO LTD | 2,700 | 32,187 | 18,406 |
| TARGET CORP | 3,900 | 670,540 | 902,616 |
| TARO PHARMACEUTICAL INDUS | 3,633 | 386,724 | 182,050 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| TASEKO MINES LTD | 111,888 | 206,586 | 229,370 |
| TAURON POLSKA ENERGIA SA | 52,236 | 47,220 | 34,397 |
| TAURUS ARMAS | 84,800 | 123,782 | 375,434 |
| TD SYNNEX CORP | 6,577 | 449,322 | 752,146 |
| TD SYNNEX CORP | 1,000 | 76,070 | 114,360 |
| TE CHANG CONSTRUCTION CO LTD | 13,000 | 13,173 | 13,955 |
| TECAN GROUP AG REG | 52 | 25,020 | 31,703 |
| TECHNO MEDICA CO LTD | 2,400 | 41,377 | 34,138 |
| TEGNA INC | 58,200 | 1,101,383 | 1,080,192 |
| TEGNA INC | 2,800 | 39,060 | 51,968 |
| TEIKOKU ELECTRIC MFG CO LTD | 2,000 | 23,577 | 25,687 |
| TEKFEN HOLDING AS | 8,574 | 11,971 | 13,068 |
| TELCOWARE CO LTD | 1,835 | 23,098 | 16,903 |
| TELEDYNE TECHNOLOGIES INC | 3,972 | 1,300,158 | 1,735,327 |
| TELEDYNE TECHNOLOGIES INC | 1,554 | 203,212 | 678,927 |
| TELEFONICA BRASIL ADR | 84,209 | 1,039,282 | 728,408 |
| TELEPHONE AND DATA SYSTEMS | 25,523 | 532,470 | 514,288 |
| TELESTE OYJ | 2,368 | 18,167 | 14,111 |
| TELEVISION FRANCAISE (T.F.1) | 2,395 | 17,691 | 23,763 |
| TELKOM SA SOC LTD | 15,798 | 42,164 | 53,531 |
| TEMPUR SEALY INTERNATIONAL I | 8,691 | 335,721 | 408,738 |
| TERASAKI ELECTRIC CO LTD | 483 | 3,817 | 4,941 |
| TEXHONG TEXTILE GROUP LTD | 13,500 | 9,238 | 17,835 |
| TEXTRON INC | 3,100 | 149,823 | 239,320 |
| TEXWINCA HOLDINGS LTD | 260,000 | 53,182 | 51,691 |
| THAI OIL PCL NVDR | 39,400 | 67,119 | 58,383 |
| THAI RAYON PCL NVDR | 4,991 | 6,635 | 7,993 |
| THAI STANLEY ELECTRIC PUB CO | 4,200 | 21,459 | 22,003 |
| THERMADOR GROUPE | 176 | 18,475 | 20,335 |
| THRACE PLASTICS HOLDING AND | 3,138 | 10,342 | 24,587 |
| THUNGELA RESOURCES LTD | 12 | 60 | 62 |
| THYE MING INDUSTRIAL CO LTD | 59,200 | 93,529 | 81,738 |
| TIDEWATER MIDSTREAM AND INFR | 25,100 | 28,478 | 25,832 |
| TIGERS POLYMER CORP | 2,100 | 14,083 | 8,352 |
| TIM SA | 2,068 | 10,131 | 19,216 |
| TIME WATCH INVESTMENTS LTD | 90,000 | 12,074 | 8,081 |
| TIMKEN CO | 3,500 | 227,093 | 242,515 |
| TIONG WOON CORP HLDG LTD | 12,000 | 12,279 | 4,184 |
| TIPTREE INC | 30,345 | 306,782 | 419,671 |
| TISCO FINANCIAL GROUP NVDR | 7,500 | 20,526 | 21,554 |
| TK GROUP HOLDINGS LTD | 22,000 | 17,460 | 7,055 |
| TKH GROUP NV DUTCH CERT | 434 | 23,198 | 27,392 |
| TOAST INC CLASS A | 12,613 | 518,360 | 437,797 |
| TOBA INC | 700 | 15,900 | 16,218 |
| TOCHIGI BANK LTD/THE | 5,900 | 9,062 | 11,835 |
| TOHO HOLDINGS CO LTD | 600 | 15,325 | 9,144 |
| TOHOKUSHINSHA FILM CORP | 2,600 | 15,510 | 14,563 |
| TOKAI RIKA CO LTD | 1,000 | 16,330 | 13,443 |
| TOKUYAMA CORP | 1,100 | 17,915 | 17,462 |
| TOLI CORP | 26,500 | 62,179 | 49,017 |
| TOMATO BANK LTD | 1,100 | 10,406 | 10,135 |
| TOMSON GROUP LTD | 50,000 | 13,201 | 13,404 |
| TONGDA GROUP HOLDINGS LTD | 1,790,000 | 102,368 | 57,399 |
| TOP ENGINEERING CO LTD | 1,886 | 15,260 | 13,073 |
| TOPCO SCIENTIFIC CO LTD | 46,000 | 160,776 | 262,696 |
| TOPPS TILES PLC | 15,620 | 15,988 | 13,752 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION COMMON STOCK | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| TORII PHARMACEUTICAL CO LTD | 300 | 8,242 | 7,498 |
| TOTAL ENERGY SERVICES INC | 13,308 | 39,617 | 63,529 |
| TOURISM HOLDINGS LTD | 11,202 | 19,038 | 22,625 |
| TOURMALINE OIL CORP | 27,400 | 532,446 | 885,893 |
| TOWA BANK LTD/THE | 1,300 | 9,177 | 6,119 |
| TOWA PHARMACEUTICAL CO LTD | 600 | 11,429 | 14,923 |
| TOYO MACHINERY + METAL CO | 1,300 | 7,065 | 6,107 |
| TRACTOR SUPPLY COMPANY | 1,694 | 112,156 | 404,188 |
| TRADEWEB MARKETS INC CLASS A | 23,088 | 1,210,640 | 2,312,032 |
| TRANSGLOBE ENERGY CORP | 8,704 | 26,433 | 26,199 |
| TRANSTEMA GROUP AB | 4,220 | 11,921 | 22,140 |
| TRANSUNION | 16,594 | 1,575,166 | 1,967,717 |
| TRANSUNION | 5,624 | 363,269 | 666,894 |
| TRAVEL LEISURE CO | 11,196 | 364,438 | 618,803 |
| TREX COMPANY INC | 6,638 | 644,975 | 896,329 |
| TRIGANO SA | 66 | 13,056 | 12,834 |
| TRIMBLE INC | 18,919 | 816,231 | 1,649,548 |
| TRINITY BIOTECH PLC SPON ADR | 8,655 | 49,553 | 12,377 |
| TSAKOS ENERGY NAVIGATION LTD | 1,527 | 12,646 | 11,055 |
| TSANN KUEN ENTERPRISE CO LTD | 65,000 | 63,138 | 90,568 |
| TSRC CORP | 14,000 | 9,708 | 20,443 |
| TST GROUP HOLDING LTD | 4,000 | 20,282 | 19,662 |
| TUPRAS TURKIYE PETROL RAFINE | 3,542 | 56,254 | 41,182 |
| TURK TELEKOMUNIKASYON AS | 27,385 | 20,410 | 19,859 |
| TURNERS AUTOMOTIVE GROUP LTD | 5,345 | 16,157 | 16,358 |
| TV TOKYO HOLDINGS CORP | 500 | 14,231 | 8,866 |
| TYLER TECHNOLOGIES INC | 2,394 | 841,518 | 1,287,852 |
| TYSON FOODS INC CL A | 6,300 | 398,659 | 549,108 |
| UBS GROUP AG REG | 113,087 | 1,704,630 | 2,037,961 |
| UCHI TECHNOLOGIES BHD | 53,500 | 40,460 | 40,324 |
| UFP INDUSTRIES INC | 7,038 | 387,518 | 647,566 |
| UFP TECHNOLOGIES INC | 13,427 | 503,679 | 943,381 |
| UGI CORP | 6,400 | 223,072 | 293,824 |
| ULLICO INC | 98,595 | 2,464,875 | 2,782,351 |
| UMANIS REG | 1,392 | 21,922 | 23,903 |
| UMS HOLDINGS LTD | 84,100 | 66,806 | 94,820 |
| UNI SELECT INC | 2,600 | 37,414 | 52,982 |
| UNID CO LTD | 702 | 41,563 | 59,349 |
| UNION SEMICONDUCTOR EQUIPMEN | 13,408 | 70,021 | 164,674 |
| UNION TOOL CO | 400 | 14,016 | 13,825 |
| UNIPAR CARBOCLORO SA PREF B | 800 | 11,879 | 14,828 |
| UNIPAR CARBOCLORO SA ORD | 4,500 | 87,376 | 83,375 |
| UNIRITA INC | 1,100 | 17,671 | 17,576 |
| UNITECH COMPUTER CO LTD | 10,668 | 7,239 | 14,363 |
| UNITED AIRLINES HOLDINGS INC | 2,700 | 116,775 | 118,206 |
| UNITED RENTALS INC | 600 | 109,451 | 199,374 |
| UNITED THERAPEUTICS CORP | 1,400 | 145,635 | 302,512 |
| UNITI GROUP INC | 15,519 | 193,050 | 217,421 |
| UNIVERSAL HEALTH SERVICES B | 1,800 | 201,141 | 233,388 |
| UOB KAY HIAN HOLDINGS LTD | 21,400 | 25,856 | 25,239 |
| UP GLOBAL SOURCING HOLDINGS | 532 | 1,499 | 1,470 |
| USERJOY TECHNOLOGY CO LTD | 25,387 | 81,689 | 85,978 |
| USU SOFTWARE AG | 441 | 14,724 | 12,136 |
| UTAH MEDICAL PRODUCTS INC | 2,749 | 247,401 | 274,900 |
| V ZUG HOLDING AG | 150 | 12,935 | 20,249 |
| VAIL RESORTS INC | 2,180 | 528,781 | 714,822 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| VAISALA OYJ A SHS | 635 | 29,918 | 38,489 |
| VALERO ENERGY CORP | 6,800 | 331,753 | 510,748 |
| VALMET OYJ | 395 | 6,944 | 16,944 |
| VALVOLINE INC | 16,461 | 350,040 | 613,831 |
| VALVOLINE INC | 12,100 | 258,997 | 451,209 |
| VAN LANSCHOT KEMPEN NV | 389 | 10,575 | 9,732 |
| VBG GROUP AB B SHS | 1,063 | 20,902 | 22,073 |
| VEDAN INTERNATIONAL HOLDINGS | 332,000 | 42,117 | 30,235 |
| VEEVA SYSTEMS INC CLASS A | 12,725 | 1,269,929 | 3,250,983 |
| VERIZON COMMUNICATIONS INC | 28,500 | 1,678,485 | 1,480,860 |
| VERKKOKAUPPA.COM OYJ | 2,719 | 24,040 | 22,046 |
| VERTIV HOLDINGS CO | 29,914 | 477,935 | 746,953 |
| VETOQUINOL SA | 67 | 2,613 | 10,057 |
| VIACOMCBS INC CLASS B | 9,200 | 302,938 | 277,656 |
| VIASAT INC | 56,528 | 2,374,892 | 2,517,757 |
| VIATRIS INC | 11,165 | 179,219 | 151,062 |
| VIEMED HEALTHCARE INC | 11,493 | 92,062 | 60,051 |
| VIEMED HEALTHCARE INC | 182,902 | 1,405,610 | 954,749 |
| VIFOR PHARMA AG | 8,057 | 1,042,238 | 1,434,723 |
| VILLEROY + BOCH AG PFD | 2,058 | 36,480 | 53,828 |
| VINCENT MEDICAL HOLDINGS LTD | 67,113 | 19,577 | 8,608 |
| VIRBAC SA | 62 | 9,567 | 29,930 |
| VISANG EDUCATION INC | 1,680 | 14,033 | 11,023 |
| VISHAY INTERTECHNOLOGY INC | 6,300 | 130,473 | 137,781 |
| VISTRA CORP | 12,653 | 222,308 | 288,109 |
| VISTRA CORP | 30,600 | 624,100 | 696,762 |
| VITROLIFE AB | 8,286 | 31,225 | 512,507 |
| VMWARE INC CLASS A | 2,070 | 188,555 | 239,872 |
| VONTIER CORP | 27,199 | 840,195 | 835,825 |
| VOXEL SA | 1,378 | 17,134 | 15,557 |
| VOYA FINANCIAL INC | 2,200 | 129,382 | 145,882 |
| VRG SA | 19,005 | 11,885 | 17,777 |
| WACKER CHEMIE AG | 72 | 12,339 | 10,775 |
| WAGNERS HOLDING CO LTD | 17,218 | 26,686 | 20,436 |
| WAJAX CORP | 5,200 | 79,382 | 99,912 |
| WALGREENS BOOTS ALLIANCE INC | 5,700 | 227,316 | 297,312 |
| WALLENIUS WILHELMSEN ASA | 5,719 | 19,970 | 32,816 |
| WARSAW STOCK EXCHANGE | 3,560 | 35,185 | 36,586 |
| WASHTEC AG | 589 | 36,323 | 36,840 |
| WAVESTONE | 391 | 18,651 | 24,189 |
| WAYSIDE TECHNOLOGY GROUP INC | 35,087 | 943,581 | 1,230,150 |
| WEIS MARKETS INC | 2,407 | 122,312 | 158,573 |
| WELLCALL HOLDINGS BHD | 131,300 | 32,786 | 40,657 |
| WELLS FARGO + CO | 2,500 | 75,450 | 119,950 |
| WERNER ENTERPRISES INC | 5,820 | 268,477 | 277,381 |
| WEST AFRICAN RESOURCES LTD | 12,822 | 12,774 | 12,305 |
| WEST CHINA CEMENT LTD | 455,892 | 81,482 | 81,281 |
| WEST PHARMACEUTICAL SERVICES | 5,156 | 641,405 | 2,418,216 |
| WESTERN AREAS LTD | 29,044 | 66,027 | 72,429 |
| WESTERN FOREST PRODUCTS INC | 66,600 | 94,954 | 111,250 |
| WESTROCK CO | 3,200 | 139,296 | 141,952 |
| WEX INC | 3,904 | 239,040 | 548,083 |
| WHIRLPOOL CORP | 2,400 | 456,194 | 563,184 |
| WHITE MOUNTAINS INSURANCE GP | 1,733 | 1,576,775 | 1,757,089 |
| WHITEHAVEN COAL LTD | 56,135 | 92,846 | 106,522 |
| WIELTON SA | 5,986 | 15,505 | 13,367 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF CORPORATE STOCK - COMMON

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION COMMON STOCK NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| WIJAYA KARYA BANGUNAN GEDUNG | 61,517 | 1,007 | 820 |
| WILH WILHELMSEN HOLDING A | 620 | 12,993 | 14,554 |
| WILL GROUP INC | 4,600 | 52,569 | 57,363 |
| WILLDAN GROUP INC | 9,848 | 402,150 | 346,650 |
| WINBOND ELECTRONICS CORP | 55,000 | 67,923 | 67,590 |
| WINPAK LTD | 1,100 | 30,235 | 32,369 |
| WIRTUALNA POLSKA HOLDING SA | 573 | 20,870 | 20,046 |
| WIX.COM LTD | 2,523 | 391,774 | 398,104 |
| WNS HOLDINGS LTD ADR | 19,390 | 1,124,655 | 1,710,586 |
| WONIK MATERIALS CO LTD | 1,296 | 35,593 | 38,594 |
| WORLD FUEL SERVICES CORP | 8,500 | 225,360 | 224,995 |
| WORLD HOLDINGS CO LTD | 3,800 | 67,669 | 85,533 |
| WORLD WRESTLING ENTERTAIN A | 15,893 | 866,389 | 784,161 |
| X LEGEND ENTERTAINMENT CO LT | 7,000 | 15,625 | 16,446 |
| XEROX HOLDINGS CORP | 9,400 | 199,864 | 212,816 |
| XINGFA ALUMINIUM HOLDINGS | 3,000 | 1,025 | 2,828 |
| XINYUAN REAL ESTATE CO L ADR | 24,610 | 104,987 | 15,504 |
| XIWANG SPECIAL STEEL CO LTD | 589,000 | 127,704 | 27,575 |
| XPEDIATOR PLC | 41,626 | 35,645 | 25,935 |
| XPO LOGISTICS INC | 4,757 | 232,235 | 368,335 |
| XPS PENSIONS GROUP PLC | 6,876 | 13,001 | 13,038 |
| YACHIYO INDUSTRY CO LTD | 2,600 | 21,726 | 15,421 |
| YAMADA CONSULTING GROUP CO L | 1,200 | 12,764 | 11,473 |
| YAPI VE KREDI BANKASI | 15,477 | 5,413 | 3,939 |
| YELLOW PAGES LTD/CANADA | 2,200 | 9,439 | 23,791 |
| YETI HOLDINGS INC | 13,205 | 1,049,421 | 1,093,770 |
| YOOSUNG ENTERPRISE CO LTD | 3,078 | 12,355 | 8,273 |
| YOUGOV PLC | 5,325 | 31,213 | 115,399 |
| YOUNG POONG CORPORATION | 181 | 101,181 | 99,731 |
| YOUNGONE CORP | 7,080 | 221,568 | 259,972 |
| YOUNGONE HOLDINGS CO LTD | 1,116 | 47,912 | 43,889 |
| YUEN JEN ENTERPRISE CO LTD | 17,000 | 9,645 | 21,076 |
| YUEXIU PROPERTY CO LTD | 9,600 | 9,240 | 8,459 |
| YUSHIN PRECISION EQUIPMENT | 4,800 | 38,405 | 31,346 |
| YUSHIRO CHEMICAL INDUSTRY CO | 2,800 | 27,599 | 27,281 |
| ZENGAME TECHNOLOGY HOLDING | 118,000 | 12,178 | 18,162 |
| ZIFF DAVIS INC | 13,662 | 972,872 | 1,514,569 |
| ZIFF DAVIS INC | 1,600 | 136,962 | 177,376 |
| ZIM INTEGRATED SHIPPING SERV | 7,747 | 345,668 | 455,988 |
| ZIMPLATS HOLDINGS LTD | 706 | 7,543 | 11,996 |
| ZIONS BANCORP NA | 7,000 | 221,130 | 442,120 |
| ZOGENIX INC | 11,725 | 258,827 | 190,531 |
| ZOOMINFO TECHNOLOGIES INC | 24,994 | 1,340,681 | 1,604,615 |
| ZSCALER INC | 7,493 | 655,241 | 2,407,726 |
| ZUMIEZ INC | 3,600 | 112,698 | 172,764 |
| ZUR SHAMIR HOLDINGS LTD | 4,567 | 17,079 | 18,723 |
| ZYNGA INC CL A | 312,877 | 1,893,867 | 2,002,412 |
| FIRST EAGLE GLOBAL VALUE FUND LP - COMMON STOCK | - | 35,877,696 | 50,385,234 |
| | | $ 385,648,780 | $ 517,505,335 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ACCOMPLICE FORTUITY POOL LP | | $ 3,300,000 | $ 7,653,756 |
| ACCOMPLICE FUND I | | 3,000,000 | 13,565,570 |
| ACCOMPLICE FUND II (PE) | | 3,600,000 | 51,049,880 |
| ACCOMPLICE FUND III LP | | 3,648,489 | 5,124,792 |
| ACTIS EMERGING MARKETS 3 | | 152,482 | 32,676 |
| ACTIS ENERGY 4 LP | | 5,142,583 | 8,003,000 |
| ACTIS ENERGY 5 | | 641,594 | 502,499 |
| AEW PARTNERS VI | | - | 201,599 |
| ALINDA INFRASTRUCTURE FD II LP | | 400,130 | 1,240,990 |
| ALTARIS CONSTELL PRTNS IV LP | | 320,496 | 1,252,614 |
| ALTARIS HEALTH PARTNERS IV | | 347,501 | 4,130,089 |
| AMERICAN SECURITIES PTRS V | | - | 1,828 |
| ARA FUND II LP | | 6,033,758 | 6,222,130 |
| ARES ENERGY INVESTORS FD V LP | | 2,969,139 | 7,638,923 |
| ATLAS VENTURE FUND IX | | - | 13,290,413 |
| ATLAS VENTURE FUND VIII | | - | 5,399,809 |
| ATLAS VENTURE FUND X LP | | - | 6,749,119 |
| ATLAS VENTURE FUND XI LP | | 2,857,420 | 8,931,219 |
| ATLAS VENTURE FUND XII | | 4,407,999 | 5,147,150 |
| ATLAS VENTURE OPPORTUNITY FUND | | 4,646,348 | 5,881,096 |
| AUDAX MEZZANINE PART III LP | | - | 124,516 |
| AUDAX PRIVATE EQ FUND IV | | - | 3,677,464 |
| AUGUST EQUITY PARTNERS V A | | 2,715,475 | 2,410,292 |
| BASALT INFRASTRUCTURE FUND III | | 14,285,925 | 14,169,087 |
| BASALT INFRASTRUCTURE II | | 12,417,076 | 17,166,699 |
| BCP INFRASTRUCTURE FUND LP | | 3,116,911 | 1,544,102 |
| BLACKROCK GL REN POW INFRAIII | | 6,727,593 | 6,325,620 |
| BLACKSTONE INFRASTRUCTURE PARTNERS LP | | 34,097,237 | 30,609,872 |
| BLACKSTONE INFRASTRUCTURE PARTNERS - V FEEDER LP | | 25,924,495 | 37,449,863 |
| CAPITAL INTERNATIONAL PE FD V | | 101,317 | 1,827 |
| CB OP AGGREGATOR I LP | | 1,537,502 | 1,594,570 |
| CHARLESBANK EQUITY FUND IX | | 2,063,436 | 4,295,624 |
| CHARLESBANK EQUITY FUND VII LP | | - | 403,760 |
| CHARLESBANK EQUITY FUND VIII | | 7,793 | 1,882,308 |
| CHARLESBANK EQUITY FUND X LP | | 1,831,690 | 1,876,259 |
| CHEQUERS CAPITAL XVI | | - | 701,249 |
| CHEQUERS CAPITAL XVII FCPR | | 2,524,366 | 2,866,137 |
| CITIC CAP CHINA PARTNER 3 (PE) | | 1,430,397 | 2,273,356 |
| CLEARWATER CAP PARTNERS FD III | | 111,739 | 287,758 |
| DBAG FUND VI (GUERNSEY) | | 261,149 | 1,300,512 |
| DBAG FUND VII | | 4,140,281 | 4,461,037 |
| EIF US POWER FUND IV LP | | 4,757,996 | 5,845,690 |
| ENCAP ENERGY CAPITAL FD VIII | | 2,245,201 | 1,908,389 |
| ENCAP ENERGY CAPITAL FUND IX | | 910,185 | 2,904,995 |
| ENCAP ENERGY CAPITAL FUND X | | 5,135,060 | 9,931,924 |
| ENCAP ENERGY CAPITAL FUND XI | | 8,764,685 | 9,836,877 |
| ENERGY VENTURES IV | | 907,581 | 262,604 |
| FIRST RESERVE FUND XI LP | | 718,401 | 2,129 |
| FOUNDATION CAP LEADERSHIP III | | 1,767,854 | 1,767,517 |
| FOUNDATION CAPITAL X LP | | 1,329,813 | 1,241,999 |
| FS EQUITY FUND VIII | | 5,580,651 | 7,684,339 |
| GAMUT INVESTMENT FUND I | | 3,347,437 | 5,011,664 |
| GEM REALTY FUND IV LP | | - | 54,214 |
| GEM REALTY FUND V LP | | 3,033,235 | 6,399,822 |
| GERDING EDLEN GREEN CITIES II | | - | 4,894,481 |
| GERDING EDLEN GREEN CITIES III | | 7,671,297 | 9,502,154 |
| GERDING EDLEN GREEN CITIES IV | | 9,181,848 | 9,333,276 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| GILDE BUY OUT FUND IV LP | | - | 54,126 |
| GLOBAL INFRASTRUCTURE PARTNERS IV-A/B LP | | 6,842,419 | 6,096,302 |
| GLOBAL INFRASTRUCTURE PARTNERS II-B FEEDER FUND LP | | - | 6,316,640 |
| GLOBAL INFRASTRUCTURE PARTNERS III-A/B LP | | 9,514,863 | 14,561,245 |
| GLOBAL INFRASTRUCTURE PARTNERS - B LP | | - | 145,453 |
| GOLDEN TREE INSITE REAL ESTATE | | 2,255,261 | 2,458,485 |
| GRAIN COMMUNICATIONS OPP II | | 5,619,042 | 7,950,402 |
| GRAIN COMMUNICATIONS OPP III | | 14,431,066 | 12,611,636 |
| GTIS BRAZIL REAL ESTATE FD II | | 9,124,374 | 3,264,880 |
| HARBOURVEST DOVER ST IX CAYMAN | | 1,558,597 | 8,156,162 |
| HARBOURVEST DOVER ST VIII CYMN | | - | 1,401,423 |
| HARBOURVEST PARTNERS 2013 CAYMAN DIRECT FUND | | - | 3,375,546 |
| HARBOURVEST PARTNERS VII 2005 CAYMAN BUYOUT FUND | | - | 87,295 |
| HARBOURVEST PARTNERS VIII CAYMAN BUYOUT FUND | | - | 1,088,849 |
| HARBOURVEST PARTNERS VIII CAYMAN VENTURE FUND | | - | 5,210,328 |
| HIG MIDDLE MARKET LBO FD II | | - | 3,168,475 |
| HITEC VISION VI | | 1,776,071 | 3,234,121 |
| HITECVISION VII LP | | 229,162 | 6,069,956 |
| HONY CAPITAL FUND V LP | | 762,827 | 547,843 |
| IFM GLOBAL INFRASTRUCTURE (US) | | 33,727,169 | 46,042,788 |
| INSIGHT VENTURE PARTNERS IX LP | | 377,671 | 19,749,850 |
| INSTITUTIONAL VENTURE XIII | | - | 1,012,557 |
| INTERCONTINENTAL BUILD R E | | 27,912,224 | 31,034,029 |
| IRONSIDES CO INVT FUND VI LP | | 50,664,295 | 56,351,215 |
| IRONSIDES DIRECT INVT FUND V | | 28,152,626 | 54,415,905 |
| JLL PARTNERS VI LP | | - | 35,978 |
| JMI EQUITY FND VII LP | | - | 130,739 |
| JMI EQUITY FUND XLP | | 4,004,400 | 4,705,869 |
| K4 PRIVATE INVESTORS | | 4,240,479 | 7,573,081 |
| K5 PRIVATE INVESTORS | | 1,982,003 | 2,020,771 |
| KEROGEN ENERGY FUND II LP | | 5,863,410 | 8,732,038 |
| KEROGEN PANDION CO FUND NR | | 4,782,806 | 7,986,600 |
| KHOSLA VENTURES III | | - | 528,223 |
| KHOSLA VENTURES IV | | - | 4,289,757 |
| KHOSLA VENTURES SEED B LP | | 187,827 | 2,807,732 |
| KHOSLA VENTURES SEED C LP | | 2,575,177 | 12,885,342 |
| KHOSLA VENTURES SEED LP | | 237,630 | 497,903 |
| KHOSLA VENTURES V LP | | 4,724,982 | 15,327,519 |
| KHOSLA VENTURES VI (PE) | | 2,502,300 | 3,922,936 |
| KPS SPECIAL SITUATIONS FND III LP | | - | 5,412 |
| KPS SPECIAL SITUATIONS FND III SUPPLEMENTAL FEEDER LP | | - | 6,826 |
| KPS SPECIAL SITUATIONS FUND IV | | - | 1,889,827 |
| KPS SPECIAL SITUATIONS FUND V | | 3,875,309 | 5,729,639 |
| LINDEN CAPITAL PARTNERS III LP | | - | 8,617,994 |
| LINDEN CAPITAL PARTNERS IV LP | | 3,638,863 | 4,983,945 |
| LINDEN CAPITAL PARTNERS V LP | | - | (145,482) |
| MACQUARIE CROP PARTNERS FEEDER | | 9,012,718 | 9,788,294 |
| MBK PARTNERS FUND III | | 744,470 | 3,997,602 |
| MBK PARTNERS FUND IV | | 1,686,877 | 3,658,573 |
| MESA WEST RE INCOME FUND IV | | 2,714,868 | 4,651,467 |
| MSOUTH EQUITY PARTNERS II LP | | - | 494,048 |
| MSOUTH EQUITY PARTNERS III LP | | - | 866,854 |
| NB STRATEGIC CO INVESTMENT CAYMAN PARTNERS IV | | 4,548,591 | 6,457,323 |
| NORMANDY RE FUND IV | | 12,058,430 | 10,478,369 |
| NORMANDY REAL ESTATE FUND III | | 1,805,039 | 2,301,624 |
| NORTHSTAR MEZZANINE PARTNERS V | | - | 207,799 |
| OAKTREE OPP FUND VIII B LP | | - | 372,478 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| OAKTREE OPPORTUNITIES FD XB | | 3,240,000 | 4,634,383 |
| OAKTREE REAL ESTATE DEBT FD II | | 9,783,542 | 6,120,515 |
| OCM OPPORTUNITIES FUND VII B | | - | 1,736 |
| OCM OPPORTUNITIES FUND VIII | | - | 11,648 |
| ONCAP II | | - | 652,514 |
| ONCAP IV | | 1,177,329 | 1,583,821 |
| ONCAP US III | | - | 281,968 |
| ONEX PARTNERS II LP | | 6,291 | 12,764 |
| OVP VENTURE PARTNERS VII LP | | 135,479 | 3,139,143 |
| PACIFIC ROAD RESOURCES FUND II | | 4,125,526 | 2,635,913 |
| PAG ASIA I FEEDER FUND LP | | - | 322,184 |
| PAG ASIA II LP | | 2,585,516 | 7,104,720 |
| PAG ASIA III LP | | 4,529,088 | 5,015,469 |
| PAINE SCHWARTZ FOOD CHAIN V | | 14,502,143 | 19,679,249 |
| PATRIA PRIV EQ FUND VI LP | | 3,618,793 | 4,447,753 |
| PATRIA BRAZILIAN PE FUND V | | 4,764,523 | 9,375,915 |
| PATRIA BRAZILIAN PRI EQ FD IV | | 972,488 | 1,265,526 |
| PIMCO DISCO III | | 4,212,619 | 6,077,503 |
| PIONEER SQUARE VENTURES II | | 1,068,750 | 989,361 |
| POINT 406 VENTURES IV LP | | 4,577,100 | 5,988,637 |
| PRISA III | | 33,949,600 | 43,808,529 |
| QUINTANA ENERGY PARTNERS II | | 774,000 | 126,044 |
| RELATED REAL ESTATE FUND III | | 6,120,202 | 7,467,155 |
| RIDGEMONT EQ PRTNRS III LP | | 3,769,714 | 8,408,430 |
| RIDGEMONT EQUITY PARTNERS I LP | | - | 1,823,403 |
| RIDGEMONT EQUITY PARTNRS II LP | | 31,233 | 3,892,673 |
| RIDGEWOOD ENERGY OIL GAS II | | 5,960,969 | 5,581,233 |
| RIDGEWOOD ENERGY OIL + GAS | | 7,749,340 | 9,615,098 |
| RIDGEWOOD ENERGY OIL GAS IV | | 6,603,040 | 9,292,868 |
| RIVER VI LP | | 1,497 | 334,415 |
| RIVERSIDE CAPITAL APPREC FD V | | - | 183,195 |
| RIVERSIDE EUROPE FUND IV | | - | 370,934 |
| RIVERSIDE FUND V | | 572,539 | 9,089 |
| RIVERSIDE FUND V SQ A B LP | | 63,967 | 3,989,844 |
| RIVERSIDE MICRO CAP FD III | | - | 4,036,404 |
| RIVERSIDE MICRO CAP FUND I LP | | - | 642,948 |
| RMF NEC MA LLC | | 1,455,854 | 4,672,630 |
| RUBENSTEIN PROPERTIES | | 2,500,000 | 2,101,494 |
| SCALE VENTURE PARTNERS VI | | 2,682,554 | 7,935,173 |
| SCALE VENTURE PARTNERS VII | | 1,534,489 | 1,673,314 |
| SENTINEL CAPITAL PARTNERS IV | | - | 45,413 |
| SENTINEL CAPITAL PARTNERS V | | - | 3,552,875 |
| SENTINEL CAPITAL PARTNERS VI | | 1,615,942 | 3,466,752 |
| SILVER LAKE PARTNERS III | | 226 | 128,614 |
| SJC ONSHORE DIRECT LENDING III | | 15,894,040 | 22,290,289 |
| SK CAPITAL PARTNERS V LP | | 6,834,162 | 8,760,949 |
| SOLAMERE CAPITAL AF III LP | | 9,319,090 | 18,575,585 |
| SOUTHERN CROSS LATIN AM | | 3,926,509 | 2,050,405 |
| SOUTHERN CROSS LATIN AM FUND V | | 3,650,056 | 1,986,195 |
| STAR AMERICA INFRASTRUCTURE LP | | 7,347,400 | 13,158,113 |
| STELLEX CAPITAL PARTNERS II | | 1,131,082 | 1,034,202 |
| STERLING GROUP PARTNERS IV LP | | 1,333,834 | 7,201,021 |
| STERLING GROUP PARTNERS V | | 750,122 | 1,302,586 |
| STG VI LP | | 1,717,248 | 1,617,276 |
| TA REALTY CORE PROPERTY FUND | | 25,000,000 | 39,927,414 |
| TA REALTY FUND XI | | 3,090,976 | 10,405,905 |
| TA XII B | | - | 4,578,319 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| TA XIII | | 4,325,250 | 8,243,969 |
| TA XIV LP | | 3,348,000 | 3,264,519 |
| THE FOURTH CINVEN FUND | | - | 1,409 |
| THIRD ROCK VENTURES II LP | | - | 57,928 |
| THIRD ROCK VENTURES III | | - | 2,551,714 |
| THIRD ROCK VENTURES IV LP | | 1,223,232 | 4,309,613 |
| THIRD ROCK VENTURES V | | 2,477,484 | 3,477,582 |
| TISHMAN SPEYER REAL ESTATE | | - | 140,814 |
| TOWERBROOK INVESTORS III LP | | - | 8,487 |
| TOWERBROOK INVESTORS IV | | 1,545,301 | 6,130,041 |
| TRILANTIC CAPITAL PARTNERS V | | - | 2,388,093 |
| TRILANTIC CAPITAL PARTNERS VI | | 4,029,530 | 5,254,061 |
| UBS TRUMBULL PROPERTY FUND LP | | 33,676,332 | 37,420,587 |
| US REAL ESTATE INVESTMENT FD | | 31,580,170 | 39,737,070 |
| VALHALLA PARTNERS II | | 54,780 | 113,095 |
| VECTOR CAPITAL V LP | | 2,641,038 | 5,515,206 |
| VERITAS CAPITAL FD VI LP | | 2,182,802 | 16,994,270 |
| VERITAS CAPITAL FUND V LP | | - | 13,313,910 |
| VERITAS CAPITAL PARTNERS IV | | - | 33,730 |
| VISTA EQUITY PARTNERS IV | | 26,710 | 1,267,001 |
| VISTA EQUITY PARTNERS V | | - | 7,314,678 |
| VISTA FOUNDATION FUND III | | 156,421 | 4,319,549 |
| VITRUVIAN INV PARTNERSHIP II | | - | 7,061,615 |
| VITRUVIAN INVESTMENT PTNRS III | | 4,420,202 | 11,562,745 |
| VITRUVIAN INVT PARTNERSHIP IV | | 2,125,027 | 2,282,732 |
| VITRUVIAN PARTNERS | | - | 1,205,851 |
| WAUD CAPITAL PARTNERS III LP | | 19,312 | 1,429,789 |
| WAUD CAPITAL PARTNERS IV LP | | 3,603,655 | 8,178,299 |
| WAUD CAPITAL PARTNERS V | | 8,638,277 | 10,633,283 |
| WAYZATA OPPORTUNITIES FUND II | | - | 13,246 |
| WHITE DEER ENERGY | | - | 164,126 |
| WHITE DEER ENERGY III | | 10,509,875 | 12,065,901 |
| WHITE DEER ENERGY LP II | | 6,550,588 | 5,848,735 |
| YUCAIPA AMERICAN ALLIANCE | | 559,485 | - |
| YUCAIPA AMERICAN ALLIANCE II | | 9,372,299 | 9,823,961 |
| YUCAIPA CORP INITIATIVE FD II | | 291,134 | 192,824 |
| ABS EMERGING MARKETS STRATEGIC PORTFOLIO LP - PARTNERSHIP | | 42,423,682 | 54,979,829 |
| ENTRUST CAPITAL DIVERSIFIED FUND LTD CLASS X SERIES 09/30/2017 - PARTNERSHIP | | 776,953 | 800,435 |
| ENTRUST CAPITAL DIVERSIFIED FUND LTD CLASS X SERIES 12/31/2017 - PARTNERSHIP | | 778,915 | 802,455 |
| ENTRUST SPECIAL OPPORTUNITIES FUND III LTD CLASS A - PARTNERSHIP | | 7,500,284 | 6,535,888 |
| EUROPEAN STRATEGIC PARTNERS 2006 B - PARTNERSHIP | | - | 1,599,064 |
| GROSVENOR OPPORTUNISTIC CREDIT FUND III LTD - PARTNERSHIP | | 773,942 | 790,368 |
| GROSVENOR OPPORTUNISTIC CREDIT FUND IV LTD - PARTNERSHIP | | 1,729,271 | 1,868,919 |
| HAMILTON LANE PE OFF FUND VIII - PARTNERSHIP | | 5,450,069 | 7,540,651 |
| HAMILTON LANE PRIVATE EQUITY OFFSHORE FUND VI - PARTNERSHIP | | 860,852 | 1,089,552 |
| HAMILTON LANE STRATEGIC OPPORTUNITIES OFFSHORE FUND IV - PARTNERSHIP | | 6,536,744 | 6,519,906 |
| IRONSIDES PARTNERSHIP FUND IV - PARTNERSHIP | | 27,973,552 | 45,760,237 |
| IRONSIDES PARTNERSHIP FUND V - PARTNERSHIP | | 17,313,277 | 23,699,048 |
| PANTHEON EUROPE FUND V B LP - PARTNERHSIP | | 1,284,419 | 917,226 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF PARTNERSHIPS/JOINT VENTURE INTERESTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION PARTNERSHIPS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| PANTHEON GLOBAL SECONDARY FUND III B - PARNTERSHIP | | 1,137,627 | 113,731 |
| SIGULER GUFF DISTRESSED RE OPPS FUND II - PARTNERSHIP | | 3,340,021 | 3,792,800 |
| SOLAMERE CAPIAL AF IV A - PARTNERSHIP | | 14,916,118 | 18,237,187 |
| SOLAMERE CAPITAL AF III A - PARTNERSHIP | | 26,986,373 | 44,994,003 |
| IRONSIDES DIRECT INVESTMENT FUND IV LP - OTHER INVESTMENTS | | 7,994,946 | 13,055,631 |
| | | $ 990,060,232 | $ 1,595,040,600 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF REAL ESTATE

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION REAL ESTATE NO. OF SHARES | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| AMERICAN CORE REALTY FUND - REAL ESTATE | - | $ 80,211,935 | $ 101,621,201 |
| NORTH ATLANTIC STATES CARPENTERS PENSION FUND REAL ESTATE INVESTMENT PROGRAM - REAL ESTATE | - | 161,586,749 | 151,849,745 |
| ORION EUROPEAN REAL ESTATE FUND III - REAL ESTATE | - | 2,922,160 | 2,922,160 |
| WCP SPECIAL CORE PLUS FUND LP - REAL ESTATE | - | 6,665,626 | 8,458,710 |
| WCP SPECIAL CORE PLUS FUND II LP - REAL ESTATE | - | 19,280,133 | 25,620,783 |
| | | $ 270,666,603 | $ 290,472,599 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF NON-PARTICIPANT LOANS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) | (c) - DESCRIPTION NON-PARTICIPANT LOANS | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY / NO. OF SHARES | COST | CURRENT VALUE |
| US TREASURY | 0.50% | 03/15/2023 | 150,000 | $ 151,125 | $ 150,059 |
| US TREASURY | 2.75% | 11/15/2023 | 530,000 | 568,340 | 550,018 |
| CITIGROUP INC | 4.00% | 08/05/2024 | 150,000 | 150,671 | 159,806 |
| US TREASURY | 2.38% | 08/15/2024 | 325,000 | 349,209 | 337,454 |
| US TREASURY | 1.75% | 12/31/2024 | 1,186,000 | 1,251,550 | 1,213,053 |
| US TREASURY | 2.00% | 02/15/2025 | 1,000,000 | 1,066,560 | 1,030,000 |
| ACCESSO TECHNOLOGY GROUP PLC | | | 2,962 | 33,394 | 32,817 |
| AERIE PHARMACEUTICALS INC | | | 18,025 | 438,531 | 126,536 |
| AFREN PLC | | | 3,424 | 7,334 | - |
| AGILE GROUP HOLDINGS LTD | | | 3,800 | 5,393 | 2,062 |
| AMERICAN SHIPPING COMPANY | | | 11,580 | 42,332 | 42,284 |
| ARDENT LEISURE GROUP LTD | | | 6,687 | 7,324 | 6,563 |
| AURELIA METALS LTD | | | 3,569 | 1,793 | 1,064 |
| AUSTRALIAN VINTAGE LTD | | | 23,611 | 13,101 | 14,076 |
| BASILEA PHARMACEUTICA REG | | | 390 | 19,609 | 17,515 |
| BEST WORLD INTERNATIONAL LTD | | | 136,089 | 96,289 | 1,010 |
| BICYCLE THERAPEUTICS PLC ADR ADR | | | 658 | 37,341 | 40,052 |
| CALIX LTD | | | 1,274 | 1,651 | 6,160 |
| CANFOR PULP PRODUCTS INC | | | 5,373 | 25,282 | 28,457 |
| CAREIUM AB | | | 2,131 | - | 7,096 |
| CATELLA AB | | | 22,258 | 48,486 | 115,791 |
| CES ENERGY SOLUTIONS CORP | | | 45,719 | 33,507 | 73,475 |
| CHINA INDEX HDS ADR ADR | | | 843 | 1,937 | 818 |
| CHINA SOUTH CITY HOLDINGS | | | 381,900 | 43,030 | 35,759 |
| COMPASS MINERALS INTERNATION | | | 47,954 | 2,877,360 | 2,449,490 |
| DICK S SPORTING GOODS INC | | | 4,700 | 273,774 | 540,453 |
| DRAFTKINGS INC CL A | | | 4,574 | 289,512 | 125,648 |
| EROAD LTD | | | 10,000 | 33,990 | 35,396 |
| EXEL COMPOSITES OYJ | | | 1,696 | 20,464 | 15,622 |
| FATE THERAPEUTICS INC | | | 209 | 14,922 | 12,229 |
| FERGUSON PLC | | | 720 | 89,860 | 129,161 |
| GHP SPECIALITY CARE AB | | | 4,831 | 9,033 | 13,766 |
| HALDEX AB | | | 2,132 | 15,739 | 12,480 |
| HIMAX TECHNOLOGIES INC ADR ADR | | | 2,348 | 15,829 | 37,545 |
| HUMANA AB | | | 526 | 3,198 | 4,224 |
| INSTALCO AB | | | 1,443 | 11,086 | 69,235 |
| INTAGE HOLDINGS INC | | | 2,500 | 26,924 | 38,166 |
| IRON MOUNTAIN INC REIT | | | 2,897 | 81,884 | 151,600 |
| KARYOPHARM THERAPEUTICS INC | | | 22,167 | 387,109 | 142,534 |
| KUDELSKI SA BR | | | 2,911 | 12,665 | 11,821 |
| MASTEC INC | | | 780 | 42,804 | 71,978 |
| MFE MEDIAFOREUROPE NV CL A | | | 5,018 | 7,621 | 5,110 |
| MFE MEDIAFOREUROPE NV CL B | | | 5,018 | 10,583 | 7,105 |
| MILLICOM INTL CELLULAR S.A. | | | 46,471 | 1,994,641 | 1,322,100 |
| MINERAL RESOURCES LTD | | | 3,640 | 73,808 | 148,202 |
| NAFCO CO LTD | | | 4,750 | 80,698 | 72,268 |
| NEXA RESOURCES SA | | | 1,850 | 20,390 | 14,541 |
| NOVA LTD | | | 2,007 | 188,121 | 294,026 |
| OESTERREICHISCHE POST | | | 267 | 11,260 | 11,477 |
| OVER.COM INC | | | 389 | 28,679 | 22,955 |
| PACIFIC ANDES RESOURCES DEVE | | | 36,750 | 5,332 | - |
| POLARIS INC | | | 820 | 81,914 | 90,126 |
| PRECISION DRILLING CORP | | | 982 | 14,239 | 34,743 |
| PROACT IT GROUP AB | | | 10,716 | 55,842 | 102,972 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF NON-PARTICIPANT LOANS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

**(a) NOT APPLICABLE**

| (b) | (c) - DESCRIPTION NON-PARTICIPANT LOANS | | | (d) | (e) |
|---|---|---|---|---|---|
| ISSUER | INTEREST RATE | MATURITY DATE | PAR OR MATURITY / NO. OF SHARES | COST | CURRENT VALUE |
| RACKSPACE TECHNOLOGY INC | | | 2,421 | 45,353 | 32,611 |
| REVANCE THERAPEUTICS INC | | | 17,833 | 319,208 | 291,035 |
| ROOTS CORP | | | 4,639 | 13,367 | 11,715 |
| ROSENBAUER INTERNATIONAL | | | 301 | 16,805 | 15,883 |
| SALLY BEAUTY HOLDINGS INC | | | 11,300 | 107,746 | 208,598 |
| SANDSTORM GOLD LTD | | | 85,700 | 583,324 | 531,340 |
| SECHE ENVIRONNEMENT | | | 383 | 26,618 | 31,272 |
| SHANGHAI FUDAN ZHANGJIANG | | | 950 | 862 | 469 |
| SIMS LTD | | | 1,851 | 20,211 | 21,613 |
| SIXTH STREET SPECIALTY LENDI | | | 1,038 | 24,086 | 24,279 |
| SKY NETWORK TELEVISION LTD | | | 87 | 94 | 161 |
| SKYWORTH GROUP LTD | | | 744,800 | 215,969 | 521,607 |
| SOFTCREATE HOLDINGS CORP | | | 1,400 | 25,344 | 52,885 |
| SUPREMEX INC | | | 1,910 | 7,131 | 4,158 |
| SYSTEMAIR AB | | | 2,916 | 9,865 | 32,819 |
| THUNGELA RESOURCES LTD | | | 2,896 | 14,389 | 14,988 |
| TRANSGLOBE ENERGY CORP | | | 4,564 | 13,860 | 13,738 |
| UNITI GROUP INC REIT | | | 3,741 | 46,536 | 52,411 |
| VIASAT INC | | | 2,208 | 92,764 | 98,344 |
| WAGNERS HOLDING CO LTD | | | 2,540 | 3,937 | 2,548 |
| WAYFAIR INC CLASS A | | | 2,741 | 694,089 | 520,708 |
| WEIS MARKETS INC | | | 293 | 14,889 | 19,303 |
| XINYUAN REAL ESTATE CO | | | 18,610 | 79,391 | 11,723 |
| | | | | $ 13,548,908 | $ 12,493,106 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF COMMON/COLLECTIVE TRUST FUNDS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

| (a) | (b) | (c) - DESCRIPTION COMMON/ COLLECTIVE TRUST FUNDS | (d) | (e) |
|---|---|---|---|---|
| | ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| | AFL-CIO BUILDING INVESTMENT TRUST | 1,605 | $ 11,341,470 | $ 13,723,572 |
| | ASB ALLEGIANCE REAL ESTATE FUND | 4,000,000 | 4,000,000 | 5,683,081 |
| | BLACKROCK EAFE EQUITY INDEX FUND | 305,371 | 89,669,887 | 104,799,985 |
| | BLACKROCK EQUITY INDEX FUND A | 122,101 | 193,924,649 | 224,227,263 |
| | BLACKROCK MSCI EMERGING MARKETS FREE FUND | 1,745,176 | 102,959,199 | 109,675,284 |
| | BLACKROCK RUSSELL 1000 GROWTH FUND | 785,479 | 45,000,000 | 57,382,285 |
| | BLACKROCK RUSSELL 2000 INDEX FUND A | 523,577 | 26,716,788 | 41,870,162 |
| | BLACKROCK SHORT TERM INVESTMENT FUND | 4,565 | 4,565 | 4,565 |
| | BLACKROCK U.S. DEBT INDEX FUND | 2,728,278 | 220,472,060 | 221,916,433 |
| | BLACKROCK U.S. TREASURY INFLATION PROTECTED SECURITIES FUND B | 10,004,039 | 245,019,205 | 278,852,748 |
| | BLK JPM EMBI GLBL DIV IDX FD C | 5,804,387 | 59,000,000 | 58,981,409 |
| | CRESCENT CAPITAL TRUST II LEVERED | 14,175,107 | 14,175,107 | 15,757,383 |
| | GQG PARTNERS EMERGING MARKETS EQUITY CIT | 8,308,343 | 96,000,000 | 118,975,471 |
| | IRIDIAN VALUE OPPORTUNITY CIT | 5,114,633 | 61,340,536 | 91,654,228 |
| | JP MORGAN CHASE BANK STRATEGIC PROPERTY FUND | 1,442,220 | 9,769,505 | 18,100,870 |
| | LOOMIS HIGH YIELD CONSERVATIVE TRUST | 1,047,026 | 18,308,396 | 30,070,593 |
| | MULTI EMPLOYER PROPERTY TRUST | 934 | 5,379,306 | 13,392,699 |
| * | STATE ST S&P GLOBAL NATURAL RESOURCES INDEX NL FUND | 246,944 | 2,688,300 | 3,734,788 |
| * | STATE ST STK PERFORMANCE INDEX FUTURES FUND | 7,424 | 5,645,359 | 5,952,189 |
| | WELLINGTON EMERGING MARKET DEBT | 10,081,305 | 89,744,925 | 56,858,562 |
| | | | $ 1,301,159,257 | $ 1,471,613,570 |

* PARTY-IN-INTEREST

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF POOLED SEPARATE ACCOUNTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION POOLED SEPARATE ACCOUNTS UNITS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ULLICO SEPARATE ACCOUNT J | 314,654 | $ 2,671,286 | $ 5,303,147 |
| ULLICO SEPARATE ACCOUNT J | 1,802,903 | 29,387,088 | 30,385,970 |
| | | $ 32,058,374 | $ 35,689,117 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF 103-12 INVESTMENT ENTITIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

(a) NOT APPLICABLE

| (b) | (c) - DESCRIPTION 103-12 INVESTMENT ENTITIES | (d) | (e) |
|---|---|---|---|
| ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| ABERDEEN EMERGING MARKETS EQUITY FUND | 975,347 | $ 55,258,110 | $ 88,083,502 |
| HARBOURVEST PARTNERS IX LP | - | - | 11,670,251 |
| HARBOURVEST PARTNERS VIII BUYOUT FUND LP | - | - | 1,422,115 |
| HARBOURVEST PARTNERS VIII MEZZANINE & DISTRESSED DEBT FUND | - | - | 255,519 |
| HARBOURVEST PARTNERS VIII VENTUR FUND LP | - | - | 3,119,841 |
| HARBOURVEST VIII CAYMAN MEZZANINE & DISTRESSED DEBT FUND LP | - | - | 326,834 |
| HARDMAN JOHNSTON INTERNATIONAL EQUITY GROUP TRUST | 2,045,655 | 91,245,725 | 93,143,336 |
| HIPEP V CAYMAN US DOLLAR PARTNERSHIP FUND LP | - | - | 537,515 |
| INCOME PLUS INVESTMENT FUND | 23,341 | 63,526 | 45,901 |
| MCMORGAN INFRASTRUCTURE FUND I LP | 9,915,896 | 9,915,896 | 11,080,464 |
| PROLOAN BOND FUND LLC | 4,952 | 9,084,103 | 9,317,355 |
| RHUMBLINE QSI INDEX LLC | 3,948,380 | 40,323,436 | 160,224,958 |
| SENIOR FLOATING RATE FUND LLC | 77,718,754 | 77,718,754 | 96,102,814 |
| ULLICO INFRASTRUCTURE FUND LP | 35,000,000 | 35,000,000 | 37,696,347 |
| WALTER SCOTT GLOBAL EQUITY FUND | 2,203,881 | 57,583,473 | 141,180,928 |
| | | $ 376,193,023 | $ 654,207,680 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF REGISTERED INVESTMENT COMPANIES

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR**

| (a) | (b) | (c) - DESCRIPTION REGISTERED INVESTMENT COMPANIES | (d) | (e) |
|---|---|---|---|---|
| | ISSUER | NO. OF SHARES | COST | CURRENT VALUE |
| | AFL CIO HSG INVT TR | 53,390 | $ 61,192,656 | $ 60,707,985 |
| | COLUMBIA LARGE CAP INDEX FUND-INSTITUTIONAL CLASS | 305 | 6,633 | 18,832 |
| | COLUMBIA MID CAP INDEX FUND-INSTITUTIONAL CLASS | 1,105 | 13,521 | 18,890 |
| | COLUMBIA SMALL CAP INDEX FUND-INSTITUTIONAL CLASS | 759 | 12,128 | 22,431 |
| | DFA EMERGING MARKETS VALUE POR | 2,951,229 | 92,304,162 | 91,517,597 |
| | FIM GOVERNMENT PORTFOLIO-INSTITUTIONAL CLASS | 17,432 | 17,432 | 17,432 |
| | GLOBAL X FUNDS & AFTIFICIAL INTELLIGENCY ETF | 400 | 13,954 | 14,376 |
| | ISHARES CORE US AGGREGATE BD ETF | 400 | 42,522 | 45,632 |
| | ISHARES MSCI EAFE ETF | 90 | 4,468 | 7,081 |
| | ISHARES MSCI EMERGING MARKETS ETF | 130 | 4,169 | 6,351 |
| | ISHARES RUSSELL 2000 ETF | 140 | 20,241 | 31,143 |
| | ISHARES RUSSELL MID CAP ETF | 600 | 30,089 | 49,806 |
| | ISHARES S&P 100 ETF | 445 | 56,167 | 97,513 |
| | ISHARES US HIGH YIELD | 1,050 | 43,066 | 43,250 |
| | LORD ABBETT SHORT DURATION | 38,076 | 160,000 | 158,396 |
| | SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF | 145 | 15,354 | 15,743 |
| * | SSC GOVERNMENT MM | 30,106,213 | 30,106,213 | 30,106,213 |
| | VANGUARD FTSE DEVELOPED MARKETS ETF | 415 | 18,142 | 21,190 |
| | VANGUARD FTSE EMERGING MARKETS ETF | 375 | 18,030 | 18,548 |
| | VANGUARD REAL ESTATE ETF | 191 | 13,819 | 22,158 |
| | VANGUARD S&P 500 ETF | 245 | 63,393 | 106,960 |
| | VANGUARD TOTAL INTL BOND INDEX | 500 | 29,140 | 27,580 |
| | | | $ 184,185,299 | $ 183,075,107 |

* PARTY-IN-INTEREST

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF UNALLOCATED CONTRACTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001
## FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR

(a) NOT APPLICABLE

| (b) ISSUER | (c) - DESCRIPTION UNALLOCATED CONTRACTS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| AXA EQUITABLE LIFE INSURANCE COMPANY | | $ 424,116 | $ 424,116 |
| AETNA LIFE INSURANCE COMPANY | | 4,829,979 | 5,445,630 |
| | | $ 5,254,095 | $ 5,869,746 |

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF OTHER INVESTMENTS

## DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001
## FORM 5500, SCHEDULE H, LINE 4I - ASSETS HELD FOR INVESTMENT PURPOSES AT END OF YEAR

**(a) NOT APPLICABLE**

| (b) ISSUER | (c) - DESCRIPTION OTHER INVESTMENTS | (d) COST | (e) CURRENT VALUE |
|---|---|---|---|
| ABS EMERGING MARKETS STRATEGIC PORTFOLIO LP - OTHER INVESTMENTS | | $ 4,371,454 | $ 5,678,285 |
| FIRST EAGLE GLOBAL VALUE FUND LP - OTHER INVESTMENTS | | 6,006,426 | 6,006,426 |
| KOHINOOR SERIES (CAYMAN) FUND - OTHER INVESTMENTS | | 12,607,297 | 12,681,586 |
| SHENKMAN PRIMUS HIGH YIELD BOND FUND - OTHER INVESTMENTS | | 3,371,495 | 3,404,643 |
| SIGULER GUFF DISTRESSED REAL ESTATE OPPORTUNITIES FUND II LP - OTHER INVESTMENTS | | 2,181,496 | 3,681,120 |
| | | $ 28,538,168 | $ 31,452,060 |

HOLDINGS OF CERTAIN INVESTMENTS WERE DETERMINED TO BE PLAN ASSETS FOR FORM 5500 PURPOSES AND ARE SEPARATELY IDENTIFIED HERE BASED ON THE ALLOCATION OF UNDERLYING ASSETS PROVIDED BY THE INVESTMENT MANAGER, AS OF THE DATE OF THEIR LATEST AUDITED FINANCIAL STATEMENTS.

## NORTH ATLANTIC STATES CARPENTERS PENSION FUND

## SCHEDULE OF REPORTABLE TRANSACTIONS

## YEAR ENDED DECEMBER 31, 2021

## EIN 51-6040899, PLAN NO. 001

**FORM 5500, SCHEDULE H, PAGE 4, PART IV, ITEM 4J - SCHEDULE OF REPORTABLE TRANSACTIONS DURING THE YEAR**

| (a) IDENTITY OF PARTY INVOLVED | (b) DESCRIPTION OF ASSET | (c) PURCHASE PRICE | (d) SELLING PRICE | (e) LEASE RENTAL | (f) EXPENSE INCURRED WITH TRANSACTION | (g) COST OF ASSET | (h) CURRENT VALUE OF ASSET ON TRANSACTION DATE | (i) NET GAIN OR (LOSS) |
|---|---|---|---|---|---|---|---|---|
| | | | | | | | | |
| * | SSC GOVERNMENT MM | $ 578,976,457 | $ - | $ - | $ - | $ - | $ 578,976,457 | $ - |
| * | SSC GOVERNMENT MM | - | 550,013,925 | - | - | 550,013,925 | 550,013,925 | - |
| N/A | BLACKROCK EQUITY INDEX FUND A | 471,381,167 | - | - | - | - | 471,381,167 | - |
| N/A | BLACKROCK EQUITY INDEX FUND A | - | 408,879,440 | - | - | 369,699,376 | 408,879,440 | 39,180,064 |