

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	

A This return/report is for:

☐ a multiemployer plan

☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)

☒ a single-employer plan ☐ a DFE (specify) _____

B This return/report is:

☐ the first return/report

☐ the final return/report

☐ an amended return/report ☐ a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. ☐

D Check box if filing under:

☒ Form 5558

☐ automatic extension

☐ special extension (enter description) ☐ the DFVC program

E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ☐

Part II	Basic Plan Information—enter all requested information				
1a Name of plan <u>FIRST UROLOGY P.S.C. 401K PROFIT SHARING PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>07/01/1984</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>07/01/1984</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>				
1c Effective date of plan <u>07/01/1984</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>FIRST UROLOGY P.S.C.</u> <u>101 HOSPITAL BOULEVARD</u> <u>JEFFERSONVILLE, IN 47130</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>35-1488175</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>812-206-8171</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>621111</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>35-1488175</u>	2c Plan Sponsor's telephone number <u>812-206-8171</u>	2d Business code (see instructions) <u>621111</u>	
2b Employer Identification Number (EIN) <u>35-1488175</u>					
2c Plan Sponsor's telephone number <u>812-206-8171</u>					
2d Business code (see instructions) <u>621111</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/13/2022	WILLIAM BONDS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	352
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	271
a(2) Total number of active participants at the end of the plan year		6a(2)	299
b Retired or separated participants receiving benefits.....		6b	0
c Other retired or separated participants entitled to future benefits		6c	144
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	443
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	0
f Total. Add lines 6d and 6e		6f	443
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	422
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	40
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2A 2E 2F 2G 2J 2K 2R 2S 2T 3D			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021	
A Name of plan FIRST UROLOGY P.S.C. 401K PROFIT SHARING PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 FIRST UROLOGY P.S.C.	D Employer Identification Number (EIN) 35-1488175

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier AMERICAN UNITED LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
35-0145825	60895	K00064	4	01/01/2021	12/31/2021

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end.....	5	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☒ other ▶ **GROUP ANNUITY CONTRACT**

b	Balance at the end of the previous year	7b	357725
c	Additions: (1) Contributions deposited during the year	7c(1)	14131
	(2) Dividends and credits.....	7c(2)	
	(3) Interest credited during the year.....	7c(3)	3346
	(4) Transferred from separate account.....	7c(4)	65137
	(5) Other (specify below)	7c(5)	0
	(6) Total additions	7c(6)	82614
d	Total of balance and additions (add lines 7b and 7c(6))	7d	440339
e	Deductions:		
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	35358
	(2) Administration charge made by carrier.....	7e(2)	196
	(3) Transferred to separate account.....	7e(3)	116016
	(4) Other (specify below)	7e(4)	0
	(5) Total deductions	7e(5)	151570
f	Balance at the end of the current year (subtract line 7e(5) from line 7d)	7f	288769

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan FIRST UROLOGY P.S.C. 401K PROFIT SHARING PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 FIRST UROLOGY P.S.C.	D Employer Identification Number (EIN) 35-1488175	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
BRADLEY J. WALKER	FINANCIAL GROUP OF HILLIARD LYONS 10200 FOREST GREEN BLVD. STE 500 LOUISVILLE, KY 40223

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
M & T BANK	
16-0538020	

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

RAYMOND JAMES & ASSOCIATES

101 SOUTH FIFTH STREET SUITE 1900
LOUISVILLE, KY 40202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	84927	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MORGAN STANLEY

4969 US HIGHWAY 42 STE 1200
LOUISVILLE, KY 40222

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	28734	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ROBERT W. BAIRD & CO.

202 S. COLLEGE AVENUE
BLOOMINGTON, IN 47404

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	27978	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CHARLES SCHWAB

2423 E. LINCOLN DRIVE
PHOENIX, AZ 85016

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	22594	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

THE GLENVIEW TRUST COMPANY

4969 US HIGHWAY 42 SUITE 2000
LOUISVILLE, KY 40222

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	21563	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CUNNINGHAM FINANCIAL GROUP

4801 OLYMPIA PARK PLAZA SUITE 4000
LOUISVILLE, KY 40241

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	21493	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SECURITIES AMERICA INC.

6006 BROWNSBORO PARK BLVD SUITE E
LOUISVILLE, KY 40207

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	20067	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MERITRUST WEALTH MANAGEMENT

702 NORTH SHORE DRIVE SUITE 501
JEFFERSONVILLE, IN 47130

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	6930	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ONEAMERICA RETIREMENT SERVICES

46-5378846

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 37 38 50 64 59 60 63	NONE	5928	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MG TRUST CO. DBA MATRIX TRUST CO.

75-3182674

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
18 19 21 25 49 50 51 59 60 61 62 63 65	NONE	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MATRIX TRUST COMPANY	18 19 21 25 49 50 62	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATRIX TRUST COMPANY 75-3182674	SEE ATTACHMENT MATRIX TRUST COMPANY SCHEDULE C DISCLOSURES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MSCS FINANCIAL SERVICES DIVISION OF	50 59 60 61 63 68	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MSCS FINANCIAL SERVICES DIVISION OF 33-1151291	SEE ATTACHMENT MATRIX TRUST COMPANY SCHEDULE C DISCLOSURES	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>FIRST UROLOGY P.S.C. 401K PROFIT SHARING PLAN</u>	B Three-digit plan number (PN) <u>001</u>	
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>FIRST UROLOGY P.S.C.</u>	D Employer Identification Number (EIN) <u>35-1488175</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>FT 9675 TECHNOLOGY</u>		
b Name of sponsor of entity listed in (a): <u>FIRST TRUST</u>		
c EIN-PN <u>36-3768815-000</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>162750</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>FT UT8638TECDIV34RM</u>		
b Name of sponsor of entity listed in (a): <u>FIRST TRUST</u>		
c EIN-PN <u>36-3768815-000</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>90957</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>FT DIVIDEND INCOME TRUST SERIES 17</u>		
b Name of sponsor of entity listed in (a): <u>FIRST TRUST</u>		
c EIN-PN <u>36-3768815-000</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>54066</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>FT UT2151AREAST1FCM</u>		
b Name of sponsor of entity listed in (a): <u>FIRST TRUST</u>		
c EIN-PN <u>36-3768815-000</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>39237</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>FT 8910 AQA-21</u>		
b Name of sponsor of entity listed in (a): <u>FIRST TRUST</u>		
c EIN-PN <u>36-3768815-000</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>FT UT7032DIINTR16RM</u>		
b Name of sponsor of entity listed in (a): <u>FIRST TRUST</u>		
c EIN-PN <u>36-3768815-000</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>		
A Name of plan <u>FIRST UROLOGY P.S.C. 401K PROFIT SHARING PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>FIRST UROLOGY P.S.C.</u>	D Employer Identification Number (EIN) <u>35-1488175</u>	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	1773256	1811791
(2) Participant contributions.....	1b(2)	15689	15701
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	2247573	3783711
(2) U.S. Government securities	1c(2)	27411	131
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	17079	0
(B) All other	1c(3)(B)	1182416	1620586
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	30858	50420
(B) Common	1c(4)(B)	11394940	13937835
(5) Partnership/joint venture interests	1c(5)	169496	114798
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)	398283	289867
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts.....	1c(9)	235572	347010
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts.....	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	37847555	42173400
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	1057725	1288770
(15) Other.....	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	56397853 65434020
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	0 0
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	56397853 65434020

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	1811791
	(B) Participants	2a(1)(B)	1262569
	(C) Others (including rollovers).....	2a(1)(C)	50254
(2)	Noncash contributions.....	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	3124614
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	846
	(B) U.S. Government securities	2b(1)(B)	166
	(C) Corporate debt instruments	2b(1)(C)	51943
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	52955
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	1800
	(B) Common stock	2b(2)(B)	145817
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	2428020
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	2575637
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	19440808
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	18925266
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	515542
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	1908050
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	1908050

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		2643020
c Other income.....	2c		67730
d Total income. Add all income amounts in column (b) and enter total.....	2d		10887548
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	1614383	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		1614383
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)	209557	
(3) Investment advisory and management fees.....	2i(3)	20334	
(4) Other.....	2i(4)	7107	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		236998
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		1851381
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		9036167
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MCM CPAS & ADVISORS LLP

(2) EIN: 27-1235638

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan FIRST UROLOGY P.S.C. 401K PROFIT SHARING PLAN	B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 FIRST UROLOGY P.S.C.	D Employer Identification Number (EIN) 35-1488175

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 27-1228437		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021
v. 201209

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

First Urology, P.S.C. 401(k) Profit Sharing Plan

Financial Statements

December 31, 2021 and 2020

First Urology, P.S.C. 401(k) Profit Sharing Plan
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December 31, 2021 and 2020

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Independent Auditor's Report

To the Oversight Committee
First Urology, P.S.C. 401(k) Profit Sharing Plan

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements

We have performed an audit of the financial statements of the First Urology, P.S.C. 401(k) Profit Sharing Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 ("ERISA"), as permitted by ERISA Section 103(a)(3)(C), which comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the 2021 financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's ("DOL") Rules and Regulations for Reporting and Disclosure under ERISA ("ERISA Section 103(a)(3)(C) audit"). As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan ("investment information") by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency ("qualified institution"), provided that the investment information is prepared and certified to by the qualified institution in accordance with 29 CFR 2520.103-5 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

Management has obtained a certification from a qualified institution as of December 31, 2021, and for the year then ended, stating that the certified investment information, as described in Note C to the 2021 financial statements, is complete and accurate.

Opinion for the 2021 Financial Statements

In our opinion, based on our audit and the procedures performed as described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report:

- The amounts and disclosures in the accompanying 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America ("GAAP").
- The certified investment information in the accompanying 2021 financial statements agrees to, or is derived from, in all material respects, the information prepared and certified by a qualified institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion for the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America ("GAAS"). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

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Independent Auditor's Report (Continued)

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of the 2021 financial statements in accordance with GAAP, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of the 2021 financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the 2021 financial statements.

In preparing the 2021 financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the 2021 financial statements are issued or available to be issued.

Management is responsible for maintaining a current plan instrument, including all plan amendments. Management is also responsible for administering the Plan and determining that the Plan's transactions that are presented and disclosed in the 2021 financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the 2021 financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and, therefore, is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the 2021 financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the 2021 financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the 2021 financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the 2021 financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of GAAP.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the 2021 financial statements as a whole are presented fairly, in all material respects, in accordance with GAAP.

Independent Auditor's Report (Continued)

Auditor's Responsibilities for the Audit of the 2021 Financial Statements (Continued)

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matter - 2021 Supplemental Schedule Required by ERISA

The 2021 supplemental Schedule of Assets (Held at End of Year) as of December 31, 2021, is presented for purposes of additional analysis and is not a required part of the 2021 financial statements but is supplementary information required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the 2021 financial statements. The information included in the 2021 supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the 2021 financial statements and to certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the 2021 financial statements or to the 2021 financial statements themselves, and to other additional procedures in accordance with GAAS. For information included in the 2021 supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the 2021 supplemental schedule, we evaluated whether the 2021 supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.
- The certified investment information in the supplemental schedule agrees to, or is derived from, in all material respects, the information prepared and certified by a qualified institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Other Matter - Report on the 2020 Financial Statements

We were engaged to audit the statement of net assets available for benefits of the Plan as of December 31, 2020. As permitted by 29 CFR 2520.103-8 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information certified by the qualified institution. Our audit report, dated September 13, 2021, indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an opinion and, accordingly, we did not express an opinion on the 2020 financial statements; and (b) the form and content of the information included in the 2020 financial statements, other than that derived from the information certified by the qualified institution, were presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.



Louisville, Kentucky
October 11, 2022

First Urology, P.S.C. 401(k) Profit Sharing Plan
Statements of Net Assets Available for Benefits
December 31, 2021 and 2020

	<u>2021</u>	<u>2020</u>
Assets		
Investments, at fair value	\$ 63,317,757	\$ 54,265,331
Investments, at contract value	288,771	357,725
Receivables		
Employer contributions	1,811,791	1,773,256
Participant contributions	<u>15,701</u>	<u>15,689</u>
	<u>1,827,492</u>	<u>1,788,945</u>
Total assets	65,434,020	56,412,001
Liabilities		
Due to broker for securities purchased	<u>-</u>	<u>14,148</u>
Net assets available for benefits	<u><u>\$ 65,434,020</u></u>	<u><u>\$ 56,397,853</u></u>

See accompanying notes.

First Urology, P.S.C. 401(k) Profit Sharing Plan
Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2021

Additions to net assets attributed to

Investment income	
Interest and dividends	\$ 2,628,592
Net appreciation in fair value of investments	<u>5,134,342</u>
	7,762,934

Contributions	
Employer	1,811,791
Participant	1,262,569
Rollover	50,254
	<u>3,124,614</u>

Total additions	10,887,548
------------------------	-------------------

Deductions from net assets attributed to

Benefits paid to participants	1,614,383
Administrative expenses	<u>236,998</u>

Total deductions	<u>1,851,381</u>
-------------------------	-------------------------

Net increase	9,036,167
---------------------	------------------

Net assets available for benefits

Beginning of year	<u>56,397,853</u>
--------------------------	--------------------------

End of year	<u><u>\$ 65,434,020</u></u>
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See accompanying notes.

First Urology, P.S.C. 401(k) Profit Sharing Plan
Notes to Financial Statements
December 31, 2021 and 2020

Note A - Description of Plan

The following description of the First Urology, P.S.C. 401(k) Profit Sharing Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

1. General: The Plan is a defined contribution plan covering all eligible employees of First Urology, P.S.C. (the "Company" or "Employer"). Employees become eligible to make elective deferrals when they have attained age 21 and completed three months of service. A participant becomes eligible for Company contributions after completing one year of service. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").
2. Contributions: Each year, participants may elect to contribute up to the maximum compensation permissible under the Internal Revenue Code ("IRC") on a pre-tax or Roth after-tax basis. The Plan provides for automatic enrollment of eligible employees at a pre-tax deferral rate of 3% of eligible compensation unless the participant affirmatively elects otherwise. Participants may also contribute amounts representing distributions from other qualified plans.

The Plan agreement provides for a Company non-elective safe harbor contribution equal to 3% of participant eligible compensation. It also allows the Company to make additional discretionary contributions. For the 2021 Plan year, the Company made a discretionary profit-sharing contribution equal to 4.5% of participant eligible compensation. Contributions are subject to certain limitations.

3. Participant Accounts: Individual accounts are maintained for each Plan participant. Each participant's account is credited with the participant's contributions and Company non-elective safe harbor contributions, as well as allocations of the Company's profit sharing contribution and Plan earnings. Participant accounts are charged with their withdrawals and an allocation of administrative expenses that are paid by the Plan. Allocations are based on participant earnings, specific participant transaction costs or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.
4. Vesting: Participants are immediately vested in their voluntary contributions and Company non-elective safe harbor contributions plus actual earnings thereon. Vesting in the remainder of their accounts is based on years of continuous service. A participant becomes 100% vested after six years of credited service.
5. Investment Options: Upon enrollment in the Plan, participants may direct the investment of their accounts into various investment options offered by the Plan, including self-directed brokerage accounts.
6. Payment of Benefits: Upon termination of service or attaining normal retirement age, a participant may elect to receive a lump sum amount equal to the value of the participant's vested interest in his or her account. In-service and hardship withdrawals are also allowed as defined in the Plan agreement.
7. Forfeited Accounts: The non-vested portion of former participants' accounts may be used to pay administrative expenses of the Plan or to reduce the amount of future employer contributions. Forfeited accounts approximated \$30,000 and \$67,000 at December 31, 2021 and 2020, respectively. During the Plan year ended December 31, 2021, forfeitures approximating \$95,000 were used to reduce the amount of employer contributions. During 2022, forfeitures totaling \$31,000 were used to reduce the amount of employer contributions allocated at December 31, 2021.

First Urology, P.S.C. 401(k) Profit Sharing Plan
Notes to Financial Statements (Continued)
December 31, 2021 and 2020

Note B - Summary of Significant Accounting Policies

1. Basis of Accounting: The financial statements of the Plan are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("GAAP"). The Accounting Standards Codification ("ASC") as produced by the Financial Accounting Standards Board ("FASB") is the sole source of authoritative GAAP.
2. Use of Estimates: The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and the disclosure of contingent assets and liabilities. Actual results could differ from those estimates.
3. Investment Valuation and Income Recognition: The Plan's investments are reported at fair value (except for fully benefit-responsive investment contracts which are reported at contract value). Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the Plan year.

4. Payments of Benefits: Benefits are recorded when paid.
5. Administrative Expenses: Administrative expenses of the Plan are paid by the Company or Plan participants, as defined in the Plan agreement.
6. Investment Management Fees and Operating Expenses: Investment management fees and operating expenses charged to the Plan for investments in the various funds are deducted from income earned on a daily basis and are reflected as a component of net appreciation (depreciation) in fair values of investments.
7. Subsequent Events: Subsequent events for the Plan have been considered through the date of the Independent Auditor's Report which represents the date the financial statements were available to be issued.

Note C - Certification of Financial Information

The Plan administrator has elected the method of annual reporting compliance permitted by ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, Matrix Trust Company, a qualified institution, has certified to the completeness and accuracy of all investment information reflected on the accompanying Statements of Net Assets Available for Benefits as of December 31, 2021 and 2020, the Schedule of Assets (Held at End of Year) at December 31, 2021, and the related investment income information reflected in the Statement of Changes in Net Assets Available for Benefits for the year ended December 31, 2021.

At the request of the Plan administrator, the Plan's independent auditors did not perform auditing procedures with respect to this certified investment information, except for comparing such certified investment information to the related investment information included in the financial statements.

First Urology, P.S.C. 401(k) Profit Sharing Plan
Notes to Financial Statements (Continued)
December 31, 2021 and 2020

Note D - Fair Value Measurements

FASB ASC 820, *Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

- Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.
- Level 2: Inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.
- Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Self-directed accounts: Accounts primarily consist of certificates of deposit, money market funds, U.S. government securities, corporate bonds, common stocks, preferred stocks, mutual and exchange traded funds, notes receivable, interests in limited liability partnerships, and insurance annuities. Certificates of deposit, money market funds and notes receivable are valued at cost which approximates fair value. U.S. government securities and corporate bonds are valued using pricing models maximizing the use of observable inputs for similar securities. Common stocks, preferred stocks and mutual and exchange traded funds are valued at the closing price reported on the active market on which the individual securities are traded. Interests in limited liability partnerships are valued based on the liquidity of the various partnerships using information provided by the partnerships' capital management company. Insurance annuities are valued at cash surrender value.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

First Urology, P.S.C. 401(k) Profit Sharing Plan
Notes to Financial Statements (Continued)
December 31, 2021 and 2020

Note D - Fair Value Measurements (Continued)

The following table sets forth by level, within the fair value hierarchy, the Plan's assets measured at fair value on a recurring basis at December 31, 2021:

	Level 1	Level 2	Level 3	Total
Self-directed brokerage accounts	\$ 40,842,165	\$ 1,097,670	\$ 1,289,867	\$ 43,229,702
Mutual funds	20,088,055	-	-	20,088,055
Investments, at fair value	<u>\$ 60,930,220</u>	<u>\$ 1,097,670</u>	<u>\$ 1,289,867</u>	<u>\$ 63,317,757</u>

The following table sets forth by level, within the fair value hierarchy, the Plan's assets measured at fair value on a recurring basis December 31, 2020:

	Level 1	Level 2	Level 3	Total
Self-directed brokerage accounts	\$ 34,613,275	\$ 1,387,159	\$ 1,097,875	\$ 37,098,309
Mutual funds	17,167,022	-	-	17,167,022
Investments, at fair value	<u>\$ 51,780,297</u>	<u>\$ 1,387,159</u>	<u>\$ 1,097,875</u>	<u>\$ 54,265,331</u>

Note E - Related Party and Party in Interest Transactions

Certain administrative services are provided by the Company at no cost to the Plan and certain administrative costs incurred by the Plan are paid by the Company. The Plan has entered into various service agreements with parties in interest.

Note F - Income Tax Status

Effective January 1, 2021, and as required under IRC regulations related to Cycle 3, the Plan was restated on a pre-approved plan document sponsored by CCH Incorporated, DBA ftwilliam.com. The Internal Revenue Service ruled on June 30, 2020 that the pre-approved plan, as then designed, was in compliance with the applicable requirements of the IRC. The Plan has been amended since receiving the opinion letter. However, the Plan administrator believes the Plan is being operated in compliance with the applicable requirements of the IRC and therefore, believes that the Plan is qualified, and the related trust is tax exempt.

GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2021 and 2020, there are no uncertain tax positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

First Urology, P.S.C. 401(k) Profit Sharing Plan
Notes to Financial Statements (Continued)
December 31, 2021 and 2020

Note G - Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan, subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

Note H - Risks and Uncertainties

The Plan invests in various investment securities. Investment securities, in general, are exposed to various risks, such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and such changes could materially affect participants' account balances and the amounts reported in the financial statements.

Supplemental Schedule

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
MONEY MARKET									
prcx.x	16,423.810	Federated Hermes Prime Cash Oblig SS	1.00	16,423.79	1.00	16,423.81	0.0	0.02	0.00
fdrx.x	1,382,121.500	Fidelity® Government Cash Reserves	1.00	1,382,121.50	1.00	1,382,121.50	2.2	0.00	0.00
fzax.x	9,943.040	Fidelity® Government MMkt Cptl Rsrvs	1.00	9,943.04	1.00	9,943.04	0.0	0.00	0.00
fzbx.x	72,911.870	Fidelity® Government MMkt Dly Mny	1.00	72,911.87	1.00	72,911.87	0.1	0.00	0.00
fnsx.x	21,717.820	Fidelity® Inv MM Fds Money Market Instl	1.00	21,717.82	1.00	21,717.82	0.0	0.00	0.00
foax.x	118,268.440	Goldman Sachs Financial Square Government Fund FST Admin	1.00	118,268.44	1.00	118,268.44	0.2	0.00	0.00
jgmx.x	45,197.270	JPMorgan US Government MMkt Investor	1.00	45,197.27	1.00	45,197.27	0.1	0.00	0.00
bdpx.o	215,530.720	Morgan Stanley Bank Deposit Program	1.00	215,530.72	1.00	215,530.72	0.3	0.00	0.00
nogx.x	65,561.900	Northern US Government Money Market	1.00	65,561.90	1.00	65,561.90	0.1	0.00	0.00
snsx.x	16,687.170	Schwab US Treasury Money Fund Invs	1.00	16,687.17	1.00	16,687.17	0.0	0.00	0.00
ubmx.o	82,339.800	UBS Money Market Fund	1.00	82,339.80	1.00	82,339.80	0.1	0.00	0.00
				2,046,703.32		2,046,703.34	3.2	0.02	0.00
STOCKS									
txg	21.000	10X Genomics Inc-Class A	156.22	3,280.71	148.96	3,128.16	0.0	-152.55	-4.65
abbv	466.000	Abbvie Inc	102.90	47,950.68	135.40	63,096.40	0.1	15,145.72	31.59
000380204	320.000	Abcam Plc-Spon ADR	19.72	6,309.63	23.55	7,536.00	0.0	1,226.37	19.44
actg	30,000.000	Acacia Research -Acacia Tec	6.82	204,502.70	5.13	153,900.00	0.2	-50,602.70	-24.74
akr	64.000	Acadia Realty Trust	11.12	711.64	21.83	1,397.12	0.0	685.48	96.32
adpt	26.000	Adaptive Biotechnologies	58.15	1,511.97	28.06	729.56	0.0	-782.41	-51.75
00687a107	47.000	Adidas Ag-Spon ADR	151.83	7,135.96	144.00	6,768.00	0.0	-367.96	-5.16
adbe	403.000	Adobe Inc	195.46	78,771.57	567.06	228,525.18	0.4	149,753.61	190.11
aap	62.000	Advance Auto Parts Inc	162.95	10,103.07	239.88	14,872.56	0.0	4,769.49	47.21
00783v104	436.000	Adyen Nv-Unspon ADR	17.62	7,682.02	26.32	11,475.52	0.0	3,793.50	49.38
001317205	246.000	Aia Group Ltd Spon ADR	43.11	10,605.06	40.32	9,919.83	0.0	-685.24	-6.46
apd	24.000	Air Products & Chemicals Inc	273.08	6,553.96	304.26	7,302.24	0.0	748.28	11.42
009279100	213.000	Airbus Group NV	30.56	6,508.28	31.91	6,796.83	0.0	288.55	4.43
alc	352.000	Alcon Inc	64.38	22,662.94	87.12	30,666.24	0.0	8,003.30	35.31
baba	131.000	Alibaba Group Holding-Sp ADR	182.54	23,912.18	118.79	15,561.49	0.0	-8,350.69	-34.92
algn	86.000	Allegro Microsystems Inc	24.80	2,132.70	36.18	3,111.48	0.0	978.78	45.89
all	200.000	Allstate Corp	67.45	13,490.00	117.65	23,530.00	0.0	10,040.00	74.43
googl	72.000	Alphabet Inc CL A	768.69	55,345.97	2,897.04	208,586.88	0.3	153,240.91	276.88
goog	273.000	Alphabet Inc Cl C	865.07	236,163.92	2,893.59	789,950.07	1.2	553,786.15	234.49
02263t104	86.000	Amadeus IT Holding-Unsp ADR	71.04	6,109.32	67.72	5,823.92	0.0	-285.40	-4.67
amzn	78.000	Amazon Com Inc	2,384.99	186,029.47	3,334.34	260,078.52	0.4	74,049.05	39.81
amcx	36.000	AMC Networks Inc A	55.32	1,991.61	34.44	1,239.84	0.0	-751.77	-37.75
aeo	2,557.000	American Eagle Outfitters	27.03	69,111.62	25.32	64,743.24	0.1	-4,368.38	-6.32
aep	721.000	American Electric Power Co	83.65	60,309.56	88.97	64,147.37	0.1	3,837.81	6.36
axp	53.000	American Express Co.	175.68	9,311.04	163.60	8,670.80	0.0	-640.24	-6.88
amt	385.000	American Tower REIT Inc	163.89	63,096.94	292.50	112,612.50	0.2	49,515.56	78.48
awk	81.000	American Water Works Co Inc	157.43	12,751.48	188.86	15,297.66	0.0	2,546.18	19.97
cold	58.000	Americold Realty Trust	35.23	2,043.54	32.79	1,901.82	0.0	-141.72	-6.93
amp	200.000	Ameriprise Financial Inc	132.70	26,539.82	301.66	60,332.00	0.1	33,792.18	127.33
amgn	11.000	Amgen Inc	201.79	2,219.69	224.97	2,474.67	0.0	254.98	11.49
adi	172.000	Analog Devices Inc	103.16	17,743.48	175.77	30,232.44	0.0	12,488.96	70.39
antm	13.000	Anthem Inc	383.53	4,985.95	463.54	6,026.02	0.0	1,040.07	20.86
aapl	3,703.000	Apple Inc	71.71	265,525.52	177.57	657,541.71	1.0	392,016.19	147.64
amat	135.000	Applied Material	63.60	8,585.40	157.36	21,243.60	0.0	12,658.20	147.44
app	33.000	Applovin Corp Class A	61.27	2,021.99	94.26	3,110.58	0.0	1,088.59	53.84
atr	108.000	Aptargroup Inc	77.63	8,384.06	122.48	13,227.84	0.0	4,843.78	57.77
aptv	299.000	Aptiv PLC	92.05	27,524.28	164.95	49,320.05	0.1	21,795.77	79.19

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
asml	38.000	Asml Holding Nv	392.42	14,911.89	796.14	30,253.32	0.0	15,341.43	102.88
azpn	57.000	Aspen Technology Inc	103.77	5,914.62	152.20	8,675.40	0.0	2,760.78	46.68
049255706	146.000	Atlas Copco Ab-Spons Adr A	57.31	8,367.62	69.31	10,119.26	0.0	1,751.64	20.93
team	83.000	Atlassian Corp Plc Cl A	177.48	14,730.76	381.29	31,647.07	0.0	16,916.31	114.84
adsk	164.000	Autodesk Inc	140.89	23,105.15	281.19	46,115.16	0.1	23,010.01	99.59
avlr	41.000	Avalara Inc	170.01	6,970.23	129.11	5,293.51	0.0	-1,676.72	-24.06
avtr	204.000	Avantor Inc	26.90	5,487.48	42.14	8,596.56	0.0	3,109.08	56.66
ava	33.000	Avista Corp	34.23	1,129.52	42.49	1,402.17	0.0	272.65	24.14
azek	148.000	Azek Co Inc/The	38.69	5,725.58	46.24	6,843.52	0.0	1,117.94	19.53
azta	20.000	Azenta Inc	92.71	1,854.15	103.11	2,062.20	0.0	208.05	11.22
bkkt	1,000.000	Bakkt Holdings Inc	35.97	35,965.70	8.51	8,510.00	0.0	-27,455.70	-76.34
bil	39.000	Ball Corp	77.21	3,011.20	96.27	3,754.53	0.0	743.33	24.69
bac	1,437.000	Bank Of America Corp	21.43	30,788.28	44.49	63,932.13	0.1	33,143.85	107.65
bvnk.f	500.000	Bavarian Nordic A/S	8.54	4,271.62	39.20	19,600.00	0.0	15,328.38	358.84
bdx	26.000	Becton Dickinson & Co	246.47	6,408.09	251.48	6,538.48	0.0	130.39	2.03
084670702	182.000	Berkshire Hathaway Inc Cl B	150.41	27,375.34	299.00	54,418.00	0.1	27,042.66	98.78
bby	67.000	Best Buy Co	116.22	7,786.67	101.60	6,807.20	0.0	-979.47	-12.58
tech	118.000	Bio-Techne Corp	118.00	13,924.03	517.34	61,046.12	0.1	47,122.09	338.42
bio	20.000	Bio Rad Labs Inc Cl A	636.08	12,721.55	755.57	15,111.40	0.0	2,389.85	18.79
biib	80.000	Biogen Inc	260.21	20,816.88	239.92	19,193.60	0.0	-1,623.28	-7.80
bjri	68.000	Bj's Restaurants Inc.	38.69	2,631.06	34.55	2,349.40	0.0	-281.66	-10.71
bj	56.000	BJ's Wholesale Club Holdings	43.42	2,431.38	66.97	3,750.32	0.0	1,318.94	54.25
bki	37.000	Black Knight Inc	79.76	2,951.23	82.89	3,066.93	0.0	115.70	3.92
blkb	32.000	Blackbaud Inc	52.62	1,683.98	78.98	2,527.36	0.0	843.38	50.08
blk	88.000	BlackRock 2001 Term Tr Inc	409.03	35,994.46	915.56	80,569.28	0.1	44,574.82	123.84
bx	157.000	Blackstone Inc	53.98	8,475.06	129.39	20,314.23	0.0	11,839.17	139.69
sq	49.000	Block Inc A	196.85	9,645.49	161.51	7,913.99	0.0	-1,731.50	-17.95
bnpqy	276.000	Bnp Paribas Adr	34.21	9,442.45	34.79	9,602.04	0.0	159.59	1.69
bfam	20.000	Bright Horizons Family Solut	153.84	3,076.88	125.88	2,517.60	0.0	-559.28	-18.18
avgo	296.000	Broadcom Ltd	278.74	82,506.04	665.41	196,961.36	0.3	114,455.32	138.72
115637209	659.000	Brown Forman Corp CL B	63.89	42,104.70	72.86	48,014.74	0.1	5,910.04	14.04
brkr	85.000	Bruker Corp	46.62	3,962.36	83.91	7,132.35	0.0	3,169.99	80.00
bmbl	65.000	Bumble Inc-A	47.95	3,116.91	33.86	2,200.90	0.0	-916.01	-29.39
12082w204	223.000	Burberry Group Plc-Spon ADR	24.91	5,555.18	24.56	5,476.88	0.0	-78.30	-1.41
burl	43.000	Burlington Stores Inc	194.46	8,361.99	291.51	12,534.93	0.0	4,172.94	49.90
05606i100	82.000	BYD Co Ltd Unsponsored ADR	69.95	5,735.79	67.50	5,535.00	0.0	-200.79	-3.50
cdns	41.000	Cadence Design Systems Inc	125.07	5,128.07	186.35	7,640.35	0.0	2,512.28	48.99
calx	145.000	Calix Networks Inc	24.45	3,544.91	79.97	11,595.65	0.0	8,050.74	227.11
cpt	18.000	Camden Pty Tr	101.05	1,818.95	178.68	3,216.24	0.0	1,397.29	76.82
cp	117.000	Canadian Pacific Railway	71.51	8,367.00	71.94	8,416.98	0.0	49.98	0.60
cof	26.000	Capital One Financial Corp Com	168.05	4,369.37	145.09	3,772.34	0.0	-597.03	-13.66
cah	164.000	Cardinal Health Inc.	54.62	8,957.96	51.49	8,444.36	0.0	-513.60	-5.73
cvna	30.000	Carvana Co	127.16	3,814.76	231.79	6,953.70	0.0	3,138.94	82.28
casy	22.000	Caseys General Stores	134.00	2,947.90	197.35	4,341.70	0.0	1,393.80	47.28
ctlt	71.000	Catalent Inc	68.78	4,883.67	128.03	9,090.13	0.0	4,206.46	86.13
cat	160.000	Caterpillar Inc	134.01	21,441.18	206.74	33,078.40	0.1	11,637.22	54.28
15117x105	138.000	Cellnex Telecom Sau-Unsp ADR	28.79	3,972.73	28.89	3,986.82	0.0	14.09	0.35
crnc	68.000	Cerence Inc	45.70	3,107.68	76.64	5,211.52	0.0	2,103.84	67.70
crl	47.000	Charles Riv Labs Intl	312.56	14,690.36	376.78	17,708.66	0.0	3,018.30	20.55
schw	74.000	Charles Schwab Corporation	77.24	5,715.63	84.10	6,223.40	0.0	507.77	8.88
chtr	8.000	Charter Communications New Cl A	692.72	5,541.74	651.97	5,215.76	0.0	-325.98	-5.88
cvx	1,124.000	Chevron Corp	89.77	100,901.78	117.35	131,901.40	0.2	30,999.62	30.72
chwy	92.000	Chewy Inc - Class A	42.56	3,915.35	58.97	5,425.24	0.0	1,509.89	38.56
cmg	5.000	Chipotle Mexican Grill - Cl A	1,444.54	7,222.70	1,748.25	8,741.25	0.0	1,518.55	21.02
12545m207	266.000	Chr Hansen Holding -Spon Adr	27.07	7,201.28	19.68	5,234.88	0.0	-1,966.40	-27.31
chd	214.000	Church & Dwight	51.06	10,926.06	102.50	21,935.00	0.0	11,008.94	100.76
csco	836.000	Cisco Systems Inc	35.01	29,267.09	63.37	52,977.32	0.1	23,710.23	81.01
c	360.000	Citigroup Inc	73.77	26,555.92	60.39	21,740.40	0.0	-4,815.52	-18.13
cfg	197.000	Citizens Financial Group	35.15	6,925.03	47.25	9,308.25	0.0	2,383.22	34.41
ctxs	109.000	Citrix Sys Inc	75.48	8,227.64	94.59	10,310.31	0.0	2,082.67	25.31
ko	150.000	Coca-Cola Company	49.98	7,496.67	59.21	8,881.50	0.0	1,384.83	18.47

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
coin	16.000	Coinbase Global Inc -Class A	293.46	4,695.44	252.37	4,037.92	0.0	-657.52	-14.00
cl	600.000	Colgate-Palmolive	54.89	32,931.00	85.34	51,204.00	0.1	18,273.00	55.49
20030n101	1,228.000	Comcast Corp Cl A	44.74	54,946.46	50.33	61,805.24	0.1	6,858.78	12.48
20449x401	325.000	Compass Group Plc-Spon ADR	21.32	6,927.90	23.07	7,497.75	0.0	569.85	8.23
stz	285.000	Constellation Brands Cl. A	195.77	55,793.37	250.97	71,526.45	0.1	15,733.08	28.20
cpvt	60.000	Copart Inc	72.10	4,326.07	151.62	9,097.20	0.0	4,771.13	110.29
csgp	92.000	Costar Group Inc	60.63	5,578.34	79.03	7,270.76	0.0	1,692.42	30.34
cost	92.000	Costco Wholesale Corp-New	323.11	29,726.52	567.70	52,228.40	0.1	22,501.88	75.70
coup	8.000	Coupa Software Inc	232.29	1,858.33	158.05	1,264.40	0.0	-593.93	-31.96
12626k203	153.000	CRH PLC-Sponsored ADR	45.90	7,022.41	52.80	8,078.40	0.0	1,055.99	15.04
crsp	235.000	Crispr Therapeutics AG	70.97	16,678.83	75.78	17,808.30	0.0	1,129.47	6.77
cron	3,000.000	Cronos Group Inc	8.42	25,273.20	3.92	11,760.00	0.0	-13,513.20	-53.47
crwd	23.000	Crowdstrike Holdings Inc - A	269.36	6,195.26	204.75	4,709.25	0.0	-1,486.01	-23.99
cci	300.000	Crown Castle Intl Corp	166.66	49,996.78	208.74	62,622.00	0.1	12,625.22	25.25
ctic	20.000	CTI BioPharma Corp	13.10	262.00	2.48	49.60	0.0	-212.40	-81.07
cmi	21.000	Cummins Inc	235.10	4,937.03	218.14	4,580.94	0.0	-356.09	-7.21
cvs	65.000	CVS Health Corp	72.83	4,734.24	103.16	6,705.40	0.0	1,971.16	41.64
cybr	13.000	Cyber-Ark Software Ltd/Israe	166.54	2,165.08	173.28	2,252.64	0.0	87.56	4.04
dhr	756.000	Danaher Corp Del	99.50	75,220.61	329.01	248,731.56	0.4	173,510.95	230.67
237545108	167.000	Dassault Sys S.A.-ADR	36.69	6,127.88	59.68	9,966.56	0.0	3,838.68	62.64
ddog	62.000	Datadog Inc Class A	53.84	3,337.89	178.11	11,042.82	0.0	7,704.93	230.83
deck	12.000	Deckers Outdoor Corp	279.38	3,352.56	366.31	4,395.72	0.0	1,043.16	31.12
de	92.000	Deere & Co.	247.00	22,724.22	342.89	31,545.88	0.0	8,821.66	38.82
dal	400.000	Delta Air Lines Inc	25.83	10,330.96	39.08	15,632.00	0.0	5,301.04	51.31
deo	45.000	Diageo Plc Sposored ADR New	149.47	6,726.07	220.14	9,906.30	0.0	3,180.23	47.28
dhr	50.000	Digital Realty Trust Inc	144.56	7,228.05	176.87	8,843.50	0.0	1,615.45	22.35
disca	266.000	Discovery Inc A	24.32	6,467.88	23.54	6,261.64	0.0	-206.24	-3.19
dish	177.000	Dish Network Corp	43.15	7,636.84	32.44	5,741.88	0.0	-1,894.96	-24.81
dis	1,670.000	Disney Walt Co	128.44	214,491.61	154.89	258,666.30	0.4	44,174.69	20.60
docu	106.000	DocuSign Inc	215.49	22,842.00	152.31	16,144.86	0.0	-6,697.14	-29.32
dlb	55.000	Dolby Laboratories Inc A	54.54	2,999.47	95.22	5,237.10	0.0	2,237.63	74.60
266043108OLD	2,000.000	Duoyuan Global Water Inc-ADR (delisted 11/23/15)	0.15	300.00	0.00	0.00	0.0	-300.00	-100.00
drxx	5,000.000	Durect Corp	1.12	5,600.00	0.99	4,929.50	0.0	-670.50	-11.97
dt	100.000	Dynatrace Inc	38.91	3,891.46	60.35	6,035.00	0.0	2,143.54	55.08
ewbc	60.000	East West Bancorp Inc	42.11	2,526.42	78.68	4,720.80	0.0	2,194.38	86.86
egp	16.000	Eastgroup Properties	141.57	2,265.18	227.85	3,645.60	0.0	1,380.42	60.94
emn	82.000	Eastman Chemical Co.	97.60	8,002.89	120.91	9,914.62	0.0	1,911.73	23.89
etn	114.000	Eaton Corp PLC	155.61	17,739.08	172.82	19,701.48	0.0	1,962.40	11.06
ecl	22.000	Ecolab Inc	207.25	4,559.49	234.59	5,160.98	0.0	601.49	13.19
eix	70.000	Edison Intl	55.95	3,916.42	68.25	4,777.50	0.0	861.08	21.99
ew	480.000	Edwards Lifesciences	45.65	21,912.52	129.55	62,184.00	0.1	40,271.48	183.78
elan	888.000	Elanco Animal Health Inc	23.27	20,663.23	28.38	25,201.44	0.0	4,538.21	21.96
estc	43.000	Elastic Nv	108.36	4,659.39	123.09	5,292.87	0.0	633.48	13.60
268353109	129.000	Electric De Portugal	52.35	6,752.95	54.77	7,065.33	0.0	312.38	4.63
ea	421.000	Electronic Arts	78.53	33,060.99	131.90	55,529.90	0.1	22,468.91	67.96
lly	338.000	Eli Lilly & Company	179.64	60,717.99	276.22	93,362.36	0.1	32,644.37	53.76
emr	48.000	Emerson Electric Co	92.57	4,443.36	92.97	4,462.56	0.0	19.20	0.43
enph	16.000	Enphase Energy Inc	183.56	2,937.00	182.94	2,927.04	0.0	-9.96	-0.34
entg	43.000	Entegris Inc	69.62	2,993.47	138.58	5,958.94	0.0	2,965.47	99.06
eqix	19.000	Equinix Inc	509.21	9,675.07	845.84	16,070.96	0.0	6,395.89	66.11
294821608	1,479.000	Ericsson L M Telephone Co ADR B	10.18	15,051.61	10.87	16,076.73	0.0	1,025.12	6.81
296036304	484.000	Erste Bk Der Oester Spar ADR	11.92	5,768.91	23.90	11,567.60	0.0	5,798.69	100.52
wtrg	29.000	Essential Utilities Inc	42.57	1,234.39	53.69	1,557.01	0.0	322.62	26.14
297284200	36.000	Essilor Intl - ADR	102.12	3,676.21	106.91	3,848.76	0.0	172.55	4.69
etsy	64.000	Etsy Inc	210.95	13,500.56	218.94	14,012.16	0.0	511.60	3.79
re	27.000	Everest Re Group Ltd	244.55	6,602.77	273.92	7,395.84	0.0	793.07	12.01
expe	80.000	Expedia Group Inc	145.09	11,607.30	180.72	14,457.60	0.0	2,850.30	24.56
xom	400.000	Exxon-Mobil Corporation	87.53	35,011.94	61.19	24,476.00	0.0	-10,535.94	-30.09
fds	59.000	Factset Resh System Inc.	175.14	10,333.55	486.01	28,674.59	0.0	18,341.04	177.49
307305102	306.000	Fanuc Ltd Unsp ADR	24.54	7,507.93	21.29	6,514.74	0.0	-993.19	-13.23
fast	170.000	Fastenal Co	44.39	7,546.00	64.06	10,890.20	0.0	3,344.20	44.32

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Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
fdx	102.000	Fedex Corp	197.28	20,122.79	258.64	26,381.28	0.0	6,258.49	31.10
fgpr	100.000	Ferrellgas Partners-LP	448.52	44,852.20	14.69	1,469.00	0.0	-43,383.20	-96.72
fis	80.000	Fidelity National Information	134.29	10,743.45	109.15	8,732.00	0.0	-2,011.45	-18.72
frc	42.000	First Republic Bank/San Fran	108.29	4,548.32	206.51	8,673.42	0.0	4,125.10	90.69
fslr	20.000	First Solar Inc	87.62	1,752.36	87.16	1,743.20	0.0	-9.16	-0.52
five	18.000	Five Below	193.80	3,488.41	206.89	3,724.02	0.0	235.61	6.75
fiiv	530.000	Five9 Inc	147.92	78,398.74	137.32	72,779.60	0.1	-5,619.14	-7.17
flex	534.000	Flextronics Ltd	14.97	7,994.47	18.33	9,788.22	0.0	1,793.75	22.44
flux	23,121.000	Flux Power Holdings Inc	9.11	210,569.43	4.29	99,189.09	0.2	-111,380.34	-52.89
f	1,968.000	Ford Motor Co New	20.32	39,984.45	20.77	40,875.36	0.1	890.91	2.23
ftnt	48.000	Fortinet Inc	73.99	3,551.61	359.40	17,251.20	0.0	13,699.59	385.73
ftv	484.000	Fortive Corp	56.42	27,309.21	76.29	36,924.36	0.1	9,615.15	35.21
fcx	236.000	Freeport-Mcmoran Inc	16.21	3,825.91	41.73	9,848.28	0.0	6,022.37	157.41
frpt	11.000	Freshpet Inc	128.66	1,415.21	95.27	1,047.97	0.0	-367.24	-25.95
gd	56.000	General Dynamics Corp	135.37	7,580.98	208.47	11,674.32	0.0	4,093.34	53.99
ge	136.000	General Electric Co	151.74	20,636.81	94.47	12,847.92	0.0	-7,788.89	-37.74
gis	89.000	General Mills	60.41	5,376.83	67.38	5,996.82	0.0	619.99	11.53
gpc	175.000	Genuine Parts Co.	97.25	17,018.77	140.20	24,535.00	0.0	7,516.23	44.16
gnw	8,437.000	Genworth Financial Inc Cl A	3.68	31,041.41	4.05	34,169.85	0.1	3,128.44	10.08
gild	376.000	Gilead Sciences Inc	72.77	27,363.02	72.61	27,301.36	0.0	-61.66	-0.23
37636p108	55.000	Givaudan Unspn ADR	62.46	3,435.27	104.91	5,770.05	0.0	2,334.78	67.96
gs	74.000	Goldman Sachs Group Inc	250.82	18,560.68	382.55	28,308.70	0.0	9,748.02	52.52
gbdc	240.000	Golub Capital Bdc Inc	18.40	4,414.80	15.44	3,705.60	0.0	-709.20	-16.06
gww	81.000	Grainger W W	237.99	19,277.12	518.24	41,977.44	0.1	22,700.32	117.76
gh	117.000	Guardant Health Inc	90.18	10,551.01	100.02	11,702.34	0.0	1,151.33	10.91
gxo	48.000	GXO Logistics Inc	47.67	2,288.18	90.83	4,359.84	0.0	2,071.66	90.54
hbi	101.000	Hanesbrands Inc	13.43	1,356.41	16.72	1,688.72	0.0	332.32	24.50
hasi	25.000	Hannon Armstrong Sustainable	55.11	1,377.73	53.12	1,328.00	0.0	-49.73	-3.61
thg	22.000	Hanover Insurance Group Inc	103.79	2,283.42	131.06	2,883.32	0.0	599.90	26.27
40415f101	104.000	HDFC Bank Ltd ADR	63.36	6,589.46	65.07	6,767.28	0.0	177.82	2.70
jkhy	165.000	Henry Jack & Assoc Inc	102.32	16,882.16	166.99	27,553.35	0.0	10,671.19	63.21
htgc	300.000	Hercules Capital Inc	14.53	4,357.80	16.59	4,977.00	0.0	619.20	14.21
hxl	146.000	Hexcel Corp New	42.37	6,185.32	51.80	7,562.80	0.0	1,377.48	22.27
hiw	136.000	Highwoods Pptys Inc	42.70	5,807.48	44.59	6,064.24	0.0	256.76	4.42
hd	512.000	Home Depot Incorporated	108.24	55,419.07	415.01	212,485.12	0.3	157,066.05	283.42
hon	361.000	Honeywell International	168.26	60,743.28	208.51	75,272.11	0.1	14,528.83	23.92
hmn	46.000	Horace Mann Educatr Cp	36.90	1,697.48	38.70	1,780.20	0.0	82.72	4.87
hrl	72.000	Hormel Foods	37.93	2,730.83	48.81	3,514.32	0.0	783.49	28.69
hst	58.000	Host Hotels & Resorts Inc	11.67	677.05	17.39	1,008.62	0.0	331.57	48.97
hocpy	57.000	Hoya Corp-Sponsored ADR	95.70	5,455.13	149.57	8,525.49	0.0	3,070.36	56.28
hubs	36.000	Hubspot Inc	595.78	21,448.12	659.15	23,729.40	0.0	2,281.28	10.64
jbht	22.000	Hunt Jb Trans Svc Inc	128.76	2,832.70	204.40	4,496.80	0.0	1,664.10	58.75
iclr	39.000	Icon Plc	157.75	6,152.14	309.70	12,078.30	0.0	5,926.16	96.33
iex	35.000	Idexx Corp	159.83	5,594.19	236.32	8,271.20	0.0	2,677.01	47.85
idxx	33.000	Idexx Laboratories Inc	357.26	11,789.65	658.46	21,729.18	0.0	9,939.53	84.31
infoOLD	126.000	IHS Markit LTD (spg) 02/28/22)	66.67	8,400.91	132.92	16,747.92	0.0	8,347.01	99.36
itw	573.000	Illinois Tool Works Inc.	80.73	46,257.46	246.80	141,416.40	0.2	95,158.94	205.72
imgn	139.000	Immunogen Inc.	6.97	969.48	7.42	1,031.38	0.0	61.90	6.39
455793109	319.000	Inditex-Unspn ADR	16.17	5,157.00	16.19	5,164.29	0.0	7.29	0.14
45662n103	189.000	Infineon Technologies	31.73	5,997.15	46.00	8,694.00	0.0	2,696.85	44.97
ngvt	30.000	Ingevity Corp	66.05	1,981.45	71.70	2,151.00	0.0	169.55	8.56
podd	17.000	Insulet Corp	263.84	4,485.21	266.07	4,523.19	0.0	37.98	0.85
intc	1,641.000	Intel Corporation	34.76	57,035.09	51.50	84,511.50	0.1	27,476.41	48.17
ice	500.000	Intercontinental Exchange Inc	61.65	30,822.50	136.77	68,385.00	0.1	37,562.50	121.87
ipg	309.000	Interpublic Group Co	25.75	7,957.85	37.45	11,572.05	0.0	3,614.20	45.42
46115h107	266.000	Intesa Sanpaolo - Spon ADR	17.15	4,561.08	15.63	4,156.38	0.0	-404.70	-8.87
intu	16.000	Intuit	359.79	5,756.58	643.22	10,291.52	0.0	4,534.94	78.78
isrg	31.000	Intuitive Surgical	343.81	10,658.18	359.30	11,138.30	0.0	480.12	4.50
ions	175.000	Ionis Pharmaceuticals Inc	38.49	6,736.19	30.43	5,325.25	0.0	-1,410.94	-20.95
ipgp	20.000	IPG Photonics Corp	191.12	3,822.36	172.14	3,442.80	0.0	-379.56	-9.93
iqv	61.000	IQVIA Holdings Inc	138.85	8,469.80	282.14	17,210.54	0.0	8,740.74	103.20
itri	148.000	Itron Inc	60.12	8,897.35	68.52	10,140.96	0.0	1,243.61	13.98

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
jef	234.000	Jefferies Financial Group Inc	29.53	6,909.03	38.80	9,079.20	0.0	2,170.17	31.41
jnj	104.000	Johnson & Johnson	159.86	16,625.79	171.07	17,791.28	0.0	1,165.49	7.01
jci	208.000	Johnson Controls International PLC	41.21	8,571.80	81.31	16,912.48	0.0	8,340.68	97.30
jll	36.000	Jones Lang Lasalle Inc	146.04	5,257.53	269.34	9,696.24	0.0	4,438.71	84.43
jpm	276.000	JPMorgan Chase & Co	85.25	23,528.85	158.35	43,704.60	0.1	20,175.75	85.75
kpht	8,365.000	Katapult Holdings Inc	5.05	42,218.16	3.37	28,190.05	0.0	-14,028.11	-33.23
48241f104	114.000	Kbc Groep Nv-Unsp Adr	36.81	4,196.57	43.10	4,913.40	0.0	716.83	17.08
key	390.000	Keycorp	16.48	6,427.32	23.13	9,020.70	0.0	2,593.38	40.35
keys	37.000	Keysight Technologies Inc	97.03	3,590.07	206.51	7,640.87	0.0	4,050.80	112.83
knx	373.000	Knight-Swift Transportation Holdings Inc	25.06	9,348.96	60.94	22,730.62	0.0	13,381.66	143.14
phg	196.000	Koninklijke Philips El	50.99	9,994.32	36.85	7,222.60	0.0	-2,771.72	-27.73
502117203	109.000	L Oreal Co Adr	61.42	6,695.16	95.42	10,400.78	0.0	3,705.62	55.35
lhx	62.000	L3Harris Technologies Inc	125.71	7,793.89	213.24	13,220.88	0.0	5,426.99	69.63
lh	38.000	Laboratory Corp Of America Holdings	221.71	8,424.99	314.21	11,939.98	0.0	3,514.99	41.72
lw	40.000	Lamb Weston Holdings Inc	69.79	2,791.58	63.38	2,535.20	0.0	-256.38	-9.18
524671104	338.000	Legrand Sa-Unsp ADR	16.49	5,572.34	23.36	7,895.68	0.0	2,323.34	41.69
lhcg	19.000	LHC Group Inc.	212.24	4,032.60	137.23	2,607.37	0.0	-1,425.23	-35.34
lbrda	9.000	Liberty Broadband - A	82.15	739.32	160.90	1,448.10	0.0	708.79	95.87
lbrdk	27.000	Liberty Broadband - C	88.26	2,383.13	161.10	4,349.70	0.0	1,966.57	82.52
fwona	17.000	Liberty Media Group Liberty Formula One A	26.23	445.87	59.34	1,008.78	0.0	562.92	126.25
fwonk	8.000	Liberty Media Group Liberty Formula One C	22.85	182.78	63.24	505.92	0.0	323.14	176.79
lsxmk	75.000	Liberty Sirius Group - C	34.94	2,620.79	50.85	3,813.75	0.0	1,192.96	45.52
lsxma	35.000	Liberty SiriusXM Group	33.65	1,177.88	50.85	1,779.75	0.0	601.87	51.10
leco	28.000	Lincoln Electric Cl A	110.03	3,080.75	139.47	3,905.16	0.0	824.41	26.76
lin	469.000	Linde PLC	170.80	80,103.53	346.43	162,475.67	0.3	82,372.14	102.83
lac	2,000.000	Lithium Americas Corp	8.58	17,159.00	29.12	58,240.00	0.1	41,081.00	239.41
lyv	84.000	Live Nation Entertainment Inc	52.31	4,394.14	119.69	10,053.96	0.0	5,659.82	128.80
lkq	58.000	Lkq Corp	36.28	2,104.31	60.03	3,481.74	0.0	1,377.43	65.46
lmt	73.000	Lockheed Martin Corp	358.93	26,201.91	355.41	25,944.93	0.0	-256.98	-0.98
54211n101	587.000	London Stock Exchg-Unsp ADR	26.32	15,448.61	23.59	13,847.33	0.0	-1,601.28	-10.37
ride	5,000.000	Lordstown Motors Corp	7.23	36,149.50	3.45	17,250.00	0.0	-18,899.50	-52.28
low	105.000	Lowes Co Inc	120.51	12,653.40	258.48	27,140.40	0.0	14,487.00	114.49
lpla	26.000	LPL Financial Holdings Inc	82.68	2,149.75	160.09	4,162.34	0.0	2,012.59	93.62
ltc	33.000	Ltc Properties Inc	34.26	1,130.53	34.14	1,126.62	0.0	-3.91	-0.35
lite	111.000	Lumentum Holdings Inc	85.90	9,534.43	105.77	11,740.47	0.0	2,206.04	23.14
502441306	61.000	Lvmh Moet Hennessy Adr	101.01	6,161.38	165.50	10,095.50	0.0	3,934.12	63.85
lyft	168.000	Lyft Inc A	57.05	9,584.34	42.73	7,178.64	0.0	-2,405.70	-25.10
lyb	62.000	Lyondellbasell Indus A	94.10	5,834.07	92.23	5,718.26	0.0	-115.81	-1.99
mbvxq	2.000	MabVax Therapeutics Holdings Inc	264.04	528.08	0.00	0.00	0.0	-528.08	-100.00
man	20.000	ManpowerGroup	90.49	1,809.76	97.33	1,946.60	0.0	136.84	7.56
mktw	11,095.000	MarketWise Inc	9.16	101,665.10	7.54	83,656.30	0.1	-18,008.80	-17.71
mmc	25.000	Marsh & McLennan Inc.	157.24	3,931.00	173.82	4,345.50	0.0	414.50	10.54
mlm	26.000	Martin Marietta Materials	271.85	7,068.09	440.52	11,453.52	0.0	4,385.43	62.05
mrvi	895.000	Marvell Technology Group Ltd	38.55	34,498.64	87.49	78,303.55	0.1	43,804.91	126.98
mtz	300.000	Mastec Inc	67.46	20,236.75	92.28	27,684.00	0.0	7,447.25	36.80
ma	274.000	Mastercard Inc A	267.82	73,383.09	359.32	98,453.68	0.2	25,070.59	34.16
mtch	77.000	Match Group Inc	149.87	11,539.96	132.25	10,183.25	0.0	-1,356.71	-11.76
mcd	108.000	Mcdonalds Corp	166.44	17,975.52	268.07	28,951.56	0.0	10,976.04	61.06
mux	50,000.000	McEwen Mining Inc	1.29	64,329.00	0.89	44,325.00	0.1	-20,004.00	-31.10
mdc	114.000	MDC Holding Inc	54.93	6,261.75	55.83	6,364.62	0.0	102.87	1.64
mdt	707.000	Medtronic PLC	86.03	60,820.10	103.45	73,139.15	0.1	12,319.05	20.25
meli	3.000	Mercadolibre Inc	724.02	2,172.06	1,348.40	4,045.20	0.0	1,873.14	86.24
mrk	497.000	Merck & Company Inc	63.79	31,701.25	76.64	38,090.08	0.1	6,388.83	20.15
mth	27.000	Meritage Homes Corp	86.38	2,332.23	122.06	3,295.62	0.0	963.39	41.31
fb	749.000	Meta Platforms Inc	209.98	157,273.73	336.35	251,926.15	0.4	94,652.42	60.18
mtd	8.000	Mettler Toledo Intl	718.18	5,745.42	1,697.21	13,577.68	0.0	7,832.26	136.32
msft	1,854.000	Microsoft Corporation	107.25	198,844.54	336.32	623,537.28	1.0	424,692.74	213.58

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
midd	18.000	Middleby Corp	123.19	2,217.40	196.76	3,541.68	0.0	1,324.28	59.72
mtx	46.000	Minerals Technologies	61.00	2,806.15	73.15	3,364.90	0.0	558.75	19.91
mpwr	30.000	Monolithic Power Sys Inc	277.52	8,325.45	493.33	14,799.90	0.0	6,474.45	77.77
61022v107	142.000	Monotaro Co Ltd - Unsp ADR	19.41	2,755.61	18.02	2,558.13	0.0	-197.48	-7.17
mnst	146.000	Monster Beverage Corp	67.17	9,806.41	96.04	14,021.84	0.0	4,215.43	42.99
msi	66.000	Motorola Solutions Inc	204.37	13,488.15	271.70	17,932.20	0.0	4,444.05	32.95
msa	14.000	MSA Safety Inc	143.21	2,004.95	150.96	2,113.44	0.0	108.49	5.41
msm	46.000	Msc Indl Direct Inc Class A	59.58	2,740.83	84.06	3,866.76	0.0	1,125.93	41.08
msci	29.000	MSCI Inc	424.31	12,304.96	612.69	17,768.01	0.0	5,463.05	44.40
nnom.f	35,000.000	Nano One Materials Corp	3.76	131,495.80	2.41	84,220.53	0.1	-47,275.27	-35.95
nstg	18.000	Nanostring Technologies Inc	39.43	709.74	42.23	760.14	0.0	50.40	7.10
641069406	424.000	Nestle SA Sponsored ADR Registered (NSRGY)	77.49	32,857.33	140.37	59,516.88	0.1	26,659.55	81.14
ntap	117.000	Netapp Inc	61.33	7,175.10	91.99	10,762.83	0.0	3,587.73	50.00
nflx	31.000	Netflix Inc	573.70	17,784.68	602.44	18,675.64	0.0	890.96	5.01
64132b102	556.000	Network Int Hold-Unsp ADR	5.25	2,916.59	3.93	2,185.08	0.0	-731.51	-25.08
nyt	68.000	New York Times Co	40.24	2,736.35	48.30	3,284.40	0.0	548.05	20.03
nee	785.000	Nextera Energy Inc	78.68	61,761.76	93.36	73,287.60	0.1	11,525.84	18.66
653656108	14.000	NICE Systems Ltd-Spons ADR	309.01	4,326.15	303.60	4,250.40	0.0	-75.75	-1.75
654090109	172.000	Nidec Corp Sponsored ADR	25.57	4,397.97	29.51	5,075.72	0.0	677.75	15.41
nke	416.000	Nike Inc.	121.63	50,597.35	166.67	69,334.72	0.1	18,737.37	37.03
nsc	120.000	Norfolk Southern Co.	206.95	24,834.29	297.71	35,725.20	0.1	10,890.91	43.85
nvs	400.000	Novartis Ag Sponsored Adr	59.25	23,698.69	87.47	34,988.00	0.1	11,289.31	47.64
nvo	88.000	Novo-Nordisk As	60.58	5,331.30	112.00	9,856.00	0.0	4,524.70	84.87
ntr	176.000	Nutrien Ltd	56.65	9,969.71	75.20	13,235.20	0.0	3,265.49	32.75
nvda	1,154.000	Nvidia Corp	75.63	87,275.95	294.11	339,402.94	0.5	252,126.99	288.88
nxpi	56.000	NXP Semiconductors Nv	155.42	8,703.53	227.78	12,755.68	0.0	4,052.15	46.56
nymx	4,444.000	Nymox Pharmaceutical Corp	3.48	15,466.55	1.30	5,777.20	0.0	-9,689.35	-62.65
orly	75.000	O Reilly Automotive Inc	260.45	19,533.44	706.23	52,967.25	0.1	33,433.81	171.16
674488101	47.000	Ocado Group Plc - Sp Adr	64.62	3,037.22	45.41	2,134.27	0.0	-902.95	-29.73
ori	353.000	Old Republic International Corp.	20.58	7,266.15	24.58	8,676.74	0.0	1,410.59	19.41
omcl	30.000	Omniceil Inc	93.21	2,796.33	180.44	5,413.20	0.0	2,616.87	93.58
omc	119.000	Omnicom Group	77.54	9,227.36	73.27	8,719.13	0.0	-508.23	-5.51
omf	104.000	Onemain Holdings Inc	59.64	6,202.64	50.04	5,204.16	0.0	-998.48	-16.10
oke	106.000	Oneok Inc	55.49	5,881.88	58.76	6,228.56	0.0	346.68	5.89
opk	2,000.000	Opko Health Inc	5.70	11,397.20	4.81	9,620.00	0.0	-1,777.20	-15.59
orcl	371.000	Oracle Corp	50.38	18,689.75	87.21	32,354.91	0.1	13,665.16	73.12
ora	31.000	Ormat Technologies Inc	72.20	2,238.26	79.30	2,458.30	0.0	220.04	9.83
68750102	104.000	Orsted A/S-Unsp ADR	50.10	5,210.86	42.73	4,443.92	0.0	-766.94	-14.72
otis	52.000	Otis Worldwide Corp	81.73	4,249.96	87.07	4,527.64	0.0	277.68	6.53
pcrx	499.000	Pacira BioSciences Inc	101.83	50,811.05	60.17	30,024.83	0.0	-20,786.22	-40.91
panw	62.000	Palo Alto Networks Inc	215.97	13,390.08	556.76	34,519.12	0.1	21,129.04	157.80
pcty	30.000	Paylocity Holding Corp	167.13	5,013.76	236.16	7,084.80	0.0	2,071.04	41.31
pypl	670.000	Paypal Holdings Inc	180.50	120,936.93	188.58	126,348.60	0.2	5,411.67	4.47
pnr	32.000	Pentair PLC	40.82	1,306.27	73.03	2,336.96	0.0	1,030.69	78.90
pen	7.000	Penumbra Inc	253.18	1,772.29	287.32	2,011.24	0.0	238.95	13.48
pep	328.000	Pepsico Inc	79.46	26,061.64	173.71	56,976.88	0.1	30,915.24	118.62
pfgc	107.000	Performance Food Group Co	36.12	3,864.54	45.89	4,910.23	0.0	1,045.69	27.06
woof	167.000	Petco Health And Wellness Co	21.54	3,596.41	19.79	3,304.93	0.0	-291.48	-8.10
pets	1,000.000	Petmed Express Inc	36.43	36,434.60	25.26	25,260.00	0.0	-11,174.60	-30.67
pfe	519.000	Pfizer Incorporated	34.23	17,765.46	59.05	30,646.95	0.0	12,881.49	72.51
pins	145.000	Pinterest Inc- Class A	36.80	5,336.22	36.35	5,270.75	0.0	-65.47	-1.23
pxd	23.000	Pioneer Natural Resources Co	138.45	3,184.32	181.88	4,183.24	0.0	998.92	31.37
pnc	150.000	Pnc Financial Svc Group	102.13	15,319.58	200.52	30,078.00	0.0	14,758.42	96.34
por	108.000	Portland General Electric Co	45.57	4,921.24	52.92	5,715.36	0.0	794.12	16.14
ppg	29.000	PPG Industries Inc	155.53	4,510.25	172.44	5,000.76	0.0	490.51	10.88
pg	236.000	Procter & Gamble Co	90.14	21,272.08	163.58	38,604.88	0.1	17,332.80	81.48
pgr	31.000	Progressive Corp	90.93	2,818.83	102.65	3,182.15	0.0	363.32	12.89
peg	315.000	Public Service Enterprise Group Inc.	47.90	15,088.84	66.73	21,019.95	0.0	5,931.11	39.31
psa	11.000	Public Storage	247.22	2,719.42	374.56	4,120.16	0.0	1,400.74	51.51
qcom	234.000	Qualcomm	63.50	14,858.45	182.87	42,791.58	0.1	27,933.13	187.99

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
pwr	41.000	Quanta Svcs Inc	66.23	2,715.30	114.66	4,701.06	0.0	1,985.76	73.13
rtx	99.000	Raytheon Technologies Corp	107.27	10,619.84	86.06	8,519.94	0.0	-2,099.90	-19.77
roll	21.000	RBC Bearings Inc	169.86	3,567.12	201.97	4,241.37	0.0	674.25	18.90
75629j101	1,347.000	Recruit Holdings Co Ltd-ADR	9.40	12,666.14	12.18	16,406.46	0.0	3,740.32	29.53
rf	338.000	Regions Finl Corp Com	21.34	7,212.70	21.80	7,368.40	0.0	155.70	2.16
759351604	17.000	Reinsurance Group of America A	106.44	1,809.48	109.49	1,861.33	0.0	51.85	2.87
760125104	174.000	Rentokil Initial Plc Spon ADR	26.31	4,577.52	40.13	6,982.62	0.0	2,405.10	52.54
rsg	300.000	Republic Svcs Inc	95.46	28,636.96	139.45	41,835.00	0.1	13,198.04	46.09
771195104	319.000	Roche Hldg Ltd -Spons ADR	39.94	12,739.98	51.69	16,489.11	0.0	3,749.13	29.43
rok	229.000	Rockwell International	168.02	38,475.68	348.85	79,886.65	0.1	41,410.97	107.63
rog	13.000	Rogers Corp	135.41	1,760.36	273.00	3,549.00	0.0	1,788.64	101.61
rop	60.000	Roper Technologies Inc	180.69	10,841.40	491.86	29,511.60	0.0	18,670.20	172.21
rost	339.000	Ross Stores Inc	72.11	24,445.31	114.28	38,740.92	0.1	14,295.61	58.48
ry	138.000	Royal Bank Of Canada	61.51	8,489.04	106.14	14,647.32	0.0	6,158.28	72.54
780249108	166.000	Royal DSM NV Spons ADR	43.60	7,236.78	56.61	9,397.26	0.0	2,160.48	29.85
crm	310.000	Salesforce.Com Inc	203.43	63,063.93	254.13	78,780.30	0.1	15,716.37	24.92
sasr	38.000	Sandy Spring Bancorp Inc	51.00	1,938.18	48.08	1,827.04	0.0	-111.14	-5.73
803054204	70.000	Sap Aktiengesellschaft ADR	117.11	8,197.65	140.11	9,807.70	0.0	1,610.05	19.64
sbac	24.000	SBA Communications Corp	198.87	4,772.98	389.02	9,336.48	0.0	4,563.50	95.61
slb	201.000	Schlumberger Ltd	32.64	6,560.98	29.95	6,019.95	0.0	-541.03	-8.25
80687p106	195.000	Schneider Elect Sa ADR	26.86	5,238.50	39.21	7,645.95	0.0	2,407.45	45.96
ssp	1,000.000	Scripps E W Co Ohio Cl A	17.80	17,799.24	19.35	19,350.00	0.0	1,550.76	8.71
81141r100	59.000	Sea Ltd-ADR	231.94	13,684.29	223.71	13,198.89	0.0	-485.40	-3.55
stx	215.000	Seagate Technology	44.61	9,590.18	112.98	24,290.70	0.0	14,700.52	153.29
sre	68.000	Sempra	124.26	8,449.80	132.28	8,995.04	0.0	545.24	6.45
sxt	20.000	Sensient Tech Corp	105.43	2,108.60	100.06	2,001.20	0.0	-107.40	-5.09
now	94.000	Servicenow Inc	530.79	49,893.94	649.11	61,016.34	0.1	11,122.40	22.29
shak	200.000	Shake Shack Inc - Class A	60.37	12,073.95	72.16	14,432.00	0.0	2,358.05	19.53
824841407	87.000	Shiseido Ltd Sp ADR	68.96	5,999.73	55.87	4,860.43	0.0	-1,139.30	-18.99
swks	106.000	Skyworks Solutions Inc	83.20	8,819.61	155.14	16,444.84	0.0	7,625.23	86.46
78445w306	201.000	SMC Corp-Sponsored ADR	28.50	5,728.07	33.89	6,811.09	0.0	1,083.01	18.91
aos	26.000	Smith A O	54.78	1,424.16	85.85	2,232.10	0.0	807.94	56.73
snap	379.000	Snap Inc - A	49.67	18,823.38	47.03	17,824.37	0.0	-999.01	-5.31
sna	28.000	Snap On Inc	216.63	6,065.53	215.38	6,030.64	0.0	-34.89	-0.58
son	37.000	Sonoco Products Co	54.98	2,034.25	57.89	2,141.93	0.0	107.68	5.29
splk	167.000	Splunk Inc	106.57	17,797.95	115.72	19,325.24	0.0	1,527.29	8.58
cxm	4,538.000	Sprinklr Inc-A	22.29	101,155.08	15.87	72,018.06	0.1	-29,137.02	-28.80
stag	106.000	Stag Industrial Inc	35.50	3,763.38	47.96	5,083.76	0.0	1,320.38	35.08
swk	150.000	Stanley Black and Decker Inc	180.88	27,132.25	188.62	28,293.00	0.0	1,160.75	4.28
sbux	759.000	Starbucks Corp.	59.94	45,491.93	116.97	88,780.23	0.1	43,288.30	95.16
ste	66.000	Steris PLC	171.57	11,323.60	243.41	16,065.06	0.0	4,741.46	41.87
stl2OLD	91.000	Sterling Bancorp (wbs 02/01/22)	17.93	1,631.93	25.79	2,346.89	0.0	714.96	43.81
stvn	144.000	Stevanato Group Spa	19.65	2,829.44	22.45	3,232.80	0.0	403.36	14.26
sf	31.000	Stifel Financial Corp	43.95	1,362.40	70.42	2,183.02	0.0	820.62	60.23
sfix	69.000	Stitch Fix Inc Class A	43.65	3,011.86	18.92	1,305.48	0.0	-1,706.38	-56.66
sybt	900.000	Stock Yards Bancorp Inc	22.23	20,006.52	63.88	57,492.00	0.1	37,485.48	187.37
sivb	19.000	SVB Financial Group	329.53	6,261.14	678.24	12,886.56	0.0	6,625.42	105.82
synh	137.000	Syneos Health Inc	56.26	7,708.26	102.68	14,067.16	0.0	6,358.90	82.49
syy	355.000	Sysco Corp	74.83	26,564.79	78.55	27,885.25	0.0	1,320.46	4.97
tmus	721.000	T-Mobile US Inc	49.66	35,807.65	115.98	83,621.58	0.1	47,813.93	133.53
trw	72.000	T Rowe Price & Assoc Inc	116.98	8,422.32	196.64	14,158.08	0.0	5,735.76	68.10
tsm	73.000	Taiwan Semiconductor-Sp ADR	88.61	6,468.82	120.31	8,782.63	0.0	2,313.81	35.77
tgt	267.000	Target Corp	88.51	23,632.83	231.44	61,794.48	0.1	38,161.65	161.48
trp	77.000	TC Energy Corp	51.28	3,948.78	46.54	3,583.58	0.0	-365.20	-9.25
tel	286.000	TE Connectivity LTD	103.41	29,575.34	161.34	46,143.24	0.1	16,567.90	56.02
tfx	8.000	Teleflex Inc.	393.46	3,147.65	328.48	2,627.84	0.0	-519.81	-16.51
87946f100	39.000	Teleperformance-Unspon ADR	135.14	5,270.46	222.69	8,684.91	0.0	3,414.45	64.78
87974r208	28.000	Temenos Group Ag Sp ADR	135.07	3,781.96	137.37	3,846.36	0.0	64.40	1.70
tsla	123.000	Tesla Inc	216.46	26,624.73	1,056.78	129,983.94	0.2	103,359.21	388.21
ttek	75.000	Tetra Tech Inc New	113.24	8,493.28	169.80	12,735.00	0.0	4,241.72	49.94
txn	61.000	Texas Instruments Inc	169.92	10,365.21	188.47	11,496.67	0.0	1,131.46	10.92

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
bk	229.000	The Bank of New York Mellon Corp	52.66	12,059.46	58.08	13,300.32	0.0	1,240.86	10.29
tmo	252.000	Thermo Fisher Scientific Inc	193.72	48,817.78	667.24	168,144.48	0.3	119,326.70	244.43
tri	62.000	Thomson Reuters Corp	80.86	5,013.24	119.62	7,416.44	0.0	2,403.20	47.94
tjx	882.000	Tjx Companies	51.07	45,040.59	75.92	66,961.44	0.1	21,920.85	48.67
889110102	20.000	Tokyo Electron LTD Unsp ADR	80.21	1,604.29	143.90	2,878.00	0.0	1,273.71	79.39
bld	44.000	TopBuild Corp	176.41	7,762.03	275.91	12,140.04	0.0	4,378.01	56.40
tte	170.000	TotalEnergies SE	46.97	7,984.73	49.46	8,408.20	0.0	423.47	5.30
tsco	299.000	Tractor Supply Co	83.37	24,928.93	238.60	71,341.40	0.1	46,412.47	186.18
ttd	430.000	Trade Desk Inc/The Class A	50.37	21,660.97	91.64	39,405.20	0.1	17,744.23	81.92
tw	56.000	Tradeweb Markets Inc-Class A	49.23	2,757.06	100.14	5,607.84	0.0	2,850.78	103.40
trv	19.000	Travelers Cos Inc	157.67	2,995.73	156.43	2,972.17	0.0	-23.56	-0.79
ths	500.000	TreeHouse Foods Inc	69.98	34,990.00	40.53	20,265.00	0.0	-14,725.00	-42.08
trex	102.000	Trex Company Inc	76.12	7,763.79	135.03	13,773.06	0.0	6,009.27	77.40
trmb	33.000	Trimble Inc	57.39	1,894.01	87.19	2,877.27	0.0	983.26	51.91
twtr	680.000	Twitter Inc	30.25	20,571.32	43.22	29,389.60	0.0	8,818.28	42.87
uber	355.000	Uber Technologies Inc	41.69	14,801.09	41.93	14,885.15	0.0	84.06	0.57
path	65.000	Uipath Inc - Class A	43.19	2,807.33	43.13	2,803.45	0.0	-3.88	-0.14
ulta	106.000	Ulta Salon Cosmetics & Fragrance Inc	305.96	32,432.27	412.34	43,708.04	0.1	11,275.77	34.77
umpq	138.000	Umpqua Holdings Corp	15.73	2,170.75	19.24	2,655.12	0.0	484.37	22.31
unp	390.000	Union Pacific Corp.	172.13	67,128.97	251.93	98,252.70	0.2	31,123.73	46.36
909218406	173.000	Unit Corporation Shs	57.50	9,946.74	32.30	5,587.90	0.0	-4,358.84	-43.82
ups	128.000	United Parcel Service	139.36	17,838.08	214.34	27,435.52	0.0	9,597.44	53.80
uri	26.000	United Rentals Inc	183.31	4,766.03	332.29	8,639.54	0.0	3,873.51	81.27
unh	570.000	Unitedhealth Group Inc	260.46	148,463.99	502.14	286,219.80	0.4	137,755.81	92.79
u	400.000	Unity Software Inc	150.18	60,071.96	142.99	57,196.00	0.1	-2,875.96	-4.79
usb	2,455.000	US Bancorp - Del	44.47	109,168.96	56.17	137,897.35	0.2	28,728.39	26.32
vfc	48.000	V.F. Corp.	54.57	2,619.35	73.22	3,514.56	0.0	895.21	34.18
veev	11.000	Veeva Systems Inc-Class A	297.10	3,268.10	255.48	2,810.28	0.0	-457.82	-14.01
vrsk	38.000	Verisk Analytics Inc CL A	197.37	7,500.09	228.73	8,691.74	0.0	1,191.65	15.89
vz	380.000	Verizon Communications	54.67	20,776.20	51.96	19,744.80	0.0	-1,031.40	-4.96
VRTX	160.000	Vertex Pharmaceuticals	173.37	27,738.40	219.60	35,136.00	0.1	7,397.60	26.67
VRT	217.000	Vertiv Holdings Lic	21.95	4,763.15	24.97	5,418.49	0.0	655.34	13.76
925458101	610.000	Vestas Wind Systems UNSP ADR	12.17	7,425.08	10.17	6,203.70	0.0	-1,221.38	-16.45
v	1,223.000	Visa Inc Class A Shares	158.59	193,954.39	216.71	265,036.33	0.4	71,081.94	36.65
vnt	1,500.000	Vontier Corp	35.59	53,381.72	30.73	46,095.00	0.1	-7,286.72	-13.65
wab	49.000	Wabtec	66.82	3,274.39	92.11	4,513.39	0.0	1,239.00	37.84
wba	124.000	Walgreens Boots Alliance Inc	47.32	5,867.80	52.16	6,467.84	0.0	600.04	10.23
wmt	210.000	Walmart Inc	74.98	15,744.90	144.69	30,384.90	0.0	14,640.00	92.98
wm	77.000	Waste Management Inc	126.36	9,729.41	166.90	12,851.30	0.0	3,121.89	32.09
wbs	55.000	Webster Financial Corp	40.31	2,217.07	55.84	3,071.20	0.0	854.13	38.53
wst	22.000	West Pharmaceutical Services	300.94	6,620.76	469.01	10,318.22	0.0	3,697.46	55.85
wdc	223.000	Western Digital Corp	48.92	10,908.47	65.21	14,541.83	0.0	3,633.36	33.31
whr	52.000	Whirlpool Corp.	207.11	10,769.97	234.66	12,202.32	0.0	1,432.35	13.30
wsm	198.000	Williams Sonoma Inc	54.38	10,766.54	169.13	33,487.74	0.1	22,721.20	211.04
wltwOLD	96.000	Willis Towers Watson PLC (wtw 01/10/22)	129.13	12,396.89	237.49	22,799.04	0.0	10,402.15	83.91
wsc	198.000	WillScot Mobile Mini Holdings	22.35	4,425.74	40.84	8,086.32	0.0	3,660.58	82.71
wix	17.000	Wix.com Ltd	246.79	4,195.37	157.79	2,682.43	0.0	-1,512.94	-36.06
wolf	254.000	Wolfspeed Inc	61.23	15,553.18	111.77	28,389.58	0.0	12,836.40	82.53
wday	63.000	Workday Inc-Class A	213.04	13,421.78	273.18	17,210.34	0.0	3,788.56	28.23
98161h101	110.000	Worldline Sa - Unsp ADR	45.18	4,970.28	28.00	3,080.00	0.0	-1,890.28	-38.03
xlxOLD	200.000	Xilinx Inc (amd 02/15/22)	97.32	19,463.00	212.03	42,406.00	0.1	22,943.00	117.88
xpo	42.000	XPO Logistics Inc	54.22	2,277.37	77.43	3,252.06	0.0	974.69	42.80
xyl	70.000	Xylem	92.22	6,455.09	119.92	8,394.40	0.0	1,939.31	30.04
yumc	500.000	Yum China Holdings Inc	22.29	11,144.12	49.84	24,920.00	0.0	13,775.88	123.62
yum	500.000	Yum! Brands Inc.	53.70	26,850.23	138.86	69,430.00	0.1	42,579.77	158.58
zen	38.000	Zendesk Inc	116.19	4,415.33	104.29	3,963.02	0.0	-452.31	-10.24
zts	502.000	Zoetis Inc	142.31	71,440.34	244.03	122,503.06	0.2	51,062.72	71.48
znqa	496.000	Zynga Inc	7.66	3,800.49	6.40	3,174.40	0.0	-626.09	-16.47
				8,818,693.11		13,937,835.01	21.9	5,119,141.90	58.05

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
PREFERRED STOCKS									
902973718	2,000.000	US Bancorp 4%	25.00	50,000.00	25.21	50,420.00	0.1	420.00	0.84
MUTUAL FUNDS									
fwgi.x	1,500.001	Af Cap Wlrd Gr & Inc-F3	51.43	77,141.30	63.63	95,445.06	0.1	18,303.76	23.73
raai.x	3,191.525	Altegris AACA Opportunistic Real Estate Fund Cl I	12.77	40,758.69	20.59	65,713.50	0.1	24,954.81	61.23
rafc.x	685.964	Am Cap Fd Inc Cl R-3	31.60	21,678.98	43.48	29,825.71	0.0	8,146.73	37.58
rdwc.x	1,712.209	Am Fnd Dev Wld Gr & In-R3	10.42	17,849.14	12.43	21,282.76	0.0	3,433.62	19.24
arwd.x	81,324.804	Amer Cent One Choice 2025-R6	11.35	922,676.06	11.05	898,639.08	1.4	-24,036.97	-2.61
arcu.x	108,448.558	Amer Cent One Choice 2030-R6	11.85	1,285,549.12	12.23	1,326,325.86	2.1	40,776.74	3.17
arld.x	178,595.727	Amer Cent One Choice 2035-R6	11.69	2,088,273.79	11.60	2,071,710.43	3.3	-16,563.36	-0.79
ardu.x	97,078.680	Amer Cent One Choice 2040-R6	11.25	1,092,009.31	12.84	1,246,490.25	2.0	154,480.94	14.15
ardo.x	129,773.006	Amer Cent One Choice 2045-R6	11.93	1,548,358.07	11.93	1,548,191.96	2.4	-166.10	-0.01
arfe.x	82,830.544	Amer Cent One Choice 2050-R6	13.05	1,081,241.71	13.86	1,148,031.34	1.8	66,789.63	6.18
areu.x	61,353.412	Amer Cent One Choice 2055-R6	13.66	837,990.14	14.60	895,759.82	1.4	57,769.68	6.89
argd.x	9,821.306	Amer Cent One Choice 2060 Portfolio R6	15.29	150,200.67	16.28	159,890.86	0.3	9,690.19	6.45
ardt.x	97,184.907	Amer Cent One Choice Ret-R6	10.77	1,047,078.94	10.69	1,038,906.66	1.6	-8,172.28	-0.78
sfcw.x	1,000.000	Amer Fnd Smallcap Wld-F3	67.70	67,700.57	81.71	81,710.00	0.1	14,009.43	20.69
aaft.x	23,994.755	American 2035 Target Ret A	14.72	353,098.56	19.53	468,617.57	0.7	115,519.01	32.72
afmb.x	8,000.000	American Balanced Fund-F3	28.07	224,556.11	33.45	267,600.00	0.4	43,043.89	19.17
aaie.x	14,483.783	American Beacon Intl Equity Instl	18.27	264,595.69	18.10	262,156.47	0.4	-2,439.22	-0.92
aade.x	4,801.440	American Beacon Large Cap Value Instl	25.66	123,215.64	28.23	135,544.65	0.2	12,329.01	10.01
avfi.x	3,050.608	American Beacon Small Cap Value Instl	24.17	73,745.15	28.68	87,491.44	0.1	13,746.29	18.64
aiad.x	8,715.707	American Century Inflation Adjusted Bond Fund R6	12.47	108,722.03	12.85	111,996.83	0.2	3,274.81	3.01
arhs.x	2,084.138	American Century One Choice 2065 R6	12.92	26,923.06	12.70	26,468.55	0.0	-454.51	-1.69
anbf.x	1,351.471	American Fnds Stra Bnd F2	10.20	13,786.06	11.36	15,352.71	0.0	1,566.65	11.36
rfnc.x	319.282	American Fundmntl Investors R3	61.36	19,591.34	75.73	24,179.23	0.0	4,587.89	23.42
amcp.x	4,141.156	American Funds AMCAP A	27.89	115,515.36	45.46	188,256.95	0.3	72,741.59	62.97
abal.x	2,617.598	American Funds American Balanced A	25.64	67,105.22	33.47	87,611.01	0.1	20,505.79	30.56
amrm.x	2,139.756	American Funds American Mutual A	33.28	71,210.76	53.15	113,728.03	0.2	42,517.27	59.71
abnf.x	997.611	American Funds Bond Fund of Amer F2	12.63	12,598.39	13.39	13,358.01	0.0	759.62	6.03
cwgi.x	2,457.401	American Funds Capital World Gr&Inc A	42.14	103,545.64	63.67	156,462.72	0.2	52,917.09	51.11
aepg.x	1,432.341	American Funds Europacific Growth A	45.08	64,569.69	64.79	92,801.37	0.1	28,231.68	43.72
feup.x	3,000.881	American Funds Europacific Growth F3	50.59	151,806.64	64.80	194,457.09	0.3	42,650.45	28.10
gbia.x	1,934.619	American Funds Global Balanced A	30.73	59,447.30	38.46	74,405.45	0.1	14,958.15	25.16
agth.x	2,784.103	American Funds Growth Fund of Amer A	44.42	123,672.31	74.30	206,858.85	0.3	83,186.54	67.26
rgwb.x	4,080.944	American Funds Growth Portfolio R2	26.36	107,553.70	25.80	105,288.36	0.2	-2,265.34	-2.11

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
amec.x	3,928.231	American Funds Income Fund of Amer A	19.52	76,678.54	25.85	101,544.77	0.2	24,866.23	32.43
aivs.x	3,069.796	American Funds Invmnt Co of Amer A	36.28	111,377.98	51.80	159,015.43	0.2	47,637.45	42.77
anwp.x	556.012	American Funds New Perspective A	47.94	26,656.92	66.41	36,924.76	0.1	10,267.84	38.52
rnpc.x	367.925	American Funds New Perspective R3	45.75	16,831.21	64.50	23,731.16	0.0	6,899.95	40.99
newf.x	275.518	American Funds New World A	58.02	15,985.16	86.03	23,702.81	0.0	7,717.65	48.28
rnwg.x	2,920.612	American Funds New World R6	70.18	204,957.35	85.97	251,085.01	0.4	46,127.67	22.51
smcw.x	857.440	American Funds SMALLCAP World A	47.29	40,549.90	80.03	68,620.92	0.1	28,071.03	69.23
awsh.x	577.586	American Funds Washington Mutual A	46.46	26,835.83	60.49	34,938.18	0.1	8,102.35	30.19
rnpe.x	361.855	American New Perspect R-4	62.34	22,558.75	65.29	23,625.51	0.0	1,066.76	4.73
rsle.x	646.692	American Smallcap World R-4	83.42	53,950.18	79.30	51,282.68	0.1	-2,667.50	-4.94
arth.x	5,816.634	Artisan Global Equity-Inv	23.69	137,822.08	20.73	120,578.82	0.2	-17,243.26	-12.51
apdr.x	3,437.832	Artisan Global Opportunit-Ad	38.52	132,410.71	37.31	128,265.51	0.2	-4,145.20	-3.13
bcix.o	19,178.413	BC Industrial REIT IV Inc	10.58	202,869.14	11.82	226,629.39	0.4	23,760.25	11.71
madv.x	4,825.102	BlackRock Equity Dividend Instl	22.66	109,348.96	21.68	104,608.21	0.2	-4,740.75	-4.34
bhyi.x	2,827.121	BlackRock High Yield Bond Instl	7.78	21,995.00	7.84	22,164.63	0.0	169.63	0.77
bimb.x	6,806.957	BlackRock Systematic Multi-Strategy Instl	10.55	71,819.23	10.45	71,132.70	0.1	-686.53	-0.96
blex.o	18,710.143	Blackstone REIT	11.26	210,707.28	14.07	263,171.25	0.4	52,463.97	24.90
cgbi.x	19,127.544	Calvert Green Bond I	16.40	313,639.50	15.95	305,084.33	0.5	-8,555.18	-2.73
cdsi.x	16,742.667	Calvert Short Duration Inc I	16.44	275,285.10	16.32	273,240.33	0.4	-2,044.77	-0.74
cisi.x	2,237.787	Calvert US Large Cap Core Responsible Index Fund I 769	36.35	81,342.94	42.76	95,687.77	0.2	14,344.83	17.64
csdi.x	4,277.597	Cohen & Steers Real Estate Securities I	16.79	71,827.43	22.07	94,406.57	0.1	22,579.14	31.44
cuvr.x	7,425.970	Columbia Quality Income Fund Adv	21.97	163,135.17	22.12	164,262.46	0.3	1,127.29	0.69
demi.x	2,941.535	Delaware Emerging Markets Instl	26.79	78,803.06	24.38	71,714.62	0.1	-7,088.44	-9.00
ihif.x	18,126.016	Delaware Ivy High Income R6	6.89	124,899.28	7.03	127,425.89	0.2	2,526.61	2.02
igrf.x	1,908.455	Delaware Ivy Mid Cap Growth Fund R6	45.28	86,420.46	42.79	81,662.79	0.1	-4,757.67	-5.51
dhei.x	25,306.267	Diamond Hill Short Duration Securitized Bond Fund I	10.12	256,107.33	10.10	255,593.30	0.4	-514.03	-0.20
dhmi.x	5,678.106	Diamond Hill Small-Mid Cap I	19.23	109,174.97	28.38	161,144.65	0.3	51,969.68	47.60
dodi.x	69,211.476	Dodge & Cox Income	14.21	983,833.99	14.06	973,113.35	1.5	-10,720.64	-1.09
dodg.x	1,093.581	Dodge & Cox Stock	159.80	174,750.65	245.26	268,211.68	0.4	93,461.03	53.48
dbls.x	15,927.062	DoubleLine Low Duration Bond I	10.01	159,366.54	9.88	157,359.37	0.2	-2,007.17	-1.26
eism.x	2,994.441	Eaton Vance Atlanta Capital SMID-Cap I	28.71	85,963.55	41.24	123,490.75	0.2	37,527.20	43.65
fiqi.x	1,663.883	Fidelity Advisor Intl Small Cap Z	24.38	40,559.11	32.47	54,026.28	0.1	13,467.17	33.20
fcnt.x	3,000.000	Fidelity® Contrafund®	18.85	56,550.00	18.76	56,280.00	0.1	-270.00	-0.48
funf.x	1,000.000	Fundamental Investors CL F 3	63.96	63,955.26	75.91	75,910.00	0.1	11,954.74	18.69
gipi.x	9,200.870	Gldmn Schs Bal Strat-Inst	11.91	109,599.28	12.81	117,863.14	0.2	8,263.86	7.54
gibi.x	9,452.855	Guggenheim Total Return Bond Instl	26.86	253,937.93	28.56	269,973.54	0.4	16,035.61	6.31
haca.x	1,930.396	Harbor Capital Appreciation Instl	64.56	124,618.58	100.77	194,526.00	0.3	69,907.43	56.10
hgii.x	1,511.151	Hartford Core Equity Fund-I	33.12	50,054.41	49.59	74,937.98	0.1	24,883.57	49.71
iciv.x	68,018.678	Invesco Conserv Inc-A	10.08	685,857.43	10.04	682,907.53	1.1	-2,949.90	-0.43
odvy.x	1,897.301	Invesco Developing Markets Fund Y	36.98	70,165.89	47.02	89,211.09	0.1	19,045.20	27.14
jeni.x	1,870.380	Jensen Quality Growth I	50.83	95,079.88	67.46	126,175.83	0.2	31,095.95	32.71
jvmr.x	1,322.298	John Hancock Disciplined Value Mid Cap R6	22.30	29,481.47	27.66	36,574.76	0.1	7,093.29	24.06

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
jlgm.x	16,983.459	JPMorgan Large Cap Growth R6	50.35	855,177.37	64.84	1,101,207.48	1.7	246,030.11	28.77
jisg.x	1,893.516	JPMorgan Small Cap Growth Fund CI L	15.99	30,280.22	25.31	47,924.89	0.1	17,644.67	58.27
juss.x	3,104.639	JPMorgan U.S. Small Company Fund CI L	17.20	53,407.36	19.39	60,198.95	0.1	6,791.59	12.72
jsis.x	16,367.823	JPMorgan Unconstrained Debt Fund - I	9.88	161,732.69	9.95	162,859.84	0.3	1,127.15	0.70
llsc.x	1,812.230	Longleaf Partners Sm-Cap	28.49	51,628.72	26.30	47,661.65	0.1	-3,967.07	-7.68
ldiv.x	89,020.859	Lord Abbett Shrt Dur Inc-R6	4.19	372,840.87	4.15	369,436.56	0.6	-3,404.31	-0.91
mlai.x	6,052.730	MainStay Winslow Large Cap Growth Fund I	10.59	64,074.13	13.43	81,288.16	0.1	17,214.03	26.87
waye.x	6,701.259	Meehan Waycross Long/Short Equity	11.35	76,068.00	15.09	101,122.00	0.2	25,054.00	32.94
mqgi.x	1,663.137	MFS Intl Growth I	38.36	63,795.53	49.16	81,759.81	0.1	17,964.28	28.16
medh.x	1,000.117	MFS® Emerging Markets Debt Fund R6	14.81	14,816.25	14.41	14,411.69	0.0	-404.56	-2.73
mgrd.x	4,085.746	MFS® International Growth Fund R6	36.70	149,948.38	43.65	178,342.81	0.3	28,394.43	18.94
mini.x	1,536.488	MFS® International Intrinsic Value I	41.25	63,373.01	56.22	86,381.36	0.1	23,008.34	36.31
mndk.x	6,805.551	MFS® New Discovery Fund R6	42.26	287,582.63	36.30	247,041.50	0.4	-40,541.13	-14.10
meia.x	1,122.686	MFS® Value A	42.07	47,235.10	54.35	61,017.98	0.1	13,782.88	29.18
meik.x	15,702.640	MFS® Value Fund R6	41.96	658,937.52	54.33	853,124.43	1.3	194,186.91	29.47
meii.x	1,309.887	MFS® Value I	35.79	46,878.92	54.68	71,624.62	0.1	24,745.70	52.79
nbpi.x	1,501.313	Neuberger Berman Large Cap Value Instl	43.19	64,846.08	44.25	66,433.10	0.1	1,587.02	2.45
noem.x	2,373.442	Northern Emerging Markets Equity Fund	11.84	28,095.20	13.14	31,187.03	0.0	3,091.83	11.00
nhfi.x	46,757.794	Northern High Yield Fixed Income Fd	6.54	305,752.41	6.77	316,550.27	0.5	10,797.86	3.53
noin.x	2,185.460	Northern Intl Equity Index	12.84	28,065.01	14.48	31,645.46	0.0	3,580.45	12.76
nsid.x	13,445.384	Northern Small Cap Index Fd	12.19	163,959.37	15.57	209,344.63	0.3	45,385.26	27.68
nosi.x	10,233.550	Northern Stock Index Fund	26.64	272,610.94	52.22	534,395.98	0.8	261,785.04	96.03
farc.x	2,918.825	Nuveen Real Estate Securities I	22.20	64,785.49	22.83	66,636.77	0.1	1,851.28	2.86
masf.x	5,876.153	PartnerSelect Alternative Strategies Fund-Is	11.49	67,489.90	11.76	69,103.56	0.1	1,613.66	2.39
pgrq.x	6,167.295	PGIM Global Real Estate CI R6	21.81	134,536.18	24.62	151,838.80	0.2	17,302.63	12.86
pgtq.x	4,621.187	PGIM Global Total Return CI R6	7.06	32,610.92	6.68	30,869.53	0.0	-1,741.39	-5.34
pjqn.x	321.740	PGIM Jenn Natural Resources CI R6	30.94	9,955.70	46.16	14,851.52	0.0	4,895.82	49.18
paai.x	8,355.589	Pimco All Asset Fund-Institutional	11.66	97,386.15	12.77	106,700.87	0.2	9,314.72	9.56
pcri.x	4,634.838	PIMCO Commodity Real Ret Strat Instl	6.06	28,095.83	6.35	29,431.22	0.0	1,335.40	4.75
pimi.x	22,826.075	PIMCO Income Instl	12.00	273,963.92	11.94	272,543.34	0.4	-1,420.58	-0.52
pttr.x	46,008.095	PIMCO Total Return Instl	10.27	472,348.91	10.27	472,503.14	0.7	154.23	0.03
pire.x	2,594.106	Principal Real Estate Securities Inst	25.86	67,089.88	34.89	90,508.36	0.1	23,418.48	34.91
remx.x	3,070.904	Russell Inv Emerging Markets S	18.78	57,683.04	18.85	57,886.54	0.1	203.50	0.35
rres.x	1,198.328	Russell Inv Global Real Estate Secs S	35.12	42,084.13	38.02	45,560.43	0.1	3,476.30	8.26
rint.x	3,977.347	Russell Inv International Devd Mkts S	36.91	146,791.80	42.57	169,315.66	0.3	22,523.86	15.34
rmgs.x	7,317.040	Russell Inv Multi-Asset Growth Strat S	10.34	75,679.82	10.68	78,145.99	0.1	2,466.16	3.26
rfct.x	7,017.446	Russell Inv Strategic Bond S	11.22	78,741.58	10.90	76,490.16	0.1	-2,251.42	-2.86
rles.x	2,117.606	Russell Inv US Small Cap Equity S	28.29	59,914.23	29.96	63,443.48	0.1	3,529.25	5.89
rses.x	19,655.139	Russell Inv US Strategic Equity S	13.36	262,653.27	16.87	331,582.19	0.5	68,928.92	26.24
rgcs.x	3,677.230	Russell Opportunistic Credit S	9.46	34,803.34	9.23	33,940.83	0.1	-862.51	-2.48
spyg.x	6,257.617	Spyglass Growth Fund-Inst	24.34	152,310.40	17.88	111,886.19	0.2	-40,424.21	-26.54

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
this.x	417.081	T Rowe Pr Health Sciences-I	84.10	35,077.09	104.05	43,397.28	0.1	8,320.19	23.72
trbc.x	443.087	T. Rowe Price Blue Chip Growth	91.20	40,407.52	177.82	78,789.73	0.1	38,382.21	94.99
prdg.x	1,862.651	T. Rowe Price Dividend Growth	66.95	124,709.98	74.07	137,966.56	0.2	13,256.58	10.63
prgf.x	1,232.657	T. Rowe Price Growth Stock	107.76	132,835.91	106.32	131,056.09	0.2	-1,779.82	-1.34
rpmg.x	883.761	T. Rowe Price Mid-Cap Growth	81.58	72,093.36	117.34	103,700.52	0.2	31,607.15	43.84
trmi.x	2,655.339	T. Rowe Price Mid-Cap Value I	28.38	75,346.27	33.61	89,245.94	0.1	13,899.67	18.45
tros.x	6,022.825	T. Rowe Price Overseas Stock	10.63	64,024.52	13.27	79,922.89	0.1	15,898.37	24.83
tqai.x	2,165.895	T. Rowe Price QM US	31.92	69,128.76	46.61	100,952.37	0.2	31,823.61	46.04
		Small-Cap Gr Eq I							
fbnr.x	0.001	Templeton Global Bond R6	10.74	0.01	8.80	0.01	0.0	0.00	-18.09
tsem.x	2,062.864	Touchstone Sands Em Gr-Y	22.72	46,875.38	19.24	39,689.50	0.1	-7,185.88	-15.33
vfla.x	6,823.294	Vanguard 500 Index Admiral	287.90	1,964,413.28	439.83	3,001,089.40	4.7	1,036,676.12	52.77
vbia.x	19,774.784	Vanguard Balanced Index Adm	37.04	732,394.10	49.02	969,359.91	1.5	236,965.82	32.35
vtri.x	7,893.340	Vanguard International Value Inv	35.22	277,997.76	41.58	328,205.08	0.5	50,207.31	18.06
vmva.x	1,336.172	Vanguard Mid-Cap Value Index Admiral	56.36	75,305.54	77.84	104,007.63	0.2	28,702.09	38.11
vima.x	2,534.619	Vanguard Mid Cap Index Admiral	230.92	585,300.57	315.46	799,570.91	1.3	214,270.34	36.61
vbir.x	15,291.840	Vanguard Short-Term Bond Index Adm	10.61	162,228.44	10.61	162,246.42	0.3	17.98	0.01
vsma.x	4,619.824	Vanguard Small Cap Index Adm	82.26	380,035.55	108.37	500,650.33	0.8	120,614.78	31.74
vtms.x	658.559	Vanguard Tax-Managed Small Cap Adm	64.58	42,530.84	93.29	61,436.97	0.1	18,906.13	44.45
vbtl.x	27,508.635	Vanguard Total Bond Market Index Adm	10.78	296,634.90	11.19	307,821.63	0.5	11,186.73	3.77
vwua.x	400.000	Vanguard US Growth Admiral™	189.46	75,782.00	168.46	67,384.00	0.1	-8,398.00	-11.08
rsfl.x	2,858.564	Victory Floating Rate Fund A	9.40	26,870.50	9.33	26,670.40	0.0	-200.10	-0.74
rlda.x	1,333.275	Victory INCORE Low Duration Bond Fund A	9.98	13,306.08	10.16	13,546.07	0.0	239.99	1.80
gpaf.x	6,247.833	Victory RS Large Cap Alpha Fund A	48.77	304,712.69	55.16	344,630.47	0.5	39,917.78	13.10
rsdg.x	7,531.393	Victory RS Select Growth Fund A	38.54	290,268.22	31.30	235,732.60	0.4	-54,535.62	-18.79
vsor.x	527.445	Victory Sycamore S/C Opp-R6	46.21	24,373.83	52.42	27,648.67	0.0	3,274.84	13.44
viis.x	2,443.986	Virtus KAR Intl Small-Mid Cap-I	18.27	44,641.74	22.78	55,674.00	0.1	11,032.26	24.71
wefi.x	9,089.714	Weitz Short Duration Income Instl	12.32	111,980.84	12.27	111,530.79	0.2	-450.05	-0.40
whgs.x	1,234.463	Westwood Quality SmallCap Fund Instal	21.22	26,200.69	21.57	26,627.37	0.0	426.68	1.63
				30,507,035.11		34,879,116.68	54.8	4,372,081.57	14.33
EXCHANGE TRADED FUNDS									
drsk	650.000	Aptus Defined Risk ETF	30.75	19,989.97	28.85	18,752.50	0.0	-1,237.47	-6.19
tecs	300.000	Direxion Daily Tech Bear 3X	44.85	13,455.00	27.97	8,391.00	0.0	-5,064.00	-37.64
evt	1,000.000	Eaton-Vance Tax-Adv Dvd Inc	18.97	18,968.90	29.79	29,790.00	0.0	10,821.10	57.05
exg	5,500.000	Eaton Vance Tax - Managed Glob	8.04	44,228.03	10.67	58,685.00	0.1	14,456.98	32.69
emqq	1,705.000	Emerging Mkts Internet & Ec	55.01	93,799.62	42.90	73,144.50	0.1	-20,655.12	-22.02
xle	2,900.000	Energy Select Sector Spdr	58.08	168,429.46	55.50	160,950.00	0.3	-7,479.46	-4.44
fv	822.125	First Trust Dorsey Wright Fo	25.05	20,593.00	49.16	40,415.67	0.1	19,822.67	96.26
fxo	1,055.000	First Trust Financial Alphad	42.49	44,825.62	46.28	48,825.40	0.1	3,999.78	8.92
fta	966.000	First Trust Large Cap Value AlphaDEX Fund	43.72	42,233.33	69.88	67,504.08	0.1	25,270.75	59.84
cibr	220.000	First Trust NASDAQ Cybersecurity ETF	30.72	6,759.28	52.79	11,613.80	0.0	4,854.52	71.82
emlp	2,000.000	First Trust North American E	23.98	47,954.70	24.96	49,920.00	0.1	1,965.30	4.10
fvd	1,636.000	First Trust Value Line Dividend Index Fund	25.63	41,924.87	43.04	70,413.44	0.1	28,488.57	67.95
forh	17,000.000	Formidable ETF	25.21	428,587.20	24.65	419,073.80	0.7	-9,513.40	-2.22
kong	15,043.000	Formidable Fortress ETF	25.25	379,777.74	26.26	394,959.98	0.6	15,182.24	4.00
fpe	750.000	Ft-Preferred Secur & Inc Etf	20.36	15,272.05	20.32	15,240.00	0.0	-32.05	-0.21

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
ggn	58,346.000	GAMCO Global Gold Natural Res & Income Trust	4.21	245,777.78	3.75	218,797.50	0.3	-26,980.28	-10.98
clou	350.000	Global X Cloud Computing Etf	19.11	6,686.90	26.51	9,278.50	0.0	2,591.60	38.76
gslc	1,750.000	Goldman Activebeta US LC ETF	68.54	119,951.24	95.14	166,495.00	0.3	46,543.76	38.80
eprf	800.000	Innovator S&P Investment Grade Preferred ETF	24.45	19,557.92	23.94	19,152.00	0.0	-405.92	-2.08
bscm	4,600.000	Invesco Bulletshares 2022 Co	21.66	99,613.00	21.39	98,394.00	0.2	-1,219.00	-1.22
bscn	4,600.000	Invesco Bulletshares 2023 Co	21.76	100,092.32	21.46	98,716.00	0.2	-1,376.32	-1.38
bsco	4,500.000	Invesco Bulletshares 2024 Co	22.17	99,759.15	21.83	98,235.00	0.2	-1,524.15	-1.53
vrig	800.000	Invesco Variable Rate Invest	25.11	20,088.00	25.06	20,048.00	0.0	-40.00	-0.20
slqd	1,000.000	iShares 0-5 Yr Inv Grd Corp	49.45	49,454.90	51.05	51,050.00	0.1	1,595.10	3.23
shy	1,000.000	iShares 1-3 Year Treasury Bond	86.27	86,269.30	85.54	85,540.00	0.1	-729.30	-0.85
iei	500.000	iShares 3-7 Year Treasury Bond	130.61	65,304.65	128.67	64,335.00	0.1	-969.65	-1.48
ief	500.000	iShares 7-10 Year Treasury Bon	114.70	57,349.65	115.00	57,500.00	0.1	150.35	0.26
ibb	300.000	iShares Biotechnology ETF	87.33	26,198.78	152.62	45,786.00	0.1	19,587.22	74.76
dgro	3,700.000	iShares Core Dividend Growth	32.29	119,487.80	55.58	205,646.00	0.3	86,158.20	72.11
iefa	800.000	iShares Core MSCI EAFE ETF	73.28	58,625.50	74.64	59,712.00	0.1	1,086.50	1.85
ijh	1,459.000	iShares Core S&P Mid-Cap ETF	239.89	349,998.78	283.08	413,013.72	0.6	63,014.94	18.00
ijr	550.000	iShares Core S&P Small-Cap ETF	106.81	58,745.54	114.51	62,980.50	0.1	4,234.96	7.21
iusv	3,300.000	iShares Core S&P US Value ETF	60.35	199,170.18	76.34	251,922.00	0.4	52,751.82	26.49
iwm	1,695.000	iShares Russell 2000 ETF	206.34	349,746.30	222.45	377,052.75	0.6	27,306.45	7.81
iwr	993.452	iShares Russell Mid-Cap ETF	32.08	31,866.06	83.01	82,466.41	0.1	50,600.35	158.79
ivw	4,583.000	iShares S&P 500 Growth ETF	33.32	152,701.54	83.67	383,459.61	0.6	230,758.07	151.12
ptbd	750.000	Pacer Trendpilot US Bond ETF	25.95	19,466.10	26.93	20,197.50	0.0	731.40	3.76
xbi	390.000	SPDR Biotech ETF	71.52	27,891.33	111.96	43,664.40	0.1	15,773.07	56.55
gld	425.000	SPDR GOLD TRUST GOLD SHS	131.37	55,832.08	170.96	72,658.00	0.1	16,825.92	30.14
spyg	1,500.000	SPDR Portfolio S&P 500 Growth ETF	35.97	53,955.00	72.47	108,705.00	0.2	54,750.00	101.47
spy	2,176.000	SPDR S&P 500 ETF Trust	298.63	649,808.64	474.96	1,033,512.96	1.6	383,704.32	59.05
mdy	192.000	SPDR S&P Midcap 400 EFT Trust	258.18	49,570.56	517.68	99,394.56	0.2	49,824.00	100.51
gdxj	500.000	Vaneck Junior Gold Miners Et	45.13	22,565.00	41.93	20,965.00	0.0	-1,600.00	-7.09
espo	1,000.000	Vaneck Video Gaming And Espo	61.98	61,977.20	66.18	66,180.00	0.1	4,202.80	6.78
vig	500.000	Vanguard Dividend Apprec ETF	134.94	67,468.58	171.75	85,875.00	0.1	18,406.42	27.28
vde	346.000	Vanguard Energy ETF	100.96	34,931.02	77.61	26,853.06	0.0	-8,077.96	-23.13
veu	1,830.000	Vanguard FTSE All-World Ex-US	60.22	110,199.31	61.28	112,142.40	0.2	1,943.09	1.76
vpl	700.000	Vanguard FTSE Pacific ETF	74.88	52,417.12	78.01	54,607.00	0.1	2,189.88	4.18
vug	365.000	Vanguard Growth ETF	113.03	41,255.83	320.90	117,128.50	0.2	75,872.67	183.91
vym	500.768	Vanguard High Dividend Yield	90.05	45,093.27	112.11	56,141.10	0.1	11,047.83	24.50
vgt	400.000	Vanguard Info Tech ETF	178.84	71,536.00	458.17	183,268.00	0.3	111,732.00	156.19
biv	1,000.000	Vanguard Intermediate Term B	89.52	89,519.30	87.62	87,620.00	0.1	-1,899.30	-2.12
mgv	500.756	Vanguard Mega Cap Value ETF	79.53	39,826.70	106.82	53,490.76	0.1	13,664.06	34.31
vo	130.000	Vanguard Mid-Cap ETF	191.61	24,908.80	254.77	33,120.10	0.1	8,211.30	32.97
voe	430.000	Vanguard Mid-Cap Value Index	116.32	50,016.42	150.33	64,641.90	0.1	14,625.48	29.24
voo	400.000	Vanguard S&P 500 ETF	250.49	100,194.76	436.57	174,628.00	0.3	74,433.24	74.29
voov	900.000	Vanguard S&P 500 Value ETF	111.73	100,558.22	151.41	136,269.00	0.2	35,710.78	35.51
vtip	2,500.000	Vanguard Short-Term Tips	51.87	129,674.80	51.40	128,500.00	0.2	-1,174.80	-0.91
vbr	200.000	Vanguard Small Cap Value ETF	134.60	26,919.14	178.85	35,770.00	0.1	8,850.86	32.88
vtv	963.177	Vanguard Value ETF	116.49	112,203.43	147.11	141,692.97	0.2	29,489.54	26.28
				5,741,032.66		7,294,284.36	11.5	1,553,251.70	27.06
CORPORATE BOND									
04686jaa9	15,000.000	Athene Holding Ltd 4.125% Due 01-12-28	106.95	16,042.35	109.39	16,408.67	0.0	366.32	2.28
06747pnf2	25,000.000	Barclays Bank PLC Index_ Linked Set A MTN 3.700% Due 04-28-23	100.00	25,000.00	102.39	25,597.50	0.0	597.50	2.39

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
10948waa1	20,000.000	Brightsphere Investment 4.800% Due 07-27-26	108.37	21,674.80	104.46	20,891.60	0.0	-783.20	-3.61
11134laf6	15,000.000	Broadcom Crp / Caymn Fi 3.625% Due 01-15-24	105.14	15,770.85	104.60	15,690.30	0.0	-80.55	-0.51
256677ac9	20,000.000	Dollar General Corp 3.250% Due 04-15-23	103.48	20,695.20	103.07	20,613.80	0.0	-81.40	-0.39
29359uab5	15,000.000	Enstar Group Ltd 4.950% Due 06-01-29	111.65	16,747.80	111.35	16,701.95	0.0	-45.85	-0.27
26884uae9	15,000.000	EPR Properties 4.950% Due 04-15-28	112.17	16,825.65	107.98	16,197.15	0.0	-628.50	-3.74
316773cm0	20,000.000	Fifth Third Bancorp 5.100% Due 06-30-49	101.20	20,239.20	101.65	20,330.00	0.0	90.80	0.45
31847rae2	15,000.000	First American Financial 4.300% Due 02-01-23	106.40	15,959.55	103.04	15,455.70	0.0	-503.85	-3.16
343412af9	20,000.000	Fluor Corp 4.250% Due 09-15-28	102.81	20,562.20	104.88	20,975.00	0.0	412.80	2.01
302635ae7	20,000.000	FS KKR Capital Corp 4.125% Due 02-01-25	103.28	20,655.40	104.61	20,921.80	0.0	266.40	1.29
38141eh85	15,000.000	Goldman Sachs Group Inc 4.400% Due 11-15-32	111.47	16,720.50	107.64	16,146.45	0.0	-574.05	-3.43
38143cbl4	20,000.000	Goldman Sachs Group Inc 4.000% Due 02-15-31	100.41	20,081.80	107.73	21,545.12	0.0	1,463.32	7.29
40056fxp5	30,000.000	GS Fin Corp Mtn Zero Cpn 0.000% Due 03-03-22	100.00	30,000.00	118.85	35,654.10	0.1	5,654.10	18.85
47233jbh0	20,000.000	Jefferies Grp LLC / Cap 4.150% Due 01-23-30	108.25	21,650.80	110.97	22,193.20	0.0	542.40	2.51
46625hjq4	50,000.000	JPMorgan Chase & Co 6.750% Due 01-29-49	111.01	55,505.25	108.24	54,119.00	0.1	-1,386.25	-2.50
48124bac9	20,000.000	JPMorgan Chase & Co 5.150% Due 04-23-99	103.75	20,750.00	101.80	20,360.00	0.0	-390.00	-1.88
48132h3a8	75,000.000	JPMorgan Chase Financial 0.000% Due 02-28-25	100.05	75,034.80	127.06	95,295.00	0.1	20,260.20	27.00
48132hb84	40,000.000	JPMorgan Chase Financial Co LLC Gbl IDX 0.000% Due 07-29-22	100.00	40,000.00	114.17	45,668.00	0.1	5,668.00	14.17
521865ba2	20,000.000	Lear Corp 4.250% Due 05-15-29	106.85	21,370.20	110.32	22,064.60	0.0	694.40	3.25
61770fn85	25,000.000	Morgan Stanley Fin Index_linked LLC SER 0.000% Due 11-01-22	100.00	25,000.00	117.31	29,326.25	0.0	4,326.25	17.31
61769hne1	30,000.000	Morgan Stanley Fin LLC 0.000% Due 08-30-24	100.00	30,000.00	137.99	41,395.50	0.1	11,395.50	37.99
61770fgc4	50,000.000	Morgan Stanley Fin LLC 0.000% Due 03-03-23	100.07	50,034.80	110.52	55,257.50	0.1	5,222.70	10.44
61770fhm1	100,000.000	Morgan Stanley Fin LLC 0.000% Due 03-05-25	100.03	100,034.80	156.61	156,605.00	0.2	56,570.20	56.55
61773fyb3	125,000.000	Morgan Stanley Fin LLC 0.000% Due 09-21-23	100.04	125,050.00	96.35	120,431.25	0.2	-4,618.75	-3.69
				841,405.95		945,844.43	1.5	104,438.48	12.41
UNIT TRUSTS									
30322e727	15,000.000	First Trust 9675 Technology	11.22	168,301.50	10.85	162,750.00	0.3	-5,551.50	-3.30
302651559	4,224.833	First Trust Dividend Income Trust Series 17 Reinvest	10.08	42,596.88	12.80	54,065.61	0.1	11,468.73	26.92
30313v399	5,015.000	FT UT8638TECDIV34RM	10.86	54,483.01	18.14	90,957.06	0.1	36,474.05	66.95
40177a720	5,115.000	UT2151AREAST1FCM	9.78	49,999.13	7.67	39,237.17	0.1	-10,761.97	-21.52
				315,380.52		347,009.83	0.5	31,629.31	10.03
GNMA/FNMA/FHLMC/SBA Pool									
38378jce0	174.291	Government National Mortgage A 2013-26 Lu 2.105% Due 12-20-42	83.14	144.90	74.95	130.64	0.0	-14.26	-9.84

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
ANNUITY CONTRACTS									
mtc445667	1.000	Integrity Life Insurance Company Annuity Contract 2100676804	500,000.00	500,000.00	500,000.00	500,000.00	0.8	0.00	0.00
mtc445634	1.000	Integrity Life Insurance Company Annuity Contract# 2100677740	200,000.00	200,000.00	200,000.00	200,000.00	0.3	0.00	0.00
mtc448851	1.000	Integrity Life Insurance Company Annuity Contract# 2100711360	300,000.00	300,000.00	300,000.00	300,000.00	0.5	0.00	0.00
				1,000,000.00		1,000,000.00	1.6	0.00	0.00
GUARANTEED INVESTMENT CONTRACTS									
oaax.s	241,330.740	AUL Stable Value Account Series A	1.18	285,055.00	1.20	288,770.33	0.5	3,715.33	1.30
NOTES/MISC ASSETS/TRUST DEEDS									
99ya78eb0		MSBNA Preferred Savings-NM		203.88		203.88	0.0		
99ya78gu6		MSPBNA Preferred Savings-NM		203.88		203.88	0.0		
				407.76		407.76	0.0		
LIMITED PARTNERSHIPS/HEDGE FUNDS									
iimx.o	89.169	Ironwood Institutional Multi-Strategy Fund, LLC	1,098.21	97,926.54	1,279.21	114,065.48	0.2	16,138.94	16.48
smlp	33.000	Summit Midstream Partners LP	512.40	16,909.32	22.20	732.61	0.0	-16,176.71	-95.67
				114,835.86		114,798.09	0.7	-37.77	-79.19
CERTIFICATES OF DEPOSIT									
38148p4r5	50,000.000	Goldman Sachs Bank USA 3.000% Due 01-30-23	103.99	51,997.15	102.83	51,414.50	0.1	-582.65	-1.12
38148p5f0	40,000.000	Goldman Sachs Bank USA 3.050% Due 02-20-24	108.21	43,283.98	105.02	42,007.60	0.1	-1,276.38	-2.95
48128lq36	50,000.000	JPMorgan Chase Bank Na 0.000% Due 05-31-24	100.07	50,034.80	102.76	51,380.00	0.1	1,345.20	2.69
61690utr1	20,000.000	Morgan Stanley Bank Na 1.700% Due 02-22-22	100.02	20,004.80	100.21	20,042.60	0.0	37.80	0.19
61760ayf0	225,000.000	Morgan Stanley Pvt Bank 2.650% Due 04-04-22	100.00	225,000.00	100.63	226,408.50	0.4	1,408.50	0.63
7954502e4	225,000.000	Sallie Mae Bank/Salt Lake 2.600% Due 04-11-22	100.00	225,000.00	100.66	226,482.75	0.4	1,482.75	0.66
949763vr0	20,000.000	Wells Fargo Bank Na 3.350% Due 11-09-22	100.00	20,000.00	102.59	20,518.40	0.0	518.40	2.59
				635,320.73		638,254.35	1.0	2,933.62	0.46
CASH AND EQUIVALENTS									
baix.c		Baird Cash Account		239,608.95		239,608.95	0.4		
retire		Cash		29,645.35		29,645.35	0.0		
trans		Funds-in-transfer Account		-17,239.15		-17,239.15	0.0		
ntcash		Northern Trust Cash		0.00		0.00	0.0		
rjcash		Raymond James Cash Acct		1,201,524.29		1,201,524.29	1.9		
schwab		Schwab Cash Account		936.59		936.59	0.0		
wtoxx		TD Asset Management Money Market Portfolio		255,561.27		255,561.27	0.4		
ubsbroker		UBS Cash Account		26,561.54		26,561.54	0.0		
				1,736,598.84		1,736,598.84	2.7		
Variable Rate Money Market Sweep									
cngx.o		City National Rochdale Government Money Market Fund Svc (cnix.x)		0.59		0.59	0.0		

Security Symbol	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Unrealized Gain/Loss	Pct G/L
VARIABLE RATE CORPORATE BONDS									
89832qad1	35,000.000	Truist Financial Corp	102.01	35,704.80	104.25	36,487.50	0.1	782.70	2.19
		4.800% Due 12-01-99							
NOTES RECEIVABLE				289,867.00		289,867.00			
Total Portfolio				52,161,038.17		63,606,528.76	100.0	11,493,623.60	22.03

See accompanying independent auditor's report.

Matrix Trust Company's Role in Assisting Plan Sponsors in Completing Schedule C

Plan sponsors are responsible for completing the annual Form 5500 filing and are tasked with identifying and reviewing the reportable Schedule C compensation paid to service providers for employee benefit plans (the “Plans” and each a “Plan”). The information contained in this communication is being provided to assist in the completion of Schedule C for Form 5500 filing for the 2021 Plan year (which would be filed during 2022).

It is important for recordkeepers, third-party administrators, designated representatives, and authorized persons for the Plans (collectively, “**Designated Representatives**”) and Plan sponsors to understand that Matrix Trust Company (“**Matrix Trust**”) can only provide information related to its compensation as a service provider. Matrix Trust can provide activity related to payments made to other service providers only to the extent that the compensation flows through either the trust, the custodial account, or a fund service fee account. Investment product disclosures, annual reports and prospectuses (as applicable) should be reviewed for other components of reportable compensation under Schedule C.

Where compensation can be classified as Eligible Indirect Compensation (“**EIC**”), Matrix Trust has provided the appropriate disclosures in this document rather than a set dollar amount for each plan, as permitted under guidance issued by the U.S. Department of Labor.

Reporting Requirements

Plan sponsors of retirement plans with over 100 participants at the beginning of the Plan year must identify persons who indirectly or directly received \$5,000 or more in compensation during the Plan year for services rendered to the Plan on Schedule C of Form 5500.

Compensation

Compensation is received by, as applicable, Matrix Trust, Matrix Settlement & Clearance Services, LLC, (“**MSCS**”) and MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC (“**MSCS Financial**”) (collectively, “**Matrix**”), in connection with your Plan. All three of these companies are Broadridge Financial Solutions, Inc. (“**Broadridge**”) subsidiaries and are therefore under common control. Thus, MSCS and MSCS Financial are both “affiliates” of Matrix Trust, and “affiliates” of each other.

Direct Compensation

To the extent Matrix is directed to assess Matrix's fees upon the account (i.e., the Plan), the fees represent direct compensation paid to Matrix. Direct compensation paid to Matrix Trust by your Plan is reported on your account statement or other reports provided by Matrix Trust, for example, a check register. These reports enable you to determine any payments that may have been made directly from Plan assets.

To the extent Matrix Trust fees are asset based, fees will be calculated utilizing a daily average balance for the invoice period.

To the extent that Matrix Trust fees are paid by the Plan sponsor and not reimbursed by Plan assets, the fees do not represent direct or indirect compensation paid to Matrix Trust for purposes of 408(b)(2).

Indirect Compensation

Matrix Trust, or an affiliate, may have received indirect compensation from sources other than your Plan during the past calendar year. Matrix Trust is providing a description of the formula used to calculate or determine indirect compensation so this compensation can be reported as “Eligible Indirect Compensation” paid to Matrix Trust or an affiliate on Schedule C of the Form 5500 for the 2021 Plan year, if compensation paid meets the reporting thresholds.

Matrix Trust may have received indirect compensation in connection with services:

- in the form of “float” income; and/or
- through its affiliate(s) and/or other parties as described below, and to the extent applicable, with respect to Mutual Fund Fee / Administrative Fee Services, ETF/Closed End Fund Trading Services, Self-Directed Brokerage Accounts, Retirement Cash Account, Proprietary Funds, ModelTool(K)it™ Services, Level Compensation Services, Stale Dated Check Services, and/or Proceeds of Corrective Transactions.

Float Income

Matrix Trust maintains omnibus bank accounts at, and provides sub-accounting services with respect to such bank accounts to, certain banking institutions, with respect to cash held on a short-term basis in such omnibus bank accounts. As compensation for such sub-accounting services, Matrix Trust may derive compensation from the use of this short-term cash, which is referred to as “float income.” With respect to your Plan, this may occur where, for example, Plan funds are awaiting investment, distribution or other processing. Currently, Matrix Trust has arrangements with three banks – JPMorgan Chase Bank, N.A. (“**JPMorgan**”), TD Bank, N.A. (“**TD Bank**”) and Santander Bank, N.A. (“**Santander**”) – under which the banks pay float income to Matrix Trust in exchange for its sub-accounting services. Float income is reflected as an earnings credit or service fee on monthly bank invoices. JPMorgan, TD Bank and Santander are unaffiliated institutions to Matrix Trust.

The exact amount of float income credited from these three banks to Matrix Trust cannot be described in precise terms, because the rate of float income paid fluctuates over time (it generally tracks the Federal Funds Rate), and it is also impossible to predict exactly how much Plan cash will be held on a short-term basis, and for how long. Please see “*Rate on Float Earnings*” below. The disclosures contained in the following paragraphs have been prepared in accordance with U.S. Department of Labor guidance contained in Field Assistance Bulletin 2002-3 concerning service provider float disclosure obligations to employee benefit plan customers.

Float earnings are considered eligible indirect compensation for Schedule C of Form 5500 purposes and indirect compensation under ERISA Section 408(b)(2).

Matrix Trust Float Earnings

In connection with Matrix Trust’s provision of services to Plan customers, Matrix Trust maintains various banking arrangements to facilitate movements of cash as necessary to process Plan customer transactions, including arrangements with one or more banks. Under these arrangements, cash may be held in general or “omnibus” bank accounts established by or at the direction of Matrix Trust, pending investment, trade settlement, or the presentment of distribution checks for payment. These accounts generate float earnings for Matrix Trust. The proportionate share of those earnings attributable to the funds of a particular plan constitutes compensation that is paid by the plan and retained by Matrix Trust in connection with Matrix Trust’s services and is in addition to any other fees or compensation payable under the service arrangement.

Summary of Float Paragraphs

The paragraphs below describe the specific circumstances under which float will be earned and retained, the time frames applicable to float earnings periods, and a general description of the rate of float earnings.

Contributions - Plan Account Cash Sweep

Plans direct cash contributions to Matrix Trust through a demand deposit account Matrix Trust maintains for that purpose. Matrix Trust credits the amount of a plan's cash contribution to the plan's Matrix Trust account ("**Plan Account**") on the business day it is received. If the Plan Account uses a cash sweep, the cash contribution is swept from the demand deposit account and invested on the Plan's behalf on the next business day. Matrix Trust earns float on the cash contribution between the business day of deposit and the next business day. A "business day" is a day on which the New York Stock Exchange is open for business.

Contributions - Plan Account - No Cash Sweep

If a Plan Account does not use a cash sweep, Matrix Trust earns float on the cash contribution from the business day of deposit until Matrix Trust receives investment instructions from the Plan and the investment transaction settles. Upon Matrix Trust's receipt of investment instructions in good order, settlement of mutual fund trades generally occurs within one business day and settlement of individual securities trades (*i.e.*, stocks and bonds) generally occurs within three business days.

Purchases of Securities Timeline

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to purchase a security for a Plan Account, Matrix Trust places the purchase trade order that same business day if the instructions are received prior to Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the purchase trade order is placed on the next business day.

Settlement of Purchase Trade Order

When Matrix Trust settles a purchase trade order for a Plan Account, the Plan Account assets required to pay for the purchase are transferred to a demand deposit account maintained by Matrix Trust on the trade settlement date. When a purchase trade order is cancelled or rejected, the funds previously set aside to pay for the purchase are re-credited to the Plan Account and either invested through the cash sweep, if applicable, or retained in the deposit account pending other investment instructions, as described previously.

Same Day Placement of Sale Trade Order

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to sell a security for a Plan Account, Matrix Trust places the sale trade order that same business day if the instructions are received prior to Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the sale trade order will be placed on the next business day.

Plan Account Proceeds on Trade Settlement Date

When Matrix Trust places or settles a sale trade for a Plan Account, the Plan Account receives the sales proceeds on the trade settlement date. If the Plan Account does not use a sweep, Matrix Trust will earn float from the date Matrix Trust receives the sale settlement proceeds until Matrix Trust receives instructions to reinvest the sale proceeds as described previously.

Float When Issuing Checks

Matrix Trust may earn float when it issues checks on behalf of plans including checks for (i) distributions to participants and/or beneficiaries, (ii) participant loan distributions, or (iii) fees paid to Plan service providers. Matrix Trust does not earn float when payments or distributions are made by direct deposit (ACH) or by a federal funds wire transfer.

Float on Mailed Distribution Checks

Matrix Trust will mail a distribution check to a Plan participant or beneficiary on the payable date (the date printed on the check). On the same day, Matrix Trust debits the Plan Account in the amount of the check. Matrix Trust will earn float on the amount of the check from the date the check is issued until the date the check is presented and paid.

Float on Periodic/Recurring Distributions

Where Matrix Trust has been directed to establish periodic or recurring distribution checks, such payments are typically mailed to Plan participants and beneficiaries prior to the payable date (for example, periodic payments are mailed six business days prior to the payable date). Matrix Trust debits the Plan Account in the amount of the checks on the payable date. Matrix Trust is able to mail the checks before assets are withdrawn from the Plan Account and sold because periodic distributions are of a predictable amount (generally a set dollar amount each payment cycle). Matrix Trust will only earn float on the amount of the check from the date the check is payable until the date check is presented and paid.

Recredit to Plan Account of Outstanding Distribution Checks

On a periodic basis, Matrix Trust will notify Plans or their Designated Representative of outstanding periodic and lump sum distribution checks that Matrix Trust has issued. If an originally-issued check is reported lost or missing, Matrix Trust will re-issue the check upon receipt of direction from the Plan and/or other authorized party to instruct on the account. If the participant or beneficiary does not negotiate the check within a reasonable time, Matrix Trust reserves the right to re-credit (redeposit) the payment to the Plan Account and to invest these funds at the direction of a Plan Account fiduciary or authorized party to instruct on the account, or to disburse the funds as directed or otherwise in accordance with applicable law.

Rate on Float Earnings

The rate at which Matrix Trust earns float over the time periods described above is generally comparable to the effective Federal Funds Rate as reported in the Wall Street Journal over the applicable time frame. While the banks have discretion in the setting of the exact rates, the Federal Funds Rate at a given time is a reasonable estimate of the rate paid to Matrix Trust.

Mutual Fund Fees / Administrative Fee Services

Where Matrix Trust has been so authorized, Matrix Trust through its affiliate and registered broker dealer, MSCS Financial, may receive fees from certain investment companies, mutual funds, stable value funds, guaranteed investment contracts, guaranteed annuity contracts and similar investment vehicles (the “**Funds**”) in the form of 12b-1 fees or firm concessions (e.g., finders fees), or in the form of shareholder servicing, sub-transfer agent and sub-accounting fees (“**Mutual Fund Fees**”). Mutual Fund Fees are paid by the applicable investment company(ies) or other Fund(s) to MSCS Financial or Matrix Trust pursuant to shareholder servicing and similar agreements. You can contact Matrix Trust at 888-947-3472 for specific information about the levels of Mutual Fund Fees paid with respect to Funds currently on the Matrix platform, which will allow you to ascertain the Mutual Fund Fees paid by those Funds actually held by your Plan in a Matrix Trust account.

In addition, the recordkeeper for your Plan (which may be the Designated Representative) will generally provide you with information about the fees and costs, and certain other aspects, of any Funds or other investments that are designated under your Plan as investment alternatives to be made available to participants (assuming your Plan permits participant investment direction), which in many cases may be provided through fund prospectuses, fact sheets or similar documents. We encourage you to review these materials carefully to better understand the various fees and costs associated with these investments, including the payments they may make to Matrix Trust and other parties.

In accordance with agreements related to your Plan (some arrangements will be stipulated in an Administrative Fee Collection Addendum while others will be determined by the agreement between Matrix and the Designated Representative), Matrix Trust may deposit administrative servicing fees (“**Administrative Fees**”) in an amount equal to a percentage of any Mutual Fund Fees collected for administrative services provided to the Plan. MSCS Financial is compensated by the collection of Mutual Fund Fees. In accordance with an intercompany agreement between MSCS Financial and Matrix Trust, MSCS Financial pays to Matrix Trust the Administrative Fees, which Matrix Trust, in turn, pays out in accordance with the Administrative Fee Collection Addendum or Matrix agreements with the Designated Representative.

Administrative Fees will either be: (a) credited to an Administrative Fee Account until Matrix Trust is instructed to disburse them; (b) applied to offset the fees the Designated Representative owes to Matrix; (c) directed by the Designated Representative for credit to a Plan's account; or (d) directed by the Designated Representative to wire out to third-party service providers. As compensation for the collection of Mutual Fund Fees, MSCS Financial may retain for its services an amount equal to a percentage of Mutual Fund Fees collected or charge an annual flat fee amount; the actual percentage or flat fee amount for the Mutual Fund Fee collection is reflected in your Plan's Fee Sheet or obtainable from the Designated Representative (if your Plan has a Designated Representative).

Administrative Fees are generated only to the extent that Mutual Fund Fees are collected by MSCS Financial. If a Fund has not paid to MSCS Financial or does not pay Mutual Fund Fees, then no Administrative Fees will be generated.

If your Plan is invested in the CMFG Life Insurance Company Guaranteed Account or the BANC Master Deposit Account B, the entire 0.25% (25 basis point) administrative service fee is retained by MSCS Financial unless otherwise stipulated in your Plan's Fee Sheet.

In addition, payments to parties other than MSCS Financial or Matrix Trust from the related Administrative Fee Account statement may be reportable as direct or indirect compensation paid to *those* parties.

NSCC Transaction Fees

For each Fund transaction processed through the National Securities Clearing Corporation ("**NSCC**"), the NSCC's parent the Depository Trust & Clearing Corporation ("**DTCC**"), a financial services firm unaffiliated with Matrix, presently assesses a \$0.06 transaction-based fee, subject to change by the DTCC, for providing clearing and counterparty services. In accordance with the agreement between Matrix Trust/MSCS and the Designated Representative, DTCC transaction fees may be invoiced to, and paid by, the Designated Representative, unless otherwise stipulated in your Plan's Fee Sheet. Neither Matrix Trust and nor affiliates retain any portion of any DTCC transaction fees assessed.

ETF/Closed End Fund Trading Services

If the Plan offers one or more exchange-traded funds ("**ETFs**") and/or closed end funds ("**CEFs**") as investment options to Plan participants, a third-party unaffiliated subcontractor of Matrix, currently Virtu Americas LLC ("**Virtu**"), a broker-dealer, is paid certain commissions for executing ETF/CEF trades processed on the Matrix trading platform. Other unaffiliated third-party broker-dealers may be added as subcontractors (or replace Virtu) and may perform substantially equivalent services as Virtu. The commissions paid to such third-party broker-dealer(s) (including Virtu) (each an "**Outside BD**") are either: (a) passed through to the Plan by "netting" the commission amount from the trade in the Plan's account; (b) invoiced to the Designated Representative; or (c) paid by Matrix, with Matrix assessing additional basis points and/or minimum fees per the Plan's Fee Sheet. Currently, the Outside BD's commission charges, as the executing ETF/CEF broker, are:

- \$0.005 per share per ETF/CEF trade batch processed with execution by the Outside BD during market hours;
- \$0.01 per share per ETF/CEF trade, batch processed with standard Market-on-Close execution by the Outside BD;
- \$0.04 per share per ETF/CEF trade, batch processed with Market-on-Close execution by the Outside BD, with trade files received by the Outside BD after market close and with estimate files received by the Outside BD before market close (and with trade instructions received from the underlying Plan participant before market close); or
- \$0.09 per share per ETF/CEF trade, batch processed with Market-on-Close execution by the Outside BD, with trade files received by the Outside BD after market close without pre-market close estimate files (but with trade instructions received from the underlying Plan participant before market close).

Matrix does not retain any of the Outside BD's commissions described above; rather, the Outside BD retains 100% of these ETF/CEF commissions. Additionally, for ETF/CEF trades to receive pricing as of market close ("**Market-on-Close ETF/CEF Trades**"), the Outside BD will price such trades as of the closing price for such ETFs/CEFs, and in the process may incur gains and losses from such trades by executing hedging transactions in advance of the market close for the purpose of helping to ensure that the desired Market-on-Close ETF/CEF Trades can be timely processed at the closing price. Consistent with positions expressed by the U.S. Department of Labor, any such gains may be treated as compensation to the Outside BD for its services. The exact amount of any such net "compensation" cannot be predicted in advance, but it would be reasonable to assume that, over time, these transactions will involve both shortfalls (losses) and excesses (gains) to the Outside BD that should generally offset each other, and are therefore not expected to result in material net "profit" or "compensation" to the Outside BD.

ETF/CEF trade instructions may be received by MSCS after market close. When this occurs, the transaction will be processed the following business day. Because the price of an investment may change between the receipt of instructions and the execution of instructions, a transaction may result in either a shortfall or an excess. If the transaction results in a shortfall, Matrix Trust will promptly cover the shortfall to the extent necessary to process the transaction based on the price that would have been paid or realized by the Plan had the transaction been processed on the day Matrix received instruction. If the transaction results in an excess, Matrix Trust will retain the amount of the excess in a suspense account to be applied to future shortfalls. Additionally, Matrix Trust will retain nominal trading gains and incur nominal trading losses as a result of Matrix Trust's acquisition or disposal of fractional ETF/CEF shares necessary to complete ETF/CEF trade instructions. Such nominal gains and losses should generally offset each other and are therefore not expected to result in any additional net profit or "compensation" to Matrix Trust over time.

Self-Directed Brokerage Accounts

If the Plan offers a self-directed brokerage account ("**SDBA**") option to Plan participants, SDBA balances remain subject to the services and fees described under other sections of this disclosure and any related Fee Sheet, to the extent applicable. Also, where the Plan sponsor or other Plan fiduciary of the Plan and/or its Designated Representative has directed Matrix Trust to establish SDBA that is a TD Ameritrade Brokerage Account, pursuant to an agreement TD Ameritrade, MSCS will provide account reconciliation services, account set-up and maintenance, movement of cash between the Plan's core accounts and SDBAs, and related administrative tasks. For these services and others, MSCS will receive quarterly, in arrears, an amount equal to 0.00375% (0.375 basis points) of the value of Plan assets in the TD Ameritrade SDBAs during such quarter, based on the average of the Plan assets held in the SDBAs on the last business day of each month in the applicable quarter. TD Ameritrade pays these fees to MSCS.

In all cases, the fees described above do not include brokerage commissions or other fees payable to TD Ameritrade, or other SDBA provider, who are unaffiliated with Matrix Trust, MSCS and MSCS Financial.

Retirement Cash Account

Matrix Trust receives fees with respect to the Retirement Cash Account (if your Plan has selected the Retirement Cash Account as an investment option for participants), to the extent permitted by Applicable Rules (defined below), for providing services with respect to the account and the accountholders. Specifically, as provided under an agreement with JPMorgan, Matrix Trust receives a servicing fee in exchange for providing sub-accounting and support services, processing transactions and reconciling aggregate account activity with respect to funds deposited in the Retirement Cash Account with JPMorgan. The servicing fee is deducted by Matrix Trust from the total interest paid to Matrix Trust by JPMorgan, and is the difference between the total interest rate paid to Matrix Trust by JPMorgan, and the stated interest rate paid to Plan participants on their investments in the Retirement Cash Account. In other words, the servicing fees paid to Matrix Trust reduce the interest rate paid to Plan participants by a corresponding amount.

At each rate of total interest paid by JPMorgan (from 0.00% up to 5.00%), the share of such total interest that is credited as the “stated rate” of interest to Plan participants on their Retirement Cash Account balances, and the share of such total interest that is retained by Matrix Trust as its servicing fees, are set forth under a pre-established rate table. Such servicing fees are based on the average daily deposit balances in the Retirement Cash Account. The rate of the servicing fee that Matrix Trust receives may exceed the interest rate or effective yield the depositors receive from the Retirement Cash Account. No portion of these servicing fees will reduce or offset the fees otherwise due to Matrix Trust unless required by Applicable Rules. “**Applicable Rules**” means all applicable federal and state laws, rules and regulations, rules of any self-regulatory organization, and the constitution and applicable rules, regulations, customs, and usages of the exchange or market and its clearinghouse. Unless stated otherwise in a separate schedule, other than the applicable fees charged on Plan custody accounts, there are no separate charges, fees (other than the servicing fee described above), or commissions paid to Matrix Trust or its affiliates as a result of, or otherwise in connection with, the Retirement Cash Account.

As the total interest rate paid by JPMorgan increases, the servicing fees will likewise increase, and if the total interest rate paid by JPMorgan decreases, the servicing fees will likewise decrease. While the full rate table is available and accessible (as explained above), it is very voluminous. However, the following summarizes the general ranges of the stated interest rates paid to Plan participants and Matrix Trust’s servicing fees at various rates of total interest, as determined under the rate table:

When the total rate of interest* paid (annually) by JPMorgan is between:	The stated interest rate paid to Plan participants ranges from:	The servicing fees retained by Matrix Trust ranges from:
0.00% and 0.50%	0.00% and 0.13%	0.00% and 0.37%
0.51% and 1.00%	0.13% and 0.25%	0.38% and 0.75%
1.01% and 2.00%	0.26% and 0.50%	0.75% and 1.50%
2.01% and 3.00%	0.51% and 1.23%	1.50% and 1.77%
3.01% and 4.00%	1.23% and 1.90%	1.78% and 2.10%
4.01% and 5.00%	1.91% and 2.63%	2.10% and 2.37%

*With respect to the cash balances within the ModelTool(k)it™, this “total rate of interest” shown in the table is the net rate of interest paid by JPMorgan after applicable Bank Balance Based Charges billed to and paid by Matrix Trust Company.

For more specific information, Retirement Cash Account disclosures and the current interest rate payable at any given time will be available online at: <https://www.broadridge.com/assets/pdf/broadridge-msb-retirement-cash-account.pdf>.

A copy of the full rate table and the current interest rate payable at any given time may be obtained by calling Matrix Trust Client Services at 888-947-3472.

Based upon the total rates of interest paid by JPMorgan in recent periods, and the total rates of interest that Matrix Trust generally expects that JPMorgan would intend to pay in the future, a reasonable estimate of the servicing fees retained by Matrix Trust would usually be between 0% and 2.37%. However, we should emphasize that Matrix Trust cannot control or predict the total interest rates payable by JPMorgan in the future, which makes it impossible to predict the rate of Servicing Fees we will receive at any given time. Therefore, to help you make a fully informed decision about whether to utilize (or continue utilizing) the Retirement Cash Account at any given time, we strongly recommend that you access the online materials or contact Matrix Trust Client Services as described above, to be provided with more detailed information.

Proprietary Funds

Proprietary funds (“**Proprietary Funds**”) are collective investment trusts or other funds for which Matrix Trust serves as trustee. Collective investment fund options that are currently indicated on the following website are considered Proprietary Funds: <https://www.broadridge.com/cit/matrix-cits>.

If the Plan has selected a Proprietary Fund as an investment option, Matrix Trust may receive compensation for providing trustee or investment management services to the Proprietary Fund. Such compensation varies by Proprietary Fund and generally ranges on a gross basis from 0.03% (3 basis points) annually to 0.50% (50 basis points) annually, not including audit fees, which are fixed amounts that may represent fees from essentially 0.00% (0 basis points) annually to 0.05% (5 basis points) annually. Larger compensation rates may be inclusive of advisory fees and custodian fees paid to advisors to plans or to custodians/platforms for plans. Matrix Trust generally retains as compensation a net of 0.03% (3 basis points) annually through 0.08% (8 basis points) annually. Compensation earned by Matrix Trust in connection with services provided to the Proprietary Fund is described in the Proprietary Fund's participation agreement and disclosure materials, which you would have received from the recordkeeper for your Plan (which may be your Plan's Designated Representative). We encourage you to review these materials carefully to better understand the various fees and costs associated with these Proprietary Fund investments, including the payments they may make to Matrix Trust and other parties.

Proprietary Funds may be selected to be included within your Plan, and any compensation Matrix Trust may receive for providing trustee or investment management service to the Proprietary Fund would be in addition to any fees that Matrix Trust or its affiliates may receive as described in this Fee Disclosure, including but not limited to fees in connection with ModelTool(k)it™ Services (discussed below).

ModelTool(k)it™ Services

Where the named fiduciary of the Plan and/or Designated Representative has entered into an agreement to subscribe to ModelTool(k)it™ (“**MTK**”) for the Plan, a total annual fee of 0.025% (2.5 basis points), the ModelTool(k)it™ Platform Fee, charged monthly in arrears applies to the market value of assets covered under the MTK agreement. This fee is deducted directly from the Plan Account from the assets covered under the MTK agreement. Of the total fee, a third-party subcontractor of MSCS, Envestnet Retirement Services (“**ERS**”) receives approximately (no less than) 0.02% (2.0 basis points) as its compensation for providing services detailed in the Plan's MTK agreement, and MSCS itself will retain approximately (no more than) 0.005% (0.5 basis points) for facilitating MTK as a service on the MSCS trading platform. All other applicable services and fees will continue to apply, except that if investments subject to the MTK agreement generate Mutual Fund Fees, MSCS Financial will collect such Mutual Fund Fees as compensation, but Matrix Trust will pay an Administrative Fee in an amount equal to one hundred percent (100%) of such Mutual Fund Fees to the Plan's MTK unitized portfolio.

If ETF/CEF shares are part of a model, the ETF/CEF share trades will be assessed commission charges at the rate charged for ETF/CEF trades batch processed with execution during market hours, currently \$0.005 per share. ETF/CEF and mutual fund trade instructions may be received by MSCS after market close. When this occurs, the transaction may be processed the following business day. Because the price of an investment may change between the receipt of instructions and the execution of instructions, a transaction may result in either a shortfall or an excess. If the transaction results in a shortfall, Matrix Trust will promptly cover the shortfall to the extent necessary to process the transaction based on the price that would have been paid or realized by the Plan had the transaction been processed on the day Matrix Trust received instruction. If the transaction results in an excess, Matrix Trust will retain the amount of the excess to be applied to future shortfalls. Additionally, Matrix Trust will retain nominal trading gains and incur nominal trading losses as a result of Matrix Trust's acquisition or disposal of fractional ETF/CEF shares necessary to complete ETF/CEF trade instructions. Consistent with positions expressed by the U.S. Department of Labor, any such excesses or gains may be treated as compensation to Matrix Trust for its services. The exact amount of any such net “compensation” cannot be predicted in advance, but it would be reasonable to assume that, over time, these transactions will involve both shortfalls (losses) and

excesses (gains) to Matrix Trust that should generally offset each other, and are therefore not expected to result in material net “profit” or “compensation” to Matrix Trust.

If ETF/CEF shares are part of a model, a portion of the unitized portfolio must be kept in a liquidity vehicle. Currently, this liquidity vehicle, or cash investment allocation, is a bank account maintained by Matrix Trust at JPMorgan, and Matrix Trust will credit interest on such liquidity vehicle. Matrix Trust may retain as part of its compensation, for sub-accounting services related to the liquidity vehicle, a reasonable fee based on the difference of the rate paid by the bank (after deduction of “Bank Balance Based Charges” representing JPMorgan fees billed to and paid by Matrix Trust) and the rate credited to the unitized portfolio for the liquidity vehicle.

Pursuant to an arrangement between Matrix Trust and JPMorgan, Matrix Trust receives a servicing fee in exchange for providing sub-accounting and support services, processing transactions and reconciling aggregate account activity with respect to funds deposited in the liquidity vehicle. The servicing fee is paid by JPMorgan; more specifically, the servicing fee is deducted by Matrix Trust from the total interest paid to Matrix Trust by JPMorgan, and is the difference between the total interest rate paid to Matrix Trust by JPMorgan under the arrangement described above (net of the Bank Balance Based Charges) and the stated interest rate paid to the unitized portfolio for the liquidity vehicle. In other words, the servicing fees paid to Matrix reduce the interest rate paid to unitized portfolio by a corresponding amount. As the total interest rate paid by JPMorgan increases, the servicing fees will likewise increase, and if the total interest rate paid by JPMorgan decreases, the servicing fees will likewise decrease.

While the full rate table is available and accessible, it is very voluminous. For a summary of the general ranges of the stated interest rates paid to the unitized portfolio for the liquidity vehicle and Matrix Trust’s servicing fees at various rates of total interest, please see Table 1 under Retirement Cash Account section of this disclosure. For the current stated interest rate paid to the unitized portfolio for the liquidity vehicle and Matrix Trust’s servicing fee rate, please see the Rate Table found by accessing the following link:

<https://www.broadridge.com/assets/pdf/broadridge-msb-retirement-cash-account.pdf>.

Please note, while the rates involved in the liquidity vehicle are comparable to the rates involved in the Retirement Cash Account, the unitized portfolio is not invested in the Retirement Cash Account.

Level Compensation Services (if elected and to the extent applicable)

Where the named fiduciary of the Plan has engaged a broker (registered representative) whose firm utilizes the Matrix Trust Level Compensation Services, in addition to any other applicable services and fees, MSCS Financial will serve as Broker of Record for investment transactions, and will retain up to 0.02% (2 basis points) of the Plan’s total assets, with such fees coming from any 12b-1 fees and shareholder servicing (“**Level Compensation Fees**”) it collects from Funds on behalf of the broker. For certain plans whose investment lineup pays differing compensation per investment, brokers may receive Level Compensation Fees based on an approximate weighted average (“**Weighted Average**”) of fees paid by or on behalf of Funds. Where Weighted Average is in place, MSCS Financial may retain an overage in the amount fees received from or on behalf of the Funds. This overage amount may be an amount up to 0.05% (5 basis points) of plan assets because Weighted Average Level Compensation Fee percentages are set by MSCS on 0.05% (5 basis point) increments. If you have engaged an investment adviser for your Plan whose firm utilizes the RIA Remittance Services of the MSCS Level Compensation Services, in addition to any other applicable services and fees, MSCS will be paid a fee of up to 0.015% (1.5 basis points) of the Plan’s total assets (also referenced as “**Level Compensation Fees**”). With respect to brokers, the Level Compensation Fees are in exchange for MSCS Financial’s administrative services in collecting and distributing Level Compensation Fees to the broker. With respect to investment advisers, the Level Compensation fees are in exchange for MSCS’s administrative services in collecting from the Designated Representative and distributing to the investment adviser the adviser’s advisory fees (*i.e.*, facilitating RIA fee remittance services). Per the agreement setting forth the Level Compensation Services between MSCS and the broker-dealer or investment advisory firm, this compensation is deducted from Level Compensation Fees as received from the Plan’s Funds.

Stale Dated Check Services

Matrix Trust provides services to assist with the resolution of Plan participants' stale dated checks, as directed by a Designated Representative. Where the named fiduciary of the Plan and/or its Designated Representative has elected to utilize certain services to assist in the resolution of participant related stale dated checks, an unaffiliated subcontractor to Matrix Trust and MSCS, PBI Research Services, Pension Benefit Information, LLC ("**PBI**"), receives \$40 per check as direct compensation which is deducted directly from the Plan (*i.e.*, from the stale dated check amount). This compensation to PBI is for its services which includes conducting a search, related communications, and distributing funds to affected Plan participants. Matrix Trust and its affiliates do not retain any portion of the \$40 per check fee that is payable to PBI. All float income to Matrix Trust will cease with respect to the stopped check from the time the check is stopped, but float income related to the period beginning with the issuance of the distribution check through the date the check was stopped will be retained by Matrix Trust.

Proceeds of Corrective Transactions

Matrix Trust receives investment instructions and, although rare, occasional errors in the instructions themselves or the processing of instructions may occur. The causes of such errors may include, but are not necessarily limited to, entry of an erroneous trade ("buy" vs. "sell," or vice versa), dollar amount or number of shares, incorrect identification of the security, duplication of orders (such as, instructions entered more than once), or untimely transmittal of instructions. When an error is discovered, action is taken to correct the transaction in a manner intended to avoid or minimize harm or disruption to the Plan. Because the price of an investment may change between the processing of erroneous instructions and the execution of corrective instructions, a corrective transaction may result in either a shortfall or an excess. If the error originates with Matrix Trust and the corrective transaction results in a shortfall, Matrix Trust will promptly cover the shortfall to the extent necessary to process the transaction based on the price that would have been paid or realized by the Plan had the transaction been processed as instructed. If the corrective transaction results in an excess, Matrix Trust will retain the amount of the excess to be applied to future shortfalls resulting from trade errors. Consistent with positions expressed by the U.S. Department of Labor, any such excess proceeds may be treated as compensation to Matrix Trust for its services. The exact amount of any such net "compensation" cannot be predicted in advance, but it would be reasonable to assume that, over time, corrective transactions will involve both shortfalls (losses) and excesses (gains) to Matrix Trust that should generally offset each other, and are therefore not expected to result in material net "profit" or "compensation" to Matrix Trust.

Non-Monetary Compensation

Matrix Trust and MSCS Financial maintain policies that place limits on the circumstances under which gifts, travel and entertainment may be accepted by employees. Other than for modest gifts given or received in the normal course of business, employees are not permitted to receive gifts from clients and vendors. Under the 408(b)(2) regulation, a service provider's acceptance of these non-monetary items may involve the receipt of indirect compensation from a plan where the value attributable to the plan, on a pro rata basis, exceeds \$250 over the term of the plan's contract with the service provider. In light of the policies, Matrix Trust does not anticipate that the value of any such non-monetary items will approach the \$250 threshold with respect to the Plan.

Completing Schedule C

You will need the following information to complete Schedule C.

Matrix Trust Company 717 17th Street, Suite 1300 Denver, CO 80202 Employer Identification Number: 75-3182674	MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC 717 17 th Street, Suite 1300 Denver, CO 80202 Employer Identification Number: 33-1151291
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For Direct Compensation, you will be required to provide the Matrix Trust address or Employer Identification Number.

For Indirect Compensation, Matrix Trust provided you with a formula so that you can report this arrangement as Eligible Indirect Compensation, and you will be required to enter the MSCS Financial and Matrix Trust names and Employer Identification Numbers or addresses.

If you have any questions regarding this information, please contact your Matrix Trust Company Relationship Manager.

These materials and any attachments do not constitute tax or legal advice. Please seek the advice of competent investment, tax or legal counsel with respect to your investment, tax or legal questions.

SCHEDULE H, LINE 4i, SCHEDULE OF ASSETS (HELD AT END OF YEAR)*
DECEMBER 31, 2021

*See attached financial statements with auditor's report.