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| <b>Form 5500</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security<br>Administration<br><br>Pension Benefit Guaranty Corporation | <b>Annual Return/Report of Employee Benefit Plan</b><br>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).<br><br><b>▶ Complete all entries in accordance with the instructions to the Form 5500.</b> | OMB Nos. 1210-0110<br>1210-0089<br><br><b>2021</b><br><br><b>This Form is Open to Public Inspection</b> |
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|                                                                                                           |                                                                                                                                                                                                                                                                                                                                            |
|-----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part I Annual Report Identification Information</b>                                                    |                                                                                                                                                                                                                                                                                                                                            |
| For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>  |                                                                                                                                                                                                                                                                                                                                            |
| <b>A</b> This return/report is for:                                                                       | <input type="checkbox"/> a multiemployer plan <input type="checkbox"/> a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)<br><input checked="" type="checkbox"/> a single-employer plan <input type="checkbox"/> a DFE (specify) _____ |
| <b>B</b> This return/report is:                                                                           | <input type="checkbox"/> the first return/report <input type="checkbox"/> the final return/report<br><input type="checkbox"/> an amended return/report <input type="checkbox"/> a short plan year return/report (less than 12 months)                                                                                                      |
| <b>C</b> If the plan is a collectively-bargained plan, check here. . . . .                                | <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                   |
| <b>D</b> Check box if filing under:                                                                       | <input checked="" type="checkbox"/> Form 5558 <input type="checkbox"/> automatic extension <input type="checkbox"/> the DFVC program<br><input type="checkbox"/> special extension (enter description)                                                                                                                                     |
| <b>E</b> If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . | <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                   |

|                                                                                                                                                                                                                                                               |                                                                                                                                                                                           |  |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| <b>Part II Basic Plan Information—enter all requested information</b>                                                                                                                                                                                         |                                                                                                                                                                                           |  |  |
| <b>1a</b> Name of plan                                                                                                                                                                                                                                        | <u>REED SMITH 401(K) PLAN</u>                                                                                                                                                             |  |  |
| <b>1b</b> Three-digit plan number (PN) ▶                                                                                                                                                                                                                      | <u>001</u>                                                                                                                                                                                |  |  |
| <b>1c</b> Effective date of plan                                                                                                                                                                                                                              | <u>01/01/1969</u>                                                                                                                                                                         |  |  |
| <b>2a</b> Plan sponsor's name (employer, if for a single-employer plan)<br>Mailing address (include room, apt., suite no. and street, or P.O. Box)<br>City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) | <u>REED SMITH LLP</u><br><br><u>C/O SUSAN M WEBER</u><br><u>20 STANWIX ST STE 1200</u> <u>20 STANWIX ST STE 1200</u><br><u>PITTSBURGH, PA 15222-4803</u> <u>PITTSBURGH, PA 15222-4803</u> |  |  |
| <b>2b</b> Employer Identification Number (EIN)                                                                                                                                                                                                                | <u>25-0749630</u>                                                                                                                                                                         |  |  |
| <b>2c</b> Plan Sponsor's telephone number                                                                                                                                                                                                                     | <u>412-288-3217</u>                                                                                                                                                                       |  |  |
| <b>2d</b> Business code (see instructions)                                                                                                                                                                                                                    | <u>541110</u>                                                                                                                                                                             |  |  |

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

|                  |                                                   |            |                                                              |
|------------------|---------------------------------------------------|------------|--------------------------------------------------------------|
| <b>SIGN HERE</b> | Filed with authorized/valid electronic signature. | 10/14/2022 | SUSAN WEBER                                                  |
|                  | Signature of plan administrator                   | Date       | Enter name of individual signing as plan administrator       |
| <b>SIGN HERE</b> | Signature of employer/plan sponsor                | Date       | Enter name of individual signing as employer or plan sponsor |
| <b>SIGN HERE</b> | Signature of DFE                                  | Date       | Enter name of individual signing as DFE                      |

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)  
v. 210624

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>3a</b> Plan administrator's name and address <input type="checkbox"/> Same as Plan Sponsor<br><br>CHIEF HUMAN RESOURCES OFFICER<br>C/O SUSAN M WEBER<br>20 STANWIX ST STE 1200<br>PITTSBURGH, PA 15222-4803                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>3b</b> Administrator's EIN<br>25-1607360<br><br><b>3c</b> Administrator's telephone number<br>412-288-3217                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>4</b> If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:<br><b>a</b> Sponsor's name<br><b>c</b> Plan Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>4b</b> EIN<br><br><b>4d</b> PN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>5</b> Total number of participants at the beginning of the plan year                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>5</b> 3340                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines <b>6a(1)</b> , <b>6a(2)</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).<br><br><b>a(1)</b> Total number of active participants at the beginning of the plan year.....<br><b>a(2)</b> Total number of active participants at the end of the plan year .....<br><b>b</b> Retired or separated participants receiving benefits.....<br><b>c</b> Other retired or separated participants entitled to future benefits .....<br><b>d</b> Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b> .....<br><b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. ....<br><b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....<br><b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....<br><b>h</b> Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested ..... |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <div style="background-color: #cccccc; height: 20px; margin-bottom: 5px;"></div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> <span><b>6a(1)</b></span> <span>2127</span> </div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> <span><b>6a(2)</b></span> <span>2173</span> </div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> <span><b>6b</b></span> <span>67</span> </div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> <span><b>6c</b></span> <span>1210</span> </div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> <span><b>6d</b></span> <span>3450</span> </div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> <span><b>6e</b></span> <span>4</span> </div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> <span><b>6f</b></span> <span>3454</span> </div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; margin-bottom: 5px;"> <span><b>6g</b></span> <span>3194</span> </div> <div style="display: flex; justify-content: space-between;"> <span><b>6h</b></span> <span></span> </div> |
| <b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>7</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>8a</b> If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:<br>2E 2G 2J 2K 2R 2T<br><br><b>b</b> If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| <b>9a</b> Plan funding arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>9b</b> Plan benefit arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| <b>10</b> Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| <b>a Pension Schedules</b><br>(1) <input checked="" type="checkbox"/> <b>R</b> (Retirement Plan Information)<br><br>(2) <input type="checkbox"/> <b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary<br><br>(3) <input type="checkbox"/> <b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>b General Schedules</b><br>(1) <input checked="" type="checkbox"/> <b>H</b> (Financial Information)<br>(2) <input type="checkbox"/> <b>I</b> (Financial Information – Small Plan)<br>(3) <input type="checkbox"/> <u>0</u> <b>A</b> (Insurance Information)<br>(4) <input checked="" type="checkbox"/> <b>C</b> (Service Provider Information)<br>(5) <input checked="" type="checkbox"/> <b>D</b> (DFE/Participating Plan Information)<br>(6) <input type="checkbox"/> <b>G</b> (Financial Transaction Schedules) |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

**Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)**

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_

|                                                                                                                                                                                                                                              |                                                                                                                                                                                                                             |                                         |
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| <div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury<br/>Internal Revenue Service</div> <div>Department of Labor<br/>Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div> | <div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> | OMB No. 1210-0110                       |
|                                                                                                                                                                                                                                              |                                                                                                                                                                                                                             | 2021                                    |
|                                                                                                                                                                                                                                              |                                                                                                                                                                                                                             | This Form is Open to Public Inspection. |

|                                                                                            |                                                      |     |
|--------------------------------------------------------------------------------------------|------------------------------------------------------|-----|
| For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021 |                                                      |     |
| A Name of plan<br>REED SMITH 401(K) PLAN                                                   | B Three-digit plan number (PN) ▶                     | 001 |
|                                                                                            |                                                      |     |
| C Plan sponsor's name as shown on line 2a of Form 5500<br>REED SMITH LLP                   | D Employer Identification Number (EIN)<br>25-0749630 |     |

|        |                                                 |
|--------|-------------------------------------------------|
| Part I | Service Provider Information (see instructions) |
|--------|-------------------------------------------------|

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

|                                                                                                            |
|------------------------------------------------------------------------------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                                         |
| 04-2647786                                                                                                 |

|                                                                                                            |
|------------------------------------------------------------------------------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
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| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
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| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDUCIENT ADVISORS LLC

36-4001764

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 27                     | INVESTMENT ADVISOR                                                                                | 80855                                                                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS, INC.

04-2654524

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 27                     | ADVISOR                                                                                           | 59752                                                                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

(a) Enter name and EIN or address (see instructions)

BAKER TILLY US, LLP

39-0859910

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 10                     | ACCOUNTANT/AUDITOR                                                                                | 23250                                                                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 37 60 64<br>65 71      | RECORDKEEPER                                                                                      | -193060                                                                | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                                            | 0                                                                                                                                                                               | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
|                        |                                                                                                   |                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                             | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

(a) Enter name and EIN or address (see instructions)

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
|                        |                                                                                                   |                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                             | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| DODGE & COX STOCK - DST ASSET MANA 430 W 7TH STREET STE 219432<br>KANSAS CITY, MO 64105 | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| METWEST TOT RTN BD I - BNY MELLON 301 BELLEVUE PARKWAY<br>WILMINGTON, DE 19809          | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| WB SMALL CAP VALUE I - DST SYSTEMS                                                      | 0.15%                                                                                                                                                              |                                           |
| 43-1581814                                                                              |                                                                                                                                                                    |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                          | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|--------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                               | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                              | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| INVESCO DEVELOPING MARKETS Y<br>11 GREENWAY PLAZA SUITE 100<br>HOUSTON, TX 77046                 | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                          | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                               | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                              | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| AMG YACHTMAN FOCUSED N<br>600 STEAMBOAT ROAD SUITE 300<br>GREENWICH, CT 06830                    | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                          | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                               | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                              | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| ABERDEEN EMERGING MARKETS FD<br>INSTL<br>1735 MARKET STREET 32ND FLOOR<br>PHILADELPHIA, PA 19103 | 0.15%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                          | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                               | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation              | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| SHENKMAN CAPITAL SRT DURATION HGH 461 FIFTH AVE 22ND FLOOR<br>NEW YORK, NY 10017 | 0.08%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                          | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                               | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation              | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| GQG PARTNERS EMRGING MARKETS<br>EQUIT 1 FREEDOM VALLEY DRIVE<br>OAKS, PA 19456   | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                          | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                               | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation              | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| ALGER SPECTRA FUND CLASS CL A 600 PLAZA ONE 6TH FL<br>JERSEY CITY, NJ 07311      | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                        | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|--------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                             | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation            | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| AMERICAN AMCAP CLASS A<br>3500 WISEMAN BLVD<br>SAN ANTONIO, TX 78251-4321      | \$18.00                                                                                                                                                            |                                           |
| (a) Enter service provider name as it appears on line 2                        | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                             | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation            | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| AMERICAN MUTUAL FUNDCLASS A<br>3500 WISEMAN BLVD<br>SAN ANTONIO, TX 78251-4321 | \$18.00                                                                                                                                                            |                                           |
| (a) Enter service provider name as it appears on line 2                        | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                             | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation            | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| ARBITRAGE FUND CLASS I<br>1290 BROADWAY SUITE 1100<br>DENVER, CO 80203         | 0.12%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2 | (b) Service Codes<br>(see instructions) | (c) Enter amount of indirect compensation |
|---------------------------------------------------------|-----------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                      | 60                                      | 0                                         |

| (d) Enter name and EIN (address) of source of indirect compensation                           | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|-----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ARTISAN SMALL CAP FUND INVESTOR CL 875 EAST WISCONSIN AVENUE SUITE 800<br>MILWAUKEE, WI 53202 | 0.39%                                                                                                                                                              |

| (a) Enter service provider name as it appears on line 2 | (b) Service Codes<br>(see instructions) | (c) Enter amount of indirect compensation |
|---------------------------------------------------------|-----------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                      | 60                                      | 0                                         |

| (d) Enter name and EIN (address) of source of indirect compensation                         | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ARTISAN INTERNATL INVESTOR CLASS 875 EAST WISCONSIN AVENUE SUITE 800<br>MILWAUKEE, WI 53202 | 0.39%                                                                                                                                                              |

| (a) Enter service provider name as it appears on line 2 | (b) Service Codes<br>(see instructions) | (c) Enter amount of indirect compensation |
|---------------------------------------------------------|-----------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                      | 60                                      | 0                                         |

| (d) Enter name and EIN (address) of source of indirect compensation                           | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|-----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ARTISAN DEVELOPING WORLD FD INVEST 875 EAST WISCONSIN AVENUE SUITE 800<br>MILWAUKEE, WI 53202 | 0.39%                                                                                                                                                              |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                   | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                        | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                       | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| ARTISAN MID CAP FUND ADVISOR 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202      | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                   | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                        | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                       | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| ARTISAN INTL VALUE FUND ADVISOR 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202   | 0.15%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                   | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                        | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                       | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| ARTISAN HIGH INCOME FUND INVESTOR 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202 | 0.39%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| BNY MELLON BOND MARKET INDEX I 144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556     | 0.09%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| BNY MELLON INSTL S&P 500 STOCK IND 144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556 | 0.09%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| BARON PARTNERS FUND 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153                        | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                  | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| BLACKROCK STRATEGIC INCOME<br>OPPTS                                 | 40 EAST 52ND STREET<br>NEW YORK, NY 10022                                                                                                                          | 0.15%                                     |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                  | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| BROWN ADVISORY GROWTH EQUITY ADV                                    | 777 E. WISCONSIN AVE 4TH FLOOR<br>MILWAUKEE, WI 53202                                                                                                              | 0.40%                                     |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                  | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| CALVERT EMERGING MARKETS EQUITY<br>CL                               | 4550 MONTGOMERY AVENUESUITE 1000 N<br>BETHESDA, MD 20814                                                                                                           | 0.40%                                     |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                        | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                             | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                            | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| CARILLON SCOUT MID CAP FUND CL Y      P. O. BOX 33022<br>ST PETERSBURG, FL 33733-8022          | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                        | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                             | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                            | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| COHEN & STEERS REAL ESTATE SECURIT      280 PARK AVENUE 10TH FLOOR<br>NEW YORK, NY 10017       | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                        | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                             | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                            | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| DIAMOND HILL LARGE CAP FUND CLASS      325 JOHN H. MCCONNELL BLVD. SUITE<br>COLUMBUS, OH 43215 | 0.10%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                  | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                 | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| DOUBLELINE TOTAL RETURN BOND FD CL 777 EAST WISCONSIN AVENUE<br>MILWAUKEE, WI 53202 | 0.06%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                  | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                 | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| DRIEHAUS EMERGING MARKETS SM CAP 25 EAST ERIE STREET<br>G CHICAGO, IL 60611         | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                  | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                 | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| AMERICAN EUROPACIFICGROWTH CLASS 3500 WISEMAN BLVD<br>A SAN ANTONIO, TX 78251-4321  | \$18.00                                                                                                                                                            |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| AMERICAN EUROPACIFICGROWTH CLASS F 3500 WISEMAN BLVD<br>SAN ANTONIO, TX 78251-4321 | 0.37%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| FMI LARGE CAP FUND 777 EAST WISCONSIN AVENUE MK WI T4M<br>MILWAUKEE, WI 53202      | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| FMI INTERNATIONAL 777 EAST WISCONSIN AVENUE MK WI T4M<br>MILWAUKEE, WI 53202       | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2 | (b) Service Codes<br>(see instructions) | (c) Enter amount of indirect compensation |
|---------------------------------------------------------|-----------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                      | 60                                      | 0                                         |

| (d) Enter name and EIN (address) of source of indirect compensation                      | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| FMI LARGE CAP FUND INSTITUTIONAL 777 EAST WISCONSIN AVENUE MK WI T4M MILWAUKEE, WI 53202 | 0.10%                                                                                                                                                              |

| (a) Enter service provider name as it appears on line 2 | (b) Service Codes<br>(see instructions) | (c) Enter amount of indirect compensation |
|---------------------------------------------------------|-----------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                      | 60                                      | 0                                         |

| (d) Enter name and EIN (address) of source of indirect compensation             | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| FEDERATED HERMES KAUFMANN FUND CL 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515 | \$12.00                                                                                                                                                            |

| (a) Enter service provider name as it appears on line 2 | (b) Service Codes<br>(see instructions) | (c) Enter amount of indirect compensation |
|---------------------------------------------------------|-----------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                      | 60                                      | 0                                         |

| (d) Enter name and EIN (address) of source of indirect compensation             | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |
|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| FEDERATED HERMES KAUFMANN FUND CL 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515 | 0.40%                                                                                                                                                              |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| GUGGENHEIM MACRO OPPORTUNITIES<br>IN 9601 BLACKWELL RD. STE. 500<br>ROCKVILLE, MD 20850 | 0.15%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| HARBOR HY BOND INST 111 S. WACKER DR 34TH FLOOR<br>CHICAGO, IL 60606                    | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| OAKMARK GLOBAL SELECT FD INVESTOR 111 SOUTH WACKER DR.<br>CHICAGO, IL 60606             | 0.35%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                        | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|--------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                             | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation            | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| HENNESSY CORNERSTONEMID CAP 30 INV 7250 REDWOOD BLVD. STE 200 NOVATO, CA 94945 | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                        | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                             | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation            | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| HENNESSY CORNERSTONELARGE GRWTH IN 7250 REDWOOD BLVD. STE 200 NOVATO, CA 94945 | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                        | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                             | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation            | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| HENNESSY FOCUS FUND INVESTOR CLASS 7250 REDWOOD BLVD. STE 200 NOVATO, CA 94945 | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| HENNESSY SMALL CAP FINANCIAL INVST 7250 REDWOOD BLVD. STE 200<br>NOVATO, CA 94945 | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| HOMESTEAD SMALL COMPANY STOCK FD 4301 WILSON BLVD<br>ARLINGTON, VA 22203          | 0.12%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| JOHN HANCOCK DISCIPLINED VALUE MID 601 CONGRESS ST. 9TH FL.<br>BOSTON, MA 02210   | 0.15%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                    | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|--------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                         | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                        | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| LAZARD EMERGING MKTSEQUITY OPEN CL<br>30 ROCKEFELLER PLAZA 57TH FL.<br>NEW YORK, NY 10112  | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                    | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                         | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                        | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| BRANDYWINEGLOBAL ALTERNATIVE CREDI<br>620 8TH AVENUE 49TH FL.<br>NEW YORK, NY 10018        | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                    | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                         | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                        | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| LORD ABBETT BOND DEBENTURE CLASS A<br>90 HUDSON STREET 10TH FLOOR<br>JERSEY CITY, NJ 07302 | 0.37%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| MAINSTAY WINSLOW LRGCAP GROWTH CLA 30 HUDSON STREET 23RD FLOOR ARLINGTON, NJ 07032 | 0.15%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| MATTHEWS PACIFIC TIGER FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111 | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| MORGAN STANLEY DISCOVERY PORT CL A 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036   | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                         | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                              | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation             | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| RATIONAL SPECIAL SITUATIONS INC IN 80 ARKAY DRIVE SUITE 110 HAUPPAUGE, NY 11788 | 0.15%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                         | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                              | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation             | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| ALPHACENTRIC INCOME OPPORTUNITIES 80 ARKAY DRIVE HAUPPAUGE, NY 11788            | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                         | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                              | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation             | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| CATALYST ENHANCED INCOME STRATEGY 80 ARKAY SUITE 110 HAUPPAUGE, NY 11788        | 0.10%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                     | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                          | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                         | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| AMERICAN NEW WORLD FUND CLASS A 3500 WISEMAN BLVD<br>SAN ANTONIO, TX 78251-4321             | \$18.00                                                                                                                                                            |                                           |
| (a) Enter service provider name as it appears on line 2                                     | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                          | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                         | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| AMERICAN NEW WORLD CLASS F1 3500 WISEMAN BLVD<br>SAN ANTONIO, TX 78251-4321                 | 0.37%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                     | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                          | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                         | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| ATHENA BEHAVIORAL TACTICAL FD CL A 1580 LINCOLN ST SUITE 680<br>GREENWOOD VILLAGE, CO 80111 | 0.10%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PFG MFS AGGRESSIVE GROWTH STRATEGY 777 108TH AVE NE STE 2100<br>BELLEVUE, WA 98004 | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PFG JP MORGAN TACTICAGGRESSIVE STR 777 108TH AVE NE STE 2100<br>BELLEVUE, WA 98004 | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PFG INVESCO THEMATIC ESG STRATEGY 777 108TH AVE NE STE 2100<br>BELLEVUE, WA 98004  | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PFG EQUITY INDEX FOCUSED STRATEGY 777 108TH AVE NE STE 2100<br>BELLEVUE, WA 98004 | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PFG SECTOR EQUITY BUS CYCLE STRAT 777 108TH AVE NE STE 2100<br>BELLEVUE, WA 98004 | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PFG BR EQUITY ESG STRATEGY CL R 777 108TH AVE NE STE 2100<br>BELLEVUE, WA 98004   | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                  | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                 | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PFG AMERICAN FUNDS GROWTH STRAT CL 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004     | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                  | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                 | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| OBERWEIS CHINA OPPORTUNITIES FUND 3333 WARRENVILLE ROAD STE. 500 LISLE, IL 60532    | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                  | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                 | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PARNASSUS ENDEAVOR FUND ONE MARKET STREET STEUART TOWER SU1 SAN FRANCISCO, CA 94105 | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PEAR TREE POLARIS FRGN VAL SM CAP 55 OLD BEDFORD ROAD<br>LINCOLN, MA 01773        | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PIMCO INCOME FUND CL A 1633 BROADWAY<br>NEW YORK, NY 10019                        | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| T ROWE PRICE GBL MULTI SECTOR BON 4515 PAINTERS MILL RD<br>OWINGS MILLS, MD 21117 | 0.15%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                         | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                              | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                             | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| BOSTON PARTNERS SM CAP VALUE II IN 223 WILMINGTON WEST CHESTER PIKE #2<br>CHADDS FORD, PA 19317 | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                         | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                              | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                             | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| RIVERPARK SHORT TERM HIGH YIELD IN ONE FREEDOM VALLEY DRIVE<br>OAKS, PA 19456                   | 0.12%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                         | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                              | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                             | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| T ROWE PRICE DIVIDEND GROWTH 4515 PAINTERS MILL RD<br>OWINGS MILLS, MD 21117                    | 0.15%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                     | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                    | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| T ROWE PRICE NEW ASIA<br>4515 PAINTERS MILL RD<br>OWINGS MILLS, MD 21117               | 0.15%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                     | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                    | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| T ROWE PRICE EMERGING MKTS STOCK<br>4515 PAINTERS MILL RD<br>OWINGS MILLS, MD 21117    | 0.15%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                     | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                    | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| ROYCE SPECIAL EQUITYINVESTMENT CL<br>745 FIFTH AVENUE SUITE 2400<br>NEW YORK, NY 10151 | 0.15%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|---------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| RYDEX BIOTECHNOLOGY INV CLASS      9601 BLACKWELL RD. STE. 500<br>ROCKVILLE, MD 20850             | 0.40%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| AMERICAN SMALL CAP WORLD CLASS A      3500 WISEMAN BLVD<br>SAN ANTONIO, TX 78251-4321             | \$18.00                                                                                                                                                            |                                           |
| (a) Enter service provider name as it appears on line 2                                           | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                                | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                               | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| TCW EMERGING MARKETS INCOME CL N      865 SOUTH FIGUERA STREET 22ND FLOO<br>LOS ANGELES, CA 90017 | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                     | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                    | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| TEMPLETON GLOBAL BOND ADVISOR<br>CLAS 100 FOUNTAIN PARKWAY<br>ST. PETERSBURG, FL 33716 | \$16.00                                                                                                                                                            |                                           |
| (a) Enter service provider name as it appears on line 2                                | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                     | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                    | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| THIRD AVENUE REAL ESTATE VALUE INS<br>622 THIRD AVENUE 32 FLOOR<br>NEW YORK, NY 10017  | 0.35%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                     | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                    | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| TOCQUEVILLE OPPORTUNITY FUND<br>40 W 57TH STREET 19TH FL<br>NEW YORK, NY 10019         | 0.40%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                  | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                       | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                      | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| TOUCHSTONE LARGE CAP FUND CL Y      303 BROADWAY SUITE 1100<br>CINCINNATI, OH 45202-4203 | 0.10%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                  | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                       | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                      | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| TOUCHSTONE FOCUSED CLASS Y      303 BROADWAY SUITE 1100<br>CINCINNATI, OH 45202-4203     | 0.25%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                  | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                       | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                      | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| CROSSBRIDGE LOW DUR HIGH YIELD INS      615 E. MICHIGAN ST<br>MILWAUKEE, WI 53202        | 0.08%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| TWEEDY BROWNE INTERNATL VALUE FUND<br>ONE STATION PLACE 5TH FLOOR<br>STAMFORD, CT 06902 | \$25.00                                                                                                                                                            |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| TWEEDY BROWNE VALUE FUND<br>ONE STATION PLACE 5TH FLOOR<br>STAMFORD, CT 06902           | \$25.00                                                                                                                                                            |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| VOYA MIDCAP OPPORTUNITIES CL I<br>7337 E. DOUBLETREE RANCH RD<br>SCOTTSDALE, AZ 85258   | 0.10%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| VOYA SECURITIZED CREDIT FUND CL I 7337 E. DOUBLETREE RANCH RD<br>SCOTTSDALE, AZ 85258   | 0.15%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| WASATCH INTERNATL GROWTH FUND INST 505 WAKARA WAY SUITE 300<br>SALT LAKE CITY, UT 84108 | 0.15%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                                 | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL                                                      | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                     | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| WASATCH CORE GROWTH INSTITUTIONAL 505 WAKARA WAY SUITE 300<br>SALT LAKE CITY, UT 84108  | 0.15%                                                                                                                                                              |                                           |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| FIDELITY INVESTMENTS INSTITUTIONAL                                                 | 60                                                                                                                                                                 | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| AMERICAN WASHINGTON MUTUAL INVESTO 3500 WISEMAN BLVD<br>SAN ANTONIO, TX 78251-4321 | 0.37%                                                                                                                                                              |                                           |
| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                                    |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                                    |                                                                                                                                                                    |                                           |
| (a) Enter service provider name as it appears on line 2                            | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                                    |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation                | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                                    |                                                                                                                                                                    |                                           |

**Part II Service Providers Who Fail or Refuse to Provide Information**

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|--------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------|
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |

**Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)**  
(complete as many entries as needed)

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                                                                                                                                                                                                               |                                                                                                                                                                                                                              |                                                                                            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <b>SCHEDULE D</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small> | <b>DFE/Participating Plan Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br><br><b>► File as an attachment to Form 5500.</b> | OMB No. 1210-0110<br><br><b>2021</b><br><br><b>This Form is Open to Public Inspection.</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

|                                                                                               |                                                                    |            |
|-----------------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------|
| <b>A</b> Name of plan<br><u>REED SMITH 401(K) PLAN</u>                                        | <b>B</b> Three-digit plan number (PN) <b>►</b>                     | <u>001</u> |
| <b>C</b> Plan or DFE sponsor's name as shown on line 2a of Form 5500<br><u>REED SMITH LLP</u> | <b>D</b> Employer Identification Number (EIN)<br><u>25-0749630</u> |            |

|               |                                                                                                                                                                                  |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part I</b> | <b>Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)</b><br>(Complete as many entries as needed to report all interests in DFEs) |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                      |                               |                                                                                                                      |
|--------------------------------------------------------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>MELLON STABLE VALUE L</u>          |                               |                                                                                                                      |
| <b>b</b> Name of sponsor of entity listed in (a): <u>THE BANK OF NEW YORK MELLON</u> |                               |                                                                                                                      |
| <b>c</b> EIN-PN <u>11-3152987-000</u>                                                | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>70580294</u>  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>BNYM AGG BD IDX IS</u>             |                               |                                                                                                                      |
| <b>b</b> Name of sponsor of entity listed in (a): <u>THE BANK OF NEW YORK MELLON</u> |                               |                                                                                                                      |
| <b>c</b> EIN-PN <u>25-6078093-219</u>                                                | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>36511982</u>  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>BNYM MDCP STK IDX IS</u>           |                               |                                                                                                                      |
| <b>b</b> Name of sponsor of entity listed in (a): <u>THE BANK OF NEW YORK MELLON</u> |                               |                                                                                                                      |
| <b>c</b> EIN-PN <u>25-6078093-237</u>                                                | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>86370843</u>  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>BNYM SMCP STK IDX IS</u>           |                               |                                                                                                                      |
| <b>b</b> Name of sponsor of entity listed in (a): <u>THE BANK OF NEW YORK MELLON</u> |                               |                                                                                                                      |
| <b>c</b> EIN-PN <u>25-6078093-234</u>                                                | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>27697794</u>  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>BNYM STOCK IDX IS</u>              |                               |                                                                                                                      |
| <b>b</b> Name of sponsor of entity listed in (a): <u>THE BANK OF NEW YORK MELLON</u> |                               |                                                                                                                      |
| <b>c</b> EIN-PN <u>25-6078093-226</u>                                                | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>190367120</u> |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE:                                       |                               |                                                                                                                      |
| <b>b</b> Name of sponsor of entity listed in (a):                                    |                               |                                                                                                                      |
| <b>c</b> EIN-PN                                                                      | <b>d</b> Entity code          | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)                  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE:                                       |                               |                                                                                                                      |
| <b>b</b> Name of sponsor of entity listed in (a):                                    |                               |                                                                                                                      |
| <b>c</b> EIN-PN                                                                      | <b>d</b> Entity code          | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)                  |

**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)

**Part II Information on Participating Plans (to be completed by DFEs)**

(Complete as many entries as needed to report all participating plans)

**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN

|                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                              |                                                                                                          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| <b>SCHEDULE H</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small><br><br><small>Pension Benefit Guaranty Corporation</small> | <b>Financial Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).<br><br><b>► File as an attachment to Form 5500.</b> | <small>OMB No. 1210-0110</small><br><br><b>2021</b><br><br><b>This Form is Open to Public Inspection</b> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|

|                                                                                                          |                                                                            |            |
|----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|------------|
| For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u> |                                                                            |            |
| <b>A</b> Name of plan<br><u>REED SMITH 401(K) PLAN</u>                                                   | <b>B</b> Three-digit plan number (PN) <span style="float: right;">►</span> | <u>001</u> |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><u>REED SMITH LLP</u>                   | <b>D</b> Employer Identification Number (EIN)<br><u>25-0749630</u>         |            |

|               |                                      |
|---------------|--------------------------------------|
| <b>Part I</b> | <b>Asset and Liability Statement</b> |
|---------------|--------------------------------------|

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets                                                                                            |                 | (a) Beginning of Year | (b) End of Year |
|---------------------------------------------------------------------------------------------------|-----------------|-----------------------|-----------------|
| <b>a</b> Total noninterest-bearing cash.....                                                      | <b>1a</b>       | 0                     | 15425           |
| <b>b</b> Receivables (less allowance for doubtful accounts):                                      |                 |                       |                 |
| <b>(1)</b> Employer contributions .....                                                           | <b>1b(1)</b>    | 8627163               | 832234          |
| <b>(2)</b> Participant contributions.....                                                         | <b>1b(2)</b>    | 889441                | 886979          |
| <b>(3)</b> Other .....                                                                            | <b>1b(3)</b>    | 0                     | 0               |
| <b>c</b> General investments:                                                                     |                 |                       |                 |
| <b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit) .....  | <b>1c(1)</b>    | 5730025               | 6614504         |
| <b>(2)</b> U.S. Government securities .....                                                       | <b>1c(2)</b>    | 0                     | 0               |
| <b>(3)</b> Corporate debt instruments (other than employer securities):                           |                 |                       |                 |
| <b>(A)</b> Preferred .....                                                                        | <b>1c(3)(A)</b> | 0                     | 0               |
| <b>(B)</b> All other .....                                                                        | <b>1c(3)(B)</b> | 0                     | 0               |
| <b>(4)</b> Corporate stocks (other than employer securities):                                     |                 |                       |                 |
| <b>(A)</b> Preferred .....                                                                        | <b>1c(4)(A)</b> | 125962                | 117996          |
| <b>(B)</b> Common .....                                                                           | <b>1c(4)(B)</b> | 9133086               | 14357499        |
| <b>(5)</b> Partnership/joint venture interests .....                                              | <b>1c(5)</b>    | 0                     | 0               |
| <b>(6)</b> Real estate (other than employer real property) .....                                  | <b>1c(6)</b>    | 0                     | 0               |
| <b>(7)</b> Loans (other than to participants) .....                                               | <b>1c(7)</b>    | 0                     | 0               |
| <b>(8)</b> Participant loans .....                                                                | <b>1c(8)</b>    | 7955686               | 6542884         |
| <b>(9)</b> Value of interest in common/collective trusts .....                                    | <b>1c(9)</b>    | 387333742             | 411528034       |
| <b>(10)</b> Value of interest in pooled separate accounts .....                                   | <b>1c(10)</b>   | 0                     | 0               |
| <b>(11)</b> Value of interest in master trust investment accounts .....                           | <b>1c(11)</b>   | 0                     | 0               |
| <b>(12)</b> Value of interest in 103-12 investment entities .....                                 | <b>1c(12)</b>   | 0                     | 0               |
| <b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds) .....       | <b>1c(13)</b>   | 644235004             | 738616369       |
| <b>(14)</b> Value of funds held in insurance company general account (unallocated contracts)..... | <b>1c(14)</b>   | 0                     | 0               |
| <b>(15)</b> Other.....                                                                            | <b>1c(15)</b>   | 0                     | 0               |

| <b>1d</b> Employer-related investments:                              |              | (a) Beginning of Year | (b) End of Year |
|----------------------------------------------------------------------|--------------|-----------------------|-----------------|
| (1) Employer securities.....                                         | <b>1d(1)</b> | 0                     | 0               |
| (2) Employer real property.....                                      | <b>1d(2)</b> | 0                     | 0               |
| <b>e</b> Buildings and other property used in plan operation .....   | <b>1e</b>    | 0                     | 0               |
| <b>f</b> Total assets (add all amounts in lines 1a through 1e) ..... | <b>1f</b>    | 1064030109            | 1179511924      |

**Liabilities**

|                                                                           |           |   |   |
|---------------------------------------------------------------------------|-----------|---|---|
| <b>g</b> Benefit claims payable .....                                     | <b>1g</b> | 0 | 0 |
| <b>h</b> Operating payables .....                                         | <b>1h</b> | 0 | 0 |
| <b>i</b> Acquisition indebtedness.....                                    | <b>1i</b> | 0 | 0 |
| <b>j</b> Other liabilities.....                                           | <b>1j</b> | 0 | 0 |
| <b>k</b> Total liabilities (add all amounts in lines 1g through 1j) ..... | <b>1k</b> | 0 | 0 |

**Net Assets**

|                                                           |           |            |            |
|-----------------------------------------------------------|-----------|------------|------------|
| <b>l</b> Net assets (subtract line 1k from line 1f) ..... | <b>1l</b> | 1064030109 | 1179511924 |
|-----------------------------------------------------------|-----------|------------|------------|

**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

**Income**

|                                                                                                            |                 | (a) Amount | (b) Total |
|------------------------------------------------------------------------------------------------------------|-----------------|------------|-----------|
| <b>a Contributions:</b>                                                                                    |                 |            |           |
| (1) Received or receivable in cash from: <b>(A)</b> Employers .....                                        | <b>2a(1)(A)</b> | 23466154   |           |
| <b>(B)</b> Participants .....                                                                              | <b>2a(1)(B)</b> | 23089023   |           |
| <b>(C)</b> Others (including rollovers).....                                                               | <b>2a(1)(C)</b> | 8209812    |           |
| (2) Noncash contributions.....                                                                             | <b>2a(2)</b>    | 0          | 54764989  |
| (3) Total contributions. Add lines <b>2a(1)(A)</b> , <b>(B)</b> , <b>(C)</b> , and line <b>2a(2)</b> ..... | <b>2a(3)</b>    |            |           |
| <b>b Earnings on investments:</b>                                                                          |                 |            |           |
| (1) Interest:                                                                                              |                 |            |           |
| <b>(A)</b> Interest-bearing cash (including money market accounts and certificates of deposit).....        | <b>2b(1)(A)</b> | 10050      |           |
| <b>(B)</b> U.S. Government securities.....                                                                 | <b>2b(1)(B)</b> | 0          |           |
| <b>(C)</b> Corporate debt instruments .....                                                                | <b>2b(1)(C)</b> | 0          |           |
| <b>(D)</b> Loans (other than to participants) .....                                                        | <b>2b(1)(D)</b> | 0          |           |
| <b>(E)</b> Participant loans.....                                                                          | <b>2b(1)(E)</b> | 459976     |           |
| <b>(F)</b> Other .....                                                                                     | <b>2b(1)(F)</b> | 0          |           |
| <b>(G)</b> Total interest. Add lines <b>2b(1)(A)</b> through <b>(F)</b> .....                              | <b>2b(1)(G)</b> |            | 470026    |
| (2) Dividends: <b>(A)</b> Preferred stock.....                                                             | <b>2b(2)(A)</b> | 5958       |           |
| <b>(B)</b> Common stock .....                                                                              | <b>2b(2)(B)</b> | 124706     |           |
| <b>(C)</b> Registered investment company shares (e.g. mutual funds).....                                   | <b>2b(2)(C)</b> | 37557006   |           |
| <b>(D)</b> Total dividends. Add lines <b>2b(2)(A)</b> , <b>(B)</b> , and <b>(C)</b> .....                  | <b>2b(2)(D)</b> |            | 37687670  |
| (3) Rents .....                                                                                            | <b>2b(3)</b>    |            | 0         |
| (4) Net gain (loss) on sale of assets: <b>(A)</b> Aggregate proceeds .....                                 | <b>2b(4)(A)</b> | 11592018   |           |
| <b>(B)</b> Aggregate carrying amount (see instructions).....                                               | <b>2b(4)(B)</b> | 9878879    |           |
| <b>(C)</b> Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result .....                  | <b>2b(4)(C)</b> |            |           |
| (5) Unrealized appreciation (depreciation) of assets: <b>(A)</b> Real estate .....                         | <b>2b(5)(A)</b> | 0          |           |
| <b>(B)</b> Other .....                                                                                     | <b>2b(5)(B)</b> | 2286976    |           |
| <b>(C)</b> Total unrealized appreciation of assets.<br>Add lines <b>2b(5)(A)</b> and <b>(B)</b> .....      | <b>2b(5)(C)</b> |            |           |

|                                                                                                |        | (a) Amount | (b) Total |
|------------------------------------------------------------------------------------------------|--------|------------|-----------|
| (6) Net investment gain (loss) from common/collective trusts.....                              | 2b(6)  |            | 67010818  |
| (7) Net investment gain (loss) from pooled separate accounts.....                              | 2b(7)  |            | 0         |
| (8) Net investment gain (loss) from master trust investment accounts.....                      | 2b(8)  |            | 0         |
| (9) Net investment gain (loss) from 103-12 investment entities.....                            | 2b(9)  |            | 0         |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)..... | 2b(10) |            | 61074614  |
| c Other income.....                                                                            | 2c     |            | 0         |
| d Total income. Add all <b>income</b> amounts in column (b) and enter total.....               | 2d     |            | 225008232 |
| <b>Expenses</b>                                                                                |        |            |           |
| e Benefit payment and payments to provide benefits:                                            |        |            |           |
| (1) Directly to participants or beneficiaries, including direct rollovers.....                 | 2e(1)  | 109483153  |           |
| (2) To insurance carriers for the provision of benefits.....                                   | 2e(2)  | 0          |           |
| (3) Other.....                                                                                 | 2e(3)  | 0          |           |
| (4) Total benefit payments. Add lines 2e(1) through (3).....                                   | 2e(4)  |            | 109483153 |
| f Corrective distributions (see instructions).....                                             | 2f     |            | 2803      |
| g Certain deemed distributions of participant loans (see instructions).....                    | 2g     |            | 70253     |
| h Interest expense.....                                                                        | 2h     |            | 0         |
| i Administrative expenses: (1) Professional fees.....                                          | 2i(1)  | 0          |           |
| (2) Contract administrator fees.....                                                           | 2i(2)  | 0          |           |
| (3) Investment advisory and management fees.....                                               | 2i(3)  | 59752      |           |
| (4) Other.....                                                                                 | 2i(4)  | -89544     |           |
| (5) Total administrative expenses. Add lines 2i(1) through (4).....                            | 2i(5)  |            | -29792    |
| j Total expenses. Add all <b>expense</b> amounts in column (b) and enter total.....            | 2j     |            | 109526417 |
| <b>Net Income and Reconciliation</b>                                                           |        |            |           |
| k Net income (loss). Subtract line 2j from line 2d.....                                        | 2k     |            | 115481815 |
| l Transfers of assets:                                                                         |        |            |           |
| (1) To this plan.....                                                                          | 2l(1)  |            | 0         |
| (2) From this plan.....                                                                        | 2l(2)  |            | 0         |

**Part III Accountant's Opinion**

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BAKER TILLY US, LLP**

(2) EIN: **39-0859910**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

|    | Yes | No | Amount |
|----|-----|----|--------|
| 4a |     | X  |        |

|                                                                                                                                                                                                                                                                                                                  | Yes | No | Amount  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|---------|
| <b>b</b> Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) ..... |     |    |         |
| <b>4b</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) .....                                                                                                                             |     |    |         |
| <b>4c</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) .....                                                                                                                  |     |    |         |
| <b>4d</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>e</b> Was this plan covered by a fidelity bond? .....                                                                                                                                                                                                                                                         | X   |    | 1000000 |
| <b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? .....                                                                                                                                                                          |     | X  |         |
| <b>4f</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                                                                                                                       |     | X  |         |
| <b>4g</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                                                                                                             |     | X  |         |
| <b>4h</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) .....                                                                                                                                                   | X   |    |         |
| <b>4i</b>                                                                                                                                                                                                                                                                                                        | X   |    |         |
| <b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.) .....                                                                                     |     | X  |         |
| <b>4j</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? .....                                                                                                                                              |     | X  |         |
| <b>4k</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>l</b> Has the plan failed to provide any benefit when due under the plan? .....                                                                                                                                                                                                                               |     | X  |         |
| <b>4l</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) .....                                                                                                                                                                                     |     | X  |         |
| <b>4m</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. ....                                                                                                                         |     | X  |         |
| <b>4n</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ..... ☐ Yes ☒ No  
If "Yes," enter the amount of any plan assets that reverted to the employer this year \_\_\_\_\_.

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| 5b(1) Name of plan(s) | 5b(2) EIN(s) | 5b(3) PN(s) |
|-----------------------|--------------|-------------|
|                       |              |             |
|                       |              |             |
|                       |              |             |
|                       |              |             |

**5c** Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ..... ☐ Yes ☐ No ☐ Not determined  
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year \_\_\_\_\_.

|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                             |                                                                                            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <b>SCHEDULE R</b><br><b>(Form 5500)</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security Administration<br><br>Pension Benefit Guaranty Corporation | <b>Retirement Plan Information</b><br><br>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).<br><br>▶ <b>File as an attachment to Form 5500.</b> | OMB No. 1210-0110<br><br><b>2021</b><br><br><b>This Form is Open to Public Inspection.</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

|                                                                                        |                                                                    |
|----------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| <b>A</b> Name of plan<br><u>REED SMITH 401(K) PLAN</u>                                 | <b>B</b> Three-digit plan number (PN) ▶<br><u>001</u>              |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><u>REED SMITH LLP</u> | <b>D</b> Employer Identification Number (EIN)<br><u>25-0749630</u> |

|               |                      |
|---------------|----------------------|
| <b>Part I</b> | <b>Distributions</b> |
|---------------|----------------------|

All references to distributions relate only to payments of benefits during the plan year.

|                                                                                                                                                                                                                                                                    |          |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--|
| <b>1</b> Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....                                                                                                                              | <b>1</b> |  |
| <b>2</b> Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):<br><br>EIN(s): <u>04-6568107</u> |          |  |
| Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.                                                                                                                                                                                                   |          |  |
| <b>3</b> Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year .....                                                                                                                                   | <b>3</b> |  |

|                |                                                                                                                                                                               |
|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part II</b> | <b>Funding Information</b> (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.) |
|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                                                                                                                                                                                                    |                              |                             |                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------|------------------------------|
| <b>4</b> Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? .....                                                                                                                                                                                                                                               | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
| If the plan is a defined benefit plan, go to line 8.                                                                                                                                                                                                                                                                                                               |                              |                             |                              |
| <b>5</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. <b>Date:</b> Month _____ Day _____ Year _____<br>If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule. |                              |                             |                              |
| <b>6 a</b> Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) .....                                                                                                                                                                                                                     | <b>6a</b>                    |                             |                              |
| <b>b</b> Enter the amount contributed by the employer to the plan for this plan year .....                                                                                                                                                                                                                                                                         | <b>6b</b>                    |                             |                              |
| <b>c</b> Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) .....                                                                                                                                                                                                                   | <b>6c</b>                    |                             |                              |
| If you completed line 6c, skip lines 8 and 9.                                                                                                                                                                                                                                                                                                                      |                              |                             |                              |
| <b>7</b> Will the minimum funding amount reported on line 6c be met by the funding deadline? .....                                                                                                                                                                                                                                                                 | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
| <b>8</b> If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? .....                                                                                        | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |

|                 |                   |
|-----------------|-------------------|
| <b>Part III</b> | <b>Amendments</b> |
|-----------------|-------------------|

|                                                                                                                                                                                                                            |                                   |                                   |                               |                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|-----------------------------|
| <b>9</b> If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... | <input type="checkbox"/> Increase | <input type="checkbox"/> Decrease | <input type="checkbox"/> Both | <input type="checkbox"/> No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|-----------------------------|

|                |                                                                                                                                                   |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part IV</b> | <b>ESOPs</b> (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part. |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                              |                              |                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------|
| <b>10</b> Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? .....                                                      | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>11 a</b> Does the ESOP hold any preferred stock? .....                                                                                                                                    | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>b</b> If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>12</b> Does the ESOP hold any stock that is not readily tradable on an established securities market? .....                                                                               | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021  
v. 201209

**Part V Additional Information for Multiemployer Defined Benefit Pension Plans**

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

**a** The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

**14a**

**b** The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

**14b**

**c** The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

**14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

**a** The corresponding number for the plan year immediately preceding the current plan year.....

**15a**

**b** The corresponding number for the second preceding plan year.....

**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

**a** Enter the number of employers who withdrew during the preceding plan year.....

**16a**

**b** If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

## **Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans**

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

**a** Enter the percentage of plan assets held as:

Stock: \_\_\_\_\_% Investment-Grade Debt: \_\_\_\_\_% High-Yield Debt: \_\_\_\_\_% Real Estate: \_\_\_\_\_% Other: \_\_\_\_\_%

**b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

**c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): \_\_\_\_\_

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

**a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

**b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation \_\_\_\_\_

## **Reed Smith 401(k) Plan**

Financial Statements and  
Supplementary Information

December 31, 2021 and 2020

## **Reed Smith 401(k) Plan**

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December 31, 2021 and 2020

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## **Independent Auditors' Report**

To the Participants and Plan Administrator of  
Reed Smith 401(k) Plan

### **Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2021 Financial Statements**

We have performed an audit of the financial statements of the Reed Smith 401(k) Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 9 to the financial statements, is complete and accurate.

### **Opinion on the 2021 Financial Statements**

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the 2021 Financial Statements section:

- the amounts and disclosures in the 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the 2021 financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

### **Basis for Opinion on the 2021 Financial Statements**

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

## **Responsibilities of Management for the 2021 Financial Statements**

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

## **Auditors' Responsibilities for the Audit of the 2021 Financial Statements**

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings and certain internal control-related matters that we identified during the audit.

#### **Other Matter — 2021 Supplemental Schedule Required by ERISA**

The supplemental Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year) as of December 31, 2021 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- the form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

#### **Other Matter — Auditors' Report on the 2020 Financial Statements**

We were engaged to audit the 2020 financial statements of the Plan. As permitted by 29 CFR 2520.103-8 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA, the plan administrator instructed us not to perform and we did not perform any auditing procedures with respect to the information certified by a qualified institution. In our report dated October 11, 2021, we indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 financial statements, and (b) the form and content of the information included in the 2020 financial statements other than that derived from the certified information were presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

*Baker Tilly US, LLP*

Pittsburgh, Pennsylvania  
October 12, 2022

**Reed Smith 401(k) Plan**

Statements of Net Assets Available for Benefits  
December 31, 2021 and 2020

|                                    | <u>2021</u>                    | <u>2020</u>                    |
|------------------------------------|--------------------------------|--------------------------------|
| <b>Assets</b>                      |                                |                                |
| Cash                               | <u>\$ 15,425</u>               | <u>\$ -</u>                    |
| Investments at fair value          | <u>1,171,234,402</u>           | <u>1,046,557,819</u>           |
| Receivables:                       |                                |                                |
| Employer contributions             | 832,234                        | 8,627,163                      |
| Participant contributions          | 886,979                        | 889,441                        |
| Notes receivable from participants | <u>6,542,884</u>               | <u>7,955,686</u>               |
| Total receivables                  | <u>8,262,097</u>               | <u>17,472,290</u>              |
| Total assets                       | 1,179,511,924                  | 1,064,030,109                  |
| <b>Liabilities</b>                 | <u>-</u>                       | <u>-</u>                       |
| Net assets available for benefits  | <u><u>\$ 1,179,511,924</u></u> | <u><u>\$ 1,064,030,109</u></u> |

See notes to financial statements

**Reed Smith 401(k) Plan**

Statement of Changes in Net Assets Available for Benefits  
Year Ended December 31, 2021

**Additions**

Investment income:

|                                               |                   |
|-----------------------------------------------|-------------------|
| Net appreciation in fair value of investments | \$ 132,085,547    |
| Interest and dividends                        | <u>37,697,720</u> |

|                         |                    |
|-------------------------|--------------------|
| Total investment income | <u>169,783,267</u> |
|-------------------------|--------------------|

|                                                       |                |
|-------------------------------------------------------|----------------|
| Interest income on notes receivable from participants | <u>459,976</u> |
|-------------------------------------------------------|----------------|

Contributions:

|              |                   |
|--------------|-------------------|
| Participants | 23,089,023        |
| Rollovers    | 8,209,812         |
| Employer     | <u>23,466,154</u> |

|                     |                   |
|---------------------|-------------------|
| Total contributions | <u>54,764,989</u> |
|---------------------|-------------------|

|                 |                    |
|-----------------|--------------------|
| Total additions | <u>225,008,232</u> |
|-----------------|--------------------|

**Deductions**

|                               |                    |
|-------------------------------|--------------------|
| Benefits paid to participants | <u>109,526,417</u> |
|-------------------------------|--------------------|

|              |             |
|--------------|-------------|
| Net increase | 115,481,815 |
|--------------|-------------|

**Net Assets Available for Benefits**

|                   |                      |
|-------------------|----------------------|
| Beginning of year | <u>1,064,030,109</u> |
|-------------------|----------------------|

|             |                                |
|-------------|--------------------------------|
| End of year | <u><u>\$ 1,179,511,924</u></u> |
|-------------|--------------------------------|

See notes to financial statements

## **Reed Smith 401(k) Plan**

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Notes to Financial Statements  
December 31, 2021 and 2020

### **1. Description of the Plan**

The following description of the Reed Smith 401(k) Plan (the Plan) provides only general information. Participants should refer to the plan document for a more complete description of the Plan's provisions.

#### **General**

The Plan is a defined contribution plan covering the following eligible employees of Reed Smith LLP (the Company) that have attained age twenty-one: active partners, common law employees, associates, special counsel, staff attorneys and e-discovery attorneys. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). The Plan's Retirement Committee is responsible for oversight of the Plan and determines the appropriateness of the Plan's investment offerings and monitors investment performance.

#### **Contributions**

Each year, participants may contribute up to 50 percent of pretax annual compensation, as defined by the Plan up to the maximum limits of the Internal Revenue Code (IRC). Participants may designate all or a portion of their deferral contributions as after-tax contributions into a Roth account. Participants who have attained age 50 before the end of the plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified retirement plans. Participant contributions to the Plan are recorded in the period that payroll deductions are made from participants.

The Company's non-elective contribution is based on employee classification and ranges from five to fourteen percent of eligible compensation for participants that have completed one year of credited service, as defined. Participants direct the investment of all contributions into various investment options offered by the Plan. Contributions are subject to certain Internal Revenue Service (IRS) limitations.

#### **Participant Accounts**

Each participant's account is credited with the participant's contribution and allocations of (a) the Company's non-elective contributions and (b) the Plan's earnings, and charged with an allocation of administrative expenses that are paid by the Plan. Allocations are based on participant earnings, account balances or specific participant transactions, as defined by the Plan. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

#### **Vesting**

Participants are immediately vested in their contributions and the Company's non-elective contributions plus actual earnings thereon.

#### **Payment of Benefits**

On termination of service due to death, disability, retirement or other reasons, a participant will receive a lump-sum amount equal to the value of the participant's vested interest in their account. In addition, the Plan allows for hardship distributions if certain criteria are met. See also the Coronavirus Aid Relief and Economic Security Act (CARES Act) disclosure below.

## **Reed Smith 401(k) Plan**

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Notes to Financial Statements  
December 31, 2021 and 2020

### **Notes Receivable From Participants**

Participants may borrow from their fund accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50 percent of their vested account balance. The notes are secured by the balance in the participant's account and bear interest at rates that range from 3.25 percent to 9.25 percent at December 31, 2021, which are commensurate with local prevailing rates as determined quarterly by the plan administrator. Principal and interest is paid ratably through regular payroll deductions. Terms range from one to five years or greater for the purchase of a primary residence. See also the CARES Act disclosure below.

### **CARES Act**

On March 27, 2020, the CARES Act was signed into law. This aid package was designed to help the economy from the effects of the coronavirus pandemic, of which several of the provisions of the CARES Act affected employee benefit plans. The provisions of the CARES Act were optional. During 2020, the Plan opted into the following provisions of the CARES Act:

- Hardship distributions - Qualified plan participants were permitted to take a coronavirus related distribution of up to \$100,000 from the Plan without a 10 percent early withdrawal penalty. Eligible distributions were permitted to be taken up until December 30, 2020. Distributions may be repaid within three years or a participant may elect these distributions to be included in taxable income on a pro rata basis over three years.
- Participant loans - Qualified plan participants with loans outstanding were permitted to defer payment on the loans that were due during 2020 to after January 1, 2021.
- Participant loans - Qualified plan participants may have borrowed up to \$100,000 during 2020 (an increase from \$50,000 previously permitted), these repayments may be delayed to 2021.
- Required minimum distributions (RMDs) - A temporary waiver of required minimum distributions rules permitted participants to suspend their RMDs for 2020 for participants that turned 70 ½ in 2019 and 72 in 2020.

## **2. Summary of Significant Accounting Policies**

### **Basis of Accounting**

The financial statements of the Plan are prepared on the accrual basis of accounting.

### **Use of Estimates**

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosures of contingent assets and liabilities. Actual results could differ from those estimates.

### **Investment Valuation and Income Recognition**

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for a discussion of fair value measurements.

## Reed Smith 401(k) Plan

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Notes to Financial Statements  
December 31, 2021 and 2020

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes gains and losses on investments bought and sold as well as held during the year.

### Notes Receivable From Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Related fees are recorded as administrative expenses and are expensed when they are incurred. Interest income is recorded on the accrual basis. No allowance for credit losses has been recorded as of December 31, 2021 and 2020.

### Payment of Benefits

Benefits are recorded when paid.

### Administrative Expenses

Certain expenses of maintaining the Plan are paid by the Plan, unless otherwise paid by the Company. Expenses that are paid by the Company are excluded from these financial statements. Fees related to the administration of notes receivable from participants are charged directly to the participant's account and are included in administrative expenses. Investment related expenses are included in net appreciation of fair value of investments.

### Subsequent Events

The Plan has evaluated subsequent events for recognition or disclosure through October 12, 2022, the date the financial statements were available to be issued.

## 3. Fair Value Measurements

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy under authoritative guidance are described as follows:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 - Inputs other than quoted prices included within Level 1 that are observable for the asset or liability, either directly or indirectly, such as:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

## Reed Smith 401(k) Plan

Notes to Financial Statements  
December 31, 2021 and 2020

Level 3 - Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observables and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

The money market fund is valued at the quoted net asset value (NAV) of shares held by the Plan at year end.

Mutual funds are valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the U.S. Securities and Exchange Commission. These funds are required to publish their daily NAV and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Collective trust funds are valued at the NAV of units of a bank collective trust. The NAV, as provided by the trustee of the funds, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the funds will sell the investment for an amount different than the reported NAV. Participant transactions (purchases and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust, the trustee of the funds reserve the right to temporarily delay withdrawal from the trust in order to ensure that securities liquidations will be carried out in an orderly business manner.

Self-directed brokerage accounts are valued based on the fair value of the underlying investments, which consist of cash and cash equivalents, mutual funds, and common and preferred stocks. Common and preferred stocks are valued at the closing price reported on the active market on which the individual securities are traded.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2021 and 2020:

| Assets at Fair Value as of December 31, 2021 |                       |             |             |                         |
|----------------------------------------------|-----------------------|-------------|-------------|-------------------------|
|                                              | Level 1               | Level 2     | Level 3     | Total                   |
| Money market fund                            | \$ 585,879            | \$ -        | \$ -        | \$ 585,879              |
| Mutual funds                                 | 710,481,242           | -           | -           | 710,481,242             |
| Self-directed brokerage accounts             | 48,639,247            | -           | -           | 48,639,247              |
| Total assets in the fair value hierarchy     | <u>\$ 759,706,368</u> | <u>\$ -</u> | <u>\$ -</u> | 759,706,368             |
| Investments measured at net asset value (a)  |                       |             |             | <u>411,528,034</u>      |
| Total investments at fair value              |                       |             |             | <u>\$ 1,171,234,402</u> |

## Reed Smith 401(k) Plan

Notes to Financial Statements  
December 31, 2021 and 2020

| Assets at Fair Value as of December 31, 2020 |                       |             |             |                         |
|----------------------------------------------|-----------------------|-------------|-------------|-------------------------|
|                                              | Level 1               | Level 2     | Level 3     | Total                   |
| Money market fund                            | \$ 819,672            | \$ -        | \$ -        | \$ 819,672              |
| Mutual funds                                 | 619,452,846           | -           | -           | 619,452,846             |
| Self-directed brokerage accounts             | 38,951,559            | -           | -           | 38,951,559              |
| Total assets in the fair value hierarchy     | <u>\$ 659,224,077</u> | <u>\$ -</u> | <u>\$ -</u> | 659,224,077             |
| Investments measured at net asset value (a)  |                       |             |             | <u>387,333,742</u>      |
| Total investments at fair value              |                       |             |             | <u>\$ 1,046,557,819</u> |

(a) Certain investments that were measured at NAV per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the Statements of Net Assets Available for Benefits.

### Investments Measured Using NAV per Share Practical Expedient

The following table summarizes investments for which fair value is measured using NAV per share practical expedient as of December 31, 2021 and 2020, respectively. There are no participant redemption restrictions for these investments; the redemption notice period is applicable only to the Plan.

| December 31, 2021      |                |                      |                                              |                          |
|------------------------|----------------|----------------------|----------------------------------------------|--------------------------|
|                        | Fair Value     | Unfunded Commitments | Redemption Frequency (if currently eligible) | Redemption Notice Period |
| Collective trust funds | \$ 411,528,034 | N/A                  | Daily                                        | None                     |
| December 31, 2020      |                |                      |                                              |                          |
|                        | Fair Value     | Unfunded Commitments | Redemption Frequency (if currently eligible) | Redemption Notice Period |
| Collective trust funds | \$ 387,333,742 | N/A                  | Daily                                        | None                     |

### 4. Administration of Plan Assets

The Plan's assets are administered under a contract with Fidelity Management and Trust Company, the trustee of the Plan. The trustee invest funds received from contributions, investment sales, interest and dividend income and make distribution payments to participants.

## **Reed Smith 401(k) Plan**

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Notes to Financial Statements  
December 31, 2021 and 2020

### **5. Related-Party and Party In Interest Transactions**

Certain of the Plan's investments are managed by the trustee, and therefore, these transactions qualify as party in interest transactions. Fees incurred by the Plan for the investment manager services are included in net appreciation in the fair value of the investment, as they are paid through revenue sharing, rather than a direct payment. Additionally, the Plan issues loans to participants, which are secured by the participant's account balances. These transactions qualify as party in interest transactions.

Certain administrative functions of the Plan are performed by officers or employees of the Company. No such officer or employee receives compensation from the Plan.

### **6. Plan Termination**

Although it has not expressed any intent to do so, the Company has the right to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA.

### **7. Tax Status**

The IRS has determined and informed the Company by a letter dated March 1, 2017, that the Plan and related trust are designed in accordance with applicable sections of the IRC. Although the Plan has been amended since receiving the determination letter, the plan administrator believes that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC and therefore, believes that the Plan is qualified, and the related trust is tax exempt.

Accounting principles generally accepted in the United States of America require management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

### **8. Risks and Uncertainties**

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit risks. Market risks include global events which could impact the value of investment securities, such as a pandemic or international conflict. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

As of December 31, 2021 and 2020, the Plan had an investment of \$190,367,121 and \$165,334,927, respectively, that was concentrated in one fund.

### **9. Information Certified by Trustee**

The plan administrator has elected the method of compliance as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA for 2021 and 2020. Accordingly, Fidelity Management Trust Company, the trustee of the Plan, has certified to the completeness and accuracy of all investments reported in the accompanying Statements of Net Assets Available for Benefits as of December 31, 2021 and 2020 and the supplemental Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year) as of December 31, 2021, and the related investment activity reported in the Statement of Changes in Net Assets Available for Benefits for the year ended December 31, 2021.

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a)                                     | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
| <b>COLLECTIVE TRUST FUNDS</b>           |                                                                |                                                                                                                  |             |                      |
|                                         | BNY MELLON                                                     | BNYM STOCK IDX IS                                                                                                | N/R         | \$ 190,367,121       |
|                                         | BNY MELLON                                                     | BNYM MDCP STK IDX IS                                                                                             | N/R         | 86,370,843           |
|                                         | BNY MELLON                                                     | MELLON STABLE VALUE L                                                                                            | N/R         | 70,580,294           |
|                                         | BNY MELLON                                                     | BNYM AGG BD IDX IS                                                                                               | N/R         | 36,511,982           |
|                                         | BNY MELLON                                                     | BNYM SMCP STK IDX IS                                                                                             | N/R         | 27,697,794           |
| TOTAL COLLECTIVE TRUST FUNDS            |                                                                |                                                                                                                  |             | 411,528,034          |
| <b>MONEY MARKET FUND</b>                |                                                                |                                                                                                                  |             |                      |
| *                                       | FIDELITY INVESTMENTS                                           | FID GOVT MMKT                                                                                                    | N/R         | 585,879              |
| <b>MUTUAL FUNDS</b>                     |                                                                |                                                                                                                  |             |                      |
| *                                       | FIDELITY INVESTMENTS                                           | FID BLUE CHIP GR K6                                                                                              | N/R         | 104,413,002          |
| *                                       | FIDELITY INVESTMENTS                                           | FID INTL CAP APPR K6                                                                                             | N/R         | 68,287,714           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2025 I                                                                                              | N/R         | 66,879,751           |
|                                         | DODGE & COX                                                    | DODGE & COX STOCK                                                                                                | N/R         | 64,319,984           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2030 I                                                                                              | N/R         | 61,621,871           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2020 I                                                                                              | N/R         | 54,458,848           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2035 I                                                                                              | N/R         | 43,423,509           |
|                                         | LEGG MASON                                                     | CBA SM CAP GR IS                                                                                                 | N/R         | 36,662,527           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2040 I                                                                                              | N/R         | 34,835,583           |
|                                         | METROPOLITAN WEST FUNDS                                        | METWEST TOT RTN BD I                                                                                             | N/R         | 32,924,549           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2015 I                                                                                              | N/R         | 25,070,528           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2045 I                                                                                              | N/R         | 24,840,492           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2050 I                                                                                              | N/R         | 19,534,834           |
|                                         | WILLIAM BLAIR                                                  | ICM SM CO INST                                                                                                   | N/R         | 19,511,108           |
| *                                       | FIDELITY INVESTMENTS                                           | FID INTL INDEX                                                                                                   | N/R         | 13,406,605           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2010 I                                                                                              | N/R         | 11,561,888           |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2055 I                                                                                              | N/R         | 10,676,802           |
|                                         | PIMCO                                                          | PIM ALL ASSET INST                                                                                               | N/R         | 8,515,528            |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2005 I                                                                                              | N/R         | 6,965,853            |
|                                         | T. ROWE PRICE                                                  | TRP RETIRE I 2060 I                                                                                              | N/R         | 2,570,266            |
| TOTAL MUTUAL FUNDS                      |                                                                |                                                                                                                  |             | 710,481,242          |
| <b>SELF-DIRECTED BROKERAGE ACCOUNTS</b> |                                                                |                                                                                                                  |             |                      |
| <b>MUTUAL FUNDS</b>                     |                                                                |                                                                                                                  |             |                      |
|                                         | VANGUARD                                                       | VANGUARD BD INDEX FD INC TOTAL BD MARKET                                                                         | N/R         | 1,415,997            |
|                                         | VANGUARD                                                       | VANGUARD TARGET RETIREMENT 2020 INVT                                                                             | N/R         | 1,345,773            |
|                                         | SPDR STATE STREET GLOBAL ADVISORS                              | S & P 500 DEPOSITORY RECEIPT                                                                                     | N/R         | 1,112,262            |
|                                         | VANGUARD                                                       | VANGUARD INDEX TR VANGUARD TOTAL STK                                                                             | N/R         | 902,484              |
|                                         | VANGUARD                                                       | VANGUARD STAR FD VANGUARD TOTAL INTL                                                                             | N/R         | 862,532              |
| *                                       | FIDELITY INVESTMENTS                                           | FIDELITY CONTRAFUND                                                                                              | N/R         | 839,504              |
|                                         | ISHARES                                                        | ISHARES TR RUSSELL 1000 GROWTH INDEX FD                                                                          | N/R         | 752,364              |
|                                         | AMERICAN FUNDS                                                 | EUROPACIFIC GROWTH CLASS A                                                                                       | N/R         | 708,955              |
|                                         | FMI FUNDS                                                      | FMI LARGE CAP FUND                                                                                               | N/R         | 647,267              |
|                                         | VANGUARD                                                       | VANGUARD INDEX FDS FORMERLY VANGUARD                                                                             | N/R         | 634,033              |
|                                         | AMERICAN FUNDS                                                 | AMERICAN WASHINGTON MUTUAL INVESTORS F                                                                           | N/R         | 511,140              |
|                                         | ISHARES                                                        | ISHARES TR S&P 1500 INDEX FD                                                                                     | N/R         | 503,631              |
|                                         | ISHARES                                                        | ISHARES TR RUSSELL 1000 VALUE INDEX FD                                                                           | N/R         | 492,875              |
|                                         | VANGUARD                                                       | VANGUARD SCOTTSDALE FDS VANGUARD SHORT                                                                           | N/R         | 463,081              |
|                                         | AMERICAN FUNDS                                                 | AMERICAN EUROPACIFIC GROWTH CLASS F                                                                              | N/R         | 448,072              |
| *                                       | FIDELITY INVESTMENTS                                           | FIDELITY HIGH INCOME                                                                                             | N/R         | 442,502              |
|                                         | AMERICAN FUNDS                                                 | AMCAP FUND                                                                                                       | N/R         | 423,714              |
|                                         | ISHARES                                                        | ISHARES TR S&P 500 INDEX FD                                                                                      | N/R         | 405,405              |
|                                         | ISHARES                                                        | ISHARES TRUST CORE TOTAL USD BD MKT ETF                                                                          | N/R         | 399,857              |
|                                         | DODGE & COX                                                    | DODGE & COX STOCK                                                                                                | N/R         | 394,385              |
|                                         | FEDERATED                                                      | FEDERATED KAUFMANN CLASS K                                                                                       | N/R         | 382,565              |
|                                         | VOYA                                                           | VOYA SECURITIZED CREDIT FUND CL I                                                                                | N/R         | 366,029              |
|                                         | VANGUARD                                                       | VANGUARD SPECIALIZED PORTFOLIOS DIV                                                                              | N/R         | 354,673              |
|                                         | DOUBLELINE                                                     | DOUBLELINE TOTAL RETURN BOND FD CL I                                                                             | N/R         | 351,720              |
|                                         | VANGUARD                                                       | VANGUARD PRIMECAP CORE FD INVESTOR CL                                                                            | N/R         | 350,846              |
|                                         | AMERICAN FUNDS                                                 | AMERICAN MUTUAL                                                                                                  | N/R         | 338,787              |
|                                         | LEGG MASON                                                     | LEGG MASON BW ALTERNATV CREDIT I                                                                                 | N/R         | 327,679              |
|                                         | ARTISAN                                                        | ARTISAN INTERNAT'L                                                                                               | N/R         | 315,176              |
|                                         | HARBOR                                                         | HARBOR HIGH YIELD BD INST.                                                                                       | N/R         | 304,506              |
|                                         | VANGUARD                                                       | VANGUARD TOTAL INTERNTL BD INDX ADM                                                                              | N/R         | 301,371              |
|                                         | BARON CAPITAL GROUP                                            | BARON PARTNERS FUND                                                                                              | N/R         | 265,001              |
|                                         | PEAR TREE FUNDS                                                | PEAR TREE POLARIS FRGN VAL SM CAP ORD                                                                            | N/R         | 264,360              |
|                                         | VANGUARD                                                       | VANGUARD INDEX FDS VANGUARD VALUE                                                                                | N/R         | 261,010              |
| *                                       | FIDELITY INVESTMENTS                                           | FID TOTAL MKT INDEX INSTL PREMIUM CLASS                                                                          | N/R         | 256,244              |
|                                         | GUGGENHEIM INVESTMENTS                                         | GUGGENHEIM MACRO OPPORTUNITIES INSTL                                                                             | N/R         | 249,889              |
|                                         | ISHARES                                                        | ISHARES TR S&P SMALLCAP 600/BARRA                                                                                | N/R         | 249,104              |
|                                         | TWEEDY, BROWNE                                                 | TWEEDY BROWN AMERN VALUE FUND                                                                                    | N/R         | 235,477              |
|                                         | MATTHEWS ASIA FUNDS                                            | MATTHEWS PACIFIC TIGER FUND                                                                                      | N/R         | 227,223              |
|                                         | VANGUARD                                                       | VANGUARD INDEX FDS FORMERLY VANGUARD                                                                             | N/R         | 221,896              |
|                                         | T. ROWE PRICE                                                  | T ROWE PRICE EMERGING MKTS STOCK                                                                                 | N/R         | 220,411              |
|                                         | AMERICAN FUNDS                                                 | AMERICAN SMALL CAP WORLD CLASS A                                                                                 | N/R         | 218,615              |
| *                                       | FIDELITY INVESTMENTS                                           | FIDELITY INT'L ENHANCED INDEX FUND                                                                               | N/R         | 217,123              |
|                                         | CALVERT RESEARCH AND MANAGEMENT                                | CALVERT EMERGING MARKETS EQUITY CL A                                                                             | N/R         | 202,362              |
| *                                       | FIDELITY INVESTMENTS                                           | FIDELITY BLUE CHIP GROWTH ETF                                                                                    | N/R         | 198,159              |
|                                         | INVESCO                                                        | INVESCO QQQ TR UNIT SER 1                                                                                        | N/R         | 195,255              |
|                                         | GRAYSCALE                                                      | GRAYSCALE BITCOIN TR BTC SHS ACCD INVS                                                                           | N/R         | 182,964              |
|                                         | SHENKMAN                                                       | SHENKMAN SHORT DUR HIGH INCOME INSTL                                                                             | N/R         | 179,243              |
|                                         | HOMESTEAD                                                      | HOMESTEAD SMALL COMPANY STOCK FD                                                                                 | N/R         | 175,412              |
|                                         | ARTISAN                                                        | ARTISAN SMALL CAP GROWTH                                                                                         | N/R         | 171,711              |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
| *   | FIDELITY INVESTMENTS                                           | FIDELITY OTC PORT                                                                                                | N/R         | 169,375              |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FD INC                                                                                | N/R         | 165,852              |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY TECHNOQUANT GROWTH                                                                                      | N/R         | 162,676              |
|     | DIAMOND HILL FUNDS                                             | DIAMOND HILL LARGE CAP FUND CLASS I                                                                              | N/R         | 153,983              |
|     | VANGUARD                                                       | VANGUARD BD INDEX FD INC SHORT TERM BD                                                                           | N/R         | 150,648              |
|     | VOYA                                                           | VOYA MIDCAP OPPORTUNITIES CL I                                                                                   | N/R         | 142,553              |
|     | ABERDEEN                                                       | ABERDEEN EMERGING MARKETS INSTL                                                                                  | N/R         | 141,481              |
|     | THE PACIFIC FINANCIAL GROUP                                    | RISKPRO 30+ FUND CL R                                                                                            | N/R         | 128,744              |
|     | LAZARD                                                         | LAZARD EMERGING MKTS OPEN CLASS                                                                                  | N/R         | 127,675              |
|     | ETFMG                                                          | ETF MANAGERS TR PUREFUNDS ISE CY                                                                                 | N/R         | 122,900              |
|     | FEDERATED                                                      | FEDERATED KAUFMANN CLASS A                                                                                       | N/R         | 119,422              |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FD INC                                                                                | N/R         | 116,745              |
|     | CROSSINGBRIDGE                                                 | CROSSBRIDGE LOW DUR HIGH YIELD INSTL                                                                             | N/R         | 111,197              |
|     | VANGUARD                                                       | VANGUARD TOTAL STOCK MARKET INDEX ADMIRAL                                                                        | N/R         | 105,443              |
|     | AMERICAN FUNDS                                                 | AMERICAN NEW WORLD FUND                                                                                          | N/R         | 102,284              |
|     | MAINSTAY                                                       | MAINSTAY LARGE CAP GROWTH FUND CLASS I                                                                           | N/R         | 101,687              |
|     | ISHARES                                                        | ISHARES TR MSCI EAFE VALUE INDEX FD                                                                              | N/R         | 99,873               |
|     | PFG                                                            | PFG SECTOR EQUITY BUS CYCLE STRAT R                                                                              | N/R         | 98,698               |
|     | VANGUARD                                                       | VANGUARD CHARLOTTE FUNDS TOTAL                                                                                   | N/R         | 98,253               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY 500 INDEX INSTITUTIONAL PREM                                                                            | N/R         | 97,626               |
|     | ISHARES                                                        | ISHARES TRUST CORE MSCI TOTAL INTL STK                                                                           | N/R         | 97,449               |
|     | ISHARES                                                        | ISHARES TR MSCI EMERGING MKTS                                                                                    | N/R         | 96,859               |
|     | VANGUARD                                                       | VANGUARD SECTOR INDEX FDS VANGUARD                                                                               | N/R         | 96,740               |
|     | PFG                                                            | PFG EQUITY INDEX FOCUSED STRATEGY R                                                                              | N/R         | 96,642               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY SELECT MEDICAL DELIVERY                                                                                 | N/R         | 95,475               |
|     | FRANKLIN TEMPLETON INVESTMENTS                                 | TEMPLETON GLOBAL BOND ADVISOR CLASS                                                                              | N/R         | 95,259               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY CAPITAL & INCOME                                                                                        | N/R         | 95,122               |
|     | RISKPRO                                                        | RISKPRO PFG 30+ FUND CL R                                                                                        | N/R         | 95,107               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY EMERGING MARKETS                                                                                        | N/R         | 94,096               |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FD INC FTSE                                                                           | N/R         | 93,023               |
|     | VANGUARD                                                       | VANGUARD HEALTH CARE                                                                                             | N/R         | 92,894               |
|     | ARTISAN                                                        | ARTISAN HIGH INCOME FUND INVESTOR                                                                                | N/R         | 89,769               |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FD INC                                                                                | N/R         | 87,639               |
|     | AMG FUNDS                                                      | AMG YACKTMAN FOCUSED SERVICE CL                                                                                  | N/R         | 86,728               |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SPDR INDEX SHS FDS S&P INTL SMALL CAP                                                                            | N/R         | 85,058               |
|     | DRIEHAUS                                                       | DRIEHAUS EMERGING MARKETS SM CAP GRWTH                                                                           | N/R         | 84,826               |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SPDR GOLD TR GOLD SHS                                                                                            | N/R         | 82,916               |
|     | AMERICAN FUNDS                                                 | AMERICAN NEW WORLD CLASS F                                                                                       | N/R         | 82,695               |
|     | DODGE & COX                                                    | DODGE & COX INTERNATL STOCK FUND                                                                                 | N/R         | 82,375               |
|     | ISHARES                                                        | ISHARES TR LEHMAN U S AGGREGATE BD FD                                                                            | N/R         | 77,021               |
|     | PFG                                                            | PFG BR EQUITY ESG STRATEGY CL R                                                                                  | N/R         | 75,850               |
|     | ARTISAN                                                        | ARTISAN MID CAP FUND ADVISOR                                                                                     | N/R         | 75,806               |
|     | FMI FUNDS                                                      | FMI LARGE CAP FUND INSTITUTIONAL                                                                                 | N/R         | 74,209               |
|     | ISHARES                                                        | ISHARES TR DOW JONES U S REAL ESTATE                                                                             | N/R         | 74,097               |
|     | FMI FUNDS                                                      | FMI INTERNATIONAL                                                                                                | N/R         | 73,887               |
|     | VANGUARD                                                       | VANGUARD INTERMED TERM CORPORATE                                                                                 | N/R         | 72,849               |
|     | HENNESSY                                                       | HENNESSY FOCUS FUND INVESTOR CLASS                                                                               | N/R         | 71,645               |
|     | HENNESSY                                                       | HENNESSY SMALL CAP FINANCIAL INVSTR CL                                                                           | N/R         | 70,269               |
|     | JOHN HANCOCK                                                   | JOHN HANCOCK DISCIPL INED VALUE MID CAP I                                                                        | N/R         | 69,285               |
|     | ROYCE INVESTMENT PARTNERS                                      | ROYCE SPECIAL EQUITY                                                                                             | N/R         | 67,164               |
|     | VANECK                                                         | VANECK VECTORS ETF TR GOLD MINERS ETF                                                                            | N/R         | 67,008               |
|     | PFG                                                            | PFG AMERICAN FUNDS GROWTH STRAT CL R                                                                             | N/R         | 65,723               |
|     | TWEEDY, BROWNE                                                 | TWEEDY BROWN GLOBAL VALUE FUND                                                                                   | N/R         | 65,340               |
|     | VANGUARD                                                       | VANGUARD TAX MANAGED FD EUROPE PACIFIC                                                                           | N/R         | 65,315               |
|     | ISHARES                                                        | ISHARES TR CORE MSCI INTL                                                                                        | N/R         | 61,247               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY LARGE CAP GROWTH INDX ISTL PRM                                                                          | N/R         | 59,358               |
|     | THIRD AVENUE                                                   | THIRD AVENUE REAL ESTATE VALUE                                                                                   | N/R         | 59,213               |
|     | BROWN ADVISORY FUNDS                                           | BROWN ADVISORY GROWTH EQUITY ADV                                                                                 | N/R         | 56,237               |
|     | HOST MARRIOTT CORP                                             | HOST MARRIOTT CORP NEW REIT                                                                                      | N/R         | 52,170               |
|     | SIMON                                                          | SIMON PPTY GRP INC                                                                                               | N/R         | 49,751               |
|     | VANGUARD                                                       | VANGUARD TOTAL INTNL STOCK INDEX ADMIRAL                                                                         | N/R         | 49,024               |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FDS GLOBAL                                                                            | N/R         | 48,546               |
|     | WASATCH                                                        | WASATCH INTERNATL GROWTH FUND INSTL CL                                                                           | N/R         | 45,504               |
|     | VANGUARD                                                       | VANGUARD SECTOR INDEX FDS VANGUARD                                                                               | N/R         | 45,346               |
|     | ISHARES                                                        | ISHARES TR S&P 100 INDEX FUND                                                                                    | N/R         | 41,635               |
|     | RATIONAL                                                       | RATIONAL SPECIAL SITUATIONS INC INSTL                                                                            | N/R         | 41,296               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY NASDAQ COMPOSITE INDEX                                                                                  | N/R         | 41,217               |
|     | INVESCO                                                        | INVESCO OPPENHEIMER DEVELOPING MARKETS Y                                                                         | N/R         | 37,999               |
|     | WASATCH                                                        | WASATCH CORE GROWTH INSTITUTIONAL                                                                                | N/R         | 37,614               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY MSCI TELECOMMUNICATIONS                                                                                 | N/R         | 34,109               |
|     | OAKMARK                                                        | OAKMARK GLOBAL SELECT FD CL I                                                                                    | N/R         | 33,332               |
|     | BOSTON PARTNERS                                                | BOSTON PARTNERS SML CAP VAL II INSTL                                                                             | N/R         | 33,200               |
|     | CATALYST                                                       | CATALYST ENHANCED INCOME STRATEGY I                                                                              | N/R         | 32,759               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY MSCI HEALTH CARE INDEX                                                                                  | N/R         | 31,647               |
|     | VANGUARD                                                       | VANGUARD MID-CAP GROWTH INDEX ADMIRAL                                                                            | N/R         | 30,037               |
|     | ALPHACENTRIC                                                   | ALPHACENTRIC INCOME OPPORTUNITIES CL I                                                                           | N/R         | 29,951               |
|     | VANGUARD                                                       | VANGUARD SECTOR INDEX FDS VANGUARD                                                                               | N/R         | 29,208               |
|     | VANGUARD                                                       | VANGUARD TOTAL BOND MARKET ADMIRAL                                                                               | N/R         | 26,429               |
|     | VANECK                                                         | VANECK VECTORS ETF TR VIDEO GAMING                                                                               | N/R         | 25,903               |
|     | ARTISAN                                                        | ARTISAN INTL VALUE FUND ADVISOR                                                                                  | N/R         | 25,710               |
|     | COHEN & STEERS                                                 | COHEN & STEERS QUALITY INCOME RLTY                                                                               | N/R         | 24,392               |
|     | BNY MELLON                                                     | BNY MELLON INSTL S&P 500 STOCK INDEX I                                                                           | N/R         | 24,149               |
|     | ISHARES                                                        | ISHARES TR DOW JONES US TECHNOLOGY                                                                               | N/R         | 23,610               |
|     | BLACKROCK                                                      | BLACKROCK STRATEGIC INCOME OPPTS INSTL                                                                           | N/R         | 22,469               |
|     | T. ROWE PRICE                                                  | T ROWE PRICE INT'L NEW ASIA                                                                                      | N/R         | 21,981               |
|     | CARILLON FAMILY OF FUNDS                                       | CARILLON SCOUT MID CAP FUND CL Y                                                                                 | N/R         | 21,809               |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FDS TOTAL                                                                             | N/R         | 20,827               |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)  
 EIN: 25-0749630 Plan Number: 001  
 December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     | ISHARES                                                        | ISHARES U S ETF TR SHORT MATURITY BD                                                                             | N/R         | 20,182               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY SELECT BIOTECHNOLOGY                                                                                    | N/R         | 19,829               |
|     | INVESCO                                                        | INVESCO EXCHANGE TRADED FD TR II                                                                                 | N/R         | 19,798               |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SPDR DOW JONES INDL AVERAGE ETF TR UNIT                                                                          | N/R         | 18,838               |
|     | VANGUARD                                                       | VANGUARD WORLD FD MEGA CAP 300 INDEX                                                                             | N/R         | 18,019               |
|     | ISHARES                                                        | ISHARES INC CORE MSCI EMERGING MKTS                                                                              | N/R         | 15,821               |
|     | OBERWEIS                                                       | OBERWEIS CHINA OPPORTUNITIES FUND                                                                                | N/R         | 15,669               |
|     | SCHWAB ETFS                                                    | SCHWAB STRATEGIC TR EMERGING MKTS EQUITY                                                                         | N/R         | 15,466               |
|     | TOUCHSTONE                                                     | TOUCHSTONE LARGE CAP FUND CL Y                                                                                   | N/R         | 15,375               |
|     | ISHARES                                                        | ISHARES TRUST CORE MSCI EAFE ETF                                                                                 | N/R         | 12,585               |
|     | AMERICOLD                                                      | AMERICOLD RLTY TR COM                                                                                            | N/R         | 10,195               |
|     | ARBITRAGE FUND                                                 | ARBITRAGE FUND CLASS I                                                                                           | N/R         | 10,014               |
|     | VANGUARD                                                       | VANGUARD SECTOR INDEX FDS VANGUARD                                                                               | N/R         | 9,888                |
|     | ISHARES                                                        | ISHARES TRUST RUSSELL 200 GROWTH                                                                                 | N/R         | 9,009                |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY DIVIDEND GROWTH                                                                                         | N/R         | 8,029                |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SPDR SER TR LEHMAN LONG TERM CR BD ETF                                                                           | N/R         | 7,258                |
|     | ISHARES                                                        | ISHARES TR FTSE NAREIT REAL ESTATE                                                                               | N/R         | 7,242                |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SECTOR SPDR TR SHS BEN INT ENERGY                                                                                | N/R         | 6,801                |
|     | OMEGA HEALTHCARE                                               | OMEGA HEALTHCARE INVS INC                                                                                        | N/R         | 6,698                |
|     | VIRTUS                                                         | VIRTUS ETF TR II VIRTUS TERRANOVA US                                                                             | N/R         | 6,630                |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY MSCI CONSUMER                                                                                           | N/R         | 5,330                |
|     | ALPS                                                           | ALPS ETF TR ALERIAN MLP                                                                                          | N/R         | 5,142                |
|     | VANGUARD                                                       | VANGUARD WHITEHALL FUNDS INTERNATIONAL                                                                           | N/R         | 4,821                |
|     | PARNASSUS                                                      | PARNASSUS WORKPLACE                                                                                              | N/R         | 4,737                |
|     | VANGUARD                                                       | VANGUARD WHITEHALL FUNDS INTERNATIONAL                                                                           | N/R         | 3,953                |
|     | DIREXION                                                       | DIREXION SHS ETF TR WORK FROM HOME                                                                               | N/R         | 3,388                |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY INTERNAT'L REAL ESTATE FUND                                                                             | N/R         | 3,356                |
|     | ISHARES                                                        | ISHARES SILVER TR ISHARES                                                                                        | N/R         | 3,334                |
|     | INVESCO                                                        | INVESCO EXCHNG TRADED FD TR II                                                                                   | N/R         | 3,136                |
|     | VANECK                                                         | VANECK VECTORS ETF TR RARE EARTH STRAT                                                                           | N/R         | 2,985                |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY MSCI INFORMATION                                                                                        | N/R         | 2,622                |
|     | STARWOOD                                                       | STARWOOD PPTY TR INC COM                                                                                         | N/R         | 2,588                |
|     | ARES                                                           | ARES CAP CORP COM                                                                                                | N/R         | 2,488                |
|     | ISHARES                                                        | ISHARES TR S&P GLOBAL CLEAN ENERGY                                                                               | N/R         | 2,098                |
|     | ISHARES                                                        | ISHARES INC MSCI GLOBAL SILVER                                                                                   | N/R         | 2,010                |
|     | TOCQUEVILLE                                                    | TOCQUEVILLE SMALL CAP VALUE                                                                                      | N/R         | 1,974                |
|     | DIGITAL REALTY                                                 | DIGITAL RLTY TR INC COM                                                                                          | N/R         | 1,061                |
|     | INNOVATIVE                                                     | INNOVATIVE INDL PPTYS INC CL A                                                                                   | N/R         | 1,055                |
|     | HENNESSY                                                       | HENNESSY FOCUS 30 FUND                                                                                           | N/R         | 1,027                |
|     | RYDEX FUNDS                                                    | RYDEX BIOTECHNOLOGY INV CLASS                                                                                    | N/R         | 941                  |
|     | PROSHARES                                                      | PROSHARES ULTRAPRO SHORT QQQ                                                                                     | N/R         | 832                  |
|     | JBG SMITH                                                      | JBG SMITH PPTYS COM                                                                                              | N/R         | 598                  |
|     | PROSHARES                                                      | PROSHARES TR ULTRAPRO SHORT S                                                                                    | N/R         | 361                  |
|     | TCW                                                            | TCW EMERGING MARKETS INCOME CL N                                                                                 | N/R         | 110                  |
|     | PEAR TREE FUNDS                                                | PIMCO INCOME FD CL A                                                                                             | N/R         | 90                   |
|     | LORD ABBETT                                                    | LORD ABBETT BOND DEBENTURE CLASS A                                                                               | N/R         | 86                   |
|     | T. ROWE PRICE                                                  | T ROWE PRICE STRATEGIC INCOME FD                                                                                 | N/R         | 54                   |
|     | BNY MELLON                                                     | BNY MELLON BOND MARKET INDEX I                                                                                   | N/R         | 23                   |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY STRATEGIC INCOME FUND                                                                                   | N/R         | 3                    |
|     | <b>CASH AND CASH EQUIVALENTS</b>                               |                                                                                                                  |             |                      |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY CASH RESERVES                                                                                           | N/R         | 5,694,880            |
|     | MORGAN STANLEY                                                 | MORGAN STANLEY PVT BK PURCHASE CD                                                                                | N/R         | 175,270              |
|     | WELLS FARGO                                                    | WELLS FARGO BANK NATL ASSN CD                                                                                    | N/R         | 158,475              |
|     | <b>COMMON STOCKS</b>                                           |                                                                                                                  |             |                      |
|     | APPLE COMPUTER INC NFS LLC IS A MARKET                         | COMMON STOCK                                                                                                     | N/R         | 1,410,345            |
|     | PNC FINL SVCS GROUP                                            | COMMON STOCK                                                                                                     | N/R         | 553,080              |
|     | MCDONALDS CORP NFS IS A SPECIALIST                             | COMMON STOCK                                                                                                     | N/R         | 486,417              |
|     | CHIPOTLE MEXICAN GRILL INC CL A                                | COMMON STOCK                                                                                                     | N/R         | 349,650              |
|     | RIVIAN AUTOMOTIVE INC COM CL A                                 | COMMON STOCK                                                                                                     | N/R         | 345,250              |
|     | BERKSHIRE HATHAWAY INC DEL CL B NEW                            | COMMON STOCK                                                                                                     | N/R         | 312,077              |
|     | BOEING CO NFS IS A SPECIALIST                                  | COMMON STOCK                                                                                                     | N/R         | 302,128              |
|     | J P MORGAN CHASE & CO                                          | COMMON STOCK                                                                                                     | N/R         | 291,967              |
|     | COHEN & STEERS EQUITY INCOME CL I                              | COMMON STOCK                                                                                                     | N/R         | 262,039              |
|     | BUNGE LIMITED COM                                              | COMMON STOCK                                                                                                     | N/R         | 246,927              |
|     | ARTISAN DEVELOPING WORLD FD INVESTOR CL                        | COMMON STOCK                                                                                                     | N/R         | 243,214              |
|     | ADVANCED MICRO DEVICES INC                                     | COMMON STOCK                                                                                                     | N/R         | 236,716              |
|     | NVIDIA CORP NFS LLC IS A MARKET                                | COMMON STOCK                                                                                                     | N/R         | 223,758              |
|     | VANGUARD INDEX FDS VANGUARD SMALL CAP                          | COMMON STOCK                                                                                                     | N/R         | 221,809              |
|     | VANGUARD INDEX FDS VANGUARD SMALL CAP                          | COMMON STOCK                                                                                                     | N/R         | 209,777              |
|     | BRISTOL MYERS SQUIBB NFS LLC IS A                              | COMMON STOCK                                                                                                     | N/R         | 207,085              |
|     | VANGUARD INDEX FDS VANGUARD MID CAP                            | COMMON STOCK                                                                                                     | N/R         | 199,953              |
|     | RAMBUS INC NFS LLC IS A MARKET                                 | COMMON STOCK                                                                                                     | N/R         | 189,419              |
|     | SCHWAB STRATEGIC TR US TIPS ETF                                | COMMON STOCK                                                                                                     | N/R         | 179,960              |
|     | BROADCOM INC COM                                               | COMMON STOCK                                                                                                     | N/R         | 171,157              |
|     | AMAZON.COM INC NFS LLC IS A MARKET                             | COMMON STOCK                                                                                                     | N/R         | 170,291              |
|     | PROCTER & GAMBLE CO NFS IS A SPECIALIST                        | COMMON STOCK                                                                                                     | N/R         | 170,181              |
|     | RIVERPARK SHORT TERM HIGH YIELD                                | COMMON STOCK                                                                                                     | N/R         | 163,529              |
|     | NEWMONT MNG CORP NFS IS A SPECIALIST                           | COMMON STOCK                                                                                                     | N/R         | 143,886              |
|     | ALPHABET INC CAP STK CL A                                      | COMMON STOCK                                                                                                     | N/R         | 136,161              |
|     | COMCAST CORP NEW CL A                                          | COMMON STOCK                                                                                                     | N/R         | 122,113              |
|     | COSTCO WHOLESALE CORP                                          | COMMON STOCK                                                                                                     | N/R         | 115,869              |
|     | PAYPAL HLDGS INC COM                                           | COMMON STOCK                                                                                                     | N/R         | 113,714              |
| *   | FIDELITY STRATEGIC DIVIDEND & INCOME                           | COMMON STOCK                                                                                                     | N/R         | 112,815              |
|     | VANGUARD INDEX FDS VANGUARD GROWTH                             | COMMON STOCK                                                                                                     | N/R         | 110,976              |
|     | ALPHABET INC CAP STK CL C                                      | COMMON STOCK                                                                                                     | N/R         | 104,158              |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     |                                                                |                                                                                                                  |             |                      |
|     | SCHWAB STRATEGIC TR US LARGE-CAP GROWTH                        | COMMON STOCK                                                                                                     | N/R         | 98,646               |
|     | GOLDMAN SACHS GROUP INC                                        | COMMON STOCK                                                                                                     | N/R         | 97,534               |
| *   | FIDELITY SOUTHEAST ASIA                                        | COMMON STOCK                                                                                                     | N/R         | 94,156               |
|     | MORGAN STAN INSTL TR MID CAP GRWTH ADV CL                      | COMMON STOCK                                                                                                     | N/R         | 91,275               |
|     | GQG PARTNERS EMRGING MARKETS EQUITY INV                        | COMMON STOCK                                                                                                     | N/R         | 90,444               |
|     | TWITTER INC COM USD0.000005                                    | COMMON STOCK                                                                                                     | N/R         | 87,953               |
|     | MICROSOFT CORP NFS LLC IS A MARKET                             | COMMON STOCK                                                                                                     | N/R         | 84,266               |
|     | CAMBRIA ETF TR EMRG SHAREHLDR                                  | COMMON STOCK                                                                                                     | N/R         | 83,846               |
|     | VANGUARD SECTOR INDEX FDS VANGUARD                             | COMMON STOCK                                                                                                     | N/R         | 82,919               |
|     | SHOPIFY INC NPV SUBORDINATED A                                 | COMMON STOCK                                                                                                     | N/R         | 82,643               |
|     | TARGET CORP NFS IS A SPECIALIST                                | COMMON STOCK                                                                                                     | N/R         | 81,138               |
|     | DXC TECHNOLOGY CO COM                                          | COMMON STOCK                                                                                                     | N/R         | 80,539               |
|     | RAYTHEON TECHNOLOGIES CORP                                     | COMMON STOCK                                                                                                     | N/R         | 80,294               |
|     | PFG INVESCO THEMATIC ESG STRATEGY CL R                         | COMMON STOCK                                                                                                     | N/R         | 78,562               |
|     | T ROWE PRICE DIVIDEND GROWTH                                   | COMMON STOCK                                                                                                     | N/R         | 77,984               |
|     | PFIZER INC NFS IS A SPECIALIST                                 | COMMON STOCK                                                                                                     | N/R         | 75,403               |
|     | DISNEY WALT CO DEL (HOLDING COMPANY)                           | COMMON STOCK                                                                                                     | N/R         | 75,096               |
|     | JOHNSON & JOHNSON NFS IS A SPECIALIST                          | COMMON STOCK                                                                                                     | N/R         | 72,503               |
|     | EXPEDIA INC DEL COM NEW                                        | COMMON STOCK                                                                                                     | N/R         | 72,288               |
|     | WORLD GOLD TR SPDR GLD MINIS                                   | COMMON STOCK                                                                                                     | N/R         | 71,684               |
|     | COINBASE GLOBAL INC COM CL A                                   | COMMON STOCK                                                                                                     | N/R         | 68,392               |
|     | THE TRADE DESK INC COM CL A                                    | COMMON STOCK                                                                                                     | N/R         | 66,897               |
|     | DRAFTKINGS INC COM CL A                                        | COMMON STOCK                                                                                                     | N/R         | 64,829               |
|     | BANK OF AMERICA CORP                                           | COMMON STOCK                                                                                                     | N/R         | 64,019               |
|     | SERVICENOW INC COM USD0.001                                    | COMMON STOCK                                                                                                     | N/R         | 59,718               |
|     | INTEL CORP NFS LLC IS A MARKET                                 | COMMON STOCK                                                                                                     | N/R         | 59,157               |
| *   | FIDELITY MID CAP VALUE INDEX FD                                | COMMON STOCK                                                                                                     | N/R         | 55,844               |
|     | ILLUMINA INC                                                   | COMMON STOCK                                                                                                     | N/R         | 54,403               |
|     | WELLS FARGO & CO NEW                                           | COMMON STOCK                                                                                                     | N/R         | 54,371               |
|     | VANGUARD HIGH DIV YLD IDX ADMIRAL SHS                          | COMMON STOCK                                                                                                     | N/R         | 53,939               |
| *   | FIDELITY BLUE CHIP VALUE                                       | COMMON STOCK                                                                                                     | N/R         | 53,527               |
| *   | FIDELITY MID CAP GROWTH INDEX FD                               | COMMON STOCK                                                                                                     | N/R         | 53,525               |
|     | AGNICO EAGLE MINES LTD                                         | COMMON STOCK                                                                                                     | N/R         | 53,140               |
|     | VANGUARD WELLINGTON FUND                                       | COMMON STOCK                                                                                                     | N/R         | 52,874               |
|     | FORD MTR CO DEL COM                                            | COMMON STOCK                                                                                                     | N/R         | 52,606               |
|     | SNOWFLAKE INC CL A                                             | COMMON STOCK                                                                                                     | N/R         | 52,168               |
| *   | FIDELITY SMALL CAP VALUE INDEX FD                              | COMMON STOCK                                                                                                     | N/R         | 51,953               |
| *   | FIDELITY SMALL CAP GROWTH INDEX FD                             | COMMON STOCK                                                                                                     | N/R         | 49,632               |
|     | TESLA MOTORS INC COM USD0.001                                  | COMMON STOCK                                                                                                     | N/R         | 48,782               |
|     | VANGUARD INDEX FDS MID-CAP VALUE INDEX                         | COMMON STOCK                                                                                                     | N/R         | 47,789               |
|     | STEM INC COM                                                   | COMMON STOCK                                                                                                     | N/R         | 47,425               |
|     | ABBVIE INC COM USD0.01                                         | COMMON STOCK                                                                                                     | N/R         | 47,133               |
|     | AXSOME THERAPEUTICS INC COM                                    | COMMON STOCK                                                                                                     | N/R         | 46,998               |
|     | ROLLS ROYCE SPONSORED ADR                                      | COMMON STOCK                                                                                                     | N/R         | 46,742               |
| *   | FIDELITY LARGE CAP VALUE INDX INST PREM                        | COMMON STOCK                                                                                                     | N/R         | 46,336               |
|     | ISHARES TR FTSE XINHAU HK CHINA 25                             | COMMON STOCK                                                                                                     | N/R         | 46,271               |
|     | DBX ETF TR DEUTSCHE USD ETF                                    | COMMON STOCK                                                                                                     | N/R         | 46,141               |
|     | AMPLIFY ETF TR BLOCKCHAIN LDR                                  | COMMON STOCK                                                                                                     | N/R         | 43,785               |
|     | CARLYLE GROUP INC COM                                          | COMMON STOCK                                                                                                     | N/R         | 43,316               |
|     | FIRST TR EXCHANGE TRADED FD IV                                 | COMMON STOCK                                                                                                     | N/R         | 41,035               |
|     | PROSHARES TR ULTRAPRO QQQ                                      | COMMON STOCK                                                                                                     | N/R         | 40,873               |
|     | ISHARES INC EMERGING MARKETS DIVID INDEX                       | COMMON STOCK                                                                                                     | N/R         | 40,467               |
|     | ISHARES INC MSCI EMERGING MARKETS                              | COMMON STOCK                                                                                                     | N/R         | 40,349               |
|     | 3M COMPANY NFS IS A SPECIALIST                                 | COMMON STOCK                                                                                                     | N/R         | 39,472               |
|     | PALANTIR TECHNOLOGIES INC CL                                   | COMMON STOCK                                                                                                     | N/R         | 39,407               |
|     | ISHARES TR MSCI EAFE SMALL CAP INDEX FD                        | COMMON STOCK                                                                                                     | N/R         | 38,514               |
|     | ATLASSIAN CORPORATION PLC COM                                  | COMMON STOCK                                                                                                     | N/R         | 38,129               |
|     | MARVELL TECHNOLOGY GROUP LTD COM                               | COMMON STOCK                                                                                                     | N/R         | 37,115               |
| *   | FIDELITY ZERO INTERNATIONAL INDEX                              | COMMON STOCK                                                                                                     | N/R         | 36,800               |
|     | LAM RESEARCH CORP NFS LLC IS A MARKET                          | COMMON STOCK                                                                                                     | N/R         | 36,426               |
|     | ENPHASE ENERGY INC COM USD0.00001                              | COMMON STOCK                                                                                                     | N/R         | 36,126               |
|     | MASTERCARD INC CL A                                            | COMMON STOCK                                                                                                     | N/R         | 35,702               |
|     | VANGUARD ADMIRAL FDS INC S&P 500 GROWTH                        | COMMON STOCK                                                                                                     | N/R         | 34,992               |
|     | INTERCONTINENTAL EXCHANGE GRP INC COM                          | COMMON STOCK                                                                                                     | N/R         | 34,875               |
|     | SPDR SER TR S&P OILGAS EXP                                     | COMMON STOCK                                                                                                     | N/R         | 34,812               |
|     | SPROUT SOCIAL INC COM CL A                                     | COMMON STOCK                                                                                                     | N/R         | 34,009               |
|     | QORVO INC COM ISIN #US74736K1016                               | COMMON STOCK                                                                                                     | N/R         | 32,842               |
|     | PROSHARES TR PROSHARES ULTRAPRO                                | COMMON STOCK                                                                                                     | N/R         | 32,217               |
|     | MINERA ALAMOS INC COM NPV                                      | COMMON STOCK                                                                                                     | N/R         | 31,763               |
|     | ISHARES TR RUSSELL MICROCAP                                    | COMMON STOCK                                                                                                     | N/R         | 30,243               |
|     | LIVE NATION INC COM                                            | COMMON STOCK                                                                                                     | N/R         | 29,923               |
|     | HOME DEPOT INC NFS IS A SPECIALIST                             | COMMON STOCK                                                                                                     | N/R         | 29,609               |
|     | WAL-MART STORES INC NFS IS A SPECIALIST                        | COMMON STOCK                                                                                                     | N/R         | 29,384               |
|     | ALIBABA GROUP HLDG LTD                                         | COMMON STOCK                                                                                                     | N/R         | 28,866               |
|     | ISHARES TR ULTR SH TRM BD                                      | COMMON STOCK                                                                                                     | N/R         | 27,992               |
|     | JP MORGAN ETF TRUST ULTRA SHORT INCOME                         | COMMON STOCK                                                                                                     | N/R         | 27,961               |
|     | SPROTT ETF TR GOLD MINERS ETF                                  | COMMON STOCK                                                                                                     | N/R         | 27,745               |
|     | COUPA SOFTWARE INC COM                                         | COMMON STOCK                                                                                                     | N/R         | 27,659               |
|     | PALO ALTO NETWORKS INC COM USD0.0001                           | COMMON STOCK                                                                                                     | N/R         | 27,281               |
|     | COPPER MTN MNG CORP COM                                        | COMMON STOCK                                                                                                     | N/R         | 27,075               |
|     | MODERNA INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 26,922               |
|     | INTL BUSINESS MACH NFS IS A SPECIALIST                         | COMMON STOCK                                                                                                     | N/R         | 26,911               |
|     | VANGUARD SMALL-CAP GROWTH INDEX ADMIRAL                        | COMMON STOCK                                                                                                     | N/R         | 26,788               |
|     | EXXON MOBIL CORP NFS IS A SPECIALIST                           | COMMON STOCK                                                                                                     | N/R         | 26,462               |
|     | MANDIANT INC COM                                               | COMMON STOCK                                                                                                     | N/R         | 26,310               |
|     | QUALCOMM INC NFS LLC IS A MARKET                               | COMMON STOCK                                                                                                     | N/R         | 25,870               |
|     | VERIZON COMMUNICATIONS                                         | COMMON STOCK                                                                                                     | N/R         | 25,620               |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

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|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     |                                                                |                                                                                                                  |             |                      |
|     | VEEVA SYSTEMS INC COM USD0.00001 CL A                          | COMMON STOCK                                                                                                     | N/R         | 25,548               |
|     | THERMO ELECTRON CORP                                           | COMMON STOCK                                                                                                     | N/R         | 23,389               |
|     | VANECK VECTORS ETF TR SEMICONDUCTOR ET                         | COMMON STOCK                                                                                                     | N/R         | 23,280               |
|     | ADOBE SYS INC NFS LLC IS A MARKET                              | COMMON STOCK                                                                                                     | N/R         | 22,682               |
|     | C3 AI INC CL A                                                 | COMMON STOCK                                                                                                     | N/R         | 22,625               |
|     | LAS VEGAS SANDS CORP                                           | COMMON STOCK                                                                                                     | N/R         | 22,245               |
|     | BP PLC SPON ADR REPSNTG                                        | COMMON STOCK                                                                                                     | N/R         | 21,698               |
|     | FIRST TR EXCHANGE TRADED FD III                                | COMMON STOCK                                                                                                     | N/R         | 21,175               |
|     | DOCUSIGN INC COM                                               | COMMON STOCK                                                                                                     | N/R         | 20,410               |
|     | MAXAR TECHNOLOGIES LTD COM                                     | COMMON STOCK                                                                                                     | N/R         | 19,968               |
|     | HERSHEY FOODS CORP                                             | COMMON STOCK                                                                                                     | N/R         | 19,918               |
|     | DESKTOP METAL INC COM CL A                                     | COMMON STOCK                                                                                                     | N/R         | 19,800               |
|     | VANGUARD INDEX FDS MID-CAP GROWTH INDEX                        | COMMON STOCK                                                                                                     | N/R         | 19,166               |
|     | UBER TECHNOLOGIES INC COM                                      | COMMON STOCK                                                                                                     | N/R         | 19,120               |
|     | MERCADOLIBRE INC                                               | COMMON STOCK                                                                                                     | N/R         | 18,878               |
|     | ZIPRECRUITER INC CL A                                          | COMMON STOCK                                                                                                     | N/R         | 18,705               |
|     | TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD                      | COMMON STOCK                                                                                                     | N/R         | 18,283               |
|     | SHAKE SHACK INC CL A                                           | COMMON STOCK                                                                                                     | N/R         | 18,040               |
|     | MATSON INC COM NPV                                             | COMMON STOCK                                                                                                     | N/R         | 18,006               |
|     | AFFIRM HLDGS INC COM CL A                                      | COMMON STOCK                                                                                                     | N/R         | 17,598               |
|     | VANGUARD SCOTTSDALE FDS VANGUARDRUSSELL                        | COMMON STOCK                                                                                                     | N/R         | 17,575               |
|     | ISHARES GOLD TR ISHARES NEW                                    | COMMON STOCK                                                                                                     | N/R         | 17,405               |
|     | CARVANA CO CL A                                                | COMMON STOCK                                                                                                     | N/R         | 17,384               |
|     | GILEAD SCIENCES INC                                            | COMMON STOCK                                                                                                     | N/R         | 17,303               |
|     | DANIMER SCIENTIFIC INC COM CL A                                | COMMON STOCK                                                                                                     | N/R         | 17,040               |
|     | INVESCO EXCHANGE TRADED FD TR S&P500                           | COMMON STOCK                                                                                                     | N/R         | 16,893               |
| *   | FIDELITY EMERGING MKTS INDX INSTL PREM                         | COMMON STOCK                                                                                                     | N/R         | 16,615               |
|     | GLOBALFOUNDRIES INC COM USD0.02                                | COMMON STOCK                                                                                                     | N/R         | 16,243               |
|     | ASML HOLDING NV EUR0.09 NY REG 2012                            | COMMON STOCK                                                                                                     | N/R         | 15,977               |
| *   | FIDELITY SELECT INDUSTRIAL MATERIALS                           | COMMON STOCK                                                                                                     | N/R         | 15,945               |
| *   | FIDELITY SMALL CAP VALUE                                       | COMMON STOCK                                                                                                     | N/R         | 15,763               |
|     | OKTA INC CL A                                                  | COMMON STOCK                                                                                                     | N/R         | 15,692               |
|     | VANGUARD SCOTTSDALE FDS VANGUARDRUSSELL                        | COMMON STOCK                                                                                                     | N/R         | 15,620               |
|     | CROWDSTRIKE HLDGS INC CL A                                     | COMMON STOCK                                                                                                     | N/R         | 15,356               |
|     | COCA COLA CO NFS IS A SPECIALIST                               | COMMON STOCK                                                                                                     | N/R         | 15,285               |
|     | XPENG INC SPON ADS EACH REP 2 CL A ORD                         | COMMON STOCK                                                                                                     | N/R         | 15,099               |
|     | ASANA INC CL A                                                 | COMMON STOCK                                                                                                     | N/R         | 14,910               |
|     | NORFOLK SOUTHERN CRP NFS LLC IS A                              | COMMON STOCK                                                                                                     | N/R         | 14,886               |
|     | CHEVRONTXACO CORP                                              | COMMON STOCK                                                                                                     | N/R         | 14,669               |
|     | GLAXOSMITHKLINE PLC SPONSORED ADR                              | COMMON STOCK                                                                                                     | N/R         | 14,139               |
|     | LUMEN TECHNOLOGIES INC COM                                     | COMMON STOCK                                                                                                     | N/R         | 14,087               |
|     | CAESARS ENTERTAINMENT INC                                      | COMMON STOCK                                                                                                     | N/R         | 14,030               |
|     | LILLY ELI & CO NFS IS A SPECIALIST                             | COMMON STOCK                                                                                                     | N/R         | 13,811               |
|     | ISHARES TRUST MSCI USA ESG OPTIMIZED                           | COMMON STOCK                                                                                                     | N/R         | 13,605               |
|     | SOFI TECHNOLOGIES INC COM                                      | COMMON STOCK                                                                                                     | N/R         | 13,439               |
|     | SECTOR SPDR TR SHS BEN INT INDUSTRIAL                          | COMMON STOCK                                                                                                     | N/R         | 13,360               |
|     | VANGUARD INDEX FDS VANGUARD SMALL CAP                          | COMMON STOCK                                                                                                     | N/R         | 13,292               |
|     | ALCOA CORP COM                                                 | COMMON STOCK                                                                                                     | N/R         | 12,991               |
|     | ZSCALER INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 12,853               |
|     | FACEBOOK INC COM USD0.000006 CL A                              | COMMON STOCK                                                                                                     | N/R         | 12,781               |
|     | GENERAL MOTORS CO COM USD0.01                                  | COMMON STOCK                                                                                                     | N/R         | 12,664               |
|     | CYXTERA TECHNOLOGIES INC COM CL A                              | COMMON STOCK                                                                                                     | N/R         | 12,610               |
| *   | FIDELITY SELECT BROKERAGE & INVS MGT                           | COMMON STOCK                                                                                                     | N/R         | 12,605               |
|     | CVS CORP DEL NFS LLC IS A                                      | COMMON STOCK                                                                                                     | N/R         | 12,482               |
|     | ISHARES TR RUSSELL 2000 GROWTH INDEX FD                        | COMMON STOCK                                                                                                     | N/R         | 12,044               |
|     | CINCINNATI FINL CORP NFS LLC IS A MARKET                       | COMMON STOCK                                                                                                     | N/R         | 12,011               |
|     | ARRAY TECHNOLOGIES INC COM SHS                                 | COMMON STOCK                                                                                                     | N/R         | 11,846               |
|     | VERITONE INC COM                                               | COMMON STOCK                                                                                                     | N/R         | 11,802               |
|     | BANK OF MONTREAL QUE                                           | COMMON STOCK                                                                                                     | N/R         | 11,650               |
|     | TWIST BIOSCIENCE CORP COM                                      | COMMON STOCK                                                                                                     | N/R         | 11,609               |
|     | PHILIP MORRIS INTL INC COM                                     | COMMON STOCK                                                                                                     | N/R         | 11,379               |
|     | UPSTART HLDGS INC COM                                          | COMMON STOCK                                                                                                     | N/R         | 11,348               |
|     | PINDUODUO INC SPON ADS EACH REP 4 ORD                          | COMMON STOCK                                                                                                     | N/R         | 11,252               |
|     | ISHARES TR DOW JONES US BROKER DEALERS                         | COMMON STOCK                                                                                                     | N/R         | 11,117               |
|     | UNITED PARCEL SVC INC                                          | COMMON STOCK                                                                                                     | N/R         | 11,105               |
|     | GRAB HLDGS LTD CL A ORD                                        | COMMON STOCK                                                                                                     | N/R         | 10,695               |
|     | ESSILOR INTERNATIONAL SPONS                                    | COMMON STOCK                                                                                                     | N/R         | 10,647               |
|     | PLUG PWR INC COM NEW                                           | COMMON STOCK                                                                                                     | N/R         | 10,586               |
|     | SPDR SER TR KBW CAP MKTS ETF                                   | COMMON STOCK                                                                                                     | N/R         | 10,461               |
|     | WEBER INC CL A                                                 | COMMON STOCK                                                                                                     | N/R         | 10,380               |
|     | WEWORK INC CL A                                                | COMMON STOCK                                                                                                     | N/R         | 10,320               |
|     | MATTERPORT INC COM CL A                                        | COMMON STOCK                                                                                                     | N/R         | 10,320               |
|     | SUNRUN INC COM                                                 | COMMON STOCK                                                                                                     | N/R         | 10,290               |
|     | VESTAS WIND SYS A/S UTD KINGDOM                                | COMMON STOCK                                                                                                     | N/R         | 10,193               |
|     | SOCIAL CAP HEDOSOPHIA HLDGS                                    | COMMON STOCK                                                                                                     | N/R         | 10,190               |
|     | GENERAL ELECTRIC CO COM NEW                                    | COMMON STOCK                                                                                                     | N/R         | 9,737                |
|     | NETFLIX COM INC COM                                            | COMMON STOCK                                                                                                     | N/R         | 9,639                |
|     | TRIPADVISOR INC COM                                            | COMMON STOCK                                                                                                     | N/R         | 9,541                |
|     | SNAP INC CL A                                                  | COMMON STOCK                                                                                                     | N/R         | 9,406                |
|     | CONSOLIDATED EDISON HLDG CO INC                                | COMMON STOCK                                                                                                     | N/R         | 9,213                |
|     | PPG INDUSTRIES                                                 | COMMON STOCK                                                                                                     | N/R         | 9,210                |
|     | CLOUDFLARE INC CL A COM                                        | COMMON STOCK                                                                                                     | N/R         | 9,205                |
|     | ZOOM VIDEO COMMUNICATIONS INC                                  | COMMON STOCK                                                                                                     | N/R         | 9,196                |
|     | JOBY AVIATION INC COM USD0.0001                                | COMMON STOCK                                                                                                     | N/R         | 9,125                |
|     | TORONTO DOMINION BK ONT COM NEW                                | COMMON STOCK                                                                                                     | N/R         | 9,031                |
|     | SUPERIOR GOLD INC COM NPV                                      | COMMON STOCK                                                                                                     | N/R         | 9,026                |
|     | FORIAN INC COM                                                 | COMMON STOCK                                                                                                     | N/R         | 9,020                |

**Reed Smith 401(k) Plan**

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|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     | SEA LIMITED ADS EACH REP ONE CLASS A ORD                       | COMMON STOCK                                                                                                     | N/R         | 8,948                |
|     | COSTAMARE INC COM USD0.0001                                    | COMMON STOCK                                                                                                     | N/R         | 8,855                |
|     | REGENERON PHARMACEUTICALS                                      | COMMON STOCK                                                                                                     | N/R         | 8,841                |
|     | MADISON SQUARE GRDN ENTERTNMNT CL A                            | COMMON STOCK                                                                                                     | N/R         | 8,793                |
|     | COLGATE-PALMOLIVE CO NFS IS A SPECIALIST                       | COMMON STOCK                                                                                                     | N/R         | 8,728                |
|     | FUELCELL ENERGY INC COM                                        | COMMON STOCK                                                                                                     | N/R         | 8,648                |
|     | SALESFORCE COM INC                                             | COMMON STOCK                                                                                                     | N/R         | 8,640                |
|     | GRAYSCALE ETHEREUM TR ETH SHS                                  | COMMON STOCK                                                                                                     | N/R         | 8,640                |
|     | WHEATON PRECIOUS METALS CORP COM NPV                           | COMMON STOCK                                                                                                     | N/R         | 8,586                |
|     | NIO INC ADS EACH REP 1 ORD SHS                                 | COMMON STOCK                                                                                                     | N/R         | 8,481                |
|     | GINKGO BIOWORKS HOLDINGS INC CL A                              | COMMON STOCK                                                                                                     | N/R         | 8,310                |
|     | SCHWAB CHARLES CORP NEW                                        | COMMON STOCK                                                                                                     | N/R         | 8,257                |
|     | SQUARE INC CL A                                                | COMMON STOCK                                                                                                     | N/R         | 7,914                |
|     | 22ND CENTY GROUP INC COM                                       | COMMON STOCK                                                                                                     | N/R         | 7,725                |
|     | HALLIBURTON CO HOLDING CO FRMLY                                | COMMON STOCK                                                                                                     | N/R         | 7,563                |
|     | TPI COMPOSITES INC COM                                         | COMMON STOCK                                                                                                     | N/R         | 7,480                |
|     | ISHARES TR 20+ TREAS INDEX FD                                  | COMMON STOCK                                                                                                     | N/R         | 7,410                |
|     | PAYONEER GLOBAL INC COM                                        | COMMON STOCK                                                                                                     | N/R         | 7,350                |
| *   | FIDELITY SELECT TECHNOLOGY                                     | COMMON STOCK                                                                                                     | N/R         | 7,216                |
|     | UNITY SOFTWARE INC COM                                         | COMMON STOCK                                                                                                     | N/R         | 7,150                |
|     | ARK ETF TR FINTECH INNOVA                                      | COMMON STOCK                                                                                                     | N/R         | 7,149                |
|     | GENERAL MILLS INC NFS LLC IS A                                 | COMMON STOCK                                                                                                     | N/R         | 7,082                |
|     | KYNDRYL HLDGS INC COMMON STOCK                                 | COMMON STOCK                                                                                                     | N/R         | 7,059                |
|     | DELTA AIR LINES INC DEL COM NEW                                | COMMON STOCK                                                                                                     | N/R         | 7,034                |
|     | COPART INC                                                     | COMMON STOCK                                                                                                     | N/R         | 6,823                |
|     | CASSAVA SCIENCES INC COM                                       | COMMON STOCK                                                                                                     | N/R         | 6,774                |
|     | MGM MIRAGE NFS IS A SPECIALIST                                 | COMMON STOCK                                                                                                     | N/R         | 6,735                |
|     | 23ANDME HOLDING CO CLASS A COM                                 | COMMON STOCK                                                                                                     | N/R         | 6,660                |
|     | AT&T INC COM                                                   | COMMON STOCK                                                                                                     | N/R         | 6,602                |
|     | SPRINKLR INC CL A                                              | COMMON STOCK                                                                                                     | N/R         | 6,348                |
|     | F5 NETWORKS INC NFS LLC IS A MARKET                            | COMMON STOCK                                                                                                     | N/R         | 6,118                |
|     | ALTRIA GROUP INC NFS LLC IS A                                  | COMMON STOCK                                                                                                     | N/R         | 6,075                |
|     | MICROSTRATEGY INC C L A NEW NFS LLC IS A                       | COMMON STOCK                                                                                                     | N/R         | 5,989                |
|     | INSEEGO CORP COM                                               | COMMON STOCK                                                                                                     | N/R         | 5,871                |
|     | UNILEVER PLC NEW SPONS ADR                                     | COMMON STOCK                                                                                                     | N/R         | 5,756                |
|     | DATADOG INC CL A COM                                           | COMMON STOCK                                                                                                     | N/R         | 5,700                |
|     | PROGYNY INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 5,690                |
|     | GLOBAL X FDS GLOBAL X LITHIUM                                  | COMMON STOCK                                                                                                     | N/R         | 5,660                |
|     | CARPARTS COM INC COM                                           | COMMON STOCK                                                                                                     | N/R         | 5,600                |
|     | ROKU INC COM CL A                                              | COMMON STOCK                                                                                                     | N/R         | 5,477                |
|     | RH COM                                                         | COMMON STOCK                                                                                                     | N/R         | 5,359                |
|     | WALGREENS BOOTS ALLIANCE INC COM                               | COMMON STOCK                                                                                                     | N/R         | 5,317                |
|     | TENCENT MUSIC ENTERTAINMENT GROUP                              | COMMON STOCK                                                                                                     | N/R         | 5,138                |
|     | APPLIED MATERIALS INC                                          | COMMON STOCK                                                                                                     | N/R         | 5,063                |
|     | KOHL'S CORP NFS LLC IS A SPECIAL                               | COMMON STOCK                                                                                                     | N/R         | 4,990                |
|     | PAYCOM SOFTWARE INC COM USD0.01                                | COMMON STOCK                                                                                                     | N/R         | 4,982                |
|     | DIREXION SHS ETF TR DAILY SEMICONDUCTOR                        | COMMON STOCK                                                                                                     | N/R         | 4,785                |
|     | APTIV PLC COM NEW                                              | COMMON STOCK                                                                                                     | N/R         | 4,784                |
|     | ELECTRONICS ARTS NFS LLC IS A MARKET                           | COMMON STOCK                                                                                                     | N/R         | 4,763                |
|     | KINGSOFT CLOUD HLDGS LTD SPON ADS EACH                         | COMMON STOCK                                                                                                     | N/R         | 4,725                |
|     | ATHENA VALUE FUND CLASS A                                      | COMMON STOCK                                                                                                     | N/R         | 4,656                |
|     | MATCH GROUP INC NEW COM                                        | COMMON STOCK                                                                                                     | N/R         | 4,629                |
|     | LUCID GROUP INC COM                                            | COMMON STOCK                                                                                                     | N/R         | 4,589                |
|     | SAFE BULKERS INC COM ISIN #MHY7388L1039                        | COMMON STOCK                                                                                                     | N/R         | 4,524                |
|     | ASTRAZENECA PLC- SPONS ADR                                     | COMMON STOCK                                                                                                     | N/R         | 4,509                |
|     | MCKESSON CORP NFS IS A SPECIALIST                              | COMMON STOCK                                                                                                     | N/R         | 4,474                |
|     | SONY CORP ADR NEW NFS LLC IS A SPECIAL                         | COMMON STOCK                                                                                                     | N/R         | 4,440                |
|     | UNITED CONTINENTAL HOLDINGS INC COM                            | COMMON STOCK                                                                                                     | N/R         | 4,378                |
|     | BRITISH AMERICAN TOB SP ADR                                    | COMMON STOCK                                                                                                     | N/R         | 4,312                |
|     | DEXCOM INC                                                     | COMMON STOCK                                                                                                     | N/R         | 4,296                |
|     | GEVO INC COM PAR                                               | COMMON STOCK                                                                                                     | N/R         | 4,280                |
|     | INSULET CORP COM                                               | COMMON STOCK                                                                                                     | N/R         | 3,991                |
|     | PROTO LABS INC COM USD0.001                                    | COMMON STOCK                                                                                                     | N/R         | 3,851                |
|     | AIRBNB INC COM CL A                                            | COMMON STOCK                                                                                                     | N/R         | 3,829                |
| *   | FIDELITY SMALL CAP INDEX INSTL PREMIUM                         | COMMON STOCK                                                                                                     | N/R         | 3,807                |
|     | DIDI GLOBAL INC SPON ADS EACH REP 0.25                         | COMMON STOCK                                                                                                     | N/R         | 3,735                |
|     | MONGODB INC CL A                                               | COMMON STOCK                                                                                                     | N/R         | 3,705                |
|     | BANK NEW YORK MELLON CORP                                      | COMMON STOCK                                                                                                     | N/R         | 3,575                |
|     | NULEGACY GOLD CORP COM NPV                                     | COMMON STOCK                                                                                                     | N/R         | 3,555                |
|     | GLOBAL X FDS VDEO GAM ESPRT                                    | COMMON STOCK                                                                                                     | N/R         | 3,334                |
|     | ETSY INC COM                                                   | COMMON STOCK                                                                                                     | N/R         | 3,284                |
|     | ISHARES TR DOW JONES US HOME CONSTN INDEX                      | COMMON STOCK                                                                                                     | N/R         | 3,248                |
|     | ZIM INTEGRATED SHIPPING SERVICES LTD                           | COMMON STOCK                                                                                                     | N/R         | 3,117                |
|     | T-MOBILE US INC COM USD0.0001                                  | COMMON STOCK                                                                                                     | N/R         | 2,900                |
|     | ANALOG DEVICES INC NFS IS A SPECIALIST                         | COMMON STOCK                                                                                                     | N/R         | 2,693                |
|     | BLINK CHARGING CO COM                                          | COMMON STOCK                                                                                                     | N/R         | 2,651                |
|     | MASIMO CORP                                                    | COMMON STOCK                                                                                                     | N/R         | 2,635                |
|     | BECTON DICKINSON CO NFS LLC IS A                               | COMMON STOCK                                                                                                     | N/R         | 2,567                |
| *   | FIDELITY REAL ESTATE INDEX INSTITUTIONAL                       | COMMON STOCK                                                                                                     | N/R         | 2,391                |
|     | RESMED INC                                                     | COMMON STOCK                                                                                                     | N/R         | 2,360                |
|     | NOV INC COM                                                    | COMMON STOCK                                                                                                     | N/R         | 2,358                |
|     | PENN NATL GAMING INC                                           | COMMON STOCK                                                                                                     | N/R         | 2,333                |
| *   | FIDELITY ZERO TOTAL MARKET INDEX                               | COMMON STOCK                                                                                                     | N/R         | 2,308                |
|     | PROSHARES TR ULTRA QQQ PROSHARES                               | COMMON STOCK                                                                                                     | N/R         | 2,221                |
|     | SPRINGWORKS THERAPEUTICS INC COM                               | COMMON STOCK                                                                                                     | N/R         | 2,169                |
|     | AMERICAN AIRLINES GROUP INC COM USD1                           | COMMON STOCK                                                                                                     | N/R         | 2,155                |
|     | FIRST TR EXCHANGE TRADED FD II NASDAQ                          | COMMON STOCK                                                                                                     | N/R         | 2,071                |

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|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     | OLIN CORP NEW                                                  | COMMON STOCK                                                                                                     | N/R         | 2,068                |
|     | KROGER CO NFS LLC IS A                                         | COMMON STOCK                                                                                                     | N/R         | 2,047                |
|     | OCCIDENTAL PETROLEUM CORP                                      | COMMON STOCK                                                                                                     | N/R         | 2,041                |
|     | QURATE RETAIL INC QVC GR COM SER A                             | COMMON STOCK                                                                                                     | N/R         | 2,002                |
|     | UMICORE GROUP ADR                                              | COMMON STOCK                                                                                                     | N/R         | 1,982                |
|     | LULULEMON ATHLETICA INC                                        | COMMON STOCK                                                                                                     | N/R         | 1,957                |
| *   | FIDELITY MSCI CONSUMER STAPLES                                 | COMMON STOCK                                                                                                     | N/R         | 1,948                |
|     | PROSHARES TR ULTRASHRT DOW 30                                  | COMMON STOCK                                                                                                     | N/R         | 1,925                |
| *   | FIDELITY CORE DIVIDEND ETF                                     | COMMON STOCK                                                                                                     | N/R         | 1,915                |
| *   | FIDELITY INTERNATIONAL HIGH                                    | COMMON STOCK                                                                                                     | N/R         | 1,890                |
|     | FIRST MAJESTIC SILVER CORP COM                                 | COMMON STOCK                                                                                                     | N/R         | 1,835                |
| *   | FIDELITY GROWTH OPPORTUNITIES ETF                              | COMMON STOCK                                                                                                     | N/R         | 1,830                |
|     | AMGEN INC NFS LLC IS A MARKET                                  | COMMON STOCK                                                                                                     | N/R         | 1,800                |
|     | CONSOLIDATED WOODJAM COPPER CORP                               | COMMON STOCK                                                                                                     | N/R         | 1,780                |
|     | VISA INC COM CL A                                              | COMMON STOCK                                                                                                     | N/R         | 1,734                |
|     | HONDA MOTORS LTD ADR NEW                                       | COMMON STOCK                                                                                                     | N/R         | 1,707                |
|     | ARK ETF TR WEB X.0 ETF                                         | COMMON STOCK                                                                                                     | N/R         | 1,672                |
|     | XL FLEET CORP COM CL A                                         | COMMON STOCK                                                                                                     | N/R         | 1,655                |
|     | KRAFT HEINZ CO COM                                             | COMMON STOCK                                                                                                     | N/R         | 1,651                |
|     | ACTIVISION BLIZZARD INC COM                                    | COMMON STOCK                                                                                                     | N/R         | 1,605                |
|     | CLEAR SECURE INC COM CL A                                      | COMMON STOCK                                                                                                     | N/R         | 1,594                |
|     | ROYAL DUTCH SHELL PLC SPON ADR REPSTG                          | COMMON STOCK                                                                                                     | N/R         | 1,583                |
|     | TWILIO INC CL A                                                | COMMON STOCK                                                                                                     | N/R         | 1,580                |
|     | TOUCHSTONE FOCUSED CLASS Y FUND                                | COMMON STOCK                                                                                                     | N/R         | 1,515                |
|     | 2U INC COM USD0.001                                            | COMMON STOCK                                                                                                     | N/R         | 1,505                |
|     | HONEYWELL INTL INC                                             | COMMON STOCK                                                                                                     | N/R         | 1,499                |
|     | GAMESTOP CORP NEW CL A                                         | COMMON STOCK                                                                                                     | N/R         | 1,484                |
|     | NEWELL RUBBERMAID INC                                          | COMMON STOCK                                                                                                     | N/R         | 1,375                |
|     | AMC ENTERTAINMENT HOLDINGS INC COM                             | COMMON STOCK                                                                                                     | N/R         | 1,360                |
|     | VIRGIN GALACTIC HOLDINGS INC COM                               | COMMON STOCK                                                                                                     | N/R         | 1,338                |
|     | TEVA PHARMACEUTICAL INDS LTD ADS                               | COMMON STOCK                                                                                                     | N/R         | 1,314                |
|     | BARRICK GOLD CORP NFS IS A SPECIALIST                          | COMMON STOCK                                                                                                     | N/R         | 1,254                |
|     | QUANTUMSCAPE CORP COM CL A                                     | COMMON STOCK                                                                                                     | N/R         | 1,220                |
|     | CARA THERAPEUTICS INC COM USD0.001                             | COMMON STOCK                                                                                                     | N/R         | 1,218                |
|     | MAG SILVER CORP ISIN #CA55903Q1046                             | COMMON STOCK                                                                                                     | N/R         | 1,175                |
|     | SERVICE CORP INTL                                              | COMMON STOCK                                                                                                     | N/R         | 1,136                |
|     | AMEDISYS INC                                                   | COMMON STOCK                                                                                                     | N/R         | 1,133                |
|     | NESTLE S A SPONSORED ADR REPSTG REG SH                         | COMMON STOCK                                                                                                     | N/R         | 1,119                |
|     | MONDELEZ INTL INC COM                                          | COMMON STOCK                                                                                                     | N/R         | 1,061                |
|     | HENNESSY CORNERSTONE LARGE GRWTHORIGINAL                       | COMMON STOCK                                                                                                     | N/R         | 1,051                |
|     | NOVARTIS AG ADR ISIN #US66987V1098                             | COMMON STOCK                                                                                                     | N/R         | 1,050                |
|     | UNITED OVERSEAS BANK LTD SPON ADR                              | COMMON STOCK                                                                                                     | N/R         | 1,038                |
|     | DEERE & COMPANY NFS IS A SPECIALIST                            | COMMON STOCK                                                                                                     | N/R         | 1,029                |
|     | GRAYSCALE DIGITAL LAR CAP FUND LLC                             | COMMON STOCK                                                                                                     | N/R         | 1,019                |
|     | HP INC COM                                                     | COMMON STOCK                                                                                                     | N/R         | 1,017                |
|     | FEDERATED INVESTORS INC CL B                                   | COMMON STOCK                                                                                                     | N/R         | 1,015                |
|     | CANADIAN NATURAL RESOURCES LTD                                 | COMMON STOCK                                                                                                     | N/R         | 1,014                |
|     | RPM INC                                                        | COMMON STOCK                                                                                                     | N/R         | 1,010                |
|     | UNION PACIFIC CORP NFS LLC IS A                                | COMMON STOCK                                                                                                     | N/R         | 1,008                |
|     | NIKE INC CLASS B NFS IS A SPECIALIST                           | COMMON STOCK                                                                                                     | N/R         | 1,000                |
|     | METLIFE INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 1,000                |
|     | CORTEVA INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 995                  |
|     | LVMH MOET HENNESSY LOUIS VUITTON ADR                           | COMMON STOCK                                                                                                     | N/R         | 992                  |
|     | MATTEL INC NFS IS A SPECIALIST                                 | COMMON STOCK                                                                                                     | N/R         | 992                  |
|     | ALGER SPECTRA FUND CLASS CL A                                  | COMMON STOCK                                                                                                     | N/R         | 984                  |
|     | VAIL RESORTS INC                                               | COMMON STOCK                                                                                                     | N/R         | 984                  |
|     | AMERICAN INTL GROUP INC COM NEW                                | COMMON STOCK                                                                                                     | N/R         | 967                  |
|     | DUPONT DE NEMOURS INC COM                                      | COMMON STOCK                                                                                                     | N/R         | 952                  |
|     | GLENCORE INTL PLC ORD USD0.01                                  | COMMON STOCK                                                                                                     | N/R         | 940                  |
|     | CUE HEALTH INC COM                                             | COMMON STOCK                                                                                                     | N/R         | 939                  |
|     | CONTEXTLOGIC INC COM CL A                                      | COMMON STOCK                                                                                                     | N/R         | 933                  |
|     | CRESCO LABS INC SUB VTG SHS                                    | COMMON STOCK                                                                                                     | N/R         | 930                  |
|     | PELTON INTERACTIVE INC CL A COM                                | COMMON STOCK                                                                                                     | N/R         | 930                  |
|     | APA CORPORATION COM                                            | COMMON STOCK                                                                                                     | N/R         | 914                  |
|     | CAMPBELL SOUP CO                                               | COMMON STOCK                                                                                                     | N/R         | 905                  |
|     | TORO CO                                                        | COMMON STOCK                                                                                                     | N/R         | 899                  |
|     | HOWMET AEROSPACE INC COM                                       | COMMON STOCK                                                                                                     | N/R         | 891                  |
|     | CLOVER HEALTH INVESTMENTS CORP COM                             | COMMON STOCK                                                                                                     | N/R         | 859                  |
|     | MERCK & CO INC NEW COM                                         | COMMON STOCK                                                                                                     | N/R         | 843                  |
|     | AGCO CORP                                                      | COMMON STOCK                                                                                                     | N/R         | 812                  |
|     | CITIGROUP INC COM NEW                                          | COMMON STOCK                                                                                                     | N/R         | 785                  |
|     | STONECO LTD COM USD0.000079365 CLASS                           | COMMON STOCK                                                                                                     | N/R         | 674                  |
|     | DOW INC COM                                                    | COMMON STOCK                                                                                                     | N/R         | 595                  |
|     | ZYNERBA PHARMACEUTICALS INC                                    | COMMON STOCK                                                                                                     | N/R         | 576                  |
|     | COMPASS PATHWAYS PLC UNSPON ADS REP 1 ORD                      | COMMON STOCK                                                                                                     | N/R         | 553                  |
|     | ROBLOX CORP CL A                                               | COMMON STOCK                                                                                                     | N/R         | 516                  |
|     | GLOBAL X FDS GLOBAL X SUPERDIV ETF                             | COMMON STOCK                                                                                                     | N/R         | 498                  |
|     | XEROX HOLDINGS CORP COM NEW                                    | COMMON STOCK                                                                                                     | N/R         | 478                  |
|     | INVESCO EXCHANGE TRADED FD TR HIG YLD                          | COMMON STOCK                                                                                                     | N/R         | 470                  |
|     | WINNEBAGO INDS INC NFS IS A SPECIALIST                         | COMMON STOCK                                                                                                     | N/R         | 454                  |
|     | WW INTL INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 436                  |
|     | HEWLETT PACKARD ENTERPRISE CO COM                              | COMMON STOCK                                                                                                     | N/R         | 426                  |
|     | ALTERYX INC COM CL A                                           | COMMON STOCK                                                                                                     | N/R         | 424                  |
|     | GRAB HLDGS LTD WTS EXPUNIT                                     | COMMON STOCK                                                                                                     | N/R         | 422                  |
|     | ALEXCO RESOURCE CORP COM                                       | COMMON STOCK                                                                                                     | N/R         | 348                  |
|     | NEOGENOMICS INC COM NEW EXTERNAL FUND                          | COMMON STOCK                                                                                                     | N/R         | 334                  |
|     | MAGNITE INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 333                  |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)  | (e)                     |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------|-------------------------|
|     |                                                                |                                                                                                                  | COST | CURRENT VALUE           |
|     | SINTX TECHNOLOGIES INC COM NEW                                 | COMMON STOCK                                                                                                     | N/R  | 330                     |
|     | AURORA CANNABIS INC COM NPV(POST REV                           | COMMON STOCK                                                                                                     | N/R  | 314                     |
|     | WABTEC                                                         | COMMON STOCK                                                                                                     | N/R  | 281                     |
|     | ARCONIC CORPORATION COM                                        | COMMON STOCK                                                                                                     | N/R  | 231                     |
|     | ALCON INC                                                      | COMMON STOCK                                                                                                     | N/R  | 174                     |
|     | OCCIDENTAL PETE CORP WTS EXP 08/03/2027                        | COMMON STOCK                                                                                                     | N/R  | 101                     |
|     | CONDUENT INC COM                                               | COMMON STOCK                                                                                                     | N/R  | 80                      |
|     | GREEN ORGANIC DUTCHMAN HLDGS LTD                               | COMMON STOCK                                                                                                     | N/R  | 75                      |
|     | ORGANON & CO COMMON STOCK                                      | COMMON STOCK                                                                                                     | N/R  | 62                      |
|     | BRIGHTHOUSE FINL INC COM                                       | COMMON STOCK                                                                                                     | N/R  | 52                      |
|     | GLOBAL X FDS NASDAQ 100 COVER                                  | COMMON STOCK                                                                                                     | N/R  | 46                      |
|     | VIATRIS INC COM                                                | COMMON STOCK                                                                                                     | N/R  | 28                      |
|     | MICRO FOCUS INTERNATIONAL PLC                                  | COMMON STOCK                                                                                                     | N/R  | 12                      |
|     | IANTHUS CAPITAL HOLDINGS INC COM NPV                           | COMMON STOCK                                                                                                     | N/R  | 10                      |
|     | <b>PREFERRED STOCKS</b>                                        |                                                                                                                  |      |                         |
|     | WELLS FARGO & CO NEW PERP PFD CONV CL A                        | PREFERRED STOCK                                                                                                  | N/R  | 44,716                  |
|     | BANK AMER CORP NON CUMULATIVE PERPETUAL                        | PREFERRED STOCK                                                                                                  | N/R  | 43,362                  |
|     | GOLDMAN SACHS GROUP INC DEPOSITARY SHS                         | PREFERRED STOCK                                                                                                  | N/R  | 26,450                  |
|     | QURATE RETAIL INC 8.0 CUM PFD REDA                             | PREFERRED STOCK                                                                                                  | N/R  | 2,581                   |
|     | COMCAST CORP 2.00% 10/15/2029                                  | PREFERRED STOCK                                                                                                  | N/R  | 887                     |
|     |                                                                | TOTAL SELF-DIRECTED BROKERAGE ACCOUNTS                                                                           |      | 48,639,247              |
| *   | <b>PARTICIPANT LOANS</b>                                       | INTEREST RATES: 3.25% - 9.25%                                                                                    | \$0  | 6,542,884               |
|     |                                                                |                                                                                                                  |      | <u>\$ 1,177,777,286</u> |

A party in interest as defined by ERISA

N/R - cost omitted for participant directed investments

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)  
 EIN: 25-0749630 Plan Number: 001  
 December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     | <b>COLLECTIVE TRUST FUNDS</b>                                  |                                                                                                                  |             |                      |
|     | BNY MELLON                                                     | BNYM STOCK IDX IS                                                                                                | N/R         | \$ 190,367,121       |
|     | BNY MELLON                                                     | BNYM MDCP STK IDX IS                                                                                             | N/R         | 86,370,843           |
|     | BNY MELLON                                                     | MELLON STABLE VALUE L                                                                                            | N/R         | 70,580,294           |
|     | BNY MELLON                                                     | BNYM AGG BD IDX IS                                                                                               | N/R         | 36,511,982           |
|     | BNY MELLON                                                     | BNYM SMCP STK IDX IS                                                                                             | N/R         | 27,697,794           |
|     |                                                                | TOTAL COLLECTIVE TRUST FUNDS                                                                                     |             | 411,528,034          |
|     | <b>MONEY MARKET FUND</b>                                       |                                                                                                                  |             |                      |
| *   | FIDELITY INVESTMENTS                                           | FID GOVT MMKT                                                                                                    | N/R         | 585,879              |
|     | <b>MUTUAL FUNDS</b>                                            |                                                                                                                  |             |                      |
| *   | FIDELITY INVESTMENTS                                           | FID BLUE CHIP GR K6                                                                                              | N/R         | 104,413,002          |
| *   | FIDELITY INVESTMENTS                                           | FID INTL CAP APPR K6                                                                                             | N/R         | 68,287,714           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2025 I                                                                                              | N/R         | 66,879,751           |
|     | DODGE & COX                                                    | DODGE & COX STOCK                                                                                                | N/R         | 64,319,984           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2030 I                                                                                              | N/R         | 61,621,871           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2020 I                                                                                              | N/R         | 54,458,848           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2035 I                                                                                              | N/R         | 43,423,509           |
|     | LEGG MASON                                                     | CBA SM CAP GR IS                                                                                                 | N/R         | 36,662,527           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2040 I                                                                                              | N/R         | 34,835,583           |
|     | METROPOLITAN WEST FUNDS                                        | METWEST TOT RTN BD I                                                                                             | N/R         | 32,924,549           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2015 I                                                                                              | N/R         | 25,070,528           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2045 I                                                                                              | N/R         | 24,840,492           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2050 I                                                                                              | N/R         | 19,534,834           |
|     | WILLIAM BLAIR                                                  | ICM SM CO INST                                                                                                   | N/R         | 19,511,108           |
| *   | FIDELITY INVESTMENTS                                           | FID INTL INDEX                                                                                                   | N/R         | 13,406,605           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2010 I                                                                                              | N/R         | 11,561,888           |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2055 I                                                                                              | N/R         | 10,676,802           |
|     | PIMCO                                                          | PIM ALL ASSET INST                                                                                               | N/R         | 8,515,528            |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2005 I                                                                                              | N/R         | 6,965,853            |
|     | T. ROWE PRICE                                                  | TRP RETIRE I 2060 I                                                                                              | N/R         | 2,570,266            |
|     |                                                                | TOTAL MUTUAL FUNDS                                                                                               |             | 710,481,242          |
|     | <b>SELF-DIRECTED BROKERAGE ACCOUNTS</b>                        |                                                                                                                  |             |                      |
|     | <b>MUTUAL FUNDS</b>                                            |                                                                                                                  |             |                      |
|     | VANGUARD                                                       | VANGUARD BD INDEX FD INC TOTAL BD MARKET                                                                         | N/R         | 1,415,997            |
|     | VANGUARD                                                       | VANGUARD TARGET RETIREMENT 2020 INVT                                                                             | N/R         | 1,345,773            |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | S & P 500 DEPOSITORY RECEIPT                                                                                     | N/R         | 1,112,262            |
|     | VANGUARD                                                       | VANGUARD INDEX TR VANGUARD TOTAL STK                                                                             | N/R         | 902,484              |
|     | VANGUARD                                                       | VANGUARD STAR FD VANGUARD TOTAL INTL                                                                             | N/R         | 862,532              |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY CONTRAFUND                                                                                              | N/R         | 839,504              |
|     | ISHARES                                                        | ISHARES TR RUSSELL 1000 GROWTH INDEX FD                                                                          | N/R         | 752,364              |
|     | AMERICAN FUNDS                                                 | EUROPACIFIC GROWTH CLASS A                                                                                       | N/R         | 708,955              |
|     | FMI FUNDS                                                      | FMI LARGE CAP FUND                                                                                               | N/R         | 647,267              |
|     | VANGUARD                                                       | VANGUARD INDEX FDS FORMERLY VANGUARD                                                                             | N/R         | 634,033              |
|     | AMERICAN FUNDS                                                 | AMERICAN WASHINGTON MUTUAL INVESTORS F                                                                           | N/R         | 511,140              |
|     | ISHARES                                                        | ISHARES TR S&P 1500 INDEX FD                                                                                     | N/R         | 503,631              |
|     | ISHARES                                                        | ISHARES TR RUSSELL 1000 VALUE INDEX FD                                                                           | N/R         | 492,875              |
|     | VANGUARD                                                       | VANGUARD SCOTTSDALE FDS VANGUARD SHORT                                                                           | N/R         | 463,081              |
|     | AMERICAN FUNDS                                                 | AMERICAN EUROPACIFIC GROWTH CLASS F                                                                              | N/R         | 448,072              |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY HIGH INCOME                                                                                             | N/R         | 442,502              |
|     | AMERICAN FUNDS                                                 | AMCAP FUND                                                                                                       | N/R         | 423,714              |
|     | ISHARES                                                        | ISHARES TR S&P 500 INDEX FD                                                                                      | N/R         | 405,405              |
|     | ISHARES                                                        | ISHARES TRUST CORE TOTAL USD BD MKT ETF                                                                          | N/R         | 399,857              |
|     | DODGE & COX                                                    | DODGE & COX STOCK                                                                                                | N/R         | 394,385              |
|     | FEDERATED                                                      | FEDERATED KAUFMANN CLASS K                                                                                       | N/R         | 382,565              |
|     | VOYA                                                           | VOYA SECURITIZED CREDIT FUND CL I                                                                                | N/R         | 366,029              |
|     | VANGUARD                                                       | VANGUARD SPECIALIZED PORTFOLIOS DIV                                                                              | N/R         | 354,673              |
|     | DOUBLELINE                                                     | DOUBLELINE TOTAL RETURN BOND FD CL I                                                                             | N/R         | 351,720              |
|     | VANGUARD                                                       | VANGUARD PRIMECAP CORE FD INVESTOR CL                                                                            | N/R         | 350,846              |
|     | AMERICAN FUNDS                                                 | AMERICAN MUTUAL                                                                                                  | N/R         | 338,787              |
|     | LEGG MASON                                                     | LEGG MASON BW ALTERNATV CREDIT I                                                                                 | N/R         | 327,679              |
|     | ARTISAN                                                        | ARTISAN INTERNAT'L                                                                                               | N/R         | 315,176              |
|     | HARBOR                                                         | HARBOR HIGH YIELD BD INST.                                                                                       | N/R         | 304,506              |
|     | VANGUARD                                                       | VANGUARD TOTAL INTERNTL BD INDX ADM                                                                              | N/R         | 301,371              |
|     | BARON CAPITAL GROUP                                            | BARON PARTNERS FUND                                                                                              | N/R         | 265,001              |
|     | PEAR TREE FUNDS                                                | PEAR TREE POLARIS FRGN VAL SM CAP ORD                                                                            | N/R         | 264,360              |
|     | VANGUARD                                                       | VANGUARD INDEX FDS VANGUARD VALUE                                                                                | N/R         | 261,010              |
| *   | FIDELITY INVESTMENTS                                           | FID TOTAL MKT INDEX INSTL PREMIUM CLASS                                                                          | N/R         | 256,244              |
|     | GUGGENHEIM INVESTMENTS                                         | GUGGENHEIM MACRO OPPORTUNITIES INSTL                                                                             | N/R         | 249,889              |
|     | ISHARES                                                        | ISHARES TR S&P SMALLCAP 600/BARRA                                                                                | N/R         | 249,104              |
|     | TWEEDY, BROWNE                                                 | TWEEDY BROWN AMERN VALUE FUND                                                                                    | N/R         | 235,477              |
|     | MATTHEWS ASIA FUNDS                                            | MATTHEWS PACIFIC TIGER FUND                                                                                      | N/R         | 227,223              |
|     | VANGUARD                                                       | VANGUARD INDEX FDS FORMERLY VANGUARD                                                                             | N/R         | 221,896              |
|     | T. ROWE PRICE                                                  | T ROWE PRICE EMERGING MKTS STOCK                                                                                 | N/R         | 220,411              |
|     | AMERICAN FUNDS                                                 | AMERICAN SMALL CAP WORLD CLASS A                                                                                 | N/R         | 218,615              |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY INT'L ENHANCED INDEX FUND                                                                               | N/R         | 217,123              |
|     | CALVERT RESEARCH AND MANAGEMENT                                | CALVERT EMERGING MARKETS EQUITY CL A                                                                             | N/R         | 202,362              |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY BLUE CHIP GROWTH ETF                                                                                    | N/R         | 198,159              |
|     | INVESCO                                                        | INVESCO QQQ TR UNIT SER 1                                                                                        | N/R         | 195,255              |
|     | GRAYSCALE                                                      | GRAYSCALE BITCOIN TR BTC SHS ACCD INVS                                                                           | N/R         | 182,964              |
|     | SHENKMAN                                                       | SHENKMAN SHORT DUR HIGH INCOME INSTL                                                                             | N/R         | 179,243              |
|     | HOMESTEAD                                                      | HOMESTEAD SMALL COMPANY STOCK FD                                                                                 | N/R         | 175,412              |
|     | ARTISAN                                                        | ARTISAN SMALL CAP GROWTH                                                                                         | N/R         | 171,711              |

**Reed Smith 401(k) Plan**

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|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
| *   | FIDELITY INVESTMENTS                                           | FIDELITY OTC PORT                                                                                                | N/R         | 169,375              |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FD INC                                                                                | N/R         | 165,852              |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY TECHNOQUANT GROWTH                                                                                      | N/R         | 162,676              |
|     | DIAMOND HILL FUNDS                                             | DIAMOND HILL LARGE CAP FUND CLASS I                                                                              | N/R         | 153,983              |
|     | VANGUARD                                                       | VANGUARD BD INDEX FD INC SHORT TERM BD                                                                           | N/R         | 150,648              |
|     | VOYA                                                           | VOYA MIDCAP OPPORTUNITIES CL I                                                                                   | N/R         | 142,553              |
|     | ABERDEEN                                                       | ABERDEEN EMERGING MARKETS INSTL                                                                                  | N/R         | 141,481              |
|     | THE PACIFIC FINANCIAL GROUP                                    | RISKPRO 30+ FUND CL R                                                                                            | N/R         | 128,744              |
|     | LAZARD                                                         | LAZARD EMERGING MKTS OPEN CLASS                                                                                  | N/R         | 127,675              |
|     | ETFMG                                                          | ETF MANAGERS TR PUREFUNDS ISE CY                                                                                 | N/R         | 122,900              |
|     | FEDERATED                                                      | FEDERATED KAUFMANN CLASS A                                                                                       | N/R         | 119,422              |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FD INC                                                                                | N/R         | 116,745              |
|     | CROSSINGBRIDGE                                                 | CROSSBRIDGE LOW DUR HIGH YIELD INSTL                                                                             | N/R         | 111,197              |
|     | VANGUARD                                                       | VANGUARD TOTAL STOCK MARKET INDEX ADMIRAL                                                                        | N/R         | 105,443              |
|     | AMERICAN FUNDS                                                 | AMERICAN NEW WORLD FUND                                                                                          | N/R         | 102,284              |
|     | MAINSTAY                                                       | MAINSTAY LARGE CAP GROWTH FUND CLASS I                                                                           | N/R         | 101,687              |
|     | ISHARES                                                        | ISHARES TR MSCI EAFE VALUE INDEX FD                                                                              | N/R         | 99,873               |
|     | PFG                                                            | PFG SECTOR EQUITY BUS CYCLE STRAT R                                                                              | N/R         | 98,698               |
|     | VANGUARD                                                       | VANGUARD CHARLOTTE FUNDS TOTAL                                                                                   | N/R         | 98,253               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY 500 INDEX INSTITUTIONAL PREM                                                                            | N/R         | 97,626               |
|     | ISHARES                                                        | ISHARES TRUST CORE MSCI TOTAL INTL STK                                                                           | N/R         | 97,449               |
|     | ISHARES                                                        | ISHARES TR MSCI EMERGING MKTS                                                                                    | N/R         | 96,859               |
|     | VANGUARD                                                       | VANGUARD SECTOR INDEX FDS VANGUARD                                                                               | N/R         | 96,740               |
|     | PFG                                                            | PFG EQUITY INDEX FOCUSED STRATEGY R                                                                              | N/R         | 96,642               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY SELECT MEDICAL DELIVERY                                                                                 | N/R         | 95,475               |
|     | FRANKLIN TEMPLETON INVESTMENTS                                 | TEMPLETON GLOBAL BOND ADVISOR CLASS                                                                              | N/R         | 95,259               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY CAPITAL & INCOME                                                                                        | N/R         | 95,122               |
|     | RISKPRO                                                        | RISKPRO PFG 30+ FUND CL R                                                                                        | N/R         | 95,107               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY EMERGING MARKETS                                                                                        | N/R         | 94,096               |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FD INC FTSE                                                                           | N/R         | 93,023               |
|     | VANGUARD                                                       | VANGUARD HEALTH CARE                                                                                             | N/R         | 92,894               |
|     | ARTISAN                                                        | ARTISAN HIGH INCOME FUND INVESTOR                                                                                | N/R         | 89,769               |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FD INC                                                                                | N/R         | 87,639               |
|     | AMG FUNDS                                                      | AMG YACKTMAN FOCUSED SERVICE CL                                                                                  | N/R         | 86,728               |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SPDR INDEX SHS FDS S&P INTL SMALL CAP                                                                            | N/R         | 85,058               |
|     | DRIEHAUS                                                       | DRIEHAUS EMERGING MARKETS SM CAP GRWTH                                                                           | N/R         | 84,826               |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SPDR GOLD TR GOLD SHS                                                                                            | N/R         | 82,916               |
|     | AMERICAN FUNDS                                                 | AMERICAN NEW WORLD CLASS F                                                                                       | N/R         | 82,695               |
|     | DODGE & COX                                                    | DODGE & COX INTERNATL STOCK FUND                                                                                 | N/R         | 82,375               |
|     | ISHARES                                                        | ISHARES TR LEHMAN U S AGGREGATE BD FD                                                                            | N/R         | 77,021               |
|     | PFG                                                            | PFG BR EQUITY ESG STRATEGY CL R                                                                                  | N/R         | 75,850               |
|     | ARTISAN                                                        | ARTISAN MID CAP FUND ADVISOR                                                                                     | N/R         | 75,806               |
|     | FMI FUNDS                                                      | FMI LARGE CAP FUND INSTITUTIONAL                                                                                 | N/R         | 74,209               |
|     | ISHARES                                                        | ISHARES TR DOW JONES U S REAL ESTATE                                                                             | N/R         | 74,097               |
|     | FMI FUNDS                                                      | FMI INTERNATIONAL                                                                                                | N/R         | 73,887               |
|     | VANGUARD                                                       | VANGUARD INTERMED TERM CORPORATE                                                                                 | N/R         | 72,849               |
|     | HENNESSY                                                       | HENNESSY FOCUS FUND INVESTOR CLASS                                                                               | N/R         | 71,645               |
|     | HENNESSY                                                       | HENNESSY SMALL CAP FINANCIAL INVSTR CL                                                                           | N/R         | 70,269               |
|     | JOHN HANCOCK                                                   | JOHN HANCOCK DISCIPL INED VALUE MID CAP I                                                                        | N/R         | 69,285               |
|     | ROYCE INVESTMENT PARTNERS                                      | ROYCE SPECIAL EQUITY                                                                                             | N/R         | 67,164               |
|     | VANECK                                                         | VANECK VECTORS ETF TR GOLD MINERS ETF                                                                            | N/R         | 67,008               |
|     | PFG                                                            | PFG AMERICAN FUNDS GROWTH STRAT CL R                                                                             | N/R         | 65,723               |
|     | TWEEDY, BROWNE                                                 | TWEEDY BROWN GLOBAL VALUE FUND                                                                                   | N/R         | 65,340               |
|     | VANGUARD                                                       | VANGUARD TAX MANAGED FD EUROPE PACIFIC                                                                           | N/R         | 65,315               |
|     | ISHARES                                                        | ISHARES TR CORE MSCI INTL                                                                                        | N/R         | 61,247               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY LARGE CAP GROWTH INDX ISTL PRM                                                                          | N/R         | 59,358               |
|     | THIRD AVENUE                                                   | THIRD AVENUE REAL ESTATE VALUE                                                                                   | N/R         | 59,213               |
|     | BROWN ADVISORY FUNDS                                           | BROWN ADVISORY GROWTH EQUITY ADV                                                                                 | N/R         | 56,237               |
|     | HOST MARRIOTT CORP                                             | HOST MARRIOTT CORP NEW REIT                                                                                      | N/R         | 52,170               |
|     | SIMON                                                          | SIMON PPTY GRP INC                                                                                               | N/R         | 49,751               |
|     | VANGUARD                                                       | VANGUARD TOTAL INTNL STOCK INDEX ADMIRAL                                                                         | N/R         | 49,024               |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FDS GLOBAL                                                                            | N/R         | 48,546               |
|     | WASATCH                                                        | WASATCH INTERNATL GROWTH FUND INSTL CL                                                                           | N/R         | 45,504               |
|     | VANGUARD                                                       | VANGUARD SECTOR INDEX FDS VANGUARD                                                                               | N/R         | 45,346               |
|     | ISHARES                                                        | ISHARES TR S&P 100 INDEX FUND                                                                                    | N/R         | 41,635               |
|     | RATIONAL                                                       | RATIONAL SPECIAL SITUATIONS INC INSTL                                                                            | N/R         | 41,296               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY NASDAQ COMPOSITE INDEX                                                                                  | N/R         | 41,217               |
|     | INVESCO                                                        | INVESCO OPPENHEIMER DEVELOPING MARKETS Y                                                                         | N/R         | 37,999               |
|     | WASATCH                                                        | WASATCH CORE GROWTH INSTITUTIONAL                                                                                | N/R         | 37,614               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY MSCI TELECOMMUNICATIONS                                                                                 | N/R         | 34,109               |
|     | OAKMARK                                                        | OAKMARK GLOBAL SELECT FD CL I                                                                                    | N/R         | 33,332               |
|     | BOSTON PARTNERS                                                | BOSTON PARTNERS SML CAP VAL II INSTL                                                                             | N/R         | 33,200               |
|     | CATALYST                                                       | CATALYST ENHANCED INCOME STRATEGY I                                                                              | N/R         | 32,759               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY MSCI HEALTH CARE INDEX                                                                                  | N/R         | 31,647               |
|     | VANGUARD                                                       | VANGUARD MID-CAP GROWTH INDEX ADMIRAL                                                                            | N/R         | 30,037               |
|     | ALPHACENTRIC                                                   | ALPHACENTRIC INCOME OPPORTUNITIES CL I                                                                           | N/R         | 29,951               |
|     | VANGUARD                                                       | VANGUARD SECTOR INDEX FDS VANGUARD                                                                               | N/R         | 29,208               |
|     | VANGUARD                                                       | VANGUARD TOTAL BOND MARKET ADMIRAL                                                                               | N/R         | 26,429               |
|     | VANECK                                                         | VANECK VECTORS ETF TR VIDEO GAMING                                                                               | N/R         | 25,903               |
|     | ARTISAN                                                        | ARTISAN INTL VALUE FUND ADVISOR                                                                                  | N/R         | 25,710               |
|     | COHEN & STEERS                                                 | COHEN & STEERS QUALITY INCOME RLTY                                                                               | N/R         | 24,392               |
|     | BNY MELLON                                                     | BNY MELLON INSTL S&P 500 STOCK INDEX I                                                                           | N/R         | 24,149               |
|     | ISHARES                                                        | ISHARES TR DOW JONES US TECHNOLOGY                                                                               | N/R         | 23,610               |
|     | BLACKROCK                                                      | BLACKROCK STRATEGIC INCOME OPPTS INSTL                                                                           | N/R         | 22,469               |
|     | T. ROWE PRICE                                                  | T ROWE PRICE INT'L NEW ASIA                                                                                      | N/R         | 21,981               |
|     | CARILLON FAMILY OF FUNDS                                       | CARILLON SCOUT MID CAP FUND CL Y                                                                                 | N/R         | 21,809               |
|     | VANGUARD                                                       | VANGUARD INTL EQUITY INDEX FDS TOTAL                                                                             | N/R         | 20,827               |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     | ISHARES                                                        | ISHARES U S ETF TR SHORT MATURITY BD                                                                             | N/R         | 20,182               |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY SELECT BIOTECHNOLOGY                                                                                    | N/R         | 19,829               |
|     | INVESCO                                                        | INVESCO EXCHANGE TRADED FD TR II                                                                                 | N/R         | 19,798               |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SPDR DOW JONES INDL AVERAGE ETF TR UNIT                                                                          | N/R         | 18,838               |
|     | VANGUARD                                                       | VANGUARD WORLD FD MEGA CAP 300 INDEX                                                                             | N/R         | 18,019               |
|     | ISHARES                                                        | ISHARES INC CORE MSCI EMERGING MKTS                                                                              | N/R         | 15,821               |
|     | OBERWEIS                                                       | OBERWEIS CHINA OPPORTUNITIES FUND                                                                                | N/R         | 15,669               |
|     | SCHWAB ETFS                                                    | SCHWAB STRATEGIC TR EMERGING MKTS EQUITY                                                                         | N/R         | 15,466               |
|     | TOUCHSTONE                                                     | TOUCHSTONE LARGE CAP FUND CL Y                                                                                   | N/R         | 15,375               |
|     | ISHARES                                                        | ISHARES TRUST CORE MSCI EAFE ETF                                                                                 | N/R         | 12,585               |
|     | AMERICOLD                                                      | AMERICOLD RLTY TR COM                                                                                            | N/R         | 10,195               |
|     | ARBITRAGE FUND                                                 | ARBITRAGE FUND CLASS I                                                                                           | N/R         | 10,014               |
|     | VANGUARD                                                       | VANGUARD SECTOR INDEX FDS VANGUARD                                                                               | N/R         | 9,888                |
|     | ISHARES                                                        | ISHARES TRUST RUSSELL 200 GROWTH                                                                                 | N/R         | 9,009                |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY DIVIDEND GROWTH                                                                                         | N/R         | 8,029                |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SPDR SER TR LEHMAN LONG TERM CR BD ETF                                                                           | N/R         | 7,258                |
|     | ISHARES                                                        | ISHARES TR FTSE NAREIT REAL ESTATE                                                                               | N/R         | 7,242                |
|     | SPDR STATE STREET GLOBAL ADVISORS                              | SECTOR SPDR TR SHS BEN INT ENERGY                                                                                | N/R         | 6,801                |
|     | OMEGA HEALTHCARE                                               | OMEGA HEALTHCARE INVS INC                                                                                        | N/R         | 6,698                |
|     | VIRTUS                                                         | VIRTUS ETF TR II VIRTUS TERRANOVA US                                                                             | N/R         | 6,630                |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY MSCI CONSUMER                                                                                           | N/R         | 5,330                |
|     | ALPS                                                           | ALPS ETF TR ALERIAN MLP                                                                                          | N/R         | 5,142                |
|     | VANGUARD                                                       | VANGUARD WHITEHALL FUNDS INTERNATIONAL                                                                           | N/R         | 4,821                |
|     | PARNASSUS                                                      | PARNASSUS WORKPLACE                                                                                              | N/R         | 4,737                |
|     | VANGUARD                                                       | VANGUARD WHITEHALL FUNDS INTERNATIONAL                                                                           | N/R         | 3,953                |
|     | DIREXION                                                       | DIREXION SHS ETF TR WORK FROM HOME                                                                               | N/R         | 3,388                |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY INTERNAT'L REAL ESTATE FUND                                                                             | N/R         | 3,356                |
|     | ISHARES                                                        | ISHARES SILVER TR ISHARES                                                                                        | N/R         | 3,334                |
|     | INVESCO                                                        | INVESCO EXCHNG TRADED FD TR II                                                                                   | N/R         | 3,136                |
|     | VANECK                                                         | VANECK VECTORS ETF TR RARE EARTH STRAT                                                                           | N/R         | 2,985                |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY MSCI INFORMATION                                                                                        | N/R         | 2,622                |
|     | STARWOOD                                                       | STARWOOD PPTY TR INC COM                                                                                         | N/R         | 2,588                |
|     | ARES                                                           | ARES CAP CORP COM                                                                                                | N/R         | 2,488                |
|     | ISHARES                                                        | ISHARES TR S&P GLOBAL CLEAN ENERGY                                                                               | N/R         | 2,098                |
|     | ISHARES                                                        | ISHARES INC MSCI GLOBAL SILVER                                                                                   | N/R         | 2,010                |
|     | TOCQUEVILLE                                                    | TOCQUEVILLE SMALL CAP VALUE                                                                                      | N/R         | 1,974                |
|     | DIGITAL REALTY                                                 | DIGITAL RLTY TR INC COM                                                                                          | N/R         | 1,061                |
|     | INNOVATIVE                                                     | INNOVATIVE INDL PPTYS INC CL A                                                                                   | N/R         | 1,055                |
|     | HENNESSY                                                       | HENNESSY FOCUS 30 FUND                                                                                           | N/R         | 1,027                |
|     | RYDEX FUNDS                                                    | RYDEX BIOTECHNOLOGY INV CLASS                                                                                    | N/R         | 941                  |
|     | PROSHARES                                                      | PROSHARES ULTRAPRO SHORT QQQ                                                                                     | N/R         | 832                  |
|     | JBG SMITH                                                      | JBG SMITH PPTYS COM                                                                                              | N/R         | 598                  |
|     | PROSHARES                                                      | PROSHARES TR ULTRAPRO SHORT S                                                                                    | N/R         | 361                  |
|     | TCW                                                            | TCW EMERGING MARKETS INCOME CL N                                                                                 | N/R         | 110                  |
|     | PEAR TREE FUNDS                                                | PIMCO INCOME FD CL A                                                                                             | N/R         | 90                   |
|     | LORD ABBETT                                                    | LORD ABBETT BOND DEBENTURE CLASS A                                                                               | N/R         | 86                   |
|     | T. ROWE PRICE                                                  | T ROWE PRICE STRATEGIC INCOME FD                                                                                 | N/R         | 54                   |
|     | BNY MELLON                                                     | BNY MELLON BOND MARKET INDEX I                                                                                   | N/R         | 23                   |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY STRATEGIC INCOME FUND                                                                                   | N/R         | 3                    |
|     | <b>CASH AND CASH EQUIVALENTS</b>                               |                                                                                                                  |             |                      |
| *   | FIDELITY INVESTMENTS                                           | FIDELITY CASH RESERVES                                                                                           | N/R         | 5,694,880            |
|     | MORGAN STANLEY                                                 | MORGAN STANLEY PVT BK PURCHASE CD                                                                                | N/R         | 175,270              |
|     | WELLS FARGO                                                    | WELLS FARGO BANK NATL ASSN CD                                                                                    | N/R         | 158,475              |
|     | <b>COMMON STOCKS</b>                                           |                                                                                                                  |             |                      |
|     | APPLE COMPUTER INC NFS LLC IS A MARKET                         | COMMON STOCK                                                                                                     | N/R         | 1,410,345            |
|     | PNC FINL SVCS GROUP                                            | COMMON STOCK                                                                                                     | N/R         | 553,080              |
|     | MCDONALDS CORP NFS IS A SPECIALIST                             | COMMON STOCK                                                                                                     | N/R         | 486,417              |
|     | CHIPOTLE MEXICAN GRILL INC CL A                                | COMMON STOCK                                                                                                     | N/R         | 349,650              |
|     | RIVIAN AUTOMOTIVE INC COM CL A                                 | COMMON STOCK                                                                                                     | N/R         | 345,250              |
|     | BERKSHIRE HATHAWAY INC DEL CL B NEW                            | COMMON STOCK                                                                                                     | N/R         | 312,077              |
|     | BOEING CO NFS IS A SPECIALIST                                  | COMMON STOCK                                                                                                     | N/R         | 302,128              |
|     | J P MORGAN CHASE & CO                                          | COMMON STOCK                                                                                                     | N/R         | 291,967              |
|     | COHEN & STEERS EQUITY INCOME CL I                              | COMMON STOCK                                                                                                     | N/R         | 262,039              |
|     | BUNGE LIMITED COM                                              | COMMON STOCK                                                                                                     | N/R         | 246,927              |
|     | ARTISAN DEVELOPING WORLD FD INVESTOR CL                        | COMMON STOCK                                                                                                     | N/R         | 243,214              |
|     | ADVANCED MICRO DEVICES INC                                     | COMMON STOCK                                                                                                     | N/R         | 236,716              |
|     | NVIDIA CORP NFS LLC IS A MARKET                                | COMMON STOCK                                                                                                     | N/R         | 223,758              |
|     | VANGUARD INDEX FDS VANGUARD SMALL CAP                          | COMMON STOCK                                                                                                     | N/R         | 221,809              |
|     | VANGUARD INDEX FDS VANGUARD SMALL CAP                          | COMMON STOCK                                                                                                     | N/R         | 209,777              |
|     | BRISTOL MYERS SQUIBB NFS LLC IS A                              | COMMON STOCK                                                                                                     | N/R         | 207,085              |
|     | VANGUARD INDEX FDS VANGUARD MID CAP                            | COMMON STOCK                                                                                                     | N/R         | 199,953              |
|     | RAMBUS INC NFS LLC IS A MARKET                                 | COMMON STOCK                                                                                                     | N/R         | 189,419              |
|     | SCHWAB STRATEGIC TR US TIPS ETF                                | COMMON STOCK                                                                                                     | N/R         | 179,960              |
|     | BROADCOM INC COM                                               | COMMON STOCK                                                                                                     | N/R         | 171,157              |
|     | AMAZON.COM INC NFS LLC IS A MARKET                             | COMMON STOCK                                                                                                     | N/R         | 170,291              |
|     | PROCTER & GAMBLE CO NFS IS A SPECIALIST                        | COMMON STOCK                                                                                                     | N/R         | 170,181              |
|     | RIVERPARK SHORT TERM HIGH YIELD                                | COMMON STOCK                                                                                                     | N/R         | 163,529              |
|     | NEWMONT MNG CORP NFS IS A SPECIALIST                           | COMMON STOCK                                                                                                     | N/R         | 143,886              |
|     | ALPHABET INC CAP STK CL A                                      | COMMON STOCK                                                                                                     | N/R         | 136,161              |
|     | COMCAST CORP NEW CL A                                          | COMMON STOCK                                                                                                     | N/R         | 122,113              |
|     | COSTCO WHOLESALE CORP                                          | COMMON STOCK                                                                                                     | N/R         | 115,869              |
|     | PAYPAL HLDGS INC COM                                           | COMMON STOCK                                                                                                     | N/R         | 113,714              |
| *   | FIDELITY STRATEGIC DIVIDEND & INCOME                           | COMMON STOCK                                                                                                     | N/R         | 112,815              |
|     | VANGUARD INDEX FDS VANGUARD GROWTH                             | COMMON STOCK                                                                                                     | N/R         | 110,976              |
|     | ALPHABET INC CAP STK CL C                                      | COMMON STOCK                                                                                                     | N/R         | 104,158              |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
| *   | SCHWAB STRATEGIC TR US LARGE-CAP GROWTH                        | COMMON STOCK                                                                                                     | N/R         | 98,646               |
|     | GOLDMAN SACHS GROUP INC                                        | COMMON STOCK                                                                                                     | N/R         | 97,534               |
|     | FIDELITY SOUTHEAST ASIA                                        | COMMON STOCK                                                                                                     | N/R         | 94,156               |
|     | MORGAN STAN INSTL TR MID CAP GRWTH ADV CL                      | COMMON STOCK                                                                                                     | N/R         | 91,275               |
|     | GQG PARTNERS EMRGING MARKETS EQUITY INV                        | COMMON STOCK                                                                                                     | N/R         | 90,444               |
|     | TWITTER INC COM USD0.000005                                    | COMMON STOCK                                                                                                     | N/R         | 87,953               |
|     | MICROSOFT CORP NFS LLC IS A MARKET                             | COMMON STOCK                                                                                                     | N/R         | 84,266               |
|     | CAMBRIA ETF TR EMRG SHAREHLDR                                  | COMMON STOCK                                                                                                     | N/R         | 83,846               |
|     | VANGUARD SECTOR INDEX FDS VANGUARD                             | COMMON STOCK                                                                                                     | N/R         | 82,919               |
|     | SHOPIFY INC NPV SUBORDINATED A                                 | COMMON STOCK                                                                                                     | N/R         | 82,643               |
|     | TARGET CORP NFS IS A SPECIALIST                                | COMMON STOCK                                                                                                     | N/R         | 81,138               |
|     | DXC TECHNOLOGY CO COM                                          | COMMON STOCK                                                                                                     | N/R         | 80,539               |
|     | RAYTHEON TECHNOLOGIES CORP                                     | COMMON STOCK                                                                                                     | N/R         | 80,294               |
|     | PFG INVESCO THEMATIC ESG STRATEGY CL R                         | COMMON STOCK                                                                                                     | N/R         | 78,562               |
|     | T ROWE PRICE DIVIDEND GROWTH                                   | COMMON STOCK                                                                                                     | N/R         | 77,984               |
|     | PFIZER INC NFS IS A SPECIALIST                                 | COMMON STOCK                                                                                                     | N/R         | 75,403               |
|     | DISNEY WALT CO DEL (HOLDING COMPANY)                           | COMMON STOCK                                                                                                     | N/R         | 75,096               |
|     | JOHNSON & JOHNSON NFS IS A SPECIALIST                          | COMMON STOCK                                                                                                     | N/R         | 72,503               |
|     | EXPEDIA INC DEL COM NEW                                        | COMMON STOCK                                                                                                     | N/R         | 72,288               |
|     | WORLD GOLD TR SPDR GLD MINIS                                   | COMMON STOCK                                                                                                     | N/R         | 71,684               |
| *   | COINBASE GLOBAL INC COM CL A                                   | COMMON STOCK                                                                                                     | N/R         | 68,392               |
|     | THE TRADE DESK INC COM CL A                                    | COMMON STOCK                                                                                                     | N/R         | 66,897               |
|     | DRAFTKINGS INC COM CL A                                        | COMMON STOCK                                                                                                     | N/R         | 64,829               |
|     | BANK OF AMERICA CORP                                           | COMMON STOCK                                                                                                     | N/R         | 64,019               |
|     | SERVICENOW INC COM USD0.001                                    | COMMON STOCK                                                                                                     | N/R         | 59,718               |
|     | INTEL CORP NFS LLC IS A MARKET                                 | COMMON STOCK                                                                                                     | N/R         | 59,157               |
|     | FIDELITY MID CAP VALUE INDEX FD                                | COMMON STOCK                                                                                                     | N/R         | 55,844               |
|     | ILLUMINA INC                                                   | COMMON STOCK                                                                                                     | N/R         | 54,403               |
|     | WELLS FARGO & CO NEW                                           | COMMON STOCK                                                                                                     | N/R         | 54,371               |
|     | VANGUARD HIGH DIV YLD IDX ADMIRAL SHS                          | COMMON STOCK                                                                                                     | N/R         | 53,939               |
|     | FIDELITY BLUE CHIP VALUE                                       | COMMON STOCK                                                                                                     | N/R         | 53,527               |
|     | FIDELITY MID CAP GROWTH INDEX FD                               | COMMON STOCK                                                                                                     | N/R         | 53,525               |
|     | AGNICO EAGLE MINES LTD                                         | COMMON STOCK                                                                                                     | N/R         | 53,140               |
|     | VANGUARD WELLINGTON FUND                                       | COMMON STOCK                                                                                                     | N/R         | 52,874               |
|     | FORD MTR CO DEL COM                                            | COMMON STOCK                                                                                                     | N/R         | 52,606               |
|     | SNOWFLAKE INC CL A                                             | COMMON STOCK                                                                                                     | N/R         | 52,168               |
|     | FIDELITY SMALL CAP VALUE INDEX FD                              | COMMON STOCK                                                                                                     | N/R         | 51,953               |
|     | FIDELITY SMALL CAP GROWTH INDEX FD                             | COMMON STOCK                                                                                                     | N/R         | 49,632               |
|     | TESLA MOTORS INC COM USD0.001                                  | COMMON STOCK                                                                                                     | N/R         | 48,782               |
| *   | VANGUARD INDEX FDS MID-CAP VALUE INDEX                         | COMMON STOCK                                                                                                     | N/R         | 47,789               |
|     | STEM INC COM                                                   | COMMON STOCK                                                                                                     | N/R         | 47,425               |
|     | ABBVIE INC COM USD0.01                                         | COMMON STOCK                                                                                                     | N/R         | 47,133               |
|     | AXSOME THERAPEUTICS INC COM                                    | COMMON STOCK                                                                                                     | N/R         | 46,998               |
|     | ROLLS ROYCE SPONSORED ADR                                      | COMMON STOCK                                                                                                     | N/R         | 46,742               |
|     | FIDELITY LARGE CAP VALUE INDX INST PREM                        | COMMON STOCK                                                                                                     | N/R         | 46,336               |
|     | ISHARES TR FTSE XINHAU HK CHINA 25                             | COMMON STOCK                                                                                                     | N/R         | 46,271               |
|     | DBX ETF TR DEUTSCHE USD ETF                                    | COMMON STOCK                                                                                                     | N/R         | 46,141               |
|     | AMPLIFY ETF TR BLOCKCHAIN LDR                                  | COMMON STOCK                                                                                                     | N/R         | 43,785               |
|     | CARLYLE GROUP INC COM                                          | COMMON STOCK                                                                                                     | N/R         | 43,316               |
|     | FIRST TR EXCHANGE TRADED FD IV                                 | COMMON STOCK                                                                                                     | N/R         | 41,035               |
|     | PROSHARES TR ULTRAPRO QQQ                                      | COMMON STOCK                                                                                                     | N/R         | 40,873               |
|     | ISHARES INC EMERGING MARKETS DIVID INDEX                       | COMMON STOCK                                                                                                     | N/R         | 40,467               |
|     | ISHARES INC MSCI EMERGING MARKETS                              | COMMON STOCK                                                                                                     | N/R         | 40,349               |
|     | 3M COMPANY NFS IS A SPECIALIST                                 | COMMON STOCK                                                                                                     | N/R         | 39,472               |
|     | PALANTIR TECHNOLOGIES INC CL                                   | COMMON STOCK                                                                                                     | N/R         | 39,407               |
|     | ISHARES TR MSCI EAFE SMALL CAP INDEX FD                        | COMMON STOCK                                                                                                     | N/R         | 38,514               |
|     | ATLASSIAN CORPORATION PLC COM                                  | COMMON STOCK                                                                                                     | N/R         | 38,129               |
|     | MARVELL TECHNOLOGY GROUP LTD COM                               | COMMON STOCK                                                                                                     | N/R         | 37,115               |
| *   | FIDELITY ZERO INTERNATIONAL INDEX                              | COMMON STOCK                                                                                                     | N/R         | 36,800               |
|     | LAM RESEARCH CORP NFS LLC IS A MARKET                          | COMMON STOCK                                                                                                     | N/R         | 36,426               |
|     | ENPHASE ENERGY INC COM USD0.00001                              | COMMON STOCK                                                                                                     | N/R         | 36,126               |
|     | MASTERCARD INC CL A                                            | COMMON STOCK                                                                                                     | N/R         | 35,702               |
|     | VANGUARD ADMIRAL FDS INC S&P 500 GROWTH                        | COMMON STOCK                                                                                                     | N/R         | 34,992               |
|     | INTERCONTINENTAL EXCHANGE GRP INC COM                          | COMMON STOCK                                                                                                     | N/R         | 34,875               |
|     | SPDR SER TR S&P OILGAS EXP                                     | COMMON STOCK                                                                                                     | N/R         | 34,812               |
|     | SPROUT SOCIAL INC COM CL A                                     | COMMON STOCK                                                                                                     | N/R         | 34,009               |
|     | QORVO INC COM ISIN #US74736K1016                               | COMMON STOCK                                                                                                     | N/R         | 32,842               |
|     | PROSHARES TR PROSHARES ULTRAPRO                                | COMMON STOCK                                                                                                     | N/R         | 32,217               |
|     | MINERA ALAMOS INC COM NPV                                      | COMMON STOCK                                                                                                     | N/R         | 31,763               |
|     | ISHARES TR RUSSELL MICROCAP                                    | COMMON STOCK                                                                                                     | N/R         | 30,243               |
|     | LIVE NATION INC COM                                            | COMMON STOCK                                                                                                     | N/R         | 29,923               |
|     | HOME DEPOT INC NFS IS A SPECIALIST                             | COMMON STOCK                                                                                                     | N/R         | 29,609               |
|     | WAL-MART STORES INC NFS IS A SPECIALIST                        | COMMON STOCK                                                                                                     | N/R         | 29,384               |
|     | ALIBABA GROUP HLDG LTD                                         | COMMON STOCK                                                                                                     | N/R         | 28,866               |
|     | ISHARES TR ULTR SH TRM BD                                      | COMMON STOCK                                                                                                     | N/R         | 27,992               |
|     | JP MORGAN ETF TRUST ULTRA SHORT INCOME                         | COMMON STOCK                                                                                                     | N/R         | 27,961               |
|     | SPROTT ETF TR GOLD MINERS ETF                                  | COMMON STOCK                                                                                                     | N/R         | 27,745               |
|     | COUPA SOFTWARE INC COM                                         | COMMON STOCK                                                                                                     | N/R         | 27,659               |
|     | PALO ALTO NETWORKS INC COM USD0.0001                           | COMMON STOCK                                                                                                     | N/R         | 27,281               |
|     | COPPER MTN MNG CORP COM                                        | COMMON STOCK                                                                                                     | N/R         | 27,075               |
|     | MODERNA INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 26,922               |
|     | INTL BUSINESS MACH NFS IS A SPECIALIST                         | COMMON STOCK                                                                                                     | N/R         | 26,911               |
|     | VANGUARD SMALL-CAP GROWTH INDEX ADMIRAL                        | COMMON STOCK                                                                                                     | N/R         | 26,788               |
|     | EXXON MOBIL CORP NFS IS A SPECIALIST                           | COMMON STOCK                                                                                                     | N/R         | 26,462               |
|     | MANDIANT INC COM                                               | COMMON STOCK                                                                                                     | N/R         | 26,310               |
|     | QUALCOMM INC NFS LLC IS A MARKET                               | COMMON STOCK                                                                                                     | N/R         | 25,870               |
|     | VERIZON COMMUNICATIONS                                         | COMMON STOCK                                                                                                     | N/R         | 25,620               |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     |                                                                |                                                                                                                  |             |                      |
|     | VEEVA SYSTEMS INC COM USD0.00001 CL A                          | COMMON STOCK                                                                                                     | N/R         | 25,548               |
|     | THERMO ELECTRON CORP                                           | COMMON STOCK                                                                                                     | N/R         | 23,389               |
|     | VANECK VECTORS ETF TR SEMICONDUCTOR ET                         | COMMON STOCK                                                                                                     | N/R         | 23,280               |
|     | ADOBE SYS INC NFS LLC IS A MARKET                              | COMMON STOCK                                                                                                     | N/R         | 22,682               |
|     | C3 AI INC CL A                                                 | COMMON STOCK                                                                                                     | N/R         | 22,625               |
|     | LAS VEGAS SANDS CORP                                           | COMMON STOCK                                                                                                     | N/R         | 22,245               |
|     | BP PLC SPON ADR REPSNTG                                        | COMMON STOCK                                                                                                     | N/R         | 21,698               |
|     | FIRST TR EXCHANGE TRADED FD III                                | COMMON STOCK                                                                                                     | N/R         | 21,175               |
|     | DOCUSIGN INC COM                                               | COMMON STOCK                                                                                                     | N/R         | 20,410               |
|     | MAXAR TECHNOLOGIES LTD COM                                     | COMMON STOCK                                                                                                     | N/R         | 19,968               |
|     | HERSHEY FOODS CORP                                             | COMMON STOCK                                                                                                     | N/R         | 19,918               |
|     | DESKTOP METAL INC COM CL A                                     | COMMON STOCK                                                                                                     | N/R         | 19,800               |
|     | VANGUARD INDEX FDS MID-CAP GROWTH INDEX                        | COMMON STOCK                                                                                                     | N/R         | 19,166               |
|     | UBER TECHNOLOGIES INC COM                                      | COMMON STOCK                                                                                                     | N/R         | 19,120               |
|     | MERCADOLIBRE INC                                               | COMMON STOCK                                                                                                     | N/R         | 18,878               |
|     | ZIPRECRUITER INC CL A                                          | COMMON STOCK                                                                                                     | N/R         | 18,705               |
|     | TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD                      | COMMON STOCK                                                                                                     | N/R         | 18,283               |
|     | SHAKE SHACK INC CL A                                           | COMMON STOCK                                                                                                     | N/R         | 18,040               |
|     | MATSON INC COM NPV                                             | COMMON STOCK                                                                                                     | N/R         | 18,006               |
|     | AFFIRM HLDGS INC COM CL A                                      | COMMON STOCK                                                                                                     | N/R         | 17,598               |
|     | VANGUARD SCOTTSDALE FDS VANGUARDRUSSELL                        | COMMON STOCK                                                                                                     | N/R         | 17,575               |
|     | ISHARES GOLD TR ISHARES NEW                                    | COMMON STOCK                                                                                                     | N/R         | 17,405               |
|     | CARVANA CO CL A                                                | COMMON STOCK                                                                                                     | N/R         | 17,384               |
|     | GILEAD SCIENCES INC                                            | COMMON STOCK                                                                                                     | N/R         | 17,303               |
|     | DANIMER SCIENTIFIC INC COM CL A                                | COMMON STOCK                                                                                                     | N/R         | 17,040               |
|     | INVESCO EXCHANGE TRADED FD TR S&P500                           | COMMON STOCK                                                                                                     | N/R         | 16,893               |
| *   | FIDELITY EMERGING MKTS INDX INSTL PREM                         | COMMON STOCK                                                                                                     | N/R         | 16,615               |
|     | GLOBALFOUNDRIES INC COM USD0.02                                | COMMON STOCK                                                                                                     | N/R         | 16,243               |
|     | ASML HOLDING NV EUR0.09 NY REG 2012                            | COMMON STOCK                                                                                                     | N/R         | 15,977               |
| *   | FIDELITY SELECT INDUSTRIAL MATERIALS                           | COMMON STOCK                                                                                                     | N/R         | 15,945               |
| *   | FIDELITY SMALL CAP VALUE                                       | COMMON STOCK                                                                                                     | N/R         | 15,763               |
|     | OKTA INC CL A                                                  | COMMON STOCK                                                                                                     | N/R         | 15,692               |
|     | VANGUARD SCOTTSDALE FDS VANGUARDRUSSELL                        | COMMON STOCK                                                                                                     | N/R         | 15,620               |
|     | CROWDSTRIKE HLDGS INC CL A                                     | COMMON STOCK                                                                                                     | N/R         | 15,356               |
|     | COCA COLA CO NFS IS A SPECIALIST                               | COMMON STOCK                                                                                                     | N/R         | 15,285               |
|     | XPENG INC SPON ADS EACH REP 2 CL A ORD                         | COMMON STOCK                                                                                                     | N/R         | 15,099               |
|     | ASANA INC CL A                                                 | COMMON STOCK                                                                                                     | N/R         | 14,910               |
|     | NORFOLK SOUTHERN CRP NFS LLC IS A                              | COMMON STOCK                                                                                                     | N/R         | 14,886               |
|     | CHEVRONTXACO CORP                                              | COMMON STOCK                                                                                                     | N/R         | 14,669               |
|     | GLAXOSMITHKLINE PLC SPONSORED ADR                              | COMMON STOCK                                                                                                     | N/R         | 14,139               |
|     | LUMEN TECHNOLOGIES INC COM                                     | COMMON STOCK                                                                                                     | N/R         | 14,087               |
|     | CAESARS ENTERTAINMENT INC                                      | COMMON STOCK                                                                                                     | N/R         | 14,030               |
|     | LILLY ELI & CO NFS IS A SPECIALIST                             | COMMON STOCK                                                                                                     | N/R         | 13,811               |
|     | ISHARES TRUST MSCI USA ESG OPTIMIZED                           | COMMON STOCK                                                                                                     | N/R         | 13,605               |
|     | SOFI TECHNOLOGIES INC COM                                      | COMMON STOCK                                                                                                     | N/R         | 13,439               |
|     | SECTOR SPDR TR SHS BEN INT INDUSTRIAL                          | COMMON STOCK                                                                                                     | N/R         | 13,360               |
|     | VANGUARD INDEX FDS VANGUARD SMALL CAP                          | COMMON STOCK                                                                                                     | N/R         | 13,292               |
|     | ALCOA CORP COM                                                 | COMMON STOCK                                                                                                     | N/R         | 12,991               |
|     | ZSCALER INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 12,853               |
|     | FACEBOOK INC COM USD0.000006 CL A                              | COMMON STOCK                                                                                                     | N/R         | 12,781               |
|     | GENERAL MOTORS CO COM USD0.01                                  | COMMON STOCK                                                                                                     | N/R         | 12,664               |
|     | CYXTERA TECHNOLOGIES INC COM CL A                              | COMMON STOCK                                                                                                     | N/R         | 12,610               |
| *   | FIDELITY SELECT BROKERAGE & INVS MGT                           | COMMON STOCK                                                                                                     | N/R         | 12,605               |
|     | CVS CORP DEL NFS LLC IS A                                      | COMMON STOCK                                                                                                     | N/R         | 12,482               |
|     | ISHARES TR RUSSELL 2000 GROWTH INDEX FD                        | COMMON STOCK                                                                                                     | N/R         | 12,044               |
|     | CINCINNATI FINL CORP NFS LLC IS A MARKET                       | COMMON STOCK                                                                                                     | N/R         | 12,011               |
|     | ARRAY TECHNOLOGIES INC COM SHS                                 | COMMON STOCK                                                                                                     | N/R         | 11,846               |
|     | VERITONE INC COM                                               | COMMON STOCK                                                                                                     | N/R         | 11,802               |
|     | BANK OF MONTREAL QUE                                           | COMMON STOCK                                                                                                     | N/R         | 11,650               |
|     | TWIST BIOSCIENCE CORP COM                                      | COMMON STOCK                                                                                                     | N/R         | 11,609               |
|     | PHILIP MORRIS INTL INC COM                                     | COMMON STOCK                                                                                                     | N/R         | 11,379               |
|     | UPSTART HLDGS INC COM                                          | COMMON STOCK                                                                                                     | N/R         | 11,348               |
|     | PINDUODUO INC SPON ADS EACH REP 4 ORD                          | COMMON STOCK                                                                                                     | N/R         | 11,252               |
|     | ISHARES TR DOW JONES US BROKER DEALERS                         | COMMON STOCK                                                                                                     | N/R         | 11,117               |
|     | UNITED PARCEL SVC INC                                          | COMMON STOCK                                                                                                     | N/R         | 11,105               |
|     | GRAB HLDGS LTD CL A ORD                                        | COMMON STOCK                                                                                                     | N/R         | 10,695               |
|     | ESSILOR INTERNATIONAL SPONS                                    | COMMON STOCK                                                                                                     | N/R         | 10,647               |
|     | PLUG PWR INC COM NEW                                           | COMMON STOCK                                                                                                     | N/R         | 10,586               |
|     | SPDR SER TR KBW CAP MKTS ETF                                   | COMMON STOCK                                                                                                     | N/R         | 10,461               |
|     | WEBER INC CL A                                                 | COMMON STOCK                                                                                                     | N/R         | 10,380               |
|     | WEWORK INC CL A                                                | COMMON STOCK                                                                                                     | N/R         | 10,320               |
|     | MATTERPORT INC COM CL A                                        | COMMON STOCK                                                                                                     | N/R         | 10,320               |
|     | SUNRUN INC COM                                                 | COMMON STOCK                                                                                                     | N/R         | 10,290               |
|     | VESTAS WIND SYS A/S UTD KINGDOM                                | COMMON STOCK                                                                                                     | N/R         | 10,193               |
|     | SOCIAL CAP HEDOSOPHIA HLDGS                                    | COMMON STOCK                                                                                                     | N/R         | 10,190               |
|     | GENERAL ELECTRIC CO COM NEW                                    | COMMON STOCK                                                                                                     | N/R         | 9,737                |
|     | NETFLIX COM INC COM                                            | COMMON STOCK                                                                                                     | N/R         | 9,639                |
|     | TRIPADVISOR INC COM                                            | COMMON STOCK                                                                                                     | N/R         | 9,541                |
|     | SNAP INC CL A                                                  | COMMON STOCK                                                                                                     | N/R         | 9,406                |
|     | CONSOLIDATED EDISON HLDG CO INC                                | COMMON STOCK                                                                                                     | N/R         | 9,213                |
|     | PPG INDUSTRIES                                                 | COMMON STOCK                                                                                                     | N/R         | 9,210                |
|     | CLOUDFLARE INC CL A COM                                        | COMMON STOCK                                                                                                     | N/R         | 9,205                |
|     | ZOOM VIDEO COMMUNICATIONS INC                                  | COMMON STOCK                                                                                                     | N/R         | 9,196                |
|     | JOBY AVIATION INC COM USD0.0001                                | COMMON STOCK                                                                                                     | N/R         | 9,125                |
|     | TORONTO DOMINION BK ONT COM NEW                                | COMMON STOCK                                                                                                     | N/R         | 9,031                |
|     | SUPERIOR GOLD INC COM NPV                                      | COMMON STOCK                                                                                                     | N/R         | 9,026                |
|     | FORIAN INC COM                                                 | COMMON STOCK                                                                                                     | N/R         | 9,020                |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     | SEA LIMITED ADS EACH REP ONE CLASS A ORD                       | COMMON STOCK                                                                                                     | N/R         | 8,948                |
|     | COSTAMARE INC COM USD0.0001                                    | COMMON STOCK                                                                                                     | N/R         | 8,855                |
|     | REGENERON PHARMACEUTICALS                                      | COMMON STOCK                                                                                                     | N/R         | 8,841                |
|     | MADISON SQUARE GRDN ENTERTNMNT CL A                            | COMMON STOCK                                                                                                     | N/R         | 8,793                |
|     | COLGATE-PALMOLIVE CO NFS IS A SPECIALIST                       | COMMON STOCK                                                                                                     | N/R         | 8,728                |
|     | FUELCELL ENERGY INC COM                                        | COMMON STOCK                                                                                                     | N/R         | 8,648                |
|     | SALESFORCE COM INC                                             | COMMON STOCK                                                                                                     | N/R         | 8,640                |
|     | GRAYSCALE ETHEREUM TR ETH SHS                                  | COMMON STOCK                                                                                                     | N/R         | 8,640                |
|     | WHEATON PRECIOUS METALS CORP COM NPV                           | COMMON STOCK                                                                                                     | N/R         | 8,586                |
|     | NIO INC ADS EACH REP 1 ORD SHS                                 | COMMON STOCK                                                                                                     | N/R         | 8,481                |
|     | GINKGO BIOWORKS HOLDINGS INC CL A                              | COMMON STOCK                                                                                                     | N/R         | 8,310                |
|     | SCHWAB CHARLES CORP NEW                                        | COMMON STOCK                                                                                                     | N/R         | 8,257                |
|     | SQUARE INC CL A                                                | COMMON STOCK                                                                                                     | N/R         | 7,914                |
|     | 22ND CENTY GROUP INC COM                                       | COMMON STOCK                                                                                                     | N/R         | 7,725                |
|     | HALLIBURTON CO HOLDING CO FRMLY                                | COMMON STOCK                                                                                                     | N/R         | 7,563                |
|     | TPI COMPOSITES INC COM                                         | COMMON STOCK                                                                                                     | N/R         | 7,480                |
|     | ISHARES TR 20+ TREAS INDEX FD                                  | COMMON STOCK                                                                                                     | N/R         | 7,410                |
|     | PAYONEER GLOBAL INC COM                                        | COMMON STOCK                                                                                                     | N/R         | 7,350                |
| *   | FIDELITY SELECT TECHNOLOGY                                     | COMMON STOCK                                                                                                     | N/R         | 7,216                |
|     | UNITY SOFTWARE INC COM                                         | COMMON STOCK                                                                                                     | N/R         | 7,150                |
|     | ARK ETF TR FINTECH INNOVA                                      | COMMON STOCK                                                                                                     | N/R         | 7,149                |
|     | GENERAL MILLS INC NFS LLC IS A                                 | COMMON STOCK                                                                                                     | N/R         | 7,082                |
|     | KYNDRYL HLDGS INC COMMON STOCK                                 | COMMON STOCK                                                                                                     | N/R         | 7,059                |
|     | DELTA AIR LINES INC DEL COM NEW                                | COMMON STOCK                                                                                                     | N/R         | 7,034                |
|     | COPART INC                                                     | COMMON STOCK                                                                                                     | N/R         | 6,823                |
|     | CASSAVA SCIENCES INC COM                                       | COMMON STOCK                                                                                                     | N/R         | 6,774                |
|     | MGM MIRAGE NFS IS A SPECIALIST                                 | COMMON STOCK                                                                                                     | N/R         | 6,735                |
|     | 23ANDME HOLDING CO CLASS A COM                                 | COMMON STOCK                                                                                                     | N/R         | 6,660                |
|     | AT&T INC COM                                                   | COMMON STOCK                                                                                                     | N/R         | 6,602                |
|     | SPRINKLR INC CL A                                              | COMMON STOCK                                                                                                     | N/R         | 6,348                |
|     | F5 NETWORKS INC NFS LLC IS A MARKET                            | COMMON STOCK                                                                                                     | N/R         | 6,118                |
|     | ALTRIA GROUP INC NFS LLC IS A                                  | COMMON STOCK                                                                                                     | N/R         | 6,075                |
|     | MICROSTRATEGY INC C L A NEW NFS LLC IS A                       | COMMON STOCK                                                                                                     | N/R         | 5,989                |
|     | INSEEGO CORP COM                                               | COMMON STOCK                                                                                                     | N/R         | 5,871                |
|     | UNILEVER PLC NEW SPONS ADR                                     | COMMON STOCK                                                                                                     | N/R         | 5,756                |
|     | DATADOG INC CL A COM                                           | COMMON STOCK                                                                                                     | N/R         | 5,700                |
|     | PROGYNY INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 5,690                |
|     | GLOBAL X FDS GLOBAL X LITHIUM                                  | COMMON STOCK                                                                                                     | N/R         | 5,660                |
|     | CARPARTS COM INC COM                                           | COMMON STOCK                                                                                                     | N/R         | 5,600                |
|     | ROKU INC COM CL A                                              | COMMON STOCK                                                                                                     | N/R         | 5,477                |
|     | RH COM                                                         | COMMON STOCK                                                                                                     | N/R         | 5,359                |
|     | WALGREENS BOOTS ALLIANCE INC COM                               | COMMON STOCK                                                                                                     | N/R         | 5,317                |
|     | TENCENT MUSIC ENTERTAINMENT GROUP                              | COMMON STOCK                                                                                                     | N/R         | 5,138                |
|     | APPLIED MATERIALS INC                                          | COMMON STOCK                                                                                                     | N/R         | 5,063                |
|     | KOHL'S CORP NFS LLC IS A SPECIAL                               | COMMON STOCK                                                                                                     | N/R         | 4,990                |
|     | PAYCOM SOFTWARE INC COM USD0.01                                | COMMON STOCK                                                                                                     | N/R         | 4,982                |
|     | DIREXION SHS ETF TR DAILY SEMICONDUCTOR                        | COMMON STOCK                                                                                                     | N/R         | 4,785                |
|     | APTIV PLC COM NEW                                              | COMMON STOCK                                                                                                     | N/R         | 4,784                |
|     | ELECTRONICS ARTS NFS LLC IS A MARKET                           | COMMON STOCK                                                                                                     | N/R         | 4,763                |
|     | KINGSOFT CLOUD HLDGS LTD SPON ADS EACH                         | COMMON STOCK                                                                                                     | N/R         | 4,725                |
|     | ATHENA VALUE FUND CLASS A                                      | COMMON STOCK                                                                                                     | N/R         | 4,656                |
|     | MATCH GROUP INC NEW COM                                        | COMMON STOCK                                                                                                     | N/R         | 4,629                |
|     | LUCID GROUP INC COM                                            | COMMON STOCK                                                                                                     | N/R         | 4,589                |
|     | SAFE BULKERS INC COM ISIN #MHY7388L1039                        | COMMON STOCK                                                                                                     | N/R         | 4,524                |
|     | ASTRAZENECA PLC- SPONS ADR                                     | COMMON STOCK                                                                                                     | N/R         | 4,509                |
|     | MCKESSON CORP NFS IS A SPECIALIST                              | COMMON STOCK                                                                                                     | N/R         | 4,474                |
|     | SONY CORP ADR NEW NFS LLC IS A SPECIAL                         | COMMON STOCK                                                                                                     | N/R         | 4,440                |
|     | UNITED CONTINENTAL HOLDINGS INC COM                            | COMMON STOCK                                                                                                     | N/R         | 4,378                |
|     | BRITISH AMERICAN TOB SP ADR                                    | COMMON STOCK                                                                                                     | N/R         | 4,312                |
|     | DEXCOM INC                                                     | COMMON STOCK                                                                                                     | N/R         | 4,296                |
|     | GEVO INC COM PAR                                               | COMMON STOCK                                                                                                     | N/R         | 4,280                |
|     | INSULET CORP COM                                               | COMMON STOCK                                                                                                     | N/R         | 3,991                |
|     | PROTO LABS INC COM USD0.001                                    | COMMON STOCK                                                                                                     | N/R         | 3,851                |
|     | AIRBNB INC COM CL A                                            | COMMON STOCK                                                                                                     | N/R         | 3,829                |
| *   | FIDELITY SMALL CAP INDEX INSTL PREMIUM                         | COMMON STOCK                                                                                                     | N/R         | 3,807                |
|     | DIDI GLOBAL INC SPON ADS EACH REP 0.25                         | COMMON STOCK                                                                                                     | N/R         | 3,735                |
|     | MONGODB INC CL A                                               | COMMON STOCK                                                                                                     | N/R         | 3,705                |
|     | BANK NEW YORK MELLON CORP                                      | COMMON STOCK                                                                                                     | N/R         | 3,575                |
|     | NULEGACY GOLD CORP COM NPV                                     | COMMON STOCK                                                                                                     | N/R         | 3,555                |
|     | GLOBAL X FDS VDEO GAM ESPRT                                    | COMMON STOCK                                                                                                     | N/R         | 3,334                |
|     | ETSY INC COM                                                   | COMMON STOCK                                                                                                     | N/R         | 3,284                |
|     | ISHARES TR DOW JONES US HOME CONSTN INDEX                      | COMMON STOCK                                                                                                     | N/R         | 3,248                |
|     | ZIM INTEGRATED SHIPPING SERVICES LTD                           | COMMON STOCK                                                                                                     | N/R         | 3,117                |
|     | T-MOBILE US INC COM USD0.0001                                  | COMMON STOCK                                                                                                     | N/R         | 2,900                |
|     | ANALOG DEVICES INC NFS IS A SPECIALIST                         | COMMON STOCK                                                                                                     | N/R         | 2,693                |
|     | BLINK CHARGING CO COM                                          | COMMON STOCK                                                                                                     | N/R         | 2,651                |
|     | MASIMO CORP                                                    | COMMON STOCK                                                                                                     | N/R         | 2,635                |
|     | BECTON DICKINSON CO NFS LLC IS A                               | COMMON STOCK                                                                                                     | N/R         | 2,567                |
| *   | FIDELITY REAL ESTATE INDEX INSTITUTIONAL                       | COMMON STOCK                                                                                                     | N/R         | 2,391                |
|     | RESMED INC                                                     | COMMON STOCK                                                                                                     | N/R         | 2,360                |
|     | NOV INC COM                                                    | COMMON STOCK                                                                                                     | N/R         | 2,358                |
|     | PENN NATL GAMING INC                                           | COMMON STOCK                                                                                                     | N/R         | 2,333                |
| *   | FIDELITY ZERO TOTAL MARKET INDEX                               | COMMON STOCK                                                                                                     | N/R         | 2,308                |
|     | PROSHARES TR ULTRA QQQ PROSHARES                               | COMMON STOCK                                                                                                     | N/R         | 2,221                |
|     | SPRINGWORKS THERAPEUTICS INC COM                               | COMMON STOCK                                                                                                     | N/R         | 2,169                |
|     | AMERICAN AIRLINES GROUP INC COM USD1                           | COMMON STOCK                                                                                                     | N/R         | 2,155                |
|     | FIRST TR EXCHANGE TRADED FD II NASDAQ                          | COMMON STOCK                                                                                                     | N/R         | 2,071                |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)<br>CURRENT VALUE |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|----------------------|
|     |                                                                |                                                                                                                  |             |                      |
|     | OLIN CORP NEW                                                  | COMMON STOCK                                                                                                     | N/R         | 2,068                |
|     | KROGER CO NFS LLC IS A                                         | COMMON STOCK                                                                                                     | N/R         | 2,047                |
|     | OCCIDENTAL PETROLEUM CORP                                      | COMMON STOCK                                                                                                     | N/R         | 2,041                |
|     | QURATE RETAIL INC QVC GR COM SER A                             | COMMON STOCK                                                                                                     | N/R         | 2,002                |
|     | UMICORE GROUP ADR                                              | COMMON STOCK                                                                                                     | N/R         | 1,982                |
|     | LULULEMON ATHLETICA INC                                        | COMMON STOCK                                                                                                     | N/R         | 1,957                |
| *   | FIDELITY MSCI CONSUMER STAPLES                                 | COMMON STOCK                                                                                                     | N/R         | 1,948                |
|     | PROSHARES TR ULTRASHRT DOW 30                                  | COMMON STOCK                                                                                                     | N/R         | 1,925                |
| *   | FIDELITY CORE DIVIDEND ETF                                     | COMMON STOCK                                                                                                     | N/R         | 1,915                |
| *   | FIDELITY INTERNATIONAL HIGH                                    | COMMON STOCK                                                                                                     | N/R         | 1,890                |
|     | FIRST MAJESTIC SILVER CORP COM                                 | COMMON STOCK                                                                                                     | N/R         | 1,835                |
| *   | FIDELITY GROWTH OPPORTUNITIES ETF                              | COMMON STOCK                                                                                                     | N/R         | 1,830                |
|     | AMGEN INC NFS LLC IS A MARKET                                  | COMMON STOCK                                                                                                     | N/R         | 1,800                |
|     | CONSOLIDATED WOODJAM COPPER CORP                               | COMMON STOCK                                                                                                     | N/R         | 1,780                |
|     | VISA INC COM CL A                                              | COMMON STOCK                                                                                                     | N/R         | 1,734                |
|     | HONDA MOTORS LTD ADR NEW                                       | COMMON STOCK                                                                                                     | N/R         | 1,707                |
|     | ARK ETF TR WEB X.0 ETF                                         | COMMON STOCK                                                                                                     | N/R         | 1,672                |
|     | XL FLEET CORP COM CL A                                         | COMMON STOCK                                                                                                     | N/R         | 1,655                |
|     | KRAFT HEINZ CO COM                                             | COMMON STOCK                                                                                                     | N/R         | 1,651                |
|     | ACTIVISION BLIZZARD INC COM                                    | COMMON STOCK                                                                                                     | N/R         | 1,605                |
|     | CLEAR SECURE INC COM CL A                                      | COMMON STOCK                                                                                                     | N/R         | 1,594                |
|     | ROYAL DUTCH SHELL PLC SPON ADR REPSTG                          | COMMON STOCK                                                                                                     | N/R         | 1,583                |
|     | TWILIO INC CL A                                                | COMMON STOCK                                                                                                     | N/R         | 1,580                |
|     | TOUCHSTONE FOCUSED CLASS Y FUND                                | COMMON STOCK                                                                                                     | N/R         | 1,515                |
|     | 2U INC COM USD0.001                                            | COMMON STOCK                                                                                                     | N/R         | 1,505                |
|     | HONEYWELL INTL INC                                             | COMMON STOCK                                                                                                     | N/R         | 1,499                |
|     | GAMESTOP CORP NEW CL A                                         | COMMON STOCK                                                                                                     | N/R         | 1,484                |
|     | NEWELL RUBBERMAID INC                                          | COMMON STOCK                                                                                                     | N/R         | 1,375                |
|     | AMC ENTERTAINMENT HOLDINGS INC COM                             | COMMON STOCK                                                                                                     | N/R         | 1,360                |
|     | VIRGIN GALACTIC HOLDINGS INC COM                               | COMMON STOCK                                                                                                     | N/R         | 1,338                |
|     | TEVA PHARMACEUTICAL INDS LTD ADS                               | COMMON STOCK                                                                                                     | N/R         | 1,314                |
|     | BARRICK GOLD CORP NFS IS A SPECIALIST                          | COMMON STOCK                                                                                                     | N/R         | 1,254                |
|     | QUANTUMSCAPE CORP COM CL A                                     | COMMON STOCK                                                                                                     | N/R         | 1,220                |
|     | CARA THERAPEUTICS INC COM USD0.001                             | COMMON STOCK                                                                                                     | N/R         | 1,218                |
|     | MAG SILVER CORP ISIN #CA55903Q1046                             | COMMON STOCK                                                                                                     | N/R         | 1,175                |
|     | SERVICE CORP INTL                                              | COMMON STOCK                                                                                                     | N/R         | 1,136                |
|     | AMEDISYS INC                                                   | COMMON STOCK                                                                                                     | N/R         | 1,133                |
|     | NESTLE S A SPONSORED ADR REPSTG REG SH                         | COMMON STOCK                                                                                                     | N/R         | 1,119                |
|     | MONDELEZ INTL INC COM                                          | COMMON STOCK                                                                                                     | N/R         | 1,061                |
|     | HENNESSY CORNERSTONE LARGE GRWTHORIGINAL                       | COMMON STOCK                                                                                                     | N/R         | 1,051                |
|     | NOVARTIS AG ADR ISIN #US66987V1098                             | COMMON STOCK                                                                                                     | N/R         | 1,050                |
|     | UNITED OVERSEAS BANK LTD SPON ADR                              | COMMON STOCK                                                                                                     | N/R         | 1,038                |
|     | DEERE & COMPANY NFS IS A SPECIALIST                            | COMMON STOCK                                                                                                     | N/R         | 1,029                |
|     | GRAYSCALE DIGITAL LAR CAP FUND LLC                             | COMMON STOCK                                                                                                     | N/R         | 1,019                |
|     | HP INC COM                                                     | COMMON STOCK                                                                                                     | N/R         | 1,017                |
|     | FEDERATED INVESTORS INC CL B                                   | COMMON STOCK                                                                                                     | N/R         | 1,015                |
|     | CANADIAN NATURAL RESOURCES LTD                                 | COMMON STOCK                                                                                                     | N/R         | 1,014                |
|     | RPM INC                                                        | COMMON STOCK                                                                                                     | N/R         | 1,010                |
|     | UNION PACIFIC CORP NFS LLC IS A                                | COMMON STOCK                                                                                                     | N/R         | 1,008                |
|     | NIKE INC CLASS B NFS IS A SPECIALIST                           | COMMON STOCK                                                                                                     | N/R         | 1,000                |
|     | METLIFE INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 1,000                |
|     | CORTEVA INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 995                  |
|     | LVMH MOET HENNESSY LOUIS VUITTON ADR                           | COMMON STOCK                                                                                                     | N/R         | 992                  |
|     | MATTEL INC NFS IS A SPECIALIST                                 | COMMON STOCK                                                                                                     | N/R         | 992                  |
|     | ALGER SPECTRA FUND CLASS CL A                                  | COMMON STOCK                                                                                                     | N/R         | 984                  |
|     | VAIL RESORTS INC                                               | COMMON STOCK                                                                                                     | N/R         | 984                  |
|     | AMERICAN INTL GROUP INC COM NEW                                | COMMON STOCK                                                                                                     | N/R         | 967                  |
|     | DUPONT DE NEMOURS INC COM                                      | COMMON STOCK                                                                                                     | N/R         | 952                  |
|     | GLENCORE INTL PLC ORD USD0.01                                  | COMMON STOCK                                                                                                     | N/R         | 940                  |
|     | CUE HEALTH INC COM                                             | COMMON STOCK                                                                                                     | N/R         | 939                  |
|     | CONTEXTLOGIC INC COM CL A                                      | COMMON STOCK                                                                                                     | N/R         | 933                  |
|     | CRESCO LABS INC SUB VTG SHS                                    | COMMON STOCK                                                                                                     | N/R         | 930                  |
|     | PELOTON INTERACTIVE INC CL A COM                               | COMMON STOCK                                                                                                     | N/R         | 930                  |
|     | APA CORPORATION COM                                            | COMMON STOCK                                                                                                     | N/R         | 914                  |
|     | CAMPBELL SOUP CO                                               | COMMON STOCK                                                                                                     | N/R         | 905                  |
|     | TORO CO                                                        | COMMON STOCK                                                                                                     | N/R         | 899                  |
|     | HOWMET AEROSPACE INC COM                                       | COMMON STOCK                                                                                                     | N/R         | 891                  |
|     | CLOVER HEALTH INVESTMENTS CORP COM                             | COMMON STOCK                                                                                                     | N/R         | 859                  |
|     | MERCK & CO INC NEW COM                                         | COMMON STOCK                                                                                                     | N/R         | 843                  |
|     | AGCO CORP                                                      | COMMON STOCK                                                                                                     | N/R         | 812                  |
|     | CITIGROUP INC COM NEW                                          | COMMON STOCK                                                                                                     | N/R         | 785                  |
|     | STONECO LTD COM USD0.000079365 CLASS                           | COMMON STOCK                                                                                                     | N/R         | 674                  |
|     | DOW INC COM                                                    | COMMON STOCK                                                                                                     | N/R         | 595                  |
|     | ZYNERBA PHARMACEUTICALS INC                                    | COMMON STOCK                                                                                                     | N/R         | 576                  |
|     | COMPASS PATHWAYS PLC UNSPON ADS REP 1 ORD                      | COMMON STOCK                                                                                                     | N/R         | 553                  |
|     | ROBLOX CORP CL A                                               | COMMON STOCK                                                                                                     | N/R         | 516                  |
|     | GLOBAL X FDS GLOBAL X SUPERDIV ETF                             | COMMON STOCK                                                                                                     | N/R         | 498                  |
|     | XEROX HOLDINGS CORP COM NEW                                    | COMMON STOCK                                                                                                     | N/R         | 478                  |
|     | INVESCO EXCHANGE TRADED FD TR HIG YLD                          | COMMON STOCK                                                                                                     | N/R         | 470                  |
|     | WINNEBAGO INDS INC NFS IS A SPECIALIST                         | COMMON STOCK                                                                                                     | N/R         | 454                  |
|     | WW INTL INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 436                  |
|     | HEWLETT PACKARD ENTERPRISE CO COM                              | COMMON STOCK                                                                                                     | N/R         | 426                  |
|     | ALTERYX INC COM CL A                                           | COMMON STOCK                                                                                                     | N/R         | 424                  |
|     | GRAB HLDGS LTD WTS EXPUNIT                                     | COMMON STOCK                                                                                                     | N/R         | 422                  |
|     | ALEXCO RESOURCE CORP COM                                       | COMMON STOCK                                                                                                     | N/R         | 348                  |
|     | NEOGENOMICS INC COM NEW EXTERNAL FUND                          | COMMON STOCK                                                                                                     | N/R         | 334                  |
|     | MAGNITE INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 333                  |

**Reed Smith 401(k) Plan**

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-0749630 Plan Number: 001

December 31, 2021

| (a) | (b)<br>IDENTITY OF ISSUE, BORROWER,<br>LESSOR OR SIMILAR PARTY | (c)<br>DESCRIPTION OF INVESTMENT INCLUDING MATURITY DATE,<br>RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE | (d)<br>COST | (e)                     |
|-----|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------|-------------------------|
|     |                                                                |                                                                                                                  |             | CURRENT VALUE           |
|     | SINTX TECHNOLOGIES INC COM NEW                                 | COMMON STOCK                                                                                                     | N/R         | 330                     |
|     | AURORA CANNABIS INC COM NPV(POST REV                           | COMMON STOCK                                                                                                     | N/R         | 314                     |
|     | WABTEC                                                         | COMMON STOCK                                                                                                     | N/R         | 281                     |
|     | ARCONIC CORPORATION COM                                        | COMMON STOCK                                                                                                     | N/R         | 231                     |
|     | ALCON INC                                                      | COMMON STOCK                                                                                                     | N/R         | 174                     |
|     | OCCIDENTAL PETE CORP WTS EXP 08/03/2027                        | COMMON STOCK                                                                                                     | N/R         | 101                     |
|     | CONDUENT INC COM                                               | COMMON STOCK                                                                                                     | N/R         | 80                      |
|     | GREEN ORGANIC DUTCHMAN HLDGS LTD                               | COMMON STOCK                                                                                                     | N/R         | 75                      |
|     | ORGANON & CO COMMON STOCK                                      | COMMON STOCK                                                                                                     | N/R         | 62                      |
|     | BRIGHTHOUSE FINL INC COM                                       | COMMON STOCK                                                                                                     | N/R         | 52                      |
|     | GLOBAL X FDS NASDAQ 100 COVER                                  | COMMON STOCK                                                                                                     | N/R         | 46                      |
|     | VIATRIS INC COM                                                | COMMON STOCK                                                                                                     | N/R         | 28                      |
|     | MICRO FOCUS INTERNATIONAL PLC                                  | COMMON STOCK                                                                                                     | N/R         | 12                      |
|     | IANTHUS CAPITAL HOLDINGS INC COM NPV                           | COMMON STOCK                                                                                                     | N/R         | 10                      |
|     | <b>PREFERRED STOCKS</b>                                        |                                                                                                                  |             |                         |
|     | WELLS FARGO & CO NEW PERP PFD CONV CL A                        | PREFERRED STOCK                                                                                                  | N/R         | 44,716                  |
|     | BANK AMER CORP NON CUMULATIVE PERPETUAL                        | PREFERRED STOCK                                                                                                  | N/R         | 43,362                  |
|     | GOLDMAN SACHS GROUP INC DEPOSITARY SHS                         | PREFERRED STOCK                                                                                                  | N/R         | 26,450                  |
|     | QURATE RETAIL INC 8.0 CUM PFD REDA                             | PREFERRED STOCK                                                                                                  | N/R         | 2,581                   |
|     | COMCAST CORP 2.00% 10/15/2029                                  | PREFERRED STOCK                                                                                                  | N/R         | 887                     |
|     |                                                                | TOTAL SELF-DIRECTED BROKERAGE ACCOUNTS                                                                           |             | 48,639,247              |
| *   | <b>PARTICIPANT LOANS</b>                                       | INTEREST RATES: 3.25% - 9.25%                                                                                    | \$0         | 6,542,884               |
|     |                                                                |                                                                                                                  |             | <u>\$ 1,177,777,286</u> |

A party in interest as defined by ERISA

N/R - cost omitted for participant directed investments