

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I Annual Report Identification Information	
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☒ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	▶ ☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description) _____
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	▶ ☐

Part II Basic Plan Information—enter all requested information					
1a Name of plan <u>DOMINION GROUP 401(K) PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/2020</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>01/01/2020</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>				
1c Effective date of plan <u>01/01/2020</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>DOMINION DEVELOPMENT GROUP, LLC</u> <u>3834 SUTHERLAND AVENUE</u> <u>KNOXVILLE, TN 37919</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>26-0639406</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>865-225-6506</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>531390</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>26-0639406</u>	2c Plan Sponsor's telephone number <u>865-225-6506</u>	2d Business code (see instructions) <u>531390</u>	
2b Employer Identification Number (EIN) <u>26-0639406</u>					
2c Plan Sponsor's telephone number <u>865-225-6506</u>					
2d Business code (see instructions) <u>531390</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2022	TOMMY HORST
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	142
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	127
a(2) Total number of active participants at the end of the plan year		6a(2)	105
b Retired or separated participants receiving benefits.....		6b	9
c Other retired or separated participants entitled to future benefits		6c	12
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	126
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	0
f Total. Add lines 6d and 6e		6f	126
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	97
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2A 2E 2F 2G 2J 2K 3D 3H			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500. ► Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021	
A Name of plan DOMINION GROUP 401(K) PLAN	B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 DOMINION DEVELOPMENT GROUP, LLC	D Employer Identification Number (EIN) 26-0639406

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
TRANSAMERICA LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
39-0989781	86231	513561-000	98	01/01/2021	12/31/2021

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
21	1224

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
CETERA ADVISOR NETWORKS LLC
PRODUCER RYAN MANAKER
200 N. SEPULVEDA BLVD. #1100
EL SEGUNDO, CA 90245

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
21	0		3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
ERISA SERVICES, INC
P.O. BOX 24628
KNOXVILLE, TN 37933

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
0	1224	0	5

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

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	(c) Amount	(d) Purpose	

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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	
5	Current value of plan's interest under this contract in separate accounts at year end.....	5	0
6	Contracts With Allocated Funds:		
a	State the basis of premium rates ▶		
b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	0
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	
e	Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity (3) ☐ other (specify) ▶		
f	If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐		
7	Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)		
a	Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee (3) ☐ guaranteed investment (4) ☒ other ▶ STABLE VALUE		
b	Balance at the end of the previous year	7b	12422
c	Additions: (1) Contributions deposited during the year	7c(1)	7048
	(2) Dividends and credits.....	7c(2)	0
	(3) Interest credited during the year.....	7c(3)	126
	(4) Transferred from separate account.....	7c(4)	1004
	(5) Other (specify below).....	7c(5)	5848
	▶ FORFEITURE CREDITS		
	(6) Total additions	7c(6)	14026
d	Total of balance and additions (add lines 7b and 7c(6))	7d	26448
e	Deductions:		
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	2895
	(2) Administration charge made by carrier.....	7e(2)	0
	(3) Transferred to separate account.....	7e(3)	222
	(4) Other (specify below).....	7e(4)	429
	▶ INVEST FEE, ADMIN FEE FEE FOR SERVICE MANAGED ADVICE FEE		
	(5) Total deductions	7e(5)	3546
f	Balance at the end of the current year (subtract line 7e(5) from line 7d)	7f	22902

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.
For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan DOMINION GROUP 401(K) PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 DOMINION DEVELOPMENT GROUP, LLC	D Employer Identification Number (EIN) 26-0639406	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
TRANSAMERICA RETIREMENT SOL LLC
13-3689044

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

TRANSAMERICA RETIREMENT SOL LLC

13-3689044

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 37 52 62 64 67	RECORDKEEPER	19075	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

SAGEVIEW ADVISORY GROUP LLC

33-0818667

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	INVEST ADVISORY	2493	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ERISA SERVICES INC.

62-1200951

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 37 64	RECORDKEEPER	1826	Yes ☒ No ☐	Yes ☒ No ☐	101	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

LEAFHOUSE FINANCIAL ADVISORS LLC

26-4311657

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	INVEST ADVISORY	1130	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CETERA ADVISOR NETWORKS LLC

95-3845382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
22 23 53	BROKER	0	Yes ☒ No ☐	Yes ☐ No ☒	3232	Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CETERA ADVISOR NETWORKS LLC	22 23 53	3232
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TRANSAMERICA RETIREMENT SOLUT LLCL 13-3689044	COMMISSIONS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan DOMINION GROUP 401(K) PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 DOMINION DEVELOPMENT GROUP, LLC	D Employer Identification Number (EIN) 26-0639406	

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	2011	8731
(2) Participant contributions.....	1b(2)	642	1599
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	2066883	2585876
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities.....	1d(1)		
(2) Employer real property.....	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	2069536	2596206

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness.....	1i		
j Other liabilities.....	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f).....	1l	2069536	2596206
--	-----------	---------	---------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	136949	
(B) Participants	2a(1)(B)	187052	
(C) Others (including rollovers).....	2a(1)(C)	34695	
(2) Noncash contributions.....	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		358696
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans.....	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		0
(2) Dividends: (A) Preferred stock.....	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)		
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		0
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		355295
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		713991
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	162354	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		162354
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)	24967	
(3) Investment advisory and management fees.....	2i(3)		
(4) Other.....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		24967
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		187321
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		526670
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **RODEFER MOSS & CO**

(2) EIN: **62-1690032**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a	X		2264

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		250000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☐ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>DOMINION GROUP 401(K) PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>DOMINION DEVELOPMENT GROUP, LLC</u>	D Employer Identification Number (EIN) <u>26-0639406</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>13-3689044</u>	
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.	
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021
v. 201209

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

DOMINION GROUP 401(k) PLAN

Financial Statements and Supplemental Schedules

December 31, 2021 and 2020



DOMINION GROUP 401(k) PLAN
Financial Statements and Supplemental Schedules
December 31, 2021 and 2020

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Independent Auditors' Report

To the Plan Administrator
Dominion Group 401(k) Plan
Knoxville, Tennessee

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed an audit of the accompanying financial statements of Dominion Group 401(k) Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) [ERISA Section 103(a)(3)(C) audit]. The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the Financial Statements section—

- the amounts and disclosures in the financial statements referred to above, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the financial statements referred to above related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the

audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all Plan amendments; administering the Plan; and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Report on Compiled Financial Statements

Plan management is responsible for the accompanying financial statement of the Plan, which comprise the statement of net assets available for benefits as of December 31, 2020, and the related notes to the financial statement in accordance with accounting principles generally accepted in the United States of America. We have performed a compilation engagement in accordance with Statements on Standards for Accounting and Review Services promulgated by the Accounting and Review Services committee of the AICPA. We did not audit or review the financial statement nor were we required to perform any procedures to verify the accuracy or completeness of the information provided by management. Accordingly, we do not express an opinion, a conclusion, or provide any form of assurance on this financial statement.

Supplemental Schedules Required by ERISA

The supplemental schedules, Schedule H, Line 4i - Schedule of Assets (Held at End of Year) and Schedule H, Line 4a - Schedule of Delinquent Participant Contributions as of December 31, 2021, are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion—

- the form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Roderic Moss & Co, PLLC

Knoxville, Tennessee
October 6, 2022



DOMINION GROUP 401(k) PLAN
Statements of Net Assets Available for Benefits
December 31, 2021 and 2020

	<u>2021</u>	(unaudited) <u>2020</u>
ASSETS		
Investments, at fair value	\$ 2,585,876	\$ 2,066,883
Receivables		
Employer contributions	8,731	2,011
Participant contributions	<u>1,599</u>	<u>642</u>
Total receivables	<u>10,330</u>	<u>2,653</u>
Total assets	<u>2,596,206</u>	<u>2,069,536</u>
Net assets available for benefits	<u>\$ 2,596,206</u>	<u>\$ 2,069,536</u>

DOMINION GROUP 401(k) PLAN
Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2021

Additions to net assets available for benefits attributed to:

Investment income		
Net appreciation in fair value of investments	\$	355,295

Contributions

Employer	\$	136,949
Participant		129,239
Participant - roth		57,813
Rollover		<u>34,695</u>

Total contributions		<u>358,696</u>
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Total additions		713,991
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Deductions from net assets available for benefits attributed to:

Benefits paid to participants	162,354
Administrative expenses	<u>24,967</u>

Total deductions		<u>187,321</u>
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Net change in net assets available for benefits		526,670
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Net assets available for benefits at the beginning of the year		<u>2,069,536</u>
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Net assets available for benefits at the end of the year		<u><u>\$ 2,596,206</u></u>
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DOMINION GROUP 401(k) PLAN
Notes to Financial Statements
December 31, 2021 and 2020

NOTE 1 - DESCRIPTION OF PLAN

The following description of the Dominion Group 401(k) Plan (the “Plan”) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan’s provisions.

General - The Plan was established on January 1, 2020 and is a defined contribution plan for Dominion Development Group, LLC (the “Plan Sponsor”), and its affiliates, and other entities as follows:

Dominion Management Group, LLC
Dominion Florence, LLC
Dominion Richmond, LLC
Dominion Maryville, LLC
Dominion Crossville, LLC
Dominion Hixson, LLC
Dominion Bristol, LLC
Dominion Athens, LLC
Dominion Senior Living, LLC
Dominion Frankfurt, LLC
Dominion Anderson, LLC
Dominion Louisville, LLC
Dominion Johnson City II, LLC
Dominion Clemson II, LLC
Dominion Wellsprings Johnson City, LLC
Dominion Wellsprings Sevierville, LLC
Dominion Senior Living at Patrick Square

Collectively, Dominion, Development Group, LLC and its above-referenced affiliates and adopting employers/entities are hereinafter referred to as the “Employers”. The Plan has entered into a trust agreement with Reliance Trust Company (“RTC”) (the “Trustee”) and appointed this company as a Trustee of the plan assets. RTC holds the Plan’s assets and uses Transamerica Retirement Solutions, LLC (“Transamerica”) to provide recordkeeping services. The Plan is subject to the provisions of the Employee Retirement Income Security Act (“ERISA”) of 1974, as amended

Eligibility - The Plan covers employees who have met certain eligibility requirements defined by employers. A hired employee can become eligible to enroll in the Plan following attainment of one year of service. Additionally, enrollment eligibility includes the employee being at least 21 years of age, a non-leased employee, and classification of management. Additionally, enrollment in the Plan can occur on as soon as the first day of the calendar year or the first day of the seventh calendar month following their attainment of the respective days of service.

Contributions - Each year, participants may contribute a percentage of their annual pre-tax compensation, as defined in the Plan, subject to the limitations provided by the Internal Revenue Code (“IRC”), which was \$19,500 for those who were under age 50 in both 2021 and 2020. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. Participants direct the investment of their contributions into various investment options offered by the Plan. The Plan currently offers multiple pooled separate accounts and a general account through the Plan’s group annuity contract with Transamerica.

The Employers provide a matching contribution equal to 100% of participants’ elective deferrals that do not exceed 3% of total compensation plus 50% of salary deferrals between 3% and 5% of compensation.

The Employers may, at their discretion, make profit-sharing contributions. No such contributions were made for the years ended December 31, 2021 and 2020.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 1 - DESCRIPTION OF PLAN - (Continued)

Participant Accounts - Each participant's account is credited with the participant's contributions and allocations of (a) the Employers' matching contribution, (b) the Employers' profit-sharing contribution, and (c) Plan earnings, and charged with an allocation of administrative expenses not paid by the Employers, withdrawals, and an allocation of Plan losses. Allocations are based on participant's earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting - Participants are immediately vested in their contributions and employer match contributions to the Plan plus actual earnings. Participants vest over a six year period in the Company's profit sharing contributions. The Employer's profit sharing contributions become 100 percent vested upon normal retirement or termination of employment due to death or disability.

Payment of Benefits - A participant may elect to take distributions from the Plan in accordance with regulations set forth by the Internal Revenue Service and the plan documents.

Forfeited Accounts - At December 31, 2021 and 2020, forfeited non-vested accounts totaled \$11,738 and 6,167, respectively. These accounts are available to be used to pay Plan expenses or reduce future company contributions.

Administrative Expenses - A portion of the Plan's administrative expenses are paid by the Employers.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting - The financial statements of the Plan are prepared in accordance with accounting principles generally accepted in the United States of America ("GAAP").

Investment Valuation and Income Recognition - The Plan's investments are stated at fair value. Pooled separate accounts are valued based on the quoted market price of the underlying assets.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date.

Net realized and unrealized appreciation (depreciation) is recorded in the accompanying statement of changes in net assets available for benefits as net appreciation (depreciation) in fair value of investments.

Employee contributions are recorded when salaries are paid. Employer matching contributions are recorded when authorized.

Use of Estimates - The preparation of the financial statements in conformity with GAAP requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Payments of Benefits - Benefits are recorded when paid.

Date of Management's Review - Management has evaluated events and transactions occurring subsequent to the statement of net assets available for benefits date for items that should potentially be recognized or disclosed in the financial statements. The evaluation was conducted through the date of the independent auditors' report, which is the date the financial statements were available to be issued.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 3 - INFORMATION PREPARED AND CERTIFIED BY THE TRUSTEE

The following information included in the accompanying financial statements and supplemental schedules was obtained from data that has been prepared and certified as complete and accurate by the Trustee.

	<u>2021</u>	(unaudited) <u>2020</u>
Investments	\$ 2,585,876	\$ 2,066,883
Net appreciation in fair value of investments	\$ 355,295	

The Plan's independent auditors did not perform auditing procedures with respect to this information except for comparing such information with the related information included in the financial statements and supplemental schedules.

NOTE 4 - FAIR VALUE MEASUREMENTS

Accounting Standards Codification "ASC" Topic 820, Fair Value Measurement, defines fair value as the price that would be received for an asset or paid to transfer a liability (an exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement date. ASC Topic 820 also establishes a fair value hierarchy, which requires an entity to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The standard describes three levels of inputs that may be used to measure fair value:

- Level 1: Quoted prices in active markets for identical assets or liabilities.
- Level 2: Observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or inputs that are observable or corroborated by observable market data for substantially the full term of the assets or liabilities.
- Level 3: Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities. Level 3 assets and liabilities include financial instruments whose value is determined using pricing models, discounted cash flow methodologies, or similar techniques, as well as instruments for which the determination of fair value requires significant management judgment or estimation. This category generally includes certain private debt and equity instruments and alternative investments.

An asset's or liability's classification within the fair value hierarchy is based on the lowest level of significant input to its valuation. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Registered Investment Companies

The fair value of registered investment companies (mutual funds) is based on quoted net asset values of the shares held by the Plan at December 31, 2021 and 2020.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS - (Continued)

General Account

The general account is part of a group annuity contract, which invests in stable value investment contracts. The contract value of the general account approximates fair value at December 31, 2021 and 2020.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

At December 31, 2021, the Plan had no liabilities carried at fair value subject to remeasurement on a recurring basis.

The following tables present the Plan's fair value hierarchy for those assets measured at fair value by general type of the securities held as of December 31, 2021 and 2020:

Fair Value Measurements at December 31, 2021				
	(Level 1)	(Level 2)	(Level 3)	Total
Registered investment companies	\$ 2,562,973	\$ -	\$ -	\$ 2,562,973
General account	-	22,903	-	22,903
Investments at fair value	<u>\$ 2,562,973</u>	<u>\$ 22,903</u>	<u>\$ -</u>	<u>\$ 2,585,876</u>

Fair Value Measurements at December 31, 2020 (unaudited)				
	(Level 1)	(Level 2)	(Level 3)	Total
Registered investment companies	\$ 2,054,460	\$ -	\$ -	\$ 2,054,460
General account	-	12,423	-	12,423
Investments at fair value	<u>\$ 2,054,460</u>	<u>\$ 12,423</u>	<u>\$ -</u>	<u>\$ 2,066,883</u>

NOTE 5 - GROUP ANNUITY CONTRACT

The Plan has entered into a group annuity contract with Transamerica, the trustee of the Plan, called Transamerica Stable Value Core Option fund. The group annuity contract is valued at fair value by discounting the related cash flows based on current yields of similar instruments with comparable durations considering the credit-worthiness of the issuer. The contract is credited with earnings on the underlying investments and charged for participant withdrawals and administrative fees. The average yield on the general account was 0.75% and 0.83% for 2021 and 2020, respectively. The credited interest rate is set monthly.

NOTE 5 - TAX STATUS

The Internal Revenue Service ("IRS") has determined and informed the plan sponsor by a letter dated March 31, 2014, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). Although the Plan has been amended since receiving the determination letter, the Plan administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC and therefore believe that the Plan is qualified and the related trust is tax-exempt.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 6 - TAX STATUS - (Continued)

The Plan recognizes tax loss contingencies when it is probable that a liability has been incurred and the amount of the loss can be reasonably estimated. The amount recognized would be subject to estimates and management's judgment with respect to the likely outcome of each uncertain tax position. Although the tax years ending December 31, 2020 through December 31, 2021 remain open for examination by various taxing authorities, it is management's opinion that no significant uncertain tax positions remain open at December 31, 2021.

NOTE 7 - PARTIES-IN-INTEREST

Certain administrative functions are performed by officers or employees of the Employers. No such officer or employee receives compensation from the Plan. Costs incurred for audit, legal, and other administrative functions are paid by the Employers on behalf of the Plan. There were no financial transactions recorded between the Company and the Plan other than contributions made by the Company to the Plan. The Plan invests in funds management by Transamerica. Transamerica acts as a contract holder and record keeper for those investments as defined by the Plan. Transactions in such investments qualify as party-in-interest transactions, which are exempt from prohibited transactions rules. Other parties in interest include Reliance Trust Company who serves as the trustee of the Plan, Transamerica Retirement Solutions, LLC who serves as the recordkeeper of the Plan and Rodefer Moss & Co, PLLC who serves as the auditor of the Plan.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Employers and the Plan Sponsor have the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event of Plan termination, participants would become 100% vested in their company contributions.

NOTE 9 - RISKS AND UNCERTAINTIES

The Plan's investments are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with its investments, it is at least reasonably possible that changes in the value of investments will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

On March 11, 2020, the World Health Organization designated COVID-19 a world health pandemic. The pandemic has impacted the global economy resulting in substantial volatility which has negatively impacted some financial markets. As a result, the Plan's investment portfolio incurred significant volatility during the year ended December 31, 2021 and 2020. Because the values of the Plan's individual investments have and will fluctuate in response to changing market conditions, the amount of losses recognized in subsequent periods cannot be determined.

On March 27, 2020, President Trump signed into laws the "Coronavirus Aid, Relief, and Economic Security ("CARES") Act." The CARES Act, among other things, includes several relief provisions available to tax-qualified retirement plans and their participants. Plan management is currently evaluating the impact of the CARES Act on the Plan's financial statements

SUPPLEMENTAL SCHEDULES



DOMINION GROUP 401(k) PLAN
 EIN Number: 26-0639406 Plan Number: 001
 Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
 December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
Registered Investment Companies				
	American Funds 2045 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	\$ 517,137
	American Funds 2050 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	491,295
	American Funds 2040 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	301,538
	American Funds 2030 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	218,780
	American Funds 2035 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	196,099
	Fidelity 500 Index	Mutual fund, due on demand, variable rate of return	@	175,974
	American Funds 2055 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	173,241
	American Funds 2025 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	101,972
	American Funds 2020 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	86,655
	Fidelity Mid Cap Index	Mutual fund, due on demand, variable rate of return	@	62,193
	American Funds 2015 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	48,044
	MFS Value R6	Mutual fund, due on demand, variable rate of return	@	42,157
	BlackRock Mid-Cap Growth Equity Instl	Mutual fund, due on demand, variable rate of return	@	40,659
	American Funds 2060 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	35,638
	DFA Real Estate Securities I	Mutual fund, due on demand, variable rate of return	@	16,846
	AB Small Cap Growth K	Mutual fund, due on demand, variable rate of return	@	14,919
	PGIM Global Total Return R6	Mutual fund, due on demand, variable rate of return	@	11,690
	Fidelity International Index	Mutual fund, due on demand, variable rate of return	@	10,761
	Franklin Growth R6	Mutual fund, due on demand, variable rate of return	@	6,657
	Baird Core Plus Bond Inst	Mutual fund, due on demand, variable rate of return	@	3,821
	American Funds 2010 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	2,166
	DFA Inflation-Protected Securities I	Mutual fund, due on demand, variable rate of return	@	1,550
	American Century Emerging Markets R6	Mutual fund, due on demand, variable rate of return	@	1,277
	Fidelity Small Cap Index	Mutual fund, due on demand, variable rate of return	@	1,153
	PIMCO Income Instl	Mutual fund, due on demand, variable rate of return	@	699
	Undiscovered Mgrs Behavioral Value R6	Mutual fund, due on demand, variable rate of return	@	52
General Account				
*	Transamerica Stable Value Core Option	Investment Contract, due on demand, variable rate of return	@	22,903
Total Investments				<u>\$2,585,876</u>

* A party-in-interest as defined by ERISA.

@ The cost of participant-directed investments is not required to be disclosed.

DOMINION GROUP 401(k) PLAN
 EIN Number: 26-0639406 Plan Number: 001
 Schedule H, Line 4a - Schedule of Delinquent Participant Contributions
 December 31, 2021

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Totally Fully Corrected Under VFCP and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
Check here if Late Participant Loan Repayments are included: ☐				
\$ -	\$ -	\$ 2,264	\$ -	\$ -

DOMINION GROUP 401(k) PLAN

Financial Statements and Supplemental Schedules

December 31, 2021 and 2020



DOMINION GROUP 401(k) PLAN
Financial Statements and Supplemental Schedules
December 31, 2021 and 2020

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Independent Auditors' Report

To the Plan Administrator
Dominion Group 401(k) Plan
Knoxville, Tennessee

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed an audit of the accompanying financial statements of Dominion Group 401(k) Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) [ERISA Section 103(a)(3)(C) audit]. The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the Financial Statements section—

- the amounts and disclosures in the financial statements referred to above, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the financial statements referred to above related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the

audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all Plan amendments; administering the Plan; and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Report on Compiled Financial Statements

Plan management is responsible for the accompanying financial statement of the Plan, which comprise the statement of net assets available for benefits as of December 31, 2020, and the related notes to the financial statement in accordance with accounting principles generally accepted in the United States of America. We have performed a compilation engagement in accordance with Statements on Standards for Accounting and Review Services promulgated by the Accounting and Review Services committee of the AICPA. We did not audit or review the financial statement nor were we required to perform any procedures to verify the accuracy or completeness of the information provided by management. Accordingly, we do not express an opinion, a conclusion, or provide any form of assurance on this financial statement.

Supplemental Schedules Required by ERISA

The supplemental schedules, Schedule H, Line 4i - Schedule of Assets (Held at End of Year) and Schedule H, Line 4a - Schedule of Delinquent Participant Contributions as of December 31, 2021, are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion—

- the form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Roderic Moss & Co, PLLC

Knoxville, Tennessee
October 6, 2022



DOMINION GROUP 401(k) PLAN
Statements of Net Assets Available for Benefits
December 31, 2021 and 2020

	<u>2021</u>	(unaudited) <u>2020</u>
ASSETS		
Investments, at fair value	\$ 2,585,876	\$ 2,066,883
Receivables		
Employer contributions	8,731	2,011
Participant contributions	<u>1,599</u>	<u>642</u>
Total receivables	<u>10,330</u>	<u>2,653</u>
Total assets	<u>2,596,206</u>	<u>2,069,536</u>
Net assets available for benefits	<u>\$ 2,596,206</u>	<u>\$ 2,069,536</u>

DOMINION GROUP 401(k) PLAN
Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2021

Additions to net assets available for benefits attributed to:

Investment income		
Net appreciation in fair value of investments		\$ 355,295

Contributions

Employer	\$ 136,949
Participant	129,239
Participant - roth	57,813
Rollover	<u>34,695</u>

Total contributions	<u>358,696</u>
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Total additions	713,991
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Deductions from net assets available for benefits attributed to:

Benefits paid to participants	162,354
Administrative expenses	<u>24,967</u>

Total deductions	<u>187,321</u>
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Net change in net assets available for benefits	526,670
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Net assets available for benefits at the beginning of the year	<u>2,069,536</u>
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Net assets available for benefits at the end of the year	<u><u>\$ 2,596,206</u></u>
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DOMINION GROUP 401(k) PLAN
Notes to Financial Statements
December 31, 2021 and 2020

NOTE 1 - DESCRIPTION OF PLAN

The following description of the Dominion Group 401(k) Plan (the “Plan”) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan’s provisions.

General - The Plan was established on January 1, 2020 and is a defined contribution plan for Dominion Development Group, LLC (the “Plan Sponsor”), and its affiliates, and other entities as follows:

Dominion Management Group, LLC
Dominion Florence, LLC
Dominion Richmond, LLC
Dominion Maryville, LLC
Dominion Crossville, LLC
Dominion Hixson, LLC
Dominion Bristol, LLC
Dominion Athens, LLC
Dominion Senior Living, LLC
Dominion Frankfurt, LLC
Dominion Anderson, LLC
Dominion Louisville, LLC
Dominion Johnson City II, LLC
Dominion Clemson II, LLC
Dominion Wellsprings Johnson City, LLC
Dominion Wellsprings Sevierville, LLC
Dominion Senior Living at Patrick Square

Collectively, Dominion, Development Group, LLC and its above-referenced affiliates and adopting employers/entities are hereinafter referred to as the “Employers”. The Plan has entered into a trust agreement with Reliance Trust Company (“RTC”) (the “Trustee”) and appointed this company as a Trustee of the plan assets. RTC holds the Plan’s assets and uses Transamerica Retirement Solutions, LLC (“Transamerica”) to provide recordkeeping services. The Plan is subject to the provisions of the Employee Retirement Income Security Act (“ERISA”) of 1974, as amended

Eligibility - The Plan covers employees who have met certain eligibility requirements defined by employers. A hired employee can become eligible to enroll in the Plan following attainment of one year of service. Additionally, enrollment eligibility includes the employee being at least 21 years of age, a non-leased employee, and classification of management. Additionally, enrollment in the Plan can occur on as soon as the first day of the calendar year or the first day of the seventh calendar month following their attainment of the respective days of service.

Contributions - Each year, participants may contribute a percentage of their annual pre-tax compensation, as defined in the Plan, subject to the limitations provided by the Internal Revenue Code (“IRC”), which was \$19,500 for those who were under age 50 in both 2021 and 2020. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. Participants direct the investment of their contributions into various investment options offered by the Plan. The Plan currently offers multiple pooled separate accounts and a general account through the Plan’s group annuity contract with Transamerica.

The Employers provide a matching contribution equal to 100% of participants’ elective deferrals that do not exceed 3% of total compensation plus 50% of salary deferrals between 3% and 5% of compensation.

The Employers may, at their discretion, make profit-sharing contributions. No such contributions were made for the years ended December 31, 2021 and 2020.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 1 - DESCRIPTION OF PLAN - (Continued)

Participant Accounts - Each participant's account is credited with the participant's contributions and allocations of (a) the Employers' matching contribution, (b) the Employers' profit-sharing contribution, and (c) Plan earnings, and charged with an allocation of administrative expenses not paid by the Employers, withdrawals, and an allocation of Plan losses. Allocations are based on participant's earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting - Participants are immediately vested in their contributions and employer match contributions to the Plan plus actual earnings. Participants vest over a six year period in the Company's profit sharing contributions. The Employer's profit sharing contributions become 100 percent vested upon normal retirement or termination of employment due to death or disability.

Payment of Benefits - A participant may elect to take distributions from the Plan in accordance with regulations set forth by the Internal Revenue Service and the plan documents.

Forfeited Accounts - At December 31, 2021 and 2020, forfeited non-vested accounts totaled \$11,738 and 6,167, respectively. These accounts are available to be used to pay Plan expenses or reduce future company contributions.

Administrative Expenses - A portion of the Plan's administrative expenses are paid by the Employers.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting - The financial statements of the Plan are prepared in accordance with accounting principles generally accepted in the United States of America ("GAAP").

Investment Valuation and Income Recognition - The Plan's investments are stated at fair value. Pooled separate accounts are valued based on the quoted market price of the underlying assets.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date.

Net realized and unrealized appreciation (depreciation) is recorded in the accompanying statement of changes in net assets available for benefits as net appreciation (depreciation) in fair value of investments.

Employee contributions are recorded when salaries are paid. Employer matching contributions are recorded when authorized.

Use of Estimates - The preparation of the financial statements in conformity with GAAP requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Payments of Benefits - Benefits are recorded when paid.

Date of Management's Review - Management has evaluated events and transactions occurring subsequent to the statement of net assets available for benefits date for items that should potentially be recognized or disclosed in the financial statements. The evaluation was conducted through the date of the independent auditors' report, which is the date the financial statements were available to be issued.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 3 - INFORMATION PREPARED AND CERTIFIED BY THE TRUSTEE

The following information included in the accompanying financial statements and supplemental schedules was obtained from data that has been prepared and certified as complete and accurate by the Trustee.

	<u>2021</u>	(unaudited) <u>2020</u>
Investments	\$ 2,585,876	\$ 2,066,883
Net appreciation in fair value of investments	\$ 355,295	

The Plan's independent auditors did not perform auditing procedures with respect to this information except for comparing such information with the related information included in the financial statements and supplemental schedules.

NOTE 4 - FAIR VALUE MEASUREMENTS

Accounting Standards Codification "ASC" Topic 820, Fair Value Measurement, defines fair value as the price that would be received for an asset or paid to transfer a liability (an exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement date. ASC Topic 820 also establishes a fair value hierarchy, which requires an entity to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The standard describes three levels of inputs that may be used to measure fair value:

- Level 1: Quoted prices in active markets for identical assets or liabilities.
- Level 2: Observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or inputs that are observable or corroborated by observable market data for substantially the full term of the assets or liabilities.
- Level 3: Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities. Level 3 assets and liabilities include financial instruments whose value is determined using pricing models, discounted cash flow methodologies, or similar techniques, as well as instruments for which the determination of fair value requires significant management judgment or estimation. This category generally includes certain private debt and equity instruments and alternative investments.

An asset's or liability's classification within the fair value hierarchy is based on the lowest level of significant input to its valuation. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Registered Investment Companies

The fair value of registered investment companies (mutual funds) is based on quoted net asset values of the shares held by the Plan at December 31, 2021 and 2020.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS - (Continued)

General Account

The general account is part of a group annuity contract, which invests in stable value investment contracts. The contract value of the general account approximates fair value at December 31, 2021 and 2020.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

At December 31, 2021, the Plan had no liabilities carried at fair value subject to remeasurement on a recurring basis.

The following tables present the Plan's fair value hierarchy for those assets measured at fair value by general type of the securities held as of December 31, 2021 and 2020:

Fair Value Measurements at December 31, 2021				
	(Level 1)	(Level 2)	(Level 3)	Total
Registered investment companies	\$ 2,562,973	\$ -	\$ -	\$ 2,562,973
General account	-	22,903	-	22,903
Investments at fair value	<u>\$ 2,562,973</u>	<u>\$ 22,903</u>	<u>\$ -</u>	<u>\$ 2,585,876</u>

Fair Value Measurements at December 31, 2020 (unaudited)				
	(Level 1)	(Level 2)	(Level 3)	Total
Registered investment companies	\$ 2,054,460	\$ -	\$ -	\$ 2,054,460
General account	-	12,423	-	12,423
Investments at fair value	<u>\$ 2,054,460</u>	<u>\$ 12,423</u>	<u>\$ -</u>	<u>\$ 2,066,883</u>

NOTE 5 - GROUP ANNUITY CONTRACT

The Plan has entered into a group annuity contract with Transamerica, the trustee of the Plan, called Transamerica Stable Value Core Option fund. The group annuity contract is valued at fair value by discounting the related cash flows based on current yields of similar instruments with comparable durations considering the credit-worthiness of the issuer. The contract is credited with earnings on the underlying investments and charged for participant withdrawals and administrative fees. The average yield on the general account was 0.75% and 0.83% for 2021 and 2020, respectively. The credited interest rate is set monthly.

NOTE 5 - TAX STATUS

The Internal Revenue Service ("IRS") has determined and informed the plan sponsor by a letter dated March 31, 2014, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). Although the Plan has been amended since receiving the determination letter, the Plan administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC and therefore believe that the Plan is qualified and the related trust is tax-exempt.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 6 - TAX STATUS - (Continued)

The Plan recognizes tax loss contingencies when it is probable that a liability has been incurred and the amount of the loss can be reasonably estimated. The amount recognized would be subject to estimates and management's judgment with respect to the likely outcome of each uncertain tax position. Although the tax years ending December 31, 2020 through December 31, 2021 remain open for examination by various taxing authorities, it is management's opinion that no significant uncertain tax positions remain open at December 31, 2021.

NOTE 7 - PARTIES-IN-INTEREST

Certain administrative functions are performed by officers or employees of the Employers. No such officer or employee receives compensation from the Plan. Costs incurred for audit, legal, and other administrative functions are paid by the Employers on behalf of the Plan. There were no financial transactions recorded between the Company and the Plan other than contributions made by the Company to the Plan. The Plan invests in funds management by Transamerica. Transamerica acts as a contract holder and record keeper for those investments as defined by the Plan. Transactions in such investments qualify as party-in-interest transactions, which are exempt from prohibited transactions rules. Other parties in interest include Reliance Trust Company who serves as the trustee of the Plan, Transamerica Retirement Solutions, LLC who serves as the recordkeeper of the Plan and Rodefer Moss & Co, PLLC who serves as the auditor of the Plan.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Employers and the Plan Sponsor have the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event of Plan termination, participants would become 100% vested in their company contributions.

NOTE 9 - RISKS AND UNCERTAINTIES

The Plan's investments are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with its investments, it is at least reasonably possible that changes in the value of investments will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

On March 11, 2020, the World Health Organization designated COVID-19 a world health pandemic. The pandemic has impacted the global economy resulting in substantial volatility which has negatively impacted some financial markets. As a result, the Plan's investment portfolio incurred significant volatility during the year ended December 31, 2021 and 2020. Because the values of the Plan's individual investments have and will fluctuate in response to changing market conditions, the amount of losses recognized in subsequent periods cannot be determined.

On March 27, 2020, President Trump signed into laws the "Coronavirus Aid, Relief, and Economic Security ("CARES") Act." The CARES Act, among other things, includes several relief provisions available to tax-qualified retirement plans and their participants. Plan management is currently evaluating the impact of the CARES Act on the Plan's financial statements

SUPPLEMENTAL SCHEDULES



DOMINION GROUP 401(k) PLAN
 EIN Number: 26-0639406 Plan Number: 001
 Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
 December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
Registered Investment Companies				
	American Funds 2045 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	\$ 517,137
	American Funds 2050 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	491,295
	American Funds 2040 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	301,538
	American Funds 2030 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	218,780
	American Funds 2035 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	196,099
	Fidelity 500 Index	Mutual fund, due on demand, variable rate of return	@	175,974
	American Funds 2055 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	173,241
	American Funds 2025 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	101,972
	American Funds 2020 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	86,655
	Fidelity Mid Cap Index	Mutual fund, due on demand, variable rate of return	@	62,193
	American Funds 2015 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	48,044
	MFS Value R6	Mutual fund, due on demand, variable rate of return	@	42,157
	BlackRock Mid-Cap Growth Equity Instl	Mutual fund, due on demand, variable rate of return	@	40,659
	American Funds 2060 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	35,638
	DFA Real Estate Securities I	Mutual fund, due on demand, variable rate of return	@	16,846
	AB Small Cap Growth K	Mutual fund, due on demand, variable rate of return	@	14,919
	PGIM Global Total Return R6	Mutual fund, due on demand, variable rate of return	@	11,690
	Fidelity International Index	Mutual fund, due on demand, variable rate of return	@	10,761
	Franklin Growth R6	Mutual fund, due on demand, variable rate of return	@	6,657
	Baird Core Plus Bond Inst	Mutual fund, due on demand, variable rate of return	@	3,821
	American Funds 2010 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	2,166
	DFA Inflation-Protected Securities I	Mutual fund, due on demand, variable rate of return	@	1,550
	American Century Emerging Markets R6	Mutual fund, due on demand, variable rate of return	@	1,277
	Fidelity Small Cap Index	Mutual fund, due on demand, variable rate of return	@	1,153
	PIMCO Income Instl	Mutual fund, due on demand, variable rate of return	@	699
	Undiscovered Mgrs Behavioral Value R6	Mutual fund, due on demand, variable rate of return	@	52
General Account				
*	Transamerica Stable Value Core Option	Investment Contract, due on demand, variable rate of return	@	22,903
Total Investments				<u>\$2,585,876</u>

* A party-in-interest as defined by ERISA.

@ The cost of participant-directed investments is not required to be disclosed.

DOMINION GROUP 401(k) PLAN
 EIN Number: 26-0639406 Plan Number: 001
 Schedule H, Line 4a - Schedule of Delinquent Participant Contributions
 December 31, 2021

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Totally Fully Corrected Under VFCP and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
Check here if Late Participant Loan Repayments are included: ☐				
\$ -	\$ -	\$ 2,264	\$ -	\$ -

DOMINION GROUP 401(k) PLAN

Financial Statements and Supplemental Schedules

December 31, 2021 and 2020



DOMINION GROUP 401(k) PLAN
Financial Statements and Supplemental Schedules
December 31, 2021 and 2020

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Independent Auditors' Report

To the Plan Administrator
Dominion Group 401(k) Plan
Knoxville, Tennessee

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed an audit of the accompanying financial statements of Dominion Group 401(k) Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) [ERISA Section 103(a)(3)(C) audit]. The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the Financial Statements section—

- the amounts and disclosures in the financial statements referred to above, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the financial statements referred to above related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the

audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all Plan amendments; administering the Plan; and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Report on Compiled Financial Statements

Plan management is responsible for the accompanying financial statement of the Plan, which comprise the statement of net assets available for benefits as of December 31, 2020, and the related notes to the financial statement in accordance with accounting principles generally accepted in the United States of America. We have performed a compilation engagement in accordance with Statements on Standards for Accounting and Review Services promulgated by the Accounting and Review Services committee of the AICPA. We did not audit or review the financial statement nor were we required to perform any procedures to verify the accuracy or completeness of the information provided by management. Accordingly, we do not express an opinion, a conclusion, or provide any form of assurance on this financial statement.

Supplemental Schedules Required by ERISA

The supplemental schedules, Schedule H, Line 4i - Schedule of Assets (Held at End of Year) and Schedule H, Line 4a - Schedule of Delinquent Participant Contributions as of December 31, 2021, are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion—

- the form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Roderic Moss & Co, PLLC

Knoxville, Tennessee
October 6, 2022



DOMINION GROUP 401(k) PLAN
Statements of Net Assets Available for Benefits
December 31, 2021 and 2020

	<u>2021</u>	(unaudited) <u>2020</u>
ASSETS		
Investments, at fair value	\$ 2,585,876	\$ 2,066,883
Receivables		
Employer contributions	8,731	2,011
Participant contributions	<u>1,599</u>	<u>642</u>
Total receivables	<u>10,330</u>	<u>2,653</u>
Total assets	<u>2,596,206</u>	<u>2,069,536</u>
Net assets available for benefits	<u>\$ 2,596,206</u>	<u>\$ 2,069,536</u>

DOMINION GROUP 401(k) PLAN
Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2021

Additions to net assets available for benefits attributed to:

Investment income

Net appreciation in fair value of investments \$ 355,295

Contributions

Employer \$ 136,949

Participant 129,239

Participant - roth 57,813

Rollover 34,695

Total contributions 358,696

Total additions 713,991

Deductions from net assets available for benefits attributed to:

Benefits paid to participants 162,354

Administrative expenses 24,967

Total deductions 187,321

Net change in net assets available for benefits 526,670

Net assets available for benefits at the beginning of the year 2,069,536

Net assets available for benefits at the end of the year \$ 2,596,206

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements
December 31, 2021 and 2020

NOTE 1 - DESCRIPTION OF PLAN

The following description of the Dominion Group 401(k) Plan (the “Plan”) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan’s provisions.

General - The Plan was established on January 1, 2020 and is a defined contribution plan for Dominion Development Group, LLC (the “Plan Sponsor”), and its affiliates, and other entities as follows:

Dominion Management Group, LLC
Dominion Florence, LLC
Dominion Richmond, LLC
Dominion Maryville, LLC
Dominion Crossville, LLC
Dominion Hixson, LLC
Dominion Bristol, LLC
Dominion Athens, LLC
Dominion Senior Living, LLC
Dominion Frankfurt, LLC
Dominion Anderson, LLC
Dominion Louisville, LLC
Dominion Johnson City II, LLC
Dominion Clemson II, LLC
Dominion Wellsprings Johnson City, LLC
Dominion Wellsprings Sevierville, LLC
Dominion Senior Living at Patrick Square

Collectively, Dominion, Development Group, LLC and its above-referenced affiliates and adopting employers/entities are hereinafter referred to as the “Employers”. The Plan has entered into a trust agreement with Reliance Trust Company (“RTC”) (the “Trustee”) and appointed this company as a Trustee of the plan assets. RTC holds the Plan’s assets and uses Transamerica Retirement Solutions, LLC (“Transamerica”) to provide recordkeeping services. The Plan is subject to the provisions of the Employee Retirement Income Security Act (“ERISA”) of 1974, as amended

Eligibility - The Plan covers employees who have met certain eligibility requirements defined by employers. A hired employee can become eligible to enroll in the Plan following attainment of one year of service. Additionally, enrollment eligibility includes the employee being at least 21 years of age, a non-leased employee, and classification of management. Additionally, enrollment in the Plan can occur on as soon as the first day of the calendar year or the first day of the seventh calendar month following their attainment of the respective days of service.

Contributions - Each year, participants may contribute a percentage of their annual pre-tax compensation, as defined in the Plan, subject to the limitations provided by the Internal Revenue Code (“IRC”), which was \$19,500 for those who were under age 50 in both 2021 and 2020. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. Participants direct the investment of their contributions into various investment options offered by the Plan. The Plan currently offers multiple pooled separate accounts and a general account through the Plan’s group annuity contract with Transamerica.

The Employers provide a matching contribution equal to 100% of participants’ elective deferrals that do not exceed 3% of total compensation plus 50% of salary deferrals between 3% and 5% of compensation.

The Employers may, at their discretion, make profit-sharing contributions. No such contributions were made for the years ended December 31, 2021 and 2020.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 1 - DESCRIPTION OF PLAN - (Continued)

Participant Accounts - Each participant's account is credited with the participant's contributions and allocations of (a) the Employers' matching contribution, (b) the Employers' profit-sharing contribution, and (c) Plan earnings, and charged with an allocation of administrative expenses not paid by the Employers, withdrawals, and an allocation of Plan losses. Allocations are based on participant's earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting - Participants are immediately vested in their contributions and employer match contributions to the Plan plus actual earnings. Participants vest over a six year period in the Company's profit sharing contributions. The Employer's profit sharing contributions become 100 percent vested upon normal retirement or termination of employment due to death or disability.

Payment of Benefits - A participant may elect to take distributions from the Plan in accordance with regulations set forth by the Internal Revenue Service and the plan documents.

Forfeited Accounts - At December 31, 2021 and 2020, forfeited non-vested accounts totaled \$11,738 and 6,167, respectively. These accounts are available to be used to pay Plan expenses or reduce future company contributions.

Administrative Expenses - A portion of the Plan's administrative expenses are paid by the Employers.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting - The financial statements of the Plan are prepared in accordance with accounting principles generally accepted in the United States of America ("GAAP").

Investment Valuation and Income Recognition - The Plan's investments are stated at fair value. Pooled separate accounts are valued based on the quoted market price of the underlying assets.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date.

Net realized and unrealized appreciation (depreciation) is recorded in the accompanying statement of changes in net assets available for benefits as net appreciation (depreciation) in fair value of investments.

Employee contributions are recorded when salaries are paid. Employer matching contributions are recorded when authorized.

Use of Estimates - The preparation of the financial statements in conformity with GAAP requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Payments of Benefits - Benefits are recorded when paid.

Date of Management's Review - Management has evaluated events and transactions occurring subsequent to the statement of net assets available for benefits date for items that should potentially be recognized or disclosed in the financial statements. The evaluation was conducted through the date of the independent auditors' report, which is the date the financial statements were available to be issued.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 3 - INFORMATION PREPARED AND CERTIFIED BY THE TRUSTEE

The following information included in the accompanying financial statements and supplemental schedules was obtained from data that has been prepared and certified as complete and accurate by the Trustee.

	<u>2021</u>	(unaudited) <u>2020</u>
Investments	\$ 2,585,876	\$ 2,066,883
Net appreciation in fair value of investments	\$ 355,295	

The Plan's independent auditors did not perform auditing procedures with respect to this information except for comparing such information with the related information included in the financial statements and supplemental schedules.

NOTE 4 - FAIR VALUE MEASUREMENTS

Accounting Standards Codification "ASC" Topic 820, Fair Value Measurement, defines fair value as the price that would be received for an asset or paid to transfer a liability (an exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement date. ASC Topic 820 also establishes a fair value hierarchy, which requires an entity to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The standard describes three levels of inputs that may be used to measure fair value:

- Level 1: Quoted prices in active markets for identical assets or liabilities.
- Level 2: Observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or inputs that are observable or corroborated by observable market data for substantially the full term of the assets or liabilities.
- Level 3: Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities. Level 3 assets and liabilities include financial instruments whose value is determined using pricing models, discounted cash flow methodologies, or similar techniques, as well as instruments for which the determination of fair value requires significant management judgment or estimation. This category generally includes certain private debt and equity instruments and alternative investments.

An asset's or liability's classification within the fair value hierarchy is based on the lowest level of significant input to its valuation. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Registered Investment Companies

The fair value of registered investment companies (mutual funds) is based on quoted net asset values of the shares held by the Plan at December 31, 2021 and 2020.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS - (Continued)

General Account

The general account is part of a group annuity contract, which invests in stable value investment contracts. The contract value of the general account approximates fair value at December 31, 2021 and 2020.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

At December 31, 2021, the Plan had no liabilities carried at fair value subject to remeasurement on a recurring basis.

The following tables present the Plan's fair value hierarchy for those assets measured at fair value by general type of the securities held as of December 31, 2021 and 2020:

Fair Value Measurements at December 31, 2021				
	(Level 1)	(Level 2)	(Level 3)	Total
Registered investment companies	\$ 2,562,973	\$ -	\$ -	\$ 2,562,973
General account	-	22,903	-	22,903
Investments at fair value	<u>\$ 2,562,973</u>	<u>\$ 22,903</u>	<u>\$ -</u>	<u>\$ 2,585,876</u>

Fair Value Measurements at December 31, 2020 (unaudited)				
	(Level 1)	(Level 2)	(Level 3)	Total
Registered investment companies	\$ 2,054,460	\$ -	\$ -	\$ 2,054,460
General account	-	12,423	-	12,423
Investments at fair value	<u>\$ 2,054,460</u>	<u>\$ 12,423</u>	<u>\$ -</u>	<u>\$ 2,066,883</u>

NOTE 5 - GROUP ANNUITY CONTRACT

The Plan has entered into a group annuity contract with Transamerica, the trustee of the Plan, called Transamerica Stable Value Core Option fund. The group annuity contract is valued at fair value by discounting the related cash flows based on current yields of similar instruments with comparable durations considering the credit-worthiness of the issuer. The contract is credited with earnings on the underlying investments and charged for participant withdrawals and administrative fees. The average yield on the general account was 0.75% and 0.83% for 2021 and 2020, respectively. The credited interest rate is set monthly.

NOTE 5 - TAX STATUS

The Internal Revenue Service ("IRS") has determined and informed the plan sponsor by a letter dated March 31, 2014, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). Although the Plan has been amended since receiving the determination letter, the Plan administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC and therefore believe that the Plan is qualified and the related trust is tax-exempt.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 6 - TAX STATUS - (Continued)

The Plan recognizes tax loss contingencies when it is probable that a liability has been incurred and the amount of the loss can be reasonably estimated. The amount recognized would be subject to estimates and management's judgment with respect to the likely outcome of each uncertain tax position. Although the tax years ending December 31, 2020 through December 31, 2021 remain open for examination by various taxing authorities, it is management's opinion that no significant uncertain tax positions remain open at December 31, 2021.

NOTE 7 - PARTIES-IN-INTEREST

Certain administrative functions are performed by officers or employees of the Employers. No such officer or employee receives compensation from the Plan. Costs incurred for audit, legal, and other administrative functions are paid by the Employers on behalf of the Plan. There were no financial transactions recorded between the Company and the Plan other than contributions made by the Company to the Plan. The Plan invests in funds management by Transamerica. Transamerica acts as a contract holder and record keeper for those investments as defined by the Plan. Transactions in such investments qualify as party-in-interest transactions, which are exempt from prohibited transactions rules. Other parties in interest include Reliance Trust Company who serves as the trustee of the Plan, Transamerica Retirement Solutions, LLC who serves as the recordkeeper of the Plan and Rodefer Moss & Co, PLLC who serves as the auditor of the Plan.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Employers and the Plan Sponsor have the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event of Plan termination, participants would become 100% vested in their company contributions.

NOTE 9 - RISKS AND UNCERTAINTIES

The Plan's investments are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with its investments, it is at least reasonably possible that changes in the value of investments will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

On March 11, 2020, the World Health Organization designated COVID-19 a world health pandemic. The pandemic has impacted the global economy resulting in substantial volatility which has negatively impacted some financial markets. As a result, the Plan's investment portfolio incurred significant volatility during the year ended December 31, 2021 and 2020. Because the values of the Plan's individual investments have and will fluctuate in response to changing market conditions, the amount of losses recognized in subsequent periods cannot be determined.

On March 27, 2020, President Trump signed into laws the "Coronavirus Aid, Relief, and Economic Security ("CARES") Act." The CARES Act, among other things, includes several relief provisions available to tax-qualified retirement plans and their participants. Plan management is currently evaluating the impact of the CARES Act on the Plan's financial statements

SUPPLEMENTAL SCHEDULES



DOMINION GROUP 401(k) PLAN
 EIN Number: 26-0639406 Plan Number: 001
 Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
 December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
Registered Investment Companies				
	American Funds 2045 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	\$ 517,137
	American Funds 2050 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	491,295
	American Funds 2040 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	301,538
	American Funds 2030 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	218,780
	American Funds 2035 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	196,099
	Fidelity 500 Index	Mutual fund, due on demand, variable rate of return	@	175,974
	American Funds 2055 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	173,241
	American Funds 2025 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	101,972
	American Funds 2020 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	86,655
	Fidelity Mid Cap Index	Mutual fund, due on demand, variable rate of return	@	62,193
	American Funds 2015 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	48,044
	MFS Value R6	Mutual fund, due on demand, variable rate of return	@	42,157
	BlackRock Mid-Cap Growth Equity Instl	Mutual fund, due on demand, variable rate of return	@	40,659
	American Funds 2060 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	35,638
	DFA Real Estate Securities I	Mutual fund, due on demand, variable rate of return	@	16,846
	AB Small Cap Growth K	Mutual fund, due on demand, variable rate of return	@	14,919
	PGIM Global Total Return R6	Mutual fund, due on demand, variable rate of return	@	11,690
	Fidelity International Index	Mutual fund, due on demand, variable rate of return	@	10,761
	Franklin Growth R6	Mutual fund, due on demand, variable rate of return	@	6,657
	Baird Core Plus Bond Inst	Mutual fund, due on demand, variable rate of return	@	3,821
	American Funds 2010 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	2,166
	DFA Inflation-Protected Securities I	Mutual fund, due on demand, variable rate of return	@	1,550
	American Century Emerging Markets R6	Mutual fund, due on demand, variable rate of return	@	1,277
	Fidelity Small Cap Index	Mutual fund, due on demand, variable rate of return	@	1,153
	PIMCO Income Instl	Mutual fund, due on demand, variable rate of return	@	699
	Undiscovered Mgrs Behavioral Value R6	Mutual fund, due on demand, variable rate of return	@	52
General Account				
*	Transamerica Stable Value Core Option	Investment Contract, due on demand, variable rate of return	@	22,903
Total Investments				<u>\$2,585,876</u>

* A party-in-interest as defined by ERISA.

@ The cost of participant-directed investments is not required to be disclosed.

DOMINION GROUP 401(k) PLAN
 EIN Number: 26-0639406 Plan Number: 001
 Schedule H, Line 4a - Schedule of Delinquent Participant Contributions
 December 31, 2021

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Totally Fully Corrected Under VFCP and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
Check here if Late Participant Loan Repayments are included: ☐				
\$ -	\$ -	\$ 2,264	\$ -	\$ -

DOMINION GROUP 401(k) PLAN

Financial Statements and Supplemental Schedules

December 31, 2021 and 2020



DOMINION GROUP 401(k) PLAN
Financial Statements and Supplemental Schedules
December 31, 2021 and 2020

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Independent Auditors' Report

To the Plan Administrator
Dominion Group 401(k) Plan
Knoxville, Tennessee

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed an audit of the accompanying financial statements of Dominion Group 401(k) Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) [ERISA Section 103(a)(3)(C) audit]. The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the Financial Statements section—

- the amounts and disclosures in the financial statements referred to above, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the financial statements referred to above related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the

audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all Plan amendments; administering the Plan; and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Report on Compiled Financial Statements

Plan management is responsible for the accompanying financial statement of the Plan, which comprise the statement of net assets available for benefits as of December 31, 2020, and the related notes to the financial statement in accordance with accounting principles generally accepted in the United States of America. We have performed a compilation engagement in accordance with Statements on Standards for Accounting and Review Services promulgated by the Accounting and Review Services committee of the AICPA. We did not audit or review the financial statement nor were we required to perform any procedures to verify the accuracy or completeness of the information provided by management. Accordingly, we do not express an opinion, a conclusion, or provide any form of assurance on this financial statement.

Supplemental Schedules Required by ERISA

The supplemental schedules, Schedule H, Line 4i - Schedule of Assets (Held at End of Year) and Schedule H, Line 4a - Schedule of Delinquent Participant Contributions as of December 31, 2021, are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion—

- the form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Roderic Moss & Co, PLLC

Knoxville, Tennessee
October 6, 2022



DOMINION GROUP 401(k) PLAN
Statements of Net Assets Available for Benefits
December 31, 2021 and 2020

	<u>2021</u>	(unaudited) <u>2020</u>
ASSETS		
Investments, at fair value	\$ 2,585,876	\$ 2,066,883
Receivables		
Employer contributions	8,731	2,011
Participant contributions	<u>1,599</u>	<u>642</u>
Total receivables	<u>10,330</u>	<u>2,653</u>
Total assets	<u>2,596,206</u>	<u>2,069,536</u>
Net assets available for benefits	<u>\$ 2,596,206</u>	<u>\$ 2,069,536</u>

DOMINION GROUP 401(k) PLAN
Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2021

Additions to net assets available for benefits attributed to:

Investment income	
Net appreciation in fair value of investments	\$ 355,295

Contributions

Employer	\$ 136,949
Participant	129,239
Participant - roth	57,813
Rollover	<u>34,695</u>

Total contributions	<u>358,696</u>
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Total additions	713,991
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Deductions from net assets available for benefits attributed to:

Benefits paid to participants	162,354
Administrative expenses	<u>24,967</u>

Total deductions	<u>187,321</u>
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Net change in net assets available for benefits	526,670
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Net assets available for benefits at the beginning of the year	<u>2,069,536</u>
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Net assets available for benefits at the end of the year	<u><u>\$ 2,596,206</u></u>
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DOMINION GROUP 401(k) PLAN
Notes to Financial Statements
December 31, 2021 and 2020

NOTE 1 - DESCRIPTION OF PLAN

The following description of the Dominion Group 401(k) Plan (the “Plan”) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan’s provisions.

General - The Plan was established on January 1, 2020 and is a defined contribution plan for Dominion Development Group, LLC (the “Plan Sponsor”), and its affiliates, and other entities as follows:

Dominion Management Group, LLC
Dominion Florence, LLC
Dominion Richmond, LLC
Dominion Maryville, LLC
Dominion Crossville, LLC
Dominion Hixson, LLC
Dominion Bristol, LLC
Dominion Athens, LLC
Dominion Senior Living, LLC
Dominion Frankfurt, LLC
Dominion Anderson, LLC
Dominion Louisville, LLC
Dominion Johnson City II, LLC
Dominion Clemson II, LLC
Dominion Wellsprings Johnson City, LLC
Dominion Wellsprings Sevierville, LLC
Dominion Senior Living at Patrick Square

Collectively, Dominion, Development Group, LLC and its above-referenced affiliates and adopting employers/entities are hereinafter referred to as the “Employers”. The Plan has entered into a trust agreement with Reliance Trust Company (“RTC”) (the “Trustee”) and appointed this company as a Trustee of the plan assets. RTC holds the Plan’s assets and uses Transamerica Retirement Solutions, LLC (“Transamerica”) to provide recordkeeping services. The Plan is subject to the provisions of the Employee Retirement Income Security Act (“ERISA”) of 1974, as amended

Eligibility - The Plan covers employees who have met certain eligibility requirements defined by employers. A hired employee can become eligible to enroll in the Plan following attainment of one year of service. Additionally, enrollment eligibility includes the employee being at least 21 years of age, a non-leased employee, and classification of management. Additionally, enrollment in the Plan can occur on as soon as the first day of the calendar year or the first day of the seventh calendar month following their attainment of the respective days of service.

Contributions - Each year, participants may contribute a percentage of their annual pre-tax compensation, as defined in the Plan, subject to the limitations provided by the Internal Revenue Code (“IRC”), which was \$19,500 for those who were under age 50 in both 2021 and 2020. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. Participants direct the investment of their contributions into various investment options offered by the Plan. The Plan currently offers multiple pooled separate accounts and a general account through the Plan’s group annuity contract with Transamerica.

The Employers provide a matching contribution equal to 100% of participants’ elective deferrals that do not exceed 3% of total compensation plus 50% of salary deferrals between 3% and 5% of compensation.

The Employers may, at their discretion, make profit-sharing contributions. No such contributions were made for the years ended December 31, 2021 and 2020.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 1 - DESCRIPTION OF PLAN - (Continued)

Participant Accounts - Each participant's account is credited with the participant's contributions and allocations of (a) the Employers' matching contribution, (b) the Employers' profit-sharing contribution, and (c) Plan earnings, and charged with an allocation of administrative expenses not paid by the Employers, withdrawals, and an allocation of Plan losses. Allocations are based on participant's earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting - Participants are immediately vested in their contributions and employer match contributions to the Plan plus actual earnings. Participants vest over a six year period in the Company's profit sharing contributions. The Employer's profit sharing contributions become 100 percent vested upon normal retirement or termination of employment due to death or disability.

Payment of Benefits - A participant may elect to take distributions from the Plan in accordance with regulations set forth by the Internal Revenue Service and the plan documents.

Forfeited Accounts - At December 31, 2021 and 2020, forfeited non-vested accounts totaled \$11,738 and 6,167, respectively. These accounts are available to be used to pay Plan expenses or reduce future company contributions.

Administrative Expenses - A portion of the Plan's administrative expenses are paid by the Employers.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting - The financial statements of the Plan are prepared in accordance with accounting principles generally accepted in the United States of America ("GAAP").

Investment Valuation and Income Recognition - The Plan's investments are stated at fair value. Pooled separate accounts are valued based on the quoted market price of the underlying assets.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date.

Net realized and unrealized appreciation (depreciation) is recorded in the accompanying statement of changes in net assets available for benefits as net appreciation (depreciation) in fair value of investments.

Employee contributions are recorded when salaries are paid. Employer matching contributions are recorded when authorized.

Use of Estimates - The preparation of the financial statements in conformity with GAAP requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Payments of Benefits - Benefits are recorded when paid.

Date of Management's Review - Management has evaluated events and transactions occurring subsequent to the statement of net assets available for benefits date for items that should potentially be recognized or disclosed in the financial statements. The evaluation was conducted through the date of the independent auditors' report, which is the date the financial statements were available to be issued.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 3 - INFORMATION PREPARED AND CERTIFIED BY THE TRUSTEE

The following information included in the accompanying financial statements and supplemental schedules was obtained from data that has been prepared and certified as complete and accurate by the Trustee.

	<u>2021</u>	(unaudited) <u>2020</u>
Investments	\$ 2,585,876	\$ 2,066,883
Net appreciation in fair value of investments	\$ 355,295	

The Plan's independent auditors did not perform auditing procedures with respect to this information except for comparing such information with the related information included in the financial statements and supplemental schedules.

NOTE 4 - FAIR VALUE MEASUREMENTS

Accounting Standards Codification "ASC" Topic 820, Fair Value Measurement, defines fair value as the price that would be received for an asset or paid to transfer a liability (an exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement date. ASC Topic 820 also establishes a fair value hierarchy, which requires an entity to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The standard describes three levels of inputs that may be used to measure fair value:

- Level 1: Quoted prices in active markets for identical assets or liabilities.
- Level 2: Observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or inputs that are observable or corroborated by observable market data for substantially the full term of the assets or liabilities.
- Level 3: Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities. Level 3 assets and liabilities include financial instruments whose value is determined using pricing models, discounted cash flow methodologies, or similar techniques, as well as instruments for which the determination of fair value requires significant management judgment or estimation. This category generally includes certain private debt and equity instruments and alternative investments.

An asset's or liability's classification within the fair value hierarchy is based on the lowest level of significant input to its valuation. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Registered Investment Companies

The fair value of registered investment companies (mutual funds) is based on quoted net asset values of the shares held by the Plan at December 31, 2021 and 2020.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 4 - FAIR VALUE MEASUREMENTS - (Continued)

General Account

The general account is part of a group annuity contract, which invests in stable value investment contracts. The contract value of the general account approximates fair value at December 31, 2021 and 2020.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

At December 31, 2021, the Plan had no liabilities carried at fair value subject to remeasurement on a recurring basis.

The following tables present the Plan's fair value hierarchy for those assets measured at fair value by general type of the securities held as of December 31, 2021 and 2020:

Fair Value Measurements at December 31, 2021				
	(Level 1)	(Level 2)	(Level 3)	Total
Registered investment companies	\$ 2,562,973	\$ -	\$ -	\$ 2,562,973
General account	-	22,903	-	22,903
Investments at fair value	<u>\$ 2,562,973</u>	<u>\$ 22,903</u>	<u>\$ -</u>	<u>\$ 2,585,876</u>

Fair Value Measurements at December 31, 2020 (unaudited)				
	(Level 1)	(Level 2)	(Level 3)	Total
Registered investment companies	\$ 2,054,460	\$ -	\$ -	\$ 2,054,460
General account	-	12,423	-	12,423
Investments at fair value	<u>\$ 2,054,460</u>	<u>\$ 12,423</u>	<u>\$ -</u>	<u>\$ 2,066,883</u>

NOTE 5 - GROUP ANNUITY CONTRACT

The Plan has entered into a group annuity contract with Transamerica, the trustee of the Plan, called Transamerica Stable Value Core Option fund. The group annuity contract is valued at fair value by discounting the related cash flows based on current yields of similar instruments with comparable durations considering the credit-worthiness of the issuer. The contract is credited with earnings on the underlying investments and charged for participant withdrawals and administrative fees. The average yield on the general account was 0.75% and 0.83% for 2021 and 2020, respectively. The credited interest rate is set monthly.

NOTE 5 - TAX STATUS

The Internal Revenue Service ("IRS") has determined and informed the plan sponsor by a letter dated March 31, 2014, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). Although the Plan has been amended since receiving the determination letter, the Plan administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC and therefore believe that the Plan is qualified and the related trust is tax-exempt.

DOMINION GROUP 401(k) PLAN
Notes to Financial Statements (Continued)

NOTE 6 - TAX STATUS - (Continued)

The Plan recognizes tax loss contingencies when it is probable that a liability has been incurred and the amount of the loss can be reasonably estimated. The amount recognized would be subject to estimates and management's judgment with respect to the likely outcome of each uncertain tax position. Although the tax years ending December 31, 2020 through December 31, 2021 remain open for examination by various taxing authorities, it is management's opinion that no significant uncertain tax positions remain open at December 31, 2021.

NOTE 7 - PARTIES-IN-INTEREST

Certain administrative functions are performed by officers or employees of the Employers. No such officer or employee receives compensation from the Plan. Costs incurred for audit, legal, and other administrative functions are paid by the Employers on behalf of the Plan. There were no financial transactions recorded between the Company and the Plan other than contributions made by the Company to the Plan. The Plan invests in funds management by Transamerica. Transamerica acts as a contract holder and record keeper for those investments as defined by the Plan. Transactions in such investments qualify as party-in-interest transactions, which are exempt from prohibited transactions rules. Other parties in interest include Reliance Trust Company who serves as the trustee of the Plan, Transamerica Retirement Solutions, LLC who serves as the recordkeeper of the Plan and Rodefer Moss & Co, PLLC who serves as the auditor of the Plan.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Employers and the Plan Sponsor have the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event of Plan termination, participants would become 100% vested in their company contributions.

NOTE 9 - RISKS AND UNCERTAINTIES

The Plan's investments are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with its investments, it is at least reasonably possible that changes in the value of investments will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

On March 11, 2020, the World Health Organization designated COVID-19 a world health pandemic. The pandemic has impacted the global economy resulting in substantial volatility which has negatively impacted some financial markets. As a result, the Plan's investment portfolio incurred significant volatility during the year ended December 31, 2021 and 2020. Because the values of the Plan's individual investments have and will fluctuate in response to changing market conditions, the amount of losses recognized in subsequent periods cannot be determined.

On March 27, 2020, President Trump signed into laws the "Coronavirus Aid, Relief, and Economic Security ("CARES") Act." The CARES Act, among other things, includes several relief provisions available to tax-qualified retirement plans and their participants. Plan management is currently evaluating the impact of the CARES Act on the Plan's financial statements

SUPPLEMENTAL SCHEDULES



DOMINION GROUP 401(k) PLAN
 EIN Number: 26-0639406 Plan Number: 001
 Schedule H, Line 4i - Schedule of Assets (Held at End of Year)
 December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
Registered Investment Companies				
	American Funds 2045 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	\$ 517,137
	American Funds 2050 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	491,295
	American Funds 2040 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	301,538
	American Funds 2030 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	218,780
	American Funds 2035 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	196,099
	Fidelity 500 Index	Mutual fund, due on demand, variable rate of return	@	175,974
	American Funds 2055 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	173,241
	American Funds 2025 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	101,972
	American Funds 2020 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	86,655
	Fidelity Mid Cap Index	Mutual fund, due on demand, variable rate of return	@	62,193
	American Funds 2015 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	48,044
	MFS Value R6	Mutual fund, due on demand, variable rate of return	@	42,157
	BlackRock Mid-Cap Growth Equity Instl	Mutual fund, due on demand, variable rate of return	@	40,659
	American Funds 2060 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	35,638
	DFA Real Estate Securities I	Mutual fund, due on demand, variable rate of return	@	16,846
	AB Small Cap Growth K	Mutual fund, due on demand, variable rate of return	@	14,919
	PGIM Global Total Return R6	Mutual fund, due on demand, variable rate of return	@	11,690
	Fidelity International Index	Mutual fund, due on demand, variable rate of return	@	10,761
	Franklin Growth R6	Mutual fund, due on demand, variable rate of return	@	6,657
	Baird Core Plus Bond Inst	Mutual fund, due on demand, variable rate of return	@	3,821
	American Funds 2010 Trgt Date Retire R6	Mutual fund, due on demand, variable rate of return	@	2,166
	DFA Inflation-Protected Securities I	Mutual fund, due on demand, variable rate of return	@	1,550
	American Century Emerging Markets R6	Mutual fund, due on demand, variable rate of return	@	1,277
	Fidelity Small Cap Index	Mutual fund, due on demand, variable rate of return	@	1,153
	PIMCO Income Instl	Mutual fund, due on demand, variable rate of return	@	699
	Undiscovered Mgrs Behavioral Value R6	Mutual fund, due on demand, variable rate of return	@	52
General Account				
*	Transamerica Stable Value Core Option	Investment Contract, due on demand, variable rate of return	@	22,903
Total Investments				<u>\$2,585,876</u>

* A party-in-interest as defined by ERISA.

@ The cost of participant-directed investments is not required to be disclosed.

DOMINION GROUP 401(k) PLAN
 EIN Number: 26-0639406 Plan Number: 001
 Schedule H, Line 4a - Schedule of Delinquent Participant Contributions
 December 31, 2021

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			Totally Fully Corrected Under VFCP and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	
Check here if Late Participant Loan Repayments are included: ☐				
\$ -	\$ -	\$ 2,264	\$ -	\$ -

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning _____ and ending _____	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☐ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description) _____
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	☐

Part II	Basic Plan Information—enter all requested information												
1a Name of plan _____ 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 50%;"></td> </tr> <tr> <td>1c Effective date of plan</td> <td></td> </tr> <tr> <td>2b Employer Identification Number (EIN)</td> <td></td> </tr> <tr> <td>2c Plan Sponsor's telephone number</td> <td></td> </tr> <tr> <td>2d Business code (see instructions)</td> <td></td> </tr> <tr> <td colspan="2" style="height: 40px;"></td> </tr> </table>	1b Three-digit plan number (PN) ▶		1c Effective date of plan		2b Employer Identification Number (EIN)		2c Plan Sponsor's telephone number		2d Business code (see instructions)			
1b Three-digit plan number (PN) ▶													
1c Effective date of plan													
2b Employer Identification Number (EIN)													
2c Plan Sponsor's telephone number													
2d Business code (see instructions)													

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE			
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☐ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number 																				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN																				
5 Total number of participants at the beginning of the plan year	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: center;">5</td> <td style="width: 90%;"></td> </tr> </table>	5																			
5																					
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year..... a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="background-color: #cccccc; height: 20px;"></td> </tr> <tr> <td style="width: 10%; text-align: center;">6a(1)</td> <td style="width: 90%;"></td> </tr> <tr> <td style="text-align: center;">6a(2)</td> <td></td> </tr> <tr> <td style="text-align: center;">6b</td> <td></td> </tr> <tr> <td style="text-align: center;">6c</td> <td></td> </tr> <tr> <td style="text-align: center;">6d</td> <td></td> </tr> <tr> <td style="text-align: center;">6e</td> <td></td> </tr> <tr> <td style="text-align: center;">6f</td> <td></td> </tr> <tr> <td style="text-align: center;">6g</td> <td></td> </tr> <tr> <td style="text-align: center;">6h</td> <td></td> </tr> </table>			6a(1)		6a(2)		6b		6c		6d		6e		6f		6g		6h	
6a(1)																					
6a(2)																					
6b																					
6c																					
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6e																					
6f																					
6g																					
6h																					
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; text-align: center;">7</td> <td style="width: 90%;"></td> </tr> </table>	7																			
7																					
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:																					
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☐ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☐ Trust (4) ☐ General assets of the sponsor																				
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)																					
a Pension Schedules (1) ☐ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☐ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☐ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)																				

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

[illegible]