Form 5500	Annual Return/Report of Employee Benefit Plan	OMB Nos. 1210-0110 1210-0089
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ **Complete all entries in accordance with the instructions to the Form 5500.**	**2021** **This Form is Open to Public Inspection**

Part I Annual Report Identification Information

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [X] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [X]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . ▶ [ ]

Part II Basic Plan Information—enter all requested information

1a Name of plan
MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(K) PLAN

1b Three-digit plan number (PN) ▶ 005

1c Effective date of plan 06/01/1989

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
MUSEUM OF SCIENCE AND INDUSTRY

5700 S. DUSABLE LAKE SHORE DRIVE
CHICAGO, IL 60637

2b Employer Identification Number (EIN) 36-2167797

2c Plan Sponsor's telephone number 773-947-6787

2d Business code (see instructions) 712100

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/17/2022	MARGO STERNE
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/17/2022	MARGO STERNE
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

3a Plan administrator's name and address [X] Same as Plan Sponsor	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: **a** Sponsor's name **c** Plan Name	**4b** EIN **4d** PN	
5 Total number of participants at the beginning of the plan year	**5**	484
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	257
a(2) Total number of active participants at the end of the plan year	**6a(2)**	218
b Retired or separated participants receiving benefits	**6b**	1
c Other retired or separated participants entitled to future benefits	**6c**	257
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	476
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	4
f Total. Add lines **6d** and **6e**.	**6f**	480
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	470
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2A 2E 2F 2G 2K 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)	**9b** Plan benefit arrangement (check all that apply)
(1) [ ] Insurance	**(1)** [ ] Insurance
(2) [ ] Code section 412(e)(3) insurance contracts	**(2)** [ ] Code section 412(e)(3) insurance contracts
(3) [X] Trust	**(3)** [X] Trust
(4) [ ] General assets of the sponsor	**(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] ___ **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [ ] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ……..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2021** **This Form is Open to Public Inspection.**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan
MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(K) PLAN

B Three-digit plan number (PN) ▶ 005

C Plan sponsor's name as shown on line 2a of Form 5500
MUSEUM OF SCIENCE AND INDUSTRY

D Employer Identification Number (EIN)
36-2167797

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

THE VANGUARD GROUP, INC.

23-1945930

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

THE VANGUARD GROUP, INC.

23-1945930

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 25 37 52 99	NONE	47987	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
THE VANGUARD GROUP, INC.	99	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DODGE & COX 94-1441976	10 BPS

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE H (Form 5500)	Financial Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ▶ **File as an attachment to Form 5500.**	**2021** **This Form is Open to Public Inspection**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan
MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(K) PLAN

B Three-digit plan number (PN) ▶ 005

C Plan sponsor's name as shown on line 2a of Form 5500
MUSEUM OF SCIENCE AND INDUSTRY

D Employer Identification Number (EIN)
36-2167797

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	545552	436061
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	35540048	34967821
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	36085600	35403882
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	36085600	35403882

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	420994	
(B) Participants	2a(1)(B)	1174837	
(C) Others (including rollovers)	2a(1)(C)	19381	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		1615212
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	23692	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		23692
(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	4687630	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		4687630
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		-602495
c Other income	2c		3129
d Total income. Add all **income** amounts in column (b) and enter total	2d		5727168
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	6360518	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)	781	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		6361299
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	47587	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		47587
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		6408886
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		-681718
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [X] Unmodified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) [X] DOL Regulation 2520.103-8 **(2)** [ ] DOL Regulation 2520.103-12(d) **(3)** [ ] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MITCHELL TITUS LLP **(2)** EIN: 13-2781641

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	

			Yes	No	Amount
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		3000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500)	Retirement Plan Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ **File as an attachment to Form 5500.**	**2021** **This Form is Open to Public Inspection.**

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan
MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(K) PLAN

B Three-digit plan number (PN) ▶ 005

C Plan sponsor's name as shown on line 2a of Form 5500
MUSEUM OF SCIENCE AND INDUSTRY

D Employer Identification Number (EIN)
36-2167797

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 23-2186884 _____

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information

(If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs

(see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment)	**14a**	
b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14b**	
c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

20 **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation_____

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

Financial Statements and Supplemental Schedule
Years Ended December 31, 2021 and 2020
With Independent Auditor's Report

MITCHELL TITUS
ACHIEVING EXCELLENCE TOGETHER

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

FINANCIAL STATEMENTS AND SUPPLEMENTAL SCHEDULE

DECEMBER 31, 2021 AND 2020

TABLE OF CONTENTS

	PAGE
INDEPENDENT AUDITOR'S REPORT	1 - 5
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS	6
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS	7
NOTES TO FINANCIAL STATEMENTS	8 - 14
SUPPLEMENTAL SCHEDULE:	
SCHEDULE H, ITEM 4(i)–SCHEDULE OF ASSETS (HELD AT END OF YEAR)	15

* Other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, as amended, have been omitted because they are not applicable.

MITCHELL TITUS

INDEPENDENT AUDITOR'S REPORT

To the Retirement Plan Committee of the
Museum of Science and Industry

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed an audit of the financial statements of the Museum of Science and Industry, Chicago 401(k) Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from Vanguard Fiduciary Trust Company (Vanguard), the trustee of the Plan and a qualified institution, as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 6 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and the procedures performed as described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section:

- The amounts and disclosures in the accompanying 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

141 West Jackson Blvd.
Chicago, IL 60604
T: +1 312 332 4964
F: +1 312 332 0181
mitchelltitus.com

MITCHELL TITUS

- The information in the accompanying 2021 financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are issued or are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all Plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but it is not absolute assurance and, therefore, is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists.

MITCHELL TITUS

The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material, if, there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

MITCHELL TITUS

Other Matters

2021 Supplemental Schedules Required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2021, is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

- The information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Auditor's Report on the 2020 Financial Statements

We were engaged to audit the 2020 financial statements of the Plan. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information certified by a qualified institution.

MITCHELL TITUS

In our report dated October 12, 2021, we indicated that *(a)* because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 financial statements, and (b) the form and content of the information included in the 2020 financial statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Mitchell & Titus, LLP

October 14, 2022

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

AS OF DECEMBER 31, 2021 AND 2020

	2021	2020
ASSETS:		
Investments at fair value:		
Registered investment companies	$ 34,967,821	$ 35,540,048
Receivable:		
Notes receivable from participants	436,061	545,552
NET ASSETS AVAILABLE FOR BENEFITS	**$ 35,403,882**	**$ 36,085,600**

See accompanying notes to the financial statements.

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

AS OF DECEMBER 31, 2021 AND 2020

	2021	2020
Additions:		
Contributions		
Employee contributions	$ 1,174,837	$ 1,540,836
Employee rollover contributions	19,381	57,567
Employer contributions	420,994	547,910
Total contributions	1,615,212	2,146,313
Interest income and dividends	4,687,630	1,207,266
Income on notes receivable from participants	23,692	29,916
Net appreciation (depreciation) in fair value of investments	(602,495)	3,212,727
Other income	3,129	51,375
	4,111,956	4,501,284
Total additions	5,727,168	6,647,597
Deductions:		
Benefit payments	6,361,299	3,589,929
Administrative expenses	47,587	108,817
Total deductions	6,408,886	3,698,746
Net increase (decrease)	(681,718)	2,948,851
Net assets available for benefits		
Beginning of year	36,085,600	33,136,749
End of year	**$ 35,403,882**	**$ 36,085,600**

See accompanying notes to the financial statements.

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2021 AND 2020

NOTE 1 - DESCRIPTION OF THE PLAN

The following description of the Museum of Science and Industry, Chicago 401(k) Plan (the Plan) provides only general information. Participants should refer to the Summary Plan Description for a more complete description of the Plan's provisions.

General

The Plan was adopted, effective June 1, 1989, as a defined contribution 401(a) plan and was formerly named the Museum of Science and Industry Retirement Income Savings Plan. In 2014, the 401(a) plan was amended and restated in the form of a Vanguard Prototype 401(k) plan. In 2015, the Museum of Science and Industry (the Museum) terminated its separate 403(b) Plan, which, upon termination, allowed participants to rollover their account to the 401(k) plan. Thereafter, the net assets of the 401(a) plan and the rollover distributions from the 403(b) plan were consolidated into the 401(k) plan administered by Vanguard Fiduciary Trust Company.

The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended. The Retirement Plan Committee oversees governance of the Plan. This committee also determines the appropriateness of the investment offerings, monitors investment performance and reports to the Board of Trustees. The general provisions of the Plan include the following:

Eligibility

All regular full-time employees who are 18 years of age or older are eligible to participate in the Plan after completing 30 days of service. All regular part-time employees who are 18 years of age or older are eligible to contribute upon completion of 1,000 hours of service during the eligibility computation period.

Contributions

The Plan is funded by employee contributions, employer matching contributions, and discretionary employer profit-sharing contributions. Upon meeting eligibility requirements, employees may contribute 1% to 50% of compensation on a pre-tax basis and/or as a Roth deferral. Also, the Plan provides that participants aged 50 (or who are projected to be age 50 or older by the end of the calendar year) and who are making deferral contributions in the Plan, may make a catch-up contribution up to the Internal Revenue Service (IRS) limit.

The Plan has adopted the Safe Harbor Plan Qualified Automatic Contribution Agreement, whereby the Museum matching contribution is 3.5% of a participant's non-overtime pay. The matching contribution is based on a two-tier calculation as follows:

First 1% of non-overtime pay	100% matching contribution
Next 2%, but less than or equal to 6% of non-overtime pay	50% matching contribution

NOTE 1 - DESCRIPTION OF THE PLAN (continued)

Contributions (continued)

Discretionary employer profit-sharing contributions are allocated using a pro rata formula. Under this formula, the employer contribution is divided among all eligible Plan participants based on their compensation as compared to all eligible participants' compensation. To be eligible to receive discretionary employer profit-sharing contributions, employees must complete one year of service. Employees will be credited with a year of service upon working 1,000 hours during the initial eligibility measuring period. The initial eligibility measuring period will be the 12-month period beginning with an employee's hire date. Employees who do not satisfy the hours requirements during that first measuring period will be credited with a year of service upon working 1,000 hours during the Plan year. Discretionary employer profit-sharing contributions were $0 in 2021 and 2020.

All contributions are subject to statutory limitations based on the IRS's regulations.

Auto Enrollment

The Plan includes an auto enrollment provision, whereby all newly eligible employees are automatically enrolled in the Plan, unless they affirmatively elect not to participate within 30 days of their eligibility date. The default deferral rate is 6% of eligible compensation. The contributions are automatically invested in a designated Vanguard Target Retirement Fund.

Auto Increase

The Plan includes an auto increase provision for employee contributions. Under the provision, employee contributions of eligible participants increase 1% each year until reaching a pre-tax deferral rate of 10%. The auto increase generally occurs as soon as possible after the first day of April each year. Participants may elect to opt out of the auto increase program at any time.

Participant Accounts

Separate accounts are maintained for each participant to record his or her share of contributions, rollovers, distributions, and income, gains and losses from investment of such contributions and rollovers.

Investment income or loss is allocated to participant accounts daily based on the number of units each participant holds in each investment fund and the daily price of each individual fund. Participants share only in the income, gains or losses of the investment fund(s) in which their account is invested. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Description of Fund Types

Participants may direct the investment of contributions to the Plan on their behalf among the investment options contained in the Plan's fund lineup. Participants may change investment directions with respect to their future Plan contributions or existing individual account balances at any time, as long as they act in accordance with the investment fund's prospectus or other governing document. The Plan's fund lineup includes various mutual funds that are traded on a national exchange. The fund lineup includes a variety of investment options that reflect the investment's objectives and policies, risk and return characteristics, operating expenses, and diversification of assets. Participants receive updates on fund information annually or upon request in the form of prospectuses and shareholder reports.

NOTE 1 - DESCRIPTION OF THE PLAN (continued)

Vesting

Participants are, at all times, fully vested in the rollover, employee contribution, and employer matching contribution portions of their accounts. The balance of the discretionary employer profit contributions and associated earnings shall vest in accordance with the schedule below based on employee years of service. A participant must work at least 1,000 hours during each Plan year to earn a year of vesting service.

Years of Service	Percentage Vested
Less than 2	20%
2 but less than 3	28%
3 but less than 4	44%
4 but less than 5	68%
5 or more	100%

Notes Receivable from Participants

Participants may borrow from their accounts a minimum of $1,000 up to a maximum equal to the lesser of $50,000, or 50% of their account balance. The notes are secured by the balance in the participant's account and bear interest at the prime rate plus 1%. Principal and interest are paid through monthly payroll deductions. Loans are secured by the balance in the participant's account, and interest rates range from 4.25% to 6.50%, maturing in 2022 through 2030.

Payment of Benefits

Upon termination of services or retirement, a participant may elect to receive his or her benefits in the form of a lump-sum payment, installment, or direct rollover into another qualified plan or IRA account.

Forfeitures

The nonvested portion of the discretionary employer profit-sharing contribution of terminated participants' accounts is provisionally forfeited. Forfeited accounts may be restored for participants rehired by the Museum. As of December 31, 2021 and 2020, forfeited nonvested accounts totaled $10,087 and $10,085, respectively. These accounts will be used to reduce future employer contributions or to pay certain administrative expenses of the Plan. In 2021 and 2020, $0 and $26,358, respectively, of forfeited nonvested accounts were utilized to reduce employer contributions.

Administration

The Plan is administered by the Retirement Plan Committee, which is appointed by the Board of Trustees of the Museum. Vanguard Fiduciary Trust Company is the Plan Trustee and record-keeper.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accounts of the Plan are maintained on an accrual basis of accounting. The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America (U.S. GAAP). A description of those accounting policies of particular significance follows.

Estimates

The preparation of financial statements in conformity U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's management determines the Plan's valuation policies utilizing information provided by the asset custodian and Trustee. See Note 3 for discussion on investments and fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based on the terms of the Plan document. No allowance for credit losses has been recorded as of December 31, 2021 or 2020.

Contributions

Employer contributions are recorded in the period in which they become obligations of the Museum.

Payment of Benefits

Benefits are recorded when distributed. Amounts allocated to accounts of participants who have elected to receive benefits from the Plan but have yet to be paid, if any, have been excluded from benefit payments. Future distributions will be reflected in the statement of changes in net assets available for benefits for the period when paid.

Expenses

All Plan expenses are paid by the Plan, either through direct debit from participant accounts or as part of investment management expenses.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Risks and Uncertainties

The trust that holds the Plan's assets invests in several mutual funds. Investment securities are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the fair values of investment securities will occur in the near term. The changes could materially affect participants' account balances and the amounts reported in the financial statements.

NOTE 3 - FAIR VALUE MEASUREMENTS

The Plan follows the guidance issued under the *Fair Value Measurements and Disclosures* topic of the Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, which defines fair value, establishes a framework for measuring fair value, and enhances disclosures about fair value measurements required under other accounting pronouncements, but does not change existing guidance as to whether or not an instrument is carried at fair value.

The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). Inputs are broadly defined as assumptions that market participants would use in pricing an asset or liability. The fair value hierarchy is broken down into three levels based on the transparency of inputs as follows:

Level 1 — Quoted prices are available in active markets for identical assets or liabilities as of the report date. A quoted price for an identical asset or liability in an active market provides the most reliable fair value measurement because it is directly observable to the market.

Level 2 — Pricing inputs are other than quoted prices in active markets, which are either directly or indirectly observable as of the report date. The nature of these securities include investments for which quoted prices are available but traded less frequently and investments that are fairly valued using other securities, the parameters of which can be directly observed.

Level 3 — Securities that have little to no pricing observability as of the report date. These securities are measured using management's best estimate of fair value, where the inputs into the determination of fair value are not observable and require significant management judgment or estimation.

The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. The valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Mutual funds (registered investment companies): valued at the daily closing price as reported by the fund. The mutual funds held by the Plan are primarily open-end mutual funds that are registered with the SEC. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded and have been categorized as Level 1.

NOTE 3 - FAIR VALUE MEASUREMENTS (continued)

The following tables represent Plan assets measured and recorded at fair value on a recurring basis and their level within the fair value hierarchy as of December 31, 2021 and 2020:

	Level 1	Level 2	Level 3	Balance as of December 31, 2021
Mutual funds	$34,967,821	$ -	$ -	$34,967,821
Total investments	$34,967,821	$ -	$ -	$34,967,821

	Level 1	Level 2	Level 3	Balance as of December 31, 2020
Mutual funds	$35,540,048	$ -	$ -	$35,540,048
Total investments	$35,540,048	$ -	$ -	$35,540,048

NOTE 4 - PLAN TERMINATION

Although it has not expressed any such intent, the Museum has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of the ERISA. In the event the Plan is terminated, the participants will become fully vested and the assets of the Plan will be distributed to the participants in proportion to their respective interests in the Plan.

NOTE 5 - TAX STATUS

The Plan, a prototype plan, relies on the IRS opinion letter dated June 30, 2020, issued to The Vanguard Group in support of the Plan's tax-exempt status. The IRS letter indicated that the prototype plan adopted by the Plan, as designed, was in compliance with applicable requirements of the Internal Revenue Code (Code). Although the Plan has been amended from the original specimen document, the Plan administrator believes that the Plan is designed and is currently being operated in accordance with the applicable requirements of the Code and, therefore, believes that the Plan is qualified and the related trust is tax exempt.

U.S. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability for any uncertain tax position that is more-likely-than-not to be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing authorities; however, there are currently no audits for any tax periods in progress.

NOTE 6 - INFORMATION CERTIFIED BY THE TRUSTEE

Assets held in trust by Vanguard Fiduciary Trust Company as of December 31, 2021 and 2020 are recorded at market value. The Plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

NOTE 6 - INFORMATION CERTIFIED BY THE TRUSTEE (continued)

Accordingly, the Plan administrator has obtained certification from the Trustee that the following data included in the accompanying financial statements and supplemental schedule is complete and accurate:

- Investments as shown in the statements of net assets available for plan benefits as of December 31, 2021 and 2020
- Notes receivable from participants as shown in the statements of net assets available for plan benefits as of December 31, 2021 and 2020
- Investment income as shown in the statements of changes in net assets available for plan benefits for the years ended December 31, 2021 and 2020
- Schedule of assets (held at end of year) as of December 31, 2021

The Plan's independent accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and schedules.

NOTE 7 – RELATED-PARTY TRANSACTIONS

Certain Plan investments are shares of mutual funds managed by Vanguard Fiduciary Trust Company. Vanguard is the Trustee and record-keeper for the Plan. These transactions qualify as party-in-interest transactions that are exempt from the prohibited transactions rules of ERISA.

NOTE 8 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

There were no reconciling items involving the amounts shown per the financial statements and Form 5500 for the years ended December 31, 2021 and 2020.

NOTE 9 - SUBSEQUENT EVENTS

In accordance with FASB ASC 855, the Plan's management has evaluated subsequent events through October 14, 2022, which is the date the financial statements were available to be issued, and no material events were noted.

SUPPLEMENTAL SCHEDULE

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

SCHEDULE H, ITEM 4(i)–SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2021

(EIN: 36-2167797; PLAN #: 005)

(a)	Identity of Issuer/Description of Investments (b)(c)	No. of Units or Shares	*** Cost (d)	Current Value (e)
	Registered Investment Companies:			
	American Beacon Small Cap Value; Rclass R5	2,281		$ 65,430
	American Century Growth Fund; Class I	2,765		153,423
	ClearBridge Mid Cap Fund; Class IS	1,805		89,045
	Dodge & Cox Balanced Fund	851		93,060
	Dodge & Cox International Stock Fund	1,405		66,451
	Harbor Capital Appreciation Fund, Institutional Class	2,449		246,784
	Hartford MidCap Fund; Class Y	2,088		89,673
	Hotchkis and Wiley Mid Cap Value Fund; Class I	200		8,860
	T. Rowe Price Small-Cap Stock Fund	1,710		119,290
*	Vanguard Cash Reserves Federal MM Fund Admiral Shares	151,978		151,978
*	Vanguard Intermediate-Term Investment-Grade Fund: Adm Shr	17,127		170,412
*	Vanguard Target Retirement 2015 Fund **	230,058		3,361,154
*	Vanguard Target Retirement 2020 Fund **	75,353		2,337,453
*	Vanguard Target Retirement 2025 Fund **	287,625		5,850,293
*	Vanguard Target Retirement 2030 Fund **	85,238,912		3,274,879
*	Vanguard Target Retirement 2035 Fund **	206,553		4,924,234
*	Vanguard Target Retirement 2040 Fund **	125,218		5,262,925
*	Vanguard Target Retirement 2045 Fund **	80,470		2,283,745
*	Vanguard Target Retirement 2050 Fund **	48,566		2,277,762
*	Vanguard Target Retirement 2055 Fund	25,588		1,336,218
*	Vanguard Target Retirement 2060 Fund	6,724		322,999
*	Vanguard Target Retirement 2065 Fund	236		7,414
*	Vanguard Target Retirement Income	13,768		199,085
*	Vanguard Total Bond Market Index Fund Admiral Shares	38,264		428,177
*	Vanguard Total International Bond Index Fund Admiral Shr	9,991		220,405
*	Vanguard Total International Stock Index Fund Admiral Shr	10,555		360,633
*	Vanguard Total Stock Market Index Fund Admiral Shares	8,713		1,024,356
*	Vanguard Windsor II Fund Admiral Shares	2,952		241,684
				34,967,821
*	Notes Receivable from Participants (Interest rate: 4.25% - 6.5%; maturing in 2022 - 2030)			436,061
	TOTAL INVESTMENTS			$ 35,403,882

* Party-in-interest transactions.
** Fund includes 5% or more of net assets available for benefits.
*** Omitted with respect to participant-directed transactions.

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

Financial Statements and Supplemental Schedule
Years Ended December 31, 2021 and 2020
With Independent Auditor's Report

MITCHELL TITUS
ACHIEVING EXCELLENCE TOGETHER

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

FINANCIAL STATEMENTS AND SUPPLEMENTAL SCHEDULE

DECEMBER 31, 2021 AND 2020

TABLE OF CONTENTS

	PAGE
INDEPENDENT AUDITOR'S REPORT	1 - 5
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS	6
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS	7
NOTES TO FINANCIAL STATEMENTS	8 - 14
SUPPLEMENTAL SCHEDULE:	
SCHEDULE H, ITEM 4(i)–SCHEDULE OF ASSETS (HELD AT END OF YEAR)	15

* Other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, as amended, have been omitted because they are not applicable.

MITCHELL TITUS

INDEPENDENT AUDITOR'S REPORT

To the Retirement Plan Committee of the
Museum of Science and Industry

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed an audit of the financial statements of the Museum of Science and Industry, Chicago 401(k) Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from Vanguard Fiduciary Trust Company (Vanguard), the trustee of the Plan and a qualified institution, as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 6 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and the procedures performed as described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section:

- The amounts and disclosures in the accompanying 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

141 West Jackson Blvd.
Chicago, IL 60604
T: +1 312 332 4964
F: +1 312 332 0181
mitchelltitus.com

MITCHELL TITUS

- The information in the accompanying 2021 financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are issued or are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all Plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but it is not absolute assurance and, therefore, is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists.

MITCHELL TITUS

The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material, if, there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

MITCHELL TITUS

Other Matters

2021 Supplemental Schedules Required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2021, is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

- The information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Auditor's Report on the 2020 Financial Statements

We were engaged to audit the 2020 financial statements of the Plan. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information certified by a qualified institution.

MITCHELL TITUS

In our report dated October 12, 2021, we indicated that *(a)* because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 financial statements, and (b) the form and content of the information included in the 2020 financial statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Mitchell & Titus, LLP

October 14, 2022

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

AS OF DECEMBER 31, 2021 AND 2020

	2021	2020
ASSETS:		
Investments at fair value:		
Registered investment companies	$ 34,967,821	$ 35,540,048
Receivable:		
Notes receivable from participants	436,061	545,552
NET ASSETS AVAILABLE FOR BENEFITS	**$ 35,403,882**	**$ 36,085,600**

See accompanying notes to the financial statements.

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

AS OF DECEMBER 31, 2021 AND 2020

	2021	2020
Additions:		
Contributions		
Employee contributions	$ 1,174,837	$ 1,540,836
Employee rollover contributions	19,381	57,567
Employer contributions	420,994	547,910
Total contributions	1,615,212	2,146,313
Interest income and dividends	4,687,630	1,207,266
Income on notes receivable from participants	23,692	29,916
Net appreciation (depreciation) in fair value of investments	(602,495)	3,212,727
Other income	3,129	51,375
	4,111,956	4,501,284
Total additions	5,727,168	6,647,597
Deductions:		
Benefit payments	6,361,299	3,589,929
Administrative expenses	47,587	108,817
Total deductions	6,408,886	3,698,746
Net increase (decrease)	(681,718)	2,948,851
Net assets available for benefits		
Beginning of year	36,085,600	33,136,749
End of year	**$ 35,403,882**	**$ 36,085,600**

See accompanying notes to the financial statements.

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2021 AND 2020

NOTE 1 - DESCRIPTION OF THE PLAN

The following description of the Museum of Science and Industry, Chicago 401(k) Plan (the Plan) provides only general information. Participants should refer to the Summary Plan Description for a more complete description of the Plan's provisions.

General

The Plan was adopted, effective June 1, 1989, as a defined contribution 401(a) plan and was formerly named the Museum of Science and Industry Retirement Income Savings Plan. In 2014, the 401(a) plan was amended and restated in the form of a Vanguard Prototype 401(k) plan. In 2015, the Museum of Science and Industry (the Museum) terminated its separate 403(b) Plan, which, upon termination, allowed participants to rollover their account to the 401(k) plan. Thereafter, the net assets of the 401(a) plan and the rollover distributions from the 403(b) plan were consolidated into the 401(k) plan administered by Vanguard Fiduciary Trust Company.

The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended. The Retirement Plan Committee oversees governance of the Plan. This committee also determines the appropriateness of the investment offerings, monitors investment performance and reports to the Board of Trustees. The general provisions of the Plan include the following:

Eligibility

All regular full-time employees who are 18 years of age or older are eligible to participate in the Plan after completing 30 days of service. All regular part-time employees who are 18 years of age or older are eligible to contribute upon completion of 1,000 hours of service during the eligibility computation period.

Contributions

The Plan is funded by employee contributions, employer matching contributions, and discretionary employer profit-sharing contributions. Upon meeting eligibility requirements, employees may contribute 1% to 50% of compensation on a pre-tax basis and/or as a Roth deferral. Also, the Plan provides that participants aged 50 (or who are projected to be age 50 or older by the end of the calendar year) and who are making deferral contributions in the Plan, may make a catch-up contribution up to the Internal Revenue Service (IRS) limit.

The Plan has adopted the Safe Harbor Plan Qualified Automatic Contribution Agreement, whereby the Museum matching contribution is 3.5% of a participant's non-overtime pay. The matching contribution is based on a two-tier calculation as follows:

First 1% of non-overtime pay	100% matching contribution
Next 2%, but less than or equal to 6% of non-overtime pay	50% matching contribution

NOTE 1 - DESCRIPTION OF THE PLAN (continued)

Contributions (continued)

Discretionary employer profit-sharing contributions are allocated using a pro rata formula. Under this formula, the employer contribution is divided among all eligible Plan participants based on their compensation as compared to all eligible participants' compensation. To be eligible to receive discretionary employer profit-sharing contributions, employees must complete one year of service. Employees will be credited with a year of service upon working 1,000 hours during the initial eligibility measuring period. The initial eligibility measuring period will be the 12-month period beginning with an employee's hire date. Employees who do not satisfy the hours requirements during that first measuring period will be credited with a year of service upon working 1,000 hours during the Plan year. Discretionary employer profit-sharing contributions were $0 in 2021 and 2020.

All contributions are subject to statutory limitations based on the IRS's regulations.

Auto Enrollment

The Plan includes an auto enrollment provision, whereby all newly eligible employees are automatically enrolled in the Plan, unless they affirmatively elect not to participate within 30 days of their eligibility date. The default deferral rate is 6% of eligible compensation. The contributions are automatically invested in a designated Vanguard Target Retirement Fund.

Auto Increase

The Plan includes an auto increase provision for employee contributions. Under the provision, employee contributions of eligible participants increase 1% each year until reaching a pre-tax deferral rate of 10%. The auto increase generally occurs as soon as possible after the first day of April each year. Participants may elect to opt out of the auto increase program at any time.

Participant Accounts

Separate accounts are maintained for each participant to record his or her share of contributions, rollovers, distributions, and income, gains and losses from investment of such contributions and rollovers.

Investment income or loss is allocated to participant accounts daily based on the number of units each participant holds in each investment fund and the daily price of each individual fund. Participants share only in the income, gains or losses of the investment fund(s) in which their account is invested. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Description of Fund Types

Participants may direct the investment of contributions to the Plan on their behalf among the investment options contained in the Plan's fund lineup. Participants may change investment directions with respect to their future Plan contributions or existing individual account balances at any time, as long as they act in accordance with the investment fund's prospectus or other governing document. The Plan's fund lineup includes various mutual funds that are traded on a national exchange. The fund lineup includes a variety of investment options that reflect the investment's objectives and policies, risk and return characteristics, operating expenses, and diversification of assets. Participants receive updates on fund information annually or upon request in the form of prospectuses and shareholder reports.

NOTE 1 - DESCRIPTION OF THE PLAN (continued)

Vesting

Participants are, at all times, fully vested in the rollover, employee contribution, and employer matching contribution portions of their accounts. The balance of the discretionary employer profit contributions and associated earnings shall vest in accordance with the schedule below based on employee years of service. A participant must work at least 1,000 hours during each Plan year to earn a year of vesting service.

Years of Service	Percentage Vested
Less than 2	20%
2 but less than 3	28%
3 but less than 4	44%
4 but less than 5	68%
5 or more	100%

Notes Receivable from Participants

Participants may borrow from their accounts a minimum of $1,000 up to a maximum equal to the lesser of $50,000, or 50% of their account balance. The notes are secured by the balance in the participant's account and bear interest at the prime rate plus 1%. Principal and interest are paid through monthly payroll deductions. Loans are secured by the balance in the participant's account, and interest rates range from 4.25% to 6.50%, maturing in 2022 through 2030.

Payment of Benefits

Upon termination of services or retirement, a participant may elect to receive his or her benefits in the form of a lump-sum payment, installment, or direct rollover into another qualified plan or IRA account.

Forfeitures

The nonvested portion of the discretionary employer profit-sharing contribution of terminated participants' accounts is provisionally forfeited. Forfeited accounts may be restored for participants rehired by the Museum. As of December 31, 2021 and 2020, forfeited nonvested accounts totaled $10,087 and $10,085, respectively. These accounts will be used to reduce future employer contributions or to pay certain administrative expenses of the Plan. In 2021 and 2020, $0 and $26,358, respectively, of forfeited nonvested accounts were utilized to reduce employer contributions.

Administration

The Plan is administered by the Retirement Plan Committee, which is appointed by the Board of Trustees of the Museum. Vanguard Fiduciary Trust Company is the Plan Trustee and record-keeper.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accounts of the Plan are maintained on an accrual basis of accounting. The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America (U.S. GAAP). A description of those accounting policies of particular significance follows.

Estimates

The preparation of financial statements in conformity U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's management determines the Plan's valuation policies utilizing information provided by the asset custodian and Trustee. See Note 3 for discussion on investments and fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based on the terms of the Plan document. No allowance for credit losses has been recorded as of December 31, 2021 or 2020.

Contributions

Employer contributions are recorded in the period in which they become obligations of the Museum.

Payment of Benefits

Benefits are recorded when distributed. Amounts allocated to accounts of participants who have elected to receive benefits from the Plan but have yet to be paid, if any, have been excluded from benefit payments. Future distributions will be reflected in the statement of changes in net assets available for benefits for the period when paid.

Expenses

All Plan expenses are paid by the Plan, either through direct debit from participant accounts or as part of investment management expenses.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Risks and Uncertainties

The trust that holds the Plan's assets invests in several mutual funds. Investment securities are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the fair values of investment securities will occur in the near term. The changes could materially affect participants' account balances and the amounts reported in the financial statements.

NOTE 3 - FAIR VALUE MEASUREMENTS

The Plan follows the guidance issued under the *Fair Value Measurements and Disclosures* topic of the Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, which defines fair value, establishes a framework for measuring fair value, and enhances disclosures about fair value measurements required under other accounting pronouncements, but does not change existing guidance as to whether or not an instrument is carried at fair value.

The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). Inputs are broadly defined as assumptions that market participants would use in pricing an asset or liability. The fair value hierarchy is broken down into three levels based on the transparency of inputs as follows:

Level 1 — Quoted prices are available in active markets for identical assets or liabilities as of the report date. A quoted price for an identical asset or liability in an active market provides the most reliable fair value measurement because it is directly observable to the market.

Level 2 — Pricing inputs are other than quoted prices in active markets, which are either directly or indirectly observable as of the report date. The nature of these securities include investments for which quoted prices are available but traded less frequently and investments that are fairly valued using other securities, the parameters of which can be directly observed.

Level 3 — Securities that have little to no pricing observability as of the report date. These securities are measured using management's best estimate of fair value, where the inputs into the determination of fair value are not observable and require significant management judgment or estimation.

The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. The valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Mutual funds (registered investment companies): valued at the daily closing price as reported by the fund. The mutual funds held by the Plan are primarily open-end mutual funds that are registered with the SEC. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded and have been categorized as Level 1.

NOTE 3 - FAIR VALUE MEASUREMENTS (continued)

The following tables represent Plan assets measured and recorded at fair value on a recurring basis and their level within the fair value hierarchy as of December 31, 2021 and 2020:

	Level 1	Level 2	Level 3	Balance as of December 31, 2021
Mutual funds	$34,967,821	$ -	$ -	$34,967,821
Total investments	$34,967,821	$ -	$ -	$34,967,821

	Level 1	Level 2	Level 3	Balance as of December 31, 2020
Mutual funds	$35,540,048	$ -	$ -	$35,540,048
Total investments	$35,540,048	$ -	$ -	$35,540,048

NOTE 4 - PLAN TERMINATION

Although it has not expressed any such intent, the Museum has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of the ERISA. In the event the Plan is terminated, the participants will become fully vested and the assets of the Plan will be distributed to the participants in proportion to their respective interests in the Plan.

NOTE 5 - TAX STATUS

The Plan, a prototype plan, relies on the IRS opinion letter dated June 30, 2020, issued to The Vanguard Group in support of the Plan's tax-exempt status. The IRS letter indicated that the prototype plan adopted by the Plan, as designed, was in compliance with applicable requirements of the Internal Revenue Code (Code). Although the Plan has been amended from the original specimen document, the Plan administrator believes that the Plan is designed and is currently being operated in accordance with the applicable requirements of the Code and, therefore, believes that the Plan is qualified and the related trust is tax exempt.

U.S. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability for any uncertain tax position that is more-likely-than-not to be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing authorities; however, there are currently no audits for any tax periods in progress.

NOTE 6 - INFORMATION CERTIFIED BY THE TRUSTEE

Assets held in trust by Vanguard Fiduciary Trust Company as of December 31, 2021 and 2020 are recorded at market value. The Plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

NOTE 6 - INFORMATION CERTIFIED BY THE TRUSTEE (continued)

Accordingly, the Plan administrator has obtained certification from the Trustee that the following data included in the accompanying financial statements and supplemental schedule is complete and accurate:

- Investments as shown in the statements of net assets available for plan benefits as of December 31, 2021 and 2020
- Notes receivable from participants as shown in the statements of net assets available for plan benefits as of December 31, 2021 and 2020
- Investment income as shown in the statements of changes in net assets available for plan benefits for the years ended December 31, 2021 and 2020
- Schedule of assets (held at end of year) as of December 31, 2021

The Plan's independent accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and schedules.

NOTE 7 – RELATED-PARTY TRANSACTIONS

Certain Plan investments are shares of mutual funds managed by Vanguard Fiduciary Trust Company. Vanguard is the Trustee and record-keeper for the Plan. These transactions qualify as party-in-interest transactions that are exempt from the prohibited transactions rules of ERISA.

NOTE 8 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

There were no reconciling items involving the amounts shown per the financial statements and Form 5500 for the years ended December 31, 2021 and 2020.

NOTE 9 - SUBSEQUENT EVENTS

In accordance with FASB ASC 855, the Plan's management has evaluated subsequent events through October 14, 2022, which is the date the financial statements were available to be issued, and no material events were noted.

SUPPLEMENTAL SCHEDULE

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

SCHEDULE H, ITEM 4(i)–SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2021

(EIN: 36-2167797; PLAN #: 005)

(a)	Identity of Issuer/Description of Investments (b)(c)	No. of Units or Shares	*** Cost (d)	Current Value (e)
	Registered Investment Companies:			
	American Beacon Small Cap Value; Rclass R5	2,281		$ 65,430
	American Century Growth Fund; Class I	2,765		153,423
	ClearBridge Mid Cap Fund; Class IS	1,805		89,045
	Dodge & Cox Balanced Fund	851		93,060
	Dodge & Cox International Stock Fund	1,405		66,451
	Harbor Capital Appreciation Fund, Institutional Class	2,449		246,784
	Hartford MidCap Fund; Class Y	2,088		89,673
	Hotchkis and Wiley Mid Cap Value Fund; Class I	200		8,860
	T. Rowe Price Small-Cap Stock Fund	1,710		119,290
*	Vanguard Cash Reserves Federal MM Fund Admiral Shares	151,978		151,978
*	Vanguard Intermediate-Term Investment-Grade Fund: Adm Shr	17,127		170,412
*	Vanguard Target Retirement 2015 Fund **	230,058		3,361,154
*	Vanguard Target Retirement 2020 Fund **	75,353		2,337,453
*	Vanguard Target Retirement 2025 Fund **	287,625		5,850,293
*	Vanguard Target Retirement 2030 Fund **	85,238,912		3,274,879
*	Vanguard Target Retirement 2035 Fund **	206,553		4,924,234
*	Vanguard Target Retirement 2040 Fund **	125,218		5,262,925
*	Vanguard Target Retirement 2045 Fund **	80,470		2,283,745
*	Vanguard Target Retirement 2050 Fund **	48,566		2,277,762
*	Vanguard Target Retirement 2055 Fund	25,588		1,336,218
*	Vanguard Target Retirement 2060 Fund	6,724		322,999
*	Vanguard Target Retirement 2065 Fund	236		7,414
*	Vanguard Target Retirement Income	13,768		199,085
*	Vanguard Total Bond Market Index Fund Admiral Shares	38,264		428,177
*	Vanguard Total International Bond Index Fund Admiral Shr	9,991		220,405
*	Vanguard Total International Stock Index Fund Admiral Shr	10,555		360,633
*	Vanguard Total Stock Market Index Fund Admiral Shares	8,713		1,024,356
*	Vanguard Windsor II Fund Admiral Shares	2,952		241,684
				34,967,821
*	Notes Receivable from Participants (Interest rate: 4.25% - 6.5%; maturing in 2022 - 2030)			436,061
	TOTAL INVESTMENTS			$ 35,403,882

* Party-in-interest transactions.
** Fund includes 5% or more of net assets available for benefits.
*** Omitted with respect to participant-directed transactions.

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

Financial Statements and Supplemental Schedule
Years Ended December 31, 2021 and 2020
With Independent Auditor's Report

MITCHELL TITUS
ACHIEVING EXCELLENCE TOGETHER

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

FINANCIAL STATEMENTS AND SUPPLEMENTAL SCHEDULE

DECEMBER 31, 2021 AND 2020

TABLE OF CONTENTS

	PAGE
INDEPENDENT AUDITOR'S REPORT	1 - 5
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS	6
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS	7
NOTES TO FINANCIAL STATEMENTS	8 - 14
SUPPLEMENTAL SCHEDULE:	
SCHEDULE H, ITEM 4(i)–SCHEDULE OF ASSETS (HELD AT END OF YEAR)	15

* Other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, as amended, have been omitted because they are not applicable.

MITCHELL TITUS

INDEPENDENT AUDITOR'S REPORT

To the Retirement Plan Committee of the
Museum of Science and Industry

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed an audit of the financial statements of the Museum of Science and Industry, Chicago 401(k) Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from Vanguard Fiduciary Trust Company (Vanguard), the trustee of the Plan and a qualified institution, as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 6 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and the procedures performed as described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section:

- The amounts and disclosures in the accompanying 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

141 West Jackson Blvd.
Chicago, IL 60604
T: +1 312 332 4964
F: +1 312 332 0181
mitchelltitus.com

MITCHELL TITUS

- The information in the accompanying 2021 financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are issued or are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all Plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but it is not absolute assurance and, therefore, is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists.

MITCHELL TITUS

The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material, if, there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

MITCHELL TITUS

Other Matters

2021 Supplemental Schedules Required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2021, is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

- The information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Auditor's Report on the 2020 Financial Statements

We were engaged to audit the 2020 financial statements of the Plan. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information certified by a qualified institution.

MITCHELL TITUS

In our report dated October 12, 2021, we indicated that *(a)* because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 financial statements, and (b) the form and content of the information included in the 2020 financial statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Mitchell & Titus, LLP

October 14, 2022

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

AS OF DECEMBER 31, 2021 AND 2020

	2021	2020
ASSETS:		
Investments at fair value:		
Registered investment companies	$ 34,967,821	$ 35,540,048
Receivable:		
Notes receivable from participants	436,061	545,552
NET ASSETS AVAILABLE FOR BENEFITS	**$ 35,403,882**	**$ 36,085,600**

See accompanying notes to the financial statements.

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

AS OF DECEMBER 31, 2021 AND 2020

	2021	2020
Additions:		
Contributions		
Employee contributions	$ 1,174,837	$ 1,540,836
Employee rollover contributions	19,381	57,567
Employer contributions	420,994	547,910
Total contributions	1,615,212	2,146,313
Interest income and dividends	4,687,630	1,207,266
Income on notes receivable from participants	23,692	29,916
Net appreciation (depreciation) in fair value of investments	(602,495)	3,212,727
Other income	3,129	51,375
	4,111,956	4,501,284
Total additions	5,727,168	6,647,597
Deductions:		
Benefit payments	6,361,299	3,589,929
Administrative expenses	47,587	108,817
Total deductions	6,408,886	3,698,746
Net increase (decrease)	(681,718)	2,948,851
Net assets available for benefits		
Beginning of year	36,085,600	33,136,749
End of year	**$ 35,403,882**	**$ 36,085,600**

See accompanying notes to the financial statements.

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2021 AND 2020

NOTE 1 - DESCRIPTION OF THE PLAN

The following description of the Museum of Science and Industry, Chicago 401(k) Plan (the Plan) provides only general information. Participants should refer to the Summary Plan Description for a more complete description of the Plan's provisions.

General

The Plan was adopted, effective June 1, 1989, as a defined contribution 401(a) plan and was formerly named the Museum of Science and Industry Retirement Income Savings Plan. In 2014, the 401(a) plan was amended and restated in the form of a Vanguard Prototype 401(k) plan. In 2015, the Museum of Science and Industry (the Museum) terminated its separate 403(b) Plan, which, upon termination, allowed participants to rollover their account to the 401(k) plan. Thereafter, the net assets of the 401(a) plan and the rollover distributions from the 403(b) plan were consolidated into the 401(k) plan administered by Vanguard Fiduciary Trust Company.

The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended. The Retirement Plan Committee oversees governance of the Plan. This committee also determines the appropriateness of the investment offerings, monitors investment performance and reports to the Board of Trustees. The general provisions of the Plan include the following:

Eligibility

All regular full-time employees who are 18 years of age or older are eligible to participate in the Plan after completing 30 days of service. All regular part-time employees who are 18 years of age or older are eligible to contribute upon completion of 1,000 hours of service during the eligibility computation period.

Contributions

The Plan is funded by employee contributions, employer matching contributions, and discretionary employer profit-sharing contributions. Upon meeting eligibility requirements, employees may contribute 1% to 50% of compensation on a pre-tax basis and/or as a Roth deferral. Also, the Plan provides that participants aged 50 (or who are projected to be age 50 or older by the end of the calendar year) and who are making deferral contributions in the Plan, may make a catch-up contribution up to the Internal Revenue Service (IRS) limit.

The Plan has adopted the Safe Harbor Plan Qualified Automatic Contribution Agreement, whereby the Museum matching contribution is 3.5% of a participant's non-overtime pay. The matching contribution is based on a two-tier calculation as follows:

First 1% of non-overtime pay	100% matching contribution
Next 2%, but less than or equal to 6% of non-overtime pay	50% matching contribution

NOTE 1 - DESCRIPTION OF THE PLAN (continued)

Contributions (continued)

Discretionary employer profit-sharing contributions are allocated using a pro rata formula. Under this formula, the employer contribution is divided among all eligible Plan participants based on their compensation as compared to all eligible participants' compensation. To be eligible to receive discretionary employer profit-sharing contributions, employees must complete one year of service. Employees will be credited with a year of service upon working 1,000 hours during the initial eligibility measuring period. The initial eligibility measuring period will be the 12-month period beginning with an employee's hire date. Employees who do not satisfy the hours requirements during that first measuring period will be credited with a year of service upon working 1,000 hours during the Plan year. Discretionary employer profit-sharing contributions were $0 in 2021 and 2020.

All contributions are subject to statutory limitations based on the IRS's regulations.

Auto Enrollment

The Plan includes an auto enrollment provision, whereby all newly eligible employees are automatically enrolled in the Plan, unless they affirmatively elect not to participate within 30 days of their eligibility date. The default deferral rate is 6% of eligible compensation. The contributions are automatically invested in a designated Vanguard Target Retirement Fund.

Auto Increase

The Plan includes an auto increase provision for employee contributions. Under the provision, employee contributions of eligible participants increase 1% each year until reaching a pre-tax deferral rate of 10%. The auto increase generally occurs as soon as possible after the first day of April each year. Participants may elect to opt out of the auto increase program at any time.

Participant Accounts

Separate accounts are maintained for each participant to record his or her share of contributions, rollovers, distributions, and income, gains and losses from investment of such contributions and rollovers.

Investment income or loss is allocated to participant accounts daily based on the number of units each participant holds in each investment fund and the daily price of each individual fund. Participants share only in the income, gains or losses of the investment fund(s) in which their account is invested. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Description of Fund Types

Participants may direct the investment of contributions to the Plan on their behalf among the investment options contained in the Plan's fund lineup. Participants may change investment directions with respect to their future Plan contributions or existing individual account balances at any time, as long as they act in accordance with the investment fund's prospectus or other governing document. The Plan's fund lineup includes various mutual funds that are traded on a national exchange. The fund lineup includes a variety of investment options that reflect the investment's objectives and policies, risk and return characteristics, operating expenses, and diversification of assets. Participants receive updates on fund information annually or upon request in the form of prospectuses and shareholder reports.

NOTE 1 - DESCRIPTION OF THE PLAN (continued)

Vesting

Participants are, at all times, fully vested in the rollover, employee contribution, and employer matching contribution portions of their accounts. The balance of the discretionary employer profit contributions and associated earnings shall vest in accordance with the schedule below based on employee years of service. A participant must work at least 1,000 hours during each Plan year to earn a year of vesting service.

Years of Service	Percentage Vested
Less than 2	20%
2 but less than 3	28%
3 but less than 4	44%
4 but less than 5	68%
5 or more	100%

Notes Receivable from Participants

Participants may borrow from their accounts a minimum of $1,000 up to a maximum equal to the lesser of $50,000, or 50% of their account balance. The notes are secured by the balance in the participant's account and bear interest at the prime rate plus 1%. Principal and interest are paid through monthly payroll deductions. Loans are secured by the balance in the participant's account, and interest rates range from 4.25% to 6.50%, maturing in 2022 through 2030.

Payment of Benefits

Upon termination of services or retirement, a participant may elect to receive his or her benefits in the form of a lump-sum payment, installment, or direct rollover into another qualified plan or IRA account.

Forfeitures

The nonvested portion of the discretionary employer profit-sharing contribution of terminated participants' accounts is provisionally forfeited. Forfeited accounts may be restored for participants rehired by the Museum. As of December 31, 2021 and 2020, forfeited nonvested accounts totaled $10,087 and $10,085, respectively. These accounts will be used to reduce future employer contributions or to pay certain administrative expenses of the Plan. In 2021 and 2020, $0 and $26,358, respectively, of forfeited nonvested accounts were utilized to reduce employer contributions.

Administration

The Plan is administered by the Retirement Plan Committee, which is appointed by the Board of Trustees of the Museum. Vanguard Fiduciary Trust Company is the Plan Trustee and record-keeper.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accounts of the Plan are maintained on an accrual basis of accounting. The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America (U.S. GAAP). A description of those accounting policies of particular significance follows.

Estimates

The preparation of financial statements in conformity U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's management determines the Plan's valuation policies utilizing information provided by the asset custodian and Trustee. See Note 3 for discussion on investments and fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based on the terms of the Plan document. No allowance for credit losses has been recorded as of December 31, 2021 or 2020.

Contributions

Employer contributions are recorded in the period in which they become obligations of the Museum.

Payment of Benefits

Benefits are recorded when distributed. Amounts allocated to accounts of participants who have elected to receive benefits from the Plan but have yet to be paid, if any, have been excluded from benefit payments. Future distributions will be reflected in the statement of changes in net assets available for benefits for the period when paid.

Expenses

All Plan expenses are paid by the Plan, either through direct debit from participant accounts or as part of investment management expenses.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Risks and Uncertainties

The trust that holds the Plan's assets invests in several mutual funds. Investment securities are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the fair values of investment securities will occur in the near term. The changes could materially affect participants' account balances and the amounts reported in the financial statements.

NOTE 3 - FAIR VALUE MEASUREMENTS

The Plan follows the guidance issued under the *Fair Value Measurements and Disclosures* topic of the Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, which defines fair value, establishes a framework for measuring fair value, and enhances disclosures about fair value measurements required under other accounting pronouncements, but does not change existing guidance as to whether or not an instrument is carried at fair value.

The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). Inputs are broadly defined as assumptions that market participants would use in pricing an asset or liability. The fair value hierarchy is broken down into three levels based on the transparency of inputs as follows:

Level 1 — Quoted prices are available in active markets for identical assets or liabilities as of the report date. A quoted price for an identical asset or liability in an active market provides the most reliable fair value measurement because it is directly observable to the market.

Level 2 — Pricing inputs are other than quoted prices in active markets, which are either directly or indirectly observable as of the report date. The nature of these securities include investments for which quoted prices are available but traded less frequently and investments that are fairly valued using other securities, the parameters of which can be directly observed.

Level 3 — Securities that have little to no pricing observability as of the report date. These securities are measured using management's best estimate of fair value, where the inputs into the determination of fair value are not observable and require significant management judgment or estimation.

The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. The valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020.

Mutual funds (registered investment companies): valued at the daily closing price as reported by the fund. The mutual funds held by the Plan are primarily open-end mutual funds that are registered with the SEC. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded and have been categorized as Level 1.

NOTE 3 - FAIR VALUE MEASUREMENTS (continued)

The following tables represent Plan assets measured and recorded at fair value on a recurring basis and their level within the fair value hierarchy as of December 31, 2021 and 2020:

	Level 1	Level 2	Level 3	Balance as of December 31, 2021
Mutual funds	$34,967,821	$ -	$ -	$34,967,821
Total investments	$34,967,821	$ -	$ -	$34,967,821

	Level 1	Level 2	Level 3	Balance as of December 31, 2020
Mutual funds	$35,540,048	$ -	$ -	$35,540,048
Total investments	$35,540,048	$ -	$ -	$35,540,048

NOTE 4 - PLAN TERMINATION

Although it has not expressed any such intent, the Museum has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of the ERISA. In the event the Plan is terminated, the participants will become fully vested and the assets of the Plan will be distributed to the participants in proportion to their respective interests in the Plan.

NOTE 5 - TAX STATUS

The Plan, a prototype plan, relies on the IRS opinion letter dated June 30, 2020, issued to The Vanguard Group in support of the Plan's tax-exempt status. The IRS letter indicated that the prototype plan adopted by the Plan, as designed, was in compliance with applicable requirements of the Internal Revenue Code (Code). Although the Plan has been amended from the original specimen document, the Plan administrator believes that the Plan is designed and is currently being operated in accordance with the applicable requirements of the Code and, therefore, believes that the Plan is qualified and the related trust is tax exempt.

U.S. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability for any uncertain tax position that is more-likely-than-not to be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing authorities; however, there are currently no audits for any tax periods in progress.

NOTE 6 - INFORMATION CERTIFIED BY THE TRUSTEE

Assets held in trust by Vanguard Fiduciary Trust Company as of December 31, 2021 and 2020 are recorded at market value. The Plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

NOTE 6 - INFORMATION CERTIFIED BY THE TRUSTEE (continued)

Accordingly, the Plan administrator has obtained certification from the Trustee that the following data included in the accompanying financial statements and supplemental schedule is complete and accurate:

- Investments as shown in the statements of net assets available for plan benefits as of December 31, 2021 and 2020
- Notes receivable from participants as shown in the statements of net assets available for plan benefits as of December 31, 2021 and 2020
- Investment income as shown in the statements of changes in net assets available for plan benefits for the years ended December 31, 2021 and 2020
- Schedule of assets (held at end of year) as of December 31, 2021

The Plan's independent accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and schedules.

NOTE 7 – RELATED-PARTY TRANSACTIONS

Certain Plan investments are shares of mutual funds managed by Vanguard Fiduciary Trust Company. Vanguard is the Trustee and record-keeper for the Plan. These transactions qualify as party-in-interest transactions that are exempt from the prohibited transactions rules of ERISA.

NOTE 8 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

There were no reconciling items involving the amounts shown per the financial statements and Form 5500 for the years ended December 31, 2021 and 2020.

NOTE 9 - SUBSEQUENT EVENTS

In accordance with FASB ASC 855, the Plan's management has evaluated subsequent events through October 14, 2022, which is the date the financial statements were available to be issued, and no material events were noted.

SUPPLEMENTAL SCHEDULE

MUSEUM OF SCIENCE AND INDUSTRY, CHICAGO 401(k) PLAN

SCHEDULE H, ITEM 4(i)–SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2021

(EIN: 36-2167797; PLAN #: 005)

(a)	Identity of Issuer/Description of Investments (b)(c)	No. of Units or Shares	*** Cost (d)	Current Value (e)
	Registered Investment Companies:			
	American Beacon Small Cap Value; Rclass R5	2,281		$ 65,430
	American Century Growth Fund; Class I	2,765		153,423
	ClearBridge Mid Cap Fund; Class IS	1,805		89,045
	Dodge & Cox Balanced Fund	851		93,060
	Dodge & Cox International Stock Fund	1,405		66,451
	Harbor Capital Appreciation Fund, Institutional Class	2,449		246,784
	Hartford MidCap Fund; Class Y	2,088		89,673
	Hotchkis and Wiley Mid Cap Value Fund; Class I	200		8,860
	T. Rowe Price Small-Cap Stock Fund	1,710		119,290
*	Vanguard Cash Reserves Federal MM Fund Admiral Shares	151,978		151,978
*	Vanguard Intermediate-Term Investment-Grade Fund: Adm Shr	17,127		170,412
*	Vanguard Target Retirement 2015 Fund **	230,058		3,361,154
*	Vanguard Target Retirement 2020 Fund **	75,353		2,337,453
*	Vanguard Target Retirement 2025 Fund **	287,625		5,850,293
*	Vanguard Target Retirement 2030 Fund **	85,238,912		3,274,879
*	Vanguard Target Retirement 2035 Fund **	206,553		4,924,234
*	Vanguard Target Retirement 2040 Fund **	125,218		5,262,925
*	Vanguard Target Retirement 2045 Fund **	80,470		2,283,745
*	Vanguard Target Retirement 2050 Fund **	48,566		2,277,762
*	Vanguard Target Retirement 2055 Fund	25,588		1,336,218
*	Vanguard Target Retirement 2060 Fund	6,724		322,999
*	Vanguard Target Retirement 2065 Fund	236		7,414
*	Vanguard Target Retirement Income	13,768		199,085
*	Vanguard Total Bond Market Index Fund Admiral Shares	38,264		428,177
*	Vanguard Total International Bond Index Fund Admiral Shr	9,991		220,405
*	Vanguard Total International Stock Index Fund Admiral Shr	10,555		360,633
*	Vanguard Total Stock Market Index Fund Admiral Shares	8,713		1,024,356
*	Vanguard Windsor II Fund Admiral Shares	2,952		241,684
				34,967,821
*	Notes Receivable from Participants (Interest rate: 4.25% - 6.5%; maturing in 2022 - 2030)			436,061
	TOTAL INVESTMENTS			$ 35,403,882

* Party-in-interest transactions.
** Fund includes 5% or more of net assets available for benefits.
*** Omitted with respect to participant-directed transactions.