

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A	This return/report is for: ☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
	☒ a single-employer plan ☐ a DFE (specify) _____
B	This return/report is: ☐ the first return/report ☐ an amended return/report ☐ the final return/report ☐ a short plan year return/report (less than 12 months)
C	If the plan is a collectively-bargained plan, check here. ▶ ☐
D	Check box if filing under: ☒ Form 5558 ☐ special extension (enter description) ☐ automatic extension ☐ the DFVC program
E	If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ▶ ☐

Part II	Basic Plan Information—enter all requested information
1a	Name of plan <u>SRI INTERNATIONAL BASIC RETIREMENT PLAN</u>
1b	Three-digit plan number (PN) ▶ <u>001</u>
1c	Effective date of plan <u>01/01/1960</u>
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>SRI INTERNATIONAL</u> <u>333 RAVENSWOOD AVE</u> <u>MENLO PARK, CA 94025</u>
2b	Employer Identification Number (EIN) <u>94-1160950</u>
2c	Plan Sponsor's telephone number <u>650-859-4653</u>
2d	Business code (see instructions) <u>541700</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/17/2022	SHURI E. JAMES
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/17/2022	SHURI E. JAMES
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	5645
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	1504
a(2) Total number of active participants at the end of the plan year		6a(2)	1741
b Retired or separated participants receiving benefits.....		6b	0
c Other retired or separated participants entitled to future benefits		6c	3749
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	5490
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	136
f Total. Add lines 6d and 6e		6f	5626
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	5367
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	98
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2A 2E 2F 2G 2J 2K 2R 2T 3F 3H			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021	
A Name of plan SRI INTERNATIONAL BASIC RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 SRI INTERNATIONAL	D Employer Identification Number (EIN) 94-1160950

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
TIAA-CREF

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
13-1624203	69345	406326	3036	01/01/2021	12/31/2021

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	207411579
5	Current value of plan's interest under this contract in separate accounts at year end.....	5	212346308

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☒ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year	7b	208371657
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c	Additions: (1) Contributions deposited during the year	7c(1)	756582	
	(2) Dividends and credits.....	7c(2)		
	(3) Interest credited during the year.....	7c(3)	7321664	
	(4) Transferred from separate account.....	7c(4)	11028285	
	(5) Other (specify below)	7c(5)	305183	

▶ MISCELLANEOUS CREDITS, INCLUDING INVESTMENT GAINS AND TRANSFERS FROM FULLY ALLOCATED CONTRACTS

(6) Total additions	7c(6)	19411714
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d Total of balance and additions (add lines 7b and 7c(6))	7d	227783371
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e Deductions:			
(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	10981131	
(2) Administration charge made by carrier.....	7e(2)	160916	
(3) Transferred to separate account.....	7e(3)	9215270	
(4) Other (specify below)	7e(4)	14475	

▶ MISCELLANEOUS DEBITS, INCLUDING INVESTMENT LOSSES AND TRANSFERS TO FULLY ALLOCATED CONTRACTS

(5) Total deductions	7e(5)	20371792
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f Balance at the end of the current year (subtract line 7e(5) from line 7d)	7f	207411579
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Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan SRI INTERNATIONAL BASIC RETIREMENT PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 SRI INTERNATIONAL	D Employer Identification Number (EIN) 94-1160950	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
TIAA
13-1624203
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MULTNOMAH GROUP, INC

20-0361079

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	INVESTMENT ADVISOR	112500	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SHEA LABAGH DOBBERSTEIN

94-2290202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	AUDITOR	32000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

TIAA

13-1624203

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 17 27 28 38 50 52 54 64 66	RECORDKEEPING	17069	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	SHEA LABAGH DOBBERSTEIN, CPA, INC.	b EIN:	94-2290202
c Position:	ACCOUNTANT		
d Address:	44 MONTGOMERY STREET SUITE 3200 SAN FRANCISCO, CA 94104	e Telephone:	415-397-4444

Explanation: THE AUDITOR WAS NOT TERMINATED. CBIZ MHM (04-3194769) ACQUIRED SHEA LABAGH DOBBERSTEIN, CPA, INC. (94-2290202) ON SEPTEMBER 1, 2021.

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan SRI INTERNATIONAL BASIC RETIREMENT PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 SRI INTERNATIONAL		
D Employer Identification Number (EIN) 94-1160950		

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	505776	0
(2) Participant contributions.....	1b(2)	81134	0
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	425400	422983
(9) Value of interest in common/collective trusts.....	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)	2654066	2896441
(11) Value of interest in master trust investment accounts.....	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	623066259	690368015
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	208371657	207411579
(15) Other.....	1c(15)	13571242	15436308

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	848675534 916535326
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	0 0
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	848675534 916535326

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	10681785
	(B) Participants	2a(1)(B)	2738541
	(C) Others (including rollovers).....	2a(1)(C)	754464
(2)	Noncash contributions.....	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	14174790
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	21995
	(F) Other	2b(1)(F)	7321664
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	7343659
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	11386401
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	11386401
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	0
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		451693
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		76665646
c Other income.....	2c		2144173
d Total income. Add all income amounts in column (b) and enter total.....	2d		112166362
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	41532999	
(2) To insurance carriers for the provision of benefits.....	2e(2)	2567706	
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		44100705
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		44296
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	144500	
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)		
(4) Other.....	2i(4)	17069	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		161569
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		44306570
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		67859792
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **MAYER HOFFMAN MCCANN P.C.**

(2) EIN: **04-3194769**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		5000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)		X	
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan SRI INTERNATIONAL BASIC RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 SRI INTERNATIONAL	D Employer Identification Number (EIN) 94-1160950

Part I	Distributions
--------	---------------

All references to distributions relate only to payments of benefits during the plan year.

1	Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	0
2	Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 82-2826183		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.			
3	Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	138

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
---------	--

4	Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.				
5	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6	a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
	b Enter the amount contributed by the employer to the plan for this plan year	6b		
	c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.				
7	Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8	If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
----------	------------

9	If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
---	---	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
---------	--

10	Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11	a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
	b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12	Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021
v. 201209

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

SRI INTERNATIONAL BASIC RETIREMENT PLAN

FINANCIAL STATEMENTS
AND
SUPPLEMENTAL SCHEDULE

Year Ended December 31, 2021

SRI INTERNATIONAL BASIC RETIREMENT PLAN

Year Ended December 31, 2021

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INDEPENDENT AUDITORS' REPORT

TO THE PLAN ADMINISTRATOR AND PARTICIPANTS OF SRI INTERNATIONAL BASIC RETIREMENT PLAN

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements

We have performed the audit of the financial statements of SRI INTERNATIONAL BASIC RETIREMENT PLAN (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 ("ERISA"), as permitted by ERISA Section 103(a)(3)(C) ("ERISA Section 103(a)(3)(C) audit"). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audit of the 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan ("investment information") by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA ("qualified institution").

Management has obtained a certification from qualified institutions as of December 31, 2021 and for the year then ended, stating that the certified investment information, as described in Note 4 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the 2021 Financial Statements section:

- The amounts and disclosures in the accompanying 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- The information in the accompanying 2021 financial statements related to assets held by and certified to by qualified institutions agrees to, or is derived from, in all material respects, the information prepared and certified by institutions that management determined meets the requirements of ERISA Section 103(a)(3)(C).



Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America ("GAAS"). Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the 2021 financial statements are issued or available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on these financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matter - Supplemental Schedule Required by ERISA

The supplemental Schedule H – Line 4i: Schedule of Assets (Held at End of Year) as of December 31, 2021, is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- The information in the supplemental schedule related to assets held by and certified to by qualified institutions agrees to, or is derived from, in all material respects, the information prepared and certified by institutions that management determined meet the requirements of ERISA Section 103(a)(3)(C).

Other Matter - Auditors' Report on the 2020 Financial Statements

The 2020 financial statements of the Plan were audited by predecessor auditors. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the plan administrator instructed the predecessor auditors not to perform, and they did not perform, any auditing procedures with respect to the information certified by the qualified institution. Their report dated October 14, 2021, indicated that (a) because of the significance of the information that they did not audit, they were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, they did not express an opinion on the financial statements and supplemental schedule, and (b) the form and content of the information included in the financial statements and supplemental schedule other than that derived from the certified information, were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Mayer Hoffman McCann P.C.

San Francisco, California
October 17, 2022

SRI INTERNATIONAL BASIC RETIREMENT PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

	December 31,	
	2021	2020
<u>ASSETS</u>		
<u>INVESTMENTS AT FAIR VALUE</u>	<u>\$ 888,504,780</u>	<u>\$ 820,536,653</u>
<u>INVESTMENTS AT CONTRACT VALUE</u>	<u>27,607,563</u>	<u>27,126,571</u>
<u>RECEIVABLES</u>		
Participant Contributions	-	81,134
Employer Contributions	-	505,776
Notes Receivable from Participants	<u>422,983</u>	<u>425,400</u>
<u>TOTAL RECEIVABLES</u>	<u>422,983</u>	<u>1,012,310</u>
<u>NET ASSETS AVAILABLE FOR BENEFITS</u>	<u>\$ 916,535,326</u>	<u>\$ 848,675,534</u>

SRI INTERNATIONAL BASIC RETIREMENT PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

Year Ended December 31, 2021

ADDITIONS

ADDITIONS TO NET ASSETS ATTRIBUTED TO:

INVESTMENT INCOME

Interest	\$ 7,326,125
Dividends	11,386,401
Net Appreciation in Fair Value of Investments	<u>78,705,911</u>

<u>TOTAL INVESTMENT INCOME</u>	<u>97,418,437</u>
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<u>INTEREST INCOME ON NOTES RECEIVABLE FROM PARTICIPANTS</u>	<u>21,995</u>
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CONTRIBUTIONS

Employer Contributions	10,681,785
Participant Contributions	2,738,541
Rollovers	<u>754,464</u>

<u>TOTAL CONTRIBUTIONS</u>	<u>14,174,790</u>
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<u>OTHER ADDITIONS</u>	<u>551,140</u>
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<u>TOTAL ADDITIONS</u>	<u>112,166,362</u>
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DEDUCTIONS

DEDUCTIONS FROM NET ASSETS ATTRIBUTED TO:

<u>BENEFITS PAID TO PARTICIPANTS</u>	41,577,295
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<u>PURCHASE OF INDIVIDUAL ANNUITY CONTRACTS</u>	2,567,706
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<u>ADMINISTRATIVE EXPENSES</u>	<u>161,569</u>
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<u>TOTAL DEDUCTIONS</u>	<u>44,306,570</u>
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<u>INCREASE IN NET ASSETS</u>	67,859,792
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NET ASSETS AVAILABLE FOR BENEFITS

Beginning of Year	<u>848,675,534</u>
End of Year	<u><u>\$ 916,535,326</u></u>

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 1 – DESCRIPTION OF THE PLAN

The following description of the SRI International Basic Retirement Plan (the “Plan”) provides only general information. Participants should refer to the Plan agreement for a more comprehensive description of the Plan’s provisions.

General – The Plan is a defined contribution profit sharing plan covering all U.S. payroll staff members of SRI International (the “Company”). As discussed below, the Plan provides for Company contributions for all eligible employees. Additionally, employees who are residents of New Jersey may make 401(k) elective deferrals. The plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”).

In April 2020, the Plan implemented provisions of the Coronavirus Aid, Relief, and Economic Security Act (the “CARES Act”) that was signed into law on March 27, 2020. These provisions were applicable for qualified Plan participants and included an increase in maximum loan amounts to the lesser of the participant’s account balance or \$100,000 for a loan issued through September 23, 2020; the ability of qualified participants who had Plan loan repayments due in 2020 to defer such repayments beyond December 31, 2020; and a new coronavirus-related distribution up to \$100,000 in 2020. In addition, the CARES Act allowed any participant subject to a 2020 required minimum distribution (“RMD”) to waive such distribution. In addition, effective January 1, 2020, the Plan implemented the delay of the commencement date for required minimum distributions pursuant to the Setting Every Community Up for Retirement Enhancement Act of 2019 (the “SECURE Act”). The Plan’s trustee will incorporate the provisions under which the Plan is currently operating, that were adopted to comply with new laws or regulations, including the adopted provisions of the CARES Act as necessary in accordance with applicable law and guidance from the Internal Revenue Service (the “IRS”).

Contributions for Eligible Employees who Reside in New Jersey – Employees who reside in New Jersey are eligible to participate in the Plan immediately upon employment for the purpose of making salary deferrals. The amount that may be contributed is limited by the Internal Revenue Service on an annual basis. New Jersey employees may contribute a minimum of 0.01% of eligible compensation on a pre-tax or on a Roth after-tax basis, up to an annual maximum of \$19,500 for 2021, limited to 75% of eligible compensation. If a New Jersey employee has attained age 50 by the last day of the calendar year, they are eligible to defer an additional “catch-up contribution” of \$6,500. The Company will make matching contributions to New Jersey employees working a schedule of 1,000 or more hours in an anniversary year, measured annually from the date of employment. These matching contributions are equal to 50% of the participant’s elective deferrals; however, the Company shall not make a contribution on any participant’s elective deferral that exceeds 4% of the participant’s annual compensation. Thus, the maximum company matching contribution for any individual shall be 2% of the participant’s annual compensation.

New Jersey employees may also contribute amounts representing distributions from other qualified defined benefit or defined contribution plans (rollovers).

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 1 – DESCRIPTION OF THE PLAN (Continued)

Other Employer Contributions – For all eligible employees, including employees who reside in New Jersey, who have completed one year of service and work 1,000 or more hours annually subsequent to December 30, 1973, the Company contributes 5.76% of the social security base salary and 11.46% of the salary over the social security base. For individuals employed prior to December 30, 1973 and who elected to remain under the provisions of the Plan in effect prior to that date, the Company's contributions are based on an age-related schedule with rates ranging from 3.95% to 16.30% of the social security base salary and 5.42% to 22.00% of the salary over the social security base. For certain employees who were previously employed by the University of South Florida, the contribution rate is 8.0%.

Investment Options – Participants may direct their account balances into investment options provided by the Plan. Investment options are mutual funds, variable annuity accounts from College Retirement Equities Fund ("CREF"), a fixed annuity from Teachers Insurance and Annuity Association ("TIAA"), common collective trust funds, and a brokerage window managed by TIAA-CREF offering a large variety of additional mutual funds. Amounts in CREF are held under variable annuity accounts; CREF is thus an annuity carrier. Participants may transfer amounts between various investment options offered under the Plan and transfer amounts from the various investment options to TIAA Traditional Annuity Contracts on a daily basis. Under certain circumstances, participants may transfer a portion of their TIAA Traditional Annuity Contracts balance to other investments offered under the Plan.

Participants' Accounts – Participants' accounts are credited with participant contributions, employer matching contributions, other employer contributions and an allocation of the Plan's earnings and/or losses and administrative expenses. Revenue sharing amounts not used to pay Plan expenses will be allocated to each participant's account in accordance with the Plan document. Allocation of the Plan's earnings and revenue sharing is based on the value of each participant's individual fund investments. Allocation of administrative expenses is based on account balances or specific participant transactions. Participants may elect to have contributions invested in one or more of the available investment options offered by the Plan. Participants may elect at any time prior to attaining the age of 72 to apply the value of their account to the purchase of individual annuities from TIAA (see Note 6). The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting – Participants are immediately vested in their own contributions and the related employer matching contributions made to the Plan.

Effective January 1, 2007, participants vest in the other employer contributions as follows:

<u>Completed Years of Service</u>	<u>Portion of Account Vested</u>
1	-
2	20%
3	40%
4	60%
5	80%
6	100%

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 1 – DESCRIPTION OF THE PLAN (Continued)

Vesting (continued) – Years of service completed at the Company prior to age 18 do not count toward vesting. Participants who attain the age of 65, or die while employed by the Company, will become fully vested in their accounts. Prior to January 1, 2007, participants were vested in the Plan 25% after the first three years of service, 25% each succeeding year, and fully vested after 6 years of service or death.

Amounts that are not fully vested are forfeited upon the participant's termination.

Payment of Benefits – Upon the earlier of termination of employment, attainment of age 62 while still employed for other employer contributions, attainment of age 59½ while still employed for participant and employer matching contributions, or during the year in which the participant attains age 72, the participant is entitled to receive benefits via periodic payments based on the annuity option selected (see Note 6). Participants may also receive benefits in a lump-sum settlement from the Plan, except for participant investments in certain individual annuity contracts issued prior to March 1, 1990. Hardship distributions, as defined in the Plan, are also permitted.

The full current value of a participant's account will be paid to the participant's beneficiary, subject to certain provisions, in the event of the participant's death at any time before retirement.

During 2020, the Plan also permitted participants to request up to \$100,000 as a coronavirus-related distribution, with optional repayment terms of up to three years, in accordance with the CARES Act. The ability to request special waivers with respect to required minimum distributions and coronavirus-related distributions under the CARES Act ceased as of December 31, 2020.

Expenses – The Plan permits the payment of Plan expenses to be made from the Plan's assets (see Note 7). Further, certain expenses specifically incurred by and attributable to a participant will be paid out of the related participant's account. Administrative expenses not paid by the Plan will be paid by the Company. Investment management related expenses are included in the net appreciation (depreciation) of fair value of investments.

Forfeited Accounts – As of December 31, 2021 and 2020, forfeited non-vested accounts totaled \$1,572,051 and \$289,767, respectively. These amounts are to be used to pay plan expenses or reduce future Company contributions. In 2021, the plan did not use any forfeitures to reduce employer contributions or plan expenses.

Notes Receivable from Participants – Participants may borrow from their fund accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their account balance. The notes are secured by the balance in the participant's eligible account, as defined by the Plan. All notes shall bear a reasonable fixed rate of interest and shall be commensurate with rates charged by creditors in similar circumstances. The notes have fixed terms, not to exceed five years, unless the note is for the purchase of a primary residence for which the repayment period could be up to fifteen years. Principal and interest are paid directly from the bank account of the borrowers and can continue to be repaid even if a participant terminated employment.

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 1 – DESCRIPTION OF THE PLAN (Continued)

Notes Receivable from Participants (continued) – Pursuant to the CARES Act enacted in March 2020, the maximum loan amount available to qualified individuals was increased to the lesser of \$100,000 or 100% of the individual's vested account balance for any loans issued between March 27, 2020 and September 23, 2020. Additionally, eligible participants could request a delay of note repayments for repayments that occurred between March 27, 2020 and December 31, 2020. If a delay was granted, the participant's note was re-amortized and included any interest accrued during the period of delay.

Plan Loans from TIAA – Effective December 1, 2013, participants may borrow directly from TIAA using a portion of their plan account as security for the note, subject to certain limitations. Participants receiving a loan from TIAA are required to pledge 110% of the note amount for the period of time the note is outstanding. Loan balances outstanding from TIAA to the Plan's participants were \$117,649 and \$221,349 as of December 31, 2021 and 2020, respectively. Approximately \$129,414 and \$250,543 of annuity account values included in investments in the statements of net assets available for benefits as of December 31, 2021 and 2020, respectively, serve as collateral for the related notes. The note interest rate may be fixed or variable. Notes outstanding at December 31, 2021, mature at various dates through November 2024 and bear interest at rates ranging from 4.00% to 4.76%. Principal and interest is paid directly to TIAA by participants.

NOTE 2 – SUMMARY OF ACCOUNTING POLICIES

Basis of Accounting – These financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates – The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make certain estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein and disclosure of contingent assets and liabilities at the date of the financial statements. Actual results could differ from those estimates.

Investment Valuation and Income Recognition – Investments are reported at fair value (except for the fully benefit-responsive investment contracts, which are reported at contract value). Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's Retirement Plan Committee determines the Plan's valuation policies utilizing information provided by the investment advisors, TIAA and CREF. See Note 4 for a discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) in fair value of investments is reflected in the Statement of Changes in Net Assets Available for Benefits and includes gains and losses on investments bought and sold as well as held during the year.

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 2 – SUMMARY OF ACCOUNTING POLICIES (Continued)

Notes Receivable from Participants – Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2021 or 2020. If a participant ceases to make loan repayments and the plan administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

Payment of Benefits – Benefits are recorded when paid.

Other Additions – Other additions primarily include plan servicing credits which represent revenue sharing generated by certain of the plan's investments that is returned to the plan by TIAA. These funds may be used to pay reasonable and necessary expenses for the plan and can also be used to provide plan servicing credits to participants.

Concentrations – Investments in two funds of the plan's offerings made up approximately 35% and 37% of total investments as of December 31, 2021 and 2020, respectively.

NOTE 3 – INFORMATION CERTIFIED BY THE INSURANCE COMPANIES

The plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, TIAA and CREF, as the insurance companies of the Plan and as agent for TIAA, FSB, directed custodian of the Plan, has certified to the completeness and accuracy as of December 31, 2021 and 2020, and for the year ended December 31, 2021, the investment and notes receivable from participants' information provided by them. Information included in the financial statements as to investments at fair value and contract value, notes receivable from participants, dividends, interest, net appreciation (depreciation) in the fair value of investments, interest income on notes receivable from participants, and all information in the supplemental schedule is presented in reliance upon this certification.

The Plan's independent public accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedule.

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 4 – FAIR VALUE MEASUREMENTS

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy under this topic are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2021.

Mutual Funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan, including mutual funds in the TIAA Participant Directed Brokerage Account, are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value ("NAV") and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

CREF Accounts: The CREF Accounts are valued at the accumulation unit value ("AUV") of units held by the Plan at year-end. The AUV for each fund is a function of the underlying investments' performance and expenses and is listed on the National Association of Securities Dealers Automated Quotations. Accumulation units are available for transactions at the closing accumulation unit value on any day the New York Stock Exchange is open for business. Participants will not be permitted to make electronic transfers into a CREF Account for 90 days if a purchase, sale and repurchase within that fund is made within a 60-day period, other than for the CREF Money Market.

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 4 – FAIR VALUE MEASUREMENTS (Continued)

Common Collective Trusts: Valued at the NAV of units of a bank collective trust. The NAV, as provided by the trustee, is used as a practical expedient to estimate fair value. Participant transactions (purchases and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust, the investment advisor reserves the right to temporarily delay withdrawal from the trust in order to ensure that securities liquidations will be carried out in an orderly business manner.

TIAA Real Estate Account: At December 31, 2021 and 2020, \$2,896,441 and \$2,654,066, respectively, was held in the TIAA Real Estate account, which is considered a pooled separate account. Investments in pooled separate accounts are valued at the accumulation unit value (“AUV”) of units held at year-end. The AUV, as provided by TIAA, is used as a practical expedient to estimate fair value. The AUV is based on the fair value of the underlying investments held by the account, dividends and contract account charges. This practical expedient is not used when it is determined to be probable that the Plan will sell the investment for an amount different than the reported AUV. Participant transactions may occur daily; however transfers out of the TIAA Real Estate Account are limited to one per calendar quarter. The pooled separate account does not have unfunded commitments and is currently not subject to withdrawal limitations. In accordance with fair value measurement guidance, investments in pooled separate accounts that are measured at fair value using the AUV per share (or its equivalent) practical expedient are not required to be classified in the fair value hierarchy.

TIAA Traditional Annuity: The TIAA Traditional Annuity holdings are made up of liquid and illiquid contracts. The liquid contracts are considered fully benefit-responsive and, accordingly, are reported at contract value and excluded from the following fair value hierarchy tables. The illiquid contracts are considered non-benefit responsive and are reported at fair value. Fair value is determined based on accumulated contributions and interest credited to the Plan’s contracts, less any withdrawals. This fair value determination approximates a discounted cash flow value, which is used to calculate fair value, using an appropriate risk-adjusted market discount rate which correlates closely with TIAA Traditional Annuity’s historical crediting rates. TIAA issues various types of contracts as funding vehicles for retirement plans. Certain contract types contain liquidity restrictions on the redemption of TIAA Traditional Annuity accumulations, which could impact the value realized upon exiting the contract.

Self-Directed Brokerage Accounts: Self-directed brokerage accounts include cash and mutual funds. The cash equivalents are valued at cost which represents fair value. For mutual funds, refer to description above.

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 4 – FAIR VALUE MEASUREMENTS (Continued)

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2021 and 2020:

	2021			
	Level 1	Level 2	Level 3	Total
Total Assets in the Fair Value Hierarchy:				
Mutual Funds	\$ 233,591,797	\$ -	\$ -	\$ 233,591,797
Self Directed Brokerage Accounts	15,436,308	-	-	15,436,308
CREF Accounts	-	209,449,867	-	209,449,867
TIAA Traditional Annuity	-	-	179,804,016	179,804,016
	<u>\$ 249,028,105</u>	<u>\$ 209,449,867</u>	<u>\$ 179,804,016</u>	<u>\$ 638,281,988</u>
Investments Measured at Net Asset Value: ^(a)				
Common Collective Trusts				247,326,351
TIAA Real Estate Account				<u>2,896,441</u>
				250,222,792
Total Investments at Fair Value				<u>\$ 888,504,780</u>

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 4 – FAIR VALUE MEASUREMENTS (Continued)

		2020		
		Level 1	Level 2	Level 3
				Total
Total Assets in the Fair Value Hierarchy:				
Mutual Funds	\$	426,795,667	\$	-
Self Directed Brokerage Accounts		13,571,242	-	-
CREF Accounts		-	196,270,592	-
TIAA Traditional Annuity		-	-	181,245,086
	\$	440,366,909	\$	196,270,592
			\$	181,245,086
				\$ 817,882,587
Investments Measured at Net Asset Value: ^(a)				
TIAA Real Estate Account				2,654,066
Total Investments at Fair Value				\$ 820,536,653

(a) In accordance with Financial Accounting Standards Board Accounting Standards Codification Subtopic 820-10, certain investments that were measured at fair value using the net asset value per share (or its equivalent) practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the statements of net assets available for benefits.

The following table summarizes investments measured at fair value using the NAV per share (or its equivalent) as of December 31, 2021 and 2020:

Investments	Fair Value at December 31, 2021	Fair Value at December 31, 2020	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
TIAA Real Estate Account	\$ 2,896,441	\$ 2,654,066	N/A	Daily	Daily
Vanguard Target Retirement 2015 Trust I	\$ 18,997,847	\$ -	N/A	Daily	30 Days
Vanguard Target Retirement 2020 Trust I	\$ 29,176,393	\$ -	N/A	Daily	30 Days
Vanguard Target Retirement 2025 Trust I	\$ 54,098,520	\$ -	N/A	Daily	30 Days

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 4 – FAIR VALUE MEASUREMENTS (Continued)

	Fair Value at December 31, 2021	Fair Value at December 31, 2020	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Vanguard Target Retirement 2030 Trust I	\$ 38,398,814	\$ -	N/A	Daily	30 Days
Vanguard Target Retirement 2035 Trust I	\$ 29,952,305	\$ -	N/A	Daily	30 Days
Vanguard Target Retirement 2040 Trust I	\$ 22,972,322	\$ -	N/A	Daily	30 Days
Vanguard Target Retirement 2045 Trust I	\$ 19,023,043	\$ -	N/A	Daily	30 Days
Vanguard Target Retirement 2050 Trust I	\$ 9,834,371	\$ -	N/A	Daily	30 Days
Vanguard Target Retirement 2055 Trust I	\$ 5,524,274	\$ -	N/A	Daily	30 Days
Vanguard Target Retirement 2060 Trust I	\$ 2,035,803	\$ -	N/A	Daily	30 Days
Vanguard Target Retirement 2065 Trust I	\$ 375,394	\$ -	N/A	Daily	30 Days
Vanguard Retirement Income Target Trust I	\$ 16,937,265	\$ -	N/A	Daily	30 Days

Level 3 Gains and Losses

The following table sets forth a summary of changes in the fair value of the Plan's level 3 assets for the year ended December 31, 2021:

Balance, Beginning of Year	\$ 181,245,086
Interest Income	6,504,859
Purchases	8,197,266
Sales	<u>(16,143,195)</u>
Balance, End of Year	<u>\$ 179,804,016</u>

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 4 – FAIR VALUE MEASUREMENTS (Continued)

The following table represents the Plan's level 3 financial instruments, the valuation techniques used to measure the fair value of those financial instruments, and the significant unobservable inputs and the ranges of values for those inputs.

<u>Instrument</u>	<u>Fair Value</u>	<u>Principal Valuation Technique</u>	<u>Unobservable Inputs</u>	<u>Range of Significant Input Values</u>
TIAA Traditional Annuity Contracts	\$179,804,016	Discounted cash flow	Risk-adjusted 10 year risk free rate applied	Non-benefit responsive – 3.00%-3.80%

NOTE 5 – FULLY BENEFIT-RESPONSIVE INVESTMENT CONTRACTS

The TIAA Traditional Annuity offers participants a fixed rate annuity contract that is fully and unconditionally guaranteed by TIAA. During the accumulation phase, the TIAA Traditional Annuity provides a guarantee of principal, a guaranteed minimum rate of interest between 1% and 3% and the potential for additional interest, if declared by TIAA. The accumulation crediting rate was 3.00% at December 31, 2021 and 2020. The guarantees and returns of the TIAA Traditional Annuity are backed by TIAA's claims-paying ability. TIAA's ability to meet its contractual obligations may be affected by future economic and regulatory developments. TIAA Traditional Annuity holdings of \$27,607,563 and \$27,126,571 as of December 31, 2021 and 2020, respectively, were held within liquid contracts and considered fully benefit-responsive and are reported at contract value. TIAA Traditional Annuity holdings of \$179,804,016 and \$181,245,086 as of December 31, 2021 and 2020, respectively, were held within illiquid contracts and considered non-benefit responsive and are reported at fair value.

Contract value is the relevant measure for fully benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transactions under the terms of the Plan. Contract value, as reported to the Plan by TIAA, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

Certain events might limit the ability of the Plan to transact at contract value with the issuer. Such events include (1) amendments to the Plan documents (including complete or partial Plan termination or merger with another plan), (2) changes to the Plan's prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the Plan sponsor or other Plan sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan, or (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA (5) premature termination of the contract. No events are probable of occurring that might limit the ability of the Plan to transact at contract value with the contract issuers and that also would limit the ability of the plan to transact at contract value with the participants.

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 5 – FULLY BENEFIT-RESPONSIVE INVESTMENT CONTRACTS (Continued)

In addition, certain events allow the issuer to terminate the contract with the Plan and settle at an amount different from contract value. Such events include (1) an uncured violation of the Plan's investment guidelines, (2) a breach of material obligation under the contract, (3) a material misrepresentation, (4) a material amendment to the agreement without the consent of the issuer.

NOTE 6 – PURCHASE OF INDIVIDUAL ANNUITY CONTRACTS

The Company has entered into group annuity contracts with TIAA and CREF for certain retired individual participants of the Plan. Purchased annuities are contracts under which TIAA or CREF are obligated to pay benefits to individually named former employees or their beneficiaries. Once purchased, the annuity shall provide all the benefits owing the participant (and his beneficiary) under the Plan and the Plan shall have no further obligation for benefits. Because these contracts are fully allocated to individual participants, the Plan reflects purchases as benefit payments in the Statement of Changes in Net Assets Available for Benefits and does not include the related assets in the Statements of Net Assets Available for Benefits.

NOTE 7 – RELATED PARTY TRANSACTIONS AND PARTY-IN-INTEREST TRANSACTIONS

Certain of the Plan's investments are shares of mutual funds and annuity contracts managed by TIAA and CREF. In addition, TIAA is the record keeper for the Plan. Therefore, these transactions involving TIAA and CREF, qualify as party-in-interest transactions.

TIAA provides certain administrative services to the Plan pursuant to a service agreement between the Company and TIAA. TIAA receives revenue from certain investment service providers for services TIAA provides to the funds. This revenue is used to offset certain amounts owed to TIAA for its administrative services to the Plan. If the revenue received by TIAA from such investment service providers exceeds the amount owed under the service agreement, TIAA remits the excess to the Plan as a plan servicing credit. Such amounts may be applied to pay Plan administration fees or can also be allocated to participants. As of December 31, 2021 and 2020, the revenue credit account totaled \$25,052 and \$612, respectively. During 2021, \$355,347 and \$751,054 of plan servicing credits were allocated to participants and used to pay administrative expenses, respectively.

Fees paid by the Plan to TIAA for administrative services amounted to \$161,569 and \$96,357 for the years ended December 31, 2021 and 2020, respectively.

NOTE 8 – PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

SRI INTERNATIONAL BASIC RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

Year Ended December 31, 2021

NOTE 9 – RISKS AND UNCERTAINTIES

The Plan provides for various investment options in any combination among the funds sponsored by the Plan. Additionally, the Plan offers a self-directed brokerage account option that allows participants to invest in securities not included in the Plan's investment options. Investment securities are exposed to various risks, such as interest rate, market fluctuation, and credit risks. Market risks include global events which could impact the value of investment securities, such as a pandemic or international conflict. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in investment value in the near term would materially affect the participants' account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

NOTE 10 – TAX STATUS

The IRS has determined and informed the Company by letter dated March 1, 2017, that the Plan (as restated January 1, 2016) and the related trust are designed in accordance with the applicable sections of the IRC. Although the Plan has been amended since receiving the determination letter, the plan administrator believes that the Plan and related trust are currently designed and being operated in compliance with the applicable requirements of the IRC.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by taxing authorities. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 11 – PLAN OPERATIONAL ERROR

During January 2021, the Plan management discovered that the Plan had not applied the correct eligibility requirements for other employer contributions, as defined in Note 1, for certain employees. As a result, certain participants received other employer contributions prior to meeting the eligibility requirements. Management corrected this error by moving \$174,926 of other employer contributions made in error and earnings to forfeitures on April 9, 2021.

NOTE 12 – SUBSEQUENT EVENTS

The Plan has evaluated subsequent events through October 17, 2022, which is the date the financial statements were available to be issued. No significant matters were identified for disclosure during this evaluation.

SUPPLEMENTAL SCHEDULE

SRI INTERNATIONAL BASIC RETIREMENT PLAN

SCHEDULE H – LINE 4i: SCHEDULE OF ASSETS (HELD AT END OF YEAR)

FEIN: 94-1160950

Plan Number: 001

December 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investments, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
*	TIAA Traditional Annuity Contracts	TIAA Traditional Non Benefit Responsive	^	\$ 179,804,016
*	CREF Stock	182,274 Units, College Retirement Equities Fund Variable Annuities	^	143,040,045
*	CREF Money Market	403,799 Units, College Retirement Equities Fund Variable Annuities	^	10,743,320
*	CREF Bond Market	71,310 Units, College Retirement Equities Fund Variable Annuities	^	10,117,464
*	CREF Social Choice	13,584 Units, College Retirement Equities Fund Variable Annuities	^	4,727,877
*	CREF Global Equities	38,210 Units, College Retirement Equities Fund Variable Annuities	^	11,016,517
*	CREF Growth	36,671 Units, College Retirement Equities Fund Variable Annuities	^	14,769,798
*	CREF Equity Index	27,349 Units, College Retirement Equities Fund Variable Annuities	^	11,226,128
*	CREF Inflation-Linked Bond	45,704 Units, College Retirement Equities Fund Variable Annuities	^	3,808,718
*	TIAA Real Estate	5,627 Units, Teachers Insurance and Annuity Association of America Variable Annuities	^	2,896,441
*	TIAA-CREF Bond Plus-Inst	491,426 Shares, Mutual Fund	^	5,263,171
	Dodge & Cox Intl Stock Fund	98,216 Shares, Mutual Fund	^	4,644,628
	Vanguard Federal Money Mkt Inv	8,431,264 Shares, Mutual Fund	^	8,431,264
	Vanguard Target Ret 2015 Inv	63,869 Shares, Mutual Fund	^	1,575,014
	Vanguard Target Ret 2020 Inv	5,751 Shares, Mutual Fund	^	157,052
	Vanguard Target Ret 2025 Inv	11,774 Shares, Mutual Fund	^	345,698
	Vanguard Target Ret 2030 Inv	3,901 Shares, Mutual Fund	^	119,590
	Vanguard Target Ret 2035 Inv	8,020 Shares, Mutual Fund	^	254,951
	Vanguard Target Ret 2040 Inv	2,640 Shares, Mutual Fund	^	87,103
	Vanguard Target Ret 2060 Inv	26 Shares, Mutual Fund	^	897
	Vanguard Target Ret Income Inv	53,215 Shares, Mutual Fund	^	1,315,486
	Vanguard TotlInternatStkIdxInst	120,914 Shares, Mutual Fund	^	16,537,383
	Vanguard Ttl Bond Mkt Idx Inst	1,402,062 Shares, Mutual Fund	^	15,689,071
	Vanguard Ttl Stk Mkt Ind Instl	438,678 Shares, Mutual Fund	^	51,579,796
	Vanguard Wellington Admiral	431,670 Shares, Mutual Fund	^	36,173,944
	HAW Small Cp Diversified Val I	542,893 Shares, Mutual Fund	^	7,448,488
	DFA Intl Small Company I	70,862 Shares, Mutual Fund	^	1,543,366
	DFA One-Year Fixed Income Inst	111,454 Shares, Mutual Fund	^	1,145,744
	ClearBridge Small Cp Growth IS	246,843 Shares, Mutual Fund	^	12,892,604
	Harding International Eq Inst	13,174 Shares, Mutual Fund	^	396,156
	Harding Loevner Intl Eq Por Inst Z	115,694 Shares, Mutual Fund	^	3,477,767
	Vanguard Tot Int Bnd Idx Inst	172,589 Shares, Mutual Fund	^	5,712,692
	MFS Value Fund Class R6	276,881 Shares, Mutual Fund	^	15,042,957
	Vanguard PrimeCap Fund Admiral	258,229 Shares, Mutual Fund	^	43,756,975
	Vanguard Tgt Rtm 2015 Tr I	283,974 Units, Common Collective Trust	^	18,997,847
	Vanguard Tgt Rtm 2020 Tr I	401,768 Units, Common Collective Trust	^	29,176,393
	Vanguard Tgt Rtm 2025 Tr I	715,116 Units, Common Collective Trust	^	54,098,520
	Vanguard Tgt Rtm 2030 Tr I	491,285 Units, Common Collective Trust	^	38,398,814
	Vanguard Tgt Rtm 2035 Tr I	366,344 Units, Common Collective Trust	^	29,952,305
	Vanguard Tgt Rtm 2040 Tr I	266,130 Units, Common Collective Trust	^	22,972,322
	Vanguard Tgt Rtm 2045 Tr I	214,586 Units, Common Collective Trust	^	19,023,043
	Vanguard Tgt Rtm 2050 Tr I	109,894 Units, Common Collective Trust	^	9,834,371
	Vanguard Tgt Rtm 2055 Tr I	50,626 Units, Common Collective Trust	^	5,524,274
	Vanguard Tgt Rtm 2060 Tr I	35,516 Units, Common Collective Trust	^	2,035,803
	Vanguard Target Rtm 2065 Tr I	10,646 Units, Common Collective Trust	^	375,394
	Vanguard Tgt Rtm Income Tr I	256,781 Units, Common Collective Trust	^	16,937,265
*	TIAA-CREF Self Directed Acct	Self Directed Brokerage Account	^	15,436,308
				888,504,780
		Fully Benefit-Response Investment Contracts		
*	TIAA Traditional Annuity Contracts	TIAA Traditional Benefit Responsive	^	27,607,563
*	Participant Loans	29 loans receivable bearing interest rates of 4.25% - 6.50% with various maturity dates through May 2031.	^	422,983
				\$ 916,535,326
*	Party-in-interest			
^ Column (d) cost is omitted off participant-directed investments.				

Plan Name	SRI International Basic Retirement Plan
Plan Sponsor EIN	94-1160950
ERISA Plan #	001
Plan Year Ending	December 31, 2021

The required attachment marked with an "X" in the Attachment column is included within the Accountant's Opinion attachment to Sch. H, Part III, Line 3, which consists of the entire audit report issued by the plan's Independent Qualified Public Accountant (IQPA).

Form/Schedule	Line #	Description	Attachment
5500 Sch. H	Line 3	Financial statements used in formulating the IQPA's opinion	X
5500 Sch. H	Line 4i	Schedule of Assets (Held at End of Year)	X
5500 Sch. H	Line 4i	Schedule of Assets (Acquired and Disposed of Within Year)	
5500 Sch. H	Line 4j	Schedule of Reportable Transactions	
5500 Sch. H	Line 4a	Schedule of Delinquent Participant Contributions	

Plan Name	SRI International Basic Retirement Plan
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Plan Year Ending	December 31, 2021

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