

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I Annual Report Identification Information	
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☒ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	▶ ☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	▶ ☐

Part II Basic Plan Information—enter all requested information											
1a Name of plan <u>REFINITIV 401(K) SAVINGS PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; padding: 2px;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; padding: 2px; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">1c Effective date of plan <u>10/01/2018</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">2b Employer Identification Number (EIN) <u>20-4530702</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">2c Plan Sponsor's telephone number <u>646-771-1807</u></td> </tr> <tr> <td colspan="2" style="padding: 2px;">2d Business code (see instructions) <u>518210</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>10/01/2018</u>		2b Employer Identification Number (EIN) <u>20-4530702</u>		2c Plan Sponsor's telephone number <u>646-771-1807</u>		2d Business code (see instructions) <u>518210</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>										
1c Effective date of plan <u>10/01/2018</u>											
2b Employer Identification Number (EIN) <u>20-4530702</u>											
2c Plan Sponsor's telephone number <u>646-771-1807</u>											
2d Business code (see instructions) <u>518210</u>											
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>REFINITIV US LLC</u> <u>28 LIBERTY STREET</u> <u>NEW YORK, NY 10005</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/18/2022	LINDA ARTIS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/18/2022	LINDA ARTIS
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)
v. 210624

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	3946
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	2837
a(2) Total number of active participants at the end of the plan year		6a(2)	3168
b Retired or separated participants receiving benefits.....		6b	3
c Other retired or separated participants entitled to future benefits		6c	1590
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	4761
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	16
f Total. Add lines 6d and 6e		6f	4777
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	4576
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	8
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2T 2G 2J 2K 2E 2S			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.
For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan REFINITIV 401(K) SAVINGS PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 REFINITIV US LLC	D Employer Identification Number (EIN) 20-4530702	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
VOYA INSTITUTIONAL PLAN SERVICES
04-3516284

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
BLACKROCK INSTITUTIONAL FUND CO.
94-3112180

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
STATE STREET BANK AND TRUST COMPANY
04-1867445

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

VOYA RETIREMENT ADVISORS, LLC

02-0488491

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26	INVESTMENT ADVISORY	519661	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

VOYA INSTITUTIONAL PLAN SERVICES

04-3516284

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64 37	RECORDKEEPER	270604	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	DELOITTE & TOUCHE LLP	b EIN:	13-3891517
c Position:	AUDITOR		
d Address:	30 ROCKEFELLER PLAZA 41ST FLOOR NEW YORK, NY 10112-0015	e Telephone:	512-226-5010

Explanation: CONFLICT OF INTEREST.

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>REFINITIV 401(K) SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>REFINITIV US LLC</u>	D Employer Identification Number (EIN) <u>20-4530702</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LIFEPATH INDEX RETIREMENT FUND</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK</u>		
c EIN-PN <u>87-1130620-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>48056397</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LIFEPATH INDEX 2025 SL FUND</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK</u>		
c EIN-PN <u>87-1035812-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>36253952</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LIFEPATH INDEX 2030 SL FUND</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK</u>		
c EIN-PN <u>87-1035932-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>101318805</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LIFEPATH INDEX 2035 SL FUND</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK</u>		
c EIN-PN <u>87-1053383-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>54801446</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LIFEPATH INDEX 2040 SL FUND</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK</u>		
c EIN-PN <u>87-1054299-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>89463633</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LIFEPATH INDEX 2045 SL FUND</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK</u>		
c EIN-PN <u>87-1081115-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>45360243</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LIFEPATH INDEX 2050 SL FUND</u>		
b Name of sponsor of entity listed in (a): <u>BLACKROCK</u>		
c EIN-PN <u>87-1081397-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>33556353</u>

a Name of MTIA, CCT, PSA, or 103-12 IE: **LIFEPATH INDEX 2055 SL FUND**

b Name of sponsor of entity listed in (a): **BLACKROCK**

c EIN-PN 87-1102204-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 15537656
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a Name of MTIA, CCT, PSA, or 103-12 IE: **LIFEPATH INDEX 2060 SL FUND**

b Name of sponsor of entity listed in (a): **BLACKROCK**

c EIN-PN 87-1102365-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 7050623
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a Name of MTIA, CCT, PSA, or 103-12 IE: **LIFEPATH INDEX 2065 SL FUND**

b Name of sponsor of entity listed in (a): **BLACKROCK**

c EIN-PN 87-1130439-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 110105
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a Name of MTIA, CCT, PSA, or 103-12 IE: **STATE STREET REAL ASSET FUND**

b Name of sponsor of entity listed in (a): **STATE STREET BANK & TRUST CO**

c EIN-PN 90-0337987-374	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 4629115
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a Name of MTIA, CCT, PSA, or 103-12 IE: **WELLINGTON SMID RESRCH EQ FUND**

b Name of sponsor of entity listed in (a): **WELLINGTON**

c EIN-PN 04-6913417-129	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 14628259
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a Name of MTIA, CCT, PSA, or 103-12 IE: **RUSSELL 1000 INDEX FUND**

b Name of sponsor of entity listed in (a): **BLACKROCK**

c EIN-PN 45-4425439-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 168792028
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a Name of MTIA, CCT, PSA, or 103-12 IE: **RUSSELL 2000 INDEX FUND**

b Name of sponsor of entity listed in (a): **BLACKROCK**

c EIN-PN 45-4352603-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 92988033
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a Name of MTIA, CCT, PSA, or 103-12 IE: **INVESCO STABLE VALUE TRUST**

b Name of sponsor of entity listed in (a): **INVESCO**

c EIN-PN 84-1142974-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 62434478
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan REFINITIV 401(K) SAVINGS PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 REFINITIV US LLC	D Employer Identification Number (EIN) 20-4530702	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	1551722	1315769
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	133683196	135355709
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	8442535	7839569
(9) Value of interest in common/collective trusts	1c(9)	348053552	774981127
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	521876853	238649354
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	1013607858 1158141528
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	0 0
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	1013607858 1158141528

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	13768922
	(B) Participants	2a(1)(B)	33022945
	(C) Others (including rollovers).....	2a(1)(C)	5559124
(2)	Noncash contributions.....	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	52350991
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	374497
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	374497
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	446549
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	17163732
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	17610281
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	72481978
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	72055711
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	426267
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	21034623
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	21034623

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		45095061
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		45589407
c Other income.....	2c		177647
d Total income. Add all income amounts in column (b) and enter total.....	2d		182658774
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	133774749	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		133774749
f Corrective distributions (see instructions).....	2f		59135
g Certain deemed distributions of participant loans (see instructions).....	2g		33302
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)	670923	
(3) Investment advisory and management fees.....	2i(3)		
(4) Other.....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		670923
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		134538109
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		48120665
l Transfers of assets:			
(1) To this plan.....	2l(1)		96413005
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: ERNST & YOUNG LLP

(2) EIN: 66-0526565

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m	X		
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n	X		
5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.			
5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)			
5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)	
5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.			

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan REFINITIV 401(K) SAVINGS PLAN		B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 REFINITIV US LLC		D Employer Identification Number (EIN) 20-4530702
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 04-3581074		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year		3
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2021 v. 201209		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

Refinitiv 401(k) Savings Plan

Financial Statements and Supplemental Schedule
December 31, 2021 and 2020 and
Year Ended December 31, 2021
with Report of Independent Auditors

REFINITIV 401(k) SAVINGS PLAN

TABLE OF CONTENTS

	Page
REPORT OF INDEPENDENT AUDITORS	1-4
FINANCIAL STATEMENTS:	
Statements of Net Assets Available for Benefits as of December 31, 2021 and 2020	5
Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2021	6
Notes to Financial Statements as of December 31, 2021 and 2020 and for the Year Ended December 31, 2021	7-14
SUPPLEMENTAL SCHEDULE:	15
Form 5500, Schedule H, Part IV, Line 4i—Schedule of Assets (Held at End of Year) as of December 31, 2021	16-18

NOTE: All other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.



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Report of Independent Auditors

Refinitiv 401(k) Savings Plan Retirement & Benefit Plan Governance Committee

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements

We have performed an audit of the financial statements of Refinitiv 401(k) Savings Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes (collectively referred to as the “financial statements”).

Management, having determined it is permissible in the circumstances, has elected to have the audit of the financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of December 31, 2021, and for the year then ended, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and on the procedures performed as described in the Auditor’s Responsibilities for the Audit of the 2021 Financial Statements section

- the amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material

respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

Other Matters

Supplemental Schedules Required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2021, (referred to as the "supplemental schedule"), is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting

and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion

- the form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Report of Other Auditors on 2020 Financial Statements

Another auditor disclaimed an opinion on the 2020 financial statements of the Plan on October 1, 2021, as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Their report indicated that (a) because of the significance of the information that they did not audit, they were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, they did not express an opinion on those financial statements, and (b) the form and content of the information included in those financial statements other than that derived from the certified investment information, were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Ernst + Young LLP

October 17, 2022

REFINITIV 401(k) SAVINGS PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS AS OF DECEMBER 31, 2021 AND 2020

	2021	2020
ASSETS:		
Investments, at fair value -		
Participant-directed investments	<u>\$ 1,148,986,190</u>	<u>\$ 1,003,613,601</u>
Receivables:		
Notes receivable from participants	7,839,569	8,442,535
Employer contributions	<u>1,315,769</u>	<u>1,551,722</u>
Total receivables	<u>9,155,338</u>	<u>9,994,257</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u><u>\$ 1,158,141,528</u></u>	<u><u>\$ 1,013,607,858</u></u>

See notes to financial statements.

REFINITIV 401(k) SAVINGS PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS FOR THE YEAR ENDED DECEMBER 31, 2021

ADDITIONS	2021
Contributions:	
Participant contributions	\$ 33,022,945
Employer matching contributions	13,768,922
Rollover contributions	<u>5,559,124</u>
Total contributions	<u>52,350,991</u>
Investment income:	
Net appreciation in fair value of investments	112,273,754
Dividends and interest	<u>17,659,532</u>
Total investment income	<u>129,933,286</u>
Interest income on notes receivable from participants	<u>374,497</u>
Net additions	<u>182,658,774</u>
DEDUCTIONS	
Benefits paid to participants	(133,867,186)
Administrative expenses	<u>(670,923)</u>
Total deductions	<u>(134,538,109)</u>
INCREASE IN NET ASSETS BEFORE PLAN TRANSFERS	48,120,665
NET TRANSFERS	
Transfer from LSEGH, Inc. 401 (k) Plan (See Note 1)	<u>96,413,005</u>
INCREASE IN NET ASSETS	144,533,670
NET ASSETS AVAILABLE FOR BENEFITS:	
Beginning of period	<u>1,013,607,858</u>
End of period	<u>\$ 1,158,141,528</u>

See notes to financial statements.

REFINITIV 401(k) SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS AS OF DECEMBER 31, 2021 AND 2020, AND FOR THE YEAR ENDED DECEMBER 31, 2021

1. DESCRIPTION OF THE PLAN

The following description of the Refinitiv 401(k) Savings Plan (the “Plan”) is provided for general information purposes only. Participants should refer to the plan document for a more complete description of the Plan's information.

General — The Plan is a defined contribution plan created effective October 1, 2018 that covers all employees of Refinitiv US LLC (the “Company” or the “Plan Sponsor”) and any participating affiliates, except leased employees, nonresident aliens or employees covered under a collective bargaining agreement. Full-time employees are immediately eligible to participate in the Plan and part-time employees become eligible upon completion of one year of service.

On August 1, 2019, the Company executed a Stock Purchase Agreement with London Stock Exchange Group (LSEG) under which LSEG acquired the consolidated subsidiaries of the Company in an all-share transaction. The transaction closed on January 29, 2021. Subsequently management decided that the LSEGH, Inc. 401(k) Plan should merge into the Plan. This merger occurred at the end of 2021.

The Board of Directors of the Company appointed the U.S./Canada Investment, Design, Compliance and Administration Committee (“IDC&A”) to have overall responsibility for the design, implementation and administration of the Plan and the investment of assets of the Plan. The Plan's trustee is Voya Institutional Trust Company (“Voya”). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions — Contributions to the Plan include (i) salary reduction contributions authorized by participants, (ii) matching contributions made by the Company, (iii) discretionary profit-sharing contributions made by the Company; and (iv) participant rollovers from other qualified plans. Participants have the option to make after-tax Roth elective salary reduction contributions to the Plan.

Participants may elect to contribute a percentage of their compensation, excluding bonuses, to the Plan each year, subject to the limitations, as defined in the plan document. Such contributions are excluded from the participant's taxable income for federal income tax purposes until received as a withdrawal or distribution from the Plan. The Plan includes an auto-enrollment provision whereby all newly eligible employees are automatically enrolled in the Plan unless they affirmatively elect not to participate in the Plan. Automatically enrolled participants have their deferral rate set at 4% of eligible compensation and their contributions invested in a designated balanced fund until changed by the participant. The Plan also has an auto-escalation feature whereas participant's pre-tax deferral rates are increased by one percent annually, up to 15%. Participants have 30 days to opt out of the auto-escalation feature. Participants who have attained age 50 before the end of the plan year are eligible to make catch-up contributions; these additional contributions are ineligible for a Company matching contribution.

The Company makes a matching contribution to each participant's account equal to 4% of the amount of the participant's salary reduction contribution. Additional profit-sharing amounts may be contributed at the discretion of the Company's board of directors. During the year ended December 31, 2021, the Company did not make any profit-sharing contributions to the Plan. The Company made a discretionary employer contribution during 2021 of approximately \$405,000, to eligible employees that were participants in the Thomson Reuters Group Pension Plan, equal to 11.7% of compensation.

Participants may also rollover amounts representing distributions from other qualified defined benefit or defined contribution plans. Contributions are subject to certain Internal Revenue Code (IRC) limitations.

Plan Transfers — During 2021, the LSEGH, Inc. 401(k) Plan merged into the Plan. Approximately \$96 million of net assets and participant accounts were transferred to the Plan in connection with the merger.

Participant Accounts — Individual accounts are maintained for each participant of the Plan. Each participant's account is credited with the participant's contribution and related matching and discretionary contributions and Plan earnings. Participant accounts are also charged with withdrawals and an allocation of Plan losses and administrative expenses that are paid by the Plan. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Investments — Participants may direct the investment of their contributions and Company contributions, and/or account balances into various investment options offered by the Plan and may change investments and transfer amounts between funds daily. The Plan offers mutual funds, collective trust funds, and separately managed accounts.

Vesting — Participants are vested immediately in their contributions, plus actual earnings thereon. Effective January 1, 2021, a participant is 100% vested in their employer matching contributions including allocated earnings thereon at all times.

Notes Receivable from Participants — Participants may borrow from their accounts a minimum of \$500 up to a maximum of the lesser of one-half of the vested account balance or \$50,000. The loans are secured by an assignment of a participant's vested interest in the Plan, and bear interest at a rate commensurate with prime plus 1% at the time funds are borrowed. Principal and interest are paid ratably through payroll deductions or as a lump sum for the outstanding loan balance. Loan terms range from 1 to 5 years; however, terms may exceed 5 years for the purchase of a primary residence. As of December 31, 2021, participant loans have maturities through 2031 at interest rates ranging from 4.25 percent to 8.72 percent.

Payment of Benefits — On termination of service with the Company (including termination of service due to death, disability, or retirement), a participant may generally elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or annual installments over a 15-year period.

Participants are also eligible to make hardship withdrawals from their deferred contributions in the event of certain financial hardships.

Forfeited Accounts — When certain terminations of participation in the Plan occur, the nonvested portion of the participant's account, as defined by the Plan, represents a forfeiture. The plan document permits the use of forfeitures to either reduce future employer contributions or plan administrative expenses for the plan year. However, if a participant is reemployed and fulfills certain requirements, as defined in the plan document, the account will be reinstated. As of December 31, 2021 and 2020, forfeited nonvested accounts totaled \$160,614 and \$123,646, respectively. During 2021, there were no forfeitures used to offset Plan administrative expenses.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting — The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America (GAAP).

Use of Estimates — The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Risks and Uncertainties — The Plan provides various investment options to its participants. Investment securities, in general, are exposed to various risks, such as interest rate risk, credit risk, and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such change could materially affect the value of the participants' account balances and the amounts reported in the financial statements.

Investment Valuation and Income Recognition — The Plan's investments are stated at fair value. Fair value of a financial instrument is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for discussion on fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants — Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income on notes receivable from participants is recorded when it is earned. Related fees are recorded as administrative expenses and are recorded when they are incurred. No allowance for credit losses has been recorded as of December 31, 2021 or 2020. Delinquent participant loans are recorded as distributions based on the terms of the plan document.

Administrative Expenses — Administrative expenses of the Plan are paid by the Plan or the plan sponsor, as provided in the Plan document. Participants pay administrative costs for loans, distributions, and qualified domestic relation orders.

All investment management and transaction fees directly related to the Plan investments are paid by the Plan. Management fees and operating expenses charged to the Plan for investments are deducted from income earned on a daily basis and are not separately reflected. Consequently, investment management fees and operating expenses are reflected as a reduction of investment return for such investments.

The Plan has a revenue-sharing agreement whereby certain investment managers return a portion of the investment fees to Voya to offset the Plan's administrative expenses. Any excess resulting from this revenue sharing may be used to offset administrative expenses or allocated to participants, which was \$21,179 and \$20,353, respectively, for the years ended December 31, 2021 and 2020. The Plan held undistributed administrative revenues of \$4,664 and \$5,749 as of December 31, 2021 and 2020, respectively.

Payment of Benefits — Benefit payments to participants are recorded upon distribution. There were certain participants who elected to withdraw from the Plan that had not yet been paid as of December 31, 2021 or 2020, whose balances amounting to \$844,895 and \$72,847, respectively.

3. INVESTMENTS

Certain information related to investments and notes receivable from participants disclosed in the accompanying financial statements and ERISA-required supplemental schedule, including investments and notes receivable from participants held at December 31, 2021 and 2020, and net appreciation in

fair value of investments, dividends and interest, and interest income on notes receivable from participants for the year ended December 31, 2021, was obtained by management and agreed to or derived from information certified as complete and accurate by Voya (the trustee of the Plan).

	2021	2020
Statements of Net Assets Available for Benefits		
Participant-directed investments, at fair value	\$ 1,148,986,190	\$ 1,003,613,601
Notes receivable from participants	7,839,569	8,442,535

	2021
Statement of Changes in Net Assets Available for Benefits	
Dividends and interest	\$ 17,659,532
Net appreciation in fair value of investments	112,273,754
Interest income on notes receivable from participants	374,497

Supplemental schedule: All investment balances and information included in the supplemental schedules of assets (held at end of year).

Notes 4 and 5: All investment balances and investment information, excluding the level of investments in Note 4, Fair Value Measurements and only the fair value is certified in Note 5.

4. FAIR VALUE MEASUREMENTS

Accounting Standards Codification 820, Fair Value Measurements and Disclosures provides a framework for measuring fair value. Fair value of a financial instrument is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value, as follows: Level 1, which refers to securities valued using unadjusted quoted prices from active markets for identical assets; Level 2, which refers to securities not traded on an active market but for which observable market inputs are readily available; and Level 3, which refers to securities valued based on significant unobservable inputs. Assets are classified in their entirety based on the lowest level of input that is significant to the fair value measurement.

Asset Valuation Techniques — Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs. The following is a description of the valuation methodologies used for assets measured at fair value.

Mutual Funds — Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-ended mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Separately Managed Funds — The separately managed funds included two portfolios of individual underlying securities that are managed on the participants' behalf. Unlike a mutual fund or exchange-traded fund, the Plan directly owns the securities instead of pooling its assets with other investors. The individual assets of a separately managed fund are held in the name of the Plan (the Plan owns the underlying securities) and are generally considered separately as individual investments for accounting, auditing and financial statement reporting purposes.

The separately managed funds consist of common stocks as the underlying investments. Common stock is valued at the closing price reported on the active market on which the individual securities are traded.

Collective Trust Funds — Valued at the net asset value of units of a bank collective trust. The net asset value as provided by the trustee is used as a practical expedient to estimate fair value. The net asset value is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported net asset value. Participant transactions (purchased and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust, the investment advisor reserves the right to temporarily delay withdrawal from the trust in order to confirm that securities liquidations will be carried out in an orderly business manner.

The following tables set forth by level within the fair value hierarchy a summary of the Plan's investments measured at fair value on a recurring basis as of December 31, 2021 and 2020.

	Active Markets for Identical Assets (Level 1)	Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	2021 Total
Mutual funds	\$ 238,649,354	\$ -	\$ -	\$ 238,649,354
Separately managed fund:				
Common stocks	<u>135,355,709</u>	<u>-</u>	<u>-</u>	<u>135,355,709</u>
Total	<u>\$ 374,005,063</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 374,005,063</u>
Investments measured at NAV - Collective trust funds				<u>774,981,127</u>
Total investments				<u>\$ 1,148,986,190</u>
	Active Markets for Identical Assets (Level 1)	Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	2020 Total
Mutual funds	\$ 521,876,853	\$ -	\$ -	\$ 521,876,853
Separately managed fund:				
Common stocks	<u>133,683,196</u>	<u>-</u>	<u>-</u>	<u>133,683,196</u>
Total	<u>\$ 655,560,049</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 655,560,049</u>
Investments measured at NAV - Collective trust funds				<u>348,053,552</u>
Total investments				<u>\$ 1,003,613,601</u>

5. NET ASSET VALUE (NAV) PER SHARE

The following tables set forth a summary of the Plan's investments whose values were estimated using a reported NAV as of December 31, 2021 and 2020.

There are no participant restrictions for these investments; the redemption notice period is applicable only to the Plan.

Fair Value Estimated Using Net Asset Value per Share December 31, 2021					
Investment	Fair Value	Unfunded Commitment	Redemption Frequency	Other Redemption Restrictions	Redemption Notice Period
BlackRock Retirement Date Funds	\$ 431,509,213	\$ -	Daily	None	None
State Street Real Asset Fund; Class A	4,629,115	-	Daily	None	None
Wellington SMID Research Equity Fund	14,628,259	-	Daily	None	None
BlackRock Russell 1000 Index	168,792,028	-	Daily	None	None
BlackRock Russell 2000 Index	92,988,034	-	Daily	None	None
Invesco Stable Value Trust	<u>62,434,478</u>	<u>-</u>	Daily	None	None
Total	<u>\$ 774,981,127</u>	<u>\$ -</u>			

Fair Value Estimated Using Net Asset Value per Share December 31, 2020					
Investment	Fair Value	Unfunded Commitment	Redemption Frequency	Other Redemption Restrictions	Redemption Notice Period
BlackRock Retirement Date Funds	\$ 335,188,050	\$ -	Daily	None	None
State Street Real Asset Fund; Class A	2,141,242	-	Daily	None	None
Wellington SMID Research Equity Fund	<u>10,724,260</u>	<u>-</u>	Daily	None	None
Total	<u>\$ 348,053,552</u>	<u>\$ -</u>			

6. RELATED PARTY TRANSACTIONS AND EXEMPT PARTY-IN-INTEREST TRANSACTIONS

The Plan allows for transactions with certain parties who may perform services or have fiduciary responsibilities to the Plan. Refinitiv US LLC is the Plan Sponsor and Voya is the trustee and recordkeeper, as defined by the Plan. Fees paid for investment management services were included as a reduction of the return earned on each fund. The Plan also issues loans to participants, which are secured by the vested balances in the participants' accounts. Therefore, these transactions qualify as exempt party-in-interest transactions.

7. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan is terminated, participants would become 100% vested in their accounts.

8. FEDERAL INCOME TAX STATUS

The Plan has received a determination letter from the Internal Revenue Service (IRS) dated April 25, 2019, stating that the Plan is qualified under Section 401(a) of the IRC, and, therefore, the related trust is exempt from taxation. Subsequent to this determination by the IRS, the Plan was amended. Once qualified, the Plan is required to operate in conformity with the IRC to maintain its qualified status. The plan administrator has indicated that it will take the necessary steps, if any, to bring the Plan's operations in compliance with the IRC.

Accounting principles generally accepted in the United States require plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. Plan management has analyzed the tax positions taken by the Plan and has concluded that there are no uncertain positions taken or expected to be taken. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

9. SUBSEQUENT EVENTS

Management evaluated subsequent events for the Plan through October 17, 2022, the date the financial statements were available to be issued.

* * * * *

SUPPLEMENTAL SCHEDULE

LSEGH, INC. 401(k) PLAN

Employer ID No: 20-4530702

Plan No: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	(d) Cost **	(e) Current Value
Common Collective Trust Funds:				
	BlackRock LifePath Index Retirement NL Fund M	Common Collective Trust Funds	\$	48,056,397
	BlackRock LifePath Index 2025 NL Fund M	Common Collective Trust Funds		36,253,952
	BlackRock LifePath Index 2030 NL Fund M	Common Collective Trust Funds		101,318,805
	BlackRock LifePath Index 2035 NL Fund M	Common Collective Trust Funds		54,801,446
	BlackRock LifePath Index 2040 NL Fund M	Common Collective Trust Funds		89,463,633
	BlackRock LifePath Index 2045 NL Fund M	Common Collective Trust Funds		45,360,243
	BlackRock LifePath Index 2050 NL Fund M	Common Collective Trust Funds		33,556,353
	BlackRock LifePath Index 2055 NL Fund M	Common Collective Trust Funds		15,537,656
	BlackRock LifePath Index 2060 NL Fund M	Common Collective Trust Funds		7,050,623
	BlackRock LifePath Index 2065 NL Fund M	Common Collective Trust Funds		110,105
	State Street Real Asset Fund, Class A	Common Collective Trust Funds		4,629,115
	Wellington SMID Research Equity Fund	Common Collective Trust Funds		14,628,259
	BlackRock Russell 1000 Index	Common Collective Trust Funds		168,792,028
	BlackRock Russell 2000 Index	Common Collective Trust Funds		92,988,034
	Invesco Stable Value Trust	Common Collective Trust Funds		62,434,478
	Total Common Collective Trusts			774,981,127
Mutual Funds:				
	Fidelity Total International Index	Mutual Funds		84,425,828
	Fidelity U.S. Bond Index	Mutual Funds		61,365,095
	American Funds EuroPacific Growth Fund,R-6	Mutual Funds		24,878,064
	MSF Large Cap Value	Mutual Funds		42,339,355
	Dodge & Cox Income Fund	Mutual Funds		22,195,465
	Self Directed Fund	Mutual Funds		2,960,235
	PIMCO Income Fund	Mutual Funds		485,312
	Total Mutual Funds			238,649,354
Separately Managed Account:				
T. Rowe Blue Chip Group				
	ADVANCED MICRO DEVICES	Common Stock		1,708,525
	AFFIRM HOLDINGS INC	Common Stock		411,592
	ALIGN TECHNOLOGY INC	Common Stock		230,013
	ALPHABET INC CL A	Common Stock		1,848,312
	ALPHABET INC CL C	Common Stock		12,413,501
	AMAZON. COM INC	Common Stock		13,901,194
	APPLE INC	Common Stock		10,753,284
	ASML HOLDING NV NY REG SHS	Common Stock		1,156,791
	ASTRAZENECA PLC SPONS ADR	Common Stock		299,172
	ATLASSIAN CORP PLC CLASS A	Common Stock		592,906
	BILL. COM HOLDINGS INC	Common Stock		462,173
	BLOCK INC	Common Stock		760,066
	BOOKING HOLDINGS INC	Common Stock		671,784
	CARVANA CO	Common Stock		1,287,130
				46,496,443

(Continued)

LSEGH, INC. 401(k) PLAN

Employer ID No: 20-4530702

Plan No: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	(d) Cost **	(e) Current Value
	CHIPOTLE MEXICAN GRILL INC	Common Stock		961,538
	CHUBB LTD	Common Stock		379,081
	CINTAS CORP	Common Stock		158,212
	COPART INC	Common Stock		350,394
	COSTAR GROUP	Common Stock		19,441
	COUPA SOFTWARE INC	Common Stock		214,790
	CROWDSTRIKE HOLDINGS INC	Common Stock		129,812
	DANAHER CORP	Common Stock		1,592,079
	DATADOG INC CLASS A	Common Stock		345,890
	DOCUSIGN INC	Common Stock		392,046
	DOLLAR GENERAL CORP	Common Stock		912,190
	DOORDASH INC A	Common Stock		1,020,858
	ELI LILLY+ CO	Common Stock		1,522,248
	EQUIFAX INC	Common Stock		190,021
	FISERV INC	Common Stock		607,794
	FORTINET INC	Common Stock		878,374
	GENERAL ELECTRIC co	Common Stock		366,449
	GOLDMAN SACHS GROUP INC	Common Stock		1,302,965
	HASHICORP INC CL A	Common Stock		95,683
	HCA HEALTHCARE INC	Common Stock		1,105,784
	HUMANA INC	Common Stock		477,312
	INTUIT INC	Common Stock		2,789,645
	INTUITIVE SURGICAL INC	Common Stock		2,218,678
	LINDE PLC	Common Stock		372,759
	LULULEMON ATHLETICA INC	Common Stock		1,016,204
	MARSH + MCLENNAN cos	Common Stock		252,908
	MARVELL TECHNOLOGY INC	Common Stock		637,015
	MASTERCARD INC A	Common Stock		2,487,213
	META PLATFORMS INC CLASS A	Common Stock		9,228,771
	MICROSOFT CORP	Common Stock		13,387,554
	MONGODB INC	Common Stock		909,953
	MONOLITHIC POWER SYSTEMS INC	Common Stock		414,891
	MSCI INC	Common Stock		178,905
	NETFLIX INC	Common Stock		2,491,089
	NIKE INC CL B	Common Stock		1,098,355
	NVIDIA CORP	Common Stock		4,487,824
	OPENDOOR TECHNOLOGIES INC	Common Stock		176,620
	PAYCOM SOFTWARE INC	Common Stock		89,266
	PAYPAL HOLDINGS INC	Common Stock		1,320,814
	PINTEREST INC CLASS A	Common Stock		484,982
	RIVIAN AUTOMOTIVE INC A	Common Stock		589,685
	ROKU INC	Common Stock		116,154
	ROPER TECHNOLOGIES INC	Common Stock		613,841
	ROSS STORES INC	Common Stock		976,980
				59,363,067

(Continued)

FORM 5500, SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	(d) Cost **	(e) Current Value
	S+P GLOBAL INC	Common Stock		907,993
	SALESFORCE.COM INC	Common Stock		279,797
	SCHWAB (CHARLES) CORP	Common Stock		632,012
	SEA LTD ADR	Common Stock		2,175,803
	SERVICENOW INC	Common Stock		3,155,973
	SHERWIN WILLIAMS CO/THE	Common Stock		60,219
	SHOPIFY INC CLASS A	Common Stock		880,152
	SNAP INC A	Common Stock		1,730,657
	SNOWFLAKE INC CLASS A	Common Stock		259,821
	SPOTIFY TECHNOLOGY SA	Common Stock		383,341
	STARBUCKS CORP	Common Stock		321,200
	STRYKER CORP	Common Stock		937,307
	SYNOPSYS INC	Common Stock		1,413,566
	T MOBILE US INC	Common Stock		250,981
	TAIWAN SEMICONDUCTOR SP ADR	Common Stock		429,627
	TE CONNECTIVITY LTD	Common Stock		253,949
	TELEFLEX INC	Common Stock		294,318
	TENCENT HOLDINGS	Common Stock		673,807
	TESLA INC	Common Stock		4,263,051
	TEXAS INSTRUMENTS INC	Common Stock		585,576
	THERMO FISHER SCIENTIFIC INC	Common Stock		862,074
	TJX COMPANIES INC	Common Stock		252,054
	TOAST INC CLASS A	Common Stock		19,681
	TRANS UNION	Common Stock		218,424
	TWILIO INC A	Common Stock		192,765
	UNITEDHEALTH GROUP INC	Common Stock		2,629,205
	VEEVA SYSTEMS INC CLASS	Common Stock		348,986
	VISA INC CLASS A SHARES	Common Stock		3,189,538
	WALT DISNEY CO/THE	Common Stock		460,023
	ZOETIS INC	Common Stock		875,580
	ZOOM VIDEO COMMUNICATIONS	Common Stock		558,719
	Total Separately Managed Account			135,355,709
	* Various Participants	Participant loans (maturing through 2031 at interest rates of 4.25% to 8.72%)		7,839,569
		Total		\$ 1,156,825,759

* Party-in-interest.

** Cost information is not required for participant-directed investments and therefore not included.

See accompanying independent auditors' report.

Refinitiv 401(k) Savings Plan

Financial Statements and Supplemental Schedule
December 31, 2021 and 2020 and
Year Ended December 31, 2021
with Report of Independent Auditors

REFINITIV 401(k) SAVINGS PLAN

TABLE OF CONTENTS

	Page
REPORT OF INDEPENDENT AUDITORS	1-4
FINANCIAL STATEMENTS:	
Statements of Net Assets Available for Benefits as of December 31, 2021 and 2020	5
Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2021	6
Notes to Financial Statements as of December 31, 2021 and 2020 and for the Year Ended December 31, 2021	7-14
SUPPLEMENTAL SCHEDULE:	15
Form 5500, Schedule H, Part IV, Line 4i—Schedule of Assets (Held at End of Year) as of December 31, 2021	16-18

NOTE: All other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.



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Report of Independent Auditors

Refinitiv 401(k) Savings Plan Retirement & Benefit Plan Governance Committee

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements

We have performed an audit of the financial statements of Refinitiv 401(k) Savings Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes (collectively referred to as the “financial statements”).

Management, having determined it is permissible in the circumstances, has elected to have the audit of the financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of December 31, 2021, and for the year then ended, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and on the procedures performed as described in the Auditor’s Responsibilities for the Audit of the 2021 Financial Statements section

- the amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material

respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

Other Matters

Supplemental Schedules Required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2021, (referred to as the "supplemental schedule"), is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting

and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion

- the form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Report of Other Auditors on 2020 Financial Statements

Another auditor disclaimed an opinion on the 2020 financial statements of the Plan on October 1, 2021, as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Their report indicated that (a) because of the significance of the information that they did not audit, they were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, they did not express an opinion on those financial statements, and (b) the form and content of the information included in those financial statements other than that derived from the certified investment information, were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Ernst + Young LLP

October 17, 2022

REFINITIV 401(k) SAVINGS PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS AS OF DECEMBER 31, 2021 AND 2020

	2021	2020
ASSETS:		
Investments, at fair value -		
Participant-directed investments	<u>\$ 1,148,986,190</u>	<u>\$ 1,003,613,601</u>
Receivables:		
Notes receivable from participants	7,839,569	8,442,535
Employer contributions	<u>1,315,769</u>	<u>1,551,722</u>
Total receivables	<u>9,155,338</u>	<u>9,994,257</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 1,158,141,528</u>	<u>\$ 1,013,607,858</u>

See notes to financial statements.

REFINITIV 401(k) SAVINGS PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS FOR THE YEAR ENDED DECEMBER 31, 2021

ADDITIONS	2021
Contributions:	
Participant contributions	\$ 33,022,945
Employer matching contributions	13,768,922
Rollover contributions	<u>5,559,124</u>
Total contributions	<u>52,350,991</u>
Investment income:	
Net appreciation in fair value of investments	112,273,754
Dividends and interest	<u>17,659,532</u>
Total investment income	<u>129,933,286</u>
Interest income on notes receivable from participants	<u>374,497</u>
Net additions	<u>182,658,774</u>
DEDUCTIONS	
Benefits paid to participants	(133,867,186)
Administrative expenses	<u>(670,923)</u>
Total deductions	<u>(134,538,109)</u>
INCREASE IN NET ASSETS BEFORE PLAN TRANSFERS	48,120,665
NET TRANSFERS	
Transfer from LSEGH, Inc. 401 (k) Plan (See Note 1)	<u>96,413,005</u>
INCREASE IN NET ASSETS	144,533,670
NET ASSETS AVAILABLE FOR BENEFITS:	
Beginning of period	<u>1,013,607,858</u>
End of period	<u>\$ 1,158,141,528</u>

See notes to financial statements.

REFINITIV 401(k) SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS AS OF DECEMBER 31, 2021 AND 2020, AND FOR THE YEAR ENDED DECEMBER 31, 2021

1. DESCRIPTION OF THE PLAN

The following description of the Refinitiv 401(k) Savings Plan (the “Plan”) is provided for general information purposes only. Participants should refer to the plan document for a more complete description of the Plan's information.

General — The Plan is a defined contribution plan created effective October 1, 2018 that covers all employees of Refinitiv US LLC (the “Company” or the “Plan Sponsor”) and any participating affiliates, except leased employees, nonresident aliens or employees covered under a collective bargaining agreement. Full-time employees are immediately eligible to participate in the Plan and part-time employees become eligible upon completion of one year of service.

On August 1, 2019, the Company executed a Stock Purchase Agreement with London Stock Exchange Group (LSEG) under which LSEG acquired the consolidated subsidiaries of the Company in an all-share transaction. The transaction closed on January 29, 2021. Subsequently management decided that the LSEGH, Inc. 401(k) Plan should merge into the Plan. This merger occurred at the end of 2021.

The Board of Directors of the Company appointed the U.S./Canada Investment, Design, Compliance and Administration Committee (“IDC&A”) to have overall responsibility for the design, implementation and administration of the Plan and the investment of assets of the Plan. The Plan's trustee is Voya Institutional Trust Company (“Voya”). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions — Contributions to the Plan include (i) salary reduction contributions authorized by participants, (ii) matching contributions made by the Company, (iii) discretionary profit-sharing contributions made by the Company; and (iv) participant rollovers from other qualified plans. Participants have the option to make after-tax Roth elective salary reduction contributions to the Plan.

Participants may elect to contribute a percentage of their compensation, excluding bonuses, to the Plan each year, subject to the limitations, as defined in the plan document. Such contributions are excluded from the participant's taxable income for federal income tax purposes until received as a withdrawal or distribution from the Plan. The Plan includes an auto-enrollment provision whereby all newly eligible employees are automatically enrolled in the Plan unless they affirmatively elect not to participate in the Plan. Automatically enrolled participants have their deferral rate set at 4% of eligible compensation and their contributions invested in a designated balanced fund until changed by the participant. The Plan also has an auto-escalation feature whereas participant's pre-tax deferral rates are increased by one percent annually, up to 15%. Participants have 30 days to opt out of the auto-escalation feature. Participants who have attained age 50 before the end of the plan year are eligible to make catch-up contributions; these additional contributions are ineligible for a Company matching contribution.

The Company makes a matching contribution to each participant's account equal to 4% of the amount of the participant's salary reduction contribution. Additional profit-sharing amounts may be contributed at the discretion of the Company's board of directors. During the year ended December 31, 2021, the Company did not make any profit-sharing contributions to the Plan. The Company made a discretionary employer contribution during 2021 of approximately \$405,000, to eligible employees that were participants in the Thomson Reuters Group Pension Plan, equal to 11.7% of compensation.

Participants may also rollover amounts representing distributions from other qualified defined benefit or defined contribution plans. Contributions are subject to certain Internal Revenue Code (IRC) limitations.

Plan Transfers — During 2021, the LSEGH, Inc. 401(k) Plan merged into the Plan. Approximately \$96 million of net assets and participant accounts were transferred to the Plan in connection with the merger.

Participant Accounts — Individual accounts are maintained for each participant of the Plan. Each participant's account is credited with the participant's contribution and related matching and discretionary contributions and Plan earnings. Participant accounts are also charged with withdrawals and an allocation of Plan losses and administrative expenses that are paid by the Plan. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Investments — Participants may direct the investment of their contributions and Company contributions, and/or account balances into various investment options offered by the Plan and may change investments and transfer amounts between funds daily. The Plan offers mutual funds, collective trust funds, and separately managed accounts.

Vesting — Participants are vested immediately in their contributions, plus actual earnings thereon. Effective January 1, 2021, a participant is 100% vested in their employer matching contributions including allocated earnings thereon at all times.

Notes Receivable from Participants — Participants may borrow from their accounts a minimum of \$500 up to a maximum of the lesser of one-half of the vested account balance or \$50,000. The loans are secured by an assignment of a participant's vested interest in the Plan, and bear interest at a rate commensurate with prime plus 1% at the time funds are borrowed. Principal and interest are paid ratably through payroll deductions or as a lump sum for the outstanding loan balance. Loan terms range from 1 to 5 years; however, terms may exceed 5 years for the purchase of a primary residence. As of December 31, 2021, participant loans have maturities through 2031 at interest rates ranging from 4.25 percent to 8.72 percent.

Payment of Benefits — On termination of service with the Company (including termination of service due to death, disability, or retirement), a participant may generally elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or annual installments over a 15-year period.

Participants are also eligible to make hardship withdrawals from their deferred contributions in the event of certain financial hardships.

Forfeited Accounts — When certain terminations of participation in the Plan occur, the nonvested portion of the participant's account, as defined by the Plan, represents a forfeiture. The plan document permits the use of forfeitures to either reduce future employer contributions or plan administrative expenses for the plan year. However, if a participant is reemployed and fulfills certain requirements, as defined in the plan document, the account will be reinstated. As of December 31, 2021 and 2020, forfeited nonvested accounts totaled \$160,614 and \$123,646, respectively. During 2021, there were no forfeitures used to offset Plan administrative expenses.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting — The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America (GAAP).

Use of Estimates — The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Risks and Uncertainties — The Plan provides various investment options to its participants. Investment securities, in general, are exposed to various risks, such as interest rate risk, credit risk, and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such change could materially affect the value of the participants' account balances and the amounts reported in the financial statements.

Investment Valuation and Income Recognition — The Plan's investments are stated at fair value. Fair value of a financial instrument is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for discussion on fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants — Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income on notes receivable from participants is recorded when it is earned. Related fees are recorded as administrative expenses and are recorded when they are incurred. No allowance for credit losses has been recorded as of December 31, 2021 or 2020. Delinquent participant loans are recorded as distributions based on the terms of the plan document.

Administrative Expenses — Administrative expenses of the Plan are paid by the Plan or the plan sponsor, as provided in the Plan document. Participants pay administrative costs for loans, distributions, and qualified domestic relation orders.

All investment management and transaction fees directly related to the Plan investments are paid by the Plan. Management fees and operating expenses charged to the Plan for investments are deducted from income earned on a daily basis and are not separately reflected. Consequently, investment management fees and operating expenses are reflected as a reduction of investment return for such investments.

The Plan has a revenue-sharing agreement whereby certain investment managers return a portion of the investment fees to Voya to offset the Plan's administrative expenses. Any excess resulting from this revenue sharing may be used to offset administrative expenses or allocated to participants, which was \$21,179 and \$20,353, respectively, for the years ended December 31, 2021 and 2020. The Plan held undistributed administrative revenues of \$4,664 and \$5,749 as of December 31, 2021 and 2020, respectively.

Payment of Benefits — Benefit payments to participants are recorded upon distribution. There were certain participants who elected to withdraw from the Plan that had not yet been paid as of December 31, 2021 or 2020, whose balances amounting to \$844,895 and \$72,847, respectively.

3. INVESTMENTS

Certain information related to investments and notes receivable from participants disclosed in the accompanying financial statements and ERISA-required supplemental schedule, including investments and notes receivable from participants held at December 31, 2021 and 2020, and net appreciation in

fair value of investments, dividends and interest, and interest income on notes receivable from participants for the year ended December 31, 2021, was obtained by management and agreed to or derived from information certified as complete and accurate by Voya (the trustee of the Plan).

	2021	2020
Statements of Net Assets Available for Benefits		
Participant-directed investments, at fair value	\$ 1,148,986,190	\$ 1,003,613,601
Notes receivable from participants	7,839,569	8,442,535

	2021
Statement of Changes in Net Assets Available for Benefits	
Dividends and interest	\$ 17,659,532
Net appreciation in fair value of investments	112,273,754
Interest income on notes receivable from participants	374,497

Supplemental schedule: All investment balances and information included in the supplemental schedules of assets (held at end of year).

Notes 4 and 5: All investment balances and investment information, excluding the level of investments in Note 4, Fair Value Measurements and only the fair value is certified in Note 5.

4. FAIR VALUE MEASUREMENTS

Accounting Standards Codification 820, Fair Value Measurements and Disclosures provides a framework for measuring fair value. Fair value of a financial instrument is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value, as follows: Level 1, which refers to securities valued using unadjusted quoted prices from active markets for identical assets; Level 2, which refers to securities not traded on an active market but for which observable market inputs are readily available; and Level 3, which refers to securities valued based on significant unobservable inputs. Assets are classified in their entirety based on the lowest level of input that is significant to the fair value measurement.

Asset Valuation Techniques — Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs. The following is a description of the valuation methodologies used for assets measured at fair value.

Mutual Funds — Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-ended mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Separately Managed Funds — The separately managed funds included two portfolios of individual underlying securities that are managed on the participants' behalf. Unlike a mutual fund or exchange-traded fund, the Plan directly owns the securities instead of pooling its assets with other investors. The individual assets of a separately managed fund are held in the name of the Plan (the Plan owns the underlying securities) and are generally considered separately as individual investments for accounting, auditing and financial statement reporting purposes.

The separately managed funds consist of common stocks as the underlying investments. Common stock is valued at the closing price reported on the active market on which the individual securities are traded.

Collective Trust Funds — Valued at the net asset value of units of a bank collective trust. The net asset value as provided by the trustee is used as a practical expedient to estimate fair value. The net asset value is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported net asset value. Participant transactions (purchased and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust, the investment advisor reserves the right to temporarily delay withdrawal from the trust in order to confirm that securities liquidations will be carried out in an orderly business manner.

The following tables set forth by level within the fair value hierarchy a summary of the Plan's investments measured at fair value on a recurring basis as of December 31, 2021 and 2020.

	Active Markets for Identical Assets (Level 1)	Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	2021 Total
Mutual funds	\$ 238,649,354	\$ -	\$ -	\$ 238,649,354
Separately managed fund:				
Common stocks	<u>135,355,709</u>	<u>-</u>	<u>-</u>	<u>135,355,709</u>
Total	<u>\$ 374,005,063</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 374,005,063</u>
Investments measured at NAV - Collective trust funds				<u>774,981,127</u>
Total investments				<u>\$ 1,148,986,190</u>
	Active Markets for Identical Assets (Level 1)	Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	2020 Total
Mutual funds	\$ 521,876,853	\$ -	\$ -	\$ 521,876,853
Separately managed fund:				
Common stocks	<u>133,683,196</u>	<u>-</u>	<u>-</u>	<u>133,683,196</u>
Total	<u>\$ 655,560,049</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 655,560,049</u>
Investments measured at NAV - Collective trust funds				<u>348,053,552</u>
Total investments				<u>\$ 1,003,613,601</u>

5. NET ASSET VALUE (NAV) PER SHARE

The following tables set forth a summary of the Plan's investments whose values were estimated using a reported NAV as of December 31, 2021 and 2020.

There are no participant restrictions for these investments; the redemption notice period is applicable only to the Plan.

Fair Value Estimated Using Net Asset Value per Share December 31, 2021					
Investment	Fair Value	Unfunded Commitment	Redemption Frequency	Other Redemption Restrictions	Redemption Notice Period
BlackRock Retirement Date Funds	\$ 431,509,213	\$ -	Daily	None	None
State Street Real Asset Fund; Class A	4,629,115	-	Daily	None	None
Wellington SMID Research Equity Fund	14,628,259	-	Daily	None	None
BlackRock Russell 1000 Index	168,792,028	-	Daily	None	None
BlackRock Russell 2000 Index	92,988,034	-	Daily	None	None
Invesco Stable Value Trust	<u>62,434,478</u>	<u>-</u>	Daily	None	None
Total	<u>\$ 774,981,127</u>	<u>\$ -</u>			

Fair Value Estimated Using Net Asset Value per Share December 31, 2020					
Investment	Fair Value	Unfunded Commitment	Redemption Frequency	Other Redemption Restrictions	Redemption Notice Period
BlackRock Retirement Date Funds	\$ 335,188,050	\$ -	Daily	None	None
State Street Real Asset Fund; Class A	2,141,242	-	Daily	None	None
Wellington SMID Research Equity Fund	<u>10,724,260</u>	<u>-</u>	Daily	None	None
Total	<u>\$ 348,053,552</u>	<u>\$ -</u>			

6. RELATED PARTY TRANSACTIONS AND EXEMPT PARTY-IN-INTEREST TRANSACTIONS

The Plan allows for transactions with certain parties who may perform services or have fiduciary responsibilities to the Plan. Refinitiv US LLC is the Plan Sponsor and Voya is the trustee and recordkeeper, as defined by the Plan. Fees paid for investment management services were included as a reduction of the return earned on each fund. The Plan also issues loans to participants, which are secured by the vested balances in the participants' accounts. Therefore, these transactions qualify as exempt party-in-interest transactions.

7. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan is terminated, participants would become 100% vested in their accounts.

8. FEDERAL INCOME TAX STATUS

The Plan has received a determination letter from the Internal Revenue Service (IRS) dated April 25, 2019, stating that the Plan is qualified under Section 401(a) of the IRC, and, therefore, the related trust is exempt from taxation. Subsequent to this determination by the IRS, the Plan was amended. Once qualified, the Plan is required to operate in conformity with the IRC to maintain its qualified status. The plan administrator has indicated that it will take the necessary steps, if any, to bring the Plan's operations in compliance with the IRC.

Accounting principles generally accepted in the United States require plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. Plan management has analyzed the tax positions taken by the Plan and has concluded that there are no uncertain positions taken or expected to be taken. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

9. SUBSEQUENT EVENTS

Management evaluated subsequent events for the Plan through October 17, 2022, the date the financial statements were available to be issued.

* * * * *

SUPPLEMENTAL SCHEDULE

LSEGH, INC. 401(k) PLAN

Employer ID No: 20-4530702

Plan No: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	(d) Cost **	(e) Current Value
Common Collective Trust Funds:				
	BlackRock LifePath Index Retirement NL Fund M	Common Collective Trust Funds	\$	48,056,397
	BlackRock LifePath Index 2025 NL Fund M	Common Collective Trust Funds		36,253,952
	BlackRock LifePath Index 2030 NL Fund M	Common Collective Trust Funds		101,318,805
	BlackRock LifePath Index 2035 NL Fund M	Common Collective Trust Funds		54,801,446
	BlackRock LifePath index 2040 NL Fund M	Common Collective Trust Funds		89,463,633
	BlackRock LifePath Index 2045 NL Fund M	Common Collective Trust Funds		45,360,243
	BlackRock LifePath Index 2050 NL Fund M	Common Collective Trust Funds		33,556,353
	BlackRock LifePath Index 2055 NL Fund M	Common Collective Trust Funds		15,537,656
	BlackRock LifePath Index 2060 NL Fund M	Common Collective Trust Funds		7,050,623
	BlackRock LifePath Index 2065 NL Fund M	Common Collective Trust Funds		110,105
	State Street Real Asset Fund, Class A	Common Collective Trust Funds		4,629,115
	Wellington SMID Research Equity Fund	Common Collective Trust Funds		14,628,259
	BlackRock Russell 1000 Index	Common Collective Trust Funds		168,792,028
	BlackRock Russell 2000 Index	Common Collective Trust Funds		92,988,034
	Invesco Stable Value Trust	Common Collective Trust Funds		62,434,478
	Total Common Collective Trusts			774,981,127
Mutual Funds:				
	Fidelity Total International Index	Mutual Funds		84,425,828
	Fidelity U.S. Bond Index	Mutual Funds		61,365,095
	American Funds EuroPacific Growth Fund,R-6	Mutual Funds		24,878,064
	MSF Large Cap Value	Mutual Funds		42,339,355
	Dodge & Cox Income Fund	Mutual Funds		22,195,465
	Self Directed Fund	Mutual Funds		2,960,235
	PIMCO Income Fund	Mutual Funds		485,312
	Total Mutual Funds			238,649,354
Separately Managed Account:				
T. Rowe Blue Chip Group				
	ADVANCED MICRO DEVICES	Common Stock		1,708,525
	AFFIRM HOLDINGS INC	Common Stock		411,592
	ALIGN TECHNOLOGY INC	Common Stock		230,013
	ALPHABET INC CL A	Common Stock		1,848,312
	ALPHABET INC CL C	Common Stock		12,413,501
	AMAZON. COM INC	Common Stock		13,901,194
	APPLE INC	Common Stock		10,753,284
	ASML HOLDING NV NY REG SHS	Common Stock		1,156,791
	ASTRAZENECA PLC SPONS ADR	Common Stock		299,172
	ATLASSIAN CORP PLC CLASS A	Common Stock		592,906
	BILL. COM HOLDINGS INC	Common Stock		462,173
	BLOCK INC	Common Stock		760,066
	BOOKING HOLDINGS INC	Common Stock		671,784
	CARVANA CO	Common Stock		1,287,130
				46,496,443

(Continued)

LSEGH, INC. 401(k) PLAN

Employer ID No: 20-4530702

Plan No: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	(d) Cost **	(e) Current Value
	CHIPOTLE MEXICAN GRILL INC	Common Stock		961,538
	CHUBB LTD	Common Stock		379,081
	CINTAS CORP	Common Stock		158,212
	COPART INC	Common Stock		350,394
	COSTAR GROUP	Common Stock		19,441
	COUPA SOFTWARE INC	Common Stock		214,790
	CROWDSTRIKE HOLDINGS INC	Common Stock		129,812
	DANAHER CORP	Common Stock		1,592,079
	DATADOG INC CLASS A	Common Stock		345,890
	DOCUSIGN INC	Common Stock		392,046
	DOLLAR GENERAL CORP	Common Stock		912,190
	DOORDASH INC A	Common Stock		1,020,858
	ELI LILLY+ CO	Common Stock		1,522,248
	EQUIFAX INC	Common Stock		190,021
	FISERV INC	Common Stock		607,794
	FORTINET INC	Common Stock		878,374
	GENERAL ELECTRIC co	Common Stock		366,449
	GOLDMAN SACHS GROUP INC	Common Stock		1,302,965
	HASHICORP INC CL A	Common Stock		95,683
	HCA HEALTHCARE INC	Common Stock		1,105,784
	HUMANA INC	Common Stock		477,312
	INTUIT INC	Common Stock		2,789,645
	INTUITIVE SURGICAL INC	Common Stock		2,218,678
	LINDE PLC	Common Stock		372,759
	LULULEMON ATHLETICA INC	Common Stock		1,016,204
	MARSH + MCLENNAN cos	Common Stock		252,908
	MARVELL TECHNOLOGY INC	Common Stock		637,015
	MASTERCARD INC A	Common Stock		2,487,213
	META PLATFORMS INC CLASS A	Common Stock		9,228,771
	MICROSOFT CORP	Common Stock		13,387,554
	MONGODB INC	Common Stock		909,953
	MONOLITHIC POWER SYSTEMS INC	Common Stock		414,891
	MSCI INC	Common Stock		178,905
	NETFLIX INC	Common Stock		2,491,089
	NIKE INC CL B	Common Stock		1,098,355
	NVIDIA CORP	Common Stock		4,487,824
	OPENDOOR TECHNOLOGIES INC	Common Stock		176,620
	PAYCOM SOFTWARE INC	Common Stock		89,266
	PAYPAL HOLDINGS INC	Common Stock		1,320,814
	PINTEREST INC CLASS A	Common Stock		484,982
	RIVIAN AUTOMOTIVE INC A	Common Stock		589,685
	ROKU INC	Common Stock		116,154
	ROPER TECHNOLOGIES INC	Common Stock		613,841
	ROSS STORES INC	Common Stock		976,980
				59,363,067

(Continued)

FORM 5500, SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	(d) Cost **	(e) Current Value
	S+P GLOBAL INC	Common Stock		907,993
	SALESFORCE.COM INC	Common Stock		279,797
	SCHWAB (CHARLES) CORP	Common Stock		632,012
	SEA LTD ADR	Common Stock		2,175,803
	SERVICENOW INC	Common Stock		3,155,973
	SHERWIN WILLIAMS CO/THE	Common Stock		60,219
	SHOPIFY INC CLASS A	Common Stock		880,152
	SNAP INC A	Common Stock		1,730,657
	SNOWFLAKE INC CLASS A	Common Stock		259,821
	SPOTIFY TECHNOLOGY SA	Common Stock		383,341
	STARBUCKS CORP	Common Stock		321,200
	STRYKER CORP	Common Stock		937,307
	SYNOPSYS INC	Common Stock		1,413,566
	T MOBILE US INC	Common Stock		250,981
	TAIWAN SEMICONDUCTOR SP ADR	Common Stock		429,627
	TE CONNECTIVITY LTD	Common Stock		253,949
	TELEFLEX INC	Common Stock		294,318
	TENCENT HOLDINGS	Common Stock		673,807
	TESLA INC	Common Stock		4,263,051
	TEXAS INSTRUMENTS INC	Common Stock		585,576
	THERMO FISHER SCIENTIFIC INC	Common Stock		862,074
	TJX COMPANIES INC	Common Stock		252,054
	TOAST INC CLASS A	Common Stock		19,681
	TRANS UNION	Common Stock		218,424
	TWILIO INC A	Common Stock		192,765
	UNITEDHEALTH GROUP INC	Common Stock		2,629,205
	VEEVA SYSTEMS INC CLASS	Common Stock		348,986
	VISA INC CLASS A SHARES	Common Stock		3,189,538
	WALT DISNEY CO/THE	Common Stock		460,023
	ZOETIS INC	Common Stock		875,580
	ZOOM VIDEO COMMUNICATIONS	Common Stock		558,719
	Total Separately Managed Account			135,355,709
	* Various Participants	Participant loans (maturing through 2031 at interest rates of 4.25% to 8.72%)		7,839,569
		Total		\$ 1,156,825,759

* Party-in-interest.

** Cost information is not required for participant-directed investments and therefore not included.

See accompanying independent auditors' report.

Refinitiv 401(k) Savings Plan

Financial Statements and Supplemental Schedule
December 31, 2021 and 2020 and
Year Ended December 31, 2021
with Report of Independent Auditors

REFINITIV 401(k) SAVINGS PLAN

TABLE OF CONTENTS

	Page
REPORT OF INDEPENDENT AUDITORS	1-4
FINANCIAL STATEMENTS:	
Statements of Net Assets Available for Benefits as of December 31, 2021 and 2020	5
Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2021	6
Notes to Financial Statements as of December 31, 2021 and 2020 and for the Year Ended December 31, 2021	7-14
SUPPLEMENTAL SCHEDULE:	15
Form 5500, Schedule H, Part IV, Line 4i—Schedule of Assets (Held at End of Year) as of December 31, 2021	16-18

NOTE: All other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.



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Report of Independent Auditors

Refinitiv 401(k) Savings Plan Retirement & Benefit Plan Governance Committee

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements

We have performed an audit of the financial statements of Refinitiv 401(k) Savings Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes (collectively referred to as the “financial statements”).

Management, having determined it is permissible in the circumstances, has elected to have the audit of the financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of December 31, 2021, and for the year then ended, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and on the procedures performed as described in the Auditor’s Responsibilities for the Audit of the 2021 Financial Statements section

- the amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material

respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

Other Matters

Supplemental Schedules Required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2021, (referred to as the "supplemental schedule"), is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting

and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion

- the form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Report of Other Auditors on 2020 Financial Statements

Another auditor disclaimed an opinion on the 2020 financial statements of the Plan on October 1, 2021, as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Their report indicated that (a) because of the significance of the information that they did not audit, they were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, they did not express an opinion on those financial statements, and (b) the form and content of the information included in those financial statements other than that derived from the certified investment information, were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Ernst + Young LLP

October 17, 2022

REFINITIV 401(k) SAVINGS PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS AS OF DECEMBER 31, 2021 AND 2020

	2021	2020
ASSETS:		
Investments, at fair value -		
Participant-directed investments	<u>\$ 1,148,986,190</u>	<u>\$ 1,003,613,601</u>
Receivables:		
Notes receivable from participants	7,839,569	8,442,535
Employer contributions	<u>1,315,769</u>	<u>1,551,722</u>
Total receivables	<u>9,155,338</u>	<u>9,994,257</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u><u>\$ 1,158,141,528</u></u>	<u><u>\$ 1,013,607,858</u></u>

See notes to financial statements.

REFINITIV 401(k) SAVINGS PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS FOR THE YEAR ENDED DECEMBER 31, 2021

ADDITIONS	2021
Contributions:	
Participant contributions	\$ 33,022,945
Employer matching contributions	13,768,922
Rollover contributions	<u>5,559,124</u>
Total contributions	<u>52,350,991</u>
Investment income:	
Net appreciation in fair value of investments	112,273,754
Dividends and interest	<u>17,659,532</u>
Total investment income	<u>129,933,286</u>
Interest income on notes receivable from participants	<u>374,497</u>
Net additions	<u>182,658,774</u>
DEDUCTIONS	
Benefits paid to participants	(133,867,186)
Administrative expenses	<u>(670,923)</u>
Total deductions	<u>(134,538,109)</u>
INCREASE IN NET ASSETS BEFORE PLAN TRANSFERS	48,120,665
NET TRANSFERS	
Transfer from LSEGH, Inc. 401 (k) Plan (See Note 1)	<u>96,413,005</u>
INCREASE IN NET ASSETS	144,533,670
NET ASSETS AVAILABLE FOR BENEFITS:	
Beginning of period	<u>1,013,607,858</u>
End of period	<u>\$ 1,158,141,528</u>

See notes to financial statements.

REFINITIV 401(k) SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS AS OF DECEMBER 31, 2021 AND 2020, AND FOR THE YEAR ENDED DECEMBER 31, 2021

1. DESCRIPTION OF THE PLAN

The following description of the Refinitiv 401(k) Savings Plan (the “Plan”) is provided for general information purposes only. Participants should refer to the plan document for a more complete description of the Plan's information.

General — The Plan is a defined contribution plan created effective October 1, 2018 that covers all employees of Refinitiv US LLC (the “Company” or the “Plan Sponsor”) and any participating affiliates, except leased employees, nonresident aliens or employees covered under a collective bargaining agreement. Full-time employees are immediately eligible to participate in the Plan and part-time employees become eligible upon completion of one year of service.

On August 1, 2019, the Company executed a Stock Purchase Agreement with London Stock Exchange Group (LSEG) under which LSEG acquired the consolidated subsidiaries of the Company in an all-share transaction. The transaction closed on January 29, 2021. Subsequently management decided that the LSEGH, Inc. 401(k) Plan should merge into the Plan. This merger occurred at the end of 2021.

The Board of Directors of the Company appointed the U.S./Canada Investment, Design, Compliance and Administration Committee (“IDC&A”) to have overall responsibility for the design, implementation and administration of the Plan and the investment of assets of the Plan. The Plan's trustee is Voya Institutional Trust Company (“Voya”). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions — Contributions to the Plan include (i) salary reduction contributions authorized by participants, (ii) matching contributions made by the Company, (iii) discretionary profit-sharing contributions made by the Company; and (iv) participant rollovers from other qualified plans. Participants have the option to make after-tax Roth elective salary reduction contributions to the Plan.

Participants may elect to contribute a percentage of their compensation, excluding bonuses, to the Plan each year, subject to the limitations, as defined in the plan document. Such contributions are excluded from the participant's taxable income for federal income tax purposes until received as a withdrawal or distribution from the Plan. The Plan includes an auto-enrollment provision whereby all newly eligible employees are automatically enrolled in the Plan unless they affirmatively elect not to participate in the Plan. Automatically enrolled participants have their deferral rate set at 4% of eligible compensation and their contributions invested in a designated balanced fund until changed by the participant. The Plan also has an auto-escalation feature whereas participant's pre-tax deferral rates are increased by one percent annually, up to 15%. Participants have 30 days to opt out of the auto-escalation feature. Participants who have attained age 50 before the end of the plan year are eligible to make catch-up contributions; these additional contributions are ineligible for a Company matching contribution.

The Company makes a matching contribution to each participant's account equal to 4% of the amount of the participant's salary reduction contribution. Additional profit-sharing amounts may be contributed at the discretion of the Company's board of directors. During the year ended December 31, 2021, the Company did not make any profit-sharing contributions to the Plan. The Company made a discretionary employer contribution during 2021 of approximately \$405,000, to eligible employees that were participants in the Thomson Reuters Group Pension Plan, equal to 11.7% of compensation.

Participants may also rollover amounts representing distributions from other qualified defined benefit or defined contribution plans. Contributions are subject to certain Internal Revenue Code (IRC) limitations.

Plan Transfers — During 2021, the LSEGH, Inc. 401(k) Plan merged into the Plan. Approximately \$96 million of net assets and participant accounts were transferred to the Plan in connection with the merger.

Participant Accounts — Individual accounts are maintained for each participant of the Plan. Each participant's account is credited with the participant's contribution and related matching and discretionary contributions and Plan earnings. Participant accounts are also charged with withdrawals and an allocation of Plan losses and administrative expenses that are paid by the Plan. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Investments — Participants may direct the investment of their contributions and Company contributions, and/or account balances into various investment options offered by the Plan and may change investments and transfer amounts between funds daily. The Plan offers mutual funds, collective trust funds, and separately managed accounts.

Vesting — Participants are vested immediately in their contributions, plus actual earnings thereon. Effective January 1, 2021, a participant is 100% vested in their employer matching contributions including allocated earnings thereon at all times.

Notes Receivable from Participants — Participants may borrow from their accounts a minimum of \$500 up to a maximum of the lesser of one-half of the vested account balance or \$50,000. The loans are secured by an assignment of a participant's vested interest in the Plan, and bear interest at a rate commensurate with prime plus 1% at the time funds are borrowed. Principal and interest are paid ratably through payroll deductions or as a lump sum for the outstanding loan balance. Loan terms range from 1 to 5 years; however, terms may exceed 5 years for the purchase of a primary residence. As of December 31, 2021, participant loans have maturities through 2031 at interest rates ranging from 4.25 percent to 8.72 percent.

Payment of Benefits — On termination of service with the Company (including termination of service due to death, disability, or retirement), a participant may generally elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or annual installments over a 15-year period.

Participants are also eligible to make hardship withdrawals from their deferred contributions in the event of certain financial hardships.

Forfeited Accounts — When certain terminations of participation in the Plan occur, the nonvested portion of the participant's account, as defined by the Plan, represents a forfeiture. The plan document permits the use of forfeitures to either reduce future employer contributions or plan administrative expenses for the plan year. However, if a participant is reemployed and fulfills certain requirements, as defined in the plan document, the account will be reinstated. As of December 31, 2021 and 2020, forfeited nonvested accounts totaled \$160,614 and \$123,646, respectively. During 2021, there were no forfeitures used to offset Plan administrative expenses.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting — The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America (GAAP).

Use of Estimates — The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Risks and Uncertainties — The Plan provides various investment options to its participants. Investment securities, in general, are exposed to various risks, such as interest rate risk, credit risk, and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such change could materially affect the value of the participants' account balances and the amounts reported in the financial statements.

Investment Valuation and Income Recognition — The Plan's investments are stated at fair value. Fair value of a financial instrument is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for discussion on fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants — Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income on notes receivable from participants is recorded when it is earned. Related fees are recorded as administrative expenses and are recorded when they are incurred. No allowance for credit losses has been recorded as of December 31, 2021 or 2020. Delinquent participant loans are recorded as distributions based on the terms of the plan document.

Administrative Expenses — Administrative expenses of the Plan are paid by the Plan or the plan sponsor, as provided in the Plan document. Participants pay administrative costs for loans, distributions, and qualified domestic relation orders.

All investment management and transaction fees directly related to the Plan investments are paid by the Plan. Management fees and operating expenses charged to the Plan for investments are deducted from income earned on a daily basis and are not separately reflected. Consequently, investment management fees and operating expenses are reflected as a reduction of investment return for such investments.

The Plan has a revenue-sharing agreement whereby certain investment managers return a portion of the investment fees to Voya to offset the Plan's administrative expenses. Any excess resulting from this revenue sharing may be used to offset administrative expenses or allocated to participants, which was \$21,179 and \$20,353, respectively, for the years ended December 31, 2021 and 2020. The Plan held undistributed administrative revenues of \$4,664 and \$5,749 as of December 31, 2021 and 2020, respectively.

Payment of Benefits — Benefit payments to participants are recorded upon distribution. There were certain participants who elected to withdraw from the Plan that had not yet been paid as of December 31, 2021 or 2020, whose balances amounting to \$844,895 and \$72,847, respectively.

3. INVESTMENTS

Certain information related to investments and notes receivable from participants disclosed in the accompanying financial statements and ERISA-required supplemental schedule, including investments and notes receivable from participants held at December 31, 2021 and 2020, and net appreciation in

fair value of investments, dividends and interest, and interest income on notes receivable from participants for the year ended December 31, 2021, was obtained by management and agreed to or derived from information certified as complete and accurate by Voya (the trustee of the Plan).

	2021	2020
Statements of Net Assets Available for Benefits		
Participant-directed investments, at fair value	\$ 1,148,986,190	\$ 1,003,613,601
Notes receivable from participants	7,839,569	8,442,535

	2021
Statement of Changes in Net Assets Available for Benefits	
Dividends and interest	\$ 17,659,532
Net appreciation in fair value of investments	112,273,754
Interest income on notes receivable from participants	374,497

Supplemental schedule: All investment balances and information included in the supplemental schedules of assets (held at end of year).

Notes 4 and 5: All investment balances and investment information, excluding the level of investments in Note 4, Fair Value Measurements and only the fair value is certified in Note 5.

4. FAIR VALUE MEASUREMENTS

Accounting Standards Codification 820, Fair Value Measurements and Disclosures provides a framework for measuring fair value. Fair value of a financial instrument is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value, as follows: Level 1, which refers to securities valued using unadjusted quoted prices from active markets for identical assets; Level 2, which refers to securities not traded on an active market but for which observable market inputs are readily available; and Level 3, which refers to securities valued based on significant unobservable inputs. Assets are classified in their entirety based on the lowest level of input that is significant to the fair value measurement.

Asset Valuation Techniques — Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs. The following is a description of the valuation methodologies used for assets measured at fair value.

Mutual Funds — Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-ended mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Separately Managed Funds — The separately managed funds included two portfolios of individual underlying securities that are managed on the participants' behalf. Unlike a mutual fund or exchange-traded fund, the Plan directly owns the securities instead of pooling its assets with other investors. The individual assets of a separately managed fund are held in the name of the Plan (the Plan owns the underlying securities) and are generally considered separately as individual investments for accounting, auditing and financial statement reporting purposes.

The separately managed funds consist of common stocks as the underlying investments. Common stock is valued at the closing price reported on the active market on which the individual securities are traded.

Collective Trust Funds — Valued at the net asset value of units of a bank collective trust. The net asset value as provided by the trustee is used as a practical expedient to estimate fair value. The net asset value is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported net asset value. Participant transactions (purchased and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust, the investment advisor reserves the right to temporarily delay withdrawal from the trust in order to confirm that securities liquidations will be carried out in an orderly business manner.

The following tables set forth by level within the fair value hierarchy a summary of the Plan's investments measured at fair value on a recurring basis as of December 31, 2021 and 2020.

	Active Markets for Identical Assets (Level 1)	Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	2021 Total
Mutual funds	\$ 238,649,354	\$ -	\$ -	\$ 238,649,354
Separately managed fund:				
Common stocks	<u>135,355,709</u>	<u>-</u>	<u>-</u>	<u>135,355,709</u>
Total	<u>\$ 374,005,063</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 374,005,063</u>
Investments measured at NAV - Collective trust funds				<u>774,981,127</u>
Total investments				<u>\$ 1,148,986,190</u>
	Active Markets for Identical Assets (Level 1)	Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	2020 Total
Mutual funds	\$ 521,876,853	\$ -	\$ -	\$ 521,876,853
Separately managed fund:				
Common stocks	<u>133,683,196</u>	<u>-</u>	<u>-</u>	<u>133,683,196</u>
Total	<u>\$ 655,560,049</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 655,560,049</u>
Investments measured at NAV - Collective trust funds				<u>348,053,552</u>
Total investments				<u>\$ 1,003,613,601</u>

5. NET ASSET VALUE (NAV) PER SHARE

The following tables set forth a summary of the Plan's investments whose values were estimated using a reported NAV as of December 31, 2021 and 2020.

There are no participant restrictions for these investments; the redemption notice period is applicable only to the Plan.

Fair Value Estimated Using Net Asset Value per Share December 31, 2021					
Investment	Fair Value	Unfunded Commitment	Redemption Frequency	Other Redemption Restrictions	Redemption Notice Period
BlackRock Retirement Date Funds	\$ 431,509,213	\$ -	Daily	None	None
State Street Real Asset Fund; Class A	4,629,115	-	Daily	None	None
Wellington SMID Research Equity Fund	14,628,259	-	Daily	None	None
BlackRock Russell 1000 Index	168,792,028	-	Daily	None	None
BlackRock Russell 2000 Index	92,988,034	-	Daily	None	None
Invesco Stable Value Trust	<u>62,434,478</u>	<u>-</u>	Daily	None	None
Total	<u>\$ 774,981,127</u>	<u>\$ -</u>			

Fair Value Estimated Using Net Asset Value per Share December 31, 2020					
Investment	Fair Value	Unfunded Commitment	Redemption Frequency	Other Redemption Restrictions	Redemption Notice Period
BlackRock Retirement Date Funds	\$ 335,188,050	\$ -	Daily	None	None
State Street Real Asset Fund; Class A	2,141,242	-	Daily	None	None
Wellington SMID Research Equity Fund	<u>10,724,260</u>	<u>-</u>	Daily	None	None
Total	<u>\$ 348,053,552</u>	<u>\$ -</u>			

6. RELATED PARTY TRANSACTIONS AND EXEMPT PARTY-IN-INTEREST TRANSACTIONS

The Plan allows for transactions with certain parties who may perform services or have fiduciary responsibilities to the Plan. Refinitiv US LLC is the Plan Sponsor and Voya is the trustee and recordkeeper, as defined by the Plan. Fees paid for investment management services were included as a reduction of the return earned on each fund. The Plan also issues loans to participants, which are secured by the vested balances in the participants' accounts. Therefore, these transactions qualify as exempt party-in-interest transactions.

7. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan is terminated, participants would become 100% vested in their accounts.

8. FEDERAL INCOME TAX STATUS

The Plan has received a determination letter from the Internal Revenue Service (IRS) dated April 25, 2019, stating that the Plan is qualified under Section 401(a) of the IRC, and, therefore, the related trust is exempt from taxation. Subsequent to this determination by the IRS, the Plan was amended. Once qualified, the Plan is required to operate in conformity with the IRC to maintain its qualified status. The plan administrator has indicated that it will take the necessary steps, if any, to bring the Plan's operations in compliance with the IRC.

Accounting principles generally accepted in the United States require plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. Plan management has analyzed the tax positions taken by the Plan and has concluded that there are no uncertain positions taken or expected to be taken. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

9. SUBSEQUENT EVENTS

Management evaluated subsequent events for the Plan through October 17, 2022, the date the financial statements were available to be issued.

* * * * *

SUPPLEMENTAL SCHEDULE

LSEGH, INC. 401(k) PLAN

Employer ID No: 20-4530702

Plan No: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	(d) Cost **	(e) Current Value
Common Collective Trust Funds:				
	BlackRock LifePath Index Retirement NL Fund M	Common Collective Trust Funds	\$	48,056,397
	BlackRock LifePath Index 2025 NL Fund M	Common Collective Trust Funds		36,253,952
	BlackRock LifePath Index 2030 NL Fund M	Common Collective Trust Funds		101,318,805
	BlackRock LifePath Index 2035 NL Fund M	Common Collective Trust Funds		54,801,446
	BlackRock LifePath index 2040 NL Fund M	Common Collective Trust Funds		89,463,633
	BlackRock LifePath Index 2045 NL Fund M	Common Collective Trust Funds		45,360,243
	BlackRock LifePath Index 2050 NL Fund M	Common Collective Trust Funds		33,556,353
	BlackRock LifePath Index 2055 NL Fund M	Common Collective Trust Funds		15,537,656
	BlackRock LifePath Index 2060 NL Fund M	Common Collective Trust Funds		7,050,623
	BlackRock LifePath Index 2065 NL Fund M	Common Collective Trust Funds		110,105
	State Street Real Asset Fund, Class A	Common Collective Trust Funds		4,629,115
	Wellington SMID Research Equity Fund	Common Collective Trust Funds		14,628,259
	BlackRock Russell 1000 Index	Common Collective Trust Funds		168,792,028
	BlackRock Russell 2000 Index	Common Collective Trust Funds		92,988,034
	Invesco Stable Value Trust	Common Collective Trust Funds		62,434,478
	Total Common Collective Trusts			774,981,127
Mutual Funds:				
	Fidelity Total International Index	Mutual Funds		84,425,828
	Fidelity U.S. Bond Index	Mutual Funds		61,365,095
	American Funds EuroPacific Growth Fund,R-6	Mutual Funds		24,878,064
	MSF Large Cap Value	Mutual Funds		42,339,355
	Dodge & Cox Income Fund	Mutual Funds		22,195,465
	Self Directed Fund	Mutual Funds		2,960,235
	PIMCO Income Fund	Mutual Funds		485,312
	Total Mutual Funds			238,649,354
Separately Managed Account:				
T. Rowe Blue Chip Group				
	ADVANCED MICRO DEVICES	Common Stock		1,708,525
	AFFIRM HOLDINGS INC	Common Stock		411,592
	ALIGN TECHNOLOGY INC	Common Stock		230,013
	ALPHABET INC CL A	Common Stock		1,848,312
	ALPHABET INC CL C	Common Stock		12,413,501
	AMAZON. COM INC	Common Stock		13,901,194
	APPLE INC	Common Stock		10,753,284
	ASML HOLDING NV NY REG SHS	Common Stock		1,156,791
	ASTRAZENECA PLC SPONS ADR	Common Stock		299,172
	ATLASSIAN CORP PLC CLASS A	Common Stock		592,906
	BILL. COM HOLDINGS INC	Common Stock		462,173
	BLOCK INC	Common Stock		760,066
	BOOKING HOLDINGS INC	Common Stock		671,784
	CARVANA CO	Common Stock		1,287,130
				46,496,443

(Continued)

LSEGH, INC. 401(k) PLAN

Employer ID No: 20-4530702

Plan No: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)

AS OF DECEMBER 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	(d) Cost **	(e) Current Value
	CHIPOTLE MEXICAN GRILL INC	Common Stock		961,538
	CHUBB LTD	Common Stock		379,081
	CINTAS CORP	Common Stock		158,212
	COPART INC	Common Stock		350,394
	COSTAR GROUP	Common Stock		19,441
	COUPA SOFTWARE INC	Common Stock		214,790
	CROWDSTRIKE HOLDINGS INC	Common Stock		129,812
	DANAHER CORP	Common Stock		1,592,079
	DATADOG INC CLASS A	Common Stock		345,890
	DOCUSIGN INC	Common Stock		392,046
	DOLLAR GENERAL CORP	Common Stock		912,190
	DOORDASH INC A	Common Stock		1,020,858
	ELI LILLY+ CO	Common Stock		1,522,248
	EQUIFAX INC	Common Stock		190,021
	FISERV INC	Common Stock		607,794
	FORTINET INC	Common Stock		878,374
	GENERAL ELECTRIC co	Common Stock		366,449
	GOLDMAN SACHS GROUP INC	Common Stock		1,302,965
	HASHICORP INC CL A	Common Stock		95,683
	HCA HEALTHCARE INC	Common Stock		1,105,784
	HUMANA INC	Common Stock		477,312
	INTUIT INC	Common Stock		2,789,645
	INTUITIVE SURGICAL INC	Common Stock		2,218,678
	LINDE PLC	Common Stock		372,759
	LULULEMON ATHLETICA INC	Common Stock		1,016,204
	MARSH + MCLENNAN cos	Common Stock		252,908
	MARVELL TECHNOLOGY INC	Common Stock		637,015
	MASTERCARD INC A	Common Stock		2,487,213
	META PLATFORMS INC CLASS A	Common Stock		9,228,771
	MICROSOFT CORP	Common Stock		13,387,554
	MONGODB INC	Common Stock		909,953
	MONOLITHIC POWER SYSTEMS INC	Common Stock		414,891
	MSCI INC	Common Stock		178,905
	NETFLIX INC	Common Stock		2,491,089
	NIKE INC CL B	Common Stock		1,098,355
	NVIDIA CORP	Common Stock		4,487,824
	OPENDOOR TECHNOLOGIES INC	Common Stock		176,620
	PAYCOM SOFTWARE INC	Common Stock		89,266
	PAYPAL HOLDINGS INC	Common Stock		1,320,814
	PINTEREST INC CLASS A	Common Stock		484,982
	RIVIAN AUTOMOTIVE INC A	Common Stock		589,685
	ROKU INC	Common Stock		116,154
	ROPER TECHNOLOGIES INC	Common Stock		613,841
	ROSS STORES INC	Common Stock		976,980
				59,363,067

(Continued)

FORM 5500, SCHEDULE H, PART IV, LINE 4i—SCHEDULE OF ASSETS (HELD AT END OF YEAR)
AS OF DECEMBER 31, 2021

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment, Including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	(d) Cost **	(e) Current Value
	S+P GLOBAL INC	Common Stock		907,993
	SALESFORCE.COM INC	Common Stock		279,797
	SCHWAB (CHARLES) CORP	Common Stock		632,012
	SEA LTD ADR	Common Stock		2,175,803
	SERVICENOW INC	Common Stock		3,155,973
	SHERWIN WILLIAMS CO/THE	Common Stock		60,219
	SHOPIFY INC CLASS A	Common Stock		880,152
	SNAP INC A	Common Stock		1,730,657
	SNOWFLAKE INC CLASS A	Common Stock		259,821
	SPOTIFY TECHNOLOGY SA	Common Stock		383,341
	STARBUCKS CORP	Common Stock		321,200
	STRYKER CORP	Common Stock		937,307
	SYNOPSYS INC	Common Stock		1,413,566
	T MOBILE US INC	Common Stock		250,981
	TAIWAN SEMICONDUCTOR SP ADR	Common Stock		429,627
	TE CONNECTIVITY LTD	Common Stock		253,949
	TELEFLEX INC	Common Stock		294,318
	TENCENT HOLDINGS	Common Stock		673,807
	TESLA INC	Common Stock		4,263,051
	TEXAS INSTRUMENTS INC	Common Stock		585,576
	THERMO FISHER SCIENTIFIC INC	Common Stock		862,074
	TJX COMPANIES INC	Common Stock		252,054
	TOAST INC CLASS A	Common Stock		19,681
	TRANS UNION	Common Stock		218,424
	TWILIO INC A	Common Stock		192,765
	UNITEDHEALTH GROUP INC	Common Stock		2,629,205
	VEEVA SYSTEMS INC CLASS	Common Stock		348,986
	VISA INC CLASS A SHARES	Common Stock		3,189,538
	WALT DISNEY CO/THE	Common Stock		460,023
	ZOETIS INC	Common Stock		875,580
	ZOOM VIDEO COMMUNICATIONS	Common Stock		558,719
	Total Separately Managed Account			135,355,709
	* Various Participants	Participant loans (maturing through 2031 at interest rates of 4.25% to 8.72%)		7,839,569
		Total		\$ 1,156,825,759

* Party-in-interest.

** Cost information is not required for participant-directed investments and therefore not included.

See accompanying independent auditors' report.