

|                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| <b>Form 5500</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security<br>Administration<br><br>Pension Benefit Guaranty Corporation | <b>Annual Return/Report of Employee Benefit Plan</b><br>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).<br><br><p style="text-align: center;">▶ <b>Complete all entries in accordance with the instructions to the Form 5500.</b></p> | OMB Nos. 1210-0110<br>1210-0089<br><br><b>2021</b><br><br><b>This Form is Open to Public Inspection</b> |
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|                                                                                                           |                                                                                                                                                                                                                                                                                                                                            |
|-----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part I</b>                                                                                             | <b>Annual Report Identification Information</b>                                                                                                                                                                                                                                                                                            |
| For calendar plan year 2021 or fiscal plan year beginning <u>07/01/2021</u> and ending <u>06/30/2022</u>  |                                                                                                                                                                                                                                                                                                                                            |
| <b>A</b> This return/report is for:                                                                       | <input type="checkbox"/> a multiemployer plan <input type="checkbox"/> a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)<br><input checked="" type="checkbox"/> a single-employer plan <input type="checkbox"/> a DFE (specify) _____ |
| <b>B</b> This return/report is:                                                                           | <input type="checkbox"/> the first return/report <input type="checkbox"/> the final return/report<br><input type="checkbox"/> an amended return/report <input type="checkbox"/> a short plan year return/report (less than 12 months)                                                                                                      |
| <b>C</b> If the plan is a collectively-bargained plan, check here. . . . .                                | <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                   |
| <b>D</b> Check box if filing under:                                                                       | <input checked="" type="checkbox"/> Form 5558 <input type="checkbox"/> automatic extension <input type="checkbox"/> the DFVC program<br><input type="checkbox"/> special extension (enter description)                                                                                                                                     |
| <b>E</b> If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . | <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                   |

|                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                          |            |                                                       |  |                                                                     |  |                                                                  |  |                                                             |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|------------|-------------------------------------------------------|--|---------------------------------------------------------------------|--|------------------------------------------------------------------|--|-------------------------------------------------------------|--|
| <b>Part II</b>                                                                                                                                                                                                                                                                                                                                                 | <b>Basic Plan Information—enter all requested information</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                          |            |                                                       |  |                                                                     |  |                                                                  |  |                                                             |  |
| <b>1a</b> Name of plan<br><u>ACME MONACO CORPORATION 401(K) PROFIT SHARING PLAN</u>                                                                                                                                                                                                                                                                            | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"><b>1b</b> Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2"><b>1c</b> Effective date of plan<br/><u>07/01/1965</u></td> </tr> <tr> <td colspan="2"><b>2b</b> Employer Identification Number (EIN)<br/><u>06-0703533</u></td> </tr> <tr> <td colspan="2"><b>2c</b> Plan Sponsor's telephone number<br/><u>860-224-1349</u></td> </tr> <tr> <td colspan="2"><b>2d</b> Business code (see instructions)<br/><u>332610</u></td> </tr> </table> | <b>1b</b> Three-digit plan number (PN) ▶ | <u>001</u> | <b>1c</b> Effective date of plan<br><u>07/01/1965</u> |  | <b>2b</b> Employer Identification Number (EIN)<br><u>06-0703533</u> |  | <b>2c</b> Plan Sponsor's telephone number<br><u>860-224-1349</u> |  | <b>2d</b> Business code (see instructions)<br><u>332610</u> |  |
| <b>1b</b> Three-digit plan number (PN) ▶                                                                                                                                                                                                                                                                                                                       | <u>001</u>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                          |            |                                                       |  |                                                                     |  |                                                                  |  |                                                             |  |
| <b>1c</b> Effective date of plan<br><u>07/01/1965</u>                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                          |            |                                                       |  |                                                                     |  |                                                                  |  |                                                             |  |
| <b>2b</b> Employer Identification Number (EIN)<br><u>06-0703533</u>                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                          |            |                                                       |  |                                                                     |  |                                                                  |  |                                                             |  |
| <b>2c</b> Plan Sponsor's telephone number<br><u>860-224-1349</u>                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                          |            |                                                       |  |                                                                     |  |                                                                  |  |                                                             |  |
| <b>2d</b> Business code (see instructions)<br><u>332610</u>                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                          |            |                                                       |  |                                                                     |  |                                                                  |  |                                                             |  |
| <b>2a</b> Plan sponsor's name (employer, if for a single-employer plan)<br>Mailing address (include room, apt., suite no. and street, or P.O. Box)<br>City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)<br><u>ACME MONACO CORPORATION</u><br><br><u>P.O. BOX 264</u><br><u>PLAINVILLE, CT 06062-0264</u> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                          |            |                                                       |  |                                                                     |  |                                                                  |  |                                                             |  |

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

|                  |                                                   |            |                                                              |
|------------------|---------------------------------------------------|------------|--------------------------------------------------------------|
| <b>SIGN HERE</b> | Filed with authorized/valid electronic signature. | 12/21/2022 | REBECCA KARABIN                                              |
|                  | Signature of plan administrator                   | Date       | Enter name of individual signing as plan administrator       |
| <b>SIGN HERE</b> | Filed with authorized/valid electronic signature. | 12/21/2022 | REBECCA KARABIN                                              |
|                  | Signature of employer/plan sponsor                | Date       | Enter name of individual signing as employer or plan sponsor |
| <b>SIGN HERE</b> |                                                   |            |                                                              |
|                  | Signature of DFE                                  | Date       | Enter name of individual signing as DFE                      |

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2021)  
v. 210624

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>3b</b> Administrator's EIN<br><br><b>3c</b> Administrator's telephone number<br><br><div style="background-color: #cccccc; height: 40px; width: 100%;"></div>                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>4</b> If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:<br><b>a</b> Sponsor's name<br><b>c</b> Plan Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>4b</b> EIN<br><br><b>4d</b> PN                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>5</b> Total number of participants at the beginning of the plan year                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | <b>5</b> 185                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines <b>6a(1)</b> , <b>6a(2)</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).<br><br><b>a(1)</b> Total number of active participants at the beginning of the plan year.....<br><b>a(2)</b> Total number of active participants at the end of the plan year .....<br><b>b</b> Retired or separated participants receiving benefits.....<br><b>c</b> Other retired or separated participants entitled to future benefits .....<br><b>d</b> Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b> .....<br><b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. ....<br><b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....<br><b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....<br><b>h</b> Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested ..... | <b>6a(1)</b> 161<br><b>6a(2)</b> 167<br><b>6b</b> 0<br><b>6c</b> 26<br><b>6d</b> 193<br><b>6e</b> 0<br><b>6f</b> 193<br><b>6g</b> 193<br><b>6h</b> 1                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>7</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>8a</b> If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:<br>2E 2F 2H 2J 2S 2T 3D<br><br><b>b</b> If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>9a</b> Plan funding arrangement (check all that apply)<br><b>(1)</b> <input checked="" type="checkbox"/> Insurance<br><b>(2)</b> <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br><b>(3)</b> <input checked="" type="checkbox"/> Trust<br><b>(4)</b> <input type="checkbox"/> General assets of the sponsor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>9b</b> Plan benefit arrangement (check all that apply)<br><b>(1)</b> <input checked="" type="checkbox"/> Insurance<br><b>(2)</b> <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br><b>(3)</b> <input checked="" type="checkbox"/> Trust<br><b>(4)</b> <input type="checkbox"/> General assets of the sponsor                                                                                                                                                                                                                                       |
| <b>10</b> Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>a Pension Schedules</b><br><b>(1)</b> <input checked="" type="checkbox"/> <b>R</b> (Retirement Plan Information)<br><br><b>(2)</b> <input type="checkbox"/> <b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary<br><br><b>(3)</b> <input type="checkbox"/> <b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>b General Schedules</b><br><b>(1)</b> <input checked="" type="checkbox"/> <b>H</b> (Financial Information)<br><b>(2)</b> <input type="checkbox"/> <b>I</b> (Financial Information – Small Plan)<br><b>(3)</b> <input checked="" type="checkbox"/> <u>1</u> <b>A</b> (Insurance Information)<br><b>(4)</b> <input checked="" type="checkbox"/> <b>C</b> (Service Provider Information)<br><b>(5)</b> <input checked="" type="checkbox"/> <b>D</b> (DFE/Participating Plan Information)<br><b>(6)</b> <input type="checkbox"/> <b>G</b> (Financial Transaction Schedules) |

**Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)**

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_

|                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                    |                                                                                                |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| <div>SCHEDULE A</div> <div>(Form 5500)</div> <div>Department of the Treasury</div> <div>Internal Revenue Service</div> <div>Department of Labor</div> <div>Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div> | <div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div> | <div>OMB No. 1210-0110</div> <div>2021</div> <div>This Form is Open to Public Inspection</div> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|

|                                                                                            |                                                      |
|--------------------------------------------------------------------------------------------|------------------------------------------------------|
| For calendar plan year 2021 or fiscal plan year beginning 07/01/2021 and ending 06/30/2022 |                                                      |
| A Name of plan<br>ACME MONACO CORPORATION 401(K) PROFIT SHARING PLAN                       | B Three-digit plan number (PN) ▶ 001                 |
| C Plan sponsor's name as shown on line 2a of Form 5500<br>ACME MONACO CORPORATION          | D Employer Identification Number (EIN)<br>06-0703533 |

|        |                                                                                                                                                                                                                                              |
|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Part I | Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A. |
|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1 Coverage Information:

(a) Name of insurance carrier  
PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY COMPANY

| (b) EIN    | (c) NAIC code | (d) Contract or identification number | (e) Approximate number of persons covered at end of policy or contract year | Policy or contract year |            |
|------------|---------------|---------------------------------------|-----------------------------------------------------------------------------|-------------------------|------------|
|            |               |                                       |                                                                             | (f) From                | (g) To     |
| 06-1050034 | 93629         | 041685                                | 193                                                                         | 07/01/2021              | 06/30/2022 |

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

|                                      |                               |
|--------------------------------------|-------------------------------|
| (a) Total amount of commissions paid | (b) Total amount of fees paid |
| 0                                    | 12771                         |

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid  
RBC CAPITAL MARKETS, LLC  
60 SOUTH 6TH STREET  
MINNEAPOLIS, MN 55402-0000

| (b) Amount of sales and base commissions paid | Fees and other commissions paid |                             | (e) Organization code |
|-----------------------------------------------|---------------------------------|-----------------------------|-----------------------|
|                                               | (c) Amount                      | (d) Purpose                 |                       |
|                                               | 12771                           | SERVICES TO INSURER OR PLAN | 4                     |

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

| (b) Amount of sales and base commissions paid | Fees and other commissions paid |             | (e) Organization code |
|-----------------------------------------------|---------------------------------|-------------|-----------------------|
|                                               | (c) Amount                      | (d) Purpose |                       |
|                                               |                                 |             |                       |

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**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

---

| <b>(b)</b> Amount of sales and base commissions paid | Fees and other commissions paid |                    | <b>(e)</b><br>Organization code |
|------------------------------------------------------|---------------------------------|--------------------|---------------------------------|
|                                                      | <b>(c)</b> Amount               | <b>(d)</b> Purpose |                                 |
|                                                      |                                 |                    |                                 |

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**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

---

| <b>(b)</b> Amount of sales and base commissions paid | Fees and other commissions paid |                    | <b>(e)</b><br>Organization code |
|------------------------------------------------------|---------------------------------|--------------------|---------------------------------|
|                                                      | <b>(c)</b> Amount               | <b>(d)</b> Purpose |                                 |
|                                                      |                                 |                    |                                 |

---

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

---

| <b>(b)</b> Amount of sales and base commissions paid | Fees and other commissions paid |                    | <b>(e)</b><br>Organization code |
|------------------------------------------------------|---------------------------------|--------------------|---------------------------------|
|                                                      | <b>(c)</b> Amount               | <b>(d)</b> Purpose |                                 |
|                                                      |                                 |                    |                                 |

---

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

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| <b>(b)</b> Amount of sales and base commissions paid | Fees and other commissions paid |                    | <b>(e)</b><br>Organization code |
|------------------------------------------------------|---------------------------------|--------------------|---------------------------------|
|                                                      | <b>(c)</b> Amount               | <b>(d)</b> Purpose |                                 |
|                                                      |                                 |                    |                                 |

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**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

---

| <b>(b)</b> Amount of sales and base commissions paid | Fees and other commissions paid |                    | <b>(e)</b><br>Organization code |
|------------------------------------------------------|---------------------------------|--------------------|---------------------------------|
|                                                      | <b>(c)</b> Amount               | <b>(d)</b> Purpose |                                 |
|                                                      |                                 |                    |                                 |

**Part II Investment and Annuity Contract Information**

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

|          |                                                                                               |          |         |
|----------|-----------------------------------------------------------------------------------------------|----------|---------|
| <b>4</b> | Current value of plan's interest under this contract in the general account at year end ..... | <b>4</b> | 2013395 |
| <b>5</b> | Current value of plan's interest under this contract in separate accounts at year end.....    | <b>5</b> | 3042296 |

**6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶

|          |                                                                                                                                                                                                       |           |  |
|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--|
| <b>b</b> | Premiums paid to carrier .....                                                                                                                                                                        | <b>6b</b> |  |
| <b>c</b> | Premiums due but unpaid at the end of the year .....                                                                                                                                                  | <b>6c</b> |  |
| <b>d</b> | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. ....<br>Specify nature of costs ▶ | <b>6d</b> |  |

**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity  
(3) ☐ other (specify) ▶

**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee  
(3) ☐ guaranteed investment (4) ☒ other ▶ **GUARANTEED GENERAL ACCOUNT CONTRACT**

|          |                                               |           |         |
|----------|-----------------------------------------------|-----------|---------|
| <b>b</b> | Balance at the end of the previous year ..... | <b>7b</b> | 1523172 |
|----------|-----------------------------------------------|-----------|---------|

|          |                                                              |              |        |  |
|----------|--------------------------------------------------------------|--------------|--------|--|
| <b>c</b> | Additions: (1) Contributions deposited during the year ..... | <b>7c(1)</b> | 153410 |  |
|          | (2) Dividends and credits.....                               | <b>7c(2)</b> |        |  |
|          | (3) Interest credited during the year.....                   | <b>7c(3)</b> | 10819  |  |
|          | (4) Transferred from separate account.....                   | <b>7c(4)</b> | 323826 |  |
|          | (5) Other (specify below) .....                              | <b>7c(5)</b> | 238444 |  |

▶ **LOAN REPAYMENTS TRANSFERS IN FORFEITURE CREDITS**

|                           |              |        |
|---------------------------|--------------|--------|
| (6) Total additions ..... | <b>7c(6)</b> | 726499 |
|---------------------------|--------------|--------|

|          |                                                                              |           |         |
|----------|------------------------------------------------------------------------------|-----------|---------|
| <b>d</b> | Total of balance and additions (add lines <b>7b</b> and <b>7c(6)</b> ) ..... | <b>7d</b> | 2249671 |
|----------|------------------------------------------------------------------------------|-----------|---------|

**e** Deductions:

|  |                                                                           |              |       |  |
|--|---------------------------------------------------------------------------|--------------|-------|--|
|  | (1) Disbursed from fund to pay benefits or purchase annuities during year | <b>7e(1)</b> | 71829 |  |
|  | (2) Administration charge made by carrier.....                            | <b>7e(2)</b> | 336   |  |
|  | (3) Transferred to separate account.....                                  | <b>7e(3)</b> | 78812 |  |
|  | (4) Other (specify below) .....                                           | <b>7e(4)</b> | 85299 |  |

▶ **LOAN INITIATIONS TRANSFERS OUT, FORFEITURES DEBITS  
MISCELLANEOUS OUT**

|                            |              |        |
|----------------------------|--------------|--------|
| (5) Total deductions ..... | <b>7e(5)</b> | 236276 |
|----------------------------|--------------|--------|

|          |                                                                                                |           |         |
|----------|------------------------------------------------------------------------------------------------|-----------|---------|
| <b>f</b> | Balance at the end of the current year (subtract line <b>7e(5)</b> from line <b>7d</b> ) ..... | <b>7f</b> | 2013395 |
|----------|------------------------------------------------------------------------------------------------|-----------|---------|

**Part III Welfare Benefit Contract Information**

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)     
**b** ☐ Dental     
**c** ☐ Vision     
**d** ☐ Life insurance  
**e** ☐ Temporary disability (accident and sickness)     
**f** ☐ Long-term disability     
**g** ☐ Supplemental unemployment     
**h** ☐ Prescription drug  
**i** ☐ Stop loss (large deductible)     
**j** ☐ HMO contract     
**k** ☐ PPO contract     
**l** ☐ Indemnity contract  
**m** ☐ Other (specify) ▶

**9** Experience-rated contracts:

|                                                                                                                                                    |                 |                 |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------|--|
| <b>a</b> Premiums: (1) Amount received .....                                                                                                       | <b>9a(1)</b>    |                 |  |
| (2) Increase (decrease) in amount due but unpaid .....                                                                                             | <b>9a(2)</b>    |                 |  |
| (3) Increase (decrease) in unearned premium reserve .....                                                                                          | <b>9a(3)</b>    |                 |  |
| (4) Earned ((1) + (2) - (3)) .....                                                                                                                 |                 | <b>9a(4)</b>    |  |
| <b>b</b> Benefit charges (1) Claims paid .....                                                                                                     | <b>9b(1)</b>    |                 |  |
| (2) Increase (decrease) in claim reserves .....                                                                                                    | <b>9b(2)</b>    |                 |  |
| (3) Incurred claims (add (1) and (2)) .....                                                                                                        |                 | <b>9b(3)</b>    |  |
| (4) Claims charged .....                                                                                                                           |                 | <b>9b(4)</b>    |  |
| <b>c</b> Remainder of premium: (1) Retention charges (on an accrual basis) --                                                                      |                 |                 |  |
| (A) Commissions .....                                                                                                                              | <b>9c(1)(A)</b> |                 |  |
| (B) Administrative service or other fees .....                                                                                                     | <b>9c(1)(B)</b> |                 |  |
| (C) Other specific acquisition costs .....                                                                                                         | <b>9c(1)(C)</b> |                 |  |
| (D) Other expenses .....                                                                                                                           | <b>9c(1)(D)</b> |                 |  |
| (E) Taxes .....                                                                                                                                    | <b>9c(1)(E)</b> |                 |  |
| (F) Charges for risks or other contingencies .....                                                                                                 | <b>9c(1)(F)</b> |                 |  |
| (G) Other retention charges .....                                                                                                                  | <b>9c(1)(G)</b> |                 |  |
| (H) Total retention .....                                                                                                                          |                 | <b>9c(1)(H)</b> |  |
| (2) Dividends or retroactive rate refunds. (These amounts were <input type="checkbox"/> paid in cash, or <input type="checkbox"/> credited.) ..... |                 | <b>9c(2)</b>    |  |
| <b>d</b> Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement .....                                |                 | <b>9d(1)</b>    |  |
| (2) Claim reserves .....                                                                                                                           |                 | <b>9d(2)</b>    |  |
| (3) Other reserves .....                                                                                                                           |                 | <b>9d(3)</b>    |  |
| <b>e</b> Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).) .....                                           |                 | <b>9e</b>       |  |

**10** Nonexperience-rated contracts:

|                                                                                                                                                                                                                                                             |            |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--|
| <b>a</b> Total premiums or subscription charges paid to carrier .....                                                                                                                                                                                       | <b>10a</b> |  |
| <b>b</b> If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. ....<br>Specify nature of costs. | <b>10b</b> |  |

**Part IV Provision of Information**

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ..... ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

|                                                                                                                                                                                                                           |                                                                                                                                                                                                                        |                                                |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|
| <b>SCHEDULE C</b><br><b>(Form 5500)</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security Administration<br><br>Pension Benefit Guaranty Corporation | <b>Service Provider Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br><br>▶ <b>File as an attachment to Form 5500.</b> | OMB No. 1210-0110                              |
|                                                                                                                                                                                                                           |                                                                                                                                                                                                                        | <b>2021</b>                                    |
|                                                                                                                                                                                                                           |                                                                                                                                                                                                                        | <b>This Form is Open to Public Inspection.</b> |

|                                                                                            |                                                             |     |
|--------------------------------------------------------------------------------------------|-------------------------------------------------------------|-----|
| For calendar plan year 2021 or fiscal plan year beginning 07/01/2021 and ending 06/30/2022 |                                                             |     |
| <b>A</b> Name of plan<br>ACME MONACO CORPORATION 401(K) PROFIT SHARING PLAN                | <b>B</b> Three-digit plan number (PN) ▶                     | 001 |
|                                                                                            |                                                             |     |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br>ACME MONACO CORPORATION   | <b>D</b> Employer Identification Number (EIN)<br>06-0703533 |     |

|               |                                                        |
|---------------|--------------------------------------------------------|
| <b>Part I</b> | <b>Service Provider Information (see instructions)</b> |
|---------------|--------------------------------------------------------|

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

**1 Information on Persons Receiving Only Eligible Indirect Compensation**

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

|                                                                                                                   |
|-------------------------------------------------------------------------------------------------------------------|
| <b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
| PRUDENTIAL RETIREMENT INSURANCE AND                                                                               |
| 06-1050034                                                                                                        |

|                                                                                                                   |
|-------------------------------------------------------------------------------------------------------------------|
| <b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|-------------------------------------------------------------------------------------------------------------------|

|                                                                                                                   |
|-------------------------------------------------------------------------------------------------------------------|
| <b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|-------------------------------------------------------------------------------------------------------------------|

|                                                                                                                   |
|-------------------------------------------------------------------------------------------------------------------|
| <b>(b)</b> Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|-------------------------------------------------------------------------------------------------------------------|

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PRUDENTIAL RETIREMENT INSURANCE AND

06-1050034

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 13 15 37<br>50 64      | NONE                                                                                              | 18154                                                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                                            | 0                                                                                                                                                                               | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

RBC WEALTH MANAGEMENT

41-1416330

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 33 71                  | NONE                                                                                              | 0                                                                      | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                                            | 26026                                                                                                                                                                           | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
|                        |                                                                                                   |                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                             | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                               |                                                                                                          |                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                    | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**(a)** Enter name and EIN or address (see instructions)

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                               |                                                                                                          |                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                    | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**(a)** Enter name and EIN or address (see instructions)

| <b>(b)</b><br>Service Code(s) | <b>(c)</b><br>Relationship to employer, employee organization, or person known to be a party-in-interest | <b>(d)</b><br>Enter direct compensation paid by the plan. If none, enter -0-. | <b>(e)</b><br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | <b>(f)</b><br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | <b>(g)</b><br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | <b>(h)</b><br>Did the service provider give you a formula instead of an amount or estimated amount? |
|-------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                               |                                                                                                          |                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                    | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                              |                                                                                                                                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                            |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| PRUDENTIAL RETIREMENT INSURANCE AND                                 | 15 64                                                                                                                                                              | 0                                         |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
| PRUDENTIAL RETIREMENT INSURANCE AND<br><br>06-1050034               | 90.000 BPS AND \$0.00 PER PARTICIPANT INVESTED IN THE FUND FROM 1/1/2021 TO 12/31/2021 GUARANTEED INCOME FUND                                                      |                                           |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |

**Part II Service Providers Who Fail or Refuse to Provide Information**

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|--------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------|
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |

**Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)**  
(complete as many entries as needed)

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                                                                                                                                                                                                               |                                                                                                                                                                                                                              |                                                                                            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <b>SCHEDULE D</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small> | <b>DFE/Participating Plan Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br><br><b>► File as an attachment to Form 5500.</b> | OMB No. 1210-0110<br><br><b>2021</b><br><br><b>This Form is Open to Public Inspection.</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|

For calendar plan year 2021 or fiscal plan year beginning 07/01/2021 and ending 06/30/2022

|                                                                                                        |                                                                    |            |
|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------|
| <b>A</b> Name of plan<br><u>ACME MONACO CORPORATION 401(K) PROFIT SHARING PLAN</u>                     | <b>B</b> Three-digit plan number (PN)                              | <u>001</u> |
| <b>C</b> Plan or DFE sponsor's name as shown on line 2a of Form 5500<br><u>ACME MONACO CORPORATION</u> | <b>D</b> Employer Identification Number (EIN)<br><u>06-0703533</u> |            |

|               |                                                                                                                                                                                  |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part I</b> | <b>Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)</b><br>(Complete as many entries as needed to report all interests in DFEs) |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                     |                               |                                                                                                                   |  |  |
|-------------------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------------------------------------|--|--|
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>CORE PLUS BOND / PGIM FD</u>      |                               |                                                                                                                   |  |  |
| <b>b</b> Name of sponsor of entity listed in (a): <u>PRUDENTIAL RETIREMENT INS.</u> |                               |                                                                                                                   |  |  |
| <b>c</b> EIN-PN <u>06-1050034-299</u>                                               | <b>d</b> Entity code <u>P</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>828619</u> |  |  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>DRYDEN S&amp;P 500 INDEX FUND</u> |                               |                                                                                                                   |  |  |
| <b>b</b> Name of sponsor of entity listed in (a): <u>PRUDENTIAL RETIREMENT INS.</u> |                               |                                                                                                                   |  |  |
| <b>c</b> EIN-PN <u>06-1050034-123</u>                                               | <b>d</b> Entity code <u>P</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>514976</u> |  |  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>HIGH GRADE BOND / GSAM</u>        |                               |                                                                                                                   |  |  |
| <b>b</b> Name of sponsor of entity listed in (a): <u>PRUDENTIAL RETIREMENT INS.</u> |                               |                                                                                                                   |  |  |
| <b>c</b> EIN-PN <u>06-1050034-039</u>                                               | <b>d</b> Entity code <u>P</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>70485</u>  |  |  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>HIGH YIELD BOND / PRU</u>         |                               |                                                                                                                   |  |  |
| <b>b</b> Name of sponsor of entity listed in (a): <u>PRUDENTIAL RETIREMENT INS.</u> |                               |                                                                                                                   |  |  |
| <b>c</b> EIN-PN <u>06-1050034-300</u>                                               | <b>d</b> Entity code <u>P</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>38459</u>  |  |  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>LCG/JENNISON FUND</u>             |                               |                                                                                                                   |  |  |
| <b>b</b> Name of sponsor of entity listed in (a): <u>PRUDENTIAL RETIREMENT INS.</u> |                               |                                                                                                                   |  |  |
| <b>c</b> EIN-PN <u>06-1050034-408</u>                                               | <b>d</b> Entity code <u>P</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>786840</u> |  |  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>LCV/WELLINGTON</u>                |                               |                                                                                                                   |  |  |
| <b>b</b> Name of sponsor of entity listed in (a): <u>PRUDENTIAL RETIREMENT INS.</u> |                               |                                                                                                                   |  |  |
| <b>c</b> EIN-PN <u>06-1050034-184</u>                                               | <b>d</b> Entity code <u>P</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>64037</u>  |  |  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: <u>SCV/KENNEDY CAPITAL</u>           |                               |                                                                                                                   |  |  |
| <b>b</b> Name of sponsor of entity listed in (a): <u>PRUDENTIAL RETIREMENT INS.</u> |                               |                                                                                                                   |  |  |
| <b>c</b> EIN-PN <u>06-1050034-257</u>                                               | <b>d</b> Entity code <u>P</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>138124</u> |  |  |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: TRP EQTY INC STGY II

**b** Name of sponsor of entity listed in (a): PRUDENTIAL RETIREMENT INS.

|                                |                        |                                                                                                            |
|--------------------------------|------------------------|------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN 06-1050034-352 | <b>d</b> Entity code P | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 600756 |
|--------------------------------|------------------------|------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**Part II Information on Participating Plans (to be completed by DFEs)**

(Complete as many entries as needed to report all participating plans)

**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN

|                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                              |                                                                                                          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| <b>SCHEDULE H</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small><br><br><small>Pension Benefit Guaranty Corporation</small> | <b>Financial Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).<br><br><b>► File as an attachment to Form 5500.</b> | <small>OMB No. 1210-0110</small><br><br><b>2021</b><br><br><b>This Form is Open to Public Inspection</b> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|

|                                                                                                          |                                                                    |            |
|----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------|
| For calendar plan year 2021 or fiscal plan year beginning <b>07/01/2021</b> and ending <b>06/30/2022</b> |                                                                    |            |
| <b>A</b> Name of plan<br><b>ACME MONACO CORPORATION 401(K) PROFIT SHARING PLAN</b>                       | <b>B</b> Three-digit plan number (PN) ►                            | <b>001</b> |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><b>ACME MONACO CORPORATION</b>          | <b>D</b> Employer Identification Number (EIN)<br><b>06-0703533</b> |            |

|               |                                      |
|---------------|--------------------------------------|
| <b>Part I</b> | <b>Asset and Liability Statement</b> |
|---------------|--------------------------------------|

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets                                                                                            |                 | (a) Beginning of Year | (b) End of Year |
|---------------------------------------------------------------------------------------------------|-----------------|-----------------------|-----------------|
| <b>a</b> Total noninterest-bearing cash.....                                                      | <b>1a</b>       |                       |                 |
| <b>b</b> Receivables (less allowance for doubtful accounts):                                      |                 |                       |                 |
| <b>(1)</b> Employer contributions .....                                                           | <b>1b(1)</b>    |                       |                 |
| <b>(2)</b> Participant contributions.....                                                         | <b>1b(2)</b>    |                       |                 |
| <b>(3)</b> Other .....                                                                            | <b>1b(3)</b>    |                       |                 |
| <b>c</b> General investments:                                                                     |                 |                       |                 |
| <b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit) .....  | <b>1c(1)</b>    |                       |                 |
| <b>(2)</b> U.S. Government securities .....                                                       | <b>1c(2)</b>    |                       |                 |
| <b>(3)</b> Corporate debt instruments (other than employer securities):                           |                 |                       |                 |
| <b>(A)</b> Preferred .....                                                                        | <b>1c(3)(A)</b> |                       |                 |
| <b>(B)</b> All other .....                                                                        | <b>1c(3)(B)</b> |                       |                 |
| <b>(4)</b> Corporate stocks (other than employer securities):                                     |                 |                       |                 |
| <b>(A)</b> Preferred .....                                                                        | <b>1c(4)(A)</b> |                       |                 |
| <b>(B)</b> Common .....                                                                           | <b>1c(4)(B)</b> |                       |                 |
| <b>(5)</b> Partnership/joint venture interests .....                                              | <b>1c(5)</b>    |                       |                 |
| <b>(6)</b> Real estate (other than employer real property) .....                                  | <b>1c(6)</b>    |                       |                 |
| <b>(7)</b> Loans (other than to participants) .....                                               | <b>1c(7)</b>    |                       |                 |
| <b>(8)</b> Participant loans .....                                                                | <b>1c(8)</b>    | 122323                | 174441          |
| <b>(9)</b> Value of interest in common/collective trusts .....                                    | <b>1c(9)</b>    |                       |                 |
| <b>(10)</b> Value of interest in pooled separate accounts .....                                   | <b>1c(10)</b>   | 4010393               | 3042296         |
| <b>(11)</b> Value of interest in master trust investment accounts .....                           | <b>1c(11)</b>   |                       |                 |
| <b>(12)</b> Value of interest in 103-12 investment entities .....                                 | <b>1c(12)</b>   |                       |                 |
| <b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds) .....       | <b>1c(13)</b>   | 3823506               | 3089880         |
| <b>(14)</b> Value of funds held in insurance company general account (unallocated contracts)..... | <b>1c(14)</b>   | 1523172               | 2013395         |
| <b>(15)</b> Other.....                                                                            | <b>1c(15)</b>   |                       |                 |

| <b>1d</b> Employer-related investments:                              |              | (a) Beginning of Year | (b) End of Year |
|----------------------------------------------------------------------|--------------|-----------------------|-----------------|
| (1) Employer securities.....                                         | <b>1d(1)</b> |                       |                 |
| (2) Employer real property.....                                      | <b>1d(2)</b> |                       |                 |
| <b>e</b> Buildings and other property used in plan operation .....   | <b>1e</b>    |                       |                 |
| <b>f</b> Total assets (add all amounts in lines 1a through 1e) ..... | <b>1f</b>    | 9479394               | 8320012         |

**Liabilities**

|                                                                           |           |   |   |
|---------------------------------------------------------------------------|-----------|---|---|
| <b>g</b> Benefit claims payable .....                                     | <b>1g</b> |   |   |
| <b>h</b> Operating payables .....                                         | <b>1h</b> |   |   |
| <b>i</b> Acquisition indebtedness.....                                    | <b>1i</b> |   |   |
| <b>j</b> Other liabilities.....                                           | <b>1j</b> |   |   |
| <b>k</b> Total liabilities (add all amounts in lines 1g through 1j) ..... | <b>1k</b> | 0 | 0 |

**Net Assets**

|                                                           |           |         |         |
|-----------------------------------------------------------|-----------|---------|---------|
| <b>l</b> Net assets (subtract line 1k from line 1f) ..... | <b>1l</b> | 9479394 | 8320012 |
|-----------------------------------------------------------|-----------|---------|---------|

**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

**Income**

|                                                                                                            |                 | (a) Amount | (b) Total |
|------------------------------------------------------------------------------------------------------------|-----------------|------------|-----------|
| <b>a Contributions:</b>                                                                                    |                 |            |           |
| (1) Received or receivable in cash from: <b>(A)</b> Employers .....                                        | <b>2a(1)(A)</b> | 80000      |           |
| <b>(B)</b> Participants .....                                                                              | <b>2a(1)(B)</b> | 529129     |           |
| <b>(C)</b> Others (including rollovers).....                                                               | <b>2a(1)(C)</b> | 53934      |           |
| (2) Noncash contributions.....                                                                             | <b>2a(2)</b>    |            |           |
| (3) Total contributions. Add lines <b>2a(1)(A)</b> , <b>(B)</b> , <b>(C)</b> , and line <b>2a(2)</b> ..... | <b>2a(3)</b>    |            | 663063    |
| <b>b Earnings on investments:</b>                                                                          |                 |            |           |
| (1) Interest:                                                                                              |                 |            |           |
| <b>(A)</b> Interest-bearing cash (including money market accounts and certificates of deposit).....        | <b>2b(1)(A)</b> |            |           |
| <b>(B)</b> U.S. Government securities .....                                                                | <b>2b(1)(B)</b> |            |           |
| <b>(C)</b> Corporate debt instruments .....                                                                | <b>2b(1)(C)</b> |            |           |
| <b>(D)</b> Loans (other than to participants) .....                                                        | <b>2b(1)(D)</b> |            |           |
| <b>(E)</b> Participant loans.....                                                                          | <b>2b(1)(E)</b> | 6111       |           |
| <b>(F)</b> Other .....                                                                                     | <b>2b(1)(F)</b> | 10819      |           |
| <b>(G)</b> Total interest. Add lines <b>2b(1)(A)</b> through <b>(F)</b> .....                              | <b>2b(1)(G)</b> |            | 16930     |
| (2) Dividends: <b>(A)</b> Preferred stock.....                                                             | <b>2b(2)(A)</b> |            |           |
| <b>(B)</b> Common stock .....                                                                              | <b>2b(2)(B)</b> |            |           |
| <b>(C)</b> Registered investment company shares (e.g. mutual funds).....                                   | <b>2b(2)(C)</b> | 71441      |           |
| <b>(D)</b> Total dividends. Add lines <b>2b(2)(A)</b> , <b>(B)</b> , and <b>(C)</b> .....                  | <b>2b(2)(D)</b> |            | 71441     |
| (3) Rents .....                                                                                            | <b>2b(3)</b>    |            |           |
| (4) Net gain (loss) on sale of assets: <b>(A)</b> Aggregate proceeds .....                                 | <b>2b(4)(A)</b> |            |           |
| <b>(B)</b> Aggregate carrying amount (see instructions).....                                               | <b>2b(4)(B)</b> |            |           |
| <b>(C)</b> Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result .....                  | <b>2b(4)(C)</b> |            | 0         |
| (5) Unrealized appreciation (depreciation) of assets: <b>(A)</b> Real estate .....                         | <b>2b(5)(A)</b> |            |           |
| <b>(B)</b> Other .....                                                                                     | <b>2b(5)(B)</b> |            |           |
| <b>(C)</b> Total unrealized appreciation of assets.<br>Add lines <b>2b(5)(A)</b> and <b>(B)</b> .....      | <b>2b(5)(C)</b> |            | 0         |

|                                                                                                |        | (a) Amount | (b) Total |
|------------------------------------------------------------------------------------------------|--------|------------|-----------|
| (6) Net investment gain (loss) from common/collective trusts.....                              | 2b(6)  |            |           |
| (7) Net investment gain (loss) from pooled separate accounts.....                              | 2b(7)  |            | -633926   |
| (8) Net investment gain (loss) from master trust investment accounts.....                      | 2b(8)  |            |           |
| (9) Net investment gain (loss) from 103-12 investment entities.....                            | 2b(9)  |            |           |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)..... | 2b(10) |            | -652725   |
| c Other income.....                                                                            | 2c     |            |           |
| d Total income. Add all <b>income</b> amounts in column (b) and enter total.....               | 2d     |            | -535217   |
| <b>Expenses</b>                                                                                |        |            |           |
| e Benefit payment and payments to provide benefits:                                            |        |            |           |
| (1) Directly to participants or beneficiaries, including direct rollovers.....                 | 2e(1)  | 601487     |           |
| (2) To insurance carriers for the provision of benefits.....                                   | 2e(2)  |            |           |
| (3) Other.....                                                                                 | 2e(3)  |            |           |
| (4) Total benefit payments. Add lines 2e(1) through (3).....                                   | 2e(4)  |            | 601487    |
| f Corrective distributions (see instructions).....                                             | 2f     |            |           |
| g Certain deemed distributions of participant loans (see instructions).....                    | 2g     |            | 4248      |
| h Interest expense.....                                                                        | 2h     |            |           |
| i Administrative expenses: (1) Professional fees.....                                          | 2i(1)  |            |           |
| (2) Contract administrator fees.....                                                           | 2i(2)  |            |           |
| (3) Investment advisory and management fees.....                                               | 2i(3)  |            |           |
| (4) Other.....                                                                                 | 2i(4)  | 18430      |           |
| (5) Total administrative expenses. Add lines 2i(1) through (4).....                            | 2i(5)  |            | 18430     |
| j Total expenses. Add all <b>expense</b> amounts in column (b) and enter total.....            | 2j     |            | 624165    |
| <b>Net Income and Reconciliation</b>                                                           |        |            |           |
| k Net income (loss). Subtract line 2j from line 2d.....                                        | 2k     |            | -1159382  |
| l Transfers of assets:                                                                         |        |            |           |
| (1) To this plan.....                                                                          | 2l(1)  |            |           |
| (2) From this plan.....                                                                        | 2l(2)  |            |           |

**Part III Accountant's Opinion**

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

**b** Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: WHITTLESEY PC

(2) EIN: 06-0903326

**d** The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

**a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

|    | Yes | No | Amount |
|----|-----|----|--------|
| 4a |     | X  |        |

|                                                                                                                                                                                                                                                                                                                  | Yes | No | Amount  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|---------|
| <b>b</b> Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) ..... |     |    |         |
| <b>4b</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) .....                                                                                                                             |     |    |         |
| <b>4c</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) .....                                                                                                                  |     |    |         |
| <b>4d</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>e</b> Was this plan covered by a fidelity bond? .....                                                                                                                                                                                                                                                         | X   |    | 1000000 |
| <b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? .....                                                                                                                                                                          |     | X  |         |
| <b>4f</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                                                                                                                       |     |    |         |
| <b>4g</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                                                                                                             |     |    |         |
| <b>4h</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) .....                                                                                                                                                   | X   |    |         |
| <b>4i</b>                                                                                                                                                                                                                                                                                                        | X   |    |         |
| <b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.) .....                                                                                     |     |    |         |
| <b>4j</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? .....                                                                                                                                              |     |    |         |
| <b>4k</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>l</b> Has the plan failed to provide any benefit when due under the plan? .....                                                                                                                                                                                                                               |     |    |         |
| <b>4l</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) .....                                                                                                                                                                                     |     |    |         |
| <b>4m</b>                                                                                                                                                                                                                                                                                                        |     | X  |         |
| <b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. ....                                                                                                                         |     |    |         |
| <b>4n</b>                                                                                                                                                                                                                                                                                                        |     |    |         |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ..... ☐ Yes ☒ No  
If "Yes," enter the amount of any plan assets that reverted to the employer this year \_\_\_\_\_.

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| 5b(1) Name of plan(s) | 5b(2) EIN(s) | 5b(3) PN(s) |
|-----------------------|--------------|-------------|
|                       |              |             |
|                       |              |             |
|                       |              |             |
|                       |              |             |

**5c** Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ..... ☐ Yes ☐ No ☐ Not determined  
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year \_\_\_\_\_.

|                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                  |                                                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| <div>SCHEDULE R<br/>(Form 5500)<br/><br/>Department of the Treasury<br/>Internal Revenue Service<br/><br/>Department of Labor<br/>Employee Benefits Security Administration<br/><br/>Pension Benefit Guaranty Corporation</div> | <div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div> | <div>OMB No. 1210-0110</div> <div>2021</div> <div>This Form is Open to Public Inspection.</div> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|

For calendar plan year 2021 or fiscal plan year beginning 07/01/2021 and ending 06/30/2022

|                                                                                               |                                                                  |
|-----------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <div>A Name of plan<br/>ACME MONACO CORPORATION 401(K) PROFIT SHARING PLAN</div>              | <div>B Three-digit plan number (PN) ▶ 001</div>                  |
| <div>C Plan sponsor's name as shown on line 2a of Form 5500<br/>ACME MONACO CORPORATION</div> | <div>D Employer Identification Number (EIN)<br/>06-0703533</div> |

|        |               |
|--------|---------------|
| Part I | Distributions |
|--------|---------------|

All references to distributions relate only to payments of benefits during the plan year.

|                                                                  |                                                                                                                                                                                                                                                    |   |   |
|------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---|
| 1                                                                | Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....                                                                                                                       | 1 | 0 |
| 2                                                                | Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):<br><br>EIN(s): 22-1211670 |   |   |
| Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3. |                                                                                                                                                                                                                                                    |   |   |
| 3                                                                | Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year .....                                                                                                                            | 3 |   |

|         |                                                                                                                                                                        |
|---------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Part II | Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.) |
|---------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                      |                                                                                                                                                                                                                                                                                                                                                    |                              |                             |                              |
|------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------|------------------------------|
| 4                                                    | Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? .....                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
| If the plan is a defined benefit plan, go to line 8. |                                                                                                                                                                                                                                                                                                                                                    |                              |                             |                              |
| 5                                                    | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____<br>If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule. |                              |                             |                              |
| 6                                                    | a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) .....                                                                                                                                                                                                              | 6a                           |                             |                              |
|                                                      | b Enter the amount contributed by the employer to the plan for this plan year .....                                                                                                                                                                                                                                                                | 6b                           |                             |                              |
|                                                      | c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) .....                                                                                                                                                                                                          | 6c                           |                             |                              |
| If you completed line 6c, skip lines 8 and 9.        |                                                                                                                                                                                                                                                                                                                                                    |                              |                             |                              |
| 7                                                    | Will the minimum funding amount reported on line 6c be met by the funding deadline? .....                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
| 8                                                    | If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? .....                                                                                 | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |

|          |            |
|----------|------------|
| Part III | Amendments |
|----------|------------|

|   |                                                                                                                                                                                                                   |                                   |                                   |                               |                             |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|-----------------------------|
| 9 | If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... | <input type="checkbox"/> Increase | <input type="checkbox"/> Decrease | <input type="checkbox"/> Both | <input type="checkbox"/> No |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|-----------------------------|

|         |                                                                                                                                            |
|---------|--------------------------------------------------------------------------------------------------------------------------------------------|
| Part IV | ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part. |
|---------|--------------------------------------------------------------------------------------------------------------------------------------------|

|    |                                                                                                                                                                                       |                              |                             |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------|
| 10 | Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? .....                                                         | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 11 | a Does the ESOP hold any preferred stock? .....                                                                                                                                       | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|    | b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 12 | Does the ESOP hold any stock that is not readily tradable on an established securities market? .....                                                                                  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021  
v. 201209

**Part V Additional Information for Multiemployer Defined Benefit Pension Plans**

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

**a** The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

**14a**

**b** The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

**14b**

**c** The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

**14c**

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

**a** The corresponding number for the plan year immediately preceding the current plan year.....

**15a**

**b** The corresponding number for the second preceding plan year.....

**15b**

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

**a** Enter the number of employers who withdrew during the preceding plan year.....

**16a**

**b** If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

**16b**

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

## **Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans**

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

**a** Enter the percentage of plan assets held as:

Stock: \_\_\_\_\_% Investment-Grade Debt: \_\_\_\_\_% High-Yield Debt: \_\_\_\_\_% Real Estate: \_\_\_\_\_% Other: \_\_\_\_\_%

**b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

**c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): \_\_\_\_\_

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

**a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

**b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation \_\_\_\_\_

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

Independent Auditors' Report  
Financial Statements and Supplemental Schedule

June 30, 2022 and 2021



ASSURANCE | ADVISORY | TAX | TECHNOLOGY

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

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June 30, 2022 and 2021

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Note: Other schedules required by Section 29 CFR 2520.103 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under Employee Retirement Income Security Act of 1974 ("ERISA") have been omitted because they are not applicable.

## INDEPENDENT AUDITORS' REPORT

To the Participants and Plan Administrator of  
Acme Monaco Corporation 401(k) Profit Sharing Plan

### **Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2022 Financial Statements**

We have performed an audit of the accompanying financial statements of Acme Monaco Corporation 401(k) Profit Sharing Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 ("ERISA"), as permitted by ERISA Section 103(a)(3)(C) ("ERISA Section 103(a)(3)(C) audit"). The financial statements comprise the statement of net assets available for benefits as of June 30, 2022, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements ("2022 financial statements").

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan ("investment information") by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA ("qualified institution").

Management has obtained a certification from a qualified institution as of and for the year ended June 30, 2022, stating that the certified investment information, as described in Note 5 to the financial statements, is complete and accurate.

### **Opinion on the 2022 Financial Statements**

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the 2022 Financial Statements section —

- the amounts and disclosures in the 2022 financial statements referred to above, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the 2022 financial statements referred to above related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

## **Basis for Opinion on the 2022 Financial Statements**

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (“GAAS”). Our responsibilities under those standards are further described in the Auditors’ Responsibilities for the Audit of the 2022 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

## **Responsibilities of Management for the 2022 Financial Statements**

Management is responsible for the preparation and fair presentation of the 2022 financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of 2022 financial statements that are free from material misstatement, whether due to fraud or error. Management’s election of the ERISA Section 103(a)(3)(C) audit does not affect management’s responsibility for the 2022 financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan’s ability to continue as a going concern for one year after the date the 2022 financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments; administering the Plan; and determining that the Plan’s transactions that are presented and disclosed in the 2022 financial statements are in conformity with the Plan’s provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

## **Auditors’ Responsibilities for the Audit of the 2022 Financial Statements**

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2022 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors’ report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the 2022 financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the 2022 financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the 2022 financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan’s internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the 2022 financial statements.

- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2022 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the 2022 financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

### **Other Matters**

#### *2022 Supplemental Schedule Required by ERISA*

The supplemental schedule of assets (held at end of year) as of June 30, 2022 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including their form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion—

- the form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

### **Auditors' Report on the 2021 Financial Statements**

We were engaged to audit the 2021 financial statements of the Plan. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the plan administrator instructed us not to perform and we did not perform any auditing procedures with respect to the information certified by a qualified institution. In our report dated January 14, 2022, we indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2021 financial statements, and (b) the form and content of the information included in the 2021 financial statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA

A handwritten signature in black ink that reads "Whittlesey PC". The signature is written in a cursive, flowing style.

Hartford, Connecticut  
November 28, 2022

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

Statements of Net Assets Available for Benefits

June 30, 2022 and 2021

|                                                            | 2022                | 2021                |
|------------------------------------------------------------|---------------------|---------------------|
| <b>Assets:</b>                                             |                     |                     |
| Investments, at fair value:                                |                     |                     |
| Pooled separate accounts                                   | \$ 3,042,296        | \$ 4,010,391        |
| Registered investment companies (mutual funds)             | 3,089,880           | 3,823,508           |
|                                                            | <u>6,132,176</u>    | <u>7,833,899</u>    |
| Investments, at contract value:                            |                     |                     |
| Insurance company general account (guaranteed income fund) | 2,013,395           | 1,523,172           |
|                                                            | <u>2,013,395</u>    | <u>1,523,172</u>    |
| Receivables:                                               |                     |                     |
| Employer contribution receivable                           | 180,000             | 80,000              |
| Notes receivable from participants                         | 174,441             | 122,323             |
|                                                            | <u>354,441</u>      | <u>202,323</u>      |
| Total receivables                                          | <u>354,441</u>      | <u>202,323</u>      |
| Total assets                                               | <u>8,500,012</u>    | <u>9,559,394</u>    |
| Net assets available for benefits                          | <u>\$ 8,500,012</u> | <u>\$ 9,559,394</u> |

The accompanying notes are an integral part of the financial statements.

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

Statements of Changes in Net Assets Available for Benefits

For the years ended June 30, 2022 and 2021

|                                                              | 2022                       | 2021                       |
|--------------------------------------------------------------|----------------------------|----------------------------|
| Investment income/(loss):                                    |                            |                            |
| Net appreciation/(depreciation) in fair value of investments | \$ (1,286,651)             | \$ 1,769,627               |
| Interest                                                     | 10,819                     | 9,423                      |
| Dividends                                                    | 71,441                     | 40,975                     |
|                                                              | <u>(1,204,391)</u>         | <u>1,820,025</u>           |
| Net investment income/(loss)                                 |                            |                            |
| Interest income on notes receivable from participants        | <u>6,111</u>               | <u>7,673</u>               |
| Contributions:                                               |                            |                            |
| Participants                                                 | 529,129                    | 471,189                    |
| Employer                                                     | 180,000                    | 80,000                     |
| Rollovers                                                    | 53,934                     | -                          |
|                                                              | <u>763,063</u>             | <u>551,189</u>             |
| Total contributions                                          |                            |                            |
| Benefits paid to participants                                | (601,487)                  | (360,685)                  |
| Deemed distributions                                         | (4,248)                    | (6,561)                    |
| Administrative expenses                                      | <u>(18,430)</u>            | <u>(17,873)</u>            |
| Net increase/(decrease)                                      | (1,059,382)                | 1,993,768                  |
| <b>Net assets available for benefits:</b>                    |                            |                            |
| Beginning of year                                            | <u>9,559,394</u>           | <u>7,565,626</u>           |
| End of year                                                  | <u><u>\$ 8,500,012</u></u> | <u><u>\$ 9,559,394</u></u> |

The accompanying notes are an integral part of the financial statements.

# ACME MONACO CORPORATION 401(K) PROFIT SHARING PLAN

## Notes to Financial Statements

June 30, 2022 and 2021

---

### NOTE 1 - DESCRIPTION OF THE PLAN

The following description of the Acme Monaco Corporation 401(k) Profit Sharing Plan (the “Plan”) provides only general information. Participants should refer to the Plan documents and related amendments for a more complete description of the Plan’s provisions.

#### General

The Plan is a defined contribution plan covering substantially all employees of the Acme Monaco Corporation (the “Company”) who have completed 90 days of service and are age eighteen years or older. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”).

In accordance with Coronavirus (“COVID-19”) Aid, Relief, and Economic Security Act (the “CARES Act”) and the Setting Every Community Up for Retirement Enhancement Act of 2019 (the “SECURE Act”), the Plan adopted the following provisions: COVID related distributions up to \$100,000, loan payment deferment, suspension of required minimum distributions effective in 2020, increased the age for required minimum distributions from 70 1/2 to 72 years, and allowed long-term, part-time employees who completed three consecutive 12-month periods, each with at least 500 hours of service to participate in the employee deferral component of the Plan. As of June 30, 2022, the Plan has not been formally amended related to these provisions.

#### Contributions

Employees are automatically enrolled as a participant in the Plan at 4% of the participant’s qualified compensation, on a pretax basis, once the participant has met the eligibility requirement for participation in the Plan, unless instructed otherwise. Each year, participants may contribute a percentage of pretax compensation, and after-tax compensation, as defined by the Plan. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or defined contribution plans. Participants direct the investment of their elective deferral contributions into various investment options offered by the Plan. The Company may make profit-sharing contributions at the option of the Company’s Board of Directors and are allocated to eligible participants’ accounts based on their qualified compensation as defined by the Plan. Contributions are subject to certain limitations by the Plan and the Internal Revenue Code (“IRC”).

#### Participant Accounts

Each participant’s account is credited with the participant’s contribution and allocations of the Company’s contributions and Plan earnings and charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined by the Plan. The benefit to which a participant is entitled is the benefit that can be provided from the participant’s vested account.

## NOTE 1 - DESCRIPTION OF THE PLAN (CONTINUED)

### Vesting

Participants are immediately vested in their contributions and all earnings on those contributions. Vesting in the Company's profit-sharing contributions is based on years of continuous service. Participants vest in the Company's profit-sharing contribution portion as follows:

| <u>Vesting Service<br/>(Years)</u> | <u>Vesting<br/>Percentage %</u> |
|------------------------------------|---------------------------------|
| 0-1                                | 0                               |
| 2                                  | 20                              |
| 3                                  | 40                              |
| 4                                  | 60                              |
| 5                                  | 80                              |
| 6 or more                          | 100                             |

### Forfeited Accounts

At June 30, 2022 and 2021, forfeited non-vested accounts totaled \$2,374 and \$146, respectively. These accounts are used for Plan administrative expenses. Any remaining forfeitures are reallocated as additional non-elective contributions. These accounts are reallocated to participants in the same manner as the Company profit sharing contribution. During the years ended June 30, 2022 and 2021, forfeitures of \$4,405 and \$1,597, respectively, were utilized to pay administrative expenses.

### Notes Receivable from Participants

The Plan allows participant loans subject to the provisions as set forth in the Plan document. Participants may borrow from their vested accounts a minimum of \$1,000 up to a maximum of \$50,000 or 50% of their vested balance, whichever is less. Loan terms range from one to five years or up to fifteen years for the purchase of a principal residence. The loans are secured by the balance in the participant's account and bear interest at fixed rates that are 1% above the prime interest rate at the date of issuance as determined by the Plan administrator. Principal and interest are paid ratably through payroll deductions in every pay period. Interest rates range from 4.25% to 6.50% at June 30, 2022.

### Payment of Benefits

Upon termination of service due to death, disability, or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or a rollover distribution paid directly to an eligible retirement plan. Participants with vested benefits of less than \$5,000 must elect a lump-sum payment. For termination of service for other reasons, a participant may receive the value of the vested interest in his or her account as a lump sum distribution. Benefits are recorded when distributed.

Participants may withdraw their pretax contributions to satisfy an immediate and heavy financial need, and for childbirth or adoption expenses, subject to Internal Revenue Service ("IRS") rules. The Plan follows the safe harbor hardship withdrawal provisions of the Bipartisan Budget Act of 2018. Participants are not required to take a loan prior to requesting a hardship withdrawal and contributions are not suspended after receipt of a hardship withdrawal.

## NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

### Basis of Accounting

The financial statements of the Plan are prepared on the accrual basis of accounting.

### Use of Estimates

The preparation of the Plan's financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make certain estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

### Investment Valuation and Income Recognition

Investments are reported at fair value, except for the insurance company general account, which is reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan administrator determines the Plan's valuation policies utilizing information provided by the investment advisors and trustees. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation/depreciation in fair value of investments includes the Plan's gains and losses on investments bought and sold as well as held during the year.

### Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based upon the terms of the plan document. No allowance for credit losses has been recorded as of June 30, 2022 and 2021. If a participant ceases making loan repayments and the plan administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded as a deemed distribution.

### Payment of Benefits

Benefits are recorded when paid.

### Expenses

The Plan is sponsored by the Company. Personnel within the Company perform various administrative services for the Plan, including maintenance of participant records. The payroll and related overhead costs associated with these services are paid by the Company and are not included as Plan expenses. Expenses paid by the Plan consist of transaction-based fees, Plan administration fees and Plan investment fees. Investment related expenses are included in net appreciation of fair value of investments.

### Subsequent Events

The Plan sponsor monitored and evaluated subsequent events from June 30, 2022 through November 28, 2022, the date on which financial statements were available to be issued.

### NOTE 3 - FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (“FASB”) *Accounting Standards Codification* (“ASC”) 820, *Fair Value Measurements*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

- Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access;
- Level 2 Inputs to the valuation methodology include: quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability;
- Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset’s or liability’s fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at June 30, 2022 and 2021.

*Registered investment companies (mutual funds):* Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (“NAV”) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

*Pooled separate accounts:* Valued at the NAV of units of the pooled separate accounts. The NAV, as provided by the trustees, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchased and sales) may occur daily.

The following tables set forth by level, within the fair value hierarchy, the Plan’s assets at fair value as of June 30,:

|                                                | Assets at Fair Value as of June 30, 2022 |             |             |                     |
|------------------------------------------------|------------------------------------------|-------------|-------------|---------------------|
|                                                | Level 1                                  | Level 2     | Level 3     | Total               |
| Registered investment companies (mutual funds) | \$ 3,089,880                             | \$ -        | \$ -        | \$ 3,089,880        |
| Total investments in the fair value hierarchy  | 3,089,880                                | -           | -           | 3,089,880           |
| Investments measured at NAV                    |                                          |             |             | 3,042,296           |
| Total investments, at fair value               | <u>\$ 3,089,880</u>                      | <u>\$ -</u> | <u>\$ -</u> | <u>\$ 6,132,176</u> |

**NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)**

|                                                | Assets at Fair Value as of June 30, 2021 |         |         |              |
|------------------------------------------------|------------------------------------------|---------|---------|--------------|
|                                                | Level 1                                  | Level 2 | Level 3 | Total        |
| Registered investment companies (mutual funds) | \$ 3,823,508                             | \$ -    | \$ -    | \$ 3,823,508 |
| Total investments in the fair value hierarchy  | 3,823,508                                | -       | -       | 3,823,508    |
| Investments measured at NAV                    |                                          |         |         | 4,010,391    |
| Total investments, at fair value               | \$ 3,823,508                             | \$ -    | \$ -    | \$ 7,833,899 |

**Fair Value of Investments in Entities that Use Net Asset Value:**

The following table presents investments measured at fair value based on NAV per share as of June 30,:

| Instrument               | Fair Value,<br>June 30, 2022 | Fair Value,<br>June 30, 2021 | Unfunded<br>Commitment | Redemption<br>Frequency | Redemption<br>Notice Period |
|--------------------------|------------------------------|------------------------------|------------------------|-------------------------|-----------------------------|
| Pooled separate accounts | \$ 3,042,296                 | \$ 4,010,391                 | None                   | Immediate               | None                        |

**NOTE 4 - INSURANCE COMPANY GENERAL ACCOUNT**

The Plan offers an insurance company general account investment, the Guaranteed Income Fund, which carries a benefit-responsiveness feature. Prudential Retirement Insurance and Annuity Company (“Prudential Retirement”) maintains the contributions to the investment in a fixed account. The account is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuer. For the periods July 1, 2020 through December 31, 2020 and January 1, 2020 through June 30, 2020, the rate was .75% and .65%, respectively, less asset charges of 0.9%. For the periods July 1, 2021 through December 31, 2021 and January 1, 2022 through June 30, 2022, the rate was 0.65% and 0.60%, respectively, less asset charges of 0.9%. The contract does not permit the insurance company to terminate the agreement prior to the scheduled maturity date.

This contract meets the fully benefit-responsive investment contract criteria and therefore is reported at contract value. Contract value is the relevant measure for benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transactions under the terms of the Plan. Contract value, as reported to the Plan by Prudential Retirement, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawals or transfer of all or a portion of their investment at contract value.

The Plan’s ability to receive amounts due is dependent on the issuer’s ability to meet its financial obligations. The issuer’s ability to meet its contractual obligations may be affected by future economic and regulatory developments.

Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events include the following: (1) amendments to the plan documents (including partial or complete Plan termination or merger with another Plan), (2) changes to the Plan’s prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the plan sponsor or other plan sponsor events (for example, divestitures, or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan, (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA, or (5) premature termination of the contract. No events are probably of occurring that might limit the ability of the Plan to transact at contract value with the contract issuers and that also would limit the liability of the Plan to transact at contract value with the participants.

#### **NOTE 4 - INSURANCE COMPANY GENERAL ACCOUNT (CONTINUED)**

In addition, certain events allow the issuer to terminate the contract with the Plan and settle at an amount different from the contract value. Such events include (1) and uncured violation of the Plan's investment guidelines, (2) a breach of material obligation under the contract, (3) a material misrepresentation, (4) a material amendment to the agreement without consent of the issuer.

#### **NOTE 5 - CERTIFIED INFORMATION**

Certain information related to investments and notes receivable from participants disclosed in the accompanying financial statements and supplemental schedule, including total investments and notes receivable from participants held at June 30, 2022 and 2021, and net appreciation/depreciation in fair value of investments, interest and dividends, and interest income on notes receivable from participants for the years then ended, was obtained or derived from information supplied to the Plan administrator and certified as complete and accurate by both Prudential Retirement and Prudential Bank & Trust, F.S.B. ("Prudential Bank").

#### **NOTE 6 - RELATED PARTIES AND PARTIES-IN-INTEREST TRANSACTIONS**

Certain Plan investments are shares of mutual funds held by Prudential Bank. Prudential Bank is a trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. The Plan also hires firms for investment advice and recordkeeping services. Net administrative fees paid by the Plan to these firms were \$18,430 and \$17,873 for the years ended June 30, 2022 and 2021, respectively. Fees incurred by the Plan for the investment management services are included in net appreciation/depreciation in fair value of the investment, as they are paid through revenue sharing, rather than a direct payment.

The Plan provides for participant loans. Transactions in such investments qualify as party-in-interest transactions as defined by ERISA.

#### **NOTE 7 - PLAN TERMINATION**

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100 percent vested in their accounts.

#### **NOTE 8 - TAX STATUS**

The Plan is a Prudential Retirement proto-type plan. The IRS has informed Prudential Retirement, by a letter dated March 31, 2008, that the form of the Plan is acceptable under the appropriate sections of the IRC. The Plan is designed to constitute a qualified tax-exempt plan under section 401(a) of the IRC. As such, the Plan is exempt from federal income tax, and amounts contributed by the Company will not be taxed to the participant until the participant receives a qualifying distribution from the Plan. The Plan has been amended since receiving the determination letter, but the Company believes that the Plan is operating in accordance with the applicable sections of the IRC.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

## NOTE 9 - RISKS AND UNCERTAINTIES

The Plan provides for various investment options. The underlying investments held by the registered investment companies may include stocks, bonds, fixed income securities, and other investment securities. Such investments are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of investment securities, it is at least reasonably possible that changes in the values of investment securities in the near term could materially affect participant's account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

In March 2020, the World Health Organization categorized COVID-19 as a pandemic, and the President of the United States declared the COVID-19 outbreak a national emergency. The COVID-19 pandemic has led to volatility in financial markets and has affected, and may continue to affect, the market price of Plan assets. The potential economic impact brought by, and the duration of, COVID-19 is difficult to assess or predict and will depend on future developments that are highly uncertain and cannot be predicted. Subsequent to June 30, 2022, the stock market as experienced significant volatility, the ultimate outcome of which could be material to the Plan's investments.

## NOTE 10 - RECONCILIATION OF PLAN FINANCIAL STATEMENTS TO FORM 5500

The Annual Return/Report of Employee Benefit Plan ("Form 5500") is prepared on the modified cash basis. Accordingly, certain balances included on Schedule H (Part I and II) of Form 5500 differ from those included in the financial statements.

The following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500 for June 30,:

|                                                                | 2022                       | 2021                       |
|----------------------------------------------------------------|----------------------------|----------------------------|
| Net assets available for benefits per the financial statements | \$ 8,500,012               | \$ 9,559,394               |
| Less: employer contribution receivable                         | <u>(180,000)</u>           | <u>(80,000)</u>            |
| Net assets available for benefits per Form 5500                | <u><u>\$ 8,320,012</u></u> | <u><u>\$ 9,479,394</u></u> |

The following is a reconciliation of net increase/(decrease) in assets available for benefits per the financial statements to the Form 5500 for the year ended June 30,:

|                                                      | 2022                         | 2021                       |
|------------------------------------------------------|------------------------------|----------------------------|
| Net increase/(decrease) per the financial statements | \$ (1,059,382)               | \$ 1,993,768               |
| Add: Prior year employer contribution receivable     | 80,000                       | -                          |
| Less: Current year employer contribution receivable  | (180,000)                    | (80,000)                   |
| Less: Prior year corrective distributions payable    | <u>-</u>                     | <u>(1,841)</u>             |
| Net increase/(decrease) per Form 5500                | <u><u>\$ (1,159,382)</u></u> | <u><u>\$ 1,911,927</u></u> |

## **SUPPLEMENTAL SCHEDULE**

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

EIN: 06-0703533, Plan # 001  
Form 5500, Schedule H, Line 4i

Schedule of Assets (Held at End of Year)

June 30, 2022

| ( a ) | ( b )                                                              | ( c )                                                                                                          | ( d ) | ( e )            |
|-------|--------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------|------------------|
|       | Identify of Issue, Borrower,<br>Lessor or Similar Party            | Description of Investment<br>Including Maturity Date, Rate on Interest,<br>Collateral, Part, or Maturity Value | Cost  | Current<br>Value |
|       | <b>Insurance company general account (guaranteed income fund):</b> |                                                                                                                |       |                  |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Guaranteed Income Fund                                                                                         | **    | \$ 2,013,395     |
|       | <b>Pooled separate accounts:</b>                                   |                                                                                                                |       |                  |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Core Plus Bond/PIMCO Fund                                                                                      | **    | 828,619          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Large Cap Growth/Jennison Fund                                                                                 | **    | 786,840          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | SA/T. Rowe Price Equity Income Strategy I                                                                      | **    | 600,756          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Dryden S&P 500 Index Fund                                                                                      | **    | 514,976          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Small Cap Value Kennedy Capital Fund                                                                           | **    | 138,124          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | High Grade Bonds/GSAM Fund                                                                                     | **    | 70,485           |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Large Cap Value Fund/Wellington Management                                                                     | **    | 64,037           |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | High Yield Bond Caywood-Scholl Fund                                                                            | **    | 38,459           |
|       | Total pooled separate accounts                                     |                                                                                                                |       | 3,042,296        |
|       | <b>Registered investment companies (mutual funds):</b>             |                                                                                                                |       |                  |
|       | Franklin Templeton Investments                                     | Foreign Fund A                                                                                                 | **    | 730,465          |
|       | Baron Capital                                                      | Asset Fund                                                                                                     | **    | 246,959          |
|       | Oppenheimer                                                        | Developing Markets A                                                                                           | **    | 184,878          |
|       | Invesco                                                            | Gold & Special Minerals Fund                                                                                   | **    | 204,305          |
|       | Baron Capital                                                      | Growth Fund                                                                                                    | **    | 174,477          |
|       | Principal Funds                                                    | Preferred Securities R3                                                                                        | **    | 161,435          |
|       | Calamos Investments                                                | Convertible A                                                                                                  | **    | 143,929          |
|       | Goldman Sachs                                                      | Mid Cap Value A                                                                                                | **    | 126,059          |
|       | Ivy Investment Management                                          | Global Natural Resources Y                                                                                     | **    | 165,946          |
|       | Ivy Investment Management                                          | Asset Strategy A                                                                                               | **    | 129,363          |
|       | Natixis Investment Managers                                        | ASG Global Alternatives A                                                                                      | **    | 139,144          |
|       | Gateway Investments                                                | Fund Class A                                                                                                   | **    | 103,954          |
|       | MRS Government Markets                                             | Utilities R2                                                                                                   | **    | 123,484          |
|       | Nuveen Investment Management                                       | Real Asset Income Fund A                                                                                       | **    | 121,486          |
|       | Putnam Investments                                                 | US Government Income Trust A                                                                                   | **    | 23,665           |

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

EIN: 06-0703533, Plan # 001  
Form 5500, Schedule H, Line 4i

Schedule of Assets (Held at End of Year) - (Continued)

June 30, 2022

| ( a ) | ( b )                                                                   | ( c )                                                                                                          | ( d ) | ( e )               |
|-------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------|---------------------|
|       | Identify of Issue, Borrower,<br>Lessor or Similar Party                 | Description of Investment<br>Including Maturity Date, Rate on Interest,<br>Collateral, Part, or Maturity Value | Cost  | Current<br>Value    |
|       | <b>Registered investment companies (mutual funds) - continued :</b>     |                                                                                                                |       |                     |
| *     | Prudential Financial                                                    | Merger Fund                                                                                                    | **    | \$ 38,055           |
|       | BlackRock                                                               | Asian Dragon Investor A                                                                                        | **    | 45,154              |
|       | Neuberger Berman                                                        | Genesis Adv                                                                                                    | **    | 73,744              |
|       | Third Avenue Management                                                 | Real Estate Value Investor                                                                                     | **    | 22,282              |
|       | Franklin Templeton Investments                                          | High Income R                                                                                                  | **    | 11,540              |
|       | PIMCO Investment Management                                             | Real Return Bond Fund A                                                                                        | **    | 6,578               |
|       | Oakmark                                                                 | EQ&INC Investor                                                                                                | **    | 112,978             |
|       | Total registered investment companies (mutual funds)                    |                                                                                                                |       | <u>3,089,880</u>    |
|       | Total investments                                                       |                                                                                                                |       | <u>\$ 8,145,571</u> |
| *     | Notes receivable from participants with interest rates of 4.25% - 6.50% |                                                                                                                | \$ -  | <u>\$ 174,441</u>   |
|       | Total investments and notes receivable from participants                |                                                                                                                |       | <u>\$ 8,320,012</u> |

\* Parties-in-interest as determined by ERISA.

\*\* Cost of investments is not presented as all investments are participant directed.

This schedule was prepared based on information certified by the trustees of the Plan.

**Headquarters**

280 Trumbull Street, 24th Floor  
Hartford, CT 06103  
860.522.3111

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One Hamden Center  
2319 Whitney Avenue, Suite 2A  
Hamden, CT 06518  
203.397.2525

14 Bobala Road, 3rd Floor  
Holyoke, MA 01040  
413.536.3970

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**ACME MONACO CORPORATION  
401(K) PROFIT SHARING PLAN**

Independent Auditors' Report  
Financial Statements and Supplemental Schedule

June 30, 2022 and 2021



ASSURANCE | ADVISORY | TAX | TECHNOLOGY

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

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June 30, 2022 and 2021

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Note: Other schedules required by Section 29 CFR 2520.103 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under Employee Retirement Income Security Act of 1974 ("ERISA") have been omitted because they are not applicable.

## INDEPENDENT AUDITORS' REPORT

To the Participants and Plan Administrator of  
Acme Monaco Corporation 401(k) Profit Sharing Plan

### **Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2022 Financial Statements**

We have performed an audit of the accompanying financial statements of Acme Monaco Corporation 401(k) Profit Sharing Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 ("ERISA"), as permitted by ERISA Section 103(a)(3)(C) ("ERISA Section 103(a)(3)(C) audit"). The financial statements comprise the statement of net assets available for benefits as of June 30, 2022, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements ("2022 financial statements").

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan ("investment information") by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA ("qualified institution").

Management has obtained a certification from a qualified institution as of and for the year ended June 30, 2022, stating that the certified investment information, as described in Note 5 to the financial statements, is complete and accurate.

### **Opinion on the 2022 Financial Statements**

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the 2022 Financial Statements section —

- the amounts and disclosures in the 2022 financial statements referred to above, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the 2022 financial statements referred to above related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

## **Basis for Opinion on the 2022 Financial Statements**

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (“GAAS”). Our responsibilities under those standards are further described in the Auditors’ Responsibilities for the Audit of the 2022 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

## **Responsibilities of Management for the 2022 Financial Statements**

Management is responsible for the preparation and fair presentation of the 2022 financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of 2022 financial statements that are free from material misstatement, whether due to fraud or error. Management’s election of the ERISA Section 103(a)(3)(C) audit does not affect management’s responsibility for the 2022 financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan’s ability to continue as a going concern for one year after the date the 2022 financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments; administering the Plan; and determining that the Plan’s transactions that are presented and disclosed in the 2022 financial statements are in conformity with the Plan’s provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

## **Auditors’ Responsibilities for the Audit of the 2022 Financial Statements**

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2022 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors’ report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the 2022 financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the 2022 financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the 2022 financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan’s internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the 2022 financial statements.

- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2022 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the 2022 financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

### **Other Matters**

#### *2022 Supplemental Schedule Required by ERISA*

The supplemental schedule of assets (held at end of year) as of June 30, 2022 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including their form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion—

- the form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

### **Auditors' Report on the 2021 Financial Statements**

We were engaged to audit the 2021 financial statements of the Plan. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the plan administrator instructed us not to perform and we did not perform any auditing procedures with respect to the information certified by a qualified institution. In our report dated January 14, 2022, we indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2021 financial statements, and (b) the form and content of the information included in the 2021 financial statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA

A handwritten signature in black ink that reads "Whittlesey PC". The signature is written in a cursive, flowing style.

Hartford, Connecticut  
November 28, 2022

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

Statements of Net Assets Available for Benefits

June 30, 2022 and 2021

|                                                            | 2022                | 2021                |
|------------------------------------------------------------|---------------------|---------------------|
| <b>Assets:</b>                                             |                     |                     |
| Investments, at fair value:                                |                     |                     |
| Pooled separate accounts                                   | \$ 3,042,296        | \$ 4,010,391        |
| Registered investment companies (mutual funds)             | 3,089,880           | 3,823,508           |
|                                                            | <u>6,132,176</u>    | <u>7,833,899</u>    |
| Total investments, at fair value                           |                     |                     |
|                                                            | <u>6,132,176</u>    | <u>7,833,899</u>    |
| Investments, at contract value:                            |                     |                     |
| Insurance company general account (guaranteed income fund) | 2,013,395           | 1,523,172           |
|                                                            | <u>2,013,395</u>    | <u>1,523,172</u>    |
| Receivables:                                               |                     |                     |
| Employer contribution receivable                           | 180,000             | 80,000              |
| Notes receivable from participants                         | 174,441             | 122,323             |
|                                                            | <u>354,441</u>      | <u>202,323</u>      |
| Total receivables                                          |                     |                     |
|                                                            | <u>354,441</u>      | <u>202,323</u>      |
| Total assets                                               | <u>8,500,012</u>    | <u>9,559,394</u>    |
| Net assets available for benefits                          | <u>\$ 8,500,012</u> | <u>\$ 9,559,394</u> |

The accompanying notes are an integral part of the financial statements.

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

Statements of Changes in Net Assets Available for Benefits

For the years ended June 30, 2022 and 2021

|                                                              | 2022                       | 2021                       |
|--------------------------------------------------------------|----------------------------|----------------------------|
| Investment income/(loss):                                    |                            |                            |
| Net appreciation/(depreciation) in fair value of investments | \$ (1,286,651)             | \$ 1,769,627               |
| Interest                                                     | 10,819                     | 9,423                      |
| Dividends                                                    | 71,441                     | 40,975                     |
|                                                              | <u>(1,204,391)</u>         | <u>1,820,025</u>           |
| Net investment income/(loss)                                 |                            |                            |
| Interest income on notes receivable from participants        | <u>6,111</u>               | <u>7,673</u>               |
| Contributions:                                               |                            |                            |
| Participants                                                 | 529,129                    | 471,189                    |
| Employer                                                     | 180,000                    | 80,000                     |
| Rollovers                                                    | 53,934                     | -                          |
|                                                              | <u>763,063</u>             | <u>551,189</u>             |
| Total contributions                                          |                            |                            |
| Benefits paid to participants                                | (601,487)                  | (360,685)                  |
| Deemed distributions                                         | (4,248)                    | (6,561)                    |
| Administrative expenses                                      | <u>(18,430)</u>            | <u>(17,873)</u>            |
| Net increase/(decrease)                                      | (1,059,382)                | 1,993,768                  |
| <b>Net assets available for benefits:</b>                    |                            |                            |
| Beginning of year                                            | <u>9,559,394</u>           | <u>7,565,626</u>           |
| End of year                                                  | <u><u>\$ 8,500,012</u></u> | <u><u>\$ 9,559,394</u></u> |

The accompanying notes are an integral part of the financial statements.

# ACME MONACO CORPORATION 401(K) PROFIT SHARING PLAN

## Notes to Financial Statements

June 30, 2022 and 2021

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### NOTE 1 - DESCRIPTION OF THE PLAN

The following description of the Acme Monaco Corporation 401(k) Profit Sharing Plan (the “Plan”) provides only general information. Participants should refer to the Plan documents and related amendments for a more complete description of the Plan’s provisions.

#### General

The Plan is a defined contribution plan covering substantially all employees of the Acme Monaco Corporation (the “Company”) who have completed 90 days of service and are age eighteen years or older. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”).

In accordance with Coronavirus (“COVID-19”) Aid, Relief, and Economic Security Act (the “CARES Act”) and the Setting Every Community Up for Retirement Enhancement Act of 2019 (the “SECURE Act”), the Plan adopted the following provisions: COVID related distributions up to \$100,000, loan payment deferment, suspension of required minimum distributions effective in 2020, increased the age for required minimum distributions from 70 1/2 to 72 years, and allowed long-term, part-time employees who completed three consecutive 12-month periods, each with at least 500 hours of service to participate in the employee deferral component of the Plan. As of June 30, 2022, the Plan has not been formally amended related to these provisions.

#### Contributions

Employees are automatically enrolled as a participant in the Plan at 4% of the participant’s qualified compensation, on a pretax basis, once the participant has met the eligibility requirement for participation in the Plan, unless instructed otherwise. Each year, participants may contribute a percentage of pretax compensation, and after-tax compensation, as defined by the Plan. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or defined contribution plans. Participants direct the investment of their elective deferral contributions into various investment options offered by the Plan. The Company may make profit-sharing contributions at the option of the Company’s Board of Directors and are allocated to eligible participants’ accounts based on their qualified compensation as defined by the Plan. Contributions are subject to certain limitations by the Plan and the Internal Revenue Code (“IRC”).

#### Participant Accounts

Each participant’s account is credited with the participant’s contribution and allocations of the Company’s contributions and Plan earnings and charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined by the Plan. The benefit to which a participant is entitled is the benefit that can be provided from the participant’s vested account.

**NOTE 1 - DESCRIPTION OF THE PLAN (CONTINUED)****Vesting**

Participants are immediately vested in their contributions and all earnings on those contributions. Vesting in the Company's profit-sharing contributions is based on years of continuous service. Participants vest in the Company's profit-sharing contribution portion as follows:

| <u>Vesting Service<br/>(Years)</u> | <u>Vesting<br/>Percentage %</u> |
|------------------------------------|---------------------------------|
| 0-1                                | 0                               |
| 2                                  | 20                              |
| 3                                  | 40                              |
| 4                                  | 60                              |
| 5                                  | 80                              |
| 6 or more                          | 100                             |

**Forfeited Accounts**

At June 30, 2022 and 2021, forfeited non-vested accounts totaled \$2,374 and \$146, respectively. These accounts are used for Plan administrative expenses. Any remaining forfeitures are reallocated as additional non-elective contributions. These accounts are reallocated to participants in the same manner as the Company profit sharing contribution. During the years ended June 30, 2022 and 2021, forfeitures of \$4,405 and \$1,597, respectively, were utilized to pay administrative expenses.

**Notes Receivable from Participants**

The Plan allows participant loans subject to the provisions as set forth in the Plan document. Participants may borrow from their vested accounts a minimum of \$1,000 up to a maximum of \$50,000 or 50% of their vested balance, whichever is less. Loan terms range from one to five years or up to fifteen years for the purchase of a principal residence. The loans are secured by the balance in the participant's account and bear interest at fixed rates that are 1% above the prime interest rate at the date of issuance as determined by the Plan administrator. Principal and interest are paid ratably through payroll deductions in every pay period. Interest rates range from 4.25% to 6.50% at June 30, 2022.

**Payment of Benefits**

Upon termination of service due to death, disability, or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or a rollover distribution paid directly to an eligible retirement plan. Participants with vested benefits of less than \$5,000 must elect a lump-sum payment. For termination of service for other reasons, a participant may receive the value of the vested interest in his or her account as a lump sum distribution. Benefits are recorded when distributed.

Participants may withdraw their pretax contributions to satisfy an immediate and heavy financial need, and for childbirth or adoption expenses, subject to Internal Revenue Service ("IRS") rules. The Plan follows the safe harbor hardship withdrawal provisions of the Bipartisan Budget Act of 2018. Participants are not required to take a loan prior to requesting a hardship withdrawal and contributions are not suspended after receipt of a hardship withdrawal.

## NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

### Basis of Accounting

The financial statements of the Plan are prepared on the accrual basis of accounting.

### Use of Estimates

The preparation of the Plan's financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make certain estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

### Investment Valuation and Income Recognition

Investments are reported at fair value, except for the insurance company general account, which is reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan administrator determines the Plan's valuation policies utilizing information provided by the investment advisors and trustees. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation/depreciation in fair value of investments includes the Plan's gains and losses on investments bought and sold as well as held during the year.

### Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based upon the terms of the plan document. No allowance for credit losses has been recorded as of June 30, 2022 and 2021. If a participant ceases making loan repayments and the plan administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded as a deemed distribution.

### Payment of Benefits

Benefits are recorded when paid.

### Expenses

The Plan is sponsored by the Company. Personnel within the Company perform various administrative services for the Plan, including maintenance of participant records. The payroll and related overhead costs associated with these services are paid by the Company and are not included as Plan expenses. Expenses paid by the Plan consist of transaction-based fees, Plan administration fees and Plan investment fees. Investment related expenses are included in net appreciation of fair value of investments.

### Subsequent Events

The Plan sponsor monitored and evaluated subsequent events from June 30, 2022 through November 28, 2022, the date on which financial statements were available to be issued.

### NOTE 3 - FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (“FASB”) *Accounting Standards Codification* (“ASC”) 820, *Fair Value Measurements*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

- Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access;
- Level 2 Inputs to the valuation methodology include: quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability;
- Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset’s or liability’s fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at June 30, 2022 and 2021.

*Registered investment companies (mutual funds):* Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (“NAV”) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

*Pooled separate accounts:* Valued at the NAV of units of the pooled separate accounts. The NAV, as provided by the trustees, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchased and sales) may occur daily.

The following tables set forth by level, within the fair value hierarchy, the Plan’s assets at fair value as of June 30,:

|                                                | Assets at Fair Value as of June 30, 2022 |             |             |                     |
|------------------------------------------------|------------------------------------------|-------------|-------------|---------------------|
|                                                | Level 1                                  | Level 2     | Level 3     | Total               |
| Registered investment companies (mutual funds) | \$ 3,089,880                             | \$ -        | \$ -        | \$ 3,089,880        |
| Total investments in the fair value hierarchy  | 3,089,880                                | -           | -           | 3,089,880           |
| Investments measured at NAV                    |                                          |             |             | 3,042,296           |
| Total investments, at fair value               | <u>\$ 3,089,880</u>                      | <u>\$ -</u> | <u>\$ -</u> | <u>\$ 6,132,176</u> |

**NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)**

|                                                | Assets at Fair Value as of June 30, 2021 |         |         |              |
|------------------------------------------------|------------------------------------------|---------|---------|--------------|
|                                                | Level 1                                  | Level 2 | Level 3 | Total        |
| Registered investment companies (mutual funds) | \$ 3,823,508                             | \$ -    | \$ -    | \$ 3,823,508 |
| Total investments in the fair value hierarchy  | 3,823,508                                | -       | -       | 3,823,508    |
| Investments measured at NAV                    |                                          |         |         | 4,010,391    |
| Total investments, at fair value               | \$ 3,823,508                             | \$ -    | \$ -    | \$ 7,833,899 |

**Fair Value of Investments in Entities that Use Net Asset Value:**

The following table presents investments measured at fair value based on NAV per share as of June 30,:

| Instrument               | Fair Value,<br>June 30, 2022 | Fair Value,<br>June 30, 2021 | Unfunded<br>Commitment | Redemption<br>Frequency | Redemption<br>Notice Period |
|--------------------------|------------------------------|------------------------------|------------------------|-------------------------|-----------------------------|
| Pooled separate accounts | \$ 3,042,296                 | \$ 4,010,391                 | None                   | Immediate               | None                        |

**NOTE 4 - INSURANCE COMPANY GENERAL ACCOUNT**

The Plan offers an insurance company general account investment, the Guaranteed Income Fund, which carries a benefit-responsiveness feature. Prudential Retirement Insurance and Annuity Company (“Prudential Retirement”) maintains the contributions to the investment in a fixed account. The account is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuer. For the periods July 1, 2020 through December 31, 2020 and January 1, 2020 through June 30, 2020, the rate was .75% and .65%, respectively, less asset charges of 0.9%. For the periods July 1, 2021 through December 31, 2021 and January 1, 2022 through June 30, 2022, the rate was 0.65% and 0.60%, respectively, less asset charges of 0.9%. The contract does not permit the insurance company to terminate the agreement prior to the scheduled maturity date.

This contract meets the fully benefit-responsive investment contract criteria and therefore is reported at contract value. Contract value is the relevant measure for benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transactions under the terms of the Plan. Contract value, as reported to the Plan by Prudential Retirement, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawals or transfer of all or a portion of their investment at contract value.

The Plan’s ability to receive amounts due is dependent on the issuer’s ability to meet its financial obligations. The issuer’s ability to meet its contractual obligations may be affected by future economic and regulatory developments.

Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events include the following: (1) amendments to the plan documents (including partial or complete Plan termination or merger with another Plan), (2) changes to the Plan’s prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the plan sponsor or other plan sponsor events (for example, divestitures, or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan, (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA, or (5) premature termination of the contract. No events are probably of occurring that might limit the ability of the Plan to transact at contract value with the contract issuers and that also would limit the liability of the Plan to transact at contract value with the participants.

#### **NOTE 4 - INSURANCE COMPANY GENERAL ACCOUNT (CONTINUED)**

In addition, certain events allow the issuer to terminate the contract with the Plan and settle at an amount different from the contract value. Such events include (1) and uncured violation of the Plan's investment guidelines, (2) a breach of material obligation under the contract, (3) a material misrepresentation, (4) a material amendment to the agreement without consent of the issuer.

#### **NOTE 5 - CERTIFIED INFORMATION**

Certain information related to investments and notes receivable from participants disclosed in the accompanying financial statements and supplemental schedule, including total investments and notes receivable from participants held at June 30, 2022 and 2021, and net appreciation/depreciation in fair value of investments, interest and dividends, and interest income on notes receivable from participants for the years then ended, was obtained or derived from information supplied to the Plan administrator and certified as complete and accurate by both Prudential Retirement and Prudential Bank & Trust, F.S.B. ("Prudential Bank").

#### **NOTE 6 - RELATED PARTIES AND PARTIES-IN-INTEREST TRANSACTIONS**

Certain Plan investments are shares of mutual funds held by Prudential Bank. Prudential Bank is a trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. The Plan also hires firms for investment advice and recordkeeping services. Net administrative fees paid by the Plan to these firms were \$18,430 and \$17,873 for the years ended June 30, 2022 and 2021, respectively. Fees incurred by the Plan for the investment management services are included in net appreciation/depreciation in fair value of the investment, as they are paid through revenue sharing, rather than a direct payment.

The Plan provides for participant loans. Transactions in such investments qualify as party-in-interest transactions as defined by ERISA.

#### **NOTE 7 - PLAN TERMINATION**

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100 percent vested in their accounts.

#### **NOTE 8 - TAX STATUS**

The Plan is a Prudential Retirement proto-type plan. The IRS has informed Prudential Retirement, by a letter dated March 31, 2008, that the form of the Plan is acceptable under the appropriate sections of the IRC. The Plan is designed to constitute a qualified tax-exempt plan under section 401(a) of the IRC. As such, the Plan is exempt from federal income tax, and amounts contributed by the Company will not be taxed to the participant until the participant receives a qualifying distribution from the Plan. The Plan has been amended since receiving the determination letter, but the Company believes that the Plan is operating in accordance with the applicable sections of the IRC.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

## NOTE 9 - RISKS AND UNCERTAINTIES

The Plan provides for various investment options. The underlying investments held by the registered investment companies may include stocks, bonds, fixed income securities, and other investment securities. Such investments are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of investment securities, it is at least reasonably possible that changes in the values of investment securities in the near term could materially affect participant's account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

In March 2020, the World Health Organization categorized COVID-19 as a pandemic, and the President of the United States declared the COVID-19 outbreak a national emergency. The COVID-19 pandemic has led to volatility in financial markets and has affected, and may continue to affect, the market price of Plan assets. The potential economic impact brought by, and the duration of, COVID-19 is difficult to assess or predict and will depend on future developments that are highly uncertain and cannot be predicted. Subsequent to June 30, 2022, the stock market as experienced significant volatility, the ultimate outcome of which could be material to the Plan's investments.

## NOTE 10 - RECONCILIATION OF PLAN FINANCIAL STATEMENTS TO FORM 5500

The Annual Return/Report of Employee Benefit Plan ("Form 5500") is prepared on the modified cash basis. Accordingly, certain balances included on Schedule H (Part I and II) of Form 5500 differ from those included in the financial statements.

The following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500 for June 30,:

|                                                                | 2022                | 2021                |
|----------------------------------------------------------------|---------------------|---------------------|
| Net assets available for benefits per the financial statements | \$ 8,500,012        | \$ 9,559,394        |
| Less: employer contribution receivable                         | <u>(180,000)</u>    | <u>(80,000)</u>     |
| Net assets available for benefits per Form 5500                | <u>\$ 8,320,012</u> | <u>\$ 9,479,394</u> |

The following is a reconciliation of net increase/(decrease) in assets available for benefits per the financial statements to the Form 5500 for the year ended June 30,:

|                                                      | 2022                  | 2021                |
|------------------------------------------------------|-----------------------|---------------------|
| Net increase/(decrease) per the financial statements | \$ (1,059,382)        | \$ 1,993,768        |
| Add: Prior year employer contribution receivable     | 80,000                | -                   |
| Less: Current year employer contribution receivable  | (180,000)             | (80,000)            |
| Less: Prior year corrective distributions payable    | <u>-</u>              | <u>(1,841)</u>      |
| Net increase/(decrease) per Form 5500                | <u>\$ (1,159,382)</u> | <u>\$ 1,911,927</u> |

## **SUPPLEMENTAL SCHEDULE**

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

EIN: 06-0703533, Plan # 001  
Form 5500, Schedule H, Line 4i

Schedule of Assets (Held at End of Year)

June 30, 2022

| ( a ) | ( b )                                                              | ( c )                                                                                                          | ( d ) | ( e )            |
|-------|--------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------|------------------|
|       | Identify of Issue, Borrower,<br>Lessor or Similar Party            | Description of Investment<br>Including Maturity Date, Rate on Interest,<br>Collateral, Part, or Maturity Value | Cost  | Current<br>Value |
|       | <b>Insurance company general account (guaranteed income fund):</b> |                                                                                                                |       |                  |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Guaranteed Income Fund                                                                                         | **    | \$ 2,013,395     |
|       | <b>Pooled separate accounts:</b>                                   |                                                                                                                |       |                  |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Core Plus Bond/PIMCO Fund                                                                                      | **    | 828,619          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Large Cap Growth/Jennison Fund                                                                                 | **    | 786,840          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | SA/T. Rowe Price Equity Income Strategy I                                                                      | **    | 600,756          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Dryden S&P 500 Index Fund                                                                                      | **    | 514,976          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Small Cap Value Kennedy Capital Fund                                                                           | **    | 138,124          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | High Grade Bonds/GSAM Fund                                                                                     | **    | 70,485           |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Large Cap Value Fund/Wellington Management                                                                     | **    | 64,037           |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | High Yield Bond Caywood-Scholl Fund                                                                            | **    | 38,459           |
|       | Total pooled separate accounts                                     |                                                                                                                |       | 3,042,296        |
|       | <b>Registered investment companies (mutual funds):</b>             |                                                                                                                |       |                  |
|       | Franklin Templeton Investments                                     | Foreign Fund A                                                                                                 | **    | 730,465          |
|       | Baron Capital                                                      | Asset Fund                                                                                                     | **    | 246,959          |
|       | Oppenheimer                                                        | Developing Markets A                                                                                           | **    | 184,878          |
|       | Invesco                                                            | Gold & Special Minerals Fund                                                                                   | **    | 204,305          |
|       | Baron Capital                                                      | Growth Fund                                                                                                    | **    | 174,477          |
|       | Principal Funds                                                    | Preferred Securities R3                                                                                        | **    | 161,435          |
|       | Calamos Investments                                                | Convertible A                                                                                                  | **    | 143,929          |
|       | Goldman Sachs                                                      | Mid Cap Value A                                                                                                | **    | 126,059          |
|       | Ivy Investment Management                                          | Global Natural Resources Y                                                                                     | **    | 165,946          |
|       | Ivy Investment Management                                          | Asset Strategy A                                                                                               | **    | 129,363          |
|       | Natixis Investment Managers                                        | ASG Global Alternatives A                                                                                      | **    | 139,144          |
|       | Gateway Investments                                                | Fund Class A                                                                                                   | **    | 103,954          |
|       | MRS Government Markets                                             | Utilities R2                                                                                                   | **    | 123,484          |
|       | Nuveen Investment Management                                       | Real Asset Income Fund A                                                                                       | **    | 121,486          |
|       | Putnam Investments                                                 | US Government Income Trust A                                                                                   | **    | 23,665           |

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

EIN: 06-0703533, Plan # 001  
Form 5500, Schedule H, Line 4i

Schedule of Assets (Held at End of Year) - (Continued)

June 30, 2022

| ( a ) | ( b )                                                                   | ( c )                                                                                                          | ( d ) | ( e )            |
|-------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------|------------------|
|       | Identify of Issue, Borrower,<br>Lessor or Similar Party                 | Description of Investment<br>Including Maturity Date, Rate on Interest,<br>Collateral, Part, or Maturity Value | Cost  | Current<br>Value |
|       | <b>Registered investment companies (mutual funds) - continued :</b>     |                                                                                                                |       |                  |
| *     | Prudential Financial                                                    | Merger Fund                                                                                                    | **    | \$ 38,055        |
|       | BlackRock                                                               | Asian Dragon Investor A                                                                                        | **    | 45,154           |
|       | Neuberger Berman                                                        | Genesis Adv                                                                                                    | **    | 73,744           |
|       | Third Avenue Management                                                 | Real Estate Value Investor                                                                                     | **    | 22,282           |
|       | Franklin Templeton Investments                                          | High Income R                                                                                                  | **    | 11,540           |
|       | PIMCO Investment Management                                             | Real Return Bond Fund A                                                                                        | **    | 6,578            |
|       | Oakmark                                                                 | EQ&INC Investor                                                                                                | **    | 112,978          |
|       | Total registered investment companies (mutual funds)                    |                                                                                                                |       | 3,089,880        |
|       | Total investments                                                       |                                                                                                                |       | \$ 8,145,571     |
| *     | Notes receivable from participants with interest rates of 4.25% - 6.50% |                                                                                                                | \$ -  | \$ 174,441       |
|       | Total investments and notes receivable from participants                |                                                                                                                |       | \$ 8,320,012     |

\* Parties-in-interest as determined by ERISA.

\*\* Cost of investments is not presented as all investments are participant directed.

This schedule was prepared based on information certified by the trustees of the Plan.

**Headquarters**

280 Trumbull Street, 24th Floor  
Hartford, CT 06103  
860.522.3111

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One Hamden Center  
2319 Whitney Avenue, Suite 2A  
Hamden, CT 06518  
203.397.2525

14 Bobala Road, 3rd Floor  
Holyoke, MA 01040  
413.536.3970

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**WAdvising.com**

**ACME MONACO CORPORATION  
401(K) PROFIT SHARING PLAN**

Independent Auditors' Report  
Financial Statements and Supplemental Schedule

June 30, 2022 and 2021



ASSURANCE | ADVISORY | TAX | TECHNOLOGY

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

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June 30, 2022 and 2021

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Note: Other schedules required by Section 29 CFR 2520.103 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under Employee Retirement Income Security Act of 1974 ("ERISA") have been omitted because they are not applicable.

## INDEPENDENT AUDITORS' REPORT

To the Participants and Plan Administrator of  
Acme Monaco Corporation 401(k) Profit Sharing Plan

### **Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2022 Financial Statements**

We have performed an audit of the accompanying financial statements of Acme Monaco Corporation 401(k) Profit Sharing Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 ("ERISA"), as permitted by ERISA Section 103(a)(3)(C) ("ERISA Section 103(a)(3)(C) audit"). The financial statements comprise the statement of net assets available for benefits as of June 30, 2022, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements ("2022 financial statements").

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the Plan ("investment information") by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA ("qualified institution").

Management has obtained a certification from a qualified institution as of and for the year ended June 30, 2022, stating that the certified investment information, as described in Note 5 to the financial statements, is complete and accurate.

### **Opinion on the 2022 Financial Statements**

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the 2022 Financial Statements section —

- the amounts and disclosures in the 2022 financial statements referred to above, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the 2022 financial statements referred to above related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

## **Basis for Opinion on the 2022 Financial Statements**

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (“GAAS”). Our responsibilities under those standards are further described in the Auditors’ Responsibilities for the Audit of the 2022 Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

## **Responsibilities of Management for the 2022 Financial Statements**

Management is responsible for the preparation and fair presentation of the 2022 financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of 2022 financial statements that are free from material misstatement, whether due to fraud or error. Management’s election of the ERISA Section 103(a)(3)(C) audit does not affect management’s responsibility for the 2022 financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan’s ability to continue as a going concern for one year after the date the 2022 financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments; administering the Plan; and determining that the Plan’s transactions that are presented and disclosed in the 2022 financial statements are in conformity with the Plan’s provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

## **Auditors’ Responsibilities for the Audit of the 2022 Financial Statements**

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2022 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors’ report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the 2022 financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the 2022 financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the 2022 financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan’s internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the 2022 financial statements.

- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2022 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the 2022 financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

### **Other Matters**

#### *2022 Supplemental Schedule Required by ERISA*

The supplemental schedule of assets (held at end of year) as of June 30, 2022 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including their form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion—

- the form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

### **Auditors' Report on the 2021 Financial Statements**

We were engaged to audit the 2021 financial statements of the Plan. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the plan administrator instructed us not to perform and we did not perform any auditing procedures with respect to the information certified by a qualified institution. In our report dated January 14, 2022, we indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2021 financial statements, and (b) the form and content of the information included in the 2021 financial statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA

A handwritten signature in black ink that reads "Whittlesey PC". The signature is written in a cursive, flowing style.

Hartford, Connecticut  
November 28, 2022

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

Statements of Net Assets Available for Benefits

June 30, 2022 and 2021

|                                                            | 2022                | 2021                |
|------------------------------------------------------------|---------------------|---------------------|
| <b>Assets:</b>                                             |                     |                     |
| Investments, at fair value:                                |                     |                     |
| Pooled separate accounts                                   | \$ 3,042,296        | \$ 4,010,391        |
| Registered investment companies (mutual funds)             | 3,089,880           | 3,823,508           |
|                                                            | <u>6,132,176</u>    | <u>7,833,899</u>    |
| Investments, at contract value:                            |                     |                     |
| Insurance company general account (guaranteed income fund) | 2,013,395           | 1,523,172           |
|                                                            | <u>2,013,395</u>    | <u>1,523,172</u>    |
| Receivables:                                               |                     |                     |
| Employer contribution receivable                           | 180,000             | 80,000              |
| Notes receivable from participants                         | 174,441             | 122,323             |
|                                                            | <u>354,441</u>      | <u>202,323</u>      |
| Total receivables                                          | <u>354,441</u>      | <u>202,323</u>      |
| Total assets                                               | <u>8,500,012</u>    | <u>9,559,394</u>    |
| Net assets available for benefits                          | <u>\$ 8,500,012</u> | <u>\$ 9,559,394</u> |

The accompanying notes are an integral part of the financial statements.

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

Statements of Changes in Net Assets Available for Benefits

For the years ended June 30, 2022 and 2021

|                                                              | 2022                       | 2021                       |
|--------------------------------------------------------------|----------------------------|----------------------------|
| Investment income/(loss):                                    |                            |                            |
| Net appreciation/(depreciation) in fair value of investments | \$ (1,286,651)             | \$ 1,769,627               |
| Interest                                                     | 10,819                     | 9,423                      |
| Dividends                                                    | 71,441                     | 40,975                     |
|                                                              | <u>(1,204,391)</u>         | <u>1,820,025</u>           |
| Net investment income/(loss)                                 |                            |                            |
| Interest income on notes receivable from participants        | <u>6,111</u>               | <u>7,673</u>               |
| Contributions:                                               |                            |                            |
| Participants                                                 | 529,129                    | 471,189                    |
| Employer                                                     | 180,000                    | 80,000                     |
| Rollovers                                                    | 53,934                     | -                          |
|                                                              | <u>763,063</u>             | <u>551,189</u>             |
| Total contributions                                          |                            |                            |
| Benefits paid to participants                                | (601,487)                  | (360,685)                  |
| Deemed distributions                                         | (4,248)                    | (6,561)                    |
| Administrative expenses                                      | <u>(18,430)</u>            | <u>(17,873)</u>            |
| Net increase/(decrease)                                      | (1,059,382)                | 1,993,768                  |
| <b>Net assets available for benefits:</b>                    |                            |                            |
| Beginning of year                                            | <u>9,559,394</u>           | <u>7,565,626</u>           |
| End of year                                                  | <u><u>\$ 8,500,012</u></u> | <u><u>\$ 9,559,394</u></u> |

The accompanying notes are an integral part of the financial statements.

# ACME MONACO CORPORATION 401(K) PROFIT SHARING PLAN

## Notes to Financial Statements

June 30, 2022 and 2021

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### NOTE 1 - DESCRIPTION OF THE PLAN

The following description of the Acme Monaco Corporation 401(k) Profit Sharing Plan (the “Plan”) provides only general information. Participants should refer to the Plan documents and related amendments for a more complete description of the Plan’s provisions.

#### General

The Plan is a defined contribution plan covering substantially all employees of the Acme Monaco Corporation (the “Company”) who have completed 90 days of service and are age eighteen years or older. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”).

In accordance with Coronavirus (“COVID-19”) Aid, Relief, and Economic Security Act (the “CARES Act”) and the Setting Every Community Up for Retirement Enhancement Act of 2019 (the “SECURE Act”), the Plan adopted the following provisions: COVID related distributions up to \$100,000, loan payment deferment, suspension of required minimum distributions effective in 2020, increased the age for required minimum distributions from 70 1/2 to 72 years, and allowed long-term, part-time employees who completed three consecutive 12-month periods, each with at least 500 hours of service to participate in the employee deferral component of the Plan. As of June 30, 2022, the Plan has not been formally amended related to these provisions.

#### Contributions

Employees are automatically enrolled as a participant in the Plan at 4% of the participant’s qualified compensation, on a pretax basis, once the participant has met the eligibility requirement for participation in the Plan, unless instructed otherwise. Each year, participants may contribute a percentage of pretax compensation, and after-tax compensation, as defined by the Plan. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or defined contribution plans. Participants direct the investment of their elective deferral contributions into various investment options offered by the Plan. The Company may make profit-sharing contributions at the option of the Company’s Board of Directors and are allocated to eligible participants’ accounts based on their qualified compensation as defined by the Plan. Contributions are subject to certain limitations by the Plan and the Internal Revenue Code (“IRC”).

#### Participant Accounts

Each participant’s account is credited with the participant’s contribution and allocations of the Company’s contributions and Plan earnings and charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined by the Plan. The benefit to which a participant is entitled is the benefit that can be provided from the participant’s vested account.

## NOTE 1 - DESCRIPTION OF THE PLAN (CONTINUED)

### Vesting

Participants are immediately vested in their contributions and all earnings on those contributions. Vesting in the Company's profit-sharing contributions is based on years of continuous service. Participants vest in the Company's profit-sharing contribution portion as follows:

| <u>Vesting Service<br/>(Years)</u> | <u>Vesting<br/>Percentage %</u> |
|------------------------------------|---------------------------------|
| 0-1                                | 0                               |
| 2                                  | 20                              |
| 3                                  | 40                              |
| 4                                  | 60                              |
| 5                                  | 80                              |
| 6 or more                          | 100                             |

### Forfeited Accounts

At June 30, 2022 and 2021, forfeited non-vested accounts totaled \$2,374 and \$146, respectively. These accounts are used for Plan administrative expenses. Any remaining forfeitures are reallocated as additional non-elective contributions. These accounts are reallocated to participants in the same manner as the Company profit sharing contribution. During the years ended June 30, 2022 and 2021, forfeitures of \$4,405 and \$1,597, respectively, were utilized to pay administrative expenses.

### Notes Receivable from Participants

The Plan allows participant loans subject to the provisions as set forth in the Plan document. Participants may borrow from their vested accounts a minimum of \$1,000 up to a maximum of \$50,000 or 50% of their vested balance, whichever is less. Loan terms range from one to five years or up to fifteen years for the purchase of a principal residence. The loans are secured by the balance in the participant's account and bear interest at fixed rates that are 1% above the prime interest rate at the date of issuance as determined by the Plan administrator. Principal and interest are paid ratably through payroll deductions in every pay period. Interest rates range from 4.25% to 6.50% at June 30, 2022.

### Payment of Benefits

Upon termination of service due to death, disability, or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account or a rollover distribution paid directly to an eligible retirement plan. Participants with vested benefits of less than \$5,000 must elect a lump-sum payment. For termination of service for other reasons, a participant may receive the value of the vested interest in his or her account as a lump sum distribution. Benefits are recorded when distributed.

Participants may withdraw their pretax contributions to satisfy an immediate and heavy financial need, and for childbirth or adoption expenses, subject to Internal Revenue Service ("IRS") rules. The Plan follows the safe harbor hardship withdrawal provisions of the Bipartisan Budget Act of 2018. Participants are not required to take a loan prior to requesting a hardship withdrawal and contributions are not suspended after receipt of a hardship withdrawal.

## **NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

### **Basis of Accounting**

The financial statements of the Plan are prepared on the accrual basis of accounting.

### **Use of Estimates**

The preparation of the Plan's financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make certain estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

### **Investment Valuation and Income Recognition**

Investments are reported at fair value, except for the insurance company general account, which is reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan administrator determines the Plan's valuation policies utilizing information provided by the investment advisors and trustees. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation/depreciation in fair value of investments includes the Plan's gains and losses on investments bought and sold as well as held during the year.

### **Notes Receivable from Participants**

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based upon the terms of the plan document. No allowance for credit losses has been recorded as of June 30, 2022 and 2021. If a participant ceases making loan repayments and the plan administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded as a deemed distribution.

### **Payment of Benefits**

Benefits are recorded when paid.

### **Expenses**

The Plan is sponsored by the Company. Personnel within the Company perform various administrative services for the Plan, including maintenance of participant records. The payroll and related overhead costs associated with these services are paid by the Company and are not included as Plan expenses. Expenses paid by the Plan consist of transaction-based fees, Plan administration fees and Plan investment fees. Investment related expenses are included in net appreciation of fair value of investments.

### **Subsequent Events**

The Plan sponsor monitored and evaluated subsequent events from June 30, 2022 through November 28, 2022, the date on which financial statements were available to be issued.

### NOTE 3 - FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (“FASB”) *Accounting Standards Codification* (“ASC”) 820, *Fair Value Measurements*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

- Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access;
- Level 2 Inputs to the valuation methodology include: quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability;
- Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset’s or liability’s fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at June 30, 2022 and 2021.

*Registered investment companies (mutual funds):* Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (“NAV”) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

*Pooled separate accounts:* Valued at the NAV of units of the pooled separate accounts. The NAV, as provided by the trustees, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchased and sales) may occur daily.

The following tables set forth by level, within the fair value hierarchy, the Plan’s assets at fair value as of June 30,:

|                                                | Assets at Fair Value as of June 30, 2022 |             |             |                     |
|------------------------------------------------|------------------------------------------|-------------|-------------|---------------------|
|                                                | Level 1                                  | Level 2     | Level 3     | Total               |
| Registered investment companies (mutual funds) | \$ 3,089,880                             | \$ -        | \$ -        | \$ 3,089,880        |
| Total investments in the fair value hierarchy  | 3,089,880                                | -           | -           | 3,089,880           |
| Investments measured at NAV                    |                                          |             |             | 3,042,296           |
| Total investments, at fair value               | <u>\$ 3,089,880</u>                      | <u>\$ -</u> | <u>\$ -</u> | <u>\$ 6,132,176</u> |

**NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)**

|                                                | Assets at Fair Value as of June 30, 2021 |         |         |              |
|------------------------------------------------|------------------------------------------|---------|---------|--------------|
|                                                | Level 1                                  | Level 2 | Level 3 | Total        |
| Registered investment companies (mutual funds) | \$ 3,823,508                             | \$ -    | \$ -    | \$ 3,823,508 |
| Total investments in the fair value hierarchy  | 3,823,508                                | -       | -       | 3,823,508    |
| Investments measured at NAV                    |                                          |         |         | 4,010,391    |
| Total investments, at fair value               | \$ 3,823,508                             | \$ -    | \$ -    | \$ 7,833,899 |

**Fair Value of Investments in Entities that Use Net Asset Value:**

The following table presents investments measured at fair value based on NAV per share as of June 30,:

| Instrument               | Fair Value,<br>June 30, 2022 | Fair Value,<br>June 30, 2021 | Unfunded<br>Commitment | Redemption<br>Frequency | Redemption<br>Notice Period |
|--------------------------|------------------------------|------------------------------|------------------------|-------------------------|-----------------------------|
| Pooled separate accounts | \$ 3,042,296                 | \$ 4,010,391                 | None                   | Immediate               | None                        |

**NOTE 4 - INSURANCE COMPANY GENERAL ACCOUNT**

The Plan offers an insurance company general account investment, the Guaranteed Income Fund, which carries a benefit-responsiveness feature. Prudential Retirement Insurance and Annuity Company (“Prudential Retirement”) maintains the contributions to the investment in a fixed account. The account is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The contract issuer is contractually obligated to repay the principal and a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuer. For the periods July 1, 2020 through December 31, 2020 and January 1, 2020 through June 30, 2020, the rate was .75% and .65%, respectively, less asset charges of 0.9%. For the periods July 1, 2021 through December 31, 2021 and January 1, 2022 through June 30, 2022, the rate was 0.65% and 0.60%, respectively, less asset charges of 0.9%. The contract does not permit the insurance company to terminate the agreement prior to the scheduled maturity date.

This contract meets the fully benefit-responsive investment contract criteria and therefore is reported at contract value. Contract value is the relevant measure for benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transactions under the terms of the Plan. Contract value, as reported to the Plan by Prudential Retirement, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawals or transfer of all or a portion of their investment at contract value.

The Plan’s ability to receive amounts due is dependent on the issuer’s ability to meet its financial obligations. The issuer’s ability to meet its contractual obligations may be affected by future economic and regulatory developments.

Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events include the following: (1) amendments to the plan documents (including partial or complete Plan termination or merger with another Plan), (2) changes to the Plan’s prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the plan sponsor or other plan sponsor events (for example, divestitures, or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan, (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA, or (5) premature termination of the contract. No events are probably of occurring that might limit the ability of the Plan to transact at contract value with the contract issuers and that also would limit the liability of the Plan to transact at contract value with the participants.

#### **NOTE 4 - INSURANCE COMPANY GENERAL ACCOUNT (CONTINUED)**

In addition, certain events allow the issuer to terminate the contract with the Plan and settle at an amount different from the contract value. Such events include (1) and uncured violation of the Plan's investment guidelines, (2) a breach of material obligation under the contract, (3) a material misrepresentation, (4) a material amendment to the agreement without consent of the issuer.

#### **NOTE 5 - CERTIFIED INFORMATION**

Certain information related to investments and notes receivable from participants disclosed in the accompanying financial statements and supplemental schedule, including total investments and notes receivable from participants held at June 30, 2022 and 2021, and net appreciation/depreciation in fair value of investments, interest and dividends, and interest income on notes receivable from participants for the years then ended, was obtained or derived from information supplied to the Plan administrator and certified as complete and accurate by both Prudential Retirement and Prudential Bank & Trust, F.S.B. ("Prudential Bank").

#### **NOTE 6 - RELATED PARTIES AND PARTIES-IN-INTEREST TRANSACTIONS**

Certain Plan investments are shares of mutual funds held by Prudential Bank. Prudential Bank is a trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. The Plan also hires firms for investment advice and recordkeeping services. Net administrative fees paid by the Plan to these firms were \$18,430 and \$17,873 for the years ended June 30, 2022 and 2021, respectively. Fees incurred by the Plan for the investment management services are included in net appreciation/depreciation in fair value of the investment, as they are paid through revenue sharing, rather than a direct payment.

The Plan provides for participant loans. Transactions in such investments qualify as party-in-interest transactions as defined by ERISA.

#### **NOTE 7 - PLAN TERMINATION**

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100 percent vested in their accounts.

#### **NOTE 8 - TAX STATUS**

The Plan is a Prudential Retirement proto-type plan. The IRS has informed Prudential Retirement, by a letter dated March 31, 2008, that the form of the Plan is acceptable under the appropriate sections of the IRC. The Plan is designed to constitute a qualified tax-exempt plan under section 401(a) of the IRC. As such, the Plan is exempt from federal income tax, and amounts contributed by the Company will not be taxed to the participant until the participant receives a qualifying distribution from the Plan. The Plan has been amended since receiving the determination letter, but the Company believes that the Plan is operating in accordance with the applicable sections of the IRC.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

## NOTE 9 - RISKS AND UNCERTAINTIES

The Plan provides for various investment options. The underlying investments held by the registered investment companies may include stocks, bonds, fixed income securities, and other investment securities. Such investments are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of investment securities, it is at least reasonably possible that changes in the values of investment securities in the near term could materially affect participant's account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

In March 2020, the World Health Organization categorized COVID-19 as a pandemic, and the President of the United States declared the COVID-19 outbreak a national emergency. The COVID-19 pandemic has led to volatility in financial markets and has affected, and may continue to affect, the market price of Plan assets. The potential economic impact brought by, and the duration of, COVID-19 is difficult to assess or predict and will depend on future developments that are highly uncertain and cannot be predicted. Subsequent to June 30, 2022, the stock market as experienced significant volatility, the ultimate outcome of which could be material to the Plan's investments.

## NOTE 10 - RECONCILIATION OF PLAN FINANCIAL STATEMENTS TO FORM 5500

The Annual Return/Report of Employee Benefit Plan ("Form 5500") is prepared on the modified cash basis. Accordingly, certain balances included on Schedule H (Part I and II) of Form 5500 differ from those included in the financial statements.

The following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500 for June 30,:

|                                                                | 2022                       | 2021                       |
|----------------------------------------------------------------|----------------------------|----------------------------|
| Net assets available for benefits per the financial statements | \$ 8,500,012               | \$ 9,559,394               |
| Less: employer contribution receivable                         | <u>(180,000)</u>           | <u>(80,000)</u>            |
| Net assets available for benefits per Form 5500                | <u><u>\$ 8,320,012</u></u> | <u><u>\$ 9,479,394</u></u> |

The following is a reconciliation of net increase/(decrease) in assets available for benefits per the financial statements to the Form 5500 for the year ended June 30,:

|                                                      | 2022                         | 2021                       |
|------------------------------------------------------|------------------------------|----------------------------|
| Net increase/(decrease) per the financial statements | \$ (1,059,382)               | \$ 1,993,768               |
| Add: Prior year employer contribution receivable     | 80,000                       | -                          |
| Less: Current year employer contribution receivable  | (180,000)                    | (80,000)                   |
| Less: Prior year corrective distributions payable    | <u>-</u>                     | <u>(1,841)</u>             |
| Net increase/(decrease) per Form 5500                | <u><u>\$ (1,159,382)</u></u> | <u><u>\$ 1,911,927</u></u> |

## **SUPPLEMENTAL SCHEDULE**

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

EIN: 06-0703533, Plan # 001  
Form 5500, Schedule H, Line 4i

Schedule of Assets (Held at End of Year)

June 30, 2022

| ( a ) | ( b )                                                              | ( c )                                                                                                          | ( d ) | ( e )            |
|-------|--------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------|------------------|
|       | Identify of Issue, Borrower,<br>Lessor or Similar Party            | Description of Investment<br>Including Maturity Date, Rate on Interest,<br>Collateral, Part, or Maturity Value | Cost  | Current<br>Value |
|       | <b>Insurance company general account (guaranteed income fund):</b> |                                                                                                                |       |                  |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Guaranteed Income Fund                                                                                         | **    | \$ 2,013,395     |
|       | <b>Pooled separate accounts:</b>                                   |                                                                                                                |       |                  |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Core Plus Bond/PIMCO Fund                                                                                      | **    | 828,619          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Large Cap Growth/Jennison Fund                                                                                 | **    | 786,840          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | SA/T. Rowe Price Equity Income Strategy I                                                                      | **    | 600,756          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Dryden S&P 500 Index Fund                                                                                      | **    | 514,976          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Small Cap Value Kennedy Capital Fund                                                                           | **    | 138,124          |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | High Grade Bonds/GSAM Fund                                                                                     | **    | 70,485           |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | Large Cap Value Fund/Wellington Management                                                                     | **    | 64,037           |
| *     | Prudential Retirement Insurance<br>and Annuity Company             | High Yield Bond Caywood-Scholl Fund                                                                            | **    | 38,459           |
|       | Total pooled separate accounts                                     |                                                                                                                |       | 3,042,296        |
|       | <b>Registered investment companies (mutual funds):</b>             |                                                                                                                |       |                  |
|       | Franklin Templeton Investments                                     | Foreign Fund A                                                                                                 | **    | 730,465          |
|       | Baron Capital                                                      | Asset Fund                                                                                                     | **    | 246,959          |
|       | Oppenheimer                                                        | Developing Markets A                                                                                           | **    | 184,878          |
|       | Invesco                                                            | Gold & Special Minerals Fund                                                                                   | **    | 204,305          |
|       | Baron Capital                                                      | Growth Fund                                                                                                    | **    | 174,477          |
|       | Principal Funds                                                    | Preferred Securities R3                                                                                        | **    | 161,435          |
|       | Calamos Investments                                                | Convertible A                                                                                                  | **    | 143,929          |
|       | Goldman Sachs                                                      | Mid Cap Value A                                                                                                | **    | 126,059          |
|       | Ivy Investment Management                                          | Global Natural Resources Y                                                                                     | **    | 165,946          |
|       | Ivy Investment Management                                          | Asset Strategy A                                                                                               | **    | 129,363          |
|       | Natixis Investment Managers                                        | ASG Global Alternatives A                                                                                      | **    | 139,144          |
|       | Gateway Investments                                                | Fund Class A                                                                                                   | **    | 103,954          |
|       | MRS Government Markets                                             | Utilities R2                                                                                                   | **    | 123,484          |
|       | Nuveen Investment Management                                       | Real Asset Income Fund A                                                                                       | **    | 121,486          |
|       | Putnam Investments                                                 | US Government Income Trust A                                                                                   | **    | 23,665           |

**ACME MONACO CORPORATION**  
**401(K) PROFIT SHARING PLAN**

EIN: 06-0703533, Plan # 001  
Form 5500, Schedule H, Line 4i

Schedule of Assets (Held at End of Year) - (Continued)

June 30, 2022

| ( a ) | ( b )                                                                   | ( c )                                                                                                          | ( d ) | ( e )            |
|-------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------|------------------|
|       | Identify of Issue, Borrower,<br>Lessor or Similar Party                 | Description of Investment<br>Including Maturity Date, Rate on Interest,<br>Collateral, Part, or Maturity Value | Cost  | Current<br>Value |
|       | <b>Registered investment companies (mutual funds) - continued :</b>     |                                                                                                                |       |                  |
| *     | Prudential Financial                                                    | Merger Fund                                                                                                    | **    | \$ 38,055        |
|       | BlackRock                                                               | Asian Dragon Investor A                                                                                        | **    | 45,154           |
|       | Neuberger Berman                                                        | Genesis Adv                                                                                                    | **    | 73,744           |
|       | Third Avenue Management                                                 | Real Estate Value Investor                                                                                     | **    | 22,282           |
|       | Franklin Templeton Investments                                          | High Income R                                                                                                  | **    | 11,540           |
|       | PIMCO Investment Management                                             | Real Return Bond Fund A                                                                                        | **    | 6,578            |
|       | Oakmark                                                                 | EQ&INC Investor                                                                                                | **    | 112,978          |
|       | Total registered investment companies (mutual funds)                    |                                                                                                                |       | 3,089,880        |
|       | Total investments                                                       |                                                                                                                |       | \$ 8,145,571     |
| *     | Notes receivable from participants with interest rates of 4.25% - 6.50% |                                                                                                                | \$ -  | \$ 174,441       |
|       | Total investments and notes receivable from participants                |                                                                                                                |       | \$ 8,320,012     |

\* Parties-in-interest as determined by ERISA.

\*\* Cost of investments is not presented as all investments are participant directed.

This schedule was prepared based on information certified by the trustees of the Plan.

**Headquarters**

280 Trumbull Street, 24th Floor  
Hartford, CT 06103  
860.522.3111

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One Hamden Center  
2319 Whitney Avenue, Suite 2A  
Hamden, CT 06518  
203.397.2525

14 Bobala Road, 3rd Floor  
Holyoke, MA 01040  
413.536.3970

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**WAdvising.com**

