

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>	
A This return/report is for:	☐ a multiemployer plan ☒ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☒ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	☐

Part II	Basic Plan Information—enter all requested information										
1a Name of plan <u>THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN & TRUST</u> 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>RETAIL ASSOCIATION OF MAINE</u> <u>45 MELVILLE STREET STE 1</u> <u>AUGUSTA, ME 04330</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 50%; text-align: center;"><u>333</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/2013</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>01-0165117</u></td> </tr> <tr> <td colspan="2">2c Plan Sponsor's telephone number <u>207-623-1149</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>561300</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>333</u>	1c Effective date of plan <u>01/01/2013</u>		2b Employer Identification Number (EIN) <u>01-0165117</u>		2c Plan Sponsor's telephone number <u>207-623-1149</u>		2d Business code (see instructions) <u>561300</u>	
1b Three-digit plan number (PN) ▶	<u>333</u>										
1c Effective date of plan <u>01/01/2013</u>											
2b Employer Identification Number (EIN) <u>01-0165117</u>											
2c Plan Sponsor's telephone number <u>207-623-1149</u>											
2d Business code (see instructions) <u>561300</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	02/02/2023	FIDUCIARY SERVICES
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

3a Plan administrator's name and address ☐ Same as Plan Sponsor NATIONAL BENEFIT SERVICES, LLC ANDY LOVELL PO BOX 6980 WEST JORDAN, UT 84084	3b Administrator's EIN 20-3886993 3c Administrator's telephone number 801-532-4000
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 3629
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year..... a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 3454 6a(2) 3490 6b 86 6c 293 6d 3869 6e 0 6f 3869 6g 1641 6h 67
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2S 2E 2F 2G 2J 2K 2T 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN & TRUST	B Three-digit plan number (PN) ▶ 333	
C Plan sponsor's name as shown on line 2a of Form 5500 RETAIL ASSOCIATION OF MAINE	D Employer Identification Number (EIN) 01-0165117	

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
LINCOLN NATIONAL LIFE INSURANCE CO

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
34-0472300	65676	913AA		01/01/2021	12/31/2021

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
14004	127823

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
CENTAURUS FINANCIAL INC. 2300 E. KATELLA AVE #200 ANAHEIM, CA 92806

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
5989			4

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
PRUCO SECURITIES LLC 751 BROAD STREET NEWARK, NJ 07102

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
3881			4

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

AMERIPRISE FINANCIAL SERVICES LLC

50082 AMERIPRISE FINANCIAL CENTER
MINNEAPOLIS, MN 55474

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
2808			4

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

PRUDENTIAL INSURANCE AGENCY LLC

213 WASHINGTON ST FL 18
NEWARK, NJ 07102

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
1326			4

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

RETAIL ASSOCIATION OF MAINE

45 MELVILLE STREET, SUITE 1
AUGUSTA, ME 04330

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
0	127823	ASRA ASSOCIATION SUBSIDY	0

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4****5** Current value of plan's interest under this contract in separate accounts at year end..... **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**
Specify nature of costs ▶**e** Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☐ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b****c** Additions: (1) Contributions deposited during the year **7c(1)**(2) Dividends and credits..... **7c(2)**(3) Interest credited during the year..... **7c(3)**(4) Transferred from separate account..... **7c(4)**(5) Other (specify below) **7c(5)**
▶(6) Total additions **7c(6)****d** Total of balance and additions (add lines **7b** and **7c(6)**). **7d****e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)**(2) Administration charge made by carrier..... **7e(2)**(3) Transferred to separate account..... **7e(3)**(4) Other (specify below) **7e(4)**
▶(5) Total deductions **7e(5)****f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**)..... **7f**

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021		
A Name of plan THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN & TRUST	B Three-digit plan number (PN) ▶	333
C Plan sponsor's name as shown on line 2a of Form 5500 RETAIL ASSOCIATION OF MAINE	D Employer Identification Number (EIN) 01-0165117	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

D'ARCANGELO & CO., LLP

13-2550103

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	12300	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan <u>THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN & TRUST</u>	B Three-digit plan number (PN) ►	<u>333</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>RETAIL ASSOCIATION OF MAINE</u>	D Employer Identification Number (EIN) <u>01-0165117</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LINCOLN LIFE SEPARATE ACCOUNT</u>		
b Name of sponsor of entity listed in (a): <u>LINCOLN NATIONAL LIFE INSURANCE CO</u>		
c EIN-PN <u>35-0472300-402</u>	d Entity code <u>P</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>45953607</u>
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LINCOLN NEW YORK SEPARATE ACCT 401</u>		
b Name of sponsor of entity listed in (a): <u>LINCOLN LIFE & ANNUITY COMPANY OF NEW YORK</u>		
c EIN-PN <u>22-0832760-401</u>	d Entity code <u>P</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>225723</u>
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning <u>01/01/2021</u> and ending <u>12/31/2021</u>		
A Name of plan <u>THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN & TRUST</u>	B Three-digit plan number (PN) ►	<u>333</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>RETAIL ASSOCIATION OF MAINE</u>	D Employer Identification Number (EIN) <u>01-0165117</u>	

Part I	Asset and Liability Statement		
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
	Assets	(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	10682	190661
(2) Participant contributions.....	1b(2)	73587	54231
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	106852	430357
(9) Value of interest in common/collective trusts	1c(9)	68275	
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	23742932	46173482
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	386456	418079
(15) Other.....	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	24388784 47266810
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	50968 146478
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	50968 146478
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	24337816 47120332

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	948322
	(B) Participants	2a(1)(B)	2456674
	(C) Others (including rollovers).....	2a(1)(C)	155534
(2)	Noncash contributions.....	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	3560530
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	
	(B) U.S. Government securities	2b(1)(B)	
	(C) Corporate debt instruments	2b(1)(C)	
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	12165
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	12165
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	0
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	0
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		4279770
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		7852465
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	4513035	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		4513035
f Corrective distributions (see instructions).....	2f		147966
g Certain deemed distributions of participant loans (see instructions).....	2g		39303
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)	93205	
(4) Other.....	2i(4)	69137	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		162342
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		4862646
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		2989819
l Transfers of assets:			
(1) To this plan.....	2l(1)		19792697
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: D'ARCANGELO & CO., LLP

(2) EIN: 13-2550103

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a	X		414241

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

A Name of plan THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN & TRUST	B Three-digit plan number (PN) ▶ 333
C Plan sponsor's name as shown on line 2a of Form 5500 RETAIL ASSOCIATION OF MAINE	D Employer Identification Number (EIN) 01-0165117

Part I	Distributions
--------	---------------

All references to distributions relate only to payments of benefits during the plan year.

1	Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	0
2	Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): _____ Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3	Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
---------	--

4	Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.				
5	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6	a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
	b Enter the amount contributed by the employer to the plan for this plan year	6b		
	c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.				
7	Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8	If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
----------	------------

9	If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
---------	--

10	Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11	a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
	b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12	Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2021
v. 201209

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:

Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation _____

THE ALLIANCE OF STATE
RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST

For the Year Ended
December 31, 2021

FINANCIAL STATEMENTS
AND SUPPLEMENTAL
SCHEDULES

**THE ALLIANCE OF STATE RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST**

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Schedule of Delinquent Participant Contributions	17

D'Arcangelo & Co., LLP

Certified Public Accountants & Consultants

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Independent Auditor's Report

To the Board of Trustees of

The Alliance of State Retail Associations 401(k) Plan and Trust

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit for the 2021 Financial Statements

We have performed an audit of the financial statements of The Alliance of State Retail Associations 401(k) Plan and Trust, an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) [ERISA Section 103(a)(3)(C) audit]. The financial statements comprise the statement of net assets available for benefits as of December 31, 2021, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements (2021 Financial Statements).

Management, having determined it is permissible in the circumstances, has elected to have the audit of the 2021 financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of and for the year ended December 31, 2021, stating that the certified investment information, as described in Note 6 to the financial statements, is complete and accurate.

Opinion on the 2021 Financial Statements

In our opinion, based on our audit and on the procedures performed as described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section—

- the amounts and disclosures in the accompanying 2021 financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the accompanying 2021 financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion on the 2021 Financial Statements

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the 2021 Financial Statements section of our report. We are required to be independent of The Alliance of State Retail Associations 401(k) Plan and Trust and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the 2021 Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about The Alliance of State Retail Associations 401(k) Plan and Trust's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments; administering the plan; and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the 2021 Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit of the 2021 Financial Statements section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.

- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of The Alliance of State Retail Associations 401(k) Plan and Trust's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about The Alliance of State Retail Associations 401(k) Plan and Trust's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the 2021 financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Emphasis of Matter

As discussed in Note 8 to the financial statements, in 2021 the following employers merged into this fund: Goodman Classic Construction, Gray Wealth Management Inc, Hutchinson Oil Company LLC, Lemon Brooke LLC, Maine Community Health Options. Our opinion is not modified with respect to that matter.

Other Matters

2021 Supplemental Schedules Required by ERISA

The supplemental Schedule of Assets (Held at End of Year) as of December 31, 2021 and the supplemental Schedule of Delinquent Participant Contributions for the year ended December 31, 2021 are presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion—

- the form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Auditor's Report on the 2020 Financial Statements

We were engaged to audit the 2020 financial statements of The Alliance of State Retail Associations 401(k) Plan and Trust. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the plan administrator instructed us not to perform and we did not perform any auditing procedures with respect to the information certified by a qualified institution. In our report dated May 25, 2022, we indicated that (a) because of the significance of the information that we did not audit, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, we did not express an opinion on the 2020 financial statements, and (b) the form and content of the information included in the 2020 financial statements other than that derived from the certified information were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

D'Arcangelo + Co., LLP

January 16, 2023

Rome, New York

THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN AND TRUST

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

December 31, 2021 and 2020

	<u>2021</u>	<u>2020</u>
Assets		
Investments at Fair Value		
Common Collective Trust	\$ 0	\$ 68,275
Guaranteed Interest Funds	418,079	386,456
Mutual Funds	<u>46,173,482</u>	<u>23,742,932</u>
Total Investments at Fair Value	<u>46,591,561</u>	<u>24,197,663</u>
Receivables		
Notes Receivable From Participants	430,357	106,852
Employer Contributions	190,661	10,682
Employee Contributions	<u>54,231</u>	<u>73,587</u>
Total Receivables	<u>675,249</u>	<u>191,121</u>
Total Assets	<u>47,266,810</u>	<u>24,388,784</u>
Liabilities		
Excess Contributions Payable	<u>146,478</u>	<u>50,968</u>
Net Assets Available for Benefits	<u>\$ 47,120,332</u>	<u>\$ 24,337,816</u>

The Accompanying Notes are an Integral Part of These Financial Statements.

THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN AND TRUST

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

For the Years Ended December 31, 2021 and 2020

	<u>2021</u>	<u>2020</u>
Additions		
Investment Income		
Net Appreciation in Fair Value of Investments	\$ 4,279,770	\$ 4,763,871
Interest Income	<u>0</u>	<u>31,262</u>
Net Investment Income	4,279,770	4,795,133
Interest on Notes Receivable From Participants	12,165	6,232
Employer Contributions	948,322	311,310
Employee Elective Deferral Contributions	2,456,674	1,019,229
Rollover Contributions	<u>155,534</u>	<u>6,367</u>
Total Additions	<u>7,852,465</u>	<u>6,138,271</u>
Deductions		
Benefits Paid to Participants	4,700,304	2,238,143
Administrative Expenses	<u>162,342</u>	<u>81,255</u>
Total Deductions	<u>4,862,646</u>	<u>2,319,398</u>
Net Increase	2,989,819	3,818,873
Transfer In From Mergers	19,792,697	7,483,155
Net Assets Available for Benefits, Beginning of Year	<u>24,337,816</u>	<u>13,035,788</u>
Net Assets Available for Benefits, End of Year	<u><u>\$ 47,120,332</u></u>	<u><u>\$ 24,337,816</u></u>

The Accompanying Notes are an Integral Part of These Financial Statements.

**THE ALLIANCE OF STATE RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST**

NOTES TO FINANCIAL STATEMENTS

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Operations

The Alliance of State Retail Associations 401(k) Plan and Trust (the Plan) is a multiple-employer defined contribution plan subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). The Plan sponsor is Retail Association of Maine. The following employers have adopted the Plan, with modifications, and are participating employers of the Plan: AC Produce, Inc., American Sale Corporation, Bird Dog Equity Partners, LLC, Champagne's Energy, Inc., Columbus Cakes LLC, DE Foods, LLC, FF Club Management, LLC, Flippin' Hospitality Group LLC, Good Sportsman Marketing LLC, Goodman Classic Construction, Gray Wealth Management Inc, Greatwoods, LLC. GWK Enterprises, Inc.. Hospitality Resources, LLC dba Bedford Village Inn. Hutchinson Oil Company LLC, Innovative Distribution Services, Jrod Inc, Lemon Brooke LLC, Local Grinds, Louis J. Paradis, Inc., Maine Community Health Options, Maryland Retailers Association, Mega Scoop LLC, Mexican Restaurants, Inc., Native Maine Operations Inc., PW Plummer & Sons Inc., Retail Association of Maine, Rose & Dove Gourmet LLC, Rowan, Inc., Salem Cycle, inc., Seneca Machine, Inc., Southern Restaurant Management, The Medical Store, Top Furniture, Inc., Union Farm Equipment, Inc., Wilcoxson Enterprises, Inc. dba Royal River Natural Foods, and the Yvon Paquet & Son Drywall, Inc.

Basis of Accounting

The financial statements reflect the accrual basis of accounting in which revenue is recognized when earned or otherwise available, and expenses are recognized when incurred.

Notes Receivable from Participants

Notes receivable from participants are recorded at unpaid principal balance at year end plus any unpaid accrued interest. Delinquent notes receivable from participants are reclassified as deemed distributions based upon the terms of the Plan document.

Payments of Benefits and Excess Contributions

Benefits are recorded when paid. Amounts payable to participants for contributions in excess of amounts allowed by the IRS are recorded as a liability on the statements of net assets available for benefits and as a part of benefits paid to participants on the statements of changes in net assets available for benefits. The excess contributions for the year ending December 31, 2021 and 2020, were \$146,478 and \$50,968, respectively. Since the plan distributed these excess contributions to the applicable participants prior to the required due date there was no excise tax liability.

**THE ALLIANCE OF STATE RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST**

NOTES TO FINANCIAL STATEMENTS

**NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES
(Continued)**

Contributions Receivable

Contributions receivable at December 31, 2021 and 2020, are recorded at cost and represent contributions for the Plan years ended December 31, 2021 and 2020, which were received subsequent to year end.

Investment Valuation and Income Recognition

Investments are recorded at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation in the statements of changes in net assets available for benefits includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Administrative Expenses

Certain expenses incurred in the administration of the Plan are paid directly by the plan sponsor.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

NOTE 2 DESCRIPTION OF PLAN

The following brief description of The Alliance of State Retail Associations 401(k) Plan and Trust provides only general information. Participants should refer to the Plan agreement and the modification agreements applicable to each participating employer for a more complete description of the Plan's provisions.

**THE ALLIANCE OF STATE RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST**

NOTES TO FINANCIAL STATEMENTS

NOTE 2 DESCRIPTION OF PLAN (Continued)

Contributions and Funding Policy

The Plan is funded by employer and participant contributions and investment income. Rollover contributions are also allowed in accordance with the Plan document. Employer contributions made to the Plan on behalf of the participants shall be made in accordance with the Plan agreement and the participating employers' modification agreements.

The Plan allows participants to contribute either pre-tax elective contributions or Roth elective contributions, subject to maximum annual additions allowed by the law.

Forfeitures

The non-vested portion of a terminated participant's account is forfeited when they receive a distribution of their entire vested account or after they have incurred five consecutive one year breaks in service. Forfeitures can be used to offset administrative expenses of the Plan and/or employer contributions to the Plan. Forfeitures available to offset future administrative expenses and/or future employer contributions totaled \$13,353 and \$11,403 at December 31, 2021 and 2020, respectively. Forfeitures used to reduce employer contributions during 2021 and 2020 were \$207 and \$828, respectively.

Vesting

Participants are immediately 100 percent vested in employee contributions. Participants become vested in employer contributions in accordance with the Plan agreement and the participating employers' modification agreements.

Participant Accounts

Each participant's account is credited with the participant's contributions and employer contributions, Plan earnings and an allocation of administrative expenses.

Payment of Benefits

Benefits are provided for under the terms of the Plan agreement upon normal retirement, death, disability, and termination of employment. The Plan also permits hardship withdrawals and allows for in-service distributions if the participant has reached the age of 59 ½ if adopted in the employers' modification agreements.

Notes Receivable from Participants

The Plan allows for participant loans. Participants may borrow from their accounts a minimum of \$1,000 and a maximum equal to the lesser of \$50,000, reduced by the outstanding balance of any existing loans, or 50% of their vested account balance. All loans shall be for a fixed term of not more than five years, except a loan which shall be used to acquire any dwelling.

**THE ALLIANCE OF STATE RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST**

NOTES TO FINANCIAL STATEMENTS

NOTE 2 DESCRIPTION OF PLAN (Continued)

The loans must bear interest at a rate determined reasonable based on interest rates charged for similar types of loans by other lenders. The maximum number of loans permitted at a time per participant is either one or three, depending on the employer's adoption agreement.

Plan Amendment and Termination

Although it has not expressed any intent to do so, the Plan sponsor has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, the net assets of the Plan will be allocated as prescribed by ERISA and regulations pursuant thereto.

NOTE 3 FAIR VALUE MEASUREMENTS

The Financial Accounting Standards Board authoritative guidance on fair value measurement establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include the following:

- Quoted prices for similar assets or liabilities in active markets.
- Quoted prices for identical or similar assets or liabilities in inactive markets.
- Inputs other than quoted prices that are observable for the asset or liability.
- Inputs that are derived principally from, or corroborated by, observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement.

**THE ALLIANCE OF STATE RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST**

NOTES TO FINANCIAL STATEMENTS

NOTE 3 FAIR VALUE MEASUREMENTS (Continued)

Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2021 and 2020:

Common Collective Trust: Valued at the net asset value of shares held by the Plan at year end, based on the underlying investments in the trusts.

Mutual Funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-ended mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Guaranteed Interest Funds: Recorded at fair value as determined by Great-West Life & Annuity Insurance Company and Lincoln Investment Advisors Corporation.

All assets have been valued using a market approach, except as described above.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets measured at fair value on a recurring basis as of December 31, 2021 and 2020:

Investments	Total	2021		
		Fair Value Measurements at Reporting		
		Date Using the Above Criteria		
		(Level 1)	(Level 2)	(Level 3)
Guaranteed Interest Fund	\$ 418,079	\$ 0	\$ 418,079	0
Mutual Funds	46,173,482	46,173,482	0	0
Total	\$ 46,591,561	\$ 46,173,482	\$ 418,079	\$ 0

**THE ALLIANCE OF STATE RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST**

NOTES TO FINANCIAL STATEMENTS

NOTE 3 FAIR VALUE MEASUREMENTS (Continued)

Investments	Total	2020		
		Fair Value Measurements at Reporting Date Using the Above Criteria		
		(Level 1)	(Level 2)	(Level 3)
Common Collective Trust (a)	\$ 68,275	\$ 0	\$ 0	\$ 0
Guaranteed Interest Fund	386,456	0	386,456	0
Mutual Funds	23,742,932	23,742,932	0	0
Total	\$ 24,197,663	\$ 23,742,932	\$ 386,456	\$ 0

(a) In accordance with Subtopic 820-10, certain investments that are measured at fair value using net asset value per share (or its equivalent) practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the statements of the net assets available for benefits.

The following table sets forth additional disclosures of the Plan's investments whose fair value is estimated using net asset value per share or its equivalent at December 31, 2021 and 2020:

	Fair Value		Unfunded Commitments	Redemption Restriction
	2021	2020		
Common Collective Trust	\$ 0	\$ 68,275	\$ 0	None

NOTE 4 TAX STATUS

Effective January 1, 2019, the Plan adopted the prototype plan of National Benefit Services LLC who was also engaged as the plan administrator of the Plan. The Internal Revenue Service has determined the Plan is qualified, and the trust established under the Plan is tax exempt under the appropriate sections of the Internal Revenue Code.

The prototype plan obtained its latest determination letter on June 30, 2020 in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended since receiving the determination letter. However, the plan administrator and the Plan's tax counsel believe that the plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provision for income taxes has been included in the Plan's financial statements.

**THE ALLIANCE OF STATE RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST**

NOTES TO FINANCIAL STATEMENTS

NOTE 5 CONCENTRATIONS OF CREDIT RISK

Investments - The Plan may invest in various types of investment securities. Investment securities are exposed to various market risks, such as interest rate and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

NOTE 6 SUMMARY OF INFORMATION CERTIFIED BY PLAN CUSTODIANS

The total fair value of investments held in trust was \$46,591,561 and \$24,197,663 at December 31, 2021 and 2020, respectively. The total amount of notes receivable from participants held in trust was \$430,357 and \$106,852 at December 31, 2021 and 2020, respectively.

The current Custodian, The Lincoln National Life Insurance Company, has supplied the Plan's administrator with a certification as to the completeness and accuracy of all information regarding investment assets, transactions, and income presented in the accompanying statement of net assets available for benefits as of December 31, 2021 and 2020 and the statements of changes in net assets available for benefits for the years ended December 31, 2021 and 2020, and the supplemental schedule of assets (held at end of year) as of December 31, 2021.

The previous Custodian, Great-West Trust Company, LLC and Great-West Life & Annuity Insurance Company, has supplied the Plan's administrator with certification as to the completeness and accuracy of all information regarding investment assets, transactions, and income presented in the statement of changes in net assets available for benefits for the period January 1, 2020 through December 31, 2020.

NOTE 7 PARTY-IN-INTEREST TRANSACTIONS

A party-in-interest is defined under Department of Labor regulations as any fiduciary of the Plan, any party rendering services to the Plan, the employers and certain others. Plan assets are used to pay some expenses for administration of the Plan and the remaining administrative expenses are paid by the Participants. Payments to such service providers by the Plan qualify as party-in-interest transactions.

In 2020 the Plan held an investment in a guaranteed interest fund managed by Great-West Life & Annuity Insurance Company, former plan custodian, and therefore these transactions qualify as party-in-interest transactions.

**THE ALLIANCE OF STATE RETAIL ASSOCIATIONS
401(K) PLAN AND TRUST**

NOTES TO FINANCIAL STATEMENTS

NOTE 7 PARTY-IN-INTEREST TRANSACTIONS (Continued)

The Plan holds an investment in a guaranteed interest fund managed by Lincoln Investment Advisors Corporation, plan custodian, and therefore these transactions qualify as party-in-interest transactions.

NOTE 8 PLAN MERGERS

During 2020, Union Farm, Bedford Village Inn, Mega Scoop, The Medical Store, Paquet & Son Drywall, Bird Dog Equity Partners, Local Grinds, Seneca Machine Inc and Native Maine were merged into the Plan and all of the plan assets and participant accounts were transferred. A summary of the transferred assets is as follows.

Investments at Fair Value	\$ 7,403,958
Notes Receivable From Participants	<u>79,197</u>
Total Transfer in From Mergers	\$7,483,155

During 2021, Goodman Classic Construction, Gray Wealth Management Inc, Hutchinson Oil Company LLC, Lemon Brooke LLC, Maine Community Health Options were merged into the Plan and all of the plan assets and participant accounts were transferred. A summary of the transferred assets is as follows.

Investments at Fair Value	\$19,410,409
Notes Receivable From Participants	<u>382,288</u>
Total Transfer in From Mergers	\$19,792,697

NOTE 9 SUBSEQUENT EVENTS

Effective in 2022, Abanaki Dental Care, PLLC, B&G Building Materials, Inc. dba Tedford's Building Materials and Hardware, Benson Auto 401k Plan, Blue Hill Market Inc., Chris Howland Inc. DBA D'Angelo Grilled Sandwiches, Fresh City Restaurant Holdings, Great Spaces, Inc., Lachance Interiors, Nxt Gen Plumbing 401(k) Plan, Potash Bros. Inc 401(k) Plan & Trust, Redfox Inspections 401(k) Plan, Uptown Management, and the Washington Retail Association 401(k) Plan joined the Plan and all of their participants became participants in the Plan.

Management has evaluated subsequent events through January 16, 2023, the date on which the financial statements were available to be issued.

THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN AND TRUST

**SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
ATTACHMENT FOR SCHEDULE H, LINE 4A**

For the Year Ended December 31, 2021

Plan Sponsor EIN: 01-0165117
Plan #333

Participant Contributions Transferred Late to the Plan	Total that Constitute Nonexempt Prohibited Transactions			Total Fully Corrected Under VFCP and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Corrections in VFCP	
Participant Loan Payments are NOT included				
\$ 414,241	\$ 0	\$ 414,241	\$ 0	\$ 414,241
Participant Loans				
\$ 22,729	\$ 0	\$ 22,729	\$ 0	\$ 22,729

See Independent Auditors Report.

THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN AND TRUST

**SCHEDULE OF DELINQUENT PARTICIPANT CONTRIBUTIONS
ATTACHMENT FOR SCHEDULE H, LINE 4A**

For the Year Ended December 31, 2021

Plan Sponsor EIN: 01-0165117
Plan #333

Participant Contributions Transferred Late to the Plan	Total that Constitute Nonexempt Prohibited Transactions			Total Fully Corrected Under VFCP and PTE 2002-51
	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Corrections in VFCP	
Participant Loan Payments are NOT included				
\$ 414,241	\$ 0	\$ 414,241	\$ 0	\$ 414,241
Participant Loans				
\$ 22,729	\$ 0	\$ 22,729	\$ 0	\$ 22,729

See Independent Auditors Report.

Index 7130.00

EBP-CX-11.13: Analysis of the Timeliness of Participant Contributions

Plan: #NAME?

Financial Statement Date: 12/31/2021

Completed by: William Olney

Date: 11/15/2022

Instructions

DOL Regulation 2510.3-102 (reprinted in Appendix 2A-9) requires employers to remit amounts paid by a participant or beneficiary to an employer and/or withheld by an employer for contribution to an employee benefit plan or repayment of a participant loan to the plan as soon as they can be reasonably segregated from the employer's general assets. The regulation provides a safe harbor for plans with fewer than 100 participants at the beginning of the plan year. Under the safe harbor, funds will be considered contributed or repaid to the plan as soon as they can be reasonably segregated from the employer's general assets if such amounts are deposited with the plan no later than the 7th business day from the date the amounts were received by the employer or withheld by the employer from participants' wages. The safe harbor does not apply to plans with 100 or more participants. In addition, the regulation establishes a maximum time period for contributions and repayments of 15 business days for pension benefit plans and 90 days for welfare benefit plans. Employers holding contributions beyond the time allowed under DOL regulations commingled with its general assets will have engaged in a prohibited use of plan assets.

This worksheet may be used to help identify discrepancies in the timeliness of remittances to a plan. The timeliness of participant contributions is discussed in further detail at sections 504 and 610.

Description			Date Contributions Were Withheld or Received	Date Contributions Were Remitted to the Plan	Actual Number of Business Days to Remit	Employer average time to remit	Difference	Amount of Remittance	Considered Delinquent under DOL Regulations	
									Yes	No
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	9/24/2021	10/18/2021	16	8	8	294.60	x	
AC PRODUCE INC		ROTH	9/24/2021	10/18/2021	16	8	8	64.64	x	
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	10/1/2021	10/18/2021	11	8	3	286.75	x	
AC PRODUCE INC		ROTH	10/1/2021	10/18/2021	11	8	3	97.60	x	
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/18/2021	6	8	(2)	274.90		x
AC PRODUCE INC		ROTH	10/8/2021	10/18/2021	6	8	(2)	60.24		x
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	10/15/2021	11/18/2021	24	8	16	241.64	x	
AC PRODUCE INC		ROTH	10/15/2021	11/18/2021	24	8	16	81.88	x	
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	10/22/2021	11/18/2021	19	8	11	271.17	x	
AC PRODUCE INC		ROTH	10/22/2021	11/18/2021	19	8	11	79.68	x	
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	10/29/2021	11/18/2021	14	8	6	243.14	x	
AC PRODUCE INC		ROTH	10/29/2021	11/18/2021	14	8	6	81.52	x	
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	11/5/2021	11/18/2021	9	8	1	251.75		x
AC PRODUCE INC		ROTH	11/5/2021	11/18/2021	9	8	1	87.97		x
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	11/12/2021	11/18/2021	5	8	(3)	242.85		x
AC PRODUCE INC		ROTH	11/12/2021	11/18/2021	5	8	(3)	79.72		x
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	11/19/2021	11/23/2021	3	8	(5)	260.63		x
AC PRODUCE INC		ROTH	11/19/2021	11/23/2021	3	8	(5)	57.36		x
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	11/26/2021	11/30/2021	3	8	(5)	244.80		x
AC PRODUCE INC		ROTH	11/26/2021	11/30/2021	3	8	(5)	98.40		x
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/7/2021	3	8	(5)	217.14		x
AC PRODUCE INC		ROTH	12/3/2021	12/7/2021	3	8	(5)	76.16		x
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	12/10/2021	12/14/2021	3	8	(5)	237.96		x
AC PRODUCE INC		ROTH	12/10/2021	12/14/2021	3	8	(5)	72.40		x
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	12/17/2021	12/21/2021	3	8	(5)	268.27		x
AC PRODUCE INC		ROTH	12/17/2021	12/21/2021	3	8	(5)	61.60		x
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	12/24/2021	12/28/2021	2	8	(6)	270.42		x
AC PRODUCE INC		ROTH	12/24/2021	12/28/2021	2	8	(6)	86.68		x
AC PRODUCE INC		EMPLOYEE SALARY DEFERRAL	12/31/2021	12/31/2021	-	8	(8)	292.65		x
AC PRODUCE INC		ROTH	12/31/2021	12/31/2021	-	8	(8)	87.20		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	12/27/2020	1/4/2021	5	11	(6)	63,797.99		x
AMERICAN SALE CORP		ROTH	12/27/2020	1/4/2021	5	11	(6)	825.86		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	1/10/2021	1/19/2021	5	11	(6)	12,275.46		x

AMERICAN SALE CORP		ROTH	1/10/2021	1/19/2021	5	11	(6)	416.11		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	1/24/2021	2/1/2021	6	11	(5)	10,293.02		x
AMERICAN SALE CORP		ROTH	1/24/2021	2/1/2021	6	11	(5)	216.59		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	2/7/2021	2/17/2021	8	11	(3)	15,186.08		x
AMERICAN SALE CORP		ROTH	2/7/2021	2/17/2021	8	11	(3)	164.04		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	2/26/2021	3/30/2021	23	11	12	26,242.36	x	
AMERICAN SALE CORP		ROTH	2/26/2021	3/30/2021	23	11	12	344.72	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	2/26/2021	4/22/2021	40	11	29	11,056.28	x	
AMERICAN SALE CORP		ROTH	2/26/2021	4/22/2021	40	11	29	180.68	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	3/12/2021	3/30/2021	13	11	2	19,519.98		x
AMERICAN SALE CORP		ROTH	3/12/2021	3/30/2021	13	11	2	362.37		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	3/12/2021	4/22/2021	30	11	19	8,463.70	x	
AMERICAN SALE CORP		ROTH	3/12/2021	4/22/2021	30	11	19	181.69	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	3/26/2021	4/22/2021	20	11	9	9,140.30	x	
AMERICAN SALE CORP		ROTH	3/26/2021	4/22/2021	20	11	9	182.61	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	3/30/2021	3/30/2021	1	11	(10)	(45,762.34)		x
AMERICAN SALE CORP		ROTH	3/30/2021	3/30/2021	1	11	(10)	(707.09)		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	4/9/2021	4/22/2021	10	11	(1)	10,331.45		x
AMERICAN SALE CORP		ROTH	4/9/2021	4/22/2021	10	11	(1)	257.92		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	4/18/2021	5/28/2021	30	11	19	11,765.42	x	
AMERICAN SALE CORP		ROTH	4/18/2021	5/28/2021	30	11	19	304.45	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	5/2/2021	5/10/2021	6	11	(5)	11,230.24		x
AMERICAN SALE CORP		ROTH	5/2/2021	5/10/2021	6	11	(5)	352.19		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	5/21/2021	6/10/2021	14	11	3	12,269.19	x	
AMERICAN SALE CORP		ROTH	5/21/2021	6/10/2021	14	11	3	346.56	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	6/4/2021	6/10/2021	5	11	(6)	11,077.22		x
AMERICAN SALE CORP		ROTH	6/4/2021	6/10/2021	5	11	(6)	279.89		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	6/18/2021	7/1/2021	9	11	(2)	15,978.50		x
AMERICAN SALE CORP		ROTH	6/18/2021	7/1/2021	9	11	(2)	330.73		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	7/2/2021	8/6/2021	25	11	14	11,480.11	x	
AMERICAN SALE CORP		ROTH	7/2/2021	8/6/2021	25	11	14	334.07	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	7/16/2021	8/5/2021	15	11	4	15,459.22	x	
AMERICAN SALE CORP		ROTH	7/16/2021	8/5/2021	15	11	4	586.24	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	7/30/2021	8/6/2021	6	11	(5)	10,366.24		x
AMERICAN SALE CORP		ROTH	7/30/2021	8/6/2021	6	11	(5)	472.31		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	8/13/2021	8/16/2021	2	11	(9)	13,057.30		x
AMERICAN SALE CORP		ROTH	8/13/2021	8/16/2021	2	11	(9)	792.57		x

AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	8/27/2021	10/5/2021	27	11	16	11,815.57	x	
AMERICAN SALE CORP		ROTH	8/27/2021	10/5/2021	27	11	16	453.66	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	9/10/2021	9/13/2021	2	11	(9)	11,688.37		x
AMERICAN SALE CORP		ROTH	9/10/2021	9/13/2021	2	11	(9)	330.00		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	9/24/2021	9/27/2021	2	11	(9)	18,714.22		x
AMERICAN SALE CORP		ROTH	9/24/2021	9/27/2021	2	11	(9)	647.24		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	10/3/2021	11/18/2021	32	11	21	145.45	x	
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/11/2021	1	11	(10)	10,477.38		x
AMERICAN SALE CORP		ROTH	10/8/2021	10/11/2021	1	11	(10)	325.49		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	10/22/2021	10/25/2021	2	11	(9)	12,528.42		x
AMERICAN SALE CORP		ROTH	10/22/2021	10/25/2021	2	11	(9)	348.46		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	11/5/2021	11/8/2021	2	11	(9)	10,304.44		x
AMERICAN SALE CORP		ROTH	11/5/2021	11/8/2021	2	11	(9)	330.99		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	11/19/2021	12/6/2021	11	11	-	10,459.67		x
AMERICAN SALE CORP		ROTH	11/19/2021	12/6/2021	11	11	-	288.76		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/10/2021	6	11	(5)	11,901.76		x
AMERICAN SALE CORP		ROTH	12/3/2021	12/10/2021	6	11	(5)	347.30		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	12/17/2021	12/21/2021	3	11	(8)	13,294.84		x
AMERICAN SALE CORP		ROTH	12/17/2021	12/21/2021	3	11	(8)	591.15		x
AMERICAN SALE CORP		EMPLOYEE SALARY DEFERRAL	12/30/2021	12/31/2021	1	11	(10)	78,972.01		x
AMERICAN SALE CORP		ROTH	12/30/2021	12/31/2021	1	11	(10)	877.23		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	12/24/2020	1/19/2021	16	8	8	2,137.94	x	
BIRD DOG EQUITY PARTNERS		ROTH	12/24/2020	1/19/2021	16	8	8	1,822.68	x	
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	1/8/2021	1/19/2021	6	8	(2)	1,789.21		x
BIRD DOG EQUITY PARTNERS		ROTH	1/8/2021	1/19/2021	6	8	(2)	1,618.41		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	1/22/2021	2/1/2021	7	8	(1)	2,862.86		x
BIRD DOG EQUITY PARTNERS		ROTH	1/22/2021	2/1/2021	7	8	(1)	2,078.50		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	2/5/2021	2/18/2021	10	8	2	1,599.20		x
BIRD DOG EQUITY PARTNERS		ROTH	2/5/2021	2/18/2021	10	8	2	1,930.02		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	2/5/2021	3/10/2021	24	8	16	688.34	x	
BIRD DOG EQUITY PARTNERS		ROTH	2/5/2021	3/10/2021	24	8	16	476.07	x	
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	2/19/2021	3/2/2021	8	8	-	1,294.13		x
BIRD DOG EQUITY PARTNERS		ROTH	2/19/2021	3/2/2021	8	8	-	1,781.32		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	2/19/2021	3/4/2021	10	8	2	688.12		x
BIRD DOG EQUITY PARTNERS		ROTH	2/19/2021	3/4/2021	10	8	2	481.63		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	2/19/2021	3/5/2021	11	8	3	28.52	x	
BIRD DOG EQUITY PARTNERS		ROTH	2/19/2021	3/5/2021	11	8	3	47.28	x	

BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	3/5/2021	3/15/2021	7	8	(1)	2,566.39		x
BIRD DOG EQUITY PARTNERS		ROTH	3/5/2021	3/15/2021	7	8	(1)	2,414.99		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	3/19/2021	3/26/2021	6	8	(2)	2,155.86		x
BIRD DOG EQUITY PARTNERS		ROTH	3/19/2021	3/26/2021	6	8	(2)	2,210.02		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	4/2/2021	4/12/2021	7	8	(1)	2,375.23		x
BIRD DOG EQUITY PARTNERS		ROTH	4/2/2021	4/12/2021	7	8	(1)	2,212.66		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	4/16/2021	4/22/2021	5	8	(3)	2,428.86		x
BIRD DOG EQUITY PARTNERS		ROTH	4/16/2021	4/22/2021	5	8	(3)	1,953.09		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	4/30/2021	5/11/2021	8	8	-	2,302.61		x
BIRD DOG EQUITY PARTNERS		ROTH	4/30/2021	5/11/2021	8	8	-	2,162.85		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	5/14/2021	5/26/2021	9	8	1	2,362.03		x
BIRD DOG EQUITY PARTNERS		ROTH	5/14/2021	5/26/2021	9	8	1	2,445.61		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	5/28/2021	6/7/2021	6	8	(2)	3,047.07		x
BIRD DOG EQUITY PARTNERS		ROTH	5/28/2021	6/7/2021	6	8	(2)	2,061.28		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	6/11/2021	6/22/2021	7	8	(1)	2,942.71		x
BIRD DOG EQUITY PARTNERS		ROTH	6/11/2021	6/22/2021	7	8	(1)	2,131.27		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	6/25/2021	7/8/2021	9	8	1	3,015.18		x
BIRD DOG EQUITY PARTNERS		ROTH	6/25/2021	7/8/2021	9	8	1	2,250.91		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	7/9/2021	7/16/2021	6	8	(2)	2,536.37		x
BIRD DOG EQUITY PARTNERS		ROTH	7/9/2021	7/16/2021	6	8	(2)	2,452.64		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	7/9/2021	8/2/2021	17	8	9	2,860.88	x	
BIRD DOG EQUITY PARTNERS		ROTH	7/9/2021	8/2/2021	17	8	9	2,408.93	x	
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	7/9/2021	8/18/2021	29	8	21	2,832.78	x	
BIRD DOG EQUITY PARTNERS		ROTH	7/9/2021	8/18/2021	29	8	21	2,208.11	x	
BIRD DOG EQUITY PARTNERS		ROTH	8/4/2021	7/15/2021	(15)	8	(23)	-		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	8/20/2021	8/26/2021	5	8	(3)	2,712.53		x
BIRD DOG EQUITY PARTNERS		ROTH	8/20/2021	8/26/2021	5	8	(3)	2,003.43		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	9/3/2021	9/15/2021	8	8	-	2,671.07		x
BIRD DOG EQUITY PARTNERS		ROTH	9/3/2021	9/15/2021	8	8	-	2,068.37		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	9/17/2021	9/24/2021	6	8	(2)	2,529.83		x
BIRD DOG EQUITY PARTNERS		ROTH	9/17/2021	9/24/2021	6	8	(2)	2,043.18		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	10/1/2021	10/7/2021	5	8	(3)	2,494.42		x
BIRD DOG EQUITY PARTNERS		ROTH	10/1/2021	10/7/2021	5	8	(3)	1,951.15		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	10/15/2021	10/20/2021	4	8	(4)	2,483.98		x
BIRD DOG EQUITY PARTNERS		ROTH	10/15/2021	10/20/2021	4	8	(4)	1,838.70		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	10/29/2021	11/4/2021	5	8	(3)	2,670.21		x
BIRD DOG EQUITY PARTNERS		ROTH	10/29/2021	11/4/2021	5	8	(3)	1,875.98		x

BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	11/12/2021	11/18/2021	5	8	(3)	2,612.31		x
BIRD DOG EQUITY PARTNERS		ROTH	11/12/2021	11/18/2021	5	8	(3)	1,823.78		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	11/26/2021	12/3/2021	6	8	(2)	2,827.16		x
BIRD DOG EQUITY PARTNERS		ROTH	11/26/2021	12/3/2021	6	8	(2)	1,934.15		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	12/10/2021	12/17/2021	6	8	(2)	2,614.22		x
BIRD DOG EQUITY PARTNERS		ROTH	12/10/2021	12/17/2021	6	8	(2)	1,909.77		x
BIRD DOG EQUITY PARTNERS		EMPLOYEE SALARY DEFERRAL	12/24/2021	12/30/2021	4	8	(4)	5,090.07		x
BIRD DOG EQUITY PARTNERS		ROTH	12/24/2021	12/30/2021	4	8	(4)	2,819.68		x
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	8/30/2021	9/2/2021	4	-	4	65.85	x	
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	9/13/2021	9/10/2021	(2)	-	(2)	60.59		x
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	9/27/2021	9/24/2021	(2)	-	(2)	63.37		x
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/8/2021	1	-	1	79.21		x
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	10/25/2021	10/22/2021	(2)	-	(2)	82.18		x
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	11/8/2021	11/8/2021	1	-	1	92.47		x
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	11/22/2021	11/22/2021	1	-	1	91.12		x
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	12/6/2021	12/3/2021	(2)	-	(2)	82.78		x
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	12/20/2021	12/17/2021	(2)	-	(2)	69.42		x
COLUMBUS CAKES LLC		EMPLOYEE SALARY DEFERRAL	1/3/2022	12/31/2021	(1)	-	(1)	69.42		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	8/13/2021	8/17/2021	3	1	2	9,850.61		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	8/20/2021	8/23/2021	2	1	1	7,791.96		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	8/27/2021	8/27/2021	1	1	-	7,856.69		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	9/3/2021	9/3/2021	1	1	-	9,921.03		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	9/10/2021	9/10/2021	1	1	-	7,970.58		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	9/17/2021	9/17/2021	1	1	-	7,916.35		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	9/24/2021	9/24/2021	1	1	-	7,864.70		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	10/1/2021	10/1/2021	1	1	-	9,783.10		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/11/2021	1	1	-	9,309.56		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	10/15/2021	10/15/2021	1	1	-	9,263.65		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	10/22/2021	10/22/2021	1	1	-	7,717.82		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	10/29/2021	10/29/2021	1	1	-	9,957.52		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	11/5/2021	11/5/2021	1	1	-	7,899.10		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	11/12/2021	11/12/2021	1	1	-	8,033.79		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	11/19/2021	11/19/2021	1	1	-	8,331.09		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	11/26/2021	11/26/2021	1	1	-	10,308.58		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/3/2021	1	1	-	7,710.03		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	12/10/2021	12/10/2021	1	1	-	9,742.98		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	12/17/2021	12/17/2021	1	1	-	13,620.62		x

DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	12/23/2021	12/23/2021	1	1	-	10,353.94		x
DE FOODS LLC		EMPLOYEE SALARY DEFERRAL	12/30/2021	12/30/2021	1	1	-	7,742.18		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	2/5/2021	2/19/2021	11	3	8	980.85	x	
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	2/12/2021	2/19/2021	6	3	3	170.30	x	
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	2/19/2021	2/19/2021	1	3	(2)	1,212.48		x
FF CLUB MANAGEMENT LLC		ROTH	2/19/2021	2/19/2021	1	3	(2)	136.39		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	2/26/2021	3/4/2021	5	3	2	238.91		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	3/5/2021	3/9/2021	3	3	-	1,058.51		x
FF CLUB MANAGEMENT LLC		ROTH	3/5/2021	3/9/2021	3	3	-	74.28		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	3/12/2021	3/12/2021	1	3	(2)	337.33		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	3/19/2021	3/22/2021	2	3	(1)	1,271.73		x
FF CLUB MANAGEMENT LLC		ROTH	3/19/2021	3/22/2021	2	3	(1)	142.12		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	3/26/2021	3/26/2021	1	3	(2)	313.48		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	4/2/2021	4/6/2021	3	3	-	1,005.68		x
FF CLUB MANAGEMENT LLC		ROTH	4/2/2021	4/6/2021	3	3	-	77.21		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	4/9/2021	4/13/2021	3	3	-	442.00		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	4/16/2021	4/16/2021	1	3	(2)	252.13		x
FF CLUB MANAGEMENT LLC		ROTH	4/16/2021	4/16/2021	1	3	(2)	76.24		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	4/23/2021	4/23/2021	1	3	(2)	472.97		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	4/30/2021	4/30/2021	1	3	(2)	1,079.71		x
FF CLUB MANAGEMENT LLC		ROTH	4/30/2021	4/30/2021	1	3	(2)	130.51		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	5/7/2021	5/10/2021	2	3	(1)	469.06		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	5/14/2021	5/17/2021	2	3	(1)	853.86		x
FF CLUB MANAGEMENT LLC		ROTH	5/14/2021	5/17/2021	2	3	(1)	86.90		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	5/21/2021	5/24/2021	2	3	(1)	600.55		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	5/28/2021	5/28/2021	1	3	(2)	1,031.82		x
FF CLUB MANAGEMENT LLC		ROTH	5/28/2021	5/28/2021	1	3	(2)	142.69		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	6/4/2021	6/10/2021	5	3	2	480.63		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	6/11/2021	6/15/2021	3	3	-	929.45		x
FF CLUB MANAGEMENT LLC		ROTH	6/11/2021	6/15/2021	3	3	-	77.36		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	6/18/2021	6/22/2021	2	3	(1)	651.64		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	6/25/2021	6/28/2021	2	3	(1)	714.56		x
FF CLUB MANAGEMENT LLC		ROTH	6/25/2021	6/28/2021	2	3	(1)	605.49		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	7/2/2021	7/7/2021	3	3	-	565.21		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	7/9/2021	7/13/2021	3	3	-	572.58		x
FF CLUB MANAGEMENT LLC		ROTH	7/9/2021	7/13/2021	3	3	-	547.95		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	7/16/2021	7/20/2021	3	3	-	459.43		x

FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	7/23/2021	7/26/2021	2	3	(1)	710.73		x
FF CLUB MANAGEMENT LLC		ROTH	7/23/2021	7/26/2021	2	3	(1)	582.50		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	7/30/2021	8/3/2021	3	3	-	622.78		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	8/6/2021	8/11/2021	4	3	1	570.01		x
FF CLUB MANAGEMENT LLC		ROTH	8/6/2021	8/11/2021	4	3	1	532.42		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	8/13/2021	8/19/2021	5	3	2	511.32		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	8/20/2021	8/23/2021	2	3	(1)	958.33		x
FF CLUB MANAGEMENT LLC		ROTH	8/20/2021	8/23/2021	2	3	(1)	577.15		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	8/27/2021	8/30/2021	2	3	(1)	619.43		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	9/3/2021	9/10/2021	5	3	2	805.00		x
FF CLUB MANAGEMENT LLC		ROTH	9/3/2021	9/10/2021	5	3	2	531.08		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	9/10/2021	9/15/2021	4	3	1	438.85		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	9/17/2021	9/20/2021	2	3	(1)	880.41		x
FF CLUB MANAGEMENT LLC		ROTH	9/17/2021	9/20/2021	2	3	(1)	552.27		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	9/24/2021	9/28/2021	3	3	-	705.24		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	10/1/2021	10/4/2021	2	3	(1)	795.59		x
FF CLUB MANAGEMENT LLC		ROTH	10/1/2021	10/4/2021	2	3	(1)	546.92		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/11/2021	1	3	(2)	586.83		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	10/15/2021	10/15/2021	1	3	(2)	737.26		x
FF CLUB MANAGEMENT LLC		ROTH	10/15/2021	10/15/2021	1	3	(2)	533.35		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	10/22/2021	10/22/2021	1	3	(2)	842.24		x
FF CLUB MANAGEMENT LLC		ROTH	10/22/2021	10/22/2021	1	3	(2)	61.12		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	10/29/2021	11/1/2021	2	3	(1)	911.16		x
FF CLUB MANAGEMENT LLC		ROTH	10/29/2021	11/1/2021	2	3	(1)	574.11		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	11/5/2021	11/5/2021	1	3	(2)	623.14		x
FF CLUB MANAGEMENT LLC		ROTH	11/5/2021	11/5/2021	1	3	(2)	31.50		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	11/12/2021	11/12/2021	1	3	(2)	786.75		x
FF CLUB MANAGEMENT LLC		ROTH	11/12/2021	11/12/2021	1	3	(2)	533.85		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	11/19/2021	11/19/2021	1	3	(2)	885.99		x
FF CLUB MANAGEMENT LLC		ROTH	11/19/2021	11/19/2021	1	3	(2)	63.12		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	11/26/2021	12/1/2021	4	3	1	936.50		x
FF CLUB MANAGEMENT LLC		ROTH	11/26/2021	12/1/2021	4	3	1	572.04		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/6/2021	2	3	(1)	640.29		x
FF CLUB MANAGEMENT LLC		ROTH	12/3/2021	12/6/2021	2	3	(1)	31.50		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	12/10/2021	12/13/2021	2	3	(1)	856.72		x
FF CLUB MANAGEMENT LLC		ROTH	12/10/2021	12/13/2021	2	3	(1)	531.78		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	12/10/2021	12/20/2021	7	3	4	40.38	x	

FF CLUB MANAGEMENT LLC		ROTH	12/10/2021	12/20/2021	7	3	4	31.50	x	
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	12/17/2021	12/20/2021	2	3	(1)	675.85		x
FF CLUB MANAGEMENT LLC		EMPLOYEE SALARY DEFERRAL	12/24/2021	12/29/2021	3	3	-	917.31		x
FF CLUB MANAGEMENT LLC		ROTH	12/24/2021	12/29/2021	3	3	-	567.40		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	8/16/2021	8/19/2021	4	25	(21)	716.49		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	8/30/2021	9/2/2021	4	25	(21)	736.12		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	9/13/2021	9/23/2021	9	25	(16)	1,075.46		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	9/27/2021	10/4/2021	6	25	(19)	986.36		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	10/11/2021	10/14/2021	3	25	(22)	1,069.13		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	10/25/2021	10/29/2021	5	25	(20)	1,493.20		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	11/8/2021	11/9/2021	2	25	(23)	1,114.50		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	11/22/2021	11/30/2021	6	25	(19)	1,023.16		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	12/6/2021	12/7/2021	2	25	(23)	978.71		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	12/13/2021	12/16/2021	4	25	(21)	297.23		x
FLIPPIN HOSPITALITY GROUP		EMPLOYEE SALARY DEFERRAL	12/20/2021	12/22/2021	3	25	(22)	1,218.26		x
GOOD SPORTSMAN MARKETING		EMPLOYEE SALARY DEFERRAL	10/1/2021	11/12/2021	29	15	14	17,498.03	x	
GOOD SPORTSMAN MARKETING		EMPLOYEE SALARY DEFERRAL	10/15/2021	11/12/2021	20	15	5	17,826.69	x	
GOOD SPORTSMAN MARKETING		EMPLOYEE SALARY DEFERRAL	10/15/2021	11/19/2021	25	15	10	6,000.00	x	
GOOD SPORTSMAN MARKETING		EMPLOYEE SALARY DEFERRAL	11/1/2021	11/12/2021	9	15	(6)	17,792.81		x
GOOD SPORTSMAN MARKETING		EMPLOYEE SALARY DEFERRAL	11/15/2021	11/24/2021	8	15	(7)	17,112.16		x
GOOD SPORTSMAN MARKETING		EMPLOYEE SALARY DEFERRAL	12/1/2021	12/8/2021	6	15	(9)	16,680.53		x
GOODMAN CLASSIC CONSTRUC		EMPLOYEE SALARY DEFERRAL	7/20/2021	8/23/2021	25	5	20	454.61	x	
GOODMAN CLASSIC CONSTRUC		ROTH	7/20/2021	8/23/2021	25	5	20	392.48	x	
GOODMAN CLASSIC CONSTRUC		EMPLOYEE SALARY DEFERRAL	7/23/2021	8/2/2021	7	5	2	454.61		x
GOODMAN CLASSIC CONSTRUC		ROTH	7/23/2021	8/2/2021	7	5	2	188.68		x
GOODMAN CLASSIC CONSTRUC		EMPLOYEE SALARY DEFERRAL	8/6/2021	8/9/2021	2	5	(3)	454.61		x
GOODMAN CLASSIC CONSTRUC		ROTH	8/6/2021	8/9/2021	2	5	(3)	188.68		x
GOODMAN CLASSIC CONSTRUC		EMPLOYEE SALARY DEFERRAL	9/3/2021	9/8/2021	3	5	(2)	454.61		x
GOODMAN CLASSIC CONSTRUC		ROTH	9/3/2021	9/8/2021	3	5	(2)	392.48		x
GOODMAN CLASSIC CONSTRUC		EMPLOYEE SALARY DEFERRAL	9/17/2021	9/20/2021	2	5	(3)	454.61		x
GOODMAN CLASSIC CONSTRUC		ROTH	9/17/2021	9/20/2021	2	5	(3)	392.48		x
GOODMAN CLASSIC CONSTRUC		EMPLOYEE SALARY DEFERRAL	10/1/2021	10/4/2021	2	5	(3)	454.61		x
GOODMAN CLASSIC CONSTRUC		ROTH	10/1/2021	10/4/2021	2	5	(3)	392.48		x
GOODMAN CLASSIC CONSTRUC		EMPLOYEE SALARY DEFERRAL	10/15/2021	10/18/2021	2	5	(3)	454.61		x
GOODMAN CLASSIC CONSTRUC		ROTH	10/15/2021	10/18/2021	2	5	(3)	392.48		x
GOODMAN CLASSIC CONSTRUC		EMPLOYEE SALARY DEFERRAL	10/29/2021	11/3/2021	4	5	(1)	454.61		x
GOODMAN CLASSIC CONSTRUC		ROTH	10/29/2021	11/3/2021	4	5	(1)	392.48		x

GOODMAN CLASSIC CONSTRUC	EMPLOYEE SALARY DEFERRAL	11/12/2021	11/12/2021	1	5	(4)	454.61		x
GOODMAN CLASSIC CONSTRUC	ROTH	11/12/2021	11/12/2021	1	5	(4)	392.48		x
GOODMAN CLASSIC CONSTRUC	EMPLOYEE SALARY DEFERRAL	11/26/2021	12/3/2021	6	5	1	454.61		x
GOODMAN CLASSIC CONSTRUC	ROTH	11/26/2021	12/3/2021	6	5	1	392.48		x
GOODMAN CLASSIC CONSTRUC	EMPLOYEE SALARY DEFERRAL	12/10/2021	12/13/2021	2	5	(3)	454.61		x
GOODMAN CLASSIC CONSTRUC	ROTH	12/10/2021	12/13/2021	2	5	(3)	392.48		x
GOODMAN CLASSIC CONSTRUC	EMPLOYEE SALARY DEFERRAL	12/23/2021	12/23/2021	1	5	(4)	454.61		x
GOODMAN CLASSIC CONSTRUC	ROTH	12/23/2021	12/23/2021	1	5	(4)	392.48		x
GRAY WEALTH MANAGEMENT IN	EMPLOYEE SALARY DEFERRAL	10/25/2021	12/2/2021	27	13	14	949.82	x	
GRAY WEALTH MANAGEMENT IN	EMPLOYEE SALARY DEFERRAL	11/5/2021	12/2/2021	18	13	5	1,071.81	x	
GRAY WEALTH MANAGEMENT IN	ROTH	11/5/2021	12/2/2021	18	13	5	28.00	x	
GRAY WEALTH MANAGEMENT IN	EMPLOYEE SALARY DEFERRAL	11/19/2021	12/2/2021	9	13	(4)	1,094.56		x
GRAY WEALTH MANAGEMENT IN	ROTH	11/19/2021	12/2/2021	9	13	(4)	28.00		x
GRAY WEALTH MANAGEMENT IN	EMPLOYEE SALARY DEFERRAL	11/24/2021	12/3/2021	7	13	(6)	514.65		x
GRAY WEALTH MANAGEMENT IN	ROTH	11/24/2021	12/3/2021	7	13	(6)	205.86		x
GRAY WEALTH MANAGEMENT IN	EMPLOYEE SALARY DEFERRAL	12/3/2021	12/14/2021	8	13	(5)	1,128.81		x
GRAY WEALTH MANAGEMENT IN	ROTH	12/3/2021	12/14/2021	8	13	(5)	28.00		x
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	3/25/2021	3/31/2021	5	2	3	544.23	x	
GREATWOODS LLC	ROTH	3/25/2021	3/31/2021	5	2	3	1,009.57	x	
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	4/8/2021	4/9/2021	2	2	-	544.23		x
GREATWOODS LLC	ROTH	4/8/2021	4/9/2021	2	2	-	1,007.99		x
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	4/22/2021	4/23/2021	2	2	-	544.23		x
GREATWOODS LLC	ROTH	4/22/2021	4/23/2021	2	2	-	1,005.63		x
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	5/6/2021	5/11/2021	4	2	2	10,544.23		x
GREATWOODS LLC	ROTH	5/6/2021	5/11/2021	4	2	2	946.96		x
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	5/20/2021	5/24/2021	3	2	1	775.00		x
GREATWOODS LLC	ROTH	5/20/2021	5/24/2021	3	2	1	926.19		x
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	6/3/2021	6/10/2021	6	2	4	775.00	x	
GREATWOODS LLC	ROTH	6/3/2021	6/10/2021	6	2	4	926.19	x	
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	6/4/2021	6/10/2021	5	2	3	5,000.00	x	
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	6/17/2021	6/24/2021	5	2	3	835.15	x	
GREATWOODS LLC	ROTH	6/17/2021	6/24/2021	5	2	3	926.22	x	
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	7/1/2021	7/6/2021	3	2	1	775.00		x
GREATWOODS LLC	ROTH	7/1/2021	7/6/2021	3	2	1	926.19		x
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	7/15/2021	7/19/2021	3	2	1	5,775.00		x
GREATWOODS LLC	ROTH	7/15/2021	7/19/2021	3	2	1	926.19		x
GREATWOODS LLC	EMPLOYEE SALARY DEFERRAL	7/29/2021	7/29/2021	1	2	(1)	775.00		x

GREATWOODS LLC		ROTH	7/29/2021	7/29/2021	1	2	(1)	926.19		x
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	8/12/2021	8/18/2021	5	2	3	775.00	x	
GREATWOODS LLC		ROTH	8/12/2021	8/18/2021	5	2	3	926.19	x	
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	8/26/2021	8/25/2021	(2)	2	(4)	865.39		x
GREATWOODS LLC		ROTH	8/26/2021	8/25/2021	(2)	2	(4)	955.04		x
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	9/9/2021	9/14/2021	4	2	2	903.85		x
GREATWOODS LLC		ROTH	9/9/2021	9/14/2021	4	2	2	970.43		x
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	9/23/2021	10/4/2021	8	2	6	463.44	x	
GREATWOODS LLC		ROTH	9/23/2021	10/4/2021	8	2	6	962.73	x	
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	10/7/2021	10/7/2021	1	2	(1)	365.39		x
GREATWOODS LLC		ROTH	10/7/2021	10/7/2021	1	2	(1)	962.73		x
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	10/21/2021	10/20/2021	(2)	2	(4)	365.39		x
GREATWOODS LLC		ROTH	10/21/2021	10/20/2021	(2)	2	(4)	962.73		x
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	11/4/2021	11/5/2021	2	2	-	365.39		x
GREATWOODS LLC		ROTH	11/4/2021	11/5/2021	2	2	-	962.73		x
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	11/18/2021	11/17/2021	(2)	2	(4)	365.39		x
GREATWOODS LLC		ROTH	11/18/2021	11/17/2021	(2)	2	(4)	962.73		x
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	12/2/2021	12/6/2021	3	2	1	365.39		x
GREATWOODS LLC		ROTH	12/2/2021	12/6/2021	3	2	1	962.73		x
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	12/16/2021	12/14/2021	(3)	2	(5)	365.39		x
GREATWOODS LLC		ROTH	12/16/2021	12/14/2021	(3)	2	(5)	962.73		x
GREATWOODS LLC		EMPLOYEE SALARY DEFERRAL	12/30/2021	12/30/2021	1	2	(1)	365.39		x
GREATWOODS LLC		ROTH	12/30/2021	12/30/2021	1	2	(1)	962.73		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	1/8/2021	1/13/2021	4	4	-	324.92		x
GWK ENTERPRISES INC		ROTH	1/8/2021	1/13/2021	4	4	-	275.92		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	1/22/2021	1/22/2021	1	4	(3)	317.09		x
GWK ENTERPRISES INC		ROTH	1/22/2021	1/22/2021	1	4	(3)	275.92		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	2/5/2021	2/9/2021	3	4	(1)	359.11		x
GWK ENTERPRISES INC		ROTH	2/5/2021	2/9/2021	3	4	(1)	275.92		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	2/19/2021	2/23/2021	3	4	(1)	354.07		x
GWK ENTERPRISES INC		ROTH	2/19/2021	2/23/2021	3	4	(1)	275.92		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	3/5/2021	3/11/2021	5	4	1	395.68		x
GWK ENTERPRISES INC		ROTH	3/5/2021	3/11/2021	5	4	1	275.92		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	3/19/2021	3/23/2021	3	4	(1)	384.58		x
GWK ENTERPRISES INC		ROTH	3/19/2021	3/23/2021	3	4	(1)	275.92		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	4/2/2021	4/9/2021	6	4	2	396.81		x
GWK ENTERPRISES INC		ROTH	4/2/2021	4/9/2021	6	4	2	275.92		x

GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	4/16/2021	4/19/2021	2	4	(2)	371.31		x
GWK ENTERPRISES INC		ROTH	4/16/2021	4/19/2021	2	4	(2)	275.92		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	4/30/2021	5/4/2021	3	4	(1)	342.61		x
GWK ENTERPRISES INC		ROTH	4/30/2021	5/4/2021	3	4	(1)	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	5/14/2021	5/18/2021	3	4	(1)	406.70		x
GWK ENTERPRISES INC		ROTH	5/14/2021	5/18/2021	3	4	(1)	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	5/28/2021	6/4/2021	5	4	1	403.29		x
GWK ENTERPRISES INC		ROTH	5/28/2021	6/4/2021	5	4	1	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	6/11/2021	6/17/2021	5	4	1	403.32		x
GWK ENTERPRISES INC		ROTH	6/11/2021	6/17/2021	5	4	1	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	6/25/2021	7/1/2021	5	4	1	410.28		x
GWK ENTERPRISES INC		ROTH	6/25/2021	7/1/2021	5	4	1	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	7/9/2021	7/14/2021	4	4	-	389.20		x
GWK ENTERPRISES INC		ROTH	7/9/2021	7/14/2021	4	4	-	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	7/23/2021	7/27/2021	3	4	(1)	386.97		x
GWK ENTERPRISES INC		ROTH	7/23/2021	7/27/2021	3	4	(1)	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	8/6/2021	8/10/2021	3	4	(1)	412.41		x
GWK ENTERPRISES INC		ROTH	8/6/2021	8/10/2021	3	4	(1)	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	8/20/2021	8/24/2021	3	4	(1)	399.73		x
GWK ENTERPRISES INC		ROTH	8/20/2021	8/24/2021	3	4	(1)	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	9/3/2021	9/10/2021	5	4	1	423.13		x
GWK ENTERPRISES INC		ROTH	9/3/2021	9/10/2021	5	4	1	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	9/17/2021	9/21/2021	3	4	(1)	417.40		x
GWK ENTERPRISES INC		ROTH	9/17/2021	9/21/2021	3	4	(1)	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	10/1/2021	10/6/2021	4	4	-	448.49		x
GWK ENTERPRISES INC		ROTH	10/1/2021	10/6/2021	4	4	-	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	10/15/2021	10/18/2021	2	4	(2)	445.01		x
GWK ENTERPRISES INC		ROTH	10/15/2021	10/18/2021	2	4	(2)	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	10/29/2021	11/4/2021	5	4	1	435.58		x
GWK ENTERPRISES INC		ROTH	10/29/2021	11/4/2021	5	4	1	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	11/12/2021	11/15/2021	2	4	(2)	447.44		x
GWK ENTERPRISES INC		ROTH	11/12/2021	11/15/2021	2	4	(2)	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	11/26/2021	12/1/2021	4	4	-	455.00		x
GWK ENTERPRISES INC		ROTH	11/26/2021	12/1/2021	4	4	-	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	12/10/2021	12/14/2021	3	4	(1)	384.74		x
GWK ENTERPRISES INC		ROTH	12/10/2021	12/14/2021	3	4	(1)	277.46		x
GWK ENTERPRISES INC		EMPLOYEE SALARY DEFERRAL	12/24/2021	12/30/2021	4	4	-	423.66		x

GWK ENTERPRISES INC		ROTH	12/24/2021	12/30/2021	4	4	-	277.46		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	12/25/2020	1/4/2021	6	5	1	617.75		x
HOSPITALITY RESOURCES LLC		ROTH	12/25/2020	1/4/2021	6	5	1	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	1/1/2021	1/11/2021	6	5	1	623.93		x
HOSPITALITY RESOURCES LLC		ROTH	1/1/2021	1/11/2021	6	5	1	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	1/8/2021	1/15/2021	5	5	-	633.64		x
HOSPITALITY RESOURCES LLC		ROTH	1/8/2021	1/15/2021	5	5	-	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	1/15/2021	1/22/2021	4	5	(1)	617.82		x
HOSPITALITY RESOURCES LLC		ROTH	1/15/2021	1/22/2021	4	5	(1)	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	1/22/2021	2/1/2021	7	5	2	616.68		x
HOSPITALITY RESOURCES LLC		ROTH	1/22/2021	2/1/2021	7	5	2	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	1/29/2021	2/8/2021	7	5	2	617.91		x
HOSPITALITY RESOURCES LLC		ROTH	1/29/2021	2/8/2021	7	5	2	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	2/5/2021	2/16/2021	8	5	3	621.71	x	
HOSPITALITY RESOURCES LLC		ROTH	2/5/2021	2/16/2021	8	5	3	115.44	x	
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	2/12/2021	2/23/2021	8	5	3	625.67	x	
HOSPITALITY RESOURCES LLC		ROTH	2/12/2021	2/23/2021	8	5	3	115.44	x	
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	2/19/2021	2/23/2021	3	5	(2)	620.47		x
HOSPITALITY RESOURCES LLC		ROTH	2/19/2021	2/23/2021	3	5	(2)	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	2/26/2021	3/8/2021	7	5	2	619.62		x
HOSPITALITY RESOURCES LLC		ROTH	2/26/2021	3/8/2021	7	5	2	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	3/5/2021	3/12/2021	6	5	1	600.24		x
HOSPITALITY RESOURCES LLC		ROTH	3/5/2021	3/12/2021	6	5	1	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	3/12/2021	3/17/2021	4	5	(1)	619.63		x
HOSPITALITY RESOURCES LLC		ROTH	3/12/2021	3/17/2021	4	5	(1)	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	3/19/2021	3/24/2021	4	5	(1)	623.65		x
HOSPITALITY RESOURCES LLC		ROTH	3/19/2021	3/24/2021	4	5	(1)	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	3/26/2021	3/31/2021	4	5	(1)	619.09		x
HOSPITALITY RESOURCES LLC		ROTH	3/26/2021	3/31/2021	4	5	(1)	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	4/2/2021	4/9/2021	6	5	1	616.05		x
HOSPITALITY RESOURCES LLC		ROTH	4/2/2021	4/9/2021	6	5	1	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	4/9/2021	4/14/2021	4	5	(1)	643.09		x
HOSPITALITY RESOURCES LLC		ROTH	4/9/2021	4/14/2021	4	5	(1)	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	4/16/2021	4/21/2021	4	5	(1)	640.96		x
HOSPITALITY RESOURCES LLC		ROTH	4/16/2021	4/21/2021	4	5	(1)	115.44		x
HOSPITALITY RESOURCES LLC		EMPLOYEE SALARY DEFERRAL	4/23/2021	4/27/2021	3	5	(2)	636.97		x
HOSPITALITY RESOURCES LLC		ROTH	4/23/2021	4/27/2021	3	5	(2)	115.44		x

HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	4/30/2021	5/5/2021	4	5	(1)	645.22		x
HOSPITALITY RESOURCES LLC	ROTH	4/30/2021	5/5/2021	4	5	(1)	115.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	5/7/2021	5/11/2021	3	5	(2)	624.67		x
HOSPITALITY RESOURCES LLC	ROTH	5/7/2021	5/11/2021	3	5	(2)	115.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	5/14/2021	5/20/2021	5	5	-	831.68		x
HOSPITALITY RESOURCES LLC	ROTH	5/14/2021	5/20/2021	5	5	-	115.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	5/21/2021	5/26/2021	4	5	(1)	635.61		x
HOSPITALITY RESOURCES LLC	ROTH	5/21/2021	5/26/2021	4	5	(1)	115.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	5/28/2021	6/4/2021	5	5	-	643.54		x
HOSPITALITY RESOURCES LLC	ROTH	5/28/2021	6/4/2021	5	5	-	115.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	6/4/2021	6/11/2021	6	5	1	644.95		x
HOSPITALITY RESOURCES LLC	ROTH	6/4/2021	6/11/2021	6	5	1	115.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	6/11/2021	6/17/2021	5	5	-	648.18		x
HOSPITALITY RESOURCES LLC	ROTH	6/11/2021	6/17/2021	5	5	-	115.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	6/18/2021	6/24/2021	4	5	(1)	654.78		x
HOSPITALITY RESOURCES LLC	ROTH	6/18/2021	6/24/2021	4	5	(1)	115.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	6/25/2021	6/30/2021	4	5	(1)	670.49		x
HOSPITALITY RESOURCES LLC	ROTH	6/25/2021	6/30/2021	4	5	(1)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	7/2/2021	7/9/2021	5	5	-	656.45		x
HOSPITALITY RESOURCES LLC	ROTH	7/2/2021	7/9/2021	5	5	-	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	7/9/2021	7/16/2021	6	5	1	655.31		x
HOSPITALITY RESOURCES LLC	ROTH	7/9/2021	7/16/2021	6	5	1	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	7/16/2021	7/22/2021	5	5	-	658.85		x
HOSPITALITY RESOURCES LLC	ROTH	7/16/2021	7/22/2021	5	5	-	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	7/23/2021	7/28/2021	4	5	(1)	648.48		x
HOSPITALITY RESOURCES LLC	ROTH	7/23/2021	7/28/2021	4	5	(1)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	7/30/2021	8/4/2021	4	5	(1)	697.94		x
HOSPITALITY RESOURCES LLC	ROTH	7/30/2021	8/4/2021	4	5	(1)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	8/6/2021	8/11/2021	4	5	(1)	711.88		x
HOSPITALITY RESOURCES LLC	ROTH	8/6/2021	8/11/2021	4	5	(1)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	8/13/2021	8/19/2021	5	5	-	712.51		x
HOSPITALITY RESOURCES LLC	ROTH	8/13/2021	8/19/2021	5	5	-	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	8/20/2021	8/24/2021	3	5	(2)	724.92		x
HOSPITALITY RESOURCES LLC	ROTH	8/20/2021	8/24/2021	3	5	(2)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	8/27/2021	9/3/2021	6	5	1	710.33		x
HOSPITALITY RESOURCES LLC	ROTH	8/27/2021	9/3/2021	6	5	1	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	9/3/2021	9/9/2021	4	5	(1)	756.11		x

HOSPITALITY RESOURCES LLC	ROTH	9/3/2021	9/9/2021	4	5	(1)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	9/10/2021	9/17/2021	6	5	1	660.09		x
HOSPITALITY RESOURCES LLC	ROTH	9/10/2021	9/17/2021	6	5	1	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	9/17/2021	9/22/2021	4	5	(1)	664.59		x
HOSPITALITY RESOURCES LLC	ROTH	9/17/2021	9/22/2021	4	5	(1)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	9/24/2021	9/28/2021	3	5	(2)	713.75		x
HOSPITALITY RESOURCES LLC	ROTH	9/24/2021	9/28/2021	3	5	(2)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	10/1/2021	10/6/2021	4	5	(1)	717.55		x
HOSPITALITY RESOURCES LLC	ROTH	10/1/2021	10/6/2021	4	5	(1)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	10/8/2021	10/12/2021	2	5	(3)	724.10		x
HOSPITALITY RESOURCES LLC	ROTH	10/8/2021	10/12/2021	2	5	(3)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	10/15/2021	10/19/2021	3	5	(2)	806.64		x
HOSPITALITY RESOURCES LLC	ROTH	10/15/2021	10/19/2021	3	5	(2)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	10/22/2021	10/26/2021	3	5	(2)	805.07		x
HOSPITALITY RESOURCES LLC	ROTH	10/22/2021	10/26/2021	3	5	(2)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	10/29/2021	11/3/2021	4	5	(1)	851.80		x
HOSPITALITY RESOURCES LLC	ROTH	10/29/2021	11/3/2021	4	5	(1)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	11/5/2021	11/9/2021	3	5	(2)	871.89		x
HOSPITALITY RESOURCES LLC	ROTH	11/5/2021	11/9/2021	3	5	(2)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	11/12/2021	11/16/2021	3	5	(2)	830.42		x
HOSPITALITY RESOURCES LLC	ROTH	11/12/2021	11/16/2021	3	5	(2)	121.44		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	11/19/2021	11/24/2021	4	5	(1)	812.84		x
HOSPITALITY RESOURCES LLC	ROTH	11/19/2021	11/24/2021	4	5	(1)	184.92		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	11/26/2021	11/30/2021	3	5	(2)	815.99		x
HOSPITALITY RESOURCES LLC	ROTH	11/26/2021	11/30/2021	3	5	(2)	184.92		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	12/3/2021	12/13/2021	7	5	2	929.49		x
HOSPITALITY RESOURCES LLC	ROTH	12/3/2021	12/13/2021	7	5	2	214.92		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	12/10/2021	12/14/2021	3	5	(2)	817.73		x
HOSPITALITY RESOURCES LLC	ROTH	12/10/2021	12/14/2021	3	5	(2)	184.92		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	12/17/2021	12/22/2021	4	5	(1)	824.62		x
HOSPITALITY RESOURCES LLC	ROTH	12/17/2021	12/22/2021	4	5	(1)	184.92		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	12/24/2021	12/30/2021	4	5	(1)	862.26		x
HOSPITALITY RESOURCES LLC	ROTH	12/24/2021	12/30/2021	4	5	(1)	184.92		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	3/26/2021	3/31/2021	4	4	-	1,961.37		x
HUTCHINSON OIL COMPANY LL	ROTH	3/26/2021	3/31/2021	4	4	-	485.34		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	3/30/2021	3/31/2021	2	4	(2)	173.78		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/2/2021	4/7/2021	4	4	-	1,876.68		x

HUTCHINSON OIL COMPANY LL	ROTH	4/2/2021	4/7/2021	4	4	-	479.98		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/6/2021	4/7/2021	2	4	(2)	131.66		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/7/2021	4/9/2021	3	4	(1)	558.92		x
HUTCHINSON OIL COMPANY LL	ROTH	4/7/2021	4/9/2021	3	4	(1)	1,431.25		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/9/2021	4/13/2021	3	4	(1)	1,979.57		x
HUTCHINSON OIL COMPANY LL	ROTH	4/9/2021	4/13/2021	3	4	(1)	474.41		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/13/2021	4/13/2021	1	4	(3)	159.89		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/16/2021	4/20/2021	3	4	(1)	2,820.95		x
HUTCHINSON OIL COMPANY LL	ROTH	4/16/2021	4/20/2021	3	4	(1)	591.97		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/20/2021	4/20/2021	1	4	(3)	136.84		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/22/2021	4/22/2021	1	4	(3)	558.92		x
HUTCHINSON OIL COMPANY LL	ROTH	4/22/2021	4/22/2021	1	4	(3)	1,431.25		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/23/2021	4/28/2021	4	4	-	1,621.55		x
HUTCHINSON OIL COMPANY LL	ROTH	4/23/2021	4/28/2021	4	4	-	970.09		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/27/2021	4/28/2021	2	4	(2)	191.63		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	4/30/2021	5/5/2021	4	4	-	1,853.54		x
HUTCHINSON OIL COMPANY LL	ROTH	4/30/2021	5/5/2021	4	4	-	965.31		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	5/7/2021	5/12/2021	4	4	-	2,389.88		x
HUTCHINSON OIL COMPANY LL	ROTH	5/7/2021	5/12/2021	4	4	-	2,360.91		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	5/11/2021	5/11/2021	1	4	(3)	145.61		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	5/14/2021	5/20/2021	5	4	1	1,577.06		x
HUTCHINSON OIL COMPANY LL	ROTH	5/14/2021	5/20/2021	5	4	1	972.38		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	5/18/2021	5/20/2021	3	4	(1)	158.10		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	5/21/2021	5/28/2021	6	4	2	2,352.79		x
HUTCHINSON OIL COMPANY LL	ROTH	5/21/2021	5/28/2021	6	4	2	2,399.15		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	5/25/2021	5/28/2021	4	4	-	127.01		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	5/28/2021	6/7/2021	6	4	2	1,635.24		x
HUTCHINSON OIL COMPANY LL	ROTH	5/28/2021	6/7/2021	6	4	2	967.38		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/1/2021	6/7/2021	5	4	1	135.51		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/4/2021	6/10/2021	5	4	1	1,565.84		x
HUTCHINSON OIL COMPANY LL	ROTH	6/4/2021	6/10/2021	5	4	1	932.92		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/7/2021	6/10/2021	4	4	-	764.92		x
HUTCHINSON OIL COMPANY LL	ROTH	6/7/2021	6/10/2021	4	4	-	1,868.75		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/8/2021	6/10/2021	3	4	(1)	143.54		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/11/2021	6/17/2021	5	4	1	1,533.82		x
HUTCHINSON OIL COMPANY LL	ROTH	6/11/2021	6/17/2021	5	4	1	968.72		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/15/2021	6/17/2021	3	4	(1)	109.94		x

HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/18/2021	7/2/2021	10	4	6	1,540.23	x	
HUTCHINSON OIL COMPANY LL	ROTH	6/18/2021	7/2/2021	10	4	6	964.91	x	
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/22/2021	6/30/2021	7	4	3	103.60	x	
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/22/2021	7/1/2021	8	4	4	792.42	x	
HUTCHINSON OIL COMPANY LL	ROTH	6/22/2021	7/1/2021	8	4	4	1,868.75	x	
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/25/2021	7/1/2021	5	4	1	1,492.88		x
HUTCHINSON OIL COMPANY LL	ROTH	6/25/2021	7/1/2021	5	4	1	966.16		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	6/29/2021	6/30/2021	2	4	(2)	140.44		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/2/2021	7/7/2021	3	4	(1)	1,435.91		x
HUTCHINSON OIL COMPANY LL	ROTH	7/2/2021	7/7/2021	3	4	(1)	933.49		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/6/2021	7/7/2021	2	4	(2)	114.23		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/7/2021	8/4/2021	21	4	17	778.67	x	
HUTCHINSON OIL COMPANY LL	ROTH	7/7/2021	8/4/2021	21	4	17	1,868.75	x	
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/9/2021	7/14/2021	4	4	-	1,675.90		x
HUTCHINSON OIL COMPANY LL	ROTH	7/9/2021	7/14/2021	4	4	-	1,403.25		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/13/2021	7/14/2021	2	4	(2)	123.18		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/16/2021	7/27/2021	8	4	4	1,426.67	x	
HUTCHINSON OIL COMPANY LL	ROTH	7/16/2021	7/27/2021	8	4	4	943.73	x	
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/20/2021	7/27/2021	6	4	2	121.65		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/22/2021	7/27/2021	4	4	-	778.67		x
HUTCHINSON OIL COMPANY LL	ROTH	7/22/2021	7/27/2021	4	4	-	1,868.75		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/23/2021	7/27/2021	3	4	(1)	1,434.53		x
HUTCHINSON OIL COMPANY LL	ROTH	7/23/2021	7/27/2021	3	4	(1)	952.36		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/27/2021	7/27/2021	1	4	(3)	96.55		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	7/30/2021	8/2/2021	2	4	(2)	1,522.46		x
HUTCHINSON OIL COMPANY LL	ROTH	7/30/2021	8/2/2021	2	4	(2)	964.14		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/3/2021	8/2/2021	(2)	4	(6)	111.34		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/6/2021	8/10/2021	3	4	(1)	2,477.58		x
HUTCHINSON OIL COMPANY LL	ROTH	8/6/2021	8/10/2021	3	4	(1)	2,867.76		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/10/2021	8/10/2021	1	4	(3)	95.22		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/13/2021	8/18/2021	4	4	-	1,545.47		x
HUTCHINSON OIL COMPANY LL	ROTH	8/13/2021	8/18/2021	4	4	-	978.46		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/17/2021	8/18/2021	2	4	(2)	123.21		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/20/2021	8/24/2021	3	4	(1)	778.67		x
HUTCHINSON OIL COMPANY LL	ROTH	8/20/2021	8/24/2021	3	4	(1)	1,875.00		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/20/2021	8/25/2021	4	4	-	1,438.42		x
HUTCHINSON OIL COMPANY LL	ROTH	8/20/2021	8/25/2021	4	4	-	966.99		x

HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/24/2021	8/25/2021	2	4	(2)	91.40		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/27/2021	9/8/2021	8	4	4	1,557.74	x	
HUTCHINSON OIL COMPANY LL	ROTH	8/27/2021	9/8/2021	8	4	4	987.62	x	
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	8/31/2021	9/8/2021	6	4	2	108.62		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	9/3/2021	9/10/2021	5	4	1	1,495.39		x
HUTCHINSON OIL COMPANY LL	ROTH	9/3/2021	9/10/2021	5	4	1	956.96		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	9/7/2021	9/10/2021	4	4	-	871.91		x
HUTCHINSON OIL COMPANY LL	ROTH	9/7/2021	9/10/2021	4	4	-	1,875.00		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	9/10/2021	9/15/2021	4	4	-	1,533.43		x
HUTCHINSON OIL COMPANY LL	ROTH	9/10/2021	9/15/2021	4	4	-	984.69		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	9/14/2021	9/15/2021	2	4	(2)	83.19		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	9/17/2021	9/21/2021	3	4	(1)	1,451.52		x
HUTCHINSON OIL COMPANY LL	ROTH	9/17/2021	9/21/2021	3	4	(1)	938.04		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	9/21/2021	9/21/2021	1	4	(3)	118.00		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	9/22/2021	9/27/2021	4	4	-	778.67		x
HUTCHINSON OIL COMPANY LL	ROTH	9/22/2021	9/27/2021	4	4	-	1,875.00		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	9/24/2021	9/27/2021	2	4	(2)	1,642.14		x
HUTCHINSON OIL COMPANY LL	ROTH	9/24/2021	9/27/2021	2	4	(2)	1,024.94		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	9/28/2021	9/27/2021	(2)	4	(6)	111.59		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/1/2021	10/4/2021	2	4	(2)	1,537.56		x
HUTCHINSON OIL COMPANY LL	ROTH	10/1/2021	10/4/2021	2	4	(2)	997.07		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/5/2021	10/4/2021	(2)	4	(6)	108.79		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/7/2021	10/13/2021	4	4	-	778.67		x
HUTCHINSON OIL COMPANY LL	ROTH	10/7/2021	10/13/2021	4	4	-	1,875.00		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/8/2021	10/13/2021	3	4	(1)	1,382.74		x
HUTCHINSON OIL COMPANY LL	ROTH	10/8/2021	10/13/2021	3	4	(1)	918.79		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/12/2021	10/13/2021	2	4	(2)	118.28		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/15/2021	10/18/2021	2	4	(2)	1,688.05		x
HUTCHINSON OIL COMPANY LL	ROTH	10/15/2021	10/18/2021	2	4	(2)	1,379.56		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/19/2021	10/18/2021	(2)	4	(6)	149.69		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/22/2021	10/25/2021	2	4	(2)	2,486.18		x
HUTCHINSON OIL COMPANY LL	ROTH	10/22/2021	10/25/2021	2	4	(2)	2,812.53		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/26/2021	10/25/2021	(2)	4	(6)	116.43		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	10/29/2021	11/3/2021	4	4	-	1,312.21		x
HUTCHINSON OIL COMPANY LL	ROTH	10/29/2021	11/3/2021	4	4	-	899.22		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/2/2021	11/3/2021	2	4	(2)	106.08		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/5/2021	11/12/2021	5	4	1	2,133.67		x

HUTCHINSON OIL COMPANY LL	ROTH	11/5/2021	11/12/2021	5	4	1	2,819.15		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/9/2021	11/12/2021	3	4	(1)	113.71		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/12/2021	11/12/2021	1	4	(3)	1,318.08		x
HUTCHINSON OIL COMPANY LL	ROTH	11/12/2021	11/12/2021	1	4	(3)	914.54		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/16/2021	11/15/2021	(2)	4	(6)	125.34		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/19/2021	11/22/2021	2	4	(2)	1,413.62		x
HUTCHINSON OIL COMPANY LL	ROTH	11/19/2021	11/22/2021	2	4	(2)	1,008.31		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/22/2021	11/22/2021	1	4	(3)	791.17		x
HUTCHINSON OIL COMPANY LL	ROTH	11/22/2021	11/22/2021	1	4	(3)	2,033.32		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/23/2021	11/22/2021	(2)	4	(6)	136.56		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/26/2021	12/2/2021	5	4	1	1,356.33		x
HUTCHINSON OIL COMPANY LL	ROTH	11/26/2021	12/2/2021	5	4	1	911.90		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	11/30/2021	12/2/2021	3	4	(1)	134.07		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/3/2021	12/8/2021	4	4	-	1,293.72		x
HUTCHINSON OIL COMPANY LL	ROTH	12/3/2021	12/8/2021	4	4	-	897.66		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/7/2021	12/8/2021	2	4	(2)	219.39		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/7/2021	12/29/2021	16	4	12	893.37	x	
HUTCHINSON OIL COMPANY LL	ROTH	12/7/2021	12/29/2021	16	4	12	2,068.32	x	
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/10/2021	12/14/2021	3	4	(1)	1,826.90		x
HUTCHINSON OIL COMPANY LL	ROTH	12/10/2021	12/14/2021	3	4	(1)	1,151.61		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/14/2021	12/14/2021	1	4	(3)	131.25		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/17/2021	12/22/2021	4	4	-	1,351.78		x
HUTCHINSON OIL COMPANY LL	ROTH	12/17/2021	12/22/2021	4	4	-	907.73		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/21/2021	12/22/2021	2	4	(2)	104.11		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/22/2021	12/23/2021	2	4	(2)	791.17		x
HUTCHINSON OIL COMPANY LL	ROTH	12/22/2021	12/23/2021	2	4	(2)	2,033.32		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/28/2021	12/28/2021	1	4	(3)	120.63		x
INNOVATIVE DISTRIBUTION	EMPLOYEE SALARY DEFERRAL	10/8/2021	10/12/2021	2	13	(11)	97.22		x
INNOVATIVE DISTRIBUTION	EMPLOYEE SALARY DEFERRAL	10/29/2021	11/1/2021	2	13	(11)	285.70		x
INNOVATIVE DISTRIBUTION	EMPLOYEE SALARY DEFERRAL	11/5/2021	11/8/2021	2	13	(11)	1,253.08		x
INNOVATIVE DISTRIBUTION	ROTH	11/5/2021	11/8/2021	2	13	(11)	91.83		x
INNOVATIVE DISTRIBUTION	EMPLOYEE SALARY DEFERRAL	11/12/2021	11/15/2021	2	13	(11)	1,136.07		x
INNOVATIVE DISTRIBUTION	ROTH	11/12/2021	11/15/2021	2	13	(11)	81.59		x
INNOVATIVE DISTRIBUTION	EMPLOYEE SALARY DEFERRAL	11/19/2021	11/22/2021	2	13	(11)	1,234.24		x
INNOVATIVE DISTRIBUTION	ROTH	11/19/2021	11/22/2021	2	13	(11)	79.61		x
INNOVATIVE DISTRIBUTION	EMPLOYEE SALARY DEFERRAL	11/26/2021	11/29/2021	2	13	(11)	1,215.65		x
INNOVATIVE DISTRIBUTION	ROTH	11/26/2021	11/29/2021	2	13	(11)	72.06		x

INNOVATIVE DISTRIBUTION		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/6/2021	2	13	(11)	1,057.18		x
INNOVATIVE DISTRIBUTION		ROTH	12/3/2021	12/6/2021	2	13	(11)	68.10		x
INNOVATIVE DISTRIBUTION		EMPLOYEE SALARY DEFERRAL	12/17/2021	12/20/2021	2	13	(11)	1,102.83		x
INNOVATIVE DISTRIBUTION		ROTH	12/17/2021	12/20/2021	2	13	(11)	81.51		x
INNOVATIVE DISTRIBUTION		EMPLOYEE SALARY DEFERRAL	12/23/2021	12/27/2021	2	13	(11)	1,373.98		x
INNOVATIVE DISTRIBUTION		ROTH	12/23/2021	12/27/2021	2	13	(11)	115.79		x
JROD INC		EMPLOYEE SALARY DEFERRAL	8/30/2021	9/1/2021	3	-	3	97.55	x	
JROD INC		ROTH	8/30/2021	9/1/2021	3	-	3	65.03	x	
JROD INC		EMPLOYEE SALARY DEFERRAL	9/13/2021	9/10/2021	(2)	-	(2)	95.84		x
JROD INC		ROTH	9/13/2021	9/10/2021	(2)	-	(2)	63.89		x
JROD INC		EMPLOYEE SALARY DEFERRAL	9/24/2021	9/24/2021	1	-	1	24.00		x
JROD INC		ROTH	9/24/2021	9/24/2021	1	-	1	92.31		x
JROD INC		EMPLOYEE SALARY DEFERRAL	9/27/2021	9/24/2021	(2)	-	(2)	91.79		x
JROD INC		ROTH	9/27/2021	9/24/2021	(2)	-	(2)	61.20		x
JROD INC		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/8/2021	1	-	1	117.14		x
JROD INC		ROTH	10/8/2021	10/8/2021	1	-	1	136.53		x
JROD INC		EMPLOYEE SALARY DEFERRAL	10/22/2021	10/22/2021	1	-	1	12.60		x
JROD INC		ROTH	10/22/2021	10/22/2021	1	-	1	92.31		x
JROD INC		EMPLOYEE SALARY DEFERRAL	10/25/2021	10/22/2021	(2)	-	(2)	97.65		x
JROD INC		ROTH	10/25/2021	10/22/2021	(2)	-	(2)	65.10		x
JROD INC		EMPLOYEE SALARY DEFERRAL	11/5/2021	11/8/2021	2	-	2	18.60		x
JROD INC		ROTH	11/5/2021	11/8/2021	2	-	2	92.31		x
JROD INC		EMPLOYEE SALARY DEFERRAL	11/8/2021	11/8/2021	1	-	1	449.06		x
JROD INC		ROTH	11/8/2021	11/8/2021	1	-	1	55.68		x
JROD INC		EMPLOYEE SALARY DEFERRAL	11/19/2021	11/22/2021	2	-	2	23.20		x
JROD INC		ROTH	11/19/2021	11/22/2021	2	-	2	92.31		x
JROD INC		EMPLOYEE SALARY DEFERRAL	11/22/2021	11/22/2021	1	-	1	362.10		x
JROD INC		ROTH	11/22/2021	11/22/2021	1	-	1	30.73		x
JROD INC		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/3/2021	1	-	1	9.60		x
JROD INC		ROTH	12/3/2021	12/3/2021	1	-	1	92.31		x
JROD INC		EMPLOYEE SALARY DEFERRAL	12/6/2021	12/3/2021	(2)	-	(2)	461.42		x
JROD INC		ROTH	12/6/2021	12/3/2021	(2)	-	(2)	61.72		x
JROD INC		EMPLOYEE SALARY DEFERRAL	12/17/2021	12/17/2021	1	-	1	14.80		x
JROD INC		ROTH	12/17/2021	12/17/2021	1	-	1	92.31		x
JROD INC		EMPLOYEE SALARY DEFERRAL	12/20/2021	12/17/2021	(2)	-	(2)	449.80		x
JROD INC		ROTH	12/20/2021	12/17/2021	(2)	-	(2)	61.71		x
JROD INC		EMPLOYEE SALARY DEFERRAL	12/31/2021	12/31/2021	-	-	-	20.50		x

JROD INC		ROTH	12/31/2021	12/31/2021	-	-	-	92.31		x
JROD INC		EMPLOYEE SALARY DEFERRAL	1/3/2022	12/31/2021	(1)	-	(1)	585.07		x
JROD INC		ROTH	1/3/2022	12/31/2021	(1)	-	(1)	65.55		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	7/19/2021	7/27/2021	7	4	3	3,890.75	x	
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	7/19/2021	7/28/2021	8	4	4	303.60	x	
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	8/3/2021	8/9/2021	5	4	1	3,747.78		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	8/18/2021	8/24/2021	5	4	1	4,154.56		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	9/3/2021	9/8/2021	3	4	(1)	4,398.05		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	9/20/2021	9/22/2021	3	4	(1)	3,370.79		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	10/5/2021	10/11/2021	4	4	-	2,741.04		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	10/19/2021	10/25/2021	5	4	1	2,883.81		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	11/3/2021	11/5/2021	3	4	(1)	2,799.56		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	11/18/2021	11/22/2021	3	4	(1)	732.55		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/7/2021	3	4	(1)	709.10		x
LEMON BROOKE LLC		EMPLOYEE SALARY DEFERRAL	12/20/2021	12/28/2021	6	4	2	388.70		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	1/1/2021	1/14/2021	9	6	3	2,920.66	x	
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	1/15/2021	1/27/2021	7	6	1	2,908.73		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	1/29/2021	2/3/2021	4	6	(2)	2,923.02		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	2/12/2021	2/22/2021	7	6	1	2,879.23		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	2/26/2021	3/9/2021	8	6	2	2,865.10		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	3/12/2021	3/25/2021	10	6	4	2,923.42	x	
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	3/26/2021	4/7/2021	9	6	3	2,913.99	x	
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	4/9/2021	4/20/2021	8	6	2	2,937.32		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	4/23/2021	5/5/2021	9	6	3	507.51	x	
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	4/23/2021	5/6/2021	10	6	4	2,488.88	x	
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	5/7/2021	5/18/2021	8	6	2	2,961.90		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	5/21/2021	5/28/2021	6	6	-	2,975.51		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	6/4/2021	6/14/2021	7	6	1	2,976.26		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	6/18/2021	6/24/2021	4	6	(2)	2,385.84		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	6/18/2021	6/25/2021	5	6	(1)	512.52		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	7/2/2021	7/7/2021	3	6	(3)	282.51		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	7/2/2021	7/8/2021	4	6	(2)	184.17		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	7/2/2021	7/12/2021	6	6	-	2,360.78		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	7/16/2021	7/20/2021	3	6	(3)	2,923.52		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	7/30/2021	8/2/2021	2	6	(4)	376.37		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	7/30/2021	8/3/2021	3	6	(3)	2,589.89		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	8/13/2021	8/18/2021	4	6	(2)	2,421.11		x

LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	8/27/2021	9/2/2021	5	6	(1)	2,499.79		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	9/10/2021	9/17/2021	6	6	-	479.02		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	9/10/2021	9/20/2021	7	6	1	2,023.86		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	9/24/2021	9/30/2021	5	6	(1)	2,525.26		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/15/2021	5	6	(1)	2,559.87		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	10/22/2021	11/1/2021	7	6	1	2,510.40		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	11/5/2021	11/11/2021	4	6	(2)	2,393.35		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	11/19/2021	11/22/2021	2	6	(4)	409.76		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	11/19/2021	11/23/2021	3	6	(3)	2,034.72		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/7/2021	3	6	(3)	2,358.47		x
LOCAL GRINDS		EMPLOYEE SALARY DEFERRAL	12/17/2021	12/22/2021	4	6	(2)	2,406.96		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	12/23/2020	1/4/2021	8	10	(2)	2,016.63		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	12/30/2020	1/7/2021	6	10	(4)	2,037.00		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	1/6/2021	1/15/2021	7	10	(3)	2,080.18		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	1/13/2021	1/20/2021	4	10	(6)	2,057.65		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	1/19/2021	1/28/2021	8	10	(2)	2,052.65		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	1/27/2021	2/4/2021	7	10	(3)	2,062.89		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	2/3/2021	2/12/2021	8	10	(2)	2,060.10		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	2/10/2021	2/16/2021	5	10	(5)	2,370.90		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	2/17/2021	2/26/2021	8	10	(2)	2,077.35		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	2/24/2021	3/4/2021	7	10	(3)	2,201.05		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	3/3/2021	3/11/2021	7	10	(3)	2,110.74		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	3/10/2021	3/19/2021	8	10	(2)	2,109.72		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	3/17/2021	3/25/2021	7	10	(3)	2,119.63		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	3/24/2021	4/6/2021	10	10	-	2,128.52		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	3/31/2021	4/9/2021	8	10	(2)	2,131.47		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	4/7/2021	4/19/2021	9	10	(1)	1,958.33		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	4/14/2021	4/19/2021	4	10	(6)	2,104.79		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	4/21/2021	4/29/2021	7	10	(3)	1,971.50		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	4/28/2021	5/7/2021	8	10	(2)	1,971.82		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	5/5/2021	5/14/2021	8	10	(2)	1,999.49		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	5/12/2021	5/21/2021	8	10	(2)	2,000.52		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	5/15/2021	6/2/2021	12	10	2	1,994.78		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	5/26/2021	6/4/2021	7	10	(3)	2,012.18		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	6/2/2021	6/11/2021	8	10	(2)	2,000.06		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	6/9/2021	6/21/2021	8	10	(2)	1,993.70		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	6/16/2021	6/28/2021	8	10	(2)	1,958.86		x

LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	6/23/2021	7/2/2021	8	10	(2)	1,871.52		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	6/30/2021	7/7/2021	5	10	(5)	1,927.28		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	7/3/2021	7/19/2021	10	10	-	1,947.82		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	7/14/2021	7/26/2021	9	10	(1)	1,951.25		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	7/28/2021	8/5/2021	7	10	(3)	1,940.93		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	8/4/2021	8/13/2021	8	10	(2)	1,941.63		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	8/11/2021	8/19/2021	7	10	(3)	1,937.59		x
LOUIS J PARADIS INC		ROTH	8/11/2021	8/19/2021	7	10	(3)	0.77		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	8/18/2021	8/25/2021	6	10	(4)	1,932.14		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	8/25/2021	9/13/2021	13	10	3	1,941.34	x	
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	9/1/2021	9/13/2021	8	10	(2)	1,953.79		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	9/4/2021	9/17/2021	9	10	(1)	1,951.26		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	9/15/2021	9/23/2021	7	10	(3)	1,959.45		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	9/22/2021	9/30/2021	7	10	(3)	1,708.46		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	9/29/2021	10/6/2021	6	10	(4)	1,600.11		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	10/6/2021	10/13/2021	5	10	(5)	1,370.00		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	10/13/2021	11/1/2021	14	10	4	1,350.00	x	
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	10/20/2021	11/1/2021	9	10	(1)	1,621.02		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	10/27/2021	11/5/2021	8	10	(2)	2,256.63		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	11/3/2021	11/10/2021	6	10	(4)	1,698.00		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	11/6/2021	11/26/2021	13	10	3	1,766.40	x	
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	11/10/2021	11/18/2021	6	10	(4)	1,769.64		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	11/24/2021	12/3/2021	7	10	(3)	1,769.23		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	11/27/2021	12/8/2021	8	10	(2)	1,755.08		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	12/8/2021	12/28/2021	14	10	4	1,767.47	x	
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	12/15/2021	12/28/2021	9	10	(1)	257.47		x
LOUIS J PARADIS INC		EMPLOYEE SALARY DEFERRAL	12/22/2021	12/30/2021	6	10	(4)	3,279.25		x
MAINE COMMUNITY HEALTH O		EMPLOYEE SALARY DEFERRAL	9/1/2021	9/2/2021	2	3	(1)	27,198.08		x
MAINE COMMUNITY HEALTH O		ROTH	9/1/2021	9/2/2021	2	3	(1)	4,149.47		x
MAINE COMMUNITY HEALTH O		EMPLOYEE SALARY DEFERRAL	9/15/2021	9/22/2021	6	3	3	27,210.91	x	
MAINE COMMUNITY HEALTH O		ROTH	9/15/2021	9/22/2021	6	3	3	4,208.08	x	
MAINE COMMUNITY HEALTH O		EMPLOYEE SALARY DEFERRAL	9/29/2021	9/30/2021	2	3	(1)	26,439.47		x
MAINE COMMUNITY HEALTH O		ROTH	9/29/2021	9/30/2021	2	3	(1)	4,068.29		x
MAINE COMMUNITY HEALTH O		EMPLOYEE SALARY DEFERRAL	10/13/2021	10/14/2021	2	3	(1)	27,755.13		x
MAINE COMMUNITY HEALTH O		ROTH	10/13/2021	10/14/2021	2	3	(1)	4,262.65		x
MAINE COMMUNITY HEALTH O		EMPLOYEE SALARY DEFERRAL	10/27/2021	10/28/2021	2	3	(1)	27,259.55		x
MAINE COMMUNITY HEALTH O		ROTH	10/27/2021	10/28/2021	2	3	(1)	4,263.68		x

MAINE COMMUNITY HEALTH O	EMPLOYEE SALARY DEFERRAL	11/10/2021	11/19/2021	7	3	4	26,540.37	x	
MAINE COMMUNITY HEALTH O	ROTH	11/10/2021	11/19/2021	7	3	4	4,201.71	x	
MAINE COMMUNITY HEALTH O	EMPLOYEE SALARY DEFERRAL	11/24/2021	11/26/2021	2	3	(1)	27,191.39		x
MAINE COMMUNITY HEALTH O	ROTH	11/24/2021	11/26/2021	2	3	(1)	4,260.06		x
MAINE COMMUNITY HEALTH O	EMPLOYEE SALARY DEFERRAL	12/8/2021	12/13/2021	4	3	1	24,268.93		x
MAINE COMMUNITY HEALTH O	ROTH	12/8/2021	12/13/2021	4	3	1	4,051.21		x
MAINE COMMUNITY HEALTH O	EMPLOYEE SALARY DEFERRAL	12/22/2021	12/23/2021	2	3	(1)	20,365.78		x
MAINE COMMUNITY HEALTH O	ROTH	12/22/2021	12/23/2021	2	3	(1)	3,823.28		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	3/15/2021	3/17/2021	3	2	1	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	4/1/2021	3/31/2021	(2)	2	(4)	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	4/15/2021	4/15/2021	1	2	(1)	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	4/30/2021	4/29/2021	(2)	2	(4)	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	5/14/2021	5/14/2021	1	2	(1)	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	6/1/2021	6/3/2021	3	2	1	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	6/15/2021	6/18/2021	3	2	1	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	7/1/2021	7/7/2021	4	2	2	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	7/15/2021	7/19/2021	3	2	1	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	7/30/2021	8/2/2021	2	2	-	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	8/13/2021	8/18/2021	4	2	2	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	9/1/2021	9/8/2021	5	2	3	449.17	x	
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	9/15/2021	9/17/2021	3	2	1	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	10/1/2021	10/5/2021	3	2	1	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	10/15/2021	10/18/2021	2	2	-	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	11/1/2021	11/3/2021	3	2	1	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	11/15/2021	11/15/2021	1	2	(1)	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	12/1/2021	12/2/2021	2	2	-	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	12/15/2021	12/16/2021	2	2	-	449.17		x
MARYLAND RETAILERS ASSOCI	EMPLOYEE SALARY DEFERRAL	12/31/2021	12/30/2021	(1)	2	(3)	449.17		x
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	2/1/2021	2/3/2021	3	5	(2)	1,115.51		x
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	2/15/2021	2/16/2021	2	5	(3)	2,382.15		x
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	3/1/2021	3/4/2021	4	5	(1)	1,398.09		x
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	3/15/2021	3/30/2021	12	5	7	1,409.86	x	
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	3/29/2021	3/30/2021	2	5	(3)	715.72		x
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	4/12/2021	4/14/2021	3	5	(2)	423.45		x
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	4/26/2021	4/28/2021	3	5	(2)	1,057.27		x
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	5/10/2021	5/12/2021	3	5	(2)	1,119.51		x
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	5/24/2021	5/26/2021	3	5	(2)	1,125.59		x

MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	6/7/2021	6/21/2021	10	5	5	1,133.11	x	
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	6/21/2021	6/23/2021	3	5	(2)	1,108.88		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	7/2/2021	7/7/2021	3	5	(2)	1,083.78		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	7/19/2021	7/28/2021	8	5	3	1,137.11	x	
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	8/2/2021	8/3/2021	2	5	(3)	1,117.03		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	8/16/2021	8/17/2021	2	5	(3)	139.50		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	8/16/2021	8/18/2021	3	5	(2)	978.85		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	8/30/2021	8/31/2021	2	5	(3)	109.44		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	8/30/2021	9/9/2021	8	5	3	1,022.14	x	
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	9/13/2021	9/14/2021	2	5	(3)	113.07		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	9/13/2021	9/23/2021	9	5	4	1,020.44	x	
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	9/27/2021	9/28/2021	2	5	(3)	115.09		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	9/27/2021	10/5/2021	7	5	2	964.80		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/13/2021	3	5	(2)	1,120.72		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	10/25/2021	10/27/2021	3	5	(2)	1,133.32		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	11/22/2021	12/7/2021	11	5	6	1,056.76	x	
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	12/6/2021	12/7/2021	2	5	(3)	73.70		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	12/6/2021	12/9/2021	4	5	(1)	1,077.55		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	12/20/2021	12/21/2021	2	5	(3)	344.40		x
MEGA SCOOP		EMPLOYEE SALARY DEFERRAL	12/20/2021	12/22/2021	3	5	(2)	852.31		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	6/18/2021	7/15/2021	18	4	14	934.03	x	
MEXICAN RESTAURANT		ROTH	6/18/2021	7/15/2021	18	4	14	134.60	x	
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	6/25/2021	7/19/2021	16	4	12	3,156.20	x	
MEXICAN RESTAURANT		ROTH	6/25/2021	7/19/2021	16	4	12	536.63	x	
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	7/2/2021	7/19/2021	11	4	7	794.08	x	
MEXICAN RESTAURANT		ROTH	7/2/2021	7/19/2021	11	4	7	105.21	x	
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	7/9/2021	7/19/2021	7	4	3	2,416.43	x	
MEXICAN RESTAURANT		ROTH	7/9/2021	7/19/2021	7	4	3	379.23	x	
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	7/16/2021	7/19/2021	2	4	(2)	776.90		x
MEXICAN RESTAURANT		ROTH	7/16/2021	7/19/2021	2	4	(2)	132.08		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	7/23/2021	7/27/2021	3	4	(1)	3,440.68		x
MEXICAN RESTAURANT		ROTH	7/23/2021	7/27/2021	3	4	(1)	753.21		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	7/30/2021	7/30/2021	1	4	(3)	825.42		x
MEXICAN RESTAURANT		ROTH	7/30/2021	7/30/2021	1	4	(3)	120.95		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	8/6/2021	8/16/2021	7	4	3	2,372.54	x	
MEXICAN RESTAURANT		ROTH	8/6/2021	8/16/2021	7	4	3	309.23	x	
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	8/13/2021	8/16/2021	2	4	(2)	877.61		x

MEXICAN RESTAURANT		ROTH	8/13/2021	8/16/2021	2	4	(2)	86.47		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	8/20/2021	8/23/2021	2	4	(2)	3,029.30		x
MEXICAN RESTAURANT		ROTH	8/20/2021	8/23/2021	2	4	(2)	482.40		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	8/27/2021	8/30/2021	2	4	(2)	661.05		x
MEXICAN RESTAURANT		ROTH	8/27/2021	8/30/2021	2	4	(2)	132.19		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	9/3/2021	9/10/2021	5	4	1	2,322.77		x
MEXICAN RESTAURANT		ROTH	9/3/2021	9/10/2021	5	4	1	415.09		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	9/10/2021	9/13/2021	2	4	(2)	722.97		x
MEXICAN RESTAURANT		ROTH	9/10/2021	9/13/2021	2	4	(2)	118.16		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	9/17/2021	9/17/2021	1	4	(3)	2,890.64		x
MEXICAN RESTAURANT		ROTH	9/17/2021	9/17/2021	1	4	(3)	578.91		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	9/24/2021	9/28/2021	3	4	(1)	948.37		x
MEXICAN RESTAURANT		ROTH	9/24/2021	9/28/2021	3	4	(1)	129.34		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	10/1/2021	10/6/2021	4	4	-	2,339.43		x
MEXICAN RESTAURANT		ROTH	10/1/2021	10/6/2021	4	4	-	379.23		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/8/2021	1	4	(3)	763.03		x
MEXICAN RESTAURANT		ROTH	10/8/2021	10/8/2021	1	4	(3)	105.75		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	10/15/2021	10/15/2021	1	4	(3)	2,598.43		x
MEXICAN RESTAURANT		ROTH	10/15/2021	10/15/2021	1	4	(3)	922.33		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	10/22/2021	10/22/2021	1	4	(3)	860.20		x
MEXICAN RESTAURANT		ROTH	10/22/2021	10/22/2021	1	4	(3)	145.51		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	10/29/2021	10/29/2021	1	4	(3)	2,238.66		x
MEXICAN RESTAURANT		ROTH	10/29/2021	10/29/2021	1	4	(3)	379.23		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	11/5/2021	11/5/2021	1	4	(3)	692.30		x
MEXICAN RESTAURANT		ROTH	11/5/2021	11/5/2021	1	4	(3)	103.96		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	11/12/2021	11/12/2021	1	4	(3)	1,856.64		x
MEXICAN RESTAURANT		ROTH	11/12/2021	11/12/2021	1	4	(3)	398.97		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	11/19/2021	11/22/2021	2	4	(2)	708.67		x
MEXICAN RESTAURANT		ROTH	11/19/2021	11/22/2021	2	4	(2)	90.67		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	11/26/2021	11/26/2021	1	4	(3)	1,739.78		x
MEXICAN RESTAURANT		ROTH	11/26/2021	11/26/2021	1	4	(3)	379.23		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/3/2021	1	4	(3)	616.61		x
MEXICAN RESTAURANT		ROTH	12/3/2021	12/3/2021	1	4	(3)	85.82		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	12/10/2021	12/13/2021	2	4	(2)	1,738.27		x
MEXICAN RESTAURANT		ROTH	12/10/2021	12/13/2021	2	4	(2)	379.23		x
MEXICAN RESTAURANT		EMPLOYEE SALARY DEFERRAL	12/17/2021	12/21/2021	3	4	(1)	726.14		x
MEXICAN RESTAURANT		ROTH	12/17/2021	12/21/2021	3	4	(1)	94.69		x

NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	1/15/2021	1/19/2021	1	3	(2)	3,707.92		x
NATIVE MAINE OPERATIONS I	ROTH	1/15/2021	1/19/2021	1	3	(2)	70.00		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	1/29/2021	2/1/2021	2	3	(1)	5,077.30		x
NATIVE MAINE OPERATIONS I	ROTH	1/29/2021	2/1/2021	2	3	(1)	70.00		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	2/12/2021	2/17/2021	4	3	1	3,714.48		x
NATIVE MAINE OPERATIONS I	ROTH	2/12/2021	2/17/2021	4	3	1	70.00		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	2/26/2021	3/3/2021	4	3	1	3,847.69		x
NATIVE MAINE OPERATIONS I	ROTH	2/26/2021	3/3/2021	4	3	1	70.00		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	3/12/2021	3/16/2021	3	3	-	3,820.15		x
NATIVE MAINE OPERATIONS I	ROTH	3/12/2021	3/16/2021	3	3	-	70.00		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	3/26/2021	3/25/2021	(2)	3	(5)	3,887.01		x
NATIVE MAINE OPERATIONS I	ROTH	3/26/2021	3/25/2021	(2)	3	(5)	71.11		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	4/9/2021	4/14/2021	4	3	1	3,810.65		x
NATIVE MAINE OPERATIONS I	ROTH	4/9/2021	4/14/2021	4	3	1	71.11		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	4/23/2021	4/28/2021	4	3	1	5,315.17		x
NATIVE MAINE OPERATIONS I	ROTH	4/23/2021	4/28/2021	4	3	1	85.82		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	5/5/2021	5/11/2021	5	3	2	3,848.16		x
NATIVE MAINE OPERATIONS I	ROTH	5/5/2021	5/11/2021	5	3	2	71.11		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	5/21/2021	5/21/2021	1	3	(2)	3,942.15		x
NATIVE MAINE OPERATIONS I	ROTH	5/21/2021	5/21/2021	1	3	(2)	71.11		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	6/4/2021	6/11/2021	6	3	3	4,015.62	x	
NATIVE MAINE OPERATIONS I	ROTH	6/4/2021	6/11/2021	6	3	3	71.11	x	
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	6/18/2021	6/29/2021	7	3	4	3,856.76	x	
NATIVE MAINE OPERATIONS I	ROTH	6/18/2021	6/29/2021	7	3	4	77.06	x	
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	7/2/2021	7/6/2021	2	3	(1)	3,739.34		x
NATIVE MAINE OPERATIONS I	ROTH	7/2/2021	7/6/2021	2	3	(1)	77.06		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	7/16/2021	7/19/2021	2	3	(1)	3,792.28		x
NATIVE MAINE OPERATIONS I	ROTH	7/16/2021	7/19/2021	2	3	(1)	77.06		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	7/30/2021	8/2/2021	2	3	(1)	6,211.59		x
NATIVE MAINE OPERATIONS I	ROTH	7/30/2021	8/2/2021	2	3	(1)	92.35		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	8/13/2021	8/18/2021	4	3	1	3,977.66		x
NATIVE MAINE OPERATIONS I	ROTH	8/13/2021	8/18/2021	4	3	1	77.06		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	8/27/2021	8/26/2021	(2)	3	(5)	4,038.96		x
NATIVE MAINE OPERATIONS I	ROTH	8/27/2021	8/26/2021	(2)	3	(5)	77.06		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	9/10/2021	9/13/2021	2	3	(1)	3,988.21		x
NATIVE MAINE OPERATIONS I	ROTH	9/10/2021	9/13/2021	2	3	(1)	77.06		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	9/24/2021	9/28/2021	3	3	-	3,760.21		x

NATIVE MAINE OPERATIONS I	ROTH	9/24/2021	9/28/2021	3	3	-	77.06		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	10/8/2021	10/13/2021	3	3	-	3,826.90		x
NATIVE MAINE OPERATIONS I	ROTH	10/8/2021	10/13/2021	3	3	-	77.06		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	10/22/2021	10/22/2021	1	3	(2)	6,665.06		x
NATIVE MAINE OPERATIONS I	ROTH	10/22/2021	10/22/2021	1	3	(2)	193.25		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	11/5/2021	11/8/2021	2	3	(1)	3,753.38		x
NATIVE MAINE OPERATIONS I	ROTH	11/5/2021	11/8/2021	2	3	(1)	77.06		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	11/19/2021	11/19/2021	1	3	(2)	3,809.05		x
NATIVE MAINE OPERATIONS I	ROTH	11/19/2021	11/19/2021	1	3	(2)	78.26		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	12/3/2021	12/8/2021	4	3	1	3,677.95		x
NATIVE MAINE OPERATIONS I	ROTH	12/3/2021	12/8/2021	4	3	1	78.26		x
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	12/17/2021	12/30/2021	9	3	6	4,572.42	x	
NATIVE MAINE OPERATIONS I	ROTH	12/17/2021	12/30/2021	9	3	6	103.88	x	
NATIVE MAINE OPERATIONS I	EMPLOYEE SALARY DEFERRAL	12/31/2021	12/30/2021	(1)	3	(4)	4,805.27		x
NATIVE MAINE OPERATIONS I	ROTH	12/31/2021	12/30/2021	(1)	3	(4)	148.26		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	1/1/2021	1/6/2021	3	3	-	185.59		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	1/8/2021	1/19/2021	6	3	3	175.70	x	
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	1/15/2021	1/19/2021	1	3	(2)	190.32		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	1/22/2021	2/1/2021	7	3	4	194.71	x	
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	1/29/2021	2/1/2021	2	3	(1)	188.13		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	2/5/2021	2/4/2021	(2)	3	(5)	183.74		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	2/12/2021	2/18/2021	5	3	2	186.30		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	2/19/2021	2/19/2021	1	3	(2)	191.79		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	2/26/2021	3/15/2021	12	3	9	198.00	x	
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	3/5/2021	3/15/2021	7	3	4	193.98	x	
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	3/12/2021	3/15/2021	2	3	(1)	190.32		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	3/19/2021	3/25/2021	5	3	2	196.54		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	3/26/2021	3/25/2021	(2)	3	(5)	196.91		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	4/2/2021	4/6/2021	3	3	-	192.52		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	4/9/2021	4/13/2021	3	3	-	167.29		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	4/16/2021	4/19/2021	2	3	(1)	164.00		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	4/23/2021	4/26/2021	2	3	(1)	185.21		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	4/30/2021	5/4/2021	3	3	-	179.36		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	5/7/2021	5/11/2021	3	3	-	184.48		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	5/14/2021	5/17/2021	2	3	(1)	196.54		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	5/21/2021	5/24/2021	2	3	(1)	199.10		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	5/28/2021	6/2/2021	3	3	-	185.94		x

PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	6/4/2021	6/11/2021	6	3	3	173.87	x	
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	6/11/2021	6/17/2021	5	3	2	164.00		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	6/18/2021	6/23/2021	3	3	-	173.51		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	6/25/2021	6/30/2021	4	3	1	191.06		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	7/2/2021	7/7/2021	3	3	-	179.36		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	7/9/2021	7/14/2021	4	3	1	177.89		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	7/16/2021	7/20/2021	3	3	-	190.32		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	7/23/2021	7/27/2021	3	3	-	179.72		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	7/30/2021	8/3/2021	3	3	-	186.67		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	8/6/2021	8/10/2021	3	3	-	177.53		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	8/13/2021	8/18/2021	4	3	1	189.59		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	8/20/2021	8/24/2021	3	3	-	193.62		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	8/27/2021	8/27/2021	1	3	(2)	191.42		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	9/3/2021	9/10/2021	5	3	2	164.00		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	9/10/2021	9/14/2021	3	3	-	185.21		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	9/17/2021	9/21/2021	3	3	-	166.19		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	9/24/2021	9/27/2021	2	3	(1)	164.00		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	10/1/2021	10/5/2021	3	3	-	192.52		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	10/8/2021	10/12/2021	2	3	(1)	191.06		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	10/15/2021	10/19/2021	3	3	-	198.00		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	10/22/2021	10/26/2021	3	3	-	195.08		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	10/29/2021	11/1/2021	2	3	(1)	196.18		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	11/5/2021	11/8/2021	2	3	(1)	192.52		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	11/12/2021	11/12/2021	1	3	(2)	191.42		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	11/19/2021	11/19/2021	1	3	(2)	191.79		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	11/26/2021	11/30/2021	3	3	-	186.67		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	12/3/2021	12/7/2021	3	3	-	183.01		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	12/10/2021	12/14/2021	3	3	-	195.81		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	12/17/2021	12/28/2021	7	3	4	164.00	x	
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	12/24/2021	12/28/2021	2	3	(1)	164.00		x
PW PLUMMER & SONS INC	EMPLOYEE SALARY DEFERRAL	1/7/2021	1/8/2021	2	3	(1)	2,059.11		x
PW PLUMMER & SONS INC	ROTH	1/7/2021	1/8/2021	2	3	(1)	116.06		x
PW PLUMMER & SONS INC	EMPLOYEE SALARY DEFERRAL	1/14/2021	1/15/2021	1	3	(2)	2,006.11		x
PW PLUMMER & SONS INC	ROTH	1/14/2021	1/15/2021	1	3	(2)	98.09		x
PW PLUMMER & SONS INC	EMPLOYEE SALARY DEFERRAL	1/21/2021	1/22/2021	2	3	(1)	2,082.94		x
PW PLUMMER & SONS INC	ROTH	1/21/2021	1/22/2021	2	3	(1)	86.05		x
PW PLUMMER & SONS INC	EMPLOYEE SALARY DEFERRAL	1/28/2021	1/28/2021	1	3	(2)	1,839.91		x

PW PLUMMER & SONS INC		ROTH	1/28/2021	1/28/2021	1	3	(2)	92.32		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	1/29/2021	1/28/2021	(2)	3	(5)	2,860.22		x
PW PLUMMER & SONS INC		ROTH	1/29/2021	1/28/2021	(2)	3	(5)	49.20		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	2/4/2021	2/5/2021	2	3	(1)	2,284.37		x
PW PLUMMER & SONS INC		ROTH	2/4/2021	2/5/2021	2	3	(1)	44.08		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	2/11/2021	2/12/2021	2	3	(1)	2,286.03		x
PW PLUMMER & SONS INC		ROTH	2/11/2021	2/12/2021	2	3	(1)	31.26		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	2/18/2021	2/19/2021	2	3	(1)	2,276.69		x
PW PLUMMER & SONS INC		ROTH	2/18/2021	2/19/2021	2	3	(1)	30.42		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	2/25/2021	2/26/2021	2	3	(1)	2,349.84		x
PW PLUMMER & SONS INC		ROTH	2/25/2021	2/26/2021	2	3	(1)	95.27		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	3/4/2021	3/11/2021	6	3	3	2,333.02	x	
PW PLUMMER & SONS INC		ROTH	3/4/2021	3/11/2021	6	3	3	12.28	x	
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	3/11/2021	3/15/2021	3	3	-	2,274.30		x
PW PLUMMER & SONS INC		ROTH	3/11/2021	3/15/2021	3	3	-	48.05		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	3/18/2021	3/23/2021	4	3	1	2,312.27		x
PW PLUMMER & SONS INC		ROTH	3/18/2021	3/23/2021	4	3	1	41.69		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	3/25/2021	3/25/2021	1	3	(2)	2,152.06		x
PW PLUMMER & SONS INC		ROTH	3/25/2021	3/25/2021	1	3	(2)	40.97		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	4/1/2021	4/8/2021	6	3	3	2,295.41	x	
PW PLUMMER & SONS INC		ROTH	4/1/2021	4/8/2021	6	3	3	28.52	x	
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	4/8/2021	4/13/2021	4	3	1	2,112.27		x
PW PLUMMER & SONS INC		ROTH	4/8/2021	4/13/2021	4	3	1	33.85		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	4/15/2021	4/15/2021	1	3	(2)	2,118.67		x
PW PLUMMER & SONS INC		ROTH	4/15/2021	4/15/2021	1	3	(2)	32.70		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	4/22/2021	4/28/2021	5	3	2	2,013.90		x
PW PLUMMER & SONS INC		ROTH	4/22/2021	4/28/2021	5	3	2	41.20		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	4/29/2021	4/30/2021	2	3	(1)	2,171.41		x
PW PLUMMER & SONS INC		ROTH	4/29/2021	4/30/2021	2	3	(1)	145.14		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	5/6/2021	5/11/2021	4	3	1	2,115.36		x
PW PLUMMER & SONS INC		ROTH	5/6/2021	5/11/2021	4	3	1	55.26		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	5/20/2021	5/18/2021	(3)	3	(6)	2,282.91		x
PW PLUMMER & SONS INC		ROTH	5/20/2021	5/18/2021	(3)	3	(6)	70.38		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	5/20/2021	5/25/2021	4	3	1	2,237.86		x
PW PLUMMER & SONS INC		ROTH	5/20/2021	5/25/2021	4	3	1	61.95		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	5/27/2021	5/28/2021	2	3	(1)	2,509.70		x
PW PLUMMER & SONS INC		ROTH	5/27/2021	5/28/2021	2	3	(1)	105.99		x

PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	6/3/2021	6/7/2021	3	3	-	2,336.01		x
PW PLUMMER & SONS INC		ROTH	6/3/2021	6/7/2021	3	3	-	106.29		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	6/10/2021	6/15/2021	4	3	1	2,410.35		x
PW PLUMMER & SONS INC		ROTH	6/10/2021	6/15/2021	4	3	1	90.93		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	6/17/2021	6/21/2021	2	3	(1)	2,322.18		x
PW PLUMMER & SONS INC		ROTH	6/17/2021	6/21/2021	2	3	(1)	121.20		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	6/24/2021	6/25/2021	2	3	(1)	2,347.39		x
PW PLUMMER & SONS INC		ROTH	6/24/2021	6/25/2021	2	3	(1)	100.99		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	7/1/2021	7/6/2021	3	3	-	2,292.31		x
PW PLUMMER & SONS INC		ROTH	7/1/2021	7/6/2021	3	3	-	50.35		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	7/8/2021	7/13/2021	4	3	1	2,400.92		x
PW PLUMMER & SONS INC		ROTH	7/8/2021	7/13/2021	4	3	1	72.91		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	7/15/2021	7/22/2021	6	3	3	2,290.40	x	
PW PLUMMER & SONS INC		ROTH	7/15/2021	7/22/2021	6	3	3	101.83	x	
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	7/22/2021	7/27/2021	4	3	1	2,379.67		x
PW PLUMMER & SONS INC		ROTH	7/22/2021	7/27/2021	4	3	1	135.11		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	7/29/2021	8/2/2021	3	3	-	2,325.87		x
PW PLUMMER & SONS INC		ROTH	7/29/2021	8/2/2021	3	3	-	153.11		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	8/5/2021	8/9/2021	3	3	-	2,366.58		x
PW PLUMMER & SONS INC		ROTH	8/5/2021	8/9/2021	3	3	-	134.78		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	8/19/2021	8/24/2021	4	3	1	2,473.48		x
PW PLUMMER & SONS INC		ROTH	8/19/2021	8/24/2021	4	3	1	121.91		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	8/26/2021	8/31/2021	4	3	1	2,321.32		x
PW PLUMMER & SONS INC		ROTH	8/26/2021	8/31/2021	4	3	1	101.84		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	9/2/2021	9/8/2021	4	3	1	2,442.92		x
PW PLUMMER & SONS INC		ROTH	9/2/2021	9/8/2021	4	3	1	143.36		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	9/9/2021	9/14/2021	4	3	1	2,394.42		x
PW PLUMMER & SONS INC		ROTH	9/9/2021	9/14/2021	4	3	1	127.90		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	9/16/2021	9/20/2021	3	3	-	2,385.11		x
PW PLUMMER & SONS INC		ROTH	9/16/2021	9/20/2021	3	3	-	68.44		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	9/23/2021	9/24/2021	2	3	(1)	2,270.62		x
PW PLUMMER & SONS INC		ROTH	9/23/2021	9/24/2021	2	3	(1)	86.10		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	9/30/2021	10/4/2021	3	3	-	2,306.28		x
PW PLUMMER & SONS INC		ROTH	9/30/2021	10/4/2021	3	3	-	77.73		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	10/7/2021	10/14/2021	5	3	2	2,304.07		x
PW PLUMMER & SONS INC		ROTH	10/7/2021	10/14/2021	5	3	2	112.32		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	10/14/2021	10/19/2021	4	3	1	2,388.26		x

PW PLUMMER & SONS INC		ROTH	10/14/2021	10/19/2021	4	3	1	54.99		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	10/21/2021	10/22/2021	2	3	(1)	2,370.94		x
PW PLUMMER & SONS INC		ROTH	10/21/2021	10/22/2021	2	3	(1)	87.08		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	10/28/2021	11/1/2021	3	3	-	2,383.80		x
PW PLUMMER & SONS INC		ROTH	10/28/2021	11/1/2021	3	3	-	42.83		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	11/4/2021	11/8/2021	3	3	-	2,290.88		x
PW PLUMMER & SONS INC		ROTH	11/4/2021	11/8/2021	3	3	-	102.23		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	11/10/2021	11/12/2021	2	3	(1)	2,384.18		x
PW PLUMMER & SONS INC		ROTH	11/10/2021	11/12/2021	2	3	(1)	71.82		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	11/18/2021	11/19/2021	2	3	(1)	2,295.72		x
PW PLUMMER & SONS INC		ROTH	11/18/2021	11/19/2021	2	3	(1)	114.84		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	11/24/2021	11/30/2021	4	3	1	2,376.64		x
PW PLUMMER & SONS INC		ROTH	11/24/2021	11/30/2021	4	3	1	70.79		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	12/2/2021	12/6/2021	3	3	-	2,252.53		x
PW PLUMMER & SONS INC		ROTH	12/2/2021	12/6/2021	3	3	-	111.78		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	12/9/2021	12/9/2021	1	3	(2)	2,340.99		x
PW PLUMMER & SONS INC		ROTH	12/9/2021	12/9/2021	1	3	(2)	84.47		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	12/16/2021	12/22/2021	5	3	2	2,185.43		x
PW PLUMMER & SONS INC		ROTH	12/16/2021	12/22/2021	5	3	2	119.87		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	12/17/2021	12/22/2021	4	3	1	1,310.75		x
PW PLUMMER & SONS INC		ROTH	12/17/2021	12/22/2021	4	3	1	50.00		x
PW PLUMMER & SONS INC		EMPLOYEE SALARY DEFERRAL	12/23/2021	12/23/2021	1	3	(2)	2,331.86		x
PW PLUMMER & SONS INC		ROTH	12/23/2021	12/23/2021	1	3	(2)	81.36		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	2/5/2021	3/4/2021	20	9	11	741.50	x	
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	2/19/2021	2/25/2021	5	9	(4)	741.50		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	3/5/2021	3/12/2021	6	9	(3)	473.60		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	3/19/2021	3/31/2021	9	9	-	1,688.70		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	4/2/2021	4/13/2021	8	9	(1)	837.07		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	4/16/2021	4/23/2021	6	9	(3)	837.07		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	4/30/2021	5/7/2021	6	9	(3)	837.07		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	5/14/2021	5/21/2021	6	9	(3)	837.07		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	5/28/2021	6/11/2021	10	9	1	837.07		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	6/11/2021	6/21/2021	6	9	(3)	837.07		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	6/25/2021	7/6/2021	7	9	(2)	837.07		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	7/9/2021	7/16/2021	6	9	(3)	837.07		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	7/23/2021	7/28/2021	4	9	(5)	858.24		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	8/6/2021	8/13/2021	6	9	(3)	868.89		x

RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	8/20/2021	8/20/2021	1	9	(8)	868.89		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	9/3/2021	9/10/2021	5	9	(4)	868.89		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	9/17/2021	9/23/2021	5	9	(4)	868.89		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	10/1/2021	10/6/2021	4	9	(5)	868.89		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	10/15/2021	10/15/2021	1	9	(8)	868.89		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	10/29/2021	11/9/2021	8	9	(1)	868.89		x
RETAIL ASSOCIATION OF MAI		EMPLOYEE SALARY DEFERRAL	11/12/2021	11/15/2021	2	9	(7)	868.89		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	5/21/2021	6/3/2021	9	3	6	377.72	x	
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	5/28/2021	6/3/2021	4	3	1	380.86		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	6/4/2021	6/16/2021	9	3	6	375.58	x	
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	6/11/2021	6/25/2021	10	3	7	376.40	x	
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	6/18/2021	7/9/2021	14	3	11	376.65	x	
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	6/25/2021	6/25/2021	1	3	(2)	375.91		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	7/2/2021	7/9/2021	5	3	2	376.24		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	7/9/2021	7/13/2021	3	3	-	370.96		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	7/16/2021	7/26/2021	7	3	4	371.45	x	
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	7/23/2021	7/26/2021	2	3	(1)	370.62		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	7/30/2021	7/30/2021	1	3	(2)	377.88		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	8/6/2021	8/5/2021	(2)	3	(5)	374.58		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	8/13/2021	8/13/2021	1	3	(2)	370.63		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	8/20/2021	8/20/2021	1	3	(2)	371.25		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	8/27/2021	8/27/2021	1	3	(2)	374.14		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	9/3/2021	9/2/2021	(2)	3	(5)	373.76		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	9/10/2021	9/14/2021	3	3	-	375.74		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	9/17/2021	9/21/2021	3	3	-	375.98		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	9/24/2021	9/23/2021	(2)	3	(5)	372.56		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	10/1/2021	10/4/2021	2	3	(1)	372.69		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	10/8/2021	10/11/2021	1	3	(2)	377.14		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	10/15/2021	10/14/2021	(2)	3	(5)	374.50		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	10/22/2021	10/20/2021	(3)	3	(6)	372.21		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	10/29/2021	11/3/2021	4	3	1	375.38		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	11/5/2021	11/3/2021	(3)	3	(6)	374.15		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	11/12/2021	11/11/2021	(1)	3	(4)	372.56		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	11/19/2021	11/29/2021	6	3	3	372.56	x	
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	11/26/2021	11/29/2021	2	3	(1)	376.44		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	12/3/2021	12/6/2021	2	3	(1)	370.09		x
ROSE AND DOVE GOURMET LL		EMPLOYEE SALARY DEFERRAL	12/10/2021	12/21/2021	8	3	5	375.91	x	

ROSE AND DOVE GOURMET LL	EMPLOYEE SALARY DEFERRAL	12/17/2021	12/21/2021	3	3	-	372.38		x
ROSE AND DOVE GOURMET LL	EMPLOYEE SALARY DEFERRAL	12/26/2021	12/30/2021	4	3	1	372.11		x
ROWAN INC	EMPLOYEE SALARY DEFERRAL	10/29/2021	12/27/2021	39	14	25	8,611.69	x	
ROWAN INC	ROTH	10/29/2021	12/27/2021	39	14	25	936.28	x	
ROWAN INC	EMPLOYEE SALARY DEFERRAL	11/26/2021	12/1/2021	4	14	(10)	16,390.62		x
ROWAN INC	ROTH	11/26/2021	12/1/2021	4	14	(10)	1,826.80		x
ROWAN INC	EMPLOYEE SALARY DEFERRAL	12/10/2021	12/27/2021	11	14	(3)	8,143.58		x
ROWAN INC	ROTH	12/10/2021	12/27/2021	11	14	(3)	1,030.13		x
ROWAN INC	EMPLOYEE SALARY DEFERRAL	12/23/2021	12/23/2021	1	14	(13)	8,684.50		x
ROWAN INC	ROTH	12/23/2021	12/23/2021	1	14	(13)	1,069.16		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	6/25/2021	6/25/2021	1	1	-	428.29		x
SALEM CYCLE INC	ROTH	6/25/2021	6/25/2021	1	1	-	278.09		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	7/9/2021	7/9/2021	1	1	-	342.76		x
SALEM CYCLE INC	ROTH	7/9/2021	7/9/2021	1	1	-	358.95		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	7/23/2021	7/23/2021	1	1	-	430.54		x
SALEM CYCLE INC	ROTH	7/23/2021	7/23/2021	1	1	-	365.95		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	8/6/2021	8/5/2021	(2)	1	(3)	426.97		x
SALEM CYCLE INC	ROTH	8/6/2021	8/5/2021	(2)	1	(3)	366.49		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	8/20/2021	8/20/2021	1	1	-	411.38		x
SALEM CYCLE INC	ROTH	8/20/2021	8/20/2021	1	1	-	611.84		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	9/3/2021	9/3/2021	1	1	-	405.35		x
SALEM CYCLE INC	ROTH	9/3/2021	9/3/2021	1	1	-	341.50		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	9/17/2021	9/20/2021	2	1	1	492.87		x
SALEM CYCLE INC	ROTH	9/17/2021	9/20/2021	2	1	1	364.64		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	10/1/2021	10/4/2021	2	1	1	459.62		x
SALEM CYCLE INC	ROTH	10/1/2021	10/4/2021	2	1	1	469.38		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	10/15/2021	10/14/2021	(2)	1	(3)	455.10		x
SALEM CYCLE INC	ROTH	10/15/2021	10/14/2021	(2)	1	(3)	468.75		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	10/29/2021	10/29/2021	1	1	-	451.57		x
SALEM CYCLE INC	ROTH	10/29/2021	10/29/2021	1	1	-	470.38		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	11/12/2021	11/11/2021	(1)	1	(2)	340.10		x
SALEM CYCLE INC	ROTH	11/12/2021	11/11/2021	(1)	1	(2)	405.42		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	11/26/2021	11/26/2021	1	1	-	328.11		x
SALEM CYCLE INC	ROTH	11/26/2021	11/26/2021	1	1	-	5,301.80		x
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	12/10/2021	12/15/2021	4	1	3	486.55	x	
SALEM CYCLE INC	ROTH	12/10/2021	12/15/2021	4	1	3	5,307.16	x	
SALEM CYCLE INC	EMPLOYEE SALARY DEFERRAL	12/24/2021	12/28/2021	2	1	1	359.45		x

SALEM CYCLE INC		ROTH	12/24/2021	12/28/2021	2	1	1	5,307.57		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	12/30/2020	1/6/2021	5	6	(1)	1,200.60		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	1/6/2021	1/13/2021	6	6	-	1,190.28		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	1/13/2021	1/21/2021	5	6	(1)	1,201.04		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	1/20/2021	1/27/2021	6	6	-	1,211.14		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	1/27/2021	2/3/2021	6	6	-	1,220.75		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	2/3/2021	2/12/2021	8	6	2	1,215.57		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	2/10/2021	2/18/2021	7	6	1	1,207.30		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	2/17/2021	2/24/2021	6	6	-	1,208.44		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	2/24/2021	3/5/2021	8	6	2	1,202.62		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	3/3/2021	3/12/2021	8	6	2	1,209.41		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	3/10/2021	3/18/2021	7	6	1	1,210.34		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	3/17/2021	3/25/2021	7	6	1	1,208.44		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	3/24/2021	3/29/2021	4	6	(2)	1,231.84		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	3/31/2021	4/13/2021	10	6	4	1,228.45	x	
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	4/7/2021	4/14/2021	6	6	-	1,214.65		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	4/14/2021	4/22/2021	7	6	1	1,225.78		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	4/21/2021	4/28/2021	6	6	-	1,223.41		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	4/28/2021	5/6/2021	7	6	1	1,195.56		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	5/5/2021	5/12/2021	6	6	-	1,219.46		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	5/12/2021	5/20/2021	7	6	1	1,227.68		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	5/19/2021	5/26/2021	6	6	-	1,224.33		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	5/26/2021	6/4/2021	7	6	1	1,200.55		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	6/3/2021	6/15/2021	9	6	3	1,216.70	x	
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	6/9/2021	6/18/2021	7	6	1	1,199.24		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	6/16/2021	6/24/2021	6	6	-	1,192.06		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	6/23/2021	7/1/2021	7	6	1	1,211.15		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	6/30/2021	7/9/2021	7	6	1	1,226.06		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	7/7/2021	7/14/2021	6	6	-	1,220.57		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	7/14/2021	7/21/2021	6	6	-	1,209.36		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	7/21/2021	7/27/2021	5	6	(1)	1,201.81		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	7/28/2021	8/4/2021	6	6	-	1,206.86		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	8/5/2021	8/12/2021	6	6	-	1,211.81		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	8/11/2021	8/19/2021	7	6	1	1,203.63		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	8/18/2021	8/24/2021	5	6	(1)	1,438.42		x
SENECA MACHINE INC		ROTH	8/18/2021	8/24/2021	5	6	(1)	966.99		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	8/18/2021	9/7/2021	14	6	8	1,195.74	x	

SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	8/24/2021	8/24/2021	1	6	(5)	(1,438.42)		x
SENECA MACHINE INC		ROTH	8/24/2021	8/24/2021	1	6	(5)	(966.99)		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	8/25/2021	8/31/2021	5	6	(1)	1,199.24		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	9/1/2021	9/9/2021	6	6	-	863.82		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	9/8/2021	9/17/2021	8	6	2	1,251.00		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	9/15/2021	9/22/2021	6	6	-	1,249.52		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	9/22/2021	9/27/2021	4	6	(2)	1,250.99		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	9/29/2021	10/6/2021	6	6	-	1,263.09		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	10/6/2021	10/12/2021	4	6	(2)	1,248.61		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	10/13/2021	10/19/2021	5	6	(1)	1,250.90		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	10/20/2021	10/25/2021	4	6	(2)	1,255.98		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	10/27/2021	11/2/2021	5	6	(1)	1,244.27		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	11/3/2021	11/9/2021	5	6	(1)	1,238.93		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	11/10/2021	11/15/2021	3	6	(3)	1,232.51		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	11/17/2021	11/19/2021	3	6	(3)	1,238.44		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	11/23/2021	12/2/2021	7	6	1	1,259.60		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	12/1/2021	12/7/2021	5	6	(1)	1,243.69		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	12/8/2021	12/13/2021	4	6	(2)	1,274.85		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	12/15/2021	12/21/2021	5	6	(1)	1,256.08		x
SENECA MACHINE INC		EMPLOYEE SALARY DEFERRAL	12/22/2021	12/28/2021	4	6	(2)	1,262.63		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	9/13/2021	9/15/2021	3	2	1	292.09		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	9/27/2021	9/30/2021	4	2	2	292.09		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	10/11/2021	10/13/2021	2	2	-	292.09		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	10/25/2021	10/26/2021	2	2	-	337.09		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	11/8/2021	11/9/2021	2	2	-	492.09		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	11/22/2021	11/24/2021	3	2	1	499.78		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	12/6/2021	12/7/2021	2	2	-	499.78		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	12/13/2021	12/15/2021	3	2	1	144.23		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	12/20/2021	12/22/2021	3	2	1	680.55		x
SOUTHERN RESTAURANT MANAG		EMPLOYEE SALARY DEFERRAL	1/3/2022	12/31/2021	(1)	2	(3)	539.01		x
THE MEDICAL STORE		EMPLOYEE SALARY DEFERRAL	1/13/2021	1/13/2021	1	8	(7)	1,053.18		x
THE MEDICAL STORE		ROTH	1/13/2021	1/13/2021	1	8	(7)	542.54		x
THE MEDICAL STORE		EMPLOYEE SALARY DEFERRAL	1/27/2021	2/2/2021	5	8	(3)	953.74		x
THE MEDICAL STORE		ROTH	1/27/2021	2/2/2021	5	8	(3)	392.87		x
THE MEDICAL STORE		EMPLOYEE SALARY DEFERRAL	2/10/2021	3/23/2021	30	8	22	953.56	x	
THE MEDICAL STORE		ROTH	2/10/2021	3/23/2021	30	8	22	393.11	x	
THE MEDICAL STORE		EMPLOYEE SALARY DEFERRAL	2/24/2021	3/5/2021	8	8	-	1,299.23		x

THE MEDICAL STORE		ROTH	2/24/2021	3/5/2021	8	8	-	738.54		x
THE MEDICAL STORE		EMPLOYEE SALARY DEFERRAL	3/10/2021	3/18/2021	7	8	(1)	954.80		x
THE MEDICAL STORE		ROTH	3/10/2021	3/18/2021	7	8	(1)	150.06		x
THE MEDICAL STORE		EMPLOYEE SALARY DEFERRAL	3/24/2021	3/25/2021	2	8	(6)	915.97		x
THE MEDICAL STORE		ROTH	3/24/2021	3/25/2021	2	8	(6)	153.47		x
THE MEDICAL STORE		EMPLOYEE SALARY DEFERRAL	4/7/2021	4/15/2021	7	8	(1)	915.62		x
THE MEDICAL STORE		ROTH	4/7/2021	4/15/2021	7	8	(1)	154.20		x
THE MEDICAL STORE		EMPLOYEE SALARY DEFERRAL	4/21/2021	4/27/2021	5	8	(3)	920.99		x
THE MEDICAL STORE		ROTH	4/21/2021	4/27/2021	5	8	(3)	150.22		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	5/27/2021	6/15/2021	13	1	12	309.54	x	
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	6/3/2021	6/15/2021	9	1	8	306.49	x	
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	6/10/2021	6/18/2021	6	1	5	303.05	x	
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	6/17/2021	6/24/2021	5	1	4	294.54	x	
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	6/24/2021	6/24/2021	1	1	-	2,050.49		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	7/1/2021	7/1/2021	1	1	-	308.62		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	7/8/2021	7/8/2021	1	1	-	310.37		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	7/15/2021	7/15/2021	1	1	-	306.21		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	7/22/2021	7/22/2021	1	1	-	300.02		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	7/29/2021	7/28/2021	(2)	1	(3)	295.37		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	8/5/2021	8/5/2021	1	1	-	313.35		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	8/12/2021	8/13/2021	2	1	1	302.02		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	8/19/2021	8/19/2021	1	1	-	308.10		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	8/26/2021	8/31/2021	4	1	3	307.95	x	
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	9/2/2021	9/2/2021	1	1	-	296.90		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	9/9/2021	9/8/2021	(2)	1	(3)	309.08		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	9/16/2021	9/15/2021	(2)	1	(3)	312.90		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	9/23/2021	9/22/2021	(2)	1	(3)	305.81		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	9/30/2021	9/30/2021	1	1	-	299.92		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	10/7/2021	10/5/2021	(3)	1	(4)	306.43		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	10/14/2021	10/20/2021	5	1	4	305.38	x	
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	10/21/2021	10/22/2021	2	1	1	305.64		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	10/28/2021	10/27/2021	(2)	1	(3)	307.13		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	11/4/2021	11/3/2021	(2)	1	(3)	316.31		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	11/10/2021	11/10/2021	1	1	-	339.53		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	11/18/2021	11/17/2021	(2)	1	(3)	338.47		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	11/24/2021	11/24/2021	1	1	-	339.82		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	12/2/2021	12/1/2021	(2)	1	(3)	336.83		x

TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	12/9/2021	12/8/2021	(2)	1	(3)	334.76		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	12/16/2021	12/15/2021	(2)	1	(3)	365.32		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	12/23/2021	12/23/2021	1	1	-	1,041.81		x
TOP FURNITURE INC		EMPLOYEE SALARY DEFERRAL	12/30/2021	12/29/2021	(2)	1	(3)	350.91		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	12/29/2020	1/7/2021	7	6	1	2,975.32		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	1/5/2021	1/13/2021	7	6	1	5,055.30		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	1/12/2021	1/20/2021	5	6	(1)	3,280.45		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	1/19/2021	1/26/2021	6	6	-	3,278.99		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	1/26/2021	2/1/2021	5	6	(1)	3,284.89		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	2/2/2021	2/19/2021	14	6	8	3,299.25	x	
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	2/9/2021	2/18/2021	8	6	2	3,261.33		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	2/16/2021	2/26/2021	9	6	3	3,322.40	x	
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	2/23/2021	3/11/2021	13	6	7	3,275.16	x	
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	3/2/2021	3/12/2021	9	6	3	3,281.53	x	
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	3/9/2021	3/12/2021	4	6	(2)	3,253.80		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	3/16/2021	3/24/2021	7	6	1	3,375.43		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	3/23/2021	3/31/2021	7	6	1	3,474.53		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	3/30/2021	4/13/2021	11	6	5	5,934.33	x	
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	4/6/2021	4/15/2021	8	6	2	5,014.05		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	4/13/2021	4/22/2021	8	6	2	4,061.90		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	4/20/2021	4/22/2021	3	6	(3)	3,779.09		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	4/27/2021	5/6/2021	8	6	2	3,712.43		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	5/4/2021	5/12/2021	7	6	1	3,684.47		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	5/11/2021	5/19/2021	7	6	1	3,584.85		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	5/19/2021	5/27/2021	7	6	1	3,911.53		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	5/26/2021	6/1/2021	4	6	(2)	3,782.56		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	6/1/2021	6/8/2021	6	6	-	3,763.34		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	6/9/2021	6/16/2021	6	6	-	3,751.86		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	6/16/2021	6/22/2021	4	6	(2)	4,344.53		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	6/23/2021	6/28/2021	4	6	(2)	3,844.79		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	6/29/2021	7/6/2021	5	6	(1)	3,888.05		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	7/7/2021	7/12/2021	4	6	(2)	3,881.50		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	7/14/2021	7/20/2021	5	6	(1)	3,879.49		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	7/21/2021	7/26/2021	4	6	(2)	4,197.08		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	7/27/2021	7/30/2021	4	6	(2)	4,022.19		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	8/4/2021	8/9/2021	4	6	(2)	3,861.96		x
UNION FARM EQUIPMENT INC		EMPLOYEE SALARY DEFERRAL	8/10/2021	8/17/2021	6	6	-	3,863.85		x

UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	8/18/2021	8/23/2021	4	6	(2)	3,863.98		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	8/25/2021	8/30/2021	4	6	(2)	4,213.80		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	9/1/2021	9/8/2021	5	6	(1)	3,903.39		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	9/8/2021	9/14/2021	5	6	(1)	3,869.71		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	9/15/2021	9/22/2021	6	6	-	4,040.89		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	9/22/2021	9/27/2021	4	6	(2)	3,851.78		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	9/29/2021	10/8/2021	8	6	2	3,348.18		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	10/6/2021	10/13/2021	5	6	(1)	3,663.47		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	10/12/2021	10/20/2021	7	6	1	3,779.46		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	10/20/2021	11/1/2021	9	6	3	3,938.70	x	
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	10/26/2021	11/3/2021	7	6	1	4,009.57		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	11/3/2021	11/5/2021	3	6	(3)	3,811.71		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	11/9/2021	11/15/2021	4	6	(2)	3,812.16		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	11/17/2021	11/19/2021	3	6	(3)	3,880.69		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	11/23/2021	12/1/2021	6	6	-	4,053.70		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	12/1/2021	12/7/2021	5	6	(1)	3,847.74		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	12/7/2021	12/9/2021	3	6	(3)	3,885.25		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	12/15/2021	12/21/2021	5	6	(1)	3,844.66		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	1/1/2021	1/5/2021	2	4	(2)	584.65		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	1/8/2021	1/11/2021	2	4	(2)	791.96		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	1/15/2021	1/19/2021	1	4	(3)	849.47		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	1/22/2021	1/26/2021	3	4	(1)	840.47		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	1/29/2021	2/1/2021	2	4	(2)	814.43		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	2/5/2021	2/9/2021	3	4	(1)	820.98		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	2/12/2021	2/18/2021	5	4	1	818.78		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	2/19/2021	2/23/2021	3	4	(1)	805.01		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	2/26/2021	3/4/2021	5	4	1	808.23		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	3/5/2021	3/11/2021	5	4	1	813.47		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	3/12/2021	3/17/2021	4	4	-	814.91		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	3/19/2021	3/23/2021	3	4	(1)	819.25		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	3/26/2021	3/29/2021	2	4	(2)	825.27		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	4/2/2021	4/9/2021	6	4	2	827.27		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	4/9/2021	4/13/2021	3	4	(1)	839.52		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	4/16/2021	4/19/2021	2	4	(2)	814.03		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	4/23/2021	4/26/2021	2	4	(2)	1,453.63		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	4/30/2021	5/3/2021	2	4	(2)	811.87		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	5/7/2021	5/11/2021	3	4	(1)	812.99		x

WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	5/14/2021	5/20/2021	5	4	1	811.21		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	5/21/2021	5/27/2021	5	4	1	852.12		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	5/28/2021	6/3/2021	4	4	-	858.72		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	5/30/2021	6/11/2021	9	4	5	851.23	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	6/11/2021	6/17/2021	5	4	1	859.35		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	6/13/2021	6/23/2021	7	4	3	855.47	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	6/20/2021	6/30/2021	8	4	4	864.76	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	6/27/2021	7/7/2021	7	4	3	856.96	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	7/4/2021	7/14/2021	7	4	3	1,651.33	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	7/11/2021	7/20/2021	7	4	3	853.64	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	7/18/2021	7/27/2021	7	4	3	872.80	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	7/25/2021	8/4/2021	8	4	4	873.46	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	8/1/2021	8/10/2021	7	4	3	882.43	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	8/13/2021	8/19/2021	5	4	1	1,051.16		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	8/20/2021	8/24/2021	3	4	(1)	1,058.48		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	8/27/2021	9/2/2021	5	4	1	1,037.02		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	9/3/2021	9/8/2021	3	4	(1)	1,048.21		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	9/5/2021	9/16/2021	8	4	4	1,064.54	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	9/17/2021	9/21/2021	3	4	(1)	1,052.67		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	9/24/2021	9/28/2021	3	4	(1)	1,213.71		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	10/1/2021	10/4/2021	2	4	(2)	1,044.34		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	10/8/2021	10/12/2021	2	4	(2)	1,052.36		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	10/10/2021	10/19/2021	6	4	2	2,188.15		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	10/22/2021	10/25/2021	2	4	(2)	1,048.65		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	10/29/2021	11/1/2021	2	4	(2)	1,043.54		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	11/5/2021	11/8/2021	2	4	(2)	1,103.43		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	11/12/2021	11/15/2021	2	4	(2)	1,093.94		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	11/19/2021	11/22/2021	2	4	(2)	1,164.41		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	11/26/2021	11/29/2021	2	4	(2)	1,107.81		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	11/28/2021	12/7/2021	7	4	3	1,110.54	x	
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	12/10/2021	12/13/2021	2	4	(2)	1,102.04		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	12/17/2021	12/21/2021	3	4	(1)	2,327.84		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	12/24/2021	12/28/2021	2	4	(2)	644.88		x
WILCOXSON ENTERPRISES INC	EMPLOYEE SALARY DEFERRAL	12/31/2021	12/31/2021	-	4	(4)	654.87		x
FF CLUB MANAGEMENT LLC	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/5/2022	3	3	-	883.42		x
FF CLUB MANAGEMENT LLC	ROTH	12/31/2021	1/5/2022	3	3	-	60.78		x
FLIPPIN HOSPITALITY GROUP	EMPLOYEE SALARY DEFERRAL	1/31/2021	2/1/2022	253	25	228	1,122.35	x	

GOOD SPORTSMAN MARKETING	EMPLOYEE SALARY DEFERRAL	12/15/2021	1/6/2022	15	15	-	16,525.36		x
GOOD SPORTSMAN MARKETING	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/13/2022	9	15	(6)	588.53		x
GRAY WEALTH MANAGEMENT IN	EMPLOYEE SALARY DEFERRAL	11/5/2021	1/7/2022	42	13	29	800.00	x	
GRAY WEALTH MANAGEMENT IN	EMPLOYEE SALARY DEFERRAL	12/17/2021	1/6/2022	13	13	-	1,167.81		x
GRAY WEALTH MANAGEMENT IN	ROTH	12/17/2021	1/6/2022	13	13	-	28.00		x
GRAY WEALTH MANAGEMENT IN	EMPLOYEE SALARY DEFERRAL	12/20/2021	1/6/2022	12	13	(1)	507.17		x
GRAY WEALTH MANAGEMENT IN	ROTH	12/20/2021	1/6/2022	12	13	(1)	202.87		x
GRAY WEALTH MANAGEMENT IN	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/6/2022	4	13	(9)	1,154.81		x
GRAY WEALTH MANAGEMENT IN	ROTH	12/31/2021	1/6/2022	4	13	(9)	28.00		x
HOSPITALITY RESOURCES LLC	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/7/2022	5	5	-	864.65		x
HOSPITALITY RESOURCES LLC	ROTH	12/31/2021	1/7/2022	5	5	-	226.50		x
HUTCHINSON OIL COMPANY LL	EMPLOYEE SALARY DEFERRAL	12/30/2021	1/10/2022	7	4	3	1,285.12	x	
HUTCHINSON OIL COMPANY LL	ROTH	12/30/2021	1/10/2022	7	4	3	356.15	x	
INNOVATIVE DISTRIBUTION	EMPLOYEE SALARY DEFERRAL	12/10/2021	5/16/2022	110	13	97	1,058.84	x	
INNOVATIVE DISTRIBUTION	ROTH	12/10/2021	5/16/2022	110	13	97	70.17	x	
INNOVATIVE DISTRIBUTION	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/3/2022	1	13	(12)	1,066.29		x
INNOVATIVE DISTRIBUTION	ROTH	12/31/2021	1/3/2022	1	13	(12)	95.67		x
LEMON BROOKE LLC	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/4/2022	2	4	(2)	736.80		x
LOCAL GRINDS	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/7/2022	5	6	(1)	2,658.33		x
LOUIS J PARADIS INC	EMPLOYEE SALARY DEFERRAL	7/21/2021	1/27/2022	131	10	121	1,949.03	x	
LOUIS J PARADIS INC	EMPLOYEE SALARY DEFERRAL	12/29/2021	1/20/2022	16	10	6	1,936.42	x	
MEGA SCOOP	EMPLOYEE SALARY DEFERRAL	11/8/2021	1/4/2022	38	5	33	1,117.23	x	
MEXICAN RESTAURANT	EMPLOYEE SALARY DEFERRAL	12/24/2021	1/4/2022	6	4	2	1,919.89		x
MEXICAN RESTAURANT	ROTH	12/24/2021	1/4/2022	6	4	2	522.62		x
MEXICAN RESTAURANT	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/4/2022	2	4	(2)	629.92		x
MEXICAN RESTAURANT	ROTH	12/31/2021	1/4/2022	2	4	(2)	70.64		x
PAQUET & SON DRYWALL INC	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/7/2022	5	3	2	164.00		x
PW PLUMMER & SONS INC	EMPLOYEE SALARY DEFERRAL	12/30/2021	1/6/2022	5	3	2	2,364.92		x
PW PLUMMER & SONS INC	ROTH	12/30/2021	1/6/2022	5	3	2	110.02		x
RETAIL ASSOCIATION OF MAI	EMPLOYEE SALARY DEFERRAL	11/26/2021	1/26/2022	42	9	33	868.89	x	
RETAIL ASSOCIATION OF MAI	EMPLOYEE SALARY DEFERRAL	12/10/2021	1/26/2022	32	9	23	868.89	x	
RETAIL ASSOCIATION OF MAI	EMPLOYEE SALARY DEFERRAL	12/24/2021	1/26/2022	22	9	13	868.89	x	
ROSE AND DOVE GOURMET LL	EMPLOYEE SALARY DEFERRAL	12/31/2021	1/14/2022	10	3	7	372.11	x	
SENECA MACHINE INC	EMPLOYEE SALARY DEFERRAL	12/29/2021	1/5/2022	5	6	(1)	1,239.24		x
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	12/21/2021	1/5/2022	10	6	4	3,997.42	x	
UNION FARM EQUIPMENT INC	EMPLOYEE SALARY DEFERRAL	12/28/2021	1/5/2022	6	6	-	3,743.65		x
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Total Amount of Delinquent Participant Contributions	414,241
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Multiple Employer Plan Participating Employer Information

Participating Employer	EIN	Percent of Total Contributions
AC Produce, Inc.	04-3539556	0.23%
American Sale Corporation	36-2435390	12.98%
Bird Dog Equity Partners, LLC	27-1971101	5.21%
Champagne's Energy, Inc.	01-0437953	0.00%
Columbus Cakes LLC	84-4964446	0.03%
DE Foods, LLC	27-4830609	6.26%
FF Club Management, LLC	81-4885673	1.27%
Flippin' Hospitality Group LLC	86-1901368	0.49%
Good Sportsman Marketing LLC	46-2926190	3.23%
Goodman Classic Construction	20-5919892	0.47%
Gray Wealth Management Inc	20-5919892	0.35%
Greatwoods, LLC	84-5187832	2.48%
GWK Enterprises, Inc.	36-2874821	0.52%
Hospitality Resources, LLC dba Bedford Village Inn	02-0440724	1.29%
Hutchinson Oil Company LLC	26-3834978	6.68%
Innovative Distribution Services	01-0546613	0.34%
Jrod Inc	58-2118250	0.15%
Lemon Brooke LLC	46-5068064	1.25%
Local Grinds	46-1935000	2.92%
Louis J. Paradis, Inc.	01-0278039	3.25%
Maine Community Health Options	45-3416923	12.16%
Maryland Retailers Association	52-0575864	0.44%
Mega Scoop LLC	20-2969003	0.81%
Mexican Restaurants, Inc.	76-0493269	1.59%
Native Maine Operations Inc.	81-4698619	5.44%
PW Plummer & Sons Inc.	01-0244827	4.87%
Retail Association of Maine	01-0165117	0.87%
Rose & Dove Gourmet LLC	20-2668589	0.43%
Rowan, Inc.	83-3217502	1.37%
Salem Cycle, inc.	46-4420606	0.90%
Seneca Machine, Inc.	43-3948530	2.41%
Southern Restaurant Management	58-2274662	0.17%
The Medical Store	37-1531243	0.47%
Top Furniture, Inc.	02-0219914	0.37%
Union Farm Equipment, Inc.	20-1054471	15.72%
Wilcoxson Enterprises, Inc. dba Royal River Natural Foods	46-2636973	2.06%
Yvon Paquet & Son Drywall, Inc.	30-0526982	0.52%

THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN AND TRUST

**SCHEDULE OF ASSETS (HELD AT END OF YEAR)
ATTACHMENT FOR SCHEDULE H, LINE 4i**

December 31, 2021

Plan Sponsor EIN: 01-0165117

Plan #333

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity	Cost	Current Value
	American Funds 2015 Target Date Retirement	Mutual Fund	**	\$ 606
	American Funds 2030 Target Date Retirement	Mutual Fund	**	440,737
	American Funds 2040 Target Date Retirement	Mutual Fund	**	47,302
	American Funds 2045 Target Date Retirement	Mutual Fund	**	126,775
	American Funds 2050 Target Date Retirement	Mutual Fund	**	37,145
	American Funds 2060 Target Date Retirement	Mutual Fund	**	1,773
	American Funds 2065 Target Date Retirement	Mutual Fund	**	11,135
	American Funds American Balanced Fund	Mutual Fund	**	784,074
	American Funds International Growth and Income	Mutual Fund	**	161,025
	American Funds New World	Mutual Fund	**	360,161
	American Funds Washington Mutual Investors Fund	Mutual Fund	**	1,915,956
	Delaware Small Cap Core	Mutual Fund	**	518,685
	Delaware Small Cap Value	Mutual Fund	**	195,376
	Franklin Utilities	Mutual Fund	**	7,609
	Janus Henderson Enterprise Fund	Mutual Fund	**	115,883
	MFS Growth Fund	Mutual Fund	**	2,337,119
	MFS Institutional International Equity	Mutual Fund	**	204,692
	MFS New Discovery	Mutual Fund	**	162,884
	Neuberger Berman Real Estate	Mutual Fund	**	141,929
	PIMCO Diversified Income	Mutual Fund	**	215,425
	PIMCO International Bond Fund	Mutual Fund	**	62,292
	SSgA Russell Small Cap Growth Index NL	Mutual Fund	**	54,278
	Vanguard 500 Index	Mutual Fund	**	2,560,095
	Vanguard Developing Markets	Mutual Fund	**	300,701
	Vanguard Equity-Income	Mutual Fund	**	234,061
	Vanguard Global Equity	Mutual Fund	**	1,257,456
	Vanguard Health Care	Mutual Fund	**	399,981
	Vanguard High Yield Corp	Mutual Fund	**	276,384
	Vanguard Inflation Protected Securities	Mutual Fund	**	204,338
	Vanguard Information Technology	Mutual Fund	**	1,086,555
	Vanguard LifeStrategy Conservative Growth	Mutual Fund	**	176
	Vanguard LifeStrategy Growth	Mutual Fund	**	197,667
	Vanguard LifeStrategy Income	Mutual Fund	**	839,161
	Vanguard LifeStrategy Moderate Growth	Mutual Fund	**	151,274
	Vanguard Mid-Cap Growth Index	Mutual Fund	**	438,518
	Vanguard Small Cap Growth	Mutual Fund	**	392,880
	Vanguard Target Retirement 2020	Mutual Fund	**	72,033
	Vanguard Target Retirement 2025	Mutual Fund	**	49,876
	Vanguard Target Retirement 2030	Mutual Fund	**	248,858
	Vanguard Target Retirement 2035	Mutual Fund	**	107,697
	Vanguard Target Retirement 2040	Mutual Fund	**	138,464
	Vanguard Target Retirement 2045	Mutual Fund	**	62,653
	Vanguard Target Retirement 2050	Mutual Fund	**	183,113
	Vanguard Target Retirement 2055	Mutual Fund	**	13,069
	Vanguard Target Retirement 2060	Mutual Fund	**	35,038
	Vanguard Target Retirement 2065	Mutual Fund	**	66,106
	Vanguard Target Retirement Income	Mutual Fund	**	26,535

* Indicates a party-in-interest as defined in the Employee Retirement Security Act of 1974.

** Cost information is not required for participant directed investments and is, therefore, not included.

See Independent Auditors Report.

THE ALLIANCE OF STATE RETAIL ASSOCIATIONS 401(K) PLAN AND TRUST

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

ATTACHMENT FOR SCHEDULE H, LINE 4i

(Continued)

December 31, 2021

Plan Sponsor EIN: 01-0165117

Plan #333

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity	Cost	Current Value
	Victory Sycamore Established Value	Mutual Fund	**	411,188
	Western Asset Core Bond	Mutual Fund	**	522,131
	YourPath Passive 2010 Aggressive	Mutual Fund	**	972
	YourPath Passive 2010 Moderate	Mutual Fund	**	35,197
	YourPath Passive 2015 Moderate	Mutual Fund	**	837,795
	YourPath Passive 2020 Moderate	Mutual Fund	**	3,410,623
	YourPath Passive 2025 Moderate	Mutual Fund	**	5,598,503
	YourPath Passive 2030 Aggressive	Mutual Fund	**	3,399
	YourPath Passive 2030 Moderate	Mutual Fund	**	4,168,710
	YourPath Passive 2035 Aggressive	Mutual Fund	**	5,136
	YourPath Passive 2035 Moderate	Mutual Fund	**	4,473,552
	YourPath Passive 2040 Aggressive	Mutual Fund	**	12,864
	YourPath Passive 2040 Moderate	Mutual Fund	**	1,988,816
	YourPath Passive 2045 Aggressive	Mutual Fund	**	274,280
	YourPath Passive 2045 Moderate	Mutual Fund	**	2,870,904
	YourPath Passive 2050 Aggressive	Mutual Fund	**	1,904
	YourPath Passive 2050 Conservative	Mutual Fund	**	481
	YourPath Passive 2050 Moderate	Mutual Fund	**	1,991,777
	YourPath Passive 2055 Aggressive	Mutual Fund	**	972
	YourPath Passive 2055 Moderate	Mutual Fund	**	1,609,529
	YourPath Passive 2060 Aggressive	Mutual Fund	**	13,342
	YourPath Passive 2060 Moderate	Mutual Fund	**	393,762
	YourPath Passive 2065 Aggressive	Mutual Fund	**	216,905
	YourPath Passive 2065 Moderate	Mutual Fund	**	85,193
*	Guaranteed Stable Value	Guaranteed Stable Value	**	<u>418,076</u>
	Subtotal of Investments			46,591,561
	Participant Loans	Interest Rate 4.25% - 6.5%		<u>430,357</u>
	Total			<u>\$ 47,021,918</u>

* Indicates a party-in-interest as defined in the Employee Retirement Security Act of 1974.

** Cost information is not required for participant directed investments and is, therefore, not included.