

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2021 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2021 or fiscal plan year beginning <u>10/01/2021</u> and ending <u>09/30/2022</u>	
A This return/report is for:	☒ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☐ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	▶ ☒
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	▶ ☐

Part II	Basic Plan Information—enter all requested information				
1a Name of plan <u>BRICKLAYERS OF INDIANA RETIREMENT PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>04/01/1972</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>04/01/1972</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>				
1c Effective date of plan <u>04/01/1972</u>					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>BOARD OF TRUSTEES BRICKLAYERS OF INDIANA RETIREMENT PLAN</u> <u>7440 WOODLAND DRIVE</u> <u>INDIANAPOLIS, IN 46278</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>35-6267233</u></td> </tr> <tr> <td>2c Plan Sponsor's telephone number <u>317-554-9000</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>238100</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>35-6267233</u>	2c Plan Sponsor's telephone number <u>317-554-9000</u>	2d Business code (see instructions) <u>238100</u>	
2b Employer Identification Number (EIN) <u>35-6267233</u>					
2c Plan Sponsor's telephone number <u>317-554-9000</u>					
2d Business code (see instructions) <u>238100</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	06/14/2023	TERRY RIGGINS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	05/30/2023	TED CHAMP
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	2537
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year.....		6a(1)	1225
a(2) Total number of active participants at the end of the plan year		6a(2)	1064
b Retired or separated participants receiving benefits.....		6b	
c Other retired or separated participants entitled to future benefits		6c	1323
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	2387
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	
f Total. Add lines 6d and 6e		6f	2387
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	2387
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	209
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☐ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2021 Form M-1 annual report. If the plan was not required to file the 2021 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2021
		This Form is Open to Public Inspection.

For calendar plan year 2021 or fiscal plan year beginning 10/01/2021 and ending 09/30/2022		
A Name of plan BRICKLAYERS OF INDIANA RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 001	
C Plan sponsor's name as shown on line 2a of Form 5500 BOARD OF TRUSTEES BRICKLAYERS OF INDIANA RETIREMENT PLAN	D Employer Identification Number (EIN) 35-6267233	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
PNC BANK, NATIONAL ASSOCIATION
22-1146430

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
NEWTOWER TRUST COMPANY
30-0872552

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
COMERICA
42-1741646

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
AFL-CIO HOUSING INVESTMENT TRUST
1227 25TH STREET, NW SUITE 500 WASHINGTON, DC 20037

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

U.S. SMALL CAP PORTFOLIO

95-4351863

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DIMENSIONAL FUND ADVISORS

30-0447847

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GALLIARD CAPITAL MANAGEMENT, INC.

41-1813702

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BAIRD AGGREGATE BOND FUND

39-2002097

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

EURO PACIFIC GROWTH FUND

333 S. HOPE STREET
LOS ANGELES, CA 90071

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PNC REALTY INVESTORS, INC.

22-1146430

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

HEALTHSCOPE BENEFITS

71-0847266

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13	NONE	150983	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ANDCO CONSULTING

59-3676225

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	NONE	66500	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ARNOLD AND KADJAN

36-2498571

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29	NONE	60177	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

GARCIA HAMILTON & ASSOCIATES, L.P.

76-0589652

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	NONE	58873	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

LEGACY PROFESSIONALS LLP

32-0043599

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	18564	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FIFTH THIRD BANK RETIREMENT SERVICE

31-1051736

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 55	NONE	17972	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

RICHARD J WOLF & COMPANY INC.

36-3182363

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	7449	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CLINT DICKEY

35-6267233

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
20	TRUSTEE	6232	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PATRICK ROWLES

35-6267233

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
20	TRUSTEE	5639	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection.
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For calendar plan year 2021 or fiscal plan year beginning 10/01/2021 and ending 09/30/2022

A Name of plan <u>BRICKLAYERS OF INDIANA RETIREMENT PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>BOARD OF TRUSTEES BRICKLAYERS OF INDIANA RETIREMENT PLAN</u>	D Employer Identification Number (EIN) <u>35-6267233</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: AFL-CIO BUILDING INVESTMENT TRUST

b Name of sponsor of entity listed in (a): PNC BANK NATIONAL ASSOCIATION

c EIN-PN <u>52-6328901-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>7148718</u>
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a Name of MTIA, CCT, PSA, or 103-12 IE: MULTI-EMPLOYER PROPERTY TRUST

b Name of sponsor of entity listed in (a): NEW TOWER TRUST COMPANY

c EIN-PN <u>52-6218800-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>7559771</u>
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a Name of MTIA, CCT, PSA, or 103-12 IE: STABLE VALUE FUND C

b Name of sponsor of entity listed in (a): WELLS FARGO BANK, N.A.

c EIN-PN <u>52-2250946-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>5603733</u>
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a Name of MTIA, CCT, PSA, or 103-12 IE: TROWEL TRADES LARGE CAP EQTY INDEX

b Name of sponsor of entity listed in (a): COMERICA BANK

c EIN-PN <u>38-3558170-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>39344801</u>
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2021 This Form is Open to Public Inspection
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For calendar plan year 2021 or fiscal plan year beginning 10/01/2021 and ending 09/30/2022		
A Name of plan BRICKLAYERS OF INDIANA RETIREMENT PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 BOARD OF TRUSTEES BRICKLAYERS OF INDIANA RETIREMENT PLAN	D Employer Identification Number (EIN) 35-6267233	

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a	3643660	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	1075565	1452237
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)	135143	163926
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	1804216	5269149
(2) U.S. Government securities	1c(2)	17098930	16094504
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	5509109	5014333
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts.....	1c(9)	64796565	59657023
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts.....	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	62414279	50367693
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:		
(1)	Employer securities.....	1d(1)	
(2)	Employer real property.....	1d(2)	
e	Buildings and other property used in plan operation	1e	
f	Total assets (add all amounts in lines 1a through 1e)	1f	156477467 138018865
Liabilities			
g	Benefit claims payable	1g	
h	Operating payables	1h	176381 226500
i	Acquisition indebtedness.....	1i	
j	Other liabilities.....	1j	
k	Total liabilities (add all amounts in lines 1g through 1j)	1k	176381 226500
Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	156301086 137792365

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

		(a) Amount	(b) Total
Income			
a	Contributions:		
(1)	Received or receivable in cash from: (A) Employers	2a(1)(A)	8900339
	(B) Participants	2a(1)(B)	
	(C) Others (including rollovers).....	2a(1)(C)	
(2)	Noncash contributions.....	2a(2)	
(3)	Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)	8900339
b	Earnings on investments:		
(1)	Interest:		
	(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	4138
	(B) U.S. Government securities	2b(1)(B)	380538
	(C) Corporate debt instruments	2b(1)(C)	225359
	(D) Loans (other than to participants)	2b(1)(D)	
	(E) Participant loans.....	2b(1)(E)	
	(F) Other	2b(1)(F)	
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)	610035
(2)	Dividends: (A) Preferred stock.....	2b(2)(A)	
	(B) Common stock	2b(2)(B)	
	(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	2197114
	(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)	2197114
(3)	Rents	2b(3)	
(4)	Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	34414359
	(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	35079591
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)	-665232
(5)	Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	
	(B) Other	2b(5)(B)	-3031168
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)	-3031168

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		-5139539
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		-14243649
c Other income.....	2c		34303
d Total income. Add all income amounts in column (b) and enter total.....	2d		-11337797
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	6768878	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		6768878
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	67228	
(2) Contract administrator fees.....	2i(2)	149844	
(3) Investment advisory and management fees.....	2i(3)	143344	
(4) Other.....	2i(4)	41630	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		402046
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		7170924
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d.....	2k		-18508721
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☐ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☒ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: LEGACY PROFESSIONALS LLP

(2) EIN: 32-0043599

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)		X	
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

BRICKLAYERS OF INDIANA RETIREMENT PLAN

FINANCIAL STATEMENTS

SEPTEMBER 30, 2022

BRICKLAYERS OF INDIANA RETIREMENT PLAN

FINANCIAL STATEMENTS

SEPTEMBER 30, 2022 AND 2021

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REPORT OF INDEPENDENT AUDITORS

To the Participants and Trustees of
Bricklayers of Indiana Retirement Plan

Opinion

We have audited the financial statements of Bricklayers of Indiana Retirement Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of September 30, 2022 and 2021, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

In our opinion, the accompanying financial statements present fairly, in all material respects, the net assets available for benefits of Bricklayers of Indiana Retirement Plan as of September 30, 2022 and 2021, and the changes in its net assets available for benefits for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the *Auditors' Responsibilities for the Audit of the Financial Statements* section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the financial statements are available to be issued.

Responsibilities of Management for the Financial Statements (continued)

Management is also responsible for maintaining a current Plan instrument, including all Plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit;
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements;
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed;
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements; and
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Legacy Professionals LLP

Schererville, Indiana

March 15, 2023

BRICKLAYERS OF INDIANA RETIREMENT PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

SEPTEMBER 30, 2022 AND 2021

	<u>2022</u>	<u>2021</u>
ASSETS		
INVESTMENTS - at fair value		
U.S. Government and Government Agency obligations	\$ 16,094,504	\$ 17,098,930
Corporate bonds	5,014,333	5,509,109
Mutual funds	50,367,693	62,414,279
Common collective trusts	54,053,290	59,289,748
Guaranteed investment contract	5,603,733	5,506,817
Money market funds	112,719	1,804,216
Total investments	<u>131,246,272</u>	<u>151,623,099</u>
RECEIVABLES		
Employer contributions - net	1,448,443	1,071,771
Accrued interest and dividends	147,153	104,544
Miscellaneous	3,794	3,794
Total receivables	<u>1,599,390</u>	<u>1,180,109</u>
PREPAID EXPENSES	<u>16,773</u>	<u>30,599</u>
CASH	<u>5,156,430</u>	<u>3,643,660</u>
Total assets	<u>138,018,865</u>	<u>156,477,467</u>
LIABILITIES AND NET ASSETS		
LIABILITIES		
Accounts payable and accrued expenses	47,971	31,401
Reciprocal contributions payable	178,529	144,980
Total liabilities	<u>226,500</u>	<u>176,381</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 137,792,365</u>	<u>\$ 156,301,086</u>

See accompanying notes to financial statements.

BRICKLAYERS OF INDIANA RETIREMENT PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

YEARS ENDED SEPTEMBER 30, 2022 AND 2021

	<u>2022</u>	<u>2021</u>
ADDITIONS		
Investment income		
Net appreciation (depreciation)		
in fair value of investments	\$ (23,079,588)	\$ 17,435,173
Interest and dividends	<u>2,807,149</u>	<u>2,408,973</u>
	(20,272,439)	19,844,146
Less investment expenses	<u>(143,344)</u>	<u>(137,776)</u>
Investment income (loss) - net	(20,415,783)	19,706,370
Employer contributions	8,900,339	8,031,281
Other	<u>34,303</u>	<u>25,642</u>
	<u>(11,481,141)</u>	<u>27,763,293</u>
DEDUCTIONS		
Benefits paid	<u>6,768,878</u>	<u>5,998,753</u>
Administrative expenses		
Administration fees	149,844	129,817
Audit fees	17,958	16,841
Insurance	9,294	14,353
Legal fees	41,821	53,038
Miscellaneous	3,806	4,828
Payroll compliance audit fees	7,449	7,464
Trustee expenses	<u>28,530</u>	<u>2,285</u>
Total administrative expenses	<u>258,702</u>	<u>228,626</u>
Total deductions	<u>7,027,580</u>	<u>6,227,379</u>
NET INCREASE (DECREASE)	(18,508,721)	21,535,914
NET ASSETS AVAILABLE FOR BENEFITS		
Beginning of year	<u>156,301,086</u>	<u>134,765,172</u>
End of year	<u>\$ 137,792,365</u>	<u>\$ 156,301,086</u>

See accompanying notes to financial statements.

BRICKLAYERS OF INDIANA RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

SEPTEMBER 30, 2022 AND 2021

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Method of Accounting - The accompanying financial statements of Bricklayers of Indiana Retirement Plan (the Plan) have been prepared using the accrual basis of accounting.

Investments - Investments of the Plan are reported at fair value. The fair value of a financial instrument is the amount that would be received to sell that asset (or paid to transfer a liability) in an orderly transaction between market participants at the measurement date (the exit price). Net appreciation or depreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Purchases and sales of the investments are reflected on a trade-date basis.

Dividend income is recorded on the ex dividend date. Interest income is recorded on the accrual basis.

Contributions Receivable - Employer contributions due and not paid prior to year end are recorded as contributions receivable. Deficiencies established through payroll compliance audits are recorded as income when collected. An allowance for uncollectible accounts of \$43,667 at September 30, 2022 and \$70,350 at September 30, 2021, consists of delinquent amounts that have been referred for collection.

Revenue Recognition - Revenue derived from employer contributions is recognized in the period in which covered work is performed, based on the number of hours worked and the contribution rates set forth in the applicable collective bargaining agreement. Employers are required to remit contributions monthly. The Plan carries out its purpose described in Note 2 in the bricklayer industry within a jurisdiction primarily located throughout the state of Indiana and portions of Kentucky.

NOTE 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Reciprocal Contributions - The Plan is signatory to reciprocity agreements with various other multiemployer retirement plans for its participants who perform work outside the geographical jurisdiction of the local union. Participants who are normally employed within the territory of one local union (home local) may be temporarily employed within the territory of another local union (reciprocating local). When a participant of the home local works in the territory of a reciprocating local, the latter is to make contributions to the former's fringe benefit funds on the participant's behalf. Monies received by the Plan on behalf of persons from outside participating local unions are forwarded to their home local fringe benefit trust funds. The Plan uses the same recognition and measurement criteria for contributions received on behalf of its participants under the terms of reciprocity agreements, as for all other employer contribution revenue. Amounts paid to other plans under the terms of reciprocity agreements are not reflected in the statements of changes in net assets available for benefits, as the amounts received are not revenue earned by the Plan, and the corresponding payments are not an expense of the Plan. The Plan recognizes a liability upon receiving reciprocal contributions on behalf of non-participants working within the jurisdiction of the local union, and recognizes a decrease in that liability upon remitting those contributions to the appropriate plan. Employer contributions included reciprocal contributions of \$11,102 and \$13,402 for the years ended September 30, 2022 and 2021, respectively, from various other retirement plans under the terms of reciprocity agreements. The Plan remitted a total of \$1,712,407 and \$1,375,912 in reciprocal contributions to various other retirement plans under the terms of reciprocity agreements for the years ended September 30, 2022 and 2021, respectively.

Payment of Benefits - Benefit payments to participants are recorded upon distribution. There were no participants who elected a withdrawal from the Plan but were not yet paid at either September 30, 2022 or 2021.

Expenses - Certain investment related expenses are included in net appreciation (depreciation) in fair value of investments.

Estimates - The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures in the financial statements. Actual results could differ from those estimates.

Subsequent Events - Subsequent events have been evaluated through March 15, 2023, which is the date the financial statements were available to be issued.

NOTE 2. DESCRIPTION OF THE PLAN

The Plan was established in April 1972 pursuant to collective bargaining between the local union and various employers to provide retirement and disability benefits for eligible participants. The Plan is primarily funded by employer contributions as specified in the collective bargaining agreements. The Plan is a multiemployer defined contribution retirement plan and is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended. Benefits under the Plan are not insured by the Pension Benefit Guaranty Corporation.

Under current Plan provisions, an individual working within the jurisdiction of the local union and performing work described in the collective bargaining agreements is eligible to participate in the Plan after being credited with at least 300 hours of service in any Plan year. Once becoming a participant, the right to receive the participant account balance becomes non-forfeitable. If an individual fails to become a participant (is not credited with 300 hours of service in a Plan year) and fails to perform covered work for two consecutive Plan years, contributions received on their behalf will be forfeited to the Plan. Forfeited amounts are available to be used to offset administrative expenses. Forfeitures during the years ended September 30, 2022 and 2021 totaled \$61,789 and \$64,887 respectively, and were used to offset administration costs incurred by the Plan.

Participant accounts are credited with employer contributions made on their behalf as well as an allocation of Plan earnings and administrative expenses. Allocations are based on account balances, as defined by the Plan. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Upon death, disability, retirement or separation from service for more than two years, participants are entitled to receive their account balance subject to the payment provisions of the Plan.

Participants should refer to the summary plan description for more complete information.

NOTE 3. PRIORITIES UPON TERMINATION

It is the intent of the Trustees to continue the Plan in full force and effect; however, the right to discontinue the Plan is reserved to the Trustees. Termination shall not permit any part of the Plan assets to be used for or diverted to purposes other than the exclusive benefit of the participants and their beneficiaries, except that provision may be made for the payment of necessary expenses resulting from termination of the Plan.

In the event of termination, the net assets of the Plan will be allocated to pay benefits in priorities as prescribed by ERISA and its related regulations. Whether or not a particular participant will receive full benefits should the Plan terminate at some future time will depend on the sufficiency of the Plan's net assets at that time and the priority of those benefits.

NOTE 4. TAX STATUS

The Plan obtained its latest determination letter on March 3, 2016, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of Section 401(a) of the Internal Revenue Code and was, therefore, exempt from federal income taxes under the provisions of Section 501(a). The Plan has been amended since receiving the determination letter. The Plan's administrator and the Plan's legal counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. They therefore believe that the Plan was qualified and the related trust was tax-exempt as of the financial statement date.

Accounting principles generally accepted in the United States of America require the Plan to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by tax authorities. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

NOTE 5. FAIR VALUE MEASUREMENTS

The *Fair Value Measurements and Disclosures* Topic of the FASB Accounting Standards Codification established a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The following describes the three levels of the fair value hierarchy.

Basis of Fair Value Measurement

Level 1	Unadjusted quoted prices in active markets that are accessible at the measurement date for identical, unrestricted assets or liabilities
Level 2	Quoted prices in markets that are not considered to be active or financial instruments for which all significant inputs are observable, either directly or indirectly
Level 3	Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable

The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

NOTE 5. FAIR VALUE MEASUREMENTS (CONTINUED)

The following tables set forth, by level within the fair value hierarchy, the Plan's investment assets at fair value as of September 30, 2022 and 2021. As required, assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair value measurement. In accordance with generally accepted accounting principles, certain investments that are measured at fair value using the net asset value per share (or its equivalent) practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in the following tables are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the statements of net assets available for benefits.

<u>Fair Value Measurements at 9/30/22 Using</u>				
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
	<u>Total</u>			
U.S. Government and				
Government Agency obligations	\$ 16,094,504	\$ 14,570,074	\$ 1,524,430	\$ -
Corporate bonds	5,014,333	-	5,014,333	-
Mutual funds	37,289,431	37,289,431	-	-
Money market funds	112,719	-	112,719	-
	<u>58,510,987</u>	<u>\$ 51,859,505</u>	<u>\$ 6,651,482</u>	<u>\$ -</u>
Investments measured at net asset value:				
Mutual fund	13,078,262			
Common collective trusts	54,053,290			
Guaranteed investment contract	5,603,733			
Total	<u>\$ 131,246,272</u>			

NOTE 5. FAIR VALUE MEASUREMENTS (CONTINUED)

		<u>Fair Value Measurements at 9/30/21 Using</u>		
		Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
	<u>Total</u>			
U.S. Government and				
Government Agency obligations	\$ 17,098,930	\$ 9,609,787	\$ 7,489,143	\$ -
Corporate bonds	5,509,109	-	5,509,109	-
Mutual funds	47,121,450	47,121,450	-	-
Money market funds	1,804,216	-	1,804,216	-
	<u>71,533,705</u>	<u>\$ 56,731,237</u>	<u>\$ 14,802,468</u>	<u>\$ -</u>
Investments measured at net asset value:				
Mutual fund	15,292,829			
Common collective trusts	59,289,748			
Guaranteed investment contract	5,506,817			
Total	<u>\$ 151,623,099</u>			

Level 1 Measurements

U.S. Treasury securities are traded in active markets on national and international securities exchanges and are valued at closing prices on the last business day of each period presented.

The fair values of the Level 1 mutual funds are determined by reference to the funds' underlying assets, which consist principally of marketable equity and fixed income securities. Shares held in mutual funds are traded on national securities exchanges and are valued at the net asset value as of the last business day of each period presented.

Level 2 Measurements

U.S. Government Agency obligations and corporate bonds are generally valued by benchmarking model-derived prices to quoted market prices and trade data for identical or comparable securities. To the extent that quoted prices are not available, fair value is determined based on a valuation model that includes inputs such as interest rate yield curves and credit spreads. Securities traded in markets that are not considered active are valued based on quoted market prices, broker or dealer quotations, or alternative pricing sources with reasonable levels of price transparency. Securities that trade infrequently and therefore have little or no price transparency are valued using the investment manager's best estimates.

Money market funds are valued at cost, which approximates their fair value.

NOTE 5. FAIR VALUE MEASUREMENTS (CONTINUED)

Measurements Using Net Asset Value as a Practical Expedient

Certain investments are valued at the net asset value per share, used as a practical expedient to estimate fair value. The net asset value is based on the fair value of the underlying investments held by the fund less its liability. The practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported net asset value.

The mutual fund has been estimated using the net asset value per share of the investment by reference to the underlying assets, which consist primarily of fixed income debt securities. Redemptions are available on a monthly basis with 15 days written notice.

The common collective trusts and the guaranteed investment contract are direct filing entities (DFEs) and file a Form 5500 annual report with the U.S. Department of Labor. The Plan is not required to disclose the investment strategies of DFE investments. Redemptions for one of the common collective trusts with a fair value of \$7,148,718 and \$6,587,979 at September 30, 2022 and 2021, respectively, are available quarterly and require one year written notice. The one-year notice requirement may be waived at the discretion of the investment manager trustees. Redemptions for the remaining common collective trusts are available upon request.

Redemptions are available upon written request from the Plan for the guaranteed investment contract (Wells Fargo Stable Value Fund C). Wells Fargo reserves the right to require a 12-month notice for withdrawals. Withdrawals initiated by participants of the Plan will be honored when received unless payments are being delayed to all unit holders as provided in the declaration of trust. At the discretion of Wells Fargo, the notification periods identified for withdrawals may be waived.

NOTE 6. FUNDING POLICY

Participating employers contribute such amounts as specified in the collective bargaining agreements. The contribution rates per hour worked ranged from \$0.25 to \$11.16 for the years ended September 30, 2022 and 2021.

NOTE 7. RELATED ORGANIZATIONS

The Plan is related to a local union and a health and welfare plan, both of which are tax-exempt.

NOTE 8. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

Due to inherent uncertainties involved in the valuations of investments that are not publicly traded, estimated fair values may differ materially from the values that would have been used had a ready market for the underlying securities existed.

The Plan holds several alternative investments which utilize various investment strategies as part of the Plan's interest rate risk management strategy. These types of investments are consistent with the investment guidelines established by the Board of Trustees. The investment manager is generally required to value the underlying investments at estimated fair values using various subjective techniques. In addition, the Plan has ownership interest in real estate investment funds. The estimated fair values of these funds, as determined by the investment manager, may vary significantly from the prices at which the real estate investments within the fund would sell, and the amounts could be material.

NOTE 9. CONCENTRATION OF PLAN INVESTMENTS

The Plan has a significant portion of its assets invested in Trowel Trades Large Cap Equity Index Fund. This investment approximates 29% and 30% of the Plan's net assets available for benefits as of September 30, 2022 and 2021, respectively.

It is reasonably possible that changes in the fair value of this fund could materially affect the participants' account balances and amounts reported in the statements of net assets available for benefits. The Plan's exposure to a concentration of credit risk is limited by the diversification of investments within the fund into varied financial instruments.

NOTE 10. IMPACT OF COVID-19 AND NEWLY ENACTED LEGISLATION

As a result of the spread of the COVID-19 coronavirus, economic uncertainties have arisen which are likely to negatively impact the Plan, though the potential impact and duration is unknown as of the date the financial statements were available to be issued.

Several major legislative relief packages were enacted in response to the coronavirus outbreak, containing numerous tax, emergency funding and regulatory provisions affecting retirement plans. The Plan continues to evaluate the impact of newly enacted legislation on its provisions, operations and cash flows.

REPORT OF INDEPENDENT AUDITORS ON SUPPLEMENTAL SCHEDULES

To the Participants and Trustees of
Bricklayers of Indiana Retirement Plan

We have audited the financial statements of Bricklayers of Indiana Retirement Plan (the Plan) as of and for the years ended September 30, 2022 and 2021 and our report thereon dated March 15, 2023, which expressed an unmodified opinion on those financial statements, appears on pages 1 and 2. Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. Supplemental Schedule 1 is presented for purposes of additional analysis and is not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedules is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Legacy Professionals LLP

Schererville, Indiana

March 15, 2023

SCHEDULE H	OTHER RECEIVABLES	STATEMENT	1
DESCRIPTION	BEGINNING	ENDING	
PREPAID EXPENSES	30,599.	16,773.	
ACCRUED INTEREST AND DIVIDENDS	104,544.	147,153.	
TOTAL TO SCHEDULE H, LINE 1B(3)	135,143.	163,926.	

SCHEDULE H	OTHER INCOME	STATEMENT	2
DESCRIPTION	AMOUNT		
PENALTIES AND INTEREST	34,303.		
TOTAL TO SCHEDULE H, LINE 2C	34,303.		

SCHEDULE H	OTHER ADMINISTRATIVE EXPENSES	STATEMENT	3
DESCRIPTION	AMOUNT		
BOND & INSURANCE EXPENSE	9,294.		
MISCELLANEOUS EXPENSE	3,806.		
TRUSTEE EXPENSES	28,530.		
TOTAL TO SCHEDULE H, LINE 2I(4)	41,630.		

Bricklayers of Indiana Retirement Plan
EIN: 35-6267233 Plan: 001
Year End: September 30, 2022
Schedule of Assets Held for Investment Purposes

		<u>Book Value</u>	<u>Fair Value</u>
Schedule of Interest Bearing Cash			
Schedule H, Part I, Line C(1)			
Interest Bearing Cash			
Fifth Third Bank Checking Account		\$ 5,156,430	\$ 5,156,430
Fifth Third Bank Money Market		54,763	54,763
Garcia Hamilton Money Market		57,956	57,956
Total Interest Bearing Cash		<u>\$ 5,269,149</u>	<u>\$ 5,269,149</u>
Schedule of Assets Held for Investment Purposes			
Schedule H, Part I, Line C(2)			
U.S. Government securities	pg. 4	<u>\$ 18,045,298</u>	<u>\$ 16,094,504</u>
Schedule H, Part I, Line C(3)(B)			
Corporate debt instruments - other	pg. 4	<u>\$ 6,094,707</u>	<u>\$ 5,014,333</u>
Schedule H, Part I, Line C(9)			
Common Collective Trusts:			
Trowel Trades S&P 500 Index Fund		\$ 46,531,173	\$ 39,344,801
Wells Fargo Stable Return Fund		5,506,816	5,603,733
Multi-Employer Property Trust		6,170,595	7,559,771
AFL-CIO Building Investment Trust		6,587,979	7,148,718
Total Common Collective Trusts		<u>\$ 64,796,563</u>	<u>\$ 59,657,023</u>
Schedule H, Part I, Line C(13)			
Mutual Funds:			
Baird Aggregate Bond Fund		\$ 25,253,775	\$ 20,948,100
AFL-CIO Housing Investment Trust		15,656,131	13,078,262
Euro Pacific Growth Fund		15,655,707	9,968,678
Dimensional Fund Advisors Small Cap Portfolio		8,045,781	6,372,653
Total Mutual Funds:		<u>\$ 64,611,394</u>	<u>\$ 50,367,693</u>

ASSETS HELD AT YEAR-END

AS OF 09/30/22

SHARES/ PAR VALUE	SECURITY	HISTORICAL COST BASIS	MARKET VALUE	ERISA COST BASIS	UNREALIZED GAIN/LOSS	ACCRUED INCOME
BONDS						
U.S. GOVERNMENT BONDS						
2715,000	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41	2,773,235.01	2,601,838.80	2,773,235.01	\$171,396.21-	13,003.23
1760,000	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46	1,927,845.93	1,334,097.60	1,902,664.34	568,566.74-	16,619.57
2240,000	US TREASURY NOTES 02/15/21 1.125 02/15/31	2,004,488.38	1,813,795.20	2,004,488.38	190,693.18-	3,218.48
275,000	UNITED STATES TREASURY NOTES 02/15/22 1.875 02/15/32	243,509.50	233,018.50	243,509.50	10,491.00-	658.54
475,000	US TREASURY NT 05/15/17 2.375 05/15/27	462,543.07	440,268.00	462,543.07	22,275.07-	4,261.12
265,000	UNITED STATES TREAS NTS 11/15/19 1.750 11/15/29	243,385.94	230,197.55	243,385.94	13,188.39-	1,751.66
3435,000	US TREASURY NT 02/15/20 1.500 02/15/30	3,138,135.95	2,915,181.45	3,138,135.95	222,954.50-	6,580.64
3110,000	US TREASURY NOTE 11/15/17 2.250 11/15/27	2,952,907.05	2,845,774.40	2,952,907.05	107,132.65-	26,430.77
1775,000	UNITED STATES TREAS NTS 02/15/19 2.625 02/15/29	1,765,986.71	1,635,573.75	1,765,986.71	130,412.96-	5,950.83
575,000	UNITED STATES TREAS NTS 05/15/19 2.375 05/15/29	559,383.28	520,329.00	559,383.28	39,054.28-	5,158.20

ASSETS HELD AT YEAR-END

AS OF 09/30/22

SHARES/ PAR VALUE	SECURITY	HISTORICAL COST BASIS	MARKET VALUE	ERISA COST BASIS	UNREALIZED GAIN/LOSS	ACCRUED INCOME
TOTAL U.S. GOVERNMENT BONDS		\$16,071,420.82	\$14,570,074.25 ^(A)	\$16,046,239.23	\$1,476,164.98-	\$83,633.04
CORPORATE BONDS						
1030,000	BANK AMER CORP 02/07/19 VAR 02/07/30	\$1,142,151.43	\$916,844.20	\$1,143,237.40	\$226,393.20-	\$6,139.83
360,000	CITIGROUP INC 03/20/19 VAR 03/20/30	402,506.27	319,460.40	403,966.80	84,506.40-	437.80
270,000	CITIGROUP INC NT 03/31/20 VAR 03/31/31	320,795.03	242,225.10	311,447.70	69,222.60-	33.09
290,000	COMCAST CORP NEW 11/05/19 2.650 02/01/30	282,787.70	243,107.00	282,787.70	39,680.70-	1,280.83
1005,000	GOLDMAN SACHS GROUP INC 04/23/18 VAR 05/01/29	1,106,161.13	913,424.40	1,112,818.20	199,393.80-	17,683.81
940,000	INTERNATIONAL BUSINESS MACHS 05/15/19 3.500 05/15/29	982,605.60	849,572.00	982,605.60	133,033.60-	12,428.89
760,000	JPMORGAN CHASE & CO 04/18/17 3.540 05/01/28	806,860.97	688,111.60	830,824.40	142,712.80-	11,210.00
345,000	JPMORGAN CHASE & CO 09/12/19 VAR 10/15/30	354,742.86	280,516.05	356,933.55	76,417.50-	4,357.29
610,000	WELLS FARGO & CO MTN 01/24/19 4.150 01/24/29	662,073.86	561,071.90	670,084.90	109,013.00-	4,711.40
TOTAL CORPORATE BONDS		\$6,060,684.85	\$5,014,332.65 ^(B)	\$6,094,706.25	\$1,080,373.60-	\$58,282.94
GOVERNMENT BACKED SECURITIES						
211,792.625	FG C92014 08/01/18 4.000 09/01/38	246,510.07	199,866.58	228,140.89	28,274.31-	705.98
.030	FR SB8054 06/01/20 3.000 07/01/35	790.28	.03	.03		
411,296.800	FR QK0187 02/01/20 3.000 01/01/40	440,161.79	366,654.65	431,232.37	64,577.72-	1,028.24
365,636.640	FR RB5017 10/01/19 3.000 11/01/39	399,861.29	325,939.47	382,986.09	57,046.62-	914.09

ASSETS HELD AT YEAR-END

AS OF 09/30/22

SHARES/ PAR VALUE	SECURITY	HISTORICAL COST BASIS	MARKET VALUE	ERISA COST BASIS	UNREALIZED GAIN/LOSS	ACCRUED INCOME
.008	FN FM3075 04/01/20 3.500 11/01/39	\$399.27	\$.01	\$.01		
.003	FN MA3151 09/01/17 3.000 10/01/37	334.84				
.004	FN MA3215 11/01/17 3.500 12/01/37	957.37				
.008	FN MA3361 04/01/18 4.000 04/01/38	1,151.75	.01	.01		
493,377.250	FN MA3465 08/01/18 4.000 08/01/38	553,041.15	463,448.99	532,304.73	\$68,855.74-	\$1,644.59
188,970.525	FN MA3988 03/01/20 3.000 03/01/40	206,471.52	168,520.13	198,120.48	29,600.35-	472.43
TOTAL GOVERNMENT BACKED SECURITIES		\$1,849,679.33	\$1,524,429.87 [Ⓐ]	\$1,772,784.61	\$248,354.74-	\$4,765.33

Government 16,094,504 [Ⓐ]

Corporate 5,014,333 [Ⓐ]

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
<u>ASSETS ACQUIRED</u>				
10/01/21	UNITED STATES TREAS BILLS DUE 10/28/21 CABRERA CAPITAL MARKETS 09/29 PURC 850,000 PAR @ 99.9977 912796G78	\$849,980.88-	\$849,980.88	\$849,980.88
10/14/21	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 CABRERA CAPITAL MARKETS 10/12 PURC 475,000 PAR @ 107.4813 912810RS9	510,536.62-	510,536.62	510,536.62
10/15/21	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 CABRERA CAPITAL MARKETS 10/13 PURC 220,000 PAR @ 109.0766 912810RS9	239,968.56-	239,968.56	239,968.56
10/15/21	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 MISCHLER FINANCIAL GRP, INC - 10/13 PURC 285,000 PAR @ 109.0507 912810RS9	310,794.54-	310,794.54	310,794.54
10/18/21	US TREASURY BILLS DUE 01/20/22 WELLS FARGO SECURITIES LLC. 10/14 PURC 610,000 PAR @ 99.9895 912796K81	609,936.29-	609,936.29	609,936.29
10/18/21	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 MISCHLER FINANCIAL GRP, INC - 10/14 PURC 380,000 PAR @ 104.5007 912810RT7	397,102.66-	397,102.66	397,102.66
10/19/21	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 GREAT PACIFIC SECURITIES 10/15 PURC 450,000 PAR @ 103.9843 912810RT7	467,929.69-	467,929.69	467,929.69
10/20/21	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 HILLTOP SECURITIES, INC 10/18 PURC 255,000 PAR @ 104.0742 912810RT7	265,389.26-	265,389.26	265,389.26

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
10/21/21	US TREASURY BILL DUE 04/07/22 JP MORGAN SECURITIES 10/19 PURC 975,000 PAR @ 99.979 912796N47	\$974,795.25-	\$974,795.25	\$974,795.25
10/21/21	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 GREAT PACIFIC SECURITIES 10/19 PURC 60,000 PAR @ 103.0937 912810RT7	61,856.25-	61,856.25	61,856.25
10/22/21	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 MISCHLER FINANCIAL GRP, INC - 10/20 PURC 80,000 PAR @ 102.2243 912810RT7	81,779.48-	81,779.48	81,779.48
10/22/21	US TREASURY NOTE 03/15/19 2.375 03/15/22 WELLS FARGO SECURITIES LLC. 10/20 PURC 960,000 PAR @ 100.9335 9128286H8	968,962.50-	968,962.50	968,962.50
10/25/21	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 GREAT PACIFIC SECURITIES 10/21 PURC 250,000 PAR @ 102.1094 912810RT7	255,273.66-	255,273.66	255,273.66
10/26/21	UNITED STATES TREAS NTS 02/15/19 2.500 02/15/22 CASTLEOAK SECURITIES LP 10/22 PURC 960,000 PAR @ 100.7578 9128286C9	967,275.00-	967,275.00	967,275.00
10/27/21	KEYBANK NATL ASSN CLEVELAND 12/16/20 VAR 01/03/24 OPT CALL 01/03/2023 @ 100.00 STIFEL NICOLAUS & CO 10/25 PURC 485,000 PAR @ 100.168 49327M3B8	485,814.80-	485,814.80	485,814.80
10/28/21	AMERICAN EXPRESS CO 08/01/17 VAR 08/01/22 OPT CALL 07/01/2022 @ 100.00 MIZUHO SECURITIES 10/26 PURC 485,000 PAR @ 100.392 025816BN8	486,901.20-	486,901.20	486,901.20

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
10/28/21	US TREASURY BILL DUE 03/03/22 WELLS FARGO SECURITIES LLC. 10/26 PURC 1,215,000 PAR @ 99.9835 912796L98	\$1,214,800.13-	\$1,214,800.13	\$1,214,800.13
10/31/21	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	33,452.00-	33,452.00	33,452.00
10/31/21	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	244,192.00-	244,192.00	244,192.00
11/01/21	US TREASURY NT 06/15/19 1.750 06/15/22 CABRERA CAPITAL MARKETS 10/28 PURC 910,000 PAR @ 101.0546 9128286Y1	919,597.66-	919,597.66	919,597.66
11/02/21	US TREASURY NOTE 03/15/19 2.375 03/15/22 CABRERA CAPITAL MARKETS 10/29 PURC 340,000 PAR @ 100.8632 9128286H8	342,935.16-	342,935.16	342,935.16
11/05/21	DISNEY WALT CO 09/06/19 VAR 09/01/22 MIZUHO SECURITIES 11/03 PURC 490,000 PAR @ 100.302 254687FH4	491,479.80-	491,479.80	491,479.80
11/22/21	AMERICAN EXPRESS SR GLBL FL NT 08/03/18 VAR 08/03/23 OPT CALL 07/03/2023 @ 100.00 MISCHLER FINANCIAL GRP, INC - 11/18 PURC 365,000 PAR @ 100.9808 025816BX6	368,580.11-	368,580.11	368,580.11
11/30/21	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	75,341.00-	75,341.00	75,341.00

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
12/29/21	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 CABRERA CAPITAL MARKETS 12/27 PURC 155,000 PAR @ 106.2968 912810RT7	\$164,760.16-	\$164,760.16	\$164,760.16
12/31/21	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	26,046.00-	26,046.00	26,046.00
12/31/21	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	136,374.00-	136,374.00	136,374.00
01/03/22	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 CABRERA CAPITAL MARKETS 12/30 PURC 50,000 PAR @ 105.3906 912810RT7	52,695.31-	52,695.31	52,695.31
01/14/22	BANK AMER CORP 02/07/19 VAR 02/07/30 FIRST TENNESSEE SECURITIES CORP 01/12 PURC 255,000 PAR @ 108.383 06051GHQ5	276,376.65-	276,376.65	276,376.65
01/21/22	CITIGROUP INC SR FLT NT 08/26/16 VAR 09/01/23 OPT CALL 09/01/2022 @ 100.00 MISCHLER FINANCIAL GRP, INC - 01/19 PURC 355,000 PAR @ 100.7182 172967KX8	357,549.78-	357,549.78	357,549.78
01/28/22	INTERNATIONAL BUSINESS MACHS 05/15/19 3.500 05/15/29 FIRST TENNESSEE SECURITIES CORP 01/26 PURC 310,000 PAR @ 106.047 459200KA8	328,745.70-	328,745.70	328,745.70
01/31/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	36,534.00-	36,534.00	36,534.00
01/31/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	559,703.00-	559,703.00	559,703.00

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
02/02/22	US TREASURY BILL DUE 04/07/22 MISCHLER FINANCIAL GRP, INC - 01/31 PURC 210,000 PAR @ 99.9777 912796N47	\$209,953.33-	\$209,953.33	\$209,953.33
02/16/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 GREAT PACIFIC SECURITIES 02/14 PURC 280,000 PAR @ 92.9062 91282CBL4	260,137.50-	260,137.50	260,137.50
02/25/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 THE WILLIAMS CAPITAL GROUP LP 02/23 PURC 335,000 PAR @ 93.1523 91282CBL4	312,060.35-	312,060.35	312,060.35
02/28/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	70,522.00-	70,522.00	70,522.00
02/28/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	682,431.00-	682,431.00	682,431.00
03/02/22	US TREASURY NOTE 05/31/17 1.750 05/31/22 CABRERA CAPITAL MARKETS 02/28 PURC 930,000 PAR @ 100.3593 912828XR6	933,342.19-	933,342.19	933,342.19
03/09/22	INTERNATIONAL BUSINESS MACHS 05/15/19 3.500 05/15/29 MIZUHO SECURITIES 03/07 PURC 450,000 PAR @ 104.371 459200KA8	469,669.50-	469,669.50	469,669.50
03/11/22	COMCAST CORP NEW 11/05/19 2.650 02/01/30 PENSERRA SECURITIES 03/09 PURC 290,000 PAR @ 97.513 20030NDA6	282,787.70-	282,787.70	282,787.70

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
03/14/22	GOLDMAN SACHS GROUP INC 04/23/18 VAR 05/01/29 SEAPORT SECURITIES CORP 03/10 PURC 245,000 PAR @ 103.38 38141GWZ3	\$253,281.00-	\$253,281.00	\$253,281.00
03/16/22	MORGAN STANLEY 01/23/18 VAR 01/25/29 WELLS FARGO SECURITIES LLC. 03/14 PURC 115,000 PAR @ 100.839 61744YAP3	115,964.85-	115,964.85	115,964.85
03/18/22	INTERNATIONAL BUSINESS MACHS 05/15/19 3.500 05/15/29 MIZUHO SECURITIES 03/16 PURC 180,000 PAR @ 102.328 459200KA8	184,190.40-	184,190.40	184,190.40
03/21/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 CABRERA CAPITAL MARKETS 03/17 PURC 1,380,000 PAR @ 91.5386 91282CBL4	1,263,233.11-	1,263,233.11	1,263,233.11
03/22/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 THE WILLIAMS CAPITAL GROUP LP 03/18 PURC 455,000 PAR @ 91.8046 91282CBL4	417,711.33-	417,711.33	417,711.33
03/22/22	UNITED STATES TREAS NTS 07/31/19 1.875 07/31/26 MISCHLER FINANCIAL GRP, INC - 03/18 PURC 1,020,000 PAR @ 98.7786 912828Y95	1,007,542.08-	1,007,542.08	1,007,542.08
03/24/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 CASTLEOAK SECURITIES LP 03/22 PURC 140,000 PAR @ 90.0312 91282CBL4	126,043.75-	126,043.75	126,043.75
03/24/22	WELLS FARGO & CO MTN 01/24/19 4.150 01/24/29 JP MORGAN SECURITIES 03/22 PURC 225,000 PAR @ 103.512 95000U2D4	232,902.00-	232,902.00	232,902.00

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
03/31/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	\$27,221.00-	\$27,221.00	\$27,221.00
04/04/22	US TREASURY NOTE 07/15/19 1.750 07/15/22 MISCHLER FINANCIAL GRP, INC - 03/31 PURC 230,000 PAR @ 100.3398 9128287C8	230,781.64-	230,781.64	230,781.64
04/07/22	UNITED STATES TREAS NTS 02/15/19 2.625 02/15/29 MFR SECURITIES INC. 04/05 PURC 1,365,000 PAR @ 99.789 9128286B1	1,362,120.70-	1,362,120.70	1,362,120.70
04/08/22	US TREASURY NT 02/15/20 1.500 02/15/30 CABRERA CAPITAL MARKETS 04/06 PURC 1,340,000 PAR @ 91.8281 912828Z94	1,230,496.88-	1,230,496.88	1,230,496.88
04/11/22	US TREASURY NT 02/15/20 1.500 02/15/30 CABRERA CAPITAL MARKETS 04/07 PURC 930,000 PAR @ 91.8593 912828Z94	854,292.19-	854,292.19	854,292.19
04/12/22	US TREASURY NOTE 11/15/17 2.250 11/15/27 CABRERA CAPITAL MARKETS 04/08 PURC 505,000 PAR @ 97.2968 9128283F5	491,349.22-	491,349.22	491,349.22
04/20/22	US TREASURY NT 02/15/20 1.500 02/15/30 THE WILLIAMS CAPITAL GROUP LP 04/18 PURC 750,000 PAR @ 90.75 912828Z94	680,625.00-	680,625.00	680,625.00
04/22/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 MFR SECURITIES INC. 04/19 PURC 420,000 PAR @ 108.2343 912810QS0	454,584.38-	454,584.38	454,584.38

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
04/27/22	UNITED STATES TREAS NTS 02/15/19 2.625 02/15/29 MISCHLER FINANCIAL GRP, INC - 04/25 PURC 410,000 PAR @ 98.5039 9128286B1	\$403,866.01-	\$403,866.01	\$403,866.01
04/29/22	UNITED STATES TREAS NTS 05/15/19 2.375 05/15/29 CASTLEOAK SECURITIES LP 04/27 PURC 625,000 PAR @ 97.4375 9128286T2	608,984.38-	608,984.38	608,984.38
05/02/22	UNITED STATES TREAS NTS 05/15/19 2.375 05/15/29 MISCHLER FINANCIAL GRP, INC - 04/28 PURC 185,000 PAR @ 96.7656 9128286T2	179,016.41-	179,016.41	179,016.41
05/04/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 CASTLEOAK SECURITIES LP 05/02 PURC 190,000 PAR @ 85.7656 91282CBL4	162,954.69-	162,954.69	162,954.69
05/06/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 CABRERA CAPITAL MARKETS 05/03 PURC 145,000 PAR @ 85.8437 91282CBL4	124,473.44-	124,473.44	124,473.44
05/09/22	US TREASURY NOTE 11/15/17 2.250 11/15/27 CABRERA CAPITAL MARKETS 05/05 PURC 255,000 PAR @ 95.7656 9128283F5	244,202.34-	244,202.34	244,202.34
05/10/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 GREAT PACIFIC SECURITIES 05/06 PURC 370,000 PAR @ 98.0859 9128286F2	362,917.97-	362,917.97	362,917.97
05/11/22	US TREASURY NT 05/15/17 2.375 05/15/27 MISCHLER FINANCIAL GRP, INC - 05/09 PURC 380,000 PAR @ 96.9687 912828X88	368,481.25-	368,481.25	368,481.25

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
05/16/22	US TREASURY NT 02/15/20 1.500 02/15/30 CABRERA CAPITAL MARKETS 05/11 PURC 415,000 PAR @ 89.8125 912828Z94	\$372,721.88-	\$372,721.88	\$372,721.88
05/19/22	UNITED STATES TREAS NTS 07/31/19 1.875 07/31/26 STERN BROTHERS & CO. 05/17 PURC 70,000 PAR @ 95.9687 912828Y95	67,178.13-	67,178.13	67,178.13
05/27/22	US TREASURY NT 05/15/17 2.375 05/15/27 THE WILLIAMS CAPITAL GROUP LP 05/25 PURC 140,000 PAR @ 98.2578 912828X88	137,560.94-	137,560.94	137,560.94
05/31/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	31,332.00-	31,332.00	31,332.00
06/10/22	US TREASURY NOTE 11/15/17 2.250 11/15/27 MISCHLER FINANCIAL GRP, INC - 06/08 PURC 675,000 PAR @ 95.9062 9128283F5	647,367.19-	647,367.19	647,367.19
06/15/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 THE WILLIAMS CAPITAL GROUP LP 06/10 PURC 390,000 PAR @ 104.2187 912810QS0	406,453.13-	406,453.13	406,453.13
06/16/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 CABRERA CAPITAL MARKETS 06/13 PURC 645,000 PAR @ 101.7308 912810QS0	656,164.18-	656,164.18	656,164.18
06/16/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 MISCHLER FINANCIAL GRP, INC - 06/14 PURC 150,000 PAR @ 100.7656 912810QS0	151,148.44-	151,148.44	151,148.44

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
06/17/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 GREAT PACIFIC SECURITIES 06/14 PURC 150,000 PAR @ 100.5703 912810QS0	\$150,855.47-	\$150,855.47	\$150,855.47
06/24/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 MISCHLER FINANCIAL GRP, INC - 06/21 PURC 160,000 PAR @ 101.9687 912810QS0	163,150.00-	163,150.00	163,150.00
06/29/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 MISCHLER FINANCIAL GRP, INC - 06/27 PURC 85,000 PAR @ 102.9921 912810QS0	87,543.36-	87,543.36	87,543.36
06/30/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	3,675.00-	3,675.00	3,675.00
07/14/22	UNITED STATES TREAS NTS 11/15/19 1.750 11/15/29 MFR SECURITIES INC. 07/11 PURC 265,000 PAR @ 91.8437 912828YS3	243,385.94-	243,385.94	243,385.94
07/18/22	US TREASURY NOTE 11/15/17 2.250 11/15/27 CASTLEOAK SECURITIES LP 07/13 PURC 350,000 PAR @ 96.0937 9128283F5	336,328.13-	336,328.13	336,328.13
07/22/22	US TREASURY NOTE 11/15/17 2.250 11/15/27 CABRERA CAPITAL MARKETS 07/19 PURC 265,000 PAR @ 95.5156 9128283F5	253,116.41-	253,116.41	253,116.41
07/29/22	US TREASURY NT 05/15/17 2.375 05/15/27 CABRERA CAPITAL MARKETS 07/27 PURC 70,000 PAR @ 97.7343 912828X88	68,414.06-	68,414.06	68,414.06

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
07/31/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	\$7,751.00-	\$7,751.00	\$7,751.00
08/02/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 CABRERA CAPITAL MARKETS 07/28 PURC 175,000 PAR @ 99.0703 9128286F2	173,373.05-	173,373.05	173,373.05
08/03/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 MISCHLER FINANCIAL GRP, INC - 07/29 PURC 115,000 PAR @ 99.0781 9128286F2	113,939.84-	113,939.84	113,939.84
08/04/22	US TREASURY NOTE 06/30/19 1.750 06/30/24 MISCHLER FINANCIAL GRP, INC - 08/02 PURC 255,000 PAR @ 97.8281 9128286Z8	249,461.72-	249,461.72	249,461.72
08/04/22	US TREASURY NOTE 06/30/19 1.750 06/30/24 MFR SECURITIES INC. 08/01 PURC 800,000 PAR @ 97.9648 9128286Z8	783,718.74-	783,718.74	783,718.74
08/10/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 MISCHLER FINANCIAL GRP, INC - 08/05 PURC 700,000 PAR @ 97.9375 9128286F2	685,562.50-	685,562.50	685,562.50
08/22/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 CABRERA CAPITAL MARKETS 08/17 PURC 275,000 PAR @ 97.6406 9128286F2	268,511.72-	268,511.72	268,511.72
08/22/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 THE WILLIAMS CAPITAL GROUP LP 08/18 PURC 120,000 PAR @ 97.8203 9128286F2	117,384.37-	117,384.37	117,384.37

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
08/25/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 CABRERA CAPITAL MARKETS 08/22 PURC 340,000 PAR @ 97.3203 9128286F2	\$330,889.06-	\$330,889.06	\$330,889.06
08/31/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET DEPOSIT 99FEDGOB7	44,426.00-	44,426.00	44,426.00
09/07/22	UNITED STATES TREASURY NOTES 02/15/22 1.875 02/15/32 MFR SECURITIES INC. 09/01 PURC 1,310,000 PAR @ 88.6093 91282CDY4	1,160,782.81-	1,160,782.81	1,160,782.81
09/08/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 GREAT PACIFIC SECURITIES 09/02 PURC 315,000 PAR @ 84.9687 91282CBL4	267,651.56-	267,651.56	267,651.56
09/09/22	UNITED STATES TREASURY NOTES 02/15/22 1.875 02/15/32 CASTLEOAK SECURITIES LP 09/06 PURC 265,000 PAR @ 88.25 91282CDY4	233,862.50-	233,862.50	233,862.50
09/14/22	US TREASURY NOTE 11/15/17 2.250 11/15/27 MFR SECURITIES INC. 09/09 PURC 215,000 PAR @ 94.375 9128283F5	202,906.25-	202,906.25	202,906.25
09/22/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 CABRERA CAPITAL MARKETS 09/19 PURC 1,135,000 PAR @ 100.2656 912810QS0	1,138,014.84-	1,138,014.84	1,138,014.84
09/23/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 THE WILLIAMS CAPITAL GROUP LP 09/21 PURC 105,000 PAR @ 82.5625 91282CBL4	86,690.63-	86,690.63	86,690.63

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
09/27/22	US TREASURY NOTE 11/15/17 2.250 11/15/27 CABRERA CAPITAL MARKETS 09/22 PURC 350,000 PAR @ 92.1562 9128283F5	\$322,546.88-	\$322,546.88	\$322,546.88
09/27/22	US TREASURY NOTE 11/15/17 2.250 11/15/27 MFR SECURITIES INC. 09/23 PURC 495,000 PAR @ 91.9375 9128283F5	455,090.63-	455,090.63	455,090.63
TOTAL ASSETS ACQUIRED		\$38,590,557.05-	\$38,590,557.05	\$38,590,557.05

ASSETS DISPOSED

10/07/21	US TREASURY NT 02/15/20 1.500 02/15/30 CASTLEOAK SECURITIES LP 10/05 SALE 185,000 PAR @ 100.5468 912828Z94	186,011.72	195,269.70-	185,989.75-
10/14/21	US TREASURY NT 05/15/17 2.375 05/15/27 CABRERA CAPITAL MARKETS 10/12 SALE 245,000 PAR @ 106.4453 912828X88	260,791.02	272,187.28-	261,892.75-
10/14/21	US TREASURY NT 02/15/20 1.500 02/15/30 MISCHLER FINANCIAL GRP, INC - 10/12 SALE 365,000 PAR @ 99.9765 912828Z94	364,914.45	385,261.85-	366,952.75-
10/14/21	UNITED STATES TREAS NTS 05/15/19 2.375 05/15/29 MISCHLER FINANCIAL GRP, INC - 10/12 SALE 460,000 PAR @ 106.6484 9128286T2	490,582.81	505,356.13-	493,368.40-
10/15/21	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 14,285.48 UNITS 3128P8GX3	14,285.48	14,285.48-	15,388.18-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
10/15/21	UNITED STATES TREAS NTS 07/31/19 1.875 07/31/26 CABRERA CAPITAL MARKETS 10/13 SALE 730,000 PAR @ 103.8906 912828Y95	\$758,401.56	\$775,576.94-	\$761,338.90-
10/15/21	US TREASURY NT 02/15/20 1.500 02/15/30 MISCHLER FINANCIAL GRP, INC - 10/13 SALE 730,000 PAR @ 100.3476 912828Z94	732,537.89	770,523.69-	733,905.50-
10/15/21	US TREASURY NOTE 02/28/19 2.500 02/28/26 CASTLEOAK SECURITIES LP 10/13 SALE 200,000 PAR @ 106.5546 9128286F2	213,109.37	218,161.31-	213,968.00-
10/18/21	US TREASURY NT 02/15/20 1.500 02/15/30 MISCHLER FINANCIAL GRP, INC - 10/14 SALE 970,000 PAR @ 100.5781 912828Z94	975,607.81	1,023,846.55-	975,189.50-
10/19/21	US TREASURY NT 02/15/20 1.500 02/15/30 GREAT PACIFIC SECURITIES 10/15 SALE 250,000 PAR @ 100.1601 912828Z94	250,400.39	263,877.97-	251,337.50-
10/19/21	UNITED STATES TREAS NTS 05/15/19 2.375 05/15/29 THE WILLIAMS CAPITAL GROUP LP 10/15 SALE 855,000 PAR @ 106.6718 9128286T2	912,044.53	939,303.25-	917,021.70-
10/20/21	US TREASURY NOTE 02/28/19 2.500 02/28/26 GREAT PACIFIC SECURITIES 10/18 SALE 1,145,000 PAR @ 106.1015 9128286F2	1,214,862.88	1,248,973.48-	1,224,966.80-
10/22/21	US TREASURY NOTE 02/28/19 2.500 02/28/26 MISCHLER FINANCIAL GRP, INC - 10/20 SALE 475,000 PAR @ 106.1953 9128286F2	504,427.73	518,133.10-	508,174.00-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
10/25/21	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 29,784.63 UNITS 3132D55P3	\$29,784.63	\$29,784.63-	\$31,388.83-
10/25/21	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 16,705.9 UNITS 3133D3F42	16,705.90	16,705.90-	17,515.63-
10/25/21	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 29,415.33 UNITS 3133KYSE0	29,415.33	29,415.33-	30,811.09-
10/25/21	FN FM7315 05/01/21 3.000 09/01/40 PRINCIPAL PAYMENT OF 14,950.05 UNITS 3140XBDV9	14,950.05	14,950.05-	15,663.32-
10/25/21	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 20,674.25 UNITS 3140XB2D1	20,674.25	20,674.25-	21,956.26-
10/25/21	FN FM2741 03/01/20 4.000 02/01/34 PRINCIPAL PAYMENT OF 18,104.01 UNITS 3140X6BK6	18,104.01	18,104.01-	19,245.11-
10/25/21	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 16,535.57 UNITS 3140X6MZ1	16,535.57	16,535.57-	17,509.35-
10/25/21	FN FM3340 05/01/20 3.500 05/01/35 PRINCIPAL PAYMENT OF 23,147.31 UNITS 3140X6WA5	23,147.31	23,147.31-	24,668.09-
10/25/21	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 13,902.48 UNITS 31418CQD9	13,902.48	13,902.48-	14,700.76-
10/25/21	FN MA3215 11/01/17 3.500 12/01/37 PRINCIPAL PAYMENT OF 33,899.23 UNITS 31418CSD7	33,899.23	33,899.23-	36,083.36-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
10/25/21	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 18,689.75 UNITS 31418CVB7	\$18,689.75	\$18,689.75-	\$19,767.96-
10/25/21	FN MA3361 04/01/18 4.000 04/01/38 PRINCIPAL PAYMENT OF 32,953.46 UNITS 31418CWX8	32,953.46	32,953.46-	35,516.91-
10/25/21	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 36,353.15 UNITS 31418CZ72	36,353.15	36,353.15-	39,221.41-
10/25/21	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 16,027.21 UNITS 31418DNE8	16,027.21	16,027.21-	16,803.25-
10/25/21	US TREASURY NOTES 02/15/21 1.125 02/15/31 GREAT PACIFIC SECURITIES 10/21 SALE 580,000 PAR @ 95.6054 91282CBL4	554,511.71	559,382.81-	561,242.80-
10/26/21	US TREASURY BILL DUE 10/26/21 MATURED ON 10/26/21 805,000 PAR VALUE 912796M55	804,970.93	804,970.93-	804,975.85-
10/28/21	UNITED STATES TREAS BILLS DUE 10/28/21 MATURED ON 10/28/21 850,000 PAR VALUE 912796G78	849,980.88	849,980.88-	849,980.88-
11/12/21	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 MFR SECURITIES INC. 11/09 SALE 70,000 PAR @ 113.2656 912810RS9	79,285.94	76,675.69-	75,674.15-
11/15/21	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 13,474.37 UNITS 3128P8GX3	13,474.37	13,474.37-	14,514.46-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
11/26/21	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 25,179.98 UNITS 3132D55P3	\$25,179.98	\$25,179.98-	\$26,536.17-
11/26/21	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 26,885.68 UNITS 3133D3F42	26,885.68	26,885.68-	28,188.83-
11/26/21	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 26,269.8 UNITS 3133KYSE0	26,269.80	26,269.80-	27,516.30-
11/26/21	FN FM7315 05/01/21 3.000 09/01/40 PRINCIPAL PAYMENT OF 13,405.77 UNITS 3140XBDV9	13,405.77	13,405.77-	14,045.36-
11/26/21	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 16,398.98 UNITS 3140XB2D1	16,398.98	16,398.98-	17,415.88-
11/26/21	FN FM2741 03/01/20 4.000 02/01/34 PRINCIPAL PAYMENT OF 20,349.01 UNITS 3140X6BK6	20,349.01	20,349.01-	21,631.61-
11/26/21	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 12,501.79 UNITS 3140X6MZ1	12,501.79	12,501.79-	13,238.02-
11/26/21	FN FM3340 05/01/20 3.500 05/01/35 PRINCIPAL PAYMENT OF 21,597.46 UNITS 3140X6WA5	21,597.46	21,597.46-	23,016.41-
11/26/21	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 9,606.04 UNITS 31418CQD9	9,606.04	9,606.04-	10,157.62-
11/26/21	FN MA3215 11/01/17 3.500 12/01/37 PRINCIPAL PAYMENT OF 36,890.09 UNITS 31418CSD7	36,890.09	36,890.09-	39,266.92-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
11/26/21	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 12,127.66 UNITS 31418CVB7	\$12,127.66	\$12,127.66-	\$12,827.30-
11/26/21	FN MA3361 04/01/18 4.000 04/01/38 PRINCIPAL PAYMENT OF 23,405.74 UNITS 31418CWX8	23,405.74	23,405.74-	25,226.47-
11/26/21	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 33,689.18 UNITS 31418CZ72	33,689.18	33,689.18-	36,347.26-
11/26/21	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 15,215.64 UNITS 31418DNE8	15,215.64	15,215.64-	15,952.38-
11/30/21	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET WITHDRAWAL 99FEDGOB7	1,736,310.00	1,736,310.00-	1,736,310.00-
12/03/21	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 CABRERA CAPITAL MARKETS 12/01 SALE 45,000 PAR @ 112.0312 912810RS9	50,414.06	49,291.52-	48,647.67-
12/15/21	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 8,101.27 UNITS 3128P8GX3	8,101.27	8,101.27-	8,726.61-
12/27/21	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 20,277.67 UNITS 3132D55P3	20,277.67	20,277.67-	21,369.83-
12/27/21	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 22,083.2 UNITS 3133D3F42	22,083.20	22,083.20-	23,153.57-
12/27/21	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 18,799.36 UNITS 3133KYSE0	18,799.36	18,799.36-	19,691.39-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
12/27/21	FN FM7315 05/01/21 3.000 09/01/40 PRINCIPAL PAYMENT OF 11,133.64 UNITS 3140XBDV9	\$11,133.64	\$11,133.64-	\$11,664.83-
12/27/21	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 16,804.71 UNITS 3140XB2D1	16,804.71	16,804.71-	17,846.77-
12/27/21	FN FM2741 03/01/20 4.000 02/01/34 PRINCIPAL PAYMENT OF 17,374.68 UNITS 3140X6BK6	17,374.68	17,374.68-	18,469.81-
12/27/21	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 13,787.49 UNITS 3140X6MZ1	13,787.49	13,787.49-	14,599.44-
12/27/21	FN FM3340 05/01/20 3.500 05/01/35 PRINCIPAL PAYMENT OF 19,431.41 UNITS 3140X6WA5	19,431.41	19,431.41-	20,708.05-
12/27/21	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 9,452.29 UNITS 31418CQD9	9,452.29	9,452.29-	9,995.04-
12/27/21	FN MA3215 11/01/17 3.500 12/01/37 PRINCIPAL PAYMENT OF 18,825.28 UNITS 31418CSD7	18,825.28	18,825.28-	20,038.19-
12/27/21	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 14,301.81 UNITS 31418CVB7	14,301.81	14,301.81-	15,126.88-
12/27/21	FN MA3361 04/01/18 4.000 04/01/38 PRINCIPAL PAYMENT OF 21,252.29 UNITS 31418CWX8	21,252.29	21,252.29-	22,905.51-
12/27/21	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 25,270.91 UNITS 31418CZ72	25,270.91	25,270.91-	27,264.78-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
12/27/21	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 13,823.58 UNITS 31418DNE8	\$13,823.58	\$13,823.58-	\$14,492.92-
01/05/22	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 CABRERA CAPITAL MARKETS 01/03 SALE 35,000 PAR @ 108.4062 912810RS9	37,942.19	38,337.85-	37,837.08-
01/11/22	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 CABRERA CAPITAL MARKETS 01/07 SALE 30,000 PAR @ 106.2812 912810RS9	31,884.38	32,861.01-	32,431.78-
01/14/22	BANK AMER CORP 03/11/21 VAR 03/11/27 FIRST TENNESSEE SECURITIES CORP 01/12 SALE 285,000 PAR @ 97.938 06051GJQ3	279,123.30	285,000.00-	286,516.20-
01/18/22	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 16,198.97 UNITS 3128P8GX3	16,198.97	16,198.97-	17,449.37-
01/20/22	US TREASURY BILLS DUE 01/20/22 MATURED ON 01/20/22 610,000 PAR VALUE 912796K81	609,936.29	609,936.29-	609,936.29-
01/25/22	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 23,527.28 UNITS 3132D55P3	23,527.28	23,527.28-	24,794.46-
01/25/22	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 34,534.4 UNITS 3133D3F42	34,534.40	34,534.40-	36,208.28-
01/25/22	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 17,678.03 UNITS 3133KYSE0	17,678.03	17,678.03-	18,516.85-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
01/25/22	FN FM7315 05/01/21 3.000 09/01/40 PRINCIPAL PAYMENT OF 11,038.56 UNITS 3140XBDV9	\$11,038.56	\$11,038.56-	\$11,565.21-
01/25/22	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 18,353.34 UNITS 3140XB2D1	18,353.34	18,353.34-	19,491.43-
01/25/22	FN FM2741 03/01/20 4.000 02/01/34 PRINCIPAL PAYMENT OF 19,024.31 UNITS 3140X6BK6	19,024.31	19,024.31-	20,223.41-
01/25/22	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 9,612.68 UNITS 3140X6MZ1	9,612.68	9,612.68-	10,178.77-
01/25/22	FN FM3340 05/01/20 3.500 05/01/35 PRINCIPAL PAYMENT OF 17,820.93 UNITS 3140X6WA5	17,820.93	17,820.93-	18,991.77-
01/25/22	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 10,481.98 UNITS 31418CQD9	10,481.98	10,481.98-	11,083.86-
01/25/22	FN MA3215 11/01/17 3.500 12/01/37 PRINCIPAL PAYMENT OF 18,568.66 UNITS 31418CSD7	18,568.66	18,568.66-	19,765.04-
01/25/22	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 12,198.11 UNITS 31418CVB7	12,198.11	12,198.11-	12,901.82-
01/25/22	FN MA3361 04/01/18 4.000 04/01/38 PRINCIPAL PAYMENT OF 22,373.78 UNITS 31418CWX8	22,373.78	22,373.78-	24,114.24-
01/25/22	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 28,142.98 UNITS 31418CZ72	28,142.98	28,142.98-	30,363.46-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
01/25/22	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 10,315.65 UNITS 31418DNE8	\$10,315.65	\$10,315.65-	\$10,815.13-
01/27/22	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 CABRERA CAPITAL MARKETS 01/25 SALE 15,000 PAR @ 106.75 912810RS9	16,012.50	16,430.51-	16,215.89-
01/28/22	INTERNATIONAL BUSINESS MACHS 05/15/19 3.300 05/15/26 FIRST TENNESSEE SECURITIES CORP 01/26 SALE 315,000 PAR @ 104.858 459200JZ5	330,302.70	353,896.20-	343,186.20-
02/01/22	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 CABRERA CAPITAL MARKETS 01/28 SALE 45,000 PAR @ 105.9843 912810RS9	47,692.97	49,291.52-	48,647.67-
02/15/22	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 7,697.28 UNITS 3128P8GX3	7,697.28	7,697.28-	8,291.43-
02/15/22	UNITED STATES TREAS NTS 02/15/19 2.500 02/15/22 MATURED ON 02/15/22 960,000 PAR VALUE 9128286C9	960,000.00	967,275.00-	967,275.00-
02/16/22	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 GREAT PACIFIC SECURITIES 02/14 SALE 125,000 PAR @ 97.2187 912810RT7	121,523.44	129,969.23-	129,969.23-
02/25/22	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 10,795.95 UNITS 3132D55P3	10,795.95	10,795.95-	11,377.42-
02/25/22	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 16,644.16 UNITS 3133D3F42	16,644.16	16,644.16-	17,450.90-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
02/25/22	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 11,718.58 UNITS 3133KYSE0	\$11,718.58	\$11,718.58-	\$12,274.63-
02/25/22	FN FM7315 05/01/21 3.000 09/01/40 PRINCIPAL PAYMENT OF 8,679.07 UNITS 3140XBDV9	8,679.07	8,679.07-	9,093.15-
02/25/22	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 11,736.62 UNITS 3140XB2D1	11,736.62	11,736.62-	12,464.41-
02/25/22	FN FM2741 03/01/20 4.000 02/01/34 PRINCIPAL PAYMENT OF 18,415.04 UNITS 3140X6BK6	18,415.04	18,415.04-	19,575.74-
02/25/22	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 8,438.73 UNITS 3140X6MZ1	8,438.73	8,438.73-	8,935.69-
02/25/22	FN FM3340 05/01/20 3.500 05/01/35 PRINCIPAL PAYMENT OF 15,777.8 UNITS 3140X6WA5	15,777.80	15,777.80-	16,814.40-
02/25/22	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 8,422.77 UNITS 31418CQD9	8,422.77	8,422.77-	8,906.41-
02/25/22	FN MA3215 11/01/17 3.500 12/01/37 PRINCIPAL PAYMENT OF 24,304.81 UNITS 31418CSD7	24,304.81	24,304.81-	25,870.77-
02/25/22	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 8,791.7 UNITS 31418CVB7	8,791.70	8,791.70-	9,298.89-
02/25/22	FN MA3361 04/01/18 4.000 04/01/38 PRINCIPAL PAYMENT OF 12,939.05 UNITS 31418CWX8	12,939.05	12,939.05-	13,945.58-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
02/25/22	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 20,781.25 UNITS 31418CZ72	\$20,781.25	\$20,781.25-	\$22,420.89-
02/25/22	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 9,029.42 UNITS 31418DNE8	9,029.42	9,029.42-	9,466.62-
02/25/22	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 MISCHLER FINANCIAL GRP, INC - 02/23 SALE 145,000 PAR @ 97.375 912810RT7	141,193.75	150,764.31-	150,764.31-
03/03/22	US TREASURY BILL DUE 03/03/22 MATURED ON 03/03/22 1,215,000 PAR VALUE 912796L98	1,214,800.13	1,214,800.13-	1,214,800.13-
03/03/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 MISCHLER FINANCIAL GRP, INC - 03/01 SALE 345,000 PAR @ 95.375 91282CBL4	329,043.75	320,989.04-	320,989.04-
03/09/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 MFR SECURITIES INC. 03/07 SALE 270,000 PAR @ 94.8125 91282CBL4	255,993.75	251,208.81-	251,208.81-
03/15/22	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 5,266.94 UNITS 3128P8GX3	5,266.94	5,266.94-	5,673.49-
03/15/22	US TREASURY NOTE 03/15/19 2.375 03/15/22 MATURED ON 03/15/22 1,300,000 PAR VALUE 9128286H8	1,300,000.00	1,311,897.66-	1,311,897.66-
03/21/22	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 CABRERA CAPITAL MARKETS 03/17 SALE 610,000 PAR @ 93.4765 912810RT7	570,207.03	634,249.85-	634,249.85-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
03/22/22	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 MISCHLER FINANCIAL GRP, INC - 03/18 SALE 440,000 PAR @ 94.2812 912810RT7	\$414,837.50	\$457,491.69-	\$457,491.69-
03/25/22	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 19,274.41 UNITS 3132D55P3	19,274.41	19,274.41-	20,312.53-
03/25/22	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 13,319.04 UNITS 3133D3F42	13,319.04	13,319.04-	13,964.61-
03/25/22	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 10,977.57 UNITS 3133KYSE0	10,977.57	10,977.57-	11,498.46-
03/25/22	FN FM7315 05/01/21 3.000 09/01/40 PRINCIPAL PAYMENT OF 5,469.88 UNITS 3140XBDV9	5,469.88	5,469.88-	5,730.85-
03/25/22	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 11,948.77 UNITS 3140XB2D1	11,948.77	11,948.77-	12,689.71-
03/25/22	FN FM2741 03/01/20 4.000 02/01/34 PRINCIPAL PAYMENT OF 12,309.39 UNITS 3140X6BK6	12,309.39	12,309.39-	13,085.25-
03/25/22	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 7,213.99 UNITS 3140X6MZ1	7,213.99	7,213.99-	7,638.82-
03/25/22	FN FM3340 05/01/20 3.500 05/01/35 PRINCIPAL PAYMENT OF 14,962.07 UNITS 3140X6WA5	14,962.07	14,962.07-	15,945.08-
03/25/22	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 6,225.98 UNITS 31418CQD9	6,225.98	6,225.98-	6,583.48-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
03/25/22	FN MA3215 11/01/17 3.500 12/01/37 PRINCIPAL PAYMENT OF 23,087.35 UNITS 31418CSD7	\$23,087.35	\$23,087.35-	\$24,574.87-
03/25/22	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 7,939.82 UNITS 31418CVB7	7,939.82	7,939.82-	8,397.87-
03/25/22	FN MA3361 04/01/18 4.000 04/01/38 PRINCIPAL PAYMENT OF 17,244.52 UNITS 31418CW8	17,244.52	17,244.52-	18,585.97-
03/25/22	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 21,255.82 UNITS 31418CZ72	21,255.82	21,255.82-	22,932.90-
03/25/22	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 5,895.31 UNITS 31418DNE8	5,895.31	5,895.31-	6,180.76-
03/31/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET WITHDRAWAL 99FEDGOB7	1,034,675.00	1,034,675.00-	1,034,675.00-
04/01/22	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 MFR SECURITIES INC. 03/30 SALE 80,000 PAR @ 93.1718 912810RT7	74,537.50	83,180.31-	83,180.31-
04/05/22	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 THE WILLIAMS CAPITAL GROUP LP 04/01 SALE 130,000 PAR @ 92.0859 912810RT7	119,711.72	135,168.00-	135,168.00-
04/07/22	US TREASURY BILL DUE 04/07/22 MATURED ON 04/07/22 1,185,000 PAR VALUE 912796N47	1,184,748.58	1,184,748.58-	1,184,748.58-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
04/07/22	US TREASURY NOTE 05/31/17 1.750 05/31/22 CASTLEOAK SECURITIES LP 04/05 SALE 605,000 PAR @ 100.1875 912828XR6	\$606,134.38	\$607,174.22-	\$607,174.22-
04/08/22	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 CABRERA CAPITAL MARKETS 04/06 SALE 30,000 PAR @ 94.2187 912810RS9	28,265.63	32,861.01-	32,431.78-
04/08/22	US TREASURY NOTE 05/31/17 1.750 05/31/22 GREAT PACIFIC SECURITIES 04/06 SALE 325,000 PAR @ 100.1835 912828XR6	325,596.68	326,167.97-	326,167.97-
04/08/22	US TREASURY NT 06/15/19 1.750 06/15/22 GREAT PACIFIC SECURITIES 04/06 SALE 825,000 PAR @ 100.2304 9128286Y1	826,901.36	833,701.18-	833,701.18-
04/11/22	UNITED STATES TREAS BDS 08/15/16 2.250 08/15/46 CABRERA CAPITAL MARKETS 04/07 SALE 150,000 PAR @ 89.3281 912810RT7	133,992.19	155,963.08-	155,963.08-
04/12/22	US TREASURY NT 06/15/19 1.750 06/15/22 MISCHLER FINANCIAL GRP, INC - 04/08 SALE 85,000 PAR @ 100.2031 9128286Y1	85,172.66	85,896.48-	85,896.48-
04/15/22	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 9,934.53 UNITS 3128P8GX3	9,934.53	9,934.53-	10,701.38-
04/20/22	AMERICAN EXPRESS CO 08/01/17 VAR 08/01/22 OPT CALL 07/01/2022 @ 100.00 GREAT PACIFIC SECURITIES 04/18 SALE 485,000 PAR @ 100.0669 025816BN8	485,324.71	486,901.20-	486,901.20-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
04/20/22	US TREASURY NOTE 07/15/19 1.750 07/15/22 GREAT PACIFIC SECURITIES 04/18 SALE 230,000 PAR @ 100.2265 9128287C8	\$230,521.09	\$230,781.64-	\$230,781.64-
04/21/22	DISNEY WALT CO 09/06/19 VAR 09/01/22 GREAT PACIFIC SECURITIES 04/19 SALE 490,000 PAR @ 100.0608 254687FH4	490,298.17	491,479.80-	491,479.80-
04/21/22	KEYBANK NATL ASSN CLEVELAND 12/16/20 VAR 01/03/24 OPT CALL 01/03/2023 @ 100.00 WELLS FARGO SECURITIES LLC. 04/19 SALE 485,000 PAR @ 99.331 49327M3B8	481,755.35	485,814.80-	485,814.80-
04/25/22	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 15,660.9 UNITS 3132D55P3	15,660.90	15,660.90-	16,504.40-
04/25/22	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 1,654.72 UNITS 3133D3F42	1,654.72	1,654.72-	1,734.92-
04/25/22	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 11,403.17 UNITS 3133KYSE0	11,403.17	11,403.17-	11,944.25-
04/25/22	FN FM7315 05/01/21 3.000 09/01/40 PRINCIPAL PAYMENT OF 5,324.29 UNITS 3140XBDV9	5,324.29	5,324.29-	5,578.31-
04/25/22	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 11,594.38 UNITS 3140XB2D1	11,594.38	11,594.38-	12,313.35-
04/25/22	FN FM2741 03/01/20 4.000 02/01/34 PRINCIPAL PAYMENT OF 15,587.55 UNITS 3140X6BK6	15,587.55	15,587.55-	16,570.03-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
04/25/22	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 7,167.54 UNITS 3140X6MZ1	\$7,167.54	\$7,167.54-	\$7,589.64-
04/25/22	FN FM3340 05/01/20 3.500 05/01/35 PRINCIPAL PAYMENT OF 15,313.65 UNITS 3140X6WA5	15,313.65	15,313.65-	16,319.76-
04/25/22	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 5,196.37 UNITS 31418CQD9	5,196.37	5,196.37-	5,494.75-
04/25/22	FN MA3215 11/01/17 3.500 12/01/37 PRINCIPAL PAYMENT OF 21,837.92 UNITS 31418CSD7	21,837.92	21,837.92-	23,244.94-
04/25/22	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 8,875.85 UNITS 31418CVB7	8,875.85	8,875.85-	9,387.90-
04/25/22	FN MA3361 04/01/18 4.000 04/01/38 PRINCIPAL PAYMENT OF 16,554.55 UNITS 31418CWX8	16,554.55	16,554.55-	17,842.33-
04/25/22	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 17,962.07 UNITS 31418CZ72	17,962.07	17,962.07-	19,379.28-
04/25/22	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 5,867.34 UNITS 31418DNE8	5,867.34	5,867.34-	6,151.44-
04/27/22	FN FM7315 05/01/21 3.000 09/01/40 INTL FCSTONE FINANCIAL INC. 04/25 SALE 216,143.65 PAR @ 97.0624 3140XBVD9	209,794.42	233,971.78-	226,455.85-
04/30/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET WITHDRAWAL 99FEDGOB7	508,670.00	508,670.00-	508,670.00-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
04/30/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET WITHDRAWAL 99FEDGOB7	\$347,957.00	\$347,957.00-	\$347,957.00-
05/02/22	CITIGROUP INC SR FLT NT 08/26/16 VAR 09/01/23 OPT CALL 09/01/2022 @ 100.00 WELLS FARGO SECURITIES LLC. 04/28 SALE 355,000 PAR @ 100.1269 172967KX8	355,450.50	357,549.78-	357,549.78-
05/05/22	AMERICAN EXPRESS SR GLBL FL NT 08/03/18 VAR 08/03/23 OPT CALL 07/03/2023 @ 100.00 MARKETAXESS CORP 05/03 SALE 365,000 PAR @ 100.2151 025816BX6	365,785.12	368,580.11-	368,580.11-
05/10/22	FN FM2741 03/01/20 4.000 02/01/34 INTL FCSTONE FINANCIAL INC. 05/06 SALE 341,269.82 PAR @ 101.9062 3140X6BK6	347,775.26	387,939.73-	362,780.05-
05/11/22	FN FM3340 05/01/20 3.500 05/01/35 JP MORGAN SECURITIES 05/09 SALE 380,627.047 PAR @ 100.5156 3140X6WA5	382,589.66	422,127.38-	405,634.24-
05/13/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 CABRERA CAPITAL MARKETS 05/11 SALE 370,000 PAR @ 98.3281 9128286F2	363,814.06	362,917.97-	362,917.97-
05/16/22	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 9,340.31 UNITS 3128P8GX3	9,340.31	9,340.31-	10,061.29-
05/25/22	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 17,572.69 UNITS 3132D55P3	17,572.69	17,572.69-	18,519.15-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
05/25/22	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 1,897.44 UNITS 3133D3F42	\$1,897.44	\$1,897.44-	\$1,989.41-
05/25/22	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 3,861.15 UNITS 3133KYSE0	3,861.15	3,861.15-	4,044.36-
05/25/22	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 10,443.07 UNITS 3140XB2D1	10,443.07	10,443.07-	11,090.64-
05/25/22	FN FM2741 03/01/20 4.000 02/01/34 PRINCIPAL PAYMENT OF 9,558.59 UNITS 3140X6BK6	9,558.59	10,865.76-	10,161.07-
05/25/22	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 3,882.66 UNITS 3140X6MZ1	3,882.66	3,882.66-	4,111.31-
05/25/22	FN FM3340 05/01/20 3.500 05/01/35 PRINCIPAL PAYMENT OF 13,675.93 UNITS 3140X6WA5	13,675.93	13,675.93-	14,574.44-
05/25/22	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 5,594.01 UNITS 31418CQD9	5,594.01	5,594.01-	5,915.22-
05/25/22	FN MA3215 11/01/17 3.500 12/01/37 PRINCIPAL PAYMENT OF 17,000.3 UNITS 31418CSD7	17,000.30	17,000.30-	18,095.63-
05/25/22	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 5,115.4 UNITS 31418CVB7	5,115.40	5,115.40-	5,410.51-
05/25/22	FN MA3361 04/01/18 4.000 04/01/38 PRINCIPAL PAYMENT OF 12,493.73 UNITS 31418CWX8	12,493.73	12,493.73-	13,465.62-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
05/25/22	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 16,457.93 UNITS 31418CZ72	\$16,457.93	\$16,457.93-	\$17,756.46-
05/25/22	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 4,254.11 UNITS 31418DNE8	4,254.11	4,254.11-	4,460.09-
06/10/22	FN MA3215 11/01/17 3.500 12/01/37 CANTOR FITZGERALD & CO 06/08 SALE 648,418.92 PAR @ 99.7226 31418CSD7	646,620.56	707,477.13-	690,196.55-
06/15/22	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 4,372.04 UNITS 3128P8GX3	4,372.04	4,372.04-	4,709.52-
06/15/22	FN MA3361 04/01/18 4.000 04/01/38 MFR SECURITIES INC. 06/10 SALE 390,096.67 PAR @ 100.4239 31418CWX8	391,750.29	444,037.61-	420,442.28-
06/15/22	UNITED STATES TREAS NTS 07/31/19 1.875 07/31/26 CABRERA CAPITAL MARKETS 06/13 SALE 700,000 PAR @ 93.8346 912828Y95	656,842.73	690,187.29-	690,187.29-
06/16/22	UNITED STATES TREAS NTS 07/31/19 1.875 07/31/26 CABRERA CAPITAL MARKETS 06/14 SALE 165,000 PAR @ 93.2851 912828Y95	153,920.51	162,687.01-	162,687.00-
06/16/22	UNITED STATES TREAS NTS 07/31/19 1.875 07/31/26 MISCHLER FINANCIAL GRP, INC - 06/14 SALE 165,000 PAR @ 93.4609 912828Y95	154,210.55	162,687.00-	162,687.01-
06/23/22	US TREASURY NT 05/15/17 2.375 05/15/27 CASTLEOAK SECURITIES LP 06/21 SALE 115,000 PAR @ 95.2265 912828X88	109,510.55	111,913.18-	111,913.18-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
06/23/22	UNITED STATES TREAS NTS 07/31/19 1.875 07/31/26 CASTLEOAK SECURITIES LP 06/21 SALE 60,000 PAR @ 94.0937 912828Y95	\$56,456.25	\$59,158.91-	\$59,158.91-
06/27/22	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 5,875.69 UNITS 3132D55P3	5,875.69	5,875.69-	6,192.15-
06/27/22	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 26,028 UNITS 3133D3F42	26,028.00	26,028.00-	27,289.58-
06/27/22	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 6,740.27 UNITS 3133KYSE0	6,740.27	6,740.27-	7,060.10-
06/27/22	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 8,659.83 UNITS 3140XB2D1	8,659.83	8,659.83-	9,196.83-
06/27/22	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 4,681.11 UNITS 3140X6MZ1	4,681.11	4,681.11-	4,956.78-
06/27/22	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 5,039.41 UNITS 31418CQD9	5,039.41	5,039.41-	5,328.77-
06/27/22	FN MA3215 11/01/17 3.500 12/01/37 PRINCIPAL PAYMENT OF 10,511.24 UNITS 31418CSD7	10,511.24	10,511.24-	11,188.48-
06/27/22	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 4,857.29 UNITS 31418CVB7	4,857.29	4,857.29-	5,137.51-
06/27/22	FN MA3361 04/01/18 4.000 04/01/38 PRINCIPAL PAYMENT OF 8,329.27 UNITS 31418CWX8	8,329.27	8,329.27-	8,977.20-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
06/27/22	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 7,631.98 UNITS 31418CZ72	\$7,631.98	\$7,631.98-	\$8,234.14-
06/27/22	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 3,891.91 UNITS 31418DNE8	3,891.91	3,891.91-	4,080.36-
07/13/22	FN MA3151 09/01/17 3.000 10/01/37 CANTOR - LENDING 07/11 SALE 265,007.33 PAR @ 97.2343 31418CQD9	257,678.23	285,860.70-	280,224.03-
07/15/22	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 5,986.85 UNITS 3128P8GX3	5,986.85	5,986.85-	6,448.97-
07/15/22	FR SB8054 06/01/20 3.000 07/01/35 KEYBANC CAPITAL MARKETS INC. 07/13 SALE 342,196.4 PAR @ 97.8984 3132D55P3	335,004.95	375,810.00-	360,627.10-
07/21/22	FN MA3309 02/01/18 3.500 02/01/38 MFR SECURITIES INC. 07/19 SALE 257,204.11 PAR @ 98.6249 31418CVB7	253,667.54	288,018.47-	272,042.22-
07/25/22	FR SB8054 06/01/20 3.000 07/01/35 PRINCIPAL PAYMENT OF 8,045 UNITS 3132D55P3	8,045.00	8,045.00-	8,478.31-
07/25/22	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 1,584.96 UNITS 3133D3F42	1,584.96	1,584.96-	1,661.78-
07/25/22	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 6,179.23 UNITS 3133KYSE0	6,179.23	6,179.23-	6,472.43-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
07/25/22	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 6,477.45 UNITS 3140XB2D1	\$6,477.45	\$6,477.45-	\$6,879.12-
07/25/22	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 5,208.32 UNITS 3140X6MZ1	5,208.32	5,208.32-	5,515.04-
07/25/22	FN MA3151 09/01/17 3.000 10/01/37 PRINCIPAL PAYMENT OF 4,255.22 UNITS 31418CQD9	4,255.22	4,255.22-	4,499.56-
07/25/22	FN MA3309 02/01/18 3.500 02/01/38 PRINCIPAL PAYMENT OF 5,310.68 UNITS 31418CVB7	5,310.68	5,946.93-	5,617.05-
07/25/22	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 8,466.6 UNITS 31418CZ72	8,466.60	8,466.60-	9,134.61-
07/25/22	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 4,543.01 UNITS 31418DNE8	4,543.01	4,543.01-	4,762.98-
08/01/22	UNITED STATES TREAS BDS 05/15/16 2.500 05/15/46 CABRERA CAPITAL MARKETS 07/28 SALE 200,000 PAR @ 87.2343 912810RS9	174,468.75	219,073.40-	216,211.86-
08/02/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 MISCHLER FINANCIAL GRP, INC - 07/29 SALE 105,000 PAR @ 108.875 912810QS0	114,318.75	108,669.70-	108,669.70-
08/03/22	UNITED STATES TREAS BDS 08/15/11 3.750 08/15/41 MFR SECURITIES INC. 08/01 SALE 315,000 PAR @ 109.625 912810QS0	345,318.75	326,009.09-	326,009.09-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
08/03/22	US TREASURY NOTES 02/15/21 1.125 02/15/31 MISCHLER FINANCIAL GRP, INC - 08/01 SALE 490,000 PAR @ 88.9062 91282CBL4	\$435,640.63	\$444,270.13-	\$444,270.13-
08/03/22	UNITED STATES TREAS NTS 05/15/19 2.375 05/15/29 CABRERA CAPITAL MARKETS 08/01 SALE 235,000 PAR @ 98.3046 9128286T2	231,016.02	228,617.51-	228,617.51-
08/09/22	US TREASURY NOTE 06/30/19 1.750 06/30/24 GREAT PACIFIC SECURITIES 08/05 SALE 710,000 PAR @ 97.2617 9128286Z8	690,558.20	695,315.76-	695,315.76-
08/15/22	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 4,673.86 UNITS 3128P8GX3	4,673.86	4,673.86-	5,034.64-
08/19/22	FN FM7971 07/01/21 4.000 08/01/36 JP MORGAN SECURITIES 08/17 SALE 273,266.93 PAR @ 100.7031 3140XB2D1	275,188.34	305,341.42-	290,212.21-
08/24/22	US TREASURY NOTE 06/30/19 1.750 06/30/24 THE WILLIAMS CAPITAL GROUP LP 08/22 SALE 345,000 PAR @ 97.1367 9128286Z8	335,121.68	337,864.70-	337,864.70-
08/25/22	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 1,568.96 UNITS 3133D3F42	1,568.96	1,568.96-	1,645.01-
08/25/22	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 4,356.54 UNITS 3133KYSE0	4,356.54	4,356.54-	4,563.26-
08/25/22	FN FM7971 07/01/21 4.000 08/01/36 PRINCIPAL PAYMENT OF 6,567.23 UNITS 3140XB2D1	6,567.23	7,338.05-	6,974.46-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
08/25/22	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 4,415.12 UNITS 3140X6MZ1	\$4,415.12	\$4,415.12-	\$4,675.13-
08/25/22	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 5,669.98 UNITS 31418CZ72	5,669.98	5,669.98-	6,117.34-
08/25/22	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 3,374.76 UNITS 31418DNE8	3,374.76	3,374.76-	3,538.17-
09/06/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 GREAT PACIFIC SECURITIES 09/01 SALE 1,205,000 PAR @ 96.5859 9128286F2	1,163,860.54	1,180,313.60-	1,180,313.59-
09/07/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 GREAT PACIFIC SECURITIES 09/02 SALE 275,000 PAR @ 96.9375 9128286F2	266,578.13	269,366.17-	269,366.18-
09/08/22	US TREASURY NOTE 02/28/19 2.500 02/28/26 CASTLEOAK SECURITIES LP 09/06 SALE 245,000 PAR @ 96.5468 9128286F2	236,539.84	239,980.77-	239,980.77-
09/14/22	FN FM3075 04/01/20 3.500 11/01/39 PERSHING LLC 09/14 SALE 213,910.53 PAR @ 96.7578 3140X6MZ1	206,975.15	245,683.46-	226,507.71-
09/15/22	FG C92014 08/01/18 4.000 09/01/38 PRINCIPAL PAYMENT OF 1,565.22 UNITS 3128P8GX3	1,565.22	1,565.22-	1,686.04-
09/21/22	UNITED STATES TREASURY NOTES 02/15/22 1.875 02/15/32 CABRERA CAPITAL MARKETS 09/19 SALE 1,300,000 PAR @ 87.1718 91282CDY4	1,133,234.38	1,151,135.81-	1,151,135.81-

ASSETS ACQUIRED & DISPOSED

10/01/21 THROUGH 09/30/22

DATE	SECURITY	AMOUNT PAID/ SALE PROCEEDS	HISTORICAL COST	ERISA COST
09/26/22	FR QK0187 02/01/20 3.000 01/01/40 PRINCIPAL PAYMENT OF 12,260 UNITS 3133D3F42	\$12,260.00	\$12,260.00-	\$12,854.24-
09/26/22	FR RB5017 10/01/19 3.000 11/01/39 PRINCIPAL PAYMENT OF 4,499.1 UNITS 3133KYSE0	4,499.10	4,499.10-	4,712.58-
09/26/22	FN FM3075 04/01/20 3.500 11/01/39 PRINCIPAL PAYMENT OF 2,687.99 UNITS 3140X6MZ1	2,687.99	2,687.99-	2,846.28-
09/26/22	FN MA3465 08/01/18 4.000 08/01/38 PRINCIPAL PAYMENT OF 6,509.1 UNITS 31418CZ72	6,509.10	6,509.10-	7,022.67-
09/26/22	FN MA3988 03/01/20 3.000 03/01/40 PRINCIPAL PAYMENT OF 2,589.05 UNITS 31418DNE8	2,589.05	2,589.05-	2,714.41-
09/26/22	MORGAN STANLEY 01/23/18 VAR 01/25/29 CITIGROUP GLOBAL MARKETS 09/22 SALE 355,000 PAR @ 91.379 61744YAP3	324,395.45	364,787.63-	387,834.41-
09/27/22	MORGAN STANLEY 01/23/18 VAR 01/25/29 JP MORGAN SECURITIES 09/23 SALE 495,000 PAR @ 90.898 61744YAP3	449,945.10	508,647.54-	540,783.19-
09/30/22	FEDERATED GOVERNMENT OBLIGATION INSTITUTIONAL SHARES MONTHLY MONEY MARKET WITHDRAWAL 99FEDGOB7	43,141.00	43,141.00-	43,141.00-
TOTAL ASSETS DISPOSED		\$38,085,165.03	\$39,194,550.19-	\$38,976,618.14-