

Form 5500-SF

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration
Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500-SF.**

OMB Nos. 1210-0110
1210-0089

2022

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022

- A** This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- B** This return/report is the first return/report the final return/report
 an amended return/report a short plan year return/report (less than 12 months)
- C** Check box if filing under: Form 5558 automatic extension DFVC program
 special extension (enter description)
- D** If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.

Part II Basic Plan Information—enter all requested information

| | | |
|---|--|--|
| 1a Name of plan JESSICA'S FACTORY OUTLET, LLC PROFIT SHARING PLAN | | 1b Three-digit plan number (PN) ▶ 001 |
| | | 1c Effective date of plan 03/01/2016 |
| 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) JESSICA'S FACTORY OUTLET, LLC SLTG LLP 15260 VENTURA BLVD STE 1000 SHERMAN OAKS, CA 91403-5345 | | 2b Employer Identification Number (EIN) 45-2808539 |
| | | 2c Sponsor's telephone number 818-741-2074 |
| | | 2d Business code (see instructions) 424300 |
| 3a Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor. | | 3b Administrator's EIN |
| | | 3c Administrator's telephone number |
| 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name c Plan Name | | 4b EIN |
| | | 4d PN |
| 5a Total number of participants at the beginning of the plan year..... | | 5a 3 |
| b Total number of participants at the end of the plan year | | 5b 0 |
| c Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | | 5c 0 |
| d(1) Total number of active participants at the beginning of the plan year | | 5d(1) 3 |
| d(2) Total number of active participants at the end of the plan year..... | | 5d(2) 0 |
| e Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested..... | | 5e 0 |

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.
 Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|------------------|---|------------|--|
| SIGN HERE | Filed with authorized/valid electronic signature. | 10/04/2023 | ENRIQUE SANCHEZ |
| | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN HERE | Filed with authorized/valid electronic signature. | 10/04/2023 | ENRIQUE SANCHEZ |
| | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |

- 6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Yes No
- b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) Yes No
- If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.**
- c** If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined
- If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____ (See instructions.)

| Part III Financial Information | | | |
|---|--------------|------------------------------|------------------------|
| 7 Plan Assets and Liabilities | | (a) Beginning of Year | (b) End of Year |
| a Total plan assets..... | 7a | 224539 | 0 |
| b Total plan liabilities..... | 7b | 0 | 0 |
| c Net plan assets (subtract line 7b from line 7a)..... | 7c | 224539 | 0 |
| 8 Income, Expenses, and Transfers for this Plan Year | | (a) Amount | (b) Total |
| a Contributions received or receivable from: | | | |
| (1) Employers..... | 8a(1) | | |
| (2) Participants..... | 8a(2) | | |
| (3) Others (including rollovers)..... | 8a(3) | | |
| b Other income (loss)..... | 8b | -32377 | |
| c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)..... | 8c | | -32377 |
| d Benefits paid (including direct rollovers and insurance premiums to provide benefits)..... | 8d | 191278 | |
| e Certain deemed and/or corrective distributions (see instructions)..... | 8e | | |
| f Administrative service providers (salaries, fees, commissions)..... | 8f | 884 | |
| g Other expenses..... | 8g | | |
| h Total expenses (add lines 8d, 8e, 8f, and 8g)..... | 8h | | 192162 |
| i Net income (loss) (subtract line 8h from line 8c)..... | 8i | | -224539 |
| j Transfers to (from) the plan (see instructions)..... | 8j | | |

| Part IV Plan Characteristics | |
|-------------------------------------|---|
| 9a | If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 3D |
| b | If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: |

| Part V Compliance Questions | | | | |
|--|------------|------------|-----------|---------------|
| 10 During the plan year: | | Yes | No | Amount |
| a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)..... | 10a | | X | |
| b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)..... | 10b | | X | |
| c Was the plan covered by a fidelity bond?..... | 10c | X | | 25000 |
| d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?..... | 10d | | X | |
| e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)..... | 10e | | X | |
| f Has the plan failed to provide any benefit when due under the plan?..... | 10f | | X | |
| g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)..... | 10g | | X | |
| h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)..... | 10h | | X | |
| i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3..... | 10i | | | |

Part VI Pension Funding Compliance

11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and lines 11a and b below.) If this is a defined contribution pension plan, leave line 11 blank and complete line 12 below. Yes No

a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40..... **11a**

b PBGC missed contribution reporting requirements. If the plan is covered by PBGC and the amount reported on line 11a is greater than \$0, has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

- Yes.
- No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.
- No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.
- No. Other. Provide explanation _____

12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No
 (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) If this is a defined benefit pension plan, leave line 12 blank and complete line 11 above.

a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver.Month Day Year

If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.

b Enter the minimum required contribution for this plan year **12b**

c Enter the amount contributed by the employer to the plan for this plan year **12c**

d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) **12d**

e Will the minimum funding amount reported on line 12d be met by the funding deadline?..... Yes No N/A

Part VII Plan Terminations and Transfers of Assets

13a Has a resolution to terminate the plan been adopted in any plan year? Yes No

If "Yes," enter the amount of any plan assets that reverted to the employer this year..... **13a** 0

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?..... Yes No

c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| 13c(1) Name of plan(s): | 13c(2) EIN(s) | 13c(3) PN(s) |
|--------------------------------|----------------------|---------------------|
| | | |

Primary Account: 5XH-05017

JESSICA'S FACTORY OUTLET, LLC
JFO PROFIT SHARING PLAN
U/A 03/01/2016
8075 W 3RD ST STE 300
LOS ANGELES CA 90048-4334

WEALTH MANAGEMENT REPORT

October 01, 2022 - October 31, 2022

PORTFOLIO SUMMARY

| | October 31 | September 30 | Month Change |
|---------------------------------------|-----------------------|---------------------|-------------------------|
| Net Portfolio Value | - | \$191,277.89 | (\$191,277.89) ▼ |
| Your assets | - | \$191,277.89 | (\$191,277.89) ▼ |
| Your liabilities | - | - | - |
| Your Net Cash Flow (Inflows/Outflows) | (\$191,277.89) | - | - |
| Securities You Transferred In/Out | - | - | - |
| Subtotal Net Contributions | (\$191,277.89) | - | - |
| Your Dividends/Interest Income | - | - | - |
| Your Market Gains/(Losses) | - | - | - |
| Subtotal Investment Earnings | - | - | - |

If you have questions on your statement,
call 24-Hour Assistance:
(866) 4MLBUSINESS
(866) 465-2874
Access Code: 63-594-05017

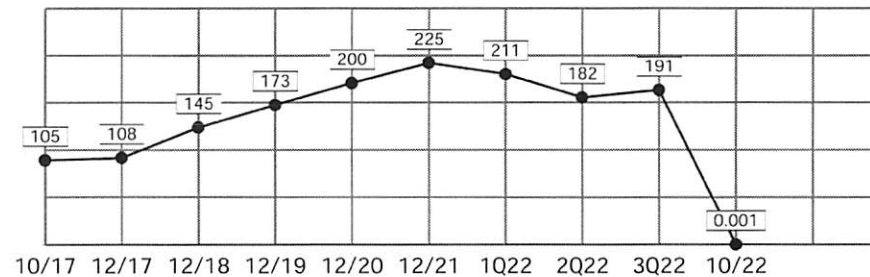
Investment Advice and Guidance:
Call Your Financial Advisor

Your Financial Advisor:
LEWKOWITZ-GERSTEN WEALTH MGMT GROUP
114 W. 47TH STREET 15TH FLOOR
NEW YORK NY 10036
1-800-999-1185

Up-to-date account information can be viewed
at: www.mymerrill.com, where your statements
are archived for three or more years.

Questions about MyMerrill? Click the "help" tab
at the top of the screen once you log in.

Total Value (Net Portfolio Value plus Assets Not Held/Valued By MLPF&S, if any) in thousands, 2017-2022



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Investment products: **Are Not FDIC Insured** | **Are Not Bank Guaranteed** | **May Lose Value**

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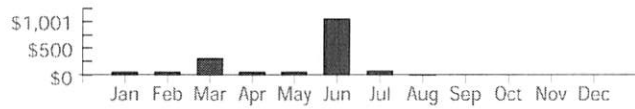
Primary Account: 5XH-05017

24-Hour Assistance: (866) 4MLBUSINESS
Access Code: 63-594-05017

YOUR PORTFOLIO REVIEW

October 01, 2022 - October 31, 2022

CURRENT INCOME



| | This Report | Year To Date |
|----------------------|-------------|-------------------|
| Tax-Exempt Interest | - | - |
| Taxable Interest | - | - |
| Tax-Exempt Dividends | - | - |
| Taxable Dividends | - | 1,881.67 |
| Total | - | \$1,881.67 |

Your Estimated Annual Income -

FINANCIAL MARKET INDICATORS

| | This Report | Last Report | Previous Year End |
|----------------------------|-------------|-------------|-------------------|
| S&P 500 | 3871.98 | 3585.62 | 4766.18 |
| Three-Month Treasury Bills | 4.06% | 3.24% | .03% |
| Long-Term Treasury Bonds | 4.16% | 3.77% | 1.90% |
| One-Month BSBY | 3.63% | 3.09% | .08% |
| NASDAQ | 10988.14 | 10575.62 | 15644.97 |

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Online at: www.mymerrill.com

Account Number: 5XH-05017

24-Hour Assistance: (866) 4MLBUSINESS

Access Code: 63-594-05017

JESSICA'S FACTORY OUTLET, LLC
 JFO PROFIT SHARING PLAN
 U/A 03/01/2016
 8075 W 3RD ST STE 300
 LOS ANGELES CA 90048-4334

Net Portfolio Value: \$0.00

Your Financial Advisor:
 LEWKOWITZ-GERSTEN WEALTH MGMT
 GROUP
 114 W. 47TH STREET 15TH FLOOR
 NEW YORK NY 10036
 1-800-999-1185

JESSICAS FACTORY LLC

October 01, 2022 - October 31, 2022

| | <i>This Statement</i> | <i>Year to Date</i> |
|-----------------------------------|-----------------------|---------------------|
| Opening Value (10/01) | \$191,277.89 | |
| Total Credits | - | 1,881.67 |
| Total Debits | (191,277.89) | (192,211.53) |
| Securities You Transferred In/Out | - | - |
| Market Gains/(Losses) | - | (34,270.11) |
| Closing Value (10/31) | \$0.00 | |

| | <i>October 31</i> | <i>September 30</i> |
|----------------------------------|-------------------|---------------------|
| ASSETS | | |
| Cash/Money Accounts | - | 191,277.89 |
| Fixed Income | - | - |
| Equities | - | - |
| Mutual Funds | - | - |
| Options | - | - |
| Other | - | - |
| <i>Subtotal (Long Portfolio)</i> | - | 191,277.89 |
| TOTAL ASSETS | - | \$191,277.89 |
| LIABILITIES | | |
| Debit Balance | - | - |
| Short Market Value | - | - |
| TOTAL LIABILITIES | - | - |
| NET PORTFOLIO VALUE | - | \$191,277.89 |

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Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

JESSICAS FACTORY LLC

October 01, 2022 - October 31, 2022

| CASH FLOW | <i>This Statement</i> | <i>Year to Date</i> |
|------------------------------------|-----------------------|-----------------------|
| Opening Cash/Money Accounts | \$191,277.89 | |
| CREDITS | | |
| Funds Received | - | - |
| Electronic Transfers | - | - |
| Other Credits | - | - |
| <i>Subtotal</i> | - | - |
| DEBITS | | |
| Electronic Transfers | - | - |
| Margin Interest Charged | - | - |
| Other Debits | (191,277.89) | (191,327.89) |
| Visa Purchases | - | - |
| ATM/Cash Advances | - | - |
| Checks Written/Bill Payment | - | - |
| Advisory and other fees | - | (883.64) |
| <i>Subtotal</i> | (191,277.89) | (192,211.53) |
| Net Cash Flow | (\$191,277.89) | (\$192,211.53) |

OTHER TRANSACTIONS

| | | |
|------------------------------------|---|-------------|
| Dividends/Interest Income | - | 1,881.67 |
| Security Purchases/Debits | - | (44,231.65) |
| Security Sales/Credits | - | 228,464.96 |
| Closing Cash/Money Accounts | - | |

Fees Included in Transactions Above

| | | |
|--------------------------|---|--------|
| Commissions/Trading Fees | - | (4.86) |
|--------------------------|---|--------|

DOCUMENT PREFERENCES THIS PERIOD

| | <i>Mail</i> | <i>Online Delivery</i> |
|----------------------------|-------------|------------------------|
| Statements | | X |
| Performance Reports | | X |
| Trade Confirms | | X |
| Shareholders Communication | | X |
| Prospectus | | X |
| Service Notices | | X |
| Tax Statements | | X |



JESSICA'S FACTORY OUTLET, LLC

Account Number: 5XH-05017

YOUR RCMA TRANSACTIONS

October 01, 2022 - October 31, 2022

DIVIDENDS/INTEREST INCOME TRANSACTIONS

| Date | Description | Transaction Type | Quantity | Income | Income Year To Date |
|-------------------------------------|-------------|------------------|----------|--------|---------------------|
| Taxable Dividends | | | | | |
| Subtotal (Taxable Dividends) | | | | | 1,881.67 |
| NET TOTAL | | | | | 1,881.67 |

REALIZED GAINS/(LOSSES)

| Description | Quantity | Acquired Date | Liquidation Date | Sale Amount | Cost Basis | Gains/(Losses) This Statement | Gains/(Losses) Year to Date |
|------------------------------|----------|---------------|------------------|-------------|------------|-------------------------------|-----------------------------|
| Subtotal (Long-Term) | | | | | | | 15,262.80 |
| Subtotal (Short-Term) | | | | | | | (2,586.65) |
| TOTAL | | | | | | | 12,676.15 |

⊕ - Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

| Date | Description | Transaction Type | Quantity | Debit | Credit |
|--|--|-----------------------|----------|-------------------|--------|
| Other Debits/Credits | | | | | |
| 10/10 | CK G 22700-17511 TERESA KETELSLEGER | Withdrawal | | 7,804.13 | |
| 10/11 | WITHDRAWAL ASOF 100722 5XH10580 | Transfer / Adjustment | | 91,736.88 | |
| 10/11 | WITHDRAWAL ASOF 100722 5XH11596 | Transfer / Adjustment | | 91,736.88 | |
| Subtotal (Other Debits/Credits) | | | | 191,277.89 | |
| NET TOTAL | | | | 191,277.89 | |

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JESSICA'S FACTORY OUTLET, LLC

Account Number: 5XH-05017

24-Hour Assistance: (866) 4MLBUSINESS
Access Code: 63-594-05017

October 01, 2022 - October 31, 2022

If you own London Interbank Offered Rate (LIBOR) linked financial products, the cessation of LIBOR and the transition from LIBOR to alternative reference rates such as SOFR or BSBY, may have significant impacts to those financial products, including impacts to their liquidity, value and potential performance. Additional information is available at www.ml.com/articles/benchmark-interest-rate-reform.html

Customer Service

Please promptly report any inaccuracy, discrepancy, and/or concern by calling Wealth Management Client Support at (800-MERRILL) within ten (10) business days after delivery of or communication of the account statement. You should re-confirm any oral communications in writing to protect your rights.

About Us

You may review our financial statement at our offices: Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S or ML), One Bryant Park, New York, New York 10036. If you request a copy of our financial statement, we will mail it to you.

We act as a market maker, dealer, block positioner or arbitrageur in certain securities. These activities may put us or one of our affiliates on the opposite side of transactions we execute for you and potentially result in trading profits for us or our affiliates.

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Investment products offered by Investment Banking Affiliates, including MLPF&S, ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

Additional Information

We will route your equity and option orders to market centers consistent with our duty of best execution.

Except for certain custodial accounts, we hold bonds and preferred stocks in bulk segregation. If there is a partial call for those securities, securities will be randomly selected from those held in bulk. The probability of your holdings being selected is proportional to the total number of customer holdings of that particular security that we hold.

This statement serves as a confirmation of certain transactions during the period permitted to be reported periodically. Additional information, including the time of execution for any trade, is available upon written request.

In accordance with applicable law, rules and regulations, your free credit balance is not segregated and we can use these funds in our business. Your free credit balance is the amount of funds payable upon your demand. You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

For clients enrolled in a sweep program, the balance in any bank deposit account or shares of any money market mutual fund in which you have a beneficial interest can be withdrawn or liquidated on your order and the proceeds returned to your securities account or remitted to you.

You will have the right to vote full shares and we may solicit voting instructions concerning these full shares in your account. Voting shares in your account will be governed by the then current rules and policies of FINRA and the Securities Exchange Commission or other applicable exchanges or regulatory bodies.

All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearinghouse, if any, where the transactions are executed, and if not executed on any exchange, FINRA.

You may obtain an investor brochure that includes information describing the FINRA Regulation Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or your broker contact the FINRA Regulation Public Disclosure Program Hotline at (800)289-9999 or access the FINRA website

at www.finra.org

We receive a fee from ISA® banks of up to 2% per annum of the average daily balances. We receive a fee from our affiliated banks of up to \$100 per annum for each account that sweeps balances to the banks under the RASPSM and ML bank deposit programs. We also receive a fee from Bank of America, N.A. based on the average daily Preferred Deposit® and Preferred Deposit for Business® balances.

Options Customers

For all customers, including those who own options, please promptly advise us of any material change in your investment objectives or financial condition. Individual options commission charges have been included in your confirmation. You may request a summary of this information.

Margin Customers

If this statement is for a margin account, it is a combined statement of your margin account and special memorandum account maintained for you pursuant to applicable regulations. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request. You should retain this statement for use with your next statement to calculate interest charges, if any, for the period covered by this statement. The interest charge period will parallel the statement period, except that interest due for the final day of the statement period will be carried over and appear on your next statement.

Coverage for your Account

The Securities Investor Protection Corporation (SIPC) and our excess-SIPC insurance policy do not cover commodities futures contracts, fixed annuity contracts, hedge funds, private equity funds, commodity pools and other investment contracts (such as limited partnerships) that are not registered with the US Securities Exchange Commission, precious metals, other assets that are not securities, as defined by SIPC, and assets that are not held at MLPF&S, such as cash on deposit at Bank of America, N.A. or Bank of America California, N.A. (Merrill Lynch affiliated banks) or other depository institutions. Those bank deposits are protected by the FDIC up to applicable limits. MLPF&S is not a bank. Unless otherwise disclosed, INVESTMENTS THROUGH MLPF&S ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202)371-8300.

Fixed Income Securities

Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates. These values assume standard market conditions, are not firm bids or offers and may vary from prices achieved in actual transactions, especially for thinly traded securities. These values are generally for transactions of \$1 million or more, which often reflect more favorable pricing than transactions in smaller amounts. You may pay more than these values if you purchase smaller amounts of securities, or receive less if you sell smaller amounts of securities.

Prices and Valuations

While we believe our pricing information to be reliable, we cannot guarantee its accuracy. Pricing information provided for certain thinly traded securities may be stale.

Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates.

Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate trusts which are not listed on any exchange), and alternative investments (e.g. commodity pools, private equity funds, private debit funds, and hedge funds) are generally illiquid investments. No formal trading market exists for these securities and their current values will likely be different from the purchase price. Unless otherwise indicated, and except for certain alternative investment funds sponsored by affiliates of MLPF&S, the value shown on this statement for an investment in these securities has been provided by the management, administrator or sponsor of each program or a third-party vendor, in each case without independent verification by MLPF&S. The values shown may not reflect actual market value or be realized upon a sale. If an estimated value is not provided, accurate valuation information is not available.

Cost Data/Realized Capital Gains & Losses

Cost Data and Realized Capital Gains/Losses are provided in this statement for informational purposes only. Please review for accuracy. Merrill Lynch is not responsible for omitted or restated data. Please consult your tax advisor to determine the tax consequences of your securities transactions. Your statement is not an official accounting of gains/losses. Please refer to your records, trade confirmations, and your Consolidated Tax Reporting Statement (Form 1099).

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Insurance Policies and Annuity Contracts

Information is based on data from the issuing insurer. We are not responsible for the calculation of policy/contract values. Insurance policies and annuity contracts are generally not held in your MLPF&S account. If we, as custodian or trustee, hold an annuity contract that is a security, SIPC and excess-SIPC coverage apply.

Estimated Annual Income and Current Yield

Estimated Annual Income and Current Yield for certain types of securities could include a return of principal or capital gains in which case the Estimated Annual Income and Current Yield would be overstated. Estimated Annual Income and Current Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Current Yield is based upon Estimated Annual Income and the current price of the security and will fluctuate.

Market-Linked Investments (MLI)

MLIs are debt securities or Certificates of Deposit linked to an underlying reference asset. They are reflected on your statement by their underlying reference asset – equities (e.g., stocks, ETFs, equity indices), alternative investments (e.g., commodities, currencies), or fixed income (e.g., interest rates). This classification method illustrates your asset allocation.

Symbols and Abbreviations

| | |
|----------|--|
| ☐ | Interest reported to the IRS |
| ■ | Gross Proceeds reported to the IRS |
| * | Dividends reported to the IRS |
| : | Transactions reported to the IRS |
| OCC | Options Clearing Corporation |
| # | Transaction you requested same day payment. Prior day's dividend retained to offset cost of advancing payment on your behalf |
| N/A | Price, value and/or cost data not available |
| N/C | Not-Calculated |
| N/N | Non-negotiable securities |
| N/O | Securities registered in your name |
| N/O CUST | Non-negotiable securities registered in the name of the custodian |
| ↑ ↓ | Indicates that BofA Merrill Lynch Research has upgraded (↑) or downgraded (↓) its fundamental equity opinion on a security. |