

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2022 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.	☐

Part II	Basic Plan Information —enter all requested information
1a Name of plan BROADRIDGE FINANCIAL SOLUTIONS, INC. RETIREMENT SAVINGS PLAN	1b Three-digit plan number (PN) ▶ 001
	1c Effective date of plan 04/01/2007
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) BROADRIDGE FINANCIAL SOLUTIONS, INC. 2 GATEWAY CENTER 283 299 MARKET STREET NEWARK, NJ 07102	2b Employer Identification Number (EIN) 33-1151291 2c Plan Sponsor's telephone number 201-714-3000 2d Business code (see instructions) 541990

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/10/2023	JEFFREY MOLIN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2022)
v. 220413

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN	
3c Administrator's telephone number			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year		5	8866
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).			
a(1) Total number of active participants at the beginning of the plan year		6a(1)	7209
a(2) Total number of active participants at the end of the plan year		6a(2)	7085
b Retired or separated participants receiving benefits		6b	42
c Other retired or separated participants entitled to future benefits.....		6c	1752
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d	8879
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e	23
f Total. Add lines 6d and 6e		6f	8902
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).....		6g	8757
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....		6h	764
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2S 2T 3H			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ 0 A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2022 Form M-1 annual report. If the plan was not required to file the 2022 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2022
		This Form is Open to Public Inspection.

For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022		
A Name of plan BROADRIDGE FINANCIAL SOLUTIONS, INC. RETIREMENT SAVINGS PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 BROADRIDGE FINANCIAL SOLUTIONS, INC.	D Employer Identification Number (EIN) 33-1151291	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL
04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 64 65	RECORDKEEPER	552862	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

MORGAN LEWIS & BOCKIUS LLP

23-0891050

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29	ATTORNEY/LEGAL	6255	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2022 This Form is Open to Public Inspection.
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For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022		
A Name of plan BROADRIDGE FINANCIAL SOLUTIONS, INC. RETIREMENT SAVINGS PLAN	B Three-digit plan number (PN) ►	001
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 BROADRIDGE FINANCIAL SOLUTIONS, INC.	D Employer Identification Number (EIN) 33-1151291	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2025 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-079	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 52234589
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2045 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-083	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 41705095
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2020 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-078	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 48700960
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX INC T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-074	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 9129291
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2030 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-080	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 86259730
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2050 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-084	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 31860814
a Name of MTIA, CCT, PSA, or 103-12 IE: INVESCO STBL VAL B1		
b Name of sponsor of entity listed in (a): INVESCO TRUST COMPANY		
c EIN-PN 84-1142974-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 118377483

a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2010 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-076	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 4586073
a Name of MTIA, CCT, PSA, or 103-12 IE: SS S&P 500 INDEX K		
b Name of sponsor of entity listed in (a): STATE STREET BANK & TRUST COMPANY		
c EIN-PN 90-0337987-388	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 275488047
a Name of MTIA, CCT, PSA, or 103-12 IE: SS US BOND INDEX K		
b Name of sponsor of entity listed in (a): STATE STREET BANK & TRUST COMPANY		
c EIN-PN 90-0337987-462	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 26763957
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2035 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-081	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 52438306
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2055 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-112	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20963416
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2015 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-077	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 7740141
a Name of MTIA, CCT, PSA, or 103-12 IE: SS GACEQ EX-US IDX K		
b Name of sponsor of entity listed in (a): STATE STREET BANK & TRUST COMPANY		
c EIN-PN 90-0337987-456	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 31520642
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2040 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-082	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 81327872
a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2060 T		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-146	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 10155505
a Name of MTIA, CCT, PSA, or 103-12 IE: SS RSL SMMDCP IDX K		
b Name of sponsor of entity listed in (a): STATE STREET BANK & TRUST COMPANY		
c EIN-PN 90-0337987-461	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 98969606

a Name of MTIA, CCT, PSA, or 103-12 IE: FID FRDM INX 2065 T

b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY

c EIN-PN 20-4659714-169	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2383367
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a Name of MTIA, CCT, PSA, or 103-12 IE: LS INVT GRADE BOND D

b Name of sponsor of entity listed in (a): LOOMIS SAYLES TRUST COMPANY, LLC

c EIN-PN 84-6391546-004	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 42559540
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II **Information on Participating Plans (to be completed by DFEs)**

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2022 This Form is Open to Public Inspection
For calendar plan year 2022 or fiscal plan year beginning <u>01/01/2022</u> and ending <u>12/31/2022</u>		
A Name of plan <u>BROADRIDGE FINANCIAL SOLUTIONS, INC. RETIREMENT SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>BROADRIDGE FINANCIAL SOLUTIONS, INC.</u>	D Employer Identification Number (EIN) <u>33-1151291</u>	

Part I	Asset and Liability Statement
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.	
Assets	(a) Beginning of Year (b) End of Year
a Total noninterest-bearing cash.....	1a 22923 0
b Receivables (less allowance for doubtful accounts):	
(1) Employer contributions.....	1b(1) 0 0
(2) Participant contributions.....	1b(2) 0 0
(3) Other.....	1b(3) 454039 464747
c General investments:	
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1) 3995946 4931362
(2) U.S. Government securities	1c(2) 0 0
(3) Corporate debt instruments (other than employer securities):	
(A) Preferred	1c(3)(A) 0 0
(B) All other	1c(3)(B) 0 0
(4) Corporate stocks (other than employer securities):	
(A) Preferred	1c(4)(A) 1042028 2400895
(B) Common.....	1c(4)(B) 272492240 201285571
(5) Partnership/joint venture interests	1c(5) 0 0
(6) Real estate (other than employer real property)	1c(6) 0 0
(7) Loans (other than to participants).....	1c(7) 0 0
(8) Participant loans.....	1c(8) 20152843 21391625
(9) Value of interest in common/collective trusts.....	1c(9) 1201149497 1043164434
(10) Value of interest in pooled separate accounts	1c(10) 0 0
(11) Value of interest in master trust investment accounts.....	1c(11) 0 0
(12) Value of interest in 103-12 investment entities	1c(12) 0 0
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13) 195720582 167081338
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14) 0 0
(15) Other	1c(15) 0 0

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities.....	1d(1)	0	0
(2) Employer real property.....	1d(2)	0	0
e Buildings and other property used in plan operation.....	1e	0	0
f Total assets (add all amounts in lines 1a through 1e).....	1f	1695030098	1440719972

Liabilities

g Benefit claims payable.....	1g	9032	131960
h Operating payables.....	1h	270809	223655
i Acquisition indebtedness.....	1i	0	0
j Other liabilities.....	1j	357265	497943
k Total liabilities (add all amounts in lines 1g through 1j).....	1k	637106	853558

Net Assets

l Net assets (subtract line 1k from line 1f).....	1l	1694392992	1439866414
--	----	------------	------------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income**a Contributions:**

		(a) Amount	(b) Total
(1) Received or receivable in cash from: (A) Employers.....	2a(1)(A)	49072566	
(B) Participants.....	2a(1)(B)	71718464	
(C) Others (including rollovers).....	2a(1)(C)	10105433	
(2) Noncash contributions.....	2a(2)	0	
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		130896463

b Earnings on investments:**(1) Interest:**

(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	59658	
(B) U.S. Government securities.....	2b(1)(B)	0	
(C) Corporate debt instruments.....	2b(1)(C)	0	
(D) Loans (other than to participants).....	2b(1)(D)	0	
(E) Participant loans.....	2b(1)(E)	1055932	
(F) Other.....	2b(1)(F)	0	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		1115590

(2) Dividends: (A) Preferred stock.....	2b(2)(A)	208790	
(B) Common stock.....	2b(2)(B)	3350299	
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	10620301	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		14179390

(3) Rents.....	2b(3)		0
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(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds.....	2b(4)(A)	58418345	
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	81473529	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result.....	2b(4)(C)		-23055184

(5) Unrealized appreciation (depreciation) of assets: (A) Real estate.....	2b(5)(A)	0	
(B) Other.....	2b(5)(B)	-51443265	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		-51443265

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		-196794942
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		-40990977
c Other income	2c		0
d Total income. Add all income amounts in column (b) and enter total	2d		-166092925
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	87609124	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		87609124
f Corrective distributions (see instructions)	2f		25528
g Certain deemed distributions of participant loans (see instructions)	2g		228969
h Interest expense	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	0	
(4) Other	2i(4)	570032	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		570032
j Total expenses. Add all expense amounts in column (b) and enter total	2j		88433653
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d	2k		-254526578
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		0

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: COHNREZNICK

(2) EIN: 22-1478099

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

	Yes	No	Amount
4a	X		136206

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?	X		22755
4l	X		22755
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2022 This Form is Open to Public Inspection.
For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022		
A Name of plan BROADRIDGE FINANCIAL SOLUTIONS, INC. RETIREMENT SAVINGS PLAN		B Three-digit plan number (PN) 001
C Plan sponsor's name as shown on line 2a of Form 5500 BROADRIDGE FINANCIAL SOLUTIONS, INC.		D Employer Identification Number (EIN) 33-1151291
Part I Distributions		
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 04-6568107 Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....		3
Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)		
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....		6a
b Enter the amount contributed by the employer to the plan for this plan year.....		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A		
Part III Amendments		
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No		
Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.		
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?..... ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock?..... ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)..... ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?..... ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2022 v. 220413		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14	Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:		
	a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....	14a	
	b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	14b	
	c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	14c	
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:		
	a The corresponding number for the plan year immediately preceding the current plan year.....	15a	
	b The corresponding number for the second preceding plan year.....	15b	
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:		
	a Enter the number of employers who withdrew during the preceding plan year	16a	
	b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....	16b	
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐		

Part VI	Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans
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18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐
19	If the total number of participants is 1,000 or more, complete lines (a) through (c) a Enter the percentage of plan assets held as: Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____% b Provide the average duration of the combined investment-grade and high-yield debt: ☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more c What duration measure was used to calculate line 19(b)? ☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____
20	PBGC missed contribution reporting requirements. If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20. a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box: ☐ Yes. ☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date. ☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date. ☐ No. Other. Provide explanation _____

**Broadridge Financial Solutions, Inc.
Retirement Savings Plan**

**Financial Statements
(With Supplementary Information)
and Independent Auditor's Report**

December 31, 2022

Broadridge Financial Solutions, Inc. Retirement Savings Plan

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Independent Auditor's Report

To the Plan Administrator
Broadridge Financial Solutions, Inc. Retirement Savings Plan

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed audits of the financial statements of Broadridge Financial Solutions, Inc. Retirement Savings Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 ("ERISA"), as permitted by ERISA Section 103(a)(3)(C) ("ERISA Section 103(a)(3)(C)"). The financial statements comprise the statements of net assets available for benefits as of December 31, 2022 and 2021, and the related statement of changes in net assets available for benefits for the year ended December 31, 2022, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan ("investment information") by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA ("qualified institution").

Management has obtained certifications from a qualified institution as of December 31, 2022 and 2021, and for the year ended December 31, 2022, stating that the certified investment information, as described in Note 8 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audits and on the procedures performed as described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section:

- The amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- The information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America ("GAAS"). Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current Plan instrument, including all Plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Except as described in the *Scope and Nature of the ERISA Section 103(a)(3)(C) Audit* section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a significant likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audits did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matter

Supplemental Schedules Required by ERISA

The supplemental Schedule of Delinquent Contributions (Schedule H, Line 4a) and Schedule of Assets (Held at End of Year) (Schedule H, Line 4i) as of or for the year ended December 31, 2022 are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- The information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).



Parsippany, New Jersey
October 2, 2023

Broadridge Financial Solutions, Inc. Retirement Savings Plan

**Statements of Net Assets Available for Benefits
December 31, 2022 and 2021**

	<u>2022</u>	<u>2021</u>
Assets		
Investments, at fair value	\$ 1,418,863,600	\$ 1,674,400,293
Noninterest-bearing cash	-	22,923
Receivables		
Notes receivable from participants	21,391,625	20,152,843
Other receivables	<u>464,747</u>	<u>454,039</u>
Total receivables	<u>21,856,372</u>	<u>20,606,882</u>
Total assets	<u>1,440,719,972</u>	<u>1,695,030,098</u>
Liabilities		
Other payables	<u>853,558</u>	<u>637,106</u>
Total liabilities	<u>853,558</u>	<u>637,106</u>
Net assets available for benefits	<u><u>\$ 1,439,866,414</u></u>	<u><u>\$ 1,694,392,992</u></u>

See Notes to Financial Statements.

Broadridge Financial Solutions, Inc. Retirement Savings Plan

**Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2022**

Investment income (loss)	
Net depreciation in fair value of investments	\$ (310,558,410)
Interest and dividends	<u>12,513,090</u>
Total investment loss	<u>(298,045,320)</u>
Interest income on notes receivable from participants	<u>1,055,932</u>
Contributions	
Employer	49,072,566
Participants	71,718,464
Rollovers	<u>10,105,433</u>
Total contributions	<u>130,896,463</u>
Benefits paid to participants	<u>(87,863,621)</u>
Administrative expenses	<u>(570,032)</u>
Decrease in net assets	(254,526,578)
Net assets available for benefits	
Beginning	<u>1,694,392,992</u>
End	<u><u>\$ 1,439,866,414</u></u>

See Notes to Financial Statements.

Broadridge Financial Solutions, Inc. Retirement Savings Plan

Notes to Financial Statements December 31, 2022

Note 1 - Description of Plan

The following description of the Broadridge Financial Solutions, Inc. Retirement Savings Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan, established on March 31, 2007, available to all eligible employees of Broadridge Financial Solutions, Inc. (the "Company"). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"). The Plan is administered by a four-member committee (the "Administrator") appointed by the Board of Directors of the Company. The Board of Trustees is responsible for oversight of the Plan. The Board of Trustees also determines the appropriateness of the Plan's investment offerings and monitors investment performance.

Contributions

As defined in the Plan document, participating employees who are deemed nonhighly compensated can contribute up to 35% of their compensation, subject to the maximum deferral limits under the Internal Revenue Code (the "IRC"). Participating employees who are highly compensated can only contribute up to the amount determined by the Plan's Administrator annually (currently 15% of their compensation). Participants who have attained age 50 before the close of the Plan year are eligible to make additional contributions ("catch-up contributions") up to the amount of \$6,500 for 2022. Participants may also contribute amounts representing distributions from other qualified defined benefit or defined contribution plans (rollover). Participants direct the investment of their contributions into various investment options offered by the Plan. The Plan includes an auto-enrollment provision whereby all newly eligible employees are automatically enrolled in the Plan unless they affirmatively elect not to participate in the Plan. Automatically enrolled participants have their deferral rate of 3% after 60 days from their date of hire (or date of eligibility) if no elections are made and they do not actively waive enrollment. Contributions are deposited into a FIAM Index Target Date Fund which most closely corresponds with the participants' expected retirement date.

Matching employer contributions

After six months of service, the Company contributes an amount equal to 70% of the first 6% of each participant's salary. Once a participant has contributed to the Plan for 60 months, the Company's matching contribution increases to an amount equal to 80% of the first 6% of a participant's salary. Participants must be actively employed on December 31, or die while in active service, to receive the matching contribution. Participants that terminate during the year and are age 65 or older will receive a prorated matching contribution based on the amounts earned as of their retirement date. Matching contributions are not made on the employee catch-up contributions. Contributions are subject to certain Internal Revenue Service ("IRS") limitations.

Broadridge Financial Solutions, Inc. Retirement Savings Plan

Notes to Financial Statements December 31, 2022

Employer nonelective contributions

After six months of service, the Company also makes a nonelective contribution for the participants whether or not they contribute to the Plan. The contribution amount is based upon the participant's years of service, as shown in the table below:

<u>Years of service as a participant</u>	<u>Percentage of compensation contributed</u>
0 - 4 years	1.00%
5 - 9 years	1.75%
10 - 14 years	2.50%
15 - 19 years	3.25%
20 - 24 years	4.00%
25 - 29 years	4.75%
30 - 34 years	5.50%
35+ years	6.25%

Participants must be actively employed on December 31, or die while in active service, to receive the nonelective contribution. Participants that terminate during the year and are age 65 or older will receive a prorated contribution based on the amounts earned as of their retirement date.

Limitations

In addition, there are contribution limitations set forth in the IRC, which the Plan must satisfy.

Participant accounts

Each participant's account is credited with the participant's contributions and Company matching contributions, as well as allocations of the Company's nonelective contribution and Plan earnings. Participant accounts are charged with an allocation of administrative expenses that are paid by the Plan. Allocations are based on participant earnings, account balances or specific participant transactions, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are vested immediately in their contributions, including salary deferral and rollover contributions. Vesting in the Company's contribution portion of their accounts is based on years of continuous service. Company contributions are vested as follows:

Less than two years of service from date of hire	0%
Two but less than three years of service from date of hire	50%
Three or more years of service from date of hire	100%

Notes receivable from participants

Participants may borrow from their fund accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their account balance. The loans are secured by the balance in the participant's account. The loan interest rate is set at 1% above the prime rate, as defined. Principal and interest is paid ratably through monthly payroll deductions, or at the option of the participant, may be prepaid in total.

Broadridge Financial Solutions, Inc. Retirement Savings Plan

Notes to Financial Statements December 31, 2022

Payment of benefits

In general, participant and employer contributions must remain in the Plan until the later of the attainment of age 65 or the end of employment. The employee may elect to begin taking in-service distributions any time after the attainment of age 70.

On termination of service due to death, disability or retirement, a participant may elect to receive a lump-sum amount equal to the value of the vested portion of his or her account. Alternatively, he or she can elect to defer payment. Vested account balances of former employees between \$1,000 and \$5,000 will be automatically rolled over into an IRA. Vested account balances of former employees less than \$1,000 will automatically be distributed to the participant in a lump-sum payment.

Forfeited accounts

At December 31, 2022 and 2021, forfeited nonvested accounts totaled \$610,379 and \$179,564, respectively. These accounts will be used to reduce future employer contributions and Plan administrative and recordkeeping fees. During 2022, employer contributions and Plan administrative and recordkeeping fees were reduced by \$2,124,531 and \$429,459, respectively, from forfeited nonvested accounts.

Note 2 - Summary of accounting policies

Basis of accounting

The financial statements of the Plan are prepared on the accrual basis of accounting.

Investment valuation and income recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's Board of Trustees determines the Plan's valuation policies utilizing information provided by the investment advisers and Fidelity Management Trust Company (the "Trustee"). See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net depreciation includes the Plan's gains and losses on investments bought and sold, as well as held during the year.

Notes receivable from participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2022 or 2021.

Payment of benefits

Benefits are recorded when paid.

Expenses

Certain expenses of maintaining the Plan are paid by the Plan, unless otherwise paid by the Company. Expenses that are paid by the Company are excluded from these financial statements. Fees related to the administration of notes receivable from participants are charged directly to the participant's account and are included in administrative expenses. Investment related expenses are included in net depreciation in fair value of investments.

Broadridge Financial Solutions, Inc. Retirement Savings Plan

Notes to Financial Statements December 31, 2022

Use of estimates

The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Subsequent events

The Plan has evaluated subsequent events through October 2, 2023, the date the financial statements were available to be issued.

Note 3 - Fair value measurements

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities ("Level 1") and the lowest priority to unobservable inputs ("Level 3"). The three levels of the fair value hierarchy under Financial Accounting Standards Board Accounting Standards Codification ("ASC") 820 are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets and liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability; and
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset and liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2022 and 2021.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value ("NAV") and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Broadridge Financial Solutions, Inc. Retirement Savings Plan

Notes to Financial Statements December 31, 2022

Common/collective trusts: Valued at the NAV of units of a bank collective trust. The NAV, as provided by the Trustee, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchases and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust, the investment adviser reserves the right to temporarily delay withdrawal from the trust in order to ensure that securities liquidations will be carried out in an orderly business manner.

Interest-bearing cash: Valued at amortized cash, which approximates fair value.

Common and Preferred stock: Valued at the closing price reported on an active exchange.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2022 and 2021. Classification within the fair value hierarchy table is based on the lowest level of any input that is significant to the fair value measurement:

Assets at fair value as of December 31, 2022				
	Level 1	Level 2	Level 3	Total
Mutual funds	\$ 167,081,338	\$ -	\$ -	\$ 167,081,338
Interest-bearing cash	-	4,931,362	-	4,931,362
Common stock	201,285,571	-	-	201,285,571
Preferred stock	2,400,895	-	-	2,400,895
Total assets in the fair value hierarchy	370,767,804	4,931,362	-	375,699,166
Investments measured at net asset value (a)	-	-	-	1,043,164,434
Total investments at fair value	\$ 370,767,804	\$ 4,931,362	\$ -	\$ 1,418,863,600

Assets at fair value as of December 31, 2021				
	Level 1	Level 2	Level 3	Total
Mutual funds	\$ 195,720,582	\$ -	\$ -	\$ 195,720,582
Interest-bearing cash	-	3,995,946	-	3,995,946
Common stock	272,492,240	-	-	272,492,240
Preferred stock	1,042,028	-	-	1,042,028
Total assets in the fair value hierarchy	469,254,850	3,995,946	-	473,250,796
Investments measured at net asset value (a)	-	-	-	1,201,149,497
Total investments at fair value	\$ 469,254,850	\$ 3,995,946	\$ -	\$ 1,674,400,293

(a) In accordance with ASC Subtopic 820-10, certain investments that were measured at net asset value per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair

Broadridge Financial Solutions, Inc. Retirement Savings Plan

Notes to Financial Statements December 31, 2022

value hierarchy to the line items presented in the statements of net assets available for benefits.

For the year ended December 31, 2022, there were no significant transfers between Levels 1 and 2 and no transfers in or out of Level 3.

Note 4 - Net asset value per share

The following table summarizes investments for which fair value is measured using the net asset value per share practical expedient as of December 31, 2022 and 2021. There are no participant redemption restrictions for these investments; the redemption notice period is applicable only to the Plan:

	<u>2022 fair value</u>	<u>2021 fair value</u>	<u>Redemption frequency (if currently eligible)</u>	<u>Notice period</u>
Invesco Stable Asset Fund	\$ 118,377,483	\$ 111,963,373	Daily	1 Year
SSgA U.S. Bond Index Fund NLS C	26,763,957	32,192,046	Daily	1 Day
SSgA Global Equity ex U.S. Index Fund NLS C	31,520,642	35,393,138	Daily	1 Day
SSgA S&P 500 Index Fund NLS N	275,488,047	328,286,444	Daily	1 Day
SSgA Russell Small/Mid Cap Index Fund NLS	98,969,606	135,650,969	Daily	1 Day
Loomis Sayles Investment Grade Bond Trust	42,559,540	51,626,735	Daily	1 Day
FIAM Index Target Income Fund	9,129,291	10,724,264	Daily	1 Day
FIAM Index Target 2010 Fund	4,586,073	5,193,559	Daily	1 Day
FIAM Index Target 2015 Fund	7,740,141	10,058,837	Daily	1 Day
FIAM Index Target 2020 Fund	48,700,960	61,001,357	Daily	1 Day
FIAM Index Target 2025 Fund	52,234,589	61,261,477	Daily	1 Day
FIAM Index Target 2030 Fund	86,259,730	98,810,886	Daily	1 Day
FIAM Index Target 2035 Fund	52,438,306	57,530,096	Daily	1 Day
FIAM Index Target 2040 Fund	81,327,872	92,302,666	Daily	1 Day
FIAM Index Target 2045 Fund	41,705,095	41,848,388	Daily	1 Day
FIAM Index Target 2050 Fund	31,860,814	33,932,159	Daily	1 Day
FIAM Index Target 2055 Fund	20,963,416	21,877,838	Daily	1 Day
FIAM Index Target 2060 Fund	10,155,505	9,634,307	Daily	1 Day
FIAM Index Target 2065 Fund	2,383,367	1,860,958	Daily	1 Day
Total	<u>#####</u>	<u>#####</u>		

There were no unfunded commitments as of December 31, 2022 and 2021.

Note 5 - Related-party transactions and party in interest transactions

Certain Plan investments are managed by Fidelity Institutional Asset Management Trust Company, an affiliate of the Trustee, and therefore, these transactions qualify as party in interest transactions. Fees paid by the Plan for the investment management services are included in net depreciation in fair value of investments as they are paid through revenue sharing rather than a direct payment. As described in Note 2, the Plan made direct payments to the third-party administrator which were not covered by revenue sharing. The Plan Sponsor pays directly any other fees related to the Plan's operations.

Broadridge Financial Solutions, Inc. Retirement Savings Plan

Notes to Financial Statements December 31, 2022

Note 6 - Plan termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in employer contributions.

Note 7 - Tax status

The IRS has determined and informed the Company by letter, dated September 11, 2012, that the Plan is designed in accordance with applicable sections of the IRC. Although the Plan has been amended since receiving the determination letter, the Plan Administrator believes that the Plan is designed and is currently being operated, in compliance with applicable requirements of the IRC and, therefore, believes that the Plan is qualified and the related trust is tax-exempt.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

Note 8 - Certified investments

The Plan Administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Investments and notes receivable from participants held at December 31, 2022 and 2021, and investment income and interest income on notes receivable from participants for the year ended December 31, 2022, that is disclosed in the accompanying financial statements and supplemental schedule of assets (held at end of year), was obtained or derived from information supplied to the Plan Administrator and certified as complete and accurate by the Trustee of the Plan.

Note 9 - Risks and uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

Note 10 - Nonexempt transactions

As reported on the supplemental Schedule of Delinquent Participant Contributions (Schedule H, Line 4a), during 2022 and 2021 certain Plan contributions were not remitted to the trust within the time frame specified by the Department of Labor's Regulation 29 (CFR 2510.3-102), thus constituting nonexempt transactions between the Plan and the Company for the years ended December 31, 2022 and 2021. The contributions have not been corrected as of December 31, 2022.

Supplementary Information

Broadridge Financial Solutions, Inc. Retirement Savings Plan
E.I. #33-1151291
Plan #001

Schedule of Delinquent Participant Contributions (Schedule H, Line 4a)
Year Ended December 31, 2022

Participant contributions transferred late to the Plan	Check here if late participant loan repayments are included	Total that constitutes nonexempt prohibited transactions			Total fully corrected under VFCP and Prohibited Transaction Exemption 2002-51
		Contributions not corrected	Contributions corrected outside Voluntary Fiduciary Correction Program	Contributions pending correction in VFCP	
<u>\$ 136,206</u>		<u>\$ 136,206</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

See Independent Auditor's Report.

Broadridge Financial Solutions, Inc. Retirement Savings Plan
E.I. #33-1151291
Plan #001

Schedule of Assets (Held at End of Year) (Schedule H, Line 4i)
December 31, 2022

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description	(d) Cost (A)	(e) Current value
	Invesco Stable Asset Fund	Common/collective trust	(A)	\$ 118,377,483
	SSgA S&P 500 Index Fund NLS N	Common/collective trust	(A)	275,488,047
	SSgA Global Equity ex U.S. Index Fund NLS	Common/collective trust	(A)	31,520,642
	SSgA U.S. Bond Index Fund NLS C	Common/collective trust	(A)	26,763,957
	SSgA Russell Small/Mid Cap Index Fund NLS	Common/collective trust	(A)	98,969,606
	Loomis Sayles Investment Grade Bond Trust	Common/collective trust	(A)	42,559,540
*	FIAM Index Target Income Fund	Common/collective trust	(A)	9,129,291
*	FIAM Index Target 2010 Fund	Common/collective trust	(A)	4,586,073
*	FIAM Index Target 2015 Fund	Common/collective trust	(A)	7,740,141
*	FIAM Index Target 2020 Fund	Common/collective trust	(A)	48,700,960
*	FIAM Index Target 2025 Fund	Common/collective trust	(A)	52,234,589
*	FIAM Index Target 2030 Fund	Common/collective trust	(A)	86,259,730
*	FIAM Index Target 2035 Fund	Common/collective trust	(A)	52,438,306
*	FIAM Index Target 2040 Fund	Common/collective trust	(A)	81,327,872
*	FIAM Index Target 2045 Fund	Common/collective trust	(A)	41,705,095
*	FIAM Index Target 2050 Fund	Common/collective trust	(A)	31,860,814
*	FIAM Index Target 2055 Fund	Common/collective trust	(A)	20,963,416
*	FIAM Index Target 2060 Fund	Common/collective trust	(A)	10,155,505
*	FIAM Index Target 2065 Fund	Common/collective trust	(A)	2,383,367
	PIMCO Inflation Multi-Asset Fund INSTL	Mutual fund	(A)	11,467,584
	American Funds EuroPacific Growth Fund	Mutual fund	(A)	71,242,740
	Eaton Vance Atlanta Capital Vanguard Federal Money Market Fund	Mutual fund	(A)	83,748,482
	T. Rowe Price Equity Income Trust:		(A)	622,532
	SSBK Govt Stif Fund	Interest-bearing cash	\$ 1,589,735	1,589,735
	DR ING HC F PORSCHE AG PFD	Preferred stock	582,840	715,148
	Volkswag PFD Perp	Preferred stock	1,360,777	1,000,214
	ABBVIE INC	Common stock	898,601	1,616,422
	ACCENTURE PLC CL A	Common stock	185,489	181,450
	AMEREN CORP	Common stock	615,914	718,739
	AMERICAN INTERNATIONAL GROUP	Common stock	1,932,014	2,647,353
	APOLLO GLOBAL MANAGEMENT INC	Common stock	189,835	233,471
	APPLIED MATERIALS INC	Common stock	471,139	762,193
	ASTRAZENECA PLC SPONS ADR	Common stock	497,431	620,980
	AT&T INC	Common stock	262,501	204,204
	BANK OF AMERICA CORPORATION	Common stock	546,125	580,825
	BECTON DICKINSON & CO	Common stock	1,679,256	1,739,158
	BECTON DICKINSON AND CO 6.5% PC 06/01/20	Common stock	378,471	373,846
	BEST BUY CO INC	Common stock	408,988	457,197
	BIOGEN INC	Common stock	294,947	311,812
	BOEING CO	Common stock	1,397,695	969,213
	CARDINAL HEALTH INC	Common stock	440,292	623,954
	CARLYLE GROUP INC (THE)	Common stock	191,010	190,976
	CENTENE CORP	Common stock	563,751	668,053
	CF INDUSTRIES HOLDINGS INC	Common stock	927,061	1,936,511
	CHEVRON CORP	Common stock	240,840	341,031
	CHUBB LTD	Common stock	1,479,702	2,119,525

Broadridge Financial Solutions, Inc. Retirement Savings Plan
E.I. #33-1151291
Plan #001

Schedule of Assets (Held at End of Year) (Schedule H, Line 4i)
December 31, 2022

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description	(d) Cost (A)	(e) Current value
	CIGNA CORP	Common stock	877,214	1,266,713
	CISCO SYSTEMS INC	Common stock	386,424	428,093
	CITIGROUP INC	Common stock	765,266	556,058
	COMCAST CORP CL A	Common stock	1,298,143	1,019,760
	CONAGRA BRANDS INC	Common stock	1,350,426	1,711,391
	CONSTELLATION BRANDS INC CL A	Common stock	213,379	208,575
	CUMMINS INC	Common stock	236,769	279,603
	CVS HEALTH CORP	Common stock	999,960	1,186,588
	DISNEY (WALT) CO	Common stock	1,749,253	1,173,228
	DOMINION ENERGY INC	Common stock	1,506,039	1,274,168
	DUPONT DE NEMOURS INC	Common stock	52,276	63,208
	ELEVANCE HEALTH INC	Common stock	1,517,901	2,479,184
	ENBRIDGE INC	Common stock	298,805	265,880
	EOG RESOURCES INC	Common stock	493,931	1,202,852
	EQUITABLE HOLDINGS INC	Common stock	1,528,576	1,673,009
	EQUITY RESIDENTIAL REIT	Common stock	1,851,201	1,479,956
	EXXON MOBIL CORP	Common stock	1,057,237	1,661,780
	FIFTH THIRD BANCORP	Common stock	983,469	1,078,990
	FISERV INC	Common stock	902,024	889,214
	FLOWERVE CORP	Common stock	105,856	91,733
	FOX CORPORATION B	Common stock	73,778	60,883
	FRANKLIN RESOURCES INC	Common stock	122,415	119,765
	GENERAL ELECTRIC CO	Common stock	3,013,866	3,063,781
	GOLDMAN SACHS GROUP INC	Common stock	1,150,095	1,297,290
	HARTFORD FINL SVCS GROUP INC	Common stock	905,137	1,024,691
	HESS CORP	Common stock	604,402	940,834
	HUNTINGTON BANCSHARES INC	Common stock	1,423,325	1,388,032
	INTERNATIONAL FLAVORS & FRAGRA	Common stock	652,509	712,912
	INTERNATIONAL PAPER CO	Common stock	1,817,595	1,484,588
	JOHNSON & JOHNSON	Common stock	1,833,328	2,121,390
	JPMORGAN CHASE & CO	Common stock	767,431	782,876
	KIMBERLY CLARK CORP	Common stock	1,631,483	1,654,793
	KOHL'S CORP	Common stock	660,977	474,094
	LAS VEGAS SANDS CORP	Common stock	1,203,897	1,053,839
	LOEWS CORP	Common stock	815,901	1,057,523
	L3HARRIS TECHNOLOGIES INC	Common stock	1,634,514	1,699,826
	MATTEL INC	Common stock	512,299	682,219
	MEDTRONIC PLC	Common stock	1,363,917	961,940
	MERCK & CO INC NEW	Common stock	699,632	999,992
	META PLATFORMS INC CL A	Common stock	725,001	517,462
	METLIFE INC	Common stock	1,097,513	1,667,767
	MICROSOFT CORP	Common stock	912,654	1,315,413
	MONDELEZ INTL INC	Common stock	133,970	153,295
	MORGAN STANLEY	Common stock	449,456	787,795
	NEWS CORP NEW CL A	Common stock	1,407,067	1,646,081
	NEXTERA ENERGY INC 5.279%	Common stock	333,902	344,465
	NEXTERA ENERGY INC 6.926%	Common stock	206,700	212,806
	NISOURCE INC	Common stock	520,966	559,807
	NISOURCE INC 7.75% PC	Common stock	294,840	303,472
	NXP SEMICONDUCTORS NV	Common stock	122,228	161,665
	PACCAR INC	Common stock	142,959	177,849
	PARAMOUNT GLOBAL CL B	Common stock	106,247	75,960
	PFIZER INC	Common stock	1,057,540	1,387,477
	PG&E CORP	Common stock	100,368	134,958

Broadridge Financial Solutions, Inc. Retirement Savings Plan
E.I. #33-1151291
Plan #001

Schedule of Assets (Held at End of Year) (Schedule H, Line 4i)
December 31, 2022

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description	(d) Cost (A)	(e) Current value
	PHILIP MORRIS INTL INC	Common stock	1,602,112	1,829,877
	PNC FINANCIAL SERVICES GRP INC	Common stock	190,139	215,588
	QUALCOMM INC	Common stock	1,881,434	2,195,392
	RAYMOND JAMES FINANCIAL INC.	Common stock	47,255	87,938
	RAYONIER INC REIT	Common stock	808,351	860,783
	RPM INTERNATIONAL INC	Common stock	281,352	323,047
	SANOFI	Common stock	678,334	665,876
	SANOFI SPON ADR	Common stock	385,109	377,270
	SCHWAB CHARLES CORP	Common stock	317,136	564,503
	SEMPRA ENERGY	Common stock	1,897,546	2,122,452
	SIEMENS AG (REGD)	Common stock	1,140,600	1,097,140
	SOUTHERN CO	Common stock	2,758,600	3,130,543
	SOUTHWEST AIRLINES CO	Common stock	663,532	498,350
	STANLEY BLACK & DECKER INC	Common stock	798,600	676,080
	STATE STREET CORP	Common stock	704,055	668,576
	STERICYCLE INC	Common stock	804,538	633,603
	TARGA RESOURCES CORP	Common stock	17,618	73,721
	TC ENERGY CORP	Common stock	1,528,969	1,222,626
	TE CONNECTIVITY LTD	Common stock	227,742	271,272
	TEXAS INSTRUMENTS INC	Common stock	872,027	1,085,495
	TJX COMPANIES INC NEW	Common stock	711,845	911,898
	TOTALENERGIES SE	Common stock	2,094,102	2,557,420
	TOTALENERGIES SE ADR	Common stock	570,336	769,978
	TYSON FOODS INC CL A	Common stock	1,225,139	894,159
	UNITED PARCEL SERVICE INC CL B	Common stock	1,601,250	2,080,865
	US BANCORP DEL	Common stock	480,041	484,071
	VERIZON COMMUNICATIONS INC	Common stock	714,827	673,976
	VIATRIS INC	Common stock	195,237	228,165
	VORNADO REALTY TRUST	Common stock	139,446	64,948
	WALMART INC	Common stock	876,507	984,306
	WARNER BROS DISCOVERY INC	Common stock	128,492	48,149
	WELLS FARGO & CO	Common stock	3,912,635	3,237,673
	WELLTOWER INC	Common stock	189,969	191,144
	WEYERHAEUSER CO	Common stock	1,525,373	1,633,483
	WILLIAMS COMPANIES INC	Common stock	68,021	72,380
	ZIMMER BIOMET HLDGS INC	Common stock	1,202,326	1,226,550
	3M CO	Common stock	264,150	171,246
	Jennison Income Trust Equity Fund:			
	SSBK Govt Stif Fund	Interest-bearing cash	3,341,627	3,341,627
	DR ING HC F PORSCHE AG PFD	Preferred stock	546,549	685,533
	ABBOTT LABORATORIES	Common stock	1,583,568	1,423,318
	ADOBE INC	Common stock	2,873,009	2,672,385
	ADYEN BV	Common stock	861,040	1,197,118
	AIRBNB INC CLASS A	Common stock	1,911,374	993,938
	ALPHABET INC CL A	Common stock	2,758,752	2,916,090
	ALPHABET INC CL C	Common stock	2,747,830	2,914,958
	AMAZON.COM INC	Common stock	7,378,069	5,706,960
	AMERICAN TOWER CORP	Common stock	1,756,117	1,464,588
	APPLE INC	Common stock	4,545,076	7,816,459
	ATLASSIAN CORP PLC CLS A	Common stock	1,465,758	1,208,048

Broadridge Financial Solutions, Inc. Retirement Savings Plan
E.I. #33-1151291
Plan #001

Schedule of Assets (Held at End of Year) (Schedule H, Line 4i)
December 31, 2022

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description	(d) Cost (A)	(e) Current value
	BROADCOM INC	Common stock	1,594,562	1,505,737
	CHIPOTLE MEXICAN GRILL INC	Common stock	921,145	1,416,627
	COSTCO WHOLESALE CORP	Common stock	3,267,702	2,755,891
	CROWDSTRIKE HOLDINGS INC	Common stock	796,039	659,010
	DANAHER CORP	Common stock	2,080,925	2,331,449
	DEXCOM INC	Common stock	528,426	972,052
	ESTEE LAUDER COS INC CL A	Common stock	1,795,019	1,911,191
	GOLDMAN SACHS GROUP INC	Common stock	803,110	701,182
	HOME DEPOT INC	Common stock	1,621,049	1,262,808
	INTUITIVE SURGICAL INC	Common stock	1,680,336	1,544,602
	KKR & CO INC	Common stock	741,667	470,235
	LILLY (ELI) & CO	Common stock	2,329,789	3,563,647
	LULULEMON ATHLETICA INC	Common stock	1,448,110	1,639,705
	LVMH MOET HENNESSY LOUIS VUITTON SE	Common stock	2,830,013	3,390,815
	MARRIOTT INTERNATIONAL INC A	Common stock	945,110	782,566
	MASTERCARD INC CL A	Common stock	2,652,748	2,922,671
	MERCADOLIBRE INC	Common stock	3,041,995	1,847,342
	META PLATFORMS INC CL A	Common stock	1,001,044	503,382
	MICROSOFT CORP	Common stock	5,652,936	7,130,808
	NETFLIX INC	Common stock	2,368,515	1,919,964
	NIKE INC CL B	Common stock	857,558	881,787
	NORTHROP GRUMMAN CORP	Common stock	809,776	929,174
	NOVO-NORDISK AS CL B ADR	Common stock	1,366,669	1,736,818
	NVIDIA CORP	Common stock	2,013,439	3,482,808
	O'REILLY AUTOMOTIVE INC	Common stock	738,795	928,433
	ROBLOX CORP	Common stock	1,402,868	485,898
	S&P GLOBAL INC	Common stock	594,802	586,480
	SALESFORCE INC	Common stock	3,313,587	1,780,419
	SCHLUMBERGER LTD	Common stock	1,066,823	1,284,430
	SNOWFLAKE INC CL A	Common stock	2,462,324	1,290,281
	SPOTIFY TECHNOLOGY SA	Common stock	1,280,534	366,960
	T-MOBILE US INC	Common stock	975,684	969,500
	TESLA INC	Common stock	1,636,273	3,073,218
	THERMO FISHER SCIENTIFIC INC	Common stock	499,062	508,838
	TJX COMPANIES INC NEW	Common stock	1,263,201	1,405,816
	TRADE DESK INC	Common stock	584,947	802,457
	UBER TECHNOLOGIES INC	Common stock	2,959,482	1,831,677
	UNITEDHEALTH GROUP INC	Common stock	2,758,210	2,978,021
	VERTEX PHARMACEUTICALS INC	Common stock	1,352,725	1,359,287
	VISA INC CL A	Common stock	3,850,786	3,912,744
			198,967,774	1,418,863,600
*	Participant loans	Interest ranging from 4.25% to 8.00%, with various maturity dates, secured by the participant's account balance.	(A)	21,391,625
	Total		\$ 198,967,774	\$ 1,440,255,225
*	Party-in-interest.			
(A)	Cost not required			

See Independent Auditor's Report.



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