

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2022 This Form is Open to Public Inspection
---	---	--

Part I	Annual Report Identification Information
For calendar plan year 2022 or fiscal plan year beginning <u>01/01/2022</u> and ending <u>12/31/2022</u>	
A This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here. ▶	☐
D Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ▶	☐

Part II Basic Plan Information —enter all requested information		
1a Name of plan <u>THE LEGAL SERVICES NYC TAX DEFERRED ANNUITY PLAN</u>	1b Three-digit plan number (PN) ▶	<u>001</u>
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>LEGAL SERVICES NYC</u> <u>40 WORTH STREET, SUITE 606</u> <u>NEW YORK, NY 10013</u>	1c Effective date of plan <u>06/01/1981</u>	2b Employer Identification Number (EIN) <u>13-2600199</u>
	2c Plan Sponsor's telephone number <u>646-442-3600</u>	2d Business code (see instructions) <u>541190</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/13/2023	KIRSTEN SOBERANIS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2022)
v. 220413

3a Plan administrator's name and address ☒ Same as Plan Sponsor		3b Administrator's EIN
		3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN
5 Total number of participants at the beginning of the plan year		5 900
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d).		
a(1) Total number of active participants at the beginning of the plan year		6a(1) 657
a(2) Total number of active participants at the end of the plan year		6a(2) 651
b Retired or separated participants receiving benefits		6b 5
c Other retired or separated participants entitled to future benefits.....		6c 294
d Subtotal. Add lines 6a(2) , 6b , and 6c		6d 950
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.		6e 0
f Total. Add lines 6d and 6e		6f 950
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).....		6g 946
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....		6h 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2F 2G 2M 2R 2T 3D 2S		
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:		
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)		
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ 2 A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2022 Form M-1 annual report. If the plan was not required to file the 2022 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2022 This Form is Open to Public Inspection
---	--	--

For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022	
A Name of plan THE LEGAL SERVICES NYC TAX DEFERRED ANNUITY PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 LEGAL SERVICES NYC	D Employer Identification Number (EIN) 13-2600199

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
--------	---	--

1 Coverage Information:

(a) Name of insurance carrier PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY COMPANY
--

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
06-1050034	93629	074929	950	01/01/2022	12/31/2022

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid 0	(b) Total amount of fees paid 0
---	------------------------------------

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end.....	4	21160316
5	Current value of plan's interest under this contract in separate accounts at year end.....	5	0

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount..... Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☒ other ▶ **GUARANTEED GENERAL ACCOUNT CONTRACT**

b	Balance at the end of the previous year	7b	19075774
----------	---	-----------	----------

c	Additions: (1) Contributions deposited during the year	7c(1)	1871346	
	(2) Dividends and credits.....	7c(2)		
	(3) Interest credited during the year.....	7c(3)	311438	
	(4) Transferred from separate account.....	7c(4)		
	(5) Other (specify below)	7c(5)	2079854	

▶ **LOAN REPAYMENTS TRANSFERS IN**

(6) Total additions.....	7c(6)	4262638
--------------------------	--------------	---------

d	Total of balance and additions (add lines 7b and 7c(6))	7d	23338412
----------	--	-----------	----------

e Deductions:

(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	833749	
(2) Administration charge made by carrier.....	7e(2)	1816	
(3) Transferred to separate account.....	7e(3)		
(4) Other (specify below)	7e(4)	1342531	

▶ **FORF. DR., TRANSFERS OUT LOAN INITIATIONS MISC. OUT**

(5) Total deductions.....	7e(5)	2178096
---------------------------	--------------	---------

f	Balance at the end of the current year (subtract line 7e(5) from line 7d)	7f	21160316
----------	--	-----------	----------

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2022 This Form is Open to Public Inspection
---	--	--

For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022		
A Name of plan THE LEGAL SERVICES NYC TAX DEFERRED ANNUITY PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 LEGAL SERVICES NYC	D Employer Identification Number (EIN) 13-2600199	

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions	Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
--------	---	--

1 Coverage Information:

(a) Name of insurance carrier TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY
--

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
36-6071399	70688	FA053852	253	01/01/2022	12/31/2022

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.
--

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end.....	4	3852183
5	Current value of plan's interest under this contract in separate accounts at year end.....	5	3045864

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier**6b****c** Premiums due but unpaid at the end of the year**6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.....**6d**

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ☐**7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
 (3) ☐ guaranteed investment (4) ☒ other ▶ **GUARANTEED INVESTMENT CONTRACT**

b Balance at the end of the previous year **7b** 3868177

c Additions: (1) Contributions deposited during the year	7c(1)		
(2) Dividends and credits.....	7c(2)		
(3) Interest credited during the year.....	7c(3)	132666	
(4) Transferred from separate account.....	7c(4)	563	
(5) Other (specify below)	7c(5)		

▶

(6) Total additions..... **7c(6)** 133229**d** Total of balance and additions (add lines **7b** and **7c(6)**)..... **7d** 4001406**e** Deductions:

(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	148276	
(2) Administration charge made by carrier.....	7e(2)		
(3) Transferred to separate account.....	7e(3)	947	
(4) Other (specify below)	7e(4)		

▶

(5) Total deductions..... **7e(5)** 149223**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**)..... **7f** 3852183

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2022
		This Form is Open to Public Inspection.

For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022		
A Name of plan THE LEGAL SERVICES NYC TAX DEFERRED ANNUITY PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 LEGAL SERVICES NYC	D Employer Identification Number (EIN) 13-2600199	

Part I	Service Provider Information (see instructions)
--------	---

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
PRUDENTIAL RETIREMENT INSURANCE AND
06-1050034

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
TRANSAMERICA RETIREMENT SOLUTIONS
13-3689044

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
--

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
--

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PRUDENTIAL RETIREMENT INSURANCE AND

06-1050034

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13 15 37 50 64	NONE	89762	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

TRANSAMERICA RETIREMENT SOLUTIONS

13-3689044

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
12 15 28 37 38 50 54 59 61	RECORD KEEPER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
PRUDENTIAL RETIREMENT INSURANCE AND	15 64	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRUDENTIAL RETIREMENT INSURANCE AND 06-1050034	11.000 BPS AND \$0.00 PER PARTICIPANT INVESTED IN THE FUND FROM 1/1/2022 TO 12/31/2022 GUARANTEED INCOME FUND	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2022 This Form is Open to Public Inspection.
---	---	---

For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022		
A Name of plan THE LEGAL SERVICES NYC TAX DEFERRED ANNUITY PLAN	B Three-digit plan number (PN) ►	001
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 LEGAL SERVICES NYC	D Employer Identification Number (EIN) 13-2600199	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
--------	---

a Name of MTIA, CCT, PSA, or 103-12 IE: GOVERNMENT FIXED FUND		
b Name of sponsor of entity listed in (a): TRANSAMERICA FINANCIAL LIFE INS. CO.		
c EIN-PN 36-6071399-009	d Entity code P	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 432198
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2022 This Form is Open to Public Inspection
For calendar plan year 2022 or fiscal plan year beginning <u>01/01/2022</u> and ending <u>12/31/2022</u>		
A Name of plan <u>THE LEGAL SERVICES NYC TAX DEFERRED ANNUITY PLAN</u>		B Three-digit plan number (PN) <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>LEGAL SERVICES NYC</u>		D Employer Identification Number (EIN) <u>13-2600199</u>

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash.....	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	147020	144837
(2) Participant contributions.....	1b(2)	188958	181391
(3) Other.....	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants).....	1c(7)		
(8) Participant loans.....	1c(8)	2008566	2039453
(9) Value of interest in common/collective trusts.....	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)	419610	432198
(11) Value of interest in master trust investment accounts.....	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	73834622	61168508
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	22943951	25012500
(15) Other	1c(15)	3009222	2544404

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	102551949	91523291

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	102551949	91523291
---	-----------	-----------	----------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	3781297	
(B) Participants	2a(1)(B)	4493907	
(C) Others (including rollovers)	2a(1)(C)	306933	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		8582137
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	105642	
(F) Other	2b(1)(F)	444105	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		549747
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	884930	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		884930
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		12588
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		-17115611
c Other income	2c		-483799
d Total income. Add all income amounts in column (b) and enter total	2d		-7570008
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	3366760	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		3366760
f Corrective distributions (see instructions)	2f		807
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)	89933	
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	1150	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		91083
j Total expenses. Add all expense amounts in column (b) and enter total	2j		3458650
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d	2k		-11028658
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: RSM US LLP

(2) EIN: 42-0714325

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

	Yes	No	Amount
4a		X	

	Yes	No	Amount
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)			
4b		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)			
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)			
4d		X	
e Was this plan covered by a fidelity bond?	X		2000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?			
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)			
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			
4k		X	
l Has the plan failed to provide any benefit when due under the plan?			
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2022 This Form is Open to Public Inspection.
For calendar plan year 2022 or fiscal plan year beginning 01/01/2022 and ending 12/31/2022		
A Name of plan THE LEGAL SERVICES NYC TAX DEFERRED ANNUITY PLAN		B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 LEGAL SERVICES NYC		D Employer Identification Number (EIN) 13-2600199
Part I Distributions		
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 22-1211670 13-3689044		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....		3
Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)		
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....		6a
b Enter the amount contributed by the employer to the plan for this plan year.....		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A		
Part III Amendments		
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No		
Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.		
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?..... ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock?..... ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)..... ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?..... ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2022 v. 220413		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14	Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:		
	a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....	14a	
	b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	14b	
	c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	14c	
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:		
	a The corresponding number for the plan year immediately preceding the current plan year.....	15a	
	b The corresponding number for the second preceding plan year.....	15b	
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:		
	a Enter the number of employers who withdrew during the preceding plan year	16a	
	b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....	16b	
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐		

Part VI	Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans
----------------	---

18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐
19	If the total number of participants is 1,000 or more, complete lines (a) through (c) a Enter the percentage of plan assets held as: Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____% b Provide the average duration of the combined investment-grade and high-yield debt: ☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more c What duration measure was used to calculate line 19(b)? ☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____
20	PBGC missed contribution reporting requirements. If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20. a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box: ☐ Yes. ☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date. ☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date. ☐ No. Other. Provide explanation _____

The Legal Services NYC Tax Deferred Annuity Plan

Financial Report
December 31, 2022

Contents

Independent auditor's report	1-2
Financial statements	
Statements of net assets available for benefits	3
Statement of changes in net assets available for benefits	4
Notes to financial statements	5-12
Supplementary information	
Schedule H, line 4i—schedule of assets (held at end of year)	13-14

Independent Auditor's Report

RSM US LLP

Plan Administrator
The Legal Services NYC Tax Deferred Annuity Plan

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We were engaged to perform audits of the financial statements of The Legal Services NYC Tax Deferred Annuity Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits as of December 31, 2022 and 2021, the related statement of changes in net assets available for benefits for the year ended December 31, 2022, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained certifications from qualified institutions as of December 31, 2022 and 2021 and for the year ended December 31, 2022, stating that the certified investment information, as described in Note 3 to the financial statements, is either complete and accurate or complete and accurate to the best of their knowledge and belief.

Disclaimer of Opinion

We do not express an opinion on the accompanying financial statements of the Plan. Because of the significance of the matters described in the Basis for Disclaimer of Opinion section of our report, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on the financial statements.

Basis for Disclaimer of Opinion

The Plan has not maintained sufficient accounting records and supporting documents relating to certain annuity contracts and custodial accounts issued to current and former employees prior to January 1, 2009. Accordingly, we were unable to apply auditing procedures sufficient to determine the extent to which the accompanying financial statements may have been affected by these conditions.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with U.S. GAAP, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the financial statements are issued or available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Our responsibility is to conduct an audit of the Plan's financial statements in accordance with auditing standards generally accepted in the United States of America and to issue an auditor's report. However, because of the matters described in the Basis for Disclaimer of Opinion section of our report, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on these financial statements.

We are required to be independent of the Plan, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits.

Other Matter

Supplemental Schedule Required by ERISA

The supplemental schedule, Schedule H, line 4i—schedule of assets (held at end of year) as of December 31, 2022, is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. Because of the significance of the matters described in the Basis for Disclaimer of Opinion section of our report, it is inappropriate to, and we do not express an opinion on the supplemental schedule referred to above.

RSM US LLP

New York, New York
October 10, 2023

The Legal Services NYC Tax Deferred Annuity Plan

Statements of Net Assets Available for Benefits December 31, 2022 and 2021

	2022	2021
Assets		
Investments:		
Registered investment companies, at fair value	\$ 61,099,247	\$ 73,131,813
Pooled separate accounts, at fair value	3,045,863	4,131,641
Traditional investment contracts, at contract value	25,012,500	22,943,951
Total investments	89,157,610	100,207,405
Receivables:		
Notes receivable from participants	2,039,453	2,008,566
Employee contributions	181,391	188,958
Employer contributions	144,837	147,020
Net assets available for benefits	\$ 91,523,291	\$ 102,551,949

See notes to financial statements.

The Legal Services NYC Tax Deferred Annuity Plan

Statement of Changes in Net Assets Available for Benefits Year Ended December 31, 2022

Investment income (loss):	
Net depreciation in fair value of investments	\$ (17,586,822)
Interest and dividends	1,329,035
Total investment loss	<u>(16,257,787)</u>
Interest income on notes receivable from participants	<u>105,642</u>
Contributions:	
Employer	3,781,297
Participants	4,493,907
Rollover	306,933
Total contributions	<u>8,582,137</u>
Deductions from net assets attributed to:	
Benefits paid to participants or beneficiaries	3,367,567
Administrative costs and other	91,083
Total deductions	<u>3,458,650</u>
Net decrease in net assets available for benefits	(11,028,658)
Net assets available for benefits:	
Beginning	<u>102,551,949</u>
Ending	<u><u>\$ 91,523,291</u></u>

See notes to financial statements.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 1. Description of Plan

The following brief description of The Legal Services NYC Tax Deferred Annuity Plan (the Plan) is provided for general information purposes only. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

Legal Services NYC (LS NYC or the Plan Sponsor) is a not-for-profit corporation organized for the purpose of providing legal assistance in noncriminal proceedings or matters to indigent persons in the New York City area. LS NYC's five constituent corporations are Queens Legal Services Corporation, South Brooklyn Legal Services Corporation, Manhattan Legal Services, Bronx Legal Services and Legal Services NYC, collectively referred to as the constituent corporations (the CCs).

General: The Plan is a 403(b) plan covering all eligible employees of the CCs. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

The Plan is funded by one or more investment arrangements, including custodial accounts held by Prudential Bank & Trust, FSB (Prudential Trust) and State Street Bank and Trust Company (SSBT), and investment contracts issued by Empower Annuity Insurance Company (Empower, formerly Prudential Retirement Insurance and Annuity Company) and Transamerica Financial Life Insurance Company (TFLIC) selected by LS NYC. On October 3, 2022, Prudential Retirement Insurance and Annuity Company was renamed Empower Annuity Insurance Company. Prudential and Transamerica are the Plan's recordkeepers and maintain separate records with respect to employee and employer contributions (including any earnings thereon) credited to a participant's account.

Eligibility: Employees are eligible to make elective deferrals to the Plan upon the date employment begins. Certain employees, as defined by the Plan, are not eligible, including employees who are nonresident aliens, employees working less than 20 hours a week or students. Employees who are eligible for elective deferrals to the Plan become eligible for employer contributions after completing one year of service.

Contributions: Each year, participants may contribute a percentage or a fixed amount of their compensation limited to amounts prescribed by the Internal Revenue Code (the Code) and regulations thereunder. The limit is \$20,500 for 2022. Additionally, eligible employees making elective deferrals that have reached the age of 50 before the close of the plan year are eligible for a catch-up contribution provision in the amount of \$6,500 for 2022. In addition, participants may make voluntary rollover contributions to the Plan. The Plan Sponsor makes a mandatory contribution to the Plan of 7% of eligible employees' basic compensation per collective bargaining agreement.

Investment options: All investments are in participant-directed accounts.

Vesting: Participants are immediately vested in their voluntary, rollover and employer contributions once eligible, plus actual earnings thereon.

Payment of benefits: Benefit payments are directed and paid according to the provisions of the Plan.

A participant's normal retirement age is the date on which the participant reaches age 65. The normal retirement date is the first day of the first month after the participant reaches his or her normal retirement age. At retirement, participants shall be entitled to receive the full amount credited to their separate accounts. The Plan provides that distributions at retirement may be either in a lump-sum distribution or in installment payments over a period of years.

The amount to be distributed is the amount credited to the participant's account as of the valuation date coincident with or immediately following the participant's retirement.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 1. Description of Plan (Continued)

Upon the death or disability of a participant, the entire amount credited to the participant's account will be distributed to the beneficiary either in a lump-sum distribution or in installment payments. Prior to a participant's separation from services, at age 59½, participants' accounts are available for distribution.

In the event of hardship, the portion of the account attributable to a participant's elective deferral shall be available for distribution.

Upon termination, participants shall be entitled to the entire amount credited to their accounts.

The value of the account balance of each active participant shall be determined on each valuation date. Income for the period including unrealized appreciation or depreciation in the value of securities shall be allocated based on the ratio of the participant's account balance to the total account balances of all participants in the same investment. The participant is then credited or charged with that percentage of earnings or losses.

Notes receivable from participants: Notes receivable from participants represent loans that are recorded at their unpaid principal balance plus any accrued but unpaid interest. Participants may borrow from their fund accounts a maximum amount equal to the lesser of \$50,000 or 50% of their account balance. Loan transactions are treated as a transfer to (from) the investment fund from (to) a participant's segregated account. Loan terms range from one to five years or over a reasonable period of time for the purchase of a principal residence. The loans are collateralized by the balance in the participant's account. Interest rates on participant loans are based on the current prime rate as published in *The Wall Street Journal*, plus 1%. The principal and interest are paid through payroll deductions.

Administrative expenses: Administrative expenses are deducted quarterly from participants' mutual fund accounts based on their service provider agreement, as amended.

Note 2. Significant Accounting Policies

The Plan's significant accounting policies are as follows:

Basis of accounting: The accompanying financial statements are prepared on the accrual basis of accounting.

Investments held by a defined contribution plan are required to be reported at fair value, except for fully benefit-responsive investment contracts. Contract value is the relevant measure for the portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants normally would receive if they were to initiate permitted transactions under the terms of the Plan.

Use of estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America (U.S. GAAP) requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of changes in net assets during the reporting period. Actual results may differ from those estimates.

Investment valuation and income recognition: Except for certain contracts held by the custodians described in Note 5, investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for a discussion of fair value measurements.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 2. Significant Accounting Policies (Continued)

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of benefits: Benefits are recorded when paid.

Reclassification: Certain 2021 amounts have been reclassified to conform to the current year presentation.

Note 3. Information Certified by the Custodians and Issuers

The following is a summary of the Plan's asset information as of December 31, 2022 and 2021, and for the year ended December 31, 2022, included throughout the Plan's financial statements and supplemental schedule, that was prepared by or derived from information provided by the custodians or issuers of insurance contracts (collectively, the certifying entities or custodians) and furnished to the Plan Administrator. The Plan Administrator has obtained certifications from Prudential Trust and Empower, as to the completeness and accuracy of the below information presented in the accompanying statements of net assets available for benefits as of December 31, 2022 and 2021, and statement of changes in net assets available for benefits for the year ended December 31, 2022. In addition, the Plan Administrator has obtained certifications from SSBT and TFLIC as to the completeness and accuracy to the best of their knowledge and belief of the below information presented in the accompanying statements of net assets available for benefits as of December 31, 2022 and 2021, and statement of changes in net assets available for benefits for the year ended December 31, 2022. Accordingly, as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan Administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the information related to the following assets:

	December 31	
	2022	2021
Prudential Trust and Empower:		
Investments certified as to completeness and accuracy:		
Self-directed brokerage accounts, at fair value	\$ 1,750,700	\$ 2,061,148
Registered investment companies, at fair value	58,554,843	70,122,591
Traditional investment contracts, at contract value	21,160,317	19,075,774
Notes receivable from participants	2,039,453	2,008,566
TFLIC and SSBT:		
Investments certified as to completeness and accuracy:		
Self-directed brokerage accounts, at fair value	793,704	948,074
Traditional investment contracts, at contract value	3,852,183	3,868,177
Pooled separate accounts, at fair value	3,045,863	4,131,641

Prudential Trust and Empower also certified to the completeness and accuracy of \$16,480,595 of net depreciation in fair value of investments and \$1,196,368 of interest and dividends, and \$105,642 of interest income on notes receivable from participants for the year ended December 31, 2022.

TFLIC and SSBT also certified to the completeness and accuracy to the best of their knowledge and belief of \$1,106,227 of net depreciation in fair value of investments and \$132,667 of interest and dividends for the year ended December 31, 2022.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 4. Fair Value Measurements

The Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures, provides a framework for measuring fair value. The framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy under FASB ASC 820 are described below:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets
- Quoted prices for identical or similar assets or liabilities in inactive markets
- Inputs other than quoted prices that are observable for the asset or liability
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2022 and 2021.

Registered investment companies: Registered investment companies include both mutual funds and exchange traded funds. Mutual funds are valued at the daily closing price as reported by the fund. Shares of registered investment companies held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The registered investment companies held by the Plan are deemed to be actively traded. Exchange traded funds are valued based on their daily closing price as reported by the exchange the fund is traded on.

Self-directed brokerage accounts: Accounts primarily consist of mutual funds that are valued on the basis of readily determinable market prices.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 4. Fair Value Measurements (Continued)

Pooled separate accounts: Valued at fair value based on the NAV of the pooled separate accounts. The pooled separate accounts invest in domestic and foreign stocks, bonds and mutual funds. The fair value of these stocks, bonds and mutual funds, are publicly quoted and are used in determining the NAV of the pooled separate accounts, which are not publicly quoted. The pooled separate accounts include variable pooled separate accounts with Transamerica which are classified as pooled separate accounts in the financial statements under U.S. GAAP based on the Plan's legal interest. These investments are shown on the Form 5500 as mutual funds based on the variable pooled separate accounts underlying assets as reported by Transamerica in accordance with the instructions to the Form 5500.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes that its valuation methods are appropriate and consistent with those of other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets measured at fair value as of December 31, 2022 and 2021:

Description	Assets at Fair Value as of December 31, 2022			
	Level 1	Level 2	Level 3	Total
Registered investment companies	\$ 58,554,843	\$ -	\$ -	\$ 58,554,843
Self-directed brokerage accounts	2,544,404	-	-	2,544,404
Total assets in the fair value hierarchy	<u>\$ 61,099,247</u>	<u>\$ -</u>	<u>\$ -</u>	61,099,247
Investments measured at NAV (a)				3,045,863
Total assets at fair value				<u>\$ 64,145,110</u>

Description	Assets at Fair Value as of December 31, 2021			
	Level 1	Level 2	Level 3	Total
Registered investment companies	\$ 70,122,591	\$ -	\$ -	\$ 70,122,591
Self-directed brokerage accounts	3,009,222	-	-	3,009,222
Total assets in the fair value hierarchy	<u>\$ 73,131,813</u>	<u>\$ -</u>	<u>\$ -</u>	73,131,813
Investments measured at NAV (a)				4,131,641
Total assets at fair value				<u>\$ 77,263,454</u>

- (a) In accordance with the Fair Value Measurements topic, certain investments that were measured at NAV per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of net assets available for benefits.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 4. Fair Value Measurements (Continued)

The following table summarizes investments measured at fair value based on NAV per share:

	Fair Value December 31		Unfunded Commitment	Redemption Frequency	Redemption Notice Period
	2022	2021			
Pooled separate accounts:					
Balanced II Variable ^(a)	\$ 144,448	\$ 173,734	\$ -	Daily	None
Bond Variable ^(b)	128,268	101,434	-	Daily	None
Large Cap Variable ^(c)	1,805,507	2,641,572	-	Daily	None
Small Cap Variable ^(d)	13,255	-	-	Daily	None
Transamerica Asset Allocation ^(e)	-	156,536	-	Daily	None
Transamerica Government Fixed Fund ^(f)	432,198	419,610	-	Daily	None
Transamerica Partners Calvert ^(g)	200,048	324,678	-	Daily	None
Transamerica Partners Government Money Market ^(h)	143,096	142,543	-	Daily	None
Transamerica Partners Inflation-Protected Securities ⁽ⁱ⁾	51,202	43,597	-	Daily	None
Transamerica Partners International Equity ^(j)	127,841	127,937	-	Daily	None
Total pooled separate accounts	<u>\$ 3,045,863</u>	<u>\$ 4,131,641</u>			

- (a) The fund invests approximately 60% of its net assets in equity securities and approximately 40% of its net assets in fixed-income securities.
- (b) The funds normally invest primarily in investment grade debt securities.
- (c) The funds primarily invest in equity securities of large-cap companies.
- (d) The funds primarily invest in equity securities of small-cap companies.
- (e) The funds invest primarily in and allocate their assets between stock options and bond options for those investors who have a specific term in mind for retirement.
- (f) The Fund is a direct filing entity.
- (g) The investment seeks to provide a competitive total return through an actively managed portfolio of stocks, bonds, and money market instruments which offer income and capital growth opportunity.
- (h) The fund seeks to maintain a stable net asset value of \$1.00 per share by investing in: high-quality, U.S. dollar denominated short-term money market securities issued or guaranteed as to principal or interest by the U.S. government.
- (i) The Fund normally invests primarily in inflation-protected securities issued by the U.S. government, its agencies and instrumentalities. It may also invest in inflation-protected securities of U.S. issuers, foreign governments and other foreign issuers.
- (j) The Fund primarily invests in equity securities of foreign companies representing at least three countries other than the United States.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 5. Investment Contracts With Insurance Companies

The Plan holds guaranteed investment contracts with Empower and TFLIC. These contracts meet the fully benefit-responsive investment contract criteria and, therefore, are reported at contract value. Contract value is the relevant measure for fully benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transactions under the terms of the Plan. Contract value represents contributions made under each contract, plus earnings, less participant withdrawals and administrative expenses.

The contract issuers are contractually obligated to repay the principal and interest at a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuers but may not be less than between 1% to 3.5%, as defined in each contract. The crediting rate is reviewed on either a semi-annual or annual basis for resetting, as defined in each contract. During 2022, the credit rate was between 1.65% to 3.5%. The contract cannot be terminated before the scheduled maturity date.

Certain events limit the ability of the Plan to transact at contract value with the issuers. Such events include the following: (a) amendments to the Plan documents (including complete or partial Plan termination or merger with another plan), (b) changes to the Plan's prohibition on competing investment options or deletion of equity wash provisions, (c) bankruptcy of the Plan Sponsor or other Plan Sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan or (d) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA. In addition, certain events allow the issuer to terminate the contracts with the Plan and settle at an amount different from contract value. Those events may be different under each contract. Such events include the following: (a) an uncured violation of the Plan's investment guidelines, (b) a breach of material obligation under the contract, (c) a material misrepresentation or (d) a material amendment to the agreements without the consent of the issuer. The Plan Administrator does not believe that any events which would limit the Plan's ability to transact at contract value with participants are probable of occurring.

Note 6. Tax Status

The Plan's terms have been drafted to comply with Section 403(b) of the Code of 1986, as amended. The Plan is required to operate in conformity with the Code to maintain the exclusion from tax for Plan participants. The Plan's management believes that the Plan is currently designed and operated in compliance with the applicable requirements of the Code. The Plan Administrator intends to apply for a determination letter on the Plan when the government opens such program.

The Plan Sponsor's management evaluated the Plan's tax positions and concluded that the Plan had maintained its tax-exempt status and had taken no uncertain tax positions that require adjustments to the financial statements. Therefore, no provision or liability for income taxes has been included in the financial statements as of December 31, 2022 and 2021, and for the year ended December 31, 2022.

Note 7. Plan Termination

Although it has not expressed any intent to do so, the Plan Sponsor has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 8. Risks and Uncertainties

The Plan invests in various investment securities that are exposed to various risks, such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near-term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

Note 9. Related-Party and Party-in-Interest Transactions

The Plan utilizes Prudential Company, Transamerica Retirement Solutions Corporation and Charles Schwab for record keeping. SSBT and Prudential Trust, the custodians, are used for custody of the Plan's investments in mutual funds and self-directed brokerage account investments. Empower and TFLIC offer and support insurance investment contracts to the plan. Transactions with respect to these investments are considered to be party-in-interest transactions for which statutory exemption exists. Fees are deducted quarterly from participant mutual fund accounts based on the service provider agreement and amendment.

Note 10. Subsequent Events

The Plan has evaluated subsequent events through October 10, 2023, the date the financial statements were available to be issued.

The Legal Services NYC Tax Deferred Annuity Plan

Schedule H, Line 4i—Schedule of Assets (Held at End of Year) December 31, 2022

Employer Identification Number: 13-2600199

Plan Number: 001

(a) (b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, Maturity Value	(d) Cost**	(e) Current Value
Investments certified by Prudential			
Trust & Empower:			
Pimco	Pimco Global Opp Usd Hedge Ist	**	\$ 121,971
Fidelity	Fidelity Total Bond K6	**	5,835,899
American Beacon	American Beacon Small Cap Value Fund Class R5	**	1,442,654
American Funds	American Funds Europacific Growth Fund Class R-6	**	7,172,481
American Funds	American Funds New Perspective Fund Class R-6	**	960,530
DFA	Dfa Inflation-protected Securities Portfolio Institutional Class	**	336,070
Goldman Sachs	Goldman Sachs Emerging Markets Equity Insights Fund Class R6	**	92,281
Harbor	Harbor Capital Appreciation Fund Retirement Class	**	2,718,851
Invesco	Invesco Diversified Dividend Fund Class R6	**	1,422,995
Ishares	Ishares Msci Eafe International Index Fund Class K	**	845,426
Ishares	Ishares Russell 2000 Small-cap Index Fund Class K	**	2,809,380
Ishares	Ishares S&p 500 Index Fund Class K	**	7,409,358
Ishares	Ishares U.S. Aggregate Bond Index Fund Class K	**	503,077
Janus	Janus Henderson Enterprise Fund Class N	**	2,240,001
Janus	Janus Henderson Triton Fund Class N	**	1,511,182
MFS	Mfs Mid Cap Value Fund Class R6	**	2,411,284
T. Rowe Price	T. Rowe Price Blue Chip Growth Fund I Class	**	8,482,715
Vanguard	Vanguard Equity-income Fund Admiral Shares	**	3,785,886
Vanguard	Vanguard Ftse Social Index Fund Admiral	**	1,130,957
Vanguard	Vanguard Lifestrategy Conservative Growth Fund Investor Shares	**	1,318,145
Vanguard	Vanguard Lifestrategy Growth Fund Investor Shares	**	2,462,689
Vanguard	Vanguard Lifestrategy Moderate Growth Fund Investor Shares	**	1,927,942
Vanguard	Vanguard Mid-cap Index Fund Admiral Shares	**	1,268,772
Vanguard	Vanguard Real Estate Index Fund Admiral Shares	**	344,297
Various*	Prudential Self Directed Brokerage Accounts*	**	1,750,700
Empower*	Guaranteed Income Fund	**	21,160,317
Investments certified by Prudential Trust & Empower			<u>81,465,860</u>

(Continued)

The Legal Services NYC Tax Deferred Annuity Plan

Schedule H, Line 4i—Schedule of Assets (Held at End of Year) (Continued) December 31, 2022

Employer Identification Number: 13-2600199

Plan Number: 002

(a)	(b)	(d)	(e)
Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, Maturity Value	Cost**	Current Value
Investments certified by TFLIC & SSBT:			
Transamerica Financial Life Ins. Co.*	Balanced II Variable Subaccount	**	\$ 144,448
Transamerica Financial Life Ins. Co.*	Core Bond Variable Subaccount	**	89,757
Transamerica Financial Life Ins. Co.*	Large Value Opportunities Variable Subaccount	**	430,568
Transamerica Financial Life Ins. Co.*	Short-Term Bond Subaccount	**	32,217
Transamerica Financial Life Ins. Co.*	Small Cap Growth Variable Subaccount	**	6,617
Transamerica Financial Life Ins. Co.*	Small Cap Value Variable Subaccount	**	6,638
Transamerica Financial Life Ins. Co.*	Transamerica Inflation-Protect Securities Variable Subaccount	**	51,202
Transamerica Financial Life Ins. Co.*	Transamerica Partners Calvert Variable Subaccount	**	200,048
Transamerica Financial Life Ins. Co.*	Transamerica Partners High Yield Bond Variable Subaccount	**	6,294
Transamerica Financial Life Ins. Co.*	Transamerica Partners International Equity Variable Subaccount	**	127,841
Transamerica Financial Life Ins. Co.*	Transamerica Partners Large Core ESG Variable Subaccount	**	587,477
Transamerica Financial Life Ins. Co.*	Transamerica Partners Large Growth Variable Subaccount	**	787,462
Transamerica Financial Life Ins. Co.*	Transamerica Partners Government Money Market Variable Subaccount	**	143,096
Transamerica Financial Life Ins. Co.*	TFLIC Insurance Company/General Account	**	3,852,183
Transamerica Financial Life Ins. Co.*	Government Fixed Fund	**	432,198
Various*	Charles Schwab Personal Choice Retirement Accounts*	**	793,704
	Investments certified by TFLIC & SSBT		<u>7,691,750</u>
	Total investments		89,157,610
Participant loans (certified):			
Participants*	Interest rates ranging from 4.25% to 8.25%; maturing through 2036		<u>2,039,453</u>
			<u>\$ 91,197,063</u>

* Denotes a party-in-interest as defined by ERISA.

** Cost information is not provided for participant directed investments.

The above information where identified in this schedule has been certified by Prudential Trust, as custodian or Empower, as issuer, as complete and accurate and by State Street Bank and Trust Company, as custodian, and TFLIC, as issuer, as accurate and complete to the best of their knowledge and belief.

The Legal Services NYC Tax Deferred Annuity Plan

Financial Report
December 31, 2022

Contents

Independent auditor's report	1-2
Financial statements	
Statements of net assets available for benefits	3
Statement of changes in net assets available for benefits	4
Notes to financial statements	5-12
Supplementary information	
Schedule H, line 4i—schedule of assets (held at end of year)	13-14

Independent Auditor's Report

RSM US LLP

Plan Administrator
The Legal Services NYC Tax Deferred Annuity Plan

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We were engaged to perform audits of the financial statements of The Legal Services NYC Tax Deferred Annuity Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits as of December 31, 2022 and 2021, the related statement of changes in net assets available for benefits for the year ended December 31, 2022, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained certifications from qualified institutions as of December 31, 2022 and 2021 and for the year ended December 31, 2022, stating that the certified investment information, as described in Note 3 to the financial statements, is either complete and accurate or complete and accurate to the best of their knowledge and belief.

Disclaimer of Opinion

We do not express an opinion on the accompanying financial statements of the Plan. Because of the significance of the matters described in the Basis for Disclaimer of Opinion section of our report, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on the financial statements.

Basis for Disclaimer of Opinion

The Plan has not maintained sufficient accounting records and supporting documents relating to certain annuity contracts and custodial accounts issued to current and former employees prior to January 1, 2009. Accordingly, we were unable to apply auditing procedures sufficient to determine the extent to which the accompanying financial statements may have been affected by these conditions.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with U.S. GAAP, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the financial statements are issued or available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Our responsibility is to conduct an audit of the Plan's financial statements in accordance with auditing standards generally accepted in the United States of America and to issue an auditor's report. However, because of the matters described in the Basis for Disclaimer of Opinion section of our report, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on these financial statements.

We are required to be independent of the Plan, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits.

Other Matter

Supplemental Schedule Required by ERISA

The supplemental schedule, Schedule H, line 4i—schedule of assets (held at end of year) as of December 31, 2022, is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. Because of the significance of the matters described in the Basis for Disclaimer of Opinion section of our report, it is inappropriate to, and we do not express an opinion on the supplemental schedule referred to above.

RSM US LLP

New York, New York
October 10, 2023

The Legal Services NYC Tax Deferred Annuity Plan

Statements of Net Assets Available for Benefits December 31, 2022 and 2021

	2022	2021
Assets		
Investments:		
Registered investment companies, at fair value	\$ 61,099,247	\$ 73,131,813
Pooled separate accounts, at fair value	3,045,863	4,131,641
Traditional investment contracts, at contract value	25,012,500	22,943,951
Total investments	89,157,610	100,207,405
Receivables:		
Notes receivable from participants	2,039,453	2,008,566
Employee contributions	181,391	188,958
Employer contributions	144,837	147,020
Net assets available for benefits	\$ 91,523,291	\$ 102,551,949

See notes to financial statements.

The Legal Services NYC Tax Deferred Annuity Plan

Statement of Changes in Net Assets Available for Benefits Year Ended December 31, 2022

Investment income (loss):	
Net depreciation in fair value of investments	\$ (17,586,822)
Interest and dividends	1,329,035
Total investment loss	<u>(16,257,787)</u>
Interest income on notes receivable from participants	<u>105,642</u>
Contributions:	
Employer	3,781,297
Participants	4,493,907
Rollover	306,933
Total contributions	<u>8,582,137</u>
Deductions from net assets attributed to:	
Benefits paid to participants or beneficiaries	3,367,567
Administrative costs and other	91,083
Total deductions	<u>3,458,650</u>
Net decrease in net assets available for benefits	(11,028,658)
Net assets available for benefits:	
Beginning	<u>102,551,949</u>
Ending	<u><u>\$ 91,523,291</u></u>

See notes to financial statements.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 1. Description of Plan

The following brief description of The Legal Services NYC Tax Deferred Annuity Plan (the Plan) is provided for general information purposes only. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

Legal Services NYC (LS NYC or the Plan Sponsor) is a not-for-profit corporation organized for the purpose of providing legal assistance in noncriminal proceedings or matters to indigent persons in the New York City area. LS NYC's five constituent corporations are Queens Legal Services Corporation, South Brooklyn Legal Services Corporation, Manhattan Legal Services, Bronx Legal Services and Legal Services NYC, collectively referred to as the constituent corporations (the CCs).

General: The Plan is a 403(b) plan covering all eligible employees of the CCs. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

The Plan is funded by one or more investment arrangements, including custodial accounts held by Prudential Bank & Trust, FSB (Prudential Trust) and State Street Bank and Trust Company (SSBT), and investment contracts issued by Empower Annuity Insurance Company (Empower, formerly Prudential Retirement Insurance and Annuity Company) and Transamerica Financial Life Insurance Company (TFLIC) selected by LS NYC. On October 3, 2022, Prudential Retirement Insurance and Annuity Company was renamed Empower Annuity Insurance Company. Prudential and Transamerica are the Plan's recordkeepers and maintain separate records with respect to employee and employer contributions (including any earnings thereon) credited to a participant's account.

Eligibility: Employees are eligible to make elective deferrals to the Plan upon the date employment begins. Certain employees, as defined by the Plan, are not eligible, including employees who are nonresident aliens, employees working less than 20 hours a week or students. Employees who are eligible for elective deferrals to the Plan become eligible for employer contributions after completing one year of service.

Contributions: Each year, participants may contribute a percentage or a fixed amount of their compensation limited to amounts prescribed by the Internal Revenue Code (the Code) and regulations thereunder. The limit is \$20,500 for 2022. Additionally, eligible employees making elective deferrals that have reached the age of 50 before the close of the plan year are eligible for a catch-up contribution provision in the amount of \$6,500 for 2022. In addition, participants may make voluntary rollover contributions to the Plan. The Plan Sponsor makes a mandatory contribution to the Plan of 7% of eligible employees' basic compensation per collective bargaining agreement.

Investment options: All investments are in participant-directed accounts.

Vesting: Participants are immediately vested in their voluntary, rollover and employer contributions once eligible, plus actual earnings thereon.

Payment of benefits: Benefit payments are directed and paid according to the provisions of the Plan.

A participant's normal retirement age is the date on which the participant reaches age 65. The normal retirement date is the first day of the first month after the participant reaches his or her normal retirement age. At retirement, participants shall be entitled to receive the full amount credited to their separate accounts. The Plan provides that distributions at retirement may be either in a lump-sum distribution or in installment payments over a period of years.

The amount to be distributed is the amount credited to the participant's account as of the valuation date coincident with or immediately following the participant's retirement.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 1. Description of Plan (Continued)

Upon the death or disability of a participant, the entire amount credited to the participant's account will be distributed to the beneficiary either in a lump-sum distribution or in installment payments. Prior to a participant's separation from services, at age 59½, participants' accounts are available for distribution.

In the event of hardship, the portion of the account attributable to a participant's elective deferral shall be available for distribution.

Upon termination, participants shall be entitled to the entire amount credited to their accounts.

The value of the account balance of each active participant shall be determined on each valuation date. Income for the period including unrealized appreciation or depreciation in the value of securities shall be allocated based on the ratio of the participant's account balance to the total account balances of all participants in the same investment. The participant is then credited or charged with that percentage of earnings or losses.

Notes receivable from participants: Notes receivable from participants represent loans that are recorded at their unpaid principal balance plus any accrued but unpaid interest. Participants may borrow from their fund accounts a maximum amount equal to the lesser of \$50,000 or 50% of their account balance. Loan transactions are treated as a transfer to (from) the investment fund from (to) a participant's segregated account. Loan terms range from one to five years or over a reasonable period of time for the purchase of a principal residence. The loans are collateralized by the balance in the participant's account. Interest rates on participant loans are based on the current prime rate as published in *The Wall Street Journal*, plus 1%. The principal and interest are paid through payroll deductions.

Administrative expenses: Administrative expenses are deducted quarterly from participants' mutual fund accounts based on their service provider agreement, as amended.

Note 2. Significant Accounting Policies

The Plan's significant accounting policies are as follows:

Basis of accounting: The accompanying financial statements are prepared on the accrual basis of accounting.

Investments held by a defined contribution plan are required to be reported at fair value, except for fully benefit-responsive investment contracts. Contract value is the relevant measure for the portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants normally would receive if they were to initiate permitted transactions under the terms of the Plan.

Use of estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America (U.S. GAAP) requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of changes in net assets during the reporting period. Actual results may differ from those estimates.

Investment valuation and income recognition: Except for certain contracts held by the custodians described in Note 5, investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for a discussion of fair value measurements.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 2. Significant Accounting Policies (Continued)

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of benefits: Benefits are recorded when paid.

Reclassification: Certain 2021 amounts have been reclassified to conform to the current year presentation.

Note 3. Information Certified by the Custodians and Issuers

The following is a summary of the Plan's asset information as of December 31, 2022 and 2021, and for the year ended December 31, 2022, included throughout the Plan's financial statements and supplemental schedule, that was prepared by or derived from information provided by the custodians or issuers of insurance contracts (collectively, the certifying entities or custodians) and furnished to the Plan Administrator. The Plan Administrator has obtained certifications from Prudential Trust and Empower, as to the completeness and accuracy of the below information presented in the accompanying statements of net assets available for benefits as of December 31, 2022 and 2021, and statement of changes in net assets available for benefits for the year ended December 31, 2022. In addition, the Plan Administrator has obtained certifications from SSBT and TFLIC as to the completeness and accuracy to the best of their knowledge and belief of the below information presented in the accompanying statements of net assets available for benefits as of December 31, 2022 and 2021, and statement of changes in net assets available for benefits for the year ended December 31, 2022. Accordingly, as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan Administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the information related to the following assets:

	December 31	
	2022	2021
Prudential Trust and Empower:		
Investments certified as to completeness and accuracy:		
Self-directed brokerage accounts, at fair value	\$ 1,750,700	\$ 2,061,148
Registered investment companies, at fair value	58,554,843	70,122,591
Traditional investment contracts, at contract value	21,160,317	19,075,774
Notes receivable from participants	2,039,453	2,008,566
TFLIC and SSBT:		
Investments certified as to completeness and accuracy:		
Self-directed brokerage accounts, at fair value	793,704	948,074
Traditional investment contracts, at contract value	3,852,183	3,868,177
Pooled separate accounts, at fair value	3,045,863	4,131,641

Prudential Trust and Empower also certified to the completeness and accuracy of \$16,480,595 of net depreciation in fair value of investments and \$1,196,368 of interest and dividends, and \$105,642 of interest income on notes receivable from participants for the year ended December 31, 2022.

TFLIC and SSBT also certified to the completeness and accuracy to the best of their knowledge and belief of \$1,106,227 of net depreciation in fair value of investments and \$132,667 of interest and dividends for the year ended December 31, 2022.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 4. Fair Value Measurements

The Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures, provides a framework for measuring fair value. The framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy under FASB ASC 820 are described below:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets
- Quoted prices for identical or similar assets or liabilities in inactive markets
- Inputs other than quoted prices that are observable for the asset or liability
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2022 and 2021.

Registered investment companies: Registered investment companies include both mutual funds and exchange traded funds. Mutual funds are valued at the daily closing price as reported by the fund. Shares of registered investment companies held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The registered investment companies held by the Plan are deemed to be actively traded. Exchange traded funds are valued based on their daily closing price as reported by the exchange the fund is traded on.

Self-directed brokerage accounts: Accounts primarily consist of mutual funds that are valued on the basis of readily determinable market prices.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 4. Fair Value Measurements (Continued)

Pooled separate accounts: Valued at fair value based on the NAV of the pooled separate accounts. The pooled separate accounts invest in domestic and foreign stocks, bonds and mutual funds. The fair value of these stocks, bonds and mutual funds, are publicly quoted and are used in determining the NAV of the pooled separate accounts, which are not publicly quoted. The pooled separate accounts include variable pooled separate accounts with Transamerica which are classified as pooled separate accounts in the financial statements under U.S. GAAP based on the Plan's legal interest. These investments are shown on the Form 5500 as mutual funds based on the variable pooled separate accounts underlying assets as reported by Transamerica in accordance with the instructions to the Form 5500.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes that its valuation methods are appropriate and consistent with those of other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets measured at fair value as of December 31, 2022 and 2021:

Description	Assets at Fair Value as of December 31, 2022			
	Level 1	Level 2	Level 3	Total
Registered investment companies	\$ 58,554,843	\$ -	\$ -	\$ 58,554,843
Self-directed brokerage accounts	2,544,404	-	-	2,544,404
Total assets in the fair value hierarchy	<u>\$ 61,099,247</u>	<u>\$ -</u>	<u>\$ -</u>	61,099,247
Investments measured at NAV (a)				3,045,863
Total assets at fair value				<u>\$ 64,145,110</u>

Description	Assets at Fair Value as of December 31, 2021			
	Level 1	Level 2	Level 3	Total
Registered investment companies	\$ 70,122,591	\$ -	\$ -	\$ 70,122,591
Self-directed brokerage accounts	3,009,222	-	-	3,009,222
Total assets in the fair value hierarchy	<u>\$ 73,131,813</u>	<u>\$ -</u>	<u>\$ -</u>	73,131,813
Investments measured at NAV (a)				4,131,641
Total assets at fair value				<u>\$ 77,263,454</u>

- (a) In accordance with the Fair Value Measurements topic, certain investments that were measured at NAV per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of net assets available for benefits.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 4. Fair Value Measurements (Continued)

The following table summarizes investments measured at fair value based on NAV per share:

	Fair Value December 31		Unfunded Commitment	Redemption Frequency	Redemption Notice Period
	2022	2021			
Pooled separate accounts:					
Balanced II Variable ^(a)	\$ 144,448	\$ 173,734	\$ -	Daily	None
Bond Variable ^(b)	128,268	101,434	-	Daily	None
Large Cap Variable ^(c)	1,805,507	2,641,572	-	Daily	None
Small Cap Variable ^(d)	13,255	-	-	Daily	None
Transamerica Asset Allocation ^(e)	-	156,536	-	Daily	None
Transamerica Government Fixed Fund ^(f)	432,198	419,610	-	Daily	None
Transamerica Partners Calvert ^(g)	200,048	324,678	-	Daily	None
Transamerica Partners Government Money Market ^(h)	143,096	142,543	-	Daily	None
Transamerica Partners Inflation-Protected Securities ⁽ⁱ⁾	51,202	43,597	-	Daily	None
Transamerica Partners International Equity ^(j)	127,841	127,937	-	Daily	None
Total pooled separate accounts	<u>\$ 3,045,863</u>	<u>\$ 4,131,641</u>			

- (a) The fund invests approximately 60% of its net assets in equity securities and approximately 40% of its net assets in fixed-income securities.
- (b) The funds normally invest primarily in investment grade debt securities.
- (c) The funds primarily invest in equity securities of large-cap companies.
- (d) The funds primarily invest in equity securities of small-cap companies.
- (e) The funds invest primarily in and allocate their assets between stock options and bond options for those investors who have a specific term in mind for retirement.
- (f) The Fund is a direct filing entity.
- (g) The investment seeks to provide a competitive total return through an actively managed portfolio of stocks, bonds, and money market instruments which offer income and capital growth opportunity.
- (h) The fund seeks to maintain a stable net asset value of \$1.00 per share by investing in: high-quality, U.S. dollar denominated short-term money market securities issued or guaranteed as to principal or interest by the U.S. government.
- (i) The Fund normally invests primarily in inflation-protected securities issued by the U.S. government, its agencies and instrumentalities. It may also invest in inflation-protected securities of U.S. issuers, foreign governments and other foreign issuers.
- (j) The Fund primarily invests in equity securities of foreign companies representing at least three countries other than the United States.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 5. Investment Contracts With Insurance Companies

The Plan holds guaranteed investment contracts with Empower and TFLIC. These contracts meet the fully benefit-responsive investment contract criteria and, therefore, are reported at contract value. Contract value is the relevant measure for fully benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transactions under the terms of the Plan. Contract value represents contributions made under each contract, plus earnings, less participant withdrawals and administrative expenses.

The contract issuers are contractually obligated to repay the principal and interest at a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuers but may not be less than between 1% to 3.5%, as defined in each contract. The crediting rate is reviewed on either a semi-annual or annual basis for resetting, as defined in each contract. During 2022, the credit rate was between 1.65% to 3.5%. The contract cannot be terminated before the scheduled maturity date.

Certain events limit the ability of the Plan to transact at contract value with the issuers. Such events include the following: (a) amendments to the Plan documents (including complete or partial Plan termination or merger with another plan), (b) changes to the Plan's prohibition on competing investment options or deletion of equity wash provisions, (c) bankruptcy of the Plan Sponsor or other Plan Sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan or (d) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA. In addition, certain events allow the issuer to terminate the contracts with the Plan and settle at an amount different from contract value. Those events may be different under each contract. Such events include the following: (a) an uncured violation of the Plan's investment guidelines, (b) a breach of material obligation under the contract, (c) a material misrepresentation or (d) a material amendment to the agreements without the consent of the issuer. The Plan Administrator does not believe that any events which would limit the Plan's ability to transact at contract value with participants are probable of occurring.

Note 6. Tax Status

The Plan's terms have been drafted to comply with Section 403(b) of the Code of 1986, as amended. The Plan is required to operate in conformity with the Code to maintain the exclusion from tax for Plan participants. The Plan's management believes that the Plan is currently designed and operated in compliance with the applicable requirements of the Code. The Plan Administrator intends to apply for a determination letter on the Plan when the government opens such program.

The Plan Sponsor's management evaluated the Plan's tax positions and concluded that the Plan had maintained its tax-exempt status and had taken no uncertain tax positions that require adjustments to the financial statements. Therefore, no provision or liability for income taxes has been included in the financial statements as of December 31, 2022 and 2021, and for the year ended December 31, 2022.

Note 7. Plan Termination

Although it has not expressed any intent to do so, the Plan Sponsor has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 8. Risks and Uncertainties

The Plan invests in various investment securities that are exposed to various risks, such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near-term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

Note 9. Related-Party and Party-in-Interest Transactions

The Plan utilizes Prudential Company, Transamerica Retirement Solutions Corporation and Charles Schwab for record keeping. SSBT and Prudential Trust, the custodians, are used for custody of the Plan's investments in mutual funds and self-directed brokerage account investments. Empower and TFLIC offer and support insurance investment contracts to the plan. Transactions with respect to these investments are considered to be party-in-interest transactions for which statutory exemption exists. Fees are deducted quarterly from participant mutual fund accounts based on the service provider agreement and amendment.

Note 10. Subsequent Events

The Plan has evaluated subsequent events through October 10, 2023, the date the financial statements were available to be issued.

The Legal Services NYC Tax Deferred Annuity Plan

Schedule H, Line 4i—Schedule of Assets (Held at End of Year) December 31, 2022

Employer Identification Number: 13-2600199

Plan Number: 001

(a) (b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, Maturity Value	(d) Cost**	(e) Current Value
Investments certified by Prudential			
Trust & Empower:			
Pimco	Pimco Global Opp Usd Hedge Ist	**	\$ 121,971
Fidelity	Fidelity Total Bond K6	**	5,835,899
American Beacon	American Beacon Small Cap Value Fund Class R5	**	1,442,654
American Funds	American Funds Europacific Growth Fund Class R-6	**	7,172,481
American Funds	American Funds New Perspective Fund Class R-6	**	960,530
DFA	Dfa Inflation-protected Securities Portfolio Institutional Class	**	336,070
Goldman Sachs	Goldman Sachs Emerging Markets Equity Insights Fund Class R6	**	92,281
Harbor	Harbor Capital Appreciation Fund Retirement Class	**	2,718,851
Invesco	Invesco Diversified Dividend Fund Class R6	**	1,422,995
Ishares	Ishares Msci Eafe International Index Fund Class K	**	845,426
Ishares	Ishares Russell 2000 Small-cap Index Fund Class K	**	2,809,380
Ishares	Ishares S&p 500 Index Fund Class K	**	7,409,358
Ishares	Ishares U.S. Aggregate Bond Index Fund Class K	**	503,077
Janus	Janus Henderson Enterprise Fund Class N	**	2,240,001
Janus	Janus Henderson Triton Fund Class N	**	1,511,182
MFS	Mfs Mid Cap Value Fund Class R6	**	2,411,284
T. Rowe Price	T. Rowe Price Blue Chip Growth Fund I Class	**	8,482,715
Vanguard	Vanguard Equity-income Fund Admiral Shares	**	3,785,886
Vanguard	Vanguard Ftse Social Index Fund Admiral	**	1,130,957
Vanguard	Vanguard Lifestrategy Conservative Growth Fund Investor Shares	**	1,318,145
Vanguard	Vanguard Lifestrategy Growth Fund Investor Shares	**	2,462,689
Vanguard	Vanguard Lifestrategy Moderate Growth Fund Investor Shares	**	1,927,942
Vanguard	Vanguard Mid-cap Index Fund Admiral Shares	**	1,268,772
Vanguard	Vanguard Real Estate Index Fund Admiral Shares	**	344,297
Various*	Prudential Self Directed Brokerage Accounts*	**	1,750,700
Empower*	Guaranteed Income Fund	**	21,160,317
Investments certified by Prudential Trust & Empower			<u>81,465,860</u>

(Continued)

The Legal Services NYC Tax Deferred Annuity Plan

Schedule H, Line 4i—Schedule of Assets (Held at End of Year) (Continued) December 31, 2022

Employer Identification Number: 13-2600199

Plan Number: 002

(a)	(b)	(d)	(e)
Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, Maturity Value	Cost**	Current Value
Investments certified by TFLIC & SSBT:			
Transamerica Financial Life Ins. Co.*	Balanced II Variable Subaccount	**	\$ 144,448
Transamerica Financial Life Ins. Co.*	Core Bond Variable Subaccount	**	89,757
Transamerica Financial Life Ins. Co.*	Large Value Opportunities Variable Subaccount	**	430,568
Transamerica Financial Life Ins. Co.*	Short-Term Bond Subaccount	**	32,217
Transamerica Financial Life Ins. Co.*	Small Cap Growth Variable Subaccount	**	6,617
Transamerica Financial Life Ins. Co.*	Small Cap Value Variable Subaccount	**	6,638
Transamerica Financial Life Ins. Co.*	Transamerica Inflation-Protect Securities Variable Subaccount	**	51,202
Transamerica Financial Life Ins. Co.*	Transamerica Partners Calvert Variable Subaccount	**	200,048
Transamerica Financial Life Ins. Co.*	Transamerica Partners High Yield Bond Variable Subaccount	**	6,294
Transamerica Financial Life Ins. Co.*	Transamerica Partners International Equity Variable Subaccount	**	127,841
Transamerica Financial Life Ins. Co.*	Transamerica Partners Large Core ESG Variable Subaccount	**	587,477
Transamerica Financial Life Ins. Co.*	Transamerica Partners Large Growth Variable Subaccount	**	787,462
Transamerica Financial Life Ins. Co.*	Transamerica Partners Government Money Market Variable Subaccount	**	143,096
Transamerica Financial Life Ins. Co.*	TFLIC Insurance Company/General Account	**	3,852,183
Transamerica Financial Life Ins. Co.*	Government Fixed Fund	**	432,198
Various*	Charles Schwab Personal Choice Retirement Accounts*	**	793,704
	Investments certified by TFLIC & SSBT		<u>7,691,750</u>
	Total investments		89,157,610
	Participant loans (certified):		
Participants*	Interest rates ranging from 4.25% to 8.25%; maturing through 2036		<u>2,039,453</u>
			<u>\$ 91,197,063</u>

* Denotes a party-in-interest as defined by ERISA.

** Cost information is not provided for participant directed investments.

The above information where identified in this schedule has been certified by Prudential Trust, as custodian or Empower, as issuer, as complete and accurate and by State Street Bank and Trust Company, as custodian, and TFLIC, as issuer, as accurate and complete to the best of their knowledge and belief.

The Legal Services NYC Tax Deferred Annuity Plan

Financial Report
December 31, 2022

Contents

Independent auditor's report	1-2
Financial statements	
Statements of net assets available for benefits	3
Statement of changes in net assets available for benefits	4
Notes to financial statements	5-12
Supplementary information	
Schedule H, line 4i—schedule of assets (held at end of year)	13-14

Independent Auditor's Report

RSM US LLP

Plan Administrator
The Legal Services NYC Tax Deferred Annuity Plan

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We were engaged to perform audits of the financial statements of The Legal Services NYC Tax Deferred Annuity Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits as of December 31, 2022 and 2021, the related statement of changes in net assets available for benefits for the year ended December 31, 2022, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained certifications from qualified institutions as of December 31, 2022 and 2021 and for the year ended December 31, 2022, stating that the certified investment information, as described in Note 3 to the financial statements, is either complete and accurate or complete and accurate to the best of their knowledge and belief.

Disclaimer of Opinion

We do not express an opinion on the accompanying financial statements of the Plan. Because of the significance of the matters described in the Basis for Disclaimer of Opinion section of our report, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on the financial statements.

Basis for Disclaimer of Opinion

The Plan has not maintained sufficient accounting records and supporting documents relating to certain annuity contracts and custodial accounts issued to current and former employees prior to January 1, 2009. Accordingly, we were unable to apply auditing procedures sufficient to determine the extent to which the accompanying financial statements may have been affected by these conditions.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with U.S. GAAP, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the financial statements are issued or available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Our responsibility is to conduct an audit of the Plan's financial statements in accordance with auditing standards generally accepted in the United States of America and to issue an auditor's report. However, because of the matters described in the Basis for Disclaimer of Opinion section of our report, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on these financial statements.

We are required to be independent of the Plan, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits.

Other Matter

Supplemental Schedule Required by ERISA

The supplemental schedule, Schedule H, line 4i—schedule of assets (held at end of year) as of December 31, 2022, is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. Because of the significance of the matters described in the Basis for Disclaimer of Opinion section of our report, it is inappropriate to, and we do not express an opinion on the supplemental schedule referred to above.

RSM US LLP

New York, New York
October 10, 2023

The Legal Services NYC Tax Deferred Annuity Plan

Statements of Net Assets Available for Benefits December 31, 2022 and 2021

	2022	2021
Assets		
Investments:		
Registered investment companies, at fair value	\$ 61,099,247	\$ 73,131,813
Pooled separate accounts, at fair value	3,045,863	4,131,641
Traditional investment contracts, at contract value	25,012,500	22,943,951
Total investments	89,157,610	100,207,405
Receivables:		
Notes receivable from participants	2,039,453	2,008,566
Employee contributions	181,391	188,958
Employer contributions	144,837	147,020
Net assets available for benefits	\$ 91,523,291	\$ 102,551,949

See notes to financial statements.

The Legal Services NYC Tax Deferred Annuity Plan

Statement of Changes in Net Assets Available for Benefits Year Ended December 31, 2022

Investment income (loss):	
Net depreciation in fair value of investments	\$ (17,586,822)
Interest and dividends	1,329,035
Total investment loss	<u>(16,257,787)</u>
Interest income on notes receivable from participants	<u>105,642</u>
Contributions:	
Employer	3,781,297
Participants	4,493,907
Rollover	306,933
Total contributions	<u>8,582,137</u>
Deductions from net assets attributed to:	
Benefits paid to participants or beneficiaries	3,367,567
Administrative costs and other	91,083
Total deductions	<u>3,458,650</u>
Net decrease in net assets available for benefits	(11,028,658)
Net assets available for benefits:	
Beginning	<u>102,551,949</u>
Ending	<u><u>\$ 91,523,291</u></u>

See notes to financial statements.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 1. Description of Plan

The following brief description of The Legal Services NYC Tax Deferred Annuity Plan (the Plan) is provided for general information purposes only. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

Legal Services NYC (LS NYC or the Plan Sponsor) is a not-for-profit corporation organized for the purpose of providing legal assistance in noncriminal proceedings or matters to indigent persons in the New York City area. LS NYC's five constituent corporations are Queens Legal Services Corporation, South Brooklyn Legal Services Corporation, Manhattan Legal Services, Bronx Legal Services and Legal Services NYC, collectively referred to as the constituent corporations (the CCs).

General: The Plan is a 403(b) plan covering all eligible employees of the CCs. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

The Plan is funded by one or more investment arrangements, including custodial accounts held by Prudential Bank & Trust, FSB (Prudential Trust) and State Street Bank and Trust Company (SSBT), and investment contracts issued by Empower Annuity Insurance Company (Empower, formerly Prudential Retirement Insurance and Annuity Company) and Transamerica Financial Life Insurance Company (TFLIC) selected by LS NYC. On October 3, 2022, Prudential Retirement Insurance and Annuity Company was renamed Empower Annuity Insurance Company. Prudential and Transamerica are the Plan's recordkeepers and maintain separate records with respect to employee and employer contributions (including any earnings thereon) credited to a participant's account.

Eligibility: Employees are eligible to make elective deferrals to the Plan upon the date employment begins. Certain employees, as defined by the Plan, are not eligible, including employees who are nonresident aliens, employees working less than 20 hours a week or students. Employees who are eligible for elective deferrals to the Plan become eligible for employer contributions after completing one year of service.

Contributions: Each year, participants may contribute a percentage or a fixed amount of their compensation limited to amounts prescribed by the Internal Revenue Code (the Code) and regulations thereunder. The limit is \$20,500 for 2022. Additionally, eligible employees making elective deferrals that have reached the age of 50 before the close of the plan year are eligible for a catch-up contribution provision in the amount of \$6,500 for 2022. In addition, participants may make voluntary rollover contributions to the Plan. The Plan Sponsor makes a mandatory contribution to the Plan of 7% of eligible employees' basic compensation per collective bargaining agreement.

Investment options: All investments are in participant-directed accounts.

Vesting: Participants are immediately vested in their voluntary, rollover and employer contributions once eligible, plus actual earnings thereon.

Payment of benefits: Benefit payments are directed and paid according to the provisions of the Plan.

A participant's normal retirement age is the date on which the participant reaches age 65. The normal retirement date is the first day of the first month after the participant reaches his or her normal retirement age. At retirement, participants shall be entitled to receive the full amount credited to their separate accounts. The Plan provides that distributions at retirement may be either in a lump-sum distribution or in installment payments over a period of years.

The amount to be distributed is the amount credited to the participant's account as of the valuation date coincident with or immediately following the participant's retirement.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 1. Description of Plan (Continued)

Upon the death or disability of a participant, the entire amount credited to the participant's account will be distributed to the beneficiary either in a lump-sum distribution or in installment payments. Prior to a participant's separation from services, at age 59½, participants' accounts are available for distribution.

In the event of hardship, the portion of the account attributable to a participant's elective deferral shall be available for distribution.

Upon termination, participants shall be entitled to the entire amount credited to their accounts.

The value of the account balance of each active participant shall be determined on each valuation date. Income for the period including unrealized appreciation or depreciation in the value of securities shall be allocated based on the ratio of the participant's account balance to the total account balances of all participants in the same investment. The participant is then credited or charged with that percentage of earnings or losses.

Notes receivable from participants: Notes receivable from participants represent loans that are recorded at their unpaid principal balance plus any accrued but unpaid interest. Participants may borrow from their fund accounts a maximum amount equal to the lesser of \$50,000 or 50% of their account balance. Loan transactions are treated as a transfer to (from) the investment fund from (to) a participant's segregated account. Loan terms range from one to five years or over a reasonable period of time for the purchase of a principal residence. The loans are collateralized by the balance in the participant's account. Interest rates on participant loans are based on the current prime rate as published in *The Wall Street Journal*, plus 1%. The principal and interest are paid through payroll deductions.

Administrative expenses: Administrative expenses are deducted quarterly from participants' mutual fund accounts based on their service provider agreement, as amended.

Note 2. Significant Accounting Policies

The Plan's significant accounting policies are as follows:

Basis of accounting: The accompanying financial statements are prepared on the accrual basis of accounting.

Investments held by a defined contribution plan are required to be reported at fair value, except for fully benefit-responsive investment contracts. Contract value is the relevant measure for the portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants normally would receive if they were to initiate permitted transactions under the terms of the Plan.

Use of estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America (U.S. GAAP) requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of changes in net assets during the reporting period. Actual results may differ from those estimates.

Investment valuation and income recognition: Except for certain contracts held by the custodians described in Note 5, investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for a discussion of fair value measurements.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 2. Significant Accounting Policies (Continued)

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of benefits: Benefits are recorded when paid.

Reclassification: Certain 2021 amounts have been reclassified to conform to the current year presentation.

Note 3. Information Certified by the Custodians and Issuers

The following is a summary of the Plan's asset information as of December 31, 2022 and 2021, and for the year ended December 31, 2022, included throughout the Plan's financial statements and supplemental schedule, that was prepared by or derived from information provided by the custodians or issuers of insurance contracts (collectively, the certifying entities or custodians) and furnished to the Plan Administrator. The Plan Administrator has obtained certifications from Prudential Trust and Empower, as to the completeness and accuracy of the below information presented in the accompanying statements of net assets available for benefits as of December 31, 2022 and 2021, and statement of changes in net assets available for benefits for the year ended December 31, 2022. In addition, the Plan Administrator has obtained certifications from SSBT and TFLIC as to the completeness and accuracy to the best of their knowledge and belief of the below information presented in the accompanying statements of net assets available for benefits as of December 31, 2022 and 2021, and statement of changes in net assets available for benefits for the year ended December 31, 2022. Accordingly, as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan Administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the information related to the following assets:

	December 31	
	2022	2021
Prudential Trust and Empower:		
Investments certified as to completeness and accuracy:		
Self-directed brokerage accounts, at fair value	\$ 1,750,700	\$ 2,061,148
Registered investment companies, at fair value	58,554,843	70,122,591
Traditional investment contracts, at contract value	21,160,317	19,075,774
Notes receivable from participants	2,039,453	2,008,566
TFLIC and SSBT:		
Investments certified as to completeness and accuracy:		
Self-directed brokerage accounts, at fair value	793,704	948,074
Traditional investment contracts, at contract value	3,852,183	3,868,177
Pooled separate accounts, at fair value	3,045,863	4,131,641

Prudential Trust and Empower also certified to the completeness and accuracy of \$16,480,595 of net depreciation in fair value of investments and \$1,196,368 of interest and dividends, and \$105,642 of interest income on notes receivable from participants for the year ended December 31, 2022.

TFLIC and SSBT also certified to the completeness and accuracy to the best of their knowledge and belief of \$1,106,227 of net depreciation in fair value of investments and \$132,667 of interest and dividends for the year ended December 31, 2022.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 4. Fair Value Measurements

The Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures, provides a framework for measuring fair value. The framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy under FASB ASC 820 are described below:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets
- Quoted prices for identical or similar assets or liabilities in inactive markets
- Inputs other than quoted prices that are observable for the asset or liability
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2022 and 2021.

Registered investment companies: Registered investment companies include both mutual funds and exchange traded funds. Mutual funds are valued at the daily closing price as reported by the fund. Shares of registered investment companies held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The registered investment companies held by the Plan are deemed to be actively traded. Exchange traded funds are valued based on their daily closing price as reported by the exchange the fund is traded on.

Self-directed brokerage accounts: Accounts primarily consist of mutual funds that are valued on the basis of readily determinable market prices.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 4. Fair Value Measurements (Continued)

Pooled separate accounts: Valued at fair value based on the NAV of the pooled separate accounts. The pooled separate accounts invest in domestic and foreign stocks, bonds and mutual funds. The fair value of these stocks, bonds and mutual funds, are publicly quoted and are used in determining the NAV of the pooled separate accounts, which are not publicly quoted. The pooled separate accounts include variable pooled separate accounts with Transamerica which are classified as pooled separate accounts in the financial statements under U.S. GAAP based on the Plan's legal interest. These investments are shown on the Form 5500 as mutual funds based on the variable pooled separate accounts underlying assets as reported by Transamerica in accordance with the instructions to the Form 5500.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes that its valuation methods are appropriate and consistent with those of other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets measured at fair value as of December 31, 2022 and 2021:

Description	Assets at Fair Value as of December 31, 2022			
	Level 1	Level 2	Level 3	Total
Registered investment companies	\$ 58,554,843	\$ -	\$ -	\$ 58,554,843
Self-directed brokerage accounts	2,544,404	-	-	2,544,404
Total assets in the fair value hierarchy	<u>\$ 61,099,247</u>	<u>\$ -</u>	<u>\$ -</u>	61,099,247
Investments measured at NAV (a)				3,045,863
Total assets at fair value				<u>\$ 64,145,110</u>

Description	Assets at Fair Value as of December 31, 2021			
	Level 1	Level 2	Level 3	Total
Registered investment companies	\$ 70,122,591	\$ -	\$ -	\$ 70,122,591
Self-directed brokerage accounts	3,009,222	-	-	3,009,222
Total assets in the fair value hierarchy	<u>\$ 73,131,813</u>	<u>\$ -</u>	<u>\$ -</u>	73,131,813
Investments measured at NAV (a)				4,131,641
Total assets at fair value				<u>\$ 77,263,454</u>

- (a) In accordance with the Fair Value Measurements topic, certain investments that were measured at NAV per share (or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of net assets available for benefits.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 4. Fair Value Measurements (Continued)

The following table summarizes investments measured at fair value based on NAV per share:

	Fair Value December 31		Unfunded Commitment	Redemption Frequency	Redemption Notice Period
	2022	2021			
Pooled separate accounts:					
Balanced II Variable ^(a)	\$ 144,448	\$ 173,734	\$ -	Daily	None
Bond Variable ^(b)	128,268	101,434	-	Daily	None
Large Cap Variable ^(c)	1,805,507	2,641,572	-	Daily	None
Small Cap Variable ^(d)	13,255	-	-	Daily	None
Transamerica Asset Allocation ^(e)	-	156,536	-	Daily	None
Transamerica Government Fixed Fund ^(f)	432,198	419,610	-	Daily	None
Transamerica Partners Calvert ^(g)	200,048	324,678	-	Daily	None
Transamerica Partners Government Money Market ^(h)	143,096	142,543	-	Daily	None
Transamerica Partners Inflation-Protected Securities ⁽ⁱ⁾	51,202	43,597	-	Daily	None
Transamerica Partners International Equity ^(j)	127,841	127,937	-	Daily	None
Total pooled separate accounts	<u>\$ 3,045,863</u>	<u>\$ 4,131,641</u>			

- (a) The fund invests approximately 60% of its net assets in equity securities and approximately 40% of its net assets in fixed-income securities.
- (b) The funds normally invest primarily in investment grade debt securities.
- (c) The funds primarily invest in equity securities of large-cap companies.
- (d) The funds primarily invest in equity securities of small-cap companies.
- (e) The funds invest primarily in and allocate their assets between stock options and bond options for those investors who have a specific term in mind for retirement.
- (f) The Fund is a direct filing entity.
- (g) The investment seeks to provide a competitive total return through an actively managed portfolio of stocks, bonds, and money market instruments which offer income and capital growth opportunity.
- (h) The fund seeks to maintain a stable net asset value of \$1.00 per share by investing in: high-quality, U.S. dollar denominated short-term money market securities issued or guaranteed as to principal or interest by the U.S. government.
- (i) The Fund normally invests primarily in inflation-protected securities issued by the U.S. government, its agencies and instrumentalities. It may also invest in inflation-protected securities of U.S. issuers, foreign governments and other foreign issuers.
- (j) The Fund primarily invests in equity securities of foreign companies representing at least three countries other than the United States.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 5. Investment Contracts With Insurance Companies

The Plan holds guaranteed investment contracts with Empower and TFLIC. These contracts meet the fully benefit-responsive investment contract criteria and, therefore, are reported at contract value. Contract value is the relevant measure for fully benefit-responsive investment contracts because this is the amount received by participants if they were to initiate permitted transactions under the terms of the Plan. Contract value represents contributions made under each contract, plus earnings, less participant withdrawals and administrative expenses.

The contract issuers are contractually obligated to repay the principal and interest at a specified interest rate that is guaranteed to the Plan. The crediting rate is based on a formula established by the contract issuers but may not be less than between 1% to 3.5%, as defined in each contract. The crediting rate is reviewed on either a semi-annual or annual basis for resetting, as defined in each contract. During 2022, the credit rate was between 1.65% to 3.5%. The contract cannot be terminated before the scheduled maturity date.

Certain events limit the ability of the Plan to transact at contract value with the issuers. Such events include the following: (a) amendments to the Plan documents (including complete or partial Plan termination or merger with another plan), (b) changes to the Plan's prohibition on competing investment options or deletion of equity wash provisions, (c) bankruptcy of the Plan Sponsor or other Plan Sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan or (d) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA. In addition, certain events allow the issuer to terminate the contracts with the Plan and settle at an amount different from contract value. Those events may be different under each contract. Such events include the following: (a) an uncured violation of the Plan's investment guidelines, (b) a breach of material obligation under the contract, (c) a material misrepresentation or (d) a material amendment to the agreements without the consent of the issuer. The Plan Administrator does not believe that any events which would limit the Plan's ability to transact at contract value with participants are probable of occurring.

Note 6. Tax Status

The Plan's terms have been drafted to comply with Section 403(b) of the Code of 1986, as amended. The Plan is required to operate in conformity with the Code to maintain the exclusion from tax for Plan participants. The Plan's management believes that the Plan is currently designed and operated in compliance with the applicable requirements of the Code. The Plan Administrator intends to apply for a determination letter on the Plan when the government opens such program.

The Plan Sponsor's management evaluated the Plan's tax positions and concluded that the Plan had maintained its tax-exempt status and had taken no uncertain tax positions that require adjustments to the financial statements. Therefore, no provision or liability for income taxes has been included in the financial statements as of December 31, 2022 and 2021, and for the year ended December 31, 2022.

Note 7. Plan Termination

Although it has not expressed any intent to do so, the Plan Sponsor has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA.

The Legal Services NYC Tax Deferred Annuity Plan

Notes to Financial Statements

Note 8. Risks and Uncertainties

The Plan invests in various investment securities that are exposed to various risks, such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near-term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

Note 9. Related-Party and Party-in-Interest Transactions

The Plan utilizes Prudential Company, Transamerica Retirement Solutions Corporation and Charles Schwab for record keeping. SSBT and Prudential Trust, the custodians, are used for custody of the Plan's investments in mutual funds and self-directed brokerage account investments. Empower and TFLIC offer and support insurance investment contracts to the plan. Transactions with respect to these investments are considered to be party-in-interest transactions for which statutory exemption exists. Fees are deducted quarterly from participant mutual fund accounts based on the service provider agreement and amendment.

Note 10. Subsequent Events

The Plan has evaluated subsequent events through October 10, 2023, the date the financial statements were available to be issued.

The Legal Services NYC Tax Deferred Annuity Plan

Schedule H, Line 4i—Schedule of Assets (Held at End of Year) December 31, 2022

Employer Identification Number: 13-2600199

Plan Number: 001

(a) (b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, Maturity Value	(d) Cost**	(e) Current Value
Investments certified by Prudential			
Trust & Empower:			
Pimco	Pimco Global Opp Usd Hedge Ist	**	\$ 121,971
Fidelity	Fidelity Total Bond K6	**	5,835,899
American Beacon	American Beacon Small Cap Value Fund Class R5	**	1,442,654
American Funds	American Funds Europacific Growth Fund Class R-6	**	7,172,481
American Funds	American Funds New Perspective Fund Class R-6	**	960,530
DFA	Dfa Inflation-protected Securities Portfolio Institutional Class	**	336,070
Goldman Sachs	Goldman Sachs Emerging Markets Equity Insights Fund Class R6	**	92,281
Harbor	Harbor Capital Appreciation Fund Retirement Class	**	2,718,851
Invesco	Invesco Diversified Dividend Fund Class R6	**	1,422,995
Ishares	Ishares Msci Eafe International Index Fund Class K	**	845,426
Ishares	Ishares Russell 2000 Small-cap Index Fund Class K	**	2,809,380
Ishares	Ishares S&p 500 Index Fund Class K	**	7,409,358
Ishares	Ishares U.S. Aggregate Bond Index Fund Class K	**	503,077
Janus	Janus Henderson Enterprise Fund Class N	**	2,240,001
Janus	Janus Henderson Triton Fund Class N	**	1,511,182
MFS	Mfs Mid Cap Value Fund Class R6	**	2,411,284
T. Rowe Price	T. Rowe Price Blue Chip Growth Fund I Class	**	8,482,715
Vanguard	Vanguard Equity-income Fund Admiral Shares	**	3,785,886
Vanguard	Vanguard Ftse Social Index Fund Admiral	**	1,130,957
Vanguard	Vanguard Lifestrategy Conservative Growth Fund Investor Shares	**	1,318,145
Vanguard	Vanguard Lifestrategy Growth Fund Investor Shares	**	2,462,689
Vanguard	Vanguard Lifestrategy Moderate Growth Fund Investor Shares	**	1,927,942
Vanguard	Vanguard Mid-cap Index Fund Admiral Shares	**	1,268,772
Vanguard	Vanguard Real Estate Index Fund Admiral Shares	**	344,297
Various*	Prudential Self Directed Brokerage Accounts*	**	1,750,700
Empower*	Guaranteed Income Fund	**	21,160,317
Investments certified by Prudential Trust & Empower			81,465,860

(Continued)

The Legal Services NYC Tax Deferred Annuity Plan

Schedule H, Line 4i—Schedule of Assets (Held at End of Year) (Continued) December 31, 2022

Employer Identification Number: 13-2600199

Plan Number: 002

(a)	(b)	(d)	(e)
Identity of Issue, Borrower, Lessor or Similar Party	Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, Maturity Value	Cost**	Current Value
Investments certified by TFLIC & SSBT:			
Transamerica Financial Life Ins. Co.*	Balanced II Variable Subaccount	**	\$ 144,448
Transamerica Financial Life Ins. Co.*	Core Bond Variable Subaccount	**	89,757
Transamerica Financial Life Ins. Co.*	Large Value Opportunities Variable Subaccount	**	430,568
Transamerica Financial Life Ins. Co.*	Short-Term Bond Subaccount	**	32,217
Transamerica Financial Life Ins. Co.*	Small Cap Growth Variable Subaccount	**	6,617
Transamerica Financial Life Ins. Co.*	Small Cap Value Variable Subaccount	**	6,638
Transamerica Financial Life Ins. Co.*	Transamerica Inflation-Protect Securities Variable Subaccount	**	51,202
Transamerica Financial Life Ins. Co.*	Transamerica Partners Calvert Variable Subaccount	**	200,048
Transamerica Financial Life Ins. Co.*	Transamerica Partners High Yield Bond Variable Subaccount	**	6,294
Transamerica Financial Life Ins. Co.*	Transamerica Partners International Equity Variable Subaccount	**	127,841
Transamerica Financial Life Ins. Co.*	Transamerica Partners Large Core ESG Variable Subaccount	**	587,477
Transamerica Financial Life Ins. Co.*	Transamerica Partners Large Growth Variable Subaccount	**	787,462
Transamerica Financial Life Ins. Co.*	Transamerica Partners Government Money Market Variable Subaccount	**	143,096
Transamerica Financial Life Ins. Co.*	TFLIC Insurance Company/General Account	**	3,852,183
Transamerica Financial Life Ins. Co.*	Government Fixed Fund	**	432,198
Various*	Charles Schwab Personal Choice Retirement Accounts*	**	793,704
	Investments certified by TFLIC & SSBT		<u>7,691,750</u>
	Total investments		89,157,610
Participant loans (certified):			
Participants*	Interest rates ranging from 4.25% to 8.25%; maturing through 2036		<u>2,039,453</u>
			<u>\$ 91,197,063</u>

* Denotes a party-in-interest as defined by ERISA.

** Cost information is not provided for participant directed investments.

The above information where identified in this schedule has been certified by Prudential Trust, as custodian or Empower, as issuer, as complete and accurate and by State Street Bank and Trust Company, as custodian, and TFLIC, as issuer, as accurate and complete to the best of their knowledge and belief.