

**Form 5500-SF**

Department of the Treasury  
Internal Revenue Service

Department of Labor  
Employee Benefits Security Administration  
Pension Benefit Guaranty Corporation

**Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500-SF.**

OMB Nos. 1210-0110  
1210-0089

**2023**

**This Form is Open to Public Inspection**

**Part I Annual Report Identification Information**

For calendar plan year 2023 or fiscal plan year beginning 01/01/2023 and ending 12/31/2023

- A** This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Pension Plan filers checking this box must attach Schedule MEP. Other plans must attach a list of participating employer information in accordance with the form instructions.)
- B** This return/report is  the first return/report  the final return/report  
 an amended return/report  a short plan year return/report (less than 12 months)
- C** Check box if filing under:  Form 5558  automatic extension  DFVC program  
 special extension (enter description)
- D** If the plan is a collectively-bargained plan, check here ..... ▶
- E** If this is a retroactively adopted plan permitted by SECURE Act section 201, check here ..... ▶

**Part II Basic Plan Information**—enter all requested information

<b>1a</b> Name of plan <u>TANGEN BIOSCIENCES INC 401K PROFIT SHARING PLAN</u>	<b>1b</b> Three-digit plan number (PN) ▶	<u>001</u>
	<b>1c</b> Effective date of plan	<u>08/30/2019</u>
<b>2a</b> Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>TANGEN BIOSCIENCES INC</u>  <u>20 COMMERCIAL STREET</u> <u>BRANFORD, CT 06405</u>	<b>2b</b> Employer Identification Number (EIN)	<u>46-4414881</u>
	<b>2c</b> Sponsor's telephone number	<u>203-433-4045</u>
	<b>2d</b> Business code (see instructions)	<u>325100</u>
<b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor.	<b>3b</b> Administrator's EIN	
	<b>3c</b> Administrator's telephone number	
<b>4</b> If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. <b>a</b> Sponsor's name <b>c</b> Plan Name	<b>4b</b> EIN	
	<b>4d</b> PN	
<b>5a</b> Total number of participants at the beginning of the plan year .....	<b>5a</b>	<u>19</u>
<b>b</b> Total number of participants at the end of the plan year.....	<b>5b</b>	<u>0</u>
<b>c(1)</b> Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) .....	<b>5c(1)</b>	<u>19</u>
<b>c(2)</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....	<b>5c(2)</b>	<u>0</u>
<b>d(1)</b> Total number of active participants at the beginning of the plan year.....	<b>5d(1)</b>	<u>5</u>
<b>d(2)</b> Total number of active participants at the end of the plan year.....	<b>5d(2)</b>	<u>0</u>
<b>e</b> Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....	<b>5e</b>	<u>0</u>

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

<b>SIGN HERE</b>	Filed with authorized/valid electronic signature.	05/20/2024	RICHARD BIRKMEYER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
<b>SIGN HERE</b>	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

- 6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.).....  Yes  No
- b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.).....  Yes  No
- If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.**
- c** If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? .....  Yes  No  Not determined
- If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year \_\_\_\_\_ (See instructions.)

<b>Part III Financial Information</b>			
<b>7</b> Plan Assets and Liabilities		<b>(a) Beginning of Year</b>	<b>(b) End of Year</b>
<b>a</b> Total plan assets .....	<b>7a</b>	924528	0
<b>b</b> Total plan liabilities .....	<b>7b</b>	1106851	
<b>c</b> Net plan assets (subtract line 7b from line 7a) .....	<b>7c</b>	-182323	0
<b>8</b> Income, Expenses, and Transfers for this Plan Year		<b>(a) Amount</b>	<b>(b) Total</b>
<b>a</b> Contributions received or receivable from:			
<b>(1)</b> Employers .....	<b>8a(1)</b>	13712	
<b>(2)</b> Participants .....	<b>8a(2)</b>	23360	
<b>(3)</b> Others (including rollovers) .....	<b>8a(3)</b>		
<b>b</b> Other income (loss) .....	<b>8b</b>	146251	
<b>c</b> Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) .....	<b>8c</b>		183323
<b>d</b> Benefits paid (including direct rollovers and insurance premiums to provide benefits) .....	<b>8d</b>		
<b>e</b> Certain deemed and/or corrective distributions (see instructions) .	<b>8e</b>		
<b>f</b> Administrative service providers (salaries, fees, commissions) .....	<b>8f</b>	1000	
<b>g</b> Other expenses .....	<b>8g</b>		
<b>h</b> Total expenses (add lines 8d, 8e, 8f, and 8g) .....	<b>8h</b>		1000
<b>i</b> Net income (loss) (subtract line 8h from line 8c) .....	<b>8i</b>		182323
<b>j</b> Transfers to (from) the plan (see instructions) .....	<b>8j</b>		

<b>Part IV Plan Characteristics</b>	
<b>9a</b>	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 2K
<b>b</b>	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

<b>Part V Compliance Questions</b>				
<b>10</b> During the plan year:		<b>Yes</b>	<b>No</b>	<b>Amount</b>
<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program) .....	<b>10a</b>		X	
<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) .....	<b>10b</b>		X	
<b>c</b> Was the plan covered by a fidelity bond? .....	<b>10c</b>		X	
<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? .....	<b>10d</b>		X	
<b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) .....	<b>10e</b>		X	
<b>f</b> Has the plan failed to provide any benefit when due under the plan? .....	<b>10f</b>		X	
<b>g</b> Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) .....	<b>10g</b>		X	
<b>h</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) .....	<b>10h</b>		X	
<b>i</b> If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 .....	<b>10i</b>			

**Part VI Pension Funding Compliance**

**11** Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and lines 11a and b below.) If this is a defined contribution pension plan, leave line 11 blank and complete line 12 below.  Yes  No

**a** Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 **11a**

**b PBGC missed contribution reporting requirements.** If the plan is covered by PBGC and the amount reported on line 11a is greater than \$0, has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

Yes.

No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

No. Other. Provide explanation \_\_\_\_\_

**12** Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?  Yes  No

(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) If this is a defined benefit pension plan, leave line 12 blank and complete line 11 above.

**a** If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.**

**b** Enter the minimum required contribution for this plan year **12b**

**c** Enter the amount contributed by the employer to the plan for this plan year **12c**

**d** Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) **12d**

**e** Will the minimum funding amount reported on line 12d be met by the funding deadline?  Yes  No  N/A

**Part VII Plan Terminations and Transfers of Assets**

**13a** Has a resolution to terminate the plan been adopted in any plan year?  Yes  No

**a** If "Yes," enter the amount of any plan assets that reverted to the employer this year. **13a** 0

**b** Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?  Yes  No

**c** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

<b>13c(1)</b> Name of plan(s):	<b>13c(2)</b> EIN(s)	<b>13c(3)</b> PN(s)

**Part VIII IRS Compliance Questions**

**14a** Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?  Yes  No

**14b** If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).

Design-based safe harbor method

"Prior year" ADP test

"Current year" ADP test

N/A

**15** If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter 06/30/2020 (MM/DD/YYYY) and the Opinion Letter serial number Q703862A.

# Schedule A Information for Annual Return / Report Filing

Voya Retirement Insurance and Annuity  
Company  
PO Box 990067  
Hartford, CT 06199-0067

**PENSION PLAN**  
**PLAN YEAR**  
**January 1, 2023 Through December 31, 2023**

**TANGEN BIOSCIENCES, INC.**  
81D505

TANGEN BIOSCIENCES, INC.  
ATTN. RACHAEL QUINN  
20 COMMERCIAL STREET  
BRANFORD CT 06405

**For Agent Service:**

Please Contact your Representative

**NUMBER OF PARTICIPANTS:**

19

**PREMIUMS PAID/DEPOSITS:**

N/A

The information contained on this form is for your use in completing Schedule A for Annual Return Report form 5500 or 5500 C/R. Copies of this report have been distributed to the local Voya Retirement office and your TPA, if applicable. You should provide this information to any additional persons or firms responsible for completing for filings. Voya Retirement Insurance & Annuity Company hereby certifies that the information furnished pursuant to 29 CRF 2520.103-5(c) is complete and accurate.

**Basis of premium rates:**

Rates published in Voya Retirement Insurance and Annuity Company rate manuals in effect at the time of policy issue and appropriate to the risks involved.

**Supplemental Cash Payments:**

Consists of cash payments made based on the contract but outside of commission, for example, cash payments which vary based on the producer's overall end of year assets. Certain of these items (e.g. payments for office space) have been allocated to this contract on a pro-rata basis, based on overall commissions earned for the most recent calendar year end.

**Allocated Non-Cash Compensation:**

Consists of an estimated allocation of rewards earned by the producer (e.g. trips) for the most recent calendar year end. These non-cash compensation amounts have been allocated to this contract on a pro-rata basis, based on overall commissions earned for the same period.

**COMMISSIONS/FEES:**

Name/Address of Recipient(s):	Amounts Paid:	Name/Address of Recipient(s):	Amounts Paid:
PURSHE KAPLAN STERLING INVESTMENTS I 80 STATE ST ALBANY NY 12207			
<b>Cash Commissions</b>	<b>\$2,849.36</b>		
<b>Supplemental Cash Paid</b>	<b>\$0.00</b>		
<b>Allocated Non-Cash Comp</b>	<b>\$0.00</b>		



# Schedule A Information for Annual Return / Report Filing

Voya Retirement Insurance and Annuity  
Company  
PO Box 990067  
Hartford, CT 06199-0067

**PENSION PLAN**  
**PLAN YEAR**  
**January 1, 2023 Through December 31, 2023**

**VARIABLE ANNUITY INVESTMENT AND ACCOUNTING:**

General Account(s) Balance at End of Prior Reporting Period:		\$22,665.11
--- Additions ---		
Total Deposits	\$2,948.94	
Dividends and Credits	\$0.00	
Interest Credited to General Account(s)	\$325.62	
Funds Transferred to General Account(s)	\$0.00	
from Separate Account(s)		
Other *	\$0.00	
Total Additions		\$3,274.56
Total Balance and Additions		\$25,939.67
--- Deductions ---		
Disbursed from fund to pay benefits or purchase annuities	\$25,848.13-	
Administration Charge	\$91.54-	
Funds Transferred from General Account(s)	\$0.00	
to Separate Account(s)		
Other **	\$0.00	
Total Deductions		\$25,939.67-
General Account(s) Balance at End of Reporting Period		\$0.00
Separate Account(s) Balance at End of Reporting Period		\$0.00

\* Can consist of: Loan Repayments, Positive Value Adjustment(s), Collateral Interest Earned, Transfers from one Voya Contract to another, Transferred assets from other carriers/takeover money, Transfers from Self Directed Brokerage Account

\*\* Can consist of: Loan Disbursements, Negative Value Adjustment(s), Transferred assets from one Voya contract to another, Fees paid to Third Party Administrators, Assets transferred to other carriers for non-Voya investments, Transfers to the Self Directed Brokerage Account



**TANGEN BIOSCIENCES, INC.**  
**81D505**  
**TRANSACTION/FUND INFORMATION: Rollover**  
**01/01/23 THROUGH 12/31/23**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>BEGINNING BALANCE</b>						
<b>BEGINNING BALANCE</b>		\$290,002.31	Voya Index Solution 2035 P Z Voya Index Solution 2030 P Z	\$10,911.09 \$279,091.22	585.1280 14,450.7390	\$18.647348 \$19.313283
<b>FEES</b>						
Fees	12/19/23	- \$200.00	Voya Index Solution 2035 P Z	- \$200.00	-9.2231	\$21.684572
<b>Fees Total</b>		- \$200.00	Voya Index Solution 2035 P Z	- \$200.00	-9.2231	
<b>WITHDRAWALS</b>						
Withdrawals	12/19/23	- \$12,488.26	Voya Index Solution 2035 P Z	- \$12,488.26	-575.9054	\$21.684572
Withdrawals	12/22/23	- \$319,657.15	Voya Index Solution 2030 P Z	- \$319,657.15	-14,450.7395	\$22.120470
<b>Withdrawals Total</b>		- \$332,145.41	Voya Index Solution 2035 P Z Voya Index Solution 2030 P Z	- \$12,488.26 - \$319,657.15	-575.9054 -14,450.7395	
<b>GAIN/LOSS</b>						
<b>GAIN/LOSS</b>		\$42,343.10	Voya Index Solution 2035 P Z Voya Index Solution 2030 P Z	\$1,777.17 \$40,565.93		
<b>ENDING BALANCE</b>						
<b>ENDING BALANCE</b>		\$0.00	Voya Index Solution 2035 P Z Voya Index Solution 2030 P Z	\$0.00 \$0.00	0.0000 0.0000	\$21.818255 \$22.233742





TANGEN BIOSCIENCES, INC.

81D505

TRANSACTION/FUND INFORMATION: Employee PreTax

01/01/23 THROUGH 12/31/23

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>BEGINNING BALANCE</b>						
<b>BEGINNING BALANCE</b>		\$415,830.70	Vangrd Mid-Cap Index Fund Adm	\$959.38	47.6090	\$20.151272
			Vangrd Small-Cap Index Fnd Adm	\$2,820.86	150.9130	\$18.691932
			DFA Real Estate Secs Port Ins	\$735.42	31.0000	\$23.723011
			American Funds Nw Prspctv R6	\$3,550.52	128.9650	\$27.530864
			DFA Emerging Markets Pt Ins	\$2,717.18	225.0790	\$12.072085
			American Funds Wash Mutual R6	\$5,388.35	169.0020	\$31.883320
			Voya Index Solution 2035 P Z	\$72,515.61	3,888.7900	\$18.647348
			Voya Index Solution 2040 P Z	\$27,981.88	1,274.9340	\$21.947717
			Voya Index Solution 2045 P Z	\$41,633.51	2,048.6490	\$20.322420
			Voya Index Solution 2050 P Z	\$46,376.49	2,065.8060	\$22.449587
			Voya Index Solution 2055 P Z	\$23,532.46	967.5700	\$24.321188
			Voya Index Solution 2060 P Z	\$27,966.80	1,710.5620	\$16.349481
			Vangrd Md-Cap Grw Index Fd Adm	\$4,357.49	179.6180	\$24.259774
			Vangrd Mid-Cap VI Indx Fnd Adm	\$0.00	0.0000	\$24.110016
			Vangrd Sm-Cap VI Index Fnd Adm	\$2,820.20	126.6730	\$22.263579
			PGIM High Yield Fund R6	\$387.80	29.0650	\$13.342548
			Voya Index Solution 2025 P Z	\$25,769.02	1,533.2570	\$16.806723
			Voya Index Solution 2030 P Z	\$79,293.14	4,105.6270	\$19.313283
			Vangrd Infl-Prt Secs Fund Adm	\$4,727.57	415.0660	\$11.389929
			Vangrd Equity Income Fund Adm	\$0.00	0.0000	\$30.872580
			Vangrd Balanced Index Fnd Adm	\$13,167.22	623.2930	\$21.125239
			Vangrd Tot Int Stk In F Adm	\$1,930.84	159.8250	\$12.080962
			Vangrd Growth Index Fund Adm	\$13,623.66	560.5620	\$24.303583
			Fidelity 500 Index Fund	\$1,000.19	67.0510	\$14.916877
			Voya Fixed Account (4450)	\$12,575.11	1,176.6540	\$10.687177
			Voya Gv Mny Mkt F A (Hld Acct)	\$0.00	0.0000	\$12.304780



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Employee PreTax**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>CONTRIBUTION</b>						
Contribution	01/04/23	\$3,277.50	American Funds Nw Prspctv R6	\$126.00	4.5252	\$27.843705
			DFA Emerging Markets Pt Ins	\$75.00	6.0592	\$12.377967
			American Funds Wash Mutual R6	\$189.00	5.9282	\$31.881788
			Voya Index Solution 2060 P Z	\$997.50	60.3520	\$16.528073
			Vangrd Sm-Cap VI Index Fnd Adm	\$75.00	3.3304	\$22.520203
			Voya Index Solution 2025 P Z	\$1,087.50	64.0538	\$16.977935
			Vangrd Balanced Index Fnd Adm	\$150.00	7.0546	\$21.262747
			Vangrd Growth Index Fund Adm	\$262.50	10.8074	\$24.289123
			Voya Fixed Account (4450)	\$315.00	29.4698	\$10.688924
Contribution	01/05/23	\$2,025.00	American Funds Nw Prspctv R6	\$88.20	3.1931	\$27.622364
			DFA Emerging Markets Pt Ins	\$43.13	3.4804	\$12.392213
			American Funds Wash Mutual R6	\$132.30	4.1876	\$31.593375
			Voya Index Solution 2060 P Z	\$652.75	39.8335	\$16.386977
			Vangrd Sm-Cap VI Index Fnd Adm	\$43.12	1.9332	\$22.304701
			Voya Index Solution 2025 P Z	\$607.81	35.9826	\$16.891764
			Vangrd Balanced Index Fnd Adm	\$86.25	4.0861	\$21.108041
			Vangrd Growth Index Fund Adm	\$150.94	6.3269	\$23.856975
			Voya Fixed Account (4450)	\$220.50	20.6281	\$10.689288
Contribution	03/07/23	\$4,050.00	American Funds Nw Prspctv R6	\$176.40	6.0172	\$29.315620
			DFA Emerging Markets Pt Ins	\$86.26	6.8850	\$12.528527
			American Funds Wash Mutual R6	\$264.60	8.3332	\$31.752540
			Voya Index Solution 2060 P Z	\$1,305.50	76.5418	\$17.056048
			Vangrd Sm-Cap VI Index Fnd Adm	\$86.24	3.6702	\$23.497834
			Voya Index Solution 2025 P Z	\$1,215.62	70.5702	\$17.225708
			Vangrd Balanced Index Fnd Adm	\$172.50	7.9406	\$21.723985
			Vangrd Growth Index Fund Adm	\$301.88	11.3144	\$26.680922
			Voya Fixed Account (4450)	\$441.00	41.1708	\$10.711497
Contribution	03/08/23	\$2,025.00	American Funds Nw Prspctv R6	\$88.20	3.0069	\$29.332782
			DFA Emerging Markets Pt Ins	\$43.13	3.4452	\$12.518836
			American Funds Wash Mutual R6	\$132.30	4.1666	\$31.752235



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Employee PreTax**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
Contribution (Continued)	03/08/23	\$2,025.00	Voya Index Solution 2060 P Z	\$652.75	38.2139	\$17.081494
			Vangrd Sm-Cap VI Index Fnd Adm	\$43.12	1.8343	\$23.507389
			Voya Index Solution 2025 P Z	\$607.81	35.2854	\$17.225543
			Vangrd Balanced Index Fnd Adm	\$86.25	3.9684	\$21.734426
			Vangrd Growth Index Fund Adm	\$150.94	5.6344	\$26.789134
			Voya Fixed Account (4450)	\$220.50	20.5847	\$10.711862
Contribution	03/15/23	\$2,025.00	American Funds Nw Prspctv R6	\$88.20	3.0967	\$28.481984
			DFA Emerging Markets Pt Ins	\$43.13	3.5795	\$12.049046
			American Funds Wash Mutual R6	\$132.30	4.2822	\$30.895420
			Voya Index Solution 2060 P Z	\$652.75	39.4283	\$16.555383
			Vangrd Sm-Cap VI Index Fnd Adm	\$43.12	2.0091	\$21.461938
			Voya Index Solution 2025 P Z	\$607.81	35.5717	\$17.086865
			Vangrd Balanced Index Fnd Adm	\$86.25	4.0029	\$21.546622
			Vangrd Growth Index Fund Adm	\$150.94	5.6812	\$26.568205
			Voya Fixed Account (4450)	\$220.50	20.5797	\$10.714417
Contribution	03/22/23	\$2,029.08	American Funds Nw Prspctv R6	\$88.20	3.0271	\$29.137004
			DFA Emerging Markets Pt Ins	\$43.13	3.5060	\$12.301837
			American Funds Wash Mutual R6	\$132.30	4.2596	\$31.059545
			Voya Index Solution 2060 P Z	\$556.83	33.1748	\$16.784730
			Vangrd Sm-Cap VI Index Fnd Adm	\$43.12	2.0190	\$21.357209
			Voya Index Solution 2025 P Z	\$707.81	41.0144	\$17.257610
			Vangrd Balanced Index Fnd Adm	\$86.25	3.9721	\$21.713930
			Vangrd Growth Index Fund Adm	\$150.94	5.5549	\$27.172434
			Voya Fixed Account (4450)	\$220.50	20.5748	\$10.716972
Contribution	04/10/23	\$1,407.08	DFA Emerging Markets Pt Ins	\$18.75	1.4751	\$12.710781
			Voya Index Solution 2060 P Z	\$619.58	35.5637	\$17.421715
			Vangrd Sm-Cap VI Index Fnd Adm	\$18.75	0.8499	\$22.061398
			Voya Index Solution 2025 P Z	\$646.88	36.6153	\$17.666926
			Vangrd Balanced Index Fnd Adm	\$37.50	1.6828	\$22.284546
Vangrd Growth Index Fund Adm	\$65.62	2.3269	\$28.200540			



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Employee PreTax**  
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**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
Contribution	05/12/23	\$1,204.58	DFA Emerging Markets Pt Ins	\$18.75	1.4993	\$12.505791
			Voya Index Solution 2060 P Z	\$417.08	23.9124	\$17.441965
			Vangrd Sm-Cap VI Index Fnd Adm	\$18.75	0.8729	\$21.481138
			Voya Index Solution 2025 P Z	\$646.88	36.6266	\$17.661503
			Vangrd Balanced Index Fnd Adm	\$37.50	1.6809	\$22.309916
			Vangrd Growth Index Fund Adm	\$65.62	2.2672	\$28.943178
Contribution	05/23/23	\$2,221.66	DFA Emerging Markets Pt Ins	\$18.75	1.4932	\$12.557137
			Voya Index Solution 2060 P Z	\$834.16	47.6900	\$17.491308
			Vangrd Sm-Cap VI Index Fnd Adm	\$18.75	0.8591	\$21.826214
			Voya Index Solution 2025 P Z	\$1,246.88	70.8820	\$17.590926
			Vangrd Balanced Index Fnd Adm	\$37.50	1.6823	\$22.291459
			Vangrd Growth Index Fund Adm	\$65.62	2.2363	\$29.343432
Contribution	06/26/23	\$1,392.08	DFA Emerging Markets Pt Ins	\$37.50	2.9129	\$12.873684
			Voya Index Solution 2060 P Z	\$417.08	23.1746	\$17.997256
			Vangrd Sm-Cap VI Index Fnd Adm	\$37.50	1.6570	\$22.631508
			Voya Index Solution 2025 P Z	\$693.75	38.6951	\$17.928655
			Vangrd Balanced Index Fnd Adm	\$75.00	3.2705	\$22.932537
			Vangrd Growth Index Fund Adm	\$131.25	4.2019	\$31.235640
Contribution	06/27/23	\$1,204.58	DFA Emerging Markets Pt Ins	\$18.75	1.4447	\$12.978847
			Voya Index Solution 2060 P Z	\$417.08	22.9466	\$18.176161
			Vangrd Sm-Cap VI Index Fnd Adm	\$18.75	0.8153	\$22.996900
			Voya Index Solution 2025 P Z	\$646.88	35.9092	\$18.014348
			Vangrd Balanced Index Fnd Adm	\$37.50	1.6249	\$23.077938
			Vangrd Growth Index Fund Adm	\$65.62	2.0682	\$31.727834
Contribution	08/11/23	\$2,409.16	DFA Emerging Markets Pt Ins	\$37.50	2.8790	\$13.025195
			Voya Index Solution 2060 P Z	\$834.16	45.2662	\$18.427870
			Vangrd Sm-Cap VI Index Fnd Adm	\$37.50	1.5524	\$24.155780
			Voya Index Solution 2025 P Z	\$1,293.76	71.6238	\$18.063239
			Vangrd Balanced Index Fnd Adm	\$75.00	3.2264	\$23.245889
			Vangrd Growth Index Fund Adm	\$131.24	4.1066	\$31.958080



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Employee PreTax**  
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**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
Contribution	08/14/23	\$1,204.58	DFA Emerging Markets Pt Ins	\$18.75	1.4487	\$12.942779
			Voya Index Solution 2060 P Z	\$417.08	22.6000	\$18.454865
			Vangrd Sm-Cap VI Index Fnd Adm	\$18.75	0.7786	\$24.082654
			Voya Index Solution 2025 P Z	\$646.88	35.7770	\$18.080910
			Vangrd Balanced Index Fnd Adm	\$37.50	1.6091	\$23.304521
			Vangrd Growth Index Fund Adm	\$65.62	2.0304	\$32.318607
Contribution	08/16/23	\$1,184.95	DFA Emerging Markets Pt Ins	\$18.75	1.4718	\$12.739850
			Voya Index Solution 2060 P Z	\$397.45	21.9459	\$18.110464
			Vangrd Sm-Cap VI Index Fnd Adm	\$18.75	0.7970	\$23.525810
			Voya Index Solution 2025 P Z	\$646.88	36.2518	\$17.844095
			Vangrd Balanced Index Fnd Adm	\$37.50	1.6314	\$22.986019
			Vangrd Growth Index Fund Adm	\$65.62	2.0688	\$31.719295
Contribution	09/05/23	\$1,002.08	DFA Emerging Markets Pt Ins	\$18.75	1.4431	\$12.993124
			Voya Index Solution 2060 P Z	\$214.58	11.6733	\$18.382176
			Vangrd Sm-Cap VI Index Fnd Adm	\$18.75	0.7970	\$23.524575
			Voya Index Solution 2025 P Z	\$646.88	35.8929	\$18.022534
			Vangrd Balanced Index Fnd Adm	\$37.50	1.6087	\$23.310381
			Vangrd Growth Index Fund Adm	\$65.62	1.9839	\$33.076651
<b>Contribution Total</b>		\$28,662.33	American Funds Nw Prspctv R6	\$655.20	22.8662	
			DFA Emerging Markets Pt Ins	\$540.03	43.0231	
			American Funds Wash Mutual R6	\$982.80	31.1574	
			Voya Index Solution 2060 P Z	\$9,386.33	542.3170	
			Vangrd Sm-Cap VI Index Fnd Adm	\$539.97	23.7754	
			Voya Index Solution 2025 P Z	\$11,950.03	680.7518	
			Vangrd Balanced Index Fnd Adm	\$1,080.00	49.0417	
			Vangrd Growth Index Fund Adm	\$1,889.97	68.6094	
			Voya Fixed Account (4450)	\$1,638.00	153.0079	



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Employee PreTax**  
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**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>INTERFUND EXCHANGE</b>						
Interfund Exchange	02/16/23	- \$1,954.20	Voya Index Solution 2060 P Z	- \$1,954.20	-111.8667	\$17.468998
<b>Interfund Exchange Total</b>		- \$1,954.20	Voya Index Solution 2060 P Z	- \$1,954.20	-111.8667	
<b>FEES</b>						
Fees	01/18/23	- \$200.00	Voya Index Solution 2035 P Z	- \$200.00	-10.2923	\$19.431985
Fees	12/04/23	- \$400.00	American Funds Nw Prspctv R6 American Funds Wash Mutual R6 Voya Index Solution 2040 P Z Voya Fixed Account (4450)	- \$41.18 - \$67.28 - \$200.00 - \$91.54	-1.2660 -1.8805 -8.0519 -8.4582	\$32.527430 \$35.777025 \$24.838818 \$10.822583
Fees	12/20/23	- \$200.00	Vangrd Md-Cap Grw Index Fd Adm Vangrd Infl-Prt Secs Fund Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm	- \$57.75 - \$54.28 - \$18.80 - \$69.17	-1.9880 -4.5935 -1.3915 -1.9690	\$29.049955 \$11.816574 \$13.510605 \$35.128713
<b>Fees Total</b>		- \$800.00	American Funds Nw Prspctv R6 American Funds Wash Mutual R6 Voya Index Solution 2035 P Z Voya Index Solution 2040 P Z Vangrd Md-Cap Grw Index Fd Adm Vangrd Infl-Prt Secs Fund Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm Voya Fixed Account (4450)	- \$41.18 - \$67.28 - \$200.00 - \$200.00 - \$57.75 - \$54.28 - \$18.80 - \$69.17 - \$91.54	-1.2660 -1.8805 -10.2923 -8.0519 -1.9880 -4.5935 -1.3915 -1.9690 -8.4582	



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Employee PreTax**  
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**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>WITHDRAWALS</b>						
Withdrawals	01/18/23	- \$35,178.19	Voya Index Solution 2035 P Z	- \$35,178.19	-1,810.3242	\$19.431985
Withdrawals	12/04/23	- \$52,554.23	American Funds Nw Prspctv R6 American Funds Wash Mutual R6 Voya Index Solution 2040 P Z Voya Fixed Account (4450)	- \$4,341.20 - \$7,093.84 - \$31,467.85 - \$9,651.34	-133.4628 -198.2791 -1,266.8818 -891.7781	\$32.527430 \$35.777025 \$24.838818 \$10.822583
Withdrawals	12/19/23	- \$44,847.44	Voya Index Solution 2035 P Z	- \$44,847.44	-2,068.1728	\$21.684572
Withdrawals	12/20/23	- \$17,871.23	Vangrd Md-Cap Grw Index Fd Adm Vangrd Infl-Prt Secs Fund Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm	- \$5,160.14 - \$4,850.38 - \$1,679.72 - \$6,180.99	-177.6300 -410.4723 -124.3262 -175.9526	\$29.049955 \$11.816574 \$13.510605 \$35.128713
Withdrawals	12/22/23	- \$360,170.18	Vangrd Mid-Cap Index Fund Adm Vangrd Small-Cap Index Fnd Adm DFA Real Estate Secs Port Ins American Funds Nw Prspctv R6 DFA Emerging Markets Pt Ins Voya Index Solution 2045 P Z Voya Index Solution 2050 P Z Voya Index Solution 2055 P Z Voya Index Solution 2060 P Z Vangrd Sm-Cap VI Index Fnd Adm PGIM High Yield Fund R6 Voya Index Solution 2025 P Z Voya Index Solution 2030 P Z Vangrd Balanced Index Fnd Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm Fidelity 500 Index Fund Voya Fixed Account (4450)	- \$1,103.65 - \$3,327.32 - \$808.82 - \$583.03 - \$3,562.50 - \$49,474.58 - \$55,294.33 - \$28,072.78 - \$41,758.29 - \$3,874.71 - \$431.74 - \$42,073.03 - \$90,818.40 - \$16,590.16 - \$467.51 - \$16,023.95 - \$1,254.46 - \$4,650.92	-47.6088 -150.9132 -31.0004 -17.1025 -268.1024 -2,048.6493 -2,065.8058 -967.5704 -2,141.0122 -150.4486 -29.0652 -2,214.0087 -4,105.6272 -672.3352 -34.1077 -451.2494 -67.0507 -429.4261	\$23.181591 \$22.047908 \$26.090529 \$34.090287 \$13.287838 \$24.149852 \$26.766467 \$29.013688 \$19.503993 \$25.754359 \$14.854293 \$19.003099 \$22.120470 \$24.675420 \$13.706862 \$35.510183 \$18.709130 \$10.830537



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Employee PreTax**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>Withdrawals</b>		- \$510,621.27	Vangrd Mid-Cap Index Fund Adm	- \$1,103.65	-47.6088	
<b>Total</b>			Vangrd Small-Cap Index Fnd Adm	- \$3,327.32	-150.9132	
			DFA Real Estate Secs Port Ins	- \$808.82	-31.0004	
			American Funds Nw Prspctv R6	- \$4,924.23	-150.5653	
			DFA Emerging Markets Pt Ins	- \$3,562.50	-268.1024	
			American Funds Wash Mutual R6	- \$7,093.84	-198.2791	
			Voya Index Solution 2035 P Z	- \$80,025.63	-3,878.4970	
			Voya Index Solution 2040 P Z	- \$31,467.85	-1,266.8818	
			Voya Index Solution 2045 P Z	- \$49,474.58	-2,048.6493	
			Voya Index Solution 2050 P Z	- \$55,294.33	-2,065.8058	
			Voya Index Solution 2055 P Z	- \$28,072.78	-967.5704	
			Voya Index Solution 2060 P Z	- \$41,758.29	-2,141.0122	
			Vangrd Md-Cap Grw Index Fd Adm	- \$5,160.14	-177.6300	
			Vangrd Sm-Cap VI Index Fnd Adm	- \$3,874.71	-150.4486	
			PGIM High Yield Fund R6	- \$431.74	-29.0652	
			Voya Index Solution 2025 P Z	- \$42,073.03	-2,214.0087	
			Voya Index Solution 2030 P Z	- \$90,818.40	-4,105.6272	
			Vangrd Infl-Prt Secs Fund Adm	- \$4,850.38	-410.4723	
			Vangrd Balanced Index Fnd Adm	- \$16,590.16	-672.3352	
			Vangrd Tot Int Stk In F Adm	- \$2,147.23	-158.4339	
			Vangrd Growth Index Fund Adm	- \$22,204.94	-627.2020	
			Fidelity 500 Index Fund	- \$1,254.46	-67.0507	
			Voya Fixed Account (4450)	- \$14,302.26	-1,321.2042	
<b>GAIN/LOSS</b>						
<b>GAIN/LOSS</b>		\$68,882.44	Vangrd Mid-Cap Index Fund Adm	\$144.27		
			Vangrd Small-Cap Index Fnd Adm	\$506.46		
			DFA Real Estate Secs Port Ins	\$73.40		
			American Funds Nw Prspctv R6	\$759.69		
			DFA Emerging Markets Pt Ins	\$305.29		
			American Funds Wash Mutual R6	\$789.97		
			Voya Index Solution 2035 P Z	\$7,710.02		
			Voya Index Solution 2040 P Z	\$3,685.97		
			Voya Index Solution 2045 P Z	\$7,841.07		



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Employee PreTax**  
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**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>GAIN/LOSS</b> <i>(Continued)</i>		\$68,882.44	Voya Index Solution 2050 P Z	\$8,917.84		
			Voya Index Solution 2055 P Z	\$4,540.32		
			Voya Index Solution 2060 P Z	\$6,359.36		
			Vangrd Md-Cap Grw Index Fd Adm	\$860.40		
			Vangrd Mid-Cap VI Indx Fnd Adm	\$0.00		
			Vangrd Sm-Cap VI Index Fnd Adm	\$514.54		
			PGIM High Yield Fund R6	\$43.94		
			Voya Index Solution 2025 P Z	\$4,353.98		
			Voya Index Solution 2030 P Z	\$11,525.26		
			Vangrd Infl-Prt Secs Fund Adm	\$177.09		
			Vangrd Equity Income Fund Adm	\$0.00		
			Vangrd Balanced Index Fnd Adm	\$2,342.94		
			Vangrd Tot Int Stk In F Adm	\$235.19		
			Vangrd Growth Index Fund Adm	\$6,760.48		
			Fidelity 500 Index Fund	\$254.27		
			Voya Fixed Account (4450)	\$180.69		
		Voya Gv Mny Mkt F A (Hld Acct)	\$0.00			
<b>ENDING BALANCE</b>						
<b>ENDING BALANCE</b>		\$0.00	Vangrd Mid-Cap Index Fund Adm	\$0.00	0.0000	\$23.289182
			Vangrd Small-Cap Index Fnd Adm	\$0.00	0.0000	\$22.016270
			DFA Real Estate Secs Port Ins	\$0.00	0.0000	\$26.283078
			American Funds Nw Prspctv R6	\$0.00	0.0000	\$34.296493
			DFA Emerging Markets Pt Ins	\$0.00	0.0000	\$13.603191
			American Funds Wash Mutual R6	\$0.00	0.0000	\$37.362023
			Voya Index Solution 2035 P Z	\$0.00	0.0000	\$21.818255
			Voya Index Solution 2040 P Z	\$0.00	0.0000	\$26.017567
			Voya Index Solution 2045 P Z	\$0.00	0.0000	\$24.288051
			Voya Index Solution 2050 P Z	\$0.00	0.0000	\$26.931103
			Voya Index Solution 2055 P Z	\$0.00	0.0000	\$29.189731
			Voya Index Solution 2060 P Z	\$0.00	0.0000	\$19.626383
			Vangrd Md-Cap Grw Index Fd Adm	\$0.00	0.0000	\$29.768608
			Vangrd Mid-Cap VI Indx Fnd Adm	\$0.00	0.0000	\$26.371048
			Vangrd Sm-Cap VI Index Fnd Adm	\$0.00	0.0000	\$25.732672



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Employee PreTax**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>ENDING BALANCE</b> <i>(Continued)</i>		\$0.00	PGIM High Yield Fund R6	\$0.00	0.0000	\$14.932742
			Voya Index Solution 2025 P Z	\$0.00	0.0000	\$19.092657
			Voya Index Solution 2030 P Z	\$0.00	0.0000	\$22.233742
			Vangrd Infl-Prt Secs Fund Adm	\$0.00	0.0000	\$11.785142
			Vangrd Equity Income Fund Adm	\$0.00	0.0000	\$33.153778
			Vangrd Balanced Index Fnd Adm	\$0.00	0.0000	\$24.751497
			Vangrd Tot Int Stk In F Adm	\$0.00	0.0000	\$13.902447
			Vangrd Growth Index Fund Adm	\$0.00	0.0000	\$35.545569
			Fidelity 500 Index Fund	\$0.00	0.0000	\$18.772536
			Voya Fixed Account (4450)	\$0.00	0.0000	\$10.833631
			Voya Gv Mny Mkt F A (Hld Acct)	\$0.00	0.0000	\$12.897068



**TANGEN BIOSCIENCES, INC.**  
**81D505**  
**TRANSACTION/FUND INFORMATION: Roth**  
**01/01/23 THROUGH 12/31/23**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>BEGINNING BALANCE</b>						
<b>BEGINNING BALANCE</b>		\$1,013.05	Vangrd Md-Cap Grw Index Fd Adm Vangrd Infl-Prt Secs Fund Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm	\$295.95 \$319.75 \$103.32 \$294.03	12.1990 28.0730 8.5520 12.0980	\$24.259774 \$11.389929 \$12.080962 \$24.303583
<b>INTERFUND EXCHANGE</b>						
Interfund Exchange	02/16/23	\$1,954.20	Voya Index Solution 2060 P Z	\$1,954.20	111.8667	\$17.468998
<b>Interfund Exchange Total</b>		\$1,954.20	Voya Index Solution 2060 P Z	\$1,954.20	111.8667	
<b>WITHDRAWALS</b>						
Withdrawals	12/20/23	- \$1,226.66	Vangrd Md-Cap Grw Index Fd Adm Vangrd Infl-Prt Secs Fund Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm	- \$354.39 - \$331.73 - \$115.55 - \$424.99	-12.1994 -28.0730 -8.5523 -12.0982	\$29.049955 \$11.816574 \$13.510605 \$35.128713
Withdrawals	12/22/23	- \$2,181.85	Voya Index Solution 2060 P Z	- \$2,181.85	-111.8667	\$19.503993
<b>Withdrawals Total</b>		- \$3,408.51	Voya Index Solution 2060 P Z Vangrd Md-Cap Grw Index Fd Adm Vangrd Infl-Prt Secs Fund Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm	- \$2,181.85 - \$354.39 - \$331.73 - \$115.55 - \$424.99	-111.8667 -12.1994 -28.0730 -8.5523 -12.0982	



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Roth**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>GAIN/LOSS</b>						
<b>GAIN/LOSS</b>		\$441.26	Vangrd Md-Cap Grw Index Fd Adm Vangrd Infl-Prt Secs Fund Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm Voya Index Solution 2060 P Z	\$58.44 \$11.98 \$12.23 \$130.96 \$227.65		
<b>ENDING BALANCE</b>						
<b>ENDING BALANCE</b>		\$0.00	Voya Index Solution 2060 P Z Vangrd Md-Cap Grw Index Fd Adm Vangrd Infl-Prt Secs Fund Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00	0.0000 0.0000 0.0000 0.0000 0.0000	\$19.626383 \$29.768608 \$11.785142 \$13.902447 \$35.545569



**TANGEN BIOSCIENCES, INC.**  
**81D505**  
**TRANSACTION/FUND INFORMATION: Safe Harbor Match**  
**01/01/23 THROUGH 12/31/23**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>BEGINNING BALANCE</b>						
<b>BEGINNING BALANCE</b>		\$208,704.85	Vangrd Mid-Cap Index Fund Adm	\$767.47	38.0850	\$20.151272
			Vangrd Small-Cap Index Fnd Adm	\$2,256.79	120.7360	\$18.691932
			DFA Real Estate Secs Port Ins	\$588.36	24.8010	\$23.723011
			American Funds Nw Prspctv R6	\$2,850.70	103.5460	\$27.530864
			DFA Emerging Markets Pt Ins	\$2,173.74	180.0630	\$12.072085
			American Funds Wash Mutual R6	\$4,328.53	135.7620	\$31.883320
			Voya Index Solution 2035 P Z	\$44,872.26	2,406.3620	\$18.647348
			Voya Index Solution 2040 P Z	\$9,441.89	430.1990	\$21.947717
			Voya Index Solution 2045 P Z	\$12,108.58	595.8240	\$20.322420
			Voya Index Solution 2050 P Z	\$20,150.50	897.5890	\$22.449587
			Voya Index Solution 2055 P Z	\$16,479.08	677.5610	\$24.321188
			Voya Index Solution 2060 P Z	\$17,043.03	1,042.4200	\$16.349481
			Vangrd Md-Cap Grw Index Fd Adm	\$3,219.16	132.6950	\$24.259774
			Vangrd Mid-Cap VI Indx Fnd Adm	\$0.00	0.0000	\$24.110016
			Vangrd Sm-Cap VI Index Fnd Adm	\$2,256.15	101.3380	\$22.263579
			PGIM High Yield Fund R6	\$310.22	23.2500	\$13.342548
			Voya Index Solution 2025 P Z	\$13,575.52	807.7440	\$16.806723
			Voya Index Solution 2030 P Z	\$19,258.40	997.1580	\$19.313283
			Vangrd Infl-Prt Secs Fund Adm	\$3,483.48	305.8390	\$11.389929
			Vangrd Equity Income Fund Adm	\$0.00	0.0000	\$30.872580
			Vangrd Balanced Index Fnd Adm	\$10,554.31	499.6060	\$21.125239
			Vangrd Tot Int Stk In F Adm	\$1,451.92	120.1820	\$12.080962
			Vangrd Growth Index Fund Adm	\$10,644.65	437.9860	\$24.303583
			Fidelity 500 Index Fund	\$800.11	53.6380	\$14.916877
			Voya Fixed Account (4450)	\$10,090.00	944.1220	\$10.687177
<b>CONTRIBUTION</b>						
Contribution	01/04/23	\$2,226.98	American Funds Nw Prspctv R6	\$100.80	3.6202	\$27.843705
			DFA Emerging Markets Pt Ins	\$60.00	4.8474	\$12.377967
			American Funds Wash Mutual R6	\$151.20	4.7426	\$31.881788
			Voya Index Solution 2060 P Z	\$798.00	48.2816	\$16.528073



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Safe Harbor Match**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
Contribution (Continued)	01/04/23	\$2,226.98	Vangrd Sm-Cap VI Index Fnd Adm	\$60.00	2.6642	\$22.520203
			Voya Index Solution 2025 P Z	\$474.98	27.9764	\$16.977935
			Vangrd Balanced Index Fnd Adm	\$120.00	5.6436	\$21.262747
			Vangrd Growth Index Fund Adm	\$210.00	8.6458	\$24.289123
			Voya Fixed Account (4450)	\$252.00	23.5758	\$10.688924
Contribution	01/05/23	\$1,447.52	American Funds Nw Prspctv R6	\$70.56	2.5545	\$27.622364
			DFA Emerging Markets Pt Ins	\$34.50	2.7840	\$12.392213
			American Funds Wash Mutual R6	\$105.84	3.3501	\$31.593375
			Voya Index Solution 2060 P Z	\$522.20	31.8668	\$16.386977
			Vangrd Sm-Cap VI Index Fnd Adm	\$34.50	1.5468	\$22.304701
			Voya Index Solution 2025 P Z	\$313.77	18.5753	\$16.891764
			Vangrd Balanced Index Fnd Adm	\$69.00	3.2689	\$21.108041
			Vangrd Growth Index Fund Adm	\$120.75	5.0614	\$23.856975
			Voya Fixed Account (4450)	\$176.40	16.5025	\$10.689288
Contribution	03/07/23	\$2,896.12	American Funds Nw Prspctv R6	\$141.34	4.8213	\$29.315620
			DFA Emerging Markets Pt Ins	\$69.00	5.5074	\$12.528527
			American Funds Wash Mutual R6	\$212.00	6.6767	\$31.752540
			Voya Index Solution 2060 P Z	\$1,044.40	61.2334	\$17.056048
			Vangrd Sm-Cap VI Index Fnd Adm	\$69.00	2.9364	\$23.497834
			Voya Index Solution 2025 P Z	\$627.54	36.4306	\$17.225708
			Vangrd Balanced Index Fnd Adm	\$138.00	6.3524	\$21.723985
			Vangrd Growth Index Fund Adm	\$241.50	9.0514	\$26.680922
			Voya Fixed Account (4450)	\$353.34	32.9870	\$10.711497
Contribution	03/08/23	\$1,447.52	American Funds Nw Prspctv R6	\$70.56	2.4055	\$29.332782
			DFA Emerging Markets Pt Ins	\$34.50	2.7558	\$12.518836
			American Funds Wash Mutual R6	\$105.84	3.3333	\$31.752235
			Voya Index Solution 2060 P Z	\$522.20	30.5711	\$17.081494
			Vangrd Sm-Cap VI Index Fnd Adm	\$34.50	1.4676	\$23.507389
			Voya Index Solution 2025 P Z	\$313.77	18.2154	\$17.225543
			Vangrd Balanced Index Fnd Adm	\$69.00	3.1747	\$21.734426
			Vangrd Growth Index Fund Adm	\$120.75	4.5074	\$26.789134
			Voya Fixed Account (4450)	\$176.40	16.4677	\$10.711862



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Safe Harbor Match**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
Contribution	03/15/23	\$1,370.77	American Funds Nw Prspctv R6	\$70.56	2.4774	\$28.481984
			DFA Emerging Markets Pt Ins	\$34.50	2.8633	\$12.049046
			American Funds Wash Mutual R6	\$105.84	3.4258	\$30.895420
			Voya Index Solution 2060 P Z	\$445.45	26.9066	\$16.555383
			Vangrd Sm-Cap VI Index Fnd Adm	\$34.50	1.6075	\$21.461938
			Voya Index Solution 2025 P Z	\$313.77	18.3632	\$17.086865
			Vangrd Balanced Index Fnd Adm	\$69.00	3.2024	\$21.546622
			Vangrd Growth Index Fund Adm	\$120.75	4.5449	\$26.568205
			Voya Fixed Account (4450)	\$176.40	16.4638	\$10.714417
Contribution	03/22/23	\$1,370.77	American Funds Nw Prspctv R6	\$70.56	2.4217	\$29.137004
			DFA Emerging Markets Pt Ins	\$34.50	2.8045	\$12.301837
			American Funds Wash Mutual R6	\$105.84	3.4076	\$31.059545
			Voya Index Solution 2060 P Z	\$445.45	26.5390	\$16.784730
			Vangrd Sm-Cap VI Index Fnd Adm	\$34.50	1.6154	\$21.357209
			Voya Index Solution 2025 P Z	\$313.77	18.1815	\$17.257610
			Vangrd Balanced Index Fnd Adm	\$69.00	3.1777	\$21.713930
			Vangrd Growth Index Fund Adm	\$120.75	4.4438	\$27.172434
			Voya Fixed Account (4450)	\$176.40	16.4599	\$10.716972
Contribution	04/10/23	\$618.66	DFA Emerging Markets Pt Ins	\$15.00	1.1801	\$12.710781
			Voya Index Solution 2060 P Z	\$333.66	19.1519	\$17.421715
			Vangrd Sm-Cap VI Index Fnd Adm	\$15.00	0.6799	\$22.061398
			Voya Index Solution 2025 P Z	\$172.50	9.7640	\$17.666926
			Vangrd Balanced Index Fnd Adm	\$30.00	1.3462	\$22.284546
Vangrd Growth Index Fund Adm	\$52.50	1.8617	\$28.200540			
Contribution	05/12/23	\$618.66	DFA Emerging Markets Pt Ins	\$15.00	1.1994	\$12.505791
			Voya Index Solution 2060 P Z	\$333.66	19.1297	\$17.441965
			Vangrd Sm-Cap VI Index Fnd Adm	\$15.00	0.6983	\$21.481138
			Voya Index Solution 2025 P Z	\$172.50	9.7670	\$17.661503
			Vangrd Balanced Index Fnd Adm	\$30.00	1.3447	\$22.309916
Vangrd Growth Index Fund Adm	\$52.50	1.8139	\$28.943178			



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Safe Harbor Match**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
Contribution	05/23/23	\$1,087.32	DFA Emerging Markets Pt Ins	\$15.00	1.1945	\$12.557137
			Voya Index Solution 2060 P Z	\$667.32	38.1514	\$17.491308
			Vangrd Sm-Cap VI Index Fnd Adm	\$15.00	0.6872	\$21.826214
			Voya Index Solution 2025 P Z	\$307.50	17.4806	\$17.590926
			Vangrd Balanced Index Fnd Adm	\$30.00	1.3458	\$22.291459
			Vangrd Growth Index Fund Adm	\$52.50	1.7892	\$29.343432
Contribution	06/26/23	\$768.66	DFA Emerging Markets Pt Ins	\$30.00	2.3303	\$12.873684
			Voya Index Solution 2060 P Z	\$333.66	18.5395	\$17.997256
			Vangrd Sm-Cap VI Index Fnd Adm	\$30.00	1.3256	\$22.631508
			Voya Index Solution 2025 P Z	\$210.00	11.7130	\$17.928655
			Vangrd Balanced Index Fnd Adm	\$60.00	2.6164	\$22.932537
			Vangrd Growth Index Fund Adm	\$105.00	3.3615	\$31.235640
Contribution	06/27/23	\$618.66	DFA Emerging Markets Pt Ins	\$15.00	1.1557	\$12.978847
			Voya Index Solution 2060 P Z	\$333.66	18.3570	\$18.176161
			Vangrd Sm-Cap VI Index Fnd Adm	\$15.00	0.6523	\$22.996900
			Voya Index Solution 2025 P Z	\$172.50	9.5757	\$18.014348
			Vangrd Balanced Index Fnd Adm	\$30.00	1.2999	\$23.077938
			Vangrd Growth Index Fund Adm	\$52.50	1.6547	\$31.727834
Contribution	08/11/23	\$1,237.32	DFA Emerging Markets Pt Ins	\$30.00	2.3032	\$13.025195
			Voya Index Solution 2060 P Z	\$667.32	36.2124	\$18.427870
			Vangrd Sm-Cap VI Index Fnd Adm	\$30.00	1.2420	\$24.155780
			Voya Index Solution 2025 P Z	\$345.00	19.0994	\$18.063239
			Vangrd Balanced Index Fnd Adm	\$60.00	2.5812	\$23.245889
			Vangrd Growth Index Fund Adm	\$105.00	3.2856	\$31.958080
Contribution	08/14/23	\$618.66	DFA Emerging Markets Pt Ins	\$15.00	1.1589	\$12.942779
			Voya Index Solution 2060 P Z	\$333.66	18.0798	\$18.454865
			Vangrd Sm-Cap VI Index Fnd Adm	\$15.00	0.6229	\$24.082654
			Voya Index Solution 2025 P Z	\$172.50	9.5404	\$18.080910
			Vangrd Balanced Index Fnd Adm	\$30.00	1.2873	\$23.304521
			Vangrd Growth Index Fund Adm	\$52.50	1.6245	\$32.318607



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Safe Harbor Match**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
Contribution	08/16/23	\$602.47	DFA Emerging Markets Pt Ins	\$15.00	1.1774	\$12.739850
			Voya Index Solution 2060 P Z	\$317.47	17.5296	\$18.110464
			Vangrd Sm-Cap VI Index Fnd Adm	\$15.00	0.6376	\$23.525810
			Voya Index Solution 2025 P Z	\$172.50	9.6670	\$17.844095
			Vangrd Balanced Index Fnd Adm	\$30.00	1.3051	\$22.986019
			Vangrd Growth Index Fund Adm	\$52.50	1.6551	\$31.719295
Contribution	09/05/23	\$456.66	DFA Emerging Markets Pt Ins	\$15.00	1.1545	\$12.993124
			Voya Index Solution 2060 P Z	\$171.66	9.3384	\$18.382176
			Vangrd Sm-Cap VI Index Fnd Adm	\$15.00	0.6376	\$23.524575
			Voya Index Solution 2025 P Z	\$172.50	9.5713	\$18.022534
			Vangrd Balanced Index Fnd Adm	\$30.00	1.2870	\$23.310381
			Vangrd Growth Index Fund Adm	\$52.50	1.5872	\$33.076651
<b>Contribution Total</b>		\$17,386.75	American Funds Nw Prspctv R6	\$524.38	18.3006	
			DFA Emerging Markets Pt Ins	\$432.00	34.4164	
			American Funds Wash Mutual R6	\$786.56	24.9361	
			Voya Index Solution 2060 P Z	\$7,269.77	419.8882	
			Vangrd Sm-Cap VI Index Fnd Adm	\$432.00	19.0213	
			Voya Index Solution 2025 P Z	\$4,255.10	243.9208	
			Vangrd Balanced Index Fnd Adm	\$864.00	39.2333	
			Vangrd Growth Index Fund Adm	\$1,512.00	54.8881	
			Voya Fixed Account (4450)	\$1,310.94	122.4567	
<b>WITHDRAWALS</b>						
Withdrawals	01/18/23	- \$29,700.08	Voya Index Solution 2035 P Z	- \$29,700.08	-1,528.4119	\$19.431985
Withdrawals	12/04/23	- \$27,767.23	American Funds Nw Prspctv R6	- \$3,518.35	-108.1656	\$32.527430
			American Funds Wash Mutual R6	- \$5,749.29	-160.6978	\$35.777025
			Voya Index Solution 2040 P Z	- \$10,685.64	-430.1991	\$24.838818
			Voya Fixed Account (4450)	- \$7,813.95	-722.0044	\$10.822583
Withdrawals	12/19/23	- \$19,037.96	Voya Index Solution 2035 P Z	- \$19,037.96	-877.9496	\$21.684572



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Safe Harbor Match**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
Withdrawals	12/20/23	- \$13,342.57	Vangrd Md-Cap Grw Index Fd Adm Vangrd Infl-Prt Secs Fund Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm	- \$3,854.79 - \$3,613.97 - \$1,255.12 - \$4,618.69	-132.6953 -305.8389 -92.8991 -131.4790	\$29.049955 \$11.816574 \$13.510605 \$35.128713
Withdrawals	12/22/23	- \$170,828.41	Vangrd Mid-Cap Index Fund Adm Vangrd Small-Cap Index Fnd Adm DFA Real Estate Secs Port Ins American Funds Nw Prspctv R6 DFA Emerging Markets Pt Ins Voya Index Solution 2045 P Z Voya Index Solution 2050 P Z Voya Index Solution 2055 P Z Voya Index Solution 2060 P Z Vangrd Sm-Cap VI Index Fnd Adm PGIM High Yield Fund R6 Voya Index Solution 2025 P Z Voya Index Solution 2030 P Z Vangrd Balanced Index Fnd Adm Vangrd Tot Int Stk In F Adm Vangrd Growth Index Fund Adm Fidelity 500 Index Fund Voya Fixed Account (4450)	- \$882.88 - \$2,661.97 - \$647.08 - \$466.38 - \$2,849.97 - \$14,389.05 - \$24,025.30 - \$19,658.53 - \$28,520.86 - \$3,099.78 - \$345.36 - \$19,984.88 - \$22,057.61 - \$13,296.10 - \$373.98 - \$12,833.24 - \$1,003.52 - \$3,731.92	-38.0854 -120.7359 -24.8014 -13.6806 -214.4800 -595.8235 -897.5893 -677.5606 -1,462.3085 -120.3596 -23.2501 -1,051.6643 -997.1585 -538.8399 -27.2838 -361.3959 -53.6381 -344.5743	\$23.181591 \$22.047908 \$26.090529 \$34.090287 \$13.287838 \$24.149852 \$26.766467 \$29.013688 \$19.503993 \$25.754359 \$14.854293 \$19.003099 \$22.120470 \$24.675420 \$13.706862 \$35.510183 \$18.709130 \$10.830537
<b>Withdrawals Total</b>		- \$260,676.25	Vangrd Mid-Cap Index Fund Adm Vangrd Small-Cap Index Fnd Adm DFA Real Estate Secs Port Ins American Funds Nw Prspctv R6 DFA Emerging Markets Pt Ins American Funds Wash Mutual R6 Voya Index Solution 2035 P Z Voya Index Solution 2040 P Z Voya Index Solution 2045 P Z Voya Index Solution 2050 P Z Voya Index Solution 2055 P Z	- \$882.88 - \$2,661.97 - \$647.08 - \$3,984.73 - \$2,849.97 - \$5,749.29 - \$48,738.04 - \$10,685.64 - \$14,389.05 - \$24,025.30 - \$19,658.53	-38.0854 -120.7359 -24.8014 -121.8462 -214.4800 -160.6978 -2,406.3615 -430.1991 -595.8235 -897.5893 -677.5606	



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Safe Harbor Match**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>Withdrawals Total</b> <i>(Continued)</i>		-\$260,676.25	Voya Index Solution 2060 P Z	-\$28,520.86	-1,462.3085	
			Vangrd Md-Cap Grw Index Fd Adm	-\$3,854.79	-132.6953	
			Vangrd Sm-Cap VI Index Fnd Adm	-\$3,099.78	-120.3596	
			PGIM High Yield Fund R6	-\$345.36	-23.2501	
			Voya Index Solution 2025 P Z	-\$19,984.88	-1,051.6643	
			Voya Index Solution 2030 P Z	-\$22,057.61	-997.1585	
			Vangrd Infl-Prt Secs Fund Adm	-\$3,613.97	-305.8389	
			Vangrd Balanced Index Fnd Adm	-\$13,296.10	-538.8399	
			Vangrd Tot Int Stk In F Adm	-\$1,629.10	-120.1829	
			Vangrd Growth Index Fund Adm	-\$17,451.93	-492.8749	
			Fidelity 500 Index Fund	-\$1,003.52	-53.6381	
			Voya Fixed Account (4450)	-\$11,545.87	-1,066.5787	
<b>GAIN/LOSS</b>						
<b>GAIN/LOSS</b>		\$34,584.65	Vangrd Mid-Cap Index Fund Adm	\$115.41		
			Vangrd Small-Cap Index Fnd Adm	\$405.18		
			DFA Real Estate Secs Port Ins	\$58.72		
			American Funds Nw Prspctv R6	\$609.65		
			DFA Emerging Markets Pt Ins	\$244.23		
			American Funds Wash Mutual R6	\$634.20		
			Voya Index Solution 2035 P Z	\$3,865.78		
			Voya Index Solution 2040 P Z	\$1,243.75		
			Voya Index Solution 2045 P Z	\$2,280.47		
			Voya Index Solution 2050 P Z	\$3,874.80		
			Voya Index Solution 2055 P Z	\$3,179.45		
			Voya Index Solution 2060 P Z	\$4,208.06		
			Vangrd Md-Cap Grw Index Fd Adm	\$635.63		
			Vangrd Mid-Cap VI Indx Fnd Adm	\$0.00		
			Vangrd Sm-Cap VI Index Fnd Adm	\$411.63		
			PGIM High Yield Fund R6	\$35.14		
			Voya Index Solution 2025 P Z	\$2,154.26		
			Voya Index Solution 2030 P Z	\$2,799.21		
			Vangrd Infl-Prt Secs Fund Adm	\$130.49		
			Vangrd Equity Income Fund Adm	\$0.00		



**TANGEN BIOSCIENCES, INC.**  
**Summarized Transaction Report - Safe Harbor Match**  
**81D505**

**01/01/23 THROUGH 12/31/23 (continued)**

TRANSACTION TYPE	INVEST. DATE	AMOUNT	FUND	FUND AMOUNT	UNITS	UNIT PRICE
<b>GAIN/LOSS</b> <i>(Continued)</i>		\$34,584.65	Vangrd Balanced Index Fnd Adm	\$1,877.79		
			Vangrd Tot Int Stk In F Adm	\$177.18		
			Vangrd Growth Index Fund Adm	\$5,295.28		
			Fidelity 500 Index Fund	\$203.41		
			Voya Fixed Account (4450)	\$144.93		
<b>ENDING BALANCE</b>						
<b>ENDING BALANCE</b>		\$0.00	Vangrd Mid-Cap Index Fund Adm	\$0.00	0.0000	\$23.289182
			Vangrd Small-Cap Index Fnd Adm	\$0.00	0.0000	\$22.016270
			DFA Real Estate Secs Port Ins	\$0.00	0.0000	\$26.283078
			American Funds Nw Prspctv R6	\$0.00	0.0000	\$34.296493
			DFA Emerging Markets Pt Ins	\$0.00	0.0000	\$13.603191
			American Funds Wash Mutual R6	\$0.00	0.0000	\$37.362023
			Voya Index Solution 2035 P Z	\$0.00	0.0000	\$21.818255
			Voya Index Solution 2040 P Z	\$0.00	0.0000	\$26.017567
			Voya Index Solution 2045 P Z	\$0.00	0.0000	\$24.288051
			Voya Index Solution 2050 P Z	\$0.00	0.0000	\$26.931103
			Voya Index Solution 2055 P Z	\$0.00	0.0000	\$29.189731
			Voya Index Solution 2060 P Z	\$0.00	0.0000	\$19.626383
			Vangrd Md-Cap Grw Index Fd Adm	\$0.00	0.0000	\$29.768608
			Vangrd Mid-Cap VI Indx Fnd Adm	\$0.00	0.0000	\$26.371048
			Vangrd Sm-Cap VI Index Fnd Adm	\$0.00	0.0000	\$25.732672
			PGIM High Yield Fund R6	\$0.00	0.0000	\$14.932742
			Voya Index Solution 2025 P Z	\$0.00	0.0000	\$19.092657
			Voya Index Solution 2030 P Z	\$0.00	0.0000	\$22.233742
			Vangrd Infl-Prt Secs Fund Adm	\$0.00	0.0000	\$11.785142
			Vangrd Equity Income Fund Adm	\$0.00	0.0000	\$33.153778
			Vangrd Balanced Index Fnd Adm	\$0.00	0.0000	\$24.751497
			Vangrd Tot Int Stk In F Adm	\$0.00	0.0000	\$13.902447
			Vangrd Growth Index Fund Adm	\$0.00	0.0000	\$35.545569
			Fidelity 500 Index Fund	\$0.00	0.0000	\$18.772536
			Voya Fixed Account (4450)	\$0.00	0.0000	\$10.833631





**Fee and Compensation Report  
Form 5500 Schedule C  
TANGEN BIOSCIENCES, INC.**

This report estimates the indirect compensation, other than Eligible Indirect Compensation (EIC), paid by your Plan through its service arrangement with our firm and the investment alternatives made available through our platform. Eligible Indirect Compensation (variable fund fees, fund revenue and Voya daily asset charges) is not required to be reported on Schedule C and has been separately provided to the plan.

For purposes of this report, "indirect compensation" is compensation that a service provider receives from sources other than the Plan directly or from the plan sponsor in connection with services rendered to the Plan during the plan year or the person's position with the Plan.

This report is for plan sponsor or TPA use only in completing Schedule C to the Form 5500 and is not intended for use with plan participants. For more information on the identity of the fund service providers please refer to the prospectus for each fund.

**Special Note**

(\* ) Voya Retirement Insurance and Annuity Company - VRIAC direct fees on Line 2. Please see Sponsor Activity or Access report for additional information about VRIAC direct fees.

The only direct compensation reported here are Managed Accounts Fees which are not applicable to all plans. Any other direct compensation associated with the plan can be found within the plan activity section of these reports.



**Fee and Compensation Report  
Form 5500 Schedule C  
TANGEN BIOSCIENCES, INC.  
Plan # 81D505  
01/01/2023- 12/31/2023**

**Schedule C, Line 1 - Information on Persons Receiving Only Eligible Indirect Compensation**

**Excluding Person Receiving Only  
Eligible Indirect Compensation (a)**

**Entity(b)**

**EIN # (b)**

YES

Voya Retirement Insurance and Annuity Company

71-0294708



**Fee and Compensation Report  
Form 5500 Schedule C  
TANGEN BIOSCIENCES, INC.  
Plan # 81D505  
01/01/2023- 12/31/2023**

**Indirect Compensation Received by Morningstar - EIN # 36-3297908**

<b>Source</b>	<b>EIN # (by fund)</b>	<b>Suggested Service Codes(b)</b>	<b>Detail Amount</b>	<b>Total Amount</b>	<b>Description of Compensation</b>
Voya Retirement Insurance and Annuity Co	71-0294708	27	\$142.78		INVESTMENT ADVISORY (PLAN)
<b>Sub-Total Source</b>				<b><u>\$142.78</u></b>	
<b><i>Total Indirect Comp Received:</i></b>				<b><u>\$142.78</u></b>	

**Form 5500-SF**

Department of the Treasury  
Internal Revenue Service

Department of Labor  
Employee Benefits Security Administration  
Pension Benefit Guaranty Corporation

**Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500-SF.**

OMB Nos: 1210-0110  
1210-0089

**2023**

**This Form is Open to Public Inspection**

**Part I Annual Report Identification Information**

For calendar plan year 2023 or fiscal plan year beginning 01/01/2023 and ending 12/31/2023

- A** This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Pension Plan filers checking this box must attach Schedule MEP. Other plans must attach a list of participating employer information in accordance with the form instructions.)
- B** This return/report is:  the first return/report  the final return/report  
 an amended return/report  a short plan year return/report (less than 12 months)
- C** Check box if filing under:  Form 5558  automatic extension  DFVC program  
 special extension (enter description)
- D** If the plan is a collectively-bargained plan, check here.....▶
- E** If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.....▶

**Part II Basic Plan Information**—enter all requested information

<b>1a</b> Name of plan TANGEN BIOSCIENCES INC 401K PROFIT SHARING PLAN		<b>1b</b> Three-digit plan number (PN) ▶ 001
<b>2a</b> Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) TANGEN BIOSCIENCES INC  20 COMMERCIAL STREET  BRANFORD CT 06405		<b>1c</b> Effective date of plan 08/30/2019
<b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor.		<b>2b</b> Employer Identification Number (EIN) 46-4414881
		<b>2c</b> Sponsor's telephone number 203-433-4045
		<b>2d</b> Business code (see instructions)  325100
		<b>3b</b> Administrator's EIN
		<b>3c</b> Administrator's telephone number
<b>4</b> If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. <b>a</b> Sponsor's name <b>c</b> Plan Name		<b>4b</b> EIN
		<b>4d</b> PN
<b>5a</b> Total number of participants at the beginning of the plan year.....		<b>5a</b> 19
<b>b</b> Total number of participants at the end of the plan year.....		<b>5b</b> 0
<b>c(1)</b> Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item).....		<b>5c(1)</b> 19
<b>c(2)</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).....		<b>5c(2)</b> 0
<b>d(1)</b> Total number of active participants at the beginning of the plan year.....		<b>5d(1)</b> 5
<b>d(2)</b> Total number of active participants at the end of the plan year.....		<b>5d(2)</b> 0
<b>e</b> Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....		<b>5e</b> 0

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

<b>SIGN HERE</b>			RICHARD BIRKMEYER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
<b>SIGN HERE</b>	<i>Richard C Birkmeyer</i>	5/20/2024	<i>Richard C Birkmeyer</i>
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

- 6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.).....  Yes  No
- b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.).....  Yes  No
- If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.**
- c** If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? .....  Yes  No  Not determined
- If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year \_\_\_\_\_ . (See instructions.)

<b>Part III Financial Information</b>			
<b>7</b> Plan Assets and Liabilities		(a) Beginning of Year	(b) End of Year
<b>a</b> Total plan assets.....	<b>7a</b>	924,528	0
<b>b</b> Total plan liabilities.....	<b>7b</b>	1,106,851	
<b>c</b> Net plan assets (subtract line 7b from line 7a).....	<b>7c</b>	-182,323	0
<b>8</b> Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total
<b>a</b> Contributions received or receivable from:			
<b>(1)</b> Employers.....	<b>8a(1)</b>	13,712	
<b>(2)</b> Participants.....	<b>8a(2)</b>	23,360	
<b>(3)</b> Others (including rollovers).....	<b>8a(3)</b>		
<b>b</b> Other income (loss).....	<b>8b</b>	146,251	
<b>c</b> Total income (add lines 8a(1), 8a(2), 8a(3), and 8b).....	<b>8c</b>		183,323
<b>d</b> Benefits paid (including direct rollovers and insurance premiums to provide benefits).....	<b>8d</b>		
<b>e</b> Certain deemed and/or corrective distributions (see instructions).....	<b>8e</b>		
<b>f</b> Administrative service providers (salaries, fees, commissions).....	<b>8f</b>	1,000	
<b>g</b> Other expenses.....	<b>8g</b>		
<b>h</b> Total expenses (add lines 8d, 8e, 8f, and 8g).....	<b>8h</b>		1,000
<b>i</b> Net income (loss) (subtract line 8h from line 8c).....	<b>8i</b>		182,323
<b>j</b> Transfers to (from) the plan (see instructions).....	<b>8j</b>		

<b>Part IV Plan Characteristics</b>	
<b>9a</b>	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 2K
<b>b</b>	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

<b>Part V Compliance Questions</b>				
<b>10</b> During the plan year:		Yes	No	Amount
<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program).....	<b>10a</b>		X	
<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.).....	<b>10b</b>		X	
<b>c</b> Was the plan covered by a fidelity bond?.....	<b>10c</b>		X	
<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?.....	<b>10d</b>		X	
<b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.).....	<b>10e</b>		X	
<b>f</b> Has the plan failed to provide any benefit when due under the plan?.....	<b>10f</b>		X	
<b>g</b> Did the plan have any participant loans? (If "Yes," enter amount as of year-end.).....	<b>10g</b>		X	
<b>h</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.).....	<b>10h</b>		X	
<b>i</b> If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.....	<b>10i</b>			

**Part VI Pension Funding Compliance**

**11** Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and lines 11a and b below.) If this is a defined contribution pension plan, leave line 11 blank and complete line 12 below.  Yes  No

**a** Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40. **11a**

**b PBGC missed contribution reporting requirements.** If the plan is covered by PBGC and the amount reported on line 11a is greater than \$0, has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

Yes.

No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

No. Other. Provide explanation \_\_\_\_\_

**12** Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) If this is a defined benefit pension plan, leave line 12 blank and complete line 11 above.  Yes  No

**a** If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.

**b** Enter the minimum required contribution for this plan year. **12b**

**c** Enter the amount contributed by the employer to the plan for this plan year. **12c**

**d** Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount). **12d**

**e** Will the minimum funding amount reported on line 12d be met by the funding deadline?  Yes  No  N/A

**Part VII Plan Terminations and Transfers of Assets**

**13a** Has a resolution to terminate the plan been adopted in any plan year?  Yes  No

**a** If "Yes," enter the amount of any plan assets that reverted to the employer this year. **13a** 0

**b** Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?  Yes  No

**c** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	13c(2) EIN(s)	13c(3) PN(s)

**Part VIII IRS Compliance Questions**

**14a** Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?  Yes  No

**14b** If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).

- Design-based safe harbor method
- "Prior year" ADP test
- "Current year" ADP test
- N/A

**15** If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter 06/30/2020 (MM/DD/YYYY) and the Opinion Letter serial number Q703862a.