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| <div>Form 5500</div> <div>Department of the Treasury<br/>Internal Revenue Service</div> <div>Department of Labor<br/>Employee Benefits Security<br/>Administration</div> <div>Pension Benefit Guaranty Corporation</div> | <div>Annual Return/Report of Employee Benefit Plan</div> <div>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ Complete all entries in accordance with the instructions to the Form 5500.</div> | <div>OMB Nos. 1210-0110<br/>1210-0089</div> <div>2023</div> <div>This Form is Open to Public Inspection</div> |
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|                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                               |
|--------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Part I                                                                                     | Annual Report Identification Information                                                                                                                                                                                                                                                                                                                                                                      |
| For calendar plan year 2023 or fiscal plan year beginning 01/01/2023 and ending 12/31/2023 |                                                                                                                                                                                                                                                                                                                                                                                                               |
| A                                                                                          | This return/report is for: <div><div><input type="checkbox"/> a multiemployer plan</div><div><input type="checkbox"/> a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.)</div><div><input checked="" type="checkbox"/> a single-employer plan</div><div><input type="checkbox"/> a DFE (specify) _____</div></div> |
| B                                                                                          | This return/report is: <div><div><input type="checkbox"/> the first return/report</div><div><input type="checkbox"/> the final return/report</div><div><input type="checkbox"/> an amended return/report</div><div><input type="checkbox"/> a short plan year return/report (less than 12 months)</div></div>                                                                                                 |
| C                                                                                          | If the plan is a collectively-bargained plan, check here. .... ▶ <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                     |
| D                                                                                          | Check box if filing under: <div><div><input checked="" type="checkbox"/> Form 5558</div><div><input type="checkbox"/> automatic extension</div><div><input type="checkbox"/> the DFVC program</div><div><input type="checkbox"/> special extension (enter description)</div></div>                                                                                                                            |
| E                                                                                          | If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. .... ▶ <input type="checkbox"/>                                                                                                                                                                                                                                                                                      |

|         |                                                                                                                                                                                                                                                                                                                |
|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Part II | Basic Plan Information—enter all requested information                                                                                                                                                                                                                                                         |
| 1a      | Name of plan<br>KEYCORP 401K SAVINGS PLAN                                                                                                                                                                                                                                                                      |
| 1b      | Three-digit plan number (PN) ▶ 002                                                                                                                                                                                                                                                                             |
| 1c      | Effective date of plan<br>01/01/1979                                                                                                                                                                                                                                                                           |
| 2a      | Plan sponsor's name (employer, if for a single-employer plan)<br>Mailing address (include room, apt., suite no. and street, or P.O. Box)<br>City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)<br>KEYCORP<br><br>127 PUBLIC SQUARE<br>CLEVELAND, OH 44114 |
| 2b      | Employer Identification Number (EIN)<br>34-6542451                                                                                                                                                                                                                                                             |
| 2c      | Plan Sponsor's telephone number<br>888-539-7247                                                                                                                                                                                                                                                                |
| 2d      | Business code (see instructions)<br>522110                                                                                                                                                                                                                                                                     |

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

|           |                                                   |            |                                                              |
|-----------|---------------------------------------------------|------------|--------------------------------------------------------------|
| SIGN HERE | Filed with authorized/valid electronic signature. | 08/27/2024 | TRISHA KREWSON                                               |
|           | Signature of plan administrator                   | Date       | Enter name of individual signing as plan administrator       |
| SIGN HERE |                                                   |            |                                                              |
|           | Signature of employer/plan sponsor                | Date       | Enter name of individual signing as employer or plan sponsor |
| SIGN HERE |                                                   |            |                                                              |
|           | Signature of DFE                                  | Date       | Enter name of individual signing as DFE                      |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | <b>3b</b> Administrator's EIN<br><br><b>3c</b> Administrator's telephone number<br><br><div style="background-color: #cccccc; height: 40px; width: 100%;"></div>                                                                                                                                                                                    |
| <b>4</b> If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:<br><b>a</b> Sponsor's name<br><b>c</b> Plan Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <b>4b</b> EIN<br><br><b>4d</b> PN                                                                                                                                                                                                                                                                                                                   |
| <b>5</b> Total number of participants at the beginning of the plan year                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>5</b> 30103                                                                                                                                                                                                                                                                                                                                      |
| <b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines <b>6a(1)</b> , <b>6a(2)</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).<br><b>a(1)</b> Total number of active participants at the beginning of the plan year .....<br><b>a(2)</b> Total number of active participants at the end of the plan year .....<br><b>b</b> Retired or separated participants receiving benefits .....<br><b>c</b> Other retired or separated participants entitled to future benefits .....<br><b>d</b> Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b> . .....<br><b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. ....<br><b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> . .....<br><b>g(1)</b> Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) .....<br><b>g(2)</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....<br><b>h</b> Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested ..... | <div style="background-color: #cccccc; height: 20px; width: 100%;"></div> <div style="background-color: #cccccc; height: 20px; width: 100%;"></div> <b>6a(1)</b> 19118<br><b>6a(2)</b> 17635<br><b>6b</b> 377<br><b>6c</b> 11030<br><b>6d</b> 29042<br><b>6e</b> 55<br><b>6f</b> 29097<br><b>6g(1)</b> 29243<br><b>6g(2)</b> 28380<br><b>6h</b> 111 |
| <b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>7</b>                                                                                                                                                                                                                                                                                                                                            |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2G 2J 2K 2O 2S 2T 3H 3I

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

|                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                               |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>9a</b> Plan funding arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor | <b>9b</b> Plan benefit arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

- (1) ☒ **R** (Retirement Plan Information)
- (2) ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3) ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary
- (4) ☐ **DCG** (Individual Plan Information) – Number Attached \_\_\_\_\_
- (5) ☐ **MEP** (Multiple-Employer Retirement Plan Information)

**b General Schedules**

- (1) ☒ **H** (Financial Information)
- (2) ☐ **I** (Financial Information – Small Plan)
- (3) ☐ **A** (Insurance Information) – Number Attached \_\_\_\_\_
- (4) ☒ **C** (Service Provider Information)
- (5) ☒ **D** (DFE/Participating Plan Information)
- (6) ☐ **G** (Financial Transaction Schedules)

**Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)**

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2023 Form M-1 annual report. If the plan was not required to file the 2023 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_

|                                                                                                                                                                                                                                              |                                                                                                                                                                                                                             |                                         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| <div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury<br/>Internal Revenue Service</div> <div>Department of Labor<br/>Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div> | <div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> | OMB No. 1210-0110                       |
|                                                                                                                                                                                                                                              |                                                                                                                                                                                                                             | 2023                                    |
|                                                                                                                                                                                                                                              |                                                                                                                                                                                                                             | This Form is Open to Public Inspection. |

|                                                                                            |                                                      |     |
|--------------------------------------------------------------------------------------------|------------------------------------------------------|-----|
| For calendar plan year 2023 or fiscal plan year beginning 01/01/2023 and ending 12/31/2023 |                                                      |     |
| A Name of plan<br>KEYCORP 401K SAVINGS PLAN                                                | B Three-digit plan number (PN) ▶                     | 002 |
|                                                                                            |                                                      |     |
| C Plan sponsor's name as shown on line 2a of Form 5500<br>KEYCORP                          | D Employer Identification Number (EIN)<br>34-6542451 |     |

|        |                                                 |
|--------|-------------------------------------------------|
| Part I | Service Provider Information (see instructions) |
|--------|-------------------------------------------------|

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☒ Yes ☐ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

|                                                                                                            |
|------------------------------------------------------------------------------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
| VICTORY CAPITAL MANAGEMENT INC                                                                             |
| 13-2700161                                                                                                 |

|                                                                                                            |
|------------------------------------------------------------------------------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
| DODGE & COX                                                                                                |
| 94-1441976                                                                                                 |

|                                                                                                            |                                        |
|------------------------------------------------------------------------------------------------------------|----------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |                                        |
| BOSTON PARTNERS                                                                                            | 909 THIRD AVENUE<br>NEW YORK, NY 10022 |

|                                                                                                            |                                             |
|------------------------------------------------------------------------------------------------------------|---------------------------------------------|
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |                                             |
| FEDERATED INVESTORS, INC.                                                                                  | 1001 LIBERTY AVENUE<br>PITTSBURGH, PA 15222 |

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

KEYBANK NA

100 PUBLIC SQUARE  
CLEVELAND, OH 44113

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GLOBAL TRUST COMPANY

12 GILL STREET SUITE 2600  
WOBBURN, MA 01801

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

SEI TRUST

1 FREEDOM VALLEY DRIVE  
OAKS, PA 19456

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 37 64 65 50            | RECORDKEEPER                                                                                      | 1265160                                                                | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                                            | 0                                                                                                                                                                               | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS INC.

04-2654524

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 27 50                  | ADVISOR                                                                                           | 1066700                                                                | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

(a) Enter name and EIN or address (see instructions)

T ROWE PRICE

100 E PRATT STREET  
BALTIMORE, MD 21202

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 28 50                  | INVESTMENT MGR                                                                                    | 1056882                                                                | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

JENNISON ASSOCIATES LLC

22-2540245

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 28 50                  | INVESTMENT MGR                                                                                    | 974304                                                                 | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                                            | 0                                                                                                                                                                               | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

BLACKROCK INSTITUTIONAL TRUST CO

94-3112180

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 28 21 51 50            | INVESTMENT MGR                                                                                    | 520460                                                                 | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                                            | 0                                                                                                                                                                               | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

PIMCO

33-0692048

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 28 51 50               | INVESTMENT MGR                                                                                    | 288187                                                                 | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                                            | 0                                                                                                                                                                               | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

THE NORTHERN TRUST COMPANY

36-1561860

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 25 49 50               | TRUSTEE                                                                                           | 275285                                                                 | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                                            | 0                                                                                                                                                                               | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

MEADEN & MOORE LTD

34-1818258

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| 10 50                  | AUDITOR                                                                                           | 30480                                                                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>                                  | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

(a) Enter name and EIN or address (see instructions)

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
|                        |                                                                                                   |                                                                        | Yes <input type="checkbox"/> No <input type="checkbox"/>                                             | Yes <input type="checkbox"/> No <input type="checkbox"/>                                                                       |                                                                                                                                                                                 | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**Part I Service Provider Information (continued)**

**3.** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |
| (a) Enter service provider name as it appears on line 2             | (b) Service Codes<br>(see instructions)                                                                                                                            | (c) Enter amount of indirect compensation |
|                                                                     |                                                                                                                                                                    |                                           |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |                                           |
|                                                                     |                                                                                                                                                                    |                                           |

**Part II Service Providers Who Fail or Refuse to Provide Information**

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|--------------------------------------------------------------------------|-------------------------------|-------------------------------------------------------------------------------------|
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |
| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|                                                                          |                               |                                                                                     |

**Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)**  
(complete as many entries as needed)

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |
|                    |                     |

Explanation:

|                                                                                                                                                                               |                                                                                                                                                                                                                              |                                                                                            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <b>SCHEDULE D</b><br><b>(Form 5500)</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security Administration | <b>DFE/Participating Plan Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br><br>▶ <b>File as an attachment to Form 5500.</b> | OMB No. 1210-0110<br><br><b>2023</b><br><br><b>This Form is Open to Public Inspection.</b> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|

For calendar plan year 2023 or fiscal plan year beginning 01/01/2023 and ending 12/31/2023

|                                                                                        |                                                                    |
|----------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| <b>A</b> Name of plan<br><u>KEYCORP 401K SAVINGS PLAN</u>                              | <b>B</b> Three-digit plan number (PN) ▶ <u>002</u>                 |
| <b>C</b> Plan or DFE sponsor's name as shown on line 2a of Form 5500<br><u>KEYCORP</u> | <b>D</b> Employer Identification Number (EIN)<br><u>34-6542451</u> |

|               |                                                                                                                                                                                  |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part I</b> | <b>Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)</b><br>(Complete as many entries as needed to report all interests in DFEs) |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: KEYBANK EB MANGD GUAR INV CNTRT FD

**b** Name of sponsor of entity listed in (a): KEYBANK NATIONAL ASSOCIATION

|                                       |                               |                                                                                                                      |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN <u>34-6903863-002</u> | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>153474422</u> |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2025 NL FD

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A.

|                                       |                               |                                                                                                                     |
|---------------------------------------|-------------------------------|---------------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN <u>26-4020456-001</u> | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>92447389</u> |
|---------------------------------------|-------------------------------|---------------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2030 NL FD

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A.

|                                       |                               |                                                                                                                      |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN <u>26-4020542-001</u> | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>125987877</u> |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2035 NL FD

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A.

|                                       |                               |                                                                                                                      |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN <u>26-4020637-001</u> | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>120360524</u> |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2040 NL FD

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A.

|                                       |                               |                                                                                                                      |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN <u>26-4020715-001</u> | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>115950104</u> |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2045 NL FD

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A.

|                                       |                               |                                                                                                                      |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN <u>26-4020794-001</u> | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>124573782</u> |
|---------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2050 NL FD

**b** Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A.

|                                       |                               |                                                                                                                     |
|---------------------------------------|-------------------------------|---------------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN <u>26-4020887-001</u> | <b>d</b> Entity code <u>C</u> | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>98308377</u> |
|---------------------------------------|-------------------------------|---------------------------------------------------------------------------------------------------------------------|

|                                                                                                |                        |                                                                                                               |
|------------------------------------------------------------------------------------------------|------------------------|---------------------------------------------------------------------------------------------------------------|
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2055 NL FD                       |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A. |                        |                                                                                                               |
| <b>c</b> EIN-PN 27-1620812-001                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 76008928  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2060 NL FD                       |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A. |                        |                                                                                                               |
| <b>c</b> EIN-PN 47-2022883-001                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 43197739  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2065 NL FD                       |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A. |                        |                                                                                                               |
| <b>c</b> EIN-PN 84-1915237-001                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 13879467  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX RETIREMENT NL FD                 |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A. |                        |                                                                                                               |
| <b>c</b> EIN-PN 26-4019464-001                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 63464667  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: EQUITY INDEX FUND                               |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A. |                        |                                                                                                               |
| <b>c</b> EIN-PN 94-3262720-001                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 655392766 |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: EXTENDED EQUITY MARKET FUND                     |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A. |                        |                                                                                                               |
| <b>c</b> EIN-PN 94-3270116-001                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 211438445 |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: U.S. DEBT INDEX FUND                            |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A. |                        |                                                                                                               |
| <b>c</b> EIN-PN 94-3291425-001                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 96897205  |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: MSCI ACWI EX-U.S. IMI INDEX FUND F              |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL INVESTMENT CO., N.A. |                        |                                                                                                               |
| <b>c</b> EIN-PN 27-4955447-001                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 151149615 |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: COLLECTIVE U.S. GOVERNMENT STIF                 |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.             |                        |                                                                                                               |
| <b>c</b> EIN-PN 45-6138589-068                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6920842   |
| <b>a</b> Name of MTIA, CCT, PSA, or 103-12 IE: HARDING LOEVNER COLLECTIVE INV TRST             |                        |                                                                                                               |
| <b>b</b> Name of sponsor of entity listed in (a): GLOBAL TRUST COMPANY                         |                        |                                                                                                               |
| <b>c</b> EIN-PN 27-6075499-003                                                                 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 45717346  |

**a** Name of MTIA, CCT, PSA, or 103-12 IE: BOSTON PARTNERS LARGE CAP VALUE EQU

**b** Name of sponsor of entity listed in (a): BOSTON PARTNERS LARGE CAP VALUE EQUITY FUND

|                                |                        |                                                                                                               |
|--------------------------------|------------------------|---------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN 61-1603964-001 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 236145783 |
|--------------------------------|------------------------|---------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE: PIMCO DIVERSIFIED REAL ASSETS CIT

**b** Name of sponsor of entity listed in (a): SEI TRUST COMPANY

|                                |                        |                                                                                                              |
|--------------------------------|------------------------|--------------------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN 27-0834313-004 | <b>d</b> Entity code C | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 24508249 |
|--------------------------------|------------------------|--------------------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**a** Name of MTIA, CCT, PSA, or 103-12 IE:

**b** Name of sponsor of entity listed in (a):

|                 |                      |                                                                                                     |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|
| <b>c</b> EIN-PN | <b>d</b> Entity code | <b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
|-----------------|----------------------|-----------------------------------------------------------------------------------------------------|

**Part II Information on Participating Plans (to be completed by DFEs, other than DCGs)**

(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN

|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                              |                                               |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| <b>SCHEDULE H</b><br><b>(Form 5500)</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security Administration<br><br>Pension Benefit Guaranty Corporation | <b>Financial Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).<br><br>▶ <b>File as an attachment to Form 5500.</b> | OMB No. 1210-0110                             |
|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                              | <b>2023</b>                                   |
|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                              | <b>This Form is Open to Public Inspection</b> |

|                                                                                                          |                                         |            |
|----------------------------------------------------------------------------------------------------------|-----------------------------------------|------------|
| For calendar plan year 2023 or fiscal plan year beginning <b>01/01/2023</b> and ending <b>12/31/2023</b> |                                         |            |
| <b>A</b> Name of plan<br><b>KEYCORP 401K SAVINGS PLAN</b>                                                | <b>B</b> Three-digit plan number (PN) ▶ | <b>002</b> |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><b>KEYCORP</b>                          |                                         |            |
| <b>D</b> Employer Identification Number (EIN)<br><b>34-6542451</b>                                       |                                         |            |

|               |                                      |
|---------------|--------------------------------------|
| <b>Part I</b> | <b>Asset and Liability Statement</b> |
|---------------|--------------------------------------|

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets                                                                                             |                 | (a) Beginning of Year | (b) End of Year |
|----------------------------------------------------------------------------------------------------|-----------------|-----------------------|-----------------|
| <b>a</b> Total noninterest-bearing cash .....                                                      | <b>1a</b>       |                       |                 |
| <b>b</b> Receivables (less allowance for doubtful accounts):                                       |                 |                       |                 |
| <b>(1)</b> Employer contributions .....                                                            | <b>1b(1)</b>    |                       |                 |
| <b>(2)</b> Participant contributions .....                                                         | <b>1b(2)</b>    |                       |                 |
| <b>(3)</b> Other .....                                                                             | <b>1b(3)</b>    | 443265                | 1050376         |
| <b>c</b> General investments:                                                                      |                 |                       |                 |
| <b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit) .....   | <b>1c(1)</b>    |                       |                 |
| <b>(2)</b> U.S. Government securities .....                                                        | <b>1c(2)</b>    |                       |                 |
| <b>(3)</b> Corporate debt instruments (other than employer securities):                            |                 |                       |                 |
| <b>(A)</b> Preferred .....                                                                         | <b>1c(3)(A)</b> |                       |                 |
| <b>(B)</b> All other .....                                                                         | <b>1c(3)(B)</b> |                       |                 |
| <b>(4)</b> Corporate stocks (other than employer securities):                                      |                 |                       |                 |
| <b>(A)</b> Preferred .....                                                                         | <b>1c(4)(A)</b> |                       |                 |
| <b>(B)</b> Common .....                                                                            | <b>1c(4)(B)</b> | 361330793             | 525276764       |
| <b>(5)</b> Partnership/joint venture interests .....                                               | <b>1c(5)</b>    | 186790                | 0               |
| <b>(6)</b> Real estate (other than employer real property) .....                                   | <b>1c(6)</b>    |                       |                 |
| <b>(7)</b> Loans (other than to participants) .....                                                | <b>1c(7)</b>    |                       |                 |
| <b>(8)</b> Participant loans .....                                                                 | <b>1c(8)</b>    | 48861791              | 52790390        |
| <b>(9)</b> Value of interest in common/collective trusts .....                                     | <b>1c(9)</b>    | 2246816593            | 2455823528      |
| <b>(10)</b> Value of interest in pooled separate accounts .....                                    | <b>1c(10)</b>   |                       |                 |
| <b>(11)</b> Value of interest in master trust investment accounts .....                            | <b>1c(11)</b>   |                       |                 |
| <b>(12)</b> Value of interest in 103-12 investment entities .....                                  | <b>1c(12)</b>   |                       |                 |
| <b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds) .....        | <b>1c(13)</b>   | 473036836             | 585053790       |
| <b>(14)</b> Value of funds held in insurance company general account (unallocated contracts) ..... | <b>1c(14)</b>   |                       |                 |
| <b>(15)</b> Other .....                                                                            | <b>1c(15)</b>   |                       |                 |

|                                                                           |              |                       |                 |
|---------------------------------------------------------------------------|--------------|-----------------------|-----------------|
| <b>1d</b> Employer-related investments:                                   |              | (a) Beginning of Year | (b) End of Year |
| (1) Employer securities .....                                             | <b>1d(1)</b> | 138567564             | 108108014       |
| (2) Employer real property .....                                          | <b>1d(2)</b> |                       |                 |
| <b>e</b> Buildings and other property used in plan operation .....        | <b>1e</b>    |                       |                 |
| <b>f</b> Total assets (add all amounts in lines 1a through 1e) .....      | <b>1f</b>    | 3269243632            | 3728102862      |
| <b>Liabilities</b>                                                        |              |                       |                 |
| <b>g</b> Benefit claims payable .....                                     | <b>1g</b>    |                       |                 |
| <b>h</b> Operating payables .....                                         | <b>1h</b>    | 623057                | 1118646         |
| <b>i</b> Acquisition indebtedness .....                                   | <b>1i</b>    |                       |                 |
| <b>j</b> Other liabilities .....                                          | <b>1j</b>    |                       |                 |
| <b>k</b> Total liabilities (add all amounts in lines 1g through 1j) ..... | <b>1k</b>    | 623057                | 1118646         |
| <b>Net Assets</b>                                                         |              |                       |                 |
| <b>l</b> Net assets (subtract line 1k from line 1f) .....                 | <b>1l</b>    | 3268620575            | 3726984216      |

**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

|                                                                                               |                 |            |           |
|-----------------------------------------------------------------------------------------------|-----------------|------------|-----------|
| <b>Income</b>                                                                                 |                 | (a) Amount | (b) Total |
| <b>a Contributions:</b>                                                                       |                 |            |           |
| (1) Received or receivable in cash from: (A) Employers .....                                  | <b>2a(1)(A)</b> | 99845649   |           |
| (B) Participants .....                                                                        | <b>2a(1)(B)</b> | 147835047  |           |
| (C) Others (including rollovers) .....                                                        | <b>2a(1)(C)</b> | 20623293   |           |
| (2) Noncash contributions .....                                                               | <b>2a(2)</b>    |            |           |
| (3) Total contributions. Add lines <b>2a(1)(A)</b> , (B), (C), and line <b>2a(2)</b> .....    | <b>2a(3)</b>    |            | 268303989 |
| <b>b Earnings on investments:</b>                                                             |                 |            |           |
| (1) Interest:                                                                                 |                 |            |           |
| (A) Interest-bearing cash (including money market accounts and certificates of deposit) ..... | <b>2b(1)(A)</b> |            |           |
| (B) U.S. Government securities .....                                                          | <b>2b(1)(B)</b> |            |           |
| (C) Corporate debt instruments .....                                                          | <b>2b(1)(C)</b> |            |           |
| (D) Loans (other than to participants) .....                                                  | <b>2b(1)(D)</b> |            |           |
| (E) Participant loans .....                                                                   | <b>2b(1)(E)</b> | 2823048    |           |
| (F) Other .....                                                                               | <b>2b(1)(F)</b> |            |           |
| (G) Total interest. Add lines <b>2b(1)(A)</b> through (F) .....                               | <b>2b(1)(G)</b> |            | 2823048   |
| (2) Dividends: (A) Preferred stock .....                                                      | <b>2b(2)(A)</b> |            |           |
| (B) Common stock .....                                                                        | <b>2b(2)(B)</b> | 8682806    |           |
| (C) Registered investment company shares (e.g. mutual funds) .....                            | <b>2b(2)(C)</b> | 17112675   |           |
| (D) Total dividends. Add lines <b>2b(2)(A)</b> , (B), and (C) .....                           | <b>2b(2)(D)</b> |            | 25795481  |
| (3) Rents .....                                                                               | <b>2b(3)</b>    |            |           |
| (4) Net gain (loss) on sale of assets: (A) Aggregate proceeds .....                           | <b>2b(4)(A)</b> | 178289703  |           |
| (B) Aggregate carrying amount (see instructions) .....                                        | <b>2b(4)(B)</b> | 164138886  |           |
| (C) Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result .....            | <b>2b(4)(C)</b> |            | 14150817  |
| (5) Unrealized appreciation (depreciation) of assets: (A) Real estate .....                   | <b>2b(5)(A)</b> |            |           |
| (B) Other .....                                                                               | <b>2b(5)(B)</b> | 123064318  |           |
| (C) Total unrealized appreciation of assets. Add lines <b>2b(5)(A)</b> and (B) .....          | <b>2b(5)(C)</b> |            |           |

|                                                                                                 |        | (a) Amount | (b) Total |
|-------------------------------------------------------------------------------------------------|--------|------------|-----------|
| (6) Net investment gain (loss) from common/collective trusts.....                               | 2b(6)  |            | 206976891 |
| (7) Net investment gain (loss) from pooled separate accounts.....                               | 2b(7)  |            |           |
| (8) Net investment gain (loss) from master trust investment accounts.....                       | 2b(8)  |            |           |
| (9) Net investment gain (loss) from 103-12 investment entities.....                             | 2b(9)  |            |           |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) ..... | 2b(10) |            | 212837048 |
| c Other income .....                                                                            | 2c     |            |           |
| d Total income. Add all <b>income</b> amounts in column (b) and enter total .....               | 2d     |            | 853951592 |

**Expenses**

|                                                                                      |        |           |           |
|--------------------------------------------------------------------------------------|--------|-----------|-----------|
| e Benefit payment and payments to provide benefits:                                  |        |           |           |
| (1) Directly to participants or beneficiaries, including direct rollovers .....      | 2e(1)  | 389057140 |           |
| (2) To insurance carriers for the provision of benefits.....                         | 2e(2)  |           |           |
| (3) Other .....                                                                      | 2e(3)  |           |           |
| (4) Total benefit payments. Add lines 2e(1) through (3) .....                        | 2e(4)  |           | 389057140 |
| f Corrective distributions (see instructions) .....                                  | 2f     |           | 20769     |
| g Certain deemed distributions of participant loans (see instructions) .....         | 2g     |           | 152355    |
| h Interest expense .....                                                             | 2h     |           |           |
| i Administrative expenses:                                                           |        |           |           |
| (1) Salaries and allowances .....                                                    | 2i(1)  |           |           |
| (2) Contract administrator fees.....                                                 | 2i(2)  | 6000      |           |
| (3) Recordkeeping fees.....                                                          | 2i(3)  | 1265160   |           |
| (4) IQPA audit fees.....                                                             | 2i(4)  | 30480     |           |
| (5) Investment advisory and investment management fees .....                         | 2i(5)  | 3906533   |           |
| (6) Bank or trust company trustee/custodial fees .....                               | 2i(6)  | 275285    |           |
| (7) Actuarial fees .....                                                             | 2i(7)  |           |           |
| (8) Legal fees .....                                                                 | 2i(8)  | 1220      |           |
| (9) Valuation/appraisal fees .....                                                   | 2i(9)  |           |           |
| (10) Other trustee fees and expenses .....                                           | 2i(10) |           |           |
| (11) Other expenses .....                                                            | 2i(11) | 873009    |           |
| (12) Total administrative expenses. Add lines 2i(1) through (11) .....               | 2i(12) |           | 6357687   |
| j Total expenses. Add all <b>expense</b> amounts in column (b) and enter total ..... | 2j     |           | 395587951 |

**Net Income and Reconciliation**

|                                                          |       |  |           |
|----------------------------------------------------------|-------|--|-----------|
| k Net income (loss). Subtract line 2j from line 2d ..... | 2k    |  | 458363641 |
| l Transfers of assets:                                   |       |  |           |
| (1) To this plan .....                                   | 2l(1) |  |           |
| (2) From this plan .....                                 | 2l(2) |  |           |

**Part III Accountant's Opinion**

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

**b** Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☐ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☒ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **MEADEN & MOORE LTD**

(2) EIN: **34-1818258**

**d** The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) ☐ This form is filed for a CCT, PSA, DCG or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

|                                                                                                                                                                                                                                                                                                                  | Yes                                 | No                                  | Amount    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-------------------------------------|-----------|
| <b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) .....                 |                                     | <input checked="" type="checkbox"/> |           |
| <b>b</b> Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) ..... |                                     | <input checked="" type="checkbox"/> |           |
| <b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) .....                                                                                                                             |                                     | <input checked="" type="checkbox"/> |           |
| <b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) .....                                                                                                                  |                                     | <input checked="" type="checkbox"/> |           |
| <b>e</b> Was this plan covered by a fidelity bond? .....                                                                                                                                                                                                                                                         | <input checked="" type="checkbox"/> |                                     | 175000000 |
| <b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? .....                                                                                                                                                                          |                                     | <input checked="" type="checkbox"/> |           |
| <b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                                                                                                                       |                                     | <input checked="" type="checkbox"/> |           |
| <b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                                                                                                             |                                     | <input checked="" type="checkbox"/> |           |
| <b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) .....                                                                                                                                                   | <input checked="" type="checkbox"/> |                                     |           |
| <b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.) .....                                                                                     |                                     | <input checked="" type="checkbox"/> |           |
| <b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? .....                                                                                                                                              |                                     | <input checked="" type="checkbox"/> |           |
| <b>l</b> Has the plan failed to provide any benefit when due under the plan? .....                                                                                                                                                                                                                               |                                     | <input checked="" type="checkbox"/> |           |
| <b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) .....                                                                                                                                                                                     |                                     | <input checked="" type="checkbox"/> |           |
| <b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. ....                                                                                                                         |                                     |                                     |           |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ..... ☐ Yes ☒ No  
If "Yes," enter the amount of any plan assets that reverted to the employer this year \_\_\_\_\_.

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| <b>5b(1)</b> Name of plan(s) | <b>5b(2)</b> EIN(s) | <b>5b(3)</b> PN(s) |
|------------------------------|---------------------|--------------------|
|                              |                     |                    |
|                              |                     |                    |
|                              |                     |                    |
|                              |                     |                    |

**5c** Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ..... ☐ Yes ☒ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year \_\_\_\_\_.

|                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                  |                                                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| <div>SCHEDULE R<br/>(Form 5500)<br/><br/>Department of the Treasury<br/>Internal Revenue Service<br/><br/>Department of Labor<br/>Employee Benefits Security Administration<br/><br/>Pension Benefit Guaranty Corporation</div> | <div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div> | <div>OMB No. 1210-0110</div> <div>2023</div> <div>This Form is Open to Public Inspection.</div> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|

|                                                                                            |                                                      |     |
|--------------------------------------------------------------------------------------------|------------------------------------------------------|-----|
| For calendar plan year 2023 or fiscal plan year beginning 01/01/2023 and ending 12/31/2023 |                                                      |     |
| A Name of plan<br>KEYCORP 401K SAVINGS PLAN                                                | B Three-digit plan number (PN) ▶                     | 002 |
| C Plan sponsor's name as shown on line 2a of Form 5500<br>KEYCORP                          | D Employer Identification Number (EIN)<br>34-6542451 |     |

|        |               |
|--------|---------------|
| Part I | Distributions |
|--------|---------------|

All references to distributions relate only to payments of benefits during the plan year.

|                                                                  |                                                                                                                                                                                                                                                    |   |  |
|------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|
| 1                                                                | Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....                                                                                                                       | 1 |  |
| 2                                                                | Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):<br><br>EIN(s): 04-6568107 |   |  |
| Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3. |                                                                                                                                                                                                                                                    |   |  |
| 3                                                                | Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year .....                                                                                                                            | 3 |  |

|         |                                                                                                                                                                        |
|---------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Part II | Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.) |
|---------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                      |                                                                                                                                                                                                                                                                                                                                                    |                              |                             |                              |
|------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------|------------------------------|
| 4                                                    | Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? .....                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
| If the plan is a defined benefit plan, go to line 8. |                                                                                                                                                                                                                                                                                                                                                    |                              |                             |                              |
| 5                                                    | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____<br>If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule. |                              |                             |                              |
| 6                                                    | a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) .....                                                                                                                                                                                                              | 6a                           |                             |                              |
|                                                      | b Enter the amount contributed by the employer to the plan for this plan year .....                                                                                                                                                                                                                                                                | 6b                           |                             |                              |
|                                                      | c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) .....                                                                                                                                                                                                          | 6c                           |                             |                              |
| If you completed line 6c, skip lines 8 and 9.        |                                                                                                                                                                                                                                                                                                                                                    |                              |                             |                              |
| 7                                                    | Will the minimum funding amount reported on line 6c be met by the funding deadline? .....                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
| 8                                                    | If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? .....                                                                                 | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |

|          |            |
|----------|------------|
| Part III | Amendments |
|----------|------------|

|   |                                                                                                                                                                                                                    |                                   |                                   |                               |                             |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|-----------------------------|
| 9 | If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. .... | <input type="checkbox"/> Increase | <input type="checkbox"/> Decrease | <input type="checkbox"/> Both | <input type="checkbox"/> No |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|-----------------------------|

|         |                                                                                                                                            |
|---------|--------------------------------------------------------------------------------------------------------------------------------------------|
| Part IV | ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part. |
|---------|--------------------------------------------------------------------------------------------------------------------------------------------|

|    |                                                                                                                                                                                       |                              |                             |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------|
| 10 | Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? .....                                                         | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 11 | a Does the ESOP hold any preferred stock? .....                                                                                                                                       | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|    | b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 12 | Does the ESOP hold any stock that is not readily tradable on an established securities market? .....                                                                                  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

**Part V Additional Information for Multiemployer Defined Benefit Pension Plans**

**13** Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

**a** The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment) .....

**14a**

**b** The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment) .....

**14b**

**c** The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment) .....

**14c**

**15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

**a** The corresponding number for the plan year immediately preceding the current plan year .....

**15a**

**b** The corresponding number for the second preceding plan year .....

**15b**

**16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

**a** Enter the number of employers who withdrew during the preceding plan year .....

**16a**

**b** If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers .....

**16b**

**17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

## **Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans**

**18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

**19** If the total number of participants is 1,000 or more, complete lines (a) and (b):

**a** Enter the percentage of plan assets held as:

Public Equity: \_\_\_\_\_% Private Equity: \_\_\_\_\_% Investment-Grade Debt and Interest Rate Hedging Assets: \_\_\_\_\_%

High-Yield Debt: \_\_\_\_\_% Real Assets: \_\_\_\_\_% Cash or Cash Equivalents: \_\_\_\_\_% Other: \_\_\_\_\_%

**b** Provide the average duration of the Investment-Grade Debt and Interest Rate Hedging Assets:

☐ 0-5 years ☐ 5-10 years ☐ 10-15 years ☐ 15 years or more

**20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

**a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

**b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation. \_\_\_\_\_

## **Part VII IRS Compliance Questions**

**21a** Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? ☐ Yes ☒ No

**21b** If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).

☒ Design-based safe harbor method

☐ "Prior year" ADP test

☒ "Current year" ADP test

☐ N/A

**22** If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter \_\_\_\_/\_\_\_\_/\_\_\_\_ (MM/DD/YYYY) and the Opinion Letter serial number \_\_\_\_\_.

KEYCORP  
401(k) SAVINGS PLAN

FINANCIAL STATEMENTS  
AND SUPPLEMENTAL SCHEDULE  
WITH  
INDEPENDENT AUDITORS' REPORT

December 31, 2023

KeyCorp  
401(k) Savings Plan

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KeyCorp, as Plan Sponsor for the KeyCorp 401(k) Savings Plan  
Cleveland, Ohio

### ***Opinion***

We have audited the financial statements of KeyCorp 401(k) Savings Plan, an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of December 31, 2022 and 2021, and the related statement of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

In our opinion, the accompanying financial statements present fairly, in all material respects, the net assets available for benefits of KeyCorp 401(k) Savings Plan as of December 31, 2022 and 2021, and the changes in net assets available for benefits for the years then ended in accordance with accounting principles generally accepted in the United States of America.

### ***Basis for Opinion***

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of KeyCorp 401(k) Savings Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### ***Responsibilities of Management for the Financial Statements***

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about KeyCorp 401(k) Savings Plan's ability to continue as a going concern for one year after the date that the financial statements are issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

### ***Auditor's Responsibilities for the Audit of the Financial Statements***

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- a. Exercise professional judgment and maintain professional skepticism throughout the audit.
- b. Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- c. Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of KeyCorp 401(k) Savings Plan's internal control. Accordingly, no such opinion is expressed.
- d. Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.

- e. Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about KeyCorp 401(k) Savings Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

***Other Matter—Supplemental Schedules Required by ERISA***

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of assets (held at end of year) as of December 31, 2022 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedule is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

*Meaden & Moore, Ltd.*

Meaden & Moore, Ltd.  
Cleveland, Ohio

June 27, 2024

# STATEMENT OF NET ASSETS AVAILABLE FOR BENEFITS

## KeyCorp 401(k) Savings Plan

December 31,

|                                                                                             | <u>2023</u>                    | <u>2022</u>                    |
|---------------------------------------------------------------------------------------------|--------------------------------|--------------------------------|
| <b>ASSETS</b>                                                                               |                                |                                |
| Investments, at fair value:                                                                 |                                |                                |
| KeyCorp common stock (cost \$84,499,559 and \$89,609,181 at<br>2023 and 2022, respectively) | \$ 108,108,014                 | \$ 138,567,564                 |
| Other equity securities                                                                     | 525,276,766                    | 361,517,583                    |
| Interest in mutual funds and collective trusts at fair value                                | <u>3,040,877,316</u>           | <u>2,719,853,429</u>           |
| Total investments at Fair Value                                                             | 3,674,262,096                  | 3,219,938,576                  |
| Receivables:                                                                                |                                |                                |
| Notes receivable from participants                                                          | 52,790,390                     | 48,861,791                     |
| Receivable - Interest and dividends                                                         | <u>1,050,376</u>               | <u>443,265</u>                 |
| Total Receivables                                                                           | 53,840,766                     | 49,305,056                     |
| Total Assets                                                                                | 3,728,102,862                  | 3,269,243,632                  |
| <b>LIABILITIES</b>                                                                          |                                |                                |
| Payables - administrative and other expenses                                                | <u>1,118,646</u>               | <u>623,057</u>                 |
| Total Liabilities                                                                           | 1,118,646                      | 623,057                        |
| <b>NET ASSETS AVAILABLE FOR BENEFITS</b>                                                    | <u><u>\$ 3,726,984,216</u></u> | <u><u>\$ 3,268,620,575</u></u> |

See accompanying notes to financial statements.

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

KeyCorp  
401(k) Savings Plan

|                                                                     | Year Ended December 31,        |                                |
|---------------------------------------------------------------------|--------------------------------|--------------------------------|
|                                                                     | <u>2023</u>                    | <u>2022</u>                    |
| Additions to Net Assets Attributed to:                              |                                |                                |
| Contributions:                                                      |                                |                                |
| Employer                                                            | \$ 99,845,649                  | \$ 84,130,136                  |
| Participants                                                        | 147,835,047                    | 138,655,262                    |
| Rollovers                                                           | 20,623,293                     | 23,650,730                     |
| Total Contributions                                                 | <u>268,303,989</u>             | <u>246,436,128</u>             |
| Interest on participant notes receivable                            | 2,823,048                      | 2,213,122                      |
| Investment Income:                                                  |                                |                                |
| Dividends from KeyCorp common stock                                 | 6,427,660                      | 6,397,667                      |
| Dividends from other equity securities                              | 1,713,648                      | 1,421,826                      |
| Net investment income from mutual funds and collective trusts       | 18,859,593                     | 9,594,028                      |
| Net realized gain (loss) and unrealized appreciation (depreciation) | <u>555,823,654</u>             | <u>(711,320,573)</u>           |
| Total Investment Income                                             | <u>582,824,555</u>             | <u>(693,907,052)</u>           |
| Deductions from Net Assets Attributed to:                           |                                |                                |
| Participant withdrawals                                             | 389,230,264                    | 298,596,765                    |
| Administrative and other expenses                                   | <u>6,357,687</u>               | <u>4,226,894</u>               |
| Total Deductions                                                    | <u>395,587,951</u>             | <u>302,823,659</u>             |
| Net Increase or (Decrease)                                          | <u>458,363,641</u>             | <u>(748,081,461)</u>           |
| Net Assets Available for Benefits:                                  |                                |                                |
| Beginning of Year                                                   | <u>3,268,620,575</u>           | <u>4,016,702,036</u>           |
| End of Year                                                         | <u><u>\$ 3,726,984,216</u></u> | <u><u>\$ 3,268,620,575</u></u> |

See accompanying notes to the financial statements.

## NOTES TO FINANCIAL STATEMENTS

### KeyCorp 401(k) Savings Plan

#### **1 Description of Plan**

The following description of the KeyCorp 401(k) Savings Plan (Plan) provides only general information. Participants should refer to the Summary Plan Description or Plan document for a more complete description of the Plan's provisions.

#### ***General:***

The Plan consists of a profit sharing plan with a cash or deferred arrangement, as authorized under Section 401(k) of the Internal Revenue Code of 1986, as amended (Code), and an employee stock ownership plan (ESOP), as authorized under the provisions of Section 4975(e)(7) of the Code. As of January 1, 2011, the Plan was amended and restated to close the KeyCorp Common Stock Fund to new investments effective January 1, 2012, with the exception of KeyCorp dividend reinvestments, and make other administrative modifications and changes as required by law or to facilitate the administration of the Plan.

Effective December 31, 2017, the Plan was amended to merge in the participants and the assets of the former Cain Brothers & Company, LLC Retirement Plan.

Effective January 1, 2017, the Plan was restated to reflect the amendments adopted since the January 1, 2011 amendment and restatement and to make administrative modifications and changes as required by law or to facilitate the administration of the Plan.

The portion of the Plan that is attributable to participant contributions invested in the Plan's various investment funds (other than the Plan's KeyCorp Common Stock Fund) constitutes a profit sharing plan. The portion of the Plan that is attributable to participant contributions, employer contributions, profit sharing contributions, after-tax contributions, and rollover contributions invested primarily in KeyCorp common shares constitutes an ESOP. The Plan is intended to be qualified under Section 401(a) of the Code and the provisions of Titles I, II, and III of the Employee Retirement Income Security Act of 1974, as amended (ERISA).

Dividends paid on those KeyCorp common shares maintained in the ESOP, at the participant's election, may automatically be reinvested in the Plan's Common Stock Fund or paid directly to the participant. In 2023 and 2022, dividends of \$882,642 and \$824,412, respectively, were paid directly to participants in connection with this election and are reflected in the Statement of Changes in Net Assets Available for Benefits as participant withdrawals.

## NOTES TO FINANCIAL STATEMENTS

### KeyCorp 401(k) Savings Plan

#### **1 Description of Plan, Continued**

##### ***Eligibility:***

All regular full-time and part-time employees of KeyCorp and its participating subsidiaries (Employer or Key) are eligible to participate in the Plan as of their first day of employment with the Employer for purposes of making pre-tax contributions, Roth contributions, Plan transfer contributions, and rollover contributions. Employees are eligible to participate in receiving employer contributions and profit sharing contributions in accordance with the following eligibility requirements: for employees whose employment commencement date is July 1, 2006, or after, participants can receive these contributions after completing one year of service. Seasonal and on-call employees are required to complete 1,000 hours of service prior to becoming eligible to participate in the Plan.

##### ***Contributions:***

Contributions are subject to limitations on annual additions and other limitations imposed by the Code as defined in the Plan agreement.

##### ***Employee 401(k) Deferral:***

In years in which the safe harbor provisions of Section 401(k)(12) of the Code are not utilized, employees who have met the eligibility requirements and have elected to participate may contribute from 1% to 100% of their compensation on a pre-tax basis to the Plan, and highly compensated employees (as that term is defined in accordance with Section 414(n) of the Code) may contribute from 1% to 7% of their compensation to the Plan. For the 2023 and 2022 Plan years, the Plan utilized the safe harbor provisions of Section 401(k)(12) of the Code, which permits the Plan to automatically satisfy certain nondiscrimination requirements of the Code without undergoing the necessity of discrimination testing. In years in which the safe harbor provisions of Section 401(k)(12) of the Code are utilized (2023 and 2022), all eligible employees may contribute up to 100% of their compensation to the Plan. The Plan also provides a qualified Roth contribution program.

Commencing January 1, 2010, an automatic enrollment feature was added to the Plan for all new regular full-time and part-time employees of the Employer (Covered Employees). The Plan was amended such that the initial default contribution percentage for Covered Employees is 2% and will increase by 1% at the beginning of each Plan year until the default percentage is 10% for Plan years on and after January 1, 2012. An amendment effective July 1, 2020 clarified that for employees hired before July 1, 2020, the increase will be effective at the beginning of the Plan year and for those hired after July 1, 2020, it will be effective on the anniversary date. The Covered Employee may request a distribution of his or her default elective deferrals no later than 90 days after default elective deferrals are first withheld from a Covered Employee's pay. As of January 1, 2016, the Plan was amended to implement an auto-enrollment back sweep feature for certain employees hired prior to December 31, 2009.

##### ***Employer Matching Contributions:***

Effective January 1, 2023, Key amended the Plan and matching contributions increased to a dollar-for-dollar match, up to the first 7% of the participant's contributions to the Plan. After satisfying the eligibility requirements, Key matches up to 7% of the participant's contributions. Default elective deferrals will be eligible for matching contributions after the Covered Employee satisfies the Eligibility Requirements for receiving a matching contribution. Commencing for Plan years on and after January 1, 2012, the KeyCorp Common Stock Fund was closed to new investments, and matching contributions became subject to the investment direction of the participant.

The Employer Matching Contribution for each participant is based on the participant's eligible annual compensation. For the 2023 and 2022 Plan years, the Plan utilized the safe harbor provisions of Section 401(k)(12) of the Code, which permits the Plan to automatically satisfy certain nondiscrimination requirements of the Code without undergoing the necessity of discrimination testing.

# NOTES TO FINANCIAL STATEMENTS

## KeyCorp 401(k) Savings Plan

### 1 Description of Plan, Continued

#### ***Employer Discretionary Contributions:***

Key may also make additional contributions as approved by the Board of Directors. Commencing January 1, 2010, and thereafter, profit sharing contributions may be made, allocated, and credited to the accounts of participants employed on the last business day of the Plan year as a flat percentage of eligible compensation for the participants entitled to receive an allocation, based on the further terms and conditions set forth in the Plan. For Plan years beginning on and after January 1, 2012, participants will share in profit sharing contributions for the applicable year if the participant experienced a Termination Under Limited Circumstances, as defined by the Plan, during the Plan year. Key did not make a profit sharing contribution for 2023 or 2022.

#### ***Rollover Contributions:***

Rollover contributions from other plans are also accepted, providing certain specified conditions are met.

#### ***Participant's Accounts:***

Each participant's account is credited with the participant's elective contributions, employer matching contributions, employer discretionary contributions, and earnings and losses thereon.

#### ***Vesting:***

All participants are 100% vested in elective deferrals (pre-tax and Roth) and rollover contributions made to the Plan. In years in which the safe harbor provisions of Section 401(k)(12) of the Code are not utilized, participants are 100% vested in Key matching contributions after three years of service. Contributions subject to the safe harbor provisions of Section 401(k)(12) are 100% vested. Participants are 100% vested in Key discretionary contributions after three years of service.

#### ***Forfeitures:***

Under the terms of the Plan, forfeited nonvested participant amounts may be used to offset Employer contributions to the Plan. At December 31, 2023, and December 31, 2022, the Plan's investments included \$499,001 and \$101,702 of Plan forfeitures, respectively. During 2023, no forfeitures were used to offset Employer contributions. During 2022, \$448,667 in forfeitures were used to offset Employer contributions.

#### ***Notes Receivable from Participants:***

Loans are permitted under certain circumstances and are subject to limitations. Participants may borrow from their fund accounts up to a maximum equal to the lesser of \$50,000 or 50% of their vested account balance. Loans are repaid over a period not to exceed five years with exceptions for the purchase of a primary residence.

The loans are secured by the balance in the participant's account. The interest rate is currently established by the terms of the Loan Policy as Prime plus 1%. Loans to Plan participants are valued at their unpaid principal balance plus accrued but unpaid interest, which approximates fair value.

## NOTES TO FINANCIAL STATEMENTS

### KeyCorp 401(k) Savings Plan

#### **1 Description of Plan, Continued**

##### ***Payment of Benefits:***

Distribution of participant contributions and matching contributions are subject to the distribution limitations outlined in Section 401(k) of the Code (i.e., attainment of age 59 1/2, severance from employment, retirement, death, or disability, subject to special grandfathered distribution provisions). Upon termination, participants may receive a distribution of their vested account balance in cash or may elect to have their interest in the KeyCorp Common Stock Fund distributed to them in common shares of KeyCorp. Participants may leave their balance in the Plan if their balance is greater than \$1,000. Upon retirement, participants may elect to receive their Plan distribution as a lump sum payment or as a monthly, quarterly, or annual installment payment. Benefit payments are recorded upon distribution.

As of January 1, 2016, the Plan was amended to facilitate the implementation of flexibility in the distribution of benefits to assist participants with retirement readiness and distribution planning.

##### ***Hardship Withdrawals:***

Hardship withdrawals are permitted in accordance with Internal Revenue Service guidelines.

##### ***Investment Options:***

Upon enrollment in the Plan, a participant may direct his or her employee contribution in any of the investment options offered by the Plan. As discussed above, the KeyCorp Common Stock Fund was closed to new investments effective January 1, 2012.

#### **2 Summary of Significant Accounting Policies**

##### ***Basis of Accounting:***

The Plan's transactions are reported on the accrual basis of accounting.

##### ***Investment Valuation:***

Investments are stated at aggregate fair value, which is determined based on the closing price reported on the last business day of the Plan year as follows:

##### ***Equity Securities***

Closing market price as quoted on the New York Stock Exchange as of December 31, 2023, and December 31, 2022. The closing market price of KeyCorp's Common Stock at December 31, 2023, and December 31, 2022, was \$14.40 and \$17.42, respectively. The other common stocks, which are included in either the Jennison Large Cap Growth Separate Account or the T. Rowe Price QM US Small-Cap Growth Equity Strategy Separate Account, are valued at the closing price reported on the applicable major stock exchange on which the individual securities are traded.

## NOTES TO FINANCIAL STATEMENTS

KeyCorp  
401(k) Savings Plan

### 2 Summary of Significant Accounting Policies, Continued

#### *Mutual Funds*

Closing market price as quoted per a third-party valuation service as of December 31, 2023 and December 31, 2022. Non-publicly traded mutual funds are included within the PIMCO Total Return Separate Account, which consists of open end mutual funds managed by Pacific Investment Management Company, LLC. The market value of each of the underlying mutual fund's shares are determined daily based on the fund's net asset value determined by dividing the total value of the funds' investments and other assets, less any liabilities, by the total number of shares outstanding. The value of the funds' investments may be based on closing market prices or information received from third-party valuation services.

#### *Collective Trust Funds*

Market values of units held in collective trust funds are determined daily by the trustee of the funds based on reported redemption values received from a third-party valuation service.

#### ***Investment Transactions:***

Purchases and sales of securities are reflected on a trade-date basis. Gains or losses on sales of equity securities are based on the specific cost of units sold. Gains or losses on sales of mutual funds and collective trust funds are based on the average cost per share or per unit at the time of the sale. In the case of KeyCorp Common Stock, brokerage commissions are added to the cost of shares purchased and subtracted from the proceeds of shares sold. No direct brokerage commissions are incurred by the Plan on purchases and sales of shares or units in mutual funds and collective trust funds.

#### ***Investment Income:***

Dividend income is recorded on the ex-dividend date. Income from other investments is recorded as earned on the accrual basis.

#### ***Fair Value Measurement:***

Accounting guidance defines fair value as the price to sell an asset or transfer a liability in an orderly transaction between market participants in the principal market. In other words, fair value represents an exit price at the measurement date. Market participants are buyers and sellers who are independent, knowledgeable, and willing and able to transact in the principal (or most advantageous) market for the asset or liability being measured. Current market conditions, including imbalances between supply and demand, are considered in determining fair value.

The Plan's assets are valued based on the principal market where each would be sold. The principal market is the forum with the greatest volume and level of activity. In the absence of a principal market, valuation is based on the most advantageous market (i.e., the market where the asset could be sold at a price that maximizes the amount to be received).

There are three acceptable techniques for measuring fair value: the market approach, the income approach, and the cost approach. The appropriate technique for valuing a particular asset depends on the exit market, the nature of the asset being valued, and how a market participant would value the same asset. Ultimately, selecting the appropriate valuation method requires significant judgment, and applying the valuation technique requires sufficient knowledge and expertise.

## NOTES TO FINANCIAL STATEMENTS

### KeyCorp 401(k) Savings Plan

#### **2 Summary of Significant Accounting Policies, Continued**

Valuation inputs refer to the assumptions market participants would use in pricing a given asset. Inputs can be observable or unobservable. Observable inputs are assumptions based on market data obtained from an independent source. Unobservable inputs are assumptions based on the Trustee's own information or assessment of assumptions used by other market participants in pricing the asset. Unobservable inputs are based on the best and most current information available on the measurement date.

All inputs, whether observable or unobservable, are ranked in accordance with a prescribed fair value hierarchy that gives the highest ranking to quoted prices in active markets for identical assets (Level 1) and the lowest ranking to unobservable inputs (Level 3). Fair values for assets classified as Level 2 are based on one or a combination of the following factors: (a) quoted market prices for similar assets in active markets; (b) quoted prices for identical or similar assets in inactive markets; (c) observable inputs, such as interest rates or yield curves; or (d) inputs derived principally from or corroborated by observable market data. The level in the fair value hierarchy ascribed to a fair value measurement in its entirety is based on the lowest level input that is significant to the measurement. The Plan considers an input to be significant if it drives 10% or more of the total fair value of a particular asset. Assets may transfer between levels based on the observable and unobservable inputs used at the valuation date, as the inputs may be influenced by certain market conditions. Transfers between levels of the fair value hierarchy are recognized at the end of the reporting period.

Typically, assets are considered to be fair valued on a recurring basis if fair value is measured regularly. Additional information regarding fair value measurements and disclosures is provided in Note 4 ("Fair Value Measurements").

#### ***Use of Estimates:***

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

#### ***Administrative Fees:***

Substantially all administrative fees are paid by the Plan.

#### ***Plan Termination:***

Although it has not expressed any intent to do so, Key has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

#### ***Risks and Uncertainties:***

The Plan invests in various investments, including KeyCorp common stock, other equity securities, and interests in mutual funds and collective trusts. These investments are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investments, it is at least reasonably possible that changes in values of investments will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the Statement of Net Assets Available for Benefits.

## NOTES TO FINANCIAL STATEMENTS

### KeyCorp 401(k) Savings Plan

#### 2 Summary of Significant Accounting Policies, Continued

##### *Subsequent Events:*

In preparing these financial statements, subsequent events were evaluated through June 27, 2024, the date the financial statements were available to be issued. In compliance with applicable accounting standards, all material subsequent events have been either recognized in the financial statements or disclosed in the notes to the financial statements.

#### 3 Tax Status

The Internal Revenue Service has determined and informed Key by letter dated September 22, 2013, that the Plan and related trust as of the January 1, 2011 Restatement are designed in accordance with applicable sections of the Internal Revenue Code.

The Plan has been amended since receiving the determination letter. However, the Plan Administrator and tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America (U.S. GAAP) requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken uncertain tax positions that more-likely-than-not would not be sustained upon examination by applicable taxing authorities. The Plan administrator has analyzed tax positions taken by the Plan and has concluded that, as of December 31, 2023, there are no uncertain tax positions taken, or expected to be taken, that would require recognition of a liability or that would require disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions. However, currently no audits for any tax periods are in progress.

#### 4 Fair Value Measurements

The following is a description of the valuation methodologies used to measure the fair value of assets held in the Plan:

**Equity Securities.** Investments in KeyCorp Common Stock are valued at their official closing price on the New York Stock Exchange and are classified as Level 1. Other equity securities are valued at the closing price reported on the major stock exchange on which the individual securities are traded and are classified as Level 1.

**Mutual Funds.** Exchange-traded mutual funds are valued using quoted prices and, therefore, are classified as Level 1. Mutual funds held within the PIMCO Total Return Separate Account are measured at net asset value ("NAV") as a practical expedient to estimate fair value and accordingly have not been classified in the fair value hierarchy. This practical expedient would not be used if it is determined to be probable that the investment will sell for an amount different from the reported NAV. Fair value is determined based on the Plan's proportionate share of total net assets in the fund. Participant transactions (purchases and redemptions) may occur daily.

**Money Market Funds.** Investments in money market funds are valued at their closing net asset value. Because net asset values for the money market funds are based primarily on observable inputs, most notably quoted prices for the underlying assets, these investments are classified as Level 2.

**Collective Trust Funds.** The Plan's investments in collective trust funds are measured at net asset value ("NAV") as a practical expedient to estimate fair value and accordingly, have not been classified in the fair value hierarchy. This

## NOTES TO FINANCIAL STATEMENTS

### KeyCorp 401(k) Savings Plan

#### **4 Fair Value Measurements, continued**

practical expedient would not be used if it is determined to be probable that the funds will sell the investment for an amount different from the reported NAV. Fair value is determined based on the Plan's proportionate share of total net assets in the fund. Participant transactions (purchases and sales) may occur daily. However, in high volume liquidation demand periods, the Trustee may, at their discretion, delay liquidation requests so that it is in the best interest of all participants in the fund. If the Plan initiates a full redemption of the KeyBank EB MaGIC Fund, the issuer reserves the right to require 12 months' notification in order to ensure that securities liquidations will be carried out in an orderly business manner.

The investment strategies for the collective trust funds are varied, and they may invest directly and indirectly in a broad range of equities, debt, and derivative instruments with the objective of mirroring or exceeding the total return of certain market indices. Strategies may vary based on global macroeconomic views, expected directional movements in the financial markets, market capitalization, and other strategies.

## NOTES TO FINANCIAL STATEMENTS

### KeyCorp 401(k) Savings Plan

#### 4 Fair Value Measurements, continued

The following tables present the Plan's assets that are measured at fair value on a recurring basis in accordance with U.S. GAAP at December 31, 2023, and December 31, 2022.

| <b>December 31, 2023</b>                                                                              | <b>Level 1</b>        | <b>Level 2</b>        | <b>Level 3</b> | <b>Total</b>            |
|-------------------------------------------------------------------------------------------------------|-----------------------|-----------------------|----------------|-------------------------|
| Equity Securities:                                                                                    |                       |                       |                |                         |
| KeyCorp Common Stock                                                                                  | \$ 108,108,014        | —                     | —              | \$ 108,108,014          |
| Other Equity Securities                                                                               | 525,276,766           |                       |                | 525,276,766             |
| Mutual Funds                                                                                          | 288,362,742           | —                     | —              | 288,362,742             |
| Money Market Funds                                                                                    | —                     | \$ 152,249,655        | —              | 152,249,655             |
| Collective Trust Funds, Mutual Funds and other investments measured at net asset value <sup>(a)</sup> | —                     | —                     | —              | 2,600,264,919           |
|                                                                                                       | <u>\$ 921,747,522</u> | <u>\$ 152,249,655</u> | <u>—</u>       | <u>\$ 3,674,262,096</u> |
| <b>December 31, 2022</b>                                                                              | <b>Level 1</b>        | <b>Level 2</b>        | <b>Level 3</b> | <b>Total</b>            |
| Equity Securities:                                                                                    |                       |                       |                |                         |
| KeyCorp common stock                                                                                  | \$ 138,567,564        | —                     | —              | \$ 138,567,564          |
| Other equity securities                                                                               | 361,517,583           |                       |                | 361,517,583             |
| Mutual Funds                                                                                          | 273,520,466           | —                     | —              | 273,520,466             |
| Money Market Funds                                                                                    | —                     | \$ 127,240,892        | —              | 127,240,892             |
| Collective Trust Funds, Mutual Funds and other investments measured at net asset value <sup>(a)</sup> | —                     | —                     | —              | 2,319,092,071           |
|                                                                                                       | <u>\$ 773,605,613</u> | <u>\$ 127,240,892</u> | <u>—</u>       | <u>\$ 3,219,938,576</u> |

<sup>(a)</sup> Certain investments that are measured at fair value using the net asset value (or its equivalent) practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the fair value of the plan assets presented elsewhere within this report.

#### 5 Party-in-Interest Transactions

During 2023 and 2022, the Plan received \$6,427,660 and \$6,397,667 respectively, in KeyCorp common stock dividends.

During the year ended December 31, 2023, the Plan purchased 398,421 shares of KeyCorp common stock for \$4,408,621 and sold 553,384 shares of common stock of KeyCorp were sold by the Plan for \$6,684,345. During the year ended December 31, 2022, the Plan did not purchase KeyCorp common stock and 258,140 shares of common stock of KeyCorp were sold by the Plan for \$5,597,502. The sales of KeyCorp common stock were completed in the open market.

The Plan has arrangements with various service providers and these arrangements qualify as party-in-interest transactions.

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

Form 5500, Schedule H, Part IV, Line 4i

KeyCorp  
401(k) Savings Plan

EIN 34-6542451  
Plan Number 002

December 31, 2023

| (a)                                                                           | (b)                                                                                                               | (c)  | (d)           | (e) |
|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|------|---------------|-----|
| Identity of Issuer, Borrower, Lessor, or Similar Party                        | Description of Investment,<br>Including Maturity Date, Rate<br>of Interest, Collateral, Par, or<br>Maturity Value | Cost | Current Value |     |
| Equity Securities:                                                            |                                                                                                                   |      |               |     |
| * KeyCorp common stock                                                        | Common Stock                                                                                                      | \$   | 108,108,014   |     |
| CANADA - DESCARTES SYS GROUP INC COM SEDOL:<br>2528834                        | Common Stock                                                                                                      |      | 678,785       |     |
| DENMARK - ADR NOVO-NORDISK A S ADR SEDOL:<br>2651202                          | Common Stock                                                                                                      |      | 8,420,002     |     |
| FRANCE - L'OREAL EUR0.20 SEDOL: 4057808                                       | Common Stock                                                                                                      |      | 4,443,955     |     |
| FRANCE - LVMH MOET HENNESSY EUR0.30 SEDOL:<br>4061412                         | Common Stock                                                                                                      |      | 3,254,451     |     |
| ISRAEL - SAPIENS INTERNATIONAL CORP NV COM<br>EUR0.01 SEDOL: BFYZGX0          | Common Stock                                                                                                      |      | 247,986       |     |
| NETHERLANDS - ADR ASML HLDG NV NY REG 2012<br>(POST REV SPLIT) SEDOL: B908F01 | Common Stock                                                                                                      |      | 3,813,362     |     |
| UK - ADR ASTRAZENECA PLC SPONSORED ADR<br>UNITEDKINGDOM SEDOL: 2989044        | Common Stock                                                                                                      |      | 4,990,971     |     |
| UK - ADR RENTOKIL INITIAL PLC SPONSORED ADR<br>SEDOL: 2738172                 | Common Stock                                                                                                      |      | 249,536       |     |
| #REORG IMMUNOGEN INC MERGER 02-12-2024 SEDOL:<br>2457864                      | Common Stock                                                                                                      |      | 274,885       |     |
| AAON INC COM PAR \$0.004 COM PAR \$0.004 SEDOL:<br>2268130                    | Common Stock                                                                                                      |      | 369,941       |     |
| ABERCROMBIE & FITCH CO CL A CL A SEDOL: 2004185                               | Common Stock                                                                                                      |      | 247,016       |     |
| ACADEMY SPORTS & OUTDOORS INC COM SEDOL:<br>BN7K304                           | Common Stock                                                                                                      |      | 263,736       |     |
| ACADIA PHARMACEUTICALS INC COM SEDOL: 2713317                                 | Common Stock                                                                                                      |      | 392,878       |     |
| ACI WORLDWIDE INC COM STK SEDOL: 2889155                                      | Common Stock                                                                                                      |      | 172,370       |     |
| ADDUS HOMECARE CORP COM STK SEDOL: B55BN47                                    | Common Stock                                                                                                      |      | 334,724       |     |
| ADOBE INC COM SEDOL: 2008154                                                  | Common Stock                                                                                                      |      | 10,501,950    |     |
| ADR ARM HLDGS PLC SPONSORED ADR NEW SEDOL:<br>BN5P5P7                         | Common Stock                                                                                                      |      | 2,044,620     |     |
| ADVANCED ENERGY INDS INC COM SEDOL: 2049175                                   | Common Stock                                                                                                      |      | 367,278       |     |
| ADVANCED MICRO DEVICES INC COM SEDOL: 2007849                                 | Common Stock                                                                                                      |      | 16,354,845    |     |
| AFFIRM HLDGS INC CL A CL A SEDOL: BMF9NM8                                     | Common Stock                                                                                                      |      | 738,820       |     |
| AGILYSYS INC COM STK SEDOL: 2689162                                           | Common Stock                                                                                                      |      | 320,450       |     |
| AGIOS PHARMACEUTICALS INC COM SEDOL: BCBVTX1                                  | Common Stock                                                                                                      |      | 82,065        |     |
| AIRBNB INC CL A COM USD0.0001 CL A SEDOL:<br>BMGYH4                           | Common Stock                                                                                                      |      | 3,993,939     |     |
| AKERO THERAPEUTICS INC COM SEDOL: BK7Y2V9                                     | Common Stock                                                                                                      |      | 115,419       |     |
| ALBANY INTL CORP NEW CL A SEDOL: 2012757                                      | Common Stock                                                                                                      |      | 63,352        |     |
| ALECTOR INC COM SEDOL: BJ4LDC4                                                | Common Stock                                                                                                      |      | 16,351        |     |
| ALKERMES PLC SHS SEDOL: B3P6D26                                               | Common Stock                                                                                                      |      | 252,933       |     |
| ALLOGENE THERAPEUTICS INC COM SEDOL: BFZNYB7                                  | Common Stock                                                                                                      |      | 16,676        |     |
| ALPHA METALLURGICAL RES INC COM SEDOL:<br>BMFND53                             | Common Stock                                                                                                      |      | 430,767       |     |

|                                                              |              |            |
|--------------------------------------------------------------|--------------|------------|
| ALPHABET INC CAP STK USD0.001 CL C SEDOL:<br>BYY88Y7         | Common Stock | 13,267,150 |
| ALPHABET INC CAPITAL STOCK USD0.001 CL A SEDOL:<br>BYVY8G0   | Common Stock | 13,282,284 |
| AMAZON COM INC COM SEDOL: 2000019                            | Common Stock | 32,666,340 |
| AMERICAN TOWER CORP SEDOL: B7FBFL2                           | Common Stock | 5,147,011  |
| AMICUS THERAPEUTICS INC COM SEDOL: B19FQ48                   | Common Stock | 167,868    |
| AMN HEALTHCARE SVCS INC COM SEDOL: 2813552                   | Common Stock | 351,187    |
| AMPHASTAR PHARMACEUTICALS INC DEL COM<br>SEDOL: BNFWS4       | Common Stock | 362,936    |
| API GROUP CORPORATION COM USD0.0001 SEDOL:<br>BMBPH06        | Common Stock | 437,171    |
| APPFOLIO INC COM CL A COM CL A SEDOL: BYN7H48                | Common Stock | 331,408    |
| APPLE INC COM STK SEDOL: 2046251                             | Common Stock | 20,868,904 |
| ARCELLX INC COM SEDOL: BPCJ1Q2                               | Common Stock | 125,097    |
| ARRAY TECHNOLOGIES INC COM SEDOL: BLBLMD5                    | Common Stock | 166,236    |
| ARVINAS INC COM SEDOL: BDZ30Q0                               | Common Stock | 130,848    |
| ASBURY AUTOMOTIVE GROUP INC COM SEDOL:<br>2855855            | Common Stock | 315,633    |
| ASGN INCORPORATED COMN STK USD0.01 SEDOL:<br>BFY8W20         | Common Stock | 159,258    |
| ASPEN TECHNOLOGY INC COM USD0.10 SEDOL:<br>BP2V812           | Common Stock | 134,071    |
| ATI INC COM SEDOL: 2526117                                   | Common Stock | 569,193    |
| ATKORE INC SEDOL: BDHF495                                    | Common Stock | 609,920    |
| ATRICURE INC COM STK SEDOL: B0C8KV2                          | Common Stock | 118,277    |
| AVIDITY BIOSCIENCES INC COM SEDOL: BMWHPY1                   | Common Stock | 49,929     |
| AXALTA COATING SYSTEMS LTD COM USD1.00 SEDOL:<br>BSFWCF5     | Common Stock | 462,026    |
| AXCELIS TECHNOLOGIES INC COM NEW COM NEW<br>SEDOL: BD420Q8   | Common Stock | 546,125    |
| AZEK CO INC CL A CL A SEDOL: BKPVG43                         | Common Stock | 286,875    |
| A10 NETWORKS INC COM SEDOL: BKQVBN6                          | Common Stock | 130,633    |
| BALCHEM CORP COM SEDOL: 2072074                              | Common Stock | 184,301    |
| BANCORP INC DEL COM STK SEDOL: B05BRL7                       | Common Stock | 408,505    |
| BELLRING BRANDS INC COM USD0.01 SEDOL: BN70ZC0               | Common Stock | 628,576    |
| BIOHAVEN LTD COM NPV WI SEDOL: BPLZ7S5                       | Common Stock | 429,498    |
| BIOMEA FUSION INC COM SEDOL: BNKF6N0                         | Common Stock | 17,424     |
| BJS WHSL CLUB HLDGS INC COM SEDOL: BFNZNF8                   | Common Stock | 103,056    |
| BLACKBAUD INC COM SEDOL: 2458878                             | Common Stock | 289,751    |
| BLOOMIN BRANDS INC COM SEDOL: B847RJ0                        | Common Stock | 259,262    |
| BLUE OWL CAP INC COM CL A SEDOL: BN7CQS9                     | Common Stock | 305,793    |
| BLUEPRINT MEDICINES CORP COM SEDOL: BWY52P3                  | Common Stock | 581,573    |
| BOEING CO COM SEDOL: 2108601                                 | Common Stock | 4,261,791  |
| BOISE CASCADE CO COM SEDOL: B9BP4R1                          | Common Stock | 51,744     |
| BOOZ ALLEN HAMILTON HLDG CORP CL A COM STK<br>SEDOL: B5367T7 | Common Stock | 653,236    |
| BOX INC CL A CL A SEDOL: BVB3BV2                             | Common Stock | 346,683    |
| BOYD GAMING CORP COM SEDOL: 2117232                          | Common Stock | 559,608    |
| BRIDGEBIO PHARMA INC COM SEDOL: BK1KWG8                      | Common Stock | 226,072    |
| BRIGHT HORIZONS FA COM USD0.001 SEDOL: B7MJWP2               | Common Stock | 217,412    |
| BROADCOM INC COM SEDOL: BDZ78H9                              | Common Stock | 4,526,394  |
| BROADRIDGE FINL SOLUTIONS INC COM STK SEDOL:<br>B1VP7R6      | Common Stock | 287,639    |
| BRUKER CORP SEDOL: 2616137                                   | Common Stock | 189,578    |
| BRUNSWICK CORP COM SEDOL: 2149309                            | Common Stock | 238,876    |
| BUILDERS FIRSTSOURCE INC COM STK SEDOL:<br>B0BV2M7           | Common Stock | 519,851    |

|                                                                  |              |           |
|------------------------------------------------------------------|--------------|-----------|
| CACI INTL INC CL A CL A SEDOL: 2159267                           | Common Stock | 632,499   |
| CADENCE DESIGN SYS INC COM SEDOL: 2302232                        | Common Stock | 5,143,707 |
| CADRE HLDGS INC COM SEDOL: BKVKB49                               | Common Stock | 108,109   |
| CARVANA CO CL A CL A SEDOL: BYQHPG3                              | Common Stock | 285,029   |
| CASELLA WASTE SYS INC CL A COM STK SEDOL: 2120490                | Common Stock | 715,386   |
| CASEYS GEN STORES INC COM SEDOL: 2179414                         | Common Stock | 264,025   |
| CATALENT INC COM SEDOL: BP96PQ4                                  | Common Stock | 200,523   |
| CATALYST PHARMACEUTICALS INC COM STK USD0.001 STK SEDOL: B1G7Q03 | Common Stock | 179,733   |
| CAVA GROUP INC COM SEDOL: BRBD9F4                                | Common Stock | 56,648    |
| CAVCO INDS INC DEL COM STK SEDOL: 2787022                        | Common Stock | 331,715   |
| CBIZ INC COM SEDOL: 2730781                                      | Common Stock | 489,767   |
| CELSIUS HLDGS INC COM NEW COM NEW SEDOL: B19HX21                 | Common Stock | 168,140   |
| CENTRUSENERGYCORPCLACOM SEDOL: BQXKDH6                           | Common Stock | 153,926   |
| CEREVEL THERAPEUTICS HLDGS INC COM SEDOL: BMVMJ12                | Common Stock | 260,506   |
| CHAMPIONX CORPORATION COM USD0.D1 WI SEDOL: BMW7N69              | Common Stock | 255,033   |
| CHARLES RIV LABORATORIES INTL INC COM SEDOL: 2604336             | Common Stock | 340,416   |
| CHEMED CORP NEW COM SEDOL: 2190084                               | Common Stock | 127,476   |
| CHIPOTLE MEXICAN GRILL INC COM STK SEDOL: B0X7DZ3                | Common Stock | 2,760,361 |
| CHOICE HOTELS INTL INC COM SEDOL: 2106780                        | Common Stock | 352,250   |
| CHURCHILL DOWNS INC COM SEDOL: 2194105                           | Common Stock | 724,439   |
| CIRRUS LOGIC INC COM SEDOL: 2197308                              | Common Stock | 250,651   |
| CLEAN HBRS INC COM SEDOL: 2202473                                | Common Stock | 495,259   |
| COCA-COLA CONSOLIDATED INC COM SEDOL: 2206721                    | Common Stock | 608,102   |
| COGENT COMMUNICATIONS HLDGS INC SEDOL: B06RWD1                   | Common Stock | 257,083   |
| COMFORT SYS USA INC COM SEDOL: 2036047                           | Common Stock | 870,601   |
| CONMED CORP COM SEDOL: 2216010                                   | Common Stock | 294,144   |
| CORE & MAIN INC COM CL A COM CL A SEDOL: BNXKS92                 | Common Stock | 433,316   |
| CORVEL CORP COM SEDOL: 2347277                                   | Common Stock | 506,781   |
| COSTCO WHOLESALE CORP NEW COM SEDOL: 2701271                     | Common Stock | 8,363,874 |
| COTY INC COM CL A COM CL A SEDOL: BBBSMJ2                        | Common Stock | 248,711   |
| CRINETICS PHARMACEUTICALS INC COM SEDOL: BDD19F8                 | Common Stock | 90,836    |
| CRISPR THERAPEUTICS AG COM CHF0.03 SEDOL: BDHF4K6                | Common Stock | 341,358   |
| CROCS INC COM SEDOL: B0T7Z62                                     | Common Stock | 234,366   |
| CROWDSTRIKE HLDGS INC CL A CL A SEDOL: BJJP138                   | Common Stock | 5,746,998 |
| CSW INDUSTRIALS INC COM SEDOL: BYQD1J6                           | Common Stock | 405,279   |
| CURTISS WRIGHT CORP COM SEDOL: 2241205                           | Common Stock | 893,611   |
| CYTOKINETICS INC COMMON STOCK SEDOL: BBBSBJ5                     | Common Stock | 519,391   |
| DAY ONE BIOPHARMACEUTICALS INC COM USD0.0001 SEDOL: BLB0YH0      | Common Stock | 43,172    |
| DECKERS OUTDOOR CORP COM SEDOL: 2267278                          | Common Stock | 457,875   |
| DENALI THERAPEUTICS INC COM SEDOL: BD2B4V0                       | Common Stock | 68,801    |
| DICKS SPORTING GOODS INC OC-COM OC-COM SEDOL: 2969637            | Common Stock | 360,174   |
| DIODES INC COM SEDOL: 2270500                                    | Common Stock | 436,257   |
| DOMINOS PIZZA INC COM SEDOL: B01SD70                             | Common Stock | 463,347   |
| DOUBLEVERIFY HLDGS INC COM SEDOL: BMDX9Z7                        | Common Stock | 449,010   |
| DUOLINGO INC CL A COM CL A COM SEDOL: BMCM6P4                    | Common Stock | 322,581   |
| E L F BEAUTY INC COM SEDOL: BDDQ975                              | Common Stock | 610,270   |

|                                                             |              |            |
|-------------------------------------------------------------|--------------|------------|
| EAGLE MATLS INC COM SEDOL: 2191399                          | Common Stock | 664,504    |
| ELEMENT SOLUTION INC COM SEDOL: BJ1C2K1                     | Common Stock | 251,925    |
| ELI LILLY & CO COM SEDOL: 2516152                           | Common Stock | 15,750,498 |
| EMBECTA CORPORATION COM USD0.01 WI SEDOL: BMXWYR1           | Common Stock | 19,782     |
| EMCOR GROUP INC COM SEDOL: 2474164                          | Common Stock | 188,932    |
| ENDEAVOR GROUP HOLDINGS INC COM 0.00001 CL A SEDOL: BJQ05Y5 | Common Stock | 422,892    |
| ENSIGN GROUP INC COM STK SEDOL: B1YWPP8                     | Common Stock | 905,647    |
| ENTEGRIIS INC COM SEDOL: 2599700                            | Common Stock | 174,817    |
| EURONET WORLDWIDE INC COM SEDOL: 2320148                    | Common Stock | 288,638    |
| EVERI HLDGS INC COM SEDOL: BYV34V4                          | Common Stock | 50,681     |
| EVERTEC INC COM SEDOL: B7KY3Z6                              | Common Stock | 228,609    |
| EVOLENT HEALTH INC CL A CL A SEDOL: BYLY8H1                 | Common Stock | 179,584    |
| EXELIXIS INC COM STK SEDOL: 2576941                         | Common Stock | 492,419    |
| EXLSERVICE COM INC COM STK SEDOL: B07LST0                   | Common Stock | 627,983    |
| EXPONENT INC COM STK SEDOL: 2330318                         | Common Stock | 92,266     |
| EXPRO GROUP HOLDINGS N.V SEDOL: BCRY5H0                     | Common Stock | 95,759     |
| EXTREME NETWORKS INC COM SEDOL: 2407052                     | Common Stock | 107,128    |
| FABRINET COM USD0.01 SEDOL: B4JSZL8                         | Common Stock | 960,786    |
| FACTSET RESH SYS INC COM STK SEDOL: 2329770                 | Common Stock | 384,502    |
| FAIR ISAAC CORPORATION COM SEDOL: 2330299                   | Common Stock | 442,324    |
| FEDERAL SIGNAL CORP COM SEDOL: 2333986                      | Common Stock | 523,520    |
| FORMFACTOR INC COM STK SEDOL: 2891826                       | Common Stock | 184,942    |
| FORTINET INC COM SEDOL: B5B2106                             | Common Stock | 243,017    |
| FTI CONSULTING INC COM SEDOL: 2351449                       | Common Stock | 468,003    |
| GARTNER INC COM SEDOL: 2372763                              | Common Stock | 267,959    |
| GIBRALTAR INDS INC COM SEDOL: 2369226                       | Common Stock | 108,440    |
| GLOBUS MED INC CL A NEW COM STK SEDOL: B7D65M0              | Common Stock | 428,398    |
| GOLDMAN SACHS GROUP INC COM SEDOL: 2407966                  | Common Stock | 3,449,555  |
| GRAND CANYON ED INC COM STK SEDOL: B3F1XM1                  | Common Stock | 485,775    |
| GRAPHIC PACKAGING HLDG CO COM STK SEDOL: B2Q8249            | Common Stock | 230,872    |
| GREEN BRICK PARTNERS INC COM SEDOL: BS7T2R6                 | Common Stock | 235,080    |
| GUARDANT HEALTH INC COM SEDOL: BFXC911                      | Common Stock | 184,914    |
| GXO LOGISTICS INC COM SEDOL: BNNTGF1                        | Common Stock | 483,103    |
| HAEMONETICS CORP MASS COM SEDOL: 2401195                    | Common Stock | 295,779    |
| HALOZYME THERAPEUTICS INC COM SEDOL: 2975098                | Common Stock | 483,289    |
| HAMILTON LANE INC CL A CL A SEDOL: BF0SR29                  | Common Stock | 351,664    |
| HERC HLDGS INC COM SEDOL: BZBZ020                           | Common Stock | 181,050    |
| HILTON GRAND VACATIONS INC COM SEDOL: BYSLHX4               | Common Stock | 185,672    |
| HOME DEPOT INC COM SEDOL: 2434209                           | Common Stock | 7,946,392  |
| HUBSPOT INC COM SEDOL: BR4T3B3                              | Common Stock | 2,264,106  |
| IDEAYA BIOSCIENCES INC COM SEDOL: BK0VHF6                   | Common Stock | 99,268     |
| IGM BIOSCIENCES INC COM SEDOL: BKS3SM8                      | Common Stock | 14,094     |
| IMMUNOVANT INC COM SEDOL: BJRFSB7                           | Common Stock | 109,959    |
| INARI MED INC COM SEDOL: BLDRF10                            | Common Stock | 209,886    |
| INFORMATICA INC CL A CL A SEDOL: BMG95P4                    | Common Stock | 311,438    |
| INSMED INC COM PAR \$.01 SEDOL: 2614487                     | Common Stock | 452,609    |
| INSPERITY INC COM SEDOL: 2007281                            | Common Stock | 387,178    |
| INSPIRE MED SYS INC COM SEDOL: BDTSKTS                      | Common Stock | 318,571    |
| INTELLIA THERAPEUTICS INC COM SEDOL: BYZM6C2                | Common Stock | 127,692    |
| INTER PARFUMS INC COM SEDOL: 2473150                        | Common Stock | 446,719    |
| INTRA-CELLULAR THERAPIES INC COM SEDOL: BHCBO4              | Common Stock | 460,230    |

|                                                             |              |            |
|-------------------------------------------------------------|--------------|------------|
| INTUITIVE SURGICAL INC COM NEW STK SEDOL:<br>2871301        | Common Stock | 7,646,602  |
| JONIS PHARMACEUTICALS INC COM SEDOL: BDJ0LS6                | Common Stock | 414,686    |
| IOVANCE BIOTHERAPEUTICS INC COM SEDOL:<br>BF0DMK7           | Common Stock | 94,251     |
| IRHYTHM TECHNOLOGIES INC COM SEDOL: BYT4ST5                 | Common Stock | 228,959    |
| IRIDIUM COMMUNICATIONS INC COM STK SEDOL:<br>B2QH310        | Common Stock | 470,582    |
| IVANHOE ELEC INC COM SEDOL: BPF0KH6                         | Common Stock | 81,245     |
| JOHN BEAN TECHNOLOGIES CORP COM STK SEDOL:<br>B3BRJZ8       | Common Stock | 320,627    |
| KADANT INC COM SEDOL: 2769978                               | Common Stock | 607,432    |
| KARUNA THERAPEUTICS INC COM SEDOL: BJMLSD2                  | Common Stock | 698,538    |
| KEYSIGHT TECHNOLOGIES INC COM SEDOL: BQZJ0Q9                | Common Stock | 2,148,351  |
| KINSALE CAP GROUP INC COM SEDOL: BD1MGQ3                    | Common Stock | 227,404    |
| KOSMOS ENERGY LTD COM USD0.01 SEDOL: BHK15K6                | Common Stock | 194,187    |
| KRYSTAL BIOTECH INC COM SEDOL: BD6JX35                      | Common Stock | 131,007    |
| KULICKE & SOFFA INDS INC COM SEDOL: 2498001                 | Common Stock | 295,105    |
| KYMERA THERAPEUTICS INC COM SEDOL: BMPRZV5                  | Common Stock | 115,436    |
| LANDSTAR SYS INC COM SEDOL: 2503994                         | Common Stock | 408,214    |
| LANTHEUS HLDGS INC COM SEDOL: BP8S8J5                       | Common Stock | 458,924    |
| LATTICE SEMICONDUCTOR CORP COM SEDOL: 2506658               | Common Stock | 428,635    |
| LCI INDUSTRIES COM SEDOL: BYQ44Y5                           | Common Stock | 64,364     |
| LEONARDO DRS INC COM SEDOL: BNSNZQ2                         | Common Stock | 382,243    |
| LIGHT & WONDER INC COM USD0.001 SEDOL: 2919290              | Common Stock | 262,752    |
| LINCOLN ELEC HLDGS INC COM SEDOL: 2516851                   | Common Stock | 489,285    |
| LITTELFUSE INC COM SEDOL: 2531832                           | Common Stock | 214,316    |
| LOUISIANA-PACIFIC CORP COM SEDOL: 2535243                   | Common Stock | 428,805    |
| LPL FINL HLDGS INC COM SEDOL: B75JX34                       | Common Stock | 196,891    |
| LULULEMON ATHLETICA INC COM SEDOL: B23FN39                  | Common Stock | 7,955,672  |
| MADRIGAL PHARMACEUTICALS INC COM SEDOL:<br>BD59BS7          | Common Stock | 109,906    |
| MANHATTAN ASSOCS INC COM SEDOL: 2239471                     | Common Stock | 442,052    |
| MARATHON DIGITAL HOLDINGS INC COM USO SEDOL:<br>BLR7B52     | Common Stock | 160,272    |
| MARKETAXESS HLDGS INC COM STK SEDOL: B03O9D0                | Common Stock | 309,835    |
| MARRIOTT INTL INC NEW COM STK CL A SEDOL:<br>2210614        | Common Stock | 4,394,288  |
| MASTERCARD INC CL A SEDOL: B121557                          | Common Stock | 11,859,537 |
| MATADOR RES CO COM SEDOL: B7MSLL8                           | Common Stock | 481,775    |
| MATTEL INC COM STOCK 1.00 PAR SEDOL: 2572303                | Common Stock | 408,601    |
| MAXLINEAR INC COMMON STOCK SEDOL: B3RDWC8                   | Common Stock | 150,749    |
| MCGRATH RENTCORP COM SEDOL: 2551551                         | Common Stock | 137,085    |
| MEDPACE HLDGS INC COM SEDOL: BDCBC61                        | Common Stock | 708,084    |
| MERCADOLIBRE INC COM STK SEDOL: B23X1H3                     | Common Stock | 5,666,973  |
| MERIT MED SYS INC COM SEDOL: 2580555                        | Common Stock | 554,736    |
| META PLATFORMS INC COM USD0.000006 CL 'A' SEDOL:<br>B7TL820 | Common Stock | 15,173,911 |
| MICROSOFT CORP COM SEDOL: 2588173                           | Common Stock | 29,886,907 |
| MICROSTRATEGY INC CL A NEW CL A NEW SEDOL:<br>2974329       | Common Stock | 347,391    |
| MKS INSTRS INC COM SEDOL: 2404871                           | Common Stock | 145,973    |
| MOLINA HEALTHCARE INC COM SEDOL: 2212706                    | Common Stock | 719,007    |
| MONGODB INC CL A CL A SEDOL: BF2FJ99                        | Common Stock | 4,801,126  |
| MONOLITHIC PWR SYS INC COM SEDOL: B01Z7J1                   | Common Stock | 327,375    |
| MONTE ROSA THERAPEUTICS INC COM SEDOL:<br>BP7KZP7           | Common Stock | 4,520      |
| MOODY'S CORP COM SEDOL: 2252058                             | Common Stock | 4,509,406  |

|                                                        |              |            |
|--------------------------------------------------------|--------------|------------|
| MOOG INC CLA SEDOL: 2601218                            | Common Stock | 90,053     |
| MOONLAKE IMMUNOTHERAPEUTICS COM SEDOL: BNG3F86         | Common Stock | 54,351     |
| MORPHIC HLDG INC COM SEDOL: BK8M4J4                    | Common Stock | 72,547     |
| MSA SAFETY INC COM SEDOL: BKM4S16                      | Common Stock | 335,803    |
| MURPHY USA INC COM SEDOL: BCZWJ63                      | Common Stock | 773,022    |
| NATERA INC COM SEDOL: BYQRG48                          | Common Stock | 286,766    |
| NCR ATLEOS CORPORATION COM USD0.01 WI SEDOL: BRRD6G8   | Common Stock | 60,895     |
| NCR VOYIX CORP COM STK USD0.01 SEDOL: 2632650          | Common Stock | 101,697    |
| NEOGENOMICS INC COM NEW COM NEW SEDOL: 2598246         | Common Stock | 98,601     |
| NETFLIX INC COM STK SEDOL: 2857817                     | Common Stock | 9,718,612  |
| NEUROCRINE BIOSCIENCES INC COM SEDOL: 2623911          | Common Stock | 288,291    |
| NEXSTAR MEDIA GROUP INC COMMON STOCK SEDOL: 2949758    | Common Stock | 574,959    |
| NIKE INC CL B SEDOL: 2640147                           | Common Stock | 5,339,690  |
| NOBLE CORPORATION PLC COM SEDOL: BN2QTL0               | Common Stock | 344,633    |
| NOVANTA INC NOVANTA INC SEDOL: BD8S5H8                 | Common Stock | 702,775    |
| NURIX THERAPEUTICS INC COM SEDOL: BMVLGP2              | Common Stock | 20,010     |
| NUTANIX INC CL A CL A SEDOL: BYQBFTB                   | Common Stock | 746,301    |
| NUVALENT INC CL A CL A SEDOL: BMVBZD3                  | Common Stock | 123,337    |
| NVIDIA CORP COM SEDOL: 2379504                         | Common Stock | 25,996,574 |
| NV5 GLOBAL INC COMMON STOCK SEDOL: BF5ZVB2             | Common Stock | 130,899    |
| O REILLY AUTOMOTIVE INC NEW COM USD0.01 SEDOL: B65LWX6 | Common Stock | 3,744,265  |
| OLIN CORP COM SEDOL: 2658526                           | Common Stock | 383,800    |
| OMNICELL INC COM SEDOL: 2789523                        | Common Stock | 103,332    |
| ONTO INNOVATION INC SEDOL: BKZ7N95                     | Common Stock | 774,133    |
| OPTION CARE HEALTH INC COM NEW COM NEW SEDOL: BKM5C62  | Common Stock | 543,723    |
| ORMAT TECHNOLOGIES INC COM SEDOL: B03L311              | Common Stock | 270,722    |
| PACIRA BIOSCIENCES INC COM USD0.001 SEDOL: B3X26D8     | Common Stock | 110,870    |
| PALO ALTO NETWORKS INC COM USD0.0001 SEDOL: B87ZMX0    | Common Stock | 1,260,317  |
| PALOMAR HLDGS INC COM SEDOL: BJYLZK6                   | Common Stock | 76,590     |
| PATRICK INDS INC COM SEDOL: 2673154                    | Common Stock | 202,005    |
| PAYLOCITY HLDG CORP COM SEDOL: BKM4N88                 | Common Stock | 169,466    |
| PAYONEER GLOBAL INC COM SEDOL: BLSPX60                 | Common Stock | 187,550    |
| PBF ENERGY INC CL A CL A SEDOL: B7F4TJ7                | Common Stock | 36,091     |
| PENSKE AUTOMOTIVE GROUP INC COM STK SEDOL: 2943523     | Common Stock | 277,040    |
| PENUMBRA INC COM SEDOL: BZ0V201                        | Common Stock | 316,186    |
| PERFICIENT INC COM STK SEDOL: 2442547                  | Common Stock | 239,190    |
| PERFORMANCE FOOD GROUP CO COM SEDOL: BYVYD43           | Common Stock | 410,336    |
| PHOTRONICS INC COM SEDOL: 2687315                      | Common Stock | 40,781     |
| PLIANT THERAPEUTICS INC COM SEDOL: BMDWW66             | Common Stock | 45,728     |
| POOL CORP COM STK SEDOL: 2781585                       | Common Stock | 438,182    |
| POST HLDGS INC COM STK SEDOL: B6T0518                  | Common Stock | 429,469    |
| POWER INTEGRATIONS INC COM SEDOL: 2133045              | Common Stock | 322,446    |
| POWERSCHOOL HLDGS INC CL A COM CL A COM SEDOL: BMGTPK9 | Common Stock | 259,184    |
| PRESTIGE CONSUMER HEALTHCARE INC COM SEDOL: B0650P3    | Common Stock | 203,618    |
| PRIMERICA INC COM SEDOL: B50K3X8                       | Common Stock | 532,507    |
| PROCEPT BIOROBOTICS CORP COM SEDOL: BMYRFV6            | Common Stock | 211,394    |

|                                                                   |              |            |
|-------------------------------------------------------------------|--------------|------------|
| PROTHENA CORP PLC USD0.01 SEDOL: B91XRN2                          | Common Stock | 188,241    |
| PTC INC COM SEDOL: B95N910                                        | Common Stock | 486,039    |
| PTC THERAPEUTICS INC COM SEDOL: B17VCN9                           | Common Stock | 157,064    |
| PURE STORAGE INC CL A CL A SEDOL: BYZ62T3                         | Common Stock | 36,908     |
| PVTPL CYMABAY THERAPEUTICS INC COM SEDOL: BJ3WDH3                 | Common Stock | 125,351    |
| QUAKER CHEM CORP COM SEDOL: 2715186                               | Common Stock | 160,065    |
| QUALYS INC COM USD0.001 SEDOL: B7XJTN8                            | Common Stock | 694,831    |
| RAMBUS INC DEL COM SEDOL: 2721967                                 | Common Stock | 588,588    |
| RANGE RES CORP COM SEDOL: 2523334                                 | Common Stock | 107,057    |
| RAPID? INC COM SEDOL: BZ22CY6                                     | Common Stock | 267,742    |
| RBC BEARINGS INC COM SEDOL: B0GLYB5                               | Common Stock | 617,642    |
| RED ROCK RESORTS INC CL A CL A SEDOL: BYY9947                     | Common Stock | 181,322    |
| RELAY THERAPEUTICS INC COM SEDOL: BN2R582                         | Common Stock | 88,575     |
| REPLIGEN CORP COM STK USD0.01 SEDOL: 2731654                      | Common Stock | 438,892    |
| REPLIMUNE GROUP INC COM SEDOL: BDDVW37                            | Common Stock | 49,981     |
| REVOLUTION MEDICINES INC COM SEDOL: BL71K91                       | Common Stock | 81,250     |
| RHYTHM PHARMACEUTICALS INC COM SEDOL: BF2YWG4                     | Common Stock | 113,040    |
| RIOT PLATFORMS INC COM NPV SEDOL: BD9F675                         | Common Stock | 83,538     |
| RLI CORP COM SEDOL: 2719070                                       | Common Stock | 283,412    |
| ROCKET PHARMACEUTICALS INC COM USD0.01 SEDOL: BDFKQ07             | Common Stock | 110,979    |
| RYAN SPECIALTY HOLDINGS INC CL A COM USD0.001 CL A SEDOL: BNXKSK3 | Common Stock | 375,177    |
| SAIA INC COM STK SEDOL: 2982399                                   | Common Stock | 721,748    |
| SALESFORCE INC COM USD0.001 SEDOL: 2310525                        | Common Stock | 10,511,917 |
| SCHOLAR ROCK HLDG CORP COM SEDOL: BFZQ0L8                         | Common Stock | 85,521     |
| SELECTIVE INS GROUP INC COM SEDOL: 2766173                        | Common Stock | 368,872    |
| SERVICENOW INC COM USD0.001 SEDOL: B80NXX8                        | Common Stock | 7,959,316  |
| SERVISFIRST BANCSHARES INC COMMON STOCK SEDOL: BMH0MP5            | Common Stock | 229,207    |
| SHIFT4 PMTS INC CL A CL A SEDOL: BLF0L75                          | Common Stock | 485,515    |
| SHOCKWAVE MED INC COM SEDOL: BHLNZ89                              | Common Stock | 171,504    |
| SIMPLY GOOD FOODS CO COM SEDOL: BF27XF9                           | Common Stock | 233,482    |
| SIMPSON MFG INC COM SEDOL: 2809250                                | Common Stock | 683,427    |
| SITEONE LANDSCAPE SUPPLY INC COM SEDOL: BYQ7X81                   | Common Stock | 421,850    |
| SKYLINE CHAMPION CORPORATION COM USD0.0277 SEDOL: 2814005         | Common Stock | 338,997    |
| SM ENERGY CO COM SEDOL: 2764188                                   | Common Stock | 196,620    |
| SMARTSHEET INC CL A CL A SEDOL: BFZND98                           | Common Stock | 426,650    |
| SNOWFLAKE INC CL A CL A SEDOL: BN134B7                            | Common Stock | 5,862,341  |
| SOUTHWESTERN ENERGY CO COM SEDOL: 2828619                         | Common Stock | 328,345    |
| SPRINGWORKS THERAPEUTICS INC COM SEDOL: BGMGM89                   | Common Stock | 64,715     |
| SPS COMM INC COM SEDOL: B57VWJ3                                   | Common Stock | 794,938    |
| SPX TECHNOLOGIES INC COM SEDOL: BQ1L7V3                           | Common Stock | 527,575    |
| STERIS PLC ORD USD0.001 SEDOL: BFY8C75                            | Common Stock | 410,020    |
| STONEX GROUP INC COM SEDOL: BMQ8XV3                               | Common Stock | 305,952    |
| SUPER MICRO COMPUTER INC COM SEDOL: B1VQR35                       | Common Stock | 79,877     |
| SUPERNUS PHARMACEUTICALS INC COM STK SEDOL: B72ZBG4               | Common Stock | 122,387    |
| SYMBOTIC INC COM USD0.0001 CLASS A SEDOL: BPG82M8                 | Common Stock | 176,113    |
| TECHNIPFMC PLC COM USD1 SEDOL: BDSFG98                            | Common Stock | 216,142    |
| TELEDYNE TECHNOLOGIES INC COM SEDOL: 2503477                      | Common Stock | 318,651    |

|                                                        |              |              |            |
|--------------------------------------------------------|--------------|--------------|------------|
| TEMPUR SEALY INTL INC<br>2216991                       | COM SEDOL:   | Common Stock | 582,740    |
| TENABLE HLDGS INC COM SEDOL: BF?J7N6                   |              | Common Stock | 388,240    |
| TENET HEALTHCARE CORP COM NEW. SEDOL:<br>B8DMK08       |              | Common Stock | 522,113    |
| TERADATA CORP DEL COM STK SEDOL: B247H10               |              | Common Stock | 223,511    |
| TESLA INC COM USD0.001 SEDOL: B616C79                  |              | Common Stock | 15,005,210 |
| TEXAS ROADHOUSE INC COMMON STOCK SEDOL:<br>B033TJ7     |              | Common Stock | 744,503    |
| THE TRADE DESK INC COM CL A COM CL A SEDOL:<br>BD8FDD1 |              | Common Stock | 4,058,112  |
| THRYV HLDGS INC COM NEW COM NEW SEDOL:<br>BMCWYS       |              | Common Stock | 80,179     |
| TIDEWATER INC NEW COM SEDOL: BDFGDQ0                   |              | Common Stock | 310,073    |
| TJX COS INC COM NEW SEDOL: 2989301                     |              | Common Stock | 4,958,328  |
| TKO GROUP HLDGS INC COM SEDOL: BQBBFD1                 |              | Common Stock | 277,209    |
| TOPBUILD CORP COM SEDOL: BZ0P3W2                       |              | Common Stock | 1,021,730  |
| TORO CO COM SEDOL: 2897040                             |              | Common Stock | 164,527    |
| TRANSMEDICS GROUP INC COM SEDOL: BK6TM04               |              | Common Stock | 200,640    |
| TRANSOCEAN LTD SEDOL: B3KFWW1                          |              | Common Stock | 152,127    |
| TRAVEL+ LEISURE CO COM USD0.01 SEDOL: BMXYT16          |              | Common Stock | 111,367    |
| TYLER TECHNOLOGIES INC COM STK SEDOL: 2909644          |              | Common Stock | 405,158    |
| UBER TECHNOLOGIES INC COM USD0.00001 SEDOL:<br>BK6N347 |              | Common Stock | 8,540,436  |
| UFP INDUSTRIES INC COM USD1.00 SEDOL: BMQ60Q1          |              | Common Stock | 788,956    |
| ULTRAGENYX PHARMACEUTICAL INC COM SEDOL:<br>BJ62Z18    |              | Common Stock | 199,553    |
| UNITED PARKS & RESORTS INC SEDOL: B84KWJ4              |              | Common Stock | 108,354    |
| UNITEDHEALTH GROUP INC COM SEDOL: 2917766              |              | Common Stock | 7,270,551  |
| VALVOLINE INC COM SEDOL: BDG22J3                       |              | Common Stock | 160,091    |
| VAXCYTE INC COM SEDOL: BKPVG6                          |              | Common Stock | 211,824    |
| VERRA MOBILITY CORP SEDOL: BFXX5X8                     |              | Common Stock | 314,244    |
| VERTEX PHARMACEUTICALS INC COM SEDOL: 2931034          |              | Common Stock | 5,973,145  |
| VERTIV HOLDINGS LLC COM USD0.0001 SEDOL:<br>BL3LWS8    |              | Common Stock | 473,864    |
| VISA INC COM CL A STK SEDOL: B2PZN04                   |              | Common Stock | 12,885,503 |
| VISTEON CORP COM NEW COM NEW SEDOL: B4N0JJ6            |              | Common Stock | 273,031    |
| VONTIER CORP COM USD0.0001 WI SEDOL: BH4GV32           |              | Common Stock | 428,316    |
| WATSCO INC COM SEDOL: 2943039                          |              | Common Stock | 319,210    |
| WATTS WTR TECHNOLOGIES INC SEDOL: 2943620              |              | Common Stock | 647,937    |
| WEATHERFORDINTLLTD<br>SEDOL: BLNN369                   | COMMON STOCK | Common Stock | 917,841    |
| WENDYS CO COM SEDOL: B3NXMJ9                           |              | Common Stock | 273,869    |
| WEST PHARMACEUTICAL SVCS INC COM SEDOL:<br>2950482     |              | Common Stock | 419,375    |
| WILLSCOT MOBILE MINI HLDGS CORP COM SEDOL:<br>BMHL0Z4  |              | Common Stock | 352,084    |
| WINGSTOP INC COM SEDOL: BYYXHN4                        |              | Common Stock | 692,509    |
| WOODWARD INC COM SEDOL: 2948089                        |              | Common Stock | 538,939    |
| WORKIVA INC COM CL A COM CL A SEDOL: BSS6HY8           |              | Common Stock | 287,838    |
| XENCOR INC COM SEDOL: BGCYWN8                          |              | Common Stock | 125,406    |
| XPO INC COM SEDOL: B6Z1355                             |              | Common Stock | 605,422    |
| ZEBRA TECHNOLOGIES CORP CL A SEDOL: 2989356            |              | Common Stock | 88,559     |
| ZENTALIS PHARMACEUTICALS INC COM SEDOL:<br>BMQ5T49     |              | Common Stock | 31,209     |
| 10X GENOMICS INC CL A CL A SEDOL: BKS3RS7              |              | Common Stock | 347,624    |
| EQTY LIFESTYLE PPTYS INC REIT                          |              | Common Stock | 195,960    |
| LIFE STORAGE INC COM                                   |              | Common Stock | —          |

|                                                                                   |                                                  |                         |
|-----------------------------------------------------------------------------------|--------------------------------------------------|-------------------------|
| REXFORD INDL RLTY INC COM                                                         | Common Stock                                     | 176,939                 |
| RYMAN HOSPITALITY PTYS INC COM                                                    | Common Stock                                     | —                       |
| TERRENO RLTY CORP                                                                 | Common Stock                                     | 129,664                 |
| 1ST INDL RLTY TR INC COM                                                          | Common Stock                                     | 285,155                 |
| Nextera JV                                                                        | Common Stock                                     |                         |
|                                                                                   |                                                  | 633,384,780             |
| Mutual Funds:                                                                     |                                                  |                         |
| Dodge & Cox International Stock Fund                                              | International Fund                               | 170,933,420             |
| Victory Sycamore Small Company Opportunity Fund                                   | Small U.S. Equity Fund                           | 117,429,322             |
|                                                                                   |                                                  | 288,362,742             |
| Collective Trust Funds:                                                           |                                                  |                         |
| <u>BlackRock MSCI ACWI ex-U.S. IMI Index Fund</u>                                 | Large U.S. Equity Fund                           | 151,149,615             |
| * BlackRock LifePath Index 2025 NL CIT Fund                                       | Target Maturity Fund                             | 92,447,389              |
| * BlackRock LifePath Index 2030 NL CIT Fund                                       | Target Maturity Fund                             | 125,987,877             |
| * BlackRock LifePath Index 2035 NL CIT Fund                                       | Target Maturity Fund                             | 120,360,524             |
| * BlackRock LifePath Index 2040 NL CIT Fund                                       | Target Maturity Fund                             | 115,950,104             |
| * BlackRock LifePath Index 2045 NL CIT Fund                                       | Target Maturity Fund                             | 124,573,782             |
| * BlackRock LifePath Index 2050 NL CIT Fund                                       | Target Maturity Fund                             | 98,308,377              |
| * BlackRock LifePath Index 2055 NL CIT Fund                                       | Target Maturity Fund                             | 76,008,928              |
| * BlackRock LifePath Index 2060 NL CIT Fund                                       | Target Maturity Fund                             | 43,197,739              |
| * BlackRock LifePath Index 2065 NL CIT Fund                                       | Target Maturity Fund                             | 13,879,467              |
| * BlackRock LifePath Index Retirement NL CIT Fund                                 | Target Maturity Fund                             | 63,464,667              |
| BlackRock Equity Index Fund                                                       | Large U.S. Equity Index Fund                     | 655,392,766             |
| BlackRock Extended Equity Market Fund                                             | Mid-Cap Growth Fund                              | 211,438,445             |
| BlackRock U.S. Debt Index Fund                                                    | Domestic Fixed Income/<br>Intermediate Core Bond | 96,897,205              |
| Boston Partners Large Cap Value Equity Fund                                       | Large U.S. Equity Fund                           | 236,145,783             |
| Harding Loevner International Equity Collective Fund                              | International Fund                               | 45,717,346              |
| * KeyBank EB MaGIC Fund                                                           | Stable Value Fund                                | 153,474,422             |
| PIMCO Diversified Real Asset CIT                                                  | Large U.S. Equity Fund                           | 24,508,249              |
| PIMCO SER International Institutional                                             | International Institutional Fund                 | 9,775,811               |
| PIMCO SER Investment Grade Portfolio                                              | Bond fund                                        | 38,815,669              |
| PIMCO SER PVT Municipal Portfolio                                                 | Municipal Value Fund                             | 1,866,937               |
| PIMCO SER Real Return Portfolio                                                   | Bond fund                                        | 5,835,155               |
| PIMCO SER U S Government Sector Portfolio                                         | Government Mutual Fund                           | 29,451,737              |
| PIMCO PAPS Emerging Markets Portfolio Institutional                               | Emerging Markets Institutional<br>Fund           | 6,748,235               |
| PIMCO High Yield Portfolio Fund                                                   | High Yield Fund                                  | 1,678,765               |
| PIMCO PAPS Asset Backed Securities                                                | Asset-Backed Fund                                | 24,300,992              |
| PIMCO PAPS Mortgage Portfolio Institutional                                       | Mortgage-Backed Institutional<br>Fund            | 21,865,917              |
| PIMCO Short Term Floating Nav II                                                  | Fixed Income Fund                                | 11,023,016              |
|                                                                                   |                                                  | 2,600,264,919           |
| Money Market Funds:                                                               |                                                  |                         |
| Federated Government Obligations Fund                                             | Money Market Fund                                | 145,328,813             |
| Collective U.S. Government STIF                                                   | Money Market Fund                                | 6,920,842               |
|                                                                                   |                                                  | 152,249,655             |
| Total assets held for investment purposes                                         |                                                  | 3,674,262,096           |
| * Loans to participants (interest rates from 4.25%-9.50% with various maturities) |                                                  | 52,790,390              |
| Total assets held for investment purposes                                         |                                                  | <u>\$ 3,727,052,486</u> |

\* Party-in-interest to the Plan.

SCHEDULE OF ASSETS (HELD AT END OF YEAR)

Form 5500, Schedule H, Part IV, Line 4i

KeyCorp  
401(k) Savings Plan

EIN 34-6542451  
Plan Number 002

December 31, 2023

| (a)                                                                           | (b)                                                                                                               | (c)  | (d)           | (e) |
|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|------|---------------|-----|
| Identity of Issuer, Borrower, Lessor, or Similar Party                        | Description of Investment,<br>Including Maturity Date, Rate<br>of Interest, Collateral, Par, or<br>Maturity Value | Cost | Current Value |     |
| Equity Securities:                                                            |                                                                                                                   |      |               |     |
| * KeyCorp common stock                                                        | Common Stock                                                                                                      | \$   | 108,108,014   |     |
| CANADA - DESCARTES SYS GROUP INC COM SEDOL:<br>2528834                        | Common Stock                                                                                                      |      | 678,785       |     |
| DENMARK - ADR NOVO-NORDISK A S ADR SEDOL:<br>2651202                          | Common Stock                                                                                                      |      | 8,420,002     |     |
| FRANCE - L'OREAL EUR0.20 SEDOL: 4057808                                       | Common Stock                                                                                                      |      | 4,443,955     |     |
| FRANCE - LVMH MOET HENNESSY EUR0.30 SEDOL:<br>4061412                         | Common Stock                                                                                                      |      | 3,254,451     |     |
| ISRAEL - SAPIENS INTERNATIONAL CORP NV COM<br>EUR0.01 SEDOL: BFYZGX0          | Common Stock                                                                                                      |      | 247,986       |     |
| NETHERLANDS - ADR ASML HLDG NV NY REG 2012<br>(POST REV SPLIT) SEDOL: B908F01 | Common Stock                                                                                                      |      | 3,813,362     |     |
| UK - ADR ASTRAZENECA PLC SPONSORED ADR<br>UNITEDKINGDOM SEDOL: 2989044        | Common Stock                                                                                                      |      | 4,990,971     |     |
| UK - ADR RENTOKIL INITIAL PLC SPONSORED ADR<br>SEDOL: 2738172                 | Common Stock                                                                                                      |      | 249,536       |     |
| #REORG IMMUNOGEN INC MERGER 02-12-2024 SEDOL:<br>2457864                      | Common Stock                                                                                                      |      | 274,885       |     |
| AAON INC COM PAR \$0.004 COM PAR \$0.004 SEDOL:<br>2268130                    | Common Stock                                                                                                      |      | 369,941       |     |
| ABERCROMBIE & FITCH CO CL A CL A SEDOL: 2004185                               | Common Stock                                                                                                      |      | 247,016       |     |
| ACADEMY SPORTS & OUTDOORS INC COM SEDOL:<br>BN7K304                           | Common Stock                                                                                                      |      | 263,736       |     |
| ACADIA PHARMACEUTICALS INC COM SEDOL: 2713317                                 | Common Stock                                                                                                      |      | 392,878       |     |
| ACI WORLDWIDE INC COM STK SEDOL: 2889155                                      | Common Stock                                                                                                      |      | 172,370       |     |
| ADDUS HOMECARE CORP COM STK SEDOL: B55BN47                                    | Common Stock                                                                                                      |      | 334,724       |     |
| ADOBE INC COM SEDOL: 2008154                                                  | Common Stock                                                                                                      |      | 10,501,950    |     |
| ADR ARM HLDGS PLC SPONSORED ADR NEW SEDOL:<br>BNSP5P7                         | Common Stock                                                                                                      |      | 2,044,620     |     |
| ADVANCED ENERGY INDS INC COM SEDOL: 2049175                                   | Common Stock                                                                                                      |      | 367,278       |     |
| ADVANCED MICRO DEVICES INC COM SEDOL: 2007849                                 | Common Stock                                                                                                      |      | 16,354,845    |     |
| AFFIRM HLDGS INC CL A CL A SEDOL: BMF9NM8                                     | Common Stock                                                                                                      |      | 738,820       |     |
| AGILYSYS INC COM STK SEDOL: 2689162                                           | Common Stock                                                                                                      |      | 320,450       |     |
| AGIOS PHARMACEUTICALS INC COM SEDOL: BCBVTX1                                  | Common Stock                                                                                                      |      | 82,065        |     |
| AIRBNB INC CL A COM USD0.0001 CL A SEDOL:<br>BMGYH4                           | Common Stock                                                                                                      |      | 3,993,939     |     |
| AKERO THERAPEUTICS INC COM SEDOL: BK7Y2V9                                     | Common Stock                                                                                                      |      | 115,419       |     |
| ALBANY INTL CORP NEW CL A SEDOL: 2012757                                      | Common Stock                                                                                                      |      | 63,352        |     |
| ALECTOR INC COM SEDOL: BJ4LDC4                                                | Common Stock                                                                                                      |      | 16,351        |     |
| ALKERMES PLC SHS SEDOL: B3P6D26                                               | Common Stock                                                                                                      |      | 252,933       |     |
| ALLOGENE THERAPEUTICS INC COM SEDOL: BFZNYB7                                  | Common Stock                                                                                                      |      | 16,676        |     |
| ALPHA METALLURGICAL RES INC COM SEDOL:<br>BMFND53                             | Common Stock                                                                                                      |      | 430,767       |     |

|                                                              |              |            |
|--------------------------------------------------------------|--------------|------------|
| ALPHABET INC CAP STK USD0.001 CL C SEDOL:<br>BYY88Y7         | Common Stock | 13,267,150 |
| ALPHABET INC CAPITAL STOCK USD0.001 CL A SEDOL:<br>BYVY8G0   | Common Stock | 13,282,284 |
| AMAZON COM INC COM SEDOL: 2000019                            | Common Stock | 32,666,340 |
| AMERICAN TOWER CORP SEDOL: B7FBFL2                           | Common Stock | 5,147,011  |
| AMICUS THERAPEUTICS INC COM SEDOL: B19FQ48                   | Common Stock | 167,868    |
| AMN HEALTHCARE SVCS INC COM SEDOL: 2813552                   | Common Stock | 351,187    |
| AMPHASTAR PHARMACEUTICALS INC DEL COM<br>SEDOL: BNFWS4       | Common Stock | 362,936    |
| API GROUP CORPORATION COM USD0.0001 SEDOL:<br>BMBPH06        | Common Stock | 437,171    |
| APPFOLIO INC COM CL A COM CL A SEDOL: BYN7H48                | Common Stock | 331,408    |
| APPLE INC COM STK SEDOL: 2046251                             | Common Stock | 20,868,904 |
| ARCELLX INC COM SEDOL: BPCJ1Q2                               | Common Stock | 125,097    |
| ARRAY TECHNOLOGIES INC COM SEDOL: BLBLMD5                    | Common Stock | 166,236    |
| ARVINAS INC COM SEDOL: BDZ30Q0                               | Common Stock | 130,848    |
| ASBURY AUTOMOTIVE GROUP INC COM SEDOL:<br>2855855            | Common Stock | 315,633    |
| ASGN INCORPORATED COMN STK USD0.01 SEDOL:<br>BFY8W20         | Common Stock | 159,258    |
| ASPEN TECHNOLOGY INC COM USD0.10 SEDOL:<br>BP2V812           | Common Stock | 134,071    |
| ATI INC COM SEDOL: 2526117                                   | Common Stock | 569,193    |
| ATKORE INC SEDOL: BDHF495                                    | Common Stock | 609,920    |
| ATRICURE INC COM STK SEDOL: B0C8KV2                          | Common Stock | 118,277    |
| AVIDITY BIOSCIENCES INC COM SEDOL: BMWHPY1                   | Common Stock | 49,929     |
| AXALTA COATING SYSTEMS LTD COM USD1.00 SEDOL:<br>BSFWCF5     | Common Stock | 462,026    |
| AXCELIS TECHNOLOGIES INC COM NEW COM NEW<br>SEDOL: BD420Q8   | Common Stock | 546,125    |
| AZEK CO INC CL A CL A SEDOL: BKPVG43                         | Common Stock | 286,875    |
| A10 NETWORKS INC COM SEDOL: BKQVBN6                          | Common Stock | 130,633    |
| BALCHEM CORP COM SEDOL: 2072074                              | Common Stock | 184,301    |
| BANCORP INC DEL COM STK SEDOL: B05BRL7                       | Common Stock | 408,505    |
| BELLRING BRANDS INC COM USD0.01 SEDOL: BN70ZC0               | Common Stock | 628,576    |
| BIOHAVEN LTD COM NPV WI SEDOL: BPLZ7S5                       | Common Stock | 429,498    |
| BIOMEA FUSION INC COM SEDOL: BNKF6N0                         | Common Stock | 17,424     |
| BJS WHSL CLUB HLDGS INC COM SEDOL: BFNZNF8                   | Common Stock | 103,056    |
| BLACKBAUD INC COM SEDOL: 2458878                             | Common Stock | 289,751    |
| BLOOMIN BRANDS INC COM SEDOL: B847RJ0                        | Common Stock | 259,262    |
| BLUE OWL CAP INC COM CL A SEDOL: BN7CQS9                     | Common Stock | 305,793    |
| BLUEPRINT MEDICINES CORP COM SEDOL: BWY52P3                  | Common Stock | 581,573    |
| BOEING CO COM SEDOL: 2108601                                 | Common Stock | 4,261,791  |
| BOISE CASCADE CO COM SEDOL: B9BP4R1                          | Common Stock | 51,744     |
| BOOZ ALLEN HAMILTON HLDG CORP CL A COM STK<br>SEDOL: B5367T7 | Common Stock | 653,236    |
| BOX INC CL A CL A SEDOL: BVB3BV2                             | Common Stock | 346,683    |
| BOYD GAMING CORP COM SEDOL: 2117232                          | Common Stock | 559,608    |
| BRIDGEBIO PHARMA INC COM SEDOL: BK1KWG8                      | Common Stock | 226,072    |
| BRIGHT HORIZONS FA COM USD0.001 SEDOL: B7MJWP2               | Common Stock | 217,412    |
| BROADCOM INC COM SEDOL: BDZ78H9                              | Common Stock | 4,526,394  |
| BROADRIDGE FINL SOLUTIONS INC COM STK SEDOL:<br>B1VP7R6      | Common Stock | 287,639    |
| BRUKER CORP SEDOL: 2616137                                   | Common Stock | 189,578    |
| BRUNSWICK CORP COM SEDOL: 2149309                            | Common Stock | 238,876    |
| BUILDERS FIRSTSOURCE INC COM STK SEDOL:<br>B0BV2M7           | Common Stock | 519,851    |

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| CACI INTL INC CL A CL A SEDOL: 2159267                           | Common Stock | 632,499   |
| CADENCE DESIGN SYS INC COM SEDOL: 2302232                        | Common Stock | 5,143,707 |
| CADRE HLDGS INC COM SEDOL: BKVKB49                               | Common Stock | 108,109   |
| CARVANA CO CL A CL A SEDOL: BYQHPG3                              | Common Stock | 285,029   |
| CASELLA WASTE SYS INC CL A COM STK SEDOL: 2120490                | Common Stock | 715,386   |
| CASEYS GEN STORES INC COM SEDOL: 2179414                         | Common Stock | 264,025   |
| CATALENT INC COM SEDOL: BP96PQ4                                  | Common Stock | 200,523   |
| CATALYST PHARMACEUTICALS INC COM STK USD0.001 STK SEDOL: B1G7Q03 | Common Stock | 179,733   |
| CAVA GROUP INC COM SEDOL: BRBD9F4                                | Common Stock | 56,648    |
| CAVCO INDS INC DEL COM STK SEDOL: 2787022                        | Common Stock | 331,715   |
| CBIZ INC COM SEDOL: 2730781                                      | Common Stock | 489,767   |
| CELSIUS HLDGS INC COM NEW COM NEW SEDOL: B19HX21                 | Common Stock | 168,140   |
| CENTRUSENERGYCORPCLACOM SEDOL: BQXKDH6                           | Common Stock | 153,926   |
| CEREVEL THERAPEUTICS HLDGS INC COM SEDOL: BMVMJ12                | Common Stock | 260,506   |
| CHAMPIONX CORPORATION COM USD0.D1 WI SEDOL: BMW7N69              | Common Stock | 255,033   |
| CHARLES RIV LABORATORIES INTL INC COM SEDOL: 2604336             | Common Stock | 340,416   |
| CHEMED CORP NEW COM SEDOL: 2190084                               | Common Stock | 127,476   |
| CHIPOTLE MEXICAN GRILL INC COM STK SEDOL: B0X7DZ3                | Common Stock | 2,760,361 |
| CHOICE HOTELS INTL INC COM SEDOL: 2106780                        | Common Stock | 352,250   |
| CHURCHILL DOWNS INC COM SEDOL: 2194105                           | Common Stock | 724,439   |
| CIRRUS LOGIC INC COM SEDOL: 2197308                              | Common Stock | 250,651   |
| CLEAN HBRS INC COM SEDOL: 2202473                                | Common Stock | 495,259   |
| COCA-COLA CONSOLIDATED INC COM SEDOL: 2206721                    | Common Stock | 608,102   |
| COGENT COMMUNICATIONS HLDGS INC SEDOL: B06RWD1                   | Common Stock | 257,083   |
| COMFORT SYS USA INC COM SEDOL: 2036047                           | Common Stock | 870,601   |
| CONMED CORP COM SEDOL: 2216010                                   | Common Stock | 294,144   |
| CORE & MAIN INC COM CL A COM CL A SEDOL: BNXKS92                 | Common Stock | 433,316   |
| CORVEL CORP COM SEDOL: 2347277                                   | Common Stock | 506,781   |
| COSTCO WHOLESALE CORP NEW COM SEDOL: 2701271                     | Common Stock | 8,363,874 |
| COTY INC COM CL A COM CL A SEDOL: BBBSMJ2                        | Common Stock | 248,711   |
| CRINETICS PHARMACEUTICALS INC COM SEDOL: BDD19F8                 | Common Stock | 90,836    |
| CRISPR THERAPEUTICS AG COM CHF0.03 SEDOL: BDHF4K6                | Common Stock | 341,358   |
| CROCS INC COM SEDOL: B0T7Z62                                     | Common Stock | 234,366   |
| CROWDSTRIKE HLDGS INC CL A CL A SEDOL: BJJP138                   | Common Stock | 5,746,998 |
| CSW INDUSTRIALS INC COM SEDOL: BYQD1J6                           | Common Stock | 405,279   |
| CURTISS WRIGHT CORP COM SEDOL: 2241205                           | Common Stock | 893,611   |
| CYTOKINETICS INC COMMON STOCK SEDOL: BBBSBJ5                     | Common Stock | 519,391   |
| DAY ONE BIOPHARMACEUTICALS INC COM USD0.0001 SEDOL: BLB0YH0      | Common Stock | 43,172    |
| DECKERS OUTDOOR CORP COM SEDOL: 2267278                          | Common Stock | 457,875   |
| DENALI THERAPEUTICS INC COM SEDOL: BD2B4V0                       | Common Stock | 68,801    |
| DICKS SPORTING GOODS INC OC-COM OC-COM SEDOL: 2969637            | Common Stock | 360,174   |
| DIODES INC COM SEDOL: 2270500                                    | Common Stock | 436,257   |
| DOMINOS PIZZA INC COM SEDOL: B01SD70                             | Common Stock | 463,347   |
| DOUBLEVERIFY HLDGS INC COM SEDOL: BMDX9Z7                        | Common Stock | 449,010   |
| DUOLINGO INC CL A COM CL A COM SEDOL: BMCM6P4                    | Common Stock | 322,581   |
| E L F BEAUTY INC COM SEDOL: BDDQ975                              | Common Stock | 610,270   |

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| EAGLE MATLS INC COM SEDOL: 2191399                          | Common Stock | 664,504    |
| ELEMENT SOLUTION INC COM SEDOL: BJ1C2K1                     | Common Stock | 251,925    |
| ELI LILLY & CO COM SEDOL: 2516152                           | Common Stock | 15,750,498 |
| EMBECTA CORPORATION COM USD0.01 WI SEDOL: BMXWYR1           | Common Stock | 19,782     |
| EMCOR GROUP INC COM SEDOL: 2474164                          | Common Stock | 188,932    |
| ENDEAVOR GROUP HOLDINGS INC COM 0.00001 CL A SEDOL: BJQ05Y5 | Common Stock | 422,892    |
| ENSIGN GROUP INC COM STK SEDOL: B1YWPP8                     | Common Stock | 905,647    |
| ENTEGRIIS INC COM SEDOL: 2599700                            | Common Stock | 174,817    |
| EURONET WORLDWIDE INC COM SEDOL: 2320148                    | Common Stock | 288,638    |
| EVERI HLDGS INC COM SEDOL: BYV34V4                          | Common Stock | 50,681     |
| EVERTEC INC COM SEDOL: B7KY3Z6                              | Common Stock | 228,609    |
| EVOLENT HEALTH INC CL A CL A SEDOL: BYLY8H1                 | Common Stock | 179,584    |
| EXELIXIS INC COM STK SEDOL: 2576941                         | Common Stock | 492,419    |
| EXLSERVICE COM INC COM STK SEDOL: B07LST0                   | Common Stock | 627,983    |
| EXPONENT INC COM STK SEDOL: 2330318                         | Common Stock | 92,266     |
| EXPRO GROUP HOLDINGS N.V SEDOL: BCRY5H0                     | Common Stock | 95,759     |
| EXTREME NETWORKS INC COM SEDOL: 2407052                     | Common Stock | 107,128    |
| FABRINET COM USD0.01 SEDOL: B4JSZL8                         | Common Stock | 960,786    |
| FACTSET RESH SYS INC COM STK SEDOL: 2329770                 | Common Stock | 384,502    |
| FAIR ISAAC CORPORATION COM SEDOL: 2330299                   | Common Stock | 442,324    |
| FEDERAL SIGNAL CORP COM SEDOL: 2333986                      | Common Stock | 523,520    |
| FORMFACTOR INC COM STK SEDOL: 2891826                       | Common Stock | 184,942    |
| FORTINET INC COM SEDOL: B5B2106                             | Common Stock | 243,017    |
| FTI CONSULTING INC COM SEDOL: 2351449                       | Common Stock | 468,003    |
| GARTNER INC COM SEDOL: 2372763                              | Common Stock | 267,959    |
| GIBRALTAR INDS INC COM SEDOL: 2369226                       | Common Stock | 108,440    |
| GLOBUS MED INC CL A NEW COM STK SEDOL: B7D65M0              | Common Stock | 428,398    |
| GOLDMAN SACHS GROUP INC COM SEDOL: 2407966                  | Common Stock | 3,449,555  |
| GRAND CANYON ED INC COM STK SEDOL: B3F1XM1                  | Common Stock | 485,775    |
| GRAPHIC PACKAGING HLDG CO COM STK SEDOL: B2Q8249            | Common Stock | 230,872    |
| GREEN BRICK PARTNERS INC COM SEDOL: BS7T2R6                 | Common Stock | 235,080    |
| GUARDANT HEALTH INC COM SEDOL: BFXC911                      | Common Stock | 184,914    |
| GXO LOGISTICS INC COM SEDOL: BNNTGF1                        | Common Stock | 483,103    |
| HAEMONETICS CORP MASS COM SEDOL: 2401195                    | Common Stock | 295,779    |
| HALOZYME THERAPEUTICS INC COM SEDOL: 2975098                | Common Stock | 483,289    |
| HAMILTON LANE INC CL A CL A SEDOL: BF0SR29                  | Common Stock | 351,664    |
| HERC HLDGS INC COM SEDOL: BZBZ020                           | Common Stock | 181,050    |
| HILTON GRAND VACATIONS INC COM SEDOL: BYSLHX4               | Common Stock | 185,672    |
| HOME DEPOT INC COM SEDOL: 2434209                           | Common Stock | 7,946,392  |
| HUBSPOT INC COM SEDOL: BR4T3B3                              | Common Stock | 2,264,106  |
| IDEAYA BIOSCIENCES INC COM SEDOL: BK0VHF6                   | Common Stock | 99,268     |
| IGM BIOSCIENCES INC COM SEDOL: BKS3SM8                      | Common Stock | 14,094     |
| IMMUNOVANT INC COM SEDOL: BJRFSB7                           | Common Stock | 109,959    |
| INARI MED INC COM SEDOL: BLDRF10                            | Common Stock | 209,886    |
| INFORMATICA INC CL A CL A SEDOL: BMG95P4                    | Common Stock | 311,438    |
| INSMED INC COM PAR \$.01 SEDOL: 2614487                     | Common Stock | 452,609    |
| INSPERITY INC COM SEDOL: 2007281                            | Common Stock | 387,178    |
| INSPIRE MED SYS INC COM SEDOL: BDTSKTS                      | Common Stock | 318,571    |
| INTELLIA THERAPEUTICS INC COM SEDOL: BYZM6C2                | Common Stock | 127,692    |
| INTER PARFUMS INC COM SEDOL: 2473150                        | Common Stock | 446,719    |
| INTRA-CELLULAR THERAPIES INC COM SEDOL: BHCBO4              | Common Stock | 460,230    |

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| INTUITIVE SURGICAL INC COM NEW STK SEDOL:<br>2871301        | Common Stock | 7,646,602  |
| JONIS PHARMACEUTICALS INC COM SEDOL: BDJ0LS6                | Common Stock | 414,686    |
| IOVANCE BIOTHERAPEUTICS INC COM SEDOL:<br>BF0DMK7           | Common Stock | 94,251     |
| IRHYTHM TECHNOLOGIES INC COM SEDOL: BYT4ST5                 | Common Stock | 228,959    |
| IRIDIUM COMMUNICATIONS INC COM STK SEDOL:<br>B2QH310        | Common Stock | 470,582    |
| IVANHOE ELEC INC COM SEDOL: BPF0KH6                         | Common Stock | 81,245     |
| JOHN BEAN TECHNOLOGIES CORP COM STK SEDOL:<br>B3BRJZ8       | Common Stock | 320,627    |
| KADANT INC COM SEDOL: 2769978                               | Common Stock | 607,432    |
| KARUNA THERAPEUTICS INC COM SEDOL: BJMLSD2                  | Common Stock | 698,538    |
| KEYSIGHT TECHNOLOGIES INC COM SEDOL: BQZJ0Q9                | Common Stock | 2,148,351  |
| KINSALE CAP GROUP INC COM SEDOL: BD1MGQ3                    | Common Stock | 227,404    |
| KOSMOS ENERGY LTD COM USD0.01 SEDOL: BHK15K6                | Common Stock | 194,187    |
| KRYSTAL BIOTECH INC COM SEDOL: BD6JX35                      | Common Stock | 131,007    |
| KULICKE & SOFFA INDS INC COM SEDOL: 2498001                 | Common Stock | 295,105    |
| KYMERA THERAPEUTICS INC COM SEDOL: BMPRZV5                  | Common Stock | 115,436    |
| LANDSTAR SYS INC COM SEDOL: 2503994                         | Common Stock | 408,214    |
| LANTHEUS HLDGS INC COM SEDOL: BP8S8J5                       | Common Stock | 458,924    |
| LATTICE SEMICONDUCTOR CORP COM SEDOL: 2506658               | Common Stock | 428,635    |
| LCI INDUSTRIES COM SEDOL: BYQ44Y5                           | Common Stock | 64,364     |
| LEONARDO DRS INC COM SEDOL: BNSNZQ2                         | Common Stock | 382,243    |
| LIGHT & WONDER INC COM USD0.001 SEDOL: 2919290              | Common Stock | 262,752    |
| LINCOLN ELEC HLDGS INC COM SEDOL: 2516851                   | Common Stock | 489,285    |
| LITTELFUSE INC COM SEDOL: 2531832                           | Common Stock | 214,316    |
| LOUISIANA-PACIFIC CORP COM SEDOL: 2535243                   | Common Stock | 428,805    |
| LPL FINL HLDGS INC COM SEDOL: B75JX34                       | Common Stock | 196,891    |
| LULULEMON ATHLETICA INC COM SEDOL: B23FN39                  | Common Stock | 7,955,672  |
| MADRIGAL PHARMACEUTICALS INC COM SEDOL:<br>BD59BS7          | Common Stock | 109,906    |
| MANHATTAN ASSOCS INC COM SEDOL: 2239471                     | Common Stock | 442,052    |
| MARATHON DIGITAL HOLDINGS INC COM USO SEDOL:<br>BLR7B52     | Common Stock | 160,272    |
| MARKETAXESS HLDGS INC COM STK SEDOL: B03O9D0                | Common Stock | 309,835    |
| MARRIOTT INTL INC NEW COM STK CL A SEDOL:<br>2210614        | Common Stock | 4,394,288  |
| MASTERCARD INC CL A SEDOL: B121557                          | Common Stock | 11,859,537 |
| MATADOR RES CO COM SEDOL: B7MSLL8                           | Common Stock | 481,775    |
| MATTEL INC COM STOCK 1.00 PAR SEDOL: 2572303                | Common Stock | 408,601    |
| MAXLINEAR INC COMMON STOCK SEDOL: B3RDWC8                   | Common Stock | 150,749    |
| MCGRATH RENTCORP COM SEDOL: 2551551                         | Common Stock | 137,085    |
| MEDPACE HLDGS INC COM SEDOL: BDCBC61                        | Common Stock | 708,084    |
| MERCADOLIBRE INC COM STK SEDOL: B23X1H3                     | Common Stock | 5,666,973  |
| MERIT MED SYS INC COM SEDOL: 2580555                        | Common Stock | 554,736    |
| META PLATFORMS INC COM USD0.000006 CL 'A' SEDOL:<br>B7TL820 | Common Stock | 15,173,911 |
| MICROSOFT CORP COM SEDOL: 2588173                           | Common Stock | 29,886,907 |
| MICROSTRATEGY INC CL A NEW CL A NEW SEDOL:<br>2974329       | Common Stock | 347,391    |
| MKS INSTRS INC COM SEDOL: 2404871                           | Common Stock | 145,973    |
| MOLINA HEALTHCARE INC COM SEDOL: 2212706                    | Common Stock | 719,007    |
| MONGODB INC CL A CL A SEDOL: BF2FJ99                        | Common Stock | 4,801,126  |
| MONOLITHIC PWR SYS INC COM SEDOL: B01Z7J1                   | Common Stock | 327,375    |
| MONTE ROSA THERAPEUTICS INC COM SEDOL:<br>BP7KZP7           | Common Stock | 4,520      |
| MOODY'S CORP COM SEDOL: 2252058                             | Common Stock | 4,509,406  |

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| MOOG INC CLA SEDOL: 2601218                            | Common Stock | 90,053     |
| MOONLAKE IMMUNOTHERAPEUTICS COM SEDOL: BNG3F86         | Common Stock | 54,351     |
| MORPHIC HLDG INC COM SEDOL: BK8M4J4                    | Common Stock | 72,547     |
| MSA SAFETY INC COM SEDOL: BKM4S16                      | Common Stock | 335,803    |
| MURPHY USA INC COM SEDOL: BCZWJ63                      | Common Stock | 773,022    |
| NATERA INC COM SEDOL: BYQRG48                          | Common Stock | 286,766    |
| NCR ATLEOS CORPORATION COM USD0.01 WI SEDOL: BRRD6G8   | Common Stock | 60,895     |
| NCR VOYIX CORP COM STK USD0.01 SEDOL: 2632650          | Common Stock | 101,697    |
| NEOGENOMICS INC COM NEW COM NEW SEDOL: 2598246         | Common Stock | 98,601     |
| NETFLIX INC COM STK SEDOL: 2857817                     | Common Stock | 9,718,612  |
| NEUROCRINE BIOSCIENCES INC COM SEDOL: 2623911          | Common Stock | 288,291    |
| NEXSTAR MEDIA GROUP INC COMMON STOCK SEDOL: 2949758    | Common Stock | 574,959    |
| NIKE INC CL B SEDOL: 2640147                           | Common Stock | 5,339,690  |
| NOBLE CORPORATION PLC COM SEDOL: BN2QTL0               | Common Stock | 344,633    |
| NOVANTA INC NOVANTA INC SEDOL: BD8S5H8                 | Common Stock | 702,775    |
| NURIX THERAPEUTICS INC COM SEDOL: BMVLGP2              | Common Stock | 20,010     |
| NUTANIX INC CL A CL A SEDOL: BYQBFTB                   | Common Stock | 746,301    |
| NUVALENT INC CL A CL A SEDOL: BMVBZD3                  | Common Stock | 123,337    |
| NVIDIA CORP COM SEDOL: 2379504                         | Common Stock | 25,996,574 |
| NV5 GLOBAL INC COMMON STOCK SEDOL: BF5ZVB2             | Common Stock | 130,899    |
| O REILLY AUTOMOTIVE INC NEW COM USD0.01 SEDOL: B65LWX6 | Common Stock | 3,744,265  |
| OLIN CORP COM SEDOL: 2658526                           | Common Stock | 383,800    |
| OMNICELL INC COM SEDOL: 2789523                        | Common Stock | 103,332    |
| ONTO INNOVATION INC SEDOL: BKZ7N95                     | Common Stock | 774,133    |
| OPTION CARE HEALTH INC COM NEW COM NEW SEDOL: BKM5C62  | Common Stock | 543,723    |
| ORMAT TECHNOLOGIES INC COM SEDOL: B03L311              | Common Stock | 270,722    |
| PACIRA BIOSCIENCES INC COM USD0.001 SEDOL: B3X26D8     | Common Stock | 110,870    |
| PALO ALTO NETWORKS INC COM USD0.0001 SEDOL: B87ZMX0    | Common Stock | 1,260,317  |
| PALOMAR HLDGS INC COM SEDOL: BJYLZK6                   | Common Stock | 76,590     |
| PATRICK INDS INC COM SEDOL: 2673154                    | Common Stock | 202,005    |
| PAYLOCITY HLDG CORP COM SEDOL: BKM4N88                 | Common Stock | 169,466    |
| PAYONEER GLOBAL INC COM SEDOL: BLSPX60                 | Common Stock | 187,550    |
| PBF ENERGY INC CL A CL A SEDOL: B7F4TJ7                | Common Stock | 36,091     |
| PENSKE AUTOMOTIVE GROUP INC COM STK SEDOL: 2943523     | Common Stock | 277,040    |
| PENUMBRA INC COM SEDOL: BZ0V201                        | Common Stock | 316,186    |
| PERFICIENT INC COM STK SEDOL: 2442547                  | Common Stock | 239,190    |
| PERFORMANCE FOOD GROUP CO COM SEDOL: BYVYD43           | Common Stock | 410,336    |
| PHOTRONICS INC COM SEDOL: 2687315                      | Common Stock | 40,781     |
| PLIANT THERAPEUTICS INC COM SEDOL: BMDWW66             | Common Stock | 45,728     |
| POOL CORP COM STK SEDOL: 2781585                       | Common Stock | 438,182    |
| POST HLDGS INC COM STK SEDOL: B6T0518                  | Common Stock | 429,469    |
| POWER INTEGRATIONS INC COM SEDOL: 2133045              | Common Stock | 322,446    |
| POWERSCHOOL HLDGS INC CL A COM CL A COM SEDOL: BMGTPK9 | Common Stock | 259,184    |
| PRESTIGE CONSUMER HEALTHCARE INC COM SEDOL: B0650P3    | Common Stock | 203,618    |
| PRIMERICA INC COM SEDOL: B50K3X8                       | Common Stock | 532,507    |
| PROCEPT BIOROBOTICS CORP COM SEDOL: BMYRFV6            | Common Stock | 211,394    |

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| PROTHENA CORP PLC USD0.01 SEDOL: B91XRN2                          | Common Stock | 188,241    |
| PTC INC COM SEDOL: B95N910                                        | Common Stock | 486,039    |
| PTC THERAPEUTICS INC COM SEDOL: B17VCN9                           | Common Stock | 157,064    |
| PURE STORAGE INC CL A CL A SEDOL: BYZ62T3                         | Common Stock | 36,908     |
| PVTPL CYMABAY THERAPEUTICS INC COM SEDOL: BJ3WDH3                 | Common Stock | 125,351    |
| QUAKER CHEM CORP COM SEDOL: 2715186                               | Common Stock | 160,065    |
| QUALYS INC COM USD0.001 SEDOL: B7XJTN8                            | Common Stock | 694,831    |
| RAMBUS INC DEL COM SEDOL: 2721967                                 | Common Stock | 588,588    |
| RANGE RES CORP COM SEDOL: 2523334                                 | Common Stock | 107,057    |
| RAPID? INC COM SEDOL: BZ22CY6                                     | Common Stock | 267,742    |
| RBC BEARINGS INC COM SEDOL: B0GLYB5                               | Common Stock | 617,642    |
| RED ROCK RESORTS INC CL A CL A SEDOL: BYY9947                     | Common Stock | 181,322    |
| RELAY THERAPEUTICS INC COM SEDOL: BN2R582                         | Common Stock | 88,575     |
| REPLIGEN CORP COM STK USD0.01 SEDOL: 2731654                      | Common Stock | 438,892    |
| REPLIMUNE GROUP INC COM SEDOL: BDDVW37                            | Common Stock | 49,981     |
| REVOLUTION MEDICINES INC COM SEDOL: BL71K91                       | Common Stock | 81,250     |
| RHYTHM PHARMACEUTICALS INC COM SEDOL: BF2YWG4                     | Common Stock | 113,040    |
| RIOT PLATFORMS INC COM NPV SEDOL: BD9F675                         | Common Stock | 83,538     |
| RLI CORP COM SEDOL: 2719070                                       | Common Stock | 283,412    |
| ROCKET PHARMACEUTICALS INC COM USD0.01 SEDOL: BDFKQ07             | Common Stock | 110,979    |
| RYAN SPECIALTY HOLDINGS INC CL A COM USD0.001 CL A SEDOL: BNXKSK3 | Common Stock | 375,177    |
| SAIA INC COM STK SEDOL: 2982399                                   | Common Stock | 721,748    |
| SALESFORCE INC COM USD0.001 SEDOL: 2310525                        | Common Stock | 10,511,917 |
| SCHOLAR ROCK HLDG CORP COM SEDOL: BFZQ0L8                         | Common Stock | 85,521     |
| SELECTIVE INS GROUP INC COM SEDOL: 2766173                        | Common Stock | 368,872    |
| SERVICENOW INC COM USD0.001 SEDOL: B80NXX8                        | Common Stock | 7,959,316  |
| SERVISFIRST BANCSHARES INC COMMON STOCK SEDOL: BMH0MP5            | Common Stock | 229,207    |
| SHIFT4 PMTS INC CL A CL A SEDOL: BLF0L75                          | Common Stock | 485,515    |
| SHOCKWAVE MED INC COM SEDOL: BHLNZ89                              | Common Stock | 171,504    |
| SIMPLY GOOD FOODS CO COM SEDOL: BF27XF9                           | Common Stock | 233,482    |
| SIMPSON MFG INC COM SEDOL: 2809250                                | Common Stock | 683,427    |
| SITEONE LANDSCAPE SUPPLY INC COM SEDOL: BYQ7X81                   | Common Stock | 421,850    |
| SKYLINE CHAMPION CORPORATION COM USD0.0277 SEDOL: 2814005         | Common Stock | 338,997    |
| SM ENERGY CO COM SEDOL: 2764188                                   | Common Stock | 196,620    |
| SMARTSHEET INC CL A CL A SEDOL: BFZND98                           | Common Stock | 426,650    |
| SNOWFLAKE INC CL A CL A SEDOL: BN134B7                            | Common Stock | 5,862,341  |
| SOUTHWESTERN ENERGY CO COM SEDOL: 2828619                         | Common Stock | 328,345    |
| SPRINGWORKS THERAPEUTICS INC COM SEDOL: BGMGM89                   | Common Stock | 64,715     |
| SPS COMM INC COM SEDOL: B57VWJ3                                   | Common Stock | 794,938    |
| SPX TECHNOLOGIES INC COM SEDOL: BQ1L7V3                           | Common Stock | 527,575    |
| STERIS PLC ORD USD0.001 SEDOL: BFY8C75                            | Common Stock | 410,020    |
| STONEX GROUP INC COM SEDOL: BMQ8XV3                               | Common Stock | 305,952    |
| SUPER MICRO COMPUTER INC COM SEDOL: B1VQR35                       | Common Stock | 79,877     |
| SUPERNUS PHARMACEUTICALS INC COM STK SEDOL: B72ZBG4               | Common Stock | 122,387    |
| SYMBOTIC INC COM USD0.0001 CLASS A SEDOL: BPG82M8                 | Common Stock | 176,113    |
| TECHNIPFMC PLC COM USD1 SEDOL: BDSFG98                            | Common Stock | 216,142    |
| TELEDYNE TECHNOLOGIES INC COM SEDOL: 2503477                      | Common Stock | 318,651    |

|                                                        |              |              |            |
|--------------------------------------------------------|--------------|--------------|------------|
| TEMPUR SEALY INTL INC<br>2216991                       | COM SEDOL:   | Common Stock | 582,740    |
| TENABLE HLDGS INC COM SEDOL: BF?J7N6                   |              | Common Stock | 388,240    |
| TENET HEALTHCARE CORP COM NEW. SEDOL:<br>B8DMK08       |              | Common Stock | 522,113    |
| TERADATA CORP DEL COM STK SEDOL: B247H10               |              | Common Stock | 223,511    |
| TESLA INC COM USD0.001 SEDOL: B616C79                  |              | Common Stock | 15,005,210 |
| TEXAS ROADHOUSE INC COMMON STOCK SEDOL:<br>B033TJ7     |              | Common Stock | 744,503    |
| THE TRADE DESK INC COM CL A COM CL A SEDOL:<br>BD8FDD1 |              | Common Stock | 4,058,112  |
| THRYV HLDGS INC COM NEW COM NEW SEDOL:<br>BMCWYS       |              | Common Stock | 80,179     |
| TIDEWATER INC NEW COM SEDOL: BDFGDQ0                   |              | Common Stock | 310,073    |
| TJX COS INC COM NEW SEDOL: 2989301                     |              | Common Stock | 4,958,328  |
| TKO GROUP HLDGS INC COM SEDOL: BQBBFD1                 |              | Common Stock | 277,209    |
| TOPBUILD CORP COM SEDOL: BZ0P3W2                       |              | Common Stock | 1,021,730  |
| TORO CO COM SEDOL: 2897040                             |              | Common Stock | 164,527    |
| TRANSMEDICS GROUP INC COM SEDOL: BK6TM04               |              | Common Stock | 200,640    |
| TRANSOCEAN LTD SEDOL: B3KFWW1                          |              | Common Stock | 152,127    |
| TRAVEL+ LEISURE CO COM USD0.01 SEDOL: BMXYT16          |              | Common Stock | 111,367    |
| TYLER TECHNOLOGIES INC COM STK SEDOL: 2909644          |              | Common Stock | 405,158    |
| UBER TECHNOLOGIES INC COM USD0.00001 SEDOL:<br>BK6N347 |              | Common Stock | 8,540,436  |
| UFP INDUSTRIES INC COM USD1.00 SEDOL: BMQ60Q1          |              | Common Stock | 788,956    |
| ULTRAGENYX PHARMACEUTICAL INC COM SEDOL:<br>BJ62Z18    |              | Common Stock | 199,553    |
| UNITED PARKS & RESORTS INC SEDOL: B84KWJ4              |              | Common Stock | 108,354    |
| UNITEDHEALTH GROUP INC COM SEDOL: 2917766              |              | Common Stock | 7,270,551  |
| VALVOLINE INC COM SEDOL: BDG22J3                       |              | Common Stock | 160,091    |
| VAXCYTE INC COM SEDOL: BKPVG6                          |              | Common Stock | 211,824    |
| VERRA MOBILITY CORP SEDOL: BFXX5X8                     |              | Common Stock | 314,244    |
| VERTEX PHARMACEUTICALS INC COM SEDOL: 2931034          |              | Common Stock | 5,973,145  |
| VERTIV HOLDINGS LLC COM USD0.0001 SEDOL:<br>BL3LWS8    |              | Common Stock | 473,864    |
| VISA INC COM CL A STK SEDOL: B2PZN04                   |              | Common Stock | 12,885,503 |
| VISTEON CORP COM NEW COM NEW SEDOL: B4N0JJ6            |              | Common Stock | 273,031    |
| VONTIER CORP COM USD0.0001 WI SEDOL: BH4GV32           |              | Common Stock | 428,316    |
| WATSCO INC COM SEDOL: 2943039                          |              | Common Stock | 319,210    |
| WATTS WTR TECHNOLOGIES INC SEDOL: 2943620              |              | Common Stock | 647,937    |
| WEATHERFORDINTLLTD<br>SEDOL: BLNN369                   | COMMON STOCK | Common Stock | 917,841    |
| WENDYS CO COM SEDOL: B3NXMJ9                           |              | Common Stock | 273,869    |
| WEST PHARMACEUTICAL SVCS INC COM SEDOL:<br>2950482     |              | Common Stock | 419,375    |
| WILLSCOT MOBILE MINI HLDGS CORP COM SEDOL:<br>BMHL0Z4  |              | Common Stock | 352,084    |
| WINGSTOP INC COM SEDOL: BYYXHN4                        |              | Common Stock | 692,509    |
| WOODWARD INC COM SEDOL: 2948089                        |              | Common Stock | 538,939    |
| WORKIVA INC COM CL A COM CL A SEDOL: BSS6HY8           |              | Common Stock | 287,838    |
| XENCOR INC COM SEDOL: BGCYWN8                          |              | Common Stock | 125,406    |
| XPO INC COM SEDOL: B6Z1355                             |              | Common Stock | 605,422    |
| ZEBRA TECHNOLOGIES CORP CL A SEDOL: 2989356            |              | Common Stock | 88,559     |
| ZENTALIS PHARMACEUTICALS INC COM SEDOL:<br>BMQ5T49     |              | Common Stock | 31,209     |
| 10X GENOMICS INC CL A CL A SEDOL: BKS3RS7              |              | Common Stock | 347,624    |
| EQTY LIFESTYLE PPTYS INC REIT                          |              | Common Stock | 195,960    |
| LIFE STORAGE INC COM                                   |              | Common Stock | —          |

|                                                                                   |                                                  |                         |
|-----------------------------------------------------------------------------------|--------------------------------------------------|-------------------------|
| REXFORD INDL RLTY INC COM                                                         | Common Stock                                     | 176,939                 |
| RYMAN HOSPITALITY PTYS INC COM                                                    | Common Stock                                     | —                       |
| TERRENO RLTY CORP                                                                 | Common Stock                                     | 129,664                 |
| 1ST INDL RLTY TR INC COM                                                          | Common Stock                                     | 285,155                 |
| Nextera JV                                                                        | Common Stock                                     |                         |
|                                                                                   |                                                  | 633,384,780             |
| Mutual Funds:                                                                     |                                                  |                         |
| Dodge & Cox International Stock Fund                                              | International Fund                               | 170,933,420             |
| Victory Sycamore Small Company Opportunity Fund                                   | Small U.S. Equity Fund                           | 117,429,322             |
|                                                                                   |                                                  | 288,362,742             |
| Collective Trust Funds:                                                           |                                                  |                         |
| <u>BlackRock MSCI ACWI ex-U.S. IMI Index Fund</u>                                 | Large U.S. Equity Fund                           | 151,149,615             |
| * BlackRock LifePath Index 2025 NL CIT Fund                                       | Target Maturity Fund                             | 92,447,389              |
| * BlackRock LifePath Index 2030 NL CIT Fund                                       | Target Maturity Fund                             | 125,987,877             |
| * BlackRock LifePath Index 2035 NL CIT Fund                                       | Target Maturity Fund                             | 120,360,524             |
| * BlackRock LifePath Index 2040 NL CIT Fund                                       | Target Maturity Fund                             | 115,950,104             |
| * BlackRock LifePath Index 2045 NL CIT Fund                                       | Target Maturity Fund                             | 124,573,782             |
| * BlackRock LifePath Index 2050 NL CIT Fund                                       | Target Maturity Fund                             | 98,308,377              |
| * BlackRock LifePath Index 2055 NL CIT Fund                                       | Target Maturity Fund                             | 76,008,928              |
| * BlackRock LifePath Index 2060 NL CIT Fund                                       | Target Maturity Fund                             | 43,197,739              |
| * BlackRock LifePath Index 2065 NL CIT Fund                                       | Target Maturity Fund                             | 13,879,467              |
| * BlackRock LifePath Index Retirement NL CIT Fund                                 | Target Maturity Fund                             | 63,464,667              |
| BlackRock Equity Index Fund                                                       | Large U.S. Equity Index Fund                     | 655,392,766             |
| BlackRock Extended Equity Market Fund                                             | Mid-Cap Growth Fund                              | 211,438,445             |
| BlackRock U.S. Debt Index Fund                                                    | Domestic Fixed Income/<br>Intermediate Core Bond | 96,897,205              |
| Boston Partners Large Cap Value Equity Fund                                       | Large U.S. Equity Fund                           | 236,145,783             |
| Harding Loevner International Equity Collective Fund                              | International Fund                               | 45,717,346              |
| * KeyBank EB MaGIC Fund                                                           | Stable Value Fund                                | 153,474,422             |
| PIMCO Diversified Real Asset CIT                                                  | Large U.S. Equity Fund                           | 24,508,249              |
| PIMCO SER International Institutional                                             | International Institutional Fund                 | 9,775,811               |
| PIMCO SER Investment Grade Portfolio                                              | Bond fund                                        | 38,815,669              |
| PIMCO SER PVT Municipal Portfolio                                                 | Municipal Value Fund                             | 1,866,937               |
| PIMCO SER Real Return Portfolio                                                   | Bond fund                                        | 5,835,155               |
| PIMCO SER U S Government Sector Portfolio                                         | Government Mutual Fund                           | 29,451,737              |
| PIMCO PAPS Emerging Markets Portfolio Institutional                               | Emerging Markets Institutional<br>Fund           | 6,748,235               |
| PIMCO High Yield Portfolio Fund                                                   | High Yield Fund                                  | 1,678,765               |
| PIMCO PAPS Asset Backed Securities                                                | Asset-Backed Fund                                | 24,300,992              |
| PIMCO PAPS Mortgage Portfolio Institutional                                       | Mortgage-Backed Institutional<br>Fund            | 21,865,917              |
| PIMCO Short Term Floating Nav II                                                  | Fixed Income Fund                                | 11,023,016              |
|                                                                                   |                                                  | 2,600,264,919           |
| Money Market Funds:                                                               |                                                  |                         |
| Federated Government Obligations Fund                                             | Money Market Fund                                | 145,328,813             |
| Collective U.S. Government STIF                                                   | Money Market Fund                                | 6,920,842               |
|                                                                                   |                                                  | 152,249,655             |
| Total assets held for investment purposes                                         |                                                  | 3,674,262,096           |
| * Loans to participants (interest rates from 4.25%-9.50% with various maturities) |                                                  | 52,790,390              |
| Total assets held for investment purposes                                         |                                                  | <u>\$ 3,727,052,486</u> |

\* Party-in-interest to the Plan.

**Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)**

**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ... ☐ Yes ☐ No

**11c** Enter the Receipt Confirmation Code for the 2023 Form M-1 annual report. If the plan was not required to file the 2023 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_