

<p style="text-align: center;">Form 5500</p> <p style="font-size: small;">Department of the Treasury Internal Revenue Service</p> <hr/> <p style="font-size: small;">Department of Labor Employee Benefits Security Administration</p> <hr/> <p style="font-size: x-small;">Pension Benefit Guaranty Corporation</p>	<p>Annual Return/Report of Employee Benefit Plan</p> <p style="font-size: x-small;">This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).</p> <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	<p style="font-size: x-small;">OMB Nos. 1210-0110 1210-0089</p> <hr/> <p style="font-size: large; font-weight: bold; text-align: center;">2023</p> <hr/> <p style="text-align: center; font-weight: bold;">This Form is Open to Public Inspection</p>
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Part I Annual Report Identification Information
 For calendar plan year 2023 or fiscal plan year beginning 07/01/2023 and ending 06/30/2024

A This return/report is for: a multiemployer plan a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.)

a single-employer plan a DFE (specify) _____

B This return/report is: the first return/report the final return/report

an amended return/report a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here.

D Check box if filing under: Form 5558 automatic extension the DFVC program

special extension (enter description)

E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.

Part II Basic Plan Information—enter all requested information

<p>1a Name of plan <u>AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D</u></p>	<p>1b Three-digit plan number (PN) ▶ <u>001</u></p>
<p>2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>AMERICAN LUNG ASSOCIATION</u></p> <p><u>55 W. WACKER DRIVE</u> <u>SUITE 1150</u> <u>CHICAGO, IL 60601</u></p>	<p>1c Effective date of plan <u>05/01/1971</u></p> <p>2b Employer Identification Number (EIN) <u>13-1632524</u></p> <p>2c Plan Sponsor's telephone number <u>212-315-8762</u></p> <p>2d Business code (see instructions) <u>813000</u></p>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	04/08/2025	LAURA SCOTT
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor	3b Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN	
	4d PN	
5 Total number of participants at the beginning of the plan year	5	325
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits f Total. Add lines 6d and 6e g(1) Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) g(2) Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6a(1)	59
	6a(2)	52
	6b	111
	6c	153
	6d	316
	6e	3
	6f	319
	6g(1)	
6g(2)		
6h		0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
1A 1I

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)	9b Plan benefit arrangement (check all that apply)
(1) <input type="checkbox"/> Insurance	(1) <input type="checkbox"/> Insurance
(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts	(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts
(3) <input checked="" type="checkbox"/> Trust	(3) <input checked="" type="checkbox"/> Trust
(4) <input type="checkbox"/> General assets of the sponsor	(4) <input type="checkbox"/> General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules	b General Schedules
(1) <input checked="" type="checkbox"/> R (Retirement Plan Information)	(1) <input checked="" type="checkbox"/> H (Financial Information)
(2) <input type="checkbox"/> MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary	(2) <input type="checkbox"/> I (Financial Information – Small Plan)
(3) <input checked="" type="checkbox"/> SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	(3) <input type="checkbox"/> A (Insurance Information) – Number Attached <u>0</u>
(4) <input type="checkbox"/> DCG (Individual Plan Information) – Number Attached _____	(4) <input checked="" type="checkbox"/> C (Service Provider Information)
(5) <input type="checkbox"/> MEP (Multiple-Employer Retirement Plan Information)	(5) <input type="checkbox"/> D (DFE/Participating Plan Information)
	(6) <input type="checkbox"/> G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) Yes No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) Yes No

11c Enter the Receipt Confirmation Code for the 2023 Form M-1 annual report. If the plan was not required to file the 2023 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	<small>OMB No. 1210-0110</small> 2023 This Form is Open to Public Inspection
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For calendar plan year 2023 or fiscal plan year beginning 07/01/2023 and ending 06/30/2024

▶ **Round off amounts to nearest dollar.**
▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D</u>	B Three-digit plan number (PN) ▶	<u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>AMERICAN LUNG ASSOCIATION</u>	D Employer Identification Number (EIN) <u>13-1632524</u>	
E Type of plan: <input checked="" type="checkbox"/> Single <input type="checkbox"/> Multiple-A <input type="checkbox"/> Multiple-B	F Prior year plan size: <input type="checkbox"/> 100 or fewer <input checked="" type="checkbox"/> 101-500 <input type="checkbox"/> More than 500	

Part I Basic Information

1	Enter the valuation date: Month <u>07</u> Day <u>01</u> Year <u>2023</u>		
2	Assets:		
	a Market value	2a	<u>31603280</u>
	b Actuarial value	2b	<u>34096039</u>
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target
	a For retired participants and beneficiaries receiving payment	<u>111</u>	<u>20325463</u>
	b For terminated vested participants	<u>155</u>	<u>8088682</u>
	c For active participants	<u>59</u>	<u>10468066</u>
	d Total	<u>325</u>	<u>38882211</u>
4	If the plan is in at-risk status, check the box and complete lines (a) and (b)		
	a Funding target disregarding prescribed at-risk assumptions	4a	
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b	
5	Effective interest rate	5	<u>5.15 %</u>
6	Target normal cost		
	a Present value of current plan year accruals	6a	<u>0</u>
	b Expected plan-related expenses	6b	<u>396000</u>
	c Target normal cost	6c	<u>396000</u>

Statement by Enrolled Actuary
To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		
	Signature of actuary	<u>02/10/2025</u>
	<u>DAVID M. WEINREB</u>	Date
	Type or print name of actuary	<u>23-06292</u>
	<u>BUCK GLOBAL, LLC</u>	Most recent enrollment number
	Firm name	<u>201-902-2300</u>
	<u>200 JEFFERSON PARK, 2ND FLOOR</u>	Telephone number (including area code)
	<u>WHIPPANY, NJ 07981</u>	
	Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions

Part II Beginning of Year Carryover and Prefunding Balances		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	2730480
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9	Amount remaining (line 7 minus line 8)	0	2730480
10	Interest on line 9 using prior year's actual return of <u>4.84</u> %	0	132155
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		1613273
	b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>5.31</u> %		85665
	b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
	c Total available at beginning of current plan year to add to prefunding balance		1698938
	d Portion of (c) to be added to prefunding balance		0
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	2862635

Part III Funding Percentages			
14	Funding target attainment percentage	14	80.08 %
15	Adjusted funding target attainment percentage	15	80.08 %
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	80.00 %
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls		18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees		
01/11/2024	231400	0					
01/26/2024	6250	0					
04/05/2024	523100	0					
06/28/2024	280000	0					
			Totals ▶	18(b)	1040750	18(c)	0

19	Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
	a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
	b Contributions made to avoid restrictions adjusted to valuation date	19b	0
	c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	1001237
20	Quarterly contributions and liquidity shortfalls:		
	a Did the plan have a "funding shortfall" for the prior year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	c If line 20a is "Yes," see instructions and complete the following table as applicable:		
Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost				
21 Discount rate:				
a Segment rates:	1st segment: 4.75 %	2nd segment: 5.00 %	3rd segment: 5.74 %	<input type="checkbox"/> N/A, full yield curve used
b Applicable month (enter code).....				21b 4
22 Weighted average retirement age				22 66
23 Mortality table(s) (see instructions)	<input checked="" type="checkbox"/> Prescribed - combined <input type="checkbox"/> Prescribed - separate <input type="checkbox"/> Substitute			

Part VI Miscellaneous Items				
24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment..... <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
26 Demographic and benefit information				
a Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
b Is the plan required to provide a projection of expected benefit payments? If "Yes," see instructions regarding required attachment ... <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....				27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years				
28 Unpaid minimum required contributions for all prior years				28 0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....				29 0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)				30 0

Part VIII Minimum Required Contribution For Current Year				
31 Target normal cost and excess assets (see instructions):				
a Target normal cost (line 6c).....				31a 396000
b Excess assets, if applicable, but not greater than line 31a				31b 0
32 Amortization installments:	Outstanding Balance		Installment	
a Net shortfall amortization installment	7767269		843721	
b Waiver amortization installment	0		0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount				33
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33).....				34 1239721
	Carryover balance	Prefunding balance	Total balance	
35 Balances elected for use to offset funding requirement	0	315000	315000	
36 Additional cash requirement (line 34 minus line 35).....				36 924721
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....				37 1001237
38 Present value of excess contributions for current year (see instructions)				
a Total (excess, if any, of line 37 over line 36)				38a 76516
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances				38b 76516
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)				39 0
40 Unpaid minimum required contributions for all years				40 0

Part IX Pension Funding Relief Under the American Rescue Plan Act of 2021 (See Instructions)				
41 If an election was made to use the extended amortization rule for a plan year beginning on or before December 31, 2021, check the box to indicate the first plan year for which the rule applies. <input checked="" type="checkbox"/> 2019 <input type="checkbox"/> 2020 <input type="checkbox"/> 2021				

SCHEDULE C (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2023 This Form is Open to Public Inspection.
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For calendar plan year 2023 or fiscal plan year beginning **07/01/2023** and ending **06/30/2024**

A Name of plan AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 AMERICAN LUNG ASSOCIATION	D Employer Identification Number (EIN) 13-1632524	

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... Yes No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BUCK GLOBAL LLC

13-3954297

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 17 50	NONE	116092	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

SLC MANAGEMENT

500 FIFTH AVENUE
SUITE 2520
NEW YORK, NY 10110

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	35397	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

FIRST STATE TRUST

13-3124172

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 25 50	NONE	17284	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDUCIANT

500 WEST MADISON STREET
SUITE 1700
CHICAGO, IL 60661-4593

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	16447	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2023 This Form is Open to Public Inspection
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For calendar plan year 2023 or fiscal plan year beginning **07/01/2023** and ending **06/30/2024**

A Name of plan AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D	B Three-digit plan number (PN)	001
C Plan sponsor's name as shown on line 2a of Form 5500 AMERICAN LUNG ASSOCIATION	D Employer Identification Number (EIN) 13-1632524	

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets	(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a 87370	280000
b Receivables (less allowance for doubtful accounts):		
(1) Employer contributions	1b(1)	
(2) Participant contributions	1b(2)	
(3) Other	1b(3) 200298	234903
c General investments:		
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1) 1785864	555816
(2) U.S. Government securities	1c(2) 3049946	3929496
(3) Corporate debt instruments (other than employer securities):		
(A) Preferred	1c(3)(A)	
(B) All other	1c(3)(B) 14186897	15799562
(4) Corporate stocks (other than employer securities):		
(A) Preferred	1c(4)(A)	
(B) Common	1c(4)(B)	
(5) Partnership/joint venture interests	1c(5)	
(6) Real estate (other than employer real property)	1c(6)	
(7) Loans (other than to participants)	1c(7)	
(8) Participant loans	1c(8)	
(9) Value of interest in common/collective trusts	1c(9)	
(10) Value of interest in pooled separate accounts	1c(10)	
(11) Value of interest in master trust investment accounts	1c(11)	
(12) Value of interest in 103-12 investment entities	1c(12)	
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13) 12207674	11504646
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	
(15) Other	1c(15) 85231	80339

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	31603280	32384762
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	31603280	32384762

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	1040750	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		1040750
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	64000	
(B) U.S. Government securities	2b(1)(B)	67230	
(C) Corporate debt instruments	2b(1)(C)	597516	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		728746
(2) Dividends:			
(A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	310048	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		310048
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets:			
(A) Aggregate proceeds	2b(4)(A)	17112048	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	17199006	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-86958
(5) Unrealized appreciation (depreciation) of assets:			
(A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	-118228	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		-118228

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		1522212
c Other income	2c		9
d Total income. Add all income amounts in column (b) and enter total	2d		3396579

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	2186776	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		2186776
f Corrective distributions (see instructions).....	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses:			
(1) Salaries and allowances.....	2i(1)		
(2) Contract administrator fees.....	2i(2)		
(3) Recordkeeping fees.....	2i(3)	43060	
(4) IQPA audit fees.....	2i(4)		
(5) Investment advisory and investment management fees	2i(5)	51845	
(6) Bank or trust company trustee/custodial fees	2i(6)	17284	
(7) Actuarial fees	2i(7)	73032	
(8) Legal fees	2i(8)		
(9) Valuation/appraisal fees	2i(9)		
(10) Other trustee fees and expenses	2i(10)		
(11) Other expenses	2i(11)	243100	
(12) Total administrative expenses. Add lines 2i(1) through (11)	2i(12)		428321
j Total expenses. Add all expense amounts in column (b) and enter total	2j		2615097

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		781482
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) Unmodified (2) Qualified (3) Disclaimer (4) Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) DOL Regulation 2520.103-8 (2) DOL Regulation 2520.103-12(d) (3) neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: RUBINBROWN LLP

(2) EIN: 43-0765316

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) This form is filed for a CCT, PSA, DCG or MTIA. (2) It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

	Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
e Was this plan covered by a fidelity bond?	X		1000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? Yes No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) Yes No Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 532846.

SCHEDULE R (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2023 This Form is Open to Public Inspection.
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For calendar plan year 2023 or fiscal plan year beginning **07/01/2023** and ending **06/30/2024**

A Name of plan AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D	B Three-digit plan number (PN)	001
C Plan sponsor's name as shown on line 2a of Form 5500 AMERICAN LUNG ASSOCIATION	D Employer Identification Number (EIN) 13-1632524	

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>36-3046063</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	5

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input checked="" type="checkbox"/> N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.	<input type="checkbox"/> Increase	<input type="checkbox"/> Decrease	<input type="checkbox"/> Both	<input checked="" type="checkbox"/> No
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Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
11 a Does the ESOP hold any preferred stock?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. Complete as many entries as needed to report all applicable employers.

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: <input type="checkbox"/> last contributing employer <input type="checkbox"/> alternative <input type="checkbox"/> reasonable approximation (see instructions for required attachment)	14a	
b The plan year immediately preceding the current plan year. <input type="checkbox"/> Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	14b	
c The second preceding plan year. <input type="checkbox"/> Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....	14c	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment.....

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment.....

19 If the total number of participants is 1,000 or more, complete lines (a) and (b):

a Enter the percentage of plan assets held as:
 Public Equity: _____% Private Equity: _____% Investment-Grade Debt and Interest Rate Hedging Assets: _____%
 High-Yield Debt: _____% Real Assets: _____% Cash or Cash Equivalents: _____% Other: _____%

b Provide the average duration of the Investment-Grade Debt and Interest Rate Hedging Assets:
 0-5 years 5-10 years 10-15 years 15 years or more

20 PBGC missed contribution reporting requirements. If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? Yes No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:
 Yes.
 No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.
 No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.
 No. Other. Provide explanation.....

Part VII IRS Compliance Questions

21a Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? Yes No

21b If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).
 Design-based safe harbor method
 "Prior year" ADP test
 "Current year" ADP test
 N/A

22 If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter ___/___/____ (MM/DD/YYYY) and the Opinion Letter serial number _____.

AMERICAN LUNG ASSOCIATION
RETIREMENT PLAN D
FINANCIAL STATEMENTS
JUNE 30, 2024

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Independent Auditors' Report

Plan Administrator And Management
American Lung Association Retirement Plan D
Chicago, Illinois

Scope And Nature Of The ERISA Section 103(a)(3)(C) Audit

We have performed audits of the financial statements of American Lung Association Retirement Plan D (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statement of net assets available for benefits as of June 30, 2024 and 2023, and the related statement of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained certifications from a qualified institution as of and for the years ended June 30, 2024 and 2023, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audits and on the procedures performed as described in the Auditors' Responsibilities For The Audit Of The Financial Statements section:

- The amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- The information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis For Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditors' Responsibilities For The Audit Of The Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities Of Management For The Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities For The Audit Of The Financial Statements

Except as described in the Scope And Nature Of The ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with auditing standards generally accepted in the United States of America will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if, there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with auditing standards generally accepted in the United States of America, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audits did not extend to the certified investment information, except for obtaining and reading the certifications, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

Other Matters - Supplemental Schedules Required By ERISA

The supplemental schedules of assets held at end of year as of June 30, 2024 and reportable transactions for the year ended June 30, 2024 are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- The information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

RubinBrown LLP

April 4, 2025

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

STATEMENT OF NET ASSETS AVAILABLE FOR BENEFITS

	June 30,	
	2024	2023
Assets		
Cash	\$ 280,000	\$ 87,370
Investments		
Mutual funds	11,504,646	12,207,674
Money market fund	555,816	1,785,864
U.S. Treasury obligations	3,929,496	3,049,946
Corporate and foreign bonds	15,799,562	14,186,897
Municipal obligation	80,339	85,231
Total Investments	31,869,859	31,315,612
Receivables		
Accrued interest and dividend income	234,903	200,298
Net Assets Available For Benefits	\$ 32,384,762	\$ 31,603,280

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

	For The Years Ended June 30,	
	2024	2023
Additions To Net Assets Attributed To:		
Organization contributions	\$ 1,040,750	\$ 3,000,000
Deductions From Net Assets Attributed To:		
Benefits paid	2,186,776	3,189,431
Administrative fees	428,321	508,486
Total Deductions	2,615,097	3,697,917
Investment Income		
Net change in fair value of investments	1,317,026	560,187
Interest and dividend income	1,038,794	1,014,841
Other	9	1,080
Net Investment Income	2,355,829	1,576,108
Net Increase	781,482	878,191
Net Assets Available For Benefits - Beginning Of Year	31,603,280	30,725,089
Net Assets Available For Benefits - End Of Year	\$ 32,384,762	\$ 31,603,280

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

NOTES TO FINANCIAL STATEMENTS

June 30, 2024 And 2023

1. Description Of The Plan

The following is a summary description of the American Lung Association Retirement Plan D (the Plan) and provides only general information. Participants should refer to the Plan Document for a more complete description of the Plan's provisions.

General

The Plan is a defined benefit plan covering substantially all employees of American Lung Association (the Organization) who have one year of service and are age 21 or older. As of June 30, 2011, the Plan was frozen. Effective July 1, 2017, the Organization merged American Lung Association Upper Midwest, Mid-Atlantic, Southeast, Southwest, and California Retirement Plans into the Plan. The merged in plans also had benefits frozen dates ranging between June 30, 2009 to June 30, 2015. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

Benefits

Participants are entitled to annual pension benefits beginning at normal retirement age (65) equal to 1.4% of the first \$9,000 of the average of the highest 60 consecutive months of earnings, plus 1.9% of earnings (as defined above) in excess of \$9,000, for each year of service up to a maximum of 30 years.

If a participant dies prior to retirement, the spouse or other designated beneficiary will be entitled to a survivor benefit that may be taken either as a life annuity or as a lump sum distribution.

Vesting

Participants who completed five years of service at the time benefits were frozen were 100% vested.

Administrative Expenses

The Plan pays certain investment advisory, actuarial, and administrative expenses from plan assets. Certain investment-related expenses are included in net change in fair value of investments.

2. Summary Of Significant Accounting Policies

Basis Of Accounting

The financial statements of the Plan are prepared using the accrual basis of accounting.

Estimates And Assumptions

The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein; disclosure of contingent assets and liabilities; and the actuarial present value of accumulated plan benefits at the date of the financial statements, and changes therein. Actual results could differ from those estimates.

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

Valuation Of Investments And Income Recognition

Investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for a discussion of fair value measurements.

Interest income is recorded as earned on the accrual basis. Purchases and sales of securities are recorded on a trade-date basis. Dividends are recorded on the ex-dividend date. The net change in fair value of investments includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Actuarial Present Value Of Accumulated Plan Benefits

Accumulated plan benefits are those estimated future periodic payments that are attributable under the Plan's provisions for the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to (a) retired or terminated employees or their beneficiaries, (b) beneficiaries of employees who have died and (c) present employees or their beneficiaries. Benefits are based on annual earnings, length of service, and in the case of the Account Pension Benefit, an offset allowance for Social Security benefits. For present employees, the valuation date is considered the employee termination date for calculating accumulated plan benefits.

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

Notes To Financial Statements (Continued)

Payment of Benefits

Benefits are recorded when paid.

Subsequent Events

Management has reviewed subsequent events through the date of the Independent Auditors' Report, which is the date the financial statements were available for issue.

3. Certified Investment Information

The following is a summary of the Plan's asset information as of June 30, 2024 and 2023, and for the years then ended, included throughout the Plan's financial statements and supplemental schedules that was prepared by or derived from information certified by First State Trust Company, the Trustee, and furnished to the Plan Administrator. The Plan Administrator has obtained certifications from the Trustee that the information provided to the Plan Administrator related to the following information is complete and accurate.

Accordingly, as permitted by CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA, the Plan Administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to information that appears throughout the financial statements and supplemental schedules related to the following assets as of June 30:

	<u>2024</u>	<u>2023</u>
Cash	\$ 280,000	\$ 87,370
Mutual funds	11,504,646	12,207,674
Money market fund	555,816	1,785,864
U.S. Treasury obligations	3,929,496	3,049,946
Corporate and foreign bonds	15,799,562	14,186,897
Municipal obligation	80,339	85,231

The Trustee also certified to the completeness and accuracy related the following investment income for the years ended June 30:

	<u>2024</u>	<u>2023</u>
Net change in fair value of investments	\$ 1,317,026	\$ 560,187
Interest and dividend income	1,038,794	1,014,841

4. Fair Value Measurements

The Plan utilizes an established framework for measuring fair value. The framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical investments (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under the standards are described below.

- Level 1 Quoted market prices in active markets for identical assets or liabilities.
- Level 2 Observable market based inputs or unobservable inputs that are corroborated by market data.
- Level 3 Unobservable inputs reflecting the reporting entity's own assumptions or external inputs from inactive markets.

The investment's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for investments measured at fair value:

Mutual Funds And Money Market Fund

Valued at the daily closing price as reported by the fund. Mutual funds and money market fund held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The funds held by the Plan are deemed to be actively traded.

U.S. Treasury Obligations And Municipal Obligation

The fair value of U.S. treasury obligations and municipal obligation are generally determined using observable market inputs that include quoted prices for identical or similar assets in markets that are not active, benchmark yields, reported trades, bids, offers and credit spreads.

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

Notes To Financial Statements (Continued)

Corporate And Foreign Bonds

Valued using pricing models maximizing the use of observable inputs for similar securities. This includes basing the value on yields currently available on comparable securities of issuers with similar credit ratings. When quoted prices are not available for identical or similar bonds, those corporate bonds are valued under a discounted cash flow approach that maximizes observable inputs, such as current yields or similar instruments, but includes adjustments for certain risks that may not be observable, such as credit and liquidity risks.

The methods described above may produce fair value calculations that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of:

	June 30, 2024			
	Level 1	Level 2	Level 3	Total
Mutual funds	\$ 11,504,646	\$ —	\$ —	\$ 11,504,646
Money market fund	555,816	—	—	555,816
U.S. Treasury obligations	—	3,929,496	—	3,929,496
Corporate and foreign bonds	—	15,799,562	—	15,799,562
Municipal obligation	—	80,339	—	80,339
Total Investments In The Fair Value Hierarchy	\$ 12,060,462	\$ 19,809,397	\$ —	\$ 31,869,859

	June 30, 2023			
	Level 1	Level 2	Level 3	Total
Mutual funds	\$ 12,207,674	\$ —	\$ —	\$ 12,207,674
Money market fund	1,785,864	—	—	1,785,864
U.S. Treasury obligations	114,138	2,935,808	—	3,049,946
Corporate and foreign bonds	—	14,186,897	—	14,186,897
Municipal obligation	—	85,231	—	85,231
Total Investments In The Fair Value Hierarchy	\$ 14,107,676	\$ 17,207,936	\$ —	\$ 31,315,612

There have been no changes in the valuation methodologies used at June 30, 2024 or 2023.

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

Notes To Financial Statements (*Continued*)

5. Funding Policy

It is the Organization's practice to fund accumulated plan benefits in accordance with the limits imposed by ERISA and the Internal Revenue Code (the Code). The contributions are made in amounts deemed necessary by the Plan's actuary to fund the benefits provided by the Plan. For the Plan years ended June 30, 2024 and 2023, the Plan met the minimum funding requirements under ERISA.

6. Plan Termination

Although it has not expressed any intention to do so, the Organization has the right under the Plan to terminate the Plan subject to the provisions set forth in ERISA. In the event the Plan terminates, the net assets of the Plan will be allocated as prescribed by ERISA, generally to provide the following benefits in the order indicated:

- a. Benefits derived from participant contributions (made under prior plan provisions).
- b. The minimum benefits payable under the Plan to participants who began receiving benefits at least three years before the Plan termination, based on Plan provisions in effect at any time during the five years prior to termination.
- c. The minimum benefit payable under the Plan which would have been received by participants or their beneficiaries at least three years before the Plan termination if the participant had retired more than three years before the Plan termination and if benefits had commenced (normal retirement benefits under the Plan) at that time, based on Plan provisions in effect at any time during the five years prior to termination.
- d. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) (a U.S. government agency) up to the applicable limitations (discussed below).
- e. All other nonforfeitable benefits.
- f. All other benefits.

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

Notes To Financial Statements (*Continued*)

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a statutory ceiling on the amount of an individual's monthly benefit that the PBGC guarantees.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide those benefits and may also depend on the financial condition of the American Lung Association and on the level of benefits guaranteed by the PBGC. Also, the PBGC will not guarantee benefits accrued under a plan that has become disqualified under the applicable sections of the Code.

7. Accumulated Plan Benefits

The Plan's actuary calculates the actuarial present value of accumulated plan benefits by applying actuarial assumptions, reflecting the time value of money, probability of payment, and other significant assumptions between the valuation date and the expected date of payment, to accumulated plan benefits. Significant assumptions at June 30, 2023 used in this calculation are as follows:

Discount rate	6.00%
Mortality table	75% White Collar weighted Pri-2012 Employee and Retiree Mortality Tables (base year 2012) and projected with Mortality Improvement Scale MP-2021
	For current beneficiaries of deceased participants, mortality is based on a 75% White Collar weighted Pri-2012 Employee and Retiree Mortality Tables Contingent Survivor Mortality Tables and projected with Mortality Improvement Scale MP-2021

The computations of the actuarial present value of accumulated plan benefits were made as of July 1, 2023. Had the valuations been performed as of June 30, 2023, there would be no material differences.

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

Notes To Financial Statements (Continued)

These actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Based on the preceding assumptions, the actuarial present value of accumulated plan benefits is as of June 30, 2023:

Vested benefits:	
Participants currently receiving payments	\$ 19,116,025
Other participants	16,788,212
	<u>35,904,237</u>
Nonvested benefits	<u>107,694</u>
Total actuarial present value of accumulated plan benefits	<u>\$ 36,011,931</u>

Changes in the Plan's actuarial present value of accumulated plan benefits for the year ended June 30, 2023 is as follows:

Actuarial present value of accumulated plan benefits as of June 30, 2022	<u>\$ 36,499,430</u>
Increase/(decrease) during year attributed to:	
Actuarial losses	602,232
Increase for interest due to decrease in discount period	2,095,677
Benefits paid	(3,189,431)
Change in actuarial assumptions	4,023
	<u>(487,499)</u>
Actuarial present value of accumulated plan benefits as of June 30, 2023	<u>\$ 36,011,931</u>

8. Party In Interest Transactions

Certain plan investments are managed by the Trustee, and, therefore, these transactions qualify as party-in-interest. Fees paid by the Plan for investment management services were included as a reduction of the return earned on each fund and certain administrative expenses were paid by the Plan or the Organization. These transactions qualify as party-in-interest transactions. Employees of the Organization provide administrative services to the Plan for which no fees are charged.

9. Income Tax Status

The Internal Revenue Service (IRS) has determined and informed the Organization by letter dated January 28, 2016, that the Plan is designed in accordance with applicable sections of the IRC. The Plan has been amended since receiving the determination letter. However, the plan administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable provisions of the IRC.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the organization has taken an uncertain position that, more likely than not, would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

10. Risks And Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the value of investment securities will occur in the near term and that such changes could materially affect the participants' account balances and the amounts reported in the statements of net assets available for benefits.

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates, and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

Supplemental Schedules

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF REPORTABLE TRANSACTIONS
For The Year Ended June 30, 2024

Type Of Investment	Number Of Purchase Transactions	Number Of Sales Transactions	Total Value Of Purchases	Total Net Selling Price	Expenses Incurred In Transactions	Total Cost Of Assets Sold	Total Current Value Of Assets On Transaction Date	Net Gain
Series Of Transactions In Excess Of 5%								
FDIC Bank Deposit Fund	159	—	\$ 8,993,896	\$ —	\$ —	\$ —	\$ 8,993,896	\$ —
	—	98	—	10,223,944	—	10,223,944	10,223,944	—

The above information is a required disclosure for IRS Form 5500, Schedule H, Part IV, line 4j.

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF ASSETS HELD AT THE END OF YEAR
Page 1 Of 5
June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Mutual Funds			
Cohen & Steers Instl Rlty Shs	20,377	\$ 914,952	\$ 806,935
Deutsche Dws Mkt Tr Dws Rreefrl Inst	78,792	1,206,605	1,131,167
GQG Emerging Markets	52,962	574,257	615,225
Oakmark Institutional Fund	16,623	1,575,524	1,941,048
Vanguard 500 Index Fund	4,915	851,369	2,055,802
Vanguard Mid Cap Index-Adm	4,605	1,111,054	1,128,698
Vanguard Small Cap Growth Index Adm	5,911	290,364	397,915
American Funds Europacific Growth F3	24,882	1,373,240	1,210,194
Dodge & Cox Intl Stock Fund	28,819	1,090,528	1,223,360
Pimco RAE Emerging Markets Instl	79,865	573,638	598,083
William Blair Small Cap Value Fund	15,374	450,810	396,219
Total Mutual Funds		10,012,341	11,504,646
Money Market Fund			
FDIC Bank Deposit Fund*	—	555,816	555,816
U.S. Treasury Obligations			
United States Treas Bds 4.5000% 02/15/44	230,000	227,967	225,599
United States Treas Nts 4.0000% 02/15/34	155,000	150,354	150,438
United States Treas Nts 4.2500% 03/15/27	450,000	447,574	446,398
United States Treas Nts 4.5000% 04/15/27	200,000	198,348	199,707
United States Treas Nts 4.5000% 05/15/27	70,000	69,861	69,903
United States Treas Nts 4.5000% 11/15/33	115,000	118,257	116,054
United States Treas Nts 4.6250% 04/30/29	10,000	10,060	10,114
United States Treas Nts 4.6250% 10/15/26	70,000	69,442	69,944
UNITED STATES TREAS NTS 4.5000% 03/31/26	60,000	59,480	59,687
US Treasury Bond 4.7500% 11/15/53	55,000	57,593	56,837
U S Treas Sec Stripped Int Pmt	450,000	177,197	154,932
U S Treas Sec Stripped Int Pmt 08/15/45	360,000	132,012	130,851
U S Treas Sec Stripped Int Pmt 11/15/48	475,000	202,193	150,138
U S Treas Sec Stripped Int Pmt 11/15/49	350,000	124,278	106,616
U S Treas Sec Stripped Int Pmt 11/15/50	270,000	99,959	79,473
U S Treas Sec Stripped Int Pmt 11/15/51	205,000	85,676	58,745
U S Treas Sec Stripped Int Pmt 02/15/43 0	270,000	101,813	110,505
U S Treas Sec Stripped Int Pmt 02/15/44 0	485,000	169,192	188,762
U S Treas Sec Stripped Int Pmt 08/15/47 0	380,000	168,230	126,598
UNITED STATES TREAS BD 08/15/53	170,000	40,484	47,445
United States Treas Bd Stripp 02/15/53	200,000	64,038	56,564
US Strips 08/15/44	490,000	222,328	186,286
US Treasury Strips 11/15/45	450,000	182,246	161,741
Private Expt Fdg Corp 4.6000% 02/15/34	35,000	34,990	34,482
UNITED STATES TREAS NTS 4.8750% 11/30/25	125,000	125,957	124,867
US Treasury Bonds 3.6250% 05/15/53	130,000	109,579	110,621
Fremf Mtg Tr 2018-k80 4.2294% 07/25/28	100,000	102,777	94,159
Fremf Mtg Tr 2019-k91 4.3993% 04/25/51	100,000	103,570	93,334
Gnma Remic Trust 2024 3.5000% 05/16/64	119,733	112,100	110,544
Gs Mtg Secs Tr 2014-gc22 4.3910% 06/12/47	45,000	43,523	39,853
Slg Office Trust 2021-ova 2.5850% 07/15/41	175,000	181,343	144,095
U S Treas Sec Stripped Int Pmt 05/15/43 0	530,000	251,156	214,204
Total U.S. Treasury Obligations		4,243,577	3,929,496

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF ASSETS HELD AT THE END OF YEAR (Continued)
Page 2 Of 5
June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Corporate and Foreign Bonds			
Benchmark Mortgage Tr 2020- 3.4028% 09/17/48	110,000	\$ 116,145	\$ 67,402
Benchmark Mtg Tr 2020-ig3 3.2299% 09/17/48	100,000	107,660	74,697
Soho Trust 2021-soho 2.6966% 08/12/38	110,000	110,189	73,831
Abbvie Inc 5.0500% 03/15/34	55,000	55,195	54,806
Alabama Power Co. 4.3000% 01/02/46	95,000	85,243	79,141
Amazon Com Inc 2.5000% 06/03/50	210,000	175,998	128,339
Ameren Ill Co 3.7000% 12/01/47	205,000	190,311	153,146
American Electric Power Com 5.7500% 11/01/27	105,000	107,881	106,780
American Honda Finance Corporation 10/04/30	70,000	73,349	73,073
American Tower Corp New 2.7500% 01/15/27	220,000	211,965	206,315
Amgen Inc 2.3000% 02/25/31	95,000	79,930	79,859
Anheuser Busch Inbev Worldw 5.4500% 01/23/39	165,000	165,404	167,309
Anthem Inc 4.1000% 05/15/32	45,000	44,897	41,752
Anthem Inc 3.6500% 12/01/27	100,000	97,009	95,595
Apple Inc. 4.375% 05/13/45	215,000	213,379	191,322
Ares Cap Co 7.0000% 01/15/27	95,000	95,568	96,558
At&t Inc 1.6500% 02/01/28	55,000	55,211	48,842
At&t Inc 4.3500% 03/01/29	145,000	150,596	140,327
Avalonbay Cmnty Inc 2.3000% 03/01/30	115,000	122,077	99,419
Avalonbay Cmnty Inc 5.3500% 06/01/34	50,000	49,935	49,973
Baker Hughes Hldgs Ll 2.0610% 12/15/26	65,000	61,034	60,291
Bbt Brh Bkg Tr Co Global Bk 2.6360% 09/17/29	115,000	114,784	112,538
Belrose Fdg Tr 2.3300% 08/15/30	90,000	84,682	73,215
Bershire Hathaway Fin 4.3000% 05/15/43	130,000	153,369	113,717
Bk Of America Corp 2.0870% 06/14/29	260,000	258,956	230,601
Blackstone Private Credit F 2.6250% 12/15/26	110,000	96,472	100,601
Boeing Co 7.2500% 06/15/25	161,000	222,565	162,332
Boston Gas Co 3.0010% 08/01/29	105,000	107,437	94,114
Bp Cap Mkts Amer Inc 2.7210% 01/12/32	175,000	158,521	148,780
Bristol Myers 5.9000% 11/15/33	80,000	81,514	84,065
Burlington Northn Santa Fe 5.1500% 09/01/43	135,000	157,432	129,130
Cameron Lng Llc 2.9020% 07/15/31	105,000	88,661	90,341
Centerpoint Energy Res Corp 1.7500% 10/01/30	65,000	60,716	53,079
Cigna Corp New 2.4000% 03/15/30	70,000	70,284	60,523
Cisco Sys Inc 5.0500% 02/26/34	35,000	34,664	34,967
Cisco Systems Inc 5.5000% 01/15/40	100,000	130,875	101,450
Cno Global Funding 1.6500% 01/06/25	20,000	18,829	19,564
Comcast Corp 4.250% 01/15/33	250,000	244,654	234,132
Comcast Corp 3.4000% 07/15/46	60,000	64,558	43,413
Commonwealth Edison Co 3.7000% 03/01/45	180,000	177,151	137,190
Con Edison NY 4.450% 03/15/44	135,000	125,854	115,056
Conagra Brands Inc 1.3750% 11/01/27	135,000	134,541	118,968
Conocophillips 5.3000% 05/15/53	70,000	69,677	66,884
Corebridge Finl Inc 3.6500% 04/05/27	115,000	108,191	110,087
Corporate Office Ppty L P 2.0000% 01/15/29	50,000	48,705	42,438
Cox Communication 5.4500% 09/15/28	80,000	79,724	80,469
Cvs Health Corp 1.7500% 08/21/30	135,000	109,958	109,588
Deere & Co 3.1000% 04/15/30	30,000	27,250	27,279
Discovery Communications Llc 3.9500% 03/20/28	105,000	97,795	98,217
Dte Elec Co 2.2500% 03/01/30	70,000	69,919	60,446

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF ASSETS HELD AT THE END OF YEAR (Continued)
Page 3 Of 5
June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Corporate and Foreign Bonds (Continued)			
Dte Elec Co 2.9500% 03/01/50	175,000	\$ 188,559	\$ 112,572
Duke Energy Corporation 4.3000% 03/15/28	205,000	197,431	198,953
Electricite De France SA 4.9500% 10/13/45	65,000	65,492	55,802
Enterprise Prods Oper Llc 2.8000% 01/31/30	100,000	89,545	89,221
Exxon Mobil Corp 3.0950% 08/16/49	55,000	40,281	37,182
Fl Pwr & Lt 2.8750% 12/04/51	95,000	60,265	60,343
Florida Power & Light Co 5.2500% 02/01/41	90,000	111,739	87,853
Florida Pwr & Lt Co 4.0500% 10/01/44	95,000	118,667	78,023
General Dynamics Corp 4.2500% 04/01/40	115,000	129,735	100,933
General Motors Financial Co 4.3000% 04/06/29	155,000	145,716	147,225
Georgia Pwr Co 2.6500% 09/15/29	40,000	35,370	35,649
Gilead Sciences INC 4.0000% 09/01/36	115,000	113,914	101,450
Goldman Sachs Group Inc 1.9920% 01/27/32	170,000	165,549	137,964
Gsk Consumer Healthcare Cap 3.6250% 03/24/32	140,000	126,898	125,264
HCA INC. SR GLBL NT 5.2000% 06/01/28	10,000	9,988	9,959
Home Depot Inc 4.5000% 12/06/48	170,000	168,042	148,679
Honeywell Intl Inc 5.2500% 03/01/54	100,000	100,345	97,140
Hyundai Cap Amer 1.0000% 09/17/24	55,000	54,916	54,433
Hyundai Cap Amer 5.6800% 06/26/28	75,000	74,942	75,725
Intel Corp 3.7340% 12/08/47	160,000	159,859	117,478
Intercontinental Exchange I 2.6500% 09/15/40	140,000	130,626	96,887
John Deere Capital Corporat 5.0500% 06/12/34	85,000	84,471	84,288
Johnson & Johnson 3.5500% 03/01/36	190,000	204,484	166,333
Kimberly-Clark Corp 3.9000% 05/04/47	50,000	39,636	39,506
Kimco Realty Corp 2.8000% 10/01/26	200,000	204,753	189,168
Kinder Morgan Energy Partne 4.2500% 09/01/24	165,000	169,377	164,502
Lilly Eli & Co 3.9500% 03/15/49	75,000	59,748	61,239
Manufacturer And Traders 3.4000% 08/17/27	115,000	118,195	107,139
Manulife Financial Corp 4.0610% 02/24/32	125,000	130,325	119,504
Mastercard Inc 3.6500% 06/01/49	86,000	95,865	65,856
Medtronic Inc 4.3750% 03/15/35	103,000	109,269	97,040
Microsoft Corp 2.9210% 03/17/52	150,000	184,226	101,874
Motorola Solutions Inc 5.0000% 04/15/29	90,000	89,794	89,308
National Rural Utils Coop F 5.8000% 01/15/33	35,000	36,274	35,946
National Rural Utils Coop F 3.9000% 11/01/28	190,000	196,204	180,897
Nevada Power Co 3.7000% 05/01/29	205,000	202,422	193,365
New York Life Ins Co 3.7500% 05/15/50	110,000	117,776	81,856
Northrop Grumman Corp 3.2500% 01/15/28	95,000	97,430	89,468
Omnicom Group Inc 2.6000% 08/01/31	130,000	111,880	109,631
Oncor Elec Delivery Co Llc 3.8000% 06/01/49	100,000	103,555	75,386
Oracle Corp 6.5000% 04/15/38	80,000	108,570	85,677
Oreilly Automotive Inc 1.7500% 03/15/31	110,000	88,370	88,670
Pacific Gas & Elec Co 3.0000% 06/15/28	90,000	82,804	82,237
Penske Truck Leasing Co L P 1.7000% 06/15/26	60,000	59,987	55,638
Pepsico Inc 2.8750% 10/15/49	50,000	32,363	33,076
Pnc Bk N A Pittsburgh Pa 4.0500% 07/26/28	160,000	172,323	152,076
Prologis L P 1.2500% 10/15/30	165,000	129,471	131,705
Prudential Finl Inc Mtns Bo 3.0000% 03/10/40	80,000	84,839	59,055
Public Svc Elec Gas Co Mtn 3.2000% 05/15/29	40,000	36,798	36,982
Rtx Corporation 6.0000% 03/15/31	40,000	39,973	41,697

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001 SCHEDULE OF ASSETS HELD AT THE END OF YEAR (Continued)

Page 4 Of 5
June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Corporate and Foreign Bonds (Continued)			
Santander Holdings Usa Inc 3.2440% 10/05/26	115,000	\$ 108,925	\$ 108,693
Simon Ppty Group Lp 3.8000% 07/15/50	115,000	78,642	84,172
Simon Ppty Group Lp 3.3750% 06/15/27	160,000	158,380	152,648
Southern Calif Edison Co 4.2000% 06/01/25	35,000	35,053	34,522
Southern Calif Edison Co 4.8750% 02/01/27	30,000	30,037	29,774
Southern Calif Edison Co 5.1500% 06/01/29	25,000	24,850	24,981
Southwestern Public Serv 4.5000% 08/15/41	60,000	58,751	50,138
State Str Corp 2.4000% 01/24/30	60,000	56,916	52,896
Statoil ASA 3.9500% 05/15/43	110,000	96,258	90,599
Sunoco Logistics Partners 3.9000% 07/15/26	220,000	233,593	213,236
Toyota Mtr Cr Corp 2.1500% 02/13/30	105,000	90,732	90,614
Trinity Health Corp Ind 2.6320% 12/01/40	50,000	36,311	35,196
United Health Group Inc 6.625% 11/15/37	100,000	119,536	110,581
United Parcel Service Inc 3.7500% 11/15/47	110,000	101,837	84,348
UnitedHealth Group Inc. 4.625% 07/15/35	95,000	99,908	91,046
Verizon 4.400% 11/01/34	125,000	129,529	115,800
Verizon Communications Inc 4.0160% 12/03/29	135,000	154,423	127,560
Viatis Inc 1.6500% 06/22/25	100,000	95,636	96,198
Virginia Elec & Pwr Co 5.3000% 08/15/33	45,000	44,050	44,715
Vmware Inc 4.5000% 05/15/25	60,000	67,119	59,422
Walmart Inc 4.5000% 09/09/52	105,000	95,719	93,000
Wells Fargo & Company 4.300% 07/22/27	240,000	251,378	233,733
Aercap Ireland Capital Limi 1.6500% 10/29/24	135,000	134,355	133,123
Astrazeneca Plc 3.0000% 05/28/51	45,000	31,880	30,129
Bank Nova Scotia Halifax 2.4500% 02/02/32	135,000	124,753	111,387
Bhp Billiton Fin Usa Ltd 4.9000% 02/28/33	105,000	106,315	102,905
Brookfield Fin Inc 4.2500% 06/02/26	120,000	114,204	117,325
Canadian Natl Ry Co 3.6500% 02/03/48	110,000	87,948	84,892
Enel Fin Intl N.v. 3.5000% 04/06/28	80,000	84,696	74,707
Hsbc Hldgs Plc 4.3750% 11/23/26	150,000	158,508	146,168
Mitsubishi Ufj Finl Group I 2.1930% 02/25/25	165,000	162,694	161,474
Natwest Group Plc 1.6420% 06/14/27	100,000	96,872	92,486
Pfizer Invt Enterprises 5.3000% 05/19/53	115,000	118,913	110,978
Rio Tinto Fin Usa Plc 5.0000% 03/09/33	110,000	109,706	109,567
Santander Uk Group Holdings 1.5320% 08/21/26	35,000	33,002	33,311
Sumitomo Mitsui Fin Grp Inc 5.5200% 01/13/28	110,000	110,817	111,097
Telefonica Emisiones S A U 4.1030% 03/08/27	110,000	126,778	106,793
Toronto Dominion Bank 4.4560% 06/08/32	130,000	124,583	122,553
Total Capital 2.8290% 01/10/30	105,000	94,261	94,208
Avis Bud Rent Car Fdg 2023- 6.0200% 02/20/30	100,000	99,961	102,068
Bbcms Mtg Tr 7.1261% 10/15/33	60,000	61,890	69,155
Bmo 2023-c7 Mortgage 2023-c7 6.2031% 12/15/56	100,000	103,000	106,448
Bmo Mtg Tr 2022-c2 07/17/54	80,000	78,565	77,449
Brean Asset Backed Securiti 5.2500% 01/25/63	95,898	91,294	91,734
Citigroup Coml Mtg Tr 2017- 3.9150% 04/15/50	95,000	90,365	86,630
Exeter Auto Recv Tr 2021-2 0.9800% 06/15/26	934	912	932
Fasst 2022-s6 A1 3.0000% 07/25/61	98,795	87,508	94,412
Fin Of Amer Str Secus 2023- 3.0000% 09/25/61	48,147	43,312	45,255
Jpmbb Coml Mtg Sec Tr 2015- 3.9860% 10/19/48	60,000	56,613	58,588
Santander Auto Rec Tr 2022-4 5.0000% 11/15/29	90,000	89,962	88,542

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF ASSETS HELD AT THE END OF YEAR (Continued)
Page 5 Of 5
2024 June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Corporate and Foreign Bonds (Continued)			
Santer Drv Auto Rec Tr 2023 6.0400% 12/15/31	85,000	\$ 84,979	\$ 85,623
Scott Tr 2023-sfs 5.9098% 03/12/40	50,000	50,000	49,748
American Express Co 5.2820% 07/27/29	140,000	141,360	140,248
Bnp Paribas 3.0520% 01/13/31	145,000	146,759	127,094
Capital One Finl Corp 4.9270% 05/10/28	80,000	79,542	78,849
Citigroup Inc 2.6660% 01/29/31	120,000	118,853	104,473
Jp Morgan Chase Bank Na 3.8820% 07/24/38	265,000	264,333	226,875
Morgan Stanley 1.9280% 04/28/32	110,000	87,875	88,399
STATE STR CORP SR GLBL NT 3 5.1590% 05/18/34	90,000	88,836	89,076
Us Bancorp 4.5480% 07/22/28	115,000	114,589	112,375
Drive Auto Rec Tr 2021-2 0.8700% 10/15/27	11,982	11,495	11,921
Exeter Auto Recv Tr 2021-3 0.9600% 10/15/26	63,937	61,232	63,315
Mill City Mtg Ln Tr 2017-2 3.2500% 07/25/59	65,000	65,650	60,074
Santander Drive Auto Tr 202 4.4900% 08/15/29	180,000	179,966	176,057
Sofi Professional Loan Prog 2.5400% 05/15/46	72,165	72,130	67,432
Total Corporate and Foreign Bonds		<u>17,404,624</u>	<u>15,799,562</u>
Municipal Obligation			
California St 7.3000% 10/01/39	70,000	96,232	80,339
Total Investments		<u>\$ 31,756,774</u>	<u>\$ 31,869,859</u>

* Indicates allowable party in interest.

The above information is a required disclosure for IRS Form 5500, Schedule H, Part IV, line 4i.

American Lung Association Retirement Plan "D"

EIN/PN: 13-1632524 / 001

Schedule SB, Line 26a – Schedule of Active Participant Data

Attained Age	Years of Credited Service									
	Under 1	1 - 4	5 - 9	10 - 14	15 - 19	20 - 24	25 - 29	30 - 34	35 - 39	40 & up
Under 25	0	0	0	0	0	0	0	0	0	0
25 - 29	0	0	0	0	0	0	0	0	0	0
30 - 34	0	0	0	0	0	0	0	0	0	0
35 - 39	0	1	3	0	0	0	0	0	0	0
40 - 44	1	1	5	0	0	0	0	0	0	0
45 - 49	1	3	6	0	0	0	0	0	0	0
50 - 54	0	1	5	2	1	0	0	0	0	0
55 - 59	0	1	1	0	1	2	0	0	0	0
60 - 64	0	2	3	3	1	0	2	0	0	0
65 - 69	0	1	4	2	2	1	2	0	1	0
70 & up	0	0	0	0	0	0	0	0	0	0
Total	2	10	27	7	5	3	4	0	1	0

American Lung Association Retirement Plan “D”

EIN/PN: 13-1632524 / 001

Schedule SB, Part V – Statement of Actuarial Assumptions/Methods

Appendix A: Actuarial Assumptions and Methods

Funding assumptions selection and rationale

The following assumptions were selected by the plan’s enrolled actuary. The asset valuation method was selected by the plan sponsor with the actuary’s advice and is an acceptable method under legislation.

The demographic and other noneconomic assumptions that have a significant effect on this measurement are the retirement, frequency of optional payment form, and withdrawal rate assumptions. These are based on the experience study performed during 2022. The actuary has determined that these demographic and other noneconomic assumptions are reasonable for the purpose of the measurement.

Use of Models

Actuarial Standard of Practice No. 56 (“ASOP 56”) provides guidance to actuaries when performing actuarial services with respect to designing, developing, selecting, modifying, using, reviewing, or evaluating models. Gallagher uses third-party software in the performance of annual actuarial valuations and projections. The model is intended to calculate the liabilities associated with the provisions of the plan using data and assumptions as of the measurement date under the funding rules and accounting standards specified in this report. Further, the model applies those funding rules and accounting standards to the liabilities derived and other inputs, such as plan assets and contributions, to generate many of the exhibits found in this report. Gallagher has an extensive review process whereby the results of the liability calculations are checked using detailed sample output, changes from year to year are summarized by source, and significant deviations from expectations are investigated. Other funding and accounting outputs are similarly reviewed in detail and at a high level for accuracy, reasonability and consistency with prior results. Gallagher also reviews the model when significant changes are made to the software. The review is performed by experts within the company who are familiar with applicable funding and/or accounting rules as well as the manner in which the model generates its output.

Future actuarial measurement

Future actuarial measurements may differ significantly from current measurements due to plan experience differing from that anticipated by the actuarial assumptions, changes expected as part of the natural operation of the methodology used for these measurements, and changes in plan provisions, applicable law or regulations. An analysis of the potential range of such future differences is beyond the scope of this report. However, in accordance with ASOP 51, an assessment of risks for the plan was performed.

American Lung Association Retirement Plan “D”

EIN/PN: 13-1632524 / 001

Schedule SB, Part V – Statement of Actuarial Assumptions/Methods (continued)

Prescribed Funding/PBGC Assumptions and Methods

The following assumptions and methods are prescribed by ERISA, as currently amended.

Interest rates

	2023 Plan Year	2022 Plan Year
Funding Rates – Constrained*		
First Segment Rate	4.75%	4.75%
Second Segment Rate	5.00%	5.18%
Third Segment Rate	5.74%	5.92%
Effective Interest Rate	5.15%	5.31%
Funding Rates – Unconstrained**		
First Segment Rate	2.50%	0.87%
Second Segment Rate	3.83%	2.64%
Third Segment Rate	4.06%	3.28%
Effective Interest Rate	3.77%	2.69%
PBGC Premium Funding Target Rates		
First Segment Rate	2.50%	0.87%
Second Segment Rate	3.83%	2.64%
Third Segment Rate	4.06%	3.28%
Effective Interest Rate	3.77%	2.69%

* Used for minimum funding and benefit restriction purposes.

The interest rates used for funding purposes are the Segment Rates with 4-month lookback, constrained in accordance with relevant legislation.

Mortality

Mortality tables mandated by applicable law and regulation as specified in IRS Regulation 1.430(h)(3)-1, as amended by IRS Notice 2022-22, applied on a static basis, using the blended annuitant/non-annuitant table applicable to small plans.

Actuarial cost method

The Funding Target is the present value of accrued benefits based on compensation and service to date. The Target Normal Cost is the present value of benefits expected to be accrued during the current plan year, reflecting the effect of expected compensation increases during the year and including expected plan administrative related expenses to be paid from plan assets during the year.

American Lung Association Retirement Plan “D”

EIN/PN: 13-1632524 / 001

Schedule SB, Part V – Statement of Actuarial Assumptions/Methods (continued)

Non-Prescribed Funding Assumptions and Methods

Expenses

The expense load added to Target Normal Cost was \$396,000, equal to the prior year’s plan related expenses, excluding the prior year PBGC premium including 4% inflation plus current year PBGC premium.

Frequency of optional payment forms

Participants are assumed to elect single life annuity and other optional forms in accordance with past experience and anticipated future experience.

Payment Form	Frequency %
Lump sum	65%
Single Life Annuity	20%
50% Joint and Survivor	10%
100% Joint and Survivor	5%

Marital percentage

Based on the US Social Security awards in 1972. Husbands are assumed to be 3 years older than their wives.

Retirement rates

Age	Actives	Vested Terminations
62	15.00%	15.00%
63	15.00%	15.00%
64	15.00%	35.00%
65	35.00%	65.00%
66	50.00%	50.00%
67	25.00%	25.00%
68	25.00%	25.00%
69	50.00%	50.00%
70	100.00%	100.00%

Disability rates

None.

American Lung Association Retirement Plan “D”

EIN/PN: 13-1632524 / 001

Schedule SB, Part V – Statement of Actuarial Assumptions/Methods (continued)

Non-Prescribed Funding Assumptions and Methods (continued)

Withdrawal rates for active participants not eligible for retirement

Due to the demographics of the small number of active participants remaining in the plan and the fact that the plan is frozen the review of this assumption was not included in the experience analysis.

Age	Male	Female	Age	Male	Female
20	15.32%	34.01%	40	3.00%	5.20%
25	10.75%	22.80%	45	1.75%	2.50%
30	7.40%	14.90%	50+	0.00%	0.00%
35	4.70%	9.50%			

Asset valuation method

The Actuarial Value of Assets is market value as of the valuation date, including the discounted value of accrued contributions, reduced by 2/3 of the gain/(loss) for the immediately preceding plan year and reduced by 1/3 of the gain/(loss) for the plan year before that. The gain/(loss) for each period is determined as the actual return on market value during the period less the expected return on market value based on an assumed earnings rate chosen by the actuary but required by current law and regulation to be not greater than the applicable third Segment Rate. The resulting value is constrained to be within a corridor of 90% to 110% of market value, including discounted receivable contributions.

An analysis was performed based on the capital market assumptions to determine the expected return on asset assumption.

	Actuary's Assumption	Third Segment Rate	Reflecting Limit
2023 Expected Return	6.00%	5.74%	5.74%
2022 Expected Return	6.00%	5.92%	5.92%
2021 Expected Return	5.50%	6.11%	5.50%

Lump Sum Conversion

For June 30, 2008 accrued benefits eligible for the GATT basis: 5.50% interest rate and mortality as defined in Revenue Ruling 2001-62.

For current accrued benefits eligible for the 417(e)(3) basis: three-tier segment rates (reflecting relevant legislative constraints) and applicable mortality under 417(e)(3)(b).

Employee Contributions

Employee contributions were assumed to earn 4.00%.

American Lung Association Retirement Plan “D”

EIN/PN: 13-1632524 / 001

Schedule SB, Part V – Statement of Actuarial Assumptions/Methods (continued)

Non-Prescribed Funding Assumptions and Methods (continued)

Summary of Changes from the July 1, 2022 Valuation

- The interest rate basis was updated to the current rates as specified in IRS Regulation 1.430(h)(2)-1. These rates are constrained in accordance with relevant legislation.
- The mortality assumption was updated as specified in IRS Regulation 1.430(h)(3)-1, as amended in IRS Notice 2022-22, applied on a static basis, using the blended annuitant/non-annuitant table applicable to small plans.
- The mortality assumption used to compute lumps sums was updated according to the projection specified in IRS Notice 2022-22.
- The changes in assumptions listed above increased the Funding Target by approximately \$1.1M.

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

**EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF REPORTABLE TRANSACTIONS
For The Year Ended June 30, 2024**

Type Of Investment	Number Of Purchase Transactions	Number Of Sales Transactions	Total Value Of Purchases	Total Net Selling Price	Expenses Incurred In Transactions	Total Cost Of Assets Sold	Total Current Value Of Assets On Transaction Date	Net Gain
Series Of Transactions In Excess Of 5%								
FDIC Bank Deposit Fund	159	—	\$ 8,993,896	\$ —	\$ —	\$ —	\$ 8,993,896	\$ —
	—	98	—	10,223,944	—	10,223,944	10,223,944	—

The above information is a required disclosure for IRS Form 5500, Schedule H, Part IV, line 4j.

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	<small>OMB No. 1210-0110</small> 2023 This Form is Open to Public Inspection
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For calendar plan year 2023 or fiscal plan year beginning 07/01/2023 and ending 06/30/2024

▶ **Round off amounts to nearest dollar.**
 ▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan American Lung Association Retirement Plan D	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF American Lung Association	D Employer Identification Number (EIN) 13-1632524	
E Type of plan: <input checked="" type="checkbox"/> Single <input type="checkbox"/> Multiple-A <input type="checkbox"/> Multiple-B	F Prior year plan size: <input type="checkbox"/> 100 or fewer <input checked="" type="checkbox"/> 101-500 <input type="checkbox"/> More than 500	

Part I Basic Information

1 Enter the valuation date: Month <u>07</u> Day <u>01</u> Year <u>2023</u>			
2 Assets:			
a Market value.....	2a	31,603,280	
b Actuarial value	2b	34,096,039	
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	111	20,325,463	20,325,463
b For terminated vested participants.....	155	8,088,682	8,088,682
c For active participants.....	59	10,468,066	10,586,528
d Total	325	38,882,211	39,000,673
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... <input type="checkbox"/>			
a Funding target disregarding prescribed at-risk assumptions.....	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5 Effective interest rate.....	5	5.15%	
6 Target normal cost			
a Present value of current plan year accruals.....	6a	0	
b Expected plan-related expenses	6b	396,000	
c Target normal cost.....	6c	396,000	

Statement by Enrolled Actuary
 To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	David M. Weinreb <i>DMW</i>	2/10/2025
	Signature of actuary	Date
	David M. Weinreb	2306292
	Type or print name of actuary	Most recent enrollment number
	Buck Global, LLC	201-902-2300
	Firm name	Telephone number (including area code)
	200 Jefferson Park, 2nd Floor	
	Whippany NJ 07981	
	Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 4.75 %	2nd segment: 5.00 %	3rd segment: 5.74 %	<input type="checkbox"/> N/A, full yield curve used
b Applicable month (enter code).....				21b 4
22 Weighted average retirement age				22 66
23 Mortality table(s) (see instructions)	<input checked="" type="checkbox"/> Prescribed - combined <input type="checkbox"/> Prescribed - separate <input type="checkbox"/> Substitute			

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
26 Demographic and benefit information		
a Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b Is the plan required to provide a projection of expected benefit payments? If "Yes," see instructions regarding required attachment ...	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years.....	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29).....	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6c).....	31a	396,000	
b Excess assets, if applicable, but not greater than line 31a	31b	0	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	7,767,269	843,721	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)....	34	1,239,721	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	315,000	315,000
36 Additional cash requirement (line 34 minus line 35).....	36	924,721	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	1,001,237	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	76,516	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	76,516	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37).....	39	0	
40 Unpaid minimum required contributions for all years.....	40	0	

Part IX Pension Funding Relief Under the American Rescue Plan Act of 2021 (See Instructions)

41 If an election was made to use the extended amortization rule for a plan year beginning on or before December 31, 2021, check the box to indicate the first plan year for which the rule applies. <input checked="" type="checkbox"/> 2019 <input type="checkbox"/> 2020 <input type="checkbox"/> 2021
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American Lung Association Retirement Plan "D"

EIN/PN: 13-1632524 / 001

Schedule SB, Line 19 – Discounted Employer Contributions

Contribution Date	Contribution Amount	Plan Year	Days Discounted At Effective Rate Of 5.15%	Days Discounted At Penalty Rate Of 10.15%	Discounted Value of Contributions
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Line 19c – Contributions Allocated Toward Minimum Required Contribution for Current Year

1/11/2024	\$231,400	2023	194	0	\$225,322
1/26/2024	\$6,216	2023	198	11	\$6,032
1/26/2024	\$34	2023	209	0	\$33
4/5/2024	\$523,100	2023	279	0	\$503,453
6/28/2024	\$280,000	2023	363	0	\$266,396
Total	\$1,040,750				\$1,001,236

American Lung Association Retirement Plan “D”
EIN/PN: 13-1632524 / 001

Schedule SB, Line 22 – Description of Weighted Average Retirement Age

This table calculates the weighted average retirement age for all active persons in the plan

(1) Age	(2) Expected Active Headcount	(3) Retirement Rate	(4) Expected Retirements (2)*(3)	(5) Weighted Age (1)*(4)
62	36.8402	0.1460	5.3770	333.3725
63	33.2953	0.1455	4.8458	305.2869
64	31.2758	0.1453	4.5436	290.7892
65	27.5503	0.3731	10.2797	668.1792
66	22.0945	0.5000	11.0472	729.1173
67	13.8834	0.2500	3.4708	232.5468
68	13.2977	0.2500	3.3244	226.0601
69	9.8509	0.5000	4.9255	339.8571
70	6.8252	1.0000	<u>6.8252</u>	<u>477.7656</u>
Total			54.6392	3,602.9746
Weighted Average Retirement Age = 3602.9746 / 54.6392				65.94
Rounded Weighted Average Retirement Age				66

Note to Column 2: The Expected Active Headcount for each age includes persons who are eligible to retire and persons who are not eligible to retire at each age.

Note to Column 3: At each age, these retirement rates are a weighted average of the rates shown in Attachment to Part V for active participants eligible to retire at the age and zero for all other active participants.

General note: The table presents values rounded to fewer significant digits than used in the calculation.

American Lung Association Retirement Plan “D”

EIN/PN: 13-1632524 / 001

Schedule SB, Part V – Summary of Plan Provisions

Effective July 1, 2017, the plans sponsored by the American Lung Associations of California, Southeast, Upper Midwest, Mid-Atlantic, and Southwest were merged into the American Lung Association Retirement Plan “D” as sponsored by the American Lung Association National Headquarters. The plan is closed to new participants, and benefits were frozen as of June 30, 2009 for California, June 30, 2015 for Southeast, July 31, 2014 for Upper Midwest, June 30, 2010 for Mid-Atlantic, June 30, 2011 for National Headquarters and June 30, 2015 for Southwest.

Plan Year

July 1 – June 30

Eligibility

Participants are eligible at the age of 21 and completion of 1 year of employment.

Final Average Earnings

Average of the highest 60 consecutive months of earnings. Earnings are subject to IRS limits.

Accrued Benefit

The accrued benefit under the plan is based on Tier I unless the plan sponsor elected Tier II

Tier I: 1.4% of the first \$9,000 of Final Average Earnings plus 1.9% of Final Average Earnings in excess of \$9,000, times Credited Service up to a maximum of 30 years.

Tier II: Effective July 1, 1994 a plan sponsor could adopt Tier II equal to the greater of the sum of A. and B. and C:

- A. Accrued benefit as of the June 30th prior to the effective date of the Employer’s adoption of the Tier II benefit option.
- B. 1.4% of Final Average Earnings times Credited Service subsequent to the Tier II adoption date, limited to a total of thirty years combined.
- C. 1.4% of final Average Earnings times all Credited Service limited to 30 years.

The plan sponsor did not adopt Tier II.

Normal Retirement Benefit

Eligibility

First of the month coincident with or next following the date the participant attains age 65 and four years of participation.

Benefit

Accrued Benefit payable immediately.

Early Retirement Benefit

Eligibility

First of any month after attainment of age 55 and completion of 5 years of service.

Benefit

Accrued Benefit reduced 4% per year below age 65. For participants hired on or after July 1, 1997 with less than 12 years of service, reduction is actuarial equivalent.

American Lung Association Retirement Plan “D”

EIN/PN: 13-1632524 / 001

Schedule SB, Part V – Summary of Plan Provisions (continued)

Late Retirement Benefit

Eligibility

First of any month after normal retirement.

Benefit

Greater of Accrued Benefit at late retirement and the Accrued Benefit at normal retirement increased based on the actuarial equivalent factors defined in the plan document.

Termination Benefit

Eligibility

100% vested after 5 or more years of service.

Benefit

Accrued Benefit payable at Normal Retirement Date.

Disability Benefit

Eligibility

5 or more years of service.

Benefit

Accrued Benefit payable at retirement payable at 65 based on projected service to 65 and salary at time of disability.

Death Benefits Prior to Retirement

Automatic

5 or more years of service.

Amount of Spouse Benefit

Upon the death of a Participant, the Participant's Spouse or designated beneficiary will receive a retirement income equal to 50% of the Accrued Benefit the Participant would have received under the 50% Joint and Survivor form of payment, payable at earliest eligibility for early retirement and reduced by early retirement and joint and survivor coverage. If a participant was not married and did not designate a beneficiary a death benefit will be payable to the estate.

Death Benefits after Retirement

Eligibility

N/A

Amount of Spouse Benefit

If married, beginning at age 55 pension benefits are paid in the form of a joint and survivor annuity unless this form is rejected by employee and spouse. If not rejected, the benefit amount otherwise payable is reduced to reflect the joint and survivor coverage. If rejected, or if not married, benefits are payable for the life of the employee. For those locations whose employees formerly made contributions, a return of employee contributions is guaranteed.

Form of Benefits

Automatic

50% Joint and Survivor Life Annuity if married at retirement and Life Annuity if not married.

Optional

Joint and Survivor at 25%, 50%, 75% or 100%, 10 Year Certain and Life, Lump Sum, and Life Annuity.

American Lung Association Retirement Plan “D”

EIN/PN: 13-1632524 / 001

Schedule SB, Part V – Summary of Plan Provisions (continued)

Lump Sums

Lump sum cashout factors based on 1971 Group Annuity Mortality Table with a 50/50 male/female mortality blend and an investment return assumption equal to 7%, but not less than factors based on the 2012 Applicable Mortality table under IRC 417(e)(3), and the PPA Lump Sum Yield Curve as of May 2012. Additionally, GATT lump sum factors have been grandfathered into the plan for benefits accrued through June 30, 2008.

Summary of Changes from the July 1, 2022 Valuation

None.

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF ASSETS HELD AT THE END OF YEAR
Page 1 Of 5
June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Mutual Funds			
Cohen & Steers Instl Rlty Shs	20,377	\$ 914,952	\$ 806,935
Deutsche Dws Mkt Tr Dws Rreefrl Inst	78,792	1,206,605	1,131,167
GQG Emerging Markets	52,962	574,257	615,225
Oakmark Institutional Fund	16,623	1,575,524	1,941,048
Vanguard 500 Index Fund	4,915	851,369	2,055,802
Vanguard Mid Cap Index-Adm	4,605	1,111,054	1,128,698
Vanguard Small Cap Growth Index Adm	5,911	290,364	397,915
American Funds Europacific Growth F3	24,882	1,373,240	1,210,194
Dodge & Cox Intl Stock Fund	28,819	1,090,528	1,223,360
Pimco RAE Emerging Markets Instl	79,865	573,638	598,083
William Blair Small Cap Value Fund	15,374	450,810	396,219
Total Mutual Funds		10,012,341	11,504,646
Money Market Fund			
FDIC Bank Deposit Fund*	—	555,816	555,816
U.S. Treasury Obligations			
United States Treas Bds 4.5000% 02/15/44	230,000	227,967	225,599
United States Treas Nts 4.0000% 02/15/34	155,000	150,354	150,438
United States Treas Nts 4.2500% 03/15/27	450,000	447,574	446,398
United States Treas Nts 4.5000% 04/15/27	200,000	198,348	199,707
United States Treas Nts 4.5000% 05/15/27	70,000	69,861	69,903
United States Treas Nts 4.5000% 11/15/33	115,000	118,257	116,054
United States Treas Nts 4.6250% 04/30/29	10,000	10,060	10,114
United States Treas Nts 4.6250% 10/15/26	70,000	69,442	69,944
UNITED STATES TREAS NTS 4.5000% 03/31/26	60,000	59,480	59,687
US Treasury Bond 4.7500% 11/15/53	55,000	57,593	56,837
U S Treas Sec Stripped Int Pmt	450,000	177,197	154,932
U S Treas Sec Stripped Int Pmt 08/15/45	360,000	132,012	130,851
U S Treas Sec Stripped Int Pmt 11/15/48	475,000	202,193	150,138
U S Treas Sec Stripped Int Pmt 11/15/49	350,000	124,278	106,616
U S Treas Sec Stripped Int Pmt 11/15/50	270,000	99,959	79,473
U S Treas Sec Stripped Int Pmt 11/15/51	205,000	85,676	58,745
U S Treas Sec Stripped Int Pmt 02/15/43 0	270,000	101,813	110,505
U S Treas Sec Stripped Int Pmt 02/15/44 0	485,000	169,192	188,762
U S Treas Sec Stripped Int Pmt 08/15/47 0	380,000	168,230	126,598
UNITED STATES TREAS BD 08/15/53	170,000	40,484	47,445
United States Treas Bd Stripp 02/15/53	200,000	64,038	56,564
US Strips 08/15/44	490,000	222,328	186,286
US Treasury Strips 11/15/45	450,000	182,246	161,741
Private Expt Fdg Corp 4.6000% 02/15/34	35,000	34,990	34,482
UNITED STATES TREAS NTS 4.8750% 11/30/25	125,000	125,957	124,867
US Treasury Bonds 3.6250% 05/15/53	130,000	109,579	110,621
Fremf Mtg Tr 2018-k80 4.2294% 07/25/28	100,000	102,777	94,159
Fremf Mtg Tr 2019-k91 4.3993% 04/25/51	100,000	103,570	93,334
Gnma Remic Trust 2024 3.5000% 05/16/64	119,733	112,100	110,544
Gs Mtg Secs Tr 2014-gc22 4.3910% 06/12/47	45,000	43,523	39,853
Slg Office Trust 2021-ova 2.5850% 07/15/41	175,000	181,343	144,095
U S Treas Sec Stripped Int Pmt 05/15/43 0	530,000	251,156	214,204
Total U.S. Treasury Obligations		4,243,577	3,929,496

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF ASSETS HELD AT THE END OF YEAR (Continued)
Page 2 Of 5
June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Corporate and Foreign Bonds			
Benchmark Mortgage Tr 2020- 3.4028% 09/17/48	110,000	\$ 116,145	\$ 67,402
Benchmark Mtg Tr 2020-ig3 3.2299% 09/17/48	100,000	107,660	74,697
Soho Trust 2021-soho 2.6966% 08/12/38	110,000	110,189	73,831
Abbvie Inc 5.0500% 03/15/34	55,000	55,195	54,806
Alabama Power Co. 4.3000% 01/02/46	95,000	85,243	79,141
Amazon Com Inc 2.5000% 06/03/50	210,000	175,998	128,339
Ameren Ill Co 3.7000% 12/01/47	205,000	190,311	153,146
American Electric Power Com 5.7500% 11/01/27	105,000	107,881	106,780
American Honda Finance Corporation 10/04/30	70,000	73,349	73,073
American Tower Corp New 2.7500% 01/15/27	220,000	211,965	206,315
Amgen Inc 2.3000% 02/25/31	95,000	79,930	79,859
Anheuser Busch Inbev Worldw 5.4500% 01/23/39	165,000	165,404	167,309
Anthem Inc 4.1000% 05/15/32	45,000	44,897	41,752
Anthem Inc 3.6500% 12/01/27	100,000	97,009	95,595
Apple Inc. 4.375% 05/13/45	215,000	213,379	191,322
Ares Cap Co 7.0000% 01/15/27	95,000	95,568	96,558
At&t Inc 1.6500% 02/01/28	55,000	55,211	48,842
At&t Inc 4.3500% 03/01/29	145,000	150,596	140,327
Avalonbay Cmnty Inc 2.3000% 03/01/30	115,000	122,077	99,419
Avalonbay Cmnty Inc 5.3500% 06/01/34	50,000	49,935	49,973
Baker Hughes Hldgs Ll 2.0610% 12/15/26	65,000	61,034	60,291
Bbt Brh Bkg Tr Co Global Bk 2.6360% 09/17/29	115,000	114,784	112,538
Belrose Fdg Tr 2.3300% 08/15/30	90,000	84,682	73,215
Bershire Hathaway Fin 4.3000% 05/15/43	130,000	153,369	113,717
Bk Of America Corp 2.0870% 06/14/29	260,000	258,956	230,601
Blackstone Private Credit F 2.6250% 12/15/26	110,000	96,472	100,601
Boeing Co 7.2500% 06/15/25	161,000	222,565	162,332
Boston Gas Co 3.0010% 08/01/29	105,000	107,437	94,114
Bp Cap Mkts Amer Inc 2.7210% 01/12/32	175,000	158,521	148,780
Bristol Myers 5.9000% 11/15/33	80,000	81,514	84,065
Burlington Northn Santa Fe 5.1500% 09/01/43	135,000	157,432	129,130
Cameron Lng Llc 2.9020% 07/15/31	105,000	88,661	90,341
Centerpoint Energy Res Corp 1.7500% 10/01/30	65,000	60,716	53,079
Cigna Corp New 2.4000% 03/15/30	70,000	70,284	60,523
Cisco Sys Inc 5.0500% 02/26/34	35,000	34,664	34,967
Cisco Systems Inc 5.5000% 01/15/40	100,000	130,875	101,450
Cno Global Funding 1.6500% 01/06/25	20,000	18,829	19,564
Comcast Corp 4.250% 01/15/33	250,000	244,654	234,132
Comcast Corp 3.4000% 07/15/46	60,000	64,558	43,413
Commonwealth Edison Co 3.7000% 03/01/45	180,000	177,151	137,190
Con Edison NY 4.450% 03/15/44	135,000	125,854	115,056
Conagra Brands Inc 1.3750% 11/01/27	135,000	134,541	118,968
Conocophillips 5.3000% 05/15/53	70,000	69,677	66,884
Corebridge Finl Inc 3.6500% 04/05/27	115,000	108,191	110,087
Corporate Office Ppty L P 2.0000% 01/15/29	50,000	48,705	42,438
Cox Communication 5.4500% 09/15/28	80,000	79,724	80,469
Cvs Health Corp 1.7500% 08/21/30	135,000	109,958	109,588
Deere & Co 3.1000% 04/15/30	30,000	27,250	27,279
Discovery Communications Llc 3.9500% 03/20/28	105,000	97,795	98,217
Dte Elec Co 2.2500% 03/01/30	70,000	69,919	60,446

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF ASSETS HELD AT THE END OF YEAR (Continued)
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June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Corporate and Foreign Bonds (Continued)			
Dte Elec Co 2.9500% 03/01/50	175,000	\$ 188,559	\$ 112,572
Duke Energy Corporation 4.3000% 03/15/28	205,000	197,431	198,953
Electricite De France SA 4.9500% 10/13/45	65,000	65,492	55,802
Enterprise Prods Oper Llc 2.8000% 01/31/30	100,000	89,545	89,221
Exxon Mobil Corp 3.0950% 08/16/49	55,000	40,281	37,182
Fl Pwr & Lt 2.8750% 12/04/51	95,000	60,265	60,343
Florida Power & Light Co 5.2500% 02/01/41	90,000	111,739	87,853
Florida Pwr & Lt Co 4.0500% 10/01/44	95,000	118,667	78,023
General Dynamics Corp 4.2500% 04/01/40	115,000	129,735	100,933
General Motors Financial Co 4.3000% 04/06/29	155,000	145,716	147,225
Georgia Pwr Co 2.6500% 09/15/29	40,000	35,370	35,649
Gilead Sciences INC 4.0000% 09/01/36	115,000	113,914	101,450
Goldman Sachs Group Inc 1.9920% 01/27/32	170,000	165,549	137,964
Gsk Consumer Healthcare Cap 3.6250% 03/24/32	140,000	126,898	125,264
HCA INC. SR GLBL NT 5.2000% 06/01/28	10,000	9,988	9,959
Home Depot Inc 4.5000% 12/06/48	170,000	168,042	148,679
Honeywell Intl Inc 5.2500% 03/01/54	100,000	100,345	97,140
Hyundai Cap Amer 1.0000% 09/17/24	55,000	54,916	54,433
Hyundai Cap Amer 5.6800% 06/26/28	75,000	74,942	75,725
Intel Corp 3.7340% 12/08/47	160,000	159,859	117,478
Intercontinental Exchange I 2.6500% 09/15/40	140,000	130,626	96,887
John Deere Capital Corporat 5.0500% 06/12/34	85,000	84,471	84,288
Johnson & Johnson 3.5500% 03/01/36	190,000	204,484	166,333
Kimberly-Clark Corp 3.9000% 05/04/47	50,000	39,636	39,506
Kimco Realty Corp 2.8000% 10/01/26	200,000	204,753	189,168
Kinder Morgan Energy Partne 4.2500% 09/01/24	165,000	169,377	164,502
Lilly Eli & Co 3.9500% 03/15/49	75,000	59,748	61,239
Manufacturer And Traders 3.4000% 08/17/27	115,000	118,195	107,139
Manulife Financial Corp 4.0610% 02/24/32	125,000	130,325	119,504
Mastercard Inc 3.6500% 06/01/49	86,000	95,865	65,856
Medtronic Inc 4.3750% 03/15/35	103,000	109,269	97,040
Microsoft Corp 2.9210% 03/17/52	150,000	184,226	101,874
Motorola Solutions Inc 5.0000% 04/15/29	90,000	89,794	89,308
National Rural Utils Coop F 5.8000% 01/15/33	35,000	36,274	35,946
National Rural Utils Coop F 3.9000% 11/01/28	190,000	196,204	180,897
Nevada Power Co 3.7000% 05/01/29	205,000	202,422	193,365
New York Life Ins Co 3.7500% 05/15/50	110,000	117,776	81,856
Northrop Grumman Corp 3.2500% 01/15/28	95,000	97,430	89,468
Omnicom Group Inc 2.6000% 08/01/31	130,000	111,880	109,631
Oncor Elec Delivery Co Llc 3.8000% 06/01/49	100,000	103,555	75,386
Oracle Corp 6.5000% 04/15/38	80,000	108,570	85,677
Oreilly Automotive Inc 1.7500% 03/15/31	110,000	88,370	88,670
Pacific Gas & Elec Co 3.0000% 06/15/28	90,000	82,804	82,237
Penske Truck Leasing Co L P 1.7000% 06/15/26	60,000	59,987	55,638
Pepsico Inc 2.8750% 10/15/49	50,000	32,363	33,076
Pnc Bk N A Pittsburgh Pa 4.0500% 07/26/28	160,000	172,323	152,076
Prologis L P 1.2500% 10/15/30	165,000	129,471	131,705
Prudential Finl Inc Mtns Bo 3.0000% 03/10/40	80,000	84,839	59,055
Public Svc Elec Gas Co Mtn 3.2000% 05/15/29	40,000	36,798	36,982
Rtx Corporation 6.0000% 03/15/31	40,000	39,973	41,697

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001 SCHEDULE OF ASSETS HELD AT THE END OF YEAR (Continued)

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June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Corporate and Foreign Bonds (Continued)			
Santander Holdings Usa Inc 3.2440% 10/05/26	115,000	\$ 108,925	\$ 108,693
Simon Ppty Group Lp 3.8000% 07/15/50	115,000	78,642	84,172
Simon Ppty Group Lp 3.3750% 06/15/27	160,000	158,380	152,648
Southern Calif Edison Co 4.2000% 06/01/25	35,000	35,053	34,522
Southern Calif Edison Co 4.8750% 02/01/27	30,000	30,037	29,774
Southern Calif Edison Co 5.1500% 06/01/29	25,000	24,850	24,981
Southwestern Public Serv 4.5000% 08/15/41	60,000	58,751	50,138
State Str Corp 2.4000% 01/24/30	60,000	56,916	52,896
Statoil ASA 3.9500% 05/15/43	110,000	96,258	90,599
Sunoco Logistics Partners 3.9000% 07/15/26	220,000	233,593	213,236
Toyota Mtr Cr Corp 2.1500% 02/13/30	105,000	90,732	90,614
Trinity Health Corp Ind 2.6320% 12/01/40	50,000	36,311	35,196
United Health Group Inc 6.625% 11/15/37	100,000	119,536	110,581
United Parcel Service Inc 3.7500% 11/15/47	110,000	101,837	84,348
UnitedHealth Group Inc. 4.625% 07/15/35	95,000	99,908	91,046
Verizon 4.400% 11/01/34	125,000	129,529	115,800
Verizon Communications Inc 4.0160% 12/03/29	135,000	154,423	127,560
Viatis Inc 1.6500% 06/22/25	100,000	95,636	96,198
Virginia Elec & Pwr Co 5.3000% 08/15/33	45,000	44,050	44,715
Vmware Inc 4.5000% 05/15/25	60,000	67,119	59,422
Walmart Inc 4.5000% 09/09/52	105,000	95,719	93,000
Wells Fargo & Company 4.300% 07/22/27	240,000	251,378	233,733
Aercap Ireland Capital Limi 1.6500% 10/29/24	135,000	134,355	133,123
Astrazeneca Plc 3.0000% 05/28/51	45,000	31,880	30,129
Bank Nova Scotia Halifax 2.4500% 02/02/32	135,000	124,753	111,387
Bhp Billiton Fin Usa Ltd 4.9000% 02/28/33	105,000	106,315	102,905
Brookfield Fin Inc 4.2500% 06/02/26	120,000	114,204	117,325
Canadian Natl Ry Co 3.6500% 02/03/48	110,000	87,948	84,892
Enel Fin Intl N.v. 3.5000% 04/06/28	80,000	84,696	74,707
Hsbc Hldgs Plc 4.3750% 11/23/26	150,000	158,508	146,168
Mitsubishi Ufj Finl Group I 2.1930% 02/25/25	165,000	162,694	161,474
Natwest Group Plc 1.6420% 06/14/27	100,000	96,872	92,486
Pfizer Invt Enterprises 5.3000% 05/19/53	115,000	118,913	110,978
Rio Tinto Fin Usa Plc 5.0000% 03/09/33	110,000	109,706	109,567
Santander Uk Group Holdings 1.5320% 08/21/26	35,000	33,002	33,311
Sumitomo Mitsui Fin Grp Inc 5.5200% 01/13/28	110,000	110,817	111,097
Telefonica Emisiones S A U 4.1030% 03/08/27	110,000	126,778	106,793
Toronto Dominion Bank 4.4560% 06/08/32	130,000	124,583	122,553
Total Capital 2.8290% 01/10/30	105,000	94,261	94,208
Avis Bud Rent Car Fdg 2023- 6.0200% 02/20/30	100,000	99,961	102,068
Bbcms Mtg Tr 7.1261% 10/15/33	60,000	61,890	69,155
Bmo 2023-c7 Mortgage 2023-c7 6.2031% 12/15/56	100,000	103,000	106,448
Bmo Mtg Tr 2022-c2 07/17/54	80,000	78,565	77,449
Brean Asset Backed Securiti 5.2500% 01/25/63	95,898	91,294	91,734
Citigroup Coml Mtg Tr 2017- 3.9150% 04/15/50	95,000	90,365	86,630
Exeter Auto Recv Tr 2021-2 0.9800% 06/15/26	934	912	932
Fasst 2022-s6 A1 3.0000% 07/25/61	98,795	87,508	94,412
Fin Of Amer Str Secus 2023- 3.0000% 09/25/61	48,147	43,312	45,255
Jpmbb Coml Mtg Sec Tr 2015- 3.9860% 10/19/48	60,000	56,613	58,588
Santander Auto Rec Tr 2022-4 5.0000% 11/15/29	90,000	89,962	88,542

AMERICAN LUNG ASSOCIATION RETIREMENT PLAN D

EIN: 13-1632524 PLAN NO: 001
SCHEDULE OF ASSETS HELD AT THE END OF YEAR (Continued)
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2024 June 30, 2024

Description Of Investment	Number Of Shares/Units	Cost	Current Value
Corporate and Foreign Bonds (Continued)			
Santer Drv Auto Rec Tr 2023 6.0400% 12/15/31	85,000	\$ 84,979	\$ 85,623
Scott Tr 2023-sfs 5.9098% 03/12/40	50,000	50,000	49,748
American Express Co 5.2820% 07/27/29	140,000	141,360	140,248
Bnp Paribas 3.0520% 01/13/31	145,000	146,759	127,094
Capital One Finl Corp 4.9270% 05/10/28	80,000	79,542	78,849
Citigroup Inc 2.6660% 01/29/31	120,000	118,853	104,473
Jp Morgan Chase Bank Na 3.8820% 07/24/38	265,000	264,333	226,875
Morgan Stanley 1.9280% 04/28/32	110,000	87,875	88,399
STATE STR CORP SR GLBL NT 3 5.1590% 05/18/34	90,000	88,836	89,076
Us Bancorp 4.5480% 07/22/28	115,000	114,589	112,375
Drive Auto Rec Tr 2021-2 0.8700% 10/15/27	11,982	11,495	11,921
Exeter Auto Recv Tr 2021-3 0.9600% 10/15/26	63,937	61,232	63,315
Mill City Mtg Ln Tr 2017-2 3.2500% 07/25/59	65,000	65,650	60,074
Santander Drive Auto Tr 202 4.4900% 08/15/29	180,000	179,966	176,057
Sofi Professional Loan Prog 2.5400% 05/15/46	72,165	72,130	67,432
Total Corporate and Foreign Bonds		<u>17,404,624</u>	<u>15,799,562</u>
Municipal Obligation			
California St 7.3000% 10/01/39	70,000	96,232	80,339
Total Investments		<u>\$ 31,756,774</u>	<u>\$ 31,869,859</u>

* Indicates allowable party in interest.

The above information is a required disclosure for IRS Form 5500, Schedule H, Part IV, line 4i.

American Lung Association Retirement Plan "D"

EIN/PN: 13-1632524 / 001

Schedule SB, Line 32 – Schedule of Amortization Bases

Type of Base	Present Value of Remaining Installments as of July 1, 2023	Date Established	Remaining Installments	Shortfall Amortization Installment
Shortfall	\$400,008	7/1/2023	15	\$36,633
Shortfall	\$2,071,136	7/1/2022	14	\$198,875
Shortfall	(\$23,227)	7/1/2021	13	(\$2,350)
Shortfall	(\$294,307)	7/1/2020	12	(\$31,554)
Shortfall	\$5,613,659	7/1/2019	11	\$642,117