Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2023

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2023 or fiscal plan year beginning 08/01/2023 and ending 07/31/2024

A This return/report is for:
- ☐ a multiemployer plan
- ☐ a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.)
- ☐ a single-employer plan
- ☒ a DFE (specify) C

B This return/report is:
- ☐ the first return/report
- ☐ the final return/report
- ☐ an amended return/report
- ☐ a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ ☐

D Check box if filing under:
- ☐ Form 5558
- ☐ automatic extension
- ☐ the DFVC program
- ☐ special extension (enter description)

E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . ▶ ☐

Part II Basic Plan Information—enter all requested information

1a Name of plan
NORTHERN TRUST COLLECTIVE SHORT TERM INVESTMENT

1b Three-digit plan number (PN) ▶ 084

1c Effective date of plan

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
NORTHERN TRUST INVESTMENTS, INC.

50 SOUTH LASALLE STREET
CHICAGO, IL 60603

2b Employer Identification Number (EIN)
45-6138589

2c Plan Sponsor's telephone number
312-630-6000

2d Business code (see instructions)

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE			
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE	Filed with authorized/valid electronic signature.	04/25/2025	MONETTE R. NICKELS
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:

a Sponsor's name

4b EIN

c Plan Name

4d PN

5	Total number of participants at the beginning of the plan year	**5**	
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	
b	Retired or separated participants receiving benefits	**6b**	
c	Other retired or separated participants entitled to future benefits	**6c**	
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	
f	Total. Add lines **6d** and **6e**.	**6f**	
g(1)	Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item)	**6g(1)**	
g(2)	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g(2)**	
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [ ] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [ ] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [ ] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary
- **(4)** [ ] **DCG** (Individual Plan Information) – Number Attached _____
- **(5)** [ ] **MEP** (Multiple-Employer Retirement Plan Information)

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] **A** (Insurance Information) – Number Attached _____
- **(4)** [ ] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2023 Form M-1 annual report. If the plan was not required to file the 2023 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2023

This Form is Open to Public Inspection.

For calendar plan year 2023 or fiscal plan year beginning 08/01/2023 and ending 07/31/2024

A Name of plan
NORTHERN TRUST COLLECTIVE SHORT TERM INVESTMENT

B Three-digit plan number (PN) ▶ 084

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
NORTHERN TRUST INVESTMENTS, INC.

D Employer Identification Number (EIN)
45-6138589

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

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c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	32BJ NORTH PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES 32BJ NORTH PENSION FUND	**c** EIN-PN	13-1819138-001
a Plan name	8TH AVENUE FOOD & PROVISIONS, INC. 401(K) PLAN		
b Name of plan sponsor	8TH AVENUE FOOD & PROVISIONS, INC.	**c** EIN-PN	82-4745288-001
a Plan name	A.F.OF L.-A.G.C. BUILDING TRADES PENSION PLAN		
b Name of plan sponsor	A.F.OF L.-A.G.C. BUILDING TRADES PENSION	**c** EIN-PN	63-6055108-001
a Plan name	AAR CORP. RETIREMENT TRUST		
b Name of plan sponsor	AAR CORP	**c** EIN-PN	36-2334820-003
a Plan name	ABBOTT - ABBVIE MULTIPLE EMPLOYER PENSION PLAN		
b Name of plan sponsor	ABBOTT LABORATORIES	**c** EIN-PN	36-0698440-300
a Plan name	ABBOTT LABORATORIES ANNUITY RETIREMENT TRUST		
b Name of plan sponsor	ABBOTT LABORATORIES	**c** EIN-PN	36-0698440-337
a Plan name	ABBOTT LABORATORIES STOCK RETIREMENT PLAN		
b Name of plan sponsor	ABBOTT LABORATORIES	**c** EIN-PN	36-0698440-334
a Plan name	ABBOTT LABORATORIES STOCK RETIREMENT PLAN (PUERTO RICO)		
b Name of plan sponsor	ABBOTT HEALTHCARE (PUERTO RICO) LTD. C/O ABBOTT LABORATORIES	**c** EIN-PN	98-1051267-002
a Plan name	ABBVIE PENSION PLAN TRUST		
b Name of plan sponsor	ABBVIE INC.	**c** EIN-PN	32-0375147-004
a Plan name	ABBVIE PUERTO RICO PENSION PLAN		
b Name of plan sponsor	ABBVIE LTD. C/O ABBVIE INC.	**c** EIN-PN	98-0429860-003
a Plan name	ABC-NABET RETIREMENT TRUST FUND		
b Name of plan sponsor	ABC, INC.	**c** EIN-PN	14-1284013-012
a Plan name	ABX AIR, INC. DEFINED BENEFIT MASTER TRUST		
b Name of plan sponsor	ABX AIR, INC.	**c** EIN-PN	91-1091619-008

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	ACCENTURE UNITED STATES BENEFIT TRUST		
b Name of plan sponsor	ACCENTURE LLP	**c** EIN-PN	36-7274697-003
a Plan name	ACCENTURE UNITED STATES PROFIT SHARING AND 401(K) TRUST		
b Name of plan sponsor	ACCENTURE LLP	**c** EIN-PN	36-7274695-001
a Plan name	ACCO BRANDS CORPORATION PENSION TRUST		
b Name of plan sponsor	ACCO BRANDS CORPORATION	**c** EIN-PN	42-1711993-011
a Plan name	ACUSHNET COMPANY PENSION PLAN		
b Name of plan sponsor	ACUSHNET COMPANY	**c** EIN-PN	04-2591836-002
a Plan name	ADP TOTALSOURCE RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	ADP TOTALSOURCE GROUP, INC.	**c** EIN-PN	59-2452823-001
a Plan name	ADT PENSION PLAN FOR US EMPLOYEES		
b Name of plan sponsor	ADT LLC	**c** EIN-PN	45-4343781-001
a Plan name	ADVANSIX INC. RETIREMENT EARNINGS PLAN		
b Name of plan sponsor	ADVANSIX, INC.	**c** EIN-PN	81-2525089-002
a Plan name	ADVOCATE AURORA HEALTH PENSION PLAN		
b Name of plan sponsor	ADVOCATE AURORA HEALTH, INC.	**c** EIN-PN	82-4184596-003
a Plan name	AECOM DC RETIREMENT PLANS SMA MASTER TRUST		
b Name of plan sponsor	AECOM	**c** EIN-PN	47-5394478-110
a Plan name	AIG INSURANCE COMPANY-PUERTO RICO CAPITAL GROWTH PLAN		
b Name of plan sponsor	AIG INSURANCE COMPANY-PUERTO RICO	**c** EIN-PN	66-0319193-001
a Plan name	AKZONOBEL RETIREMENT PLAN		
b Name of plan sponsor	AKZO NOBEL INC.	**c** EIN-PN	56-1349341-001
a Plan name	ALBEMARLE CORPORATION MASTER TRUST FOR DESIGNATED EMPLOYEE BENEFIT PLANS OF ALBEMARLE CORPORATION AND AFFILIATES		
b Name of plan sponsor	ALBEMARLE CORPORATION	**c** EIN-PN	54-1692118-012

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	ALBERTSONS COMPANIES MASTER TRUST		
b Name of plan sponsor	ALBERTSONS COMPANIES, INC.	**c** EIN-PN	47-5579477-003
a Plan name	ALCON MASTER TRUST		
b Name of plan sponsor	ALCON VISION LLC	**c** EIN-PN	75-2252369-015
a Plan name	ALFA LAVAL RETIREMENT INCOME PLAN		
b Name of plan sponsor	ALFA LAVAL INC.	**c** EIN-PN	13-1681631-002
a Plan name	ALLIANCE COMPRESSORS, LLC RETIREMENT PLAN		
b Name of plan sponsor	EMERSON CLIMATE TECHOLOGIES, INC.	**c** EIN-PN	13-3757304-002
a Plan name	ALLIANCEBERNSTEIN GROUP TRUST EMERGING MARKETS FUND		
b Name of plan sponsor	ALLIANCEBERNSTEIN L.P.	**c** EIN-PN	36-6913091-005
a Plan name	ALLIANT ENERGY CORPORATION 401(K) SAVINGS PLAN		
b Name of plan sponsor	ALLIANT ENERGY CORPORATE SERVICES, INC.	**c** EIN-PN	39-1914946-005
a Plan name	ALLIEDSIGNAL INC. DEFINED CONTRIBUTION PLAN		
b Name of plan sponsor	HONEYWELL INTERNATIONAL INC.	**c** EIN-PN	22-2640650-260
a Plan name	ALLIED-SIGNAL INC. MASTER RETIREMENT SAVINGS TRUST		
b Name of plan sponsor	HONEYWELL INTERNATIONAL INC.	**c** EIN-PN	36-6683074-001
a Plan name	ALLISON TRANSMISSION HOURLY-RATE EMPLOYEES PENSION PLAN		
b Name of plan sponsor	ALLISON TRANSMISSION, INC.	**c** EIN-PN	26-0413897-004
a Plan name	ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES		
b Name of plan sponsor	ALLISON TRANSMISSION, INC.	**c** EIN-PN	26-0413897-003
a Plan name	ALLSTATE 401(K) SAVINGS PLAN		
b Name of plan sponsor	THE ALLSTATE CORPORATION	**c** EIN-PN	36-3871531-001
a Plan name	ALTRIA CLIENT SERVICES INC. DEFERRED PROFIT-SHARING MASTER TRUST		
b Name of plan sponsor	ALTRIA CLIENT SERVICES LLC	**c** EIN-PN	13-6044069-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	AMENDED AND RESTATED CRANE CO. SAVINGS AND INVESTMENT PLAN		
b Name of plan sponsor	CRANE CO.	**c** EIN-PN	13-1952290-038
a Plan name	AMERICAN AGRICULTURAL INSURANCE COMPANY EMPLOYEES' RETIREMENT PLAN		
b Name of plan sponsor	AMERICAN AGRICULTURAL INSURANCE COMPANY	**c** EIN-PN	36-2661954-001
a Plan name	AMERICAN CANCER SOCIETY, INC. RETIREMENT ANNUITY PLAN		
b Name of plan sponsor	AMERICAN CANCER SOCIETY, INC.	**c** EIN-PN	13-1788491-012
a Plan name	AMERICAN FAMILY 401K PLAN		
b Name of plan sponsor	AMERICAN FAMILY MUTUAL INSURANCE COMPANY, S.I.	**c** EIN-PN	39-0273710-002
a Plan name	AMERICAN FARM BUREAU FEDERATION AND AFFILIATED COMPANIES EMPLOYEES' RETIREMENT PLAN		
b Name of plan sponsor	AMERICAN FARM BUREAU FEDERATION	**c** EIN-PN	36-0725160-001
a Plan name	AMERICAN HONDA MASTER TRUST		
b Name of plan sponsor	AMERICAN HONDA MOTOR COMPANY, INC.	**c** EIN-PN	61-1439871-001
a Plan name	AMERICAN INTERNATIONAL GROUP, INC. INCENTIVE SAVINGS PLAN		
b Name of plan sponsor	AMERICAN INTERNATIONAL GROUP, INC.	**c** EIN-PN	13-2592361-003
a Plan name	AMES NATIONAL CORPORATION 401(K) PROFIT SHARING PLAN		
b Name of plan sponsor	AMES NATIONAL CORPORATION	**c** EIN-PN	42-1039071-002
a Plan name	AMGEN RETIREMENT AND SAVINGS PLAN		
b Name of plan sponsor	AMGEN INC	**c** EIN-PN	95-3540776-001
a Plan name	AMPHENOL CORPORATION MASTER TRUST		
b Name of plan sponsor	AMPHENOL CORPORATION & AFFILIATED COMPANIES	**c** EIN-PN	22-2785165-099
a Plan name	ANDERSEN 401K PLAN		
b Name of plan sponsor	ANDERSEN CORPORATION	**c** EIN-PN	41-0123208-002
a Plan name	ANHEUSER-BUSCH 401(K) SAVINGS AND RETIREMENT PLAN		
b Name of plan sponsor	ANHEUSER-BUSCH COMPANIES, LLC	**c** EIN-PN	43-1162835-059

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	ANN & ROBERT H. LURIE CHILDREN'S HOSPITAL OF CHICAGO VALUE GROWTH PLAN		
b Name of plan sponsor	CHILDREN'S HOSPITAL OF CHICAGO MEDICAL CENTER	**c** EIN-PN	36-3357004-001
a Plan name	APPLIED MATERIALS, INC. EMPLOYEE SAVINGS & RETIREMENT PLAN		
b Name of plan sponsor	APPLIED MATERIALS, INC.	**c** EIN-PN	94-1655526-333
a Plan name	APTIV CORPORATION SAVINGS TRUST		
b Name of plan sponsor	APTIV CORPORATION	**c** EIN-PN	27-0791190-001
a Plan name	ARCH COAL, INC. MASTER TRUST		
b Name of plan sponsor	ARCH COAL, INC.	**c** EIN-PN	43-0921172-999
a Plan name	ARCHER DANIELS MIDLAND MASTER RETIREMENT TRUST		
b Name of plan sponsor	ARCHER DANIELS MIDLAND COMPANY	**c** EIN-PN	27-1701181-004
a Plan name	ARGO GROUP US RETIREMENT PLAN		
b Name of plan sponsor	ARGO GROUP US, INC	**c** EIN-PN	06-1183996-001
a Plan name	ARXADA AMERICA LLC MASTER RETIREMENT TRUST		
b Name of plan sponsor	ARXADA AMERICA, LLC	**c** EIN-PN	22-3539405-001
a Plan name	ASSURANT 401(K) PLAN		
b Name of plan sponsor	ASSURANT, INC.	**c** EIN-PN	39-1126612-002
a Plan name	ASSURANT PENSION PLAN		
b Name of plan sponsor	ASSURANT, INC.	**c** EIN-PN	39-1126612-001
a Plan name	ATLANTA IRONWORKERS LOCAL #387 PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES ATLANTA IRONWORKERS LOCAL 387 PENSION PL	**c** EIN-PN	58-6051152-001
a Plan name	AUTOLIV ASP, INC. PENSION PLAN		
b Name of plan sponsor	AUTOLIV ASP, INC.	**c** EIN-PN	36-3640053-012
a Plan name	AVANGRID, INC. DEFINED BENEFIT MASTER TRUST		
b Name of plan sponsor	AVANGRID MANAGEMENT COMPANY LLC	**c** EIN-PN	04-6931375-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	AVENTICS 401(K) RETIREMENT PLAN		
b Name of plan sponsor	AVENTICS CORPORATION	**c** EIN-PN	46-1763108-001
a Plan name	AVERY DENNISON PENSION PLAN		
b Name of plan sponsor	AVERY DENNISON PENSION PLAN	**c** EIN-PN	95-1492269-020
a Plan name	AVNET PENSION PLAN		
b Name of plan sponsor	AVNET, INC	**c** EIN-PN	11-1890605-001
a Plan name	AVON PRODUCTS INC PERSONAL RETIREMENT ACCOUNT PLAN		
b Name of plan sponsor	AVON PRODUCTS, INC.	**c** EIN-PN	13-0544597-001
a Plan name	AXLETECH INTERNATIONAL, LLC RETIREMENT PLAN SUB-PLAN #2 FOR UAW EMPLOYEES IN OSHKOSH, WISCONSIN		
b Name of plan sponsor	MERITOR, INC.	**c** EIN-PN	38-3354643-339
a Plan name	BACARDI USA MASTER TRUST		
b Name of plan sponsor	BACARDI U.S.A., INC.	**c** EIN-PN	83-6317363-001
a Plan name	BAE MASTER PENSION INVESTMENT TRUST		
b Name of plan sponsor	BAE SYSTEMS, INC.	**c** EIN-PN	56-6554915-001
a Plan name	BAE SYSTEMS EMPLOYEES' SAVINGS AND INVESTMENT PLAN		
b Name of plan sponsor	BAE SYSTEMS, INC.	**c** EIN-PN	22-3537950-003
a Plan name	BAKER & MCKENZIE MARKET RATE CASH BALANCE PLAN MASTER TRUST		
b Name of plan sponsor	BAKER & MCKENZIE LLP	**c** EIN-PN	83-1186238-001
a Plan name	BAKER HUGHES BOND FUND		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-040
a Plan name	BAKER HUGHES COMPANY 401(K) PLAN		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-002
a Plan name	BAKER HUGHES COMPANY PENSION PLAN		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-050

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	BAKER HUGHES INCORPORATED RETIREMENT PLAN MASTER TRUST		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-039
a Plan name	BAKER HUGHES INTERNATIONAL EQUITY FUND		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-037
a Plan name	BAKER HUGHES INTERNATIONAL EQUITY INDEX FUND		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-046
a Plan name	BAKER HUGHES S&P 500 INDEX FUND		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-045
a Plan name	BAKER HUGHES STABLE VALUE FUND		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-036
a Plan name	BAKER HUGHES U.S. BOND INDEX FUND		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-047
a Plan name	BAKER HUGHES U.S. EQUITY FUND		
b Name of plan sponsor	BAKER HUGHES HOLDINGS LLC	**c** EIN-PN	76-0207995-042
a Plan name	BALIS & CO., INC. PENSION PLAN		
b Name of plan sponsor	GUY CARPENTER & COMPANY, LLC	**c** EIN-PN	13-4985720-002
a Plan name	BALL CORPORATION MASTER PENSION TRUST		
b Name of plan sponsor	BALL CORPORATION	**c** EIN-PN	33-1013896-001
a Plan name	BANK OF AMERICA, N.A. TRUSTEE FOR THE STABLE VALUE MASTER TRUST		
b Name of plan sponsor	BANK OF AMERICA CORPORATION	**c** EIN-PN	56-0906609-001
a Plan name	BANK OF HAWAII RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	BANK OF HAWAII	**c** EIN-PN	99-0033900-002
a Plan name	BANNER & WITKOFF, LTD. CASH BALANCE PENSION PLAN		
b Name of plan sponsor	BANNER & WITKOFF, LTD.	**c** EIN-PN	36-4008943-020

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	BAR HARBOR BANKSHARES 401K PLAN		
b Name of plan sponsor	BAR HARBOR BANKSHARES	**c** EIN-PN	01-0393663-002
a Plan name	BASS RETIREMENT PLAN		
b Name of plan sponsor	BEPCO, L.P.	**c** EIN-PN	75-1076930-002
a Plan name	BATTELLE PENSION TRUST		
b Name of plan sponsor	BATTELLE MEMORIAL INSTITUTE	**c** EIN-PN	31-4379427-004
a Plan name	BAXTER HEALTHCARE OF PUERTO RICO RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	BAXTER HEALTHCARE S.A.	**c** EIN-PN	66-0678126-024
a Plan name	BAXTER INTERNATIONAL INC. AND SUBSIDIARIES PENSION MASTER TRUST		
b Name of plan sponsor	BAXTER INTERNATIONAL INC.	**c** EIN-PN	36-0781620-001
a Plan name	BAXTER INTERNATIONAL INC. AND SUBSIDIARIES U.S. RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	BAXTER INTERNATIONAL INC.	**c** EIN-PN	36-0781620-023
a Plan name	BAYSTATE HEALTH, INC. RETIREMENT PROGRAM		
b Name of plan sponsor	BAYSTATE HEALTH, INC.	**c** EIN-PN	04-2105941-001
a Plan name	BCOM3 CASH BALANCE PLAN		
b Name of plan sponsor	MMS USA HOLDINGS, INC	**c** EIN-PN	36-2677628-003
a Plan name	BD DEFINED CONTRIBUTION PLAN MASTER TRUST		
b Name of plan sponsor	BECTON, DICKINSON & COMPANY	**c** EIN-PN	22-0760120-100
a Plan name	BEAM PENSION PLAN		
b Name of plan sponsor	BEAM GLOBAL SPIRITS & WINE, INC.	**c** EIN-PN	36-4054676-002
a Plan name	BECHTEL NR PROGRAM DC MASTER TRUST		
b Name of plan sponsor	BECHTEL PLANT MACHINERY, INC.	**c** EIN-PN	45-3559445-001
a Plan name	BECHTEL NR PROGRAM PENSION MASTER TRUST A		
b Name of plan sponsor	BECHTEL PLANT MACHINERY, INC.	**c** EIN-PN	27-6139293-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	BEER INDUSTRY-LOCAL UNION NO. 703 PENSION FUND		
b Name of plan sponsor	BEER INDUSTRY-LOCAL UNION NO. 703 FUND TRUSTEES	**c** EIN-PN	36-6522619-001
a Plan name	BELL ATLANTIC MASTER TRUST		
b Name of plan sponsor	VERIZON COMMUNICATIONS INC.	**c** EIN-PN	25-1448208-020
a Plan name	BELLRING BRANDS, INC. 40(K) PLAN		
b Name of plan sponsor	BELLRING BRANDS, INC.	**c** EIN-PN	83-4096323-001
a Plan name	BELLSOUTH SAVINGS AND SECURITY PLAN		
b Name of plan sponsor	BELLSOUTH, LLC	**c** EIN-PN	58-1533433-004
a Plan name	BERKSHIRE BANK 401(K) PLAN		
b Name of plan sponsor	BERKSHIRE BANK	**c** EIN-PN	04-3312097-002
a Plan name	BLACK HILLS CORPORATION MASTER RETIREMENT TRUST		
b Name of plan sponsor	BLACK HILLS CORPORATION	**c** EIN-PN	46-0458824-008
a Plan name	BLACKROCK RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	BLACKROCK, INC.	**c** EIN-PN	32-0174431-001
a Plan name	BLUE CROSS AND BLUE SHIELD OF KANSAS CITY PENSION PLAN		
b Name of plan sponsor	BLUE CROSS AND BLUE SHIELD OF KANSAS CITY	**c** EIN-PN	43-1257251-001
a Plan name	BLUE CROSS AND BLUE SHIELD OF MINNESOTA PENSION PLAN		
b Name of plan sponsor	BLUE CROSS & BLUE SHIELD OF MINNESOTA	**c** EIN-PN	41-0984460-001
a Plan name	BLUE CROSS BLUE SHIELD OF MICHIGAN EMPLOYEES' MASTER RETIREMENT TRUST		
b Name of plan sponsor	BLUE CROSS BLUE SHIELD OF MICHIGAN	**c** EIN-PN	30-1140600-092
a Plan name	BNSF 401(K) PLANS MASTER TRUST		
b Name of plan sponsor	BURLINGTON NORTHERN SANTA FE LLC/BNSF RAILWAY COMPANY	**c** EIN-PN	27-1754839-003
a Plan name	BOARD OF TRUSTEES OF THE GUILD-TIMES ADJUSTABLE PENSION FUND		
b Name of plan sponsor	NEW YORK TIMES COMPANY	**c** EIN-PN	46-1715205-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	BORGWARNER INC. RETIREMENT PLAN		
b Name of plan sponsor	BORGWARNER INC.	**c** EIN-PN	13-3404508-001
a Plan name	BORGWARNER INC. RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	BORWARNER INC.	**c** EIN-PN	13-3404508-066
a Plan name	BOSTON SCIENTIFIC CORPORATION 401(K) RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	BOSTON SCIENTIFIC CORPORATION	**c** EIN-PN	04-2695240-001
a Plan name	BOSTON SCIENTIFIC CORPORATION 401(K) RETIREMENT SAVINGS PLAN FOR PUERTO RICO AFFLIATES		
b Name of plan sponsor	GUIDANT PUERTO RICO, B.V.	**c** EIN-PN	66-0568625-001
a Plan name	BOY SCOUTS OF AMERICA MASTER PENSION TRUST-BOY SCOUTS OF AMERICA RETIREMENT PLAN FOR EMPLOYEES		
b Name of plan sponsor	BOY SCOUTS OF AMERICA	**c** EIN-PN	22-1576300-001
a Plan name	BRANDES INVESTMENT PARTNERS, LP 401(K) PLAN		
b Name of plan sponsor	BRANDES INVESTMENT PARTNERS, L.P.	**c** EIN-PN	33-0704072-002
a Plan name	BRHD OF LOCOMOTIVE ENGINEERS DIV 269 PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES BRHD OF LOCOMOTIVE ENGINEERS DIVISION 269 PNSN PLAN	**c** EIN-PN	13-6146226-001
a Plan name	BRICKLAYERS ALLIED CRAFTSMEN PENSION PLAN LOCAL NO 3		
b Name of plan sponsor	BOARD OF TRUSTEES BRICKLAYERS ALLIED CRAFTSMEN PENS	**c** EIN-PN	34-6682532-001
a Plan name	BRIDGE, STRUCTURAL & ORNAMENTAL IRONWORKERS LOCAL 207 PENSION FUND		
b Name of plan sponsor	BRIDGE, STRUCTURAL & ORNAMENTAL IRONWORKERS LOCAL 207 PENSION FUND	**c** EIN-PN	34-6720162-001
a Plan name	BRIDGESTONE AMERICAS, INC. RETIREMENT PLAN		
b Name of plan sponsor	BRIDGESTONE AMERICAS, INC.	**c** EIN-PN	88-0335067-019
a Plan name	BRIDGESTONE AMERICAS, INC. TAX-EFFICIENT SAVINGS PLAN		
b Name of plan sponsor	BRIDGESTONE AMERICAS, INC.	**c** EIN-PN	88-0335067-009
a Plan name	BRIGGS & STRATTON CONSOLIDATED RETIREMENT & SAVINGS PLAN		
b Name of plan sponsor	BRIGGS & STRATTON CORPORATION	**c** EIN-PN	39-0182330-005

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	BRIGGS & STRATTON, LLC CASH BALANCE RETIREMENT PLAN		
b Name of plan sponsor	BRIGGS & STRATTON, LLC	**c** EIN-PN	85-2711260-006
a Plan name	BROWN-FORMAN CORPORATION MASTER RETIREMENT TRUST		
b Name of plan sponsor	BROWN-FORMAN CORPORATION	**c** EIN-PN	61-1260063-001
a Plan name	BRUNSWICK REWARDS PLAN		
b Name of plan sponsor	BRUNSWICK CORPORATION	**c** EIN-PN	36-0848180-170
a Plan name	BUILDING SERVICE 32BJ PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF THE BUILDING SERVICE 32BJ PENSION FUND	**c** EIN-PN	13-1879376-001
a Plan name	BUILDING TRADES UNITED PENSION TRUST FUND MILWAUKEE AND VICINITY		
b Name of plan sponsor	BUILDING TRADES UNITED PENSION TRUST FUND	**c** EIN-PN	51-6049409-001
a Plan name	BUNGE MASTER TRUST		
b Name of plan sponsor	BUNGE NORTH AMERICA, INC.	**c** EIN-PN	13-4977260-200
a Plan name	BUNGE MILLING, INC. UNION PENSION PLAN		
b Name of plan sponsor	BUNGE MILLING, INC.	**c** EIN-PN	37-0614689-002
a Plan name	BUNGE NORTH AMERICA PENSION PLAN FOR HOURLY UNION EMPLOYEES		
b Name of plan sponsor	BUNGE NORTH AMERICA EAST, L.L.C.	**c** EIN-PN	20-0537386-002
a Plan name	BUNGE U.S. PENSION PLAN		
b Name of plan sponsor	BUNGE NORTH AMERICA, INC.	**c** EIN-PN	13-4977260-001
a Plan name	BURNHAM HOLDINGS, INC. SAVINGS PLAN A		
b Name of plan sponsor	BURNHAM HOLDINGS, INC.	**c** EIN-PN	42-1561863-004
a Plan name	BWXT THRIFT PLAN		
b Name of plan sponsor	BWXT INVESTMENT COMPANY	**c** EIN-PN	72-1172705-002
a Plan name	CABOT 401(K) PLAN		
b Name of plan sponsor	CABOT CORPORATION	**c** EIN-PN	04-2271897-022

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	CALIFORNIA PORTLAND CEMENT COMPANY MASTER RETIREMENT TRUST		
b Name of plan sponsor	CALPORTLAND COMPANY	**c** EIN-PN	95-0597220-011
a Plan name	CALPORTLAND CONCRETE PRODUCTS DEFINED BENEFIT PENSION PLAN		
b Name of plan sponsor	CALPORTLAND CONCRETE PRODUCTS	**c** EIN-PN	95-2791317-001
a Plan name	CAMPBELL PENSION PLANS MASTER RETIREMENT TRUST		
b Name of plan sponsor	CAMPBELL SOUP COMPANY	**c** EIN-PN	21-0419870-101
a Plan name	CAPE CORAL MEDICAL CENTER, INC. DEFINED BENEFIT PENSION PLAN		
b Name of plan sponsor	CAPE CORAL MEDICAL CENTER	**c** EIN-PN	65-6165099-001
a Plan name	CARLE DEFINED BENEFIT PENSION PLAN		
b Name of plan sponsor	CARLE HOLDING COMPANY, INC.	**c** EIN-PN	37-1188284-001
a Plan name	CARPENTERS LOCAL UNION NO. 345 PENSION FUND		
b Name of plan sponsor	TRUSTEES - CARPENTERS LOCAL UNION NO. 345 PENSION FUND	**c** EIN-PN	62-6112262-001
a Plan name	CARPENTERS' PENSION TRUST FUND - DETROIT AND VICINITY		
b Name of plan sponsor	BOARD OF TRUSTEES OF CARPENTERS' PENSION TRUST FUNDDETROIT&VICINITY	**c** EIN-PN	38-6242188-001
a Plan name	CARPENTERS PENSION TRUST FUND OF ST. LOUIS		
b Name of plan sponsor	TRUSTEES OF CARPENTERS BENEFIT PLANS OF ST. LOUIS	**c** EIN-PN	43-1622970-001
a Plan name	CARPENTERS RETIREMENT PLAN OF WESTERN WASHINGTON		
b Name of plan sponsor	BOARD OF TRUSTEES, CARPENTERS RETIREMENT PLAN OF WESTERN WASHINGTON	**c** EIN-PN	91-6029051-001
a Plan name	CATERPILLAR INC. GROUP INSURANCE MASTER TRUST		
b Name of plan sponsor	CATERPILLAR INC.	**c** EIN-PN	36-6426495-020
a Plan name	CATERPILLAR INC. INVESTMENT TRUST		
b Name of plan sponsor	CATERPILLAR INC.	**c** EIN-PN	32-0229540-032
a Plan name	CATERPILLAR INC. MASTER RETIREMENT TRUST		
b Name of plan sponsor	CATERPILLAR INC.	**c** EIN-PN	36-6668245-019

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	CBI PENSION PLAN		
b Name of plan sponsor	LINDE INC.	**c** EIN-PN	06-1249050-333
a Plan name	CEMENT MASONS PENSION TRUST FUND FOR NORTHERN CALIFORNIA		
b Name of plan sponsor	BOARD OF TRUSTEES CEMENT MASONS PENSION FUND FOR NORTHERN CALIFORNIA	**c** EIN-PN	94-6277669-001
a Plan name	CEMENT MASONS UNION LOCAL 592 PENSION PLAN		
b Name of plan sponsor	TRUSTEES OF THE CEMENT MASONS UNION LOCAL 592	**c** EIN-PN	23-1972409-001
a Plan name	CENTERPOINT ENERGY RETIREMENT PLAN		
b Name of plan sponsor	CENTERPOINT ENERGY, INC	**c** EIN-PN	74-0694415-001
a Plan name	CENTERPOINT ENERGY SAVINGS PLAN		
b Name of plan sponsor	CENTERPOINT ENERGY, INC	**c** EIN-PN	74-0694415-015
a Plan name	CENTRAL PACIFIC BANK 401(K) RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	CENTRAL PACIFIC FINANCIAL CORP.	**c** EIN-PN	99-0212597-003
a Plan name	CENTRAL SEAWAY COMPANY EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	CENTRAL SEAWAY COMPANY, INC.	**c** EIN-PN	36-2431905-001
a Plan name	CENTURYLINK, INC. DEFINED BENEFIT MASTER TRUST		
b Name of plan sponsor	LUMEN TECHNOLOGIES, INC.	**c** EIN-PN	84-0928963-014
a Plan name	CENTURYLINK, INC. DEFINED CONTRIBUTION PLAN MASTER		
b Name of plan sponsor	LUMEN TECHNOLOGIES, INC.	**c** EIN-PN	22-3268918-006
a Plan name	CERIDIAN RETIREMENT PLAN		
b Name of plan sponsor	CERIDIAN HCM, INC.	**c** EIN-PN	59-3228107-001
a Plan name	CH2M DEFINED BENEFIT PLAN MASTER TRUST		
b Name of plan sponsor	CH2M HILL COMPANIES, LTD.	**c** EIN-PN	26-0097764-001
a Plan name	CHAPMAN AND CUTLER LLP CASH BALANCE PLAN		
b Name of plan sponsor	CHAPMAN AND CUTLER LLP	**c** EIN-PN	36-2153731-005

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a	Plan name	CHEMTURA CORPORATION RETIREMENT PLAN		
b	Name of plan sponsor	LANXESS CORPORATION	**c** EIN-PN	26-0081950-001
a	Plan name	CHEVRON MASTER PENSION TRUST		
b	Name of plan sponsor	CHEVRON CORPORATION	**c** EIN-PN	94-0890210-101
a	Plan name	CHICAGO PAINTERS AND DECORATORS PENSION FUND		
b	Name of plan sponsor	BOARD OF TRUSTEES OF CHICAGO PAINTERS AND DECORATORS PENSION FD	**c** EIN-PN	51-6030238-001
a	Plan name	CHICAGO REGIONAL COUNCIL OF CARPENTERS PENSION FUND		
b	Name of plan sponsor	BOARD OF TRUSTEES OF CHGO REGIONAL COUNCIL OF CARPENTERS PENSION FUND	**c** EIN-PN	36-6130207-001
a	Plan name	CHICAGO SYMPHONY ORCHESTRA PENSION PLAN		
b	Name of plan sponsor	BOARD OF TRUSTEES OF CHICAGO SYMPHONY ORCHESTRA PENSION PLAN	**c** EIN-PN	36-2859355-001
a	Plan name	CHILDREN'S HOSPITAL AND HEALTH SYSTEM SHARED RETIREMENT SAVINGS PLAN		
b	Name of plan sponsor	CHILDRENS HOSPITAL AND HEALTH SYSTEM, INC.	**c** EIN-PN	39-1500074-003
a	Plan name	CHRISTIAN SCHOOLS PENSION PLAN AND TRUST FUND		
b	Name of plan sponsor	CHRISTIAN SCHOOLS INTERNATIONAL	**c** EIN-PN	38-1565440-001
a	Plan name	CHS INC. PENSION PLAN		
b	Name of plan sponsor	CHS INC.	**c** EIN-PN	41-0251095-333
a	Plan name	CHURCH & DWIGHT CO., INC. MASTER TRUST FOR SALARIED AND HOURLY 401(K) PLANS		
b	Name of plan sponsor	CHURCH & DWIGHT CO., INC.	**c** EIN-PN	13-4996950-009
a	Plan name	CIBC WORLD MARKETS INCENTIVE SAVINGS PLAN FOR U.S. EMPLOYEES		
b	Name of plan sponsor	CANADIAN IMPERIAL BANK OF COMMERCE	**c** EIN-PN	13-1942440-006
a	Plan name	CIT GROUP INC SAVINGS INCENTIVE PLAN		
b	Name of plan sponsor	CIT GROUP INC.	**c** EIN-PN	65-1051192-003
a	Plan name	CITY CLUB RETIREMENT PLAN		
b	Name of plan sponsor	CITY CLUB	**c** EIN-PN	75-2035506-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	CLARIANT CORPORATION COMBINED RETIREMENT PLAN		
b Name of plan sponsor	CLARIANT CORPORATION	**c** EIN-PN	56-1356629-002
a Plan name	CLECO CORPORATION PENSION PLAN		
b Name of plan sponsor	CLECO POWER LLC	**c** EIN-PN	72-0244480-001
a Plan name	CNA 401K PLAN		
b Name of plan sponsor	CONTINENTAL CASUALTY COMPANY	**c** EIN-PN	36-2114545-005
a Plan name	COCA-COLA BOTTLING COMPANY UNITED, INC. RETIREMENT PLAN		
b Name of plan sponsor	COCA-COLA BOTTLING COMPANY UNITED, INC	**c** EIN-PN	58-0148710-001
a Plan name	COILPLUS RETIREMENT PLAN AND TRUST		
b Name of plan sponsor	COILPLUS, INC.	**c** EIN-PN	37-1584071-001
a Plan name	COLGATE-PALMOLIVE RETIREMENT TRUST		
b Name of plan sponsor	COLGATE-PALMOLIVE COMPANY	**c** EIN-PN	13-3191533-100
a Plan name	COLONIAL PIPELINE COMPANY RETIREMENT PLAN		
b Name of plan sponsor	COLONIAL PIPELINE COMPANY	**c** EIN-PN	58-0863362-001
a Plan name	CONAGRA FOODS RETIREMENT INCOME SAVINGS MASTER TRUST		
b Name of plan sponsor	CONAGRA BRANDS, INC.	**c** EIN-PN	47-0248710-002
a Plan name	CONRAIL/IHB EMPLOYEES PENSION TRUST		
b Name of plan sponsor	CONSOLIDATED RAIL CORPORATION MASTER TRUST	**c** EIN-PN	36-7370050-001
a Plan name	CONSOLIDATED COMMUNICATIONS RETIREMENT PLAN		
b Name of plan sponsor	CONSOLIDATED COMMUNICATIONS, INC.	**c** EIN-PN	02-0636475-006
a Plan name	CONSOLIDATED EDISON COMPANY OF NEW YORK, INC. TAX REDUCTION ACT STOCK OWNERSHIP PLAN		
b Name of plan sponsor	CONSOLIDATED EDISON COMPANY OF NEW YORK, INC.	**c** EIN-PN	13-5009340-002
a Plan name	CONSTRUCTION WORKERS PENSION TRUST FUND - LAKE COUNTY AND VICINITY PENSION PLAN		
b Name of plan sponsor	TRUSTEES OF CONSTRUCTION WORKERS - LAKE COUNTY PENSION	**c** EIN-PN	35-6030666-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	CONSUMERS ENERGY COMPANY EMPLOYEES' SAVINGS PLAN		
b Name of plan sponsor	CONSUMERS ENERGY COMPANY	**c** EIN-PN	38-0442310-002
a Plan name	CONTINENTAL AIRLINES, INC PENSION MASTER TRUST		
b Name of plan sponsor	UNITED AIRLINES, INC	**c** EIN-PN	90-0134602-001
a Plan name	CONTINENTAL AIRLINES, INC PENSION MASTER TRUST		
b Name of plan sponsor	UNITED AIRLINES, INC	**c** EIN-PN	04-3581074-001
a Plan name	CONVERGYS CORPORATION RETIREMENT AND SAVINGS PLAN		
b Name of plan sponsor	CONVERGYS CORPORATION	**c** EIN-PN	31-1598292-002
a Plan name	COOPER TIRE & RUBBER COMPANY MASTER RETIREMENT TRUST		
b Name of plan sponsor	COOPER TIRE & RUBBER COMPANY	**c** EIN-PN	34-4297750-020
a Plan name	COOPER TIRE & RUBBER COMPANY SPECTRUM RETIREMENT PLAN		
b Name of plan sponsor	COOPER TIRE & RUBBER COMPANY	**c** EIN-PN	34-4297750-003
a Plan name	COOPERATIVE PENSION PLAN		
b Name of plan sponsor	COOPERATIVE PENSION / SAVINGS BOARD	**c** EIN-PN	31-1257147-334
a Plan name	COOPER-STANDARD MASTER TRUST		
b Name of plan sponsor	COOPER-STANDARD AUTOMOTIVE INC.	**c** EIN-PN	26-6611154-001
a Plan name	COREBRIDGE FINANCIAL INC, RETIREMENT SAVINGS 401K PLAN TRUST		
b Name of plan sponsor	COREBRIDGE FINANCIAL, INC.	**c** EIN-PN	95-4715639-001
a Plan name	COTTON INCORPORATED PENSION PLAN		
b Name of plan sponsor	COTTON INCORPORATED	**c** EIN-PN	62-0786018-001
a Plan name	COX ENTERPRISE, INC. 401(K) PLAN		
b Name of plan sponsor	COX ENTERPRISES, INC.	**c** EIN-PN	58-1035149-003
a Plan name	CRAWFORD & COMPANY RETIREMENT PLAN		
b Name of plan sponsor	CRAWFORD & COMPANY & SUBSIDIARIES	**c** EIN-PN	58-0506554-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	CROWN CORK & SEAL COMPANY, INC. MASTER RETIREMENT		
b Name of plan sponsor	WELLS FARGO BANK, N.A. THE TRUSTEE	**c** EIN-PN	36-2885278-001
a Plan name	CROWN CORK & SEAL COMPANY, INC. RETIREMENT THRIFT PLAN		
b Name of plan sponsor	CROWN CORK & SEAL COMPANY, INC.	**c** EIN-PN	23-1526444-105
a Plan name	CROWN EQUIPMENT CORPORATION RETIREMENT PLAN		
b Name of plan sponsor	CROWN EQUIPMENT CORPORATION RETIREMENT PLAN	**c** EIN-PN	34-4412691-001
a Plan name	CSX CORPORATION MASTER PENSION TRUST		
b Name of plan sponsor	CSX CORPORATION	**c** EIN-PN	36-6859460-001
a Plan name	CSX CORPORATION MASTER RETIREMENT SAVINGS PLAN & TRUST		
b Name of plan sponsor	CSX CORPORATION	**c** EIN-PN	54-1352997-001
a Plan name	CTDU - PENSION FUND		
b Name of plan sponsor	CTDU - PENSION FUND	**c** EIN-PN	36-6598153-001
a Plan name	CULVER EDUCATIONAL FOUNDATION RETIREMENT PLAN		
b Name of plan sponsor	CULVER EDUCATIONAL FOUNDATION	**c** EIN-PN	35-0868071-001
a Plan name	CUMMINS INC. MASTER RETIREMENT SAVINGS TRUST		
b Name of plan sponsor	CUMMINS INC	**c** EIN-PN	23-2662529-102
a Plan name	CUMMINS PENSION PLAN		
b Name of plan sponsor	CUMMINS INC.	**c** EIN-PN	35-0257090-001
a Plan name	CURTISS WRIGHT CORPORATION RETIREMENT PLAN		
b Name of plan sponsor	CURTISS WRIGHT CORPORATION	**c** EIN-PN	13-0612970-002
a Plan name	DANAHER CORPORATION & SUBSIDIARIES PENSION PLAN		
b Name of plan sponsor	DANAHER CORPORATION	**c** EIN-PN	59-1995548-003
a Plan name	DAVIS POLK PENSION PLAN MASTER TRUST		
b Name of plan sponsor	DAVIS POLK & WARDWELL LLP	**c** EIN-PN	13-5023295-007

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	DEUTSCHE BANK CASH ACCOUNT PENSION PLAN		
b Name of plan sponsor	DEUTSCHE BANK AMERICAS HOLDING CORPORATION	**c** EIN-PN	13-3645372-001
a Plan name	DIAGEO NORTH AMERICA, INC. MASTER TRUST		
b Name of plan sponsor	DIAGEO NORTH AMERICA, INC.	**c** EIN-PN	25-6384048-001
a Plan name	DIAMOND HILL SELECT PORTFOLIO		
b Name of plan sponsor	GREAT GRAY TRUST COMPANY, LLC	**c** EIN-PN	38-7275310-774
a Plan name	DIAMOND HILL SMALL CAP PORTFOLIO		
b Name of plan sponsor	GREAT GRAY TRUST COMPANY, LLC	**c** EIN-PN	38-7275312-776
a Plan name	DIEBOLD INCORPORATED PENSION MASTER TRUST		
b Name of plan sponsor	DIEBOLD, INCORPORATED	**c** EIN-PN	34-0183970-017
a Plan name	DOMINION ENERGY, INC. DEFINED BENEFIT MASTER TRUST		
b Name of plan sponsor	DOMINION ENERGY, INC.	**c** EIN-PN	25-6263994-047
a Plan name	DOMINION ENERGY, INC. DEFINED CONTRIBUTION MASTER TRUST		
b Name of plan sponsor	DOMINION ENERGY, INC.	**c** EIN-PN	54-1229715-007
a Plan name	DONNELLEY FINANCIAL PENSION PLAN		
b Name of plan sponsor	DONNELLEY FINANCIAL, LLC	**c** EIN-PN	13-2618477-002
a Plan name	DONNELLEY FINANCIAL SAVINGS PLAN		
b Name of plan sponsor	DONNELLEY FINANCIAL, LLC	**c** EIN-PN	13-2618477-003
a Plan name	DOUG MOCKETT & COMPANY, INC. PROFIT SHARING PLAN		
b Name of plan sponsor	DOUG MOCKETT & COMPANY INC	**c** EIN-PN	33-0048623-002
a Plan name	DOVER CORPORATION MASTER RETIREMENT TRUST		
b Name of plan sponsor	DOVER CORPORATION	**c** EIN-PN	53-0257888-100
a Plan name	DOW CORNING CORPORATION EMPLOYEES' CAPITAL ACCUMULATION PLAN		
b Name of plan sponsor	DOW CORNING CORPORATION	**c** EIN-PN	38-0495575-334

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	DOW EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-001
a Plan name	DOW RETIREMENT GROUP TRUST - ABSOLUTE RETURN		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-027
a Plan name	DOW RETIREMENT GROUP TRUST - CONVERTIBLES		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-018
a Plan name	DOW RETIREMENT GROUP TRUST - EMERGING MARKET EQUITY		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-025
a Plan name	DOW RETIREMENT GROUP TRUST - EMERGING MARKET EQUITY (PASSIVE)		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-026
a Plan name	DOW RETIREMENT GROUP TRUST - GLOBAL EQUITY		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-024
a Plan name	DOW RETIREMENT GROUP TRUST - INTERNATIONAL DEVELOPED EQUITY		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-023
a Plan name	DOW RETIREMENT GROUP TRUST - LEGACY RH INFRASTRUCTURE		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-029
a Plan name	DOW RETIREMENT GROUP TRUST - OPPORTUNISTIC FI		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-028
a Plan name	DOW RETIREMENT GROUP TRUST - REAL ESTATE CORE OE FUNDS		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-030
a Plan name	DOW RETIREMENT GROUP TRUST - REAL ESTATE NON-CORE OE FUNDS		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-031
a Plan name	DOW RETIREMENT GROUP TRUST - REAL ESTATE REIT		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-032

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	DOW RETIREMENT GROUP TRUST - SHORT DURATION FIXED INCOME		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-040
a Plan name	DOW RETIREMENT GROUP TRUST - TACTICAL FIXED INCOME		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-016
a Plan name	DOW RETIREMENT GROUP TRUST - US LARGE CAP EQUITY		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-019
a Plan name	DOW RETIREMENT GROUP TRUST - US LARGE CAP EQUITY (PASSIVE)		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-020
a Plan name	DOW RETIREMENT GROUP TRUST - US LONG GOV/CRED FIXED INCOME		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-017
a Plan name	DOW RETIREMENT GROUP TRUST - US SM/MID CAP EQUITY		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-021
a Plan name	DOW RETIREMENT GROUP TRUST - US SM/MID CAP EQUITY (PASSIVE)		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-022
a Plan name	DOW RETIREMENT GROUP TRUST- INFRASTRUCTURE & REAL ASSETS PUBLIC		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-037
a Plan name	DOW RETIREMENT GROUP TRUST-INTERNATIONAL SMALL CAP		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-039
a Plan name	DOW RETIREMENT GROUP TRUST-REINSURANCE		
b Name of plan sponsor	THE DOW CHEMICAL COMPANY	**c** EIN-PN	38-1285128-038
a Plan name	DR PEPPER SNAPPLE GROUP, INC.		
b Name of plan sponsor	DR PEPPER SNAPPLE GROUP INC MASTER PENSION TRUST	**c** EIN-PN	98-0517725-009
a Plan name	DRESSER, LLC CONSOLIDATED SALARIED RETIREMENT PLAN		
b Name of plan sponsor	DRESSER, LLC	**c** EIN-PN	75-2795365-164

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	DUCOMMUN AEROSTRUCTURES, INC. COLLECTIVE BARGAINING EE'S PENSION PLAN		
b Name of plan sponsor	DUCOMMUN AEROSTRUCTURES	**c** EIN-PN	95-4610303-006
a Plan name	DUKE ENERGY CORPORATION MASTER TRUST		
b Name of plan sponsor	DUKE ENERGY CORPORATION	**c** EIN-PN	20-2777218-005
a Plan name	DUREZ KENTON UNION PENSION PLAN		
b Name of plan sponsor	DUREZ CORPORATION	**c** EIN-PN	52-2004847-310
a Plan name	DX HOLDING, INC. RETIREMENT PLAN		
b Name of plan sponsor	DX HOLDING, INC.	**c** EIN-PN	76-0268673-001
a Plan name	EASTMAN CHEMICAL COMPANY MASTER RETIREMENT TRUST		
b Name of plan sponsor	EASTMAN CHEMICAL COMPANY	**c** EIN-PN	62-1539359-003
a Plan name	ECOLAB PENSION PLAN		
b Name of plan sponsor	ECOLAB INC	**c** EIN-PN	41-0231510-001
a Plan name	EFW INC. CONSOLIDATED RETIREMENT PLAN		
b Name of plan sponsor	EFW INC.	**c** EIN-PN	51-0345236-001
a Plan name	ELANCO US INC. FORT DODGE LOCAL 6 EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	ELANCO US INC.	**c** EIN-PN	56-2002553-040
a Plan name	ELECTRICAL CONTRACTORS ASSOCIATION AND LOCAL UNION 134 IBEW JOINT PENSION TRUST OF CHICAGO		
b Name of plan sponsor	BD OF TTEES OF ELECTRICAL CONTRACTORS ASSN & LOCAL 134 IBEW JT PEN TST	**c** EIN-PN	51-6030753-001
a Plan name	ELECTRICAL INSURANCE TRUSTEES EMPLOYEES RETIREMENT PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES OF EIT EMPLOYEES RETIREMENT PLAN	**c** EIN-PN	36-1033970-001
a Plan name	ELECTROLUX HOME PRODUCTS, INC. THRIFT PLAN		
b Name of plan sponsor	ELECTROLUX HOME PRODUCTS, INC.	**c** EIN-PN	34-1694736-128
a Plan name	ELECTROLUX RETIREMENT SAVINGS PLAN FOR EMPLOYEES OF PUERTO RICO		
b Name of plan sponsor	ELECTROLUX HOME PRODUCTS, INC.	**c** EIN-PN	34-1694736-132

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	ELKHART GENERAL HOSPITAL EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	ELKHART GENERAL HOSPITAL, INC.	**c** EIN-PN	35-0877574-001
a Plan name	EM ENTERPRISES, INC. EMPLOYEES RETIREMENT PLAN		
b Name of plan sponsor	EM ENTERPRISES, INC.	**c** EIN-PN	36-2064493-001
a Plan name	EMERSON ELECTRIC CO RETIREMENT MASTER TRUST		
b Name of plan sponsor	EMERSON ELECTRIC COMPANY	**c** EIN-PN	43-0259330-121
a Plan name	EMERSON ELECTRIC CO RETIREMENT MASTER TRUST		
b Name of plan sponsor	EMERSON ELECTRIC COMPANY	**c** EIN-PN	43-1529268-121
a Plan name	EMPLOYEE BENEFITS PLAN OF GOODWILL INDUSTRIES OF GREATER DETROIT		
b Name of plan sponsor	GOODWILL INDUSTRIES OF GREATER DETROIT	**c** EIN-PN	38-1362823-001
a Plan name	EMPLOYEES' RETIREMENT PLAN OF CENTRUST ENERGY CORP.		
b Name of plan sponsor	CENTRUS ENERGY CORP. (F/K/A USEC INC.)	**c** EIN-PN	52-2107911-002
a Plan name	EMPLOYEES' RETIREMENT PLAN OF THE NATIONAL GEOGRAPHIC SOCIETY		
b Name of plan sponsor	NATIONAL GEOGRAPHIC SOCIETY	**c** EIN-PN	53-0193519-001
a Plan name	EMPLOYEES TRUST FUND OF THE CHICAGO FEDERATION OF LABOR AND IND. UNION COUNCIL		
b Name of plan sponsor	CHICAGO FEDERATION OF LABOR AND INDUSTRIAL UNION COUNCIL	**c** EIN-PN	36-0899610-001
a Plan name	ENCYCLOPAEDIA BRITANNICA PENSION PLAN		
b Name of plan sponsor	ENCYCLOPAEDIA BRITANNICA INC	**c** EIN-PN	36-2063569-001
a Plan name	EXELON CORP PENSION MASTER TRUST - CASH		
b Name of plan sponsor	EXELON CORPORATION	**c** EIN-PN	23-2990190-311
a Plan name	FAIRFAX STATE SAVINGS BANK EMPLOYEE'S RETIREMENT PLAN		
b Name of plan sponsor	FAIRFAX STATE SAVINGS BANK	**c** EIN-PN	42-0239930-001
a Plan name	FCA US LLC DEFINED CONTRIBUTION PLAN MASTER TRUST		
b Name of plan sponsor	FCA US LLC CIMS 485-08-64	**c** EIN-PN	90-1076853-070

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	FEDERAL SIGNAL CORPORATION RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	FEDERAL SIGNAL CORPORATION	**c** EIN-PN	36-1063330-004
a Plan name	FEDEX CORPORATION RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	FEDEX CORPORATION	**c** EIN-PN	62-1721435-001
a Plan name	FELRA & UFCW PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES, FELRA & UFCW PENSION	**c** EIN-PN	52-6128473-001
a Plan name	FIELD MUSEUM OF NATURAL HISTORY PENSION PLAN FOR EMPLOYEES		
b Name of plan sponsor	FIELD MUSEUM OF NATURAL HISTORY	**c** EIN-PN	36-2167011-001
a Plan name	FIRSTENERGY CORP. MASTER PENSION PLAN		
b Name of plan sponsor	FIRSTENERGY CORP.	**c** EIN-PN	34-1843785-001
a Plan name	FLOWERS FOODS, INC. RETIREMENT PLAN TRUST		
b Name of plan sponsor	FLOWERS FOODS, INC.	**c** EIN-PN	58-2582379-005
a Plan name	FLOWSERVE CORPORATION PENSION PLAN		
b Name of plan sponsor	FLOWSERVE CORPORATION	**c** EIN-PN	31-0267900-001
a Plan name	FMC TECHNOLOGIES, INC. EMPLOYEES' RETIREMENT PROGRAM		
b Name of plan sponsor	FMC TECHNOLOGIES INC	**c** EIN-PN	36-4412642-001
a Plan name	FOLGER LEVIN LLP PROFIT SHARING PLAN AND TRUST		
b Name of plan sponsor	FOLGER LEVIN LLP	**c** EIN-PN	27-1020139-001
a Plan name	FORTUNE BRANDS HOME & SECURITY, INC. MASTER RETIREMENT TRUST		
b Name of plan sponsor	FORTUNE BRANDS HOME AND SECURITY, INC	**c** EIN-PN	45-3265619-001
a Plan name	FOX VALLEY AND VICINITY LABORERS PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF FOX VALLEY LABORERS PENSION FUND	**c** EIN-PN	36-6147409-001
a Plan name	FREEPORT MINERALS CORPORATION DEFINED BENEFIT MASTER TRUST		
b Name of plan sponsor	FREEPORT MINERALS CORPORATION	**c** EIN-PN	13-1808503-060

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	GATX CORPORATION NONCONTRIBUTORY PENSION PLAN FOR HOURLY EMPLOYEES		
b Name of plan sponsor	GATX CORPORATION	**c** EIN-PN	36-1124040-003
a Plan name	GATX CORPORATION NONCONTRIBUTORY PENSION PLAN FOR SALARIED EMPLOYEES		
b Name of plan sponsor	GATX CORPORATION	**c** EIN-PN	36-1124040-001
a Plan name	GCIU - EMPLOYER RETIREMENT BENEFIT PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES GGIU-EMPLOYER RETIREMENT BENEFIT PLAN	**c** EIN-PN	91-6024903-001
a Plan name	GENERAL DYNAMICS CORPORATION 401(K) PLAN MASTER TRUST AGREEMENT		
b Name of plan sponsor	GENERAL DYNAMICS CORPORATION	**c** EIN-PN	52-2456916-223
a Plan name	GENUINE PARTS COMPANY PENSION PLAN		
b Name of plan sponsor	GENUINE PARTS COMPANY	**c** EIN-PN	58-0254510-001
a Plan name	GEORGE W. PRESCOTT PUBLISHING PENSION PLAN		
b Name of plan sponsor	GEORGE W. PRESCOTT PUBLISHING COMPANY LLC	**c** EIN-PN	81-0584668-002
a Plan name	GLACIER NORTHWEST, INC. SALARIED EMPLOYEES PENSION PLAN		
b Name of plan sponsor	GLACIER NORTHWEST,INC	**c** EIN-PN	91-1518360-001
a Plan name	GLAZIERS UNION LOCAL NO. 27 PENSION & RETIREMENT PLAN		
b Name of plan sponsor	GLAZIERS UNION LOCAL NO. 27 PENSION & RETIREMENT PLAN TRUSTEES	**c** EIN-PN	36-6034076-001
a Plan name	GOODYEAR DUNLOP TIRES NORTH AMERICA, LTD RETIREMENT SAVINGS PLANS TRUST CLEARING ACCOUNT		
b Name of plan sponsor	GOODYEAR DUNLOP TIRES NORTH AMERICA LTD	**c** EIN-PN	36-7392856-018
a Plan name	GOOGLE LLC 401(K) SAVINGS PLAN		
b Name of plan sponsor	GOOGLE INC	**c** EIN-PN	77-0493581-001
a Plan name	GRAHAM COMPANIES PENSION PLAN		
b Name of plan sponsor	GRAHAM CAPITAL COMPANY	**c** EIN-PN	23-1988068-003
a Plan name	GRAHAM CORPORATION INCENTIVE SAVINGS PLAN		
b Name of plan sponsor	GRAHAM CORPORATION	**c** EIN-PN	16-1194720-002

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a	Plan name	GRAPHIC COMMUNICATIONS CONFERENCE OF THE INTERNATIONAL BROTHERHOOD OF TEAMSTERS NATIONAL PENSION FUND		
b	Name of plan sponsor	BOARD OF TRUSTEES OF THE GCC/IBT NAT'L PENSION FUND	**c** EIN-PN	52-6118568-001
a	Plan name	GRAPHIC PACKAGING INTERNATIONAL, INC. MASTER PENSION TRUST		
b	Name of plan sponsor	GRAPHIC PACKAGING INTERNATIONAL, INC.	**c** EIN-PN	84-0772929-093
a	Plan name	GREATBANC COLLECTIVE INVESTMENT TRUST II VONTOBEL INTERNATIONAL EQUITY FUND		
b	Name of plan sponsor	GREATBANC TRUST COMPANY	**c** EIN-PN	30-6318017-001
a	Plan name	GREATER PENNSYLVANIA CARPENTERS' PENSION FUND		
b	Name of plan sponsor	GREATER PENNSYLVANIA CARPENTERS' PENSION FUND	**c** EIN-PN	25-6135570-001
a	Plan name	GREIF PENSION PLAN		
b	Name of plan sponsor	GREIF PACKAGING LLC	**c** EIN-PN	36-3268123-002
a	Plan name	GROUP TRUST FOR WEALTH BUILDER PLANS OF PRICEWATERHOUSECOOPERS, LLP		
b	Name of plan sponsor	PRICEWATERHOUSECOOPERS LLP	**c** EIN-PN	13-4008324-006
a	Plan name	GW&K SMALL CAP CORE EQUITY COLLECTIVE INVESTMENT FUND		
b	Name of plan sponsor	GLOBAL TRUST COMPANY	**c** EIN-PN	37-6558781-002
a	Plan name	GW&K SMALL MID CAP CORE EQUITY COLLECTIVE INVESTMENT FUND		
b	Name of plan sponsor	GLOBAL TRUST COMPANY	**c** EIN-PN	37-6558781-001
a	Plan name	H.B. FULLER LEGACY PENSION PLAN		
b	Name of plan sponsor	H.B. FULLER COMPANY	**c** EIN-PN	41-0268370-001
a	Plan name	HANESBRANDS, INC. MASTER INVESTMENT TRUST FOR DB PL		
b	Name of plan sponsor	HANESBRANDS INC.	**c** EIN-PN	20-3552316-101
a	Plan name	HARBISONWALKER INTERNATIONAL RETIREMENT TRUST		
b	Name of plan sponsor	HARBISONWALKER INTERNATIONAL, INC.	**c** EIN-PN	43-1680037-001
a	Plan name	HAWAIIAN TELCOM COMMUNICATIONS, INC. MASTER RETIREMENT TRUST		
b	Name of plan sponsor	HAWAIIAN TELCOM COMMUNICATIONS, INC	**c** EIN-PN	20-3108031-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	HAWORTH MASTER PENSION TRUST		
b Name of plan sponsor	HAWORTH INTERNATIONAL, LTD.	**c** EIN-PN	38-2101981-006
a Plan name	HCA INC. MASTER RETIREMENT TRUST		
b Name of plan sponsor	HCA INC.	**c** EIN-PN	62-1160563-001
a Plan name	HCSC PENSION MASTER TRUST		
b Name of plan sponsor	HEALTH CARE SERVICE CORPORATION A MUTUAL RESERVE CO	**c** EIN-PN	83-1060209-008
a Plan name	HEALTHCOMP, INC. GROUP TRUST		
b Name of plan sponsor	HEALTHCOMP, INC.	**c** EIN-PN	34-1607331-004
a Plan name	HEAVY & GENERAL LABORERS' LOCAL UNIONS 472 & 172 OF NJ ANNUITY PLAN		
b Name of plan sponsor	BD OF TRUSTEES HEAVY & GENERAL LABORERS' OF NJ ANNUITY FUND	**c** EIN-PN	22-2331070-001
a Plan name	HEAVY AND GENERAL LABORERS' LOCAL UNIONS 472 AND 172 OF NEW JERSEY PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES H&GL LOCAL UNIONS	**c** EIN-PN	22-6032103-001
a Plan name	H-E-B PARTNER STOCK PLAN		
b Name of plan sponsor	H. E. BUTT GROCERY COMPANY	**c** EIN-PN	74-0537175-002
a Plan name	H-E-B SAVINGS & RETIREMENT PLAN		
b Name of plan sponsor	H. E. BUTT GROCERY COMPANY	**c** EIN-PN	74-0537175-001
a Plan name	HECLA MINING COMPANY CAPITAL ACCUMULATION PLAN		
b Name of plan sponsor	HECLA MINING COMPANY	**c** EIN-PN	77-0664171-004
a Plan name	HENNIGES AUTOMOTIVE RETIREMENT TRUST		
b Name of plan sponsor	HENNIGES AUTOMOTIVE NORTH AMERICA, INC.	**c** EIN-PN	38-2034292-003
a Plan name	HENRY CROWN AND COMPANY PENSION PLAN		
b Name of plan sponsor	HENRY CROWN AND COMPANY	**c** EIN-PN	36-2123962-101
a Plan name	HERMAN MILLER, INC. PROFIT SHARING AND 401K PLAN		
b Name of plan sponsor	HERMAN MILLER, INC.	**c** EIN-PN	38-0837640-002

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	HEXION INC. RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	HEXION SPECIALTY CHEMICALS, INC	**c** EIN-PN	13-0511250-005
a Plan name	HOLCIM PARTICIPATIONS (US) INC. MASTER RETIREMENT TRUST		
b Name of plan sponsor	HOLCIM PARTICIPATIONS (US) INC.	**c** EIN-PN	22-3481535-001
a Plan name	HOLLOW METAL PENSION FUND		
b Name of plan sponsor	HOLLOW METAL PENSION FUND	**c** EIN-PN	11-2758544-001
a Plan name	HONEYWELL INTERNATIONAL INC. MASTER RETIREMENT TRUST		
b Name of plan sponsor	HONEYWELL INTERNATIONAL INC EMPLOYEE SERVICES FINANCE MGMNT	**c** EIN-PN	36-6968926-001
a Plan name	HORACE MANN 401K PLAN		
b Name of plan sponsor	HORACE MANN SERVICE CORPORATION	**c** EIN-PN	37-0972590-004
a Plan name	HORACE MANN PENSION PLAN		
b Name of plan sponsor	HORACE MANN SERVICE CORPORATION	**c** EIN-PN	37-0972590-005
a Plan name	HOURLY EMPLOYEES' PENSION PLAN OF ROLLEX CORPORATION		
b Name of plan sponsor	ROLLEX CORPORATION	**c** EIN-PN	36-3435871-001
a Plan name	HOUSTON HOSPITALS, INC. RETIREMENT PLAN		
b Name of plan sponsor	HOUSTON HOSPITALS, INC.	**c** EIN-PN	71-1045290-001
a Plan name	HOWMEDICA OSTEONICS PENSION PLAN FOR RUTHERFORD BARGAINING UNIT REMPLOYEES		
b Name of plan sponsor	HOWMEDICA OSTEONICS CORP. DBA STRYKER ORTHOPAEDICS	**c** EIN-PN	22-2183590-010
a Plan name	HSBC NORTH AMERICA (U.S.) PENSION PLAN		
b Name of plan sponsor	HSBC NORTH AMERICA HOLDINGS INC.	**c** EIN-PN	23-2186091-001
a Plan name	HUBBELL INCORPORATED MASTER PENSION TRUST		
b Name of plan sponsor	HUBBELL INC. & SUBSIDIARIES	**c** EIN-PN	06-0397030-062
a Plan name	HUHTAMAKI RETIREMENT ACCRUAL PLAN		
b Name of plan sponsor	HUHTAMAKI AMERICAS, INC	**c** EIN-PN	98-0338708-003

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	HUNT CONSOLIDATED, INC. FIDELITY PENSION PLAN		
b Name of plan sponsor	HUNT CONSOLIDATED, INC.	**c** EIN-PN	75-1727234-001
a Plan name	HUNTON & WILLIAMS LLP RETIREMENT SAVINGS PLAN B		
b Name of plan sponsor	HUNTON & WILLIAMS LLP	**c** EIN-PN	54-0572269-050
a Plan name	HUNTSMAN GROUP PENSION PLANS MASTER TRUST		
b Name of plan sponsor	HUNTSMAN INTERNATIONAL LLC	**c** EIN-PN	87-0630358-013
a Plan name	HUTON & WILLIAMS LLP RETIREMENT SAVINGS PLAN MASTER TRUST		
b Name of plan sponsor	HUTON & WILLIAMS LLP	**c** EIN-PN	04-3448542-001
a Plan name	HYDRO EXTRUSION USA, LLC PENSION PLAN		
b Name of plan sponsor	HYDRO EXTRUSION USA, LLC	**c** EIN-PN	58-2216096-003
a Plan name	I.A.T.S.E. LOCAL NO. 33 PENSION TRUST FUND		
b Name of plan sponsor	BOARD OF TRUSTEES, I.A.T.S.E. LOCAL NO. 33 PENSION TRUST FUND	**c** EIN-PN	95-6377503-001
a Plan name	IAM NATIONAL PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF THE I.A.M. NATIONAL PENSION FUND	**c** EIN-PN	51-6031295-002
a Plan name	IBEW NO. 150 PENSION TRUST		
b Name of plan sponsor	TRUSTEES UNDER IBEW NO. 150 PENSION TRUST	**c** EIN-PN	36-6140629-001
a Plan name	IDAHO NATIONAL LABORATORY EMPLOYEE RETIREMENT PLAN		
b Name of plan sponsor	BATTELLE ENERGY ALLIANCE, LLC	**c** EIN-PN	68-0588324-002
a Plan name	IMS HEALTH INCORPORATED RETIREMENT PLAN		
b Name of plan sponsor	IMS HEALTH INCORPORATED	**c** EIN-PN	06-1506026-002
a Plan name	INDIANA LABORERS PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES- INDIANA LABORERS PENSION FUND	**c** EIN-PN	35-6027150-001
a Plan name	INDIANA/KENTUCKY/OHIO REGIONAL COUNCIL OF CARPENTERS PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES INDIANA/KENTUCKY/OHIO REGIONAL COUNCIL CARPENTERS PE	**c** EIN-PN	51-6123713-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	INFINEUM PENSION PLAN		
b Name of plan sponsor	INFINEUM USA INC.	**c** EIN-PN	74-2890923-001
a Plan name	INGREDION INCORPORATED MASTER PENSION TRUST		
b Name of plan sponsor	INGREDION INCORPORATED	**c** EIN-PN	22-3514823-011
a Plan name	INTEGRYS ENERGY GROUP MASTER RETIREMENT TRUST		
b Name of plan sponsor	WEC ENERGY GROUP, INC.	**c** EIN-PN	45-6948361-001
a Plan name	INTERMOUNTAIN HEALTHCARE SAVINGS PLUS 401(K) PLAN		
b Name of plan sponsor	INTERMOUNTAIN HEALTH CARE, INC.	**c** EIN-PN	87-0269232-002
a Plan name	INTERNATIONAL PAINTERS AND ALLIED TRADES INDUSTRY PENSION PLAN		
b Name of plan sponsor	INTL PAINTERS & ALLIED TRADES IND. PENSION FUND BOARD OF TRUSTEES	**c** EIN-PN	52-6073909-001
a Plan name	INVESTMENT & EMPLOYEE STOCK OWNERSHIP PLAN OF AVISTA		
b Name of plan sponsor	AVISTA CORPORATION	**c** EIN-PN	91-0462470-003
a Plan name	IRON WORKERS LOCAL 498 DEFINED BENEFIT PLAN		
b Name of plan sponsor	TRUSTEES OF THE IRON WORKERS LOCAL 498 DEFINED BENEFIT PLAN	**c** EIN-PN	36-3119818-003
a Plan name	IRON WORKERS' MID-AMERICA PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES OF IW MID-AMERICAN PENSION PLAN	**c** EIN-PN	36-6488227-001
a Plan name	IRONWORKERS LOCAL 11 PENSION FUND		
b Name of plan sponsor	IRONWORKERS LOCAL 11 PENSION FUND BOARD OF TRUSTEES	**c** EIN-PN	22-6243387-001
a Plan name	ITG BRANDS, LLC RETIREMENT ALLOWANCE PLAN FOR HOURLY RATED AND/OR PIECEWORK EMPLOYEES		
b Name of plan sponsor	ITG BRANDS, LLC	**c** EIN-PN	94-2994213-004
a Plan name	ITG CIGARS, INC. RETIREMENT PLAN		
b Name of plan sponsor	ITG CIGARS, INC.	**c** EIN-PN	59-3472656-020
a Plan name	ITW DEFINED BENEFITS PLAN INVESTMENT ACCOUNT		
b Name of plan sponsor	ILLINOIS TOOL WORKS INC.	**c** EIN-PN	36-6029149-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	ITW DEFINED CONTRIBUTION PLANS' INVESTMENT ACCOUNT		
b Name of plan sponsor	ILLINOIS TOOL WORKS INC.	**c** EIN-PN	36-6029149-002
a Plan name	IUE-CWA PENSION PLAN		
b Name of plan sponsor	TRUSTEES OF IUE-CWA PENSION FUND	**c** EIN-PN	22-6250252-001
a Plan name	IUOE STATIONARY ENGINEERS LOCAL 39 PENSION PLAN		
b Name of plan sponsor	BOT OF IUOE STATIONARY ENGINEERS LOCAL 39 PENSION	**c** EIN-PN	94-6118939-001
a Plan name	JACK IN THE BOX INC RETIREMENT PLAN		
b Name of plan sponsor	JACK IN THE BOX INC.	**c** EIN-PN	95-2698708-001
a Plan name	JACOBS ENGINEERING GROUP, INC. MASTER TRUST		
b Name of plan sponsor	JACOBS ENGINEERING GROUP, INC.	**c** EIN-PN	95-4081636-007
a Plan name	JBT CORPORATION EMPLOYEES' RETIREMENT PROGRAM		
b Name of plan sponsor	JOHN BEAN TECHNOLOGIES CORPORATION	**c** EIN-PN	91-1650317-001
a Plan name	JENNER & BLOCK CASH BALANCE PLAN		
b Name of plan sponsor	JENNER & BLOCK	**c** EIN-PN	36-2192554-002
a Plan name	JM FAMILY ENTERPRISES, INC. ASSOCIATES' RETIREMENT PLAN		
b Name of plan sponsor	JM FAMILY ENTERPRISES, INC.	**c** EIN-PN	59-1390794-002
a Plan name	JOHCM EMERGING MARKETS CIT FUND		
b Name of plan sponsor	RELIANCE TRUST COMPANY	**c** EIN-PN	82-6248089-009
a Plan name	JOHNS HOPKINS HEALTH SYSTEM MASTER TRUST		
b Name of plan sponsor	JOHNS HOPKINS HEALTH SYSTEM CORPORATION	**c** EIN-PN	47-4071063-002
a Plan name	JOHNSON CONTROLS INC MASTER PENSION TRUST		
b Name of plan sponsor	JOHNSON CONTROLS, INC.	**c** EIN-PN	39-0380010-024
a Plan name	JOINT PENSION FUND OF LOCAL UNION NO 102		
b Name of plan sponsor	JOINT PENSION FUND OF LOCAL UNION	**c** EIN-PN	22-1615726-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	JONES DAY DEFINED BENEFIT MASTER TRUST		
b Name of plan sponsor	JONES DAY	**c** EIN-PN	34-6556769-007
a Plan name	JOURNEYMEN & APPRENTICES 188 PENSION FUND		
b Name of plan sponsor	JOURNEYMEN & APPRENTICES 188 PENSION FUND	**c** EIN-PN	58-6088292-001
a Plan name	KAMAN CORPORATION EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	KAMAN CORPORATION	**c** EIN-PN	06-0613548-008
a Plan name	KANSAS CITY LIFE INSURANCE COMPANY CASH BALANCE PENSION PLAN		
b Name of plan sponsor	KANSAS CITY LIFE INSURANCE COMPANY	**c** EIN-PN	44-0308260-001
a Plan name	KAPSTONE PAPER AND PACKAGING CORPORATION 401(K) PLAN		
b Name of plan sponsor	KAPSTONE PAPER AND PACKAGING CORPORATION	**c** EIN-PN	20-2699372-001
a Plan name	KELLANOVA MASTER TRUST		
b Name of plan sponsor	KELLANOVA	**c** EIN-PN	38-0710690-006
a Plan name	KELLANOVA PENSION MASTER TRUST		
b Name of plan sponsor	KELLANOVA	**c** EIN-PN	38-0710690-009
a Plan name	KIMBALL ELECTRONICS RETIREMENT PLAN		
b Name of plan sponsor	KIMBALL ELECTRONICS, INC.	**c** EIN-PN	35-2047713-001
a Plan name	KIMBERLY-CLARK CORPORATION PENSION PLAN		
b Name of plan sponsor	KIMBERLY-CLARK CORPORATION	**c** EIN-PN	39-0394230-001
a Plan name	KIRBY CORPORATION MASTER TRUST		
b Name of plan sponsor	KIRBY CORPORATION	**c** EIN-PN	74-1884980-006
a Plan name	KOMATSU MINING CORP.		
b Name of plan sponsor	KOMATSU U.S. PENSION PLAN	**c** EIN-PN	39-1566457-008
a Plan name	KRAFT HEINZ COMPANY MASTER RETIREMENT TRUST		
b Name of plan sponsor	KRAFT HEINZ FOODS COMPANY	**c** EIN-PN	13-7192316-059

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	KRAFT HEINZ DEFINED CONTRIBUTION MASTER TRUST		
b Name of plan sponsor	KRAFT HEINZ FOODS COMPANY	**c** EIN-PN	25-0542520-027
a Plan name	KRAMER LEVIN NAFTALIS & FRANKEL MASTER TRUST		
b Name of plan sponsor	KRAMER LEVIN NAFTALIS & FRANKEL LLP	**c** EIN-PN	13-1944339-009
a Plan name	L3HARRIS PENSION MASTER TRUST		
b Name of plan sponsor	L3HARRIS TECHNOLOGIES, INC	**c** EIN-PN	36-6668234-300
a Plan name	LABORATORY CORPORATION OF AMERICA HOLDINGS CASH BALANCE RETIREMENT PLAN		
b Name of plan sponsor	LABORATORY CORPORATION OF AMERICA HOLDINGS	**c** EIN-PN	13-3757370-002
a Plan name	LABORERS NATIONAL PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF THE LABORERS NATIONAL PENSION FUND	**c** EIN-PN	75-1280827-001
a Plan name	LEE ENTERPRISES, INCORPORATED PENSION PLAN		
b Name of plan sponsor	LEE ENTERPRISES, INC.	**c** EIN-PN	42-0823980-003
a Plan name	LEGACY ALLERGAN, INC. PENSION PLAN		
b Name of plan sponsor	ALLERGAN, INC.	**c** EIN-PN	95-1622442-001
a Plan name	LEHIGH HANSON PENSION TRUST		
b Name of plan sponsor	LEHIGH HANSON, INC.	**c** EIN-PN	51-0482898-001
a Plan name	LEHIGH VALLEY HEALTH NETWORK, INC. RETIREMENT PLAN		
b Name of plan sponsor	LEHIGH VALLEY HEALTH NETWORK, INC.	**c** EIN-PN	22-2458317-001
a Plan name	LEIDOS, INC. MASTER TRUST		
b Name of plan sponsor	LEIDOS, INC	**c** EIN-PN	95-3630868-005
a Plan name	LEXMARK RETIREMENT GROWTH ACCOUNT PLAN		
b Name of plan sponsor	LEXMARK INTERNATIONAL, INC.	**c** EIN-PN	06-1308215-001
a Plan name	LINCOLN NATIONAL CORPORATION EMPLOYEES RETIREMENT TRUST		
b Name of plan sponsor	LINCOLN NATIONAL CORPORATION	**c** EIN-PN	35-1140070-002

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	LINDE RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	LINDE INC.	**c** EIN-PN	06-1249050-334
a Plan name	LINDE U.S. PENSION PLAN		
b Name of plan sponsor	LINDE INC.	**c** EIN-PN	06-1249050-335
a Plan name	LIQUOR SALESMEN'S UNION LOCAL 2 PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF LIQUOR SALESMEN'S UNION LOCAL 2 PENSION FUND	**c** EIN-PN	51-0156423-001
a Plan name	LMC DEFINED CONTRIBUTION MASTER TRUST		
b Name of plan sponsor	LOCKHEED MARTIN CORPORATION	**c** EIN-PN	04-3321934-002
a Plan name	LOCAL 1158 IBEW AFL-CIO PENSION FUND		
b Name of plan sponsor	LOCAL 1158 PENSION FUND	**c** EIN-PN	22-2021330-001
a Plan name	LOCAL 2066 PENSION FUND		
b Name of plan sponsor	JOINT BOARD OF TRUSTEES LOCAL 2066	**c** EIN-PN	22-6171510-001
a Plan name	LOCAL 68 ENGINEERS UNION ANNUITY PLAN		
b Name of plan sponsor	LOCAL 68 ENGINEERS UNION ANNUITY FUND BOARD OF TRUSTEES	**c** EIN-PN	22-6289939-001
a Plan name	LOCAL 68 ENGINEERS UNION PENSION PLAN		
b Name of plan sponsor	LOCAL 68 ENGINEERS UNION PENSION FUND BOARD OF TRUSTEES	**c** EIN-PN	51-0176618-001
a Plan name	LOCAL 819 LABOR & MANAGEMENT PENSION TR FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF L 816 LABOR & MANAGEMENT PENSION TR FUND	**c** EIN-PN	13-1869789-001
a Plan name	LOCAL 868 I. B. OF T. PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF LOCAL 868 IBT PENSION FUND	**c** EIN-PN	13-2930439-001
a Plan name	LOCAL 917 PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES LOCAL 917 PENSION FUND	**c** EIN-PN	13-6086164-001
a Plan name	LOCAL UNION 400 I.B.E.W PENSION PLAN		
b Name of plan sponsor	INT'L BROTHERHOOD OF ELECT. WORKERS LOCAL UNION 400 PENSION FUND	**c** EIN-PN	22-6257847-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	LOCKHEED MARTIN CORPORATION MASTER RETIREMENT TRUST		
b Name of plan sponsor	LOCKHEED MARTIN CORPORATION	**c** EIN-PN	22-3546821-001
a Plan name	LONG ISLAND UTILITY SERVCO LLC MASTER TRUST		
b Name of plan sponsor	LONG ISLAND ELECTRIC UTILITY SERVCO LLC	**c** EIN-PN	45-4652143-004
a Plan name	L'OREAL TRAVEL RETAIL AMERICAS INC. RETIREMENT PLAN		
b Name of plan sponsor	L'OREAL TRAVEL RETAIL AMERICAS, INC	**c** EIN-PN	13-1247390-001
a Plan name	LOYOLA UNIVERSITY EMPLOYEES RETIREMENT PLAN		
b Name of plan sponsor	LOYOLA UNIVERSITY OF CHICAGO ATTN BENEFITS DEPARTMENT	**c** EIN-PN	36-1408475-333
a Plan name	LSC COMMUNICATIONS PENSION PLAN		
b Name of plan sponsor	LSC COMMUNICATIONS LLC	**c** EIN-PN	85-3418344-004
a Plan name	LSC PENSION PLAN		
b Name of plan sponsor	LSC COMMUNICATIONS US, LLC	**c** EIN-PN	37-1804157-002
a Plan name	LSC SAVINGS PLAN		
b Name of plan sponsor	LSC COMMUNICATIONS,LLC	**c** EIN-PN	85-3418344-001
a Plan name	LSV INTERNATIONAL VALUE EQUITY TRUST		
b Name of plan sponsor	LSV ASSET MANAGEMENT	**c** EIN-PN	20-0726879-001
a Plan name	LURIE CHILDREN'S PEDIATRIC ANESTHESIA ASSOCIATES CASH BALANCE PLAN		
b Name of plan sponsor	LURIE CHILDREN'S PEDIATRIC ANESTHES	**c** EIN-PN	37-1838535-002
a Plan name	LURIE CHILDREN'S SURGICAL FOUNDATION, INC. CASH BALANCE PLAN		
b Name of plan sponsor	LURIE CHILDREN'S SURGICAL FOUNDATION, INC.	**c** EIN-PN	36-3283051-003
a Plan name	MACANDREWS & FORBES INCORPORATED MASTER TRUST		
b Name of plan sponsor	REVLON CONSUMER PRODUCTS LLC	**c** EIN-PN	36-7370049-001
a Plan name	MACYS INC DEFINED BENEFIT PLANS MASTER TRUST		
b Name of plan sponsor	MACYS INC	**c** EIN-PN	13-3324058-015

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	MACYS INC. DEFINED CONTRIBUTION PLANS MASTER TRUST		
b Name of plan sponsor	MACYS, INC	**c** EIN-PN	13-3324058-014
a Plan name	MAJOR LEAGUE BASEBALL 401(K) PLAN AND TRUST		
b Name of plan sponsor	OFFICE OF THE COMMISSIONER OF BASEBALL	**c** EIN-PN	13-1665347-334
a Plan name	MARATHON PETROLEUM GROUP TRUST		
b Name of plan sponsor	MARATHON PETROLEUM COMPANY LP	**c** EIN-PN	27-6011802-102
a Plan name	MARATHON PETROLEUM MASTER RETIREMENT TRUST		
b Name of plan sponsor	MARATHON PETROLEUM COMPANY GROUP LP	**c** EIN-PN	46-6645355-101
a Plan name	MARRIOTT INTERNATIONAL, INC. POOLED INVESTMENT TRUST FOR PARTICIPANT DIRECTED ACCOUNTS		
b Name of plan sponsor	MARRIOTT INTERNATIONAL, INC.	**c** EIN-PN	52-2055918-003
a Plan name	MARSH & MCLENNAN COMPANIES 401(K) SAVINGS AND INVESTMENT PLAN		
b Name of plan sponsor	MARSH & MCLENNAN COMPANIES, INC.	**c** EIN-PN	36-2668272-003
a Plan name	MARSH & MCLENNAN COMPANIES RETIREMENT PLAN		
b Name of plan sponsor	MARSH & MCLENNAN COMPANIES, INC.	**c** EIN-PN	36-2668272-001
a Plan name	MARSH & MCLENNAN COMPANIES, INC. MASTER RETIREMENT SAVINGS TRUST		
b Name of plan sponsor	MARSH & MCLENNAN COMPANIES, INC.	**c** EIN-PN	13-2854946-001
a Plan name	MARSHFIELD CLINIC MASTER TRUST		
b Name of plan sponsor	MARSHFIELD CLINIC, INC.	**c** EIN-PN	39-0452970-003
a Plan name	MARTIN MARIETTA MATERIALS, INC. DEFINED BENEFIT PLANS MASTER RETIREMENT TRUST		
b Name of plan sponsor	MARTIN MARIETTA MATERIALS, INC.	**c** EIN-PN	56-1848578-011
a Plan name	MARTIN MEMORIAL HEALTH SYSTEMS, INC. PENSION PLAN		
b Name of plan sponsor	MARTIN MEMORIAL HEALTH SYSTEMS , INC.	**c** EIN-PN	59-2307522-001
a Plan name	MARYLAND ELECTRICAL INDUSTRY PENSION PLAN		
b Name of plan sponsor	MARYLAND ELECTRICAL IND PENSION	**c** EIN-PN	52-1057284-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	MASTER DEFINED CONTRIBUTION TRUST		
b Name of plan sponsor	DOLE FOOD COMPANY, INC	**c** EIN-PN	25-1695814-001
a Plan name	MASTER TRUST FOR SIEMENS PENSION PLANS		
b Name of plan sponsor	SIEMENS CORPORATION	**c** EIN-PN	13-3406827-001
a Plan name	MASTER TRUST FOR SIEMENS SAVINGS PLANS		
b Name of plan sponsor	SIEMENS CORPORATION	**c** EIN-PN	22-6712952-001
a Plan name	MASTER TRUST FOR TIP AND TRIP NORFOLK SOUTHERN CORPORATION STOCK FUND		
b Name of plan sponsor	NORFOLK SOUTHERN CORPORATION	**c** EIN-PN	52-1188014-005
a Plan name	MASTERBRAND, INC. PENSION PLAN		
b Name of plan sponsor	MASTERBRAND, INC.	**c** EIN-PN	13-3346717-006
a Plan name	MASTERS MATES & PILOTS ADJUSTABLE PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES OF MASTERS, MATES & PILOTS ADJUSTABLE PENSION PLAN	**c** EIN-PN	37-1719247-001
a Plan name	MASTERS MATES & PILOTS INDIVIDUAL RETIREMENT ACCOUNT PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES OF M.M. & P. INDIVIDUAL RETIREMENT ACCOUNT PLAN	**c** EIN-PN	13-3021839-001
a Plan name	MASTERS, MATES & PILOTS PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES OF MASTERS, MATES & PILOTS PENSION PLAN	**c** EIN-PN	13-6372630-001
a Plan name	MAYO CLINIC METHODIST HOSPITAL HOURLY EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	MAYO CLINIC HOSPITAL - ROCHESTER	**c** EIN-PN	41-0944601-002
a Plan name	MAYO CLINIC SAINT MARYS HOSPITAL RETIREMENT PLAN		
b Name of plan sponsor	MAYO CLINIC HOSPITAL - ROCHESTER	**c** EIN-PN	41-0944601-001
a Plan name	MAYO PENSION PLAN		
b Name of plan sponsor	MAYO CLINIC	**c** EIN-PN	41-6011702-001
a Plan name	MCDONALD'S CORPORATION 401(K) PLAN		
b Name of plan sponsor	MCDONALDS CORPORATION AND SUBSIDIARIES	**c** EIN-PN	36-2361282-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	MCMASTER-CARR SUPPLY COMPANY PROFIT SHARING TRUST		
b Name of plan sponsor	MCMASTER-CARR SUPPLY COMPANY	**c** EIN-PN	36-1458720-001
a Plan name	MEADOWS FOUNDATION RETIREMENT PLAN		
b Name of plan sponsor	MEADOWS FOUNDATION	**c** EIN-PN	75-6015322-003
a Plan name	MEMORIAL HEALTH SYSTEM EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	MEMORIAL HEALTH SYSTEM, INC.	**c** EIN-PN	35-1536132-001
a Plan name	MEMORIAL HERMANN PENSION PLAN		
b Name of plan sponsor	MEMORIAL HERMANN HEALTH SYSTEM	**c** EIN-PN	74-1152597-001
a Plan name	MERITOR, INC. RETIREMENT PLAN		
b Name of plan sponsor	MERITOR, INC.	**c** EIN-PN	38-3354643-336
a Plan name	METLIFE 401(K) PLAN		
b Name of plan sponsor	METLIFE GROUP, INC.	**c** EIN-PN	55-0790010-003
a Plan name	METRO ILA PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES METRO ILA PENSION FUND	**c** EIN-PN	13-1939129-001
a Plan name	METTLER-TOLEDO, LLC ENHANCED RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	METTLER-TOLEDO, LLC	**c** EIN-PN	34-1538688-031
a Plan name	MGEE STOCK FUND 401(K) MASTER TRUST		
b Name of plan sponsor	MADISON GAS AND ELELCTRIC COMPANY	**c** EIN-PN	39-0444025-010
a Plan name	MIAMI CORPORATION RETIREMENT PLAN		
b Name of plan sponsor	MIAMI CORPORATION	**c** EIN-PN	36-1472840-002
a Plan name	MICHELIN 401(K) SAVINGS PLAN		
b Name of plan sponsor	MICHELIN NORTH AMERICA, INC. C/O RETIREMENT MANAGER	**c** EIN-PN	11-1724631-003
a Plan name	MICHELIN RETIREMENT PLAN		
b Name of plan sponsor	MICHELIN NORTH AMERICA, INC.	**c** EIN-PN	11-1724631-010

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	MICHIGAN BAC PENSION FUND		
b Name of plan sponsor	MICHIGAN BAC PENSION FUND	**c** EIN-PN	38-2895943-001
a Plan name	MICHIGAN MILK PRODUCERS ASSOCIATION PENSION PLAN		
b Name of plan sponsor	MICHIGAN MILK PRODUCERS ASSOCIATION	**c** EIN-PN	38-0828940-001
a Plan name	MIDDLEBY MARSHALL, INC. RETIREMENT PLAN		
b Name of plan sponsor	MIDDLEBY CORPORATION	**c** EIN-PN	58-1315813-001
a Plan name	MIDWEST OPERATING ENGINEERS PENSION TRUST FUND		
b Name of plan sponsor	TRUSTEES OF THE MIDWEST OPERATING ENGINEERS PENSION TRUST FUND	**c** EIN-PN	36-6140097-001
a Plan name	MIDWEST PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES - MIDWEST PENSION PLAN	**c** EIN-PN	36-6512748-001
a Plan name	MILK DRIVERS AND DAIRY EMPLOYEES LOCAL 246 PENSION FUND		
b Name of plan sponsor	MILK DRIVERS & DAIRY EMPLOYEES LOCAL NO. 246 OF WASHINGTON D.C PENSION	**c** EIN-PN	52-6070425-001
a Plan name	MILLWRIGHTS PENSION PLAN		
b Name of plan sponsor	BOT, MILLWRIGHTS PENSION PLAN	**c** EIN-PN	75-1810302-001
a Plan name	MILTON HERSHEY SCHOOL RETIREMENT PLAN		
b Name of plan sponsor	MILTON HERSHEY SCHOOL	**c** EIN-PN	23-1353340-001
a Plan name	MINNESOTA LABORERS PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF MINNESOTA LABORERS PENSION FUND	**c** EIN-PN	41-6159599-001
a Plan name	MODERN WOODMEN OF AMERICA EMPLOYEES' RETIREMENT PLAN		
b Name of plan sponsor	MODERN WOODMEN OF AMERICA	**c** EIN-PN	36-1493430-001
a Plan name	MONDELEZ GLOBAL LLC TIP PLAN		
b Name of plan sponsor	MONDELEZ GLOBAL LLC	**c** EIN-PN	38-3869170-001
a Plan name	MONSANTO COMPANY PENSION PLAN		
b Name of plan sponsor	MONSANTO COMPANY	**c** EIN-PN	43-1878297-002

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	MONY LIFE RETIREMENT INCOME SECURITY PLAN FOR EMPLOYEES		
b Name of plan sponsor	EQUITABLE HOLDINGS, INC.	**c** EIN-PN	13-3623351-001
a Plan name	MORGAN STANLEY 401(K) PLAN		
b Name of plan sponsor	MORGAN STANLEY DOMESTIC HOLDINGS, INC.	**c** EIN-PN	20-8764829-003
a Plan name	MORGAN STANLEY EMPLOYEES RETIREMENT PLAN		
b Name of plan sponsor	MORGAN STANLEY DOMESTIC HOLDINGS, INC.	**c** EIN-PN	20-8764829-001
a Plan name	MOTOROLA SOLUTIONS 401(K) PLAN		
b Name of plan sponsor	MOTOROLA SOLUTIONS, INC.	**c** EIN-PN	36-1115800-001
a Plan name	MOTOROLA SOLUTIONS RETIREMENT TRUST		
b Name of plan sponsor	MOTOROLA SOLUTIONS, INC.	**c** EIN-PN	47-1471035-001
a Plan name	MSA PENSION PLAN		
b Name of plan sponsor	MSA WORLDWIDE, LLC	**c** EIN-PN	46-4914866-001
a Plan name	MTD PRODUCTS INC PENSION PLAN		
b Name of plan sponsor	MTS PRODUCTS INC	**c** EIN-PN	06-1650267-302
a Plan name	MUTUAL TRUST LIFE INSURANCE COMPANY PENSION PLAN		
b Name of plan sponsor	MUTUAL TRUST LIFE INSURANCE COMPANY, A PAN-AMERICAN LIFE INSURANCE GRO	**c** EIN-PN	36-1516780-001
a Plan name	NATINOAL HOCKEY LEAGUE RETIREMENT PLAN (UNITED STATES)		
b Name of plan sponsor	NATIONAL HOCKEY LEAGUE	**c** EIN-PN	98-0036067-002
a Plan name	NATIONAL AUTOMATIC SPRINKLER INDUSTRY PENSION FUND		
b Name of plan sponsor	NATIONAL AUTOMATIC SPRINKLER INDUSTRY PENSION FUND JT BOARD OF TRUSTEE	**c** EIN-PN	52-6054620-001
a Plan name	NATIONAL FEDERATION OF INDEPENDENT BUSINESS RETIREMENT PLAN		
b Name of plan sponsor	NATIONAL FEDERATION OF INDEPENDENT BUSINESS, INC.	**c** EIN-PN	94-0707299-001
a Plan name	NATIONAL FUEL GAS COMPANY EMPLOYEE STOCK OWNERSHIP PLAN		
b Name of plan sponsor	NATIONAL FUEL GAS COMPANY	**c** EIN-PN	13-1086010-003

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	NATIONAL FUEL GAS COMPANY TAX DEFERRED SAVINGS PLAN		
b Name of plan sponsor	NATIONAL FUEL GAS COMPANY	**c** EIN-PN	13-1086010-009
a Plan name	NATIONAL GRID USA CO. INCENTIVE THRIFT PLAN I		
b Name of plan sponsor	NATIONAL GRID USA SERVICE COMPANY, INC.	**c** EIN-PN	04-1663150-005
a Plan name	NATIONAL GYPSUM COMPANY EMPLOYEES' RETIREMENT MASTER TRUST		
b Name of plan sponsor	NEW NGC, INC.	**c** EIN-PN	85-3196048-099
a Plan name	NATIONAL HOCKEY LEAGUE PLAYERS' RETIREMENT BENEFIT PLAN		
b Name of plan sponsor	BENEFITS COMMITTEE OF THE NATL HOCKEY LEAGUE RETIREMENT BENEFITS PLAN	**c** EIN-PN	46-2555356-001
a Plan name	NATIONAL RETIREMENT TRUST		
b Name of plan sponsor	BLUE CROSS AND BLUE SHIELD ASSOCIATION	**c** EIN-PN	36-6041816-001
a Plan name	NATIONAL SHOPMEN PENSION FUND		
b Name of plan sponsor	NATIONAL SHOPMEN PENSION FUND BOARD OF TRUSTEES	**c** EIN-PN	52-6122274-001
a Plan name	NAVISTAR, INC. SALARIED EMPLOYEES PENSION PLAN		
b Name of plan sponsor	NAVISTAR, INC.	**c** EIN-PN	36-1264810-038
a Plan name	NAVISTAR, INC. SALARIED EMPLOYEES PENSION PLAN NO. 1		
b Name of plan sponsor	NAVISTAR, INC.	**c** EIN-PN	36-1264810-037
a Plan name	NCR PENSION PLAN		
b Name of plan sponsor	NCR CORPORATION	**c** EIN-PN	31-0387920-001
a Plan name	NCR SAVINGS PLAN		
b Name of plan sponsor	NCR CORPORATION	**c** EIN-PN	31-0387920-052
a Plan name	NEENAH 401(K) RETIREMENT PLAN		
b Name of plan sponsor	NEENAH, INC	**c** EIN-PN	20-1308307-002
a Plan name	NEW AVON LLC PERSONAL RETIREMENT ACCOUNT PLAN		
b Name of plan sponsor	NEW AVON LLC	**c** EIN-PN	81-1144649-002

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	NEW JERSEY BUILDING LABORERS STATEWIDE ANNUITY FUND		
b Name of plan sponsor	BD OF TRUSTEES NJ BUILDING LABORERS STATEWIDE ANNUITY FUND	**c** EIN-PN	22-2450453-001
a Plan name	NEW ORLEANS EMPLOYERS-INTERNATIONAL LONGSHOREMEN'S ASSOCIATION,AFL-CIO,PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES, NEW ORLEANS EMPLOYERS INTL LONGSHOREMEN'S PNSN FUND	**c** EIN-PN	72-6023317-001
a Plan name	NEW YORK HOTEL TRADES COUNCIL AND HOTEL ASSOCIATION OF NEW YORK CITY, INC. PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES	**c** EIN-PN	13-1764242-001
a Plan name	NEW YORK STATE NURSES ASSOCIATION PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES-NEW YORK STATE NURSES ASSOCIATION PENSION PLAN	**c** EIN-PN	13-6604799-001
a Plan name	NEWELL BRANDS CONSOLIDATED PENSION PLAN		
b Name of plan sponsor	NEWELL OPERATING COMPANY	**c** EIN-PN	36-1953130-001
a Plan name	NEWMARKET CORPORATION MASTER TRUST FOR DESIGNATED EMPLOYEEBENEFIT PLANS FOR NEWMARKET CORPORATION AND AFFILIATES		
b Name of plan sponsor	NEWMARKET CORPORATION AND AFFILIATES	**c** EIN-PN	20-0812170-003
a Plan name	NIBCO INC. EMPLOYEE STOCK OWNERSHIP PLAN		
b Name of plan sponsor	NIBCO, INC.	**c** EIN-PN	35-0552560-006
a Plan name	NIBCO INC. PROFIT SHARING & 401(K) SAVINGS PLAN		
b Name of plan sponsor	NIBCO INC.	**c** EIN-PN	35-0552560-005
a Plan name	NISOURCE INC. MASTER RETIREMENT TRUST		
b Name of plan sponsor	NISOURCE INC.	**c** EIN-PN	36-6968911-001
a Plan name	NNPP CONTRACTOR DB MASTER TRUST		
b Name of plan sponsor	FLUOR MARINE PROPULSION, LLC	**c** EIN-PN	32-6525146-001
a Plan name	NNPP CONTRACTOR DC MASTER TRUST		
b Name of plan sponsor	FLUOR MARINE PROPULSION, LLC	**c** EIN-PN	35-7220852-001
a Plan name	NON-CONTRIBUTORY RETIREMENT PROGRAM FOR CERTAIN EMPLOYEES OF BLUE CROSS AND BLUE SHIELD OF ARIZONA INC.		
b Name of plan sponsor	BLUE CROSS AND BLUE SHIELD OF ARIZONA, INC.	**c** EIN-PN	86-0004538-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	NORTH CENTRAL STATES REGIONAL COUNCIL OF CARPENTERS PENSION FUND		
b Name of plan sponsor	JOINT BOARD OF TRUSTEES OF NORTH CENTRAL STATES REGIONAL COUNCIL OF	**c** EIN-PN	39-6079856-001
a Plan name	NORTHERN TRUST COLLECTIVE 1-10 YEAR INTERMEDIATE CREDIT BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-054
a Plan name	NORTHERN TRUST COLLECTIVE 1-10 YEAR INTERMEDIATE CREDIT BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-375
a Plan name	NORTHERN TRUST COLLECTIVE 1-10 YEAR INTERMEDIATE GOVERNMENT BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-055
a Plan name	NORTHERN TRUST COLLECTIVE 1-10 YEAR INTERMEDIATE GOVERNMENT BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-056
a Plan name	NORTHERN TRUST COLLECTIVE 1-10 YEAR TREASURY INFLATION- PROTECTED SECURITIES (TIPS) INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-143
a Plan name	NORTHERN TRUST COLLECTIVE 1-5 YEAR CREDIT BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-123
a Plan name	NORTHERN TRUST COLLECTIVE ACWI IMI FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-151
a Plan name	NORTHERN TRUST COLLECTIVE AGGREGATE BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-029
a Plan name	NORTHERN TRUST COLLECTIVE AGGREGATE BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-088
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND - DC - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-252
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-223

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-128
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-125
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD INDEX (ACWI) DIVERSIFIED MULTI-FACTOR FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-231
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD INDEX (ACWI) EX-US FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-117
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD INDEX (ACWI) EX-US FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-039
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD INDEX (ACWI) EX-US FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-103
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-150
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD INVESTABLE MARKET INDEX FUND - DC - NON LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-248
a Plan name	NORTHERN TRUST COLLECTIVE ALL COUNTRY WORLD INVESTABLE MARKET INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-134
a Plan name	NORTHERN TRUST COLLECTIVE ASSET-BACKED SECURITIES INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-058
a Plan name	NORTHERN TRUST COLLECTIVE CANADA INDEX FUND - NON- LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-102
a Plan name	NORTHERN TRUST COLLECTIVE CANADA SMALL CAP INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-132

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	NORTHERN TRUST COLLECTIVE COMMERCIAL MORTGAGE-BACKED SECURITIES BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-061
a Plan name	NORTHERN TRUST COLLECTIVE DEVELOPED INTERNATIONAL SMALL CAP (DISC) INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-081
a Plan name	NORTHERN TRUST COLLECTIVE DEVELOPED INTERNATIONAL SMALL CAP (DISC) INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-122
a Plan name	NORTHERN TRUST COLLECTIVE EAFE INDEX FUND - DC - NON LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-111
a Plan name	NORTHERN TRUST COLLECTIVE EAFE SMALL CAP INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-133
a Plan name	NORTHERN TRUST COLLECTIVE EAFE INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-017
a Plan name	NORTHERN TRUST COLLECTIVE EAFE INDEX FUND - NON- LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-024
a Plan name	NORTHERN TRUST COLLECTIVE EMERGING MARKETS EX CHINA INVESTABLE MARKET INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-249
a Plan name	NORTHERN TRUST COLLECTIVE EMERGING MARKETS FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-230
a Plan name	NORTHERN TRUST COLLECTIVE EMERGING MARKETS INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-040
a Plan name	NORTHERN TRUST COLLECTIVE EMERGING MARKETS INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-101
a Plan name	NORTHERN TRUST COLLECTIVE EMERGING MARKETS INVESTABLE MARKET INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-142

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	NORTHERN TRUST COLLECTIVE EMERGING MARKETS QUALITY OPPORTUNITY FUND		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-253
a Plan name	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-085
a Plan name	NORTHERN TRUST COLLECTIVE EXTENDED EQUITY MARKET INDEX FUND - DC - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-251
a Plan name	NORTHERN TRUST COLLECTIVE EXTENDED EQUITY MARKET INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-110
a Plan name	NORTHERN TRUST COLLECTIVE EXTENDED EQUITY MARKET INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-052
a Plan name	NORTHERN TRUST COLLECTIVE EXTENDED EQUITY MARKET INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-100
a Plan name	NORTHERN TRUST COLLECTIVE GLOBAL INFRASTRUCTURE INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-238
a Plan name	NORTHERN TRUST COLLECTIVE GLOBAL NATURAL RESOURCES INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-237
a Plan name	NORTHERN TRUST COLLECTIVE GLOBAL REAL ESTATE INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-082
a Plan name	NORTHERN TRUST COLLECTIVE GLOBAL REAL ESTATE INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-104
a Plan name	NORTHERN TRUST COLLECTIVE GOVERNMENT/CREDIT BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-031
a Plan name	NORTHERN TRUST COLLECTIVE HIGH YIELD FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-072

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	NORTHERN TRUST COLLECTIVE INTERMEDIATE GOVERNMENT/CREDIT BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-064
a Plan name	NORTHERN TRUST COLLECTIVE LONG TERM CREDIT BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-059
a Plan name	NORTHERN TRUST COLLECTIVE LONG TERM CREDIT BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-116
a Plan name	NORTHERN TRUST COLLECTIVE LONG TERM GOVERNMENT BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-057
a Plan name	NORTHERN TRUST COLLECTIVE LONG TERM GOVERNMENT BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-060
a Plan name	NORTHERN TRUST COLLECTIVE LONG TERM GOVERNMENT/CREDIT BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-078
a Plan name	NORTHERN TRUST COLLECTIVE LONG TERM GOVERNMENT/CREDIT BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-094
a Plan name	NORTHERN TRUST COLLECTIVE LONG-TERM US CORPORATE BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-154
a Plan name	NORTHERN TRUST COLLECTIVE LONG-TERM US NON CORPORATE CREDIT BOND INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-155
a Plan name	NORTHERN TRUST COLLECTIVE MORTGAGE-BACKED SECURITIES INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-062
a Plan name	NORTHERN TRUST COLLECTIVE QUALITY LARGE CAP CORE INTERNATIONAL FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-014
a Plan name	NORTHERN TRUST COLLECTIVE QUALITY LARGE CAP CORE RUSSELL 1000 FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-079

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	NORTHERN TRUST COLLECTIVE QUALITY LOW VOLATILITY U.S. FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-242
a Plan name	NORTHERN TRUST COLLECTIVE QUALITY LOW VOLATILITY WORLD EX-US FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-241
a Plan name	NORTHERN TRUST COLLECTIVE QUALITY LOW VOLATILITY WORLD FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-243
a Plan name	NORTHERN TRUST COLLECTIVE QUALITY LOW VOLATILITY WORLD FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-234
a Plan name	NORTHERN TRUST COLLECTIVE QUALITY SMALL CAP CORE U.S. FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-076
a Plan name	NORTHERN TRUST COLLECTIVE QUALITY SMALL CAP VALUE FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-071
a Plan name	NORTHERN TRUST COLLECTIVE QUALITY SMALL CAP VALUE FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-235
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 1000 GROWTH INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-109
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 1000 GROWTH INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-007
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 1000 GROWTH INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-099
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 1000 INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-006
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 1000 INDEX FUND - NON- LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-095

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 1000 VALUE INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-108
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 1000 VALUE INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-008
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 1000 VALUE INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-098
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 2000 GROWTH INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-010
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 2000 GROWTH INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-120
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 2000 INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-107
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 2000 INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-009
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 2000 INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-096
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 2000 VALUE INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-011
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 2000 VALUE INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-119
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 3000 INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-224
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 3000 INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-005

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a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL 3000 INDEX FUND - NON- LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-097
a Plan name	NORTHERN TRUST COLLECTIVE RUSSELL SMALL CAP COMPLETENESS FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-012
a Plan name	NORTHERN TRUST COLLECTIVE S&P 400 INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-105
a Plan name	NORTHERN TRUST COLLECTIVE S&P 400 INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-049
a Plan name	NORTHERN TRUST COLLECTIVE S&P 400 INDEX FUND - NON- LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-004
a Plan name	NORTHERN TRUST COLLECTIVE S&P 500 GROWTH INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-050
a Plan name	NORTHERN TRUST COLLECTIVE S&P 500 INDEX FUND - DC - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-250
a Plan name	NORTHERN TRUST COLLECTIVE S&P 500 INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-002
a Plan name	NORTHERN TRUST COLLECTIVE S&P 500 INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-001
a Plan name	NORTHERN TRUST COLLECTIVE S&P 500 INDEX FUND - NON- LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-003
a Plan name	NORTHERN TRUST COLLECTIVE S&P 500 VALUE INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-051
a Plan name	NORTHERN TRUST COLLECTIVE S&P 600 INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-245

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	NORTHERN TRUST COLLECTIVE S&P 600 INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-244
a Plan name	NORTHERN TRUST COLLECTIVE SHORT TERM GOVERNMENT BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-034
a Plan name	NORTHERN TRUST COLLECTIVE TREASURY INFLATION-PROTECTED SECURITIES (TIPS) INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-233
a Plan name	NORTHERN TRUST COLLECTIVE TREASURY INFLATION-PROTECTED SECURITIES (TIPS) INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-091
a Plan name	NORTHERN TRUST COLLECTIVE U.S. IMI - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-152
a Plan name	NORTHERN TRUST COLLECTIVE U.S. SMALL CAP INDEX FUND - NON- LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-145
a Plan name	NORTHERN TRUST COLLECTIVE UNITED STATES INDEX FUND - NON- LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-144
a Plan name	NORTHERN TRUST COLLECTIVE UNITED STATES INVESTABLE MARKET INDEX FUND - DC - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-227
a Plan name	NORTHERN TRUST COLLECTIVE US CREDIT BOND INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-232
a Plan name	NORTHERN TRUST COLLECTIVE US MARKET CAP INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-053
a Plan name	NORTHERN TRUST COLLECTIVE US REAL ESTATE INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-247
a Plan name	NORTHERN TRUST COLLECTIVE WORLD EX-US INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-236

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	NORTHERN TRUST COLLECTIVE WORLD EX-US INDEX FUND - NON- LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-129
a Plan name	NORTHERN TRUST COLLECTIVE WORLD EX-US INVESTABLE MARKET INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-126
a Plan name	NORTHERN TRUST COLLECTIVE WORLD EX-US INVESTABLE MARKET INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-127
a Plan name	NORTHERN TRUST COLLECTIVE WORLD INVESTABLE MARKET INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-246
a Plan name	NORTHERN TRUST LABOR SELECT COLLECTIVE RUSSELL 3000 INDEX FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-048
a Plan name	NORTHERNTRUSTCOLLECTIVEEAFEINDEXFUND-DC-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-257
a Plan name	NORTHSHORE UNIVERSITY HEALTHSYSTEM PENSION PLAN		
b Name of plan sponsor	NORTHSHORE UNIVERSITY HEALTHSYSTEM	**c** EIN-PN	36-2167060-001
a Plan name	NORTHWEST COMMUNITY HOSPITAL EMPLOYEES RETIREMENT		
b Name of plan sponsor	NORTHWEST COMMUNITY HOSPITAL	**c** EIN-PN	36-2340313-001
a Plan name	NORTHWESTERN ENERGY MASTER RETIREMENT TRUST		
b Name of plan sponsor	NORTHWESTERN CORPORATION	**c** EIN-PN	46-0172280-104
a Plan name	NOVARTIS CORPORATION DC MASTER TRUST		
b Name of plan sponsor	NOVARTIS CORPORATION	**c** EIN-PN	13-1834433-400
a Plan name	NRG ENERGY MASTER RETIREMENT TRUST		
b Name of plan sponsor	NRG ENERGY, INC.	**c** EIN-PN	41-1724239-001
a Plan name	NT MULTI-MANAGER COLLECTIVE NON-U.S. EQUITY FUND - LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-301

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	NT MULTI-MANAGER COLLECTIVE U.S. ALL CAP EQUITY FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-300
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC ARISTOTLE LARGE CAP VALUE NL FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-247
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC EMERGING MARKETS FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-012
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC GRANITE SMALL CAP CORE FUND NL FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-271
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC HIGH YIELD BOND FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-007
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC INTERNATIONAL EQUITY FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-014
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC INTERNATIONAL EQUITY NL FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-192
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC JACOBS LEVY CORE EQUITY NL FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-279
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC LONG CORPORATE NL FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-274
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC POLEN LARGE CAP GROWTH NL FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-262
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC SMALL CAP FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-008
a Plan name	NTCC COLLECTIVE FUNDS FOR EMPLOYEE BENEFIT TRUSTS NTCC HOTCHKIS & WILEY VALUE OPPORTUNITIES NL FUND FEBT		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	82-6192524-280

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	NTESS RETIREMENT INCOME PLAN		
b Name of plan sponsor	NATIONAL TECHNOLOGY & ENGINEERING SOLUTIONS OF SANDIA, LLC	**c** EIN-PN	85-0097942-006
a Plan name	OFS REPRESENTED RETIREMENT PLAN		
b Name of plan sponsor	OFS FITEL, LLC	**c** EIN-PN	62-1864622-004
a Plan name	OKONITE COMPANY PENSION PLAN TRUST		
b Name of plan sponsor	THE OKONITE COMPANY INC	**c** EIN-PN	22-2279445-006
a Plan name	OLDCASTLE, INC. DEFINED BENEFIT PENSION PLAN		
b Name of plan sponsor	OLDCASTLE, INC.	**c** EIN-PN	95-3298140-001
a Plan name	ONCOR RETIREMENT PLAN		
b Name of plan sponsor	ONCOR ELECTRIC DELIVERY COMPANY LLC	**c** EIN-PN	75-2967830-001
a Plan name	ONCOR THRIFT PLAN		
b Name of plan sponsor	ONCOR ELECTRIC DELIVERY COMPANY LLC	**c** EIN-PN	75-2967830-002
a Plan name	OPERATING ENGINEERS LOCAL NO 37 PENSION FUND		
b Name of plan sponsor	BRD OF TRUSTEES OE 37 PENSION FUND	**c** EIN-PN	52-6128064-001
a Plan name	OPERATING ENGINEERS LOCAL NO 37 SEVERANCE & ANNUITY FUND		
b Name of plan sponsor	BRD OF TRUSTEES OE 37 S&A FUND	**c** EIN-PN	52-1215784-002
a Plan name	OPERATING ENGINEERS PENSION TRUST		
b Name of plan sponsor	BOARD OF TRUSTEES, OPERATING ENGINEERS PENSION TRUST	**c** EIN-PN	95-6032478-001
a Plan name	OPERATING ENGINEERS PENSION TRUST FUND OF WASHINGTON D.C. AND VICINITY		
b Name of plan sponsor	BOARD OF TRUSTEES, OPERATING ENGINEERS PNSN TRUST FUND OF WASHINGTON	**c** EIN-PN	52-6038506-001
a Plan name	OPT, LLC PENSION PLAN		
b Name of plan sponsor	OPT, LLC	**c** EIN-PN	83-0575443-001
a Plan name	OUTSTATE MICHIGAN TROWEL TRADES PENSION FUND		
b Name of plan sponsor	TRUSTEES OF OUTSTATE MICHIGAN TROWEL TRADES PENSION FUND	**c** EIN-PN	38-6222545-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	OWENS CORNING MERGED RETIREMENT PLAN		
b Name of plan sponsor	OWENS CORNING	**c** EIN-PN	43-2109021-001
a Plan name	PACE INTERNATIONAL UNION PENSION PLAN		
b Name of plan sponsor	USW INTERNATIONAL UNION	**c** EIN-PN	25-0818080-010
a Plan name	PAINTERS DISTRICT COUNCIL NO 2 PENSION TRUST AND PLAN AGREEMENT		
b Name of plan sponsor	THE BOARD OF TRUSTEES C/O BECKY SCHWAB, PLAN MANAGER	**c** EIN-PN	43-6057739-002
a Plan name	PAINTERS DISTRICT COUNCIL NO. 30 PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES PAINTERS DISTRICT COUN NO. 30 PENSION FUND	**c** EIN-PN	36-6491120-001
a Plan name	PAINTMAKERS MONEY ACCUMULATION PENSION PLAN B		
b Name of plan sponsor	PAINTMAKERS PENSION TRUST FUND BOARD OF TRUSTEES	**c** EIN-PN	93-6074503-002
a Plan name	PAN-AMERICAN LIFE INSURANCE COMPANY EMPLOYEES' RETIREMENT BENEFIT PLAN		
b Name of plan sponsor	PAN-AMERICAN LIFE INSURANCE GROUP, INC.	**c** EIN-PN	20-5816271-001
a Plan name	PARKER RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	PARKER HANNIFIN CORPORATION	**c** EIN-PN	34-0451060-075
a Plan name	PASADENA REFINING SYSTEM, INC. RETIREMENT PLAN		
b Name of plan sponsor	CHEVRON CORPORATION	**c** EIN-PN	06-1513158-003
a Plan name	PASSAIC VALLEY SEWERAGE COMMISSION / I.B.E.W. LOCAL 1158 ANNUITY PLAN AND TRUST		
b Name of plan sponsor	PASSAIC VALLEY SEWERAGE COMMISSION / I.B.E.W. LOCAL 1158	**c** EIN-PN	22-0886457-004
a Plan name	PATAGONIA WORKS 401(K) PLAN		
b Name of plan sponsor	PATAGONIA WORKS	**c** EIN-PN	95-2785810-003
a Plan name	PAUL HASTINGS LLP DEFINED BENEFIT RETIREMENT PLAN FOR PARTNERS		
b Name of plan sponsor	PAUL HASTINGS LLP	**c** EIN-PN	95-2209675-024
a Plan name	PAUL WEISS RIFKIND WHARTON & GARRISON LLP MEMBERS' PENSION & RETIREMENT PLAN		
b Name of plan sponsor	PAUL WEISS RIFKIND WHARTON & GARRISON LLP	**c** EIN-PN	13-1662105-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	PAXTON MEDIA GROUP, LLC RETIREMENT PLAN		
b Name of plan sponsor	PAXTON MEDIA GROUP, LLC	**c** EIN-PN	61-0301090-001
a Plan name	PCA DEFINED CONTRIBUTION MASTER TRUST		
b Name of plan sponsor	PACKAGING CORPORATION OF AMERICA	**c** EIN-PN	22-3696884-001
a Plan name	PCA MASTER RETIREMENT TRUST		
b Name of plan sponsor	PACKAGING CORPORATION OF AMERICA	**c** EIN-PN	14-1896937-002
a Plan name	PENSION FUND FOR NURSING AND HEALTH CARE EMPLOYEES		
b Name of plan sponsor	PENSION FUND FOR NURSING AND HEALTH CARE EMPLOYEES	**c** EIN-PN	23-2774706-001
a Plan name	PENSION FUND OF CEMENT MASONS UNION LOCAL NO. 502		
b Name of plan sponsor	BOARD OF TRUSTEES CEMENT MASONS' PENSION FUND	**c** EIN-PN	51-6034597-001
a Plan name	PENSION FUND OF MAKE-UP ARTISTS & HAIR STYLISTS UNION - LOCAL 798 - IATSE		
b Name of plan sponsor	BOARD OF TRUSTEES OF PENSION FUND OF MAKEUP ARTISTS & HAIR STYLISTS	**c** EIN-PN	13-6116950-001
a Plan name	PENSION PLAN FOR EMPLOYEES AT ORNL		
b Name of plan sponsor	UT-BATTELLE, LLC	**c** EIN-PN	62-1788235-001
a Plan name	PENSION PLAN FOR EMPLOYEES OF AMERICAN WATER WORKS COMPANY, INC. & ITS DESIGNATED SUBSIDIARIES		
b Name of plan sponsor	AMERICAN WATER WORKS COMPANY, INC.	**c** EIN-PN	51-0063696-001
a Plan name	PENSION PLAN OF GENERAL ATOMICS		
b Name of plan sponsor	GENERAL ATOMICS	**c** EIN-PN	95-3735102-001
a Plan name	PENSION PLAN OF L'OREAL USA, INC. MASTER RETIREMENT TRUST		
b Name of plan sponsor	L'OREAL USA, INC.	**c** EIN-PN	13-3647058-001
a Plan name	PENSION PLAN OF VOLVO CARS OF NORTH AMERICA, LLC		
b Name of plan sponsor	VOLVO CAR USA LLC	**c** EIN-PN	47-4903750-001
a Plan name	PENSION PROGRAM OF THE GMP COUNCIL OF THE UNITED STEELWORKERS UNION		
b Name of plan sponsor	USW INTERNATIONAL UNION	**c** EIN-PN	25-0818080-011

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	PENSION TRUST FOR THE NON UNION EMPLOYEES OF PEERLESS IMPORTERS INC AND THE AFILIATES OF PEERLESS IMPORTERS INC		
b Name of plan sponsor	PEN TR NU EMPLOYEES PEERLESS IMPORTERS INC AND AFFILIATES	**c** EIN-PN	01-0826074-001
a Plan name	PENSKE TRUCK LEASING CO., LP HOURLY PENSION PLAN		
b Name of plan sponsor	PENSKE TRUCK LEASING CO., LP	**c** EIN-PN	23-2518618-008
a Plan name	PERNOD RICARD USA, LLC EMPLOYEES' RETIREMENT PLAN		
b Name of plan sponsor	PERNOD RICARD USA, LLC	**c** EIN-PN	52-2318616-005
a Plan name	PERRIGO COMPANY PROFIT-SHARING AND INVESTMENT PLAN		
b Name of plan sponsor	PERRIGO COMPANY	**c** EIN-PN	38-2799573-003
a Plan name	PHILLIPS 66 SAVINGS PLAN		
b Name of plan sponsor	PHILLIPS 66 COMPANY	**c** EIN-PN	37-1652702-002
a Plan name	PILGRIMS PRIDE PENSION PLAN FOR LEGACY GOLD KIST EMPLOYEES		
b Name of plan sponsor	PILGRIMS PRIDE CORPORATION	**c** EIN-PN	75-1285071-004
a Plan name	PINNACLE FOODS GROUP LLC PENSION PLAN		
b Name of plan sponsor	PINNACLE FOODS INC.	**c** EIN-PN	94-3303521-005
a Plan name	PINNACLE WEST CAPITAL CORPORATION SAVINGS PLAN		
b Name of plan sponsor	PINNACLE WEST CAPITAL CORPORATION	**c** EIN-PN	86-0512431-002
a Plan name	PIONEER NATURAL RESOURCES USA, INC. 401(K) AND MATCHING PLAN		
b Name of plan sponsor	PIONEER NATURAL RESOURCES USA, INC	**c** EIN-PN	75-2516853-001
a Plan name	PIPE FITTERS RETIREMENT FUND, LOCAL 597		
b Name of plan sponsor	TRUSTEES OF PIPE FITTERS RETIREMENT FUND LOCAL 597	**c** EIN-PN	62-6105084-001
a Plan name	PLUMBERS & PIPEFITTERS LOCAL UNION NO. 74 PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF PLUMBERS & PIPEFITTERS L U NO 74 PENSION FUND	**c** EIN-PN	51-6015925-001
a Plan name	PLUMBERS & PIPEFITTERS LU 421 PENSION FUND TRUST		
b Name of plan sponsor	TRUSTEES OF PLUMBERS & PIPEFITTERS LU 421 PENSION FUND TRUST	**c** EIN-PN	57-0524232-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a	Plan name	PLUMBERS & STEAMFITTERS LOCAL 137 PENSION FUND		
b	Name of plan sponsor	PLUMBERS & STEAMFITTERS LOCAL 137 PENSION FUND BOARD OF TRUSTEES	**c** EIN-PN	36-6136791-001
a	Plan name	PLUMBERS AND PIPEFITTERS NATIONAL PENSION FUND		
b	Name of plan sponsor	B/O/T PLUMBERS & PIPEFITTERS NATIONAL PENSION FUND	**c** EIN-PN	52-6152779-001
a	Plan name	PLUMBERS AND STEAMFITTERS LOCAL 486 PENSION FUND		
b	Name of plan sponsor	PLUMBERS AND STEAMFITTERS 486 PENSION FUND BOARD OF TRUSTEES	**c** EIN-PN	52-6124449-001
a	Plan name	PLUMBERS LOCAL 24 ANNUITY FUND		
b	Name of plan sponsor	PLUMBERS LOCAL 24 ANNUITY FUND	**c** EIN-PN	22-2372054-001
a	Plan name	PLUMBERS' PENSION FUND, LOCAL 130, U.A.		
b	Name of plan sponsor	TRUSTEES OF THE PLUMBERS PENSION FUND, LOCAL 130, U.A.	**c** EIN-PN	36-6489579-001
a	Plan name	PLUMBERS PIPE FITTERS & MECHANICAL EQUIPMENT SERVICES LOCAL UNION NO 392 PENSION PLAN		
b	Name of plan sponsor	PLUMBERS PIPE FITTERS & MECHANICAL EQUIPMENT SERVICES LOCAL UNION	**c** EIN-PN	31-0655223-001
a	Plan name	PNM RESOURCES, INC. RETIREMENT SAVINGS PLAN		
b	Name of plan sponsor	PNM RESOURCES, INC.	**c** EIN-PN	85-0468296-005
a	Plan name	PORTLAND GENERAL ELECTRIC COMPANY PENSION PLAN		
b	Name of plan sponsor	PORTLAND GENERAL ELECTRIC COMPANY	**c** EIN-PN	93-0256820-001
a	Plan name	POST HOLDINGS, INC. SAVINGS INVESTMENT PLAN		
b	Name of plan sponsor	POST HOLDINGS, INC.	**c** EIN-PN	45-3355106-001
a	Plan name	PPL DEFINED CONTRIBUTION MASTER TRUST		
b	Name of plan sponsor	PPL SERVICES CORPORATION	**c** EIN-PN	23-3041441-008
a	Plan name	PPL EMPLOYEE STOCK OWNERSHIP PLAN		
b	Name of plan sponsor	PPL SERVICES CORPORATION	**c** EIN-PN	23-3041441-002
a	Plan name	PREMERA PENSION EQUITY PLAN		
b	Name of plan sponsor	PREMERA BLUE CROSS	**c** EIN-PN	91-0499247-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a Plan name	PRESBYTERIAN HEALTHCARE SERVICES EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	PRESBYTERIAN HEALTHCARE SERVICES	**c** EIN-PN	85-0105601-001
a Plan name	PRICEWATERHOUSECOOPERS LLP RETIREMENT ACCUMULATION PLAN FOR PARTNERS		
b Name of plan sponsor	PRICEWATERHOUSECOOPERS LLP	**c** EIN-PN	13-4008324-005
a Plan name	PROCTER & GAMBLE PROFIT SHARING TRUST & EMPLOYEE STOCK OWNERSHIP PLAN		
b Name of plan sponsor	THE PROCTER & GAMBLE COMPANY	**c** EIN-PN	31-0411980-002
a Plan name	PROTECTIVE LIFE CORPORATE PENSION PLAN		
b Name of plan sponsor	PROTECTIVE LIFE CORPORATION	**c** EIN-PN	95-2492236-001
a Plan name	PROVIDER CARE GROUP 401K PLAN GROUP INVESTMENT TRUST		
b Name of plan sponsor	HCA PHYSICIAN SERVICES, INC.	**c** EIN-PN	81-6185541-001
a Plan name	PULCRA CHEMICALS RETIREMENT PLAN		
b Name of plan sponsor	PULCRA CHEMICALS LLC	**c** EIN-PN	20-8366056-002
a Plan name	PVH CORP PENSION MASTER TRUST		
b Name of plan sponsor	PVH CORP.	**c** EIN-PN	26-0075089-022
a Plan name	PWC STRATEGY& (US) LLC EMPLOYEES' CAPITAL ACCUMULATION PLAN		
b Name of plan sponsor	PWC STRATEGYY& (US) LLC	**c** EIN-PN	26-2494682-001
a Plan name	QUAD/GRAPHICS MASTER RETIREMENT TRUST		
b Name of plan sponsor	QUAD/GRAPHICS PRINTING LLC	**c** EIN-PN	52-2009152-051
a Plan name	QUAD/GRAPHICS MASTER TRUST		
b Name of plan sponsor	QUAD/GRAPHICS, INC.	**c** EIN-PN	39-1152983-101
a Plan name	QUAKER HOUGHTON RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	QUAKER CHEMICAL CORPORATION	**c** EIN-PN	23-0993790-112
a Plan name	RADIAN GROUP INC. SAVINGS INCENTIVE PLAN		
b Name of plan sponsor	RADIAN GROUP INC.	**c** EIN-PN	23-2691170-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
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a	Plan name	RADIO,TV & RECORDING ARTS ANNUITY FUND		
b	Name of plan sponsor	BOARD OF TRUSTEES OF THE RADIO,TV & RECORDING ARTS ANNUITY FUND	**c** EIN-PN	13-3017094-002
a	Plan name	RAYTHEON SAVINGS AND INVESTMENT PLAN		
b	Name of plan sponsor	RAYTHEON COMPANY	**c** EIN-PN	95-1778500-026
a	Plan name	RELX INC. US RETIREMENT PLAN		
b	Name of plan sponsor	RELX INC. US RETIREMENT PLAN	**c** EIN-PN	52-1471842-004
a	Plan name	REMINGTON ARMS COMPANY, LLC PENSION AND RETIREMENT PLAN		
b	Name of plan sponsor	REMINGTON ARMS COMPANY, LLC	**c** EIN-PN	51-0350935-001
a	Plan name	REPUBLIC SERVICES, INC. 401(K) PLAN		
b	Name of plan sponsor	REPUBLIC SERVICES, INC.	**c** EIN-PN	65-0716904-003
a	Plan name	RETIREMENT BENEFIT ACCUMULATION PLAN FOR EMPLOYEES OF PWC LLP		
b	Name of plan sponsor	PRICEWATERHOUSECOOPERS LLP	**c** EIN-PN	13-4008324-002
a	Plan name	RETIREMENT BENEFIT PLAN OF GCIU DETROIT NEWSPAPER UNION 13N WITH DETROIT AREA NEWSPAPER PUBLISHERS		
b	Name of plan sponsor	RETIREMENT BENEFIT PLAN OF GCIU DETROIT NEWSPAPER UNION 13N W	**c** EIN-PN	38-2131072-001
a	Plan name	RETIREMENT INCOME PLAN FOR EMPLOYEES OF GRANGE MUTUAL CASUALTY COMPANY		
b	Name of plan sponsor	GRANGE MUTUAL CASUALTY COMPANY	**c** EIN-PN	31-4192970-001
a	Plan name	RETIREMENT INCOME PLAN FOR EMPLOYEES OF THE CHAMBER OF COMMERCE OF THE UNITED STATES OF AMERICA		
b	Name of plan sponsor	CHAMBER OF COMMERCE OF THE UNITED STATES OF AMERICA	**c** EIN-PN	53-0045720-001
a	Plan name	RETIREMENT PLAN FOR EMPLOYEES OF CITY CENTER DEVELOPMENT CO.		
b	Name of plan sponsor	CITY CENTER DEVELOPMENT CO.	**c** EIN-PN	13-4227375-001
a	Plan name	RETIREMENT PLAN FOR EMPLOYEES OF HALDOR TOPSOE, INC.		
b	Name of plan sponsor	HALDOR TOPSOE, INC.	**c** EIN-PN	13-1943844-001
a	Plan name	RETIREMENT PLAN FOR EMPLOYEES OF LUFKIN INDUSTRIES , LLC		
b	Name of plan sponsor	LUFKIN GEARS LLC	**c** EIN-PN	75-0404410-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	RETIREMENT PLAN FOR EMPLOYEES OF PRICEWATERHOUSECOOPERS LLP		
b Name of plan sponsor	PRICEWATERHOUSECOOPERS LLP	**c** EIN-PN	13-4008324-001
a Plan name	RETIREMENT PLAN FOR EMPLOYEES OF REHABILITATION INSTITUTE OF CHICAGO		
b Name of plan sponsor	REHABILITATION INSTITUTE OF CHICAGO	**c** EIN-PN	36-2256036-001
a Plan name	RETIREMENT PLAN FOR EMPLOYEES OF RICHARDSON AVIATION		
b Name of plan sponsor	RICHARDSON AVIATION	**c** EIN-PN	75-2125310-001
a Plan name	RETIREMENT PLAN FOR EMPLOYEES OF S.C. JOHNSON & SO		
b Name of plan sponsor	S.C. JOHNSON & SON, INC.	**c** EIN-PN	39-0379990-002
a Plan name	RETIREMENT PLAN FOR EMPLOYEES OF SAN JOSE CATTLE COMPANY		
b Name of plan sponsor	SAN JOSE CATTLE COMPANY	**c** EIN-PN	75-1018369-001
a Plan name	RETIREMENT PLAN FOR EMPLOYEES OF SID W. RICHARDSON FOUNDATION		
b Name of plan sponsor	SID W. RICHARDSON FOUNDATION	**c** EIN-PN	75-6015828-001
a Plan name	RETIREMENT PLAN FOR EMPLOYEES OF SUNDANCE SQUARE		
b Name of plan sponsor	SUNDANCE SQUARE	**c** EIN-PN	75-2033292-001
a Plan name	RETIREMENT PLAN FOR EMPLOYEES OF THE KNIGHT FOUNDATION		
b Name of plan sponsor	JOHN S. AND JAMES L. KNIGHT FOUNDATION	**c** EIN-PN	65-0464177-001
a Plan name	RETIREMENT PLAN FOR EMPLOYEES OF THE UNIV OF MIAMI		
b Name of plan sponsor	UNIVERSITY OF MIAMI	**c** EIN-PN	59-0624458-001
a Plan name	RETIREMENT PLAN FOR SALARIED EMPLOYEES OF COTTER CORPORATION		
b Name of plan sponsor	COTTER CORPORATION	**c** EIN-PN	85-0125530-001
a Plan name	RETIREMENT PLAN FOR THE EMPLOYEES OF THE SAN FRANCISCO SYMPHONY CORPORATION		
b Name of plan sponsor	SAN FRANCISCO SYMPHONY CORPORATION	**c** EIN-PN	94-1156284-001
a Plan name	RETIREMENT PLAN OF CERTAIN EMPLOYEES OF CEVA LOGISTICS US INC		
b Name of plan sponsor	CEVA LOGISTICS US, INC	**c** EIN-PN	23-2142673-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	RETIREMENT PLAN OF THE WINE, LIQUOR & DISTILLERY WORKERS UNION LOCAL 1D PENSION FUND		
b Name of plan sponsor	BD OF TRUSTEES RETIREMENT PLAN OF WLDW UNION LOCAL 1D	**c** EIN-PN	51-0164380-001
a Plan name	RETIREMENT PROGRAM PLAN FOR EMPLOYEES OF CONSOLIDATED NUCLEAR SECURITY, LLC AT THE U.S. DEPARTMENT OF ENERGY AT OAK RIDGE, TENNESSEE		
b Name of plan sponsor	CONSOLIDATED NUCLEAR SECURITY, LLC	**c** EIN-PN	45-4482782-001
a Plan name	REVISED PROFIT SHARING PLAN FOR EMP. OF GEICO		
b Name of plan sponsor	GEICO CORPORATION	**c** EIN-PN	52-1135801-001
a Plan name	RICHARD GREENFIELD, M.D. A MEDICAL CORPORATION PENSION PLAN		
b Name of plan sponsor	RICHARD GREENFIELD, M.D.	**c** EIN-PN	95-3063416-003
a Plan name	RICOH DEFINED BENEFIT PLANS MASTER RETIREMENT TRUST		
b Name of plan sponsor	RICOH USA, INC.	**c** EIN-PN	23-0334400-015
a Plan name	RIDGEWOOD SAVINGS BANK PROFIT SHARING AND 401(K) PLAN		
b Name of plan sponsor	RIDGEWOOD SAVINGS BANK	**c** EIN-PN	11-1241830-002
a Plan name	RIO TINTO AMERICA INC. RETIREMENT PLAN		
b Name of plan sponsor	RIO TINTO AMERICA INC.	**c** EIN-PN	11-3359689-001
a Plan name	RITE AID MASTER RETIREMENT SAVINGS TRUST		
b Name of plan sponsor	RITE AID CORPORATION	**c** EIN-PN	23-1614034-001
a Plan name	ROBERT BOSCH DEFINED CONTRIBUTION RETIREMENT PLAN TRUST		
b Name of plan sponsor	ROBERT BOSCH LLC	**c** EIN-PN	30-0988910-101
a Plan name	ROBERT BOSCH LLC MASTER TRUST RETIREMENT TRUST		
b Name of plan sponsor	ROBERT BOSCH LLC	**c** EIN-PN	36-2903176-100
a Plan name	ROCKWELL AUTOMATION PENSION PLAN		
b Name of plan sponsor	ROCKWELL AUTOMATION, INC.	**c** EIN-PN	25-1797617-002
a Plan name	ROCKY MOUNTAIN UFCW UNIONS AND EMPLOYERS RETAIL AND MEAT PENSION PLAN		
b Name of plan sponsor	TRUSTEES OF THE ROCKY MOUNTAIN UFCW PENSION PLAN	**c** EIN-PN	84-6045986-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	ROPER TECHNOLOGIES, INC. EMPLOYEES' RETIREMENT SAVINGS 003 PLAN		
b Name of plan sponsor	ROPER TECHNOLOGIES, INC.	**c** EIN-PN	51-0263969-003
a Plan name	ROYAL PAPER BOX CO. OF CALIFORNIA EMPLOYEES' PROFIT SHARING PLAN		
b Name of plan sponsor	ROYAL PAPER BOX CO. OF CALIFORNIA	**c** EIN-PN	95-1917773-001
a Plan name	RR DONNELLEY PENSION PLAN		
b Name of plan sponsor	R.R. DONNELLEY	**c** EIN-PN	36-1004130-022
a Plan name	RR DONNELLEY SAVINGS PLAN		
b Name of plan sponsor	R.R. DONNELLEY & SONS COMPANY	**c** EIN-PN	36-1004130-003
a Plan name	S&P GLOBAL INC. SAVINGS PLAN COLLECTIVE INVESTMENT TRUST		
b Name of plan sponsor	S&P GLOBAL, INC.	**c** EIN-PN	26-0821335-101
a Plan name	S.C. JOHNSON & SON, INC. EMPLOYEES DEFERRED PROFIT AND SAVINGS PLAN		
b Name of plan sponsor	S.C. JOHNSON & SON, INC.	**c** EIN-PN	39-0379990-001
a Plan name	SABIC INNOVATIVE PLASTICS MASTER RETIREMENT DEFINED BENEFIT PENSION TRUST		
b Name of plan sponsor	SABIC INNOVATIVE PLASTICS US LLC	**c** EIN-PN	26-6187012-008
a Plan name	SABIC U.S. EMPLOYEE RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	SABIC INNOVATIVE PLASTICS US LLC	**c** EIN-PN	33-1169273-005
a Plan name	SAFEWAY INC. MASTER RETIREMENT TRUST		
b Name of plan sponsor	SAFEWAY INC.	**c** EIN-PN	36-7394926-001
a Plan name	SALARIED EMPLOYEES' RETIREMENT PLAN OF VOLKSWAGEN GROUP OF AMERICA, INC.		
b Name of plan sponsor	VOLKSWAGEN GROUP OF AMERICA, INC.	**c** EIN-PN	22-1585834-001
a Plan name	SAN ANTONIO REGIONAL HOSPITAL RETIREMENT PLAN		
b Name of plan sponsor	SAN ANTONIO REGIONAL HOSPITAL	**c** EIN-PN	95-1183919-001
a Plan name	SAN DIEGO ELECTRICAL PENSION PLAN		
b Name of plan sponsor	SAN DIEGO ELECTRICAL PENSION TRUST	**c** EIN-PN	95-6101801-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	SAN FRANCISCO SYMPHONY RETIREMENT PLAN		
b Name of plan sponsor	SAN FRANCISCO SYMPHONY CORPORATION	**c** EIN-PN	94-1156284-002
a Plan name	SAP AMERICA INC. 401(K) PLAN		
b Name of plan sponsor	SAP AMERICA, INC.	**c** EIN-PN	36-3556041-001
a Plan name	SAVINGS PLAN FOR EMPLOYEES AND PARTNERS OF PRICEWATERCOOPERS LLP		
b Name of plan sponsor	PRICEWATERHOUSECOOPERS LLP	**c** EIN-PN	13-4008324-004
a Plan name	SAVINGS PLAN FOR EMPLOYEES AND PARTNERS OF PRICEWATERHOUSECOOPERS LLP IN PUERTO RICO		
b Name of plan sponsor	PRICEWATERHOUSECOOPERS LLP IN PUERTO RICO	**c** EIN-PN	66-0558530-001
a Plan name	SAVINGS PLAN FOR EMPLOYEES AT ORNL		
b Name of plan sponsor	UT-BATTELLE, LLC	**c** EIN-PN	62-1788235-002
a Plan name	SCANA CORPORATION RETIREMENT PLAN		
b Name of plan sponsor	SCANA CORPORATION	**c** EIN-PN	57-0784499-001
a Plan name	SCHLUMBERGER MASTER PENSION TRUST		
b Name of plan sponsor	SCHLUMBERGER LIMITED	**c** EIN-PN	36-6913037-001
a Plan name	SCHLUMBERGER MASTER PROFIT SHARING TRUST		
b Name of plan sponsor	SCHLUMBERGER LIMITED	**c** EIN-PN	36-6913039-010
a Plan name	SCHNUCK MARKETS, INC. PROFIT SHARING PLAN		
b Name of plan sponsor	SCHNUCK MARKETS, INC	**c** EIN-PN	81-1093049-001
a Plan name	SCHNUCKS OUTSTATE PENSION PLAN		
b Name of plan sponsor	SCHNUCK MARKETS, INC.	**c** EIN-PN	43-0726776-003
a Plan name	SCIENCE APPLICATIONS INTERNATIONAL CORPORATION RETIREMENT PLAN		
b Name of plan sponsor	SCIENCE APPLICATIONS INTERNATIONAL CORPORATION	**c** EIN-PN	30-6419427-001
a Plan name	SDC-LEAGUE PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES SDC - LEAGUE PENSION FUND	**c** EIN-PN	13-6634482-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	SEALED AIR MASTER RETIREMENT TRUST		
b Name of plan sponsor	SEALED AIR CORPORATION	**c** EIN-PN	65-0654331-025
a Plan name	SFB LIFE INSURANCE CO RETIREMENT PLAN AND TRUST		
b Name of plan sponsor	SOUTHERN FARM BUREAU LIFE INSURANCE COMPANY	**c** EIN-PN	64-0283583-001
a Plan name	SG PENSION PLAN		
b Name of plan sponsor	SOCIETE GENERALE	**c** EIN-PN	52-1128875-003
a Plan name	SGL GROUP U.S. RETIREMENT PLAN		
b Name of plan sponsor	SGL CARBON, LLC	**c** EIN-PN	13-0796973-182
a Plan name	SHEEHAN FAMILY COMPANIES EMPLOYEE SAVINGS PLAN		
b Name of plan sponsor	L. KNIFE & SON, INC.	**c** EIN-PN	04-2902690-007
a Plan name	SHEET METAL WORKERS' LOCAL 73 PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF THE SHEET METAL WORKERS 73 PENSION FUND	**c** EIN-PN	51-6126221-001
a Plan name	SHEET METAL WORKERS' LOCAL NO. 33 CLEVELAND DISTRICT PENSION PLAN		
b Name of plan sponsor	TRUSTEES OF SHEET METAL WORKERS LOCAL 33 PENSION PLAN	**c** EIN-PN	34-6514741-001
a Plan name	SHEET METAL WORKERS PENSION FUND OF LOCAL UNION NO. 19		
b Name of plan sponsor	SHEET METAL WORKERS PENSION FUND OF LOCAL UNION	**c** EIN-PN	23-1494364-001
a Plan name	SHOPRITE SUPERMARKETS, INC. RETAIL CLERKS EMPLOYEES' RETIREMENT PLAN		
b Name of plan sponsor	SHOPRITE SUPERMARKETS, INC.	**c** EIN-PN	22-1705981-003
a Plan name	SHORELANDS WATER CO., INC. PENSION PLAN		
b Name of plan sponsor	SHORELANDS WATER CO., INC.	**c** EIN-PN	21-0592270-001
a Plan name	SHPP US EMPLOYMENT RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	SHPP US LLC	**c** EIN-PN	83-4241533-001
a Plan name	SHREVEPORT ELECTRICAL INDUSTRY PROFIT SHARING PLAN		
b Name of plan sponsor	SHREVEPORT ELECTRICAL INDUSTRY PROFIT SHARING PLAN	**c** EIN-PN	72-1114759-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	SHRINERS HOSPITALS FOR CHILDREN EMPLOYEES' RETIREMENT PLAN		
b Name of plan sponsor	SHRINERS HOSPITALS FOR CHILDREN	**c** EIN-PN	36-2193608-001
a Plan name	SIDLEY AUSTIN MASTER PENSION TRUST		
b Name of plan sponsor	SIDLEY AUSTIN LLP	**c** EIN-PN	04-3004856-013
a Plan name	SIEMENS ENERGY, INC. PENSION PLAN		
b Name of plan sponsor	SIEMENS ENERGY, INC.	**c** EIN-PN	13-3987280-022
a Plan name	SIEMENS ENERGY, INC. SAVINGS PLAN		
b Name of plan sponsor	SIEMENS ENERGY, INC.	**c** EIN-PN	13-3987280-021
a Plan name	SIMPSON MANUFACTURING CO., INC. 401(K) PROFIT SHARING PLAN		
b Name of plan sponsor	SIMPSON MANUFACTURING CO., INC	**c** EIN-PN	94-3196943-001
a Plan name	SOUTHERN CALIFORNIA GENERAL SALES EMPLOYERS AND UNITED FOOD AND COMMERCIAL WORKERS UNIONS PENSION FUND		
b Name of plan sponsor	SOUTHERN CALIFORNIA GENERAL SALES EMPLOYERS AND UNITED FOOD AND COMMER	**c** EIN-PN	51-6029991-001
a Plan name	SOUTHERN CALIFORNIA ROCK PRODUCTS AND READY MIXED CONCRETE INDUSTRIES OPERATING ENGINEER EMPLOYEES RETIREMENT PLAN		
b Name of plan sponsor	PENSION & INSURANCE COMMITTEE SOUTHERN CALIFORNIA ROCK PRODUCTS AND RE	**c** EIN-PN	51-0190581-002
a Plan name	SOUTHERN CALIFORNIA UNITED FOOD AND COMMERCIAL WORKERS UNIONS AND DRUG EMPLOYERS PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES, SOUTHERN CALIFORNIA UNITED FOOD	**c** EIN-PN	51-6029925-001
a Plan name	SOUTHERN IRONWORKERS PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES SOUTHERN IRONWORKERS PENSION FUND	**c** EIN-PN	59-6227091-001
a Plan name	SOUTHWEST CONCRETE CONSTRUCTION, INC. EMPLOYEE 401(K) PLAN		
b Name of plan sponsor	SOUTHWEST CONCRETE CONSTRUCTION	**c** EIN-PN	85-0385415-001
a Plan name	SPECTRUM HEALTH PENSION PLAN		
b Name of plan sponsor	SPECTRUM HEALTH SYSTEM	**c** EIN-PN	38-3382353-001
a Plan name	SPIN-OFF GROUP SALARIED EMPLOYEES RETIREMENT PLAN OF VOLKSWAGEN GROUP OF AMERICA, INC.		
b Name of plan sponsor	VOLKSWAGEN GROUP OF AMERICA, INC.	**c** EIN-PN	22-1585834-008

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	SPRINT RETIREMENT PENSION PLAN		
b Name of plan sponsor	SPRINT COMMUNICATIONS INC.	**c** EIN-PN	48-0457967-001
a Plan name	SPX US PENSION PLAN		
b Name of plan sponsor	SPX CORPORATION	**c** EIN-PN	38-1016240-003
a Plan name	STAMFORD HEALTH SYSTEM RETIREMENT INCOME PLAN		
b Name of plan sponsor	STAMFORD HEALTH, INC.	**c** EIN-PN	22-2476636-004
a Plan name	STAR MANUFACTURING SALARIED AND NON-UNION HOURLY EMPLOYEES DEFINED BENEFIT PENSION PLAN		
b Name of plan sponsor	STAR MANUFACTURING INTERNATIONAL, INC.	**c** EIN-PN	43-1508488-002
a Plan name	STEAMFITTERS LOCAL UNION NO. 420 PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES OF STEAMFITTERS LU 420 PENSION PLAN	**c** EIN-PN	23-2004424-001
a Plan name	STEELWORKERS PENSION TRUST		
b Name of plan sponsor	STEELWORKERS PENSION TRUST	**c** EIN-PN	23-6648508-499
a Plan name	STERIS CORPORATION 401(K) PLAN		
b Name of plan sponsor	STERIS CORPORATION	**c** EIN-PN	34-1482024-001
a Plan name	STP NUCLEAR OPERATING COMPANY RETIREMENT PLAN		
b Name of plan sponsor	STP NUCLEAR OPERATING COMPANY	**c** EIN-PN	76-0517597-001
a Plan name	STRYKER CORPORATION MASTER TRUST		
b Name of plan sponsor	STRYKER CORPORATION	**c** EIN-PN	38-1239739-007
a Plan name	SUD-CHEMIE INC. UNION EMPLOYEES' RETIREMENT PLAN AND TRUST AGREEMENT		
b Name of plan sponsor	CLARIANT CORPORATION	**c** EIN-PN	56-1356629-008
a Plan name	SUMITOMO RUBBER USA, LLC MASTER RETIREMENT TRUST		
b Name of plan sponsor	SUMITOMO RUBBER USA, LLC	**c** EIN-PN	34-1899137-020
a Plan name	SUMMA HEALTH RETIREMENT INCOME PLAN AND TRUST		
b Name of plan sponsor	SUMMA HEALTH SYSTEM	**c** EIN-PN	34-1887844-010

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	SUN CHEMICAL CORPORATION MASTER RETIREMENT SAVINGS TRUST		
b Name of plan sponsor	SUN CHEMICAL CORPORATION	**c** EIN-PN	22-2761297-008
a Plan name	SUN HEALTH CORPORATION PENSION PLAN		
b Name of plan sponsor	BANNER HEALTH	**c** EIN-PN	45-0233470-001
a Plan name	SUTTER HEALTH RETIREMENT PLAN		
b Name of plan sponsor	SUTTER HEALTH	**c** EIN-PN	94-2788907-333
a Plan name	SWISHER INTERNATIONAL INC MASTER RETIREMENT TRUST		
b Name of plan sponsor	SWISHER INETRNATIONAL INC	**c** EIN-PN	36-7045785-001
a Plan name	SYSCO CORPORATION RETIREMENT PLAN		
b Name of plan sponsor	SYSCO CORPORATION	**c** EIN-PN	74-1648137-004
a Plan name	TAKEDA PHARMACEUTICALS NORTH AMERICA, INC. SAVINGS AND RETIREMENT PLAN		
b Name of plan sponsor	TAKEDA PHARMACEUTICALS NORTH AMERICA, INC.	**c** EIN-PN	13-4013710-001
a Plan name	TALEN ENERGY SAVINGS PLANS MASTER TRUST		
b Name of plan sponsor	TALEN ENERGY SUPPLY, LLC	**c** EIN-PN	23-3073920-003
a Plan name	TE CONNECTIVITY RETIREMENT SAVINGS AND INVESTMENT PLAN		
b Name of plan sponsor	TE CONNECTIVITY CORPORATION	**c** EIN-PN	23-0332575-008
a Plan name	TEAMSTERS JOINT COUNCIL NO. 73 PENSION & TRUST FUND		
b Name of plan sponsor	TRUSTEES OF TEAMSTERS JOINT COUNCIL NO. 73 PENSION & TRUST FUND	**c** EIN-PN	22-6171374-001
a Plan name	TEAMSTERS LOCAL 639-EMPLOYERS PENSION TRUST FUND		
b Name of plan sponsor	JOINT BOARD OF TRUSTEES OF TEAMSTERS LOCAL 639 - EMPLOYERS PNSN TRUST	**c** EIN-PN	53-0237142-001
a Plan name	TEAMSTERS LOCAL 814 ANNUITY FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF TEAMSTERS LOCAL 814 ANNUITY FUND	**c** EIN-PN	11-6234357-002
a Plan name	TECUMSEH PRODUCTS COMPANY CONSOILDATED PENSION PLAN		
b Name of plan sponsor	TECUMSEH PRODUCTS COMPANY	**c** EIN-PN	38-1093240-080

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	TERADATA SAVINGS PLAN		
b Name of plan sponsor	TERADATA CORPORATION	**c** EIN-PN	75-3236470-001
a Plan name	TEXAS HEART INSTITUTE RETIREMENT PLAN		
b Name of plan sponsor	TEXAS HEART INSTITUTE	**c** EIN-PN	74-6053200-001
a Plan name	TEXAS HOSPITAL ASSOCIATION RETIREMENT TRUST FOR MEMBER HOSPITALS		
b Name of plan sponsor	SUCCESSOR TRUSTEES OF THE TX HOSP. ASS. RET. PLAN FOR MEM. HOSP.	**c** EIN-PN	74-2672021-001
a Plan name	TEXAS INSTRUMENTS INCORPORATED DEFINED CONTRIBUTION PLAN MASTER TRUST		
b Name of plan sponsor	TEXAS INSTRUMENTS INCORPORATED	**c** EIN-PN	22-3208002-001
a Plan name	THE AEROSPACE EMPLOYEES' RETIREMENT PLAN (AERP)		
b Name of plan sponsor	THE AEROSPACE CORPORATION	**c** EIN-PN	95-2102389-001
a Plan name	THE ASSOCIATED PRESS CONSOLIDATED RETIREMENT PLAN		
b Name of plan sponsor	ASSOCIATED PRESS	**c** EIN-PN	13-0452880-003
a Plan name	THE B&W THRIFT PLAN		
b Name of plan sponsor	THE BABCOCK & WILCOX COMPANY	**c** EIN-PN	13-2933685-033
a Plan name	THE BAUSCH & LOMB RETIREMENT BENEFITS PLAN		
b Name of plan sponsor	BAUSCH + LOMB AMERICAS INC.	**c** EIN-PN	85-4359919-020
a Plan name	THE BOEING COMPANY EMPLOYEE RETIREMENT PLANS MASTER TRUST		
b Name of plan sponsor	THE BOEING CO. AND CONSOLIDATED SUBSIDIARIES	**c** EIN-PN	91-0425694-062
a Plan name	THE CARGILL PARTNERSHIP PLAN		
b Name of plan sponsor	CARGILL, INCORPORATED	**c** EIN-PN	41-0177680-015
a Plan name	THE CLARIANT PLASTICS & COATINGS USA INC. COMBINED RETIREMENT PLAN		
b Name of plan sponsor	CLARIANT CORPORATION	**c** EIN-PN	32-0471772-001
a Plan name	THE CLOROX COMPANY 401(K) PLAN		
b Name of plan sponsor	THE CLOROX COMPANY	**c** EIN-PN	31-0595760-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	THE CONSOLIDATED EDISON THRIFT SAVINGS PLAN		
b Name of plan sponsor	CONSOLIDATED EDISON COMPANY OF NEW YORK, INC.	**c** EIN-PN	13-5009340-004
a Plan name	THE DEPOSITORY TRUST & CLEARING CORPORATION MASTER SAVINGS PLAN TRUST		
b Name of plan sponsor	THE DEPOSITORY TRUST & CLEARING CORPORATION	**c** EIN-PN	13-4086405-010
a Plan name	THE DUKE ENDOWMENT EMPLOYEES' RETIREMENT PLAN		
b Name of plan sponsor	THE DUKE ENDOWMENT	**c** EIN-PN	56-0529965-001
a Plan name	THE ESAB GROUP, INC. CONSOLIDATED RETIREMENT PLAN		
b Name of plan sponsor	THE ESAB GROUP, INC.	**c** EIN-PN	84-0966648-036
a Plan name	THE ESTEE LAUDER COMPANIES 401(K) SAVINGS PLAN		
b Name of plan sponsor	ESTEE LAUDER, INC.	**c** EIN-PN	13-1871348-002
a Plan name	THE ESTEE LAUDER COMPANIES RETIREMENT GROWTH ACCOUNT PLAN		
b Name of plan sponsor	ESTEE LAUDER, INC.	**c** EIN-PN	13-1871348-001
a Plan name	THE GILLETTE COMPANY EMPLOYEE STOCK OWNERSHIP PLAN		
b Name of plan sponsor	THE GILLETTE COMPANY	**c** EIN-PN	04-1366970-007
a Plan name	THE GOODWIN AMMONIA COMPANY PROFIT SHARING PLAN		
b Name of plan sponsor	THE GOODWIN AMMONIA COMPANY, LLC	**c** EIN-PN	95-2387575-001
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST BOND FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-013
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST FEES & EXPENSE		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-012
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST GOODYEAR COMMON STOCK FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-007
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST INTERNATIONAL EQUITY FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-006

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST LARGE CAP GROWTH FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-005
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST S & P 500 INDEX FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-008
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST SELF DIRECTED ACCOUNT		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-011
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST SHORT TERM INVESTMENT FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-026
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST SMALL CAP VALUE FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-014
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST SPECTRUM RETIREMENT FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-027
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST STABLE VALUE FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-009
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST TARGET RETIREMENT 2045 FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-002
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMINGLED TRUST TARGET RETIREMENT 2055 FUND		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	13-3227454-022
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - AGGREGATE		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-019
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - ALTERNATIVES		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-006
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - FIXED INCOME		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-005

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - FIXED INCOME LONG DURATION		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-008
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - INTERMEDIATE CREDIT		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-015
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - INTERNATIONAL GOVERNMENT		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-018
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - LONG CREDIT		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-020
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - NISA FINDLAY		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-023
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - NISA HOURLY		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-021
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - NISA SALARY		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-022
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - NISA TEXARKANA		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-024
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - TRUST CASH		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-003
a Plan name	THE GOODYEAR TIRE & RUBBER COMPANY COMMON TRUST - U.S. CREDIT		
b Name of plan sponsor	THE GOODYEAR TIRE & RUBBER COMPANY	**c** EIN-PN	34-1458060-017
a Plan name	THE GUTHRIE CLINIC MASTER TRUST		
b Name of plan sponsor	THE GUTHRIE CLINIC	**c** EIN-PN	23-3055017-007
a Plan name	THE HELMERICH & PAYNE, INC. 401(K)/THRIFT PLAN		
b Name of plan sponsor	HELMERICH & PAYNE, INC.	**c** EIN-PN	73-0679879-004

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	THE HILLSHIRE BRANDS COMPANY MASTER INVESTMENT TRUST FOR DEFINED BENEFIT PLANS		
b Name of plan sponsor	THE HILLSHIRE BRANDS COMPANY	**c** EIN-PN	36-2089049-100
a Plan name	THE HOME DEPOT FUTUREBUILDER TRUST		
b Name of plan sponsor	THE HOME DEPOT, INC.	**c** EIN-PN	58-6245558-001
a Plan name	THE INTERPUBLIC RETIREMENT ACCOUNT PLAN		
b Name of plan sponsor	THE INTERPUBLIC GROUP OF COMPANIES, INC.	**c** EIN-PN	13-1024020-001
a Plan name	THE LILLY EMPLOYEE SAVINGS PLAN MASTER TRUST-NTC		
b Name of plan sponsor	ELI LILLY AND COMPANY	**c** EIN-PN	35-0470950-015
a Plan name	THE LILLY RETIREMENT PLAN MASTER TRUST		
b Name of plan sponsor	ELI LILLY AND COMPANY	**c** EIN-PN	13-6032849-030
a Plan name	THE MARITIME ASSOCIATION - I.L.A. PENSION FUND		
b Name of plan sponsor	MARITIME ASSOCIATION - I.L.A. PENSION FUND	**c** EIN-PN	74-1721447-001
a Plan name	THE MARRIOTT INTERNATIONAL, INC. PUERTO RICO RETIREMENT PLAN		
b Name of plan sponsor	LUXURY HOTELS INTERNATIONAL OF PUERTO RICO, INC.	**c** EIN-PN	74-3052234-001
a Plan name	THE NEBRASKA FURNITURE MART, INC. PROFIT SHARING PLAN		
b Name of plan sponsor	NEBRASKA FURNITURE MART, INC.	**c** EIN-PN	47-0428274-002
a Plan name	THE NEW YORK TIMES COMPANIES PENSION PLAN		
b Name of plan sponsor	THE NEW YORK TIMES COMPANY	**c** EIN-PN	13-1102020-010
a Plan name	THE NORTHERN TRUST COMPANY PENSION PLAN		
b Name of plan sponsor	THE NORTHERN TRUST COMPANY	**c** EIN-PN	36-1561860-001
a Plan name	THE NORTHERN TRUST COMPANY THRIFT-INCENTIVE PLAN		
b Name of plan sponsor	THE NORTHERN TRUST COMPANY	**c** EIN-PN	36-1561860-002
a Plan name	THE OHIOHEALTH CASH BALANCE RETIREMENT PLAN		
b Name of plan sponsor	OHIOHEALTH CORPORATION	**c** EIN-PN	31-4394942-333

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	THE OKONITE COMPANY HOURLY EMPLOYEES PENSION PLAN		
b Name of plan sponsor	THE OKONITE COMPANY INC	**c** EIN-PN	22-2279445-010
a Plan name	THE OLIN PENSION PLANS MASTER RETIREMENT TRUST		
b Name of plan sponsor	OLIN CORPORATION	**c** EIN-PN	06-1230425-151
a Plan name	THE ORCHESTRAL ASSOCIATION RETIREMENT PLAN FOR ADMINISTRATIVE EMPLOYEES		
b Name of plan sponsor	THE ORCHESTRAL ASSOCIATION	**c** EIN-PN	36-2167823-002
a Plan name	THE PERMANENTE MEDICAL GROUP, INC. MASTER TRUST		
b Name of plan sponsor	THE PERMANENTE MEDICAL GROUP, INC.	**c** EIN-PN	94-2728480-050
a Plan name	THE PITNEY BOWES RETIREMENT PLANS TRUST		
b Name of plan sponsor	PITNEY BOWES INC.	**c** EIN-PN	06-0495050-002
a Plan name	THE PROCTER & GAMBLE COMMERCIAL COMPANY EMPLOYEES' SAVINGS PLAN		
b Name of plan sponsor	PROCTER & GAMBLE COMMERCIAL LLC	**c** EIN-PN	66-0676831-002
a Plan name	THE PROCTER & GAMBLE SAVINGS PLAN		
b Name of plan sponsor	THE PROCTER & GAMBLE COMPANY	**c** EIN-PN	31-0411980-042
a Plan name	THE PROFIT SHARING RETIREMENT PLAN OF THE PROCTER & GAMBLE COMMERCIAL COMPANY		
b Name of plan sponsor	PROCTER & GAMBLE COMMERCIAL LLC	**c** EIN-PN	66-0676831-001
a Plan name	THE RETIREMENT AND SAVINGS PLAN FOR AMGEN MANUFACTURING, LIMITED		
b Name of plan sponsor	AMGEN MANUFACTURING LIMITED	**c** EIN-PN	98-0210484-001
a Plan name	THE RETIREMENT PLAN OF RADY CHILDRENS HOSPITAL AND HEALTH CENTER		
b Name of plan sponsor	RADY CHILDRENS HOSPITAL AND HEALTH CENTER	**c** EIN-PN	95-1691313-001
a Plan name	THE SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC MULTIPLE EMPLOYER PENSION PLAN		
b Name of plan sponsor	SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC	**c** EIN-PN	61-1565172-001
a Plan name	THE SAVINGS AND INVESTMENT PLAN		
b Name of plan sponsor	WPP GROUP USA, INC.	**c** EIN-PN	20-4031493-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	THE SAVINGS PLAN FOR GRAHAM HOLDINGS COMPANY		
b Name of plan sponsor	GRAHAM HOLDINGS COMPANY	**c** EIN-PN	53-0182885-020
a Plan name	THE SCOTTS COMPANY LLC ASSOCIATES' PENSION PLAN		
b Name of plan sponsor	THE SCOTTS COMPANY LLC	**c** EIN-PN	31-1414921-002
a Plan name	THE SOUTHERN COMPANY PENSION PLAN		
b Name of plan sponsor	SOUTHERN COMPANY SERVICES, INC.	**c** EIN-PN	63-0274273-001
a Plan name	THE STAR ENTERPRISE RETIREMENT PLAN		
b Name of plan sponsor	STAR ENTERPRISE C/O CHEVRON CORPORATION	**c** EIN-PN	76-0567102-001
a Plan name	THE TENET HEALTHCARE CORPORATION 401(K) RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	TENET HEALTHCARE	**c** EIN-PN	95-2557091-335
a Plan name	THE TIMKEN CO COLLECTIVE INVMT TR FOR RET TRUSTS		
b Name of plan sponsor	THE TIMKEN COMPANY	**c** EIN-PN	34-0577130-499
a Plan name	THE TRANE MERGED HOURLY PENSION PLAN		
b Name of plan sponsor	TRANE U.S. INC.	**c** EIN-PN	25-0900465-023
a Plan name	THYSSENKRUPP EQUITY MASTER TRUST UNIT		
b Name of plan sponsor	THYSSENKRUPP NORTH AMERICA, LLC	**c** EIN-PN	38-2535907-001
a Plan name	THYSSENKRUPP EQUITY MASTER TRUST UNIT		
b Name of plan sponsor	THYSSENKRUPP NORTH AMERICA, INC.	**c** EIN-PN	38-2535907-028
a Plan name	TI EMPLOYEES PENSION PLAN		
b Name of plan sponsor	TEXAS INSTRUMENTS INCORPORATED	**c** EIN-PN	75-0289970-006
a Plan name	TIFFANY AND COMPANY PENSION PLAN		
b Name of plan sponsor	TIFFANY AND COMPANY	**c** EIN-PN	13-1387680-001
a Plan name	TIMES PUBLISHING COMPANY DEFINED BENEFIT PENSION PLAN		
b Name of plan sponsor	GATEHOUSE MEDIA PENNSYLVANIA HOLDINGS, INC.	**c** EIN-PN	36-4197661-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	TIMKENSTEEL CORPORATION COLLECTIVE INVESTMENT TRUST FOR RETIREMENT PLANS		
b Name of plan sponsor	TIMKENSTEEL CORPORATION	**c** EIN-PN	37-6569439-001
a Plan name	TOPCO ASSOCIATES LLC PENSION PLAN		
b Name of plan sponsor	TOPCO ASSOCIATES, LLC	**c** EIN-PN	36-4469052-001
a Plan name	TOTALENERGIES FINANCE USA, INC. PENSION PLAN		
b Name of plan sponsor	TOTALENERGIES FINANCE USA, INC.	**c** EIN-PN	23-3060301-002
a Plan name	TOYOTA MOTOR SALES, U.S.A., INC. PENSION PLAN		
b Name of plan sponsor	TOYOTA MOTOR NORTH AMERICA, INC.	**c** EIN-PN	95-3141669-003
a Plan name	TRANE TECHNOLOGIES COLLECTIVE TRUST		
b Name of plan sponsor	JPMORGAN CHASE BANK	**c** EIN-PN	13-3673037-001
a Plan name	TREEHOUSE FOODS MASTER TRUST		
b Name of plan sponsor	TREEHOUSE FOODS, INC.	**c** EIN-PN	20-7026355-001
a Plan name	TRIBUNE COMPANY MASTER TRUST FOR PENSION PLANS		
b Name of plan sponsor	TRIBUNE MEDIA COMPANY	**c** EIN-PN	36-1880355-201
a Plan name	TRI-STATE CARPENTERS AND JOINERS PENSION TRUST FUND		
b Name of plan sponsor	BOARD OF TRUSTEES - TRI-STATE CARPENTERS AND JOINERS PENSION	**c** EIN-PN	62-0976048-001
a Plan name	TROPICANA PRODUCTS DEFINED BENEFIT PLAN		
b Name of plan sponsor	TROPICANA PRODUCTS, INC.	**c** EIN-PN	13-3346705-001
a Plan name	TWIN CITY IRONWORKERS PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES OF THE TWIN CITY IRONWORKERS PENSION FUND	**c** EIN-PN	41-6084127-001
a Plan name	TYCO ELECTRONICS DEFNED BENEFIT PLANS MASTER TRUST		
b Name of plan sponsor	TE CONNECTIVITY CORPORATION	**c** EIN-PN	26-2342851-001
a Plan name	U.S. SILICA RETIREMENT PLAN		
b Name of plan sponsor	U.S. SILICA COMPANY	**c** EIN-PN	23-0958670-028

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	UA LOCAL 190 PENSION PLAN		
b Name of plan sponsor	JOINT BOARD OF TRUSTEES OF THE UA LOCAL 190 PENSION PLAN	**c** EIN-PN	38-6065579-001
a Plan name	UA PLUMBERS LOCAL 24 PENSION FUND		
b Name of plan sponsor	PLUMBERS PENSION FUND LOCAL 24 BOARD OF TRUSTEES	**c** EIN-PN	22-6042823-001
a Plan name	UFCW AND SAFEWAY VARIABLE ANNUITY PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES - UFCW AND SAFEWAY VARIABLE ANNUITY PENSION FUND	**c** EIN-PN	86-2084988-001
a Plan name	UFCW LOCAL ONE PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES UFCW LOCAL ONE PENSION FUND	**c** EIN-PN	16-6144007-001
a Plan name	UFCW UNION & PARTICIPAING FOOD INDUSTRY EMPLOYERS TRI-STATE PENSION FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF UFCW TRI-STATE PENSION FUND	**c** EIN-PN	23-6396097-001
a Plan name	UFCW UNIONS AND PARTICIPATING EMPLOYERS PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES THE UFCW UNIONS AND PARTICIPATING EMPLOYERS PENSION	**c** EIN-PN	52-6117495-002
a Plan name	UFCW-GIANT VARIABLE ANNUITY FUND		
b Name of plan sponsor	BOARD OF TRUSTEES UFCW-GIANT VARIABLE ANNUITY FUND	**c** EIN-PN	86-2048100-001
a Plan name	UNILEVER UNITED STATES, INC MASTER SAVINGS TRUST		
b Name of plan sponsor	UNILEVER UNITED STATES, INC.	**c** EIN-PN	04-3458687-014
a Plan name	UNION CARBIDE EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	UNION CARBIDE CORPORATION C/O THE DOW CHEMICAL COMPANY	**c** EIN-PN	13-1421730-001
a Plan name	UNION PACIFIC COPRORATION THRIFT PLAN		
b Name of plan sponsor	UNION PACIFIC CORPORATION	**c** EIN-PN	13-2626465-004
a Plan name	UNION PACIFIC CORPORATION MASTER RETIREMENT TRUST		
b Name of plan sponsor	UNION PACIFIC CORPORATION	**c** EIN-PN	36-7045728-018
a Plan name	UNION PACIFIC CORPORATION MASTER TRUST		
b Name of plan sponsor	UNION PACIFIC CORPORATION	**c** EIN-PN	13-2626465-019

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	UNION PACIFIC CORPORATION MASTER TRUST		
b Name of plan sponsor	UNION PACIFIC CORPORATION	**c** EIN-PN	13-2626465-018
a Plan name	UNISYS MASTER TRUST		
b Name of plan sponsor	UNISYS CORPORATION	**c** EIN-PN	38-6043470-023
a Plan name	UNITED FOOD & COMMERCIAL WORKERS LOCAL 1546 PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES OF UNITED FOOD & COMMERCIAL WORKERS LOCAL 1546 PNSN	**c** EIN-PN	51-6049165-001
a Plan name	UNITED FOOD AND COMMERCIAL WORKERS UNION LOCAL 152 RETAIL MEAT PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES, UNITED FOOD AND COMMERCIAL WORKERS UNION LOCAL 152	**c** EIN-PN	23-6209656-001
a Plan name	UNITED MINE WORKERS OF AMERICA 1985 CONSTRUCTION WORKERS PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES OF THE UMWA 1985 CONSTRUCTION WORKERS	**c** EIN-PN	52-6283006-001
a Plan name	UNITED STEELWORKERS INTERNATIONAL UNION STAFF PENSION PLAN		
b Name of plan sponsor	UNITED STEELWORKERS	**c** EIN-PN	25-0818080-001
a Plan name	UNITEDHEALTH GROUP 401(K) SAVINGS PLAN MASTER TRUST		
b Name of plan sponsor	UNITED HEALTHCARE SERVICES INC.	**c** EIN-PN	30-0993007-001
a Plan name	UNITY HEALTH SYSTEM RETIREMENT PLAN		
b Name of plan sponsor	UNITY HEALTH SYSTEM	**c** EIN-PN	22-2572873-001
a Plan name	URICK FOUNDRY DIVISION OF RIDGE TOOL MANUFACTURING COMPANY HOURLY PENSION PLAN		
b Name of plan sponsor	RIDGE TOOL MANUFACTURING COMPANY, EMERSON ELECTRIC COMPANY	**c** EIN-PN	43-1529268-002
a Plan name	US FOODS CONSOLIDATED DEFINED BENEFIT RETIREMENT PLAN		
b Name of plan sponsor	US FOODS, INC.	**c** EIN-PN	36-3642294-012
a Plan name	USAA PENSION PLAN		
b Name of plan sponsor	UNITED SERVICES AUTOMOBILE ASSOCIATION	**c** EIN-PN	74-0959140-001
a Plan name	USAA RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	UNITED SERVICES AUTOMOBILE ASSOCIATION	**c** EIN-PN	74-0959140-002

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	USG CORPORATION RETIREMENT PLAN		
b Name of plan sponsor	USG CORPORATION	**c** EIN-PN	36-3329400-001
a Plan name	VALEO NORTH AMERICA, INC. PENSION PLAN MASTER TRUST		
b Name of plan sponsor	VALEO NORTH AMERICA, INC.	**c** EIN-PN	13-3744485-100
a Plan name	VALERO ENERGY CORPORATION PENSION PLAN		
b Name of plan sponsor	VALERO ENERGY CORPORATION	**c** EIN-PN	74-1828067-005
a Plan name	VALLEY HEALTH SYSTEM MASTER TRUST		
b Name of plan sponsor	VALLEY HEALTH SYSTEM	**c** EIN-PN	45-3459695-001
a Plan name	VENCORE, INC. PENSION PLAN		
b Name of plan sponsor	PERATON TECHNOLOGY SERVICES INC.	**c** EIN-PN	90-0622583-002
a Plan name	VERTIV GROUP CORPORATION EMPLOYEE RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	VERTIV GROUP CORPORATION	**c** EIN-PN	81-3496944-001
a Plan name	VISITING NURSE SERVICE OF NEW YORK CARE PENSION PLAN		
b Name of plan sponsor	VISITING NURSE SERVICE OF NEW YORK	**c** EIN-PN	13-3189926-001
a Plan name	VISTRA RETIREMENT PLAN		
b Name of plan sponsor	VISTRA OPERATIONS COMPANY LLC	**c** EIN-PN	36-4833461-002
a Plan name	VISTRA THRIFT PLAN		
b Name of plan sponsor	VISTRA OPERATIONS COMPANY LLC	**c** EIN-PN	36-4833461-001
a Plan name	VIZIENT RETIREMENT PLAN		
b Name of plan sponsor	VIZIENT, INC.	**c** EIN-PN	38-2182248-334
a Plan name	VULCAN MATERIALS MASTER PENSION TRUST FUND		
b Name of plan sponsor	VULCAN MATERIALS COMPANY	**c** EIN-PN	63-0366371-900
a Plan name	WAREHOUSE EMPLOYEES UNION LOCAL NO. 730 PENSION TRUST FUND		
b Name of plan sponsor	BOARD OF TRUSTEES OF WAREHOUSE EMPLOYEES LOCAL NO. 730 PNSN TRUST FUND	**c** EIN-PN	52-6124754-001

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	WATERFRONT EMPLOYERS - ILA PENSION PLAN		
b Name of plan sponsor	BOARD OF TRUSTEES WATERFRONT EMPLOYERS - ILA PENSION PLAN	**c** EIN-PN	57-6027499-001
a Plan name	WEC ENERGY GROUP MASTER RETIREMENT TRUST		
b Name of plan sponsor	WEC ENERGY GROUP, INC.	**c** EIN-PN	39-6036268-048
a Plan name	WEIL, GOTHSAL & MANGES LLP EMPLOYEES' PENSION PLAN		
b Name of plan sponsor	WEIL, GOTSHAL & MANGES LLP	**c** EIN-PN	13-1456110-001
a Plan name	WEIL, GOTHSAL & MANGES LLP PARTNERS' DEFINED BENEFIT PLAN		
b Name of plan sponsor	WEIL, GOTSHAL & MANGES LLP	**c** EIN-PN	13-1456110-009
a Plan name	WEIL, GOTHSAL & MANGES LLP PARTNERS' TARGET PENSION PLAN		
b Name of plan sponsor	WEIL, GOTSHAL & MANGES LLP	**c** EIN-PN	13-1456110-002
a Plan name	WEIL, GOTSHAL & MANGES PARTNERS' VARIABLE DEFINED BENEFIT PLAN		
b Name of plan sponsor	WEIL, GOTSHAL & MANGES LLP	**c** EIN-PN	13-1456110-011
a Plan name	WELLS MANUFACTURING PENSION PLAN		
b Name of plan sponsor	WELLS VEHICLE ELECTRONICS, LP	**c** EIN-PN	06-1763647-031
a Plan name	WEST PHARMACEUTICAL SERVICES, INC. 401(K) PLAN		
b Name of plan sponsor	WEST PHARMACEUTICAL SERVICES, INC.	**c** EIN-PN	23-1210010-008
a Plan name	WEST PHARMACEUTICAL SERVICES, INC. STOCK FUND MASTER TRUST		
b Name of plan sponsor	WEST PHARMACEUTICAL SERVICES, INC.	**c** EIN-PN	23-1210010-010
a Plan name	WESTAMERICA BANCORPORATION TAX DEFERRED SAVINGS/RETIREMENT PLAN (ESOP)		
b Name of plan sponsor	WESTAMERICA BANCORPORATION	**c** EIN-PN	94-2156203-002
a Plan name	WESTROCK COMPANY MASTER RETIREMENT TRUST		
b Name of plan sponsor	WESTROCK COMPANY	**c** EIN-PN	47-3335141-499
a Plan name	WGM MASTER TRUST		
b Name of plan sponsor	WEIL, GOTSHAL & MANGES LLP	**c** EIN-PN	13-1456110-012

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	WHIRLPOOL 401(K) RETIREMENT PLAN		
b Name of plan sponsor	WHIRLPOOL CORPORATION	**c** EIN-PN	38-1490038-001
a Plan name	WHIRLPOOL CORPORATION AND SUBSIDIARY EMPLOYEES' RETIREMENT TRUST		
b Name of plan sponsor	WHIRLPOOL CORPORATION	**c** EIN-PN	36-6541950-003
a Plan name	WHITE CAP 401(K) RETIREMENT PLAN		
b Name of plan sponsor	WHITE CAP SUPPLY HOLDINGS, LLC	**c** EIN-PN	84-4321017-001
a Plan name	WHX PENSION PLAN TRUST		
b Name of plan sponsor	HANDY & HARMAN LTD.	**c** EIN-PN	13-7086350-001
a Plan name	WINTER HAVEN HOSPITAL PENSION PLAN		
b Name of plan sponsor	WINTER HAVEN HOSPITAL, INC.	**c** EIN-PN	59-0724462-001
a Plan name	WISCONSIN LABORERS' PENSION FUND		
b Name of plan sponsor	TRUSTEES OF WISCONSIN LABORERS' PENSION FUND	**c** EIN-PN	39-6198530-001
a Plan name	WOLTERS KLUWER CCH, SPRINGHOUSE AND WAVERLY PENSION PLAN		
b Name of plan sponsor	WOLTERS KLUWER UNITED STATES, INC.	**c** EIN-PN	13-3577870-001
a Plan name	WOODSIDE ENERGY USA SERVICES INC. RETIREMENT INCOME PLAN		
b Name of plan sponsor	WOODSIDE ENERGY USA SERVICES INC.	**c** EIN-PN	94-3144067-334
a Plan name	WOODWARD RETIREMENT SAVINGS PLAN		
b Name of plan sponsor	WOODWARD, INC.	**c** EIN-PN	36-1984010-001
a Plan name	WW GRAINGER INC DEFINED CONTRIBUTION MASTER TRUST		
b Name of plan sponsor	WW GRAINGER INC	**c** EIN-PN	86-6746935-002
a Plan name	WYCHE PROFESSIONAL ASSOCIATION PROFIT SHARING PLAN		
b Name of plan sponsor	WYCHE PROFESSIONAL ASSOCIATION	**c** EIN-PN	57-0514278-001
a Plan name	XCEL ENERGY INC. MASTER TRUST		
b Name of plan sponsor	XCEL ENERGY INC.	**c** EIN-PN	41-0448030-013

Part II **Information on Participating Plans (to be completed by DFEs, other than DCGs)**
(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name	XPO LOGISTICS PENSION PLAN		
b Name of plan sponsor	XPO CNW, INC.	**c** EIN-PN	94-1444798-005
a Plan name	YUM BRANDS RETIREMENT PLAN		
b Name of plan sponsor	YUM BRANDS, INC	**c** EIN-PN	13-3951308-333
a Plan name	ZIMMER BIOMET HOLDINGS, INC. SAVINGS AND INVESTMENT 401(K) PROGRAM MASTER TRUST		
b Name of plan sponsor	ZIMMER BIOMET HOLDINGS, INC.	**c** EIN-PN	04-6947827-001
a Plan name	ZURICH AMERICAN INSURANCE COMPANY RETIRMENT ACCOUNT PLAN		
b Name of plan sponsor	ZURICH AMERICAN INSURANCE COMPANY	**c** EIN-PN	36-4233459-001
a Plan name	NORTHERN TRUST COLLECTIVE UNITED STATES INVESTABLE MARKET INDEX FUND - NON-LENDING		
b Name of plan sponsor	NORTHERN TRUST INVESTMENTS, INC.	**c** EIN-PN	45-6138589-136
a Plan name			
b Name of plan sponsor		**c** EIN-PN	
a Plan name			
b Name of plan sponsor		**c** EIN-PN	
a Plan name			
b Name of plan sponsor		**c** EIN-PN	
a Plan name			
b Name of plan sponsor		**c** EIN-PN	
a Plan name			
b Name of plan sponsor		**c** EIN-PN	
a Plan name			
b Name of plan sponsor		**c** EIN-PN	
a Plan name			
b Name of plan sponsor		**c** EIN-PN	

SCHEDULE H
(Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2023

This Form is Open to Public Inspection

For calendar plan year 2023 or fiscal plan year beginning 08/01/2023 and ending 07/31/2024

A Name of plan
NORTHERN TRUST COLLECTIVE SHORT TERM INVESTMENT

B Three-digit plan number (PN) ▶ 084

C Plan sponsor's name as shown on line 2a of Form 5500
NORTHERN TRUST INVESTMENTS, INC.

D Employer Identification Number (EIN)
45-6138589

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	79835	239
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)	78401925	87236621
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	15140702687	21050338000
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	5617582165	4797124387
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)		
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	6026000000	3776000000

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	26862766612	29710699247
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j	367399993	1318936679
k	Total liabilities (add all amounts in lines 1g through1j)	1k	367399993	1318936679
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	26495366619	28391762568

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

			(a) Amount	(b) Total
	Income			
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)		
	(B) Participants	2a(1)(B)		
	(C) Others (including rollovers)	2a(1)(C)		
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		0
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	1021603039	
	(B) U.S. Government securities	2b(1)(B)	90	
	(C) Corporate debt instruments	2b(1)(C)	263275570	
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)		
	(F) Other	2b(1)(F)	222049450	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		1506928149
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)		
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		0
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	624366084	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	624000000	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		366084
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)		
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		
d Total income. Add all **income** amounts in column (b) and enter total	2d		1507294233
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)		
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		0
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses:			
(1) Salaries and allowances	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Recordkeeping fees	2i(3)		
(4) IQPA audit fees	2i(4)	22150	
(5) Investment advisory and investment management fees	2i(5)		
(6) Bank or trust company trustee/custodial fees	2i(6)	2559253	
(7) Actuarial fees	2i(7)		
(8) Legal fees	2i(8)		
(9) Valuation/appraisal fees	2i(9)		
(10) Other trustee fees and expenses	2i(10)		
(11) Other expenses	2i(11)	1641	
(12) Total administrative expenses. Add lines **2i(1)** through **(11)**	2i(12)		2583044
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		2583044
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		1504711189
l Transfers of assets:			
(1) To this plan	2l(1)		317281909723
(2) From this plan	2l(2)		316890224963

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unmodified **(2)** ☐ Qualified **(3)** ☐ Disclaimer **(4)** ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☐ DOL Regulation 2520.103-8 **(2)** ☐ DOL Regulation 2520.103-12(d) **(3)** ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **(2)** EIN:

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) ☒ This form is filed for a CCT, PSA, DCG or MTIA. **(2)** ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	**4a**			
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	**4b**			
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	**4c**			
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	**4d**			
e Was this plan covered by a fidelity bond?	**4e**			
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	**4f**			
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4g**			
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4h**			
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	**4i**			
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	**4j**			
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	**4k**			
l Has the plan failed to provide any benefit when due under the plan?	**4l**			
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	**4m**			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	**4n**			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☐ No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ..... ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.