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| <p style="text-align: center;">Form 5500</p> <p style="font-size: small;">Department of the Treasury Internal Revenue Service</p> <hr/> <p style="font-size: small;">Department of Labor Employee Benefits Security Administration</p> <hr/> <p style="font-size: x-small;">Pension Benefit Guaranty Corporation</p> | <p>Annual Return/Report of Employee Benefit Plan</p> <p style="font-size: x-small;">This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).</p> <p>▶ Complete all entries in accordance with the instructions to the Form 5500.</p> | <p style="font-size: x-small;">OMB Nos. 1210-0110 1210-0089</p> <hr/> <p style="font-size: large; font-weight: bold;">2023</p> <hr/> <p style="font-weight: bold;">This Form is Open to Public Inspection</p> |
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Part I Annual Report Identification Information
 For calendar plan year 2023 or fiscal plan year beginning 08/01/2023 and ending 07/31/2024

A This return/report is for: a multiemployer plan a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.)

a single-employer plan a DFE (specify) C

B This return/report is: the first return/report the final return/report

an amended return/report a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. ▶

D Check box if filing under: Form 5558 automatic extension the DFVC program

special extension (enter description)

E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ▶

Part II Basic Plan Information—enter all requested information

| | |
|---|--|
| <p>1a Name of plan <u>NORTHERN TRUST COLLECTIVE GOVERNMENT SHORT TERM INVESTMENT FUND</u></p> | <p>1b Three-digit plan number (PN) ▶ <u>068</u></p> |
| <p>2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>NORTHERN TRUST INVESTMENTS, INC.</u></p> <p><u>50 SOUTH LASALLE STREET</u> <u>CHICAGO, IL 60603</u></p> | <p>1c Effective date of plan</p> <hr/> <p>2b Employer Identification Number (EIN) <u>45-6138589</u></p> <hr/> <p>2c Plan Sponsor's telephone number <u>312-630-6000</u></p> <hr/> <p>2d Business code (see instructions)</p> |

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|------------------|--|-------------------|--|
| SIGN HERE | | Date | |
| | Signature of plan administrator | | Enter name of individual signing as plan administrator |
| SIGN HERE | | Date | |
| | Signature of employer/plan sponsor | | Enter name of individual signing as employer or plan sponsor |
| SIGN HERE | <u>Filed with authorized/valid electronic signature.</u> | <u>04/25/2025</u> | <u>MONETTE R. NICKELS</u> |
| | Signature of DFE | Date | Enter name of individual signing as DFE |

| | |
|--|--|
| 3a Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor | 3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div> |
| 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name | 4b EIN 4d PN |
| 5 Total number of participants at the beginning of the plan year | 5 |
| 6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits f Total. Add lines 6d and 6e g(1) Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) g(2) Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested..... | <div style="background-color: #cccccc; height: 20px; width: 100%;"></div> 6a(1) 6a(2) 6b 6c 6d 6e 6f 6g(1) 6g(2) 6h |
| 7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)..... | 7 |

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

| | |
|--|--|
| 9a Plan funding arrangement (check all that apply) (1) <input type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor | 9b Plan benefit arrangement (check all that apply) (1) <input type="checkbox"/> Insurance (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts (3) <input type="checkbox"/> Trust (4) <input type="checkbox"/> General assets of the sponsor |
|--|--|

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

| | |
|---|---|
| a Pension Schedules (1) <input type="checkbox"/> R (Retirement Plan Information) (2) <input type="checkbox"/> MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) <input type="checkbox"/> SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary (4) <input type="checkbox"/> DCG (Individual Plan Information) – Number Attached _____ (5) <input type="checkbox"/> MEP (Multiple-Employer Retirement Plan Information) | b General Schedules (1) <input checked="" type="checkbox"/> H (Financial Information) (2) <input type="checkbox"/> I (Financial Information – Small Plan) (3) <input type="checkbox"/> A (Insurance Information) – Number Attached _____ (4) <input type="checkbox"/> C (Service Provider Information) (5) <input checked="" type="checkbox"/> D (DFE/Participating Plan Information) (6) <input type="checkbox"/> G (Financial Transaction Schedules) |
|---|---|

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) Yes No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) Yes No

11c Enter the Receipt Confirmation Code for the 2023 Form M-1 annual report. If the plan was not required to file the 2023 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

| | | |
|---|--|---|
| SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> | DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. | <small>OMB No. 1210-0110</small> 2023 This Form is Open to Public Inspection. |
|---|--|---|

For calendar plan year 2023 or fiscal plan year beginning 08/01/2023 and ending 07/31/2024

| | | |
|---|--|------------|
| A Name of plan <u>NORTHERN TRUST COLLECTIVE GOVERNMENT SHORT TERM INVESTMENT FUND</u> | B Three-digit plan number (PN) ▶ | <u>068</u> |
| C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>NORTHERN TRUST INVESTMENTS, INC.</u> | D Employer Identification Number (EIN) <u>45-6138589</u> | |

| | |
|---------------|--|
| Part I | Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs) |
|---------------|--|

| | | |
|---|----------------------|---|
| a Name of MTIA, CCT, PSA, or 103-12 IE: | | |
| b Name of sponsor of entity listed in (a): | | |
| c EIN-PN | d Entity code | e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
| a Name of MTIA, CCT, PSA, or 103-12 IE: | | |
| b Name of sponsor of entity listed in (a): | | |
| c EIN-PN | d Entity code | e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
| a Name of MTIA, CCT, PSA, or 103-12 IE: | | |
| b Name of sponsor of entity listed in (a): | | |
| c EIN-PN | d Entity code | e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
| a Name of MTIA, CCT, PSA, or 103-12 IE: | | |
| b Name of sponsor of entity listed in (a): | | |
| c EIN-PN | d Entity code | e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
| a Name of MTIA, CCT, PSA, or 103-12 IE: | | |
| b Name of sponsor of entity listed in (a): | | |
| c EIN-PN | d Entity code | e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
| a Name of MTIA, CCT, PSA, or 103-12 IE: | | |
| b Name of sponsor of entity listed in (a): | | |
| c EIN-PN | d Entity code | e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |
| a Name of MTIA, CCT, PSA, or 103-12 IE: | | |
| b Name of sponsor of entity listed in (a): | | |
| c EIN-PN | d Entity code | e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) |

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity
code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity
code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity
code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity
code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity
code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity
code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity
code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

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d Entity
code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

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code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity
code

e Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | 1199SEIU GREATER NEW YORK PENSION FUND | |
| b | Name of plan sponsor | BOARD OF TRUSTEES OF 1199SEIU GREATER NEW YORK PENSION FUND | c EIN-PN 13-6601940-001 |
| a | Plan name | 1199SEIU HEALTH CARE EMPLOYEES PENSION FUND | |
| b | Name of plan sponsor | BOARD OF TRUSTEES OF 1199SEIU HEALTH CARE EMPLOYEES PENSION FUND | c EIN-PN 13-3604862-001 |
| a | Plan name | 1199SEIU HOME CARE EMPLOYEES PENSION FUND | |
| b | Name of plan sponsor | BOARD OF TRUSTEES OF THE 1199SEIU HOME CARE EMPLOYEES PENSION FUND | c EIN-PN 13-3943904-001 |
| a | Plan name | 2015 RETIREMENT PORTFOLIO HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-121 |
| a | Plan name | 2020 RETIREMENT PORTFOLIO HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-122 |
| a | Plan name | 2025 RETIREMENT PORTFOLIO HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-123 |
| a | Plan name | 2030 RETIREMENT PORTFOLIO HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-124 |
| a | Plan name | 2035 RETIREMENT PORTFOLIO HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-125 |
| a | Plan name | 2040 RETIREMENT PORTFOLIO HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-126 |
| a | Plan name | 2045 RETIREMENT PORTFOLIO HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-134 |
| a | Plan name | 401(K) SAVINGS AND PROFIT SHARING PLAN FOR THE EMPLOYEES OF NIKE, INC. | |
| b | Name of plan sponsor | NIKE INC | c EIN-PN 93-0584541-001 |
| a | Plan name | A&E TELEVISION NETWORKS, LLC. RETIREMENT PLAN | |
| b | Name of plan sponsor | A&E TELEVISION NETWORKS, LLC. | c EIN-PN 13-3091639-002 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|--|----------------------|--|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | |
| a | Plan name | ACCENTURE UNITED STATES PROFIT SHARING AND 401(K) TRUST |
| b | Name of plan sponsor | ACCENTURE LLP |
| c | EIN-PN | 36-7274695-001 |
| a | Plan name | ADVENT BALANCED CONVERTIBLE CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 99-1498659-001 |
| a | Plan name | AEROJET ROCKETDYNE (GENCORP) CONSOLIDATED PENSION PLAN |
| b | Name of plan sponsor | AEROJET ROCKETDYNE HOLDINGS, INC. |
| c | EIN-PN | 34-0244000-005 |
| a | Plan name | AGGRESSIVE MANAGED ACCOUNT PORTFOLIO |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 46-3787800-184 |
| a | Plan name | ALCON MASTER TRUST |
| b | Name of plan sponsor | ALCON VISION LLC |
| c | EIN-PN | 75-2252369-015 |
| a | Plan name | AMERICAN FAMILY PENSION PLAN |
| b | Name of plan sponsor | AMERICAN FAMILY MUTUAL INSURANCE COMPANY, S.I. |
| c | EIN-PN | 39-0273710-001 |
| a | Plan name | AMERIHEALTH CARITAS PENSION PLAN |
| b | Name of plan sponsor | AMERIHEALTH CARITAS SERVICES, LLC |
| c | EIN-PN | 45-5415725-002 |
| a | Plan name | ANNUITY FUND OF OPERATING ENGINEERS LOCAL 513 |
| b | Name of plan sponsor | BOARD OF TRUSTEES OF ANNUITY FUND OF OPERATING ENGINEERS LOCAL 513 |
| c | EIN-PN | 43-1712261-001 |
| a | Plan name | AON RETIREMENT PENSION PLAN |
| b | Name of plan sponsor | AON CORPORATION |
| c | EIN-PN | 36-3051915-090 |
| a | Plan name | AON SAVINGS PLAN |
| b | Name of plan sponsor | AON CORPORATION |
| c | EIN-PN | 36-3051915-020 |
| a | Plan name | ASCENSION HEALTHCARE MASTER PENSION TRUST |
| b | Name of plan sponsor | ASCENSION HEALTH DBA ASCENSION HEALTHCARE |
| c | EIN-PN | 36-6891022-001 |
| a | Plan name | ATLANTICARE HEALTH SYSTEM, INC. PENSION PLAN |
| b | Name of plan sponsor | ATLANTICARE HEALTH SYSTEM, INC. |
| c | EIN-PN | 22-3265213-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | AUTOMATIC DATA PROCESSING INC PENSION RETIREMENT PLAN | |
| b | Name of plan sponsor | AUTOMATIC DATA PROCESSING, INC. | c EIN-PN 22-1467904-001 |
| a | Plan name | AUTOMATIC DATA PROCESSING, INC. RETIREMENT AND SAVINGS PLAN | |
| b | Name of plan sponsor | AUTOMATIC DATA PROCESSING, INC. | c EIN-PN 22-1467904-002 |
| a | Plan name | B&W PENSION TRUST | |
| b | Name of plan sponsor | THE BABCOCK & WILCOX COMPANY | c EIN-PN 13-2933685-034 |
| a | Plan name | BEAUMONT HEALTH MASTER RETIREMENT TRUST | |
| b | Name of plan sponsor | BEAUMONT HEALTH | c EIN-PN 47-4896200-100 |
| a | Plan name | BEMIS COMPANY, INC. MASTER PENSION TRUST | |
| b | Name of plan sponsor | AMCOR FLEXIBLES NORTH AMERICA, INC. | c EIN-PN 43-0178130-004 |
| a | Plan name | BERKSHIRE HATHAWAY CONSOLIDATED PENSION PLAN | |
| b | Name of plan sponsor | BERKSHIRE HATHAWAY CREDIT CORPORATION | c EIN-PN 47-0679606-001 |
| a | Plan name | BJC PENSION PLAN | |
| b | Name of plan sponsor | BJC HEALTH SYSTEM | c EIN-PN 43-1617558-333 |
| a | Plan name | BLUE SHIELD OF CALIFORNIA EMPLOYEES RETIREMENT PLAN | |
| b | Name of plan sponsor | CALIFORNIA PHYSICIANS SERVICE D/B/A BLUE SHIELD OF CALIFORNIA | c EIN-PN 94-0360524-001 |
| a | Plan name | BOND INDEX STRATEGY HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-105 |
| a | Plan name | BOSTON MEDICAL CENTER PENSION PLAN | |
| b | Name of plan sponsor | BOSTON MEDICAL CENTER | c EIN-PN 04-3314093-001 |
| a | Plan name | BRANDES CORE PLUS FIXED INCOME FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 26-3506969-146 |
| a | Plan name | BRANDES INTERNATIONAL EQUITY FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 27-0256663-161 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | BRANDYWINEGLOBAL - DIVERSIFIED US LARGE CAP VALUE CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4065319-416 |
| a | Plan name | BRANDYWINEGLOBAL - DYNAMIC US LARGE CAP VALUE CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4116841-512 |
| a | Plan name | BRANDYWINEGLOBAL - US FIXED INCOME CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4097320-468 |
| a | Plan name | BRIGHTSPEED PENSION PLAN | |
| b | Name of plan sponsor | CONNECT HOLDING II LLC D/B/A BRIGHTSPEED | c EIN-PN 87-3811759-200 |
| a | Plan name | BRISTOL-MYERS SQUIBB COMPANY MASTER TRUST | |
| b | Name of plan sponsor | BRISTOL-MYERS SQUIBB COMPANY | c EIN-PN 13-6064033-101 |
| a | Plan name | BURLINGTON NORTHERN SANTA FE RETIREMENT PLAN | |
| b | Name of plan sponsor | BURLINGTON NORTHERN SANTA FE, LLC | c EIN-PN 27-1754839-001 |
| a | Plan name | BWXT MASTER TRUST | |
| b | Name of plan sponsor | BWXT INVESTMENT COMPANY | c EIN-PN 72-1172705-003 |
| a | Plan name | CALLAN DIVERSIFIED REAL ASSETS FUND | |
| b | Name of plan sponsor | WILMINGTON TRUST, N.A. | c EIN-PN 38-4065328-425 |
| a | Plan name | CALLAN GLIDEPATH REAL ASSET FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7275316-001 |
| a | Plan name | CARPENTERS RETIREMENT PLAN OF WESTERN WASHINGTON | |
| b | Name of plan sponsor | BOARD OF TRUSTEES, CARPENTERS RETIREMENT PLAN OF WESTERN WASHINGTON | c EIN-PN 91-6029051-001 |
| a | Plan name | CATERPILLAR INC. INVESTMENT TRUST | |
| b | Name of plan sponsor | CATERPILLAR INC. | c EIN-PN 32-0229540-032 |
| a | Plan name | CAUSEWAY-UNIT 10 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-210 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | CENTRAL PENNSYLVANIA TEAMSTERS DEFINED BENEFIT PLAN | |
| b | Name of plan sponsor | CENTRAL PENNSYLVANIA TEAMSTERS PENSION FUND, TRUSTEES | c EIN-PN 23-6262789-001 |
| a | Plan name | CENTURYLINK, INC. DEFINED CONTRIBUTION PLAN MASTER | |
| b | Name of plan sponsor | LUMEN TECHNOLOGIES, INC. | c EIN-PN 22-3268918-006 |
| a | Plan name | CLEARBRIDGE DIVIDEND STRATEGY CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7275317-001 |
| a | Plan name | CLEARBRIDGE INTERNATIONAL GROWTH ALL COUNTRY EX-US CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4139847-625 |
| a | Plan name | CLEARBRIDGE INTERNATIONAL GROWTH CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-3125078-346 |
| a | Plan name | CLEARBRIDGE LARGE CAP GROWTH CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-3106705-345 |
| a | Plan name | CLEARBRIDGE MID CAP CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-2587504-344 |
| a | Plan name | CLEARBRIDGE SMALL CAP GROWTH CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4065336-434 |
| a | Plan name | CLUB FUTURES SAVE PLAN | |
| b | Name of plan sponsor | AUTOMOBILE CLUB OF SOUTHERN CALIFORNIA | c EIN-PN 95-0514585-002 |
| a | Plan name | COCA-COLA CONSOLIDATED, INC. BARGAINING EMPLOYEES PENSION PLAN | |
| b | Name of plan sponsor | CCBCC OPERATIONS LLC | c EIN-PN 20-0234821-001 |
| a | Plan name | CONSERVATIVE MANAGED ACCOUNT PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 46-3750663-181 |
| a | Plan name | CONTRIBUTORY DEFINED BENEFIT RETIREMENT PLAN AT RENSSELAER POLYTECHNIC INSTITUTE | |
| b | Name of plan sponsor | RENSSELAER POLYTECHNIC INSTITUTE C/O CONTROLLERS OFFICE | c EIN-PN 14-1340095-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | CORTEVA AGRISCIENCE DEFINED CONTRIBUTION PLAN MASTER TRUST | |
| b | Name of plan sponsor | EIDP, INC. | c EIN-PN 51-0405321-001 |
| a | Plan name | COTTAGE HEALTH PENSION PLAN | |
| b | Name of plan sponsor | COTTAGE HEALTH | c EIN-PN 77-0431902-001 |
| a | Plan name | CRM SMALL/MID CAP VALUE CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 85-4059482-654 |
| a | Plan name | CSAA INSURANCE GROUP CASH BALANCE RETIREMENT PLAN | |
| b | Name of plan sponsor | CSAA INSURANCE SERVICES, INC | c EIN-PN 46-4417209-001 |
| a | Plan name | D.F. DENT MIDCAP GROWTH CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4139864-642 |
| a | Plan name | D.F. DENT SMALL CAP GROWTH CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4139865-643 |
| a | Plan name | DALLAS SYMPHONY ASSOCIATION, INC. STAFF RETIREMENT PLAN | |
| b | Name of plan sponsor | DALLAS SYMPHONY ASSOCIATION, INC. | c EIN-PN 75-0705442-004 |
| a | Plan name | DANA LIMITED MASTER TRUST | |
| b | Name of plan sponsor | DANA LIMITED | c EIN-PN 26-1318190-143 |
| a | Plan name | DB GROWTH PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-0737797-187 |
| a | Plan name | DEFINED BENEFIT PLAN ACCOUNT HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-112 |
| a | Plan name | DESERT STATES EMPLOYERS & UFCW UNIONS PENSION PLAN | |
| b | Name of plan sponsor | BOARD OF TRUSTEES, DESERT STATES EMPLOYERS & UFCW | c EIN-PN 84-6277982-001 |
| a | Plan name | DIAMOND HILL CORE BOND PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4139841-618 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | DIAMOND HILL LARGE CAP PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 20-4705609-059 |
| a | Plan name | DIAMOND HILL MID CAP PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7275311-775 |
| a | Plan name | DIAMOND HILL SELECT PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7275310-774 |
| a | Plan name | DIAMOND HILL SMALL CAP PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7275312-776 |
| a | Plan name | DIAMOND HILL SMALL-MID CAP PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7275313-777 |
| a | Plan name | DOW RETIREMENT GROUP TRUST - US LARGE CAP EQUITY | |
| b | Name of plan sponsor | THE DOW CHEMICAL COMPANY | c EIN-PN 38-1285128-019 |
| a | Plan name | DR PEPPER SNAPPLE GROUP, INC. | |
| b | Name of plan sponsor | DR PEPPER SNAPPLE GROUP INC MASTER PENSION TRUST | c EIN-PN 98-0517725-009 |
| a | Plan name | DSM NORTH AMERICA MASTER RETIREMENT TRUST | |
| b | Name of plan sponsor | DSM NORTH AMERICA MASTER RETIREMENT TRUST NORTHERN TRUST | c EIN-PN 83-6420997-001 |
| a | Plan name | DUPONT SPECIALTY PRODUCTS AND RELATED CO. SAVINGS PLAN MASTER TRUST | |
| b | Name of plan sponsor | DUPONT DE NEMOURS, INC. | c EIN-PN 83-3846995-001 |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK 2025 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312967-001 |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK 2030 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312968-001 |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK 2035 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312969-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK 2040 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312970-001 |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK 2045 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312971-001 |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK 2050 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312972-001 |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK 2055 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312973-001 |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK 2060 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312974-001 |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK 2065 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312975-001 |
| a | Plan name | DYNAMICSELECT WITH BLACKROCK RETIREMENT FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312966-001 |
| a | Plan name | DYNEGY INC RETIREMENT PLAN | |
| b | Name of plan sponsor | DYNEGY INC | c EIN-PN 20-5653152-008 |
| a | Plan name | EDGEWELL PERSONAL CARE COMPANY RETIREMENT PLANS MASTER TRUST | |
| b | Name of plan sponsor | EDGEWELL PERSONAL CARE COMPANY | c EIN-PN 43-1863181-008 |
| a | Plan name | ELLIOT HEALTH SYSTEM PENSION PLAN | |
| b | Name of plan sponsor | ELLIOT HEALTH SYSTEM, INC. | c EIN-PN 02-0509911-001 |
| a | Plan name | EMERALD SMALL CAP GROWTH CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271361-754 |
| a | Plan name | EMPLOYEE RETIREMENT PLAN OF S&P GLOBAL INC AND ITS SUBSIDIARIES | |
| b | Name of plan sponsor | S&P GLOBAL, INC. | c EIN-PN 13-1026995-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|---|----------------------|--|--------------------------------|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | | |
| a | Plan name | EMPLOYEES ANNUITY PLAN - PLANTATION PIPE LINE COMPANY | |
| b | Name of plan sponsor | PLANTATION PIPE LINE COMPANY | c EIN-PN 58-0388035-002 |
| a | Plan name | EMPLOYEES' CASH BALANCE PLAN OF ATLANTIC HEALTH | |
| b | Name of plan sponsor | ATLANTIC HEALTH SYSTEM | c EIN-PN 22-3380375-001 |
| a | Plan name | EMPLOYEES' RETIREMENT PLAN OF INDIANAPOLIS POWER & LIGHT COMPANY | |
| b | Name of plan sponsor | INDIANAPOLIS POWER & LIGHT COMPANY | c EIN-PN 35-0413620-001 |
| a | Plan name | EMPLOYEES' RETIREMENT PLAN OF THE AMERICAN BANKERS ASSOCIATION | |
| b | Name of plan sponsor | AMERICAN BANKERS ASSOCIATION | c EIN-PN 52-1001304-001 |
| a | Plan name | EMPLOYEES SAVINGS AND RETIREMENT PLAN OF CREDIT SUISSE | |
| b | Name of plan sponsor | CREDIT SUISSE SECURITIES USA LLC | c EIN-PN 05-0546650-002 |
| a | Plan name | ENERGIZER HOLDINGS, INC. RETIREMENT PLAN | |
| b | Name of plan sponsor | ENERGIZER HOLDINGS, INC | c EIN-PN 36-4802442-001 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST - CASH | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-311 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST - CENG | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-315 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST - LEGACY REAL ESTATE | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-421 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST - PE COMED & PECO | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-420 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST - PEPCO | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-418 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST - PRIVATE EQUITY 2016 | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-419 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | EXELON CORP PENSION MASTER TRUST - PRUDENTIAL CONECTIV | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-417 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST - REAL ESTATE 2016 | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-422 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST - REAL ESTATE LIQUID | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-423 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST- ABS RTN | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-310 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST- CEG ALTERNATIVE | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-313 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST- ECRP HDG | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-304 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST- EQUITY | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-301 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST- EXC ONLY ABS RTN PC | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-426 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST- EXC ONLY PE | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-427 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST- EXC ONLY PECOSAF | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-425 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST- EXC ONLY RE | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-428 |
| a | Plan name | EXELON CORP PENSION MASTER TRUST- NECRP HDG | |
| b | Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-303 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|--|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | |
| a Plan name | EXELON CORP PENSION MASTER TRUST- PE MASTER | |
| b Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-306 |
| a Plan name | EXELON CORP PENSION MASTER TRUST- PRIV CR | |
| b Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-314 |
| a Plan name | EXELON CORP PENSION MASTER TRUST- RTN GEN FI | |
| b Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-305 |
| a Plan name | EXELON CORP PENSION MASTER TRUST- TAX RECLAIM | |
| b Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-424 |
| a Plan name | EXELON CORPORATION DCRP MASTER TRUST - PRIMARY | |
| b Name of plan sponsor | EXELON CORPORATION | c EIN-PN 23-2990190-300 |
| a Plan name | EXXONMOBIL PENSION PLAN | |
| b Name of plan sponsor | EXXON MOBIL CORPORATION | c EIN-PN 13-5409005-001 |
| a Plan name | EXXONMOBIL SAVINGS PLAN | |
| b Name of plan sponsor | EXXON MOBIL CORPORATION | c EIN-PN 13-5409005-334 |
| a Plan name | FEDERATED HERMES UNCONSTRAINED CREDIT COLLECTIVE INVESTMENT FUND | |
| b Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264548-711 |
| a Plan name | FIDELITY INSTITUTIONAL AM INTERNATIONAL CORE CIT | |
| b Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4139854-633 |
| a Plan name | FIDELITY/PYRAMIS-UNIT 9 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-209 |
| a Plan name | FIRST SENTIER GLOBAL LISTED INFRASTRUCTURE COLLECTIVE INVESTMENT TRUST OF THE NT CO SUB-ADV COLLECTIVE FUNDS TRUST | |
| b Name of plan sponsor | RELIANCE TRUST COMPANY | c EIN-PN 90-6169542-005 |
| a Plan name | FLUOR CORPORATION MASTER RETIREMENT TRUST DC | |
| b Name of plan sponsor | FLUOR CORPORATION MASTER RETIREMENT TRUST DC | c EIN-PN 33-0414495-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|---|----------------------|--|--------------------------------|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | | |
| a | Plan name | FOX VALLEY & VICINITY CONSTRUCTION WORKERS PENSION FUND | |
| b | Name of plan sponsor | TRUSTEES, FOX VALLEY & VICINITY CONSTRUCTION WORKERS PENSION FUND | c EIN-PN 36-6147407-001 |
| a | Plan name | FRANKLIN DYNATECH GG TRUST CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4116866-529 |
| a | Plan name | FRANKLIN GROWTH GG TRUST CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-3341628-360 |
| a | Plan name | FRANKLIN INTERNATIONAL EQUITY DBI CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-4322589-372 |
| a | Plan name | FRANKLIN SMALL CAP VALUE GG TRUST CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4126289-594 |
| a | Plan name | FRANKLIN SMALL-MID CAP GROWTH GG TRUST CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4116867-530 |
| a | Plan name | FRANKLIN TEMPLETON COLLECTIVE INVESTMENT TRUST- SIERRA FRANKLIN EAFE PLUS EQUITY TRUST | |
| b | Name of plan sponsor | FIDUCIARY TRUST INTERNATIONAL OF THE SOUTH | c EIN-PN 90-6149946-001 |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE 2020 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-3236968-351 |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE 2025 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-3269796-352 |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE 2030 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-3285163-353 |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE 2035 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-3297133-354 |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE 2040 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-3309063-355 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|---|----------------------|--|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE 2045 FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 82-3327181-356 |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE 2050 FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 82-3383993-357 |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE 2055 FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 82-3397205-358 |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE 2060 FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 82-3410489-359 |
| a | Plan name | FRANKLIN TOTAL ADVANTAGE RETIREMENT FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 82-3225947-350 |
| a | Plan name | FRANKLIN U.S. AGGREGATE BOND GG TRUST CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4097318-466 |
| a | Plan name | GALLO PENSION PLAN FOR NON-UNION EMPLOYEES |
| b | Name of plan sponsor | GALLO VINEYARDS, INC. |
| c | EIN-PN | 94-1409660-001 |
| a | Plan name | GAMCO-UNIT 1 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST |
| b | Name of plan sponsor | HALLIBURTON COMPANY |
| c | EIN-PN | 80-6176426-201 |
| a | Plan name | GANNETT MEDIA CORP. 401(K) SAVINGS PLAN |
| b | Name of plan sponsor | GANNETT MEDIA CORP. |
| c | EIN-PN | 47-2390983-100 |
| a | Plan name | GANNETT RETIREMENT PLAN |
| b | Name of plan sponsor | GANNETT MEDIA CORP. |
| c | EIN-PN | 47-2390983-001 |
| a | Plan name | GARRETT TRANSPORTATION I INC. PENSION PLAN |
| b | Name of plan sponsor | GARRETT TRANSPORTATION I INC. |
| c | EIN-PN | 82-4723195-002 |
| a | Plan name | GEISINGER CONSOLIDATED PENSION PLAN |
| b | Name of plan sponsor | GEISINGER SYSTEM SERVICES |
| c | EIN-PN | 23-2164794-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|---|----------------------|--|--------------------------------|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | | |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - BATH IRON WORKS POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-012 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - COMMERCIAL POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-008 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - CSRA 401(H) POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-015 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - CSRAPOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-006 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - DIVERSIFYING POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-004 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - EAGLE ALLIANCE POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-014 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - ELECTRIC BOAT POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-010 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - EQUITY POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-002 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - GDIT 401(H) POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-017 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - LAND SYSTEMS POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-011 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - LAND SYSTEMS UAW POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-009 |
| a | Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - LIABILITY AWARE POOL | |
| b | Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-001 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|---|--|--------------------------------|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | |
| a Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - MISSION SYSTEMS POOL | |
| b Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-013 |
| a Plan name | GENERAL DYNAMICS CORPORATION GROUP RETIREMENT TRUST - NOMURA POOL | |
| b Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-005 |
| a Plan name | GENERAL DYNAMICS CORPORATION RETIREMENT TRUST - GOVERNMENT POOL | |
| b Name of plan sponsor | GENERAL DYNAMICS CORPORATION | c EIN-PN 52-2456914-007 |
| a Plan name | GENEVA SMALL CAP GROWTH COLLECTIVE FUND | |
| b Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4116861-518 |
| a Plan name | GENON MASTER RETIREMENT TRUST | |
| b Name of plan sponsor | GENON SERVICES, LLC | c EIN-PN 56-2368220-013 |
| a Plan name | GEORGIA FIREFIGHTERS PENSION FUND RETIREMENT SAVINGS PLAN | |
| b Name of plan sponsor | GEORGIA FIREFIGHTERS PENSION FUND | c EIN-PN 58-6005261-001 |
| a Plan name | GEORGIA GULF RETIREMENT PLAN MASTER RETIREMENT TRUST | |
| b Name of plan sponsor | AXIALL CORPORATION | c EIN-PN 58-1563799-007 |
| a Plan name | GERBER PENSION PLAN | |
| b Name of plan sponsor | AIPCF VI LG FUNDING, LP | c EIN-PN 86-1917242-001 |
| a Plan name | GLOBE LIFE INC. PENSION PLAN | |
| b Name of plan sponsor | GLOBE LIFE INC. | c EIN-PN 63-0780404-002 |
| a Plan name | GRAND TRUNK WESTERN RAILROAD, INC. MASTER RETIREMENT TRUST | |
| b Name of plan sponsor | GRAND TRUNK CORPORATION | c EIN-PN 01-0324809-010 |
| a Plan name | GRANT THORNTON LLP EMPLOYEES' RETIREMENT PLAN | |
| b Name of plan sponsor | GRANT THORNTON LLP | c EIN-PN 36-6055558-004 |
| a Plan name | GREAT GRAY TRUST - T. ROWE PRICE BALANCED CIT | |
| b Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 86-1788946-673 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | GREAT GRAY TRUST STABLE VALUE FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4065313-399 |
| a | Plan name | GREAT GRAY TRUST STABLE VALUE FUND II | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4126286-590 |
| a | Plan name | GROUP RETIREMENT INCOME PLAN NO. 16 | |
| b | Name of plan sponsor | GROWMARK INC. | c EIN-PN 37-0815318-334 |
| a | Plan name | GROUP RETIREMENT INCOME PLAN NO. 8 | |
| b | Name of plan sponsor | GROWMARK INC. | c EIN-PN 37-0815318-002 |
| a | Plan name | GROWTH MANAGED ACCOUNT PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 46-3773972-183 |
| a | Plan name | HALLIBURTON RETIREMENT AND SAVINGS PLAN | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 75-2677995-001 |
| a | Plan name | HALLIBURTON RETIREMENT AND SAVINGS PLAN | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-001 |
| a | Plan name | HALLIBURTON RETIREMENT PLAN | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 75-2677995-005 |
| a | Plan name | HALLIBURTON SAVINGS PLAN | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-145 |
| a | Plan name | HANFORD MULTI-EMPLOYER PENSION PLAN | |
| b | Name of plan sponsor | HANFORD PENSION & SAVINGS PLANS COMMITTEE | c EIN-PN 90-0501441-003 |
| a | Plan name | HARDING LOEVNER EMERGING MARKETS COLLECTIVE INVESTMENT FUND | |
| b | Name of plan sponsor | GLOBAL TRUST COMPANY - HARDING LOEVNER | c EIN-PN 27-6075499-002 |
| a | Plan name | HARDING LOEVNER GLOBAL EQUITY COLLECTIVE INVESTMENT FUND | |
| b | Name of plan sponsor | GLOBAL TRUST COMPANY - HARDING LOEVNER | c EIN-PN 27-6075499-004 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|---|----------------------|---|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | |
| a | Plan name | HARDING LOEVNER INTERNATIONAL EQUITY COLLECTIVE INVESTMENT FUND |
| b | Name of plan sponsor | GLOBAL TRUST COMPANY - HARDING LOEVNER |
| c | EIN-PN | 27-6075499-003 |
| a | Plan name | HCA INC. MASTER RETIREMENT TRUST |
| b | Name of plan sponsor | HCA INC. |
| c | EIN-PN | 62-1160563-001 |
| a | Plan name | HESS CORPORATION EMPLOYEES' PENSION PLAN |
| b | Name of plan sponsor | HESS CORPORATION |
| c | EIN-PN | 13-4921002-333 |
| a | Plan name | HONEYWELL SAVINGS AND OWNERSHIP PLAN MASTER TRUST |
| b | Name of plan sponsor | HONEYWELL INTERNATIONAL INC. |
| c | EIN-PN | 04-6736894-001 |
| a | Plan name | HOPE GAS PENSION PLAN |
| b | Name of plan sponsor | HOPE GAS, INC. |
| c | EIN-PN | 55-0196830-002 |
| a | Plan name | HOTCHKIS & WILEY LARGE CAP VALUE CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4139839-615 |
| a | Plan name | HOTCHKIS & WILEY SMALL CAP DIVERSIFIED VALUE CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7264533-696 |
| a | Plan name | HUSSMANN PENSION PLAN FOR CERTAIN ACTIVE AND INACTIVE EMPLOYEES |
| b | Name of plan sponsor | HUSSMANN CORPORATION |
| c | EIN-PN | 43-0156220-044 |
| a | Plan name | INCOME AMERICA 2025 FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 86-1985375-680 |
| a | Plan name | INCOME AMERICA 2030 FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 86-2012004-681 |
| a | Plan name | INCOME AMERICA 2035 FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 86-2037604-682 |
| a | Plan name | INCOME AMERICA 2040 FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7264521-683 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | INCOME AMERICA 2045 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264522-684 |
| a | Plan name | INCOME AMERICA 2050 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264523-685 |
| a | Plan name | INCOME AMERICA 2055 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264524-686 |
| a | Plan name | INCOME AMERICA 2060 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264525-687 |
| a | Plan name | INCOME AMERICA 2065 FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264526-688 |
| a | Plan name | INCOME AMERICA BALANCED FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312981-001 |
| a | Plan name | INCOME AMERICA IN RETIREMENT FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 86-1925030-679 |
| a | Plan name | INCOME MANAGED ACCOUNT PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 46-3745190-180 |
| a | Plan name | INCOME RETIREMENT PORTFOLIO HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-118 |
| a | Plan name | INFLATION SENSITIVE STRATEGY HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-130 |
| a | Plan name | INTEGRITY-UNIT 12 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-212 |
| a | Plan name | INTERMEDIATE BOND STRATEGY HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-116 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|---|----------------------|---|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | |
| a | Plan name | INTERNATIONAL BROTHERHOOD OF TEAMSTERS UNION LOCAL 710 PENSION FUND |
| b | Name of plan sponsor | TRUSTEES OF I.B. OF T. UNION LOCAL 710 PENSION FUND |
| c | EIN-PN | 36-2377656-001 |
| a | Plan name | INTERNATIONAL FUNDAMENTAL VALUE FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 26-3783687-920 |
| a | Plan name | INTERNATIONAL GROWTH FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 26-3783561-911 |
| a | Plan name | IRON WORKERS DISTRICT COUNCIL OF SO OH & VICINITY PENSION TRUST |
| b | Name of plan sponsor | IRON WORKERS DISTRICT COUNCIL OF SOUTHERN OHIO & VICINITY |
| c | EIN-PN | 31-6038516-001 |
| a | Plan name | JANUS HENDERSON DEVELOPED WORLD BOND COLLECTIVE FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4139850-628 |
| a | Plan name | JANUS HENDERSON ENTERPRISE COLLECTIVE FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7289853-001 |
| a | Plan name | JANUS HENDERSON GROWTH AND INCOME COLLECTIVE FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4116863-520 |
| a | Plan name | JANUS HENDERSON INTERNATIONAL ALPHA EQUITY COLLECTIVE FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7275329-001 |
| a | Plan name | JANUS HENDERSON SMALL CAP GROWTH ALPHA COLLECTIVE FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7264547-710 |
| a | Plan name | JANUS HENDERSON TRITON COLLECTIVE FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7312989-001 |
| a | Plan name | JANUS HENDERSON US SMID CAP GROWTH COLLECTIVE FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7312997-001 |
| a | Plan name | JENNISON INTERNATIONAL EQUITY OPPORTUNITIES CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4139857-635 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|--|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | |
| a Plan name | JENNISON-UNIT 13 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-213 |
| a Plan name | JERSEY SHORE HOSPITAL RETIREMENT INCOME PLAN | |
| b Name of plan sponsor | GEISINGER SYSTEM SERVICES | c EIN-PN 23-2164794-004 |
| a Plan name | JOHN MUIR HEALTH RETIREMENT PLAN | |
| b Name of plan sponsor | JOHN MUIR HEALTH | c EIN-PN 94-1461843-001 |
| a Plan name | K & F INDUSTRIES MASTER PENSION TRUST | |
| b Name of plan sponsor | MEGGITT AIRCRAFT BRAKING SYSTEMS CORPORATION | c EIN-PN 34-1614846-001 |
| a Plan name | KEMPER CORPORATION PENSION TRUST | |
| b Name of plan sponsor | KEMPER CORPORATION | c EIN-PN 95-4255452-010 |
| a Plan name | KEYCORP 401K SAVINGS PLAN | |
| b Name of plan sponsor | KEYCORP | c EIN-PN 34-6542451-002 |
| a Plan name | KIMBERLY-CLARK CORPORATION 401(K) & PROFIT SHARING PLAN | |
| b Name of plan sponsor | KIMBERLY-CLARK CORPORATION | c EIN-PN 39-0394230-016 |
| a Plan name | KINDER MORGAN RETIREMENT PLAN MASTER TRUST | |
| b Name of plan sponsor | KINDER MORGAN, INC. | c EIN-PN 80-0682103-006 |
| a Plan name | KOCH COMPANIES DEFINED CONTRIBUTION MASTER TRUST | |
| b Name of plan sponsor | KOCH INDUSTRIES, INC. | c EIN-PN 27-0627941-001 |
| a Plan name | KOHLS CORPORATION MASTER TRUST | |
| b Name of plan sponsor | KOHL'S, INC. KOHL'S CORPORATION | c EIN-PN 46-2592829-001 |
| a Plan name | L3HARRIS RETIREMENT SAVINGS PLAN | |
| b Name of plan sponsor | L3HARRIS TECHNOLOGIES, INC. | c EIN-PN 34-0276860-015 |
| a Plan name | LACM ACWI EX-US EQUITY CIT | |
| b Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7289849-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|---|----------------------|--|--------------------------------|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | | |
| a | Plan name | LARGE CAP EQUITY STRATEGY HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-131 |
| a | Plan name | LAZARD ACW EX-US EQUITY ADVANTAGE CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 26-3783871-938 |
| a | Plan name | LAZARD ACW EX-US SMALL CAP EQUITY ADVANTAGE CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4126287-591 |
| a | Plan name | LAZARD CAPITAL ALLOCATOR MANAGED GLOBAL DIVERSIFIED CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 27-0951154-166 |
| a | Plan name | LAZARD CAPITAL ALLOCATOR MANAGED GLOBAL INCOME CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 47-1800630-191 |
| a | Plan name | LAZARD CORE FIXED INCOME CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4126258-562 |
| a | Plan name | LAZARD EAFE SMALL CAP EQUITY ADVANTAGE CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4116836-516 |
| a | Plan name | LAZARD EMERGING MARKETS CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 04-3478313-001 |
| a | Plan name | LAZARD EMERGING MARKETS EQUITY ADVANTAGE CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 86-1758580-674 |
| a | Plan name | LAZARD EMERGING MARKETS MANAGED VOLATILITY CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4126248-552 |
| a | Plan name | LAZARD GLOBAL EQUITY FRANCHISE CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7304121-001 |
| a | Plan name | LAZARD GLOBAL MANAGED VOLATILITY CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 46-2798889-173 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|--|----------------------|---|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | |
| a | Plan name | LAZARD GLOBAL STRATEGIC EQUITY CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4126257-561 |
| a | Plan name | LAZARD INTERNATIONAL EQUITY CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 46-3944954-187 |
| a | Plan name | LAZARD INTERNATIONAL QUALITY GROWTH CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7312982-001 |
| a | Plan name | LAZARD SMALL CAP EQUITY SELECT CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4139846-622 |
| a | Plan name | LAZARD US EQUITY CONCENTRATED CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 81-5383314-193 |
| a | Plan name | LAZARD/WILMINGTON ACW EX-US DIVERSIFIED ADVANTAGE FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7289845-001 |
| a | Plan name | LEAR CORPORATION MASTER TRUST |
| b | Name of plan sponsor | LEAR CORPORATION |
| c | EIN-PN | 27-1809542-200 |
| a | Plan name | LIBBEY MASTER RETIREMENT TRUST |
| b | Name of plan sponsor | LIBBEY GLASS LLC |
| c | EIN-PN | 06-1702366-001 |
| a | Plan name | LOCAL 786 I.B. OF T. SEVERANCE TRUST FUND |
| b | Name of plan sponsor | BOARD OF TRUSTEES OF LOCAL 786 I.B. OF T. SEVERANCE TRUST FUND |
| c | EIN-PN | 36-3319994-101 |
| a | Plan name | LOCAL UNION 786 BUILDING MATERIAL PENSION FUND |
| b | Name of plan sponsor | TRUSTEES OF LOCAL UNION 786 IBT MATERIAL PENSION |
| c | EIN-PN | 51-6067400-001 |
| a | Plan name | LOMA LINDA UNIVERSITY HEALTH CARE MULTIPLE EMPLOYER RETIREMENT PLAN |
| b | Name of plan sponsor | LOMA LINDA UNIVERSITY HEALTH CARE |
| c | EIN-PN | 33-0364239-001 |
| a | Plan name | LONG TARGET DATE RETIREMENT PORTFOLIO HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST |
| b | Name of plan sponsor | HALLIBURTON COMPANY |
| c | EIN-PN | 80-6176426-127 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|---|----------------------|---|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | |
| a | Plan name | LOOMIS HIGH YIELD-UNIT 8 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST |
| b | Name of plan sponsor | HALLIBURTON COMPANY |
| c | EIN-PN | 80-6176426-208 |
| a | Plan name | LOOMIS SMALL CAP-UNIT 11 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST |
| b | Name of plan sponsor | HALLIBURTON COMPANY |
| c | EIN-PN | 80-6176426-211 |
| a | Plan name | LUMBER EMPLOYEES LOCAL 786 RETIREMENT FUND |
| b | Name of plan sponsor | TRUSTEES OF LUMBER EMPLOYEES 786 RETIREMENT FUND |
| c | EIN-PN | 51-6067407-001 |
| a | Plan name | MACDERMID, INCORPORATED EMPLOYEES' PENSION PLAN |
| b | Name of plan sponsor | MACDERMID, INCORPORATED |
| c | EIN-PN | 06-0435750-004 |
| a | Plan name | MACQUARIE/DELAWARE-UNIT 3 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST |
| b | Name of plan sponsor | HALLIBURTON COMPANY |
| c | EIN-PN | 80-6176426-203 |
| a | Plan name | MAGNA U.S. CONSOLIDATED PENSION PLAN |
| b | Name of plan sponsor | MAGNA INTERNATIONAL OF AMERICA, INC. |
| c | EIN-PN | 98-0095901-005 |
| a | Plan name | MARATHON-LONDON INTERNATIONAL COLLECTIVE INVESTMENT FUND |
| b | Name of plan sponsor | RELIANCE TRUST COMPANY |
| c | EIN-PN | 82-6781933-017 |
| a | Plan name | MARTIN CURRIE EMERGING MARKETS CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 82-4565709-376 |
| a | Plan name | MASSMUTUAL BLUE CHIP GROWTH CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4065331-428 |
| a | Plan name | MASSMUTUAL GROWTH OPPORTUNITIES CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4065333-430 |
| a | Plan name | MASSMUTUAL SMALL CAP GROWTH EQUITY CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7271375-756 |
| a | Plan name | MASTER PENSION TRUST |
| b | Name of plan sponsor | YALE-NEW HAVEN HEALTH SYSTEM |
| c | EIN-PN | 47-2049187-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | MASTER TRUST BETWEEN PFIZER INC AND THE NORTHERN TRUST COMPANY | |
| b | Name of plan sponsor | PFIZER INC. | c EIN-PN 36-3146075-001 |
| a | Plan name | MASTER TRUST FOR CERTAIN TAX-QUALIFIED BECHTEL RETIREMENT PLANS | |
| b | Name of plan sponsor | BECHTEL GLOBAL CORPORATION | c EIN-PN 32-0467751-001 |
| a | Plan name | MASTER TRUST FOR JOINT ADMINISTRATION OF PENSION PLANS | |
| b | Name of plan sponsor | NORFOLK SOUTHERN CORPORATION | c EIN-PN 54-1199428-004 |
| a | Plan name | MEA-MESSA-MEA FINANCIAL SERVICES STAFF RETIREMENT PLAN & TRUST | |
| b | Name of plan sponsor | MICHIGAN EDUCATION ASSOCIATION | c EIN-PN 38-0827000-001 |
| a | Plan name | MEDSTAR HEALTH INC. AND AFFILIATES RETIREMENT MASTER TRUST | |
| b | Name of plan sponsor | MEDSTAR HEALTH, INC. | c EIN-PN 52-2087445-099 |
| a | Plan name | MEIJER 401K RETIREMENT PLAN MASTER TRUST | |
| b | Name of plan sponsor | MEIJER, INC. | c EIN-PN 38-1274536-203 |
| a | Plan name | MET WEST-UNIT 6 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-206 |
| a | Plan name | MIDAMERICAN ENERGY COMPANY RETIREMENT SAVINGS PLAN | |
| b | Name of plan sponsor | MIDAMERICAN ENERGY COMPANY | c EIN-PN 42-1425214-002 |
| a | Plan name | MIDCAP EQUITY INDEX STRATEGY | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-114 |
| a | Plan name | MODERATE MANAGED ACCOUNT PORTFOLIO | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 46-3768480-182 |
| a | Plan name | MOLSON COORS MASTER RETIREMENT TRUST | |
| b | Name of plan sponsor | MOLSON COORS BEVERAGE COMPANY USA LLC | c EIN-PN 04-3275867-001 |
| a | Plan name | MONDRIAN GROUP TRUST - MONDRIAN WAL-MART INTERNATIONAL EQUITY FUND | |
| b | Name of plan sponsor | MONDRIAN INVESTMENT PARTNERS LIMITED | c EIN-PN 27-6067164-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | MOTION PICTURE INDUSTRY INDIVIDUAL ACCOUNT PLAN | |
| b | Name of plan sponsor | BOARD OF DIRECTORS, MOTION PICTURE INDUSTRY PENSION PLANS | c EIN-PN 95-0030749-002 |
| a | Plan name | MOTION PICTURE INDUSTRY PENSION PLAN | |
| b | Name of plan sponsor | BOARD OF DIRECTORS, MOTION PICTURE INDUSTRY PENSION PLAN | c EIN-PN 95-1810805-001 |
| a | Plan name | NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST 401 K PLAN | |
| b | Name of plan sponsor | NATIONAL RAILROAD RETIREMENT | c EIN-PN 01-6186277-001 |
| a | Plan name | NATIONWIDE CHILDREN'S HOSPITAL PENSION PLAN | |
| b | Name of plan sponsor | NATIONWIDE CHILDREN'S HOSPITAL, INC. | c EIN-PN 31-4379441-001 |
| a | Plan name | NESTLE IN THE USA PENSION TRUST | |
| b | Name of plan sponsor | NESTLE USA, INC | c EIN-PN 36-7324184-101 |
| a | Plan name | NESTLE IN THE USA SAVINGS TRUST | |
| b | Name of plan sponsor | NESTLE USA, INC. SAVINGS PLAN ADMINISTRATION | c EIN-PN 95-1572209-001 |
| a | Plan name | NEW ENGLAND HEALTH CARE EMPLOYEES PENSION PLAN | |
| b | Name of plan sponsor | BOARD OF TRUSTEES-NEW ENGLAND HEALTH CARE EMPLOYEES PENSION FUND | c EIN-PN 22-3071963-001 |
| a | Plan name | NISSAN NORTH AMERICA, INC. EMPLOYEE RETIREMENT PLAN MASTER TRUST | |
| b | Name of plan sponsor | NISSAN NORTH AMERICA, INC. | c EIN-PN 33-6027727-001 |
| a | Plan name | NON-CONTRIBUTORY RETIREMENT PROGRAM FOR CERTAIN EMPL OF BCBSSC | |
| b | Name of plan sponsor | BLUE CROSS AND BLUE SHIELD OF SOUTH CAROLINA | c EIN-PN 57-0287419-001 |
| a | Plan name | NON-CONTRIBUTORY RETIREMENT PROGRAM FOR CERTAIN EMPLOYEES OF HAWAII MEDICAL SERVICE ASSOCIATION) | |
| b | Name of plan sponsor | HAWAII MEDICAL SERVICE ASSOCIATION | c EIN-PN 99-0040115-001 |
| a | Plan name | NON-U.S. EQUITY INDEX STRATEGY HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-117 |
| a | Plan name | NON-U.S. EQUITY STRATEGY HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-110 |

| Part II | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
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| | (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | |
| a | Plan name NORTHERN LIGHT HEALTH RETIREMENT PARTNERSHIP 403(B) PLAN | |
| b | Name of plan sponsor EASTERN MAINE HEALTHCARE SYSTEMS | c EIN-PN 01-0527066-002 |
| a | Plan name NORTHERN TRUST COLLECTIVE SHORT TERM GOVERNMENT BOND INDEX FUND - NON-LENDING | |
| b | Name of plan sponsor NORTHERN TRUST INVESTMENTS, INC. | c EIN-PN 45-6138589-092 |
| a | Plan name NORTHWESTERN MEMORIAL HOSPITAL EMPLOYEES' PENSION PLAN | |
| b | Name of plan sponsor NORTHWESTERN MEMORIAL HOSPITAL | c EIN-PN 37-0960170-001 |
| a | Plan name NOVARTIS CORPORATION DC MASTER TRUST | |
| b | Name of plan sponsor NOVARTIS CORPORATION | c EIN-PN 13-1834433-400 |
| a | Plan name NXP 401(K) RETIREMENT PLAN | |
| b | Name of plan sponsor NXP USA, INC. | c EIN-PN 20-0443182-001 |
| a | Plan name OPERATING ENGINEERS PENSION TRUST | |
| b | Name of plan sponsor BOARD OF TRUSTEES, OPERATING ENGINEERS PENSION TRUST | c EIN-PN 95-6032478-001 |
| a | Plan name PACTIV GENERAL EMPLOYEE BENEFIT TRUST | |
| b | Name of plan sponsor PACTIV, LLC | c EIN-PN 06-6534874-100 |
| a | Plan name PAUL WEISS RIFKIND WHARTON & GARRISON LLP PARTNERS' DEFINED BENEFIT PENSION PLAN | |
| b | Name of plan sponsor PAUL WEISS RIFKIND WHARTON & GARRISON LLP | c EIN-PN 13-1662105-005 |
| a | Plan name PENSION PLAN B FOR EMPLOYEES OF CONSUMERS ENERGY COMPANY | |
| b | Name of plan sponsor CONSUMERS ENERGY COMPANY | c EIN-PN 38-0442310-001 |
| a | Plan name PENSION PLAN FOR EMPLOYEES OF SOUTHERN NEW HAMPSHIRE MEDICAL CENTER | |
| b | Name of plan sponsor SOUTHERN NEW HAMPSHIRE MEDICAL CENTER | c EIN-PN 02-0483054-001 |
| a | Plan name PENSION PLAN FOR EMPLOYEES OF THE CHICAGO MERCANTILE EXCHANGE, INC. | |
| b | Name of plan sponsor CHICAGO MERCANTILE EXCHANGE, INC. | c EIN-PN 36-4340266-001 |
| a | Plan name PENSION PLAN FOR HOURLY PAID EMPLOYEES OF THE GALLO GLASS COMPANY | |
| b | Name of plan sponsor GALLO GLASS COMPANY | c EIN-PN 94-1384142-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | PENSION PLAN OF THE CHUBB CORPORATION | |
| b | Name of plan sponsor | CHUBB INA HOLDINGS INC. | c EIN-PN 13-2595722-003 |
| a | Plan name | PENSION PLANS MASTER TRUST FOR ALCOA USA CORP. | |
| b | Name of plan sponsor | ALCOA USA CORP. | c EIN-PN 37-1808900-101 |
| a | Plan name | PEPSICO, INC. DEFINED BENEFIT MASTER TRUST | |
| b | Name of plan sponsor | PEPSICO, INC. | c EIN-PN 41-2205169-120 |
| a | Plan name | PEPSICO, INC. DEFINED CONTRIBUTION PLANS MASTER TRUST | |
| b | Name of plan sponsor | PEPSICO, INC. | c EIN-PN 04-3483429-211 |
| a | Plan name | PFIZER SAVINGS PLAN | |
| b | Name of plan sponsor | PFIZER INC. | c EIN-PN 13-5315170-002 |
| a | Plan name | PFIZER SAVINGS PLAN FOR EMPLOYEES RESIDENT IN PUERTO RICO | |
| b | Name of plan sponsor | PBG PUERTO RICO LLC | c EIN-PN 66-0914590-002 |
| a | Plan name | PGIM HIGH YIELD BOND FUND CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7275331-001 |
| a | Plan name | PGIM QUANT SOLUTIONS COMMODITY STRATEGY FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7312985-001 |
| a | Plan name | PGIM SELECT REAL ESTATE FUND CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264543-706 |
| a | Plan name | PGIM STRATEGIC BOND FUND CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264542-705 |
| a | Plan name | PGIM TOTAL RETURN BOND FUND | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4097323-471 |
| a | Plan name | PHILIPS NORTH AMERICA MASTER RETIREMENT TRUST | |
| b | Name of plan sponsor | PHILIPS NORTH AMERICA LLC | c EIN-PN 13-6326118-999 |

| Part II | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
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| | (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | |
| a | Plan name PHILLIPS 66 RETIREMENT PLAN | |
| b | Name of plan sponsor PHILLIPS 66 COMPANY | c EIN-PN 37-1652702-001 |
| a | Plan name PHILLIPS 66 SAVINGS PLAN | |
| b | Name of plan sponsor PHILLIPS 66 COMPANY | c EIN-PN 37-1652702-002 |
| a | Plan name PIONEER BOND FUND TRUST | |
| b | Name of plan sponsor GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 81-5420248-291 |
| a | Plan name PIONEER EMERGING MARKETS EQUITY FOCUS PORTFOLIO | |
| b | Name of plan sponsor GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7275304-773 |
| a | Plan name PIONEER LARGE CAP GROWTH PORTFOLIO | |
| b | Name of plan sponsor GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 81-4278868-290 |
| a | Plan name PIONEER LARGE CAP VALUE PORTFOLIO | |
| b | Name of plan sponsor GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4065312-398 |
| a | Plan name PIONEER SELECT MID CAP GROWTH PORTFOLIO | |
| b | Name of plan sponsor GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4065311-397 |
| a | Plan name PNM RESOURCES, INC. MASTER TRUST | |
| b | Name of plan sponsor PNM RESOURCES, INC. | c EIN-PN 23-6679470-001 |
| a | Plan name POLEN-UNIT 38 | |
| b | Name of plan sponsor HALLIBURTON COMPANY | c EIN-PN 80-6176426-218 |
| a | Plan name PROCTER AND GAMBLE RETIREMENT MASTER TRUST | |
| b | Name of plan sponsor THE PROCTER AND GAMBLE COMPANY | c EIN-PN 36-3397446-099 |
| a | Plan name PROVIDER CARE GROUP 401K PLAN GROUP INVESTMENT TRUST | |
| b | Name of plan sponsor HCA PHYSICIAN SERVICES, INC. | c EIN-PN 81-6185541-001 |
| a | Plan name PZENA EMERGING MARKETS FOCUSED VALUE FUND GT | |
| b | Name of plan sponsor PZENA VALUE GROUP TRUST | c EIN-PN 46-7032749-001 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
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| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | |
| a Plan name | PZENA GLOBAL VALUE FUND | |
| b Name of plan sponsor | PZENA VALUE GROUP TRUST | c EIN-PN 20-6776299-001 |
| a Plan name | PZENA GLOBAL VALUE FUND GT | |
| b Name of plan sponsor | PZENA VALUE GROUP TRUST | c EIN-PN 46-7082076-001 |
| a Plan name | PZENA INTERNATIONAL VALUE FUND GT | |
| b Name of plan sponsor | PZENA VALUE GROUP TRUST | c EIN-PN 30-6246820-001 |
| a Plan name | PZENA-UNIT 5 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-205 |
| a Plan name | RBC EMERGING MARKETS EQUITY FOCUS CIT | |
| b Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4126291-593 |
| a Plan name | RESIDEO TECHNOLOGIES, INC. PENSION PLAN | |
| b Name of plan sponsor | RESIDEO TECHNOLOGIES, INC. | c EIN-PN 82-5318796-002 |
| a Plan name | RESTATED RETIREMENT PLAN FOR THE EMPLOYEES OF MILLER-DWAN MEDICAL CENTER | |
| b Name of plan sponsor | SMDC MEDICAL CENTER | c EIN-PN 41-1878730-001 |
| a Plan name | RETAIL CLERKS SPECIALTY STORES PENSION PLAN | |
| b Name of plan sponsor | RETAIL CLERKS SPECIALTY STORES PENSION SPECIALTY STORES PENSION FUND | c EIN-PN 94-6313558-001 |
| a Plan name | RETIREMENT PLAN FOR EMPLOYEES OF BEN E. KEITH COMPANY AND ITS AFFILIATES | |
| b Name of plan sponsor | BEN E. KEITH COMPANY | c EIN-PN 75-0372230-001 |
| a Plan name | RETIREMENT PLAN FOR EMPLOYEES OF S.C. JOHNSON & SO | |
| b Name of plan sponsor | S.C. JOHNSON & SON, INC. | c EIN-PN 39-0379990-002 |
| a Plan name | RETIREMENT PLAN FOR EMPLOYEES OF ST. MARY'S MEDICAL CENTER | |
| b Name of plan sponsor | ST. MARYS MEDICAL CENTER | c EIN-PN 55-0357050-001 |
| a Plan name | RETIREMENT PLAN FOR EMPLOYEES OF TEXAS SCOTTISH RITE HOSPITAL FOR CHILDREN | |
| b Name of plan sponsor | TEXAS SCOTTISH RITE HOSPITAL FOR CHILDREN | c EIN-PN 75-0818178-001 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|--|----------------------|--|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | |
| a | Plan name | ROYCE OPPORTUNITY CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7275309-773 |
| a | Plan name | ROYCE TOTAL RETURN CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7275330-001 |
| a | Plan name | RWJBARNABAS HEALTH RETIREMENT INCOME PLAN |
| b | Name of plan sponsor | BARNABAS HEALTH, INC. |
| c | EIN-PN | 22-2405279-001 |
| a | Plan name | S&P 500 INDEX STRATEGY HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST |
| b | Name of plan sponsor | HALLIBURTON COMPANY |
| c | EIN-PN | 80-6176426-106 |
| a | Plan name | S&P GLOBAL INC. SAVINGS PLAN COLLECTIVE INVESTMENT TRUST |
| b | Name of plan sponsor | S&P GLOBAL, INC. |
| c | EIN-PN | 26-0821335-101 |
| a | Plan name | SALT RIVER PROJECT EMPLOYEES' RETIREMENT PLAN |
| b | Name of plan sponsor | SALT RIVER VALLEY WATER USERS ASSOCIATION |
| c | EIN-PN | 86-0053220-335 |
| a | Plan name | SAMFORD UNIVERSITY PENSION PLAN |
| b | Name of plan sponsor | SAMFORD UNIVERSITY |
| c | EIN-PN | 63-0312914-004 |
| a | Plan name | SANDS-UNIT 4 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST |
| b | Name of plan sponsor | HALLIBURTON COMPANY |
| c | EIN-PN | 80-6176426-204 |
| a | Plan name | SCHRODER CORE BOND TRUST |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7312983-001 |
| a | Plan name | SCREEN ACTORS GUILD - PRODUCERS PENSION PLAN |
| b | Name of plan sponsor | BOARD OF TRUSTEES OF SCREEN ACTORS GUILD - PRODUCERS |
| c | EIN-PN | 95-2110997-001 |
| a | Plan name | SELECT SMALL CAP GROWTH EQUITY CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4065335-432 |
| a | Plan name | SEMPRA ENERGY SAVINGS MASTER TRUST |
| b | Name of plan sponsor | SEMPRA ENERGY |
| c | EIN-PN | 33-0732627-006 |

| Part II | Information on Participating Plans (to be completed by DFEs, other than DCGs) (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | |
|-------------------------------|--|--------------------------------|
| a Plan name | SFB LIFE INSURANCE CO RETIREMENT PLAN AND TRUST | |
| b Name of plan sponsor | SOUTHERN FARM BUREAU LIFE INSURANCE COMPANY | c EIN-PN 64-0283583-001 |
| a Plan name | SHORT DURATION FUND | |
| b Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 26-3783890-941 |
| a Plan name | SIERRA TEMPLETON INTERNATIONAL EQUITY TRUST | |
| b Name of plan sponsor | FIDUCIARY TRUST INTERNATIONAL OF THE SOUTH | c EIN-PN 51-6568908-001 |
| a Plan name | SKADDEN ARPS SLATE MEAGHER & FLOM RETIREMENT AND PENSION PLAN MASTER TRUST | |
| b Name of plan sponsor | SKADDEN ARPS SLATE MEAGHER & FLOM, LLP | c EIN-PN 13-1777230-010 |
| a Plan name | SMALL CAP EQUITY STRATEGY HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-111 |
| a Plan name | SMALL/MID CAP EQUITY INDEX STRATEGY | |
| b Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-135 |
| a Plan name | SNAP-ON TOOLS COLLECTIVE INVESTMENT TRUST | |
| b Name of plan sponsor | SNAP-ON INCORPORATED | c EIN-PN 36-3036794-201 |
| a Plan name | SONY CORPORATION OF AMERICA PENSION VALUE PLAN | |
| b Name of plan sponsor | SONY CORPORATION OF AMERICA | c EIN-PN 13-1914734-003 |
| a Plan name | SONY MUSIC ENTERTAINMENT PENSION PLAN | |
| b Name of plan sponsor | SONY MUSIC HOLDINGS DBA SONY MUSIC ENTERTAINMENT | c EIN-PN 13-3431958-005 |
| a Plan name | SONY USA 401(K) PLAN | |
| b Name of plan sponsor | SONY CORPORATION OF AMERICA | c EIN-PN 13-1914734-002 |
| a Plan name | SOUTHERN CALIFORNIA UFCW UNIONS AND FOOD EMPLOYERS JOINT PENSION TRUST FUND | |
| b Name of plan sponsor | BOARD OF TRUSTEES, SO CA UFCW UNIONS & FOOD EMPLOYERS | c EIN-PN 95-1939092-001 |
| a Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN 500 INDEX POOL | |
| b Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|---|----------------------|---|--------------------------------|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | | |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN COMMODITY INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-008 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN DEVELOPED INTERNATIONAL INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-006 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN EMERGING MARKETS INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-007 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN EXTENDED MARKET INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-010 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN GLOBAL EX US INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-005 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN INTERNATIONAL INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-011 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN LARGE CAP GROWTH INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-003 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN LARGE CAP VALUE INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-004 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN MID CAP INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-013 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN REAL ESTATE INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-012 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN SMALL CAP INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-009 |
| a | Plan name | SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN SMALL-MID CAP VALUE INDEX POOL | |
| b | Name of plan sponsor | GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-015 |

| Part II | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
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| | (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | |
| a | Plan name SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN TOTAL INTERNATIONAL INDEX POOL | |
| b | Name of plan sponsor GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-014 |
| a | Plan name SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN TOTAL MARKET INDEX POOL | |
| b | Name of plan sponsor GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-002 |
| a | Plan name SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN WORLD MINIMUM VOLATILITY INDEX POOL | |
| b | Name of plan sponsor GEODE CAPITAL MANAGEMENT TRUST COMPANY, LLC | c EIN-PN 82-6293122-016 |
| a | Plan name SPECTRUM HEALTH PENSION PLAN | |
| b | Name of plan sponsor SPECTRUM HEALTH SYSTEM | c EIN-PN 38-3382353-001 |
| a | Plan name ST. CLAIR HOSPITAL RETIREMENT PLAN | |
| b | Name of plan sponsor ST. CLAIR HOSPITAL | c EIN-PN 25-1010303-001 |
| a | Plan name STABLE VALUE POOL-UNIT 17 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor HALLIBURTON COMPANY | c EIN-PN 80-6176426-217 |
| a | Plan name STABLE VALUE STRATEGY HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST | |
| b | Name of plan sponsor HALLIBURTON COMPANY | c EIN-PN 80-6176426-101 |
| a | Plan name STE. MICHELLE WINE ESTATES LTD. RETIREMENT INCOME PLAN | |
| b | Name of plan sponsor STE. MICHELLE WINE ESTATES LTD. | c EIN-PN 91-0897041-001 |
| a | Plan name SUPERIOR OFFICER COUNCIL BALANCED FUND | |
| b | Name of plan sponsor GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4097316-464 |
| a | Plan name SUPERIOR OFFICER COUNCIL SENIOR BALANCED FUND | |
| b | Name of plan sponsor GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-4097317-465 |
| a | Plan name SWEDISH MATCH MASTER TRUST FOR DEFINED BENEFIT PLANS | |
| b | Name of plan sponsor SWEDISH MATCH NORTH AMERICA LLC | c EIN-PN 62-1257378-005 |
| a | Plan name SWISHER INTERNATIONAL INC MASTER RETIREMENT TRUST | |
| b | Name of plan sponsor SWISHER INTERNATIONAL INC | c EIN-PN 36-7045785-001 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|---|----------------------|--|--------------------------------|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | | |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2005 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264569-733 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2010 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264570-734 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2015 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271341-735 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2020 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271342-736 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2025 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271343-737 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2030 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271344-738 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2035 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271345-739 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2040 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271346-740 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2045 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271347-741 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2050 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271348-742 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2055 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271349-743 |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2060 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271350-744 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | T. ROWE PRICE RETIREMENT BLEND SELECT TRUST 2065 | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7271351-745 |
| a | Plan name | TEAMSTERS LOCAL 639-EMPLOYERS PENSION TRUST FUND | |
| b | Name of plan sponsor | JOINT BOARD OF TRUSTEES OF TEAMSTERS LOCAL 639-EMPLOYERS PENSION TRUST | c EIN-PN 53-0237142-001 |
| a | Plan name | TEGNA RETIREMENT PLAN | |
| b | Name of plan sponsor | TEGNA, INC. | c EIN-PN 16-0442930-009 |
| a | Plan name | TEMPLETON FOREIGN GG TRUST CIT | |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 82-2515856-343 |
| a | Plan name | TEMPLETON INTERNATIONAL EMERGING MARKETS FUND | |
| b | Name of plan sponsor | FIDUCIARY TRUST INTERNATIONAL OF THE SOUTH | c EIN-PN 59-6935515-001 |
| a | Plan name | TEMPLETON INTERNATIONAL EQUITY FUND | |
| b | Name of plan sponsor | FIDUCIARY TRUST INTERNATIONAL OF THE SOUTH | c EIN-PN 59-6945808-001 |
| a | Plan name | TEMPLETON INTERNATIONAL SMALLER COMPANIES FUND | |
| b | Name of plan sponsor | FIDUCIARY TRUST INTERNATIONAL OF THE SOUTH | c EIN-PN 59-6935512-001 |
| a | Plan name | THE ART INSTITUTE OF CHICAGO PENSION PLAN | |
| b | Name of plan sponsor | THE ART INSTITUTE OF CHICAGO | c EIN-PN 36-2167725-001 |
| a | Plan name | THE CHILDREN'S HOSPITAL OF PHILADELPHIA MASTER TRUST | |
| b | Name of plan sponsor | THE CHILDREN'S HOSPITAL OF PHILADELPHIA | c EIN-PN 23-1352166-099 |
| a | Plan name | THE COCA-COLA COMPANY MASTER RETIREMENT TRUST | |
| b | Name of plan sponsor | THE COCA-COLA COMPANY | c EIN-PN 58-0628465-003 |
| a | Plan name | THE COCA-COLA COMPANY MASTER TRUST FOR 401(K) | |
| b | Name of plan sponsor | THE COCA-COLA COMPANY | c EIN-PN 87-6272550-004 |
| a | Plan name | THE COLLECTIVE DOUBLELINE CORE PLUS FIXED INCOME FUND, A FUND OF THE NORTHERN TRUST CO. SUB-ADVISED COLLECTIVE FUNDS | |
| b | Name of plan sponsor | RELIANCE TRUST COMPANY | c EIN-PN 90-6169542-010 |

| Part II | | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|--|----------------------|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | | |
| a | Plan name | THE COLLECTIVE DOUBLELINE SECURITIZED INCOME FUND, A FUND OF THE NORTHERN TRUST CO SUB-ADVISED COLLECTIVE FUNDS TRUST | |
| b | Name of plan sponsor | THE NORTHERN TRUST COMPANY | c EIN-PN 90-6169542-016 |
| a | Plan name | THE COLLECTIVE DOUBLELINE TOTAL RETURN BOND FUND, A FUND OF THE NORTHERN TRUST CO. SUB-ADVISED COLLECTIVE FUNDS | |
| b | Name of plan sponsor | RELIANCE TRUST COMPANY | c EIN-PN 90-6169542-009 |
| a | Plan name | THE COLLECTIVE LSV EMERGING MARKETS EQUITY FUND, A FUND OF THE NORTHERN TRUST COMPANY SUB-ADVISED COLLECTIVE FUNDS TRUST | |
| b | Name of plan sponsor | RELIANCE TRUST COMPANY | c EIN-PN 90-6169542-011 |
| a | Plan name | THE COLLECTIVE LSV INTERNATIONAL (ACWI EX US) VALUE EQUITY FUND, A FUND OF THE NORTHERN TRUST COMPANY SUB-ADVISED COLLECTIVE FUNDS TRUST | |
| b | Name of plan sponsor | RELIANCE TRUST COMPANY | c EIN-PN 90-6169542-004 |
| a | Plan name | THE COLLECTIVE LSV U.S. LARGE CAP VALUE EQUITY FUND, A FUND OF THE NORTHERN TRUST COMPANY SUB-ADVISED COLLECTIVE FUNDS TRUST | |
| b | Name of plan sponsor | RELIANCE TRUST COMPANY | c EIN-PN 90-6169542-001 |
| a | Plan name | THE COLLECTIVE LSV U.S. SMALL CAP VALUE EQUITY FUND, A FUND OF THE NORTHERN TRUST COMPANY SUB-ADVISED COLLECTIVE FUNDS TRUST | |
| b | Name of plan sponsor | RELIANCE TRUST COMPANY | c EIN-PN 90-6169542-002 |
| a | Plan name | THE COLLECTIVE MONDRIAN INTERNATIONAL EQUITY FUND, A FUND OF THE NORTHERN TRUST COMPANY SUB-ADVISED COLLECTIVE FUNDS TRUST | |
| b | Name of plan sponsor | THE NORTHERN TRUST COMPANY | c EIN-PN 90-6169542-008 |
| a | Plan name | THE COLLECTIVE PZENA INTERNATIONAL EXPANDED VALUE ACWI (EX U.S.) FUND OF THE NORTHERN TRUST COMPANY SUB-ADVISED COLLECTIVE FUNDS TRUST | |
| b | Name of plan sponsor | RELIANCE TRUST COMPANY | c EIN-PN 90-6169542-007 |
| a | Plan name | THE CONSOL ENERGY INC. EMPLOYEE RETIREMENT PLAN | |
| b | Name of plan sponsor | CONSOL ENERGY INC. | c EIN-PN 82-1954058-001 |
| a | Plan name | THE CULTURAL INSTITUTIONS PENSION PLAN | |
| b | Name of plan sponsor | THE CULTURAL INSTITUTIONS RETIREMENT SYSTEM | c EIN-PN 11-2001170-001 |
| a | Plan name | THE DAYTON POWER AND LIGHT CO. RETIREMENT INC PLAN | |
| b | Name of plan sponsor | THE DAYTON POWER AND LIGHT COMPANY | c EIN-PN 31-0258470-001 |
| a | Plan name | THE GLENMEDE CORPORATION RETIREMENT PLAN | |
| b | Name of plan sponsor | THE GLENMEDE CORPORATION | c EIN-PN 23-2228772-001 |

| Part II | Information on Participating Plans (to be completed by DFEs, other than DCGs) | |
|----------|--|--------------------------------|
| | (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | |
| a | Plan name THE GLOBEFLEX INTERNATIONAL ALL CAP COLLECTIVE FUND OF THE NORTHERN TRUST CO SUB-ADVISED COLLECTIVE FUNDS TRUST | |
| b | Name of plan sponsor THE NORTHERN TRUST COMPANY | c EIN-PN 90-6169542-003 |
| a | Plan name THE HERSHEY COMPANY MASTER RETIREMENT TRUST | |
| b | Name of plan sponsor THE HERSHEY COMPANY | c EIN-PN 13-2986397-100 |
| a | Plan name THE KROGER CO. DEFINED CONTRIBUTION PLAN MASTER TRUST | |
| b | Name of plan sponsor THE KROGER CO. | c EIN-PN 31-0345740-009 |
| a | Plan name THE MILLIKEN RETIREMENT PLAN | |
| b | Name of plan sponsor MILLIKEN & COMPANY | c EIN-PN 51-0105342-335 |
| a | Plan name THE MUSEUM OF MODERN ART PENSION PLAN | |
| b | Name of plan sponsor THE MUSEUM OF MODERN ART | c EIN-PN 13-1624100-001 |
| a | Plan name THE NEMOURS FOUNDATION PENSION PLAN | |
| b | Name of plan sponsor THE NEMOURS FOUNDATION | c EIN-PN 59-0634433-001 |
| a | Plan name THE OLIN PENSION PLANS MASTER RETIREMENT TRUST | |
| b | Name of plan sponsor OLIN CORPORATION | c EIN-PN 06-1230425-151 |
| a | Plan name THE PRESIMA GLOBAL REAL ESTATE CONCENTRATED COLLECTIVE FUND OF THE NORTHERN TRUST COMPANY SUB-ADVISED COLLECTIVE FUNDS TRUST | |
| b | Name of plan sponsor RELIANCE TRUST COMPANY | c EIN-PN 90-6169542-006 |
| a | Plan name THE RETIREMENT BENEFIT PLAN FOR EMPLOYEES OF AES NY, L.L.C. | |
| b | Name of plan sponsor THE AES CORPORATION | c EIN-PN 54-1163725-001 |
| a | Plan name THE RETIREMENT PLAN OF CENTRAL GEORGIA HEALTH SYSTEMS, INC. | |
| b | Name of plan sponsor NAVICENT HEALTH, INC. | c EIN-PN 58-2149127-001 |
| a | Plan name THE SCRIPPS RESEARCH INSTITUTE CASH BALANCE RETIREMENT PLAN | |
| b | Name of plan sponsor THE SCRIPPS RESEARCH INSTITUTE | c EIN-PN 33-0435954-001 |
| a | Plan name THE SHERWIN-WILLIAMS COMPANY MASTER TRUST | |
| b | Name of plan sponsor THE SHERWIN-WILLIAMS COMPANY | c EIN-PN 34-0526850-029 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|--|--|--------------------------------|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | |
| a Plan name | THE SHIMON BEN JOSEPH FOUNDATION 401(K) PLAN | |
| b Name of plan sponsor | SHIMON BEN JOSEPH FOUNDATION | c EIN-PN 33-1114104-002 |
| a Plan name | THE WESTERN UNION COMPANY INCENTIVE SAVINGS PLAN | |
| b Name of plan sponsor | THE WESTERN UNION COMPANY | c EIN-PN 20-4531180-001 |
| a Plan name | THE WHITING-TURNER CONTRACTING COMPANY 401(K) PLAN | |
| b Name of plan sponsor | THE WHITING-TURNER CONTRACTING COMPANY | c EIN-PN 92-3641585-003 |
| a Plan name | TIMESQUARE-UNIT 37 | |
| b Name of plan sponsor | HALLIBURTON COMPANY | c EIN-PN 80-6176426-219 |
| a Plan name | TRANSCANADA USA SERVICES INC. RETIREMENT PLAN | |
| b Name of plan sponsor | TRANSCANADA USA SERVICES INC. | c EIN-PN 98-0460263-005 |
| a Plan name | TRIHEALTH, INC. RETIREMENT PLAN | |
| b Name of plan sponsor | TRIHEALTH, INC. | c EIN-PN 31-1438846-001 |
| a Plan name | TRINITY STREET INTERNATIONAL EQUITY COLLECTIVE INVESTMENT TRUST FUND | |
| b Name of plan sponsor | RELIANCE TRUST COMPANY | c EIN-PN 87-4828602-036 |
| a Plan name | U. S. RETIREMENT PLAN | |
| b Name of plan sponsor | MARS, INCORPORATED | c EIN-PN 22-1594774-001 |
| a Plan name | UBS 401 (K) PLAN TRUST | |
| b Name of plan sponsor | UBS AG | c EIN-PN 98-0186363-002 |
| a Plan name | UBS FINANCIAL SERVICES INC OF PUERTO RICO SAVINGS PLUS PLAN TRUST | |
| b Name of plan sponsor | UBS FINANCIAL SERVICES INC. | c EIN-PN 13-2638166-003 |
| a Plan name | UBS FINANCIAL SERVICES INC. PENSION PLAN | |
| b Name of plan sponsor | UBS FINANCIAL SERVICES INC. | c EIN-PN 13-2638166-001 |
| a Plan name | UFCW - NORTHERN CALIFORNIA EMPLOYERS JOINT PENSION | |
| b Name of plan sponsor | BOARD OF TRUSTEES, UFCW NO. CALIFORNIA EMPLOYERS JOINT PENSION PLAN | c EIN-PN 94-6313554-001 |

| Part II | Information on Participating Plans (to be completed by DFEs, other than DCGs) (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | |
|-------------------------------|--|--------------------------------|
| a Plan name | UFCW NO. CALIFORNIA FOOD EMPLOYERS JOINT INDIVIDUAL ACCOUNT PLAN | |
| b Name of plan sponsor | BOARD OF TRUSTEES UFCW NO. CALIFORNIA FOOD EMPLOYERS JOINT IND ACCOUNT | c EIN-PN 68-0161773-002 |
| a Plan name | UFCW PHARMACISTS, CLERKS AND DRUG EMPLOYERS PENSION TRUST | |
| b Name of plan sponsor | UFCW PHARMACISTS, CLERKS AND DRUG EMPLOYER'S PENSION | c EIN-PN 94-2518312-001 |
| a Plan name | UNITED MINE WORKERS OF AMERICA 1974 PENSION TRUST EMPLOYEES PENSION PLAN | |
| b Name of plan sponsor | UNITED MINE WORKERS OF AMERICA 1974 PENSION TRUST | c EIN-PN 52-6150908-001 |
| a Plan name | UNITED MINE WORKERS OF AMERICA CASH DEFERRED SAVINGS PLAN OF 1988 | |
| b Name of plan sponsor | BOARD OF TRUSTEES - UMWVA CASH DEFERRED SAVINGS PLAN OF 1988 | c EIN-PN 52-6377947-003 |
| a Plan name | UNITED STATES STEEL CORPORATION PLAN FOR EMPLOYEE PENSION BENEFITS (REVISION OF 2003) | |
| b Name of plan sponsor | UNITED STATES STEEL CORPORATION AND AFFILIATED COS. | c EIN-PN 25-1897152-001 |
| a Plan name | US CORE PLUS ACTIVE FIXED INCOME FUND | |
| b Name of plan sponsor | THE COCA-COLA COMPANY | c EIN-PN 87-6272550-003 |
| a Plan name | US LARGE CAP ACTIVE EQUITY FUND | |
| b Name of plan sponsor | THE COCA-COLA COMPANY MASTER TRUST FOR 401(K) PLANS | c EIN-PN 87-6272550-001 |
| a Plan name | US LARGE CAP STRUCTURED INDEX TRUST | |
| b Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC | c EIN-PN 38-7264567-732 |
| a Plan name | US SMALL MID CAP ACTIVE EQUITY FUND | |
| b Name of plan sponsor | THE COCA-COLA COMPANY MASTER TRUST FOR 401(K) PLANS | c EIN-PN 87-6272550-002 |
| a Plan name | VALLEY VINTNERS, INC. GALLO WINE COMPANY UNION SALES RETIREMENT INCOME PLAN | |
| b Name of plan sponsor | GALLO SALES COMPANY, INC. | c EIN-PN 94-1231811-004 |
| a Plan name | VALVOLINE LLC DEFINED BENEFIT TRUST | |
| b Name of plan sponsor | VALVOLINE LLC | c EIN-PN 61-1782197-004 |
| a Plan name | VESUVIUS USA CORPORATION PENSION PLAN | |
| b Name of plan sponsor | VESUVIUS USA CORPORATION | c EIN-PN 37-0893657-001 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|---|----------------------|---|
| <small>(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)</small> | | |
| a | Plan name | VICTORY CORE PLUS INTERMEDIATE BOND CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7304136-001 |
| a | Plan name | VISTEON PENSION PLAN |
| b | Name of plan sponsor | VISTEON CORPORATION |
| c | EIN-PN | 38-3519512-003 |
| a | Plan name | VISTRA ENERGY RETIREMENT PLAN |
| b | Name of plan sponsor | VISTRA OPERATIONS COMPANY LLC |
| c | EIN-PN | 36-4833461-002 |
| a | Plan name | VOYA ALPHA+ INTERNATIONAL EQUITY TRUST FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7289826-001 |
| a | Plan name | VOYA ALPHA+ LARGE CAP TRUST FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7289825-001 |
| a | Plan name | VOYA ALPHA+ SMID CAP TRUST FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7289827-001 |
| a | Plan name | VOYA LIMITED DURATION INCOME TRUST FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7289828-001 |
| a | Plan name | W.R. GRACE & CO. MASTER RETIREMENT TRUST |
| b | Name of plan sponsor | W. R. GRACE & CO. |
| c | EIN-PN | 36-6807393-101 |
| a | Plan name | WAKEFERN PENSION PLAN |
| b | Name of plan sponsor | WAKEFERN FOOD CORP. |
| c | EIN-PN | 22-1434516-001 |
| a | Plan name | WALMART 401(K) PLAN |
| b | Name of plan sponsor | WALMART INC. |
| c | EIN-PN | 71-0415188-003 |
| a | Plan name | WASTE ISOLATION PILOT PLANT PENSION PLAN |
| b | Name of plan sponsor | NUCLEAR WASTE PARTNERSHIP LLC |
| c | EIN-PN | 45-5197409-001 |
| a | Plan name | WELLS CAP-UNIT 16 HALLIBURTON COMPANY EMPLOYEE BENEFIT MASTER TRUST |
| b | Name of plan sponsor | HALLIBURTON COMPANY |
| c | EIN-PN | 80-6176426-216 |

| Part II Information on Participating Plans (to be completed by DFEs, other than DCGs) | | |
|--|----------------------|--|
| (Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.) | | |
| a | Plan name | WELLSPAN HEALTH SYSTEM PENSION PLAN |
| b | Name of plan sponsor | WELLSPAN HEALTH |
| c | EIN-PN | 22-2517863-001 |
| a | Plan name | WESTERN ASSET CORE BOND CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 82-4408926-373 |
| a | Plan name | WESTERN ASSET CORE PLUS BOND CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 82-4391232-374 |
| a | Plan name | WESTERN UNION PENSION PLAN |
| b | Name of plan sponsor | THE WESTERN UNION COMPANY |
| c | EIN-PN | 20-4531180-002 |
| a | Plan name | WESTROCK COMPANY MASTER RETIREMENT TRUST |
| b | Name of plan sponsor | WESTROCK COMPANY |
| c | EIN-PN | 47-3335141-499 |
| a | Plan name | WHITTAKER CORPORATION EMPLOYEES' PENSION PLAN |
| b | Name of plan sponsor | WHITTAKER CORPORATION, A DELAWARE CORPORATION |
| c | EIN-PN | 95-4033076-001 |
| a | Plan name | WILLIAM BEAUMONT HOSPITAL EMPLOYEES' RETIREMENT PLAN |
| b | Name of plan sponsor | WILLIAM BEAUMONT HOSPITAL |
| c | EIN-PN | 38-1459362-001 |
| a | Plan name | WILLIS NORTH AMERICA INC. PENSION PLAN |
| b | Name of plan sponsor | WILLIS NORTH AMERICA INC. |
| c | EIN-PN | 13-5654526-001 |
| a | Plan name | WILLIS TOWERS WATSON PENSION PLAN FOR U.S. EMPLOYEES |
| b | Name of plan sponsor | WILLIS TOWERS WATSON US LLC |
| c | EIN-PN | 53-0181291-004 |
| a | Plan name | WILLIS TOWERS WATSON SAVINGS PLAN TRUST |
| b | Name of plan sponsor | WILLIS TOWERS WATSON US LLC |
| c | EIN-PN | 53-0181291-007 |
| a | Plan name | WILMINGTON TRUST FRANKLIN REAL ESTATE SECURITIES CIT |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-4097319-467 |
| a | Plan name | WILSHIRE MULTI-MANAGER CORE PLUS FIXED INCOME FUND |
| b | Name of plan sponsor | GREAT GRAY TRUST COMPANY, LLC |
| c | EIN-PN | 38-7275322-001 |

| | | |
|--|--|---|
| SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small> | Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500. | OMB No. 1210-0110 2023 This Form is Open to Public Inspection |
|--|--|---|

| | |
|--|--|
| For calendar plan year 2023 or fiscal plan year beginning 08/01/2023 and ending 07/31/2024 | |
| A Name of plan NORTHERN TRUST COLLECTIVE GOVERNMENT SHORT TERM INVESTMENT FUND | B Three-digit plan number (PN) ▶ 068 |
| C Plan sponsor's name as shown on line 2a of Form 5500 NORTHERN TRUST INVESTMENTS, INC. | D Employer Identification Number (EIN) 45-6138589 |

| | |
|---------------|--------------------------------------|
| Part I | Asset and Liability Statement |
|---------------|--------------------------------------|

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| | | (a) Beginning of Year | (b) End of Year |
|--|-----------------|-----------------------|-----------------|
| a Total noninterest-bearing cash | 1a | 138632344 | 163897847 |
| b Receivables (less allowance for doubtful accounts): | | | |
| (1) Employer contributions | 1b(1) | | |
| (2) Participant contributions | 1b(2) | | |
| (3) Other | 1b(3) | 91032993 | 42778359 |
| c General investments: | | | |
| (1) Interest-bearing cash (include money market accounts & certificates of deposit) | 1c(1) | | |
| (2) U.S. Government securities | 1c(2) | 4694504506 | 12608361372 |
| (3) Corporate debt instruments (other than employer securities): | | | |
| (A) Preferred | 1c(3)(A) | | |
| (B) All other | 1c(3)(B) | | |
| (4) Corporate stocks (other than employer securities): | | | |
| (A) Preferred | 1c(4)(A) | | |
| (B) Common | 1c(4)(B) | | |
| (5) Partnership/joint venture interests | 1c(5) | | |
| (6) Real estate (other than employer real property) | 1c(6) | | |
| (7) Loans (other than to participants) | 1c(7) | | |
| (8) Participant loans | 1c(8) | | |
| (9) Value of interest in common/collective trusts | 1c(9) | | |
| (10) Value of interest in pooled separate accounts | 1c(10) | | |
| (11) Value of interest in master trust investment accounts | 1c(11) | | |
| (12) Value of interest in 103-12 investment entities | 1c(12) | | |
| (13) Value of interest in registered investment companies (e.g., mutual funds) | 1c(13) | | |
| (14) Value of funds held in insurance company general account (unallocated contracts) | 1c(14) | | |
| (15) Other | 1c(15) | 14087000000 | 9374000000 |

| 1d Employer-related investments: | | (a) Beginning of Year | (b) End of Year |
|---|--------------|------------------------------|------------------------|
| (1) Employer securities | 1d(1) | | |
| (2) Employer real property | 1d(2) | | |
| e Buildings and other property used in plan operation | 1e | | |
| f Total assets (add all amounts in lines 1a through 1e) | 1f | 19011169843 | 22189037578 |
| Liabilities | | | |
| g Benefit claims payable | 1g | | |
| h Operating payables | 1h | | |
| i Acquisition indebtedness | 1i | | |
| j Other liabilities | 1j | 82288585 | 313913925 |
| k Total liabilities (add all amounts in lines 1g through 1j) | 1k | 82288585 | 313913925 |
| Net Assets | | | |
| l Net assets (subtract line 1k from line 1f) | 1l | 18928881258 | 21875123653 |

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

| Income | | (a) Amount | (b) Total |
|--|-----------------|-------------------|------------------|
| a Contributions: | | | |
| (1) Received or receivable in cash from: (A) Employers | 2a(1)(A) | | |
| (B) Participants | 2a(1)(B) | | |
| (C) Others (including rollovers) | 2a(1)(C) | | |
| (2) Noncash contributions | 2a(2) | | |
| (3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2) | 2a(3) | | 0 |
| b Earnings on investments: | | | |
| (1) Interest: | | | |
| (A) Interest-bearing cash (including money market accounts and certificates of deposit) | 2b(1)(A) | 7918591 | |
| (B) U.S. Government securities | 2b(1)(B) | 363552699 | |
| (C) Corporate debt instruments | 2b(1)(C) | | |
| (D) Loans (other than to participants) | 2b(1)(D) | | |
| (E) Participant loans | 2b(1)(E) | | |
| (F) Other | 2b(1)(F) | 711206037 | |
| (G) Total interest. Add lines 2b(1)(A) through (F) | 2b(1)(G) | | 1082677327 |
| (2) Dividends: | | | |
| (A) Preferred stock | 2b(2)(A) | | |
| (B) Common stock | 2b(2)(B) | | |
| (C) Registered investment company shares (e.g. mutual funds) | 2b(2)(C) | | |
| (D) Total dividends. Add lines 2b(2)(A) , (B) , and (C) | 2b(2)(D) | | 0 |
| (3) Rents | 2b(3) | | |
| (4) Net gain (loss) on sale of assets: | | | |
| (A) Aggregate proceeds | 2b(4)(A) | 3868624727 | |
| (B) Aggregate carrying amount (see instructions) | 2b(4)(B) | 3868027165 | |
| (C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result | 2b(4)(C) | | 597562 |
| (5) Unrealized appreciation (depreciation) of assets: | | | |
| (A) Real estate | 2b(5)(A) | | |
| (B) Other | 2b(5)(B) | | |
| (C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B) | 2b(5)(C) | | 0 |

| | | (a) Amount | (b) Total |
|--|---------------|------------|------------|
| (6) Net investment gain (loss) from common/collective trusts..... | 2b(6) | | |
| (7) Net investment gain (loss) from pooled separate accounts..... | 2b(7) | | |
| (8) Net investment gain (loss) from master trust investment accounts..... | 2b(8) | | |
| (9) Net investment gain (loss) from 103-12 investment entities..... | 2b(9) | | |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)..... | 2b(10) | | |
| c Other income..... | 2c | | |
| d Total income. Add all income amounts in column (b) and enter total..... | 2d | | 1083274889 |

Expenses

| | | | |
|--|---------------|---------|---------|
| e Benefit payment and payments to provide benefits: | | | |
| (1) Directly to participants or beneficiaries, including direct rollovers..... | 2e(1) | | |
| (2) To insurance carriers for the provision of benefits..... | 2e(2) | | |
| (3) Other..... | 2e(3) | | |
| (4) Total benefit payments. Add lines 2e(1) through (3) | 2e(4) | | 0 |
| f Corrective distributions (see instructions)..... | 2f | | |
| g Certain deemed distributions of participant loans (see instructions)..... | 2g | | |
| h Interest expense..... | 2h | | |
| i Administrative expenses: | | | |
| (1) Salaries and allowances..... | 2i(1) | | |
| (2) Contract administrator fees..... | 2i(2) | | |
| (3) Recordkeeping fees..... | 2i(3) | | |
| (4) IQPA audit fees..... | 2i(4) | 22150 | |
| (5) Investment advisory and investment management fees..... | 2i(5) | | |
| (6) Bank or trust company trustee/custodial fees..... | 2i(6) | 1904684 | |
| (7) Actuarial fees..... | 2i(7) | | |
| (8) Legal fees..... | 2i(8) | | |
| (9) Valuation/appraisal fees..... | 2i(9) | | |
| (10) Other trustee fees and expenses..... | 2i(10) | | |
| (11) Other expenses..... | 2i(11) | 1641 | |
| (12) Total administrative expenses. Add lines 2i(1) through (11) | 2i(12) | | 1928475 |
| j Total expenses. Add all expense amounts in column (b) and enter total..... | 2j | | 1928475 |

Net Income and Reconciliation

| | | | |
|---|--------------|--|--------------|
| k Net income (loss). Subtract line 2j from line 2d | 2k | | 1081346414 |
| l Transfers of assets: | | | |
| (1) To this plan..... | 2l(1) | | 210751316118 |
| (2) From this plan..... | 2l(2) | | 208886420137 |

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) Unmodified (2) Qualified (3) Disclaimer (4) Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) DOL Regulation 2520.103-8 (2) DOL Regulation 2520.103-12(d) (3) neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name:

(2) EIN:

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) This form is filed for a CCT, PSA, DCG or MTIA. (2) It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

| | Yes | No | Amount |
|---|-----|----|--------|
| a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) | | | |
| b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)..... | | | |
| c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) | | | |
| d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)..... | | | |
| e Was this plan covered by a fidelity bond?..... | | | |
| f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | |
| g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? | | | |
| h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?..... | | | |
| i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)..... | | | |
| j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)..... | | | |
| k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | | |
| l Has the plan failed to provide any benefit when due under the plan? | | | |
| m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)..... | | | |
| n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. | | | |

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... Yes No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| 5b(1) Name of plan(s) | 5b(2) EIN(s) | 5b(3) PN(s) |
|------------------------------|---------------------|--------------------|
| | | |
| | | |
| | | |
| | | |

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) Yes No Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.