

<p>Form 5500</p> <p>Department of the Treasury Internal Revenue Service</p> <hr/> <p>Department of Labor Employee Benefits Security Administration</p> <hr/> <p>Pension Benefit Guaranty Corporation</p>	<p>Annual Return/Report of Employee Benefit Plan</p> <p>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).</p> <p>▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	<p>OMB Nos. 1210-0110 1210-0089</p> <hr/> <p style="font-size: 24pt; font-weight: bold;">2024</p> <hr/> <p>This Form is Open to Public Inspection</p>
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Part I Annual Report Identification Information
 For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024

A This return/report is for: a multiemployer plan a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.)

a single-employer plan a DFE (specify) _____

B This return/report is: the first return/report the final return/report

an amended return/report a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. ▶

D Check box if filing under: Form 5558 automatic extension the DFVC program

special extension (enter description)

E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ▶

Part II Basic Plan Information—enter all requested information

<p>1a Name of plan <u>THE ROCKEFELLER UNIVERSITY RETIREMENT PLAN</u></p>	<p>1b Three-digit plan number (PN) ▶ <u>001</u></p>
<p>2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>THE ROCKEFELLER UNIVERSITY</u></p> <p><u>HUMAN RESOURCES</u> <u>1230 YORK AVENUE</u> <u>NEW YORK, NY 10065</u></p> <p style="text-align: right;"><u>1230 YORK AVENUE</u> <u>NEW YORK, NY 10065</u></p>	<p>1c Effective date of plan <u>07/01/1924</u></p> <p>2b Employer Identification Number (EIN) <u>13-1624158</u></p> <p>2c Plan Sponsor's telephone number <u>212-327-8300</u></p> <p>2d Business code (see instructions) <u>611000</u></p>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/13/2025	VIRGINIA HUFFMAN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/13/2025	VIRGINIA HUFFMAN
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor	3b Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN	
	4d PN	
5 Total number of participants at the beginning of the plan year	5	4281
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g(1) Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) g(2) Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6a(1)	1353
	6a(2)	1313
	6b	0
	6c	2881
	6d	4194
	6e	34
	6f	4228
	6g(1)	4224
6g(2)	4216	
6h	0	
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2L

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)	9b Plan benefit arrangement (check all that apply)
(1) <input checked="" type="checkbox"/> Insurance	(1) <input checked="" type="checkbox"/> Insurance
(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts	(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts
(3) <input type="checkbox"/> Trust	(3) <input type="checkbox"/> Trust
(4) <input type="checkbox"/> General assets of the sponsor	(4) <input type="checkbox"/> General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules	b General Schedules
(1) <input type="checkbox"/> R (Retirement Plan Information)	(1) <input checked="" type="checkbox"/> H (Financial Information)
(2) <input type="checkbox"/> MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary	(2) <input type="checkbox"/> I (Financial Information – Small Plan)
(3) <input type="checkbox"/> SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	(3) <input checked="" type="checkbox"/> A (Insurance Information) – Number Attached <u>1</u>
(4) <input type="checkbox"/> DCG (Individual Plan Information) – Number Attached _____	(4) <input checked="" type="checkbox"/> C (Service Provider Information)
(5) <input type="checkbox"/> MEP (Multiple-Employer Retirement Plan Information)	(5) <input checked="" type="checkbox"/> D (DFE/Participating Plan Information)
	(6) <input type="checkbox"/> G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) Yes No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) Yes No

11c Enter the Receipt Confirmation Code for the 2024 Form M-1 annual report. If the plan was not required to file the 2024 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

<p>SCHEDULE A (Form 5500)</p> <p>Department of the Treasury Internal Revenue Service</p> <hr/> <p>Department of Labor Employee Benefits Security Administration</p> <hr/> <p>Pension Benefit Guaranty Corporation</p>	<p>Insurance Information</p> <p>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</p> <p>▶ File as an attachment to Form 5500.</p> <p>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</p>	<p>OMB No. 1210-0110</p> <hr/> <p>2024</p> <hr/> <p>This Form is Open to Public Inspection</p>
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For calendar plan year 2024 or fiscal plan year beginning **01/01/2024** and ending **12/31/2024**

<p>A Name of plan THE ROCKEFELLER UNIVERSITY RETIREMENT PLAN</p>	<p>B Three-digit plan number (PN) ▶</p>	<p>001</p>
<p>C Plan sponsor's name as shown on line 2a of Form 5500 THE ROCKEFELLER UNIVERSITY</p>	<p>D Employer Identification Number (EIN) 13-1624158</p>	

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
TIAA-CREF

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
13-1624203	69345	103430	4242	01/01/2024	12/31/2024

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

<p>(a) Total amount of commissions paid</p> <p style="text-align: center;">0</p>	<p>(b) Total amount of fees paid</p> <p style="text-align: center;">0</p>
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3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II	Investment and Annuity Contract Information	
	Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.	
4	Current value of plan's interest under this contract in the general account at year end	4 237722842
5	Current value of plan's interest under this contract in separate accounts at year end.....	5 384559559
6	Contracts With Allocated Funds:	
a	State the basis of premium rates ▶	
b	Premiums paid to carrier	6b
c	Premiums due but unpaid at the end of the year	6c
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d
e	Type of contract: (1) <input type="checkbox"/> individual policies (2) <input type="checkbox"/> group deferred annuity (3) <input type="checkbox"/> other (specify) ▶	
f	If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ <input type="checkbox"/>	
7	Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)	
a	Type of contract: (1) <input type="checkbox"/> deposit administration (2) <input type="checkbox"/> immediate participation guarantee (3) <input checked="" type="checkbox"/> guaranteed investment (4) <input type="checkbox"/> other ▶	
b	Balance at the end of the previous year	7b 216509493
c	Additions: (1) Contributions deposited during the year	7c(1) 3947776
	(2) Dividends and credits.....	7c(2) 0
	(3) Interest credited during the year.....	7c(3) 9882358
	(4) Transferred from separate account	7c(4) 36038525
	(5) Other (specify below)..... ▶	7c(5) 255837
	(6) Total additions	7c(6) 50124496
d	Total of balance and additions (add lines 7b and 7c(6))	7d 266633989
e	Deductions:	
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1) 15299519
	(2) Administration charge made by carrier.....	7e(2)
	(3) Transferred to separate account	7e(3) 13592187
	(4) Other (specify below)..... ▶	7e(4) 19441
(5) Total deductions	7e(5) 28911147	
f	Balance at the end of the current year (subtract line 7e(5) from line 7d).....	7f 237722842

Part III Welfare Benefit Contract Information
 If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** Health (other than dental or vision)
 b Dental
 c Vision
 d Life insurance
 e Temporary disability (accident and sickness)
 f Long-term disability
 g Supplemental unemployment
 h Prescription drug
 i Stop loss (large deductible)
 j HMO contract
 k PPO contract
 l Indemnity contract
 m Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received		9a(1)	
(2) Increase (decrease) in amount due but unpaid		9a(2)	
(3) Increase (decrease) in unearned premium reserve		9a(3)	
(4) Earned ((1) + (2) - (3))			9a(4)
b Benefit charges (1) Claims paid		9b(1)	
(2) Increase (decrease) in claim reserves		9b(2)	
(3) Incurred claims (add (1) and (2))			9b(3)
(4) Claims charged			9b(4)
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention			9c(1)(H)
(2) Dividends or retroactive rate refunds. (These amounts were <input type="checkbox"/> paid in cash, or <input type="checkbox"/> credited.)			9c(2)
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			9d(1)
(2) Claim reserves			9d(2)
(3) Other reserves			9d(3)
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)			9e

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.	10b

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? Yes No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2024 This Form is Open to Public Inspection.
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For calendar plan year 2024 or fiscal plan year beginning **01/01/2024** and ending **12/31/2024**

A Name of plan THE ROCKEFELLER UNIVERSITY RETIREMENT PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 THE ROCKEFELLER UNIVERSITY	D Employer Identification Number (EIN) 13-1624158	

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... Yes No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TIAA

13-1624203

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

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730 THIRD AVENUE
NEW YORK, NY 10017-3206

13-1624203

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
		92574	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	0	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>		Yes <input type="checkbox"/> No <input type="checkbox"/>

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2024 This Form is Open to Public Inspection.
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For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024

A Name of plan <u>THE ROCKEFELLER UNIVERSITY RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶	<u>001</u>
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C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>THE ROCKEFELLER UNIVERSITY</u>	D Employer Identification Number (EIN) <u>13-1624158</u>
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Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE: TIAA REAL ESTATE

b Name of sponsor of entity listed in (a): TIAA-CREF

c EIN-PN <u>13-1624203-004</u>	d Entity code <u>P</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>32001896</u>
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN

d Entity code

e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2024 This Form is Open to Public Inspection
--	--	---

For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024	
A Name of plan THE ROCKEFELLER UNIVERSITY RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 THE ROCKEFELLER UNIVERSITY	D Employer Identification Number (EIN) 13-1624158

Part I	Asset and Liability Statement
---------------	--------------------------------------

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

	(a) Beginning of Year	(b) End of Year
Assets		
a Total noninterest-bearing cash	1a	
b Receivables (less allowance for doubtful accounts):		
(1) Employer contributions	1b(1)	
(2) Participant contributions	1b(2)	
(3) Other	1b(3)	
c General investments:		
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	
(2) U.S. Government securities	1c(2)	
(3) Corporate debt instruments (other than employer securities):		
(A) Preferred	1c(3)(A)	
(B) All other	1c(3)(B)	
(4) Corporate stocks (other than employer securities):		
(A) Preferred	1c(4)(A)	
(B) Common	1c(4)(B)	
(5) Partnership/joint venture interests	1c(5)	
(6) Real estate (other than employer real property)	1c(6)	
(7) Loans (other than to participants)	1c(7)	
(8) Participant loans	1c(8)	971735
(9) Value of interest in common/collective trusts	1c(9)	0
(10) Value of interest in pooled separate accounts	1c(10)	26471637
(11) Value of interest in master trust investment accounts	1c(11)	0
(12) Value of interest in 103-12 investment entities	1c(12)	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	516934799
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	216509494
(15) Other.....	1c(15)	0

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities.....	1d(1)		
(2) Employer real property.....	1d(2)		
e Buildings and other property used in plan operation.....	1e		
f Total assets (add all amounts in lines 1a through 1e).....	1f	760887665	839445252
Liabilities			
g Benefit claims payable.....	1g		
h Operating payables.....	1h		
i Acquisition indebtedness.....	1i		
j Other liabilities.....	1j		
k Total liabilities (add all amounts in lines 1g through 1j).....	1k	0	0
Net Assets			
l Net assets (subtract line 1k from line 1f).....	1l	760887665	839445252

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers.....	2a(1)(A)	11827855	
(B) Participants.....	2a(1)(B)	8455002	
(C) Others (including rollovers).....	2a(1)(C)	4330501	
(2) Noncash contributions.....	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		24613358
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)		
(B) U.S. Government securities.....	2b(1)(B)		
(C) Corporate debt instruments.....	2b(1)(C)		
(D) Loans (other than to participants).....	2b(1)(D)		
(E) Participant loans.....	2b(1)(E)	74971	
(F) Other.....	2b(1)(F)	9838022	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		9912993
(2) Dividends:			
(A) Preferred stock.....	2b(2)(A)		
(B) Common stock.....	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	5691483	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		5691483
(3) Rents.....	2b(3)		
(4) Net gain (loss) on sale of assets:			
(A) Aggregate proceeds.....	2b(4)(A)		
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result.....	2b(4)(C)		
(5) Unrealized appreciation (depreciation) of assets:			
(A) Real estate.....	2b(5)(A)		
(B) Other.....	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		0
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		-1165663
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		79385478
c Other income	2c		685241
d Total income. Add all income amounts in column (b) and enter total	2d		119122890

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	36114382	
(2) To insurance carriers for the provision of benefits	2e(2)	4358347	
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		40472729
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses:			
(1) Salaries and allowances	2i(1)		
(2) Contract administrator fees	2i(2)	92574	
(3) Recordkeeping fees	2i(3)		
(4) IQPA audit fees	2i(4)		
(5) Investment advisory and investment management fees	2i(5)		
(6) Bank or trust company trustee/custodial fees	2i(6)		
(7) Actuarial fees	2i(7)		
(8) Legal fees	2i(8)		
(9) Valuation/appraisal fees	2i(9)		
(10) Other trustee fees and expenses	2i(10)		
(11) Other expenses	2i(11)		
(12) Total administrative expenses. Add lines 2i(1) through (11)	2i(12)		92574
j Total expenses. Add all expense amounts in column (b) and enter total	2j		40565303

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		78557587
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) Unmodified (2) Qualified (3) Disclaimer (4) Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) DOL Regulation 2520.103-8 (2) DOL Regulation 2520.103-12(d) (3) neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **KPMG LLP**

(2) EIN: **13-5565207**

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) This form is filed for a CCT, PSA, DCG or MTIA. (2) It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

- a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)
- b** Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)
- c** Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)
- d** Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)
- e** Was this plan covered by a fidelity bond?
- f** Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?
- g** Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?
- h** Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?
- i** Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)
- j** Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)
- k** Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?
- l** Has the plan failed to provide any benefit when due under the plan?
- m** If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)
- n** If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.

	Yes	No	Amount
4a	X		604
4b		X	
4c		X	
4d		X	
4e		X	
4f		X	
4g		X	
4h		X	
4i	X		
4j		X	
4k		X	
4l		X	
4m		X	
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? Yes No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) Yes No Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.



**THE ROCKEFELLER UNIVERSITY
RETIREMENT PLAN**

Financial Statements and Supplemental Schedules

December 31, 2024 and 2023

(With Independent Auditors' Report Thereon)

**THE ROCKEFELLER UNIVERSITY
RETIREMENT PLAN**

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Financial Statements:	
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Supplemental Schedules	
Schedule H, Line 4a – Schedule of Delinquent Participant Contributions for the year ended December 31, 2024	15
Schedule H, Line 4i – Schedule of Assets (Held at End of Year) as of December 31, 2024	16
Note: All other schedules are omitted since they are not applicable or are not required based upon the disclosure requirements of the Employee Retirement Income Security Act of 1974 and applicable regulations issued by the U.S. Department of Labor.	



KPMG LLP
345 Park Avenue
New York, NY 10154-0102

Independent Auditors' Report

The Plan Participants and the Retirement Plan Committee
The Rockefeller University Retirement Plan:

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We were engaged to perform audits of the financial statements of The Rockefeller University Retirement Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits as of December 31, 2024 and December 31, 2023, and the related statement of changes in net assets available for benefits for the year ended December 31, 2024, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of December 31, 2024 and December 31, 2023, and for the year ended December 31, 2024, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Disclaimer of Opinion

We do not express an opinion on the accompanying financial statements of the Plan. Because of the significance of the matters described in the Basis for Disclaimer of Opinion section of our report, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on the financial statements.

Basis for Disclaimer of Opinion

The Plan has not maintained sufficient accounting records and supporting documents relating to certain annuity contracts and custodial accounts issued to current and former employees prior to January 1, 2009. Accordingly, we were unable to apply auditing procedures sufficient to determine the extent to which the accompanying financial statements may have been affected by these conditions.

Further, as described in Note 2(a) to the financial statements, the Plan has excluded from investments in the accompanying statements of net assets available for benefits certain annuity contracts and custodial accounts issued to current and former employees prior to January 1, 2009, as permitted by the Department of Labor's Field Assistance Bulletin No. 2009-02, *Annual Reporting Requirements for 403(b) Plans*. The investment income and distributions related to such accounts have also been excluded in the accompanying statement of changes in net assets available for benefits. The amount of these excluded annuity contracts and custodial accounts and the related income and distributions are not determinable. U.S. generally accepted accounting



principles require that these accounts and the related income and distributions be included in the accompanying financial statements.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with U.S. generally accepted accounting principles, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Our responsibility is to conduct an audit of the Plan's financial statements in accordance with auditing standards generally accepted in the United States of America and to issue an auditors' report. However, because of the matters described in the Basis for Disclaimer of Opinion section of our report, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on these financial statements.

We are required to be independent of the Plan, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit.

Other Matter - Supplemental Schedules Required by ERISA

The supplemental schedules of Schedule H, line 4a - Schedule of Delinquent Participant Contributions for the year ended December 31, 2024 and Schedule H, line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2024 are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. Because of the significance of the matters described in the Basis for Disclaimer of Opinion section of our report, it is inappropriate to and we do not express an opinion on these supplemental schedules.

KPMG LLP

New York, New York
October 10, 2025

**THE ROCKEFELLER UNIVERSITY
RETIREMENT PLAN**

Statements of Net Assets Available for Benefits

December 31, 2024 and 2023

	2024	2023
Assets:		
Investments, as certified, at fair value (notes 3 and 4):		
TIAA Traditional Annuity Accounts, non-benefit responsive	\$ 143,492,316	141,186,766
Registered investment companies	568,521,567	516,934,799
Pooled separate account – real estate	32,001,896	26,471,637
Total investments, at fair value	744,015,779	684,593,202
TIAA Traditional Annuity Accounts, benefit responsive contracts, at contract value, as certified (note 5)	94,230,526	75,322,728
Participant loan fund, as certified	1,198,947	971,735
Net assets available for benefits	\$ 839,445,252	760,887,665

See accompanying notes to financial statements.

**THE ROCKEFELLER UNIVERSITY
RETIREMENT PLAN**

Statement of Changes in Net Assets Available for Benefits

Year ended December 31, 2024

Net appreciation in fair value of investments	\$	78,905,056
Earned income on TIAA Traditional Annuity Accounts		9,838,022
Dividend and interest income		5,691,483
Participant loan interest		74,971
		94,509,532
Contributions:		
Employer		11,827,855
Employee		8,455,002
Rollovers		4,330,501
		24,613,358
Deductions from net assets attributed to:		
Benefit payments to participants		40,472,729
Administrative fees		92,574
		40,565,303
Net increase		78,557,587
Net assets available for benefits:		
Beginning of year		760,887,665
End of year	\$	839,445,252

See accompanying notes to financial statements.

**THE ROCKEFELLER UNIVERSITY
RETIREMENT PLAN**

Notes to Financial Statements

December 31, 2024 and 2023

(1) Description of the Plan

The following description of The Rockefeller University Retirement Plan (the Plan) provides only general information. Participants should refer to the Plan Document for a more complete description of the Plan's provisions.

(a) General

The Plan is a defined contribution plan covering all eligible employees of The Rockefeller University (the University or the Plan Sponsor) designed to operate in accordance with the provisions of Section 403(b) of the Internal Revenue Code (the IRC). The Plan was restated effective January 1, 2010 and is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

(b) Eligibility

Any employee, other than a postdoctoral fellow, postdoctoral associate or fellow, an employee whose employment is governed by the terms of a collective bargaining agreement, a nonresident alien who receives no earned income, a leased employee, an individual hired for a visiting appointment, and an individual whose employment is incidental to his educational program, shall become eligible to become a participant in the Plan for employer contributions, if participant has attained age twenty-one and completed two years of service with the University with exceptions for participants with certain other past experience (as defined in the Plan Document). Any employee, other than a leased employee, shall become eligible to become a Participant for the limited purpose of making salary reduction contributions, on their first date of the month coinciding with or following commencement of employment with the University, provided that such employee executes the applicable online enrollment.

(c) Contributions

(i) Employee

Each year, participants may contribute up to the maximum annual limit (\$23,000 in 2024) of pretax annual compensation, as defined in the Plan Document. Participants who have attained the age of 50 before the end of the Plan year are eligible to make catch-up contributions (\$7,500 in 2024). Participants may also contribute amounts representing distributions from other qualified defined benefit or defined contribution plans.

(ii) Employer

Employer contributions will be made by the University on behalf of a participant in each of his or her years of participation. Years of participation in a similar qualified employee benefit plan for a

**THE ROCKEFELLER UNIVERSITY
RETIREMENT PLAN**

Notes to Financial Statements

December 31, 2024 and 2023

scientific research or educational activities from preceding employer(s) shall also be included when determining employer contributions. The following schedule was in effect for 2024:

	<u>Employees upon entry</u>	<u>After 10 years of participation in the Plan</u>	<u>After 20 years of participation in the Plan</u>
On the portion of regular salary within the Social Security Earnings base	6.0 %	8.0 %	14.3 %
On any regular salary above the Social Security Earnings base	11.7 %	13.7 %	20.0 %

(d) Participant Accounts

Each participant's account is credited with the participant's contribution, employer contribution and allocations of Plan earnings. Allocations are based on participant earnings on account balances, as defined.

Participants may direct contributions into a variety of investment options provided through Teacher's Insurance and Annuity Association of America (TIAA) and College Retirement Equities Fund (CREF) (collectively, TIAA-CREF). Participants may transfer amounts between funds at any time or change their investment elections daily if allowed by the investment selected.

(e) Vesting

Participants are always vested in their contributions, employer contributions, and earnings thereon.

(f) Loans

Generally, participants may borrow a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of the participant's account balance, subject to certain restrictions. Loans used to purchase a primary residence may be repaid over ten years. Loans for all other purposes must be repaid within five years.

Plan loans – prior to December 31, 2019, loans were issued directly from funds owned by TIAA-CREF and not directly from a participant's account. For all Plan loans, interest and principal is paid by the participant directly to TIAA-CREF. Plan loans are not considered investments of the Plan and therefore are not reported in the statements of net assets available for benefits. The interest rate is determined by TIAA-CREF and may be fixed or variable. The interest rate is determined by the terms of the controlling contract and the interest rate on variable rate loans can increase or decrease once a year. The interest rates on outstanding loans ranged from 4.00% to 7.65% at December 31, 2024 and 2023 and mature through August 2030. Plan loans amounted to \$343,057 and \$479,415 at December 31, 2024 and 2023, respectively. Plan loans are no longer offered after August 20, 2020, however the interest rate and repayment terms remain unchanged.

Participant loans – also referred to as Retirement Plan Loans (RPL's), are reported in the Loan Fund and shown in the Schedule of Assets Held, with related income and activity reported in the Statement

**THE ROCKEFELLER UNIVERSITY
RETIREMENT PLAN**

Notes to Financial Statements

December 31, 2024 and 2023

of Changes in Net Assets Available for Benefits. Participant loans are issued directly from the participant's account, unlike Plan loans that are issued directly from funds owned by TIAA-CREF. TIAA-CREF began issuing these types of loans effective January 1, 2020. The interest rate for the Participant loans is fixed at the time of issuance and determined by the terms of the Plan Document. The interest rate for Participant loans ranged from 4.25% to 9.50% as of December 31, 2024 and 2023, respectively. The repayments for Participant loans are reinvested based on the participant's investment elections in place at the time of repayment.

(g) Payment of Benefits

Participants may elect to receive benefits at any time from the employee portion of their account balance contributed before 1989. However, salary reduction contributions (and any earnings) made to an annuity contract after December 31, 1988 may be withdrawn only when the participant attains age 59 1/2, terminates employment, dies, or becomes disabled. Participants also may withdraw employee contributions if they encounter hardship. Employer contributions may be withdrawn only when the participant terminates employment, dies, or becomes disabled.

Upon termination of service due to death or retirement, a participant or survivor may elect the following retirement annuity income options that may be purchased through TIAA-CREF:

(i) One-Life (Single Life) Annuity

Pays the participant income for as long as they live. This option provides a larger monthly income, with all payments ceasing at death. This option is also available with a 10, 15, or 20 year guaranteed payment period (but not exceeding life expectancy at the time annuity income begins). If the participant dies during the guaranteed period, payments continue to the participant's beneficiary for the rest of the guaranteed period.

(ii) Survivor Annuity

Pays the participant a lifetime income, and if the participant's spouse (or other second annuitant) lives longer than the participant, he or she continues to receive an income for life.

(iii) Minimum Distribution Option

Participants, age 70 1/2 or older, who have not elected an annuity option will receive the required federal minimum distribution (minimum distribution option) while preserving as much accumulated Plan assets as possible. The minimum distribution is paid annually.

(h) Hardship Withdrawals

Under certain conditions, participants, while still employed by the University, are permitted to withdraw, in a single sum, the employee contribution portion of their account balance. These conditions include unreimbursed medical expenses, the purchase of the participant's principal residence, the payment of postsecondary education tuition, the payment of burial or funeral costs of immediate family members, the payment of natural disaster clean-up on the participant's principal residence, or to prevent eviction or foreclosure from the participant's principal residence. No investment earnings credited to a participant's account on or after January 1, 1989 are available for hardship distributions. A participant's

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right to make contributions to the Plan will be suspended for six months after the receipt of a hardship withdrawal.

(i) Administrative Expenses

Expenses related to investment management are paid directly by the respective investment vehicle and are included in net appreciations (depreciation) in fair value of investments. Net appreciation (depreciation) for the years ended December 31, 2024 and 2023 includes \$7,681 and \$8,200, respectively, which represents a portion of the investment management fees previously charged by TIAA-CREF through an addition/reduction in investment appreciation (depreciation), which were returned to the Plan during the year ended December 31, 2024 and 2023 (Revenue Sharing Account) and \$1,255 and \$736, respectively, of investment income earned during the period. As of December 31, 2024 and 2023 the unused Revenue Sharing Account was \$28,452 and \$19,516, respectively that will be used to pay allowable Plan expenses or will be allocated to participants. Other administrative expenses of the Plan are generally paid by the Plan Sponsor.

(2) Summary of Significant Accounting Policies

(a) Basis of Accounting

The accompanying financial statements of the Plan are prepared on the accrual basis of accounting.

The Plan was established in 1924 and was historically viewed as an amalgamation of individual annuity and custodial accounts and the Plan administrator and its service providers did not maintain financial information at a Plan level. Because historical records were not maintained or are not available at a Plan level, the Plan may have excluded from the accompanying statements of net assets available for benefits certain annuity and custodial accounts, and related activity, issued to current and former employees prior to January 1, 2009. In recognition of these recordkeeping matters, the U.S. Department of Labor (DOL) released Field Assistance Bulletin No. 2009-02, *Annual Reporting Requirements for 403(b) Plans* (FAB 2009-2), as transitional relief, to address situations where a plan's management is not able to obtain historical records. If FAB 2009-02 is implemented, the DOL has indicated that they will not reject Form 5500 where contracts are excluded as long as certain conditions were met. Further, FAB 2009-02 suggests that plan administrators make good faith efforts to include known contracts. While the Plan administrator has, in good faith, included all known contracts, because of certain incomplete records, there is uncertainty that all contracts have been included. However, the Plan administrator believes that excluded annuities or custodial accounts, if any, would meet the conditions of FAB 2009-02.

(b) Investment Valuation and Income Recognition

The Plan's investments are recorded at fair value, except for investments considered to be fully benefit responsive, which are recorded at contract value. Interest income is recorded on the accrual basis. Dividend income is recorded on the ex-dividend date. Purchases and sales of securities are recorded on a trade-date basis. Net appreciation (depreciation) in fair value of investments represents the change in fair value of assets from one period to the next and realized gains and losses.

(c) Payment of Benefits

Benefits are recorded when paid.

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(d) Use of Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles (U.S. GAAP) requires the Plan's management to make estimates and assumptions that affect the reported amounts of assets and liabilities, and changes therein, and disclosures of contingent assets and liabilities at the date of the financial statements. Actual results could differ from those estimates.

(3) Investments – Information Certified by TIAA-CREF (Unaudited)

The Plan Sponsor has elected the method of compliance permitted by 29 CFR 2520.103-8 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA. TIAA and CREF have certified as being complete and accurate the investments on the statements of net assets available for benefits as of December 31, 2024 and 2023, including the TIAA Traditional Annuity Accounts, benefit responsive, at contract value, and the investment activity reflected in the statement of changes in net assets available for benefits for the year ended December 31, 2024, and the investment information included in the supplemental schedule of the financial statements as of December 31, 2024. Accordingly, as permitted under such election, the Plan Sponsor instructed the Plan's independent auditors not to perform any audit procedures with respect to the information certified as complete and accurate by TIAA-CREF.

(4) Fair Value Measurement

U.S. GAAP establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described as follows:

Level 1 Inputs to the valuation methodology are unadjusted quoted or published prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 Inputs to the valuation methodology include:

- quoted or published prices for similar assets or liabilities in active markets;
- quoted or published prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted or published prices that are observable for the asset or liability; and
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

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The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value as of December 31, 2024 and 2023:

TIAA Traditional Annuity Accounts Non-benefit responsive contracts	Valued at contract value which approximates fair value (see note 5).
Registered investment companies	Consisted of mutual funds and variable annuities and are valued at the quoted or published prices of shares held by the Plan at year end which are quoted daily. Prices quoted or published are the Net Asset Value (NAV) of the shares held which are based on the fair value of the underlying securities.
Pooled separate account – real estate	Valued at the NAV of shares held by the Plan at year end as determined and published by the issuer which are quoted daily. The NAV is principally derived from the market value of the underlying real estate holdings or other real estate-related investments. Real estate holdings are valued principally using external appraisals, which are estimates of property values based on a professional's opinion. The pooled separate account sometimes holds securities as well. These are generally priced using values obtained from independent pricing sources.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date. There were no changes in methodologies used at December 31, 2024 and 2023 and there were no transfers among levels during the year ended December 31, 2024 and 2023.

Those TIAA Traditional Annuity Accounts contracts that are considered benefit responsive are measured using contract value and have not been categorized in the fair value hierarchy.

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The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2024:

	Total assets measured at fair value	Level 1	Level 2	Level 3
TIAA Traditional Annuity				
Accounts, non-benefit responsive	\$ 143,492,316	—	—	143,492,316
Registered investment companies	568,521,567	568,521,567	—	—
Pooled separate account – real estate	32,001,896	32,001,896	—	—
Total investments at fair value	<u>\$ 744,015,779</u>	<u>600,523,463</u>	<u>—</u>	<u>143,492,316</u>

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2023:

	Total assets measured at fair value	Level 1	Level 2	Level 3
TIAA Traditional Annuity				
Accounts, non-benefit responsive	\$ 141,186,766	—	—	141,186,766
Registered investment companies	516,934,799	516,934,799	—	—
Pooled separate account – real estate	26,471,637	26,471,637	—	—
Total investments at fair value	<u>\$ 684,593,202</u>	<u>543,406,436</u>	<u>—</u>	<u>141,186,766</u>

The following table presents a summary of changes in the fair value of the Plan's Level 3 assets for the years ended December 31, 2024 and 2023:

	2024	2023
Beginning balance at January 1	\$ 141,186,766	139,399,683
Purchases	1,587,512	1,558,247
Earned income	6,468,507	6,710,865
Sales	(8,364,809)	(8,906,050)
Transfers in	9,695,683	13,334,622
Transfers (out)	(7,081,343)	(10,910,601)
Ending balance at December 31	<u>\$ 143,492,316</u>	<u>141,186,766</u>

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There are no unfunded commitments, and redemptions may occur daily except for the pooled separate account – real estate (TIAA Real Estate Account), which is quarterly, and the TIAA Traditional Annuity Accounts as described in note 5.

The following table presents information about significant unobservable inputs related to the Plan's investments in assets categorized as Level 3 in the fair value hierarchy at December 31, 2024:

Type	Fair value	Valuation technique	Significant unobservable inputs	Range
TIAA Traditional Annuity – non-benefit responsive	\$ 143,492,316	Discounted cash flow Theoretical transfer (exit value)	Risk-adjusted discount rate applied *	3.65% - 6.50%

* Unobservable inputs include discount rate applied.

The following table presents information about significant unobservable inputs related to the Plan's investments in assets categorized as Level 3 in the fair value hierarchy at December 31, 2023:

Type	Fair value	Valuation technique	Significant unobservable inputs	Range
TIAA Traditional Annuity – non-benefit responsive	\$ 141,186,766	Discounted cash flow Theoretical transfer (exit value)	Risk-adjusted discount rate applied *	4.00%–6.75%

* Unobservable inputs include discount rate applied.

The University's Investment Committee performs ongoing due diligence reviews of the Plan's investments, including a performance review.

(5) TIAA Traditional Annuity Accounts

The TIAA Traditional Annuity, one of the investment options representing insurance contracts issued by TIAA, guarantees principal and a contractually specified interest rate to account participants. TIAA invests amounts that back contracts in individual bonds, commercial mortgages, real estate, stocks and other assets selected by specialized teams that target different sectors of the marketplace. The portfolio follows specific guidelines with respect to major asset classes, sectors, industries, property types, geographic regions, individual issuers/borrowers, foreign holdings, liquidity, quality and derivatives.

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The TIAA Traditional Annuity is segregated into those investments considered benefit responsive and those that are considered non-benefit responsive. Fully benefit-responsive investment contracts held by defined contribution plans are required to be reported at contract value. These investments guarantee the contract value even when the fair market value of the underlying assets are more or less than contract value. The benefit responsive investments are reported at contract value, which approximates fair value, and are subject to interest rate risk.

Contract value is the aggregation of contributions, plus interest, less withdrawals, if any. The fair value is derived from a discounted cash flow analysis and other factors. Crediting rates are a combination of a guaranteed rate and an annually established discretionary rate. Additionally, the discretionary rate applied to contributions received during a reporting period may vary from the discretionary rate applied to account balances at the end of the prior reporting period. The TIAA Traditional Annuity is not available for sale or transfer on any securities exchange. Accordingly, transactions in similar investment instruments are not observable. Increases in the discount rate in isolation would result in a significantly lower fair value measurement.

The guaranteed annual interest rate for the TIAA Traditional Annuity is 3% for all premiums remitted since 1979 under all TIAA Traditional Annuity accumulating contracts (with the exception of the Retirement Choice (RC) annuities), plus additional amounts established by TIAA on a year-by-year basis. For the RC annuities, the guaranteed rate is between 1.00% and 3.00% and is specified in the contract. The average yield and crediting interest rate was approximately 4.62% for the Group Supplemental Retirement Annuity (GRSA) and 3.0% to 5.50% for the Retirement Annuity (RA) in 2024. Both the one year total return and the yield credited to participants for 2024 and 2023 are net of annual fees. For 2024 and 2023, the annual custodian fees were approximately 0.261% and 0.161%, respectively. The crediting interest rate is calculated on a daily basis.

(6) Income Tax Status

The Plan is intended to comply with the applicable requirements of Section 403(b) of the IRC of 1986, as amended. A tax determination letter program is not available for individually designed 403(b) employee benefit programs through the Internal Revenue Service (IRS). The Plan Administrator believes that the Plan is designed and is currently being operated in compliance with the applicable requirements of the Internal Revenue Code and as such is exempt from Federal income taxes.

U.S. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2024 and 2023, there are no uncertain positions taken, or expected to be taken, that would require recognition of a liability (or asset) or disclosure in the financial statements.

The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

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December 31, 2024 and 2023

(7) Related Party Transactions

All Plan investments are managed by TIAA-CREF, the Plan's recordkeeper, and, therefore, the related investment transactions qualify as party-in-interest transactions.

(8) Risks and Uncertainties

The Plan invests in a variety of investments. Investment securities, in general, are exposed to various risks such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

Some of the Plan's investments may invest directly or indirectly in securities with contractual cash flows such as asset backed securities, collateralized mortgage obligations and commercial mortgage backed securities, including securities backed by subprime mortgage loans. The value, liquidity and related income of these securities are sensitive to changes in economic conditions, including real estate value, delinquencies or defaults, or both, and may be adversely affected by shifts in the market's perception of the issuers and changes in interest rates.

The TIAA Traditional Annuity Accounts (including those considered benefit responsive and non-benefit responsive) represent approximately 28% of the Plan's net assets available for benefits, as of December 31, 2024 and 2023, respectively, and represent an obligation from TIAA to repay as amounts come due. There are no reserves against contract value for credit risk of the contract issuer or otherwise. The Plan Sponsor does not believe that any events are probable that would limit the Plan's ability to transact at contract value.

(9) Plan Termination

Although it has not expressed any intent to do so, the University has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA.

(10) Nonexempt Transactions

During the Plan year ended December 31, 2022, an employee's withholdings in the amount of \$604 were remitted to the Plan beyond the appropriate time period established by the Plan. This transaction constitutes a prohibited transaction as defined by ERISA. Lost earnings were calculated by the recordkeeper and remitted to the Plan in the amount of \$1 in 2024.

(11) Subsequent Events

The Plan Sponsor has evaluated subsequent events through October 10, 2025 the date on which financial statements were available to be issued and no additional disclosures were required.

**THE ROCKEFELLER UNIVERSITY
RETIREMENT PLAN**

Schedule H, Line 4a – Schedule of Delinquent Participant Contributions

For the year ended December 31, 2024

<u>Year</u>	<u>Participant contributions transferred late to Plan</u> Check here if Late Participant Loan Repayment are included:	<u>Total that constitute nonexempt prohibited transactions</u>			<u>Total fully corrected under VFCP and PTE 2002-51</u>
		<u>Contributions not corrected</u>	<u>Contributions corrected outside VFCP</u>	<u>Contributions pending correction in VFCP</u>	
2024	\$ 604	—	—	—	604

See accompanying independent auditors' report.

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Schedule H, line 4i – Schedule of Assets (Held at End of Year)

December 31, 2024

Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Units	Current value
	TIAA Traditional Annuity Contracts:		
* TIAA-CREF	TIAA Traditional Annuity Accounts – Non-Benefit Responsive		\$ 143,492,316
	Total TIAA Traditional Annuity Contracts – Non-Benefit Responsive, at fair value		<u>143,492,316</u>
* TIAA-CREF	TIAA Traditional Annuity Accounts – Benefit Responsive		88,655,985
* TIAA-CREF	TIAA Traditional Annuity Accounts – Loan Default Fund – Benefit Responsive		241,588
* TIAA-CREF	TIAA Traditional Annuity Accounts – Stable Value – Benefit Responsive		<u>5,332,953</u>
	Total TIAA Traditional Annuity Contracts – Benefit Responsive, at contract value		<u>94,230,526</u>
	Shares in registered investment companies:		
* College Retirement Equities Fund	CREF Stock R2	171,118	155,951,500
* College Retirement Equities Fund	CREF Money Market R2	195,249	5,786,637
* College Retirement Equities Fund	CREF Social Choice R2	42,660	15,660,131
* College Retirement Equities Fund	CREF Core Bond R2	78,230	10,469,566
* College Retirement Equities Fund	CREF Global Equities R2	108,294	37,493,092
* College Retirement Equities Fund	CREF Growth R2	122,891	64,463,970
* College Retirement Equities Fund	CREF Equity Index R2	66,676	34,271,014
* College Retirement Equities Fund	CREF Inflation-Linked Bond R2	111,724	9,417,707
* College Retirement Equities Fund	CREF Inflation-Linked Bond R4	76,799	6,538,491
* College Retirement Equities Fund	CREF Money Market R4	74,216	2,221,094
* College Retirement Equities Fund	CREF Core Bond R4	3,889	525,642
* College Retirement Equities Fund	CREF Equity Index R4	4,761	2,471,509
* College Retirement Equities Fund	CREF Global Equities R4	7,205	2,519,517
* College Retirement Equities Fund	CREF Growth R4	5,646	2,991,604
* College Retirement Equities Fund	CREF Social Choice R4	1,266	469,514
* College Retirement Equities Fund	CREF Stock R4	1,420	1,306,675
Vanguard	Vanguard Extended Mkt Idx Inst	164,141	23,647,723
Vanguard	Vanguard Inst Idx Inst	102,046	48,870,022
Vanguard	Vanguard Ttl Bd Mkt Idx Adm	1,490,094	14,126,089
Vanguard	Vanguard Ttl Intl Stk Idx Inst	334,925	42,441,676
Vanguard	Vanguard Ttl Intl Bnd Idx Adm	106,272	2,086,121
Vanguard	Vanguard Ttl Stk Mkt Idx Inst	176,961	24,960,416
Vanguard	Vanguard Ttl Wrld Stk Idx Inst	30,596	7,336,001
Nuveen	Nuveen Int'l Equity R6	356,230	4,702,241
Nuveen	Nuveen Large Cap Vlaue R6	964,681	21,300,146
Nuveen	Nuveen Quant Small Cp Eq R6	500,186	9,183,413
Nuveen	Nuveen Emerg Mkts Eq Idx R6	416,422	4,484,860
Touchstone	Touchstone Mid Cap R6	120,746	6,703,839
JPMorgan	JPMorgan US Research Enh Eq R6	141,045	<u>6,121,357</u>
	Total registered investment companies		<u>568,521,567</u>
	Pooled separate account:		
* TIAA-CREF	TIAA Real Estate Account		<u>32,001,896</u>
	Total pooled separate account – real estate		32,001,896
* TIAA-CREF	Participant loan fund – interest rates ranging from 4.25% to 9.50%		<u>1,198,947</u>
			<u>\$ 839,445,252</u>

* Party-in-interest as defined by ERISA.

The information in this schedule has been certified as complete and accurate by Teacher's Insurance and Annuity Association (TIAA) – College Retirement Equities Fund (CREF).

See accompanying independent auditors' report.



SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

ROCKEFELLER UNIVERSITY

Activity for the Reporting Period: 01/01/2024 to 12/31/2024

(A)	(B) IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	(C) DESCRIPTION OF INVESTMENT	(D) COST	(E) CURRENT VALUE
*	College Retirement Equities Fund variable annuities	TIAA Traditional Benefit Responsive	\$55,287,054.73	\$70,589,154.59
*	College Retirement Equities Fund variable annuities	TIAA Traditional Non Benefit Responsive	\$101,746,972.59	\$141,039,573.74
*	College Retirement Equities Fund variable annuities	TIAA Traditional Benefit Responsive 2	\$17,569,021.29	\$18,066,830.00
*	College Retirement Equities Fund variable annuities	TIAA Traditional Non Benefit Responsive 2	\$2,402,117.28	\$2,452,742.33
*	College Retirement Equities Fund variable annuities	TIAA STABLE VALUE	\$5,088,095.82	\$5,332,953.31
	College Retirement Equities Fund variable annuities	Plan Loan Default Fund	\$241,588.26	\$241,588.26
*	College Retirement Equities Fund variable annuities	CREF Stock R2	\$70,935,165.99	\$155,951,500.42
*	College Retirement Equities Fund variable annuities	CREF Money Market R2	\$5,266,248.96	\$5,786,637.10
*	College Retirement Equities Fund variable annuities	CREF Social Choice R2	\$10,942,647.60	\$15,660,130.89
*	College Retirement Equities Fund variable annuities	CREF Global Equities R2	\$19,509,242.68	\$37,493,091.81
*	College Retirement Equities Fund variable annuities	CREF Growth R2	\$21,806,154.76	\$64,463,969.94
*	College Retirement Equities Fund variable annuities	CREF Equity Index R2	\$12,492,453.63	\$34,271,013.81
*	College Retirement Equities Fund variable annuities	CREF Inflation-Linked Bond R2	\$8,166,045.70	\$9,417,707.50
*	College Retirement Equities Fund variable annuities	TIAA Real Estate	\$27,279,762.77	\$32,001,896.42
	College Retirement Equities Fund variable annuities	LOAN FUND	\$1,198,947.23	\$1,198,947.23
*	College Retirement Equities Fund variable annuities	CREF Core Bond R2	\$9,530,843.52	\$10,469,566.09
	College Retirement Equities Fund variable annuities	Nuveen Internatl Equity R6	\$4,828,279.54	\$4,702,241.26
	College Retirement Equities Fund variable annuities	Nuveen Large Cap Value R6	\$20,414,660.59	\$21,300,145.64
	College Retirement Equities Fund variable annuities	Nuveen Quant Small Cp Eq R6	\$9,003,721.74	\$9,183,412.06
	College Retirement Equities Fund variable annuities	Vanguard Extended Mkt Idx Inst	\$20,477,678.70	\$23,647,722.86
	College Retirement Equities Fund variable annuities	Vanguard Inst Idx Inst	\$42,737,280.55	\$48,870,021.62
	College Retirement Equities Fund variable annuities	Vanguard Ttl Intl Stk Idx Inst	\$41,946,813.74	\$42,441,675.90
	College Retirement Equities Fund variable annuities	Vanguard Ttl Bd Mkt Idx Inst	\$13,946,261.02	\$14,126,089.02
	College Retirement Equities Fund variable annuities	Vanguard Ttl Stk Mkt Idx Inst	\$21,580,969.95	\$24,960,415.65
	College Retirement Equities Fund variable annuities	Vanguard Ttl Intl Bnd Idx Adm	\$2,064,824.00	\$2,086,121.42
	College Retirement Equities Fund variable annuities	JPMorgan US Research Enh Eq R6	\$5,723,087.36	\$6,121,356.61
	College Retirement Equities Fund variable annuities	Vanguard Ttl Wrld Stk Idx Inst	\$6,701,737.28	\$7,336,001.29
	College Retirement Equities Fund variable annuities	Touchstone Mid Cap R6	\$6,490,660.40	\$6,703,839.33
*	College Retirement Equities Fund variable annuities	CREF Inflation-Linked Bond R4	\$6,360,161.52	\$6,538,491.42
*	College Retirement Equities Fund variable annuities	CREF Money Market R4	\$2,184,439.88	\$2,221,093.61



SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

Activity for the Reporting Period: 01/01/2024 to 12/31/2024

(A)	(B) IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	(C) DESCRIPTION OF INVESTMENT	(D) COST	(E) CURRENT VALUE
*	College Retirement Equities Fund variable annuities	CREF Core Bond R4	\$526,438.08	\$525,642.06
*	College Retirement Equities Fund variable annuities	CREF Equity Index R4	\$2,258,564.94	\$2,471,508.95
*	College Retirement Equities Fund variable annuities	CREF Global Equities R4	\$2,435,521.07	\$2,519,516.59
*	College Retirement Equities Fund variable annuities	CREF Growth R4	\$2,687,929.97	\$2,991,604.25
*	College Retirement Equities Fund variable annuities	CREF Social Choice R4	\$471,747.95	\$469,513.83
*	College Retirement Equities Fund variable annuities	CREF Stock R4	\$1,261,335.35	\$1,306,674.66
	College Retirement Equities Fund variable annuities	Nuveen Emerg Mkts Eq Idx R6	\$4,329,523.90	\$4,484,860.22