

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2024 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024	
A	This return/report is for: ☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B	This return/report is: ☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C	If the plan is a collectively-bargained plan, check here. ▶ ☐
D	Check box if filing under: ☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E	If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ▶ ☐

Part II	Basic Plan Information—enter all requested information
1a	Name of plan SONY USA 401(K) PLAN
1b	Three-digit plan number (PN) ▶ 002
1c	Effective date of plan 01/01/1984
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) SONY CORPORATION OF AMERICA 25 MADISON AVENUE NEW YORK, NY 10010
2b	Employer Identification Number (EIN) 13-1914734
2c	Plan Sponsor's telephone number 212-833-6858
2d	Business code (see instructions) 551112

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2025	LAUREN POLLITZER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2025	ROBERT KLEE
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address ☐ Same as Plan Sponsor LAUREN POLLITZER 25 MADISON AVENUE NEW YORK, NY 10010		3b Administrator's EIN 13-1914734
		3c Administrator's telephone number 212-833-6858
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name		4b EIN 4d PN
5 Total number of participants at the beginning of the plan year		5 26151
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g(1) Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) g(2) Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....		6a(1) 13894 6a(2) 13338 6b 1222 6c 11294 6d 25854 6e 84 6f 25938 6g(1) 25681 6g(2) 25568 6h 70
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2R 2S 2T 3H b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:		
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)		
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary (4) ☐ DCG (Individual Plan Information) – Number Attached _____ (5) ☐ MEP (Multiple-Employer Retirement Plan Information)		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) – Number Attached _____ (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2024 Form M-1 annual report. If the plan was not required to file the 2024 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2024
		This Form is Open to Public Inspection.

For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024		
A Name of plan SONY USA 401(K) PLAN	B Three-digit plan number (PN) ▶	002
C Plan sponsor's name as shown on line 2a of Form 5500 SONY CORPORATION OF AMERICA	D Employer Identification Number (EIN) 13-1914734	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
GALLIARD CAPITAL MANAGEMENT, LLC
41-1813702

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
SANDS CAPITAL MANAGEMENT, LLC
20-2830751

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
TCW INVESTMENT MANAGEMENT COMPANY
95-3703295

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
SEI TRUST COMPANY
06-1271230

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CHARLES SCHWAB INVT MANAGEMENT

94-3106735

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

COLUMBIA MGMT INVESTMENT ADVISORS

41-1533211

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DIMENSIONAL FUND ADVISORS

30-0303270

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DODGE & COX

94-1441976

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

EDELMAN FINANCIAL ENGINES, LLC

94-3250323

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50	NONE	1204369	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BLACKROCK

94-3112180

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 24 28 50 51	NONE	1133988	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

LOOMIS SAYLES TRUST COMPANY

20-8080381

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	1074012	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

T ROWE PRICE ASSET MGMT INC

100 EAST PRATT STREET
BALTIMORE, MD 21202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	972431	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PRUDENTIAL TRUST COMPANY

23-6994310

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	937654	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

T. ROWE PRICE ASSOCIATES, INC.

52-0556948

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 50	NONE	868949	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ALTRINISIC GLOBAL ADVISORS, LLC

30-0016268

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50 51	NONE	808409	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

NORTHERN TRUST COMPANY

36-1561860

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 21 25 28 50 51 99	NONE	428834	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

MERCER INVESTMENTS LLC

30-0282430

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50	NONE	158677	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PRICEWATERHOUSECOOPERS LLP

13-4008324

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 11 19 50	NONE	145000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS TR CO.

81-4017137

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
18 19 28 50 51	NONE	96946	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

AON CONSULTING

22-2232264

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
49 50	NONE	10000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CHARLES SCHWAB & CO. INC.

94-1737782

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
33 50 62 71	NONE	2481	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ADVISORS PREFERRED 1445 RESEARCH BLVD SUITE 530 ROCKVILLE, MD 20850	RATE OF 0.45% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALGER FUNDS PO BOX 8480 BOSTON, MA 02266	RATE OF 0.45% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLIANCEBERNSTEIN 13-3191825	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLIANZ GLOBAL INVESTORS 1633 BROADWAY NEW YORK, NY 10019	RATE OF 0.02-0.40% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL 10 WILSEY SQUARE #200 RIDGEWOOD, NJ 07450	RATE OF 0.25% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA 35-6447892	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY INVESTMENTS 43-0821857	RANGE OF 0.07-0.35% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FUNDS 90-0924512	RANGE OF 0.12-0.37% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALPS 84-0996383	RANGE OF 0.10% OF AVG DAILY BAL OF ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN PARTNERS FUNDS 1330 AVENUE OF THE AMERICAS STE 31 NEW YORK, NY 10019	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARISTOTLE FUNDS 840 NEWPORT CENTER DRIVE SUITE 700 NEWPORT BEACH, CA 92660	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AXS FUNDS 155 N WACKER DRIVE SUITE 4250 CHICAGO, IL 60606	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON CAPITAL GROUP, INC. 13-3107580	RANGE OF 0.09-0.38% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON 240 GREENWICH STREET NEW YORK, NY 10286	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK 04-6171663	RANGE OF 0.06-0.40% OF AVG DAILY BAL OF ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BROWN ADVISORY FUNDS 52-1952888	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BROWN CAPITAL MANAGEMENT, LLC 1201 NORTH CALVERT STREET BAL, MD 21202	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BUFFALO US BANCORP FUND SERVICES LLC PO BOX 701 MILWAUKEE, WI 53201	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AVE MARIA MUTUAL FUNDS 38-2325495	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CAVANAL HILL FUNDS 3435 STELZER ROAD COLUMBUS, OH 43219	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA THREADNEEDLE 93-0577450	RANGE OF 0.10% OF AVG DAILY BAL OF ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BAIRD 39-6037917	RANGE OF 0.05% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE 20-1227352	RANGE OF 0.07% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EURO PACIFIC ASSET MANAGEMENT LOTS 81-82 STREET C DORADO, PR 00646	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EVENTIDE FUNDS 4221 N 203RD STREET SUITE 100 ELKHORN, NE 68022	RANGE OF 0.10-0.40% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FEDERATED FUNDS 25-1111467	RANGE OF 0.10-0.40% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FIDELITY INVESTMENTS 06-1194217	RANGE OF 0.10% OF AVG DAILY BAL OF ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRST EAGLE FUNDS 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN TEMPLETON INVESTMENTS 94-3167260	RANGE OF 0.10-0.40% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS 46-5215217	RANGE OF 0.05-0.40% OF AVG DAILY BAL OF ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR 04-2679462	RANGE OF 0.35% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DRIEHAUS 20-3634295	RANGE OF 0.10% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVESCO FUNDS 58-2287224	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JACKSON SQUARE ONE LETTERMAN DRIVE BLDG A SUITE A3-200 SAN FRANCISCO, CA 94129	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT RESEARCH AND MANAGEMENT 2050 M STREET NW WASHINGTON, DC 20036	RANGE OF 0.29-0.40% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON 43-1804048	RANGE OF 0.10-0.35% OF AVG DAILY BAL OF ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUINNESS ATKINSON 98-0534338	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JOHN HANCOCK 04-3483032	RANGE OF 0.10% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
J.P. MORGAN & CO. 13-2624428	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT 13-3731507	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MADISON FUNDS 54-1815009	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
KINETICS 13-3878346	RANGE OF 0.40% OF AVG DAILY BAL OF ASSETS

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLSPRING GLOBAL INVESTMENTS 95-3692822	RANGE OF 0.06-0.40% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLIFFWATER LLC 20-1246914	RANGE OF 0.15% OF AVG DAILY BAL OF ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE 2002 N. TAMPA ST. SUITE 200 TAMPA, FL 33602	RANGE OF 0.36% OF AVG DAILY BAL OF ASSETS	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DIMENSIONAL FUND ADVISORS 22-2370029	RATE OF 0.02% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUGGENHEIM INVESTMENTS 330 MADISON AVENUE NEW YORK, NY 10017	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD MUTUAL FUNDS 13-3317783	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HOMESTEAD 53-0116145	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD 30 ROCKEFELLER PLAZA NEW YORK, NY 10112	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MAIRS & POWER 30 E 7TH ST #2500 ST PAUL, MN 55101	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATTHEWS ASIA FUNDS 94-3250972	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS 04-3253929	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STANLEY 36-3145972	RANGE OF 0.10 - 0.40% OF AVG DAILY BALANCE OF ASSE

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NATIXIS FUNDS 888 BOYLSTON STREET SUITE 800 BOSTON, MA 02199-8197	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NEEDHAM 22-3408336	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NEUBERGER BERMAN 13-3216325	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NEW ALTERNATIVES 11-2542789	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NEW YORK LIFE INVESTMENT MANAGEMENT 51 MADISON AVENUE NEW YORK, NY 10010	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NUVEEN 730 3RD AVE NEW YORK, NY 10017	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK 111 S WACKER DRIVE SUITE 4600 CHICAGO, IL 60606	RATE OF 0.07% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OBERWEIS 951 ICE CREAM DRIVE NORTH AURORA, IL 60542	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PALMER SQUARE 1900 SHAWNEE MISSION PARKWAY SUITE 315 MISSION WOODS, KS 66205	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PARNASSUS 94-6579180	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PGIM INVESTMENTS 22-3703799	RANGE OF 0.25 - 0.40% OF AVG DAILY BALANCE OF ASSE

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PROFUNDS 52-2035197	RATE OF 0.45% OF AVG DAILY BALANCE OF ASSET

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PROVIDENT P.O. BOX 1001 ISELIN, NJ 08830-1001	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RIVER CANYON 2728 NORTH HARWOOD STREET 2ND FLOOR DALLAS, TX 75201	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RYDEX FUNDS 805 KING FARM BOULEVARD SUITE 600 ROCKVILLE, MD 20850	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SCHWAB FUNDS 94-3106735	RATE OF 0.25% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEGALL BRYANT & HAMILL 540 WEST MADISON STREET SUITE 1900 CHICAGO, IL 60661	RATE OF 0.28% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SHELTON CAPITAL MANAGEMENT 1125 17TH ST #2550 DENVER, CO 80202	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SPROTT 500 FIFTH AVENUE SUITE 3020 NEW YORK, NY 10110	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE-PRICE 100 EAST PRATT STREET BALTIMORE, MD 21202	RATE OF 0.15% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW 95-2749628	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THOMPSON IM 1255 FOURIER DR MADISON, WI 53717	RATE OF 0.25% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VERACITY FUNDS 20-0872988	RATE OF 0.10% OF AVG DAILY BALANCE OF ASSET

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH 87-0319391	RANGE OF 0.10 - 0.40% OF AVG DAILY BALANCE OF ASSE

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WCM INVESTMENT MANAGEMENT 281 BROOKS ST LAGUNA BEACH, CA 92651	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WILLIAM BLAIR FUNDS 36-2214610	RATE OF 0.08% OF AVG DAILY BALANCE OF ASSET	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
CHARLES SCHWAB & CO. INC.	33 50 62 71	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ZEVENBERGEN CAPITAL INVESTMENTS 326 ADMIRAL WAY SUITE 200 EDMONDS, WA 98020	RATE OF 0.40% OF AVG DAILY BALANCE OF ASSET	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2024 This Form is Open to Public Inspection.
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For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024		
A Name of plan SONY USA 401(K) PLAN		B Three-digit plan number (PN) ▶ 002
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 SONY CORPORATION OF AMERICA		D Employer Identification Number (EIN) 13-1914734

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)		
a Name of MTIA, CCT, PSA, or 103-12 IE: AEW GLOBAL PROPERTIES TRUST FUND DC			
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY			
c EIN-PN 45-4660038-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 17299693	
a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2065 NLG			
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.			
c EIN-PN 84-1915237-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 24022698	
a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2025 NLG			
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.			
c EIN-PN 45-2829112-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0	
a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2030 NLG			
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.			
c EIN-PN 45-2829163-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 269262278	
a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2035 NLG			
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.			
c EIN-PN 45-2829211-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 364324720	
a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2040 NLG			
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.			
c EIN-PN 45-2837597-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 352267014	
a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2045 NLG			
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.			
c EIN-PN 45-2837638-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 359898803	

a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2050 NLG		
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.		
c EIN-PN 45-2837704-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 319864606
a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2055 NLG		
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.		
c EIN-PN 45-2837751-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 221102565
a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX 2060 NLG		
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.		
c EIN-PN 47-2034946-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 110636379
a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK LIFEPATH INDEX RETIRE NLG		
b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.		
c EIN-PN 45-2837784-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 238943908
a Name of MTIA, CCT, PSA, or 103-12 IE: JENNISON US SMALL CAP EQUITY FUND		
b Name of sponsor of entity listed in (a): PRUDENTIAL TRUST COMPANY		
c EIN-PN 23-6994310-046	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 146585552
a Name of MTIA, CCT, PSA, or 103-12 IE: METWEST TOTAL RETURN BOND FUND CLAS		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 47-2418714-005	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 60833870
a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI GOVT STIF		
b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.		
c EIN-PN 45-6138589-068	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6907655
a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI MSCI EMERGING MARKETS INDEX NL		
b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.		
c EIN-PN 45-6138589-101	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6933894
a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI RUSSELL 1000 GROWTH INDEX NL		
b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.		
c EIN-PN 45-6138589-099	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 203553017
a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI RUSSELL 1000 VALUE INDEX NL		
b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.		
c EIN-PN 45-6138589-098	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 88018845

a Name of MTIA, CCT, PSA, or 103-12 IE: GALLIARD SA INTERMEDIATE CORE FD E

b Name of sponsor of entity listed in (a): SEI TRUST COMPANY

c EIN-PN 94-6751921-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 33140866
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a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI S & P 500 INDEX DC NL

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.

c EIN-PN 45-6138589-002	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1000764928
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a Name of MTIA, CCT, PSA, or 103-12 IE: PRUDENTIAL CORE PLUS BOND FUND

b Name of sponsor of entity listed in (a): PRUDENTIAL TRUST CO

c EIN-PN 23-6994310-165	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 62222798
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a Name of MTIA, CCT, PSA, or 103-12 IE: SHORT TERM INVESTMENT FUND A

b Name of sponsor of entity listed in (a): SEI TRUST COMPANY

c EIN-PN 41-6292499-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 7741860
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a Name of MTIA, CCT, PSA, or 103-12 IE: MFO STATE STR BK & TR CO INVT FDS T

b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY

c EIN-PN 90-0337987-177	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
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a Name of MTIA, CCT, PSA, or 103-12 IE: SSGA GLOBAL REAL ESTATE IDX NL CL A

b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY

c EIN-PN 90-0337987-238	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 6051809
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a Name of MTIA, CCT, PSA, or 103-12 IE: SSGA INTERNATIONAL INDEX NL CLASS A

b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY

c EIN-PN 90-0337987-157	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 40100538
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a Name of MTIA, CCT, PSA, or 103-12 IE: SSGA RUSSELL 2000 INDEX NL CLASS A

b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY

c EIN-PN 90-0337987-096	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 42045699
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a Name of MTIA, CCT, PSA, or 103-12 IE: SSGA US HIGH YIELD BOND IDX NL CL A

b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY

c EIN-PN 90-0337987-231	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 17784587
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a Name of MTIA, CCT, PSA, or 103-12 IE: GALLIARD SA INTERMEDIATE CORE FD C

b Name of sponsor of entity listed in (a): SEI TRUST COMPANY

c EIN-PN 52-2250965-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 32985800
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a Name of MTIA, CCT, PSA, or 103-12 IE: GALLIARD SA INTERMEDIATE CORE FD J		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 26-0232928-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 33286475
a Name of MTIA, CCT, PSA, or 103-12 IE: GALLIARD SA INTERMEDIATE CORE FD L		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 27-6635237-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 91518265
a Name of MTIA, CCT, PSA, or 103-12 IE: GALLIARD SA INTERMEDIATE CORE FD N		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 27-6724457-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
a Name of MTIA, CCT, PSA, or 103-12 IE: MFO SANDS CAP COLLECTIVE INVT TR		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 85-0894185-143	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 13809770
a Name of MTIA, CCT, PSA, or 103-12 IE: LOOMIS SAYLES LARGE CAP GROWTH TR		
b Name of sponsor of entity listed in (a): LOOMIS SAYLES TRUST COMPANY, LLC		
c EIN-PN 84-6391546-012	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 308821621
a Name of MTIA, CCT, PSA, or 103-12 IE: SSGA GL ALL CAP EQ EX-U.S. IDX NL		
b Name of sponsor of entity listed in (a): STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-299	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 141530311
a Name of MTIA, CCT, PSA, or 103-12 IE: SS U.S BOND IDX NL SERIES FUND CL A		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS TRUST COMPANY		
c EIN-PN 90-0337987-177	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 171059546
a Name of MTIA, CCT, PSA, or 103-12 IE: GS STABLE VALUE COLLECTIVE TRUST		
b Name of sponsor of entity listed in (a): GOLDMAN SACHS TRUST COMPANY, N.A.		
c EIN-PN 13-4166989-025	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI GOVT STIF		
b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.		
c EIN-PN 45-6138589-068	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3732557
a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI EXTENDED EQUITY INDEX NL		
b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.		
c EIN-PN 45-6138589-068	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 380532566

Part II Information on Participating Plans (to be completed by DFEs, other than DCGs)

(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2024 This Form is Open to Public Inspection
	For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024	
	A Name of plan SONY USA 401(K) PLAN	B Three-digit plan number (PN) 002
C Plan sponsor's name as shown on line 2a of Form 5500 SONY CORPORATION OF AMERICA	D Employer Identification Number (EIN) 13-1914734	

Part I	Asset and Liability Statement		
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	2356
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	19607571	18788745
(2) Participant contributions.....	1b(2)	3684152	615
(3) Other	1b(3)	2454138	2370998
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	-1713690	104404
(2) U.S. Government securities	1c(2)	58360297	45007170
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	297096	101217
(B) All other	1c(3)(B)	98837131	90058381
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	5550976	3361432
(B) Common	1c(4)(B)	415563668	427133006
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	28616570	28499974
(9) Value of interest in common/collective trusts	1c(9)	4452034536	5177585493
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	330984762	339307409
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	61843281	79194705

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities.....	1d(1)		
(2) Employer real property.....	1d(2)		
e Buildings and other property used in plan operation.....	1e		
f Total assets (add all amounts in lines 1a through 1e).....	1f	5476120488	6211515905
Liabilities			
g Benefit claims payable.....	1g		
h Operating payables.....	1h		
i Acquisition indebtedness.....	1i		
j Other liabilities.....	1j	2025895	4404848
k Total liabilities (add all amounts in lines 1g through 1j).....	1k	2025895	4404848
Net Assets			
l Net assets (subtract line 1k from line 1f).....	1l	5474094593	6207111057

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers.....	2a(1)(A)	114076896	
(B) Participants.....	2a(1)(B)	215356073	
(C) Others (including rollovers).....	2a(1)(C)	25798918	
(2) Noncash contributions.....	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B), (C), and line 2a(2)	2a(3)		355231887
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	-37115	
(B) U.S. Government securities.....	2b(1)(B)		
(C) Corporate debt instruments.....	2b(1)(C)		
(D) Loans (other than to participants).....	2b(1)(D)		
(E) Participant loans.....	2b(1)(E)	1944935	
(F) Other.....	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F).....	2b(1)(G)		1907820
(2) Dividends: (A) Preferred stock.....	2b(2)(A)	181958	
(B) Common stock.....	2b(2)(B)	5230569	
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	13444592	
(D) Total dividends. Add lines 2b(2)(A) , (B), and (C).....	2b(2)(D)		18857119
(3) Rents.....	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds.....	2b(4)(A)	174417748	
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	161008993	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result.....	2b(4)(C)		
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate.....	2b(5)(A)		
(B) Other.....	2b(5)(B)	83556037	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B).....	2b(5)(C)		

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		740087939
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		28305052
c Other income	2c		-41980215
d Total income. Add all income amounts in column (b) and enter total	2d		1199374394

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	457745908	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		457745908
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses:			
(1) Salaries and allowances	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Recordkeeping fees	2i(3)	972431	
(4) IQPA audit fees	2i(4)	145000	
(5) Investment advisory and investment management fees	2i(5)	6283004	
(6) Bank or trust company trustee/custodial fees	2i(6)	428834	
(7) Actuarial fees	2i(7)		
(8) Legal fees	2i(8)		
(9) Valuation/appraisal fees	2i(9)		
(10) Other trustee fees and expenses	2i(10)		
(11) Other expenses	2i(11)	1314470	
(12) Total administrative expenses. Add lines 2i(1) through (11)	2i(12)		9143739
j Total expenses. Add all expense amounts in column (b) and enter total	2j		466889647

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		732484747
l Transfers of assets:			
(1) To this plan	2l(1)		531717
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **PRICEWATERHOUSECOOPERS LLP**

(2) EIN: **13-4008324**

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) ☐ This form is filed for a CCT, PSA, DCG or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

	Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	☒	☐	1789911
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	☐	☒	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	☐	☒	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	☐	☒	
e Was this plan covered by a fidelity bond?	☒	☐	30000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	☐	☒	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	☐	☒	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	☐	☒	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	☒	☐	
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	☐	☒	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	☐	☒	
l Has the plan failed to provide any benefit when due under the plan?	☐	☒	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	☐	☒	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	☐	☐	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation		Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.		OMB No. 1210-0110 2024 This Form is Open to Public Inspection.	
For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024					
A Name of plan SONY USA 401(K) PLAN				B Three-digit plan number (PN) ▶	002
C Plan sponsor's name as shown on line 2a of Form 5500 SONY CORPORATION OF AMERICA				D Employer Identification Number (EIN) 13-1914734	
Part I Distributions					
All references to distributions relate only to payments of benefits during the plan year.					
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....				1	0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 58-1428634					
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.					
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year				3	
Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)					
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A If the plan is a defined benefit plan, go to line 8.					
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.					
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)				6a	
b Enter the amount contributed by the employer to the plan for this plan year				6b	
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....				6c	
If you completed line 6c, skip lines 8 and 9.					
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A					
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A					
Part III Amendments					
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No					
Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.					
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No					
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No					
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No					
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No					
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.				Schedule R (Form 5500) 2024 v. 240311	

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

19 If the total number of participants is 1,000 or more, complete lines (a) and (b):

a Enter the percentage of plan assets held as:

Public Equity: _____% Private Equity: _____% Investment-Grade Debt and Interest Rate Hedging Assets: _____%

High-Yield Debt: _____% Real Assets: _____% Cash or Cash Equivalents: _____% Other: _____%

b Provide the average duration of the Investment-Grade Debt and Interest Rate Hedging Assets:

☐ 0-5 years ☐ 5-10 years ☐ 10-15 years ☐ 15 years or more

20 PBGC missed contribution reporting requirements. If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation.....

Part VII IRS Compliance Questions

21a Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? ☒ Yes ☐ No

21b If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).

☒ Design-based safe harbor method

☐ "Prior year" ADP test

☐ "Current year" ADP test

☐ N/A

22 If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter ____/____/____ (MM/DD/YYYY) and the Opinion Letter serial number_____.

Sony USA 401(k) Plan

**Financial Statements and Supplemental Schedules
December 31, 2024 and 2023**

Sony USA 401(k) Plan
December 31, 2024 and 2023

	Page
Report of Independent Auditors	1-4
Financial Statements	
Statements of Net Assets Available for Benefits as of December 31, 2024 and 2023.....	5
Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2024.....	6
Notes to Financial Statements.....	7-19
Supplemental Schedules	
Schedule H, line 4a - Schedule of Delinquent Participant Contributions As of and for the year ended December 31, 2024.....	20
Schedule H, line 4i - Schedule of Assets (Held at End of Year) at December 31, 2024.....	21-38
Note: Other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under Employee Retirement Income Security Act ("ERISA") of 1974 have been omitted because they are not applicable.	



Report of Independent Auditors

To the Administrator of Sony USA 401(k) Plan

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed an audit of the accompanying financial statements of Sony USA 401(k) Plan (the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits as of December 31, 2024 and 2023, and the related statement of changes in net assets available for benefits for the year ended December 31, 2024, including the related notes (collectively referred to as the "financial statements").

Management, having determined it is permissible in the circumstances, has elected to have the audit of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audit need not extend to any statements or information related to assets held for investment of the plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained a certification from a qualified institution as of December 31, 2024 and 2023 and for the year ended December 31, 2024, stating that the certified investment information, as described in Note 5 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audit and on the procedures performed as described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report

- the amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).



Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (US GAAS). Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities for the Audit of the Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with US GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with US GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such



procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.

- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audit did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matter – Supplemental Schedules Required by ERISA

Schedule H, Line 4a Schedule of Delinquent Participant Contributions as of and for the year ended December 31, 2024 and Schedule H, Line 4i Schedule of Assets (Held at End of Year) at December 31, 2024 ("supplemental schedules"), are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with US GAAS. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. In our opinion



- the form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

PricewaterhouseCoopers LLP

New York, New York
October 13, 2025

Sony USA 401(k) Plan
Statements of Net Assets Available for Benefits
December 31, 2024 and 2023

	As of December 31	
	<u>2024</u>	<u>2023</u>
Assets:		
Investments at fair value	\$ 5,831,918,081	\$ 5,053,693,100
Investments at contract value	349,625,391	391,548,149
Cash	2,356	0
Receivables:		
Employer contributions receivable	18,788,745	19,607,571
Employee contributions receivable	615	3,684,152
Notes receivable from participants	28,499,974	28,616,570
Due from broker	30,333	0
Accrued interest and dividends	2,340,665	2,454,138
Total receivables	<u>49,660,332</u>	<u>54,362,431</u>
Total assets	<u>6,231,206,160</u>	<u>5,499,603,680</u>
Liabilities:		
Accrued administrative expenses	<u>4,404,848</u>	<u>2,025,895</u>
Total liabilities	<u>4,404,848</u>	<u>2,025,895</u>
Net assets available for benefits	<u>\$ 6,226,801,312</u>	<u>\$ 5,497,577,785</u>

The accompanying notes are an integral part of these financial statements.

Sony USA 401(k) Plan
Statement of Changes in Net Assets Available for Benefit
Year Ended December 31, 2024

	For the year ended December 31, 2024
Additions to net assets attributed to:	
Employee contributions	\$ 215,356,073
Employer contributions	114,076,896
Rollover contributions from other qualified plans	25,798,918
Net investment income/(loss):	
Net appreciation/(depreciation) in fair value of investments	818,955,029
Interest and dividends	19,254,788
Total net investment income/(loss)	<u>838,209,817</u>
Interest income on notes receivable from participants	1,944,935
Other Income	194,818
Total additions/(deductions)	<u>1,195,581,457</u>
Deductions from net assets attributed to:	
Distributions to participants	457,745,908
Administrative expenses	9,143,739
Total deductions	<u>466,889,647</u>
Net increase/(decrease) in net assets available for benefits	<u>728,691,810</u>
Transfer in from other plan	531,717
Net assets available for benefits:	
Beginning of year	5,497,577,785
End of year	<u><u>\$ 6,226,801,312</u></u>

The accompanying notes are an integral part of these financial statements.

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

1. Description of the Plan

General

The Sony USA 401(k) Plan (the “Plan”) is a defined contribution plan subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”), as amended.

Effective February 16, 2024, Sony Corporation of America acquired Dexai Robotics. Their employees began participating in the Plan effective March 25, 2024.

Effective April 1, 2024, Sony Music Entertainment acquired Three Ten Merchandising Services Inc. Their employees began participating in the Plan at that time. The \$531,717 transfer in relates to this acquisition.

Sony Pictures Entertainment acquired Pixomondo LLC on October 25, 2022. Their employees started participating in the Plan effective September 23, 2024.

Effective October 15, 2024, Sony Electronics acquired KinaTrax, Inc. Their employees began participating in the Plan at that time.

Participants should refer to the Plan document for a more complete description of the Plan’s provisions. The Plan Sponsor is Sony Corporation of America (the “Plan Sponsor”). The Plan is administered by the Sony USA 401(k) Committee (the “Committee” or “Administrator”) except as otherwise delegated by the Committee. The assets of the Plan are maintained and transactions therein are executed by the Plan’s trustee, The Northern Trust Company (the “Trustee”). The Plan’s record keeper is T. Rowe Price (the “record keeper”).

The Plan is intended to satisfy one of the traditional safe harbor plan designs.

Participating companies (the “Companies”) as of December 31, 2024 are:

- Beachwood Services, Inc.
- Crispin Corporation
- Game Show Network, LLC
- Kinatrax, Inc.
- MI Liquidation
- Naughty Dog Inc.
- Pixomondo LLC
- SEG Travel LLC
- Sony Biotechnology Inc.
- Sony Corporation of America
- Sony Electronics, Inc.
- Sony DADC US Inc.
- Sony Depthsensing Solutions (f/k/a SoftKinetic Inc.)
- Sony Interactive Entertainment LLC
- Sony Latin America Inc.
- Sony Music Entertainment
- Sony Music Publishing (US) LLC (f/k/a Sony Music Holdings Inc.)
- Sony Plaza Inc.
- Sony Pictures Entertainment Inc.
- Sostar Inc.
- The Orchard Enterprises Inc.

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

Eligibility

The Plan covers all eligible employees of the Companies. Eligible employees are immediately eligible to make elective pre-tax, Roth and after-tax deferrals and to receive company matching contributions under the Plan except that employees who are regularly scheduled to work fewer than 20 hours per week are not eligible to participate in the Plan until they have completed a year of service as defined by the Plan.

Contributions

The savings component of the Plan provides that participating employees may elect to contribute up to 50% of their eligible annual compensation, with the company matching 100% of the first 3%, and 50% of the second 3% of participant contributions immediately. No matching contribution is made for participant contributions in excess of 6% of eligible annual compensation. Newly eligible employees (and rehires) who do not make a timely active election to contribute to the Plan (generally within 45 days of becoming eligible) are automatically enrolled in the Plan at a rate of 6% of eligible compensation on a pre-tax basis only with annual increases of 1% each year on the anniversary of their enrollment date until the contribution level of 10% is reached. Employees may opt out of either of these automatic elections at any time. Certain groups are excluded from auto-enrollment but still have the ability to opt into the Plan at any time (subject to satisfying the Plan's eligibility requirements). Employees who are auto-enrolled are notified of their enrollment and of the Plan's qualified default investment alternative ("QDIA"), and thus the QDIA is deemed to be a participant-directed investment. In accordance with the Internal Revenue Service ("IRS") limitations, employee pre-tax and Roth contributions are limited to a maximum annual amount of \$23,000 for 2024. Participants who are turning 50 years of age or older may also make catch-up contributions on a pre-tax and Roth basis of up to \$7,500 for 2024, which increases the maximum annual pre-tax and/or Roth contribution amount to \$30,500 for 2024. Catch-up contributions are not eligible for company matching contributions. On a combined pre-tax, Roth and after-tax basis, participant contributions are limited to \$69,000 annually. Participants direct the investment of their contributions into various investment options offered by the Plan. Absent an investment election by the employee, assets are invested in the QDIA, which is the Sony Target Date Fund with the year in its name closest to the employee's 65th birthday.

Certain employees of designated participating Companies are eligible for an annual 3% non-elective contribution (either "Retirement Savings Account ("RSA")" or "Retirement Savings Investment Fund ("RSIF")" as applicable in accordance with Plan rules) based on their eligible compensation after they have completed a year of service with a participating Company (in accordance with the Plan's eligibility rules). These annual contributions are made after the end of the Plan year subject to Plan provisions and are based on eligible compensation earned in the previous calendar year. The RSA contribution amount was recorded as employer contributions receivable of \$8,803,296 at December 31, 2024. The RSIF contribution amount was recorded as employer contributions receivable of \$1,282,922 at December 31, 2024. The RSA and RSIF receivable balances at December 31, 2023 were \$8,553,030 and \$1,151,324 respectively.

In connection with the adoption of a safe harbor plan design, the Plan instituted a true-up employer matching contribution. If, during the Plan year, a participant reaches the pre-tax/Roth contribution limit, before the end of the year, and therefore stops receiving matching contributions before they have received the maximum matching contribution for which they are eligible under the Plan, the applicable Company will make a lump sum contribution, as soon as administratively possible after the end of the applicable Plan year to the participant's account, which is equal to the difference between the amount they would have received had they not reached one of the Plan limits and the amount actually

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

contributed, subject to the maximum match as defined by the Plan. The true-up contribution was \$8,701,440 for the year ended December 31, 2024. The true-up contribution for the year ended December 31, 2023 was \$8,133,243. The contributions are included in the employer contributions receivable amount shown on the Statement of Net Assets Available for Benefits.

Participant Account Allocations

Individual accounts are maintained for each Plan participant. Each participant's account is credited (or debited) with the participant's contributions, company contributions (if applicable), earnings on participant and company contributions (if applicable), distributions to and withdrawals by participants, and charges for administrative expenses. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are immediately vested in all employee contributions and employer matching contributions. RSA contributions vest according to the following graduated schedule: 25% vesting after two years of vesting service, 50% vesting after three years of vesting service, 75% vesting after four years of vesting service and 100% vesting after five years of vesting service (a year of service is based on the Plan's definition of "Credited Vesting Year"). RSIF contributions vest ratably over three Credited Vesting Years. Participants also become 100% vested as a result of reaching age 60, death, or permanent and total disability while actively employed with the Companies. RSA contributions and RSIF contributions are company non-elective contributions.

Forfeited Accounts

If a participant who is not 100% vested terminates from the Companies, the non-vested portion of all company contributions is forfeited in accordance with Plan rules and may be used to offset subsequent company contributions and/or Plan expenses.

Notes Receivable from Participants

Participants may elect to take loans from their accumulated vested account balances subject to certain restrictions and limitations, as defined in the Plan document. Loans are withdrawn pro rata from the participant's fund balance(s). For the year ended December 31, 2024, the interest rate charged on loans ranged from 3.93% to 10.50%, which was derived from the Prime rate plus 1%. For the year ended December 31, 2023, the interest rate charged on loans ranged from 3.93% to 9.50%, which was derived from the Prime rate plus 1%. Repayment of loans is amortized monthly, bi-weekly or weekly, depending on the participant's pay frequency, and made either in equal installments through payroll deductions for a period generally not to exceed 15 years if the loan is for the purchase of a primary residence, or five years for a general purpose loan, or in a lump sum. Employees may have one general purpose loan and one primary residence loan outstanding at one time. The maximum amount a participant can borrow is the lesser of 50% of his or her vested account balance (including any outstanding loans) minus his or her current outstanding loan balance, or \$50,000 minus his or her highest outstanding loan balance(s) across all of the employer's qualified plans during the past twelve months. Loan repayments, consisting of interest and principal, are paid ratably through payroll deductions and are invested in the participant's fund balance(s) according to his or her current investment elections. Should a participant terminate employment with the Companies and not repay his or her loan in full by the end of the calendar quarter following the calendar quarter in which payment is due, the participant's outstanding loan balance is deemed to be distributed to the participant in partial settlement of the participant's vested account balances.

Payment of Benefits

Participants may receive the value of the vested interest in his or her account at any time after separation from service subject to the minimum distribution requirements. The participant or beneficiary may elect to receive a lump-sum amount subject to limitations specified in the Plan

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

document, or monthly, quarterly, semi-annual, or annual installments over an elected period of time. A terminated participant may also elect to take partial lump-sum distributions from their Plan account at any time, limited to one partial lump-sum distribution per calendar quarter, subject to the terms of the Plan. Prior to separation from service, participants may elect a rollover withdrawal, after-tax withdrawal, hardship withdrawal or age 59 ½ withdrawal subject to meeting certain criteria.

Administrative and Investment Management Expenses

Certain expenses of maintaining the Plan are paid directly by the Plan Sponsor and are excluded from the Plan financial statements. Plan recordkeeping and trustee fees are paid by the Plan and are reflected in the financial statements as administrative expenses. Fees related to the administration of notes receivable from participants are charged directly to the participant's account and are included in administrative expenses. Investment management fees are charged to the Plan as a reduction of investment return and included in the investment income (loss) reported by the Plan.

Termination

The Plan Sponsor intends to continue the Plan indefinitely; however, the Plan Sponsor may amend or terminate the Plan at any time. Upon termination of the Plan, all participants will have a non-forfeitable right to the entire value of their accounts.

2. Summary of Significant Accounting Policies

Basis of Preparation

The financial statements are prepared on the accrual basis of accounting in conformity with accounting principles generally accepted in the United States of America.

Use of Estimates

The preparation of the Plan's financial statements in accordance with accounting principles generally accepted in the United States of America requires that Plan management make estimates and assumptions that affect the reported amounts of net assets available for benefits and disclosure of contingent assets available for benefits at the date of the financial statements and the reported amounts of changes in net assets available for benefits during the reporting period. Actual results could differ from those estimates.

Investments

Investments are reported at fair value, except for the fully benefit-responsive investment contracts, which are reported at contract value. See Note 3 for discussion of fair value measurement. Purchases and sales of securities are recorded on a trade date basis. Dividend income is recorded on the ex-dividend date. Interest income and expenses are accrued daily. Income from other investments is recorded as earned on an accrual basis. The Plan presents the net appreciation or depreciation in the fair value of its investments, which consist of the realized gains or losses and the change in unrealized appreciation or depreciation on those investments, in the Statements of Changes in Net Assets Available for Benefits.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are recorded as distributions upon the occurrence of a distributable event. Participants make loan repayments through payroll deductions or direct payments to the recordkeeper.

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

Administrative Expenses

Expenses incurred in the administration of the Plan are borne by the Plan except for general consulting fees which are paid by the Companies. Any expenses paid by the Companies are excluded from the Plan financial statements.

Benefits Paid

Distributions to participants are recorded when paid.

3. Fair Value Measurements

The Plan applies the provisions of FASB ASC 820. The standard defines fair value, outlines a framework for measuring fair value, and details the required disclosures about fair value measurements. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under ASC Topic 820 are described as follows:

Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

There were no significant transfers in or out of Level 1 or Level 2 fair value measurements during the periods presented. There were no Level 3 investments for the years ended December 31, 2024 and 2023.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2024.

Collective Trusts

Valued at the Net Asset Value ("NAV") of units of a bank collective trust. The NAV, as provided by the Trustee, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. Participant transactions (purchased and sales) may occur daily.

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

Registered Investment Companies

Assets of publicly traded registered investment companies are valued using the NAV calculated by the administrator of the funds and the NAV quotes are available in public markets, such as stock exchanges. The NAV is calculated daily based on the closing market prices and accruals of the securities in the funds' portfolios (total value of the fund) divided by the number of shares currently issued and outstanding. It offers daily redemption with notification on trade date, assuming normal market conditions.

Common and Preferred Stocks

Valued at the closing price reported on the active market on which the individual securities are traded.

Interest Bearing Cash

Cash and cash equivalent assets with high degree of liquidity are valued at cost which equals or approximates fair value.

Self-directed Brokerage Account

Investments held in the self-directed brokerage account constitute a broad array of registered investment companies (publicly traded mutual funds) and certificates of deposit. On July 1, 2022, investments available through the self-directed brokerage account were expanded to include publicly traded exchange traded funds ("ETFs") in addition to the previously available publicly traded mutual funds and certificates of deposit. Fair values of all registered investment companies and certificates of deposit are determined using the methods prescribed above.

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

The following tables set forth by level, within the fair value hierarchy, the fair value of the Plan's investments as of December 31, 2024 and 2023:

<i>Investments at Fair Value as of December 31, 2024</i>			
	<i>Level 1</i>	<i>Level 2</i>	<i>Total</i>
Registered Investment Companies:			
Large Cap Equity - Value	\$ 267,534,490	\$ -	\$ 267,534,490
Emerging Markets Equity	\$ 14,165,700	\$ -	\$ 14,165,700
High Yield	\$ 55,379,394	\$ -	\$ 55,379,394
Total Registered Investment Companies	\$ 337,079,584	\$ -	\$ 337,079,584
Common and Preferred Stocks:			
Total Common and Preferred Stocks	\$ 430,494,438	\$ -	\$ 430,494,438
Interest Bearing Cash		\$ -	
Total Self-Directed Brokerage Window	\$ 79,194,705	\$ -	\$ 79,194,705
Total Investments at Fair Value	\$ 846,768,727	\$ -	\$ 846,768,727
Collective Trusts*			\$ 4,982,921,529
Registered Investment Companies (private MF)*			\$ 2,227,824
Total Investments			\$ 5,831,918,081

* Investments measured at fair value using net asset value per share (or its equivalent) as a practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table for such investments are intended to permit reconciliation of the fair value hierarchy to the investments at fair value line item presented in the statements of net assets available for benefits.

Sony USA 401(k) Plan
Notes to Financial Statements
December 31, 2024 and 2023

Investments at Fair Value as of December 31, 2023

	<i>Level 1</i>	<i>Level 2</i>	<i>Total</i>
Registered Investment Companies:			
Large Cap Equity - Value	\$ 257,503,513	\$ -	\$ 257,503,513
Emerging Markets Equity	\$ 19,841,800	\$ -	\$ 19,841,800
High Yield	\$ 52,046,917	\$ -	\$ 52,046,917
Total Registered Investment Companies	\$ 329,392,230	\$ -	\$ 329,392,230
Common and Preferred Stocks:			
Total Common and Preferred Stocks	\$ 421,114,644	\$ -	\$ 421,114,644
Interest Bearing Cash	\$ (901,353)	\$ -	\$ (901,353)
Total Self-Directed Brokerage Window	\$ 61,843,281	\$ -	\$ 61,843,281
Total Investments at Fair Value	\$ 811,448,802	\$ -	\$ 811,448,802
Collective Trusts*			\$ 4,240,651,766
Registered Investment Companies (private MF)*			\$ 1,592,532
Total Investments			\$ 5,053,693,100

* Investments measured at fair value using net asset value per share (or its equivalent) as a practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table for such investments are intended to permit reconciliation of the fair value hierarchy to the investments at fair value line item presented in the statements of net assets available for benefits.

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

The Plan may redeem shares of assets held in Common Collective Trust Funds subject to certain restrictions. Consistent with ASU 2009-12, the table below outlines the redemption terms and redemption restrictions for the Common Collective Trust Funds based on the category of investment:

12/31/2024

Category of Investment	Fair Value of Common Collective Trust	Redemption Terms	Redemption Restrictions
Short Term Investment Fund	\$ 6,936,016	Daily redemption with notification on day of trade date	NA
Target Date Funds	\$ 2,261,063,952	Daily redemption with notification on day of trade date	NA
Short-Term Bond/Stable Value Fund	\$ 7,741,860	Daily redemption with notification on day of trade date	NA
Intermediate-Term Bond	\$ 294,116,214	Daily redemption with notification on day of trade date	NA
High Yield Bond	\$ 17,784,587	Daily redemption with notification on day of trade date	NA
Large Cap Equity - Value	\$ 88,018,845	Daily redemption with notification on day of trade date	NA
Large Cap Equity - Core	\$ 1,000,764,927	Daily redemption with notification on day of trade date	NA
Large Cap Equity - Growth	\$ 512,374,638	Daily redemption with notification on day of trade date	NA
Small/Mid Cap Equity	\$ 380,532,565	Daily redemption with notification on day of trade date	NA
Small Cap Equity	\$ 188,631,251	Daily redemption with notification on day of trade date	NA
Global/International Equity	\$ 181,630,849	Daily redemption with notification on day of trade date	NA
Emerging Markets	\$ 20,743,664	Daily redemption with notification on day of trade date	NA
Global REIT	\$ 23,351,502	Daily redemption with notification on day of trade date	NA

12/31/2023

Category of Investment	Fair Value of Common Collective Trust	Redemption Terms	Redemption Restrictions
Short Term Investment Fund	\$ 8,561,193	Daily redemption with notification on day of trade date	NA
Target Date Funds	\$ 1,968,879,705	Daily redemption with notification on day of trade date	NA
Short-Term Bond/Stable Value Fund	\$ 3,815,831	Daily redemption with notification on day of trade date	NA
Intermediate-Term Bond	\$ 255,584,770	Daily redemption with notification on day of trade date	NA
High Yield Bond	\$ 17,785,236	Daily redemption with notification on day of trade date	NA
Large Cap Equity - Value	\$ 85,024,756	Daily redemption with notification on day of trade date	NA
Large Cap Equity - Core	\$ 813,568,036	Daily redemption with notification on day of trade date	NA
Large Cap Equity - Growth	\$ 400,472,505	Daily redemption with notification on day of trade date	NA
Small/Mid Cap Equity	\$ 348,505,572	Daily redemption with notification on day of trade date	NA
Small Cap Equity	\$ 176,178,356	Daily redemption with notification on day of trade date	NA
Global/International Equity	\$ 108,505,651	Daily redemption with notification on day of trade date	NA
Emerging Markets	\$ 29,280,877	Daily redemption with notification on day of trade date	NA
Global REIT	\$ 23,774,897	Daily redemption with notification on day of trade date	NA

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

4. Fully Benefit-Responsive Investment Contracts (FBRICs)

The Plan holds a portfolio of four separate synthetic guaranteed investment contracts (“the Contracts”) that are held within a customized separately-managed stable value account. These contracts meet the fully benefit-responsive investment contract criteria and, therefore, are reported at contract value. Contract value is the relevant measure for fully benefit-responsive investment contracts because this is the amount received by participants when they initiate permitted transactions under the terms of the Plan. Contract value represents contributions made under each contract, plus earnings, less withdrawals. The value of these investment contracts held by the Plan was \$349,625,391 at December 31, 2024, and \$391,548,149 at December 31, 2023.

The Contracts, which are held under a separately-managed account along with a collective trust fund, are issued by insurance companies or other financial institutions, and are backed by a portfolio of fixed income investments. The Contracts’ underlying investments consist primarily of collective trust funds, corporate bonds, U.S. government securities, and interest-bearing cash. The Contracts guarantee that all qualified participant withdrawals will be at contract value and that the crediting rate applied will not be less than 0%. Crediting rates are typically reset quarterly to account for the difference between the contract value and the fair value of the underlying portfolio.

Risks arise when entering into any investment contract due to the potential inability of the issuer to meet the terms of the contract. In addition, synthetic guaranteed investment contracts have the risk of default or the lack of liquidity of the underlying portfolio assets. The credit risk of each issuer is evaluated and monitored through the stable value account portfolio manager’s credit analysis. The credit analysis includes, but is not limited to, asset quality and liquidity, management quality, surplus adequacy, and profitability. The Plan requires that the issuers of each contract have a minimum quality rating as of the contract effective date and that all underlying portfolio assets be rated investment grade at the time of purchase.

Synthetic guaranteed investment contracts generally are evergreen contracts that contain termination provisions, allowing the Plan or the contract issuer to terminate with notice, at any time at fair value, and providing for automatic termination of the contract if the contract value or the fair value of the underlying portfolio equals zero. The issuer is obligated to pay the excess contract value when the fair value of the underlying portfolio equals zero.

In addition, if the Plan defaults on its obligations under the contract (including the issuer’s determination that the agreement constitutes a nonexempt prohibited transaction as defined under ERISA), and such default is not corrected within the time permitted by the contract, then the contract may be terminated by the issuer and the Plan will receive the fair value as of the date of termination. Each contract recognizes certain “events of default” which can invalidate the Contracts’ coverage. Among these are investments outside of the range of instruments which are permitted under the investment guidelines contained in the investment contract, fraudulent or other material misrepresentations made to the issuer, changes of control of the investment adviser not approved by the contract issuer, changes in certain key regulatory requirements, or failure of the Plan to be tax qualified.

The Contracts also generally provide for withdrawals associated with certain events which are not in the ordinary course of Plan operations. These withdrawals are paid with a market value adjustment applied to the withdrawal as defined in the investment contract. Each contract issuer specifies the events which may trigger a market value adjustment; however, such events may include, but not be limited to, the following:

- material amendments to the Plan’s structure or administration;
- complete or partial termination of the Plan, including a merger with another plan;
- the failure of the Plan to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA;

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

- the redemption of all or a portion of the interests in the Plan at the direction of the plan sponsor, including withdrawals due to the removal of a specifically identifiable group of employees from coverage under the plan (such as a group layoff or early retirement incentive program), the closing or sale of a subsidiary, employing unit, or affiliate, the bankruptcy or insolvency of the plan sponsor, the merger of the plan with another plan, or the plan sponsor's establishment of another tax qualified defined contribution plan;
- any change in law, regulation, ruling, administrative or judicial position, or accounting requirement, applicable to the Plan;
- changes to competing investment options;
- the delivery of any communication to plan participants designed to influence a participant not to invest in the stable value option.

The events described above are not probable of occurring, and as such, the Contracts are deemed to be fully benefit responsive.

5. Information Certified by the Plan's Trustee

The Plan's investments are held in a trust administered by the Northern Trust Company, Trustee of the Plan. The Trustee has certified that their reports of assets held at December 31, 2024 and December 31, 2023, and transactions for the year ended December 31, 2024, are complete and accurate in accordance with Section 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. The following is a summary of the Plan's financial information and data certified by the Trustee:

	As of December 31 <u>2024</u>	As of December 31 <u>2023</u>
Investments at fair value	\$ 5,824,176,221	\$ 5,050,452,053
Notes receivable from participants	28,499,974	28,616,570
Accrued interest and dividends	2,341,377	2,453,423
Cash	2,356	-
Due from broker	30,333	-

	<u>For the year ended December 31, 2024</u>
Net investment income/(loss):	
Net appreciation/(depreciation) in fair value of investments	\$ 808,234,902
Interest and dividends	19,253,402
Total net investment income/(loss)	<u>\$ 827,488,304</u>
Interest income on notes receivable from participants	\$ 1,944,935

Sony USA 401(k) Plan

Notes to Financial Statements

December 31, 2024 and 2023

6. Federal Income Tax Status

The Plan received a favorable determination letter from the IRS dated September 28, 2016 indicating that the Plan documentation satisfied the requirements for tax qualification under Section 401(a) of the Internal Revenue Code and, therefore, the Trust established as part of the Plan is exempt from federal income tax under the provisions of Section 501(a) of the Internal Revenue Code. The Plan has been amended subsequent to the filing with the IRS for this determination letter. However, the Company believes that the Plan continues to be designed and operated in compliance with Section 401(a) and, therefore, no provision for income taxes has been made in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require the Plan's management to evaluate tax positions taken by the Plan and recognize a tax liability or asset if the plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan sponsor has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2024 and 2023, there are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2021.

7. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, liquidity, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and those changes could materially affect participant account balances, as well as the amounts reported in the Statements of Net assets Available for Benefits. Market values of investments may decline for a number of reasons, including changes in prevailing market and interest rates, increases in defaults and credit rating downgrades.

8. Related Party and Party-in-Interest Transactions

Parties-in-interest are defined under DOL regulations as any fiduciary of the Plan, any party rendering service to the Plan, the employer, and certain others. Officers and employees do not receive compensation from the Plan. Certain Plan investments are collective trust funds managed by the Trustee. Additionally, as described in Note 2, the Plan paid certain expenses related to plan operations and investment activity to various service providers. These transactions are party-in-interest transactions under ERISA. In addition, notes receivable from participants are party-in-interest transactions.

Sony USA 401(k) Plan **Notes to Financial Statements** **December 31, 2024 and 2023** ---

9. Reconciliation between Financial Statements and Form 5500

The following is a reconciliation of net assets available for benefits per the financial statements at December 31, 2024 and 2023, to the Form 5500:

	<u>2024</u>	<u>2023</u>
Net assets available for benefits per financial statements	\$ 6,226,801,312	\$ 5,497,577,785
Market value adjustments to Stable Value Fund	(19,690,255)	(23,483,192)
Net assets available for benefits per Form 5500	<u>\$ 6,207,111,057</u>	<u>\$ 5,474,094,593</u>

The following is a reconciliation of the change in net assets available for benefits for the year ended December 31, 2024, per the financial statements to the net income reported in the Form 5500:

Net increase/(decrease) in net assets available for benefits per financial statements	\$ 728,691,810
Market value adjustments to Stable Value Fund	3,792,937
Net income/(loss) per Form 5500	<u>\$ 732,484,747</u>

10. Subsequent Events

Plan management evaluated all events or transactions that occurred after December 31, 2024 up through October 13, 2025, the date these financial statements were available for issuance.

On June 6, 2025, the Plan made the decision to merge the Bungie 401(k) Plan into the Plan. Bungie, Inc. was acquired by Sony Interactive Entertainment on July 15, 2022. This merger is scheduled to take effect on January 1, 2026, at which time eligible Bungie employees will begin participating under the terms of the Plan.

Schedule H, Line 4a – Schedule of Delinquent Participant Contributions

Participant Contributions Transferred Late to the Plan 2024-\$1,789,911	Total that Constitutes Nonexempt Prohibited Transaction As of and for the year ended December 31, 2024			Total Fully Corrected under VFCP and PTE-2002-51
Check here if late participant loan payments are included: X	Contributions Not Corrected	Contributions Corrected outside VFCP	Contributions Pending Correction in VFCP	
	\$1,746	\$1,788,165		

[2024 \$1,788,165
 2024 \$1,746

*Amounts reflected are participant contributions that were transferred late to the Plan in the 2024 Plan year.
 In 2025, the late contributions were corrected and excise tax due to the IRS was paid.

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost **	Current Value
	Collective Trusts			
•	Collective Trusts	NT COLLECTIVE S&P500 INDEX FUND-DC-NON LENDING (TIER J)		1,000,764,928
•	Collective Trusts	NT COLLECTIVE EXTENDED EQUITY INDEX FD -NONLENDING		380,532,566
	Collective Trusts	MFO BLACKROCK LIFEPAATH INDEX RETIREMENT 2035 NL CL F		364,324,720
	Collective Trusts	MFO BLACKROCK LIFEPAATH INDEX RETIREMENT 2045 NL CL F		359,898,803
	Collective Trusts	MFO BLACKROCK LIFEPAATH INDEX 2040 NL FUND F		352,267,014
	Collective Trusts	MFO BLACKROCK LIFEPAATH INDEX 2050 NL FUND F		319,864,606
	Collective Trusts	MFO LOOMIS SAYLES TR CO LLC LARGE CAP GROWTH FD CL B		308,821,621
	Collective Trusts	MFO BLACKROCK LIFEPAATH INDEX 2030 NL FUND F		269,262,278
	Collective Trusts	MFO BLACKROCK LIFEPAATH INDEX RETIREMENT NL CL F		238,943,908
	Collective Trusts	MFO BLACKROCK LIFEPAATH INDEX 2055 NON LENDING FD F		221,102,565
•	Collective Trusts	MFB NT COLLECTIVE RUSSELL 1000 GROWTH INDEX FUND - NON LENDING		203,553,017
	Collective Trusts	MFO PRUDENTIAL TR CO COLTV TR JENNISON US SMALL CAP EQTY FD INSTL (CL 1) 9JA		146,585,552
	Collective Trusts	MFO SSGA GLOBAL ALL CAP EQUITY EX US INDEX NL FUND CLASS A		141,530,311
	Collective Trusts	MFO STATE STR BK & TR CO INVT FDS TAX EXEMPT RETIREMENT PLANS CL A		119,468,000
	Collective Trusts	MFO BLACKROCK LIFEPAATH 2060 NL F		110,636,379
•	Collective Trusts	MFB NT COLLECTIVE RUSSELL 1000 VALUE INDEX FUND - NON LENDING		88,018,845
	Collective Trusts	MFO PRUDENTIAL CORE PLUS BOND FUND CL 1		62,222,798
	Collective Trusts	MFO METWEST TOTAL RETURN BOND CLASS C		60,833,870
	Collective Trusts	MFO STATE STR BK & TR CO INVT FDS TAX EXEMPT RETIREMENT PLANS CL A		51,591,546
	Collective Trusts	MFO RUSSELL 2000 NON LENDING SER CL A		42,045,699
	Collective Trusts	MFO BLACKROCK LIFEPAATH 2065 NL F		24,022,698
	Collective Trusts	MFO SSGA US HI YIELD BD INDEX NL SF CL A		17,784,587
	Collective Trusts	MFO AEW GLOBAL PROPERTIES TRUST FUND DC FUND CLASS A 00105A10-451		17,299,693
	Collective Trusts	MFO SANDS CAP COLLECTIVE INVT TR 80007W60-3428		13,809,770
•	Collective Trusts	MFB NT COLLECTIVE MSCI EMERGING MARKETS FUND-NON LENDING		6,933,894
	Collective Trusts	MFO SSGA GLOBAL REIT		6,051,809
•	Collective Trusts	NT COLLECTIVE GOVT SHORT TERM INVT FD		6,907,655
***	Value of Interest in Collective Trusts	MFO SSGA INTERNATIONAL INDEX		40,100,538
***	Value of Interest in Collective Trusts	Galliard Intermediate Core Fund L		91,518,265
***	Value of Interest in Collective Trusts	Galliard SA Intermediate Core Fund J		33,286,475
***	Value of Interest in Collective Trusts	Galliard SDA Intermediate Core Fund E		33,140,866
***	Value of Interest in Collective Trusts	Galliard SA Intermediate Core Fund C		32,985,800
***	Value of Interest in Collective Trusts	Short-Term Investment Fund II		7,741,860
***	Value of Interest in Collective Trusts	NT COLLECTIVE GOVT SHORT TERM INVT FD		3,732,557
		Total Collective Trusts		5,177,585,493
	Registered Investment Companies			
	Value of Interest in Registered Investment Companies	MFO DODGE & COX FDS STOCK FUND X		267,534,491
	Value of Interest in Registered Investment Companies	MFO COLUMBIA FDS SER TR II MASS INCOME OPPORTUNITIES FD CL Y		55,379,394
	Value of Interest in Registered Investment Companies	MFO DFA INVT DIMENSIONS GROUP INC EMERGING MKTS CORE EQUITY 2 PORT		14,165,700
	Value of Interest in Registered Investment Companies	MFO RESERVE INVT FDS INC T ROWE PRICE GOVT RESERVE FD		2,227,824
		Total Registered Investment Companies		339,307,409

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost **	Current Value
	Common and Preferred Stock			
	Corporate Stock - Preferred	HENKEL AG & CO KGAA NON-VTG PRF NPV		1,507,505
	Corporate Stock - Preferred	ITAU UNIBANCO HOLDINGS S.A. PRF NPV ITUB4		1,294,959
	Corporate Stock - Preferred	PVTPL GM CRUISE HOLDINGS LLC CLASS F PREFERRED SHARES		328,500
	Corporate Stock - Preferred	PVTPL &&& WAYMO LLC SER A-2 CONVT PREF STOCK T-ROWE PRICE ONLY		230,468
	Corporate Stock - Common	MICROSOFT CORP COM		35,905,899
	Corporate Stock - Common	APPLE INC COM STK		29,492,715
	Corporate Stock - Common	NVIDIA CORP COM		28,991,197
	Corporate Stock - Common	AMAZON COM INC COM		25,813,208
	Corporate Stock - Common	ALPHABET INC CAPITAL STOCK USD0.001 CL A		22,306,733
	Corporate Stock - Common	META PLATFORMS INC COM USD0.000006 CL 'A'		16,175,885
	Corporate Stock - Common	MASTERCARD INCORPORATED COM USD0.0001 CLASS A		10,718,332
	Corporate Stock - Common	INTUIT COM		9,390,419
	Corporate Stock - Common	FISERV INC COM		9,096,203
	Corporate Stock - Common	UNITEDHEALTH GROUP INC COM		7,157,413
	Corporate Stock - Common	VISA INC COM CL A STK		7,054,645
	Corporate Stock - Common	INTUITIVE SURGICAL INC COM NEW STK		6,531,285
	Corporate Stock - Common	ELI LILLY & CO COM NPV		6,280,220
	Corporate Stock - Common	SERVICENOW INC COM USD0.001		5,719,347
	Corporate Stock - Common	CHUBB LTD ORD CHF24.15		5,418,243
	Corporate Stock - Common	NETFLIX INC COM STK		5,220,461
	Corporate Stock - Common	AMPHENOL CORP NEW CL A		5,162,982
	Corporate Stock - Common	BOOKING HLDGS INC COM		4,923,704
	Corporate Stock - Common	EVEREST GROUP LTD		4,277,390
	Corporate Stock - Common	STRYKER CORP		4,208,264
	Corporate Stock - Common	THE CIGNA GROUP		3,943,279
	Corporate Stock - Common	SANOFI EUR2		3,475,609
	Corporate Stock - Common	SHOPIFY INC CL A SUB VTG SHS SHOPIFY INC		3,271,668
	Corporate Stock - Common	ADR HDFC BK LTD ADR REPSTG 3 SHS		3,245,174
	Corporate Stock - Common	WILLIS TOWERS WATSON PLC COM USD0.000115		3,203,505
	Corporate Stock - Common	DANONE EURO.25		3,122,967
	Corporate Stock - Common	TOTALENERGIES SE		3,092,499
	Corporate Stock - Common	SANDS CHINA LTD USD0.01		3,045,745
	Corporate Stock - Common	SONY GROUP CORPORA NPV		2,981,852
	Corporate Stock - Common	GSK PLC ORD GBP0.3125		2,970,096
	Corporate Stock - Common	ADR KB FINL GROUP INC SPONSORED ADR REPSTG 1 COM SH ADR		2,949,127
	Corporate Stock - Common	SUMITOMO MITSUI TRUST GROUP IN NPV		2,926,114
	Corporate Stock - Common	MEDTRONIC PLC COMMON STOCK STOCK		2,910,907
	Corporate Stock - Common	HEINEKEN NV EUR1.60		2,867,394
	Corporate Stock - Common	ROSS STORES INC COM		2,713,784
	Corporate Stock - Common	SUZUKI MOTOR CORP NPV		2,684,260
	Corporate Stock - Common	ZURICH INSURANCE GROUP AG CHF0.10		2,605,265

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost **	Current Value
	Common and Preferred Stock			
	Corporate Stock - Common	HUBSPOT INC COM		2,578,049
	Corporate Stock - Common	DEUTSCHE BOERSE AG NPV(REGD)		2,553,974
	Corporate Stock - Common	HOWMET AEROSPACE INC COM USD1.00 WI		2,502,167
	Corporate Stock - Common	EQUIFAX INC COM		2,489,885
	Corporate Stock - Common	AXIS CAPITAL HOLDINGS LTD COM USD0.0125		2,486,854
	Corporate Stock - Common	DAITO TRUST CONST NPV		2,467,612
	Corporate Stock - Common	ATLISSIAN CORP CL A		2,419,197
	Corporate Stock - Common	COUPANG INC		2,413,756
	Corporate Stock - Common	KBC GROEP NV NPV		2,378,801
	Corporate Stock - Common	BUREAU VERITAS EUR0.12		2,365,388
	Corporate Stock - Common	INGERSOLL RAND INC COM		2,363,268
	Corporate Stock - Common	KUBOTA CORP NPV		2,358,837
	Corporate Stock - Common	CHIPOTLE MEXICAN GRILL INC COM STK		2,231,522
	Corporate Stock - Common	ADOBE INC COM		2,224,734
	Corporate Stock - Common	ADR ARGEX SE SPONSORED ADS		2,210,310
	Corporate Stock - Common	AKZO NOBEL NV EUR0.50(POST REV SPLIT)		2,166,395
	Corporate Stock - Common	FAIR ISAAC CORPORATION COM		2,150,204
	Corporate Stock - Common	SOMPO HOLDINGS INC		2,137,472
	Corporate Stock - Common	BOEING CO COM		2,110,548
	Corporate Stock - Common	SAMSUNG ELECTRONIC GDR EACH REP 25 COM(REG'S')		2,059,330
	Corporate Stock - Common	DAIMLER TRUCK HOLD NPV		2,019,140
	Corporate Stock - Common	BECTON DICKINSON & CO COM		2,013,244
	Corporate Stock - Common	AGNICO-EAGLE MINES LTD COM NPV		2,010,153
	Corporate Stock - Common	DIAGEO PLC ORD GBP0.28 101/108		1,996,970
	Corporate Stock - Common	DANAHER CORP COM		1,995,019
	Corporate Stock - Common	NESTLE SA CHF0.10(REGD)		1,988,730
	Corporate Stock - Common	EURONEXT EUR1.60		1,987,091
	Corporate Stock - Common	PERNOD RICARD NPV EUR 1.55		1,979,054
	Corporate Stock - Common	ENTEGRIIS INC COM		1,921,764
	Corporate Stock - Common	AON PLC		1,910,731
	Corporate Stock - Common	WAL-MART DE MEX COM NPV		1,909,991
	Corporate Stock - Common	SCHWAB CHARLES CORP COM NEW		1,886,737
	Corporate Stock - Common	DEUTSCHE POST AG NPV(REGD)		1,841,158
	Corporate Stock - Common	ADR ASML HLDG NV NY REG 2012 (POST REV SPLIT)		1,835,969
	Corporate Stock - Common	CHECK PT SOFTWARE TECHNOLOGIES ORDILS.01		1,833,394
	Corporate Stock - Common	CAPGEMINI EUR8		1,775,697
	Corporate Stock - Common	KERRY GROUP 'A'ORD EUR0.125		1,768,407
	Corporate Stock - Common	ADR SONOVA HLDG AG UNSP ADR		1,729,153
	Corporate Stock - Common	ADR BIONTECH SE ADS		1,720,759
	Corporate Stock - Common	ALIBABA GROUP HOLDING LTD		1,712,675
	Corporate Stock - Common	ADIDAS AG		1,665,442

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

(a)	(b)	(c)	(d)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost **	Current Value
	Common and Preferred Stock			
	Corporate Stock - Common	BANK OF IRELAND GR ORD EUR1.00		1,646,302
	Corporate Stock - Common	KONINKLIJKE PHILIP EURO.20		1,644,375
	Corporate Stock - Common	ADR BP P L C SPONSORED ADR		1,628,342
	Corporate Stock - Common	MERCADOLIBRE INC COM STK		1,557,603
	Corporate Stock - Common	LULULEMON ATHLETICA INC COM		1,529,640
	Corporate Stock - Common	SIEMENS AG NPV(REGD)		1,497,597
	Corporate Stock - Common	MINEBEA MITSUMI INC		1,448,671
	Corporate Stock - Common	GRUPO FINANCIERO BANORTE S A B DE C V		1,412,433
	Corporate Stock - Common	SCHLUMBERGER LTD COM COM		1,404,624
	Corporate Stock - Common	INFORMA PLC (GB) ORD GBP0.001		1,354,047
	Corporate Stock - Common	DYNATRACE INC COM		1,348,097
	Corporate Stock - Common	TRADEWEB MKTS INC CL A CL A		1,345,727
	Corporate Stock - Common	BANKINTER SA EURO.30(REGD)		1,344,409
	Corporate Stock - Common	SCOR SE EUR7.876972		1,342,514
	Corporate Stock - Common	ROCHE HLDGS AG GENUSSSCHEINE NPV		1,336,635
	Corporate Stock - Common	ADVANCED MICRO DEVICES INC COM		1,319,752
	Corporate Stock - Common	HALEON PLC ORD GBP0.01		1,306,463
	Corporate Stock - Common	SINGAPORE EXCHANGE NPV		1,244,219
	Corporate Stock - Common	BAIDU INC USD0.000000625 A CLASS		1,208,122
	Corporate Stock - Common	BARRY CALLEBAUT AG CHF62.2000 (REGD)		1,197,025
	Corporate Stock - Common	ADR FOMENTO ECONOMICO MEXICANO SAB DE CV		1,182,156
	Corporate Stock - Common	CRH ORD EUR 0.32		1,169,453
	Corporate Stock - Common	BAYERISCHE MOTOREN WERKE A G COM		1,014,610
	Corporate Stock - Common	NATERA INC COM		997,290
	Corporate Stock - Common	CREDICORP LTD COM STK		939,148
	Corporate Stock - Common	YAMAHA MOTOR CO NPV		930,530
	Corporate Stock - Common	LOJAS RENNER SA COM NPV		802,246
	Corporate Stock - Common	MICHELIN (CGDE) EURO.50 (POST SUBDIVISION)		777,155
	Corporate Stock - Common	B3 SA COM NPV		729,013
	Corporate Stock - Common	LIBERTY GLOBAL LTD. COMMON STOCK		623,454
	Corporate Stock - Common	ADR LEGEND BIOTECH CORP SPON ADS EACH REP 2 ORD SHS		612,370
	Corporate Stock - Common	AURORA INNOVATION INC CL A COM		537,050
	Corporate Stock - Common	AFFIRM HLDGS INC CL A CL A		419,175
	Corporate Stock - Common	PVTP &&& TROWE ACCT ONLY STRIPE INC CLASS B		237,540
	Corporate Stock - Common	PENUMBRA INC COM		21,848
		Total Common and Preferred Stock		430,494,438
	Interest Bearing Cash			
	Receivables - Other - USD	Pending trade sales: United States dollar		96,423
	Interest Bearing Cash	Euro		7,981
		Total Interest Bearing Cash		104,404

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

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	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost **	Current Value
	Corporate and Sovereign Debt			
***	Corporate Debt Instruments - Preferred	CENTERPOINT ENERGY HOUSTON ELEC LLC 5.2%10-01-2028		101,217
***	Corporate Debt Instruments - Other	MERCEDES BENZ AUTO 4.8% DUE 04-16-2029		914,027
***	Corporate Debt Instruments - Other	AMERN EXPRESS CR 5.23% DUE 04-15-2029		725,863
***	Corporate Debt Instruments - Other	NISSAN AUTO LEASE 4.91% DUE 04-15-2027		698,236
***	Corporate Debt Instruments - Other	VOLKSWAGEN AUTO LN 5.48% DUE 12-20-2028		645,335
***	Corporate Debt Instruments - Other	HYUNDAI AUTO 5.54% DUE 10-16-2028		608,822
***	Corporate Debt Instruments - Other	VERIZON MASTER TR 4.17% 08-20-2030		599,117
***	Corporate Debt Instruments - Other	CARMAX AUTO OWNER TR SR 24-1 CL A3 4.92% 10-16-2028		578,132
***	Corporate Debt Instruments - Other	CNH EQUIP TR SR 24-B CL A3 5.19% 09-17-2029		577,334
***	Corporate Debt Instruments - Other	PVTPL TOYOTA LEASE OWNER TRUST SR 24-A CL A3 5.25% 04-20-2027		529,162
***	Corporate Debt Instruments - Other	TOYOTA AUTO RECEIVABLES OWNER TR SR 24-4 CL A3 4.83% 10-16-2028		523,036
***	Corporate Debt Instruments - Other	HONDA AUTO RECEIVABLES OWNER TRUST SER 24-3 CLS A3 4.57% 03-21-2029		500,387
***	Corporate Debt Instruments - Other	BB&T BRH BKG & TR 3.625% DUE 09-16-2025		496,061
***	Corporate Debt Instruments - Other	EXTENDED STAY AMER TR 2021-ESH MTG PASS THRU CTF CL A 144A 5.50648% 07-15-2038		493,551
***	Corporate Debt Instruments - Other	BPR TR 2022-OANA FLTG RT 6.227% DUE 04-15-2037		491,838
***	Corporate Debt Instruments - Other	WELLS FARGO COML 2.674% DUE 07-15-2048		487,943
***	Corporate Debt Instruments - Other	WELLS FARGO & CO MEDIUM TERM SR NTS BOOK3.526% 03-24-2028		475,182
***	Corporate Debt Instruments - Other	WORLD OMNI AUTO RECEIVABLES TR SER 23-D CL A3 5.79% 02-15-2029		473,159
***	Corporate Debt Instruments - Other	AMERN EXPRESS CR 4.65% DUE 07-15-2029		465,915
***	Corporate Debt Instruments - Other	PVTPL HUNTINGTON AUTO TRUST SER 24-1A CL A3 5.23% 01-16-2029		464,695
***	Corporate Debt Instruments - Other	AT&T INC 1.65% DUE 02-01-2028		463,731
***	Corporate Debt Instruments - Other	MERCEDES-BENZ AUTO RECEIVABLES SER 23-2 CL A3 5.95% 11-15-2028		463,388
***	Corporate Debt Instruments - Other	HYUNDAI AUTO LEASE 4.62% DUE 04-17-2028		460,230
***	Corporate Debt Instruments - Other	NISSAN AUTO LEASE TRUST 4.92% SR 24-B CL A3 11-15-2027		458,058
***	Corporate Debt Instruments - Other	BA CR CARD TR 4.93% DUE 05-15-2029		454,305
***	Corporate Debt Instruments - Other	CMO CITIGROUP COML MTG TR SER 2016-P6 CLS A4 3.458% 12-10-2049		445,759
***	Corporate Debt Instruments - Other	FIFTH THIRD AUTO TRUST SR 23-1 CL A3 0.0% 08-15-2028		444,826
***	Corporate Debt Instruments - Other	PVTPL NAVIENT PRIVATE ED REFI LN TR 2021-G CL A 1.58% DUE 04-15-2070 BEO		443,432
***	Corporate Debt Instruments - Other	CMO WELLS FARGO COML MTG TR 2016-LC24 CTF CL A-3 2.684% DUE 10-15-2049 REG		438,375
***	Corporate Debt Instruments - Other	BX TR 2024-BIO MTG PASSTHRU CTF CL A 144A 5.95376% 02-15-2041		435,408
***	Corporate Debt Instruments - Other	BX TR 2022-IND COML MTG PASSTHRU CTF CL A 5.80276% 04-15-2037		432,273
***	Corporate Debt Instruments - Other	PORSCHE FINL AUTO 5.79% DUE 01-22-2029		428,422
***	Corporate Debt Instruments - Other	DAIMLER TRUCKS RETAIL TRUST SER 24-1 CL A3 5.77% 12-15-2027		425,319
***	Corporate Debt Instruments - Other	PVTPL ENTERPRISE FLEET FING LLC SR 23-3 CL A2 6.4% 03-20-2030		419,669
***	Corporate Debt Instruments - Other	FORD CREDIT AUTO LEASE TRUST SER 24-B CLA3 4.99% 12-15-2027		417,722
***	Corporate Debt Instruments - Other	CMO WELLS FARGO COML MTG SER 2017-C39 CL A4 3.157% 09-15-2050		413,959
***	Corporate Debt Instruments - Other	GM FINANCIAL CONSUMER AUTOMOBILE SER 2024-1 CL A3 4.85% 12-18-2028		412,094
***	Corporate Debt Instruments - Other	CMO GS MTG SECS TR SER 2017-GS5 CL A3 3.409% 03-10-2050		408,809
***	Corporate Debt Instruments - Other	PVTPL CITIZENS AUTO RECEIVABLES TRUST SER 24-1 CL A3 5.11% 04-17-2028		407,715
***	Corporate Debt Instruments - Other	SUMITOMO MITSUI FINL GROUP INC 1.402% 09-17-2026		406,618
***	Corporate Debt Instruments - Other	PVTPL VOLKSWAGEN GROUP AMERICA 4.85% 08-15-2027		395,802

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

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	Corporate and Sovereign Debt			
***	Corporate Debt Instruments - Other	GMF FLOORPLAN 5.34% DUE 06-15-2028		389,326
***	Corporate Debt Instruments - Other	FORD CREDIT AUTO OWNER TRUST SER 24-A CL A3 5.38% 12-15-2028		388,441
***	Corporate Debt Instruments - Other	PVTPL WHEELS FLEET LEASE FDG 1 LLC SR 24-1A CL A1 5.49% 02-18-2039		384,325
***	Corporate Debt Instruments - Other	JOHN DEERE OWNER TR SR 24-A CL A3 4.96% 11-15-2028		382,903
***	Corporate Debt Instruments - Other	COMM 2016-COR1 MTG 2.826% DUE 10-10-2049		382,892
***	Corporate Debt Instruments - Other	MMAF EQUIP FIN LLC 2021-A 1.04% 11-13-2030		382,817
***	Corporate Debt Instruments - Other	PVTPL TOYOTA LEASE OWNER TRUST SER 24-B CL A3 4.21% 09-20-2027		382,763
***	Corporate Debt Instruments - Other	VERIZON MASTER TR SER 24-3 CL A1A 5.34% 04-20-2027		381,281
***	Corporate Debt Instruments - Other	CMO GS MTG SECS TR 2017-GS6 SER 2017-GS6 3.164% DUE 5-10-50 REG		380,935
***	Corporate Debt Instruments - Other	WF CARD ISSUANCE TR SR 24-A2 CL A 4.29% 10-15-2029		372,414
***	Corporate Debt Instruments - Other	VERIZON MASTER TR SER 23-7 CL A1A 0.0567% 11-20-2029		372,365
***	Corporate Debt Instruments - Other	BMW VEHICLE LEASE TRUST SER 24-2 CL A3 4.18% 10-25-2027		372,009
***	Corporate Debt Instruments - Other	FORD CR AUTO OWNER 4.07% DUE 07-15-2029		371,248
***	Corporate Debt Instruments - Other	VOLKSWAGEN AUTO LEASE TR SR 24-A CL A3 5.58% 06-21-2027		368,418
***	Corporate Debt Instruments - Other	SYNCHRONY CARD ISSUANCE TR SER 24-A2 CLS A 4.93% 07-15-2030		367,313
***	Corporate Debt Instruments - Other	PVTPL DLLAD LLC SER 23-1A CL A3 4.79% 01-20-2028		365,799
***	Corporate Debt Instruments - Other	HONDA AUTO RECEIVABLES OWNER TRUST SER 23-4 CL A3 5.67% 06-21-2028		365,714
***	Corporate Debt Instruments - Other	WORLD OMNI AUTO RECEIVABLE TRUST SER 24-C CLS A3 4.43% 12-17-2029		363,701
***	Corporate Debt Instruments - Other	PVTPL CHESAPEAKE FUNDING II LLC SER 23-2A CL A1 6.16% DUE 10-15-2035		361,397
***	Corporate Debt Instruments - Other	NISSAN AUTO RECEIVABLES OWNER TRUST SR 24-A CL A3 5.28% 12-15-2028		354,759
***	Corporate Debt Instruments - Other	CMO UBS COML MTG TR SER 2017-C3 CL A3 3.167% 08-15-2050		354,294
***	Corporate Debt Instruments - Other	PVTPL CITIZENS AUTO RECEIVABLES TR TR 2024-2 SER 24-2 CL A3 5.33% 08-15-2028		353,799
***	Corporate Debt Instruments - Other	CONSUMERS 2023 SECURITIZATION FDG 5.21% 09-01-2031		353,495
***	Corporate Debt Instruments - Other	TOYOTA LEASE OWNER 5.66% DUE 11-20-2026		348,047
***	Corporate Debt Instruments - Other	PVTPL MMAF EQUIPMENT FINANCE LLC SR 24-ACL A3 4.95% 07-14-2031		346,684
***	Corporate Debt Instruments - Other	NISSAN AUTO 5.96% DUE 10-15-2030		342,842
***	Corporate Debt Instruments - Other	HONDA AUTO RECEIVABLES OWNER TRUST SR 24-2 CL A3 5.27% 11-20-2028		339,048
***	Corporate Debt Instruments - Other	MERCEDES-BENZ AUTO LEASE TRUST SR 24-B CL A3 02-15-2028		338,440
***	Corporate Debt Instruments - Other	VERIZON MASTER TRUST SER 24-1 CL A1A 5.0% 12-20-2028		336,714
***	Corporate Debt Instruments - Other	MERCEDES-BENZ AUTO LEASE TRUST SER 24-A CL A3 5.32% 01-18-2028		333,905
***	Corporate Debt Instruments - Other	PVTPL NELNET STUDENT LOAN TRUST SR 21-CA CL AFL FLTG DUE 04-20-2062 BEO		332,468
***	Corporate Debt Instruments - Other	CMO CITIGROUP COML MTG TR 2015-GC29 SER 15-GC29 CL A4 3.192% DUE 04-10-2048 REG		328,125
***	Corporate Debt Instruments - Other	ORACLE CORP 2.5% DUE 04-01-2025 REG		328,103
***	Corporate Debt Instruments - Other	US BANCORP 4.548% 07-22-2028		327,265
***	Corporate Debt Instruments - Other	BK AMER AUTO TR 5.74% DUE 06-15-2028		324,737
***	Corporate Debt Instruments - Other	MORGAN STANLEY BK 2.84% DUE 11-15-2049		323,574
***	Corporate Debt Instruments - Other	SFS AUTO 5.33% DUE 11-20-2029		323,137
***	Corporate Debt Instruments - Other	ALLY AUTO RECEIVABLES TR SR 24-1 CL A3 5.17% 12-15-2028		322,258
***	Corporate Debt Instruments - Other	GOLDMAN SACHS GROUP INC 1.542% 09-10-2027		321,528
***	Corporate Debt Instruments - Other	CMO JPMCC COML MTG SECS 2015-JP1 CL A4 3.6498% 01-15-2049		321,102
***	Corporate Debt Instruments - Other	PVTPL TESLA ELEC VEH TR 2023-1 SER 23-1 CL A3 5.38% 06-20-2028		318,009

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

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	Corporate and Sovereign Debt			
***	Corporate Debt Instruments - Other	JPMDB COML MTG SECS TR 2016-C4 CL A-2 2.8822% 9-15-2026		312,863
***	Corporate Debt Instruments - Other	WELLS FARGO COML MTG TR 2016-NXS6 COML MTG PASSTHRU CTF CL 2.642% 11-15-2049		312,138
***	Corporate Debt Instruments - Other	DBJPM 2016-C1 MTG 3.015% DUE 05-10-2049		310,817
***	Corporate Debt Instruments - Other	TOYOTA AUTO RECEIVABLES OWNER TRUST SER 24-B CL A3 5.33% 01-16-2029		309,145
***	Corporate Debt Instruments - Other	RTX CORPORATION 3.125% 05-04-2027		308,813
***	Corporate Debt Instruments - Other	CNH EQUIP TR 2022-A ASSET BACKED NT CL A-3 2.83% 07-15-2027		308,078
***	Corporate Debt Instruments - Other	WORLD OMNI 5.26% DUE 10-15-2027		303,427
***	Corporate Debt Instruments - Other	PVTPL ENTERPRISE FLEET FINANCING LLC SER24-4 CL A3 4.56% 11-20-2028		298,692
***	Corporate Debt Instruments - Other	JPMORGAN CHASE & CO 5.571% 04-22-2028		294,952
***	Corporate Debt Instruments - Other	ELM TR 2024-ELM COML MTG PASSTHRU CTF 144A 5.80087% 06-10-2039		292,772
***	Corporate Debt Instruments - Other	SHERWIN-WILLIAMS 3.45% DUE 06-01-2027		291,684
***	Corporate Debt Instruments - Other	BMW VEH OWNER TR SER 24-A CL A3 5.18% 02-26-2029		288,346
***	Corporate Debt Instruments - Other	GOLDMAN SACHS GROUP INC 4.482% 08-23-2028		286,630
***	Corporate Debt Instruments - Other	PVTPL CMO INTOWN 2022-STAY MTG TR SER 22-STAY CLS A FLTG RT 08-15-2037		285,178
***	Corporate Debt Instruments - Other	BX TR 2022-PSB 6.76276% 08-15-2039		284,903
***	Corporate Debt Instruments - Other	NELNET STUDENT LN TR 2021-D PVT ED LN BKD NTS CL A-FL 5.12206% 04-20-2062		284,342
***	Corporate Debt Instruments - Other	JPMORGAN CHASE & CO 1.47% 09-22-2027		283,816
***	Corporate Debt Instruments - Other	AMERICAN EXPRESS CR ACCOUNT MASTER TR SER 23-3 CL A 5.23% 09-15-2028		283,563
***	Corporate Debt Instruments - Other	WF CARD ISSUANCE 4.94% DUE 02-15-2029		282,641
***	Corporate Debt Instruments - Other	PVTPL ENTERPRISE FLEET FING LLC SER 24-3 CL A34.98% 08-20-2028		281,625
***	Corporate Debt Instruments - Other	RABOBANK NEDERLAND 3.649% DUE 04-06-2028		281,356
***	Corporate Debt Instruments - Other	BANK OF AMERICA CORPORATION MTN 3.384% DUE 04-02-2026		278,952
***	Corporate Debt Instruments - Other	MISSISSIPPI HIGHER ED ASSISTANCE CORP SER 2014-1 CL A-1 FLTG RT 10-25-2035		278,083
***	Corporate Debt Instruments - Other	PVTPL VOLVO FINL EQUIP LLC SER 24-1A CL A3 4.29% 10-16-2028		277,992
***	Corporate Debt Instruments - Other	CHASE AUTO OWNER TR 2024-5 NT CL A-3 144A 4.18% 08-27-2029		277,357
***	Corporate Debt Instruments - Other	CMO DBJPM SER 2016-C3 CL A4 2.632% 09-10-2049		275,364
***	Corporate Debt Instruments - Other	PVTPL WHEELS FLEET LEASE FUNDING 1 LLC SER 23-1A CL A 5.8% DUE 04-18-2028		270,937
***	Corporate Debt Instruments - Other	GM FINANCIAL SECURITIZED TERM SR 23-4 CLA3 5.78% 08-16-2028		270,112
***	Corporate Debt Instruments - Other	U S BANCORP 6.787% 10-26-2027		268,824
***	Corporate Debt Instruments - Other	LIFE 2022-BMR2 MTG TR 5.607% 05-15-2039		268,813
***	Corporate Debt Instruments - Other	HONDA AUTO RECEIVABLES OWNER TRUST SR 24-1 CL A3 5.21% 08-15-2028		267,738
***	Corporate Debt Instruments - Other	CARMAX AUTO OWNER TRUST SER 23-3 CL A3 6% 05-15-2028		267,241
***	Corporate Debt Instruments - Other	JOHN DEERE OWNER TR SR 24-C CL A3 4.06% 06-15-2029		266,947
***	Corporate Debt Instruments - Other	WORLD OMNI AUTO RECEIVABLES TR SR 24-B CL A3 5.27% 09-17-2029		263,271
***	Corporate Debt Instruments - Other	BMW VEH LEASE TR SER 21-1 CL A3 4.98% 03-25-2027		261,381
***	Corporate Debt Instruments - Other	AMERICAN EXPRESS CREDIT ACCOUNT MASTER TRUST SER 23-1 CL A 4.87% DUE 05-15-2028		261,337
***	Corporate Debt Instruments - Other	BOFA AUTO TR 5.35% DUE 11-15-2028		258,209
***	Corporate Debt Instruments - Other	CNH EQUIPMENT TRUST SER 24-C CL A3 4.03%01-15-2030		256,314
***	Corporate Debt Instruments - Other	KEYBANK NATIONAL ASSOCIATION OH TRANCHE # TR 798 11/15/2022 5.85 11-15-2027		256,132
***	Corporate Debt Instruments - Other	PVTPL UBS GROUP AG 1.494% 08-10-2027		255,450
***	Corporate Debt Instruments - Other	SCHWAB CHARLES CORP NEW 5.875% 08-24-2026		254,308

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
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At December 31, 2024

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	Corporate and Sovereign Debt			
***	Corporate Debt Instruments - Other	GM FINANCIAL SECURITIZED TERM SER 24-3 CL A3 5.13% 04-16-2029		252,756
***	Corporate Debt Instruments - Other	PVTP L WMRK 2022-WMRK A SER 22-WMRK CL A FLTG RT 11-15-2027		252,415
***	Corporate Debt Instruments - Other	HYUNDAI AUTO RECEIVABLES TRUST SER 24-A CL A3 4.99% 02-15-2029		251,842
***	Corporate Debt Instruments - Other	PVTP L PROTECTIVE LIFE GLOBAL 4.992% 01-12-2027		251,069
***	Corporate Debt Instruments - Other	MORGAN STANLEY BK N A 4.968% 07-14-2028		250,785
***	Corporate Debt Instruments - Other	MORGAN STANLEY BK N A SALT LAKE CITY UTAH 4.952% 01-14-2028		250,338
***	Corporate Debt Instruments - Other	TORONTO-DOMINION BANK 4.693% 09-15-2027		249,443
***	Corporate Debt Instruments - Other	HALEON UK CAPITAL PLC 3.125% 03-24-2025		249,007
***	Corporate Debt Instruments - Other	RABOBANK NEDERLAND 1.339% DUE 06-24-2026		245,719
***	Corporate Debt Instruments - Other	PVTP L TOWD POINT MORTGAGE TRUST SER 21-1 CL A1 VAR 11-25-2061		245,507
***	Corporate Debt Instruments - Other	WELLS FARGO COM L 3.19% DUE 07-15-2050		243,926
***	Corporate Debt Instruments - Other	AMERICAN EXPRESS CO 5.389% 07-28-2027		242,644
***	Corporate Debt Instruments - Other	CITIGROUP INC 3.668% 07-24-2028		242,156
***	Corporate Debt Instruments - Other	NAVIENT STUDENT LN TR 2021-1 5.01965% 12-26-2069		240,137
***	Corporate Debt Instruments - Other	BANK AMER CORP 3.419% 12-20-2028		239,600
***	Corporate Debt Instruments - Other	WELLS FARGO & CO 4.808% 07-25-2028		239,136
***	Corporate Debt Instruments - Other	PVTP L SBNA AUTO LEASE TRUST SR 24-B CL A3 5.56% 11-22-2027		237,703
***	Corporate Debt Instruments - Other	JPMBB COM L MTG 3.7697% DUE 12-15-2048		237,581
***	Corporate Debt Instruments - Other	GM FINANCIAL AUTOMOBILE LEASIN 5.78% SER 24-2 CL A3 07-20-2027		237,562
***	Corporate Debt Instruments - Other	HEALTH CARE SVC CORP 1.5% 06-01-2025		236,701
***	Corporate Debt Instruments - Other	BK NEW YORK MELLON FLTG RT 3.992% DUE 06-13-2028		235,611
***	Corporate Debt Instruments - Other	BX COM L MTG TR 2024-GPA3 MTG PASS THRU CTF CL A 144A 5.60458% 12-15-2039		235,089
***	Corporate Debt Instruments - Other	CMO CSAIL COM L MTG TR SER 2015-C4 CL A3 3.5438% 11-15-2048		232,132
***	Corporate Debt Instruments - Other	PVTP L NORTHWESTERN MUT GLOBAL 4.11% 09-12-2027		226,824
***	Corporate Debt Instruments - Other	PVTP L TOYOTA AUTO LN EXT D NT TR 2021-1 NT CL A 144A VAR RT DUE 02-27-2034 BEO		225,990
***	Corporate Debt Instruments - Other	VERIZON FIXED 2.1% DUE 03-22-2028		220,759
***	Corporate Debt Instruments - Other	WEYERHAEUSER CO 4.75% 05-15-2026		220,045
***	Corporate Debt Instruments - Other	TCO COM L MTG TR 2024-DPM COM L MTG PASS THRU CTF CL A 5.55467% 12-15-2039		220,036
***	Corporate Debt Instruments - Other	BANK OF NOVA SCOTIA 4.404% 09-08-2028		217,751
***	Corporate Debt Instruments - Other	PVTP L CHASE AUTO OWNER TRUST SER 21-1A CL A3 5.13% 05-25-2029		216,630
***	Corporate Debt Instruments - Other	PVTP L FORD CR FLOORPLAN MASTER OWNER TR SR 24-1 CL A1 5.29% 04-15-2029		212,872
***	Corporate Debt Instruments - Other	WARNERMEDIA HLDGS INC SR NT 3.755% 03-15-2027		211,944
***	Corporate Debt Instruments - Other	SYNCHRONY CARD 5.04% DUE 03-15-2030		211,556
***	Corporate Debt Instruments - Other	WORLD OMNI SELECT 4.98% DUE 02-15-2030		211,023
***	Corporate Debt Instruments - Other	PVTP L NELNET STUDENT LN TR SER 21-BA CL AFL FLTG 04-20-2062		210,682
***	Corporate Debt Instruments - Other	WHEELS FLEET LEASE 6.46% DUE 08-18-2038		209,649
***	Corporate Debt Instruments - Other	WELLS FARGO & COMPANY 3.908% 04-25-2026		209,357
***	Corporate Debt Instruments - Other	MORGAN STANLEY BK FLTG RT 3.72% DUE 12-15-2049		209,059
***	Corporate Debt Instruments - Other	HCP INC FIXED 4% DUE 06-01-2025		208,995
***	Corporate Debt Instruments - Other	ALLY AUTO 4.14% DUE 07-16-2029		208,519
***	Corporate Debt Instruments - Other	PVTP L SFS AUTO RECEIVABLES SEC SR 23-1A CL A3 0.0% 10-20-2028		206,830

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

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	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost **	Current Value
	Corporate and Sovereign Debt			
***	Corporate Debt Instruments - Other	PVTPL M&T BK AUTO RECEIVABLES TR SER 24-1A CL A3 5.26% 02-17-2032		206,704
***	Corporate Debt Instruments - Other	PVTPL DLLMT 2023-1 LLC SR 23-1A CLS A3 5.34% 03-22-2027		206,221
***	Corporate Debt Instruments - Other	PVTPL DLLST LLC SR 24-1A CL A3 5.05% 08-20-2027		205,953
***	Corporate Debt Instruments - Other	PVTPL NELNET STUDENT LOAN TRUST SR 21-A CL APT1 1.36% 04-20-2062		203,565
***	Corporate Debt Instruments - Other	PVTPL KUBOTA CR OWNER TR 2024-1 NT CL A-3 144A 5.19% DUE 07-17-2028 BEO		202,204
***	Corporate Debt Instruments - Other	PVTPL NTT FIN CORP 5.104% 07-02-2027		201,744
***	Corporate Debt Instruments - Other	NISSAN MASTER 5.05% DUE 02-15-2029		201,597
***	Corporate Debt Instruments - Other	PVTPL MASSMUTUAL GLOBAL FUNDING 5.05% DUE 06-14-2028		201,575
***	Corporate Debt Instruments - Other	WILLIAMS COMPANIES INC 5.4% DUE 03-02-2026		201,364
***	Corporate Debt Instruments - Other	CITIGROUP INC 5.61% 09-29-2026		201,086
***	Corporate Debt Instruments - Other	PVTPL BAE SYS PLC 5.0% 03-26-2027		200,872
***	Corporate Debt Instruments - Other	TAKE-TWO INTERACTIVE SOFTWARE INC 4.95% 04-14-2023		199,960
***	Corporate Debt Instruments - Other	FORD MTR CR CO LLC 5.125% 11-05-2026		199,833
***	Corporate Debt Instruments - Other	LIFE 2021-BMR MTG FLTGT RT 5.121% DUE 03-15-2038		199,721
***	Corporate Debt Instruments - Other	GENERAL MTRS FINL CO INC 3.8% 04-07-2025		199,369
***	Corporate Debt Instruments - Other	PVTPL CRH AMERICA INC BNDS 3.875% DUE 05-18-2025 BEO		198,935
***	Corporate Debt Instruments - Other	PVTPL BAYER US FIN II LLC 4.25% 12-15-2025		198,654
***	Corporate Debt Instruments - Other	CHASE AUTO OWNER TR 2024-2 NT CL A-3 144A 5.52% 06-25-2029		197,989
***	Corporate Debt Instruments - Other	5TH 3RD BK CIN OH 3.85% DUE 03-15-2026		197,484
***	Corporate Debt Instruments - Other	INGERSOLL-RAND 3.5% DUE 03-21-2026		197,214
***	Corporate Debt Instruments - Other	PVTPL CHASE AUTO OWNER TRUST ASSET BACKED NT CL A-3 5.22% 07-25-2029		197,072
***	Corporate Debt Instruments - Other	ALEXANDRIA REAL 3.95% DUE 01-15-2027		196,598
***	Corporate Debt Instruments - Other	PVTPL TOYOTA AUTO LOAN EXTENDED NOTE 3.82% DUE 04-25-2035 BEO		196,516
***	Corporate Debt Instruments - Other	HSBC HLDGS PLC 4.041% 03-13-2028		195,768
***	Corporate Debt Instruments - Other	NAVIENT PVT ED 4.16% DUE 10-15-2070		195,377
***	Corporate Debt Instruments - Other	ANGLO AMERN CAP 4% DUE 09-11-2027		194,580
***	Corporate Debt Instruments - Other	PVTPL BNP PARIBAS SR NON PFD MED 4.4% 08-14-2028		194,335
***	Corporate Debt Instruments - Other	ENERGY TRANSFER L P 6.05% 12-01-2026		194,068
***	Corporate Debt Instruments - Other	PEACEHEALTH 1.375% DUE 11-15-2025		193,798
***	Corporate Debt Instruments - Other	PVTPL CRH AMER FIN INC GTD NT 144A 3.4% DUE 05-09-2027/05-09-2017 BEO		193,570
***	Corporate Debt Instruments - Other	NAVIENT PVT ED REFI LN TR 2021-E PVT ED LN BACKED NT CL A .97% 12-16-2069		193,337
***	Corporate Debt Instruments - Other	PVTPL ENTERPRISE FLEET FINANCING LLC SR 24-2 CL A3 5.61% 04-20-2028		193,271
***	Corporate Debt Instruments - Other	ERP OPER LTD 3.25% DUE 08-01-2027		192,549
***	Corporate Debt Instruments - Other	HYUNDAI AUTO LEASE 5.41% DUE 05-17-2027		192,215
***	Corporate Debt Instruments - Other	UNION ELEC CO 2.95% DUE 06-15-2027		192,176
***	Corporate Debt Instruments - Other	ROCHESTER GAS & 3.1% DUE 06-01-2027		191,301
***	Corporate Debt Instruments - Other	PVTPL TSMC GLOBAL LTD 1.25% DUE 04-23-2026/04-23-2021 BEO		191,134
***	Corporate Debt Instruments - Other	PVTPL TESLA AUTO LEASE TRUST SER 24-A CLA3 5.3% 06-21-2027		191,106
***	Corporate Debt Instruments - Other	MITSUBISHI UFJ FINL GROUP INC SR CALL FXD TO FXD RESET 2.341% 01-19-2028		190,268
***	Corporate Debt Instruments - Other	INTL BUSINESS 2.2% DUE 02-09-2027		189,991
***	Corporate Debt Instruments - Other	AMERICAN EXPRESS CO 2.25% DUE 03-04-2025BEO		189,418

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

(a)	(b)	(c)	(d)	(e)
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***	Corporate Debt Instruments - Other	PVTPL PROTECTIVE LIFE GLOBAL 1.303% 09-20-2026		188,692
***	Corporate Debt Instruments - Other	BLACK HILLS CORP 3.95% DUE 01-15-2026		188,025
***	Corporate Debt Instruments - Other	PVTPL ARI FLEET LEASE TRUST SER 24-B CL A2 0.0% 04-15-2033		186,526
***	Corporate Debt Instruments - Other	HYUNDAI AUTO RECEIVABLES TRUST SER 24-B CL A3 4.84% 03-15-2029		185,938
***	Corporate Debt Instruments - Other	PVTPL CMO SDR COMMERCIAL MORTGAGE TRUST SER 24-DSNY CL A FLTG 05-15-2039		184,942
***	Corporate Debt Instruments - Other	ROGERS COMMUNICATIONS INC 3.2% 03-15-2027		183,507
***	Corporate Debt Instruments - Other	PVTPL BNP PARIBAS SR NON PFD 1.904% 09-30-2028		183,343
***	Corporate Debt Instruments - Other	CITIZENS AUTO 5.83% DUE 02-15-2028		182,442
***	Corporate Debt Instruments - Other	PVTPL MUTUAL OF OMAHA GLOBAL 5.35% 04-09-2027		181,400
***	Corporate Debt Instruments - Other	GE HEALTHCARE HLDG LLC GTD SR NT 5.6% 11-15-2025		181,206
***	Corporate Debt Instruments - Other	PVTPL ENTERPRISE FLEET FING 2023-2 LLC SRS 23-2 CLS A2 5.56% 04-22-2030		181,088
***	Corporate Debt Instruments - Other	PNC FINL SVCS FLTG RT 5.812% DUE 06-12-2026		180,765
***	Corporate Debt Instruments - Other	PVTPL MERCEDES-BENZ FIN NORTH AMER LLC 4.75% 08-01-2027		179,711
***	Corporate Debt Instruments - Other	PVTPL PRINCIPAL LIFE GLOBAL FUND II 4.6% 08-19-2024		179,465
***	Corporate Debt Instruments - Other	STATE STREET CORP 1.746% 02-06-2026		179,412
***	Corporate Debt Instruments - Other	PVTPL BMW US CAPITAL LLC NT 4.6% 08-13-2027		179,076
***	Corporate Debt Instruments - Other	CDN PAC RY CO NEW 3.7% DUE 02-01-2026		177,563
***	Corporate Debt Instruments - Other	FORD CR AUTO OWNER 3.88% DUE 11-15-2034		176,748
***	Corporate Debt Instruments - Other	CDN NAT RES LTD 3.85% DUE 06-01-2027		176,169
***	Corporate Debt Instruments - Other	PVTPL CMO FIGRE TRUST 2023-HE1 SR 24-MF23 CLA FRN 06-15-2041		175,651
***	Corporate Debt Instruments - Other	CAP 1 PRIME AUTO 5.82% DUE 06-15-2028		172,966
***	Corporate Debt Instruments - Other	PPG INDUSTRIES 1.2% DUE 03-15-2026		172,394
***	Corporate Debt Instruments - Other	L3HARRIS TECHNOLOGIES INC 5.4% 01-15-2027		172,078
***	Corporate Debt Instruments - Other	BANK OF AMERICA CORPORATION 2.551% 02-04-2028		171,633
***	Corporate Debt Instruments - Other	VERIZON MASTER TR SR 23-4 CL A1A 5.16% 06-20-2029		171,603
***	Corporate Debt Instruments - Other	GOLDMAN SACHS GROUP INC 5.798% 08-10-2026		170,940
***	Corporate Debt Instruments - Other	PNC FINANCIAL SERVICES 5.102% 07-23-2027		170,855
***	Corporate Debt Instruments - Other	BA CREDIT CARD TRUST SR 23-A1 CL A1 4.79% 05-15-2028		170,744
***	Corporate Debt Instruments - Other	MPLX LP 4% DUE 02-15-2025		169,790
***	Corporate Debt Instruments - Other	JPMDB COML MTG 3.1439% DUE 06-15-2049		169,718
***	Corporate Debt Instruments - Other	JPMORGAN CHASE & CO 4.323% 04-26-2028		167,950
***	Corporate Debt Instruments - Other	WESTROCK CO CORP BOND 4.0% 03-15-2028		164,808
***	Corporate Debt Instruments - Other	MARATHON PETE CORP 3.8% 04-01-2028		164,035
***	Corporate Debt Instruments - Other	MASCO CORP 3.5% 11-15-2027		163,716
***	Corporate Debt Instruments - Other	PVTPL HEINEKEN N V SR NT 144A 3.5% 01-29-2028		163,605
***	Corporate Debt Instruments - Other	GENERAL MTRS FINL CO INC 5.4% 05-08-2027		161,668
***	Corporate Debt Instruments - Other	BX COML MTG TR 2024-AIRC COML MTG PASSTHRU CTF CL A 6.003% 08-15-2039		161,101
***	Corporate Debt Instruments - Other	TRUIST FINL CORP SR 4.26% 07-28-2026		159,371
***	Corporate Debt Instruments - Other	BAE SYS HLDGS INC 3.85% DUE 12-15-2025		158,521
***	Corporate Debt Instruments - Other	BANK AMER CORP 4.376% 04-27-2028		158,286
***	Corporate Debt Instruments - Other	PVTPL EAST OHIO GAS CO 1.3% DUE 06-15-2025/06-16-2020 BEO		157,252

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

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	Corporate and Sovereign Debt			
***	Corporate Debt Instruments - Other	NORFOLK SOUTHN 2.9% DUE 06-15-2026		156,278
***	Corporate Debt Instruments - Other	JPMORGAN CHASE & FLTG RT 1.045% DUE 11-19-2026		154,843
***	Corporate Debt Instruments - Other	STATE STR CORP 2.203% 02-07-2028		152,045
***	Corporate Debt Instruments - Other	NUTRIEN LTD 5.2% 06-21-2027		151,530
***	Corporate Debt Instruments - Other	NEWMONT CORP / NEWCREST FIN PTY LTD NT 5.3% 03-15-2026		151,048
***	Corporate Debt Instruments - Other	PVTPL ALLIANT ENERGY FIN 5.4% 06-06-2027		150,982
***	Corporate Debt Instruments - Other	J P MORGAN CHASE COML MTG SECS TR COML MTG PASS THRU CTF CL A 6.746% 03-15-2039		150,375
***	Corporate Debt Instruments - Other	PVTPL DAIMLER TRUCK FIN NORTH AMER LLC 5.0% 01-15-2027		150,321
***	Corporate Debt Instruments - Other	BANK OF AMERICA CORP 4.948% 07-22-2028		150,289
***	Corporate Debt Instruments - Other	JPMORGAN CHASE & CO. 4.851% DUE 07-25-2028		149,988
***	Corporate Debt Instruments - Other	WELLS FARGO & COMPANY 4.54% 08-15-2026		149,699
***	Corporate Debt Instruments - Other	CNH INDL CAP LLC 4.5% 10-08-2027		148,658
***	Corporate Debt Instruments - Other	AGILENT TECHNOLOGIES INC 4.2% 09-09-2027		148,190
***	Corporate Debt Instruments - Other	MPXL LP FIXED 4.125% DUE 03-01-2027		147,783
***	Corporate Debt Instruments - Other	WELLTOWER INC FIXED 4.25% DUE 04-15-2028		146,840
***	Corporate Debt Instruments - Other	FISERV INC 4.2% 10-01-2028		145,866
***	Corporate Debt Instruments - Other	CUBESMART L P 3.125% DUE 09-01-2026		145,819
***	Corporate Debt Instruments - Other	PVPTL MID-ATLANTIC INTST TRANSMISSION LLC 4.1% DUE 05-15-2028		145,585
***	Corporate Debt Instruments - Other	PVTPL MONONGAHELA PWR CO 3.55% DUE 05-15-2027 BEO		145,490
***	Corporate Debt Instruments - Other	FMC CORP 3.2% DUE 10-01-2026		145,487
***	Corporate Debt Instruments - Other	PVTPL BX COMMERCIAL MORTGAGE TRUST 2022-C SR 2022-CSMO CL A FRN 144A 06-15-2027		145,453
***	Corporate Debt Instruments - Other	PHILLIPS 66 3.9% DUE 03-15-2028		145,425
***	Corporate Debt Instruments - Other	BANK AMER CORP 3.593% 07-21-2028		145,189
***	Corporate Debt Instruments - Other	PVTPL SBNA AUTO LEASE TR SR 24-C CL A3 4.56% 02-22-2028		144,937
***	Corporate Debt Instruments - Other	PVTPL CMO BX TR 2021-ARIA BX 2021-ARIA AVAR RT DUE 10-15-2036 BEO		144,592
***	Corporate Debt Instruments - Other	CITIGROUP INC 3.52% 10-27-2028		144,354
***	Corporate Debt Instruments - Other	CITIGROUP INC NT 3.07% 02-24-2028		144,234
***	Corporate Debt Instruments - Other	GOLDMAN SACHS GROUP INC 1.431% 03-09-2027		143,996
***	Corporate Debt Instruments - Other	PHYSICIANS RLTY LP 4.3% DUE 03-15-2027		143,428
***	Corporate Debt Instruments - Other	NORTHROP GRUMMAN 3.25% DUE 01-15-2028		143,355
***	Corporate Debt Instruments - Other	BANK NEW YORK MELLON CORP 4.89% 07-21-2028		140,550
***	Corporate Debt Instruments - Other	TORONTO DOMINION BANK 4.98% 04-05-2027		140,484
***	Corporate Debt Instruments - Other	BX COML MTG TR FLTG RT 5.12624% DUE 09-15-2036		139,738
***	Corporate Debt Instruments - Other	PVTPL EMD FINANCE LLC 3.25% DUE 03-19-2025 BEO		139,550
***	Corporate Debt Instruments - Other	SEMPRA ENERGY 3.3% 04-01-2025		139,451
***	Corporate Debt Instruments - Other	NXP B V/NXP FDG LLC/NXP USA INC 4.4% DUE06-01-2027 BEO		138,649
***	Corporate Debt Instruments - Other	STATE STR CORP FLTG RT 4.53% DUE 02-20-2029		138,418
***	Corporate Debt Instruments - Other	PVTPL MMAF EQUIP FIN LLC 2021-A ASSET BACKED NT CL A-3 144A .56% 06-13-2028		137,115
***	Corporate Debt Instruments - Other	ENTERGY ARK INC 4% DUE 06-01-2028		136,554
***	Corporate Debt Instruments - Other	UDR INC 2.95% DUE 09-01-2026		135,737
***	Corporate Debt Instruments - Other	PVTPL GULFSTREAM NAT GAS SYS LLC SR NT 144A 4.6% DUE 09-15-2025/06-15-2025 BEO		134,463

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

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	Corporate and Sovereign Debt			
***	Corporate Debt Instruments - Other	AMPHENOL CORP NEW 2.05% DUE 03-01-2025		134,349
***	Corporate Debt Instruments - Other	MORGAN STANLEY FIXED 1.593% DUE 05-04-2027		134,203
***	Corporate Debt Instruments - Other	RELIANCE INDS LTD 1.87% DUE 01-15-2026		132,125
***	Corporate Debt Instruments - Other	CARMAX AUTO OWNER 5.5% DUE 02-15-2029		132,072
***	Corporate Debt Instruments - Other	PVTPL NAVIENT STUDENT LN TR 2014-1 SR 22-A CL A 2.23% 07-15-2070		131,568
***	Corporate Debt Instruments - Other	PVTPL NEW YORK LIFE GLOBAL FDG MEDIUM TERM NTS TRANCHE 06-13-2028		130,586
***	Corporate Debt Instruments - Other	AMERN EXPRESS CO FLTG RT 4.99% DUE 05-01-2026		130,035
***	Corporate Debt Instruments - Other	VERIZON MASTER TRUST SR 24-8 CL A1A 4.62% 11-20-2030		129,862
***	Corporate Debt Instruments - Other	WESTROCK CO CORP BOND 3.75% 03-15-2025		129,656
***	Corporate Debt Instruments - Other	BK NEW YORK MELLON FLTG RT 4.414% DUE 07-24-2026		129,650
***	Corporate Debt Instruments - Other	MICROCHIP TECHNOLOGY INC 4.9% 03-15-2028		129,588
***	Corporate Debt Instruments - Other	CAPITAL ONE FINL CORP 2.636% 03-03-2026		129,451
***	Corporate Debt Instruments - Other	ORACLE CORP FIXED 2.3% DUE 03-25-2028		129,425
***	Corporate Debt Instruments - Other	CITIGROUP INC 4.658% DUE 05-24-2028 BEO		129,170
***	Corporate Debt Instruments - Other	HP ENTERPRISE CO 4.4% 09-25-2027		128,651
***	Corporate Debt Instruments - Other	SUTTER HEALTH 1.321% DUE 08-15-2025		127,134
***	Corporate Debt Instruments - Other	CDN PAC RY CO NEW FIXED 4% 06-01-2028		126,569
***	Corporate Debt Instruments - Other	PVTPL TOWD PT MTG TR SER 18-3 CL A1 FLTG 05-25-2058		125,397
***	Corporate Debt Instruments - Other	FED RLTY INVT TR 1.25% DUE 02-15-2026		124,788
***	Corporate Debt Instruments - Other	NELNET STUDENT LN TR 2004-4 ASSET BKD NTCL A-5 FLTG 01-25-2037 REG		124,491
***	Corporate Debt Instruments - Other	ENBRIDGE INC 5.9% 11-15-2026		122,333
***	Corporate Debt Instruments - Other	COTERRA ENERGY INC 3.9% 05-15-2027		122,207
***	Corporate Debt Instruments - Other	NAVISTAR FINL 6.18% DUE 08-25-2028		121,095
***	Corporate Debt Instruments - Other	GENERAL MTRS FINL CO INC 6.05% 10-10-2025		121,031
***	Corporate Debt Instruments - Other	AMERICAN EXPRESS CO 5.043% 07-26-2028		120,616
***	Corporate Debt Instruments - Other	ETHIOPIAN LEASING 2.646% DUE 05-12-2026		120,546
***	Corporate Debt Instruments - Other	AMERN HONDA FIN CORP MED TERM NTS TRANCHE # TR 130 4.9% 07-09-2027		120,414
***	Corporate Debt Instruments - Other	KIMCO RLTY CORP 3.3% DUE 02-01-2025		119,811
***	Corporate Debt Instruments - Other	CNH INDL CAP LLC 3.95% 05-23-2025		119,583
***	Corporate Debt Instruments - Other	STANLEY BLACK & DECKER INC 2.3% 02-24-2025		119,540
***	Corporate Debt Instruments - Other	HONDA AUTO RECEIVABLES OWNER TR SER 22-2 CL A4 3.76% DUE 12-18-2028		119,165
***	Corporate Debt Instruments - Other	ROYAL BANK OF CANADA MTN 4.522% 10-18-2028		118,991
***	Corporate Debt Instruments - Other	ALBEMARLE CORP 4.65% 06-01-2027		118,985
***	Corporate Debt Instruments - Other	EASTMAN CHEM CO 4.5% 12-01-2028		117,742
***	Corporate Debt Instruments - Other	NELNET STUDENT LN TR 2021-A 5.23206% 04-20-2062		117,672
***	Corporate Debt Instruments - Other	T-MOBILE USA INC 2.625% DUE 04-15-2026 BEO		116,942
***	Corporate Debt Instruments - Other	PVTPL MUTUAL OF OMAHA GLOBAL 5.8% 07-27-2026		111,415
***	Corporate Debt Instruments - Other	MORGAN STANLEY 6.138% 10-16-2026		111,113
***	Corporate Debt Instruments - Other	ELM TR 2024-ELM COML MTG PASSTHRU CTF 144A 5.99423% 06-10-2039		110,917
***	Corporate Debt Instruments - Other	PVTPL CMO BOCA COML MTG TR SR 24- BOCA CL A FLTG 08-15-2041		110,481
***	Corporate Debt Instruments - Other	PVTPL CMO BX TRUST VAR RT DUE 07-15-2029BEO		110,447

Sony USA 401(k) Plan
EIN: 13-1914734 PN: 002
Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

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***	Corporate Debt Instruments - Other	PVTPL CMO GREAT WOLF TRUST SR 24-WLF2 CL A FLTG RT 05-15-2041		110,413
***	Corporate Debt Instruments - Other	CVS HEALTH CORP 1.3% DUE 08-21-2027 REG		108,746
***	Corporate Debt Instruments - Other	BROADCOM INC 4.15% 02-15-2028		108,169
***	Corporate Debt Instruments - Other	LENNOX INTL INC 1.35% DUE 08-01-2025		107,658
***	Corporate Debt Instruments - Other	PARKER-HANNIFIN FIXED 4.25% DUE 09-15-2027		103,882
***	Corporate Debt Instruments - Other	MID-AMERICA APTS LP 1.1% 09-15-2026		103,623
***	Corporate Debt Instruments - Other	GE HEALTHCARE HLDG LLC GTD SR NT 5.65% 11-15-2027		102,429
***	Corporate Debt Instruments - Other	JPMORGAN CHASE & CO 6.07% 10-22-2027		102,324
***	Corporate Debt Instruments - Other	MORGAN STANLEY 5.652% 04-13-2028		101,699
***	Corporate Debt Instruments - Other	LENNOX INTL INC 5.5% 09-15-2028		101,632
***	Corporate Debt Instruments - Other	DELL EQUIP FIN TR 5.39% DUE 03-22-2030		101,111
***	Corporate Debt Instruments - Other	PVTPL GULFSTREAM NAT GAS SYS LLC GULFSTREAM NAT 6.19% DUE 11-01-2025 BEO		100,919
***	Corporate Debt Instruments - Other	WELLS FARGO & COMPANY 5.198% 01-23-2030		100,222
***	Corporate Debt Instruments - Other	PVTPL PFS FING CORP 2024-F ASSET BACKED CTF CL A 144A 4.75% DUE 08-15-2029 BEO		99,758
***	Corporate Debt Instruments - Other	WARNERMEDIA HLDGS INC SR NT 3.638% 03-15-2025		99,663
***	Corporate Debt Instruments - Other	AGREE LTD PARTNERSHIP 2.0% DUE 06-15-2028 BEO		99,629
***	Corporate Debt Instruments - Other	ONNI COML MTG TR 2024-APT 5.75301% 07-15-2039		98,823
***	Corporate Debt Instruments - Other	ONEOK INC 4.25% 09-24-2027		98,544
***	Corporate Debt Instruments - Other	PVTPL HYUNDAI CAPITAL AMERICA 4.3% 09-24-2027		98,378
***	Corporate Debt Instruments - Other	EXTRA SPACE STORAGE L P SR NT 3.5% 07-01-2026		98,122
***	Corporate Debt Instruments - Other	MOSAIC CO NEW FIXED 4.05% DUE 11-15-2027		97,976
***	Corporate Debt Instruments - Other	GENERAL MILLS INC 4.2% DUE 04-17-2028		97,918
***	Corporate Debt Instruments - Other	PVTPL PRINCIPAL LIFE GLOBAL FDG II GLOBAL MEDI 3% DUE 04-18-2026 REG		97,838
***	Corporate Debt Instruments - Other	CVS HEALTH CORP 2.875% DUE 06-01-2026		97,100
***	Corporate Debt Instruments - Other	PVTPL GUARDIAN LIFE GLOBAL FDG SR SECD MEDIUM 3.246% DUE 03-29-2027 BEO		96,953
***	Corporate Debt Instruments - Other	REALTY INCOME CORP 3% DUE 01-15-2027		96,724
***	Corporate Debt Instruments - Other	MPLX LP 1.75% DUE 03-01-2026		96,543
***	Corporate Debt Instruments - Other	ORACLE CORP 1.65% 03-25-2026		96,420
***	Corporate Debt Instruments - Other	FEDERAL RLTY INVT TR 3.25% 07-15-2027		96,160
***	Corporate Debt Instruments - Other	AMERICREDIT AUTOMOBILE RECEIVABLES SER 24-1 CL A3 5.6% 01-18-2029		96,003
***	Corporate Debt Instruments - Other	DTE ELECTRIC COMP 1.9% DUE 04-01-2028		91,573
***	Corporate Debt Instruments - Other	AMEREN CORP 5.7% 12-01-2026		91,459
***	Corporate Debt Instruments - Other	JPMORGAN CHASE & CO 4.979% 07-22-2028		90,301
***	Corporate Debt Instruments - Other	PVTPL TOWD PT MTG TR 2017-6 NT CL A1 VAR RT DUE 10-25-2057 BEO		89,901
***	Corporate Debt Instruments - Other	FLORIDA PWR & LT CO 4.4% 05-15-2028		89,121
***	Corporate Debt Instruments - Other	WESTROCK CO FIXED 3.37% DUE 09-15-2027		86,584
***	Corporate Debt Instruments - Other	WI PWR & LT CO FIXED 3.05% 10-15-2027		86,138
***	Corporate Debt Instruments - Other	PVTPL DLLAD LLC SR 24-1A CL A3 5.3% 07-20-2029		86,120
***	Corporate Debt Instruments - Other	TAKE-TWO INTERACTIVE SOFTWARE INC 5.0% 03-28-2026		85,219
***	Corporate Debt Instruments - Other	HONDA AUTO RECEIVABLES SR 24-4 CL A3 4.33% 05-15-2029		84,584
***	Corporate Debt Instruments - Other	WEC ENERGY GROUP 1.375% DUE 10-15-2027		82,156

Sony USA 401(k) Plan
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Schedule H, Line 4i Schedule of Assets (Held at End of Year)
At December 31, 2024

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	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost **	Current Value
	Corporate and Sovereign Debt			
***	Corporate Debt Instruments - Other	PVTPL MUTUAL OF OMAHA GLOBAL 5.45% 12-12-2028		80,844
***	Corporate Debt Instruments - Other	ONEOK INC NEW 5.0% 03-01-2026		80,129
***	Corporate Debt Instruments - Other	GENERAL MILLS INC 4.7% 01-30-2027		79,971
***	Corporate Debt Instruments - Other	MARATHON PETE CORP 4.7% DUE 05-01-2025 REG		79,910
***	Corporate Debt Instruments - Other	ENBRIDGE INC SR NT 2.5% 02-14-2025		79,764
***	Corporate Debt Instruments - Other	WILLIAMS COMPANIES INC 4.9% 03-15-2029		79,409
***	Corporate Debt Instruments - Other	NNN REIT INC 4% DUE 11-15-2025		79,347
***	Corporate Debt Instruments - Other	PVTPL TOWD POINT MORTGAGE TRUST ABS SR 18-6 CL A1A VAR 03/25/2058		78,406
***	Corporate Debt Instruments - Other	KIMCO RLTY CORP 3.8% 04-01-2027		78,362
***	Corporate Debt Instruments - Other	SABINE PASS FIXED 4.2% DUE 03-15-2028		78,102
***	Corporate Debt Instruments - Other	PHILLIPS 66 CO 3.75% 03-01-2028		77,457
***	Corporate Debt Instruments - Other	NATIONAL RETAIL PPTYS INC 3.5% 10-15-2027		77,244
***	Corporate Debt Instruments - Other	PVTPL BMW US CAP LLC 3.95% 08-14-2028		77,192
***	Corporate Debt Instruments - Other	CENTERPOINT ENERGY 2.4% DUE 09-01-2026		77,136
***	Corporate Debt Instruments - Other	PACKAGING CORP 3.4% DUE 12-15-2027		77,062
***	Corporate Debt Instruments - Other	DUKE ENERGY PROGRESS LLC 3.7% 09-01-2028		77,054
***	Corporate Debt Instruments - Other	GOLDMAN SACHS GROUP INC 2.64% DUE 02-24-2028 BEO		76,308
***	Corporate Debt Instruments - Other	MORGAN STANLEY 2.475% 01-21-2028		76,230
***	Corporate Debt Instruments - Other	CNH INDL CAP LLC FIXED 1.45% DUE 07-15-2026		76,070
***	Corporate Debt Instruments - Other	PVTPL TOWD PT MTG TR SER 2019-HY3 CL A1A FLTG 12-25-2048		75,192
***	Corporate Debt Instruments - Other	FISERV INC 3.2% DUE 07-01-2026		73,357
***	Corporate Debt Instruments - Other	LABORATORY CORP AMER HLDGS 3.6% 09-01-2027		72,931
***	Corporate Debt Instruments - Other	PNC FINL SVCS GROUP INC 6.615% 10-20-2027		72,111
***	Corporate Debt Instruments - Other	PNC FINANCIAL SERVICES 5.3% 01-21-2028		70,594
***	Corporate Debt Instruments - Other	EQUIFAX INC FIXED 5.1% DUE 12-15-2027		70,374
***	Corporate Debt Instruments - Other	VENTAS REALTY LIMITED PARTNERSHIP 2.65% DUE 01-15-2025		69,888
***	Corporate Debt Instruments - Other	VENTAS REALTY LP 3.5% DUE 02-01-2025		69,879
***	Corporate Debt Instruments - Other	NUCOR CORP 3.95% 05-23-2025		69,747
***	Corporate Debt Instruments - Other	ROGERS COMMUNICATIONS INC SR NT 2.95% 03-15-2025		69,616
***	Corporate Debt Instruments - Other	VENTAS RLTY LTD 3.85% DUE 04-01-2027		68,357
***	Corporate Debt Instruments - Other	COMCAST CORP NEW 4.15% DUE 10-15-2028		68,278
***	Corporate Debt Instruments - Other	INGREDION INC 3.2% DUE 10-01-2026		68,052
***	Corporate Debt Instruments - Other	BP CAP MKTS AMER FIXED 3.017% DUE 01-16-2027		67,840
***	Corporate Debt Instruments - Other	FEDEX CORP 3.4% DUE 02-15-2028		67,061
***	Corporate Debt Instruments - Other	AT&T INC 2.3% DUE 06-01-2027		61,339
***	Corporate Debt Instruments - Other	WELLS FARGO & COMPANY 5.707% 04-22-2028		60,995
***	Corporate Debt Instruments - Other	RYDER SYSTEM INC 5.3% 03-15-2027		60,654
***	Corporate Debt Instruments - Other	EQUIFAX INC 5.1% 06-01-2028		60,223
***	Corporate Debt Instruments - Other	WEC ENERGY GROUP INC 5.0% DUE 09-27-2025BEO		60,103
***	Corporate Debt Instruments - Other	CAPITAL ONE FINL CORP SR NT FIXED / FLTG4.927% 05-10-2028		59,802
***	Corporate Debt Instruments - Other	PVTPL COREBRIDGE GLOB FUNDING 4.65% 08-20-2027		59,784

Sony USA 401(k) Plan
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	Corporate and Sovereign Debt			
***	Corporate Debt Instruments - Other	WELLTOWER INC 4% DUE 06-01-2025		59,778
***	Corporate Debt Instruments - Other	PVTPL FLORIDA GAS TRANSMISSION CO SR NT 4.35% DUE 07-15-2025		59,752
***	Corporate Debt Instruments - Other	HEWLETT PACKARD 4.45% DUE 09-25-2026		59,693
***	Corporate Debt Instruments - Other	T-MOBILE USA INC 2.25% DUE 02-15-2026		58,277
***	Corporate Debt Instruments - Other	CAPITAL ONE FINL CORP 1.878% 11-02-2027		56,686
***	Corporate Debt Instruments - Other	HONDA AUTO RECEIVABLES TR SR 23-4 CL A4 5.66% 02-21-2030		51,010
***	Corporate Debt Instruments - Other	AMERICAN EXPRESS CO 6.338% 10-30-2026		50,619
***	Corporate Debt Instruments - Other	SABINE PASS LIQUEFACTION LLC 5.875% 06-30-2026		50,471
***	Corporate Debt Instruments - Other	BHP BILLITON FIN USA LTD 5.1% DUE 09-08-2028		50,416
***	Corporate Debt Instruments - Other	BANK MONTREAL QUE FIXED 5.203% DUE 02-01-2028		50,385
***	Corporate Debt Instruments - Other	HP INC FIXED 4.75% DUE 01-15-2028		49,929
***	Corporate Debt Instruments - Other	CITIGROUP INC 3.106% DUE 04-08-2026		49,760
***	Corporate Debt Instruments - Other	AMERICAN HONDA FINANCE 4.45% 10-22-2027		49,636
***	Corporate Debt Instruments - Other	ENABLE MIDSTREAM PARTNERS LP 4.4% DUE 03-15-2027 REG		49,516
***	Corporate Debt Instruments - Other	HUNTINGTON BANCSHARES INC SR NT FIXED/FLTG 4.443% 08-04-2028		49,487
***	Corporate Debt Instruments - Other	CINTAS CORP NO 2 3.7% DUE 04-01-2027		49,005
***	Corporate Debt Instruments - Other	BECTON DICKINSON & 3.7% DUE 06-06-2027		48,814
***	Corporate Debt Instruments - Other	PVTPL CMO MHC COML MTG TR 2021-MHC VAR RT DUE 04-15-2026 BEO		48,723
***	Corporate Debt Instruments - Other	COMCAST CORP NEW 3.55% DUE 05-01-2028		47,997
***	Corporate Debt Instruments - Other	UDR INC 3.5% 01-15-2028		47,844
***	Corporate Debt Instruments - Other	KINDER MORGAN INC DEL 1.75% 11-15-2026		47,405
***	Corporate Debt Instruments - Other	HELIOS LEASING I 1.825 DUE 05-16-2025		45,948
***	Corporate Debt Instruments - Other	NAVISTAR FINL 5.59% DUE 04-25-2029		40,438
***	Corporate Debt Instruments - Other	PVTPL PENNSYLVANIA ELEC CO 5.15% 03-30-2026		40,103
***	Corporate Debt Instruments - Other	NUTRIEN LTD 3.0% DUE 04-01-2025		39,822
***	Corporate Debt Instruments - Other	AGL CAP CORP 3.875% DUE 11-15-2025		39,632
***	Corporate Debt Instruments - Other	BOEING CO FIXED 2.196% DUE 02-04-2026		38,790
***	Corporate Debt Instruments - Other	MCCORMICK & CO INC .9% DUE 02-15-2026 REG		38,311
***	Corporate Debt Instruments - Other	INTERSTATE PWR & LT CO 3.6% 04-01-2029		37,880
***	Corporate Debt Instruments - Other	FIFTH THIRD BANCORP SR NT FIXED TO FLTG RATE 4.055% 04-25-2028		29,354
***	Corporate Debt Instruments - Other	WELLS FARGO & COMPANY 3.584% 05-22-2028		29,074
***	Corporate Debt Instruments - Other	HPEFS EQUIP TR 3.76% DUE 09-20-2029		23,874
***	Corporate Debt Instruments - Other	WI ENERGY CORP 3.55% DUE 06-15-2025		22,839
***	Corporate Debt Instruments - Other	CONOCOPHILLIPS CO FIXED 2.4% DUE 03-07-2025		21,905
***	Corporate Debt Instruments - Other	TAKE-TWO INTERACTIVE SOFTWARE INC 3.55% 04-14-2025		19,927
***	Corporate Debt Instruments - Other	PACIFICORP 3.35% DUE 07-01-2025		19,847
***	Corporate Debt Instruments - Other	AMERICAN EXPRESS CO 5.098% 02-16-2028		10,058
***	Corporate Debt Instruments - Other	PVTPL CMO TOWD PT MTG TR 2017-5 VAR RT DUE 02-25-2057 BEO		6,842
		Total Corporate and Sovereign Debt		90,159,598

Sony USA 401(k) Plan
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Schedule H, Line 4i Schedule of Assets (Held at End of Year)
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	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	Cost **	Current Value
	U.S. Government and Municipal Securities			
***	U.S. Government Securities	UNITED STATES OF AMER TREAS NOTES 4.125% 11-15-2027		4,987,299
***	U.S. Government Securities	UNITED STATES OF AMER TREAS NOTES 4.5% 05-15-2027		4,049,679
***	U.S. Government Securities	UNITED STATES TREAS NTS DTD 03/15/2024 4.25% 03-15-2027		3,873,638
***	U.S. Government Securities	UNITED STATES OF AMER TREAS NOTES 4.125%02-15-2027		3,205,958
***	U.S. Government Securities	SMALL BUSINESS ADMIN GTD PARTN CTFS SR 23-10B CL 1 5.688% 09-10-2033		2,361,166
***	U.S. Government Securities	UNITED STATES OF AMER TREAS NOTES 4.5% 04-15-2027		1,783,736
***	U.S. Government Securities	FEDERAL HOME LOAN MORTGAGE CORP SER 00K509 CL A2 4.85% 09-25-2028		833,237
***	U.S. Government Securities	FNMA POOL #BS9640 4.92% 10-01-2028		815,444
***	U.S. Government Securities	FNMA POOL #CB6531 FLTG 06-01-2053		748,573
***	U.S. Government Securities	FHLMC POOL #8C0338 FLTG RT 07-01-2052		738,980
***	U.S. Government Securities	FNMA POOL #BS9766 5.38% 10-01-2028		715,639
***	U.S. Government Securities	FHLMC POOL #8C0366 ADJ RT 09-01-2052		703,117
***	U.S. Government Securities	FNMA POOL #BV7893 FLTG RT DUE 07-01-2052		677,067
***	U.S. Government Securities	FNMA POOL #BT8375 ADJ RT DUE 09-01-2052 BEO		652,691
***	U.S. Government Securities	FNMA POOL #AN0571 3.1% 01-01-2026 BEO		640,058
***	U.S. Government Securities	UNITED STATES OF AMER TREAS NOTES 4.375%12-15-2026		626,367
***	U.S. Government Securities	FNMA POOL #BW9708 5.106% FLTG RT DUE 11-01-2052 BEO		586,459
***	U.S. Government Securities	FNMA POOL #BW5040 3.86% 07-01-2052 BEO		561,136
***	U.S. Government Securities	FEDERAL HOME LOAN MORTGAGE CORP POOL #8C0283 FLTG RATE DUE 06-01-2052 REG		561,043
***	U.S. Government Securities	FNMA POOL#BS9862 5.33% 12-01-2028		499,365
***	U.S. Government Securities	FNMA POOL #BM7055 3.476% 09-01-2052 BEO		473,174
***	U.S. Government Securities	UNITED STATES TREAS 4.625% 06-15-2027		463,809
***	U.S. Government Securities	FHLMC POOL #8C0329 ADJ RT 08-01-2052		426,782
***	U.S. Government Securities	FNMA POOL #BV2500 FLTG RT 06-01-2052 REG		410,757
***	U.S. Government Securities	FNMA POOL #BZ0084 5.09% 01-01-2029		405,420
***	U.S. Government Securities	FNMA POOL #BS7916 4.02% 04-01-2028		402,727
***	U.S. Government Securities	FNMA 2.538% 06-01-2052		362,422
***	U.S. Government Securities	FANNIE MAE FN BW1384 5.343% 12-01-2052		359,812
***	U.S. Government Securities	FHLMC POOL #8C0464 ADJ RT 12-01-2052		352,743
***	U.S. Government Securities	FNMA POOL #BM7030 2.469% 06-01-2052 BEO		333,220
***	U.S. Government Securities	FHLMC POOL #8C0303 ADJ RT 07-01-2052		327,895
***	U.S. Government Securities	FNMA POOL #BT8268 ADJ RT DUE 08-01-2052 BEO		309,759
***	U.S. Government Securities	EXPORT IMPORT BK UNITED STATES WASHINGTON2.415% DUE 06-30-2027		305,949
***	U.S. Government Securities	FEDERAL HOME LN MTG CORP POOL #2B7738 ADJ RATE DUE 02-01-2050 BEO		296,999
***	U.S. Government Securities	FHLMC POOL #8C0399 ADJ RT 10-01-2052		296,620
***	U.S. Government Securities	UNITED STATES OF AMER TREAS NOTES 3.75% 08-15-2027		296,156
***	U.S. Government Securities	FNMA POOL #MA3131 3% 09-01-2027 BEO		285,666
***	U.S. Government Securities	FEDERAL HOME LN MTG CORP POOL #2B7857 2.182% DUE 06-01-2050 BEO		281,180
***	U.S. Government Securities	FEDERAL HOME LN MTG CORP SER K511 CL A2 4.86% 10-25-2028		280,904
***	U.S. Government Securities	FEDERAL HOME LN MTG CORP SER 00K508 CL A2 4.74% 08-25-2028		279,918
***	U.S. Government Securities	FREDDIE MAC SEASONED CR RISK SER 2020-2 CL M55H 2.5% 11-25-2059		269,776

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	U.S. Government and Municipal Securities			
***	U.S. Government Securities	FNMA POOL #FN BZ2775 4.325% 01-01-2030		264,740
***	U.S. Government Securities	FNMA 3.074% 05-01-2052		257,245
***	U.S. Government Securities	FNMA FNMA # BJ0709 7.1% 10-01-2047		254,831
***	U.S. Government Securities	FEDERAL HOME LN MTG CORP POOL #2B7858 ADJ RATE DUE 06-01-2050 BEO		252,230
***	U.S. Government Securities	FHLMC MULTICLASS SER 21-1 CL TTU 2.5% DUE 09-25-2060		242,107
***	U.S. Government Securities	FHLMC POOL #8C0430 ADJ RT 11-01-2052		239,177
***	U.S. Government Securities	FNMA 4.526% 08-01-2052		234,091
***	U.S. Government Securities	FNMA POOL#BZ0085 5.18% 12-01-2028		212,652
***	U.S. Government Securities	FNMA POOL #BT8249 ADJ RT DUE 07-01-2052 BEO		212,091
***	U.S. Government Securities	FNMA POOL #BH7091 3% 12-01-2047		191,607
***	U.S. Government Securities	FHLMC POOL #2B6481 ADJ RT 09-01-2047		185,572
***	U.S. Government Securities	FANNIE MAE BZ2814 4.32% 01-01-2030		176,453
***	U.S. Government Securities	FNMA POOL #BJ2463 ADJ RT 11-01-2047		170,079
***	U.S. Government Securities	FNMA POOL #FN BZ2726 4.35% 12-01-2029		166,879
***	U.S. Government Securities	FEDERAL HOME LN MTG CORP POOL #2B6644 ADJ RATE 11-01-2047		165,298
***	U.S. Government Securities	FNMA POOL #B07270 ADJ RT DUE 01-01-2050 REG		156,571
***	U.S. Government Securities	FNMA POOL #MA3107 2.5% 08-01-2027 BEO		155,310
***	U.S. Government Securities	FNMA POOL #BJ2464 ADJ RT 11-01-2047		153,779
***	U.S. Government Securities	FNMA POOL #BP0633 FLTGT RT DUE 01-01-2050		150,955
***	U.S. Government Securities	FNMA POOL #BS7985 4.79% 03-01-2028		150,245
***	U.S. Government Securities	EXPORT IMPORT BK UNITED STATES WASHINGTON2.329% 01-14-2027		149,475
***	U.S. Government Securities	FHLMC POOL #2B6713 ADJ RT 12-01-2047		135,088
***	U.S. Government Securities	FREDDIE MAC SEASONED CR RISK SER 2020-3 CL TTU 2.5% 05-25-2060		133,142
***	U.S. Government Securities	EXPORT IMPORT BK UNITED STATES WASHINGTON2.537% DUE 07-13-2025 REG		128,848
***	U.S. Government Securities	FREDDIE MAC SEASONED CR RISK SER 2020-3 CL M5TU 2.5% 05-25-2060		114,081
***	U.S. Government Securities	FNMA POOL #BP2009 2.572% DUE 04-01-2050 BEO		109,747
***	U.S. Government Securities	FNMA POOL #BJ4472 ADJ RT 11-01-2047		99,144
***	U.S. Government Securities	FHLMC POOL #2B6628 ADJ RT 11-01-2047		91,302
***	U.S. Government Securities	FNMA POOL FN #BZ2727 4.36% 01-01-2030		78,567
***	U.S. Government Securities	FNMA POOL #BH8503 ADJ RT DUE 12-01-2047 BEO		75,850
***	U.S. Government Securities	FEDERAL HOME LN MTG CORP SER Q004 CL A2H FLTGT RT 01-25-2021		47,891
***	U.S. Government Securities	FANNIE MAE POOL #BZ2744 4.3% 01-01-2030		29,382
***	U.S. Government Securities	FNMA POOL FN #BZ2785 4.255% 01-01-2030		29,321
***	U.S. Government Securities	FEDERAL HOME LN MTG CORP FHLMC #2B-6444 7.39% 08-01-2047		15
***	Municipal Securities	CULBERSON CNTY-ALLAMOORE INDPT SCH DIST TEX 7% 02-15-2028 BEO TAXABLE		506,007
***	Municipal Securities	OREGON ST 5.892% 06-01-2027 BEO TAXABLE		383,618
***	Municipal Securities	SAN FRAN CAL CY & CNTY PUB UTILS 4.655% 10-01-2027		325,533
***	Municipal Securities	LOUISIANA LOC GOVT ENVIRONMENTAL FACS & MUNI 5.081% DUE 06-01-2031		286,464
***	Municipal Securities	LOUISIANA LOC GOVT ENVIRONMENTAL FACS & 3.615% DUE 02-01-2029 REG		271,940
***	Municipal Securities	SAN DIEGO CNTY CALIF REGL TRANSN COMMN SALES TAX REV 1.395% 04-01-2027 BEO		206,081
***	Municipal Securities	INDIANA ST FIN AUTH REV 1.605% 03-01-2026 BEO TAXABLE		193,487

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	U.S. Government and Municipal Securities			
***	Municipal Securities	MASSACHUSETTS ST SPL OBLIG REV 4.11% 07-15-2031		193,437
***	Municipal Securities	ST PAUL MINN HSG & REDEV AUTH HLTH CARE FAC REV 4.089 07-01-2026 BEO TAXABLE		193,010
***	Municipal Securities	INDIANA UNIV REVS 2.083% 06-01-2027 BEO TAXABLE		189,604
***	Municipal Securities	VERMONT ST STUD ASSISTANCE CORP STUD LN REV VAR RT .9425 04-30-2035 REG TAXABLE		101,997
***	Municipal Securities	MICHIGAN FIN AUTH REV FLTG RT 4.93919992447% 07-25-2061 BEO TAXABLE		50,862
***	Municipal Securities	UTAH ST 3.539% 07-01-2025 BEO TAXABLE		49,025
		Total U.S. Government and Municipal Securities		45,007,170
	SCHWAB	Self Directed Brokerage Account		79,194,705
		Total Investments, at fair value		6,161,853,217
*	Various	Notes receivable from participants, with interest ranging from 3.93% to 10.50% and varying maturities		28,499,974

* Represents a party-in-interest

** Cost information is not required in participant-directed investments

*** Represents investments underlying synthetic guaranteed investment contracts

**** Information certified as complete and accurate by Northern Trust Company [except for Galliard CCTs & Short Term Investment Fund CCT held by SEI which are not covered by the certification]

Plan Name	Sony USA 401(k) Plan
Plan Sponsor EIN	13-1914734
ERISA Plan #	002
Plan Year Ending	December 31, 2024

The required attachment marked with an "X" in the Attachment column is included within the Accountant's Opinion attachment to Sch. H, Part III, Line 3, which consists of the entire audit report issued by the plan's Independent Qualified Public Accountant (IQPA).

Form/Schedule	Line #	Description	Attachment
5500 Sch. H	Line 3	Financial statements used in formulating the IQPA's opinion	X
5500 Sch. H	Line 4i	Schedule of Assets (Held at End of Year)	X
5500 Sch. H	Line 4i	Schedule of Assets (Acquired and Disposed of Within Year)	
5500 Sch. H	Line 4j	Schedule of Reportable Transactions	
5500 Sch. H	Line 4a	Schedule of Delinquent Participant Contributions	X

Plan Name	Sony USA 401(k) Plan
Plan Sponsor EIN	13-1914734
ERISA Plan #	002
Plan Year Ending	December 31, 2024

The required attachment marked with an "X" in the Attachment column is included within the Accountant's Opinion attachment to Sch. H, Part III, Line 3, which consists of the entire audit report issued by the plan's Independent Qualified Public Accountant (IQPA).

Form/Schedule	Line #	Description	Attachment
5500 Sch. H	Line 3	Financial statements used in formulating the IQPA's opinion	X
5500 Sch. H	Line 4i	Schedule of Assets (Held at End of Year)	X
5500 Sch. H	Line 4i	Schedule of Assets (Acquired and Disposed of Within Year)	
5500 Sch. H	Line 4j	Schedule of Reportable Transactions	
5500 Sch. H	Line 4a	Schedule of Delinquent Participant Contributions	X

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5500 Sch. H	Line 4a	Schedule of Delinquent Participant Contributions	X