

Form 5500

Department of the Treasury  
Internal Revenue Service

Department of Labor  
Employee Benefits Security  
Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110  
1210-0089

2024

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024

- A This return/report is for: [ ] a multiemployer plan [ ] a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.) [x] a single-employer plan [ ] a DFE (specify) \_\_\_\_
B This return/report is: [ ] the first return/report [ ] the final return/report [ ] an amended return/report [ ] a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here. . . . . [x]
D Check box if filing under: [x] Form 5558 [ ] automatic extension [ ] the DFVC program [ ] special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . [ ]

Part II Basic Plan Information—enter all requested information

1a Name of plan: RETIREMENT PLAN FOR EMPLOYEES OF CANTON-POTSDAM HOSPITAL
1b Three-digit plan number (PN): 001
1c Effective date of plan: 04/01/1973
2a Plan sponsor's name (employer, if for a single-employer plan): CANTON-POTSDAM HOSPITAL
2b Employer Identification Number (EIN): 16-1012691
2c Plan Sponsor's telephone number: 315-261-5100
2d Business code (see instructions): 622000

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

Table with 4 columns: SIGN HERE, Signature of plan administrator, Date, Enter name of individual signing as plan administrator. Includes entries for Kelly Cicchinelli dated 10/15/2025.

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2024) v. 240311

|   |  |     |
|---|--|-----|
| <b>3a</b> Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor  | <b>3b</b> Administrator's EIN              |     |
|   | <b>3c</b> Administrator's telephone number |     |
| <b>4</b> If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:<br><b>a</b> Sponsor's name<br><b>c</b> Plan Name  | <b>4b</b> EIN                              |     |
|   | <b>4d</b> PN                               |     |
| <b>5</b> Total number of participants at the beginning of the plan year   | <b>5</b>                                   | 639 |
| <b>6</b> Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines <b>6a(1)</b> , <b>6a(2)</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).<br><b>a(1)</b> Total number of active participants at the beginning of the plan year .....<br><b>a(2)</b> Total number of active participants at the end of the plan year .....<br><b>b</b> Retired or separated participants receiving benefits.....<br><b>c</b> Other retired or separated participants entitled to future benefits .....<br><b>d</b> Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b> .....<br><b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. ....<br><b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....<br><b>g(1)</b> Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) .....<br><b>g(2)</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....<br><b>h</b> Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested..... | <b>6a(1)</b>                               | 174 |
|   | <b>6a(2)</b>                               | 0   |
|   | <b>6b</b>                                  | 0   |
|   | <b>6c</b>                                  | 0   |
|   | <b>6d</b>                                  | 0   |
|   | <b>6e</b>                                  | 0   |
|   | <b>6f</b>                                  | 0   |
|   | <b>6g(1)</b>                               |     |
| <b>6g(2)</b>  |  |     |
| <b>6h</b>   |  | 0   |
| <b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) .....  | <b>7</b>                                   |     |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:  
1H 1I 1A 3H

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

|   |   |
|---|---|
| <b>9a</b> Plan funding arrangement (check all that apply)               | <b>9b</b> Plan benefit arrangement (check all that apply)               |
| (1) <input type="checkbox"/> Insurance                                  | (1) <input checked="" type="checkbox"/> Insurance                       |
| (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts | (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts |
| (3) <input checked="" type="checkbox"/> Trust                           | (3) <input checked="" type="checkbox"/> Trust                           |
| (4) <input type="checkbox"/> General assets of the sponsor              | (4) <input type="checkbox"/> General assets of the sponsor              |

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

|  |  |
|--|--|
| <b>a Pension Schedules</b>   | <b>b General Schedules</b>   |
| (1) <input checked="" type="checkbox"/> <b>R</b> (Retirement Plan Information)   | (1) <input checked="" type="checkbox"/> <b>H</b> (Financial Information)                 |
| (2) <input type="checkbox"/> <b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary | (2) <input type="checkbox"/> <b>I</b> (Financial Information – Small Plan)               |
| (3) <input type="checkbox"/> <b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary                               | (3) <input type="checkbox"/> <b>A</b> (Insurance Information) – Number Attached <u>0</u> |
| (4) <input type="checkbox"/> <b>DCG</b> (Individual Plan Information) – Number Attached _____  | (4) <input checked="" type="checkbox"/> <b>C</b> (Service Provider Information)          |
| (5) <input type="checkbox"/> <b>MEP</b> (Multiple-Employer Retirement Plan Information)  | (5) <input type="checkbox"/> <b>D</b> (DFE/Participating Plan Information)               |
|  | (6) <input type="checkbox"/> <b>G</b> (Financial Transaction Schedules)                  |

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**Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)**

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**11a** If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) .....  Yes  No

If "Yes" is checked, complete lines 11b and 11c.

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**11b** Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) .....  Yes  No

**11c** Enter the Receipt Confirmation Code for the 2024 Form M-1 annual report. If the plan was not required to file the 2024 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code \_\_\_\_\_

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|  |  |   |
|--|--|---|
| <b>SCHEDULE C</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small><br><br><small>Pension Benefit Guaranty Corporation</small> | <b>Service Provider Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br><br><b>▶ File as an attachment to Form 5500.</b> | <small>OMB No. 1210-0110</small><br><br><b>2024</b><br><br><b>This Form is Open to Public Inspection.</b> |
|--|--|---|

For calendar plan year 2024 or fiscal plan year beginning **01/01/2024** and ending **12/31/2024**

|   |  |            |
|---|--|------------|
| <b>A</b> Name of plan<br><b>RETIREMENT PLAN FOR EMPLOYEES OF CANTON-POTSDAM HOSPITAL</b>        | <b>B</b> Three-digit plan number (PN) ▶                            | <b>001</b> |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><b>CANTON-POTSDAM HOSPITAL</b> | <b>D</b> Employer Identification Number (EIN)<br><b>16-1012691</b> |            |

**Part I Service Provider Information (see instructions)**

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

**1 Information on Persons Receiving Only Eligible Indirect Compensation**

**a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)...  Yes  No

**b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MANNING & NAPIER ADVISORS, LLC

45-3328488

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---|--|--|--|---|--|
| 27 28 50 51            | NONE  | 49664  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  | 0   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

WILMINGTON TRUST

16-0538020

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---|--|--|--|---|--|
| 21 50                  | NONE  | 47808  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                                  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>  | 0   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>                          |

(a) Enter name and EIN or address (see instructions)

| (b)<br>Service Code(s) | (c)<br>Relationship to employer, employee organization, or person known to be a party-in-interest | (d)<br>Enter direct compensation paid by the plan. If none, enter -0-. | (e)<br>Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)<br>Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)<br>Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h)<br>Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---|--|--|--|---|--|
|                        |   |  | Yes <input type="checkbox"/> No <input type="checkbox"/>   | Yes <input type="checkbox"/> No <input type="checkbox"/>   |   | Yes <input type="checkbox"/> No <input type="checkbox"/>                                     |

**Part I Service Provider Information (continued)**

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

|  |  |  |
|--|--|--|
| <b>(a)</b> Enter service provider name as it appears on line 2 | <b>(b)</b> Service Codes<br>(see instructions) | <b>(c)</b> Enter amount of indirect compensation |
|  |  |  |

|  |   |  |
|--|---|--|
| <b>(d)</b> Enter name and EIN (address) of source of indirect compensation | <b>(e)</b> Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |  |
|  |   |  |

|  |  |  |
|--|--|--|
| <b>(a)</b> Enter service provider name as it appears on line 2 | <b>(b)</b> Service Codes<br>(see instructions) | <b>(c)</b> Enter amount of indirect compensation |
|  |  |  |

|  |   |  |
|--|---|--|
| <b>(d)</b> Enter name and EIN (address) of source of indirect compensation | <b>(e)</b> Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |  |
|  |   |  |

|  |  |  |
|--|--|--|
| <b>(a)</b> Enter service provider name as it appears on line 2 | <b>(b)</b> Service Codes<br>(see instructions) | <b>(c)</b> Enter amount of indirect compensation |
|  |  |  |

|  |   |  |
|--|---|--|
| <b>(d)</b> Enter name and EIN (address) of source of indirect compensation | <b>(e)</b> Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. |  |
|  |   |  |

**Part II Service Providers Who Fail or Refuse to Provide Information**

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| <b>(a)</b> Enter name and EIN or address of service provider (see instructions) | <b>(b)</b> Nature of Service Code(s) | <b>(c)</b> Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
|   |                                      |  |

| <b>(a)</b> Enter name and EIN or address of service provider (see instructions) | <b>(b)</b> Nature of Service Code(s) | <b>(c)</b> Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
|   |                                      |  |

| <b>(a)</b> Enter name and EIN or address of service provider (see instructions) | <b>(b)</b> Nature of Service Code(s) | <b>(c)</b> Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
|   |                                      |  |

| <b>(a)</b> Enter name and EIN or address of service provider (see instructions) | <b>(b)</b> Nature of Service Code(s) | <b>(c)</b> Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
|   |                                      |  |

| <b>(a)</b> Enter name and EIN or address of service provider (see instructions) | <b>(b)</b> Nature of Service Code(s) | <b>(c)</b> Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
|   |                                      |  |

| <b>(a)</b> Enter name and EIN or address of service provider (see instructions) | <b>(b)</b> Nature of Service Code(s) | <b>(c)</b> Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
|   |                                      |  |

**Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)**  
(complete as many entries as needed)

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |

Explanation:

|                    |                     |
|--------------------|---------------------|
| <b>a</b> Name:     | <b>b</b> EIN:       |
| <b>c</b> Position: |                     |
| <b>d</b> Address:  | <b>e</b> Telephone: |

Explanation:

|  |  |  |
|--|--|--|
| <b>SCHEDULE H</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small><br><br><small>Pension Benefit Guaranty Corporation</small> | <b>Financial Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).<br><br><b>▶ File as an attachment to Form 5500.</b> | <small>OMB No. 1210-0110</small><br><br><b>2024</b><br><br><b>This Form is Open to Public Inspection</b> |
|--|--|--|

|  |  |
|--|--|
| For calendar plan year 2024 or fiscal plan year beginning <b>01/01/2024</b> and ending <b>12/31/2024</b> |  |
| <b>A</b> Name of plan<br><b>RETIREMENT PLAN FOR EMPLOYEES OF CANTON-POTSDAM HOSPITAL</b>                 | <b>B</b> Three-digit plan number (PN) ▶ <b>001</b>                 |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><b>CANTON-POTSDAM HOSPITAL</b>          | <b>D</b> Employer Identification Number (EIN)<br><b>16-1012691</b> |

|               |                                      |
|---------------|--------------------------------------|
| <b>Part I</b> | <b>Asset and Liability Statement</b> |
|---------------|--------------------------------------|

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

|  | (a) Beginning of Year | (b) End of Year |
|--|-----------------------|-----------------|
| <b>Assets</b>  |                       |                 |
| <b>a</b> Total noninterest-bearing cash .....  | <b>1a</b>             |                 |
| <b>b</b> Receivables (less allowance for doubtful accounts):                                       |                       |                 |
| <b>(1)</b> Employer contributions .....  | <b>1b(1)</b>          |                 |
| <b>(2)</b> Participant contributions .....   | <b>1b(2)</b>          |                 |
| <b>(3)</b> Other .....   | <b>1b(3)</b>          | 43837           |
| <b>c</b> General investments:  |                       |                 |
| <b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit) .....   | <b>1c(1)</b>          |                 |
| <b>(2)</b> U.S. Government securities .....  | <b>1c(2)</b>          | 0               |
| <b>(3)</b> Corporate debt instruments (other than employer securities):                            |                       |                 |
| <b>(A)</b> Preferred .....   | <b>1c(3)(A)</b>       |                 |
| <b>(B)</b> All other .....   | <b>1c(3)(B)</b>       |                 |
| <b>(4)</b> Corporate stocks (other than employer securities):                                      |                       |                 |
| <b>(A)</b> Preferred .....   | <b>1c(4)(A)</b>       |                 |
| <b>(B)</b> Common .....  | <b>1c(4)(B)</b>       |                 |
| <b>(5)</b> Partnership/joint venture interests .....   | <b>1c(5)</b>          |                 |
| <b>(6)</b> Real estate (other than employer real property) .....                                   | <b>1c(6)</b>          |                 |
| <b>(7)</b> Loans (other than to participants) .....  | <b>1c(7)</b>          |                 |
| <b>(8)</b> Participant loans .....   | <b>1c(8)</b>          |                 |
| <b>(9)</b> Value of interest in common/collective trusts .....                                     | <b>1c(9)</b>          |                 |
| <b>(10)</b> Value of interest in pooled separate accounts .....                                    | <b>1c(10)</b>         |                 |
| <b>(11)</b> Value of interest in master trust investment accounts .....                            | <b>1c(11)</b>         |                 |
| <b>(12)</b> Value of interest in 103-12 investment entities .....                                  | <b>1c(12)</b>         |                 |
| <b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds) .....        | <b>1c(13)</b>         | 7979666         |
| <b>(14)</b> Value of funds held in insurance company general account (unallocated contracts) ..... | <b>1c(14)</b>         |                 |
| <b>(15)</b> Other .....  | <b>1c(15)</b>         |                 |

| <b>1d</b> Employer-related investments:                                  |              | <b>(a)</b> Beginning of Year | <b>(b)</b> End of Year |
|--|--------------|------------------------------|------------------------|
| (1) Employer securities.....   | <b>1d(1)</b> |                              |                        |
| (2) Employer real property.....  | <b>1d(2)</b> |                              |                        |
| <b>e</b> Buildings and other property used in plan operation.....        | <b>1e</b>    |                              |                        |
| <b>f</b> Total assets (add all amounts in lines 1a through 1e).....      | <b>1f</b>    | 37615702                     | 8023503                |
| <b>Liabilities</b>   |              |                              |                        |
| <b>g</b> Benefit claims payable.....                                     | <b>1g</b>    | 29700000                     | 271923                 |
| <b>h</b> Operating payables.....   | <b>1h</b>    | 0                            | 1580                   |
| <b>i</b> Acquisition indebtedness.....                                   | <b>1i</b>    |                              |                        |
| <b>j</b> Other liabilities.....  | <b>1j</b>    | 0                            | 7750000                |
| <b>k</b> Total liabilities (add all amounts in lines 1g through 1j)..... | <b>1k</b>    | 29700000                     | 8023503                |
| <b>Net Assets</b>  |              |                              |                        |
| <b>l</b> Net assets (subtract line 1k from line 1f).....                 | <b>1l</b>    | 7915702                      | 0                      |

**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

| <b>Income</b>  |                 | <b>(a)</b> Amount | <b>(b)</b> Total |
|--|-----------------|-------------------|------------------|
| <b>a Contributions:</b>  |                 |                   |                  |
| (1) Received or receivable in cash from: <b>(A)</b> Employers.....   | <b>2a(1)(A)</b> |                   |                  |
| <b>(B)</b> Participants.....   | <b>2a(1)(B)</b> |                   |                  |
| <b>(C)</b> Others (including rollovers).....   | <b>2a(1)(C)</b> |                   |                  |
| (2) Noncash contributions.....   | <b>2a(2)</b>    |                   |                  |
| (3) Total contributions. Add lines <b>2a(1)(A)</b> , <b>(B)</b> , <b>(C)</b> , and line <b>2a(2)</b> ..... | <b>2a(3)</b>    |                   | 0                |
| <b>b Earnings on investments:</b>  |                 |                   |                  |
| <b>(1) Interest:</b>   |                 |                   |                  |
| <b>(A)</b> Interest-bearing cash (including money market accounts and certificates of deposit).....        | <b>2b(1)(A)</b> |                   |                  |
| <b>(B)</b> U.S. Government securities.....   | <b>2b(1)(B)</b> | 353234            |                  |
| <b>(C)</b> Corporate debt instruments.....   | <b>2b(1)(C)</b> |                   |                  |
| <b>(D)</b> Loans (other than to participants).....   | <b>2b(1)(D)</b> |                   |                  |
| <b>(E)</b> Participant loans.....  | <b>2b(1)(E)</b> |                   |                  |
| <b>(F)</b> Other.....  | <b>2b(1)(F)</b> |                   |                  |
| <b>(G)</b> Total interest. Add lines <b>2b(1)(A)</b> through <b>(F)</b> .....                              | <b>2b(1)(G)</b> |                   | 353234           |
| <b>(2) Dividends:</b>  |                 |                   |                  |
| <b>(A)</b> Preferred stock.....  | <b>2b(2)(A)</b> |                   |                  |
| <b>(B)</b> Common stock.....   | <b>2b(2)(B)</b> |                   |                  |
| <b>(C)</b> Registered investment company shares (e.g. mutual funds).....                                   | <b>2b(2)(C)</b> | 1042255           |                  |
| <b>(D)</b> Total dividends. Add lines <b>2b(2)(A)</b> , <b>(B)</b> , and <b>(C)</b> .....                  | <b>2b(2)(D)</b> |                   | 1042255          |
| (3) Rents.....   | <b>2b(3)</b>    |                   |                  |
| <b>(4) Net gain (loss) on sale of assets:</b>  |                 |                   |                  |
| <b>(A)</b> Aggregate proceeds.....   | <b>2b(4)(A)</b> | 27190928          |                  |
| <b>(B)</b> Aggregate carrying amount (see instructions).....   | <b>2b(4)(B)</b> | 27523781          |                  |
| <b>(C)</b> Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result.....                   | <b>2b(4)(C)</b> |                   | -332853          |
| <b>(5) Unrealized appreciation (depreciation) of assets:</b>   |                 |                   |                  |
| <b>(A)</b> Real estate.....  | <b>2b(5)(A)</b> |                   |                  |
| <b>(B)</b> Other.....  | <b>2b(5)(B)</b> |                   |                  |
| <b>(C)</b> Total unrealized appreciation of assets. Add lines <b>2b(5)(A)</b> and <b>(B)</b> .....         | <b>2b(5)(C)</b> |                   | 0                |

|   |               | (a) Amount | (b) Total |
|---|---------------|------------|-----------|
| (6) Net investment gain (loss) from common/collective trusts .....                              | <b>2b(6)</b>  |            |           |
| (7) Net investment gain (loss) from pooled separate accounts .....                              | <b>2b(7)</b>  |            |           |
| (8) Net investment gain (loss) from master trust investment accounts .....                      | <b>2b(8)</b>  |            |           |
| (9) Net investment gain (loss) from 103-12 investment entities .....                            | <b>2b(9)</b>  |            |           |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) ..... | <b>2b(10)</b> |            | -221782   |
| <b>c</b> Other income .....   | <b>2c</b>     |            | 3760      |
| <b>d</b> Total income. Add all <b>income</b> amounts in column (b) and enter total .....        | <b>2d</b>     |            | 844614    |

**Expenses**

|   |               |           |         |
|---|---------------|-----------|---------|
| <b>e</b> Benefit payment and payments to provide benefits:                                  |               |           |         |
| (1) Directly to participants or beneficiaries, including direct rollovers .....             | <b>2e(1)</b>  | 5813111   |         |
| (2) To insurance carriers for the provision of benefits .....                               | <b>2e(2)</b>  | 24797392  |         |
| (3) Other .....   | <b>2e(3)</b>  | -29700000 |         |
| (4) Total benefit payments. Add lines <b>2e(1)</b> through <b>(3)</b> .....                 | <b>2e(4)</b>  |           | 910503  |
| <b>f</b> Corrective distributions (see instructions) .....                                  | <b>2f</b>     |           |         |
| <b>g</b> Certain deemed distributions of participant loans (see instructions) .....         | <b>2g</b>     |           |         |
| <b>h</b> Interest expense .....   | <b>2h</b>     |           |         |
| <b>i</b> Administrative expenses:   |               |           |         |
| (1) Salaries and allowances .....   | <b>2i(1)</b>  |           |         |
| (2) Contract administrator fees .....   | <b>2i(2)</b>  |           |         |
| (3) Recordkeeping fees .....  | <b>2i(3)</b>  |           |         |
| (4) IQPA audit fees .....   | <b>2i(4)</b>  |           |         |
| (5) Investment advisory and investment management fees .....                                | <b>2i(5)</b>  | 49664     |         |
| (6) Bank or trust company trustee/custodial fees .....                                      | <b>2i(6)</b>  |           |         |
| (7) Actuarial fees .....  | <b>2i(7)</b>  |           |         |
| (8) Legal fees .....  | <b>2i(8)</b>  |           |         |
| (9) Valuation/appraisal fees .....  | <b>2i(9)</b>  |           |         |
| (10) Other trustee fees and expenses .....  | <b>2i(10)</b> | 48569     |         |
| (11) Other expenses .....   | <b>2i(11)</b> | 7751580   |         |
| (12) Total administrative expenses. Add lines <b>2i(1)</b> through <b>(11)</b> .....        | <b>2i(12)</b> |           | 7849813 |
| <b>j</b> Total expenses. Add all <b>expense</b> amounts in column (b) and enter total ..... | <b>2j</b>     |           | 8760316 |

**Net Income and Reconciliation**

|   |              |  |          |
|---|--------------|--|----------|
| <b>k</b> Net income (loss). Subtract line <b>2j</b> from line <b>2d</b> ..... | <b>2k</b>    |  | -7915702 |
| <b>l</b> Transfers of assets:   |              |  |          |
| (1) To this plan .....  | <b>2l(1)</b> |  |          |
| (2) From this plan .....  | <b>2l(2)</b> |  |          |

**Part III Accountant's Opinion**

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1)  Unmodified (2)  Qualified (3)  Disclaimer (4)  Adverse

**b** Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1)  DOL Regulation 2520.103-8 (2)  DOL Regulation 2520.103-12(d) (3)  neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **FREED MAXICK P.C.**

(2) EIN: **45-4051133**

**d** The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1)  This form is filed for a CCT, PSA, DCG or MTIA. (2)  It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

|  | Yes | No | Amount  |
|--|-----|----|---------|
| <b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)                 |     | X  |         |
| <b>b</b> Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) |     | X  |         |
| <b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)   |     | X  |         |
| <b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)  |     | X  |         |
| <b>e</b> Was this plan covered by a fidelity bond?   | X   |    | 4000000 |
| <b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  |     | X  |         |
| <b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?   |     | X  |         |
| <b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?   |     | X  |         |
| <b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)   | X   |    |         |
| <b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)   | X   |    |         |
| <b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?  |     | X  |         |
| <b>l</b> Has the plan failed to provide any benefit when due under the plan?   |     | X  |         |
| <b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)   |     |    |         |
| <b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.  |     |    |         |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  Yes  No  
 If "Yes," enter the amount of any plan assets that reverted to the employer this year 0.

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| <b>5b(1)</b> Name of plan(s) | <b>5b(2)</b> EIN(s) | <b>5b(3)</b> PN(s) |
|------------------------------|---------------------|--------------------|
|                              |                     |                    |
|                              |                     |                    |
|                              |                     |                    |
|                              |                     |                    |

**5c** Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) .....  Yes  No  Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 541909.

|  |   |   |
|--|---|---|
| <b>SCHEDULE R</b><br><b>(Form 5500)</b><br><br><small>Department of the Treasury<br/>Internal Revenue Service</small><br><br><small>Department of Labor<br/>Employee Benefits Security Administration</small><br><br><small>Pension Benefit Guaranty Corporation</small> | <b>Retirement Plan Information</b><br><br>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).<br><br><b>▶ File as an attachment to Form 5500.</b> | <small>OMB No. 1210-0110</small><br><br><b>2024</b><br><br><b>This Form is Open to Public Inspection.</b> |
|--|---|---|

For calendar plan year 2024 or fiscal plan year beginning **01/01/2024** and ending **12/31/2024**

|   |  |            |
|---|--|------------|
| <b>A</b> Name of plan<br><b>RETIREMENT PLAN FOR EMPLOYEES OF CANTON-POTSDAM HOSPITAL</b>        | <b>B</b> Three-digit plan number (PN)                              | <b>001</b> |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><b>CANTON-POTSDAM HOSPITAL</b> | <b>D</b> Employer Identification Number (EIN)<br><b>16-1012691</b> |            |

|               |                      |
|---------------|----------------------|
| <b>Part I</b> | <b>Distributions</b> |
|---------------|----------------------|

**All references to distributions relate only to payments of benefits during the plan year.**

|   |          |          |
|---|----------|----------|
| <b>1</b> Total value of distributions paid in property other than in cash or the forms of property specified in the instructions..... | <b>1</b> | <b>0</b> |
|---|----------|----------|

**2** Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):  
EIN(s): **16-0538020**

**Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.**

|  |          |            |
|--|----------|------------|
| <b>3</b> Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year ..... | <b>3</b> | <b>174</b> |
|--|----------|------------|

|                |   |
|----------------|---|
| <b>Part II</b> | <b>Funding Information</b> (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.) |
|----------------|---|

**4** Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? .....  Yes  No  N/A  
**If the plan is a defined benefit plan, go to line 8.**

**5** If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_  
**If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.**

|   |           |  |
|---|-----------|--|
| <b>6 a</b> Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) .....  | <b>6a</b> |  |
| <b>b</b> Enter the amount contributed by the employer to the plan for this plan year .....  | <b>6b</b> |  |
| <b>c</b> Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)..... | <b>6c</b> |  |

**If you completed line 6c, skip lines 8 and 9.**

**7** Will the minimum funding amount reported on line 6c be met by the funding deadline? .....  Yes  No  N/A

**8** If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? .....  Yes  No  N/A

|                 |                   |
|-----------------|-------------------|
| <b>Part III</b> | <b>Amendments</b> |
|-----------------|-------------------|

**9** If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....  Increase  Decrease  Both  No

|                |   |
|----------------|---|
| <b>Part IV</b> | <b>ESOPs</b> (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part. |
|----------------|---|

**10** Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? .....  Yes  No

**11 a** Does the ESOP hold any preferred stock? .....  Yes  No

**b** If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) .....  Yes  No

**12** Does the ESOP hold any stock that is not readily tradable on an established securities market? .....  Yes  No

**Part V Additional Information for Multiemployer Defined Benefit Pension Plans**

**13** Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. Complete as many entries as needed to report all applicable employers.

**a** Name of contributing employer \_\_\_\_\_

**b** EIN \_\_\_\_\_ **c** Dollar amount contributed by employer \_\_\_\_\_

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box  and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box  and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure:  Hourly  Weekly  Unit of production  Other (specify): \_\_\_\_\_

**a** Name of contributing employer \_\_\_\_\_

**b** EIN \_\_\_\_\_ **c** Dollar amount contributed by employer \_\_\_\_\_

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box  and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box  and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure:  Hourly  Weekly  Unit of production  Other (specify): \_\_\_\_\_

**a** Name of contributing employer \_\_\_\_\_

**b** EIN \_\_\_\_\_ **c** Dollar amount contributed by employer \_\_\_\_\_

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box  and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box  and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure:  Hourly  Weekly  Unit of production  Other (specify): \_\_\_\_\_

**a** Name of contributing employer \_\_\_\_\_

**b** EIN \_\_\_\_\_ **c** Dollar amount contributed by employer \_\_\_\_\_

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box  and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box  and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure:  Hourly  Weekly  Unit of production  Other (specify): \_\_\_\_\_

**a** Name of contributing employer \_\_\_\_\_

**b** EIN \_\_\_\_\_ **c** Dollar amount contributed by employer \_\_\_\_\_

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box  and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box  and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure:  Hourly  Weekly  Unit of production  Other (specify): \_\_\_\_\_

**a** Name of contributing employer \_\_\_\_\_

**b** EIN \_\_\_\_\_ **c** Dollar amount contributed by employer \_\_\_\_\_

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box  and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box  and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure:  Hourly  Weekly  Unit of production  Other (specify): \_\_\_\_\_

**14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

|   |            |  |
|---|------------|--|
| <b>a</b> The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: <input type="checkbox"/> last contributing employer <input type="checkbox"/> alternative <input type="checkbox"/> reasonable approximation (see instructions for required attachment)..... | <b>14a</b> |  |
| <b>b</b> The plan year immediately preceding the current plan year. <input type="checkbox"/> Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....   | <b>14b</b> |  |
| <b>c</b> The second preceding plan year. <input type="checkbox"/> Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....  | <b>14c</b> |  |

**15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

|   |            |  |
|---|------------|--|
| <b>a</b> The corresponding number for the plan year immediately preceding the current plan year ..... | <b>15a</b> |  |
| <b>b</b> The corresponding number for the second preceding plan year .....                            | <b>15b</b> |  |

**16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

|   |            |  |
|---|------------|--|
| <b>a</b> Enter the number of employers who withdrew during the preceding plan year .....  | <b>16a</b> |  |
| <b>b</b> If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers..... | <b>16b</b> |  |

**17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment .....

**Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans**

**18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment .....

**19** If the total number of participants is 1,000 or more, complete lines (a) and (b):

**a** Enter the percentage of plan assets held as:  
 Public Equity: \_\_\_\_\_% Private Equity: \_\_\_\_\_% Investment-Grade Debt and Interest Rate Hedging Assets: \_\_\_\_\_%  
 High-Yield Debt: \_\_\_\_\_% Real Assets: \_\_\_\_\_% Cash or Cash Equivalents: \_\_\_\_\_% Other: \_\_\_\_\_%

**b** Provide the average duration of the Investment-Grade Debt and Interest Rate Hedging Assets:  
 0-5 years  5-10 years  10-15 years  15 years or more

**20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

**a** Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero?  Yes  No

**b** If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:  
 Yes.  
 No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.  
 No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.  
 No. Other. Provide explanation: \_\_\_\_\_

**Part VII IRS Compliance Questions**

**21a** Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?  Yes  No

**21b** If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).  
 Design-based safe harbor method  
 "Prior year" ADP test  
 "Current year" ADP test  
 N/A

**22** If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter \_\_\_/\_\_\_/\_\_\_\_ (MM/DD/YYYY) and the Opinion Letter serial number \_\_\_\_\_.

## FINANCIAL STATEMENTS

Retirement Plan for Employees of Canton-Potsdam Hospital  
Years Ended December 31, 2024 and 2023  
With Report of Independent Auditors

**Retirement Plan for Employees of Canton-Potsdam Hospital**

**Financial Statements**

**Years Ended December 31, 2024 and 2023**

**Contents**

|   | <u>Page</u> |
|---|-------------|
| <b>Independent Auditor’s Report</b> .....                                       | 1 - 3       |
| <b>Financial Statements:</b>  |             |
| Statements of Net Assets Available for Benefits in Liquidation .....            | 4           |
| Statements of Changes in Net Assets Available for Benefits in Liquidation ..... | 5           |
| <b>Notes to the Financial Statements</b> .....                                  | 6 - 14      |
| <b>Supplemental Schedules:</b>  |             |
| Schedule H, Line 4i – Schedule of Assets (Held at End of Year) .....            | 15          |
| Schedule H, Line 4j – Schedule of Reportable Transactions.....                  | 16 - 33     |

## INDEPENDENT AUDITOR'S REPORT

To the Participants and Plan Administrator of the  
Retirement Plan for Employees of Canton-Potsdam Hospital

### **Scope and Nature of the ERISA Section 103(a)(3)(C) Audit**

We have performed audits of the financial statements of the Retirement Plan for Employees of Canton-Potsdam Hospital (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits in liquidation as of December 31, 2024 and 2023, the related statements of changes in net assets available for benefits in liquidation for the years then ended, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained certifications from a qualified institution as of and for the years ended December 31, 2024 and 2023, stating that the certified investment information, as described in Note 10 to the financial statements, is complete and accurate.

### **Opinion**

In our opinion, based on our audits and on the procedures performed as described in the Auditor's Responsibilities for the Audit of the Financial Statements section:

- The amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America (U.S. GAAP).
- The information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

### **Basis for Opinion**

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

### **Emphasis of Matter – Plan Termination and Liquidation Basis**

As discussed in Note 4 to the financial statements, the Board of Directors of Canton-Potsdam Hospital, the Plan's Sponsor, voted on September 26, 2023 to terminate the Plan effective December 31, 2023, and management determined liquidation is imminent. As a result, the Plan has presented the 2024 and 2023 financial statements on the liquidation basis of accounting. As of December 31, 2024, all assets have not yet been disbursed. Our opinion is not modified with respect to this matter.

### **Responsibilities of Management for the Financial Statements**

Management is responsible for the preparation and fair presentation of the financial statements in accordance with U.S. GAAP, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the financial statements are issued or available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

### **Auditor's Responsibilities for the Audit of the Financial Statements**

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if, there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audits did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of U.S. GAAP.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with U.S. GAAP.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

#### **Other Matter - Supplemental Schedules Required by ERISA**

The supplemental schedules of Schedule H, line 4i – schedule of assets (held at end of year) as of December 31, 2024, and Schedule H, line 4j – schedule of reportable transactions for the year ended December 31, 2024, are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the DOL’s Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the DOL’s Rules and Regulations for Reporting and Disclosure under ERISA.
- The information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

*Freed Maxick P.C.*

Rochester, New York  
October 14, 2025

**Retirement Plan for Employees of Canton-Potsdam Hospital**

**Statements of Net Assets Available for Benefits  
in Liquidation as of December 31,**

|   | <b>2024</b>      | <b>2023</b>             |
|---|------------------|-------------------------|
| <b>ASSETS</b>                                       |                  |                         |
| <b>Investments, at fair value:</b>                  |                  |                         |
| Money market funds                                  | \$ 7,979,666     | \$ 7,117,770            |
| Mutual funds  | -                | 16,623,950              |
| U.S. government and agency obligations              | -                | 13,712,675              |
| <b>Total investments</b>                            | <b>7,979,666</b> | <b>37,454,395</b>       |
| <b>Receivables:</b>                                 |                  |                         |
| Accrued investment income                           | 43,837           | 161,307                 |
| <b>Total assets</b>                                 | <b>8,023,503</b> | <b>37,615,702</b>       |
| <b>LIABILITIES</b>                                  |                  |                         |
| Expense payable to the PBGC                         | 93,781           | -                       |
| Benefits payable to participants                    | 179,722          | -                       |
| Plan assets payable to the Hospital                 | 7,750,000        | -                       |
| Estimated payments to participants upon liquidation | -                | 29,700,000              |
| <b>Total liabilities</b>                            | <b>8,023,503</b> | <b>29,700,000</b>       |
| <br><b>Net assets in liquidation</b>                | <br><b>\$ -</b>  | <br><b>\$ 7,915,702</b> |

See accompanying notes.

## Retirement Plan for Employees of Canton-Potsdam Hospital

### Statements of Changes in Net Assets Available for Benefits in Liquidation for the Years Ended December 31,

|   | 2024        | 2023         |
|---|-------------|--------------|
| <b>Additions:</b>                                   |             |              |
| Investment income:                                  |             |              |
| Net appreciation in fair value of investments       | \$ -        | \$ 1,010,930 |
| Interest and dividends                              | 1,399,249   | 1,399,457    |
| Total investment income                             | 1,399,249   | 2,410,387    |
| <b>Total additions</b>                              | 1,399,249   | 2,410,387    |
| <b>Deductions:</b>                                  |             |              |
| Benefits paid directly to participants              | 818,302     | 2,016,838    |
| Plan assets payable to the Hospital                 | 7,750,000   | -            |
| PBGC expenses                                       | 93,781      | -            |
| Administrative expenses                             | 98,233      | 150,612      |
| Net depreciation in fair value of investments       | 554,635     | -            |
| <b>Total deductions</b>                             | 9,314,951   | 2,167,450    |
| <b>Net (decrease) increase</b>                      | (7,915,702) | 242,937      |
| <b>Adjustment to liquidation basis:</b>             |             |              |
| Estimated payments to participants upon liquidation | -           | (29,700,000) |
| <b>Total adjustments</b>                            | -           | (29,700,000) |
| <b>Net assets available for benefits:</b>           |             |              |
| Beginning of year                                   | 7,915,702   | 37,372,765   |
| End of year   | \$ -        | \$ 7,915,702 |

See accompanying notes.

# **Retirement Plan for Employees of Canton-Potsdam Hospital**

## **Notes to the Financial Statements**

### **Note 1. Description of the Plan**

The following description of the Plan provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

#### **General**

The Plan is a defined benefit plan which includes the employees of Canton-Potsdam Hospital (the Hospital) and employees of the Community Nursing Home of Potsdam, Inc. (the Nursing Home). On June 26, 2003, the Nursing Home ceased operations. Effective July 31, 2003, all employees of the Nursing Home were terminated, and were subject to the benefit provisions for terminated employees noted below. The Plan is subject to the provisions of ERISA. The Audit Committee determines the appropriateness of the Plan's investment offerings and monitors investment performance.

Effective December 31, 2008, Hospital management froze the accrual of Plan benefits of certain Service Employees International Union (SEIU) 1199 union employees. Effective February 1, 2009, Hospital management froze the accrual of Plan benefits of all nonunion employees. As of June 26, 2010, the Plan also froze the benefits of certain NYSNA union members. Effective May 31, 2023, and September 30, 2023, Hospital management froze the accrual of Plan benefits for the remaining SEIU 1199 union and NYSNA union employees, respectively. The Plan is frozen as to eligibility for all employees.

Effective January 1, 2020, the Plan was amended and restated to include all prior amendments.

The Hospital's Board of Directors adopted a resolution to terminate the Plan effective December 31, 2023. See Note 4 for additional information.

#### **Funding Policy**

The Plan's funding policy is for the Hospital to contribute an amount which will meet or exceed the annual ERISA minimum funding requirement to ensure that Plan assets were sufficient to pay Plan participants' accrued benefits. There were no plan contributions during 2024 and 2023.

#### **Pension Benefits**

Benefits are determined based on the participant's years of benefit service and compensation as defined by the Plan agreement. The Plan provides for 100% vesting after five years of service of credited service and 0% vesting if less than five years of service have been credited. Effective December 31, 2023, any accrued benefits which were not fully vested became 100% vested on account of the termination of the Plan. The Plan permits early retirement at age 55 upon completion of five years of benefit service and termination of service, or at age 60 upon completion of 10 years of benefit service and termination of service. Employees may elect to receive their benefits in the form of an annuity or lump sum.

## Retirement Plan for Employees of Canton-Potsdam Hospital

### Notes to the Financial Statements

#### Note 1. Description of the Plan (Continued)

##### Pension Benefits (Continued)

Benefits shall be equal to the sum of (a) and (b) and (c) as follows: (a) one percent (1%) of compensation in each calendar year for years of service after January 1, 1976, (b) for service prior to January 1, 1976, one and one quarter percent (1.25%) of the first \$4,800 of the five-year average of basic earnings, as defined in the Plan, at January 1, 1976, plus one and one-half percent (1.5%) of any excess over the first \$4,800 of the five-year average of basic earnings at January 1, 1976, times credited years of service prior to January 1, 1976, divided by twelve and (c) for each year of service beginning on or after January 1, 1995, the maximum excess percentage multiplied by compensation in excess of covered compensation based upon the published covered compensation table in effect for that particular year. The excess benefit percentage is based upon the participant's date of birth and Social Security Retirement Age. For a participant born prior to January 1, 1938, the maximum excess percentage is 0.75%. If a participant was born between January 1, 1938 and before January 1, 1955, the maximum excess percentage is 0.70%.

The maximum excess percentage is further reduced to 0.65% for a participant born on or after January 1, 1955. The additional excess retirement benefit provided by the maximum excess percentage is subject to a mandatory limit of 35 years of service.

As of December 31, 2023, the estimated present value of benefits to be paid out by the Plan during the year ending December 31, 2024 was \$29,700,000. The estimated present value was determined by taking 90% of item (a) and 10% of item (b) as follows: a) The present value is calculated by converting the normal form of benefit to a lump sum distribution at the Plan's termination date. This conversion is based on the three segment interest rates for November 2022 that apply to the annuity payments due at specified periods in the future as required under Internal Revenue Code Section 417(e), as well as the applicable mortality table for the determination of present values under IRC Section 417(e)(3)(B) for 2023. The three segment interest rates for November 2022 are 5.09% for payments expected to be made in the first five years, 5.60% for payments expected in the next 15 years, and 5.41% for all subsequent payments. (b) The present value is calculated by converting the normal form of benefit to a lump sum amount at the Plan's termination date. This present value is based on an interest rate of 4.75% for active participants, 4.70% for terminated participants not in pay status, and 4.85% for participants in pay status based on group annuity rates from outside experts. The mortality table is the sex-distinct Amount-Weighted White Collar Pri-2012 Mortality Tables for employees, healthy retirees, and contingent survivors with mortality improvements projected using Scale MP-2021 on a generational basis. A three percent expense load was added to this liability. The percentage was based on historical experience of the Plan and expectations upon plan termination. For participants in pay status, the present value was determined using 100% of item (b).

The actual benefits paid out by the Plan for the year ended December 31, 2024 was \$30,518,302. The \$818,302 difference between the actual and estimated payable is reported on the statements of changes in net assets available for benefits in liquidation. The difference between the estimated present value of benefits and the actual present value of benefits paid related to the timing of changes in interest rates used when estimation occurred.

##### Death and Disability Benefits

A life annuity is payable to the surviving spouse of a member whose death occurs after becoming vested, but prior to retirement. The amount payable to the surviving spouse is 50% of the member's accrued benefit reduced for early retirement and joint and survivor option election. Benefits commence at the earliest date the member could have retired, had they survived, under the Plan. No disability benefits, other than those payable upon termination of employment, are provided by the Plan. The Plan was amended effective January 1, 2018 to allow for retroactive annuity starting dates.

# **Retirement Plan for Employees of Canton-Potsdam Hospital**

## **Notes to the Financial Statements**

### **Note 2. Summary of Significant Accounting Policies**

#### **Basis of Accounting**

Due to the decision to terminate the Plan during 2023, management determined that liquidation of the Plan is imminent and the financial statements have been prepared using the liquidation basis of accounting.

#### **Use of Estimates**

The preparation of financial statements in accordance with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein; disclosure of contingent assets and liabilities; and the actuarial present value of accumulated plan benefits at the date of the financial statements, and changes therein. Actual results could differ from those estimates.

#### **Investments Valuation and Income Recognition**

All investments in the statements of net assets available for benefits are reported at liquidation value due to the Plan termination disclosed in Note 4. For all investments, fair value approximates the amount the Plan expects to collect at liquidation.

Purchases and sales of securities are recorded on a settlement-date basis. Interest income and dividends are recorded on the liquidation basis, including amounts expected to be earned through the final distribution of Plan assets. Net (depreciation) appreciation in fair value of investments includes the Plan's gains and losses on investments bought and sold as well as held during the year.

#### **Payment of Benefits**

Distributions pursuant to the Plan termination were paid at the participants' election by (a) a monthly annuity through a contract purchased from an insurance company; (b) a lump-sum distribution in a single cash payment either (i) directly to the participant or (ii) as a direct rollover distribution to an eligible retirement plan. Substantially all benefit distributions were paid to participants either as an annuity contract or lump sum during the year ending December 31, 2024 as described in Note 4. As of December 31, 2024, the Plan has not yet submitted documentation of final distributions to the Pension Benefit Guarantee Corporation (PBGC). The remaining assets of the Plan will be distributed during 2025, as described in Note 5.

#### **Administrative Expenses**

The Plan's expenses are paid by the Plan, as provided by the plan document. Certain expenses incurred in connection with the general administration of the Plan that are paid by the Plan are recorded as deductions in the accompanying statements of changes in net assets available for benefits in liquidation. In addition, certain investment related expenses are included in net (depreciation) appreciation in fair value of investments presented in the accompanying statements of changes in net assets available for benefits in liquidation.

## Retirement Plan for Employees of Canton-Potsdam Hospital

### Notes to the Financial Statements

#### Note 3. Actuarial Present Value of Accumulated Plan Benefits

Accumulated plan benefits are those future periodic payments, including lump sum distributions that are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to (a) retired or terminated employees or their beneficiaries, (b) beneficiaries of employees who have died, and (c) present employees or their beneficiaries. Benefits under the plan are accumulated based on employees' compensation during each year of credited service. Benefits payable under all circumstances - retirement, death, disability, and termination of employment — are included, to the extent they are deemed attributable to employee service rendered to the valuation date. The actuarial present value of accumulated plan benefits is determined by an independent actuary and is that amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal, or retirement) between the valuation date and the expected date of payment.

The significant actuarial assumptions used in the valuation as of December 31, 2023, were (a) life expectancy of participants (Pri 2012 Morality Tables projected with Scale MP – 2021), (b) retirement age assumptions (graded by age), and (c) investment return. The interest rates used to discount the obligation for 2023 was 3.30%. The computations of the actuarial present value of accumulated plan benefits were made as of January 1, 2023. Had the valuations been performed as of December 31, there would be no material differences.

The accumulated plan benefit information as of the beginning of the Plan as of December 31:

|  | <b>2024</b> | <b>2023</b>          |
|--|-------------|----------------------|
| Actuarial present value of accumulated plan benefits |             |                      |
| Vested benefits:                                     |             |                      |
| Participants currently receiving payments            | \$ -        | \$ 23,950,942        |
| Terminated vested participants                       | -           | 3,590,920            |
| Other participants                                   | -           | <u>7,136,377</u>     |
|  | -           | 34,678,239           |
| Non-vested benefits                                  | -           | <u>34,398</u>        |
| Actuarial present value of accumulated benefits      | <u>\$ -</u> | <u>\$ 34,712,637</u> |

The changes in the actuarial present value of accumulated plan benefits are as follows:

|   |                     |
|---|---------------------|
| Actuarial present value of accumulated plan benefits<br>as of January 1, 2024   | \$ 34,712,637       |
| Changes during the year attributed to:  |                     |
| Decrease for interest and other charges   | (4,194,335)         |
| Benefits paid directly to participants  | <u>(30,518,302)</u> |
| Net decrease  | <u>(34,715,637)</u> |
| Actuarial present value of accumulated plan<br>benefits as of December 31, 2024 | <u>\$ -</u>         |

## Retirement Plan for Employees of Canton-Potsdam Hospital

### Notes to the Financial Statements

#### Note 3. Actuarial Present Value of Accumulated Plan Benefits (Continued)

The significant assumptions for the year ended December 31, 2023, were as follows:

|                               |  |
|-------------------------------|--|
| Valuation interest rate       | 3.30% per annum  |
| Mortality                     | The sex-distinct Amount-Weighted Blue Collar Pri-2012 Mortality Tables for employees, healthy annuitants, and contingent survivors, with mortality improvements projected using Scale MP-2021 on a generational basis. |
| Turnover                      | Graduated scale based on years of service  |
| Assumed retirement assumption | 65   |

As the Plan was in liquidation, see Note 1 for discussion on actuarial assumptions related to distributions under Plan termination.

#### Note 4. Plan Termination

On September 26, 2023, the Plan Sponsor's Board of Directors adopted a resolution to terminate the Plan effective December 31, 2023. As a result, management determined that liquidation was imminent.

In December 2023, a Notice of Interested Parties advising that an application was to be filed was distributed to Plan participants and subsequently, the application for approval of the Plan's termination was filed with the IRS. In addition, in October 2023, a Notice of Intent to Terminate was distributed to Plan participants to notify them of the proposed Plan termination effective December 31, 2023.

On April 30, 2024, a Notice of Plan Benefits was distributed to Plan participants and subsequently a Standard Termination Notice was filed with the PBGC in May 2024. The Notice of Plan Benefits is a statement of a participant's accrued benefit under the Plan, including an estimate of the benefit amount to which the participant would be entitled following the receipt of Plan termination approval. As a result of the resolution to terminate the Plan, each employee with an accrued benefit under the Plan has the following choices to have the present value of their accrued benefit: (a) distributed in the form of a monthly annuity through a contract purchased from an insurance company or (b) as a lump sum distribution in a single cash payment either (i) directly to the participant or (ii) as a direct rollover by the Plan's trustee into the participant's rollover Individual Retirement Account. If the present value was \$7,000 or less, it will be distributed automatically in a lump sum payment either directly to the employee or former employee or as a direct rollover as described above.

Total lump sum payments made in conjunction with the Plan termination for the year ended December 31, 2024 were \$3,708,345. Normal annuity distributions were paid out to participants outside of the Plan termination for the year ended December 31, 2024. amounting to \$2,104,766.

On December 10, 2024, a single premium group annuity contract was purchased from Plan assets with Principal Life Insurance Company for \$24,705,191. The total benefits paid directly to participants was offset by the estimated liability of \$29,700,000 from the year ending December 31, 2023 and are included in benefits paid directly to participants in the statements of changes in net assets available for benefits in liquidation for the year ended December 31, 2024.

## Retirement Plan for Employees of Canton-Potsdam Hospital

### Notes to the Financial Statements

#### Note 4. Plan Termination (Continued)

Retirees and beneficiaries receiving pension benefits when the Contract was purchased now have such benefits paid by Principal Life Insurance Company under the Contract. Benefit payments by Principal Life Insurance Company began as of December 10, 2024. The Contract provides that Principal Life Insurance Company has the obligation to pay all benefits under the Contract without the change and in accordance with the elections that were made at the time benefit payments began.

#### Note 5. Liquidation of Remaining Plan Assets

After purchasing an annuity contract with \$24,705,191 of Plan assets and making single cash payments to Plan participants from Plan assets totaling \$5,813,111 (Note 4), the Plan still held assets totaling \$8,023,503. These assets are expected to be returned to the Hospital and used to settle any final expenses of the Plan. As of December 31, 2024, these plan assets were not yet distributed and are recorded as a plan asset payable on the statements of net assets available for benefits in liquidation for the year ending December 31, 2024.

#### Note 6. Fair Value Measurements

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of observable inputs and minimize the use of unobservable inputs.

## Retirement Plan for Employees of Canton-Potsdam Hospital

### Notes to the Financial Statements

#### Note 6. Fair Value Measurements (Continued)

The following table sets forth by level, within the fair value hierarchy, the Plan's investments at fair value as of December 31,

|  | 2024                 |                      |             |                      |
|--|----------------------|----------------------|-------------|----------------------|
|  | Level 1              | Level 2              | Level 3     | Total                |
| Money market funds (a)                     | \$ 7,979,666         | \$ -                 | \$ -        | \$ 7,979,666         |
| Total investments at fair value            | <u>\$ 7,979,666</u>  | <u>\$ -</u>          | <u>\$ -</u> | <u>\$ 7,979,666</u>  |
|  | 2023                 |                      |             |                      |
|  | Level 1              | Level 2              | Level 3     | Total                |
| Money market funds (a)                     | \$ 7,117,770         | \$ -                 | \$ -        | \$ 7,117,770         |
| Mutual funds (b)                           | 16,623,950           | -                    | -           | 16,623,950           |
| U.S. government and agency obligations (c) | <u>-</u>             | <u>13,712,675</u>    | <u>-</u>    | <u>13,712,675</u>    |
| Total investments at fair value            | <u>\$ 23,741,720</u> | <u>\$ 13,712,675</u> | <u>\$ -</u> | <u>\$ 37,454,395</u> |

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2024 and 2023.

- (a) Money market funds: Valued at cost plus interest, which approximates fair value. These investments are classified as Level 1 investments.
- (b) Mutual funds: Valued at the daily closing prices as reported by the fund. Mutual funds held by the Plan are registered with the U.S. Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded. These investments are classified as Level 1 investments.
- (c) U.S. government and agency obligations: Valued using pricing models maximizing the use of observable inputs for similar securities. These investments are classified as Level 2 investments.

#### Note 7. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

The actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates, and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near-term would-be material to the financial statements.

## Retirement Plan for Employees of Canton-Potsdam Hospital

### Notes to the Financial Statements

#### Note 8. Tax Status

The Hospital submitted Internal Revenue Service (IRS) Form 5310, Application of Determination for Terminating Plan, and received its final determination letter dated April 28, 2025. Previously, the Plan obtained its latest determination letter on July 31, 2020, in which the IRS states that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code (IRC). The plan administrator believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC.

U.S. GAAP requires plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

#### Note 9. Party-in-Interest Transactions

As described in Note 2, the Plan paid certain expenses related to plan operations and investment activity to various service providers. Transactions with Wilmington Trust are party in interest transactions under ERISA.

#### Note 10. Financial Certification

The following unaudited financial information as of December 31, 2024 and 2023 and for the years then ended, has been furnished by the Plan Administrator and certified by the trustee, Wilmington Trust. The incorporation of this financial information in the accompanying financial statements is based solely on their certification, and the information relating to assets has not been audited by independent accountants.

|   | <u>2024</u>  | <u>2023</u>   |
|---|--------------|---------------|
| <b>Investments at fair value:</b>                               |              |               |
| Money market funds  | \$ 7,979,666 | \$ 7,117,770  |
| Mutual funds  | \$ -         | \$ 16,623,950 |
| U.S. government and agency obligations                          | \$ -         | \$ 13,712,675 |
| <b>Transactions for the years ended:</b>                        |              |               |
| Interest and dividends  | \$ 1,399,249 | \$ 1,399,457  |
| Net (depreciation) appreciation<br>in fair value of investments | \$ (554,635) | \$ 1,010,930  |

## Retirement Plan for Employees of Canton-Potsdam Hospital

### Notes to the Financial Statements

#### Note 11. Reconciliation of Financial Statements to the Form 5500

The following is a reconciliation of statements of changes in net assets available for benefits in liquidation per the financial statements at December 31, 2024, to the Form 5500:

|  |    |                  |
|--|----|------------------|
| Net assets available for benefits per the financial statements | \$ | -                |
| Differences in:  |    |                  |
| Plan assets payable to the Hospital                            |    | 7,750,000        |
| Other expenses   |    | (7,751,580)      |
| PBGC expenses  |    | 93,781           |
| Benefits paid directly to participants                         |    | 818,302          |
| Benefit payments   |    | <u>(910,503)</u> |
| Net assets available for benefits per the Form 5500            | \$ | <u>-</u>         |

#### Note 12. Subsequent Events

On January 2, 2025, the benefit payable to participants of \$179,722 was paid out by the Plan to participants. On February 14, 2025, the Plan transferred \$7,750,000 of the remaining assets back to the Hospital. On February 15, 2025, Principal Life Insurance refunded the Plan \$92,075 related to adjustments made to benefit payments and on March 10, 2025, the Plan refunded \$93,781 back to the PBGC due to several participants not returning their distribution paperwork. The Plan submitted documentation of final distributions to the PBGC on March 10, 2025 and plans to distribute all plan assets before December 31, 2025.

The Plan has evaluated subsequent events through October 14, 2025, the date the financial statements were available to be issued.

**Retirement Plan for Employees of Canton-Potsdam Hospital**

**Schedule H, Line 4i - Schedule of Assets (Held at End of Year)**

**December 31, 2024**

**EIN # 16-1012691, Plan #001**

| <b>Identity of Issuer</b>                       | <b>Cost Basis</b>   | <b>Current Value</b> |
|---|---------------------|----------------------|
| <b>Money market funds</b>                       |                     |                      |
| * WILMINGTON US GOVERNMENT MONEY MARKET CL INST | \$ 7,979,666        | \$ 7,979,666         |
| <b>Total investments</b>                        | <u>\$ 7,979,666</u> | <u>\$ 7,979,666</u>  |

\* Denotes Party-In-Interest to the Plan

The above schedule is based solely upon information certified by Wilmington Trust as complete and accurate.

**REPORTABLE TRANSACTIONS WORKSHEET**

| DATE BOUGHT / SOLD     | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|------------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|
| BEGINNING MARKET VALUE | 37,615,702.22      |            |                  |                   |                  |                      |
| COMPARATIVE VALUE (5%) | 1,880,785.11       |            |                  |                   |                  |                      |

**CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE**

|         |   |           |        |     |             |           |          |
|---------|---|-----------|--------|-----|-------------|-----------|----------|
| 1012451 | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>10/02/24 S     | 7,149,000 | 0.802  | 0   | 5,736,235 * | 5,837,669 | -101,434 |
| 1012451 | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54<br>10/07/24 B  | 4,777,000 | 0.990  | 0   | 4,731,096-* | 4,731,096 |          |
| 1012451 | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54<br>12/04/24 S  | 4,777,000 | 0.966  | 0   | 4,616,336 * | 4,731,096 | -114,760 |
| 1012451 | ISSUE: 91282CAH4 - U.S. TREASURY NOTES 0.5% 8/31/27<br>10/02/24 S   | 3,582,000 | 0.916  | 0   | 3,281,448 * | 3,164,769 | 116,679  |
| 1012451 | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>10/07/24 B | 4,202,000 | 0.958  | 0   | 4,026,534-* | 4,026,534 |          |
| 1012451 | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>12/04/24 S | 4,202,000 | 0.948  | 0   | 3,983,857 * | 4,026,534 | -42,677  |
| 1012451 | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32<br>10/02/24 S  | 3,641,000 | 0.936  | 0   | 3,406,042 * | 3,326,631 | 79,410   |
| 1012451 | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34<br>10/07/24 B     | 3,680,000 | 0.999  | 0   | 3,676,981-* | 3,676,981 |          |
| 1012451 | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34<br>12/04/24 S     | 3,680,000 | 0.979  | 0   | 3,604,244 * | 3,676,981 | -72,738  |
| 1012451 | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF<br>10/02/24 S  | 38,274    | 79.231 | 658 | 3,031,825 * | 2,961,259 | 70,566   |
| 1012451 | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF<br>10/07/24 B  | 47,023    | 78.700 | 705 | 3,701,415-* | 3,701,415 |          |

*continued*

**REPORTABLE TRANSACTIONS WORKSHEET**

|         | DATE<br>BOUGHT / SOLD                                   | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---------|---|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
|         | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451 | 12/04/24 S  | 47,023                | 78.348        | 808                 | 3,683,355 *          | 3,701,415           | -18,061                 |
|         | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |                       |               |                     |                      |                     |                         |
| 1012451 | 04/26/24 S  | 38,344                | 74.326        | 598                 | 2,849,366 *          | 3,073,272           | -223,906                |
|         | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |                       |               |                     |                      |                     |                         |
| 1012451 | 10/02/24 S  | 57,396                | 81.090        | 990                 | 4,653,251 *          | 4,600,289           | 52,962                  |
|         | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |                       |               |                     |                      |                     |                         |
| 1012451 | 10/07/24 B  | 59,887                | 79.480        | 898                 | 4,760,717-*          | 4,760,717           |                         |
|         | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |                       |               |                     |                      |                     |                         |
| 1012451 | 12/04/24 S  | 59,887                | 78.169        | 1,028               | 4,680,255 *          | 4,760,717           | -80,463                 |
|         | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF       |                       |               |                     |                      |                     |                         |
| 1012451 | 10/02/24 S  | 35,092                | 83.641        | 608                 | 2,934,508 *          | 2,852,278           | 82,230                  |
|         | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF       |                       |               |                     |                      |                     |                         |
| 1012451 | 10/07/24 B  | 49,418                | 82.600        | 741                 | 4,082,668-*          | 4,082,668           |                         |
|         | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF       |                       |               |                     |                      |                     |                         |
| 1012451 | 12/04/24 S  | 49,418                | 81.650        | 853                 | 4,034,126 *          | 4,082,668           | -48,542                 |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                       |               |                     |                      |                     |                         |
| 1012451 | 04/29/24 B  | 1,927,791             | 1.000         | 0                   | 1,927,791-*          | 1,927,791           |                         |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                       |               |                     |                      |                     |                         |
| 1012451 | 04/30/24 B  | 4,445,514             | 1.000         | 0                   | 4,445,514-*          | 4,445,514           |                         |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                       |               |                     |                      |                     |                         |
| 1012451 | 10/03/24 B  | 17,360,523            | 1.000         | 0                   | 17,360,523-*         | 17,360,523          |                         |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                       |               |                     |                      |                     |                         |
| 1012451 | 10/03/24 B  | 5,764,792             | 1.000         | 0                   | 5,764,792-*          | 5,764,792           |                         |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                       |               |                     |                      |                     |                         |
| 1012451 | 10/08/24 S  | 21,385,075            | 1.000         | 0                   | 21,385,075 *         | 21,385,075          | 0                       |

*continued*

**REPORTABLE TRANSACTIONS WORKSHEET**

|         | DATE<br>BOUGHT / SOLD                                   | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---------|---|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                       |               |                     |                      |                     |                         |
| 1012451 | 10/08/24 S  | 3,698,581             | 1.000         | 0                   | 3,698,581 *          | 3,698,581           | 0                       |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                       |               |                     |                      |                     |                         |
| 1012451 | 11/01/24 S  | 3,870,066             | 1.000         | 0                   | 3,870,066 *          | 3,870,066           | 0                       |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                       |               |                     |                      |                     |                         |
| 1012451 | 12/05/24 B  | 24,720,442            | 1.000         | 0                   | 24,720,442-*         | 24,720,442          |                         |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                       |               |                     |                      |                     |                         |
| 1012451 | 12/10/24 S  | 24,705,191            | 1.000         | 0                   | 24,705,191 *         | 24,705,191          | 0                       |
|         | <b>GRAND TOTAL</b>                                      |                       |               | <b>7,887</b>        | <b>183,352,234</b>   | <b>183,652,964</b>  | <b>-300,732</b>         |

*continued*

**REPORTABLE TRANSACTIONS WORKSHEET**

| DATE BOUGHT / SOLD | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|--------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|
|--------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|

**CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE**

**BROKER: DEUTSCHE BANK SECURITIES, INC.**

|                  |   |           |          |                  |                  |                |         |
|------------------|---|-----------|----------|------------------|------------------|----------------|---------|
| 1012451          | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>12/04/24 S | 4,202,000 | 0.948    | 0                | 3,983,857 *      | 4,026,534      | -42,677 |
| <b>SUB-TOTAL</b> |   |           | <b>0</b> | <b>3,983,857</b> | <b>4,026,534</b> | <b>-42,677</b> |         |

**BROKER: STONEX FINANCIAL INC.**

|                  |   |           |          |                  |                  |                 |          |
|------------------|---|-----------|----------|------------------|------------------|-----------------|----------|
| 1012451          | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>01/08/24 B | 459,000   | 0.799    | 0                | 366,788-         | 366,788         |          |
| 1012451          | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>10/02/24 S | 7,149,000 | 0.802    | 0                | 5,736,235 *      | 5,837,669       | -101,434 |
| 1012451          | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34<br>10/07/24 B | 3,680,000 | 0.999    | 0                | 3,676,981-*      | 3,676,981       |          |
| <b>SUB-TOTAL</b> |   |           | <b>0</b> | <b>9,780,004</b> | <b>9,881,438</b> | <b>-101,434</b> |          |

**BROKER: HSBC SECURITIES, INC.**

|                  |   |           |          |                  |                  |           |  |
|------------------|---|-----------|----------|------------------|------------------|-----------|--|
| 1012451          | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>10/07/24 B | 4,202,000 | 0.958    | 0                | 4,026,534-*      | 4,026,534 |  |
| <b>SUB-TOTAL</b> |   |           | <b>0</b> | <b>4,026,534</b> | <b>4,026,534</b> | <b>0</b>  |  |

**BROKER: BOFA SECURITIES, INC/FIXED INC**

|         |   |         |       |   |         |         |         |
|---------|---|---------|-------|---|---------|---------|---------|
| 1012451 | ISSUE: 912810RX8 - U.S. TREASURY BONDS 3% 5/15/47<br>04/26/24 S | 882,000 | 0.739 | 0 | 651,991 | 720,382 | -68,391 |
|---------|---|---------|-------|---|---------|---------|---------|

*continued*

**REPORTABLE TRANSACTIONS WORKSHEET**

|   | DATE<br>BOUGHT / SOLD                                | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---|--|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
|   | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 3,680,000             | 0.979         | 0                   | 3,604,244 *          | 3,676,981           | -72,738                 |
|   | <b>SUB-TOTAL</b>                                     |                       |               | <b>0</b>            | <b>4,256,235</b>     | <b>4,397,363</b>    | <b>-141,129</b>         |
| <b>BROKER: RAYMOND JAMES &amp; ASSOCIATES</b> |  |                       |               |                     |                      |                     |                         |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 38,274                | 79.231        | 658                 | 3,031,825 *          | 2,961,259           | 70,566                  |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 57,396                | 81.090        | 990                 | 4,653,251 *          | 4,600,289           | 52,962                  |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 35,092                | 83.641        | 608                 | 2,934,508 *          | 2,852,278           | 82,230                  |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 47,023                | 78.700        | 705                 | 3,701,415-*          | 3,701,415           |                         |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 59,887                | 79.480        | 898                 | 4,760,717-*          | 4,760,717           |                         |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 49,418                | 82.600        | 741                 | 4,082,668-*          | 4,082,668           |                         |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 47,023                | 78.348        | 808                 | 3,683,355 *          | 3,701,415           | -18,061                 |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 59,887                | 78.169        | 1,028               | 4,680,255 *          | 4,760,717           | -80,463                 |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 49,418                | 81.650        | 853                 | 4,034,126 *          | 4,082,668           | -48,542                 |
|   | <b>SUB-TOTAL</b>                                     |                       |               | <b>7,289</b>        | <b>35,562,120</b>    | <b>35,503,426</b>   | <b>58,693</b>           |

*continued*

**REPORTABLE TRANSACTIONS WORKSHEET**

|   | DATE<br>BOUGHT / SOLD                                 | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---|---|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| <b>BROKER: CITIGROUP GLOBAL MKTS/SALOMON</b>  |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B  | 4,777,000             | 0.990         | 0                   | 4,731,096-*          | 4,731,096           |                         |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>4,731,096</b>     | <b>4,731,096</b>    | <b>0</b>                |
| <b>BROKER: NOMURA SECURITIES INTL., FIXED</b> |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 91282CAH4 - U.S. TREASURY NOTES 0.5% 8/31/27   |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S  | 3,582,000             | 0.916         | 0                   | 3,281,448 *          | 3,164,769           | 116,679                 |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>3,281,448</b>     | <b>3,164,769</b>    | <b>116,679</b>          |
| <b>BROKER: CITADEL SECURITIES LLC</b>         |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 01/08/24 B  | 1,111,000             | 0.909         | 0                   | 1,009,708-           | 1,009,708           |                         |
|   | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S  | 3,641,000             | 0.936         | 0                   | 3,406,042 *          | 3,326,631           | 79,410                  |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>4,415,750</b>     | <b>4,336,339</b>    | <b>79,410</b>           |
| <b>BROKER: BMOCHICAGO BRANCH</b>              |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 91282CCB5 - U.S. TREASURY NOTES 1.625% 5/15/31 |                       |               |                     |                      |                     |                         |
| 1012451                                       | 04/26/24 S  | 1,111,000             | 0.820         | 0                   | 910,977              | 952,982             | -42,006                 |
|   | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S  | 4,777,000             | 0.966         | 0                   | 4,616,336 *          | 4,731,096           | -114,760                |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>5,527,313</b>     | <b>5,684,078</b>    | <b>-156,766</b>         |

continued

**REPORTABLE TRANSACTIONS WORKSHEET**

|   | DATE<br>BOUGHT / SOLD                             | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---|---|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| <b>BROKER: BERNSTEIN INSTITUTIONAL SERVIC</b> |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND   |                       |               |                     |                      |                     |                         |
| 1012451                                       | 04/26/24 S  | 38,344                | 74.326        | 598                 | 2,849,366 *          | 3,073,272           | -223,906                |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 04/26/24 S  | 20,352                | 78.443        | 318                 | 1,596,148            | 1,654,211           | -58,063                 |
|   | <b>SUB-TOTAL</b>                                  |                       |               | <b>916</b>          | <b>4,445,514</b>     | <b>4,727,483</b>    | <b>-281,969</b>         |
|   | <b>GRAND TOTAL</b>                                |                       |               | <b>8,205</b>        | <b>80,009,871</b>    | <b>80,479,060</b>   | <b>-469,192</b>         |

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## REPORTABLE TRANSACTIONS WORKSHEET

|   | DATE<br>BOUGHT / SOLD | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---|-----------------------|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52     |                       |                       |               |                     |                      |                     |                         |
| 1012451   | 01/08/24 B            | 459,000               | 0.799         | 0                   | 366,788-             | 366,788             |                         |
| <b>SUB-TOTAL OF BUYS # 1</b>                          |                       |                       |               | <b>0</b>            | <b>366,788</b>       | <b>366,788</b>      |                         |
| ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                       |                       |               |                     |                      |                     |                         |
| 1012451   | 10/02/24 S            | 7,149,000             | 0.802         | 0                   | 5,736,235 *          | 5,837,669           | -101,434                |
| <b>SUB-TOTAL OF SALES # 1</b>                         |                       |                       |               | <b>0</b>            | <b>5,736,235</b>     | <b>5,837,669</b>    | <b>-101,434</b>         |
| <b>SUB-TOTAL</b>                                      |                       |                       |               | <b>0</b>            | <b>6,103,023</b>     | <b>6,204,457</b>    | <b>-101,434</b>         |
| ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                       |                       |               |                     |                      |                     |                         |
| 1012451   | 10/07/24 B            | 4,777,000             | 0.990         | 0                   | 4,731,096-*          | 4,731,096           |                         |
| <b>SUB-TOTAL OF BUYS # 1</b>                          |                       |                       |               | <b>0</b>            | <b>4,731,096</b>     | <b>4,731,096</b>    |                         |
| 1012451   | 12/04/24 S            | 4,777,000             | 0.966         | 0                   | 4,616,336 *          | 4,731,096           | -114,760                |
| <b>SUB-TOTAL OF SALES # 1</b>                         |                       |                       |               | <b>0</b>            | <b>4,616,336</b>     | <b>4,731,096</b>    | <b>-114,760</b>         |
| <b>SUB-TOTAL</b>                                      |                       |                       |               | <b>0</b>            | <b>9,347,432</b>     | <b>9,462,192</b>    | <b>-114,760</b>         |
| ISSUE: 91282CAH4 - U.S. TREASURY NOTES 0.5% 8/31/27   |                       |                       |               |                     |                      |                     |                         |
| 1012451   | 10/02/24 S            | 3,582,000             | 0.916         | 0                   | 3,281,448 *          | 3,164,769           | 116,679                 |
| <b>SUB-TOTAL OF SALES # 1</b>                         |                       |                       |               | <b>0</b>            | <b>3,281,448</b>     | <b>3,164,769</b>    | <b>116,679</b>          |
| <b>SUB-TOTAL</b>                                      |                       |                       |               | <b>0</b>            | <b>3,281,448</b>     | <b>3,164,769</b>    | <b>116,679</b>          |
| ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29 |                       |                       |               |                     |                      |                     |                         |
| 1012451   | 10/07/24 B            | 4,202,000             | 0.958         | 0                   | 4,026,534-*          | 4,026,534           |                         |
| <b>SUB-TOTAL OF BUYS # 1</b>                          |                       |                       |               | <b>0</b>            | <b>4,026,534</b>     | <b>4,026,534</b>    |                         |
| 1012451   | 12/04/24 S            | 4,202,000             | 0.948         | 0                   | 3,983,857 *          | 4,026,534           | -42,677                 |

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**REPORTABLE TRANSACTIONS WORKSHEET**

|         | DATE<br>BOUGHT / SOLD                                | SHARES /<br>PAR VALUE         | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---------|--|-------------------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
|         |  | <b>SUB-TOTAL OF SALES # 1</b> |               | <b>0</b>            | <b>3,983,857</b>     | <b>4,026,534</b>    | <b>-42,677</b>          |
|         |  | <b>SUB-TOTAL</b>              |               | <b>0</b>            | <b>8,010,391</b>     | <b>8,053,068</b>    | <b>-42,677</b>          |
|         | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32 |                               |               |                     |                      |                     |                         |
| 1012451 | 01/08/24 B   | 1,111,000                     | 0.909         | 0                   | 1,009,708-           | 1,009,708           |                         |
|         |  | <b>SUB-TOTAL OF BUYS # 1</b>  |               | <b>0</b>            | <b>1,009,708</b>     | <b>1,009,708</b>    |                         |
| 1012451 | 10/02/24 S   | 3,641,000                     | 0.936         | 0                   | 3,406,042 *          | 3,326,631           | 79,410                  |
|         |  | <b>SUB-TOTAL OF SALES # 1</b> |               | <b>0</b>            | <b>3,406,042</b>     | <b>3,326,631</b>    | <b>79,410</b>           |
|         |  | <b>SUB-TOTAL</b>              |               | <b>0</b>            | <b>4,415,750</b>     | <b>4,336,339</b>    | <b>79,410</b>           |
|         | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34    |                               |               |                     |                      |                     |                         |
| 1012451 | 10/07/24 B   | 3,680,000                     | 0.999         | 0                   | 3,676,981-*          | 3,676,981           |                         |
|         |  | <b>SUB-TOTAL OF BUYS # 1</b>  |               | <b>0</b>            | <b>3,676,981</b>     | <b>3,676,981</b>    |                         |
| 1012451 | 12/04/24 S   | 3,680,000                     | 0.979         | 0                   | 3,604,244 *          | 3,676,981           | -72,738                 |
|         |  | <b>SUB-TOTAL OF SALES # 1</b> |               | <b>0</b>            | <b>3,604,244</b>     | <b>3,676,981</b>    | <b>-72,738</b>          |
|         |  | <b>SUB-TOTAL</b>              |               | <b>0</b>            | <b>7,281,225</b>     | <b>7,353,962</b>    | <b>-72,738</b>          |
|         | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                               |               |                     |                      |                     |                         |
| 1012451 | 10/07/24 B   | 47,023                        | 78.700        | 705                 | 3,701,415-*          | 3,701,415           |                         |
|         |  | <b>SUB-TOTAL OF BUYS # 1</b>  |               | <b>705</b>          | <b>3,701,415</b>     | <b>3,701,415</b>    |                         |
| 1012451 | 01/16/24 S   | 19,163                        | 77.464        | 299                 | 1,484,134            | 1,482,641           | 1,492                   |
| 1012451 | 10/02/24 S   | 38,274                        | 79.231        | 658                 | 3,031,825 *          | 2,961,259           | 70,566                  |
| 1012451 | 12/04/24 S   | 47,023                        | 78.348        | 808                 | 3,683,355 *          | 3,701,415           | -18,061                 |
|         |  | <b>SUB-TOTAL OF SALES # 3</b> |               | <b>1,765</b>        | <b>8,199,314</b>     | <b>8,145,315</b>    | <b>53,998</b>           |

continued

## REPORTABLE TRANSACTIONS WORKSHEET

|         | DATE<br>BOUGHT / SOLD                                   | SHARES /<br>PAR VALUE         | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---------|---|-------------------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
|         |   | <b>SUB-TOTAL</b>              |               | <b>2,470</b>        | <b>11,900,729</b>    | <b>11,846,730</b>   | <b>53,998</b>           |
|         | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |                               |               |                     |                      |                     |                         |
| 1012451 | 10/07/24 B  | 59,887                        | 79.480        | 898                 | 4,760,717*           | 4,760,717           |                         |
|         |   | <b>SUB-TOTAL OF BUYS # 1</b>  |               | <b>898</b>          | <b>4,760,717</b>     | <b>4,760,717</b>    |                         |
| 1012451 | 04/26/24 S  | 38,344                        | 74.326        | 598                 | 2,849,366 *          | 3,073,272           | -223,906                |
| 1012451 | 10/02/24 S  | 57,396                        | 81.090        | 990                 | 4,653,251 *          | 4,600,289           | 52,962                  |
| 1012451 | 12/04/24 S  | 59,887                        | 78.169        | 1,028               | 4,680,255 *          | 4,760,717           | -80,463                 |
|         |   | <b>SUB-TOTAL OF SALES # 3</b> |               | <b>2,616</b>        | <b>12,182,872</b>    | <b>12,434,278</b>   | <b>-251,406</b>         |
|         |   | <b>SUB-TOTAL</b>              |               | <b>3,514</b>        | <b>16,943,589</b>    | <b>17,194,995</b>   | <b>-251,406</b>         |
|         | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF       |                               |               |                     |                      |                     |                         |
| 1012451 | 10/07/24 B  | 49,418                        | 82.600        | 741                 | 4,082,668*           | 4,082,668           |                         |
|         |   | <b>SUB-TOTAL OF BUYS # 1</b>  |               | <b>741</b>          | <b>4,082,668</b>     | <b>4,082,668</b>    |                         |
| 1012451 | 04/26/24 S  | 20,352                        | 78.443        | 318                 | 1,596,148            | 1,654,211           | -58,063                 |
| 1012451 | 10/02/24 S  | 35,092                        | 83.641        | 608                 | 2,934,508 *          | 2,852,278           | 82,230                  |
| 1012451 | 12/04/24 S  | 49,418                        | 81.650        | 853                 | 4,034,126 *          | 4,082,668           | -48,542                 |
|         |   | <b>SUB-TOTAL OF SALES # 3</b> |               | <b>1,779</b>        | <b>8,564,782</b>     | <b>8,589,157</b>    | <b>-24,374</b>          |
|         |   | <b>SUB-TOTAL</b>              |               | <b>2,520</b>        | <b>12,647,450</b>    | <b>12,671,825</b>   | <b>-24,374</b>          |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                               |               |                     |                      |                     |                         |
| 1012451 | 01/02/24 B  | 31,100                        | 1.000         | 0                   | 31,100-              | 31,100              |                         |
| 1012451 | 01/18/24 B  | 1,484,134                     | 1.000         | 0                   | 1,484,134-           | 1,484,134           |                         |
| 1012451 | 01/25/24 B  | 0                             | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 02/01/24 B  | 28,937                        | 1.000         | 0                   | 28,937-              | 28,937              |                         |
| 1012451 | 02/06/24 B  | 24,536                        | 1.000         | 0                   | 24,536-              | 24,536              |                         |

continued

**REPORTABLE TRANSACTIONS WORKSHEET**

|         | DATE<br>BOUGHT / SOLD | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---------|-----------------------|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| 1012451 | 02/06/24 B            | 32,532                | 1.000         | 0                   | 32,532-              | 32,532              |                         |
| 1012451 | 02/14/24 B            | 171                   | 1.000         | 0                   | 171-                 | 171                 |                         |
| 1012451 | 02/15/24 B            | 157,299               | 1.000         | 0                   | 157,299-             | 157,299             |                         |
| 1012451 | 02/15/24 B            | 21,603                | 1.000         | 0                   | 21,603-              | 21,603              |                         |
| 1012451 | 02/16/24 B            | 172                   | 1.000         | 0                   | 172-                 | 172                 |                         |
| 1012451 | 02/26/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 02/29/24 B            | 8,955                 | 1.000         | 0                   | 8,955-               | 8,955               |                         |
| 1012451 | 03/01/24 B            | 28,769                | 1.000         | 0                   | 28,769-              | 28,769              |                         |
| 1012451 | 03/05/24 B            | 186                   | 1.000         | 0                   | 186-                 | 186                 |                         |
| 1012451 | 03/06/24 B            | 26,155                | 1.000         | 0                   | 26,155-              | 26,155              |                         |
| 1012451 | 03/06/24 B            | 27,243                | 1.000         | 0                   | 27,243-              | 27,243              |                         |
| 1012451 | 03/08/24 B            | 812                   | 1.000         | 0                   | 812-                 | 812                 |                         |
| 1012451 | 03/25/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 04/01/24 B            | 30,785                | 1.000         | 0                   | 30,785-              | 30,785              |                         |
| 1012451 | 04/04/24 B            | 25,811                | 1.000         | 0                   | 25,811-              | 25,811              |                         |
| 1012451 | 04/04/24 B            | 30,455                | 1.000         | 0                   | 30,455-              | 30,455              |                         |
| 1012451 | 04/09/24 B            | 103                   | 1.000         | 0                   | 103-                 | 103                 |                         |
| 1012451 | 04/25/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 04/29/24 B            | 1,927,791             | 1.000         | 0                   | 1,927,791-*          | 1,927,791           |                         |
| 1012451 | 04/29/24 B            | 664,058               | 1.000         | 0                   | 664,058-             | 664,058             |                         |
| 1012451 | 04/30/24 B            | 4,445,514             | 1.000         | 0                   | 4,445,514-*          | 4,445,514           |                         |
| 1012451 | 05/01/24 B            | 30,717                | 1.000         | 0                   | 30,717-              | 30,717              |                         |
| 1012451 | 05/06/24 B            | 19,781                | 1.000         | 0                   | 19,781-              | 19,781              |                         |
| 1012451 | 05/06/24 B            | 18,539                | 1.000         | 0                   | 18,539-              | 18,539              |                         |
| 1012451 | 05/28/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 06/03/24 B            | 60,462                | 1.000         | 0                   | 60,462-              | 60,462              |                         |
| 1012451 | 06/05/24 B            | 20,374                | 1.000         | 0                   | 20,374-              | 20,374              |                         |

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**REPORTABLE TRANSACTIONS WORKSHEET**

|         | DATE<br>BOUGHT / SOLD | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---------|-----------------------|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| 1012451 | 06/05/24 B            | 18,269                | 1.000         | 0                   | 18,269-              | 18,269              |                         |
| 1012451 | 06/11/24 B            | 303                   | 1.000         | 0                   | 303-                 | 303                 |                         |
| 1012451 | 06/25/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 07/01/24 B            | 58,335                | 1.000         | 0                   | 58,335-              | 58,335              |                         |
| 1012451 | 07/03/24 B            | 37,436                | 1.000         | 0                   | 37,436-              | 37,436              |                         |
| 1012451 | 07/25/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 08/01/24 B            | 59,826                | 1.000         | 0                   | 59,826-              | 59,826              |                         |
| 1012451 | 08/05/24 B            | 38,620                | 1.000         | 0                   | 38,620-              | 38,620              |                         |
| 1012451 | 08/15/24 B            | 157,299               | 1.000         | 0                   | 157,299-             | 157,299             |                         |
| 1012451 | 08/26/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 08/27/24 B            | 68                    | 1.000         | 0                   | 68-                  | 68                  |                         |
| 1012451 | 09/03/24 B            | 68,491                | 1.000         | 0                   | 68,491-              | 68,491              |                         |
| 1012451 | 09/05/24 B            | 39,300                | 1.000         | 0                   | 39,300-              | 39,300              |                         |
| 1012451 | 09/10/24 B            | 759                   | 1.000         | 0                   | 759-                 | 759                 |                         |
| 1012451 | 09/12/24 B            | 39                    | 1.000         | 0                   | 39-                  | 39                  |                         |
| 1012451 | 10/01/24 B            | 55,969                | 1.000         | 0                   | 55,969-              | 55,969              |                         |
| 1012451 | 10/03/24 B            | 17,360,523            | 1.000         | 0                   | 17,360,523-*         | 17,360,523          |                         |
| 1012451 | 10/03/24 B            | 5,764,792             | 1.000         | 0                   | 5,764,792-*          | 5,764,792           |                         |
| 1012451 | 10/31/24 B            | 60,404                | 1.000         | 0                   | 60,404-              | 60,404              |                         |
| 1012451 | 11/01/24 B            | 63,178                | 1.000         | 0                   | 63,178-              | 63,178              |                         |
| 1012451 | 11/05/24 B            | 48,747                | 1.000         | 0                   | 48,747-              | 48,747              |                         |
| 1012451 | 11/13/24 B            | 8,283                 | 1.000         | 0                   | 8,283-               | 8,283               |                         |
| 1012451 | 12/02/24 B            | 29,392                | 1.000         | 0                   | 29,392-              | 29,392              |                         |
| 1012451 | 12/04/24 B            | 47,184                | 1.000         | 0                   | 47,184-              | 47,184              |                         |
| 1012451 | 12/05/24 B            | 24,720,442            | 1.000         | 0                   | 24,720,442-*         | 24,720,442          |                         |
| 1012451 | 12/05/24 B            | 133                   | 1.000         | 0                   | 133-                 | 133                 |                         |
| 1012451 | 12/27/24 B            | 179,722               | 1.000         | 0                   | 179,722-             | 179,722             |                         |

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**REPORTABLE TRANSACTIONS WORKSHEET**

|                               | DATE BOUGHT / SOLD | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST  | REALIZED GAIN / LOSS |
|-------------------------------|--------------------|--------------------|------------|------------------|-------------------|-------------------|----------------------|
| 1012451                       | 12/30/24 B         | 451                | 1.000      | 0                | 451-              | 451               |                      |
| <b>SUB-TOTAL OF BUYS # 60</b> |                    |                    |            | <b>0</b>         | <b>57,964,959</b> | <b>57,964,959</b> |                      |
| 1012451                       | 01/02/24 S         | 168,715            | 1.000      | 0                | 168,715           | 168,715           | 0                    |
| 1012451                       | 01/03/24 S         | 12,068             | 1.000      | 0                | 12,068            | 12,068            | 0                    |
| 1012451                       | 01/09/24 S         | 1,394,201          | 1.000      | 0                | 1,394,201         | 1,394,201         | 0                    |
| 1012451                       | 02/01/24 S         | 169,889            | 1.000      | 0                | 169,889           | 169,889           | 0                    |
| 1012451                       | 02/22/24 S         | 343                | 1.000      | 0                | 343               | 343               | 0                    |
| 1012451                       | 03/01/24 S         | 169,258            | 1.000      | 0                | 169,258           | 169,258           | 0                    |
| 1012451                       | 03/13/24 S         | 812                | 1.000      | 0                | 812               | 812               | 0                    |
| 1012451                       | 04/01/24 S         | 169,550            | 1.000      | 0                | 169,550           | 169,550           | 0                    |
| 1012451                       | 04/02/24 S         | 11,952             | 1.000      | 0                | 11,952            | 11,952            | 0                    |
| 1012451                       | 04/10/24 S         | 200                | 1.000      | 0                | 200               | 200               | 0                    |
| 1012451                       | 05/01/24 S         | 172,303            | 1.000      | 0                | 172,303           | 172,303           | 0                    |
| 1012451                       | 06/03/24 S         | 171,305            | 1.000      | 0                | 171,305           | 171,305           | 0                    |
| 1012451                       | 06/12/24 S         | 1,179              | 1.000      | 0                | 1,179             | 1,179             | 0                    |
| 1012451                       | 07/01/24 S         | 171,069            | 1.000      | 0                | 171,069           | 171,069           | 0                    |
| 1012451                       | 07/02/24 S         | 11,773             | 1.000      | 0                | 11,773            | 11,773            | 0                    |
| 1012451                       | 07/22/24 S         | 49,664             | 1.000      | 0                | 49,664            | 49,664            | 0                    |
| 1012451                       | 08/01/24 S         | 171,125            | 1.000      | 0                | 171,125           | 171,125           | 0                    |
| 1012451                       | 09/03/24 S         | 173,491            | 1.000      | 0                | 173,491           | 173,491           | 0                    |
| 1012451                       | 09/17/24 S         | 557                | 1.000      | 0                | 557               | 557               | 0                    |
| 1012451                       | 10/01/24 S         | 171,665            | 1.000      | 0                | 171,665           | 171,665           | 0                    |
| 1012451                       | 10/02/24 S         | 12,016             | 1.000      | 0                | 12,016            | 12,016            | 0                    |
| 1012451                       | 10/08/24 S         | 21,385,075         | 1.000      | 0                | 21,385,075 *      | 21,385,075        | 0                    |
| 1012451                       | 10/08/24 S         | 3,698,581          | 1.000      | 0                | 3,698,581 *       | 3,698,581         | 0                    |
| 1012451                       | 11/01/24 S         | 3,870,066          | 1.000      | 0                | 3,870,066 *       | 3,870,066         | 0                    |
| 1012451                       | 11/06/24 S         | 5,944              | 1.000      | 0                | 5,944             | 5,944             | 0                    |

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**REPORTABLE TRANSACTIONS WORKSHEET**

|                                | DATE<br>BOUGHT / SOLD | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|--------------------------------|-----------------------|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| 1012451                        | 11/08/24 S            | 9,946                 | 1.000         | 0                   | 9,946                | 9,946               | 0                       |
| 1012451                        | 11/19/24 S            | 9,358                 | 1.000         | 0                   | 9,358                | 9,358               | 0                       |
| 1012451                        | 12/02/24 S            | 179,722               | 1.000         | 0                   | 179,722              | 179,722             | 0                       |
| 1012451                        | 12/04/24 S            | 36,050                | 1.000         | 0                   | 36,050               | 36,050              | 0                       |
| 1012451                        | 12/10/24 S            | 24,705,191            | 1.000         | 0                   | 24,705,191 *         | 24,705,191          | 0                       |
| <b>SUB-TOTAL OF SALES # 30</b> |                       |                       |               | <b>0</b>            | <b>57,103,068</b>    | <b>57,103,068</b>   | <b>0</b>                |
| <b>SUB-TOTAL</b>               |                       |                       |               | <b>0</b>            | <b>115,068,027</b>   | <b>115,068,027</b>  | <b>0</b>                |
| <b>GRAND TOTAL</b>             |                       |                       |               | <b>8,504</b>        | <b>194,999,064</b>   | <b>195,356,364</b>  | <b>-357,302</b>         |

*continued*

**REPORTABLE TRANSACTIONS WORKSHEET**

| DATE BOUGHT / SOLD | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|--------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|
|--------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|

**CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE**

**BROKER: DEUTSCHE BANK SECURITIES, INC.**

|                  |   |           |          |                  |                  |                |         |
|------------------|---|-----------|----------|------------------|------------------|----------------|---------|
| 1012451          | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>12/04/24 S | 4,202,000 | 0.948    | 0                | 3,983,857 *      | 4,026,534      | -42,677 |
| <b>SUB-TOTAL</b> |   |           | <b>0</b> | <b>3,983,857</b> | <b>4,026,534</b> | <b>-42,677</b> |         |

**BROKER: STONEX FINANCIAL INC.**

|                  |   |           |          |                  |                  |                 |          |
|------------------|---|-----------|----------|------------------|------------------|-----------------|----------|
| 1012451          | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>01/08/24 B | 459,000   | 0.799    | 0                | 366,788-         | 366,788         |          |
| 1012451          | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>10/02/24 S | 7,149,000 | 0.802    | 0                | 5,736,235 *      | 5,837,669       | -101,434 |
| 1012451          | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34<br>10/07/24 B | 3,680,000 | 0.999    | 0                | 3,676,981-*      | 3,676,981       |          |
| <b>SUB-TOTAL</b> |   |           | <b>0</b> | <b>9,780,004</b> | <b>9,881,438</b> | <b>-101,434</b> |          |

**BROKER: HSBC SECURITIES, INC.**

|                  |   |           |          |                  |                  |           |  |
|------------------|---|-----------|----------|------------------|------------------|-----------|--|
| 1012451          | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>10/07/24 B | 4,202,000 | 0.958    | 0                | 4,026,534-*      | 4,026,534 |  |
| <b>SUB-TOTAL</b> |   |           | <b>0</b> | <b>4,026,534</b> | <b>4,026,534</b> | <b>0</b>  |  |

**BROKER: BOFA SECURITIES, INC/FIXED INC**

|         |   |         |       |   |         |         |         |
|---------|---|---------|-------|---|---------|---------|---------|
| 1012451 | ISSUE: 912810RX8 - U.S. TREASURY BONDS 3% 5/15/47<br>04/26/24 S | 882,000 | 0.739 | 0 | 651,991 | 720,382 | -68,391 |
|---------|---|---------|-------|---|---------|---------|---------|

*continued*

**REPORTABLE TRANSACTIONS WORKSHEET**

|   | DATE<br>BOUGHT / SOLD                                | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---|--|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
|   | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 3,680,000             | 0.979         | 0                   | 3,604,244 *          | 3,676,981           | -72,738                 |
|   | <b>SUB-TOTAL</b>                                     |                       |               | <b>0</b>            | <b>4,256,235</b>     | <b>4,397,363</b>    | <b>-141,129</b>         |
| <b>BROKER: RAYMOND JAMES &amp; ASSOCIATES</b> |  |                       |               |                     |                      |                     |                         |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 38,274                | 79.231        | 658                 | 3,031,825 *          | 2,961,259           | 70,566                  |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 57,396                | 81.090        | 990                 | 4,653,251 *          | 4,600,289           | 52,962                  |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 35,092                | 83.641        | 608                 | 2,934,508 *          | 2,852,278           | 82,230                  |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 47,023                | 78.700        | 705                 | 3,701,415-*          | 3,701,415           |                         |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 59,887                | 79.480        | 898                 | 4,760,717-*          | 4,760,717           |                         |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 49,418                | 82.600        | 741                 | 4,082,668-*          | 4,082,668           |                         |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 47,023                | 78.348        | 808                 | 3,683,355 *          | 3,701,415           | -18,061                 |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 59,887                | 78.169        | 1,028               | 4,680,255 *          | 4,760,717           | -80,463                 |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 49,418                | 81.650        | 853                 | 4,034,126 *          | 4,082,668           | -48,542                 |
|   | <b>SUB-TOTAL</b>                                     |                       |               | <b>7,289</b>        | <b>35,562,120</b>    | <b>35,503,426</b>   | <b>58,693</b>           |

continued

**REPORTABLE TRANSACTIONS WORKSHEET**

|   | DATE<br>BOUGHT / SOLD                                 | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---|---|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| <b>BROKER: CITIGROUP GLOBAL MKTS/SALOMON</b>  |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B  | 4,777,000             | 0.990         | 0                   | 4,731,096-*          | 4,731,096           |                         |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>4,731,096</b>     | <b>4,731,096</b>    | <b>0</b>                |
| <b>BROKER: NOMURA SECURITIES INTL., FIXED</b> |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 91282CAH4 - U.S. TREASURY NOTES 0.5% 8/31/27   |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S  | 3,582,000             | 0.916         | 0                   | 3,281,448 *          | 3,164,769           | 116,679                 |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>3,281,448</b>     | <b>3,164,769</b>    | <b>116,679</b>          |
| <b>BROKER: CITADEL SECURITIES LLC</b>         |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 01/08/24 B  | 1,111,000             | 0.909         | 0                   | 1,009,708-           | 1,009,708           |                         |
|   | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S  | 3,641,000             | 0.936         | 0                   | 3,406,042 *          | 3,326,631           | 79,410                  |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>4,415,750</b>     | <b>4,336,339</b>    | <b>79,410</b>           |
| <b>BROKER: BMOCHICAGO BRANCH</b>              |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 91282CCB5 - U.S. TREASURY NOTES 1.625% 5/15/31 |                       |               |                     |                      |                     |                         |
| 1012451                                       | 04/26/24 S  | 1,111,000             | 0.820         | 0                   | 910,977              | 952,982             | -42,006                 |
|   | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S  | 4,777,000             | 0.966         | 0                   | 4,616,336 *          | 4,731,096           | -114,760                |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>5,527,313</b>     | <b>5,684,078</b>    | <b>-156,766</b>         |

continued

**REPORTABLE TRANSACTIONS WORKSHEET**

|   | DATE BOUGHT / SOLD                                | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST  | REALIZED GAIN / LOSS |
|---|---|--------------------|------------|------------------|-------------------|-------------------|----------------------|
| <b>BROKER: BERNSTEIN INSTITUTIONAL SERVIC</b> |   |                    |            |                  |                   |                   |                      |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND   |                    |            |                  |                   |                   |                      |
| 1012451                                       | 04/26/24 S  | 38,344             | 74.326     | 598              | 2,849,366 *       | 3,073,272         | -223,906             |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF |                    |            |                  |                   |                   |                      |
| 1012451                                       | 04/26/24 S  | 20,352             | 78.443     | 318              | 1,596,148         | 1,654,211         | -58,063              |
|   | <b>SUB-TOTAL</b>                                  |                    |            | <b>916</b>       | <b>4,445,514</b>  | <b>4,727,483</b>  | <b>-281,969</b>      |
|   | <b>GRAND TOTAL</b>                                |                    |            | <b>8,205</b>     | <b>80,009,871</b> | <b>80,479,060</b> | <b>-469,192</b>      |



## REPORTABLE TRANSACTIONS WORKSHEET

| DATE BOUGHT / SOLD     | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|------------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|
| BEGINNING MARKET VALUE | 37,615,702.22      |            |                  |                   |                  |                      |
| COMPARATIVE VALUE (5%) | 1,880,785.11       |            |                  |                   |                  |                      |

### CATEGORY 1 - SINGLE TRANSACTION EXCEEDS 5% OF VALUE

|         |   |           |        |     |             |           |          |
|---------|---|-----------|--------|-----|-------------|-----------|----------|
| 1012451 | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>10/02/24 S     | 7,149,000 | 0.802  | 0   | 5,736,235 * | 5,837,669 | -101,434 |
| 1012451 | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54<br>10/07/24 B  | 4,777,000 | 0.990  | 0   | 4,731,096-* | 4,731,096 |          |
| 1012451 | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54<br>12/04/24 S  | 4,777,000 | 0.966  | 0   | 4,616,336 * | 4,731,096 | -114,760 |
| 1012451 | ISSUE: 91282CAH4 - U.S. TREASURY NOTES 0.5% 8/31/27<br>10/02/24 S   | 3,582,000 | 0.916  | 0   | 3,281,448 * | 3,164,769 | 116,679  |
| 1012451 | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>10/07/24 B | 4,202,000 | 0.958  | 0   | 4,026,534-* | 4,026,534 |          |
| 1012451 | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>12/04/24 S | 4,202,000 | 0.948  | 0   | 3,983,857 * | 4,026,534 | -42,677  |
| 1012451 | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32<br>10/02/24 S  | 3,641,000 | 0.936  | 0   | 3,406,042 * | 3,326,631 | 79,410   |
| 1012451 | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34<br>10/07/24 B     | 3,680,000 | 0.999  | 0   | 3,676,981-* | 3,676,981 |          |
| 1012451 | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34<br>12/04/24 S     | 3,680,000 | 0.979  | 0   | 3,604,244 * | 3,676,981 | -72,738  |
| 1012451 | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF<br>10/02/24 S  | 38,274    | 79.231 | 658 | 3,031,825 * | 2,961,259 | 70,566   |
| 1012451 | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF<br>10/07/24 B  | 47,023    | 78.700 | 705 | 3,701,415-* | 3,701,415 |          |

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**REPORTABLE TRANSACTIONS WORKSHEET**

|         | DATE BOUGHT / SOLD                                      | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|---------|---|--------------------|------------|------------------|-------------------|------------------|----------------------|
|         | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF    |                    |            |                  |                   |                  |                      |
| 1012451 | 12/04/24 S  | 47,023             | 78.348     | 808              | 3,683,355 *       | 3,701,415        | -18,061              |
|         | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |                    |            |                  |                   |                  |                      |
| 1012451 | 04/26/24 S  | 38,344             | 74.326     | 598              | 2,849,366 *       | 3,073,272        | -223,906             |
|         | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |                    |            |                  |                   |                  |                      |
| 1012451 | 10/02/24 S  | 57,396             | 81.090     | 990              | 4,653,251 *       | 4,600,289        | 52,962               |
|         | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |                    |            |                  |                   |                  |                      |
| 1012451 | 10/07/24 B  | 59,887             | 79.480     | 898              | 4,760,717-*       | 4,760,717        |                      |
|         | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |                    |            |                  |                   |                  |                      |
| 1012451 | 12/04/24 S  | 59,887             | 78.169     | 1,028            | 4,680,255 *       | 4,760,717        | -80,463              |
|         | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF       |                    |            |                  |                   |                  |                      |
| 1012451 | 10/02/24 S  | 35,092             | 83.641     | 608              | 2,934,508 *       | 2,852,278        | 82,230               |
|         | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF       |                    |            |                  |                   |                  |                      |
| 1012451 | 10/07/24 B  | 49,418             | 82.600     | 741              | 4,082,668-*       | 4,082,668        |                      |
|         | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF       |                    |            |                  |                   |                  |                      |
| 1012451 | 12/04/24 S  | 49,418             | 81.650     | 853              | 4,034,126 *       | 4,082,668        | -48,542              |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                    |            |                  |                   |                  |                      |
| 1012451 | 04/29/24 B  | 1,927,791          | 1.000      | 0                | 1,927,791-*       | 1,927,791        |                      |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                    |            |                  |                   |                  |                      |
| 1012451 | 04/30/24 B  | 4,445,514          | 1.000      | 0                | 4,445,514-*       | 4,445,514        |                      |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                    |            |                  |                   |                  |                      |
| 1012451 | 10/03/24 B  | 17,360,523         | 1.000      | 0                | 17,360,523-*      | 17,360,523       |                      |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                    |            |                  |                   |                  |                      |
| 1012451 | 10/03/24 B  | 5,764,792          | 1.000      | 0                | 5,764,792-*       | 5,764,792        |                      |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                    |            |                  |                   |                  |                      |
| 1012451 | 10/08/24 S  | 21,385,075         | 1.000      | 0                | 21,385,075 *      | 21,385,075       | 0                    |

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**REPORTABLE TRANSACTIONS WORKSHEET**

|         | DATE BOUGHT / SOLD                                      | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX  | TRANSACTION COST   | REALIZED GAIN / LOSS |
|---------|---|--------------------|------------|------------------|--------------------|--------------------|----------------------|
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                    |            |                  |                    |                    |                      |
| 1012451 | 10/08/24 S  | 3,698,581          | 1.000      | 0                | 3,698,581 *        | 3,698,581          | 0                    |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                    |            |                  |                    |                    |                      |
| 1012451 | 11/01/24 S  | 3,870,066          | 1.000      | 0                | 3,870,066 *        | 3,870,066          | 0                    |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                    |            |                  |                    |                    |                      |
| 1012451 | 12/05/24 B  | 24,720,442         | 1.000      | 0                | 24,720,442-*       | 24,720,442         |                      |
|         | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |                    |            |                  |                    |                    |                      |
| 1012451 | 12/10/24 S  | 24,705,191         | 1.000      | 0                | 24,705,191 *       | 24,705,191         | 0                    |
|         | <b>GRAND TOTAL</b>                                      |                    |            | <b>7,887</b>     | <b>183,352,234</b> | <b>183,652,964</b> | <b>-300,732</b>      |

*continued*

**REPORTABLE TRANSACTIONS WORKSHEET**

| DATE BOUGHT / SOLD | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|--------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|
|--------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|

**CATEGORY 2 - SERIES OF TRANSACTIONS WITH SAME BROKER EXCEEDS 5% OF VALUE**

**BROKER: DEUTSCHE BANK SECURITIES, INC.**

|                  |   |           |       |          |                  |                  |                |
|------------------|---|-----------|-------|----------|------------------|------------------|----------------|
| 1012451          | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>12/04/24 S | 4,202,000 | 0.948 | 0        | 3,983,857 *      | 4,026,534        | -42,677        |
| <b>SUB-TOTAL</b> |   |           |       | <b>0</b> | <b>3,983,857</b> | <b>4,026,534</b> | <b>-42,677</b> |

**BROKER: STONEX FINANCIAL INC.**

|                  |   |           |       |          |                  |                  |                 |
|------------------|---|-----------|-------|----------|------------------|------------------|-----------------|
| 1012451          | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>01/08/24 B | 459,000   | 0.799 | 0        | 366,788-         | 366,788          |                 |
| 1012451          | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>10/02/24 S | 7,149,000 | 0.802 | 0        | 5,736,235 *      | 5,837,669        | -101,434        |
| 1012451          | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34<br>10/07/24 B | 3,680,000 | 0.999 | 0        | 3,676,981-*      | 3,676,981        |                 |
| <b>SUB-TOTAL</b> |   |           |       | <b>0</b> | <b>9,780,004</b> | <b>9,881,438</b> | <b>-101,434</b> |

**BROKER: HSBC SECURITIES, INC.**

|                  |   |           |       |          |                  |                  |          |
|------------------|---|-----------|-------|----------|------------------|------------------|----------|
| 1012451          | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>10/07/24 B | 4,202,000 | 0.958 | 0        | 4,026,534-*      | 4,026,534        |          |
| <b>SUB-TOTAL</b> |   |           |       | <b>0</b> | <b>4,026,534</b> | <b>4,026,534</b> | <b>0</b> |

**BROKER: BOFA SECURITIES, INC/FIXED INC**

|         |   |         |       |   |         |         |         |
|---------|---|---------|-------|---|---------|---------|---------|
| 1012451 | ISSUE: 912810RX8 - U.S. TREASURY BONDS 3% 5/15/47<br>04/26/24 S | 882,000 | 0.739 | 0 | 651,991 | 720,382 | -68,391 |
|---------|---|---------|-------|---|---------|---------|---------|

*continued*



## REPORTABLE TRANSACTIONS WORKSHEET

|   | DATE<br>BOUGHT / SOLD                                | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---|--|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
|   | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 3,680,000             | 0.979         | 0                   | 3,604,244 *          | 3,676,981           | -72,738                 |
|   | <b>SUB-TOTAL</b>                                     |                       |               | <b>0</b>            | <b>4,256,235</b>     | <b>4,397,363</b>    | <b>-141,129</b>         |
| <b>BROKER: RAYMOND JAMES &amp; ASSOCIATES</b> |  |                       |               |                     |                      |                     |                         |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 38,274                | 79.231        | 658                 | 3,031,825 *          | 2,961,259           | 70,566                  |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 57,396                | 81.090        | 990                 | 4,653,251 *          | 4,600,289           | 52,962                  |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 35,092                | 83.641        | 608                 | 2,934,508 *          | 2,852,278           | 82,230                  |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 47,023                | 78.700        | 705                 | 3,701,415-*          | 3,701,415           |                         |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 59,887                | 79.480        | 898                 | 4,760,717-*          | 4,760,717           |                         |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 49,418                | 82.600        | 741                 | 4,082,668-*          | 4,082,668           |                         |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 47,023                | 78.348        | 808                 | 3,683,355 *          | 3,701,415           | -18,061                 |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 59,887                | 78.169        | 1,028               | 4,680,255 *          | 4,760,717           | -80,463                 |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 49,418                | 81.650        | 853                 | 4,034,126 *          | 4,082,668           | -48,542                 |
|   | <b>SUB-TOTAL</b>                                     |                       |               | <b>7,289</b>        | <b>35,562,120</b>    | <b>35,503,426</b>   | <b>58,693</b>           |

continued



## REPORTABLE TRANSACTIONS WORKSHEET

|   | DATE BOUGHT / SOLD                                    | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|---|---|--------------------|------------|------------------|-------------------|------------------|----------------------|
| <b>BROKER: CITIGROUP GLOBAL MKTS/SALOMON</b>  |   |                    |            |                  |                   |                  |                      |
|   | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                    |            |                  |                   |                  |                      |
| 1012451                                       | 10/07/24 B  | 4,777,000          | 0.990      | 0                | 4,731,096-*       | 4,731,096        |                      |
|   | <b>SUB-TOTAL</b>                                      |                    |            | <b>0</b>         | <b>4,731,096</b>  | <b>4,731,096</b> | <b>0</b>             |
| <b>BROKER: NOMURA SECURITIES INTL., FIXED</b> |   |                    |            |                  |                   |                  |                      |
|   | ISSUE: 91282CAH4 - U.S. TREASURY NOTES 0.5% 8/31/27   |                    |            |                  |                   |                  |                      |
| 1012451                                       | 10/02/24 S  | 3,582,000          | 0.916      | 0                | 3,281,448 *       | 3,164,769        | 116,679              |
|   | <b>SUB-TOTAL</b>                                      |                    |            | <b>0</b>         | <b>3,281,448</b>  | <b>3,164,769</b> | <b>116,679</b>       |
| <b>BROKER: CITADEL SECURITIES LLC</b>         |   |                    |            |                  |                   |                  |                      |
|   | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32  |                    |            |                  |                   |                  |                      |
| 1012451                                       | 01/08/24 B  | 1,111,000          | 0.909      | 0                | 1,009,708-        | 1,009,708        |                      |
|   | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32  |                    |            |                  |                   |                  |                      |
| 1012451                                       | 10/02/24 S  | 3,641,000          | 0.936      | 0                | 3,406,042 *       | 3,326,631        | 79,410               |
|   | <b>SUB-TOTAL</b>                                      |                    |            | <b>0</b>         | <b>4,415,750</b>  | <b>4,336,339</b> | <b>79,410</b>        |
| <b>BROKER: BMOCHICAGO BRANCH</b>              |   |                    |            |                  |                   |                  |                      |
|   | ISSUE: 91282CCB5 - U.S. TREASURY NOTES 1.625% 5/15/31 |                    |            |                  |                   |                  |                      |
| 1012451                                       | 04/26/24 S  | 1,111,000          | 0.820      | 0                | 910,977           | 952,982          | -42,006              |
|   | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                    |            |                  |                   |                  |                      |
| 1012451                                       | 12/04/24 S  | 4,777,000          | 0.966      | 0                | 4,616,336 *       | 4,731,096        | -114,760             |
|   | <b>SUB-TOTAL</b>                                      |                    |            | <b>0</b>         | <b>5,527,313</b>  | <b>5,684,078</b> | <b>-156,766</b>      |

continued



**REPORTABLE TRANSACTIONS WORKSHEET**

|   | DATE BOUGHT / SOLD                                | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST  | REALIZED GAIN / LOSS |
|---|---|--------------------|------------|------------------|-------------------|-------------------|----------------------|
| <b>BROKER: BERNSTEIN INSTITUTIONAL SERVIC</b> |   |                    |            |                  |                   |                   |                      |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND   |                    |            |                  |                   |                   |                      |
| 1012451                                       | 04/26/24 S  | 38,344             | 74.326     | 598              | 2,849,366 *       | 3,073,272         | -223,906             |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF |                    |            |                  |                   |                   |                      |
| 1012451                                       | 04/26/24 S  | 20,352             | 78.443     | 318              | 1,596,148         | 1,654,211         | -58,063              |
|   | <b>SUB-TOTAL</b>                                  |                    |            | <b>916</b>       | <b>4,445,514</b>  | <b>4,727,483</b>  | <b>-281,969</b>      |
|   | <b>GRAND TOTAL</b>                                |                    |            | <b>8,205</b>     | <b>80,009,871</b> | <b>80,479,060</b> | <b>-469,192</b>      |

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**REPORTABLE TRANSACTIONS WORKSHEET**

|   | DATE BOUGHT / SOLD                                    | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|---|---|--------------------|------------|------------------|-------------------|------------------|----------------------|
| <b>CATEGORY 3 - SERIES OF TRANSACTIONS IN SAME SECURITY EXCEEDS 5% OF VALUE</b> |   |                    |            |                  |                   |                  |                      |
|   | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52     |                    |            |                  |                   |                  |                      |
| 1012451   | 01/08/24 B  | 459,000            | 0.799      | 0                | 366,788-          | 366,788          |                      |
|   | <b>SUB-TOTAL OF BUYS # 1</b>                          |                    |            | <b>0</b>         | <b>366,788</b>    | <b>366,788</b>   |                      |
| 1012451   | 10/02/24 S  | 7,149,000          | 0.802      | 0                | 5,736,235 *       | 5,837,669        | -101,434             |
|   | <b>SUB-TOTAL OF SALES # 1</b>                         |                    |            | <b>0</b>         | <b>5,736,235</b>  | <b>5,837,669</b> | <b>-101,434</b>      |
|   | <b>SUB-TOTAL</b>                                      |                    |            | <b>0</b>         | <b>6,103,023</b>  | <b>6,204,457</b> | <b>-101,434</b>      |
|   | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                    |            |                  |                   |                  |                      |
| 1012451   | 10/07/24 B  | 4,777,000          | 0.990      | 0                | 4,731,096-*       | 4,731,096        |                      |
|   | <b>SUB-TOTAL OF BUYS # 1</b>                          |                    |            | <b>0</b>         | <b>4,731,096</b>  | <b>4,731,096</b> |                      |
| 1012451   | 12/04/24 S  | 4,777,000          | 0.966      | 0                | 4,616,336 *       | 4,731,096        | -114,760             |
|   | <b>SUB-TOTAL OF SALES # 1</b>                         |                    |            | <b>0</b>         | <b>4,616,336</b>  | <b>4,731,096</b> | <b>-114,760</b>      |
|   | <b>SUB-TOTAL</b>                                      |                    |            | <b>0</b>         | <b>9,347,432</b>  | <b>9,462,192</b> | <b>-114,760</b>      |
|   | ISSUE: 91282CAH4 - U.S. TREASURY NOTES 0.5% 8/31/27   |                    |            |                  |                   |                  |                      |
| 1012451   | 10/02/24 S  | 3,582,000          | 0.916      | 0                | 3,281,448 *       | 3,164,769        | 116,679              |
|   | <b>SUB-TOTAL OF SALES # 1</b>                         |                    |            | <b>0</b>         | <b>3,281,448</b>  | <b>3,164,769</b> | <b>116,679</b>       |
|   | <b>SUB-TOTAL</b>                                      |                    |            | <b>0</b>         | <b>3,281,448</b>  | <b>3,164,769</b> | <b>116,679</b>       |
|   | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29 |                    |            |                  |                   |                  |                      |
| 1012451   | 10/07/24 B  | 4,202,000          | 0.958      | 0                | 4,026,534-*       | 4,026,534        |                      |
|   | <b>SUB-TOTAL OF BUYS # 1</b>                          |                    |            | <b>0</b>         | <b>4,026,534</b>  | <b>4,026,534</b> |                      |
| 1012451   | 12/04/24 S  | 4,202,000          | 0.948      | 0                | 3,983,857 *       | 4,026,534        | -42,677              |

continued



**REPORTABLE TRANSACTIONS WORKSHEET**

|         | DATE BOUGHT / SOLD                                   | SHARES / PAR VALUE            | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|---------|--|-------------------------------|------------|------------------|-------------------|------------------|----------------------|
|         |  | <b>SUB-TOTAL OF SALES # 1</b> |            | <b>0</b>         | <b>3,983,857</b>  | <b>4,026,534</b> | <b>-42,677</b>       |
|         |  | <b>SUB-TOTAL</b>              |            | <b>0</b>         | <b>8,010,391</b>  | <b>8,053,068</b> | <b>-42,677</b>       |
|         | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32 |                               |            |                  |                   |                  |                      |
| 1012451 | 01/08/24 B   | 1,111,000                     | 0.909      | 0                | 1,009,708-        | 1,009,708        |                      |
|         |  | <b>SUB-TOTAL OF BUYS # 1</b>  |            | <b>0</b>         | <b>1,009,708</b>  | <b>1,009,708</b> |                      |
| 1012451 | 10/02/24 S   | 3,641,000                     | 0.936      | 0                | 3,406,042 *       | 3,326,631        | 79,410               |
|         |  | <b>SUB-TOTAL OF SALES # 1</b> |            | <b>0</b>         | <b>3,406,042</b>  | <b>3,326,631</b> | <b>79,410</b>        |
|         |  | <b>SUB-TOTAL</b>              |            | <b>0</b>         | <b>4,415,750</b>  | <b>4,336,339</b> | <b>79,410</b>        |
|         | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34    |                               |            |                  |                   |                  |                      |
| 1012451 | 10/07/24 B   | 3,680,000                     | 0.999      | 0                | 3,676,981-*       | 3,676,981        |                      |
|         |  | <b>SUB-TOTAL OF BUYS # 1</b>  |            | <b>0</b>         | <b>3,676,981</b>  | <b>3,676,981</b> |                      |
| 1012451 | 12/04/24 S   | 3,680,000                     | 0.979      | 0                | 3,604,244 *       | 3,676,981        | -72,738              |
|         |  | <b>SUB-TOTAL OF SALES # 1</b> |            | <b>0</b>         | <b>3,604,244</b>  | <b>3,676,981</b> | <b>-72,738</b>       |
|         |  | <b>SUB-TOTAL</b>              |            | <b>0</b>         | <b>7,281,225</b>  | <b>7,353,962</b> | <b>-72,738</b>       |
|         | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                               |            |                  |                   |                  |                      |
| 1012451 | 10/07/24 B   | 47,023                        | 78.700     | 705              | 3,701,415-*       | 3,701,415        |                      |
|         |  | <b>SUB-TOTAL OF BUYS # 1</b>  |            | <b>705</b>       | <b>3,701,415</b>  | <b>3,701,415</b> |                      |
| 1012451 | 01/16/24 S   | 19,163                        | 77.464     | 299              | 1,484,134         | 1,482,641        | 1,492                |
| 1012451 | 10/02/24 S   | 38,274                        | 79.231     | 658              | 3,031,825 *       | 2,961,259        | 70,566               |
| 1012451 | 12/04/24 S   | 47,023                        | 78.348     | 808              | 3,683,355 *       | 3,701,415        | -18,061              |
|         |  | <b>SUB-TOTAL OF SALES # 3</b> |            | <b>1,765</b>     | <b>8,199,314</b>  | <b>8,145,315</b> | <b>53,998</b>        |

continued



## REPORTABLE TRANSACTIONS WORKSHEET

|         | DATE<br>BOUGHT / SOLD | SHARES /<br>PAR VALUE                                   | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---------|-----------------------|---|---------------|---------------------|----------------------|---------------------|-------------------------|
|         |                       | <b>SUB-TOTAL</b>  |               | <b>2,470</b>        | <b>11,900,729</b>    | <b>11,846,730</b>   | <b>53,998</b>           |
|         |                       | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND         |               |                     |                      |                     |                         |
| 1012451 | 10/07/24 B            | 59,887  | 79.480        | 898                 | 4,760,717*           | 4,760,717           |                         |
|         |                       | <b>SUB-TOTAL OF BUYS # 1</b>                            |               | <b>898</b>          | <b>4,760,717</b>     | <b>4,760,717</b>    |                         |
| 1012451 | 04/26/24 S            | 38,344  | 74.326        | 598                 | 2,849,366 *          | 3,073,272           | -223,906                |
| 1012451 | 10/02/24 S            | 57,396  | 81.090        | 990                 | 4,653,251 *          | 4,600,289           | 52,962                  |
| 1012451 | 12/04/24 S            | 59,887  | 78.169        | 1,028               | 4,680,255 *          | 4,760,717           | -80,463                 |
|         |                       | <b>SUB-TOTAL OF SALES # 3</b>                           |               | <b>2,616</b>        | <b>12,182,872</b>    | <b>12,434,278</b>   | <b>-251,406</b>         |
|         |                       | <b>SUB-TOTAL</b>  |               | <b>3,514</b>        | <b>16,943,589</b>    | <b>17,194,995</b>   | <b>-251,406</b>         |
|         |                       | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF       |               |                     |                      |                     |                         |
| 1012451 | 10/07/24 B            | 49,418  | 82.600        | 741                 | 4,082,668*           | 4,082,668           |                         |
|         |                       | <b>SUB-TOTAL OF BUYS # 1</b>                            |               | <b>741</b>          | <b>4,082,668</b>     | <b>4,082,668</b>    |                         |
| 1012451 | 04/26/24 S            | 20,352  | 78.443        | 318                 | 1,596,148            | 1,654,211           | -58,063                 |
| 1012451 | 10/02/24 S            | 35,092  | 83.641        | 608                 | 2,934,508 *          | 2,852,278           | 82,230                  |
| 1012451 | 12/04/24 S            | 49,418  | 81.650        | 853                 | 4,034,126 *          | 4,082,668           | -48,542                 |
|         |                       | <b>SUB-TOTAL OF SALES # 3</b>                           |               | <b>1,779</b>        | <b>8,564,782</b>     | <b>8,589,157</b>    | <b>-24,374</b>          |
|         |                       | <b>SUB-TOTAL</b>  |               | <b>2,520</b>        | <b>12,647,450</b>    | <b>12,671,825</b>   | <b>-24,374</b>          |
|         |                       | ISSUE: 97181C605 - WILMINGTON US GOVT MONEY MKT CL INST |               |                     |                      |                     |                         |
| 1012451 | 01/02/24 B            | 31,100  | 1.000         | 0                   | 31,100-              | 31,100              |                         |
| 1012451 | 01/18/24 B            | 1,484,134   | 1.000         | 0                   | 1,484,134-           | 1,484,134           |                         |
| 1012451 | 01/25/24 B            | 0   | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 02/01/24 B            | 28,937  | 1.000         | 0                   | 28,937-              | 28,937              |                         |
| 1012451 | 02/06/24 B            | 24,536  | 1.000         | 0                   | 24,536-              | 24,536              |                         |

continued



**REPORTABLE TRANSACTIONS WORKSHEET**

|         | DATE BOUGHT / SOLD | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|---------|--------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|
| 1012451 | 02/06/24 B         | 32,532             | 1.000      | 0                | 32,532-           | 32,532           |                      |
| 1012451 | 02/14/24 B         | 171                | 1.000      | 0                | 171-              | 171              |                      |
| 1012451 | 02/15/24 B         | 157,299            | 1.000      | 0                | 157,299-          | 157,299          |                      |
| 1012451 | 02/15/24 B         | 21,603             | 1.000      | 0                | 21,603-           | 21,603           |                      |
| 1012451 | 02/16/24 B         | 172                | 1.000      | 0                | 172-              | 172              |                      |
| 1012451 | 02/26/24 B         | 0                  | 1.000      | 0                | 0-                | 0                |                      |
| 1012451 | 02/29/24 B         | 8,955              | 1.000      | 0                | 8,955-            | 8,955            |                      |
| 1012451 | 03/01/24 B         | 28,769             | 1.000      | 0                | 28,769-           | 28,769           |                      |
| 1012451 | 03/05/24 B         | 186                | 1.000      | 0                | 186-              | 186              |                      |
| 1012451 | 03/06/24 B         | 26,155             | 1.000      | 0                | 26,155-           | 26,155           |                      |
| 1012451 | 03/06/24 B         | 27,243             | 1.000      | 0                | 27,243-           | 27,243           |                      |
| 1012451 | 03/08/24 B         | 812                | 1.000      | 0                | 812-              | 812              |                      |
| 1012451 | 03/25/24 B         | 0                  | 1.000      | 0                | 0-                | 0                |                      |
| 1012451 | 04/01/24 B         | 30,785             | 1.000      | 0                | 30,785-           | 30,785           |                      |
| 1012451 | 04/04/24 B         | 25,811             | 1.000      | 0                | 25,811-           | 25,811           |                      |
| 1012451 | 04/04/24 B         | 30,455             | 1.000      | 0                | 30,455-           | 30,455           |                      |
| 1012451 | 04/09/24 B         | 103                | 1.000      | 0                | 103-              | 103              |                      |
| 1012451 | 04/25/24 B         | 0                  | 1.000      | 0                | 0-                | 0                |                      |
| 1012451 | 04/29/24 B         | 1,927,791          | 1.000      | 0                | 1,927,791-*       | 1,927,791        |                      |
| 1012451 | 04/29/24 B         | 664,058            | 1.000      | 0                | 664,058-          | 664,058          |                      |
| 1012451 | 04/30/24 B         | 4,445,514          | 1.000      | 0                | 4,445,514-*       | 4,445,514        |                      |
| 1012451 | 05/01/24 B         | 30,717             | 1.000      | 0                | 30,717-           | 30,717           |                      |
| 1012451 | 05/06/24 B         | 19,781             | 1.000      | 0                | 19,781-           | 19,781           |                      |
| 1012451 | 05/06/24 B         | 18,539             | 1.000      | 0                | 18,539-           | 18,539           |                      |
| 1012451 | 05/28/24 B         | 0                  | 1.000      | 0                | 0-                | 0                |                      |
| 1012451 | 06/03/24 B         | 60,462             | 1.000      | 0                | 60,462-           | 60,462           |                      |
| 1012451 | 06/05/24 B         | 20,374             | 1.000      | 0                | 20,374-           | 20,374           |                      |

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## REPORTABLE TRANSACTIONS WORKSHEET

|         | DATE<br>BOUGHT / SOLD | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---------|-----------------------|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| 1012451 | 06/05/24 B            | 18,269                | 1.000         | 0                   | 18,269-              | 18,269              |                         |
| 1012451 | 06/11/24 B            | 303                   | 1.000         | 0                   | 303-                 | 303                 |                         |
| 1012451 | 06/25/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 07/01/24 B            | 58,335                | 1.000         | 0                   | 58,335-              | 58,335              |                         |
| 1012451 | 07/03/24 B            | 37,436                | 1.000         | 0                   | 37,436-              | 37,436              |                         |
| 1012451 | 07/25/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 08/01/24 B            | 59,826                | 1.000         | 0                   | 59,826-              | 59,826              |                         |
| 1012451 | 08/05/24 B            | 38,620                | 1.000         | 0                   | 38,620-              | 38,620              |                         |
| 1012451 | 08/15/24 B            | 157,299               | 1.000         | 0                   | 157,299-             | 157,299             |                         |
| 1012451 | 08/26/24 B            | 0                     | 1.000         | 0                   | 0-                   | 0                   |                         |
| 1012451 | 08/27/24 B            | 68                    | 1.000         | 0                   | 68-                  | 68                  |                         |
| 1012451 | 09/03/24 B            | 68,491                | 1.000         | 0                   | 68,491-              | 68,491              |                         |
| 1012451 | 09/05/24 B            | 39,300                | 1.000         | 0                   | 39,300-              | 39,300              |                         |
| 1012451 | 09/10/24 B            | 759                   | 1.000         | 0                   | 759-                 | 759                 |                         |
| 1012451 | 09/12/24 B            | 39                    | 1.000         | 0                   | 39-                  | 39                  |                         |
| 1012451 | 10/01/24 B            | 55,969                | 1.000         | 0                   | 55,969-              | 55,969              |                         |
| 1012451 | 10/03/24 B            | 17,360,523            | 1.000         | 0                   | 17,360,523-*         | 17,360,523          |                         |
| 1012451 | 10/03/24 B            | 5,764,792             | 1.000         | 0                   | 5,764,792-*          | 5,764,792           |                         |
| 1012451 | 10/31/24 B            | 60,404                | 1.000         | 0                   | 60,404-              | 60,404              |                         |
| 1012451 | 11/01/24 B            | 63,178                | 1.000         | 0                   | 63,178-              | 63,178              |                         |
| 1012451 | 11/05/24 B            | 48,747                | 1.000         | 0                   | 48,747-              | 48,747              |                         |
| 1012451 | 11/13/24 B            | 8,283                 | 1.000         | 0                   | 8,283-               | 8,283               |                         |
| 1012451 | 12/02/24 B            | 29,392                | 1.000         | 0                   | 29,392-              | 29,392              |                         |
| 1012451 | 12/04/24 B            | 47,184                | 1.000         | 0                   | 47,184-              | 47,184              |                         |
| 1012451 | 12/05/24 B            | 24,720,442            | 1.000         | 0                   | 24,720,442-*         | 24,720,442          |                         |
| 1012451 | 12/05/24 B            | 133                   | 1.000         | 0                   | 133-                 | 133                 |                         |
| 1012451 | 12/27/24 B            | 179,722               | 1.000         | 0                   | 179,722-             | 179,722             |                         |

*continued*



**REPORTABLE TRANSACTIONS WORKSHEET**

|                               | DATE BOUGHT / SOLD | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST  | REALIZED GAIN / LOSS |
|-------------------------------|--------------------|--------------------|------------|------------------|-------------------|-------------------|----------------------|
| 1012451                       | 12/30/24 B         | 451                | 1.000      | 0                | 451-              | 451               |                      |
| <b>SUB-TOTAL OF BUYS # 60</b> |                    |                    |            | <b>0</b>         | <b>57,964,959</b> | <b>57,964,959</b> |                      |
| 1012451                       | 01/02/24 S         | 168,715            | 1.000      | 0                | 168,715           | 168,715           | 0                    |
| 1012451                       | 01/03/24 S         | 12,068             | 1.000      | 0                | 12,068            | 12,068            | 0                    |
| 1012451                       | 01/09/24 S         | 1,394,201          | 1.000      | 0                | 1,394,201         | 1,394,201         | 0                    |
| 1012451                       | 02/01/24 S         | 169,889            | 1.000      | 0                | 169,889           | 169,889           | 0                    |
| 1012451                       | 02/22/24 S         | 343                | 1.000      | 0                | 343               | 343               | 0                    |
| 1012451                       | 03/01/24 S         | 169,258            | 1.000      | 0                | 169,258           | 169,258           | 0                    |
| 1012451                       | 03/13/24 S         | 812                | 1.000      | 0                | 812               | 812               | 0                    |
| 1012451                       | 04/01/24 S         | 169,550            | 1.000      | 0                | 169,550           | 169,550           | 0                    |
| 1012451                       | 04/02/24 S         | 11,952             | 1.000      | 0                | 11,952            | 11,952            | 0                    |
| 1012451                       | 04/10/24 S         | 200                | 1.000      | 0                | 200               | 200               | 0                    |
| 1012451                       | 05/01/24 S         | 172,303            | 1.000      | 0                | 172,303           | 172,303           | 0                    |
| 1012451                       | 06/03/24 S         | 171,305            | 1.000      | 0                | 171,305           | 171,305           | 0                    |
| 1012451                       | 06/12/24 S         | 1,179              | 1.000      | 0                | 1,179             | 1,179             | 0                    |
| 1012451                       | 07/01/24 S         | 171,069            | 1.000      | 0                | 171,069           | 171,069           | 0                    |
| 1012451                       | 07/02/24 S         | 11,773             | 1.000      | 0                | 11,773            | 11,773            | 0                    |
| 1012451                       | 07/22/24 S         | 49,664             | 1.000      | 0                | 49,664            | 49,664            | 0                    |
| 1012451                       | 08/01/24 S         | 171,125            | 1.000      | 0                | 171,125           | 171,125           | 0                    |
| 1012451                       | 09/03/24 S         | 173,491            | 1.000      | 0                | 173,491           | 173,491           | 0                    |
| 1012451                       | 09/17/24 S         | 557                | 1.000      | 0                | 557               | 557               | 0                    |
| 1012451                       | 10/01/24 S         | 171,665            | 1.000      | 0                | 171,665           | 171,665           | 0                    |
| 1012451                       | 10/02/24 S         | 12,016             | 1.000      | 0                | 12,016            | 12,016            | 0                    |
| 1012451                       | 10/08/24 S         | 21,385,075         | 1.000      | 0                | 21,385,075 *      | 21,385,075        | 0                    |
| 1012451                       | 10/08/24 S         | 3,698,581          | 1.000      | 0                | 3,698,581 *       | 3,698,581         | 0                    |
| 1012451                       | 11/01/24 S         | 3,870,066          | 1.000      | 0                | 3,870,066 *       | 3,870,066         | 0                    |
| 1012451                       | 11/06/24 S         | 5,944              | 1.000      | 0                | 5,944             | 5,944             | 0                    |

*continued*



## REPORTABLE TRANSACTIONS WORKSHEET

|                                | DATE<br>BOUGHT / SOLD | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|--------------------------------|-----------------------|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| 1012451                        | 11/08/24 S            | 9,946                 | 1.000         | 0                   | 9,946                | 9,946               | 0                       |
| 1012451                        | 11/19/24 S            | 9,358                 | 1.000         | 0                   | 9,358                | 9,358               | 0                       |
| 1012451                        | 12/02/24 S            | 179,722               | 1.000         | 0                   | 179,722              | 179,722             | 0                       |
| 1012451                        | 12/04/24 S            | 36,050                | 1.000         | 0                   | 36,050               | 36,050              | 0                       |
| 1012451                        | 12/10/24 S            | 24,705,191            | 1.000         | 0                   | 24,705,191 *         | 24,705,191          | 0                       |
| <b>SUB-TOTAL OF SALES # 30</b> |                       |                       |               | <b>0</b>            | <b>57,103,068</b>    | <b>57,103,068</b>   | <b>0</b>                |
| <b>SUB-TOTAL</b>               |                       |                       |               | <b>0</b>            | <b>115,068,027</b>   | <b>115,068,027</b>  | <b>0</b>                |
| <b>GRAND TOTAL</b>             |                       |                       |               | <b>8,504</b>        | <b>194,999,064</b>   | <b>195,356,364</b>  | <b>-357,302</b>         |

*continued*

**REPORTABLE TRANSACTIONS WORKSHEET**

| DATE BOUGHT / SOLD | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST | REALIZED GAIN / LOSS |
|--------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|
|--------------------|--------------------|------------|------------------|-------------------|------------------|----------------------|

**CATEGORY 4 - SINGLE TRANSACTION WITH ONE BROKER EXCEEDS 5% OF VALUE**

**BROKER: DEUTSCHE BANK SECURITIES, INC.**

|                  |   |           |          |                  |                  |                |         |
|------------------|---|-----------|----------|------------------|------------------|----------------|---------|
| 1012451          | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>12/04/24 S | 4,202,000 | 0.948    | 0                | 3,983,857 *      | 4,026,534      | -42,677 |
| <b>SUB-TOTAL</b> |   |           | <b>0</b> | <b>3,983,857</b> | <b>4,026,534</b> | <b>-42,677</b> |         |

**BROKER: STONEX FINANCIAL INC.**

|                  |   |           |          |                  |                  |                 |          |
|------------------|---|-----------|----------|------------------|------------------|-----------------|----------|
| 1012451          | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>01/08/24 B | 459,000   | 0.799    | 0                | 366,788-         | 366,788         |          |
| 1012451          | ISSUE: 912810TJ7 - U.S. TREASURY BONDS 3% 8/15/52<br>10/02/24 S | 7,149,000 | 0.802    | 0                | 5,736,235 *      | 5,837,669       | -101,434 |
| 1012451          | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34<br>10/07/24 B | 3,680,000 | 0.999    | 0                | 3,676,981-*      | 3,676,981       |          |
| <b>SUB-TOTAL</b> |   |           | <b>0</b> | <b>9,780,004</b> | <b>9,881,438</b> | <b>-101,434</b> |          |

**BROKER: HSBC SECURITIES, INC.**

|                  |   |           |          |                  |                  |           |  |
|------------------|---|-----------|----------|------------------|------------------|-----------|--|
| 1012451          | ISSUE: 91282CEM9 - U.S. TREASURY NOTES 2.875% 4/30/29<br>10/07/24 B | 4,202,000 | 0.958    | 0                | 4,026,534-*      | 4,026,534 |  |
| <b>SUB-TOTAL</b> |   |           | <b>0</b> | <b>4,026,534</b> | <b>4,026,534</b> | <b>0</b>  |  |

**BROKER: BOFA SECURITIES, INC/FIXED INC**

|         |   |         |       |   |         |         |         |
|---------|---|---------|-------|---|---------|---------|---------|
| 1012451 | ISSUE: 912810RX8 - U.S. TREASURY BONDS 3% 5/15/47<br>04/26/24 S | 882,000 | 0.739 | 0 | 651,991 | 720,382 | -68,391 |
|---------|---|---------|-------|---|---------|---------|---------|

*continued*



## REPORTABLE TRANSACTIONS WORKSHEET

|   | DATE<br>BOUGHT / SOLD                                | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---|--|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
|   | ISSUE: 91282CJZ5 - U.S. TREASURY NOTES 4% 2/15/34    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 3,680,000             | 0.979         | 0                   | 3,604,244 *          | 3,676,981           | -72,738                 |
|   | <b>SUB-TOTAL</b>                                     |                       |               | <b>0</b>            | <b>4,256,235</b>     | <b>4,397,363</b>    | <b>-141,129</b>         |
| <b>BROKER: RAYMOND JAMES &amp; ASSOCIATES</b> |  |                       |               |                     |                      |                     |                         |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 38,274                | 79.231        | 658                 | 3,031,825 *          | 2,961,259           | 70,566                  |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 57,396                | 81.090        | 990                 | 4,653,251 *          | 4,600,289           | 52,962                  |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S   | 35,092                | 83.641        | 608                 | 2,934,508 *          | 2,852,278           | 82,230                  |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 47,023                | 78.700        | 705                 | 3,701,415-*          | 3,701,415           |                         |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 59,887                | 79.480        | 898                 | 4,760,717-*          | 4,760,717           |                         |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B   | 49,418                | 82.600        | 741                 | 4,082,668-*          | 4,082,668           |                         |
|   | ISSUE: 92206C409 - VANGUARD SHORT-TERM CORP BOND ETF |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 47,023                | 78.348        | 808                 | 3,683,355 *          | 3,701,415           | -18,061                 |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND      |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 59,887                | 78.169        | 1,028               | 4,680,255 *          | 4,760,717           | -80,463                 |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF    |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S   | 49,418                | 81.650        | 853                 | 4,034,126 *          | 4,082,668           | -48,542                 |
|   | <b>SUB-TOTAL</b>                                     |                       |               | <b>7,289</b>        | <b>35,562,120</b>    | <b>35,503,426</b>   | <b>58,693</b>           |

continued



## REPORTABLE TRANSACTIONS WORKSHEET

|   | DATE<br>BOUGHT / SOLD                                 | SHARES /<br>PAR VALUE | UNIT<br>PRICE | EXPENSE<br>INCURRED | PRINCIPAL CASH<br>EX | TRANSACTION<br>COST | REALIZED<br>GAIN / LOSS |
|---|---|-----------------------|---------------|---------------------|----------------------|---------------------|-------------------------|
| <b>BROKER: CITIGROUP GLOBAL MKTS/SALOMON</b>  |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/07/24 B  | 4,777,000             | 0.990         | 0                   | 4,731,096-*          | 4,731,096           |                         |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>4,731,096</b>     | <b>4,731,096</b>    | <b>0</b>                |
| <b>BROKER: NOMURA SECURITIES INTL., FIXED</b> |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 91282CAH4 - U.S. TREASURY NOTES 0.5% 8/31/27   |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S  | 3,582,000             | 0.916         | 0                   | 3,281,448 *          | 3,164,769           | 116,679                 |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>3,281,448</b>     | <b>3,164,769</b>    | <b>116,679</b>          |
| <b>BROKER: CITADEL SECURITIES LLC</b>         |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 01/08/24 B  | 1,111,000             | 0.909         | 0                   | 1,009,708-           | 1,009,708           |                         |
|   | ISSUE: 91282CFF3 - U.S. TREASURY NOTES 2.75% 8/15/32  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 10/02/24 S  | 3,641,000             | 0.936         | 0                   | 3,406,042 *          | 3,326,631           | 79,410                  |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>4,415,750</b>     | <b>4,336,339</b>    | <b>79,410</b>           |
| <b>BROKER: BMOCHICAGO BRANCH</b>              |   |                       |               |                     |                      |                     |                         |
|   | ISSUE: 91282CCB5 - U.S. TREASURY NOTES 1.625% 5/15/31 |                       |               |                     |                      |                     |                         |
| 1012451                                       | 04/26/24 S  | 1,111,000             | 0.820         | 0                   | 910,977              | 952,982             | -42,006                 |
|   | ISSUE: 912810TX6 - U.S. TREASURY BONDS 4.25% 2/15/54  |                       |               |                     |                      |                     |                         |
| 1012451                                       | 12/04/24 S  | 4,777,000             | 0.966         | 0                   | 4,616,336 *          | 4,731,096           | -114,760                |
|   | <b>SUB-TOTAL</b>                                      |                       |               | <b>0</b>            | <b>5,527,313</b>     | <b>5,684,078</b>    | <b>-156,766</b>         |

continued



**REPORTABLE TRANSACTIONS WORKSHEET**

|   | DATE BOUGHT / SOLD                                | SHARES / PAR VALUE | UNIT PRICE | EXPENSE INCURRED | PRINCIPAL CASH EX | TRANSACTION COST  | REALIZED GAIN / LOSS |
|---|---|--------------------|------------|------------------|-------------------|-------------------|----------------------|
| <b>BROKER: BERNSTEIN INSTITUTIONAL SERVIC</b> |   |                    |            |                  |                   |                   |                      |
|   | ISSUE: 92206C813 - VANGUARD LONG-TERM CORP BOND   |                    |            |                  |                   |                   |                      |
| 1012451                                       | 04/26/24 S  | 38,344             | 74.326     | 598              | 2,849,366 *       | 3,073,272         | -223,906             |
|   | ISSUE: 92206C870 - VANGUARD INT-TRM CORP BOND ETF |                    |            |                  |                   |                   |                      |
| 1012451                                       | 04/26/24 S  | 20,352             | 78.443     | 318              | 1,596,148         | 1,654,211         | -58,063              |
|   | <b>SUB-TOTAL</b>                                  |                    |            | <b>916</b>       | <b>4,445,514</b>  | <b>4,727,483</b>  | <b>-281,969</b>      |
|   | <b>GRAND TOTAL</b>                                |                    |            | <b>8,205</b>     | <b>80,009,871</b> | <b>80,479,060</b> | <b>-469,192</b>      |

**Retirement Plan for Employees of Canton-Potsdam Hospital**

**Schedule H, Line 4i - Schedule of Assets (Held at End of Year)**

**December 31, 2024**

**EIN # 16-1012691, Plan #001**

| <b>Identity of Issuer</b>                       | <b>Cost Basis</b>   | <b>Current Value</b> |
|---|---------------------|----------------------|
| <b>Money market funds</b>                       |                     |                      |
| * WILMINGTON US GOVERNMENT MONEY MARKET CL INST | \$ 7,979,666        | \$ 7,979,666         |
| <b>Total investments</b>                        | <u>\$ 7,979,666</u> | <u>\$ 7,979,666</u>  |

\* Denotes Party-In-Interest to the Plan

The above schedule is based solely upon information certified by Wilmington Trust as complete and accurate.