

Form 5500

Annual Return/Report of Employee Benefit Plan

OMB Nos. 1210-0110 1210-0089

2024

This Form is Open to Public Inspection

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500.

Part I Annual Report Identification Information

For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024

- A This return/report is for: [] a multiemployer plan [] a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.) [x] a single-employer plan [] a DFE (specify)
B This return/report is: [] the first return/report [] the final return/report [] an amended return/report [] a short plan year return/report (less than 12 months)
C If the plan is a collectively-bargained plan, check here.
D Check box if filing under: [x] Form 5558 [] automatic extension [] the DFVC program [] special extension (enter description)
E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here.

Part II Basic Plan Information—enter all requested information

1a Name of plan: PEAK6 GROUP LLC 401(K) PS PLAN
1b Three-digit plan number (PN): 001
1c Effective date of plan: 01/01/1999
2a Plan sponsor's name (employer, if for a single-employer plan): PEAK6 GROUP LLC
2b Employer Identification Number (EIN): 38-4087834
2c Plan Sponsor's telephone number: 312-362-2401
2d Business code (see instructions): 523900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

Table with 4 columns: SIGN HERE, Signature of plan administrator, Date, Enter name of individual signing as plan administrator. Includes rows for employer/plan sponsor and DFE.

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2024) v. 240311

| | | |
|---|--|-----|
| 3a Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor | 3b Administrator's EIN | |
| | 3c Administrator's telephone number | |
| 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name | 4b EIN | |
| | 4d PN | |
| 5 Total number of participants at the beginning of the plan year | 5 | 789 |
| 6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g(1) Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) g(2) Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested..... | 6a(1) | 347 |
| | 6a(2) | 318 |
| | 6b | 0 |
| | 6c | 378 |
| | 6d | 696 |
| | 6e | 0 |
| | 6f | 696 |
| | 6g(1) | 774 |
| 6g(2) | 683 | |
| 6h | 54 | |
| 7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | 7 | |

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
 2E 2F 2G 2J 2K 2S 2T 3H 3D 2R

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

| | |
|---|---|
| 9a Plan funding arrangement (check all that apply) | 9b Plan benefit arrangement (check all that apply) |
| (1) <input type="checkbox"/> Insurance | (1) <input type="checkbox"/> Insurance |
| (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts | (2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts |
| (3) <input checked="" type="checkbox"/> Trust | (3) <input checked="" type="checkbox"/> Trust |
| (4) <input type="checkbox"/> General assets of the sponsor | (4) <input type="checkbox"/> General assets of the sponsor |

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1) **R** (Retirement Plan Information)
- (2) **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3) **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary
- (4) **DCG** (Individual Plan Information) – Number Attached _____
- (5) **MEP** (Multiple-Employer Retirement Plan Information)

b General Schedules

- (1) **H** (Financial Information)
- (2) **I** (Financial Information – Small Plan)
- (3) **A** (Insurance Information) – Number Attached 0
- (4) **C** (Service Provider Information)
- (5) **D** (DFE/Participating Plan Information)
- (6) **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) Yes No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) Yes No

11c Enter the Receipt Confirmation Code for the 2024 Form M-1 annual report. If the plan was not required to file the 2024 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

| | | |
|--|--|---|
| SCHEDULE C (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small> | Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. | <small>OMB No. 1210-0110</small> 2024 This Form is Open to Public Inspection. |
|--|--|---|

For calendar plan year 2024 or fiscal plan year beginning **01/01/2024** and ending **12/31/2024**

| | | |
|---|--|------------|
| A Name of plan PEAK6 GROUP LLC 401(K) PS PLAN | B Three-digit plan number (PN) ▶ | 001 |
| C Plan sponsor's name as shown on line 2a of Form 5500 PEAK6 GROUP LLC | D Employer Identification Number (EIN) 38-4087834 | |

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... Yes No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS, INC.

04-2654524

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---|--|--|--|---|--|
| 27 | ADVISOR | 19505 | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | Yes <input type="checkbox"/> No <input type="checkbox"/> |

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---|--|--|--|---|--|
| 37 60 64 65 | RECORDKEEPER | 2183 | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | 0 | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |

(a) Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|------------------------|---|--|--|--|---|--|
| | | | Yes <input type="checkbox"/> No <input type="checkbox"/> | Yes <input type="checkbox"/> No <input type="checkbox"/> | | Yes <input type="checkbox"/> No <input type="checkbox"/> |

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2 | (b) Service Codes (see instructions) | (c) Enter amount of indirect compensation |
|---|--|---|
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| ABF SM CAP VAL R5 - SS&C GIDS, INC 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105 | 0.04% | |
| (a) Enter service provider name as it appears on line 2 | (b) Service Codes (see instructions) | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| AF MOD GR&INC PTF R4 - AMERICAN FU 95-2566717 | 0.35% | |
| (a) Enter service provider name as it appears on line 2 | (b) Service Codes (see instructions) | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| CB INTL GRTH I - FRANKLIN TEMPLETO 94-3167260 | 0.10% | |

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| (a) Enter service provider name as it appears on line 2 | (b) Service Codes (see instructions) | (c) Enter amount of indirect compensation |
|---|--|---|
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| VICTORY S ESTB VAL I - FIS INVESTO 14785 PRESTON ROAD SUITE 1000 DALLAS, TX 75254 | 0.10% | |
| (a) Enter service provider name as it appears on line 2 | (b) Service Codes (see instructions) | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| T ROWE PRICE DIVIDEND GROWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117 | 0.15% | |
| (a) Enter service provider name as it appears on line 2 | (b) Service Codes (see instructions) | (c) Enter amount of indirect compensation |
| FIDELITY INVESTMENTS INSTITUTIONAL | 60 | 0 |
| (d) Enter name and EIN (address) of source of indirect compensation | (e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| T ROWE PRICE GLOBAL STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117 | 0.15% | |

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|--------------------------------------|--|
| | | |

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |

Explanation:

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |

Explanation:

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |

Explanation:

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |

Explanation:

| | |
|--------------------|---------------------|
| a Name: | b EIN: |
| c Position: | |
| d Address: | e Telephone: |

Explanation:

| | | |
|--|--|--|
| SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small> | Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500. | <small>OMB No. 1210-0110</small> 2024 This Form is Open to Public Inspection |
|--|--|--|

| | |
|--|--|
| For calendar plan year 2024 or fiscal plan year beginning 01/01/2024 and ending 12/31/2024 | |
| A Name of plan PEAK6 GROUP LLC 401(K) PS PLAN | B Three-digit plan number (PN) 001 |
| C Plan sponsor's name as shown on line 2a of Form 5500 PEAK6 GROUP LLC | D Employer Identification Number (EIN) 38-4087834 |

| | |
|---------------|--------------------------------------|
| Part I | Asset and Liability Statement |
|---------------|--------------------------------------|

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| | | (a) Beginning of Year | (b) End of Year |
|--|-----------------|-----------------------|-----------------|
| a Total noninterest-bearing cash | 1a | 0 | 0 |
| b Receivables (less allowance for doubtful accounts): | | | |
| (1) Employer contributions | 1b(1) | 0 | 0 |
| (2) Participant contributions | 1b(2) | 0 | 0 |
| (3) Other | 1b(3) | 0 | 0 |
| c General investments: | | | |
| (1) Interest-bearing cash (include money market accounts & certificates of deposit) | 1c(1) | 5616743 | 5332943 |
| (2) U.S. Government securities | 1c(2) | 0 | 0 |
| (3) Corporate debt instruments (other than employer securities): | | | |
| (A) Preferred | 1c(3)(A) | 0 | 0 |
| (B) All other | 1c(3)(B) | 0 | 0 |
| (4) Corporate stocks (other than employer securities): | | | |
| (A) Preferred | 1c(4)(A) | 0 | 0 |
| (B) Common | 1c(4)(B) | 0 | 0 |
| (5) Partnership/joint venture interests | 1c(5) | 0 | 0 |
| (6) Real estate (other than employer real property) | 1c(6) | 0 | 0 |
| (7) Loans (other than to participants) | 1c(7) | 0 | 0 |
| (8) Participant loans | 1c(8) | 168336 | 176118 |
| (9) Value of interest in common/collective trusts | 1c(9) | 0 | 0 |
| (10) Value of interest in pooled separate accounts | 1c(10) | 0 | 0 |
| (11) Value of interest in master trust investment accounts | 1c(11) | 0 | 0 |
| (12) Value of interest in 103-12 investment entities | 1c(12) | 0 | 0 |
| (13) Value of interest in registered investment companies (e.g., mutual funds) | 1c(13) | 84276323 | 95702200 |
| (14) Value of funds held in insurance company general account (unallocated contracts) | 1c(14) | 0 | 0 |
| (15) Other | 1c(15) | 0 | 0 |

| 1d Employer-related investments: | | (a) Beginning of Year | (b) End of Year |
|--|--------------|-----------------------|-----------------|
| (1) Employer securities..... | 1d(1) | 0 | 0 |
| (2) Employer real property..... | 1d(2) | 0 | 0 |
| e Buildings and other property used in plan operation..... | 1e | 0 | 0 |
| f Total assets (add all amounts in lines 1a through 1e)..... | 1f | 90061402 | 101211261 |
| Liabilities | | | |
| g Benefit claims payable..... | 1g | 0 | 0 |
| h Operating payables..... | 1h | 0 | 0 |
| i Acquisition indebtedness..... | 1i | 0 | 0 |
| j Other liabilities..... | 1j | 0 | 0 |
| k Total liabilities (add all amounts in lines 1g through 1j)..... | 1k | 0 | 0 |
| Net Assets | | | |
| l Net assets (subtract line 1k from line 1f)..... | 1l | 90061402 | 101211261 |

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

| Income | | (a) Amount | (b) Total |
|--|-----------------|------------|-----------|
| a Contributions: | | | |
| (1) Received or receivable in cash from: (A) Employers..... | 2a(1)(A) | 1133601 | |
| (B) Participants..... | 2a(1)(B) | 4812862 | |
| (C) Others (including rollovers)..... | 2a(1)(C) | 287239 | |
| (2) Noncash contributions..... | 2a(2) | 0 | 6233702 |
| (3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2) | 2a(3) | | |
| b Earnings on investments: | | | |
| (1) Interest: | | | |
| (A) Interest-bearing cash (including money market accounts and certificates of deposit)..... | 2b(1)(A) | 257121 | |
| (B) U.S. Government securities..... | 2b(1)(B) | 0 | |
| (C) Corporate debt instruments..... | 2b(1)(C) | 0 | |
| (D) Loans (other than to participants)..... | 2b(1)(D) | 0 | |
| (E) Participant loans..... | 2b(1)(E) | 15928 | |
| (F) Other..... | 2b(1)(F) | 0 | |
| (G) Total interest. Add lines 2b(1)(A) through (F) | 2b(1)(G) | | 273049 |
| (2) Dividends: | | | |
| (A) Preferred stock..... | 2b(2)(A) | 0 | |
| (B) Common stock..... | 2b(2)(B) | 0 | |
| (C) Registered investment company shares (e.g. mutual funds)..... | 2b(2)(C) | 3217252 | |
| (D) Total dividends. Add lines 2b(2)(A) , (B) , and (C) | 2b(2)(D) | | 3217252 |
| (3) Rents..... | 2b(3) | | 0 |
| (4) Net gain (loss) on sale of assets: | | | |
| (A) Aggregate proceeds..... | 2b(4)(A) | 0 | |
| (B) Aggregate carrying amount (see instructions)..... | 2b(4)(B) | 0 | |
| (C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result..... | 2b(4)(C) | | |
| (5) Unrealized appreciation (depreciation) of assets: | | | |
| (A) Real estate..... | 2b(5)(A) | 0 | |
| (B) Other..... | 2b(5)(B) | 0 | |
| (C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B) | 2b(5)(C) | | |

| | (a) Amount | (b) Total |
|---|------------|-----------|
| (6) Net investment gain (loss) from common/collective trusts | 2b(6) | 0 |
| (7) Net investment gain (loss) from pooled separate accounts | 2b(7) | 0 |
| (8) Net investment gain (loss) from master trust investment accounts | 2b(8) | 0 |
| (9) Net investment gain (loss) from 103-12 investment entities | 2b(9) | 0 |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) | 2b(10) | 12738562 |
| c Other income | 2c | 0 |
| d Total income. Add all income amounts in column (b) and enter total | 2d | 22462565 |

Expenses

| | | |
|---|--------|----------|
| e Benefit payment and payments to provide benefits: | | |
| (1) Directly to participants or beneficiaries, including direct rollovers | 2e(1) | 11339705 |
| (2) To insurance carriers for the provision of benefits | 2e(2) | 0 |
| (3) Other | 2e(3) | 0 |
| (4) Total benefit payments. Add lines 2e(1) through (3) | 2e(4) | 11339705 |
| f Corrective distributions (see instructions) | 2f | 4440 |
| g Certain deemed distributions of participant loans (see instructions) | 2g | 18367 |
| h Interest expense | 2h | 0 |
| i Administrative expenses: | | |
| (1) Salaries and allowances | 2i(1) | 0 |
| (2) Contract administrator fees | 2i(2) | 600 |
| (3) Recordkeeping fees | 2i(3) | 1583 |
| (4) IQPA audit fees | 2i(4) | 0 |
| (5) Investment advisory and investment management fees | 2i(5) | 19505 |
| (6) Bank or trust company trustee/custodial fees | 2i(6) | 0 |
| (7) Actuarial fees | 2i(7) | 0 |
| (8) Legal fees | 2i(8) | 0 |
| (9) Valuation/appraisal fees | 2i(9) | 0 |
| (10) Other trustee fees and expenses | 2i(10) | 0 |
| (11) Other expenses | 2i(11) | 0 |
| (12) Total administrative expenses. Add lines 2i(1) through (11) | 2i(12) | 21688 |
| j Total expenses. Add all expense amounts in column (b) and enter total | 2j | 11384200 |

Net Income and Reconciliation

| | | |
|---|-------|----------|
| k Net income (loss). Subtract line 2j from line 2d | 2k | 11078365 |
| l Transfers of assets: | | |
| (1) To this plan | 2l(1) | 74939 |
| (2) From this plan | 2l(2) | 3445 |

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) Unmodified (2) Qualified (3) Disclaimer (4) Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) DOL Regulation 2520.103-8 (2) DOL Regulation 2520.103-12(d) (3) neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: RSM US LLP

(2) EIN: 42-0714325

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) This form is filed for a CCT, PSA, DCG or MTIA. (2) It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

| | Yes | No | Amount |
|--|-----|----|---------|
| a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) | X | | 269726 |
| b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) | | X | |
| c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) | | X | |
| d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) | | X | |
| e Was this plan covered by a fidelity bond? | X | | 5000000 |
| f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | X | |
| g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? | | X | |
| h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? | | X | |
| i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) | X | | |
| j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.) | | X | |
| k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | X | |
| l Has the plan failed to provide any benefit when due under the plan? | | X | |
| m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | X | |
| n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. | | X | |

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? Yes No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| 5b(1) Name of plan(s) | 5b(2) EIN(s) | 5b(3) PN(s) |
|--|---------------------|--------------------|
| NETEASE 401(K) PLAN | 46-5765555 | 001 |
| THE OPTIONS CLEARING CORPORATION RETIREMENT SAVINGS PLAN | 36-2756407 | 002 |
| OPTIVER 401(K) PLAN | 84-4498918 | 001 |
| THRIVE GLOBAL HOLDINGS, INC. RETIREMENT SAVINGS PLAN | 81-3301652 | 002 |

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) Yes No Not determined
 If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| 5b(1) Name of plan(s) | 5b(2) EIN(s) | 5b(3) PN(s) |
|---|---------------------|--------------------|
| SUSQUEHANNA INTERNATIONAL GROUP, LLP 401(K) PROFIT SHARING PLAN | 23-2795207 | 001 |
| XR TRADING LLC 401(K) PLAN | 74-3046241 | 001 |
| GROSVENOR CAPITAL MANAGEMENT, L.P. 401K RETIREMENT PLAN | 36-3795985 | 001 |
| SYNERGY55 LLC PROFIT SHARING AND SAVINGS PLAN | 36-4259890 | 001 |

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) Yes No Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

| | | |
|--|---|---|
| SCHEDULE R (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small> | Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500. | <small>OMB No. 1210-0110</small> 2024 This Form is Open to Public Inspection. |
|--|---|---|

For calendar plan year 2024 or fiscal plan year beginning **01/01/2024** and ending **12/31/2024**

| | | |
|---|--|------------|
| A Name of plan PEAK6 GROUP LLC 401(K) PS PLAN | B Three-digit plan number (PN) ▶ | 001 |
| C Plan sponsor's name as shown on line 2a of Form 5500 PEAK6 GROUP LLC | D Employer Identification Number (EIN) 38-4087834 | |

| | |
|---------------|----------------------|
| Part I | Distributions |
|---------------|----------------------|

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....

| | |
|----------|--|
| 1 | |
|----------|--|

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):
EIN(s): 04-6568107

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....

| | |
|----------|--|
| 3 | |
|----------|--|

| | |
|----------------|---|
| Part II | Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.) |
|----------------|---|

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?..... Yes No N/A
If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____
If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

| | | |
|---|-----------|--|
| 6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) | 6a | |
| b Enter the amount contributed by the employer to the plan for this plan year | 6b | |
| c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)..... | 6c | |

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline?..... Yes No N/A

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?..... Yes No N/A

| | |
|-----------------|-------------------|
| Part III | Amendments |
|-----------------|-------------------|

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... Increase Decrease Both No

| | |
|----------------|---|
| Part IV | ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part. |
|----------------|---|

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?..... Yes No

11 a Does the ESOP hold any preferred stock?..... Yes No

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)..... Yes No

12 Does the ESOP hold any stock that is not readily tradable on an established securities market?..... Yes No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. Complete as many entries as needed to report all applicable employers.

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

a Name of contributing employer _____

b EIN _____ **c** Dollar amount contributed by employer _____

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: Hourly Weekly Unit of production Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

| | | |
|---|------------|--|
| a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: <input type="checkbox"/> last contributing employer <input type="checkbox"/> alternative <input type="checkbox"/> reasonable approximation (see instructions for required attachment)..... | 14a | |
| b The plan year immediately preceding the current plan year. <input type="checkbox"/> Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)..... | 14b | |
| c The second preceding plan year. <input type="checkbox"/> Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)..... | 14c | |

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

| | | |
|---|------------|--|
| a The corresponding number for the plan year immediately preceding the current plan year | 15a | |
| b The corresponding number for the second preceding plan year | 15b | |

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

| | | |
|---|------------|--|
| a Enter the number of employers who withdrew during the preceding plan year | 16a | |
| b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers..... | 16b | |

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment

19 If the total number of participants is 1,000 or more, complete lines (a) and (b):

a Enter the percentage of plan assets held as:
 Public Equity: _____% Private Equity: _____% Investment-Grade Debt and Interest Rate Hedging Assets: _____%
 High-Yield Debt: _____% Real Assets: _____% Cash or Cash Equivalents: _____% Other: _____%

b Provide the average duration of the Investment-Grade Debt and Interest Rate Hedging Assets:
 0-5 years 5-10 years 10-15 years 15 years or more

20 PBGC missed contribution reporting requirements. If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? Yes No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:
 Yes.
 No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.
 No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.
 No. Other. Provide explanation: _____

Part VII IRS Compliance Questions

21a Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? Yes No

21b If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).
 Design-based safe harbor method
 "Prior year" ADP test
 "Current year" ADP test
 N/A

22 If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter 06 / 30 / 2020 (MM/DD/YYYY) and the Opinion Letter serial number Q702438A.

PEAK6 Group LLC 401(k) PS Plan

Financial Report
December 31, 2024

Contents

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Independent Auditor's Report

Plan Administrator
PEAK6 Group LLC 401(k) PS Plan

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed audits of the financial statements of PEAK6 Group LLC 401(k) PS Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits as of December 31, 2024 and 2023, the related statement of changes in net assets available for benefits for the year ended December 31, 2024, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the DOL's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained certifications from a qualified institution as of December 31, 2024 and 2023, and for the year ended December 31, 2024, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audits and on the procedures performed as described in the Auditor's Responsibilities for the Audit of the Financial Statements section:

- The amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America (U.S. GAAP).
- The information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with U.S. GAAP, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern within one year after the date that the financial statements are issued or available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if, there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audits did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of U.S. GAAP.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with U.S. GAAP.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matter—Supplemental Schedules Required by ERISA

The supplemental schedule of delinquent participant contributions for the year ended December 31, 2024 and the schedule of assets (held at end of year) as of December 31, 2024, are presented for purposes of additional analysis and are not a required part of the financial statements but are supplementary information required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedules, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedules that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedules, we evaluated whether the supplemental schedules, other than the information agreed to or derived from the certified investment information, including their form and content, are presented in conformity with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedules, other than the information in the supplemental schedules that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.
- The information in the supplemental schedules related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

RSM US LLP

Chicago, Illinois
October 15, 2025

PEAK6 Group LLC 401(k) PS Plan

**Statements of Net Assets Available for Benefits
December 31, 2024, and 2023**

| | 2024 | 2023 |
|---|---------------------------|--------------------------|
| Assets | | |
| Investments, at fair value: | | |
| Shares of registered investment companies | \$ 95,616,900 | \$ 84,223,878 |
| Money market fund | 5,303,789 | 5,590,356 |
| Participant-directed brokerage accounts | 114,454 | 78,832 |
| | <u>101,035,143</u> | <u>89,893,066</u> |
| Receivables: | | |
| Company contributions | 1,106,479 | 1,133,601 |
| Notes receivable from participants | 176,118 | 168,336 |
| | <u>1,282,597</u> | <u>1,301,937</u> |
| Total assets | <u>102,317,740</u> | <u>91,195,003</u> |
| Net assets available for benefits | <u>\$ 102,317,740</u> | <u>\$ 91,195,003</u> |

See notes to financial statements.

PEAK6 Group LLC 401(k) PS Plan

**Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2024**

| | |
|---|-----------------------|
| Additions to net assets attributed to: | |
| Investment income: | |
| Net appreciation in fair value of investments | \$ 12,738,561 |
| Interest and dividends | 3,474,374 |
| Total investment income | <u>16,212,935</u> |
| Interest income on notes receivable from participants | <u>15,928</u> |
| Contributions: | |
| Participants | 4,812,862 |
| Company | 1,106,479 |
| Rollovers | 287,239 |
| Total contributions | <u>6,206,580</u> |
| Total additions | <u>22,435,443</u> |
| Deductions from net assets attributed to: | |
| Benefits paid | 11,362,512 |
| Administrative expenses | 21,688 |
| Total deductions | <u>11,384,200</u> |
| Net increase before transfers | 11,051,243 |
| Transfers in, net | <u>71,494</u> |
| Net increase | 11,122,737 |
| Net assets available for benefits: | |
| Beginning of year | <u>91,195,003</u> |
| End of year | <u>\$ 102,317,740</u> |

See notes to financial statements.

PEAK6 Group LLC 401(k) PS Plan

Notes to Financial Statements

Note 1. Plan Description

The following description of Peak6 Group LLC 401(k) PS Plan (the Plan) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General: The Plan is a defined contribution plan covering all employees (as defined) of Peak6 Group LLC and Subsidiaries (the Company). Such employees are eligible to participate in the Plan as of the first day of the month following the later of the date of hire or the attainment of 18 years of age. Participants can begin contributing upon meeting the eligibility requirements. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

Contributions: The Plan is designed as a qualified cash deferral arrangement pursuant to Section 401(k) of the Internal Revenue Code (the Code) and provides for the following contributions:

Participant contributions: Each year, participants may contribute a portion of total pretax or after-tax compensation, as defined in the Plan, not to exceed certain Internal Revenue Service (IRS) limitations. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts disbursed or transferred from another qualified plan (rollovers). Participants who do not affirmatively decline to participate in the Plan will be automatically enrolled in the Plan. Automatically enrolled participants have their deferral rate set at 6% of their eligible compensation and their contributions invested in the Fidelity Freedom Funds, registered investment companies, based on age.

Discretionary Company contributions: Discretionary Company contributions, if any, may be made annually at the discretion of the Company on behalf of eligible employees who made participant contributions, earned at least 1,000 hours of service during the Plan year, and were employed as of the last day of the Plan year. For 2024, the Company authorized a discretionary matching contribution of 50% of the first 6% of compensation deferred by the participants for a total amount of \$1,590,073, of which \$483,594 are forfeitures derived from the unvested portion of terminated participants' accounts, resulting in a receivable of \$1,106,479 is presented on the statement of net assets available for benefits.

Company profit-sharing contributions: Company profit-sharing contributions, if any, may be made annually at the discretion of the Company to eligible employees who incurred at least 1,000 hours of service during the Plan year and were employed as of the last day of the Plan year. For 2024, the Company did not authorize any such contributions.

Notes receivable from participants: Participants may borrow from their account a minimum of \$1,000 up to a maximum equal to the lesser of 50% of the vested account balance, or \$50,000. A participant may have only one loan outstanding at any given time. Loan terms range from one to five years or up to 10 years for the purchase of a primary residence and are due and payable in full upon termination of employment or death. The loans are secured by the balance in the participant's account. Loans bear interest ranging from 4.25% to 9.5% at December 31, 2024, which are commensurate with local prevailing rates at note origination, as determined by the Plan Administrator. Principal and interest are paid ratably through bimonthly payroll deductions.

PEAK6 Group LLC 401(k) PS Plan

Notes to Financial Statements

Note 1. Plan Description (Continued)

Investments and investment options: The assets of the Plan are held by Fidelity Management Trust Company (Fidelity), trustee of the Plan. Upon enrollment, a participant may direct employees' and Company contributions, if any, into a variety of registered investment companies. Participants have the ability to change their deferral percentage as of the beginning of each payroll period. Participants are able to change their investments on a daily basis, if desired. Participants have the option of using a participant-directed brokerage option to select investments.

Participants' accounts: Participants' accounts are credited with their contributions, rollover contributions, the allocation of the Company's discretionary matching and profit-sharing contributions, if any, and Plan earnings or losses and are charged with an allocation of administrative expenses, if any remain unpaid after reduction from forfeitures. Allocations are based on participants' earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting: Participants are immediately vested in their contributions and rollover contributions plus actual earnings thereon. Vesting in the Company's discretionary matching and profit sharing portions of their accounts plus actual earnings thereon are vested 10% after one year of service, 20% after two years of service, 40% after three years of service, 60% after four years of service, and fully vested after five years of credited service, or upon death, disability, normal retirement or termination of the Plan. Additionally, as more fully described in the Plan document, there are different vesting schedules than the aforementioned, applying to certain specified classes of participants.

Payments of benefits and withdrawals: A distribution can be made to a participant upon request due to disability, retirement or termination of employment. A participant's beneficiary or beneficiaries may request a distribution of any vested account balance in the event of the participant's death. Distribution may be deferred until a later date. However, distribution cannot be postponed on any vested account balance that is \$5,000 or less in which case the Plan Administrator will direct the trustee that any amount exceeding \$1,000 be distributed to an individual retirement account or annuity (IRA) for the participant's benefit. Any vested account balance that is \$1,000 or less will be distributed as a lump-sum distribution. Prior to such distribution, a participant still has the right to request that the amount be distributed directly to the participant in the form of a lump-sum payment or to request that it be rolled over to a different IRA provider or another retirement plan eligible to receive rollover contributions. Additionally, the Plan provides in-service distributions related to hardships and obtaining age 59½.

Forfeited accounts: Forfeitures of unvested portions of terminated participants' accounts are to be retained by the Plan and invested in a money market fund and will be used first to pay the Plan's administrative expenses. Any remaining forfeited balances will be used to reduce future Company contributions payable to the Plan. Forfeited balances at December 31, 2024, and 2023, totaled \$384,629 and \$287,221, respectively. During 2024 forfeitures of \$483,594, are used to reduce Company contributions for 2024 (funded in 2025). At December 31, 2024, and 2023, the Company contribution receivable was recorded net of the respective forfeiture balance.

Plan administration: The Plan's administrative and investment advisory fee expenses are paid by either the Plan or the Company, as provided by the Plan's provisions. Administrative expenses paid by the Plan include recordkeeping and investment advisory fees paid by the Plan include trustee fees. Expenses relating to purchases, sales or transfers of the Plan's investments are charged to the particular investment fund to which the expenses relate. All other administrative expenses of the Plan are paid by the Company. Expenses that are paid by the Company are excluded from these financial statements.

PEAK6 Group LLC 401(k) PS Plan

Notes to Financial Statements

Note 2. Summary of Significant Accounting Policies

Basis of accounting: The financial statements have been prepared under the accrual basis of accounting.

Accounting policies: The Plan follows United States generally accepted accounting principles (U.S. GAAP), as established by the Financial Accounting Standards Board (FASB).

Use of estimates: The preparation of financial statements in conformity with U.S. GAAP requires the Plan's management to make estimates and assumptions that affect the reported amounts of net assets available for benefits and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Investment valuation and income recognition: The Plan's investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for a detailed discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold, as well as held, during the year.

Notes receivable from participants: Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Delinquent loans are deemed to be distributions based upon the terms of the Plan document.

Contributions: Contributions from Plan participants are recorded in the year in which the employee contributions are withheld from compensation. Discretionary Company contributions from the employer are recorded in the Plan year which they pertain to.

Payment of benefits: Benefits are recorded when paid.

Plan administration: Investment-related expenses of the Plan are paid by the Plan as provided in the Plan document and are included in Net appreciation in fair value of investments in the statement of changes in net assets available for benefits. For 2024, recordkeeping fees in the amount of \$2,183 were paid by the Plan directly to Fidelity. Investment advisory fees in the amount of \$19,505 were paid by the Plan directly to Strategic Advisors, Inc. All other significant costs related to Plan Administration and professional services are paid by the Company and excluded from these financial statements.

Reclassifications: Certain amounts in the 2023 financial statements have been reclassified to conform to the 2024 presentation with no effect on net assets available for benefits.

PEAK6 Group LLC 401(k) PS Plan

Notes to Financial Statements

Note 3. Information Certified or Provided by Fidelity

The following is a summary of the Plan's asset and income information as of December 31, 2024, and 2023, and for the year ended December 31, 2024, included throughout the Plan's financial statements and supplemental schedules that were prepared by or derived from information certified or provided by Fidelity, the trustee, and furnished to the Plan Administrator. The Plan Administrator has obtained certifications from the trustee that the information provided to the Plan Administrator by the trustee related to the following assets and income is complete and accurate. Accordingly, as permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under ERISA, the Plan Administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to information that appears throughout the financial statements and supplemental schedule related to the following assets and income:

| | December 31 | |
|---|---------------|---------------|
| | 2024 | 2023 |
| Shares of registered investment companies | \$ 95,616,900 | \$ 84,223,878 |
| Money market fund | 5,303,789 | 5,590,356 |
| Participant-directed brokerage accounts | 114,454 | 78,832 |
| Notes receivable from participants | 176,118 | 168,336 |
| Net appreciation in fair value of investments | 12,738,561 | |
| Interest income and dividends | 3,474,374 | |
| Interest income on notes receivable from participants | 15,928 | |

Note 4. Fair Value of Financial Instruments

The fair value of the Plan's assets and liabilities that qualify as financial instruments under Accounting Standards Codification (ASC) 825, Financial Instruments, approximates the carrying amounts presented in the financial statements.

ASC 820, Fair Value Measurement, defines fair value as an exit price, representing the amount that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants. ASC 820 establishes a fair value hierarchy for inputs used in measuring fair value that maximizes the use of observable inputs and minimizes the use of unobservable inputs that market participants would use in pricing the asset or liability based on market data obtained from sources independent of the reporting entity; unobservable inputs are inputs that reflect the Plan's assumptions about the assumptions market participants would use in pricing the asset or liability.

A financial instrument's level within the fair value hierarchy is based on the lowest level of any input that is deemed significant to the fair value measurement. When a valuation utilizes multiple inputs from varying levels of the fair value hierarchy, the hierarchy level is determined based on the lowest level input(s) that is (are) significant to the fair value measurement in its entirety.

The three levels of the fair value hierarchy that prioritize inputs to valuation methods are as follows:

Level 1: Valuations based on quoted prices (unadjusted) in active markets for identical assets or liabilities.

Level 2: Valuations based on quoted prices for similar assets and liabilities in active markets and inputs that are observable for the assets or liabilities either directly or indirectly, for substantially the full term of the financial instrument.

PEAK6 Group LLC 401(k) PS Plan

Notes to Financial Statements

Note 4. Fair Value of Financial Instruments (Continued)

Level 3: Valuations based on inputs that are unobservable and deemed significant to the overall fair value measurement (including the Plan's own assumptions used in determining the fair value of investments).

The inputs or methodologies used for valuing securities are not necessarily an indication of the risk associated with investing in those securities.

Following is a description of the valuation methodologies used for each general type of investment measured at fair value by the Plan. There have been no changes in the methodologies used at December 31, 2024.

Shares of registered investment companies: Valued at quoted market prices, which represent the net asset value of shares held by the Plan at year-end.

Money market fund: Valued at quoted market prices of shares held by the Plan at year-end.

Participant-directed brokerage accounts: Comprised of investments in Fidelity cash reserves and registered investment companies valued as described above.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets, measured on a recurring basis, at fair value.

| | December 31, 2024 | December 31, 2023 |
|---|-----------------------|----------------------|
| | Level 1 | Level 1 |
| Shares of registered investment companies | \$ 95,616,900 | \$ 84,223,878 |
| Money market fund | 5,303,789 | 5,590,356 |
| Participant-directed brokerage accounts | 114,454 | 78,832 |
| | <u>\$ 101,035,143</u> | <u>\$ 89,893,066</u> |

There were no Level 2 or Level 3 investments as of December 31, 2024, or 2023.

To assess the appropriate classification of investments within the fair value hierarchy, the availability of market data is monitored. Changes in economic conditions or valuation techniques may require the transfer of investments from one fair value level to another. Plan management evaluates the significance of transfers between levels based upon the nature of the investment and size of the transfer relative to total net assets available for benefits. For the year ended December 31, 2024, there were no transfers in or out of Level 3.

PEAK6 Group LLC 401(k) PS Plan

Notes to Financial Statements

Note 5. Related Party and Party-in-Interest Transactions

Certain Plan investments are shares of registered investment companies managed by Fidelity Investments, an affiliate of Fidelity. Fidelity is the trustee and third-party administrator as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions.

Certain employees of the Company provide administrative services to the Plan. These employees do not receive any compensation from the Plan for their services.

Note 6. Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become fully vested in their accounts.

Note 7. Tax Status

Effective March 25, 2022, the Plan was amended and restated to adopt a non-standardized pre-approved profit sharing plan with cash or deferred arrangement ("CODA") sponsored by Fidelity Management & Research LLC ("FMR"). FMR has received an opinion letter from the IRS dated June 30, 2020, stated that the IRS opined that the form of this preapproved plan is acceptable under the Internal Revenue Code for use by employers for the benefit of their employees. Although a determination letter has not been requested specifically for this Plan, the Plan's Administrator believes that the Plan, as amended, is currently designed, and being operated in compliance with the applicable requirements of the Code. Therefore, it believes that the Plan is qualified, and the related trust is tax-exempt as of the financial statement date.

U.S. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. Plan management has analyzed the tax positions taken by the Plan and has concluded that there are no uncertain positions taken or expected to be taken. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The current and prior three tax years remain subject to income tax examination.

Note 8. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rates, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

Note 9. Delinquent Participant Contributions

During 2023 and 2022, the Company inadvertently failed to deposit \$125,732 and \$143,994 of participants' contributions, respectively, within the required timeline stated by the DOL's regulations. These late contributions qualify as prohibited transactions. The delay in remitting contributions to the trustee was due to administrative errors. The Company deposited the aforementioned 2022 participant contributions during 2022 and deposited the aforementioned 2023 participant contributions during 2023. Form 5330 for 2022 and 2023 delinquent contributions will be filed in 2025 and participants associated lost earnings will be deposited in 2025. Any excise tax payments will be made from the Plan Sponsor's assets and not from assets of the Plan.

PEAK6 Group LLC 401(k) PS Plan

Notes to Financial Statements

Note 10. Reconciliation of Financial Statements to Form 5500

The following table reconciles net assets available for benefits per the financial statements to Form 5500:

| | December 31, 2024 | December 31, 2023 |
|--|-----------------------|----------------------|
| Net assets available for benefits per the financial statements | \$ 102,317,740 | \$ 91,195,003 |
| Differences in: | | |
| Shares of registered investment companies | 85,300 | 52,445 |
| Money market fund | 29,154 | 26,387 |
| Participant-directed brokerage accounts | (114,454) | (78,832) |
| Investments-participant loans | 176,118 | 168,336 |
| Receivables-participant notes receivable | (176,118) | (168,336) |
| Receivables-company contributions | (1,106,479) | (1,133,601) |
| Net assets available for benefits per Form 5500 | <u>\$ 101,211,261</u> | <u>\$ 90,061,402</u> |

The following is a reconciliation of the changes in net assets available for benefit per the financial statements for the year ended December 31, 2024, to the Form 5500:

| | |
|--|----------------------|
| Net increase after transfers per the financial statements | \$ 11,122,737 |
| Transfers in, net | (71,494) |
| Net adjustment to reflect the change in Company and participant contributions on the accrual basis | <u>27,122</u> |
| Net income per the Form 5500 | <u>\$ 11,078,365</u> |

Note 11. Transfers In, Net

If a participant of the Plan becomes eligible for the defined contribution plan of a subsidiary company of the Sponsor, Apex Fintech Services LLC (Apex), or PEAK6 Insurtech Holdings LLC (Insurtech), their participation in this Plan will cease. When they become eligible to participate in Apex's or Insurtech's defined contribution plan, their account balance in the Plan is automatically transferred into Apex's or Insurtech's defined contribution plan. A similar transfer occurs when a participant of Apex's or Insurtech's defined contribution plan becomes eligible for this Plan. During the year ended December 31, 2024, the net amount of transfers described above were \$71,494 into the Plan.

Note 12. Subsequent Events

The Plan Administrator has evaluated subsequent events for potential recognition and/or disclosure through October 15, 2025, the date these financial statements were available to be issued. Effective February 7, 2025, the trustee of the Plan was changed from Fidelity Management Trust Company to Inspira Financial Trust, LLC (formerly known as Millennium Trust Company, LLC), and the recordkeeper of the Plan was changed from Fidelity Workplace Services LLC to Betterment for Business, LLC.

Supplemental Schedules

PEAK6 Group LLC 401(k) PS Plan

**Schedule H, Line 4a-Schedule of Delinquent Participant Contributions
Year Ended December 31, 2024**

Employer Identification Number: 34-4166492

Plan Number: 001

| | | | | |
|---|---|---|--|--|
| Participant contributions transferred late to the plan: \$ 269,726 | Total that constitute non-exempt transactions | | | |
| [x] Check here if late participant loan repayments are included: | Contributions not corrected: | Contributions corrected outside of VFCP | Contributions pending correction in VFCP | Total fully corrected under VFCP and PTE 2002-51 |
| 2023 | \$ 125,732 | \$ - | \$ - | \$ - |
| 2022 | \$ 143,994 | \$ - | \$ - | \$ - |

For 2022, participant contributions in the amount of \$143,994 were remitted untimely, and the Company deposited these participant contributions in 2022. For 2023, participant contributions in the amount of \$125,732 were remitted untimely, and the Company deposited these participant contributions in 2023. Pertaining to the deposits in the above schedule shown for 2022 through 2023, the Company will deposit the lost earnings in 2025, and Form 5330 for 2022 and 2023 delinquent participant contributions will be filed.

PEAK6 Group LLC 401(k) PS Plan

Schedule of Assets (Held at End of Year)
December 31, 2024

Employer ID Number: 34-4166492
Plan ID Number: 001

| (a) | (b) Identity of Issue, Borrower, Lessor or Similar Party | (c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value | (d) Cost | (e) Current Value |
|---|--|---|-------------|-----------------------|
| <i>Money market funds:</i> | | | | |
| */*** | Fidelity Investments | Fidelity Government Money Market Fund | ** | \$ 5,303,789 |
| | | Subtotal of money market funds | | <u>5,303,789</u> |
| <i>Registered investment companies:</i> | | | | |
| | American Beacon Funds | American Beacon Small Cap Value Fund | ** | 2,917,508 |
| | American Funds | AF Modified Growth & Income Portfolio R4 | ** | 1,067,673 |
| | ClearBridge | ClearBridge International Growth Fund - Class A | ** | 2,259,034 |
| | Putnam | Putnam Large Cap Value Fund Class R6 | ** | 3,362,631 |
| | BlackRock | BlackRock Emerging Markets Fund, Inc. K Shares | ** | 1,072,107 |
| * | Fidelity Investments | Fidelity 500 Index Fund | ** | 19,374,540 |
| * | Fidelity Investments | Fidelity Extended Market Index Fund | ** | 1,992,866 |
| * | Fidelity Investments | Fidelity International Index Fund | ** | 3,208,033 |
| * | Fidelity Investments | Fidelity Blue Chip Growth | ** | 10,098,116 |
| * | Fidelity Investments | Fidelity Contrafund K | ** | 11,325,893 |
| * | Fidelity Investments | Fidelity Freedom K 2010 Fund | ** | 57,388 |
| * | Fidelity Investments | Fidelity Freedom K 2015 Fund | ** | 919,247 |
| * | Fidelity Investments | Fidelity Freedom K 2020 Fund | ** | 870,946 |
| * | Fidelity Investments | Fidelity Freedom K 2025 Fund | ** | 362,851 |
| * | Fidelity Investments | Fidelity Freedom K 2030 Fund | ** | 828,341 |
| * | Fidelity Investments | Fidelity Freedom K 2035 Fund | ** | 2,394,304 |
| * | Fidelity Investments | Fidelity Freedom K 2040 Fund | ** | 2,508,888 |
| * | Fidelity Investments | Fidelity Freedom K 2045 Fund | ** | 2,863,322 |
| * | Fidelity Investments | Fidelity Freedom K 2050 Fund | ** | 4,218,084 |
| * | Fidelity Investments | Fidelity Freedom K 2055 Fund | ** | 5,149,222 |
| * | Fidelity Investments | Fidelity Freedom K 2060 Fund | ** | 3,725,004 |
| * | Fidelity Investments | Fidelity Freedom K 2065 Fund | ** | 1,147,233 |
| * | Fidelity Investments | Fidelity Freedom K Income Fund | ** | 140,579 |
| * | Fidelity Investments | Fidelity Low Priced Stock | ** | 3,021,324 |
| * | Fidelity Investments | Fidelity Strategic Income Fund | ** | 1,586,616 |
| * | Fidelity Investments | Fidelity U.S. Bond Index Fund | ** | 1,025,255 |
| * | Fidelity Investments | Fidelity Small Cap Index Fund | ** | 1,540,598 |
| | Janus Investment Funds | Janus Enterprise Fund Class N | ** | 1,516,747 |
| | PIMCO | PIMCO Total Return Fund Institutional Class | ** | 983,624 |
| | Vanguard | Vanguard Explorer Fund Admiral Shares | ** | 1,680,488 |
| | Victory | Victory Established Value Fund Class A | ** | 2,398,438 |
| | | Subtotal of registered investment companies | | <u>95,616,900</u> |
| <i>Participant-directed brokerage accounts:</i> | | | | |
| */*** | Fidelity Investments | Fidelity Cash Reserves | ** | 75,312 |
| * | Various | Registered investment companies | ** | 39,142 |
| | | Subtotal of participant-directed brokerage accounts | | <u>114,454</u> |
| * | Participants | Participant loans from participants (interest rates ranging from 4.25% to 9.50%, maturity through December 2029) | ** | <u>176,118</u> |
| | | | | <u>\$ 101,211,261</u> |

* Represents a party-in-interest.

** Cost information not required for participant-directed investments

*** Included as interest-bearing cash on Form 5500

The information in the Schedule of Assets (Held at the end of the year) has been certified by Fidelity, the Trustee, as complete and accurate.

PEAK6 Group LLC 401(k) PS Plan

Schedule of Assets (Held at End of Year)
December 31, 2024

Employer ID Number: 34-4166492
Plan ID Number: 001

| (a) | (b) Identity of Issue, Borrower, Lessor or Similar Party | (c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value | (d) Cost | (e) Current Value |
|---|--|---|-------------|-----------------------|
| <i>Money market funds:</i> | | | | |
| */*** | Fidelity Investments | Fidelity Government Money Market Fund | ** | \$ 5,303,789 |
| | | Subtotal of money market funds | | <u>5,303,789</u> |
| <i>Registered investment companies:</i> | | | | |
| | American Beacon Funds | American Beacon Small Cap Value Fund | ** | 2,917,508 |
| | American Funds | AF Modified Growth & Income Portfolio R4 | ** | 1,067,673 |
| | ClearBridge | ClearBridge International Growth Fund - Class A | ** | 2,259,034 |
| | Putnam | Putnam Large Cap Value Fund Class R6 | ** | 3,362,631 |
| | BlackRock | BlackRock Emerging Markets Fund, Inc. K Shares | ** | 1,072,107 |
| * | Fidelity Investments | Fidelity 500 Index Fund | ** | 19,374,540 |
| * | Fidelity Investments | Fidelity Extended Market Index Fund | ** | 1,992,866 |
| * | Fidelity Investments | Fidelity International Index Fund | ** | 3,208,033 |
| * | Fidelity Investments | Fidelity Blue Chip Growth | ** | 10,098,116 |
| * | Fidelity Investments | Fidelity Contrafund K | ** | 11,325,893 |
| * | Fidelity Investments | Fidelity Freedom K 2010 Fund | ** | 57,388 |
| * | Fidelity Investments | Fidelity Freedom K 2015 Fund | ** | 919,247 |
| * | Fidelity Investments | Fidelity Freedom K 2020 Fund | ** | 870,946 |
| * | Fidelity Investments | Fidelity Freedom K 2025 Fund | ** | 362,851 |
| * | Fidelity Investments | Fidelity Freedom K 2030 Fund | ** | 828,341 |
| * | Fidelity Investments | Fidelity Freedom K 2035 Fund | ** | 2,394,304 |
| * | Fidelity Investments | Fidelity Freedom K 2040 Fund | ** | 2,508,888 |
| * | Fidelity Investments | Fidelity Freedom K 2045 Fund | ** | 2,863,322 |
| * | Fidelity Investments | Fidelity Freedom K 2050 Fund | ** | 4,218,084 |
| * | Fidelity Investments | Fidelity Freedom K 2055 Fund | ** | 5,149,222 |
| * | Fidelity Investments | Fidelity Freedom K 2060 Fund | ** | 3,725,004 |
| * | Fidelity Investments | Fidelity Freedom K 2065 Fund | ** | 1,147,233 |
| * | Fidelity Investments | Fidelity Freedom K Income Fund | ** | 140,579 |
| * | Fidelity Investments | Fidelity Low Priced Stock | ** | 3,021,324 |
| * | Fidelity Investments | Fidelity Strategic Income Fund | ** | 1,586,616 |
| * | Fidelity Investments | Fidelity U.S. Bond Index Fund | ** | 1,025,255 |
| * | Fidelity Investments | Fidelity Small Cap Index Fund | ** | 1,540,598 |
| | Janus Investment Funds | Janus Enterprise Fund Class N | ** | 1,516,747 |
| | PIMCO | PIMCO Total Return Fund Institutional Class | ** | 983,624 |
| | Vanguard | Vanguard Explorer Fund Admiral Shares | ** | 1,680,488 |
| | Victory | Victory Established Value Fund Class A | ** | 2,398,438 |
| | | Subtotal of registered investment companies | | <u>95,616,900</u> |
| <i>Participant-directed brokerage accounts:</i> | | | | |
| */*** | Fidelity Investments | Fidelity Cash Reserves | ** | 75,312 |
| * | Various | Registered investment companies | ** | 39,142 |
| | | Subtotal of participant-directed brokerage accounts | | <u>114,454</u> |
| * | Participants | Participant loans from participants (interest rates ranging from 4.25% to 9.50%, maturity through December 2029) | ** | <u>176,118</u> |
| | | | | <u>\$ 101,211,261</u> |

* Represents a party-in-interest.

** Cost information not required for participant-directed investments

*** Included as interest-bearing cash on Form 5500

The information in the Schedule of Assets (Held at the end of the year) has been certified by Fidelity, the Trustee, as complete and accurate.

PEAK6 Group LLC 401(k) PS Plan

**Schedule H, Line 4a-Schedule of Delinquent Participant Contributions
Year Ended December 31, 2024**

Employer Identification Number: 34-4166492

Plan Number: 001

| | | | | |
|---|---|---|--|--|
| Participant contributions transferred late to the plan: \$ 269,726 | Total that constitute non-exempt transactions | | | |
| [x] Check here if late participant loan repayments are included: | Contributions not corrected: | Contributions corrected outside of VFCP | Contributions pending correction in VFCP | Total fully corrected under VFCP and PTE 2002-51 |
| 2023 | \$ 125,732 | \$ - | \$ - | \$ - |
| 2022 | \$ 143,994 | \$ - | \$ - | \$ - |

For 2022, participant contributions in the amount of \$143,994 were remitted untimely, and the Company deposited these participant contributions in 2022. For 2023, participant contributions in the amount of \$125,732 were remitted untimely, and the Company deposited these participant contributions in 2023. Pertaining to the deposits in the above schedule shown for 2022 through 2023, the Company will deposit the lost earnings in 2025, and Form 5330 for 2022 and 2023 delinquent participant contributions will be filed.