

Form 5500-SF

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration
Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500-SF.**

OMB Nos. 1210-0110
1210-0089

2024

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2024 or fiscal plan year beginning 01/01/2021 and ending 12/31/2021

- A** This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Pension Plan filers checking this box must attach Schedule MEP. Other plans must attach a list of participating employer information in accordance with the form instructions.)
- B** This return/report is the first return/report the final return/report
 an amended return/report a short plan year return/report (less than 12 months)
- C** Check box if filing under: Form 5558 automatic extension DFVC program
 special extension (enter description)
- D** If the plan is a collectively-bargained plan, check here ▶
- E** If this is a retroactively adopted plan permitted by SECURE Act section 201, check here ▶

Part II Basic Plan Information—enter all requested information

1a Name of plan ARTES CAPITAL FUND I MANAGER L 401(K) PROFIT SHARING PLAN & TRUST		1b Three-digit plan number (PN) ▶	001
		1c Effective date of plan	01/01/2020
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) ARTES CAPITAL FUND I MANAGER, LP		2b Employer Identification Number (EIN)	85-2464939
2829 TOWNSGATE RD STE 100 WESTLAKE VILLAGE, CA 91361-3015		2c Sponsor's telephone number	805-622-3079
2829 TOWNSGATE RD STE 100 WESTLAKE VILLAGE, CA 91361-3015		2d Business code (see instructions)	561110
3a Plan administrator's name and address <input checked="" type="checkbox"/> Same as Plan Sponsor.		3b Administrator's EIN	
		3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name ARTES CAPITAL FUND I MANAGER, L c Plan Name ARTES CAPITAL FUND I MANAGER L 401(K) PROFIT SHARING PLAN & TRUST		4b EIN	85-2464839
		4d PN	001
5a Total number of participants at the beginning of the plan year	5a		4
b Total number of participants at the end of the plan year	5b		7
c(1) Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item)	5c(1)		1
c(2) Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	5c(2)		7
d(1) Total number of active participants at the beginning of the plan year	5d(1)		4
d(2) Total number of active participants at the end of the plan year	5d(2)		7
e Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	5e		0

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	12/31/2025	DEREK MARTINEZ
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	12/31/2025	DEREK MARTINEZ
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

- 6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Yes No
- b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) Yes No
- If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.**
- c** If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined
- If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____ (See instructions.)

Part III Financial Information			
7		(a) Beginning of Year	(b) End of Year
a	Total plan assets	568	68003
b	Total plan liabilities	0	0
c	Net plan assets (subtract line 7b from line 7a)	568	68003
8		(a) Amount	(b) Total
a	Contributions received or receivable from:		
(1)	Employers	15858	
(2)	Participants	45627	
(3)	Others (including rollovers)	0	
b	Other income (loss)	6220	
c	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		67705
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	0	
e	Certain deemed and/or corrective distributions (see instructions) .	0	
f	Administrative service providers (salaries, fees, commissions)	270	
g	Other expenses	0	
h	Total expenses (add lines 8d, 8e, 8f, and 8g)		270
i	Net income (loss) (subtract line 8h from line 8c)		67435
j	Transfers to (from) the plan (see instructions)	0	

Part IV Plan Characteristics	
9a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2S 2T 3D
b	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part V Compliance Questions				
10		Yes	No	Amount
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program)		X	
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)		X	
c	Was the plan covered by a fidelity bond?	X		20000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)		X	
f	Has the plan failed to provide any benefit when due under the plan?		X	
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)		X	
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			

Part VI Pension Funding Compliance

11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and lines 11a and b below.) If this is a defined contribution pension plan, leave line 11 blank and complete line 12 below. Yes No

a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 **11a**

b PBGC missed contribution reporting requirements. If the plan is covered by PBGC and the amount reported on line 11a is greater than \$0, has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:
 Yes.
 No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.
 No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.
 No. Other. Provide explanation _____

12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) If this is a defined benefit pension plan, leave line 12 blank and complete line 11 above.

a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month _____ Day _____ Year _____

If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.

b Enter the minimum required contribution for this plan year **12b**

c Enter the amount contributed by the employer to the plan for this plan year **12c**

d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) **12d**

e Will the minimum funding amount reported on line 12d be met by the funding deadline?..... Yes No N/A

Part VII Plan Terminations and Transfers of Assets

13a Has a resolution to terminate the plan been adopted in any plan year? Yes No

a If "Yes," enter the amount of any plan assets that reverted to the employer this year..... **13a**

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? Yes No

c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	13c(2) EIN(s)	13c(3) PN(s)

Part VIII IRS Compliance Questions

14a Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? Yes No

14b If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).
 Design-based safe harbor method
 "Prior year" ADP test
 "Current year" ADP test
 N/A

15 If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter 08 / 31 / 2020 (MM/DD/YYYY) and the Opinion Letter serial number Q704150A.

12/31/2025

Reasonable Cause Request

Internal Revenue Service
Ogden, UT 84201-0018

RE: Response to Notice **CP-403 – Failure to file form 5500, Artes Capital Fund I Manager, LP**
EIN: 85-2464939

To Whom It May Concern:

We received the notice CP-403 referenced above regarding our 2023 annual form 5500 filing. After researching the issue we discovered our 401(k) plan provider, Paychex Flex, had unknowingly set up the tax ID as 85-2464839. While we had been submitting forms under this incorrect tax ID since tax year 2020, this was the first notice that I have any record of receiving in regard to a non-filing. If this issue had been caught earlier, it could have been corrected in previous years. We are in the process of filing amended forms for all incorrect years and have included a screenshot of the Department of Labor's website showing the timely filings with the incorrect EIN.

With the evidence of previous timely filings in conjunction with the amended returns being filed we would like to request the waiving of all penalties that may come from this clerical error.

Regards,

Derek Martinez
Controller
Artes Capital Fund I Manager, LP



Form 5500 Search

Show Filters Help

This search tool allows you to search for Form 5500 series returns/reports filed since January 1, 2010. Posting on the web does not constitute acceptance of the filing by the U.S. Department of Labor, the Pension Benefit Guaranty Corporation, or the Internal Revenue Service.

If your plan is part of a Defined Contribution Group (DCG) Reporting Arrangement, the search results will show the plan name listed on the Filings Form 5500 which is different than the plan name listed on the Schedule DCG. For more information on searching for a DCG filing, click the help page link above.

See the [CSV File Data Dictionary](#) and [Form 5500 Series Data Dictionary](#) for additional information regarding the data included in the downloads.

Use the box below to search for specific filings.

EIN [dropdown] [input field] Go!

X EIN: 85-2464939 85-2464839

Results Count: 4 Export to CSV Reset Form

1

	Plan Name	Plan Year	EIN/PN	Received	Sponsor	City, State	Participants	Assets
	ARTES CAPITAL FUND I MANAGER L 401(K) PROFIT SHARING PLAN & TRUST	2023	85-2464839 001	07/19/2024	Artes Capital Fund I Manager L	Westlake Village, CA	9	\$379,393
	ARTES CAPITAL FUND I MANAGER L 401(K) PROFIT SHARING PLAN & TRUST	2022	85-2464839 001	03/30/2023	Artes Capital Fund I Manager L	Westlake Village, CA	7	\$169,275
	ARTES CAPITAL FUND I MANAGER L 401(K) PROFIT SHARING PLAN & TRUST	2021	85-2464839 001	05/19/2022	Artes Capital Fund I Manager L	Westlake Village, CA	4	\$68,003
	ARTES CAPITAL FUND I MANAGER L 401(K) PROFIT SHARING PLAN & TRUST	2020	85-2464839 001	05/06/2021	Artes Capital Fund I Manager L	Westlake Village, CA	0	\$568

1