

<div>Form 5500</div> <div>Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Annual Return/Report of Employee Benefit Plan</div> <div>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ Complete all entries in accordance with the instructions to the Form 5500.</div>	<div>OMB Nos. 1210-0110 1210-0089</div> <div>2025</div> <div>This Form is Open to Public Inspection</div>
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Part I	Annual Report Identification Information
For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025	
A	This return/report is for: <div><div>☐ a multiemployer plan</div><div>☐ a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.)</div><div>☒ a single-employer plan</div><div>☐ a DFE (specify) _____</div></div>
B	This return/report is: <div><div>☐ the first return/report</div><div>☐ the final return/report</div><div>☐ an amended return/report</div><div>☐ a short plan year return/report (less than 12 months)</div></div>
C	If the plan is a collectively-bargained plan, check here. ▶ ☐
D	Check box if filing under: <div><div>☒ Form 5558</div><div>☐ automatic extension</div><div>☐ the DFVC program</div><div>☐ special extension (enter description)</div></div>
E	If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ▶ ☐

Part II	Basic Plan Information—enter all requested information
1a	Name of plan TRUIST FINANCIAL CORPORATION 401(K) SAVINGS PLAN
1b	Three-digit plan number (PN) ▶ 003
1c	Effective date of plan 07/01/1982
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) TRUIST FINANCIAL CORPORATION 214 N. TRYON STREET FLOOR 45 CHARLOTTE, NC 28202
2b	Employer Identification Number (EIN) 56-0939887
2c	Plan Sponsor's telephone number 800-716-2455
2d	Business code (see instructions) 522110

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	06/29/2026	STEVE REEDER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address ☐ Same as Plan Sponsor EMPLOYEE BENEFITS PLAN COMMITTEE TRUIST FINANCIAL CORPORATION 214 N. TRYON STREET CHARLOTTE, NC 28202	3b Administrator's EIN 56-0939887 3c Administrator's telephone number 800-716-2455 <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>	
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN	
5 Total number of participants at the beginning of the plan year	5	59476
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g(1) Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) g(2) Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6a(1) 6a(2) 6b 6c 6d 6e 6f 6g(1) 6g(2) 6h	38574 38984 1393 18241 58618 205 58823 54653 53573 0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

2E 2F 2G 2J 2K 2O 2R 2T 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1) ☒ **R** (Retirement Plan Information)
- (2) ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3) ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary
- (4) ☐ **DCG** (Individual Plan Information) – Number Attached _____
- (5) ☐ **MEP** (Multiple-Employer Retirement Plan Information)

b General Schedules

- (1) ☒ **H** (Financial Information)
- (2) ☐ **I** (Financial Information – Small Plan)
- (3) ☐ **A** (Insurance Information) – Number Attached 0
- (4) ☒ **C** (Service Provider Information)
- (5) ☒ **D** (DFE/Participating Plan Information)
- (6) ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2025 Form M-1 annual report. If the plan was not required to file the 2025 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2025
		This Form is Open to Public Inspection.

For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025		
A Name of plan TRUIST FINANCIAL CORPORATION 401(K) SAVINGS PLAN	B Three-digit plan number (PN) ▶	003
C Plan sponsor's name as shown on line 2a of Form 5500 TRUIST FINANCIAL CORPORATION	D Employer Identification Number (EIN) 56-0939887	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL
04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS, INC.

04-2654524

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	ADVISOR	2321431	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 60 64 65 71	RECORDKEEPER	545518	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

MERCER, LLC

30-0282430

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
31	FIDUCIARY	90000	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BBH LTD DURATION I - ALPS FUND SER 20-3247785	0.05%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RBC EMRG MKTS EQ I - U.S. BANK GLO 615 EAST MICHIGAN STREET MILWAUKEE, WI 53202	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WESTERN ASSET CORE PLUS BOND FUND I 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLSPRING SPECIAL MID CAP VLUE FD A 525 MARKET ST MAC A0103 122 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLSPRING SPECIAL MID CAP VLUE INST 525 MARKET ST MAC A0103 122 SAN FRANCISCO, CA 94105	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLSPRING SHORT TERMHIGH INCOME INS 525 MARKET ST MAC A0103 122 SAN FRANCISCO, CA 94105	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLSPRING PRECIOUS METALS FUND A 525 MARKET ST MAC A0103 122 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALLSPRING PRECIOUS METALS FUND INST 525 MARKET ST MAC A0103 122 SAN FRANCISCO, CA 94105	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH EMERGING MARKETS SMALL CAP 505 WAKARA WAY, STE 300 SALT LAKE CITY, UT 84108	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH EMERGING INDIA FUND 505 WAKARA WAY, STE 300 SALT LAKE CITY, UT 84108	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH CORE GROWTH INSTITUTIONAL 505 WAKARA WAY, STE 300 SALT LAKE CITY, UT 84108	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH INTERNATIONAL OPPORTUNITIES F 505 WAKARA WAY, STE 300 SALT LAKE CITY, UT 84108	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH MICRO-CAP VALUE FUND 505 WAKARA WAY, STE 300 SALT LAKE CITY, UT 84108	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH ULTRA GROWTH 505 WAKARA WAY, STE 300 SALT LAKE CITY, UT 84108	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH CORE GROWTH 505 WAKARA WAY, STE 300 SALT LAKE CITY, UT 84108	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EVERCORE EQUITY FUND 55 EAST 52ND ST, 36TH FL NEW YORK, NY 10055	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VIRTUS ZEVENBERGEN TECHNOLOGY INSTL 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VIRTUS SILVANT MID CAP GROWTH FUND 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS NEWFLEET LOW DUR CORE PLUS B 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS KAR MID CAP CORE FUND CLASS 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY PIONEER SHRT TERM INCOME FU 60 STATE STREET, 17TH FL. BOSTON, MA 02109	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VALUE LINE CAPITAL APPRECIATION INV 7 TIMES SQUARE, STE 1606 SARASOTA, FL 34236	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VALUE LINE ASSET ALLOCATION INVESTO 7 TIMES SQUARE, STE 1606 SARASOTA, FL 34236	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
UNDISCOVERED MANAGERBEHAVIORAL VAL 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LYRICAL US VALUE EQUITY FUND 225 PICTORIA DR, STE 450 CINCINNATI, OH 45246	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY NASDAQ-100 INDEX FUND 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY NASDAQ-100 INDEX FUND CL A 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HOLBROOK INCOME FD A 2933 NE 16TH ST PORTLAND, OR 97212	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HOLBROOK INCOME FUND CL I 2933 NE 16TH ST PORTLAND, OR 97212	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CROSSBRIDGE LOW DUR HIGH INCOME INS 615 E. MICHIGAN ST MILWAUKEE, WI 53202	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JENSEN QUALITY VALUEFUND CLASS J	811 E. WISCONSIN AVE, 8TH FL MILWAUKEE, WI 53202	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TRANSAMERICA MID CAP VALUE OPPORT C	4333 EDGEWOOD RD NE CEDAR RAPIDS, IA 52499	0.10%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TOUCHSTONE MID CAP FUND INSTL	303 BROADWAY, STE 1100 CINCINNATI, OH 45202-4203	0.07%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TOUCHSTONE SANDS CAP EMERG MRKTS GR 303 BROADWAY, STE 1100 CINCINNATI, OH 45202-4203	0.07%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TIMOTHY PLAN HIGH YIELD BOND CL A 80 ARKAY DR, STE 110 HAUPPAUGE, NY 11788	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THRIVENT SMALL CAP STOCK FUND CL S 4321 N. BALLARD ROAD APPLETON, WI 54919-0001	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NUVEEN INTL EQ INDEX FD R6 333 WEST WACKER DR CHICAGO, IL 60606	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STONE RIDGE HIGH YLD REINSRNC RSK 510 MADISON AVE NEW YORK, NY 10022	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STEWART COVERED CALL INCOME INSTL 15375 MEMORIAL DR., STE 200 HOUSTON, TX 77079	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STERLING CAPITAL SPECIAL OPP CLASS 434 FAYETTEVILLE ST 5TH FL RALEIGH, NC 27601	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STERLING CAPITAL SPECIAL OPP CLASS 434 FAYETTEVILLE ST 5TH FL RALEIGH, NC 27601	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STERLING CAPITAL EQUITY INCOME CL A 434 FAYETTEVILLE ST 5TH FL RALEIGH, NC 27601	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
STERLING CAPITAL EQUITY INCOME CL I 434 FAYETTEVILLE ST 5TH FL RALEIGH, NC 27601		0.10%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATISSE DISCOUNTED BD CEF 116 SOUTH FRANKLIN ST STRATEGY ROCKY MOUNT, NC 27804		0.08%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SMEAD VALUE FUND INVESTOR CL 1290 BROADWAY, STE 1100 SHARES DENVER, CO 80203		0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SMEAD INTERNATIONAL VALUE A SHARES 1290 BROADWAY, STE 1100 DENVER, CO 80203	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN SMALLCAP WORLD FUND CL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN SMALLCAP WORLD CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SHELTON S&P SMALL CAP INDEX INVESTO P.O. BOX 87 DENVER, CO 80201-0087	0.12%	
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SHELTON NASDAQ 100 INDEX INVESTOR P.O. BOX 87 DENVER, CO 80201-0087	0.15%	
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PALM VALLEY CAPITAL FUND INVESTOR 422 JACKSONVILLE DR JACKSONVILLE BEACH, FL 32250	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RYDEX BIOTECHNOLOGY CLASS H 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.09%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ROYCE SMALL-CAP OPPORTUNITY INVEST 745 FIFTH AVE STE 2400 NEW YORK, NY 10151	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ROYCE SMALL-CAP OPPORTUNITY SERVICE 745 FIFTH AVE STE 2400 NEW YORK, NY 10151	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE INTG USSM & MID CAP CO 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE INTRTD US SM CAP GR EQ 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE DIVERSDMID CAP GROWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE SMALL CAP VALUE FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE VALUE 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE EMERGING MKTS STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GLOBAL STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE INT'L VALUE EQUITY FUN 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE EMERG MKTS DIS STK INV 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE INTL DISCOVERY FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE NEW ERA 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE ALL CAPOPPORTUNITIES I 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE MID CAP GROWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE BLUE CHIP GROWTH INC 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE FINANCIAL SERVICES 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE CAP APPRECIATION 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE DIVIDEND GROWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RIVERNORTH/DOUBLE LINE STRATEGIC IN 325 NORTH LASALLE ST, STE 645 CHICAGO, IL 60654	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CAMPBELL SYSTEMATIC MACRO FUND CL I 47 HULFISH ST, STE 510 BALTIMORE, MD 21209	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM FLOATING RATE INCOME CL Z 655 BROAD ST NEWARK, NJ 07102	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM JENNISON GROWTH CL A 655 BROAD ST NEWARK, NJ 07102	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PABRAI WAGONS FUND RETAIL	777 EAST WISCONSIN AVE. MILWAUKEE, WI 53202	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THE OSTERWEIS STRATEGIC INCOME FD	777 E. WISCONSIN AVE, 4TH FL MILWAUKEE, WI 53202	0.15%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL BLUE CHIP FUND CL A	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL MID CAP FUND CLASS A	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL MID CAP INSTL	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630	0.15%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRIMECAP ODYSSEY STO CK FUND	2020 E. FINANCIAL WAY, STE 100 GLENORA, CA 91741	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRIMECAP ODYSSEY GROWTH FUND	2020 E. FINANCIAL WAY, STE 100 GLEN DORA, CA 91741	0.10%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE RETIREMENT 2045 FD	4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE TARGET 2050 FD	4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE RET 2020 FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GLOBAL TECHNOLOGY 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE HEALTH SCIENCES 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE GROWTH STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE COMM & TECHNOLOGY INVE 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO RAE EMERGING MARKETS FUND A 1633 BROADWAY NEW YORK, NY 10019	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO RAE US SMALL FUND A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO INCOME FUND CL A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO EMERGING MRKTSLOCAL CUR & BD 1633 BROADWAY NEW YORK, NY 10019	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO ALL ASSET FUNDCLASS A 1633 BROADWAY NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO ALL ASSET ALLAUTHORITY FD CL 1633 BROADWAY NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO INVESTMENT GR CREDIT BOND FUN 1633 BROADWAY NEW YORK, NY 10019	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CASTLE TANDEM FUND INSTL PO BOX 321054 ALEXANDRIA, VA 22320	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PERMANENT PORTFOLIO 600 MONTGOMERY ST STE 4100 SAN FRANCISCO, CA 94111-2702	0.38%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PEAR TREE QUALITY FUND ORDINARY 55 OLD BEDFORD ROAD LINCOLN, MA 01773	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS CORE EQUITY INVESTOR 1 MARKET ST STEUART TOWER STE 1600 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS VALUE EQUITY INVESTOR 1 MARKET ST STEUART TOWER STE 1600 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO EMERGING MARKETS BOND FUND A 1633 BROADWAY NEW YORK, NY 10019	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OBERWEIS INTL OPPORTUNITIES INVSTR	3333 WARRENVILLE RD, STE. 500 LISLE, IL 60532	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OBERWEIS MICRO CAP FUND	3333 WARRENVILLE RD, STE. 500 LISLE, IL 60532	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG AMERICAN FDS CONS INC STRAT CL	777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG AMERICAN FUNDS GROWTH STRAT CL 777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG BR TRGT ALLOCAT EQ STRATEGY FD 777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG SECTOR EQUITY BUS CYCLE STRAT R 777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG EQUITY INDEX FOCUSED STRATEGY R 777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG FIDELITY INST AMCORE PLUS BD ST 777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG JANUS HENDERSON BALANCED STRATE 777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THE TEBERG FUND INVESTOR CLASS 5161 MILLER TRUNK HIGHWAY DULUTH, MN 55811	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG MEEDER TACTICAL STRATEGY FUND R 777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG BNY MELLON DIVERSIFIER STRAT R 777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG JP MORGAN TACTICAGGRESSIVE STRA	777 108TH AVE NE, STE 2100 BELLEVUE, WA 98004	0.25%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HCM TACTICAL PLUS INVESTOR	1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HCM SECTOR PLUS INVESTOR	1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ABSOLUTE CAPITAL ASSET ALLOCATOR IN 101 PENNSYLVANIA BLVD PITTSBURGH, PA 15228	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HCM MULTI ASSET PLUSINVESTOR 1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVENOMIC INSTL CL 211 CONGRESS ST 7TH FL BOSTON, MA 02110	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NICHOLAS FUND 411 E. WISCONSIN AVE MILWAUKEE, WI 53202	0.12%	
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN NEW WORLD FUND CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18	
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN NEW PERSPECTIVE CL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW PERSPECTIVE CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW PERSPECTIVE CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN THE NEW ECONOMY FUND CL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW ECONOMYCLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW ECONOMYFUND CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL US DIVIDEND FD INV 100 SALEM ST SMITHFIELD, RI 02917	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL SMALL MID CAP INVS 100 SALEM ST SMITHFIELD, RI 02917	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL TACTICAL FX INC IN 100 SALEM ST SMITHFIELD, RI 02917	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL ACTIVE ALL CAP FD 100 SALEM ST SMITHFIELD, RI 02917	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL GROWTH FUND 100 SALEM ST SMITHFIELD, RI 02917	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL INTERNATIONAL FUND 100 SALEM ST SMITHFIELD, RI 02917	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NEEDHAM AGGRESSIVE GROWTH 445 PARK AVE 15TH FL NEW YORK, NY 10022	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EVENTIDE HEALTHCARE AND LIFE SCIENC 80 ARKAY STE 110 HAUPPAUGE, NY 11788	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CATALYST SYSTEMATIC ALPHA FD CL I 80 ARKAY STE 110 HAUPPAUGE, NY 11788	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EVENTIDE GILEAD FUND CLASS N 80 ARKAY STE 110 HAUPPAUGE, NY 11788	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RATIONAL SPECIAL SITUATIONS INC INS 80 ARKAY DR, STE 110 HAUPPAUGE, NY 11788	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY INSIGHT A 522 FIFTH AVE, 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY GROWTH PORTFOLIO I 522 FIFTH AVE, 4TH FL NEW YORK, NY 10036	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY GROWTH PORTFOLIO A 522 FIFTH AVE, 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY DISCOVERY PORT CL I 522 FIFTH AVE, 4TH FL NEW YORK, NY 10036	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MERCER NON US CORE EQUITY FUND I 99 HIGH STREET BOSTON, MA 02110	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS INDIA FUND 4 EMBARCADERO CENTER STE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS CHINA FUND 4 EMBARCADERO CENTER STE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS EMERG MRKTSSM COMPANIES IN 4 EMBARCADERO CENTER STE 550 SAN FRANCISCO, CA 94111	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS MASS INVESTOR GROWTH STOCK A 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MARSICO INTL OPPORTUNITIES INSTL 235 WEST GALENA ST MILWAUKEE, WI 53212	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HOOD RIVER SMALL CAPGROWTH INVESTOR 6001 SHADY OAK ROAD, STE 200 MINNETONKA, MN 55343	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NYLI MACKAY HIGH YIELD CORP BD CL A	30 HUDSON ST, 23RD FL ARLINGTON, NJ 07032	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MADISON MID CAP FUNDCL Y	550 SCIENCE DR MADISON, WI 53711	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS GLOBAL EQUITY CLASS A	111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS BLENDED RESEARCHMID CAP EQUITY 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS INTERNATIONAL DIVERSIFICATION F 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS MID CAP VALUE FUND CL I 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT VALUE OPPORTUNITIES CL 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.45%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT DEVELOP GROWTH CLASS A 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.45%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT SHORT DURATION INCOME C 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LORD ABBETT SHORT DURATION INCOME C 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LORD ABBETT GROWTH LEADERS FUND CL 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.45%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LOOMIS SAYLES GROWTH CL A 399 BOYLSTON ST, 8TH FL BOSTON, MA 02116	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LOCORR MARKET TREND CLASS I 261 SCHOOL AVE, 4TH FL EXCELSIOR, MN 55331	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LOCORR HEDGED CORE FUND CLASS I 261 SCHOOL AVE, 4TH FL EXCELSIOR, MN 55331	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LOCORR LONG/SHORT COMMODITIES STRGY 261 SCHOOL AVE, 4TH FL EXCELSIOR, MN 55331	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOCORR MACRO STRATEGIES FD CL I	261 SCHOOL AVE, 4TH FL EXCELSIOR, MN 55331	0.15%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CLEARBRIDGE LARGE CAP GROWTH A	100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CLEARBRIDGE SMALL CAP FUND CLASS I	100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LAZARD GLOBAL LISTEDINFRASTRUCTURE IN	30 ROCKEFELLER PLAZA, 57TH FL. NEW YORK, NY 10112	0.15%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
KINETICS PARADIGM FUND	470 PARK AVE SOUTH NEW YORK, NY 10016	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN LARGE CAP VALUE CLASS I	1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN LARGE CAP GROWTH CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN LARGE CAP GROWTH CLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN EQUITY INCOME FUND CL A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN STRATEGIC INCOME OPPS - CL	1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN INTERNATNL FOCUS FUND CL I	1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.08%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JOHNSON INSTL CORE BOND FUND	3777 WEST FORK ROAD CINCINNATI, OH 45247	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JOHN HANCOCK DISCIPLINED VALUE I 601 CONGRESS ST., 9TH FL. BOSTON, MA 02210	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JENSEN QUALITY GROWTH FD CL J 811 E. WISCONSIN AVE, 8TH FL. MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON ENTERPRISE I 151 DETROIT ST. DENVER, CO 80206	\$15	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON BALANCED I 151 DETROIT ST. DENVER, CO 80206	\$15	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON GLOB TECH & INNOV T 151 DETROIT ST. DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JP MORGAN HEDGED EQUITY 2 FD I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN HEDGED EQUITY CLASS I	1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WCM INTL SMALL CAP GROWTH INSTL CL	2220 EAST ROUTE 66, STE 226 GLEN DORA, CA 91740	0.12%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EUROPAC GOLD FUND CL I	LOTS 81 82, ST C, STE 204 DORADO, PR 00646	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EUROPAC GOLD FUND CLASS A LOTS 81 82, ST C, STE 204 DORADO, PR 00646	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
IRONCLAD MANAGED RISK FUND 2220 E. ROUTE 66, STE 226 GLENDDORA, CA 91740	\$16	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WCM FOCUSED INTL GROWTH FUND INSTL 2220 EAST ROUTE 66, STE 226 GLENDDORA, CA 91740	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WCM FOCUSED INTL GROWTH FUND INVEST	2220 EAST ROUTE 66, STE 226 GLENDDORA, CA 91740	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN INVESTMENT CO. OF AMERICA	3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN INVESTMENT CO OF AMERICA C	3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN INVESTMNT CO OF AMERICA CL 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INTREPID CAPITAL FUND INVESTOR CLAS 1400 MARSH LANDING PKWY. STE 106 JACKSONVILLE BEACH, FL 32250	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THE INTERNET FUND 470 PARK AVE SOUTH NEW YORK, NY 10016	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INTEGRITY DIVIDEND HARVEST FUND CL 1 MAINST NORTH MINOT, ND 58703	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN INCOME FUND OF AMERICA CLAS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CENTRE AMERICAN SEL EQUITY FUND ADV 1290 BROADWAY, SUITE 1100 DENVER, CO 80203	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HENNESSY FOCUS FUND INVESTOR CLASS	7250 REDWOOD BLVD., STE 200 NOVATO, CA 94945	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HENNESSY CORNERSTONEGROWTH INV CL	7250 REDWOOD BLVD., STE 200 NOVATO, CA 94945	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARTFORD CAPITAL APPRECIATION CL A	100 MATSONFORD RD, STE 300 RADNOR, PA 19087	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD SMALL COMPANY CLASS A 100 MATSONFORD RD, STE 300 RADNOR, PA 19087	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK INTL ADVISOR FUND 111 SOUTH WACKER DR. CHICAGO, IL 60606	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK FUND INVESTOR CLASS 111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARDING LOEVNER INTL EQUITY PORT IN PO BOX 4766 CHICAGO, IL 60680	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARBOR CAP APP INST 111 S. WACKER DR, 34TH FL CHICAGO, IL 60606	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARBOR INTL INST 111 S. WACKER DR, 34TH FL CHICAGO, IL 60606	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUGGENHEIM TOTAL RETURN BOND CL P 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUGGENHEIM LIMITED DURATION CL INST 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN GROWTH FUND OF AMERICA CL F 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN GROWTH FUNDOF AMERICA CLAS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN GROWTH FUNDOF AMERICA CLAS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GRANDEUR PEAK GLOBAL CONTRARIAN INS 1290 BROADWAY STREET, SUITE 1100 DENVER, CO 80203	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS GQG PART INTERNTL OPP 71 S. WACKER DR, 4TH FL CHICAGO, IL 60606	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS GQG PARTNERS INTL OPP 71 S. WACKER DR, 4TH FL CHICAGO, IL 60606	0.17%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GLENMEDE LARGE CAP GROWTH 1650 MARKET ST. STE 1200 PHILADELPHIA, PA 19103	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GABELLI GOLD FUND CLAAA 401 THEODORE FREMD. AVE. RYE, NY 10580	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDAMENTALINVESTORS CL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN DYNATECH FUND A 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	\$16	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PAYSON TOTAL RETURN FUND TWO PORTLAND SQUARE SMITHFIELD, RI 02917	\$12	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRST EAGLE GLOBAL CLASS A 1345 AVE OF THE AMERICAS 48TH FLR NEW YORK, NY 10105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEAFARER OVERSEAS VALUE FUND RETAIL 1290 BROADWAY, SUITE 1100 DENVER, CO 80203	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEAFARER OVERSEAS GROWTH & INC INST 1290 BROADWAY, STE 1100 DENVER, CO 80203	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES TTL RETURN BOND CL 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.05%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES STR VAL DIV CL IS 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FMI COMMON STOCK FUND	777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FPA CRESCENT FUND	11601 WILSHIRE BLVD, STE 1200 LOS ANGELES, CA 90025	0.35%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE SHT DUR STRATEGIC INC F	TWO INTERNATIONAL PLACE BOSTON, MA 02110	\$16

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARAMETRIC COMMODITYSTRATEGIC CL TWO INTERNATIONAL PLACE A BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EATON VANCE ATLANTA CAP SMID CAP TWO INTERNATIONAL PLACE FD BOSTON, MA 02110	\$16	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EATON VANCE ATLANTA CAP SMID CAP TWO INTERNATIONAL PLACE FD BOSTON, MA 02110	\$16	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DRIEHAUS SMALL CAP GROWTH INVESTOR 25 EAST ERIE ST CHICAGO, IL 60611	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DRIEHAUS EMERGING MARKETS 25 EAST ERIE ST CHICAGO, IL 60611	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DOUBLELINE LOW DURATION CL N 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE SHILLER ENHANCED CAP CL 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.06%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE FLEXIBLE INCOME CL I 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.06%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE EMERGING MRKT FIXED INC 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE CORE FIXED INCOME FD CL 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE TOTAL RT BOND FD CL N 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE TOTAL RETURN BOND FD CL 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.06%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DIAMOND HILL SMALL MID CAP INVESTOR 325 JOHN H MCCONNELL BLVD STE 200 COLUMBUS, OH 43215		0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DIAMOND HILL SHORT DURAT SEC BOND I 325 JOHN H MCCONNELL BLVD STE 200 COLUMBUS, OH 43215		0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DWS RREEF REAL ASSETS FUND INSTL CL 280 PARK AVE, 9TH FLR NEW YORK, NY 10026		0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DWS SMALL CAP CORE FUND INSTL	280 PARK AVE, 9TH FLR NEW YORK, NY 10026	0.15%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NOMURA SMALL CAP VALUE CLASS A	2005 MARKET ST PHILADELPHIA, PA 19103	0.10%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BRANDES SMALL CAP VALUE I	11988 EL CAMINO RALO STE 500 SAN DIEGO, CA 92130	0.12%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA US LARGE CAP VALUE PRTF INSTL 6300 BEE CAVES ROAD, BUILDING ONE AUSTIN, TX 78746	0.02%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA US SMALL CAP VALUE PRTF INSTL 6300 BEE CAVES ROAD, BUILDING ONE AUSTIN, TX 78746	0.02%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA INTERNATIONAL SMALL COMPANY POR 6300 BEE CAVES ROAD, BUILDING ONE AUSTIN, TX 78746	0.02%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA US MICRO CAP PRTF INSTL	6300 BEE CAVES ROAD, BUILDING ONE AUSTIN, TX 78746	0.02%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA US CORE EQUITY I	6300 BEE CAVES ROAD, BUILDING ONE AUSTIN, TX 78746	0.02%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA INTERNATIONAL CORE EQUITY	6300 BEE CAVES ROAD, BUILDING ONE AUSTIN, TX 78746	0.02%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CULLEN EMERGING MRKT HIGH DIVIDEND 645 FIFTH AVE NEW YORK, NY 10022	0.12%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CONESTOGA SMALL CAP INVESTOR CLASS 225 PICTORIA DR, STE 450 CINCINNATI, OH 45246	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA DIVIDEND INCOME FUND CL I2 225 FRANKLIN ST, BX25 10320 BOSTON, MA 02110	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SELIGMAN TECH AND INFO CL 225 FRANKLIN ST, BX25 10320 BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA DIVIDEND INCOME CL A 225 FRANKLIN ST, BX25 10320 BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REAL ASSET FUND CL I 280 PARK AVE, 10TH FL NEW YORK, NY 10017	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REALTY I 280 PARK AVE, 10TH FL NEW YORK, NY 10017	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLIPPER 2949 EAST ELVIRA ROAD, STE 101 TUCSON, AZ 85706	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CARILLON SCOUT MID CAP FUND CL I P. O. BOX 33022 ST PETERSBURG, FL 33733-8022	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FULLERTHALER BEHV SMALL CAP GROWTH 411 BOREL AVE, STE 300 SAN MATEO, CA 94402	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FULLERTHALER BEHV SM CAP GROWTH INS 411 BOREL AVE, STE 300 SAN MATEO, CA 94402	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CAPITAL INCOME BUILDER CL 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CANTOR GROWTH EQUITY FUND INSTL 225 PICTORIA DR, STE 450 CINCINNATI, OH 45246	0.12%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT EMERGING MARKETS EQUITY CL 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BUFFALO SMALL CAP GROWTH 615 E. MICHIGAN ST MILWAUKEE, WI 53202	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BUFFALO MID CAP DISCOVERY 615 E. MICHIGAN ST MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BOND FUND OF AMERICA CLASS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK STRATEGIC INCOME OPPRTSI 40 EAST 52ND ST NEW YORK, NY 10022	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK COMMODITY STRATEGIES FUND 40 EAST 52ND ST NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK TECHNOLOGY OPPORTUNITIES C 40 EAST 52ND ST NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON EMERGING MARKETS FUND INSTL 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON REAL ESTATE FUND INSTITUTIONAL	767 5TH AVE 49TH FL NEW YORK, NY 10153	0.15%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON REAL ESTATE FUND RETAIL	767 5TH AVE 49TH FL NEW YORK, NY 10153	0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON FOCUSED GROWTH CLASS I	767 5TH AVE 49TH FL NEW YORK, NY 10153	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON PARTNERS FUND INSTL CLASS 767 5TH AVE 49TH FL NEW YORK, NY 10153		0.15%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON PARTNERS FUND 767 5TH AVE 49TH FL NEW YORK, NY 10153		0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON DURABLE ADVANTAGE FD RETAIL 767 5TH AVE 49TH FL NEW YORK, NY 10153		0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON GROWTH 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BAIRD AGGREGATE BOND FUND INSTL 777 E. WISCONSIN AVE, 18TH FL MILWAUKEE, WI 53202	0.03%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON SMALL MID CAP GROWTH C 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.09%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN INTL VALUE FUND INV CL 875 E WISCONSIN AVE STE 800 MILWAUKEE, WI 53202	0.39%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN GLOBAL VALUE FD INVT SHARES 875 E WISCONSIN AVE STE 800 MILWAUKEE, WI 53202	0.39%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN GLOBAL OPPORTUNITIES INV 875 E WISCONSIN AVE STE 800 MILWAUKEE, WI 53202	0.39%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN INTL VALUE FUND ADVISOR 875 E WISCONSIN AVE STE 800 MILWAUKEE, WI 53202	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN INTERNATL INVESTOR CLASS 875 E WISCONSIN AVE STE 800 MILWAUKEE, WI 53202	0.39%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ANCORA MICROCAP FD CL I 6060 PARKLAND BLVD. CLEVELAND, OH 44122	0.08%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN MUTUAL FUNDCL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FUNDS CONV GRWTH & INCM PO 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN 2045 TARGETDATE RETIREMENT 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDS GRWTH& INC PORTFOLIO 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY SMLCAP VAL CLASS I 4400 MAIN ST 1ST FL KANSAS CITY, MO 64111	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BEACON ARK TRANS INNOVTN I 220 E LAS COLINAS BLVD, STE 1200 IRVING, TX 75039	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BALANCED CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN AMCAP FUND F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN AMCAP CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA MUTUAL FUND TRUST GROWTH 1300 NORTH STATE ST BELLINGHAM, WA 98225	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA MUTUAL FUND TRUST INCOME 1300 NORTH STATE ST BELLINGHAM, WA 98225	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HILLMAN VALUE FUND 7250 WOODMONT AVE STE 310 BETHESDA, MD 20814	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB SMALL CAP GROWTH PORT CLASS A 8000 IH 10 W, STE 1400, 14TH FL SAN ANTONIO, TX 78230	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB LARGE CAP GROWTH CLASS A 8000 IH 10 W, STE 1400, 14TH FL SAN ANTONIO, TX 78230	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALGER FOCUS EQUITY CL I 600 PLAZA ONE, 6TH FL JERSITY CITY, NJ 07311	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALGER DYNAMIC OPPORTUNITIES CL A 600 PLAZA ONE, 6TH FL JERSEY CITY, NJ 07311	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALGER SMALL CAP FOCUS CLASS A 600 PLAZA ONE, 6TH FL JERSEY CITY, NJ 07311	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO GLOBAL OPPORTUNITIES A 11 GREENWAY PLAZA, STE 100 HOUSTON, TX 77046	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ECOFIN GLOBAL REN INFRASTRUCTURE IN 140 WILLIAM STREET OAKS, PA 19456	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RIVER CANYON TOTAL RETURN BOND INST 2000 AVE OF THE STARS 11TH FL LOS ANGELES, CA 90067	0.12%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ACADIAN EMERGING MARKETS PORT INSTL 1 FREEDOM VALLEY DR OAKS, PA 19456	0.12%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AEGIS VALUE FUND INC 6862 ELM ST, STE 830 MCLEAN, VA 22101	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR MULTI-ASSET FUNDCLASS N 1 GREENWICH PLAZA GREENWICH, CT 06830	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR SMALL CAP MULTI STYLE FUND CL N 1 GREENWICH PLAZA GREENWICH, CT 06830	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR LONG SHORT EQUITY FUND CL I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR EQUITY MARKET NEUTRAL FD CL I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR DIVERSIFYING STRATEGIES FUND CL 1 GREENWICH PLAZA GREENWICH, CT 06830	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR TREND TOTAL RETURN CLASS N 1 GREENWICH PLAZA GREENWICH, CT 06830	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR TREND TOTAL RETURN FUND I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR MS FUSION HV FD N 1 GREENWICH PLAZA GREENWICH, CT 06830	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG YACKTMAN FOCUSED N 600 STEAMBOAT RD, STE 300 GREENWICH, CT 06830	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVESCO VALUE OPPORTUNITIES FUND A 11 GREENWAY PLAZA, STE 100 HOUSTON, TX 77046	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVESCO STEELPATH MLP ALPHA PLUS A 11 GREENWAY PLAZA, STE 100 HOUSTON, TX 77046	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO DIVIDEND INCOME FUND A 11 GREENWAY PLAZA, STE 100 HOUSTON, TX 77046	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

<div>SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration</div>	<div>DFE/Participating Plan Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2025</div> <div>This Form is Open to Public Inspection.</div>
For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025		
A Name of plan TRUIST FINANCIAL CORPORATION 401(K) SAVINGS PLAN		B Three-digit plan number (PN) ▶ 003
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 TRUIST FINANCIAL CORPORATION		D Employer Identification Number (EIN) 56-0939887
Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)		
a Name of MTIA, CCT, PSA, or 103-12 IE: BROWN ADV SCG CIT		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 81-5235976-091	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2070		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 88-6098744-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 9379385
a Name of MTIA, CCT, PSA, or 103-12 IE: SP SMALL CP IND CL F		
b Name of sponsor of entity listed in (a): GEODE CAPITAL MANAGEMENT TRUST		
c EIN-PN 82-6293122-009	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 182955190
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2040		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6941351-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 509241758
a Name of MTIA, CCT, PSA, or 103-12 IE: SP 500 INDEX PL CL F		
b Name of sponsor of entity listed in (a): GEODE CAPITAL MANAGEMENT TRUST		
c EIN-PN 82-6293122-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1951250328
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2035		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6941311-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 515456627
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2055		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6948719-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 224112201
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule D (Form 5500) 2025 v. 250312		

a Name of MTIA, CCT, PSA, or 103-12 IE: FIAM US BOND INX D		
b Name of sponsor of entity listed in (a): FIAM TRUST COMPANY		
c EIN-PN 20-4659714-106	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 24357974
a Name of MTIA, CCT, PSA, or 103-12 IE: BROWN ADV SCG CIT		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 81-0930773-091	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 75189012
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2065		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 82-6200492-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 49536886
a Name of MTIA, CCT, PSA, or 103-12 IE: TRP US MD-CP GR EQ E		
b Name of sponsor of entity listed in (a): T. ROWE PRICE TRUST COMPANY		
c EIN-PN 35-2425738-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 155322987
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2025		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6938034-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 283517992
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2060		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6948754-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 107507205
a Name of MTIA, CCT, PSA, or 103-12 IE: MFS MID CAP VALUE 5		
b Name of sponsor of entity listed in (a): MFS HERITAGE TRUST COMPANY		
c EIN-PN 57-1187281-024	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 182020964
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2045		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6944355-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 458092122
a Name of MTIA, CCT, PSA, or 103-12 IE: SP LC VALUE INDEX F		
b Name of sponsor of entity listed in (a): GEODE CAPITAL MANAGEMENT TRUST		
c EIN-PN 82-6293122-004	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 677418214
a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET INC		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6930815-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 105095687

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2030		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6938065-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 476058982

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2050		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6944390-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 337285129

a Name of MTIA, CCT, PSA, or 103-12 IE: MFS GROWTH 5		
b Name of sponsor of entity listed in (a): MFS HERITAGE TRUST COMPANY		
c EIN-PN 57-1187281-015	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 727975882

a Name of MTIA, CCT, PSA, or 103-12 IE: SP GLB EXUS IDX CL F		
b Name of sponsor of entity listed in (a): GEODE CAPITAL MANAGEMENT TRUST		
c EIN-PN 82-6293122-005	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 357591164

a Name of MTIA, CCT, PSA, or 103-12 IE: VANGUARD TARGET 2020		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 47-6935530-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 135772421

a Name of MTIA, CCT, PSA, or 103-12 IE: VANG RET SVGS TR II		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 45-5455475-023	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 196508454

a Name of MTIA, CCT, PSA, or 103-12 IE: SP MID CAP IDX CL F		
b Name of sponsor of entity listed in (a): GEODE CAPITAL MANAGEMENT TRUST		
c EIN-PN 82-6293122-013	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 76504545

a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II**Information on Participating Plans (to be completed by DFEs, other than DCGs)**

(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

<div>SCHEDULE H</div> <div>(Form 5500)</div> <div>Department of the Treasury Department of the Treasury Internal Revenue Service</div> <div>Department of Labor Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Financial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>► File as an attachment to Form 5500.</div>	OMB No. 1210-0110
		2025
		This Form is Open to Public Inspection

For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025

A Name of plan TRUIST FINANCIAL CORPORATION 401(K) SAVINGS PLAN	B Three-digit plan number (PN) ► 003
C Plan sponsor's name as shown on line 2a of Form 5500 TRUIST FINANCIAL CORPORATION	D Employer Identification Number (EIN) 56-0939887

Part I	Asset and Liability Statement		
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	342723	458508
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	36317643	0
(2) Participant contributions.....	1b(2)	0	0
(3) Other	1b(3)	0	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	365264190	388354167
(2) U.S. Government securities	1c(2)	7989144	218196965
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	65166396
(B) All other	1c(3)(B)	2252992	77612016
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	2061980	4230646
(B) Common	1c(4)(B)	178351581	238453903
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans	1c(8)	99028798	99023368
(9) Value of interest in common/collective trusts	1c(9)	6800317562	7818151109
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	1231177344	774730446
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	0	0
(15) Other.....	1c(15)	11106	7329046

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities.....	1d(1)	507886676	535911991
(2) Employer real property.....	1d(2)	0	0
1e Buildings and other property used in plan operation	1e	0	0
1f Total assets (add all amounts in lines 1a through 1e).....	1f	9231001739	10227618561

Liabilities

1g Benefit claims payable	1g	0	0
1h Operating payables	1h	0	0
1i Acquisition indebtedness.....	1i	0	0
1j Other liabilities.....	1j	0	0
1k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

1l Net assets (subtract line 1k from line 1f).....	1l	9231001739	10227618561
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	144337272	
(B) Participants	2a(1)(B)	317609550	
(C) Others (including rollovers).....	2a(1)(C)	72615061	
(2) Noncash contributions.....	2a(2)	0	
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		534561883
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit).....	2b(1)(A)	15672952	
(B) U.S. Government securities	2b(1)(B)	1654509	
(C) Corporate debt instruments	2b(1)(C)	1332208	
(D) Loans (other than to participants)	2b(1)(D)	0	
(E) Participant loans.....	2b(1)(E)	8170791	
(F) Other	2b(1)(F)	70253	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		26900713
(2) Dividends: (A) Preferred stock.....	2b(2)(A)	162601	
(B) Common stock	2b(2)(B)	24335694	
(C) Registered investment company shares (e.g. mutual funds).....	2b(2)(C)	50823863	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		75322158
(3) Rents	2b(3)		0
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	699799640	
(B) Aggregate carrying amount (see instructions).....	2b(4)(B)	686285747	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		13513893
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	
(B) Other	2b(5)(B)	87840459	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		87840459

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		1112613074
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		104900619
c Other income	2c		0
d Total income. Add all income amounts in column (b) and enter total	2d		1955652799

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	955418065	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		955418065
f Corrective distributions (see instructions)	2f		117578
g Certain deemed distributions of participant loans (see instructions)	2g		163715
h Interest expense	2h		0
i Administrative expenses:			
(1) Salaries and allowances	2i(1)	0	
(2) Contract administrator fees	2i(2)	12000	
(3) Recordkeeping fees	2i(3)	521991	
(4) IQPA audit fees	2i(4)	0	
(5) Investment advisory and investment management fees	2i(5)	2430686	
(6) Bank or trust company trustee/custodial fees	2i(6)	0	
(7) Actuarial fees	2i(7)	0	
(8) Legal fees	2i(8)	0	
(9) Valuation/appraisal fees	2i(9)	0	
(10) Other trustee fees and expenses	2i(10)	0	
(11) Other expenses	2i(11)	92910	
(12) Total administrative expenses. Add lines 2i(1) through (11)	2i(12)		3057587
j Total expenses. Add all expense amounts in column (b) and enter total	2j		958756945

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		996895854
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		279032

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☐ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☒ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **CARR, RIGGS AND INGRAM L.L.C.**

(2) EIN: **72-1396621**

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) ☐ This form is filed for a CCT, PSA, DCG or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

	Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)		☒	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)		☒	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		☒	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		☒	
e Was this plan covered by a fidelity bond?	☒		250000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		☒	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		☒	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		☒	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	☒		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)		☒	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		☒	
l Has the plan failed to provide any benefit when due under the plan?		☒	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		☒	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.		☒	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)
STERLING CAPITAL 401(K) SAVINGS PLAN	42-1658828	002

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

<div>SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Retirement Plan Information</div> <div>This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>▶ File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2025</div> <div>This Form is Open to Public Inspection.</div>
For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025		
A Name of plan TRUIST FINANCIAL CORPORATION 401(K) SAVINGS PLAN		B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 TRUIST FINANCIAL CORPORATION		D Employer Identification Number (EIN) 56-0939887
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 04-6568107		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....		3
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A		
If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____		
If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2025 v. 250312		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) and (b):

a Enter the percentage of plan assets held as:

Public Equity: _____% Private Equity: _____% Investment-Grade Debt and Interest Rate Hedging Assets: _____%

High-Yield Debt: _____% Real Assets: _____% Cash or Cash Equivalents: _____% Other: _____%

b Provide the average duration of the Investment-Grade Debt and Interest Rate Hedging Assets:

☐ 0-5 years ☐ 5-10 years ☐ 10-15 years ☐ 15 years or more

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation.....

Part VII IRS Compliance Questions

- 21a** Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? ☐ Yes ☒ No

- 21b** If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).

☒ Design-based safe harbor method

☐ "Prior year" ADP test

☒ "Current year" ADP test

☐ N/A

- 22** If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter ____/____/____ (MM/DD/YYYY) and the Opinion Letter serial number_____.

Truist Financial Corporation 401(k) Savings Plan

Financial Statements and Supplemental Schedule

December 31, 2025 and 2024

Truist Financial Corporation 401(k) Savings Plan
Index
December 31, 2025 and 2024

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*Other schedules required by Section 2520.103-10 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA have been omitted because they are not applicable.



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INDEPENDENT AUDITOR'S REPORT

Plan Participants of the Truist Financial Corporation 401(k) Savings Plan and
Members of the Compensation and Human Capital Committee of Truist Financial Corporation
Charlotte, North Carolina

Opinion

We have audited the accompanying financial statements of Truist Financial Corporation 401(k) Savings Plan, an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of December 31, 2025 and 2024, and the related statement of changes in net assets available for benefits for the year ended December 31, 2025, and the related notes to the financial statements.

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of Truist Financial Corporation 401(k) Savings Plan as of December 31, 2025 and 2024, and the changes in its net assets available for benefits for the year ended December 31, 2025, in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of Truist Financial Corporation 401(k) Savings Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about Truist Financial Corporation 401(k) Savings Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of Truist Financial Corporation 401(k) Savings Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about Truist Financial Corporation 401(k) Savings Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Supplemental Schedule Required by ERISA

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year) is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedule is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content are presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Carr, Riggs & Ingram, L.L.C.

CARR, RIGGS & INGRAM, L.L.C.

Atlanta, Georgia
June 25, 2026

Truist Financial Corporation 401(k) Savings Plan
Statements of Net Assets Available for Benefits
December 31, 2025 and 2024

	2025	2024
Assets		
Investments, at fair value	\$ 10,128,595,193	\$ 9,095,655,298
Employer contribution receivable	—	36,317,643
Notes receivable from participants	99,023,368	99,028,798
Net assets available for benefits	\$ 10,227,618,561	\$ 9,231,001,739

The accompanying notes are an integral part of these financial statements.

Truist Financial Corporation 401(k) Savings Plan
Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2025

	2025
Additions to (deductions from) net assets attributable to:	
Investment income	
Interest and dividends	\$ 94,052,079
Net appreciation in fair value of investments	1,318,868,046
Net investment income	<u>1,412,920,125</u>
Interest income on notes receivable from participants	8,170,791
Contributions	
Employer	144,337,272
Employee	317,609,550
Rollovers	72,615,061
Total contributions	<u>534,561,883</u>
Total additions	<u>1,955,652,799</u>
Benefits paid to participants	(955,699,358)
Administrative expenses	(3,057,587)
Total deductions	<u>(958,756,945)</u>
Net increase prior to transfer to other plans	996,895,854
Transfers to other plans (Note 3)	(279,032)
Net increase	<u>996,616,822</u>
Net assets available for benefits	
Beginning of year	9,231,001,739
End of year	<u>\$ 10,227,618,561</u>

The accompanying notes are an integral part of these financial statements.

1. Description of the Truist Financial Corporation 401(k) Savings Plan

The following description of the Truist Financial Corporation 401(k) Savings Plan (the “Plan”) provides only general information. Participants should refer to the Plan document for a more complete description of the Plan’s provisions.

General

The Plan is a defined contribution plan sponsored by Truist Financial Corporation (the “Corporation” or “Plan Sponsor”). The Plan, which was established effective July 1, 1982 and amended and restated as of August 1, 2020, is subject to the provisions of the Employee Retirement Income Security Act of 1974 (“ERISA”), as amended.

The Compensation and Human Capital Committee of the Board of Directors of the Plan Sponsor (“Board”) is responsible for oversight of the Plan, including the appropriateness of the Plan’s investment offerings, and monitoring of investment performance. In accordance with the Plan document, certain of the Board’s responsibilities have been delegated to the Employee Benefits Plan Committee.

All subsidiaries of the Corporation, except our non-US companies, participate in the Plan.

During 2024, the Plan Sponsor divested certain subsidiaries. As part of these divestitures, Truist Insurance Holdings, Inc., including the CRC Insurance Services, Inc., and AmRisc, LLC, subsidiaries, and Sterling Capital Management, LLC were sold to unrelated parties. All these listed subsidiaries were participating subsidiaries in the Plan. However, effective January 1, 2024, all divested subsidiaries except Sterling Capital Management, LLC, began participating in a separate 401(k) Plan which was sponsored by Truist Insurance Holdings, LLC.

Custodian and recordkeeping duties for the Plan were performed by Fidelity Management Trust Company (“Fidelity”).

Eligibility for Participation

The Plan covers all employees of participating subsidiaries who meet age and service requirements. Employees are eligible to make salary reduction contributions the first day of the month following employment with the Corporation and are eligible to receive matching contributions after attaining the age of 21 with one year of continuous employment in which they have worked at least 1,000 hours. Participation in the Plan is based on voluntary election by each employee.

Contributions

Participants can elect to contribute between 0.01% and 50.00% of their eligible earnings, as defined in the Plan document, on a pre-tax basis subject to certain Internal Revenue Code (“IRC”) limitations. The Plan also has a Roth feature that allows for after-tax contributions. Eligible participants who have attained the age of 50 before the close of the plan year may make catch-up contributions in accordance with Plan provisions and IRS limits. Participants may make changes in their contribution percentage at any time. Allocations among fund options offered by the Plan may be changed on a daily basis. Participants may also contribute funds from other tax-qualified plans as rollover contributions.

The Plan Sponsor will match participant contributions (other than catch-up contributions), subject to certain IRC limitations, using a formula of 100% match on the first 4% deferred in the form of Safe Harbor contributions. In addition, the Plan allows for a discretionary match if approved by the Board of Directors.

Vesting

Participants are vested immediately in their contributions, employer matching and profit sharing contributions and actual earnings allocated to their account. Non-vested employer matching contributions may occur as a result of participants in predecessor plans that have terminated their employment with their employer.

Notes Receivable from Participants

Participants may borrow from their account balances in accordance with Plan provisions. Loan amounts are limited to the lesser of \$50,000 (reduced by the highest outstanding loan balance during the preceding twelve months) or 50% of the participant’s vested account balance, with a minimum loan amount of \$1,000. Loans generally must be repaid through payroll deductions over a period ranging from 12 to 60 months and bear interest at a rate equal to Truist Bank prime rate plus 1% at the date of origination. Participants are limited to one loan outstanding at any time. Loans are secured by the participant’s account balance.

Payment of Benefits

Upon termination of employment, retirement, death, or disability, a participant (or beneficiary) is entitled to receive the vested portion of the participant's account balance in accordance with the Plan. Distributions may be made in a lump sum, in installments over a specified period, or through a direct rollover to an eligible retirement plan or individual retirement account, as elected by the participant (or beneficiary). Distribution of account balances may be deferred subject to applicable regulatory requirements; however, the Plan may require distribution of small balances, including automatic rollovers to an individual retirement account in accordance with Plan provisions. Participants may also receive in-service distributions, including hardship withdrawals, subject to Plan terms and Internal Revenue Service regulations. The Plan is subject to required minimum distribution rules under the Internal Revenue Code. Benefit payments are recorded when paid.

Participant Accounts

Each participant's individual account is credited with the participant's contributions and allocations of matching contributions, earnings/(losses) on the account and administrative expenses. Allocations of earnings/(losses) and expenses are based upon the market activity and fees of the investment options selected by the participant. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Forfeitures

Forfeitures represent non-vested employer matching contributions of participants in predecessor plans that have terminated their employment with their employer. At December 31, 2025 and 2024, forfeited accounts totaled \$1,022,795 and \$817,156, respectively, which can be used to reduce employer contributions. No forfeitures were used to reduce the employer match contributions for the year ended December 31, 2025.

2. Summary of Significant Accounting Policies

Basis of Accounting

The Plan's financial statements have been prepared using the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("GAAP").

Use of Estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of net assets available for benefits at the dates of the financial statements and the reported changes in net assets available for benefits during the reported periods. Actual results could differ from those estimates.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Related fees are recorded as administrative expenses. Delinquent participant loans are reclassified as distributions based upon the terms of the Plan document. No allowance for credit losses has been recorded as of December 31, 2025 or 2024.

Investment Valuation and Income Recognition

Participants may direct the investment of their contributions as well as employer contributions among various mutual funds, Truist Financial Corporation common stock, collective trusts, separately managed accounts consisting primarily of common stock and foreign stock, and a Brokeragelink account, each offering different degrees of risk and return. The Employee Benefits Plan Committee determines the Plan's valuation policies utilizing information provided by the custodian. The Plan's investments are stated at fair value. Refer to Note 4 for disclosures of methodologies used to determine the recorded fair value of Plan investments.

Purchases and sales of investments are recorded on a trade-date basis. Dividend income on mutual funds and Truist Financial Corporation common stock is recorded on the ex-dividend date. Capital gain distributions on mutual funds are included in dividend income. The Plan presents in the Statement of Changes in Net Assets Available for Benefits the net appreciation (depreciation) in the fair value of its investments, which consists of the realized gains or losses and unrealized appreciation or depreciation on investments held at year end.

The Financial Accounting Standards Board ("FASB") ASC Topic 820, *Fair Value Measurements* ("Topic 820"), provides a framework for measuring fair value which requires that an entity determine asset and liability fair values based on the exit price from an orderly transaction in the principal market for the asset or liability being measured.

Administrative Expenses and Investment-Related Fees

Administrative expenses are paid by the Plan, unless otherwise paid by the Plan Sponsor. Expenses that are paid by the Plan Sponsor are excluded from these financial statements. The Plan Sponsor has elected to pay certain administrative fees related to professional services provided to the Plan. Investment-related fees are included in net appreciation of fair value of investments. Fees that are transactional in nature are charged to participant accounts.

Payment of Benefits

Benefits payments are recorded when they have been approved for payment and paid by the Plan.

Reclassifications

Certain amounts within the fair value hierarchy tables as of December 31, 2024 have been reclassified to conform to the current year presentation. These reclassifications relate to the categorization of certain investment balances within the fair value hierarchy and had no effect on total investments, net assets available for benefits, or the change in net assets available for benefits for any period presented.

3. Plan Transfers

During 2025, no plans were merged into the Plan from acquisitions. However, due to the sale of Sterling Capital Management, LLC, during the 2024 plan year, assets of \$279,032 were transferred to another plan during the year ended December 31, 2025.

4. Fair Value of Financial Instruments

Topic 820 establishes a three-level fair value hierarchy that describes the inputs used to measure assets and liabilities. The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The valuation methodology was applied consistently from year to year.

Level 1

Level 1 asset and liability fair values are based on quoted prices in active markets for identical assets and liabilities. Level 1 assets and liabilities include mutual funds, and common and foreign stock traded on an exchange or listed market. The Plan offers a Brokeragelink option that holds common and preferred stock, money market funds, and various corporate and government bonds. The common and preferred stock and money market funds are traded in active markets.

Mutual funds are valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value ("NAV") and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Level 2

Level 2 asset and liability fair values are based on observable inputs that include: quoted market prices for similar assets or liabilities in an active market not defined by Level 1; quoted market prices for identical or similar assets or liabilities in markets that are not active; or other inputs that are observable in the market and can be corroborated by observable market data for substantially the full term of the assets or liabilities. Level 2 assets and liabilities include collective trusts, and corporate and government bonds in the Brokeragelink option.

The fair value of the collective trusts is based on NAV, as provided by the trustee. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. Transactions (purchase and sales) may occur daily. The collective trusts have a readily determinable fair value in that NAV is determined and made available to the Plan daily, and is the basis for current transactions. Were the Plan to initiate a full redemption of the collective trusts, the investment adviser reserves the right to temporarily delay withdrawal from the trust in order to ensure that securities liquidations at the then current NAV will be carried out in an orderly business manner. The collective trusts have a daily redemption frequency, a redemption notice period of 30 days to one year, and no unfunded commitments.

The fair value of government securities and corporate bonds are determined by closing prices at the end of the Plan year. Closing prices are obtained from third party pricing vendors. When quoted prices are unavailable, pricing vendors use various valuation methodologies, which are based on quoted prices for securities with similar coupons, ratings, and maturities.

Truist Financial Corporation 401(k) Savings Plan

Notes to Financial Statements

December 31, 2025 and 2024

Level 3

Level 3 assets and liabilities are financial instruments whose value is calculated by the use of pricing models and/or discounted cash flow methodologies, as well as financial instruments for which the determination of fair value requires significant management judgment or estimation. These methodologies may result in a significant portion of the fair value being derived from unobservable data. As of December 31, 2025 and 2024, there are no level 3 assets or liabilities.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Assets and liabilities measured at fair value on a recurring basis as of December 31, 2025 and 2024 are summarized below:

	December 31, 2025		
	Total	Level 1	Level 2
Truist common stock	\$ 535,911,991	\$ 535,911,991	\$ —
Mutual funds	854,330,248	854,330,248	—
Brokeragelink	551,932,990	540,299,074	11,633,916
Collective trusts	7,597,284,682	—	7,597,284,682
Separately managed accounts			
Interest bearing cash	5,094,286	5,094,286	—
Other liabilities	(37,102)	(37,102)	—
U.S. government securities	68,696,410	—	68,696,410
Government-related	14,320,202	—	14,320,202
Securitized	201,741,452	—	201,741,452
Corporate debt	102,811,580	—	102,811,580
Total investments at fair value	\$ 9,932,086,739	\$ 1,935,598,497	\$ 7,996,488,242

	December 31, 2024		
	Total	Level 1	Level 2
Truist common stock	\$ 507,886,676	\$ 507,886,676	\$ —
Mutual funds	1,342,723,392	1,342,723,392	—
Brokeragelink	444,727,668	432,412,445	12,315,223
Collective trusts	6,583,156,626	—	6,583,156,626
Total investments at fair value	\$ 8,878,494,362	\$ 2,283,022,513	\$ 6,595,471,849

Investments Measured at NAV

The Plan invests in the Vanguard Retirement Savings Trust, a stable value collective trust that invests primarily in investment contracts issued by insurance companies, banks or other financial institutions, including investment contracts backed by high-quality fixed income securities and seeks to preserve principal while providing stable returns. The Plan's investment in this fund is \$196,508,454 at December 31, 2025 and \$217,160,936 as of December 31, 2024. The investment is valued at net asset value ("NAV") per unit as provided by the trustee, as a practical expedient under ASC 820. Accordingly, the fund is not included in the fair value hierarchy. Participant transactions occur daily at NAV. While participant redemptions are not restricted, employer-initiated withdrawals may be subject to advance notice requirements or other conditions. The fund has no unfunded commitments. Although the fund invests in fully benefit-responsive contracts, the Plan's investment is indirect; therefore, it is measured at NAV rather than contract value.

5. Tax Status

The IRS has determined and informed the Plan Sponsor by a letter dated September 7, 2022, that the Plan was designed in accordance with applicable sections of the IRC. Although the Plan has been amended since receiving the determination letter, the Plan Administrator believes that the Plan was designed and is currently being operated in compliance with the applicable provisions of the IRC. Therefore, no provision for income taxes was included in the Plan's financial statements.

Truist Financial Corporation 401(k) Savings Plan

Notes to Financial Statements

December 31, 2025 and 2024

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2025 and 2024, there are no uncertain positions taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

6. Plan Termination

Although it has not expressed any intent to do so, the Plan Sponsor has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, assets of the Plan would be distributed in accordance with the Plan document.

7. Related Party and Party-In-Interest Transactions

Included in the Plan assets are Truist Financial Corporation common stock and certain assets held by and managed by Fidelity Management Trust Company. Balances, income and transactions related to these investments, which are party-in-interest transactions under ERISA, are presented in the following tables:

	December 31,	
	2025	2024
Number of shares, Truist Financial Corporation common stock	10,890,048	11,707,254
Truist Financial Corporation common stock	\$ 535,911,991	\$ 507,886,676
Fidelity Brokeragelink	\$ 551,932,990	\$ 444,727,668
Mutual funds and common collective trusts	\$ 3,460,892,590	\$ 2,997,281,254
Separately managed accounts	\$ 24,357,974	\$ —

	For the year ended December 31, 2025	
Dividends on Truist Financial Corporation common stock	\$	23,872,691
Realized and unrealized gain on Truist Financial Corporation common stock	\$	65,442,049

The expenses paid through the Plan include only transactional charges such as loan issuance fees, Qualified Domestic Relations Order fees and check reissues. In addition, there are fees charged by Fidelity to participants that opt to receive guidance on investment election/allocation. Fees charged by Fidelity were \$3,057,587 for the year ended December 31, 2025.

8. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

9. Subsequent Events

The Plan's management evaluated subsequent events through the date on which the financial statements were issued.

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
December 31, 2025

(a)	(b)	(c)	(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value		Current Value
* Truist Financial Corporation	Common stock	\$	535,911,991
* Brokeragelink	Brokeragelink		551,932,990
Vanguard Retirement Income Trust	Collective trust		105,095,687
Vanguard Target 2020	Collective trust		135,772,421
Vanguard Target 2025	Collective trust		283,517,992
Vanguard Target 2030	Collective trust		476,058,982
Vanguard Target 2035	Collective trust		515,456,627
Vanguard Target 2040	Collective trust		509,241,758
Vanguard Target 2045	Collective trust		458,092,122
Vanguard Target 2050	Collective trust		337,285,129
Vanguard Target 2055	Collective trust		224,112,201
Vanguard Target 2060	Collective trust		107,507,205
Vanguard Target 2065	Collective trust		49,536,886
Vanguard Target 2070	Collective trust		9,379,386
MFS Growth 5	Collective trust		727,975,882
MFS Mid Cap Value 5	Collective trust		182,020,964
Brown Adv SCG CIT	Collective trust		75,189,012
* Spartan 500 INDEX PL CL F	Collective trust		1,951,250,328
* Spartan SMALL CP IND CL F	Collective trust		182,955,190
* Spartan MID CAP IDX CL F	Collective trust		76,504,545
* Spartan GLB EXUS IDX CL F	Collective trust		357,591,164
* Spartan LC VALUE INDEX F	Collective trust		677,418,214
T Rowe Price US Mid Cap Equity Growth	Collective trust		155,322,987
<i>Total Collective Trusts</i>			<u>7,597,284,682</u>
Vanguard Retirement Savings Trust	Stable value fund		196,508,454
T Rowe Price Institutional Mid Cap	Mutual fund		—
Harbor Diversified International All Cap	Mutual fund		38,589,842
BBH Limited Duration Fund	Mutual fund		47,453,154
Dodge & Cox Income X	Mutual fund		147
Vanguard Treasury Money Market Fund	Mutual fund		306,009,007
American Funds EuroPacific Growth	Mutual fund		122,160,781
RBC Emerging Markets Equity Fund	Mutual fund		103,342,285
PIMCO Long Duration Total Return	Mutual fund		21,601,883
* Fidelity Government Money Market	Mutual fund		1,787,086
* Fidelity Inflation Protected Bond Fund	Mutual fund		52,047,281
* Fidelity US Bond Index	Mutual fund		44
* Fidelity Small Cap Value Index	Mutual fund		161,338,738
<i>Total Mutual Funds</i>			<u>854,330,248</u>
Holdings in Separately Managed Accounts			
Money Market	Separately managed account		5,094,286
Other Liabilities	Separately managed account		(37,102)

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
December 31, 2025

(a)	(b)	(c)	(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value	
<i>U.S. Treasury</i>			
U.S. Treasury Note/Bond	Separately managed account	4.750 % 8/15/55	6,277,689
U.S. Treasury Note/Bond	Separately managed account	4.125 % 8/15/53	6,828,178
U.S. Treasury Note/Bond	Separately managed account	4.875 % 8/15/45	5,919,807
U.S. Treasury Note/Bond	Separately managed account	4.625 % 11/15/44	5,807,108
U.S. Treasury Note/Bond	Separately managed account	4.125 % 8/15/44	3,504,745
U.S. Treasury Note/Bond	Separately managed account	2.875 % 5/15/52	2,722,121
U.S. Treasury Note/Bond	Separately managed account	3.375 % 8/15/42	2,521,779
U.S. Treasury Note/Bond	Separately managed account	4.000 % 11/15/52	1,940,961
U.S. Treasury Note/Bond	Separately managed account	4.500 % 2/15/44	1,908,789
U.S. Treasury Inflation Indexed	Separately managed account	1.500 % 2/15/53	1,277,035
U.S. Treasury Note/Bond	Separately managed account	3.000 % 8/15/52	1,127,691
U.S. Treasury Note/Bond	Separately managed account	4.750 % 11/15/53	1,056,996
U.S. Treasury Note/Bond	Separately managed account	4.625 % 11/15/55	915,154
U.S. Treasury Note/Bond	Separately managed account	4.750 % 5/15/55	800,947
U.S. Treasury Inflation Indexed	Separately managed account	2.375 % 2/15/55	666,955
U.S. Treasury Inflation Indexed	Separately managed account	2.125 % 2/15/54	394,184
U.S. Treasury Note/Bond	Separately managed account	4.500 % 11/15/54	341,656
U.S. Treasury Note/Bond	Separately managed account	4.250 % 2/15/54	326,641
<i>Total U.S. Treasury</i>			44,338,436
* FIAM US Government			
	Separately managed account		24,357,974
<i>Total U.S. Government Securities</i>			68,696,410
<i>Government-Related</i>			
Peroleos Mexicanos	Separately managed account	7.690 % 1/23/50	3,073,475
State of Illinois GO	Separately managed account	5.100 % 6/1/33	2,613,056
Peroleos Mexicanos	Separately managed account	6.700 % 2/16/32	2,576,587
State of California GO	Separately managed account	7.300 % 10/1/39	1,371,146
Peroleos Mexicanos	Separately managed account	6.625 % 6/15/35	709,141
New Jersey Turnpike Authority RB	Separately managed account	7.102 % 1/1/41	632,052
Colombia Government International	Separately managed account	7.375 % 4/25/30	572,194
Colombia Government International	Separately managed account	7.750 % 11/7/36	490,005
State of California GO	Separately managed account	7.500 % 4/1/34	480,090
Peroleos Mexicanos	Separately managed account	6.375 % 1/23/45	400,026
Peroleos Mexicanos	Separately managed account	6.750 % 9/21/47	323,767
Colombia Government International	Separately managed account	3.125 % 4/15/31	296,683
Peroleos Mexicanos	Separately managed account	6.500 % 6/2/41	217,794
New Jersey Turnpike Authority RB	Separately managed account	7.414 % 1/1/40	179,164
State of California GO	Separately managed account	7.550 % 4/1/39	172,543
State of California GO	Separately managed account	7.625 % 3/1/40	147,726
Peroleos Mexicanos	Separately managed account	6.350 % 2/12/48	64,753
<i>Total Government-Related</i>			14,320,202
<i>Securitized</i>			
Fannie Mae Pool MA5551 30 Year	Separately managed account	4.500 % 12/1/54	
Fannie Mae Pool MA4600 30 Year	Separately managed account	3.500 % 5/1/52	16,941,899
Freddie Mac Pool Group SD8504 30 Year	Separately managed account	4.500 % 2/1/55	10,344,130
Freddie Mac Pool Group SD8256 30 Year	Separately managed account	4.000 % 10/1/52	5,999,360
Fannie Mae Pool FS9443 30 Year	Separately managed account	4.500 % 2/1/54	4,454,295
Fannie Mae Pool MA4654 30 Year	Separately managed account	3.500 % 7/1/52	4,172,996

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
December 31, 2025

(a)	(b)	(c)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value
	Freddie Mac Pool Group SD6706 30 Year	Separately managed account	3,293,827
	Fannie Mae Pool MA4625 30 Year	Separately managed account	3,231,409
	Fannie Mae Pool MA4732 30 Year	Separately managed account	2,681,454
	Freddie Mac Pool Group SD8489 30 Year	Separately managed account	2,427,737
	Fannie Mae Pool MA4783 30 Year	Separately managed account	2,117,001
	Fannie Mae Pool FM7599 30 Year	Separately managed account	1,932,974
	Freddie Mac Pool Group SD8266 30 Year	Separately managed account	1,902,543
	Freddie Mac Pool Group RA4119 30 Year	Separately managed account	1,795,860
	Fannie Mae Pool MA4733 30 Year	Separately managed account	1,668,989
	Freddie Mac Pool Group RA4063 30 Year	Separately managed account	1,443,007
	Fannie Mae Pool FA2688 30 Year	Separately managed account	1,430,761
	Fannie Mae Pool MA4731 30 Year	Separately managed account	1,321,415
	Freddie Mac Pool Group SD2995 30 Year	Separately managed account	1,304,507
	Freddie Mac Pool Group SD8473 30 Year	Separately managed account	1,229,301
	Freddie Mac Pool Group SL2291 30 Year	Separately managed account	1,220,904
	Freddie Mac Pool Group RA3673 30 Year	Separately managed account	1,207,657
	Freddie Mac Pool Group SD8237 30 Year	Separately managed account	1,169,018
	Fannie Mae Pool FS3816 30 Year	Separately managed account	1,161,648
	Fannie Mae Pool MA4626 30 Year	Separately managed account	1,114,606
	Fannie Mae Pool FS6596 30 Year	Separately managed account	1,058,478
	Fannie Mae Pool FS9508 30 Year	Separately managed account	1,022,107
	Freddie Mac Pool Group SD5322 30 Year	Separately managed account	979,614
	Freddie Mac Pool Group SD8255 30 Year	Separately managed account	945,173
	Fannie Mae Pool FA0425 30 Year	Separately managed account	869,681
	Freddie Mac Pool Group SD7530 30 Year	Separately managed account	804,009
	Fannie Mae Pool FS9506 30 Year	Separately managed account	764,349
	Fannie Mae Pool FS7754 30 Year	Separately managed account	762,785
	Fannie Mae Pool MA4804 30 Year	Separately managed account	757,578
	Freddie Mac Pool Group SD7536 30 Year	Separately managed account	716,380
	Fannie Mae Pool FA0427 30 Year	Separately managed account	666,446
	Fannie Mae Pool CB4821 30 Year	Separately managed account	654,324
	Fannie Mae Pool CB4809 30 Year	Separately managed account	653,364
	Freddie Mac Group 841666 Hybrid ARM	Separately managed account	625,846
	Fannie Mae Pool MA4700 30 Year	Separately managed account	582,858
	Freddie Mac Pool Group RA6613 30 Year	Separately managed account	579,389
	Fannie Mae Pool FS8361 30 Year	Separately managed account	560,033
*	Fannie Mae Pool FS0377 30 Year	Separately managed account	559,956
	Freddie Mac Pool Group RA3495 30 Year	Separately managed account	555,035
	Fannie Mae Pool FS0605 30 Year	Separately managed account	547,089
	Fannie Mae Pool FM5976 30 Year	Separately managed account	546,581
*	Fannie Mae Pool CA8103 30 Year	Separately managed account	541,688
	Freddie Mac Pool Group SI2106 30 Year	Separately managed account	513,579
	Fannie Mae Pool CA8572 30 Year	Separately managed account	513,535
	Fannie Mae Pool FS7755 30 Year	Separately managed account	484,416
	Fannie Mae Pool CA8427 30 Year	Separately managed account	478,292
	Freddie Mac Pool Group RA2859 30 Year	Separately managed account	478,220
	Fannie Mae Pool FA1677 30 Year	Separately managed account	468,161
	Freddie Mac Pool Group RA3354 30 Year	Separately managed account	463,567
	Fannie Mae Pool CA6527 30 Year	Separately managed account	449,200
	Fannie Mae Pool BM6944 Hybrid ARM	Separately managed account	431,061
	Fannie Mae Pool MA5070 30 Year	Separately managed account	426,521
	Fannie Mae Pool CA6257 30 Year	Separately managed account	423,218

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
December 31, 2025

(a)	(b)	(c)			(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value			Current Value
	Fannie Mae Pool CA6564 30 Year	Separately managed account	2.500 %	8/1/50	419,237
	Fannie Mae Pool BU8931 30 Year	Separately managed account	3.500 %	4/1/52	414,717
	Fannie Mae Pool CA6514 30 Year	Separately managed account	2.500 %	7/1/50	412,245
	Freddie Mac Pool Group SD8274 30 Year	Separately managed account	4.000 %	12/1/52	405,538
	Freddie Mac Pool Group RA3201 30 Year	Separately managed account	2.500 %	8/1/50	405,261
	Freddie Mac Pool Group SD8265 30 Year	Separately managed account	4.000 %	11/1/52	403,536
	Fannie Mae Pool FM9874 30 Year	Separately managed account	2.500 %	12/1/51	402,427
	Fannie Mae Pool FS2137 30 Year	Separately managed account	3.500 %	6/1/52	401,508
	Fannie Mae Pool CA8098 30 Year	Separately managed account	2.000 %	12/1/50	401,311
	Fannie Mae Pool CA6924 30 Year	Separately managed account	2.000 %	9/1/50	396,341
	Fannie Mae Pool BV5581 30 Year	Separately managed account	3.500 %	5/1/52	371,579
	Fannie Mae Pool CB4532 30 Year	Separately managed account	3.500 %	6/1/52	371,507
	Fannie Mae Pool CB3333 30 Year	Separately managed account	3.500 %	4/1/52	360,958
	Fannie Mae Pool BF0617 40 Year	Separately managed account	2.500 %	3/1/62	360,084
	Fannie Mae Pool CA6714 30 Year	Separately managed account	2.500 %	8/1/50	358,818
	Fannie Mae Pool CB3592 30 Year	Separately managed account	3.500 %	5/1/52	354,304
	Fannie Mae Pool MA3960 30 Year	Separately managed account	3.000 %	3/1/50	349,636
	Fannie Mae Pool FS1864 30 Year	Separately managed account	3.500 %	5/1/52	338,067
	Freddie Mac Pool Group RA3729 30 Year	Separately managed account	2.000 %	10/1/50	334,080
	Freddie Mac Pool Group RA2957 30 Year	Separately managed account	2.000 %	7/1/50	329,658
	Freddie Mac Pool Group RA7514 30 Year	Separately managed account	3.500 %	6/1/52	326,891
	Fannie Mae Pool FS1865 30 Year	Separately managed account	3.500 %	5/1/52	326,815
	Freddie Mac Pool Group SD7485 30 Year	Separately managed account	4.500 %	2/1/54	322,952
	Freddie Mac Pool Group RA2969 30 Year	Separately managed account	2.500 %	7/1/50	322,294
	Fannie Mae Pool CA6035 30 Year	Separately managed account	2.500 %	6/1/50	312,094
	Freddie Mac Pool Group RA3454 30 Year	Separately managed account	2.000 %	9/1/50	307,127
	Fannie Mae Pool FA0306 30 Year	Separately managed account	4.500 %	12/1/54	304,168
	Fannie Mae Pool FS1530 30 Year	Separately managed account	3.000 %	4/1/52	303,217
	Fannie Mae Pool CA6694 30 Year	Separately managed account	2.000 %	8/1/50	302,915
	Fannie Mae Pool CB3577 30 Year	Separately managed account	3.000 %	5/1/52	302,463
	Fannie Mae Pool CA8100 30 Year	Separately managed account	2.000 %	12/1/50	301,559
	Fannie Mae Pool FS2136 30 Year	Separately managed account	3.500 %	6/1/52	298,410
	Freddie Mac Pool Group RA4232 30 Year	Separately managed account	2.000 %	12/1/50	291,710
	Freddie Mac Pool Group QE0763 30 Year	Separately managed account	3.500 %	4/1/52	287,899
	Freddie Mac Pool Group SD5324 30 Year	Separately managed account	4.000 %	2/1/53	285,487
	Freddie Mac Pool Group SD5758 30 Year	Separately managed account	3.500 %	8/1/53	285,276
	Fannie Mae Pool FS4227 30 Year	Separately managed account	3.500 %	4/1/53	284,169
	Fannie Mae Pool MA5612 30 Year	Separately managed account	4.500 %	2/1/55	283,298
	Fannie Mae Pool MA4784 30 Year	Separately managed account	4.500 %	10/1/52	276,626
	Fannie Mae Pool BM4804 15 Year	Separately managed account	4.000 %	11/1/33	273,623
	Freddie Mac Group 841395 Hybrid ARM	Separately managed account	2.023 %	5/1/52	273,268
	Freddie Mac Pool Group RA3481 30 Year	Separately managed account	2.000 %	9/1/50	272,863
	Fannie Mae Pool CA6389 30 Year	Separately managed account	2.000 %	7/1/50	271,121
	Fannie Mae Pool CA8813 30 Year	Separately managed account	2.000 %	2/1/51	269,933
	Freddie Mac Pool Group SD2253 30 Year	Separately managed account	3.500 %	12/1/52	268,319
	Fannie Mae Pool CA6238 30 Year	Separately managed account	2.500 %	7/1/50	265,354
	Fannie Mae Pool CA8597 30 Year	Separately managed account	2.500 %	1/1/51	265,292
	Freddie Mac Pool Group SD4518 30 Year	Separately managed account	3.500 %	8/1/53	255,445
	Fannie Mae Pool CA6140 30 Year	Separately managed account	2.000 %	6/1/50	254,140
	Fannie Mae Pool FA2083 30 Year	Separately managed account	4.500 %	2/1/53	252,889
	Fannie Mae Pool CB3843 30 Year	Separately managed account	3.500 %	6/1/52	248,098
	Freddie Mac Pool Group SL1300 30 Year	Separately managed account	4.000 %	4/1/53	245,399

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
December 31, 2025

(a)	(b)	(c)			(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value			Current Value
	Fannie Mae Pool FM9480 30 Year	Separately managed account	2.500 %	11/1/51	242,034
	Fannie Mae Pool BV2509 30 Year	Separately managed account	4.000 %	6/1/52	241,349
	Fannie Mae Pool CA7728 30 Year	Separately managed account	2.500 %	11/1/50	240,270
	Freddie Mac Pool Group SD8490 30 Year	Separately managed account	4.500 %	1/1/55	239,534
	Fannie Mae Pool BV9868 30 Year	Separately managed account	3.500 %	5/1/52	239,428
	Fannie Mae Pool MA4803 30 Year	Separately managed account	3.500 %	11/1/52	237,333
	Fannie Mae Pool BT9404 20 Year	Separately managed account	2.000 %	11/1/41	228,317
	Fannie Mae Pool CA6266 30 Year	Separately managed account	2.500 %	7/1/50	215,372
	Fannie Mae Pool CB4082 30 Year	Separately managed account	3.500 %	7/1/52	215,081
	Freddie Mac Pool Group SD0521 30 Year	Separately managed account	3.000 %	6/1/50	211,491
	Fannie Mae Pool FM3478 30 Year	Separately managed account	2.500 %	6/1/50	210,795
	Freddie Mac Pool Group RA3225 30 Year	Separately managed account	2.000 %	8/1/50	210,742
	Fannie Mae Pool FM9958 30 Year	Separately managed account	3.500 %	11/1/51	209,017
	Freddie Mac Pool Group RA3224 30 Year	Separately managed account	2.000 %	8/1/50	208,778
	Fannie Mae Pool FS2139 30 Year	Separately managed account	3.500 %	6/1/52	206,512
	Fannie Mae Pool MA4902 30 Year	Separately managed account	3.500 %	1/1/53	206,331
	Fannie Mae Pool CB3604 30 Year	Separately managed account	3.500 %	5/1/52	205,622
	Freddie Mac Pool Group RA7513 30 Year	Separately managed account	3.500 %	6/1/52	205,229
	Freddie Mac Group 841677 Hybrid ARM	Separately managed account	2.363 %	12/1/50	204,979
	Fannie Mae Pool MA4701 30 Year	Separately managed account	4.500 %	8/1/52	202,522
	Freddie Mac Pool Group QF0981 30 Year	Separately managed account	4.000 %	10/1/52	193,572
	Fannie Mae Pool CB3845 30 Year	Separately managed account	3.500 %	6/1/52	192,000
	Freddie Mac Group 841376 Hybrid ARM	Separately managed account	2.182 %	11/1/50	190,893
	Freddie Mac Pool Group RA6496 30 Year	Separately managed account	2.500 %	12/1/51	182,730
	Freddie Mac Pool Group RA3019 30 Year	Separately managed account	2.500 %	7/1/50	181,446
	Fannie Mae Pool CA6247 30 Year	Separately managed account	2.500 %	7/1/50	181,324
	Fannie Mae Pool MA4782 30 Year	Separately managed account	3.500 %	10/1/52	180,325
	Fannie Mae Pool CA6252 30 Year	Separately managed account	2.000 %	7/1/50	177,512
	Freddie Mac Gold Group G30804 20 Year	Separately managed account	4.000 %	1/1/35	172,860
	Freddie Mac Pool Group SD1769 30 Year	Separately managed account	2.500 %	5/1/52	172,561
	Freddie Mac Group 841500 Hybrid ARM	Separately managed account	4.107 %	10/1/52	171,529
	Fannie Mae Pool CB4328 30 Year	Separately managed account	3.500 %	8/1/52	171,511
	Freddie Mac Group 841075 Hybrid ARM	Separately managed account	3.280 %	1/1/49	169,812
	Fannie Mae Pool CA6237 30 Year	Separately managed account	2.000 %	7/1/50	167,682
	Freddie Mac Pool Group RA7533 30 Year	Separately managed account	3.500 %	6/1/52	166,656
	Fannie Mae Pool FM8768 30 Year	Separately managed account	2.500 %	2/1/51	165,902
	Freddie Mac Pool Group RA2892 30 Year	Separately managed account	2.500 %	6/1/50	163,393
	Fannie Mae Pool CA6930 30 Year	Separately managed account	2.500 %	9/1/50	161,934
	Fannie Mae Pool MA2923 20 Year	Separately managed account	3.500 %	3/1/37	160,118
	Freddie Mac Group 8C6046 Hybrid ARM	Separately managed account	3.945 %	6/1/55	159,740
	Freddie Mac Pool Group QE2637 30 Year	Separately managed account	3.500 %	5/1/52	157,395
	Freddie Mac Pool Group SL0454 30 Year	Separately managed account	4.500 %	11/1/54	154,053
	Freddie Mac Pool Group SD1322 30 Year	Separately managed account	2.500 %	3/1/52	150,282
	Fannie Mae Pool BM3386 30 Year	Separately managed account	4.000 %	2/1/47	146,830
	Fannie Mae Pool FM9558 20 Year	Separately managed account	2.500 %	11/1/41	141,634
	Freddie Mac Pool Group SD7402 30 Year	Separately managed account	4.500 %	12/1/54	140,150
	Freddie Mac Pool Group RB5142 20 Year	Separately managed account	2.500 %	1/1/42	139,961
	Freddie Mac Group 841485 Hybrid ARM	Separately managed account	4.171 %	9/1/52	139,613
	Fannie Mae Pool CB2859 30 Year	Separately managed account	2.500 %	2/1/52	135,691
	Fannie Mae Pool CA6990 30 Year	Separately managed account	2.500 %	9/1/50	133,743
	Fannie Mae Pool CB3605 30 Year	Separately managed account	3.500 %	5/1/52	131,554
	Freddie Mac Pool Group RA7277 30 Year	Separately managed account	3.500 %	5/1/52	130,187

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
December 31, 2025

(a)	(b)	(c)			(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value			Current Value
	Fannie Mae Pool AL5863 30 Year	Separately managed account	4.500 %	6/1/41	127,513
	Freddie Mac Pool Group RA2623 30 Year	Separately managed account	2.500 %	5/1/50	126,725
	Freddie Mac Pool Group RA3202 30 Year	Separately managed account	2.500 %	7/1/50	126,397
	Freddie Mac Pool Group RA2976 30 Year	Separately managed account	2.500 %	7/1/50	122,464
	Freddie Mac Pool Group RA6337 30 Year	Separately managed account	2.500 %	11/1/51	121,798
	Fannie Mae Pool FA0250 30 Year	Separately managed account	4.500 %	1/1/53	121,072
	Fannie Mae Pool FM8850 20 Year	Separately managed account	2.500 %	9/1/41	119,584
	Fannie Mae Pool BM7243 Hybrid ARM	Separately managed account	4.672 %	4/1/53	119,499
	Freddie Mac Gold Group G07942 30 Year	Separately managed account	4.500 %	7/1/44	118,819
	Fannie Mae Pool FM4141 30 Year	Separately managed account	2.500 %	9/1/50	118,756
	Freddie Mac Group 841607 Hybrid ARM	Separately managed account	4.750 %	8/1/53	116,734
	Fannie Mae Pool BM7260 Hybrid A RM	Separately managed account	4.512 %	7/1/53	116,233
	Fannie Mae Pool CA6401 30 Year	Separately managed account	2.500 %	7/1/50	115,449
	Freddie Mac Pool Group RA7326 30 Year	Separately managed account	3.500 %	5/1/52	114,366
	Freddie Mac Pool Group QE5714 30 Year	Separately managed account	3.500 %	7/1/52	113,392
	Fannie Mae Pool BV9928 30 Year	Separately managed account	3.500 %	6/1/52	111,871
	Fannie Mae Pool FS9137 30 Year	Separately managed account	4.500 %	4/1/53	108,538
	Fannie Mae Pool FM4228 30 Year	Separately managed account	2.500 %	8/1/50	107,499
	Fannie Mae Pool BV5582 30 Year	Separately managed account	3.500 %	5/1/52	105,856
	Freddie Mac Pool Group SC0258 20 Year	Separately managed account	2.500 %	3/1/42	104,057
	Fannie Mae Pool CA5966 30 Year	Separately managed account	2.500 %	6/1/50	103,805
	Fannie Mae Pool BU1194 20 Year	Separately managed account	2.500 %	3/1/42	103,528
	Freddie Mac Group 841569 Hybrid ARM	Separately managed account	4.511 %	6/1/53	102,792
	Freddie Mac Pool Group SD1391 30 Year	Separately managed account	3.500 %	7/1/52	102,705
	Fannie Mae Pool MA4655 30 Year	Separately managed account	4.000 %	7/1/52	102,160
	Fannie Mae Pool BV5398 30 Year	Separately managed account	3.500 %	4/1/52	102,135
	Freddie Mac Group 841408 Hybrid ARM	Separately managed account	2.302 %	5/1/52	102,026
	Freddie Mac Gold Group T69914 30 Year	Separately managed account	3.500 %	2/1/48	101,740
	Freddie Mac Pool Group QE4621 30 Year	Separately managed account	3.500 %	6/1/52	98,164
	Fannie Mae Pool FM3473 30 Year	Separately managed account	2.500 %	6/1/50	94,584
	Fannie Mae Pool MA4475 20 Year	Separately managed account	2.500 %	11/1/41	93,244
	Freddie Mac Pool Group QF3364 30 Year	Separately managed account	4.000 %	11/1/52	91,841
	Freddie Mac Pool Group SL0370 30 Year	Separately managed account	4.500 %	12/1/52	175,743
	Freddie Mac Pool Group SD1355 30 Year	Separately managed account	3.500 %	7/1/52	834,133
	Fannie Mae Pool CA6949 30 Year	Separately managed account	2.500 %	9/1/50	414,814
	Fannie Mae Pool CA6942 30 Year	Separately managed account	2.000 %	9/1/50	257,992
	Fannie Mae Pool CA6954 30 Year	Separately managed account	2.000 %	9/1/50	277,936
	Fannie Mae Pool CA8440 30 Year	Separately managed account	2.000 %	1/1/51	276,045
	Fannie Mae Pool CA8847 30 Year	Separately managed account	2.000 %	2/1/51	393,276
	Freddie Mac Group 841337 Hybrid ARM	Separately managed account	1.852 %	8/1/51	106,889
	Fannie Mae Pool CB2537 30 Year	Separately managed account	2.500 %	1/1/52	581,026
	Fannie Mae Pool CB2623 30 Year	Separately managed account	2.500 %	1/1/52	566,429
	Fannie Mae Pool FS0363 30 Year	Separately managed account	2.500 %	1/1/52	550,890
	Fannie Mae Pool CB2628 30 Year	Separately managed account	2.500 %	1/1/52	245,608
	Fannie Mae Pool CB2604 30 Year	Separately managed account	2.000 %	1/1/52	442,203
	Fannie Mae Pool CB2606 30 Year	Separately managed account	2.000 %	1/1/52	422,139
	Freddie Mac Pool Group SD8202 30 Year	Separately managed account	3.500 %	3/1/52	575,141
	Fannie Mae Pool FS1554 30 Year	Separately managed account	3.500 %	4/1/52	131,137
	Freddie Mac Pool Group SD8207 30 Year	Separately managed account	3.500 %	4/1/52	437,172
	Freddie Mac Group 841401 Hybrid ARM	Separately managed account	1.966 %	4/1/52	564,590
	Freddie Mac Pool Group SD8214 30 Year	Separately managed account	3.500 %	5/1/52	282,136
	Freddie Mac Pool Group SD8213 30 Year	Separately managed account	3.000 %	5/1/52	5,455,309

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Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
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(a)	(b)	(c)	(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value	
Freddie Mac Pool Group SD8222 30 Year	Separately managed account	4.000 % 6/1/52	266,616
Freddie Mac Pool Group SD1259 30 Year	Separately managed account	3.500 % 6/1/52	1,110,430
Fannie Mae Pool BV9913 30 Year	Separately managed account	3.500 % 6/1/52	95,640
Freddie Mac Pool Group QE3344 30 Year	Separately managed account	3.500 % 6/1/52	216,516
Freddie Mac Pool Group SD8221 30 Year	Separately managed account	3.500 % 6/1/52	96,854
Freddie Mac Pool Group SD8226 30 Year	Separately managed account	3.500 % 7/1/52	2,182,354
Freddie Mac Pool Group SD8238 30 Year	Separately managed account	4.500 % 8/1/52	2,621,716
Freddie Mac Pool Group SD8236 30 Year	Separately managed account	3.500 % 8/1/52	152,652
Freddie Mac Pool Group SD8245 30 Year	Separately managed account	4.500 % 9/1/52	715,808
Freddie Mac Pool Group SD8244 30 Year	Separately managed account	4.000 % 9/1/52	1,429,099
Freddie Mac Pool Group SD8243 30 Year	Separately managed account	3.500 % 9/1/52	3,092,261
Fannie Mae Pool FA0657 30 Year	Separately managed account	4.500 % 1/1/53	1,870,522
Freddie Mac Pool Group SD8306 30 Year	Separately managed account	4.500 % 3/1/53	254,315
Freddie Mac Group 841556 Hybrid ARM	Separately managed account	4.524 % 4/1/53	147,058
Freddie Mac Pool Group SL1546 30 Year	Separately managed account	4.500 % 5/1/53	153,401
Freddie Mac Pool Group SD6653 30 Year	Separately managed account	3.500 % 8/1/53	368,017
Freddie Mac Pool Group SD8275 30 Year	Separately managed account	4.500 % 12/1/52	590,968
Freddie Mac Pool Group RA2678 30 Year	Separately managed account	2.000 % 6/1/50	965,223
Ginnie Mae Series 2022-H12 FA	Separately managed account	4.868 % 6/20/72	87,781
Ginnie Mae Series 2022-H23 FA	Separately managed account	5.018 % 10/20/72	882,539
Fannie Mae Trust 2024-105 FC	Separately managed account	4.874 % 1/25/55	816,672
Ginnie Mae Series 2022-H11 F	Separately managed account	4.818 % 5/20/72	699,023
Fannie Mae Trust 2025-70 AF	Separately managed account	4.874 % 9/25/55	637,708
Ginnie Mae Series 2022-H04 HF	Separately managed account	4.738 % 2/20/72	591,280
Ginnie Mae Series 2023-H08 FD	Separately managed account	5.018 % 3/20/73	579,440
Freddie Mac Series 5587 FP	Separately managed account	4.774 % 10/25/55	500,966
Ginnie Mae Series 2022-H02 FC	Separately managed account	4.718 % 1/20/72	443,359
Ginnie Mae Series 2022-H10 F A	Separately managed account	4.868 % 5/20/72	392,769
Ginnie Mae Series 2022-H08 FL	Separately managed account	4.899 % 12/20/71	368,082
Ginnie Mae Series 2022-H07 BF	Separately managed account	4.418 % 2/20/68	321,352
Ginnie Mae Series 2022-H06 FA	Separately managed account	4.218 % 2/20/68	299,489
Fannie Mae Trust 2025-89 FP	Separately managed account	4.774 % 10/25/55	285,036
Ginnie Mae Series 2023-H23 FH	Separately managed account	5.268 % 9/20/73	279,108
Ginnie Mae Series 2023-H23 DF	Separately managed account	6.018 % 9/20/73	270,227
Ginnie Mae Series 2022-H09 FC	Separately managed account	4.658 % 4/20/72	249,614
Ginnie Mae Series 2023-H08 EF	Separately managed account	5.218 % 7/20/71	231,995
Ginnie Mae Series 2022-H07 FB	Separately managed account	4.418 % 1/20/68	229,782
Ginnie Mae Series 2019-H17 FB	Separately managed account	4.764 % 11/20/69	224,502
Ginnie Mae Series 2020-H06 FA	Separately managed account	4.664 % 3/20/70	223,382
Ginnie Mae Series 2023-H13 FJ	Separately managed account	5.338 % 2/20/73	213,164
Ginnie Mae Series 2022-H08 FE	Separately managed account	4.849 % 3/20/72	204,567
Ginnie Mae Series 2017-H22 FA	Separately managed account	4.514 % 11/20/67	182,772
Fannie Mae Trust 2025-85 FH	Separately managed account	4.774 % 10/25/55	178,435
Ginnie Mae Series 2022-H01 FA	Separately managed account	4.268 % 1/20/72	177,556
Ginnie Mae Series 2017-H20 BF	Separately managed account	4.712 % 10/20/67	168,735
Ginnie Mae Series 2022-H06 FC	Separately managed account	4.328 % 8/20/68	166,457
Fannie Mae Trust 2014-68 M Z	Separately managed account	3.000 % 11/25/44	158,098
Ginnie Mae Series 2022-H11 EF	Separately managed account	4.888 % 5/20/72	154,509
Fannie Mae Trust 2025-81 FE	Separately managed account	4.874 % 10/25/55	151,124
Ginnie Mae Series 2023-H08 FE	Separately managed account	4.938 % 8/20/71	147,944
Ginnie Mae Series 2021-H12 EF	Separately managed account	5.368 % 8/20/71	147,074
Ginnie Mae Series 2018-H02 HF	Separately managed account	4.938 % 1/20/68	140,066

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Ginnie Mae Series 2022-H20 FB	Separately managed account	4.918 % 8/20/71	135,130
Ginnie Mae Series 2021-H19 FM	Separately managed account	4.738 % 12/20/71	130,852
Freddie Mac Series 4385 EZ	Separately managed account	3.000 % 9/15/44	125,076
Freddie Mac Series 4434 LZ	Separately managed account	3.000 % 2/15/45	124,843
Fannie Mae Trust 2014-61 ZV	Separately managed account	3.000 % 10/25/44	123,985
Ginnie Mae Series 2018-H03 FD	Separately managed account	4.978 % 2/20/68	122,525
Fannie Mae Trust 2012-134 ZA	Separately managed account	3.000 % 12/25/42	117,390
Fannie Mae Trust 2025-89 FB	Separately managed account	4.774 % 10/25/55	114,807
Ginnie Mae Series 2021-H17 FA	Separately managed account	4.618 % 11/20/71	112,756
Ginnie Mae Series 2023-H08 FG	Separately managed account	5.548 % 2/20/73	107,517
Ginnie Mae Series 2019-H17 FA	Separately managed account	4.794 % 11/20/69	104,046
Ginnie Mae Series 2017-H13 FQ	Separately managed account	4.677 % 6/20/67	102,889
Freddie Mac Series K096 X1 10 Year	Separately managed account	1.111 % 7/25/29	98,938
Freddie Mac Series K098 X1 10 Year	Separately managed account	1.135 % 8/25/29	61,754
Freddie Mac Series K102 X1 10 Year	Separately managed account	0.816 % 10/25/29	58,616
Freddie Mac Series K099 X1 10 Year	Separately managed account	0.874 % 9/25/29	51,524
Freddie Mac Series K092 X1 10 Year	Separately managed account	0.707 % 4/25/29	49,264
Freddie Mac Series K094 X1 10 Year	Separately managed account	0.872 % 6/25/29	34,175
Freddie Mac Series K097 X1 10 Year	Separately managed account	1.082 % 7/25/29	30,428
Freddie Mac Series K-1511 X1 15 Year	Separately managed account	0.773 % 3/25/34	29,065
Freddie Mac Series K089 X1 10 Year	Separately managed account	0.538 % 1/25/29	27,459
Freddie Mac Series K095 X1 10 Year	Separately managed account	0.938 % 6/25/29	26,642
Freddie Mac Series K093 X1 10 Year	Separately managed account	0.937 % 5/25/29	21,629
Freddie Mac Series K101 X1 10 Year	Separately managed account	0.827 % 10/25/29	20,659
Freddie Mac Series K154 X1 15 Year	Separately managed account	0.286 % 11/25/32	18,988
Freddie Mac Series K091 X1 10 Year	Separately managed account	0.558 % 3/25/29	17,977
Freddie Mac Series K152 X1 15 Year	Separately managed account	0.949 % 1/25/31	15,059
Freddie Mac Series K065 X1 10 Year	Separately managed account	0.649 % 4/25/27	14,944
Freddie Mac Series K067 X1 10 Year	Separately managed account	0.561 % 7/25/27	11,884
Freddie Mac Series K066 X1 10 Year	Separately managed account	0.726 % 6/25/27	11,600
Freddie Mac Series K064 X1 10 Year	Separately managed account	0.585 % 3/25/27	10,265
Freddie Mac Series K071 X1 10 Year	Separately managed account	0.276 % 11/25/27	7,449
Freddie Mac Series K070 X1 10 Year	Separately managed account	0.316 % 11/25/27	3,826
Freddie Mac Series K062 X1 10 Year	Separately managed account	0.270 % 12/25/26	3,570
Freddie Mac Series K069 X1 10 Year	Separately managed account	0.340 % 9/25/27	2,792
Freddie Mac Series K055 X1 10 Year	Separately managed account	1.335 % 3/25/26	1,659
Freddie Mac Series K056 X1 10 Year	Separately managed account	1.208 % 5/25/26	733
Honda Auto Receivables Owner Trust Series	Separately managed account	3.980 % 6/17/30	310
Nelnet Student Loan Trust Series 2025-1A	Separately managed account	5.130 % 11/27/90	4,981,004
ECMC Group Student Loan Trust Series	Separately managed account	4.824 % 4/25/74	2,631,179
ECMC Group Student Loan Trust Series	Separately managed account	4.924 % 11/25/74	1,308,445
Honda Auto Receivables Owner Trust Series	Separately managed account	4.570 % 9/21/29	1,271,420
SMB Private Education Loan Trust (Private)	Separately managed account	5.240 % 3/15/56	1,270,507
Ford Credit Auto Owner Trust Series 2025-A	Separately managed account	4.450 % 10/15/29	847,954
Navient Student Loan Trust Series 2017-3A	Separately managed account	5.039 % 7/26/66	763,987
ECMC Group Student Loan Trust Series	Separately managed account	5.024 % 11/27/73	743,534
Honda Auto Receivables Owner Trust Series	Separately managed account	4.040 % 2/21/30	726,560
Ford Credit Auto Owner Trust Series 2024-B	Separately managed account	5.100 % 4/15/29	694,714
Toyota Auto Receivables Owner Trust Series	Separately managed account	4.110 % 3/15/30	654,758
Navient Student Loan Trust Series 2017-5A	Separately managed account	4.789 % 7/26/66	635,519
Navient Student Loan Trust Series 2016-5A	Separately managed account	5.239 % 6/25/65	631,523
Hyundai Auto Receivables Trust Series	Separately managed account	4.320 % 10/15/29	609,393

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	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value
	Navient Student Loan Trust Series 2017-1A	Separately managed account	605,595
	Navient Student Loan Trust Series 2016-7A	Separately managed account	587,643
	Toyota Auto Receivables Owner Trust Series	Separately managed account	553,215
	BMW Vehicle Owner Trust Series 2025-AA	Separately managed account	511,397
	SLM Student Loan Trust Series 2003-12 A6	Separately managed account	505,409
	Toyota Auto Receivables Owner Trust Series	Separately managed account	501,017
	Navient Student Loan Trust Series 2016-6A	Separately managed account	491,192
	SMB Private Education Loan Trust (Private)	Separately managed account	476,900
	SMB Private Education Loan Trust (Private)	Separately managed account	469,887
	Navient Student Loan Trust Series 2019-1A	Separately managed account	465,691
	SMB Private Education Loan Trust (Private)	Separately managed account	423,699
	Navient Student Loan Trust Series 2017-2A	Separately managed account	421,501
	Honda Auto Receivables Owner Trust Series	Separately managed account	418,356
	Volkswagen Auto Loan Enhanced Trust	Separately managed account	393,105
	Navient Student Loan Trust Series 2016-1A	Separately managed account	380,178
	Rio Oil Finance Trust	Separately managed account	379,047
	Navient Student Loan Trust Series 2019-2A	Separately managed account	367,393
	Navient Student Loan Trust Series 2018-4A	Separately managed account	366,611
	Navient Student Loan Trust Series 2017-4A	Separately managed account	366,509
	Navient Student Loan Trust Series 2018-3A	Separately managed account	365,676
	SMB Private Education Loan Trust (Private)	Separately managed account	339,240
	Honda Auto Receivables Owner Trust Series	Separately managed account	325,761
	Hyundai Auto Receivables Trust Series	Separately managed account	317,349
	Navient Student Loan Trust Series 2018-1A	Separately managed account	303,445
	SMB Private Education Loan Trust (Private)	Separately managed account	299,790
	Ford Credit Auto Owner Trust Series 2024-D	Separately managed account	268,671
	Nelnet Student Loan Trust Series 2017-3A A	Separately managed account	243,132
	SLM Student Loan Trust Series 2012-5 A3	Separately managed account	240,229
	Toyota Auto Receivables Owner Trust Series	Separately managed account	233,666
	SMB Private Education Loan Trust (Private)	Separately managed account	216,916
	Navient Student Loan Trust Series 2020-2A	Separately managed account	213,169
	GM Financial Consumer Automobile	Separately managed account	210,169
	Toyota Auto Receivables Owner Trust Series	Separately managed account	196,820
	Toyota Auto Receivables Owner Trust Series	Separately managed account	190,487
	Navient Student Loan Trust Series 2021-2A	Separately managed account	183,628
	Volkswagen Auto Loan Enhanced Trust	Separately managed account	167,977
	Volkswagen Auto Loan Enhanced Trust	Separately managed account	167,785
	BMW Vehicle Owner Trust Series 2025-AA	Separately managed account	161,882
	Navient Student Loan Trust Series 2019-3A	Separately managed account	157,922
	GM Financial Consumer Automobile	Separately managed account	143,661
	Nelnet Student Loan Trust Series 2020-5A A	Separately managed account	141,340
	Hyundai Auto Receivables Trust Series	Separately managed account	140,572
	Navient Student Loan Trust Series 2021-1A	Separately managed account	140,146
	Toyota Auto Receivables Owner Trust Series	Separately managed account	129,793
	Honda Auto Receivables Owner Trust Series	Separately managed account	127,743
	Navient Student Loan Trust Series 2019-4A	Separately managed account	125,787
	Honda Auto Receivables Owner Trust Series	Separately managed account	122,734
	Navient Student Loan Trust Series	Separately managed account	121,064
	Ford Credit Auto Owner Trust Series 2025-B	Separately managed account	120,470
	Toyota Auto Receivables Owner Trust Series	Separately managed account	120,403
	SMB Private Education Loan Trust (Private)	Separately managed account	120,229
	Navient Student Loan Trust Series 2020-1A	Separately managed account	119,020

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SLM Student Loan Trust Series 2007-6 A5	Separately managed account	5.065 %	4/27/43
GM Financial Consumer Automobile	Separately managed account	4.280 %	4/16/30
<i>Total Securitized</i>			201,741,452
<i>Corporate</i>			
Prosus NV	Separately managed account	3.061 %	7/13/31
Charter Communications Inc	Separately managed account	6.484 %	10/23/45
Imperial Brands PLC	Separately managed account	5.500 %	2/1/30
TC Energy Corp	Separately managed account	5.300 %	3/15/77
Charter Communications Inc	Separately managed account	4.500 %	6/1/33
British American Tobacco P LC	Separately managed account	4.742 %	3/16/32
TC Energy Corp	Separately managed account	5.875 %	8/15/76
Vodafone Group PLC	Separately managed account	7.000 %	4/4/79
T-Mobile U.S. Inc	Separately managed account	3.875 %	4/15/30
Prosus NV	Separately managed account	4.987 %	1/19/52
Imperial Brands PLC	Separately managed account	3.875 %	7/26/29
Ford Motor Credit Co. LLC	Separately managed account	2.700 %	8/10/26
Cemex SAB de CV	Separately managed account	5.200 %	9/17/30
TC Energy Corp	Separately managed account	5.500 %	9/15/79
Bayer AG	Separately managed account	6.375 %	11/21/30
Prosus NV	Separately managed account	3.680 %	1/21/30
T-Mobile U.S. Inc	Separately managed account	3.375 %	4/15/29
Prosus NV	Separately managed account	4.850 %	7/6/27
AT&T Inc	Separately managed account	2.750 %	6/1/31
Ford Motor Credit C o. LLC	Separately managed account	6.800 %	5/12/28
CVS Health Corp	Separately managed account	7.000 %	3/10/55
Bayer AG	Separately managed account	6.500 %	11/21/33
Mars Inc	Separately managed account	4.800 %	3/1/30
Charter Communications Inc	Separately managed account	5.750 %	4/1/48
Charter Communications Inc	Separately managed account	4.500 %	5/1/32
Cox Enterprises Inc	Separately managed account	3.350 %	9/15/26
GE HealthCare Technologies Inc	Separately managed account	5.905 %	11/22/32
Ford Motor Credit Co. LLC	Separately managed account	2.900 %	2/10/29
Ultrapar Participacoes SA	Separately managed account	5.250 %	10/6/26
CVS Health Corp	Separately managed account	5.125 %	2/21/30
Bayer AG	Separately managed account	6.250 %	1/21/29
Ford Motor Credit C o. LLC	Separately managed account	7.350 %	11/4/27
Mars Inc	Separately managed account	4.600 %	3/1/28
Elanco Animal Health Inc	Separately managed account	6.650 %	8/28/28
Prosus NV	Separately managed account	4.193 %	1/19/32
Charter Communications Inc	Separately managed account	4.250 %	1/15/34
Synopsys Inc	Separately managed account	4.850 %	4/1/30
T-Mobile U.S. Inc	Separately managed account	3.500 %	4/15/31
Charter Communications Inc	Separately managed account	6.750 %	6/15/39
Synopsys Inc	Separately managed account	5.000 %	4/1/32
CVS Health Corp	Separately managed account	4.300 %	3/25/28
Fibercop SpA	Separately managed account	7.721 %	6/4/38
T-Mobile U.S. Inc	Separately managed account	2.250 %	2/15/26
HCA Healthcare Inc	Separately managed account	4.125 %	6/15/29
The Cigna Group	Separately managed account	4.375 %	10/15/28
Verizon Communications Inc	Separately managed account	2.550 %	3/21/31
Oracle Corp	Separately managed account	2.950 %	4/1/30

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value	
Cemex SAB de CV	Separately managed account	3.875 % 7/11/31	336,670
CVS Health Cor p	Separately managed account	3.750 % 4/1/30	335,183
Imperial Brands PLC	Separately managed account	6.125 % 7/27/27	332,488
Ford Motor Credit Co. LLC	Separately managed account	5.125 % 11/5/26	329,357
The Cigna Group	Separately managed account	2.400 % 3/15/30	317,953
Kinder Morgan Inc	Separately managed account	6.950 % 1/15/38	317,707
VMware Inc	Separately managed account	1.400 % 8/15/26	312,012
Ford Motor Credit Co. LLC	Separately managed account	5.730 % 9/5/30	310,158
Oracle Corp	Separately managed account	4.800 % 8/3/28	302,290
Philip Morris International Inc	Separately managed account	5.375 % 2/15/33	298,478
CVS Health Corp	Separately managed account	5.400 % 6/1/29	296,456
British American Tobacco PLC	Separately managed account	6.421 % 8/2/33	288,153
Kinder Morgan In c	Separately managed account	6.500 % 9/1/39	280,527
Cemex SAB de CV	Separately managed account	5.450 % 11/19/29	280,215
Japan Tobacco Inc	Separately managed account	5.250 % 6/15/30	270,368
Charter Communications Inc	Separately managed account	5.375 % 5/1/47	262,301
GE HealthCare Technologies Inc	Separately managed account	4.800 % 8/14/29	260,105
Meta Platforms Inc	Separately managed account	4.600 % 11/15/32	253,863
Kinder Morgan Inc	Separately managed account	5.000 % 8/15/42	252,509
Meta Platforms Inc	Separately managed account	4.875 % 11/15/35	251,629
Imperial Brands PLC	Separately managed account	5.875 % 7/1/34	247,249
Cox Enterprises Inc	Separately managed account	5.450 % 9/15/28	245,539
RTX Corp	Separately managed account	6.100 % 3/15/34	244,652
British American Tobacco PLC	Separately managed account	2.726 % 3/25/31	236,959
Ford Motor Credit Co. LLC	Separately managed account	6.532 % 3/19/32	234,138
Ford Motor Credit Co. LLC	Separately managed account	4.950 % 5/28/27	226,838
HCA Healthcare Inc	Separately managed account	5.250 % 3/1/30	220,464
RTX Corp	Separately managed account	6.000 % 3/15/31	218,964
British American Tobacco PLC	Separately managed account	2.259 % 3/25/28	217,866
Kinder Morgan Inc	Separately managed account	6.500 % 2/1/37	201,033
Macy's Inc	Separately managed account	6.700 % 7/15/34	194,255
AT&T Inc	Separately managed account	2.550 % 12/1/33	192,509
Burlington Northern Santa Fe LLC	Separately managed account	3.442 % 6/16/28	189,795
Japan Tobacco Inc	Separately managed account	4.850 % 5/15/28	189,441
Philip Morris International Inc	Separately managed account	4.875 % 2/13/29	187,612
Japan Tobacco Inc	Separately managed account	5.850 % 6/15/35	187,036
Comcast Corp	Separately managed account	2.650 % 2/1/30	176,646
Cox Enterprises Inc	Separately managed account	1.800 % 10/1/30	167,134
British American Tobacco PLC	Separately managed account	7.750 % 10/19/32	165,695
Charter Communications Inc	Separately managed account	6.550 % 5/1/37	165,020
Philip Morris International Inc	Separately managed account	5.500 % 9/7/30	160,334
Comcast Corp	Separately managed account	4.950 % 5/15/32	154,592
Mars Inc	Separately managed account	4.450 % 3/1/27	153,307
TC Energy Corp	Separately managed account	5.600 % 3/7/82	150,811
Philip Morris International Inc	Separately managed account	5.625 % 11/17/29	148,141
CVS Health Corp	Separately managed account	5.550 % 6/1/31	147,167
Cox Enterprises Inc	Separately managed account	3.500 % 8/15/27	145,256
HCA Healthcare Inc	Separately managed account	3.125 % 3/15/27	144,816
British American Tobacco P LC	Separately managed account	6.343 % 8/2/30	144,017
Dell Technologies In c	Separately managed account	6.100 % 7/15/27	142,486
Cox Enterprises Inc	Separately managed account	5.700 % 6/15/33	141,560
Charter Communications Inc	Separately managed account	4.250 % 2/1/31	140,484

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Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value			Current Value
Charter Communications Inc	Separately managed account	4.400 %	4/1/33	138,195
Philip Morris International Inc	Separately managed account	5.125 %	2/13/31	131,981
Philip Morris International Inc	Separately managed account	5.750 %	11/17/32	129,091
Synopsys Inc	Separately managed account	4.650 %	4/1/28	123,012
Philip Morris International Inc	Separately managed account	5.125 %	2/15/30	121,099
The Cigna Group	Separately managed account	5.000 %	5/15/29	113,676
Comcast Corp	Separately managed account	3.400 %	4/1/30	112,509
Dillard's Inc	Separately managed account	7.000 %	12/1/28	105,641
The Cigna Group	Separately managed account	7.875 %	5/15/27	100,484
T-Mobile U.S. Inc	Separately managed account	5.200 %	1/15/33	100,332
Kinder Morgan Inc	Separately managed account	5.150 %	6/1/30	98,637
HCA Healthcare Inc	Separately managed account	5.000 %	3/1/28	93,178
Kinder Morgan Inc	Separately managed account	4.800 %	2/1/33	92,006
CVS Health Corp	Separately managed account	6.750 %	12/10/54	83,865
Oracle Corp	Separately managed account	4.200 %	9/27/29	79,282
Dillard's Inc	Separately managed account	7.750 %	7/15/26	78,654
Charter Communications Inc	Separately managed account	4.800 %	3/1/50	76,720
Nordstrom Inc	Separately managed account	6.950 %	3/15/28	73,648
CVS Health Corp	Separately managed account	4.125 %	4/1/40	73,515
CVS Health Corp	Separately managed account	1.875 %	2/28/31	70,704
T-Mobile U.S. Inc	Separately managed account	2.550 %	2/15/31	60,009
CVS Health Corp	Separately managed account	2.125 %	9/15/31	48,660
Dillard's Inc	Separately managed account	7.750 %	5/15/27	46,977
T-Mobile U.S. Inc	Separately managed account	4.750 %	2/1/28	45,908
Verizon Communications Inc	Separately managed account	1.750 %	1/20/31	44,403
CVS Health Corp	Separately managed account	3.250 %	8/15/29	43,992
HCA Healthcare Inc	Separately managed account	5.250 %	6/15/26	40,124
CVS Health Corp	Separately managed account	5.250 %	1/30/31	36,938
AT&T Inc	Separately managed account	4.300 %	2/15/30	25,438
Dominion Energy Inc	Separately managed account	3.375 %	4/1/30	1,158,197
The Southern Co	Separately managed account	4.000 %	1/15/51	1,150,165
NextEra Energy Inc	Separately managed account	4.685 %	9/1/27	1,130,250
The Southern Co	Separately managed account	5.113 %	8/1/27	777,969
NextEra Energy Inc	Separately managed account	5.050 %	3/15/30	774,414
NextEra Energy Inc	Separately managed account	4.900 %	3/15/29	732,688
NextEra Energy Inc	Separately managed account	4.850 %	2/4/28	586,540
The Southern Co	Separately managed account	3.750 %	9/15/51	518,379
NextEra Energy Inc	Separately managed account	4.625 %	7/15/27	505,705
Enel SPA	Separately managed account	6.000 %	10/7/39	457,882
Dominion Energy Inc	Separately managed account	5.000 %	6/15/30	453,049
The Southern Co	Separately managed account	4.850 %	6/15/28	346,880
Enel SPA	Separately managed account	6.800 %	9/15/37	319,947
Dominion Energy Inc	Separately managed account	1.450 %	4/15/26	109,517
Dominion Energy Inc	Separately managed account	4.600 %	5/15/28	101,755
Citigroup Inc	Separately managed account	7.257 %	10/30/40	1,822,414
Bank of America Corp	Separately managed account	3.846 %	3/8/37	1,440,249
UniCredit SPA	Separately managed account	7.296 %	4/2/34	1,433,707
JPMorgan Chase & Co	Separately managed account	4.493 %	3/24/31	1,327,038
UBS Group AG	Separately managed account	5.959 %	1/12/34	1,274,131
Barclays PLC	Separately managed account	6.490 %	9/13/29	1,253,861
Boston Properties Inc	Separately managed account	3.250 %	1/30/31	1,246,469
The Goldman Sachs Group Inc	Separately managed account	3.615 %	3/15/28	1,236,263

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
December 31, 2025

(a)	(b)	(c)			(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value			Current Value
	BNP Paribas SA	Separately managed account	4.625 %	3/13/27	1,068,497
	UniCredit SPA	Separately managed account	5.459 %	6/30/35	983,785
	JPMorgan Chase & C o	Separately managed account	2.956 %	5/13/31	937,832
	HSBC Holdings PLC	Separately managed account	6.500 %	5/2/36	893,225
	NatWest Group PL C	Separately managed account	1.642 %	6/14/27	885,941
	HSBC Holdings PLC	Separately managed account	6.500 %	9/15/37	753,376
	BNP Paribas SA	Separately managed account	5.786 %	1/13/33	737,564
	Bank of America Corp	Separately managed account	3.419 %	12/20/28	736,408
	BNP Paribas SA	Separately managed account	5.906 %	11/19/35	731,641
	HSBC Holdings PLC	Separately managed account	4.762 %	3/29/33	719,552
	HSBC Holdings PLC	Separately managed account	8.113 %	11/3/33	699,166
	The Charles Schwab Corp	Separately managed account	6.196 %	11/17/29	681,738
	Lloyds Banking Group PLC	Separately managed account	7.953 %	11/15/33	645,413
	BNP Paribas SA	Separately managed account	5.085 %	5/9/31	637,464
	NatWest Group PLC	Separately managed account	5.808 %	9/13/29	598,383
	Lloyds Banking Group PLC	Separately managed account	4.650 %	3/24/26	593,045
	Bank of America Corp	Separately managed account	4.250 %	10/22/26	581,035
	BNP Paribas SA	Separately managed account	4.375 %	5/12/26	578,285
	Wells Fargo & Co	Separately managed account	4.300 %	7/22/27	572,818
	Elevance Health Inc	Separately managed account	4.750 %	2/15/30	550,069
	NatWest Group PLC	Separately managed account	6.475 %	6/1/34	538,210
	HSBC Holdings PLC	Separately managed account	5.240 %	5/13/31	529,004
	UBS Group AG	Separately managed account	4.194 %	4/1/31	525,501
	HSBC Holdings PLC	Separately managed account	3.973 %	5/22/30	520,878
	NatWest Group PLC	Separately managed account	3.032 %	11/28/35	507,217
	Wells Fargo & Co	Separately managed account	5.198 %	1/23/30	489,488
	Barclays PLC	Separately managed account	5.829 %	5/9/27	481,672
	Lloyds Banking Group PLC	Separately managed account	5.721 %	6/5/30	473,481
	JPMorgan Chase & Co	Separately managed account	4.250 %	10/1/27	473,320
	Wells Fargo & Co	Separately managed account	4.100 %	6/3/26	461,509
	Bank of America Corp	Separately managed account	4.623 %	5/9/29	458,975
	Bank of America Corp	Separately managed account	4.979 %	1/24/29	452,586
	Capital One Financial Corp	Separately managed account	7.624 %	10/30/31	445,795
	Boston Properties Inc	Separately managed account	6.500 %	1/15/34	437,034
	Wells Fargo & Co	Separately managed account	5.707 %	4/22/28	433,288
	The Goldman Sachs Group Inc	Separately managed account	4.937 %	4/23/28	418,506
	JPMorgan Chase & Co	Separately managed account	4.125 %	12/15/26	416,508
	The Goldman Sachs Group Inc	Separately managed account	5.218 %	4/23/31	406,654
	The Charles Schwab Corp	Separately managed account	5.643 %	5/19/29	396,978
	Wells Fargo & Co	Separately managed account	4.897 %	7/25/33	388,791
	Lloyds Banking Group PLC	Separately managed account	4.818 %	6/13/29	382,011
	Boston Properties Inc	Separately managed account	4.500 %	12/1/28	378,830
	Lloyds Banking Group PLC	Separately managed account	3.750 %	3/18/28	372,618
	UBS Group AG	Separately managed account	5.580 %	5/9/36	366,768
	UBS Group AG	Separately managed account	5.617 %	9/13/30	366,005
	Barclays PLC	Separately managed account	4.836 %	5/9/28	365,590
	Citigroup Inc	Separately managed account	6.174 %	5/25/34	363,174
	HSBC Holdings PLC	Separately managed account	2.848 %	6/4/31	360,693
	JPMorgan Chase & Co	Separately managed account	8.750 %	9/1/30	349,899
	Capital One Financial Corp	Separately managed account	5.463 %	7/26/30	349,747
	Barclays PLC	Separately managed account	5.088 %	6/20/30	346,314
	Capital One Financial Corp	Separately managed account	4.927 %	5/10/28	341,283

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
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(a)	(b)	(c)	(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value	
Boston Properties Inc	Separately managed account	3.400 % 6/21/29	334,366
Wells Fargo & Co	Separately managed account	4.900 % 1/24/28	329,532
Citigroup Inc	Separately managed account	4.412 % 3/31/31	318,460
The Charles Schwab Corp	Separately managed account	6.136 % 8/24/34	316,811
JPMorgan Chase & Co	Separately managed account	4.505 % 10/22/28	315,715
Wells Fargo & Co	Separately managed account	5.389 % 4/24/34	315,139
Bank of America Corp	Separately managed account	2.496 % 2/13/31	291,711
JPMorgan Chase & Co	Separately managed account	5.717 % 9/14/33	290,030
The Goldman Sachs Group Inc	Separately managed account	4.153 % 10/21/29	277,159
Capital One Financial Corp	Separately managed account	6.312 % 6/8/29	273,906
NatWest Group PLC	Separately managed account	6.016 % 3/2/34	273,167
UBS Group AG	Separately managed account	6.301 % 9/22/34	271,503
Barclays PLC	Separately managed account	5.501 % 8/9/28	271,277
The Goldman Sachs Group Inc	Separately managed account	5.727 % 4/25/30	247,977
HSBC Holdings PLC	Separately managed account	4.950 % 3/31/30	238,658
Boston Properties Inc	Separately managed account	2.900 % 3/15/30	227,073
JPMorgan Chase & Co	Separately managed account	2.522 % 4/22/31	224,727
Elevance Health Inc	Separately managed account	2.250 % 5/15/30	188,804
JPMorgan Chase & Co	Separately managed account	4.586 % 4/26/33	172,304
JPMorgan Chase & Co	Separately managed account	5.040 % 1/23/28	170,357
The Goldman Sachs Group Inc	Separately managed account	4.692 % 10/23/30	168,714
Citigroup Inc	Separately managed account	4.450 % 9/29/27	167,938
Boston Properties Inc	Separately managed account	3.650 % 2/1/26	167,388
Bank of America Corp	Separately managed account	6.204 % 11/10/28	162,425
Wells Fargo & Co	Separately managed account	2.879 % 10/30/30	157,768
Wells Fargo & Co	Separately managed account	2.572 % 2/11/31	146,318
Bank of America Corp	Separately managed account	3.593 % 7/21/28	141,271
The Charles Schwab Corp	Separately managed account	5.853 % 5/19/34	140,143
Capital One Financial Corp	Separately managed account	5.700 % 2/1/30	138,249
Bank of America Corp	Separately managed account	4.948 % 7/22/28	129,502
Wells Fargo & Co	Separately managed account	2.393 % 6/2/28	127,273
Boston Properties Inc	Separately managed account	6.750 % 12/1/27	105,159
Bank of America Corp	Separately managed account	5.288 % 4/25/34	93,532
Bank of America Corp	Separately managed account	5.202 % 4/25/29	93,163
Elevance Health Inc	Separately managed account	4.500 % 10/30/26	91,061
Elevance Health Inc	Separately managed account	5.150 % 6/15/29	82,732
Unum Group	Separately managed account	7.250 % 3/15/28	69,524
Bank of America Corp	Separately managed account	2.572 % 10/20/32	63,488
Bank of America Corp	Separately managed account	5.015 % 7/22/33	62,508
Wells Fargo & Co	Separately managed account	3.350 % 3/2/33	56,665
Capital One Financial Corp	Separately managed account	3.750 % 7/28/26	40,554
JPMorgan Chase & Co	Separately managed account	2.739 % 10/15/30	33,405
Unum Group	Separately managed account	6.750 % 12/15/28	31,947
<i>Total Corporate</i>			102,811,580
Total Separately managed accounts			392,626,828
* Notes Receivable from Participants Participant loans (4.25% to 10.00% due through January 2031)			99,023,368
Net assets available for benefits			\$ 10,227,618,561

* Party in interest as defined by ERISA

Note: Cost is omitted because plan investments are participant directed

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
December 31, 2025

(a)	(b)	(c)	(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value	
*	Truist Financial Corporation	Common stock	\$ 535,911,991
*	Brokeragelink	Brokeragelink	551,932,990
	Vanguard Retirement Income Trust	Collective trust	105,095,687
	Vanguard Target 2020	Collective trust	135,772,421
	Vanguard Target 2025	Collective trust	283,517,992
	Vanguard Target 2030	Collective trust	476,058,982
	Vanguard Target 2035	Collective trust	515,456,627
	Vanguard Target 2040	Collective trust	509,241,758
	Vanguard Target 2045	Collective trust	458,092,122
	Vanguard Target 2050	Collective trust	337,285,129
	Vanguard Target 2055	Collective trust	224,112,201
	Vanguard Target 2060	Collective trust	107,507,205
	Vanguard Target 2065	Collective trust	49,536,886
	Vanguard Target 2070	Collective trust	9,379,386
	MFS Growth 5	Collective trust	727,975,882
	MFS Mid Cap Value 5	Collective trust	182,020,964
	Brown Adv SCG CIT	Collective trust	75,189,012
*	Spartan 500 INDEX PL CL F	Collective trust	1,951,250,328
*	Spartan SMALL CP IND CL F	Collective trust	182,955,190
*	Spartan MID CAP IDX CL F	Collective trust	76,504,545
*	Spartan GLB EXUS IDX CL F	Collective trust	357,591,164
*	Spartan LC VALUE INDEX F	Collective trust	677,418,214
	T Rowe Price US Mid Cap Equity Growth	Collective trust	155,322,987
	<i>Total Collective Trusts</i>		<u>7,597,284,682</u>
	Vanguard Retirement Savings Trust	Stable value fund	196,508,454
	T Rowe Price Institutional Mid Cap	Mutual fund	—
	Harbor Diversified International All Cap	Mutual fund	38,589,842
	BBH Limited Duration Fund	Mutual fund	47,453,154
	Dodge & Cox Income X	Mutual fund	147
	Vanguard Treasury Money Market Fund	Mutual fund	306,009,007
	American Funds EuroPacific Growth	Mutual fund	122,160,781
	RBC Emerging Markets Equity Fund	Mutual fund	103,342,285
	PIMCO Long Duration Total Return	Mutual fund	21,601,883
*	Fidelity Government Money Market	Mutual fund	1,787,086
*	Fidelity Inflation Protected Bond Fund	Mutual fund	52,047,281
*	Fidelity US Bond Index	Mutual fund	44
*	Fidelity Small Cap Value Index	Mutual fund	161,338,738
	<i>Total Mutual Funds</i>		<u>854,330,248</u>
Holdings in Separately Managed Accounts			
	Money Market	Separately managed account	5,094,286
	Other Liabilities	Separately managed account	(37,102)

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
December 31, 2025

(a)	(b)	(c)	(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value	
<i>U.S. Treasury</i>			
U.S. Treasury Note/Bond	Separately managed account	4.750 % 8/15/55	6,277,689
U.S. Treasury Note/Bond	Separately managed account	4.125 % 8/15/53	6,828,178
U.S. Treasury Note/Bond	Separately managed account	4.875 % 8/15/45	5,919,807
U.S. Treasury Note/Bond	Separately managed account	4.625 % 11/15/44	5,807,108
U.S. Treasury Note/Bond	Separately managed account	4.125 % 8/15/44	3,504,745
U.S. Treasury Note/Bond	Separately managed account	2.875 % 5/15/52	2,722,121
U.S. Treasury Note/Bond	Separately managed account	3.375 % 8/15/42	2,521,779
U.S. Treasury Note/Bond	Separately managed account	4.000 % 11/15/52	1,940,961
U.S. Treasury Note/Bond	Separately managed account	4.500 % 2/15/44	1,908,789
U.S. Treasury Inflation Indexed	Separately managed account	1.500 % 2/15/53	1,277,035
U.S. Treasury Note/Bond	Separately managed account	3.000 % 8/15/52	1,127,691
U.S. Treasury Note/Bond	Separately managed account	4.750 % 11/15/53	1,056,996
U.S. Treasury Note/Bond	Separately managed account	4.625 % 11/15/55	915,154
U.S. Treasury Note/Bond	Separately managed account	4.750 % 5/15/55	800,947
U.S. Treasury Inflation Indexed	Separately managed account	2.375 % 2/15/55	666,955
U.S. Treasury Inflation Indexed	Separately managed account	2.125 % 2/15/54	394,184
U.S. Treasury Note/Bond	Separately managed account	4.500 % 11/15/54	341,656
U.S. Treasury Note/Bond	Separately managed account	4.250 % 2/15/54	326,641
<i>Total U.S. Treasury</i>			44,338,436
* FIAM US Government			
	Separately managed account		24,357,974
<i>Total U.S. Government Securities</i>			68,696,410
<i>Government-Related</i>			
Peroleos Mexicanos	Separately managed account	7.690 % 1/23/50	3,073,475
State of Illinois GO	Separately managed account	5.100 % 6/1/33	2,613,056
Peroleos Mexicanos	Separately managed account	6.700 % 2/16/32	2,576,587
State of California GO	Separately managed account	7.300 % 10/1/39	1,371,146
Peroleos Mexicanos	Separately managed account	6.625 % 6/15/35	709,141
New Jersey Turnpike Authority RB	Separately managed account	7.102 % 1/1/41	632,052
Colombia Government International	Separately managed account	7.375 % 4/25/30	572,194
Colombia Government International	Separately managed account	7.750 % 11/7/36	490,005
State of California GO	Separately managed account	7.500 % 4/1/34	480,090
Peroleos Mexicanos	Separately managed account	6.375 % 1/23/45	400,026
Peroleos Mexicanos	Separately managed account	6.750 % 9/21/47	323,767
Colombia Government International	Separately managed account	3.125 % 4/15/31	296,683
Peroleos Mexicanos	Separately managed account	6.500 % 6/2/41	217,794
New Jersey Turnpike Authority RB	Separately managed account	7.414 % 1/1/40	179,164
State of California GO	Separately managed account	7.550 % 4/1/39	172,543
State of California GO	Separately managed account	7.625 % 3/1/40	147,726
Peroleos Mexicanos	Separately managed account	6.350 % 2/12/48	64,753
<i>Total Government-Related</i>			14,320,202
<i>Securitized</i>			
Fannie Mae Pool MA5551 30 Year	Separately managed account	4.500 % 12/1/54	
Fannie Mae Pool MA4600 30 Year	Separately managed account	3.500 % 5/1/52	16,941,899
Freddie Mac Pool Group SD8504 30 Year	Separately managed account	4.500 % 2/1/55	10,344,130
Freddie Mac Pool Group SD8256 30 Year	Separately managed account	4.000 % 10/1/52	5,999,360
Fannie Mae Pool FS9443 30 Year	Separately managed account	4.500 % 2/1/54	4,454,295
Fannie Mae Pool MA4654 30 Year	Separately managed account	3.500 % 7/1/52	4,172,996

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
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(a)	(b)	(c)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value
	Freddie Mac Pool Group SD6706 30 Year	Separately managed account	3,293,827
	Fannie Mae Pool MA4625 30 Year	Separately managed account	3,231,409
	Fannie Mae Pool MA4732 30 Year	Separately managed account	2,681,454
	Freddie Mac Pool Group SD8489 30 Year	Separately managed account	2,427,737
	Fannie Mae Pool MA4783 30 Year	Separately managed account	2,117,001
	Fannie Mae Pool FM7599 30 Year	Separately managed account	1,932,974
	Freddie Mac Pool Group SD8266 30 Year	Separately managed account	1,902,543
	Freddie Mac Pool Group RA4119 30 Year	Separately managed account	1,795,860
	Fannie Mae Pool MA4733 30 Year	Separately managed account	1,668,989
	Freddie Mac Pool Group RA4063 30 Year	Separately managed account	1,443,007
	Fannie Mae Pool FA2688 30 Year	Separately managed account	1,430,761
	Fannie Mae Pool MA4731 30 Year	Separately managed account	1,321,415
	Freddie Mac Pool Group SD2995 30 Year	Separately managed account	1,304,507
	Freddie Mac Pool Group SD8473 30 Year	Separately managed account	1,229,301
	Freddie Mac Pool Group SL2291 30 Year	Separately managed account	1,220,904
	Freddie Mac Pool Group RA3673 30 Year	Separately managed account	1,207,657
	Freddie Mac Pool Group SD8237 30 Year	Separately managed account	1,169,018
	Fannie Mae Pool FS3816 30 Year	Separately managed account	1,161,648
	Fannie Mae Pool MA4626 30 Year	Separately managed account	1,114,606
	Fannie Mae Pool FS6596 30 Year	Separately managed account	1,058,478
	Fannie Mae Pool FS9508 30 Year	Separately managed account	1,022,107
	Freddie Mac Pool Group SD5322 30 Year	Separately managed account	979,614
	Freddie Mac Pool Group SD8255 30 Year	Separately managed account	945,173
	Fannie Mae Pool FA0425 30 Year	Separately managed account	869,681
	Freddie Mac Pool Group SD7530 30 Year	Separately managed account	804,009
	Fannie Mae Pool FS9506 30 Year	Separately managed account	764,349
	Fannie Mae Pool FS7754 30 Year	Separately managed account	762,785
	Fannie Mae Pool MA4804 30 Year	Separately managed account	757,578
	Freddie Mac Pool Group SD7536 30 Year	Separately managed account	716,380
	Fannie Mae Pool FA0427 30 Year	Separately managed account	666,446
	Fannie Mae Pool CB4821 30 Year	Separately managed account	654,324
	Fannie Mae Pool CB4809 30 Year	Separately managed account	653,364
	Freddie Mac Group 841666 Hybrid ARM	Separately managed account	625,846
	Fannie Mae Pool MA4700 30 Year	Separately managed account	582,858
	Freddie Mac Pool Group RA6613 30 Year	Separately managed account	579,389
	Fannie Mae Pool FS8361 30 Year	Separately managed account	560,033
*	Fannie Mae Pool FS0377 30 Year	Separately managed account	559,956
	Freddie Mac Pool Group RA3495 30 Year	Separately managed account	555,035
	Fannie Mae Pool FS0605 30 Year	Separately managed account	547,089
	Fannie Mae Pool FM5976 30 Year	Separately managed account	546,581
*	Fannie Mae Pool CA8103 30 Year	Separately managed account	541,688
	Freddie Mac Pool Group SI2106 30 Year	Separately managed account	513,579
	Fannie Mae Pool CA8572 30 Year	Separately managed account	513,535
	Fannie Mae Pool FS7755 30 Year	Separately managed account	484,416
	Fannie Mae Pool CA8427 30 Year	Separately managed account	478,292
	Freddie Mac Pool Group RA2859 30 Year	Separately managed account	478,220
	Fannie Mae Pool FA1677 30 Year	Separately managed account	468,161
	Freddie Mac Pool Group RA3354 30 Year	Separately managed account	463,567
	Fannie Mae Pool CA6527 30 Year	Separately managed account	449,200
	Fannie Mae Pool BM6944 Hybrid ARM	Separately managed account	431,061
	Fannie Mae Pool MA5070 30 Year	Separately managed account	426,521
	Fannie Mae Pool CA6257 30 Year	Separately managed account	423,218

Truist Financial Corporation 401(k) Savings Plan
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December 31, 2025

(a)	(b)	(c)	(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value		Current Value
Fannie Mae Pool CA6564 30 Year	Separately managed account	2.500 % 8/1/50	419,237
Fannie Mae Pool BU8931 30 Year	Separately managed account	3.500 % 4/1/52	414,717
Fannie Mae Pool CA6514 30 Year	Separately managed account	2.500 % 7/1/50	412,245
Freddie Mac Pool Group SD8274 30 Year	Separately managed account	4.000 % 12/1/52	405,538
Freddie Mac Pool Group RA3201 30 Year	Separately managed account	2.500 % 8/1/50	405,261
Freddie Mac Pool Group SD8265 30 Year	Separately managed account	4.000 % 11/1/52	403,536
Fannie Mae Pool FM9874 30 Year	Separately managed account	2.500 % 12/1/51	402,427
Fannie Mae Pool FS2137 30 Year	Separately managed account	3.500 % 6/1/52	401,508
Fannie Mae Pool CA8098 30 Year	Separately managed account	2.000 % 12/1/50	401,311
Fannie Mae Pool CA6924 30 Year	Separately managed account	2.000 % 9/1/50	396,341
Fannie Mae Pool BV5581 30 Year	Separately managed account	3.500 % 5/1/52	371,579
Fannie Mae Pool CB4532 30 Year	Separately managed account	3.500 % 6/1/52	371,507
Fannie Mae Pool CB3333 30 Year	Separately managed account	3.500 % 4/1/52	360,958
Fannie Mae Pool BF0617 40 Year	Separately managed account	2.500 % 3/1/62	360,084
Fannie Mae Pool CA6714 30 Year	Separately managed account	2.500 % 8/1/50	358,818
Fannie Mae Pool CB3592 30 Year	Separately managed account	3.500 % 5/1/52	354,304
Fannie Mae Pool MA3960 30 Year	Separately managed account	3.000 % 3/1/50	349,636
Fannie Mae Pool FS1864 30 Year	Separately managed account	3.500 % 5/1/52	338,067
Freddie Mac Pool Group RA3729 30 Year	Separately managed account	2.000 % 10/1/50	334,080
Freddie Mac Pool Group RA2957 30 Year	Separately managed account	2.000 % 7/1/50	329,658
Freddie Mac Pool Group RA7514 30 Year	Separately managed account	3.500 % 6/1/52	326,891
Fannie Mae Pool FS1865 30 Year	Separately managed account	3.500 % 5/1/52	326,815
Freddie Mac Pool Group SD7485 30 Year	Separately managed account	4.500 % 2/1/54	322,952
Freddie Mac Pool Group RA2969 30 Year	Separately managed account	2.500 % 7/1/50	322,294
Fannie Mae Pool CA6035 30 Year	Separately managed account	2.500 % 6/1/50	312,094
Freddie Mac Pool Group RA3454 30 Year	Separately managed account	2.000 % 9/1/50	307,127
Fannie Mae Pool FA0306 30 Year	Separately managed account	4.500 % 12/1/54	304,168
Fannie Mae Pool FS1530 30 Year	Separately managed account	3.000 % 4/1/52	303,217
Fannie Mae Pool CA6694 30 Year	Separately managed account	2.000 % 8/1/50	302,915
Fannie Mae Pool CB3577 30 Year	Separately managed account	3.000 % 5/1/52	302,463
Fannie Mae Pool CA8100 30 Year	Separately managed account	2.000 % 12/1/50	301,559
Fannie Mae Pool FS2136 30 Year	Separately managed account	3.500 % 6/1/52	298,410
Freddie Mac Pool Group RA4232 30 Year	Separately managed account	2.000 % 12/1/50	291,710
Freddie Mac Pool Group QE0763 30 Year	Separately managed account	3.500 % 4/1/52	287,899
Freddie Mac Pool Group SD5324 30 Year	Separately managed account	4.000 % 2/1/53	285,487
Freddie Mac Pool Group SD5758 30 Year	Separately managed account	3.500 % 8/1/53	285,276
Fannie Mae Pool FS4227 30 Year	Separately managed account	3.500 % 4/1/53	284,169
Fannie Mae Pool MA5612 30 Year	Separately managed account	4.500 % 2/1/55	283,298
Fannie Mae Pool MA4784 30 Year	Separately managed account	4.500 % 10/1/52	276,626
Fannie Mae Pool BM4804 15 Year	Separately managed account	4.000 % 11/1/33	273,623
Freddie Mac Group 841395 Hybrid ARM	Separately managed account	2.023 % 5/1/52	273,268
Freddie Mac Pool Group RA3481 30 Year	Separately managed account	2.000 % 9/1/50	272,863
Fannie Mae Pool CA6389 30 Year	Separately managed account	2.000 % 7/1/50	271,121
Fannie Mae Pool CA8813 30 Year	Separately managed account	2.000 % 2/1/51	269,933
Freddie Mac Pool Group SD2253 30 Year	Separately managed account	3.500 % 12/1/52	268,319
Fannie Mae Pool CA6238 30 Year	Separately managed account	2.500 % 7/1/50	265,354
Fannie Mae Pool CA8597 30 Year	Separately managed account	2.500 % 1/1/51	265,292
Freddie Mac Pool Group SD4518 30 Year	Separately managed account	3.500 % 8/1/53	255,445
Fannie Mae Pool CA6140 30 Year	Separately managed account	2.000 % 6/1/50	254,140
Fannie Mae Pool FA2083 30 Year	Separately managed account	4.500 % 2/1/53	252,889
Fannie Mae Pool CB3843 30 Year	Separately managed account	3.500 % 6/1/52	248,098
Freddie Mac Pool Group SL1300 30 Year	Separately managed account	4.000 % 4/1/53	245,399

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
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(a)	(b)	(c)			(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value			Current Value
	Fannie Mae Pool FM9480 30 Year	Separately managed account	2.500 %	11/1/51	242,034
	Fannie Mae Pool BV2509 30 Year	Separately managed account	4.000 %	6/1/52	241,349
	Fannie Mae Pool CA7728 30 Year	Separately managed account	2.500 %	11/1/50	240,270
	Freddie Mac Pool Group SD8490 30 Year	Separately managed account	4.500 %	1/1/55	239,534
	Fannie Mae Pool BV9868 30 Year	Separately managed account	3.500 %	5/1/52	239,428
	Fannie Mae Pool MA4803 30 Year	Separately managed account	3.500 %	11/1/52	237,333
	Fannie Mae Pool BT9404 20 Year	Separately managed account	2.000 %	11/1/41	228,317
	Fannie Mae Pool CA6266 30 Year	Separately managed account	2.500 %	7/1/50	215,372
	Fannie Mae Pool CB4082 30 Year	Separately managed account	3.500 %	7/1/52	215,081
	Freddie Mac Pool Group SD0521 30 Year	Separately managed account	3.000 %	6/1/50	211,491
	Fannie Mae Pool FM3478 30 Year	Separately managed account	2.500 %	6/1/50	210,795
	Freddie Mac Pool Group RA3225 30 Year	Separately managed account	2.000 %	8/1/50	210,742
	Fannie Mae Pool FM9958 30 Year	Separately managed account	3.500 %	11/1/51	209,017
	Freddie Mac Pool Group RA3224 30 Year	Separately managed account	2.000 %	8/1/50	208,778
	Fannie Mae Pool FS2139 30 Year	Separately managed account	3.500 %	6/1/52	206,512
	Fannie Mae Pool MA4902 30 Year	Separately managed account	3.500 %	1/1/53	206,331
	Fannie Mae Pool CB3604 30 Year	Separately managed account	3.500 %	5/1/52	205,622
	Freddie Mac Pool Group RA7513 30 Year	Separately managed account	3.500 %	6/1/52	205,229
	Freddie Mac Group 841677 Hybrid ARM	Separately managed account	2.363 %	12/1/50	204,979
	Fannie Mae Pool MA4701 30 Year	Separately managed account	4.500 %	8/1/52	202,522
	Freddie Mac Pool Group QF0981 30 Year	Separately managed account	4.000 %	10/1/52	193,572
	Fannie Mae Pool CB3845 30 Year	Separately managed account	3.500 %	6/1/52	192,000
	Freddie Mac Group 841376 Hybrid ARM	Separately managed account	2.182 %	11/1/50	190,893
	Freddie Mac Pool Group RA6496 30 Year	Separately managed account	2.500 %	12/1/51	182,730
	Freddie Mac Pool Group RA3019 30 Year	Separately managed account	2.500 %	7/1/50	181,446
	Fannie Mae Pool CA6247 30 Year	Separately managed account	2.500 %	7/1/50	181,324
	Fannie Mae Pool MA4782 30 Year	Separately managed account	3.500 %	10/1/52	180,325
	Fannie Mae Pool CA6252 30 Year	Separately managed account	2.000 %	7/1/50	177,512
	Freddie Mac Gold Group G30804 20 Year	Separately managed account	4.000 %	1/1/35	172,860
	Freddie Mac Pool Group SD1769 30 Year	Separately managed account	2.500 %	5/1/52	172,561
	Freddie Mac Group 841500 Hybrid ARM	Separately managed account	4.107 %	10/1/52	171,529
	Fannie Mae Pool CB4328 30 Year	Separately managed account	3.500 %	8/1/52	171,511
	Freddie Mac Group 841075 Hybrid ARM	Separately managed account	3.280 %	1/1/49	169,812
	Fannie Mae Pool CA6237 30 Year	Separately managed account	2.000 %	7/1/50	167,682
	Freddie Mac Pool Group RA7533 30 Year	Separately managed account	3.500 %	6/1/52	166,656
	Fannie Mae Pool FM8768 30 Year	Separately managed account	2.500 %	2/1/51	165,902
	Freddie Mac Pool Group RA2892 30 Year	Separately managed account	2.500 %	6/1/50	163,393
	Fannie Mae Pool CA6930 30 Year	Separately managed account	2.500 %	9/1/50	161,934
	Fannie Mae Pool MA2923 20 Year	Separately managed account	3.500 %	3/1/37	160,118
	Freddie Mac Group 8C6046 Hybrid ARM	Separately managed account	3.945 %	6/1/55	159,740
	Freddie Mac Pool Group QE2637 30 Year	Separately managed account	3.500 %	5/1/52	157,395
	Freddie Mac Pool Group SL0454 30 Year	Separately managed account	4.500 %	11/1/54	154,053
	Freddie Mac Pool Group SD1322 30 Year	Separately managed account	2.500 %	3/1/52	150,282
	Fannie Mae Pool BM3386 30 Year	Separately managed account	4.000 %	2/1/47	146,830
	Fannie Mae Pool FM9558 20 Year	Separately managed account	2.500 %	11/1/41	141,634
	Freddie Mac Pool Group SD7402 30 Year	Separately managed account	4.500 %	12/1/54	140,150
	Freddie Mac Pool Group RB5142 20 Year	Separately managed account	2.500 %	1/1/42	139,961
	Freddie Mac Group 841485 Hybrid ARM	Separately managed account	4.171 %	9/1/52	139,613
	Fannie Mae Pool CB2859 30 Year	Separately managed account	2.500 %	2/1/52	135,691
	Fannie Mae Pool CA6990 30 Year	Separately managed account	2.500 %	9/1/50	133,743
	Fannie Mae Pool CB3605 30 Year	Separately managed account	3.500 %	5/1/52	131,554
	Freddie Mac Pool Group RA7277 30 Year	Separately managed account	3.500 %	5/1/52	130,187

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
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(a)	(b)	(c)			(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value			Current Value
	Fannie Mae Pool AL5863 30 Year	Separately managed account	4.500 %	6/1/41	127,513
	Freddie Mac Pool Group RA2623 30 Year	Separately managed account	2.500 %	5/1/50	126,725
	Freddie Mac Pool Group RA3202 30 Year	Separately managed account	2.500 %	7/1/50	126,397
	Freddie Mac Pool Group RA2976 30 Year	Separately managed account	2.500 %	7/1/50	122,464
	Freddie Mac Pool Group RA6337 30 Year	Separately managed account	2.500 %	11/1/51	121,798
	Fannie Mae Pool FA0250 30 Year	Separately managed account	4.500 %	1/1/53	121,072
	Fannie Mae Pool FM8850 20 Year	Separately managed account	2.500 %	9/1/41	119,584
	Fannie Mae Pool BM7243 Hybrid ARM	Separately managed account	4.672 %	4/1/53	119,499
	Freddie Mac Gold Group G07942 30 Year	Separately managed account	4.500 %	7/1/44	118,819
	Fannie Mae Pool FM4141 30 Year	Separately managed account	2.500 %	9/1/50	118,756
	Freddie Mac Group 841607 Hybrid ARM	Separately managed account	4.750 %	8/1/53	116,734
	Fannie Mae Pool BM7260 Hybrid A RM	Separately managed account	4.512 %	7/1/53	116,233
	Fannie Mae Pool CA6401 30 Year	Separately managed account	2.500 %	7/1/50	115,449
	Freddie Mac Pool Group RA7326 30 Year	Separately managed account	3.500 %	5/1/52	114,366
	Freddie Mac Pool Group QE5714 30 Year	Separately managed account	3.500 %	7/1/52	113,392
	Fannie Mae Pool BV9928 30 Year	Separately managed account	3.500 %	6/1/52	111,871
	Fannie Mae Pool FS9137 30 Year	Separately managed account	4.500 %	4/1/53	108,538
	Fannie Mae Pool FM4228 30 Year	Separately managed account	2.500 %	8/1/50	107,499
	Fannie Mae Pool BV5582 30 Year	Separately managed account	3.500 %	5/1/52	105,856
	Freddie Mac Pool Group SC0258 20 Year	Separately managed account	2.500 %	3/1/42	104,057
	Fannie Mae Pool CA5966 30 Year	Separately managed account	2.500 %	6/1/50	103,805
	Fannie Mae Pool BU1194 20 Year	Separately managed account	2.500 %	3/1/42	103,528
	Freddie Mac Group 841569 Hybrid ARM	Separately managed account	4.511 %	6/1/53	102,792
	Freddie Mac Pool Group SD1391 30 Year	Separately managed account	3.500 %	7/1/52	102,705
	Fannie Mae Pool MA4655 30 Year	Separately managed account	4.000 %	7/1/52	102,160
	Fannie Mae Pool BV5398 30 Year	Separately managed account	3.500 %	4/1/52	102,135
	Freddie Mac Group 841408 Hybrid ARM	Separately managed account	2.302 %	5/1/52	102,026
	Freddie Mac Gold Group T69914 30 Year	Separately managed account	3.500 %	2/1/48	101,740
	Freddie Mac Pool Group QE4621 30 Year	Separately managed account	3.500 %	6/1/52	98,164
	Fannie Mae Pool FM3473 30 Year	Separately managed account	2.500 %	6/1/50	94,584
	Fannie Mae Pool MA4475 20 Year	Separately managed account	2.500 %	11/1/41	93,244
	Freddie Mac Pool Group QF3364 30 Year	Separately managed account	4.000 %	11/1/52	91,841
	Freddie Mac Pool Group SL0370 30 Year	Separately managed account	4.500 %	12/1/52	175,743
	Freddie Mac Pool Group SD1355 30 Year	Separately managed account	3.500 %	7/1/52	834,133
	Fannie Mae Pool CA6949 30 Year	Separately managed account	2.500 %	9/1/50	414,814
	Fannie Mae Pool CA6942 30 Year	Separately managed account	2.000 %	9/1/50	257,992
	Fannie Mae Pool CA6954 30 Year	Separately managed account	2.000 %	9/1/50	277,936
	Fannie Mae Pool CA8440 30 Year	Separately managed account	2.000 %	1/1/51	276,045
	Fannie Mae Pool CA8847 30 Year	Separately managed account	2.000 %	2/1/51	393,276
	Freddie Mac Group 841337 Hybrid ARM	Separately managed account	1.852 %	8/1/51	106,889
	Fannie Mae Pool CB2537 30 Year	Separately managed account	2.500 %	1/1/52	581,026
	Fannie Mae Pool CB2623 30 Year	Separately managed account	2.500 %	1/1/52	566,429
	Fannie Mae Pool FS0363 30 Year	Separately managed account	2.500 %	1/1/52	550,890
	Fannie Mae Pool CB2628 30 Year	Separately managed account	2.500 %	1/1/52	245,608
	Fannie Mae Pool CB2604 30 Year	Separately managed account	2.000 %	1/1/52	442,203
	Fannie Mae Pool CB2606 30 Year	Separately managed account	2.000 %	1/1/52	422,139
	Freddie Mac Pool Group SD8202 30 Year	Separately managed account	3.500 %	3/1/52	575,141
	Fannie Mae Pool FS1554 30 Year	Separately managed account	3.500 %	4/1/52	131,137
	Freddie Mac Pool Group SD8207 30 Year	Separately managed account	3.500 %	4/1/52	437,172
	Freddie Mac Group 841401 Hybrid ARM	Separately managed account	1.966 %	4/1/52	564,590
	Freddie Mac Pool Group SD8214 30 Year	Separately managed account	3.500 %	5/1/52	282,136
	Freddie Mac Pool Group SD8213 30 Year	Separately managed account	3.000 %	5/1/52	5,455,309

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
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(a)	(b)	(c)	(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value	
Freddie Mac Pool Group SD8222 30 Year	Separately managed account	4.000 % 6/1/52	266,616
Freddie Mac Pool Group SD1259 30 Year	Separately managed account	3.500 % 6/1/52	1,110,430
Fannie Mae Pool BV9913 30 Year	Separately managed account	3.500 % 6/1/52	95,640
Freddie Mac Pool Group QE3344 30 Year	Separately managed account	3.500 % 6/1/52	216,516
Freddie Mac Pool Group SD8221 30 Year	Separately managed account	3.500 % 6/1/52	96,854
Freddie Mac Pool Group SD8226 30 Year	Separately managed account	3.500 % 7/1/52	2,182,354
Freddie Mac Pool Group SD8238 30 Year	Separately managed account	4.500 % 8/1/52	2,621,716
Freddie Mac Pool Group SD8236 30 Year	Separately managed account	3.500 % 8/1/52	152,652
Freddie Mac Pool Group SD8245 30 Year	Separately managed account	4.500 % 9/1/52	715,808
Freddie Mac Pool Group SD8244 30 Year	Separately managed account	4.000 % 9/1/52	1,429,099
Freddie Mac Pool Group SD8243 30 Year	Separately managed account	3.500 % 9/1/52	3,092,261
Fannie Mae Pool FA0657 30 Year	Separately managed account	4.500 % 1/1/53	1,870,522
Freddie Mac Pool Group SD8306 30 Year	Separately managed account	4.500 % 3/1/53	254,315
Freddie Mac Group 841556 Hybrid ARM	Separately managed account	4.524 % 4/1/53	147,058
Freddie Mac Pool Group SL1546 30 Year	Separately managed account	4.500 % 5/1/53	153,401
Freddie Mac Pool Group SD6653 30 Year	Separately managed account	3.500 % 8/1/53	368,017
Freddie Mac Pool Group SD8275 30 Year	Separately managed account	4.500 % 12/1/52	590,968
Freddie Mac Pool Group RA2678 30 Year	Separately managed account	2.000 % 6/1/50	965,223
Ginnie Mae Series 2022-H12 FA	Separately managed account	4.868 % 6/20/72	87,781
Ginnie Mae Series 2022-H23 FA	Separately managed account	5.018 % 10/20/72	882,539
Fannie Mae Trust 2024-105 FC	Separately managed account	4.874 % 1/25/55	816,672
Ginnie Mae Series 2022-H11 F	Separately managed account	4.818 % 5/20/72	699,023
Fannie Mae Trust 2025-70 AF	Separately managed account	4.874 % 9/25/55	637,708
Ginnie Mae Series 2022-H04 HF	Separately managed account	4.738 % 2/20/72	591,280
Ginnie Mae Series 2023-H08 FD	Separately managed account	5.018 % 3/20/73	579,440
Freddie Mac Series 5587 FP	Separately managed account	4.774 % 10/25/55	500,966
Ginnie Mae Series 2022-H02 FC	Separately managed account	4.718 % 1/20/72	443,359
Ginnie Mae Series 2022-H10 F A	Separately managed account	4.868 % 5/20/72	392,769
Ginnie Mae Series 2022-H08 FL	Separately managed account	4.899 % 12/20/71	368,082
Ginnie Mae Series 2022-H07 BF	Separately managed account	4.418 % 2/20/68	321,352
Ginnie Mae Series 2022-H06 FA	Separately managed account	4.218 % 2/20/68	299,489
Fannie Mae Trust 2025-89 FP	Separately managed account	4.774 % 10/25/55	285,036
Ginnie Mae Series 2023-H23 FH	Separately managed account	5.268 % 9/20/73	279,108
Ginnie Mae Series 2023-H23 DF	Separately managed account	6.018 % 9/20/73	270,227
Ginnie Mae Series 2022-H09 FC	Separately managed account	4.658 % 4/20/72	249,614
Ginnie Mae Series 2023-H08 EF	Separately managed account	5.218 % 7/20/71	231,995
Ginnie Mae Series 2022-H07 FB	Separately managed account	4.418 % 1/20/68	229,782
Ginnie Mae Series 2019-H17 FB	Separately managed account	4.764 % 11/20/69	224,502
Ginnie Mae Series 2020-H06 FA	Separately managed account	4.664 % 3/20/70	223,382
Ginnie Mae Series 2023-H13 FJ	Separately managed account	5.338 % 2/20/73	213,164
Ginnie Mae Series 2022-H08 FE	Separately managed account	4.849 % 3/20/72	204,567
Ginnie Mae Series 2017-H22 FA	Separately managed account	4.514 % 11/20/67	182,772
Fannie Mae Trust 2025-85 FH	Separately managed account	4.774 % 10/25/55	178,435
Ginnie Mae Series 2022-H01 FA	Separately managed account	4.268 % 1/20/72	177,556
Ginnie Mae Series 2017-H20 BF	Separately managed account	4.712 % 10/20/67	168,735
Ginnie Mae Series 2022-H06 FC	Separately managed account	4.328 % 8/20/68	166,457
Fannie Mae Trust 2014-68 M Z	Separately managed account	3.000 % 11/25/44	158,098
Ginnie Mae Series 2022-H11 EF	Separately managed account	4.888 % 5/20/72	154,509
Fannie Mae Trust 2025-81 FE	Separately managed account	4.874 % 10/25/55	151,124
Ginnie Mae Series 2023-H08 FE	Separately managed account	4.938 % 8/20/71	147,944
Ginnie Mae Series 2021-H12 EF	Separately managed account	5.368 % 8/20/71	147,074
Ginnie Mae Series 2018-H02 HF	Separately managed account	4.938 % 1/20/68	140,066

Truist Financial Corporation 401(k) Savings Plan
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Ginnie Mae Series 2022-H20 FB	Separately managed account	4.918 % 8/20/71	135,130
Ginnie Mae Series 2021-H19 FM	Separately managed account	4.738 % 12/20/71	130,852
Freddie Mac Series 4385 EZ	Separately managed account	3.000 % 9/15/44	125,076
Freddie Mac Series 4434 LZ	Separately managed account	3.000 % 2/15/45	124,843
Fannie Mae Trust 2014-61 ZV	Separately managed account	3.000 % 10/25/44	123,985
Ginnie Mae Series 2018-H03 FD	Separately managed account	4.978 % 2/20/68	122,525
Fannie Mae Trust 2012-134 ZA	Separately managed account	3.000 % 12/25/42	117,390
Fannie Mae Trust 2025-89 FB	Separately managed account	4.774 % 10/25/55	114,807
Ginnie Mae Series 2021-H17 FA	Separately managed account	4.618 % 11/20/71	112,756
Ginnie Mae Series 2023-H08 FG	Separately managed account	5.548 % 2/20/73	107,517
Ginnie Mae Series 2019-H17 FA	Separately managed account	4.794 % 11/20/69	104,046
Ginnie Mae Series 2017-H13 FQ	Separately managed account	4.677 % 6/20/67	102,889
Freddie Mac Series K096 X1 10 Year	Separately managed account	1.111 % 7/25/29	98,938
Freddie Mac Series K098 X1 10 Year	Separately managed account	1.135 % 8/25/29	61,754
Freddie Mac Series K102 X1 10 Year	Separately managed account	0.816 % 10/25/29	58,616
Freddie Mac Series K099 X1 10 Year	Separately managed account	0.874 % 9/25/29	51,524
Freddie Mac Series K092 X1 10 Year	Separately managed account	0.707 % 4/25/29	49,264
Freddie Mac Series K094 X1 10 Year	Separately managed account	0.872 % 6/25/29	34,175
Freddie Mac Series K097 X1 10 Year	Separately managed account	1.082 % 7/25/29	30,428
Freddie Mac Series K-1511 X1 15 Year	Separately managed account	0.773 % 3/25/34	29,065
Freddie Mac Series K089 X1 10 Year	Separately managed account	0.538 % 1/25/29	27,459
Freddie Mac Series K095 X1 10 Year	Separately managed account	0.938 % 6/25/29	26,642
Freddie Mac Series K093 X1 10 Year	Separately managed account	0.937 % 5/25/29	21,629
Freddie Mac Series K101 X1 10 Year	Separately managed account	0.827 % 10/25/29	20,659
Freddie Mac Series K154 X1 15 Year	Separately managed account	0.286 % 11/25/32	18,988
Freddie Mac Series K091 X1 10 Year	Separately managed account	0.558 % 3/25/29	17,977
Freddie Mac Series K152 X1 15 Year	Separately managed account	0.949 % 1/25/31	15,059
Freddie Mac Series K065 X1 10 Year	Separately managed account	0.649 % 4/25/27	14,944
Freddie Mac Series K067 X1 10 Year	Separately managed account	0.561 % 7/25/27	11,884
Freddie Mac Series K066 X1 10 Year	Separately managed account	0.726 % 6/25/27	11,600
Freddie Mac Series K064 X1 10 Year	Separately managed account	0.585 % 3/25/27	10,265
Freddie Mac Series K071 X1 10 Year	Separately managed account	0.276 % 11/25/27	7,449
Freddie Mac Series K070 X1 10 Year	Separately managed account	0.316 % 11/25/27	3,826
Freddie Mac Series K062 X1 10 Year	Separately managed account	0.270 % 12/25/26	3,570
Freddie Mac Series K069 X1 10 Year	Separately managed account	0.340 % 9/25/27	2,792
Freddie Mac Series K055 X1 10 Year	Separately managed account	1.335 % 3/25/26	1,659
Freddie Mac Series K056 X1 10 Year	Separately managed account	1.208 % 5/25/26	733
Honda Auto Receivables Owner Trust Series	Separately managed account	3.980 % 6/17/30	310
Nelnet Student Loan Trust Series 2025-1A	Separately managed account	5.130 % 11/27/90	4,981,004
ECMC Group Student Loan Trust Series	Separately managed account	4.824 % 4/25/74	2,631,179
ECMC Group Student Loan Trust Series	Separately managed account	4.924 % 11/25/74	1,308,445
Honda Auto Receivables Owner Trust Series	Separately managed account	4.570 % 9/21/29	1,271,420
SMB Private Education Loan Trust (Private)	Separately managed account	5.240 % 3/15/56	1,270,507
Ford Credit Auto Owner Trust Series 2025-A	Separately managed account	4.450 % 10/15/29	847,954
Navient Student Loan Trust Series 2017-3A	Separately managed account	5.039 % 7/26/66	763,987
ECMC Group Student Loan Trust Series	Separately managed account	5.024 % 11/27/73	743,534
Honda Auto Receivables Owner Trust Series	Separately managed account	4.040 % 2/21/30	726,560
Ford Credit Auto Owner Trust Series 2024-B	Separately managed account	5.100 % 4/15/29	694,714
Toyota Auto Receivables Owner Trust Series	Separately managed account	4.110 % 3/15/30	654,758
Navient Student Loan Trust Series 2017-5A	Separately managed account	4.789 % 7/26/66	635,519
Navient Student Loan Trust Series 2016-5A	Separately managed account	5.239 % 6/25/65	631,523
Hyundai Auto Receivables Trust Series	Separately managed account	4.320 % 10/15/29	609,393

Truist Financial Corporation 401(k) Savings Plan
Schedule H, line 4(i)-Schedule of Assets (Held At End of Year)
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(a)	(b)	(c)	(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value
	Navient Student Loan Trust Series 2017-1A	Separately managed account	605,595
	Navient Student Loan Trust Series 2016-7A	Separately managed account	587,643
	Toyota Auto Receivables Owner Trust Series	Separately managed account	553,215
	BMW Vehicle Owner Trust Series 2025-AA	Separately managed account	511,397
	SLM Student Loan Trust Series 2003-12 A6	Separately managed account	505,409
	Toyota Auto Receivables Owner Trust Series	Separately managed account	501,017
	Navient Student Loan Trust Series 2016-6A	Separately managed account	491,192
	SMB Private Education Loan Trust (Private)	Separately managed account	476,900
	SMB Private Education Loan Trust (Private)	Separately managed account	469,887
	Navient Student Loan Trust Series 2019-1A	Separately managed account	465,691
	SMB Private Education Loan Trust (Private)	Separately managed account	423,699
	Navient Student Loan Trust Series 2017-2A	Separately managed account	421,501
	Honda Auto Receivables Owner Trust Series	Separately managed account	418,356
	Volkswagen Auto Loan Enhanced Trust	Separately managed account	393,105
	Navient Student Loan Trust Series 2016-1A	Separately managed account	380,178
	Rio Oil Finance Trust	Separately managed account	379,047
	Navient Student Loan Trust Series 2019-2A	Separately managed account	367,393
	Navient Student Loan Trust Series 2018-4A	Separately managed account	366,611
	Navient Student Loan Trust Series 2017-4A	Separately managed account	366,509
	Navient Student Loan Trust Series 2018-3A	Separately managed account	365,676
	SMB Private Education Loan Trust (Private)	Separately managed account	339,240
	Honda Auto Receivables Owner Trust Series	Separately managed account	325,761
	Hyundai Auto Receivables Trust Series	Separately managed account	317,349
	Navient Student Loan Trust Series 2018-1A	Separately managed account	303,445
	SMB Private Education Loan Trust (Private)	Separately managed account	299,790
	Ford Credit Auto Owner Trust Series 2024-D	Separately managed account	268,671
	Nelnet Student Loan Trust Series 2017-3A A	Separately managed account	243,132
	SLM Student Loan Trust Series 2012-5 A3	Separately managed account	240,229
	Toyota Auto Receivables Owner Trust Series	Separately managed account	233,666
	SMB Private Education Loan Trust (Private)	Separately managed account	216,916
	Navient Student Loan Trust Series 2020-2A	Separately managed account	213,169
	GM Financial Consumer Automobile	Separately managed account	210,169
	Toyota Auto Receivables Owner Trust Series	Separately managed account	196,820
	Toyota Auto Receivables Owner Trust Series	Separately managed account	190,487
	Navient Student Loan Trust Series 2021-2A	Separately managed account	183,628
	Volkswagen Auto Loan Enhanced Trust	Separately managed account	167,977
	Volkswagen Auto Loan Enhanced Trust	Separately managed account	167,785
	BMW Vehicle Owner Trust Series 2025-AA	Separately managed account	161,882
	Navient Student Loan Trust Series 2019-3A	Separately managed account	157,922
	GM Financial Consumer Automobile	Separately managed account	143,661
	Nelnet Student Loan Trust Series 2020-5A A	Separately managed account	141,340
	Hyundai Auto Receivables Trust Series	Separately managed account	140,572
	Navient Student Loan Trust Series 2021-1A	Separately managed account	140,146
	Toyota Auto Receivables Owner Trust Series	Separately managed account	129,793
	Honda Auto Receivables Owner Trust Series	Separately managed account	127,743
	Navient Student Loan Trust Series 2019-4A	Separately managed account	125,787
	Honda Auto Receivables Owner Trust Series	Separately managed account	122,734
	Navient Student Loan Trust Series	Separately managed account	121,064
	Ford Credit Auto Owner Trust Series 2025-B	Separately managed account	120,470
	Toyota Auto Receivables Owner Trust Series	Separately managed account	120,403
	SMB Private Education Loan Trust (Private)	Separately managed account	120,229
	Navient Student Loan Trust Series 2020-1A	Separately managed account	119,020

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SLM Student Loan Trust Series 2007-6 A5	Separately managed account	5.065 %	4/27/43
GM Financial Consumer Automobile	Separately managed account	4.280 %	4/16/30
<i>Total Securitized</i>			201,741,452
<i>Corporate</i>			
Prosus NV	Separately managed account	3.061 %	7/13/31
Charter Communications Inc	Separately managed account	6.484 %	10/23/45
Imperial Brands PLC	Separately managed account	5.500 %	2/1/30
TC Energy Corp	Separately managed account	5.300 %	3/15/77
Charter Communications Inc	Separately managed account	4.500 %	6/1/33
British American Tobacco P LC	Separately managed account	4.742 %	3/16/32
TC Energy Corp	Separately managed account	5.875 %	8/15/76
Vodafone Group PLC	Separately managed account	7.000 %	4/4/79
T-Mobile U.S. Inc	Separately managed account	3.875 %	4/15/30
Prosus NV	Separately managed account	4.987 %	1/19/52
Imperial Brands PLC	Separately managed account	3.875 %	7/26/29
Ford Motor Credit Co. LLC	Separately managed account	2.700 %	8/10/26
Cemex SAB de CV	Separately managed account	5.200 %	9/17/30
TC Energy Corp	Separately managed account	5.500 %	9/15/79
Bayer AG	Separately managed account	6.375 %	11/21/30
Prosus NV	Separately managed account	3.680 %	1/21/30
T-Mobile U.S. Inc	Separately managed account	3.375 %	4/15/29
Prosus NV	Separately managed account	4.850 %	7/6/27
AT&T Inc	Separately managed account	2.750 %	6/1/31
Ford Motor Credit C o. LLC	Separately managed account	6.800 %	5/12/28
CVS Health Corp	Separately managed account	7.000 %	3/10/55
Bayer AG	Separately managed account	6.500 %	11/21/33
Mars Inc	Separately managed account	4.800 %	3/1/30
Charter Communications Inc	Separately managed account	5.750 %	4/1/48
Charter Communications Inc	Separately managed account	4.500 %	5/1/32
Cox Enterprises Inc	Separately managed account	3.350 %	9/15/26
GE HealthCare Technologies Inc	Separately managed account	5.905 %	11/22/32
Ford Motor Credit Co. LLC	Separately managed account	2.900 %	2/10/29
Ultrapar Participacoes SA	Separately managed account	5.250 %	10/6/26
CVS Health Corp	Separately managed account	5.125 %	2/21/30
Bayer AG	Separately managed account	6.250 %	1/21/29
Ford Motor Credit C o. LLC	Separately managed account	7.350 %	11/4/27
Mars Inc	Separately managed account	4.600 %	3/1/28
Elanco Animal Health Inc	Separately managed account	6.650 %	8/28/28
Prosus NV	Separately managed account	4.193 %	1/19/32
Charter Communications Inc	Separately managed account	4.250 %	1/15/34
Synopsys Inc	Separately managed account	4.850 %	4/1/30
T-Mobile U.S. Inc	Separately managed account	3.500 %	4/15/31
Charter Communications Inc	Separately managed account	6.750 %	6/15/39
Synopsys Inc	Separately managed account	5.000 %	4/1/32
CVS Health Corp	Separately managed account	4.300 %	3/25/28
Fibercop SpA	Separately managed account	7.721 %	6/4/38
T-Mobile U.S. Inc	Separately managed account	2.250 %	2/15/26
HCA Healthcare Inc	Separately managed account	4.125 %	6/15/29
The Cigna Group	Separately managed account	4.375 %	10/15/28
Verizon Communications Inc	Separately managed account	2.550 %	3/21/31
Oracle Corp	Separately managed account	2.950 %	4/1/30

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(a)	(b)	(c)	(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	Current Value	
Cemex SAB de CV	Separately managed account	3.875 % 7/11/31	336,670
CVS Health Cor p	Separately managed account	3.750 % 4/1/30	335,183
Imperial Brands PLC	Separately managed account	6.125 % 7/27/27	332,488
Ford Motor Credit Co. LLC	Separately managed account	5.125 % 11/5/26	329,357
The Cigna Group	Separately managed account	2.400 % 3/15/30	317,953
Kinder Morgan Inc	Separately managed account	6.950 % 1/15/38	317,707
VMware Inc	Separately managed account	1.400 % 8/15/26	312,012
Ford Motor Credit Co. LLC	Separately managed account	5.730 % 9/5/30	310,158
Oracle Corp	Separately managed account	4.800 % 8/3/28	302,290
Philip Morris International Inc	Separately managed account	5.375 % 2/15/33	298,478
CVS Health Corp	Separately managed account	5.400 % 6/1/29	296,456
British American Tobacco PLC	Separately managed account	6.421 % 8/2/33	288,153
Kinder Morgan In c	Separately managed account	6.500 % 9/1/39	280,527
Cemex SAB de CV	Separately managed account	5.450 % 11/19/29	280,215
Japan Tobacco Inc	Separately managed account	5.250 % 6/15/30	270,368
Charter Communications Inc	Separately managed account	5.375 % 5/1/47	262,301
GE HealthCare Technologies Inc	Separately managed account	4.800 % 8/14/29	260,105
Meta Platforms Inc	Separately managed account	4.600 % 11/15/32	253,863
Kinder Morgan Inc	Separately managed account	5.000 % 8/15/42	252,509
Meta Platforms Inc	Separately managed account	4.875 % 11/15/35	251,629
Imperial Brands PLC	Separately managed account	5.875 % 7/1/34	247,249
Cox Enterprises Inc	Separately managed account	5.450 % 9/15/28	245,539
RTX Corp	Separately managed account	6.100 % 3/15/34	244,652
British American Tobacco PLC	Separately managed account	2.726 % 3/25/31	236,959
Ford Motor Credit Co. LLC	Separately managed account	6.532 % 3/19/32	234,138
Ford Motor Credit Co. LLC	Separately managed account	4.950 % 5/28/27	226,838
HCA Healthcare Inc	Separately managed account	5.250 % 3/1/30	220,464
RTX Corp	Separately managed account	6.000 % 3/15/31	218,964
British American Tobacco PLC	Separately managed account	2.259 % 3/25/28	217,866
Kinder Morgan Inc	Separately managed account	6.500 % 2/1/37	201,033
Macy's Inc	Separately managed account	6.700 % 7/15/34	194,255
AT&T Inc	Separately managed account	2.550 % 12/1/33	192,509
Burlington Northern Santa Fe LLC	Separately managed account	3.442 % 6/16/28	189,795
Japan Tobacco Inc	Separately managed account	4.850 % 5/15/28	189,441
Philip Morris International Inc	Separately managed account	4.875 % 2/13/29	187,612
Japan Tobacco Inc	Separately managed account	5.850 % 6/15/35	187,036
Comcast Corp	Separately managed account	2.650 % 2/1/30	176,646
Cox Enterprises Inc	Separately managed account	1.800 % 10/1/30	167,134
British American Tobacco PLC	Separately managed account	7.750 % 10/19/32	165,695
Charter Communications Inc	Separately managed account	6.550 % 5/1/37	165,020
Philip Morris International Inc	Separately managed account	5.500 % 9/7/30	160,334
Comcast Corp	Separately managed account	4.950 % 5/15/32	154,592
Mars Inc	Separately managed account	4.450 % 3/1/27	153,307
TC Energy Corp	Separately managed account	5.600 % 3/7/82	150,811
Philip Morris International Inc	Separately managed account	5.625 % 11/17/29	148,141
CVS Health Corp	Separately managed account	5.550 % 6/1/31	147,167
Cox Enterprises Inc	Separately managed account	3.500 % 8/15/27	145,256
HCA Healthcare Inc	Separately managed account	3.125 % 3/15/27	144,816
British American Tobacco P LC	Separately managed account	6.343 % 8/2/30	144,017
Dell Technologies In c	Separately managed account	6.100 % 7/15/27	142,486
Cox Enterprises Inc	Separately managed account	5.700 % 6/15/33	141,560
Charter Communications Inc	Separately managed account	4.250 % 2/1/31	140,484

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(a)	(b)	(c)		(e)
Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value			Current Value
Charter Communications Inc	Separately managed account	4.400 %	4/1/33	138,195
Philip Morris International Inc	Separately managed account	5.125 %	2/13/31	131,981
Philip Morris International Inc	Separately managed account	5.750 %	11/17/32	129,091
Synopsys Inc	Separately managed account	4.650 %	4/1/28	123,012
Philip Morris International Inc	Separately managed account	5.125 %	2/15/30	121,099
The Cigna Group	Separately managed account	5.000 %	5/15/29	113,676
Comcast Corp	Separately managed account	3.400 %	4/1/30	112,509
Dillard's Inc	Separately managed account	7.000 %	12/1/28	105,641
The Cigna Group	Separately managed account	7.875 %	5/15/27	100,484
T-Mobile U.S. Inc	Separately managed account	5.200 %	1/15/33	100,332
Kinder Morgan Inc	Separately managed account	5.150 %	6/1/30	98,637
HCA Healthcare Inc	Separately managed account	5.000 %	3/1/28	93,178
Kinder Morgan Inc	Separately managed account	4.800 %	2/1/33	92,006
CVS Health Corp	Separately managed account	6.750 %	12/10/54	83,865
Oracle Corp	Separately managed account	4.200 %	9/27/29	79,282
Dillard's Inc	Separately managed account	7.750 %	7/15/26	78,654
Charter Communications Inc	Separately managed account	4.800 %	3/1/50	76,720
Nordstrom Inc	Separately managed account	6.950 %	3/15/28	73,648
CVS Health Corp	Separately managed account	4.125 %	4/1/40	73,515
CVS Health Corp	Separately managed account	1.875 %	2/28/31	70,704
T-Mobile U.S. Inc	Separately managed account	2.550 %	2/15/31	60,009
CVS Health Corp	Separately managed account	2.125 %	9/15/31	48,660
Dillard's Inc	Separately managed account	7.750 %	5/15/27	46,977
T-Mobile U.S. Inc	Separately managed account	4.750 %	2/1/28	45,908
Verizon Communications Inc	Separately managed account	1.750 %	1/20/31	44,403
CVS Health Corp	Separately managed account	3.250 %	8/15/29	43,992
HCA Healthcare Inc	Separately managed account	5.250 %	6/15/26	40,124
CVS Health Corp	Separately managed account	5.250 %	1/30/31	36,938
AT&T Inc	Separately managed account	4.300 %	2/15/30	25,438
Dominion Energy Inc	Separately managed account	3.375 %	4/1/30	1,158,197
The Southern Co	Separately managed account	4.000 %	1/15/51	1,150,165
NextEra Energy Inc	Separately managed account	4.685 %	9/1/27	1,130,250
The Southern Co	Separately managed account	5.113 %	8/1/27	777,969
NextEra Energy Inc	Separately managed account	5.050 %	3/15/30	774,414
NextEra Energy Inc	Separately managed account	4.900 %	3/15/29	732,688
NextEra Energy Inc	Separately managed account	4.850 %	2/4/28	586,540
The Southern Co	Separately managed account	3.750 %	9/15/51	518,379
NextEra Energy Inc	Separately managed account	4.625 %	7/15/27	505,705
Enel SPA	Separately managed account	6.000 %	10/7/39	457,882
Dominion Energy Inc	Separately managed account	5.000 %	6/15/30	453,049
The Southern Co	Separately managed account	4.850 %	6/15/28	346,880
Enel SPA	Separately managed account	6.800 %	9/15/37	319,947
Dominion Energy Inc	Separately managed account	1.450 %	4/15/26	109,517
Dominion Energy Inc	Separately managed account	4.600 %	5/15/28	101,755
Citigroup Inc	Separately managed account	7.257 %	10/30/40	1,822,414
Bank of America Corp	Separately managed account	3.846 %	3/8/37	1,440,249
UniCredit SPA	Separately managed account	7.296 %	4/2/34	1,433,707
JPMorgan Chase & Co	Separately managed account	4.493 %	3/24/31	1,327,038
UBS Group AG	Separately managed account	5.959 %	1/12/34	1,274,131
Barclays PLC	Separately managed account	6.490 %	9/13/29	1,253,861
Boston Properties Inc	Separately managed account	3.250 %	1/30/31	1,246,469
The Goldman Sachs Group Inc	Separately managed account	3.615 %	3/15/28	1,236,263

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(a)	(b)	(c)			(e)
	Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value			Current Value
	BNP Paribas SA	Separately managed account	4.625 %	3/13/27	1,068,497
	UniCredit SPA	Separately managed account	5.459 %	6/30/35	983,785
	JPMorgan Chase & C o	Separately managed account	2.956 %	5/13/31	937,832
	HSBC Holdings PLC	Separately managed account	6.500 %	5/2/36	893,225
	NatWest Group PL C	Separately managed account	1.642 %	6/14/27	885,941
	HSBC Holdings PLC	Separately managed account	6.500 %	9/15/37	753,376
	BNP Paribas SA	Separately managed account	5.786 %	1/13/33	737,564
	Bank of America Corp	Separately managed account	3.419 %	12/20/28	736,408
	BNP Paribas SA	Separately managed account	5.906 %	11/19/35	731,641
	HSBC Holdings PLC	Separately managed account	4.762 %	3/29/33	719,552
	HSBC Holdings PLC	Separately managed account	8.113 %	11/3/33	699,166
	The Charles Schwab Corp	Separately managed account	6.196 %	11/17/29	681,738
	Lloyds Banking Group PLC	Separately managed account	7.953 %	11/15/33	645,413
	BNP Paribas SA	Separately managed account	5.085 %	5/9/31	637,464
	NatWest Group PLC	Separately managed account	5.808 %	9/13/29	598,383
	Lloyds Banking Group PLC	Separately managed account	4.650 %	3/24/26	593,045
	Bank of America Corp	Separately managed account	4.250 %	10/22/26	581,035
	BNP Paribas SA	Separately managed account	4.375 %	5/12/26	578,285
	Wells Fargo & Co	Separately managed account	4.300 %	7/22/27	572,818
	Elevance Health Inc	Separately managed account	4.750 %	2/15/30	550,069
	NatWest Group PLC	Separately managed account	6.475 %	6/1/34	538,210
	HSBC Holdings PLC	Separately managed account	5.240 %	5/13/31	529,004
	UBS Group AG	Separately managed account	4.194 %	4/1/31	525,501
	HSBC Holdings PLC	Separately managed account	3.973 %	5/22/30	520,878
	NatWest Group PLC	Separately managed account	3.032 %	11/28/35	507,217
	Wells Fargo & Co	Separately managed account	5.198 %	1/23/30	489,488
	Barclays PLC	Separately managed account	5.829 %	5/9/27	481,672
	Lloyds Banking Group PLC	Separately managed account	5.721 %	6/5/30	473,481
	JPMorgan Chase & Co	Separately managed account	4.250 %	10/1/27	473,320
	Wells Fargo & Co	Separately managed account	4.100 %	6/3/26	461,509
	Bank of America Corp	Separately managed account	4.623 %	5/9/29	458,975
	Bank of America Corp	Separately managed account	4.979 %	1/24/29	452,586
	Capital One Financial Corp	Separately managed account	7.624 %	10/30/31	445,795
	Boston Properties Inc	Separately managed account	6.500 %	1/15/34	437,034
	Wells Fargo & Co	Separately managed account	5.707 %	4/22/28	433,288
	The Goldman Sachs Group Inc	Separately managed account	4.937 %	4/23/28	418,506
	JPMorgan Chase & Co	Separately managed account	4.125 %	12/15/26	416,508
	The Goldman Sachs Group Inc	Separately managed account	5.218 %	4/23/31	406,654
	The Charles Schwab Corp	Separately managed account	5.643 %	5/19/29	396,978
	Wells Fargo & Co	Separately managed account	4.897 %	7/25/33	388,791
	Lloyds Banking Group PLC	Separately managed account	4.818 %	6/13/29	382,011
	Boston Properties Inc	Separately managed account	4.500 %	12/1/28	378,830
	Lloyds Banking Group PLC	Separately managed account	3.750 %	3/18/28	372,618
	UBS Group AG	Separately managed account	5.580 %	5/9/36	366,768
	UBS Group AG	Separately managed account	5.617 %	9/13/30	366,005
	Barclays PLC	Separately managed account	4.836 %	5/9/28	365,590
	Citigroup Inc	Separately managed account	6.174 %	5/25/34	363,174
	HSBC Holdings PLC	Separately managed account	2.848 %	6/4/31	360,693
	JPMorgan Chase & Co	Separately managed account	8.750 %	9/1/30	349,899
	Capital One Financial Corp	Separately managed account	5.463 %	7/26/30	349,747
	Barclays PLC	Separately managed account	5.088 %	6/20/30	346,314
	Capital One Financial Corp	Separately managed account	4.927 %	5/10/28	341,283

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Boston Properties Inc	Separately managed account	3.400 % 6/21/29	334,366
Wells Fargo & Co	Separately managed account	4.900 % 1/24/28	329,532
Citigroup Inc	Separately managed account	4.412 % 3/31/31	318,460
The Charles Schwab Corp	Separately managed account	6.136 % 8/24/34	316,811
JPMorgan Chase & Co	Separately managed account	4.505 % 10/22/28	315,715
Wells Fargo & Co	Separately managed account	5.389 % 4/24/34	315,139
Bank of America Corp	Separately managed account	2.496 % 2/13/31	291,711
JPMorgan Chase & Co	Separately managed account	5.717 % 9/14/33	290,030
The Goldman Sachs Group Inc	Separately managed account	4.153 % 10/21/29	277,159
Capital One Financial Corp	Separately managed account	6.312 % 6/8/29	273,906
NatWest Group PLC	Separately managed account	6.016 % 3/2/34	273,167
UBS Group AG	Separately managed account	6.301 % 9/22/34	271,503
Barclays PLC	Separately managed account	5.501 % 8/9/28	271,277
The Goldman Sachs Group Inc	Separately managed account	5.727 % 4/25/30	247,977
HSBC Holdings PLC	Separately managed account	4.950 % 3/31/30	238,658
Boston Properties Inc	Separately managed account	2.900 % 3/15/30	227,073
JPMorgan Chase & Co	Separately managed account	2.522 % 4/22/31	224,727
Elevance Health Inc	Separately managed account	2.250 % 5/15/30	188,804
JPMorgan Chase & Co	Separately managed account	4.586 % 4/26/33	172,304
JPMorgan Chase & Co	Separately managed account	5.040 % 1/23/28	170,357
The Goldman Sachs Group Inc	Separately managed account	4.692 % 10/23/30	168,714
Citigroup Inc	Separately managed account	4.450 % 9/29/27	167,938
Boston Properties Inc	Separately managed account	3.650 % 2/1/26	167,388
Bank of America Corp	Separately managed account	6.204 % 11/10/28	162,425
Wells Fargo & Co	Separately managed account	2.879 % 10/30/30	157,768
Wells Fargo & Co	Separately managed account	2.572 % 2/11/31	146,318
Bank of America Corp	Separately managed account	3.593 % 7/21/28	141,271
The Charles Schwab Corp	Separately managed account	5.853 % 5/19/34	140,143
Capital One Financial Corp	Separately managed account	5.700 % 2/1/30	138,249
Bank of America Corp	Separately managed account	4.948 % 7/22/28	129,502
Wells Fargo & Co	Separately managed account	2.393 % 6/2/28	127,273
Boston Properties Inc	Separately managed account	6.750 % 12/1/27	105,159
Bank of America Corp	Separately managed account	5.288 % 4/25/34	93,532
Bank of America Corp	Separately managed account	5.202 % 4/25/29	93,163
Elevance Health Inc	Separately managed account	4.500 % 10/30/26	91,061
Elevance Health Inc	Separately managed account	5.150 % 6/15/29	82,732
Unum Group	Separately managed account	7.250 % 3/15/28	69,524
Bank of America Corp	Separately managed account	2.572 % 10/20/32	63,488
Bank of America Corp	Separately managed account	5.015 % 7/22/33	62,508
Wells Fargo & Co	Separately managed account	3.350 % 3/2/33	56,665
Capital One Financial Corp	Separately managed account	3.750 % 7/28/26	40,554
JPMorgan Chase & Co	Separately managed account	2.739 % 10/15/30	33,405
Unum Group	Separately managed account	6.750 % 12/15/28	31,947
<i>Total Corporate</i>			102,811,580
Total Separately managed accounts			392,626,828
* Notes Receivable from Participants Participant loans (4.25% to 10.00% due through January 2031)			99,023,368
Net assets available for benefits			\$ 10,227,618,561

* Party in interest as defined by ERISA

Note: Cost is omitted because plan investments are participant directed