

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2025 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025	
A	This return/report is for: ☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____ ☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C	If the plan is a collectively-bargained plan, check here. ▶ ☐
D	Check box if filing under: ☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E	If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ▶ ☐

Part II	Basic Plan Information—enter all requested information
1a	Name of plan APPLE 401(K) PLAN
1b	Three-digit plan number (PN) ▶ 001
1c	Effective date of plan 03/31/1984
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) APPLE INC. ONE APPLE PARK WAY MS 41-4BEN CUPERTINO, CA 95014
2b	Employer Identification Number (EIN) 94-2404110
2c	Plan Sponsor's telephone number 408-974-3833
2d	Business code (see instructions) 334110

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/31/2026	RICHARD SHERWOOD
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 145428
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g(1) Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) g(2) Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....	 6a(1) 101217 6a(2) 102051 6b 0 6c 45154 6d 147205 6e 450 6f 147655 6g(1) 143849 6g(2) 146293 6h
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

2A 2E 2F 2G 2J 2K 2R 2S 2T 3F 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☒ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary
- (4)** ☐ **DCG** (Individual Plan Information) – Number Attached _____
- (5)** ☐ **MEP** (Multiple-Employer Retirement Plan Information)

b General Schedules

- (1)** ☒ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information – Small Plan)
- (3)** ☐ **A** (Insurance Information) – Number Attached 0
- (4)** ☒ **C** (Service Provider Information)
- (5)** ☒ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2025 Form M-1 annual report. If the plan was not required to file the 2025 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2025
		This Form is Open to Public Inspection.

For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025		
A Name of plan APPLE 401(K) PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 APPLE INC.	D Employer Identification Number (EIN) 94-2404110	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. ☒ Yes ☐ No
- b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
BLACKROCK INSTI. TRUST COMPANY, N.A	55 EAST 52ND STREET NEW YORK, NY 10055
(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
CHARLES SCHWAB & CO. INC. AND AFFIL	
94-1737782	
(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
GALLIARD CAPITAL MANAGEMENT, INC.	
41-1813702	
(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation	
FID INV INST OPS CO	
04-2647786	

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

RUSSELL INVESTMENTS CAPITAL, LLC

91-1659779

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	NONE	5846372	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

FINANCIAL ENGINES

77-0473565

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	INV. ADVISORY	3193997	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FID INV INST OPS

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 64 65 71	RECORDKEEPIN G	1841676	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WILLIAM BLAIR INVESMENT MGMT

47-2614791

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28 50	NONE	254019	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FISHER INVESTMENT

94-3029777

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	230089	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MARINER WEALTH ADVISORS, LLC

20-4553256

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	187709	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BARROW HANELY, MEWHINNEY & STRAUS

JP MORGAN CHASE TOWER 2200 ROSS AVE
31ST FLOOR
DALLAS, TX 75201

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 28 50	NONE	159963	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

TWIN PEAK WEALTH ADVISORS

81-1580168

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	153463	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CREATIVE PLANNING

43-1270780

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	32406	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

TITLEIST ASSET MGMT LLC

92-0660938

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	28028	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

DYNAMIC WEALTH

26-3945222

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	26933	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ZUCKERMAN INVESTMENT GROUP

37-1877552

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	24844	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SFMG WEALTH ADVISORS

20-2107602

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	24341	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

MISSION WEALTH MGMT LP

82-3762916

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	16825	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ABOUND WEALTH MGMT LLC

81-4120898

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	16727	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SUMMITRY LLC

20-2133053

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	15805	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

EP WEALTH ADVISORS LLC

33-0152539

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	15769	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BRIGHTON JONE LLC

91-2007475

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	11110	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

IMA

84-0783118

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	9511	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

AZZAD ASSET MGMT INC

22-3746116

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	9444	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CHURCHILL MGMT GROUP

95-2472632

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	8233	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FINANCIAL MANAG NETWORK INC

33-0490707

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	7098	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

BLOOM ADVISORS

20-0566114

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	6835	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CORIENT

88-0967642

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	5816	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FORUM FINANCIAL MGMT LP

38-3649535

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INV. ADVISORY	5414	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	99	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FINANCIAL 77-0473565	(I) 0.13% FIRST \$500 MILLION (UP TO \$65000) (II) .065% NEXT \$500 MILLION (OR UP TO \$325000) WITH NO ADDITIONAL FEE ABOVE \$975000.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ACAP STRATEGIC FD CLASS W 350 MADISON AVNEUE 9TH FLOOR NEW YORK, NY 10017	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO CORE PLUS BOND FUND CL A 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO GLOBAL REAL ESTATE INCOME C 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO GLOBAL REAL ESTATE INC FD C 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO SHORT TERM BOND FUND A 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO STEELPATH MLP SELECT 40 A 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO SMALL CAP VALUE CLASS Y 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO GOLD & SPEC MINERALS A 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO DEVELOPING MARKETS A 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMG GW&K SMALL CAP VALUE CLASS N 600 STEAMBOAT RD STE 300 GREENWICH, CT 06830	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMG YACHTMAN GLOBAL CLASS I 600 STEAMBOAT RD STE 300 GREENWICH, CT 06830	0.07%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMG YACKTMAN FOCUSED N 600 STEAMBOAT RD STE 300 GREENWICH, CT 06830	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR MS FUSION HV FUND CLASS I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR CVX FUSION FUND CLASS N 1 GREENWICH PLAZA GREENWICH, CT 06830	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR DIVERSIFYING STRATEGIES FUND CL 1 GREENWICH PLAZA GREENWICH, CT 06830	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR DIVERSIFYING STRATEGIES FUND CL 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR EQUITY MARKET NEUTRAL FD CL I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR ALT RISK PREMIA CLASS I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR GLOBAL MACRO OPPORTUNITIES FD I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR STYLE PREMIA ALTERNATIVE CL I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR LONG SHORT EQUITY CL N 1 GREENWICH PLAZA GREENWICH, CT 06830	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR LONG SHORT EQUITY FUND CL I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR INTERNATIONAL MULTI STYLE FUND 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR RISK BALANCED COMMOD STRATEGY F 1 GREENWICH PLAZA GREENWICH, CT 06830	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR MANAGED FUTURES FUND CL I 1 GREENWICH PLAZA GREENWICH, CT 06830	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AQR INTERNATIONAL MOMENTUM STYLE CL 1 GREENWICH PLAZA GREENWICH, CT 06830	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ABERDEEN EMERGING MARKETS EX-CHINA 1735 MARKET ST 32ND FL PHILADELPHIA, PA 19103	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AEGIS VALUE FUND INC 6862 ELM ST STE 830 MCLEAN, VA 22101	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CHAMPLAIN SMALL COMPANY FUND ADV CL ONE FREEDOM VALLEY DR OAKS, PA 19456	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RIVER CANYON TOTAL RETURN BOND INST 2000 AVE OF THE STARS 11TH FL LOS ANGELES, CA 90067	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PZENA EMERGING MRKTS FOCUSED VAL IN 320 PARK AVE 8TH FL NEW YORK, NY 10022	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADV PREFERRED GOLD BULLION STRAT IN 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
QUANTIFIED ECKHARDT MANAGD FUT STRA 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
QUANTIFIED GLOBAL FUND INVESTOR 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
QUANTIFIED GOVTT INC TACTICAL INVST 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
QUANTIFIED TACTICAL SECTOR FUND INV 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS PRFD QUANFDMARKET LEADERS 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
QUANTIFIED COMMON GROUND FD INVST 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
QUANTIFIED PATTERN RECOGNITION INVS 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
QUANTIFIED EVOLUTION PLUS INVST 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS PRFD QUANTIFIED STF ADVSR 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	\$12.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS PREFERRED QUANTIFIED STF I 1445 RESEARCH BLVD STE 530 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GQG PARTNERS EMERG MARKETS EQ INSTL 1 FREEDOM VALLEY DR OAKS, PA 19456	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GQG PARTNERS EMRGING MARKETS EQUITY 1 FREEDOM VALLEY DR OAKS, PA 19456	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GQG PARTNERS GLOBAL QUALITY EQ INVE 1 FREEDOM VALLEY DR OAKS, PA 19456	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GQG PARTNERS US QUALITY SEL EQ INST 1 FREEDOM VALLEY DR OAKS, PA 19456	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GQG PARTNERS US QULTSELECT EQUITY I 1 FREEDOM VALLEY DR OAKS, PA 19456	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PATIENT OPPORTUNITY TRUST CLASS A ONE SOUTH STREET SUITE 2550 BALTIMORE, MD 21202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
1919 FINANCIAL SERVICES FUND CL A 2020 E FINANCIAL WAY STE 100 GLEN DORA, CA 91741	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO INTL VALUE INVESTOR 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
INVESCO GLOBAL OPPORTUNITIES Y 11 GREENWAY PLAZA STE 100 HOUSTON, TX 77046	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALGER MID CAP GROWTH FUND A 600 PLAZA ONE 6TH FL JERSEY CITY, NJ 07311	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALGER FOCUS EQUITY CL I 600 PLAZA ONE 6TH FL JERSEY CITY, NJ 07311	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALGER FOCUS EQUITY CL A 600 PLAZA ONE 6TH FL JERSEY CITY, NJ 07311	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB BOND INFLATION STRATEGY ADV 8000 IH 10 W STE 1400 14TH FL SAN ANTONIO, TX 78230	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB HIGH INCOME CLASS A 8000 IH 10 W STE 1400 14TH FL SAN ANTONIO, TX 78230	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB LARGE CAP GROWTH CLASS A 8000 IH 10 W STE 1400 14TH FL SAN ANTONIO, TX 78230	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AB LARGE CAP GROWTH ADVISOR CLASS 8000 IH 10 W STE 1400 14TH FL SAN ANTONIO, TX 78230	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
IMAN FUND RETAIL SHARES 721 ENTERPRISE DR OAKBROOK, IL 60523	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA MUTUAL FUND TRUST INCOME 1300 NORTH STATE ST BELLINGHAM, WA 98225	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA MUTUAL FUND TRUST GROWTH 1300 NORTH STATE ST BELLINGHAM, WA 98225	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA DEVELOPING WORLD FUND 1300 NORTH STATE ST BELLINGHAM, WA 98225	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA INCOME FUND INSTITUTIONAL 1300 NORTH STATE ST BELLINGHAM, WA 98225	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA GROWTH FUND INSTITUTIONAL 1300 NORTH STATE ST BELLINGHAM, WA 98225	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA PARTICIPATION FD INVESTOR CLA 1300 NORTH STATE ST BELLINGHAM, WA 98225	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMANA PARTICIPATION FD INSTITUTIONA 1300 NORTH STATE ST BELLINGHAM, WA 98225	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BALANCED CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BEACON ARK TRANS INNOVTN I 220 E LAS COLINAS BLVD STE 1200 IRVING, TX 75039	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BEACON SSI ALTERNATIVE INC 220 E LAS COLINAS BLVD STE 1200 IRVING, TX 75039	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BEACON IMC INTL SMALL CAP 220 E LAS COLINAS BLVD STE 1200 IRVING, TX 75039	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AVANTIS US SMALL CAP VALUE FUND 4400 MAIN ST 1ST FL KANSAS CITY, MO 64111	0.04%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AVANTIS INTL SMALL CAP VALUE FUND 4400 MAIN ST 1ST FL KANSAS CITY, MO 64111	0.05%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY GLB REAL ESTATE 4400 MAIN ST 1ST FL CL KANSAS CITY, MO 64111	0.07%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENT ONE CHOICE VERY CON 4400 MAIN ST 1ST FL I KANSAS CITY, MO 64111	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CEN FOCUSED DYNAMIC GROWTH 4400 MAIN ST 1ST FL KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY GLOBAL GOLD INV CL 4400 MAIN ST 1ST FL KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY UTILITIES INVESTOR 4400 MAIN ST 1ST FL KANSAS CITY, MO 64111	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY GOVERNMENT BD CL I 4400 MAIN ST 1ST FL KANSAS CITY, MO 64111	0.05%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY INTL OPP. FUND INV 4400 MAIN ST 1ST FL KANSAS CITY, MO 64111	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY SHORT DURATION I 4400 MAIN ST 1ST FL KANSAS CITY, MO 64111	0.05%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN STRATEGIC BOND FUND F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN MUTUAL FUNDCLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN MUTUAL FUNDCL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WATER ISLAND CREDIT OPPORTUNITIES F 1290 BROADWAY STE 1100 DENVER, CO 80203	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARISTOTLE INTERNATL EQUITY CLASS I- 2220 E ROUTE 66 SUITE 226 GLENDDORA, CA 91740	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARISTOTLE FLOATING RATE INCOME FUND 2220 E ROUTE 66 SUITE 226 GLENDDORA, CA 91740	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN DEVELOPING WORLD FD INVESTO 875 E WISCONSIN AVE STE 800 MILWAUKEE, WI 53202	0.39%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN INTL VALUE FUND ADVISOR 875 E WISCONSIN AVE STE 800 MILWAUKEE, WI 53202	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN HIGH INCOME FUND ADVISOR 875 E WISCONSIN AVE STE 800 MILWAUKEE, WI 53202	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ARTISAN INTL VALUE FUND INV CL 875 E WISCONSIN AVE STE 800 MILWAUKEE, WI 53202	0.39%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ASPIRIANT RISK MGD TAXABLE BOND FUN 111 E KILBOURN AVE STE 1700 MILWAUKEE, WI 53202	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AXONIC STRATEGIC INCOME FUND INSTL 520 MADISON AVE 42ND FL NEW YORK, NY 10022	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AZZAD ETHICAL MID CAP FUND CL A	8000 TOWN CENTRE DR STE 400 BROADVIEW HEIGHTS, OH 44147-4031	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WISE CAPITAL FUND	8000 TOWN CENTRE DR STE 400 BROADVIEW HEIGHTS, OH 44147-4031	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BBH LIMITED DURATIONFUND CLASS N	140 BROADWAY NEW YORK, NY 10005	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON GLOBAL FIXED INCOME I 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON NATURAL RESOURCES A 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON GLOBAL STOCK A 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON INT STOCK I 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON DYNAMIC VALUE A 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON EQUITY INCOME A 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON MIDCAP INDEX INVESTOR 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BAILLIE GIFFORD EMERG MRKTS EQ INST 780 THIRD AVE NEW YORK, NY 10017	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BAIRD INTER BOND FD INSTL CL 777 E WISCONSIN AVE 18TH FL MILWAUKEE, WI 53202	0.03%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BAIRD AGGREGATE BOND FUND INSTL 777 E WISCONSIN AVE 18TH FL MILWAUKEE, WI 53202	0.03%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BAIRD AGGREGATE BONDFUND INVESTOR C 777 E WISCONSIN AVE 18TH FL MILWAUKEE, WI 53202	0.28%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BAIRD CORE PLUS BOND INSTITUTIONAL 777 E WISCONSIN AVE 18TH FL MILWAUKEE, WI 53202	0.03%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BAIRD CORE PLUS BOND FD INVESTOR CL 777 E WISCONSIN AVE 18TH FL MILWAUKEE, WI 53202		0.28%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK LIFEPATH INDEX 2060 INVST 40 EAST 52ND ST NEW YORK, NY 10022		0.05%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ISHARES S&P 500 INDEX INVESTOR A 40 EAST 52ND ST NEW YORK, NY 10022		0.30%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON DURABLE ADVANTAGE FD RETAIL 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON DISCOVERY FUNDRETAIL SHARES 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON PARTNERS FUND 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON FOCUSED GROWTHFUND 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON PARTNERS FUND INSTL CLASS 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON FOCUSED GROWTH CLASS I 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON WEALTHBUILDER FUND INSTL CL 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON EMERGING MARKETS FUND INSTL 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BARON EMERGING MARKETS FUND RETAIL 767 5TH AVE 49TH FL NEW YORK, NY 10153	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BEACON POINTE MULTI ALTERNATIVE FUN 4221 NORTH 203RD ST SUITE 100 NEWPORT BEACH, CA 92660	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BERKSHIRE FOCUS FUND 475 MILAN DR STE 103 SAN JOSE, CA 95134	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK TACTICAL OPPORTUNITIES IN 40 EAST 52ND ST NEW YORK, NY 10022	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK MID CAP GROWTH EQUITY CL 40 EAST 52ND ST NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK TECHNOLOGY OPPORTUNITIES C 40 EAST 52ND ST NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK TECHNOLOGY OPPORTUNITIES 40 EAST 52ND ST NEW YORK, NY 10022	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ISHARES RUSSELL SM MID CP IDX INV A 40 EAST 52ND ST NEW YORK, NY 10022	0.30%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK GL EQUITY MARKET NEUTRAL 40 EAST 52ND ST NEW YORK, NY 10022	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK GL EQUITY MARKET NEUTRAL 40 EAST 52ND ST NEW YORK, NY 10022	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK MANAGED INCOME INSTITUTIO 40 EAST 52ND ST NEW YORK, NY 10022	0.08%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK MANAGED INCOME INVESTOR A 40 EAST 52ND ST NEW YORK, NY 10022	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK ADVANTAGE INTERNATIONAL C 40 EAST 52ND ST NEW YORK, NY 10022	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK HEALTH SCIENCES OPP PRT A 40 EAST 52ND ST NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK EVENT DRIVEN EQUITY INSTL 40 EAST 52ND ST NEW YORK, NY 10022	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK STRATEGIC GLOBAL BD INVES 40 EAST 52ND ST NEW YORK, NY 10022	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK STRATEGIC GLOBAL BD FD- I 40 EAST 52ND ST NEW YORK, NY 10022	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ISHARES MSCI EAFE INTL IDX INSTL 40 EAST 52ND ST NEW YORK, NY 10022	0.05%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK MULTI - ASSET INCOME INST 40 EAST 52ND ST NEW YORK, NY 10022	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKSTONE ALTERN TV MULTI STRATEGY 345 PARK AVE NEW YORK, NY 10154	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ISHARES RUSSELL MID CAP IDX INVESTO 40 EAST 52ND ST NEW YORK, NY 10022	0.30%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK STRATEGIC INCOME OPPRTSI 40 EAST 52ND ST NEW YORK, NY 10022	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK HIGH YIELDPORTFOLIO INSTL 40 EAST 52ND ST NEW YORK, NY 10022	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK HIGH YIELDPORTFOLIO INVES 40 EAST 52ND ST NEW YORK, NY 10022	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK SYSTEMATICMULTI-STRATEGY 40 EAST 52ND ST NEW YORK, NY 10022	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKSTONE PRIVATE MULTI ASSET CR & 345 PARK AVENUE NEW YORK, NY 10154	0.25%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WILLIAM BLAIR SMALL MID CAP GROWTH 222 WEST ADAMS ST 12TH FL CHICAGO, IL 60606	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WILLIAM BLAIR SMALL MID CAP GROWTH 222 WEST ADAMS ST 12TH FL CHICAGO, IL 60606	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BNY MELLON SMALLCAP STOCK INDEX INV 144 GLENN CURTISS BLVD 8TH FL UNIONDALE, NY 11556	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BOND FUND OF AMERICA CLASS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BOND FUND OF AMERICA CLASS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN BOND FUND OF AMERICA CL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BRIDGEWAY SMALL CAP VALUE FUND CL N 20 GREENWAY PLAZA STE 450 HOUSTON, TX 77046	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CENTER COAST BROOK FIELD MIDSTRM FC 71 SOUTH WACKER DR STE 3400 CHICAGO, IL 60606-2841	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BROWN ADVISORY SUSTAIN GROWTH INST 777 E WISCONSIN AVE 4TH FL MILWAUKEE, WI 53202	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BROWN ADVISORY SUSTAIN GROWTH INV 777 E WISCONSIN AVE 4TH FL MILWAUKEE, WI 53202	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BROWN ADVISORY GRWTH EQUITY INVESTO 777 E WISCONSIN AVE 4TH FL MILWAUKEE, WI 53202	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BROWN ADVISORY WMC STRAT EUROPN EQ 777 E WISCONSIN AVE 4TH FL MILWAUKEE, WI 53202		0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BROWN INTERNATIONAL SMALL COMPANY I 1290 BROADWAY STE 1100 DENVER, CO 80203		0.40%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BROWN CAPITAL MGT SMALL COMPANY INV 1290 BROADWAY STE 1100 DENVER, CO 80203		0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BUFFALO MID CAP DISCOVERY 615 E MICHIGAN ST MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BUFFALO MID CAP GROWTH 615 E MICHIGAN ST MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BUFFALO EARLY STAGE GROWTH FUND 615 E MICHIGAN ST MILWAUKEE, WI 53202	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BUFFALO INTERNATIONAL FUND 615 E MICHIGAN ST MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CM ADVISORS FIXED INCOME FD 805 LAS CIMAS PRKWY STE 430 AUSTIN, TX 78746	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALAMOS MARKET NEUTRAL INCOME CL I 2020 CALAMOS COURT NAPERVILLE, IL 60563	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALAMOS PHINEUS LONG /SHORT CL I 2020 CALAMOS COURT NAPERVILLE, IL 60563	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT U.S. LRG CAP CORE RESPNSBL 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT U.S. LRG CAPCORE RESPNSBL I 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT EQUITY FUND CLASS A 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT BOND FUND CLASS A 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT EQUITY FUND CLASS I 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT SMALL CAP CL A 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT GREEN BOND FUND CL A 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT SMALL CAP CL I 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT US MID CAP CORE RSPNSBL IND 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT INTRNTL RESPBL INDEX A 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT INTL EQUITY CLASS A 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT EMERGING MARKETS EQUITY CL 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT INTL EQUITY CLASS I 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT EMERGING MARKETS EQUITY CL 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CALVERT INTERNATL OPPORTUNITIES FUN 4550 MONTGOMERY AVE STE 1000 N BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CANTOR FITZGERALD INFRASTRUCTURE CL 110 E 59TH STREET CINCINNATI, OH 45246	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CANTOR GROWTH EQUITY FUND INSTL 225 PICTORIA DR STE 450 CINCINNATI, OH 45246	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CAPITAL WORLD GRWTH & INC 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FULLERTHALER BEHV SMALL CAP EQTY 411 BOREL AVE STE 300 IN SAN MATEO, CA 94402	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CARILLON SCOUT MID CAP FUND CL I P O BOX 33022 ST PETERSBURG, FL 33733-8022	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CARLYLE TACTICAL PRIVATE CREDIT N 520 MADISON AVE NEW YORK, NY 10010	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CASCADE PRIVATE CAPITAL FUND INSTL 4640 ADMIRALTY WAY 11TH FL MARINA DEL REY, CA 90292	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CAUSEWAY INTERNATNALVALUE INVESTOR P O BOX 1100 OAKS, PA 19456	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CAUSEWAY INTERNATNALVALUE INSTL P O BOX 1100 OAKS, PA 19456	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CITY NAT L ROCHDALE FXD INC OPP N 400 PARK AVE 3RD FL NEW YORK, NY 10022	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLIFFWATER CORPORATELENDING FUND I 4640 ADMIRALTY WAY 11TH FL MARINA DEL REY, CA 90292	0.18%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLIFFWATER ENHANCED LENDING FUND IN 4640 ADMIRALTY WAY 11TH FL MARINA DEL REY, CA 90292	0.18%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REAL ESTATE SECURITI 280 PARK AVE 10TH FL NEW YORK, NY 10017	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REALTY SHARES 280 PARK AVE 10TH FL NEW YORK, NY 10017	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REALTY I 280 PARK AVE 10TH FL NEW YORK, NY 10017	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN& STEERS GLBAL REALTY CL I 280 PARK AVE 10TH FL NEW YORK, NY 10017	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS INSTL REALTY SHARES 280 PARK AVE 10TH FL NEW YORK, NY 10017	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS GL INFRASTRUCTURE CL 280 PARK AVE 10TH FL NEW YORK, NY 10017	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS REAL ASSET FUND CL I 280 PARK AVE 10TH FL NEW YORK, NY 10017	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COHEN & STEERS FUTURE OF ENERGY A 280 PARK AVE 10TH FL NEW YORK, NY 10017	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA MORTGAGE OPPORTUNITIES I2 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SMALL CAP INDEX FUND A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA TOTAL RETURN BOND CL A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SELECT MID CAP GROWTH CL A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA GLOBAL TECHGROWTH CL A 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA STRATEGIC INCOME FUND CL I 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SELIGMAN GLOBAL TECHNOLOGY 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SELIGMAN TECH AND INFO CL 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SELIGMAN TECH AND INFO CL 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SELIGMAN TECH AND INFO CL 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA SMALL CAP VALUE DISCOVERY 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA DIVIDEND INCOME FUND CL I2 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COLUMBIA GLOBAL VALUE S 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COMMERCE VALUE INSTITUTIONAL 922 WALNUT ST 4TH FL KANSAS CITY, MO 64106	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CCM COMMUNITY IMPACT BOND FUND RETA 2500 WESTON ROAD STE 101 PLANTATION, FL 33324-2009	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CONESTOGA SMALL CAP INVESTOR CLASS 225 PICTORIA DR STE 450 CINCINNATI, OH 45246	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CONESTOGA SMID CAP FUND INVESTORS C 225 PICTORIA DR STE 450 CINCINNATI, OH 45246	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STEPSTONE PRIVATE MARKETS FUND CL I 128 S TRYON STREET SUITE 1600 CHARLOTTE, NC 28202	0.20%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CREDIT SUISSE STRATEGIC INCOME I ONE MADISON AVE 10TH FL NEW YORK, NY 10010	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CS FLOATING RATE HIGH INC INSTL SHS ONE MADISON AVE 10TH FL NEW YORK, NY 10010	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CS FLOATING RATE HIGH INCOME CL A ONE MADISON AVE 10TH FL NEW YORK, NY 10010	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INTERNATIONAL SUSTAIN CORE 1 PO 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US SUSTAIN CORE 1 PORTFOLIO I 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INTL REAL ESTATE SEC PORTFOLIO 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA INFLATION PROTECTED SEC PORT 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA INTERNATIONAL CORE EQUITY 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA US VECTOR EQUITY PRTF INSTL CL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US CORE EQUITY II 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA SHORT TERM GOVERNMENT PORT 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US CORE EQUITY I 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA EMERGING MRKTS CORE EQU PORTF 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US MICRO CAP PRTF INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DIMENSIONAL EMERGING MKTS VAL PRTF 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US TARGETED VALUE PRTF INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA ONE YEAR FIXED INCOME PRTF INST 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA EMERGING MARKETS SMALL CAP 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA INTERNATIONAL SMALL COMPANY POR	6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA INVT TWO YEAR GLOBAL FIXED INCO	6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA INTL SMALL CAP VALUE	6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA EMERGING MARKETS PORTFOLIO 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US SMALL CAP VALUE PRTF INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US LARGE CAP VALUE PRTF INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA REAL ESTATE SEC PRTF INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US SMALL CAP PORTFOLIO INSTL CL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA LARGE CAP INTL PORTFOLIO INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA INTERMEDIATE GOVT F I PRTF INST	6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA FIVE YEAR GLBL FIXED INC PRTF I	6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA EMERGING MARKETS TARGET VAL POR	6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US HIGH RELATIVE PROFIT PORT IN 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INTL HIGH REL PROF PORT INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA DIVERSIFIED FIX INCOME PORT INS 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA TARGETED CREDIT PORTFOLIO INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA U.S. LARGE CAP EQUITY PORT INST 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA U.S. SMALL CAP GROWTH PORT INST 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INVESTMENT GRADE PORTFOLIO INST 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA COMMODITY STRATEGY PORT INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INTERM EXTENDED QUALITY PORT IN 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA SHORT TERM EXTENDED QUAL INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INTERNATIONAL VECTOR EQUITY POR 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA GLOBAL REAL ESTATE SEC PORTFOLI 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NOMURA SMALL CAP VALUE CLASS A 2005 MARKET ST PHILADELPHIA, PA 19103	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NOMURA DIVERSIFIED INCOME FD CL A 2005 MARKET ST PHILADELPHIA, PA 19103	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DWS SCIENCE AND TECHNOLOGY FUND-A 280 PARK AVE 9TH FLR NEW YORK, NY 10026	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DIMENSIONAL WORLD EX US SUST TRG VA 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
US SUSTAINABILITY TGT VALUE PORT IN 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA SHORT-DURATION REAL RETURN INST 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DFA WORLD EX US CORE EQUITY PORTFOL	6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DIAMOND HILL LARGE CAP INVESTOR	325 JOHN H MCCONNELL BLVD STE 200 COLUMBUS, OH 43215	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DIAMOND HILL SHORT DURAT SEC BOND I	325 JOHN H MCCONNELL BLVD STE 200 COLUMBUS, OH 43215	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA INTERNATIONAL VALUE PRTE INSTL 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DIMENSIONAL GLOBAL EQUITY PORT INST 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US LARGE CAP VALUE PORT III 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DFA US LARGE COMPANY PORTFOLIO 6300 BEE CAVES ROAD BUILDING ONE AUSTIN, TX 78746	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DIREXION MONTHLY NASDAQ100BULL2X IN 155 SEAPORT BOULEVARD STE P8 NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOMINI IMPACT BOND INVESTOR 536 BROADWAY 7TH FL NEW YORK, NY 10012	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE TOTAL RETURN BOND FD CL 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.06%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE TOTAL RT BOND FD CL N 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE CORE FIXED INCOME CL I 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE LONG DRTNTOTAL RETURN BO 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE FLEXIBLE INCOME CL I 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.06%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DOUBLELINE SHILLER ENHANCED CAP CL 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.06%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DRIEHAUS EMERGING MARKETS 25 EAST ERIE ST CHICAGO, IL 60611	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DRIEHAUS INTERNATL SMALL CAP GROWTH 25 EAST ERIE ST CHICAGO, IL 60611	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DRIEHAUS EMERGING MARKETS SM CAP GR 25 EAST ERIE ST CHICAGO, IL 60611	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EV ATLANTA CAPITAL SELECT EQUITY CL TWO INTERNATIONAL PLACE BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EATON VANCE INCOME FUND OF BOSTON C TWO INTERNATIONAL PLACE BOSTON, MA 02110	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EATON VANCE INCOME FUND OF BOSTON C TWO INTERNATIONAL PLACE BOSTON, MA 02110	\$16.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EATON VANCE SHORT DURATION GOV INC TWO INTERNATIONAL PLACE BOSTON, MA 02110	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EATON VANCE GL MACROABSOLUTE RTRN A TWO INTERNATIONAL PLACE BOSTON, MA 02110	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EATON VANCE GL MACROABSOLUTE RTRN A TWO INTERNATIONAL PLACE BOSTON, MA 02110	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE FLOATINGRATE ADVANTAGE	TWO INTERNATIONAL PLACE BOSTON, MA 02110	\$16.00
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE GLOBAL MACRO ABSLTE RT	TWO INTERNATIONAL PLACE BOSTON, MA 02110	\$16.00
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE EMERG MKTS DB OPPOR CL	TWO INTERNATIONAL PLACE BOSTON, MA 02110	\$16.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN EUPAC F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN EUPAC CL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FPA QUEENS ROAD SM CAP VALUE INVSTR 11601 WILSHIRE BLVD STE 1200 LOS ANGELES, CA 90025	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FPA CRESCENT FUND 11601 WILSHIRE BLVD STE 1200 LOS ANGELES, CA 90025	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FMI LARGE CAP FUND 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FMI INTERNATIONAL 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FMI COMMON STOCK FUND 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FMI COMMON STOCK FUND INSTITUTIONAL 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES MDT MID CAP GROWTH 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES INTL STR VAL DIV A 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES GLOBAL ALLOC CL A 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES OPPOR HIGH YLD BD 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES INSTL HIGH YLD BD 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES SH TERM INCOME CL 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES KAUFMANN SM CAP IS 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES MDT SMALL CAP CORE 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES MDT MARKET NEUTRAL 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FEDERATED HERMES INTL EQ CL A 4000 ERICSSON DR WARRENDALE, PA 15086-7515	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FAM VALUE 384 NORTH GRAND ST COBLESKILL, NY 12043	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FAM DIVIDEND FOCUS FUND 384 NORTH GRAND ST COBLESKILL, NY 12043	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEAFARER OVERSEAS GROWTH & INCOME I 1290 BROADWAY STE 1100 DENVER, CO 80203	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
KOTAK INDIA EQUITY INVESTOR 1290 BROADWAY STE 1100 DENVER, CO 80203	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALPS ASSET ALLOC GROWTH & INCOME IN 1290 BROADWAY STE 1100 DENVER, CO 80203	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALPS CORECOMM MNGMNTCOMPT COMM SM S 1290 BROADWAY STE 1100 DENVER, CO 80203	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALPS GLOBAL OPPORTUNITY CL INV 1290 BROADWAY STE 1100 DENVER, CO 80203	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRST EAGLE GOLD CLASS A 1345 AVE OF THE AMERICAS 48TH FLR NEW YORK, NY 10105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FIRST EAGLE GLOBAL CLASS A 1345 AVE OF THE AMERICAS 48TH FLR NEW YORK, NY 10105	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FIRST EAGLE GLOBAL CLASS I 1345 AVE OF THE AMERICAS 48TH FLR NEW YORK, NY 10105	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN DYNATECH FUND A 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	\$16.00

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN INTERNTL GROWTH FD ADVISOR 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	\$16.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN GOLD AND PRECIOUS METALS C 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FROST TOTAL RETURN BOND FD INSTL PO BOX 2509 SAN ANTONIO, TX 78299-2509	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDAMENTALINVESTORS CL F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDAMENTALINVESTORS CL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EIC VALUE FUND CL A 1776 PEACHTREE ST NW ATLANTA, GA 30309	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
POLEN GROWTH FUND INSTL SHARES 1825 NW CORPORATE BLVD STE 300 BOCA RATON, FL 33431	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI ASSET FUND AAA	401 THEODORE FREMD AVE RYE, NY 10580	0.35%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI GOLD FUND CLAAA	401 THEODORE FREMD AVE RYE, NY 10580	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI SMALL CAP GROWTH AAA	401 THEODORE FREMD AVE RYE, NY 10580	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI SMALL CAP GROWTH FUND CL I 401 THEODORE FREMD AVE RYE, NY 10580	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI UTILITIES 401 THEODORE FREMD AVE RYE, NY 10580	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI GLBL CONTENT& CONNECTIVITY 401 THEODORE FREMD AVE RYE, NY 10580	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GLENMEDE LARGE CAP GROWTH 1650 MARKET ST STE 1200 PHILADELPHIA, PA 19103	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GLENMEDE LARGE CAP CORE 1650 MARKET ST STE 1200 PHILADELPHIA, PA 19103	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOEHRING & ROZENCWAJG RES INSTL 1290 BROADWAY ST STE 1100 DENVER, CO 80203	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS US EQUITY DIV PREM CL 71 S WACKER DR 4TH FL CHICAGO, IL 60606	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS INVT GRADE CREDIT CL 71 S WACKER DR 4TH FL CHICAGO, IL 60606	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS INTL SM CAP INSIGHTS 71 S WACKER DR 4TH FL CHICAGO, IL 60606	0.17%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS INTL SM CAP INSIGHTS 71 S WACKER DR 4TH FL CHICAGO, IL 60606	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS INTL EQUITY INCOME IN 71 S WACKER DR 4TH FL CHICAGO, IL 60606	0.17%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS GQG PARTNERS INTL OPP 71 S WACKER DR 4TH FL CHICAGO, IL 60606	0.17%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS GQG PART INTERNTL OPP 71 S WACKER DR 4TH FL CHICAGO, IL 60606	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GREEN CENTURY BALANCED 114 STATE ST STE 200 BOSTON, MA 02111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GREEN CENTURY EQUITY 114 STATE ST STE 200 BOSTON, MA 02111	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
APOLLO DIVERSIFIED RE FD CL I 1290 BROADWAY ST STE 1100 NEW YORK, NY 10019	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUGGENHEIM TOTAL RETURN BOND FD INS 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUGGENHEIM MACRO OPPORTUNITIES INS 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUGGENHEIM CORE BOND FUND INSTL 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.09%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GUINNESS ATKINSON GLOBAL ENERGY 2220 E ROUTE 66 STE 226 GLENDDORA, CA 91740	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARBOR CAP APP INST 111 S WACKER DR 34TH FL CHICAGO, IL 60606	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR CAP APP INV 111 S WACKER DR 34TH FL CHICAGO, IL 60606	0.35%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR SCG INST 111 S WACKER DR 34TH FL CHICAGO, IL 60606	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARDING LOEVNER INTL EQUITY PORT IN PO BOX 4766 CHICAGO, IL 60680	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK FUND INVESTOR CLASS 111 SOUTH WACKER DR CHICAGO, IL 60606	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK EQUITY & INCOME INVESTOR CL 111 SOUTH WACKER DR CHICAGO, IL 60606	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKMARK SELECT INVESTOR CL 111 SOUTH WACKER DR CHICAGO, IL 60606	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD GROWTH OPPORTUNITY CLASS A 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD MID CAP CLASS A 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD DIVIDEND & GROWTH CLASS A 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THE HARTFORD DIV AND GROWTH FD CL I 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD LOW DURATION HIGH INC A 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD INTL VALUE FD CLASS I 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD SCHRODERS INTL STOCK CL I 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARTFORD SCHRODERS EMRG MRKT EQTY C 100 MATSONFORD RD STE 300 RADNOR, PA 19087	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HENNESSY CORNERSTONEGROWTH INV CL 7250 REDWOOD BLVD STE 200 NOVATO, CA 94945	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HENNESSY CORNERSTONEMID CAP 30 INV 7250 REDWOOD BLVD STE 200 NOVATO, CA 94945	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HENNESSY FOCUS FUND INVESTOR CLASS 7250 REDWOOD BLVD STE 200 NOVATO, CA 94945	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HENNESSY GAS UTILITYINVESTOR CL 7250 REDWOOD BLVD STE 200 NOVATO, CA 94945	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HENNESSY JAPAN INVSTCLASS 7250 REDWOOD BLVD STE 200 NOVATO, CA 94945	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HENNESSY JAPAN SMALLCAP INVESTOR CL 7250 REDWOOD BLVD STE 200 NOVATO, CA 94945	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NEXPOINT MERGER ARBITRAGE CL Z 200 CRESCENT COURT STE 700 DALLAS, TX 75201	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HOMESTEAD SMALL COMPANY STOCK FD 4301 WILSON BLVD ARLINGTON, VA 22203	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HOTCHKIS & WILEY SMALL CAP VALUE CL 725 S FIGUEROA 39TH FL LOS ANGELES, CA 90017	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HUSSMAN STRATEGIC MARKET CYCLE 225 PICTORIA DR STE 450 CINCINNATI, OH 45246	\$12.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HUSSMAN STRATEGIC TOTAL RETURN 225 PICTORIA DR STE 450 CINCINNATI, OH 45246	\$12.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN INVESTMNT CO OF AMERICA CL 3500 WISEMAN BLVD SAN ANTONIO, TX 78251	\$18.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WCM FOCUSED INTL GROWTH FUND INVEST 2220 EAST ROUTE 66 STE 226 GLEN DORA, CA 91740	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WCM FOCUSED INTL GROWTH FUND INSTL 2220 EAST ROUTE 66 STE 226 GLENDDORA, CA 91740	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EP EMERGING MARKETS CLASS A LOTS 81 82 ST C STE 204 DORADO, PR 00646	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EUROPAC INTERNATNL DIVIDEND INC CL LOTS 81 82 ST C STE 204 DORADO, PR 00646	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EUROPAC INTERNATNL DIVIDEND INC CL LOTS 81 82 ST C STE 204 DORADO, PR 00646	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EP EMERGING MARKETS CLASS I LOTS 81 82 ST C STE 204 DORADO, PR 00646	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EUROPAC GOLD FUND CLASS A LOTS 81 82 ST C STE 204 DORADO, PR 00646	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EUROPAC GOLD FUND CL I LOTS 81 82 ST C STE 204 DORADO, PR 00646	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FIRST TRUST MULTI STRATEGY CLASS I 2220 E ROUTE 66 STE 226 SMITHFIELD, RI 02917	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FIRST TRUST MERGER ARBITRAGE CLASS 2220 E ROUTE 66 STE 226 SMITHFIELD, RI 02917	0.12%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NOMURA MID CAP GROWTH FUND CL A 2005 MARKET ST PHILADELPHIA, PA 19103	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN INCOME FUNDCLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN HEDGED EQUITY CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN HEDGED EQUITY CLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN SHORT DURATION CORE PLUS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JP MORGAN HEDGED EQUITY 2 FD I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN HEDGED EQUITY 2 FUND A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN EMERG MKTS RESEARCH ENH EQ 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TRILLIUM ESG GLOBAL EQUITY FUND INS 777 E WISCONSIN AVE MAIL STOP - MK-WI-T4 SMITHFIELD, RI 02917	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JACOB INTERNET 727 2ND ST STE 106 HERMOSA BEACH, CA 90254	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EASTERLY GLOBAL REAL ESTATE FUND CL 1616 NO LITCHFIELD RD STE 165 SMITHFIELD, RI 02917	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON VENTURE T 151 DETROIT ST DENVER, CO 80206	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON SMALL CAP VALUE T 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON MID CAP VALUE T 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON CONTRARIAN T 151 DETROIT ST DENVER, CO 80206	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON GLOB TECH & INNOV T 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON GL LIFE SCIENCES T 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON ENTERPRISE T 151 DETROIT ST DENVER, CO 80206	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON RESEARCH T 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON GL REAL ESTATE T 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON FORTY T 151 DETROIT ST DENVER, CO 80206	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON ADAPRISK MNGD US EQ 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON BALANCED I 151 DETROIT ST DENVER, CO 80206	\$15.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON ENTERPRISE I 151 DETROIT ST DENVER, CO 80206	\$15.00	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON MULTI-SECTOR INCME 151 DETROIT ST DENVER, CO 80206	\$15.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JANUS HENDERSON GLBLEQUITY INCOME T 151 DETROIT ST DENVER, CO 80206	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JENSEN QUALITY GROWTH FD CL J 811 E WISCONSIN AVE 8TH FL MILWAUKEE, WI 53202	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JOHN HANCOCK DISCIPLNVALUE MID CAP C 601 CONGRESS ST 9TH FL BOSTON, MA 02210	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN INTERNATL VALUE FUND CLASS 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN INTERNATL VALUE CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN EMERGING MKTS EQUITY CLASS 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN EUROPE DYNAMIC CLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN US EQUITY FUND CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN US RESEARCHENHANCED EQ CL 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN STRATEGIC INCOME OPPTS - CL 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN CORE BOND CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN EQUITY INCOME FUND CL A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN EQUITY INCOME CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN LARGE CAP GROWTH CLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN LARGE CAP GROWTH CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN CORE PLUS BOND CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
JPMORGAN LARGE CAP VALUE CLASS I 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN DIVERS MID CAP GROWTH CLAS	1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.15%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN INVESTOR GROWTH FUND CLASS	1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
KKR REAL ESTATE SELECT TRUST I	615 E MICHIGAN ST MILWAUKEE, WI 53202	0.20%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD GLOBAL LISTEDINFRASTRUCTURE 30 ROCKEFELLER PLAZA 57TH FL NEW YORK, NY 10112	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD GLOBAL LISTEDINFRASTRUCTURE 30 ROCKEFELLER PLAZA 57TH FL NEW YORK, NY 10112	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD INTL STRAT EQUITY PORT OPEN 30 ROCKEFELLER PLAZA 57TH FL NEW YORK, NY 10112	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD EMERGING MKTSEQUITY OPEN CL 30 ROCKEFELLER PLAZA 57TH FL NEW YORK, NY 10112	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD EMERGING MKTSEQUITY INSTITUT 30 ROCKEFELLER PLAZA 57TH FL NEW YORK, NY 10112	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD EMERGING MRKT EQ ADV PORT IN 30 ROCKEFELLER PLAZA 57TH FL NEW YORK, NY 10112	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LAZARD ENHANCED OPPR PORT INSTIT CL 30 ROCKEFELLER PLAZA 57TH FL NEW YORK, NY 10112	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BRANDYWINEGLOBAL GBLB OPPOR BD CL A 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLEARBRIDGE DIVIDENDSTRATEGY FUND I 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLEARBRIDGE SMALL CAP GRW CL A 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CLEARBRIDGE GLOBAL INFRSTRCTR INCOM 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MARTIN CURRIE EMERGING MARKETS I 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BRANDYWINEGLOBAL HIGH YIELD I 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LOCORR LONG/SHORT COMMODITIES STRGY 261 SCHOOL AVE 4TH FL EXCELSIOR, MN 55331	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LORD ABBETT SHORT DURATION INCOME C 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.37%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT SHORT DURATION INCOME C 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT BOND DEBENTURE CLASS I 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT HIGH YIELD CLASS A 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.37%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LORD ABBETT CORE PLUS BOND CL I 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LORD ABBETT SHORT DURATION CORE BON 90 HUDSON ST 10TH FL JERSEY CITY, NJ 07302	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS MID CAP VALUE FUND CL I 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS INTERNATIONAL INTRINSIC VALUE C 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS EMERGING MARKETSDEBT FUND CL I 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS INTERNATIONAL DIVERSIFICATION C 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS EMERGING MARKETS DEBT LOCAL CUR 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.08%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS INTERNATIONAL EQUITY FUND CL I 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS GROWTH FUND CLASS A 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS GROWTH FUND CLASS I 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS GLOBAL EQUITY CLASS A 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS BLENDED RESEARCHINTRNATL EQUITY 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS MID CAP GROWTH CLASS A 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS INCOME FUND I 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MADISON MID CAP FUNDCL Y 550 SCIENCE DR MADISON, WI 53711	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NYLI MACKAY CONVERTIBLE CL I 30 HUDSON ST 23RD FL ARLINGTON, NJ 07032	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NYLI MACKAY SHRT DURATION HIGH INC 30 HUDSON ST 23RD FL ARLINGTON, NJ 07032	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NYLI PINESTONE INTL EQUITY CLASS A 30 HUDSON ST 23RD FL ARLINGTON, NJ 07032	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MUHLENKAMP FUND 5000 STONEWOOD DR WEXFORD, PA 15090	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HOOD RIVER INTL OPPORTUNITY FD INST 6001 SHADY OAK ROAD STE 200 MINNETONKA, MN 55343	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MANNING & NAPIER OVERSEAS SERIES CL 290 WOODCLIFF DR FAIRPORT, NY 14450	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MARSICO GROWTH FUND 235 WEST GALENA ST MILWAUKEE, WI 53212	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MARSICO MIDCAP GROWTH FOCUS FUND 235 WEST GALENA ST MILWAUKEE, WI 53212	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS MASS INVESTOR GROWTH STOCK A 111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS ASIA DIVIDEND FUND 4 EMBARCADERO CENTER STE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS CHINA DIV FUND INVESTOR CL 4 EMBARCADERO CENTER STE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS CHINA SMALLCOMPANIES INVES 4 EMBARCADERO CENTER STE 550 SAN FRANCISCO, CA 94111	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS PACIFIC TIGER FUND 4 EMBARCADERO CENTER STE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MATTHEWS INDIA FUND 4 EMBARCADERO CENTER STE 550 SAN FRANCISCO, CA 94111	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MERCER NON US CORE EQUITY FUND I 99 HIGH STREET BOSTON, MA 02110	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TCW METWEST TOTAL RETURN BOND CL M 865 S FIGUEROA ST 1400 LOS ANGELES, CA 90071	0.35%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TCW METWEST TOTAL RETURN BOND CLASS 865 S FIGUEROA ST 1400 LOS ANGELES, CA 90071	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TCW METWEST UNCONSTRBOND M 865 S FIGUEROA ST 1400 LOS ANGELES, CA 90071	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
METROPOLITAN WEST HIGH YIELD BOND C 865 S FIGUEROA ST 1400 LOS ANGELES, CA 90071	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MONETTA YOUNG INVESTOR GROWTH FUND 1776 A S NAPERVILLE ROAD STE 100 WHEATON, IL 60187	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PALMER SQUARE OPPORTUNISTIC INC 2220 EAST ROUTE 66 STE 226 GLENDORA, CA 91740	0.20%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY DISCOVERY PORT CL A 522 FIFTH AVE 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MSIF GLOBAL FRANCHISE CLASS A 522 FIFTH AVE 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY GLBL FRANCHISE CLASS 522 FIFTH AVE 4TH FL NEW YORK, NY 10036	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY GROWTH PORTFOLIO A 522 FIFTH AVE 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY INSIGHT A 522 FIFTH AVE 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MORGAN STANLEY INTL ADVANTAGE CL I 522 FIFTH AVE 4TH FL NEW YORK, NY 10036	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MSIF GLOBAL INSIGHT PORT CL A 522 FIFTH AVE 4TH FL NEW YORK, NY 10036	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RATIONAL SPECIAL SITUATIONS INC INS 80 ARKAY DR STE 110 HAUPPAUGE, NY 11788	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALPHACENTRIC INCOME OPPORTUNITIES C 80 ARKAY DR HAUPPAUGE, NY 11788	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CATALYST ENHANCED INCOME STRATEGY I 80 ARKAY STE 110 HAUPPAUGE, NY 11788	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
EVENTIDE DIVIDEND GROWTH FUND CL I 80 ARKAY STE 110 HAUPPAUGE, NY 11788	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ALPHACENTRIC ROBOTIC AND AUTOMATION 80 ARKAY DR HAUPPAUGE, NY 11788	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NATIONWIDE GENEVA SMALL CAP GROWTH 1000 CONTINENTAL DR STE 400 COLUMBUS, OH 43215	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NATIONWIDE GENEVA SMALL CAP GROWTH 1000 CONTINENTAL DR STE 400 COLUMBUS, OH 43215	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
LOOMIS SAYLES CORE PLUS BOND FUND C 399 BOYLSTON ST 8TH FL BOSTON, MA 02116	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NATIXIS US EQUITY OPPORTUNITIES CL 399 BOYLSTON ST 8TH FL BOSTON, MA 02116	0.05%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SAYLES STRATEGIC ALPHA Y 399 BOYLSTON ST 8TH FL BOSTON, MA 02116	0.05%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ADVISORS CAPITAL GROWTH FUND 100 SALEM ST SMITHFIELD, RI 02917	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL ACTIVE ALL CAP FD 100 SALEM ST SMITHFIELD, RI 02917	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL SMALL MID CAP INVS 100 SALEM ST SMITHFIELD, RI 02917	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ADVISORS CAPITAL US DIVIDEND FD INV 100 SALEM ST SMITHFIELD, RI 02917	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NEUBERGER BERMAN STRATEGIC INC INST 1290 AVE OF THE AMERICAS 22ND FL NEW YORK, NY 10104-0002	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW PERSPECTIVE CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW PERSPECTIVE CL F2 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN NEW WORLD CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NICHOLAS FUND 411 E WISCONSIN AVE MILWAUKEE, WI 53202	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NORTHERN SMALL CAP VALUE 801 SOUTH CANAL C5S CHICAGO, IL 60675	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NAVIGATOR TACTICAL FIXED INCOME CL 1650 MARKET ST 53RD FL PHILADELPHIA, PA 19103	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HCM MULTI ASSET PLUSINVESTOR 1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HCM MULTI ASSET PLUS CLASS A 1145 HEMBREE ROAD ROSWELL, GA 30076	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
COUNTERPOINT TACTICL EQUITY CL I 12760 HIGH BLUFF DR STE 280 SAN DIEGO, CA 92130	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HCM SECTOR PLUS INVESTOR 1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HCM SECTOR PLUS CL A 1145 HEMBREE ROAD ROSWELL, GA 30076	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HCM TACTICAL PLUS INVESTOR 1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HCM TACTICAL PLUS FD CL A 1145 HEMBREE ROAD ROSWELL, GA 30076	0.10%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USA MUTUALS ALL SEASONS FUND INSTL 615 EAST MICHIGAN ST MILWAUKEE, WI 53202	0.12%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG US EQUITY INDEX STRATEGY CLASS 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG GLOBAL EQUITY INDEX STRATEGY CL 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG MFS AGGRESSIVE GROWTH STRATEGY 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG JP MORGAN TACTICAGGRESSIVE STRA 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG BNY MELLON DIVERSIFIER STRAT R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG MEEDER TACTICAL STRATEGY FUND R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG JP MORGAN TACTICMODERATE STRATE 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG ACTIVE CORE BOND STRATEGY FUND 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HCM DYNAMIC INCOME FUND CL A 1145 HEMBREE ROAD ROSWELL, GA 30076	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG INVESCO EQ FACTRROTATION STRATE 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG JANUS HENDERSON BALANCED STRATE 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG JANUS HENDERSON TACTICAL INC ST 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG EQUITY INDEX FOCUSED STRATEGY R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG SECTOR EQUITY BUS CYCLE STRAT R 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG BR TRGT ALLOCAT EQ STRATEGY FD 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG AMERICAN FUNDS GROWTH STRAT CL 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PFG AMERICAN FDS CONS INC STRAT CL 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NUVEEN SMALL-CAP VALUE FUND CLASS A 333 WEST WACKER DR CHICAGO, IL 60606	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NUVEEN GLOBAL INFRASTRUCTURE CL A 333 WEST WACKER DR CHICAGO, IL 60606	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NUVEEN PREFERRED SECS AND INC CL A 333 WEST WACKER DR CHICAGO, IL 60606	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NUVEEN PREFERRED SECS AND INC CL I 333 WEST WACKER DR CHICAGO, IL 60606	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RED OAK TECHNOLOGY SELECT 225 PICTORIA DR STE 450 CINCINNATI, OH 45246	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OAKTREE DIVERSIFIED INCOME FUND INC 71 SOUTH WACKER DR STE 3400 CHICAGO, IL 60606-2841	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OBERWEIS MICRO CAP FUND 3333 WARRENVILLE RD STE 500 LISLE, IL 60532	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OBERWEIS SMALL-CAP OPPORTUNITIES 3333 WARRENVILLE RD STE 500 LISLE, IL 60532	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OBERWEIS MICRO CAP FUND INSTL 3333 WARRENVILLE RD STE 500 LISLE, IL 60532	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO LOW DURATION CLASS A 1633 BROADWAY NEW YORK, NY 10019	0.38%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO TOTAL RETURN CLASS A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO REAL RETURN CLASS A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO INTL BD FD U SDOLLAR-HEDGED A 1633 BROADWAY NEW YORK, NY 10019	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PALMER SQUARE INCOME PLUS FUND CLAS 2220 EAST ROUTE 66 SUITE 226 GLENDORA, CA 91740	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARADIGM VALUE FUND 9 ELK ST ALBANY, NY 12207	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARADIGM MICRO CAP FUND 9 ELK ST ALBANY, NY 12207	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS MID CAP GROWTH FUND INVST 1 MARKET ST STEUART TOWER STE 1600 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS GROWTH EQUITY FUND INVEST 1 MARKET ST STEUART TOWER STE 1600 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS VALUE EQUITY INVESTOR 1 MARKET ST STEUART TOWER STE 1600 SAN FRANCISCO, CA 94105	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS MID CAP 1 MARKET ST STEUART TOWER STE 1600 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS CORE EQUITY INVESTOR 1 MARKET ST STEUART TOWER STE 1600 SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PARNASSUS CORE EQUITY INSTL 1 MARKET ST STEUART TOWER STE 1600 SAN FRANCISCO, CA 94105	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
IMPAX HIGH YIELD BOND INV CL	1290 BROADWAY STE 1100 DENVER, CO 80203	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
IMPAX INTERNATIONAL SUSTAIN ECON IN	1290 BROADWAY STE 1100 DENVER, CO 80203	0.40%
(a) Enter service provider name as it appears on line 2		(b) Service Codes (see instructions)
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
IMPAX SMALL CAP FD INVESTOR CL	1290 BROADWAY STE 1100 DENVER, CO 80203	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
IMPAX ELLEVATE GLBL WOMENS LDERSP I 1290 BROADWAY STE 1100 DENVER, CO 80203	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PEAR TREE POLARIS FRGN VAL SM CAP O 55 OLD BEDFORD ROAD LINCOLN, MA 01773	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PEAR TREE POLARIS FRGN VALUE ORDINA 55 OLD BEDFORD ROAD LINCOLN, MA 01773	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PERMANENT PORTFOLIO 600 MONTGOMERY ST STE 4100 SAN FRANCISCO, CA 94111-2702	0.38%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PERMANENT PORTFOLIO CL C 600 MONTGOMERY ST STE 4100 SAN FRANCISCO, CA 94111-2702	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
POTOMAC RISK DEFENSIVE BULL I 777 BRICKELL AVE STE 500 MIAMI, FL 33131	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
POTOMAC RISK TACTICAL OPP FUND I 777 BRICKELL AVE STE 500 MIAMI, FL 33131	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
POTOMAC RISK TACTICAL ROTATION I 777 BRICKELL AVE STE 500 MIAMI, FL 33131	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
POTOMAC RISK MANAGEDVOLATILITY FUND 777 BRICKELL AVE STE 500 MIAMI, FL 33131	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO COMMODITY REALRETURN STRAT A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO INCOME FUND CL A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO RAE PLUS FUND A 1633 BROADWAY NEW YORK, NY 10019	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO MORTGAGE OPPOR AND BOND FUND 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO LOW DURATION INCOME CL I3 1633 BROADWAY NEW YORK, NY 10019	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO INCOME CL I3 1633 BROADWAY NEW YORK, NY 10019	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO EMERGING MARKETS BOND I-3 1633 BROADWAY NEW YORK, NY 10019	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PIMCO RAE US SMALL FUND A 1633 BROADWAY NEW YORK, NY 10019	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE COMM & TECHNOLOGY INVE 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GROWTH STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE HEALTH SCIENCES 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GLOBAL TECHNOLOGY 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE RETIREMENT 2035 FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRIMECAP ODYSSEY GROWTH FUND 2020 E FINANCIAL WAY STE 100 GLENDORA, CA 91741	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRIMECAP ODYSSEY AGGRESSIVE GRWTH F 2020 E FINANCIAL WAY STE 100 GLENDORA, CA 91741	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRIMARK MEKETA PRIV EQUITY INVESTME 501 S CHERRY ST STE 1090 DENVER, CO 80206	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL SPECTRUM PREF CAPT SEC IN 620 COOLIDGE DR STE 300 FOLSOM, CA 95630	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL SMALL CAP FUND INSTL 620 COOLIDGE DR STE 300 FOLSOM, CA 95630	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL SHORT-TERM INCOME INSTL 620 COOLIDGE DR STE 300 FOLSOM, CA 95630	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL GLBL REAL ESTATE SECURITI 620 COOLIDGE DR STE 300 FOLSOM, CA 95630	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL GLOBAL REAL ESTATE CL A 620 COOLIDGE DR STE 300 FOLSOM, CA 95630	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PRINCIPAL BLUE CHIP I SHARES 620 COOLIDGE DR STE 300 FOLSOM, CA 95630	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THE OSTERWEIS STRATEGIC INCOME FD 777 E WISCONSIN AVE 4TH FL MILWAUKEE, WI 53202	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CONGRESS MID CAP GROWTH INSTL TWO SEAPORT LANE BOSTON, MA 02210	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CONGRESS MID CAP GROWTH RETAIL TWO SEAPORT LANE BOSTON, MA 02210	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PABRAI WAGONS FUND RETAIL 777 EAST WISCONSIN AVE MILWAUKEE, WI 53202	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEMICONDUCTOR ULTRA SECTOR PRO FD I 7501 WISCONSIN AVE STE 1000 E TOWER BETHESDA, MD 20814	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ULTRA NASDAQ-100 PRO FUND INVESTOR 7501 WISCONSIN AVE STE 1000 E TOWER BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BITCOIN STRATEGY FD INVESTOR 7501 WISCONSIN AVE STE 1000 E TOWER BETHESDA, MD 20814	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM JEN EMRG MRKTS EQUITY OPP CL Z 655 BROAD ST NEWARK, NJ 07102	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM JEN INTL OPPORTUNITIES CL Z 655 BROAD ST NEWARK, NJ 07102	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM JENN GLOBAL OPPORTUNITIES CL Z 655 BROAD ST NEWARK, NJ 07102	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM JENNISON GROWTH CL Z 655 BROAD ST NEWARK, NJ 07102	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM GLOBAL TOTAL RETURN CL Z 655 BROAD ST NEWARK, NJ 07102	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM FLOATING RATE INCOME CL Z 655 BROAD ST NEWARK, NJ 07102	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM TOTAL RETURN BOND CL Z 655 BROAD ST NEWARK, NJ 07102	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM STRATEGIC BOND CL Z 655 BROAD ST NEWARK, NJ 07102	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM JENNISON FOCUSED GROWTH CL Z 655 BROAD ST NEWARK, NJ 07102	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM HIGH YIELD CL Z 655 BROAD ST NEWARK, NJ 07102	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM SECURITIZED CREDIT CL Z 655 BROAD ST NEWARK, NJ 07102	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM JENN HEALTH SCIENCES CL Z 655 BROAD ST NEWARK, NJ 07102	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PGIM SHRT DUR HGH YLD INC FD CL Z 655 BROAD ST NEWARK, NJ 07102	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PUTNAM LARGE CAP VAL FUND CL Y 1 POST OFFICE SQ MAILZONE G3C BOSTON, MA 02109	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PUTNAM SMALL CAP GROWTH FD CL Y 1 POST OFFICE SQ MAILZONE G3C BOSTON, MA 02109	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PUTNAM GLOBAL TECHNOLOGY FUND CL A 1 POST OFFICE SQ MAILZONE G3C BOSTON, MA 02109	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PUTNAM FOCUSED EQ FUND CLASS A 1 POST OFFICE SQ MAILZONE G3C BOSTON, MA 02109	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ABBAY CAPITAL FUTURES STRAT INSTL 1 2 CAVENDISH ROW DUBLIN, IRELAND 1 D01 IE	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RBC SMID CAP GROWTH FUND CLASS I 50 S SIXTH ST STE 2350 MINNEAPOLIS, MN 55402	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SGI PEAK GROWTH I 620 S MAIN ST BOUNTIFUL, UT 84010	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ABBAY CAPITAL MULTI ASSET FUND CLAS 1 2 CAVENDISH ROW DUBLIN, IRELAND 1 D01 IE	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RMB MENDON FINANCIAL SRVC CL A 1251 AVE OF THE AMERICAS 8TH FL STE A NEW YORK, NY 10020	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FIRST TRUST ALTER OPPORTUNITIES CL 2220 E ROUTE 66 STE 226 SMITHFIELD, RI 02917	0.25%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE DIVIDEND GROWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE GNMA 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE CAP APPRECIATION 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE U.S EQUITY RESEARCH FD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE BLUE CHIP GROWTH INC 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE ALL CAPOPPORTUNITIES I 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE NEW ASIA 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE DYNAMIC GLOBAL BOND FD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE EMERG MRKTS CORP BD IN 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE INTL JAPAN 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE OVERSEAS STOCK FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE GLOBAL STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE SUMMIT MUNI INTERMED 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE SHORT TERM BOND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE SMALL CAP VALUE FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE MID CAP VALUE 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE INTRTD US SM CAP GR EQ 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE REAL ESTATE FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RYDEX NASDAQ 100 2X STRATEGY CL H 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
RYDEX FINANCIAL SERVICES INVESTOR C 9601 BLACKWELL RD STE 500 ROCKVILLE, MD 20850	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ICON HEALTH AND INFORM TECH INSTL PO BOX 87 DENVER, CO 80201-0087	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
ICON NATURAL RES AND INFRA FUND INS PO BOX 87 DENVER, CO 80201-0087	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SHELTON TACTICAL CREDIT FD INSTL CL PO BOX 87 DENVER, CO 80201-0087	0.12%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SCHWAB HEALTH CARE FUND 211 MAIN ST SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SCHWAB CORE EQUITY 211 MAIN ST SAN FRANCISCO, CA 94105	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AVE MARIA VALUE FUND 801 W ANN ARBOR TRAIL STE 244 PLYMOUTH, MI 48170	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AVE MARIA GROWTH 801 W ANN ARBOR TRAIL STE 244 PLYMOUTH, MI 48170	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AVE MARIA RISING DIVIDEND FUND 801 W ANN ARBOR TRAIL STE 244 PLYMOUTH, MI 48170	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AVE MARIA WORLD EQUITY FUND 801 W ANN ARBOR TRAIL STE 244 PLYMOUTH, MI 48170	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AVE MARIA FOCUSED FUND 801 W ANN ARBOR TRAIL STE 244 PLYMOUTH, MI 48170	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SEGALL BRYANT HAMILL PLUS BOND INST 370 17TH ST STE 5000 DENVER, CO 80202	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SHELTON NASDAQ 100 INDEX INVESTOR PO BOX 87 DENVER, CO 80201-0087	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SMEAD VALUE INSTL SHARE CLASS 1290 BROADWAY STE 1100 DENVER, CO 80203	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SPROTT GOLD EQUITY FUND INVESTOR 200 BAY ST STE 2600 TORONTO, CANADA M5J 2J1 CA	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STEPSTONE PRIVATE EQUITY STRATEGIES 128 S TRYON STREET SUITE 1600 CHARLOTTE, NC 28202	0.22%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STONERIDGE DIVERSIFI ALTERNATIVE FU 510 MADISON AVE NEW YORK, NY 10022	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STONERIDGE ALTERNTV LENDING RISK PR 510 MADISON AVE NEW YORK, NY 10022	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TCW SECURITIZED BONDFUND CLASS N 865 S FIGUERA ST 22ND FL LOS ANGELES, CA 90071	0.35%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NUVEEN LARGE CAP RESPONSIBLE EQ R6 333 WEST WACKER DR CHICAGO, IL 60606	0.02%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NUVEEN REAL ESTATE SECURITIES SEL A 333 WEST WACKER DR CHICAGO, IL 60606	0.12%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NUVEEN CORE IMPACT BOND R6 333 WEST WACKER DR CHICAGO, IL 60606	0.06%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE RETIRE BLEND 2035 FD I 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE RETIRE BLEND 2030 FD I 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE LARGE CAP GROWTH INVES 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TANAKA GROWTH FUND CLASS R 369 LEXINGTON AVE FL 20 NEW YORK, NY 10017	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TEMPLETON GLOBAL BOND CLASS A 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	\$16.00	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THIRD AVENUE INTL REAL ESTATE VAL I 622 THIRD AVE 32 FL NEW YORK, NY 10017	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THOMPSON BOND FUND 1255 FOURIER DR STE 200 MADISON, WI 53717	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THORNBURG STRATEGIC INCOME FUND CL 2300 NORTH RIDGETOP RD SANTA FE, NM 87506	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THORNBURG CORE PLUS BOND FUND CL I 2300 NORTH RIDGETOP RD SANTA FE, NM 87506	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THORNBURG BETTER WORLD INTNL WORLD 2300 NORTH RIDGETOP RD SANTA FE, NM 87506	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
THRIVENT SMALL CAP STOCK FUND CL S 4321 N BALLARD ROAD APPLETON, WI 54919-0001	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TIMOTHY PLAN ISRAEL COMMON VALUES C 80 ARKAY DR STE 110 HAUPPAUGE, NY 11788	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TORTOISE ENERGY INFRTOTAL RETURN IN 6363 COLLEGE BLVD SUITE 100A OVERLAND PARK, KS 66211	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PERFORMANCE TRUST TOTAL RETRN BND I 500 WEST MADISON STE 470 CHICAGO, IL 60661	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
CROSSBRIDGE LOW DUR HIGH INCOME INS 615 E MICHIGAN ST MILWAUKEE, WI 53202	0.08%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
TWEEDY BROWNE INTERNATL VALUE FUND ONE STATION PLACE 5TH FL STAMFORD, CT 06902	0.08%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY SUSTAINABLE WORLD FUND 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY NASDAQ-100 INDEX FUND 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
UNDISCOVERED MANAGERBEHAVIORAL VAL 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
UNDISCOVERED MGRS BEHAVIOR VALUE CL 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.08%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABSOLUTE CONVERTIBLE ARBITRAGE INST PO BOX 588 ATLANTIC FUND ADMINISTRATION CINCINNATI, OH 45246	0.12%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
STANDPOINT MULTI ASSET FUND INSTL 225 PICTORIA DR STE 450 CINCINNATI, OH 45246	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VALUE LINE MID CAP FOCUSED INVESTOR 7 TIMES SQUARE STE 1606 SARASOTA, FL 34236	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VALUE LINE SMALL CAPOPPORTUNITIES I 7 TIMES SQUARE STE 1606 SARASOTA, FL 34236	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VARIANT ALTERNATIVE INCOME FUND INS 10300 SW GREENBURG ROAD STE 308 PORTLAND, OR 97223	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
HARRISON STREET REAL ASSETS CL I 5555 DTC PARKWAY STE 330 GREENWOOD VILLAGE, CO 80111	0.20%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY SYCAMORE ESTABLISHED VALUE 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY SYCAMORE ESTABLISHED VALUE 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY MARKET NEUTRAL INCOME FD I 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY RS GLOBAL FUND CLASS A 4900 TIEDEMAN RD 4TH FL BROOKLYN, OH 44114	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY PIONEER CAT BOND FUND Y 60 STATE STREET 17TH FL BOSTON, MA 02109	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY PIONEER MULTASSET INCOME FU 60 STATE STREET 17TH FL BOSTON, MA 02109	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VICTORY PIONEER SHRT TERM INCOME FU 60 STATE STREET 17TH FL BOSTON, MA 02109	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS KAR SMALL MID CAP CORE FD CL 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS SGA EMERGING MARKETS EQUITY 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS ALPHASIMPLEX MANAG FUTURE ST 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.15%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS KAR HEALTH SCIENCES FUND A 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS NFJ EMERGING MARKETS VALUE A 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS DUFF & PHELPS WATER FUND INS 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.10%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VIRTUS DUFF & PHELPS WATER FUND A 100 SUMMIT LAKE DR 201 GREENFIELD, MA 01301	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA CORPORATE LEAD TRUST SERIES B 7337 E DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA GLOBAL INCOME & GROWTH FUND CL 7337 E DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA INTERMEDIATE BOND CLASS I 7337 E DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA GNMA INCOME CLASS A 7337 E DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VOYA GLOBAL BOND CLASS A 7337 E DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH SMALL CAP GROWTH 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH CORE GROWTH 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH HOISINGTON US TREASURY 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.25%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH MICROCAP 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH SMALL CAP VALUE 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.40%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WASATCH MICRO-CAP VALUE FUND 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.40%	

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH EMERGING MARKETS SELECT FUN 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH CORE GROWTH INSTITUTIONAL 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.15%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH EMERGING INDIA FUND 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH GLOBAL VALUE FUND 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH EMERGING MARKETS SMALL CAP 505 WAKARA WAY STE 300 SALT LAKE CITY, UT 84108	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLSPRING CORE PLUS BOND FUND INST 525 MARKET ST MAC A0103 122 SAN FRANCISCO, CA 94105	0.15%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLSPRING PRECIOUS METALS FUND A 525 MARKET ST MAC A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLSPRING SPECIAL GLOBAL SMALL CAP 525 MARKET ST MAC A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLSPRING GROWTH FUND A 525 MARKET ST MAC A0103 122 SAN FRANCISCO, CA 94105	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
WESTERN ASSET CORE BOND FUND CL I 100 FOUNTAIN PARKWAY ST PETERSBURG, FL 33716	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FID INV INST OPS CO	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
DAVENPORT SMALL CAP FOCUS FUND ONE JAMES CENTER 901 EAST CARY STREET RICHMOND, VA 23219	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
RUSSELL INVESTMENTS CAPITAL, LLC	71	365000
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
VARIOUS BROKERS	0	
91-1659779		

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2025 This Form is Open to Public Inspection.
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For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025		
A Name of plan APPLE 401(K) PLAN	B Three-digit plan number (PN) ►	001
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 APPLE INC.	D Employer Identification Number (EIN) 94-2404110	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a Name of MTIA, CCT, PSA, or 103-12 IE:	AB US SMALL MID-CP VALUE CIT W SERI
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b Name of sponsor of entity listed in (a):	WILMINGTON TRUST COMPANY
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c EIN-PN 38-4116868-532	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
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a Name of MTIA, CCT, PSA, or 103-12 IE:	BAILLIE GIFFORD INTERNATIONAL ALPHA
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b Name of sponsor of entity listed in (a):	BAILLIE GIFFORD
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c EIN-PN 37-6703427-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
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a Name of MTIA, CCT, PSA, or 103-12 IE:	BNY MELLON EB GLOBAL REAL ESTATE SE
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b Name of sponsor of entity listed in (a):	BNY MELLON
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c EIN-PN 25-6078093-183	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 206131432
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a Name of MTIA, CCT, PSA, or 103-12 IE:	EATON VANCE TRUST CO CIT HIGH YIELD
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b Name of sponsor of entity listed in (a):	EATON VANCE TRUST COMPANY
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c EIN-PN 42-1701287-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 203973254
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a Name of MTIA, CCT, PSA, or 103-12 IE:	FIDELITY CONTRA FUND COMMINGLED POO
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b Name of sponsor of entity listed in (a):	FIDEITY MANAGEMENT TRUST COMPANY
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c EIN-PN 04-3022712-133	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0
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a Name of MTIA, CCT, PSA, or 103-12 IE:	LIFEPATH INDEX 2030 F
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b Name of sponsor of entity listed in (a):	BLACKROCK INST. TRUST COMPANY, N.A.
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c EIN-PN 71-0986424-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 739173519
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a Name of MTIA, CCT, PSA, or 103-12 IE:	LIFEPATH INDEX 2035 F
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b Name of sponsor of entity listed in (a):	BLACKROCK INST. TRUST COMPANY, N.A.
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c EIN-PN 20-5114956-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1248382855
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a Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2040 F		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 71-0986419-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1728793274
a Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2045 F		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 20-5115008-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2569430415
a Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2050 F		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 26-0896020-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2859723912
a Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2055 F		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 27-2470604-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2392267765
a Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2060 F		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 47-1878775-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1052218278
a Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2065 F		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 84-1770109-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 315673526
a Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX 2070 F		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 99-3702781-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 16110202
a Name of MTIA, CCT, PSA, or 103-12 IE: LIFEPATH INDEX RETIRE F		
b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.		
c EIN-PN 71-0986421-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 655709589
a Name of MTIA, CCT, PSA, or 103-12 IE: LOOMIS SAYLES CORE PLUS FIXED INCOM		
b Name of sponsor of entity listed in (a): LOOMIS SAYLES		
c EIN-PN 84-6391546-010	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 156507121
a Name of MTIA, CCT, PSA, or 103-12 IE: VFTC INT STOCK IND FUND		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 81-6317280-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1343430398

a Name of MTIA, CCT, PSA, or 103-12 IE: VFTC INS TOTAL BOND MARKET INDEX		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 81-6321044-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 788418580

a Name of MTIA, CCT, PSA, or 103-12 IE: VFTC INST 500 INDEX TR		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 81-6327546-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5899110586

a Name of MTIA, CCT, PSA, or 103-12 IE: VFTC INST MARKET INDEX		
b Name of sponsor of entity listed in (a): VANGUARD FIDUCIARY TRUST COMPANY		
c EIN-PN 81-6324211-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1279447931

a Name of MTIA, CCT, PSA, or 103-12 IE: RITC EMERGING MRKT		
b Name of sponsor of entity listed in (a): RUSSELL INVESTMENTS TRUST COMPANY		
c EIN-PN 91-1117282-010	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 97094717

a Name of MTIA, CCT, PSA, or 103-12 IE: SHORT-TERM INVEST FUND II		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 92-2544088-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20581924

a Name of MTIA, CCT, PSA, or 103-12 IE: GALLIARD SHORT CORE FUND F		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 52-2252204-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 170524938

a Name of MTIA, CCT, PSA, or 103-12 IE: GALLIARD INTERMEDIATE CORE FUND L		
b Name of sponsor of entity listed in (a): SEI TRUST COMPANY		
c EIN-PN 27-6635237-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 263353462

a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:		
b Name of sponsor of entity listed in (a):		
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs, other than DCGs)

(Complete as many entries as needed to report all participating plans. DCGs must report each participating plan using Schedule DCG.)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2025 This Form is Open to Public Inspection
For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025		
A Name of plan APPLE 401(K) PLAN		B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 APPLE INC.		D Employer Identification Number (EIN) 94-2404110

Part I	Asset and Liability Statement		
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	49932
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)	6423711	12493083
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	26801	157434427
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	875731
(B) Common	1c(4)(B)	1075128880	4164910088
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	225673912	239361595
(9) Value of interest in common/collective trusts	1c(9)	21869273965	24006057676
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	17597225	0
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	1520394893	2172996915

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
1e Buildings and other property used in plan operation	1e		
1f Total assets (add all amounts in lines 1a through 1e)	1f	24714519387	30754179447

Liabilities

1g Benefit claims payable	1g	0	3881618
1h Operating payables	1h	1632523	3888944
1i Acquisition indebtedness	1i		
1j Other liabilities	1j	4715503	8821388
1k Total liabilities (add all amounts in lines 1g through 1j)	1k	6348026	16591950

Net Assets

1l Net assets (subtract line 1k from line 1f)	1l	24708171361	30737587497
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	786029511	
(B) Participants	2a(1)(B)	1611356384	
(C) Others (including rollovers)	2a(1)(C)	300722732	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		2698108627
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	4626538	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	18919270	
(F) Other	2b(1)(F)	-230	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		23545578
(2) Dividends: (A) Preferred stock	2b(2)(A)	54105	
(B) Common stock	2b(2)(B)	39241055	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	-6286	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		39288874
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	7130085993	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	7088264397	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		41821596
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	436108517	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		436108517

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		3712566541
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		299899170
d Total income. Add all income amounts in column (b) and enter total	2d		7251338903

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	1207963701	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		1207963701
f Corrective distributions (see instructions)	2f		134516
g Certain deemed distributions of participant loans (see instructions)	2g		1794913
h Interest expense	2h		
i Administrative expenses:			
(1) Salaries and allowances	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Recordkeeping fees	2i(3)	1303078	
(4) IQPA audit fees	2i(4)		
(5) Investment advisory and investment management fees	2i(5)	9988092	
(6) Bank or trust company trustee/custodial fees	2i(6)		
(7) Actuarial fees	2i(7)		
(8) Legal fees	2i(8)		
(9) Valuation/appraisal fees	2i(9)		
(10) Other trustee fees and expenses	2i(10)		
(11) Other expenses	2i(11)	738467	
(12) Total administrative expenses. Add lines 2i(1) through (11)	2i(12)		12029637
j Total expenses. Add all expense amounts in column (b) and enter total	2j		1221922767

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		6029416136
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **ERNST & YOUNG LLP**

(2) EIN: **34-6565596**

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) ☐ This form is filed for a CCT, PSA, DCG or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

	Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)		☒	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)		☒	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		☒	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		☒	
e Was this plan covered by a fidelity bond?	☒		15000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		☒	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		☒	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		☒	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	☒		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)		☒	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		☒	
l Has the plan failed to provide any benefit when due under the plan?		☒	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		☒	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2025 This Form is Open to Public Inspection.
For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025		
A Name of plan APPLE 401(K) PLAN		B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 APPLE INC.		D Employer Identification Number (EIN) 94-2404110
Part I	Distributions	
All references to distributions relate only to payments of benefits during the plan year.		
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....		1 0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 04-6568107		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....		3
Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)	
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A If the plan is a defined benefit plan, go to line 8.		
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.		
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)		6a
b Enter the amount contributed by the employer to the plan for this plan year		6b
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....		6c
If you completed line 6c, skip lines 8 and 9.		
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A		
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A		
Part III	Amendments	
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No		
Part IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.	
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No		
11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No		
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No		
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.		
Schedule R (Form 5500) 2025 v. 250312		

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

19 If the total number of participants is 1,000 or more, complete lines (a) and (b):

a Enter the percentage of plan assets held as:

Public Equity: _____% Private Equity: _____% Investment-Grade Debt and Interest Rate Hedging Assets: _____%

High-Yield Debt: _____% Real Assets: _____% Cash or Cash Equivalents: _____% Other: _____%

b Provide the average duration of the Investment-Grade Debt and Interest Rate Hedging Assets:

☐ 0-5 years ☐ 5-10 years ☐ 10-15 years ☐ 15 years or more

20 PBGC missed contribution reporting requirements. If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation.....

Part VII IRS Compliance Questions

21a Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? ☐ Yes ☒ No

21b If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).

☐ Design-based safe harbor method

☒ "Prior year" ADP test

☐ "Current year" ADP test

☐ N/A

22 If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter ____/____/____ (MM/DD/YYYY) and the Opinion Letter serial number_____.

Report of Independent Auditors and
Financial Statements with
Supplemental Schedule

Apple 401(k) Plan

December 31, 2025 and 2024

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Report of Independent Auditors

To the Participants and Plan Administrator of
Apple 401(k) Plan

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed audits of the financial statements of Apple 401(k) Plan (the Plan), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits as of December 31, 2025 and 2024, and the related statement of changes in net assets available for benefits for the year ended December 31, 2025, and the related notes (collectively referred to as the “financial statements”).

Management, having determined it is permissible in the circumstances, has elected to have the audits of the financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained certifications from a qualified institution as of December 31, 2025 and 2024, and for the year ended December 31, 2025, stating that the certified investment information, as described in Note 4 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audits and on the procedures performed as described in the Auditor’s Responsibilities for the Audit of the Financial Statements section

- the amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.
- the information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audits did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

Other Matter

Supplemental Schedule Required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2025, (referred to as the "supplemental schedule"), is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting

and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion

- the form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, are presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.
- the information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

July 31, 2026

Ernst & Young LLP

FINANCIAL STATEMENTS

APPLE 401(k) PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
December 31, 2025 and 2024

	2025	2024
Assets:		
Investments, at fair value:	\$ 30,062,396,296	\$ 24,074,149,537
Synthetic investment contracts, at contract value:	439,928,473	408,272,227
Total investments	30,502,324,769	24,482,421,764
Receivables:		
Notes receivable from participants	239,361,595	225,673,912
Other	12,493,083	6,423,711
Total receivables	251,854,678	232,097,623
Total assets	30,754,179,447	24,714,519,387
Liabilities:		
Payables:		
Operating	3,888,944	1,632,523
Other	12,703,006	4,715,503
Total liabilities	16,591,950	6,348,026
Net assets available for benefits	\$ 30,737,587,497	\$ 24,708,171,361

See accompanying notes.

APPLE 401(k) PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
For the Year Ended December 31, 2025

Additions to (deductions from) net assets attributed to:

Investment and other income (loss):

Dividends and interest	\$ 62,834,452
Net realized and unrealized appreciation (depreciation) in fair value of investments	<u>4,490,395,824</u>
Total investment and other income (loss)	<u>4,553,230,276</u>

Contributions:

Participants'	1,912,079,116
Employer's	<u>786,029,511</u>
Total contributions	<u>2,698,108,627</u>

Withdrawals and distributions	(1,209,893,130)
Administrative expenses	<u>(12,029,637)</u>
Total withdrawals and expenses	<u>(1,221,922,767)</u>

Net increase in net assets 6,029,416,136

Net assets available for benefits:

Beginning of year	<u>24,708,171,361</u>
End of year	<u>\$ 30,737,587,497</u>

APPLE 401(k) PLAN

NOTES TO FINANCIAL STATEMENTS

NOTE 1 – THE PLAN AND ITS SIGNIFICANT ACCOUNTING POLICIES

General – The following description of the Apple 401(k) Plan (the Plan) provides only general information. Participants should refer to the plan document for a more complete description of the Plan's provisions.

The Plan is a defined contribution plan that was established on March 31, 1984 by Apple Inc. (the Company) to provide benefits to eligible employees, as defined in the plan document. The Plan is currently designed to be qualified under the applicable requirements of the Internal Revenue Code of 1986, as amended (the Code), and the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA).

Administration – The Company (the Plan Sponsor) has appointed the Benefits Administrative Committee (the Plan Administrator) to be responsible for the operation and administration of the Plan, and the 401(k) Plan Investment Committee (the Committee) to manage the selection and monitoring of the Plan's designated investment options. The Plan Sponsor has contracted with Fidelity Management Trust Company (FMTC) to act as the trustee of the Plan, and with Fidelity Workplace Services, LLC (Fidelity) to act as the record keeper for the Plan. All Plan administrative expenses, including trustee fees, are paid by the Plan, except to the extent paid by the Company in its discretion. Fees may be allocated to individual participant accounts.

Estimates – The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Basis of accounting – The financial statements of the Plan are prepared on the accrual method of accounting in accordance with accounting principles generally accepted in the United States.

Forfeitures – Forfeitures may be used to pay certain Plan administrative expenses or, to the extent remaining, reduce future employer contributions.

Investment valuation and income recognition – The Plan's investments, excluding the Stable Value Fund, are reported at fair value. The Plan's trustee, FMTC, certifies the fair market value of all investments held at FMTC. If available, quoted market prices are used to value investments. Certain nominal assets within the Self-Directed Brokerage Account remained at Charles Schwab & Co. due to applicable legal restrictions. During 2025, the Plan had investments in separately managed accounts with William Blair (WB), Barrow Hanley Mewhinney & Strauss, LLC (BHMS), and Russell Investments Capital, LLC that are comprised of common stock, preferred stock, common collective trusts, other investment alternatives, and money market funds. Effective February 3, 2025, the WB and BHMS separately managed accounts were eliminated from the investment line-up and proceeds of their liquidation were transferred to other investment options in the Plan. Additionally, the Plan's Stable Value Fund, a separately managed account managed by Galliard Capital Management, is a synthetic investment contract stable value fund comprised of common collective trust funds and wrapper contracts (refer to Note 5).

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 2 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought or sold as well as held during the year.

Notes receivable from participants – Notes receivable from participants (notes receivable) are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent notes receivable are reclassified as distributions upon the occurrence of a distributable event based upon the terms of the plan document. No allowance for credit losses has been recorded as of December 31, 2025 or 2024.

Payment of benefits – Benefits are recorded when paid.

Income taxes – The Plan has received a favorable determination letter dated April 6, 2017, from the Internal Revenue Service (the IRS), stating that the Plan is qualified under Section 401(a) of the Code and, therefore, the related trust is exempt from taxation.

APPLE 401(k) PLAN

NOTES TO FINANCIAL STATEMENTS

Subsequent to this determination by the IRS, the Plan was amended and is required to operate in conformity with the Code to maintain its qualified status. The Plan Administrator believes that the Plan, as amended, is designed and operated in accordance with, and qualifies under, the applicable requirements of the Code and that the trust, which forms a part of the Plan, is tax-exempt. To the extent the Plan Administrator, or its authorized delegates, becomes aware of any administrative error that does not comply with the Plan's terms or any applicable tax qualification requirements under the Code, appropriate action will be taken to correct such error.

In accordance with guidance on accounting for uncertainty in income taxes, management evaluated the Plan's tax positions and does not believe the Plan has any uncertain tax positions that require disclosure or adjustment to the financial statements. The Plan is subject to routine audits by taxing jurisdictions.

Risks and uncertainties – The Plan provides for various investment options in any combination of investment securities offered by the Plan. Investment securities are exposed to various risks, such as interest rate, market fluctuations, and credit risks. Due to the risk associated with certain investment securities, it is at least reasonably possible that changes in market values, interest rates, or other factors in the near term would materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits and the statement of changes in net assets available for benefits.

Subsequent events – The Plan has evaluated subsequent events through July 31, 2026, which is the date the financial statements were available to be issued.

The Plan has implemented applicable provisions from the Setting Every Community Up for Retirement Enhancement (SECURE) Act and SECURE 2.0 Act, and the Plan Sponsor formally amended and restated the Plan document to incorporate such provisions and make other administrative updates and clarifications, effective January 1, 2026.

NOTE 2 – FAIR VALUE MEASUREMENT

The fair value measurement standard establishes a framework for measuring fair value. That framework provides a hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under the standard are described below:

Level 1 – Inputs to the valuation methodology are quoted prices in active markets for identical assets and liabilities.

Level 2 – Inputs to the valuation methodology include:

- Quoted market prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.
- If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

APPLE 401(k) PLAN

NOTES TO FINANCIAL STATEMENTS

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2025 and 2024.

Money Market and Mutual Funds: Valued at the daily closing price as reported by the fund. Money market and mutual funds held by the Plan are open-end funds that are registered with the U.S. Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price and are considered to be actively traded.

Common stock: Valued at the closing price reported on the active market on which the individual securities are traded.

Brokerage accounts: Accounts primarily consist of mutual funds and common stocks that are valued on the basis of readily determinable market prices.

Common/collective trusts: Units held in common/collective trusts (CCT) are valued using the NAV practical expedient of the CCT as reported by the issuer of the CCT. The NAV practical expedient is based on the fair value of the underlying assets owned by the CCT, minus its liabilities, and then divided by the number of units outstanding. The CCT may be redeemed at NAV daily without restrictions.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2025 and 2024:

	2025			
	Level 1	Level 2	Level 3	Total
Money market and mutual funds	\$ 157,484,359	\$ -	\$ -	\$ 157,484,359
Common stock	4,164,910,088	-	-	4,164,910,088
Preferred stock	875,731	-	-	875,731
Brokerage accounts and other	2,166,946,842	-	-	2,166,946,842
Total assets in the fair value hierarchy	<u>\$ 6,490,217,020</u>	<u>\$ -</u>	<u>\$ -</u>	6,490,217,020
Investments measured at NAV practical expedient				23,572,179,276
Investments at fair value				<u>\$ 30,062,396,296</u>

	2024			
	Level 1	Level 2	Level 3	Total
Money market and mutual funds	\$ 17,624,026	\$ -	\$ -	\$ 17,624,026
Common stock	1,075,128,880	-	-	1,075,128,880
Brokerage accounts	1,501,788,068	-	-	1,501,788,068
Total assets in the fair value hierarchy	<u>\$ 2,594,540,974</u>	<u>\$ -</u>	<u>\$ -</u>	2,594,540,974
Investments measured at NAV practical expedient				21,479,608,563
Investments at fair value				<u>\$ 24,074,149,537</u>

NOTE 3 – PARTICIPATION AND BENEFITS

Participant contributions – The Plan allows for participating employees to make regular elective salary deferral contributions on a pre-tax basis and/or Roth after-tax basis of up to 75% of biweekly eligible pay and sales commissions, up to a maximum amount of \$23,500 during 2025. The Plan also allows for eligible participants to make additional elective catch up contributions up to 75% of eligible compensation up to a maximum of \$7,500. Participants are allowed to direct the allocation of such contributions and fund balances in their entirety or in 1% increments to any of the investment funds offered by the Plan. The Plan also allows participating employees to make elective salary deferral contributions on an after-tax basis of up to 20% of eligible compensation.

Furthermore, the Plan allows for automatic enrollment into the Plan at a contribution rate of 3% of eligible compensation (such percentage will be increased incrementally by 1% on each annual anniversary of the date of eligible participation to a maximum of 6% of eligible compensation), unless the employee affirmatively elects otherwise. These contributions are invested in the Plan's qualified default investment alternative, which is the BlackRock Global LifePath fund that most closely matches the date when the participant would reach their target retirement age.

Participants are also allowed to make rollover contributions of eligible amounts received from other tax-qualified employer-sponsored retirement plans and Individual Retirement Accounts. Such contributions are deposited in the appropriate investment funds in accordance with the participant's direction and the Plan's provisions.

Employer contributions – The Company makes matching contributions to the Plan, as defined in the plan document. The matching rate is based on the participant's length of service determined as of the payroll date for the pay period with respect to which the contribution is made. In 2025, the Company matched as follows: participants with less than two years of service are matched at 50%, two but less than five years of service at 75%, and five or more years of service at 100% of each eligible participant's aggregate regular pre-tax 401(k) and/or Roth 401(k) contributions up to a maximum of 6% of the participant's eligible compensation.

Vesting – Participants are immediately vested in their entire account, including employer contributions.

Participant accounts – Each participant's account is credited with the participant's contribution, related Plan earnings or losses, and an allocation of the Company's contribution, if any. Allocation of the Company's contribution is based on participant eligible contributions and eligible employee compensation, as defined in the Plan.

Payment of benefits – Upon termination, subject to the Plan's minimum required distribution provisions, the participants or beneficiaries may elect to leave their account balance in the Plan or receive their total benefits in a partial distribution (no less than \$1,000) or lump sum amount equal to the value of the participant's interest in their account. The Plan allows for the automatic lump sum distribution of participant account balances that do not exceed \$1,000.

Notes receivable from participants – The Plan allows participants to borrow not less than \$1,000 and up to the lesser of \$50,000 or 50% of their account balance. The notes receivable are secured by the participant's account balance. Such notes receivable bear interest equal to the prime rate as reported by the Federal Reserve as of the last business day of the prior month plus 1%, and must generally be repaid to the Plan within a five-year period, unless the proceeds are used for the purchase of a principal residence in which case the maximum repayment period may not exceed 15 years.

The specific terms and conditions of such notes receivable are established by the Plan's Loan Policy.

NOTE 4 – CERTIFIED INFORMATION

APPLE 401(k) PLAN

NOTES TO FINANCIAL STATEMENTS

The Plan Administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, FMTC, the trustee of the Plan, has certified to the completeness and accuracy of:

- Investments and notes receivable reflected on the accompanying statements of net assets available for benefits as of December 31, 2025 and 2024;
- Net appreciation (depreciation) in fair value of investments, dividends and interest (which includes interest income from notes receivable) reflected on the accompanying statement of changes in net assets available for benefits for the year ended December 31, 2025;
- Investments reflected on the schedule of assets (held at end of year).

NOTE 5 – INVESTMENT CONTRACTS WITH INSURANCE COMPANIES

The Stable Value Fund, managed by Galliard Capital Management, includes synthetic guaranteed investment contracts (GICs) as of December 31, 2025 and 2024. The contracts meet the fully benefit-responsive investment contract (FBRIC) criteria and therefore are reported at contract value. Contract value is the relevant measure for FBRICs because it is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. Contract value, as reported to the Plan by the investment manager, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses.

Generally, fixed income synthetic GICs consists of an asset or collection of assets that are owned by the Plan, and a benefit-responsive, book-value wrap contract purchased for the portfolio. The wrap contract provides book-value accounting for the assets and assures that benefit-responsive payments will be made at book value for participant-directed withdrawals.

Certain events could limit the ability of the Stable Value Fund to transact withdrawals and transfers at contract value. Such events include the following:

- Company-initiated events, including events within the control of the Plan or Plan Sponsor that would have a material and adverse effect on the Stable Value Fund
- Company communications designed to induce participants to transfer from the Stable Value Fund
- Competing fund transfer or violation of equity wash or equivalent rules in place
- Changes of qualification status of the Company or the Plan

APPLE 401(k) PLAN

NOTES TO FINANCIAL STATEMENTS

The Committee does not believe that the occurrence of any of the above events, which would limit the Stable Value Fund's ability to transact at contract value with participants, is probable. If the Plan initiates a full redemption, the issuer reserves the right to require 12 months notification in order to ensure that securities liquidations will be carried out in an orderly business manner.

In general, issuers may terminate the contract and settle at other than contract value if the qualification status of the employer or Plan changes, a breach of material obligations under the contract and misrepresentations by the contract holder occur, or if there is a failure of the underlying portfolio to conform to the pre-established investment guidelines.

NOTE 6 – PARTY-IN-INTEREST AND RELATED PARTY TRANSACTIONS

For 2025, during the period from January 1, 2025 through February 2, 2025, certain investments available through the Plan's core investment menu were shares of funds managed by Fidelity Investments or an affiliate thereof. FMTC, an affiliate of Fidelity Investments, is the Plan's trustee. Therefore, transactions in these investments qualify as party-in-interest transactions; however, they are exempt from the prohibited transaction rules under ERISA. Fees have been paid to Fidelity by the Plan for recordkeeping services.

NOTE 7 – PLAN TERMINATION

The Company intends to continue the Plan indefinitely for the benefit of its participants; however, it reserves the right to terminate or modify the Plan at any time by resolution of its Board of Directors or authorized delegates and subject to the provisions of ERISA.

SUPPLEMENTAL SCHEDULE

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

Plan Sponsor: Apple Inc.
Employer Identification Number: 94-2404110
Plan Number: 001
Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	Stable Value Fund Separately Managed Account			
	GALLIARD SHORT CORE FUND F (Fair value)	Common/Collective Trust Fund	\$	170,524,938
	GALLIARD INTERMEDIATE CORE FUND L (Fair value)	Common/Collective Trust Fund		263,353,462
	WRAPPER	Other		6,050,073
	Total synthetic investment contracts (Contract value)			439,928,473
	WF/BLACKROCK SHORT TERM INVESTMENT FUND S	Common/Collective Trust Fund		20,581,924
	US Large Cap Growth Separately Managed Account			
	ABBVIE INC	Common stock		9,562,992
	ACADIA PHARMACEUTICALS INC	Common stock		1,511,652
	ADOBE INC	Common stock		15,956,044
	ADVANCED MICRO DEVICES INC	Common stock		18,155,414
	AGILENT TECHNOLOGIES INC	Common stock		11,119,368
	AIRBNB INC CLASS A	Common stock		4,471,295
	ALLY FINANCIAL INC	Common stock		10,043,329
	ALNYLAM PHARMACEUTICALS INC	Common stock		9,138,395
	ALPHABET INC CL C	Common stock		44,405,524
	ALPHABET INC CL A	Common stock		120,122,827
	AMAZON.COM INC	Common stock		111,140,522
	APPLE INC	Common stock		289,978,925
	APPFOLIO INC - A	Common stock		4,894,956
	APPLOVIN CORP	Common stock		10,793,249
	ARGAN INC	Common stock		2,127,443
	ARISTA NETWORKS INC	Common stock		14,935,848
	AUTODESK INC	Common stock		6,068,501
	AUTOMATIC DATA PROCESSING INC	Common stock		8,621,063
	AUTOZONE INC	Common stock		4,476,780
	AXON ENTERPRISE INC	Common stock		2,852,712
	BANK OF NEW YORK MELLON CORP	Common stock		6,276,058
	BLUE OWL CAPITAL INC A	Common stock		4,433,445
	THE BOOKING HOLDINGS INC	Common stock		25,089,721
	BRAZE INC	Common stock		3,911,117
	BROADRIDGE FINANCIAL SOL	Common stock		6,980,981
	BROADCOM INC	Common stock		109,720,968
	CH ROBINSON WORLDWIDE INC	Common stock		2,712,825
	CARGURUS INC CL A	Common stock		409,578
	CARLYLE GROUP INC (THE)	Common stock		9,387,023
	CARPENTER TECHNOLOGY CORP	Common stock		4,048,528
	CARVANA CO CL A	Common stock		12,307,791
	CAVA GROUP INC	Common stock		2,523,318
	CHIPOTLE MEXICAN GRILL INC	Common stock		3,937,170
	CLEAR SECURE INC	Common stock		2,843,795
	CLOUDFLARE INC	Common stock		4,178,594
	COCA COLA CO	Common stock		5,754,642
	COMFORT SYSTEMS USA INC	Common stock		13,919,087
	COPART INC	Common stock		6,983,068
	COSTCO WHOLESALE CORP	Common stock		11,556,218
	COSTAR GROUP INC	Common stock		7,127,574
	COUPANG INC A	Common stock		6,398,386
	DANAHER CORP	Common stock		16,305,514
	DATADOG INC CL A	Common stock		4,390,709
	DECKERS OUTDOOR CORP	Common stock		8,115,910

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	DIGITALOCEAN HOLDINGS INC	Common stock		6,510,444
	EVEREST GROUP LTD	Common stock		682,772
	DOMINOS PIZZA INC	Common stock		3,427,928
	DOORDASH INC	Common stock		7,722,742
	DROPBOX INC CL A	Common stock		9,002,808
	EMCOR GROUP INC	Common stock		8,088,476
	EQUIFAX INC	Common stock		9,212,754
	META PLATFORMS INC CL A	Common stock		91,140,607
	FORTINET INC	Common stock		9,879,636
	GE AEROSPACE	Common stock		4,645,092
	GILEAD SCIENCES INC	Common stock		5,958,782
	HF SINCLAIR CORP	Common stock		1,249,229
	HALOZYME THERAPEUTICS INC	Common stock		2,376,565
	HOME DEPOT INC	Common stock		3,850,135
	IDEXX LABS INC	Common stock		17,336,081
	INCYTE CORP	Common stock		8,844,656
	INTERACTIVE BROKERS GROUP INC	Common stock		11,326,020
	INTERCONTINENTAL EXCHANGE INC	Common stock		22,447,008
	INTERDIGITAL INC	Common stock		2,823,075
	INTUIT INC	Common stock		25,631,679
	INTUITIVE SURGICAL INC	Common stock		10,505,978
	JEFFERIES FINANCIAL GROUP INC	Common stock		6,931,716
	KULICKE & SOFFA INDUSTRIES INC	Common stock		2,543,341
	LCI INDUSTRIES	Common stock		872,192
	LAM RESEARCH CORP	Common stock		13,500,282
	ELI LILLY & CO	Common stock		46,940,948
	LOCKHEED MARTIN CORP	Common stock		6,988,064
	LYFT INC	Common stock		3,197,987
	MSCI INC	Common stock		6,236,445
	MANHATTAN ASSOCIATES INC	Common stock		7,805,016
	MARTIN MARIETTA MATERIALS INC	Common stock		6,762,710
	MASTEC INC	Common stock		2,625,177
	MASTERCARD INC CL A	Common stock		44,099,338
	MCKESSON CORP	Common stock		4,887,288
	MICROSOFT CORP	Common stock		257,046,448
	MONSTER BEVERAGE CORP	Common stock		11,460,402
	MOTOROLA SOLUTIONS INC	Common stock		3,231,388
	NETAPP INC	Common stock		8,245,180
	NETFLIX INC	Common stock		22,951,323
	NUTANIX INC CL A	Common stock		2,599,800
	NVIDIA CORP	Common stock		332,916,563
	O'REILLY AUTOMOTIVE INC	Common stock		15,922,165
	OLD DOMINION FREIGHT LINES INC	Common stock		4,087,619
	ORACLE CORP	Common stock		13,319,760
	PALANTIR TECHNOLOGIES INC	Common stock		7,627,253
	PEGASYSTEMS INC	Common stock		7,380,257
	POPULAR INC	Common stock		7,181,566
	POWELL INDUSTRIES INC	Common stock		1,458,737
	QUALCOMM INC	Common stock		14,175,085
	QUANTA SVCS INC	Common stock		3,283,627
	RH	Common stock		2,209,457
	RINGCENTRAL INC CL A	Common stock		519,031
	ROBLOX CORP	Common stock		12,369,148
	ROKU INC CLASS A	Common stock		4,531,410
	S&P GLOBAL INC	Common stock		13,544,488
	SALESFORCE INC	Common stock		9,456,227
	SAMSARA INC	Common stock		6,610,716
	SAREPTA THERAPEUTICS INC	Common stock		857,615
	SEA LTD ADR	Common stock		8,920,205
	SELECTIVE INSURANCE GROUP INC	Common stock		2,587,746
	SERVICENOW INC	Common stock		27,731,833
	SNOWFLAKE INC	Common stock		10,997,833
	SONOS INC	Common stock		919,687
	BLOCK INC CL A	Common stock		6,928,440
	SYNOPSYS INC	Common stock		8,434,762
	TAIWAN SEMIC MFG CO LTD SP ADR	Common stock		21,763,386

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	TESLA INC	Common stock		73,627,709
	TRADE DESK INC	Common stock		4,436,119
	TRANSUNION	Common stock		9,045,339
	TYLER TECHNOLOGIES INC	Common stock		8,413,963
	ULTRAGENYX PHARMA INC	Common stock		4,574,539
	UNITEDHEALTH GROUP INC	Common stock		15,893,476
	VARONIS SYSTEMS INC	Common stock		1,920,342
	VEEVA SYS INC CL A	Common stock		14,963,107
	VERISK ANALYTICS INC	Common stock		11,473,955
	VISA INC CL A	Common stock		33,865,610
	VULCAN MATERIALS CO	Common stock		9,904,265
	WAYFAIR INC	Common stock		3,145,745
	WILLIAMS-SONOMA INC	Common stock		2,588,841
	ZSCALER INC	Common stock		4,453,191
	SPOTIFY TECHNOLOGY SA	Common stock		18,407,926
	NU HOLDINGS LTD/CAYMAN ISLANDS	Common stock		17,778,131
	LINDE PLC	Common stock		8,550,825
	JAMES HARDIE IND PLC	Common stock		3,605,748
	SHOPIFY INC CL A	Common stock		13,694,201
	WASTE CONNECTIONS INC	Common stock		11,011,731
	FERRARI NV	Common stock		3,703,361
	BBH STIF FUND	Money Market		79,626,013
	EURO MONETARY UNIT.	Currency		1
	FUTURES CASH COLLATERAL	General Investments - Other		4,963,193
	Total US Large Cap Growth Separately Managed Account			2,606,658,319
	US Large Cap Value Separately Managed Account			
	AFLAC INC	Common stock		313,167
	AGCO CORP	Common stock		355,523
	AT&T INC	Common stock		9,494,544
	ABBOTT LABORATORIES	Common stock		820,023
	ABERCROMBIE & FITCH CO CL A	Common stock		2,066,030
	ACUITY INC	Common stock		1,242,498
	ADOBE INC	Common stock		2,481,779
	AFFILIATED MANAGERS GRP INC	Common stock		150,482
	AGILENT TECHNOLOGIES INC	Common stock		198,934
	AIR PRODUCTS & CHEMICALS INC	Common stock		161,551
	ALASKA AIR GROUP INC	Common stock		277,455
	ALBEMARLE CORP	Common stock		1,239,297
	ALCOA CORP	Common stock		1,468,524
	ALIGN TECHNOLOGY INC	Common stock		1,849,284
	BREAD FINANCIAL HOLDINGS	Common stock		423,600
	ALLSTATE CORPORATION	Common stock		1,677,065
	ALLY FINANCIAL INC	Common stock		1,559,289
	ALPHABET INC CL C	Common stock		21,285,645
	ALPHABET INC CL A	Common stock		6,980,526
	ALTRIA GROUP INC	Common stock		343,365
	AMAZON.COM INC	Common stock		10,114,994
	AMERICAN ELECTRIC POWER CO INC	Common stock		245,726
	AMERICAN EXPRESS CO	Common stock		1,914,861
	AMERICAN FINL GROUP INC OHIO	Common stock		106,610
	AMERICAN HOMES 4 RENT	Common stock		1,236,396
	AMERICAN INTERNATIONAL GROUP	Common stock		2,655,301
	AMETEK INC NEW	Common stock		235,696
	ANALOG DEVICES INC	Common stock		496,296
	ANNALY CAPITAL MGMT INC REIT	Common stock		1,731,648
	ELEVANCE HEALTH INC	Common stock		4,361,193
	APPLIED MATERIALS INC	Common stock		280,633
	ARCHER DANIELS MIDLAND CO	Common stock		4,310,255
	AUTOMATIC DATA PROCESSING INC	Common stock		1,217,727
	AUTOZONE INC	Common stock		2,401,182
	AVALONBAY COMMUNITIES INC REIT	Common stock		1,219,854
	BJS WHSL CLUB HLDGS INC	Common stock		1,071,627
	BP PLC SPON ADR	Common stock		1,577,332
	BAKER HUGHES CO	Common stock		3,583,042
	BALL CORP	Common stock		1,491,370
	BANK OF AMERICA CORPORATION	Common stock		17,257,845

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	BANK OF NEW YORK MELLON CORP	Common stock		4,555,952
	BECTON DICKINSON & CO	Common stock		327,008
	BERKLEY (WR) CORP	Common stock		130,002
	BERKSHIRE HATHAWAY INC CL B	Common stock		14,339,599
	BEST BUY CO INC	Common stock		1,532,563
	BIOMARIN PHARMACEUTICAL INC	Common stock		1,546,428
	BIOGEN INC	Common stock		985,192
	BLACKROCK INC	Common stock		600,461
	BOEING CO	Common stock		1,233,893
	THE BOOKING HOLDINGS INC	Common stock		2,763,350
	BOSTON SCIENTIFIC CORP	Common stock		475,987
	BRISTOL-MYERS SQUIBB CO	Common stock		1,562,804
	BROWN & BROWN INC	Common stock		307,403
	CBOE GLOBAL MARKETS INC	Common stock		228,912
	CBRE GROUP INC - CL A	Common stock		2,138,989
	CDW CORPORATION	Common stock		633,330
	CIGNA GROUP (THE)	Common stock		4,533,313
	CME GROUP INC CL A	Common stock		420,543
	C N A FINANCIAL CORP	Common stock		892,308
	CVS HEALTH CORP	Common stock		4,744,538
	COTERRA ENERGY INC	Common stock		215,508
	CADENCE DESIGN SYSTEMS INC	Common stock		3,687,819
	CAMDEN PROPERTY TRUST - REIT	Common stock		1,790,341
	CAPITAL ONE FINANCIAL CORP	Common stock		6,794,320
	CARDINAL HEALTH INC	Common stock		664,587
	CARRIER GLOBAL CORP	Common stock		205,072
	CASEY GENERAL STORES	Common stock		196,765
	CATERPILLAR INC	Common stock		1,166,363
	CELANESE CORP	Common stock		2,338,930
	CENTENE CORP	Common stock		1,301,986
	CHEMOURS CO/ THE	Common stock		508,196
	EXPAND ENERGY CORP	Common stock		408,332
	CHEVRON CORP	Common stock		3,868,471
	CHURCH & DWIGHT CO INC	Common stock		896,273
	CIENA CORP	Common stock		1,537,228
	CIRRUS LOGIC INC	Common stock		1,076,810
	CISCO SYSTEMS INC	Common stock		996,845
	CITIGROUP INC	Common stock		17,292,174
	CLEVELAND-CLIFFS INC	Common stock		326,887
	COCA COLA CO	Common stock		522,997
	COGNIZANT TECH SOLUTIONS CL A	Common stock		259,292
	COMCAST CORP CL A	Common stock		7,454,207
	COMMERCIAL METALS CO	Common stock		930,524
	CONAGRA BRANDS INC	Common stock		1,104,897
	CONOCOPHILLIPS	Common stock		4,950,471
	CONSTELLATION ENERGY CORP	Common stock		284,736
	TECK RESOURCES LTD SUB VTG CLB	Common stock		960,434
	CANADIAN NATL RESOURCES LTD	Common stock		1,998,369
	COREBRIDGE FINANCIAL INC	Common stock		1,484,756
	CORNING INC	Common stock		1,645,165
	CORPAY INC	Common stock		871,192
	CORTEVA INC	Common stock		259,942
	AMDOCS LTD	Common stock		122,295
	CROWN CASTLE INC	Common stock		115,798
	CROWN HOLDINGS INC	Common stock		2,045,705
	CUMMINS INC	Common stock		859,598
	CURTISS WRIGHT CORPORATION	Common stock		181,368
	DR HORTON INC	Common stock		5,865,334
	FLEX LTD	Common stock		283,249
	DANAHER CORP	Common stock		5,992,210
	DARDEN RESTAURANTS INC	Common stock		1,402,784
	DEERE & CO	Common stock		391,079
	DELTA AIR INC	Common stock		2,818,820
	DENTSPLY SIRONA INC	Common stock		884,225
	DEVON ENERGY CORP	Common stock		788,571
	DIAGEO PLC SPON ADR	Common stock		1,637,405
	DIAMONDBACK ENERGY INC	Common stock		162,958

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	DISNEY (WALT) CO	Common stock		3,302,516
	MAGNA INTL INC	Common stock		1,404,242
	DOCUSIGN INC	Common stock		546,448
	DOLLAR GENERAL CORP	Common stock		3,902,110
	DOMINION ENERGY INC	Common stock		1,662,550
	DOMINOS PIZZA INC	Common stock		2,034,082
	DOVER CORP	Common stock		180,402
	DROPBOX INC CL A	Common stock		1,604,394
	DUKE ENERGY CORP NEW	Common stock		472,474
	EOG RESOURCES INC	Common stock		3,815,958
	EQT CORPORATION	Common stock		1,492,867
	ARCH CAPITAL GROUP LTD	Common stock		164,119
	EBAY INC	Common stock		327,670
	EDISON INTL	Common stock		2,126,088
	EDWARDS LIFESCIENCES CORP	Common stock		3,541,115
	ELECTRONIC ARTS INC	Common stock		337,962
	EMCOR GROUP INC	Common stock		3,033,255
	EMERSON ELECTRIC CO	Common stock		2,278,537
	EQUINIX INC	Common stock		1,589,782
	ESSEX PROPERTY TRUST INC	Common stock		2,468,166
	EVERSOURCE ENERGY	Common stock		1,919,780
	EXELON CORP	Common stock		509,872
	EXPEDITORS INTL OF WASH INC	Common stock		3,134,723
	EXTRA SPACE STORAGE INC	Common stock		114,594
	EXXON MOBIL CORP	Common stock		9,791,464
	FTI CONSULTING INC	Common stock		1,276,613
	META PLATFORMS INC CL A	Common stock		1,867,395
	FACTSET RESEARCH SYSTEMS INC	Common stock		197,039
	FEDEX CORP	Common stock		2,480,152
	FERGUSON ENTERPRISES INC	Common stock		220,404
	F5 INC	Common stock		188,637
	FIDELITY NATL INFORM SVCS INC	Common stock		694,773
	FIFTH THIRD BANCORP	Common stock		1,500,354
	FIRST CITIZENS BANCSHARES INC CL A	Common stock		1,654,705
	FISERV INC	Common stock		1,088,960
	FIRSTENERGY CORP	Common stock		1,423,552
	FLOOR & DECOR HOLDINGS INC	Common stock		561,588
	FLOWSERVE CORP	Common stock		1,350,135
	FORD MOTOR CO	Common stock		571,691
	FRANKLIN RESOURCES INC	Common stock		112,355
	FREEPORT MCMORAN INC	Common stock		614,356
	GE HEALTHCARE TECHNOLOGIES INC	Common stock		2,284,749
	ARTHUR J GALLAGHER AND CO	Common stock		201,856
	GENERAL DYNAMICS CORPORATION	Common stock		396,249
	GENERAL MOTORS CO	Common stock		10,527,525
	GENTEX CORP	Common stock		218,691
	GENMAB AS SP ADR	Common stock		928,435
	GILEAD SCIENCES INC	Common stock		520,786
	GSK PLC SPONS ADR	Common stock		2,031,825
	GLOBAL PAYMENTS INC	Common stock		4,258,084
	GLOBE LIFE INC	Common stock		2,419,158
	GODADDY INC CL A	Common stock		432,171
	GOLDMAN SACHS GROUP INC	Common stock		737,481
	GRACO INC	Common stock		1,955,312
	HF SINCLAIR CORP	Common stock		509,368
	HANOVER INSURANCE GROUP INC	Common stock		573,898
	HARTFORD INSURANCE GROUP INC/THE	Common stock		206,838
	HEALTHPEAK PROPERTIES INC	Common stock		1,542,088
	HEWLETT PACKARD ENTERPRISE CO	Common stock		1,355,569
	HOME DEPOT INC	Common stock		4,737,569
	HONEYWELL INTL INC	Common stock		4,296,662
	HOST HOTELS & RESORTS INC	Common stock		2,114,533
	HOULIHAN LOKEY	Common stock		159,384
	HOWARD HUGHES HOLDINGS INC	Common stock		1,086,707
	HUMANA INC	Common stock		4,446,161
	HUNTINGTON BANCSHARES INC	Common stock		930,897

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	HUNTINGTON INGALLS INDUSTRIES INC	Common stock		601,244
	HUNTSMAN CORP	Common stock		1,058,780
	ILLINOIS TOOL WORKS INC	Common stock		197,286
	INCYTE CORP	Common stock		1,817,961
	INSIGHT ENTERPRISES INC	Common stock		861,464
	INTERACTIVE BROKERS GROUP INC	Common stock		3,917,765
	INTERCONTINENTAL EXCHANGE INC	Common stock		7,444,653
	INTL BUS MACH CORP	Common stock		2,042,368
	IONIS PHARMACEUTICALS INC	Common stock		238,042
	JPMORGAN CHASE & CO	Common stock		7,994,923
	JOHNSON & JOHNSON	Common stock		9,304,679
	KKR & CO INC	Common stock		232,396
	KEYSIGHT TECHNOLOGIES INC	Common stock		390,328
	KIMBERLY CLARK CORP	Common stock		1,961,604
	KINDER MORGAN INC	Common stock		336,258
	KONTOOR BRANDS INC	Common stock		915,983
	KROGER CO	Common stock		4,469,632
	KULICKE & SOFFA INDUSTRIES INC	Common stock		759,303
	LABCORP HOLDINGS INC	Common stock		6,089,108
	LANDSTAR SYSTEM INC	Common stock		2,376,654
	ESTEE LAUDER COS INC CL A	Common stock		1,580,225
	LEIDOS HOLDINGS INC	Common stock		394,715
	LENNAR CORP CL A	Common stock		1,421,107
	LIVE NATION ENTERTAINMENT INC	Common stock		184,680
	LOCKHEED MARTIN CORP	Common stock		4,139,248
	LOWES COS INC	Common stock		5,453,351
	LYFT INC	Common stock		438,014
	M&T BANK CORP	Common stock		2,858,397
	MDU RESOURCES GROUP INC	Common stock		285,207
	MSCI INC	Common stock		125,647
	MAPLEBEAR INC	Common stock		1,104,034
	MARATHON PETROLEUM CORP	Common stock		201,824
	MARKETAXESS HLDGS INC	Common stock		111,469
	MARSH & MCLENNAN COS INC	Common stock		1,319,233
	MASCO CORPORATION	Common stock		1,828,727
	MASTEC INC	Common stock		1,688,313
	MATCH GROUP INC	Common stock		1,156,143
	MATTEL INC	Common stock		237,247
	MCDONALDS CORP	Common stock		595,367
	MCKESSON CORP	Common stock		3,395,180
	MERCK & CO INC NEW	Common stock		646,086
	METLIFE INC	Common stock		2,339,939
	MICROSOFT CORP	Common stock		4,972,097
	MICRON TECHNOLOGY INC	Common stock		2,743,076
	MIDDLEBY CORP	Common stock		1,306,958
	MILLROSE PROPERTIES INC	Common stock		249,205
	MODERNA INC	Common stock		492,336
	MONDELEZ INTL INC	Common stock		5,224,202
	MORGAN STANLEY	Common stock		1,380,296
	NRG ENERGY INC	Common stock		1,320,737
	NVR INC	Common stock		2,107,611
	NASDAQ INC	Common stock		202,613
	NEUROCRINE BIOSCIENCES INC	Common stock		310,608
	NEWMONT CORP	Common stock		353,369
	NEXTERA ENERGY	Common stock		8,329,532
	NIKE INC CL B	Common stock		3,619,365
	NORTHERN TRUST CORP	Common stock		148,200
	NORTHROP GRUMMAN CORP	Common stock		2,748,982
	NUTANIX INC CL A	Common stock		722,885
	O-I GLASS INC	Common stock		849,925
	O'REILLY AUTOMOTIVE INC	Common stock		1,976,521
	OKTA INC CL A	Common stock		224,563
	OLD REPUBLIC INTL CORP	Common stock		414,229
	OMNICOM GROUP INC	Common stock		448,728
	ON SEMICONDUCTOR CORP	Common stock		3,160,356
	OTIS WORLDWIDE CORP	Common stock		3,036,111
	PG&E CORP	Common stock		294,451

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	PNC FINANCIAL SERVICES GRP INC	Common stock		359,642
	PPG INDUSTRIES INC	Common stock		174,592
	PTC INC	Common stock		173,339
	PACCAR INC	Common stock		243,769
	PARKER HANNIFIN CORP	Common stock		437,722
	PAYCHEX INC	Common stock		424,153
	PEPSICO INC	Common stock		478,783
	PERFORMANCE FOOD GROUP CO	Common stock		666,847
	PFIZER INC	Common stock		1,875,418
	PHILIP MORRIS INTL INC	Common stock		2,254,101
	PHILLIPS 66	Common stock		3,564,343
	PINTEREST INC CL A	Common stock		639,095
	POPULAR INC	Common stock		589,104
	PRICE (T ROWE)GROUP INC	Common stock		218,786
	PROCTER & GAMBLE CO	Common stock		930,942
	PROGRESSIVE CORP OHIO	Common stock		1,114,006
	PROLOGIS INC REIT	Common stock		2,702,945
	PRUDENTIAL FINANCIAL INC	Common stock		3,036,359
	PUBLIC SVC ENTERPRISE GRP INC	Common stock		2,104,181
	PULTEGROUP INC	Common stock		226,898
	QUALCOMM INC	Common stock		4,842,597
	QUANTA SVCS INC	Common stock		200,479
	QUEST DIAGNOSTICS INC	Common stock		604,752
	RH	Common stock		550,528
	RANGE RESOURCES CORP	Common stock		869,723
	RAYMOND JAMES FINANCIAL INC.	Common stock		514,530
	RTX CORP	Common stock		3,702,846
	REGAL REYNORD CORP	Common stock		1,669,247
	REGENCY CENTERS CORP REIT	Common stock		163,049
	REGENERON PHARMACEUTICALS INC	Common stock		6,124,017
	REGIONS FINANCIAL CORP	Common stock		1,322,534
	REINSURANCE GROUP OF AMERICA	Common stock		2,136,330
	RESMED INC	Common stock		265,439
	ROBERT HALF INC	Common stock		1,241,945
	ROCKET COS INC	Common stock		3,109,255
	ROCKWELL AUTOMATION INC	Common stock		191,033
	ROKU INC CLASS A	Common stock		1,503,671
	ROPER TECHNOLOGIES INC	Common stock		1,026,470
	ROSS STORES INC	Common stock		256,519
	SHELL PLC SPONS ADR	Common stock		1,920,620
	RYDER SYSTEM INC	Common stock		1,748,922
	S&P GLOBAL INC	Common stock		565,965
	SEI INV CORP	Common stock		150,343
	SS&C TECHNOLOGIES HOLDINGS INC	Common stock		310,953
	SAIA INC	Common stock		1,614,641
	SALESFORCE INC	Common stock		8,172,738
	SANOFI SPON ADR	Common stock		2,001,980
	HENRY SCHEIN INC	Common stock		354,168
	SLB LTD	Common stock		1,340,997
	SCHWAB CHARLES CORP	Common stock		491,158
	SCOTTS MIRACLE GRO CO	Common stock		716,071
	SELECTIVE INSURANCE GROUP INC	Common stock		795,785
	SEMPRA	Common stock		2,850,266
	SHERWIN WILLIAMS CO	Common stock		2,228,030
	SILGAN HOLDINGS INC	Common stock		518,028
	SIMON PPTY GROUP INC - REIT	Common stock		444,819
	SKYWORKS SOLUTIONS INC	Common stock		1,405,736
	SMITH (AO) CORP	Common stock		1,478,516
	SMITHFIELD FOODS INC	Common stock		754,039
	SOUTHERN CO	Common stock		2,154,014
	SOUTHWEST AIRLINES CO	Common stock		1,132,979
	STARBUCKS CORP	Common stock		183,830
	STATE STREET CORP	Common stock		2,517,372
	STEWART INFORMATION SERVICES	Common stock		220,827
	STRYKER CORP	Common stock		403,839

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	SYNOPSIS INC	Common stock		1,899,548
	SYNOVUS FINANCIAL CORP.	Common stock		671,571
	TD SYNEX CORP	Common stock		1,364,088
	TJX COMPANIES INC NEW	Common stock		331,490
	T-MOBILE US INC	Common stock		364,051
	TRI POINTE HOMES INC	Common stock		407,946
	TARGET CORP	Common stock		3,379,120
	TERADYNE INC	Common stock		2,688,161
	TEXAS INSTRUMENTS INC	Common stock		376,994
	THERMO FISHER SCIENTIFIC INC	Common stock		418,363
	3M CO	Common stock		979,012
	TRAVELERS COMPANIES INC	Common stock		4,134,515
	TREX CO INC	Common stock		546,231
	TRIMBLE INC	Common stock		274,068
	TYSON FOODS INC CL A	Common stock		3,080,422
	US BANCORP DEL	Common stock		2,086,216
	UNILEVER PLC SPONS ADR	Common stock		4,810,759
	UNION PACIFIC CORP	Common stock		2,796,427
	UNITED PARCEL SERVICE INC CL B	Common stock		351,629
	UNITED RENTALS INC	Common stock		229,038
	US FOODS HOLDING CORP	Common stock		217,148
	UNITED THERAPEUTICS CORP DEL	Common stock		318,662
	UNITEDHEALTH GROUP INC	Common stock		1,005,185
	UNIVERSAL DISPLAY CORP	Common stock		255,048
	VALERO ENERGY CORP	Common stock		3,513,334
	VENTAS INC REIT	Common stock		205,289
	VERISIGN INC	Common stock		2,640,624
	VERIZON COMMUNICATIONS INC	Common stock		559,223
	VERTEX PHARMACEUTICALS INC	Common stock		4,268,384
	VIATRIS INC	Common stock		1,480,106
	VONTIER CORP W/I	Common stock		1,617,813
	VULCAN MATERIALS CO	Common stock		2,924,646
	WALMART INC	Common stock		5,573,954
	WATSCO INC	Common stock		889,548
	WAYFAIR INC	Common stock		680,880
	WELLS FARGO & CO	Common stock		10,757,703
	WELLTOWER INC	Common stock		355,814
	WEST PHARMACEUTICAL SVCS INC	Common stock		2,836,143
	WESTERN DIGITAL CORP	Common stock		2,221,594
	WHIRLPOOL CORP	Common stock		937,892
	WILLIAMS COMPANIES INC	Common stock		213,391
	WILLIAMS-SONOMA INC	Common stock		1,106,365
	XCEL ENERGY INC	Common stock		2,199,551
	ZILLOW GROUP INC CL C	Common stock		345,875
	ZIMMER BIOMET HLDGS INC	Common stock		165,363
	ZOOM COMMUNICATIONS INC CL A	Common stock		1,599,126
	CRH PLC	Common stock		400,234
	AERCAP HOLDINGS NV	Common stock		8,177,644
	INVESCO LTD	Common stock		241,054
	CHUBB LTD	Common stock		2,070,292
	GARMIN LTD	Common stock		351,539
	ACCENTURE PLC CL A	Common stock		5,749,937
	NXP SEMICONDUCTORS NV	Common stock		4,165,164
	EATON CORP PLC	Common stock		4,073,743
	ICON PLC	Common stock		3,228,756
	GATES INDUSTRIAL CORP PLC	Common stock		1,841,482
	WILLIS TOWERS WATSON PLC	Common stock		2,389,908
	CNH INDUSTRIAL NV	Common stock		1,237,223
	STERIS PLC	Common stock		312,337
	AON PLC	Common stock		245,252
	ROYALTY PHARMA PLC	Common stock		1,429,680
	CANADIAN PACIFIC KANSAS CITY LTD	Common stock		6,548,358
	LINDE PLC	Common stock		1,162,766
	BROOKFIELD ASSET MANAGEMENT LTD A	Common stock		138,414
	SMURFIT WESTROCK PLC	Common stock		2,140,926
	JAMES HARDIE IND PLC	Common stock		1,932,157
	MEDTRONIC PLC	Common stock		7,549,451
	AMRIZE LTD	Common stock		5,743,783
	JANUS HENDERSON GROUP PLC	Common stock		179,529

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	BBH STIF FUND	Money Market		32,001,589
	CANADIAN DOLLAR	Currency		1,826
	FUTURES CASH COLLATERAL	General Investments - Other		1,951,427
	Total US Large Cap Value Separately Managed Account			759,312,843
	US SMID Cap Growth Separately Managed Account			
	AAON INC	Common stock		2,020,930
	ADMA BIOLOGICS INC	Common stock		2,987,311
	ACV AUCTIONS INC CL A	Common stock		22,167
	ANI PHARMACEUTICALS INC	Common stock		1,224,754
	AST SPACEMOBILE INC	Common stock		387,118
	AZZ INC	Common stock		81,993
	ABERCROMBIE & FITCH CO CL A	Common stock		1,399,926
	ABVAX SA	Common stock		714,057
	ACADIA PHARMACEUTICALS INC	Common stock		946,335
	ACCEL ENTMT INC	Common stock		68,563
	ACI WORLDWIDE INC	Common stock		149,311
	ADAPTIVE BIOTECHNOLOGIES CORP	Common stock		1,251,958
	ADDUS HOMECARE CORP	Common stock		1,710,401
	ADEIA INC	Common stock		68,483
	COVISTA INC	Common stock		1,044,219
	ADVANCED DRAINAGE SYSTEMS INC	Common stock		960,657
	ADVANCED ENERGY INDUSTRIES INC	Common stock		722,536
	AEROVIRONMENT INC	Common stock		148,279
	AFFIRM HOLDINGS INC	Common stock		787,916
	AGILYSYS INC	Common stock		757,130
	ALAMO GROUP INC	Common stock		73,695
	ALARM.COM HOLDINGS INC	Common stock		2,862,273
	ALASKA AIR GROUP INC	Common stock		99,141
	ALIGN TECHNOLOGY INC	Common stock		443,622
	ALIGNMENT HEALTHCARE INC	Common stock		509,017
	ALLISON TRANSMISSION HLDGS INC	Common stock		90,460
	ALLY FINANCIAL INC	Common stock		1,296,019
	ALPHA METALLURGICAL RESOURCES INC	Common stock		147,911
	ALPHATEC HOLDINGS INC	Common stock		70,715
	U-HAUL HOLDING CO	Common stock		67,633
	AMERICAN AIRLINES GROUP INC	Common stock		92,440
	AMERICAN PUBLIC EDUCATION INC	Common stock		65,583
	AMERICAN SUPERCONDUCTOR CORP	Common stock		575,830
	AMNEAL PHARMACEUTICALS INC	Common stock		81,887
	AMPRIUS TECHNOLOGIES INC	Common stock		172,097
	ANNEXON INC	Common stock		335,547
	APOLLO COML REAL ESTAT FIN INC	Common stock		53,763
	ASTRANA HEALTH INC	Common stock		91,326
	APOGEE THERAPEUTICS INC	Common stock		290,749
	APPIAN CORP CL A	Common stock		943,589
	APPFOLIO INC - A	Common stock		1,674,847
	APPLIED DIGITAL CORP	Common stock		229,826
	APPLIED OPTOELECTRONICS INC	Common stock		71,568
	APPLOVIN CORP	Common stock		1,689,941
	ARCELLX INC	Common stock		546,180
	ARCHER AVIATION INC A	Common stock		60,280
	ARCHROCK INC	Common stock		126,587
	ARCOSA INC	Common stock		116,527
	ARCUS BIOSCIENCES INC	Common stock		192,713
	ARCUTIS BIOTHERAPEUTICS INC	Common stock		383,444
	ARGAN INC	Common stock		2,409,744
	ARHAUS INC	Common stock		69,625
	ARMSTRONG WORLD INDUSTRIES INC	Common stock		817,335
	ARROWHEAD PHARMACEUTICALS INC	Common stock		686,406
	ARTERIS INC	Common stock		322,214
	ARTISAN PARTNERS ASSET MANAGEMENT INC A	Common stock		87,591
	ASCENDIS PHARMA AS	Common stock		577,667
	ASTERA LABS INC	Common stock		2,655,937
	ASTRONICS CORP	Common stock		138,963
	ATMUS FILTRATION TECHNOLOGIES INC	Common stock		1,950,207
	ATRICURE INC	Common stock		318,537

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	AVEANNA HEALTHCARE HOLDINGS INC	Common stock		680,030
	AXON ENTERPRISE INC	Common stock		1,177,319
	AXSOME THERAPEUTICS INC	Common stock		1,927,765
	AXOS FINANCIAL INC	Common stock		1,255,782
	BICARA THERAPEUTICS INC	Common stock		256,001
	BALDWIN INSURANCE GROUP INC/THE	Common stock		345,359
	BWX TECHNOLOGIES INC	Common stock		1,155,435
	BADGER METER INC	Common stock		53,893
	BALCHEM CORP	Common stock		2,032,480
	BANCFIRST CORP	Common stock		93,404
	BANCORP INC DEL	Common stock		109,923
	BANK HAWAII CORP	Common stock		120,468
	BANK OZK	Common stock		762,689
	BELDEN INC	Common stock		55,128
	BENTLEY SYS INC CL B	Common stock		65,529
	BETA BIONICS INC	Common stock		362,349
	BGC GROUP INC A	Common stock		1,309,174
	BIO TECHNE CORP	Common stock		1,703,020
	BIOAGE LABS INC	Common stock		124,865
	BLACKLINE INC	Common stock		77,627
	BLOCK H & R INC	Common stock		50,161
	BLOOM ENERGY CORP CL A	Common stock		1,392,847
	BLUE BIRD CORP	Common stock		817,659
	BOOT BARN HOLDINGS INC	Common stock		2,950,755
	BOX INC CL A	Common stock		114,824
	BRIDGEBIO PHARMA INC	Common stock		4,041,043
	BRIGHT HORIZONS FAMILY SOLUTIONS INC	Common stock		84,061
	BRIGHTSPRING HEALTH SERVICES INC	Common stock		189,872
	BRINKER INTERNATIONAL INC	Common stock		294,647
	BRINKS CO	Common stock		1,131,230
	BUCKLE INC (THE)	Common stock		71,262
	BUILD A BEAR WORKSHOP INC	Common stock		65,375
	BUTTERFLY NETWORK INC A	Common stock		142,527
	C3.AI INC	Common stock		57,694
	CBIZ INC	Common stock		1,353,674
	CBL & ASSOCIATES PROPERTIES INC	Common stock		89,022
	CCC INTELLIGENT SOLUTIONS HOLD CL A	Common stock		1,617,404
	CECO ENVIRONMENTAL CORP	Common stock		648,176
	CH ROBINSON WORLDWIDE INC	Common stock		824,860
	CSG SYSTEMS INTL INC	Common stock		95,249
	CSW INDUSTRIALS INC	Common stock		87,765
	CABOT CORP	Common stock		94,449
	CACTUS INC CL A	Common stock		73,362
	CAL-MAINE FOODS INC	Common stock		41,695
	CALIX NETWORKS INC	Common stock		317,686
	CARGURUS INC CL A	Common stock		69,950
	CARRIAGE SERVICES INC	Common stock		50,083
	CARPENTER TECHNOLOGY CORP	Common stock		1,037,083
	CARVANA CO CL A	Common stock		1,020,444
	CASELLA WASTE SYS INC CL A	Common stock		4,132,284
	CAVA GROUP INC	Common stock		86,920
	CAVCO INDUSTRIES INC	Common stock		121,692
	CELCCITY INC	Common stock		598,241
	CELSIUS HOLDINGS INC	Common stock		1,894,276
	CENTESSA PHARMACEUTICALS LTD ADR	Common stock		132,028
	CENTURY ALUMINUM COMPANY	Common stock		118,598
	CENTRUS ENERGY CORP CL A	Common stock		38,842
	CERENCE INC	Common stock		179,047
	CG ONCOLOGY INC	Common stock		566,208
	CERUS CORP	Common stock		101,797
	CHART INDUSTRIES INC	Common stock		261,087
	CHEESECAKE FACTORY INC	Common stock		79,001
	CHEFS WAREHOUSE INC	Common stock		1,046,084
	CHEMED CORP	Common stock		1,013,600
	CHEMOURS CO/ THE	Common stock		80,997
	CHESAPEAKE UTILITIES CORP	Common stock		71,612
	CHIME FINANCIAL INC	Common stock		887,243

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	NIAGEN BIOSCIENCE INC	Common stock		192,492
	CHURCHILL DOWNS INC	Common stock		163,274
	CIENA CORP	Common stock		665,594
	CINEMARK HOLDINGS INC	Common stock		72,834
	CITY HOLDING CO	Common stock		78,195
	CLEAR SECURE INC	Common stock		59,040
	CLEARWATER ANALYTICS HOLDINGS INC	Common stock		887,954
	COASTAL FINANCIAL CORP/WA	Common stock		785,629
	COCA COLA CONSOLIDATED INC	Common stock		1,157,722
	COEUR MINING INC	Common stock		98,636
	COGENT COMMUNICATIONS HOLDINGS INC	Common stock		19,749
	COGENT BIOSCIENCES INC	Common stock		2,027,979
	COLLEGIUM PHARMACEUTICAL INC	Common stock		264,188
	COMFORT SYSTEMS USA INC	Common stock		5,085,497
	VISTANCE NETWORKS INC	Common stock		68,695
	COMMUNITY FINANCIAL SYSTEM INC	Common stock		80,129
	COMMVault SYSTEMS INC	Common stock		134,511
	COMPASS INC	Common stock		91,029
	CONCENTRA GROUP HOLDINGS PARENT INC	Common stock		54,002
	CONFLUENT INC	Common stock		355,350
	CONSTRUCTION PARTNERS INC CL A	Common stock		1,587,652
	CORCEPT THERAPEUTICS INC	Common stock		289,118
	CORE SCIENTIFIC INC	Common stock		56,449
	CORE & MAIN INC	Common stock		148,322
	CORE NATURAL RESOURCES INC	Common stock		97,361
	QUIDELORTHO CORP	Common stock		84,709
	CORVEL CORP	Common stock		716,625
	COURSERA INC	Common stock		310,165
	TAT TECHNOLOGIES LTD	Common stock		594,067
	CREXENDO INC	Common stock		175,182
	CRINETICS PHARMACEUTICALS INC	Common stock		467,781
	ARTIVION INC	Common stock		897,514
	CURIOSITYSTREAM INC CL A	Common stock		123,823
	CYTOKINETICS INC	Common stock		730,075
	DXP ENTERPRISES INC	Common stock		115,719
	DARLING INGREDIENTS INC	Common stock		84,420
	DAVE INC	Common stock		1,838,146
	DAVITA INC	Common stock		173,142
	DAY ONE BIOPHARMACEUTICALS INC	Common stock		543,281
	DECKERS OUTDOOR CORP	Common stock		626,996
	DIAMONDROCK HOSPITALITY CO	Common stock		96,840
	DIANTHUS THERAPEUTICS INC	Common stock		350,161
	DESCARTES SYS GROUP INC	Common stock		1,532,034
	DIEBOLD NIXDORF INC	Common stock		62,459
	DIGITALOCEAN HOLDINGS INC	Common stock		871,116
	DISC MEDICINE INC	Common stock		252,127
	EVEREST GROUP LTD	Common stock		97,393
	DOLBY LABORATORIES INC CL A	Common stock		1,086,924
	DONNELLEY FINANCIAL SOLUTIONS INC	Common stock		49,165
	DORMAN PRODUCTS INC	Common stock		2,257,087
	DROPBOX INC CL A	Common stock		57,379
	DUOLINGO INC	Common stock		716,567
	DOXIMITY INC	Common stock		781,896
	DUTCH BROS INC	Common stock		851,264
	D-WAVE QUANTUM INC	Common stock		401,821
	DYCOM INDUSTRIES INC	Common stock		209,160
	DYNATRACE INC	Common stock		1,926,160
	DYNE THERAPEUTICS INC	Common stock		539,641
	ELF BEAUTY INC	Common stock		894,306
	SUNOPTA INC	Common stock		350,368
	TOWER SEMICONDUCTOR LTD	Common stock		579,585
	EMBRAER S A SPON ADR	Common stock		270,290
	EMCOR GROUP INC	Common stock		2,276,471
	ENERGIZER HLDGS INC	Common stock		38,766
	ENERSYS INC	Common stock		777,335
	ENERPAC TOOL GROUP CORP CL A	Common stock		42,982

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	ENPHASE ENERGY INC	Common stock		58,235
	ENOVA INTL INC	Common stock		118,686
	ENSIGN GROUP INC	Common stock		197,717
	EQUITABLE HOLDINGS INC	Common stock		504,280
	ESCO TECHNOLOGIES INC	Common stock		198,712
	ESQUIRE FINL HLDGS INC	Common stock		80,737
	ETSY INC	Common stock		75,121
	EUROPEAN WAX CENTER INC	Common stock		130,496
	EVERTEC INC	Common stock		68,158
	EXCELERATE ENERGY INC	Common stock		1,634,978
	F&G ANNUITIES & LIFE INC	Common stock		79,346
	EXLSERVICE HOLDINGS INC	Common stock		2,768,064
	EXPEDITORS INTL OF WASH INC	Common stock		162,272
	EXPONENT INC	Common stock		66,473
	EXTREME NETWORKS INC	Common stock		684,365
	FAIR ISAAC CORP	Common stock		1,283,181
	FASTLY INC CL A	Common stock		762,431
	FEDERAL AGRIMTG NON VTG CL C	Common stock		66,892
	FEDERAL SIGNAL CORP	Common stock		2,352,820
	FIRST BANCORP PUERTO RICO	Common stock		64,263
	FIRST FINL BANKSHARES INC	Common stock		89,879
	FIRSTCASH HOLDINGS INC	Common stock		176,593
	FIVE BELOW INC	Common stock		2,442,276
	FIVE9 INC	Common stock		84,591
	FLOOR & DECOR HOLDINGS INC	Common stock		436,460
	FLOTEK INDUSTRIES INC	Common stock		162,841
	FLUENCE ENERGY INC	Common stock		81,771
	FORMFACTOR INC	Common stock		85,845
	FORTREA HOLDINGS INC	Common stock		166,618
	FRANKLIN ELECTRIC CO. INC.	Common stock		111,770
	FRESHWORKS INC CL A	Common stock		1,539,396
	FRONTDOOR INC	Common stock		1,690,894
	GEO GROUP INC	Common stock		99,041
	GERON CORP	Common stock		165,821
	GITLAB INC CL A	Common stock		64,702
	GLACIER BANCORP INC	Common stock		100,346
	GLAUKOS CORP	Common stock		755,932
	GODADDY INC CL A	Common stock		1,384,112
	GOOSEHEAD INSURANCE	Common stock		41,023
	GORMAN-RUPP CO	Common stock		69,190
	GRAND CANYON EDUCATION INC	Common stock		1,306,698
	GRANITE CONSTRUCTION INC	Common stock		546,874
	GREEN BRICK PARTNERS INC	Common stock		76,508
	GRID DYNAMICS HOLDINGS INC	Common stock		759,983
	AMERICAN HEALTHCARE REIT INC	Common stock		1,723,573
	GRIFFON CORP	Common stock		87,054
	GROUP 1 AUTOMOTIVE INC	Common stock		72,367
	GUARDANT HEALTH INC	Common stock		2,612,537
	GUIDEWIRE SOFTWARE INC	Common stock		2,383,577
	GULFPORT ENERGY CORP	Common stock		80,492
	HF SINCLAIR CORP	Common stock		1,302,313
	HCI GROUP INC	Common stock		114,439
	HNI CORP	Common stock		48,514
	HAEMONETICS CORP MASS	Common stock		111,970
	HALOZYME THERAPEUTICS INC	Common stock		266,172
	HAMILTON LANE INC - A	Common stock		64,872
	HARMONY BIOSCIENCES HOLDINGS INC	Common stock		665,515
	HEALTHCARE SERVICES GROUP INC	Common stock		74,778
	HEALTH EQUITY INC	Common stock		3,317,198
	HENRY (JACK) & ASSOCIATES INC	Common stock		1,341,775
	HERC HOLDINGS INC	Common stock		704,211
	HILTON GRAND VACATIONS INC	Common stock		108,743
	HIMS & HERS HEALTH INC	Common stock		122,866
	HOULIHAN LOKEY	Common stock		79,779
	HOVNANIAN ENTERPRISES INC CL A	Common stock		131,874
	HURON CONSULTING GROUP INC	Common stock		98,732

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	IES HOLDINGS INC	Common stock		311,994
	IRHYTHM TECHNOLOGIES INC	Common stock		747,200
	MPINJ INC	Common stock		141,122
	INGEVITY CORP	Common stock		75,691
	Innodata Inc	Common stock		252,814
	INSIGHT ENTERPRISES INC	Common stock		32,832
	NSMED INC	Common stock		2,532,978
	INSPIRE MEDICAL SYSTEMS INC	Common stock		319,116
	INSPERITY INC	Common stock		41,934
	INSTALLED BUILDING PRODUCTS INC	Common stock		164,972
	INNOVVA INC	Common stock		99,830
	INTEGER HOLDINGS CORP	Common stock		122,116
	INTERPARFUMS INC	Common stock		35,629
	INTERDIGITAL INC	Common stock		276,354
	INTERNATIONAL MONEY EXPRESS INC	Common stock		40,581
	IONIS PHARMACEUTICALS INC	Common stock		1,089,266
	IONQ INC	Common stock		774,232
	B VERTICALS INC CL A	Common stock		933,441
	ITRON INC	Common stock		56,459
	J&J SNACKS FOOD CORP	Common stock		41,661
	JABIL INC	Common stock		345,678
	JANUX THERAPEUTICS INC	Common stock		153,014
	JANUS INTERNATIONAL GROUP INC	Common stock		43,825
	JEFFERIES FINANCIAL GROUP INC	Common stock		267,091
	JBT MAREL CORP	Common stock		102,004
	JONES LANG LASALLE INC	Common stock		1,686,724
	KADANT INC	Common stock		63,274
	KAISER ALUM CORP	Common stock		117,846
	KALTURA INC	Common stock		134,324
	KARAT PACKAGING INC	Common stock		91,296
	KARMAN HOLDINGS INC	Common stock		1,142,403
	KFORCE INC	Common stock		33,363
	KINSALE CAPITAL GROUP INC	Common stock		169,355
	KNIFE RIVER HOLDING CO W/I	Common stock		81,043
	KONTOOR BRANDS INC	Common stock		86,626
	KORN FERRY	Common stock		51,232
	KRATOS DEFENSE & SEC SOLTN INC	Common stock		236,687
	KRYSTAL BIOTECH INC	Common stock		267,742
	KULICKE & SOFFA INDUSTRIES INC	Common stock		86,837
	KYMERA THERAPEUTICS INC	Common stock		261,753
	LAKELAND FINANCIAL CORP	Common stock		77,716
	LAMAR ADVERTISING CO CL A	Common stock		228,477
	MARZETTI COMPANY/THE	Common stock		241,369
	LANTHEUS HLDGS INC	Common stock		102,753
	LATTICE SEMICONDUCTOR CORP	Common stock		116,624
	LAUREATE EDUCATION INC	Common stock		1,863,399
	LAZARD INC	Common stock		1,729,416
	LEGALZOOM.COM INC	Common stock		414,101
	LEGENCE CORP A	Common stock		955,832
	LEMAITRE VASCULAR INC	Common stock		2,447,517
	LEMONADE INC	Common stock		96,307
	LEONARDO DRS INC	Common stock		826,648
	LEVI STRAUSS & COMPANY CL A	Common stock		149,017
	LIBERTY BROADBAND CORP C	Common stock		295,682
	LIFE TIME GROUP HOLDINGS INC	Common stock		965,359
	LIGAND PHARMACEUTICALS	Common stock		3,015,288
	LINDBLAD EXPEDITIONS HOLDINGS	Common stock		689,867
	LIQUIDITY SERVICES INC	Common stock		83,656
	LITHIA MOTORS INC	Common stock		63,807
	LITTELFUSE INC	Common stock		1,650,050
	LIVE OAK BANCSHARES INC	Common stock		71,173
	LOAR HOLDINGS INC	Common stock		56,372
	LUMEN TECHNOLOGIES INC	Common stock		113,054
	LUMENTUM HOLDINGS INC	Common stock		2,798,335
	LYFT INC	Common stock		539,842
	MYR GROUP INC/DELAWARE	Common stock		935,617

APPLE 401(k) PLAN

SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	MACOM TECHNOLOGY SOLUTIONS HOLDINGS INC	Common stock		1,521,309
	MADRIGAL PHARMACEUTICALS INC	Common stock		2,281,608
	MAGNERA CORP	Common stock		270,219
	MAGNITE INC	Common stock		911,493
	MAGNOLIA OIL & GAS CORPO CL A	Common stock		103,999
	MAMA'S CREATIONS INC	Common stock		743,218
	MANHATTAN ASSOCIATES INC	Common stock		685,788
	MANNKIND CORP	Common stock		340,200
	MASIMO CORP	Common stock		72,573
	MASTEC INC	Common stock		1,981,328
	MATSON INC	Common stock		810,117
	MAXLINEAR INC	Common stock		349,332
	MAXIMUS INC	Common stock		142,255
	MAZE THERAPEUTICS INC	Common stock		121,224
	MCGRATH RENTCORP	Common stock		68,729
	MEDPACE HOLDINGS INC	Common stock		5,397,457
	MERCURY SYSTEMS INC	Common stock		644,021
	MERIT MEDICAL SYSTEMS INC	Common stock		1,198,175
	MESA LABORATORIES INC	Common stock		738,607
	PATHWARD FINANCIAL INC	Common stock		48,706
	MIAMI INTERNATIONAL HOLDINGS INC	Common stock		282,967
	MINERALYS THERAPEUTICS INC	Common stock		596,317
	MIRION TECHNOLOGIES INC-A	Common stock		74,733
	MIRUM PHARMACEUTICALS INC	Common stock		1,972,696
	MODINE MANUFACTURING CO	Common stock		136,848
	MOELIS & CO CL A	Common stock		95,755
	MONARCH CASINO & RESORT INC	Common stock		61,822
	MONGODB INC CL A	Common stock		459,141
	MONOLITHIC POWER SYS INC	Common stock		1,421,172
	ONTERRIS INC	Common stock		1,585,197
	MOOG INC CL A	Common stock		872,883
	MOTORCAR PARTS OF AMERICA INC	Common stock		143,601
	MUELLER INDUSTRIES INC	Common stock		264,040
	MUELLER WATER PRODUCTS INC A	Common stock		155,259
	MURPHY USA INC	Common stock		164,636
	NCR ATLEOS CORP	Common stock		71,761
	NATERA INC	Common stock		986,920
	NATIONAL BEVERAGE CORPORATION	Common stock		455,198
	NATURES SUNSHINE PRODUCTS INC	Common stock		343,813
	NCINO INC	Common stock		1,294,743
	NEKTAR THERAPEUTICS	Common stock		338,282
	NERDWALLET INC A	Common stock		74,335
	NEUROCRINE BIOSCIENCES INC	Common stock		874,949
	NEUROPACE INC	Common stock		416,509
	ATLAS ENERGY SOLUTIONS INC	Common stock		27,855
	NPK INTERNATIONAL INC	Common stock		1,038,566
	NEXTPOWER INC	Common stock		2,219,911
	NICE LTD SPON ADR	Common stock		685,362
	NLIGHT INC	Common stock		496,895
	NOVANTA INC	Common stock		883,263
	NUTANIX INC CL A	Common stock		154,295
	NUVALENT INC-A	Common stock		770,117
	NUSCALE POWER CORP	Common stock		41,221
	NUTEX HEALTH INC	Common stock		96,303
	OSI SYSTEMS INC	Common stock		78,303
	OCEANEERING INTERNATIONAL INC	Common stock		1,738,258
	OLD NATIONAL BANCORP (IND)	Common stock		82,904
	OLLIES BARGAIN OUTLET HOLDINGS INC	Common stock		930,370
	ONTO INNOVATION INC	Common stock		94,558
	OPTION CARE HEALTH INC	Common stock		1,599,850
	ORIC PHARMACEUTICALS INC	Common stock		217,408
	OSCAR HEALTH INC - CLASS A	Common stock		98,837
	OUSTER INC	Common stock		452,254
	OUTFRONT MEDIA INC	Common stock		93,195
	PDF SOLUTIONS INC	Common stock		1,301,967
	PJT PARTNERS INC	Common stock		85,272

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	PTC THERAPEUTICS INC	Common stock		885,314
	PACS GROUP INC	Common stock		374,111
	PALOMAR HLDGS INC	Common stock		501,038
	PATRICK INDUSTRIES INC	Common stock		119,056
	PAYLOCITY HOLDING CORP	Common stock		2,936,235
	PAYMENTUS HOLDINGS INC CL A	Common stock		139,249
	PAYONEER GLOBAL INC	Common stock		30,623
	PEGASYSTEMS INC	Common stock		5,466,559
	PELOTON INTERACTIVE INC CL A	Common stock		282,300
	PENNANT GROUP INC	Common stock		1,552,444
	PENUMBRA INC	Common stock		1,188,920
	PERFORMANCE FOOD GROUP CO	Common stock		97,563
	PERMIAN RESOURCES CORP CL A	Common stock		1,202,610
	PHIBRO ANIMAL HEALTH CORP CL A	Common stock		77,148
	PHREESIA INC	Common stock		65,903
	PINNACLE FINL PARTNERS INC	Common stock		160,289
	PIPER SANDLER COS	Common stock		148,793
	PLANET FITNESS INC CL A	Common stock		171,057
	PLANET LABS PBC A	Common stock		1,561,469
	PLEXUS CORP	Common stock		62,916
	POOL CORP	Common stock		551,516
	POPULAR INC	Common stock		76,953
	POWELL INDUSTRIES INC	Common stock		108,066
	POWER INTEGRATIONS INC	Common stock		84,834
	PRAXIS PRECISION MEDICINES INC	Common stock		1,210,497
	PRESTIGE CONSUMER HEALTHCARE INC	Common stock		44,910
	PRICESMART INC	Common stock		88,813
	PRIMORIS SVCS CORP	Common stock		1,530,026
	PROCORE TECHNOLOGIES INC	Common stock		181,923
	PROCEPT BIOROBOTICS CORP	Common stock		82,708
	PRVIA HEALTH GROUP INC	Common stock		78,053
	PROGYNY INC	Common stock		874,738
	EVERPURE INC-A	Common stock		2,505,169
	Q2 HOLDINGS INC	Common stock		1,418,377
	QUALYS INC	Common stock		76,550
	QUANTUM COMPUTING INC	Common stock		276,640
	REV GROUP INC	Common stock		1,287,044
	RADNET INC	Common stock		69,352
	RAMBUS INC	Common stock		1,719,721
	RALPH LAUREN CORP	Common stock		512,027
	RBC BEARINGS INC	Common stock		502,242
	RECURSION PHARMACEUTICALS INC	Common stock		76,904
	RED VIOLET INC	Common stock		615,743
	REMITLY GLOBAL INC	Common stock		1,053,437
	REPLIGEN	Common stock		1,712,829
	UPBOUND GROUP INC	Common stock		45,445
	REVOLUTION MEDICINES INC	Common stock		733,736
	REVOLVE GROUP INC	Common stock		1,229,276
	RHYTHM PHARMACEUTICALS INC	Common stock		1,866,242
	RIGETTI COMPUTING INC A	Common stock		666,671
	ROCKET LAB CORP	Common stock		1,393,247
	ROKU INC CLASS A	Common stock		1,018,613
	RUBRIK INC	Common stock		1,592,314
	RUSH ENTERPRISES INC CL A	Common stock		125,087
	RUSH STREET INTERACTIVE INC	Common stock		2,145,402
	RXSIGHT INC	Common stock		337,941
	RYAN SPECIALTY HOLDINGS INC	Common stock		101,298
	RYMAN HOSPITALITY PPTYS INC	Common stock		119,978
	SPS COMMERCE INC	Common stock		1,126,158
	SPX TECHNOLOGIES INC	Common stock		167,650
	SAIA INC	Common stock		1,597,989
	SAMSARA INC	Common stock		304,055
	SANFILIPPO (JOHN B) & SON INC.	Common stock		45,749
	SANMINA CORP	Common stock		69,933
	SAREPTA THERAPEUTICS INC	Common stock		279,803
	SLB LTD	Common stock		149,145

APPLE 401(k) PLAN

SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	MATIV INC	Common stock		56,218
	LIGHT & WONDER INC CL A	Common stock		213,602
	UNITED PARKS & RESORTS INC	Common stock		56,447
	SELECTIVE INSURANCE GROUP INC	Common stock		557,995
	GENEDX HOLDINGS CORP-A	Common stock		1,164,687
	SEMTECH CORP	Common stock		477,290
	SENTINELONE INC	Common stock		473,325
	SERVISFIRST BANCSHARES INC	Common stock		143,006
	SHAKE SHACK INC - CLASS A	Common stock		65,261
	SHIFT4 PAYMENTS INC	Common stock		81,861
	SI-BONE INC	Common stock		740,131
	SILICON LABORATORIES INC	Common stock		68,487
	SIMPLY GOOD FOODS CO	Common stock		59,417
	SIMPSON MANUFACTURING CO	Common stock		1,492,467
	SIONNA THERAPEUTICS INC	Common stock		120,993
	SITEONE LANDSCAPE SUPPLY INC	Common stock		794,070
	SITIME CORP	Common stock		1,630,678
	SIX FLAGS ENTERTAINMENT CORP	Common stock		33,395
	CHAMPION HOMES INC	Common stock		1,101,542
	SKYWARD SPECIALTY INSURANCE GROUP INC	Common stock		406,069
	SMITHFIELD FOODS INC	Common stock		264,231
	SNAP INC - A	Common stock		195,657
	SOFI TECHNOLOGIES INC A	Common stock		225,829
	SOLARIS ENERGY INFRASTRUCTURE INC CL A	Common stock		1,298,928
	SOUNDHOUND AI INC	Common stock		49,092
	SPROUTS FMRS MKT INC	Common stock		199,255
	STANDEX INTL CORP	Common stock		72,789
	STEPSTONE GROUP INC CLASS A	Common stock		85,410
	STERLING INFRASTRUCTURE INC	Common stock		1,186,947
	STIFEL FINANCIAL CORP	Common stock		919,866
	STOCK YARDS BANCORP INC	Common stock		78,135
	STONEX GROUP INC	Common stock		63,547
	STRIDE INC	Common stock		263,551
	STRUCTURE THERAPEUTICS INC ADR	Common stock		183,473
	SUN COUNTRY AIRLINES HOLDINGS INC	Common stock		123,049
	SWEETGREEN INC CL A	Common stock		14,379
	SYNDAX PHARMACEUTICALS INC	Common stock		1,041,907
	TPG INC	Common stock		86,759
	TANGER INC- REIT	Common stock		79,387
	TAPESTRY INC	Common stock		1,213,048
	TARSUS PHARMACEUTICALS INC	Common stock		1,326,620
	TEMPUS AI INC	Common stock		89,697
	SOMNIGROUP INTERNATIONAL INC	Common stock		1,356,877
	10X GENOMICS INC	Common stock		129,094
	TERADATA CORP	Common stock		87,454
	TERNS PHARMACEUTICALS INC	Common stock		928,594
	TETRA TECH INC	Common stock		226,865
	TEXAS CAPITAL BANCSHARES INC	Common stock		391,767
	TEXAS ROADHOUSE INC	Common stock		1,896,716
	TG THERAPEUTICS INC	Common stock		598,883
	TIDEWATER INC	Common stock		64,956
	TIPTREE INC	Common stock		71,472
	TOPBUILD CORP	Common stock		2,257,832
	TRADEWEB MARKETS INC A	Common stock		707,828
	TRANSMEDICS GROUP INC	Common stock		405,581
	TRAVEL+LEISURE CO	Common stock		64,817
	TRAVERE THERAPEUTICS INC	Common stock		1,025,251
	TRIMBLE INC	Common stock		1,638,220
	TRINET GROUP INC	Common stock		49,314
	TRINITY INDUSTRIES INC	Common stock		48,121
	TRIUMPH FINANCIAL INC	Common stock		1,032,957
	TRIPADVISOR INC	Common stock		78,376
	TURNING POINT BRANDS INC	Common stock		370,403
	TUTOR PERINI CORP	Common stock		258,161
	TWO HBRS INVT CORP	Common stock		85,428
	TYLER TECHNOLOGIES INC	Common stock		750,379

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	UFP TECHNOLOGIES INC	Common stock		78,599
	UDEMY INC	Common stock		115,239
	UMB FINANCIAL CORP	Common stock		83,174
	US PHYSICAL THERAPY INC	Common stock		86,289
	UL SOLUTIONS INC CL A	Common stock		1,913,853
	ULTRAGENYX PHARMA INC	Common stock		406,364
	UNITED STATES LIME & MIN INC	Common stock		912,898
	UNITY SOFTWARE INC	Common stock		853,541
	UNIVERSAL TECH INST INC	Common stock		74,235
	UPSTART HOLDINGS INC	Common stock		73,947
	UPWORK INC	Common stock		86,494
	URANIUM ENERGY CORP	Common stock		117,442
	URBAN OUTFITTERS	Common stock		1,075,917
	VAIL RESORTS INC	Common stock		92,163
	VALLEY NATL BANCORP	Common stock		126,705
	VALVOLINE INC	Common stock		82,095
	VARONIS SYSTEMS INC	Common stock		39,327
	VERACYTE INC	Common stock		856,777
	VERA THERAPEUTICS INC	Common stock		595,628
	VERICEL CORP	Common stock		1,398,448
	VERRA MOBILITY CORP	Common stock		743,250
	VIAVI SOLUTIONS INC	Common stock		84,520
	VICTORIA'S SECRET & CO	Common stock		168,415
	VIRIDIAN THERAPEUTICS INC	Common stock		1,076,223
	VIRTUS INVESTMENT PARTNERS	Common stock		756,363
	VITA COCO CO INC/THE	Common stock		2,189,207
	VITAL FARMS INC	Common stock		788,886
	WD 40 CO	Common stock		300,666
	WARBY PARKER INC	Common stock		963,859
	WATERBRIDGE INFRASTRUCTURE LLC	Common stock		551,816
	WATTS WATER TECH INC CL A	Common stock		865,323
	WAYFAIR INC	Common stock		471,626
	WAYSTAR HOLDING CORP	Common stock		70,871
	WENDYS CO	Common stock		38,318
	WEST PHARMACEUTICAL SVCS INC	Common stock		824,044
	WESTERN ALLIANCE BANCORP	Common stock		122,658
	WILEY (JOHN) & SONS INC CL A	Common stock		39,911
	WILLDAN GROUP	Common stock		1,698,573
	WILLSCOT HOLDINGS CORP	Common stock		541,193
	WINGSTOP INC	Common stock		1,305,971
	WISDOMTREE INC	Common stock		73,152
	WORKIVA INC	Common stock		2,207,138
	WYNDHAM HOTELS & RESORTS INC	Common stock		48,358
	XPO INC	Common stock		586,316
	XENON PHARMACEUTICALS INC	Common stock		324,721
	XERIS BIOPHARMA HOLDINGS INC	Common stock		227,532
	XOMETRY INC	Common stock		292,057
	YELP INC	Common stock		61,692
	ZEVIA PBC	Common stock		115,415
	ZETA GLOBAL HOLDINGS CORP	Common stock		1,230,361
	ZURN ELKAY WATER SOLUTIONS CORP	Common stock		119,154
	ZYMEWORKS DELAWARE INC	Common stock		649,508
	HERBALIFE LTD	Common stock		76,231
	GENPACT LTD	Common stock		162,420
	FABRINET	Common stock		503,084
	JAMES HARDIE INDUSTRIES PLC CDI	Common stock		88,242
	AMBARELLA INC	Common stock		820,398
	ICON PLC	Common stock		921,304
	NORWEGIAN CRUISE LINE HLDGS LTD	Common stock		216,973
	CRISPR THERAPEUTICS AG	Common stock		152,600
	TECHNIPFMC PLC	Common stock		1,449,091
	PAGSEGURO DIGITAL LTD CL A	Common stock		387,162
	ELASTIC NV	Common stock		986,152
	STONECO LTD CL A	Common stock		109,180
	TECNOGLASS INC	Common stock		32,557

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	FIRSTSERVICE CORP	Common stock		1,594,027
	ONESPACORLD HOLDINGS LTD	Common stock		953,086
	CONSTELLUM SE	Common stock		611,211
	CREDO TECHNOLOGY GROUP HOLDING LTD	Common stock		3,056,943
	FTAI AVIATION LTD	Common stock		2,410,428
	DOLE PLC	Common stock		309,678
	JOBV AVIATION INC	Common stock		129,505
	CELESTICA INC	Common stock		573,779
	SUPER GROUP SGHC LTD	Common stock		1,737,936
	BIT DIGITAL INC	Common stock		167,475
	BURFORD CAPITAL LTD	Common stock		63,903
	MONDAY.COM LTD	Common stock		686,449
	JFROG LTD	Common stock		1,336,207
	GLOBAL-E ONLINE LTD	Common stock		1,256,269
	STEVANATO GROUP S.P.A.	Common stock		1,527,832
	SEADRILL LTD	Common stock		65,463
	BITDEER TECHNOLOGIES GROUP	Common stock		390,814
	INDIVIOR PLC	Common stock		85,861
	GLOBANT SA	Common stock		359,404
	NEWAMSTERDAM PHARMA COMPANY NV	Common stock		332,839
	BIOHAVEN LTD	Common stock		118,997
	CYBER-ARK SOFTWARE LTD	Common stock		285,924
	MAREX GROUP PLC	Common stock		1,160,160
	SHARKNINJA INC	Common stock		1,413,633
	BIRKENSTOCK HOLDING LTD	Common stock		771,456
	NOVOCURE LTD	Common stock		519,127
	UROGEN PHARMA LTD	Common stock		196,869
	BLUEPRINT MEDICINES CORP CVR	Common stock		27,743
	ABIOMED INC	Common stock		28,547
	BBH STIF FUND	Money Market		13,037,436
	FUTURES CASH COLLATERAL	General Investments - Other		1,020,026
	Total US SMD Cap Growth Separately Managed Account			395,271,631
	US SMD Cap Value Separately Managed Account			
	AGCO CORP	Common stock		44,232
	AGNC INVESTMENT CORP	Common stock		102,151
	AES CORP	Common stock		496,623
	ANGI INC	Common stock		208,962
	API GROUP CORP	Common stock		1,862,459
	AST SPACE MOBILE INC	Common stock		37,477
	ABERCROMBIE & FITCH CO CL A	Common stock		112,276
	ACADEMY SPORTS & OUTDOORS INC	Common stock		1,262,589
	ACADIA PHARMACEUTICALS INC	Common stock		238,841
	ACUITY INC	Common stock		80,289
	ADAPTIVE BIOTECHNOLOGIES CORP	Common stock		69,929
	COVISTA INC	Common stock		320,240
	ADVANCE AUTO PARTS INC	Common stock		1,170,315
	AECOM	Common stock		122,022
	ADVANCED DRAINAGE SYSTEMS INC	Common stock		49,677
	AFFILIATED MANAGERS GRP INC	Common stock		108,970
	AFFIRM HOLDINGS INC	Common stock		152,879
	AGIOS PHARMACEUTICALS INC	Common stock		154,855
	AGREE REALTY CORP	Common stock		61,226
	AIR LEASE CORP CL A	Common stock		53,825
	ALARM.COM HOLDINGS INC	Common stock		481,782
	ALBEMARLE CORP	Common stock		533,087
	ALBERTSONS COS INC CL A	Common stock		78,862
	ALCOA CORP	Common stock		120,840
	ATI INC	Common stock		309,737
	ALLISON TRANSMISSION HLDGS INC	Common stock		60,111
	ALLY FINANCIAL INC	Common stock		1,042,304
	OKLO INC	Common stock		89,844
	AMERICAN AIRLINES GROUP INC	Common stock		115,466
	AMENTUM HOLDINGS INC	Common stock		828,182
	AMERICAN EAGLE OUTFITTERS INC	Common stock		150,177
	AMERICAN FINL GROUP INC OHIO	Common stock		108,387
	AMERICAN HOMES 4 RENT	Common stock		89,816

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	AMERICAN SUPERCONDUCTOR CORP	Common stock		79,749
	AMERISAFE INC	Common stock		651,856
	AMERIS BANCORP	Common stock		65,729
	ANDERSEN GROUP INC A	Common stock		724,406
	ANNALY CAPITAL MGMT INC REIT	Common stock		131,499
	ANTERO RES CORP	Common stock		429,509
	ANTERO MIDSTREAM CORP	Common stock		29,852
	APA CORP	Common stock		110,755
	APOGEE ENTERPRISES INC	Common stock		578,992
	APPLIED INDUSTRIAL TECH INC	Common stock		437,536
	ARAMARK	Common stock		559,166
	ARCOSA INC	Common stock		508,635
	ARMSTRONG WORLD INDUSTRIES INC	Common stock		1,158,257
	ARRAY TECHNOLOGIES INC	Common stock		73,585
	ARROW ELECTRONICS INC	Common stock		50,022
	ASSURANT INC	Common stock		131,263
	ATLANTA BRAVES HOLDINGS INC	Common stock		471,625
	ATLANTIC UN BANKSHARES CORP	Common stock		47,337
	ATMUS FILTRATION TECHNOLOGIES INC	Common stock		2,246,301
	AURORA INNOVATION INC	Common stock		41,622
	AUTONATION INC	Common stock		90,025
	AVANTOR INC	Common stock		37,234
	AVEANNA HEALTHCARE HOLDINGS INC	Common stock		379,962
	AVERY DENNISON CORP	Common stock		98,215
	AVIENT CORP	Common stock		879,187
	AVISTA CORP	Common stock		362,584
	AXSOME THERAPEUTICS INC	Common stock		376,056
	AXOS FINANCIAL INC	Common stock		890,377
	BJS WHSL CLUB HLDGS INC	Common stock		103,715
	BWX TECHNOLOGIES INC	Common stock		849,854
	BALCHEM CORP	Common stock		1,016,623
	BALL CORP	Common stock		1,956,712
	BANK OZK	Common stock		243,078
	BANKUNITED INC	Common stock		641,407
	BARRETT BUSINES SERVICES	Common stock		1,089,233
	BATH & BODY WORKS INC	Common stock		425,716
	BELLRING BRANDS INC	Common stock		371,173
	BGC GROUP INC A	Common stock		195,103
	BIO RAD LABS CL A	Common stock		45,146
	BIO TECHNE CORP	Common stock		64,867
	BIOAGE LABS INC	Common stock		152,952
	BLUE BIRD CORP	Common stock		163,325
	BORGWARNER INC	Common stock		1,410,378
	BOSTON BEER COMPANY CL A	Common stock		79,808
	BXP INC	Common stock		82,528
	BRIDGEBIO PHARMA INC	Common stock		90,105
	BRIDGEWATER BANCSHARES INC	Common stock		300,289
	BRIGHT HORIZONS FAMILY SOLUTIONS INC	Common stock		256,035
	BRISTOW GROUP INC	Common stock		292,191
	BRIXMOR PPTY GROUP INC	Common stock		63,295
	BRUNSWICK CORP	Common stock		2,068,252
	BUCKLE INC (THE)	Common stock		37,661
	CBIZ INC	Common stock		822,335
	CCC INTELLIGENT SOLUTIONS HOLD CL A	Common stock		657,362
	CH ROBINSON WORLDWIDE INC	Common stock		147,738
	CACI INTERNATIONAL INC	Common stock		125,743
	CACTUS INC CL A	Common stock		342,509
	CADENCE BANK	Common stock		92,492
	CALAVO GROWERS INC	Common stock		550,297
	CAMDEN PROPERTY TRUST - REIT	Common stock		581,993
	CARETRUST REIT INC	Common stock		81,505
	CARLYLE GROUP INC (THE)	Common stock		177,744
	CASEY GENERAL STORES	Common stock		360,920
	CAVCO INDUSTRIES INC	Common stock		176,041
	CELANESE CORP	Common stock		297,651
	CENTURI HOLDINGS INC	Common stock		206,899

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	DAYFORCE INC	Common stock		71,027
	CHARLES RIVER LABS INTL INC	Common stock		109,116
	CHEMED CORP	Common stock		40,219
	CIDARA THERAPEUTICS INC	Common stock		126,349
	CIENA CORP	Common stock		254,451
	CIRRUS LOGIC INC	Common stock		120,752
	CLEAN HARBORS INC	Common stock		86,523
	CLEAN ENERGY FUELS CORP	Common stock		29,822
	CLEARFIELD INC	Common stock		248,941
	CLEVELAND-CLIFFS INC	Common stock		423,273
	COCA COLA CONSOLIDATED INC	Common stock		822,761
	COEUR MINING INC	Common stock		95,391
	COGNEX CORP	Common stock		39,038
	COHERENT CORP	Common stock		236,434
	ENOVIS CORP	Common stock		200,253
	COLUMBIA BANKING SYSTEMS INC	Common stock		71,692
	COMERICA INC	Common stock		128,483
	COMMERCE BANCSHARES INC	Common stock		78,091
	GPGI INC	Common stock		499,718
	CONCENTRA GROUP HOLDINGS PARENT INC	Common stock		300,632
	CORE LABORATORIES INC	Common stock		205,665
	COREBRIDGE FINANCIAL INC	Common stock		819,689
	CORE & MAIN INC	Common stock		31,962
	COURSERA INC	Common stock		148,885
	COVENANT LOGISTICS GROUP INC	Common stock		299,083
	CRANE CO	Common stock		693,088
	CRANE NXT CO	Common stock		710,804
	CRAWFORD & CO CL A	Common stock		58,804
	CREDIT ACCEPTANCE CORP	Common stock		36,807
	AMDOCS LTD	Common stock		64,408
	CRICUT INC	Common stock		82,625
	CROWN HOLDINGS INC	Common stock		779,586
	CUBESMART	Common stock		1,108,033
	CULLEN FROST BANKERS INC	Common stock		85,349
	CURTISS WRIGHT CORPORATION	Common stock		892,506
	DT MIDSTREAM INC	Common stock		547,416
	WHITE MOUNTAINS INS GROUP LTD	Common stock		70,653
	FLEX LTD	Common stock		284,699
	DARLING INGREDIENTS INC	Common stock		91,980
	DAY ONE BIOPHARMACEUTICALS INC	Common stock		144,469
	MILLICOM INTL CELL SA	Common stock		50,395
	DECKERS OUTDOOR CORP	Common stock		820,859
	DELUXE CORP	Common stock		875,448
	KIMBERLY-CLARK DE MEXICO CL A	Common stock		447,204
	DIAMONDROCK HOSPITALITY CO	Common stock		288,602
	DICKS SPORTING GOODS INC	Common stock		97,401
	DIEBOLD NIXDORF INC	Common stock		141,075
	DIGITALBRIDGE GROUP INC	Common stock		53,521
	EVEREST GROUP LTD	Common stock		959,003
	DOLBY LABORATORIES INC CL A	Common stock		27,679
	DONALDSON CO INC	Common stock		73,322
	DONNELLEY FINANCIAL SOLUTIONS INC	Common stock		701,144
	DOUGLAS DYNAMICS INC	Common stock		394,641
	DROPBOX INC CL A	Common stock		36,946
	DUOLINGO INC	Common stock		61,250
	DUPONT DE NEMOURS INC	Common stock		443,607
	DOXIMITY INC	Common stock		144,176
	AXIS CAPITAL HOLDINGS LTD	Common stock		297,924
	ENTERTAINMENT PPTYS TR	Common stock		364,370
	RENAISSANCERE HLDGS LTD	Common stock		705,149
	EAST WEST BANCORP INC	Common stock		154,199
	EASTGROUP PPTYS INC	Common stock		70,365
	ECHOSTAR CORP CL A	Common stock		349,471
	ELANCO ANIMAL HEALTH INC	Common stock		129,285
	ELEMENT SOLUTIONS INC	Common stock		736,705
	EMBECTA CORP	Common stock		201,212

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	EMCOR GROUP INC	Common stock		1,594,937
	EMERGENT BIOSOL	Common stock		86,619
	ENACT HOLDINGS INC	Common stock		50,541
	ENCOMPASS HEALTH CORP	Common stock		472,005
	ENERSYS INC	Common stock		2,819,948
	EPAM SYSTEMS INC	Common stock		119,855
	EQUITY LIFESTYLE PPTYS INC	Common stock		91,945
	ESAB CORP	Common stock		43,459
	ESQUIRE FINL HLDGS INC	Common stock		159,535
	ESSENTIAL PROPERTIES REALTY TRUST INC	Common stock		39,181
	ESSENTIAL UTILITIES INC	Common stock		902,227
	EURONET WORLDWIDE INC	Common stock		56,093
	EUROPEAN WAX CENTER INC	Common stock		159,361
	EVERCORE INC A	Common stock		133,378
	EVERUS CONSTRUCTION GROUP INC	Common stock		537,745
	EXACT SCIENCES CORP	Common stock		177,527
	EXELIXIS INC	Common stock		42,208
	F&G ANNUITIES & LIFE INC	Common stock		490,207
	EZCORP INC CL A NON VTG	Common stock		264,753
	FNB CORP PA	Common stock		75,582
	FB FINANCIAL CORP	Common stock		805,306
	FACTSET RESEARCH SYSTEMS INC	Common stock		78,351
	FASTLY INC CL A	Common stock		741,898
	FEDERAL AGRI MTG NON VTG CL C	Common stock		177,501
	FEDERAL REALTY INVESTMENT TR	Common stock		68,141
	F5 INC	Common stock		139,882
	FIRST AMERICAN FINANCIAL CORP	Common stock		73,851
	FIRST FINL BANKSHARES INC	Common stock		372,270
	FIRST HORIZON CORP	Common stock		134,199
	FIRST INDUSTRIAL REALTY TRUST	Common stock		60,076
	FIRST WATCH RESTAURANT GROUP INC	Common stock		174,536
	FIVE BELOW INC	Common stock		89,471
	FLEXSTEEL IND	Common stock		63,026
	FLUOR CORP	Common stock		53,302
	FLOWSERVE CORP	Common stock		1,122,777
	FORTIVE CORP	Common stock		809,323
	FORTUNE BRANDS INNOVATIONS INC	Common stock		848,939
	FORTREA HOLDINGS INC	Common stock		218,351
	FOUR CORNERS PPTY TR INC W/I	Common stock		138,637
	FRANKLIN COVEY CO	Common stock		268,581
	FRANKLIN RESOURCES INC	Common stock		34,449
	FREIGHTCAR AMERICA INC	Common stock		246,651
	FRESHWORKS INC CL A	Common stock		272,734
	FRONTDOOR INC	Common stock		750,085
	FRONTIER COMMUNICATIONS PARENT INC	Common stock		65,747
	FULLER H B CO	Common stock		714,471
	GCI LIBERTY INC. - CL C	Common stock		1,050,624
	GAMING AND LEISURE PROPRTI INC	Common stock		853,802
	GAMESTOP CORP CL A	Common stock		72,890
	GAP INC	Common stock		226,765
	GARRETT MOTION INC	Common stock		479,430
	GCM GROSVENOR INC - CLASS A	Common stock		20,987
	GENERAC HOLDINGS INC	Common stock		96,686
	GERMAN AMERICAN BANCORP INC	Common stock		630,210
	GIBRALTAR INDUSTRIES INC	Common stock		354,732
	GLACIER BANCORP INC	Common stock		2,011,015
	GLOBUS MEDICAL INC	Common stock		73,777
	GLOBE LIFE INC	Common stock		132,587
	GRACO INC	Common stock		83,937
	GRAND CANYON EDUCATION INC	Common stock		1,032,452
	GRAPHIC PACKAGING HOLDING CO	Common stock		556,572
	GREEN PLAINS INC	Common stock		114,062
	GREIF INC CL A	Common stock		1,266,261
	AMERICAN HEALTHCARE REIT INC	Common stock		1,164,923
	HF SINCLAIR CORP	Common stock		1,066,015
	HNI CORP	Common stock		513,266

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	HACKETT GROUP INC	Common stock		1,224,775
	HAMILTON LANE INC - A	Common stock		475,457
	HANCOCK WHITNEY CORP	Common stock		72,786
	HANOVER INSURANCE GROUP INC	Common stock		80,419
	HASBRO INC	Common stock		1,146,442
	HEALTHCARE SERVICES GROUP INC	Common stock		1,028,388
	HEALTHCARE REALTY TRUST INC	Common stock		75,444
	HELIOS TECHNOLOGIES INC	Common stock		222,304
	HENRY (JACK) & ASSOCIATES INC	Common stock		133,393
	HINGHAM INSTITUTION FOR SAVING	Common stock		537,820
	HOME BANCSHARES INC	Common stock		907,211
	HOST HOTELS & RESORTS INC	Common stock		141,024
	HOULIHAN LOKEY	Common stock		577,091
	HOWARD HUGHES HOLDINGS INC	Common stock		231,572
	HUB GROUP INC CL A	Common stock		600,631
	HUDSON PACIFIC PROPERTIES INC	Common stock		173,702
	HUNTINGTON BANCSHARES INC	Common stock		80,105
	HUNTINGTON INGALLS INDUSTRIES INC	Common stock		135,348
	HUNTSMAN CORP	Common stock		281,030
	DT CORP CL B NEW	Common stock		328,205
	ITT INC	Common stock		102,718
	DACORP INC	Common stock		502,443
	IDEX CORPORATION	Common stock		1,760,360
	INDEPENDENT BANK CORP MASS	Common stock		1,512,537
	INGEVITY CORP	Common stock		1,416,888
	INGREDION INC	Common stock		94,052
	INNOVATIVE SOLUT & SUPP INC	Common stock		132,315
	INSTEEL INDUSTRIES INC	Common stock		206,235
	INSPERITY INC	Common stock		711,015
	INSTALLED BUILDING PRODUCTS INC	Common stock		44,096
	INTERPARFUMS INC	Common stock		304,794
	J&J SNACKS FOOD CORP	Common stock		900,808
	JABIL INC	Common stock		171,927
	JACKSON FINANCIAL INC	Common stock		1,128,464
	JACOBS SOLUTIONS INC	Common stock		141,732
	JEFFERIES FINANCIAL GROUP INC	Common stock		93,141
	JOHNSON OUTDOORS INC CL A	Common stock		486,477
	JONES LANG LASALLE INC	Common stock		141,990
	KBR INC	Common stock		819,839
	KB HOME	Common stock		809,540
	KEMPER CORP	Common stock		757,368
	KENNAMETAL INC	Common stock		556,353
	KFORCE INC	Common stock		494,565
	KIMCO REALTY CORPORATION	Common stock		140,410
	KIRBY CORP	Common stock		947,328
	KODIAK GAS SERVICES INC	Common stock		636,286
	KULICKE & SOFFA INDUSTRIES INC	Common stock		1,093,440
	KYNDRYL HOLDINGS INC	Common stock		1,114,989
	LKQ CORP	Common stock		1,131,896
	LAMB WESTON HOLDINGS INC	Common stock		1,289,500
	LAUREATE EDUCATION INC	Common stock		1,389,292
	DISTRIBUTION SOLUTIONS GROUP INC	Common stock		86,662
	LAZARD INC	Common stock		36,760
	LEVI STRAUSS & COMPANY CL A	Common stock		64,253
	LIBERTY BROADBAND CORP C	Common stock		384,426
	LINCOLN ELECTRIC HLDGS INC	Common stock		860,068
	LINCOLN NATIONAL CORP	Common stock		94,181
	LINDBLAD EXPEDITIONS HOLDINGS	Common stock		43,721
	LIONSGATE STUDIOS CORP	Common stock		196,112
	LITTELFUSE INC	Common stock		37,179
	LIVE OAK BANCSHARES INC	Common stock		217,985
	LUMENTUM HOLDINGS INC	Common stock		249,535
	LYFT INC	Common stock		357,396
	MDU RESOURCES GROUP INC	Common stock		301,838
	MGIC INVESTMENT CORP	Common stock		90,114
	MI HOMES INC	Common stock		288,783

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	MKS INC	Common stock		68,874
	MSC INDUSTRIAL DIRECT CO CL A	Common stock		1,986,610
	MACOM TECHNOLOGY SOLUTIONS HOLDINGS INC	Common stock		72,794
	MACYS INC	Common stock		296,109
	MAGNERA CORP	Common stock		724,676
	MAPLEBEAR INC	Common stock		293,899
	MARKETAXESS HLDGS INC	Common stock		74,313
	MARRIOTT VACATIONS WORLD	Common stock		126,745
	MASTEC INC	Common stock		1,307,263
	MASTERBRAND INC	Common stock		415,700
	MATADOR RESOURCES COMPANY	Common stock		32,212
	MATCH GROUP INC	Common stock		57,864
	MATSON INC	Common stock		1,187,563
	MAXLINEAR INC	Common stock		350,517
	MAYVILLE ENGINEERING CO INC	Common stock		198,189
	MCCORMICK & CO INC NON-VTG	Common stock		867,449
	PEDIATRIX MEDICAL GROUP INC	Common stock		105,602
	MEDPACE HOLDINGS INC	Common stock		126,371
	MERCHANTS BANCORP	Common stock		731,132
	METROPOLITAN BANK HOLDING CORP	Common stock		109,500
	MIDDLEBY CORP	Common stock		989,250
	MIDLAND STATES BANCORP INC	Common stock		287,298
	MILLERKNOLL INC	Common stock		625,615
	MILLROSE PROPERTIES INC	Common stock		557,464
	MONRO INC	Common stock		145,490
	ONTERRIS INC	Common stock		206,884
	MOSAIC CO NEW	Common stock		80,557
	MOTORCAR PARTS OF AMERICA INC	Common stock		205,017
	MUELLER INDUSTRIES INC	Common stock		1,553,933
	NCR VOYIX CORP	Common stock		151,103
	NMI HOLDINGS INC	Common stock		617,397
	NOV INC	Common stock		38,137
	NATIONAL BK HLDGS CORP	Common stock		800,034
	NATIONAL FUEL GAS CO NJ	Common stock		45,874
	NNN REIT INC	Common stock		1,218,424
	NATURES SUNSHINE PRODUCTS INC	Common stock		337,144
	NELNET INC CL A	Common stock		47,068
	NETAPP INC	Common stock		968,736
	NEUROCRINE BIOSCIENCES INC	Common stock		467,472
	NEUROPACE INC	Common stock		98,291
	VIPER ENERGY INC A	Common stock		986,147
	RITHM CAPITAL CORP	Common stock		613,539
	FLAGSTAR FINANCIAL INC	Common stock		47,049
	NEW YORK TIMES CO CL A	Common stock		535,853
	NEWELL BRANDS INC	Common stock		1,054,735
	NEWMARK GROUP INC CL A	Common stock		313,837
	NPK INTERNATIONAL INC	Common stock		1,116,272
	NEWS CORP NEW CL A	Common stock		110,566
	NEWS CORP NEW CL B	Common stock		71,497
	NEXTPOWER INC	Common stock		983,211
	NISOURCE INC	Common stock		177,564
	NLIGHT INC	Common stock		348,693
	NORDSON CORP	Common stock		44,960
	NORTHWESTERN ENERGY GROUP INC	Common stock		496,442
	GEN DIGITAL INC	Common stock		145,494
	NUTANIX INC CL A	Common stock		108,601
	OGE ENERGY CORP	Common stock		58,627
	CHORD ENERGY CORP	Common stock		39,119
	OCEANFIRST FINANCIAL CORP	Common stock		541,839
	OLD NATIONAL BANCORP (IND)	Common stock		83,105
	OLD REPUBLIC INTL CORP	Common stock		120,992
	OMNICOM GROUP INC	Common stock		73,321
	OMEGA HEALTHCARE INVESTORS INC	Common stock		95,686
	ONEMAIN HOLDINGS INC	Common stock		94,300
	ONEWATER MARINE CL A	Common stock		16,684
	OPEN LENDING CORP	Common stock		178,647

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	ORIGIN BANCORP INC	Common stock		719,667
	ORION GROUP HOLDINGS INC	Common stock		182,717
	OSHKOSH CORP	Common stock		52,388
	OUSTER INC	Common stock		56,199
	OVINTIV INC	Common stock		561,671
	OWENS CORNING INC	Common stock		60,431
	PACS GROUP INC	Common stock		970,115
	PACKAGING CORP OF AMERICA	Common stock		64,756
	PAPA JOHNS INTL INC	Common stock		1,333,140
	PARK OHIO HLDGS CORP	Common stock		47,345
	PASON SYSTEMS INC	Common stock		650,345
	PATTERSON-UTI ENERGY INC	Common stock		62,285
	PEAPACK GLADSTONE FINL CORP	Common stock		40,633
	PEGASYSTEMS INC	Common stock		37,624
	PELOTON INTERACTIVE INC CL A	Common stock		181,634
	PENNANT GROUP INC	Common stock		166,564
	PENNYMAC FINANCIAL SERVICES INC	Common stock		52,472
	PERELLA WEINBERG PARTNERS	Common stock		271,368
	PERFORMANCE FOOD GROUP CO	Common stock		125,079
	PERMAN RESOURCES CORP CL A	Common stock		148,017
	PHINIA INC	Common stock		730,589
	PILGRIM'S PRIDE CORP NEW	Common stock		712,581
	PINNACLE FINL PARTNERS INC	Common stock		953,337
	PINNACLE WEST CAPITAL CORP	Common stock		107,061
	PLANET LABS PBC A	Common stock		109,190
	POOL CORP	Common stock		1,157,475
	POPULAR INC	Common stock		312,421
	PORTLAND GENERAL ELECTRIC CO	Common stock		1,205,653
	POST HOLDINGS INC	Common stock		35,163
	POSTAL REALTY TRUST INC	Common stock		190,662
	POTLATCHDELTIC CORP REIT	Common stock		2,995,305
	PRAXIS PRECISION MEDICINES INC	Common stock		213,687
	PRIMO BRANDS CORP A	Common stock		16,693
	PRIMORIS SVCS CORP	Common stock		654,342
	PRIMERICA INC	Common stock		93,268
	PROG HOLDINGS INC	Common stock		375,201
	PROGYNY INC	Common stock		48,330
	PROSPERITY BANCSHARES INC	Common stock		80,168
	QUAKER HOUGHTON	Common stock		980,119
	QORVO INC	Common stock		54,002
	QNTY ELECTRONICS INC	Common stock		220,863
	RBB BANCORP	Common stock		130,135
	RCM TECHNOLOGIES INC	Common stock		38,334
	RGC RESOURCES INC	Common stock		143,456
	RLI CORP	Common stock		64,044
	RPM INTERNATIONAL INC	Common stock		525,928
	RAMBUS INC	Common stock		36,572
	RALLIANT CORP	Common stock		992,541
	RALPH LAUREN CORP	Common stock		798,098
	RANGE RESOURCES CORP	Common stock		124,080
	RAYMOND JAMES FINANCIAL INC.	Common stock		511,319
	RAYONIER INC REIT	Common stock		455,494
	RBC BEARINGS INC	Common stock		92,377
	REGAL REXNORD CORP	Common stock		66,091
	REGENCY CENTERS CORP REIT	Common stock		99,058
	REINSURANCE GROUP OF AMERICA	Common stock		362,566
	RELIANCE INC	Common stock		114,104
	REPLIGEN	Common stock		57,843
	RESOLUTE HOLDINGS MANAGEMENT INC	Common stock		341,435
	REXFORD INDUSTRIAL REALTY INC	Common stock		69,076
	RIGETTI COMPUTING INC A	Common stock		62,131
	RVIAN AUTOMOTIVE INC	Common stock		144,612
	ROBERT HALF INC	Common stock		1,393,906
	ROCKET COS INC	Common stock		228,061
	ROCKY BRANDS INC	Common stock		117,291
	ROKU INC CLASS A	Common stock		799,788

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	ROYAL GOLD INC	Common stock		432,576
	RUBRIK INC	Common stock		658,569
	RYDER SYSTEM INC	Common stock		511,203
	SEI INV CORP	Common stock		97,768
	SLM CORP	Common stock		63,104
	SM ENERGY CO	Common stock		475,691
	SABRA HEALTHCARE REIT INC	Common stock		654,491
	SAFEHOLD INC	Common stock		191,277
	SANDISK CORPORATION	Common stock		1,278,766
	SAREPTA THERAPEUTICS INC	Common stock		70,521
	HENRY SCHEIN INC	Common stock		66,586
	SCHNEIDER NATIONAL INC CL B	Common stock		1,209,529
	MATV INC	Common stock		122,436
	SCOTTS MIRACLE GRO CO	Common stock		879,510
	SEACOAST BANKING CORP FLORIDA	Common stock		1,789,526
	SEAPORT ENTERTAINMENT GROUP INC	Common stock		112,452
	SELECT WATER SOLUTIONS INC CL A	Common stock		767,508
	SELECTIVE INSURANCE GROUP INC	Common stock		625,601
	SERVICE CORP INTERNATIONAL INC	Common stock		115,863
	SI-BONE INC	Common stock		56,833
	SILGAN HOLDINGS INC	Common stock		854,350
	QXO INC	Common stock		51,466
	SIRIUS XM HOLDINGS INC	Common stock		34,631
	SKYWORKS SOLUTIONS INC	Common stock		108,051
	SMITH & NEPHEW PLC SPON ADR	Common stock		1,052,381
	SMITH (AO) CORP	Common stock		55,042
	SMITHFIELD FOODS INC	Common stock		1,480,725
	SNAP-ON INCORPORATED	Common stock		168,509
	SOFI TECHNOLOGIES INC A	Common stock		355,603
	SOLSTICE ADVANCED MATERIALS INC	Common stock		663,214
	SOUTHERN MISSOURI BANCORP INC	Common stock		321,495
	SOUTHSTATE BANK CORP	Common stock		1,406,003
	STAG INDUSTRIAL INC CL A	Common stock		51,538
	STANLEY BLACK & DECKER INC	Common stock		91,290
	STARWOOD PROPERTY TRUST INC	Common stock		69,807
	STARZ ENTERTAINMENT CORP	Common stock		237,241
	STEPAN CO	Common stock		752,645
	STIFEL FINANCIAL CORP	Common stock		1,012,654
	SYNOVUS FINANCIAL CORP.	Common stock		872,171
	TD SYNEX CORP	Common stock		678,138
	SYNDAX PHARMACEUTICALS INC	Common stock		458,039
	TACTILE SYSTEMS TECHNOLOGY INC	Common stock		196,359
	TALEN ENERGY CORP	Common stock		152,185
	TALKSPACE INC CL A	Common stock		118,505
	TAYLOR MORRISON HOME CORP	Common stock		664,348
	TELEFLEX INC	Common stock		228,825
	10X GENOMICS INC	Common stock		316,463
	TENET HEALTHCARE CORP	Common stock		431,024
	TENNANT CO	Common stock		583,188
	TERRENO REALTY CORP	Common stock		43,563
	TETRA TECH INC	Common stock		326,579
	TEXAS CAPITAL BANCSHARES INC	Common stock		152,560
	TEXTRON INC	Common stock		105,040
	THOR INDUSTRIES INC	Common stock		875,262
	TIMKEN CO	Common stock		1,865,414
	TOLL BROTHERS INC	Common stock		144,415
	TOPBUILD CORP	Common stock		71,757
	TORO CO	Common stock		1,279,200
	TOWNE BANK	Common stock		705,275
	TURNING POINT BRANDS INC	Common stock		179,077
	TUTOR PERINI CORP	Common stock		470,816
	UGI CORP NEW	Common stock		962,363
	UMB FINANCIAL CORP	Common stock		37,963
	UFP INDUSTRIES INC	Common stock		1,806,068
	UNITED BANKSHARES INC. W VA.	Common stock		64,166
	US FOODS HOLDING CORP	Common stock		195,907

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	UNITED THERAPEUTICS CORP DEL	Common stock		832,710
	UNITY SOFTWARE INC	Common stock		865,290
	UNIVERSAL DISPLAY CORP	Common stock		885,543
	UNIVERSAL HEALTH SVCS INC CL B	Common stock		1,166,625
	UNUM GROUP	Common stock		139,655
	URBAN OUTFITTERS	Common stock		766,975
	VF CORP	Common stock		1,305,286
	VALLEY NATL BANCORP	Common stock		75,476
	VALMONT INDUSTRIES INC	Common stock		51,899
	VAREX IMAGING CORP	Common stock		259,970
	V2X INC	Common stock		285,897
	PURSUIT ATTRACTIONS AND HOSPITALITY INC	Common stock		363,070
	VIASAT INC	Common stock		109,273
	VIATRIS INC	Common stock		158,240
	VIRIDIAN THERAPEUTICS INC	Common stock		92,800
	VIRTU FINANCIAL INC- CL A	Common stock		252,965
	VIRTUS INVESTMENT PARTNERS	Common stock		549,163
	VISHAY INTERTECHNOLOGY INC	Common stock		713,473
	VITA COCO CO INC/THE	Common stock		103,847
	VONTIER CORP W/I	Common stock		1,304,051
	VORNADO REALTY TRUST	Common stock		47,224
	VOYA FINANCIAL INC	Common stock		86,781
	WSFS FINANCIAL CORP	Common stock		46,788
	WALKER & DUNLOP INC	Common stock		1,806,485
	WARRIOR MET COAL INC	Common stock		153,680
	WATSCO INC	Common stock		87,607
	WATTS WATER TECH INC CL A	Common stock		68,177
	WAYFAIR INC	Common stock		360,673
	WEBSTER FINANCIAL	Common stock		1,060,728
	WERNER ENTERPRISES INC	Common stock		1,757,956
	WESCO INTERNATIONAL INC	Common stock		58,469
	WESTERN ALLIANCE BANCORP	Common stock		98,698
	WEX INC	Common stock		64,955
	WILLDAN GROUP	Common stock		83,757
	WINTRUST FINANCIAL CORP	Common stock		108,500
	WOLVERINE WORLD WIDE INC	Common stock		105,560
	WOODWARD INC	Common stock		724,359
	WYNDHAM HOTELS & RESORTS INC	Common stock		168,725
	WYNN RESORTS LTD	Common stock		68,468
	XPO INC	Common stock		74,615
	XPERI INC	Common stock		80,956
	YETI HOLDINGS INC	Common stock		734,945
	ZILLOW GROUP INC CL A	Common stock		48,102
	ZILLOW GROUP INC CL C	Common stock		122,114
	ZIONS BANCORP	Common stock		916,444
	ASSURED GUARANTY LTD	Common stock		1,371,776
	GENPACT LTD	Common stock		1,236,863
	INVESCO LTD	Common stock		131,219
	SAFE BULKERS INC	Common stock		146,113
	JAZZ PHARMA PLC	Common stock		68,850
	AMBARELLA INC	Common stock		112,565
	GATES INDUSTRIAL CORP PLC	Common stock		36,134
	TECHNIPFMC PLC	Common stock		1,964,561
	NVENT ELECTRIC PLC	Common stock		931,598
	LUXFER HOLDINGS PLC	Common stock		199,297
	SSR MINING INC	Common stock		114,313
	ALLEGION PLC	Common stock		100,468
	ESSENT GROUP LTD	Common stock		82,043
	PAGSEGURO DIGITAL LTD CL A	Common stock		377,580
	INTERNATL GENERAL INSURE HLDGS LTD BERMU	Common stock		1,281,848
	XP INC CL A	Common stock		116,800
	TEL AVIV STOCK EXCHANGE LTD	Common stock		124,028
	CONSTELLUM SE	Common stock		369,008
	FTAI AVIATION LTD	Common stock		1,181,494
	WEATHERFORD INTERNATIONAL PLC	Common stock		911,964
	PENTAIR PLC	Common stock		381,569

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	DOLE PLC	Common stock		1,175,126
	SUPER GROUP SGHC LTD	Common stock		178,330
	BIT DIGITAL INC	Common stock		83,098
	ROVANT SCIENCES LTD	Common stock		741,641
	RB GLOBAL INC	Common stock		339,471
	NOBLE CORP PLC	Common stock		37,333
	AMER SPORTS INC	Common stock		273,141
	TITAN AMERICA SA	Common stock		203,528
	CUSHMAN & WAKEFIELD LTD	Common stock		256,498
	NOMAD FOODS LTD	Common stock		1,268,151
	PELAGOS INSURANCE CAPITAL LTD	Common stock		678,511
	MAREX GROUP PLC	Common stock		971,007
	AEBI SCHMIDT HOLDING AG	Common stock		854,862
	SHARKNINJA INC	Common stock		98,248
	AXALTA COATING SYSTEMS LTD	Common stock		863,388
	BROOKFIELD RENEWABLE CORP	Common stock		177,783
	BROOKFIELD INFRASTRUCTURE CORP	Common stock		102,786
	AMRIZE LTD	Common stock		818,609
	BRIGHTSTAR LOTTERY PLC	Common stock		712,947
	QIAGEN NV	Common stock		170,526
	JANUS HENDERSON GROUP PLC	Common stock		82,106
	NOVOCURE LTD	Common stock		132,145
	SYCAMORE PARTNERS LLC CVR RT	Common stock		5,379
	CANADIAN DOLLAR	Currency		25,228
	ISRAELI SHEKEL	Currency		2,707
	MEXICAN PESO CURRENCY	Currency		16,209
	BBH STIF FUND	Money Market		11,142,855
	FUTURES CASH COLLATERAL	General Investments - Other		689,812
	Total US SMD Cap Value Separately Managed Account			257,500,338
	International Separately Managed Account			
	AGNICO EAGLE MINES LTD	Common stock		299,143
	ALAMOS GOLD INC A	Common stock		116,113
	BRITISH LAND CO PLC	Common stock		262,604
	ALIBABA GROUP HOLDING LTD SPON ADR	Common stock		378,909
	DIPLOMA PLC	Common stock		1,393,434
	AMERICA MOVIL SAB DE CV SPON ADR	Common stock		441,036
	DCC PLC	Common stock		272,295
	BAE SYSTEMS PLC	Common stock		197,769
	BRITISH AMERICAN TOBACCO PLC	Common stock		1,627,395
	HALMA PLC	Common stock		147,125
	STANDARD CHARTERED PLC	Common stock		1,894,531
	IMPERIAL BRANDS PLC	Common stock		854,179
	HSBC HOLDINGS PLC	Common stock		1,788,720
	BANCO BRADES SA PFD SPON ADR	Common stock		653,546
	BANK OF MONTREAL	Common stock		56,882
	PEARSON PLC	Common stock		380,771
	BARRICK MINING CORP	Common stock		605,676
	UNITE GROUP PLC	Common stock		129,017
	PRUDENTIAL PLC	Common stock		1,673,367
	RIO TINTO PLC	Common stock		79,907
	BP PLC	Common stock		742,350
	LLOYDS BANKING GROUP PLC	Common stock		108,756
	ASTRAZENECA PLC	Common stock		1,985,595
	BROOKFIELD ASSET MANAGEMENT LTD A	Common stock		62,180
	CCL INDUSTRIES INC B NON VTG	Common stock		76,053
	CANADIAN IMP BK OF COMMERCE	Common stock		192,100
	CANADIAN NATL RESOURCES LTD	Common stock		69,572
	CONSTELLATION SOFTWARE INC	Common stock		163,561
	CHECK POINT SOFTWARE TECHS LTD	Common stock		298,195
	VALE S.A.	Common stock		260,657
	BANCO DO BRASIL SA	Common stock		383,461
	DOLLARAMA INC	Common stock		654,182
	ENBRIDGE INC	Common stock		237,109
	FAIRFAX FINL HLDGS LTD SUB VTG	Common stock		230,609
	BT GROUP PLC	Common stock		557,017
	BARCLAYS PLC ORD	Common stock		562,896

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	INTERTEK GROUP PLC	Common stock		1,327,999
	BURBERRY GROUP PLC	Common stock		312,859
	NEXT PLC	Common stock		988,013
	KINGFISHER PLC	Common stock		314,396
	FRANCO-NEVADA CORP	Common stock		101,570
	GREAT WEST LIFECO INC	Common stock		95,774
	AIRBUS SE	Common stock		696,215
	ADIDAS AG	Common stock		375,084
	HDFC BANK LTD SPON ADR	Common stock		1,988,872
	GENERALI	Common stock		82,304
	L'OREAL SA ORD	Common stock		447,200
	LVMH MOET HENNESSY LOUIS VUITTON SE	Common stock		1,945,038
	INTESA SANPAOLO SPA	Common stock		138,172
	BPER BANCA	Common stock		594,396
	THALES	Common stock		523,918
	A P MOLLER - MAERSK A/S B	Common stock		57,587
	FRESENIUS SE & CO KGAA	Common stock		713,300
	PUBLICIS GROUPE SA	Common stock		1,101,242
	KBC GROUPE SA	Common stock		162,250
	HANNOVER RUECKVERSICHERUNGS SE(REGD)	Common stock		157,358
	WARTSILA	Common stock		71,881
	IMPERIAL OIL LTD	Common stock		126,567
	INTACT FINL CORP	Common stock		164,666
	DANSKE BK AS	Common stock		1,231,059
	GENMAB AS	Common stock		860,151
	CONTINENTAL AG	Common stock		1,113,181
	DHL GROUP	Common stock		120,433
	RENAULT SA ORD	Common stock		237,973
	TELENOR AS	Common stock		635,584
	KT CORP SPON ADR	Common stock		331,577
	SCHNEIDER ELECTRIC SE	Common stock		2,917,896
	SAP SE	Common stock		2,549,898
	STOREBRAND ASA CL A	Common stock		46,790
	E.ON SE	Common stock		112,298
	KINROSS GOLD CORP	Common stock		295,439
	BAYER AG	Common stock		877,582
	BASF SE	Common stock		1,509,824
	HEIDELBERG MATERIALS AG	Common stock		337,022
	FRESENIUS MEDICAL CARE AG	Common stock		703,333
	ASM INTERNATIONAL NV (NETH)	Common stock		366,187
	ORANGE	Common stock		715,291
	RANDSTAD NV	Common stock		885,219
	ALLIANZ SE (REGD)	Common stock		479,567
	HERMES INTERNATIONAL SA	Common stock		685,788
	ENDESA SA	Common stock		743,073
	ERSTE GROUP BANK AG	Common stock		1,540,261
	MUNICH REINSURANCE (REG)	Common stock		1,107,990
	RHEINMETALL AG ORD	Common stock		873,216
	LOBLAW COS LTD DTC ELIGIBLE	Common stock		245,478
	BANCO BILBAO VIZ ARGENTARIA SA	Common stock		267,885
	MERCEDES-BENZ GROUP AG	Common stock		1,069,997
	SWISSCOM AG (REG)	Common stock		127,873
	MAGNA INTL INC	Common stock		1,062,086
	UCB SA	Common stock		102,908
	NEMETSCHEK SE	Common stock		65,108
	CARREFOUR SA (SUPERMARCHE)	Common stock		1,154,195
	WOLTERS KLUWER NV	Common stock		265,461
	SANOFI	Common stock		3,156,977
	BANCO SANTANDER SA	Common stock		296,756
	SIEMENS AG (REGD)	Common stock		379,416
	TELEFONICA SA	Common stock		61,755
	TAG IMMOBILIEN AG	Common stock		40,176
	DEUTSCHE BANK AG	Common stock		170,274
	DEUTSCHE TELEKOM AG (REGD)	Common stock		272,693
	ACCOR SA	Common stock		877,450
	MERCADOLIBRE INC	Common stock		408,895

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	CTS EVENTIM AG & CO KGAA	Common stock		1,565,629
	INFINEON TECHNOLOGIES AG	Common stock		604,137
	NOKIA OYJ	Common stock		1,560,269
	METRO INC	Common stock		45,345
	KPN (KON) NV	Common stock		763,712
	ERICSSON (LM) TELE CO CL B	Common stock		628,222
	LINDT & SPRUENGLI AG PART CERT	Common stock		102,424
	LINDT & SPRUENGLI AG (REG)	Common stock		293,902
	STMICROELECTRONICS NV	Common stock		33,545
	SOCIETE GENERALE SA CL A	Common stock		1,746,510
	ZURICH INSURANCE GROUP AG	Common stock		243,881
	KONINKLIJKE PHILIPS NV	Common stock		1,271,740
	TELEPERFORMANCE	Common stock		979,941
	ASMPT LTD	Common stock		231,871
	AJINOMOTO CO INC	Common stock		93,174
	ALPS ALPINE CO LTD	Common stock		90,359
	AMADA CO LTD	Common stock		140,697
	SINGAPORE TECH ENGINEERING LTD	Common stock		883,661
	ASAHI GROUP HOLDINGS LTD	Common stock		39,773
	ASICS CORP	Common stock		139,039
	BANK HAPOLIM LTD (REG)	Common stock		842,120
	WESTPAC BANKING CORP	Common stock		138,407
	BANK LEUMI LE-ISRAEL BM	Common stock		768,958
	LY CORPORATION	Common stock		325,738
	TELSTRA GROUP LTD	Common stock		191,018
	TREND MICRO INC	Common stock		29,056
	ASX LTD	Common stock		58,221
	BRIDGESTONE CORP	Common stock		511,486
	OBIC CO LTD	Common stock		47,134
	ORACLE CORPORATION JAPAN	Common stock		724,170
	BHP GROUP LIMITED	Common stock		1,154,596
	COCA COLA BOTTLERS JAPAN HOLDINGS INC	Common stock		48,555
	USS CO LTD	Common stock		39,461
	COWAY CO LTD	Common stock		106,120
	CAPCOM CO LTD	Common stock		65,263
	DBS GROUP HOLDINGS LTD	Common stock		223,616
	COMPUTERSHARE LTD	Common stock		75,436
	CENTRAL JAPAN RAILWAY CO	Common stock		379,321
	CSL LIMITED	Common stock		748,686
	CHIBA BANK LTD	Common stock		449,722
	CHINA OVERSEAS LAND & INV LTD	Common stock		371,465
	CHUGAI PHARMACEUTICAL CO LTD	Common stock		720,947
	COMMONWEALTH BK OF AUSTRALIA	Common stock		402,587
	RIO TINTO LTD	Common stock		75,151
	SK TELECOM LTD	Common stock		415,459
	KDDI CORP	Common stock		1,115,285
	DAIFUKU CO LTD	Common stock		100,674
	DAITO TRUST CONSTRUCTION	Common stock		76,251
	DAIKIN INDUSTRIES LTD	Common stock		1,243,463
	DAI NIPPON PRINTING CO LTD	Common stock		263,140
	SCREEN HOLDINGS CO LTD	Common stock		107,022
	DAIWA HOUSE INDUSTRY CO LTD	Common stock		106,190
	ARISTOCRAT LEISURE LTD	Common stock		88,291
	DELTA ELECTRONICS IND CO LTD	Common stock		399,305
	HONG KONG EXCHS & CLEARING LTD	Common stock		398,032
	PAN PACIFIC INTERNATIONAL HOLDINGS LTD	Common stock		86,302
	INSURANCE AUSTRALIA GROUP LTD	Common stock		44,979
	PRO MEDICUS LTD	Common stock		82,100
	EAST JAPAN RAILWAY CO	Common stock		94,964
	TECHNOLOGY ONE LIMITED	Common stock		57,619
	SINGAPORE EXCHANGE LTD	Common stock		294,234
	EISAI CO LTD	Common stock		166,599
	ELBIT SYSTEMS LTD	Common stock		880,943
	FAST RETAILING CO LTD	Common stock		327,158
	MINIBISHI UFJ FINL GRP INC	Common stock		504,521
	SUBARU CORP	Common stock		316,439

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	FUJIKURA LTD	Common stock		200,409
	FUJITSU LTD	Common stock		268,075
	KASIKORNBANK PCL NVDR	Common stock		675,586
	NOMURA RESEARCH INSTITUTE LTD	Common stock		119,159
	SHINHAN FINANCIAL GROUP CO LTD	Common stock		1,026,432
	DENTSU GROUP INC	Common stock		288,601
	RESONA HOLDINGS INC	Common stock		1,474,509
	HINO MOTORS LTD	Common stock		53,721
	HITACHI LTD	Common stock		1,042,113
	SUMITOMO MITSUI TRUST GROUP INC	Common stock		597,735
	HONDA MOTOR CO LTD	Common stock		380,470
	HORIBA	Common stock		213,968
	IGO LTD	Common stock		81,252
	HOYA CORP	Common stock		1,542,307
	HYUNDAI MOBIS	Common stock		449,547
	SK HYNIX INC	Common stock		849,643
	PHOENIX FINANCE LTD	Common stock		355,972
	GALAXY ENT GROUP LTD	Common stock		817,341
	IHI CORPORATION	Common stock		123,094
	SUZU MOTORS LTD	Common stock		49,837
	JGC HOLDINGS CORP	Common stock		174,714
	JAPAN TOBACCO INC	Common stock		705,720
	REGIS RESOURCES LTD	Common stock		157,584
	AEON CO LTD	Common stock		112,275
	KAJIMA CORP	Common stock		193,705
	KAO CORP	Common stock		443,674
	KEYENCE CORP	Common stock		1,483,580
	KIRIN HOLDINGS CO LTD	Common stock		137,906
	KOITO MANUFACTURING CO LTD	Common stock		317,065
	KOMATSU LTD	Common stock		223,442
	KONAMI GROUP CORPORATION	Common stock		108,938
	KUBOTA CORP	Common stock		1,209,849
	KYUSHU ELEC POWER CO INC	Common stock		55,738
	TOKIO MARINE HOLDINGS INC	Common stock		386,216
	BANK OF CHINA HONG KONG LTD	Common stock		592,614
	SUMITOMO MITSUI FINL GROUP INC	Common stock		479,513
	MTN GROUP LTD	Common stock		454,897
	PANASONIC HOLDINGS CORP	Common stock		223,484
	SUNCORP GROUP LTD	Common stock		122,063
	YUE YUEN INDUSTRIAL HLDGS LTD	Common stock		102,599
	MIZUHO FINANCIAL GROUP INC	Common stock		251,085
	MISUMI GROUP INC	Common stock		76,547
	MITSUBISHI ESTATE CO LTD	Common stock		595,202
	MITSUBISHI GAS CHEMICAL CO	Common stock		184,901
	MITSUBISHI ELECTRIC CORP ORD	Common stock		49,761
	MITSUBISHI HEAVY INDS LTD	Common stock		257,406
	MITSUMI FUDOSAN CO	Common stock		102,301
	MURATA MFG CO LTD	Common stock		996,761
	NGK CORP	Common stock		47,065
	RENEAS ELECTRONICS CORP	Common stock		103,830
	NINTENDO CO LTD	Common stock		534,349
	DENSO CORP	Common stock		49,597
	NEC CORP	Common stock		288,145
	NIPPON SANSO HOLDINGS CORP	Common stock		32,781
	NTT INC	Common stock		77,521
	NITTO DENKO CORP (NITTO ELECTRIC)	Common stock		616,637
	NIKON CORP	Common stock		116,905
	MINEBEA MITSUMI INC	Common stock		936,445
	BIPROGY INC	Common stock		13,825
	NISSAN MOTOR CO LTD ORD	Common stock		178,314
	NOMURA HOLDINGS INC	Common stock		51,495
	NICE LTD	Common stock		120,433
	OBAYASHI CORP	Common stock		131,478
	OLYMPUS CORP	Common stock		850,102
	ONO PHARMACEUTICAL CO LTD	Common stock		94,312
	TAIHEIYO CEMENT CORP	Common stock		91,721

APPLE 401(k) PLAN

SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	OSAKA GAS CO LTD	Common stock		103,996
	ALFRESA HOLDINGS CORP	Common stock		133,469
	BANK RAKYAT INDONESIA TBK PT	Common stock		281,782
	QANTAS AIRWAYS LTD	Common stock		48,026
	QBE INSURANCE GROUP LTD	Common stock		162,270
	NORTHERN STAR RESOURCES LTD	Common stock		792,697
	BANK NEGARA INDO PT TBK(DEMAT)	Common stock		291,814
	RINNAI CORP	Common stock		217,416
	T&D HOLDINGS INC	Common stock		676,197
	ROHM CO LTD	Common stock		403,920
	SMC CORP	Common stock		243,373
	SOFTBANK GROUP CORP	Common stock		359,551
	SAMSUNG ELECTRONICS CO LTD	Common stock		1,112,039
	SANRIO CO LTD	Common stock		62,781
	SANTEN PHARMACEUTICAL CO LTD	Common stock		72,663
	SECOM CO LTD	Common stock		2,248,555
	SEKISUI CHEMICAL CO LTD	Common stock		105,979
	SHIONOGI & CO LTD	Common stock		1,012,052
	SONY GROUP CORP	Common stock		1,156,027
	STANLEY ELECTRIC	Common stock		165,061
	SCSK CORP	Common stock		97,544
	SUMITOMO HEAVY INDUSTRIES LTD	Common stock		166,871
	SUMITOMO RUBBER INDUSTRIES LTD	Common stock		178,732
	SUN HUNG KAI PROPERTIES LTD	Common stock		54,756
	SHUFERSAL LTD	Common stock		8,090
	SUZUKI MOTOR CORP	Common stock		192,256
	THK CO LTD	Common stock		115,114
	TDK CORP	Common stock		804,565
	TAISEI CORP	Common stock		142,061
	TAKEDA PHARMACEUTICAL CO LTD	Common stock		1,685,336
	ADVANTEST CORP	Common stock		727,037
	TAIWAN SEMICONDUCTOR MFG CO LTD	Common stock		2,818,002
	TOHO CO LTD	Common stock		40,756
	TOKYO ELECTRON LTD	Common stock		1,467,978
	TORAY INDUSTRIES INC	Common stock		217,492
	TOYOTA MOTOR CORP	Common stock		269,954
	UNITED OVERSEAS BANK (LOC)	Common stock		43,641
	WESFARMERS LTD	Common stock		271,335
	ASTELLAS PHARMA INC	Common stock		160,342
	YAMATO HOLDINGS CO LTD	Common stock		229,869
	DEUTSCHE BOERSE AG	Common stock		1,593,653
	AXA SA	Common stock		703,799
	NOVARTIS AG (REG)	Common stock		1,770,121
	ABB LTD	Common stock		1,315,161
	ROCHE HOLDINGS AG (GENUSSSCHEINE)	Common stock		1,414,977
	ADECCO GROUP AG	Common stock		214,767
	HOLCIM LTD	Common stock		176,018
	NESTLE SA (REG)	Common stock		381,920
	NEXANS SA	Common stock		88,704
	EQUINOR ASA	Common stock		1,421,036
	ENEL (ENTE NAZ ENERG ELET) SPA	Common stock		1,188,379
	ENI SPA	Common stock		613,056
	SWATCH GROUP AG (BR)	Common stock		338,369
	ESSILORLUXOTTICA	Common stock		467,216
	SNAM SPA	Common stock		54,963
	CREDIT AGRICOLE SA	Common stock		336,844
	BNP PARIBAS	Common stock		1,389,037
	LONZA GROUP AG	Common stock		1,916,689
	ST GOBAIN CIE DE	Common stock		510,670
	RB GLOBAL INC	Common stock		44,888
	YARA INTERNATIONAL ASA	Common stock		151,636
	HEINEKEN NV	Common stock		325,785
	ROYAL BANK OF CANADA	Common stock		455,007
	RYANAIR HOLDINGS PLC SPON ADR	Common stock		518,685
	SEA LTD ADR	Common stock		1,403,780
	SHOPIFY INC CL A	Common stock		188,709

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	STANTEC INC	Common stock		401,898
	SUN LIFE FINANCIAL INC	Common stock		128,219
	SUNCOR ENERGY INC	Common stock		66,577
	TAIWAN SEMIC MFG CO LTD SP ADR	Common stock		4,005,270
	TRIP.COM GROUP LTD ADR	Common stock		1,625,454
	VEON LTD SPON ADR	Common stock		124,065
	WSP GLOBAL INC	Common stock		71,158
	WESTON GEORGE LTD	Common stock		48,637
	WHEATON PRECIOUS METALS CORP	Common stock		114,976
	PROXIMUS	Common stock		105,146
	NORDIC SEMICONDUCTOR ASA	Common stock		38,072
	NATURA COSMETICOS SA	Common stock		149,469
	TECHTRONIC INDUSTRIES CO LTD	Common stock		161,718
	SAINSBURY (J) PLC	Common stock		1,193,564
	CHINA MENGNIU DAIRY CO LTD	Common stock		126,442
	TERNA SPA	Common stock		59,500
	BANK CENTRAL ASIA	Common stock		1,303,041
	M3 INC	Common stock		80,975
	SINGAPORE TELECOM LTD (SING)	Common stock		212,385
	ELECTRIC POWER DEVELOP CO LTD	Common stock		76,733
	SEGA SAMMY HOLDINGS INC	Common stock		126,588
	CENTRICA PLC	Common stock		512,674
	JYSKE BANK AS (REG)	Common stock		48,871
	SAFRAN SA	Common stock		777,647
	COCA-COLA ICECEK SANAYI AS	Common stock		44,271
	HAKUHODO DY HOLDINGS INC	Common stock		117,663
	IG GROUP HLDGS PLC	Common stock		49,047
	MTU AERO ENGINES AG	Common stock		2,472,723
	ENGIE SA	Common stock		951,159
	LOJAS RENNER SA	Common stock		187,668
	OVERSEA-CHINESE BKG CORP LTD	Common stock		122,981
	ULTRAPAR PARTICIPACOES SA	Common stock		193,357
	BAIDU INC CL A	Common stock		517,031
	DAIICHI SANKYO CO LTD	Common stock		117,556
	BANDAI NAMCO HOLDINGS INC	Common stock		332,929
	HIKMA PHARMACEUTICALS PLC	Common stock		162,884
	TSURUHA HOLDINGS INC	Common stock		275,600
	IPSEN PROMESSES	Common stock		641,906
	ZEALAND PHARMA A/S	Common stock		85,932
	LONDON STOCK EXCHANGE GRP PLC	Common stock		1,413,269
	ARKEMA	Common stock		892,334
	PARTNERS GROUP HOLDING AG	Common stock		327,425
	NORSK HYDRO AS ORD	Common stock		344,522
	SCHINDLER HOLDING AG PART CERT	Common stock		124,651
	KONECRANES OYJ	Common stock		73,163
	SCHINDLER HOLDING AG (REG)	Common stock		68,589
	WACKER CHEMIE AG	Common stock		123,554
	LEGRAND SA	Common stock		716,018
	EIFFAGE SA (FORM FOUGEROLLE)	Common stock		106,301
	KOBE BUSSAN CO LTD	Common stock		36,293
	TOTAL ENERGIES SE	Common stock		1,220,941
	LARSEN & TOUBRO LTD GDR	Common stock		430,014
	LOGITECH INTL SA REG	Common stock		293,692
	EXPERIAN PLC	Common stock		160,111
	RTL GROUP SA	Common stock		110,769
	CHINA MERCHANTS BANK CO LTD H	Common stock		529,174
	IDEMITSU KOSAN CO LTD	Common stock		521,867
	BRAMBLES LTD	Common stock		989,548
	GALP ENERGIA SGPS SA	Common stock		533,006
	MONOTARO CO LTD	Common stock		35,119
	AERCAP HOLDINGS NV	Common stock		815,550
	SYMRISE AG	Common stock		1,804,164
	SKF AB SER B	Common stock		488,289
	FUKUOKA FINANCIAL GROUP INC	Common stock		420,525
	DRAX GROUP PLC	Common stock		67,649
	REXEL SA	Common stock		1,070,877

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	ORKLA ASA	Common stock		389,554
	YANGZUJIANG SHIPBLDG HLDGS LTD	Common stock		38,715
	GEBERIT AG (REG)	Common stock		162,703
	DSV A/S	Common stock		452,560
	SMITHS GROUP PLC	Common stock		49,046
	BANCO DE SABADELL SA	Common stock		490,649
	VINCI SA	Common stock		233,633
	JERONIMO MARTINS & FILHO SGPS	Common stock		711,882
	DANONE SA	Common stock		248,228
	3I GROUP PLC	Common stock		338,322
	AIR LIQUIDE SA	Common stock		150,105
	MATSUKIYOCOCOKARA & CO	Common stock		39,821
	SONY FINANCIAL HOLDINGS INC	Common stock		46,099
	CAIXABANK SA	Common stock		322,476
	BERDROLA SA	Common stock		305,602
	BUREAU VERITAS SA	Common stock		302,011
	ZOZO INC	Common stock		595,884
	RELX PLC	Common stock		1,733,513
	TIS INC	Common stock		46,985
	MS&AD INSURANCE GRP HLDGS INC	Common stock		275,096
	PERSOL HOLDINGS CO LTD	Common stock		202,403
	KB FINANCIAL GROUP INC	Common stock		496,990
	EVOLUTION MINING LTD	Common stock		135,265
	PANDORA A/S	Common stock		251,726
	ACCENTURE PLC CL A	Common stock		472,745
	MGM CHINA HOLDINGS LIMITED	Common stock		34,364
	JULIUS BAER GRUPPE AG	Common stock		963,848
	GLENCORE PLC	Common stock		69,433
	AIA GROUP LTD	Common stock		1,952,662
	INTERNATIONAL CONS AIRLNS CDI	Common stock		62,128
	SWISS RE LTD	Common stock		89,059
	OTSUKA HOLDINGS CO LTD	Common stock		192,596
	EVONIK INDUSTRIES AG	Common stock		900,716
	DAIICHI LIFE GROUP INC	Common stock		361,159
	MEIJI HOLDINGS CO LTD	Common stock		309,254
	JAMES HARDIE INDUSTRES PLC CDI	Common stock		322,738
	ENEOS HOLDINGS INC	Common stock		45,230
	SOMPO HOLDINGS INC	Common stock		275,930
	ROLLS-ROYCE HOLDINGS PLC	Common stock		883,798
	TELEFONICA BRASIL SA	Common stock		398,595
	NOVONESIS (NOVOZYMES) B	Common stock		43,118
	EASYJET PLC	Common stock		417,494
	HANKOOK TIRE AND TECHNOLOGY CO L	Common stock		119,575
	AGEAS	Common stock		1,222,397
	JAPAN AIRLINES CO LTD	Common stock		161,348
	THE SAGE GROUP PLC	Common stock		385,921
	WPP PLC NEW (UK)	Common stock		224,314
	XERO LTD	Common stock		371,934
	ASML HOLDING NV	Common stock		2,670,257
	TELE2 AB B SHS	Common stock		121,169
	COCA COLA HBC AG	Common stock		512,445
	LEG IMMOBILIEN SE	Common stock		79,887
	SUNTORY BEVERAGE & FOOD LTD	Common stock		1,122,602
	VONOVIA SE	Common stock		94,622
	CIE FINANCIERE RICHEMONT SA A	Common stock		274,767
	KONINKLIJKE AHOLD DELHAIZE NV	Common stock		985,960
	BANK OF IRELAND GROUP PLC	Common stock		1,740,149
	FERRARI NV	Common stock		154,683
	COMPASS GROUP PLC	Common stock		1,697,700
	VALEO SA	Common stock		270,816
	NUTRIEN LTD	Common stock		362,852
	NATIONAL GRID PLC	Common stock		207,661
	OLD MUTUAL LTD	Common stock		286,656
	AIB GROUP PLC	Common stock		1,457,955
	SIGMA HEALTHCARE LTD	Common stock		52,270
	SOFTBANK CORP	Common stock		882,293

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	IIDA GROUP HOLDINGS CO LTD	Common stock		216,884
	NORDEA BANK ABP	Common stock		89,279
	SPOTIFY TECHNOLOGY SA	Common stock		651,557
	WIX.COM LTD	Common stock		43,530
	AMBEV SA	Common stock		842,446
	VODAFONE GROUP PLC	Common stock		846,796
	PROSUS NV	Common stock		210,737
	GAZTRANSPORT ET TECHNIGA	Common stock		79,872
	ALIBABA GROUP HOLDING LTD	Common stock		2,269,696
	TRAVIS PERKINS PLC	Common stock		147,690
	RAIZEN SA	Common stock		46,671
	LIFCO AB	Common stock		45,039
	WISE PLC	Common stock		344,369
	HAIER SMART HOME CO LTD	Common stock		426,156
	ATLAS COPCO AB SER A	Common stock		369,940
	TESCO PLC	Common stock		236,929
	WH GROUP LTD	Common stock		770,894
	AON PLC	Common stock		915,724
	NATWEST GROUP PLC	Common stock		424,747
	KLARNA GROUP PLC	Common stock		323,965
	TENCENT HOLDINGS LTD	Common stock		3,740,527
	DAVIDE CAMPARI MILANO NV	Common stock		1,115,515
	DASSAULT AVIATION SA	Common stock		356,199
	SIEMENS ENERGY AG	Common stock		302,231
	INVESTOR AB SER B	Common stock		85,592
	MONDI PLC	Common stock		240,275
	HALEON PLC	Common stock		2,951,209
	LOTTOMATICA GROUP SPA	Common stock		84,923
	SAMPO OYJ SER A	Common stock		63,370
	AUMOVIO SE	Common stock		118,992
	ENDEAVOUR MINING PLC	Common stock		148,564
	GSK PLC	Common stock		2,430,929
	EURONEXT NV	Common stock		468,124
	DNB BANK ASA	Common stock		492,206
	NN GROUP NV	Common stock		1,287,885
	FINECOBANK SPA	Common stock		2,272,886
	ARGENX SE	Common stock		1,320,015
	ACCELLERON INDUSTRIES LTD	Common stock		106,456
	UNIVERSAL MUSIC GROUP NV	Common stock		2,010,269
	LINDE PLC	Common stock		2,355,805
	NOVO-NORDISK AS CL B	Common stock		2,177,442
	SHELL PLC	Common stock		1,610,610
	SHELL PLC	Common stock		909,829
	GRAB HOLDINGS LTD CL A	Common stock		1,111,363
	DAIMLER TRUCK HOLDING AG	Common stock		1,697,323
	TATE & LYLE PLC	Common stock		128,829
	INDITEX SA	Common stock		2,745,098
	MICHELIN CL B (REG)	Common stock		1,190,697
	AEGON LTD	Common stock		483,221
	SAAB AB CL B	Common stock		115,502
	BOLIDEN AB	Common stock		78,568
	AMS-OSRAM AG	Common stock		57,004
	RECRUIT HOLDINGS CO LTD	Common stock		321,935
	CYBER-ARK SOFTWARE LTD	Common stock		144,523
	GALDERMA GROUP AG	Common stock		959,585
	UBS GROUP AG	Common stock		4,846,652
	FERROVIAL NV	Common stock		98,659
	MEDIBANK PRIVATE LIMITED	Common stock		78,151
	ZABKA GROUP SA	Common stock		1,061,890
	LAOPU GOLD CO LTD H	Common stock		301,746
	KONGSBERG GRUPPEN ASA	Common stock		713,408
	RECKITT BENCKISER GROUP PLC	Common stock		2,077,695
	ANGLO AMERICAN PLC	Common stock		269,757
	AENA SME SA	Common stock		887,107
	MEDTRONIC PLC	Common stock		642,449
	DORMAKABA HOLDING AG NAMEN-AKT	Common stock		50,408

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	QIAGEN NV	Common stock		665,758
	AMRIZE LTD	Common stock		98,625
	AUTOTRADER GROUP PLC	Common stock		55,489
	UNILEVER PLC ORD	Common stock		188,126
	CK HUTCHISON HOLDINGS LTD	Common stock		370,794
	MERIDIAN ENERGY LTD	Common stock		547,434
	SOUTH32	Common stock		1,006,132
	FLUTTER ENTERTAINMENT PLC	Common stock		564,868
	SVENSKA HANDELSBANKEN SER A	Common stock		80,757
	SHOPIFY INC CL A	Common stock		616,032
	UNICREDIT SPA	Common stock		1,596,060
	ARCELORMITTAL SA	Common stock		1,084,747
	ASSA ABLOY AB SER B	Common stock		244,231
	BEAZLEY PLC/UK	Common stock		37,469
	ABN AMRO BANK NV-GDR	Common stock		779,130
	ICG PLC	Common stock		448,638
	JAPAN POST HOLDINGS CO LTD	Common stock		650,127
	JAPAN POST INSURANCE CO LTD	Common stock		562,528
	SCOUT24 SE	Common stock		674,466
	RYANAIR HOLDINGS PLC	Common stock		60,773
	LAND SECURITIES GROUP PLC	Common stock		269,252
	COLES GROUP LTD	Common stock		226,753
	ANHEUSER-BUSCH INBEV SA/NV	Common stock		140,908
	SARTORIUS STEDIM BIOTECH	Common stock		1,580,209
	CK ASSET HOLDINGS LTD	Common stock		1,086,230
	AMUNDI SA	Common stock		780,408
	ADYEN BV	Common stock		686,758
	JOHNSON MATTHEY PLC	Common stock		70,064
	ING GROEP NV	Common stock		2,415,647
	HENKEL AG & CO KGAA PREF	Preferred Stock		336,485
	VOLKSWAG PFD PERP	Preferred Stock		539,246
	RITC EMERGING MARKETS FUND	Common/Collective Trust Fund		97,094,717
	AUSTRALIAN DOLLAR CURRENCY	Currency		99,862
	BRAZILIAN REAL	Currency		92,276
	BRITISH POUND STERLING CURRENCY	Currency		253,591
	CANADIAN DOLLAR	Currency		64,145
	DANISH KRONE	Currency		16,870
	EURO MONETARY UNIT.	Currency		327,591
	HONG KONG DOLLAR	Currency		91,272
	INDONESIAN RUPIAH CURRENCY	Currency		29,779
	ISRAELI SHEKEL	Currency		65,488
	JAPANESE YEN	Currency		333,001
	NEW ZEALAND DOLLAR CURRENCY	Currency		16,602
	NORWEGIAN KRONA	Currency		70,331
	POLAND ZLOTY NEW	Currency		13
	SINGAPORE DOLLAR CURRENCY	Currency		103,372
	SOUTH AFRICAN RAND CURRENCY	Currency		19,213
	SOUTH KOREAN WON	Currency		75,102
	SWEDISH KRONA CURRENCY	Currency		108,513
	SWISS FRANC	Currency		6,094
	TAIWAN DOLLAR	Currency		31,867
	THAILAND BAHT CURRENCY	Currency		39,076
	BBH STIF FUND	Money Market		19,786,436
	FUTURES CASH COLLATERAL	General Investments - Other		4,817,712
	Total International Separately Managed Account			415,063,934

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	BLACKROCK GLOBAL LIFEPATH INDEX 2030 ACCOUNT A	Common/Collective Trust Fund		739,173,519
	BLACKROCK GLOBAL LIFEPATH INDEX 2035 ACCOUNT A	Common/Collective Trust Fund		1,248,382,855
	BLACKROCK GLOBAL LIFEPATH INDEX 2040 ACCOUNT A	Common/Collective Trust Fund		1,728,793,274
	BLACKROCK GLOBAL LIFEPATH INDEX 2045 ACCOUNT A	Common/Collective Trust Fund		2,569,430,415
	BLACKROCK GLOBAL LIFEPATH INDEX 2050 ACCOUNT A	Common/Collective Trust Fund		2,859,723,912
	BLACKROCK GLOBAL LIFEPATH INDEX 2055 ACCOUNT A	Common/Collective Trust Fund		2,392,267,765
	BLACKROCK GLOBAL LIFEPATH INDEX 2060 ACCOUNT A	Common/Collective Trust Fund		1,052,218,278
	BLACKROCK GLOBAL LIFEPATH INDEX 2065 ACCOUNT A	Common/Collective Trust Fund		315,673,526
	BLACKROCK GLOBAL LIFEPATH INDEX 2070 ACCOUNT A	Common/Collective Trust Fund		16,110,202
	BLACKROCK GLOBAL LIFEPATH INDEX RETIREMENT ACCOUNT A	Common/Collective Trust Fund		655,709,589
	VANGUARD TOTAL BOND MARKET INDEX TRUST	Common/Collective Trust Fund		788,418,580
	EATON VANCE HIGH YIELD CIT V	Common/Collective Trust Fund		203,973,254
	LOOMIS SAYLES CORE PLUS FIXED INCOME CIT F	Common/Collective Trust Fund		156,507,121
	VANGUARD INSTITUTIONAL 500 INDEX TRUST	Common/Collective Trust Fund		5,899,110,586
	VANGUARD EXTENDED MARKET INDEX TRUST	Common/Collective Trust Fund		1,279,447,931
	VANGUARD TOTAL INTL STOCK INDEX TRUST	Common/Collective Trust Fund		1,343,430,398
	BNY MELLON EB GLOBAL REAL ESTATE SEC II	Common/Collective Trust Fund		206,131,432
	BROKERGE ACCOUNT	Various Accounts		2,153,504,672
	Total Investments			30,502,324,769
	Participant Loans *	Interest rates ranging from 4.25% to 9.50%		239,361,595
				<u>\$ 30,741,686,364</u>

*Indicates party-in-interest

**Cost is not required for participant directed investments

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

Plan Sponsor: Apple Inc.
Employer Identification Number: 94-2404110
Plan Number: 001
Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	Stable Value Fund Separately Managed Account			
	GALLIARD SHORT CORE FUND F (Fair value)	Common/Collective Trust Fund	\$	170,524,938
	GALLIARD INTERMEDIATE CORE FUND L (Fair value)	Common/Collective Trust Fund		263,353,462
	WRAPPER	Other		6,050,073
	Total synthetic investment contracts (Contract value)			439,928,473
	WF/BLACKROCK SHORT TERM INVESTMENT FUND S	Common/Collective Trust Fund		20,581,924
	US Large Cap Growth Separately Managed Account			
	ABBVIE INC	Common stock		9,562,992
	ACADIA PHARMACEUTICALS INC	Common stock		1,511,652
	ADOBE INC	Common stock		15,956,044
	ADVANCED MICRO DEVICES INC	Common stock		18,155,414
	AGILENT TECHNOLOGIES INC	Common stock		11,119,368
	AIRBNB INC CLASS A	Common stock		4,471,295
	ALLY FINANCIAL INC	Common stock		10,043,329
	ALNYLAM PHARMACEUTICALS INC	Common stock		9,138,395
	ALPHABET INC CL C	Common stock		44,405,524
	ALPHABET INC CL A	Common stock		120,122,827
	AMAZON.COM INC	Common stock		111,140,522
	APPLE INC	Common stock		289,978,925
	APPFOLIO INC - A	Common stock		4,894,956
	APPLOVIN CORP	Common stock		10,793,249
	ARGAN INC	Common stock		2,127,443
	ARISTA NETWORKS INC	Common stock		14,935,848
	AUTODESK INC	Common stock		6,068,501
	AUTOMATIC DATA PROCESSING INC	Common stock		8,621,063
	AUTOZONE INC	Common stock		4,476,780
	AXON ENTERPRISE INC	Common stock		2,852,712
	BANK OF NEW YORK MELLON CORP	Common stock		6,276,058
	BLUE OWL CAPITAL INC A	Common stock		4,433,445
	THE BOOKING HOLDINGS INC	Common stock		25,089,721
	BRAZE INC	Common stock		3,911,117
	BROADRIDGE FINANCIAL SOL	Common stock		6,980,981
	BROADCOM INC	Common stock		109,720,968
	CH ROBINSON WORLDWIDE INC	Common stock		2,712,825
	CARGURUS INC CL A	Common stock		409,578
	CARLYLE GROUP INC (THE)	Common stock		9,387,023
	CARPENTER TECHNOLOGY CORP	Common stock		4,048,528
	CARVANA CO CL A	Common stock		12,307,791
	CAVA GROUP INC	Common stock		2,523,318
	CHIPOTLE MEXICAN GRILL INC	Common stock		3,937,170
	CLEAR SECURE INC	Common stock		2,843,795
	CLOUDFLARE INC	Common stock		4,178,594
	COCA COLA CO	Common stock		5,754,642
	COMFORT SYSTEMS USA INC	Common stock		13,919,087
	COPART INC	Common stock		6,983,068
	COSTCO WHOLESALE CORP	Common stock		11,556,218
	COSTAR GROUP INC	Common stock		7,127,574
	COUPANG INC A	Common stock		6,398,386
	DANAHER CORP	Common stock		16,305,514
	DATADOG INC CL A	Common stock		4,390,709
	DECKERS OUTDOOR CORP	Common stock		8,115,910

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	DIGITALOCEAN HOLDINGS INC	Common stock		6,510,444
	EVEREST GROUP LTD	Common stock		682,772
	DOMINOS PIZZA INC	Common stock		3,427,928
	DOORDASH INC	Common stock		7,722,742
	DROPBOX INC CL A	Common stock		9,002,808
	EMCOR GROUP INC	Common stock		8,088,476
	EQUIFAX INC	Common stock		9,212,754
	META PLATFORMS INC CL A	Common stock		91,140,607
	FORTINET INC	Common stock		9,879,636
	GE AEROSPACE	Common stock		4,645,092
	GILEAD SCIENCES INC	Common stock		5,958,782
	HF SINCLAIR CORP	Common stock		1,249,229
	HALOZYME THERAPEUTICS INC	Common stock		2,376,565
	HOME DEPOT INC	Common stock		3,850,135
	IDEXX LABS INC	Common stock		17,336,081
	INCYTE CORP	Common stock		8,844,656
	INTERACTIVE BROKERS GROUP INC	Common stock		11,326,020
	INTERCONTINENTAL EXCHANGE INC	Common stock		22,447,008
	INTERDIGITAL INC	Common stock		2,823,075
	INTUIT INC	Common stock		25,631,679
	INTUITIVE SURGICAL INC	Common stock		10,505,978
	JEFFERIES FINANCIAL GROUP INC	Common stock		6,931,716
	KULICKE & SOFFA INDUSTRIES INC	Common stock		2,543,341
	LCI INDUSTRIES	Common stock		872,192
	LAM RESEARCH CORP	Common stock		13,500,282
	ELI LILLY & CO	Common stock		46,940,948
	LOCKHEED MARTIN CORP	Common stock		6,988,064
	LYFT INC	Common stock		3,197,987
	MSCI INC	Common stock		6,236,445
	MANHATTAN ASSOCIATES INC	Common stock		7,805,016
	MARTIN MARIETTA MATERIALS INC	Common stock		6,762,710
	MASTEC INC	Common stock		2,625,177
	MASTERCARD INC CL A	Common stock		44,099,338
	MCKESSON CORP	Common stock		4,887,288
	MICROSOFT CORP	Common stock		257,046,448
	MONSTER BEVERAGE CORP	Common stock		11,460,402
	MOTOROLA SOLUTIONS INC	Common stock		3,231,388
	NETAPP INC	Common stock		8,245,180
	NETFLIX INC	Common stock		22,951,323
	NUTANIX INC CL A	Common stock		2,599,800
	NVIDIA CORP	Common stock		332,916,563
	O'REILLY AUTOMOTIVE INC	Common stock		15,922,165
	OLD DOMINION FREIGHT LINES INC	Common stock		4,087,619
	ORACLE CORP	Common stock		13,319,760
	PALANTIR TECHNOLOGIES INC	Common stock		7,627,253
	PEGASYSTEMS INC	Common stock		7,380,257
	POPULAR INC	Common stock		7,181,566
	POWELL INDUSTRIES INC	Common stock		1,458,737
	QUALCOMM INC	Common stock		14,175,085
	QUANTA SVCS INC	Common stock		3,283,627
	RH	Common stock		2,209,457
	RINGCENTRAL INC CL A	Common stock		519,031
	ROBLOX CORP	Common stock		12,369,148
	ROKU INC CLASS A	Common stock		4,531,410
	S&P GLOBAL INC	Common stock		13,544,488
	SALESFORCE INC	Common stock		9,456,227
	SAMSARA INC	Common stock		6,610,716
	SAREPTA THERAPEUTICS INC	Common stock		857,615
	SEA LTD ADR	Common stock		8,920,205
	SELECTIVE INSURANCE GROUP INC	Common stock		2,587,746
	SERVICENOW INC	Common stock		27,731,833
	SNOWFLAKE INC	Common stock		10,997,833
	SONOS INC	Common stock		919,687
	BLOCK INC CL A	Common stock		6,928,440
	SYNOPSYS INC	Common stock		8,434,762
	TAIWAN SEMIC MFG CO LTD SP ADR	Common stock		21,763,386

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	TESLA INC	Common stock		73,627,709
	TRADE DESK INC	Common stock		4,436,119
	TRANSUNION	Common stock		9,045,339
	TYLER TECHNOLOGIES INC	Common stock		8,413,963
	ULTRAGENYX PHARMA INC	Common stock		4,574,539
	UNITEDHEALTH GROUP INC	Common stock		15,893,476
	VARONIS SYSTEMS INC	Common stock		1,920,342
	VEEVA SYS INC CL A	Common stock		14,963,107
	VERISK ANALYTICS INC	Common stock		11,473,955
	VISA INC CL A	Common stock		33,865,610
	VULCAN MATERIALS CO	Common stock		9,904,265
	WAYFAIR INC	Common stock		3,145,745
	WILLIAMS-SONOMA INC	Common stock		2,588,841
	ZSCALER INC	Common stock		4,453,191
	SPOTIFY TECHNOLOGY SA	Common stock		18,407,926
	NU HOLDINGS LTD/CAYMAN ISLANDS	Common stock		17,778,131
	LINDE PLC	Common stock		8,550,825
	JAMES HARDIE IND PLC	Common stock		3,605,748
	SHOPIFY INC CL A	Common stock		13,694,201
	WASTE CONNECTIONS INC	Common stock		11,011,731
	FERRARI NV	Common stock		3,703,361
	BBH STIF FUND	Money Market		79,626,013
	EURO MONETARY UNIT.	Currency		1
	FUTURES CASH COLLATERAL	General Investments - Other		4,963,193
	Total US Large Cap Growth Separately Managed Account			2,606,658,319
	US Large Cap Value Separately Managed Account			
	AFLAC INC	Common stock		313,167
	AGCO CORP	Common stock		355,523
	AT&T INC	Common stock		9,494,544
	ABBOTT LABORATORIES	Common stock		820,023
	ABERCROMBIE & FITCH CO CL A	Common stock		2,066,030
	ACUITY INC	Common stock		1,242,498
	ADOBE INC	Common stock		2,481,779
	AFFILIATED MANAGERS GRP INC	Common stock		150,482
	AGILENT TECHNOLOGIES INC	Common stock		198,934
	AIR PRODUCTS & CHEMICALS INC	Common stock		161,551
	ALASKA AIR GROUP INC	Common stock		277,455
	ALBEMARLE CORP	Common stock		1,239,297
	ALCOA CORP	Common stock		1,468,524
	ALIGN TECHNOLOGY INC	Common stock		1,849,284
	BREAD FINANCIAL HOLDINGS	Common stock		423,600
	ALLSTATE CORPORATION	Common stock		1,677,065
	ALLY FINANCIAL INC	Common stock		1,559,289
	ALPHABET INC CL C	Common stock		21,285,645
	ALPHABET INC CL A	Common stock		6,980,526
	ALTRIA GROUP INC	Common stock		343,365
	AMAZON.COM INC	Common stock		10,114,994
	AMERICAN ELECTRIC POWER CO INC	Common stock		245,726
	AMERICAN EXPRESS CO	Common stock		1,914,861
	AMERICAN FINL GROUP INC OHIO	Common stock		106,610
	AMERICAN HOMES 4 RENT	Common stock		1,236,396
	AMERICAN INTERNATIONAL GROUP	Common stock		2,655,301
	AMETEK INC NEW	Common stock		235,696
	ANALOG DEVICES INC	Common stock		496,296
	ANNALY CAPITAL MGMT INC REIT	Common stock		1,731,648
	ELEVANCE HEALTH INC	Common stock		4,361,193
	APPLIED MATERIALS INC	Common stock		280,633
	ARCHER DANIELS MIDLAND CO	Common stock		4,310,255
	AUTOMATIC DATA PROCESSING INC	Common stock		1,217,727
	AUTOZONE INC	Common stock		2,401,182
	AVALONBAY COMMUNITIES INC REIT	Common stock		1,219,854
	BJS WHSL CLUB HLDGS INC	Common stock		1,071,627
	BP PLC SPON ADR	Common stock		1,577,332
	BAKER HUGHES CO	Common stock		3,583,042
	BALL CORP	Common stock		1,491,370
	BANK OF AMERICA CORPORATION	Common stock		17,257,845

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	BANK OF NEW YORK MELLON CORP	Common stock		4,555,952
	BECTON DICKINSON & CO	Common stock		327,008
	BERKLEY (WR) CORP	Common stock		130,002
	BERKSHIRE HATHAWAY INC CL B	Common stock		14,339,599
	BEST BUY CO INC	Common stock		1,532,563
	BIOMARIN PHARMACEUTICAL INC	Common stock		1,546,428
	BIOGEN INC	Common stock		985,192
	BLACKROCK INC	Common stock		600,461
	BOEING CO	Common stock		1,233,893
	THE BOOKING HOLDINGS INC	Common stock		2,763,350
	BOSTON SCIENTIFIC CORP	Common stock		475,987
	BRISTOL-MYERS SQUIBB CO	Common stock		1,562,804
	BROWN & BROWN INC	Common stock		307,403
	CBOE GLOBAL MARKETS INC	Common stock		228,912
	CBRE GROUP INC - CL A	Common stock		2,138,989
	CDW CORPORATION	Common stock		633,330
	CIGNA GROUP (THE)	Common stock		4,533,313
	CME GROUP INC CL A	Common stock		420,543
	C N A FINANCIAL CORP	Common stock		892,308
	CVS HEALTH CORP	Common stock		4,744,538
	COTERRA ENERGY INC	Common stock		215,508
	CADENCE DESIGN SYSTEMS INC	Common stock		3,687,819
	CAMDEN PROPERTY TRUST - REIT	Common stock		1,790,341
	CAPITAL ONE FINANCIAL CORP	Common stock		6,794,320
	CARDINAL HEALTH INC	Common stock		664,587
	CARRIER GLOBAL CORP	Common stock		205,072
	CASEY GENERAL STORES	Common stock		196,765
	CATERPILLAR INC	Common stock		1,166,363
	CELANESE CORP	Common stock		2,338,930
	CENTENE CORP	Common stock		1,301,986
	CHEMOURS CO/ THE	Common stock		508,196
	EXPAND ENERGY CORP	Common stock		408,332
	CHEVRON CORP	Common stock		3,868,471
	CHURCH & DWIGHT CO INC	Common stock		896,273
	CIENA CORP	Common stock		1,537,228
	CIRRUS LOGIC INC	Common stock		1,076,810
	CISCO SYSTEMS INC	Common stock		996,845
	CITIGROUP INC	Common stock		17,292,174
	CLEVELAND-CLIFFS INC	Common stock		326,887
	COCA COLA CO	Common stock		522,997
	COGNIZANT TECH SOLUTIONS CL A	Common stock		259,292
	COMCAST CORP CL A	Common stock		7,454,207
	COMMERCIAL METALS CO	Common stock		930,524
	CONAGRA BRANDS INC	Common stock		1,104,897
	CONOCOPHILLIPS	Common stock		4,950,471
	CONSTELLATION ENERGY CORP	Common stock		284,736
	TECK RESOURCES LTD SUB VTG CLB	Common stock		960,434
	CANADIAN NATL RESOURCES LTD	Common stock		1,998,369
	COREBRIDGE FINANCIAL INC	Common stock		1,484,756
	CORNING INC	Common stock		1,645,165
	CORPAY INC	Common stock		871,192
	CORTEVA INC	Common stock		259,942
	AMDOCS LTD	Common stock		122,295
	CROWN CASTLE INC	Common stock		115,798
	CROWN HOLDINGS INC	Common stock		2,045,705
	CUMMINS INC	Common stock		859,598
	CURTISS WRIGHT CORPORATION	Common stock		181,368
	DR HORTON INC	Common stock		5,865,334
	FLEX LTD	Common stock		283,249
	DANAHER CORP	Common stock		5,992,210
	DARDEN RESTAURANTS INC	Common stock		1,402,784
	DEERE & CO	Common stock		391,079
	DELTA AIR INC	Common stock		2,818,820
	DENTSPLY SIRONA INC	Common stock		884,225
	DEVON ENERGY CORP	Common stock		788,571
	DIAGEO PLC SPON ADR	Common stock		1,637,405
	DIAMONDBACK ENERGY INC	Common stock		162,958

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	DISNEY (WALT) CO	Common stock		3,302,516
	MAGNA INTL INC	Common stock		1,404,242
	DOCUSIGN INC	Common stock		546,448
	DOLLAR GENERAL CORP	Common stock		3,902,110
	DOMINION ENERGY INC	Common stock		1,662,550
	DOMINOS PIZZA INC	Common stock		2,034,082
	DOVER CORP	Common stock		180,402
	DROPBOX INC CL A	Common stock		1,604,394
	DUKE ENERGY CORP NEW	Common stock		472,474
	EOG RESOURCES INC	Common stock		3,815,958
	EQT CORPORATION	Common stock		1,492,867
	ARCH CAPITAL GROUP LTD	Common stock		164,119
	EBAY INC	Common stock		327,670
	EDISON INTL	Common stock		2,126,088
	EDWARDS LIFESCIENCES CORP	Common stock		3,541,115
	ELECTRONIC ARTS INC	Common stock		337,962
	EMCOR GROUP INC	Common stock		3,033,255
	EMERSON ELECTRIC CO	Common stock		2,278,537
	EQUINIX INC	Common stock		1,589,782
	ESSEX PROPERTY TRUST INC	Common stock		2,468,166
	EVERSOURCE ENERGY	Common stock		1,919,780
	EXELON CORP	Common stock		509,872
	EXPEDITORS INTL OF WASH INC	Common stock		3,134,723
	EXTRA SPACE STORAGE INC	Common stock		114,594
	EXXON MOBIL CORP	Common stock		9,791,464
	FTI CONSULTING INC	Common stock		1,276,613
	META PLATFORMS INC CL A	Common stock		1,867,395
	FACTSET RESEARCH SYSTEMS INC	Common stock		197,039
	FEDEX CORP	Common stock		2,480,152
	FERGUSON ENTERPRISES INC	Common stock		220,404
	F5 INC	Common stock		188,637
	FIDELITY NATL INFORM SVCS INC	Common stock		694,773
	FIFTH THIRD BANCORP	Common stock		1,500,354
	FIRST CITIZENS BANCSHARES INC CL A	Common stock		1,654,705
	FISERV INC	Common stock		1,088,960
	FIRSTENERGY CORP	Common stock		1,423,552
	FLOOR & DECOR HOLDINGS INC	Common stock		561,588
	FLOWSERVE CORP	Common stock		1,350,135
	FORD MOTOR CO	Common stock		571,691
	FRANKLIN RESOURCES INC	Common stock		112,355
	FREEPORT MCMORAN INC	Common stock		614,356
	GE HEALTHCARE TECHNOLOGIES INC	Common stock		2,284,749
	ARTHUR J GALLAGHER AND CO	Common stock		201,856
	GENERAL DYNAMICS CORPORATION	Common stock		396,249
	GENERAL MOTORS CO	Common stock		10,527,525
	GENTEX CORP	Common stock		218,691
	GENMAB AS SP ADR	Common stock		928,435
	GILEAD SCIENCES INC	Common stock		520,786
	GSK PLC SPONS ADR	Common stock		2,031,825
	GLOBAL PAYMENTS INC	Common stock		4,258,084
	GLOBE LIFE INC	Common stock		2,419,158
	GODADDY INC CL A	Common stock		432,171
	GOLDMAN SACHS GROUP INC	Common stock		737,481
	GRACO INC	Common stock		1,955,312
	HF SINCLAIR CORP	Common stock		509,368
	HANOVER INSURANCE GROUP INC	Common stock		573,898
	HARTFORD INSURANCE GROUP INC/THE	Common stock		206,838
	HEALTHPEAK PROPERTIES INC	Common stock		1,542,088
	HEWLETT PACKARD ENTERPRISE CO	Common stock		1,355,569
	HOME DEPOT INC	Common stock		4,737,569
	HONEYWELL INTL INC	Common stock		4,296,662
	HOST HOTELS & RESORTS INC	Common stock		2,114,533
	HOULIHAN LOKEY	Common stock		159,384
	HOWARD HUGHES HOLDINGS INC	Common stock		1,086,707
	HUMANA INC	Common stock		4,446,161
	HUNTINGTON BANCSHARES INC	Common stock		930,897

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	HUNTINGTON INGALLS INDUSTRIES INC	Common stock		601,244
	HUNTSMAN CORP	Common stock		1,058,780
	ILLINOIS TOOL WORKS INC	Common stock		197,286
	INCYTE CORP	Common stock		1,817,961
	INSIGHT ENTERPRISES INC	Common stock		861,464
	INTERACTIVE BROKERS GROUP INC	Common stock		3,917,765
	INTERCONTINENTAL EXCHANGE INC	Common stock		7,444,653
	INTL BUS MACH CORP	Common stock		2,042,368
	IONIS PHARMACEUTICALS INC	Common stock		238,042
	JPMORGAN CHASE & CO	Common stock		7,994,923
	JOHNSON & JOHNSON	Common stock		9,304,679
	KKR & CO INC	Common stock		232,396
	KEYSIGHT TECHNOLOGIES INC	Common stock		390,328
	KIMBERLY CLARK CORP	Common stock		1,961,604
	KINDER MORGAN INC	Common stock		336,258
	KONTOOR BRANDS INC	Common stock		915,983
	KROGER CO	Common stock		4,469,632
	KULICKE & SOFFA INDUSTRIES INC	Common stock		759,303
	LABCORP HOLDINGS INC	Common stock		6,089,108
	LANDSTAR SYSTEM INC	Common stock		2,376,654
	ESTEE LAUDER COS INC CL A	Common stock		1,580,225
	LEIDOS HOLDINGS INC	Common stock		394,715
	LENNAR CORP CL A	Common stock		1,421,107
	LIVE NATION ENTERTAINMENT INC	Common stock		184,680
	LOCKHEED MARTIN CORP	Common stock		4,139,248
	LOWES COS INC	Common stock		5,453,351
	LYFT INC	Common stock		438,014
	M&T BANK CORP	Common stock		2,858,397
	MDU RESOURCES GROUP INC	Common stock		285,207
	MSCI INC	Common stock		125,647
	MAPLEBEAR INC	Common stock		1,104,034
	MARATHON PETROLEUM CORP	Common stock		201,824
	MARKETAXESS HLDGS INC	Common stock		111,469
	MARSH & MCLENNAN COS INC	Common stock		1,319,233
	MASCO CORPORATION	Common stock		1,828,727
	MASTEC INC	Common stock		1,688,313
	MATCH GROUP INC	Common stock		1,156,143
	MATTEL INC	Common stock		237,247
	MCDONALDS CORP	Common stock		595,367
	MCKESSON CORP	Common stock		3,395,180
	MERCK & CO INC NEW	Common stock		646,086
	METLIFE INC	Common stock		2,339,939
	MICROSOFT CORP	Common stock		4,972,097
	MICRON TECHNOLOGY INC	Common stock		2,743,076
	MIDDLEBY CORP	Common stock		1,306,958
	MILLROSE PROPERTIES INC	Common stock		249,205
	MODERNA INC	Common stock		492,336
	MONDELEZ INTL INC	Common stock		5,224,202
	MORGAN STANLEY	Common stock		1,380,296
	NRG ENERGY INC	Common stock		1,320,737
	NVR INC	Common stock		2,107,611
	NASDAQ INC	Common stock		202,613
	NEUROCRINE BIOSCIENCES INC	Common stock		310,608
	NEWMONT CORP	Common stock		353,369
	NEXTERA ENERGY	Common stock		8,329,532
	NIKE INC CL B	Common stock		3,619,365
	NORTHERN TRUST CORP	Common stock		148,200
	NORTHROP GRUMMAN CORP	Common stock		2,748,982
	NUTANIX INC CL A	Common stock		722,885
	O-I GLASS INC	Common stock		849,925
	O'REILLY AUTOMOTIVE INC	Common stock		1,976,521
	OKTA INC CL A	Common stock		224,563
	OLD REPUBLIC INTL CORP	Common stock		414,229
	OMNICOM GROUP INC	Common stock		448,728
	ON SEMICONDUCTOR CORP	Common stock		3,160,356
	OTIS WORLDWIDE CORP	Common stock		3,036,111
	PG&E CORP	Common stock		294,451

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	PNC FINANCIAL SERVICES GRP INC	Common stock		359,642
	PPG INDUSTRIES INC	Common stock		174,592
	PTC INC	Common stock		173,339
	PACCAR INC	Common stock		243,769
	PARKER HANNIFIN CORP	Common stock		437,722
	PAYCHEX INC	Common stock		424,153
	PEPSICO INC	Common stock		478,783
	PERFORMANCE FOOD GROUP CO	Common stock		666,847
	PFIZER INC	Common stock		1,875,418
	PHILIP MORRIS INTL INC	Common stock		2,254,101
	PHILLIPS 66	Common stock		3,564,343
	PINTEREST INC CL A	Common stock		639,095
	POPULAR INC	Common stock		589,104
	PRICE (T ROWE)GROUP INC	Common stock		218,786
	PROCTER & GAMBLE CO	Common stock		930,942
	PROGRESSIVE CORP OHIO	Common stock		1,114,006
	PROLOGIS INC REIT	Common stock		2,702,945
	PRUDENTIAL FINANCIAL INC	Common stock		3,036,359
	PUBLIC SVC ENTERPRISE GRP INC	Common stock		2,104,181
	PULTEGROUP INC	Common stock		226,898
	QUALCOMM INC	Common stock		4,842,597
	QUANTA SVCS INC	Common stock		200,479
	QUEST DIAGNOSTICS INC	Common stock		604,752
	RH	Common stock		550,528
	RANGE RESOURCES CORP	Common stock		869,723
	RAYMOND JAMES FINANCIAL INC.	Common stock		514,530
	RTX CORP	Common stock		3,702,846
	REGAL REYNORD CORP	Common stock		1,669,247
	REGENCY CENTERS CORP REIT	Common stock		163,049
	REGENERON PHARMACEUTICALS INC	Common stock		6,124,017
	REGIONS FINANCIAL CORP	Common stock		1,322,534
	REINSURANCE GROUP OF AMERICA	Common stock		2,136,330
	RESMED INC	Common stock		265,439
	ROBERT HALF INC	Common stock		1,241,945
	ROCKET COS INC	Common stock		3,109,255
	ROCKWELL AUTOMATION INC	Common stock		191,033
	ROKU INC CLASS A	Common stock		1,503,671
	ROPER TECHNOLOGIES INC	Common stock		1,026,470
	ROSS STORES INC	Common stock		256,519
	SHELL PLC SPONS ADR	Common stock		1,920,620
	RYDER SYSTEM INC	Common stock		1,748,922
	S&P GLOBAL INC	Common stock		565,965
	SEI INV CORP	Common stock		150,343
	SS&C TECHNOLOGIES HOLDINGS INC	Common stock		310,953
	SAIA INC	Common stock		1,614,641
	SALESFORCE INC	Common stock		8,172,738
	SANOFI SPON ADR	Common stock		2,001,980
	HENRY SCHEIN INC	Common stock		354,168
	SLB LTD	Common stock		1,340,997
	SCHWAB CHARLES CORP	Common stock		491,158
	SCOTTS MIRACLE GRO CO	Common stock		716,071
	SELECTIVE INSURANCE GROUP INC	Common stock		795,785
	SEMPRA	Common stock		2,850,266
	SHERWIN WILLIAMS CO	Common stock		2,228,030
	SILGAN HOLDINGS INC	Common stock		518,028
	SIMON PPTY GROUP INC - REIT	Common stock		444,819
	SKYWORKS SOLUTIONS INC	Common stock		1,405,736
	SMITH (AO) CORP	Common stock		1,478,516
	SMITHFIELD FOODS INC	Common stock		754,039
	SOUTHERN CO	Common stock		2,154,014
	SOUTHWEST AIRLINES CO	Common stock		1,132,979
	STARBUCKS CORP	Common stock		183,830
	STATE STREET CORP	Common stock		2,517,372
	STEWART INFORMATION SERVICES	Common stock		220,827
	STRYKER CORP	Common stock		403,839

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	SYNOPSIS INC	Common stock		1,899,548
	SYNOVUS FINANCIAL CORP.	Common stock		671,571
	TD SYNEX CORP	Common stock		1,364,088
	TJX COMPANIES INC NEW	Common stock		331,490
	T-MOBILE US INC	Common stock		364,051
	TRI POINTE HOMES INC	Common stock		407,946
	TARGET CORP	Common stock		3,379,120
	TERADYNE INC	Common stock		2,688,161
	TEXAS INSTRUMENTS INC	Common stock		376,994
	THERMO FISHER SCIENTIFIC INC	Common stock		418,363
	3M CO	Common stock		979,012
	TRAVELERS COMPANIES INC	Common stock		4,134,515
	TREX CO INC	Common stock		546,231
	TRIMBLE INC	Common stock		274,068
	TYSON FOODS INC CL A	Common stock		3,080,422
	US BANCORP DEL	Common stock		2,086,216
	UNILEVER PLC SPONS ADR	Common stock		4,810,759
	UNION PACIFIC CORP	Common stock		2,796,427
	UNITED PARCEL SERVICE INC CL B	Common stock		351,629
	UNITED RENTALS INC	Common stock		229,038
	US FOODS HOLDING CORP	Common stock		217,148
	UNITED THERAPEUTICS CORP DEL	Common stock		318,662
	UNITEDHEALTH GROUP INC	Common stock		1,005,185
	UNIVERSAL DISPLAY CORP	Common stock		255,048
	VALERO ENERGY CORP	Common stock		3,513,334
	VENTAS INC REIT	Common stock		205,289
	VERISIGN INC	Common stock		2,640,624
	VERIZON COMMUNICATIONS INC	Common stock		559,223
	VERTEX PHARMACEUTICALS INC	Common stock		4,268,384
	VIATRIS INC	Common stock		1,480,106
	VONTIER CORP W/I	Common stock		1,617,813
	VULCAN MATERIALS CO	Common stock		2,924,646
	WALMART INC	Common stock		5,573,954
	WATSCO INC	Common stock		889,548
	WAYFAIR INC	Common stock		680,880
	WELLS FARGO & CO	Common stock		10,757,703
	WELLTOWER INC	Common stock		355,814
	WEST PHARMACEUTICAL SVCS INC	Common stock		2,836,143
	WESTERN DIGITAL CORP	Common stock		2,221,594
	WHIRLPOOL CORP	Common stock		937,892
	WILLIAMS COMPANIES INC	Common stock		213,391
	WILLIAMS-SONOMA INC	Common stock		1,106,365
	XCEL ENERGY INC	Common stock		2,199,551
	ZILLOW GROUP INC CL C	Common stock		345,875
	ZIMMER BIOMET HLDGS INC	Common stock		165,363
	ZOOM COMMUNICATIONS INC CL A	Common stock		1,599,126
	CRH PLC	Common stock		400,234
	AERCAP HOLDINGS NV	Common stock		8,177,644
	INVESCO LTD	Common stock		241,054
	CHUBB LTD	Common stock		2,070,292
	GARMIN LTD	Common stock		351,539
	ACCENTURE PLC CL A	Common stock		5,749,937
	NXP SEMICONDUCTORS NV	Common stock		4,165,164
	EATON CORP PLC	Common stock		4,073,743
	ICON PLC	Common stock		3,228,756
	GATES INDUSTRIAL CORP PLC	Common stock		1,841,482
	WILLIS TOWERS WATSON PLC	Common stock		2,389,908
	CNH INDUSTRIAL NV	Common stock		1,237,223
	STERIS PLC	Common stock		312,337
	AON PLC	Common stock		245,252
	ROYALTY PHARMA PLC	Common stock		1,429,680
	CANADIAN PACIFIC KANSAS CITY LTD	Common stock		6,548,358
	LINDE PLC	Common stock		1,162,766
	BROOKFIELD ASSET MANAGEMENT LTD A	Common stock		138,414
	SMURFIT WESTROCK PLC	Common stock		2,140,926
	JAMES HARDIE IND PLC	Common stock		1,932,157
	MEDTRONIC PLC	Common stock		7,549,451
	AMRIZE LTD	Common stock		5,743,783
	JANUS HENDERSON GROUP PLC	Common stock		179,529

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	BBH STIF FUND	Money Market		32,001,589
	CANADIAN DOLLAR	Currency		1,826
	FUTURES CASH COLLATERAL	General Investments - Other		1,951,427
	Total US Large Cap Value Separately Managed Account			759,312,843
	US SMID Cap Growth Separately Managed Account			
	AAON INC	Common stock		2,020,930
	ADMA BIOLOGICS INC	Common stock		2,987,311
	ACV AUCTIONS INC CL A	Common stock		22,167
	ANI PHARMACEUTICALS INC	Common stock		1,224,754
	AST SPACEMOBILE INC	Common stock		387,118
	AZZ INC	Common stock		81,993
	ABERCROMBIE & FITCH CO CL A	Common stock		1,399,926
	ABVAX SA	Common stock		714,057
	ACADIA PHARMACEUTICALS INC	Common stock		946,335
	ACCEL ENTMT INC	Common stock		68,563
	ACI WORLDWIDE INC	Common stock		149,311
	ADAPTIVE BIOTECHNOLOGIES CORP	Common stock		1,251,958
	ADDUS HOMECARE CORP	Common stock		1,710,401
	ADEIA INC	Common stock		68,483
	COVISTA INC	Common stock		1,044,219
	ADVANCED DRAINAGE SYSTEMS INC	Common stock		960,657
	ADVANCED ENERGY INDUSTRIES INC	Common stock		722,536
	AEROVIRONMENT INC	Common stock		148,279
	AFFIRM HOLDINGS INC	Common stock		787,916
	AGILYSYS INC	Common stock		757,130
	ALAMO GROUP INC	Common stock		73,695
	ALARM.COM HOLDINGS INC	Common stock		2,862,273
	ALASKA AIR GROUP INC	Common stock		99,141
	ALIGN TECHNOLOGY INC	Common stock		443,622
	ALIGNMENT HEALTHCARE INC	Common stock		509,017
	ALLISON TRANSMISSION HLDGS INC	Common stock		90,460
	ALLY FINANCIAL INC	Common stock		1,296,019
	ALPHA METALLURGICAL RESOURCES INC	Common stock		147,911
	ALPHATEC HOLDINGS INC	Common stock		70,715
	U-HAUL HOLDING CO	Common stock		67,633
	AMERICAN AIRLINES GROUP INC	Common stock		92,440
	AMERICAN PUBLIC EDUCATION INC	Common stock		65,583
	AMERICAN SUPERCONDUCTOR CORP	Common stock		575,830
	AMNEAL PHARMACEUTICALS INC	Common stock		81,887
	AMPRIUS TECHNOLOGIES INC	Common stock		172,097
	ANNEXON INC	Common stock		335,547
	APOLLO COML REAL ESTAT FIN INC	Common stock		53,763
	ASTRANA HEALTH INC	Common stock		91,326
	APOGEE THERAPEUTICS INC	Common stock		290,749
	APPIAN CORP CL A	Common stock		943,589
	APPFOLIO INC - A	Common stock		1,674,847
	APPLIED DIGITAL CORP	Common stock		229,826
	APPLIED OPTOELECTRONICS INC	Common stock		71,568
	APPLOVIN CORP	Common stock		1,689,941
	ARCELLX INC	Common stock		546,180
	ARCHER AVIATION INC A	Common stock		60,280
	ARCHROCK INC	Common stock		126,587
	ARCOSA INC	Common stock		116,527
	ARCUS BIOSCIENCES INC	Common stock		192,713
	ARCUTIS BIOTHERAPEUTICS INC	Common stock		383,444
	ARGAN INC	Common stock		2,409,744
	ARHAUS INC	Common stock		69,625
	ARMSTRONG WORLD INDUSTRIES INC	Common stock		817,335
	ARROWHEAD PHARMACEUTICALS INC	Common stock		686,406
	ARTERIS INC	Common stock		322,214
	ARTISAN PARTNERS ASSET MANAGEMENT INC A	Common stock		87,591
	ASCENDIS PHARMA AS	Common stock		577,667
	ASTERA LABS INC	Common stock		2,655,937
	ASTRONICS CORP	Common stock		138,963
	ATMUS FILTRATION TECHNOLOGIES INC	Common stock		1,950,207
	ATRICURE INC	Common stock		318,537

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	AVEANNA HEALTHCARE HOLDINGS INC	Common stock		680,030
	AXON ENTERPRISE INC	Common stock		1,177,319
	AXSOME THERAPEUTICS INC	Common stock		1,927,765
	AXOS FINANCIAL INC	Common stock		1,255,782
	BICARA THERAPEUTICS INC	Common stock		256,001
	BALDWIN INSURANCE GROUP INC/THE	Common stock		345,359
	BWX TECHNOLOGIES INC	Common stock		1,155,435
	BADGER METER INC	Common stock		53,893
	BALCHEM CORP	Common stock		2,032,480
	BANCFIRST CORP	Common stock		93,404
	BANCORP INC DEL	Common stock		109,923
	BANK HAWAII CORP	Common stock		120,468
	BANK OZK	Common stock		762,689
	BELDEN INC	Common stock		55,128
	BENTLEY SYS INC CL B	Common stock		65,529
	BETA BIONICS INC	Common stock		362,349
	BGC GROUP INC A	Common stock		1,309,174
	BIO TECHNE CORP	Common stock		1,703,020
	BIOAGE LABS INC	Common stock		124,865
	BLACKLINE INC	Common stock		77,627
	BLOCK H & R INC	Common stock		50,161
	BLOOM ENERGY CORP CL A	Common stock		1,392,847
	BLUE BIRD CORP	Common stock		817,659
	BOOT BARN HOLDINGS INC	Common stock		2,950,755
	BOX INC CL A	Common stock		114,824
	BRIDGEBIO PHARMA INC	Common stock		4,041,043
	BRIGHT HORIZONS FAMILY SOLUTIONS INC	Common stock		84,061
	BRIGHTSPRING HEALTH SERVICES INC	Common stock		189,872
	BRINKER INTERNATIONAL INC	Common stock		294,647
	BRINKS CO	Common stock		1,131,230
	BUCKLE INC (THE)	Common stock		71,262
	BUILD A BEAR WORKSHOP INC	Common stock		65,375
	BUTTERFLY NETWORK INC A	Common stock		142,527
	C3.AI INC	Common stock		57,694
	CBIZ INC	Common stock		1,353,674
	CBL & ASSOCIATES PROPERTIES INC	Common stock		89,022
	CCC INTELLIGENT SOLUTIONS HOLD CL A	Common stock		1,617,404
	CECO ENVIRONMENTAL CORP	Common stock		648,176
	CH ROBINSON WORLDWIDE INC	Common stock		824,860
	CSG SYSTEMS INTL INC	Common stock		95,249
	CSW INDUSTRIALS INC	Common stock		87,765
	CABOT CORP	Common stock		94,449
	CACTUS INC CL A	Common stock		73,362
	CAL-MAINE FOODS INC	Common stock		41,695
	CALIX NETWORKS INC	Common stock		317,686
	CARGURUS INC CL A	Common stock		69,950
	CARRIAGE SERVICES INC	Common stock		50,083
	CARPENTER TECHNOLOGY CORP	Common stock		1,037,083
	CARVANA CO CL A	Common stock		1,020,444
	CASELLA WASTE SYS INC CL A	Common stock		4,132,284
	CAVA GROUP INC	Common stock		86,920
	CAVCO INDUSTRIES INC	Common stock		121,692
	CELCCITY INC	Common stock		598,241
	CELSIUS HOLDINGS INC	Common stock		1,894,276
	CENTESSA PHARMACEUTICALS LTD ADR	Common stock		132,028
	CENTURY ALUMINUM COMPANY	Common stock		118,598
	CENTRUS ENERGY CORP CL A	Common stock		38,842
	CERENCE INC	Common stock		179,047
	CG ONCOLOGY INC	Common stock		566,208
	CERUS CORP	Common stock		101,797
	CHART INDUSTRIES INC	Common stock		261,087
	CHEESECAKE FACTORY INC	Common stock		79,001
	CHEFS WAREHOUSE INC	Common stock		1,046,084
	CHEMED CORP	Common stock		1,013,600
	CHEMOURS CO/ THE	Common stock		80,997
	CHESAPEAKE UTILITIES CORP	Common stock		71,612
	CHIME FINANCIAL INC	Common stock		887,243

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	NIAGEN BIOSCIENCE INC	Common stock		192,492
	CHURCHILL DOWNS INC	Common stock		163,274
	CIENA CORP	Common stock		665,594
	CINEMARK HOLDINGS INC	Common stock		72,834
	CITY HOLDING CO	Common stock		78,195
	CLEAR SECURE INC	Common stock		59,040
	CLEARWATER ANALYTICS HOLDINGS INC	Common stock		887,954
	COASTAL FINANCIAL CORP/WA	Common stock		785,629
	COCA COLA CONSOLIDATED INC	Common stock		1,157,722
	COEUR MINING INC	Common stock		98,636
	COGENT COMMUNICATIONS HOLDINGS INC	Common stock		19,749
	COGENT BIOSCIENCES INC	Common stock		2,027,979
	COLLEGIUM PHARMACEUTICAL INC	Common stock		264,188
	COMFORT SYSTEMS USA INC	Common stock		5,085,497
	VISTANCE NETWORKS INC	Common stock		68,695
	COMMUNITY FINANCIAL SYSTEM INC	Common stock		80,129
	COMMVault SYSTEMS INC	Common stock		134,511
	COMPASS INC	Common stock		91,029
	CONCENTRA GROUP HOLDINGS PARENT INC	Common stock		54,002
	CONFLUENT INC	Common stock		355,350
	CONSTRUCTION PARTNERS INC CL A	Common stock		1,587,652
	CORCEPT THERAPEUTICS INC	Common stock		289,118
	CORE SCIENTIFIC INC	Common stock		56,449
	CORE & MAIN INC	Common stock		148,322
	CORE NATURAL RESOURCES INC	Common stock		97,361
	QUIDELORTHO CORP	Common stock		84,709
	CORVEL CORP	Common stock		716,625
	COURSERA INC	Common stock		310,165
	TAT TECHNOLOGIES LTD	Common stock		594,067
	CREXENDO INC	Common stock		175,182
	CRINETICS PHARMACEUTICALS INC	Common stock		467,781
	ARTIVION INC	Common stock		897,514
	CURIOSITYSTREAM INC CL A	Common stock		123,823
	CYTOKINETICS INC	Common stock		730,075
	DXP ENTERPRISES INC	Common stock		115,719
	DARLING INGREDIENTS INC	Common stock		84,420
	DAVE INC	Common stock		1,838,146
	DAVITA INC	Common stock		173,142
	DAY ONE BIOPHARMACEUTICALS INC	Common stock		543,281
	DECKERS OUTDOOR CORP	Common stock		626,996
	DIAMONDROCK HOSPITALITY CO	Common stock		96,840
	DIANTHUS THERAPEUTICS INC	Common stock		350,161
	DESCARTES SYS GROUP INC	Common stock		1,532,034
	DIEBOLD NIXDORF INC	Common stock		62,459
	DIGITALOCEAN HOLDINGS INC	Common stock		871,116
	DISC MEDICINE INC	Common stock		252,127
	EVEREST GROUP LTD	Common stock		97,393
	DOLBY LABORATORIES INC CL A	Common stock		1,086,924
	DONNELLEY FINANCIAL SOLUTIONS INC	Common stock		49,165
	DORMAN PRODUCTS INC	Common stock		2,257,087
	DROPBOX INC CL A	Common stock		57,379
	DUOLINGO INC	Common stock		716,567
	DOXIMITY INC	Common stock		781,896
	DUTCH BROS INC	Common stock		851,264
	D-WAVE QUANTUM INC	Common stock		401,821
	DYCOM INDUSTRIES INC	Common stock		209,160
	DYNATRACE INC	Common stock		1,926,160
	DYNE THERAPEUTICS INC	Common stock		539,641
	ELF BEAUTY INC	Common stock		894,306
	SUNOPTA INC	Common stock		350,368
	TOWER SEMICONDUCTOR LTD	Common stock		579,585
	EMBRAER S A SPON ADR	Common stock		270,290
	EMCOR GROUP INC	Common stock		2,276,471
	ENERGIZER HLDGS INC	Common stock		38,766
	ENERSYS INC	Common stock		777,335
	ENERPAC TOOL GROUP CORP CL A	Common stock		42,982

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	ENPHASE ENERGY INC	Common stock		58,235
	ENOVA INTL INC	Common stock		118,686
	ENSIGN GROUP INC	Common stock		197,717
	EQUITABLE HOLDINGS INC	Common stock		504,280
	ESCO TECHNOLOGIES INC	Common stock		198,712
	ESQUIRE FINL HLDGS INC	Common stock		80,737
	ETSY INC	Common stock		75,121
	EUROPEAN WAX CENTER INC	Common stock		130,496
	EVERTEC INC	Common stock		68,158
	EXCELERATE ENERGY INC	Common stock		1,634,978
	F&G ANNUITIES & LIFE INC	Common stock		79,346
	EXLSERVICE HOLDINGS INC	Common stock		2,768,064
	EXPEDITORS INTL OF WASH INC	Common stock		162,272
	EXPONENT INC	Common stock		66,473
	EXTREME NETWORKS INC	Common stock		684,365
	FAIR ISAAC CORP	Common stock		1,283,181
	FASTLY INC CL A	Common stock		762,431
	FEDERAL AGRIMTG NON VTG CL C	Common stock		66,892
	FEDERAL SIGNAL CORP	Common stock		2,352,820
	FIRST BANCORP PUERTO RICO	Common stock		64,263
	FIRST FINL BANKSHARES INC	Common stock		89,879
	FIRSTCASH HOLDINGS INC	Common stock		176,593
	FIVE BELOW INC	Common stock		2,442,276
	FIVE9 INC	Common stock		84,591
	FLOOR & DECOR HOLDINGS INC	Common stock		436,460
	FLOTEK INDUSTRIES INC	Common stock		162,841
	FLUENCE ENERGY INC	Common stock		81,771
	FORMFACTOR INC	Common stock		85,845
	FORTREA HOLDINGS INC	Common stock		166,618
	FRANKLIN ELECTRIC CO. INC.	Common stock		111,770
	FRESHWORKS INC CL A	Common stock		1,539,396
	FRONTDOOR INC	Common stock		1,690,894
	GEO GROUP INC	Common stock		99,041
	GERON CORP	Common stock		165,821
	GITLAB INC CL A	Common stock		64,702
	GLACIER BANCORP INC	Common stock		100,346
	GLAUKOS CORP	Common stock		755,932
	GODADDY INC CL A	Common stock		1,384,112
	GOOSEHEAD INSURANCE	Common stock		41,023
	GORMAN-RUPP CO	Common stock		69,190
	GRAND CANYON EDUCATION INC	Common stock		1,306,698
	GRANITE CONSTRUCTION INC	Common stock		546,874
	GREEN BRICK PARTNERS INC	Common stock		76,508
	GRID DYNAMICS HOLDINGS INC	Common stock		759,983
	AMERICAN HEALTHCARE REIT INC	Common stock		1,723,573
	GRIFFON CORP	Common stock		87,054
	GROUP 1 AUTOMOTIVE INC	Common stock		72,367
	GUARDANT HEALTH INC	Common stock		2,612,537
	GUIDEWIRE SOFTWARE INC	Common stock		2,383,577
	GULFPORT ENERGY CORP	Common stock		80,492
	HF SINCLAIR CORP	Common stock		1,302,313
	HCI GROUP INC	Common stock		114,439
	HNI CORP	Common stock		48,514
	HAEMONETICS CORP MASS	Common stock		111,970
	HALOZYME THERAPEUTICS INC	Common stock		266,172
	HAMILTON LANE INC - A	Common stock		64,872
	HARMONY BIOSCIENCES HOLDINGS INC	Common stock		665,515
	HEALTHCARE SERVICES GROUP INC	Common stock		74,778
	HEALTH EQUITY INC	Common stock		3,317,198
	HENRY (JACK) & ASSOCIATES INC	Common stock		1,341,775
	HERC HOLDINGS INC	Common stock		704,211
	HILTON GRAND VACATIONS INC	Common stock		108,743
	HIMS & HERS HEALTH INC	Common stock		122,866
	HOULIHAN LOKEY	Common stock		79,779
	HOVNANIAN ENTERPRISES INC CL A	Common stock		131,874
	HURON CONSULTING GROUP INC	Common stock		98,732

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	IES HOLDINGS INC	Common stock		311,994
	IRHYTHM TECHNOLOGIES INC	Common stock		747,200
	MPINJ INC	Common stock		141,122
	INGEVITY CORP	Common stock		75,691
	Innodata Inc	Common stock		252,814
	INSIGHT ENTERPRISES INC	Common stock		32,832
	NSMED INC	Common stock		2,532,978
	INSPIRE MEDICAL SYSTEMS INC	Common stock		319,116
	INSPERITY INC	Common stock		41,934
	INSTALLED BUILDING PRODUCTS INC	Common stock		164,972
	INNOVVA INC	Common stock		99,830
	INTEGER HOLDINGS CORP	Common stock		122,116
	INTERPARFUMS INC	Common stock		35,629
	INTERDIGITAL INC	Common stock		276,354
	INTERNATIONAL MONEY EXPRESS INC	Common stock		40,581
	IONIS PHARMACEUTICALS INC	Common stock		1,089,266
	IONQ INC	Common stock		774,232
	B VERTICALS INC CL A	Common stock		933,441
	ITRON INC	Common stock		56,459
	J&J SNACKS FOOD CORP	Common stock		41,661
	JABIL INC	Common stock		345,678
	JANUX THERAPEUTICS INC	Common stock		153,014
	JANUS INTERNATIONAL GROUP INC	Common stock		43,825
	JEFFERIES FINANCIAL GROUP INC	Common stock		267,091
	JBT MAREL CORP	Common stock		102,004
	JONES LANG LASALLE INC	Common stock		1,686,724
	KADANT INC	Common stock		63,274
	KAISER ALUM CORP	Common stock		117,846
	KALTURA INC	Common stock		134,324
	KARAT PACKAGING INC	Common stock		91,296
	KARMAN HOLDINGS INC	Common stock		1,142,403
	KFORCE INC	Common stock		33,363
	KINSALE CAPITAL GROUP INC	Common stock		169,355
	KNIFE RIVER HOLDING CO W/I	Common stock		81,043
	KONTOOR BRANDS INC	Common stock		86,626
	KORN FERRY	Common stock		51,232
	KRATOS DEFENSE & SEC SOLTN INC	Common stock		236,687
	KRYSTAL BIOTECH INC	Common stock		267,742
	KULICKE & SOFFA INDUSTRIES INC	Common stock		86,837
	KYMERA THERAPEUTICS INC	Common stock		261,753
	LAKELAND FINANCIAL CORP	Common stock		77,716
	LAMAR ADVERTISING CO CL A	Common stock		228,477
	MARZETTI COMPANY/THE	Common stock		241,369
	LANTHEUS HLDGS INC	Common stock		102,753
	LATTICE SEMICONDUCTOR CORP	Common stock		116,624
	LAUREATE EDUCATION INC	Common stock		1,863,399
	LAZARD INC	Common stock		1,729,416
	LEGALZOOM.COM INC	Common stock		414,101
	LEGENCE CORP A	Common stock		955,832
	LEMAITRE VASCULAR INC	Common stock		2,447,517
	LEMONADE INC	Common stock		96,307
	LEONARDO DRS INC	Common stock		826,648
	LEVI STRAUSS & COMPANY CL A	Common stock		149,017
	LIBERTY BROADBAND CORP C	Common stock		295,682
	LIFE TIME GROUP HOLDINGS INC	Common stock		965,359
	LIGAND PHARMACEUTICALS	Common stock		3,015,288
	LINDBLAD EXPEDITIONS HOLDINGS	Common stock		689,867
	LIQUIDITY SERVICES INC	Common stock		83,656
	LITHIA MOTORS INC	Common stock		63,807
	LITTELFUSE INC	Common stock		1,650,050
	LIVE OAK BANCSHARES INC	Common stock		71,173
	LOAR HOLDINGS INC	Common stock		56,372
	LUMEN TECHNOLOGIES INC	Common stock		113,054
	LUMENTUM HOLDINGS INC	Common stock		2,798,335
	LYFT INC	Common stock		539,842
	MYR GROUP INC/DELAWARE	Common stock		935,617

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	MACOM TECHNOLOGY SOLUTIONS HOLDINGS INC	Common stock		1,521,309
	MADRIGAL PHARMACEUTICALS INC	Common stock		2,281,608
	MAGNERA CORP	Common stock		270,219
	MAGNITE INC	Common stock		911,493
	MAGNOLIA OIL & GAS CORPO CL A	Common stock		103,999
	MAMA'S CREATIONS INC	Common stock		743,218
	MANHATTAN ASSOCIATES INC	Common stock		685,788
	MANNKIND CORP	Common stock		340,200
	MASIMO CORP	Common stock		72,573
	MASTEC INC	Common stock		1,981,328
	MATSON INC	Common stock		810,117
	MAXLINEAR INC	Common stock		349,332
	MAXIMUS INC	Common stock		142,255
	MAZE THERAPEUTICS INC	Common stock		121,224
	MCGRATH RENTCORP	Common stock		68,729
	MEDPACE HOLDINGS INC	Common stock		5,397,457
	MERCURY SYSTEMS INC	Common stock		644,021
	MERIT MEDICAL SYSTEMS INC	Common stock		1,198,175
	MESA LABORATORIES INC	Common stock		738,607
	PATHWARD FINANCIAL INC	Common stock		48,706
	MIAMI INTERNATIONAL HOLDINGS INC	Common stock		282,967
	MINERALYS THERAPEUTICS INC	Common stock		596,317
	MIRION TECHNOLOGIES INC-A	Common stock		74,733
	MIRUM PHARMACEUTICALS INC	Common stock		1,972,696
	MODINE MANUFACTURING CO	Common stock		136,848
	MOELIS & CO CL A	Common stock		95,755
	MONARCH CASINO & RESORT INC	Common stock		61,822
	MONGODB INC CL A	Common stock		459,141
	MONOLITHIC POWER SYS INC	Common stock		1,421,172
	ONTERRIS INC	Common stock		1,585,197
	MOOG INC CL A	Common stock		872,883
	MOTORCAR PARTS OF AMERICA INC	Common stock		143,601
	MUELLER INDUSTRIES INC	Common stock		264,040
	MUELLER WATER PRODUCTS INC A	Common stock		155,259
	MURPHY USA INC	Common stock		164,636
	NCR ATLEOS CORP	Common stock		71,761
	NATERA INC	Common stock		986,920
	NATIONAL BEVERAGE CORPORATION	Common stock		455,198
	NATURES SUNSHINE PRODUCTS INC	Common stock		343,813
	NCINO INC	Common stock		1,294,743
	NEKTAR THERAPEUTICS	Common stock		338,282
	NERDWALLET INC A	Common stock		74,335
	NEUROCRINE BIOSCIENCES INC	Common stock		874,949
	NEUROPACE INC	Common stock		416,509
	ATLAS ENERGY SOLUTIONS INC	Common stock		27,855
	NPK INTERNATIONAL INC	Common stock		1,038,566
	NEXTPOWER INC	Common stock		2,219,911
	NICE LTD SPON ADR	Common stock		685,362
	NLIGHT INC	Common stock		496,895
	NOVANTA INC	Common stock		883,263
	NUTANIX INC CL A	Common stock		154,295
	NUVALENT INC-A	Common stock		770,117
	NUSCALE POWER CORP	Common stock		41,221
	NUTEX HEALTH INC	Common stock		96,303
	OSI SYSTEMS INC	Common stock		78,303
	OCEANEERING INTERNATIONAL INC	Common stock		1,738,258
	OLD NATIONAL BANCORP (IND)	Common stock		82,904
	OLLIES BARGAIN OUTLET HOLDINGS INC	Common stock		930,370
	ONTO INNOVATION INC	Common stock		94,558
	OPTION CARE HEALTH INC	Common stock		1,599,850
	ORIC PHARMACEUTICALS INC	Common stock		217,408
	OSCAR HEALTH INC - CLASS A	Common stock		98,837
	OUSTER INC	Common stock		452,254
	OUTFRONT MEDIA INC	Common stock		93,195
	PDF SOLUTIONS INC	Common stock		1,301,967
	PJT PARTNERS INC	Common stock		85,272

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	PTC THERAPEUTICS INC	Common stock		885,314
	PACS GROUP INC	Common stock		374,111
	PALOMAR HLDGS INC	Common stock		501,038
	PATRICK INDUSTRIES INC	Common stock		119,056
	PAYLOCITY HOLDING CORP	Common stock		2,936,235
	PAYMENTUS HOLDINGS INC CL A	Common stock		139,249
	PAYONEER GLOBAL INC	Common stock		30,623
	PEGASYSTEMS INC	Common stock		5,466,559
	PELTON INTERACTIVE INC CL A	Common stock		282,300
	PENNANT GROUP INC	Common stock		1,552,444
	PENUMBRA INC	Common stock		1,188,920
	PERFORMANCE FOOD GROUP CO	Common stock		97,563
	PERMAN RESOURCES CORP CL A	Common stock		1,202,610
	PHIBRO ANIMAL HEALTH CORP CL A	Common stock		77,148
	PHREESIA INC	Common stock		65,903
	PINNACLE FINL PARTNERS INC	Common stock		160,289
	PIPER SANDLER COS	Common stock		148,793
	PLANET FITNESS INC CL A	Common stock		171,057
	PLANET LABS PBC A	Common stock		1,561,469
	PLEXUS CORP	Common stock		62,916
	POOL CORP	Common stock		551,516
	POPULAR INC	Common stock		76,953
	POWELL INDUSTRIES INC	Common stock		108,066
	POWER INTEGRATIONS INC	Common stock		84,834
	PRAXIS PRECISION MEDICINES INC	Common stock		1,210,497
	PRESTIGE CONSUMER HEALTHCARE INC	Common stock		44,910
	PRICESMART INC	Common stock		88,813
	PRIMORIS SVCS CORP	Common stock		1,530,026
	PROCORE TECHNOLOGIES INC	Common stock		181,923
	PROCEPT BIOROBOTICS CORP	Common stock		82,708
	PRVIA HEALTH GROUP INC	Common stock		78,053
	PROGYNY INC	Common stock		874,738
	EVERPURE INC-A	Common stock		2,505,169
	Q2 HOLDINGS INC	Common stock		1,418,377
	QUALYS INC	Common stock		76,550
	QUANTUM COMPUTING INC	Common stock		276,640
	REV GROUP INC	Common stock		1,287,044
	RADNET INC	Common stock		69,352
	RAMBUS INC	Common stock		1,719,721
	RALPH LAUREN CORP	Common stock		512,027
	RBC BEARINGS INC	Common stock		502,242
	RECURSION PHARMACEUTICALS INC	Common stock		76,904
	RED VIOLET INC	Common stock		615,743
	REMITLY GLOBAL INC	Common stock		1,053,437
	REPLIGEN	Common stock		1,712,829
	UPBOUND GROUP INC	Common stock		45,445
	REVOLUTION MEDICINES INC	Common stock		733,736
	REVOLVE GROUP INC	Common stock		1,229,276
	RHYTHM PHARMACEUTICALS INC	Common stock		1,866,242
	RIGETTI COMPUTING INC A	Common stock		666,671
	ROCKET LAB CORP	Common stock		1,393,247
	ROKU INC CLASS A	Common stock		1,018,613
	RUBRIK INC	Common stock		1,592,314
	RUSH ENTERPRISES INC CL A	Common stock		125,087
	RUSH STREET INTERACTIVE INC	Common stock		2,145,402
	RXSIGHT INC	Common stock		337,941
	RYAN SPECIALTY HOLDINGS INC	Common stock		101,298
	RYMAN HOSPITALITY PPTYS INC	Common stock		119,978
	SPS COMMERCE INC	Common stock		1,126,158
	SPX TECHNOLOGIES INC	Common stock		167,650
	SAIA INC	Common stock		1,597,989
	SAMSARA INC	Common stock		304,055
	SANFILIPPO (JOHN B) & SON INC.	Common stock		45,749
	SANMINA CORP	Common stock		69,933
	SAREPTA THERAPEUTICS INC	Common stock		279,803
	SLB LTD	Common stock		149,145

APPLE 401(k) PLAN

SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	MATIV INC	Common stock		56,218
	LIGHT & WONDER INC CL A	Common stock		213,602
	UNITED PARKS & RESORTS INC	Common stock		56,447
	SELECTIVE INSURANCE GROUP INC	Common stock		557,995
	GENEDX HOLDINGS CORP-A	Common stock		1,164,687
	SEMTECH CORP	Common stock		477,290
	SENTINELONE INC	Common stock		473,325
	SERVISFIRST BANCSHARES INC	Common stock		143,006
	SHAKE SHACK INC - CLASS A	Common stock		65,261
	SHIFT4 PAYMENTS INC	Common stock		81,861
	SI-BONE INC	Common stock		740,131
	SILICON LABORATORIES INC	Common stock		68,487
	SIMPLY GOOD FOODS CO	Common stock		59,417
	SIMPSON MANUFACTURING CO	Common stock		1,492,467
	SIONNA THERAPEUTICS INC	Common stock		120,993
	SITEONE LANDSCAPE SUPPLY INC	Common stock		794,070
	SITIME CORP	Common stock		1,630,678
	SIX FLAGS ENTERTAINMENT CORP	Common stock		33,395
	CHAMPION HOMES INC	Common stock		1,101,542
	SKYWARD SPECIALTY INSURANCE GROUP INC	Common stock		406,069
	SMITHFIELD FOODS INC	Common stock		264,231
	SNAP INC - A	Common stock		195,657
	SOFI TECHNOLOGIES INC A	Common stock		225,829
	SOLARIS ENERGY INFRASTRUCTURE INC CL A	Common stock		1,298,928
	SOUNDHOUND AI INC	Common stock		49,092
	SPROUTS FMRS MKT INC	Common stock		199,255
	STANDEX INTL CORP	Common stock		72,789
	STEPSTONE GROUP INC CLASS A	Common stock		85,410
	STERLING INFRASTRUCTURE INC	Common stock		1,186,947
	STIFEL FINANCIAL CORP	Common stock		919,866
	STOCK YARDS BANCORP INC	Common stock		78,135
	STONEX GROUP INC	Common stock		63,547
	STRIDE INC	Common stock		263,551
	STRUCTURE THERAPEUTICS INC ADR	Common stock		183,473
	SUN COUNTRY AIRLINES HOLDINGS INC	Common stock		123,049
	SWEETGREEN INC CL A	Common stock		14,379
	SYNDAX PHARMACEUTICALS INC	Common stock		1,041,907
	TPG INC	Common stock		86,759
	TANGER INC- REIT	Common stock		79,387
	TAPESTRY INC	Common stock		1,213,048
	TARSUS PHARMACEUTICALS INC	Common stock		1,326,620
	TEMPUS AI INC	Common stock		89,697
	SOMNIGROUP INTERNATIONAL INC	Common stock		1,356,877
	10X GENOMICS INC	Common stock		129,094
	TERADATA CORP	Common stock		87,454
	TERNS PHARMACEUTICALS INC	Common stock		928,594
	TETRA TECH INC	Common stock		226,865
	TEXAS CAPITAL BANCSHARES INC	Common stock		391,767
	TEXAS ROADHOUSE INC	Common stock		1,896,716
	TG THERAPEUTICS INC	Common stock		598,883
	TIDEWATER INC	Common stock		64,956
	TIPTREE INC	Common stock		71,472
	TOPBUILD CORP	Common stock		2,257,832
	TRADEWEB MARKETS INC A	Common stock		707,828
	TRANSMEDICS GROUP INC	Common stock		405,581
	TRAVEL+LEISURE CO	Common stock		64,817
	TRAVERE THERAPEUTICS INC	Common stock		1,025,251
	TRIMBLE INC	Common stock		1,638,220
	TRINET GROUP INC	Common stock		49,314
	TRINITY INDUSTRIES INC	Common stock		48,121
	TRIUMPH FINANCIAL INC	Common stock		1,032,957
	TRIPADVISOR INC	Common stock		78,376
	TURNING POINT BRANDS INC	Common stock		370,403
	TUTOR PERINI CORP	Common stock		258,161
	TWO HBRS INVT CORP	Common stock		85,428
	TYLER TECHNOLOGIES INC	Common stock		750,379

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	UFP TECHNOLOGIES INC	Common stock		78,599
	UDEMY INC	Common stock		115,239
	UMB FINANCIAL CORP	Common stock		83,174
	US PHYSICAL THERAPY INC	Common stock		86,289
	UL SOLUTIONS INC CL A	Common stock		1,913,853
	ULTRAGENYX PHARMA INC	Common stock		406,364
	UNITED STATES LIME & MIN INC	Common stock		912,898
	UNITY SOFTWARE INC	Common stock		853,541
	UNIVERSAL TECH INST INC	Common stock		74,235
	UPSTART HOLDINGS INC	Common stock		73,947
	UPWORK INC	Common stock		86,494
	URANIUM ENERGY CORP	Common stock		117,442
	URBAN OUTFITTERS	Common stock		1,075,917
	VAIL RESORTS INC	Common stock		92,163
	VALLEY NATL BANCORP	Common stock		126,705
	VALVOLINE INC	Common stock		82,095
	VARONIS SYSTEMS INC	Common stock		39,327
	VERACYTE INC	Common stock		856,777
	VERA THERAPEUTICS INC	Common stock		595,628
	VERICEL CORP	Common stock		1,398,448
	VERRA MOBILITY CORP	Common stock		743,250
	VIAVI SOLUTIONS INC	Common stock		84,520
	VICTORIA'S SECRET & CO	Common stock		168,415
	VIRIDIAN THERAPEUTICS INC	Common stock		1,076,223
	VIRTUS INVESTMENT PARTNERS	Common stock		756,363
	VITA COCO CO INC/THE	Common stock		2,189,207
	VITAL FARMS INC	Common stock		788,886
	WD 40 CO	Common stock		300,666
	WARBY PARKER INC	Common stock		963,859
	WATERBRIDGE INFRASTRUCTURE LLC	Common stock		551,816
	WATTS WATER TECH INC CL A	Common stock		865,323
	WAYFAIR INC	Common stock		471,626
	WAYSTAR HOLDING CORP	Common stock		70,871
	WENDYS CO	Common stock		38,318
	WEST PHARMACEUTICAL SVCS INC	Common stock		824,044
	WESTERN ALLIANCE BANCORP	Common stock		122,658
	WILEY (JOHN) & SONS INC CL A	Common stock		39,911
	WILLDAN GROUP	Common stock		1,698,573
	WILLSCOT HOLDINGS CORP	Common stock		541,193
	WINGSTOP INC	Common stock		1,305,971
	WISDOMTREE INC	Common stock		73,152
	WORKIVA INC	Common stock		2,207,138
	WYNDHAM HOTELS & RESORTS INC	Common stock		48,358
	XPO INC	Common stock		586,316
	XENON PHARMACEUTICALS INC	Common stock		324,721
	XERIS BIOPHARMA HOLDINGS INC	Common stock		227,532
	XOMETRY INC	Common stock		292,057
	YELP INC	Common stock		61,692
	ZEVEA PBC	Common stock		115,415
	ZETA GLOBAL HOLDINGS CORP	Common stock		1,230,361
	ZURN ELKAY WATER SOLUTIONS CORP	Common stock		119,154
	ZYMEWORKS DELAWARE INC	Common stock		649,508
	HERBALIFE LTD	Common stock		76,231
	GENPACT LTD	Common stock		162,420
	FABRINET	Common stock		503,084
	JAMES HARDIE INDUSTRIES PLC CDI	Common stock		88,242
	AMBARELLA INC	Common stock		820,398
	ICON PLC	Common stock		921,304
	NORWEGIAN CRUISE LINE HLDGS LTD	Common stock		216,973
	CRISPR THERAPEUTICS AG	Common stock		152,600
	TECHNIPFMC PLC	Common stock		1,449,091
	PAGSEGURO DIGITAL LTD CL A	Common stock		387,162
	ELASTIC NV	Common stock		986,152
	STONECO LTD CL A	Common stock		109,180
	TECNOGLASS INC	Common stock		32,557

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	FIRSTSERVICE CORP	Common stock		1,594,027
	ONESPACOWORLD HOLDINGS LTD	Common stock		953,086
	CONSTELLUM SE	Common stock		611,211
	CREDO TECHNOLOGY GROUP HOLDING LTD	Common stock		3,056,943
	FTAI AVIATION LTD	Common stock		2,410,428
	DOLE PLC	Common stock		309,678
	JOBV AVIATION INC	Common stock		129,505
	CELESTICA INC	Common stock		573,779
	SUPER GROUP SGHC LTD	Common stock		1,737,936
	BIT DIGITAL INC	Common stock		167,475
	BURFORD CAPITAL LTD	Common stock		63,903
	MONDAY.COM LTD	Common stock		686,449
	JFROG LTD	Common stock		1,336,207
	GLOBAL-E ONLINE LTD	Common stock		1,256,269
	STEVANATO GROUP S.P.A.	Common stock		1,527,832
	SEADRILL LTD	Common stock		65,463
	BITDEER TECHNOLOGIES GROUP	Common stock		390,814
	INDIVIOR PLC	Common stock		85,861
	GLOBANT SA	Common stock		359,404
	NEWAMSTERDAM PHARMA COMPANY NV	Common stock		332,839
	BIOHAVEN LTD	Common stock		118,997
	CYBER-ARK SOFTWARE LTD	Common stock		285,924
	MAREX GROUP PLC	Common stock		1,160,160
	SHARKNINJA INC	Common stock		1,413,633
	BIRKENSTOCK HOLDING LTD	Common stock		771,456
	NOVOCURE LTD	Common stock		519,127
	UROGEN PHARMA LTD	Common stock		196,869
	BLUEPRINT MEDICINES CORP CVR	Common stock		27,743
	ABIOMED INC	Common stock		28,547
	BBH STIF FUND	Money Market		13,037,436
	FUTURES CASH COLLATERAL	General Investments - Other		1,020,026
	Total US SMD Cap Growth Separately Managed Account			395,271,631
	US SMD Cap Value Separately Managed Account			
	AGCO CORP	Common stock		44,232
	AGNC INVESTMENT CORP	Common stock		102,151
	AES CORP	Common stock		496,623
	ANGI INC	Common stock		208,962
	API GROUP CORP	Common stock		1,862,459
	AST SPACE MOBILE INC	Common stock		37,477
	ABERCROMBIE & FITCH CO CL A	Common stock		112,276
	ACADEMY SPORTS & OUTDOORS INC	Common stock		1,262,589
	ACADIA PHARMACEUTICALS INC	Common stock		238,841
	ACUITY INC	Common stock		80,289
	ADAPTIVE BIOTECHNOLOGIES CORP	Common stock		69,929
	COVISTA INC	Common stock		320,240
	ADVANCE AUTO PARTS INC	Common stock		1,170,315
	AECOM	Common stock		122,022
	ADVANCED DRAINAGE SYSTEMS INC	Common stock		49,677
	AFFILIATED MANAGERS GRP INC	Common stock		108,970
	AFFIRM HOLDINGS INC	Common stock		152,879
	AGIOS PHARMACEUTICALS INC	Common stock		154,855
	AGREE REALTY CORP	Common stock		61,226
	AIR LEASE CORP CL A	Common stock		53,825
	ALARM.COM HOLDINGS INC	Common stock		481,782
	ALBEMARLE CORP	Common stock		533,087
	ALBERTSONS COS INC CL A	Common stock		78,862
	ALCOA CORP	Common stock		120,840
	ATI INC	Common stock		309,737
	ALLISON TRANSMISSION HLDGS INC	Common stock		60,111
	ALLY FINANCIAL INC	Common stock		1,042,304
	OKLO INC	Common stock		89,844
	AMERICAN AIRLINES GROUP INC	Common stock		115,466
	AMENTUM HOLDINGS INC	Common stock		828,182
	AMERICAN EAGLE OUTFITTERS INC	Common stock		150,177
	AMERICAN FINL GROUP INC OHIO	Common stock		108,387
	AMERICAN HOMES 4 RENT	Common stock		89,816

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	AMERICAN SUPERCONDUCTOR CORP	Common stock		79,749
	AMERISAFE INC	Common stock		651,856
	AMERIS BANCORP	Common stock		65,729
	ANDERSEN GROUP INC A	Common stock		724,406
	ANNALY CAPITAL MGMT INC REIT	Common stock		131,499
	ANTERO RES CORP	Common stock		429,509
	ANTERO MIDSTREAM CORP	Common stock		29,852
	APA CORP	Common stock		110,755
	APOGEE ENTERPRISES INC	Common stock		578,992
	APPLIED INDUSTRIAL TECH INC	Common stock		437,536
	ARAMARK	Common stock		559,166
	ARCOSA INC	Common stock		508,635
	ARMSTRONG WORLD INDUSTRIES INC	Common stock		1,158,257
	ARRAY TECHNOLOGIES INC	Common stock		73,585
	ARROW ELECTRONICS INC	Common stock		50,022
	ASSURANT INC	Common stock		131,263
	ATLANTA BRAVES HOLDINGS INC	Common stock		471,625
	ATLANTIC UN BANKSHARES CORP	Common stock		47,337
	ATMUS FILTRATION TECHNOLOGIES INC	Common stock		2,246,301
	AURORA INNOVATION INC	Common stock		41,622
	AUTONATION INC	Common stock		90,025
	AVANTOR INC	Common stock		37,234
	AVEANNA HEALTHCARE HOLDINGS INC	Common stock		379,962
	AVERY DENNISON CORP	Common stock		98,215
	AVIENT CORP	Common stock		879,187
	AVISTA CORP	Common stock		362,584
	AXSOME THERAPEUTICS INC	Common stock		376,056
	AXOS FINANCIAL INC	Common stock		890,377
	BJS WHSL CLUB HLDGS INC	Common stock		103,715
	BWX TECHNOLOGIES INC	Common stock		849,854
	BALCHEM CORP	Common stock		1,016,623
	BALL CORP	Common stock		1,956,712
	BANK OZK	Common stock		243,078
	BANKUNITED INC	Common stock		641,407
	BARRETT BUSINES SERVICES	Common stock		1,089,233
	BATH & BODY WORKS INC	Common stock		425,716
	BELLRING BRANDS INC	Common stock		371,173
	BGC GROUP INC A	Common stock		195,103
	BIO RAD LABS CL A	Common stock		45,146
	BIO TECHNE CORP	Common stock		64,867
	BIOAGE LABS INC	Common stock		152,952
	BLUE BIRD CORP	Common stock		163,325
	BORGWARNER INC	Common stock		1,410,378
	BOSTON BEER COMPANY CL A	Common stock		79,808
	BXP INC	Common stock		82,528
	BRIDGEBIO PHARMA INC	Common stock		90,105
	BRIDGEWATER BANCSHARES INC	Common stock		300,289
	BRIGHT HORIZONS FAMILY SOLUTIONS INC	Common stock		256,035
	BRISTOW GROUP INC	Common stock		292,191
	BRIXMOR PPTY GROUP INC	Common stock		63,295
	BRUNSWICK CORP	Common stock		2,068,252
	BUCKLE INC (THE)	Common stock		37,661
	CBIZ INC	Common stock		822,335
	CCC INTELLIGENT SOLUTIONS HOLD CL A	Common stock		657,362
	CH ROBINSON WORLDWIDE INC	Common stock		147,738
	CACI INTERNATIONAL INC	Common stock		125,743
	CACTUS INC CL A	Common stock		342,509
	CADENCE BANK	Common stock		92,492
	CALAVO GROWERS INC	Common stock		550,297
	CAMDEN PROPERTY TRUST - REIT	Common stock		581,993
	CARETRUST REIT INC	Common stock		81,505
	CARLYLE GROUP INC (THE)	Common stock		177,744
	CASEY GENERAL STORES	Common stock		360,920
	CAVCO INDUSTRIES INC	Common stock		176,041
	CELANESE CORP	Common stock		297,651
	CENTURI HOLDINGS INC	Common stock		206,899

APPLE 401(k) PLAN

SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	DAYFORCE INC	Common stock		71,027
	CHARLES RIVER LABS INTL INC	Common stock		109,116
	CHEMED CORP	Common stock		40,219
	CIDARA THERAPEUTICS INC	Common stock		126,349
	CIENA CORP	Common stock		254,451
	CIRRUS LOGIC INC	Common stock		120,752
	CLEAN HARBORS INC	Common stock		86,523
	CLEAN ENERGY FUELS CORP	Common stock		29,822
	CLEARFIELD INC	Common stock		248,941
	CLEVELAND-CLIFFS INC	Common stock		423,273
	COCA COLA CONSOLIDATED INC	Common stock		822,761
	COEUR MINING INC	Common stock		95,391
	COGNEX CORP	Common stock		39,038
	COHERENT CORP	Common stock		236,434
	ENOVIS CORP	Common stock		200,253
	COLUMBIA BANKING SYSTEMS INC	Common stock		71,692
	COMERICA INC	Common stock		128,483
	COMMERCE BANCSHARES INC	Common stock		78,091
	GPGI INC	Common stock		499,718
	CONCENTRA GROUP HOLDINGS PARENT INC	Common stock		300,632
	CORE LABORATORIES INC	Common stock		205,665
	COREBRIDGE FINANCIAL INC	Common stock		819,689
	CORE & MAIN INC	Common stock		31,962
	COURSERA INC	Common stock		148,885
	COVENANT LOGISTICS GROUP INC	Common stock		299,083
	CRANE CO	Common stock		693,088
	CRANE NXT CO	Common stock		710,804
	CRAWFORD & CO CL A	Common stock		58,804
	CREDIT ACCEPTANCE CORP	Common stock		36,807
	AMDOCS LTD	Common stock		64,408
	CRICUT INC	Common stock		82,625
	CROWN HOLDINGS INC	Common stock		779,586
	CUBESMART	Common stock		1,108,033
	CULLEN FROST BANKERS INC	Common stock		85,349
	CURTISS WRIGHT CORPORATION	Common stock		892,506
	DT MIDSTREAM INC	Common stock		547,416
	WHITE MOUNTAINS INS GROUP LTD	Common stock		70,653
	FLEX LTD	Common stock		284,699
	DARLING INGREDIENTS INC	Common stock		91,980
	DAY ONE BIOPHARMACEUTICALS INC	Common stock		144,469
	MILLICOM INTL CELL SA	Common stock		50,395
	DECKERS OUTDOOR CORP	Common stock		820,859
	DELUXE CORP	Common stock		875,448
	KIMBERLY-CLARK DE MEXICO CL A	Common stock		447,204
	DIAMONDROCK HOSPITALITY CO	Common stock		288,602
	DICKS SPORTING GOODS INC	Common stock		97,401
	DIEBOLD NIXDORF INC	Common stock		141,075
	DIGITALBRIDGE GROUP INC	Common stock		53,521
	EVEREST GROUP LTD	Common stock		959,003
	DOLBY LABORATORIES INC CL A	Common stock		27,679
	DONALDSON CO INC	Common stock		73,322
	DONNELLEY FINANCIAL SOLUTIONS INC	Common stock		701,144
	DOUGLAS DYNAMICS INC	Common stock		394,641
	DROPBOX INC CL A	Common stock		36,946
	DUOLINGO INC	Common stock		61,250
	DUPONT DE NEMOURS INC	Common stock		443,607
	DOXIMITY INC	Common stock		144,176
	AXIS CAPITAL HOLDINGS LTD	Common stock		297,924
	ENTERTAINMENT PPTYS TR	Common stock		364,370
	RENAISSANCERE HLDGS LTD	Common stock		705,149
	EAST WEST BANCORP INC	Common stock		154,199
	EASTGROUP PPTYS INC	Common stock		70,365
	ECHOSTAR CORP CL A	Common stock		349,471
	ELANCO ANIMAL HEALTH INC	Common stock		129,285
	ELEMENT SOLUTIONS INC	Common stock		736,705
	EMBECTA CORP	Common stock		201,212

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	EMCOR GROUP INC	Common stock		1,594,937
	EMERGENT BIOSOL	Common stock		86,619
	ENACT HOLDINGS INC	Common stock		50,541
	ENCOMPASS HEALTH CORP	Common stock		472,005
	ENERSYS INC	Common stock		2,819,948
	EPAM SYSTEMS INC	Common stock		119,855
	EQUITY LIFESTYLE PPTYS INC	Common stock		91,945
	ESAB CORP	Common stock		43,459
	ESQUIRE FINL HLDGS INC	Common stock		159,535
	ESSENTIAL PROPERTIES REALTY TRUST INC	Common stock		39,181
	ESSENTIAL UTILITIES INC	Common stock		902,227
	EURONET WORLDWIDE INC	Common stock		56,093
	EUROPEAN WAX CENTER INC	Common stock		159,361
	EVERCORE INC A	Common stock		133,378
	EVERUS CONSTRUCTION GROUP INC	Common stock		537,745
	EXACT SCIENCES CORP	Common stock		177,527
	EXELIXIS INC	Common stock		42,208
	F&G ANNUITIES & LIFE INC	Common stock		490,207
	EZCORP INC CL A NON VTG	Common stock		264,753
	FNB CORP PA	Common stock		75,582
	FB FINANCIAL CORP	Common stock		805,306
	FACTSET RESEARCH SYSTEMS INC	Common stock		78,351
	FASTLY INC CL A	Common stock		741,898
	FEDERAL AGRI MTG NON VTG CL C	Common stock		177,501
	FEDERAL REALTY INVESTMENT TR	Common stock		68,141
	F5 INC	Common stock		139,882
	FIRST AMERICAN FINANCIAL CORP	Common stock		73,851
	FIRST FINL BANKSHARES INC	Common stock		372,270
	FIRST HORIZON CORP	Common stock		134,199
	FIRST INDUSTRIAL REALTY TRUST	Common stock		60,076
	FIRST WATCH RESTAURANT GROUP INC	Common stock		174,536
	FIVE BELOW INC	Common stock		89,471
	FLEXSTEEL IND	Common stock		63,026
	FLUOR CORP	Common stock		53,302
	FLOWSERVE CORP	Common stock		1,122,777
	FORTIVE CORP	Common stock		809,323
	FORTUNE BRANDS INNOVATIONS INC	Common stock		848,939
	FORTREA HOLDINGS INC	Common stock		218,351
	FOUR CORNERS PPTY TR INC W/I	Common stock		138,637
	FRANKLIN COVEY CO	Common stock		268,581
	FRANKLIN RESOURCES INC	Common stock		34,449
	FREIGHTCAR AMERICA INC	Common stock		246,651
	FRESHWORKS INC CL A	Common stock		272,734
	FRONTDOOR INC	Common stock		750,085
	FRONTIER COMMUNICATIONS PARENT INC	Common stock		65,747
	FULLER H B CO	Common stock		714,471
	GCI LIBERTY INC. - CL C	Common stock		1,050,624
	GAMING AND LEISURE PROPRTI INC	Common stock		853,802
	GAMESTOP CORP CL A	Common stock		72,890
	GAP INC	Common stock		226,765
	GARRETT MOTION INC	Common stock		479,430
	GCM GROSVENOR INC - CLASS A	Common stock		20,987
	GENERAC HOLDINGS INC	Common stock		96,686
	GERMAN AMERICAN BANCORP INC	Common stock		630,210
	GIBRALTAR INDUSTRIES INC	Common stock		354,732
	GLACIER BANCORP INC	Common stock		2,011,015
	GLOBUS MEDICAL INC	Common stock		73,777
	GLOBE LIFE INC	Common stock		132,587
	GRACO INC	Common stock		83,937
	GRAND CANYON EDUCATION INC	Common stock		1,032,452
	GRAPHIC PACKAGING HOLDING CO	Common stock		556,572
	GREEN PLAINS INC	Common stock		114,062
	GREIF INC CL A	Common stock		1,266,261
	AMERICAN HEALTHCARE REIT INC	Common stock		1,164,923
	HF SINCLAIR CORP	Common stock		1,066,015
	HNI CORP	Common stock		513,266

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	HACKETT GROUP INC	Common stock		1,224,775
	HAMILTON LANE INC - A	Common stock		475,457
	HANCOCK WHITNEY CORP	Common stock		72,786
	HANOVER INSURANCE GROUP INC	Common stock		80,419
	HASBRO INC	Common stock		1,146,442
	HEALTHCARE SERVICES GROUP INC	Common stock		1,028,388
	HEALTHCARE REALTY TRUST INC	Common stock		75,444
	HELIOS TECHNOLOGIES INC	Common stock		222,304
	HENRY (JACK) & ASSOCIATES INC	Common stock		133,393
	HINGHAM INSTITUTION FOR SAVING	Common stock		537,820
	HOME BANCSHARES INC	Common stock		907,211
	HOST HOTELS & RESORTS INC	Common stock		141,024
	HOULIHAN LOKEY	Common stock		577,091
	HOWARD HUGHES HOLDINGS INC	Common stock		231,572
	HUB GROUP INC CL A	Common stock		600,631
	HUDSON PACIFIC PROPERTIES INC	Common stock		173,702
	HUNTINGTON BANCSHARES INC	Common stock		80,105
	HUNTINGTON INGALLS INDUSTRIES INC	Common stock		135,348
	HUNTSMAN CORP	Common stock		281,030
	DT CORP CL B NEW	Common stock		328,205
	ITT INC	Common stock		102,718
	DACORP INC	Common stock		502,443
	IDEX CORPORATION	Common stock		1,760,360
	INDEPENDENT BANK CORP MASS	Common stock		1,512,537
	INGEVITY CORP	Common stock		1,416,888
	INGREDION INC	Common stock		94,052
	INNOVATIVE SOLUT & SUPP INC	Common stock		132,315
	INSTEEL INDUSTRIES INC	Common stock		206,235
	INSPERITY INC	Common stock		711,015
	INSTALLED BUILDING PRODUCTS INC	Common stock		44,096
	INTERPARFUMS INC	Common stock		304,794
	J&J SNACKS FOOD CORP	Common stock		900,808
	JABIL INC	Common stock		171,927
	JACKSON FINANCIAL INC	Common stock		1,128,464
	JACOBS SOLUTIONS INC	Common stock		141,732
	JEFFERIES FINANCIAL GROUP INC	Common stock		93,141
	JOHNSON OUTDOORS INC CL A	Common stock		486,477
	JONES LANG LASALLE INC	Common stock		141,990
	KBR INC	Common stock		819,839
	KB HOME	Common stock		809,540
	KEMPER CORP	Common stock		757,368
	KENNAMETAL INC	Common stock		556,353
	KFORCE INC	Common stock		494,565
	KIMCO REALTY CORPORATION	Common stock		140,410
	KIRBY CORP	Common stock		947,328
	KODIAK GAS SERVICES INC	Common stock		636,286
	KULICKE & SOFFA INDUSTRIES INC	Common stock		1,093,440
	KYNDRYL HOLDINGS INC	Common stock		1,114,989
	LKQ CORP	Common stock		1,131,896
	LAMB WESTON HOLDINGS INC	Common stock		1,289,500
	LAUREATE EDUCATION INC	Common stock		1,389,292
	DISTRIBUTION SOLUTIONS GROUP INC	Common stock		86,662
	LAZARD INC	Common stock		36,760
	LEVI STRAUSS & COMPANY CL A	Common stock		64,253
	LIBERTY BROADBAND CORP C	Common stock		384,426
	LINCOLN ELECTRIC HLDGS INC	Common stock		860,068
	LINCOLN NATIONAL CORP	Common stock		94,181
	LINDBLAD EXPEDITIONS HOLDINGS	Common stock		43,721
	LIONSGATE STUDIOS CORP	Common stock		196,112
	LITTELFUSE INC	Common stock		37,179
	LIVE OAK BANCSHARES INC	Common stock		217,985
	LUMENTUM HOLDINGS INC	Common stock		249,535
	LYFT INC	Common stock		357,396
	MDU RESOURCES GROUP INC	Common stock		301,838
	MGIC INVESTMENT CORP	Common stock		90,114
	MI HOMES INC	Common stock		288,783

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	MKS INC	Common stock		68,874
	MSC INDUSTRIAL DIRECT CO CL A	Common stock		1,986,610
	MACOM TECHNOLOGY SOLUTIONS HOLDINGS INC	Common stock		72,794
	MACYS INC	Common stock		296,109
	MAGNERA CORP	Common stock		724,676
	MAPLEBEAR INC	Common stock		293,899
	MARKETAXESS HLDGS INC	Common stock		74,313
	MARRIOTT VACATIONS WORLD	Common stock		126,745
	MASTEC INC	Common stock		1,307,263
	MASTERBRAND INC	Common stock		415,700
	MATADOR RESOURCES COMPANY	Common stock		32,212
	MATCH GROUP INC	Common stock		57,864
	MATSON INC	Common stock		1,187,563
	MAXLINEAR INC	Common stock		350,517
	MAYVILLE ENGINEERING CO INC	Common stock		198,189
	MCCORMICK & CO INC NON-VTG	Common stock		867,449
	PEDIATRIX MEDICAL GROUP INC	Common stock		105,602
	MEDPACE HOLDINGS INC	Common stock		126,371
	MERCHANTS BANCORP	Common stock		731,132
	METROPOLITAN BANK HOLDING CORP	Common stock		109,500
	MIDDLEBY CORP	Common stock		989,250
	MIDLAND STATES BANCORP INC	Common stock		287,298
	MILLERKNOLL INC	Common stock		625,615
	MILLROSE PROPERTIES INC	Common stock		557,464
	MONRO INC	Common stock		145,490
	ONTERRIS INC	Common stock		206,884
	MOSAIC CO NEW	Common stock		80,557
	MOTORCAR PARTS OF AMERICA INC	Common stock		205,017
	MUELLER INDUSTRIES INC	Common stock		1,553,933
	NCR VOYIX CORP	Common stock		151,103
	NMI HOLDINGS INC	Common stock		617,397
	NOV INC	Common stock		38,137
	NATIONAL BK HLDGS CORP	Common stock		800,034
	NATIONAL FUEL GAS CO NJ	Common stock		45,874
	NNN REIT INC	Common stock		1,218,424
	NATURES SUNSHINE PRODUCTS INC	Common stock		337,144
	NELNET INC CL A	Common stock		47,068
	NETAPP INC	Common stock		968,736
	NEUROCRINE BIOSCIENCES INC	Common stock		467,472
	NEUROPACE INC	Common stock		98,291
	VIPER ENERGY INC A	Common stock		986,147
	RITHM CAPITAL CORP	Common stock		613,539
	FLAGSTAR FINANCIAL INC	Common stock		47,049
	NEW YORK TIMES CO CL A	Common stock		535,853
	NEWELL BRANDS INC	Common stock		1,054,735
	NEWMARK GROUP INC CL A	Common stock		313,837
	NPK INTERNATIONAL INC	Common stock		1,116,272
	NEWS CORP NEW CL A	Common stock		110,566
	NEWS CORP NEW CL B	Common stock		71,497
	NEXTPOWER INC	Common stock		983,211
	NISOURCE INC	Common stock		177,564
	NLIGHT INC	Common stock		348,693
	NORDSON CORP	Common stock		44,960
	NORTHWESTERN ENERGY GROUP INC	Common stock		496,442
	GEN DIGITAL INC	Common stock		145,494
	NUTANIX INC CL A	Common stock		108,601
	OGE ENERGY CORP	Common stock		58,627
	CHORD ENERGY CORP	Common stock		39,119
	OCEANFIRST FINANCIAL CORP	Common stock		541,839
	OLD NATIONAL BANCORP (IND)	Common stock		83,105
	OLD REPUBLIC INTL CORP	Common stock		120,992
	OMNICOM GROUP INC	Common stock		73,321
	OMEGA HEALTHCARE INVESTORS INC	Common stock		95,686
	ONEMAIN HOLDINGS INC	Common stock		94,300
	ONEWATER MARINE CL A	Common stock		16,684
	OPEN LENDING CORP	Common stock		178,647

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	ORIGIN BANCORP INC	Common stock		719,667
	ORION GROUP HOLDINGS INC	Common stock		182,717
	OSHKOSH CORP	Common stock		52,388
	OUSTER INC	Common stock		56,199
	OVINTIV INC	Common stock		561,671
	OWENS CORNING INC	Common stock		60,431
	PACS GROUP INC	Common stock		970,115
	PACKAGING CORP OF AMERICA	Common stock		64,756
	PAPA JOHNS INTL INC	Common stock		1,333,140
	PARK OHIO HLDGS CORP	Common stock		47,345
	PASON SYSTEMS INC	Common stock		650,345
	PATTERSON-UTI ENERGY INC	Common stock		62,285
	PEAPACK GLADSTONE FINL CORP	Common stock		40,633
	PEGASYSTEMS INC	Common stock		37,624
	PELOTON INTERACTIVE INC CL A	Common stock		181,634
	PENNANT GROUP INC	Common stock		166,564
	PENNYMAC FINANCIAL SERVICES INC	Common stock		52,472
	PERELLA WEINBERG PARTNERS	Common stock		271,368
	PERFORMANCE FOOD GROUP CO	Common stock		125,079
	PERMAN RESOURCES CORP CL A	Common stock		148,017
	PHINIA INC	Common stock		730,589
	PILGRIM'S PRIDE CORP NEW	Common stock		712,581
	PINNACLE FINL PARTNERS INC	Common stock		953,337
	PINNACLE WEST CAPITAL CORP	Common stock		107,061
	PLANET LABS PBC A	Common stock		109,190
	POOL CORP	Common stock		1,157,475
	POPULAR INC	Common stock		312,421
	PORTLAND GENERAL ELECTRIC CO	Common stock		1,205,653
	POST HOLDINGS INC	Common stock		35,163
	POSTAL REALTY TRUST INC	Common stock		190,662
	POTLATCHDELTIC CORP REIT	Common stock		2,995,305
	PRAXIS PRECISION MEDICINES INC	Common stock		213,687
	PRIMO BRANDS CORP A	Common stock		16,693
	PRIMORIS SVCS CORP	Common stock		654,342
	PRIMERICA INC	Common stock		93,268
	PROG HOLDINGS INC	Common stock		375,201
	PROGYNY INC	Common stock		48,330
	PROSPERITY BANCSHARES INC	Common stock		80,168
	QUAKER HOUGHTON	Common stock		980,119
	QORVO INC	Common stock		54,002
	QNTY ELECTRONICS INC	Common stock		220,863
	RBB BANCORP	Common stock		130,135
	RCM TECHNOLOGIES INC	Common stock		38,334
	RGC RESOURCES INC	Common stock		143,456
	RLI CORP	Common stock		64,044
	RPM INTERNATIONAL INC	Common stock		525,928
	RAMBUS INC	Common stock		36,572
	RALLIANT CORP	Common stock		992,541
	RALPH LAUREN CORP	Common stock		798,098
	RANGE RESOURCES CORP	Common stock		124,080
	RAYMOND JAMES FINANCIAL INC.	Common stock		511,319
	RAYONIER INC REIT	Common stock		455,494
	RBC BEARINGS INC	Common stock		92,377
	REGAL REXNORD CORP	Common stock		66,091
	REGENCY CENTERS CORP REIT	Common stock		99,058
	REINSURANCE GROUP OF AMERICA	Common stock		362,566
	RELIANCE INC	Common stock		114,104
	REPLIGEN	Common stock		57,843
	RESOLUTE HOLDINGS MANAGEMENT INC	Common stock		341,435
	REXFORD INDUSTRIAL REALTY INC	Common stock		69,076
	RIGETTI COMPUTING INC A	Common stock		62,131
	RVIAN AUTOMOTIVE INC	Common stock		144,612
	ROBERT HALF INC	Common stock		1,393,906
	ROCKET COS INC	Common stock		228,061
	ROCKY BRANDS INC	Common stock		117,291
	ROKU INC CLASS A	Common stock		799,788

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	ROYAL GOLD INC	Common stock		432,576
	RUBRIK INC	Common stock		658,569
	RYDER SYSTEM INC	Common stock		511,203
	SEI INV CORP	Common stock		97,768
	SLM CORP	Common stock		63,104
	SM ENERGY CO	Common stock		475,691
	SABRA HEALTHCARE REIT INC	Common stock		654,491
	SAFEHOLD INC	Common stock		191,277
	SANDISK CORPORATION	Common stock		1,278,766
	SAREPTA THERAPEUTICS INC	Common stock		70,521
	HENRY SCHEIN INC	Common stock		66,586
	SCHNEIDER NATIONAL INC CL B	Common stock		1,209,529
	MATV INC	Common stock		122,436
	SCOTTS MIRACLE GRO CO	Common stock		879,510
	SEACOAST BANKING CORP FLORIDA	Common stock		1,789,526
	SEAPORT ENTERTAINMENT GROUP INC	Common stock		112,452
	SELECT WATER SOLUTIONS INC CL A	Common stock		767,508
	SELECTIVE INSURANCE GROUP INC	Common stock		625,601
	SERVICE CORP INTERNATIONAL INC	Common stock		115,863
	SI-BONE INC	Common stock		56,833
	SILGAN HOLDINGS INC	Common stock		854,350
	QXO INC	Common stock		51,466
	SIRIUS XM HOLDINGS INC	Common stock		34,631
	SKYWORKS SOLUTIONS INC	Common stock		108,051
	SMITH & NEPHEW PLC SPON ADR	Common stock		1,052,381
	SMITH (AO) CORP	Common stock		55,042
	SMITHFIELD FOODS INC	Common stock		1,480,725
	SNAP-ON INCORPORATED	Common stock		168,509
	SOFI TECHNOLOGIES INC A	Common stock		355,603
	SOLSTICE ADVANCED MATERIALS INC	Common stock		663,214
	SOUTHERN MISSOURI BANCORP INC	Common stock		321,495
	SOUTHSTATE BANK CORP	Common stock		1,406,003
	STAG INDUSTRIAL INC CL A	Common stock		51,538
	STANLEY BLACK & DECKER INC	Common stock		91,290
	STARWOOD PROPERTY TRUST INC	Common stock		69,807
	STARZ ENTERTAINMENT CORP	Common stock		237,241
	STEPAN CO	Common stock		752,645
	STIFEL FINANCIAL CORP	Common stock		1,012,654
	SYNOVUS FINANCIAL CORP.	Common stock		872,171
	TD SYNnex CORP	Common stock		678,138
	SYNDAX PHARMACEUTICALS INC	Common stock		458,039
	TACTILE SYSTEMS TECHNOLOGY INC	Common stock		196,359
	TALEN ENERGY CORP	Common stock		152,185
	TALKSPACE INC CL A	Common stock		118,505
	TAYLOR MORRISON HOME CORP	Common stock		664,348
	TELEFLEX INC	Common stock		228,825
	10X GENOMICS INC	Common stock		316,463
	TENET HEALTHCARE CORP	Common stock		431,024
	TENNANT CO	Common stock		583,188
	TERRENO REALTY CORP	Common stock		43,563
	TETRA TECH INC	Common stock		326,579
	TEXAS CAPITAL BANCSHARES INC	Common stock		152,560
	TEXTRON INC	Common stock		105,040
	THOR INDUSTRIES INC	Common stock		875,262
	TIMKEN CO	Common stock		1,865,414
	TOLL BROTHERS INC	Common stock		144,415
	TOPBUILD CORP	Common stock		71,757
	TORO CO	Common stock		1,279,200
	TOWNE BANK	Common stock		705,275
	TURNING POINT BRANDS INC	Common stock		179,077
	TUTOR PERINI CORP	Common stock		470,816
	UGI CORP NEW	Common stock		962,363
	UMB FINANCIAL CORP	Common stock		37,963
	UFP INDUSTRIES INC	Common stock		1,806,068
	UNITED BANKSHARES INC. W VA.	Common stock		64,166
	US FOODS HOLDING CORP	Common stock		195,907

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	UNITED THERAPEUTICS CORP DEL	Common stock		832,710
	UNITY SOFTWARE INC	Common stock		865,290
	UNIVERSAL DISPLAY CORP	Common stock		885,543
	UNIVERSAL HEALTH SVCS INC CL B	Common stock		1,166,625
	UNUM GROUP	Common stock		139,655
	URBAN OUTFITTERS	Common stock		766,975
	VF CORP	Common stock		1,305,286
	VALLEY NATL BANCORP	Common stock		75,476
	VALMONT INDUSTRIES INC	Common stock		51,899
	VAREX IMAGING CORP	Common stock		259,970
	V2X INC	Common stock		285,897
	PURSUIT ATTRACTIONS AND HOSPITALITY INC	Common stock		363,070
	VIASAT INC	Common stock		109,273
	VIATRIS INC	Common stock		158,240
	VIRIDIAN THERAPEUTICS INC	Common stock		92,800
	VIRTU FINANCIAL INC- CL A	Common stock		252,965
	VIRTUS INVESTMENT PARTNERS	Common stock		549,163
	VISHAY INTERTECHNOLOGY INC	Common stock		713,473
	VITA COCO CO INC/THE	Common stock		103,847
	VONTIER CORP W/I	Common stock		1,304,051
	VORNADO REALTY TRUST	Common stock		47,224
	VOYA FINANCIAL INC	Common stock		86,781
	WSFS FINANCIAL CORP	Common stock		46,788
	WALKER & DUNLOP INC	Common stock		1,806,485
	WARRIOR MET COAL INC	Common stock		153,680
	WATSCO INC	Common stock		87,607
	WATTS WATER TECH INC CL A	Common stock		68,177
	WAYFAIR INC	Common stock		360,673
	WEBSTER FINANCIAL	Common stock		1,060,728
	WERNER ENTERPRISES INC	Common stock		1,757,956
	WESCO INTERNATIONAL INC	Common stock		58,469
	WESTERN ALLIANCE BANCORP	Common stock		98,698
	WEX INC	Common stock		64,955
	WILLDAN GROUP	Common stock		83,757
	WINTRUST FINANCIAL CORP	Common stock		108,500
	WOLVERINE WORLD WIDE INC	Common stock		105,560
	WOODWARD INC	Common stock		724,359
	WYNDHAM HOTELS & RESORTS INC	Common stock		168,725
	WYNN RESORTS LTD	Common stock		68,468
	XPO INC	Common stock		74,615
	XPERI INC	Common stock		80,956
	YETI HOLDINGS INC	Common stock		734,945
	ZILLOW GROUP INC CL A	Common stock		48,102
	ZILLOW GROUP INC CL C	Common stock		122,114
	ZIONS BANCORP	Common stock		916,444
	ASSURED GUARANTY LTD	Common stock		1,371,776
	GENPACT LTD	Common stock		1,236,863
	INVESCO LTD	Common stock		131,219
	SAFE BULKERS INC	Common stock		146,113
	JAZZ PHARMA PLC	Common stock		68,850
	AMBARELLA INC	Common stock		112,565
	GATES INDUSTRIAL CORP PLC	Common stock		36,134
	TECHNIPFMC PLC	Common stock		1,964,561
	NVENT ELECTRIC PLC	Common stock		931,598
	LUXFER HOLDINGS PLC	Common stock		199,297
	SSR MINING INC	Common stock		114,313
	ALLEGION PLC	Common stock		100,468
	ESSENT GROUP LTD	Common stock		82,043
	PAGSEGURO DIGITAL LTD CL A	Common stock		377,580
	INTERNATL GENERAL INSURE HLDGS LTD BERMU	Common stock		1,281,848
	XP INC CL A	Common stock		116,800
	TEL AVIV STOCK EXCHANGE LTD	Common stock		124,028
	CONSTELLUM SE	Common stock		369,008
	FTAI AVIATION LTD	Common stock		1,181,494
	WEATHERFORD INTERNATIONAL PLC	Common stock		911,964
	PENTAIR PLC	Common stock		381,569

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	DOLE PLC	Common stock		1,175,126
	SUPER GROUP SGHC LTD	Common stock		178,330
	BIT DIGITAL INC	Common stock		83,098
	ROVANT SCIENCES LTD	Common stock		741,641
	RB GLOBAL INC	Common stock		339,471
	NOBLE CORP PLC	Common stock		37,333
	AMER SPORTS INC	Common stock		273,141
	TITAN AMERICA SA	Common stock		203,528
	CUSHMAN & WAKEFIELD LTD	Common stock		256,498
	NOMAD FOODS LTD	Common stock		1,268,151
	PELAGOS INSURANCE CAPITAL LTD	Common stock		678,511
	MAREX GROUP PLC	Common stock		971,007
	AEBI SCHMIDT HOLDING AG	Common stock		854,862
	SHARKNINJA INC	Common stock		98,248
	AXALTA COATING SYSTEMS LTD	Common stock		863,388
	BROOKFIELD RENEWABLE CORP	Common stock		177,783
	BROOKFIELD INFRASTRUCTURE CORP	Common stock		102,786
	AMRIZE LTD	Common stock		818,609
	BRIGHTSTAR LOTTERY PLC	Common stock		712,947
	QIAGEN NV	Common stock		170,526
	JANUS HENDERSON GROUP PLC	Common stock		82,106
	NOVOCURE LTD	Common stock		132,145
	SYCAMORE PARTNERS LLC CVR RT	Common stock		5,379
	CANADIAN DOLLAR	Currency		25,228
	ISRAELI SHEKEL	Currency		2,707
	MEXICAN PESO CURRENCY	Currency		16,209
	BBH STIF FUND	Money Market		11,142,855
	FUTURES CASH COLLATERAL	General Investments - Other		689,812
	Total US SMD Cap Value Separately Managed Account			257,500,338
	International Separately Managed Account			
	AGNICO EAGLE MINES LTD	Common stock		299,143
	ALAMOS GOLD INC A	Common stock		116,113
	BRITISH LAND CO PLC	Common stock		262,604
	ALIBABA GROUP HOLDING LTD SPON ADR	Common stock		378,909
	DIPLOMA PLC	Common stock		1,393,434
	AMERICA MOVIL SAB DE CV SPON ADR	Common stock		441,036
	DCC PLC	Common stock		272,295
	BAE SYSTEMS PLC	Common stock		197,769
	BRITISH AMERICAN TOBACCO PLC	Common stock		1,627,395
	HALMA PLC	Common stock		147,125
	STANDARD CHARTERED PLC	Common stock		1,894,531
	IMPERIAL BRANDS PLC	Common stock		854,179
	HSBC HOLDINGS PLC	Common stock		1,788,720
	BANCO BRADES SA PFD SPON ADR	Common stock		653,546
	BANK OF MONTREAL	Common stock		56,882
	PEARSON PLC	Common stock		380,771
	BARRICK MINING CORP	Common stock		605,676
	UNITE GROUP PLC	Common stock		129,017
	PRUDENTIAL PLC	Common stock		1,673,367
	RIO TINTO PLC	Common stock		79,907
	BP PLC	Common stock		742,350
	LLOYDS BANKING GROUP PLC	Common stock		108,756
	ASTRAZENECA PLC	Common stock		1,985,595
	BROOKFIELD ASSET MANAGEMENT LTD A	Common stock		62,180
	CCL INDUSTRIES INC B NON VTG	Common stock		76,053
	CANADIAN IMP BK OF COMMERCE	Common stock		192,100
	CANADIAN NATL RESOURCES LTD	Common stock		69,572
	CONSTELLATION SOFTWARE INC	Common stock		163,561
	CHECK POINT SOFTWARE TECHS LTD	Common stock		298,195
	VALE S.A.	Common stock		260,657
	BANCO DO BRASIL SA	Common stock		383,461
	DOLLARAMA INC	Common stock		654,182
	ENBRIDGE INC	Common stock		237,109
	FAIRFAX FINL HLDGS LTD SUB VTG	Common stock		230,609
	BT GROUP PLC	Common stock		557,017
	BARCLAYS PLC ORD	Common stock		562,896

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	INTERTEK GROUP PLC	Common stock		1,327,999
	BURBERRY GROUP PLC	Common stock		312,859
	NEXT PLC	Common stock		988,013
	KINGFISHER PLC	Common stock		314,396
	FRANCO-NEVADA CORP	Common stock		101,570
	GREAT WEST LIFECO INC	Common stock		95,774
	AIRBUS SE	Common stock		696,215
	ADIDAS AG	Common stock		375,084
	HDFC BANK LTD SPON ADR	Common stock		1,988,872
	GENERALI	Common stock		82,304
	L'OREAL SA ORD	Common stock		447,200
	LVMH MOET HENNESSY LOUIS VUITTON SE	Common stock		1,945,038
	INTESA SANPAOLO SPA	Common stock		138,172
	BPER BANCA	Common stock		594,396
	THALES	Common stock		523,918
	A P MOLLER - MAERSK A/S B	Common stock		57,587
	FRESENIUS SE & CO KGAA	Common stock		713,300
	PUBLICIS GROUPE SA	Common stock		1,101,242
	KBC GROUPE SA	Common stock		162,250
	HANNOVER RUECKVERSICHERUNGS SE(REGD)	Common stock		157,358
	WARTSILA	Common stock		71,881
	IMPERIAL OIL LTD	Common stock		126,567
	INTACT FINL CORP	Common stock		164,666
	DANSKE BK AS	Common stock		1,231,059
	GENMAB AS	Common stock		860,151
	CONTINENTAL AG	Common stock		1,113,181
	DHL GROUP	Common stock		120,433
	RENAULT SA ORD	Common stock		237,973
	TELENOR AS	Common stock		635,584
	KT CORP SPON ADR	Common stock		331,577
	SCHNEIDER ELECTRIC SE	Common stock		2,917,896
	SAP SE	Common stock		2,549,898
	STOREBRAND ASA CL A	Common stock		46,790
	E.ON SE	Common stock		112,298
	KINROSS GOLD CORP	Common stock		295,439
	BAYER AG	Common stock		877,582
	BASF SE	Common stock		1,509,824
	HEIDELBERG MATERIALS AG	Common stock		337,022
	FRESENIUS MEDICAL CARE AG	Common stock		703,333
	ASM INTERNATIONAL NV (NETH)	Common stock		366,187
	ORANGE	Common stock		715,291
	RANDSTAD NV	Common stock		885,219
	ALLIANZ SE (REGD)	Common stock		479,567
	HERMES INTERNATIONAL SA	Common stock		685,788
	ENDESA SA	Common stock		743,073
	ERSTE GROUP BANK AG	Common stock		1,540,261
	MUNICH REINSURANCE (REG)	Common stock		1,107,990
	RHEINMETALL AG ORD	Common stock		873,216
	LOBLAW COS LTD DTC ELIGIBLE	Common stock		245,478
	BANCO BILBAO VIZ ARGENTARIA SA	Common stock		267,885
	MERCEDES-BENZ GROUP AG	Common stock		1,069,997
	SWISSCOM AG (REG)	Common stock		127,873
	MAGNA INTL INC	Common stock		1,062,086
	UCB SA	Common stock		102,908
	NEMETSCHEK SE	Common stock		65,108
	CARREFOUR SA (SUPERMARCHE)	Common stock		1,154,195
	WOLTERS KLUWER NV	Common stock		265,461
	SANOFI	Common stock		3,156,977
	BANCO SANTANDER SA	Common stock		296,756
	SIEMENS AG (REGD)	Common stock		379,416
	TELEFONICA SA	Common stock		61,755
	TAG IMMOBILIEN AG	Common stock		40,176
	DEUTSCHE BANK AG	Common stock		170,274
	DEUTSCHE TELEKOM AG (REGD)	Common stock		272,693
	ACCOR SA	Common stock		877,450
	MERCADOLIBRE INC	Common stock		408,895

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	CTS EVENTIM AG & CO KGAA	Common stock		1,565,629
	INFINEON TECHNOLOGIES AG	Common stock		604,137
	NOKIA OYJ	Common stock		1,560,269
	METRO INC	Common stock		45,345
	KPN (KON) NV	Common stock		763,712
	ERICSSON (LM) TELE CO CL B	Common stock		628,222
	LINDT & SPRUENGLI AG PART CERT	Common stock		102,424
	LINDT & SPRUENGLI AG (REG)	Common stock		293,902
	STMICROELECTRONICS NV	Common stock		33,545
	SOCIETE GENERALE SA CL A	Common stock		1,746,510
	ZURICH INSURANCE GROUP AG	Common stock		243,881
	KONINKLIJKE PHILIPS NV	Common stock		1,271,740
	TELEPERFORMANCE	Common stock		979,941
	ASMPT LTD	Common stock		231,871
	AJINOMOTO CO INC	Common stock		93,174
	ALPS ALPINE CO LTD	Common stock		90,359
	AMADA CO LTD	Common stock		140,697
	SINGAPORE TECH ENGINEERING LTD	Common stock		883,661
	ASAHI GROUP HOLDINGS LTD	Common stock		39,773
	ASICS CORP	Common stock		139,039
	BANK HAPOALIM LTD (REG)	Common stock		842,120
	WESTPAC BANKING CORP	Common stock		138,407
	BANK LEUMI LE-ISRAEL BM	Common stock		768,958
	LY CORPORATION	Common stock		325,738
	TELSTRA GROUP LTD	Common stock		191,018
	TREND MICRO INC	Common stock		29,056
	ASX LTD	Common stock		58,221
	BRIDGESTONE CORP	Common stock		511,486
	OBIC CO LTD	Common stock		47,134
	ORACLE CORPORATION JAPAN	Common stock		724,170
	BHP GROUP LIMITED	Common stock		1,154,596
	COCA COLA BOTTLERS JAPAN HOLDINGS INC	Common stock		48,555
	USS CO LTD	Common stock		39,461
	COWAY CO LTD	Common stock		106,120
	CAPCOM CO LTD	Common stock		65,263
	DBS GROUP HOLDINGS LTD	Common stock		223,616
	COMPUTERSHARE LTD	Common stock		75,436
	CENTRAL JAPAN RAILWAY CO	Common stock		379,321
	CSL LIMITED	Common stock		748,686
	CHIBA BANK LTD	Common stock		449,722
	CHINA OVERSEAS LAND & INV LTD	Common stock		371,465
	CHUGAI PHARMACEUTICAL CO LTD	Common stock		720,947
	COMMONWEALTH BK OF AUSTRALIA	Common stock		402,587
	RIO TINTO LTD	Common stock		75,151
	SK TELECOM LTD	Common stock		415,459
	KDDI CORP	Common stock		1,115,285
	DAIFUKU CO LTD	Common stock		100,674
	DAITO TRUST CONSTRUCTION	Common stock		76,251
	DAIKIN INDUSTRIES LTD	Common stock		1,243,463
	DAI NIPPON PRINTING CO LTD	Common stock		263,140
	SCREEN HOLDINGS CO LTD	Common stock		107,022
	DAIWA HOUSE INDUSTRY CO LTD	Common stock		106,190
	ARISTOCRAT LEISURE LTD	Common stock		88,291
	DELTA ELECTRONICS IND CO LTD	Common stock		399,305
	HONG KONG EXCHS & CLEARING LTD	Common stock		398,032
	PAN PACIFIC INTERNATIONAL HOLDINGS LTD	Common stock		86,302
	INSURANCE AUSTRALIA GROUP LTD	Common stock		44,979
	PRO MEDICUS LTD	Common stock		82,100
	EAST JAPAN RAILWAY CO	Common stock		94,964
	TECHNOLOGY ONE LIMITED	Common stock		57,619
	SINGAPORE EXCHANGE LTD	Common stock		294,234
	EISAI CO LTD	Common stock		166,599
	ELBIT SYSTEMS LTD	Common stock		880,943
	FAST RETAILING CO LTD	Common stock		327,158
	MINIBISHI UFJ FINL GRP INC	Common stock		504,521
	SUBARU CORP	Common stock		316,439

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	FUJIKURA LTD	Common stock		200,409
	FUJITSU LTD	Common stock		268,075
	KASIKORNBANK PCL NVDR	Common stock		675,586
	NOMURA RESEARCH INSTITUTE LTD	Common stock		119,159
	SHINHAN FINANCIAL GROUP CO LTD	Common stock		1,026,432
	DENTSU GROUP INC	Common stock		288,601
	RESONA HOLDINGS INC	Common stock		1,474,509
	HINO MOTORS LTD	Common stock		53,721
	HITACHI LTD	Common stock		1,042,113
	SUMITOMO MITSUI TRUST GROUP INC	Common stock		597,735
	HONDA MOTOR CO LTD	Common stock		380,470
	HORIBA	Common stock		213,968
	IGO LTD	Common stock		81,252
	HOYA CORP	Common stock		1,542,307
	HYUNDAI MOBIS	Common stock		449,547
	SK HYNIX INC	Common stock		849,643
	PHOENIX FINANCE LTD	Common stock		355,972
	GALAXY ENT GROUP LTD	Common stock		817,341
	IHI CORPORATION	Common stock		123,094
	SUZU MOTORS LTD	Common stock		49,837
	JGC HOLDINGS CORP	Common stock		174,714
	JAPAN TOBACCO INC	Common stock		705,720
	REGIS RESOURCES LTD	Common stock		157,584
	AEON CO LTD	Common stock		112,275
	KAJIMA CORP	Common stock		193,705
	KAO CORP	Common stock		443,674
	KEYENCE CORP	Common stock		1,483,580
	KIRIN HOLDINGS CO LTD	Common stock		137,906
	KOITO MANUFACTURING CO LTD	Common stock		317,065
	KOMATSU LTD	Common stock		223,442
	KONAMI GROUP CORPORATION	Common stock		108,938
	KUBOTA CORP	Common stock		1,209,849
	KYUSHU ELEC POWER CO INC	Common stock		55,738
	TOKIO MARINE HOLDINGS INC	Common stock		386,216
	BANK OF CHINA HONG KONG LTD	Common stock		592,614
	SUMITOMO MITSUI FINL GROUP INC	Common stock		479,513
	MTN GROUP LTD	Common stock		454,897
	PANASONIC HOLDINGS CORP	Common stock		223,484
	SUNCORP GROUP LTD	Common stock		122,063
	YUE YUEN INDUSTRIAL HLDGS LTD	Common stock		102,599
	MIZUHO FINANCIAL GROUP INC	Common stock		251,085
	MISUMI GROUP INC	Common stock		76,547
	MITSUBISHI ESTATE CO LTD	Common stock		595,202
	MITSUBISHI GAS CHEMICAL CO	Common stock		184,901
	MITSUBISHI ELECTRIC CORP ORD	Common stock		49,761
	MITSUBISHI HEAVY INDS LTD	Common stock		257,406
	MITSUMI FUDOSAN CO	Common stock		102,301
	MURATA MFG CO LTD	Common stock		996,761
	NGK CORP	Common stock		47,065
	RENEAS ELECTRONICS CORP	Common stock		103,830
	NINTENDO CO LTD	Common stock		534,349
	DENSO CORP	Common stock		49,597
	NEC CORP	Common stock		288,145
	NIPPON SANSO HOLDINGS CORP	Common stock		32,781
	NTT INC	Common stock		77,521
	NITTO DENKO CORP (NITTO ELECTRIC)	Common stock		616,637
	NIKON CORP	Common stock		116,905
	MINEBEA MITSUMI INC	Common stock		936,445
	BIPROGY INC	Common stock		13,825
	NISSAN MOTOR CO LTD ORD	Common stock		178,314
	NOMURA HOLDINGS INC	Common stock		51,495
	NICE LTD	Common stock		120,433
	OBAYASHI CORP	Common stock		131,478
	OLYMPUS CORP	Common stock		850,102
	ONO PHARMACEUTICAL CO LTD	Common stock		94,312
	TAIHEIYO CEMENT CORP	Common stock		91,721

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	OSAKA GAS CO LTD	Common stock		103,996
	ALFRESA HOLDINGS CORP	Common stock		133,469
	BANK RAKYAT INDONESIA TBK PT	Common stock		281,782
	QANTAS AIRWAYS LTD	Common stock		48,026
	QBE INSURANCE GROUP LTD	Common stock		162,270
	NORTHERN STAR RESOURCES LTD	Common stock		792,697
	BANK NEGARA INDO PT TBK(DEMAT)	Common stock		291,814
	RINNAI CORP	Common stock		217,416
	T&D HOLDINGS INC	Common stock		676,197
	ROHM CO LTD	Common stock		403,920
	SMC CORP	Common stock		243,373
	SOFTBANK GROUP CORP	Common stock		359,551
	SAMSUNG ELECTRONICS CO LTD	Common stock		1,112,039
	SANRIO CO LTD	Common stock		62,781
	SANTEN PHARMACEUTICAL CO LTD	Common stock		72,663
	SECOM CO LTD	Common stock		2,248,555
	SEKISUI CHEMICAL CO LTD	Common stock		105,979
	SHIONOGI & CO LTD	Common stock		1,012,052
	SONY GROUP CORP	Common stock		1,156,027
	STANLEY ELECTRIC	Common stock		165,061
	SCSK CORP	Common stock		97,544
	SUMITOMO HEAVY INDUSTRIES LTD	Common stock		166,871
	SUMITOMO RUBBER INDUSTRIES LTD	Common stock		178,732
	SUN HUNG KAI PROPERTIES LTD	Common stock		54,756
	SHUFERSAL LTD	Common stock		8,090
	SUZUKI MOTOR CORP	Common stock		192,256
	THK CO LTD	Common stock		115,114
	TDK CORP	Common stock		804,565
	TAISEI CORP	Common stock		142,061
	TAKEDA PHARMACEUTICAL CO LTD	Common stock		1,685,336
	ADVANTEST CORP	Common stock		727,037
	TAIWAN SEMICONDUCTOR MFG CO LTD	Common stock		2,818,002
	TOHO CO LTD	Common stock		40,756
	TOKYO ELECTRON LTD	Common stock		1,467,978
	TORAY INDUSTRIES INC	Common stock		217,492
	TOYOTA MOTOR CORP	Common stock		269,954
	UNITED OVERSEAS BANK (LOC)	Common stock		43,641
	WESFARMERS LTD	Common stock		271,335
	ASTELLAS PHARMA INC	Common stock		160,342
	YAMATO HOLDINGS CO LTD	Common stock		229,869
	DEUTSCHE BOERSE AG	Common stock		1,593,653
	AXA SA	Common stock		703,799
	NOVARTIS AG (REG)	Common stock		1,770,121
	ABB LTD	Common stock		1,315,161
	ROCHE HOLDINGS AG (GENUSSCHEINE)	Common stock		1,414,977
	ADECCO GROUP AG	Common stock		214,767
	HOLCIM LTD	Common stock		176,018
	NESTLE SA (REG)	Common stock		381,920
	NEXANS SA	Common stock		88,704
	EQUINOR ASA	Common stock		1,421,036
	ENEL (ENTE NAZ ENERG ELET) SPA	Common stock		1,188,379
	ENI SPA	Common stock		613,056
	SWATCH GROUP AG (BR)	Common stock		338,369
	ESSILORLUXOTTICA	Common stock		467,216
	SNAM SPA	Common stock		54,963
	CREDIT AGRICOLE SA	Common stock		336,844
	BNP PARIBAS	Common stock		1,389,037
	LONZA GROUP AG	Common stock		1,916,689
	ST GOBAIN CIE DE	Common stock		510,670
	RB GLOBAL INC	Common stock		44,888
	YARA INTERNATIONAL ASA	Common stock		151,636
	HEINEKEN NV	Common stock		325,785
	ROYAL BANK OF CANADA	Common stock		455,007
	RYANAIR HOLDINGS PLC SPON ADR	Common stock		518,685
	SEA LTD ADR	Common stock		1,403,780
	SHOPIFY INC CL A	Common stock		188,709

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	STANTEC INC	Common stock		401,898
	SUN LIFE FINANCIAL INC	Common stock		128,219
	SUNCOR ENERGY INC	Common stock		66,577
	TAIWAN SEMIC MFG CO LTD SP ADR	Common stock		4,005,270
	TRIP.COM GROUP LTD ADR	Common stock		1,625,454
	VEON LTD SPON ADR	Common stock		124,065
	WSP GLOBAL INC	Common stock		71,158
	WESTON GEORGE LTD	Common stock		48,637
	WHEATON PRECIOUS METALS CORP	Common stock		114,976
	PROXIMUS	Common stock		105,146
	NORDIC SEMICONDUCTOR ASA	Common stock		38,072
	NATURA COSMETICOS SA	Common stock		149,469
	TECHTRONIC INDUSTRIES CO LTD	Common stock		161,718
	SAINSBURY (J) PLC	Common stock		1,193,564
	CHINA MENGNIU DAIRY CO LTD	Common stock		126,442
	TERNA SPA	Common stock		59,500
	BANK CENTRAL ASIA	Common stock		1,303,041
	M3 INC	Common stock		80,975
	SINGAPORE TELECOM LTD (SING)	Common stock		212,385
	ELECTRIC POWER DEVELOP CO LTD	Common stock		76,733
	SEGA SAMMY HOLDINGS INC	Common stock		126,588
	CENTRICA PLC	Common stock		512,674
	JYSKE BANK AS (REG)	Common stock		48,871
	SAFRAN SA	Common stock		777,647
	COCA-COLA ICECEK SANAYI AS	Common stock		44,271
	HAKUHODO DY HOLDINGS INC	Common stock		117,663
	IG GROUP HLDGS PLC	Common stock		49,047
	MTU AERO ENGINES AG	Common stock		2,472,723
	ENGIE SA	Common stock		951,159
	LOJAS RENNER SA	Common stock		187,668
	OVERSEA-CHINESE BKG CORP LTD	Common stock		122,981
	ULTRAPAR PARTICIPACOES SA	Common stock		193,357
	BAIDU INC CL A	Common stock		517,031
	DAIICHI SANKYO CO LTD	Common stock		117,556
	BANDAI NAMCO HOLDINGS INC	Common stock		332,929
	HIKMA PHARMACEUTICALS PLC	Common stock		162,884
	TSURUHA HOLDINGS INC	Common stock		275,600
	IPSEN PROMESSES	Common stock		641,906
	ZEALAND PHARMA A/S	Common stock		85,932
	LONDON STOCK EXCHANGE GRP PLC	Common stock		1,413,269
	ARKEMA	Common stock		892,334
	PARTNERS GROUP HOLDING AG	Common stock		327,425
	NORSK HYDRO AS ORD	Common stock		344,522
	SCHINDLER HOLDING AG PART CERT	Common stock		124,651
	KONECRANES OYJ	Common stock		73,163
	SCHINDLER HOLDING AG (REG)	Common stock		68,589
	WACKER CHEMIE AG	Common stock		123,554
	LEGRAND SA	Common stock		716,018
	EIFFAGE SA (FORM FOUGEROLLE)	Common stock		106,301
	KOBE BUSSAN CO LTD	Common stock		36,293
	TOTAL ENERGIES SE	Common stock		1,220,941
	LARSEN & TOUBRO LTD GDR	Common stock		430,014
	LOGITECH INTL SA REG	Common stock		293,692
	EXPERIAN PLC	Common stock		160,111
	RTL GROUP SA	Common stock		110,769
	CHINA MERCHANTS BANK CO LTD H	Common stock		529,174
	IDEMITSU KOSAN CO LTD	Common stock		521,867
	BRAMBLES LTD	Common stock		989,548
	GALP ENERGIA SGPS SA	Common stock		533,006
	MONOTARO CO LTD	Common stock		35,119
	AERCAP HOLDINGS NV	Common stock		815,550
	SYMRISE AG	Common stock		1,804,164
	SKF AB SER B	Common stock		488,289
	FUKUOKA FINANCIAL GROUP INC	Common stock		420,525
	DRAX GROUP PLC	Common stock		67,649
	REXEL SA	Common stock		1,070,877

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	ORKLA ASA	Common stock		389,554
	YANGZUJIANG SHIPBLDG HLDGS LTD	Common stock		38,715
	GEBERIT AG (REG)	Common stock		162,703
	DSV A/S	Common stock		452,560
	SMITHS GROUP PLC	Common stock		49,046
	BANCO DE SABADELL SA	Common stock		490,649
	VINCI SA	Common stock		233,633
	JERONIMO MARTINS & FILHO SGPS	Common stock		711,882
	DANONE SA	Common stock		248,228
	3I GROUP PLC	Common stock		338,322
	AIR LIQUIDE SA	Common stock		150,105
	MATSUKIYOCOCOKARA & CO	Common stock		39,821
	SONY FINANCIAL HOLDINGS INC	Common stock		46,099
	CAIXABANK SA	Common stock		322,476
	BERDROLA SA	Common stock		305,602
	BUREAU VERITAS SA	Common stock		302,011
	ZOZO INC	Common stock		595,884
	RELX PLC	Common stock		1,733,513
	TIS INC	Common stock		46,985
	MS&AD INSURANCE GRP HLDGS INC	Common stock		275,096
	PERSOL HOLDINGS CO LTD	Common stock		202,403
	KB FINANCIAL GROUP INC	Common stock		496,990
	EVOLUTION MINING LTD	Common stock		135,265
	PANDORA A/S	Common stock		251,726
	ACCENTURE PLC CL A	Common stock		472,745
	MGM CHINA HOLDINGS LIMITED	Common stock		34,364
	JULIUS BAER GRUPPE AG	Common stock		963,848
	GLENCORE PLC	Common stock		69,433
	AIA GROUP LTD	Common stock		1,952,662
	INTERNATIONAL CONS AIRLNS CDI	Common stock		62,128
	SWISS RE LTD	Common stock		89,059
	OTSUKA HOLDINGS CO LTD	Common stock		192,596
	EVONIK INDUSTRIES AG	Common stock		900,716
	DAIICHI LIFE GROUP INC	Common stock		361,159
	MEIJI HOLDINGS CO LTD	Common stock		309,254
	JAMES HARDIE INDUSTRES PLC CDI	Common stock		322,738
	ENEOS HOLDINGS INC	Common stock		45,230
	SOMPO HOLDINGS INC	Common stock		275,930
	ROLLS-ROYCE HOLDINGS PLC	Common stock		883,798
	TELEFONICA BRASIL SA	Common stock		398,595
	NOVONESIS (NOVOZYMES) B	Common stock		43,118
	EASYJET PLC	Common stock		417,494
	HANKOOK TIRE AND TECHNOLOGY CO L	Common stock		119,575
	AGEAS	Common stock		1,222,397
	JAPAN AIRLINES CO LTD	Common stock		161,348
	THE SAGE GROUP PLC	Common stock		385,921
	WPP PLC NEW (UK)	Common stock		224,314
	XERO LTD	Common stock		371,934
	ASML HOLDING NV	Common stock		2,670,257
	TELE2 AB B SHS	Common stock		121,169
	COCA COLA HBC AG	Common stock		512,445
	LEG IMMOBILIEN SE	Common stock		79,887
	SUNTORY BEVERAGE & FOOD LTD	Common stock		1,122,602
	VONOVIA SE	Common stock		94,622
	CIE FINANCIERE RICHEMONT SA A	Common stock		274,767
	KONINKLIJKE AHOLD DELHAIZE NV	Common stock		985,960
	BANK OF IRELAND GROUP PLC	Common stock		1,740,149
	FERRARI NV	Common stock		154,683
	COMPASS GROUP PLC	Common stock		1,697,700
	VALEO SA	Common stock		270,816
	NUTRIEN LTD	Common stock		362,852
	NATIONAL GRID PLC	Common stock		207,661
	OLD MUTUAL LTD	Common stock		286,656
	AIB GROUP PLC	Common stock		1,457,955
	SIGMA HEALTHCARE LTD	Common stock		52,270
	SOFTBANK CORP	Common stock		882,293

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	IIDA GROUP HOLDINGS CO LTD	Common stock		216,884
	NORDEA BANK ABP	Common stock		89,279
	SPOTIFY TECHNOLOGY SA	Common stock		651,557
	WIX.COM LTD	Common stock		43,530
	AMBEV SA	Common stock		842,446
	VODAFONE GROUP PLC	Common stock		846,796
	PROSUS NV	Common stock		210,737
	GAZTRANSPORT ET TECHNIGA	Common stock		79,872
	ALIBABA GROUP HOLDING LTD	Common stock		2,269,696
	TRAVIS PERKINS PLC	Common stock		147,690
	RAIZEN SA	Common stock		46,671
	LIFCO AB	Common stock		45,039
	WISE PLC	Common stock		344,369
	HAIER SMART HOME CO LTD	Common stock		426,156
	ATLAS COPCO AB SER A	Common stock		369,940
	TESCO PLC	Common stock		236,929
	WH GROUP LTD	Common stock		770,894
	AON PLC	Common stock		915,724
	NATWEST GROUP PLC	Common stock		424,747
	KLARNA GROUP PLC	Common stock		323,965
	TENCENT HOLDINGS LTD	Common stock		3,740,527
	DAVIDE CAMPARI MILANO NV	Common stock		1,115,515
	DASSAULT AVIATION SA	Common stock		356,199
	SIEMENS ENERGY AG	Common stock		302,231
	INVESTOR AB SER B	Common stock		85,592
	MONDI PLC	Common stock		240,275
	HALEON PLC	Common stock		2,951,209
	LOTTOMATICA GROUP SPA	Common stock		84,923
	SAMPO OYJ SER A	Common stock		63,370
	AUMOVIO SE	Common stock		118,992
	ENDEAVOUR MINING PLC	Common stock		148,564
	GSK PLC	Common stock		2,430,929
	EURONEXT NV	Common stock		468,124
	DNB BANK ASA	Common stock		492,206
	NN GROUP NV	Common stock		1,287,885
	FINECOBANK SPA	Common stock		2,272,886
	ARGENX SE	Common stock		1,320,015
	ACCELLERON INDUSTRIES LTD	Common stock		106,456
	UNIVERSAL MUSIC GROUP NV	Common stock		2,010,269
	LINDE PLC	Common stock		2,355,805
	NOVO-NORDISK AS CL B	Common stock		2,177,442
	SHELL PLC	Common stock		1,610,610
	SHELL PLC	Common stock		909,829
	GRAB HOLDINGS LTD CL A	Common stock		1,111,363
	DAIMLER TRUCK HOLDING AG	Common stock		1,697,323
	TATE & LYLE PLC	Common stock		128,829
	INDITEX SA	Common stock		2,745,098
	MICHELIN CL B (REG)	Common stock		1,190,697
	AEGON LTD	Common stock		483,221
	SAAB AB CL B	Common stock		115,502
	BOLIDEN AB	Common stock		78,568
	AMS-OSRAM AG	Common stock		57,004
	RECRUIT HOLDINGS CO LTD	Common stock		321,935
	CYBER-ARK SOFTWARE LTD	Common stock		144,523
	GALDERMA GROUP AG	Common stock		959,585
	UBS GROUP AG	Common stock		4,846,652
	FERROVIAL NV	Common stock		98,659
	MEDIBANK PRIVATE LIMITED	Common stock		78,151
	ZABKA GROUP SA	Common stock		1,061,890
	LAOPU GOLD CO LTD H	Common stock		301,746
	KONGSBERG GRUPPEN ASA	Common stock		713,408
	RECKITT BENCKISER GROUP PLC	Common stock		2,077,695
	ANGLO AMERICAN PLC	Common stock		269,757
	AENA SME SA	Common stock		887,107
	MEDTRONIC PLC	Common stock		642,449
	DORMAKABA HOLDING AG NAMEN-AKT	Common stock		50,408

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2025

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	QIAGEN NV	Common stock		665,758
	AMRIZE LTD	Common stock		98,625
	AUTOTRADER GROUP PLC	Common stock		55,489
	UNILEVER PLC ORD	Common stock		188,126
	CK HUTCHISON HOLDINGS LTD	Common stock		370,794
	MERIDIAN ENERGY LTD	Common stock		547,434
	SOUTH32	Common stock		1,006,132
	FLUTTER ENTERTAINMENT PLC	Common stock		564,868
	SVENSKA HANDELSBANKEN SER A	Common stock		80,757
	SHOPIFY INC CL A	Common stock		616,032
	UNICREDIT SPA	Common stock		1,596,060
	ARCELORMITTAL SA	Common stock		1,084,747
	ASSA ABLOY AB SER B	Common stock		244,231
	BEAZLEY PLC/UK	Common stock		37,469
	ABN AMRO BANK NV-GDR	Common stock		779,130
	ICG PLC	Common stock		448,638
	JAPAN POST HOLDINGS CO LTD	Common stock		650,127
	JAPAN POST INSURANCE CO LTD	Common stock		562,528
	SCOUT24 SE	Common stock		674,466
	RYANAIR HOLDINGS PLC	Common stock		60,773
	LAND SECURITIES GROUP PLC	Common stock		269,252
	COLES GROUP LTD	Common stock		226,753
	ANHEUSER-BUSCH INBEV SA/NV	Common stock		140,908
	SARTORIUS STEDIM BIOTECH	Common stock		1,580,209
	CK ASSET HOLDINGS LTD	Common stock		1,086,230
	AMUNDI SA	Common stock		780,408
	ADYEN BV	Common stock		686,758
	JOHNSON MATTHEY PLC	Common stock		70,064
	ING GROEP NV	Common stock		2,415,647
	HENKEL AG & CO KGAA PREF	Preferred Stock		336,485
	VOLKSWAG PFD PERP	Preferred Stock		539,246
	RITC EMERGING MARKETS FUND	Common/Collective Trust Fund		97,094,717
	AUSTRALIAN DOLLAR CURRENCY	Currency		99,862
	BRAZILIAN REAL	Currency		92,276
	BRITISH POUND STERLING CURRENCY	Currency		253,591
	CANADIAN DOLLAR	Currency		64,145
	DANISH KRONE	Currency		16,870
	EURO MONETARY UNIT.	Currency		327,591
	HONG KONG DOLLAR	Currency		91,272
	INDONESIAN RUPIAH CURRENCY	Currency		29,779
	ISRAELI SHEKEL	Currency		65,488
	JAPANESE YEN	Currency		333,001
	NEW ZEALAND DOLLAR CURRENCY	Currency		16,602
	NORWEGIAN KRONA	Currency		70,331
	POLAND ZLOTY NEW	Currency		13
	SINGAPORE DOLLAR CURRENCY	Currency		103,372
	SOUTH AFRICAN RAND CURRENCY	Currency		19,213
	SOUTH KOREAN WON	Currency		75,102
	SWEDISH KRONA CURRENCY	Currency		108,513
	SWISS FRANC	Currency		6,094
	TAIWAN DOLLAR	Currency		31,867
	THAILAND BAHT CURRENCY	Currency		39,076
	BBH STIF FUND	Money Market		19,786,436
	FUTURES CASH COLLATERAL	General Investments - Other		4,817,712
	Total International Separately Managed Account			415,063,934

APPLE 401(k) PLAN
SCHEDULE H, LINE 4(i) – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost**	Current value
	BLACKROCK GLOBAL LIFEPATH INDEX 2030 ACCOUNT A	Common/Collective Trust Fund		739,173,519
	BLACKROCK GLOBAL LIFEPATH INDEX 2035 ACCOUNT A	Common/Collective Trust Fund		1,248,382,855
	BLACKROCK GLOBAL LIFEPATH INDEX 2040 ACCOUNT A	Common/Collective Trust Fund		1,728,793,274
	BLACKROCK GLOBAL LIFEPATH INDEX 2045 ACCOUNT A	Common/Collective Trust Fund		2,569,430,415
	BLACKROCK GLOBAL LIFEPATH INDEX 2050 ACCOUNT A	Common/Collective Trust Fund		2,859,723,912
	BLACKROCK GLOBAL LIFEPATH INDEX 2055 ACCOUNT A	Common/Collective Trust Fund		2,392,267,765
	BLACKROCK GLOBAL LIFEPATH INDEX 2060 ACCOUNT A	Common/Collective Trust Fund		1,052,218,278
	BLACKROCK GLOBAL LIFEPATH INDEX 2065 ACCOUNT A	Common/Collective Trust Fund		315,673,526
	BLACKROCK GLOBAL LIFEPATH INDEX 2070 ACCOUNT A	Common/Collective Trust Fund		16,110,202
	BLACKROCK GLOBAL LIFEPATH INDEX RETIREMENT ACCOUNT A	Common/Collective Trust Fund		655,709,589
	VANGUARD TOTAL BOND MARKET INDEX TRUST	Common/Collective Trust Fund		788,418,580
	EATON VANCE HIGH YIELD CIT V	Common/Collective Trust Fund		203,973,254
	LOOMIS SAYLES CORE PLUS FIXED INCOME CIT F	Common/Collective Trust Fund		156,507,121
	VANGUARD INSTITUTIONAL 500 INDEX TRUST	Common/Collective Trust Fund		5,899,110,586
	VANGUARD EXTENDED MARKET INDEX TRUST	Common/Collective Trust Fund		1,279,447,931
	VANGUARD TOTAL INTL STOCK INDEX TRUST	Common/Collective Trust Fund		1,343,430,398
	BNY MELLON EB GLOBAL REAL ESTATE SEC II	Common/Collective Trust Fund		206,131,432
	BROKERGE ACCOUNT	Various Accounts		2,153,504,672
	Total Investments			30,502,324,769
	Participant Loans *	Interest rates ranging from 4.25% to 9.50%		239,361,595
				<u>\$ 30,741,686,364</u>

*Indicates party-in-interest

**Cost is not required for participant directed investments