

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2024 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2024 or fiscal plan year beginning 12/01/2024 and ending 11/30/2025	
A	This return/report is for: ☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____
B	This return/report is: ☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
C	If the plan is a collectively-bargained plan, check here. ▶ ☐
D	Check box if filing under: ☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)
E	If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. ▶ ☐

Part II	Basic Plan Information—enter all requested information		
1a	Name of plan GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN	1b	Three-digit plan number (PN) ▶ 001
		1c	Effective date of plan 12/01/1981
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) GOETTLE HOLDING COMPANY, INC. 12071 HAMILTON AVE CINCINNATI, OH 04231-1032	2b	Employer Identification Number (EIN) 31-0589745
		2c	Plan Sponsor's telephone number 513-825-8100
		2d	Business code (see instructions) 238100

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	09/15/2026	JEFF GINTER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: a Sponsor's name c Plan Name	4b EIN 4d PN
5 Total number of participants at the beginning of the plan year	5 245
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g(1) Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item) g(2) Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6a(1) 223 6a(2) 201 6b 1 6c 24 6d 226 6e 0 6f 226 6g(1) 199 6g(2) 226 6h 19
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
 2I 2P 2Q 3H 3I

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1) ☒ **R** (Retirement Plan Information)
- (2) ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3) ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary
- (4) ☐ **DCG** (Individual Plan Information) – Number Attached _____
- (5) ☐ **MEP** (Multiple-Employer Retirement Plan Information)

b General Schedules

- (1) ☒ **H** (Financial Information)
- (2) ☐ **I** (Financial Information – Small Plan)
- (3) ☐ **A** (Insurance Information) – Number Attached 0
- (4) ☒ **C** (Service Provider Information)
- (5) ☐ **D** (DFE/Participating Plan Information)
- (6) ☐ **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2024 Form M-1 annual report. If the plan was not required to file the 2024 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2024
		This Form is Open to Public Inspection.
For calendar plan year 2024 or fiscal plan year beginning 12/01/2024 and ending 11/30/2025		
A Name of plan GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 GOETTLE HOLDING COMPANY, INC.	D Employer Identification Number (EIN) 31-0589745	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. ☐ Yes ☒ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

UBS

13-2638166

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 71	INVESTMENT MANAGEMENT	34456	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
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(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2024
		This Form is Open to Public Inspection

For calendar plan year 2024 or fiscal plan year beginning 12/01/2024 and ending 11/30/2025

A Name of plan GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 GOETTLE HOLDING COMPANY, INC.	D Employer Identification Number (EIN) 31-0589745

Part I	Asset and Liability Statement		
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions.....	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	170957	1228721
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	691306	233746
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	2012223	2730976
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	671544	1619245
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)	46254208	54204150
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	49800238	60016838
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j	5890609	5025780
k Total liabilities (add all amounts in lines 1g through 1j)	1k	5890609	5025780
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	43909629	54991058

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	4000000	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		4000000
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	59586	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		59586
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	0	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		0
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	8323815	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		
d Total income. Add all income amounts in column (b) and enter total	2d		12383401

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	1136385	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		1136385
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		131058
i Administrative expenses:			
(1) Salaries and allowances	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Recordkeeping fees	2i(3)		
(4) IQPA audit fees	2i(4)		
(5) Investment advisory and investment management fees	2i(5)		
(6) Bank or trust company trustee/custodial fees	2i(6)	34529	
(7) Actuarial fees	2i(7)		
(8) Legal fees	2i(8)		
(9) Valuation/appraisal fees	2i(9)		
(10) Other trustee fees and expenses	2i(10)		
(11) Other expenses	2i(11)		
(12) Total administrative expenses. Add lines 2i(1) through (11)	2i(12)		34529
j Total expenses. Add all expense amounts in column (b) and enter total	2j		1301972

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		11081429
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unmodified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) ☒ DOL Regulation 2520.103-8 (2) ☐ DOL Regulation 2520.103-12(d) (3) ☐ neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BARNES DENNIG & COMPANY LTD**

(2) EIN: **31-1119890**

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) ☐ This form is filed for a CCT, PSA, DCG or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

	Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)		☒	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)		☒	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		☒	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		☒	
e Was this plan covered by a fidelity bond?	☒		1000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		☒	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		☒	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		☒	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	☒		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)		☒	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		☒	
l Has the plan failed to provide any benefit when due under the plan?		☒	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		☒	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?..... ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation		Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.		OMB No. 1210-0110 2024 This Form is Open to Public Inspection.	
For calendar plan year 2024 or fiscal plan year beginning 12/01/2024 and ending 11/30/2025					
A Name of plan GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN				B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 GOETTLE HOLDING COMPANY, INC.				D Employer Identification Number (EIN) 31-0589745	
Part I Distributions					
All references to distributions relate only to payments of benefits during the plan year.					
1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....				1	0
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): 42-0127290					
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.					
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year				3	
Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)					
4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☐ N/A					
If the plan is a defined benefit plan, go to line 8.					
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____					
If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.					
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)				6a	
b Enter the amount contributed by the employer to the plan for this plan year				6b	
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....				6c	
If you completed line 6c, skip lines 8 and 9.					
7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A					
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☐ N/A					
Part III Amendments					
9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... ☐ Increase ☐ Decrease ☐ Both ☐ No					
Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.					
10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☒ No					
11 a Does the ESOP hold any preferred stock? ☐ Yes ☒ No					
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☒ No					
12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☒ Yes ☐ No					
For Paperwork Reduction Act Notice, see the Instructions for Form 5500.				Schedule R (Form 5500) 2024 v. 240311	

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment).....

14a

b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14b

c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment).....

14c

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year.....

15a

b The corresponding number for the second preceding plan year.....

15b

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year.....

16a

b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers.....

16b

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment..... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) and (b):

a Enter the percentage of plan assets held as:

Public Equity: _____% Private Equity: _____% Investment-Grade Debt and Interest Rate Hedging Assets: _____%

High-Yield Debt: _____% Real Assets: _____% Cash or Cash Equivalents: _____% Other: _____%

b Provide the average duration of the Investment-Grade Debt and Interest Rate Hedging Assets:

☐ 0-5 years ☐ 5-10 years ☐ 10-15 years ☐ 15 years or more

- 20 PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation.....

Part VII IRS Compliance Questions

- 21a** Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? ☐ Yes ☒ No

- 21b** If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).

☐ Design-based safe harbor method

☐ "Prior year" ADP test

☐ "Current year" ADP test

☒ N/A

- 22** If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter ____/____/____ (MM/DD/YYYY) and the Opinion Letter serial number_____.

Goettle Employee Stock Ownership Plan

**Financial Statements with Supplemental Information
Years Ended November 30, 2025 and 2024
With Independent Auditors' Report**



BARNES DENNIG

Accounting • Tax • Business Insight

OHIO

CINCINNATI OFFICE
150 E. 4th Street
Cincinnati, OH 45202
Main: 513.241.8313
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Independent Auditors' Report

To the Plan Administrator of Goettle
Employee Stock Ownership Plan
Cincinnati, OH

Opinion

We have audited the accompanying financial statements of Goettle Employee Stock Ownership Plan, an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), which comprise the statements of net assets available for benefits as of November 30, 2025 and 2024, and the related statement of changes in net assets available for benefits for the year ended November 30, 2025, and the related notes to the financial statements.

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of Goettle Employee Stock Ownership Plan as of November 30, 2025 and 2024 and the changes in its net assets available for benefits for the year ended November 30, 2025, in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of Goettle Employee Stock Ownership Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Emphasis of a Matter

As discussed in Note 2 to the financial statements, the November 30, 2025 and 2024 financial statements include common stock of Goettle Holding Company, Inc., and Subsidiaries valued at \$54,204,150 (99% of net assets) and \$46,254,208 (105% of net assets), respectively, whose value has been estimated by the Trustees of the Goettle Employee Stock Ownership Plan, based on an independent appraisal, in the absence of a readily ascertainable market value. We have examined the procedures used by the Trustees in arriving at its estimate of the value of such securities and have inspected the underlying documentation, and in the circumstances, we believe that such procedures are reasonable and the documentation appropriate. However, because of inherent uncertainty of a valuation, those estimated values may differ significantly from the values that would have been used had a ready market for the securities existed, and the differences could be material. Our opinion is not modified with respect to the matter.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

BARNES DENNIG

Independent Auditors' Report (Continued)

Responsibilities of Management for the Financial Statements (Continued)

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about Goettle Employee Stock Ownership Plan's ability to continue as a going concern for one year after the date the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the plan, and determining that the plan's transactions that are presented and disclosed in the financial statements are in conformity with the plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditors' Responsibilities

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of Goettle Employee Stock Ownership Plan's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about Goettle Employee Stock Ownership Plan's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

BARNES DENNIG

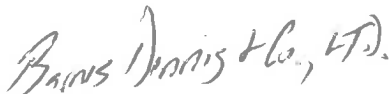
Independent Auditors' Report (Continued)

Supplemental Schedule Required by ERISA

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) at November 30, 2025 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with generally accepted auditing standards.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion, the information in the accompanying schedule is fairly stated, in all material respects, in relation to the financial statements as a whole, and the form and content is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.



September 11, 2026
Cincinnati, OH

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Statements of Net Assets Available for Benefits November 30, 2025 and 2024

	2025		
	Allocated	Unallocated	Total
Assets			
Cash and cash equivalents	\$ 1,228,721	\$ -	\$ 1,228,721
Marketable securities, at fair value	4,583,967	-	4,583,967
Common stock of Goettle Holding Company, Inc., and Subsidiaries, at fair value	42,707,837	11,496,313	54,204,150
Total assets	48,520,525	11,496,313	60,016,838
Liabilities			
Notes payable	-	5,025,780	5,025,780
Total liabilities	-	5,025,780	5,025,780
Net assets available for benefits	\$ 48,520,525	\$ 6,470,533	\$ 54,991,058
	2024		
	Allocated	Unallocated	Total
Assets			
Cash and cash equivalents	\$ 170,957	\$ -	\$ 170,957
Marketable securities, at fair value	3,375,073	-	3,375,073
Common stock of Goettle Holding Company, Inc., and Subsidiaries, at fair value	34,553,831	11,700,377	46,254,208
Total assets	38,099,861	11,700,377	49,800,238
Liabilities			
Notes payable	-	5,890,609	5,890,609
Total liabilities	-	5,890,609	5,890,609
Net assets available for benefits	\$ 38,099,861	\$ 5,809,768	\$ 43,909,629

The accompanying notes are an integral part of these financial statements

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Statement of Changes in Net Assets Available for Benefits Year Ended November 30, 2025

	<u>Allocated</u>	<u>Unallocated</u>	<u>Total</u>
Additions			
Investment income			
Dividend and interest income	\$ 59,586	\$ -	\$ 59,586
Net appreciation in fair value of investments	6,312,814	2,011,001	8,323,815
	<u>6,372,400</u>	<u>2,011,001</u>	<u>8,383,401</u>
Employer contributions	3,004,113	995,887	4,000,000
	<u>3,004,113</u>	<u>995,887</u>	<u>4,000,000</u>
Allocation of 14,767.11 shares of Goettle Holding Company, Inc., and Subsidiaries common stock	2,215,067	(2,215,067)	-
	<u>11,591,580</u>	<u>791,821</u>	<u>12,383,401</u>
Deductions			
Benefit payments to participants	1,136,385	-	1,136,385
Interest expense	-	131,056	131,056
Administrative expenses	34,531	-	34,531
	<u>1,170,916</u>	<u>131,056</u>	<u>1,301,972</u>
Net increase	10,420,664	660,765	11,081,429
Net assets available for benefits			
Beginning of year	38,099,861	5,809,768	43,909,629
End of year	<u>\$ 48,520,525</u>	<u>\$ 6,470,533</u>	<u>\$ 54,991,058</u>

The accompanying notes are an integral part of these financial statements

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Notes to Financial Statements

NOTE 1 DESCRIPTION OF THE PLAN

The following description of Goettle Employee Stock Ownership Plan provides only general information. Participants should refer to their Goettle Employee Stock Ownership Plan document for a more complete description of the Plan's provisions.

General

Goettle Holding, Inc., and Subsidiaries (the "Company") established the Goettle Employee Stock Ownership Plan, formerly the Richard Goettle, Inc. Employee Stock Ownership Plan (the "Plan") effective as of December 1, 1981. The Plan has been set up to operate as a leveraged Employee Stock Ownership Plan (the "ESOP"). It is also designed to comply with Section 4975(e)(7) and the regulations thereunder of the Internal Revenue Code of 1986, as amended (the "Code") and will be funded through Company contributions. The Plan is subject to the applicable provisions of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"). The Plan is administered by the Trustees of the Employee Stock Ownership Plan of the Company appointed by the Company's Board of Directors. The common shares of the Company are valued annually as of November 30 (the "valuation date") based on an appraisal by an independent third party.

Eligibility and Participation

Employees of the Company except (i) leased employees, (ii) students or interns, (iii) employees covered by a collective bargaining agreement under which the Company has engaged in good faith negotiations about retirement benefits unless such bargaining results in participation in this plan, (iv) nonresident aliens receiving no earned income from sources within the United States, (v) employees of a related party or affiliate of the Company, unless that company is a participating employer in the plan, are generally eligible to participate in the Plan provided they have attained age 21 and have been credited with at least one full year of service, defined as a year in which an employee has earned not less than 1,000 hours of service.

Contributions

Contributions to the Plan are at the discretion of the Company provided that such contributions, shall be sufficient to cover principal and interest on any outstanding loans. As the Plan makes each payment of principal and interest, an appropriate percentage of stock will be allocated to eligible employees' accounts in accordance with applicable regulations under the Internal Revenue Code.

Participant Accounts

The Plan is a defined contribution plan under which a separate individual account is established for each participant. Each participant's account is credited as the last day of each year with an allocation of company contributions released from the unallocated account and forfeitures of terminated participants' non-vested accounts. Only those participants who are eligible employees of the Company as of the last day of the plan year will receive an allocation. Allocations are based on a participant's eligible compensation, relative to total eligible compensation. At the end of each plan year after all allocations have been performed, the Trustee will rebalance the assets held in participant accounts. The assets held in participant accounts will be adjusted so that all accounts under the Plan hold the same percentage of employer stock and other investments. The Plan does not allow for participant directed investments.

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Notes to Financial Statements (Continued)

NOTE 1 DESCRIPTION OF THE PLAN (CONTINUED)

Payment of Benefits

Subject to the Participant's consent, the Trustee shall commence distribution to the Participant as soon as administratively feasible following the end of the Plan year that includes the date the Participant terminates employment for any reason, including death, or if later, after distribution is elected in connection with the Participant's termination of employment.

Participants attaining normal retirement age (age 65) may request a distribution of all or any portion of the Participant's vested account balance. The distribution of the requested amount shall be made as soon as administratively feasible after the distribution is elected.

Distributions will be made in the form of a lump sum payment or annual installments over a period not to exceed ten years based on the distribution policy adopted by the Company from time to time.

Expenses

All usual and reasonable expenses of the Plan Administrator may be paid in whole or in part by the Company. Any expense not paid by the Company shall be paid out of the principal or income of the Plan.

Participant Accounts and Forfeitures

Employer contributions and plan forfeitures are allocated to each participant's account based on the relation of the participant's compensation to total compensation for the plan year. Plan earnings are allocated to each participant's account based upon the beginning balances in their cash account less any distribution from such amount since the preceding allocation date. Plan expenses are allocated to each participant's account based upon their total beginning account balances less any distributions since the preceding allocation date.

Vesting

Participant account balances vest at a rate of 20% per year in years two through six of participation in the Plan, with full vesting upon the earlier of normal retirement, disability, death or six years of vesting service.

Diversification

Shares purchased by the ESOP after November 30, 1986, are subject to the diversification rules of Code Section 401(a)(28)(B). Qualified participants (have attained age 55 and 10 years of participation) must be permitted to diversify up to 25% of the value of post-1986 shares allocated to their account. This election period runs for each of the first five years in which a participant qualifies. Under Code Section 401(a)(28)(B), qualified participants (have attained age 60 and 10 years of participation) must be permitted to diversify up to 50% of the value of post-1986 shares allocated to their account. This election period runs for each of the first five years in which a participant qualifies. In addition, the Plan allows for diversification rights regardless of whether the participant is a qualified participant. Under this provision, participants with certain years of participation may direct liquidation of the applicable percentage (25% in year 20 and 50% in year 30) of the number of shares of employer stock allocated. If a participant is eligible and fails to make election, this additional diversification will be segregated from the participant's account balance and the participant will receive cash for the liquidated shares.

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Notes to Financial Statements (Continued)

NOTE 1 DESCRIPTION OF THE PLAN (CONTINUED)

Put Option

Under Federal income tax regulations, the employer stock that is held by the Plan and its participants and is not readily tradable on an established market or is subject to trading limitations includes a put option. The put option is a right to demand that the Company buy any shares of its stock distributed to participants for which there is no market. The put price is representative of the fair market value of the stock. The Company can pay for the purchases with interest over a period of five years. The purpose of the put option is to ensure that the participant has the ability to ultimately obtain cash.

Investment Concentrations

The Plan holds a substantial portion of its investments as of November 30, 2025 and 2024 in shares of Company stock which are not readily tradable in an established market.

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The accompanying financial statements of the Plan have been prepared on the accrual basis of accounting using accounting principles generally accepted in the United States of America ("GAAP").

Use of Estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts and disclosures. Accordingly, actual results may differ from those estimates. The Plan intends to invest primarily in common stock of the Company. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the financial statements.

Administration of the Plan Assets

Several employees of the Company provide administrative services to the Plan. These services include Plan oversight and day-to-day Plan administration. The Plan is not charged by the Company for the services of the employees to the Plan.

Certain expenses and costs of the Plan were paid by the Company and, accordingly, are not reflected in the Plan's financial statements.

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Notes to Financial Statements (Continued)

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Investment Valuation and Income Recognition

The common stock of the Company (361,361 shares held at November 30, 2025 and 2024) is not actively traded. The estimated fair value of the common stock (\$150 at November 30, 2025) is based upon appraisals from an independent appraisal company. Such appraisals represent a fair value for the stock in the opinion of the Plan's Trustees. The cost of common stock redeemed from participants is determined by the average cost method.

Purchases and sales of securities are recorded on a trade-date basis. Realized gains and losses from security transactions are reported on the average cost method. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Subsequent Events

The Company has evaluated subsequent events to the balance sheet date of November 30, 2025 through September 11, 2026, which is the date these financial statements were available to be issued.

NOTE 3 TAX STATUS

The Plan Sponsor has received a favorable determination letter from the Internal Revenue Service dated August 23, 2023. Accordingly, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. They believe that the Plan was qualified, and the related trust was tax-exempt as of the financial statement date. Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States require the evaluation of tax positions taken by the Plan and recognize a tax liability or asset if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Company has analyzed the tax positions taken by the Plan and has concluded that as of November 30, 2025, there are no uncertain tax positions taken or expected to be taken that would require recognition of a liability or asset or disclosure in the Plan's financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan's tax filings are subject to examination generally for three years after they are filed.

NOTE 4 PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Notes to Financial Statements (Continued)

NOTE 5 FAIR VALUE MEASUREMENTS

GAAP provisions for Fair Value Measurements establish a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under GAAP provisions for Fair Value Measurements are described below:

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 – Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in methodologies used at November 30, 2025 and 2024:

Common Stock of Goettle Holding, Inc., and Subsidiaries: Valued at fair value as determined by the Plan's Trustees as of the last day of the Plan year (Level 3 inputs), based on the recommendation of an independent appraiser selected by the Plan's Trustees. This appraisal is performed using a combination of the income approach, which applies the discounted cash flow methodology, and the market approach, which applies the valuation multiples methodologies of guideline public companies and guideline transactions. Under the discounted cash flow method, projections are based upon past performance as identified in audited financial statements of the Company and management's projections of future performance. The resulting cash flow projections are discounted using a market related discount rate (which incorporates the Company's estimated weighted average cost of capital). The guideline public companies which are used for the valuation multiples are deemed to be as comparable as possible to the Company. The guideline transactions used include those in which the buyer acquired at least a majority stake in a target company determined to be comparable to the Company. Under both approaches a discount is applied for the lack of marketability since the Company's stock is not readily tradable on an established market. The historical and projected financial information of the Company which is used by the appraiser is provided by the Company's financial management. The appraiser prepares a preliminary report which management and the Plan's Trustees review in detail and approves the final value of the stock.

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Notes to Financial Statements (Continued)

NOTE 5 FAIR VALUE MEASUREMENTS (CONTINUED)

Money market fund: Valued at net asset value ("NAV") of shares held by the plan at year end.

Common Stock: Valued using publicly traded prices of the shares.

Registered investment companies: Valued using quoted net asset values of the shares as reported by the fund. The mutual funds held by the Plan are open-end mutual funds registered with the U.S. Securities and Exchange Commission. The funds must publish their daily net asset value and transact at that price. The mutual funds held by the Plan are considered to be actively traded.

Corporate bonds: Value is estimated using various techniques, which consider recently executed transactions in securities of the issuer or comparable issuers, market price quotations (when observable), bond spreads, fundamental data relating to the issuer, and credit default swap spreads adjusted for any basis difference between cash and derivative instruments.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Assets Measured at Fair Value on a Recurring Basis

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of November 30, 2025

	Level 1	Level 2	Level 3	Total
Cash equivalents	\$ 178,721	\$ -	\$ -	\$ 178,721
Money market fund	-	1,050,000	-	1,050,000
Common stocks	2,730,976	-	-	2,730,976
Registered investment companies	1,619,245	-	-	1,619,245
Corporate bonds	-	233,746	-	233,746
Common stock of Goettle Holding Company, Inc., and Subsidiaries	-	-	54,204,150	54,204,150
Total assets at fair value	<u>\$ 4,528,942</u>	<u>\$ 1,283,746</u>	<u>\$ 54,204,150</u>	<u>\$ 60,016,838</u>

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of November 30, 2024:

	Level 1	Level 2	Level 3	Total
Cash equivalents	\$ 170,957	\$ -	\$ -	\$ 170,957
Common stocks	2,012,223	-	-	2,012,223
Registered investment companies	1,272,587	-	-	1,272,587
Corporate bonds	-	90,263	-	90,263
Common stock of Goettle Holding Company, Inc., and Subsidiaries	-	-	46,254,208	46,254,208
Total assets at fair value	<u>\$ 3,455,767</u>	<u>\$ 90,263</u>	<u>\$ 46,254,208</u>	<u>\$ 49,800,238</u>

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Notes to Financial Statements (Continued)

NOTE 5 FAIR VALUE MEASUREMENTS (CONTINUED)

The following is a summary of changes in the fair value of the Plan's Level 3 assets for year ended November 30, 2025:

	Common stock Goettle Holding Company, Inc., and Subsidiaries
Balance, beginning of year	\$ 46,254,208
Unrealized gains relating to instruments still held at the reporting date	<u>7,949,942</u>
Balance, end of year	<u>\$ 54,204,150</u>

NOTE 6 NOTES PAYABLE

The Plan has various term notes with the Company during 2012 through 2021. The notes are payable in annual installments ranging from \$50,106 to \$261,822 and mature on various dates through 2043. The notes bear interest at a fixed rate ranging from 1.12% to 3.28%. The notes are collateralized by the unallocated shares of Company common stock.

As of the end of each plan year, the number of the unallocated shares to be allocated shall be calculated by multiplying the number of unallocated shares immediately before the release by a fraction: the numerator of the fraction shall be the amount of principal and interest paid with respect to the note payable during the year; the denominator of the fraction shall be the sum of the numerator and the remaining payments of principal and interest thereon.

The lender has no rights against shares once they are allocated under the ESOP. Accordingly, the financial statements of the Plan present separately the assets and liabilities and changes therein pertaining to (a) the accounts of employees with rights in allocated stock and (b) stock not yet allocated to employees. During the year ending November 30, 2025, 14,767.11 shares of Company common stock with a fair value of \$2,215,067 were released from the Plan's unallocated shares, as a result of repayments of the ESOP debt. As of November 30, 2025, 76,642.08 shares of Company common stock were held as collateral for the ESOP debt.

Long-term debt maturities during the next five years are tabulated as follows:

2026	\$ 788,620
2027	542,707
2028	434,763
2029	443,199
2030	451,718
Thereafter	<u>2,364,773</u>
	<u>\$ 5,025,780</u>

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Notes to Financial Statements (Continued)

NOTE 7 PARTY-IN-INTEREST AND RELATED PARTY TRANSACTIONS

Certain Plan investments are considered party-in-interest investments. These include common stock of the Company. The Plan's investment custodian and Blue Ridge ESOP Associates, the Plan's trustee and recordkeeper, receive fees for their services to the Plan, which have been paid by the Company on behalf of the Plan.

Certain employees and/or officers of the Company provide trustee and other administrative services for the Plan. These employees and/or officers are also participants of the Plan. No such officer or employee receives compensation from the Plan.

At November 30, 2025 and 2024, the Plan owned 361,361 shares of Goettle Holding, Inc., and Subsidiaries, common stock with a cost of \$20,680,690 and \$19,780,901, respectively. Information about the Plan's investment in Goettle Holding, Inc., and Subsidiaries, common stock is as follows:

	November 30, 2025		November 30, 2024	
	Allocated	Unallocated	Allocated	Unallocated
Goettle Holding Company, Inc., and Subsidiaries common shares:				
Number of shares	<u>284,718.9161</u>	<u>76,642.0839</u>	<u>269,951.8022</u>	<u>91,409.1978</u>
Cost	<u>\$ 16,294,464</u>	<u>\$ 4,386,226</u>	<u>\$ 14,777,162</u>	<u>\$ 5,003,739</u>
Estimated fair value	<u>\$ 42,707,837</u>	<u>\$ 11,496,313</u>	<u>\$ 34,553,831</u>	<u>\$ 11,700,377</u>

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) November 30, 2025

Schedule H (Form 5500)
EIN 31-0589745
Plan Number – 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower of similar entity	Description of Investment	Cost	Current Value
*	UBS Financial Services, Inc.	Liquid Assets Government Fund	\$ 178,721	\$ 178,721
*	UBS Financial Services, Inc.	Federated Hermes Money Market Obligations Trust	1,050,000	1,050,000
	Various - see attached	Common stocks	1,396,749	2,730,976
	Various - see attached	Equities - registered investment companies	603,462	765,521
	Various - see attached	Fixed income - corporate bonds	86,507	233,746
	Various - see attached	Fixed income - registered investment companies	589,006	737,360
	Various - see attached	Commodities - registered investment companies	95,964	116,364
*	Goettle Holding Company, Inc., and Subsidiaries	Common Stock of Goettle Holding Company, Inc., and Subsidiaries	20,680,690	54,204,150
			<u>\$ 23,452,378</u>	<u>\$ 60,016,838</u>

* Party-in-interest

Note: The common stock of Goettle Holding Company, Inc., and subsidiaries is a party-in-interest to the Plan and is not actively traded. The market value of the common stock is based upon an appraisal from an independent appraisal company. Such appraisal represents a fair value for the stock in the opinion of the Plan's Trustees.

See independent auditors' report



Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement*, at the end of this document, for more information.

Cash

Cash and money balances

Holding	Opening balance on Nov 1 (\$)	Closing balance on Nov 28 (\$)	Price per share on Nov 28 (\$)	Average rate	Dividend/Interest per period	Days in period
Cash	975.00	0.00				
LIQUID ASSETS GOVT FUND	91,663.90	112,906.07	1.00	4.14%	Oct 1 to Oct 31	31
Total	\$92,638.90	\$112,906.07				

Cash alternatives

Money market funds

Money market funds are neither insured nor guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Institutional prime and institutional municipal funds must float their net asset value (NAV) per share to the nearest 1/100th of a cent, e.g., \$1.0000. Government and retail money market funds will continue to transact at a stable \$1.00 net asset value. Although money market funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in these funds.

Note: Reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot or purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Estimated annual income (EAI) is for informational purposes only and is calculated by the annualized per share dividend interest paid, multiplied by the quantity of the shares held.

Current yield is estimated for informational purposes only and may not reflect changes in price. It is calculated by dividing the total estimated annual income by the current market value displayed.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
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FEDERATED HERMES US TRSY

CASH RES FUND CLASS ADV

1,050,000.000
1,000
1,050,000.00

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Symbols: J11XX

EAI: \$42.427 Current yield: 4.04%



Your assets (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
ABBVIE INC COM								
Symbol: ABBV Exchange: NYSE								
EAI: \$2,076 Current yield: 3.04%	Nov 11, 25	215,000	274.220	48,707.49	277.700	48,955.50	748.01	ST
	Nov 24, 25	85,000	230.150	19,562.75	277.700	19,351.50	-208.25	ST
Security total		300,000	225.901	67,770.24		68,310.00	539.76	
ALPHABET INC CL A								
Symbol: GOOG Exchange: OTC								
EAI: \$336 Current yield: 0.26%	Mar 15, 23	34,000	94.865	3,225.41	320.180	10,886.12	7,660.71	LT
	Mar 31, 23	26,000	102.805	2,672.93	320.180	8,324.68	5,651.75	LT
	May 18, 23	65,000	122.510	7,963.15	320.180	20,811.70	12,848.55	LT
	May 25, 23	23,000	123.480	2,840.04	320.180	7,364.14	4,524.10	LT
	Jun 5, 23	2,000	127.120	251.21	320.180	640.36	386.12	LT
	Nov 9, 23	10,000	130.460	1,304.60	320.180	3,201.80	1,897.20	LT
	Nov 11, 24	40,000	130.217	5,208.68	320.180	12,807.20	5,598.52	LT
	Jan 2, 25	75,000	139.286	14,195.45	320.180	24,013.50	9,817.05	ST
	Mar 4, 25	25,000	172.480	4,312.00	320.180	8,004.50	3,692.50	ST
	Aug 14, 25	15,000	203.458	3,051.88	320.180	4,802.70	1,750.82	ST
	Nov 20, 25	10,000	290.026	2,900.26	320.180	3,201.80	301.54	ST
	Nov 24, 25	75,000	318.255	23,869.13	320.180	24,013.50	144.37	ST
Security total		400,000	184.497	73,798.77		128,072.00	54,273.23	
AMAZON.COM INC								
Symbol: AMZN Exchange: OTC								
	Jun 8, 20	80,000	126.398	10,111.91	233.220	18,657.60	8,545.69	LT
	Jun 23, 22	5,000	111.920	559.60	233.220	1,166.10	606.50	LT
	Jul 19, 22	15,000	116.160	1,742.40	233.220	3,498.30	1,755.90	LT
	Nov 14, 22	25,000	99.700	2,492.50	233.220	5,830.50	3,338.00	LT
	May 11, 23	14,000	124.35	1,740.89	233.220	3,265.08	1,524.19	LT
	May 18, 23	11,000	117.825	1,296.08	233.220	2,565.42	1,269.34	LT
	Sep 14, 23	50,000	125.259	7,262.98	233.220	11,661.00	4,398.02	LT
	Sep 27, 23	25,000	124.760	3,119.00	233.220	5,830.50	2,711.50	LT
	Oct 4, 23	75,000	126.999	9,524.99	233.220	17,491.50	7,966.51	LT

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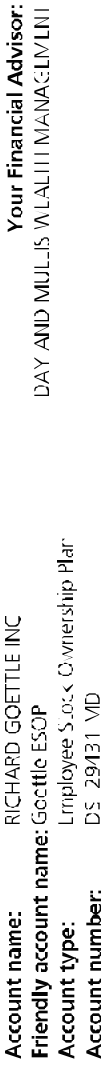


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VICROSOFTE CORP
 Symbo . MSFT Exchange. CTC
 EAl: \$546 Current yield: 0.72%

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Your assets ▶ Equities ▶ Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
NETELX NC	Jun 9, 20	10,000	189.210	1,892.10	492.010	4,920.10	3,028.00	LT
	Jun 12, 20	5,000	191.420	957.10	492.010	2,460.05	1,502.95	LT
	Nov 14, 22	10,000	243.690	2,436.90	492.010	4,920.10	2,483.20	LT
	May 18, 23	5,000	317.008	1,585.04	492.010	2,460.05	875.01	LT
	Sep 14, 23	30,000	339.599	10,187.97	492.010	14,760.30	4,572.33	LT
	Oct 5, 23	5,000	318.828	1,594.14	492.010	2,460.05	865.91	LI
	Oct 9, 23	5,000	329.664	1,648.32	492.010	2,460.05	811.73	LT
	Oct 12, 23	15,000	330.930	4,963.95	492.010	7,380.15	2,416.20	LT
	Oct 24, 23	5,000	331.100	1,655.50	492.010	2,460.05	804.55	LT
	Security total	150,000	254,573	36,185.01		73,801.50	35,615.49	
NETELX NC	Jan 22, 25	350,000	95.384	33,384.40	107.580	37,653.00	4,268.60	ST
	Jan 29, 25	50,000	97.685	4,884.28	107.580	5,379.00	494.72	ST
	Mar 4, 25	100,000	98.257	9,825.71	107.580	10,758.00	932.29	ST
	Mar 5, 25	50,000	98.876	4,943.80	107.580	5,379.00	435.20	ST
	Apr 7, 25	100,000	87.700	8,770.00	107.580	10,758.00	1,988.00	ST
	Security total	650,000	95.090	61,808.19		69,927.00	8,118.81	
NVDA CORP	Jun 8, 20	450,000	8.785	3,953.77	177.000	79,650.00	75,696.53	LT
	Oct 25, 22	50,000	13.175	658.75	177.000	8,850.00	8,191.25	LT
	Nov 14, 22	50,000	16.465	823.29	177.000	8,850.00	8,026.71	LT
	Nov 29, 22	50,000	15.692	784.60	177.000	8,850.00	8,065.40	LT
	Sep 21, 23	50,000	41.480	2,074.00	177.000	8,850.00	6,776.00	LT
	Sep 22, 23	50,000	41.757	2,087.89	177.000	8,850.00	6,762.11	LT
	Oct 5, 23	65,000	44.800	2,912.00	177.000	11,505.00	8,593.00	LI
	Oct 24, 23	100,000	43.172	4,317.23	177.000	17,700.00	13,382.77	LI
	Oct 8, 24	10,000	32.470	1,324.70	177.000	1,770.00	445.30	LI
	Mar 4, 25	100,000	18.685	11,868.50	177.000	17,700.00	5,831.50	ST
	Security total	350,000		This information was unavailable	177.000	61,950.00	11,770.57	
		1,325,000	31.594	30,804.43		234,525.00		continued next page





Your assets ▶ Equities ▶ Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 7, 24	130,000	150.520	20,737.60	156.540	20,350.20	387.40	LT
	Jun 24, 25	20,000	151.270	3,025.41	156.540	3,130.80	105.39	ST
		350,000	115.711	40,498.87		54,789.00	14,290.13	
ROYAL CARIBBEAN CRUISES LTD								
LIBERIA ORD								
Symco : RCL Exchange: NYSE								
EAT: \$656 Current yield: 1.15%								
Security total	Nov 22, 23	48,000	136.940	5,133.12	266.250	12,780.00	7,646.88	LT
	Dec 14, 23	40,000	121.835	4,873.40	266.250	10,650.00	5,776.60	LT
	Apr 23, 24	12,000	137.349	1,648.19	266.250	3,195.00	1,546.81	LT
	Jun 12, 24	25,000	156.962	3,924.07	266.250	6,656.25	2,732.18	LT
	Sep 27, 24	10,000	178.705	1,787.05	266.250	2,662.50	875.45	LT
	Oct 22, 24	5,000	203.296	1,016.48	266.250	1,331.25	314.77	LT
	Nov 5, 24	10,000	209.725	2,097.25	266.250	2,662.50	565.25	LT
	Nov 7, 24	65,000	222.550	14,465.75	266.250	17,306.25	2,840.50	LT
		215,000	162.536	34,945.31		57,243.75	22,298.44	
STOILY LLC INNOVACY SA LUIR								
Symco : SPOT Exchange: NYSE								
JRI Exchange rate: 0.86156								
Security total	Jul 31, 24	65,000	342.950	22,291.75	598.870	38,976.55	16,684.80	LT
	Nov 5, 24	5,000	381.140	1,905.70	598.870	2,991.35	1,085.65	LT
	Nov 7, 24	50,000	399.518	19,975.90	598.870	29,943.50	9,967.60	LT
	Apr 7, 25	5,000	526.476	2,632.38	598.870	2,994.35	361.97	ST
	Aug 4, 25	15,000	660.300	9,904.50	598.870	8,983.05	921.45	ST
		140,000	405.073	56,710.23		83,841.80	27,131.57	
JINTD RENTALS NC								
Symco : JRI Exchange: NYSE								
EAT: \$501 Current yield: 0.88%								
Security total	Nov 3, 22	20,000	317.818	6,356.37	815.180	16,303.60	9,947.23	LT
	Jun 6, 23	5,000	370.310	1,851.55	815.180	4,075.90	2,224.35	LT
	Sep 14, 23	5,000	452.182	2,263.91	815.180	4,075.90	1,811.99	LT
	Oct 12, 23	10,000	445.150	4,451.50	815.180	8,151.80	3,700.30	LT
	Dec 14, 23	10,000	506.035	5,060.35	815.180	8,151.80	2,491.45	LT
	Oct 16, 24	5,000	848.800	4,244.00	815.180	4,075.90	168.10	LT
	Oct 22, 24	5,000	858.670	4,293.35	815.180	4,075.90	-217.45	LT
	Nov 5, 24	5,000	794.600	3,973.00	815.180	4,075.90	102.90	LT

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Your assets ▶ **Equities** ▶ **Common stock** (continued)

Issuing	Maturity date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov. 28 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		5,000	741.786	3,708.93	815.780	4,075.90	366.97	ST
Security total		70,000	525.757	36,802.96		57,062.60	20,259.64	
VISA NC C1 A								
Symbol: V Exchange: NYSE								
EAL: \$496 Current yield: 0.80%								
	Jun 24, 25	5,000	194.170	3,883.40	334.440	6,688.80	2,805.40	LT
	Jun 12, 20	10,000	190.048	1,900.48	334.440	3,344.40	1,443.92	LT
	Jun 30, 20	30,000	192.440	5,773.20	334.440	10,033.20	4,260.00	LT
	Mar 28, 22	5,000	220.000	1,100.00	334.440	1,672.20	572.20	LT
	May 23, 22	10,000	207.345	2,073.45	334.440	3,344.40	1,270.95	LT
	Aug 15, 22	5,000	216.330	1,081.65	334.440	1,672.20	590.55	LT
	Nov 14, 22	5,000	208.498	1,042.49	334.440	1,672.20	629.71	LT
	Mar 31, 23	5,000	224.288	1,121.44	334.440	1,672.20	550.76	LT
	Oct 5, 23	10,000	233.410	2,334.10	334.440	3,344.40	1,010.30	LT
	Oct 24, 23	15,000	235.847	3,537.71	334.440	5,016.60	1,478.89	LT
	Nov 5, 24	5,000	293.566	1,467.83	334.440	1,672.20	204.37	LT
	Nov 7, 24	65,000	304.798	19,811.88	334.440	21,738.60	1,926.72	LT
Security total		185,000	243.933	45,127.63		61,871.40	16,743.77	
WALMART INC								
Symbol: WMT Exchange: NYSE								
EAL: \$611 Current yield: 0.85%								
	Sep 5, 25	375,000	100.327	37,622.70	110.510	41,441.25	3,818.55	ST
	Sep 12, 25	10,000	103.365	1,033.65	110.510	1,105.10	71.45	ST
	Oct 22, 25	240,000	107.320	25,755.80	110.510	26,522.40	765.60	ST
	Nov 20, 25	25,000	107.215	2,680.38	110.510	2,762.75	82.37	ST
Security total		650,000	103.221	67,093.53		71,831.50	4,737.97	
WILLIAMS COS INC (DEL)								
Symbol: WMB Exchange: NYSE								
EAL: \$1,400 Current yield: 3.28%								
	Jul 18, 24	275,000	43.375	11,928.27	60.930	16,755.75	4,827.48	LT
	Aug 6, 24	275,000	43.560	9,108.15	60.930	13,109.25	4,001.10	LT
	Oct 3, 24	100,000	48.210	4,821.00	60.930	6,093.00	1,272.00	LT
	Oct 22, 24	50,000	52.485	2,624.25	60.930	3,046.50	422.25	LT
	Nov 20, 25	50,000	58.995	2,949.75	60.930	3,046.50	96.75	ST
Security total		700,000	45.760	32,032.02		42,651.00	10,618.98	



Your assets ► Equities ► Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
Total				51,776,357.99		\$2,730,976.20	\$892,668.21	
Total estimated annual income: \$17,809								

Closed end funds & Exchange traded products

Not reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
AVANTIS FIFTEENING MARKETS EQUITY LII								
Symbol: AVLVI								
Trade date: Nov 10, 25	284,000	78.029	22,160.34	76.800	21,811.20	-349.14		ST
Trade date: Nov 24, 25	76,000	75.450	5,734.20	76.800	5,836.80	102.60		ST
EAL: \$727 Current yield: 2.63%								
Security total	360,000	77.485	27,894.54	27,648.00	-246.54		-246.54	
AVANTIS INTL EQUITY ETF								
Symbol: AVDE								
Trade date: Nov 10, 25	692,000	80.142	55,458.94	80.950	56,017.40	558.46		ST
Trade date: Nov 24, 25	178,000	78.405	13,956.09	80.950	14,409.10	453.01		ST
EAL: \$1,825 Current yield: 2.59%								
Security total	870,000	79.787	69,415.03	69,426.50	11.47		1,011.47	
FIRST TRUST NASDAQ CYBERSEC ETF								
Symbol: CIBR								
Trade date: Jun 11, 25	350,000	13.221	2,529.52	13.550	2,567.50	14.98		SI
Trade date: Jun 11, 25	100,000	13.161	1,316.18	13.550	1,365.00	48.82		SI
Trade date: Jun 24, 25	150,000	73.933	11,090.07	73.550	11,047.50	-42.51		ST
EAL: \$106 Current yield: 0.22%								

continued next page



Your assets ► Equities ► Closed end funds & Exchange traded products (continued)									
holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov. 28 (\$)	Value on Nov. 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
Security total	600,000	73.393	44,035.74	44,035.74		44,190.00	154.26	154.26	

NVFSCQ CQQ TRUS F F									
Symon : CQC									
Trade date: Jun 16, 20	45,000	243.20	10,947.15	10,947.15	619,250	27,866.25	16,919.10	LT	
Trade date: Jun 17, 22	3,000	274.086	822.26	822.26	619,250	1,857.75	1,035.49	LT	
Trade date: Jul 5, 22	2,000	281.742	3,380.91	3,380.91	619,250	7,431.00	4,050.09	LT	

SHARES CORP S&P 500 ETF						
Symbo : VV						
LT						
LT						
LT						
LT						

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Portfolio Management Program

November 2025

Account name: RICHARD GOETTLE INC
Friendly account name: Goettle ESOP
Account type: Employee Stock Ownership Plan
Account number: DS 29131 VID

Your Financial Advisor:
DAY AND MULLIS WALSH MANAGEMENT LLC

Your assets ► Equities ► Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Average price per share (\$)	Unit cost basis (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
SHARES CORE S&P U.S. VALUE ETF										
Symbol : USV	85,000	322.134	27,381.35	27,381.35	27,381.35	58,387.80	31,003.45			
Trade date: Nov 7, 23	500,000	76.159	38,079.55	38,079.55	102.750	51,375.00	13,295.45			LT
Trade date: Feb 27, 25	150,000	95.076	14,261.42	14,261.42	102.750	15,412.50	1,151.08			ST
EAI: \$1,190 Current yield: 1.77%	650,000	80.525	52,340.97	52,340.97	66,787.50	14,446.53				
Security total									14,446.53	
SHARES S&P 100 ETF										
Symbol : OEF	30,000	190.603	5,718.11	5,718.11	344.130	10,323.90	4,605.79			LT
Trade date: Jun 18, 21	15,000	203.130	3,045.95	3,045.95	344.130	5,161.95	2,115.00			LT
Trade date: Jul 23, 21	9,000	172.990	1,556.91	1,556.91	344.130	3,097.17	1,540.26			LI
Trade date: Jul 5, 22	1,000	181.150	181.16	181.16	344.130	344.13	162.97			LI
Trade date: Jul 25, 22	5,000	187.540	937.70	937.70	344.130	1,720.65	782.95			LT
Trade date: Jul 29, 22	10,000	186.130	1,861.30	1,861.30	344.130	3,441.30	1,580.00			LT
Trade date: Mar 31, 23	20,000	191.168	3,823.36	3,823.36	344.130	6,882.60	3,059.24			LT
Trade date: May 1, 23	5,000	190.470	952.35	952.35	344.130	1,720.65	768.30			LT
Trade date: May 11, 23	5,000	200.286	1,001.43	1,001.43	344.130	1,720.65	719.22			LT
Trade date: Oct 5, 23	10,000	278.680	2,786.80	2,786.80	344.130	3,441.30	654.50			LT
Trade date: Nov 5, 24	40,000	289.350	11,574.00	11,574.00	344.130	13,765.20	2,191.20			LT
Trade date: Nov 11, 24	10,000	262.510	2,625.10	2,625.10	344.130	3,441.30	816.20			LI
EAI: \$432 Current yield: 0.78%	160,000	225.407	36,065.17	36,065.17	55,060.80	18,995.63				
Security total									18,995.63	
SPDR S&P 500 ETF TR										
Symbol : SPY	10,000	370.370	3,703.70	3,703.70	683.390	6,833.90	3,130.20			LT
Trade date: Jun 8, 20	25,000	307.950	7,698.75	7,698.75	683.390	17,084.75	9,386.00			LT
Trade date: Jun 12, 20	5,000	382.660	1,913.30	1,913.30	683.390	3,416.95	1,503.65			LT
Trade date: Oct 25, 22	65,000	433.869	28,201.53	28,201.53	683.390	44,420.35	16,218.82			LT
Trade date: Oct 12, 23	20,000	653.255	13,065.10	13,065.10	683.390	13,667.80	602.70			ST
EAI: \$907 Current yield: 1.06%										

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automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amount on taxable debt securities, you may request UBS to adjust cost basis for the bond premium amortization.

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Your assets ▶ Fixed income ▶ Corporate bonds and notes (continued)

Holding	Trace date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
VIO SAIGON COBALT HOLDINGS								
CAL-@MM+203P								
RAIL 01/300% MATUR 5/31/5/28								
ACCURED INTEREST \$674.23								
CUSIP 449669AK6								
Woody Baa2 S&P: 3BB								
EAL: \$1,825 Current yield: 6.94%	Oct 23, 23	25,000,000	104,000	26,000.00	105.173	26,293.25	293.25	LT
EJ LILLY & CO NTS B/E								
CAL-@MM+5B2								
RATE 01/550% MATURES 02/2/23								
CAL-A3LE								
ACCURED INTEREST \$535.88								
CUSIP 532457CU0								
Woody Aa3 S&P: A1								
EAL: \$1,840 Current yield: 4.47%	Apr 11, 25	40,000,000	100,572	40,768.80	101.808	40,773.20	454.40	SL
QRACTI CORP NTS B/A								
RATE 04/500% MATURES 05/06/23								
CAL-A3LE								
ACCURED INTEREST \$137.50								
CUSIP 68389XCV5								
Woody Baa2 S&P: 3BB								
EAL: \$2,250 Current yield: 4.49%	Apr 21, 25	50,000,000	100,063	50,037.50	100.194	50,097.00	65.50	ST
ALL STATE CORP NTS B/I								
CAL-@MM+153P								
RATE 05/950% MATURES 06/24/29								
CAL-A3LE								
ACCURED INTEREST \$1,080.14								
CUSIP 070007BI4								
Woody A3 S&P: BBB-								
EAL: \$2,325 Current yield: 4.89%	Apr 22, 25	50,000,000	101,232	50,616.00	103.367	51,683.50	1,067.50	ST



Account name: RICHARD GOETTLE INC
Friendly account name: Goettle LSP
Account type: Employee Stock Owners
Account number: DS 29431 MD

Your Financial Advisor:
DAY AND MULLIS WEALTH MANAGEMENT

Your assets ▶ **Fixed income** ▶ **Corporate bonds and notes** (contin.)

Holding:	Issue date	Maturity (\$)	Current price (\$)	Cost basis (\$)	Nov 28 (\$)	Nov 28 (\$)	Nov 28 (\$)	Nov 28 (\$)
ORACLE CORP 3/F								
5BP								
RATE 05.250% MATURES 11/09/32								
CAL-A3LE								
ACCURED INTEREST \$98.95								
CUSIP 68389XCJ2								
Moody Baa2 S&P: 5BP								
EAI: \$1,875 Current yield: 5.89%								
Sep 18, 23								
30,000,000								
103.102								
30,930.60								
106.125								
31,837.50								
906.90								
LT								
\$225,000,000								
\$227,423.00								
\$230,660.55								
\$3,237.55								
Total								
Total accrued interest: \$2,934.40								
Total estimated annual income: \$11,008								

Closed end funds & Exchange traded products

Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on the date. The unrealized tax gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value in plus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

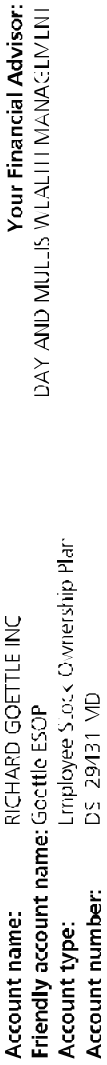
holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
GOLDMAN Sachs ACCESS TREAS 0-1									
Y A 1111									
Symbol : GR11									
Trade date: Nov 7, 24	158,000	99.920	15,787.36	15,787.36	100.200	15,831.60	44.24		LT
Trade date: Nov 11, 24	192,000	99.949	19,190.36	19,190.36	100.200	19,238.40	48.04		LT
Trade date: Sep 12, 25	100,000	100.675	10,067.50	10,067.50	100.200	10,020.00	12.50		ST
EAL: \$1,835 Current yield: 4.07%									
Security total									
	450,000	99.967	44,935.22	44,985.22		45,090.00	104.78	104.78	

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Syrinco, EF

Trade date. Apr 4, 25

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Your assets ▶ Fixed income ▶ Closed end funds & Exchange traded products (continued)

Trading	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Aug 21, 23	120,000	76.895	9,227.40	9,227.40	84.550	10,146.00	918.60		LT
Trade date: Nov 7, 23	250,000	76.005	19,001.25	19,001.25	84.550	21,137.50	2,136.25		LT
Trade date: Nov 9, 23	50,000	74.767	3,738.38	3,738.38	84.550	4,227.50	489.12		LT
Trade date: Aug 14, 25	180,000	83.725	15,072.50	14,967.50	84.550	15,219.00	256.50		ST
Trade date: Nov 24, 25	1,200,000	84.216	101,059.56	101,059.56	84.550	101,460.00	400.44		SL
EAL: \$6,938 Current yield: 4.56%									
Security total	1,800,000	82.244	148,039.09	148,039.09		152,190.00	4,150.91	4,150.91	

VANGUARD 101A1 BOND MK I I

Sym30: 3ND

Trade date: Aug 21, 23	358,000	70,520	25,246.16	25,246.16	74,780	26,771.24	1,525.08	LT
Trade date: Nov 9, 23	42,000	69,556	2,921.37	2,921.37	74,780	3,140.76	219.39	LT
Trade date: Nov 7, 24	75,000	72,836	5,462.70	5,462.70	74,780	5,608.50	145.80	LT
Trade date: Nov 24, 25	325,000	74,660	24,264.50	24,264.50	74,780	24,303.50	39.00	ST
EAL: \$2,279 Current y etc.: 3.81%								
Security total	800,000	72,368	57,894.73	57,894.73		59,824.00	1,929.27	1,929.27

Total

Total estimated annual income: \$22.914

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized tax gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized tax gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash equivalents that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Cost investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
GIM TOTAL RETURN BOND									
CLZ									
Symco : DBZX									
Trade date: Sep 14, 23	5,274.97	11.599	61,180.68	61,180.68	12.250	64,608.91	3,428.23		LT
Trade date: Feb 28, 24	953.369	11.819	11,288.82	11,288.82	12.250	11,678.77	409.95		LT

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Your assets ▶ Fixed income ▶ Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Nov 7, 24	1,978.204	11 969	23,679.10	23,679.10	12.250	24,233.00	553.90		LT
Trade date: Mar 26, 25	755.633	11 950	9,029.82	9,029.82	12.250	9,256.50	226.68		ST
EAI: \$4,972 Current yield: 4.53%									
Security total	8,951.403	11 735	105,158.42	105,158.42		109,777.18	4,618.76	4,518.76	

Government securities

Prices are obtained from independent publication bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accrued original issue discount (OID). Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
U.S. TREASURY NOTE RATE 4.8750% MATURES 10/31/28 ACCRUED INTEREST \$150.82 CUSIP 91282CJL19 EAI: \$1,950 Current yield: 4.70%	Apr 11, 25	40,000.000	102.738	41,095.31	103.773	42,509.20	4,389	ST

Commodities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.
Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.
Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
S&P 500 GOLD SHARES ETF Symbol: GLD									
Trade date: Apr 3, 25	55.000	286.988	15,784.39	15,784.39	387.880	21,333.40	5,549.01		ST
Trade date: Apr 10, 25	65.000	292.575	19,017.38	19,017.38	387.880	25,212.20	6,194.82		ST
Trade date: Apr 16, 25	55.000	307.600	16,918.00	16,918.00	387.880	21,333.40	4,415.40		ST
Trade date: Sep 5, 25	65.000	330.854	21,505.53	21,505.53	387.880	25,212.20	3,706.67		ST
Trade date: Nov 24, 25	60.000	378.970	22,738.20	22,738.20	387.880	23,272.80	534.60		ST
Security total	300.000	319.878	95,963.50	95,963.50		116,364.00	20,400.50	20,400.50	

Your assets (continued)

Your total assets

	Value on Nov 25 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	112,906.07	1.96%	112,906.07		
Cash alternatives					
Equities					
* Money market funds	1,050,000.00	18.27%		42,427.00	
* Common stock	2,730,976.20		1,775,357.99	17,809.00	882,668.21
Closed end funds & Exchange traded products	765,520.50		603,461.55	8,413.00	182,058.95
Total equities	3,496,496.70	60.85%	2,379,819.54	26,222.00	1,054,727.16
Fixed income					
Corporate bonds and notes	230,560.55		227,423.00	11,008.00	3,237.55
Closed end funds & Exchange traded products	586,074.20		575,415.90	22,914.00	10,658.30
Mutual funds	109,777.18		105,158.42	4,972.00	4,618.76
Government securities	21,509.20		41,095.31	1,950.00	413.89
Total accrued interest	3,085.22				
Total fixed income	971,106.35	16.90%	949,092.63	40,844.00	18,928.50
Commodities					
Closed end funds & Exchange traded products	116,364.00	2.02%	95,963.50		20,400.50
Total	\$5,746,873.12	100.00%	\$3,537,781.74	\$109,493.00	\$1,094,056.16

* Missing cost basis information.

Account activity this month

Date	Activity	Description	Amount (\$)
Deposits and other funds credited			
Nov 14	Deposit	UNITED STATES POSTAL SERVICE INC. AT NORTH SIDE BANK & TRUST CO.	2,000,000.00
		Total deposits and other funds credited	\$4,000,000.00
The activity, descriptions for contributions included in this section are provided by the plan administrator or plan trustee. BS Financial Services Inc. does not independently verify or guarantee the accuracy or validity of this information.			
Date	Activity	Description	Amount (\$)
Dividend and interest income			
	<i>Dividends</i>		
Nov 13	Dividend	PGIM GLOBAL RETURN BOND CI / AS OF 10/31/15 SYM30L; PDBZX	459.72
Nov 13	Dividend	LIQUID ASSETS GOVT FUND AS OF 10/31/15	138.92

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Account name: RICHARD GOETTLE INC
Friendly account name: Goettle ESOP
Account type: Employee Stock Ownership Plan
Account number: DS 29431 VID

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Dividend and interest income (continued)			Amount, (\$)	
Date	Activity	Description		
Nov / 5	Dividend	VANGUARD INTER TERM CORPORATE BOND ETF PAID ON SYMBOL: VCT	500	230.40
Nov / 5	Dividend	VANGUARD TOTAL BOND MKT ETF PAID ON SYMBOL: BND	475	115.72
Nov / 6	Dividend	IS HARES 7-10 YEAR TREAS BOND III PAID ON SYMBOL: EF	700	212.65
Nov / 6	Dividend	IS HARES CORE U.S. AGGREGATE BOND ETF PAID ON SYMBOL: AGG	550	179.83
Nov / 6	Dividend	IS HARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: EI	120	43.94
Nov / 6	Dividend	IS HARES 1-3 YEAR TREAS BOND III PAID ON SYMBOL: USB	900	147.88
Nov / 7	Dividend	GOLDMAN SACHS ACCESS TREAS 0-1 YEAR ETF PAID ON SYMBOL: GBL	450	151.01
Nov / 3	Dividend	APPLE INC PAID ON SYMBOL: AAPL	400	104.00
Nov / 4	Dividend	COSTCO WHOLESALE CORP PAID ON SYMBOL: COST	85	110.50
Nov / 21	Foreign Dividend	EATON CORP PLC PAID ON SYMBOL: ETN	120	121.80
Nov / 26	Dividend	UNIT RENTALS INC PAID ON SYMBOL: URI	70	125.30
Total dividends				\$2,114.19
Nov / 6	Interest	ORACLE CORP NTS B/E 04.500% DTD020623=C110623 PAID ON 50000 CUSIP: 68389XCM5		1,250.00
Nov / 10	Interest	ORACLE CORP B/E 06.250% 110932 DTD110822CALL@MW+35BP PAID ON 30000 AS OF 11/09/25 CUSIP: 68389XCJ2		937.50
Total interest				\$2,062.50
Total dividend and interest income				\$4,176.69
Date	Activity	Description		Amount, (\$)
Nov / 21	Distribution Current Year	FIDELITY FUNDS NORMAN DISI TO Goettle Holding Company, IN A III I NORTH SIDE BANK AND TRC		-695,887.00
Other funds debited				



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Account activity this month (continued)

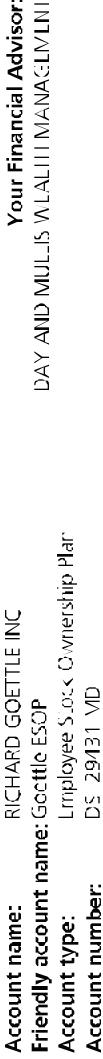
Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Nov 17	Dividend	NETELX INC STOCK SPLIT SYMBOL: NFLX RATE: 9.00 PAID ON 65 SYMBOL: NFLX	585.000					
Nov 20	Bought	FEDERATED HERMES US TRSY CASH RES FUND CLASS ADV FBOID: 53234326556 Prospectus enclosed or sent under separate cover. ► SOLICITED / DE ► Please see our brochure. Understanding mutual fund share classes, fees and certain risk considerations, is available at www.ubs.com/mutualfund disclosure, for information about cost differences in mutual fund share classes, limitations on the transfer of certain UBS funds and how we are compensated in connection with the sale of mutual funds. A hard copy of this brochure is available from your financial advisor upon request. ► The symbol displayed is the Mutual Fund ticker. ► SYMBOL: UTVXX ► CUSIP NO. 314233768 ► Capacity: Agent	2,000,000.000	1.0000			-2,000,000.00	
Nov 21	Bought	BOS: ON SCILM III C CORP DL SYMBOL BSX ► CUSIP NO. 01137107 ► Capacity: Agent	50.000		55.746300		-4,837.32	
Nov 21	Bought	COSTCO WHOLESALE CORP DE SYMBOL COST ► CUSIP NO. 22160K105 ► Capacity: Agent	15.000		897.26		13,458.90	
Nov 21	Bought	ALPHABET INC CL A DE SYMBOL GOOGL ► CUSIP NO. 02079K305 ► Capacity: Agent	10.000		259.025800		2,536.26	
Nov 21	Bought	DEVON ENERGY CORP NEW DE Average price. Details available upon request. ► SYMBOL DYN ► CUSIP NO. 75179M103 ► Capacity: Agent	1,200.000		36.218598		-36,218.90	
Nov 21	Sold	EMCOR GROUP INC DE SYMBOL EME ► CUSIP NO. 25084Q100 ► Capacity: Agent	-85.000		594.55	50,536.75		
Nov 21	Bought	BROADCOM INC DE SYMBOL AVGO ► CUSIP NO. 11135F01 ► Capacity: Agent	15.000		346.289800		-5,194.35	
Nov 21	Bought	LILLY & CO DE SYMBOL LLY ► CUSIP NO. 53257108 ► Capacity: Agent	15.000		1043.415000		-15,651.23	
Nov 21	Sold	UBER TECHNOLOGIES INC DE Average price. Details available upon request. ► SYMBOL UBER ► CUSIP NO. 90353T100 ► Capacity: Agent	-450.000		85.642511	38,539.13		
Nov 21	Bought	SPDR S&P 500 ETF TR DE Prospectus enclosed or sent under separate cover. ► SYMBOL SPY ► CUSIP NO. 784621103 ► Capacity: Agent	20.000		633.235000		-13,065.10	
Nov 21	Bought	WALMART INC DL SYMBOL WMT ► CUSIP NO. 93142103 ► Capacity: Agent	275.000		167.215000		-2,680.38	
Nov 21	Bought	WILLIS TOWERS WATSON INC (DFI) DE SYMBOL WVB ► CUSIP NO. 969457100 ► Capacity: Agent	50.000		58.995000		-2,949.75	

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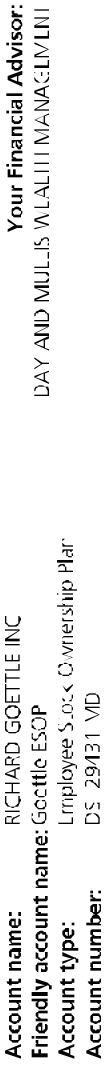
Investment transactions (continued)

Date	Activity	Description	Amount (\$)
Oct 31	Balance forward		\$91,663.90
Nov 3	Bought	LIQUID ASSETS GOVT FUND AS OF 10/3/25	138.92
Nov 3	Bought	LIQUID ASSETS GOVT FUND	9,75.00

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Short-term capital gains and losses (continued)

Long-term capital gains and losses

continued next page



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Your notes

Important information about your statement

This statement represents the only official record of your UBS Financial Services Inc. ("UBS Financial Services" or the "Firm"), account. It is intended for your use only, and cannot be relied upon by third parties. Other records, except official tax documents, containing conflicting data should not be relied upon.

A financial figures shown are intended to be accurate, solely on U.S. and tax forms, (i.e., Form 1099, 3458, 10475, and other preparing your tax return). The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, not proceeds on sale transactions, and costs basis or certain covered securities.

Your privacy and financial security are a priority for us. Please review account statements carefully and if there are discrepancies you do not recognize or if you believe there is any inaccuracy or discrepancy, please report it immediately, in writing to the Branch Manager of the office serving your account. Written communication should be directed to JBS only (jbs@ubs.com or postal mail) to ensure proper and secure record keeping for your protection. If the financial institution on the top left of the front of this statement is not UBS Financial Services Inc., UBS Financial Services carries your accounts and clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened the account. In this case, your funds are securities are issued a U.S. financial services and, therefore, introducing broker, and you must make a report of any error or omission to both firms. Please make all checks payable to the Firm or the clearing institution indicated on the front of this statement. Please reconfirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- For general account questions, contact your Financial Advisor or Customer Service at 888-279-3343.
- For U.S. services, call 1-844-512-1986 or from outside the U.S., call 1-201-352-1495.
- All statements shall be deemed complete and accurate if not objected to in writing within 60 days.
- You must notify us of any errors or fraud in rolling checks reflected on your statement within 60 days after it was mailed or made available.
- In case of questions about an electronic funds transfer (EFT), bill payment or U.S. Visa® debit card transactions, call 800-762-1000, or write to JBS Financial Services Inc., 315 Broadway, 31st Floor, Allentown, PA 18101-1000, or UBS Financial Services, Nashville, TN 37238. Call or write as soon as you can if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the statement or receipt or the error or problem occurred.
- Provide your unique account number, if any.
- Describe the error or the transfer you are unsure about and explain as clearly as you can why you believe this error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to resolve, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

- To submit a question or complaint, please contact the Firm's Client Relations Department at 201-352-6899 or to file at 800-357-9103, 8:00 a.m. to 5:00 p.m. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 755, Allentown, NJ 07878.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary whenever your accounts change. Advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Advance Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain principal with low risk and relatively to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for the account may include complex strategies, limited liquidity, and greater volatility.

UBS Sweep Options

UBS offers options for sweeping cash balances to bank deposit accounts at affiliated and non-affiliated banks and money market mutual funds (Money Funds). Deposit accounts at JBS Bank USA and non-affiliated banks participating in the UBS FDIC-insured Sweep Program and the UBS Insured Sweep Program are FDIC-insured in accordance with FDIC rules, provided that the requirements for deposit insurance are met. FDIC deposit insurance only covers the value of an insured bank. UBS Financial Services Inc. is not a FDIC-insured bank. For more information, please visit www.fdic.gov. Shares of Money Funds are not insured by the FDIC. Bank deposits are not protected by SIPC. Money Funds shares are protected by SIPC.

Upon your request, balances in the bank deposits may be withdrawn, and shares of Money Funds may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/us/sweepoptions. From your Financial Advisor or by calling 800/762-1330.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities customers' claims for cash. Exposure up to \$500,000 (including \$250,000 for claims for cash). Expedient procedure available upon request, or at www.sipc.org. The SIPC's asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has a purchased supplemental limit. The maximum amount payable to a eligible client, on actively held securities, is \$500 million as of December 10, 2014. Subject to the policy conditions and limitations, such as the Firm is further protected for up to \$1.5 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

Neither the SIPC protection nor the supplemental insurance apply to:

- Certain financial assets controlled by (and included in your account value) but held by a financial services provider. For example, certain (i) insurance products, including variable annuities, and (ii) shares of mutual funds registered in the name of the account holder on the books of the sales or transfer agent;
- Investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933;
- Commodity contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts;
- Securities or loans to UBS Financial Services, and deposit accounts (except certificates of deposit at JBS Bank USA, JBS AG US branches and banks in the FDIC insured deposit program) or the JBS Insured Sweep Program.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

Statement "householding"

We may consolidate statements for related accounts with the same address in the same service offering, prior to receive statements in separate service boxes, contact your Financial Advisor.

Friendly account name

The Friendly account name is a customer-specific nickname chosen by you. This is legal, ethical, not intended to reflect any strategy, product, economic condition, investment objective or risk profile associated with your accounts, and is not a promise or guarantee that wealth, or any financial results, goal or will be achieved. All investments involve the risk of loss. Including the risk of loss of the entire investment. You can change your Friendly account names through the Services or by contacting your Financial Advisor.

Account overview

- **Value of your account portfolio:** Net of assets and liabilities

- Assets, includes available cash balances, values for securities, equity (e.g., and Global Trust Debentures, and certain assets not held by the Firm. Does not include unpledged securities/assets at the end of the prior or current statement periods, or future investments.
- **Liabilities:** Includes debit balances, outstanding margin loans, floating, short-term balances.
- **Cash/money balances:** Total of uninvested available cash balances, deposit balances at affiliates and non-affiliated banks, and money market mutual fund sweep balances, at the close of the statement period.

Net continuously free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and policies, refer to the applicable governing your account. U.S. Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/disclosuredocuments.

Your assets

You may ask for delivery of fully paid securities at any time. You may receive securities as used as collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** Where costs basis of an asset is disclosed with the number "N/A", the Firm has relied on information obtained from a third party or that you provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by a third party to the Firm. Although the Firm generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. Do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect certain information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for the individual securities. The transaction data for the individual securities may or may not reflect commissions, charges and/or security margination events. Dividends and other reinvestment lots and systematic purchases are each needed to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities:** Bonds and preferred stock that the issuer calls for early redemption will be selected in proportion to lot from among all securities of that issue held in our name or in nominee name for our

Important information about your statement (continued)

clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect your account information. We will be provided upon request.

- **Price/Value.** Prices displayed may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third-party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price on value.

- Certain positions may show as "price was not available" if we are unable to obtain a price.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

- For certain securities trading in non-exchange listed markets, price and quantity (face value) may have been calculated to facilitate origin valuation.
- Restricted securities may not be eligible for public sale. The market price of the unrestricted stock of the same issuer as an implied value to the restricted stock for purposes of this statement only. If restricted securities are sold, the value received may be substantially less than the implied value shown.

- To obtain current quotations, when available, contact your Financial Advisor.

Private investments and structured products

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to the Firm or a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by such parties and may be based on different information than third parties' estimated values.
- Contact your Financial Advisor for additional information regarding the reliability of any such

derivative estimates, values and the date of the information that is the basis for the estimates.

- Third party estimated values may be reflected as "Not priced" if the independent valuation firm has not reported or is unable to assign a value. We become aware that a material event has occurred that may call a previously reported value into question, or a value would be highly speculative due to the nature of the security.

- When neither an issuer, general partner or sponsor can determine a third party estimated value is provided, the value of the security will be a floor from its purchase to date.

- Distributions to date may reduce return of capital, income, or both.

- Origin, unit size, liquidity, the initial offering price per unit, and may not reflect your cost basis.

- DCF and unlisted REIT securities are not listed on a national securities exchange, and are generally illiquid, and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

Estimated income, current yields, and rates

An estimate of annual income is based on a current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. Actual income and yield might be lower or higher than the estimates. Accuracy of the estimates and continued income are not guaranteed.

- Current annual income and current yield may include a reduction of dividend or capital gains in which case the estimate would be overstated.
- An estimate of annualized income is based on the last payment made by the issuer and assumes the securities, if possible, will be held for one year from the statement date or until maturity.

Alternative periodic reporting

Where permitted, unlisted securities are listed pursuant to a periodic plan or an investment company plan, or in shares of certain money market funds are reported on your periodic account statements in lieu of immediate dividend distributions. Accountal based on calculations would not necessarily appear on the trade confirmation or will be furnished upon written request.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. On whole shares are purchased under DRIP, partial shares will be sold, and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Fractional shares/cash-in-lieu

If you are entitled to a partial unit as a result of a dividend payment, corporate action, DRP or otherwise, the Firm will either sell partial units at the market price or

accept an amount determined by a registered clearing agency, and credit your account.

Assets not held by UBS Financial Services

Certain assets that do not belong to the Firm and not within the Firm's possession or control are displayed for your information and convenience only. Positions and values presented are provided by the issuing firm. The Firm is not responsible for the information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

Revenue sharing and additional compensation

- In addition to commissions or sales and 12b-1 fees received in connection with the distribution of mutual funds, our clients and/or our affiliate receive revenue sharing payments from mutual funds and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients, and (ii) the asset value of a particular mutual fund family's shares held at the firm.

- 1/2 and our affiliate also receive revenue sharing and commission processing fees in compensation for transaction services that we provide to the mutual funds. These fees generally are paid from assets of the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- Our affiliates also receive trading commissions and other compensation on mutual funds whose products we distribute.

Commissions and other charges

Information regarding commissions and other charges related to the execution of trades, including option transactions and securities futures transactions has been included on confirmations or monthly furnished to you, and will be provided to you promptly on request. In addition to regular account fees, accounts may be subject to initiation fees, charges for late payment for securities purchases and charges for late entries in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee. If you are engaged in a sold and repurchased security, you may incur a charge due to certain borrowing costs for that particular security.

Investment Advisory Program Fees

Program fees for Investment Advisory Accounts are reflected under *Account Activity This Month*. The *Current Quarter Advisor Fee* represents the UBS Advisory Fee and, if applicable, SMA Manager fees that are charged in advance of the net asset value of the account or the last day of the prior calendar quarter. The *Pre-Quarter Fee Adjustment* is the charge (increase or decrease) to the quarterly fee based on the average daily balance of the account for the previous calendar adjustment adjustments

to the Advisory Fees for account activity in certain programs ("Pro-rated Quarter Advisory Allocation Charge," "Current Quarter Allocation Adjustment"), initial fees ("Initial Fee") and account terminations ("Termination Fee") will also be shown. For more information, including details about our billing practices see the Form ADV brochure at ubs.com/financial, or contact your Financial Advisor.

Open or "good-till-cancelled" orders

Open buy and sell stop orders are reduced by the amount of dividends or rights on any dividends or ex rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing stop orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacy.

UBS Financial Services is a member of all critical financial security, commodity, and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC.

A financial statement of this organization is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. The firm's executive offices are at UBS Financial Services Inc., 1200 Harbor Boulevard, Westborough, NJ 07308.

UBS Financial Services is not a bank. The RMA, Business Services/Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services.

Investment, insurance, and annuity products:

Not FDIC insured • Not a deposit • No bank guarantee • May lose value

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UBS Financial Services Inc.

Rev. 202511

Additional terms and definitions about your security transactions

Payment and Delivery; Late Payment Interest: You agree that (1) you will make payment by the settlement date for all property purchased for your account, and (2) that you own a property sold for your account (except for short sales), or it has been so, will be delivered to UBS for your account by the settlement date.

Close-out Rights: We may close transactions without further notice through liquidation or buy-in, as applicable, if we do not receive payment for purchases or delivery of property sold by the settlement date, and we may charge a payment interest.

Purchase for a Cash Account: If this transaction is a purchase of securities for a cash account, until we receive payment from you, these securities may be loaned, pledged, hypothecated and/or commingled with securities owned for other clients.

Applicable Law: All transactions are subject to the provisions of your account agreement and this confirmation, which are governed by New York law. All transactions are subject to applicable federal, state, and foreign laws and regulations, and to the rules, regulations, interpretations and customs of the exchange or market on which they are made or the clearing agency through which comparison of terms, delivery and payment is effected.

Other Fees and Charges: Amounts charged to your account may include a Transaction Fee (incurred to the nearest penny) or up to one penny less than one, on each sale transaction; subscription fees for U.S. Government and Government agency issues; insurance premiums and other charges associated with the processing of transactions for your account. For the current transaction fee rate go to www.ubs.com/c/sales-eccd-ent.

Market Maker: As market maker, we or our affiliate may act as principal, may have a long or short position in the security, and may profit from your transaction.

Agency Trades: For trades in which we acted as agent, the name of the person for whom the purchase or sale was made, to and from whom the amount of any other remuneration received by us, in addition to the amount shown on confirmation, is available upon written request. The other party may be an affiliate that may earn compensation in addition to the commission shown on confirmation.

Dual Agent: If the capacity shown on the front sheet agent, but we acted as agent for both you and another client.

Principal Trades: For trades in which we acted as principal, the price shown may reflect revenue received by us in addition to any amount shown under "Commission/Gross Charge" including revenue received from affiliated clients.

Multiple Capacity: If the capacity shown on the front of the confirmation is multiple, we executed the trade, acting in two or more of the following capacities: principal, agent or dual agent. Additional information is available upon written request.

Reported Securities: For trades in which we acted as principal in a reported security, the amount shown under "Price" is the reported trade price.

Odd-Lot Differential: The amount of any odd-lot differential or equivalent fee to execute an order for an odd lot number of shares, unit or principal amount of a security is disclosed on this confirmation or will be furnished upon request.

Non-Rated Debt: This security is not rated by a nationally recognized statistical organization. This information is based on a good faith inquiry of selected sources that we believe to be reliable and current, but we cannot guarantee its accuracy and completeness.

Asset-Backed Security: The actual yield on an asset-backed security may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information about the factors that affect yield will be furnished upon written request. **Est. CY:** Estimated Yield. **WAL:** Weighted Average Life. **PSA:** Prepayment Speed Assumption. **CPR:** Conditional Prepayment Rate.

Zero Coupon Security: If this transaction involves a zero coupon security, no cash interest payments will be made and, if the security is callable, it may be called below maturity value. Such securities may be traded by mail without direct notice to the holder or as the holder is registered.

U.S. Treasury Securities; Agency Debt and Agency Mortgage Backed Securities: Transactions are subject to the following: www.sifma.org/TMPC or TMPS and SIFMA at <http://www.sifma.org/TMPC>.

Redeemable/Callable Securities: Securities that are redeemable or callable are indicated on the front of this confirmation and/or in the prospectus. Redemption of callable securities may be deemed in whole or in part before maturity, which could adversely affect the yield represented. When an issuer tenders or calls a portion of an outstanding issue of securities, we will hold an "upcall lottery." The probability of your securities being selected will depend on the proportion of your holdings to all such securities held by us. A call letter or notice about callable securities is available upon request.

Sustainable Investments: Terms or labels related to sustainable (or ESG) investments differ across the industry, and standards and terminology are evolving. You should carefully review the offering materials to understand your approach, aligns with your goals and objectives. At UBS Financial Services Inc., we continue to maintain and evolve our own standards and a framework for sustainable investing; however, we do not review every product to determine consistency with our standards for sustainable investing, nor do all products that we make available on our app each. Your UBS Financial Services Inc. Financial Advisors can assist you in identifying products that we have reviewed and determined to be consistent with our standards for sustainable investing.

Average Price: Where a trade is completed in multiple trade executions consisting of various quantities and prices, the price shown is an average of these prices. Details of the individual trade executions and elements of total remuneration paid by you are available upon request.

Aggregated Orders: Your order may have been aggregated with orders of other customers for purposes of execution. Additional information is available upon request.

Credits: In over-the-counter transactions of debt and equity securities, we or an affiliated counter-dealer may act as principal and pay a credit to the financial advisor handling your order. This credit represents an internal allocation of revenues received. Additional information is available upon request.

Minimum Denomination: The minimum security that you purchased may have a minimum denomination set by the issuer. The Municipal Securities Rulemaking Board has certain conditions for the purchase of below-minimum denomination securities by brokers, dealers and municipal securities dealers that may reduce

the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security.

Alternative periodic reporting: You will receive a confirmation of your initial purchase of certain money market fund shares, and subsequent transactions may be reported on periodic account statements. These periodic statements may be sent monthly except that, if you only fund activity in a quarter, we will send a statement of dividends, the delivery may be reported on a quarterly statement or a monthly statement.

Revenue Sharing and Additional Compensation: In addition to commissions or sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients, as described in the prospectus, we and/or our affiliate receive revenue sharing payments. Payments for distribution and/or advisors of the mutual funds that we sell. These amounts are based on two components:

- The amount of sales by UBS of a particular mutual fund family to our clients; and
 - The asset value of a particular mutual fund family's shares held at the firm. We and/or our affiliate also receive record keeping or service fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid to our investor assets in the mutual fund and are a generally fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.
- In addition to commissions received in connection with the sale or distribution of variable annuity contracts and unit investment trust units to our clients, as described in the prospectus, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the variable annuity contracts. Affiliates of the insurance companies or sponsors of the unit investment trusts we distribute. Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

Foreign Market Transaction Settlement Date: Settlement date is computed on the basis of the standard U.S. calendar and does not take into account holidays on foreign markets. The actual settlement date for a foreign market transaction may differ from the date listed on confirmations. Additional information is available upon request.

Time of Execution: The time of execution is available upon written request.

Markets: Many securities are traded in multiple markets. Unless you provide specific instructions, we will exercise discretion as to the market or markets in which your order is executed.

Good-Till-Cancelled (GTC): A Good-Till-Cancelled (GTC) order is an order that lasts until the order is completed or cancelled. UBS Financial Services will automatically cancel all GTC orders that are in effect for a 6-month duration (rounded to 6 months). Upon the opening of the next business day, these orders will be cancelled with no time or notification being sent via confirmation to the investor. This does not include any orders placed for Equity Plan Advisory Services (EPAS) clients or as part of a 10b-5-1 trading plan.

BOX Exchange LLC and BOX Options Market (BOX): UBS Americas Inc., an affiliate of UBS Financial Services Inc., has a beneficial ownership interest in BOX Exchange LLC and BOX Trading Group LLC, the parent holding company of the BOX Options Market.

Rev. 2/17/2017

GOETTLE EMPLOYEE STOCK OWNERSHIP PLAN

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) November 30, 2025

Schedule H (Form 5500)
EIN 31-0589745
Plan Number – 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower of similar entity	Description of Investment	Cost	Current Value
*	UBS Financial Services, Inc.	Liquid Assets Government Fund	\$ 178,721	\$ 178,721
*	UBS Financial Services, Inc.	Federated Hermes Money Market Obligations Trust	1,050,000	1,050,000
	Various - see attached	Common stocks	1,396,749	2,730,976
	Various - see attached	Equities - registered investment companies	603,462	765,521
	Various - see attached	Fixed income - corporate bonds	86,507	233,746
	Various - see attached	Fixed income - registered investment companies	589,006	737,360
	Various - see attached	Commodities - registered investment companies	95,964	116,364
*	Goettle Holding Company, Inc., and Subsidiaries	Common Stock of Goettle Holding Company, Inc., and Subsidiaries	20,680,690	54,204,150
			<u>\$ 23,452,378</u>	<u>\$ 60,016,838</u>

* Party-in-interest

Note: The common stock of Goettle Holding Company, Inc., and subsidiaries is a party-in-interest to the Plan and is not actively traded. The market value of the common stock is based upon an appraisal from an independent appraisal company. Such appraisal represents a fair value for the stock in the opinion of the Plan's Trustees.

See independent auditors' report



Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash and money balances

Money market funds

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

CASH-RES FUND CLASS ADV

=Al: \$42,427 Current vied 4.04%

Current yield is estimated for informational purposes only and may not reflect changes in price. It is calculated by dividing the total estimated annual income by the current market value displayed.



Your assets (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
ABBVIE INC COM								
Symbo : ABBV Exchange: NYSE								
EAI: \$2,076 Current yield: 3.04%	Nov 11, 25	215,000	274.220	48,707.49	277.700	48,955.50	748.01	ST
	Nov 24, 25	85,000	230.150	19,562.75	277.700	19,351.50	-208.25	ST
Security total		300,000	225.901	67,770.24		68,310.00	539.76	
ALPHABET INC CL A								
Symbo : GOOG Exchange: OTC								
EAI: \$336 Current yield: 0.26%	Mar 15, 23	34,000	94.865	3,225.41	320.180	10,886.12	7,660.71	LT
	Mar 31, 23	26,000	102.805	2,672.93	320.180	8,324.68	5,651.75	LT
	May 18, 23	65,000	122.510	7,963.15	320.180	20,811.70	12,848.55	LT
	May 25, 23	23,000	123.480	2,840.04	320.180	7,364.14	4,524.10	LT
	Jun 5, 23	2,000	127.120	251.21	320.180	640.36	386.12	LT
	Nov 9, 23	10,000	130.460	1,304.60	320.180	3,201.80	1,897.20	LT
	Nov 11, 24	40,000	130.217	5,208.68	320.180	12,807.20	5,598.52	LT
	Jan 2, 25	75,000	139.286	14,195.45	320.180	24,013.50	9,817.05	ST
	Mar 4, 25	25,000	172.480	4,312.00	320.180	8,004.50	3,692.50	ST
	Aug 14, 25	15,000	203.458	3,051.88	320.180	4,802.70	1,750.82	ST
	Nov 20, 25	10,000	290.026	2,900.26	320.180	3,201.80	301.54	ST
	Nov 24, 25	75,000	318.255	23,869.13	320.180	24,013.50	144.37	ST
Security total		400,000	184.497	73,798.77		128,072.00	54,273.23	
AMAZON.COM INC								
Symbo : AMZN Exchange: OTC								
	Jun 8, 20	80,000	126.398	10,111.91	233.220	18,657.60	8,545.69	LT
	Jun 23, 22	5,000	111.920	559.60	233.220	1,166.10	606.50	LT
	Jul 19, 22	15,000	116.160	1,742.40	233.220	3,498.30	1,755.90	LT
	Nov 14, 22	25,000	99.700	2,492.50	233.220	5,830.50	3,338.00	LT
	May 11, 23	14,000	124.35	1,740.89	233.220	3,265.08	1,524.19	LT
	May 18, 23	11,000	117.825	1,296.08	233.220	2,565.42	1,269.34	LT
	Sep 14, 23	50,000	125.259	6,262.98	233.220	11,661.00	4,398.02	LT
	Sep 27, 23	25,000	124.760	3,119.00	233.220	5,830.50	2,711.50	LT
	Oct 4, 23	75,000	126.999	9,524.99	233.220	17,491.50	7,966.51	LT

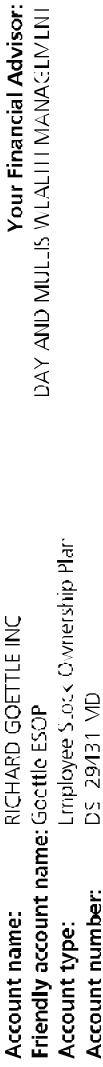
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Account name: RICHARD GOETTLE INC
Friendly account name: Goettle ESOP
Account type: Employee Stock Ownership Plan
Account number: DS 29431 MID

Account name: RICHARD GOETTLE INC
Friendly account name: Goettle ESOP
Account type: Employee Stock Ownership Plan
Account number: DS 29431 MID



Equities

continued next page



Your assets ▶ Equities ▶ Common stock (continued)

Holding	Acquire date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
NETELIX, INC	Jun 9, 20	10,000	189.210	1,892.10	492.010	4,920.10	3,028.00	LT
	Jun 12, 20	5,000	191.420	957.10	492.010	2,460.05	1,502.95	LT
	Nov 14, 22	10,000	243.690	2,436.90	492.010	4,920.10	2,483.20	LT
	May 18, 23	5,000	317.008	1,585.04	492.010	2,460.05	875.01	LT
	Sep 14, 23	30,000	339.599	10,187.97	492.010	14,760.30	4,572.33	LT
	Oct 5, 23	5,000	318.828	1,594.14	492.010	2,460.05	865.91	LI
	Oct 9, 23	5,000	329.664	1,648.32	492.010	2,460.05	811.73	LT
	Oct 12, 23	15,000	330.930	4,963.95	492.010	7,380.15	2,416.20	LT
	Oct 24, 23	5,000	331.100	1,655.50	492.010	2,460.05	804.55	LT
	Security total	150,000	254,573	36,185.01		73,801.50	35,615.49	
NETELIX, INC	Jan 22, 25	350,000	95.384	33,384.40	107.580	37,653.00	4,268.60	ST
	Jan 29, 25	50,000	97.685	4,884.28	107.580	5,379.00	494.72	ST
	Mar 4, 25	100,000	98.257	9,825.71	107.580	10,758.00	932.29	ST
	Mar 5, 25	50,000	98.876	4,943.80	107.580	5,379.00	435.20	ST
	Apr 7, 25	100,000	87.700	8,770.00	107.580	10,758.00	1,988.00	ST
	Security total	650,000	95.090	61,808.19		69,927.00	8,118.81	
NVIDIA CORP	Jun 8, 20	450,000	8.785	3,953.77	177.000	79,650.00	75,696.53	LT
	Oct 25, 22	50,000	13.175	658.75	177.000	8,850.00	8,191.25	LT
	Nov 14, 22	50,000	16.465	823.29	177.000	8,850.00	8,026.71	LT
	Nov 29, 22	50,000	15.692	784.60	177.000	8,850.00	8,065.40	LT
	Sep 21, 23	50,000	41.480	2,074.00	177.000	8,850.00	6,776.00	LT
	Sep 22, 23	50,000	41.757	2,087.89	177.000	8,850.00	6,762.11	LT
	Oct 5, 23	65,000	44.800	2,912.00	177.000	11,505.00	8,593.00	LI
	Oct 24, 23	100,000	43.172	4,317.23	177.000	17,700.00	13,382.77	LI
	Oct 8, 24	10,000	32.470	1,324.70	177.000	1,770.00	445.30	LI
	Mar 4, 25	100,000	18.685	11,868.50	177.000	17,700.00	5,831.50	ST
	Security total	350,000	This information was unavailable		177.000	61,950.00	41,770.57	
		1,325,000	31.594	30,804.43		234,525.00	continued next page	



Account name: RICHARD GOETTLE INC
Friendly account name: Goettle LSOP
Account type: Employee Stock Ownership Plan
Account number: DS 29431 MID

Your assets ▶ **Equities** ▶ **Common stock** (continued)[illegible]

Your assets ▶ **Equities** ▶ **Common stock** (continued)

Issuing	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jun 24, 25	5,000	741.786	3,708.93	815.780	4,075.90	366.97	ST
Security total		70,000	525.757	36,802.96		57,062.60	20,259.64	
VISA INC CL A								
Symbol: V Exchange: NYSE								
EAL: \$496 Current yield: 0.80%								
	Jun 12, 20	20,000	194.170	3,883.40	334.440	6,688.80	2,805.40	LT
	Jun 15, 20	10,000	190.048	1,900.48	334.440	3,344.40	1,443.92	LT
	Jun 30, 20	30,000	192.440	5,773.20	334.440	10,033.20	4,260.00	LT
	Mar 28, 22	5,000	220.000	1,100.00	334.440	1,672.20	572.20	LT
	May 23, 22	10,000	207.345	2,073.45	334.440	3,344.40	1,270.95	LT
	Aug 15, 22	5,000	216.330	1,081.65	334.440	1,672.20	590.55	LT
	Nov 14, 22	5,000	208.498	1,042.49	334.440	1,672.20	629.71	LT
	Mar 31, 23	5,000	224.288	1,121.44	334.440	1,672.20	550.76	LT
	Oct 5, 23	10,000	233.410	2,334.10	334.440	3,344.40	1,010.30	LT
	Oct 24, 23	15,000	235.847	3,537.71	334.440	5,016.60	1,478.89	LT
	Nov 5, 24	5,000	293.566	1,467.83	334.440	1,672.20	204.37	LT
	Nov 7, 24	65,000	304.798	19,811.88	334.440	21,738.60	1,926.72	LT
Security total		185,000	243.933	45,127.63		61,871.40	16,743.77	
WALMART INC								
Symbol: WMT Exchange: NYSE								
EAL: \$611 Current yield: 0.85%								
	Sep 5, 25	375,000	100.327	37,622.70	110.510	41,441.25	3,818.55	ST
	Sep 12, 25	10,000	103.365	1,033.65	110.510	1,105.10	71.45	ST
	Oct 22, 25	240,000	107.320	25,755.80	110.510	26,522.40	766.60	ST
	Nov 20, 25	25,000	107.215	2,680.38	110.510	2,762.75	82.37	ST
Security total		650,000	103.221	67,093.53		71,831.50	4,737.97	
WILLIAMS COS INC (DEL)								
Symbol: WMB Exchange: NYSE								
EAL: \$1,400 Current yield: 3.28%								
	Jul 18, 24	275,000	43.375	11,928.27	60.930	16,755.75	4,827.48	LT
	Aug 6, 24	225,000	43.590	9,808.75	60.930	13,709.25	4,000.50	LT
	Oct 3, 24	100,000	48.210	4,821.00	60.930	6,093.00	1,272.00	LT
	Oct 22, 24	50,000	52.485	2,624.25	60.930	3,046.50	422.25	LT
	Nov 20, 25	50,000	58.995	2,949.75	60.930	3,046.50	96.75	ST
Security total		700,000	45.760	32,032.02		42,651.00	10,618.98	



Your assets ► Equities ► Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
Total				51,776,357.99		\$2,730,976.20	\$892,668.21	
Total estimated annual income: \$17,809								

Closed end funds & Exchange traded products

Not reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
AVANTIS FIFTEENING MARKETS EQUITY LII								
Symbol: AVLVI								
Trade date: Nov 10, 25	284,000	78.029	22,160.34	76.800	21,811.20	-349.14		ST
Trade date: Nov 24, 25	76,000	75.450	5,734.20	76.800	5,836.80	102.60		ST
EAL: \$727 Current yield: 2.63%								
Security total	360,000	77.485	27,894.54	27,648.00	-246.54		-246.54	
AVANTIS INTL EQUITY ETF								
Symbol: AVDE								
Trade date: Nov 10, 25	692,000	80.142	55,458.94	80.950	56,017.40	558.46		ST
Trade date: Nov 24, 25	178,000	78.405	13,956.09	80.950	14,409.10	453.01		ST
EAL: \$1,825 Current yield: 2.59%								
Security total	870,000	79.787	69,415.03	69,426.50	11.47		1,011.47	
FIRST TRUST NASDAQ CYBERSEC ETF								
Symbol: CIBR								
Trade date: Jun 11, 25	350,000	13.221	2,529.52	13.550	2,567.50	14.98		SI
Trade date: Jun 11, 25	100,000	13.161	1,316.18	13.550	1,365.00	48.82		SI
Trade date: Jun 24, 25	150,000	73.933	11,090.07	73.550	11,047.50	-42.51		ST
EAL: \$106 Current yield: 0.22%								

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Your assets ▶ Equities ▶ Closed end funds & Exchange traded products (continued)

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Your assets ▶ Equities ▶ Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Average price per share (\$)	Unit cost basis (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
SHARES CORE S&P U.S. VALUE ETF										
Symbol : USV	85,000	322.134	27,381.35	27,381.35	27,381.35	58,387.80	31,003.45			
Trade date: Nov 7, 23	500,000	76.159	38,079.55	38,079.55	102.750	51,375.00	13,295.45			LT
Trade date: Feb 27, 25	150,000	95.076	14,261.42	14,261.42	102.750	15,412.50	1,151.08			ST
EAI: \$1,190 Current yield: 1.77%	650,000	80.525	52,340.97	52,340.97	66,787.50	14,446.53	14,446.53			
Security total										
SHARES S&P 100 ETF										
Symbol : OEF	30,000	190.603	5,718.11	5,718.11	344.130	10,323.90	4,605.79			LT
Trade date: Jun 18, 21	15,000	203.130	3,045.95	3,045.95	344.130	5,161.95	2,115.00			LT
Trade date: Jul 23, 21	9,000	172.990	1,556.91	1,556.91	344.130	3,097.17	1,540.26			LI
Trade date: Jul 5, 22	1,000	181.150	181.16	181.16	344.130	344.13	162.97			LI
Trade date: Jul 25, 22	5,000	187.540	937.70	937.70	344.130	1,720.65	782.95			LT
Trade date: Jul 29, 22	10,000	186.130	1,861.30	1,861.30	344.130	3,441.30	1,580.00			LT
Trade date: Mar 31, 23	20,000	191.168	3,823.36	3,823.36	344.130	6,882.60	3,059.24			LT
Trade date: May 1, 23	5,000	190.470	952.35	952.35	344.130	1,720.65	768.30			LT
Trade date: May 11, 23	5,000	200.286	1,001.43	1,001.43	344.130	1,720.65	719.22			LT
Trade date: Oct 5, 23	10,000	278.680	2,786.80	2,786.80	344.130	3,441.30	654.50			LT
Trade date: Nov 5, 24	40,000	289.350	11,574.00	11,574.00	344.130	13,765.20	2,191.20			LT
Trade date: Nov 11, 24	10,000	262.510	2,625.10	2,625.10	344.130	3,441.30	816.20			LI
EAI: \$432 Current yield: 0.78%	160,000	225.407	36,065.17	36,065.17	55,060.80	18,995.63	18,995.63			
Security total										
SPDR S&P 500 ETF TR										
Symbol : SPY	10,000	370.370	3,703.70	3,703.70	683.390	6,833.90	3,130.20			LT
Trade date: Jun 8, 20	25,000	307.950	7,698.75	7,698.75	683.390	17,084.75	9,386.00			LT
Trade date: Jun 12, 20	5,000	382.660	1,913.30	1,913.30	683.390	3,416.95	1,503.65			LT
Trade date: Oct 25, 22	65,000	433.869	28,201.53	28,201.53	683.390	44,420.35	16,218.82			LT
Trade date: Oct 12, 23	20,000	653.255	13,065.10	13,065.10	683.390	13,667.80	602.70			ST
EAI: \$907 Current yield: 1.06%										

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automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amount on taxable debt securities, you may request URS as a cost basis for the bond premium amortization.

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Your assets ▶ Fixed income ▶ Corporate bonds and notes (continued)

Holding	Trace date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
VIOSEAIC GLOBAL HOLDINGS								
CAL-@MM+203P								
RAIL 01/300% MATUR 5/17/5/28								
ACCRUED INTEREST \$674.23								
CUSIP 449669AK6								
Woody Baa2 S&P: 3BB								
EAI: \$1,825 Current yield: 6.94%								
Oct 23, 23								
25,000,000								
104,000								
26,000,000								
105,173								
26,293.25								
293.25								
LT								
EJ LILLY & CO NTS B/E								
CAL-@MM+5B2								
RATE 01/550% MATURES 02/12/28								
CAL-A3LE								
ACCRUED INTEREST \$535.88								
CUSIP 532457CU0								
Woody Aa3 S&P: A1								
EAI: \$1,840 Current yield: 4.47%								
Apr 11, 25								
40,000,000								
100,572								
40,768.80								
101,808								
40,773.20								
454.40								
SL								
ORACIT CORP NTS B/A								
RATE 04/500% MATURES 05/06/28								
CAL-A3LE								
ACCRUED INTEREST \$137.50								
CUSIP 68389XCV5								
Woody Baa2 S&P: 3BB								
EAI: \$2,250 Current yield: 4.49%								
Apr 21, 25								
50,000,000								
100,063								
50,037.50								
100,194								
50,097.00								
65.50								
ST								
ALL STATE CORP NTS B/I								
CAL-@MM+153P								
RATE 05/950% MATURES 06/24/29								
CAL-A3LE								
ACCRUED INTEREST \$1,080.14								
CUSIP 070007BI4								
Woody A3 S&P: BBB-								
EAI: \$2,325 Current yield: 4.89%								
Apr 22, 25								
50,000,000								
101,232								
50,616.00								
103,367								
57,683.50								
1,067.50								
ST								
continued next page								



Account name: RICHARD GOTTLE INC
Friendly account name: Gottle LSP
Account type: Employee Stock Ownership Plan
Account number: DS 29431 VID

Your assets ▶ **Fixed income** ▶ **Corporate bonds and notes** (contin...ed)

Holding	Trace date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Nov 28 (\$)	Value or Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
ORACLE CORP 3/7								
5BP								
RATE 05.250% MATURES 11/09/32								
CALLABLE								
ACCRUED INTEREST \$98.95								
CUSIP 68389XCJ2								
Woody Bond S&P: 5BP								
YAL: \$1,675 Current yield: 5.89%	Sep 18, 23	30,000,000	103,102	30,930.60	106,125	31,837.50	906.90	LT
Total		\$225,000,000		\$227,423.00		\$230,660.55	\$3,237.55	
Total accrued interest: \$2,934.40								
Total estimated annual income: \$11,008								

Closed end funds & Exchange traded products

Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on the date. "The unrealized tax" gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. If a stock does not include cash dividends that were not reinvested.

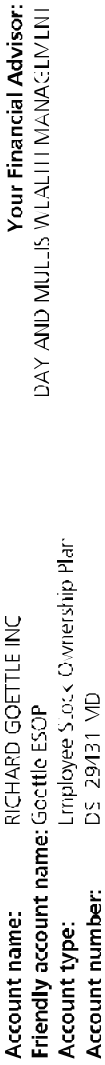
-holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
GOLDMAN SAC-HS ACCESS TREAS 0-1									
Y A 1111									
Symbol : GR11									
Trade date: Nov 7, 24	158,000	99.920	15,787.36	15,787.36	100.200	15,837.60	44.24		LT
Trade date: Nov 11, 24	192,000	99.949	19,190.36	19,190.36	100.200	19,238.40	48.04		LT
Trade date: Sep 12, 25	100,000	100.675	10,067.50	10,067.50	100.200	10,020.00	12.50		ST
EAL: \$1,835 Current yield: 4.07%									
Security total									
	450,000	99.967	44,935.22	44,985.22		45,090.00	104.78	104.78	

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Trade date. Apr 4, 25

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Your assets ▶ Fixed income ▶ Closed end funds & Exchange traded products (continued)

holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Aug 21, 23	120,000	76.895	9,227.40	9,227.40	84.550	10,146.00	918.60		LT
Trade date: Nov 7, 23	250,000	76.005	19,001.25	19,001.25	84.550	21,137.50	2,136.25		LT
Trade date: Nov 9, 23	50,000	74.767	3,738.38	3,738.38	84.550	4,227.50	489.12		LT
Trade date: Aug 14, 25	180,000	83.725	15,072.50	14,967.50	84.550	15,219.00	256.50		ST
Trade date: Nov 24, 25	1,200,000	84.216	101,059.56	101,059.56	84.550	101,460.00	400.44		SL
EAL: \$6,938 Current yield: 4.56%									
Security total	1,800,000	82.244	148,039.09	148,039.09		152,190.00	4,150.91	4,150.91	

VANGUARD 101A1 BOND MK I I

Sym30 : 3ND

	Trade date: Aug 21, 23	70 520	25,246.16	25,246.16	74,780	26,771.24	1,525.08	LT
Trade date: Nov 9, 23	42,000	69 556	2,921.37	2,921.37	74,780	3,140.76	219.39	LT
Trade date: Nov 7, 24	75,000	72 836	5,462.70	5,462.70	74,780	5,608.50	145.80	LT
Trade date: Nov 24, 25	325,000	74 660	24,264.50	24,264.50	74,780	24,303.50	39.00	ST
EAL: \$2,279 Current y etc.: 3.81%								
Security total	800,000	72 368	57,894.73	57,894.73		59,824.00	1,929.27	

Total

Total estimated annual income: \$22.914

Mutual funds

Totally reinvested is the rate of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized tax gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on its date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash equivalents that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Cost investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment Holding period
2016 TOTAL RETURN BOND								
CLZ								
Symco : DBZX								
Trade date: Sep 14, 23	5,274.97	11.599	61,180.68	61,180.68	12.250	64,608.91	3,428.23	LT
Trade date: Feb 28, 24	953.369	11.819	11,268.82	11,268.82	12.250	11,678.77	409.95	LT

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Your assets ▶ Fixed income ▶ Mutual funds (continued)

—Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Nov 7, 24	1,978,204	11 969	23,679.10	23,679.10	12.250	24,233.00	553.90		LT
Trade date: Mar 26, 25	755,633	11 950	9,029.82	9,029.82	12.250	9,256.50	226.68		ST
EAL: \$4,972 Current yield: 4.53%									
Security total	8,951,403	11 735	105,158.42	105,158.42		109,777.18	4,618.76	4,518.76	

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accrued original issue discount (OID). Cost basis has been

Trading	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
JSTREASURY NOTE								
RATE 4.8750% MATURES 10/31/28								
ACCRUED INTEREST \$150.82								
CUSIP 91282CJ19								
REAL: \$1,950	Current yield: 4.70%	Apr 11, 25	40,000.000	102.738	41,095.31	105.773	4,509.20	ST

Commodities

Closed end funds & Exchange traded products

Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost-basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
SPDR GOLD SHARES ETF									
Symco : GLD									
Trade date: Apr 3, 25	55,000	286.588	15,784.39	15,784.39	387.880	21,333.40	5,549.01		ST
Trade date: Apr 10, 25	65,000	292.575	19,017.38	19,017.38	387.880	25,122.20	6,104.82		ST
Trade date: Apr 16, 25	55,000	397.600	16,918.00	16,918.00	387.880	21,333.40	4,415.40		ST
Trade date: Sep 5, 25	65,000	330.854	21,505.53	21,505.53	387.880	25,212.20	3,706.67		ST
Trade date: Nov 24, 25	60,000	378.970	22,738.20	22,738.20	387.880	23,272.80	534.60		ST
Security total	300,000	319.878	95,963.50	95,963.50		116,364.00	20,400.50	20.400.50	



Your assets (continued)

Your total assets

Cash	Value on Nov 25 (\$)	Percentage of your account	Cost basis (\$)	Estimated income (\$)	Unrealized gain or loss (\$)
Cash and money balances	112,906.07	1.96%	112,906.07		
Cash alternatives	1,050,000.00	18.27%		42,427.00	
Equities					
* Common stock	2,730,976.20		1,776,337.99	17,899.00	892,668.21
Closed end funds & Exchange traded products	765,520.50		603,461.55	8,413.00	162,058.95
Total equities	3,496,496.70	60.85%	2,379,819.54	26,222.00	1,054,727.16
Fixed income					
Corporate bonds and notes	230,660.55		227,423.00	11,038.00	3,237.55
Closed end funds & Exchange traded products	586,074.20		575,415.90	22,914.00	10,658.30
Mutual funds	109,777.18		105,158.42	4,972.00	4,618.76
Government securities	41,509.20		41,095.31	1,950.00	413.89
Total accrued interest	3,085.22				
Total fixed income	971,106.35	16.90%	949,092.63	40,844.00	18,928.50
Commodities					
Closed end funds & Exchange traded products	116,364.00	2.02%	95,963.50		20,400.50
Total	\$5,746,873.12	100.00%	\$3,537,781.74	\$109,493.00	\$1,094,056.16

* Missing cost basis information.

Account activity this month

Deposits and other funds credited	Date	Activity	Description	Amount (\$)
	Nov 19	Deposit	TD RAI FUNDS (DEPOSIT) BY GOETTLE INCLUDING COMPANY INC AL NORTH SIDE BANK TRUST CO.	4,000,000.00
Total deposits and other funds credited				
The activity descriptions for contributions and transactions included in this section are provided by the plan administrator or plan trustee. UBS Financial Services Inc does not independently verify or guarantee the accuracy or validity of this information.				
				\$4,000,000.00
Dividend and interest income	Date	Activity	Description	Amount (\$)
Dividends				
	Nov 3	Dividend	PGIM GLOBAL RETURN BOND C1 / AS OF 10/31/25 SYMBOL: PDBZX	459.72
	Nov 3	Dividend	LIQUID ASSETS GOVT FUND AS OF 10/31/25	138.92

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Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and interest income (continued)			
<i>Dividends (continued)</i>			
Nov / 5	Dividenc	VANGUARD INTER TERM CORPORATE BOND ETF PAID ON SYMBOL: VCT	200.40
Nov / 5	Dividenc	VANGUARD TOTAL BOND MKT ETF PAID ON SYMBOL: BND	115.72
Nov / 6	Dividenc	IS-IARES 1-10 YEAR IRIAS BOND III PAID ON SYMBOL: EF	212.65
Nov / 6	Dividenc	IS-IARES CORE U.S. AGGREGATE BOND ETF PAID ON SYMBOL: AGG	179.83
Nov / 6	Dividenc	IS-IARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: EI	43.94
Nov / 6	Dividenc	IS-IARES 1-10 YEAR IRIAS BOND III PAID ON SYMBOL: USB	147.88
Nov / 7	Dividenc	GOLDMAN SACHS ACCESS TREAS 0-1 YEAR ETF PAID ON SYMBOL: GBL	151.01
Nov / 3	Dividenc	APPLE INC PAID ON SYMBOL: AAPL	104.00
Nov / 4	Dividenc	COSTCO WHOLESALE CORP PAID ON SYMBOL: COST	110.50
Nov / 21	Foreign Dividenc	EATON CORP PLC PAID ON SYMBOL: ETN	121.80
Nov / 26	Dividenc	UNID RENTALS INC PAID ON SYMBOL: URI	125.30
Total dividends			\$2,114.19
Nov / 6	Interest	ORACLE CORP NTS B/E 04.500% DTD020623=C110623 PAID ON SYMBOL: 50000 CUSIP: 68389XCM5	1,250.00
Nov / 10	Interest	ORACLE CORP B/E 06.250% 110932 DTD110822CALL@MMW+35BP PAID ON SYMBOL: 30000 AS OF 11/09/25 CUSIP: 68389XCJ2	937.50
Total interest			\$2,062.50
Total dividend and interest income			\$4,176.69
Date	Activity	Description	Amount (\$)
Nov / 20	Distribution Current Year	FEDERAL UNIONS NATIONAL DIST CO Goettle Holding Company, IN A III NORRIS SIDI BANK AND IRL	-995,887.00
Other funds debited			

continued next page

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
No/ 21	Foreign Tax Withheld	EATON CORP PLC SYMBOL : EIN	-31,20
No/ 25	Transfer Out Current Year	JOURNAL TRANSFER OUT TO DS 29/16 RICHARD GOETTLE INC	-36,792.41
No/ 25	Distribution Current Year	FEDERAL FUNDS NORMAL DIST TO Reliance Trust Company AT JPMORGAN CHASE BANK, N.A.	-1,136,385.24
Total other funds debited			-\$2,169,095.85

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Accty	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Nov 4	Bought	CAPITAL ONE FINCL CORP DE SYMBOL COF ► CUSIP NO. 14940H105 ► Capacity: Agent	125,000		221.78		-27,722.50	
Nov 4	Sold	INTERCONTINENTAL EXCHANGE GROUP DE Average price. Details available upon request. ► SYMBOL ICE ► CUSIP NO. 45866F104 ► Capacity: Agent	-220,000		145.413607	31,999.99		
Nov 4	Bought	LILLY ELI & CO DE SYMBOL LLY ► CUSIP NO. 532737108 ► Capacity: Agent	10,000		884.17		-8,841.70	
Nov 10	Sold	EATON CORP PLC DE SYMBOL LIN ► CUSIP NO. 629183103 ► Capacity: Agent	-120,000		368.50	44,220.00		
Nov 12	Bought	AVANTIS FINANCIAL MARKETS EQUITY LTD Prospectus enclosed or sent under separate cover. ► Average price. Details available upon request. ► SYMBOL AVEM ► CUSIP NO. 025072604 ► Capacity: Agent	284,000		78.079366		-22,160.34	
Nov 12	Bought	AVANTIS INTL EQUITY FTF DE Prospectus enclosed or sent under separate cover. ► Average price. Details available upon request. ► SYMBOL AVDE ► CUSIP NO. 025072703 ► Capacity: Agent	592,000		80.142983		-55,458.94	
Nov 12	Sold	ISHARES MSCI EAFE MIN VOL FACTOR ETF DE Average price. Details available upon request. ► SYMBOL EFAV ► CUSIP NO. 46429BC89 ► Capacity: Agent	925,000		85.655132	79,231.00		
Nov 12	Bought	ABBV E INC COM DE SYMBOL ABBV ► CUSIP NO. 00287Y109 ► Capacity: Agent	215,000		224.220900		48,207.49	
Nov 14	Sold	LINDL PLC NLW LUR DL Average price. Details available upon request. ► SYMBOL LIN ► CUSIP NO. G5950103 ► Capacity: Agent	-100,000		422.671982	42,267.20		

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Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Nov 17	Dividend	NETELX INC STOCK SPLIT SYMBO: NFLX RATE: 9.00 PAID ON 65 SYMBO: NFLX	585.000					
Nov 20	Bought	FEDERATED HERMES US TRSY CASH RES FUND CLASS ADV FBOID: 53234326556 Prospectus enclosed or sent under separate cover. ► SOLICITED / DE ► Please see our brochure. Understanding mutual fund share classes, fees and certain risk considerations, " available at www.ubs.com/mutualfunddisclosure, for information about cost differences in mutual fund share classes. Limitations on the transfer of certain UBS funds and how we are compensated in connection with the sale of mutual funds. A hard copy of this brochure is available from your financial advisor upon request. ► The symbol displayed is the Mutual Fund ticker. ► SYMBO: UTVXX ► CUSIP NO. 314233768 ► Capacity: Agent	2,000,000.000		1.0000		-2,000,000.00	
Nov 21	Bought	BOS: ON SCILM III C CORP DL SYMBOL BSX ► CUSIP NO. 01137107 ► Capacity: Agent	50.000		55.746300		-4,837.32	
Nov 21	Bought	COSTCO WHOLESALE CORP DE SYMBOL COST ► CUSIP NO. 22160K105 ► Capacity: Agent	15.000		897.26		13,458.90	
Nov 21	Bought	ALPHABET INC CL A DE SYMBOL GOOGL ► CUSIP NO. 02079K305 ► Capacity: Agent	10.000		259.025800		2,590.26	
Nov 21	Bought	DEVON ENERGY CORP NEW DE Average price. Details available upon request. ► SYMBO: DVN ► CUSIP NO. 75179M103 ► Capacity: Agent	1,200.000		36.218598		-36,218.90	
Nov 21	Sold	EMCOR GROUP INC DE SYMBOL EME ► CUSIP NO. 25084Q100 ► Capacity: Agent	-85.000		594.55	50,536.75		
Nov 21	Bought	BROADCOM INC DE SYMBOL AVGO ► CUSIP NO. 11135F01 ► Capacity: Agent	15.000		346.289800		-5,194.35	
Nov 21	Bought	LILLY & CO DE SYMBOL LLY ► CUSIP NO. 53257108 ► Capacity: Agent	15.000		1043.415000		-15,651.23	
Nov 21	Sold	UBER TECHNOLOGIES INC DE Average price. Details available upon request. ► SYMBOL UBER ► CUSIP NO. 90353T100 ► Capacity: Agent	-450.000		85.642511	38,539.13		
Nov 21	Bought	SPDR S&P 500 ETF TR DE Prospectus enclosed or sent under separate cover. ► SYMBO: SPY ► CUSIP NO. 784621103 ► Capacity: Agent	20.000		633.255000		-13,065.10	
Nov 21	Bought	WALMART INC DI SYMBOL WMT ► CUSIP NO. 93142103 ► Capacity: Agent	275.000		167.215000		-2,680.38	
Nov 21	Bought	WILLIS TOWERS WATSON INC (DFI) DE SYMBOL WVB ► CUSIP NO. 969457100 ► Capacity: Agent	50.000		58.995000		-2,949.75	

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Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Nov 25	Bought	METAPATFORMS INC CLASS DE SYMBOL VIIA ► CUSIP NO. 30403M102 ► Capacity: Agent	10.000	615.46	615.46		-24,618.40	
Nov 25	Bought	ILL FHL & CO DE SYMBOL LLY ► CUSIP NO. 332257108 ► Capacity: Agent	30.000	1363.45	1363.45		-31,903.50	
Nov 25	Bought	MCKESSON CORP DE Average price. Details available upon request. ► SYMBOL MCK ► CUSIP NO. 58155Q103 ► Capacity: Agent	25.000	869.43	1200		-21,735.78	
Nov 25	Bought	NVIDIA CORP DE SYMBOL NVDA ► CUSIP NO. 67056G104 ► Capacity: Agent	50.000	181.55	500		-9,077.78	
Nov 25	Bought	SPDR GOLD SHARES ETF DE Prospectus enclosed or sent under separate cover. ► SYMBOL GLD ► CUSIP NO. 78163V107 ► Capacity: Agent	60.000	378.97			-22,738.20	
Nov 25	Bought	VANGUARD INTERIM CORPORATE BOND ETF DE Prospectus enclosed or sent under separate cover. ► SYMBOL VCI ► CUSIP NO. 92705C870 ► Capacity: Agent	1,200.000	84.21	16,100		-101,059.56	
Nov 25	Bought	VANGUARD TOTAL BOND MKT L DL Prospectus enclosed or sent under separate cover. ► Average price. Details available upon request. ► SYMBOL 3ND ► CUSIP NO. 921937835 ► Capacity: Agent	325.000	14.66			-24,264.50	
Nov 25	Sold	PGIM TOTAL RETURN BOND CI 7 FBOID 53287699145	-1,038.598		17.220	17,691.67		
Nov 25	Sold	SOLICITED / DE ► The symbol displayed is the Mutual Fund ticker. ► SYMBOL PDBZX ► CUSIP NO. 744403405 ► Capacity: Agent						
Nov 25	Sold	FFD-RATED HERMES US TRSY CASH RES FUND CLASS ADV FBOID 53287699157	-950,000.000		1.0000	950,000.00		
Nov 26	Bought	BROADCOM INC DE SYMBOL AVGO ► CUSIP NO. 11135F701 ► Capacity: Agent	80.000	386.40			-30,912.00	
Nov 26	Sold	NVIDIA CORP DE Average price. Details available upon request. ► SYMBOL NVDA ► CUSIP NO. 67066G104 ► Capacity: Agent	-175.000		175.12202	30,646.35		
Total				\$1,280,123.09		-\$3,094,936.76		

Money balance activities			Date	Activity	Description	Amount (\$)
Balance forward			Oct 31			\$91,663.90
			Nov 3	Bought	LIQUID ASSETS GOVT FUND AS OF 10/31/25	138.92
			Nov 3	Bought	LIQUID ASSETS GOVT FUND	915.00

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Account name: RICHARD GOETTLE INC
Friendly account name: Goettle ESOP
Account type: Employee Stock Owner
Account number: DS 29431 MD

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SHARES MSCIEAFE MIN VOL FACTOR ETF	FIFO	475,000	Apr 29, 25	Nov 10, 25	40,686.19	39,036.62			1,679.57
	FIFO	125,000	May 14, 25	Nov 10, 25	10,706.89	10,127.50			579.39
	FIFO	150,000	May 30, 25	Nov 10, 25	12,848.27	12,687.50			180.77
	FIFO	50,000	Jun 10, 25	Nov 10, 25	4,282.76	4,240.01			42.75
	FIFO	125,000	Sep 05, 25	Nov 10, 25	10,706.89	10,670.60			36.29
INDI PLC NI MLUR	FIFO	30,000	Mar 15, 25	Nov 13, 25	12,680.16	13,946.90		-1,316.74	
VVDIA CORP	VSP	25,000	May 11, 25	Nov 25, 25	4,378.05	3,318.50			1,059.55
	VSP	10,000	Jun 10, 25	Nov 25, 25	1,751.22	1,436.80			314.42
	VSP	50,000	Nov 24, 25	Nov 25, 25	8,756.10	9,077.78		-321.68	
JBER TECHNOLOGIES INC	FIFO	420,000	Sep 29, 25	Nov 20, 25	35,969.85	41,819.56		5,849.71	
	FIFO	30,000	Oct 06, 25	Nov 20, 25	2,569.28	3,003.78		434.50	
Total					\$250,271.36	\$257,193.67		-\$14,185.40	\$7,263.09
Net short-term capital gains and losses									-\$6,922.31

Long-term capital gains and losses									
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
NTERCONTINENTAL EXCHANGE GROUP	FIFO	66,000	Oct 04, 23	Nov 03, 25	9,597.30	7,128.08			2,469.22
	FIFO	9,000	Oct 05, 23	Nov 03, 25	1,308.72	983.39			325.33
	FIFO	45,000	Oct 05, 23	Nov 03, 25	6,543.61	4,920.00			1,623.61
	FIFO	20,000	Nov 09, 23	Nov 03, 25	2,908.27	2,173.80			734.47
	FIFO	10,000	Aug 15, 24	Nov 03, 25	1,454.14	1,553.20		-99.06	
INDF PLC NF EUR	FIFO	8,000	Feb 22, 23	Nov 13, 25	3,381.38	2,635.92			745.46
	LIFO	17,000	Mar 03, 23	Nov 13, 25	7,184.12	6,018.32			1,165.80
	LIFO	10,000	Mar 14, 23	Nov 13, 25	4,226.72	3,430.00			796.72
	FIFO	5,000	Jul 20, 23	Nov 13, 25	2,113.36	1,906.25			207.11
	FIFO	20,000	Sep 14, 23	Nov 13, 25	8,453.44	7,810.72			642.72
FIFO	10,000	Nov 07, 24	Nov 13, 25	4,226.72	4,652.80			426.08	
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
NV DIA CORP	FIFO	350,000	Jun 08, 20	Nov 25, 25	30,646.35	3,074.93			27,571.42
	VSP	5,000	Nov 05, 24	Nov 25, 25	875.67	699.29			176.32
	VSP	85,000	Nov 07, 24	Nov 25, 25	14,885.37	12,534.10			2,351.27
PGIM TOTAL RETURN BOND									
CLZ	FIFO	1,038,593	Sep 14, 23	Nov 24, 25	12,691.67	12,047.77			643.93
Total					\$110,498.08	\$71,628.54		-5525.14	\$39,394.68
Net long-term capital gains or losses									
Net capital gains/losses:									



Your notes

Important information about your statement

This statement represents the only official record of your UBS Financial Services Inc. ("UBS Financial Services" or the "Firm"), account. It is intended for your use only, and cannot be relied upon by third parties. Other records, except official tax documents, containing conflicting data should not be relied upon.

A thorough review of the information shown is intended to be accurate, reliable and complete. It is not intended to be used for tax purposes. Please review your account statements carefully and, if there are discrepancies, you do not recognize or if you believe there is any inaccuracy or discrepancy, please report it immediately by writing to the Branch Manager of the office serving your account. Written communication should be directed to UBS only (ubsus.com or postal mail) to ensure proper and secure record keeping for your protection. If the financial institution on the top left of the front of this statement is not UBS Financial Services Inc., UBS Financial Services carries your accounts and acting broker by arrangement with the indicated institution. We informed you of this relationship when you opened the account. In this case, your funds are securities as issued by a UBS Financial Services and, therefore, the introducing broker, and you must make a report of any error or omission to both firms. Please make all checks payable to the Firm or the introducing institution indicated on the front of this statement. Please reconfirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- For general account questions, contact your Financial Advisor or Customer Service at 888-279-3343.
- For TV services, call 1-844-512-1986 or from outside the U.S., call 1-201-352-1495.
- All statements shall be deemed complete and accurate if not objected to within 60 days.
- You must notify us of any errors or fraud in rolling checks reflected on your statement within 60 days after it was mailed or made available.
- In case of questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 315 Broadway, 51st Floor, Allentown, PA 18101.
- RMW/USA Services, Nashville, TN, 37238. Call or write as soon as you can if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the statement or receipt.
- Provide your name and account number, if any.
- Describe the error or the transfer you are unsure about and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to resolve this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

- To submit a question or complaint, please contact the Firm's Client Relations Department at 201-352-6899 or to file at 800-357-9103, 8:00 a.m. to 5:00 p.m. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 755, Allentown, NJ 07807.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary whenever your accounts change. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Advance Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain principal, with low risk and relatively to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for the account may include complex strategies, limited liquidity, and greater volatility.

UBS Sweep Options

UBS offers options for sweeping cash balances to bank deposit accounts at affiliated and non-affiliated banks and money market mutual funds (Money Funds). Deposit accounts at UBS Bank USA and non-affiliated banks participating in the UBS FDIC-insured Sweep Program and the UBS Insured Sweep Program are FDIC-insured in accordance with FDIC rules, provided that the requirements for deposit insurance are met. FDIC deposit insurance only covers the value of an insured bank. UBS Financial Services Inc. is not a FDIC-insured bank. For more information, please visit www.fdic.gov. Shares of Money Funds are not insured by the FDIC. Bank deposits are not protected by SIPC. Money Funds shares are protected by SIPC.

Upon your request, balances in the bank deposits may be withdrawn, and shares of Money Funds may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/usweeoptions. From your Financial Advisor or by calling 800/762-1330.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities customers' claims for cash. Exposure up to \$500,000 (including \$250,000 for claims for cash). Exposure procedure available upon request or at www.sipc.org. The SIPC's asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has a purchased supplemental limit. The maximum amount payable to a eligible client, on actively held securities, is \$500 million as of December 10, 2024. Subject to the policy conditions and limitations, such as the Firm is further protected for up to \$1.5 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

Neither the SIPC protection nor the supplemental insurance apply to:

- Certain financial assets controlled by (and included in your account value) but held by a financial services provider. For example, certain (i) insurance products, including variable annuities, and (ii) shares of mutual funds registered in the name of the account holder on the books of the sales or transfer agent;
- Investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933;
- Commodity contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts;
- Securities or loans to UBS Financial Services and deposit accounts (except certificates of deposit at JBS Bank USA, JBS AG US branches and banks in the FDIC insured deposit program) or the JBS Insured Sweep Program.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

Statement "householding"

We may consolidate statements for related accounts with the same address in the same service line folder to receive statements in separate service boxes, contact your Financial Advisor.

Friendly account name

The Friendly account name is a customer-specific nickname chosen by you. This is legal unless it is not intended to reflect any strategy, product, economic condition, investment objective or risk profile associated with your accounts, and is not a promise or guarantee of wealth, or any financial results, or a term will be achieved. All investments involve the risk of loss. Including the risk of loss of the entire investment. You can change your Friendly account names through the Services or by contacting your Financial Advisor.

Account overview

- **Value of your account portfolio:** Net of assets and liabilities

- Assets, includes available cash balances, values for securities, equity (e.g., and Global Trust Deposits, and certain assets not held by the Firm. Does not include unpledged securities/assets at the end of the prior or current statement periods, or future investments.
- **Liabilities:** Includes debit balances, outstanding margin loans, floating, short-term balances.
- **Cash/money balances:** Total of uninvested available cash balances, deposit balances at affiliates and non-affiliated banks, and money market mutual fund sweep balances, at the close of the statement period.

Net continuously free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and policies, refer to the applicable governing your account. U.S. Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/disclosuredocuments.

Your assets

You may ask for delivery of fully paid securities at any time. You may receive securities as used as collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** Where cost basis of an asset is disclosed with the number "N/A", the Firm has relied on information obtained from a third party or that you provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by a third party to the Firm. Although the Firm generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. Do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect seamless information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.
- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for the individual securities. The transaction data for the individual securities may or may not reflect commissions, charges and/or security margination events. Dividends and other reinvestment lots and systematic purchases are each needed to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities:** Bonds and preferred stock that the issuer calls for early redemption will be selected in proportion to lot from among all securities of that issue held in our name or in nominee name for our

Important information about your statement (continued)

clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect your account information. We will be provided upon request.

- **Price/Value.** Prices displayed may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third-party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price on value.

- Certain positions may show as "price was not available" if we are unable to obtain a price.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

- For certain securities trading in non-exchanging dealer markets, price and quantity (face value) may have been adjusted to facilitate origin valuation.
- Restricted securities may not be eligible for public sale. The market price of the unrestricted stock of the same issuer as an implied value to the restricted stock for purposes of this statement only. If restricted securities are sold, the value received may be substantially less than the implied value shown.

- To obtain current quotations, when available, contact your Financial Advisor.

Private investments and structured products

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to the Firm or a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by such parties and may be based on different information than third parties' estimated values.
- Contact your Financial Advisor for additional information regarding the reliability of any such

derivative estimates, values and the date of the information that is the basis for the estimates.

- Third party estimated values may be reflected as "Not priced" if the independent valuation firm has not reported or is unable to assign a value. We become aware that a material event has occurred that may call a previously reported value into question, or a value would be highly speculative due to the nature of the security.

- When neither an issuer, general partner or sponsor can determine nor a third party estimates value is provided, the value of the security will be a floor from its purchase to date.

- Distributions to date may reduce return of capital, income or both.

- Origin, unit size, liquidity, the initial offering price per unit and may not reflect your cost basis.

- DCF and unlisted REIT securities are not listed on a national securities exchange, and are generally illiquid, and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

Estimated income, current yields, and rates

An estimate of annual income is based on a current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. Actual income and yield might be lower or higher than the estimates. Accuracy of the estimates and continued income are not guaranteed.

- Current annual income and current yield may include a return of principal or capital gains in which case the estimate would be overstated.
- An estimate of annualized income is based on the last payment made by the issuer and assumes the securities, if possible, will be held for one year from the statement date or until maturity.

Alternative periodic reporting

Where permitted, unlisted securities are listed pursuant to a periodic plan or an investment company plan, or in shares of certain money market funds are reported on your periodic account statements in lieu of immediate dividend distributions. Accountal based on calculations would normally appear on the trade confirmation or will be furnished upon written request.

Dividend Reinvestment Program (DRIP)

- The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Or you may purchase shares under DRIP; partial shares will be sold, and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Fractal shares/cash-in-lieu

If you are entitled to a partial unit as a result of a dividend payment, corporate action, DRP or otherwise, the Firm will either sell partial units at the market price or

accept an amount determined by a registered clearing agency, and credit your account.

Assets not held by UBS Financial Services

Certain assets that do not belong to the Firm and not within the Firm's possession or control are displayed for your information and convenience only. Positions and values presented are provided by the issuing firm. The Firm is not responsible for the information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

Revenue sharing and additional compensation

- In addition to commissions or sales and 12b-1 fees received in connection with the distribution of mutual funds, our clients and/or our affiliate receive revenue sharing payments from mutual funds and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients, and (ii) the asset value of a particular mutual fund family's shares held at the firm.

- 1/2 and our affiliate also receive revenue sharing and commission processing fees in connection with the distribution of mutual funds that we provide to the mutual funds. These fees generally are paid from fees or assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- Our affiliates also receive trading commissions and other compensation on mutual funds whose products we distribute.

Commissions and other charges

Information regarding commissions and other charges related to the execution of trades, including options transactions and securities futures transactions has been included on confirmations or monthly furnished to you, and will be provided to you promptly on request. In addition to regular account fees, accounts may be subject to initiation fees, charges for late payment for securities purchases and charges for late arrivals in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee. If you are engaged in a mutual fund security, you may incur a charge due to certain borrowing costs for that fund's margin security.

Investment Advisory Program Fees

Program fees for Investment Advisory Accounts are reflected under *Account Activity This Month*. The *Current Quarter Advisor Fee* represents the UBS Advisory Fee and, if applicable, SMA Manager fees that are charged in advance of the net asset value of the account or the last day of the prior calendar quarter. The *Pre-Quarter Fee Adjustment* is the charge (increase or decrease) to the quarterly fee based on the average daily balance of the account for the previous calendar adjustment adjustments

to the Advisory Fees for account activity in certain programs ("Pro-rated Quarter Advisory Allocation Charge," "Current Quarter Allocation Adjustment"), initial fees ("Initial Fee") and account terminations ("Termination Fee") will also be shown. For more information, including details about our billing practices see the Form ADV brochure at ubs.com/financial, or contact your Financial Advisor.

Open or "good-till-cancelled" orders

Open buy and sell stop orders are reduced by the amount of dividends or rights on any dividends or ex rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing stop orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacy.

UBS Financial Services is a member of all other financial security, commodity, and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC.

A financial statement of this organization is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. The firm's executive offices are at UBS Financial Services Inc., 1200 Harbor Boulevard, Westborough, NJ 07308.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services.

Investment, insurance, and annuity products:

Not FDIC insured • Not a deposit • No bank guarantee • May lose value

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UBS Financial Services Inc.

Rev. 202511

Additional terms and definitions about your security transactions

Payment and Delivery; Late Payment Interest: You agree that (1) you will make payment by the settlement date for all property purchased for your account, and (2) that you own a property sold for your account (except for short sales), or it has been or will be delivered to LRS for your account by the settlement date.

Close-out Rights: We may close transactions without further notice through liquidation or buy-in, as applicable, if we do not receive payment for purchases or delivery of property sold by the settlement date, and we may charge a percentage interest

Purchase for a Cash Account: If this transaction is a purchase of securities for a cash account, until we receive payment from you, these securities may be loaned, hypothecated and/or commingled with securities carried for other clients.

Applicable Law: All transactions are subject to the provisions of your account agreement and the conformity of all are governed by New York law. All transactions are subject to applicable federal, state, and local laws and regulations, and to the rules, regulations, interpretations and customs of the exchange or market on which they are made or the clearing agency through which comparison of terms, delivery and payment is effected.

Other Fees and Charges: Amounts charged to your account may include a Transaction Fee (amounted to be nearest penny, or 1.5 cent per penny less than one), on each sale transaction; subscription fees for U.S. Government and Government electricity issues; insurance premiums and other charges associated with the processing of U.S. accounts for your account. For the current transaction fee rate go to www.irs.com/irs/esp/clients

Market Maker: As market maker, we or our affiliate may act as principal, may have a long or short position in the security and may profit from your transaction.

Agency Trades: To trades in which we acted as agent, the name of the person who actually purchased from us, and the source and amount of any other remuneration received by us, in addition to the amount shown on the confirmation, is available upon caller request. The caller may be an affiliate that may earn commission in addition to the commission shown on the confirmation.

Dual Agent: If the capacity shows on the front side of agent, then we expect as agent for both you are another client.

Principal Trades: For trades in which we acted as principal, the price shown may reflect revenue received by us in addition to any amount shown under "Commissions/Sales Charge" including revenue received from affiliated dealers.

Multiple Capacity: If the capacity shown on the front of the contract is multiple, we executed the trade, acting in two or more of the following capacities: principal, agent or dual agent. Additional information is available upon written request.

Reported Securities: For trades in which we acted as principal in a reported security, the amount shown under "Price" is the reported trade price.

Odd-Lot Differential: The amount of any odd-lot differential or equivalent fee to execute an order for an odd-lot number of shares, unit, or principal amount of a security, disclosed on this confirmation or will be furnished upon request.

Not-Rated Debt: This security is not rated by a nationally recognized statistical rating organization. This information is based on a good faith inquiry of selected sources that we believe are current, but we cannot guarantee its accuracy and completeness.

Asset-Backed Security: The actual yield on an asset-backed security may vary accordingly to the rate at which the underlying receivables or other financial assets are repaid. Information about the factors that affect yield will be furnished upon written request. **EY:** Estimated Yield, **WAL:** Weighted Average Life, **PSA:** Prepayment Speed Assumption, **CPR:** Conditional Prepayment Rate.

Zero Coupon Security: If this transaction involves a zero coupon security, no recorded interest payments will be made and, if the security is callable, it may be called below maturity value. Such securities may be called by mail without direct notice to the holder unless the holder is registered.

U.S. Treasury Securities; Agency Debt and Agency Mortgage Backed Securities. Transactions are subject to Federal Charge Trading Practice published by TMFG and SIFMA at <http://www.sifma.org/TMFG>

Redeemable/Callable Securities: Securities that are redeemable or callable are indicated on the firm's confirmation letter. In the prospectus, redeemable or callable securities may be redeemed in whole or in part before maturity, which could adversely affect the yield represented. When an issuer redeems or calls a portion of an outstanding issue of securities, we will hold an "initial call lottery." The probability of your security being selected will depend on the proportion of your holdings to all such securities held by us. Accrual interest on redeemable securities is available upon request.

Sustainable investments: Terms or labels related to suitable for ESG investments differ across the industry, and standards and terminology are evolving. You should carefully review the offering materials to understand how

We continue to maintain and evolve our own standards and a "framework" for sustainable investing. However, we do not review every product to determine consistency with our standards for sustainable investing. Not all products that we make available on the app are U.S. Financial Services Inc. offerings. Advisors can assist you in identifying products that we have reviewed and determined to be consistent with our standards for sustainable investing.

Average Price: Where a trade is completed in multiple trade executions consisting of various quantities and prices, the price shown is an average of these prices. Details of the individual trade executions and elements of total remuneration (see below) are available upon request.

Aggregated Orders: Your order may have been aggregated with orders of other customers for purposes of execution. Additional information is available upon request.

Credits: In over-the-counter transactions of debt and equity securities, we or an affiliated broker-dealer may act as principal or may extend credit to the financial institution in connection with the transaction. This credit represents an internal allocation of available handling your order. This credit represents an internal allocation of available handling your order. Additional information is available upon request.

Minimum Denomination: The municipal security that you purchased may have a minimum denomination set by the issuer. The Municipal Securities Rulemaking Board has certain conditions for the purchase of below-minimum-denomination securities by brokers, dealers and municipal securities dealers that may reduce the amount of the purchase.

the number of investors willing to purchase the security and, therefore, adversely impact the price ~~had~~ you receive for the security.

Alternative periodic reporting: You will receive a confirmation of your initial purchase of certain money market shares, and subsequent transactions may be reported on periodic statements. These periodic statements may be sent monthly except that, if you only fund activity in a quarter without redemptions or dividends, the activity may be reported on a quarterly rather than a monthly statement.

Revenue Sharing and Additional Compensation: In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients, as described in the prospectus, we and/or our affiliate receive revenue sharing payments from distributors and/or issuers of the mutual funds that we sell. These amounts are based on two components:

- The amount of sales by Units of a particular mutual fund family to our clients, and
 - The asset value of a particular mutual fund family's shares held by the firm. We and/or our affiliate also receive record keeping or service fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid for investor assets in the mutual funds and are generally paid in dollars and not based on the number of accounts at the broker-dealer holding mutual funds of that fund family.
- In addition, our commissions, received in connection with the sale or distribution of variable annuity contracts and/or investment trust units to our clients, as described in the prospectus, and our affiliates receive referral fees for obtaining consideration for many of the insurance companies underlying the variable annuity contracts, affiliates of the insurance companies or sponsors of the unit investment vehicles we distribute. Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

Foreign Market Transaction Settlement Date: 'Settlement date' is computed on the basis of the standard U.S. calendar and does not take into account holidays on foreign markets. The actual settlement date for a foreign market transaction may differ from the date listed on confirmations. Additional information is available upon request.

Time of Execution: The time of execution is available from within the console.

Markets: Many securities are traded in multiple markets. Unless you provide specific instructions, we will exercise discretion as to the market or markets in which your order is executed.

Good-Til-Cancelled (GTC): A Good-Til-Cancelled (GTC) order is an order that automatically cancels a liquidity GTC order if it is in effect for a Commission duration (15:00 and 6:00 hours). Upon the opening of the next business day, these orders will be cancelled without a notification being sent via e-mail or to the investor. This does not include any orders placed for Equity P-1 An Advisory Services (P-1AS) clients or as part of a "06-51" trading plan.

BOX Exchange LLC and BOX Options Market (BOX): U.S. Americas Inc., an affiliate of UBS Financial Services Inc., holds a beneficial ownership interest in BOX Exchange LLC and BOX Holding Group LLC, the parent holding company of the BOX Options Market.

Rev. 2022-07