Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2025

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must provide participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) _____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

E If this is a retroactively adopted plan permitted by SECURE Act section 201, check here. . . . . ▶ [ ]

Part II Basic Plan Information—enter all requested information

1a Name of plan
FIRST TRUST PORTFOLIOS L.P. 401(K) PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 03/16/1992

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
FIRST TRUST PORTFOLIOS L.P.

120 E LIBERTY DRIVE, STE 400
WHEATON, IL 60187

2b Employer Identification Number (EIN) 36-3768815

2c Plan Sponsor's telephone number 630-765-8611

2d Business code (see instructions) 523900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2026	CHRISTINE RIPSKY
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2026	CHRISTINE RIPSKY
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a	Plan administrator's name and address [X] Same as Plan Sponsor	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:	**4b**	EIN
a	Sponsor's name	**4d**	PN
c	Plan Name		

5	Total number of participants at the beginning of the plan year	**5**	1206
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	1090
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	1244
b	Retired or separated participants receiving benefits	**6b**	3
c	Other retired or separated participants entitled to future benefits	**6c**	102
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	1349
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	1349
g(1)	Number of participants with account balances as of the beginning of the plan year (only defined contribution plans complete this item)	**6g(1)**	1194
g(2)	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g(2)**	1256
h	Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested.	**6h**	28
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

2E 2F 2G 2J 2K 2R 2S 2T 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[ ] Insurance	**(1)**	[ ] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary
- **(4)** [ ] **DCG** (Individual Plan Information) – Number Attached _____
- **(5)** [ ] **MEP** (Multiple-Employer Retirement Plan Information)

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] **A** (Insurance Information) – Number Attached _____
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [ ] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2025 Form M-1 annual report. If the plan was not required to file the 2025 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2025** **This Form is Open to Public Inspection.**

For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025

A Name of plan
FIRST TRUST PORTFOLIOS L.P. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
FIRST TRUST PORTFOLIOS L.P.

D Employer Identification Number (EIN)
36-3768815

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

VOYA RETIREMENT INSURANCE & ANNUITY

71-0294708

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ONEAMERICA RETIREMENT SERVICES LLC

46-5378446

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 36 37 50 64	NONE	46519	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

VOYA RETIREMENT INSURANCE & ANNUITY

71-0294708

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 36 37 50 64	NONE	43711	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

TD AMERITRADE

PO BOX 2226
OMAHA, NE 68103-2226

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	21414	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CHARLES SCHWAB

2423 E. LINCOLN DRIVE
PHOENIX, AZ 85016

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	6648	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

MATRIX TRUST COMPANY

75-3182674

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 21 25 62	NONE	0	Yes [X] No [ ]	Yes [ ] No [X]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
MATRIX TRUST COMPANY	19 21 25 62	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ONEAMERICA RETIREMENT SERVICES LLC 46-5378846	ONEAMERICA HAS AN AGREEMENT WITH MATRIX TRUST COMPANY TO PAY $2000 ANNUAL TRUST AND CUSTODY FEE PLUS 1 BASIS POINT ON MARKET VALUE ANNUALIZED MONTHLY

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

a Name:

b EIN:

c Position:

d Address:

e Telephone:

Explanation:

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2025

This Form is Open to Public Inspection

For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025

A Name of plan
FIRST TRUST PORTFOLIOS L.P. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
FIRST TRUST PORTFOLIOS L.P.

D Employer Identification Number (EIN)
36-3768815

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	10644	55604
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	426097	0
(2) Participant contributions	1b(2)	241013	0
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	22468931	21903157
(2) U.S. Government securities	1c(2)	238514	19904
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	215711	210107
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	13153421	18859211
(5) Partnership/joint venture interests	1c(5)	0	11287
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	1834164	2095023
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	321456713	372413868
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	257495	266950

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
1e Buildings and other property used in plan operation	1e		
1f Total assets (add all amounts in lines 1a through 1e)	1f	360302703	415835111
Liabilities			
1g Benefit claims payable	1g		
1h Operating payables	1h		
1i Acquisition indebtedness	1i		
1j Other liabilities	1j		
1k Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
Net Assets			
1l Net assets (subtract line 1k from line 1f)	1l	360302703	415835111

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	3909128	
(B) Participants	2a(1)(B)	21777927	
(C) Others (including rollovers)	2a(1)(C)	1263950	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		26951005
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	25092	
(B) U.S. Government securities	2b(1)(B)	150	
(C) Corporate debt instruments	2b(1)(C)	487	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	186185	
(F) Other	2b(1)(F)	1701	
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		213615
(2) Dividends: **(A)** Preferred stock	2b(2)(A)	64	
(B) Common stock	2b(2)(B)	112575	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	16925177	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		17037816
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	222733807	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	220387960	
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		2345847
(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	1062819	
(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		1062819

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		31660704
c Other income	2c		205880
d Total income. Add all **income** amounts in column (b) and enter total	2d		79477686
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	23822783	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		23822783
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses:			
(1) Salaries and allowances	2i(1)		
(2) Contract administrator fees	2i(2)	43661	
(3) Recordkeeping fees	2i(3)	46519	
(4) IQPA audit fees	2i(4)		
(5) Investment advisory and investment management fees	2i(5)	31106	
(6) Bank or trust company trustee/custodial fees	2i(6)		
(7) Actuarial fees	2i(7)		
(8) Legal fees	2i(8)		
(9) Valuation/appraisal fees	2i(9)		
(10) Other trustee fees and expenses	2i(10)		
(11) Other expenses	2i(11)	1209	
(12) Total administrative expenses. Add lines **2i(1)** through **(11)**	2i(12)		122495
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		23945278
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		55532408
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [X] Unmodified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

b Check the appropriate box(es) to indicate whether the IQPA performed an ERISA section 103(a)(3)(C) audit. Check both boxes (1) and (2) if the audit was performed pursuant to both 29 CFR 2520.103-8 and 29 CFR 2520.103-12(d). Check box (3) if pursuant to neither.

(1) [X] DOL Regulation 2520.103-8 **(2)** [ ] DOL Regulation 2520.103-12(d) **(3)** [ ] neither DOL Regulation 2520.103-8 nor DOL Regulation 2520.103-12(d).

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: DELOITTE & TOUCHE LLP **(2)** EIN: 13-3891517

d The opinion of an independent qualified public accountant is **not attached** as part of Schedule H because:

(1) [ ] This form is filed for a CCT, PSA, DCG or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l. DCGs do not complete lines 4e, 4f, 4k, 4l, and 5, and DCGs generally complete the rest of Part IV collectively for all plans in the DCG, except as otherwise provided (see instructions).

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	**4a**		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	**4b**		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	**4c**		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	**4d**		X	
e Was this plan covered by a fidelity bond?	**4e**	X		5000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	**4f**		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4g**		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	**4h**		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	**4i**	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked and see instructions for format requirements.)	**4j**		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	**4k**		X	
l Has the plan failed to provide any benefit when due under the plan?	**4l**		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	**4m**		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	**4n**			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? [ ] Yes [X] No

If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c Was the plan a defined benefit plan covered under the PBGC insurance program at any time during this plan year? (See ERISA section 4021 and instructions.) ..... ☐ Yes ☐ No ☐ Not determined

If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year _____.

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2025

This Form is Open to Public Inspection.

For calendar plan year 2025 or fiscal plan year beginning 01/01/2025 and ending 12/31/2025

A Name of plan
FIRST TRUST PORTFOLIOS L.P. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
FIRST TRUST PORTFOLIOS L.P.

D Employer Identification Number (EIN)
36-3768815

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 75-3182674 71-0294708

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that (1) contributed more than 5% of total contributions to the plan during the plan year or (2) was one of the top-ten highest contributors (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of deferred vested and retired participants (inactive participants), as of the beginning of the plan year, whose contributing employer is no longer making contributions to the plan for:

a The current plan year. Check the box to indicate the counting method used to determine the number of inactive participants: ☐ last contributing employer ☐ alternative ☐ reasonable approximation (see instructions for required attachment)	**14a**	
b The plan year immediately preceding the current plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14b**	
c The second preceding plan year. ☐ Check the box if the number reported is a change from what was previously reported (see instructions for required attachment)	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) and (b):

a Enter the percentage of plan assets held as:
Public Equity: _____% Private Equity: _____% Investment-Grade Debt and Interest Rate Hedging Assets: _____%
High-Yield Debt: _____% Real Assets: _____% Cash or Cash Equivalents: _____% Other: _____%

b Provide the average duration of the Investment-Grade Debt and Interest Rate Hedging Assets:
☐ 0-5 years ☐ 5-10 years ☐ 10-15 years ☐ 15 years or more

20 **PBGC missed contribution reporting requirements.** If this is a multiemployer plan or a single-employer plan that is not covered by PBGC, skip line 20.

a Is the amount of unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 greater than zero? ☐ Yes ☐ No

b If line 20a is "Yes," has PBGC been notified as required by ERISA sections 4043(c)(5) and/or 303(k)(4)? Check the applicable box:

☐ Yes.

☐ No. Reporting was waived under 29 CFR 4043.25(c)(2) because contributions equal to or exceeding the unpaid minimum required contribution were made by the 30th day after the due date.

☐ No. The 30-day period referenced in 29 CFR 4043.25(c)(2) has not yet ended, and the sponsor intends to make a contribution equal to or exceeding the unpaid minimum required contribution by the 30th day after the due date.

☐ No. Other. Provide explanation._____

Part VII IRS Compliance Questions

21a Does the plan satisfy the coverage and nondiscrimination tests of Code sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules? ☐ Yes ☒ No

21b If this is a Code section 401(k) plan, check all boxes that apply to indicate how the plan is intended to satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under Code sections 401(k)(3) and 401(m)(2).

☒ Design-based safe harbor method

☐ "Prior year" ADP test

☐ "Current year" ADP test

☐ N/A

22 If the plan sponsor is an adopter of a pre-approved plan that received a favorable IRS Opinion Letter, enter the date of the Opinion Letter 08 / 19 / 2020 (MM/DD/YYYY) and the Opinion Letter serial number Q704137A .

Deloitte.

Deloitte & Touche LLP
111 South Wacker Drive
Chicago, IL 60606-4301
USA

Tel:+1 312 486 1000
Fax:+1 312 486 1486
www.deloitte.com

INDEPENDENT AUDITOR'S REPORT

First Trust Portfolios L.P. 401(k) Plan:

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed audits of the financial statements of First Trust Portfolios L.P. 401(k) Plan(the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits as of December 31, 2025 and 2024, and the related statement of changes in net assets available for benefits for the year ended December 31, 2025, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained certifications from qualified institutions as of December 31, 2025 and 2024, and for the year ended December 31, 2025, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audits and on the procedures performed as described in the Auditor's Responsibilities for the Audit of the Financial Statements section

- The amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

- The information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we exercise professional judgment and maintain professional skepticism throughout the audit.

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such

procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.

- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.

- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.

- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audits did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matter — Supplemental Schedule Required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2025 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

- The information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Deloitte + Touche LLP

October 14, 2026



First Trust Portfolios L.P.

401(k) Plan

Financial Statements as of December 31, 2025 and 2024, and for the Year Ended December 31, 2025, Supplemental Schedule as of December 31, 2025, and Independent Auditor's Report

EIN: 36-3768815, Plan No.: 001

FIRST TRUST PORTFOLIOS L.P. 401(k) PLAN

TABLE OF CONTENTS

	Page
INDEPENDENT AUDITOR'S REPORT	1-4
FINANCIAL STATEMENTS	
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS AS OF DECEMBER 31, 2025 AND 2024	5
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS FOR THE YEAR ENDED DECEMBER 31, 2025	6
NOTES TO FINANCIAL STATEMENTS AS OF DECEMBER 31, 2025 AND 2024, AND FOR THE YEAR ENDED DECEMBER 31, 2025	7-12
SUPPLEMENTAL SCHEDULE	
FORM 5500, SCHEDULE H, PART IV, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31, 2025	14

NOTE: All other schedules required by section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.

Deloitte.

Deloitte & Touche LLP
111 South Wacker Drive
Chicago, IL 60606-4301
USA

Tel:+1 312 486 1000
Fax:+1 312 486 1486
www.deloitte.com

INDEPENDENT AUDITOR'S REPORT

First Trust Portfolios L.P. 401(k) Plan:

Scope and Nature of the ERISA Section 103(a)(3)(C) Audit

We have performed audits of the financial statements of First Trust Portfolios L.P. 401(k) Plan(the "Plan"), an employee benefit plan subject to the Employee Retirement Income Security Act of 1974 (ERISA), as permitted by ERISA Section 103(a)(3)(C) (ERISA Section 103(a)(3)(C) audit). The financial statements comprise the statements of net assets available for benefits as of December 31, 2025 and 2024, and the related statement of changes in net assets available for benefits for the year ended December 31, 2025, and the related notes to the financial statements.

Management, having determined it is permissible in the circumstances, has elected to have the audits of the Plan's financial statements performed in accordance with ERISA Section 103(a)(3)(C) pursuant to 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. As permitted by ERISA Section 103(a)(3)(C), our audits need not extend to any statements or information related to assets held for investment of the Plan (investment information) by a bank or similar institution or insurance carrier that is regulated, supervised, and subject to periodic examination by a state or federal agency, provided that the statements or information regarding assets so held are prepared and certified to by the bank or similar institution or insurance carrier in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA (qualified institution).

Management has obtained certifications from qualified institutions as of December 31, 2025 and 2024, and for the year ended December 31, 2025, stating that the certified investment information, as described in Note 3 to the financial statements, is complete and accurate.

Opinion

In our opinion, based on our audits and on the procedures performed as described in the Auditor's Responsibilities for the Audit of the Financial Statements section

- The amounts and disclosures in the accompanying financial statements, other than those agreed to or derived from the certified investment information, are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

- The information in the accompanying financial statements related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Basis for Opinion

We conducted our audits in accordance with auditing standards generally accepted in the United States of America (GAAS). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of the Plan and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audits. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our ERISA Section 103(a)(3)(C) audit opinion.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error. Management's election of the ERISA Section 103(a)(3)(C) audit does not affect management's responsibility for the financial statements.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Management is also responsible for maintaining a current plan instrument, including all plan amendments, administering the Plan, and determining that the Plan's transactions that are presented and disclosed in the financial statements are in conformity with the Plan's provisions, including maintaining sufficient records with respect to each of the participants, to determine the benefits due or which may become due to such participants.

Auditor's Responsibilities for the Audit of the Financial Statements

Except as described in the Scope and Nature of the ERISA Section 103(a)(3)(C) Audit section of our report, our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we exercise professional judgment and maintain professional skepticism throughout the audit.

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such

procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.

- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, no such opinion is expressed.

- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.

- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the Plan's ability to continue as a going concern for a reasonable period of time.

Our audits did not extend to the certified investment information, except for obtaining and reading the certification, comparing the certified investment information with the related information presented and disclosed in the financial statements, and reading the disclosures relating to the certified investment information to assess whether they are in accordance with the presentation and disclosure requirements of accounting principles generally accepted in the United States of America.

Accordingly, the objective of an ERISA Section 103(a)(3)(C) audit is not to express an opinion about whether the financial statements as a whole are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Other Matter — Supplemental Schedule Required by ERISA

The supplemental schedule of assets (held at end of year) as of December 31, 2025 is presented for purposes of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor’s Rules and Regulations for Reporting and Disclosure under ERISA. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information included in the supplemental schedule, other than that agreed to or derived from the certified investment information, has been subjected to auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with GAAS. For information included in the supplemental schedule that agreed to or is derived from the certified investment information, we compared such information to the related certified investment information.

In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, other than the information agreed to or derived from the certified investment information, including its form and content, is presented in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

In our opinion:

- The form and content of the supplemental schedule, other than the information in the supplemental schedule that agreed to or is derived from the certified investment information, is presented, in all material respects, in conformity with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

- The information in the supplemental schedule related to assets held by and certified to by a qualified institution agrees to, or is derived from, in all material respects, the information prepared and certified by an institution that management determined meets the requirements of ERISA Section 103(a)(3)(C).

Deloitte + Touche LLP

October 14, 2026

FIRST TRUST PORTFOLIOS L.P. 401(k) PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
AS OF DECEMBER 31, 2025 AND 2024

ASSETS	2025	2024
Cash	$ 1,601	$ 10,644
Participant-directed investments, at fair value	413,738,487	357,790,785
Notes receivable from participants	2,095,023	1,834,164
Participant contributions receivable	-	241,013
Employer contributions receivable	-	426,097
TOTAL ASSETS	415,835,111	360,302,703
NET ASSETS AVAILABLE FOR BENEFITS	$415,835,111	$360,302,703

SEE NOTES TO THE FINANCIAL STATEMENTS

FIRST TRUST PORTFOLIOS L.P. 401(k) PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEAR ENDED DECEMBER 31, 2025

ADDITIONS	
Contributions:	
Employer contributions	$ 3,909,128
Participant contributions	21,777,927
Rollover contributions	1,263,950
Total contributions	26,951,005
Investment income:	
Net appreciation in fair value of investments	35,262,936
Dividends and interest	17,065,246
Net investment income	52,328,182
Interest from notes receivable from participants	186,185
DEDUCTIONS	
Benefits paid to participants	23,810,469
Administrative expenses	122,495
Total deductions	23,932,964
INCREASE IN NET ASSETS	55,532,408
NET ASSETS AVAILABLE FOR BENEFITS	
Beginning of year	360,302,703
End of year	$415,835,111

SEE NOTES TO THE FINANCIAL STATEMENTS

FIRST TRUST PORTFOLIOS L.P. 401(k) PLAN

NOTES TO THE FINANCIAL STATEMENTS AS OF DECEMBER 31, 2025 AND 2024, AND FOR THE YEAR ENDED DECEMBER 31, 2025

1. DESCRIPTION OF THE PLAN

The following description of the First Trust Portfolios L.P. 401(k) Plan ("the "Plan") is provided for general information purposes only. Participants should refer to the Plan document for more complete information.

General – The Plan is a defined contribution plan, amended and restated effective January 1, 2015 to comply with regulatory requirements, covering substantially all salaried and non-salaried employees of First Trust Portfolios L.P. (the "Partnership"), the sponsor of the Plan. Matrix Trust Company ("Matrix") serves as trustee and custodian of the Plan (the "Trustee"). Effective December 8, 2025, Voya Institutional Trust Company ("VITC") was engaged by Matrix to serve as sub-custodian of the Plan's core investments (the "Sub-custodian"). OneAmerica Retirement Services LLC provided recordkeeping and administrative services through December 7, 2025. Effective December 8, 2025, Voya Retirement Insurance & Annuity Company began providing recordkeeping and administrative services. The Plan, established on March 16, 1992, is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Eligibility of Participants – Employees of the Partnership become eligible for participation in the Plan on the date of hire. The effective date of participation is the first day of the month following the hire date. If the first day of employment is the first day of the month, benefits are effective immediately. In addition, once eligibility requirements have been met, employees may enter the Plan monthly, on the first day of each month.

The Plan provides automatic enrollment with a 6% deferral for eligible participants. Employees may choose to opt out of the automatic enrollment with proper notice. The Plan also implements an annual 1% auto-escalation of contributions up to 15%.

Contributions – The Plan is funded by participants' pre-tax voluntary contributions and may also be funded by Partnership contributions. Each year, participants may contribute up to 100% of their pre-tax annual compensation, as defined in the Plan document, subject to certain Internal Revenue Code ("IRC") limitations. The Plan excludes all fringe benefits, expense reimbursements, deferred compensation and welfare benefits from the definition of plan compensation for purposes of matching contributions. During the year ended December 31, 2025, the Partnership made matching contributions equal to 25% of a participant's contributions, up to 6% of a participant's eligible compensation. Participants need to be employed on the last day of each quarter to receive that quarter's match. The Plan also permits catch up contributions and rollovers.

Participant Accounts – Individual accounts are maintained for each Plan participant. Each participant's account is credited with the participant's contribution, the Partnership's matching contribution, and the participant's investment earnings, and charged with withdrawals, activity fees, and the participant's investment losses. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Investments – Participants direct the investment of their contributions into various investment options offered by the Plan and may change investments and transfer amounts between funds daily. Participants may direct their investments through a trustee sponsored brokerage account, which offers the option to invest in a variety of individual stocks, exchange traded funds, limited partnerships, mutual funds, collective trusts and money market funds.

Vesting – Participants are 100% vested at all times in the portion of their account attributable to their contributions and the earnings thereon. Partnership contributions and earnings thereon vest at a rate of 20% per year over a period of five years.

Notes Receivable from Participants – Participants may borrow from their Plan accounts up to a maximum of $50,000 or 50% of their account balance, whichever is less. The maximum loan term is 5 years or up to 15 years for the purchase of a primary residence. The loans are secured by the vested balance in the participant's account and bear interest at a rate determined by the Plan. Interest rates are based on the prime rate plus 2% at the time the loan is made. Principal and interest are paid ratably through payroll deductions.

Distributions and Withdrawals – Participation in the Plan terminates upon retirement, death, or disability; such former participant or designated beneficiary will receive a lump-sum distribution. Upon termination of employment with the Partnership, for other than retirement, death, or disability, participants with vested account balances less than $1,000 will receive a lump-sum distribution, while the participants with vested account balances of $5,000 or more may elect to receive a lump-sum distribution or may elect to defer payment until reaching the age of 65. For participants with vested account balances between $1,000 and $5,000, the Plan document provides that this amount, if not otherwise directed by the employee, will be rolled over into an IRA. Participants of the Plan actively employed by the Partnership upon attainment of the normal retirement age of 65 may elect to defer distributions until the earlier of an actual retirement date or age 73.

Participants may withdraw a portion of their vested account balance upon demonstrating a "financial hardship" as defined in the Plan document.

Forfeited Accounts – Amounts related to forfeited nonvested accounts are used to reduce Partnership contributions. For the year ended December 31, 2025, Partnership contributions were reduced by $49,506 from forfeited nonvested accounts. There were forfeitures outstanding of $0 and $5,295 as of December 31, 2025 and 2024, respectively.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting – The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America ("GAAP").

Use of Estimates – The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Risks and Uncertainties – The Plan utilizes various investment instruments. Investment securities, in general, are exposed to various risks, such as interest rate, credit, and overall market volatility. Market risks include global events which could impact the value of investment securities, such as a pandemic or international conflict. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the value of the participants' account balances and the amounts reported in the financial statements.

Investment Valuation and Income Recognition – The Plan's investments are stated at fair value. Fair value of a financial instrument is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Mutual funds are valued at the daily closing price as reported by the fund. Mutual funds held by the plan are open-ended mutual funds that are registered with the Securities Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded. The money market fund is represented by the net asset value ("NAV") of their shares. The limited partnerships and warrants are publicly listed and are valued at quoted market prices at year end. Common stocks, bonds,

certificates of deposit, and option contracts are valued at the closing price reported on the active market on which the individual investment vehicles are traded. Exchange Traded Funds are valued based on at the daily closing price as reported by the fund.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation/(depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

The Plan has a revenue-sharing agreement, whereby certain investment managers return a portion of the investment fees to the Plan. These fees or revenue sharing amounts are allocated to individual participant accounts based on their respective shares of each investment. For the current year, $184,945 was allocated from the revenue-sharing agreement and is included in Net appreciation in the fair value of investments on the Statement of Changes in Net Assets Available for Benefits. The Plan did not have undistributed revenues as of December 31, 2025 and December 31, 2024.

Notes Receivable from Participants – Notes receivables from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent notes receivable from participants are recorded as distributions based on the terms of the Plan document. Notes receivable from participants as of December 31, 2025 carry interest rates of 5.25% to 10.50% and will mature in 2026 through 2040.

Contributions – Contributions from participants are recorded when withheld from payroll. Employer matching contributions are recorded on a quarterly basis.

Administrative Expenses – Expenses recorded in the Statement of Changes in Net Assets Available for Benefits include loan activity charges and activity charges for other withdrawals. All other administrative expenses of the Plan are paid by the Partnership. Fees paid by the Plan for mutual fund and collective trust investment management services are included as a reduction of return for such investments.

Payment of Benefits – Benefit payments to participants are recorded upon distribution. There were no amounts allocated to accounts of persons who have elected to withdraw from the Plan but have not yet been paid at December 31, 2025 and 2024.

3. FAIR VALUE MEASUREMENTS

Accounting Standards Codification ("ASC") 820, Fair Value Measurement, established a single authoritative definition of fair value, set a framework for measuring fair value, and requires additional disclosures about fair value measurements. In accordance with ASC 820, the Plan classifies its investments into Level 1, which refers to securities valued using quoted prices from active markets for identical assets; Level 2, which refers to securities not traded on an active market but for which observable market inputs are readily available; and Level 3, which refers to securities valued based on significant unobservable inputs. Assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair value measurement. For the year ended December 31, 2025, there were no transfers in or out of Levels 1, 2, or 3.

The Plan considers prices and inputs that are current as of the measurement date, including during periods of market dislocation. In periods of market dislocation, the observability of prices and inputs may be reduced for many instruments.

In certain cases, the inputs used to measure fair value may fall into different levels of the fair value hierarchy. In such cases, the total fair value amount is disclosed in the level appropriate for the lowest level input that is significant to the total fair value of the asset or liability.

The following tables set forth by level within the fair value hierarchy provide a summary of the Plan investments at December 31, 2025 and 2024, both of which are measured at fair value on a recurring basis.

	Participant-Directed Investments			
	Fair Value Measurements at December 31, 2025			
	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Total
Plan Directed Accounts:				
Mutual Funds	$338,217,087	$ -	$ -	$338,217,087
Money Market Funds	17,891,360	-	-	17,891,360
Individually Directed Accounts:				
Common Stocks	18,859,211	-	-	18,859,211
Exchange Traded Funds	32,328,090	-	-	32,328,090
Mutual Funds	1,868,692	-	-	1,868,692
Money Market Funds	4,021,693	-	-	4,021,693
Corporate Bonds	210,107	-	-	210,107
Government Zero-Coupon Bonds	19,904	-	-	19,904
Certificates of Deposit	44,107	-	-	44,107
Option Contracts	265,830	-	-	265,830
Limited Partnership Interests	11,287	-	-	11,287
Warrant Contracts	1,119	-	-	1,119
Total	$413,738,487	$ -	$ -	$413,738,487

	Participant-Directed Investments			
	Fair Value Measurements at December 31, 2024			
	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	Total
Plan Directed Accounts:				
Mutual Funds	$292,635,878	$ -	$ -	$292,635,878
Money Market Funds	18,671,166	-	-	18,671,166
Individually Directed Accounts:				
Common Stocks	13,153,421	-	-	13,153,421
Exchange Traded Funds	27,074,969	-	-	27,074,969
Mutual Funds	1,745,866	-	-	1,745,866
Money Market Funds	3,772,967	-	-	3,772,967
Corporate Bonds	215,711	-	-	215,711
Treasury-Agency Bonds	3,017	-	-	3,017
Government Zero-Coupon Bonds	235,497	-	-	235,497
Certificates of Deposit	24,798	-	-	24,798
Option Contracts	257,495	-	-	257,495
Total	$357,790,785	$ -	$ -	$357,790,785

The following is a summary of the certified investment information regarding the Plan as of December 31, 2025 and 2024, and for the year ended December 31, 2025, included in the Plan's financial statements, including Note 3 (except for investment levels), and the supplemental schedule. The information was prepared by, or derived from information prepared by, the Plan's Trustee and Sub-custodian. The plan administrator has obtained certifications from Matrix and VITC (as applicable) that the information is complete and accurate.

Matrix certified the individually directed accounts, including their activity, as of December 31, 2025, and for the year ended December 31, 2025. Matrix also certified the activity of the remaining investments and notes receivable from participants through December 7, 2025. VITC certified the remaining investments and notes receivable as of December 31, 2025, and related activity from December 8, 2025 through December 31, 2025. Matrix certified all investments and notes receivable from participants as of December 31, 2024.

Statements of Net Assets Available for Benefits	**2025**	**2024**
Mutual funds	$ 338,217,087	$ 292,635,878
Money market funds	17,891,360	18,671,166
Individually directed accounts	57,630,040	46,483,741
Notes receivable from participants	2,095,023	1,834,164

Statement of Changes in Net Assets Available for Benefits

	2025
Dividends and interest	$ 17,065,246
Net appreciation in fair value of investments	35,262,936
Net investment income	$ 52,328,182
Interest from notes receivable from participants	186,185

Supplemental schedule: All investment balances and information are included in the supplemental schedule of assets (held at end of year). Investments that represent a significant concentration of the Plan's net assets available for benefits as of December 31, 2025 are marked on the supplemental schedule.

4. RELATED PARTY TRANSACTIONS AND EXEMPT PARTY-IN-INTEREST TRANSACTIONS

Certain Plan investments are shares of one mutual fund managed by the Partnership and, therefore, these transactions qualify as party-in-interest transactions. Fees paid by the Plan for the investment management services were included as a reduction of the return earned on the collective trusts and mutual fund. Certain investment managers affiliated with the Plan return a portion of the investment fees to the plan under a revenue sharing agreement. The Plan issues loans to participants, which are secured by the vested balances in the participants' accounts.

5. PLAN TERMINATION

Although it has not expressed any intention to do so, the Partnership has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan is terminated, any unallocated amounts will be allocated to participant accounts in accordance with the Plan document; all amounts in participant accounts will then become fully vested, and participants will be entitled to a distribution equal to their entire account balance.

6. FEDERAL INCOME TAX STATUS

The plan uses a prototype plan document sponsored by Bank of Montreal (“BMO”). BMO received an opinion letter from the Internal Revenue Service (“IRS”), dated August 19, 2020, which states that the prototype document satisfies the applicable provisions of the IRC. The Plan itself has not received a determination letter from the IRS. However, the Plan’s management believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC. Therefore, no provision for income taxes has been included in the Plan’s financial statements.

GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan administrator has analyzed the tax positions taken by the Plan and has concluded that, as of December 31, 2025, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2022.

7. SUBSEQUENT EVENTS

Management has evaluated events subsequent to December 31, 2025, to assess the need for potential recognition or disclosure in the financial statements. Such events were evaluated through October 14, 2026, the date these financial statements were available to be issued. Based upon this evaluation, it was determined that no subsequent events occurred that require recognition or disclosure in the financial statements.

* * * * * *

SUPPLEMENTAL SCHEDULE

FIRST TRUST PORTFOLIOS L.P. 401(k) PLAN

FORM 5500, SCHEDULE H, PART IV, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
EIN 36-3768815

Plan #001 Identity of Issuer, Borrower, Lessor, or similar party	Description of Investment, including Maturity Date, Rate of Interest, Collateral, and Par or Maturity Value	Cost	Current Value	
VANGUARD SMALL CAP VALUE INDEX ADMIRAL	MUTUAL FUND	**	$8,646,496	
DODGE & COX STOCK FUND X	MUTUAL FUND	**	29,337,594	***
FEDERATED HERMES US TREAS CASH RES PREM	MONEY MARKET FUND	**	17,891,360	
FIDELITY ADVISOR EQUITY GROWTH-CLASS I	MUTUAL FUND	**	7,933,168	
FIRST TRUST SHORT DUR-I*	MUTUAL FUND	**	2,746,091	
MFS INTERNATIONAL GROWTH FUND R3	MUTUAL FUND	**	13,142,493	
PIMCO TOTAL RETURN A	MUTUAL FUND	**	10,928,056	
T. ROWE PRICE GROWTH STOCK	MUTUAL FUND	**	38,066,853	***
T. ROWE PRICE MID-CAP GROWTH	MUTUAL FUND	**	17,772,009	
VANGUARD BALANCED INDEX I	MUTUAL FUND	**	27,838,443	***
VANGUARD DEVELOPED MARKETS INDEX INSTL	MUTUAL FUND	**	15,326,000	
VANGUARD HIGH-YIELD CORPORATE ADM	MUTUAL FUND	**	3,741,524	
VANGUARD INSTITUTIONAL INDEX I	MUTUAL FUND	**	80,694,714	***
VANGUARD MID-CAP VALUE INDEX ADMIRAL	MUTUAL FUND	**	10,560,489	
NEUBERGER MID CAP GROWTH FUND R6	MUTUAL FUND	**	814,507	
VANGUARD MID CAP INDEX INSTITUTIONAL	MUTUAL FUND	**	10,867,091	
VANGUARD SHORT-TERM FEDERAL ADM	MUTUAL FUND	**	3,856,266	
VANGUARD SMALL CAP GROWTH INDEX I	MUTUAL FUND	**	7,369,088	
VANGUARD SMALL CAP INDEX I	MUTUAL FUND	**	13,720,685	
VANGUARD TARGET RETIREMENT 2020 INV	MUTUAL FUND	**	214,924	
VANGUARD TARGET RETIREMENT 2030 INV	MUTUAL FUND	**	6,195,563	
VANGUARD TARGET RETIREMENT 2040 INV	MUTUAL FUND	**	8,035,131	
VANGUARD TARGET RETIREMENT 2050 INV	MUTUAL FUND	**	6,753,272	
VANGUARD TARGET RETIREMENT 2060 INV	MUTUAL FUND	**	4,397,462	
VANGUARD TARGET RETIREMENT 2070 FUND	MUTUAL FUND	**	1,150,299	
VANGUARD TARGET RETIREMENT INCOME INV	MUTUAL FUND	**	570,906	
VANGUARD EMERGING MARKETS STOCK INDEX FUND INSTL 239	MUTUAL FUND	**	7,537,963	
NOTES TO VARIOUS PARTICIPANTS*	INTEREST RATE RANGE 5.25% TO 10.50%, MATURITY DATE RANGE FEBRUARY 28, 2026 TO JUNE 15, 2040	**	2,095,023	
INDIVIDUALLY DIRECTED ACCOUNTS	IDA ACCOUNTS	**	57,630,040	***
	Total		$415,833,510	

* Represents parties in interest to the Plan.
** Cost information is not required for participant-directed investments and, therefore, is not included.
*** Represents 5% or more of the Plan's net assets available for benefits of the respective year-end.
See accompanying Independent Auditor's Report

Attachment to 2025 Form 5500

Schedule H, line 4i - Schedule of Assets
(Held at End of Year)
First Trust Portfolios L.P. 401(k) Plan
EIN#36-3768815
Plan# 001
As of December 31, 2025

(a)	(b) Identity of issue, borrower,lessor or similar party	(c) Description of investments	(d)Cost	(e) Current Value
	Archer Aviation Inc @12 1/15/27	CALL OPTIONS	**	$23,625.00
	Bigbear.Ai Holdings Inc @10 1/15/27	CALL OPTIONS	**	$11,600.00
	Bigbear.Ai Holdings Inc @10 1/16/26	CALL OPTIONS	**	$39.75
	Bitfarms Ltd @7 1/15/27	CALL OPTIONS	**	$1,822.00
	Bitfarms Ltd @7 6/18/26	CALL OPTIONS	**	$874.00
	Blackberry Ltd @10 1/16/26	CALL OPTIONS	**	$4.50
	Blackberry Ltd @7 1/16/26	CALL OPTIONS	**	$121.50
	Broadcom Ltd @360 1/02/26	CALL OPTIONS	**	($1,368.00)
	Cleanspark Inc @25 1/15/27	CALL OPTIONS	**	$3,743.25
	FTAC Olympus Acq Corp A @12 1/16/26	CALL OPTIONS	**	$0.25
	Joby Aviation Inc @20 1/15/27	CALL OPTIONS	**	$6,804.00
	Netflix Inc @90 12/18/26	CALL OPTIONS	**	$3,463.92
	Palantir Technologies Inc A @150 9/18/26	CALL OPTIONS	**	$5,312.99
	Paypal Holdings Inc @82.5 1/16/26	CALL OPTIONS	**	$50.00
	Robinhood Markets Inc - A @100 12/18/26	CALL OPTIONS	**	$3,643.77
	SoFi Technologies Inc @25 1/15/27	CALL OPTIONS	**	$110,832.00
	SoFi Technologies Inc @30 6/18/26	CALL OPTIONS	**	$11,683.35
	SoFi Technologies Inc @35 6/18/26	CALL OPTIONS	**	$52,242.50
	Soundhound AI Inc-A @12 1/16/26	CALL OPTIONS	**	$230.00
	Soundhound AI Inc-A @15 1/15/27	CALL OPTIONS	**	$16,270.80
	Soundhound AI Inc-A @15 1/16/26	CALL OPTIONS	**	$123.20
	Soundhound AI Inc-A @20 1/15/27	CALL OPTIONS	**	$12,403.50
	Soundhound AI Inc-A @20 4/17/26	CALL OPTIONS	**	$1,450.50
	Soundhound AI Inc-A @30 1/16/26	CALL OPTIONS	**	$1.25
	SPDR S&P Metals & Mining @120 6/18/26	CALL OPTIONS	**	($2,685.50)
	Webull Corp @15 7/17/26	CALL OPTIONS	**	$3,544.80
	Schwab Cash Account	CASH AND EQUIVALENTS	**	$3,511,468.13
	Goldman Sachs Bank USA	CERTIFICATES OF DEPOSIT	**	$16,482.00
	JPMorgan Chase Financial	CERTIFICATES OF DEPOSIT	**	$27,625.80
	Bank Of Montreal	CORPORATE BOND	**	$18,319.50
	Boeing Co	CORPORATE BOND	**	$2,949.47
	BofA Finance LLC	CORPORATE BOND	**	$14,102.00
	Goldman Sachs Group Inc	CORPORATE BOND	**	$2,989.55
	Morgan Stanley Fin LLC	CORPORATE BOND	**	$13,346.00
	Royal Bank Of Canada	CORPORATE BOND	**	$153,108.00
	Southern Co	CORPORATE BOND	**	$5,295.36
	abrdn Gold ETF Trust	EXCHANGE TRADED FUNDS	**	$27,966.12
	abrdn Palladium ETF Trust	EXCHANGE TRADED FUNDS	**	$14,705.00
	abrdn Silver ETF Trust	EXCHANGE TRADED FUNDS	**	$30,118.40
	Adams Diversified Equity Fund	EXCHANGE TRADED FUNDS	**	$29,744.76
	Advisorshares Pure US Cann	EXCHANGE TRADED FUNDS	**	$253,800.00

Alps Smth Cor Bd Plus ETF	EXCHANGE TRADED FUNDS	**	$53,071.17
Amplify Bitcoin Max Inc ETF	EXCHANGE TRADED FUNDS	**	$7,237.60
Amplify Cwp Enhanced Dividend	EXCHANGE TRADED FUNDS	**	$4,707.15
Amplify CWP International Enhanced Dividend Income ETF	EXCHANGE TRADED FUNDS	**	$98,454.85
Ark Innovation ETF	EXCHANGE TRADED FUNDS	**	$22,037.21
Ark Israel Innovative Techno	EXCHANGE TRADED FUNDS	**	$2,996.00
Avantis US Small Cap Value	EXCHANGE TRADED FUNDS	**	$26,731.39
Bitwise Bitcoin ETF	EXCHANGE TRADED FUNDS	**	$287,668.71
Bitwise Ethereum ETF	EXCHANGE TRADED FUNDS	**	$211,890.53
Bitwise Solana Staking ETF	EXCHANGE TRADED FUNDS	**	$9,822.00
Calamos Autocallable Inc ETF	EXCHANGE TRADED FUNDS	**	$27,894.93
Calamos Nasdaq Autocall ETF	EXCHANGE TRADED FUNDS	**	$3,224.48
Capital Group Dividend Value	EXCHANGE TRADED FUNDS	**	$2,338.51
Capital Group Growth	EXCHANGE TRADED FUNDS	**	$3,360.00
Cohen & Steers Infrastructure Fund	EXCHANGE TRADED FUNDS	**	$5,131.52
Coinshares Bitcoin ETF	EXCHANGE TRADED FUNDS	**	$19,840.00
Defiance Quantum Etf	EXCHANGE TRADED FUNDS	**	$26,681.11
Direxion Daily Energy Bull 2X ETF	EXCHANGE TRADED FUNDS	**	$565.30
Direxion Daily Small Cap Bull 3X ETF	EXCHANGE TRADED FUNDS	**	$2,330.50
Dirx Nasdaq-100Eq Wi Etf-Usd	EXCHANGE TRADED FUNDS	**	$18,791.50
Elevation Series Trust - Polen Dividend Income ETF	EXCHANGE TRADED FUNDS	**	$25,762.11
ETFMG Prime Junior Silver Miners ETF	EXCHANGE TRADED FUNDS	**	$54,966.02
Fed Hermes US Strat Div ETF	EXCHANGE TRADED FUNDS	**	$24,590.50
Fidelity Total Bond ETF	EXCHANGE TRADED FUNDS	**	$5,991.51
Fidelity Wise Origin Bitcoin	EXCHANGE TRADED FUNDS	**	$42,638.35
First Tr Act Glbl Qlty Inc	EXCHANGE TRADED FUNDS	**	$582.70
First Trst Dyn Devel Int ETF	EXCHANGE TRADED FUNDS	**	$1,484.77
First Trst Nasd Cl Edg Sgiif	EXCHANGE TRADED FUNDS	**	$520,655.09
First Trust Smith Unconstrained Bond ETF	EXCHANGE TRADED FUNDS	**	$88,706.66
First Trust Active Factor La	EXCHANGE TRADED FUNDS	**	$98,595.50
First Trust Active Factor Mi	EXCHANGE TRADED FUNDS	**	$134,843.89
First Trust Active Factor Sm	EXCHANGE TRADED FUNDS	**	$131,263.12
First Trust Amex Bio Index	EXCHANGE TRADED FUNDS	**	$273,974.60
First Trust Balanced Inc ETF	EXCHANGE TRADED FUNDS	**	$290,574.65
First Trust Capital Strength ETF	EXCHANGE TRADED FUNDS	**	$520,029.46
First Trust Cloud Computing ETF	EXCHANGE TRADED FUNDS	**	$76,188.80
First Trust Consumer Staples	EXCHANGE TRADED FUNDS	**	$23,992.65
First Trust Develp Mkt Ex-US	EXCHANGE TRADED FUNDS	**	$53,911.34
First Trust DJ Internet Ind	EXCHANGE TRADED FUNDS	**	$453,834.71
First Trust Dorsey Wright Dy	EXCHANGE TRADED FUNDS	**	$100,018.72
First Trust Dorsey Wright Fo	EXCHANGE TRADED FUNDS	**	$121,736.20
First Trust Dow Jones Intl	EXCHANGE TRADED FUNDS	**	$44,675.82
First Trust Dw Focus 5 Intl	EXCHANGE TRADED FUNDS	**	$6,768.20
First Trust Emerging Markets	EXCHANGE TRADED FUNDS	**	$30,261.00
First Trust Energy Alphadex	EXCHANGE TRADED FUNDS	**	$65,512.24
First Trust Enh Short Mat Fd	EXCHANGE TRADED FUNDS	**	$617,341.37
First Trust Europe	EXCHANGE TRADED FUNDS	**	$21,989.12
First Trust Exchange Traded Fd II Intl Equity Opp	EXCHANGE TRADED FUNDS	**	$25,585.48
First Trust Exchange Traded Fund VI RIsing Div Achievers ETF	EXCHANGE TRADED FUNDS	**	$1,456,320.55
First Trust Exchange-Traded	EXCHANGE TRADED FUNDS	**	$921.80
First Trust Exchanging Traded Fd VIII FT Energy Inc	EXCHANGE TRADED FUNDS	**	$12,954.04
First Trust Expanded Tech	EXCHANGE TRADED FUNDS	**	$20,791.38
First Trust Financial Alphad	EXCHANGE TRADED FUNDS	**	$45,076.59

First Trust Global Tactical	EXCHANGE TRADED FUNDS	**	$211,129.36
First Trust Global Wind Energy ETF	EXCHANGE TRADED FUNDS	**	$16,424.40
First Trust Grwth Strgth Etf	EXCHANGE TRADED FUNDS	**	$524,526.74
First Trust Health Care Alph	EXCHANGE TRADED FUNDS	**	$60,544.73
First Trust Index Global Nat	EXCHANGE TRADED FUNDS	**	$35,346.91
First Trust India Nifty 50 Equal Weight ETF	EXCHANGE TRADED FUNDS	**	$11,573.00
First Trust Indst/Producers	EXCHANGE TRADED FUNDS	**	$3,230.40
First Trust Indxx Aero & Def	EXCHANGE TRADED FUNDS	**	$30,351.30
First Trust Indxx Inovative	EXCHANGE TRADED FUNDS	**	$52,098.08
First Trust Indxx Nextg ETF	EXCHANGE TRADED FUNDS	**	$53,118.84
First Trust Innovation Leade	EXCHANGE TRADED FUNDS	**	$615,214.08
First Trust Large Cap Core A	EXCHANGE TRADED FUNDS	**	$686,525.82
First Trust Large Cap Value AlphaDEX Fund	EXCHANGE TRADED FUNDS	**	$17,700.17
First Trust Long/Short Eqty	EXCHANGE TRADED FUNDS	**	$92,273.70
First Trust Low Duration Opp	EXCHANGE TRADED FUNDS	**	$267,188.67
First Trust Lunt Us Factor	EXCHANGE TRADED FUNDS	**	$6,489.77
First Trust Managed Futures Strategy Fund	EXCHANGE TRADED FUNDS	**	$23,535.00
First Trust Materials Alphad	EXCHANGE TRADED FUNDS	**	$98,280.00
First Trust Mid Cap Core Alp	EXCHANGE TRADED FUNDS	**	$5,848.78
First Trust Mid Cap Growth	EXCHANGE TRADED FUNDS	**	$62,195.25
First Trust Mid Cap Value	EXCHANGE TRADED FUNDS	**	$67,400.40
First Trust Morn Dvd Lead In	EXCHANGE TRADED FUNDS	**	$62,806.36
First Trust Multi Cap Value	EXCHANGE TRADED FUNDS	**	$898.80
First Trust Multi-Man Lg Etf (afgr 06/08/26)	EXCHANGE TRADED FUNDS	**	$1,964.64
First Trust Multi-Manager Sm	EXCHANGE TRADED FUNDS	**	$2,173.23
First Trust Nasdaq 100 Select Equal Weight ETF	EXCHANGE TRADED FUNDS	**	$2,437.55
First Trust Nasdaq Aba Cbif	EXCHANGE TRADED FUNDS	**	$42,613.18
First Trust Nasdaq Bank ETF	EXCHANGE TRADED FUNDS	**	$268,238.68
First Trust NASDAQ Cybersecurity ETF	EXCHANGE TRADED FUNDS	**	$406,330.73
First Trust Nasdaq Food & Be	EXCHANGE TRADED FUNDS	**	$10,540.90
First Trust Nasdaq Oil & Gas	EXCHANGE TRADED FUNDS	**	$4,159.85
First Trust Nasdaq-100 Ex-Te	EXCHANGE TRADED FUNDS	**	$156,527.91
First Trust Nasdq 100 Tech I	EXCHANGE TRADED FUNDS	**	$18,665.14
First Trust Natural Gas ETF	EXCHANGE TRADED FUNDS	**	$22,799.70
First Trust North American E	EXCHANGE TRADED FUNDS	**	$206,066.33
First Trust RBA American Ind	EXCHANGE TRADED FUNDS	**	$1,931,006.59
First Trust Small Cap Core A	EXCHANGE TRADED FUNDS	**	$62,837.50
First Trust Smid Cap Rising	EXCHANGE TRADED FUNDS	**	$1,601,105.77
First Trust SMID Growth Strength ETF	EXCHANGE TRADED FUNDS	**	$3,401.51
First Trust Smith Opportunistic Fixed Income ETF	EXCHANGE TRADED FUNDS	**	$39,548.19
First Trust Stoxx Europe	EXCHANGE TRADED FUNDS	**	$23,655.69
First Trust Technology Alpha	EXCHANGE TRADED FUNDS	**	$12,124.53
First Trust US Equity Opportunities ETF	EXCHANGE TRADED FUNDS	**	$370,271.97
First Trust Utilities Alphad	EXCHANGE TRADED FUNDS	**	$23,184.50
First Trust Value Line Dividend Index Fund	EXCHANGE TRADED FUNDS	**	$435,763.01
First Trust Water Index ETF	EXCHANGE TRADED FUNDS	**	$115,281.12
First Trust WCM Developing World Equity ETF	EXCHANGE TRADED FUNDS	**	$26,555.86
First Trust WCM Int'l Equity ETF	EXCHANGE TRADED FUNDS	**	$107,778.00
Fndstrt Grnny Shots US Lrg	EXCHANGE TRADED FUNDS	**	$50,020.00
Franklin Ethereum ETF	EXCHANGE TRADED FUNDS	**	$11,220.00
Ft Bbg R&D Leaders ETF	EXCHANGE TRADED FUNDS	**	$16,832.85
Ft Bloomberg Ai Etf	EXCHANGE TRADED FUNDS	**	$90,895.01
Ft Conf Bdc ETF	EXCHANGE TRADED FUNDS	**	$9,691.30

Ft Core Investment Grade ETF	EXCHANGE TRADED FUNDS	**	$57,150.36
Ft Em Human Flourishing ETF	EXCHANGE TRADED FUNDS	**	$33.37
Ft Energy Inc Partners Strat	EXCHANGE TRADED FUNDS	**	$107,711.81
Ft Growth Stre & Tar Inc ETF	EXCHANGE TRADED FUNDS	**	$3,042.75
Ft Indxx Critical Metals ETF	EXCHANGE TRADED FUNDS	**	$11,368.63
Ft International Dev Cap Str	EXCHANGE TRADED FUNDS	**	$8,582.93
Ft Ltd Duration Inv Grade	EXCHANGE TRADED FUNDS	**	$22,752.00
Ft Mlt Strat Alternative ETF	EXCHANGE TRADED FUNDS	**	$2,288.59
Ft Nasdaq Tech Dvd Index Fd	EXCHANGE TRADED FUNDS	**	$98,284.42
FT Ndq100 Mod Buff Etf May	EXCHANGE TRADED FUNDS	**	$501.00
Ft New Construct Core Earn L	EXCHANGE TRADED FUNDS	**	$25,421.42
Ft Nsdq100Consbuffetf - Apr	EXCHANGE TRADED FUNDS	**	$711.59
Ft Skybr Crypt & Dig Econ	EXCHANGE TRADED FUNDS	**	$43,398.33
Ft Smallcap Buywrite Inc ETF	EXCHANGE TRADED FUNDS	**	$1,007.38
Ft Struct Credit Inc Opp ETF	EXCHANGE TRADED FUNDS	**	$32,862.71
Ft Vest 20 Yr Tsy & Targ Inc	EXCHANGE TRADED FUNDS	**	$106,165.40
Ft Vest Btc Floor 15 Etf-Apr	EXCHANGE TRADED FUNDS	**	$5,910.19
Ft Vest Btc Stra & Tr In ETF	EXCHANGE TRADED FUNDS	**	$144,015.43
FT Vest Buffered Allocation	EXCHANGE TRADED FUNDS	**	$24,839.37
FT Vest Djia Dogs 10 Target	EXCHANGE TRADED FUNDS	**	$3,554.70
FT Vest Gold Strategy Target	EXCHANGE TRADED FUNDS	**	$55,604.05
Ft Vest Invst Grd Trgt I ETF	EXCHANGE TRADED FUNDS	**	$411,468.00
FT Vest Laddered Buffer ETF	EXCHANGE TRADED FUNDS	**	$1,114,565.22
FT Vest Laddered Deep Buffer ETF	EXCHANGE TRADED FUNDS	**	$177,261.48
FT Vest Laddered Nasdaq Buffer ETF	EXCHANGE TRADED FUNDS	**	$9,894.50
FT Vest Nasdaq-100 Buffer ETF	EXCHANGE TRADED FUNDS	**	$2,090.88
FT Vest Rising Dividend Achi	EXCHANGE TRADED FUNDS	**	$259,441.19
FT Vest S&P 500 Dividend Ari	EXCHANGE TRADED FUNDS	**	$348,619.94
FT Vest Smid Rising Dividend	EXCHANGE TRADED FUNDS	**	$127,661.05
FT Vest Technology Dividend	EXCHANGE TRADED FUNDS	**	$34,969.39
FT Vest U.S. Equity Buffer E	EXCHANGE TRADED FUNDS	**	$127,883.15
FT Vest U.S. Equity Deep Buf	EXCHANGE TRADED FUNDS	**	$9,372.50
FT Vest U.S. Equity Enhance	EXCHANGE TRADED FUNDS	**	$8,547.00
Ft Vest Us Eb & Dr Etf - Oct	EXCHANGE TRADED FUNDS	**	$4,681.37
Ft Vest Us Eq Max Buf Sep	EXCHANGE TRADED FUNDS	**	$2,993.35
FT Vest US Equity Buffer ETF	EXCHANGE TRADED FUNDS	**	$883,526.99
FT Vest US Equity Deep Buffe	EXCHANGE TRADED FUNDS	**	$26,098.56
FT Vest US Equity Enhance &	EXCHANGE TRADED FUNDS	**	$123,467.14
FT Vest US Equity Moderate B	EXCHANGE TRADED FUNDS	**	$459,410.02
FT Vest US Small Cap Moderat	EXCHANGE TRADED FUNDS	**	$6,770.40
Income ETF	EXCHANGE TRADED FUNDS	**	$50,286.40
Ft-Preferred Secur & Inc Etf	EXCHANGE TRADED FUNDS	**	$19,152.00
Ftvestdjintrnt & Targinc ETF	EXCHANGE TRADED FUNDS	**	$2,268.48
Global X Copper Miners Etf	EXCHANGE TRADED FUNDS	**	$10,875.00
Global X Defense Tech ETF	EXCHANGE TRADED FUNDS	**	$11,032.32
Global X MLP & Energy Infras	EXCHANGE TRADED FUNDS	**	$11,908.51
Global X US Infrastructure	EXCHANGE TRADED FUNDS	**	$7,047.58
GMO US Quality ETF	EXCHANGE TRADED FUNDS	**	$138,590.12
Goldman Sachs Nasdaq 100 Premium Income ETF	EXCHANGE TRADED FUNDS	**	$5,417.13
Goldman Sachs Physical Gold ETF	EXCHANGE TRADED FUNDS	**	$13,926.25
Grayscale Bitcoin Mini Trust ETF	EXCHANGE TRADED FUNDS	**	$855.14
Grayscale Bitcoin Trust ETF	EXCHANGE TRADED FUNDS	**	$8,164.59
Grayscale Ethereum Staking Mini ETF	EXCHANGE TRADED FUNDS	**	$12,220.20

Grizzle Growth ETF	EXCHANGE TRADED FUNDS	**	$1,099.09
Innovato Equ Du Di 15 Bu ETF	EXCHANGE TRADED FUNDS	**	$63,660.00
Invesco Aerospace & Defense	EXCHANGE TRADED FUNDS	**	$21,162.62
Invesco Kbw Premium Yield Eq	EXCHANGE TRADED FUNDS	**	$4,969.72
Invesco Nasdaq 100 Etf	EXCHANGE TRADED FUNDS	**	$81,203.10
Invesco Qqq Trust Series 1	EXCHANGE TRADED FUNDS	**	$510,065.23
Invesco S&P 500 Equal Weight	EXCHANGE TRADED FUNDS	**	$373,692.77
Invesco S&P 500 Momentum ETF	EXCHANGE TRADED FUNDS	**	$24,912.45
Invesco Semiconductors ETF	EXCHANGE TRADED FUNDS	**	$24,874.40
Invtr Eq D Di 15 B ETF - Jul	EXCHANGE TRADED FUNDS	**	$102,774.00
iShares 0-3 Month Treasury B	EXCHANGE TRADED FUNDS	**	$1,104.07
iShares 20+ Year Treasury Bond	EXCHANGE TRADED FUNDS	**	$4,517.67
Ishares Bitcoin Trust ETF	EXCHANGE TRADED FUNDS	**	$728,913.24
iShares Core Dividend Growth	EXCHANGE TRADED FUNDS	**	$120,699.18
iShares Core MSCI EAFE ETF	EXCHANGE TRADED FUNDS	**	$38,644.10
iShares Core Msci Emerging	EXCHANGE TRADED FUNDS	**	$9,973.72
iShares Core S&P 500 ETF	EXCHANGE TRADED FUNDS	**	$192,480.99
iShares Core S&P Small-Cap ETF	EXCHANGE TRADED FUNDS	**	$85,332.37
iShares Core Universal USD Bon	EXCHANGE TRADED FUNDS	**	$6,904.20
Ishares Ethereum Trust ETF	EXCHANGE TRADED FUNDS	**	$239,801.87
iShares Expanded Tech Sector ETF	EXCHANGE TRADED FUNDS	**	$35,085.67
Ishares Factors US Gwth Styl	EXCHANGE TRADED FUNDS	**	$1,031.85
iShares Flexible Income Active ETF	EXCHANGE TRADED FUNDS	**	$5,243.55
iShares Gold Trust	EXCHANGE TRADED FUNDS	**	$2,778.14
iShares MSCI ACWI ETF	EXCHANGE TRADED FUNDS	**	$8,971.83
iShares MSCI Mexico ETF	EXCHANGE TRADED FUNDS	**	$11,692.01
iShares MSCI USA Equal Weighted ETF	EXCHANGE TRADED FUNDS	**	$19,815.10
Ishares MSCI USA Quality Fac	EXCHANGE TRADED FUNDS	**	$113,702.52
iShares Russell 1000 Growth ETF	EXCHANGE TRADED FUNDS	**	$35,109.67
iShares Russell 2000 ETF	EXCHANGE TRADED FUNDS	**	$89,201.58
iShares Russell 3000 ETF	EXCHANGE TRADED FUNDS	**	$4,326.63
iShares SILVER TRUST iShares	EXCHANGE TRADED FUNDS	**	$12,347.42
Ishares Top 20 Us Stocks ETF	EXCHANGE TRADED FUNDS	**	$1,100.40
iShares U.S. Aerospace & Defen	EXCHANGE TRADED FUNDS	**	$49,109.18
iShares U.S. Consumer Staples ETF	EXCHANGE TRADED FUNDS	**	$10,100.85
iShares U.S. Home Construction	EXCHANGE TRADED FUNDS	**	$5,413.76
iShares US Eqy Fctr Rotation Active ETF	EXCHANGE TRADED FUNDS	**	$10,167.50
iShares US Treasury Bond ETF	EXCHANGE TRADED FUNDS	**	$23,703.06
Ishrs AI In And Tech Act ETF	EXCHANGE TRADED FUNDS	**	$4,948.02
John Hancock Multi Fact Mid	EXCHANGE TRADED FUNDS	**	$19,866.00
JPM Nasdaq Equity Premium	EXCHANGE TRADED FUNDS	**	$18,404.91
JPMorgan Betabuilders US Equ	EXCHANGE TRADED FUNDS	**	$16,728.60
JPMorgan Equity Premium Inco	EXCHANGE TRADED FUNDS	**	$9,228.50
JPMorgan Small & Mid Cap Enhanced Equity ETF	EXCHANGE TRADED FUNDS	**	$1,302.20
JPMorgan US Quality Factor	EXCHANGE TRADED FUNDS	**	$248,876.03
Madison Covered Call & Equity	EXCHANGE TRADED FUNDS	**	$1,497.50
Microsectors Fang+ Etns	EXCHANGE TRADED FUNDS	**	$68,640.00
Monarch Vol Fact Dvd Ti ETF	EXCHANGE TRADED FUNDS	**	$1,424.00
NASDAQ Clean Edge Green Energy Index	EXCHANGE TRADED FUNDS	**	$47,561.88
Neos Bitcoin High Income ETF	EXCHANGE TRADED FUNDS	**	$5,951.86
Neos Nasdaq-100 High Inc ETF	EXCHANGE TRADED FUNDS	**	$7,808.96
Neos S&P 500 High Income ETF	EXCHANGE TRADED FUNDS	**	$7,836.46
Pacer Lunt Large Cap Alterna	EXCHANGE TRADED FUNDS	**	$5,187.60

Pacer Us Cash Cows 100 Etf	EXCHANGE TRADED FUNDS	**	$100,125.87
Peerless Option Income Wheel	EXCHANGE TRADED FUNDS	**	$56,507.28
PGIM Ultra Short Bond ETF	EXCHANGE TRADED FUNDS	**	$112,596.18
PIMCO 25YR+ ZERO Coupon U S Treasury Index - ETF	EXCHANGE TRADED FUNDS	**	$7,111.80
Pimco Dynamic Income Fund	EXCHANGE TRADED FUNDS	**	$118,144.00
PIMCO Enhanced Short Maturity Active ETF	EXCHANGE TRADED FUNDS	**	$899,699.08
Proshares Bitcoin Strategy E	EXCHANGE TRADED FUNDS	**	$7,649.72
Proshares S&P 500 Dividends Aristocrats ETF	EXCHANGE TRADED FUNDS	**	$11,181.83
Putnam Focused Lrg Cap Value	EXCHANGE TRADED FUNDS	**	$4,600.24
Range Nuclear Renaissance	EXCHANGE TRADED FUNDS	**	$14,925.98
Rex Osprey Sol Staking ETF	EXCHANGE TRADED FUNDS	**	$14,212.50
Roundhill Ball Metaverse ETF	EXCHANGE TRADED FUNDS	**	$1,893.00
Saba Opportunistically Hedge Closed End Funds ETF	EXCHANGE TRADED FUNDS	**	$1,216.88
Schwab Intermediate-Term Us	EXCHANGE TRADED FUNDS	**	$26,660.84
Schwab U.S. Large-Cap Growth	EXCHANGE TRADED FUNDS	**	$24,660.00
Schwab US Dvd Equity ETF	EXCHANGE TRADED FUNDS	**	$90,571.78
Schwab US TIPS ETF	EXCHANGE TRADED FUNDS	**	$26,401.68
Select Stoxx Eur Aer De ETF	EXCHANGE TRADED FUNDS	**	$21,130.00
Simplify Barrier Income ETF	EXCHANGE TRADED FUNDS	**	$81.38
Simplify Interest Rate Hedge	EXCHANGE TRADED FUNDS	**	$8,525.57
Simplify Stable Income ETF	EXCHANGE TRADED FUNDS	**	$18.61
Solana ETF	EXCHANGE TRADED FUNDS	**	$30,726.97
SPDR GOLD TRUST GOLD SHS	EXCHANGE TRADED FUNDS	**	$82,969.12
SPDR Portfolio S&P 500 ETF	EXCHANGE TRADED FUNDS	**	$363,696.53
Sprott Fds Tr Uranium Miners	EXCHANGE TRADED FUNDS	**	$109,400.00
Ss Re Es Se Sc Sp Pr Inc Etf	EXCHANGE TRADED FUNDS	**	$50,144.91
St Str Com Ser Sel Sec Sp-Us	EXCHANGE TRADED FUNDS	**	$50,902.97
Sta Str Hea Ca Ss Spdr Pi-Ui	EXCHANGE TRADED FUNDS	**	$50,897.15
Sta Str Mat Ss Spdr Pie-Usdi	EXCHANGE TRADED FUNDS	**	$48,298.73
Sta Str Uti Sel Sec Spdr-Usd	EXCHANGE TRADED FUNDS	**	$20,191.20
State Street Consumer Discre	EXCHANGE TRADED FUNDS	**	$51,617.77
State Street Energy Select S	EXCHANGE TRADED FUNDS	**	$78,815.39
State Street Financial Selec	EXCHANGE TRADED FUNDS	**	$5,021.38
State Street Health Care Sel	EXCHANGE TRADED FUNDS	**	$3,580.64
State Street SPDR Bloomberg 1-	EXCHANGE TRADED FUNDS	**	$5,025.35
State Street SPDR Dow Jones Industrial Average ETF Trust	EXCHANGE TRADED FUNDS	**	$2,469.84
State Street Spdr Portfolio	EXCHANGE TRADED FUNDS	**	$28,610.90
State Street SPDR Portfolio In	EXCHANGE TRADED FUNDS	**	$2,311.20
State Street SPDR Portfolio S&	EXCHANGE TRADED FUNDS	**	$679,792.79
State Street SPDR S&P 500 ETF	EXCHANGE TRADED FUNDS	**	$993,560.59
State Street SPDR S&P Aerospac	EXCHANGE TRADED FUNDS	**	$13,286.52
State Street Spdr S&P Emergi	EXCHANGE TRADED FUNDS	**	$1,376.20
State Street SPDR S&P Metals &	EXCHANGE TRADED FUNDS	**	$79,585.58
State Street SPDR S&P Oil & Ga	EXCHANGE TRADED FUNDS	**	$892.01
State Street Ssga Ig Pub&Priv Credit ETF	EXCHANGE TRADED FUNDS	**	$5,601.75
State Street Technology Sele	EXCHANGE TRADED FUNDS	**	$114,675.95
Strive Us Energy ETF	EXCHANGE TRADED FUNDS	**	$14,370.00
Stst Con Sta Sel Sec Spdr-Us	EXCHANGE TRADED FUNDS	**	$50,116.94
Ststr Fin Sel Se Spdr Pre-Us	EXCHANGE TRADED FUNDS	**	$52,201.54
Ststre Ind Sel Sec Spd Pr-Us	EXCHANGE TRADED FUNDS	**	$75,247.65
Stte Str Ut Sl Sc Pre In-Usd	EXCHANGE TRADED FUNDS	**	$71,797.25
TBG Dividend Focus ETF	EXCHANGE TRADED FUNDS	**	$37,094.85
Us Global Sea To Sky Cargo	EXCHANGE TRADED FUNDS	**	$13,759.62

Vaneck Gold Miners Etf	EXCHANGE TRADED FUNDS	**	$43,420.00
Vaneck Junior Gold Miners Et	EXCHANGE TRADED FUNDS	**	$23,028.00
Vaneck Morningstar Wide Moat	EXCHANGE TRADED FUNDS	**	$59,565.01
Vaneck Semiconductor Etf	EXCHANGE TRADED FUNDS	**	$11,631.41
Vanguard Communication Service ETF	EXCHANGE TRADED FUNDS	**	$21,612.81
Vanguard Core-Plus Bond ETF	EXCHANGE TRADED FUNDS	**	$1,174.13
Vanguard Dividend Apprec ETF	EXCHANGE TRADED FUNDS	**	$359,280.53
Vanguard Dur Treasury	EXCHANGE TRADED FUNDS	**	$3,591.33
Vanguard Health Care ETF	EXCHANGE TRADED FUNDS	**	$2,026.22
Vanguard Industrials ETF	EXCHANGE TRADED FUNDS	**	$2,711.52
Vanguard Info Tech ETF	EXCHANGE TRADED FUNDS	**	$40,585.19
Vanguard Int Div App Indx Fd	EXCHANGE TRADED FUNDS	**	$5,090.78
Vanguard Intermediate Term Treasury ETF	EXCHANGE TRADED FUNDS	**	$105,410.82
Vanguard International Bond	EXCHANGE TRADED FUNDS	**	$5,844.70
Vanguard Long Term Government Bond	EXCHANGE TRADED FUNDS	**	$27,217.77
Vanguard Morningstar Growth ETF	EXCHANGE TRADED FUNDS	**	$49,214.92
Vanguard Morningstar Mega Cap Growth ETF	EXCHANGE TRADED FUNDS	**	$292,762.45
Vanguard Morningstar Mid-Cap ETF	EXCHANGE TRADED FUNDS	**	$254,254.56
Vanguard Morningstar Small Cap Value ETF	EXCHANGE TRADED FUNDS	**	$11,766.15
Vanguard Morningstar Total Stock Market ETF	EXCHANGE TRADED FUNDS	**	$3,465.80
Vanguard Morningstar Value ETF	EXCHANGE TRADED FUNDS	**	$72,099.22
Vanguard S&P 500 ETF	EXCHANGE TRADED FUNDS	**	$1,367,726.05
Vanguard S&P Mid-Cap 400 Etf	EXCHANGE TRADED FUNDS	**	$11,077.34
Vanguard S&P Small-Cap 600 E	EXCHANGE TRADED FUNDS	**	$11,225.00
Vanguard Total Intl Stock Et	EXCHANGE TRADED FUNDS	**	$244,401.76
Vanguard US Multifactor ETF	EXCHANGE TRADED FUNDS	**	$76,070.00
Victory Portfolios II Shares Free Cash	EXCHANGE TRADED FUNDS	**	$39,690.00
Victoryshares Core Intermedi	EXCHANGE TRADED FUNDS	**	$19,365.72
Victoryshares UD 500 Enhance	EXCHANGE TRADED FUNDS	**	$12,171.15
Virtus Wmc International Div (delisted 04/09/26)	EXCHANGE TRADED FUNDS	**	$4,912.19
WisdomTree India Earnings	EXCHANGE TRADED FUNDS	**	$13,746.00
XRP ETF	EXCHANGE TRADED FUNDS	**	$4,735.01
Yieldmax Mstr Option Is ETF	EXCHANGE TRADED FUNDS	**	$3,021.00
US Treasury STRIPS	GOVERNMENT ZEROS	**	$19,904.69
Enterprise Products Pptns LP	FUNDS	**	$6,438.32
Icahn Enterprises LP	FUNDS	**	$4,849.31
Schwab Value Advantage Money Fund Inv	MONEY MARKET	**	$456,273.92
American Funds New World	MUTUAL FUNDS	**	$8,040.70
Applied Finance Explorer-Inv	MUTUAL FUNDS	**	$1,819.43
AQR Long-Short Equity I	MUTUAL FUNDS	**	$8,889.85
AQR Long-Short Equity-N	MUTUAL FUNDS	**	$13,047.94
BlackRock Strategic Income Opps Instl	MUTUAL FUNDS	**	$4,604.61
BlackRock Systematic Multi-Strategy Instl	MUTUAL FUNDS	**	$7,214.62
BlackRock Total Return Instl	MUTUAL FUNDS	**	$9,280.67
Cascade Private Capital Fund	MUTUAL FUNDS	**	$276,388.71
Cliffwater Corp Lndng-I	MUTUAL FUNDS	**	$155,328.48
Cliffwater Enhanced Lend-I	MUTUAL FUNDS	**	$93,495.21
Dodge & Cox Stock Fund - Class X	MUTUAL FUNDS	**	$29,337,594.53
Federated Hermes U.S. Treasury Cash Reserves - P	MUTUAL FUNDS	**	$17,891,359.90
Fidelity Advisor Equity Growth Fund - Class I	MUTUAL FUNDS	**	$7,933,167.69
First Trust Alternative Opportunities Fund I	MUTUAL FUNDS	**	$521,134.81
First Trust Focused Global Growth Inst	MUTUAL FUNDS	**	$70,780.86
First Trust Focused Global Growth Inv	MUTUAL FUNDS	**	$19,328.20

First Trust Merger Arbitrage Fund A	MUTUAL FUNDS	**	$22,744.43
First Trust Priv Crdt-I	MUTUAL FUNDS	**	$57,692.82
First Trust Short Duration High Income Fund - Cl	MUTUAL FUNDS	**	$2,746,091.51
GQG Part Emerg Mkts Eq-Inst	MUTUAL FUNDS	**	$51,886.25
GS GQG Part Intl Opp-Inst	MUTUAL FUNDS	**	$23,520.56
Janus Henderson Global Equity Income T	MUTUAL FUNDS	**	$59,338.41
Lazard Global Listed Infrastructure Inst	MUTUAL FUNDS	**	$13,423.62
MFS International Equity I	MUTUAL FUNDS	**	$2,207.08
MFS International Growth Fund - Class R3	MUTUAL FUNDS	**	$13,142,493.48
Neuberger Mid Cap GrowthFd R6	MUTUAL FUNDS	**	$814,506.79
Oberweis Small-Cap Opportunities	MUTUAL FUNDS	**	$3,540.05
PIMCO Income Instl	MUTUAL FUNDS	**	$4,584.98
PIMCO Total Return Fund - Class A	MUTUAL FUNDS	**	$10,928,055.61
Schwab Treasury Inflation Protected Sec. Index Fund Sel	MUTUAL FUNDS	**	$72,457.64
T. Rowe Price Growth Stock Fund	MUTUAL FUNDS	**	$38,066,852.81
T. Rowe Price Mid-Cap Growth Fund, Inc.	MUTUAL FUNDS	**	$17,772,009.19
Vangrd Trgt Retire 2070 Fd	MUTUAL FUNDS	**	$1,150,299.40
Vanguard 500 Index Admiral	MUTUAL FUNDS	**	$57,829.94
Vanguard Balanced Index Fund - Institutional Sha	MUTUAL FUNDS	**	$27,838,442.54
Vanguard Developed Markets Index Fund - Institut	MUTUAL FUNDS	**	$15,325,999.66
Vanguard Emerging Markets Stock Index Fund - Ins	MUTUAL FUNDS	**	$7,537,963.00
Vanguard High-Yield Corporate Fund - Admiral Sha	MUTUAL FUNDS	**	$3,741,523.88
Vanguard Inflation-Protected Secs Inv	MUTUAL FUNDS	**	$33,727.36
Vanguard Institutional Index Fund - Institutiona	MUTUAL FUNDS	**	$80,694,713.73
Vanguard Intermediate-Term Treasury Index Admiral Shares	MUTUAL FUNDS	**	$53,451.33
Vanguard Long-Term Treasury Index Admiral Shares	MUTUAL FUNDS	**	$73,571.38
Vanguard Mid-Cap Index Fund - Institutional Shar	MUTUAL FUNDS	**	$10,867,090.90
Vanguard Mid-Cap Value Index Fund - Admiral Shar	MUTUAL FUNDS	**	$10,560,488.89
Vanguard Real Estate Index Admiral	MUTUAL FUNDS	**	$149,386.46
Vanguard Short-Term Federal Fund - Admiral Share	MUTUAL FUNDS	**	$3,856,265.72
Vanguard Small-Cap Growth Index Fund- Institutio	MUTUAL FUNDS	**	$7,369,087.69
Vanguard Small-Cap Index Fund - Institutional Sh	MUTUAL FUNDS	**	$13,720,684.66
Vanguard Small-Cap Value Index Fund - Admiral Sh	MUTUAL FUNDS	**	$8,646,495.71
Vanguard Target Retirement 2020 Fund	MUTUAL FUNDS	**	$214,924.42
Vanguard Target Retirement 2030 Fund	MUTUAL FUNDS	**	$6,195,562.86
Vanguard Target Retirement 2040 Fund	MUTUAL FUNDS	**	$8,035,131.10
Vanguard Target Retirement 2050 Fund	MUTUAL FUNDS	**	$6,753,272.36
Vanguard Target Retirement 2060 Fund	MUTUAL FUNDS	**	$4,397,461.56
Vanguard Target Retirement Income Fund	MUTUAL FUNDS	**	$570,906.26
Abb Ltd Spons ADR	STOCKS	**	$93,809.06
Abbvie Inc	STOCKS	**	$17,034.77
ACM Research Inc CL A	STOCKS	**	$12,060.00
Adobe Inc	STOCKS	**	$14,452.91
Advanced Micro Devices	STOCKS	**	$36,177.12
Aemetis Inc	STOCKS	**	$500.50
Aerovironment Inc	STOCKS	**	$11,468.00
Aes Corp	STOCKS	**	$7,032.50
Affirm Holdings Inc	STOCKS	**	$1,517.00
Agilysys Inc	STOCKS	**	$2,269.55
AGNC Investment Corp	STOCKS	**	$87,858.40
Agnico-Eagle Mines Ltd F	STOCKS	**	$3,952.32
Air Products & Chemicals Inc	STOCKS	**	$352.06
Airspan Networks Inc	STOCKS	**	$350.45

Alibaba Group Holding-Sp ADR	STOCKS	**	$11,788.80
Alkermes Plc	STOCKS	**	$1,279.26
Allient Inc	STOCKS	**	$1,078.80
Alpha Metallurgical Resource	STOCKS	**	$12,126.60
Alphabet Inc CL A	STOCKS	**	$657,385.21
Alphabet Inc CL C	STOCKS	**	$81,139.43
Altria Group Inc	STOCKS	**	$21,534.75
Amazon Com Inc	STOCKS	**	$1,421,223.36
American Electric Power Co	STOCKS	**	$12,642.91
American Exceptionalism-Cl A	STOCKS	**	$6,792.00
American Express Co.	STOCKS	**	$19,333.20
American Rare Earths Ltd	STOCKS	**	$1,175.00
American Tower REIT Inc	STOCKS	**	$706.88
Amgen Inc	STOCKS	**	$16,105.81
Amicus Therapeutics Inc (acquired 04/28/26)	STOCKS	**	$1,425.00
Amprius Technologies Inc	STOCKS	**	$3,132.00
Annaly Capital Management Inc	STOCKS	**	$5,830.98
Aon PLC	STOCKS	**	$2,138.94
APA Corporation	STOCKS	**	$4,693.00
Apellis Pharmaceuticals Inc (acquired 05/15/26)	STOCKS	**	$5,048.00
Apple Inc	STOCKS	**	$1,099,075.29
Applied Material	STOCKS	**	$28,000.72
Applovin Corp Class A	STOCKS	**	$172,733.79
Aptinyx Inc (delisted 01/02/24)	STOCKS	**	$0.00
Aptiv PLC	STOCKS	**	$9,920.10
Arcelormittal ADR	STOCKS	**	$3,639.20
Archer Aviation Inc	STOCKS	**	$76,859.00
Arcus Biosciences Inc	STOCKS	**	$4,646.00
Ardelyx Inc	STOCKS	**	$580.00
Ares Capital Corp	STOCKS	**	$14,923.62
Arlo Technologies Inc	STOCKS	**	$834.00
Arm Holdings PLC	STOCKS	**	$19,954.80
Arrowhead Pharmaceuticals Inc	STOCKS	**	$11,230.75
Arthur J Gallagher	STOCKS	**	$52,366.00
Asml Holding Nv	STOCKS	**	$113,646.84
Atlas Energy Solutions Inc A	STOCKS	**	$1,660.48
Atyr Pharma Inc	STOCKS	**	$223.50
Automatic Data Processing	STOCKS	**	$32,441.25
AvePoint Inc	STOCKS	**	$698.50
B3 Sa - Unsp ADR	STOCKS	**	$7,760.00
Badger Meter Inc.	STOCKS	**	$178.23
Baker Hughes Co	STOCKS	**	$3,410.66
Bally's Corp	STOCKS	**	$1,589.56
Bandwidth Inc-Class A	STOCKS	**	$9,324.00
Bank Of America Corp	STOCKS	**	$10,005.68
Berkshire Hathaway Inc Cl B	STOCKS	**	$99,230.87
Beta Technologies Inc -Cl A	STOCKS	**	$11,220.00
Better Home & Finance Hldg A	STOCKS	**	$6,659.94
Beyond Meat Inc (bynd 8/14/26)	STOCKS	**	$25.40
BHP Limited	STOCKS	**	$609.20
Bigbear.Ai Holdings Inc	STOCKS	**	$13,750.00
Biocryst Pharmaceuticals Inc	STOCKS	**	$766.00
Biogen Inc	STOCKS	**	$11,818.80

Biomarin Pharmaceutical	STOCKS	**	$2,949.50
Bioxcel Therapeutics Inc	STOCKS	**	$306.00
Bitmine Immersion Technologies	STOCKS	**	$244,121.78
Blackberry Ltd	STOCKS	**	$15,160.00
BlackRocK Inc	STOCKS	**	$4,495.74
Blackstone Inc	STOCKS	**	$30,376.97
Blink Charging Co	STOCKS	**	$515.36
Block Inc A	STOCKS	**	$58,986.00
Boeing Company	STOCKS	**	$32,775.00
Briacell Therapeutics Corp	STOCKS	**	$227.70
Bristol-Myers Squibb Co	STOCKS	**	$17,737.70
Broadcom Ltd	STOCKS	**	$769,411.04
BTQ Technologies Corp	STOCKS	**	$2,092.00
BWX Technologies Inc	STOCKS	**	$9,241.08
Carrier Global Corp	STOCKS	**	$10,708.88
Catalyst Pharmaceuticals Inc (acquired 07/16/26)	STOCKS	**	$1,048.05
Caterpillar Inc	STOCKS	**	$14,434.75
Cava Group Inc	STOCKS	**	$3,092.02
Cboe Global Markets Inc	STOCKS	**	$29,272.10
CD Projekt SA - Unsp ADR	STOCKS	**	$7,205.88
Celestica Inc	STOCKS	**	$4,790.40
Cellebrite Di Ltd	STOCKS	**	$32,399.99
Celsius Holdings Inc	STOCKS	**	$3,598.92
Cencora Inc	STOCKS	**	$17,002.00
Centrus Energy Corp	STOCKS	**	$247.03
Ceribell Inc	STOCKS	**	$2,605.20
CF Industries Holdings Inc	STOCKS	**	$6,482.50
Chevron Corp	STOCKS	**	$31,968.13
Chewy Inc - Class A	STOCKS	**	$6,570.00
Chipotle Mexican Grill CL A	STOCKS	**	$666.72
Cigna Group	STOCKS	**	$2,764.80
Cipher Digital Inc	STOCKS	**	$31,543.58
Citigroup Inc	STOCKS	**	$16,761.03
Cleanspark Inc	STOCKS	**	$27,946.44
Clearwater Analytics Hds A (acquired 06/25/26)	STOCKS	**	$34,945.00
Cloudflare Inc Class A	STOCKS	**	$93,821.40
Coca Cola Consolidated Inc	STOCKS	**	$154.66
Coinbase Global Inc -Class A	STOCKS	**	$203,113.20
Colgate-Palmolive	STOCKS	**	$1,590.20
Compass Pathways Plc	STOCKS	**	$16,800.00
Comstock Resources Inc	STOCKS	**	$11,920.00
Conagra Brands Inc	STOCKS	**	$26,145.00
Conocophillips	STOCKS	**	$97,393.50
Consolidated Edison	STOCKS	**	$6,592.74
Constellation Brands Cl. A	STOCKS	**	$35,032.50
Constellation Energy Corp	STOCKS	**	$714.24
Corbus Pharmaceuticals Holdings	STOCKS	**	$32.04
Core Natural Resources Inc	STOCKS	**	$281,134.36
Core Scientific Inc	STOCKS	**	$584.40
Corebridge Financial Inc	STOCKS	**	$9,174.84
Coreweave Inc CL A	STOCKS	**	$24,682.60
Corteva Inc	STOCKS	**	$8,555.99
Costco Wholesale Corp-New	STOCKS	**	$58,888.10

Coterra EnerCoterra Energy Inc (dvn 05/07/26)	STOCKS	**	$212.48
Cracker Barrel Old Country Store Inc	STOCKS	**	$2,502.00
Critical Metals Corp	STOCKS	**	$691.00
Crocs Inc	STOCKS	**	$1,300.20
Crowdstrike Holdings Inc A	STOCKS	**	$300,598.16
Curtiss-Wright Corp	STOCKS	**	$1,117.16
D Wave Quantum Inc	STOCKS	**	$2,651.25
Dare Bioscience Inc	STOCKS	**	$425.32
Day One Biopharmaceuticals I (acquired 04/24/26)	STOCKS	**	$1,377.00
Deckers Outdoor Corp	STOCKS	**	$3,255.93
Deere & Co.	STOCKS	**	$56,169.63
Denison Mines Corp	STOCKS	**	$2,630.00
Devon Energy Corp New	STOCKS	**	$4,823.42
DHT Holdings Inc	STOCKS	**	$2,051.66
Disney Walt Co	STOCKS	**	$27,031.00
Docusign Inc	STOCKS	**	$13,816.00
Dollar General Corp	STOCKS	**	$10,865.60
DraftKings Inc	STOCKS	**	$5,943.96
Draganfly Inc	STOCKS	**	$6,750.00
Dropbox Inc Class A	STOCKS	**	$968.10
Dutch Bros Inc-Class A	STOCKS	**	$620.40
Dynatrace Inc	STOCKS	**	$20,727.00
Eaton Corp PLC	STOCKS	**	$25,347.94
Ebay Inc	STOCKS	**	$9,929.40
Effector Therapeutics Inc	STOCKS	**	$0.04
Eli Lilly & Company	STOCKS	**	$193,336.04
Emcor Group Inc	STOCKS	**	$7,407.60
Enact Holdings Inc	STOCKS	**	$2,002.00
Enovix Corp	STOCKS	**	$7,123.20
Eos Energy Enterprises Inc	STOCKS	**	$932,686.25
EQT Corp	STOCKS	**	$4,097.25
Ero Copper Corp	STOCKS	**	$3,613.68
Exelon Corp	STOCKS	**	$4,435.92
Expand Energy Corp	STOCKS	**	$139,352.88
Expedia Group Inc	STOCKS	**	$14,820.00
Extreme Networks Inc	STOCKS	**	$844.50
Exxon-Mobil Corporation (xom 07/01/26)	STOCKS	**	$28,593.89
Fannie Mae	STOCKS	**	$988.80
Fedex Corp	STOCKS	**	$4,656.96
Ferguson Enterprises Inc	STOCKS	**	$8,120.52
Fermi Inc	STOCKS	**	$19,011.50
Ferrari NV	STOCKS	**	$14,154.24
Figure Technology Solut-Cl A	STOCKS	**	$208.40
Firefly Aeronautics	STOCKS	**	$568.00
First Horizon Corp	STOCKS	**	$2,607.28
First Republic Bank/San Fran	STOCKS	**	$0.11
First Solar Inc	STOCKS	**	$26,430.00
Flextronics Ltd	STOCKS	**	$61,670.00
Fluence Energy Inc	STOCKS	**	$13,902.00
Ford Motor Co New	STOCKS	**	$6,615.00
Fortinet Inc	STOCKS	**	$16,142.31
Fox Corp CL A	STOCKS	**	$7,148.90
Freeport-Mcmoran Inc	STOCKS	**	$110,152.01

Fulton Finl Corp Pa	STOCKS	**	$98.50
Galaxy Digital Holdings Ltd	STOCKS	**	$51,141.10
Galera Therapeutics Inc	STOCKS	**	$2.13
Gap Inc	STOCKS	**	$1,605.80
Gartner Inc	STOCKS	**	$183,559.39
GE Healthcare Technologies Inc	STOCKS	**	$20,885.35
GE Vernova Inc	STOCKS	**	$56,729.04
General Dynamics Corp	STOCKS	**	$8,486.75
General Motors Co	STOCKS	**	$20,500.17
Gilead Sciences Inc	STOCKS	**	$15,767.04
Globe Life Inc	STOCKS	**	$10,750.96
Gohealth Inc Class A (gocoq 06/16/26)	STOCKS	**	$129.92
Goldman Sachs Group Inc	STOCKS	**	$41,575.70
Grab Holdings Ltd CL A Ord	STOCKS	**	$8,188.05
Graco Inc	STOCKS	**	$7,395.01
Graphic Packaging Holding Co	STOCKS	**	$15.14
Grupo Financiero Galicia-Adr	STOCKS	**	$12,487.86
Guardant Health Inc	STOCKS	**	$4,024.00
Guggenheim Active Allocation	STOCKS	**	$6,725.48
Guidewire Software Inc	STOCKS	**	$22,682.24
Hartford Insurance Group Inc	STOCKS	**	$9,718.80
HCA Healthcare Inc	STOCKS	**	$9,465.20
Himax Technologies Inc- ADR	STOCKS	**	$1,646.00
Hims & Hers Health Inc	STOCKS	**	$234,022.32
Hinge Health Inc-A	STOCKS	**	$9,246.00
Home Depot Incorporated	STOCKS	**	$15,406.68
Host Hotels & Resorts Inc	STOCKS	**	$4,243.28
Humana Inc.	STOCKS	**	$58.10
Huntington Bancshares	STOCKS	**	$157.23
IHS Holding Ltd	STOCKS	**	$634.95
ImmunityBio Inc	STOCKS	**	$1,188.00
Imperial Oil Ltd	STOCKS	**	$3,913.20
Impinj Inc	STOCKS	**	$14,331.00
Incannex Healthcare Ltd ADR (45333f208 02/27/26)	STOCKS	**	$0.00
Incyte Corporation	STOCKS	**	$15,034.32
Innoviva Inc	STOCKS	**	$1,989.00
Inseego Corp	STOCKS	**	$208.40
Intel Corporation	STOCKS	**	$3,618.10
Intercontinental Exchange Inc	STOCKS	**	$3,915.84
Interdigital Inc	STOCKS	**	$1,625.55
Intest Corp	STOCKS	**	$1,484.00
Intl Business Machines Inc	STOCKS	**	$32,006.52
Intuit	STOCKS	**	$12,057.84
Intuitive Machines Inc	STOCKS	**	$478.20
Intuitive Surgical	STOCKS	**	$5,726.30
Invivo Therapeutics Holdings	STOCKS	**	$0.00
IonQ Inc	STOCKS	**	$39,011.91
Iovance Biotherapeutics Inc	STOCKS	**	$277.00
IQVIA Holdings Inc	STOCKS	**	$3,870.73
IREN Ltd	STOCKS	**	$110,304.00
Ironwood Pharmaceuticals Inc	STOCKS	**	$63.27
ITT Inc	STOCKS	**	$3,710.28
Jet Blue Awys Corp	STOCKS	**	$4,131.00

Joby Aviation Inc	STOCKS	**	$1,322.00
Jones Lang Lasalle Inc	STOCKS	**	$5,765.21
Jones Soda Co.	STOCKS	**	$29.01
JPMorgan Chase & Co	STOCKS	**	$399,993.05
Jumia Technologies AG-ADR	STOCKS	**	$2,199.60
Just Eat Takeaway-Spons ADR	STOCKS	**	$413.50
Kandi Technologies Inc	STOCKS	**	$346.12
Keurig Dr Pepper Inc	STOCKS	**	$98,525.00
KKR & Co Inc CL A	STOCKS	**	$6,450.29
KLA Corp	STOCKS	**	$6,302.57
Klarna Group PLC	STOCKS	**	$610.05
Knowles Corp	STOCKS	**	$1,080.00
Kroger Co	STOCKS	**	$6,832.12
Lam Research Corp	STOCKS	**	$63,082.14
Landbridge Co Llc A	STOCKS	**	$8,537.40
Larimar Therapeutics Inc	STOCKS	**	$5,450.54
Leafly Holdings Inc	STOCKS	**	$74.00
Lexinfintech Holdings L ADR	STOCKS	**	$658.00
Liberty Energy Inc	STOCKS	**	$18,690.00
Lineage Cell Therapeutics Inc	STOCKS	**	$800.00
Link Motion Inc - Spon ADR (delisted)	STOCKS	**	$0.00
Lithium Americas Corp	STOCKS	**	$2,205.00
LL Floring Holdings Inc	STOCKS	**	$0.00
Lockheed Martin Corp	STOCKS	**	$23,593.14
Lucid Group Inc	STOCKS	**	$76.30
Lululemon Athletica Inc	STOCKS	**	$43,819.36
Lvmh Moet Hennessy Adr	STOCKS	**	$15,001.00
Magna Int'l Inc.	STOCKS	**	$14,643.72
MARA Holdings Inc	STOCKS	**	$8,555.61
Marathon Petroleum Corp	STOCKS	**	$2,784.94
Marti Technologies Inc	STOCKS	**	$609.00
Mastercard Inc A	STOCKS	**	$13,858.08
Maxicare Health Plans Inc	STOCKS	**	$6,418.06
Mercadolibre Inc	STOCKS	**	$30,313.20
Merck & Company Inc	STOCKS	**	$15,909.00
Mereo Biopharma Group PLC ADS	STOCKS	**	$111.15
Meta Platforms Inc	STOCKS	**	$242,255.84
Micron Tech. Inc.	STOCKS	**	$159,775.98
Microsoft Corporation	STOCKS	**	$659,051.56
Millicom Intl Cellular S.A.	STOCKS	**	$1,377.25
Mister Car Wash Inc (acquired 05/20/26)	STOCKS	**	$1,236.40
Mobileye Global Inc A	STOCKS	**	$7,427.00
Monday.Com Ltd	STOCKS	**	$19,763.52
MP Materials Corp	STOCKS	**	$15,939.04
Mueller Inds Inc	STOCKS	**	$35,321.69
Myriad Genetics Inc	STOCKS	**	$92.55
Navitas Semiconductor Corp	STOCKS	**	$724.00
NCR Atleos Corp	STOCKS	**	$2,325.00
Nebius Group NV	STOCKS	**	$20,100.12
Netflix Inc	STOCKS	**	$227,885.40
Newmont Corp	STOCKS	**	$10,186.00
Nextdoor Holdings Inc	STOCKS	**	$1,768.00
Nextera Energy Inc	STOCKS	**	$3,221.20

Nextnav Inc	STOCKS	**	$83,200.00
Nextpower Inc CL A	STOCKS	**	$1,218.56
Nicolet Bankshares Inc	STOCKS	**	$488.08
Nike Inc.	STOCKS	**	$36,714.00
Noble Corp PLC Ord A	STOCKS	**	$141,900.00
Northern Oil And Gas Inc	STOCKS	**	$3,244.50
Northrop Grumman Corp	STOCKS	**	$12,065.97
Novo-Nordisk As	STOCKS	**	$14,187.94
NRG Energy Inc	STOCKS	**	$18,314.91
Nu Holdings Ltd/Cayman Isl-A	STOCKS	**	$24,476.00
Nucor Inc	STOCKS	**	$1,669.98
NuScale Power Corp	STOCKS	**	$16,757.01
Nutrien Ltd	STOCKS	**	$12,808.40
Nvent Electric PLC	STOCKS	**	$1,648.16
Nvidia Corp	STOCKS	**	$1,318,535.54
O Reilly Automotive Inc	STOCKS	**	$5,488.20
Occidental Petroleum Corp	STOCKS	**	$165,840.00
Offerpad Solutions Inc (opad 06/09/26)	STOCKS	**	$595.00
O-I Glass Inc	STOCKS	**	$594.00
Oklo Inc	STOCKS	**	$67,895.76
Okta Inc	STOCKS	**	$437.15
Old Republic International Corp.	STOCKS	**	$1,202.24
opendoor Technologies Inc	STOCKS	**	$112,933.92
Oracle Corp	STOCKS	**	$42,553.44
Oscar Health Inc - Class A	STOCKS	**	$7,255.00
Ouster Inc	STOCKS	**	$214.90
Palantir Technologies Inc A	STOCKS	**	$233,102.76
Palo Alto Networks Inc	STOCKS	**	$72,497.80
Pattern Group Inc-Cl A	STOCKS	**	$5,920.00
PDS Biotechnology Corp	STOCKS	**	$522.02
Peloton Interactive Inc-A	STOCKS	**	$23,829.75
Pepsico Inc	STOCKS	**	$13,155.74
Philip Morris International	STOCKS	**	$14,089.65
Photronics Inc	STOCKS	**	$116,939.00
Pinterest Inc- Class A	STOCKS	**	$6,117.05
Portillo'S Inc-Cl A	STOCKS	**	$137.70
Powell Industries Inc	STOCKS	**	$32,747.00
Power Solutions International	STOCKS	**	$18,230.52
Procter & Gamble Co	STOCKS	**	$11,091.85
Progressive Corp	STOCKS	**	$5,302.88
Protara Therapeutic Inc	STOCKS	**	$1,575.00
Purecycle Technologies Inc	STOCKS	**	$129,158.61
Qualcomm	STOCKS	**	$58,693.70
Quanta Svcs Inc	STOCKS	**	$6,438.28
Quantum Computing Inc	STOCKS	**	$2,080.00
QXO Inc	STOCKS	**	$41,869.60
Radnet Inc	STOCKS	**	$71.49
Rambus Inc.	STOCKS	**	$2,367.25
Rapid7 Inc	STOCKS	**	$171,820.00
Realty Income Corp	STOCKS	**	$32,617.17
Recursion Pharmaceuticals-A	STOCKS	**	$1,335.75
Red Cat Holdings Inc	STOCKS	**	$389.50
Redwire Corp	STOCKS	**	$51,665.58

Regency Centers Corp	STOCKS	**	$3,479.75
Regeneron Pharma Inc	STOCKS	**	$5,424.16
Regenxbio Inc	STOCKS	**	$1,409.00
Relay Therapeutics Inc	STOCKS	**	$7,732.10
Reviva Pharmaceuticals Hold (rvph 3/09/26)	STOCKS	**	$278.00
Rigetti Computing Ins	STOCKS	**	$9,927.63
Rio Tinto PLC ADR	STOCKS	**	$23,350.80
Robinhood Markets Inc - A	STOCKS	**	$494,241.45
Roblox Corp -Class A	STOCKS	**	$42,132.15
Rock Creek Pharmaceuticals Inc	STOCKS	**	$0.00
Rocket Cos Inc-Class A	STOCKS	**	$18,054.00
Rocket Lab Corp	STOCKS	**	$103,279.70
Rockwell International	STOCKS	**	$5,905.20
Roku Inc	STOCKS	**	$31,694.52
Rolls Royce Group Plc Sponsored Adr	STOCKS	**	$7,835.00
Root Inc/OH CL A	STOCKS	**	$51,457.00
RUM Group Inc	STOCKS	**	$656.00
S&P Global Inc	STOCKS	**	$12,669.73
Sabre Corp	STOCKS	**	$670.00
Salesforce Inc	STOCKS	**	$159,677.67
Sana Biotechnology Inc	STOCKS	**	$816.00
Sarepta Therapeutics Inc	STOCKS	**	$1,611.75
Schneider Elect Sa ADR	STOCKS	**	$25,890.48
Sea Ltd-ADR	STOCKS	**	$8,984.50
Seer Inc	STOCKS	**	$182.00
Sentinelone Inc -Class A	STOCKS	**	$11,452.50
Serve Robotics Inc	STOCKS	**	$5,656.00
Servicenow Inc	STOCKS	**	$46,269.00
Sharkninja Inc	STOCKS	**	$30,582.61
Shell PLC ADR A	STOCKS	**	$9,450.24
Shift4 Payments Inc-Class A	STOCKS	**	$9,664.50
Shopify Inc A	STOCKS	**	$4,093.50
SLB Ltd	STOCKS	**	$21,417.76
Snap On Inc	STOCKS	**	$5,944.39
Snowflake Inc-Class A	STOCKS	**	$19,984.50
SoFi Technologies Inc	STOCKS	**	$479,408.00
Solaris Energy Infrastructure	STOCKS	**	$4,010.70
Solid Biosciences Inc	STOCKS	**	$2,565.00
Solid Power Inc	STOCKS	**	$4,708.00
Solventum Corp	STOCKS	**	$20,040.00
Sony Corp ADR New	STOCKS	**	$6,249.96
Soundhound AI Inc-A	STOCKS	**	$22,610.08
Southern Company	STOCKS	**	$8,056.44
Southern Copper Corp	STOCKS	**	$5,237.41
Starbucks Corp.	STOCKS	**	$2,046.00
Steel Dynamics Inc	STOCKS	**	$12,143.13
Stereotaxis Inc	STOCKS	**	$458.00
Sterling Infrastructure Inc	STOCKS	**	$92,304.00
Strategy Inc	STOCKS	**	$29,721.51
Strive Inc (asst 02/06/26)	STOCKS	**	$3,538.49
Suncoke Energy Inc	STOCKS	**	$722.00
Suncor Energy Inc	STOCKS	**	$4,005.00
Super Micro Computer Inc	STOCKS	**	$33,771.35

Sweetgreen Inc - Class A	STOCKS	**	$6,530.00
Symbotic Inc Class A	STOCKS	**	$19,587.75
Synchrony Financial	STOCKS	**	$19,514.54
Taiwan Semiconductor-Sp ADR	STOCKS	**	$90,473.16
Take Two Interactive	STOCKS	**	$1,805.37
Talen Energy Corp	STOCKS	**	$10,611.16
Tapestry Inc	STOCKS	**	$9,326.16
Target Corp	STOCKS	**	$4,384.35
TechnipFMC PLC	STOCKS	**	$5,061.28
Teladoc Health Inc	STOCKS	**	$2,800.00
Tempus AI Inc	STOCKS	**	$33,576.84
Tenable Holdings Inc	STOCKS	**	$476.40
Tenet Healthcare Corp.	STOCKS	**	$9,863.70
Tesla Inc	STOCKS	**	$593,940.01
Texas Pacific Land Trust	STOCKS	**	$50,051.82
Textron Inc	STOCKS	**	$4,151.98
TG Therapeutics Inc	STOCKS	**	$1,785.00
The Bank of New York Mellon Corp (bny 05/21/26)	STOCKS	**	$14,608.75
The Mosaic Co	STOCKS	**	$9,201.15
The Wendy's Co	STOCKS	**	$8,280.00
Thermo Fisher Scientific Inc	STOCKS	**	$17,503.50
Tilray Brands Inc	STOCKS	**	$2,886.40
Tjx Companies	STOCKS	**	$5,494.48
T-Mobile US Inc	STOCKS	**	$7,540.60
Trade Desk Inc/The Class A	STOCKS	**	$6,137.32
Twenty One Cap Inc Com Shs CL A	STOCKS	**	$289.60
Uber Technologies Inc	STOCKS	**	$177,132.84
Uipath Inc - Class A	STOCKS	**	$13,336.00
United Airlines Holdings Inc	STOCKS	**	$6,468.16
United Rentals Inc	STOCKS	**	$44,149.97
United States 12 Month Natur	STOCKS	**	$6,525.45
Unitedhealth Group Inc	STOCKS	**	$118,675.16
Unum Group	STOCKS	**	$11,121.44
Upexi Inc	STOCKS	**	$278.25
Upwork Inc	STOCKS	**	$977.50
US Foods Holding Corp	STOCKS	**	$1,442.48
Valero Energy Corp New	STOCKS	**	$20,206.35
Varonis Systems Inc	STOCKS	**	$13,240.00
Verisign Inc.	STOCKS	**	$11,066.40
Verizon Communications	STOCKS	**	$7,122.50
Vertex Pharmaceuticals	STOCKS	**	$63,977.34
Vertiv Holdings Llc	STOCKS	**	$25,527.00
Vici Properties Inc	STOCKS	**	$7,002.23
Viking Holdings Ltd	STOCKS	**	$5,336.88
Viking Therapeutics Inc	STOCKS	**	$12,008.80
Vipshop Holdings Ltd - Ads	STOCKS	**	$1,802.00
Vir Biotechnology Inc	STOCKS	**	$584.00
Visa Inc Class A Shares	STOCKS	**	$5,352.18
Vista Oil & Gas Sab De Cv	STOCKS	**	$9,694.00
Vital Farms Inc	STOCKS	**	$3,299.00
VivoSim Labs Inc	STOCKS	**	$311.22
Vodafone Group PLC ADR	STOCKS	**	$9,922.50
Walmart Inc	STOCKS	**	$13,542.32

Warby Parker Inc-Class A	STOCKS	**	$25,080.78
Warner Bros Discovery Inc	STOCKS	**	$12,299.50
Waste Management Inc	STOCKS	**	$2,962.06
Waterdrop Inc	STOCKS	**	$567.00
Weatherford International Pl	STOCKS	**	$44,154.54
Webull Corp	STOCKS	**	$24,694.02
Wells Fargo & Co New	STOCKS	**	$11,034.27
Weride Inc ADS	STOCKS	**	$115.70
Wesco International Inc	STOCKS	**	$2,497.90
Westport Innovations Inc	STOCKS	**	$1,817.00
Winnebago Inds Inc	STOCKS	**	$1,126.93
Woodside Energy Group Sp ADR	STOCKS	**	$46.86
Worksport Ltd	STOCKS	**	$438.00
Xometry Inc A	STOCKS	**	$2,841.30
Zeta Global Holdings Corp A	STOCKS	**	$624.00
Zoom Communications Inc	STOCKS	**	$12,686.10
Zscaler Inc	STOCKS	**	$22,742.00
Opendoor Technologies Inc-26	WARRANTS	**	$561.48
Opendoor Technonogies Inc Wts	WARRANTS	**	$557.10
LOAN FUND	Participant Loans - Rates 5.25% to 10.5(	**	$2,095,023.42

$415,780,252.75